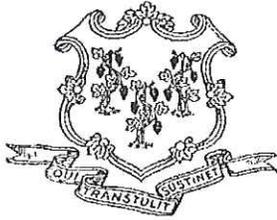


STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES



## CONTRACT AMENDMENT

**Contractor:** CITY OF NEW HAVEN  
**Contractor Address:** 165 CHURCH STREET, NEW HAVEN, CT 06510  
**Contract Number:** 093-HUO-08 / 12DSS1001TG  
**Amendment Number:** A1  
**Amount as Amended:** \$1,204,548.00  
**Contract Term as Amended:** 07/01/05 – 06/30/15

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The contract between **City of New Haven** (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Attorney General on 11/05/12, is hereby further amended as follows:

1. The total maximum amount payable under this contract is **increased by \$356,676.00, from \$847,872.00 to \$1,204,548.00.**
2. The allocations for SFY2013, 2014, and 2015 shall be as follows

**SFY2013 allocation: \$406,768.00** composed of

**\$383,936.00** in State Funding for service delivery for the period 7/1/12 - 6/30/13 allocated in the original contract;

**An increase of \$1,872.00 due to a cost of living adjustment;**

**A reduction of \$19,290.00** due to a rescission in State funding for the period 4/1/13 - 6/30/13;

**An increase of \$250.00** due to a cost of living adjustment to Federal State ID 20507 for the period 1/1/13 through 6/30/13;

**An increase of \$40,000 State Fiscal Year** in Federal funding transferred to the Department from the Department of Public Health for service delivery for the period 7/1/12 - 6/30/13.

**SFY2014 allocation: \$408,890.00** composed of

**\$366,518.00** in State Funding for service delivery for the period 7/1/13 - 6/30/14;

**An increase of \$1,872** due to a cost of living adjustment to State ID; and

**An increase of \$500.00** due to a cost of living adjustment to Federal State ID 20507 for the period 7/1/13 through 6/30/14;

**An increase of \$40,000 State Fiscal Year in Federal funding transferred to the Department from the Department of Public Health for service delivery for the period 7/1/13 - 6/30/14.**

**SFY2015 allocation: \$388,890.00 composed of**

**\$368,390.00 in State Funding for service delivery for the period 7/1/14 - 6/30/15;**

**An increase of \$500.00 due to a cost of living adjustment to Federal State ID 20507 for the period 7/1/14 through 6/30/15;**

**An increase of \$20,000 State Fiscal Year in Federal funding transferred to the Department from the Department of Public Health for service delivery for the period 7/1/14 - 6/30/15.**

3. The contract period is extended by one year and the contract end date is changed from 6/30/14 to 6/30/15.
4. The budget for SFY2013 and SFY2014 on page 4 of the original contract is deleted and replaced by the budget on page 3 through 5 of this amendment, and the budget for SFY2015 shall be as set forth on page 6 of this amendment.
5. In accordance with Part I, Section C.9 on page 9 of Amendment 8, the Contractor shall subcontract with the following agency effective 7/1/14 -6/30/15:  
  
Community Health Center, 575 Main Street, 2<sup>nd</sup> floor, Middletown, CT, 06457; \$28,500
6. The HIPAA Provisions on pages 29 through 35 are deleted and replaced by the HIPAA provisions effective 9/23/13 on pages 7 through 15 of this amendment.

**This document constitutes an amendment to the above numbered contract. All provisions of that contract, except those explicitly changed above by this amendment, shall remain in full force and effect.**

PART I

FINANCIAL SUMMARY  
SFY2013 AFTER 1/1/13 COLA

PROGRAM NAME:  
PROGRAM NUMBER:

City of New Haven Healthy Start Program
093-IUO-08 / 12DSS100ITG A1

Contract Amount	Requested	Adjustments	Approved
<i>For Amendments Only</i>			
Previously Approved Contract Amount	\$ 423,936	\$ 1,872	\$ 425,808
Amount of Amendment			\$

Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	<b>UNIT RATE</b>				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs				
	<b>TOTAL UNIT RATE</b>				
2	<b>CONTRACTUAL SERVICES</b>				
	2a. Accounting	3,000.00			3,000.00
	2b. Legal				
	2c. Independent Audit				
	2d. Other Contractual Services	35,000.00			35,000.00
	<b>TOTAL CONTRACTUAL SERVICES</b>		38,000.00		38,000.00
3	<b>ADMINISTRATION</b>				
	3a. Admin. Salaries				
	3b. Admin. Fringe Benefits				
	3c. Admin. Overhead				
	<b>TOTAL ADMINISTRATION</b>				
4	<b>DIRECT PROGRAM STAFF</b>				
	4a. Program Salaries	235,855.00		1,872.00	237,727.00
	4b. Program Fringe Benefits	131,529.89			131,529.89
	<b>TOTAL DIRECT PROGRAM</b>		367,384.89		369,256.89
5	<b>OTHER COSTS</b>				
	5a. Program Rent	5,896.38			5,896.38
	5b. Consumable Supplies	2,654.73			2,654.73
	5c. Travel & Transportation	5,700.00			5,700.00
	5d. Utilities				
	5e. Repairs & Maintenance				
	5f. Insurance				
	5g. Food & Related Costs				
	5h. Other Project Expenses	2,300.00			2,300.00
	<b>TOTAL OTHER COSTS</b>		16,551.11		16,551.11
6	<b>EQUIPMENT</b>	2,000.00	2,000.00		2,000.00
7	<b>PROGRAM INCOME</b>				
	7a. Fees				
	7b. Other Income				
	<b>TOTAL PROGRAM INCOME</b>				
8	<b>TOTAL NET PROGRAM COST</b> (Sum of 1 through 6, minus Line 7)		423,936.00	0.00	425,808.00

PART I  
 PROGRAM NAME:  
 PROGRAM NUMBER:

FINANCIAL SUMMARY

City of New Haven Healthy Start Program  
 093-HUC-08 / 0128S100TTG A1

SFY 2013 AFTER 2013 RESCISSION

Contract Amount	Requested	Adjustments	Approved
For Amendments Only			
Previously Approved Contract Amount			
Amount of Amendment	425,808.00	-19,290.00	406,518.00

Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	<u>UNIT RATE</u>				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs				
	TOTAL UNIT RATE				
2	<u>CONTRACTUAL SERVICES</u>				
	2a. Accounting/data management	3,000.00		-1,606.25	1,393.75
	2b. Legal				
	2c. Independent Audit				
	2d. Other Contractual Services	35,000.00		-5,000.00	30,000.00
	TOTAL CONTRACTUAL SERVICES		38,000.00	-6,606.25	31,393.75
3	<u>ADMINISTRATION</u>				
	3a. Admin. Salaries (In-Kind)				
	3b. Admin. Fringe Benefits				
	3c. Admin. Overhead				
	TOTAL ADMINISTRATION				
4	<u>DIRECT PROGRAM STAFF</u>				
	4a. Program Salaries	237,727.00		-1,122.00	236,605.00
	4b. Program Fringe Benefits	131,529.89		-5,968.50	125,561.39
	TOTAL DIRECT PROGRAM		369,256.89	-7,090.50	362,166.39
5	<u>OTHER COSTS</u>				
	5a. Program Rent	5,896.38		211.08	5,685.30
	5b. Consumable Supplies	2,654.73		-1,082.17	1,572.56
	5c. Travel & Transportation	5,700.00			5,700.00
	5d. Utilities				
	5e. Repairs & Maintenance				
	5f. Insurance				
	5g. Food & Related Costs				
	5h. Other Project Expenses	2,300.00		-2,300.00	0.00
	TOTAL OTHER COSTS		16,551.11	-3,593.25	12,957.86
6	<u>EQUIPMENT</u>				
		2,000.00	2,000.00	-2,000.00	0.00
7	<u>PROGRAM INCOME</u>				
	7a. Fees				
	7b. Other Income				
	TOTAL PROGRAM INCOME				
8	<u>TOTAL NET PROGRAM COST</u> (Sum of 1 through 6, minus Line 7)		425,808.00	-19,290.00	406,518.00

PART I

FINANCIAL SUMMARY

PROGRAM NAME:  
PROGRAM NUMBER:

City of New Haven Healthy Start Program
093-1100-08/ 12DSS1001TG
FY 2014 after 7/1/3 COLA

Contract Amount	Requested	Adjustments	Approved
For Amendments Only			
Previously Approved Contract Amount			
Amount of Amendment	406,518.00	2,372.00	408,890.00

Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	<u>UNIT RATE</u>				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs				
	TOTAL UNIT RATE				
2	<u>CONTRACTUAL SERVICES</u>				
	2a. Accounting/data management	1,393.75			1,393.75
	2b. Legal				
	2c. Independent Audit				
	2d. Other Contractual Services	30,000.00			30,000.00
	TOTAL CONTRACTUAL SERVICES		31,393.75	0.00	31,393.75
3	<u>ADMINISTRATION</u>				
	3a. Admin. Salaries (In-Kind)				
	3b. Admin. Fringe Benefits				
	3c. Admin. Overhead				
	TOTAL ADMINISTRATION				
4	<u>DIRECT PROGRAM STAFF</u>				
	4a. Program Salaries	236,605.00		1,423.20	238,028.20
	4b. Program Fringe Benefits	125,561.39		948.80	126,510.19
	TOTAL DIRECT PROGRAM		362,166.39		364,538.39
5	<u>OTHER COSTS</u>				
	5a. Program Rent	5,685.30			5,685.30
	5b. Consumable Supplies	1,572.56			1,572.56
	5c. Travel & Transportation	5,700.00			5,700.00
	5d. Utilities				
	5e. Repairs & Maintenance				
	5f. Insurance				
	5g. Food & Related Costs				
	5h. Other Project Expenses	0.00			0.00
	TOTAL OTHER COSTS		12,957.86	0.00	12,957.86
6	<u>EQUIPMENT</u>				
7	<u>PROGRAM INCOME</u>				
	7a. Fees				
	7b. Other Income				
	TOTAL PROGRAM INCOME				
8	<u>TOTAL NET PROGRAM COST</u> (Sum of 1 through 6, minus Line 7)	406,518.00	406,518.00	2,372.00	408,890.00

PART I

FINANCIAL SUMMARY

PROGRAM NAME:  
PROGRAM NUMBER:

City of New Haven Healthy Start Program
093-HUC-08 / FIDSS1001TG

SY 2015 Yearly Budget

Contract Amount	Requested	Adjustments	Approved
	\$366,518	DP11-MC11 20,000	\$386,518
For Amendments Only			
Previously Approved Contract Amount	386,518		386,518
Amount of Amendment		2,372	388,890

Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	<u>UNIT RATE</u>				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs				
	TOTAL UNIT RATE				
2	<u>CONTRACTUAL SERVICES</u>				
	2a. Accounting/data management	1,393.75			1,393.75
	2b. Legal				
	2c. Independent Audit				
	2d. Other Contractual Services	28,500.00			28,500.00
	TOTAL CONTRACTUAL SERVICES		29,893.75		29,893.75
3	<u>ADMINISTRATION</u>				
	3a. Admin. Salaries (In-Kind)	21,191.00			
	3b. Admin. Fringe Benefits	13,785.98			
	3c. Admin. Overhead				
	TOTAL ADMINISTRATION		0.00		
4	<u>DIRECT PROGRAM STAFF</u>				
	4a. Program Salaries	221,684.75		1,423.20	223,107.95
	4b. Program Fringe Benefits	118,719.49		948.80	119,668.29
	TOTAL DIRECT PROGRAM		340,404.24	2,372.00	342,776.24
5	<u>OTHER COSTS</u>				
	5a. Program Rent	5,577.70			5,577.70
	5b. Consumable Supplies	2,500.00			2,500.00
	5c. Travel & Transportation	5,700.00			5,700.00
	5d. Utilities				
	5e. Repairs & Maintenance				
	5f. Insurance				
	5g. Food & Related Costs				
	5h. Other Project Expenses	2,442.31			2,442.31
	TOTAL OTHER COSTS		16,220.01		16,220.01
6	<u>EQUIPMENT</u>				
7	<u>PROGRAM INCOME</u>				
	7a. Fees				
	7b. Other Income				
	TOTAL PROGRAM INCOME				
8	<u>TOTAL NET PROGRAM COST</u> (Sum of 1 through 6, minus Line 7)		386,518.00	2,372.00	388,890.00

