

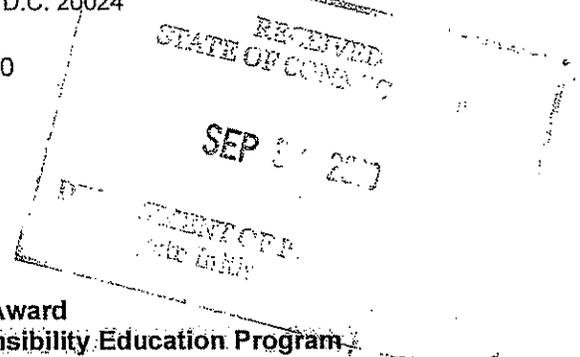


DEPARTMENT OF HEALTH & HUMAN SERVICES

ADMINISTRATION FOR CHILDREN AND FAMILIES
ADMINISTRATION ON CHILDREN, YOUTH AND FAMILIES
1250 Maryland Ave., SW
Washington, D.C. 20024

September 27, 2010

Director
Connecticut Department of Public Health
Family Health Section
410 Capitol Ave
MS #11MAT
Hartford, CT 06134-0308



Re: Notice of Grant Award
Personal Responsibility Education Program
FY 2010

Dear Grantee:

The following award is the allocated amount for the fiscal year indicated for the Personal Responsibility Education Program in accordance with Section 513 of the Social Security Act .

Award Amount: \$596,440

Catalog of Federal Domestic Assistance (CFDA) Program Number	Entity Identification Number (EIN)	Appropriation Number	Grant Document Number (GDN)	Common Accounting Number (CAN)	Amount
93.092	1-066000798-A9	75-X-1512	1001CTPREP	2010G99UTSU	\$596,440

The project period for these funds starts **08/02/2010**. These funds must be obligated **no later than 09/30/2012** and liquidated **no later than 12/31/2012**. Any funds that remain unobligated or unliquidated after these dates will be recouped by this agency.

By accepting this award, the State agrees to use these funds in accordance with the provisions of this program's Terms and Conditions (copy enclosed) and all applicable Federal laws, regulations and policies governing the use of Federal funds and the submission of periodic financial reports. Any expenditure found to have been made in violation of these requirements is subject to disallowance and recoupment by this agency and the imposition of additional interest charges under 45 CFR 30.13 and 30.14.

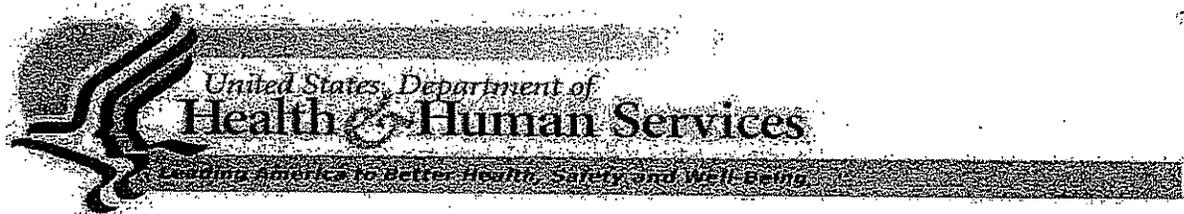
Specifically, the State agrees to comply with the provisions of Federal regulations (31 CFR 205) that implements the Cash Management Improvement Act by limiting the amount and timing of your requests to draw Federal funds to the minimum amount necessary to meet actual and immediate program needs and requirements. Failure to adhere to these requirements may result in the unobligated portion of your letter-of-credit to be revoked.

Grant funds are made available through the HHS Payment Management System (PMS). Questions concerning payments should be directed to: Division of Payment Management, PO Box 6021, Rockville, Maryland 20852 (Internet web site: <http://www.dpm.psc.gov>), or to the PMS Help Desk at (877) 614-5533. Questions concerning the program should be directed to LeBretia White, Administration on Children, Youth and Families, at (202) 205-9605 or at lebetria.white@acf.hhs.gov; questions concerning financial reporting should be directed to Nathaniel Morris West, Office of Grants Management at (202) 401-1230 or at nathaniel.west@acf.hhs.gov.

Sincerely,

Joseph M. Lonergan
Director
Division of Mandatory Grants

IMPORTANT These funds may not be drawn down by the State until it submits an acceptable Post-Award State Plan as required by the terms of the Funding Opportunity Announcement. The failure to submit this plan could result in the rescission of this award.



ADMINISTRATION FOR CHILDREN AND FAMILIES
ADMINISTRATION ON CHILDREN, YOUTH AND FAMILIES
FAMILY AND YOUTH SERVICES BUREAU

PERSONAL RESPONSIBILITY EDUCATION PROGRAM GRANT

Catalog of Federal Domestic Assistance (CFDA) Program No. 93.092

TERMS AND CONDITIONS
FISCAL YEAR 2010
October 1, 2009 – September 30, 2010

Chuma,
Is this a mistake?
SB 2011? See
SPONT PG FOR
Award.
Thanks
M.

By acceptance of any this award, the State or Territory agrees to comply with the terms and conditions detailed below. Failure to comply with these terms and conditions may result in the loss of Federal funds and may be considered grounds for the suspension or termination of one or more of these grants.

