



STATE OF CONNECTICUT

WEATHERIZATION ASSISTANCE PROGRAM

ARRA ANNUAL FILE

Page Intentionally Left Blank

ANNUAL FILE

TABLE OF CONTENTS

	Page
APPLICATION	
I. BUDGET	1
II. SUBGRANTEES	15
III. ALLOCATION OF FUNDS	15
IV. TEMPORARY SUBGRANTEES	16
V. SPECIAL PROJECTS / INITIATIVES	16
VI. WEATHERIZATION ASSISTANCE PROGRAM SUBGRANTEES	18
VII. ARRA WEATHERIZATION SUBGRANTEE DATA	19
VIII. PRODUCTION SCHEDULE	21
IX. ENERGY SAVINGS	23
X. TRAINING AND TECHNICAL ASSISTANCE	23
XI. GRANTEE PROGRAM OVERSIGHT	24
XII. LEVERAGING	24
XIII. POLICY ADVISORY COUNCIL	26
XIV. WX. STATE POLICY ADVISORY COUNCIL	27
XV. PROGRAM PLANNING AND DEVELOPMENT WORKGROUPS	28
XVI. NOTICE OF PUBLIC HEARING	34
XVII. PUBLIC HEARING TRANSCRIPT	35
XVIII. MISCELLANEOUS	38

I. BUDGETU.S. Department of Energy
(04-94)

Replaces EIA-459C

All Other Editions Are Obsolete

OMB Control No.

1910-0400

Federal Assistance Budget Information**OMB Burden Disclosure Statement**

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129 (Revised)	2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, CT 06106	4. Program/Project Start Date 04/01/2009
	5. Completion Date 03/31/2012

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$ 64,310,502	\$	\$ 64,310,502
2.						
3.						
4.						
5. TOTALS		\$	\$	\$ 64,310,502	\$	\$ 64,310,502

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Grantee Administration	(2) Subgrantee Administration	(3) Grantee T & TA	(4) Subgrantee T & TA	
a. Personnel	\$ 1,493,300	\$	\$ 146,710	\$	\$ 1,640,010
b. Fringe Benefits	969,520		93,290		1,062,810
c. Travel			50,000		50,000
d. Equipment					
e. Supplies					
f. Contractual		3,250,525		8,000,000	60,829,977
g. Construction					
h. Other	15,705		10,000		25,705
i. Total Direct Charges	\$2,478,525	\$3,250,525	\$300,000	8,000,000	\$63,608,502
j. Indirect Charges	\$702,000				\$702,000
k. TOTALS	\$3,180,525	\$3,250,525	\$300,000	\$8,000,000	\$ 64,310,502
7. Program Income	\$	\$	\$	\$	\$

Federal Assistance Budget Information

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 – GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129		2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons	
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, Connecticut 06106		4. Program/Project Start Date 04/01/2009	
		5. Completion Date 03/31/2012	

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Standard Program Operations	(2) Materials	(3) Program Support less Labor	(4) Labor (Standard)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	44,421,327				44,421,327
g. Construction					
h. Other					
i. Total Direct Charges	44,421,327				44,421,327
j. Indirect Charges					
k. TOTALS	\$44,421,327	\$	\$	\$	\$44,421,327
7. Program Income	\$	\$	\$	\$	\$

Federal Assistance Budget Information

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129	2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, Connecticut 06106	4. Program/Project Start Date 04/01/2009 5. Completion Date 03/31/2012

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Liability Insurance	(2) Capital-Intensive Program Operations	(3) Capital-Intensive Materials	(4) Capital-Intensive Program Support less Labor	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	557,500				557,500
g. Construction					
h. Other					
i. Total Direct Charges	557,500				557,500
j. Indirect Charges					
k. TOTALS	557,500	\$	\$	\$	557,500
7. Program Income	\$	\$	\$	\$	\$

Federal Assistance Budget Information

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129	2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, Connecticut 06106	4. Program/Project Start Date 04/01/2009 5. Completion Date 03/31/2012

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Capital-Intensive Labor	(2) Low-Cost/No-Cost	(3) Leveraging	(4) Energy Audit	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual			1,450,000		1,450,000
g. Construction					
h. Other					
i. Total Direct Charges			1,450,000		1,450,000
j. Indirect Charges					
k. TOTALS	\$	\$	\$ 1,450,000	\$	\$ 1,450,000
7. Program Income	\$	\$	\$	\$	\$

Federal Assistance Budget Information

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129		2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons	
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, Connecticut 06106		4. Program/Project Start Date 04/01/2009	
		5. Completion Date 03/31/2012	

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Health and Safety	(2) Financial Audit	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	3,000,000	150,625			3,150,625
g. Construction					
h. Other					
i. Total Direct Charges	3,000,000	150,625			3,150,625
j. Indirect Charges					
k. TOTALS	\$3,000,000	\$150,625	\$	\$	\$ 3,150,625
7. Program Income	\$	\$	\$	\$	\$

**ARRA - T & TA BUDGET/NARRATIVE/JUSTIFICATION
OVER THE THREE YEARS**

GRANTEE

A.	<u>Travel</u> - The costs of lodging, meals, transportation and incidental expenses incurred by the Department of Social Services Staff while attending training conferences and meetings.	\$ 50,000
B.	<u>Publication/Educational Materials/Organizational Dues</u> To cover costs of subscriptions and dues.	\$ 10,000
C.	Salaries/Fringes	<u>\$ 240,000</u>
TOTAL GRANTEE T & TA		\$ 300,000

SUBGRANTEE T & TA

A.	<u>Travel & Training</u> - To allow subgrantees personnel to travel to workshops and conferences and to develop training to meet local needs.	\$ 3,000,000
	To allow DOL/WIB/OWC to provide training and education for the specialized skills and knowledge	\$ 3,500,000
	To allow CCTC/VOCTECH to provide training facilities	\$ 1,500,000
TOTAL SUBGRANTEE T & TA		\$ 8,000,000
B.	<u>Leveraging</u> -	<u>\$ 1,450,000</u>
TOTAL STATE T & TA		\$9,450,000
T&TA BALANCE TO PROGRAM SERVICES		\$1,530,750

U.S. DEPARTMENT OF ENERGY
GOLDEN FIELD OFFICE



BUDGET EXPLANATION FOR FORMULA GRANTS

Provide detailed information to support each Cost Category using this form. Cost breakdown estimates may be entered on this form or attach a breakdown of costs using your own format as Attachment A.

1. **PERSONNEL** – Prime Applicant only (all other participant costs must be listed on 6. below and form DOE F 4600.4, Section B. Line 6.f. Contracts and Sub Grants.

a. Identify, by title, each position to be supported under the proposed award.

The following durational staffs have been hired: One Project Manager, five Monitors, one Fiscal Officer, one CTT/Fiscal Officer and one Quality Assurance Examiner.

b. Briefly specify the duties of professionals to be compensated under this project.

The Fiscal Administrative Officers at DSS will perform the fiscal monitoring portion of the annual comprehensive monitoring of each subgrantee to ensure adequate financial management control. This includes the review and processing of reports submitted monthly by subgrantees, for accuracy and completeness; preparation of contracts with subgrantees; preparation of payments to subgrantees; preparation of reports required by DOE and other entities. The procurement procedures are also reviewed to ensure that materials meet federal specifications and are cost effective.

