

Saint Mary's Health System, Inc.

&

LHP Hospital Group, Inc.'s

Certificate of Need Application
for their Proposed Joint Venture

July 21, 2011

Binder 1 of 2


Saint Mary's



ROBERT J. ANTHONY
Counselor at Law
direct dial: 860.509.6517
fax: 860.509.6501
ranthony@brownrudnick.com

CityPlace I
185 Asylum
Street
Hartford
Connecticut
06103
tel 860.509.6500
fax 860.509.6501

July 21, 2011

VIA HAND DELIVERY

Office of the Attorney General
Attn.: Assistant Attorney General Gary W. Hawes
55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Commissioner of Public Health
Attn.: Melanie A. Dillon, Esq.
410 Capitol Avenue
Hartford, CT 06134

RE: Saint Mary's & LHP's – Certificate of Need Application for a Joint Venture

Dear Attorneys Hawes and Dillon:

Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. hereby submit for approval a Certificate of Need Application for their proposed joint venture (the "Application"). At your request, two copies have been hand delivered to Attorney Hawes' office and seven copies have been hand delivered to Attorney Dillon's office. In addition, each office has received an electronic version.

Please note that Exhibit 20 of the Application is being bulk filed and therefore only one hard copy has been provided to Attorney Hawes' office and one hard copy has been provided to Attorney Dillon's office. Exhibit 20 contains 5 binders with information on Saint Mary's Hospital Foundation's restricted funds. Exhibit 20 has been scanned and is included in the electronic version of the Application. If further hard copies are required, please let me know.

A check in the amount of \$500.00, representing the filing fee, was also delivered to Attorney Dillon's office.

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If you have any questions or need anything further, please contact me at 860.509.6517.
Thank you for your assistance in this matter.

Very truly yours,

BROWN RUDNICK LLP



Robert J. Anthony

Encl.

40285007 v1 - MERCIECM - 080456/0042

AFFIDAVIT

Applicant: Saint Mary's Health System, Inc.

Project Title: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.'s Application for Approval of Joint Venture

I, Chad Wable, President & CEO
(Individual's Name) (Position Title – CEO or CFO)

of Saint Mary's Health System, Inc. being duly sworn, depose and state that
(Hospital or Facility Name)

Saint Mary's Health System, Inc.'s information submitted in this Certificate of
(Hospital or Facility Name)

Need Application is accurate and correct to the best of my knowledge.



Signature

7/15/11

Date

Subscribed and sworn to before me on Friday, July 15, 2011



Notary Public/Commissioner of Superior Court

My commission expires: _____

HARRIET SISBARRO
NOTARY PUBLIC
MY COMMISSION EXPIRES MAR. 31, 2016

AFFIDAVIT

Applicant: LHP Hospital Group, Inc.

Project Title: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.'s Application for Approval of Joint Venture

I, Daniel J. Moen, CEO
(Individual's Name) (Position Title – CEO or CFO)

of LHP Hospital Group, Inc. being duly sworn, depose and state that
(Hospital or Facility Name)

LHP Hospital Group, Inc.'s information submitted in this Certificate of
(Hospital or Facility Name)

Need Application is accurate and correct to the best of my knowledge.

Daniel J. Moen July 18, 2011
Signature Date

Subscribed and sworn to before me on July 18, 2011

Cindy J. Jarrell
Notary Public/Commissioner of Superior Court

My commission expires: 6/17/14



681825

AFFIDAVIT OF PUBLICATION

STATE OF CONNECTICUT
County of New Haven

Waterbury

_____, May 27, 2011

The subscriber, being duly sworn, deposes and says that he (she) is the bookkeeper
of the Republican-American and that the foregoing notice for
(on)
ST. MARY'S HOSPITAL

was published in said Republican-American in 3 editions of said newspaper issued between 05/25/11 and
05/27/11

Phyllis Polletta

SUBSCRIBED AND SWORN BEFORE ME THIS THE 27th

day of May, 2011

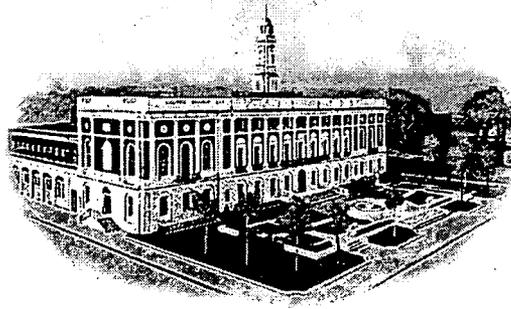
Debra M. Reed

Notary Public

My Commission Expires: 8/31/2012

LEGAL NOTICE
Statute Reference: 19a-638 and 19a-486 et seq. of the Connecticut General Statutes.
Applicants: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.
Addresses: Saint Mary's Health System, Inc. 56 Franklin St. Waterbury, CT 06706
LHP Hospital Group, Inc. 2800 North Dallas Parkway, Suite 200 Plano, Texas, 75093
Town: Waterbury, CT
Proposal: Joint Venture between Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. to re-capitalize Saint Mary's Health System, Inc. The Applicants plan to file an Application for a Certificate of Need with the Office of Health Care Access for permission to change the ownership of Saint Mary's Health System, Inc., and to the Office of the Attorney General to allow Saint Mary's Hospital to convert to a for-profit hospital.
Capital Expenditure: \$135,000,000.
RA MAY 25, 26, 27, 2011

MICHAEL J. JARJURA
MAYOR



JOSEPH A. GEARY
DIRECTOR OF OPERATIONS

June 14, 2011

OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

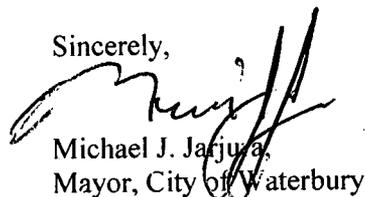
Dear Attorney General Jepsen and Commissioner Mullen,

As Mayor of the City of Waterbury, it is my pleasure to support the application of Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. to create a joint venture that will make the provision of health care in Waterbury more secure and properly funded for the future. In addition to the \$108 million dollars LHP will provide as part of its contribution to the joint venture, LHP has also committed \$75 million dollars for investments in clinical services, technology, facilities and physician recruitment. This kind of commitment will transform health care in Waterbury,

The City of Waterbury has relied on Saint Mary's Hospital for over 100 years to serve the community as a Catholic hospital bound by ethical and religious directives. The new entity which will be created as a result of the joint venture will continue these directives and will uphold the community benefit standards that are critical to so many of our City's individuals. In addition to the enhancement of health care services, this proposal will also stabilize the pension fund at Saint Mary's Hospital. Many of the City's residents work at the Hospital and rely on the pension when they retire. To know that the pension will be secure as a result of the proposal before you is invaluable.

I strongly urge that you approve this application.

Sincerely,



Michael J. Jarjura
Mayor, City of Waterbury

THOMAS G. DUNN
MAYOR



OFFICE OF THE MAYOR
TOWN OF WOLCOTT

June 21, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

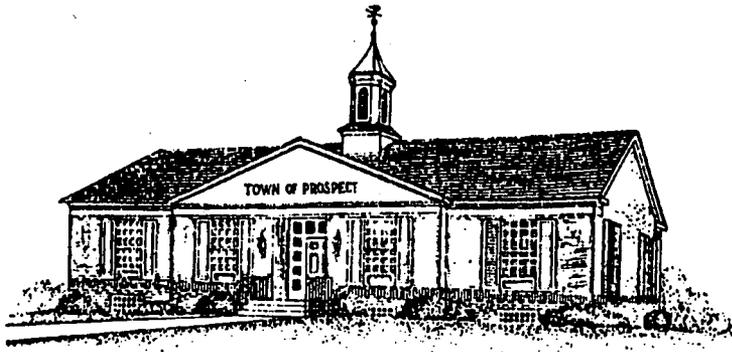
On behalf of the Town of Wolcott, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. My community relies on patient-centered quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LHP have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Thomas G. Dunn
Mayor, Town of Wolcott



OFFICE OF THE MAYOR • TOWN OF PROSPECT, CT 06712-1699
ROBERT J. CHATFIELD, MAYOR
758-4461
WWW.TOWNOFPROSPECT.COM

June 15, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

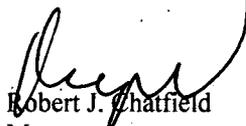
Dear Attorney General Jepsen and Commissioner Mullen:

On behalf of the Town of Prospect, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. My community relies on patient, centered quality care provided by Saint Mary's Hospital for both in-patient and out-patient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now in the foreseeable future. Thank you for your consideration of this proposal.

Very truly yours,


Robert J. Chatfield
Mayor

An Equal Opportunity Employer





June 24, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of Connecticut Children's Medical Center, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

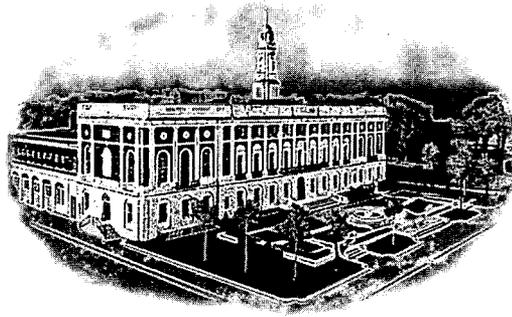
Connecticut Children's owns and operates a 12-bed pediatric unit at Saint Mary's Hospital which serves the children in the Waterbury community. Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards.

I hope that you will approve the Application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Martin J. Gavin
President and Chief Executive Officer

OFFICE OF
ROSEANN WRIGHT
PUBLIC HEALTH DIRECTOR



One Jefferson Square
Waterbury, CT 06706-1102
Telephone (203) 574-6780
FAX (203) 597-3481

DEPARTMENT OF PUBLIC HEALTH
THE CITY OF WATERBURY
CONNECTICUT

June 15, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of the City of Waterbury, Department of Public Health, I am pleased to support Saint Mary's Hospital and the proposed joint venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. The citizens of Waterbury rely on Saint Mary's Hospital for both inpatient and outpatient services. This plan will serve as a great benefit to the community by improving the ability for the Saint Mary's Health System to provide accessible and quality health care services.

The facilities at Saint Mary's Hospital are older and are in need of an upgrade. This proposal will provide the financing necessary to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, something that our residents have come to expect.

This joint venture will serve as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration.

Sincerely,


Roseann Wright, RS, RN, MPH
Director of Public Health



WATERBURY

Development Corporation

June 13, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Attorney General Jepsen;

On behalf of the Waterbury Development Corporation, the designated development agency for the City of Waterbury, we are pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. Our community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This partnership will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Leo J. Frank
Chief Executive Officer



WATERBURY

Development Corporation

June 13, 2011

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Commissioner Mullen;

On behalf of the Waterbury Development Corporation, the designated development agency for the City of Waterbury, we are pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. Our community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This partnership will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Leo J. Frank,
Chief Executive Officer



Waterbury Regional Chamber
driving business to business

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of the Waterbury Regional Chamber and our 900 members, I am writing in support of Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. Our community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This new venture will benefit the community by improving the ability of Saint Mary's Health System to provide accessible and quality health care services to the citizens of the greater Waterbury region.

Saint Mary's Hospital's facilities are older and in need of upgrades to deliver the quality care they have always delivered. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the joint venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, assurance that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,


Lynn G. Ward
President & CEO



DEPARTMENT OF POLICE SERVICE



Michael J. Gugliotti
Chief of Police

255 East Main Street
Waterbury, Connecticut 06702



Vernon Riddick
Deputy Chief of Police
Fernando Spagnolo
Deputy Chief of Police

June 10, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

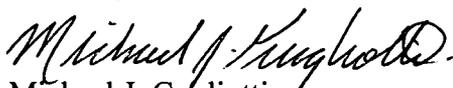
Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of the City of Waterbury Police Department, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. My community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,


Michael J. Gugliotti
Chief of Police

To Protect, Educate and Serve



Mr. Connery

City of Waterbury, Connecticut

Fire Department

June 21, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen,

On behalf of the City of Waterbury Fire Department, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. The City of Waterbury relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

As with most infrastructures in the City of Waterbury, Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect. It is our belief that this venture will not only be an investment in St. Mary's but an investment in the City of Waterbury as well.

The Waterbury Fire Department has partnered With St. Mary's Hospital on numerous projects including support of the public preparedness goals of the CT Department of Public Health and the CT Department of Emergency Management and Homeland Security. St. Mary's has become a leader in the region for response to hazardous materials and disaster medicine alike.

Additionally, St. Mary's provides the necessary medical oversight and direction for the Emergency Medical Responder program initiated by the Fire Department in 2009. This direction has been invaluable to both the Department and the community as a whole. The service has no doubt improved and in some cases saved lives that a mere two years ago would have been lost.

I hope that you will approve the Application that Saint Mary's and LHP have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

A handwritten signature in black ink, appearing to read "David Martin".

David Martin, Fire Chief



Mr Connerly

City of Waterbury, Connecticut

Fire Department

June 21, 2011

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Commissioner Mullen,

On behalf of the City of Waterbury Fire Department, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. The City of Waterbury relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

As with most infrastructures in the City of Waterbury, Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect. It is our belief that this venture will not only be an investment in St. Mary's but an investment in the City of Waterbury as well.

The Waterbury Fire Department has partnered With St. Mary's Hospital on numerous projects including support of the public preparedness goals of the CT Department of Public Health and the CT Department of Emergency Management and Homeland Security. St. Mary's has become a leader in the region for response to hazardous materials and disaster medicine alike.