PROGRAM STANDARDS

1. The administration of this program is based on:
 - the provisions of **Section 513 of the Social Security Act**
 - the approved application and plan, including all assurances, approved amendments or revisions
 - applicable Federal regulations, program policies and instructions

ADMINISTRATIVE REQUIREMENTS

2. This program is governed by the following Federal regulations:
 - **2 CFR Part 225** – Cost Principles for State, Local and Indian Tribal Governments
 - **45 CFR Part 16** – Procedures of the Departmental Grant Appeals Board;
 - **45 CFR Part 30** – Claims Collection;
 - **45 CFR Part 76** – Debarment and Suspension from Eligibility for Financial Assistance (Nonprocurement);
 - **45 CFR Part 80** - Nondiscrimination Under Programs Receiving Federal Assistance through the Department of Health and Human Services, Effectuation of Title VI of the Civil Rights Act of 1964;
 - **45 CFR Part 81** - Practice and Procedure for Hearings Under Part 80 of this Title;
 - **45 CFR Part 84** - Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance;
 - **45 CFR Part 86** – Nondiscrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance;
 - **45 CFR Part 87** – Equal Treatment for Faith-Based Organizations;
 - **45 CFR Part 91** – Nondiscrimination on the Basis of Age in HHS Programs or Activities Receiving Federal Financial Assistance;
 - **45 CFR Part 92** – Uniform Administrative Requirements for Grants and Cooperative Agreements to State, and Local, and Tribal Governments;
 - **45 CFR Part 93** – New Restrictions on Lobbying;

- **45 CFR Part 95, Subpart E – Cost Allocation Plans;**

3. This program is governed by Office of Management and Budget **Circular A-133**, "Audits of States, Local Governments, and Non-Profit Organizations," issued pursuant to the Single Audit Act of 1984 (Public Law 98-502) and the Single Audit Act Amendments of 1996 (Public Law 104-156)
4. In accordance with Public Law 103-333, the "Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 1995," the following provisions are applicable to this award:

Section 507: "Purchase of American-Made Equipment and Products - It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made."

Section 508: "When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all States receiving Federal funds, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources."

5. In accordance with Title XII of Public Law 103-227, the "PRO-KIDS Act of 1994," smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug and alcohol treatment.

The above language must be included in any subawards that contain provisions for children's services and all subgrantees shall certify compliance accordingly. Failure to comply with the provisions of this law may result in the imposition of a civil monetary penalty of up to \$1,000 per day.

6. Direct Federal grants, sub-awards, or contracts under this program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. (See 45 CFR Part 87.)
7. Federal grant funds provided under this award may not be used by the grantee or any sub-grantee to support lobbying activities to influence proposed or pending Federal or State legislation or appropriations. This prohibition is related to the use of Federal grant funds and is not intended to affect an individual's right or that of any organization, to petition Congress, or any other level of Government, through the use of other resources. (See 45 CFR Part 93.)

8. This award is subject to the requirements of Section 106(g) of the Trafficking Victims Protection Act of 2000 (22 USC 7104). The full text of this requirement is found at http://www.acf.gov/grants/award_terms.html.

SUB-RECIPIENTS UNDER GRANTS

9. The State is required to determine recipient type when sub-granting or contracting using Federal funds. In accordance with the standards set in OMB Circular A-133, the determination is based on the substance of the relationship with the State, rather than the form of the agreement.

The presence of one or more of the following conditions would indicate that the recipient should be considered a sub-grantee and is subject to the provisions of OMB Circular A-133:

- a. Determines who is eligible to receive what Federal financial assistance;
- b. Has its performance measured against whether the objectives of the Federal program are met;
- c. Has responsibility for programmatic decision making;
- d. Has responsibility for adherence to applicable Federal program compliance requirements;
- e. Uses the Federal funds to carry out a program of the organization as compared to providing goods or services for a program of the pass-through entity;

The presence of one or more of the following conditions would indicate that the recipient should be considered a vendor and is not subject to the provisions of OMB Circular A-133:

- a. Provides the goods and services within normal business operations;
- b. Provides similar goods or services to many different purchasers;
- c. Operates in a competitive environment;
- d. Provides goods or services that are ancillary to the operation of the Federal program;
- e. Is not subject to compliance requirements of the Federal program.

10. No organization may participate in this program in any capacity or be a recipient of Federal funds designated for this program if the organization has been debarred or suspended or otherwise found to be ineligible for participation in Federal assistance programs under Executive Order 12549, "Debarment and Suspension." (See 45 CFR 92.35.) The State must include a similar term and/or condition for all sub-awards or contracts awarded under this program. Prior to issuing subawards or contracts under these grants, the state must consult the ineligible parties list to ensure that organizations under funding consideration are not ineligible. The list is available on the Web at <http://www.epls.gov>.
11. The State is responsible for monitoring grant, sub-grant/sub-recipient and contract supported activities to assure compliance with Federal requirements and that performance goals are being achieved. Grantee monitoring must cover each program, function and activity. (See 45 CFR 92.40.)
12. The State is required to advise sub-grantees/sub-recipients of requirements imposed on them by Federal laws, regulations, and the provisions of grant agreements or contracts as well as any supplemental requirements imposed by the State. These include grant administrative requirements under 45 CFR Part 92, audit requirements under OMB Circular A-133 and cost principles according to recipient type:

- Non-Profit Organizations: 2 CFR Part 230;
- Educational Institutions: 2 CFR Part 220;
- Commercial Vendors or Subcontractors: 48 CFR Part 31.

FINANCIAL MANAGEMENT

13. **NOTE:** The draw down of funds included in this award is temporarily restricted until the State submits an acceptable Post-Award State Plan as required by the terms of the Funding Opportunity Announcement. The failure to submit this plan could result in the rescission of this award.

The State is required to submit this plan **no later than February 1, 2011**, by mail or email to the program office, *Att'n: Ms. LeBretia White* (See "Important Addresses," below).

14. Federal funds awarded under this program must not be used for construction or the purchase of land.
15. Federal funds awarded through these grants must be expended for the purposes for which they were awarded and within the time period allotted, in accordance with the deadlines discussed below.
16. Project Period, Obligation Period, Liquidation Period
- a. The "project periods" and "obligation periods" for this award are synonymous.
 - b. The Federal funds awarded under these grants for the current fiscal year must be obligated by the end of the obligation period (i.e., **no later than September 30, 2012, the last day of FY 2012**). Any funds not obligated by that date will be recouped by this Department.
 - c. In accordance with Federal regulations at 45 CFR 92.23(b), Federal funds awarded under these grants must be liquidated "not later than 90 days after the end of the (project) period" (i.e., **no later than December 30, 2012, 90 days after the last day of FY 2012**). Any funds not liquidated by that date will be recouped by this Department.

