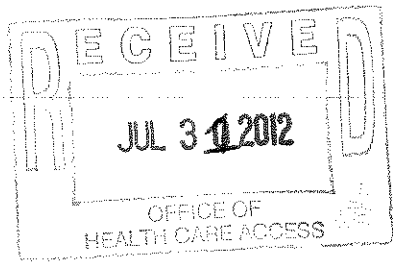
ECHN
Eastern Connecticut Health Network

Eastern Connecticut Health Network
71 Haynes Street
Manchester, CT 06040
860.533.3414
www.echn.org

July 24, 2012




Ms. Kimberly Martone, Director of Operations
State of Connecticut
Office of Health Care Access
410 Capital Avenue, MS #13HCA
P.O. Box 340308
Hartford, CT 06134-0308

RE: Docket Number 01-537
Rockville General Hospital
Diagnostic Cardiac Catheterization Laboratory

Dear Ms. Martone:

On February 19, 2002, Rockville General Hospital (RGH) was granted CON approval to establish a diagnostic cardiac catheterization laboratory in conjunction with St. Francis Hospital and Medical Center (St. Francis). On August 10, 2010, I responded to an inquiry from your office regarding the low volume of cardiac catheterization procedures performed at RGH and indicated in that letter that I would contact you to discuss findings specific to the cardiac catheterization service once a more comprehensive plan for cardiac, vascular, and stroke services had been completed. The purpose of my correspondence today is to provide OHCA with this update regarding the status of the diagnostic cardiac catheterization program at RGH.

As previously discussed with your office, ECHN had engaged a consultant to help develop a plan for cardiac, vascular and stroke services offered by the system. In addition to the development of this comprehensive plan, this engagement has enabled ECHN to cultivate an ongoing dialogue with the cardiologists on our medical staff. ECHN had hoped to renew the cardiologists' support for the catheterization laboratory through these discussions, but efforts to garner support for the RGH catheterization laboratory have been unsuccessful.

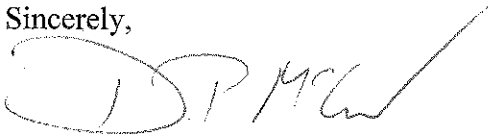
When the catheterization laboratory was first established, the participating cardiologists fully supported the creation of the diagnostic cardiac catheterization program in collaboration with St. Francis. In the early years of the program, total volumes exceeded the recommended minimum volume threshold, though never reached the utilization projected in our CON application. Volume continued to grow over the first three years of the program, but over time, the cardiologists found the Rockville location and diagnostic catheterization restriction too limiting to continue their ongoing support for the program.

Most of the cardiologists practice in Manchester and found the Rockville location of the catheterization laboratory to be inconvenient as its remote location routinely resulted in inefficient appointment availability and appointment cancellations for patients in their Manchester offices. Complicating the situation for the Manchester-based cardiologists was the restriction limiting services to only diagnostic catheterizations. Both the Manchester and Vernon-based providers regularly encountered patients during a diagnostic procedure that required additional interventional catheterization services, which could not be performed at RGH. This required the patient and the provider to travel to St. Francis to have the more invasive procedure performed. Over time, the cardiologists began scheduling their patients directly at St. Francis, or referring to other cardiologists with privileges at St. Francis, to perform both diagnostic and interventional catheterizations at the same time in the event that the more invasive service was necessary. This has proven to be more convenient, efficient, and cost-effective for the patient, the provider and the health system but has resulted in the significant decline in cardiac catheterization volumes that OHCA has observed at RGH.

While the cardiologists are not referring their patients to the RGH diagnostic catheterization laboratory because of the above stated limitations, they have expressed interest in establishing an interventional cardiac catheterization program at Manchester Memorial Hospital. The ability to perform both diagnostic and interventional cardiac catheterization services at the Manchester location would offer more convenience and be more cost-effective for both the patients and the providers in the surrounding communities. Given this, ECHN and the cardiologists are now seeking to partner with St. Francis or potentially another tertiary provider in the development of an elective percutaneous coronary angioplasty program at Manchester (pending OHCA approval). In light of the cardiologists support for a Manchester-based program and their inability to support such a program at RGH, ECHN does not anticipate cardiac catheterization activity to resume at RGH.

If you have any questions concerning this matter, or would like additional information, please call me at (860) 533-3429.

Sincerely,



Dennis P. McConville
Senior Vice President, Planning, Marketing and Communications

cc: Peter Karl, President and CEO, ECHN
R. Christopher Hartley, Senior Vice President, Saint Francis Hospital & Medical Center

Eastern Connecticut Health Network
71 Haynes Street
Manchester, CT 06040
860.533.3414
www.echn.org

RECEIVED
NOV 20 2012
OFFICE OF
HEALTH CARE ACCESS

November 16, 2012

Lisa Davis, Deputy Commissioner
Department of Public Health - Office of Health Care Access
410 Capitol Avenue, MS# 13HCA
P.O. Box 340308
Hartford, CT 06134-0308

Re: Certificate of Need Application, Docket Number TBD
Rockville General Hospital
Discontinuation of Diagnostic Cardiac Catheterization Services at Rockville General Hospital

Dear Deputy Commissioner Davis:

Enclosed are an original and four copies of the Certificate of Need Application for the discontinuation of diagnostic cardiac catheterization services, including an electronic copy of the application and all attachments.

If you have any questions regarding this Certificate of Need Application, please do not hesitate to give me a call at (860) 533-3427.

Sincerely,

Gina Kline

Gina Kline
Director, Strategic Planning & Market Research

cc: Dennis P. McConville, SVP, Planning, Marketing and Communications

ICAEL
Accredited Echocardiography Laboratory

**Connecticut Cardiology Center, P.C.**
201 Main Street Manchester, CT. 06042

Phone: 860-643-5443 Fax: 860-643-9399

November 13, 2012

Ms. Lisa Davis, Deputy Commissioner

Office of Health Care Access

410 Capitol Ave. MS #13HCA

Hartford, CT 06134

Dear Deputy Commissioner Davis:

I would like to express my support for the Certificate of Need Application filed by Rockville General Hospital (RGH), an affiliate of Eastern Connecticut Health Network (ECHN) to discontinue the diagnostic cardiac catheterization service currently available at the hospital.

I am a cardiologist on the active medical staff at ECHN and routinely see patients with suspected coronary artery blockages. I am aware that a diagnostic cardiac catheterization service is currently provided at RGH and am even credentialed to perform catheterizations at this location, but I have not referred any patients to RGH for this service in several years. Through past experience, I have observed that many of my patients undergoing a diagnostic cardiac catheterization to rule out suspected blockages actually benefit from percutaneous coronary intervention, a procedure that RGH is not authorized to provide.

The performance of duplicate catheterization procedures is inconvenient to my patients and potentially exposes them to increased risks and other complications that would otherwise be minimized if the procedure were only performed once. It exposes them to unnecessary costs such as additional co-pays or increased out-of-pocket payments that could also be avoided by eliminating the unnecessary duplication of the catheterization procedure. Additionally, since I actually perform the procedure myself, repeat catheterizations at different facilities so distant from my Manchester-based office results in decreased appointment availability and appointment cancellations for other patients seeking care in my office.

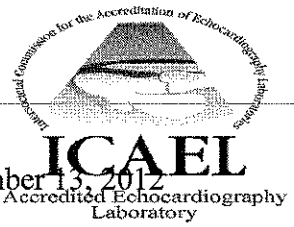
I have actively participated in discussions with my cardiology colleagues and ECHN leaders regarding the development of a plan for cardiac, vascular and stroke services offered by the System that best meets the needs of my patients. As an active participant in these discussions, I can confirm that the inability to perform interventional cardiac catheterization procedures at RGH was the primary driver behind my decision not to schedule patients for diagnostic cardiac catheterizations at this location. Further, the inaccessibility of RGH's location in relationship to my Manchester-based office would continue to be a deterrent for me to utilize the RGH service even if interventional catheterization procedures were authorized. My interest and ability to schedule patients for an interventional cardiac catheterization procedure at an ECHN facility is contingent upon the System's ability to obtain authorization for such a program at their Manchester location. A Manchester Memorial Hospital location would better serve the needs of my patients.

Based on the circumstances described above, I support ECHN's decision to discontinue the diagnostic cardiac catheterization service it is currently authorized to provide at RGH and I respectfully request that you approve this proposal.

Sincerely,

Hazar Dahhan, MD

Cardiologist




November 13, 2012

JOSEPH HANNA M.D., L.L.C.
DANNY A. KORKMAZ M.D.
18 Haynes Street
Manchester, CT 06040
(860) 649-7557

Ms. Lisa Davis, Deputy Commissioner
Office of Health Care Access
410 Capitol Ave. MS #13HCA
Hartford, CT 06134

Dear Deputy Commissioner Davis:

We would like to express our support for the Certificate of Need Application filed by Rockville General Hospital (RGH), an affiliate of Eastern Connecticut Health Network (ECHN) to discontinue the diagnostic cardiac catheterization service currently available at the hospital.

We are cardiologists on the active medical staff at ECHN and routinely see patients with suspected coronary artery blockages. We are aware that a diagnostic cardiac catheterization service is currently provided at RGH but we have not referred any patients to RGH for this service in several years. Through past experience, we have observed that many of our patients undergoing a diagnostic cardiac catheterization to rule out suspected blockages actually benefit from percutaneous coronary intervention, a procedure that RGH is not authorized to provide.

The performance of duplicate catheterization procedures is inconvenient to our patients and potentially exposes them to increased risks and other complications that would otherwise be minimized if the procedure were only performed once. It exposes them to unnecessary costs such as additional co-pays or increased out-of-pocket payments that could also be avoided by eliminating the unnecessary duplication of the catheterization procedure. Additionally, since Dr. Korkmaz actually performs the procedure himself, repeat catheterizations at different facilities so distant from our Manchester-based office would result in decreased appointment availability and appointment cancellations for other patients seeking care in our office.

We have actively participated in discussions with our cardiology colleagues and ECHN leaders regarding the development of a plan for cardiac, vascular and stroke services offered by the System that best meets the needs of our patients. As active participants in these discussions, we can confirm that the inability to perform interventional cardiac catheterization procedures at RGH was the primary driver behind our decision not to refer or schedule patients for diagnostic cardiac catheterizations at this location. Further, the inaccessibility of RGH's location in relationship to our Manchester-based office would continue to be a deterrent for us to utilize the RGH service even if interventional catheterization procedures were authorized. Our interest and ability to schedule patients for an interventional cardiac catheterization procedure at an ECHN facility is contingent upon the System's ability to obtain authorization for such a program at their Manchester location. A Manchester Memorial Hospital location would better serve the needs of our patients.

Based on the circumstances described above, we support ECHN's decision to discontinue the diagnostic cardiac catheterization service it is currently authorized to provide at RGH and we respectfully request that you approve this proposal.

Sincerely,

Joseph Hanna, MD
Cardiologist

Danny A. Korkmaz, MD
Cardiologist

# New England Cardiology Associates, P.C.

*Preventive Cardiology & Cardiovascular Disease*
257 East Center Street
Manchester, CT 06040
Telephone (860) 643-5101 Fax: (860) 533-9747

Sun King Wan, M.D., FACC
Interventional Cardiology

Saqib Naseer, M.D., FACC, FASNC
Nuclear & Noninvasive Cardiology


November 13, 2012

Ms. Lisa Davis, Deputy Commissioner
Office of Health Care Access
410 Capitol Ave. MS #13HCA
Hartford, CT 06134

Dear Deputy Commissioner Davis:

I would like to express my support for the Certificate of Need Application filed by Rockville General Hospital (RGH), an affiliate of Eastern Connecticut Health Network (ECHN) to discontinue the diagnostic cardiac catheterization service currently available at the hospital.

I am the Chief of Cardiology Services at ECHN and routinely see patients with suspected coronary artery blockages. I am aware that a diagnostic cardiac catheterization service is currently provided at RGH but I have not referred any patients to RGH for this service in several years. Through past experience, I have observed that many of my patients undergoing a diagnostic cardiac catheterization to rule out suspected blockages actually benefit from percutaneous coronary intervention, a procedure that RGH is not authorized to provide.

The performance of duplicate catheterization procedures is inconvenient to my patients and potentially exposes them to increased risks and other complications that would otherwise be minimized if the procedure were only performed once. It also exposes them to unnecessary costs such as additional co-pays or increased out-of-pocket payments that could also be avoided by eliminating the unnecessary duplication of the catheterization procedure.

I have actively participated in discussions with my cardiology colleagues and ECHN leaders regarding the development of a plan for cardiac, vascular and stroke services offered by the System that best meets the needs of my patients. Based on the outcome of these discussions, it is clear that the inability to perform interventional cardiac catheterization procedures at RGH was the primary driver behind the deterioration of referrals to its diagnostic cardiac catheterization program. Further, the perceived inaccessibility of RGH's location in relationship to the offices of most of the

cardiologists performing the invasive procedures would continue to be a deterrent for those providers to utilize the RGH service even if interventional catheterization procedures were authorized. Their interest and ability to refer patients for an interventional cardiac catheterization procedure at an ECHN facility is contingent upon the System's ability to obtain authorization for such a program at their Manchester location. A Manchester Memorial Hospital location would better serve the needs of the residents that we care for.

Based on the circumstances described above, I support ECHN's decision to discontinue the diagnostic cardiac catheterization service it is currently authorized to provide at RGH and I respectfully request that you approve this proposal.

Sincerely,

Saqib Naseer, MD, FACC, FASNC
Chief, Cardiology Services

CHANDRA K. SACHETI, M.D., LLC
561 TALCOTTVILLE ROAD
VERNON, CONNECTICUT 06066
TELEPHONE: (860) 871-2016

CHANDRA K. SACHETI, M.D., F.A.C.C.
DUSHYANT N. GANDHI, M.D., F.A.C.C.
KARI J. DANIELS, PA-C MPAS

November 13, 2012

Ms. Lisa Davis, Deputy Commissioner
Office of Health Care Access
410 Capitol Ave. MS #13HCA
Hartford, CT 06134

Dear Deputy Commissioner Davis:

I would like to express my support for the Certificate of Need Application filed by Rockville General Hospital (RGH), an affiliate of Eastern Connecticut Health Network (ECHN) to discontinue the diagnostic cardiac catheterization service currently available at the hospital.

I am a cardiologist on the active medical staff at ECHN and routinely see patients with suspected coronary artery blockages. I am aware that a diagnostic cardiac catheterization service is currently provided at RGH and am even credentialed to perform catheterizations at this location, but I have not referred any patients to RGH for this service in several years. Through past experience, I have observed that many of my patients undergoing a diagnostic cardiac catheterization to rule out suspected blockages actually benefit from percutaneous coronary intervention, a procedure that RGH is not authorized to provide.

The performance of duplicate catheterization procedures is inconvenient to my patients and potentially exposes them to increased risks and other complications that would otherwise be minimized if the procedure were only performed once. It exposes them to unnecessary costs such as additional co-pays or increased out-of-pocket payments that could also be avoided by eliminating the unnecessary duplication of the catheterization procedure. Additionally, since I actually perform the procedure myself, repeat catheterizations at different facilities so distant from my office results in decreased appointment availability and appointment cancellations for other patients seeking care in my office.

I have actively participated in discussions with my cardiology colleagues and ECHN leaders regarding the development of a plan for cardiac, vascular and stroke services offered by the System that best meets the needs of my patients. As an active participant in these discussions, I can confirm that the inability to perform interventional cardiac catheterization procedures at RGH was the primary driver behind my decision not to schedule patients for diagnostic cardiac catheterizations at this location. My interest and ability to schedule patients for an interventional cardiac catheterization procedure at an ECHN facility is contingent upon the System's ability to obtain authorization for such a program at their Manchester location. A Manchester Memorial Hospital location would better serve the needs of my patients.

Based on the circumstances described above, I support ECHN's decision to discontinue the diagnostic cardiac catheterization service it is currently authorized to provide at RGH and I respectfully request that you approve this proposal.

Sincerely,

Chandra K Sacheti

Chandra Sacheti, MD

November 13, 2012

Ms. Lisa Davis, Deputy Commissioner
Office of Health Care Access
410 Capitol Ave. MS #13HCA
Hartford, CT 06134

Dear Deputy Commissioner Davis:

I would like to express my support for the Certificate of Need Application filed by Rockville General Hospital (RGH), an affiliate of Eastern Connecticut Health Network (ECHN) to discontinue the diagnostic cardiac catheterization service currently available at the hospital.

I am a cardiologist on the active medical staff at ECHN and routinely see patients with suspected coronary artery blockages. I am aware that a diagnostic cardiac catheterization service is currently provided at RGH, but I have not referred any patients to RGH for this service. While I am relatively new to ECHN, I am trained to perform cardiac catheterizations and have observed that many of my patients undergoing a diagnostic cardiac catheterization to rule out suspected blockages actually benefit from percutaneous coronary intervention, a procedure that RGH is not authorized to provide.

The performance of duplicate catheterization procedures is inconvenient to my patients and potentially exposes them to increased risks and other complications that would otherwise be minimized if the procedure were only performed once. It exposes them to unnecessary costs such as additional co-pays or increased out-of-pocket payments that could also be avoided by eliminating the unnecessary duplication of the catheterization procedure. Additionally, since I actually perform the procedure myself, repeat catheterizations at different facilities results in decreased appointment availability and appointment cancellations for other patients seeking care in my office.

My interest and ability to schedule patients for an interventional cardiac catheterization procedure at an ECHN facility is contingent upon the System's ability to obtain authorization for such a program at their Manchester location. While I have offices in both Vernon and East Hartford, the majority of my patients are scheduled at the East Hartford office so a Manchester Memorial Hospital location would better serve the needs of my patients.

Based on the circumstances described above, I support ECHN's decision to discontinue the diagnostic cardiac catheterization service it is currently authorized to provide at RGH and I respectfully request that you approve this proposal.

Sincerely,

Arshad Yekta, MD
Cardiologist

# Application Checklist

**Instructions:**

1. **Please check each box below, as appropriate; and**
2. **The completed checklist *must* be submitted as the first page of the CON application.**

☐ **Attached is the CON application filing fee in the form of a certified, cashier or business check made out to the "Treasurer State of Connecticut" in the amount of $500.**

**For OHCA Use Only:**

**Docket No.:** 12-31805-CON **Check No.:** 4042367 77
**OHCA Verified by:** Kaila **Date:** 11·22·12

☐ **Attached is evidence demonstrating that public notice has been published in a suitable newspaper that relates to the location of the proposal, 3 days in a row, at least 20 days prior to the submission of the CON application to OHCA. (*OHCA requests that the Applicant fax a courtesy copy to OHCA (860) 418-7053, at the time of the publication)***

☐ **Attached is a paginated hard copy of the CON application including a completed affidavit, signed and notarized by the appropriate individuals.**

☐ **Attached are completed Financial Attachments I and II.**

☐ **Submission includes one (1) original and four (4) hard copies with each set placed in 3-ring binders.**

**Note:** **A CON application may be filed with OHCA electronically through email, if the total number of pages submitted is 50 pages or less. In this case, the CON Application must be emailed to the following email addresses: steven.lazarus@ct.gov and leslie.greer@ct.gov.**

**Important:** **For CON applications(less than 50 pages) filed electronically through email, the singed affidavit and the check in the amount of $500 must be delivered to OHCA in hardcopy.**

☐ **The following have been submitted on a CD**

1. **A scanned copy of each submission in its entirety, including all attachments in Adobe (.pdf) format.**
2. **An electronic copy of the documents in MS Word and MS Excel as appropriate.**

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Order #: 547488
Class: 4000 - GENERAL LEGALS
AdTaker: A21
Customer: ECHN

PUBLIC NOTICE

Statute Reference:
19a-638 et seq. of the Connecticut General Statutes

Applicants: Rockville General Hospital

Addresses: 31 Union Street
Rockville, CT 06066

Town: Vernon/Rockville, CT

Proposal: Discontinuation of diagnostic cardiac catherization services at Rockville General Hospital, an affiliate of Eastern Connecticut Health Network, Inc., located at 31 Union Street In Rockville, CT.
The applicant plans to file an Application for a Certificate of Need with the Office of Health Care Access for permission to discontinue Cardiac catherization services located at this Facility.

Capital Expenditure: $0

Journal Inquirer
October 11, 2012
October 12, 2012
October 13, 2012



## PUBLIC NOTICE

Public Notice
Town of East Hartford
Planning and Zoning Commission

The East Hartford Planning and Zoning Commission will hold a Public Hearing Meeting on October 17, 2012 at 7:30 p.m. in the Town Council Chambers 740 Main Street, East Hartford, Connecticut on the following item:

**SPECIAL PERMIT USE APPLICATION**: Under section 228 (h) to allow a twenty eight point nine (28.9) square foot menu board sign to an existing automobile oriented restaurant on land located at 1 Main Street.
Assessor's Map# 29 Lot# 56pt
Applicant: Stacey Richards, Saxton Sign Corp.

Copies of the petition and maps relating to the above item are on file in the office of the Town Clerk. All interested persons may appear and be heard or have a representative appear for them. Any request for postponement of a hearing on the above applications shall be filed in writing by the petitione..o the Chairman no later than October 12, 2012.

Journal Inquirer
October 4, 2012
October 11, 2012

PUBLIC NOTICE

Statute Reference:
19a-638 et seq. of the Connecticut General Statutes

Applicants: Rockville General Hospital

Addresses: 31 Union Street
Rockville, CT 06066

Town: Vernon/Rockville, CT

Proposal: Discontinuation of diagnostic cardiac catherization services at Rockville General Hospital, an affiliate of Eastern Connecticut Health Network, Inc., located at 31 Union Street In Rockville, CT.
The applicant plans to file an Application for a Certificate of Need with the Office of Health Care Access for permission to discontinue Cardiac catherization services located at this Facility.

Capital Expenditure: $0

Journal Inquirer
October 11, 2012
October 12, 2012
October 13, 2012

NOTICE TO CREDITORS
ESTATE OF
Patricia Reich Porter (12-00451)

The Hon. Marianne Lassman Fisher, Judge of the Court of Probate, Greater Windsor Probate District, by decree dated October 4, 2012, ordered that all claims must be presented to the fiduciary at the address below. Failure to promptly present any such claim may result in the loss of rights to recover on such claim.

Catherine Jourdan,
Clerk

The fiduciary is:
Elizabeth W. Porter, c/o
John K. Knott
Knott & Knott, LLC
325 South Main Street
Cheshire, CT 06410
Journal Inquirer
October 11, 2012

NOTICE TO CREDITORS
ESTATE OF
Edna C. Norton, AKA
Edna M. Norton, AKA
Edna Norton (12-0550)

The Hon. Michael M. Darby, Judge of the Court of Probate, Greater Nanchester Probate District, by decree dated October 2, 2012, ordered that all claims must be presented to the fiduciary at the address below. Failure to promptly present any such claim may result in the loss of rights to recover on such claim.

Eva L. LeBaron,
Clerk

The fiduciary is:
Raymond Norton, c/o
Hugh J. Lavery
Caldwell and Lavery,
Attorneys at Law
One Riverside Road
P.O. Box 633
Sandy Hook, CT 06482
Journal Inquirer
October 11, 2012

# AFFIDAVIT

**Applicant:** Rockville General Hospital

**Project Title:** Discontinuation of Diagnostic Cardiac Catheterization Services

I, Peter J. Karl, President and CEO
(Individual's Name) (Position Title – CEO or CFO)

of Eastern Connecticut Health Network being duly sworn, depose and state that
(Hospital or Facility Name)

Rockville General Hospital's information submitted in this Certificate of
(Hospital or Facility Name)

Need Application is accurate and correct to the best of my knowledge.

Signature 11/14/12
Date

Subscribed and sworn to before me on 11/15/12

Notary Public/Commissioner of Superior Court

My commission expires: August 31, 2014

# State of Connecticut
# Office of Health Care Access
# Certificate of Need Application

**Instructions**: Please complete all sections of the Certificate of Need ("CON") application. If any section or question is not relevant to your project, a response of "Not Applicable" may be deemed an acceptable answer. If there is more than one applicant, identify the name and all contact information for each applicant. OHCA will assign a Docket Number to the CON application once the application is received by OHCA.

**Docket Number:** TBD

**Applicant:** Rockville General Hospital

**Contact Person:** Gina Kline

**Contact Person's Title:** Director, Strategic Planning and Market Research

**Contact Person's Address:** 71 Haynes Street, Manchester, CT 06040

**Contact Person's Phone Number:** (860) 533-3427

**Contact Person's Fax Number:** (860) 647-6860

**Contact Person's Email Address:** gkline@echn.org

**Project Town:** Rockville/Vernon

**Project Name:** Discontinuation of Diagnostic Cardiac Catheterization Services

**Statute Reference:** Section 19a-638, C.G.S.

**Estimated Total Capital Expenditure:** $0

## 1. Project Description: Service Termination

a. For each of the Applicant's programs, identify the location, population served, hours of operation, and whether the program is proposed for termination.

**Response:**

Rockville General Hospital (RGH) is an acute care hospital that provides a broad range of diagnostic and treatment services including inpatient, outpatient and emergent care.

The patient population served by hospital resides in the following towns which RGH uses to define its service area:

| | | | |
|---|---|---|---|
| Andover | East Hartford | Manchester | Tolland |
| Ashford | East Windsor | Mansfield | Vernon |
| Bolton | Ellington | Somers | Willington |
| Columbia | Glastonbury | South Windsor | Union |
| Coventry | Hebron | Stafford | |

The hospital, located at 31 Union Street in the Rockville section of Vernon, CT, is currently authorized to provide diagnostic cardiac catheterization services through a service agreement with St. Francis Hospital and Medical Center. The diagnostic cardiac catheterization laboratory is available for patient appointments Monday through Friday from 7:30am until 12:30pm.

Patients residing in the above towns, with a history of cardiac-related problems can be referred to RGH for a diagnostic cardiac catheterization to determine the presence of any coronary artery blockages. Patients that have an identified coronary blockage cannot be treated at RGH and must be referred to a facility authorized by the Office of Health Care Access to provide invasive cardiac catheterization procedures such as percutaneous coronary intervention (PCI).

The inability to perform the treatment (invasive) catheterization procedures at RGH requires patients with coronary artery disease to be scheduled for and undergo a second catheterization procedure at an authorized facility. As result of this unnecessary duplication that would be experienced by the patient, the diagnostic cardiac catheterization service at RGH has not received any referrals since FY2010. Based on this, and feedback from the referring physicians and cardiologists that they are unable to support a catheterization program at the Rockville location, RGH has decided to discontinue the program and seeks CON approval from OHCA to proceed with its termination.

b. Describe the history of the services proposed for termination, including when they were begun and whether CON authorization was received.

**Response:**

The hospital received authorization to establish a diagnostic cardiac catheterization lab utilizing the staff, expertise and protocols of St. Francis Hospital and Medical Center under docket number 01-537 on February 19, 2002. The first patient was scheduled for a diagnostic catheterization at Rockville General Hospital (RGH) and received it on October 23, 2002.

Initially, the number of patients referred to the diagnostic cardiac catheterization laboratory grew, but never reached the levels projected in the CON application. In the original CON application, it was assumed that 64% of the cases referred by the participating ECHN affiliated cardiologists to St. Francis would be performed in the new catheterization lab at RGH. This led to volume projections of 525, 562, and 596 respectively for each year over the first three years of the program. Actual volumes for that time period were significantly less at 332, 383 and 177 for the first three years.

Volumes continued to drop steadily in subsequent years, as the cardiologists found that some of their low risk patients actually had coronary blockages and would have benefited from a PCI procedure. The physicians experienced first-hand the inconvenience of having to reschedule a patient that required invasive treatments beyond the diagnostic evaluation but only after the physician had inserted the catheter into the patient. This resulted in unnecessary time lost for both the physician and the patient (not to mention unnecessary discomfort for the patient) as the patient would have to be scheduled for a subsequent appointment at a facility that could perform PCI procedures. At the rescheduled appointment, patients would undergo a second catheterization procedure in order to receive the appropriate interventions. The physicians determined that the overall experience was better for their patients when the diagnostic catheterization could be performed at a facility that was also authorized to provide the interventional procedures. This eliminated the unnecessary duplication and inefficiencies that resulted from having to perform the catheterization procedure multiple times at multiple facilities.

The potential inconvenience of having to schedule the same patients for multiple catheterization appointment ultimately led to the demise of the program at RGH, particularly for the Manchester-based providers who found traveling to Rockville inconvenient, especially in light of the fact that subsequent appointments for the same patient to be seen in Hartford were often necessary. As a result, volumes dwindled in later years of the program. The last patient to receive a diagnostic cardiac catheterization at RGH was on July 2, 2010.

c. Explain in detail the Applicant's rationale for this termination of services, and the process undertaken by the Applicant in making the decision to terminate.

**Response:**

The decision to discontinue the cardiac catheterization program at RGH was explored in response to the declining volumes observed over the last seven years. The cardiologists were engaged periodically over that time to encourage their utilization of the diagnostic cardiac catheterization laboratory, but efforts to boost referrals to the program were unsuccessful.

In FY2012, ECHN engaged a consultant to help develop a plan for cardiac, vascular and stroke services offered by ECHN which helped to cultivate an ongoing dialogue with the ECHN affiliated cardiologists. ECHN had hoped to renew the cardiologists' commitment to utilizing the program at RGH, but efforts to garner renewed support were once again unsuccessful.

Through this engagement, however, ECHN came to better understand the cardiologists' perspective on the restriction limiting services at RGH to only diagnostic catheterization, and found that this, combined with the perceived remote location of the program at Rockville (particularly for the Manchester-based cardiologists), are the primary drivers deterring the cardiologists from utilizing the program at RGH. The ECHN cardiologists unanimously agreed that if diagnostic and interventional cardiac catheterization services were to be offered at ECHN's Manchester Memorial Hospital, the public need for interventional cardiac services would be better addressed. With this new understanding from the cardiologists' point-of-view, it was decided that without the support of the referring providers, the continuation of the diagnostic cardiac catheterization service at RGH was unsustainable and the decision to terminate the program was reached.

d. Did the proposed termination require the vote of the Board of Directors of the Applicant? If so, provide copy of the minutes (excerpted for other unrelated material) for the meeting(s) the proposed termination was discussed and voted.

**Response:**

No, the decision to discontinue a program within the cardiology service line that has not had any patient referrals since FY2010 did not require a vote of the Board of Directors.

e. Explain why there is a clear public need for the proposal. Provide evidence that demonstrates this need.

**Response:**

The volume trend of patient referrals to the RGH program in recent years reflects the need to discontinue the diagnostic cardiac catheterization program at RGH. There have been no patients referred to RGH for a cardiac catheterization since July 2, 2010.

Two recent studies that evaluated interventional cardiology trends actually show that overall utilization of cardiac catheterizations, PCI and open heart surgery have been declining, but recognize that the aging population, growing obesity problems and prevalence of type II diabetes may contribute to an increased utilization of these services in the future. Despite the overall decrease in coronary interventions observed in both studies, one study (though limited to patients in North Carolina) cited a growing trend in the number of diagnostic catheterizations that result in a percutaneous coronary intervention.[1]

The second article[2] cites national statistics for diagnostic catheterizations (1,047,945) compared to percutaneous coronary interventions (350,134) for FY2009. These statistics are reflective of the ECHN cardiologists' preference as it relates to the diagnostic program at RGH. Based on these statistics, one out of every four patients receiving a diagnostic cardiac catheterization requires an interventional procedure to treat an identified blockage.[3]

While the patients referred to the diagnostic catheterization program at RGH are limited to what the physicians' identify as "low risk", these statistics show that even low risk patients require more invasive interventions 25% of the time. A third article published by the American College of Cardiology Foundation, the American Heart Association and the Society for Cardiovascular Angiography and Interventions indicates that the frequency may be greater with one out of every three patients who undergo a diagnostic cardiac catheterization are found to have one or more artery blockages that could be resolved through the use of PCI.[4]

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[1] *Jones WS, Patel MR, Holleran SA, Harrison JK, O'Connor CM, Phillips HR III. Trends in the Use of diagnostic coronary angiography, percutaneous coronary intervention, and coronary artery bypass graft surgery across North Carolina. Am Heart J. 2011; 162:932-937.See page 933 for the relative rate of increase observed in the study.*

[2] *Faxon DP and Williams DO. The changing face of interventional cardiology. Circ Cardiovasc Interv. 2012;5: 325-327. See page 325 for the specific statistics that are referenced in our response.*

[3] *1,047,945 plus 350,134 yields 1,398,079 total catheterization procedures and assumes that each patient undergoing a PCI would have first had a diagnostic catheterization performed to identify the blockage.*

[4] *Levine et all. 2011 ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention. J Am Coll Cardiol. 2011;58(24):e44-e122. See page 42, Section 5.8.1.*

Since the cardiologists are unable to predict which of the low risk patients will require a coronary intervention, they have increasingly referred their patients directly to St. Francis Hospital where both the diagnostic and interventional procedures can be performed simultaneously.

Please see **Attachment 1e** for a copy of the articles that describe the interventional cardiology studies referenced above.

## 2. Termination's Impact on Patients and Provider Community

a. List all existing providers (name, address, services provided, hours and days of operation, and current utilization) of the services proposed for termination in the towns served by the Applicant, and in nearby towns.

**Response:**

There are currently no other providers in the RGH service area that provide cardiac catheterization services.

In the towns immediately adjacent to the RGH service area, only the city of Hartford has any hospitals that provide cardiac catheterization services: Hartford Hospital and St. Francis Hospital. In addition to cardiac catheterizations, both facilities are authorized to provide elective percutaneous coronary interventions (PCI) and primary angioplasty (coronary angioplasty).

Please find a summary of the existing provider information below as well as the current utilization based on information provided by each of the facilities in the Connecticut Hospital Association's September Patient Census Report:

| Provider | Services | FY2012 Utilization* | |
|---|---|---|---|
| | | Inpatient | Outpatient |
| Hartford Hospital<br>80 Seymour Street<br>Hartford, CT | Cardiac Catheterization | 2,018 | 1,121 |
| | Coronary Angioplasty | 1,079 | 93 |
| St. Francis Hospital and Medical Center<br>114 Woodland Street<br>Hartford, CT | Cardiac Catheterization | 1,553 | 1,459 |
| | Coronary Angioplasty | 845 | 79 |

** FY2012 volume as compiled by the individual provider and reported in the Connecticut Hospital Association Patient Census Report on November 12, 2012.*

b. Discuss what steps the Applicant has undertaken to ensure continued access to the services proposed for termination for the Applicant's patients.

**Response:**

Despite the significant decrease in cardiac catheterization volumes at RGH, the applicant has ensured patient access to diagnostic cardiac catheterizations services in Rockville by maintaining its relationship with St. Francis Hospital to provide the equipment and clinical staff necessary to perform the procedures.

Recent efforts to cultivate an ongoing dialogue with the cardiologists on staff at both Manchester Memorial Hospital and RGH, while positive, did not result in renewed support for the cardiac catheterization program at RGH. After some of the cardiologists on the ECHN Medical Staff initially supported the program at its inception, these cardiologists found that the chance that their patients could need PCI outweighed performing diagnostic procedures at RGH. The existence of this restriction at RGH requires patients with an identified coronary blockage to then be scheduled for a second catheterization at St. Francis Hospital where the more invasive coronary angioplasty can be performed. As the cardiologists encountered patients that required subsequent interventional cardiac services, they gradually began referring all of their patients directly to St. Francis Hospital. Discussions with the cardiologists about potentially obtaining State approval to perform the more invasive services at RGH revealed that several of the Manchester-based cardiologists found the Rockville location to be inconvenient as its remote location from their Manchester offices resulted in inefficient appointment availability and appointment cancellations for their office-based patients.

Therefore, despite the Applicant's best efforts to reinvigorate the cardiac catheterization program at RGH and the continued availability of the necessary staff and equipment to perform the diagnostic procedures, the referring providers of this service have continued to refer their patients directly to St. Francis Hospital with no interest in resuming referrals to RGH even if given the ability to perform the more invasive cardiac catheterization procedures.

c. For each provider to whom the Applicant proposes to transfer or refer clients, provide the current available capacity, as well as the total capacity and actual utilization for the current year and last completed year.

**Response:**

Not applicable.

As discussed in 2b above, there are currently no patients, nor have there been any since FY2010, receiving cardiac catheterization services at RGH so there are no existing patients to transfer or refer to another provider.

The providers responsible for these referrals have gravitated to St. Francis Hospital for these services over the last several years because of this facility's ability to perform both diagnostic cardiac catheterization and the subsequent interventional procedures without having to schedule the patient for a second appointment at an authorized facility and undergo the catheterization procedure a second time, as would result if the patient were referred to the program at RGH.

d. Identify any special populations that utilize the services and explain how these clients will continue to access this service after the service location closes.

**Response:**

Not applicable.

There are currently no patients receiving cardiac catheterization services at RGH and therefore no special populations to be accommodated.

e. Provide evidence (e.g. written agreements or memorandum of understanding) that other providers in the area are willing and able to absorb the displaced patients.

**Response:**

No applicable.

There are currently no patients receiving cardiac catheterization services at RGH and therefore no displaced patients to be absorbed.

f. Describe how clients will be notified about the termination and transferred to other providers.

**Response:**

Not applicable.

There are no patients currently scheduled for cardiac catheterization services at RGH and therefore there is no need to notify any specific patients about the termination of these services. Elective cardiac catheterization referrals are provider-driven and patients typically go where their cardiologist performs the procedure. Since the cardiologists have expressed a preference to perform these procedures at a site authorized to provide both diagnostic and invasive services, few patients are likely even aware that the diagnostic services are available at RGH. Given this, the termination of this service will be seamless to patients as they will continue to follow the recommendations of their cardiologist with existing referral patterns to St. Francis Hospital for these procedures.

## 3. Actual and Projected Volume

a. Provide volumes for the most recently completed FY by town.

**Response:**

Despite the availability of the cardiac catheterization equipment and access to trained staff from St. Francis Hospital to perform diagnostic cardiac catheterizations, RGH has not received any patient referrals since FY2010. The patient volume for that fiscal year by town is presented below:

| | FY2010 | FY2011 | FY2012 | FY2013 |
|---|---|---|---|---|
| Ellington | 2 | 0 | 0 | 0 |
| South Windsor | 1 | 0 | 0 | 0 |
| Stafford Springs/Union | 1 | 0 | 0 | 0 |
| Tolland | 1 | 0 | 0 | 0 |
| Vernon/Rockville | 6 | 0 | 0 | 0 |
| **Total** | **11** | **0** | **0** | **0** |

b. Complete the following table for the past three fiscal years ("FY") and current fiscal year ("CFY"), for both number of visits and number of admissions, by service.

***Table 1: Historical and Current Visits & Admissions***

| | Actual Volume | | | |
|---|---|---|---|---|
| | FY2010 | FY2011 | FY2012 | FY2013 |
| Inpatient Cardiac Catheterizations | 0 | 0 | 0 | 0 |
| Outpatient Cardiac Catheterizations | 11 | 0 | 0 | 0 |

c. Explain any increases and/or decreases in volume seen in the tables above.

**Response:**

Cardiac catheterization volumes have decreased dramatically since the program's inception as the referring providers found the ability to perform only diagnostic catheterization services at RGH to be too limiting, inefficient, and inconvenient for both them and their patients.

d. For DMHAS-funded programs only, provide a report that provides the following information for the last three full FYs and the current FY to-date:
   i. Average daily census;
   ii. Number of clients on the last day of the month;
   iii. Number of clients admitted during the month; and
   iv. Number of clients discharged during the month.

**Response:**

Not applicable

The diagnostic cardiac catheterization program is not a DMHAS-funded program.

## 4. Quality Measures

a. Submit a list of all key professional, administrative, clinical, and direct service personnel related to the proposal. Attach a copy of their Curriculum Vitae.

**Response:**

The Curriculum Vitae for the following cardiologists on the ECHN medical staff have been included as **Attachment 4a:**

Dr. Hazar Dahan
Dr. Joseph Hanna
Dr. Danny Korkmaz
Dr. Saqib Naseer (Chief, Cardiology Service)
Dr. Chandra Sacheti
Dr. Arshad Yekta

b. Explain how the proposal contributes to the quality of health care delivery in the region.

**Response:**

The decision to discontinue diagnostic cardiac catheterization services does not impact the quality of health care services being delivered as there have been no catheterizations performed since July 2010. It is our belief that an interventional cardiology program based at Manchester Memorial Hospital offering diagnostic and PCI procedures would improve the quality of health care for the region.

c. Identify when the Applicants' funding and/or licensing agencies (e.g. DPH, DMHAS) were notified of the proposed termination, and when the Applicants' licenses will be returned.

**Response:**

Not applicable.

RGH is licensed to operate and maintain a general hospital through the Department of Public Health (DPH). DPH does not separately specify the types of acute care services that are provided under that license nor does it specifically license non-acute, hospital-based services provided on the hospital campus by a licensed facility. The termination of this service will not result in any changes to RGH's license from DPH.

## 5. Organizational and Financial Information

a. Identify the Applicant's ownership type(s) (e.g. Corporation, PC, LLC, etc.).

**Response:**

Rockville General Hospital is a corporation.

b. Does the Applicant have non-profit status?

☒ **Yes (Provide documentation)** ☐ No

**Response:**

Please see **Attachment 5b** for documentation of Rockville General Hospital's non-profit status.

c. Financial Statements

i. If the Applicant is a Connecticut hospital: Pursuant to Section 19a-644, C.G.S., each hospital licensed by the Department of Public Health is required to file with OHCA copies of the hospital's audited financial statements. If the hospital has filed its most recently completed fiscal year audited financial statements, the hospital may reference that filing for this proposal.

ii. If the Applicant is not a Connecticut hospital (other health care facilities): Audited financial statements for the most recently completed fiscal year. If audited financial statements do not exist, in lieu of audited financial statements, provide other financial documentation (e.g. unaudited balance sheet, statement of operations, tax return, or other set of books.)

**Response:**

The hospital's FY2011 audited financials have been filed with OHCA.

d. Submit a final version of all capital expenditures/costs.

**Response:**

There are no capital expenditures/costs to be incurred by RGH as a result of discontinuing this program.

e. List all funding or financing sources for the proposal and the dollar amount of each. Provide applicable details such as interest rate; term; monthly payment; pledges and funds received to date; letter of interest or approval from a lending institution.

**Response:**

Not applicable.

There are no expenses associated with discontinuing this program and thus, no funding or financing sources required.

f. Demonstrate how this proposal will affect the financial strength of the state's health care system.

**Response:**

This proposal will have no effect on the current financial state of the health care system.

## 6. Financial Attachments I & II

a. Provide a summary of revenue, expense, and volume statistics, without the CON project, incremental to the CON project, and with the CON project. **Complete Financial Attachment I.** (Note that the actual results for the fiscal year reported in the first column must agree with the Applicant's audited financial statements.) The projections must include the first three full fiscal years of the project.

**Response:**

Please see **Attachment 6a** for Financial Attachment I.

Please be advised that the actual results provided in the first column of the document do agree with the Applicant's audited financial statements for FY2011, however an additional column with the preliminary (unaudited) results for FY2012 has also been provided. The projections for subsequent years utilize the preliminary statistics from FY2012 as the baseline.

b. Provide a three year projection of incremental revenue, expense, and volume statistics attributable to the proposal by payer. **Complete Financial Attachment II.** The projections must include the first three full fiscal years of the project.

**Response:**

Financial Attachment II has been provided as **Attachment 6b**, however it should be noted that there are no incremental revenue, expense, or volume statistics attributable to the termination of the cardiac catheterization service at RGH because there currently are no revenues, expenses or volumes generated by or from this service.

c. Provide the assumptions utilized in developing **both Financial Attachments I and II** (e.g., full-time equivalents, volume statistics, other expenses, revenue and expense % increases, project commencement of operation date, etc.).

**Response:**

The assumptions utilized to develop Financial Attachment I (for the System and RGH) and Financial Attachment II are as follows:

Full-Time Equivalents (FTE)

- The number of System and hospital FTEs will remain constant at FY2012 levels through FY2015 with or without approval of this proposal.
- There is no impact on FTEs as a result of discontinuing diagnostic cardiac catheterizations at RGH because staffing for the service is provided through a service agreement with St. Francis Hospital.

- St. Francis Hospital will continue to provide the necessary staffing on an as needed basis if the diagnostic cardiac catheterization service continues at RGH.

Volume Statistics

- All inpatient and outpatient volumes for the System and RGH will remain constant at FY2012 levels through FY2015 with or without the approval of the CON.

- The volume for diagnostic cardiac catheterizations will remain zero with or without approval for this proposal.

Expenses

- Operating expenses for the System and RGH will increase 2.0%[5] each year though FY2015 from the levels experienced in FY2012 due to inflation and assumes no changes in operations that would contribute to an increase or decrease in expenses beyond the impact of inflation.
- A per procedure expense is only incurred if a patient is scheduled for and receives a diagnostic cardiac catheterization at RGH.
- There are no expenses associated with the cardiac catheterization program at RGH because the patient volume is projected to be zero with or without authorization of this proposal.

Revenues

- The overall Payer Mix for the System will remain constant at the percentage distribution reported in the FY2011 audited financial statement:

| Payer | ECHN |
|---|---|
| Non-Government | 55% |
| Medicare | 31% |
| Medicaid | 14% |
| Other Government | 0% |

- Net patient revenue for the System and RGH will increase 2.0% each year through FY2015 from the levels experienced in FY2012 with or without this proposal as a result of improved managed care contracting.
- Other operating revenue for the System and RGH will increase 2.0% each year through FY2015 with or without this proposal as a result of qualifying for the federal HITECH funding.

[5] The United States Department of Labor Bureau of Labor Statistics has reported a 2.0% increase in the Consumer Price Index for the last 12 months ending September, 2012.
Source: http://www.bls.gov/news.release/cpi.nr0.htm

- Non-operating revenue for the System and RGH will also increase 2.0% each year through FY2015 with or without the CON.
- No assumptions were made regarding the average reimbursement per cardiac catheterization procedure because no procedures have been performed since FY2010 and no procedures are projected to be performed through FY2015 with or without approval of this proposal.

Project Commencement

- The diagnostic cardiac catheterization program at RGH will be discontinued immediately upon receipt of CON authorization to so.

d. Provide documentation or the basis to support the proposed rates for each of the FYs as reported in Financial Attachment II. Provide a copy of the rate schedule for the proposed service(s).

**Response:**

While no diagnostic cardiac catheterization procedures are projected to be performed with or without approval of this proposal the current rate schedule for the diagnostic cardiac catheterization services has been provided below:

| CPT-4 Code | Description | Charge Amount |
|---|---|---|
| 93451 | Right Heart Cath | $6,450 |
| 93452 | Left Heart Cath Retro Perc | $6,450 |
| 93453 | Combined Rt Heart Cath/Lt Retr | $6,450 |
| 93503 | Insert & Place Swanz Ganz | $4,200 |
| 93561 | Dilution Study w/Cardiac Output | $180 |
| 93563 | Inj Proc Sel Coronary Angio | $800 |
| 93564 | Inj Procedure Sel Opac Int Mammary | $800 |
| 93565 | Inj Proc Vent/Atrial Lt Angio | $800 |
| 93566 | Inj Proc Vent/Atrial Rt Angio | $800 |
| 93567 | Inj Proc for Aortography | $800 |
| 93568 | Inj Proc Pulmonary/Coronary Angio | $800 |
| Q9967 | LOCM 300-399 mg/ml | $1.10/ml |

e. Was the Applicant being reimbursed by payers for these services? Did reimbursement levels enter into the determination to terminate?