Additionally, St. Mary's provides the necessary medical oversight and direction for the Emergency Medical Responder program initiated by the Fire Department in 2009. This direction has been invaluable to both the Department and the community as a whole. The service has no doubt improved and in some cases saved lives that a mere two years ago would have been lost.

I hope that you will approve the Application that Saint Mary's and LHP have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

A handwritten signature in black ink, appearing to read "David Martin".

David Martin, Fire Chief



June 15, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of Wellpath Inc., I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. The greater Waterbury community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Wellpath is a regional behavioral health provider that serves over 13,500 children, parents and families a year who reside in the 42 town region of northwestern Connecticut through an integrated system of treatment and support services for children and families. Our services are highly coordinated with St. Mary's Hospital and each year we collaborate in the care of thousands of clients. Our staff has developed a collaborative approach to addressing some of the most difficult and stressful situations one could imagine. Our success in helping clients overcome their emotional and behavioral crises is dependent on our relationship with St. Mary's Hospital.

It is my understanding this proposal will provide the financing required to undertake improvements at St. Mary's that will allow the hospital to continue to provide services in an enhanced setting to the entire community. Equally, the agreement that Saint Mary's facilities will continue to be operated in accordance with community benefit standards preserves this critical resource Wellpath clients have come to expect.

I hope that you will approve the Application that Saint Mary's and LPH have submitted. Please feel free to contact me if you believe further information would be of assistance.

Sincerely,



Gary M. Steck, LMFT
Chief Executive Officer

June 15, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen:

On behalf of the many patients and families in all of the cities and towns that VITAS Innovative Hospice serves, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. My community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LHP have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,



Theresa M. Bachhuber, RN, MPH
General Manager

June 15, 2011

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LPH Hospital Group, Inc.

Dear Commissioner Mullen:

On behalf of the many patients and families in all of the cities and towns that VITAS Innovative Hospice serves, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. My community relies on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. This plan will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Saint Mary's Hospital's facilities are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LPH have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,



Theresa M. Bachhuber, RN, MPH
Administrator
VITAS Inpatient Hospice Unit at Saint Mary's

VITAS[®]
Innovative
Hospice Care[®]

LIVE UNITED®



United Way
of Greater Waterbury

June 14, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of the City of Waterbury, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc.

The cornerstone of United Ways work is helping people become more self-sufficient in meeting their basic needs through programs designed to ensure all residents have adequate access to healthcare, housing and food. With that said, our residents rely on patient-centered, quality care provided by Saint Mary's Hospital for both inpatient and outpatient services. I believe the proposed joint venture will benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services.

Although Saint Mary's Hospital's facilities have served our community well, they are older and need to be upgraded. This proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. It is my understanding, as part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I respectfully request that you approve the Application that Saint Mary's and LHP have submitted to you. This is a crucial step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Kristen Jacoby, MPH
President/Chief Professional Officer



PALACE
T H E A T E R

100 East Main Street | Waterbury CT 06702 | www.palacetheaterct.org

June 15, 2011

The Honorable George C. Jepsen
Attorney General
Office of the Attorney General
55 Elm Street
Hartford, CT 06106

The Honorable Jewel Mullen, M.D.
Commissioner
Department of Public Health
Office of Health Care Access
410 Capitol Avenue
Hartford, CT 06134

Re: Saint Mary's Health System, Inc. and LHP Hospital Group, Inc.

Dear Attorney General Jepsen and Commissioner Mullen,

On behalf of the Palace Theater in Waterbury Connecticut, I am pleased to support Saint Mary's Hospital and the proposed Joint Venture between Saint Mary's Health System, Inc. and the LPH Hospital Group, Inc. As a neighbor in our downtown area, Saint Mary's Hospital not only continues to provide patient-centered, quality care both inpatient and outpatient services, but they additionally serve as committed community partners working to improve the Greater Waterbury region medically and through the community engagement of many of their employees. This Joint Venture plan will not only benefit the community because it will improve the ability of Saint Mary's Health System to provide accessible and quality health care services, but it will better position Saint Mary's Hospital as a stable employer in the area, which leads to significant community engagement.

As Saint Mary's Hospital's facilities are older and need to be upgraded, this proposal will provide the financing required to undertake the improvements that will allow the hospital to continue to provide services in an enhanced setting to the entire community. As part of the Joint Venture, LPH Hospital Group has pledged to continue to operate Saint Mary's facilities in accordance with community benefit standards. This will preserve the access to care for all, that our residents have come to expect.

I hope that you will approve the Application that Saint Mary's and LHP have submitted to you as an important step in maintaining quality health care for the residents in the Waterbury area now and in the foreseeable future. Thank you for your consideration of this proposal.

Sincerely,

Frank Tavera
CEO

**STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH**

IN RE: SAINT MARY’S HEALTH SYSTEM, INC. :
AND LHP HOSPITAL GROUP, INC.’S :
APPLICATION FOR APPROVAL OF A JOINT :
VENTURE. : **JULY 21, 2011**

APPLICATION FOR APPROVAL OF JOINT VENTURE

Saint Mary’s Health System, Inc. (“Saint Mary’s”) and LHP Hospital Group, Inc. (“LHP”) (collectively, the “Applicants”) hereby respectfully submit to the Attorney General and the Commissioner of the Department of Public Health the following application for approval of their joint venture under Conn. Gen. Stat. § 19a-486 et seq. (the “Application”).

- 1. Identify the contact information for Saint Mary’s and LHP, including for the individual to whom the Attorney General shall submit bills for contracts with experts or consultants.**

Saint Mary’s

Chad Wable, President and Chief Executive Officer
Saint Mary’s Health System, Inc.
56 Franklin Street, Waterbury, CT 06706
Telephone: (203) 709-3368
Facsimile: (203) 709-3066
Email: CWable@stmh.org

LHP

Rebecca Hurley, Executive Vice President, General Counsel and Secretary
LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200, Plano, TX 75093
Telephone: (972) 943-1704
Facsimile: (866) 464-2421
Email: rebecca.hurley@lhphospitalgroup.com

2. Provide an executive summary of the application for approval.

INTRODUCTION

This Application is submitted by Saint Mary's, the parent company and sole member of Saint Mary's Hospital, Inc. (the "Hospital") and all of its affiliates, and LHP and its affiliate, LHP Southwest Connecticut, LLC ("LHP Sub"), to allow these health care entities to combine resources to create a new, stronger health care entity in Waterbury. Working together as members of a joint venture, the Applicants will establish Southwest Connecticut Health System, LLC (the "LLC") which will own and operate the existing Saint Mary's facilities. The LLC will operate the facilities under the name Saint Mary's Hospital.

The LLC will be structured initially so that LHP will have an 80% ownership interest and Saint Mary's will have a 20% ownership interest. Saint Mary's will have the option to increase its share up to 40%. Assets of the existing Saint Mary's system, which will be contributed to the LLC, have been valued at \$135 million. For its 80% interest, LHP will contribute \$108 million in cash to the LLC, which will be distributed to Saint Mary's. Saint Mary's will retain a 20% interest in the LLC, which has been valued at \$27 million. Saint Mary's and LHP together will invest an additional \$75 million for strategic investment over the LLC's first 5 years: \$7.5 million from Saint Mary's and \$67.5 million from LHP.

Saint Mary's ability to achieve its mission and fulfill its long range plan is impeded by a frozen but accrued pension liability, aging facilities, unstable payor mix, inadequate reimbursement from 3rd party payors, and lack of access to capital. As a stand alone entity, Saint Mary's will have a very difficult time addressing these challenges. While Saint Mary's currently operates from a relatively strong financial operating position, the combined pension liability and existing debt creates a weak balance sheet and equity financial position. Without the proposed joint venture involving LHP, Saint Mary's will either need assistance from the State, or will begin to fail in its mission to provide adequate health care to the people in its service area. LHP will provide the necessary relief by contributing sufficient capital and other resources to eliminate Saint Mary's debt, provide greater security to its pensioners, help Saint Mary's fund numerous capital projects, implement greatly needed facility upgrades, and make other key expenditures to improve the health system. The proposed joint venture is a necessary step to secure Saint Mary's future as a strong, local healthcare provider.

Under the proposed arrangement, Saint Mary's will retain its Catholic identity and adhere to the Ethical and Religious Directives for Catholic Health Care Services ("ERDs"). Saint Mary's will also continue to operate in accordance with the "community benefit standard" required of tax-exempt hospitals (as set forth in Revenue Ruling 69-545), including without limitation, the (i) acceptance of all Medicare and Medicaid patients, (ii) acceptance of all emergency patients without regard to ability to pay, (iii) maintenance of an open medical staff, and (iv) promotion of public health, wellness and welfare in the community through the provision of health care at a reasonable cost. The LLC will retain and continue to follow Saint Mary's existing charity care and uncompensated care policies.

There will be an equally-shared governance structure where local control and leadership are protected both on the LLC Board of Directors (the "LLC Board") and the Hospital's Board of Trustees. The existing management team will also remain in place and all employees in good standing will become employees of an affiliate of the LLC, with total compensation packages consistent in the aggregate with those then being provided.

THE PARTIES

Saint Mary's

Saint Mary's is a Connecticut not-for-profit corporation and the parent company of the Hospital as well as other affiliates and subsidiaries. The Hospital, which was built in 1907, is the single most valuable asset of the existing health care system. It is an acute care facility with 379 licensed beds, including 32 bassinets, located in the heart of Waterbury, Connecticut. Thousands of patients were served in 2010 including: 12,210 inpatient admissions, 1,082 births, 68,272 Emergency Department visits as well as 2,881 inpatient surgeries and 3,662 outpatient surgeries. Through Saint Mary's affiliates, a network of primary care services, specialists and outpatient clinics provide health care outside of the Hospital. The affiliates and subsidiaries include: Saint Mary's Hospital Foundation, Inc., Saint Mary's Indemnity Company, LLC, Naugatuck Valley Surgical Center, LP, Southbury Diagnostic Imaging Center, LLC, Harold Leever Cancer Center, Inc., Heart Center of Greater Waterbury, Inc., Naugatuck Valley MRI, LP, Franklin Medical Group, PC, Primary Care Partners, PC, and Scovill Medical Group, PC.

Saint Mary's serves the City of Waterbury as well as 15 towns in the greater Waterbury area. Because Saint Mary's treats a large number of Medicare and Medicaid (56%) and uninsured patients (4%), assistance was required and obtained from the State in the past to remain solvent. While Saint Mary's has experienced a number of recent years of balanced budgets and profits from operations, the pension liability and ordinary debt as well as reduced reimbursements will exacerbate its already weak balance sheet and equity position.

LHP

LHP is a privately held hospital company based in Plano, Texas. LHP was established to provide essential capital and expertise to not-for-profit hospitals and health systems in a manner that is consistent with their existing values and historical missions. Built upon the foundation of a collaborative culture that values relationships, embraces local governance, empowers continued capital investment and insists upon quality, LHP has established itself as the partner of choice for some of the top faith-based, mission-oriented health systems in the country. Through joint ventures with not-for-profit hospitals, LHP owns and operates acute care facilities in small cities and select urban markets throughout the United States. LHP's Board of Directors includes as members a number of executives with extensive experience in the hospital industry.

The LLC

The Applicants will establish the LLC as a Connecticut limited liability company to recapitalize and restructure the existing Saint Mary's system. In connection with the transaction, the Applicants will enter into a Contribution and Sale Agreement, a draft copy of which is attached as **Exhibit 1**. The LLC will be governed by an Amended and Restated Limited Liability Company Agreement of Southwest Connecticut Health System, LLC (the "LLC Agreement"), a draft copy of which is attached as **Exhibit 2**. LHP will invest a substantial amount of equity capital in the new system resulting in a recapitalization of Saint Mary's. Saint Mary's will, through a new foundation, retain an ownership interest in the LLC, which will own and operate Saint Mary's facilities, and will have substantial governance rights as to how the new health system is operated.

The Community Foundation

The Hospital entity, following the transfer of its assets to the LLC at the closing, will be restructured in order to allow it to serve a new, locally-controlled charitable foundation, initially to be known as Saint Mary's Community Foundation (the "Community Foundation"), that will support health-related activities in the greater Waterbury community. The Community Foundation will be the 20% interest-holder in the LLC, and the income derived from the LLC will be used to fund the Community Foundation on an ongoing basis. The Community Foundation will also retain the proceeds of the \$108 million distribution by the LLC to Saint Mary's at closing remaining after the repayment of debt and provision for other obligations.

The Community Foundation will be a not-for-profit, tax exempt organization, operating as a public charity. In addition to participating as a 20% owner of the LLC, the Community Foundation will manage Saint Mary's non-transferred assets and liabilities. Its charitable activities will focus on the health care needs of the Greater Waterbury community. The Community Foundation is more fully discussed in the response to Question 9.

