

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF SEPTEMBER 18, 2014

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, September 18, 2014, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman Charles Chiusano called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- Charles F. Chiusano, Chairman
- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- David Gay, Member
- Susan Gruen, Member
- Roger Kemp, Member
- Dennis J. Riley, Member
- Daniel Young, Member (Participated via teleconference)
- Rev. Tommie Jackson, Member (Participated via teleconference)

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Peter Lewandowski, Assistant General Counsel
- Mark E. Wasielewski, Deputy Ethics Enforcement Officer
- Marc W. Crayton, Assistant Ethics Enforcement Officer
- Taliea Hatcher-Redway, Clerk Typist

The following topics were addressed during the meeting:

1. The minutes of the August 21, 2014 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Grant, seconded by Mr. Riley, the Board voted nine (9) to zero (0) to approve the minutes of the August 21, 2014 Regular Meeting.

2. The minutes of the August 21, 2014 Board Retreat were presented to the Board for approval.

On the motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted six (6) to zero (0) to approve the minutes of the August 21, 2014 Board Retreat. Board members Riley, Jackson and Gruen abstained.

3. Chairman Chiusano presented the Chairman's report. He thanked Board members Riley and Gay for their service to the Board.
4. Chairman Chiusano conducted the selection of client lobbyists for the 2014 audit, pursuant to Connecticut General Statutes § 1-96a (b). The following client lobbyists were randomly selected to be audited for the period of January 1, 2011 to December 31, 2013:
 - 1) Government Finance Officers Assoc.
 - 2) Day Kimball Hospital
 - 3) Northeast Utilities
 - 4) UCPEA
 - 5) MGM Resorts International Operations, Inc.
 - 6) CT Maritime Coalition, Inc.
 - 7) Halden Acquisition Group, LLC
 - 8) Eli Lilly & Co.
 - 9) CGR Medical Development, LLC
 - 10) Phadia US Inc., part of Thermo Fisher Scientific
5. General Counsel Housen presented the Legal Division Report.
6. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported on the need for a Hearing Officer for the UAPA hearings scheduled for October 16, 2014. Chairman Chiusano volunteered to preside over the October 16, 2014 hearings.
7. Ethics Enforcement Officer Jones presented the audit report for Partnership for Strong Communities.

On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted nine (9) to zero (0) to approve the audit report for Partnership for Strong Communities.

8. Executive Director Carson presented the Executive Director's Report. She reported on a) the OSE budget for the 2015 fiscal year; b) the OSE's efforts to find replacements for the Board vacancies; c) the August 25, 2014 OSE staff retreat; and d) her participation as a panelist at a Practicing Law Institute two-day program held in Washington DC on September 18 and 19.
9. Executive Director Carson presented the Media and Communication Report.
10. Executive Director Carson presented the Legislative Proposals concerning Municipal Ethics for the 2015 Legislative Session. Attorney Lewandowski elaborated on the proposals. The Board ensued in discussion.

On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted nine (9) to zero (0) to approve the Legislative Proposals concerning Municipal Ethics as amended.

11. Chairman Chiusano provided an opportunity for public comments. There were no public comments. Chairman Chiusano expressed his gratitude to Board members Gay and Riley for their service to the Board, and presented them with a gift in appreciation of their service. Board members Gay and Riley thanked the Board and commended the OSE staff for their professionalism.
12. **On the motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to move into Closed Session to discuss the status of matters that are confidential pursuant to Connecticut General Statutes Sections 1-93a.**

The following individuals attended the Closed Session:

Charles F. Chiusano	Carol Carson
Herbert A. Grant	Barbara Housen
Mary Bigelow	Thomas K. Jones
David Gay	Mark Wasielewski
Sue Gruen	Marc Crayton
Roger Kemp	Taliea Ratcher-Redway
Dennis Riley	
Daniel Young	
Rev. Tommie Jackson	

13. **On the motion of Mr. Gay, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to move into public session.**
14. **On the Motion of Mr. Grant, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to approve the Stipulation and Consent Order in the Matter of Docket No. 2014-02.**
15. **On the Motion of Ms. Gruen, seconded by Mr. Young, the Board voted nine (9) to zero (0) to move into Executive Session, pursuant to General Statutes § 1-210 (b) (4) to discuss strategy and negotiations with respect to pending matters.**

The following individuals attended the Executive Session:

Charles F. Chiusano	Carol Carson
Herbert A. Grant	Barbara Housen
Mary Bigelow	Thomas K. Jones
David Gay	Mark Wasielewski
Sue Gruen	Marc Crayton
Roger Kemp	Taliea Ratcher-Redway
Dennis Riley	
Daniel Young	
Rev. Tommie Jackson	

16. **On the motion of Mr. Kemp, seconded by Mr. Grant, the Board voted nine (9) to zero (0) to move into public session.**

17. **On the motion of Mr. Riley, seconded by Mr. Gay, the Board voted nine (9) to zero (0) to adjourn the meeting at 1:58 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory Board)