The Accounts Examiner will ensure that the ARRA requirements concerning transparency and accountability are met. This person will be responsible for auditing financial transactions in the funding and payment cycles, and ensuring that the federal and state Single Audit Requirements are met.

The monitors will be expected to be knowledgeable about the program and will undergo the same certification and training that is required for all subgrantee field staff. Monitoring visits will be made to each subgrantee or its contractor agency on at least a monthly basis. Regular monitoring visits usually consist of client file reviews and inspections of completed units, to ensure quality of work and client satisfaction. They will also be responsible for performing an annual comprehensive monitoring review.

The durational project manager will oversee the operation of the ARRA WAP. Responsibilities will include the planning and statewide coordination of the ARRA WAP and supervision of the ARRA state weatherization staff. This position will identify needs for program capacity and training, and will ensure that there is statewide program consistency and adherence to program specifications, rules and regulations.

The person in this position will have daily contact with subgrantees throughout the state and will communicate regularly with national and state funding sources. This position requires the ability to effectively communicate with staff, managers, other state and federal agency staff, local service providers and the public.

This position assures that the complex and varied state and federal procedures and regulations are followed by the state, subgrantees and other partners implementing the program. The durational project manager will provide necessary technical assistance for effective program delivery through the community partner network.

2. FRINGE BENEFITS –

- a. Are the fringe cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and include a copy of the rate agreement.

The Fringe benefit cost rates are approved by the Federal Department of Health and Human Services and the approved date is July 1, 2009.

- b. If a above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations as an attachment.

3. TRAVEL - Identify total Foreign and Domestic Travel as separate items.

- a. Indicate the purpose(s) of proposed travel.

All trips taken under this project will be for Domestic Travel. It is estimated that there will be approximately four (4) trips over the three program year, for the purpose of attending USDOE meetings that relate to the program. This may include: the NASCSP Annual Training Seminar; the regional conference, and national conferences, meetings, training and seminars sponsored by USDOE.

- b. Specify the basis for computation of travel expenses (e.g., current airline ticket quotes, past trips of a similar nature, federal government or organization travel policy, etc.).

Expenses are based on the cost of trips to the same areas and on the travel policies of the State of Connecticut in the reimbursement of meals. Lodging is based on the rate provided to the conference. Registration fees are set by the conference planning committees.

*Program Managers' Meeting for the next three years - \$5,000
Regional Conference for the next three years - \$25,000
National Conference for the next three years - \$15,000
Training and Meetings for the next two years - \$5,000*

4. **EQUIPMENT** – as defined in 10 CFR 660.202. Definitions can be found at http://www.access.gpo.gov/nara/cfr/waisidx_00/10cfr600_00.html

- a. Provide the basis for the equipment cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.).

No equipment will be purchased by the Grantee under this award

- b. Briefly justify the need for items of equipment to be purchased.

N/A

5. **MATERIALS AND SUPPLIES** – as defined in 10 CFR 660.202. Definitions at http://www.access.gpo.gov/nara/cfr/waisidx_00/10cfr600_00.html.

- a. Provide the basis for the materials and supplies cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.).

There will be no purchases in this category.

- b. Briefly justify the need for items of material to be purchased.

N/A

2. *Under Grantee Administration, \$15,000 will be used to pay for legal notice and \$10,000 for the Public hearing for the next three years. The public notice is published statewide in eight newspapers.*

b. Briefly justify the need for items to be purchased.

Funds are needed to pay dues to NASCSP who provide information to States on Federal funding levels, hold training seminars, and operate the WAP Training Center. Subscriptions to publications help to keep us updated on new technologies in the weatherization field.

USDOE requires notification by legal notice of the Public Hearing on the proposed state application and plan.

8. **INDIRECT COSTS** -

a. Are the indirect cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and include a copy of the rate agreement.

The Department of Health and Human Services and the approval date is July 1, 2008.

b. If a above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations as an attachment.



NANCY WYMAN
COMPTROLLER

MARK OJAKIAN
DEPUTY COMPTROLLER

STATE OF CONNECTICUT
OFFICE OF THE STATE
COMPTROLLER
55 ELM STREET
HARTFORD, CONNECTICUT 06106-
1775

MEMORANDUM NO. 2009-26

July 1, 2009

TO THE HEADS OF ALL STATE AGENCIES

Attention: Fiscal and Administrative Officers, Business Managers, Payroll and Personnel Officers
Subject: 2009-2010 Fringe Benefit Cost Recovery Rates

The percentage rates for unemployment compensation and the various retirement plans listed on the attached chart are effective July 1, 2009. These rates should be used for any fringe benefit cost recovery taking place on or after July 1, 2009. In 2009-2010, as in the previous fiscal year, there will be no composite rates encompassing all fringe benefit components.

Actual Cost Fringe Benefits

Since November 2003, with the implementation of the Core-CT HRMS module, the state shares of certain fringe benefits have been charged to agencies on an actual cost basis. This includes group life insurance and medical insurance, which are calculated based on the actual cost of the state's share of insurance premiums. In addition, FICA-Social Security and FICA-Medicare have been calculated based on the existing Federal tax rates instead of the percentage rate developed in the past by the Office of the State Comptroller. The actual cost method will continue to be used in Fiscal Year 2009-10.

Fringe Benefit Recovery

All personal service expenditures from Federal and Private Grants and any funds other than the General Fund and Correction Industries Internal Service Fund are subject to fringe benefit recovery.

Charging Employees to the Correct Funding Source

In Core-CT, fringe benefits are charged to the same funding source as the personal services expenditure. If an employee is paid from the correct funding source, Core-CT will charge the actual cost of fringe benefits to the proper funding source. Therefore, coordination is needed between agency payroll and financial staff to ensure every effort is made to pay employees from the correct funding sources.

Avoiding Temporary Funding Sources

To reduce the number of payroll corrections, agencies are asked to charge payroll expenses to the proper funding sources, even in cases where anticipated funding is not yet available, but is expected within the current fiscal year. In these cases, the account will be allowed to go negative temporarily. However, if the anticipated funding does not become available, the agency will be responsible for addressing the negative balance.

Salary and Fringe Benefit Payroll Corrections

If an agency pays an employee from the wrong funding source, fringe benefits will be charged to the wrong funding source as well. For corrections, the agency will be responsible for identifying the salary amounts and fringe benefit amounts that need to be transferred.

Salaries can be transferred through spreadsheet journals using the source code of PC, although there are limitations to this approach. In addition, when the salary transfer is between a reimbursable funding source and a non-reimbursable source (e.g., a Grant Fund SID and the General Fund), the agency will need to submit a CO-826 form to identify the fringe benefit amounts to be transferred by fringe benefit account.

Completed CO-826 forms should be sent to the Office of the State Comptroller, Cost Reporting Unit for processing: 55 Elm Street, Room 109, Hartford, Connecticut 06106. Forms can also be faxed to (860) 702-3699.

The Impact of Payroll Corrections on Financial Reporting

It should be noted that spreadsheet journal transfers of salary and fringe benefits in the Core-CT financials module are not reflected in the HRMS system or in the payroll tables in EPM. Therefore, if using HRMS for reporting purposes, agencies must track the corrections they make through spreadsheet journals and the use of CO-826 forms.

Fringe Benefit Variances - New Requests Required

All variances approved in Fiscal Year 2008-09 or earlier will be deleted for check date August 28, 2009. Variances for Fiscal Year 2009-10 will require a new request.