THE AGREEMENTS

Key features of the LLC as set forth in the agreements between the Applicants include:

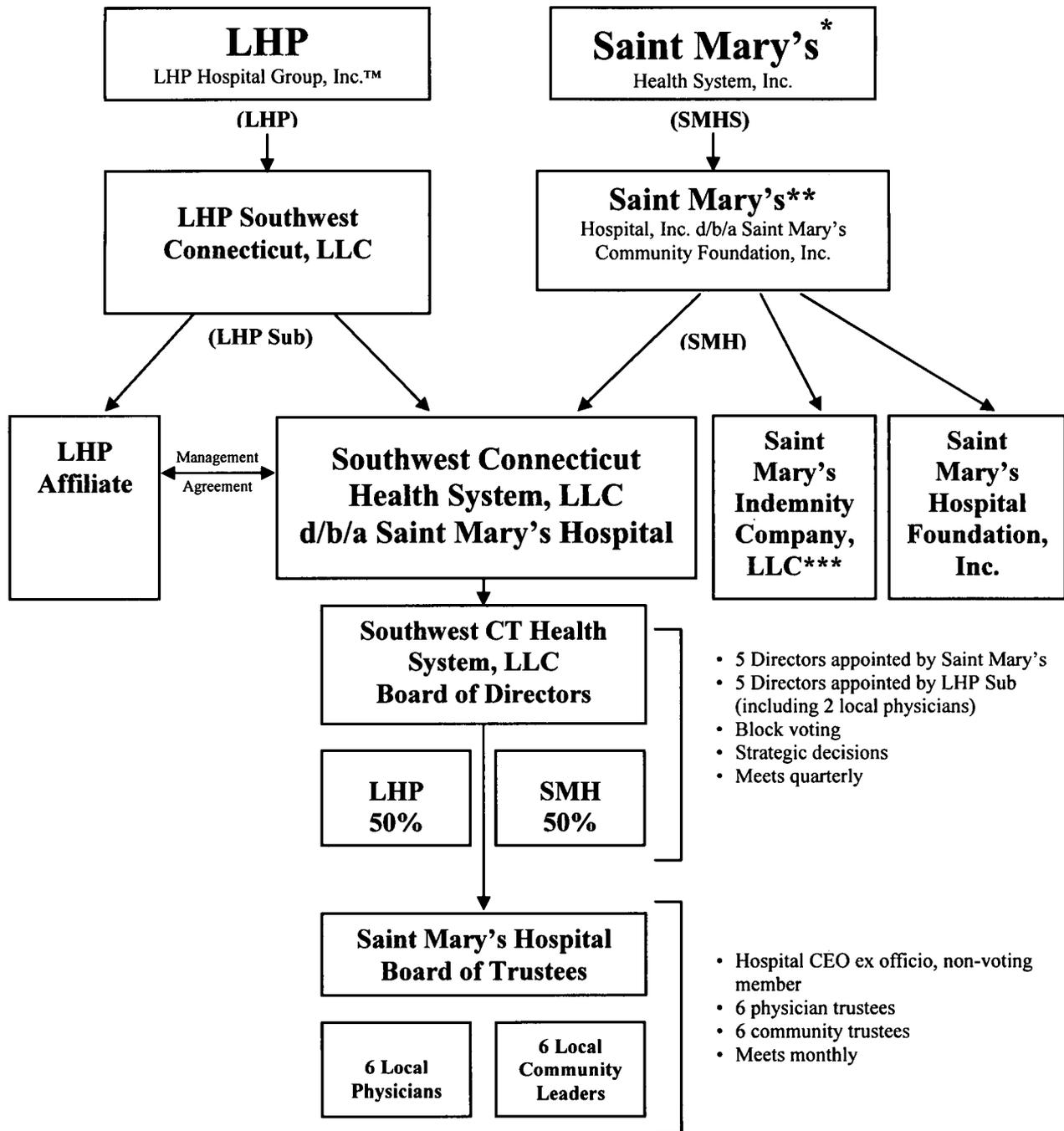
- The business of the LLC will be conducted in accordance with the charitable purposes generally required of hospitals under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, and the community benefits standards set forth in Revenue Ruling 69-545, which includes accepting all Medicare and Medicaid patients, accepting all patients in an emergency condition in the emergency room without regard to source of payment or the ability of such emergency patients to pay, maintaining an open medical staff, providing public health programs of educational benefit to the community, generally promoting the health, wellness and welfare of the community by providing quality health care at a reasonable cost, and adopting, implementing, and maintaining the uncompensated care policies (see **Exhibit 2**).
- The LLC Board will have oversight and ultimate authority over the affairs of the LLC regarding matters pertaining to the business of the LLC for which approval of the Board is required, and to provide oversight of the activities of the manager and the Board of Trustees (see **Exhibit 2**).

- The LLC Board will be composed of 10 Board members: 5 of whom will be elected or appointed by Saint Mary's and 5 of whom will be elected or appointed by LHP. LHP has agreed to fill 2 of its 5 members with local physicians. Block voting will be used for decisions requiring board approval with a majority required from the Saint Mary's-appointed Board members, and a majority required from the LHP-appointed Board members¹. Saint Mary's-appointed Board members will also have the unilateral right to (a) terminate the Chief Executive Officer of the Hospital/LLC, (b) name the Chairman of the LLC Board, (c) cause the dissolution of the LLC in the event the LLC fails to meet the requirements of the community benefits standard, and (d) decide not to renew the Management Agreement (between the LLC and an LHP-affiliated management company) if the manager is not operating Saint Mary's facilities in accordance with Saint Mary's mission and purposes and/or the community benefits standards, or if the manager is otherwise in breach of the Management Agreement.
- The LLC's manager will initially be LHP Management Services, LLC, a Delaware limited liability company (the "Management Company") and an affiliate of LHP. The Management Company will manage the day to day operations of the LLC in accordance with the LLC Agreement and the Management Agreement. A draft copy of the Management Agreement is attached as **Exhibit 3**. The Management Company will be responsible, *inter alia*, for financial and business operations of the Hospital, including the hiring and firing of key personnel (see **Exhibit 3**, pp. 16-20).
- There will also be a Hospital Board of Trustees, appointed by the LLC Board of Directors. The Board of Trustees will consist of 12 members, with at least 50% being physicians on the Hospital's active medical staff and the remaining members being local community leaders (see **Exhibit 2**). The Board of Trustees will have responsibilities that include adopting a vision, mission and values statement for the Hospital, and further specific duties as set forth in **Exhibit 1**, p. 26. The Board of Trustees is also responsible for medical staff credentialing, quality assurance, patient safety and patient/customer satisfaction.

The following figure sets forth the corporate and governance structure of the LLC.

¹ Measures to be taken in case of a tie vote are articulated in **Exhibit 2**, Section 12.6.

Figure 1 - Governance Structure of the LLC



*Saint Mary's Health System, Inc. will be merged into Saint Mary's Hospital, Inc. at closing.

**Saint Mary's Hospital, Inc. will be restructured to serve as the Saint Mary's Community Foundation.

***Saint Mary's Indemnity Company, LLC ("SMIC") is a single-parent (Saint Mary's) "pure captive" insurance company whose sole purpose has been to provide medical professional and general liability insurance to Saint Mary's Hospital, Saint Mary's Health System, subsidiary and affiliated organizations as well as a small number of physicians whose activity is primarily based at Saint Mary's. It is anticipated that SMIC will be phased out once its liabilities are paid out over the next 5-10 years.

The conversion of Saint Mary's operations from not-for-profit to a for-profit differs dramatically from the Sharon Hospital/Essent Healthcare conversion, which occurred in Connecticut in 2000 - 2002. Sharon Hospital sold all of its assets and its entire interest in the hospital to Essent Healthcare, Inc. with no residual rights of governance or ownership in the hospital system. Attached as **Exhibit 4** is a recent article (dated June 19, 2011) from the Sunday edition of the Republican American detailing how Sharon Hospital's conversion to a for-profit entity has given the hospital new life.

In this Application, Saint Mary's will continue to be a part owner, and maintain equal governance control, of the new LLC that will own and operate Saint Mary's facilities. Patients will not experience a change in the way Saint Mary's facilities are operated or in the type of care that is provided for all of the services that are in existence now. Service enhancements will occur over time, as the LHP funding begins to impact the delivery of health care services.

At the time of the conversion, existing policies and procedures are expected to continue, including:

- Employees in active good standing will be offered employment by an LHP affiliate, assuming they satisfy customary pre-employment screening procedures, in positions and at total compensation levels consistent in the aggregate with those that are then provided by Saint Mary's.
- There will be no change or modification to the current staff privileges for physicians on the medical staff of the Hospital.
- The LLC will adopt the current medical staff bylaws, rules and regulations, medical staff committee structure, credentialing plan and fair hearing plan of the Hospital.
- As previously noted, the LLC will conduct its operations in conformity with the ERDs and will maintain a Catholic identity with respect to Saint Mary's facilities. The LLC will continue to provide community benefit programs and services to improve access to health care services in the Waterbury community and to improve the health status of the elderly, poor, immigrant and other at-risk populations in such community. Such programs and services will include the provision of free care, mission and pastoral care programs and community benefit programs consistent with the terms of the parties' agreement (**Exhibit 1**).
- The LLC will continue to comply with Saint Mary's existing charity care and uncompensated care policies.

3. Describe the terms of the proposed joint venture. This section should include, but is not limited to, a financial analysis of the transaction (e.g., projected sources and uses of proceeds and the valuations of included and excluded assets) and descriptions of Saint Mary's, LHP, the new LLC that is to be formed, the assets to be transferred pursuant to the joint venture and any assets excluded from transfer, the assumed and excluded liabilities of the joint venture, LHP's other undertakings, and the Hospital's expected financial state and proposed governance structure after the transaction. Provide copies of all contracts, agreements, memoranda of understanding, and financial statements relating to the proposed joint venture.

The terms of the proposed joint venture are outlined in the response to Question 2 and are more fully set forth in the LLC Agreement attached as **Exhibit 2**. A discussion of the Community Foundation, which will operate as a not-for-profit entity, is set forth more fully in the response to Question 9.

FINANCIAL ANALYSIS OF THE TRANSACTION

As a result of the proposed joint venture, Saint Mary's and LHP Sub propose to become members of the LLC. LHP Sub will own 80% of the interests in the LLC, while Saint Mary's, through the Community Foundation, will own 20% of the interests in the LLC. Saint Mary's "Consolidated Financial Statements and Consolidating Financial Information" as of September 30, 2010 (including 2009), produced by KPMG, is attached as **Exhibit 5**. This document sets forth Saint Mary's financial condition prior to any changes that will occur as a result of the joint venture.

The Projected Sources of Income

The projected sources of income are as follows:

Table 1 - Projected Sources of Income

Name of Member	Primary Capital Contribution	Secondary Capital Contribution Promissory Note	Sharing Percentage
Saint Mary's	\$27,000,000	\$7,500,000	20%
LHP Southwest Connecticut, LLC	\$108,000,000	\$67,500,00	80%

LHP Sub will contribute \$108 million in cash. Saint Mary's will contribute to the LLC its assets valued at \$135 million and retain a 20% interest (valued at \$27 million). LHP is exceptionally well capitalized with an equity commitment from its financial sponsors, CCMP Capital Advisors and the Canada Pension Plan (CPP) Investment Board. In addition, LHP has a credit facility from a lending group to fund future growth as needed. LHP has ample resources to complete the formation of the joint venture with Saint Mary's and to fund future capital improvements as necessary.

Included Assets

The assets and/or interests that will be transferred to the LLC by Saint Mary's are as follows:

- Saint Mary's Hospital, Inc.;
- Franklin Medical Group, P.C.;
- Scovill Medical Group, P.C.;
- Primary Care Partners, P.C.;
- 86% interest in Naugatuck Valley Surgical Center, Inc.;
- 60% interest in Diagnostic Imaging of Southbury, LLC;
- 50% interest in the Harold Leever Regional Cancer Center;
- 50% interest in the Heart Center of Greater Waterbury, Inc.;²
- 48% interest in Naugatuck Valley MRI Limited Partnership;
- 4.55% interest in Health Connecticut, LLC;
- Any other associated assets of hospital ancillary businesses not listed in the Contribution and Sale Agreement as "Assets Retained by Saint Mary's"; and
- Net Working Capital.³

Excluded Assets

The assets that will be excluded from the proposed transaction and retained by Saint Mary's are as follows:

- Saint Mary's Hospital Foundation, Inc.;
- Saint Mary's Indemnity Company, LLC;
- Cash, cash equivalents and investments;
- Assets in the defined benefit and supplemental pension plans;
- Certain non-assumable current assets such as prepaid insurance, etc.; and
- 20% ownership in the LLC.

² Saint Mary's will request a modification of the Order issued by the State of Connecticut Office of Health Care Access in Docket No. 03-30167 (as modified in Docket No. 08-30167-MDF) which governs the Heart Center of Greater Waterbury in order to allow the program to continue as it now exists.

³ Net working capital is defined as follows:

- Patient account receivable, less allowance for discounts, contractual adjustments and doubtful accounts, *plus*
- Supply inventory in an amount that is customary and in usable condition, *plus*
- Prepaid expenses that are of benefit to the hospital, *plus*
- Other current assets that are of benefit to the hospital, *less*
- Accounts payable, *less*
- Accrued expenses including accrued salaries, wages and benefits.

Liabilities Retained by Saint Mary's

Saint Mary's will retain the following liabilities:

- Debt;
- Liabilities for professional liability and malpractice claims arising under Saint Mary's Indemnity Company, LLC and for all other professional liability and malpractice claims occurring prior to the closing;
- Pension liabilities: At closing, Saint Mary's will "fully fund" the pension plan based on ERISA requirements and regulations; and
- Any liabilities or expenses with respect to the operation of Saint Mary's facilities prior to the closing (which is expected to occur on or about December 31, 2011, allowing for regulatory approval). These expenses include but are not limited to liabilities and expenses related to Medicare/Medicaid cost report payables or capitalized leases other than those amounts included in working capital. Liabilities relating to activities after the closing date will be the responsibility of the LLC.

Effective Management of Liabilities

The Community Foundation may or may not need to make future funding payments to the pension plan based on return on investments and applicable discount rate of the pension liability. Any future funding requirement to the pension plan, if required, will be the responsibility of the Community Foundation. However, it would be expected that the Community Foundation will adopt a conservative investment policy to mitigate some market risk. Also, it is the intent of the Community Foundation to annuitize the pension plan if market conditions improve.