## Health Insurance Portability and Accountability Act of 1996.

- (a) If the Contactor is a Business Associate under the requirements of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), the Contractor must comply with all terms and conditions of this Section of the Contract. If the Contractor is not a Business Associate under HIPAA, this Section of the Contract does not apply to the Contractor for this Contract.
- (b) The Contractor is required to safeguard the use, publication and disclosure of information on all applicants for, and all clients who receive, services under the Contract in accordance with all applicable federal and state law regarding confidentiality, which includes but is not limited to HIPAA, more specifically with the Privacy and Security Rules at 45 C.F.R. Part 160 and Part 164, subparts A, C, and E; and
- (c) The State of Connecticut Agency named on page 1 of this Contract ("Agency") is a "covered entity" as that term is defined in 45 C.F.R. § 160.103; and
- (d) The Contractor, on behalf of the Agency, performs functions that involve the use or disclosure of "individually identifiable health information," as that term is defined in 45 C.F.R. § 160.103; and
- (e) The Contractor is a "business associate" of the Agency, as that term is defined in 45 C.F.R. § 160.103; and
- (f) The Contractor and the Agency agree to the following in order to secure compliance with the HIPAA, the requirements of Subtitle D of the Health Information Technology for Economic and Clinical Health Act ("HITECH Act"), (Pub. L. 111-5, §§ 13400 to 13423), and more specifically with the Privacy and Security Rules at 45 C.F.R. Part 160 and Part 164, subparts A, C, and E.
- (g) Definitions
  - (1) "Breach" shall have the same meaning as the term is defined in section 13400 of the HITECH Act (42 U.S.C. § 17921(1)).
  - (2) "Business Associate" shall mean the Contractor.
  - (3) "Covered Entity" shall mean the Agency of the State of Connecticut named on page 1 of this Contract.
  - (4) "Designated Record Set" shall have the same meaning as the term "designated record set" in 45 C.F.R. § 164.501.
  - (5) "Electronic Health Record" shall have the same meaning as the term is defined in section 13400 of the HITECH Act (42 U.S.C. § 17921(5)).
  - (6) "Individual" shall have the same meaning as the term "individual" in 45 C.F.R. § 160.103 and shall include a person who qualifies as a personal representative as defined in 45 C.F.R. § 164.502(g).
  - (7) "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. part 160 and part 164, subparts A and E.
  - (8) "Protected Health Information" or "PHI" shall have the same meaning as the term "protected health information" in 45 C.F.R. § 160.103, limited to information created or received by the Business Associate from or on behalf of the Covered Entity.
  - (9) "Required by Law" shall have the same meaning as the term "required by law" in 45 C.F.R. § 164.103.

- (10) "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.
  - (11) "More stringent" shall have the same meaning as the term "more stringent" in 45 C.F.R. § 160.202.
  - (12) "This Section of the Contract" refers to the HIPAA Provisions stated herein, in their entirety.
  - (13) "Security Incident" shall have the same meaning as the term "security incident" in 45 C.F.R. § 164.304.
  - (14) "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. part 160 and part 164, subpart A and C.
  - (15) "Unsecured protected health information" shall have the same meaning as the term as defined in section 13402(b)(1)(A) of HITECH Act. (42 U.S.C. §17932(h)(1)(A)).
- (h) Obligations and Activities of Business Associates.
- (1) Business Associate agrees not to use or disclose PHI other than as permitted or required by this Section of the Contract or as Required by Law.
  - (2) Business Associate agrees to use appropriate safeguards to prevent use or disclosure of PHI other than as provided for in this Section of the Contract.
  - (3) Business Associate agrees to use administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of electronic protected health information that it creates, receives, maintains, or transmits on behalf of the Covered Entity.
  - (4) Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of a use or disclosure of PHI by Business Associate in violation of this Section of the Contract.
  - (5) Business Associate agrees to report to Covered Entity any use or disclosure of PHI not provided for by this Section of the Contract or any security incident of which it becomes aware.
  - (6) Business Associate agrees to insure that any agent, including a subcontractor, to whom it provides PHI received from, or created or received by Business Associate, on behalf of the Covered Entity, agrees to the same restrictions and conditions that apply through this Section of the Contract to Business Associate with respect to such information.
  - (7) Business Associate agrees to provide access, at the request of the Covered Entity, and in the time and manner agreed to by the parties, to PHI in a Designated Record Set, to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 C.F.R. § 164.524.
  - (8) Business Associate agrees to make any amendments to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 C.F.R. § 164.526 at the request of the Covered Entity, and in the time and manner agreed to by the parties.
  - (9) Business Associate agrees to make internal practices, books, and records, including policies and procedures and PHI, relating to the use and disclosure of PHI received from, or created or received by, Business Associate on behalf of Covered Entity, available to Covered Entity or to the Secretary in a time and manner agreed to by the parties or designated by the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.

