

## OSE NEWS MARCH 2014

### Statements of Financial Interests – May 1<sup>st</sup> Filing Deadline

On March 14, 2014 an electronic notification will be sent to all State Employees and Public Officials who were identified by their agency as being required to file an annual Statement of Financial Interests (SFI) with the Office of State Ethics (OSE) by May 1, 2014.

We will be sending a 30-day reminder on April 1<sup>st</sup>.

Thank you to the Ethics Liaisons who have taken time to update your lists and to remind your agency filers of their requirement to file – we appreciate your role in ensuring compliance.

Please encourage users to file electronically. Our online SFI filing system is:

- User-Friendly and will store filer data from year to year, so that next year, they do not need to re-enter information that has not changed.
- **Does not** make filings available online for public access. Filings are only released when requested pursuant to the Freedom of Information Act; the same is true for paper filings.
- Faster, more convenient and secure.
- Saves paper, no envelope, no postage and protects our environment!
- Effective toward streamlining and increasing government efficiency, since paper filings are manually scanned and indexed into the online SFI filing system.

For assistance on how to maintain filers please contact us at 860-263-2400 or refer to the [Guide to the Statements of Financial Interests Filing System for Agency Ethics Liaisons](#)

### Education and Training 2014

***Pursuant to Section 1-81 of the Connecticut General States the Office of State Ethics is responsible to provide yearly training to all state employees regarding the Code of Ethics.***

### New Employees and Current Employees

The Office of State Ethics is pleased to invite agency liaisons to send new employees and current employees to attend a monthly training to meet their annual Ethics training requirement.

Our upcoming training dates at the **Office of State Ethics, 20 Trinity Street, 5<sup>th</sup> Floor:**

**Thursday, March 20, 2014, 10:00 a.m. – 11:30 a.m**  
**Thursday, April 10, 2014, 10:00 a.m. – 11:30 a.m**  
**Thursday, May 15, 2014, 10:00 a.m. – 11:30 a.m**  
**Thursday, June 12, 2014, 10:00 a.m. – 11:30 a.m**

Visitor parking is available at the Capitol as well as at the nearby Legislative Office Building.

Please RSVP to [Taliea.Hatcher-Redway@ct.gov](mailto:Taliea.Hatcher-Redway@ct.gov).

## Other Training Options

### 2014 Online Training

The interactive, [2014 online training](#) course on the Code of Ethics for Public Officials and State Employees has recently been revised and updated to reflect changes in the law.

### In-Person Training

The Office of State Ethics will continue to offer in-person training to public officials, state employees, lobbyists, state contractors, and other interested groups. To schedule a training workshop, please fill out the [Training Request Form](#) or contact the OSE at 860-263-2400.

### Web-streaming Video

The [Web-streaming video](#) version of our comprehensive ethics training features presentations from numerous OSE staff members regarding the provisions of the Code of Ethics. This training can be viewed as a whole, or in parts that cover specific sections of the law. Such training is also available on DVD; free copies are provided to state agencies and other regulated groups upon request.

## Administrative Lobbying – Be Aware

While legislative lobbying (any lobbying that affects legislation) is generally easy to understand, the less-obvious administrative lobbying can go unnoticed.

Administrative lobbying means lobbying that affects the rules or regulations of an executive agency. This also includes lobbying that affects the actions of an executive or quasi-public agency regarding a contract, grant, award, purchasing agreement, etc.

All individuals or entities who pay or receive \$2,000 in a calendar year for legislative lobbying, administrative lobbying, or a combination of the two, must register with the OSE and wear an identifying badge. If you notice that someone visiting your agency is engaging in lobbying activity but is not registered, please refer them to the OSE for assistance.

A complete list of registered lobbyists as well as associated [lobbyist reports](#) are available on our website.

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