Valuation

Based on the Saint Mary's financial statements for the period ending September 30, 2010, and a Fairness Evaluation conducted by Morgan Keegan & Company, Inc. ("Morgan Keegan"), formerly Shattuck Hammond Partners LLC, (attached as **Exhibit 6**), the fair value of Saint Mary's facilities is expected to be \$135 million.

Uses of Proceeds – Saint Mary's

At the time of closing, Saint Mary's will retain approximately \$23,735,000 in cash and receive a distribution from the LLC of \$108,000,000 to be used to pay off existing debt, fund the pension's liabilities and establish the Community Foundation. The estimated \$131,735,000 in cash will be distributed as follows:

\$131,735,000	Cash at Closing
<u>- 62,397,000</u>	Fund Pension
69,338,000	
<u>- 23,265,000</u>	Debt Elimination
\$46,073,000	Cash Post-Close

Please see Table 2 and Table 3 for further detail as to how Saint Mary's will use the proceeds of the transactions.

Table 2 – Saint Mary's Consolidated Balance Sheet – Post-Close⁴

Saint Mary's Health System (\$ in thousands)	2010 Audit	Pre Close	LHP Purchase	Fund Pension	Eliminate Debt	Saint Mary's Consolidated Post Close
Cash	\$ 25,089	\$ 23,735	\$ 108,000	\$ (62,397)	\$ (23,265)	\$ 46,073
Short term Investments	1,182	1,210	-	-	-	1,210
Accounts Receivable	26,412	30,098	(30,098)	-	-	-
Other Current Assets	4,148	4,952	(4,952)	-	-	-
Total Current Assets	56,831	59,995	72,950	(62,397)	(23,265)	47,283
Marketable Securities	12,791	14,152	-	-	-	14,152
Assets Whose Use is Limited						
By Donor and Held in Trust	13,375	14,677	-	-	-	14,677
For Estimated Self Insurance Liability	27,548	25,297	-	-	-	25,297
By Bond Indenture	5,078	5,884	-	-	(5,884)	-
Net interest in Foundation	4,509	4,794	-	-	-	4,794
Other	6	6	-	-	-	6
Total Assets Whose Use is Limited	50,516	50,658	-	-	(5,884)	44,774
Property, Plant, and Equipment	54,569	54,175	(54,175)	-	-	-
Investment in Joint Ventures	9,372	9,354	17,646	-	-	27,000
Deferred Financing Costs	214	196	-	-	(196)	-
Other Noncurrent Assets	260	231	(231)	-	-	-
Total Other Assets	9,846	9,781	17,415	-	(196)	27,000
	\$ 184,553	\$ 188,761	\$ 36,190	\$ (62,397)	\$ (29,345)	\$ 133,209
Current Portion of Long Term Debt	\$ 3,124	\$ 3,154	\$ 1,500	\$ -	\$ (3,154)	\$ 1,500
Accounts Payable	12,497	14,799	(14,799)	-	-	-
Accrued Payroll Expenses	6,499	6,054	(6,054)	-	-	-
Due to Third Parties	5,935	6,311	-	-	-	6,311
Accrued Other Expenses	10,108	12,001	(12,001)	-	-	-
	38,163	42,319	(31,354)	-	(3,154)	7,811
Long Term Obligations	26,789	25,995	6,000	-	(25,995)	6,000
Estimated Self Insurance Liability	19,334	16,990	-	-	-	16,990
Accrued Compensation & Benefits	873	725	(725)	-	-	-
Unfunded Pension Liability	66,561	62,397	-	(62,397)	-	-
Other Long Term Liabilities	8,363	8,420	(1,000)	-	-	7,420
	121,920	114,527	4,275	(62,397)	(25,995)	30,410
Minority Interest in Subsidiaries	1,663	1,512	(1,512)	-	-	-
Unrestricted	5,847	11,858	65,427	-	(196)	77,089
Temporarily Restricted	2,582	2,867	(646)	-	-	2,221
Permanently Restricted	14,378	15,678	-	-	-	15,678
	22,807	30,403	64,781	-	(196)	94,988
Total Liabilities and Fund Balance	\$ 184,553	\$ 188,761	\$ 36,190	\$ (62,397)	\$ (29,345)	\$ 133,209

⁴ The numbers in this chart are based on balances as of March 2011.

Table 3 – Saint Mary’s Consolidated Balance Sheet – Community Foundation⁵

(\$ In thousands)	Community Foundation	Old Saint Mary's Hospital Foundation	Saint Mary's Indemnity Company, LLC	** Third Party Settlements	Eliminations	Saint Mary's Consolidated
Cash	\$ 36,085	\$ 1,300	\$ -	\$ 9,988	\$ -	\$ 47,373
Short term Investments	1,210	-	-	-	-	1,210
Accounts Receivable	-	400	-	-	-	400
Other Current Assets	-	32	-	-	-	32
Total Current Assets	37,295	1,732	-	9,988	-	49,015
Marketable Securities	14,152	3,204	-	-	-	17,356
Assets Whose Use is Limited						
By Donor and Held in Trust	14,677	-	-	-	-	14,677
For Estimated Self Insurance Liability	-	-	25,297	-	-	25,297
By Bond Indenture	-	-	-	-	-	-
Net interest in Foundation	4,794 *	-	-	-	(4,794)	-
Other	6	-	-	-	-	6
Total Assets Whose Use is Limited	19,477	-	25,297	-	(4,794)	39,980
Property, Plant, and Equipment	-	14	-	-	-	14
Investment in Joint Ventures	27,000	-	-	-	-	27,000
Deferred Financing Costs	-	-	-	-	-	-
Other Noncurrent Assets	-	-	-	-	-	-
Total Other Assets	27,000	-	-	-	-	27,000
	\$ 97,924	\$ 4,950	\$ 25,297	\$ 9,988	\$ (4,794)	\$ 133,365
Current Portion of Long Term Debt	1,500	-	-	-	-	1,500
Accounts Payable	-	116	-	-	-	116
Accrued Payroll Expenses	-	-	-	-	-	-
Due to Third Parties	-	-	-	6,311	-	6,311
Accrued Other Expenses	-	40	-	-	-	40
	1,500	156	-	6,311	-	7,967
Long Term Obligations	6,000	-	-	-	-	6,000
Estimated Self Insurance Liability	-	-	16,990	-	-	16,990
Accrued Compensation & Benefits	-	-	-	-	-	-
Unfunded Pension Liability***	***	-	-	-	-	-
Other Long Term Liabilities	-	-	3,743	3,677	-	7,420
	6,000	-	20,733	3,677	-	30,410
Minority Interest in Subsidiaries	-	-	-	-	-	-
Unrestricted	72,525	1,605	4,564	-	(1,605)	77,089
Temporarily Restricted	2,221	2,221	-	-	(2,221)	2,221
Permanently Restricted	15,678	968	-	-	(968)	15,678
	90,424	4,794 *	4,564	-	(4,794)	94,988
Total Liabilities and Fund Balance	\$ 97,924	\$ 4,950	\$ 25,297	\$ 9,988	\$ (4,794)	\$ 133,365

* This represents the net interest in the Old Saint Mary's Foundation. The amount is equal to the net assets of the Old Foundation. This is consistent with reporting under FASB 136, Transfers of Assets to a Not-for-Profit Organization or Charitable Trust that Raises or Holds Contributions for Others

** Third Party Settlements will be included in Community Foundation but are shown separately for illustrative purposes.

*** FASB 158 requires companies to recognize the funded status of pension plans as a net asset or liability measured as the difference between the fair value of plan assets and the benefit obligation. The Pension Plan will be fully funded and as such, there is no liability.

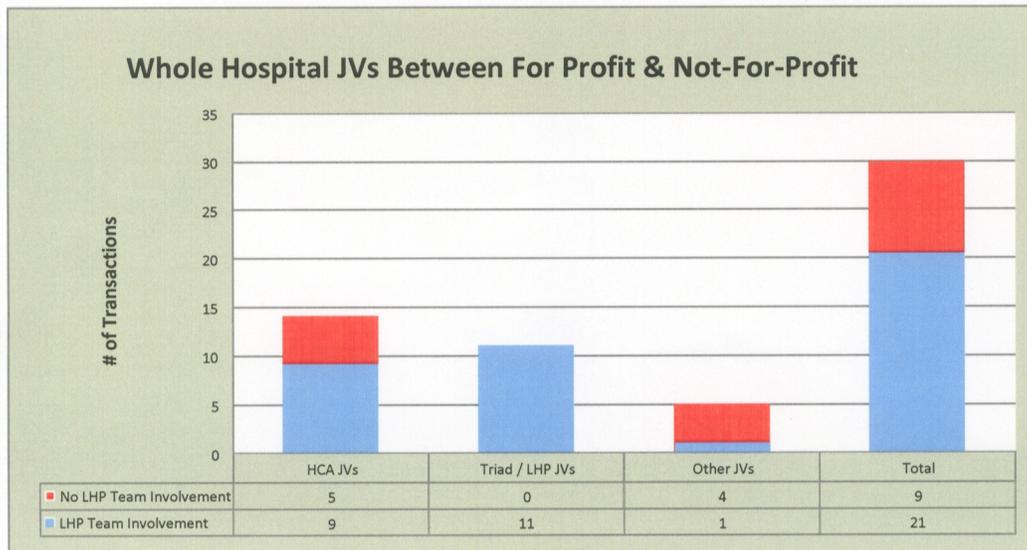
⁵ The numbers in this chart are based on balances as of March 2011.

LHP'S OTHER UNDERTAKINGS

LHP is currently involved in joint venture arrangements involving Portneuf Medical Center in Pocatello, Idaho and Texas Health Presbyterian Hospital-WNJ in Sherman, Texas. In addition, LHP recently entered into an agreement with Seton Medical Center (an Ascension Hospital) to construct, own and manage a new acute care hospital in Harker Heights, Texas. LHP is also undergoing regulatory review in New Jersey for approval of a joint venture with Hackensack University Medical Center to acquire a hospital in Westwood, New Jersey.

LHP's management team has by far the deepest experience in the industry in developing and operating whole-hospital joint ventures with shared governance. In fact, of the 30 such joint ventures between for-profit and not-for-profit organizations completed in the last 20 years in the United States, the members of the current LHP management team were deeply involved in 70% (see table below).

Table 4 - LHP Management Involvement in Hospital JVs



EXPECTED FINANCIAL STATE OF SAINT MARY'S

The financial state of Saint Mary's after the proposal is implemented is expected to be remarkably different than what exists at Saint Mary's today. Saint Mary's operating margin will improve because of five key factors: (1) interest expenses will be eliminated as the distribution to Saint Mary's from the joint venture is used to repay over \$23 million in debt; (2) pension expenses are eliminated as the distribution to Saint Mary's from the joint venture is used to fully fund the pension; (3) supply and drug costs are reduced due to LHP's group purchasing contracts; (4) professional fees and contracted services are reduced due to the management agreement with LHP; and (5) lease expenses are reduced because some leases are renegotiated and unnecessary leases are eliminated.

LHP and Saint Mary's have committed \$75 million for strategic capital spending within the LLC's first 5 years. Once the new health system is formed, the results are anticipated to create significant increases in Saint Mary's income due to the elimination of the debt (no more interest expense) and changes in depreciation expense (based on the LLC's opening balance sheet / valuation of the assets not the old Saint Mary's depreciation). (Please see Financial Attachments IA, IB and IC included as **Exhibit 7**). Additionally, it is anticipated that the availability of financial resources as well as operational and clinical expertise in a number of important areas will further increase efficiency in operation and enhance quality. Planned activities include:

- The implementation of the latest in health information technology, equipment and quality initiatives;
- Facility improvements and upgrades, including new equipment, equipment replacement, facility renovations, medical office space, technology and other capital improvements;
- Further development of existing service lines, including emergency services and primary care, cardiology, neurology, orthopedics and surgical specialties;
- Enhancement of physician recruitment and employee retention efforts; and
- Access to experts, resources and best practices of a national healthcare provider network.

In addition, tentative planning has begun for a number of strategic priorities including:

- Establishing a physician roundtable;
- Increasing throughput and satisfaction in the Emergency Department ("ED");
- Enhancing the hospitalist program to improve the flow of patients from the ED onto patient floors;
- Moving to a private bed model;
- Restructuring laboratory operations; and
- Enhancing access to outpatient services, including primary care and urgent care.

These priorities are focused on maintaining the quality and volume of services so that Saint Mary's financial state will remain stable in the future. This proposal by the Applicants is an outstanding opportunity to recapitalize Saint Mary's, which will insure the viability of its facilities and services in the Waterbury area not only today, but for the future.

LONG RANGE PLANS

The long range plans include:

1. **Emergency Department Improvements:** Saint Mary's ED receives over 65,000 visits per year and is among Connecticut's busiest facilities. LHP and Saint Mary's are currently discussing possible processes to improve throughput in order to reduce ED waiting times. In addition, the Applicants are working with staff to improve patient satisfaction.

Capital funds will be used to renovate and expand the ED to optimize patient flow with the goal of reducing waiting times and increasing patient satisfaction while continuing to provide high-quality care. The LLC will implement an ED expansion that will increase the number of bays from 36 to 45.

A CT scanner⁶ will also be added to increase test capacity so patients can receive diagnostic imaging service more quickly. The existing exit ramp and nearby parking facilities will be modified in order to create new ambulance traffic routes.

