

**CITIZEN’S ETHICS ADVISORY BOARD
OF THE OFFICE OF STATE ETHICS
18-20 Trinity Street, Hartford, CT 06106-1660**

MINUTES OF THE MEETING OF MARCH 22, 2012

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, March 22, 2012, on the 5th Floor, in the Office of Governmental Accountability Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman David Gay called the meeting to order at 1:00 p.m.

The following members of the Board were present:

- David Gay, Chairman
- Charles F. Chiusano, Vice-Chairman
- Thomas Dooley, Member
- Herbert A. Grant, Member
- Roger L. Kemp, Member
- Dennis J. Riley, Member
- Member Mary Bigelow, Member
- Daniel Young, Member

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas Jones, Ethics Enforcement Officer
- Marc W. Crayton, Assistant Enforcement Officer
- Mark E. Wasielewski, Assistant Enforcement Officer
- Peter Lewandowski, Assistant General Counsel
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the February 23, 2012 Regular Meeting were presented to the Board for approval.

On the motion of Mr. Grant, seconded by Mr. Dooley, the Board voted eight (8) to zero (0) to approve the minutes.

2. Assistant General Counsel Lewandowski conducted the Annual Code of Ethics Training for Board members.

3. General Counsel Housen presented the Board with a Petition for Advisory Opinion from Christopher Phelps.

On the motion of Mr. Dooley, seconded by Mr. Kemp, the Board voted eight (8) to zero (0) to grant the Petition for Advisory Opinion from Christopher Phelps.

4. Assistant General Counsel Lewandowski presented the Board with Draft Advisory Opinion No. 2012-5, in response to the Christopher Phelps's petition.

On the motion of Mr. Grant, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to adopt Advisory Opinion 2012-5.

5. Chairman Gay presented the Chairman's report. He commented on the results of a study recently published by the Center for Public Integrity. This study indicates that Connecticut is among the five most transparent states in the nation, ranking second in the country behind New Jersey.
6. Chairman Gay provided an opportunity for discussion of any new business. There was no new business.
7. Assistant General Counsel Lewandowski presented the Regulations update. The update included a proposed amendment concerning any increase to registration fees by 10% or more.

On the motion of Mr. Chiusano, seconded by Mr. Dooley, the Board voted eight (8) to zero (0) to amend the proposed regulation regarding lobbyist registration fees.

8. General Counsel Housen presented the Legal Division Report. She corrected Item VII, page 5 of the Legal Division Report, (Other Meetings/and Events) to read February 21, 2012, instead of February 2, 2012, as the date Assistant General Counsel Cynthia Isales met with the principal of the Polaris Center. Ms. Housen reported that on March 21, 2012, in the matter of AFCSME v. OSE, Docket No. A.C. 32637, Assistant General Counsel Isales argued the OSE's case before the Connecticut Supreme Court. Finally, she informed the Board that Mr. Anthony Lewis, a former Respondent in a matter investigated and settled by the Enforcement Division, filed a Complaint against OSE before the Commission on Human Rights and Opportunities. The OSE has filed its Answer in the complaint.
9. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He informed the Board that two confidential probable cause hearings are scheduled for the month of May, and, if probable cause is found in either of them, the Division, within 30 days of the probable cause hearing(s), must impanel the Board for a full hearing.
10. Assistant Enforcement Officer Marc W. Crayton reported that the OSE resolved all matters that were scheduled for UAPA hearings on March 15, 2011, concluding the Enforcement Division's first initiative of 2012 to enforce the filing of the 2011 Annual Compensation Report for Communicator Lobbyists and the 2011 Third and Fourth Quarter Report for Client Lobbyists.
11. Enforcement Officer Jones presented the Audit report for Ironworkers Local 15.

On the motion of Mr. Dooley, seconded by Mr. Riley, the Board voted eight (8) to zero (0) to approve the Ironworkers Local 15 audit report.

12. Ms. Carson presented the Legislation Report.

13. Ms. Carson presented the Executive Director's report. She reported on the upcoming 2011 Statement of Financial Interests (SFI) season and on the directions and steps the OSE is taking to encourage people to file their SFIs online. Ms. Carson informed the Board that, according to Representative Sharkey's office, an appointment to fill the vacancy on the CEAB may be made by the end of the week and that the OSE may have a full Board for its April meeting. She also commended Assistant General Counsel Isales in her presentation of the OSE's case before the Connecticut Supreme Court in the Matter of AFSCME v. OSE. Finally, Ms. Carson informed the Board that a part-time office assistant position was requested and approved by the Department of Administrative Services and is now in the process of being approved by the Office of Policy and Management.
14. Chairman Gay provided an opportunity for public comments. There were no public comments.
15. **On the motion of Mr. Grant, seconded by Mr. Kemp, the Board unanimously voted to adjourn the meeting at 1:47 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk
Citizen's Ethics Advisory Board

Date approved
(By the Citizen's Ethics Advisory Board of the Office of State Ethics)