

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF OCTOBER 18, 2012

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, October 18, 2012, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman Charles Chiusano called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- Charles Chiusano, Chairman
- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- David Gay, Member
- Susan Gruen, Member
- Roger L. Kemp, Member
- Dennis J. Riley, Member
- Daniel Young, Member

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Brian O’Dowd, Deputy General Counsel
- Cynthia Isales, Assistant General Counsel
- Marc W. Crayton, Assistant Enforcement Officer
- Mark E. Wasielewski, Assistant Enforcement Officer
- Peter Lewandowski, Assistant General Counsel
- Iris E. D’Oleo-Edwin, Clerk of the Board
- Nancy Lotas, Office Assistant
- Tyron Tyrell, Intern

The following topics were addressed during the meeting:

1. The minutes of the September 19, 2012 Special Meeting were presented to the Board for approval.

On the motion of Mr. Grant, seconded by Mr Kemp, the Board voted eight (8) to zero (0) to approve the minutes of September 19, 2012 Special Meeting

2. The minutes of the September 20, 2012 Special Meeting were presented to the Board for approval.

On the motion of Mr. Gay, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to approve the minutes of September 20, 2012 Special Meeting.

3. The minutes of the September 20, 2012 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Young, seconded by Mr. Kemp, the Board voted eight (8) to zero (0) to approve the minutes of September 20, 2012 Regular Meeting.

4. The minutes of the September 27, 2012 Special Meeting were presented to the Board for approval.

On the motion of Mr. Riley, seconded by Mr. Gay, the Board voted seven (7) to zero (0) to approve the minutes of September 27, 2012 Special Meeting. Ms. Bigelow abstained.

5. Ms. Carson introduced revised Legislative Proposals that the staff recommends be pursued during the 2013 legislative session. The proposals were prepared in 3 separate bills by order of priority: Priority 1, an Act Concerning Necessary Revisions to the State Code of Ethics; Priority 2, an Act Concerning Ethics Reform; and Priority 3, an Act Concerning Grass Roots Lobbying. In addition, the staff presented 8 new proposals. Ms. Nicolescu and Assistant General Counsel Lewandowski addressed comments and questions posed by the Board.

On the motion of Mr. Gay, seconded by Mr. Grant, the Board voted eight (8) to zero (0) to approve the 2013 Legislative Proposals to be presented during the upcoming legislative session as follows: Priority 1 – issues concerning the governance of the Board; Priority 2 – issues concerning all priorities previously presented as Priority 1, with exception to the cap for attorneys fees; Priority 3 – various amendments to the Code of Ethics to provide clarity and consistency, previously presented as Priority 2, with the addition of the cap on attorneys fees; Priority 4 – issues concerning Grass Roots Lobbying; and Priority 5 – the remainder of the newly presented proposals.

6. Chairman Chiusano presented the Chairman's report. On behalf of the Board, Chairman Chiusano congratulated Assistant General Counsel Isales for an article submitted to *The Guardian* regarding the Supreme Court decision in the AFSCME v. OSE matter.
7. Chairman Chiusano provided an opportunity for discussion of new business. There was no new business.
8. General Counsel Housen presented the Legal Division Report.
9. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He updated the Board on developments since the September Enforcement Report, and in particular, with regard to the probable cause hearing scheduled for October 25, 2012. He also addressed comments and questions posed by the Board in the Matter of John Tricarico, Docket No. 2011-69.

10. Ethics Enforcement Officer Jones presented the audit report for Environmental Systems Product.

On the motion of Mr. Kemp, seconded by Mr. Riley, the Board voted eight (8) to zero (0) to approve the audit report for Environmental Systems Product.

11. Ms. Carson presented the Executive Director's Report. She reported on the OSE Record Retention policy and on the Office of Governmental Accountability Commission meeting scheduled for October 25, 2012.

12. Chairman Chiusano provided an opportunity for public comments. There were no public comments.

13. Ms. Nicolescu provided the Board with a copy of a Legislative Analysis for 2012. The Report was prepared by OSE intern Tyron Tyrell.

14. **On a motion of Mr. Grant, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to adjourn the meeting at 2:25 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved

(By the Citizen's Ethics Advisory Board of the Office of State Ethics)