2. **Clinical Services Enhancements:** The LLC will use capital funds to build on existing clinical programs, including:
 - a) Physician Integration;
 - b) Imaging Services; and
 - c) Surgical Services.

3. **Enhancing Community Access:** Saint Mary's will develop plans to coordinate services in the communities it serves. Centralizing services will better meet community health need as patients will be able to access a variety of health care services (urgent care, imaging, lab, primary and specialty care) in a single, convenient location. The existing Naugatuck and Wolcott Walk-in Health and Wellness Centers will be modified to offer more outpatient services. These centers will serve patients living in Saint Mary's outlying primary service area.

4. **Additional Physical Plant Improvements:** Saint Mary's physical plant is over 100 years old. It is essential to modernize the facility so that patients in Saint Mary's service area will choose to stay in the community to obtain health care services. The improvements include:
 - a) Increasing operating room capacity in the Hospital;
 - b) Private-bed model and renovating existing floors;
 - c) Purchasing the Holiday Inn and building a bridge to connect the buildings; and
 - d) Implementing infrastructure improvements.

Capital funds received as a result of the proposed joint venture will be needed to modernize facilities so that patients will choose to stay in the community for care. In addition to aesthetic appeal, modern facilities will increase patient safety and improve quality of care.

Please see the response to Question 2, **Exhibit 1**, **Exhibit 2** and **Exhibit 3** for governance of the entities involved.

⁶ If approved, the LLC will seek approval from OHCA to obtain the CT scanner.

- 4. Describe the due diligence undertaken by Saint Mary's in deciding to enter into the joint venture. This section should include, but is not limited to, considerations regarding the current financial condition of the Hospital and any projected financial condition of the Hospital, any professional assessments of or reports regarding the Hospital or the joint venture, any and all alternatives explored by the Hospital or other offers received by the Hospital, the reasons for rejecting such alternatives and offers, the Hospital's selection of LHP for the joint venture, and the specific terms of the joint venture. In addition, the parties should discuss its due diligence in obtaining the fairness evaluation required by Conn. Gen. Stat. § 19a-486a(c)(5), including providing a copy of said independent expert's resume or other documentation of his or her qualifications and describing the process undertaken to identify and retain this person as an independent expert.**

Saint Mary's arrived at the decision to enter into a joint venture with LHP after a lengthy and thorough process. In 2002, Saint Mary's had multiple years of operating losses that missed budgeted levels for performance. Saint Mary's was nearing technical default on its bonds because Saint Mary's poor operating performance weakened debt and liquidity ratio measures. In addition, the lack of any positive cash flow prevented Saint Mary's from investing in its aging plant. Saint Mary's installed a new management team, began a Performance Improvement Program and began to plan for the future of Saint Mary's as a stand-alone entity.

In December 2003, after evaluating Saint Mary's viability as a stand-alone organization, the Saint Mary's Board adopted an Affiliation Strategy as part of its Strategic Plan. In May 2004, the Saint Mary's Board appointed its first Affiliation Task Force which developed a White Paper on the Principles of Affiliation. By July 2004, the Task Force submitted its official recommendation to pursue an affiliation with Waterbury Hospital ("Waterbury"). In December 2004, an Affiliation Workgroup was formed to explore a possible affiliation between Saint Mary's and Waterbury. In 2005 and 2006, Saint Mary's was in default of its bond covenants.

In June 2006, a third party consulting firm, retained by Saint Mary's, presented its findings on the potential synergies Saint Mary's and Waterbury would benefit from in an affiliation. However, Waterbury was hesitant to pursue an affiliation primarily due to Saint Mary's significantly underfunded pension plan, which had a 48% funded pension ratio at the time. In the summer of 2006, Saint Mary's along with Morgan Keegan (serving as financial advisor) solicited indications of interest to pursue other affiliation strategies with national Catholic health systems as well as Yale-New Haven Hospital and UCONN Health System among other regional and national healthcare systems. Saint Mary's received no proposals from the 2006 solicitation process, again primarily due to the size of the underfunded portion of the pension fund along with the previous multiple years of poor financial performance.

In April 2007, the Affiliation Workgroup decided to again explore a potential affiliation between Saint Mary's and Waterbury. Saint Mary's and Waterbury made two presentations to Connecticut State officials summarizing the studies that supported a local consolidation of the two hospitals. The Office of Health

Care Access completed a dedicated study of the Waterbury area in response to both Saint Mary's and Waterbury being categorized as "financially distressed." Attached as **Exhibit 8** is the State of Connecticut, Office of Health Care Access' "Report of Socio-Economics and Health Care Services Utilization of the Waterbury Area" from May of 2006 (OHCA Docket No. 06-30760-VST).

Saint Mary's and Waterbury shared the same vision to create financially sustainable, consolidated healthcare delivery systems that would improve access to quality healthcare in the Waterbury community. However, after several years, Saint Mary's and Waterbury were not able to come to acceptable terms on a merger agreement. Ultimately, merger discussions were terminated in 2008 due to lack of capital funding to support the anticipated costs of the merger. The State of Connecticut was proposed to be the primary capital partner for the merger. The proposed necessary capital for the merger was in excess of \$130 million. The parties were unable to complete the arrangement given the State's budget constraints and financial hardship.

In 2009, Saint Mary's initiated a new strategic planning process with its Board of Directors. Saint Mary's developed a consolidated five year strategic capital plan and set operational and financial targets. Saint Mary's studied its ability to: (1) remain a stand-alone entity while continuing to meet the healthcare needs of the community; (2) adequately fund its pension plan and service its debt; and (3) invest capital in its ongoing plant (collectively, the "Goals"). During this period, LHP contacted Saint Mary's regarding a potential joint venture. Saint Mary's provided LHP with requested due diligence material and LHP submitted a preliminary offer to form a joint venture with Saint Mary's.

As a result of its strategic planning process, Saint Mary's decided that as an independent organization it would not be able to generate sufficient capital to meet its Goals. Recognizing the need for a capital partner, the Board appointed the Strategic Partner Task Force in July 2010 (the "Task Force"). The following individuals were invited to join the Task Force: Garrett Casey, Stephen R. Griffin, Esq., Robert Mazaika, Joseph A. Mengacci, Esq., James C. Smith, and Jerome Sugar, M.D. Attached as **Exhibit 9** are the minutes for the Strategic Partner Task Force meetings.

The purpose of the Task Force was to: (1) confirm Saint Mary's objectives and principals for a partnership; (2) confirm Saint Mary's long-term strategic partnership strategy; (3) review all relevant strategic partners and partnership options; and (4) to develop a recommendation for a strategic partner and partnership structure to the Board of Directors. The Board authorized the Task Force to pursue strategic affiliations. Morgan Keegan contacted 19 potential strategic parties, both not-for-profit and for-profit companies, of which 16 signed Confidentiality Agreements and received the Confidential Information Memorandum. Of the 16 parties, five interested parties submitted asset purchase or joint venture proposals.⁷ One additional party submitted a services agreement proposal. The initial Indications of Interest from these six parties provided an Implied Enterprise Value range of \$70.5 million to \$190.4 million. Attached as **Exhibit 10** is Morgan Keegan's Initial Indications of Interest Presentation to Saint Mary's Board of Directors dated August 19, 2010.

⁷ Due to Confidentiality Agreements, the four companies (other than LHP) that submitted proposals cannot be identified.

After receiving their initial Indications of Interest, Saint Mary's had management meetings with four of six parties. One of the parties removed itself from the selection process prior to the management meetings. Since Saint Mary's was not contemplating entering into a service agreement relationship and was only pursuing potential joint venture or sale options, it removed from the selection process the party offering only the services agreement proposal. Following initial meetings, Saint Mary's and Morgan Keegan participated in site visits at locations for three of the parties. In October 2010, the four parties were asked to submit best and final offers. A new potential partner, along with its joint venture partner, also submitted an initial Indication of Interest at this time. The revised and final Indications of Interest provided an Implied Enterprise Value range of \$104.1 million to \$198.7 million. Attached as **Exhibit 11** is the Summary of Revised and Final Indications of Interest dated October 19, 2010 and attached as **Exhibit 12** is the Report of the Strategic Partnership Task Force dated November 4, 2010.

On November 11, 2010, Saint Mary's entered into a Letter of Intent with one of the parties to form a joint venture. Saint Mary's terminated the Letter of Intent with that party on December 24, 2010 as both parties were unable to reach mutually acceptable terms on the transaction. Saint Mary's and Morgan Keegan then confirmed that LHP and one additional party still retained interest in forming a joint venture and held conference calls with them and received updated proposals from both parties. The Affiliation Task Force met on January 4, 2011 to review the proposals and select a preferred partner. In the final Indications of Interest, the proposal from the non-LHP party valued Saint Mary's with an Implied Enterprise Value lower than that in the LHP proposal. The work produced by Morgan Keegan leading up to the selection of a partner is included in **Exhibit 10** (Morgan Keegan's Initial Indications of Interest Presentation to Saint Mary's Board of Directors dated August 19, 2010) and **Exhibit 11** (Summary of Revised and Final Indication of Interest dated October 19, 2010).

The Task Force recommended entering into a 80% / 20% joint venture with LHP and cited four key reasons: financial attractiveness of the offer; availability of capital, clinical and managerial resources at LHP; local control; and cultural fit between Saint Mary's and LHP. For further details, please see the Report of the Strategic Partnership Task Force dated January 6, 2011 attached as **Exhibit 13** and Morgan Keegan's Review of Hospital Partnership and Related Agreements dated March 3, 2011 attached as **Exhibit 14**.

LHP's offer was attractive both from a financial point of view as well as governance point of view, as outlined below:

- Saint Mary's will receive \$108 million from the LLC and a 20% membership interest in the LLC, in consideration for: (i) substantially all of the assets, real and personal, tangible and intangible, associated with owning, leasing and operating the facilities (all interests in, and assets related to, Saint Mary's Hospital Foundation and Saint Mary's Indemnity Company, as well as certain other assets, will be excluded from the transaction); and (ii) a capital contribution in the original principal amount of \$7.5 million. Likewise, LHP Sub will receive its 80% membership interest in the LLC for contributing: (i) \$108 million in cash; and (ii) a capital contribution in the original principal amount of \$67.5 million.
- The offer will fully fund Saint Mary's current pension liability and repay its outstanding long-term debt liability.

- Saint Mary's and LHP Sub will have equal governance rights (e.g. 50/50) in the LLC. The LLC's Board of Directors will consist of 10 members: five elected by Saint Mary's and five elected by LHP Sub, as long as Saint Mary's maintains a 20% membership interest in the LLC. Actions taken by the Board will require the approval of the majority of Saint Mary's directors and the majority of the LHP directors ("Block Voting"). If Saint Mary's membership interest declines below 20%, actions taken by the Board will no longer be subject to Block Voting and will be subject to a simple majority vote of the Board. If Saint Mary's membership interest in the joint venture is less than 20% but greater than 10%, Saint Mary's will elect two members of the Board of Directors. If Saint Mary's membership interest in the joint venture is less than 10%, Saint Mary's will elect one member of the Board of Directors.
- The LLC will follow the community benefit standards currently implemented by Saint Mary's. If Saint Mary's Board members, by majority vote, decide that the LLC is not operating in a manner consistent with the community benefit standards, Saint Mary's retains the right to dissolve the LLC.
- Saint Mary's management team will remain in place and all employees in good standing will become employees of an affiliate of the LLC, with total compensation packages consistent in the aggregate with those then being provided.
- Right of First Refusal: If either Saint Mary's or LHP receives and accepts an offer (the "Offer") to sell all or a portion of its membership units in the LLC to an unrelated third party (the "Offeror"), the non-selling member will have the right of first refusal to purchase the selling member's units on the same terms and conditions as the offer.
- Tag-Along Right: If a selling member, holding membership interest greater than 50%, gives notice of an offer and the non-selling member does not exercise its right of first refusal, the non-selling member will have the right to require the Offeror to purchase from the non-selling member all or a portion (such portion to be at the option of the non-selling member) of the non-selling member's membership interest at the same price and terms as the Offer for a period of 90 days after receiving notice of the Offer.
- LHP's Call Right: If Saint Mary's interest in the LLC decreases to less than 20%, LHP will have the right to acquire all, but not less than all, of Saint Mary's interest in the LLC for payment in cash of the purchase price defined in the LLC Agreement.
- Saint Mary's Purchase Right: From the period that commences on the first anniversary of closing and expires on the fifth anniversary of closing, Saint Mary's has the right to purchase from LHP an additional membership interest in the LLC. Saint Mary's can purchase such additional membership interest so long as Saint Mary's total membership interest does not exceed 40%.
- Saint Mary's Board of Directors will retain the sole and exclusive right to terminate the Chief Executive Officer ("CEO") of the LLC if the CEO fails to operate the LLC in a manner consistent with the community benefit standards or the Mission. Saint Mary's Board members will also have the unilateral, but not exclusive, right to terminate the CEO for any other reason.

Saint Mary's engaged Morgan Keegan as its financial advisor to provide an opinion as to the fairness, from a financial point of view, of the transaction consideration received by Saint Mary's in connection with the proposed joint venture with LHP. Morgan Keegan delivered its Fairness Opinion to Saint Mary's Board on April 7, 2011 (the Fairness Opinion is attached as **Exhibit 6**). Michael Hammond, Elaine Yao and Amanda Verner rendered the Fairness Opinion, which was reviewed by Morgan Keegan's internal Fairness Opinion Committee: Mitchell Kornblit, Richard Lorenti, Vinton Rollins and Eric Coburn. Attached as **Exhibit 15** are Morgan Keegan's qualifications to provide an expert opinion, including the resumes of the seven individuals from Morgan Keegan directly working on the opinion.