**Response:**

RGH did receive reimbursement from payers to provide cardiac catheterizations. The levels of reimbursement were not a factor in the determination to terminate the service. The decision to terminate was based on the total loss of volume resulting from the hospital's inability to perform the more invasive angioplasty

procedures combined with the loss of referring provider support for continuing the program at the Rockville location.

f. Provide the minimum number of units required to show an incremental gain from operations for each fiscal year.

**Response:**

Based on how the program has been structured, St. Francis Hospital charges a per procedure fee to RGH. This fee covers the staffing and supplies provided by St. Francis Hospital for the procedures performed in RGH's diagnostic catheterization laboratory. No direct expenses are incurred by RGH if no procedures are performed. Regardless, the per procedure fee charged by St. Francis has historically been less than the average reimbursement received by RGH so the minimum number of units required to show an incremental gain from operations each fiscal year is one (1).

g. Explain any projected incremental losses from operations contained in the financial projections that result from the implementation and operation of the CON proposal.

**Response:**

There are no incremental gains or losses from operations expected as a result of discontinuing diagnostic cardiac catheterization services at RGH because no expenses are incurred by the program unless procedures are actually performed. There have been no patient referrals since FY2010 and none are expected from the referring cardiologists as long as the program continues to exist at the Rockville location and is limited to diagnostic catheterizations.

h. Describe how this proposal is cost effective.

**Response:**

As answered in Question 5f above, this proposal will have no effect on the current financial state of the health care system.

# Attachments

# Attachment 1e

Coronary Artery Disease

# Trends in the use of diagnostic coronary angiography, percutaneous coronary intervention, and coronary artery bypass graft surgery across North Carolina

**W. Schuyler Jones, MD,[a,b] Manesh R. Patel, MD,[a,b,c] Sara A. Holleran, MPH,[a] J. Kevin Harrison, MD,[a,b] Christopher M. O'Connor, MD,[a,b,c] and Harry R. Phillips, III, MD[a,b]** ***Durham, NC***

**Background** Although variation in use of invasive coronary procedures has been shown, the relationship between invasive diagnostic cardiac catheterization (Cath) and subsequent revascularization with percutaneous coronary intervention (PCI) or coronary artery bypass surgery (CABG) is not known. We evaluated the temporal trends and variation in invasive Cath, PCI, and CABG across hospital systems in North Carolina.

**Methods** All Cath, PCI, and CABG procedures performed in North Carolina from 2003 to 2009 were identified using data reported in the annual North Carolina State Medical Facilities Plan. Rates and variation in procedure use, relative rates of PCI to Cath, CABG to Cath, and CABG to PCI were compared over the study period between hospitals that performed at least 25 Cath, 25 PCI, and 25 CABG procedures.

**Results** The rates of all invasive procedures per 100,000 population declined: 24% for Cath, 16% for PCI, and 35% for CABG. However, the relative rate of PCI to Cath over the study period increased by 11%, whereas the relative rate of CABG to Cath decreased by 13%. Hospital level analysis showed significant variation in the relative rate of both PCI to Cath (10%-90%, $P < .05$) and CABG to Cath (5%-35%, $P < .05$).

**Conclusions** Although the use of all invasive cardiac procedures declined, the relative rate of PCI to Cath increased over the study period. There was also significant variation in the mode of revascularization (CABG and PCI) across hospital systems in North Carolina. Further research is needed to understand drivers of coronary revascularization. (Am Heart J 2011;162:932-7.)

Since the introduction of coronary stents in the early 1990s, percutaneous coronary intervention (PCI) has been shown to reduce angina symptoms in stable patients and cardiovascular events in patients with acute myocardial infarction. In patients with a high burden of coronary atherosclerosis, coronary artery bypass surgery (CABG) provides revascularization that improves survival and cardiovascular outcomes. More recently, with the introduction and use of drug-eluting stents (DESs) and improved medical therapy for angina, the need for invasive diagnostic cardiac catheterization (Cath) studies and subsequent PCI and CABG procedures may be changing.[1-4]

Despite improved evidence of the benefits of revascularization, improved technology, and medical therapy, wide geographic variation in the use of Cath and coronary revascularization procedures remains.[3,5] There is significant national interest in the nature of this variation coupled with increasing awareness of the associated costs of invasive cardiac procedures for patients with coronary artery disease.[6]

Some have reviewed overall trends of Cath, PCI, and CABG to understand this variation; however, these national reports are limited by the use of Medicare data that exclude younger and privately insured patients. In addition, these prior reports have only captured inpatient procedures and may have had ascertainment bias due to the shift from inpatient to outpatient Cath that has occurred across the country. Finally, these trended data do not provide the context of use of invasive cardiac procedures by individual hospitals. In the current study, we explored the overall volume and rates of invasive cardiac procedures, relative rates of revascularization procedures (PCI and CABG) to Cath, and relative rates of PCI to CABG across North Carolina and within hospitals from 2003 to 2009. We also examined the variation in these rates across hospital systems in North Carolina.

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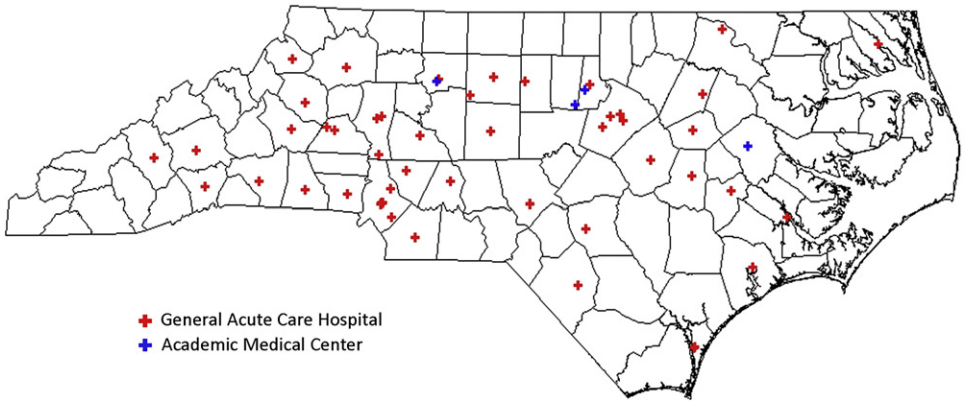
*doi:10.1016/j.ahj.2011.08.015*

**Figure 1**




North Carolina hospital map. Map of the location of all integrated academic medical center hospitals and acute care hospitals that performed invasive cardiac procedures in North Carolina during the study period.

## Methods

### Data sources

All North Carolina hospitals are required to submit an annual license renewal application. Data are compiled from these applications in the North Carolina State Medical Facilities Plan. In this application, the number of diagnostic and interventional cardiac Cath procedures and open-heart surgery procedures were self-reported for the prior fiscal year. Fiscal years will be referred to herein by the calendar year in which the fiscal year ended. The total number of Cath, PCI, and CABG was collected from these license renewal applications from 2003 to 2009.

### Invasive procedure rates

Based on these data, volume of procedure use and relative rates of PCI to Cath, CABG to Cath, and CABG to PCI were computed. When appropriate, the volume of procedure use was converted to the rate of procedure use based on an estimated population of North Carolina that was published by the North Carolina Office of State Budget and Management from 2003 to 2009.[7] Data from hospitals that performed at least 25 Cath, 25 PCI, and 25 CABG each year were used to compare volume, trends, and relative rates of procedure use between hospitals over time. Because this data set did not involve patient-specific information, the data will be presented as relative rates of revascularization procedures to Cath because not every revascularization procedure necessarily originated from a Cath.

### Statistical analysis

Percentage change in volume and rate of procedure use was calculated for the period. Procedure rates were compared across time using the analysis of variance statistical test. The F statistic from the regression analysis of variance, which tests for a linear relationship with a nonzero slope, was used to derive the *P*-trend value. *P*-trend values < .05 were considered statistically significant.

### Responsibility

No extramural funding was used to support this work. The authors are solely responsible for the design and conduct of this study, all study analyses, and the drafting and editing of the manuscript and its final contents.

## Results

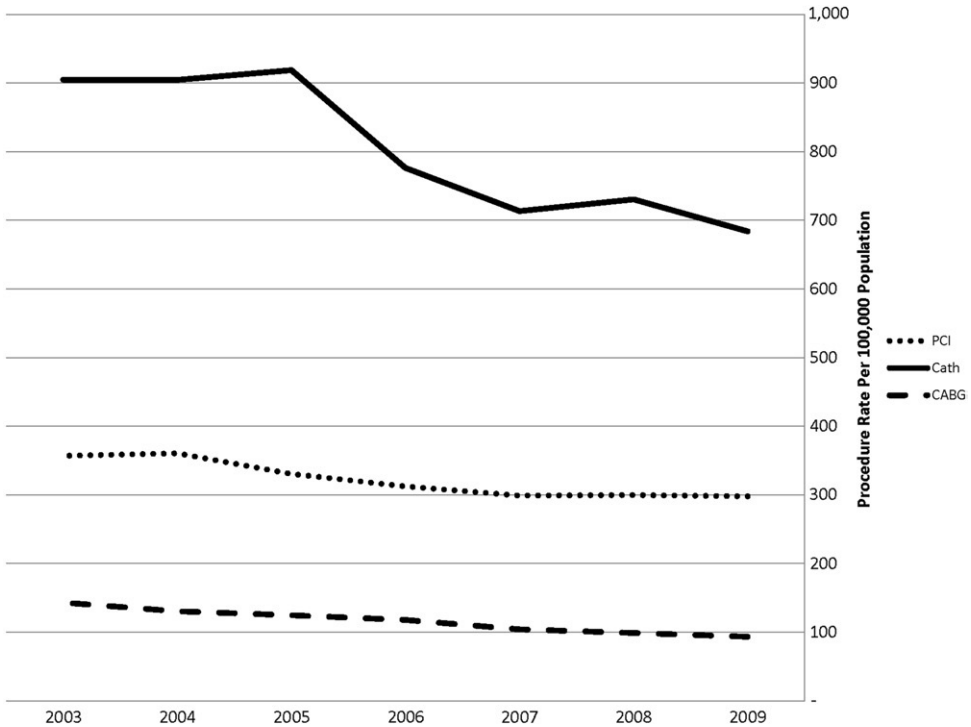
There were 4 integrated academic medical center hospitals and 49 acute care hospitals (that do not meet criteria for integrated academic medical center hospitals as designated by the Association of American Medical Colleges) that performed invasive cardiac procedures across a wide geographic spectrum in North Carolina (see Figure 1).[8] Of these 53 hospitals, 22 performed Cath, PCI, and CABG, whereas 31 performed only Cath and PCI during the study period. The absolute number of all procedures declined significantly during the study period. It should be noted that, during this period, the overall state population did increase from 8,416,671 to 9,382,609.When adjusted for the population, the rate of procedure use declined similarly: 24% for Cath (falling from 905/100,000 NC population in 2003 to 684/100,000 NC population in 2009), 16% for PCI (falling from 357/100,000 NC population in 2003 to 298/100,000 NC population in 2009), and 35% for CABG (falling from 143 CABG/100,000 NC population in 2003 to 93 CABG/100,000 NC population in 2009) (see Table I and Figure 2). As demonstrated in Figure 2, the absolute change in rate of procedure use was small from 2007 to 2009.

Over the study period, the relative rate of PCI to Cath increased by 11%, the relative rate of CABG to Cath decreased by 13%, and the relative rate of CABG to PCI decreased by 22% (see Figure 3). Of the 53 hospitals that reported performing invasive cardiac procedures in

**Table I.** Temporal trends of Cath, PCI, and CABG during the study period

| | 2003 | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 |
|---|---|---|---|---|---|---|---|
| North Carolina population | 8 416 671 | 8 531 487 | 8 669 657 | 8 867 193 | 9 064 307 | 9 247 173 | 9 382 609 |
| Cath | 76 136 | 77 161 | 79 641 | 68 829 | 64 659 | 67 542 | 64 161 |
| Rate of Cath per 100 000 population | 905 | 904 | 919 | 776 | 713 | 730 | 684 |
| PCI | 30 029 | 30 771 | 28 659 | 27 713 | 27 102 | 27 714 | 27 963 |
| Rate of PCI per 100 000 population | 357 | 361 | 331 | 313 | 299 | 300 | 298 |
| CABG | 12 041 | 11 128 | 10 817 | 10 459 | 9449 | 9136 | 8762 |
| Rate of CABG per 100 000 population | 143 | 130 | 125 | 118 | 104 | 99 | 93 |

**Figure 2**



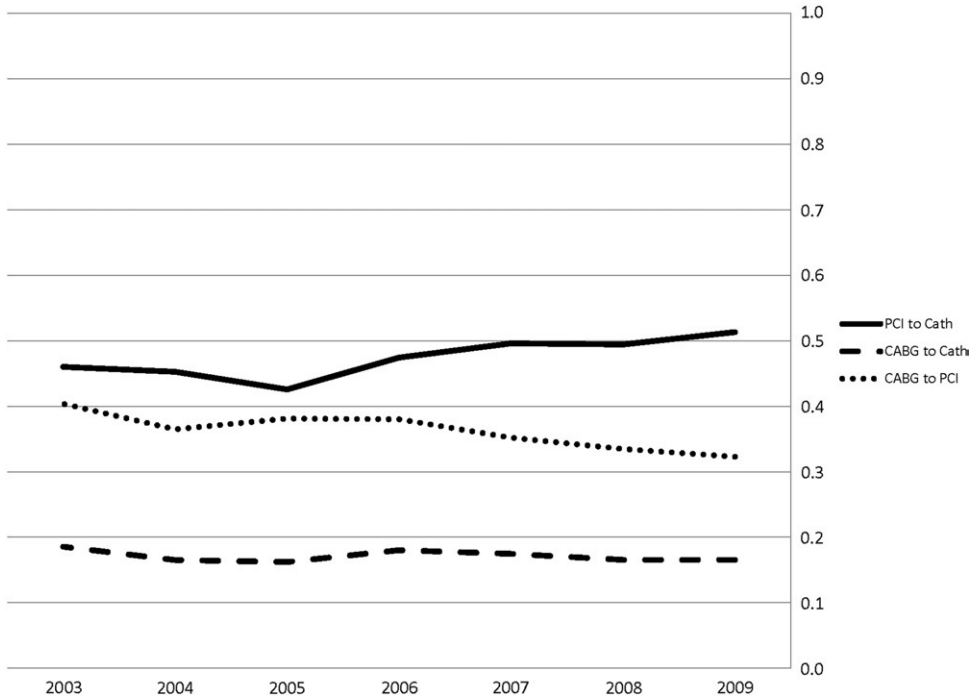

Trends in procedure use. Trends in invasive cardiac procedure rates per 100,000 population in North Carolina, 2003 to 2009. In spite of an enlarging general population in North Carolina, there was a significant decline in the volume of all invasive cardiac procedures from 2003 to 2009. The overall state population did increase from 8,416,671 to 9,382,609 during the study period. When adjusted for the population, there was a 24% decline in Cath, 16% decline in PCI, and 35% decline in CABG during the study period.

North Carolina, 21 hospitals reported performing >25 Cath, 25 PCI, and 25 CABG each year from 2003 to 2009 (1 hospital initiated a cardiac surgery program during the study period and did not perform sufficient volume during multiple years to meet criteria). Volume, rate, and trend analyses from these centers demonstrated similar findings to the total volume, rate, and trend analyses that are described above. Hospital level analysis showed evidence of significant variation in the relative rates of both PCI to Cath (10%-90%, $P < .05$) and CABG to Cath (5%-35%, $P < .05$) (see Figure 4).

## Discussion

We analyzed the rates of invasive cardiac procedures over a 7-year period in North Carolina and found that the rates of all invasive cardiac procedures declined significantly despite an enlarging population in North Carolina. Although the relative rate of CABG to Cath declined, the relative rate of PCI to Cath increased steadily during the study period. Temporally, the decline in procedure use was smaller from 2007 to 2009. Of the hospitals that performed at least 25 Cath, 25 PCI, and

**Figure 3**




Relative rates of revascularization. Overall relative rates of revascularization procedures to diagnostic Cath and relative rates of CABG to PCI. Although the overall volume and rates of invasive cardiac procedures declined significantly, the relative rates of PCI to Cath increased by 11% from 2003 to 2009.

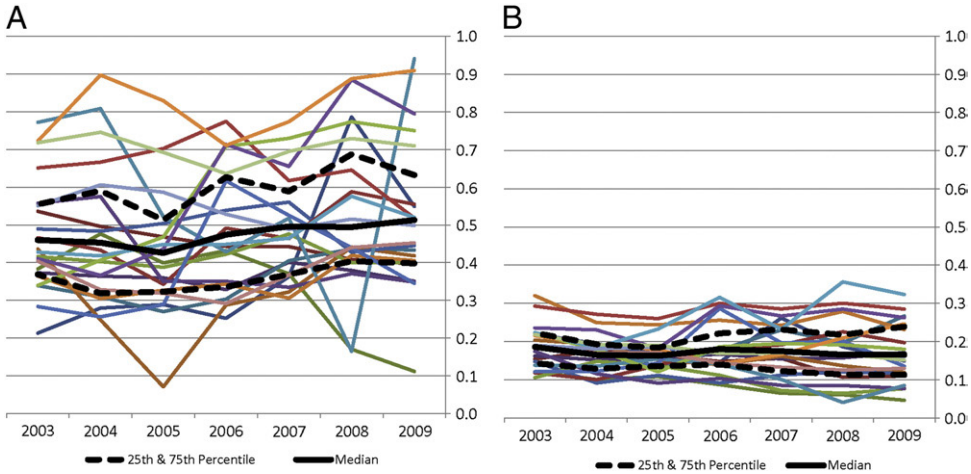
25 CABG each year, there was significant variation in the relative rate of revascularization procedure (PCI or CABG) to Cath.

Over the last decade, there have been reports of diverging trends in revascularization procedures for patients with coronary artery disease in the United States and Canada.[2,3,5,9-11] The rates and trends in PCI and CABG use in the current study are congruent with prior reports that revascularization procedures have been declining in use since 2003.[3] These prior reports are limited by completeness of data because of inclusion of only Medicare patients and exclusion of outpatients, younger patients, and patients with private insurance[3,4,12-14] In addition, no prior report has documented hospital-specific variation in procedure use in a region. The current data allowed broad observations on the use of cardiac procedures in North Carolina, as it is unlikely that hospitals underreported their procedure use, as the license renewal application was directly linked to annual licensure.

The decline in use of Cath, PCI, and CABG observed in this study was likely driven by several factors. First, the introduction in 2003 and increased implantation of DES may have contributed to fewer invasive procedures being performed because of less target vessel revascularization (less in-stent restenosis). In fact, observational studies have shown that use of DES has been associated with improved cardiovascular outcomes and reduced repeat revascularization.[15] Second, increased use of overall cardiovascular medical therapy, as evidenced by continuing reductions in cardiovascular mortality, may also be associated with reduced invasive procedures. Third, the performance of multivessel or complex PCI during the study period has been described,[16] and this may contribute further to the decline in relative rate of CABG and the overall leveling off of procedure use in 2007. Finally, the shift from scheduled PCI to ad hoc PCI during this period may have contributed to fewer invasive procedures being performed. This shift to ad hoc PCI might also play a part in the change in the relative rate of PCI to Cath compared with the relative rate of CABG to Cath. Our study was unable to assess the impact of these factors on procedure rates in North Carolina.

In terms of hospital-specific variation, the current study has shown that hospitals' relative rates of CABG to Cath varied as much as 4-fold, whereas the relative rates of PCI to Cath varied as much as 9-fold. This has important implications when considering prior reports that suggested that patients who live in an area of high Cath rates may be more prone to receive PCI.[12] Prior reports

**Figure 4**




Hospital variation in relative rates of revascularization to Cath. Hospital variation in the relative rates of PCI to Cath (Panel A) and CABG to Cath (Panel B) in North Carolina hospitals performing at least 25 Cath, 25 PCI, and 25 CABG procedures from 2003 to 2009. The 25th and 75th percentiles are designated with dotted black lines, and the median relative rate is designated with a solid black line.

have shown that the rate of coronary angiography and revascularization procedures has been moderately associated with the number of Cath laboratories and the number of cardiologists, but they have not been linked to patient characteristics or the prevalence of risk factors in a region.[5,11,12] With continued emphasis on the overall health care costs and use of procedures, some may interpret the data in this current study to imply that the relative rate of revascularization (either PCI or CABG) to Cath should be used to assess appropriateness of the use of invasive procedures. We would strongly caution against that, as the current study does not have clinical information to evaluate the appropriateness of the invasive procedures. Furthermore, as previously described, there may be significant variation in the use of noninvasive studies used to identify coronary disease that differ in the referral regions of specific hospitals.[17] Despite these limitations, hospitals that are on the ends of the spectrum with regard to these rates of invasive cardiac procedures to revascularization, both high and low, should consider performing quality review to understand the nature of the local practice and ensure that there is not under- or overuse that may deprive patients of the possible benefits of revascularization or may expose them to unwarranted risks and increased cost.

These data suggest that further investigation into the geographic trends and variation in use of cardiac procedures is warranted. Specifically, there is a need to understand differences in geographic and hospital variation in terms of patient characteristics, indication for procedures, and even coronary angiographic characteristics. Future studies should aim to determine if patients with similar characteristics or who present with similar clinical indications are more prone to undergo invasive procedures and revascularization at specific hospitals. This propensity to revascularize may be a local or regional practice pattern.

There are several limitations to our study. Our findings pertain to the population of North Carolina, and the extent to which they represent other states or the United States in general is not defined. Given the nature of the data presented, we were unable to obtain patient-specific information such as demographics, indications for invasive procedure, and need for repeat revascularization procedures. However, the current study was not subject to underreporting or miscoding issues that can occur in many administrative studies, as the source of our data is linked to annual Cath laboratory licensure, and this study included all subjects regardless of age, indication, or insurance carrier.

In conclusion, the rates of diagnostic coronary angiography and coronary revascularization via PCI or CABG declined in North Carolina from 2003 to 2009. This decline over this period is more pronounced when the number of procedures performed per 100,000 North Carolina residents is examined. This overall decline in invasive procedures may be due to improved medical therapy and improved more durable percutaneous and surgical revascularization techniques. The significant variation in the relative rates of PCI to Cath and CABG to Cath observed between hospitals in this study

warrants further investigation specifically to examine the clinical indications and evaluate the appropriateness of coronary revascularization.

## Disclosures

None.

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# The Changing Face of Interventional Cardiology

David P. Faxon, MD; David O. Williams, MD

*Change is the only constant (derived from "all entities move and nothing remains still").*

—Heraclitus, 401 BC

Interventional cardiology began as a discipline after the introduction of coronary balloon angioplasty by Andreas Gruentzig in 1976.[1] Technological advances, improved success, and reduced complications led to widespread acceptance of this new procedure, surpassing coronary artery bypass graft (CABG) as the most common means to achieve coronary revascularization. With time, there has been an expansion of the tools used for the coronary angioplasty, and the procedure has been renamed percutaneous coronary intervention (PCI) as a result. Changes in the practice of interventional cardiology over the last 10 years have been more subtle than the first 25 years that were dominated by technological advances, but they are still significant as we gained a better understanding of the best application of PCI. This period has been more about "what we should do" rather than "what we can do." Expansion of noncoronary interventions including peripheral arterial and structural heart disease interventions has also taken place and probably will dominate the future. Some of the more important changes in practice over the last decade will be discussed with speculation on the future of interventional cardiology.

Practitioners are well aware that coronary interventional volume has been decreasing over the last 6 to 8 years. A recent study by Riley et al,[2] using the data available from the Centers for Medicare and Medicaid Services from 2001 to 2009, showed that in the United States, diagnostic catheterizations rose from 1 075 623 procedures in 2001 to 1 315 515 in 2004, followed by a steady decline to 1 047 945 in 2009. Similarly, PCI volume rose until 2004 and then fell to 350 134 in 2009. Despite this dip after 2004, there has been an overall average increase of 1.3% per year over this 8-year period. Remarkably, the greatest change has been a steady decline in CABG from 316 951 in 2001 to 203 025 in 2009, a 5% per year decline. Others have shown similar changes. Epstein et al,[3] using the data from the Agency for Healthcare Research and Quality (AHRQ) healthcare cost and utilization project, demonstrated a 15% decline in coronary revascularizations. Studies from New York and Ontario and North Carolina are also consistent with these trends.[4,5]

The cause of the decline in cardiac catheterization volume is multifactorial. The factors that have contributed include a decrease in the prevalence of coronary disease due to improved primary prevention and improvement in medical therapy and secondary prevention for those with established disease. The reduction in disease prevalence is demonstrated by the reduction in death due to cardiovascular disease (CVD) by 30% since it peak in 1980.[6] However, we have a long way to go to truly preventing CVD, as ideal control of the 7 key risk factors was only 0.1% in a study of healthy people in the United States.[7] Rates of poorly controlled CVD risk factors for patients with known disease are also unacceptably high.[8] The current decline in CVD may be short-lived if the current increase in obesity by 8% to 15% and type 2 diabetes by 60% over the last 10 years persists.[6] The aging of the population probably will be the most important factor for the increase in CVD in the future, with coronary heart disease expected to increase by 7.5% in the next decade and by 16% over the next 20 years.[9]

A decline in overall revascularization volume has also contributed to the fall in cardiac catheterization procedure rates, but the fall has been greater for CABG than for PCI. The declines in surgery have been largely attributed to a shift to the use of PCI in the majority of patients needing revascularization. Currently, only patients with the most complex CAD are referred for CABG. The smaller fall in PCI volume than with CABG is also due to an increase in the numbers of patients with acute coronary syndrome treated with PCI. It is well known that the prevalence of myocardial infarction has declined by 60% since 1970.[6] While the decline is evident on a population basis, there has seen a relative increase in the percentage of patients with myocardial infarction referred for PCI. This change is due to the demonstrated survival advantage of PCI for high-risk non–ST segment–myocardial infarction (NSTEMI) and ST segment–myocardial infarction (STEMI). As shown in the National Heart, Lung, and Blood Institute (NHLBI) Dynamic Registry, the percentage of patients with myocardial infarction undergoing PCI rose from 23% to 36% between 1998 and 2006.[10] This was accompanied by a significant increase in urgent and emergent cases. Although the distribution of STEMI versus NSTEMI had been reported to be equivalent (ranging from 30% to 60%),[6] recent evidence suggests a shift to more PCI procedures in NSTEMI patients than in STEMI patients. This change is probably related to an expansion of the definition of myocardial infarction with the use of sensitive troponin assays rather than creatine kinase-MB, resulting in an increase in the number of patients classified as NSTEMI. In one study from the ACCIS registry, the number of NSTEMI acute coronary syndrome patients increased by 33% between 2000

The opinions expressed in this article are not necessarily those of the American Heart Association.

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and 2002 as troponin assay use increased from 20% to 60%.[11] This increase in the number of NSTEMI patients treated by PCI has been greater than the increase in the use of PCI for STEMI, even though primary PCI is the preferred treatment for STEMI in the United States.[12]

The greatest reduction in PCI volume has been in patients with stable angina. In a study by Ahmed et al[13] from the Northern New England Cardiovascular Disease study group, PCI for stable angina accounted for 20% of all PCIs in 2006 but declined by 26% by 2009. In contrast, those with PCI for other indications declined only a 10% over the same time period. This decline was temporally related to the publication of the COURAGE trial that supported a conservative medical treatment of many patients with stable angina.[14] Also, the prevalence of patients with stable angina may be declining, as the threshold for identifying such patients is extraordinarily low. Finally, improved medical therapy and lower rates of restenosis due to the widespread use of drug-eluting stents have contributed. The reduction in restenosis alone has decreased repeat procedures by 40% to 60%.[15] In the NHLBI Dynamic registry, the number of patients with repeat procedures (CABG or PCI) fell from 22% to 10.5% between 1998 and 2006.[10] Despite the decrease in PCI for stable angina, PCI overall is projected to grow 1% per year over the next 4 years from industry sources.[16]

In contrast, noncoronary interventions have been increasing in frequency. Data from the Nationwide Inpatient Sample of 2 148 924 hospital admissions for peripheral artery disease (20% of all US hospital admissions) showed that the choice of treatment has dramatically changed, with a 78% increase in endovascular procedures with a concomitant decrease in open bypass and amputations.[17] Interventional radiologists, cardiologists, and vascular surgeons all perform endovascular procedures. The distribution of cases has shifted significantly.[18] Between 1998 and 2005, there was a 6-fold drop in procedures for interventional radiologists (5.6% of all cases in 2005), a 3-fold increase for interventional cardiologists (29% of all cases), and a 2-fold increase for vascular surgeons (43% of all cases). Many but not all interventional laboratories have the capability to do peripheral vascular interventions, but the numbers are rapidly growing, with many training programs now offering additional training in these techniques. Advances in technology, uses of drug-eluting stents, and intravascular imaging have helped to increase success and reduce complications. It is estimated by industry that peripheral interventions will grow an average of 8% per year over the next 4 years.[16]

Structural heart disease is the area of interventional cardiology most likely to realize the greatest growth in the next 10 years.[19,20] The incidence of aortic and mitral valve disease is increasing, although good estimates of the prevalence of the disease are lacking. In a pooled analysis of 3 large, population-based epidemiological studies, the prevalence of aortic and mitral valve disease in the population was estimated to be 2.5% but ranged from less than 1% for those under 54 years old to 4% to 8% by age 65 to 74 and 12% to 14% over age 75.[21] Based on this study, aortic and mitral valve disease should significantly increase in prevalence in the future due to aging of the population.

The development of transcatheter aortic valve replacement has changed the interventional landscape. After approval of the Edwards valve in 2007 and the CoreValve shortly thereafter in Europe, TAVR has grown to more than 60 000 procedures outside of the United States in 2011.[16] In the United States, the Edwards valve was approved in 2011 after the dramatic results of the PARTNERS trial, and the CoreValve is expected to be approved next year after conclusion of the pivotal US trial. It is expected that as these valves become available that TAVR will grow from its current 2000 per year to 25 000 per year in the United States by 2015.[16] Further advances with better delivery systems, lower profiles, and alternative valve designs will cause even more growth. Randomized trials are underway to determine if the technique is comparable to surgical valve replacement in intermediate-risk patients (PARTNERS 2 and SURTAVI), and, if this is shown to be true, even further increases in volume are expected. Application to aortic insufficiency and mitral and tricuspid valve disease has already been shown possible, but specific devices must be developed. All structural heart disease interventional techniques are expected to grow by 30% over the next decade.[16]

The practice of interventional cardiology will undoubtedly be different in the next decade. Coronary intervention will remain the dominant procedure for the interventionalist, and the number of procedures will grow slowly as the population ages. The increase in peripheral interventions probably will be greater than for coronary, but the greatest and most profound change will be in the growth of valvular heart disease interventions. New technology and improved imaging will be necessary and likely. The interventional laboratory of the future will be a different one from today, and the interventionist of the future will need to be skilled in many more techniques than just coronary interventions. Training programs will need to rapidly adapt to these changes, and many are already doing so. Everything does change—and in the case of interventional cardiology—for the better.

## Disclosures

None.

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KEY WORDS: interventional cardiology

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# PRACTICE GUIDELINE

# 2011 ACCF/AHA/SCAI Guideline for Percutaneous Coronary Intervention

## A Report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines and the Society for Cardiovascular Angiography and Interventions

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**Key words: ACCF/AHA Practice Guidelines; acute coronarysyndromes; anticoagulants; antiplatelet agents; arrhythmias, cardiac; coronary angiography; coronary artery revascularization interventions: stents; drug therapy; drug delivery systems; heart diseases; myocardial revasularization; platelet aggregation inhibitor; ultrasound**

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## PREAMBLE

The medical profession should play a central role in evaluating the evidence related to drugs, devices, and procedures for the detection, management, and prevention of disease. When properly applied, expert analysis of available data on the benefits and risks of these therapies and procedures can improve the quality of care, optimize patient outcomes, and favorably affect costs by focusing resources on the most effective strategies. An organized and directed approach to a thorough review of evidence has resulted in the production of clinical practice guidelines that assist physicians in selecting the best management strategy for an individual patient. Moreover, clinical practice guidelines can provide a foundation for other applications, such as performance measures, appropriate use criteria, and both quality improvement and clinical decision support tools.

The American College of Cardiology Foundation (ACCF) and the American Heart Association (AHA) have jointly produced guidelines in the area of cardiovascular disease since 1980. The ACCF/AHA Task Force on Practice Guidelines (Task Force), charged with developing, updating, and revising practice guidelines for cardiovascular diseases and procedures, directs and oversees this effort. Writing committees are charged with regularly reviewing and evaluating all available evidence to develop balanced, patient-centric recommendations for clinical practice.

Experts in the subject under consideration are selected by the ACCF and AHA to examine subject-specific data and write guidelines in partnership with representatives from other medical organizations and specialty groups. Writing committees are asked to perform a formal literature review; weigh the strength of evidence for or against particular tests, treatments, or procedures; and include estimates of expected outcomes where such data exist. Patient-specific modifiers, comorbidities, and issues of patient preference that may influence the choice of tests or therapies are considered. When available, information from studies on cost is considered, but data on efficacy and outcomes constitute the primary basis for the recommendations contained herein.

In analyzing the data and developing recommendations and supporting text, the writing committee uses evidence-based methodologies developed by the Task Force (1). The Class of Recommendation (COR) is an estimate of the size of the treatment effect considering risks versus benefits in addition to evidence and/or agreement that a given treatment or procedure is or is not useful/effective or in some situations may cause harm. The Level of Evidence (LOE) is an estimate of the certainty or precision of the treatment effect. The writing committee reviews and ranks evidence supporting each recommendation with the weight of evidence ranked as LOE A, B, or C according to specific definitions that are included in Table 1. Studies are identified as observational, retrospective, prospective, or randomized where appropriate. For certain conditions for which inadequate data are available, recommendations are based on expert consensus and clinical experience and are ranked as LOE C. When recommendations at LOE C are supported by historical clinical data, appropriate references (including clinical reviews) are cited if available. For issues for which sparse data are available, a survey of current practice among the clinicians on the writing committee is the basis for LOE C recommendations and no references are cited. The schema for COR and LOE is summarized in Table 1, which also provides suggested phrases for writing recommendations within each COR. A new addition to this methodology is separation of the Class III recommendations to delineate if the recommendation is determined to be of "no benefit" or is associated with "harm" to the patient. In addition, in view of the increasing number of comparative effectiveness studies, comparator verbs and suggested phrases for writing recommendations for the comparative effectiveness of one treatment or strategy versus another have been added for COR I and IIa, LOE A or B only.

T1

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**TABLE 1. Applying Classification of Recommendations and Level of Evidence**

| ESTIMATE OF CERTAINTY (PRECISION) OF TREATMENT EFFECT | CLASS I<br>*Benefit >>> Risk*<br>Procedure/Treatment **SHOULD** be performed/administered | CLASS IIa<br>*Benefit >> Risk*<br>*Additional studies with focused objectives needed*<br>**IT IS REASONABLE** to perform procedure/administer treatment | CLASS IIb<br>*Benefit ≥ Risk*<br>*Additional studies with broad objectives needed; additional registry data would be helpful*<br>Procedure/Treatment **MAY BE CONSIDERED** | CLASS III *No Benefit* or CLASS III *Harm*<br>Procedure/Test / Treatment<br>COR III: No benefit — Not Helpful / No Proven Benefit<br>COR III: Harm — Excess Cost w/o Benefit or Harmful / Harmful to Patients |
|---|---|---|---|---|
| **LEVEL A**<br>Multiple populations evaluated*<br>Data derived from multiple randomized clinical trials or meta-analyses | ■ Recommendation that procedure or treatment is useful/effective<br>■ Sufficient evidence from multiple randomized trials or meta-analyses | ■ Recommendation in favor of treatment or procedure being useful/effective<br>■ Some conflicting evidence from multiple randomized trials or meta-analyses | ■ Recommendation's usefulness/efficacy less well established<br>■ Greater conflicting evidence from multiple randomized trials or meta-analyses | ■ Recommendation that procedure or treatment is not useful/effective and may be harmful<br>■ Sufficient evidence from multiple randomized trials or meta-analyses |
| **LEVEL B**<br>Limited populations evaluated*<br>Data derived from a single randomized trial or nonrandomized studies | ■ Recommendation that procedure or treatment is useful/effective<br>■ Evidence from single randomized trial or nonrandomized studies | ■ Recommendation in favor of treatment or procedure being useful/effective<br>■ Some conflicting evidence from single randomized trial or nonrandomized studies | ■ Recommendation's usefulness/efficacy less well established<br>■ Greater conflicting evidence from single randomized trial or nonrandomized studies | ■ Recommendation that procedure or treatment is not useful/effective and may be harmful<br>■ Evidence from single randomized trial or nonrandomized studies |
| **LEVEL C**<br>Very limited populations evaluated*<br>Only consensus opinion of experts, case studies, or standard of care | ■ Recommendation that procedure or treatment is useful/effective<br>■ Only expert opinion, case studies, or standard of care | ■ Recommendation in favor of treatment or procedure being useful/effective<br>■ Only diverging expert opinion, case studies, or standard of care | ■ Recommendation's usefulness/efficacy less well established<br>■ Only diverging expert opinion, case studies, or standard of care | ■ Recommendation that procedure or treatment is not useful/effective and may be harmful<br>■ Only expert opinion, case studies, or standard of care |
| Suggested phrases for writing recommendations | should<br>is recommended<br>is indicated<br>is useful/effective/beneficial | is reasonable<br>can be useful/effective/beneficial<br>is probably recommended or indicated | may/might be considered<br>may/might be reasonable<br>usefulness/effectiveness is unknown/unclear/uncertain or not well established | COR III: No Benefit<br>is not recommended<br>is not indicated<br>should not be performed/administered/other<br>is not useful/beneficial/effective<br>COR III: Harm<br>potentially harmful<br>causes harm<br>associated with excess morbidity/mortality<br>should not be performed/administered/other |
| Comparative effectiveness phrases† | treatment/strategy A is recommended/indicated in preference to treatment B<br>treatment A should be chosen over treatment B | treatment/strategy A is probably recommended/indicated in preference to treatment B<br>it is reasonable to choose treatment A over treatment B | | |

A recommendation with Level of Evidence B or C does not imply that the recommendation is weak. Many important clinical questions addressed in the guidelines do not lend themselves to clinical trials. Although randomized trials are unavailable, there may be a very clear clinical consensus that a particular test or therapy is useful or effective.

*Data available from clinical trials or registries about the usefulness/efficacy in different subpopulations, such as sex, age, history of diabetes, history of prior myocardial infarction, history of heart failure, and prior aspirin use. †For comparative effectiveness recommendations (Class I and IIa; Level of Evidence A and B only), studies that support the use of comparator verbs should involve direct comparisons of the treatments or strategies being evaluated.

In view of the advances in medical therapy across the spectrum of cardiovascular diseases, the Task Force has designated the term *guideline-directed medical therapy (GDMT)* to represent optimal medical therapy as defined by ACCF/AHA guideline recommended therapies (primarily Class I). This new term, GDMT, will be used herein and throughout all future guidelines.

Because the ACCF/AHA practice guidelines address patient populations (and healthcare providers) residing in North America, drugs that are not currently available in North America are discussed in the text without a specific COR. For studies performed in large numbers of subjects outside North America, each writing committee reviews the potential influence of different practice patterns and patient populations on the treatment effect and relevance to the ACCF/AHA target population to determine whether the findings should inform a specific recommendation.

The ACCF/AHA practice guidelines are intended to assist healthcare providers in clinical decision making by describing a range of generally acceptable

approaches to the diagnosis, management, and prevention of specific diseases or conditions. The guidelines attempt to define practices that meet the needs of most patients in most circumstances. The ultimate judgment regarding care of a particular patient must be made by the healthcare provider and patient in light of all the circumstances presented by that patient. As a result, situations may arise for which deviations from these guidelines may be appropriate. Clinical decision making should involve consideration of the quality and availability of expertise in the area where care is provided. When these guidelines are used as the basis for regulatory or payer decisions, the goal should be improvement in quality of care. The Task Force recognizes that situations arise in which additional data are needed to inform patient care more effectively; these areas will be identified within each respective guideline when appropriate.

Prescribed courses of treatment in accordance with these recommendations are effective only if followed. Because lack of patient understanding and adherence may adversely affect outcomes, physicians and other healthcare providers should make every effort to engage the patient's active participation in prescribed medical regimens and lifestyles. In addition, patients should be informed of the risks, benefits, and alternatives to a particular treatment and be involved in shared decision making whenever feasible, particularly for COR IIa and IIb, where the benefit-to-risk ratio may be lower.

The Task Force makes every effort to avoid actual, potential, or perceived conflicts of interest that may arise as a result of industry relationships or personal interests among the members of the writing committee. All writing committee members and peer reviewers of the guideline are asked to disclose all such current relationships, as well as those existing 12 months previously. In December 2009, the ACCF and AHA implemented a new policy for relationships with industry and other entities (RWI) that requires the writing committee chair plus a minimum of 50% of the writing committee to have no *relevant* RWI (Appendix 1 for the ACCF/AHA definition of relevance). These statements are reviewed by the Task Force and all members during each conference call and/or meeting of the writing committee and are updated as changes occur. All guideline recommendations require a confidential vote by the writing committee and must be approved by a consensus of the voting members. Members are not permitted to write, and must recuse themselves from voting on, any recommendation or section to which their RWI apply. Members who recused themselves from voting are indicated in the list of writing committee members, and section recusals are noted in Appendix 1. Authors' and peer reviewers' RWI pertinent to this guideline are disclosed in Appendixes 1 and 2, respectively. Additionally, to ensure complete transparency, writing committee members' comprehensive disclosure information—including RWI not pertinent to this document—is available as an online supplement. Comprehensive disclosure information for the Task Force is also available online at www.cardiosource.org/ACC/ About-ACC/Leadership/Guidelines-and-Documents-Task-Forces.aspx. The work of the writing committee was supported exclusively by the ACCF, AHA, and the Society for Cardiovascular Angiography and Interventions (SCAI) without commercial support. Writing committee members volunteered their time for this activity.

In an effort to maintain relevance at the point of care for practicing physicians, the Task Force continues to oversee an ongoing process improvement initiative. As a result, in response to pilot projects, several changes to these guidelines will be apparent, including limited narrative text, a focus on summary and evidence tables (with references linked to abstracts in PubMed) and more liberal use of summary recommendation tables (with references that support LOE) to serve as a quick reference.

In April 2011, the Institute of Medicine released 2 reports: *Finding What Works in Health Care: Standards for Systematic Reviews* and *Clinical Practice Guidelines We Can Trust* (2, 3). It is noteworthy that the ACCF/AHA guidelines were cited as being compliant with many of the standards that were proposed. A thorough review of these reports and of our current methodology is under way, with further enhancements anticipated.

The recommendations in this guideline are considered current until they are superseded by a focused update or the full-text guideline is revised. Guidelines are official policy of both the ACCF and AHA.

*Alice K. Jacobs, MD, FACC, FAHA, Chair*
*ACCF/AHA Task Force on Practice Guidelines*

## 1. INTRODUCTION

### 1.1. Methodology and Evidence Review

The recommendations listed in this document are, whenever possible, evidence based. An extensive evidence review was conducted through November 2010, as well as selected other references through August 2011. Searches were limited to studies, reviews, and other evidence conducted in human subjects and that were published in English. Key search words included but were not limited to the following: *ad hoc angioplasty, angioplasty, balloon angioplasty, clinical trial, coronary stenting, delayed angioplasty, meta-analysis,*

*percutaneous transluminal coronary angioplasty, randomized controlled trial (RCT), percutaneous coronary intervention (PCI) and angina, angina reduction, antiplatelet therapy, bare-metal stents (BMS), cardiac rehabilitation, chronic stable angina, complication, coronary bifurcation lesion, coronary calcified lesion, coronary chronic total occlusion (CTO), coronary ostial lesions, coronary stent (BMS and drug-eluting stents [DES]; and BMS versus DES), diabetes, distal embolization, distal protection, elderly, ethics, late stent thrombosis, medical therapy, microembolization, mortality, multiple lesions, multi-vessel, myocardial infarction (MI), non—ST-elevation myocardial infarction (NSTEMI), no-reflow, optical coherence tomography, proton pump inhibitor (PPI), return to work, same-day angioplasty and/or stenting, slow flow, stable ischemic heart disease (SIHD), staged angioplasty, STEMI, survival*, and *unstable angina (UA)*. Additional searches cross-referenced these topics with the following subtopics: *anticoagulant therapy, contrast nephropathy, PCI-related vascular complications, unprotected left main PCI, multivessel coronary artery disease (CAD), adjunctive percutaneous interventional devices, percutaneous hemodynamic support devices*, and *secondary prevention*. Additionally, the committee reviewed documents related to the subject matter previously published by the ACCF and AHA. References selected and published in this document are representative and not all-inclusive.

To provide clinicians with a comprehensive set of data, whenever deemed appropriate or when published, the absolute risk difference and number needed to treat or harm will be provided in the guideline, along with confidence intervals (CIs) and data related to the relative treatment effects such as odds ratio (OR), relative risk, hazard ratio (HR), or incidence rate ratio.

The focus of this guideline is the safe, appropriate, and efficacious performance of PCI. The risks of PCI must be balanced against the likelihood of improved survival, symptoms, or functional status. This is especially important in patients with SIHD.

### 1.2. Organization of the Writing Committee

The committee was composed of physicians with expertise in interventional cardiology, general cardiology, critical care cardiology, cardiothoracic surgery, clinical trials, and health services research. The committee included representatives from the ACCF, AHA, and SCAI.

### 1.3. Document Review and Approval

This document was reviewed by 2 official reviewers nominated by the ACCF, AHA, and SCAI, as well as 21 individual content reviewers (including members of the ACCF Interventional Scientific Council and ACCF Surgeons' Scientific Council). All information on reviewers' RWI was distributed to the writing committee and is published in this document (Appendix 2). This document was approved for publication by the governing bodies of the ACCF, AHA, and SCAI.

### 1.4. PCI Guidelines: History and Evolution

In 1982, a 2-page manuscript titled "Guidelines for the Performance of Percutaneous Transluminal Coronary Angioplasty" was published in *Circulation* (4). The document, which addressed the specific expertise and experience physicians should have to perform balloon angioplasty, as well as laboratory requirements and the need for surgical support, was written by an ad hoc group whose members included Andreas Grüntzig. In 1980, the ACC and the AHA established the Task Force on Assessment of Diagnostic and Therapeutic Cardiovascular Procedures, which was charged with the development of guidelines related to the role of new therapeutic approaches and of specific noninvasive and invasive procedures in the diagnosis and management of cardiovascular disease. The first ACC/ AHA Task Force report on guidelines for coronary balloon angioplasty was published in 1988 (5). The 18-page document discussed and made recommendations about lesion classification and success rates, indications for and contraindications to balloon angioplasty, institutional review of angioplasty procedures, ad hoc angioplasty after angiography, and on-site surgical backup. Further iterations of the guidelines were published in 1993 (6), 2001 (7), and 2005 (8). In 2007 and 2009, focused updates to the guideline were published to expeditiously address new study results and recent changes in the field of interventional cardiology (9, 10). The 2009 focused update is notable in that there was direct collaboration between the writing committees for the STEMI guidelines and the PCI guidelines, resulting in a single publication of focused updates on STEMI and PCI (10).

