

January 24, 2013

Designation of Filers - Statements of Financial Interests

Statements of Financial Interests ("SFIs") serve as a tool to maximize public confidence in governmental decision making. The policy underlying this requirement has been in effect since the enactment of the Ethics Codes in 1977. An SFI provides a checklist or reminder to the public official or state employee to be mindful of potential conflicts of interest, and it provides a baseline of information which can be compared to subsequent years for the purpose of determining potential misuse of office for financial gain.

This year Governor Malloy has issued Standard and Designation Guidelines, which will be helpful in assisting your agency head in making determinations concerning required filers.

- **Please make certain your list of designated agency filers is complete and accurate in the filing system by Friday, March 8, 2013.**

View: [Governor Dannel P. Malloy's Standard and Designation Guidelines](#)

For assistance on how to maintain filers please contact us at 860-263-2400 or refer to the [Guide to the Statements of Financial Interests Filing System for Agency Ethics Liaisons](#)

Updates to Ethics Liaison List

The Office of State Ethics has been collecting agency ethics statements and policies; a link to your agency policy has been added to our [liaison/compliance officer list](#). Please review for accuracy and send any deletions or additions to nancy.nicolescu@ct.gov.

New Lobbyist Badges

Administrative lobbying means lobbying that affects the rules or regulations of an executive agency. This also includes lobbying that affects the actions of an executive or quasi-public agency regarding a contract, grant, award, purchasing agreement, etc.

All individuals or entities who pay or receive \$2,000 in a calendar year for legislative lobbying, administrative lobbying, or a combination of the two, must register with the OSE and **wear an identifying badge** (sample below). If you notice that someone visiting your agency is engaging in lobbying activity but is not registered, please refer them to the OSE for assistance.



A complete list of registered lobbyists as well as associated [lobbyist reports](#) are available on our website.

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