On June 24, 2011, Saint Mary's Board of Directors approved the partnership process with LHP. A copy of the Saint Mary's Board of Directors' meeting minutes for 2011, including the draft June 24th meeting minutes that include the approval are attached as **Exhibit 16**. Please note that the June 24, 2011 meeting minutes will not be approved until the August 2, 2011 meeting.

- 5. Describe any and all potential conflicts of interest between, among, or pertaining to, at a minimum, any and all board members, officers, key employees, and experts of the Hospital, Saint Mary's, LHP, any other party to the transaction, and any person or entity that performed assessments requested in this application. In addition, describe the due diligence taken to determine the existence of any conflicts of interest, including how Saint Mary's and LHP defined "conflict of interest" for purposes of the response to this question.**

There are no conflicts of interest between, among, or pertaining to, at a minimum, any and all board members, officers, key employees, and experts of Saint Mary's, LHP, any other party to the transaction, and any person or entity that performed assessments requested in this Application.

Saint Mary's and LHP have defined "conflict of interest" as any act by an individual involved in the Saint Mary's / LHP joint venture transaction where the individual's participation in the joint venture selection process and/or the process of forming the joint venture itself (or influencing the outcome of any decision) was exploited in any way for the person's individual or corporate benefit.

In order to determine that no conflicts of interest exist with regard to the subject matter of this proposal, Saint Mary's has performed due diligence by sending Conflict of Interest Disclosure Statements to all individuals involved, including all board members, officers, key employees and experts of Saint Mary's, LHP and any other party to the transaction, and any other person or entity that performed assessments requested in this Application. Saint Mary's has received responses from all of these individuals attesting to the fact that there are no conflicts of interest. The Conflict of Interest / Disclosure Forms as well as a list of individuals who were asked to complete the form are attached as **Exhibit 17**.

6. **Provide an assessment of the fair market value of the transaction, including, but not limited to, a discussion regarding whether the fair market value of the Hospital's assets has been manipulated. Describe how the assessment was performed (including any method to verify results of the assessment), the persons that performed the assessment, and their qualifications to perform the assessment. Provide copies of all documents and statements, financial and otherwise, in support of this assessment.**

Attached as **Exhibit 18** is Morgan Keegan's Valuation Discussion, which provides an assessment of the fair market value of the transaction. Please see the response to Question 12 for Morgan Keegan's opinion on the fairness of the transaction from a financial point of view.

The application of a fair value is quite broad. For purposes of Morgan Keegan's report, Enterprise Value ("EV") is defined as the most probable price that the net tangible and intangible operating assets (or business enterprise) of a business may bring, in a competitive and open market under all conditions requisite to a fair sale, with buyer and seller each acting prudently, knowledgeably and assuming the price is not affected by undue stimulus. EV generally includes a level of working capital sufficient to operate the business. However, it is not influenced by the capital structure or method of acquisition financing, although each will affect the net proceeds available to the seller. There are three conventional approaches to establishing the EV of a healthcare services company. Because there is no single approach that is considered conclusive and each approach offers certain advantages and disadvantages, Morgan Keegan has considered a combination of three methodologies to determine whether the transaction is fair, from a financial point of view to Saint Mary's:

Comparable Companies Analysis. The value of the Company is determined by reference to the corresponding valuation multiples of comparable publicly-traded companies included in the hospital segment.

Comparable Transactions Analysis. The value of the Company is determined based on acquisitions of comparable hospital companies.

Discounted Cash Flow Analysis. The value of the Company is determined based on projections of future cash flows of the Company that are discounted to a net present value.

In addition, adjustments must be made to each approach in order to reflect the valuation considerations that are specific to Saint Mary's. A combination of results will provide the most reliable estimate of EV. The "Comparable Companies" valuation methodology estimates the value of Saint Mary's based upon the current market valuations of publicly-traded companies with a similar focus.

COMPARABLE COMPANIES ANALYSIS

Comparable Companies Analysis relies on certain valuation “multiples” calculated for the publicly-traded companies based upon latest twelve months’ (“LTM”) financial results and current market capitalization.

- Multiples of revenue, EBITDA and beds (to a lesser extent) are all common reference points for valuation of hospital companies.
- Depending on the business, the data available and Morgan Keegan’s judgment as investment bankers as to which multiples appropriately reflect the value of the Company, particular multiples or other valuation factors may be selected or excluded.
- Frequently, multiples of certain companies within the comparable company universe may be excluded for valuation purposes because they are outliers, or would create a misleading valuation if used in the analysis.

Depending on market levels, the Comparable Companies analysis can serve to overvalue smaller, privately-held companies, due to their lack of liquidity and higher perceived risk profile, suggesting that discounts to the multiples may be appropriate. Conversely, since public market multiples represent prices paid for a single share of equity, these valuations do not include the premium typically paid to acquire control of an organization.

COMPARABLE TRANSACTIONS ANALYSIS

The Comparable Transactions valuation approach relies on comparable acquisitions and their relative valuation multiples to estimate a range of value for Saint Mary’s. As in the publicly-traded Comparable Companies analysis, determining comparability is critical. Precedent transactions are chosen based on industry/sector, time elapsed since transaction, size, structure, changes in financial markets and industry conditions. Comparable transactions analysis relies on certain valuation multiples calculated for the acquired business based upon the most recent financial results available prior to the transaction. Multiples of revenue, EBITDA and beds are all common reference points for the valuation of hospital companies. Moreover, multiples of certain acquisitions within the comparable acquisition universe may be excluded for valuation purposes because they are outliers, or would create a misleading valuation if used in the analysis. It should be noted that reliable financial information for private companies can be limited or derived from a variety of sources.

DISCOUNTED CASH FLOW ANALYSIS

Valuing an enterprise based on the discounted value of its cash flows is a universally recognized valuation methodology. Unlike market-based valuation approaches, Discounted Cash Flow (“DCF”) valuation utilizes estimates of future, rather than historical, financial performance. The methodology seeks to empirically derive the value of an organization by computing the present value of its future earnings stream. Based on realistic financial forecasts, the DCF Analysis can provide the most accurate estimate of value. However, there is a degree of subjectivity involved not only in creating forecasts, but also assessing risk profiles and assigning appropriate discount factors.

Valuation guidelines state that fair market value or EV must be determined within the framework of the business' value to a hypothetical purchaser. Financial projections/valuation assumptions should not reflect the characteristics of any particular buyer nor the current capital structure of the seller; instead, industry norms should be reflected in the analysis. Specifically, DCF valuation incorporates three major components, as discussed below.

- **Estimated Future Cash Flows.** "Free cash flow" represents the earnings of a business that are available for distribution to providers of capital without impairing a business' future prospects. It is defined as after-tax operating income plus depreciation and amortization, less capital expenditures and additions to non-cash working capital.
- **Terminal Value.** The terminal or residual value assumes that the business will remain a going concern and is an estimate of its value beyond the forecast period. Given the EBITDA multiple of public hospital companies is a median of 7.5x, a range of EBITDA multiples of 4.0x-6.0x were selected to calculate a terminal value, representing a discount of 30%
- **Discount Rate.** The discount rate is used to determine the present value of the free cash flows and terminal value, and is intended to reflect all the risks of ownership and associated risks of realizing the stream of projected future cash flows. In general, higher discount rates are used in the analysis of private companies due to their greater perceived risk and illiquidity.

To determine a discount rate for Saint Mary's, Morgan Keegan calculated the weighted average cost of capital ("WACC") for the publicly-traded hospital companies and determined it to be 13.8%. Morgan Keegan's DCF for Saint Mary's uses a range of discount rates from 13.0% to 15.0%.

The valuation of Saint Mary's was performed by Michael Hammond, Elaine Yao and Amanda Verner and reviewed by the Morgan Keegan's internal fairness opinion committee: Mitchell Kornblit, Richard Lorenti, Vinton Rollins and Eric Coburn. Please see **Exhibit 15** for Morgan Keegan's qualifications to provide an expert opinion, including the resumes of the seven individuals from Morgan Keegan directly working on the opinion.

7. Provide an assessment of whether the transaction will place the Hospital's assets at unreasonable risk. Provide copies of all documents and statements, financial and otherwise, in support of this assessment. Describe how the assessment was performed (including any method to verify results of the assessment), the persons that performed the assessment, and their qualifications to perform the assessment.

Saint Mary's will be receiving full value for its assets contributed to the joint venture. Please see the response to Question 12 for Morgan Keegan's opinion on the fairness of the transaction consideration Saint Mary's will receive. Morgan Keegan delivered its Fairness Opinion to Saint Mary's Board on April 7, 2011. Michael Hammond, Elaine Yao and Amanda Verner rendered the fairness opinion, which was reviewed by the Morgan Keegan's internal Fairness Opinion Committee: Mitchell Kornblit, Richard Lorenti, Vinton Rollins and Eric Coburn.

Further, the financial viability of the ongoing joint venture will be stronger than if Saint Mary's were to continue as a stand-alone entity. The joint venture will have no debt on its balance sheet. Saint Mary's pension plan will be fully funded. The joint venture will also have access to the management resources of

LHP and LHP's subject matter experts. Due to these factors, Saint Mary's believes that the transaction will leave its assets at less risk than Saint Mary's continuing as a stand-alone entity. Please see the response to Question 13 for additional detail on the benefits of the proposal.

- 8. Provide an assessment of whether any managerial contracts to be entered into are for reasonable fair value. Provide copies of the managerial contracts and of all documents and statements, financial and otherwise, in support of the fair value assessment. Describe how the assessment was performed (including any method to verify results of the assessment), the persons that performed the assessment, and their qualifications to perform the assessment.**

There will be a Management Agreement between the LLC and LHP Management Services, LLC. Please see **Exhibit 3**.

Saint Mary's believes that the Management Agreement is of reasonable fair value and at commercially reasonable terms based on Saint Mary's knowledge of the market place and from guidance from Saint Mary's Task Force, counsel and financial advisor, Morgan Keegan. Saint Mary's negotiated the management fee, which is based upon a percentage of net revenue, to an amount lower than originally proposed. Overall, it is important to note that outside advisors have confirmed that the management fee charged is an appropriate market rate.

- 9. Describe your concept of the locally-controlled charitable foundation to support health-related activities in the community (the "Foundation"), identified in your letter of March 30, 2011, including the funding of the Foundation, the charitable purpose of the Foundation, and its governance structure. Provide copies of any documents related to the creation, structure, and purpose of the Foundation.**

THE CONCEPT OF THE FOUNDATION

The main responsibilities of the Saint Mary's Community Foundation's will be:

1. To participate as the 20% owner of the new joint venture (the LLC);
2. To ensure that the joint venture conducts Hospital operations in a manner consistent with the "community benefit standard" set forth in IRS Revenue Ruling ("Rev. Rul.") 69-545;
3. To manage existing assets and liabilities (*ie. pension*); and
4. To support charitable and health related activities in the community in addition to those that will continue be provided through the joint venture's operation of the Hospital in accordance with the community benefit standard.

THE FUNDING OF THE FOUNDATION

The Community Foundation will receive proceeds of approximately \$46 million as part of the transaction. In addition, the Community Foundation will hold a 20% ownership interest in the LLC, valued at approximately \$27 million. As a result, at its inception, the Community Foundation will be funded with an

amount equal to approximately \$73 million. The assets of the Community Foundation will also include short term investments, marketable securities and an asset whose use is limited by donors and held in trust (the Hellman Trust⁸).

The Community Foundation will continue to receive revenue on an ongoing basis as a result of its 20% equity position in the LLC.

THE CHARITABLE PURPOSE

The charitable purpose of the Community Foundation will be to support the health care needs of the Greater Waterbury community. As part of the planning process for the formation of the Community Foundation, representatives from Saint Mary's have interviewed leaders from similar foundations in Alaska, Idaho, and Arkansas. While each of these organizations shares a common purpose, each is unique in that it has been designed to meet the specific needs of its community. Saint Mary's plans to take sufficient time to evaluate the current needs of the community, and very carefully plan and structure its strategies for charitable work. As a safety net provider, Saint Mary's is certainly aware of the health needs of its community, yet for the purposes of establishing the Community Foundation, Saint Mary's believes that a comprehensive needs analysis and strategic planning process would be appropriate, and will ensure maximum impact and effectiveness.

THE STRUCTURE OF THE COMMUNITY FOUNDATION

The Community Foundation will be established as a tax exempt 501(c)(3) organization, operating as a public charity. Specifically, the Community Foundation will file, for tax purposes, as a hospital pursuant to Section 170(b)(1)(a)(iii). The structure that Saint Mary's will be entering with LHP is described in an Internal Revenue Service ("IRS") ruling as follows:

IRS Rev. Rul. 98-15, ISSUE: Whether, under the facts described below, an organization that operates an acute care hospital continues to qualify for exemption from federal income tax as an organization described in § 501(c)(3) of the Internal Revenue Code when it forms a limited liability company (LLC) with a for profit corporation and then contributes its hospital and all of its other operating assets to the LLC, which then operates the hospital.

The entity being created for the purpose of this transaction is a limited liability company. However, the LLC will be taxed as a partnership and partnership taxation rules will be applicable. The "members" of the new LLC will be treated and taxed as the "partners" of a partnership. The terms "joint venture" or "JV" used in this Application are references to the new LLC. All items of income and deduction arising from the partnership's activities are passed through and reported on the tax

⁸ The Hellman Trust is managed by an entity other than Saint Mary's.

returns of the partners. The “pass through” generally occurs in proportion to the ownership interests of the partners although special allocations are permitted in certain cases. A partnership issues a Form K-1 to each partner at the end of each tax year identifying that partner’s share of income and deductions.

