

Connecticut Department of

**ENERGY &
ENVIRONMENTAL
PROTECTION**

Daniel C. Esty, Commissioner

October 12, 2011

Citizen's Ethics Advisory Board
c/o Major General David Gay (Ret.), Chairman
Office of Governmental Accountability
18-20 Trinity Street, Suite 205
Hartford, CT 06106-1660

Re: Petition for an Advisory Opinion

Dear Chairman Gay:

Pursuant to RCSA § 1-92-38, I respectfully seek an advisory opinion from the Citizen's Ethics Advisory Board regarding the interplay of my current position as the Commissioner of the Department of Energy and Environmental Protection (DEEP)¹ and the business relationships from my former professional life as a Yale University professor, book author, and consultant. I specifically seek your guidance on whether the steps I have taken since I was appointed Commissioner to disclose and manage these past professional relationships – including the development of my recusal list, my efforts to disengage from my former consulting firm Esty Environmental Partners LLC (EEP), and receipt of an honoraria for one recent speaking engagement – conforms with the provisions of the state Code of Ethics and its regulations. I also seek your guidance on how best to manage my role as Commissioner in light of the fact that my wife, former State Representative Elizabeth Esty, is currently running for the U.S. Congress.

Past professional relationships

In February 2011, I had the honor of being named by Governor Malloy as Commissioner of the Department of Environmental Protection – the predecessor to DEEP. My instructions from the Governor were clear: to “better integrate and coordinate our state’s energy and environmental policy to strengthen our ability to protect the environment; to clean, conserve and lower the cost of energy; and to set the table for rapid economic growth.”²

In the weeks leading up to the Governor’s February 2011 announcement, I provided the Governor’s office with comprehensive answers to its Background Information Questionnaire, which included a list of

¹ This Department was established pursuant to Public Act 11-80. The Department of Energy and Environmental Protection is the successor department to the Department of Environmental Protection and the Department of Public Utility Control.

² Governor’s press release dated February 10, 2011.

businesses and organizations that I had been associated with in the past five years, and explanations of those relationships. In addition, I had a number of conversations with the Governor's Chief of Staff, Tim Bannon, and others in the Governor's office about my past professional experience as an advisor to companies around the world on energy, environmental, and sustainability issues as a speaker, nongovernmental organization trustee, corporate advisory board member, policy commentator, and consultant through EEP. During these conversations, I informed the Governor's office that I performed consulting work for Northeast Utilities (which began in 1997 and ended in 2005). We also discussed my prior work as the author of a number of books on business and the environment, including *Green to Gold* and *The Green to Gold Business Playbook*. I also explained that because of my background and expertise, I have given hundreds of speeches to businesses, think tanks, universities, industry associations, non-profit organizations, and government entities – some paid, some not – on energy, environmental, and sustainability issues (a list of my paid speaking engagements from 2006 to 2011 is attached as Exhibit A).

I have worked closely with DEEP's in-house legal counsel, my personal lawyer, the Governor's legal office, and the state ethics office to develop a recusal list. This list was intended to address any conflict of interest or the appearance of a conflict from my time as a professor at Yale, a consultant, an author, and a board member of various companies and non-profit organizations. Melinda Decker, the DEEP in-house legal counsel, worked with my personal lawyer, the Governor's legal staff, and the state ethics office to develop criteria for placing organizations on my recusal list. These criteria balanced several factors, including (1) whether I was actively involved with the entity (particularly with respect to consulting work); (2) whether the organization does business in Connecticut that is regulated by DEEP; and (3) whether my active involvement with the entity occurred within the last 5 years. With respect to my past speaking engagements, we concluded that these one-time events did not constitute active involvement with the business, non-profit organization, or governmental entity that had arranged for the speech – regardless of how long ago the speech took place.

DEEP's in-house counsel distributed a "potential recusal list" consisting of the clients of EEP (a copy of which is attached as Exhibit B) to managers throughout the former Department of Environmental Protection and the former DPUC, asking them to note instances where the companies on the potential recusal list were regulated by either agency. The feedback from the managers, and application of the criteria above, resulted in a March 9, 2011 memo to all Department of Environmental Protection managers delegating any contract, permitting, or enforcement matter involving an entity on the list to other senior managers within the Department (a copy of which is attached as Exhibit C). DEEP in-house counsel subsequently added any company or nongovernmental organization that is regulated by or has business with DEEP, whose senior executives provided jacket "blurbs" for my two most recent books *Green to Gold* and *The Green to Gold Business Playbook*. We also added Connecticut Fund for the Environment – on whose Board I sat. My current recusal list was distributed to all DEEP staff on July 12, 2011 (a copy of which is attached as Exhibit D).