Full and Partial Fringe Benefit Variances

In most cases, full fringe benefit variances can be accommodated in Core-CT through the use of fringe benefit allocations that run when payrolls are posted. Fully exempted fringe benefit expenditures will be transferred to the appropriate central appropriation.

For partial variances, Core-CT will charge the funding source the full amount for fringe benefits. Agencies with approved partial variances should submit CO-826 forms to recover the portion of fringe benefits that have been exempted.

Deposits to Fund 34005 - Fringe Benefit Recovery Fund

In certain situations, state agencies bill another state agency, municipality or private firm for services provided by an employee. The bill can include both salary and fringe benefit costs to be reimbursed. Payments for the fringe benefit portion should be deposited or applied to the following coding:

Fund: 34005

SID: 40001

Account: 44338

Budget Reference: Current Fiscal Year

In addition, the agency should use its own Department ID, Program and Project values.

Alerting OSC of the Fund 34005 Deposit Using the CO-826 Form

Once a fringe benefit recovery payment is deposited or applied to Fund 34005, the agency should alert the Office of the State Comptroller (OSC) using a CO-826 Reimbursable Cost Recovery Form. This allows OSC to credit the recovery to the proper central fringe benefit appropriations. A sample

CO-826 form for Fund 34005 fringe benefit recovery deposits is available as a General Ledger job aid on the Core-CT website at <http://www.core-ct.state.ct.us/user/finjobaids/gl.htm>.

If there are questions, please call the Cost Reporting Unit of the Budget and Financial Analysis Division at (860) 702-3352.

NANCY WYMAN
STATE COMPTROLLER

Actual Cost Fringe Benefits

Account Code	Fringe Benefit Description	Actual Cost Expenditure Basis
50410	Employer Share Group Life Insurance	State Share Premium
50420	Employer Share Medical Insurance	State Share Premiums for Medical, Dental and Prescription Coverage
50441	Employer Share FICA-Social Security	Federal Tax Rate of 6.2% of applicable wages up to Federal maximum limit.
50442	Employer Share FICA-Medicare	Federal Tax Rate of 1.45% of applicable wages - no maximum limit.

Percentage Rate Fringe Benefits

Account Code	Fringe Benefit Description	FY 2009-10 Rate
50430	Unemployment Compensation	0.29%
50471	Employer SERS Retirement Regular Employee	39.85%
50471	Employer SERS Retirement Hazardous Duty	35.62%
50472	Employer Alternative Retirement Program	10.24%
50473	Employer Teachers Retirement	25.80%
50474	Employer Judges/Compensation Commissioners Retirement Plan	55.90%

II. SUBGRANTEES

The State will use the existing community action agency (CAA) network to operate the ARRA WAP. CAAs deemed to be in good standing with DSS in regard to CSBG core strengths will be selected.

The proposed ARRA WAP subgrantees are listed below:

- Action for Bridgeport Community Development, Inc. (ABCD) - Bridgeport
- The Community Renewal Team, Inc. (CRT) - Hartford & Middletown
- New Opportunities, Inc. (NO) - Waterbury & Meriden
- The ACCESS Agency, Inc. (ACCESS) – Willimantic
- New Opportunities, Inc. (NO) - Waterbury & Meriden has been chosen to serve the Greater New Haven service area.

DSS is encouraging the above-referenced CAAs (ABCD, CRT, NO and ACCESS) to use the other CAAs that are in good standing and have past experience with the WAP; work with low income clientele; and are knowledgeable of the needs in their catchment areas as temporary subcontractors to accomplish the work for which this funding was made available. Based on the past experience of all CAA's as Weatherization providers and public comments received during the public hearing process temporary subgrantees may be selected as full subgrantees if it is determined necessary to meet the goals of ARRA funding.

These temporary subcontractors include:

- Human Resource Agency of New Britain, Inc. (HRA) – New Britain
- Norwalk Economic Opportunities Now, Inc. (NEON) – South Norwalk
- Thames Valley Council for Community Action, Inc. (TVCCA) – Jewett City
- CTE, Inc. (CTE) – Stamford
- Bristol Community Organization, Inc. (BCO) - Bristol

III. ALLOCATION OF FUNDS

CAAs*	\$ 31,194,977
State-Financed Housing	\$ 18,535,000
DOL/WIB/OWC	\$ 3,500,000
CCTC/VOCTECH	\$ 1,500,000
Special Projects/Initiatives	\$ 6,100,000
DSS (Admin/T&TA)	\$ 3,480,525
TOTALS	\$64,310,502

IV. TEMPORARY SUBGRANTEES

DSS also proposes to retain flexibility to add or change subgrantees, if necessary, to meet ARRA goals. Selection of additional subgrantees will be in compliance with the requirements outlined in 10 CFR § 440.15. Specifically, DSS may add temporary subgrantees to provide overflow capacity and to assist targeted building portfolios, or to provide additional capacity in a specific geographic region. Temporary subgrantees will be solicited through a selection process, and will be chosen to work on targeted building portfolios or geographic areas. Candidates will be selected based upon their qualifications; ability to manage all responsibilities of a subgrantee; and ability to leverage other resources. These subgrantees will participate in the WAP only through the expenditure of the ARRA funds. Temporary subgrantees will be provided with all necessary training, as detailed in the Training Activities section of this plan.

V. SPECIAL PROJECTS / INITIATIVES

DSS is proposing to allocate \$6.1 million of the ARRA funds for Special Project/Initiatives.

Shelters

DOE has determined that weatherization program funds can be used for the weatherization of shelters. In their regulations DOE defines a shelter as a dwelling unit or units whose principal purpose is to house, on a temporary basis, individuals who may or not be related to one another. DOE has further identified that the state may count each 800 square feet or each floor of the shelter as a dwelling unit.

Approximately \$4.1 million will be allocated for the weatherization of both DSS funded and non DSS funded shelters, with priority given to DSS funded emergency and domestic violence shelters. Although \$4.1 million would allow for the weatherization of approximately 630 units at the average cost of \$6,500 permitted by DOE, it is anticipated that many more units will actually be weatherized due to the number of dwelling units existing in a shelter.

DSS will contract with the CAAs in good standing and will develop criteria to identify the shelters to be weatherized with ARRA funds. Weatherization of these buildings will make them more energy efficient and improve the health and safety of the occupants. It will also result in lower energy bills enabling the shelters to use their limited funds for other services.

Any weatherization work performed on the shelters will be in accordance with the WAP regulations and ARRA guidelines.

Incentive Pool

Subgrantees will be given production goals in their contracts with DSS. Those subgrantees that meet or exceed the production goals will have access to an incentive pool of \$2 million. This performance-based incentive will be made available to existing subgrantees that demonstrate the ability to effectively utilize ARRA funding during the initial program year. Pool funds will be allocated according to a formula which ensures equitable distribution on a statewide basis to those subgrantees that meet the production goals. DSS, in its decision to allocate additional funds, will give consideration to subgrantee capacity so that no subgrantee is provided with funding in excess of the amount that DSS reasonably expects the subgrantee to be able to utilize.

Any unused training and technical assistance funds will also be added to this pool as the program progresses.

These additional funds may be provided to other entities, such as the Connecticut Energy Efficiency Fund (CEEF) so that additional units may receive services for which funds were not previously available. These services may include the repair and replacement of heating systems and energy efficient lighting fixtures.