- (10) Business Associate agrees to document such disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.
- (11) Business Associate agrees to provide to Covered Entity, in a time and manner agreed to by the parties, information collected in accordance with subsection (h)(10) of this Section of the Contract, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder. Business Associate agrees at the Covered Entity's direction to provide an accounting of disclosures of PHI directly to an individual in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.
- (12) Business Associate agrees to comply with any state or federal law that is more stringent than the Privacy Rule.
- (13) Business Associate agrees to comply with the requirements of the HITECH Act relating to privacy and security that are applicable to the Covered Entity and with the requirements of 45 C.F.R. §§ 164.504(c), 164.308, 164.310, 164.312, and 164.316.
- (14) In the event that an individual requests that the Business Associate
  - (A) restrict disclosures of PHI;
  - (B) provide an accounting of disclosures of the individual's PHI; or
  - (C) provide a copy of the individual's PHI in an electronic health record,
  - (D) the Business Associate agrees to notify the covered entity, in writing, within five (5) business days of the request.
- (15) Business Associate agrees that it shall not, directly or indirectly, receive any remuneration in exchange for PHI of an individual without
  - (A) the written approval of the covered entity, unless receipt of remuneration in exchange for PHI is expressly authorized by this Contract and
  - (B) the valid authorization of the individual, except for the purposes provided under section 13405(d)(2) of the HITECH Act, (42 U.S.C. § 17935(d)(2)) and in any accompanying regulations
- (16) Obligations in the Event of a Breach.
  - (A) The Business Associate agrees that, following the discovery of a breach of unsecured protected health information, it shall notify the Covered Entity of such breach in accordance with the requirements of section 13402 of HITECH (42 U.S.C. § 17932(b)) and this Section of the Contract.
  - (B) Such notification shall be provided by the Business Associate to the Covered Entity without unreasonable delay, and in no case later than 30 days after the breach is discovered by the Business Associate, except as otherwise instructed in writing by a law enforcement official pursuant to section 13402(g) of HITECH (42 U.S.C. § 17932(g)). A breach is considered discovered as of the first day on which it is, or reasonably should have been, known to the Business Associate. The notification shall include the identification and last

known address, phone number and email address of each individual (or the next of kin of the individual if the individual is deceased) whose unsecured protected health information has been, or is reasonably believed by the Business Associate to have been, accessed, acquired, or disclosed during such breach.

(C) The Business Associate agrees to include in the notification to the Covered Entity at least the following information:

1. A brief description of what happened, including the date of the breach and the date of the discovery of the breach, if known.
2. A description of the types of unsecured protected health information that were involved in the breach (such as full name, Social Security number, date of birth, home address, account number, or disability code).
3. The steps the Business Associate recommends that individuals take to protect themselves from potential harm resulting from the breach.
4. A detailed description of what the Business Associate is doing to investigate the breach, to mitigate losses, and to protect against any further breaches.
5. Whether a law enforcement official has advised either verbally or in writing the Business Associate that he or she has determined that notification or notice to individuals or the posting required under section 13402 of the HITECH Act would impede a criminal investigation or cause damage to national security and; if so, include contact information for said official.