While developing my recusal list under the guidance of in-house counsel and the state ethics office, I also sought guidance regarding ending my business relationship with my former consulting firm EEP.

Since taking office on March 7, 2011, the only compensation that I have received from EEP was for activities undertaken prior to my taking office. Concerned that my abrupt departure might cause peril to this relatively small firm, I consulted closely with legal counsel for the state ethics office on how to manage the transition of EEP in an orderly fashion. The state ethics office advised me that so long as I was no longer being paid by the firm, and the firm did no business with the state or my agency, I could participate in organizing an orderly transition (including possible sale of EEP) on my own private time. The state ethics office advised me that I could continue to play the chairman's role at EEP on my own time with an eye toward closing out my connection with the firm by the end of the year – again being careful to avoid any activity which involved companies regulated by DEEP or the receipt of any compensation for this work. I have sought to play my role in winding up my relationship with EEP in a limited and discrete fashion (averaging 1 to 2 hours per week in early months, and much less now – all on my own personal time) – transitioning all inquiries and other administration to the active partners of EEP. I worked with the remaining partners to try to find an out-of-state buyer for the firm who could provide some assurance of continuity for EEP and job security for the staff. Ultimately this effort was not successful. As the possibility of sale to an out-of-state strategic partner proved unsuccessful, I have reached an agreement to sell my ownership share of EEP to the remaining partners. I expect this transaction to close in the next few weeks – ending my relationship with EEP. The name of the firm will change – eliminating my name from the business – by December 31, 2011. Throughout this process, I have been attentive at all times to the Ethics Code requirements.

After consulting with DEEP's in-house legal counsel, the state ethics office, and the Governor's office, I believe that my management of my prior business relationships described above has at all times conformed with the Ethics Code, and with the specific provisions that prohibit me from having any financial interest in or engaging in business that is in conflict with the duties of my role as Commissioner, or accepting gifts from any person or entity who is doing business with my agency. See Conn. Gen. Stat. § 1-84(a), (b) & (m); Conn. Gen. Stat. § 1-85; see also RCSA §§ 1-81-14, 1-81-15, 1-81-28. I have been specifically advised that although the state Ethics Code has provisions governing post-state service, see Conn. Gen. Stat. § 1-84b, there are no "reverse revolving door" provisions that address one's business relationships prior to serving as a public official or state employee. Thus, I understand that my proactive recusal list of entities with which I have been engaged in direct recent work meets the requirements of the Ethics Code.

Paid speaking engagements

I also sought guidance of DEEP in-house counsel and the state ethics office concerning a paid October 3, 2011 speech entitled "*Green to Gold*," which I gave as part of the Town Hall of Cleveland Speaker Series sponsored by the Case Western Reserve University (a brochure for the event is attached as Exhibit E). I committed to this speaking engagement more than a year ago – well before my appointment as Commissioner. The event involved subject matter from my book *Green to Gold* and had no relationship to my official role or state business. I took vacation time to attend this event, and the travel and honorarium were provided by a civic organization, the Cleveland Town Hall.

The state ethics office informed me that there would be no ethical conflict in attending this event and accepting the honorarium. See Conn. Gen. Stat. § 1-84(k); see also RCSA § 1-81-22. Indeed, these provisions on speaking engagements appear to accommodate the notion of separation of activities undertaken in an official capacity, as compared to activities done in a private capacity. I seek confirmation that, given the general statutes, regulations, and legal counsel provided, my October 3, 2011 *Green to Gold* speech in Cleveland complied with both the letter and intent of the law. To be clear, I have not accepted any new paid speaking engagements since my appointment, and I have no intention of doing so for the duration of my tenure as DEEP commissioner.

My role as Commissioner and Elizabeth Esty's role as a candidate

I further seek your guidance on the interplay of my role as Commissioner and my wife's campaign to become the representative from Connecticut's Fifth Congressional District. In this regard, I have sought the advice of DEEP in-house counsel, my personal lawyer, my wife's campaign finance lawyer, and the Governor's legal office regarding whether or not there are state law constraints on the contributions that my wife's campaign can receive due to my position as Commissioner, or whether I am constrained by the contributions she receives. All of these sources came to the same legal conclusion: that there are no such constraints, and that neither my wife nor I have any obligation to limit who my wife receives campaign contributions from. I now seek your confirmation that this legal conclusion is correct. I would further note that I have committed not to solicit any campaign contributions from anyone in Connecticut who has business interests before DEEP. I seek your guidance on whether there are any further guidelines I should follow.