The evolution of the PCI guideline reflects the growth of knowledge in the field and parallels the many advances and innovations in the field of interventional cardiology, including primary PCI, BMS and DES, intravascular ultrasound (IVUS) and physiologic assessments of stenosis, and newer antiplatelet and anticoagulant therapies. The 2011 iteration of the guideline continues this process, addressing ethical aspects of PCI, vascular access considerations, CAD revascularization including hybrid revascularization, revascularization before noncardiac surgery, optical coherence tomography, advanced hemodynamic support

devices, no-reflow therapies, and vascular closure devices. Most of this document is organized according to "patient flow," consisting of preprocedural considerations, procedural considerations, and postprocedural considerations. In a major undertaking, the STEMI, PCI, and coronary artery bypass graft (CABG) surgery guidelines were written concurrently, with additional collaboration with the SIHD guideline writing committee, allowing greater collaboration between the different writing committees on topics such as PCI in STEMI and revascularization strategies in patients with CAD (including unprotected left main PCI, multivessel disease revascularization, and hybrid procedures).

In accordance with direction from the Task Force and feedback from readers, in this iteration of the guideline, the text has been shortened, with an emphasis on summary statements rather than detailed discussion of numerous individual trials. Online supplemental evidence and summary tables have been created to document the studies and data considered for new or changed guideline recommendations.

## 2. CAD REVASCULARIZATION

Recommendations and text in this section are the result of extensive collaborative discussions between the PCI and CABG writing committees, as well as key members of the SIHD and UA/NSTEMI writing committees. Certain issues, such as older versus more contemporary studies, primary analyses versus subgroup analyses, and prospective versus post hoc analyses, have been carefully weighed in designating COR and LOE; they are addressed in the appropriate corresponding text. The goals of revascularization for patients with CAD are to 1) improve survival and/or 2) relieve symptoms.

Revascularization recommendations in this section are predominantly based on studies of patients with symptomatic SIHD and should be interpreted in this context. As discussed later in this section, recommendations on the type of revascularization are, in general, applicable to patients with UA/NSTEMI. In some cases (e.g., unprotected left main CAD), specific recommendations are made for patients with UA/NSTEMI or STEMI.

Historically, most studies of revascularization have been based on and reported according to angiographic criteria. Most studies have defined a "significant" stenosis as $\geq$ 70% diameter narrowing; therefore, for revascularization decisions and recommendations in this section, a "significant" stenosis has been defined as $\geq$ 70% diameter narrowing ($\geq$50% for left main CAD). Physiological criteria, such as an assessment of fractional flow reserve (FFR), has been used in deciding when revascularization is indicated. Thus, for recommendations about revascularization in this section, coronary stenoses with FFR $\leq$ 0.80 can also be considered to be "significant" (11, 12).

As noted, the revascularization recommendations have been formulated to address issues related to 1) improved survival and/or 2) improved symptoms. When one method of revascularization is preferred over the other for improved survival, this consideration, in general, takes precedence over improved symptoms. When discussing options for revascularization with the patient, he or she should understand when the procedure is being performed in an attempt to improve symptoms, survival, or both.

Although some results from the SYNTAX (Synergy between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery) study are best characterized as subgroup analyses and "hypothesis generating," SYNTAX nonetheless represents the latest and most comprehensive comparison of PCI and CABG (13, 14). Therefore, the results of SYNTAX have been considered appropriately when formulating our revascularization recommendations. Although the limitations of using the SYNTAX score for certain revascularization recommendations are recognized, the SYNTAX score is a reasonable surrogate for the extent of CAD and its complexity and serves as important information that should be considered when making revascularization decisions. Recommendations that refer to SYNTAX scores use them as surrogates for the extent and complexity of CAD.

Revascularization recommendations to improve survival and symptoms are provided in the following text and are summarized in Tables 2 and 3. References to studies comparing revascularization with medical therapy are presented when available for each anatomic subgroup. T2 T3

*See Online Data Supplements 1 and 2 for additional data regarding the survival and symptomatic benefits with CABG or PCI for different anatomic subsets.*

### 2.1. Heart Team Approach to Revascularization Decisions: Recommendations

**CLASS I**

1. A Heart Team approach to revascularization is recommended in patients with unprotected left main or complex CAD (14–16). *(Level of Evidence: C)*

**CLASS IIa**

1. Calculation of the Society of Thoracic Surgeons (STS) and SYNTAX scores is reasonable in patients with unprotected left main and complex CAD (13, 14, 17–22). *(Level of Evidence: B)*

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One protocol used in RCTs (14–16, 23) often involves a multidisciplinary approach referred to as the Heart Team. Composed of an interventional cardiologist and a cardiac surgeon, the Heart Team 1) reviews the patient's medical condition and coronary anatomy, 2) determines that PCI and/or CABG are technically feasible and reasonable, and 3) discusses revascularization options with the patient before a treatment strategy is selected. Support for using a Heart Team approach comes from reports that patients with complex CAD referred specifically for PCI or CABG in concurrent trial registries have lower mortality rates than those randomly assigned to PCI or CABG in controlled trials (15, 16).

The SIHD, PCI, and CABG guideline writing committees endorse a Heart Team approach in patients with unprotected left main CAD and/or complex CAD in whom the optimal revascularization strategy is not straightforward. A collaborative assessment of revascularization options, or the decision to treat with GDMT without revascularization, involving an interventional cardiologist, a cardiac surgeon, and (often) the patient's general cardiologist, followed by discussion with the patient about treatment options, is optimal. Particularly in patients with SIHD and unprotected left main and/or complex CAD for whom a revascularization strategy is not straightforward, an approach has been endorsed that involves terminating the procedure after diagnostic coronary angiography is completed: this allows a thorough discussion and affords both the interventional cardiologist and cardiac surgeon the opportunity to discuss revascularization options with the patient. Because the STS score and the SYNTAX score have been shown to predict adverse outcomes in patients undergoing CABG and PCI, respectively, calculation of these scores is often useful in making revascularization decisions (13, 14, 17–22).

## 2.2. Revascularization to Improve Survival: Recommendations

### Left Main CAD Revascularization

#### CLASS I

1. CABG to improve survival is recommended for patients with significant (≥50% diameter stenosis) left main coronary artery stenosis (24–30). *(Level of Evidence: B)*

#### CLASS IIa

1. PCI to improve survival is reasonable as an alternative to CABG in selected stable patients with significant (≥50% diameter stenosis) unprotected left main CAD with: 1) anatomic conditions associated with a low risk of PCI procedural complications and a high likelihood of good long-term outcome (e.g., a low SYNTAX score [≤22], ostial or trunk left main CAD); and 2) clinical characteristics that predict a significantly increased risk of adverse surgical outcomes (e.g., STS-predicted risk of operative mortality ≥5%) (13, 17, 19, 23, 31–48). *(Level of Evidence: B)*
2. PCI to improve survival is reasonable in patients with UA/NSTEMI when an unprotected left main coronary artery is the culprit lesion and the patient is not a candidate for CABG (13, 36–39, 44, 45, 47–49). *(Level of Evidence: B)*
3. PCI to improve survival is reasonable in patients with acute STEMI when an unprotected left main coronary artery is the culprit lesion, distal coronary flow is less than TIMI (Thrombolysis In Myocardial Infarction) grade 3, and PCI can be performed more rapidly and safely than CABG (33, 50, 51). *(Level of Evidence: C)*

#### CLASS IIb

1. PCI to improve survival may be reasonable as an alternative to CABG in selected stable patients with significant (≥50% diameter stenosis) unprotected left main CAD with: 1) anatomic conditions associated with a low to intermediate risk of PCI procedural complications and an intermediate to high likelihood of good long-term outcome (e.g., low-intermediate SYNTAX score of <33, bifurcation left main CAD); and 2) clinical characteristics that predict an increased risk of adverse surgical outcomes (e.g., moderate-severe chronic obstructive pulmonary disease, disability from previous stroke, or previous cardiac surgery; STS-predicted risk of operative mortality >2%) (13, 17, 19, 23, 31–48, 52). *(Level of Evidence: B)*

#### CLASS III: HARM

1. PCI to improve survival should not be performed in stable patients with significant (≥50% diameter stenosis) unprotected left main CAD who have unfavorable anatomy for PCI and who are good candidates for CABG (13, 17, 19, 24–32). *(Level of Evidence: B)*

### Non-Left Main CAD Revascularization

#### CLASS I

1. CABG to improve survival is beneficial in patients with significant (≥70% diameter) stenoses in 3 major coronary arteries (with or without involvement of the proximal left anterior descending [LAD] artery) or in the proximal LAD plus 1 other major coronary artery (26, 30, 53–56). *(Level of Evidence: B)*

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**TABLE 2. Revascularization to Improve Survival Compared With Medical Therapy**

| Anatomic Setting | COR | LOE | References |
|---|---|---|---|
| **UPLM or complex CAD** | | | |
| **CABG and PCI** | I—Heart Team approach recommended | C | (14–16) |
| **CABG and PCI** | IIa—Calculation of STS and SYNTAX scores | B | (13,14,17–22) |
| **UPLM*** | | | |
| **CABG** | I | B | (24–30) |
| **PCI** | IIa—For SIHD when *both* of the following are present:<br>• Anatomic conditions associated with a low risk of PCI procedural complications and a high likelihood of good long-term outcome (e.g., a low SYNTAX score of ≤22, ostial or trunk left main CAD)<br>• Clinical characteristics that predict a significantly increased risk of adverse surgical outcomes (e.g., STS-predicted risk of operative mortality ≥5%) | B | (13,17,19,23,31–48) |
| | IIa—For UA/NSTEMI if not a CABG candidate | B | (13,36–39,44,45,47–49) |
| | IIa—For STEMI when distal coronary flow is TIMI flow grade <3 and PCI can be performed more rapidly and safely than CABG | C | (33,50,51) |
| | IIb—For SIHD when *both* of the following are present:<br>• Anatomic conditions associated with a low to intermediate risk of PCI procedural complications and an intermediate to high likelihood of good long-term outcome (e.g., low-intermediate SYNTAX score of <33, bifurcation left main CAD)<br>• Clinical characteristics that predict an increased risk of adverse surgical outcomes (e.g., moderate-severe COPD, disability from prior stroke, or prior cardiac surgery; STS-predicted risk of operative mortality >2%) | B | (13,17,19,23,31–48,52) |
| | III: Harm—For SIHD in patients (versus performing CABG) with unfavorable anatomy for PCI and who are good candidates for CABG | B | (13,17,19,24–32) |
| **3-vessel disease with or without proximal LAD artery disease*** | | | |
| **CABG** | I | B | (26,30 53–56) |
| | IIa—It is reasonable to choose CABG over PCI in patients with complex 3-vessel CAD (e.g., SYNTAX score >22) who are good candidates for CABG. | B | (32,46,56,71,72) |
| **PCI** | IIb—Of uncertain benefit | B | (26,46,53,56,82) |
| **2-vessel disease with proximal LAD artery disease*** | | | |
| **CABG** | I | B | (26,30,53–56) |
| **PCI** | IIb—Of uncertain benefit | B | (26,53,56,82) |
| **2-vessel disease without proximal LAD artery disease*** | | | |
| **CABG** | IIa—With extensive ischemia | B | (60–63) |
| | IIb—Of uncertain benefit without extensive ischemia | C | (56) |
| **PCI** | IIb—Of uncertain benefit | B | (26,53,56,82) |
| **1-vessel proximal LAD artery disease** | | | |
| **CABG** | IIa—With LIMA for long-term benefit | B | (30,56,69,70) |
| **PCI** | IIb—Of uncertain benefit | B | (26,53,56,82) |
| **1-vessel disease without proximal LAD artery involvement** | | | |
| **CABG** | III: Harm | B | (30,53,60,61,94–98) |
| **PCI** | III: Harm | B | (30,53,60,61,94–98) |
| **LV dysfunction** | | | |
| **CABG** | IIa—EF 35% to 50% | B | (30,64–68) |
| **CABG** | IIb—EF <35% without significant left main CAD | B | (30,64–68,83,84) |
| **PCI** | Insufficient data | | N/A |
| **Survivors of sudden cardiac death with presumed ischemia-mediated VT** | | | |
| **CABG** | I | B | (57–59) |
| **PCI** | I | C | (57) |
| **No anatomic or physiologic criteria for revascularization** | | | |
| **CABG** | III: Harm | B | (30,53,60,61,94–98) |
| **PCI** | III: Harm | B | (30,53,60,61,94–98) |

***In patients with multivessel disease who also have diabetes, it is reasonable to choose CABG (with LIMA) over PCI (62,74–81)(C/ass *IIa; LOE:* B)**
CABG indicates coronary artery bypass graft; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; COR, class of recommendation; EF, ejection fraction; LAD, left anterior descending; LIMA, left internal mammary artery; LOE, level of evidence; LV, left ventricular; N/A, not applicable; PCI, percutaneous coronary intervention; SIHD, stable ischemic heart disease; STEMI, ST-elevation myocardial infarction; STS, Society of Thoracic Surgeons; SYNTAX, Synergy between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery; TIMI, Thrombolysis In Myocardial Infarction; UA/NSTEMI, unstable angina/non-ST-elevation myocardial infarction; UPLM, unprotected left main disease; and VT, Ventricular tachycardia.

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**TABLE 3. Revascularization to Improve Symptoms With Significant Anatomic (≥50% Left Main or ≥70% Non-Left Main CAD) or Physiological (FFR≤0.80) Coronary Artery Stenoses**

| Clinical Setting | COR | LOE | References |
|---|---|---|---|
| ≥1 significant stenoses amenable to revascularization and unacceptable angina despite GDMT | I–CABG<br>I–PCI | A | (82,99–108) |
| ≥1 significant stenoses and unacceptable angina in whom GDMT cannot be implemented because of medication contraindications, adverse effects, or patient preferences | IIa–CABG<br>IIa–PCI | C | N/A |
| Previous CABG with ≥1 significant stenoses associated with ischemia and unacceptable angina despite GDMT | IIa–PCI | C | (86,89,92) |
| | IIb–CABG | C | (93) |
| Complex 3-vessel CAD (e.g., SYNTAX score >22) with or without involvement of the proximal LAD artery and a good candidate for CABG | IIa–CABG preferred over PCI | B | (32,46,56,71,72) |
| Viable ischemic myocardium that is perfused by coronary arteries that are not amenable to grafting | IIb–TMR as an adjunct to CABG | B | (109–113) |
| No anatomic or physiologic criteria for revascularization | III: Harm–CABG<br>III: Harm–PCI | C | N/A |

CABG indicates coronary artery bypass graft; CAD, coronary artery disease; COR, class of recommendation; FFR, fractional flow reserve; GDMT, guideline-directed medical therapy; LOE, level of evidence; N/A, not applicable; PCI, percutaneous coronary intervention; SYNTAX, Synergy between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery; and TMR, transmyocardial laser revascularization.

2. CABG or PCI to improve survival is beneficial in survivors of sudden cardiac death with presumed ischemia-mediated ventricular tachycardia caused by significant (≥70% diameter) stenosis in a major coronary artery. *(CABG Level of Evidence: B* [57–59]; *PCI Level of Evidence: C* [57])

## CLASS IIa

1. CABG to improve survival is reasonable in patients with significant (≥70% diameter) stenoses in 2 major coronary arteries with severe or extensive myocardial ischemia (e.g., high-risk criteria on stress testing, abnormal intracoronary hemodynamic evaluation, or >20% perfusion defect by myocardial perfusion stress imaging) or target vessels supplying a large area of viable myocardium (60–63). *(Level of Evidence: B)*
2. CABG to improve survival is reasonable in patients with mild-moderate left ventricular (LV) systolic dysfunction (ejection fraction [EF] 35% to 50%) and significant (≥70% diameter stenosis) multi-vessel CAD or proximal LAD coronary artery stenosis, when viable myocardium is present in the region of intended revascularization (30, 64–68). *(Level of Evidence: B)*
3. CABG with a left internal mammary artery (LIMA) graft to improve survival is reasonable in patients with significant (≥70% diameter) stenosis in the proximal LAD artery and evidence of extensive ischemia (30, 56, 69, 70). *(Level of Evidence: B)*
4. It is reasonable to choose CABG over PCI to improve survival in patients with complex 3-vessel CAD (e.g., SYNTAX score >22), with or without involvement of the proximal LAD artery who are good candidates for CABG (32, 46, 56, 71, 72). *(Level of Evidence: B)*
5. CABG is probably recommended in preference to PCI to improve survival in patients with multivessel CAD and diabetes mellitus, particularly if a LIMA graft can be anastomosed to the LAD artery (62, 74–81). *(Level of Evidence: B)*

## CLASS IIb

1. The usefulness of CABG to improve survival is uncertain in patients with significant (≥70%) diameter stenoses in 2 major coronary arteries not involving the proximal LAD artery and without extensive ischemia (56). *(Level of Evidence: C)*
2. The usefulness of PCI to improve survival is uncertain in patients with 2- or 3-vessel CAD (with or without involvement of the proximal LAD artery) or 1-vessel proximal LAD disease (26, 53, 56, 82). *(Level of Evidence: B)*
3. CABG might be considered with the primary or sole intent of improving survival in patients with SIHD with severe LV systolic dysfunction (EF <35%) whether or not viable myocardium is present (30, 64–68, 83, 84). *(Level of Evidence: B)*
4. The usefulness of CABG or PCI to improve survival is uncertain in patients with previous CABG and extensive anterior wall ischemia on noninvasive testing (85–93). *(Level of Evidence: B)*

## CLASS III: HARM

1. CABG or PCI should not be performed with the primary or sole intent to improve survival in patients with SIHD with 1 or more coronary stenoses that

are not anatomically or functionally significant (e.g., <70% diameter non-left main coronary artery stenosis, FFR >0.80, no or only mild ischemia on noninvasive testing), involve only the left circumflex or right coronary artery, or subtend only a small area of viable myocardium (30, 53, 60, 61, 94–98). *(Level of Evidence: B)*

### 2.3. Revascularization to Improve Symptoms: Recommendations

**CLASS I**

1. CABG or PCI to improve symptoms is beneficial in patients with 1 or more significant (≥70% diameter) coronary artery stenoses amenable to revascularization and unacceptable angina despite GDMT (82, 99–108). *(Level of Evidence: A)*

**CLASS IIa**

1. CABG or PCI to improve symptoms is reasonable in patients with 1 or more significant (≥70% diameter) coronary artery stenoses and unacceptable angina for whom GDMT cannot be implemented because of medication contraindications, adverse effects, or patient preferences. *(Level of Evidence: C)*
2. PCI to improve symptoms is reasonable in patients with previous CABG, 1 or more significant (≥70% diameter) coronary artery stenoses associated with ischemia, and unacceptable angina despite GDMT (86, 89, 92). *(Level of Evidence: C)*
3. It is reasonable to choose CABG over PCI to improve symptoms in patients with complex 3-vessel CAD (e.g., SYNTAX score >22), with or without involvement of the proximal LAD artery who are good candidates for CABG (32, 46, 56, 72, 73). *(Level of Evidence: B)*

**CLASS IIb**

1. CABG to improve symptoms might be reasonable for patients with previous CABG, 1 or more significant (≥70% diameter) coronary artery stenoses not amenable to PCI, and unacceptable angina despite GDMT (93). *(Level of Evidence: C)*
2. Transmyocardial laser revascularization (TMR) performed as an adjunct to CABG to improve symptoms may be reasonable in patients with viable ischemic myocardium that is perfused by arteries that are not amenable to grafting (109–113). *(Level of Evidence: B)*

**CLASS III: HARM**

1. CABG or PCI to improve symptoms should not be performed in patients who do not meet anatomic (≥50% diameter left main or ≥70% non-left main stenosis diameter) or physiological (e.g., abnormal FFR) criteria for revascularization. *(Level of Evidence: C)*

### 2.4. CABG Versus Contemporaneous Medical Therapy

In the 1970s and 1980s, 3 RCTs established the survival benefit of CABG compared with contemporaneous (although minimal by current standards) medical therapy without revascularization in certain subjects with stable angina: the Veterans Affairs Cooperative Study (114), European Coronary Surgery Study (55), and CASS (Coronary Artery Surgery Study) (115). Subsequently, a 1994 meta-analysis of 7 studies that randomized a total of 2,649 patients to medical therapy or CABG (30) showed that CABG offered a survival advantage over medical therapy for patients with left main or 3-vessel CAD. The studies also established that CABG is more effective than medical therapy for relieving anginal symptoms. These studies have been replicated only once during the past decade. In MASS II (Medicine, Angioplasty, or Surgery Study II), patients with multivessel CAD who were treated with CABG were less likely than those treated with medical therapy to have a subsequent MI, need additional revascularization, or experience cardiac death in the 10 years after randomization (104).

Surgical techniques and medical therapy have improved substantially during the intervening years. As a result, if CABG were to be compared with GDMT in RCTs today, the relative benefits for survival and angina relief observed several decades ago might no longer be observed. Conversely, the concurrent administration of GDMT may substantially improve long-term outcomes in patients treated with CABG in comparison with those receiving medical therapy alone. In the BARI 2D (Bypass Angioplasty Revascularization Investigation 2 Diabetes) trial of patients with diabetes mellitus, no significant difference in risk of mortality in the cohort of patients randomized to GDMT plus CABG or GDMT alone was observed, although the study was not powered for this endpoint, excluded patients with significant left main CAD, and included only a small percentage of patients with proximal LAD artery disease or LV ejection fraction (LVEF) <0.50 (116). The PCI and CABG guideline writing committees endorse the performance of the ISCHEMIA (International Study of Comparative Health Effectiveness with Medical and Invasive Approaches) trial, which will provide contemporary data on the optimal management strategy (medical therapy or revascularization with CABG or

PCI) of patients with SIHD, including multivessel CAD, and moderate to severe ischemia.

### 2.5. PCI Versus Medical Therapy

Although contemporary interventional treatments have lowered the risk of restenosis compared with earlier techniques, meta-analyses have failed to show that the introduction of BMS confers a survival advantage over balloon angioplasty (117–119) or that the use of DES confers a survival advantage over BMS (119, 120).

No study to date has demonstrated that PCI in patients with SIHD improves survival rates (26, 53, 56, 82, 116, 119, 121–124). Neither COURAGE (Clinical Outcomes Utilizing Revascularization and Aggressive Drug Evaluation) (82) nor BARI 2D (116), which treated all patients with contemporary optimal medical therapy, demonstrated any survival advantage with PCI, although these trials were not specifically powered for this endpoint. Although 1 large analysis evaluating 17 RCTs of PCI versus medical therapy (including 5 trials of subjects with acute coronary syndromes [ACS]) found a 20% reduction in death with PCI compared with medical therapy (123), 2 other large analyses did not (119, 122). An evaluation of 13 studies reporting the data from 5,442 patients with nonacute CAD showed no advantage of PCI over medical therapy for the individual endpoints of all-cause death, cardiac death or MI, or nonfatal MI (124). Evaluation of 61 trials of PCI conducted over several decades shows that despite improvements in PCI technology and pharmacotherapy, PCI has not been demonstrated to reduce the risk of death or MI in patients without recent ACS (119).

The findings from individual studies and systematic reviews of PCI versus medical therapy can be summarized as follows:

- PCI reduces the incidence of angina (82, 99, 104, 107, 108, 125).
- PCI has not been demonstrated to improve survival in stable patients (119, 121, 122).
- PCI may increase the short-term risk of MI (82, 121, 125, 126).
- PCI does not lower the long-term risk of MI (82, 116, 119, 121, 122, 126).

### 2.6. CABG Versus PCI

The results of 26 RCTs comparing CABG and PCI have been published: Of these, 9 compared CABG with balloon angioplasty (75, 105, 128–142), 14 compared CABG with BMS implantation (88, 143–160), and 3 compared CABG with DES implantation (14, 161, 162).

**2.6.1. CABG Versus Balloon Angioplasty or BMS.** A systematic review of the 22 RCTs comparing CABG with balloon angioplasty or BMS implantation concluded the following (163):

1. Survival was similar for CABG and PCI (with balloon angioplasty or BMS) at 1 year and 5 years. Survival was similar for CABG and PCI in subjects with 1-vessel CAD (including those with disease of the proximal portion of the LAD artery) or multivessel CAD.
2. Incidence of MI was similar at 5 years after randomization.
3. Procedural stroke occurred more commonly with CABG than with PCI (1.2% versus 0.6%).
4. Relief of angina was accomplished more effectively with CABG than with PCI 1 year after randomization and 5 years after randomization.
5. During the first year after randomization, repeat coronary revascularization was performed less often after CABG than after PCI (3.8% versus 26.5%). This was also demonstrated after 5 years of follow-up (9.8% versus 46.1%). This difference was more pronounced with balloon angioplasty than with BMS.

A collaborative analysis of data from 10 RCTs comparing CABG with balloon angioplasty (6 trials) or with BMS implantation (4 trials) (164) permitted subgroup analyses of the data from the 7,812 patients. No difference was noted with regard to mortality rate 5.9 years after randomization or the composite endpoint of death or MI. Repeat revascularization and angina were noted more frequently in those treated with balloon angioplasty or BMS implantation (164). The major new observation of this analysis was that CABG was associated with better outcomes in patients with diabetes mellitus and in those >65 years old. Of interest, the relative outcomes of CABG and PCI were not influenced by other patient characteristics, including the number of diseased coronary arteries.

The aforementioned meta-analysis and systematic review (163, 164) comparing CABG and balloon angioplasty or BMS implantation were limited in several ways:

1. Many trials did not report outcomes for other important patient subsets. For example, the available data are insufficient to determine if race, obesity, renal dysfunction, peripheral arterial disease, or previous coronary revascularization affected the comparative outcomes of CABG and PCI.
2. Most of the patients enrolled in these trials were male, and most had 1- or 2-vessel CAD and normal LV systolic function (EF >50%)—subjects known

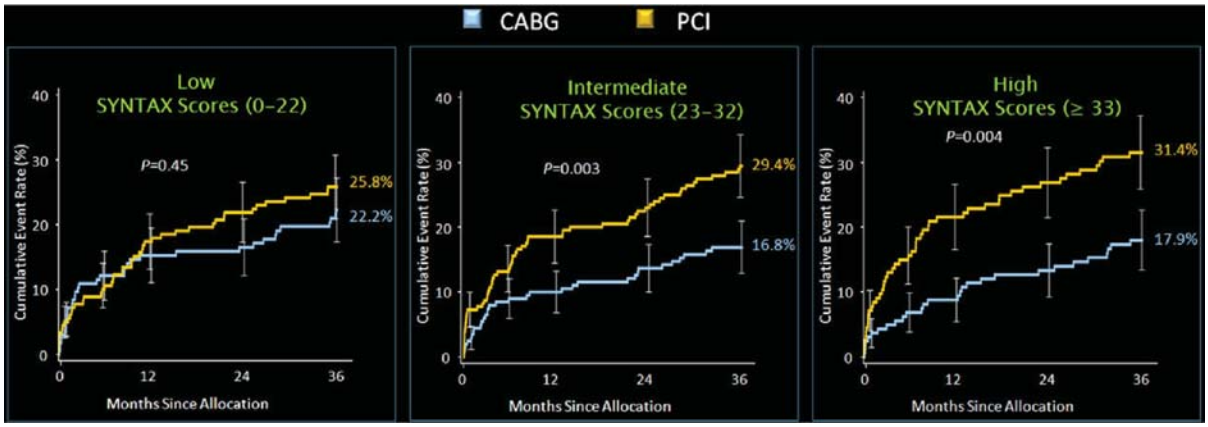


**Fig. 1. Cumulative Incidence of MACE in Patients With 3-Vessel CAD Based on SYNTAX Score at 3-Year Follow-Up in the SYNTAX Trial Treated With Either CABG or PCI.**

**CABG indicates coronary artery bypass graft; CAD, coronary artery disease; MACE, major adverse cardiovascular event; PCI, percutaneous coronary intervention; and SYNTAX, Synergy between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery. Adapted with permission from Kappetein (46).**

C O L O R

to be unlikely to derive a survival benefit and less likely to experience complications after CABG (30).

3. The patients enrolled in these trials represented only a small fraction (generally <5% to 10%) of those who were screened. For example, most screened patients with 1-vessel CAD and many with 3-vessel CAD were not considered for randomization.

*See Online Data Supplements 3 and 4 for additional data comparing CABG with PCI.*

**2.6.2. CABG Versus DES.** Although the results of 9 observational studies comparing CABG and DES implantation have been published (32, 165–172), most of them had short (12 to 24 months) follow-up periods. In a meta-analysis of 24,268 patients with multivessel CAD treated with CABG or DES (173), the incidences of death and MI were similar for the 2 procedures, but the frequency with which repeat revascularization was performed was roughly 4 times higher after DES implantation. Only 1 large RCT comparing CABG and DES implantation has been published. The SYNTAX trial randomly assigned 1,800 patients (of a total of 4,337 who were screened) to receive DES or CABG (14, 46). Major adverse cardiac events (MACE), a composite of death, stroke, MI, or repeat revascularization during the 3 years after randomization, occurred in 20.2% of CABG patients and 28.0% of those undergoing DES implantation (p<0.001). The rates of death and stroke were similar; however, MI (3.6% for CABG, 7.1% for DES) and repeat revascularization (10.7% for CABG, 19.7% for DES) were more likely to occur with DES implantation (46).

In SYNTAX, the extent of CAD was assessed using the SYNTAX score, which is based on the location, severity, and extent of coronary stenoses, with a low score indicating less complicated anatomic CAD. In post hoc analyses, a low score was defined as ≤22; intermediate, 23 to 32; and high, ≥33. The occurrence of MACE correlated with the SYNTAX score for DES patients but not for those undergoing CABG. At 12-month follow-up, the primary endpoint was similar for CABG and DES in those with a low SYNTAX score. In contrast, MACE occurred more often after DES implantation than after CABG in those with an intermediate or high SYNTAX score (14). At 3 years of follow-up, the mortality rate was greater in subjects with 3-vessel CAD treated with PCI than in those treated with CABG (6.2% versus 2.9%). The differences in MACE between those treated with PCI or CABG increased with an increasing SYNTAX score (Figure 1) (46). F1

Although the utility of using a SYNTAX score in everyday clinical practice remains uncertain, it seems reasonable to conclude from SYNTAX and other data that outcomes of patients undergoing PCI or CABG in those with relatively uncomplicated and lesser degrees of CAD are comparable, whereas in those with complex and diffuse CAD, CABG appears to be preferable (46).

*See Online Data Supplements 5 and 6 for additional data comparing CABG with DES.*

## 2.7. Left Main CAD

**2.7.1. CABG or PCI Versus Medical Therapy for Left Main CAD.** CABG confers a survival benefit over medical therapy in patients with left main CAD. Subgroup analyses from RCTs performed 3 decades ago included 91 patients with left main CAD in the

Veterans Administration Cooperative Study (28). A meta-analysis of these trials demonstrated a 66% reduction in relative risk in mortality with CABG, with the benefit extending to 10 years (30). The CASS Registry (24) contained data from 1,484 patients with ≥50% diameter stenosis left main CAD initially treated surgically or nonsurgically. Median survival duration was 13.3 years in the surgical group; and 6.6 years in the medical group. The survival benefit of CABG over medical therapy appeared to extend to 53 asymptomatic patients with left main CAD in the CASS Registry (29). Other therapies that subsequently have been shown to be associated with improved long-term outcome, such as the use of aspirin, statins, and internal mammary artery grafting, were not widely used in that era.

RCTs and subgroup analyses that compare PCI with medical therapy in patients with "unprotected" left main CAD do not exist.

**2.7.2. Studies Comparing PCI Versus CABG for Left Main CAD.** Of all subjects undergoing coronary angiography, approximately 4% are found to have left main CAD (175), >80% of whom have significant (≥70% diameter) stenoses in other epicardial coronary arteries.

Published cohort studies have found that major clinical outcomes are similar with PCI or CABG 1 year after revascularization and that mortality rates are similar at 1, 2, and 5 years of follow-up; however, the risk of needing target-vessel revascularization is significantly higher with stenting than with CABG.

In the SYNTAX trial, 45% of screened patients with unprotected left main CAD had complex disease that prevented randomization; 89% of these underwent CABG (13, 14). In addition, 705 of the 1,800 patients who were randomized had revascularization for unprotected left main CAD. The majority of patients with left main CAD and a low SYNTAX score had isolated left main CAD or left main CAD plus 1-vessel CAD; the majority of those with an intermediate score had left main CAD plus 2-vessel CAD; and most of those with a high SYNTAX score had left main CAD plus 3-vessel CAD. At 1 year, rates of all-cause death and MACE were similar for the 2 groups (13). Repeat revascularization rates were higher in the PCI group than the CABG group (11.8% versus 6.5%), but stroke occurred more often in the CABG group (2.7% versus 0.3%). At 3 years of follow-up, the incidence of death in those undergoing left main CAD revascularization with low or intermediate SYNTAX scores (≤32) was 3.7% after PCI and 9.1% after CABG (p=0.03), whereas in those with a high SYNTAX score (≥33), the incidence of death after 3 years was 13.4% after PCI and 7.6% after CABG (p=0.10) (46). Because the primary endpoint of SYNTAX was not met (i.e., noninferiority comparison of CABG and PCI), these subgroup analyses need to be considered in that context.

In the LE MANS (Study of Unprotected Left Main Stenting Versus Bypass Surgery) trial (23), 105 patients with left main CAD were randomized to receive PCI or CABG. Although a low proportion of patients treated with PCI received DES (35%) and a low proportion of patients treated with CABG received internal mammary grafts (72%), the outcomes at 30 days and 1 year were similar between the groups. In the PRECOMBAT (Premier of Randomized Comparison of Bypass Surgery versus Angioplasty Using Sirolimus-Eluting Stent in Patients with Left Main Coronary Artery Disease) trial of 600 patients with left main disease, the composite endpoint of death, MI, or stroke at 2 years occurred in 4.4% of patients treated with PCI patients and 4.7% of patients treated with CABG, but ischemia-driven target-vessel revascularization was more often required in the patients treated with PCI (9.0% versus 4.2%) (52).

The results from these 3 RCTs suggest (but do not definitively prove) that major clinical outcomes in *selected* patients with left main CAD are similar with CABG and PCI at 1- to 2-year follow-up, but repeat revascularization rates are higher after PCI than after CABG. RCTs with extended follow-up of ≥5 years are required to provide definitive conclusions about the optimal treatment of left main CAD. In a meta-analysis of 8 cohort studies and 2 RCTs (41), death, MI, and stroke occurred with similar frequency in the PCI- and CABG-treated patients at 1, 2, and 3 years of follow-up. Target-vessel revascularization was performed more often in the PCI group at 1 year (OR: 4.36), 2 years (OR: 4.20), and 3 years (OR: 3.30).

*See Online Data Supplements 7 to 12 for additional data comparing PCI with CABG for left main CAD.*

**2.7.3. Revascularization Considerations for Left Main CAD.** Although CABG has been considered the "gold standard" for unprotected left main CAD revascularization, more recently PCI has emerged as a possible alternative mode of revascularization in carefully selected patients. Lesion location is an important determinant when considering PCI for unprotected left main CAD. Stenting of the left main ostium or trunk is more straightforward than treating distal bifurcation or trifurcation stenoses, which generally requires a greater degree of operator experience and expertise (176). In addition, PCI of bifurcation disease is associated with higher restenosis rates than when disease is confined to the ostium or trunk (39, 177). Although lesion location influences technical success and long-term outcomes after PCI, location exerts a negligible influence on the success of CABG. In subgroup analyses, patients with left main CAD and a SYNTAX score ≥33 with more

complex or extensive CAD had a higher mortality rate with PCI than with CABG (46). Physicians can estimate operative risk for all CABG candidates using a standard instrument, such as the risk calculator from the STS database. The above considerations are important factors when choosing among revascularization strategies for unprotected left main CAD and have been factored into revascularization recommendations. Use of a Heart Team approach has been recommended in cases in which the choice of revascularization is not straightforward. As discussed in Section 2.9.7, the ability of the patient to tolerate and comply with dual antiplatelet therapy (DAPT) is also an important consideration in revascularization decisions.

The 2005 PCI guideline (8) recommended routine an-giographic follow-up 2 to 6 months after stenting for unprotected left main CAD. However, because angiography has limited ability to predict stent thrombosis and the results of SYNTAX suggest good intermediate-term results for PCI in subjects with left main CAD, this recommendation was removed in the 2009 STEMI/PCI focused update (10).

Experts have recommended immediate PCI for unprotected left main CAD in the setting of STEMI (51). The impetus for such a strategy is greatest when left main CAD is the site of the culprit lesion, antegrade coronary flow is diminished (e.g., TIMI flow grade 0, 1, or 2), the patient is hemodynamically unstable, and it is believed that PCI can be performed more quickly than CABG. When possible, the interventional cardiologist and cardiac surgeon should decide together on the optimal form of revascularization for these subjects, although it is recognized that these patients are usually critically ill and therefore not amenable to a prolonged deliberation or discussion of treatment options.

## 2.8. Proximal LAD Artery Disease

A cohort study (53) and a meta-analysis (30) from the 1990s suggested that CABG confers a survival advantage over contemporaneous medical therapy for patients with disease in the proximal segment of the LAD artery. Cohort studies and RCTs (30, 133, 146, 148, 161, 178–181) as well as collaborative- and meta-analyses (164, 182–184) showed that PCI and CABG result in similar survival rates in these patients.

*See Online Data Supplement 13 for additional data regarding proximal LAD artery revascularization.*

## 2.9. Clinical Factors That May Influence the Choice of Revascularization

**2.9.1. Diabetes Mellitus.** An analysis performed in 2009 of data on 7,812 patients (1,233 with diabetes) in 10 RCTs demonstrated a worse long-term survival rate in patients with diabetes mellitus after balloon angioplasty or BMS implantation than after CABG (164). The BARI 2D trial (116) randomly assigned 2,368 patients with type 2 diabetes and CAD to undergo intensive medical therapy or prompt revascularization with PCI or CABG, according to whichever was thought to be more appropriate. By study design, those with less extensive CAD more often received PCI, whereas those with more extensive CAD were more likely to be treated with CABG.

The study was not designed to compare PCI with CABG. At 5-year follow-up, no difference in rates of survival or MACE between the medical therapy group and those treated with revascularization was noted. In the PCI stratum, no significant difference in MACE between medical therapy and revascularization was demonstrated (DES in 35%; BMS in 56%); in the CABG stratum, MACE occurred less often in the revascularization group. One-year follow-up data from the SYNTAX study demonstrated a higher rate of repeat revascularization in patients with diabetes mellitus treated with PCI than with CABG, driven by a tendency for higher repeat revascularization rates in those with higher SYNTAX scores undergoing PCI (76). In summary, in subjects requiring revascularization for multivessel CAD, current evidence supports diabetes mellitus as an important factor when deciding on a revascularization strategy, particularly when complex or extensive CAD is present (Figure 2). F2

*See Online Data Supplements 14 and 15 for additional data regarding diabetes mellitus.*

**2.9.2. Chronic Kidney Disease.** Cardiovascular morbidity and mortality rates are markedly increased in patients with chronic kidney disease (CKD) when compared with age-matched controls without CKD. The mortality rate for patients on hemodialysis is >20% per year, and approximately 50% of deaths among these patients are due to a cardiovascular cause (187, 188).

To date, randomized comparisons of coronary revascularization (with CABG or PCI) and medical therapy in patients with CKD have not been reported. Some, but not all, observational studies or subgroup analyses have demonstrated an improved survival rate with revascularization compared with medical therapy in patients with CKD and multivessel CAD (189–191), despite the fact that the incidence of periprocedural complications (e.g., death, MI, stroke, infection, renal failure) is increased in patients with CKD compared with those without renal dysfunction. Some studies have shown that CABG is associated with a greater survival benefit than PCI among patients with severe renal dysfunction (190–196).

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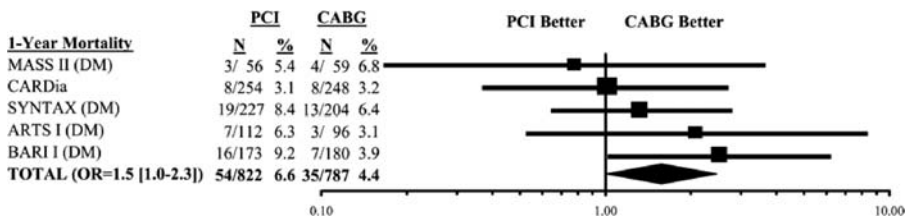


**Fig. 2. 1-Year Mortality After Revascularization for Multivessel Disease and Diabetes Mellitus.**

**An OR of >1 suggests an advantage of CABG over PCI. ARTS I indicates Arterial Revascularization Therapy Study I (185); BARI I, Bypass Angioplasty Revascularization Investigation I (74); CABG, coronary artery bypass graft; CAD, coronary artery disease; CARDia, Coronary Artery Revascularization in Diabetes (186); CI, confidence interval; MASS II, Medicine, Angioplasty, or Surgery Study II (78); OR, odds ratio; PCI, percutaneous coronary intervention; SYNTAX, Synergy between Percutaneous Coronary Intervention with TAXUS and Cardiac Surgery; and W, weighted (76).**

**2.9.3. Completeness of Revascularization.** Most patients undergoing CABG receive complete or nearly complete revascularization, which seems to influence long-term prognosis positively (197). In contrast, complete revascularization is accomplished less often in subjects receiving PCI (e.g., in <70% of patients), but the extent to which the absence of complete initial revascularization influences outcome is less clear. Rates of late survival and survival free of MI appears to be similar in patients with and without complete revascularization after PCI. Nevertheless, the need for subsequent CABG is usually higher in those whose initial revascularization procedure was incomplete (compared with those with complete revascularization) after PCI (198–200).

**2.9.4. LV Systolic Dysfunction.** Several older studies and a meta-analysis of the data from these studies reported that patients with LV systolic dysfunction (predominantly mild to moderate in severity) had better survival with CABG than with medical therapy alone (30, 64–68). For patients with more severe LV systolic dysfunction, however, the evidence that CABG results in better survival compared with medical therapy is lacking. In the STICH (Surgical Treatment for Ischemic Heart Failure) trial of subjects with LVEF <35% with or without viability testing, CABG and GDMT resulted in similar rates of survival (death from any cause, the study's primary outcome) after 5 years of follow-up. For a number of secondary outcomes at this time point, including 1) death from any cause or hospitalization for heart failure, 2) death from any cause or hospitalization for cardiovascular causes, 3) death from any cause or hospitalization for any cause, or 4) death from any cause or revascularization with PCI or CABG, CABG was superior to GDMT. Although the primary outcome (death from any cause) was similar in the 2 treatment groups after an average of 5 years of follow-up, the data suggest the possibility that outcomes would differ if the follow-up were longer in duration; as a result, the study is being continued to provide follow-up for up to 10 years (83, 84).

Only very limited data comparing PCI with medical therapy in patients with LV systolic dysfunction are available (68). In several ways, these data are suboptimal, in that many studies compared CABG with balloon angioplasty, many were retrospective, and many were based on cohort or registry data. Some of the studies demonstrated a similar survival rate in patients having CABG and PCI (71, 164, 201–203), whereas others showed that those undergoing CABG had better outcomes (32). The data that exist at present on revascularization in patients with CAD and LV systolic dysfunction are more robust for CABG than for PCI, although data from contemporary RCTs in this patient population are lacking. Therefore, the choice of revascularization in patients with CAD and LV systolic dysfunction is best based on clinical variables (e.g., coronary anatomy, presence of diabetes mellitus, presence of CKD), magnitude of LV systolic dysfunction, patient preferences, clinical judgment, and consultation between the interventional cardiologist and the cardiac surgeon.

**2.9.5. Previous CABG.** In patients with recurrent angina after CABG, repeat revascularization is most likely to improve survival in subjects at highest risk, such as those with obstruction of the proximal LAD artery and extensive anterior ischemia (85–93). Patients with ischemia in other locations and those with a patent LIMA to the LAD artery are unlikely to experience a survival benefit from repeat revascularization (92).

Cohort studies comparing PCI and CABG among post-CABG patients report similar rates of mid- and long-term survival after the 2 procedures (85, 88–91, 93, 204). In the patient with previous CABG who is referred for revascularization for medically refractory ischemia, factors that may support the choice of repeat CABG include vessels unsuitable for PCI, number of diseased bypass grafts, availability of the internal mammary artery for grafting chronically occluded coronary arteries, and good distal targets for bypass graft placement. Factors favoring PCI over CABG include limited areas of ischemia causing symptoms, suitable

PCI targets, a patent graft to the LAD artery, poor CABG targets, and comorbid conditions.

**2.9.6. Unstable Angina/Non-ST-Elevation Myocardial Infarction.** The main difference between management of the patient with SIHD and the patient with UA/NSTEMI is that the impetus for revascularization is stronger in the setting of UA/NSTEMI, because myocardial ischemia occurring as part of an ACS is potentially life threatening, and associated anginal symptoms are more likely to be reduced with a revascularization procedure than with GDMT (205–207). Thus, the indications for revascularization are strengthened by the acuity of presentation, the extent of ischemia, and the ability to achieve full revascularization. The choice of revascularization method is generally dictated by the same considerations used to decide on PCI or CABG for patients with SIHD.

**2.9.7. DAPT Compliance and Stent Thrombosis: Recommendation**

**CLASS III: HARM**

1. PCI with coronary stenting (BMS or DES) should not be performed if the patient is not likely to be able to tolerate and comply with DAPT for the appropriate duration of treatment based on the type of stent implanted (208–211). *(Level of Evidence: B)*

The risk of stent thrombosis is increased dramatically in patients who prematurely discontinue DAPT, and stent thrombosis is associated with a mortality rate of 20% to 45% (208). Because the risk of stent thrombosis with BMS is greatest in the first 14 to 30 days, this is the generally recommended minimum duration of DAPT therapy for these individuals. Consensus in clinical practice is to treat DES patients for at least 12 months with DAPT to avoid late (after 30 days) stent thrombosis (208, 212). Therefore, the ability of the patient to tolerate and comply with at least 30 days of DAPT with BMS treatment and at least 12 months of DAPT with DES treatment is an important consideration in deciding whether to use PCI to treat patients with CAD.

## 2.10. TMR as an Adjunct to CABG

TMR has been used on occasion in patients with severe angina refractory to GDMT in whom complete revascularization cannot be achieved with PCI and/or CABG. Although the mechanism by which TMR might be efficacious in these patients is unknown (213, 214), several RCTs of TMR as sole therapy demonstrated a reduction in anginal symptoms compared with intensive medical therapy alone (109–111, 215–217). A single randomized multicenter comparison of TMR (with a holmium: YAG laser) plus CABG and CABG alone in patients in whom some myocardial segments were perfused by arteries considered not amenable to grafting (112) showed a significant reduction in perioperative mortality rate (1.5% versus 7.6%, respectively), and the survival benefit of the TMR–CABG combination was present after 1 year of follow-up (112). At the same time, a large retrospective analysis of data from the STS National Cardiac Database as well as a study of 169 patients from the Washington Hospital Center who underwent combined TMR–CABG, showed no difference in adjusted mortality rate compared with CABG alone (113, 218). In short, a TMR–CABG combination does not appear to improve survival compared with CABG alone. In selected patients, however, such a combination may be superior to CABG alone in relieving angina.

## 2.11. Hybrid Coronary Revascularization: Recommendations

**CLASS IIa**

1. Hybrid coronary revascularization (defined as the planned combination of LIMA-to-LAD artery grafting and PCI of $\geq$1 non-LAD coronary arteries) is reasonable in patients with 1 or more of the following (219–225) *(Level of Evidence: B):*
   a. Limitations to traditional CABG, such as heavily calcified proximal aorta or poor target vessels for CABG (but amenable to PCI);
   b. Lack of suitable graft conduits;
   c. Unfavorable LAD artery for PCI (i.e., excessive vessel tortuosity or CTO).