VI. WEATHERIZATION ASSISTANCE PROGRAM SUBGRANTEES

Four subgrantees have been selected in accordance with 10 CFR § 440.15 to provide weatherization services with ARRA funds. New Opportunities has been chosen for the Greater New Haven service area to ensure that all areas of the state are served. All of these organizations are CAAs and have many years of experience operating the WAP. These subgrantees also have many years of experience operating a variety of programs for low-income households. Each year, public comment is encouraged concerning these subgrantees at the required public hearing. DSS reviews these subgrantees annually concerning their past performance and their ability to continue in Connecticut's WAP. The subgrantees operating the program are:

Action for Bridgeport Community Development, Inc. (ABCD), 1407 Fairfield Ave, Bridgeport, CT 06604

ABCD serves the towns of: Bridgeport, Darien, Easton, Fairfield, Greenwich, Monroe, New Canaan, Norwalk, Stamford, Stratford, Trumbull, Weston, Westport, and Wilton.

The Community Renewal Team, Inc. (CRT), 555 Windsor Street, Hartford, CT 06120

CRT serves the towns of: Avon, Bloomfield, Branford, Bristol, Burlington, Canton, Chester, Clinton, Cromwell, Deep River, Durham, East Granby, East Hartford, East Haddam, East Hampton, East Windsor, Enfield, Essex Farmington, Glastonbury, Granby, Guilford, Haddam, Hartford, Killingworth, Madison, Manchester, Marlborough, Middlefield, Middletown, New Britain, Newington, North Branford, Old Saybrook, Plainville, Plymouth, Portland, Rocky Hill, Simsbury, South Windsor, Suffield, West Hartford, Wethersfield, Westbrook, Windsor and Windsor Locks.

New Opportunities, Inc. (NO), 232 North Elm Street, Waterbury, CT 06702

NO serves the towns of: Barkhamstead, Berlin, Bethel, Bethlehem, Bridgewater, Brookfield, Canaan, Cheshire, Colebrook, Cornwall, Danbury, Goshen, Hartland, Harwinton, Kent, Litchfield, Meriden, Middlebury, Morris, Naugatuck, New Fairfield, New Hartford, New Milford, Newtown, Norfolk, North Canaan, Prospect, Redding, Ridgefield, Roxbury, Salisbury, Sharon, Sherman, Southbury, Southington, Thomaston, Torrington, Wallingford, Warren, Washington, Waterbury, Watertown, Winchester, Wolcott and Woodbury.

The ACCESS Agency, Inc. (ACCESS), 1315 Main Street, Willimantic, CT 06226

ACCESS serves the towns, of: Andover, Ashford, Bolton, Bozrah, Brooklyn, Canterbury, Chaplin, Colchester, Columbia, Coventry, Eastford, East Lyme, Ellington, Franklin, Griswold, Groton, Hampton, Hebron, Killingly, Lebanon, Ledyard, Lisbon, Lyme, Mansfield, Montville, New London, North Stonington, Norwich, Old Lyme, Plainfield, Pomfret, Preston, Putnam, Salem, Scotland, Somers, Sprague, Stafford, Sterling, Stonington, Tolland, Thompson, Union, Vernon, Voluntown, Waterford, Willington, Windham and Woodstock.

The Greater New Haven Area by New Opportunities, Inc. (NO), 232 North Elm St, Waterbury, CT 06702

NO to serve the towns of: Ansonia, Beacon Falls, Bethany, Derby, East Haven, Hamden, Milford, New Haven, North Haven, Orange, Oxford, Seymour, Shelton, West Haven and Woodbridge.

VII. ARRA WEATHERIZATION SUBGRANTEE DATA (Continued)

State-Financed Housing (Service Area)	Allocation	Total Units to be Weatherized	Units to be Weatherized by Service Area	Units to be Re-Weatherized	Rental Units to be Weatherized	Sources of Labor	Congressional District					% Of District Served									
							1	2	3	4	5	1	2	3	4	5					
(Bridgeport, Norwalk, Stamford Areas)	\$ 2,960,000	455	Bridgeport Area	0	455	crew/ contractor															
			Norwalk Area						X	X					5	90					
			Stamford Area																		
(Hartford, Bristol, New Britain Areas)	\$ 5,550,000	853	Hartford Area	0	853	contractor	X	X	X		X	75	15	10				10			
			Bristol Area																		
			New Britain Area																		
(New Haven, Derby Areas)	\$1,850,000	284	New Haven Area	0	284	contractor			X	X					75	5					
			Derby Area																		
(Waterbury, Danbury, Meriden Areas)	\$ 3,735,000	569	Waterbury Area	0	569	crew/ contractor	X		X	X	X	25			10	5	90				
			Danbury Area																		
			Meriden Area																		
(Danielson, Norwich Areas)	\$ 4,440,000	683	Danielson Area	0	683	contractor		X						85							
			Norwich Area																		
TOTAL	\$18,535,000	2,844		0	2,844																
CAA TOTAL from prior page	\$31,194,977	3,584	Statewide	100	1,224	crew/ contractor	Statewide														
SPECIAL PROJECTS	\$ 6,100,000	630	Statewide	0	630	crew/ contractor	Statewide														
TOTAL	\$55,829,977	7,058		100	4,698	crew/ contractor															

VIII. PRODUCTION SCHEDULE

**U.S. DEPARTMENT OF ENERGY
American Recovery and Reinvestment Act (ARRA)
Production Schedule**

Application Period: 04/01/2009 - 03/31/2012

TOTAL UNITS (excluding reweatherized)		6,958
UNITS BY TYPE		
Owner-Occupied Single-Family		
Single-Family Rental		
Multi-Family Rental (2 or more units per building)		
Owner-Occupied Mobile Home		
Renter-Occupied Mobile Home		
Shelter		
UNITS BY OCCUPANCY		
Elderly-Occupied		
Persons with Disabilities- Occupied		
Native American-Occupied		
Children-Occupied		
High Residential Energy User-Occupied		
Household with a High Energy Burden		
OTHER UNIT TYPES		
Rewatherized Units		100
Low-Cost/No-Cost		
TOTAL PEOPLE ASSISTED		
Elderly		
Persons with Disabilities		
Native Americans		
Children		

1. Planned production does not need to be broken out by unit occupancy, or people assisted. Specific unit types, units by occupancy, and people are listed simply to remind grantees that production by category must be reported quarterly.
2. Due to overlap, the sum of "Elderly Occupied," "Persons with Disabilities-Occupied," "Native American-Occupied," "Children -Occupied," "High Residential Energy User-Occupied," "Household with a High Energy Burden" does not need to equal "WEATHERIZATION UNITS (TOTAL)."
3. Due to overlap, the sum of "Elderly," "Persons with Disabilities," "Native Americans," and "Children" does not need to equal "TOTAL PEOPLE ASSISTED."