CONCLUSION

I would ask you to review the issues and facts spelled out above and to advise whether I have acted in conformance with the Code of Ethics, or if there are additional steps I should take to conform to the Code and the legislative intent to avoid conflicts of interest. If I can provide any further facts or details, or if I can be of any further assistance in the process set forth in RCSA § 1-92-39, please let me know, and I will do so. I, of course, recognize that in the future there may be additional elements of the Code that require action on my part on a case specific basis, above and beyond the issues raised in this request. Thank you for your consideration, and I look forward to your advice and opinion.

Sincerely,



Daniel C. Esty
Commissioner

Cc: Carol Carson, Executive Director, Office of State Ethics

Exhibit A

**Dan Esty Paid Speaking Engagements
2006 – 2011**

2006

American Enterprise Institute: A Roundtable on Environmental Assessment	\$750.00
CLSA (Boston, New York) (payment received in 2007)	\$10,000.00

2007

3M Corporation: Solutions for a Better Environment	\$5,500.00
Agile Software*	\$15,000.00
Bayer: Global Leaders Conference*	\$15,000.00
Capitol One	\$10,000.00
CBIA: Annual Meeting	\$5,000.00
CLSA (Chicago, Portland, Los Angeles, San Francisco): Green to Gold	\$25,000.00
CLSA (Edinburg/London): Green to Gold	\$22,500.00
CLSA (Singapore, Hong Kong, China, Japan): Green to Gold	\$40,000.00
Coca Cola Co.: Corporate Responsibility and Sustainability Summit	\$7,500.00
Coca Cola Enterprises US Bottling	\$10,000.00
CT Groundwater Assoc.	\$2,000.00
Disney	\$2,000.00
HSM Americas: World Business Forum	\$25,000.00
IBM: Innovizion 2007*	\$15,000.00
ING Foundation: ING Corporate Citizenship Symposium	\$10,000.00
International Paper: Sustainability Summit*	\$10,000.00
International Pulp & Paper Council	\$10,000.00
Leading Edge Forum: Computer Sciences Corporation*	\$10,000.00
Monsanto Company	\$10,000.00
National Association SBIC	\$8,000.00
Oracle	\$12,000.00
Oracle: 2007 Oracle Green to Gold Dinner (payment received in 2008)	\$15,000.00
Penta Performance	\$500.00
Plumbing Manufacturers Institute: 2007 Fall Meeting*	\$10,000.00
SEMI: Global Care: An Environmental Health and Safety Initiative	\$15,000.00
Siebel Scholars Foundation: Annual Conference*	\$20,000.00
State Dept: US Embassy Talk, Brussels	\$200.00
University of Chicago	\$2,000.00
UTC Power (payment received in 2008)	\$10,000.00
Waste Management	\$15,000.00

2008

Avon Products, Inc*	\$15,000.00
Business and Inst. Furniture Manufacturers Assoc (BIFMA): Leadership Conf.	\$14,000.00
Cambridge Energy Research Assoc (CERA): Global Energy Forum Summit	\$16,000.00
Canola Council of Canada: Annual Convention*	\$10,000.00
Chattanooga Manufacturers Association*	\$15,000.00
CLSA (Asia Pacific Markets)	\$12,000.00
Conagra Foods: Knowledge and Nourish Presentation*	\$12,000.00