17. Financial Reports

In accordance with the requirements at 45 CFR 92.41, the State is required to file separate annual expenditure reports for each grant received, indicating the amount of Federal funds that have been obligated, liquidated and remain unobligated. Standard OMB Form SF-269A (Short Form) "Financial Status Report" is used for this purpose.

- a. The State is requested to file these reports electronically through the ACF On-Line Data Collection (OLDC) system. OLDC requires electronic signatures from the appropriate State official. (See Action Transmittal OA-ACF-AT-01-05, issued January 24, 2005.) When electronic reports are completed and submitted, no paper submission is required.
- b. For States that elect to submit paper copies of the required expenditure reports, send one (1) copy with an original signature of each submission of Form SF-269A (Short Form) to the financial office. Send an additional copy of each submission to the program office. (See "Important Addresses," below.)

- c. A "fillable" copy of Form SF-269A (Short Form) is available on the OMB web site at <http://www.whitehouse.gov/omb/grants/sf269a.pdf>
- d. Regardless of the method of submission, the State must adhere to the following submission schedule for financial reports:
 - An interim financial report, covering the period from the start of the project period through the end of the next fiscal year, must be submitted no later than 90 days following the end of the next Federal fiscal year (i.e., **no later than December 30, 2011**).
 - In accordance with Federal regulations at 45 CFR 92.23(b), the final financial report, covering the entire obligation and liquidation periods, must be submitted no later than the last day of the liquidation period (i.e., **no later than December 30, 2012**).

PROGRAM MANAGEMENT

18. Performance Reports.

A semi-annual narrative program performance report must describe the program activities carried out, including an assessment of the effectiveness of those activities in achieving the purposes of this grant.

Reports are due 30 days after the end of the 2nd and 4th fiscal quarters (i.e., no later than **April 30** and **October 30**), with the final performance report due 90 days following the end of the project period (i.e., **no later than December 30, 2012**)

Submit the report by mail or email to the program office, *Att'n: Ms. LeBretia White* (See "Important Addresses," below).

GRANT PAYMENTS

19. Payments under these grants will be made by Letter of Credit through the Department of Health and Human Services' Payment Management System (PMS) and *Smartlink*. The State must comply with requirements imposed by the PMS on-line system. Please direct any questions (include phone number) concerning grant payments to the payment office. (See "Important Addresses," below).

GRANTEE ADDRESS CHANGES

20. Grant award letters will be sent to the address in our records. Please send address changes or corrections to the financial office. (See "Important Addresses," below.) Addresses should not include individuals' names and, if possible, should be limited to four or five lines of text.

IMPORTANT ADDRESSES

- o Program Office: Administration for Children and Families
Administration on Children, Youth and Families
Family and Youth Services Bureau
Att'n: Personal Responsibility Education Program
1250 Maryland Ave., SW, Suite 8000
Washington, DC 20024

Contact: LeBretia White
e-mail: lebretia.white@acf.hhs.gov
phone: (202) 205-9605
fax: (202) 260-9345

- o Financial Office: Administration for Children and Families
Office of Grants Management
Division of Mandatory Grants
Att'n: Personal Responsibility Education Program
370 L'Enfant Promenade, S.W., 6th Floor East
Washington, D.C. 20447

Contact: Nathaniel Morris West
e-mail: nathaniel.west@acf.hhs.gov
phone: (202) 401-1230
fax: (202) 401-5644

- o Payment Office: U.S. Department of Health and Human Services
Division of Payment Management
Payment Management System (PMS)
P.O. Box 6021
Rockville, Maryland 20852

Contact: PMS Help Desk
phone: (877) 614-5533
Internet site: <http://www.dpm.psc.gov>

IMPORTANT NOTE

The U.S. Government Accountability Office (GAO) maintains **FraudNET**, a system for reporting allegations of fraud, waste and abuse under Federal grants and cooperative agreements. Reports are kept confidential; you need not provide your name. Information provided through the Internet web site is secure and all information is safeguarded against unauthorized disclosure.

Report the possible misuse of federal funds, through one of the following methods:

Phone: (800) 424-5454;
Fax: (202) 512-3086;
E-mail: fraudnet@gao.gov ;
Mail: GAO FraudNET
441 G Street N.W.
Washington, D.C. 20548

Please provide as much detailed information as possible in your report.



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

August 27, 2010

LeBretia White, Program Specialist
Family and Youth Service Bureau
Administration for Children and Families
U.S. Department of Health and Human Services
Portals Office Building, Suite 800
1250 Maryland Avenue, S.W.
Washington, DC 20024

RE: Personal Responsibility Education Program (PREP)
Funding Opportunity HHS-2010-ACF-ACYF-PREP-0125
CFDA No. 93.092

Dear Ms. White:

The Connecticut State Departments of Public Health, Children and Families, and Education are pleased to submit this application for Personal Responsibility Education Program (PREP), funding opportunity HHS-2010-ACF-ACYF-PREP-0125, Catalog of Federal Assistance number 93.092.

Connecticut has a strong commitment to improving maternal and child health, especially in underserved populations. We are most appreciative of the funding opportunity to the Department of Health and Human Services, Administration for Children and Families has provided to support replication of evidence-based programs that have been proven to reduce the pregnancy and birth rates for youth populations that are most vulnerable.

Should you need additional information, please contact me by telephone at (860) 509-8074 or by e-mail at Rosa.Biaggi@ct.gov.