Gross Average Cost per Dwelling Unit (all funding sources)

A.	Total funds, Federal and Non-Federal, from Supplemental Schedule #1	<u>\$64,310,502</u>
B.	Total Units to be Weatherized, from side of Production Schedule	<u>6958</u>
C.	Total Units to be Reweatherized, from front side of Production Schedule	<u>100</u>
D.	Grand Total Units Weatherized (B plus C)	<u>7058</u>
E.	Gross Average Cost per Dwelling Unit (A divided by D)	<u>\$ 9,112</u>

Vehicles and Equipment \$5,000 or more Average Cost per Dwelling Unit (DOE Rules)

F.	Total Vehicles and Equipment Budget	<u>\$ -</u>
G.	Total Units to be Weatherized, plus Planned Reweatherized Units from front side of Production Schedule (total from D)	<u>\$ -</u>
H.	Average Vehicles and Equipment Cost per Dwelling Unit (F divided by G)	<u>\$ -</u>

Average Cost per Dwelling Unit (DOE Rules)

I.	Total of Funds for Program Operations	<u>\$ 47,421,327*</u>
J.	Total Units to be Weatherized, from front side of Production Schedule	<u>6958</u>
K.	Units to be Reweatherized, from front side of Production Schedule	<u>100</u>
L.	Total Units (J plus K)	<u>7058</u>
M.	Average Cost per Dwelling Unit, less Vehicles and Equipment (I divided by L)	<u>\$ 6,718</u>
N.	Average Cost per Dwelling Unit for Vehicles and Equipment (total from H)	<u>\$ -</u>
O.	Total Average Cost per Dwelling Unit (M plus N)	<u>\$ 6,718</u>

* Includes \$3,000,000 for Health and Safety.

IX. ENERGY SAVINGS

Energy savings for homes weatherized in Connecticut this program year are estimated to be **205,388 MBTUs**. This is based on the optional DOE formula of **29.1 MBTUs** times the total number of homes (7,058) to be weatherized.

X. TRAINING AND TECHNICAL ASSISTANCE

Training and Technical Assistance will be used for the following activities:

- Statewide Lead Safe Training on new DOE minimum standards
- Energy Auditor Certification Training Class (CSG)
- On-site training for crews, energy auditors and subcontractors.
- Mold and moisture detection training
- Annual combustion safety refresher training
- Client education training
- OSHA training for new staff and subcontractors

The core competency training is designed as a two-week training and includes courses in basic competencies, safe work practices, building evaluation, measure installation, final inspection, consumer education, monitoring, program management and training. In addition Crew Worker, Crew Chief, Inspector, Monitor and Auditor certifications and requirements are designed as on-the-job training as well as one- to two-day courses. A component of the training program may be to develop links between persons trained in the above-described coursework to subgrantees and their contractors, as a potential source of hire, similar to Jobs Funnel. It is anticipated that all person working in jobs retained or created by the ARRA WAP will receive some level of training and technical assistance.

In response to the expected demand for more trained auditors to handle the increased number of units that will be weatherized, DSS has already scheduled two auditor certification classes for June and September 2009 on the Home Check Energy Audit (the only audit currently approved by DOE for use in Connecticut.) DSS will also work with other expected program partners to ensure that their staff will be trained to perform these services.

Subgrantees are encouraged to assess training needs and explore T & TA opportunities that would specifically benefit their own staff and/or contractors. Training may also be provided on sustainable energy and “green” technologies and techniques. Requests for these activities require prior approval by DSS.

On-going communication and networking among these partners are essential components of the recruitment, training and employment for weatherization work. Monthly Weatherization Directors’ meetings are planned. These meetings will be held in partnership with the Weatherization Residential Assistance Partnership (WRAP) and UI Helps, which administer utility-funded programs operated by the subgrantees. Monitoring visits to subgrantees provide additional opportunities for on-site training and technical assistance to ensure health and safety of clients and workers; maximize energy savings; improve program management; and ensure uniform implementation of program policies and procedures. On-going on-site training activities will continue at the state and subgrantee program levels.

XI. GRANTEE PROGRAM OVERSIGHT

DOE has informed states that they and their subgrantees must ensure the transparency and accountability of the funds available in the ARRA. DSS' current oversight activities, therefore, will need to be increased for purposes of the ARRA.

Subgrantees are required to inspect the weatherization work performed on a dwelling before it may be submitted to DSS for approval and reimbursement. States are required to perform file reviews on 10% of units weatherized and conduct inspections on 5% of completed units or units being weatherized. DOE strongly encourages a higher percentage of the units to be inspected under ARRA funding and DSS intends to perform file reviews on at least 20% of the total number of units weatherized. DSS will complete on-site inspections of at least 12% of the total units weatherized. In addition, comprehensive monitoring will be conducted at a minimum of annually at each subgrantee and its partner agencies. DSS will also provide the same oversight to any partner agency that it contracts with to perform weatherization work.

Entities	Minimum Goals	File Reviews (20%)	On-site Inspections (12%)
CAAs	3,584	717	430
State-Financed Housing	2,844	568	341
Shelters	630	126	76
Total	7,058	1,411	847

XII. LEVERAGING

The WAP in Connecticut has significant leveraging benefits. In partnership with the WAP, existing subgrantees also operate the utility-funded WRAP and the UI Helps Program. Funding for energy audits also comes from the major utility companies - Connecticut Light and Power, United Illuminating, Yankee Gas Services, Connecticut Natural Gas, and Southern Connecticut Gas Company. WRAP and UI Helps funds may be used for a variety of different activities, ranging from installing additional measures on WAP jobs to serving other low-income clients that do not receive services under the WAP. Additional leveraging of non-federal resources may include labor costs for the replacement of heating systems for WAP-eligible homeowners whose present heating systems need to be replaced.

The ARRA WAP funding provides additional opportunities that will be explored for leveraging resources from other state and local partner programs for weatherization services, employment and training activities, energy conservation and savings. Additional financial and resource leveraging is inherent in the economic stimulus from monies made available to households through energy conservation savings, as well as earnings from jobs created and retained and then spent in the marketplace.

Coordination with other Low-Income Weatherization Programs

DSS proposes to continue to coordinate ARRA WAP activity with other low-income programs to maximize services to eligible households. These other programs are listed below:

- Weatherization Assistance Program
- Connecticut Light and Power (CL&P) Weatherization Residential Assistance Partnership (WRAP) Program
- United Illuminating (UI) Helps Program
- Municipal Utility Conservation Programs

440.17 MINIMUM PROGRAM REQUIREMENTS SECTION H

Appointed members of the Council are:

As representative of Group 1, special qualification and sensitivity to solving weatherization and energy conservation problems of Low Income Persons:

- Claudette J. Beaulieu
Deputy Commissioner of the State Department of Social Services
- James H. Gatling
Chairman of the Energy Committee of the Community Action Agency
Executive Directors Organization [Connecticut Association for Community Action, Inc.
(CAFCA)]
- Cathy Lezon
Project Manager of the Weatherization Residential Assistance Partnership (WRAP)
Northeast Utilities
- Lindsay Parke
Project Manager, Community Relations and Programs, Northeast Utilities
- Christopher Elhert
Manager - Energy Services (Residential), United Illuminating Company

As representative of Group 2, a broad representation of organization/agencies that represent Low Income Persons, particularly the elderly, handicapped and Native Americans:

- Shirley Bergert
Staff Attorney, Connecticut Legal Services

Page 27 of 42

- Patricia J. Wrice
Executive Director, Operation Fuel
- Debra Polun, Legislative Director
Commission on Aging

As representative of Group 3, responsibility for advising the State with respect to the development and implementation of the Program:

- Ray Wilson
Director, Energy Division
State Office of Policy and Management

WX. STATE POLICY ADVISORY COUNCIL

CONNECTICUT

Claudette J. Beaulieu
Deputy Commissioner
Department of Social Services
25 Sigourney Street
Hartford, Connecticut 06106

Shirley Bergert, Staff Attorney
Connecticut Legal Services
872 Main Street
Willimantic, Connecticut 06226