2008 Continued

CSC: Leading Edge Forum*	\$12,500.00
Digital Entertainment Group: Green Media Summit	\$10,000.00
Direct Selling Association*	\$15,000.00
Domino Foods Inc: Annual Sales Meeting	\$15,000.00
Drexel University: Convocation	\$7,500.00
Edison Electric Institute*	\$15,000.00
Electronic Data Systems*	\$15,000.00
Entertainment Supply Chain Academy: Green Media Summit*	\$12,000.00
Gallivan Conference: Green Building (Monitor Group)	\$500.00
Green Computing Summit*	\$8,000.00
Grocery Manufacturers Association (GMA): Sustainability Summit	\$10,000.00
HSM: Expomanagement Madrid 2008*	\$20,000.00
HSM: Expomanagement Brazil 2008 (payment received in 2009)*	\$30,000.00
HyVee: Board Retreat*	\$14,000.00
ING International: ING Global Jr. Talent Development Meeting	\$25,000.00
Global Hospitality Group	\$10,000.00
Johnson Diversey*	\$11,000.00
Johnson Diversey: National Symposium on Sustainable Facility Care*	\$10,000.00
Kitchen Cabinet Manufacturers Association (KCMA): Annual Meeting	\$15,000.00
National Assoc of College & University Business Officers: 2008 Annual Meeting*	\$15,000.00
nGenera Corporation: Concours Executive Forum	\$20,000.00
Oracle	\$16,000.00
Pineapple Hospitality Group	\$5,000.00
Raytheon: IT Strategy Forum	\$12,000.00
Retail Industry Leaders Association (RILA): Leadership 2008*	\$10,000.00
Society for Human Resource Management: Strategy Conference*	\$20,000.00
SPX: Leadership Conference*	\$15,000.00
State Farm Insurance*	\$20,000.00
TBA Global: IBM Business Partner Leadership Conference	\$20,000.00
TPG Capital LP: Keynote Address	\$10,000.00
Waste Management	\$15,000.00
Young Presidents' Organization (YPO) Wisconsin	\$15,000.00

2009

3M Corporation	\$14,000.00
Business Council for Sustainable Development (BCSD), Portugal	\$20,760.00
Craig Michaels, Inc.*	\$12,500.00
Duquesne University	\$5,000.00
Entertainment Supply Chain Academy*	\$9,000.00
IBM: Green Computing Summit *	\$8,000.00
Hitachi Asia, Singapore: Eco-Conference	\$30,000.00

2009 Continued

HSM: Mexico	\$20,000.00
Institute Scrap Recycling Industries (ISRI)	\$7,500.00
New Page Corporation	\$9,000.00
Nokia*	\$15,000.00
PG&E Corporation	\$10,000.00
Pomona College: 2008 Public Lecture Series	\$7,500.00
United Illuminating	\$7,500.00
Utilities Service Alliance Inc	\$9,000.00
Young Presidents' Organization (YPO) New England	\$10,000.00

2010

Coca Cola Co., Barcelona	\$12,000.00
Electric Power Research Institute	\$10,000.00
IBM: Keynote Speech (payment received 2011)	\$11,500.00
Makati Business Club	\$5,200.00
SAGIA: Global Competitiveness Forum	\$20,000.00
The Leson Agency, LLC*	\$20,000.00
Crown Prince Lecture Series, Abu Dhabi: Green to Gold	\$20,000.00

2011

Town Hall of Cleveland*	\$15,000.00
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Total **\$1,218,910.00**

**Arranged and invoiced by a speakers bureau*

Exhibit B

Esty Environmental Partners: Clients (updated 22Feb2011)

Alberta Ministry of Environment

Alcoa

American Eagle

Avon

Boeing

BP

Catteron Partniers

CH2MHill

Coca-Cola Enterprises

Consolidated Contractors Company

Delhaize

Dimensional Fund Advisors

DirecTV

Disney

Diversey

Dow Chemical

Erie Insurance

Fedex

Hanesbrands

Hannaford

Harrah's

Hypertherm

IBM

IKEA

Ingersoll-Rand

International Finance Corporation (World Bank)

Johnson & Johnson

Jones Apparel

King Arthur Flour

Live Earth

Masco

Monitor

Motorola

Naya Waters

Nestle Waters North America

NetJets

Nokia

P&G

Personal Care Products Council

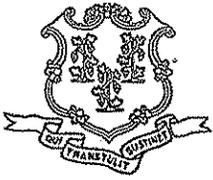
SC Johnson

Scotts Miracle-Gro

Shaklee

State Farm
TechTurn
The Coca-Cola Company
Timex
Town Sports
Tririga
Unilever
Verizon
Walmart
Waste Management
Xerox

Exhibit C



STATE OF CONNECTICUT
DEPARTMENT OF ENVIRONMENTAL PROTECTION



To: Deputy Commissioners and Bureau Chiefs
From: Daniel C. Esty, Acting Commissioner
Subject: Delegation of Authority – Recusal from Matters Involving Former Clients
Date: March 9, 2011

There are certain matters that may come before the Department from which, out of an abundance of caution, I will recuse myself in order to avoid any conflict of interest, whether actual or perceived. Should action be needed in such matters, it may be taken in accordance with the current delegation of authority. If the delegation of authority in effect does not already specifically delegate the exercise of statutory powers vested in me, unless I specify otherwise in writing, I hereby delegate all such powers in such matters to the Deputy Commissioner of Environmental Quality and such deputy's successors. This delegation may change based on additional review concerning which matters do, in fact, pose a true conflict.