**CLASS IIb**

Hybrid coronary revascularization (defined as the planned combination of LIMA-to-LAD artery grafting and PCI of $\geq$1 non-LAD coronary arteries) may be reasonable as an alternative to multivessel PCI or CABG in an attempt to improve the overall risk-benefit ratio of the procedures. *(Level of Evidence: C)*

*Hybrid coronary revascularization*, defined as the planned combination of LIMA-to-LAD artery grafting and PCI of $\geq$1 non-LAD coronary arteries (226), is intended to combine the advantages of CABG (i.e., durability of the LIMA graft) and PCI (227). Patients with multivessel CAD (e.g., LAD and $\geq$1 non-LAD stenoses) and an indication for revascularization are potentially eligible for this approach. Hybrid revascularization is ideal in patients in whom technical or anatomic limitations to CABG or PCI alone may be present and for whom minimizing the invasiveness (and therefore the risk of morbidity and mortality) of surgical intervention is preferred (221) (e.g., patients with

severe preexisting comorbidities, recent MI, a lack of suitable graft conduits, a heavily calcified ascending aorta, or a non-LAD coronary artery unsuitable for bypass but amenable to PCI, and situations in which PCI of the LAD artery is not feasible because of excessive tortuosity or CTO).

Hybrid coronary revascularization may be performed in a hybrid suite in one operative setting or as a staged procedure (i.e., PCI and CABG performed in 2 different operative suites, separated by hours to 2 days, but typically during the same hospital stay). Because most hospitals lack a hybrid operating room, staged procedures are usually performed. With the staged procedure, CABG before PCI is preferred, because this approach allows the interventional cardiologist to 1) verify the patency of the LIMA-to-LAD artery graft before attempting PCI of other vessels and 2) minimize the risk of perioperative bleeding that would occur if CABG were performed after PCI (i.e., while the patient is receiving DAPT). Because minimally invasive CABG may be associated with lower graft patency rates compared with CABG performed through a midline sternotomy, it seems prudent to angiographically image all grafts performed through a minimally invasive approach to confirm graft patency (221).

To date, no RCTs involving hybrid coronary revascularization have been published. Over the past 10 years, several small, retrospective series of hybrid revascularization using minimally invasive CABG and PCI have reported low mortality rates (0 to 2%) and event-free survival rates of83% to 92% at 6 to 12 months of follow-up. The few series that have compared the outcomes of hybrid coronary revascularization with standard CABG report similar outcomes at 30 days and 6 months (219–225).

## 3. PCI OUTCOMES

### 3.1. Definitions of PCI Success

The success of a PCI procedure is best defined by 3 interrelated components: angiographic findings, procedural events, and clinical outcomes.

**3.1.1. Angiographic Success.** A successful PCI produces sufficient enlargement of the lumen at the target site to improve coronary artery blood flow. A successful balloon angioplasty is defined as the reduction of a minimum stenosis diameter to <50% with a final TIMI flow grade 3 (visually assessed by angiography) without side branch loss, flow-limiting dissection, or angiographic thrombus (7). For coronary stents, a minimum stenosis diameter of <20% (as visually assessed by angiography) has previously been the clinical benchmark of an optimal angiographic result. Given improvements in technology and techniques, as well as recognition of the importance of an adequately deployed stent to decrease the risks of stent restenosis and thrombosis (12, 228, 229), the writing committee concluded that a minimum diameter stenosis of < 10% (with an optimal goal of as close to 0% as possible) should be the new benchmark for lesions treated with coronary stenting. As with balloon angioplasty, there should be final TIMI flow grade 3, without occlusion of a significant side branch, flow-limiting dissection, distal embolization, or angiographic thrombus. Problems with determining angiographic success include disparities between the visual assessment and computer-aided quantitative stenosis measurement and self-reporting of success in clinical reports or databases.

**3.1.2. Procedural Success.** A successful PCI should achieve angiographic success without associated in-hospital major clinical complications (e.g., death, MI, stroke, emergency CABG) (7, 8). Issues regarding the diagnosis and prognostic implications of procedure-related MI are discussed in Sections 3.3 and 5.10.

**3.1.3. Clinical Success.** In the short term, a clinically successful PCI requires both anatomic and procedural success along with relief of signs and/or symptoms of myocardial ischemia. Long-term clinical success requires that the short-term clinical success remain durable and that relief of signs and symptoms of myocardial ischemia persist >9 months after the procedure. Restenosis is the principal cause of lack of long-term clinical success after a short-term clinical success has been achieved. Restenosis is not a complication; it is the expected biological response to vascular injury. The frequency of clinically important restenosis may be judged by the frequency with which subsequent revascularization procedures are performed on target arteries after the index procedure.

### 3.2. Predictors of Clinical Outcome After PCI

Factors associated with increased PCI complication rates include advanced age, diabetes, CKD, ACS, congestive heart failure, and multivessel CAD (8, 230–232). Several models have been developed and refined over the past 2 decades to predict mortality with PCI (230, 233–236). At present, perhaps the best accepted system is from the ACC National Cardiovascular Data Registry (NCDR) CathPCI Risk Score system, which uses clinical variables and PCI setting to predict inpatient mortality (Appendix 4A)(236). In general, these models perform very well (C statistic: approximately 0.90), although predictive capability decreases in high-risk patients.

Models have also been developed to predict procedural success. Presently, the modified ACC/AHA score

(230) and the SCAI score (Appendix 4B)(237) are both in use, with the latter slightly outperforming the former. Discrimination as measured by the C statistic is generally good to very good (0.70 to 0.82), depending on the outcome variable and patient population.

The angiographic SYNTAX score (238) has been developed to predict long-term risk of MACE after multivessel intervention. The SYNTAX score and its potential utility in helping guide revascularization strategies are discussed in Section 2. Composite models including angiographic and clinical variables have been developed but generally require validation in larger cohorts of patients.

### 3.3. PCI Complications

In an analysis of the NCDR CathPCI database of patients undergoing PCI between 2004 and 2007, the overall in-hospital mortality rate was 1.27%, ranging from 0.65% in elective PCI to 4.81% in STEMI (236). Factors associated with an increased risk of PCI-related death include advanced age, comorbidities (e.g., diabetes, CKD, congestive heart failure), multivessel CAD, high-risk lesions, and the setting of PCI (e.g., STEMI, urgent or emergency procedure, cardiogenic shock) (56, 230–232, 236).

Causes of procedural and periprocedural MI include acute artery closure, embolization and no-reflow, side branch occlusion, and acute stent thrombosis. The incidence of procedure-related MI depends to a great degree on the definition of MI used, the patient population studied, and whether or not cardiac biomarkers are routinely assessed after PCI. The definition and clinical significance of PCI-related MI have been controversial. Criteria for defining a PCI-related MI have evolved over time (8, 239, 240). The 2007 universal definition of MI (240) states that after PCI, elevations of cardiac biomarkers above the 99th percentile upper reference limit indicate periprocedural myocardial necrosis. Increases of biomarkers >3 times the 99th percentile upper reference limit were designated as defining PCI-related MI (240). According to this definition, ≥15% of patients undergoing PCI would be defined as having periprocedural MI (241, 242). Issues in procedure-related MI are discussed in Section 5.10.

The need for emergency CABG has dramatically decreased with advances in PCI technology, particularly coronary stents (243, 244). Recently the NCDR reported the rate of emergency CABG at 0.4% (244). Procedure-related indications for CABG in 1 large series included coronary dissection (27%), acute artery closure (16%), perforation (8%), and failure to cross the lesion (8%) (245). The strongest predictors of the need for emergency CABG in several analyses are cardiogenic shock (OR: 11.4), acute MI or emergency PCI (OR: 3.2 to 3.8), multivessel disease (OR: 2.3 to 2.4), and type C lesion (OR: 2.6) (243, 245). In-hospital mortality for emergency CABG ranges from 7.8% to 14% (243, 245, 246).

In a contemporary analysis from the NCDR, the incidence of PCI-related stroke was 0.22% (247). In-hospital mortality in patients with PCI-related stroke is 25% to 30% (247, 248). Factors associated with an increased risk of stroke include fibrinolytic therapy administered before PCI (OR: 4.7), known cerebrovascular disease (OR: 2.20), STEMI as the indication for PCI (OR: 3.2), use of an intra-aortic balloon pump (IABP) (OR: 2.6), older age (OR: 1.17 per 5-year increase), and female sex (247–249). Initial imaging after a stroke in 1 small series revealed hemorrhagic etiology in 18%, ischemic etiology in 58%, and no clear etiology in 24% (248). One potential algorithm for the treatment of catheterization-related stroke has been recently proposed (250). This document includes no specific recommendations for the management of PCI-related stroke but refers the reader to the AHA/American Stroke Association guidelines for the management of adults with stroke (251).

Vascular complications from PCI are primarily related to vascular access. Important femoral vascular complications include access site hematoma, retroperitoneal hematoma, pseudoaneurysm, arteriovenous fistula, and arterial dissection and/or occlusion (252). The incidence of these vascular complications in various reports generally ranges from 2% to 6% and has decreased with time (249, 253–257). Factors associated with an increased risk of vascular complication include age ≥70 years, body surface area <1.6 $m^2$, emergency procedures, peripheral artery disease, periprocedural use of glycoprotein (GP) IIb/IIIa inhibitors, and female sex (if not corrected for body surface area) (249, 253, 254, 257, 258). Ultrasound guidance has been used for femoral artery access to potentially decrease complications (259). As discussed in Section 5.11, vascular closure devices have not been clearly demonstrated to decrease vascular complication rates. Radial site access decreases the rate of access-related bleeding and complications compared with femoral access (255, 260). Loss of the radial pulse has been reported in ≤5% of radial procedures (261). Infrequent to rare complications occurring with the radial artery approach include compartment syndrome, pseudoaneurysm (<0.01%), and sterile abscess (occurring with previous-generation hydrophilic sheaths) (262). Radial artery spasm may occur and treatment at times may be challenging. Local hematomas may occur from small-branch vessel hydrophilic wire perforation or inexperience with wristband use.

The risk of coronary perforation is approximately 0.2%, most commonly by wire perforation during PCI for CTO or by ablative or oversized devices during PCI of heavily diseased or tortuous coronary arteries (263). The risk of tamponade and management of the perforation varies with the type of perforation (264).

Periprocedural bleeding is now recognized to be associated with subsequent mortality (265, 266), and the avoidance of bleeding complications has become an important consideration in performing PCI. The risk of bleeding is associated with patient factors (e.g., advanced age, low body mass index, CKD, baseline anemia), as well as the degree of platelet and thrombin inhibition, vascular access site, and sheath size (267–269). Issues of periprocedural bleeding are discussed in Section 4.7.

The incidence of contrast-induced acute kidney injury (AKI) or "contrast nephropathy" in published reports depends on the definition of contrast nephropathy used and the frequency of risk factors for contrast-induced AKI in the patient population studied. Important risk factors for contrast-induced AKI include advanced age, CKD, congestive heart failure, diabetes, and the volume of contrast administered. Contrast-induced AKI and strategies to prevent it are discussed in Section 4.4.

## 4. PREPROCEDURAL CONSIDERATIONS

T4 Table 4 contains recommendations for preprocedural considerations and interventions in patients undergoing PCI.

### 4.1. Cardiac Catheterization Laboratory Requirements

**4.1.1. Equipment.** Defibrillators are considered by The Joint Commission to be life-support equipment requiring routine assessment and completion of appropriate logs. Many hospitals require periodic inspection of consoles for ancillary devices used in coronary intervention (e.g., Doppler wires, pressure-tipped sensor wires, and IVUS catheters). Point-of-care testing devices (e.g., activated clotting time and arterial blood gas machines) require routine calibration. Duration of storage of digital cine images is often mandated by law. Operating parameters for x-ray imaging equipment are adjusted at installation and periodically assessed by a qualified physicist in cooperation with the equipment manufacturer. Familiarity with radiation dose-reducing features of catheterization laboratory equipment and assistance from a qualified physicist are important for radiation dose minimization and image optimization.

**4.1.2. Staffing.** An interventional cardiologist must be present in the laboratory for the duration of each procedure and is responsible for procedure outcome. Nursing and technical personnel are also required to be present in the catheterization laboratory, with specific staffing dependent on state requirements and laboratory caseload and mix. Catheterization laboratory technical staff may include nurse practitioners, registered nurses, licensed vocational or practical nurses, physician assistants, nursing assistants, radiology technicians, or catheterization laboratory technicians. All catheterization laboratory staff are usually certified in basic life support, advanced cardiovascular life support, and, where appropriate, pediatric advanced life support. Catheterization laboratory personnel have a nursing degree/certification or invasive cardiovascular credentials such as registered cardiovascular invasive specialist or American Society of Radiation technologists (305).

**4.1.3. 'Time-Out' Procedures.** In 2003, The Joint Commission mandated a universal protocol requiring proper preoperative identification of the patient by the members of the catheterization laboratory team, marking of the operative site, and a final time-out just before the procedure (306). Although initially intended to prevent wrong-site surgery, this has been expanded to include all invasive procedures despite limited scientific evidence of its effectiveness (307). The intent of the time-out is for all members of the team to improve patient care by collectively discussing the case. The content of a time-out includes confirmation of the correct patient, correct side and site, agreement on the procedure to be performed, correct patient position, and availability of needed equipment, supplies, and implants. The timeout may be checklist driven or conversational, depending on laboratory preferences (308). The writing committee strongly endorses the practice of conducting a time-out before all PCI procedures.

### 4.2. Ethical Aspects

The 3 principles of medical ethics are beneficence, autonomy, and justice. Beneficence involves the physician's duty to act in the best interests of the patient and avoid maleficence, or harm *(primum non nocere)*. Autonomy describes the physician's duty to help the patient maintain control over his or her medical treatments. Justice describes the physician's duty to treat the individual patient responsibly with due consideration of other patients and stakeholders in the healthcare system. Ethical considerations specific to PCI have been previously discussed (309) and are highlighted below:

- Place the patient's best interest first and foremost when making clinical decisions (beneficence).
- Ensure that patients actively participate in decisions affecting their care (autonomy).

**TABLE 4. Summary of Recommendations for Preprocedural Considerations and Interventions in Patients Undergoing PCI**

| Recommendations | COR | LOE | References |
|---|---|---|---|
| **Contrast-induced AKI** | | | |
| Patients should be assessed for risk of contrast-induced AKI before PCI. | I | C | (270,271) |
| Patients undergoing cardiac catheterization with contrast media should receive adequate preparatory hydration. | I | B | (272–275) |
| In patients with CKD (creatinine clearance <60 mL/min), the volume of contrast media should be minimized. | I | B | (276–278) |
| Administration of N-acetyl-L-cysteine is not useful for the prevention of contrast-induced AKI. | III: No Benefit | A | (279–283) |
| **Anaphylactoid reactions** | | | |
| Patients with prior evidence of an anaphylactoid reaction to contrast media should receive appropriate prophylaxis before repeat contrast administration. | I | B | (252,284–286) |
| In patients with a prior history of allergic reactions to shellfish or seafood, anaphylactoid prophylaxis for contrast reaction is not beneficial. | III: No Benefit | C | (287–289) |
| **Statins** | | | |
| Administration of a high-dose statin is reasonable before PCI to reduce the risk of periprocedural MI. | IIa | A: Statin naïve | (290–296) |
| | | B: Chronic statin therapy | (297) |
| **Bleeding risk** | | | |
| All patients should be evaluated for risk of bleeding before PCI. | I | C | N/A |
| **CKD** | | | |
| In patients undergoing PCI, the glomerular filtration rate should be estimated and the dosage of renally cleared medications should be adjusted. | I | B | (298–300) |
| **Aspirin** | | | |
| Patients already on daily aspirin therapy should take 81 mg to 325 mg before PCI. | I | B | (301–304) |
| Patients not on aspirin therapy should be given nonenteric aspirin 325 mg before PCI. | I | B | (301,303,304) |

AKI indicates acute kidney injury; CKD, chronic kidney disease; COR, class of recommendation; LOE, level of evidence; MI, myocardial infarction; N/A, not applicable; and PCI, percutaneous coronary intervention.

- Consider how decisions regarding one patient may also affect other patients and providers (justice).
- Plan and perform procedures and provide care with the intention of improving the patient's quality of life and/or decreasing the risk of mortality, independent of reimbursement considerations and without inappropriate bias or influence from industry, administrators, referring physicians, or other sources.
- Before performing procedures, obtain informed consent after giving an explanation regarding the details of the procedure and the risks and benefits of both the procedure and alternatives to the procedure.
- Plan and perform procedures according to standards of care and recommended guidelines, and deviate from them when appropriate or necessary in the care of individual patients.
- Seek advice, assistance, or consultation from colleagues when such consultation would benefit the patient.

**4.2.1. Informed Consent.** Obtaining informed consent for procedures is a legal and ethical necessity. Ideally, informed consent is obtained long enough before the procedure that the patient can fully consider informed consent issues and discuss them with family or other providers, avoiding any sense of coercion. Ad hoc PCI, or PCI immediately following diagnostic procedures, presents special problems. When informed consent for PCI is obtained before diagnostic catheterization is performed, it is impossible to predict the levels of risk and benefit from an ad hoc PCI (310, 311). If diagnostic catheterization reveals anatomy that poses a particularly high risk or for which the superiority of PCI compared with other strategies is unclear, the precatheterization informed consent discussion may be inadequate. In such cases, deferral of PCI until additional informed consent discussions and/or consultations occur may be appropriate, even though it inconveniences the patient and the healthcare system. It is the responsibility of the interventionalist to act in the patient's best interest in these circumstances.

Informed consent before emergency procedures is particularly difficult (312–314). The patient presenting with STEMI is usually in distress and often sedated, making true informed consent impossible. Rapid triage, transport, and treatment of STEMI patients create a pressured atmosphere that by necessity limits a prolonged and detailed informed consent process. Nevertheless, the interventionist must attempt to provide information about the risks and benefits of different strategies to the patient and family and balance the benefit of thorough discussion with the benefits of rapid intervention.

**4.2.2. Potential Conflicts of Interest.** Decisions about the performance and timing of PCI may pose additional ethical dilemmas. When considering whether to perform multivessel PCI in 1 stage versus 2 stages, safety and convenience for the patient must guide the decision, regardless of payment policies that maximize reimbursement when PCI is staged (311). A separate issue is self-referral, through which diagnostic catheterization often leads seamlessly to PCI by the same operator (315). The interventionist has an ethical obligation to the patient to consider all treatment options, consult with additional specialists (e.g., cardiac surgeons) when their input would be helpful to the patient, avoid unnecessary interventional procedures, and allow the patient to consult family members and other physicians (311).

### 4.3. Radiation Safety: Recommendation

**CLASS I**

1. Cardiac catheterization laboratories should routinely record relevant available patient procedural radiation dose data (e.g., total air kerma at the international reference point [$K_{a\ r}$], air kerma air product [$P_{KA}$], fluoroscopy time, number of cine images), and should define thresholds with corresponding follow-up protocols for patients who receive a high procedural radiation dose. *(Level of Evidence: C)*

The issue of radiation exposure during imaging procedures has received increased attention, and the writing committee believes that radiation safety should be addressed in this guideline. Current standards for cardiac catheterization laboratories include the following:

- Specific procedures and policies are in place to minimize patient (and operator) risk.
- A radiation safety officer coordinates all radiation safety issues and works conjointly with the medical or health physicist.
- Patient radiation exposure is reduced to as low a level as reasonably can be achieved.
- Patients at increased risk for high procedural radiation exposure are identified.
- Informed consent includes radiation safety information, particularly for the high-risk patient.

A basic primer on the physics of x-ray imaging, essential to the safe practice of radiation dose management, has been published in an ACCF/AHA/Heart Rhythm Society/SCAI clinical competence statement (316). Appendix 4C summarizes strategies to minimize patient and operator radiation exposure. Adverse radiation effects are now well recognized as infrequent but potentially serious complications of prolonged interventional procedures (317). Fluoroscopic time does not include cine acquisition imaging and is therefore not an accurate measure of patient radiation dose. Total air kerma at the interventional reference point ($K_{a,r}$, in $G_y$) and air kerma area product ($P_{KA}$, in $Gycm^2$) are required to be reported on interventional x-ray systems since 2006.These are useful in the assessment of potential tissue adverse effects or long-term radiation sequelae, respectively, and it is reasonable to include them in the catheterization record at the conclusion of each procedure. Appendix 4D summarizes considerations for patient follow-up based on radiation dose during the procedure (317).

### 4.4. Contrast-Induced AKI: Recommendations

**CLASS I**

1. Patients should be assessed for risk of contrast-induced AKI before PCI (270, 271). *(Level of Evidence: C)*
2. Patients undergoing cardiac catheterization with contrast media should receive adequate preparatory hydration (272–275). *(Level of Evidence: B)*
3. In patients with CKD (creatinine clearance <60 mL/min), the volume of contrast media should be minimized (276–278). *(Level of Evidence: B)*

**CLASS III: NO BENEFIT**

1. Administration of N-acetyl-L-cysteine is not useful for the prevention of contrast-induced AKI (279–283). *(Level of Evidence: A)*

*See Online Data Supplements 16 to 18 for additional data regarding contrast-induced AKI.*

Contrast-induced AKI or "contrast nephropathy" is one of the leading causes of hospital-acquired AKI. Major risk factors for contrast-induced AKI include advanced age, CKD, congestive heart failure, diabetes, and the volume of contrast administered. A risk-scoring system is available to predict the risk of contrast nephropathy using these risk factors and additional variables (270). Thus far, the only strategies clearly shown to reduce the risk of contrast-induced AKI are hydration and minimizing the amount of contrast media. Other than saline hydration, measures that were believed to reduce the risk of contrast-induced AKI have been found to be neutral, to have deleterious effects, or to be characterized by heterogeneous and conflicting data.

Studies of hydration to reduce the risk of contrast-induced AKI suggest that isotonic saline is preferable to half isotonic saline, intravenous (IV) hydration is preferable to oral hydration, hydration for hours before and after exposure to contrast media is preferable to a

bolus administration of saline immediately before or during contrast media exposure, and administration of isotonic saline alone is preferable to administration of isotonic saline plus mannitol or furosemide (272–275, 320). On the basis of these studies, a reasonable hydration regimen would be isotonic crystalloid (1.0 to 1.5 mL/kg per hour) for 3 to 12 hours before the procedure and continuing for 6 to 24 hours after the procedure (272–275, 284, 320, 321).

Prior studies of N-acetyl-L-cysteine and sodium bicarbonate have produced conflicting results. Some, often small, earlier studies suggested benefit, but many other more contemporary studies and meta-analyses found no clear evidence of benefit, and there are potential issues of publication bias and poor methodology issues in several analyses (279–282, 322–332). The recently completed largest randomized study on N-acetyl-L-cysteine and contrast nephropathy in patients undergoing angiographic procedures, ACT (Acetylcysteine for Contrast-Induced Nephropathy Trial), demonstrated no benefit in primary or secondary endpoints. An updated meta-analysis using only high-quality trials similarly demonstrated no benefit (283). Taken as a whole, these studies do not support any recommendation for the use of N-acetyl-L-cysteine, they do, however, provide sufficient data to conclude that N-acetyl-L-cysteine does not prevent contrast-induced AKI in patients undergoing angiographic procedures.

The correlation between the volume of contrast media and the risk of contrast-induced AKI has been documented in several studies (276, 277). Thus, minimization of contrast media volume is important to prevent contrast-induced AKI in patients undergoing angiography. The volume of contrast already administered during diagnostic catheterization is an important factor when considering possible "ad hoc" PCI.

Comparative studies of different contrast media (e.g., low-osmolar versus iso-osmolar, one agent versus another agent) have produced variable and sometimes contradictory results (334–339). Thus, current data are insufficient to justify specific recommendations about low- and isoosmolar contrast media. This issue is discussed in detail in the 2011 UA/NSTEMI focused update (340). For a further discussion of contrast media and PCI, the reader is referred to a position statement by the SCAI (284).

### 4.5. Anaphylactoid Reactions: Recommendations

**CLASS I**

1. Patients with prior evidence of an anaphylactoid reaction to contrast media should receive appropriate steroid and antihistamine prophylaxis before repeat contrast administration (252, 284–286). *(Level of Evidence: B)*

**CLASS III: NO BENEFIT**

1. In patients with a prior history of allergic reactions to shellfish or seafood, anaphylactoid prophylaxis for contrast reaction is not beneficial (287–289). *(Level of Evidence: C)*

The incidence of anaphylactoid reactions to contrast media is $\leq$1%, and the incidence of severe reactions may be as low as 0.04% (284). Limited data suggest that in patients with a history of prior anaphylactoid reaction, the recurrence rate without prophylaxis is in the range of 16% to 44% (341). Adequate pretreatment of patients with prior anaphylactoid reactions reduces the recurrence rate to close to zero (284–286). A regimen of 50 mg of prednisone administered 13 hours, 7 hours, and 1 hour before the procedure (as well as 50 mg of diphenhydramine 1 hour before the procedure) has been shown to reduce the risk of recurrent anaphylactoid reaction (286). In practice, a regimen of 60 mg of prednisone the night before and morning of the procedure (as well as 50 mg of diphenhydramine 1 hour before the procedure) is often used (252). There are minimal data on the "pretreatment" of patients undergoing emergency PCI (342). One group has suggested IV steroids (e.g., 80 mg to 125 mg of methylprednisolone, 100 mg of hydrocortisone sodium succinate), as well as oral or IV diphenhydramine and possible IV cimetidine (284). For a more detailed discussion of issues related to contrast-induced anaphylactoid reactions, the reader is referred to several dedicated discussions on contrast agents (284, 341).

There are no data to suggest that those patients with seafood or shellfish allergies are at risk for an anaphylactoid reaction from exposure to contrast media. Iodine does not mediate seafood, shellfish, or contrast media reactions. The common misconception that seafood allergies and contrast reactions are cross-reactions to iodine probably arose from a survey published in 1975 in which 15% of patients with a history of contrast reaction reported a personal history of shellfish allergy, but nearly identical proportions of patients reported allergies to other foods, such as milk and egg, in the same survey (287). Pretreatment of patients with steroids based only on a history of seafood or shellfish allergy has a small but non-zero risk of adverse effect (e.g., hyperglycemia in a patient with diabetes) without any demonstrated benefit (288, 289).

### 4.6. Statin Treatment: Recommendation

**CLASS IIa**

1. Administration of a high-dose statin is reasonable before PCI to reduce the risk of periprocedural MI. *(Level of Evidence: A for statin-naive patients* [290–296]; *Level of Evidence: B for those on chronic statin therapy* [297])

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*See Online Data Supplement 19 for additional data regarding preprocedural statin treatment.*

Statins have long-term benefits in patients with CAD (343, 344) and ACS (345, 346). The benefits of statins in ACS begin early, before substantial lipid lowering has occurred (345, 347), suggesting pleiotropic effects of statins. These might include anti-inflammatory effects, improvement of endothelial function, decrease of oxidative stress, or inhibition of thrombogenic responses (348). Statins were beneficial when pretreatment was started from 7 days to just before PCI (290–297).

### 4.7. Bleeding Risk: Recommendation

**CLASS I**

1. All patients should be evaluated for risk of bleeding before PCI. *(Level of Evidence: C)*

Periprocedural bleeding is now recognized as a major risk factor for subsequent mortality (265, 266). Bleeding may lead to mortality directly (because of the bleeding event) or through ischemic complications that occur when antiplatelet or anticoagulant agents are withdrawn in response to the bleeding. Bleeding may also be a marker of comorbidities associated with worse prognosis (e.g., occult cancer). The risk of bleeding is associated with a number of patient factors (e.g., advanced age, low body mass index, CKD, baseline anemia), as well as the degree of platelet and thrombin inhibition, vascular access site, and sheath size (267–269). The overall approach to PCI should be individualized to minimize both ischemic and bleeding risks.

Measures to minimize the risks of bleeding complications are discussed in several sections of this guideline. These include use of anticoagulation regimens associated with a lower risk of bleeding, weight-based dosing of heparin and other agents, use of activated clotting times to guide unfractionated heparin (UFH) dosing, avoidance of excess anticoagulation (349), dosing adjustments in patients with CKD (e.g., eptifibatide, tirofiban, bivalirudin) (350), use of radial artery access site (255), and avoidance of femoral vein cannulation when possible. Vascular closure devices have not been clearly demonstrated to decrease bleeding complications and are discussed in detail in Section 5.11.

### 4.8. PCI in Hospitals Without On-Site Surgical Backup: Recommendations

**CLASS IIa**

1. Primary PCI is reasonable in hospitals without on-site cardiac surgery, provided that appropriate planning for program development has been accomplished (351, 352). *(Level of Evidence: B)*

**CLASS IIb**

1. Elective PCI might be considered in hospitals without on-site cardiac surgery, provided that appropriate planning for program development has been accomplished and rigorous clinical and angiographic criteria are used for proper patient selection (352–354). *(Level of Evidence: B)*

**CLASS III: HARM**

1. Primary or elective PCI should not be performed in hospitals without on-site cardiac surgery capabilities without a proven plan for rapid transport to a cardiac surgery operating room in a nearby hospital or without appropriate hemodynamic support capability for transfer. *(Level of Evidence: C)*

*See Online Data Supplement 20 for additional data regarding hospitals without on-site surgical backup.*

Primary and elective PCI can be performed at hospitals without on-site cardiac surgical backup with a high success rate, low in-hospital mortality rate, and low rate for emergency CABG (351, 353, 354). The best outcomes for patients with STEMI are achieved at hospitals with 24/7 access to primary PCI (355). Criteria for the performance of PCI without on-site surgical backup have been proposed in an SCAI expert consensus document (352). Consideration of elective PCI without on-site cardiac surgical backup is thought to be appropriate only when performed by experienced operators with complication rates and outcomes equivalent or superior to national benchmarks. Accurate assessment of complication rates and patient outcomes via a regional or national data registry, so that outcomes can be compared with established benchmarks, is an important quality control component of any PCI program. Desires for personal or institutional financial gain, prestige, market share, or other similar motives are not appropriate considerations for initiation of PCI programs without on-site cardiac surgery. It is only appropriate to consider initiation of a PCI program without on-site cardiac surgical backup if this program will clearly fill a void in the healthcare needs of the community. Competition with another PCI program in the same geographic area, particularly an established program with surgical backup, may not be in the best interests of the community.

Tables 5 and 6 list the SCAI expert consensus document requirements for PCI programs without on-site surgical backup. Table 7 gives the requirements for primary PCI and emergency CABG at hospitals without on-site cardiac surgery, and Table 8 lists the requirements for patient and lesion selection and backup strategy for nonemergency PCI (352). T6 T5 T7 T8

**TABLE 5. SCAI Expert Consensus Document Personnel and Facility Requirements for PCI Programs Without On-Site Surgical Backup**

| |
|---|
| Experienced nursing and technical laboratory staff with training in interventional laboratories. Personnel must be comfortable treating acutely ill patients with hemodynamic and electrical instability. |
| On-call schedule with operation of laboratory 24 h/d, 365 d/y.* |
| Experienced coronary care unit nursing staff comfortable with invasive hemodynamic monitoring, operation of temporary pacemaker, and management of IABP. Personnel capable of endotracheal intubation and ventilator management both on-site and during transfer if necessary. |
| Full support from hospital administration in fulfilling the necessary institutional requirements, including appropriate support services (e.g., respiratory care, blood bank). |
| Written agreements for emergency transfer of patients to a facility with cardiac surgery. Transport protocols should be developed and tested a minimum of 2 times per year. |
| Well-equipped and maintained cardiac catheterization laboratory with high-resolution digital imaging capability and IABP equipment compatible with transport vehicles. The capability for real-time transfer of images and hemodynamic data (via T-1 transmission line) as well as audio and video images to review terminals for consultation at the facility providing surgical backup support is ideal. |
| Appropriate inventory of interventional equipment, including guide catheters, balloons, and stents in multiple sizes; thrombectomy and distal protection devices; covered stents; temporary pacemakers; and pericardiocentesis trays. Pressure wire device and IVUS equipment are optimal but not mandatory. Rotational or other atherectomy devices should be used cautiously in these facilities because of the greater risk of perforation. |
| Meticulous clinical and angiographic selection criteria for PCI (Tables 6 and 7). |
| Performance of primary PCI as the treatment of first choice for STEMI to ensure streamlined care paths and increased case volumes. Door-to-balloon times should be tracked, and <90 min outlier cases should be carefully reviewed for process improvement opportunities. On-site rigorous data collection, outcomes analysis, benchmarking, quality improvement, and formalized periodic case review. |
| Participation in a national data registry where available, such as the ACC NCDR in the United States. |

*Required for U.S. facilities but may not be possible for all facilities worldwide.

ACC indicates American College of Cardiology; IABP, intra-aortic balloon pump; IVUS, intravascular ultrasound; NCDR, National Cardiovascular Data Registry; PCI, percutaneous coronary intervention; SCAI, Society for Cardiovascular Angiography and Interventions; and STEMI, ST-elevation myocardial infarction.

Adapted with permission from Dehmer et al. (352).

**TABLE 6. SCAI Expert Consensus Document Requirements for Off-Site Surgical Backup**

Interventional cardiologists establish a working relationship with cardiac surgeons at the receiving facility.

1. Cardiac surgeon must have privileges at the referring facility to allow review of treatment options as time allows.
2. Cardiac surgeon and receiving hospital agree to provide cardiac surgical backup for urgent cases at all hours and for elective cases at mutually agreed hours.
3. Surgeon and receiving facility ensure that patients will be accepted based on medical condition, capacity of surgeon to provide services at the time of request, and availability of resources. If this cannot be ensured before the start of an elective procedure, the case should not be done at this time.
4. Interventional cardiologists must review with surgeons the immediate needs and status of any patient transferred for urgent surgery.
5. Hospital administrations from both facilities endorse transfer agreement.
6. Transferring and receiving facilities establish a rigorous protocol for rapid transfer of patients, including the proper personnel with appropriate experience.
7. A transport provider is available to begin transport within 20 min of the request and provide vehicle/helicopter with necessary life-sustaining equipment, including IABP and monitoring capability.
8. Transferring physician obtains consent for surgery from patient or appropriate surrogate.
9. Initial informed consent for PCI discloses that the procedure is being done without on-site surgical backup and acknowledges the possibility of risks related to transfer. The consent process should include the risk of urgent surgery (approximately 0.3%) and state that a written plan for transfer exists.
10. As part of the local continuous quality improvement program, a regular review of all patients transferred for emergency surgery with the outcome of surgery and identification of any improvement opportunities.

IABP indicates intra-aortic balloon pump; PCI, percutaneous coronary intervention; and SCAI, Society for Cardiovascular Angiography and Interventions.

Adapted with permission from Dehmer et al. (352).

## 5. PROCEDURAL CONSIDERATIONS

### 5.1. Vascular Access: Recommendation

**CLASS IIa**

1. The use of radial artery access can be useful to decrease access site complications (255, 260, 356–362). *(Level of Evidence: A)*

*See Online Data Supplement 21 for additional data regarding radial access.*

Femoral artery access remains the most commonly used approach in patients undergoing PCI in the United States. Choosing a femoral artery puncture site is facilitated by fluoroscopic landmark identification or ultrasound guidance. Low punctures have a high incidence of peripheral artery complications, whereas high punctures have an increased risk of retroperitoneal hemorrhage. In patients with a synthetic graft, arterial access is possible after the graft is a few months old and complication rates are not increased (254).

Radial site access is used frequently in Europe and Canada but not in the United States (260). A learning curve exists for the radial approach that will affect procedure time and radiation dose, with a trend toward lower procedural success rates for radial versus femoral access (255). However, compared with femoral access, radial access decreases the rate of access-related bleeding and complications (255, 260, 363). In a recent large RCT comparing radial and femoral access in patients with ACS undergoing PCI, there was no difference in the primary composite endpoint (death, MI, stroke, major bleeding), although there was a lower rate of vascular complications with the use of radial access (362).

**TABLE 7. SCAI Expert Consensus Document Requirements for Primary PCI and Emergency Aortocoronary Bypass Surgery at Hospitals Without On-Site Cardiac Surgery**

**Avoid intervention in patients with**
- >50% diameter stenosis of left main artery proximal to infarct-related lesion, especially if the area in jeopardy is relatively small and overall LV function is not severely impaired
- Long, calcified, or severely angulated target lesions at high risk for PCI failure with TIMI flow grade 3 present during initial diagnostic angiography
- Lesions in other than the infarct artery (unless they appeared to be flow limiting in patients with hemodynamic instability or ongoing symptoms)
- Lesions with TIMI flow grade 3 that are not amenable to stenting in patients with left main or 3-vessel disease that will require coronary bypass surgery
- Culprit lesions in more distal branches jeopardizing only a modest amount of myocardium when there is more proximal disease that could be worsened by attempted intervention

**Transfer emergently for coronary bypass surgery patients with**
- High-grade left main or 3-vessel coronary disease with clinical or hemodynamic instability after successful or unsuccessful PCI of an occluded vessel and preferably with IABP support
- Failed or unstable PCI result and ongoing ischemia, with IABP support during transfer

IABP indicates intra-aortic balloon pump; LV, left ventricular; PCI, percutaneous coronary intervention; SCAI, Society for Cardiovascular Angiography and Interventions; and TIMI, Thrombolysis In Myocardial Infarction.
Adapted with permission from Dehmer et al. (352).

Radial artery access is particularly appealing in patients with coagulopathy, elevated international normalized ratio due to warfarin, or morbid obesity.

## 5.2. PCI in Specific Clinical Situations

### 5.2.1. UA/NSTEMI: Recommendations

### CLASS I

1. An early invasive strategy (i.e., diagnostic angiography with intent to perform revascularization) is indicated in UA/NSTEMI patients who have refractory angina or hemodynamic or electrical instability (without serious comorbidities or contraindications to such procedures) (207, 364, 365). *(Level of Evidence: B)*
2. An early invasive strategy (i.e., diagnostic angiography with intent to perform revascularization) is indicated in initially stabilized UA/ NSTEMI patients (without serious comorbidities or contraindications to such procedures) who have an elevated risk for clinical events (207, 365–367). *(Level of Evidence: A)*
3. The selection of PCI or CABG as the means of revascularization in the patient with ACS should generally be based on the same considerations as those without ACS (53, 156, 207, 368). *(Level of Evidence: B)*

**TABLE 8. SCAI Expert Consensus Document Requirements for Patient and Lesion Selection and Backup Strategy for Nonemergency PCI by Experienced Operators at Hospitals Without On-Site Cardiac Surgery**

**Patient risk:** expected clinical risk in case of occlusion caused by procedure

**High patient risk:** Patients with any of the following:
- Decompensated congestive heart failure (Killip Class 3) without evidence for active ischemia, recent CVA, advanced malignancy, known clotting disorders
- LVEF <25%
- Left main stenosis (≥50% diameter) or 3-vessel disease unprotected by prior bypass surgery (>70% stenoses in the proximal segment of all major epicardial coronary arteries)
- Single-target lesion that jeopardizes >50% of remaining viable myocardium

**Lesion risk:** probability that procedure will cause acute vessel occlusion

**Increased lesion risk:** lesions in open vessels with any of the following characteristics:
- Diffuse disease (>2 cm in length) and excessive tortuosity of proximal segments
- More than moderate calcification of a stenosis or proximal segment
- Location in an extremely angulated segment (>90%)
- Inability to protect major side branches
- Degenerated older vein grafts with friable lesions
- Substantial thrombus in the vessel or at the lesion site
- Any other feature that may, in the operator's judgment, impede successful stent deployment Aggressive measures to open CTOs are also discouraged because of an increased risk of perforation.

**Strategy for surgical backup based on lesion and patient risk:**
- **High-risk patients with high-risk lesions** should not undergo nonemergency PCI at a facility without on-site surgery.
- **High-risk patients with non-high-risk lesions:** Nonemergency patients with this profile may undergo PCI, but confirmation that a cardiac surgeon and operating room are immediately available is necessary.
- **Non-high-risk patients with high-risk lesions** require no additional precautions.
- **Non-high-risk patients with non-high-risk lesions** require no additional precautions. Best scenario for PCI without on-site surgery.

CTO indicates chronic total occlusion; CVA, cerebrovascular accident; LVEF, left ventricular ejection fraction; PCI, percutaneous coronary intervention; and SCAI, Society for Cardiovascular Angiography and Interventions.
Adapted with permission from Dehmer et al. (352).

### CLASS III: NO BENEFIT

1. An early invasive strategy (i.e., diagnostic angiography with intent to perform revascularization) is not recommended in patients with extensive comorbidities (e.g., liver or pulmonary failure, cancer) in whom *(Level of Evidence: C)*
   a. The risks of revascularization and comorbid conditions are likely to outweigh the benefits of revascularization,
   b. There is a low likelihood of ACS despite acute chest pain, or
   c. Consent to revascularization will not be granted regardless of the findings.

The goals of coronary angiography and revascularization in UA/NSTEMI patients are to reduce the risk of death and MI and provide symptom relief. To improve prognosis, early risk stratification is essential for selection of medical and/or invasive treatment strategies. Indications for revascularization depend on the patient's clinical risk characteristics and coronary anatomy and are in general stronger in the presence of high-risk clinical presentation (e.g., dynamic electrocardiogram [ECG] changes, elevated troponin, high Global Registry of Acute Coronary Events score), recurrent symptoms, threatened viable myocardium, CKD, and larger ischemic burden (Appendix 4E). For choice of revascularization technique, the anatomical considerations are generally those used for stable CAD, although PCI may initially be performed in the index lesion to stabilize the patient (Section 2).

Contemporary studies variably comparing strategies of very early (within hours of admission), early (within 24 hours of admission), and delayed (1 to 7 days after admission) cardiac catheterization and revascularization support a strategy of *early* angiography and revascularization to reduce the risk of recurrent ischemia and MI, particularly among those at high risk (e.g., Global Registry of Acute Coronary Events score >140) (367, 369, 370), whereas a delayed approach is reasonable in low-intermediate risk patients (based on clinical course). There is no evidence that incremental benefit is derived by angiography and PCI performed within the first few hours of hospital admission (207, 367, 371–378).

### 5.2.2. ST-Elevation Myocardial Infarction

#### *5.2.2.1. CORONARY ANGIOGRAPHY STRATEGIES IN STEMI: RECOMMENDATIONS*

**CLASS I**

1. A strategy of immediate coronary angiography with intent to perform PCI (or emergency CABG) in patients with STEMI is recommended for
   a. Patients who are candidates for primary PCI (351, 379–382). *(Level of Evidence: A)*
   b. Patients with severe heart failure or cardiogenic shock who are suitable candidates for revascularization (383, 384). *(Level of Evidence: B)*

**CLASS IIa**

1. A strategy of immediate coronary angiography (or transfer for immediate coronary angiography) with intent to perform PCI is reasonable for patients with STEMI, a moderate to large area of myocardium at risk, and evidence of failed fibrinolysis (385, 386). *(Level of Evidence: B)*
2. A strategy of coronary angiography (or transfer for coronary angiography) 3 to 24 hours after initiating fibrinolytic therapy with intent to perform PCI is reasonable for hemodynamically stable patients with STEMI and evidence for successful fibrinolysis when angiography and revascularization can be performed as soon as logistically feasible in this time frame (387–391). *(Level of Evidence: A)*

**CLASS IIb**

1. A strategy of coronary angiography performed before hospital discharge might be reasonable in stable patients with STEMI who did not undergo cardiac catheterization within 24 hours of STEMI onset. *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. A strategy of coronary angiography with intent to perform PCI is not recommended in patients with STEMI in whom the risks of revascularization are likely to outweigh the benefits or when the patient or designee does not want invasive care. *(Level of Evidence: C)*

The historical reperfusion strategies of "primary PCI," "immediate PCI," "rescue PCI," "deferred PCI," "facilitated PCI," and the "pharmacoinvasive strategy" have evolved in parallel with advances in antithrombotic therapy and STEMI prehospital and hospital systems of care. The clinical challenge in primary PCI is achieving rapid time to treatment and increasing patient access to this preferred reperfusion strategy. The clinical challenge in patients treated with fibrinolytic therapy is deciding for whom and when to perform coronary angiography.

In unstable patients (e.g., severe heart failure or cardiogenic shock, hemodynamically compromising ventricular arrhythmias) not treated initially with primary PCI, a strategy of immediate coronary angiography with intent to perform PCI is implemented unless invasive management is considered futile or unsuitable given the clinical circumstances (383, 384).

In stable patients treated with fibrinolytic therapy and clinical suspicion of reperfusion failure, a strategy of immediate coronary angiography followed by PCI improves outcome in those at high risk (385, 386). Such a strategy is also implemented in patients with evidence for infarct artery reocclusion (Table 9). The T9
clinical diagnosis of failed fibrinolysis is difficult but is best made when there is <50% ST-segment resolution 90 minutes after initiation of therapy in the lead showing the greatest degree of ST-segment elevation at presentation. Given the association between bleeding events and adverse cardiac events, a reasonable approach is to select moderate- and high-risk patients for PCI and treat low-risk patients with medical therapy. ECG and clinical findings of anterior MI or

**TABLE 9. Indications for Coronary Angiography in STEMI**

| Indications | COR | LOE | References |
|---|---|---|---|
| **Immediate coronary angiography** | | | |
| Candidate for primary PCI | I | A | (351,379–382) |
| Severe heart failure or cardiogenic shock (if suitable revascularization candidate) | I | B | (383,384) |
| Moderate to large area of myocardium at risk and evidence of failed fibrinolysis | IIa | B | (385,386) |
| **Coronary angiography 3 to 24 h after fibrinolysis** | | | |
| Hemodynamically stable patients with evidence for successful fibrinolysis | IIa | A | (387–391) |
| **Coronary angiography before hospital discharge** | | | |
| Stable patients | IIb | C | N/A |
| **Coronary angiography at any time** | | | |
| Patients in whom the risks of revascularization are likely to outweigh the benefits or the patient or designee does not want invasive care | III: No Benefit | C | N/A |

COR indicates class of recommendation; LOE, level of evidence; N/A, not applicable; PCI, percutaneous coronary intervention; and STEMI, ST-elevation myocardial infarction.

inferior MI with right ventricular involvement or precordial ST-segment depression, as well as ongoing pain, usually predicts increased risk and the greatest potential benefit (392). Conversely, patients with symptom resolution, improving ST-segment elevation, or inferior MI localized to 3 ECG leads probably gain little benefit.

In stable patients treated with fibrinolytic therapy and clinical evidence for successful reperfusion, an early invasive strategy with cardiac catheterization performed within 24 hours decreases reinfarction and recurrent ischemic events (388, 390, 391). Because of the associated increased bleeding risk, very early (<2 to 3 hours) catheterization after administration of fibrinolytic therapy with intent to perform revascularization should be reserved for patients with evidence of failed fibrinolysis and significant myocardial jeopardy for whom immediate angiography and revascularization would be appropriate (393).