Lindsay Parke
Community Relations and Programs
Northeast Utilities
107 Selden Street
Berlin, Connecticut 06037

Patricia J. Wrice
Executive Director
Operation Fuel, Inc.
One Regency Place, Suite 311
Bloomfield, Connecticut 06002

James H. Gatling, President/CEO
New Opportunities, Inc.
232 North Elm Street
Waterbury, Connecticut 06702

Ray Wilson,
Energy Director
Office of Policy and Management
450 Capitol Avenue, MS#52ENR
Hartford, Connecticut 06134

Cathy Lezon
Manager
WRAP Unit
66 Curtis Street
New Britain, Connecticut 06052

Christopher Elhert
Manager - Energy Services (Residential)
The United Illuminating Company
157 Church Street, MS 1-6B
New Haven Connecticut 06510

Debra Polun
Legislative Director
Commission on Aging
Legislative Office Building
Hartford, CT 06106



STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

25 SIGOURNEY STREET • HARTFORD, CONNECTICUT 06106-5033

TO: Policy Advisory Council Members
Low Income Weatherization Assistance Program

FROM: *Carlene Taylor, Program Manager*
Weatherization Assistance Program

SUBJECT: Meeting on the PY 2010 USDOE
Weatherization State Plan

DATE: February 26, 2010

As required by the Regulations of the United States Department of Energy (USDOE), 10 CFR Part 440, Section 17, a meeting of the Low Income Weatherization Assistance Program Policy Advisory Council will be held on Tuesday, March 9, 2010, at 10:00 am at the Department of Social Services, 25 Sigourney Street, Hartford, in the Fishbowl Team Room on the 10th floor.

The 2010-2011 USDOE State Plan as well as other issues concerning the Weatherization Assistance Program will be discussed. An update on the American Recovery and Reinvestment Act (ARRA) weatherization will also be provided and will include proposed adjustments to the program.

If you are unable to attend the meeting, please feel free to send a representative. Attached are the minutes from last year's meeting and the agenda.

If you have any questions concerning this meeting, please contact me at 424-5889 or toll-free at 1-800-842-1132.

/t
enclosures

An Equal Opportunity / Affirmative Action Employer

Printed on Recycled or Recovered Paper



STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

25 SIGOURNEY STREET • HARTFORD, CONNECTICUT 06106-5033

POLICY ADVISORY COUNCIL

AGENDA

March 9, 2010

- USDOE/DSS –
- USDOE WEATHERIZATION STATE PLAN

Funding

Program Highlights

- REVIEW OF 2009-2010 USDOE Program
- UPDATE ON THE ARRA PROGRAM
- PARTNERSHIPS – WRAP/UI Helps
- LINKAGES – OPM, LIEAB
- OTHER

**POLICY ADVISORY COUNCIL
MEETING MINUTES**

March 9, 2010

ATTENDANCE:

DSS – Pamela Giannini, Carlene Taylor, Erin Clark; OPM – Raymond Wilson; New Opportunities, Inc – Joanne Balaschak; CL&P WRAP Program – Cathy Lezon, Tasha Perreault; UI Helps Program – Chris Ehlert; Connecticut Legal Services – Shirley Bergert

USDOE/DSS ISSUES:

On the Federal level, there has been a large increase in funding from USDOE for the Weatherization Assistance Program for the two year program period ending 2011. The total allocation for this period is \$7,287,624.

On the State level, we have exceeded our production goals for the current program year (see attached). Unit production under the regular DOE program is expected to decrease due to ARRA WAP.

2010-2011 USDOE STATE PLAN:

The Plan is based on the regular allocation of \$1,972,276.

Amendments to the State Plan will include (see attached for details):

- New home energy audit tool
- Inclusion of new EPA requirements regarding lead renovator certification
- Changes to primary doors and windows allowance
- Inclusion of DOE regulations regarding state historic preservation

Service Providers and Territories - No changes are proposed at this time.

Program Highlights – Programmatic changes include:

- Two-year supplemental funds will allow for an additional 254 units for a total two-year production goal of 911 units.
- Original amount of \$150,000 plus an additional \$40,000 will be allocated for heating system replacements.
- No additional funds will be allocated to Training & Technical Assistance.

REVIEW OF 2009 – 2010 US DOE PROGRAM:

As of February 2010, production for the current program year was at 132 percent of the goal (goal of 601; actual completed units 792).

ARRA WAP PROGRAM UPDATES:

Current ARRA WAP production data were reviewed.

Proposed amendments to the ARRA WAP State Plan (attached) were reviewed.

UTILITY PARTNERSHIPS:

Utility partnership with the agencies is continuing (for both regular DOE and ARRA WAP). CL&P and UI have streamlined the leveraging/cost sharing component so that both utilities apply the same cost sharing formulas which are applicable to all agencies. Council members discussed the possibility of expanding the utility leveraging/cost sharing program with the municipally-owned utilities in CT.

Utilities reported that the RFP for the new home energy audit has been released. The bids are due 4/8/10. The goal for implementation is June 2010.

Both CL&P and the UI reviewed their program statistics and goals for the coming year (attached).

LINKAGES-OPM/LIEAB: No updates.

**DOE ARRA Weatherization Assistance Program (WAP)
CT State Plan Amendments Summary**

ARRA On-File Plan Amendments

	Recommended Changes	Rationale	Page #s
1	<ul style="list-style-type: none"> • Add New Opportunities, Inc. as the subgrantee which will provide weatherization services in the Greater New Haven area, including TEAM's area. • Add CRT as the subgrantee which will partner with NOI to cover the Greater New Haven area, including TEAM's area. • Remove TEAM as a provider of ARRA weatherization services. 	<ul style="list-style-type: none"> • DSS and New Opportunities finalized their contract for NOI to cover the Greater New Haven area was after the ARRA On-File Plan was first approved. The partnership between NOI and CRT to cover the New Haven and TEAM areas was finalized thereafter. 	2, 4
2	<ul style="list-style-type: none"> • <i>Add that DSS plans to submit to DOE for approval a new home energy audit tool</i> • <i>Include the option to use a priority list instead of site specific audit, if deemed more appropriate</i> • <i>Request approval of multi-family audit option if not addressed in new audit</i> 	<ul style="list-style-type: none"> • <i>As of September 2010, the current CL&P WRAP Home Check Energy Audit will no longer be supported by the vendor.</i> • <i>Pursuing a new audit provides and opportunity to include priority list and multi-family audit options as part of new audit.</i> 	5, 17
3	<ul style="list-style-type: none"> • Allow for the replacement of electric resistance heating systems in state financed housing units with more energy efficient ductless heat pumps. 	<ul style="list-style-type: none"> • The current CL&P WRAP Home Check Energy Audit does not call for these types of heat pumps, which are highly efficient and result in 30 to 40 percent energy savings in these types of housing units. 	7-9
4	<ul style="list-style-type: none"> • <i>Clarify that reimbursement for blower door air sealing is intended for subgrantees.</i> • <i>Clarify that program support costs include, but are not limited to, the cost of labor.</i> 	<ul style="list-style-type: none"> • <i>Vendors have expressed confusion over the reimbursement rate for blower door air sealing. Clarification in the language is needed.</i> 	11
5	<ul style="list-style-type: none"> • <i>Add that subgrantees may install up to five windows, one primary door and one storm door per weatherized unit without obtaining prior approval from DSS.</i> 	<ul style="list-style-type: none"> • <i>Update the plan to reflect DSS ruling on window & door replacements.</i> 	12
6	<ul style="list-style-type: none"> • <i>Update the Lead Paint section of the Health & Safety Plan to meet the new EPA requirements.</i> 	<ul style="list-style-type: none"> • <i>Update the plan to reflect new federal requirements.</i> 	24
7	<ul style="list-style-type: none"> • Waive the requirement for landlord contribution for state financed housing units under the DECD component of the ARRA plan. 	<ul style="list-style-type: none"> • Currently, each state financed housing authority must request permission from DECD/DSS to waive this requirement. This change will simply for the process of weatherizing these units. 	34
8	<ul style="list-style-type: none"> • Revise the total number of ARRA weatherization jobs created & retained to less than half the current number of 640. 	<ul style="list-style-type: none"> • Update the plan to be more in line with the Federal government's methodology of calculating the number of jobs created/retained (FTEs). 	38
9	<ul style="list-style-type: none"> • Update the annual program and fiscal monitoring reports relative to ARRA. 	<ul style="list-style-type: none"> • Revise reports to reflect additional monitoring requirements under ARRA, such as Davis Bacon prevailing wage. 	41