Effective immediately, I am recusing myself from all regulatory oversight and business matters (including, but not limited to, permitting, enforcement and contracting matters) of the Department specific to the following entities, which were or are clients of Esty Environmental Partners, LLC, my former environmental strategy consulting business:

Alcoa

BP

CH2MHill (to extent that this firm is regulated by the Department, i.e., LEP Program)

Coca-Cola Enterprises

Disney/ESPN

Dow Chemical

FedEx

Page 1 of 2

IBM

Ingersoll-Rand

Johnson & Johnson

Motorola

Naya Waters

Nestle Waters

Nokia

P & G

Personal Care Products Council

SC Johnson

Scotts Miracle-Gro

Timex

Unilever

Walmart

Waste Management

Xerox

If any Department employee has concerns relative to CH2MHill's work in its capacity as a consultant, please direct such matters to Deputy Commissioner of Environmental Quality and such deputy's successors.

I am also recusing myself from all matters involving Yale University, given my employment history with that institution.

Finally, this recusal does not extend to broader policy discussions which may have an impact on numerous entities, including the above-referenced entities.

Please direct any questions that may arise relative to this delegation/recusal to the Office of Legal Counsel. Thank you.

Exhibit D

TO: All Staff
FROM: Daniel C. Esty, Commissioner of Energy and Environmental Protection
RE: Delegation of Authority
DATE: July 12, 2011

DELEGATION OF AUTHORITY

Pursuant to Public Act 11-80, the Department of Energy and Environmental Protection ("the Department") was established and is the successor department to what was formerly the Department of Environmental Protection and the Department of Public Utility Control. Also, pursuant to Public Act 11-80, there is a Public Utilities Regulatory Authority ("PURA") within the newly established Department. Although PURA is within the Department, by statute, various powers are conferred directly upon PURA.

Pursuant to Conn. Gen. Stat. § 4-38d, the delegation of authority previously in effect at what was formerly the Department of Environmental Protection remains in effect. Nevertheless, out of an abundance of caution, the purpose of this memorandum is to identify as comprehensively as practicable and in a single document, those powers statutorily vested in me that continue to be delegated to the Department's management and staff.

Effective July 12, 2011, pursuant to Conn. Gen. Stat. § 22a-2, I hereby continue in effect the delegation of authority that was already in place, on June 30, 2011, at what was formerly the Department of Environmental Protection, including, but not limited to, any delegation of authority previously made in specific cases. In addition, while I am signing this delegation on July 12, 2011, I hereby ratify any actions undertaken by the managers and staff of the Department since July 1, 2011 that is consistent with the aforementioned delegation of authority at what was formerly the Department of Environmental Protection.

This delegation in no way limits my authority to personally exercise such powers; moreover, I may at any time revoke or amend this delegation. In addition, any power assigned to the Commissioner of Energy and Environmental Protection, including powers assigned as result of Public Act 11-80, that is not specifically delegated may be exercised only by the Commissioner. In the future, I will likely delegate additional powers conferred on the Commissioner of Energy and Environmental Protection by Public Act 11-80.

Finally, I recognize that there may be certain matters that come before the Department from which I will recuse myself, and these matters are noted on Attachment A to this delegation. (This delegation of authority and attachment supercedes and replaces my March 9, 2011 memorandum regarding this matter.) Should action be needed in such matters, it may be taken consistent with the delegation of authority in effect. If the delegation of authority in effect does not specifically delegate the exercise of the statutory powers vested in me, unless I specify otherwise in writing, I hereby delegate all such powers in such matters to Robert Kaliszewski, the Director of Planning and Program Development at the Department.

ATTACHMENT A
(To the July 12, 2011 Delegation of Authority)

There are certain matters that may come before the Department from which, out of an abundance of caution, I will recuse myself in order to avoid even the appearance of a conflict of interest. Based upon my prior associations before becoming Commissioner, I am recusing myself from all matters (including, but not limited to, permitting, enforcement and contracting matters) of the Department specific to the entities listed below.

Should action be needed in any matters involving the entities noted below, it may be taken in accordance with the current delegation of authority. Finally, this recusal does not extend to broader policy discussions which may have an impact on numerous entities, including any of the entities noted below.

