

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF AUGUST 22, 2013

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, August 22, 2013, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman Charles Chiusano called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- Charles F. Chiusano, Chairman
- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- David W. Gay, Member
- Susan Gruen, Member (participated via teleconference)
- Roger Kemp, Member
- Dennis J. Riley, Member
- Daniel Young, Member (participated via teleconference)
- Rev. Tommie Jackson, Member (participated via teleconference)

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Nancy Nicolescu, Director of Education and Communications
- Cynthia Isales, Assistant General Counsel
- Peter Lewandowski, Assistant General Counsel
- Marc W. Crayton, Assistant Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the July 18, 2013 Regular Meeting were presented to the Board for approval.

On the motion of Ms. Bigelow, seconded by Mr. Gay, the Board voted nine (9) to zero (0) to approve the minutes of the July 18, 2013 Regular Meeting.

2. Chairman Chiusano conducted the selection of client lobbyists for the 2013 audit, pursuant to Conn. Gen. Stat. § 1-96a (b). Ten client lobbyists were randomly selected to be audited for the period of January 1, 2010 to December 31, 2012.

3. Ms. Priscilla Dickman was permitted an opportunity to address the Board on this agenda item. Prior to the meeting, the Board was provided copies of all written materials submitted by Ms. Dickman to the OSE. Ms. Dickman presented a Request for Reconsideration of Fines and Liens pursuant to UAPA § 4-181a (b). She explained that she was asking the Board to remove the lien filed on two of her properties as well as to remove the \$15,000 fine imposed by the Board in January 2010, and now outstanding since the Supreme Court declined to grant further review in Priscilla Dickman v. Citizen's Ethics Advisory Board, 140 Conn.App. 754, 60 A.3d 297 (2013), cert. denied. She claimed that there was new information that she obtained in March 2011 that was not available to her at the time of her trial and the appeal process, and that the new information warranted the Board's reconsideration of her case. She stated that witnesses testified falsely in her case and that she never violated any UCONN policy. Ms. Dickman also was allowed to distribute to the Board a letter from state Representative Tim Ackert addressed to Executive Director Carson and Attorney General Jepsen. The Board discussed her Request and questioned Ms. Dickman regarding her submissions and her Request.

On the Motion of Mr. Kemp, seconded by Mr. Grant, the Board voted seven (7) to zero (0) to deny the request for reconsideration presented by Ms. Dickman. Board members Gruen and Jackson abstained.

4. Chairman Chiusano presented the Chairman's Report. As part of the Chairman's Report, Board member Riley, as the CEAB's designee to the Governmental Accountability Commission (GAC), updated the Board on a GAC meeting he attended on August 21, 2013.
5. Chairman Chiusano provided an opportunity for discussion of new business. There was no new business.
6. General Counsel Housen presented the Legal Division Report. The Board discussed portions of the report.
7. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported on the settlement reached by the Enforcement Division in the Matter of Darlene West, on the initiative to enforce the timely filings of the 2013 Second Quarter Report for Client Lobbyists, and on the UAPA hearings scheduled for Thursday, September 12, 2013. He also informed the Board that a confidential Probable Cause Hearing is scheduled for October 30, 2013.
8. Executive Director Carson presented the Executive Director's Report. She reported on the Board Retreat and on the upcoming staff retreat. She also reported that interviews are being conducted to fill two vacant positions at the OSE. Finally, she recognized intern Nathaniel Velasquez for his excellent service while at the OSE. Mr. Velasquez is a high school senior who spent three weeks in the Office as part of the Urban League of Greater Hartford Internship Program.
9. Ms. Nicolescu presented the OSE Media Summary.
10. Chairman Chiusano presented an opportunity for public comments. There were no public comments.

11. **On the motion of Mr. Gay, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to move into Closed Session to discuss the status of a matter that is confidential pursuant to Conn. Gen. Stat. § 1- 82a.**

The following individuals attended the Closed Session:

- Charles F. Chiusano
- Herbert A. Grant
- Mary Bigelow
- David W. Gay
- Susan Gruen (participated via teleconference)
- Roger Kemp
- Dennis J. Riley
- Daniel Young (participated via teleconference)
- Rev. Tommie Jackson (participated via teleconference)
- Carol Carson
- Barbara Housen
- Thomas K. Jones
- Marc Crayton
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

12. **On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted nine (9) to zero (0) to come out of Closed Session.**

13. **On the motion of Mr. Grant, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to move into Executive Session to discuss strategy and negotiations with respect to pending litigation in the Matters of John Tricarico (Docket No. 2011-69) and Gerald Cappiello (Docket No. 2013-02UP). Board member Kemp left the meeting.**

The following individuals attended the Executive Session:

- Charles F. Chiusano
- Herbert A. Grant
- Mary Bigelow
- David W. Gay
- Susan Gruen (participated via teleconference for a portion of the Executive Session)
- Dennis J. Riley
- Daniel Young (participated via teleconference)
- Rev. Tommie Jackson (participated via teleconference)
- Carol Carson
- Barbara Housen (attended a portion of the Executive Session)
- Thomas K. Jones
- Marc Crayton

- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

14. **On the motion of Mr. Riley, seconded by Mr. Grant, the Board voted seven (7) to zero (0) to come out of Executive Session.**
15. **On the motion of Mr. Grant, seconded by Mr. Gay, the Board voted seven (7) to zero (0) to adjourn the meeting at 3:04 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory

DRAFT