ARRA Annual File Amendments

	Recommended Changes	Rationale	Page #s
1	<ul style="list-style-type: none"> • Increase funding for shelters from \$3 million to \$4.1 million. • Expand types of shelters to be weatherized to include not only DSS funded emergency and domestic violence shelters; priority will still be given to DSS funded shelters. 	<ul style="list-style-type: none"> • In original plan, the total "Special Projects" allocation had a remaining balance of \$1.1 million (unspecified). 	16
2	<ul style="list-style-type: none"> • Allocate additional \$1 million for heating system replacements that are leveraged with non-federal funds. • Reduce funding for Incentive Pool (Special Projects) from \$3 million to \$2 million. 	<ul style="list-style-type: none"> • In original plan, \$450,000 was allocated to leveraging for heating system replacements; these dollars are close to being depleted. 	16, 17
3	<ul style="list-style-type: none"> • Revise funding allocations to reflect contractual changes, e.g., DECD pilot program. • Update weatherized unit goals to reflect changes in funding allocations. 	<ul style="list-style-type: none"> • Update plan to reflect contractual changes. 	10, 16, 19, 20, 26
4	<ul style="list-style-type: none"> • Allocate additional funding to subgrantees to cover additional Pollution Occurrence Insurance (POI) costs. 	<ul style="list-style-type: none"> • The increase in number of subcontractors under ARRA has resulted in an increase in subgrantees' POI costs. 	

2009 WRAP Program

<u>Cost Share only</u>	<u># Units</u>	<u>\$ Spent</u>
CNG	38	\$13,246.79
SCG	78	\$156.00
YGS	48	\$26,017.67
CLP	552	\$279,298.30

<u>Total costs</u>	<u># Units</u>	<u>\$ Spent</u>
CNG	1492	\$526,908.92
SCG	93	\$7,228.80
YGS	1932	\$701,866.26
CLP	10286	\$4,918,660.16

MEMORANDUM

TO: Debbie McMullen, Supervisor
Purchasing

FROM: Carlene Taylor, Manager
Energy & Refugee Services

RE: Public Notice for Weatherization State Plan
in Statewide List of Papers

DATE: February 26, 2010

Please place the attached Public Notice for the WEATHERIZATION STATE PLAN in the Statewide List of Newspapers. I am requesting that the Notice be published on Saturday, March 6, 2010, as it must be run at least ten (10) days before the Public Hearing, which will be held on Tuesday, March 16, 2010.

The cost for publishing this Notice can be charged to the Federal funds SID 20349, Project DSS00000030401, Fund 12060, Budget Reference 2010 The Account is 51510 Chartfield 168035, Program 52006, Department DSS 60908.

If you have any questions, please contact me at 5889.

Thank you.

/t
attachment

cc: Jenny Trykowski, Purchasing

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

NOTICE OF PUBLIC HEARING

THE DEPARTMENT OF SOCIAL SERVICES WILL HOLD A PUBLIC HEARING AT THE TIME AND LOCATION SPECIFIED BELOW TO PROVIDE AN OPPORTUNITY FOR CONNECTICUT CITIZENS TO COMMENT ON THE PROPOSED WEATHERIZATION ASSISTANCE FOR LOW INCOME PERSONS PROGRAM STATE PLAN, IN THE AMOUNT OF \$1,972,276 AS CALLED FOR BY THE REGULATIONS OF THE UNITED STATES DEPARTMENT OF ENERGY, 10 CFR 440, FINAL RULE, ESPECIALLY SECTIONS 440.12(B), 440.14 AND 440.15.

THE PUBLIC HEARING WILL ALSO COVER AMENDMENTS TO THE CURRENT STATE PLAN IN THE AMOUNT OF \$64,310,502 MADE AVAILABLE THROUGH THE AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) OF 2009, PUBLIC LAW 111-5 TO INCORPORATE ADJUSTMENTS TO THE PROGRAM.

DATE: TUESDAY, MARCH 16, 2010
TIME: 10:00 A.M. - 11:30 A.M.
LOCATION: DEPARTMENT OF SOCIAL SERVICES, MEZZANINE ROOM 1
25 SIGOURNEY STREET
HARTFORD, CT

THE HEARING SITE IS ACCESSIBLE TO PEOPLE WITH DISABILITIES AND PARKING FOR PERSONS WITH DISABILITIES IS AVAILABLE.

COPIES OF THE "PROPOSED WEATHERIZATION PLAN" WILL BE AVAILABLE ON MARCH 8, 2010 AND CAN BE OBTAINED BY WRITING TO THE ADDRESS BELOW. THE PLAN WILL ALSO BE POSTED AT THE FOLLOWING WEBSITE WWW.CT.GOV/DSS

SHOULD ATTENDANCE NOT BE POSSIBLE AT THE HEARING, WRITTEN COMMENTS MAY BE SUBMITTED BY EMAIL TO carlene.taylor@ct.gov OR MAILED TO THE DEPARTMENT OF SOCIAL SERVICES NO LATER THAN 4:30 P.M. ON WEDNESDAY, MARCH 17, 2010, ATTENTION:

CARLENE TAYLOR
PROGRAM MANAGER
WEATHERIZATION ASSISTANCE PROGRAM
COMMUNITY, ENERGY AND REFUGEE SERVICES
DEPARTMENT OF SOCIAL SERVICES
25 SIGOURNEY STREET
HARTFORD, CONNECTICUT 06106

PUBLIC HEARING OPENING STATEMENT

My name is Carlene Taylor, and I am the Program Manager for the Weatherization Assistance Program in the State of Connecticut, and Manager of the Community, Energy and Refugee Services Division, which is responsible for the administration of the U.S. Department of Energy Weatherization Assistance Program. Also appearing with me at this hearing is Erin Clark, Project Manager for the American Recovery and Reinvestment Act (ARRA) weatherization program.

This public hearing is being held in accordance with the United States Department of Energy regulation, 10 CFR Part 440 specifically Section 440.14, which requires that the State shall conduct one or more public hearings for the purpose of receiving comments on the proposed state plan. This application that the State of Connecticut is applying for from the United States Department of Energy (USDOE) is for the allocation of \$1,972,276 for the period April 1, 2010 to March 31, 2011.