Alcoa

BP

CH₂M Hill (to the extent that this firm is regulated by the Department, i.e., LEP program)

Coca-Cola Enterprises

Connecticut Fund for the Environment

Disney

ESPN

Dow Chemical

FedEx

General Electric

IBM

Ingersoll-Rand

Johnson & Johnson

Motorola

Naya Waters

Nestle Waters

Nokia

Procter & Gamble

Personal Care Products Council

SC Johnson

Scotts Miracle-Gro

The Nature Conservancy

Timex

Unilever

Walmart

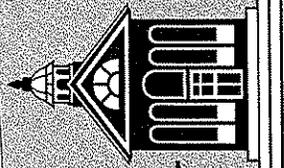
Waste Management

Yale University

Xerox

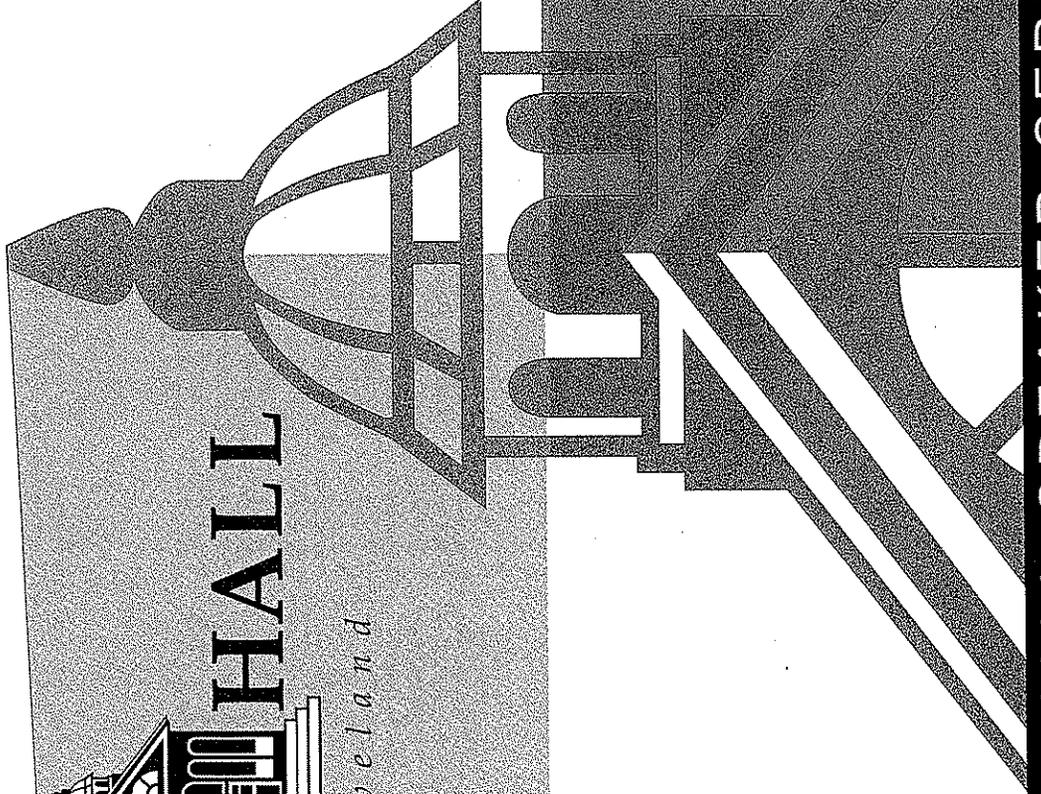
Please direct any questions that may arise regarding any recusal matter to the Department's Office of Legal Counsel.

Exhibit E



TOWN HALL

of Cleveland



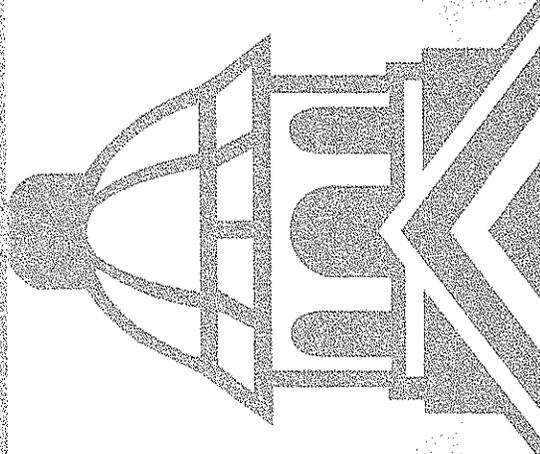
CASE WESTERN RESERVE
UNIVERSITY

EST. 1826

think beyond the possible®

TOWN HALL SPEAKER SERIES 2011-2012

"Very interesting."