(D) Business Associate agrees to provide appropriate staffing and have established procedures to ensure that individuals informed by the Covered Entity of a breach by the Business Associate have the opportunity to ask questions and contact the Business Associate for additional information regarding the breach. Such procedures shall include a toll-free telephone number, an e-mail address, a posting on its Web site or a postal address. For breaches involving ten or more individuals whose contact information is insufficient or out of date to allow written notification under 45 C.F.R. § 164.404(d)(1)(i), the Business Associate shall notify the Covered Entity of such persons and maintain a toll-free telephone number for ninety (90) days after said notification is sent to the Covered Entity. Business Associate agrees to include in the notification of a breach by the Business Associate to the Covered Entity, a written description of the procedures that have been established to meet these requirements. Costs of such contact procedures will be borne by the Contractor.

(E) Business Associate agrees that, in the event of a breach, it has the burden to demonstrate that it has complied with all notifications requirements set forth above, including evidence demonstrating the necessity of a delay in notification to the Covered Entity.

(i) Permitted Uses and Disclosure by Business Associate.

(1) General Use and Disclosure Provisions Except as otherwise limited in this Section of the Contract, Business Associate may use or disclose PHI to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in this Contract, provided that such use or disclosure would not violate the Privacy Rule if done by Covered Entity or the minimum necessary policies and procedures of the Covered Entity.

(2) Specific Use and Disclosure Provisions

- (A) Except as otherwise limited in this Section of the Contract, Business Associate may use PII for the proper management and administration of Business Associate or to carry out the legal responsibilities of Business Associate.
  - (B) Except as otherwise limited in this Section of the Contract, Business Associate may disclose PII for the proper management and administration of Business Associate, provided that disclosures are Required by Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required by Law or for the purpose for which it was disclosed to the person, and the person notifies Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
  - (C) Except as otherwise limited in this Section of the Contract, Business Associate may use PII to provide Data Aggregation services to Covered Entity as permitted by 45 C.F.R. § 164.504(c)(2)(i)(B).
- (j) Obligations of Covered Entity.
- (1) Covered Entity shall notify Business Associate of any limitations in its notice of privacy practices of Covered Entity, in accordance with 45 C.F.R. § 164.520, or to the extent that such limitation may affect Business Associate's use or disclosure of PII.
  - (2) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by Individual to use or disclose PII, to the extent that such changes may affect Business Associate's use or disclosure of PII.
  - (3) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of PII that Covered Entity has agreed to in accordance with 45 C.F.R. § 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PII.
- (k) Permissible Requests by Covered Entity. Covered Entity shall not request Business Associate to use or disclose PII in any manner that would not be permissible under the Privacy Rule if done by the Covered Entity, except that Business Associate may use and disclose PII for data aggregation, and management and administrative activities of Business Associate, as permitted under this Section of the Contract.
- (l) Term and Termination.
- (1) Term. The Term of this Section of the Contract shall be effective as of the date the Contract is effective and shall terminate when the information collected in accordance with provision (h)(10) of this Section of the Contract is provided to the Covered Entity and all of the PII provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy PII, protections are extended to such information, in accordance with the termination provisions in this Section.
  - (2) Termination for Cause Upon Covered Entity's knowledge of a material breach by Business Associate, Covered Entity shall either:
    - (A) Provide an opportunity for Business Associate to cure the breach or end the violation and terminate the Contract if Business Associate does not cure the breach or end the violation within the time specified by the Covered Entity; or
    - (B) Immediately terminate the Contract if Business Associate has breached a material term of this Section of the Contract and cure is not possible; or

(C) If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.

(3) Effect of Termination.

(A) Except as provided in (1)(2) of this Section of the Contract, upon termination of this Contract, for any reason, Business Associate shall return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. Business Associate shall also provide the information collected in accordance with section (h)(10) of this Section of the Contract to the Covered Entity within ten business days of the notice of termination. This section shall apply to PHI that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the PHI.