Tax-exempt (Section 501(c)(3)) organizations can and do receive many different types of income – depending in large part on the type of activities they conduct. Examples of income include donations, dividends and capital gains on investments, governmental grants, and what is often called “program related income” or “exempt function income.” Examples of program related/exempt function income include the income from ticket sales at a tax exempt theatre, or the membership dues and fees charged by a museum. More specifically, “program related income” also includes monies received by a hospital in return for its health care services as long as the Hospital conducts its activities in accordance with what is known as the “community benefit standard” first adopted by the IRS in 1969.

Nonprofits can also have what is known as “unrelated business taxable income” or “UBTI” which is income it may receive, for example, from operating a “side business” that is not related to its exempt activities. An otherwise tax exempt organization must separately report and pay tax on any UBTI.

Even though the income of the new LLC will be passed through to the members as discussed above, under Internal Revenue Code Section 512 (c) the “character” of the income will be based on the nature of the Hospital business activities as conducted by the LLC. In other words, if the LLC operates the Hospital in accordance with the community benefit standard the income will retain its “character” as program related/exempt function income when received by the Community Foundation from the LLC. The income distributed to LHP will have this same “character” but as far as LHP is concerned the characterization is irrelevant because it is not a tax exempt entity and will pay tax on its share. Accordingly, the 20% income share payable to the Community Foundation will be exempt from taxation, and the 80% payable to LHP will be taxable.

LEGAL AUTHORITY FOR JOINT VENTURES BETWEEN TAX EXEMPT AND TAXABLE ENTITIES

It has been accepted practice for tax exempt organizations to enter into “partnerships” with for-profit organizations since 1982 when the Ninth Circuit Court of Appeals determined that a nonprofit entering into a partnership that included for-profit investors did not affect the organization’s exempt status. Plumstead Theatre Society, Inc. v. Commissioner, 675 F.2d 244 (9th Cir. 1982).

In numerous public and private rulings, and in other written guidance issued since the Plumstead decision, the IRS has articulated general principles it will apply when deciding if a tax-exempt organization's participation in a "partnership" will jeopardize tax exemption, as follows:

1. Is the organization accomplishing a charitable purpose through its participation in the arrangement?
2. Is the income received by the nonprofit from the partnership derived from a tax exempt activity or function?
3. Is the organization still acting exclusively in furtherance of its exempt purposes as opposed to the benefit of the private interests/investors participating in the arrangement?

The IRS has issued two landmark Revenue Rulings involving these three principles in the context of nonprofit hospitals which entered into joint ventures with for-profit organizations. The first was issued in 1998 (Rev. Rul. 98-15) and involved "whole hospital" joint ventures; and the second issued in 2004 (Rev. Rul. 04-51) addressed "ancillary" joint ventures (such as a joint venture involving an ambulatory surgery center).

The proposed transaction between Saint Mary's and LHP is a "whole hospital" joint venture and falls within the analysis and reasoning in Rev. Rul. 98-15. This Revenue Ruling posits two hypothetical whole hospital joint ventures (referred to in the Revenue Ruling as "Situation 1" and "Situation 2") to help provide a "bright line" test to distinguish (a) a whole hospital joint venture structured in a way which *will not* cause the exempt participant to lose its exemption (Situation 1), and (b) a whole hospital joint venture structured in a way which *will* cause the exempt participant to lose its exemption (Situation 2). In other words, the IRS concludes that in Situation 1 the hospital: (a) accomplished a charitable purpose through its participation in the joint venture, (b) received program related/exempt function income from the joint venture; and (c) was acting exclusively in furtherance of its exempt purposes and not for the benefit of the private interests/investors participating in the joint venture.

While reference is made to Rev. Rul. 98-15 to explain the facts, the quintessential point on which these issues turn is that of control – whether or not the nonprofit retains enough control over the operations of the joint venture to insure that the joint venture will continue to operate the hospital in a manner consistent with the community benefit standard. Legal control over a business is multi-faceted and the power to exercise control or controls can be found in bylaws, certificates of incorporation, articles of organization, operating agreements, statutes, and other contractual arrangements.

In the simple hypothetical in Situation 1 in Rev. Rul. 98-15 control is established by the fact that the tax-exempt hospital appoints a simple majority of the governing board of the joint venture. However, a Revenue Ruling is an articulation of the IRS's position on an issue and is not binding on the courts. In this regard, in what has become regarded as the seminal case on the issue of control, it has been found that the

ability to appoint a simple majority of the joint venture board is not necessary as long as other provisions, terms and documents are in place to insure that the joint venture will continue to operate in a manner consistent with the community benefit standards. St. David's Health Care System v. United States, 349 F. 3d 232 (5th Cir. 2003); 2004 WL 555095 (W.D. Tex. March 18, 2004).

In this case numerous control and control related protections exist to insure that the LLC will conduct the operations of the Hospital consistent with the community benefit standard. The Community Foundation will be governed by a Board of Directors consisting of 7-12 members. The initial Board will be nominated by the Saint Mary's Governance Committee and elected by the Saint Mary's Health System Board of Directors. It is anticipated that committees will be established to manage the work of the Community Foundation Board and the Community Foundation. The Community Foundation will have tax exempt status under Section 501(c)(3) of the Code.

In order to facilitate the creation of the Community Foundation, a Foundation Task Force (the "Foundation Task Force") was established in April 2011. The Task Force included representatives from Saint Mary's, Saint Mary's Hospital Foundation Board, and management. The recommendations of the Foundation Task Force were reviewed and voted on by the Saint Mary's Health System Board at its June 24, 2011 meeting.

10. Identify any and all assets of the Hospital that are subject to a charitable use restriction imposed by a donor and the intended handling of those assets as a result of the joint venture. Please provide copies of the original gift instruments for each of the gifts.

Saint Mary's Hospital Foundation was originally incorporated as the May Fund Corporation on April 3, 1984 to advance the purpose of Saint Mary's Hospital through the solicitation of charitable donations. As currently envisioned, the Saint Mary's Hospital Foundation will continue to exist following the joint venture transaction. This will ensure that none of the assets of the Foundation will be transferred to another corporation, and that the original intention of the donors will be honored as the funds are distributed according specifications set forth by the donor and/or in the original gift instruments.

SUMMARY OF SAINT MARY'S HOSPITAL AND FOUNDATION GIFTS

The assets are segregated into four classifications. Assets of each classification as of May 31, 2011 are as follows:

Unrestricted Fund	
Board Restricted	\$152,643
UPMIFA	\$122,417
General Unrestricted	\$1,452,494
Funds Restricted As To Use	
Foundation	\$2,098,201
Endowment	\$ 967,889
Third Party Trust	\$14,677,000

The Unrestricted Fund represents money donated through special events, annual fund appeals, memorials and other unrestricted donations that have been given over the years by generous donors for use by the hospital to improve patient care. Distributions from this fund have been made under the direction of the Board of Directors of the foundation in response to requests from the hospital. This fund includes the Board Restricted Fund which is comprised of contributions by individual members of the various boards of Saint Mary's Health System donated over several years through annual board appeals. The fund was established giving the Board of Directors the authority to expend the money on programs and services that advanced the purpose of the hospital. This fund also includes funds under Uniform Prudent Management of Institutional Funds Act ("UPMIFA") which represents earnings on the endowment.

The Funds Restricted As to Use are individual funds that were created in response to donor instruction regarding their gifts. The total number and balance of each fund fluctuates monthly depending on the number of donations. Withdrawals from these funds are made in accordance with the donor's wishes.

The Endowment was established by the Board of Directors of the May Fund Corporation. The Board voted to establish the endowment fund with the intent of growing the fund through future contributions.

The information which follows provides a summary of the donor restricted funds. Original documentation is contained in the accompanying binders.⁹

FUNDS RESTRICTED AS TO USE

Saint Mary's Hospital Foundation has a total of 74 Funds Restricted As To Use. These funds are organized into eleven categories, based upon the intended use of the fund. The categories are Cardiology; CHC/FHC; Emergency Department; Department of Surgery; Nursing Departments; Clinical Departments; Non-clinical Departments; Medical/Surgical Education; Special Funds; Scholarship Funds; and Education Funds.

As of May 31, 2011, the balance for all of these funds was \$2,098,201. A summary chart outlining each of the funds, its May 31, 2011 balance, and a brief description of the use of the funds is attached as **Exhibit 19**.

The source of funds varies, and includes gifts, donations, special events, bequests and annual appeals. A description of the funds by category follows.

Cardiology

There are five funds under the heading Cardiology. The fund balance as of May 31, 2011 for these funds was \$418,634. Of these funds, one is a grant. As of May 31, 2011 the remaining balance on the grant is \$49,315. The grant labeled Cardiac Rehab-Anthem from Anthem (Binder 1, Section A1) is to be used towards nutrition and exercise education as it relates to cardiac rehabilitation. This grant will be fulfilled

⁹ The five Saint Mary's Hospital Foundation binders (**Exhibit 20**) have been bulk filed. The Attorney General's office received one set and the Department of Public Health received one set. The binders were bates numbered, scanned and are included on the electronic copy of the Application that was provided to both offices.

under the terms set forth in the grant agreement. The remaining funds in this category include Cardiology/cardiac care (Binder 1 Section A2), Herman Weisman/Telemetry (Binder 1, Section A3), J. Robert Anthony Fund (Binder 1, Section A4) and Medical Equipment Fund (Binder 1, Section A5). The remaining balances in these funds will be utilized according to the initial description of the fund.

Children's Health Center (CHC)/Family Health Center (FHC)

There are 16 funds under the heading for Children's Health Center/Family Health Center. The fund balance as of May 31, 2011 for these funds was \$218,530. Of these funds, eight were grants. As of May 31, 2011 the remaining balance for these grants was \$132,234. The grants include CHC/FHC Lucy Edwina Schlegel Fund (Binder 1, Section B2) to be distributed annually at the funders direction, CHC/EKG (Binder 1, Section B3) to purchase a children's EKG, CHC Medial Home (Binder 1, Section B4), from the Hezekiah Beardsley Connecticut Chapter of AAP All Kids for the Medical Home Program, CHC Touchpoints program (Binder 1, Section B5), CHC-Childhood Asthma Prevention (Binder 1, Section B7) from the Neighborhood Assistance Act for asthma prevention, Children's Behavioral Health Screenings (Binder 1, Section B8), Reach Out and Read Program (Binder 1, Section B14) and Teen Grief Support Groups (Binder 1, Section B15) from the J. Walton Bissell Foundation. All of the grants listed above will be fulfilled under the terms set forth in the grant agreement. The remaining funds in this category include CHC/Carbonari (Binder 1, Section B1), CHC/FHC Operating (Binder 1, Section B6), CHC-Gorman Asthma Fund (Binder 1, Section B13), FHC Educational Fund (Binder 1, Section B10), FHC Hypertension (Binder 1, Section B11), Long Foundation Well Child Visits (Binder 1, Section B12), Family Rescue (Binder 1, Section B9) and Famiglietti Fund (Binder 1, Section B16). The remaining balances in these funds will be utilized according to the initial description of the fund.

Emergency Department

There is one fund under the heading for Emergency Department. The remaining fund balance as of May 31, 2011 for this fund was \$7,997. There are no grants in this fund. The fund in this category includes Emergency Department Services (Binder 1, Section C1). The remaining balance in this fund will be utilized according to the initial description of the fund.

Department of Surgery

There are three funds under the heading for Department of Surgery. The remaining fund balance as of May 31, 2011 for these funds was \$644,618. There are no grants in these funds. The funds in this category include daVinci Robotic Surgery Program (Binder 1, Section D1), Same Day Surgery (Binder 1, Section D2) and Surgical Services-Gala (Binder 1, Section D3). The remaining balances in these funds will be utilized according to the initial description of the fund.

Nursing Departments

There are seven funds under the heading for Nursing Departments. The remaining fund balance as of May 31, 2011 for these funds was \$73,274. There are no grants in these funds. The funds in this category include Birthing Center (Binder 2, Section E1), Intensive Care (Binder 2, Section E2), Maternity/Women & Infants (Binder 2, Section E3), Women & Infants Neonatal (Binder 2, Section E4), O'Brien 7 (Binder 2, Section E5), Pediatrics (Binder 2, Section E6), and Xavier 3 (Binder 2, Section E7). The remaining balance in these funds will be utilized according to the initial description of the fund.

Clinical Departments

There are eight funds under the heading for Clinical Departments. The remaining fund balance as of May 31, 2011 for these funds was \$40,386. Of these funds, three were grants. As of May 31, 2011 the remaining balance of the grants was \$29,911. The grants include Breast Center (Binder 2, Section F1) from the Susan G. Komen Foundation to be used for breast biopsies, computer scanner, translation software, Cyracom language line, mammograms and CBE's, Dental (Binder 2, Section F2) to be used to purchase dental equipment for dental clinic or dental room at CHC and Naugatuck Walk-In (Binder 2, Section F6) from the Connecticut Community Foundation to be used to purchase equipment. All of the grants listed will be fulfilled under the terms set forth in the grant agreements. The remaining funds in this category include Endoscopy/Gastro (Binder 2, Section F3), Hospice Room Fund (Binder 2, Section F5), Oncology (Binder 2, Section F4), Pulmonary/Respiratory (Binder 2, Section F7), and Wound care (Binder 2, Section F8). The remaining balance of these funds will be utilized according to the initial description of the fund.