Sincerely,

Rosa M. Biaggi, MPH, MPA
Chief, Family Health Section
State Title V Maternal and Child Health Director

Phone:



Telephone Device for the Deaf: (860) 509-7191

410 Capitol Avenue - MS # _____

P.O. Box 340308 Hartford, CT 06134

Affirmative Action / An Equal Opportunity Employer

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
--	--	--

* 3. Date Received: _____	4. Applicant Identifier: _____
------------------------------	-----------------------------------

5a. Federal Entity Identifier: _____	* 5b. Federal Award Identifier: _____
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State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
----------------------------------	--

8. APPLICANT INFORMATION:

* a. Legal Name: State of Connecticut Department of Public Health	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 06-6000798	* c. Organizational DUNS: 8078537910000

d. Address:

* Street1: 410 Capitol Avenue
Street2: MS #11 MAT
* City: Hartford
County: _____
* State: CT
Province: _____
* Country: USA: UNITED STATES
* Zip / Postal Code: 06134-0308

e. Organizational Unit:

Department Name: Department of Public Health	Division Name: Public Health Initiatives Branch, Family Health Section
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Rosa
Middle Name: M.	
* Last Name: Biaggi	
Suffix: _____	
Title: MPH, MPA, Chief, Family Health Section, State Title V Director	
Organizational Affiliation: DPH, Family Health Section	
* Telephone Number: 860 509-8074	Fax Number: 860 509-7720
* Email: rosa.biaggi@ct.gov	

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

Department of Health and Human Services, Administration for Children and Families

11. Catalog of Federal Domestic Assistance Number:

93.092

CFDA Title:

State Personal Responsibility Education Program (PREP)

*** 12. Funding Opportunity Number:**

HHS-2010-ACF-ACYF-PREP-0125

*** Title:**

13. Competition Identification Number:

Title:

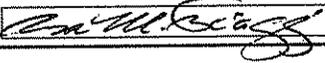
14. Areas Affected by Project (Cities, Counties, States, etc.):

ALL

*** 15. Descriptive Title of Applicant's Project:**

Project Title: State Personal Responsibility Education Program (PREP)

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424		Version 02
16. Congressional Districts Of:		
* a. Applicant	CT-001	* b. Program/Project <input type="text" value="ALL"/>
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/>		
17. Proposed Project:		
* a. Start Date:	<input type="text" value="9/2010"/>	* b. End Date: <input type="text" value="8/2011"/>
18. Estimated Funding (\$):		
* a. Federal	596,440	
* b. Applicant	0	
* c. State	0	
* d. Local	0	
* e. Other	0	
* f. Program Income	0	
* g. TOTAL	596,440	
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?		
<input type="checkbox"/>	a. This application was made available to the State under the Executive Order 12372 Process for review on	<input type="text"/>
<input type="checkbox"/>	b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/>	c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)		
<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)		
<input checked="" type="checkbox"/>	** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.		
Authorized Representative:		
Prefix:	<input type="text" value="Ms."/>	* First Name: <input type="text" value="Rosa"/>
Middle Name:	<input type="text" value="M."/>	
* Last Name:	<input type="text" value="Biaggi"/>	
Suffix:	<input type="text"/>	
* Title:	<input type="text" value="MPH, MPA, Chief, Family Health Section, State Title V Director"/>	
* Telephone Number:	<input type="text" value="860 509-8074"/>	Fax Number: <input type="text" value="860 509-7720"/>
* Email:	<input type="text" value="rosa.biaggi@ct.gov"/>	
* Signature of Authorized Representative:		* Date Signed: <input type="text" value="8/23/10"/>

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Project/Performance Site Location(s)

Project/Performance Site Primary Location

I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City: County: Province:

* State: * Country:

* Zip Code: * Project/ Performance Site Congressional District:

(-) Remove Site	Project/Performance Site Primary Location	<input type="checkbox"/> I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.
	Organization Name:	<input type="text"/>
	DUNS Number:	<input type="text"/>
	* Street1:	<input type="text"/>
	Street2:	<input type="text"/>
	* City:	<input type="text"/> County: <input type="text"/> Province: <input type="text"/>
	* State:	<input type="text" value="CT: Connecticut"/> * Country: <input type="text" value="USA: United States of America"/>
	* Zip Code:	<input type="text"/> * Project/ Performance Site Congressional District: <input type="text"/>
	(+) Add Next Site	

BUDGET INFORMATION -- Non-Construction Programs
 State of Connecticut Department of Public Health DUNS# 807853791

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		Total (g)
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	
1. State Personal Responsibility Education Program (PREP)	CFDA# 93.092	596,440				596,440
2.						
3.						
4.						
5. TOTALS		596,440				596,440

SECTION B - BUDGET CATEGORIES

Object Class categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	126,041				126,041
b. Fringe Benefits	76,961				76,961
c. Travel	11,650				11,650
d. Equipment	1,850				1,850
e. Supplies	1,000				1,000
f. Contractual	314,711				314,711
g. Construction	0				0
h. Other	18,600				18,600
i. Total Direct Charges (sum of 6a-6h)	550,813				550,813
j. Indirect Charges	45,627				45,627
k. TOTALS (sum of 6i and 6j)	596,440				596,440

		\$		\$		\$	
7. Program Income							
SECTION C - NON-FEDERAL RESOURCES							
	(a) Grant Program	(b) Applicant	(c) State	(c) Other Sources	(e) TOTALS		
8.							
9.							
10.							
11.							
12. TOTALS (sum of lines 8 and 11)							
SECTION D - FORECASTED CASH NEEDS							
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter		
13. Federal	596,440	149,110	149,110	149,110	149,110		
14. Non-Federal							
15. TOTAL (sum of lines 13 and 14)	596,440	149,110	149,110	149,110	149,110		
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT							
	(a) Grant Program	FUTURE FUNDING PERIODS (Years)					
		(b) First	(c) Second	(d) Third	(e) Fourth		
16. State Personal Responsibility Education Program		596,440	596,440	596,440	596,440		
17. CFDA# 93.092							
17.							
18.							
19.							
20. TOTALS (sum of lines 16-19)							
SECTION F - OTHER BUDGET INFORMATION (Attach additional Sheets if Necessary)							
21. Direct Charges:	550,813	22. Indirect Charges:			45,627		
23. Remarks: This is a one-year FY2010 application. Spend down of funds will be restricted until a state plan is written and submitted by 2/1/2011.							