"I ALWAYS LEARN SOMETHING."



PlayhouseSquare

THE PLAIN DEALER
MISS A DAY, MISS A LOT.



WYNDHAM
CLEVELAND
AT PLAYHOUSE SQUARE

Inside Business
magazine



UNITED
The official Airline of Town Hall

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Printed on recycled paper

Strang Design, Inc

Town Hall of Cleveland

continues its storied tradition of bringing informed, provocative and exciting speakers to the community to engage in public dialog. Now in its 81st season, the speaker series is held in the historic Ohio Theatre, evenings at 6:00.

Parking is available in the covered Playhouse Square Parking Garage.

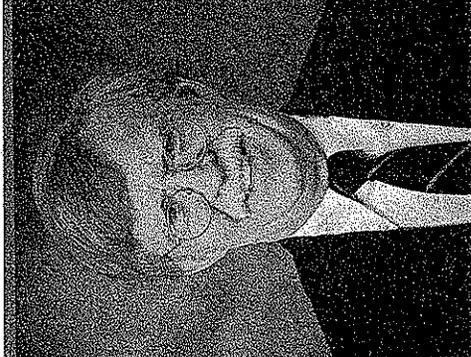
TOWN HALL MISSION

The mission of Town Hall of Cleveland is to educate and to inform, through an annual series of public lectures, an audience of attentive and active citizenry in northern Ohio, promoting no particular social or political agenda other than to enrich the depth and quality of the public discourse essential to a free society. This annual speaker series is a not-for-profit organization, registered under section 501(c)3 of the IRS code as an Ohio corporation.

9.19.11

Ambassador
**JOHN R.
BOLTON**

"Challenges for US Foreign
Policy in the Next Two Years"
American Enterprise Institute



- ◆ Senior Fellow at the American Enterprise Institute, researching U.S. foreign and national security policy
- ◆ Formerly the United States Permanent Representative to the United Nations, as well as Under Secretary of State for Arms Control and International Security
- ◆ Has held positions as Assistant Secretary for International Organization Affairs at the Department of State; Assistant Attorney General, Department of Justice; and Assistant Administrator for Program and Policy Coordination, U.S. Agency for International Development
- ◆ An attorney, currently "of counsel" to the law firm of Kirkland & Ellis

10.3.11

**DANIEL C.
ESTY**

"Green to Gold"
Corporate Environmental
Strategy Expert



- ◆ Corporate Environmental Strategy Expert and Co-Author of *Green to Gold: How Smart Companies Use Environmental Strategy to Innovate, Create Value, and Build Competitive Advantage*
- ◆ Currently the Hillhouse Professor of Environmental Law and Policy at Yale University
- ◆ Author or editor of nine books and numerous articles on environmental strategy, policy, governance, and regulation
- ◆ Comments frequently on environmental issues on television and radio, as well as in such publications as the *Wall Street Journal*, *Financial Times*, the *New York Times*, the *Economist*, *Forbes*, and *Fortune*

11.14.11

**DAVID
POGUE**

"The Digital Generation
Comes Of Age"
New York Times
Technology Columnist



- ◆ Technology columnist for the *New York Times* (weekly) and *Scientific American* (monthly)
- ◆ Emmy-award winning technology correspondent for *CBS News*
- ◆ Wrote or co-wrote seven books in the "for Dummies" series, beginning with the #1 best-selling *Macs for Dummies*
- ◆ Has written 120 humorous computer books in his *Missing Manuals* series
- ◆ Has conducted and arranged Broadway musicals
- ◆ Member of the International Brotherhood of Magicians

CAPITOL STEPS

12.12.11

"The Lighter Side of Politics"

AARON DAVID MILLER

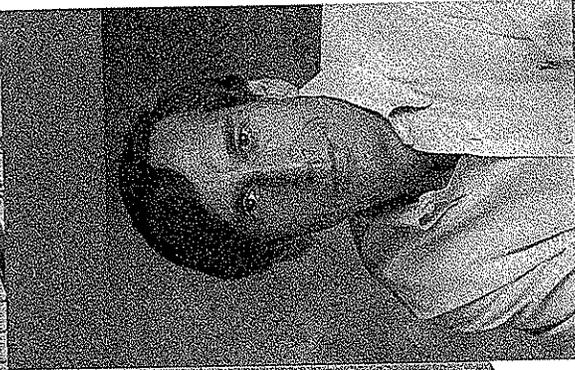
"Gulliver's Troubles: How America Will Fare in a Changing Middle East"
Woodrow Wilson International Center for Scholars

