

February 27, 2012

### Advisory Opinion Update

On February 23, 2012 the Citizen's Ethics Advisory Board met and decided on the opinions, summarized below. Click on the AO number to read the full text of the opinion.

#### **ADVISORY OPINION NO. 2012-3, Application of the Code of Ethics to the Department of Labor**

The Citizen's Ethics Advisory Board concluded that, former employees of the Department of Labor may not be retained by their former state agency as consultants through a vendor contract within the first year after their retirement from state service, without violating General Statutes § 1-84b (b).

#### **ADVISORY OPINION NO. 2012-4, Application of the Code of Ethics to a Members of the Insurance and Risk Management Board**

The Citizen's Ethics Advisory Board concluded that a member of the Insurance and Risk Management Board may take official action that would financially benefit a subsidiary of his or her employer, because neither the employer nor the subsidiary is a business with which the Board member is "associated."

You may search Advisory Opinions by using the search engine located at the top right side of our website: [www.ct.gov/ethics](http://www.ct.gov/ethics).

### Agency Ethics Liaisons – Preparation to meet May 1<sup>st</sup> Filing Deadline

The Office of State Ethics maintains a [liaison/compliance officer list](#). **The list was recently updated to reflect consolidations.**

- Please review the list and send any changes to [nancy.nicolescu@ct.gov](mailto:nancy.nicolescu@ct.gov).

Certain individuals in your agency are required to file an annual Statement of Financial Interests (SFI) with the Office of State Ethics (OSE). The SFIs for Calendar Year 2011 must be filed with the OSE by May 1, 2012.

Your agency head makes the determination concerning required filers based on the standard issued by the Governor.

The **Governor's Standard** is as follows:

Governor Dannel P. Malloy has established a standard which requires filing of Annual Statements of Financial Interests by all persons in the Executive Branch and Quasi-Public Agencies who exercise (i) significant policy-making, regulatory or contractual authority; (ii) significant decision-making and/or supervisory responsibility for the review and/or award of State contracts; or (iii) significant decision-making and/or supervisory responsibility over staff that monitor State contracts.

- **Please make certain your list of designated agency filers is complete and accurate in the filing system by Friday, March 9, 2012.**

On March 12, 2012 the Office of State Ethics will notify each designated individual of his or her obligation to file.

After the Office of State Ethics issues the notice to all required filers of their mandate, agency liaisons will have the responsibility for monitoring agency lists and providing periodic reminders to designees.

We will provide you with reminder language that can be easily sent by you through the online filing system.

## **Agency Ethics Liaisons – Workshop**

### **Ethics Liaisons!**

Join us Tuesday, March 6<sup>th</sup> at 1:00 p.m., 20 Trinity Street, 5<sup>th</sup> Floor for a training workshop on how to use and update the Statements of Financial Interest Filing System.

**NEW!** [Ethics Liaison Guide to Statements of Financial Interests Filing System](#)

### **Remember:**

Online filing is encouraged, as filer data is stored (in a secure, password-protected account for each filer) from year-to-year to facilitate quick and easy filing.

Thank you for your cooperation in ensuring that this year's SFI process will be a smooth one.

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