Since the plan that was submitted last year covers the period April 1, 2009 – March 31, 2011, this plan will provide how the funds for this year will be allocated and added to those amounts from the previous year.

This hearing will also address a proposed amendment to the ARRA Weatherization State Plan to cover changes that will be submitted to DOE to help improve the administration of the program. The initial ARRA plan was submitted last May prior certain clarifications that was provided by DOE that pertained specifically to the program. Erin will provide you with those proposed changes.

The plan is being submitted for the regular DOE Program and the number of subgrantees operating the program maintained at five.

The Hearing is based on the total State Plan; usually states only submit the Annual File for review and approval and maintain up-to-date information that is referred to as the On-File Information. The changes to the On-File Plan are outlined in section 11.10 of the Annual File.

Allocations to subgrantees are based on total units weatherized for the period December 2008 - November 2009. In addition to the base allocation of \$75,000 to be evenly split between administration and program support, the formula in the On-File Information explains how funds are allocated to each agency. Any additional dollars that may become available as mentioned in this opening statement, or any carryover monies approved by USDOE will be allocated in the same manner. DSS will continue to review the allocation formula to determine if there is a better way to allocate funds to the subgrantees.

The maximum allowable average expenditure per unit will remain as established by USDOE for 2009-2010, will be **\$6,500**. The ratio of material cost to program support per unit that the State will allow is \$1,857 for materials and \$4,643 for program support. Subgrantees can average their unit costs; however, they have to ensure that the maximum material average of \$1,857 is maintained. The reimbursement for Program Support will be \$2.50 for every \$1.00 of material installed. The minimum material expenditure is \$50 and the additional minimum goal for number of units to be weatherized will be **254** units.

DOE has increased the income limit to 200% of the federal poverty guideline. Since states have the option of using the LIHEAP income limit of 150% of the federal poverty guideline or 60% of the state

median income, Connecticut increased the income limit during last year's program to 60% of the state median income.

In certain situations, dwelling units that were weatherized prior to September 30, 1994, may be revisited if there is a no-heat call or heating system malfunction. A new audit will be performed to determine if other measures that were not done before, need to be addressed. DSS had included in last year's plan that up to twenty-five units may be re-weatherized during the program year and will not increase that number for this year's plan. These units will be reported as completed units to USDOE only for the purpose of reimbursement of the additional expenditure.

In the On-File Information section, the Health and Safety Plan has been revised to fulfill new EPA lead requirements that will impact units to be weatherized that were constructed prior to 1978 in which children under six years or pregnant women reside. All other health and safety protocols remain in place. We have also revised the procedure for the replacement of primary windows and doors where up to five windows and one primary and one storm door as recommended by the audit can be replaced without prior approval from DSS.

Measures with an overall Savings to Investment Ratio (SIR) of one or more can be installed in a dwelling unit. Health and safety measures and the replacement of heating systems under the leveraging program will not need an SIR for installment.

As of September 2010, the WRAP Home Check Audit will no longer be supported by the vendor, Conservation Services Group (CSG). DSS, in partnership with the utility companies, is in the process of procuring a new home energy audit and will seek approval from the DOE for its use in Connecticut's Weatherization Assistance Program. DSS will also seek DOE's approval for a priority list to be developed to be used in certain situations.

DSS will seek approval of additional measures such as refrigerators, hot water heaters and heat pumps in the new audit that is being developed. In addition we expect the new audit to address the weatherization of large multi-family buildings with more than 25 units.

We thank WRAP and UI Helps for their continued commitment and partnership with the USDOE program which through their leveraging activities extend the numbers of measures that are installed in a home. Through their programs, the investor owned natural gas companies also provide measures in natural gas heated homes.

This year the total Training and Technical Assistance (T&TA) allocated to the State is \$360,512. DSS has also opted to take \$40,000 out of T&TA for leveraging activities. The balance will be used for program operations as there are sufficient funds from last year that are available for Training & Technical Assistance activities.

NO, Inc. will also continue to manage the funds for both activities. DSS thanks NO, Inc. for their commitment in continuing to manage those funds as it has proven to be very efficient and effective in providing for training activities in a timely manner.

I will now ask Erin Clark to outline the proposed changes that will be submitted as an amendment to the ARRA Weatherization Assistance Plan.

Any person wishing to do so may comment on the Plan from now until 11:30 a.m. Written comments will be accepted until 4:30 p.m. on Wednesday, March 17, 2010.

Public Hearing Notes - March 16, 2010

2010 Department of Social Services DOE Public Hearing on regular Weatherization Assistance Plan and ARRA Plan Amendments. Transcribed notes by Pam Giannini

Hearing opened at 10:15 with opening statement made by Carlene Taylor, SSPAM of the Community, Energy and Refugee Services Division (opening statement attached)

Carlene and Erin Clark reviewed the changes to both the regular weatherization program and the ARRA amendment (chart of changes attached)

Carlene Taylor opened the hearing for testimony from the public.

Mr. Jonathan Gorham, President of Green Media Ventures presented testimony and provided a PowerPoint presentation on an interior storm window that he wishes to have installed in eligible weatherization units.

Mr. Gorham stated the following: he wanted to comment on the provision in the plan that addressed the five windows; he had been in the conservation business for 32 years and had developed the ConnSave audit that was originally developed in Maine. He is involved with a product to conserve energy that is an interior storm window developed by his company that has been presented to the ECMB, UI, CL&P and is in use presently by the Berkshire Gas Company in MA. This interior storm window has a quick payback for the investment. The window has two layers of stretch poly-pane that does not disturb lead paint. It lasts more than a year and does not pull off paint when installing or removing. There is a patented aluminum extrusion that can be removed from the window without negatively impacting the stretched heavy duty plastic. These can be custom fit to any sized window, are low cost, air seals and triples insulation value, there is no loss of natural light, no need for permits, has appeal for historic preservation. Weather-stripping stops all the drafts through the window. This item fits under curtains on inside. It adds an additional R-2 as insulation and with storm windows the value goes up to R-5. He wants to work on a pilot project and would like to do this under the ARRA/Wx program. It is an advanced Wx panel that cannot be broken. The vendor is looking for a fabricator in CT. He is meeting with ABCD and the Green Team on Thursday and with Jason Smith of CRT to introduce the product.

Cost on average is \$50.00 per window for material and then labor costs of 50% more for measuring and installation.

Interior windows are hooked to the frame of the regular window with three turnstile type of bolts. It is a patented system that has been tested by NASA and other engineers but is not approved by USDOE. He asked for information on how to gain USDOE approval. He noted he was interested in the Homestar legislation.

At 11:30 a.m. Carlene Taylor thanked everyone for coming and closed the public hearing.

Submitted by Pamela Giannini, Director

II.11 MISCELLANEOUS

The Commissioner of the Department of Social Services, grantee for the WAP Program, has designated Lee Voghel, Director of Financial Management and Analysis, to sign all Fiscal Reports; and Carlene Taylor, Program Administration Manager of the Community, Energy and Refugee Services, or Erin Clark, ARA Project Manager, to sign all Program Reports due to the Department of Energy on a monthly, quarterly, semi-annual or annual basis.

Additional administrative funds are not allocated to the agencies because each agency's grant over the two year period is more than \$700,000.

Pending the availability of funds, the department may approve the purchase of up to five vehicles by the community action agencies under contract to operate the program. The agency(ies) wishing to purchase vehicle(s) would need to have funds within their program support budget line item to cover the cost of the vehicle.