### *5.2.2.2. PRIMARY PCI OF THE INFARCT ARTERY: RECOMMENDATIONS*

#### CLASS I

1. Primary PCI should be performed in patients within 12 hours of onset of STEMI (379–382). *(Level of Evidence: A)*
2. Primary PCI should be performed in patients with STEMI presenting to a hospital with PCI capability within 90 minutes of first medical contact as a systems goal (394, 395). *(Level of Evidence: B)*
3. Primary PCI should be performed in patients with STEMI presenting to a hospital without PCI capability within 120 minutes of first medical contact as a systems goal (396–398). *(Level of Evidence: B)*
4. Primary PCI should be performed in patients with STEMI who develop severe heart failure or cardiogenic shock and are suitable candidates for revascularization as soon as possible, irrespective of time delay (383, 384). *(Level of Evidence: B)*
5. Primary PCI should be performed as soon as possible in patients with STEMI and contraindications to fibrinolytic therapy with isch-emic symptoms for less than 12 hours (399, 400). *(Level of Evidence: B)*

#### CLASS IIa

1. Primary PCI is reasonable in patients with STEMI if there is clinical and/or electrocardiographic evidence of ongoing ischemia between 12 and 24 hours after symptom onset (401–403). *(Level of Evidence: B)*

#### CLASS IIb

1. Primary PCI might be considered in asymptomatic patients with STEMI and higher risk presenting between 12 and 24 hours after symptom onset. *(Level of Evidence: C)*

#### CLASS III: HARM

1. PCI should not be performed in a noninfarct artery at the time of primary PCI in patients with STEMI without hemodynamic compromise (404–408). *(Level of Evidence: B)*

Primary PCI is preferred to fibrinolytic therapy when time-to-treatment delays are short and the patient presents to a high-volume, well-equipped center staffed with expert interventional cardiologists and skilled support staff. Compared with fibrinolytic therapy in RCTs, primary PCI produces higher rates for infarct artery patency, TIMI flow grade 3, and lower rates for recurrent ischemia, reinfarction, emergency repeat revascularization procedures, intracranial hemorrhage, and death (379). Early, successful PCI also greatly decreases the complications of STEMI that result from longer ischemic times or unsuccessful fibrinolytic therapy, allowing earlier hospital discharge and resumption of daily activities. The greatest

**TABLE 10. Indications for PCI in STEMI**

| Indications | COR | LOE | References |
|---|---|---|---|
| Primary PCI* | | | |
| STEMI symptoms within 12 h | I | A | (379–382) |
| Severe heart failure or cardiogenic shock | I | B | (383,384) |
| Contraindications to fibrinolytic therapy with ischemic symptoms <12 h | I | B | (399,400) |
| Clinical and/or electrocardiographic evidence of ongoing ischemia between 12 and 24 h after symptom onset | IIa | B | (401–403) |
| Asymptomatic patients presenting between 12 and 24 h after symptom onset and higher risk | IIb | C | N/A |
| Noninfarct artery PCI at the time of primary PCI in patients without hemodynamic compromise | III: Harm | B | (404–408) |
| Delayed or elective PCI in patients with STEMI | | | |
| Clinical evidence for fibrinolytic failure or infarct artery reocclusion | IIa | B | (385,386) |
| Patent infarct artery 3 to 24 h after fibrinolytic therapy | IIa | B | (390,391) |
| Ischemia on noninvasive testing | IIa | B | (410,411) |
| Hemodynamically significant stenosis in a patent infarct artery >24 h after STEMI | IIb | B | (413–417) |
| Totally occluded infarct artery >24 h after STEMI in a hemodynamically stable asymptomatic patient without evidence of severe ischemia | III: No Benefit | B | (418–420) |

***Systems goal of performing primary PCI within 90 min of first medical contact when the patient presents to a hospital with PCI capability** (394, 395)(**C/ass *I; LOE: B)* and within 120 min when the patient presents to a hospital without PCI capability** (396–398) (**Class *I; LOE:* B).**
COR indicates class of recommendation; LOE, level of evidence; N/A, not applicable; PCI, percutaneous coronary intervention; and STEMI, ST-elevation myocardial infarction.

mortality benefit of primary PCI is in high-risk patients. PCI outcomes may not be as successful with prolonged time-to-treatment or low-volume hospitals
T10 and operators (Table 10).

Several reports have shown excellent outcomes for patients with STEMI undergoing interhospital transfer where first medical contact-to-door balloon time modestly exceeded the systematic goal of <90 minutes (396–398, 409). In these reports, the referring hospital and the receiving hospital established a transfer protocol that minimized transfer delays, and outcomes were similar to those of direct-admission patients. On the basis of these results, the PCI and STEMI guideline writing committees have modified the first medical contact-to-device time goal from 90 minutes to 120 minutes for interhospital transfer patients (397), while emphasizing that systems should continue to strive for times ≤90 minutes. Hospitals that cannot meet these criteria should use fibrinolytic therapy as their primary reperfusion strategy.

PCI of a noninfarct artery at the time of primary PCI in stable patients is associated with worse clinical outcomes unless the patient is in cardiogenic shock where PCI of a severe stenosis in a coronary artery supplying a large territory of myocardium might improve hemodynamic stability (404, 406, 408). Delayed PCI can be performed in noninfarct arteries at a later time if clinically indicated (410–412).

### *5.2.2.3. DELAYED OR ELECTIVE PCI IN PATIENTS WITH STEMI: RECOMMENDATIONS*

#### CLASS IIa

1. PCI is reasonable in patients with STEMI and clinical evidence for fibrinolytic failure or infarct artery reocclusion (385, 386). *(Level of Evidence: B)*
2. PCI is reasonable in patients with STEMI and a patent infarct artery 3 to 24 hours after fibrinolytic therapy (390, 391). *(Level of Evidence: B)*
3. PCI is reasonable in patients with STEMI who demonstrate ischemia on noninvasive testing (410, 411). *(Level of Evidence: B)*

#### CLASS IIb

1. PCI of a hemodynamically significant stenosis in a patent infarct artery greater than 24 hours after STEMI may be considered as part of an invasive strategy (413–417). *(Level of Evidence: B)*

#### CLASS III: NO BENEFIT

1. PCI of a totally occluded infarct artery greater than 24 hours after STEMI should not be performed in asymptomatic patients with 1- or 2-vessel disease if patients are hemodynamically and electrically stable and do not have evidence of severe ischemia (418–420). *(Level of Evidence: B)*

Studies and meta-analyses suggest potential benefit for PCI in fibrinolytic failure (385, 386). In stable

patients treated with fibrinolytic therapy and clinical evidence for successful reperfusion, an early invasive strategy with cardiac catheter-ization performed within 24 hours decreases reinfarction and recurrent ischemic events (388, 390, 391).

PCI for a hemodynamically significant stenosis in a patent infarct artery >24 hours after STEMI as part of a revascularization strategy improves outcome (410, 411, 413–417). PCI of an occluded infarct artery 1 to 28 days after MI in asymptomatic patients without evidence of myocardial ischemia has no incremental benefit beyond optimal medical therapy with aspirin, beta blockers, angiotensin-converting enzyme inhibitors, and statins in preserving LV function and preventing subsequent cardiovascular events (418–420). It is important to note that elective PCI of an occluded infarct artery has not been studied in patients with New York Heart Association functional class III or IV heart failure, rest angina, serum creatinine >2.5 mg/dL, left main or 3-vessel CAD, clinical instability, or severe inducible ischemia on stress testing in an infarct zone that is not akinetic or dyskinetic.

### 5.2.3. Cardiogenic Shock: Recommendations

**CLASS I**

1. PCI is recommended for patients with acute MI who develop cardiogenic shock and are suitable candidates (384, 421–423). *(Level of Evidence: B)*
2. A hemodynamic support device is recommended for patients with cardiogenic shock after STEMI who do not quickly stabilize with pharmacological therapy (384, 424–427). *(Level of Evidence: B)*

*See Online Data Supplement 22 for additional data regarding cardiogenic shock.*

Cardiogenic shock is the leading cause of in-hospital mortality complicating STEMI. Revascularization is the only treatment proven to decrease mortality rates (384, 421–423). Although revascularization is almost always accomplished through PCI, selected patients with severe 3-vessel or left main disease can benefit from emergency CABG. Revascu-larization attempts may be futile and not indicated in cases of severe multiorgan failure (427). Patient selection for revascularization is more important in the elderly, but several observational reports demonstrate acceptable outcomes in patients with few comorbidities and a reasonable potential for survival (428–431). Patients who present to hospitals without PCI capability are usually emergently transported to a PCI center, because mortality without transfer is markedly elevated (432).

***5.2.3.1. PROCEDURAL CONSIDERATIONS FOR CARDIOGENIC SHOCK.*** Patients with cardiogenic shock should receive standard pharmacological therapies, including aspirin, a $P2Y_{12}$ receptor antagonist, and anticoagulation (427, 433). Inotropic and vasopressor therapy improves perfusion pressure. Historically, negative inotropes and vasodilators are avoided. IV GP IIb/IIIa inhibitors have been shown to provide benefit in observational studies but not in 1 small RCT (433).

Endotracheal intubation and mechanical ventilation with positive end-expiratory pressure is usually necessary in patients with respiratory failure. Placement of a temporary pacemaker is indicated for patients with bradycardia or high-degree atrioventricular heart block. A pulmonary artery catheter can provide information to dose and titrate inotropes and pressors. Further hemodynamic support is available with IABP counterpulsation or percutaneous LV assist devices, although no data support a reduction in mortality rates (434).

Contrast medium injections should be minimized. Orthogonal angiograms of the left coronary artery and a left anterior oblique angiogram of the right coronary artery are usually sufficient to identify the infarct artery (435). Although most patients undergoing revascularization will receive a stent as part of the procedure, there are conflicting data on the impact of stenting over balloon angioplasty. Some studies reveal lower mortality rates (436–438), whereas others reveal no benefit (439) or higher mortality rates (440). There are no data comparing the choice ofBMS versus DES in cardiogenic shock; however, BMS are often used because compliance with long-term DAPT is often unclear in the emergency setting.

In patients with multivessel disease, revascularization of the noninfarct artery may be necessary to maximize myocardial perfusion. Alternatively, in patients with multivessel disease and particularly left main disease, emergency CABG as a primary reperfusion strategy may be preferred (50, 441). Refractory cardiogenic shock unresponsive to revascularization may necessitate institution of more intensive cardiac support with a ventricular assist device or other hemodynamic support devices to allow for myocardial recovery or subsequent cardiac transplantation in suitable patients.

### 5.2.4. Revascularization Before Noncardiac Surgery: Recommendations

**CLASS IIa**

1. For patients who require PCI and are scheduled for elective noncardiac surgery in the subsequent 12 months, a strategy of balloon angioplasty, or BMS implantation followed by 4 to 6 weeks of DAPT, is reasonable (442–448). *(Level of Evidence: B)*
2. For patients with DES who must undergo urgent surgical procedures that mandate the discontinuation of DAPT, it is reasonable to continue aspirin if possible and restart the $P2Y_{12}$ inhibitor as soon as possible in the immediate postoperative period (444). *(Level of Evidence: C)*

### CLASS III: HARM

1. Routine prophylactic coronary revascularization should not be performed in patients with stable CAD before noncardiac surgery (449, 450). *(Level of Evidence: B)*
2. Elective noncardiac surgery should not be performed in the 4 to 6 weeks after balloon angioplasty or BMS implantation or the 12 months after DES implantation in patients in whom the $P2Y_{12}$ inhibitor will need to be discontinued perioperatively (208, 447, 451, 452). *(Level of Evidence: B)*

The 2007 and 2009 ACC/AHA Guidelines on Perioperative Cardiovascular Evaluation and Care for Noncardiac Surgery gave detailed recommendations for the evaluation of patients undergoing noncardiac surgery (444). Patients with evidence of ACS should receive standard therapy, including early revascularization, to minimize the risk of adverse events. Patients with known significant left main or 3-vessel CAD who would otherwise benefit from revascularization in terms of survival or symptomatic relief also generally undergo revascularization before elective noncardiac surgery.

Two RCTs (449, 450) found no benefit with routine preoperative revascularization before noncardiac surgery. Noncardiac surgery early after coronary stenting, particularly in the first 4 weeks, is associated with a high risk of stent thrombosis and death (444, 446, 448). When emergency surgery is necessary, the patient should proceed to surgery without prior PCI. When surgery is required within 30 days and coronary revascularization is required before surgery, many clinicians perform balloon angioplasty alone to avoid the need for DAPT. In situations where preoperative revascularization is required and surgery can be deferred for at least 30 days, many clinicians use BMS and discontinue DAPT after 30 days. If surgery is elective and can be deferred for 1 year, most clinicians would consider DES to reduce the long-term risk of restenosis. A dilemma occurs when a patient has undergone PCI and then unexpectedly requires noncardiac surgery. Many patients can undergo surgery on DAPT, where the risk-benefit ratio will favor continued dual antiplatelet inhibition. If it is necessary to hold $P2Y_{12}$ inhibitor therapy, most clinicians will still continue aspirin uninterrupted during the perioperative period if the bleeding risk is not prohibitive. When the risk of delaying surgery or performing surgery while the patient is on DAPT exceeds the risk of stent thrombosis from stopping DAPT, the $P2Y_{12}$ inhibitor is stopped before surgery and resumed as soon as possible afterward. No $P2Y_12$ inhibitor "bridging" strategy (e.g., GP IIb/IIIa inhibitor, antithrombin therapy) has been validated.

## 5.3. Coronary Stents: Recommendations

### CLASS I

1. Before implantation of DES, the interventional cardiologist should discuss with the patient the need for and duration of DAPT and the ability of the patient to comply with and tolerate DAPT (212). *(Level of Evidence: C)*
2. DES are useful as an alternative to BMS to reduce the risk of restenosis in cases in which the risk of restenosis is increased and the patient is likely to be able to tolerate and comply with prolonged DAPT *(Level of Evidence: A for elective PCI* [453,453a,454–456]; *Level of Evidence: C for UA/NSTEMI* (453); *Level of Evidence: A for STEMI* [453,456–459]).
3. Balloon angioplasty or BMS should be used in patients with high bleeding risk, inability to comply with 12 months of DAPT, or anticipated invasive or surgical procedures within the next 12 months, during which time DAPT may be interrupted (208, 460–462). *(Level of Evidence: B)*

### CLASS III: HARM

1. PCI with coronary stenting should not be performed if the patient is not likely to be able to tolerate and comply with DAPT (208–211). *(Level of Evidence: B)*
2. DES should not be implanted if the patient is not likely to be able to tolerate and comply with prolonged DAPT or this cannot be determined before stent implantation (208, 460–462). *(Level of Evidence: B)*

Coronary stent implantation is commonly performed during PCI to prevent recoil, abrupt closure, and late restenosis (463, 464). BMS are composed of either stainless steel or cobalt chromium alloys. Because the risk of stent thrombosis is greatest within the first 30 days after implantation, the use of DAPT is required for 30 days after implantation of BMS (208).

In the United States, 4 types of DES are currently approved: sirolimus-eluting stents, paclitaxel-eluting stents, zotarolimus-eluting stents, and everolimus-eluting stents. DES vary according to stent scaffold material and design, drug content, and the polymer used for drug elution; however, several common clinical features are present. First, sirolimus-eluting stents, paclitaxel-eluting stents, and zotarolimus-eluting stents have been demonstrated in RCTs to be associated with a reduced need for repeat revascularization and no increase in death or MI compared with BMS at 4 years' follow-up (465). Everolimus-eluting stents have been demonstrated in RCTs to be associated with a lower need for repeat revascularization than paclitaxel-

eluting stents, and, by inference, a lower risk for repeat revascularization than BMS (466, 467), with no increase in death or MI at 2-year follow-up (468). Second, each of these stents is presumed to be associated with delayed healing based on pathologic studies and longer periods of risk for thrombosis compared with BMS and require longer duration of DAPT (469). In the RCTs that led to the U.S. Food and Drug Administration (FDA) approval of these stents, the recommended minimum duration of DAPT therapy was 3 to 6 months. Recently, the consensus of clinical practice has been 12 months of DAPT following DES implantation to avoid late (after 30 days) thrombosis (208), based on observational studies of paclitaxel-eluting stents and sirolimus-eluting stents that indicate lower risk of late stent thrombosis with >6 months of therapy (212). Extending DAPT beyond 1 year is considered reasonable by some practitioners based on observational data analysis (212), but RCTs to determine whether longer DAPT is associated with reduction in stent thrombosis risk have not been completed. Finally, DES therapy is more expensive than BMS. Cost-effectiveness analysis has shown a reduction in total cost associated with DES because of avoidance of repeat procedures, yet it may be reasonable to consider use of BMS in patient subsets in which the risk of restenosis is low (470).

This risk-benefit profile is most favorable for DES over BMS when the risk of restenosis with BMS is high (Table 11). Pooled and meta-analyses have demonstrated that in patients with diabetes, use of DES decreases the risk of restenosis compared with BMS (471, 472). DES may be more appealing for unprotected left main PCI, given the rate and clinical consequences of restenosis in this location (473–475). The risk of stent thrombosis is higher in populations or lesion types excluded from RCTs of DES (e.g., STEMI, smaller arteries [<2.5 mm diameter], longer lesions, bifurcations) (210, 465). Importantly, these features also predict both stent thrombosis (476) and restenosis in BMS (477). The greatest risk of stent thrombosis is within the first year, ranging from 0.7% to 2.0%, depending on patient and lesion complexity. Late stent thrombosis risk after 1 year with DES is observed at a rate of 0.2% to 0.4% per year (210, 478).

Compared with balloon angioplasty, routine BMS implantation during primary PCI decreases risk for target-vessel revascularization and possibly reduces MI rates but does not reduce mortality rates (479). More recent primary PCI studies and meta-analyses have demonstrated lower restenosis rates without increased risk of adverse stent outcome with DES compared with BMS. Although stent thrombosis rates in trials ofSTEMI are higher than in trials of elective PCI, the rates of stent thrombosis are not higher with DES compared with BMS in STEMI (453, 456–459).

**TABLE 11. Clinical Situations Associated With DES or BMS Selection Preference**

| DES Generally Preferred Over BMS (Efficacy Considerations) | BMS Preferred Over DES (Safety Considerations) |
|---|---|
| • Left main disease | • Unable to tolerate or comply with DAPT |
| • Small vessels | • Anticipated surgery requiring discontinuation of DAPT within 12 mo |
| • In-stent restenosis | • High risk of bleeding |
| • Bifurcations | |
| • Diabetes | |
| • Long lesions | |
| • Multiple lesions | |
| • Saphenous vein grafts | |

BMS indicates bare-mental stent(s); DAPT, dual antiplatelet therapy; and DES, drug-eluting stent(s).

The greatest risk for DES thrombosis is early discontinuation of DAPT (208, 460–462). It is therefore important to determine that the patient will likely be able to tolerate and comply with DAPT before implantation of DES. Therefore, DES should not be used in the presence of financial barriers to continuing prolonged DAPT, social barriers that may limit patient compliance, or medical issues involving bleeding risks or the need for invasive or surgical procedures in the following year that would interrupt antiplatelet therapy. The need for use of long-term warfarin and the associated increased risk ofbleeding with long-term "triple therapy" is also a consideration in deciding on DES versus BMS (480).

Patients implanted with most contemporary coronary stents can undergo magnetic resonance imaging (MRI) examination any time after implantation (481, 482). The effect of the MRI examination on heating of the drug or polymer coating used in DES is unknown. There is no indication for antibiotic prophylaxis before dental or invasive procedures in patients with coronary stents (483).

## 5.4. Adjunctive Diagnostic Devices

### 5.4.1. FFR: Recommendation

**CLASS IIa**

1. FFR is reasonable to assess angiographic intermediate coronary lesions (50% to 70% diameter stenosis) and can be useful for guiding revascularization decisions in patients with SIHD (12, 97, 484–486). *(Level of Evidence: A)*

*See Online Data Supplement 23 for additional data regarding FFR.*

The limitations of coronary angiography for determination of lesion severity have been well described. Angiography may under- or overestimate lesion stenosis. Various physiologic measurements can be made in the catheterization laboratory, including

coronary flow reserve and FFR. The correlation of ischemia on stress testing with FFR values of <0.75 has been established in numerous comparative studies with high sensitivity (88%), specificity (100%), positive predictive value (100%), and overall accuracy (93%) (487). The 5-year outcomes for patients with medical therapy based on an FFR >0.75 were superior compared with PCI in the DEFER (Deferral Versus Performance of Balloon Angioplasty in Patients Without Documented Ischemia) study (485). The FAME (Fractional Flow Reserve Versus Angiography for Multivessel Evaluation) study identified the benefit for deferring PCI in patients with multivessel disease and lesion FFR >0.80, with reduced rates of cardiac events at both 1 and 2 years (97, 486). Whereas both FFR and IVUS have been used for assessment of intermediate angiographic stenosis with favorable outcomes, FFR may reduce the need for revascularization when compared with IVUS (488). Although IVUS is often considered in the assessment ofequivocal left main stenosis, FFR may be similarly effective (484).

**5.4.2. IVUS: Recommendations**

**CLASS IIa**

1. IVUS is reasonable for the assessment of angiographically indeterminant left main CAD (489–491). *(Level of Evidence: B)*
2. IVUS and coronary angiography are reasonable 4 to 6 weeks and 1 year after cardiac transplantation to exclude donor CAD, detect rapidly progressive cardiac allograft vasculopathy, and provide prognostic information (492–494). *(Level of Evidence: B)*
3. IVUS is reasonable to determine the mechanism of stent restenosis (495). *(Level of Evidence: C)*

**CLASS IIb**

1. IVUS may be reasonable for the assessment of non-left main coronary arteries with angiographically intermediate coronary stenoses (50% to 70% diameter stenosis) (489, 496, 497). *(Level of Evidence: B)*
2. IVUS may be considered for guidance of coronary stent implantation, particularly in cases of left main coronary artery stenting (490, 495, 498). *(Level of Evidence: B)*
3. IVUS may be reasonable to determine the mechanism of stent thrombosis (495). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. IVUS for routine lesion assessment is not recommended when revascularization with PCI or CABG is not being contemplated. *(Level of Evidence: C)*

IVUS provides a unique coronary artery assessment of lesion characteristics, minimal and maximal lumen diameters, cross-sectional area, and plaque area. Diagnostic uses for IVUS include the assessment of angiographic indeterminant coronary artery stenoses, determination of the mechanism of stent restenosis or thrombosis, and postcardiac transplantation surveillance of CAD (488, 490–492, 499). For left main coronary artery stenoses, a minimal lumen diameter of <2.8 mm or a minimal lumen area of <6mm$^2$ suggests a physiologically significant lesion for which patients may benefit from revascularization. A minimal lumen area >7.5 mm$^2$ suggests that revascularization may be safely deferred (490). A minimal lumen area between 6 and 7.5 mm$^2$ requires further physiological assessment, such as measurement of FFR (487, 500). For non-left main stenoses, minimal lumen diameter >2.0 mm and minimal lumen area > 4.0 mm$^2$ correlate with low event rates (489). However, in smaller-diameter arteries (minimal lumen area <3.0 mm$^2$), measurement of FFR may more accurately reflect a significant stenosis (488). Studies correlating IVUS measures with ischemia have not specified the size of coronary arteries for which such correlations are valid (488, 489, 497).

IVUS assessment after stent thrombosis may serve to identify stent underexpansion or malapposition (499). IVUS is superior to angiography in the early detection of the diffuse, immune-mediated, cardiac allograft vasculopathy; recommendations about the use of IVUS for this purpose were published in 2010 by the International Society of Heart and Lung Transplantation (492). Whereas IVUS has been an important research tool in interventional cardiology, most clinical studies of IVUS have not been able to demonstrate that its routine use results in a reduction of MACE or restenosis rates (498, 501, 502). IVUS has been inappropriately used in clinical practice to justify implanting stents in mildly diseased segments that may require no intervention (503).

**5.4.3. Optical Coherence Tomography.** Compared with IVUS, optical coherence tomography has greater resolution (10 to 20 micronmeter axially) but more limited depth of imaging (1 to 1.5 mm) (504, 505). Unlike IVUS, optical coherence tomography requires that the artery be perfused with saline solution or crystalloid during image acquisition and therefore does not permit imaging of ostial lesions. Clinical studies have shown low optical coherence tomography complication rates (506, 507), similar to those of IVUS (508). The excellent resolution of optical coherence tomography permits detailed in vivo 2-dimensional imaging of plaque morphological characteristics (e.g., calcification, lipid, thrombus, fibrous cap thickness, and plaque ulceration or rupture) (508–510) and evaluation of the arterial response to stent implantation (e.g., stent strut neointimal thickness and apposition) (511–513) and

may be of value in clinical research. The appropriate role for optical coherence tomography in routine clinical decision making has not been established.

## 5.5. Adjunctive Therapeutic Devices

### 5.5.1. Coronary Atherectomy: Recommendations

**CLASS IIa**

1. Rotational atherectomy is reasonable for fibrotic or heavily calcified lesions that might not be crossed by a balloon catheter or adequately dilated before stent implantation (514, 515). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. Rotational atherectomy should not be performed routinely for de novo lesions or in-stent restenosis (516–519). *(Level of Evidence: A)*

Rotational atherectomy in RCTs was associated with higher rates of MACE at 30 days and no reduction in restenosis. It has a limited role in facilitating the dilation or stenting of lesions that cannot be crossed or expanded with PCI (520, 521). Devices for directional coronary atherectomy are no longer marketed in the United States.

### 5.5.2. Thrombectomy: Recommendation

**CLASS IIa**

1. Aspiration thrombectomy is reasonable for patients undergoing primary PCI (522–524). *(Level of Evidence: B)*

The benefit of thrombectomy in patients with STEMI appears to be dependent on the type of thrombectomy technique used (522–526). No clinical benefit for routine rheolytic thrombectomy (AngioJet device, MEDRAD Interventional, Minneapolis, MN and Pittsburgh, PA) has been demonstrated in primary PCI (524–526). Two RCTs (522, 523) and a meta-analysis (524) support the use of manual aspiration thrombectomy during primary PCI to improve microvascular reperfusion and decrease MACE. It is not known whether a strategy of selective thrombus aspiration in patients with a large thrombus burden might be equivalent to routine thrombus aspiration.

### 5.5.3. Laser Angioplasty: Recommendations

**CLASS IIb**

1. Laser angioplasty might be considered for fibrotic or moderately calcified lesions that cannot be crossed or dilated with conventional balloon angioplasty (527). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. Laser angioplasty should not be used routinely during PCI (516, 518, 528). *(Level of Evidence: A)*

RCTs of laser angioplasty have not demonstrated improved clinical or angiographic PCI outcomes, although some practitioners think that laser angioplasty may be of use in the treatment of lesions that are difficult to dilate with balloon angioplasty (527).

### 5.5.4. Cutting Balloon Angioplasty: Recommendations

**CLASS IIb**

1. Cutting balloon angioplasty might be considered to avoid slippage-induced coronary artery trauma during PCI for in-stent restenosis or ostial lesions in side branches (529). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. Cutting balloon angioplasty should not be performed routinely during PCI (516, 529, 530). *(Level of Evidence: A)*

Although some small, single-center trials have suggested that cutting balloon angioplasty was more efficacious than balloon angioplasty, it was not found to be safer or more effective in several large trials (516, 529, 531). When balloon dilation is required for in-stent restenosis, however, cutting balloons are less likely to slip and may offer a technical advantage over conventional balloons (529). Scoring balloons have been used by some cardiologists as an alternative to cutting balloons, but no RCTs have been reported (531).

### 5.5.5. Embolic Protection Devices: Recommendation

**CLASS I**

1. Embolic protection devices (EPDs) should be used during saphenous vein graft (SVG) PCI when technically feasible (532–535). *(Level of Evidence: B)*

The incidence of MACE doubles in SVG PCI compared with native-artery PCI (536). A distal balloon occlusion EPD decreased the 30-day composite outcome of death, MI, emergency CABG, or target-lesion revascularization (9.6% versus 16.5%) in the only RCT (532). Subsequent noninferiority comparisons have demonstrated similar benefit with proximal occlusion and distal filter EPDs, with benefit limited to reduction in periprocedural MI (534, 535) (Section 5.10). Distal EPDs do not improve survival or reinfarction rates in patients undergoing native-artery PCI (524, 537).

## 5.6. Percutaneous Hemodynamic Support Devices: Recommendation

**CLASS IIb**

1. Elective insertion of an appropriate hemodynamic support device as an adjunct to PCI may be

reasonable in carefully selected high-risk patients. *(Level of Evidence: C)*

IABP counterpulsation is frequently used as an adjunct to PCI in hemodynamically unstable patients (538, 539). In single-center series, the routine prophylactic use of IABP during PCI in high-risk patients was associated with lower mortality and fewer major complications compared with rescue use of IABP (540, 541). In the only RCT in high-risk PCI patients (BCIS-1 [Balloon Pump-Assisted Coronary Intervention Study]) (542), there was no difference in the primary composite outcome between routine and provisional use of IABP. There were also no differences in major secondary endpoints except major procedural complications (e.g., prolonged hypotension, ventricular tachycardia/ fibrillation, cardiopulmonary arrest), which were lower in the routine IABP group. Bleeding and access site complication rates tended to be higher in the routine IABP group. The "bailout" rate of IABP insertion in the provisional IABP group was 12%, mostly for procedural hypotension (542). A meta-analysis of IABP therapy in patients with STEMI did not show improved outcomes with the use of IABP (434).

The Impella Recover LP 2.5 System (Abiomed, Aachen, Germany/Danvers, Massachusetts) is a 12.5 Fr catheter that is inserted percutaneously through a 13 Fr femoral artery sheath and placed across the aortic valve into the left ventricle, through which a transaxial blood pump provides flows of up to 2.5 L/min. This has been used in patients with cardiogenic shock (543, 544) as well as elective PCI (545). The hemodynamic effects of the Impella 2.5 have been studied in high-risk PCI patients, demonstrating beneficial LV unloading effect (decreased end-diastolic pressure and wall stress) with no change in global or systolic LV function (546). The PROTECT I (A Prospective Feasibility Trial Investigating the Use of the IMPELLA Recover LP 2.5 System in Patients Undergoing High-Risk PCI) trial in 20 patients undergoing high-risk PCI with the Impella 2.5 system concluded that this device was safe, easy to implant, and hemodynamically effective (547). The Europella Registry included 144 patients undergoing high-risk PCI and reported the safety, feasibility, and potential usefulness of the device and that RCTs were warranted (548). The randomized PROTECT II (A Prospective, Multicenter, Randomized Controlled Trial of the IMPELLA Recover LP 2.5 System Versus Intra Aortic Balloon Pump in Patients Undergoing Non Emergent High Risk PCI) trial, which was designed to demonstrate superiority ofImpella over IABP in terms of 1-month adverse events, was halted for futility after interim analysis of study results (549).

The TandemHeart (CardiacAssist, Inc, Pittsburgh, PA) is a left atrial to aorta catheter-based system that includes a centrifugal blood pump providing flows of up to 4 L/min. This device uses a 21 Fr cannula percutaneously inserted into the femoral vein for transseptal access of the left atrium with a 15 Fr catheter placed in the contralateral femoral artery and positioned above the aortic bifurcation. An extracorporeal pump then returns oxygenated blood from the left atrium to the arterial system, thereby unloading the left ventricle (550, 551). The hemodynamic effects have been studied in patients undergoing high-risk PCI (552). Several small studies have addressed the clinical efficacy of the TandemHeart in high-risk patients undergoing PCI (551, 553–556). In a single-center report of 68 patients undergoing high-risk PCI using either TandemHeart or Impella Recover 2.5, success rates (>90%) and vascular complications (7%) were similar (553).

High-risk patients may include those undergoing unprotected left main or last-remaining-conduit PCI, those with severely depressed EF undergoing PCI of a vessel supplying a large territory, and/or those with cardiogenic shock. Patient risk, hemodynamic support, ease of application/ removal, and operator and laboratory expertise are all factors involved in consideration of use of these devices. With devices that require large cannula insertion, the risk of vascular injury and related complications are important considerations regarding necessity and choice of device.

## 5.7. Interventional Pharmacotherapy

**5.7.1. Procedural Sedation.** The term *conscious sedation* is falling out of favor with the recognition that there is a spectrum of procedural sedation levels. Most patients undergoing PCI fall under the definition of either minimal sedation (anxiolysis) or moderate sedation (depressed consciousness with the ability to respond purposefully to verbal commands) (557). Nonetheless, an underlying principle of procedural sedation is that the physician should be prepared to manage one level of sedation deeper than the level intended. Thus, cardiologists should be cognizant of the principles of managing deep sedation (depressed consciousness without easy arousal that may require assistance in maintaining airway patency or spontaneous ventilation).

A full review of procedural sedation is beyond the scope of this document, but practice guidelines for sedation and analgesia by nonanesthesiologists, along with The Joint Commission standards, provides a reasonable framework. These guidelines outline several general principles (558, 559). Before the procedure the patient should be assessed for predictors of difficult

intubation or a history of prior difficult intubation. The patient should be monitored by someone dedicated to observing the level and effects of sedation. Level of consciousness, respiratory rate, blood pressure, cardiac rhythm, and oxygen saturation by pulse oximetry should be monitored. Available equipment should include a high-flow oxygen source, suction, airway management equipment, a defibrillator, resuscitation drugs, and reversal agents appropriate for the drugs being used. A free-flowing IV line should be established. Supplemental oxygen is usually administered, even in the absence of preexisting hypoxia, to provide a margin of safety.

Agents used for sedation are best given in incremental doses, allowing adequate time for the development and assessment of peak effect. The most commonly used agents are listed in Appendix 4F.

### 5.7.2. Oral Antiplatelet Therapy: Recommendations

**CLASS I**

1. Patients already taking daily aspirin therapy should take 81 mg to 325 mg before PCI (301–304). *(Level of Evidence: B)*
2. Patients not on aspirin therapy should be given non-enteric aspirin 325 mg before PCI (301, 303, 304). *(Level of Evidence: B)*
3. After PCI, use of aspirin should be continued indefinitely (560–563). *(Level of Evidence: A)*
4. A loading dose of a $P2Y_{12}$ receptor inhibitor should be given to patients undergoing PCI with stenting (564–568) *(Level of Evidence: A)*. Options include
   a. Clopidogrel 600 mg (ACS and non-ACS patients) (564–566) *(Level of Evidence: B)*
   b. Prasugrel 60 mg (ACS patients) (567) *(Level of Evidence: B)*
   c. Ticagrelor 180 mg (ACS patients) (568) *(Level of Evidence: B)*
5. The loading dose of clopidogrel for patients undergoing PCI after fibrinolytic therapy should be 300 mg within 24 hours and 600 mg more than 24 hours after receiving fibrinolytic therapy (565, 569). *(Level of Evidence: C)*
6. Patients should be counseled on the need for and risks of DAPT before placement of intracoronary stents, especially DES, and alternative therapies should be pursued if patients are unwilling or unable to comply with the recommended duration of DAPT (208). *(Level of Evidence: C)*
7. The duration of $P2Y_{12}$ inhibitor therapy after stent implantation should generally be as follows:
   a. In patients receiving a stent (BMS or DES) during PCI for ACS, $P2Y_{12}$ inhibitor therapy should be given for at least 12 months. Options include clopidogrel 75 mg daily (570), prasugrel 10 mg daily (567), and ticagrelor 90 mg twice daily (568). *(Level of Evidence: B)*
   b. In patients receiving DES for a non-ACS indication, clopidogrel 75 mg daily should be given for at least 12 months if patients are not at high risk of bleeding (208, 212, 571). *(Level of Evidence: B)*
   c. In patients receiving BMS for a non-ACS indication, clopidogrel should be given for a minimum of 1 month and ideally up to 12 months (unless the patient is at increased risk of bleeding; then it should be given for a minimum of 2 weeks) (208, 572). *(Level of Evidence: B)*

**CLASS IIa**

1. After PCI, it is reasonable to use aspirin 81 mg per day in preference to higher maintenance doses (302, 573–576). *(Level of Evidence: B)*
2. If the risk of morbidity from bleeding outweighs the anticipated benefit afforded by a recommended duration of $P2Y_{12}$ inhibitor therapy after stent implantation, earlier discontinuation (e.g., $<12$ months) of $P2Y_{12}$ inhibitor therapy is reasonable. *(Level of Evidence: C)*

**CLASS IIb**

1. Continuation of DAPT beyond 12 months may be considered in patients undergoing DES implantation (567, 568). *(Level of Evidence: C)*

**CLASS III: HARM**

1. Prasugrel should not be administered to patients with a prior history of stroke or transient ischemic attack (567). *(Level of Evidence: B)*

Aspirin reduces the frequency of ischemic complications after PCI. Although the minimum effective aspirin dosage in the setting of PCI has not been established, aspirin 325 mg given at least 2 hours, and preferably 24 hours, before PCI is recommended (302, 303), after which aspirin 81 mg daily should be continued indefinitely.

Several investigations have explored various loading doses of clopidogrel before or during PCI. Compared with a 300-mg loading dose, doses of either 600 mg or 900 mg achieve greater degrees of platelet inhibition with fewer low responders (577). A meta-analysis of 7 studies that included 25,383 patients undergoing PCI demonstrated that intensified loading of clopidogrel with 600 mg reduces the rate of MACE without an increase in major bleeding compared with 300 mg (578). Another study suggested that a 600-mg loading dose of clopidogrel is associated with improvements in procedural angiographic endpoints and 1-year clinical outcomes in patients with STEMI who undergo

primary PCI compared with a 300-mg dose (579). There is no benefit with increasing the loading dose to 900 mg compared with 600 mg (577). Clopidogrel 75 mg daily should be given for a minimum of 4 weeks after balloon angioplasty or BMS implantation (a minimum of 2 weeks if increased bleeding risk is present) (580) and for at least 12 months after DES implantation (unless the risk of bleeding outweighs the anticipated benefit). Patients should be counseled on the need for and risks of DAPT before stent implantation, especially DES implantation, and alternative therapies pursued (BMS or balloon angioplasty) if they are unwilling or unable to comply with the recommended duration of DAPT.

The efficacy of clopidogrel pretreatment remains controversial. Although some studies have suggested that pretreatment with clopidogrel is associated with decreased platelet aggregation and a significantly lower incidence of periprocedural MI after elective PCI, others have suggested no benefit to pretreatment compared with administration of the drug in the catheterization laboratory (572, 581, 582).

When prasugrel was compared with clopidogrel in patients with ACS in TRITON-TIMI 38 (Trial to Assess Improvement in Therapeutic Outcomes by Optimizing Platelet Inhibition with Prasugrel-Thrombolysis In Myocardial Infarction), prasugrel was associated with a significant 2.2% reduction in absolute risk and a 19% reduction in relative risk in the composite endpoint of cardiovascular death, nonfatal MI, or nonfatal stroke, and a significant increase in the rate of TIMI major hemorrhage (1.8% versus 2.4%) (567). Prasugrel is contraindicated in patients with a history of transient ischemic attack or stroke. Patients weighing <60 kg have an increased risk of bleeding on the 10 mg daily maintenance dose. The package insert suggests that consideration should be given to lowering the maintenance dose to 5 mg daily, although the effectiveness and safety of the 5-mg dose has not been studied. Prasugrel is not recommended for patients >75 years of age because of the increased risk of fatal and intracranial bleeding and lack of benefit, except in patients with diabetes or a history of prior MI. Prasugrel should not be started in patients likely to undergo urgent CABG. Prasugrel has not been studied in elective PCI, and thus no recommendation can be made regarding its use in this clinical setting.

Ticagrelor reversibly binds the $P2Y_{12}$ receptor. Unlike clopidogrel or prasugrel, ticagrelor is not a thienopyridine. It also does not require metabolic conversion to an active metabolite. Compared with clopidogrel in patients with ACS in the PLATO (Platelet Inhibition and Patient Outcomes) trial, ticagrelor was associated with a significant 1.9% reduction in absolute risk and a 16% reduction in relative risk in the primary composite endpoint of vascular death, nonfatal MI, or nonfatal stroke (568). Importantly, a significant reduction in vascular mortality and all-cause mortality was observed. Although CABG-related bleeding was not significantly increased with ticagrelor compared with clopidogrel, a significantly greater incidence of major bleeding was observed in patients not undergoing CABG. Ticagrelor was associated with higher rates of transient dyspnea and bradycardia compared with clopidogrel, although only a very small percentage of patients discontinued the study drug because of dyspnea. Based on post hoc analysis of the PLATO study, specifically the results in the U.S. patient cohort, a black box warning states that maintenance doses of aspirin above 100 mg reduce the effectiveness of ticagrelor and should be avoided. After any initial dose, ticagrelor should be used with aspirin 75 mg to 100 mg per day (583). Given the twice-daily dosing and reversible nature of the drug, patient compliance may be a particularly important issue to consider and emphasize. Ticagrelor has not been studied in elective PCI or in patients who received fibrinolytic therapy; thus, no recommendations about its use in these clinical settings can be made.

### 5.7.3. IV Antiplatelet Therapy: Recommendations STEMI

#### CLASS IIa

1. In patients undergoing primary PCI treated with UFH, it is reasonable to administer a GP IIb/IIIa inhibitor (abciximab, double-bolus eptifibatide, or high-bolus dose tirofiban), whether or not patients were pretreated with clopidogrel (584–590). *(For GP IIb/IIIa inhibitor administration in patients not pretreated with clopidogrel, Level of Evidence: A; for GP IIb/IIIa inhibitor administration in patients pretreated with clopidogrel, Level of Evidence: C)*

#### CLASS IIb

1. In patients undergoing primary PCI with abciximab, it may be reasonable to administer intracoronary abciximab (589, 591–604). *(Level of Evidence: B)*

#### CLASS III: NO BENEFIT

1. Routine precatheterization laboratory (e.g., ambulance or emergency department) administration of GP IIb/IIIa inhibitors as part of an upstream strategy for patients with STEMI undergoing PCI is not beneficial (605–612). *(Level of Evidence: B)*

## UA/NSTEMI

### CLASS I

1. In UA/NSTEMI patients with high-risk features (e.g., elevated tro-ponin level) not treated with bivalirudin and not adequately pre-treated with clopidogrel, it is useful at the time of PCI to administer a GP IIb/IIIa inhibitor (abciximab, double-bolus eptifibatide, or high-bolus dose tirofiban) in patients treated with UFH (613–618). *(Level of Evidence: A)*

### CLASS IIa

1. In UA/NSTEMI patients with high-risk features (e.g., elevated troponin level) treated with UFH and adequately pretreated with clopidogrel, it is reasonable at the time of PCI to administer a GP IIb/IIIa inhibitor (abciximab, double-bolus eptifibatide, or high-bolus dose tirofiban) (616, 619). *(Level of Evidence: B)*

## SIHD

### CLASS IIa

1. In patients undergoing elective PCI treated with UFH and not pretreated with clopidogrel, it is reasonable to administer a GP IIb/IIIa inhibitor (abciximab, double-bolus eptifibatide, or high-bolus dose tirofiban) (619–621). *(Level of Evidence: B)*

### CLASS IIb

1. In patients undergoing elective PCI with stent implantation treated with UFH and adequately pretreated with clopidogrel, it might be reasonable to administer a GP IIb/IIIa inhibitor (abciximab, double-bolus eptifibatide, or high-bolus dose tirofiban) (619, 622–624). *(Level of Evidence: B)*

*See Online Data Supplement 24 for additional data regarding IV antiplatelet therapy.*

In the era before DAPT, trials of adequately dosed GP IIb/IIIa inhibitors in patients undergoing balloon angioplasty and coronary stent implantation demonstrated a reduction in the incidence of composite ischemic events with GP IIb/IIIa treatment, primarily through a reduction of enzymatically defined MI (613, 615, 618, 620, 621). Earlier RCTs of GP IIb/IIIa inhibitors were generally conducted in patients treated with UFH. In some trials, use of GP IIb/IIIa inhibitors are associated with some increased bleeding risk, and trials of these agents have generally excluded patients at high risk of bleeding (e.g., coagulopathy) (584, 587–589, 613–618, 620–626). Thus, recommendations about use of GP IIb/IIIa inhibitors are best construed as applying to those patients not at high risk of bleeding complications. Abciximab, double-bolus eptifibatide (180 mcg/kg bolus followed 10 minutes later by a second 180 mcg/kg bolus), and high-bolus dose tirofiban (25 mcg/kg) all result in a high degree of platelet inhibition (627–629), have been demonstrated to reduce ischemic complications in patients undergoing PCI (608, 609, 613, 615, 618–621), and appear to lead to comparable angiographic and clinical outcomes (630, 631).

Trials of GP IIb/IIIa inhibitors in the setting of STEMI and primary PCI were conducted in the era before routine stenting and DAPT. The results of these and more recent trials, as well as several meta-analyses, have yielded mixed results (584–590). Therefore, it is reasonable to administer GP IIb/IIIa inhibitors in patients with STEMI undergoing PCI, although these agents cannot be definitively recommended as routine therapy. These agents might provide more benefit in selective use, such as in patients with large anterior MI and/or large thrombus burden. Trials of precatheterization laboratory (e.g., ambulance or emergency room) administered GP IIb/IIIa inhibitors in patients with STEMI undergoing PCI, with or without fibrinolytic therapy, have generally shown no clinical benefit, and GP IIb/IIIa inhibitor use in this setting may be associated with an increased risk of bleeding (605–610, 612). Studies of intracoronary GP IIb/IIIa inhibitor administration (predominantly using abciximab) consist of several small RCTs, retrospective analyses, retrospective and prospective registries, cohort analyses, and case reports. Although most of these published studies have reported some benefit of intracoronary administration in terms of acute angiographic parameters, infarct size, left ventricle myocardial salvage, and composite clinical endpoints, several other studies have not detected any benefit with intracoronary administration (589, 591–604).

Trials of GP IIb/IIIa inhibitors in patients with UA/NSTEMI undergoing PCI demonstrated reduced ischemic outcomes, particularly in those with high-risk features such as positive biomarkers. Most trials were conducted in a prior PCI era and without $P2Y_{12}$ inhibitor pretreatment (613, 615, 618, 632, 633), although several trials have also demonstrated benefit in patients with high-risk features pretreated with clopidogrel (616, 619). In most older studies of stable patients undergoing balloon angioplasty or coronary stenting, treatment with GP IIb/IIIa inhibitors resulted in a reduction of composite ischemic events, primarily enzymatically defined MI (613–618, 620, 621, 634, 635). More contemporary trials of patients pretreated with a thienopyridine have not demonstrated any benefit with GP IIb/IIIa inhibitor therapy in patients with stable symptoms undergoing elective PCI (619, 622–624).

**TABLE 12. Dosing of Parenteral Anticoagulants During PCI**

| Drug | Patient Has Received Prior Anticoagulant Therapy | Patient Has Not Received Prior Anticoagulant Therapy |
|---|---|---|
| UFH | • IV GPI planned: additional UFH as needed (e.g., 2,000 to 5,000 U) to achieve an ACT of 200 to 250 s<br>• No IV GPI planned: additional UFH as needed (e.g., 2,000 to 5,000 U) to achieve an ACT of 250 to 300 s for HemoTec, 300 to 350 s for Hemochron | • IV GPI planned: 50 to 70 U/kg bolus to achieve an ACT of 200 to 250 s<br>• No IV GPI planned: 70 to 100 U/kg bolus to achieve target ACT of 250 to 300 s for HemoTec, 300 to 350 s for Hemochron |
| Enoxaparin | • For prior treatment with enoxaparin, if the last SC dose was administered 8 to 12 h earlier or if only 1 SC dose of enoxaparin has been administered, an IV dose of 0.3 mg/kg of enoxaparin should be given.<br>• If the last SC dose was administered within the prior 8 h, no additional enoxaparin should be given. | 0.5 to 0.75 mg/kg IV bolus |
| Bivalirudin | For patients who have received UFH, wait 30 min, then give 0.75 mg/kg IV bolus, then 1.75 mg/kg per h IV infusion. | 0.75 mg/kg bolus, 1.75 mg/kg per h IV infusion |
| Fondaparinux | For prior treatment with fondaparinux, administer additional IV treatment with an anticoagulant possessing anti-IIa activity, taking into account whether GPI receptor antagonists have been administered. | N/A |
| Argatroban | 200 mcg/kg IV bolus, then 15 mcg/kg per min IV infusion | 350 mcg/kg bolus, then 15 mcg/kg per min IV infusion |

ACT indicates activated clotting time; IV, intravenous; GPI, glycoprotein inhibitor; N/A, not applicable; PCI, percutaneous coronary intervention; SC, subcutaneous; and UFH, unfractionated heparin.