1.9.12

LARRY ELDER

"American Exceptionalism: Is America Still a Land of Opportunity"
American Radio & Television Personality

2.6.12



- ◆ Congressional staffers-turned-comedians who put the "MOCK" in democracy
- ◆ Have recorded over 30 albums, including their latest, *Desperate Housemembers* and their special holiday release, *Barackin' Around the Christmas Tree*.
- ◆ Have been featured on NBC, CBS, ABC, and PBS, and 4 times a year on NPR's *Politics Takes a Holiday* radio specials.
- ◆ Have performed for the last five Presidents
- ◆ The only complaints come from politicians or personalities who are NOT included in their program

- ◆ Public Policy Scholar at the Woodrow Wilson Center
- ◆ Adviser for two decades to Republican and Democratic Secretaries of State, helping formulate U.S. policy on the Middle East and the Arab-Israeli peace process
- ◆ Author of four books on the Middle East, including *The Much Too Promised Land: America's Elusive Search for Arab-Israeli Peace*
- ◆ Has lectured widely at universities and Middle East symposia across the country and appears frequently on CNN, Fox News, NPR, and BBC
- ◆ Has written articles for the *New York Times*, the *Washington Post*, the *Los Angeles Times* and the *International Herald Tribune*

- ◆ Best-selling author and radio talk-show host
- ◆ Nationally syndicated newspaper columnist
- ◆ Books include *The 10 Things You Can't Say in America*, *Showdown: Confronting Bias, Lies and the Special Interests That Divide America*, and *What's Race Got to Do with It? Why it's Time to Stop the Stupidest Argument in America*
- ◆ Television host of "Moral Court" and "The Larry Elder Show"
- ◆ Creator, producer and director of *Michael & Me*, a documentary which examines the use of guns in America

2.27.12 ERSKINE B. BOWLES

"Practical Implications
of the Debt Ceiling Level"

- ◆ President Obama appointed Bowles co-chair of the National Commission on Fiscal Responsibility and Reform in 2010
- ◆ President of the multi-campus University of North Carolina (2001-10)
- ◆ Founded and served as chairman and CEO of the Charlotte-based investment banking firm that became Bowles Hollowell Connor & Co.
- ◆ Appointed by President Bill Clinton to serve as director of the Small Business Administration, then as deputy White House chief of staff (1994-95) and White House chief of staff (1996-98)
- ◆ Appointed United Nations deputy special envoy to 13 tsunami-affected countries in Southeast Asia (2005)

TOWN HALL SPEAKER SERIES

Name _____
 Address _____
 City _____
 State _____ Zip _____
 Phone _____
 Work Phone _____
 Email _____

To reserve last year's seats, send payment
 by August 15. Tickets may be ordered online at
www.townhallofcleveland.org

2011-2012 Season - All Seven Events

_____ x \$280 = \$ _____

Mini Series Tickets at \$160 each

Series A Autumn: Bolton / Esty / Pogue / Capitol Steps
 _____ x \$160 = \$ _____

Series B Winter: Capitol Steps / Miller / Elder / Bowles
 _____ x \$160 = \$ _____

Mini Series Total _____

Individual Tickets at \$45 each

_____ Bolton _____ Esty _____ Pogue _____ Capitol Steps

_____ Miller _____ Elder _____ Bowles

_____ x \$45 = \$ _____

Town Hall Support

- Donor up to \$149
- Contributor \$150-\$249
- Supporter \$250-\$499
- Friend \$500-\$999
- Patron \$1000 and above

The Student Scholarship Fund

The Student Scholarship Fund provides an opportunity for high school juniors and seniors from northeast Ohio to attend Town Hall.

- Student Scholarship Fund \$ _____
- Donations of \$500 or more may specify school choice here.

Payment

- 2011-2012 Season Ticket Total \$ _____
- 2011-2012 Mini Series Ticket Total \$ _____
- 2011-2012 Individual Ticket Total \$ _____
- Town Hall Support (tax deductible) \$ _____
- Student Scholarship Fund (tax deductible) \$ _____
- Grand Total \$ _____

Please charge my: VISA MasterCard American Express

Card Number _____

Exp. Date _____

Signature _____

Check enclosed made payable to: Town Hall of Cleveland • 1120 Chester Avenue, Suite 470 • Cleveland, Ohio 44114-3514 • 216.241.1919 • fax 216.696.2582 • email admin@townhallseries.org