

OSE Notifications – Statements of Financial Interest May 1st Filing Deadline

The Office of State Ethics sent a two-week notice on April 16th 2013 and will be sending a **one-week notice on April 24, 2013** to all State Employees and Public Officials who were identified by their agency as being required to file an annual Statement of Financial Interests (SFI) with the Office of State Ethics (OSE) by May 1, 2013.

Ethics Liaison - SFI Tracking Report

If you are an Ethics Liaison with SFI designees in your agency, please take a moment to check in on your filers and remind your agency filers of their requirement to file. To date, there are almost 1000 filers that have yet to file. **Remember:** For failure to file a report, statement, or other information required by the Code, the Board can, after a single hearing, impose a civil penalty of up to **\$10 per day**, for which the aggregate penalty for any one violation may not exceed \$10,000.

Log-in to the Statement of Financial Interest System select "Liaison" as role, this will bring you to the Dashboard screen. From the Dashboard screen click on the Total Required Filers number in **red**. See screen example below. Clicking on the number will generate an Excel report that lists your required filers and who has filed electronically (E), or via Paper (P), filers that have not yet filed will be blank.

Agency	Total Required Filers	Filed Filers	Not Filed Filers
Office of Governmental Accountability	45	25	20
Total	45	25	20

Citizen's Ethics Advisory Board Update

On April 18, 2013 the **Citizen's Ethics Advisory Board** met and decided on the opinion, summarized below. Click on the Advisory Opinion link below to read the full text.

[Advisory Opinion 2013-2](#), Application of the Code of Ethics Concerning representation of a state legislator by a legislative caucus attorney before the Freedom of Information Commission

The Citizen's Ethics Advisory Board concluded that, a state legislator who is a respondent in a proceeding before the Freedom of Information Commission is there in his or her official capacity as a "public agency," therefore, he or she may accept State-provided legal representation without violating the Ethics Code.

You may search Advisory Opinions by using the search engine located at the top right side of our website: www.ct.gov/ethics.