### 5.7.4. Anticoagulant Therapy

#### *5.7.4.1. USE OF PARENTERAL ANTICOAGULANTS DURING PCI: RECOMMENDATION*

**CLASS I**

1. An anticoagulant should be administered to patients undergoing PCI. *(Level of Evidence: C)*

Anticoagulant therapy prevents thrombus formation at the site of arterial injury, on the coronary guidewire, and in the catheters used for PCI (8). With rare exceptions (636), all PCI studies have used some form of anticoagulant. It is the consensus of the writing committee that PCI be performed with the use of some form of anticoagulant therapy. Suggested dosing regimens of parenteral agents used in PCI are given in
T12 Table 12. Recommendations for antiplatelet and antith-
T13 rombin pharmacotherapy in PCI are given in Table 13.

#### *5.7.4.2. UFH: RECOMMENDATION*

**CLASS I**

1. Administration of IV UFH is useful in patients undergoing PCI. *(Level of Evidence: C)*

As the only anticoagulant available for PCI for many years, UFH became the standard of care by default (8). The dose of UFH for PCI has been based on empiricism and experience from RCTs. Suggested UFH dosing regimens are given in Table 12. When UFH is used during PCI, most cardiologists assess the degree of anticoagulation by measuring the activated clotting time. Although measurements are useful to show that an anti-IIa anticoagulant has been given, the value of the activated clotting time in current practice has been questioned. Although studies in the balloon angioplasty era did demonstrate a relationship between activated clotting time levels and ischemic complications (653–655), more recent analyses from the coronary stent era have not found a clear relationship between activated clotting time and outcomes (349, 656, 657). There may, however, be a modest relation between bleeding and activated clotting time levels (349, 657). In addition, not only are there differences between activated clotting time levels measured by Hemochron and HemoTec devices, but both devices have less than optimal precision (658). Thus, although traditional target activated clotting time levels are included in this document, the utility of measured activated clotting time levels in current practice should be considered uncertain.

Most cardiologists remove femoral sheaths when the activated clotting time falls to <150 to 180 seconds or when the activated partial thromboplastin time falls to <50 seconds. Full-dose anticoagulation is no longer used after successful PCI procedures. Almost all large clinical trials have enrolled patients who underwent transfemoral PCI, but recent small studies assessing the transradial approach have used similar doses of UFH (659) and similar activated clotting time target levels (660).

#### *5.7.4.3. ENOXAPARIN: RECOMMENDATIONS*

**CLASS I**

1. An additional dose of 0.3 mg/kg IV enoxaparin should be administered at the time of PCI to patients who have received fewer than 2 therapeutic

**TABLE 13. Recommendations for Antiplatelet and Antithrombin Pharmacotherapy at the Time of PCI**

| | COR | LOE | References | Relevant Caveats/Comments |
|---|---|---|---|---|
| **Oral antiplatelet agents** | | | | |
| Aspirin | I | B | (301–304, 560–563) | N/A |
| $P2Y_{12}$ inhibitors | I | A | (564–568) | • A loading dose of a $P2Y_{12}$ inhibitor should be given to patients undergoing PCI with stenting. |
| • Clopidogrel | I | B | (564–566) | • 600-mg loading dose now recommended. |
| • Prasugrel | I | B | (567) | • Contraindicated in patients with prior TIA/CVA: ***Class III: Harm; LOE: B.***<br>• Generally not recommended in patients >75 y of age (Section 5.7.2).<br>• Consideration of using a lower maintenance dose in patients weighing <60 kg suggested by FDA (Section 5.7.2). |
| • Ticagrelor | I | B | (568) | • Issues of patient compliance may be especially important. |
| **GP IIb/IIIa inhibitors (abciximab, double-bolus eptifibatide, high-bolus dose tirofiban)** | | | | |
| • No clopidogrel pretreatment | STEMI: IIa | A | (584–590) | • UA/NSTEMI recommendation applies to those with high-risk features.<br>• GPI use in STEMI may be most appropriate in those with large anterior MI and/or large thrombus burden.<br>• IC abciximab administration in STEMI: ***Class IIb; LOE: B.***<br>• Precatheterization laboratory GPI administration in STEMI: ***Class III: No Benefit; LOE: B.***<br>• Recommendations apply to those not at high risk for bleeding complications. |
| | UA/NSTEMI: I | A | (613–618) | |
| | SIHD: IIa | B | (619–621) | |
| • Clopidogrel pretreatment | STEMI: IIa | C | (584–590) | |
| | UA/NSTEMI: IIa | B | (616, 619) | |
| | SIHD: IIb | B | (619, 622–624) | |
| **Antithrombin agents** | | | | |
| UFH | I | C | N/A | • Dosing based on whether or not GPI was administered. |
| Bivalirudin | I | B | (625, 637–645) | • Lower bleeding rates associated with bivalirudin are mitigated when used concomitantly with a GPI. |
| Enoxaparin | IIb | B | (646–650) | • Recommendations apply to administration of IV enoxaparin at the time of PCI for those who have not received prior antithrombin therapy or who have received "upstream" SC enoxaparin therapy for UA/NSTEMI.<br>• An additional dose of 0.3 mg/kg IV enoxaparin should be administered at the time of PCI to patients who have received <2 therapeutic SC doses (e.g., 1 mg/kg) or received the last SC enoxaparin dose 8 to 12 h before PCI: ***Class I; LOE: B.***<br>• Patients treated with SC enoxaparin within 12 h of PCI should not receive additional treatment with UFH during PCI ("stacking"): ***Class III: Harm; LOE: B.*** |
| **Anti-Xa inhibitors** | | | | |
| Fondaparinux | III: Harm | C | (651, 652) | • PCI should not be performed with fondaparinux as the sole antithrombin agent in patients treated with upstream fondaparinux. An additional anticoagulant with anti-IIa activity should be administered. |

ACT indicates activated clotting time; COR, class of recommendation; CVA, cerebrovascular accident; FDA, U.S. Food and Drug Administration; GP, glycoprotein; GPI, glycoprotein IIb/IIIa inhibitor; IC, intracoronary; IV, intravenous; LOE, level of evidence; MI, myocardial infarction; N/A, not applicable; PCI, percutaneous coronary intervention; SC, subcutaneous; SIHD, stable ischemic heart disease; STEMI, ST-elevation myocardial infarction; TIA, transient ischemic attack; UA/NSTEMI, unstable angina/non-ST-elevation myocardial infarction; and UFH, unfractionated heparin.

subcutaneous doses (e.g., 1 mg/kg) or received the last subcutaneous enoxaparin dose 8 to 12 hours before PCI (649, 661–664). *(Level of Evidence: B)*

## CLASS IIb

1. Performance of PCI with enoxaparin may be reasonable in patients either treated with "upstream" subcutaneous enoxaparin for UA/ NSTEMI or who have not received prior antithrombin therapy and are administered IV enoxaparin at the time of PCI (646–650). *(Level of Evidence: B)*

## CLASS III: HARM

1. UFH should not be given to patients already receiving therapeutic subcutaneous enoxaparin (649, 665). *(Level of Evidence: B)*

Trials of enoxaparin relevant to PCI include both studies in which patients with UA/NSTEMI were started on upstream subcutaneous enoxaparin therapy that was continued up to the time of PCI and trials in which patients who had received no prior antithrombin therapy were treated with IV enoxaparin at the time of PCI (646–650, 661–663, 666). In the SYNERGY

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(Superior Yield of the New strategy of Enoxaparin, Revascularization and Glycoprotein IIb/IIIa Inhibitors) trial, there was an increased incidence of bleeding in those treated with upstream enoxaparin, later attributed at least in part to the fact that some patients being treated with enoxaparin were also administered UFH at the time of PCI (so-called "stacking") (649, 665). Almost all patients undergoing elective PCI who are administered enoxaparin (0.5 mg/kg IV) will have a peak anti-Xa level > 0.5 IU/mL (647). Most clinical studies have used a regimen of 0.5 to 0.75 mg IV (667). Several studies have used this regimen in elective patients and those with STEMI (646). Patients who have received multiple doses of subcutaneously administered enoxaparin who undergo PCI within 8 hours of the last subcutaneous dose generally have adequate degrees ofanticoagulation to undergo PCI, but the degree of anticoagulation may diminish in the 8- to 12-hour period after the last subcutaneous dose. In such patients, as well as in patients who have received only 1 subcutaneous dose of enoxaparin, the addition of enoxaparin (0.3 mg/kg IV) at the time of PCI provides an additional degree of anticoagulation and has become standard practice (648, 661–664). Patients who undergo PCI >12 hours after the last subcutaneous dose are usually treated with full-dose de novo anticoagulation using an established regimen (e.g., full-dose UFH or bivalirudin).

#### *5.7.4.4. BIVALIRUDIN AND ARGATROBAN: RECOMMENDATIONS*

**CLASS I**

1. For patients undergoing PCI, bivalirudin is useful as an anticoagulant with or without prior treatment with UFH (625, 637–645). *(Level of Evidence: B)*
2. For patients with heparin-induced thrombocytopenia, it is recommended that bivalirudin or argatroban be used to replace UFH (668, 669). *(Level of Evidence: B)*

Bivalirudin is being increasingly used in clinical practice (670) as evidence emerges from clinical trials across the spectrum of CAD (638–644). In individual trials and meta-analyses, the use of bivalirudin has been associated with reduced bleeding compared with UFH plus a GP IIb/IIIa inhibitor, although concerns about ischemic events have emerged in individual studies (625, 637–645). Longer-term follow-up of the major bivalirudin trials, however, suggests that small or nominal increases in ischemic events have not translated into long-term consequences and that treatment at or before the time of PCI with clopidogrel may mitigate any increased early ischemic risk (637–645). Thus, a treatment strategy of bivalirudin compared with heparin (or enoxaparin) plus GP IIb/IIIa inhibitor appears to lower the risk of bleeding complications. The lower bleeding rates associated with bivalirudin (compared with UFH plus a GP IIb/IIIa inhibitor) are mitigated when used concomitantly with a GP IIb/IIIa inhibitor (639). A strategy of use of provisional GP IIb/IIIa inhibitor in patients treated with bivalirudin is widely accepted (639, 643, 644).

In patients with heparin-induced thrombocytopenia (671, 672), a direct-thrombin inhibitor (argatroban) has been approved as an alternative parenteral anticoagulant to be used during PCI (668). The use of bivalirudin for heparin-induced thrombocytopenia has been reported as well (669).

#### *5.7.4.5. FONDAPARINUX: RECOMMENDATION*

**CLASS III: HARM**

1. Fondaparinux should not be used as the sole anticoagulant to support PCI. An additional anticoagulant with anti-IIa activity should be administered because of the risk of catheter thrombosis (651, 652). *(Level of Evidence: C)*

Fondaparinux, a pentasaccharide, is an indirect factor Xa inhibitor but has no effect on thrombin. On the basis of reports of catheter thrombosis when fondaparinux is used alone during primary PCI (651, 652), the writing committee recommends that an anticoagulant with anti-IIa activity be used in patients undergoing PCI (651, 652). One study suggested that clinical outcomes were better when fondaparinux was replaced during PCI by a standard dose of UFH (85 U/kg, 60 U/kg with GP IIb/IIIa inhibitors) rather than by a low dose (50 U/kg) (673).

### 5.7.5. No-Reflow Pharmacological Therapies: Recommendation

**CLASS IIa**

1. Administration of an intracoronary vasodilator (adenosine, calcium channel blocker, or nitroprusside) is reasonable to treat PCI-related no-reflow that occurs during primary or elective PCI (674–689). *(Level of Evidence: B)*

*See Online Data Supplement 25 for additional data regarding no-reflow therapies.*

No-reflow is a broad term used to describe 2 distinct entities. The first is "interventional no-reflow" attributed to vasospasm and downstream embolization of debris dislodged during PCI, usually in the setting of atherectomy, thrombus, or degenerated SVGs. The second entity is suboptimal reperfusion of an infarct artery, attributed to endothelial injury in addition to embolization and vasospasm. Angiographic no-reflow is the most obvious sequela of the same

pathophysiology that produces abnormal TIMI frame counts and TIMI blush scores, so these measures are often used interchangeably. The principal clinical sequela of no-reflow is myonecrosis. Efforts to prevent no-reflow overlap with strategies to reduce MI size and prevent periprocedural MI.

In the setting of MI, several drugs have been shown to reduce the incidence of no-reflow. Evidence for a beneficial effect on no-reflow exists for abciximab, adenosine, nicorandil, and nitroprusside (674, 675, 680, 682, 683, 685, 687, 688, 690). However, their adoption into clinical practice has depended on their effect on hard clinical endpoints such as infarct size and mortality. These benefits, and consequentially the use of these agents, have been limited.

For interventional no-reflow, several therapies have proven effective after no-reflow has started. These include adenosine, calcium channel blockers, and nitroprusside (676, 678, 679, 681, 684, 686, 689, 691). There are fewer data to support the use of epinephrine (692). No-reflow after rotational atherectomy was less common with nicorandil compared with verapamil infusions in 3 studies (693–695), and an infusion of nicorandil/adenosine during rotational atherectomy prevented no-reflow in 98% of patients (677). Trials of pre-PCI intracoronary verapamil, nicardipine, and adenosine have reported them to be safe but have not demonstrated reductions in post-PCI no-reflow (696–698). Mechanical devices to prevent interventional and myocardial infarct reperfusion no-reflow are also covered in Section 5.5.5.

## 5.8. PCI in Specific Anatomic Situations

### 5.8.1. CTOs: Recommendation

**CLASS IIa**

1. PCI of a CTO in patients with appropriate clinical indications and suitable anatomy is reasonable when performed by operators with appropriate expertise (699–703). *(Level of Evidence: B)*

*See Online Data Supplements 26 to 28 for additional data regarding CTOs.*

Approximately one third of patients with suspected CAD who undergo coronary angiography have $\geq$ 1 CTO (defined as occlusion of a duration >3 months) (704). Although stress-induced ischemia can be elicited in the majority of patients with CTO despite the presence of collaterals (706, 707), only 8% to 15% of these patients undergo PCI (708, 709). The disparity between the frequency of CTOs and percutaneous treatment underscores not only the technical and procedural complexities of this lesion subtype but also the clinical uncertainties regarding which patients benefit from CTO revascularization. Studies suggest that patients who undergo successful, rather than failed, recanalization of CTOs fare better in terms of symptom status and need for CABG (699), as well as LV function (710). However, the impact of successful CTO recanalization on long-term survival remains unsettled (701, 711, 712). The decision to try PCI for a CTO (versus continued medical therapy or surgical revascularization) requires an individualized risk-benefit analysis encompassing clinical, angiographic, and technical considerations. Consultation with a cardiothoracic surgeon and use of the Heart Team approach in cases of CTO in which a large territory is subtended and/or multivessel CAD is present are frequently done.

From a technical perspective, successful recanalization of CTOs has steadily increased over the years because of adoption of dedicated wires, novel techniques, and increased operator experience (702). In patients who undergo successful CTO recanalization, use of DES significantly reduces the need for repeated target-vessel revascularization, compared with BMS and balloon angioplasty, without compromising safety (703, 713–719).

### 5.8.2. SVGs: Recommendations

**CLASS I**

1. EPDs should be used during SVG PCI when technically feasible (532–535). *(Level of Evidence: B)*

**CLASS III: NO BENEFIT**

1. Platelet GP IIb/IIIa inhibitors are not beneficial as adjunctive therapy during SVG PCI (212, 571, 720, 721). *(Level of Evidence: B)*

**CLASS III: HARM**

1. PCI is not recommended for chronic SVG occlusions (722–724). *(Level of Evidence: C)*

*See Online Data Supplement 29 for additional data regarding SVG.*

Adverse cardiac event rates are doubled after SVG PCI compared with native-artery PCI (536). A distal balloon occlusion EPD decreased the 30-day composite outcome of death, MI, emergency CABG, or target-lesion revascularization (9.6% versus 16.5%) in the only RCT comparing embolic protection with no embolic protection (532). Subsequent noninferiority comparisons have demonstrated similar benefit with proximal occlusion and distal filter EPDs, with benefit limited to reduction in periprocedural MI (534, 535). PCI in chronic SVG occlusion is associated with low success rates, high complication rates, and poor long-term patency rates (722, 723). Restenosis and target-vessel revascularization rates are lower with DES compared with BMS, although mortality and stent thrombosis rates are similar (725). The use of covered stents is

limited to the treatment of the uncommon complication of SVG perforation. Balloon angioplasty for distal SVG anastomotic stenoses has low restenosis rates (724), so stenting is commonly reserved at this location for suboptimal balloon angioplasty results or restenosis. Routine GP IIb/IIIa inhibitor therapy has not proven beneficial in SVG PCI (720). Fibrinolytic therapy is no longer used for thrombus-containing lesions, but rheolytic or manual aspiration thrombectomy is sometimes employed.

#### 5.8.3. Bifurcation Lesions: Recommendations

**CLASS I**

1. Provisional side-branch stenting should be the initial approach in patients with bifurcation lesions when the side branch is not large and has only mild or moderate focal disease at the ostium (726–729). *(Level of Evidence: A)*

**CLASS IIa**

1. It is reasonable to use elective double stenting in patients with complex bifurcation morphology involving a large side branch where the risk of side-branch occlusion is high and the likelihood of successful side-branch reaccess is low (730–733). *(Level of Evidence: B)*

Side-branch occlusion or severe stenosis after stenting the main artery in coronary bifurcation PCI occurs in 8% to 80% of unselected patients (732, 734). The frequency of side-branch occlusion is related to complex bifurcation morphology (severe and/or long side-branch ostial stenosis, large plaque burden in the side-branch ostium, and/or unfavorable side-branch angulation) (732, 735, 736). Side-branch occlusion after PCI is associated with Q-wave and non-Q-wave MI (734, 735). Therefore, preservation of physiologic flow in the side branch after PCI is important (736). There are 2 bifurcation PCI strategies: provisional stenting (stenting the main vessel with additional balloon angioplasty or stenting of the side branch only in the case of an unsatisfactory result) and elective double stenting of the main vessel and the side branch. When there is an unsatisfactory result in the side branch from the provisional stent in the main branch, sometimes balloon angioplasty alone in the side branch will improve the result and stenting the side branch is not necessary. Some experts have suggested that using the side-branch balloon alone will distort the main branch stent and thus this always needs to be a kissing balloon inflation.

In patients with low-risk bifurcation lesions (minimal or moderate ostial side-branch disease [<50% diameter stenosis] of focal length [5 to 6 mm]), provisional stenting yields similar clinical outcome to elective double stenting, with lower incidence of periprocedural biomarker elevation (726–729). Conversely, in patients with high-risk bifurcations, elective double stenting is associated with a trend toward higher angiographic success rates, lower in-hospital MACE, and better long-term patency of the side branch compared with provisional stenting (193). Culotte, Crush, and T-stent techniques have been studied in RCTs (726–729, 737). Use of DES yields better outcomes than BMS (738), and sirolimus-eluting stents yield better outcomes than paclitaxel-eluting stents (739–742). Clinical evidence supports the use of final kissing balloon inflation after elective double stenting (743).

#### 5.8.4. Aorto-Ostial Stenoses: Recommendations

**CLASS IIa**

1. IVUS is reasonable for the assessment of angiographically indeterminant left main CAD (744, 745). *(Level of Evidence: B)*
2. Use of DES is reasonable when PCI is indicated in patients with an aorto-ostial stenosis (746, 747). *(Level of Evidence: B)*

Aorto-ostial stenoses of native coronary arteries (left main coronary artery and right coronary artery) are most commonly caused by atherosclerosis, but they can also occur in patients with congenital malformations, radiation exposure, vasculitides, and aortic valve replacement. The angiographic diagnosis of aorto-ostial disease is not always straightforward, especially in the ostial left main coronary artery, where eccentricity and angulation can be mistaken for stenosis (490, 748). Aorto-ostial disease can be evaluated with IVUS (744, 745); FFR (with IV adenosine) has also been used (484, 749). The treatment of aorto-ostial stenoses with balloon angioplasty has been associated with lower procedural success rates, more frequent in-hospital complications, and a greater likelihood of late restenosis (750). Although atherectomy devices (directional atherectomy, rotational atherectomy, and excimer laser angioplasty) have improved acute angiographic results over balloon angioplasty, restenosis has remained a limitation (751). In patients with aorto-ostial stenoses undergoing PCI, use of DES has been shown to reduce restenosis compared with BMS (176, 746, 752).

#### 5.8.5. Calcified Lesions: Recommendation

**CLASS IIa**

1. Rotational atherectomy is reasonable for fibrotic or heavily calcified lesions that might not be crossed by a balloon catheter or adequately dilated before stent implantation (514, 515, 520). *(Level of Evidence: C)*

The presence of coronary calcification is a marker for significant CAD and increased long-term mortality

(753). Calcified coronary lesions are not a homogenous entity, and their response to PCI varies according to severity of calcification. Severely calcified lesions respond poorly to balloon angioplasty (230, 754), and when stents are implanted in such lesions, an incomplete and asymmetrical stent expansion occurs in the majority of cases (755). Attempts to remedy the underexpanded stents with aggressive high-pressure balloon dilatation may result in coronary artery rupture (756). All the published prospective RCTs that evaluated the various catheter-based coronary interventional devices excluded patients with severely calcified lesions. Therefore, the evidence base for best PCI practices in patients with severely calcified lesions comes from nonrandomized single-arm studies. Among the various adjunct devices that are used to facilitate PCI in severely calcified lesions, only rotational atherectomy has been shown to have potential utility (514, 757). Although rotational atherectomy increases the chances of angiographic success in severely calcified lesions, its use as a stand-alone device has not led to a reduction in restenosis (520, 521, 758). Several retrospective studies have shown that in patients with severely calcified lesions, the use of rotational atherectomy before implantation of BMS (514)or DES(515)is safe. Intermediate-term patency is more favorable with DES than BMS (759).

## 5.9. PCI in Specific Patient Populations

Several specific patient subsets with higher risks for PCI, and at times higher absolute clinical benefit, have traditionally been underrepresented in RCTs and are described below.

**5.9.1. Elderly.** The elderly constitute a growing proportion of patients considered for PCI (760). In 1 series examining trends over a 25-year period, the proportion of patients undergoing PCI who were 75 to 84 years of age doubled, and those >85 years of age increased 5-fold (761). Age is one of the strongest predictors of mortality after PCI (762), and elderly patients present with a substantially higher clinical risk profile (760). Nonetheless, the angiographic success rates and clinical benefits of PCI in elderly patients are similar to younger patients (763). In fact, the absolute benefit is typically greater because of higher absolute risk of adverse outcomes in these patients (764). However, increased risks of complications such as major bleeding and stroke mandate careful consideration of the benefits and risks of PCI in elderly patients (373).

**5.9.2. Diabetes.** Patients with diabetes represent approximately one third of patients undergoing PCI in the United States. Restenosis, which had been a major limitation of PCI, is significantly reduced in patients with diabetes treated with DES compared with BMS (471). However, there are no definitive data from RCTs supporting different clinical outcomes for different types of DES (765), with a recent meta-analysis of 35 RCTs involving 3,852 patients with diabetes unable to find major differences between patients receiving sirolimus-eluting stents or paclitaxel-eluting stents (472). Numerous analyses and clinical studies have evaluated how the presence of diabetes may impact the clinical outcome of patients undergoing PCI and decisions about PCI or CABG (14, 116, 163, 164, 186). These studies and the approach to revascularization decisions in diabetes are addressed in Section 4.

Diabetes is an important risk factor for the development of contrast-induced AKI. Strategies to reduce the risk of contrast-induced AKI in patients with diabetes are discussed in Section 4.4.

**5.9.3. Women.** Cardiovascular disease is the leading cause of death in women in the United States and Europe (766), and an estimated 35% of PCIs in the United States are performed in women (767, 768). Women undergoing PCI usually have more risk factors (including hypertension, advanced age, elevated cholesterol, and more significant and diffuse CAD) compared with men (769). Women with STEMI are also less likely to receive early medical treatments and experience longer delays to reperfusion therapy (770, 771). In subgroup analyses of clinical trials, use of DES appears to be similarly efficacious in women and men (772).

**5.9.4. CKD: Recommendation**

**CLASS I**

1. In patients undergoing PCI, the glomerular filtration rate should be estimated and the dosage of renally cleared medications should be adjusted (298–300). *(Level of Evidence: B)*

CKD is an independent risk factor for the development and progression of CAD (773, 774), and is also associated with worse prognosis after MI or PCI (369, 775). A glomerular filtration rate of <60 mL/min per 1.73 $m^2$ of body surface area should be considered abnormal. Patients with CKD undergoing PCI have a higher risk ofcomplications, including bleeding (776), AKI, and death (236, 777), but CKD is not a strong predictor of restenosis after BMS or DES (778). Strategies to reduce the risk of contrast-induced AKI in patients with CKD are discussed in Section 4.4. Platelet dysfunction and overdosing of antiplatelet and antithrombin drugs (350) in patients with CKD contribute to the increased risk of bleeding. The Cockcroft-Gault formula is commonly used as a surrogate marker for estimating creatinine clearance, which in turn estimates glomerular filtration rate (298, 299, 779, 780).

Medications that require dosage adjustments in patients with CKD include eptifibatide, tirofiban, bivalirudin, enoxaparin, and fondaparinux (781).

**5.9.5. Cardiac Allografts.** Cardiac allograft vasculopathy is a major cause of death in cardiac transplant recipients after their first year of survival (782). In general, revascularization for cardiac allograft vasculopathy with PCI is only palliative, with no evidence supporting benefit in regard to long-term survival or avoidance of retransplantation. The restenosis rate after PCI in patients with cardiac allograft vasculopathy is high, although stent implantation reduces early and midterm reste-nosis compared with balloon angioplasty. DES have been shown to have a tendency to lower restenosis rates compared with BMS (783, 784). Thus, many clinicians perform stenting with DES or BMS in cardiac transplant patients with discrete lesions who have an abnormal stress test or symptoms suggestive of myocardial ischemia.

## 5.10. Periprocedural MI Assessment: Recommendations

### CLASS I

1. In patients who have signs or symptoms suggestive of MI during or after PCI or in asymptomatic patients with significant *persistent* angiographic complications (e.g., large side-branch occlusion, flow-limiting dissection, no-reflow phenomenon, or coronary thrombosis), creatinine kinase-MB and troponin I or T should be measured. *(Level of Evidence: C)*

### CLASS IIb

1. Routine measurement of cardiac biomarkers (creatinine kinase-MB and/or troponin I or T) in all patients after PCI may be reasonable. *(Level of Evidence: C)*

Major events leading to ischemia or MI after PCI include acute closure, embolization and no-reflow, side-branch occlusion, and acute stent thrombosis. Issues surrounding the routine assessment of cardiac biomarkers after PCI are complex, especially given that the definition of PCI-related MI has evolved over the years and most events are asymptomatic. The most recent consensus definition of MI considers troponin elevations of 3 times the upper limit of normal as a PCI-related MI in patients with normal baseline levels; this is further classified as a type 4a MI (240). This definition is supported by studies with delayed-enhancement MRI confirming that there is irreversible injury in the myocardium associated with biomarker elevations and that the size of this injury correlates with the degree of elevation (785). Furthermore, a meta-analysis of 15 observational studies found that troponin elevations at any level were linked with worse in-hospital and long-term outcomes; elevations >3 times the upper limit of normal predicted even worse outcomes (242). Other observational data, however, have raised concerns about whether the relationship is causal (786, 787). A recent study found creatinine kinase-MB to correlate better with MRI-detected MI than troponin level (788). Definitions of PCI-related MI are being reevaluated by the Task Force for the Redefinition of Myocardial Infarction. Although there may be value for individual operators and hospitals to routinely measure cardiac biomarkers to track rates of PCI-related MI, at present there are not compelling data to recommend this for all PCI procedures.

## 5.11. Vascular Closure Devices: Recommendations

### CLASS I

1. Patients considered for vascular closure devices should undergo a femoral angiogram to ensure their anatomic suitability for deployment. *(Level of Evidence: C)*

### CLASS IIa

1. The use of vascular closure devices is reasonable for the purposes of achieving faster hemostasis and earlier ambulation compared with the use of manual compression (257, 789–791). *(Level of Evidence: B)*

### CLASS III: NO BENEFIT

1. The routine use of vascular closure devices is not recommended for the purpose of decreasing vascular complications, including bleeding (256, 257, 789–792). *(Level of Evidence: B)*

*See Online Data Supplement 30 for additional data regarding vascular closure devices.*

Vascular (arteriotomy) closure devices have been extensively reviewed (790), most recently in a 2010 AHA scientific statement (257), which issued several formal recommendations. The results of 4 meta-analyses have found that vascular closure devices decrease time to hemostasis compared with manual compression but do not decrease vascular complications, bleeding complications, or the need for blood transfusions (256, 789, 791, 793). Future studies of vascular closure devices need to be randomized, include "high-risk" patients and "high-risk" anatomy, use blinded endpoint adjudication as much as possible, use well-defined and comprehensive complication endpoints, and be adequately powered to

detect clinically important endpoints, particularly bleeding and vascular complications.

## 6. POSTPROCEDURAL CONSIDERATIONS

Postprocedural considerations in patients undergoing PCI are discussed below and summarized in Table 14. Some recommendations and text regarding DAPT in Section 5.7.2 are intentionally repeated in this section for reader ease of use.

T14

### 6.1. Postprocedural Antiplatelet Therapy: Recommendations

**CLASS I**

1. After PCI, use of aspirin should be continued indefinitely (560–563). *(Level of Evidence: A)*
2. The duration of $P2Y_{12}$ inhibitor therapy after stent implantation should generally be as follows:
   a. In patients receiving a stent (BMS or DES) during PCI for ACS, $P2Y_{12}$ inhibitor therapy should be given for at least 12 months. Options include clopidogrel 75 mg daily (570), prasugrel 10 mg daily (567), and ticagrelor 90 mg twice daily (568). *(Level of Evidence: B)*
   b. In patients receiving DES for a non-ACS indication, clopidogrel 75 mg daily should be given for at least 12 months if the patient is not at high risk of bleeding (208, 212, 571). *(Level of Evidence: B)*
   c. In patients receiving BMS for a non-ACS indication, clopidogrel should be given for a minimum of 1 month and ideally up to 12 months (unless the patient is at increased risk of bleeding; then it should be given for a minimum of 2 weeks) (572). *(Level of Evidence: B)*
3. Patients should be counseled on the importance of compliance with DAPT and that therapy should not be discontinued before discussion with their cardiologist (208). *(Level of Evidence: C)*

**CLASS IIa**

1. After PCI, it is reasonable to use aspirin 81 mg per day in preference to higher maintenance doses (302, 573–576). *(Level of Evidence: B)*
2. If the risk of morbidity from bleeding outweighs the anticipated benefit afforded by a recommended duration of $P2Y_{12}$ inhibitor therapy after stent implantation, earlier discontinuation (e.g., $<$12 months) of $P2Y_{12}$ inhibitor therapy is reasonable. *(Level of Evidence: C)*

**CLASS IIb**

1. Continuation of clopidogrel, prasugrel, or ticagrelor beyond 12 months may be considered in patients undergoing placement of DES (567, 568). *(Level of Evidence: C)*

Continued treatment with the combination of aspirin and a $P2Y_{12}$ inhibitor antagonist after PCI appears to reduce MACE (570, 572). On the basis of RCT protocols, secondary prevention measures, and expert consensus opinion, aspirin 81 mg daily should be given indefinitely after PCI.

Likewise, $P2Y_{12}$ inhibitors should be given for a minimum of1 month after BMS (minimum 2 weeks for patients at significant increased risk of bleeding) (580) and for 12 months after DES and ideally in all patients who are not at high risk of bleeding.

The 2009 STEMI/PCI guidelines update (10) listed the recommendation "if the risk of morbidity because of bleeding outweighs the anticipated benefit afforded by thienopyridine therapy, earlier discontinuation *should be considered*" as a Class I recommendation, although the language used, in part, was consistent with a Class IIa recommendation. To clarify the intent ofthe recommendation, as well as to acknowledge the inherent difficulties in weighing bleeding and stent thrombosis risks, the recommendation is designated a Class IIa recommendation, using the phrase "earlier discontinuation *is reasonable*." Recommendations regarding $P2Y_12$ inhibitor discontinuation before elective or urgent CABG are provided in the "2011 ACCF/AHA Guideline for Coronary Artery Bypass Graft Surgery" (824).

#### 6.1.1. PPIs and Antiplatelet Therapy: Recommendations

**CLASS I**

1. PPIs should be used in patients with a history of prior gastrointestinal (GI) bleeding who require DAPT (794). *(Level of Evidence: C)*

**CLASS IIa**

1. Use of PPIs is reasonable in patients with an increased risk of GI bleeding (e.g., advanced age, concomitant use of warfarin, steroids, nonsteroidal anti-inflammatory drugs, *Helicobacter pylori* infection) who require DAPT (794). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. Routine use of a PPI is not recommended for patients at low risk of GI bleeding, who have much less potential to benefit from prophylactic therapy (794). *(Level of Evidence: C)*

*See Online Data Supplement 31 for additional data regarding the clopidogrel—PPI interaction.*

PPIs are often prescribed prophylactically when clopi-dogrel is started to prevent GI complications such

**TABLE 14. Postprocedural Recommendations for Patients Undergoing PCI**

| Recommendations | | COR | LOE | References |
|---|---|---|---|---|
| **Aspirin** | | | | |
| After PCI, use of aspirin should be continued indefinitely. | | I | A | (560–563) |
| After PCI, it is reasonable to use aspirin 81 mg/d in preference to higher maintenance doses. | | IIa | B | (302,573–576) |
| **$P2Y_{12}$ inhibitors** | | | | |
| In patients receiving a stent (BMS or DES) during PCI for ACS, $P2Y_{12}$ inhibitor therapy should be given for at least 12 mo. Options include clopidogrel 75 mg/d, prasugrel 10 mg/d, and ticagrelor 90 mg twice daily. | | I | B | (567,568,570) |
| In patients receiving DES for a non-ACS indication, clopidogrel 75 mg/d should be given for at least 12 mo if patients are not at high risk of bleeding. | | I | B | (208,212,571) |
| In patients receiving BMS for a non-ACS indication, clopidogrel should be given for a minimum of 1 mo and ideally up to 12 mo (unless the patient is at increased risk of bleeding; then it should be given for a minimum of 2 wk). | | I | B | (572) |
| Patients should be counseled on the importance of compliance with DAPT and that therapy should not be discontinued before discussion with their cardiologist. | | I | C | (208) |
| PPIs should be used in patients with a history of prior GI bleeding who require DAPT. | | I | C | (794) |
| If the risk of morbidity from bleeding outweighs the anticipated benefit afforded by a recommended duration of $P2Y_{12}$ inhibitor therapy after stent implantation, earlier discontinuation (e.g., <12 mo) of $P2Y_{12}$ inhibitor therapy is reasonable. | | IIa | C | N/A |
| Use of PPIs is reasonable in patients with an increased risk of GI bleeding (e.g., advanced age, concomitant use of warfarin, steroids, NSAIDs, *Helicobacter pylori* infection) who require DAPT. | | IIa | C | (794) |
| Continuation of clopidogrel, prasugrel, or ticagrelor beyond 12 mo may be considered in patients undergoing placement of DES. | | IIb | C | N/A |
| Routine use of a PPI is not recommended for patients at low risk of GI bleeding, who have much less potential to benefit from prophylactic therapy. | | III: No Benefit | C | (794) |
| **Exercise testing** | | | | |
| For patients entering a formal cardiac rehabilitation program after PCI, treadmill exercise testing is reasonable. | | IIa | C | (567,568) |
| Routine periodic stress testing of asymptomatic patients after PCI without specific clinical indications should not be performed. | | III: No Benefit | C | (795) |
| **Cardiac rehabilitation** | | | | |
| Medically supervised exercise programs (cardiac rehabilitation) should be recommended to patients after PCI, particularly for patients at moderate to high risk, for whom supervised exercise training is warranted. | | I | A | (796–804) |
| **Secondary prevention** (recommendations included from the 2011 AHA/ACCF Secondary Prevention and Risk Reduction Therapy Guideline) (805) | | | | |
| Lipid management with lifestyle modification and lipid-lowering pharmacotherapy | Lifestyle modification | I | B | (806,807) |
| | Statin therapy | I | A | (344,806,808–810,810a) |
| | Statin therapy which lowers LDL cholesterol to <100 mg/dL and achieves at least a 30% lowering of LDL cholesterol | I | C | (344,806,808–810,810a) |
| | Statin therapy which lowers LDL cholesterol to <70 mg/dL in very high-risk* patients | IIa | B | (345,808–810,810a,811,812) |
| Blood pressure control (with a blood pressure goal of <140/90 mm Hg) | Lifestyle modification | I | B | (813–817) |
| | Pharmacotherapy | I | A | (813,818,819) |
| Diabetes management (e.g., lifestyle modification and pharmacotherapy) coordinated with the patient's primary care physician and/or endocrinologist | | I | C | N/A |
| Complete smoking cessation | | I | A | (820–823) |

*Presence of established cardiovascular disease plus 1) multiple major risk factors (especially diabetes), 2) severe and poorly controlled risk factors (especially continued cigarette smoking), 3) multiple risk factors of the metabolic syndrome (especially high triglycerides >200 mg/dL plus non-HDL cholesterol ≥130 mg/dL with low HDL cholesterol [<40 mg/dL]), and 4) acute coronary syndromes.

ACS indicates acute coronary syndromes; BMS, bare-metal stent(s); COR, class of recommendation; DAPT, dual antiplatelet therapy; DES, drug-eluting stent(s); GI, gastrointestinal; HDL, high-density lipoprotein; LDL, low-density lipoprotein; LOE, level of evidence; N/A, not applicable; NSAID, nonsteroidal anti-inflammatory drug; PCI, percutaneous coronary intervention; and PPI, proton pump inhibitor.

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as ulceration and bleeding due to DAPT (825). There is pharmacodynamic evidence that omeprazole interferes with clopidogrel metabolism (826, 827), but there is no clear evidence implicating other PPIs. However, even with omeprazole, there are no convincing data supporting an important clinical drug-drug interaction (826). The FDA communication about an ongoing safety review of clopidogrel advises that healthcare providers avoid the use of clopidogrel in patients with impaired *CYP2C19* function due to known genetic variation or drugs that inhibit *CYP2C19* activity. The FDA notes that there is no evidence that other drugs that reduce stomach acid, such as histamine-2 receptor antagonists (except cimetidine) or antacids, interfere with clopidogrel responsiveness. The COGENT (Clopidogrel and the Optimization of Gastrointestinal Events) trial randomized patients with DAPT to clopidogrel and omeprazole or clopidogrel and placebo, and while there was no difference in cardiovascular events between the 2 groups, GI events were halved in those randomized to omeprazole (828). It is reasonable to carefully evaluate the indication for PPI therapy in patients treated with clopidogrel, based on the presence or absence of the risk factors discussed above (794). The need for GI protection increases with the number of risk factors for bleeding. Prior upper GI bleeding is the strongest and most consistent risk factor for GI bleeding on antiplatelet therapy. Patients with ACS and prior upper GI bleeding are at substantial cardiovascular risk, so DAPT with concomitant use of a PPI may provide the optimal balance of risk and benefit. It should be noted that PPIs, by decreasing adverse GI effects related to clopidogrel, might decrease patients' discontinuation of clopidogrel. In patients in whom there is a clear indication for PPI therapy, some clinicians may choose to use a PPI other than omeprazole.

#### 6.1.2. Clopidogrel Genetic Testing: Recommendations

**CLASS IIb**

1. Genetic testing might be considered to identify whether a patient at high risk for poor clinical outcomes is predisposed to inadequate platelet inhibition with clopidogrel (829). *(Level of Evidence: C)*
2. When a patient predisposed to inadequate platelet inhibition with clopidogrel is identified by genetic testing, treatment with an alternate $P2Y_{12}$ inhibitor (e.g., prasugrel or ticagrelor) might be considered (829). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. The routine clinical use of genetic testing to screen patients treated with clopidogrel who are undergoing PCI is not recommended (829). *(Level of Evidence: C)*

On March 12, 2010, the FDA approved a new label for clopidogrel with a "boxed warning" about the diminished effectiveness of clopidogrel in patients with impaired ability to convert the drug into its active metabolite (829). Patients with decreased *CYP2C19* function because of genetic polymorphisms metabolize clopidogrel poorly and have higher rates of cardiovascular events after ACS and PCI than patients with normal *CYP2C19* function. The warning also notes that tests are available to identify patients with genetic polymorphisms and that alternative treatment strategies should be considered for patients who are poor metabolizers. The clopidogrel boxed warning leaves the issue of whether to perform *CYP2C19* testing up to the individual physician. It does not specifically require genetic testing or other changes in evaluation or treatment and does not imply that there are solid evidence-based reasons for such actions. Rather, it serves to inform clinicians of genetic variations in response to clopidogrel and to emphasize that clinicians should use this knowledge to make decisions about how to treat individual patients. At the present time, the evidence base is insufficient to recommend routine genetic testing in patients undergoing PCI. There may be a potential role for genetic testing for patients undergoing elective high-risk PCI procedures (e.g., unprotected left main, bifurcating left main, or last patent coronary artery).

#### 6.1.3. Platelet Function Testing: Recommendations

**CLASS IIb**

1. Platelet function testing may be considered in patients at high risk for poor clinical outcomes (829). *(Level of Evidence: C)*
2. In patients treated with clopidogrel with high platelet reactivity, alternative agents, such as prasugrel or ticagrelor, might be considered (829). *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. The routine clinical use of platelet function testing to screen patients treated with clopidogrel who are undergoing PCI is not recommended (829). *(Level of Evidence: C)*

Platelet function testing to tailor antiplatelet therapy has received considerable interest. The GRAVITAS (Gauging Responsiveness With A VerifyNow Assay-Impact On Thrombosis And Safety) trial and several other ongoing trials test the concept that tailoring antiplatelet therapy based on platelet responsiveness assessed in an ex vivo $P2Y_{12}$ assay will improve cardiovascular outcomes (830). In GRAVITAS, treatment with high-dose clopidogrel for 6 months in patients with high platelet reactivity on standard-dose

clopidogrel was not beneficial. At the present time, the evidence base is insufficient to recommend routine platelet function testing. The results of 2 ongoing trials (DANTE [Dual Antiplatelet Therapy Tailored on the Extent of Platelet Inhibition] and ARCTIC [Double Randomization of a Monitoring Adjusted Antiplatelet Treatment Versus a Common Antiplatelet Treatment for DES Implantation, and Interruption Versus Continuation of Double Antiplate-let Therapy, One Year After Stenting]) will provide further information on the issue (www.clinicaltrials.gov).

### 6.2. Stent Thrombosis

The majority of stent thrombosis occurs early (0 to 30 days after PCI). In broad clinical practice, the expected rate of early stent thrombosis is <1%, and beyond 30 days it is 0.2% to 0.6% per year (210, 831). Acute stent thrombosis often presents as STEMI, and emergency revascularization is indicated. Acute stent thrombosis is associated with mortality rates of 20% to 45% (832). Survivors are also at risk of recurrent stent thrombosis (833).

Mechanical and pharmacological factors are the most frequent cause of acute stent thrombosis. After the usual measures to restore flow in the infarct-related artery, it is important to consider the etiology of stent thrombosis as it pertains to further therapy and avoidance of recurrence. IVUS may identify factors such as an undersized stent, incomplete stent apposition, residual stenosis, or dissection and can guide subsequent treatment. The most common cause of acute stent thrombosis is nonadherence to DAPT; however, resistance to aspirin or thienopyridines and pro-thrombotic states such as congenital or acquired thrombophilic states (malignancy) are additional risk factors (834, 835).

Given the poor prognosis of stent thrombosis and the uncertainties surrounding treatment, the importance of prevention must be emphasized. This includes ensuring compliance with DAPT and adequate stent sizing and expansion (836).

### 6.3. Restenosis: Recommendations

**CLASS I**

1. Patients who develop clinical restenosis after balloon angioplasty should be treated with BMS or DES if anatomic factors are appropriate and if the patient is able to comply with and tolerate DAPT (837). *(Level of Evidence: B)*
2. Patients who develop clinical restenosis after BMS should be treated with DES if anatomic factors are appropriate and the patient is able to comply with and tolerate DAPT (838–840). *(Level of Evidence: A)*

**CLASS IIa**

1. IVUS is reasonable to determine the mechanism of stent restenosis (495). *(Level of Evidence: C)*

**CLASS IIb**

1. Patients who develop clinical restenosis after DES may be considered for repeat PCI with balloon angioplasty, BMS, or DES containing the same drug or an alternative antiproliferative drug if anatomic factors are appropriate and the patient is able to comply with and tolerate DAPT (495). *(Level of Evidence: C)*

**6.3.1. Background and Incidence.** After balloon angioplasty, mechanisms contributing to restenosis include smooth muscle cell migration and proliferation, platelet deposition, thrombus formation, elastic recoil, and negative arterial remodeling. Stents block elastic recoil and negative remodeling, and the predominant mechanism for restenosis after stent implantation is neointimal hyperplasia. Restenosis rates vary, depending on whether angiographic restenosis (defined as >50% diameter stenosis at follow-up angiography) or clinical restenosis (symptomatic and requiring target-lesion revascularization or target-vessel revascularization) is measured, as well as on patient characteristics, coronary anatomy considerations, and device type (balloon angioplasty, BMS, or DES). The incidence of angiographic restenosis rates for uncomplicated lesions treated in RCTs ranges from 32% to 42% after balloon angioplasty (463, 464) and from 16% to 32% after BMS (463, 464), and is generally <10% after DES (454, 841). Less than half of patients with angiographic restenosis present with symptomatic, clinically relevant restenosis at 1-year follow-up, and a pooled analysis of 6,186 patients from 6 trials of BMS showed target-lesion revascularization was performed in 12% and target-vessel revascularization in 14% at 1 year (842, 843). Patients with clinical restenosis typically present with recurrent exertional angina, but 5% to 10% of patients present with acute MI and 25% with UA (844, 845).

Factors associated with an increased risk of restenosis in various models include clinical setting (STEMI, ACS, daily angina), patient characteristics (diabetes, age <55 to 60 years, prior PCI, male sex, multivessel CAD), lesion location (unprotected left main, SVG), and procedural characteristics (minimum stent diameter ≤2.5 mm, total stent length ≥40 mm) (778, 846).

PCI strategies for treating restenosis after balloon angioplasty, BMS, and DES are reviewed in the following sections. In addition to repeat PCI, intensified medical therapy or CABG are often also reasonable strategies, dependent on initial treatment (e.g., balloon

angioplasty, BMS), pattern of restenosis, likelihood of recurrent restenosis, ability to intensify medical therapy, suitability for CABG, and patient preference. Repeat PCI with BMS or DES is not appropriate if the patient is not able to comply with and tolerate DAPT.

**6.3.2. Restenosis After Balloon Angioplasty.** For clinical restenosis after balloon angioplasty, stent implantation is superior to repeat balloon angioplasty or atheroablation devices. The REST (REstenosis STent) study showed that target-lesion revascularization rates were 10% for stent-treated patients and 27% for balloon-treated patients (p=0.001) (837).

**6.3.3. Restenosis After BMS.** In-stent restenosis is classified according to these angiographic characteristics: Pattern I includes focal lesions ≤10 mm in length; Pattern II is in-stent restenosis >10 mm within the stent; Pattern III includes in-stent restenosis >10 mm extending outside the stent; and Pattern IV is totally occluded in-stent restenosis (847). Treatment of in-stent restenosis with balloon angioplasty, repeat BMS, or atheroablation devices for Patterns I to IV resulted in 1-year target-lesion revascularization rates of 19%, 35%, 50%, and 83%, respectively. For clinical restenosis after BMS, repeat stenting with DES is preferred. Studies have demonstrated lower recurrent restenosis rates with DES compared with BMS or vascular brachytherapy (495, 838–840).