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

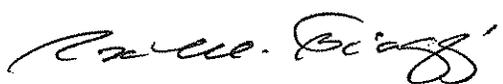
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

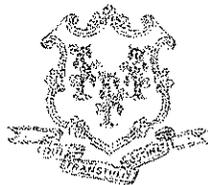
NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE MPH, MPA, Chief, Family Health Section, Title V N
APPLICANT ORGANIZATION State of Connecticut Department of Public Health	DATE SUBMITTED August 23, 2010



M. Jodi Rell

GOVERNOR

STATE OF CONNECTICUT

August 30, 2010

J. Robert Galvin, M.D., M.P.H., M.B.A.
Commissioner
Connecticut Department of Public Health
410 Capitol Avenue, MS #13COM
P.O. Box 340308
Hartford, Connecticut 06134-0308

RE: Designation of Lead Agency for CFDA Number 93.092
State Personal Responsibility Education Program

Dear Commissioner Galvin:

I, Governor M. Jodi Rell, designate the Department of Public Health as the lead agency in Connecticut to apply for and administer funding resulting from the new U. S. Department of Health and Human Services, Administration for Children and Families Funding Opportunity Announcement (FOA) ***State Personal Responsibility Education Program (CFDA) Number 93.092***. Approximately \$596,000 per year will be available CT for a total of \$2,980,000 over the five-year funding period (FY 2010 -2014).

The ***State Personal Responsibility Education Program*** under this announcement is designed to replicate evidence-based effective program models that have been proven on the basis of scientific research, to delay sexual activity, increase condom or contraceptive use for sexually active youth, or reduce teen pregnancy.

Because of its role in teen pregnancy prevention and maternal and child health initiatives, administration of the Title V Maternal and Child Health (MCH) Services Block Grant funds, and home of the designated State Adolescent Health Coordinator, the Department of Public Health is best positioned to be the lead agency to apply for and implement this program. I wish you success in the application process and look forward to the results of this important initiative on behalf of the people of Connecticut.

Sincerely,

M. Jodi Rell
Governor

c: State Department of Education
Department of Family and Children
Department of Social Services

Abbreviated Program Narrative:

Need Statement:

The State of Connecticut (CT) Department of Public Health (DPH) is pleased to submit this application for the *State Personal Responsibility Education Program (PREP)* grant. The DPH will partner with the State Department of Children and Families (DCF), and the CT State Department of Education (CSDE) on this project. Governor M. Jodi Rell has designated DPH as the Lead agency (see *Designation Letter*). The Department of Mental Health and Addiction Services (DMHAS), Planned Parenthood of Southern New England, Inc. (PPSNE), and True Colors, Inc. have offered their commitment to this project through letters of support.

The mission of the DPH is to protect and improve the health and safety of the people of Connecticut by: 1) assuring the conditions in which people can be healthy; 2) promoting physical and mental health; and 3) preventing disease, injury, and disability.

The purpose of this project is to replicate evidence-based personal responsibility education programs to target youth (ages 13 to 18) in Foster Care, with the intent to change behavior and delay sexual activity, increase condom or contraceptive use for sexually active youth, and/or reduce pregnancy among youth. The PREP will be managed in the DPH, Public Health Initiatives (PHI) Branch, Family Health Section (FHS). The Family Health Section, Sexually Transmitted Diseases (STD) Control Program, and HIV/AIDS Prevention Program are part of the PHI Branch. The Connecticut Adolescent Health Coordinator and the Maternal and Child Health (MCH) Title V Director work within the FHS. The MCH Title V Director will serve as the Principal Investigator (PI) on this project.

Teen pregnancy is a problem that has large-scale public health, educational and social impact. Pregnant teens are at increased risk for health complications, such as a premature birth,

delivering low birth weight infants, and other serious health problems including death. In 1990, the teen pregnancy rate was at its highest level with an estimated 116.9 births per 1,000 and a birth rate of 61.8 births per 1,000 in 1991. By 2002, the teen pregnancy rate dropped to 36% with 75.4 per 1,000, but the CDC reported a 3% increase in teen pregnancy rates in 2005 and 2006. In 2005, the Guttmacher Institute reported that 82% of all teen pregnancies in the United States (U.S.) are unintended. Two-thirds of teen pregnancies occur among teens 18-19 years old and teen mothers account for 11% of all births in the US (CDC, 2007). Black women between the ages of 15-19 are most likely to become pregnant with rates of 134 per 1,000 women, followed closely by Hispanic women with rates of 131 per 1,000. There are socioeconomic factors associated with teen pregnancy. The impact and effect of teen pregnancy and high school drop out is multi-generational. About 64 percent of those children born to an unmarried, teenage high-school dropout live in poverty, compared to 7 percent of children born to women over age 20 who are married and high school graduates.

Statistically significant disparities exist in teen birth rates among non-Hispanic, Black/African American teens and Hispanic teens, compared to non-Hispanic White/Caucasian teens. In 2008, the teen birth rate among Hispanic women was 78 per 1,000 women, and was nearly eight times higher than that among non-Hispanic White/Caucasian women, and four times higher than that of non-Hispanic Black/African American during the same period.

CT has 7 towns with teen birth rates greater than the U.S. rate of 42.5 per 1,000, and 8 towns exceeding the statewide rate of 25.0 (Table 1).

