

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF SEPTEMBER 19, 2013

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, September 19, 2013, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

In the absence of Chairman Charles F. Chiusano, Vice-Chairman Herbert A. Grant called the meeting to order at 1:05 p.m.

The following members of the Board were present:

- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- David W. Gay, Member
- Susan Gruen, Member
- Roger Kemp, Member
- Dennis J. Riley, Member
- Daniel Young, Member
- Rev. Tommie Jackson, Member (participated via teleconference)

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Nancy Nicolescu, Director of Education and Communications
- Brian O’Dowd, Deputy General Counsel
- Cynthia Isales, Assistant General Counsel
- Peter Lewandowski, Assistant General Counsel
- Mark E. Wasielewski, Deputy Ethics Enforcement Officer
- Marc W. Crayton, Assistant Ethics Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the August 22, 2013 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Kemp, seconded by Mr. Jackson, the Board voted eight (8) to zero (0) to approve the minutes of the August 22, 2013 Regular Meeting.

2. Vice-Chairman Grant did not have a Chairman’s report to present.

3. Vice-Chairman Grant provided an opportunity for discussion of new business. There was no new business.
4. General Counsel Housen presented the Legal Division Report. She reported that there are new developments in the Priscilla Dickman litigation.
5. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported on the confidential probable cause hearing scheduled for October 30, 2013, on the ongoing UAPA initiative to enforce the timely filings of the 2013 Second Quarter Report for Client Lobbyists, and on the need for a Hearing Officer for the October 17, 2013 UAPA hearings. Board member Jackson volunteered to serve as the Hearing Officer for the October matter.
6. Ethics Enforcement Officer Jones presented the Audit Report for Gaffney, Bennett and Associates, Inc. and the Audit Report for Universal Health Care Foundation of Connecticut.

On the motion of Mr. Riley, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to approve the Audit Report for Universal Health Care Foundation of Connecticut and the Audit Report for Gaffney, Bennett and Associates, Inc.

7. Executive Director Carson presented the Executive Director's Report. She reported that two staff members will be joining the OSE staff on September 20, 2013, an IT Specialist and a part-time Office Assistant. She also reported on a staff meeting conducted on August 29, 2013, to review and update the Strategic Plan. She informed the Board that a revised version of the Strategic Plan, as well as draft legislative proposals, will be presented to the Board for consideration at its October meeting.
8. Ms. Nicolescu presented the OSE Training and Media Summary.
9. Vice-Chairman Grant presented an opportunity for public comments. There were no public comments.
10. **On the motion of Mr. Young, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to move into Closed Session to discuss the status of a matter that is confidential pursuant to Connecticut General Statutes § 1-82a.**

The following individuals attended the Closed Session:

- Herbert A. Grant
- Mary Bigelow
- David W. Gay
- Susan Gruen
- Roger Kemp
- Dennis J. Riley
- Daniel Young
- Rev. Tommie Jackson (participated via teleconference)
- Carol Carson

- Barbara Housen
- Thomas K. Jones
- Brian O'Dowd
- Mark E. Wasielewski
- Marc Crayton
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

11. **On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to come out of Closed Session.**
12. **On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to move into Executive Session to discuss strategy and negotiations with respect to pending litigation in the Matter of Priscilla Dickman.**

The following individuals attended the Executive Session:

- Herbert A. Grant
- Mary Bigelow
- David W. Gay
- Susan Gruen
- Roger Kemp
- Dennis J. Riley
- Daniel Young
- Rev. Tommie Jackson (participated via teleconference)
- Carol Carson
- Barbara Housen
- Thomas K. Jones
- Mark Wasielewski
- Brian O'Dowd
- Marc Crayton
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

13. **On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to come out of Executive Session.**
14. **On the motion of Mr. Young, seconded by Mr. Gay, the Board voted seven (7) to zero (0) to add an item to the Agenda to address a Request for Clarification filed by Priscilla Dickman with the Superior Court, in relation to the vote taken by the Board at the August 22, 2013 meeting pertaining to her Request for Reconsideration of Fines and Liens. Board member Gruen abstained.**

15. **On the motion of Mr. Kemp, seconded by Mr. Young, the Board voted seven (7) to zero (0) to affirm the Board's vote taken at the August 22, 2013 meeting to deny Ms. Dickman's Request for Reconsideration of Fines and Liens, based on Ms. Dickman not showing any changed conditions and not presenting any new evidence that would warrant reconsideration by the Board. Board member Gruen abstained.**

On the Motion of Mr. Kemp, seconded by Mr. Jackson, the Board voted eight (8) to zero (0) to adjourn the meeting at 2:31 p.m.

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory

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