**6.3.4. Restenosis After DES.** Clinical restenosis after placement of DES is becoming increasingly common due to the large numbers of patients who have been treated with DES. The predominant angiographic pattern for DES in-stent restenosis is focal (≤10 mm in length). Several biologic, mechanical, and technical factors may contribute to DES in-stent restenosis, including drug resistance, hypersensitivity, stent underexpansion, stent strut fracture, nonuniform stent strut coverage, gap in stent coverage, and residual uncovered atherosclerotic lesion. IVUS might be considered to determine the cause for in-stent restenosis and help guide treatment strategy. Interventionists may treat focal DES restenosis with balloon angioplasty and treat nonfocal DES restenosis with BMS, CABG, or repeat DES with the same or an alternative antiproliferative drug (848, 849). Small, observational cohort studies have demonstrated angiographic restenosis rates of 25% to 30% with repeat DES either with the same or an alternative drug (495, 849, 850). There are no RCTs, and the most appropriate treatment of restenosis of DES remains unknown.

## 6.4. Clinical Follow-Up

At the time of discharge, patients are instructed to contact their physician or seek immediate medical attention if symptoms recur. Most physicians will give the patient instructions on return to work and timing of return to full activities. The importance of strict compliance with aspirin and $P2Y_{12}$ inhibitor therapy is ideally emphasized to the patient at the time of discharge and during follow-up visits.

Secondary prevention measures after PCI are an essential part of long-term therapy, reducing both future morbidity and mortality associated with CAD, and are discussed in Section 6.5. A follow-up visit after PCI is usually scheduled to assess the patient's clinical status, the patient's compliance with secondary prevention therapies, and the success of secondary prevention measures (e.g., blood pressure control, low-density lipoprotein levels, smoking cessation). Routine, periodic stress testing of asymptomatic patients is not considered part of standard patient follow-up.

**6.4.1. Exercise Testing: Recommendations**

**CLASS IIa**

1. In patients entering a formal cardiac rehabilitation program after PCI, treadmill exercise testing is reasonable. *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. Routine periodic stress testing of asymptomatic patients after PCI without specific clinical indications should not be performed (795). *(Level of Evidence: C)*

Treadmill exercise testing before cardiac rehabilitation provides information about peak exercise capacity and heart rate, helping to stratify patients for the level of supervision during training, and seems reasonable for this purpose (851); nuclear imaging to assess ischemia in this context usually adds little.

The role of exercise testing to evaluate restenosis is much less certain. Although the presence of symptoms may not be a reliable means of detecting restenosis, there is no evidence that the detection of silent restenosis leads to improved outcome (852, 853). Routine testing of all patients after PCI will also lead to many false-positive tests, particularly in the era of DES. As restenosis rates decline from 30% to 10%, the false-positive rate of stress imaging increases from 37% to 77% (854). A recent analysis of a national health insurance claims database and accompanying editorial find that stress testing after PCI is likely overused and rarely leads to repeat revascularization (855, 856). In summary, there is no proven benefit or indication for routine periodic stress testing in patients after PCI, and, thus, it is not indicated (8, 851). In cases in which there is a clear clinical indication for stress testing in a patient after PCI, exercise ECG alone is an insensitive predictor of restenosis (857, 858); therefore, stress

imaging is the preferred stress test (8). In cases of recurrent angina after PCI in which the pretest likelihood of restenosis is high and repeat revascularization based on symptoms is likely indicated, most practitioners will proceed directly to cardiac catheterization rather than first obtain stress imaging.

**6.4.2. Activity and Return to Work.** The timing of return to physical activity depends on the presenting condition as well as previous functional status. For STEMI, for example, daily walking is encouraged immediately, and driving can begin within 1 week after uncomplicated MI if allowed by local motor vehicle laws (859). Sexual activity usually can be resumed within days, provided exercise tolerance is adequate, normally assessed by the ability to climb a flight of stairs (859). Similar recommendations have been issued for UA/NSTEMI (860). Patients with UA who have undergone successful revascularization and are otherwise doing well may return to physical activity on an accelerated schedule, usually within a few days (860).

Return to work is more complex. Return to work rates after MI range from 63% to 94% and are confounded by factors such as job satisfaction, financial stability, and company policies (861). The physical demands and degree of stress of a particular job require that recommendations be individualized. In the PAMI-2 (Primary Angioplasty in Myocardial Infarction) trial, patients were encouraged to return to work 2 weeks after primary PCI for STEMI, and no adverse events were reported (862). In the RITA (Randomized Intervention Treatment of Angina) trial, revascularization with PCI led to earlier return to work compared with CABG, and subsequent employment rates were associated with relief of angina (105). Many practitioners use graded exercise treadmill testing to determine the safety of activity and return to work by measuring the metabolic equivalent of task (MET) level achieved and comparing that level to energy levels required to perform different activities (863).

**6.4.3. Cardiac Rehabilitation: Recommendation**

**CLASS I**

1. Medically supervised exercise programs (cardiac rehabilitation) should be recommended to patients after PCI, particularly for moderate- to high-risk patients for whom supervised exercise training is warranted (796–804). *(Level of Evidence: A)*

Participation in cardiac rehabilitation is associated with significant reductions in all-cause mortality (OR: 0.80, 95% CI: 0.68 to 0.93) and cardiac mortality (796, 797). Reports from community-based surveys, which in general enroll older and higher-risk patients than clinical trials, have confirmed that participation in comprehensive rehabilitation is independently associated with a reduction in recurrent MI and reduced mortality (799). Cardiac rehabilitation is also associated with improvements in exercise tolerance, cardiac symptoms, lipid levels, cigarette smoking cessation rates (in conjunction with a smoking cessation program), stress levels, improved medical regimen compliance, and improved psychosocial well-being (800). Cardiac rehabilitation is cost-effective as well (864). Physician referral may be the most powerful predictor of patient participation in a cardiac rehabilitation program (865).

## 6.5. Secondary Prevention

The treatment of the patient does not end with PCI; secondary prevention measures are a critical component of patient management. Important secondary prevention measures were presented in detail in the "2006 AHA/ACC Guidelines for Secondary Prevention for Patients With Coronary and Other Atherosclerotic Vascular Disease" (562) and have recently been updated in the "AHA/ACCF Secondary Prevention and Risk Reduction Therapy for Patients With Coronary and Other Atherosclerotic Vascular Disease: 2011 Update" (805). The reader is referred to this document for detailed discussions of secondary prevention. Among the important recommendations are the following:

- Lipid management with lifestyle modification *(Class I; Level of Evidence: B)* (805–807) and statin therapy are recommended. *(Level of Evidence: A)* (344, 806, 808–810, 810a) An adequate statin dose should be employed which reduces low-density lipoprotein cholesterol to <100 mg/dL AND achieves at least a 30% lowering of low-density lipoprotein cholesterol. *(Class I; Level of Evidence: C)* (806–810, 810a) It is reasonable to treat patients with statin therapy which lowers low-density lipoprotein cholesterol to <70 mg/dL in very high-risk* patients. *(Class IIa; Level of Evidence: C)* (345,808–810,810a,811,812) Patients who have triglycerides ≥200 mg/dL should be treated with statins to lower non-high-density lipoprotein cholesterol to <130 mg/dL. *(Class I; Level of Evidence: B)* (344, 809, 810, 866) In patients who are very high risk* and have triglycerides ≥200 mg/dL, a non-high-density lipoprotein cholesterol goal of <100 mg/dL is reasonable. *(Class IIa; Level of Evidence: C)* (344, 809, 810, 866).

*Presence of established cardiovascular disease plus 1) multiple major risk factors (especially diabetes), 2) severe and poorly controlled risk factors (especially continued cigarette smoking), 3) multiple risk factors of the metabolic syndrome (especially high triglycerides ≥200 mg/dL plus non-high-density lipoprotein cholesterol ≥130 mg/dL with low high-density lipoprotein cholesterol [40 mg/dL]), and 4) acute coronary syndromes.

- Blood pressure control with lifestyle modification *(Class I; Level of Evidence: B)* (813–817) and pharmacotherapy *(Class I; Level of Evidence: A)* (805, 813, 818, 819), with the goal of blood pressure <140/90 mm Hg.
- Diabetes management (e.g., lifestyle modification and pharmacotherapy), coordinated with the patient's primary care physician and/or endocrinologist. *(Class I; Level of Evidence: C)* (805)
- Advising patients on the need for complete smoking cessation. *(Class I; Level of Evidence: A)* (805, 820–823)

## 7. QUALITY AND PERFORMANCE CONSIDERATIONS

### 7.1. Quality and Performance: Recommendations

**CLASS I**

1. Every PCI program should operate a quality-improvement program that routinely 1) reviews quality and outcomes of the entire program; 2) reviews results of individual operators; 3) includes risk adjustment; 4) provides peer review of difficult or complicated cases; and 5) performs random case reviews. *(Level of Evidence: C)*
2. Every PCI program should participate in a regional or national PCI registry for the purpose of benchmarking its outcomes against current national norms. *(Level of Evidence: C)*

PCI quality and performance considerations are defined by attributes related to structure, processes, and risk-adjusted outcomes. Structural attributes include elements such as equipment, supplies, staffing, institutional and operator-level volumes, and the availability of electronic medical records. Processes include strategies for the appropriate patient, protocols for pre- and postprocedural care, appropriate procedural execution and management of complications, and participation in databases and registries for benchmarking performance of the program and individual operator. Risk-adjusted outcomes are the end result of these structures and processes of care, and when available are more reliable measures of quality than the institutional and individual operator volumes discussed in Section 7.4.

PCI process and outcomes assessments can be used for internal quality-improvement efforts and public reporting. Public reporting of institutional risk-adjusted outcomes is becoming more common. Although operator-level outcomes can be assessed and risk adjusted, the results are much less reliable due to lack of statistical power resulting from lower volumes. Any public reporting must use statistical methods that meet the high criteria established by the AHA Work Group (867).

### 7.2. Training

The cognitive knowledge and technical skill required to perform PCI continue to grow. Details on the training required for interventional cardiology are found in the most recent ACCF Core Cardiology Training Statement (868).

### 7.3. Certification and Maintenance of Certification: Recommendation

**CLASS IIa**

1. It is reasonable for all physicians who perform PCI to participate in the American Board of Internal Medicine interventional cardiology board certification and maintenance of certification program. *(Level of Evidence: C)*

The American Board of Internal Medicine established interventional cardiology board certification in 1999 as an "added qualification" to the cardiovascular disease board certification. Since 1990 all certificates from the American Board of Internal Medicine are time limited for a 10-year period and require all diplomats to participate in maintenance of certification to maintain their board-certified status. Maintenance of certification in interventional cardiology requires physicians to document a minimum of 150 interventional cases over the 2 years before expiration of the current certification, complete self-assessment modules of their medical knowledge, participate in practice-based quality-improvement activities, and pass a secure, knowledge-based examination (869–871). For those who cannot meet the case volume requirement, an alternative option is to submit a log of 25 consecutive cases including patient characteristics and procedural outcomes. The maintenance of certification process is likely to change, as the American Board of Internal Medicine intends to evolve maintenance of certification from an episodic event that occurs once every 10 years to a more continuous process of continuous professional development.

### 7.4. Operator and Institutional Competency and Volume: Recommendations

**CLASS I**

1. Elective/urgent PCI should be performed by operators with an acceptable annual volume (≥75 procedures) at high-volume centers (>400 procedures) with on-site cardiac surgery (872, 873). *(Level of Evidence: C)*

2. Elective/urgent PCI should be performed by operators and institutions whose current risk-adjusted outcomes statistics are comparable to those reported in contemporary national data registries. *(Level of Evidence: C)*
3. Primary PCI for STEMI should be performed by experienced operators who perform more than 75 elective PCI procedures per year and, ideally, at least 11 PCI procedures for STEMI per year. Ideally, these procedures should be performed in institutions that perform more than 400 elective PCIs per year and more than 36 primary PCI procedures for STEMI per year (872, 874–877). *(Level of Evidence: C)*

**CLASS IIa**

1. It is reasonable that operators with acceptable volume (≥75 PCI procedures per year) perform elective/urgent PCI at low-volume centers (200 to 400 PCI procedures per year) with on-site cardiac surgery (872). *(Level of Evidence: C)*
2. It is reasonable that low-volume operators (<75 PCI procedures per year) perform elective/urgent PCI at high-volume centers (>400 PCI procedures per year) with on-site cardiac surgery. Ideally, operators with an annual procedure volume of fewer than 75 procedures per year should only work at institutions with an activity level of more than 600 procedures per year. Operators who perform fewer than 75 procedures per year should develop a defined mentoring relationship with a highly experienced operator who has an annual procedural volume of at least 150 procedures per year. *(Level of Evidence: C)*

**CLASS IIb**

1. The benefit of primary PCI for STEMI patients eligible for fibrinolysis when performed by an operator who performs fewer than 75 procedures per year (<11 PCIs for STEMI per year) is not well established. *(Level of Evidence: C)*

**CLASS III: NO BENEFIT**

1. It is not recommended that elective/urgent PCI be performed by low-volume operators (<75 procedures per year) at low-volume centers (200 to 400 procedures per year) with or without on-site cardiac surgery. An institution with a volume of fewer than 200 procedures per year, unless in a region that is underserved because of geography, should carefully consider whether it should continue to offer this service (872). *(Level of Evidence: C)*

Older observational evidence supported a volume-outcome relationship in PCI at both the institutional and operator level (873). However, this relationship is complicated and may be inconsistent across low-volume institutions or operators. More recent data on primary PCI suggest that operator experience may modify the volume-outcome relationship at the institutional level (876, 878). Risk-adjusted outcomes remain preferable to institutional and individual operator volumes as quality measures.

Operator and hospital volume recommendations have been carried over from the 2005 PCI guideline. However, the writing committee recognizes that these volume recommendations are controversial. In addition, after extensive review of all relevant data, the writing committee believes that the LOE in support of all the above recommendations is best categorized as LOE C rather than LOE B as it has been in prior guidelines for some recommendations. We encourage the ACCF/AHA/ACP Clinical Competence and Training writing group for PCI and other expert writing groups to review this issue so that new recommendations can be considered by the next PCI guideline writing committee.

### 7.5. Participation in ACC NCDR or National Quality Database

Assessment of PCI quality and outcomes is important both at the level of the entire program and at the level of the individual physician. This requires collection of clinical and procedural data for PCI that allows regular comparison of risk-adjusted outcomes and complications with national benchmarks. The ACC NCDR CathPCI Registry is an example of a national registry to fulfill the goals of assessing and benchmarking quality and outcomes.

## 8. FUTURE CHALLENGES

Although this latest guideline reflects significant advancements in the field of PCI, there remain future challenges to the formulation and updating of guidelines for PCI. The proliferation of studies comparing the many newer drugs and devices with older therapies (or other newer therapies), often using different or novel study endpoints, endpoint definitions, and noninferiority designs, pose increasing challenges to objectively evaluating newer therapies and generating recommendations for their use. Numerous potential advances in the field of PCI, including intracoronary stem cell infusions for chronic and acute ischemic heart disease, designer drugs, novel intracoronary imaging technologies such as optical coherence tomography and virtual histology, new stent composition and designs (e.g., drug-eluting, biodegradable, bifurcation), and drug-eluting balloons were considered for formal evaluation by the current writing committee, but it was thought that

there were insufficient data at present to formulate any formal recommendations on these topics. These and other emerging technologies and treatments will need to be addressed in future PCI guidelines.

Finally, with this proliferation of new technology, the amount of data generated in the evaluation of these potential therapeutic advances will grow dramatically, adding significant challenges to future guideline generations. Of note, the Web site www.clinicaltrials.gov currently lists several hundred PCI-related clinical trials.

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| Committee Member | Employer/Title | Consultant | Speaker's Bureau | Ownership/ Partnership/ Principal | Personal Research | Institutional, Organizational, or Other Financial Benefit | Expert Witness | Voting Recusals by Section Number* |
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## APPENDIX 2. REVIEWER RELATIONSHIPS WITH INDUSTRY AND OTHER ENTITIES (RELEVANT)—2011 ACCF/AHA/SCAI GUIDELINE FOR PERCUTANEOUS CORONARY INTERVENTION

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| Steven L. Goldberg | Official Reviewer—SCAI | • AGA | • Bristol-Myers Squibb<br>• Sanofi-aventis | None | None | None | • Plaintiff, patient litigation, 2010 |
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*Significant relationship.

†No financial benefit.

ACCF indicates American College of Cardiology Foundation; AHA, American Heart Association; DSMB, data safety and monitoring board; NHLBI, National Heart, Lung, and Blood Institute; NIH, National Institutes of Health; SCAI, Society for Cardiovascular Angiography and Interventions; and TIMI, Thrombolysis In Myocardial Infarction.

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## APPENDIX 3. ABBREVIATION LIST

ACS = acute coronary syndromes
AKI = acute kidney injury
BMS = bare-metal stent(s)
CABG = coronary artery bypass graft surgery
CAD = coronary artery disease
CKD = chronic kidney disease
CTO = chronic total occlusion
DAPT = dual antiplatelet therapy
DES = drug-eluting stent(s)
ECG = electrocardiogram
EF = ejection fraction
EPD = embolic protection device
FDA = U.S. Food and Drug Administration
FFR = fractional flow reserve
GDMT = guideline-directed medical therapy
GI = gastrointestinal
GP = glycoprotein
IABP = intra-aortic balloon pump
IV = intravenous
IVUS = intravascular ultrasound
LAD = left anterior descending
LIMA = left internal mammary artery
LV = left ventricular
LVEF = left ventricular ejection fraction
MACE = major adverse cardiac event
MI = myocardial infarction
MRI = magnetic resonance imaging
NCDR = National Cardiovascular Data Registry
PCI = percutaneous coronary intervention
PPI = proton pump inhibitor
RCT = randomized controlled trial
SIHD = stable ischemic heart disease
STEMI = ST-elevation myocardial infarction
SVG = saphenous vein graft
TIMI = Thrombolysis In Myocardial Infarction
TMR = transmyocardial laser revascularization
UA/NSTEMI = unstable angina/non-ST-elevation myocardial infarction
UFH = unfractionated heparin

## APPENDIX 4. ADDITIONAL TABLES/FIGURES

### APPENDIX 4A. The NCDR CathPCI Risk Score System

| Variable | Scoring Response Categories | | | | Risk Score Calculation | |
|---|---|---|---|---|---|---|
| | | | | | Total Points | Risk of In-Patient Mortality (%) |
| Age | <60 | ≥60, <70 | ≥70, <80 | ≥80 | 0 | 0.0 |
| | 0 | 4 | 8 | 14 | 5 | 0.1 |
| Cardiogenic shock | No | Yes | | | 10 | 0.1 |
| | 0 | 25 | | | 15 | 0.2 |
| Prior CHF | No | Yes | | | 20 | 0.3 |
| | 0 | 5 | | | 25 | 0.6 |
| Peripheral vascular disease | No | Yes | | | 30 | 1.1 |
| | 0 | 5 | | | 35 | 2.0 |
| Chronic lung disease | No | Yes | | | 40 | 3.6 |
| | 0 | 4 | | | 45 | 6.3 |
| GFR | <30 | 30-60 | 60-90 | >90 | 50 | 10.9 |
| | 18 | 10 | 6 | 0 | 55 | 18.3 |
| NYHA functional class IV | No | Yes | | | 60 | 29.0 |
| | 0 | 4 | | | 65 | 42.7 |
| PCI status (STEMI) | Elective | Urgent | Emergent | Salvage | 70 | 57.6 |
| | 12 | 15 | 20 | 38 | 75 | 71.2 |
| PCI status (no STEMI) | Elective | Urgent | Emergent | Salvage | 80 | 81.0 |
| | 0 | 8 | 20 | 42 | 85 | 89.2 |
| | | | | | 90 | 93.8 |
| | | | | | 95 | 96.5 |
| | | | | | 100 | 98.0 |

CathPCI indicates catheterization percutaneous coronary intervention; CHF, congestive heart failure; GFR, glomerular filtration rate; NCDR, National Cardiovascular Data Registry; NYHA, New York Heart Association; and STEMI, ST-elevation myocardial infarction. Reproduced with permission from Peterson et al. (236).

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## APPENDIX 4B. The SCAI Lesion Classification System

**Type I lesions (highest success expected, lowest risk)**
1. Does not meet criteria for C lesion
2. Patent

**Type II lesions**
1. Meets any of these criteria for ACC/AHA C lesion
   - Diffuse (>2 cm length)
   - Excessive tortuosity of proximal segment
   - Extremely angulated segments, >90°
   - Inability to protect major side branches
   - Degenerated vein grafts with friable lesions
2. Patent

**Type III lesions**
1. Does not meet criteria for C lesion
2. Occluded

**Type IV lesions**
1. Meets any of these criteria for ACC/AHA C lesion
   - Diffuse (>2 cm length)
   - Excessive tortuosity of proximal segment
   - Extremely angulated segments, >90°
   - Inability to protect major side branches
   - Degenerated vein grafts with friable lesions
   - Occluded for >3 mo
2. Occluded

ACC indicates American College of Cardiology; AHA, American Heart Association; and SCAI, Society for Cardiovascular Angiography and Interventions. Reprinted with permission from Krone et al. (879).

## APPENDIX 4C. Strategies to Reduce Radiation Exposure to Patient and Operator

**Precautions to minimize exposure to patient and operator**
- Use radiation only when imaging is necessary to support clinical care
- Minimize use of cine
- Minimize use of steep angles of x-ray beam
- Minimize use of magnification modes
- Minimize frame rate of fluoroscopy and cine
- Keep the image receptor close to the patient
- Utilize collimation to the fullest extent possible
- Monitor radiation dose in real time to assess patient risk-benefit during procedure

**Precautions to specifically minimize exposure to operator**
- Use and maintain appropriate protective garments
- Maximize distance of operator from x-ray source and patient
- Keep above-table and below-table shields in optimal position at all times
- Keep all body parts out of field of view at all times

**Precautions to specifically minimize exposure to patient**
- Keep table height as high as comfortably possible for operator
- Vary imaging beam angle to minimize exposure to any single skin area
- Keep patient's extremities out of beam

## APPENDIX 4D. Patient Care Consideration Based on Procedural Radiation Dose

| $K_{a,r}$* | $P_{KA}$† | FT‡ | Action |
|---|---|---|---|
| >5 Gray | >500 Gray $cm^2$ | >60 min | Physician charts documentation about why exposure at this level occurred, documents whether multiple skin entry angles were used, assesses risk, educates patient about potential for skin injury, and arranges for appropriate follow-up within 30 d. Phone calls may be sufficient with an office visit arranged if issues/questions arise or a potential tissue injury is suspected. |
| ≥10 Gray | | | Physician contacts radiation safety officer/medical physicist. The radiation safety officer/medical physicist should perform a detailed analysis of PSD. Document a) FOV, b) skin entrance port number, c) known geometry, with a "rough" geometric setup required. Educate patient about the potential for skin injury and document this in chart. Schedule an office visit in 2 to 4 wk. |
| PSD§ >15 Gray | | | If calculated PSD is indeed >15 Gray, the physician and/or radiation safety officer/medical physicist should contact hospital risk management within 24 h. Report the event to the Joint Commission and as needed to the appropriate State Department of Health. |

*$K_{a,r}$ is total air kerma at reference point
†$P_{KA}$ is air kerma-area product
‡FT is total fluoroscopy time, does not include cine
§PSD is peak skin dose, which requires calculations made by a qualified physicist.
FOV indicates field of view; and FT, fluoroscopy time.
Adapted with permission from Chambers et al. (317).

Catheterization and Cardiovascular Interventions DOI 10.1002/ccd.
Published on behalf of The Society for Cardiovascular Angiography and Interventions (SCAI).

**APPENDIX 4E. General Considerations in Deciding Between Early Invasive Strategy and Initial Conservative Strategy**

| Early Invasive Strategy Generally Preferred | Initial Conservative Strategy Generally Preferred or Reasonable |
|---|---|
| • Recurrent angina or ischemia at rest or with low-level activities despite intensive medical therapy | • Low-risk score (e.g., GRACE, TIMI) |
| • Elevated cardiac biomarkers (TnT or TnI) | • Absence of high-risk features |
| • New or presumably new ST-segment depression | • High risk for catheterization-related complications |
| • Signs or symptoms of heart failure | • Patient not a candidate for revascularization (with either PCI or CABG) |
| • Hemodynamic instability | • Patient prefers conservative therapy |
| • High-risk score (e.g., GRACE, TIMI) | |
| • Sustained ventricular tachycardia | |
| • PCI within 6 mo | |
| • Prior CABG | |
| • Diabetes mellitus | |
| • Mild to moderate renal dysfunction | |
| • Reduced LV function (LVEF <40%) | |

CABG indicates coronary artery bypass graft surgery; GRACE, Global Registry of Acute Coronary Events; LV, left ventricular; LVEF, left ventricular ejection fraction; PCI, percutaneous coronary intervention; TIMI, Thrombolysis In Myocardial Infarction; TnI, troponin I; and TnT, troponin T.

**APPENDIX 4F. Agents for Procedural Sedation and Analgesia**

| Drug | Clinical Effects | Dose | Onset | Duration | Comments |
|---|---|---|---|---|---|
| **Midazolam** | Sedation, anxiolysis. No analgesia. | Initial 0.5 to 1 mg IV, then titrated. | 2 to 3 min | 45 to 60 min | Reduce dose when used in combination with opioids. May produce paradoxical excitement. Reversible with flumazenil. |
| **Fentanyl** | Analgesia | 50 mcg IV. May repeat every 3 min, titrate to effect. | 3 to 5 min | 30 to 60 min | Reduce dosing when combined with benzodiazepines. Reversible with naloxone. |
| **Etomidate** | Sedation, anxiolysis. No analgesia. | Sedation: 0.1 mg/kg IV; repeat if inadequate response. | <1 min | 5 to 15 min | Respiratory depression may occur; institutional guidelines vary about administration to nonintubated patients by nonanesthesiologists. May cause myoclonus, nausea, and vomiting. Adrenocortical suppression occurs but is rarely of clinical significance. Not reversible. |
| **Propofol** | Sedation, anxiolysis. No analgesia. | Load 1 mg/kg IV; may administer additional 0.5 mg/ kg doses as needed to enhance or prolong sedation. | <1 min | 5 to 15 min | Frequent hypotension and respiratory depression; institutional guidelines vary concerning administration to nonintubated patients by nonanesthesiologists. Avoid with egg or soy allergies. Not reversible. |
| **Reversal Agents** | | | | | |
| **Naloxone** | Opioid reversal | 0.4 to 2 mg IV | 2 min | 20 to 40 min | If shorter acting than reversed drug, serial doses may be required. |
| **Flumazenil** | Benzodiazepine reversal | 0.2 mg IV. May repeat every 1 min up to 1 mg. | 1 to 2 min | 30 to 60 min | If shorter acting than reversed drug, serial doses may be required. Do not use in patients receiving long-term benzodiazepines, cyclosporine, isoniazid, lithium, propoxyphene, theophylline, or tricyclic antidepressants. |

IV indicates intravenous.

# Attachment 4a

ICAEL
Accredited Echocardiography Laboratory

**Connecticut Cardiology Center**
Hazar Dahhan, M.D FACC
201 Main Street
Manchester, CT 06042
Telephone: (860) 643-5443
Fax: (860) 643-9399

| | |
|---|---|
| 1987-2012: | Private Practice in Invasive and Noninvasive Cardiology at Manchester Memorial Hospital in Manchester, CT and ST Francis Medical Center in Hartford, CT. |
| 2007-2010: | Chief of Cardiology Manchester Memorial and Rockville Hospital |
| 1996-2012: | Assistant Clinical Professor, Department of Internal Medicine, School of Medicine, University of Connecticut Health Center in Farmington, CT |
| 2005-2012: | Chief of Cardiac Rehabilitation, Manchester Memorial Hospital, Manchester, CT |
| 1995-2003: | Chief of Cardiology, Manchester Memorial Hospital, Manchester, CT |
| 1993-1996: | Chairman, Intensive Care Committee, Manchester Memorial Hospital, Manchester, CT |
| 1985-1987: | Clinical Instructor (part time), Emergency Department, New York Medical College in New York, New York |
| 1985-1987: | Cardiology Fellowship, Invasive and Noninvasive, New York Medical College, affiliated with ST Francis Hospital in New York and Metropolitan Hospital in New York, New York |
| 1982-1985: | Internal Medicine Residency, New York College affiliated hospitals |
| 1981-1982: | Internship in Anesthesia, New York Medical College, affiliated with Lenox Hill Hospital and Metropolitan Hospital. Both are in New York, New York |
| 1979-1980: | Internal Medicine Internship, Aleppo University Hospital, Syria |
| 1973-1979: | Doctor of Medicine Internship, Aleppo University School of Medicine, Aleppo, Syria |
| 1974: | Sent to Mainz, West Germany for training by Aleppo University as an award |
| 2011-2021: | Recertified in Cardiovascular Diseases |
| 2011: | Board Certificate, Cardiovascular Disease. Board Certified, Echocardiography |
| 1979: | ECFMG |
| 1983: | Flex Certificate |

Connecticut Medical License # 28665 (Active)

# Curriculum Vitae

YOUSSEF A. AL-HANNA, M.D., F.A.C.C.

Date of Birth: August 7, 1946 — Safita, Syria

Baccalaureate Certificate (ranked third in the country) — 1965

University of Damascus, School of Medicine, M.D. — 1972

Rotating Internship before graduation (Internal Medicine, Surgery, Ob-Gyn, Dermatology, etc.) Damascus University Hospitals — 1970-1972

Straight Medical Internship - Hospital of St. Raphael, New Haven, Connecticut — 1972-1973

Medical Residency - Hospital of St. Raphael, New Haven, Connecticut — 1973-1975

Cardiology Fellowship - Hospital of St. Raphael, New Haven, Connecticut — 1975-1976

Cardiology Fellowship - St. Francis Hospital and Medical Center, Hartford, Connecticut — 1976-1977

F.L.E.X. — 1974

American Board of Internal Medicine — 1975

American Board of Cardiovascular Disease — 1977

Membership:

Fellow of the American College of Cardiology

Member of the American College of Physicians

Associate Member of the American Society of Internal Medicine

Member of the Hartford County Medical Association

Member of the Connecticut State Medical Society

Publications:

1. Post-stenotic Dilatation of the Pulmonary Artery Simulating Central Bronchogenic Carcinoma: Youssef A. Al-Hanna, M.D., P.R. Fazzone, M.D., G. Fishbone, M.D., Connecticut Medicine 552-553, September 1977.

2. Echocardiographic Findings in Libman Sacks Endocarditis: Youssef Al-Hanna, M.D., Arthur B. Landry, M.D. (in press).

18 HAYNES STREET, MANCHESTER CT 06040
PHONE: (860) 649-7557•CELL PHONE:(917) 497-5470
E-MAIL DANNYKORKMAZ@GMAIL.COM

# DANNY ANTOINE KORKMAZ

## CURRENT POSITION

- Beginning October 2007 till present.
- Working in a private single specialty cardiology group at Joseph Hanna, MD LLC

## POSTGRADUATE MEDICAL EDUCATION

- SUNY Downstate Medical Center, Brooklyn:

September 2006 to August 2007: Interventional cardiology fellow.

June 2005 to June 2006: Third year cardiology fellow.

June 2004 to June 2005: Second year cardiology fellow.

June 2003 to June 2004: First year cardiology fellow.

- Staten Island University Hospital-Staten Island, NY

June 2002 to June 2003:Chief medical resident

June 2001 to June 2002:PGY3 Internal Medicine

June 2000 to June 2001:PGY2 Internal Medicine

June 1999 to June 2000: PGY1 Internal Medicine

- Lebanese University-Faculty of Medical Sciences-Lebanon

June 1998 to June 1999: PGY1 Neurosurgery

## MEDICAL EDUCATION

Lebanese University-Faculty of Medical Sciences-Lebanon

June1992 to June 1998.

MEDICAL DIPLOMA, July 1998.

## UNDERGRADUATE MEDICAL EDUCATION

Lebanese University-Faculty of Sciences/Biology-Lebanon

June 1990 to June 1992.

## MEDICAL EXAMS AND TESTS

USMLE step 1(1997)

USMLE step 2 (1998)

Clinical Skills assessment: successfully passed (November 1998)

USMLE step 3 (2000)

**Certified, American Board Of Internal Medicine 2002**

**Certified, American Board Of Cardiology 2006**

**Certified, American Board of Interventinal Cardiology 2007**

**Certified, American Board of Nuclear cardiology 2007**

**Certified, American Board of Adult Echocardiography 2008**

## PROFESSIONAL AFFILIATION

Lebanese Order of Physicians: member

American College of Cardiology: fellow 2012

American College of Physicians: associate

## HONORS AND AWARDS

STATEN ISLAND UNIVERSITY HOSPITAL:

**Teaching Excellence Award "Resident as Teacher" 2001-2002.**

**Chief Resident Research Award 2002-2003**

## TEACHING ACTIVITIES

Resident and fellow education:

06/2002 to 07/2003 teaching third year medical resident and preparation for the certification of the board of medicine.

Medical student education:

Involved in the EKG teaching for UNECOM medical students as well as office rotation for clinical education.

Community/Lay Public:

"Heart to Heart: Taking Care of You" presented 2/4/10 at MMH

"Risk Factors for Heart Disease" presented 9/17/11 at MMH

## PERSONAL DATA

Year of birth: 1972

Place of birth: Lebanon.

Sex: Male

Marital Status: married with four kids.

Hobbies: Photography, Tennis, swimming, history and geography.

Languages: English, French, and Arabic(spoken and written)

Qualifications: License to practice medicine in Lebanon

## RESEARCH ACTIVITIES AND PUBLICATIONS

- **PUBLICATIONS:**

Staten Island University Hospital:

**Nonhemolytic, Nonmotile Gram-Positive Rods Indicative of *Bacillus anthracis***

**Published in the CDC "Emerging Infectious Diseases" Volume 9, number 8 August 2003.**

- **POSTER PRESENTATIONS:**

Yawnig and predictability of positive head up tilt table test.
Presented at the national ACP-ASIM competition.(2003)
Advisor: Soad Bekheit, MD

Autoimmune cholangitis unmasked by pregnancy: a case report. Presented at the ACP-ASIM clinical vignette competition 2001.

Advisor: Joseph Abou-Jaoude, MD.

- **RESEARCH ACTIVITIES:**

Lebanese University-Faculty of Medical Sciences/Social, preventive medicine

National Epidemiological Study on Premarital tests in Lebanon (1993)

Advisor: Jihane Tawile, MD.

Lebanese University-Faculty of Medical Sciences:

Hemophilia A in Lebanon (1998)

Advisor: Michel Saade, MD

Lebanese University-Faculty of Medical Sciences

Helicobacter Pylori and Intestinal Metaplasia (1998)

Advisor: Jean-Paul Aoun, MD

Staten Island University Hospital:

Beneficial Effect of Heliox Treatment in Severe Asthma Exacerbation(2001)
Advisor: Theodore Maniatis, MD.

Staten Island University Hospital:

The incidence of hyperglycemia in hospitalized patients(2003).

Advisor: Jeffrey Rothman, MD.

# CURRICULUM VITAE

**SAQIB NASEER, M.D., FACC, FASNC**
Office location:
257 East Center Street
Manchester, CT 06040

## PERSONAL DATA:

| | |
|---|---|
| Birth Date: | May 1, 1961 |
| Citizenship: | United States of America |
| Marital Status: | Married, three children |

## EDUCATION:

### FELLOWSHIP:

| | |
|---|---|
| 7/91 – 6/92 | Nuclear Cardiology Fellowship<br>Columbia Univ. College of Physicians and Surgeons<br>St. Luke's/Roosevelt Hospital Center<br>New York, NY |
| 7/91 – 6/94 | Cardiology Fellowship<br>Columbia Univ. College of Physicians and Surgeons<br>St. Luke's/Roosevelt Hospital Center<br>New York, NY |

### RESIDENCY:

| | |
|---|---|
| 7/89 – 6/91 | Residency in Internal Medicine<br>Univ. of Medicine and Dentistry of New Jersey<br>Bergen Pines County Hospital<br>Paramus, NJ |
| 7/90 – 6/91 | Chief Medical Resident<br>Univ. of Medicine and Dentistry of New Jersey<br>Bergen Pines County Hospital<br>Paramus, NJ |

## INTERNSHIP:

| | |
|---|---|
| 7/88 – 6/89 | Internship in Internal Medicine<br>Univ. of Medicine and Dentistry of New Jersey<br>Bergen Pines County Hospital<br>Paramus, NJ |

**OBSERVERSHIP**:

| | |
|---|---|
| 9/87 – 11/87 | Medical Observership<br>Newark Beth Israel Hospital<br>Newark, NJ |
| 01/88 – 04/88 | Medical Observership<br>Jersey City Medical Center<br>Jersey City, NJ |

**MEDICAL SCHOOL**:

| | |
|---|---|
| 1979 – 1985 | Allam Iqbal Medical College<br>University of Punjab<br>Lahore, Pakistan<br>M.B.B.S. – Class standing top 10% |

**UNDERGRADUATE**:

| | |
|---|---|
| 1976 – 1978 | Forman Christian College<br>Lahore, Pakistan<br>F. Sc. |

**PROFESSIONAL EXPERIENCE**:

| | |
|---|---|
| 11/04 – Present | Owner – Private Practice<br>New England Cardiology Associates, PC<br>257 East Center St.<br>Manchester, CT |
| 08/96 – 10/04 | Stephen T. Sinatra, MD, FACC, PC<br>Cardiology Practice<br>Manchester, CT |
| 01/03 – 12/06 | Chief, Division of Cardiology<br>Manchester Memorial Hospital and Rockville General Hospital – Eastern CT Health Network<br>Manchester, CT |
| 01/11 – Present | Chief, Division of Cardiology<br>Manchester Memorial Hospital and Rockville General Hospital – Eastern CT Health Network<br>Manchester, CT |

## CERTIFICATIONS:

Recertification by American Board of Cardiovascular Diseases 2008
Recertification by Board of Nuclear Cardiology 2009
Diplomat: American Board of Internal Medicine 1995
Diplomat: American Board of Cardiovascular Disease 1998
Certified by Board of Nuclear Cardiology 1999
E.C.F.M.G. 1987
Passed FMGEMS July, 1987
Federation Licensure Examination
Passed FLEX December, 1987
F. Sc.
M.B.B.S.

## PROFESSIONAL SOCIETIES AND ORGANIZATIONS:

Fellow of the American Society of Nuclear Cardiology
Fellow of the American College of Cardiology
American Society of Nuclear Cardiology
Association of Physicians of Pakistani descent of North America

## LICENSURE:

NRC licensure in administration of radioisotopes and certified to supervise Nuclear Cardiology laboratory.
Connecticut #035389
New York
New Jersey
Pennsylvania

## HOSPITAL AFFILIATIONS:

| | |
|---|---|
| 1996 – Present | Manchester Memorial Hospital, Manchester, CT |
| 1996 – Present | St. Francis Hospital, Hartford, CT |

## ACADEMIC APPOINTMENTS:

| | |
|---|---|
| 7/91 – 6/94 | Instructor in Clinical Medicine<br>Department of Medicine<br>Columbia Univ. College of Physicians and Surgeons<br>New York, NY |

**RESEARCH EXPERIENCE**:

Faculty Advisor: Alan Rozanski, M.D.: Prediction of Cardiac Event after Uncomplicated Myocardial Infarction. A Comparative Study of Spect Stress Myocardial Perfusion Scintigraphy, Stress Electrocardiography and Angiography.

Faculty Advisors: Alan Rozanski, M.D., E. Gordon DePuey, M.D.: The Ability of Nifedipine GITS to Ablate Ischemia due to Exercise and Mental Stress.

Faculty Advisors: E. Gordon DePuey, M.D., Alan Rozanski, M.D.: A Randomized Multicenter Study to Evaluate the Value of Scintigraphic Testing using Dipyridamole Stress and Submaximal Exercise for the Prediction of Future Cardiac Events in Acute Myocardial Infarction Patients.

Faculty Advisors: Judith Hochman, M.D., Marc Klapholz, M.D.: Had been actively involved in recruiting patients for, as well as administering, the following multicenter protocols:

1. TIMI 6
2. TIMI 7
3. TIMI 9
4. LATE
5. MIDAS
6. SHOCK

# CURRICULAR VITAE

## Chandra K. Sacheti, M.D.., F.A.C.C., F.A.C.P.

| | |
|---|---|
| Home Address: | 148 Homestead Drive<br>South Windsor, Conn. 06074<br>Telephone: (203) 644-1800 |
| Office Address: | 57 Union Street<br>Suite 1<br>Rockville, Conn. 06066<br>Telephone: (203)871-2016 |
| Date of Birth: | December 7, 1945<br>United States Citizen |
| Wife: | Vandana Sacheti, M.D. (Pediatrician)<br>Children - Anubha - 1976<br>Bhavana- 1978 |
| Education: | 1958 - High School, Jaipur, India |
| | 1961 - I.S.C. - Rajasthan University India |
| | 1966 - M.B., B.S. - Rajasthan University India |
| | 1970 - Certified - Indian Board of Internal Medicine. |
| | 1977 - Certified - American Board of Internal Medicine. |
| | 1981 - Certified - Sub-Speciality Board of Cardiovascular Diseases. |
| Post Graduate Training and Fellowship Appointments: | 1966-67 Internship in Medicine |
| | 1968-70 Research Fellow Indian Council of Medicine Research |
| | 1971-73 Senior Registrar Cardiology, New Delhi, India |
| | 1973-75 Fellow in Cardiology, Albany Medical Center. Albany, New York |

| | |
|---|---|
| 1975-77 | Residency in Internal Medicine. University of Connecticut School of Medicine, and affiliated hospitals. |
| 1977-78 | Fellow in Cardiology, Mount Sinai Hospital |
| 1978-Present | Attending Cardiologist, Mount Sinai Hospital Hartford, Conn. |
| 1982-Present | Assistant Clinical Professor of Medicine, University of Connecticut School of Medicine. |

**Professional and Speciality Certificates:**

| | |
|---|---|
| 1966 - | M.B., B.S. |
| 1970 | Indian Board of Internal Medicine |
| 1975 | FLEX |
| 1977 | American Board of Internal Medicine |
| 1981 | Sub-Speciality Board of Cardiovascular Diseases |

**Licensure:**

Connecticut

California

New York

**Awards, Honors and Membership in Honorary Societies:**

| | |
|---|---|
| 1958 | Best Graduates Award |
| 1969 | Thesis - University of Delhi "LACTASE ACTIVITY IN IRRITABLE COLON SYNDROME" |
| 1969-71 | Research Fellow Indian Council of Medical Research |

**Membership in Professional and Scientific Societies:**

Fellow American College of Physicians

Fellow American College of Cardiology

Member American Heart Association of Greater Hartford

Publications

1. Thesis - "LACTASE ACTIVITY IN IRRITABLE COLON SYNDROME", University of Delhi -1969

2. Lactase Activity in Irritable Colon Syndrome J Asso Physician India 19:507-10, Jul 71

3. Sacheti CK, Gandel PN, Aronson AL, Francis CK, Paradoxial Embolism. Conn Med 43 (5) 278-80, May 79

4. Francis CK, Sacheti CK, Cohen RB. Fistulus Communications between the left Coronary artery and main Pulmonary artery. Catheterization Cardiovascular Diagnosis 5:357-366 (1979)

5. Abstract - Francis CK, Sacheti CK. Change in Left ventricular wall thickness and Dimension with blood pressure reduction in patients with severe Hypertension. Chest, 78:3, September, 1980

6. Sacheti CK, Francis CK. Wolff-Parkinson-White Syndrome masking electrocardiographic changes of myocardial infarction. To be submitted

7. Left Axis Deviation - A Clinical review and its significance. To be Submitted.

8. Effect of Intracoronary Streptokinase on Myocardial Infarct Expansion as Assessed by Two-Demension Echo-Cardiography Under Study.

# CURRICULUM VITAE

**ARSHAD MAHMOOD YEKTA, M.D.**
219 Kent Lane
South Windsor CT 06074
Phone: 860-648-2844
Cell: 860-424-1309
Email: arshadyekta@hotmail.com

**DATE OF BIRTH:** 09/29/1977 Hartford, Connecticut

**SPECIALTY:** Cardiology

## EDUCATION

| | | |
|---|---|---|
| **Undergraduate** | Bachelor of Science<br>With Honors in Biology<br>Trinity College<br>Hartford, Connecticut | 1999 |
| **Graduate** | Medical Doctor (M.D.)<br>Tufts University School of Medicine<br>Boston, Massachusettes | 2003 |
| **Clinical Training** | Residency in Internal Medicine<br>Mount Sinai Medical Center<br>New York, New York | 2003-2006 |
| **Advanced Clinical Training** | Fellowship in Cardiovascular Diseases<br>Hartford Hospital/University of Connecticut<br>Hartford, Connecticut | 2006-2009 |

## LICENSURE

New York #237495

## BOARD CERTIFICATION

Diplomate, American Board of Internal Medicine 2006

## OTHER EXAMINATIONS AND CERTIFICATIONS

Level 2 (expected) in Cardiac Computed Tomography, Nuclear Cardiology, Echocardiography, Vascular Ultrasound, Cardiac Catheterization

| | |
|---|---|
| USMLE Step 3 | 3/2005 |
| USMLE Step 2 | 1/2003 |
| USMLE Step 1 | 1/2001 |

## PROFESSIONAL ASSOCIATIONS

Phi Beta Kapa
New York State Medical Society
American Society of Nuclear Cardiology
American College of Cardiology
American Society of Echocardiography

## HONORS AND AWARDS

Trinity College

| | |
|---|---|
| James M Vanstone Memorial Prize in Biology | 1996 |
| Honor Roll | 1995-1999 |

## LANGUAGES

English, Spanish, Urdu, Hindi

## PERSONAL INTERESTS

Basketball, Tennis, Football, Reading, Weight Lifting, Traveling

## PUBLICATIONS

### A. Publications

1. Cor Triatriatum: A Multimodality Approach. Amyn Malik MD, Daniel Fram MD, Amir Mohani MD, Mark Fisherkeller MD, Arshad Yekta MD, Yaqoob Mohyuddin MD, Cynthia Taub MD. *Canadian Journal of Cardiology* 2008; 24(3)e19-20.

2. Temperature Dependence of Electrocommunication Signals and In Vitro Firing Rate of Pacemaker Neurons in an Electric Fish. Kent Dunlap, Arshad Yekta, G. Troy Smith. *Brain, Behavior and Evolution* 2000; 55(152-162)

3. Effect of Light on the Electric Organ Discharge of *Apteronotus leptorhynchus* and the Possible Role of Melatonin. *Trinity College Press* 1999.

### B. Posters

1. Do the American Heart Association Guidelines Prevent Endocarditis? Arshad Yekta MD, Eric Krieger MD, Lori Croft MD, Martin Godlman MD. American College of Cardiology 2005.

2. Prognosis of Normal Rb-82 PET Myocardial Perfusion Study. Dmitry Nemirovsky MD, Lane Duvall MD, Arshad Yekta MD, Lori Croft MD, Orlandino Almeida MD, Josef Machac MD, Milena Henzlova MD. American Society of Nuclear Cardiology 2005.

3. Outcomes Analysis of Stress Only Gated SPECT Imaging with Attenuation Correction in Patients with Suspected Coronary Artery Disease. Arshad Yekta MD, Richard Ruffin MD, Alan Ahlberg MA, Deborah Katten RN, Gary Heller MD. American College of Cardiology, 2009.