Table 1 CT Teen Birth Rates Exceeding State and National Rates

Town	Rate per 1,000 Females 15-19 years
New Britain	75.6
Hartford	64.3
Bridgeport	63.7
Waterbury	58.3
New Haven	50.6
Groton	45.5
Meriden	43.1
<hr/>	
East Hartford	37.5
Torrington	33.6
Norwich	30.1
New London	29.5
Windham	28.6
West Haven	27.7
Manchester	27.0
Danbury	26.3

← U.S.: 42.5

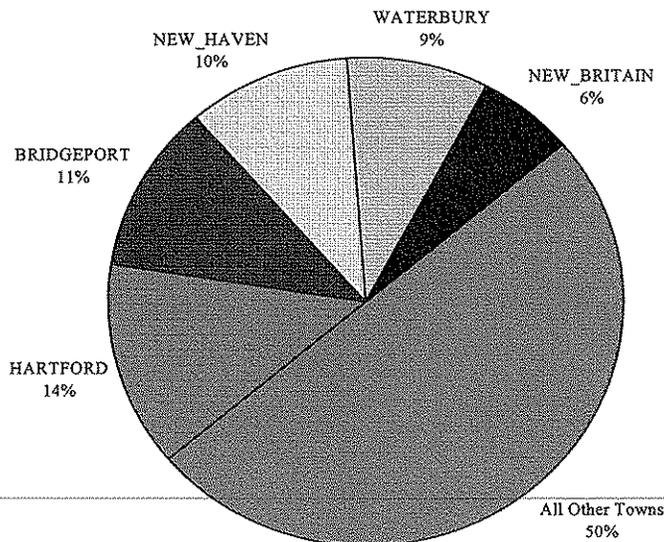
← CT: 25.0

Source: C. Stone, FHS, CT DPH (2010). Teen birth rates for calendar years 2006-2008 combined were calculated from birth records, courtesy of L. Mueller, F. Amadeo, and K. Backus, HISR, DPH. Denominators are 2006-2008 population estimates available via the U.S. Census Bureau's American Community Survey (ACS). The statewide teen birth rate is 25.0 per 1,000 females 15-19 years, and the 2007 U.S. teen birth rate is 42.5 per 1,000 females 15-19 years (CDC, National Vital Statistics Reports 57:12). Note: Denominators (i.e., ACS 3-year population estimates) were not available for all CT towns.

The towns with the 5 highest 3-year teen pregnancy rates between 2006-2008 were: New Britain, Hartford, Bridgeport, Waterbury and New Haven. These five towns, together, comprise half of all teen births in Connecticut between 2006-2008 as shown in *Figure 1*.

Figure 1

Proportion of All CT Teen Births between 2006-2008



The goal of the DPH's STD Control Program is to reduce the occurrence of STD through disease surveillance, case and outbreak investigation, screening, preventive therapy, outreach, diagnosis, case management, and education. In 2008, CT ranked 29th of all states with a Chlamydia rate of 357.4 cases per 100,000, 11% less than the national rate of 401.3 per 100,000, and ranked 28th of all states with a Gonorrhea rate of 80 cases per 100,000, 28% less than the national rate of 111.6 per 100,000. Since 2006, there has been a decrease in total number of early Syphilis cases (primary, secondary and early latent) in CT, however a 233% increase in Early Syphilis occurred in the first quarter of 2009, compared to the first quarter of 2008. The number of cases of early latent syphilis reported to CDC increased 15.2% from 10,768 to 12,401 nationally, between 2007 and 2008. STD data revealed that at the end of calendar year 2009, men having sex with men accounted for 85% of the reported 105 cases, and 32% of the total cases were co-infected with HIV (Table 2).

Table 2

RATES** PER 1,000 POPULATION*: REPORTED CASES CHLAMYDIA, GONORRHEA & EARLY SYPHILIS, CT, 2009

Town	Chlamydia	Gonorrhea	Early Syphilis	Combined Rates
1. Bridgeport	8.5	2.4	0.09	11
2. New Britain	5.4	0.8	0.06	6.3
3. New Haven	12.2	3.6	0.06	16
4. East Hartford	7.4	1.7	0	9.1
5. Hartford	15.4	4.1	0.12	20
6. Windham	7.4	0.5	0	7.9
7. New London	7.5	0.4	0	8.0
8. Waterbury	7.2	2.3	0.07	9.5
9. Meriden	4.5	0.1	0.07	4.6
10. Norwich	4.2	0.1	0.03	4.3
ALL CT TOWNS	3.6	0.8	0.03	4.4

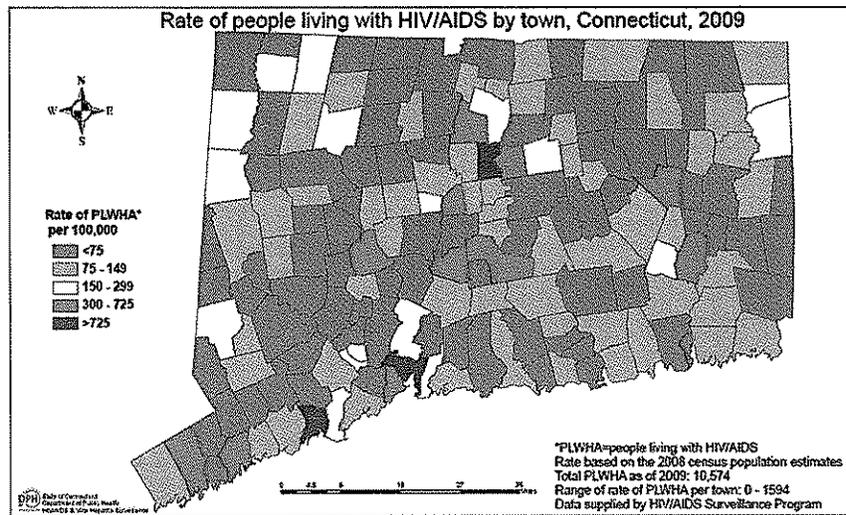
*Based on Connecticut Census data 2000

** Rates rounded to the nearest 10th

As displayed in *Figure 2*, the CT towns with the highest rates of people living with HIV/AIDS (300 to >725 per 100,000) include: Hartford, East Hartford, Bloomfield, New Britain,

Windham, Norwich, Waterbury, Meriden, Middletown, New Haven, West Haven, Norwalk, Bridgeport, and Stamford. There appear to be correlations between those cities and towns with high teen birth rates, and those with the highest STD and HIV rates.

