

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF JUNE 21, 2012

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, June 21, 2012, in the 5th Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman David Gay called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- David Gay, Chairman
- Charles Chiusano, Vice-Chairman
- Thomas Dooley, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Dennis J. Riley, Member (Participated via tele-conference)
- Mary Bigelow, Member
- Daniel Young, Member
- Susan Gruen, Member

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Brian O’Dowd, Deputy General Counsel
- Cynthia Isales, Assistant General Counsel
- Marc W. Crayton, Assistant Enforcement Officer
- Mark E. Wasielewski, Assistant Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board
- Nancy Lotus, Office Assistant
- Alex Wing, Legal Intern

The following topics were addressed during the meeting:

1. The Minutes of May 17, 2012 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Dooley, seconded by Mr. Grant, the Board voted nine (9) to zero (0) to approve the minutes.

- Chairman Gay addressed the need to establish a Nominating Committee to recommend a new Chair and Vice-Chair, pursuant to CEAB Policy 1. Board Members Dooley and Riley volunteered to serve on the Committee. The new elected chair and vice-chair will start serving on October 1, 2012.

On the Motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted eight (8) to one (1) to nominate Mr. Dooley and Mr. Riley to the Nominating Committee, pursuant to Policy 1. Mr. Dooley abstained.

- Chairman Gay addressed the Board regarding the Reopening and Reconsideration of Advisory Opinion 2012-6.

Deputy General Counsel O'Dowd elaborated on the changes to Advisory Opinion 2012-6.

On the motion of Mr. Grant, seconded by Mr. Chiusano, the Board voted nine (9) to zero (0) to reopen, reconsider and approve amendments to Advisory Opinion 2012-6.

- Board Member Dooley asked the staff for clarification concerning when staff opinions, as opposed to advisory opinions, are issued.

General Counsel Housen, Deputy General Counsel O'Dowd, Ms. Carson and Enforcement Officer Jones addressed Mr. Dooley's inquiry.

- Chairman Gay introduced the next item on the Agenda: the Consideration of Petition for Advisory Opinion from Peter Lewandowski, Assistant General Counsel for the Legal Division.

On the motion of Mr. Dooley, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to grant the Petition for Advisory Opinion from Peter Lewandowski, Assistant General Counsel for the Legal Division.

- Assistant General Counsel Cynthia Isales presented the Draft Advisory Opinion No. 2012-07, in connection with the Petition from Attorney Lewandowski.

On the motion of Mr. Young, seconded by Mr. Chiusano, the Board voted nine (9) to zero (0) to adopt Advisory Opinion No. 2012-7.

- Chairman Gay introduced Item # 7 of the Agenda: the Consideration of Petition for Advisory Opinion from State Senator Kevin Witkos.

On a motion of Mr. Chiusano, seconded by Mr. Dooley, the Board voted nine (9) to zero (0) to grant the Petition for Advisory Opinion from Senator Witkos.

8. Chairman Gay introduced Item #8 of the Agenda: the Consideration of Petition for Advisory Opinion from Tracie C. Brown, Staff Attorney from the Freedom of Information.

On a motion of Mr. Chiusano, seconded by Ms. Bigelow, the Board voted nine (9) to zero (0) to grant the Petition for Advisory Opinion from Attorney Brown.

9. Chairman Gay presented the Chairman's report. He reported on a retreat that will be scheduled for the Board's August meeting. He also congratulated the OSE staff for its job during the legislative session and its efforts in having the OSE's legislative package on the legislative calendar.
10. Chairman Gay provided an opportunity for discussion of any new business. There was no new business.
11. General Counsel Housen presented the Legal Division Report. She reported on the Commission on Human Rights and Opportunities complaint filed against the OSE by Mr. Anthony Lewis; on the UAPA hearings scheduled for Thursday, June 28, 2012, at which Board Member Grant is scheduled to preside; and on a speaking engagement scheduled for July 10, 2012, at the State Comptroller's Office.
12. Ethics Enforcement Officer Jones introduced legal intern Alex Wing to the Board, who will be working in the Enforcement Division on various initiatives before moving to the Legal Division later this summer. Officer Jones then presented the Enforcement Division Report. He reported on the UAPA hearings currently scheduled for Thursday, June 28, 2012; on the audit of the marshals' 2011 income statements; and on the 2012 Statement of Financial Interests audit. Finally, he reported that a confidential probable cause hearing is scheduled for July 12, 2012, for which, if probable cause is found by the Judge Trial Referee, the Division must impanel the Board for a full hearing within 30 days of the finding.
13. Ethics Enforcement Officer Jones presented the audit report for Wellcare of Connecticut, Inc.

On the motion of Mr. Kemp, seconded by Mr. Grant, the Board voted nine (9) to zero (0) to approve the audit report for Wellcare of Connecticut, Inc.

14. Ms. Carson presented the Legislation Report and addressed questions and comments posed by the Board.
15. Ms. Carson presented the Executive Director's Report. She introduced the new OSE Office Assistant, Nancy Lotus. She reported on the OSE budget, the new lobbyist badges, and on the upcoming, potential Board vacancies as two Board member's term will expire on September 30, 2012. She informed the Board that the OSE's website is being revamped to update and incorporate new information. In addition, Ms. Caron reported that retreats are being planned for the staff and the Board to work on strategic

plans and to better focus the OSE's mission, vision and values. Finally, Ms. Carson informed the Board that in June, she attended the Heartland Conference in St. Louis, Missouri to talk about the OSE's SFI audits.

16. Chairman Gay provided an opportunity for public comments. There was no public comments.
17. **On the motion of Mr. Dooley, seconded by Mr. Chiusano, the Board voted nine (9) to zero (0) to move into Closed Session to discuss matters that are confidential pursuant to General Statutes §§ 1-82 and 1-93.**

The following individuals attended the Closed Session:

- David Gay, Chairman
- Charles Chiusano, Vice-Chairman
- Mary Bigelow, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Daniel Young, Member
- Thomas Dooley, Member
- Susan Gruen, Member
- Dennis Riley, Member (Participated via tele-conference)
- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas Jones, Ethics Enforcement Officer
- Iris E. D'Oleo-Edwin, Clerk of the Board
- Mark Wasielewski
- Marc Crayton

18. **On the motion of Mr. Dooley, seconded by Mr. Kemp, the Board voted nine (9) to zero (0) to come out of Closed Session.**
19. **On the motion of Mr. Dooley, seconded by Mr. Chiusano, the Board voted nine (9) to zero to adjourn the meeting at 1:55 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory Board of the Office of State Ethics)