# Attachment 5b

Internal Revenue Service

Department of the Treasury

Washington, DC 20224

Rockville General Hospital, Inc.
31 Union Street
Rockville, CN 06066

Person to Contact:

Telephone Number:

Refer Reply to: OP:E:EO:R:3

Date: FEB 18 1987

Employee Identification Number: 06-0653151
Key District: Brooklyn

Legend: M = Rockville General Hospital, Inc.
X = Rockville Area Health Services, Inc.
Y = Tolland County Health Care, Inc.
Z = RGH, Inc.

Dear Sir or Madam:

This is in response to your ruling request dated October 3, 1985, submitted on your behalf by your authorized representative concerning the effects of the proposed reorganization as described below.

M is a hospital currently recognized as exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code and classified as a public charity under sections 509(a)(1) and 170(b)(1)(A)(iii).

The complexities of M operating as an acute care hospital and M's associated activities have become increasingly burdensome in recent years. At the same time, the demands on the time of persons on the Board of Trustees and Executive Committe of M has increased. Furthermore, M's commitments to make its services available to all who may need them requires that some of these services be performed at locations other than M's own facilities. The combination of these factors has resulted in M studying alternatives to its present organisational structure. The alternative chosen by M is a plan of reorganization with X, Y, and Z which will result in M transferring some of its non-inpatient care activities to either X, Y, or Z. All of the corporations in the system will thereby be able to promote more efficient delivery of health care for M's community.

X, a nonstock corporation formed in October, 1985, shall be the sole member of M and Y, and the sole shareholder of Z. The original and current members of X are those persons who were members of M as of the date X's Bylaws were adopted. Other members shall be those persons elected by the current membership. The members shall also elect the Board of Directors. The Bylaws of X provide that a majority of the entire board of X shall at all times consist of persons who are also on the board of M, as well as of persons who are on the board of Y. The Bylaws further provide that the board of directors of X shall consist of not less than ten no more than eighteen directorships.

Address any reply to:

**US Treasury Department**

P. O. Box 2158
Hartford, Connecticut 06101

**District Director**

**Internal Revenue Service**

Date: JUL 1 1968

In reply refer to:
AU:R:EO Call 244-2433
HAR-EO-68-196

The Rockville General Hospital, Incorporated
31 Union Street
Rockville, Connecticut 06066

Purpose: Charitable

Address Inquiries and File Returns with District Director of Internal Revenue: Hartford, Connecticut

Form 990-A Required: ☒ Yes ☐ No

Accounting Period Ending: September 30.

Gentlemen:

On the basis of your stated purposes and the understanding that your operations will continue as evidenced to date or will conform to those proposed in your ruling application, we have concluded that you are exempt from Federal income tax as an organization described in section 501(c)(3) of the Internal Revenue Code. Any changes in operation from those described, or in your character or purposes, must be reported immediately to your District Director for consideration of their effect upon your exempt status. You must also report any change in your name or address.

You are not required to file Federal income tax returns so long as you retain an exempt status, unless you are subject to the tax on unrelated business income imposed by section 511 of the Code, in which event you are required to file Form 990-T. Our determination as to your liability for filing the annual information return, Form 990-A, is set forth above. That return, if required, must be filed on or before the 15th day of the fifth month after the close of your annual accounting period indicated above.

Contributions made to you are deductible by donors as provided in section 170 of the Code. Bequests, legacies, devises, transfers or gifts to or for your use are deductible for Federal estate and gift tax purposes under the provisions of section 2055, 2106 and 2522 of the Code.

You are not liable for the taxes imposed under the Federal Insurance Contributions Act (social security taxes) unless you file a waiver of exemption certificate as provided in such act. You are not liable for the tax imposed under the Federal Unemployment Tax Act. Inquiries about the waiver of exemption certificate for social security taxes should be addressed to this office, as should any questions concerning excise, employment or other Federal taxes.

This is a determination letter. **The ruling of April 30, 1950 is hereby affirmed.**

Very truly yours,

Joseph J. Conley Jr.

JOSEPH J. CONLEY, JR.
District Director

FORM L-178 (REV. 8-66)

# Attachment 6a

# Financial Attachment I (ECHN)

**6a.** Please provide one year of actual results and three years of projections of **Total Facility** revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

| Total Facility: Description | Audited FY2011 Actual Results | Unaudited FY2012 Actual Results | FY2013 Projected W/out CON | FY2013 Projected Incremental | FY2013 Projected With CON | FY2014 Projected W/out CON | FY2014 Projected Incremental | FY2014 Projected With CON | FY2015 Projected W/out CON | FY2015 Projected Incremental | FY2015 Projected With CON |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **NET PATIENT REVENUE** | | | | | | | | | | | |
| Non-Government | $143,741,882 | $152,343,172 | $155,390,035 | $0 | $155,390,035 | $158,497,836 | $0 | $158,497,836 | $161,667,793 | $0 | $161,667,793 |
| Medicare | $81,018,152 | $85,866,151 | $87,583,474 | $0 | $87,583,474 | $89,335,144 | $0 | $89,335,144 | $91,121,847 | $0 | $91,121,847 |
| Medicaid and Other Medical Assistance | $36,588,843 | $38,778,262 | $39,553,827 | $0 | $39,553,827 | $40,344,904 | $0 | $40,344,904 | $41,151,802 | $0 | $41,151,802 |
| Other Government | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Total Net Patient Revenue | $261,348,876 | $276,987,585 | $282,527,337 | $0 | $282,527,337 | $288,177,883 | $0 | $288,177,883 | $293,941,441 | $0 | $293,941,441 |
| Other Operating Revenue | $19,641,309 | $23,249,969 | $23,714,968 | $0 | $23,714,968 | $24,189,268 | $0 | $24,189,268 | $24,673,053 | $0 | $24,673,053 |
| Revenue from Operations | $280,990,185 | $300,237,554 | $306,242,305 | $0 | $306,242,305 | $312,367,151 | $0 | $312,367,151 | $318,614,494 | $0 | $318,614,494 |
| **OPERATING EXPENSES** | | | | | | | | | | | |
| Salaries and Fringe Benefits | $169,914,994 | $179,186,577 | $182,770,309 | $0 | $182,770,309 | $186,425,715 | $0 | $186,425,715 | $190,154,229 | $0 | $190,154,229 |
| Supplies and Drugs | $83,802,696 | $89,109,567 | $90,891,758 | $0 | $90,891,758 | $92,709,594 | $0 | $92,709,594 | $94,563,785 | $0 | $94,563,785 |
| Bad Debts | $11,106,480 | $11,230,211 | $11,454,815 | $0 | $11,454,815 | $11,683,912 | $0 | $11,683,912 | $11,917,590 | $0 | $11,917,590 |
| Subtotal | $264,824,170 | $279,526,355 | $285,116,882 | $0 | $285,116,882 | $290,819,220 | $0 | $290,819,220 | $296,635,604 | $0 | $296,635,604 |
| Depreciation/Amortization | $11,898,918 | $11,771,224 | $12,006,648 | $0 | $12,006,648 | $12,246,781 | $0 | $12,246,781 | $12,491,717 | $0 | $12,491,717 |
| Interest Expense | $4,224,420 | $3,981,831 | $4,061,468 | $0 | $4,061,468 | $4,142,697 | $0 | $4,142,697 | $4,225,551 | $0 | $4,225,551 |
| Total Operating Expense | $280,947,508 | $295,279,410 | $301,184,998 | $0 | $301,184,998 | $307,208,698 | $0 | $307,208,698 | $313,352,872 | $0 | $313,352,872 |
| Gain/(Loss) from Operations | $42,677 | $4,958,144 | $5,057,307 | $0 | $5,057,307 | $5,158,453 | $0 | $5,158,453 | $5,261,622 | $0 | $5,261,622 |
| Plus: Non-Operating Revenue | ($1,341,596) | ($1,200,540) | ($1,224,551) | $0 | ($1,224,551) | ($1,249,042) | $0 | ($1,249,042) | ($1,274,023) | $0 | ($1,274,023) |
| Revenue Over/(Under) Expense | ($1,298,919) | $3,757,604 | $3,832,756 | $0 | $3,832,756 | $3,909,411 | $0 | $3,909,411 | $3,987,599 | $0 | $3,987,599 |
| FTEs | 1,541 | 1,565 | 1,565 | 0 | 1,565 | 1,565 | 0 | 1,565 | 1,565 | 0 | 1,565 |
| **Volume Statistics:** | | | | | | | | | | | |
| Inpatient Cardiac Catheterizations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Outpatient Cardiac Catheterizations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

# Financial Attachment I (RGH)

**6a.** Please provide one year of actual results and three years of projections of **Total Facility** revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

| **Total Facility:** Description | Audited FY2011 Actual Results | Unaudited FY2012 Actual Results | FY2013 Projected W/out CON | FY2013 Projected Incremental | FY2013 Projected With CON | FY2014 Projected W/out CON | FY2014 Projected Incremental | FY2014 Projected With CON | FY2015 Projected W/out CON | FY2015 Projected Incremental | FY2015 Projected With CON |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **NET PATIENT REVENUE** | | | | | | | | | | | |
| Non-Government | $34,862,914 | $37,316,195 | $38,062,519 | $0 | $38,062,519 | $38,823,769 | $0 | $38,823,769 | $39,600,245 | $0 | $39,600,245 |
| Medicare | $19,650,006 | $21,032,764 | $21,453,420 | $0 | $21,453,420 | $21,882,488 | $0 | $21,882,488 | $22,320,138 | $0 | $22,320,138 |
| Medicaid and Other Medical Assistance | $8,874,196 | $9,498,668 | $9,688,641 | $0 | $9,688,641 | $9,882,414 | $0 | $9,882,414 | $10,080,062 | $0 | $10,080,062 |
| Other Government | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Total Net Patient Revenue | $63,387,116 | $67,847,627 | $69,204,580 | $0 | $69,204,580 | $70,588,671 | $0 | $70,588,671 | $72,000,445 | $0 | $72,000,445 |
| Other Operating Revenue | $4,793,055 | $5,570,458 | $5,681,867 | $0 | $5,681,867 | $5,795,505 | $0 | $5,795,505 | $5,911,415 | $0 | $5,911,415 |
| Revenue from Operations | $68,180,171 | $73,418,085 | $74,886,447 | $0 | $74,886,447 | $76,384,176 | $0 | $76,384,176 | $77,911,859 | $0 | $77,911,859 |
| **OPERATING EXPENSES** | | | | | | | | | | | |
| Salaries and Fringe Benefits | $38,374,418 | $40,018,288 | $40,818,654 | $0 | $40,818,654 | $41,635,027 | $0 | $41,635,027 | $42,467,727 | $0 | $42,467,727 |
| Supplies and Drugs | $21,930,029 | $24,973,316 | $25,472,782 | $0 | $25,472,782 | $25,982,238 | $0 | $25,982,238 | $26,501,883 | $0 | $26,501,883 |
| Bad Debts | $2,925,278 | $3,309,948 | $3,376,147 | $0 | $3,376,147 | $3,443,670 | $0 | $3,443,670 | $3,512,543 | $0 | $3,512,543 |
| Subtotal | $63,229,725 | $68,301,552 | $69,667,583 | $0 | $69,667,583 | $71,060,935 | $0 | $71,060,935 | $72,482,153 | $0 | $72,482,153 |
| Depreciation/Amortization | $3,672,297 | $3,811,951 | $3,888,190 | $0 | $3,888,190 | $3,965,954 | $0 | $3,965,954 | $4,045,273 | $0 | $4,045,273 |
| Interest Expense | $1,115,177 | $719,106 | $733,488 | $0 | $733,488 | $748,158 | $0 | $748,158 | $763,121 | $0 | $763,121 |
| Total Operating Expense | $68,017,199 | $72,832,609 | $74,289,261 | $0 | $74,289,261 | $75,775,046 | $0 | $75,775,046 | $77,290,547 | $0 | $77,290,547 |
| Gain/(Loss) from Operations | $162,972 | $585,476 | $597,186 | $0 | $597,186 | $609,129 | $0 | $609,129 | $621,312 | $0 | $621,312 |
| Plus: Non-Operating Revenue | ($855,256) | ($179,962) | ($183,561) | $0 | ($183,561) | ($187,232) | $0 | ($187,232) | ($190,977) | $0 | ($190,977) |
| Revenue Over/(Under) Expense | ($692,284) | $405,514 | $413,624 | $0 | $413,624 | $421,897 | $0 | $421,897 | $430,335 | $0 | $430,335 |
| Cardiac Catheterization Service FTEs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **Volume Statistics:** | | | | | | | | | | | |
| Inpatient Cardiac Catheterizations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Outpatient Cardiac Catheterizations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

# Attachment 6b

**6b.** Please provide **three** years of projections of incremental revenue, expense and volume statistics **attributable to the proposal** in the following reporting format:

Type of Service Description: Diagnostic Cardiac Catheterizations
Type of Unit Description: Procedures
# of Months in Operation: 12

| FY2013 | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
|---|---|---|---|---|---|---|---|---|---|---|
| **FY Projected Incremental** | | Rate | Units | Gross Revenue Col. 2 * Col. 3 | Allowances/ Deductions | Charity Care | Bad Debt | Net Revenue Col.4 - Col.5 -Col.6 - Col.7 | Operating Expenses Col. 1 Total * Col. 4 / Col. 4 Total | Gain/(Loss) from Operations Col. 8 - Col. 9 |
| Total Incremental Expenses: | **$0** | | | | | | | | | |
| **Total Facility by Payer Category:** | | | | | | | | | | |
| Medicare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Medicaid | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| CHAMPUS/TriCare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total Governmental** | | | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Commericial Insurers | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Uninsured | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total NonGovernment** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total All Payers** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |

| FY2014 | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
|---|---|---|---|---|---|---|---|---|---|---|
| **FY Projected Incremental** | | Rate | Units | Gross Revenue Col. 2 * Col. 3 | Allowances/ Deductions | Charity Care | Bad Debt | Net Revenue Col.4 - Col.5 -Col.6 - Col.7 | Operating Expenses Col. 1 Total * Col. 4 / Col. 4 Total | Gain/(Loss) from Operations Col. 8 - Col. 9 |
| Total Incremental Expenses: | **$0** | | | | | | | | | |
| **Total Facility by Payer Category:** | | | | | | | | | | |
| Medicare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Medicaid | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| CHAMPUS/TriCare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total Governmental** | | | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Commericial Insurers | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Uninsured | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total NonGovernment** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total All Payers** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |

| FY2015 | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
|---|---|---|---|---|---|---|---|---|---|---|
| **FY Projected Incremental** | | Rate | Units | Gross Revenue Col. 2 * Col. 3 | Allowances/ Deductions | Charity Care | Bad Debt | Net Revenue Col.4 - Col.5 -Col.6 - Col.7 | Operating Expenses Col. 1 Total * Col. 4 / Col. 4 Total | Gain/(Loss) from Operations Col. 8 - Col. 9 |
| Total Incremental Expenses: | **$0** | | | | | | | | | |
| **Total Facility by Payer Category:** | | | | | | | | | | |
| Medicare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Medicaid | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| CHAMPUS/TriCare | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total Governmental** | | | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Commericial Insurers | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Uninsured | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total NonGovernment** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **Total All Payers** | | $0 | 0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |

# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

*Office of Health Care Access*

December 18, 2012 VIA FAX & EMAIL ONLY

Ms. Gina Kline
Director, Strategic Planning and Market Research
Rockville General Hospital
71 Haynes Street
Manchester, CT 06040

RE: Certificate of Need Application; Docket Number: 12-31805-CON
Rockville General Hospital and Saint Francis Hospital & Medical Center
Termination of Diagnostic Cardiac Catheterization Services

Dear Ms. Kline:

On November 20, 2012, the Office of Health Care Access ("OHCA") received the initial Certificate of Need application filing from Rockville General Hospital ("RGH") to terminate a diagnostic catheterization program, at RGH in Vernon, Connecticut.

**Note:** For the purposes of this application, OHCA has designated Saint Francis Hospital and Medical Center ("SFHMC") as a co-applicant.

OHCA has reviewed the CON application and requests the following additional information pursuant to General Statutes §19a-639a(c):

1. OHCA would like the following items completed and submitted as part of this Completeness Letter:
   a. A signed affidavit from SFHMC;
   b. OHCA's Financial Attachment I (see enclosed); and
   c. Provide all the assumptions related to the financial projections in Financial Attachment I and provide a crosswalk between the co-applicants' Financial Attachment I, as necessary.

2. On pages 18-19 of the application, RGH states that there are currently no patients scheduled for cardiac catheterization services but also no other providers in the RGH service area that provide the cardiac catheterization service. Explain in detail how RGH's patients will continue to have access to diagnostic cardiac catheterization services if needed.

3. Will RGH maintain a complement of cardiology services such as preventive cardiology, echocardiography, nuclear medicine stress testing, etc. after the termination of the cardiac catheterization service?

4. What is the process/protocol regarding transferring arrangements to treat and support emergent patients who might need cardiology services?

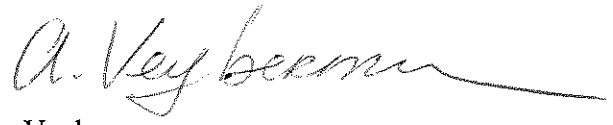
5. On page 15 of the application, RGH states that in 2012 ECHN engaged a consultant to help develop a plan for cardiac services offered by ECHN and assist with an ongoing dialog with affiliated cardiologists. Please provide a copy of that plan or any other materials which demonstrate the efforts to improve referrals to the program.

6. Please provide, as available, the current number of diagnostic catheterizations performed on residents of the RGH's service area at SFHMC in calendar year 2012.

In responding to the questions contained in this letter, please repeat each question before providing your response. **Paginate and date** your response, i.e., each page in its entirety. Information filed after the initial CON application submission (e.g., completeness response letter, prefile testimony, late file submissions and the like) must be numbered sequentially from the Applicants document preceding it. Please begin your submission using Page 155 and reference "Docket Number: 12-31805-CON." Submit one (1) original and six (6) hard copies of your response. In addition, please submit a scanned copy of your response, in an Adobe format (pdf) including all attachments, on CD. If available, a copy of the response in MS Word should also be provided on the CD.

If you have any questions concerning this letter, please feel free to contact Alla Veyberman (860) 418-7007.

Sincerely,



Alla Veyberman
Health Care Analyst

Attachment 1

12. C (i). Please provide one year of actual results and three years of projections of **Total Facility** revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

| Total Facility: Description | FY Actual Results | FY Projected W/out CON | FY Projected Incremental | FY Projected With CON | FY Projected W/out CON | FY Projected Incremental | FY Projected With CON | FY Projected W/out CON | FY Projected Incremental | FY Projected With CON |
|---|---|---|---|---|---|---|---|---|---|---|
| **NET PATIENT REVENUE** | | | | | | | | | | |
| Non-Government | | | | $0 | | | $0 | | | $0 |
| Medicare | | | | $0 | | | $0 | | | $0 |
| Medicaid and Other Medical Assistance | | | | $0 | | | $0 | | | $0 |
| Other Government | | | | $0 | | | $0 | | | $0 |
| Total Net Patient Patient Revenue | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Other Operating Revenue | | | | | | | | | | |
| Revenue from Operations | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| **OPERATING EXPENSES** | | | | | | | | | | |
| Salaries and Fringe Benefits | | | | $0 | | | $0 | | | $0 |
| Professional / Contracted Services | | | | $0 | | | $0 | | | $0 |
| Supplies and Drugs | | | | $0 | | | $0 | | | $0 |
| Bad Debts | | | | $0 | | | $0 | | | $0 |
| Other Operating Expense | | | | $0 | | | $0 | | | $0 |
| Subtotal | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Depreciation/Amortization | | | | $0 | | | $0 | | | $0 |
| Interest Expense | | | | $0 | | | $0 | | | $0 |
| Lease Expense | | | | $0 | | | $0 | | | $0 |
| Total Operating Expense | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Gain/(Loss) from Operations | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| Plus: Non-Operating Revenue | | | | $0 | | | $0 | | | $0 |
| Revenue Over/(Under) Expense | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 | $0 |
| FTEs | | | | 0 | | | 0 | | | 0 |

*Volume Statistics:
Provide projected inpatient and/or outpatient statistics for any new services and provide actual and projected inpatient and/or outpatient statistics for any existing services which will change due to the proposal.

**STATE OF CONNECTICUT**
**OFFICE OF HEALTH CARE ACCESS**

***FAX SHEET***

**TO:** GINA KLINE

**FAX:** 860.647.6860

**AGENCY:** ROCKVILLE GENERAL HOSPITAL

**FROM:** OHCA

**DATE:** 12/18/2012 **Time:**

**NUMBER OF PAGES:** 3 & Attachment 1
*(including transmittal sheet*

**Comments:**
Docket Number: 12-31805-CON

***PLEASE PHONE IF THERE ARE ANY TRANSMISSION PROBLEMS***


***Phone: (860) 418-7001*** ***Fax: (860) 418-7053***

***410 Capitol Ave., MS#13HCA***
***P.O.Box 340308***
***Hartford, CT 06134***

**********
*** TX REPORT ***
**********

TRANSMISSION OK

| | |
|---|---|
| TX/RX NO | 3211 |
| RECIPIENT ADDRESS | 98606476860 |
| DESTINATION ID | |
| ST. TIME | 12/18 12:46 |
| TIME USE | 00'45 |
| PAGES SENT | 4 |
| RESULT | OK |

**AGENCY:** ROCKVILLE GENERAL HOSPITAL

**FROM:** OHCA

**DATE:** 12/18/2012 **Time:**

**NUMBER OF PAGES:** 3 & Attachment 1
*(including transmittal sheet*

**Comments:**

Docket Number: 12-31805-CON

***PLEASE PHONE TRANSMISSION PROBLEMS IF THERE ARE ANY***

***Phone: (860) 418-7001 Fax: (860) 418-7053***

***410 Capitol Ave., MS#13HCA***
***P.O.Box 340308***
***Hartford, CT 06134***

ECHN
Eastern Connecticut Health Network

RECEIVED
JAN 18 2013

Eastern Connecticut Health Network
71 Haynes Street
Manchester, CT 06040
860.533.3414
www.echn.org

January 15, 2013

Alla Veyberman, Health Care Analyst
Department of Public Health - Office of Health Care Access
410 Capitol Avenue, MS# 13HCA
P.O. Box 340308
Hartford, CT 06134-0308

Re: Certificate of Need Application, Docket Number 12-31805-CON
Rockville General Hospital and Saint Francis Hospital & Medical Center (SFHMC)
Termination of Diagnostic Cardiac Catheterization Services

Dear Ms. Veyberman:

On December 18, 2012 we received OHCA's request for additional information and/or clarification regarding the Certificate of Need Application referenced above. Please find our responses below:

1. OHCA would like the following items completed and submitted as part of this Completeness Letter:
    a. A signed affidavit from SFHMC;
    b. OHCA's Financial Attachment I; and
    c. Provide all the assumptions related to the financial projections in Financial Attachment I and provide a crosswalk between the co-applicant's Financial Attachment I as necessary.

**Response:**

Please find the signed affidavit from SFHMC, OHCA's Financial Attachment I completed for SFHMC and the assumptions associated with these financials included as **Attachment 1**.

A crosswalk between the co-applicant's Financial Attachments is not necessary as there is no impact on ECHN's financial projections and no expenses shared by the co-applicants related to the delivery of diagnostic cardiac catheterization with or without authorization of this CON application.

As a point of clarification, SFHMC is projecting $30,000 in other operating expense associated with CON authorization to remove the existing cardiac catheterization equipment from RGH.

2. On pages 18-19 of the application, RGH states that there are currently no patients scheduled for cardiac catheterization services but also no other providers in the RGH service area that provide cardiac catheterization services. Explain in detail how RGH's patients will continue to have access to diagnostic cardiac catheterization services if needed.

**Response:**

Patients with a history of cardiac-related problems that would benefit from a diagnostic cardiac catheterization are generally identified by the cardiologist during an examination scheduled in the cardiologist's office. These patients are not exhibiting any immediate symptoms of a heart attack and thus are not likely to present in the hospital emergency room for care. As described previously in the CON application, the cardiologists typically refer these patients directly to SFHMC where both the diagnostic cardiac catheterization and invasive cardiac catheterization procedures such as percutaneous coronary intervention (PCI) can be performed if necessary.

Patients presenting directly to the emergency department at RGH with acute symptoms of a heart attack would not be candidates for a diagnostic cardiac catheterization. These patients would likely be transported to SFHMC to have an invasive cardiac procedure performed.

3. Will RGH maintain a complement of cardiology services such as preventative cardiology, echocardiology, nuclear medicine stress testing, etc. after the termination of the cardiac catheterization service?

**Response:**

RGH currently provides inpatient care for patients experiencing less severe cardiac symptoms or congestive heart failure, and provides outpatient cardiology services, including electrocardiograms, echocardiograms, holter monitoring, stress testing and cardiac rehabilitation services. RGH will continue to maintain this complement of cardiology services after the discontinuation of diagnostic cardiac catheterization services.

4. What is the process/protocol regarding transferring arrangements to treat and support emergent patients who might need cardiology services.

**Response:**

In the event that a patient having symptoms of a myocardial infarction does present in the emergency room at RGH, an electrocardiogram (ECG) is performed to aid in the diagnosis of the patient and identify patients that could potentially benefit from a cardiac catheterization procedure. The ECG is a test that is used to determine if the symptoms the patient is experiencing may be caused by a blocked or partially blocked coronary artery.

Patients with a ST Segment Elevation Myocardial Infarction (STEMI) as determined by the ECG result are immediately transferred to SFHMC as ST elevation is indicative of a blocked coronary artery.[1] These patients require a more invasive cardiac intervention such as PCI or open heart surgery, which RGH is not authorized to provide. Patients without the ST segment elevation (non-ST Segment Elevation Myocardial Infarction, NSTEMI)[2] will be admitted to RGH where the patient's cardiac enzymes will be monitored until the patient is well enough to be discharged. If at any time during the inpatient stay an ST segment elevation develops the patient will be transferred to SFHMC by ambulance where the ECHN cardiologists also have privileges and PCI procedures are available. In the event that SFHMC cannot accommodate the patient, the patient will be transferred by ambulance to Hartford Hospital. Regardless of where the patient is transferred, patients experiencing the acute symptoms of a heart attack are not candidates for a diagnostic cardiac catheterization alone. The ability to perform a PCI needs to be available to these patients.

5. On page 15 of the application, RGH states that in 2012 ECHN engaged a consultant to help develop a plan for cardiac services offered by ECHN and assist with an ongoing dialog with affiliated cardiologists. Please provide a copy of that plan or any other materials which demonstrate the efforts to improve referrals to the program.

**Response:**

Corazon, Inc. was engaged by ECHN to develop a strategic plan for cardiac, vascular, and stroke services. The executive summary outlining the engagement objectives with Corazon as well as their final recommendations related to the cardiac catheterization laboratory have been provided as **Attachment 2**.

---

[1] http://heartdisease.about.com/od/heartattack/g/STEMI.htm

[2] http://heartdisease.about.com/od/heartattack/g/NSTEMI.htm

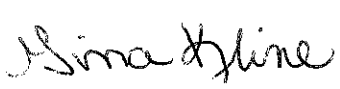
6. Please provide, as available the current number of diagnostic cardiac catheterizations performed on residents of RGH's service area at SFHMC in calendar year 2012.

**Response:**

The total number of diagnostic cardiac catheterizations performed on residents of RGH's service area at SFHMC in calendar year 2012 and fiscal year 2012 has been included as **Attachment 3**.

Please accept the above as our response to the completeness questions posed on December 18, 2012. If you have any other questions or require additional clarification please do not hesitate to give me a call at (860) 533-3427.

Sincerely,



Gina Kline
Director, Strategic Planning & Market Research

cc: Christopher Hartley, SVP of Planning and Facilities Development, SFHMC
Dennis P. McConville, SVP, Planning, Marketing and Communications, ECHN

# ATTACHMENT 1

## RESPONSE TO QUESTION #1 (a,b,c)

# Affidavit

**Applicant:** Saint Francis Hospital and Medical Center

**Project Title:** Discontinuation of Diagnostic Cardiac Catheterization Services

I, Christopher M. Dadlez, President and CEO
(Individual's Name) (Position Title – CEO or CFO)

of Saint Francis Hospital and Medical Center being duly sworn, depose and state that
(Hospital or Facility Name)

Saint Francis Hospital and Medical Center information submitted in this Certificate of
(Hospital or Facility Name)

Need Application is accurate and correct to the best of my knowledge.

Signature 1-11-13
Date

Subscribed and sworn to before me on January 11, 2013

Notary Public/~~Commissioner of Superior Court~~

My commission expires: July 31, 2014

## Saint Francis Hospital and Medical Center

**Please provide one year of actual results and three years of Saint Francis Hospital and Medical Center projections of revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:**

| Description | FY 2011 Actual Results | FY 2012 Actual Results | FY 2013 Projected W/out CON | FY 2013 Projected Incremental | FY 2013 Projected With CON | FY 2014 Projected W/out CON | FY 2014 Projected Incremental | FY 2014 Projected With CON | FY 2015 Projected W/out CON | FY 2015 Projected Incremental | FY 2015 Projected With CON |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **NET PATIENT REVENUE** | | | | | | | | | | | |
| Non-Government | 269,851,357 | 286,138,682 | 311,396,218 | - | 311,396,218 | 329,473,382 | - | 329,473,382 | 345,445,721 | - | 345,445,721 |
| Medicare | 258,456,390 | 264,981,400 | 268,472,263 | - | 268,472,263 | 272,096,639 | - | 272,096,639 | 275,769,943 | - | 275,769,943 |
| Medicaid and Other Medical Assistance | 82,731,828 | 94,062,551 | 92,904,666 | - | 92,904,666 | 94,145,461 | - | 94,145,461 | 95,403,007 | - | 95,403,007 |
| Other Government | 1,701,806 | 282,512 | 993,948 | - | 993,948 | 1,017,440 | - | 1,017,440 | 1,041,487 | - | 1,041,487 |
| Total Net Patient Revenue | 612,741,382 | 645,465,144 | 673,767,095 | - | 673,767,095 | 696,732,922 | - | 696,732,922 | 717,660,158 | - | 717,660,158 |
| Other Operating Revenue | 30,869,665 | 36,131,841 | 30,651,803 | - | 30,651,803 | 31,264,839 | - | 31,264,839 | 31,890,136 | - | 31,890,136 |
| Revenue from Operations | 643,611,046 | 681,596,985 | 704,418,898 | - | 704,418,898 | 727,997,761 | - | 727,997,761 | 749,550,294 | - | 749,550,294 |
| **OPERATING EXPENSES** | | | | | | | | | | | |
| Salaries and Fringe Benefits | 300,958,979 | 311,055,068 | 330,549,802 | - | 330,549,802 | 341,252,023 | - | 341,252,023 | 352,302,298 | - | 352,302,298 |
| Professional / Contracted Services | 93,536,847 | 91,271,991 | 89,000,334 | - | 89,000,334 | 92,587,074 | - | 92,587,074 | 96,317,226 | - | 96,317,226 |
| Supplies and Drugs | 114,547,580 | 113,969,857 | 110,312,805 | - | 110,312,805 | 114,758,444 | - | 114,758,444 | 119,381,836 | - | 119,381,836 |
| Bad Debts | 15,406,823 | 18,629,069 | 20,337,630 | - | 20,337,630 | 21,030,852 | - | 21,030,852 | 21,662,540 | - | 21,662,540 |
| Other Operating Expense | 78,774,642 | 85,541,051 | 87,879,011 | 30,000 | 87,909,011 | 91,302,266 | - | 91,302,266 | 94,980,655 | - | 94,980,655 |
| Subtotal | 603,224,870 | 620,467,036 | 638,079,582 | 30,000 | 638,109,582 | 660,930,660 | - | 660,930,660 | 684,644,556 | - | 684,644,556 |
| Depreciation/Amortization | 28,954,676 | 34,322,947 | 34,169,101 | - | 34,169,101 | 35,180,758 | - | 35,180,758 | 36,580,104 | - | 36,580,104 |
| Interest Expense | 9,580,860 | 11,964,520 | 12,461,558 | - | 12,461,558 | 11,722,588 | - | 11,722,588 | 11,400,217 | - | 11,400,217 |
| Lease Expense | 5,037,394 | 5,326,601 | 5,263,228 | - | 5,263,228 | 5,263,228 | - | 5,263,228 | 5,263,228 | - | 5,263,228 |
| Total Operating Expenses | 646,777,801 | 672,081,103 | 689,973,469 | 30,000 | 690,003,469 | 713,097,234 | - | 713,097,234 | 737,888,105 | - | 737,888,105 |
| Gain/(Loss) from Operations | (3,166,754) | 9,515,882 | 14,445,428 | (30,000) | 14,415,428 | 14,900,527 | - | 14,900,527 | 11,662,189 | - | 11,662,189 |
| Non-Operating Income/(Loss) | (12,703,120) | (10,794,169) | (1,050,000) | - | (1,050,000) | - | - | - | - | - | - |
| Revenue Over/(Under) Expense | (15,869,874) | (1,278,288) | 13,395,428 | (30,000) | 13,365,428 | 14,900,527 | - | 14,900,527 | 11,662,189 | - | 11,662,189 |
| FTEs | 3,554.4 | 3,541.9 | 3,695.8 | - | 3,695.8 | 3,732.8 | - | 3,732.8 | 3,770.1 | - | 3,770.1 |
| *Volume Statistics: | | | | | | | | | | | |
| Patient Days | 157,959 | 157,534 | 163,731 | - | 163,731 | 165,312 | - | 165,312 | 166,963 | - | 166,963 |
| Discharges | 31,842 | 32,111 | 34,099 | - | 34,099 | 34,440 | - | 34,440 | 34,784 | - | 34,784 |
| Average Length of Stay | 4.96 | 4.91 | 4.80 | - | 4.80 | 4.80 | - | 4.80 | 4.80 | - | 4.80 |
| Inpatient Cardiac Catheterizations | 2,006 | 1,587 | 1,587 | - | 1,587 | 1,587 | - | 1,587 | 1,587 | - | 1,587 |
| Outpatient Cardiac Catheterizations | 1,447 | 1,484 | 1,484 | - | 1,484 | 1,484 | - | 1,484 | 1,484 | - | 1,484 |
| Total Cardiac Catheterizations | 3,453 | 3,071 | 3,071 | - | 3,071 | 3,071 | - | 3,071 | 3,071 | - | 3,071 |

Provide projected inpatient and/or outpatient statistics for any new services and provide actual and projected inpatient and/or outpatient statistics for any existing services which will change due to the proposal.

## SAINT FRANCIS HOSPITAL AND MEDICAL CENTER

**Termination of Diagnostic Cardiac Catheterization Services**
**At Rockville General Hospital**
**Certificate of Need Application**

**WITHOUT THE PROJECT**

**ASSUMPTIONS**

**General**

1) T The projection for FY 2013 equals the hospital's budget.

**Volume**

2) Inpatient volume for patient days and discharges.
   a) FY 2013 patient days and discharges equal the budget.
   b) FY 2014 – FY 2015 patient days and discharges were increased by 1.0% over the previous year.

3) Outpatient visits for FY 2014 – FY 2015 were increased by 2.0% over the previous year.

4) Cardiac Catheterizations:
   a) FY 2013 – FY 2015 are assumed to equal FY 2012 actual.

**Revenue**

5) Price increases are as follows:

| | |
|---|---|
| FY 2014: | 10.00% |
| FY 2015: | 10.00% |

6) Free Care is projected at 1.06% of gross revenues consistent with historical experience.

7) Listed below are the projected payer rate increases to project FY 2014 through FY 2015.

| | FY 2014 | FY 2015 |
|---|---|---|
| Blue Cross | 4.0% | 3.0% |
| Commercial /Managed Care | 5.0% | 4.0% |
| Other Non-Gov | 1.0% | 1.0% |
| Medicare / Medicare Managed Care | 0.0% | 0.0% |
| Medicaid | 0.0% | 0.0% |
| Tri-Care Champus | 1.0% | 1.0% |

## SAINT FRANCIS HOSPITAL AND MEDICAL CENTER

**Termination of Diagnostic Cardiac Catheterization Services**
**At Rockville General Hospital**
**Certificate of Need Application**

**WITHOUT THE PROJECT**

**ASSUMPTIONS**
**Continued**

8) State Disproportionate Share Pool and Hospital User Tax is included in Medicaid and Other Medical Assistance Net Revenue. For FY 2014 through FY 2016 these funds were held constant at FY 2012 amounts.

**Expenses**

9) Listed below are the inflation rates used to project FY 2014 through FY 2016. Expenses were increased annually by the inflation factors.

| | 2014-2016 |
|---|---|
| Salaries and Wages | 2.0% |
| Employee Benefits | 3.0% |
| Professional Fees | 3.0% |
| Supplies and Drugs | 3.0% |
| Purchased Services | 3.0% |
| Other | 3.0% |

10) Salaries and Benefits, Professional and Contracted Services, Supplies and Drugs and Other operating expenses were also increased by the percentage increase in hospital discharges.

11) Bad Debt expense is projected at 3.0% of net patient revenues consistent with historical experience.

12) Depreciation expense for FY 2014 through FY 2015 was increased by 3.0%.

13) Interest equals hospital's outstanding bonds and letter of credit fee arrangements.

14) Lease expense for FY 2014 through FY 2015 equals FY 2013 budget.

## SAINT FRANCIS HOSPITAL AND MEDICAL CENTER

**Termination of Diagnostic Cardiac Catheterization Services**
**At Rockville General Hospital**
**Certificate of Need Application**

**INCREMENTALLY DUE TO THE PROJECT**

**ASSUMPTIONS**

**General**

1) The diagnostic cardiac catheterization program at RGH will be discontinued immediately upon receipt of CON authorization.

**Volume**

2) There is no incremental volume for the diagnostic cardiac catheterizations with the termination of diagnostic cardiac catheterization services at Rockville General Hospital.

**Expenses**

3) The removal of the equipment at RGH is estimated at $30,000.

## SAINT FRANCIS HOSPITAL AND MEDICAL CENTER

### Termination of Diagnostic Cardiac Catheterization Services At Rockville General Hospital

### WITH THE PROJECT

### ASSUMPTIONS

**General**

1) The projection for FY 2013 equals the hospital's budget.

**Volume**

2) Inpatient volume for patient days and discharges.
   a) FY 2013 patient days and discharges equal the budget.
   b) FY 2014 – FY 2015 patient days and discharges were increased by 1.0% over the previous year.

3) Outpatient visits for FY 2014 – FY 2015 were increased by 2.0% over the previous year.

4) Cardiac Catheterizations:
   a) FY 2013 – FY 2015 are assumed to equal FY 2012 actual.
   b) There is no incremental volume for the diagnostic cardiac catheterizations with the termination of diagnostic cardiac catheterization services at RGH.

**Revenue**

5) Price increases are as follows:

| | |
|---|---|
| FY 2014: | 10.00% |
| FY 2015: | 10.00% |

6) Free Care is projected at 1.06% of gross revenues consistent with historical experience.

7) Listed below are the projected payer rate increases to project FY 2014 through FY 2015.

| | FY 2014 | FY 2015 |
|---|---|---|
| Blue Cross | 4.0% | 3.0% |
| Commercial /Managed Care | 5.0% | 4.0% |
| Other Non-Gov | 1.0% | 1.0% |
| Medicare / Medicare Managed Care | 0.0% | 0.0% |
| Medicaid | 0.0% | 0.0% |
| Tri-Care Champus | 1.0% | 1.0% |

## SAINT FRANCIS HOSPITAL AND MEDICAL CENTER

### Termination of Diagnostic Cardiac Catheterization Services At Rockville General Hospital

### WITH THE PROJECT

### ASSUMPTIONS Continued

8) State Disproportionate Share Pool and Hospital User Tax is included in Medicaid and Other Medical Assistance Net Revenue. For FY 2014 through FY 2016 these funds were held constant at FY 2012 amounts.

**Expenses**

9) Listed below are the inflation rates used to project FY 2014 through FY 2016. Expenses were increased annually by the inflation factors.

| | 2014-2015 |
|---|---|
| Salaries and Wages | 2.0% |
| Employee Benefits | 3.0% |
| Professional Fees | 3.0% |
| Supplies and Drugs | 3.0% |
| Purchased Services | 3.0% |
| Other | 3.0% |

10) Salaries and Benefits, Professional and Contracted Services, Supplies and Drugs and Other operating expenses were also increased by the percentage increase in hospital discharges.
    a) The removal of the equipment at RGH is estimated at $30,000.

11) Bad Debt expense is projected at 3.0% of net patient revenues consistent with historical experience.

12) Depreciation expense for FY 2014 through FY 2015 was increased by 3.0%.

13) Interest equals hospital's outstanding bonds and letter of credit fee arrangements.

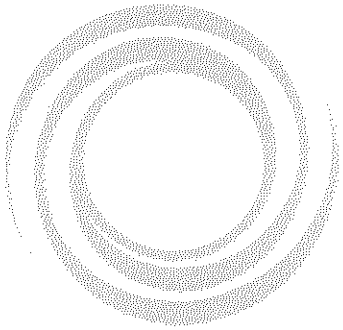
14) Lease expense for FY 2014 through FY 2015 equals FY 2013 budget.

# ATTACHMENT 2

## RESPONSE TO QUESTION #5

# ECHN Executive Summary

## Development Plan for Cardiac, Vascular, and Stroke Center of Excellence and Physician Alignment



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# Executive Summary

- Corazon was engaged by ECHN to develop a strategic plan for cardiac, vascular, and stroke services. ECHN is a two-hospital system with Rockville General Hospital (RGH) located in Vernon, CT, and Manchester Memorial Hospital (MMH) in Manchester, CT.
- This strategic plan focuses on current and future practices and trends at both campuses, promoting collaboration among the medical staff, administration, and management, as well as recommendations and activities to differentiate and market its integrated service line programs.
- The financial investment required to do all of the above was also analyzed.

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# Executive Summary

- During this project, Corazon was also requested to evaluate the development of an arrangement to align tertiary partners, key physicians, and physician groups within the integrated cardiac, vascular, and stroke service line models, creating potential Center of Excellence programs for ECHN.
- Additionally, Corazon would also facilitate discussions with ECHN key stakeholders and provide education around current service line and industry physician partnership models, as well as provide recommendations for next steps for the development of a partnership structure for the future.

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# Executive Summary

- Corazon conducted four on-site visits to Eastern Connecticut Health Network (ECHN).
- During the first visit, Corazon conducted interviews with ECHN stakeholders and physicians, and toured facilities at both campuses.
- The Corazon team presented interview findings and initial internal/external data, discussed a mission/vision statement for this engagement, and provided a physician alignment educational session to the Steering Committee during the second visit.
- On the third Corazon visit, strategic initiatives were presented inclusive of the service line gap assessment, volumes were projected for the program, the Steering Committee created a formalized a mission/vision statement, and further deliberated physician alignment options.

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# Executive Summary

- At the conclusion of the final visit, six strategic initiatives were prioritized and finalized, appropriate recommendations were made and a financial pro forma for the plan was reviewed.

- Key strategic initiatives included;
  - **to identify, and act on, an ECHN physician alignment model that would clearly define and detail the risks and rewards to both parties;**
  - **to move the cardiac catheterization lab to the Manchester campus under the auspices of an ECHN and physician joint venture and;**
  - **to verify and clarify appropriate tertiary partner clinical and business relationships using the Corazon recommended formal RFP approach.**

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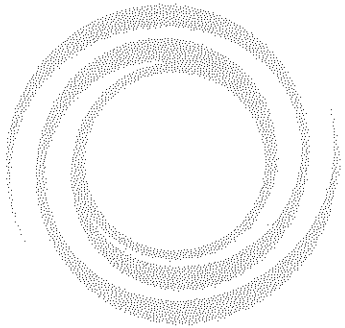
# Cardiac Catheterization Lab

*Joint Venture*



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# Executive Summary

- Cath Lab Joint Venture:
- The joint venture model is generally characterized as having moderate risk and complexity with the associated rewards highly dependent on co-opting qualified physician partners into the joint venture agreement.
- This model would be applicable to ECHN and qualified physician partners that would provide ECHN with stable market growth in general cardiology and new growth associated with more advanced cardiovascular services.

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# Executive Summary

- Corazon recommends the following:
- **The joint venture should occur only if ECHN receives approval to offer both Primary and Elective angioplasty services.**
- **The cath lab should be moved to the Manchester campus only under the auspices of a joint venture.**
- **Ownership control in this type of arrangement typically resides with the hospital but can be negotiated.**
- **The joint venture formation and operations would be funded by the purchase of shares.**

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# Executive Summary

- Corazon recommends the following:
- **ECHN should use the Crimson product suite to analyze physician practice and referral patterns to help determine qualified physician partners and verify potential procedural volumes.**
- **Additional internal ECHN discussions are warranted around electrophysiology and vascular procedural services being provided in the cath lab.**

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# ATTACHMENT 3

## RESPONSE TO QUESTION #6

## Diagnostic Cardiac Catheterizations performed at Saint Francis Hospital & Medical Center

**By Rockville General Hospital's Service Area Towns**

| Town | CY 2012 | FY 2012 |
|---|---|---|
| ANDOVER | 9 | 8 |
| ASHFORD | 17 | 22 |
| BOLTON | 16 | 17 |
| COLUMBIA | 3 | 5 |
| COVENTRY | 47 | 47 |
| EAST HARTFORD | 172 | 179 |
| EAST WINDSOR, EAST WINDSOR HILL & BROAD BROOK | 57 | 56 |
| ELLINGTON | 67 | 60 |
| HEBRON | 16 | 12 |
| GLASTONBURY | 40 | 41 |
| MANCHESTER | 304 | 320 |
| STORRS MANSFIELD & MANSFIELD CENTER | 17 | 18 |
| SOMERS | 27 | 38 |
| SOUTH WINDSOR | 125 | 122 |
| STAFFORD & STAFFORD SPRINGS | 51 | 52 |
| TOLLAND | 55 | 56 |
| VERNON ROCKVILLE | 158 | 168 |
| WILLINGTON | 7 | 11 |
| All Other Towns | 1,736 | 1,840 |
| **Total** | **2,924** | **3,072** |

# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

*Office of Health Care Access*

January 25, 2013 VIA FAX ONLY

Ms. Gina Kline
Director, Strategic Planning and Market Research
Rockville General Hospital
71 Haynes Street
Manchester, CT 06040

RE: Certificate of Need Application; Docket Number: 12-31805-CON
Rockville General Hospital and Saint Francis Hospital & Medical Center
Termination of Diagnostic Cardiac Catheterization Services

Dear Ms. Kline:

This letter is to inform you that, pursuant to Section 19a-639a (d) of the Connecticut General Statutes, the Office of Health Care Access has determined that the above-referenced application has been deemed complete as of January, 2013.

If you have any questions regarding this matter, please feel free to contact me at (860) 418-7007.

Sincerely,

A. Veyberman

Alla Veyberman
Healthcare Analyst, DPH OHCA

Cc: Christopher Hartley, SVP of Planning and Facilities Development, SFHMC
Dennis P. McConville, SVP, Planning, Marketing and Communications, ECHN

**********
*** TX REPORT ***
**********

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**STATE OF CONNECTICUT**
**OFFICE OF HEALTH CARE ACCESS**

***FAX SHEET***

**TO:** GINA KLINE, CHRISTOPHER HARTLEY, DENNIS MCCONVILLE

**FAX:** 860.647.6860, 860.714.8093, 860.533.3429

**AGENCY:** ROCKVILLE GENERAL HOSPITAL

**FROM:** OHCA

**DATE:** 1/25/2013 **Time:**

**NUMBER OF PAGES:** 2
*(including transmittal sheet*

**Comments:**
Deemed complete Number: 12-31805-CON

***PLEASE PHONE IF THERE ARE ANY TRANSMISSION PROBLEMS***