Figure 2



There are significant costs associated with early child bearing in the U.S., including increased expenditures for health care, child welfare, and other public and privately funded services. The children of teen mothers are at increased risk of either being in foster care or being a victim of abuse and neglect when compared to children born to mothers aged 20 or older. The federal, state, and local costs associated with child welfare outcomes were \$2.3 billion in 2004 alone. According to the National Campaign to Prevent Teen Pregnancy (2005), teens in foster care, many of whom suffer abuse and neglect, are more likely than teens outside the system to get pregnant. Teen girls in foster care are 2.5 times more likely than their peers not in foster care to get pregnant by age 19, and half of 21-year-old men aging out of foster care report they had gotten someone pregnant, compared to 19 percent of their peers who were not in the system. Beyond this fiscal liability are the human costs both to the parents and children. Early parenting is linked to: 1) limited educational attainment that contributes to income disparities and poverty;

2) high levels of individual and family turmoil including relationship disruption, single parenting, child maltreatment, neglect, and out-of-home placements; 3) cyclical patterns of poor physical, mental, and developmental health outcomes; and 4) higher rates of incarceration (National Campaign to Prevent Teen Pregnancy, (2005). (*Fostering Hope. Preventing Teen Pregnancy Among Youth in Foster Care*. Washington, DC: Thiessen Love, L., McIntosh, J., Rosst, M., Tertzakian, K.).

There are more than a half million children living in foster care in the U.S. In a study by the Chapin Hall Center for Children at the University of Chicago, of a sample of more than 700 youth transitioning out of foster care, foster youth in the study reported higher rates of sexual activity than other teens. Ninety percent of 19 years olds in the study reported ever having had sexual intercourse compared to 78 percent of 19 year olds from the Add Health Survey. The researchers found that the proportion of girls in foster care who had been pregnant at least once increased to 48% by age 19, and 71% by age 21. The National Campaign to Prevent Teen and Unplanned Pregnancy reported that teen girls in foster care are 2.5 times more likely than their peers not in foster care to get pregnant by age 19. Half of the 21 year old men aging out of foster care report they had gotten someone pregnant compared to 19% of their peers who were not in the system. (www.TheNationalCampaign.org, retrieved from the World Wide Web on 8/6/10).

One study notes that youth in foster care are, on average, 7.2 months younger than their peers when they first have sexual intercourse, while youth in kinship care are a full year younger than their peers when they first have sex. In addition, there is evidence of high levels of risky sexual activity among youth in foster care. One study of youth entering the child welfare system found that one-third of the sexually active youth reported they were not using contraception, and

15 percent reported a history of sexually transmitted diseases (STDs). This same study also found serious mental health problems among those youth who reported sexual activity, particularly among those who were not using contraception. Seventy percent of sexually active youth demonstrated a history of behavior problems and current emotional or behavioral difficulties including drug and alcohol use and ideas about homicide or suicide.

Process Statements:

There are 3 major components to CT's PREP project. The 1st entails *Workforce Development and Training Needs*, which is broken down into two categories: 1) Training for professional staff, and 2) training for mentors and foster parents. The professional staff includes the DCF Case Managers and other DCF direct service staff and health educators, residential and group home facilitators and temporary emergency shelter staff. The professional staff will participate in a four-day "*Sex Ed Boot Camp*" that will provide education on how to be an effective sex-ed educator. The program will be delivered by PPSNE, Inc. and will model the program recently delivered to the Department of Developmental Disabilities. The *Sex Ed Boot Camp* training will be provided to new foster parents as part of their orientation, and mentors contracted by DCF who work with foster youth, including: 1:1 mentors, Community-based Life Skills, and Work-to-Learn mentors. The *Boot Camp* sessions will provide education on topics such as: Mining of One's Values and Belief, Birth Control, Sexually Transmitted Infections, HIV/AIDS, Adolescent Brain Development, Understanding Patterns of Decision Making, and Responding to Difficult Sexuality Questions. The Boot Camp trainings will be conducted before the implementation of the evidence-based programs.

The 2nd component of CT's PREP project is the *Service Delivery* (replication and implementation of the evidence-based models). The DCF licenses 32 Group Homes, 20

Residential Treatment Centers, 3 Schools, and 18 Temporary Emergency Shelters for youth in foster care, with a capacity to serve 928 youth, ages 13-18. The replicated models will be implemented in the Group Homes, Residential Centers and 3 Schools within Residential Treatment Centers throughout the state. The program will not be implemented in the Emergency Shelters, since maximum length of stay is 60 days, however the temporary emergency shelter staff will receive the *Boot Camp* training, which will support improved staff communication with youth about health education and prevention. CT will implement an evidence-based program targeting lesbian, gay, bisexual, and transgender (LGBT) youth in the Safe Harbor Project, which is a public/private collaboration between True Colors, Inc. and DCF. The Safe Harbor Project includes a statewide policy task force; foster parent recruitment, training and case consultation regarding the needs of LGBT youth (as well as LGBT foster parents) in foster care, group homes, detention centers and shelters across the state.