(B) In the event that Business Associate determines that returning or destroying the PHI is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon documentation by Business Associate that return or destruction of PHI is infeasible, Business Associate shall extend the protections of this Section of the Contract to such PHI and limit further uses and disclosures of PHI to those purposes that make return or destruction infeasible, for as long as Business Associate maintains such PHI. Infeasibility of the return or destruction of PHI includes, but is not limited to, requirements under state or federal law that the Business Associate maintains or preserves the PHI or copies thereof.

(m) Miscellaneous Sections.

(1) Regulatory References. A reference in this Section of the Contract to a section in the Privacy Rule means the section as in effect or as amended.

(2) Amendment. The Parties agree to take such action as is necessary to amend this Section of the Contract from time to time as is necessary for Covered Entity to comply with requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.

(3) Survival. The respective rights and obligations of Business Associate shall survive the termination of this Contract.

(4) Effect on Contract. Except as specifically required to implement the purposes of this Section of the Contract, all other terms of the Contract shall remain in force and effect.

(5) Construction. This Section of the Contract shall be construed as broadly as necessary to implement and comply with the Privacy Standard. Any ambiguity in this Section of the Contract shall be resolved in favor of a meaning that complies, and is consistent with, the Privacy Standard.

(6) Disclaimer. Covered Entity makes no warranty or representation that compliance with this Section of the Contract will be adequate or satisfactory for Business Associate's own purposes. Covered Entity shall not be liable to Business Associate for any claim, civil or criminal penalty, loss or damage related to or arising from the unauthorized use or disclosure of PHI by Business Associate or any of its officers, directors, employees, contractors or agents, or any third party to whom Business Associate has disclosed PHI contrary to the sections of this Contract or applicable law. Business Associate is solely responsible for all decisions made, and actions taken, by Business Associate regarding the safeguarding, use and disclosure of PHI within its possession, custody or control.

- (7) Indemnification. The Business Associate shall indemnify and hold the Covered Entity harmless from and against any and all claims, liabilities, judgments, fines, assessments, penalties, awards and any statutory damages that may be imposed or assessed pursuant to HIPAA, as amended or the HITECH Act, including, without limitation, attorney's fees, expert witness fees, costs of investigation, litigation or dispute resolution, and costs awarded thereunder, relating to or arising out of any violation by the Business Associate and its agents, including subcontractors, of any obligation of Business Associate and its agents, including subcontractors, under this section of the contract, under HIPAA, the HITECH Act, the Privacy Rule and the Security Rule.

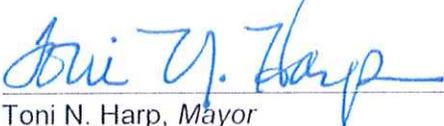
**SIGNATURES AND APPROVALS**

**093-HUO-08 / 12DSS1001TG A1**

The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

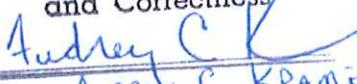
**CONTRACTOR - CITY OF NEW HAVEN**

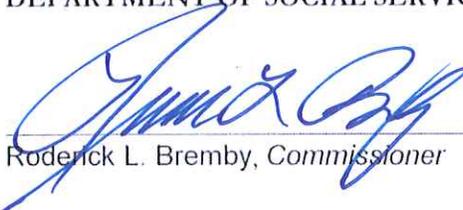
  
\_\_\_\_\_  
Toni N. Harp, Mayor

6/12/14  
\_\_\_\_\_  
Date

Approved as to Form  
and Correctness

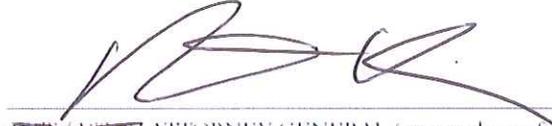
**DEPARTMENT OF SOCIAL SERVICES**

  
\_\_\_\_\_  
Audrey C. KRAMER  
ASST. CORP. COUNSEL

  
\_\_\_\_\_  
Roderick L. Bremby, Commissioner

6/27/14  
\_\_\_\_\_  
Date

**OFFICE OF THE ATTORNEY GENERAL**

  
\_\_\_\_\_  
~~ASSOC. ATTORNEY GENERAL (approved as to form & legal sufficiency)~~  
Asst. Robert W. Clark

7/7/14  
\_\_\_\_\_  
Date