The DPH will convene a *Personal Responsibility Advisory Council*, comprised of public and private stakeholders, which will participate in the development of the state plan. In July 2003, the Association of Maternal and Child Health Programs (AMCHP) and other national organizations collaborated to offer two regional meetings with the goal of strengthening communication and collaboration between state departments of education and health to support and improve HIV, STD and teen pregnancy prevention in schools. As a result, the CT STD, HIV, and Pregnancy Prevention Stakeholder's Group was established. The group was comprised of representatives from State HIV and/or health education directors in education agencies; State HIV/AIDS directors in health agencies; State STD directors in health agencies; State adolescent health coordinators in health agencies; and State abstinence education coordinators in health agencies. DPH will invite Stakeholders representatives from the SDE, HIV/AIDS and STD

health programs to participate on the PREP Advisory Council. The PREP Advisory Council will identify the evidence-based model(s) for replication. Several models will be ultimately selected, as some work best for specific race, ethnic or age groups. The models being considered for replication are *Be Proud! Be Responsible!*, *Becoming a Responsible Teen (BART)*, *Making Proud Choices!*, and *Reducing the Risk*. DPH agrees to comply with all training requirements associated with the selected evidence-based programs, assumes full responsibility for program fidelity, and will develop a state plan by 2/1/11. Funding has been allocated for travel, curriculum and training of ten staff on the selected models. Training will be conducted by the SDE Cadre of trainers, and/or by PPSNE staff. A Train-the-trainer model will be used to facilitate long-term sustainability for DCF and CT's Foster Care System and will include training of DCF's Training Academy staff. Trained evaluators from the DPH HIV/AIDS Program have offered in-kind support to monitor the programs for fidelity.

The 3rd and final component of this project is the *Statewide System Initiatives Review*. DCF utilizes the Parent Resources for Information, Development, and Education (PRIDE) model, which is a program designed to strengthen the quality of family foster care and adoption services by providing a standardized, consistent, structured framework for the competency-based recruitment, preparation, and selection of foster and adoptive parents, and for foster parent in-service training and ongoing professional development. A supplement will be added to the PRIDE curriculum to include and support the activities outlined in the PREP project. The foster care certification process will be reviewed to determine the need for *Boot Camp* training as part of the formal certification process for new foster parents, and will explore the need for ongoing continuing education activities. DCF's policy on transition plans for youth aging out of foster care will be reviewed to identify whether it includes an assessment of knowledge on health

issues to understand the youth's understanding of the consequences of early pregnancy, how to use birth control, and how to prevent HIV/STDs.

The State Adolescent Health Plan (DPH, 2007) will be revised to include prevention strategies regarding the STD, HIV/AIDS, and teen pregnancy, consistent with those activities outlined in the PREP. A state plan for the PREP will be developed in conjunction with the PREP Advisory Council. The DPH will assure that the programs selected for replication are evidence-based, medically accurate and age-appropriate. The DPH will hire a Project Coordinator, who along with the PI will be responsible for maintaining fidelity to the program model. The state of CT, Office of Policy and Management has policies in place for state agencies to follow in the procurement and awarding of contracts and sub-contracts, which will be followed. The Project Coordinator will ensure that contract language addresses all components of the PREP, and will monitor the contracts through quarterly reports, site visits and annual programmatic and fiscal reports. DPH will hire one .5 FTE Health Program Assistant II to manage the grants and contracts associated with this project, and one .5 FTE Accountant to manage the fiscal activities of this project. The DPH assures self, partnering agencies, and sub-awardees compliance with the requirements of Section 513 of the Personal Responsibility Education statute in the implementation of the PREP. DPH will assure that full compliance with the requirements of Section 513 is standard language in all contracts and sub-contracts. The DPH assures that all partners, contractors and sub-contractors will adhere to and participate in a national evaluation, and agree to operate the project in compliance with Section 513 in the abbreviated Program Narrative. The DPH will take full fiscal and administrative responsibility for the grant and will secure any necessary DCF Institutional Review Board permissions for the release of data.

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

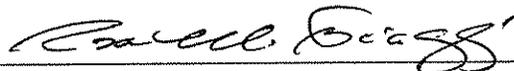
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Signature

Chief, Family Health Section, Title V MCHD

Title

State of Connecticut Department of Public Health

Organization

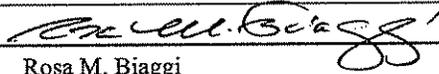
CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

The Pro-Children Act of 2001, 42 U.S.C. 7181 through 7184, imposes restrictions on smoking in facilities where Federally-funded children's services are provided. HHS grants are subject to these requirements only if they meet the Act's specified coverage. The Act specifies that smoking is prohibited in any indoor facility (owned, leased, or contracted for) used for the routine or regular provision of kindergarten, elementary, or secondary education or library services to children under the age of 18. In addition, smoking is prohibited in any indoor facility or portion of a facility (owned, leased, or contracted for) used for the routine or regular provision of federally funded health care, day care, or early childhood development, including Head Start services to children under the age of 18. The statutory prohibition also applies if such facilities are constructed, operated, or maintained with Federal funds. The statute does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, portions of facilities used for inpatient drug or alcohol treatment, or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per violation and/or the imposition of an administrative compliance order on the responsible entity.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: CT-001	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Department of Health and Human Services, ACF	7. Federal Program Name/Description: State Personal Responsibility Education Program (PREP) CFDA Number, if applicable: 93.092	
8. Federal Action Number, if known: HHS-2010-ACF-ACYF-PREP-0125	9. Award Amount, if known: \$ 596,440	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> Wallen, Bruce State of Connecticut Department of Pulic Health Hartford, CT 06134-0308	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> Wallen, Bruce	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: Rosa M. Biaggi Title: MPH, MPA, Chief, Family Health Section, Title V MCHD Telephone No.: 860 509-8074 Date: 8/23/10	
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