Non-Clinical Departments

There are seven funds listed under the heading for Non-Clinical Departments. The remaining fund balance as of May 31, 2011 was \$99,960. Of these funds, three were grants. As of May 31, 2011 the remaining balance of the grants was \$81,359. The grants include Information Services (Binder 2, Section G3) from the Woodward Foundation to build a wireless network, Pastoral Care (Binder 2, Section G6) from Individual/Swindell Fund to be used for indigent adults and Volunteer Services (Binder 2, Section G7) from the White Foundation to be used for volunteer training. All of the grants listed will be fulfilled under the terms set forth in the grant agreements. The remaining funds in this category include Child Development Center (Binder 2, Section G1), Fitness Center Fund (Binder 2, Section G2), Library (Binder 2, Section G4) and Lifeline (Binder 2, Section G5). The remaining balance of these funds will be utilized according to the initial description of the fund.

Medical/Surgical Education

There are six funds listed under the heading for Medical/Surgical Education. The remaining fund balance as of May 31, 2011 was \$236,974. Of these funds, three were grants. As of May 31, 2011 the remaining balance of the grants was \$180,493. The grants include Residency Program (Binder 3, Section H4) from money raised at the Gala to be used for Research Day, Dudrick Surgical Education & Research Fund

(Binder 3, Section H5) to be used for surgical residents and Dr. William Finklestein-Research Fellowship for Yale Resident (Binder 3, Section H6) from the Estate of Dr. Finklestein for resident research projects. All of the grants listed will be fulfilled under the terms set forth in the grant agreements. The remaining funds in this category include Asghar Rastegar Research Fellowship (Binder 3, Section H1), Department of Medicine (Binder 3, Section H2) and Medical & Nursing Education (Binder 3, Section H3). The remaining balance of these funds will be utilized according to the initial description of the fund.

Special Funds

There are 10 funds listed under the heading for Special Funds. The remaining fund balance as of May 31, 2011 was \$211,512. Of these funds, three were grants. As of May 31, 2011 the remaining balance of the grants was \$11,672. The grants include Breast Health (Binder 3, Section I1) from the American Cancer Society to support cancer through research and education, Freedom from Smoking (Binder 3, Section I2) from the American Lung Association for smoking cessation education and Hoffman Fund-Patient Safety (Binder 3, Section I7) for the purchase of brightly colored blankets and socks to identify patients who are a fall risk. All of the grants listed will be fulfilled under the terms set forth in the grant agreements. The remaining funds in this category include Board of Directors (Binder 3, Section I3), Building Fund (Binder 3, Section I4), Employee Crisis (Binder 3, Section I5), Enrichment Grant Program (Binder 3, Section I6), WFW Mammography Fund (Binder 3, Section I8), Sisters of Saint Joseph (Binder 3, Section I9) and Dr. Finklestein-Restoration & Archival Work (Binder 3, Section I10). The remaining balance of these funds will be utilized according to the initial description of the fund.

Scholarship Funds

There are four funds listed under the heading for Scholarship Funds. The remaining fund balance as of May 31, 2011 was \$127,427. There are no grants in these funds. The funds in this category include Desmarais Scholarship (Binder 3, Section J1), Healthcare/Nursing Scholarships (Binder 3, Section J2), Mimi Rowe Scholarships (Binder 3, Section J3), and School of Nursing Alumni (Binder 3, Section J4). The remaining balance of these funds will be utilized according to the initial description of the fund.

Education Funds

There are three funds listed under the heading for Education Funds. The remaining fund balance as of May 31, 2011 was \$19,752. Of these funds, one was a grant. As of May 31, 2011 the remaining balance of the grant was \$1,236. The grant includes Oncology (Binder 3, Section K2) to be used for oncology staff education. The grant listed will be fulfilled under the terms set forth in the grant agreement. The remaining funds in this category include Education Fund (Binder 3, Section K1) and Nursing Education (Binder 3, Section K3). The remaining balance of these funds will be utilized according to the initial description of the fund.

ENDOWMENT FUNDS

As of May 31, 2011 the Foundation permanently restricted fund had a balance of \$967,889. The majority of the funds in this account were gifts of \$79,295 from the 1995 estate of James Garvey and \$477,888.86 the 2006 estate of Helen L Garvey. A bequest of \$150,000 from the estate of William Finklestein, M.D. was received on February 8, 2005. The remaining balance of \$206,705.32 is a combination of interest and monies transferred into the endowment fund by the board of directors of the original May Fund Corporation.

THIRD PARTY TRUSTS

Hellman Trust

The Hospital is the beneficiary of a 50% interest in a donor-established perpetual trust held and administered by an outside trustee. The Hospital's interest in the trust is approximately \$14.7 million, which is classified as a permanently restricted endowment fund. The Hospital receives a specific portion of the return on the underlying assets each year as determined by the trust. Based on the last will and testament, these distributions do not have a use restriction and are treated as unrestricted. It is anticipated that the Community Foundation will be the recipient of the annual distribution of this trust.

11. Provide copies of all correspondence, memoranda, and any other documents that include the terms of any other offers to transfer assets or operations or change control of operations received by the Hospital.

The following Exhibits include all of the non-confidential correspondence, memoranda and other documentation involved in this process:

- Exhibit 9:** Strategic Partner & Joint Venture Task Force Meeting Minutes;
- Exhibit 10:** Morgan Keegan's Initial Indications of Interest Presentation to the Board dated August 19, 2010;
- Exhibit 11:** Summary of Revised and Final Indications of Interest dated October 19, 2010;
- Exhibit 12:** Report of the Strategic Partnership Task Force dated November 4, 2010;
- Exhibit 13:** Report of the Strategic Partnership Task Force dated January 6, 2011; and
- Exhibit 14:** Review of Hospital Partnership and Related Agreements dated March 3, 2011

Due to Confidentiality Agreements signed by Saint Mary's Hospital and the parties who participated in the partnership process with Morgan Keegan, many details cannot be submitted in this public process. If the Office of the Attorney General or the Department of Public Health requires submission of these details, Saint Mary's will provide the documents under Protective Order.

- 12. Provide a copy of a fairness evaluation by an independent person who is an expert in such joint ventures that includes the expert's evaluation of each of the criteria set forth in Conn. Gen. Stat. § 19a-486c. Also provide copies of the information and documents relied upon by the expert in the preparation and issuance of the fairness evaluation.**

Attached as **Exhibit 6** is Morgan Keegan's opinion on the fair market value of the transaction, as delivered to Saint Mary's Health System Board of Directors on April 7, 2011. The information relied upon in preparation and issuance of this opinion can be found in the Exhibits listed below. The criteria set forth in Conn. Gen. Stat. § 19a-486c(a) through (g) have all been addressed in the documents submitted in the Exhibits. The transaction that is the subject of this Application is not prohibited by Connecticut statutory or common law governing nonprofit entities, trusts or charities. It should be noted that Saint Mary's was on the open market for over six months.

- Exhibit 1:** Contribution and Sale Agreement
- Exhibit 2:** Amended and Restated Limited Liability Agreement
- Exhibit 3:** Management Agreement
- Exhibit 10:** Morgan Keegan's Initial Indications of Interest Presentation to the Board dated August 19, 2010
- Exhibit 11:** Summary of Revised and Final Indications of Interest dated October 19, 2010
- Exhibit 12:** Report of the Strategic Partnership Task Force dated November 4, 2010
- Exhibit 13:** Report of the Strategic Partnership Task Force dated January 6, 2011
- Exhibit 14:** Review of Hospital Partnership and Related Agreements dated March 3, 2011
- Exhibit 18:** Morgan Keegan's Valuation Discussion

- 13. Explain how Saint Mary's determined the need for the proposal and discuss the benefits of this proposal for the Hospital (provide discussion on history and time-line).**

FACTORS CONTRIBUTING TO SAINT MARY'S NEED FOR A CAPITAL PARTNER

Summary

For more than a decade, Saint Mary's has struggled with poor financial performance leaving the Hospital unable to adequately fund its pension plan and to invest in the physical plant. Despite Saint Mary's efforts to implement cost reduction initiatives and eventual operational improvement, Saint Mary's has not been able to restore sufficient profitability and balance sheet strength to invest thoroughly in its facilities and make improvements in clinical services for the community. Saint Mary's poor financial performance in the recent past; underinvestment in the physical plant; inability to grow clinical programs, especially specialties; limited ability to recruit physicians; and its underfunded pension plan led to the need for a capital partner.

Commentary

Beginning in 1998, Saint Mary's suffered substantial losses from operations that continued for many years. In 2002, Moody's Investors Service ("Moody's") put Saint Mary's on its watch list for a multi-notch downgrade. FY 2002 was the fourth year of operating losses for Saint Mary's, and in that year Saint Mary's had a \$16.1 million operating loss, -10.4% operating margin, -3.0% operating cash flow margin and only 29 days cash on hand. In 2003, Moody's downgraded Saint Mary's from Baa1 to Baa3. Saint Mary's experienced operating losses in that fiscal year as well as a reduction in liquidity. Saint Mary's had an operating loss of \$7.2 million and 26 days cash on hand. In FY 2004, Saint Mary's recorded an operating gain of \$807,000.¹⁰ However, in FY 2005, Saint Mary's experienced operating losses of \$18.8 million, a significant portion of which was attributed to approximately \$14 million of one-time, non-cash adjustments.¹¹ Saint Mary's low liquidity continued to be a concern with 25 days cash on hand. Although Saint Mary's froze the defined benefit plan in 2004 and, as a church plan, was not required to meet ERISA funding requirements, the pension plan was sizably underfunded, with a 48% pension funded ratio. Due to FY 2005's poor financial results and continued balance sheet deterioration, Moody's further downgraded Saint Mary's to Ba3 from Ba1, with a negative outlook. At this time, Saint Mary's violated debt coverage covenants and began working with consultants to develop performance improvement strategies. Key improvement initiatives implemented included: favorable rate adjustments from managed care payors, reduction in full-time equivalents, recruitment of surgeons for new and expanded high-margin service lines such as the open heart program, implementation of a hospitalist program to help control costs and a review of unprofitable service lines. These initiatives helped Saint Mary's to improve operating results, and in FY 2006 Saint Mary's reduced its operating loss to \$9.7 million from \$18.8 million in the prior year. Saint Mary's liquidity position continued to remain low at 26 days cash on hand, largely due to low cash flow generation.

It was at this time that Saint Mary's began to consider the benefit of a partner for long term sustainability. After Saint Mary's was unable to negotiate beneficial terms of a merger with Waterbury, Saint Mary's began a full auction process, approaching multiple organizations, for the sale of the Hospital (please the response to Question 4, Due Diligence). No viable offers resulted from the auction process, and Saint Mary's continued to focus on improving operations. In FY 2007, Saint Mary's recorded an operating gain of \$1.5 million. This operating gain was only possible because Saint Mary's received funding from State agencies (the Distressed Hospital Fund and the Hospital Hardship Fund). Saint Mary's and Waterbury began merger discussions again, as both hospitals continued to face operating difficulties. Despite the marked improvements, Saint Mary's still faced many challenges: capital spending remained well below 1.0x depreciation and the pension liability remained significantly underfunded (53% pension funded ratio). Therefore, Saint Mary's management and Board believed a partnership with Waterbury would help meet the community's healthcare needs.

¹⁰ Operating gains adjusted to reclassify \$433,000 investment income from operating to non-operating revenue and increase total operating expenses by \$2.8 million to adjust for a one-time wage expense credit associated with a change in paid time-off policy.

¹¹ Non-cash adjustments included \$8.1 million for prior year contractual and bad debt adjustments, \$2.6 million of other accounts receivable adjustments and \$1.5 million adjustment for third party reserves.

Saint Mary's continued the positive operating trend in FY 2008 and FY 2009 but was unable to reach an agreement to merge with Waterbury, largely due to inadequate capital to fund outstanding liabilities, primarily the pension fund. In FY 2008, Saint Mary's had an operating gain of \$2.7 million, an operating margin of 0.8% and an operating cash flow margin of 5.6%. As a result of operating gains, Saint Mary's days cash on hand increased to 46 days. In FY 2009, Saint Mary's recorded operating gains of \$8.0 million, representing a 3.0% operating margin and a 7.4% operating cash flow margin. By September 30, 2009, Saint Mary's had 55 days cash on hand. Three years of consistently profitable operating performance gave Moody's reason to improve Saint Mary's rating to Ba2 from Ba3. Yet, as the operations continued to improve, Saint Mary's remained aware of existing challenges. The pension plan was significantly underfunded, by \$70.7 million, and annual contributions to the plan inhibited Saint Mary's from investing in its facility. Low capital spending levels continued to have an effect on the hospital's physical plant. In FY 2007, FY 2008 and FY 2009, Saint Mary's invested in capital expenditures at a rate less than 100% of depreciation: 45.6%, 84.4% and 90.2%, respectively. In FY 2009, Saint Mary's average age of plant was 13.2 years.

In 2010, Saint Mary's revisited the idea of a capital partner to ensure the Hospital could continue serving the needs of the community. Despite financial challenges, Saint Mary's always maintained a clear safety net of services to the community. Saint Mary's had the fifth highest volume of emergency services Medicaid visits and was, proportionately, the sixth largest provider of all Medicaid services among acute care providers in the State in FY 2010 (Source: Connecticut Hospital Association Decision Support Tool / www.chime.org). Please see **Exhibit 21** for the CHA CHIME data on Medicaid cases. Management and the Board developed a Strategic Plan and established goals for the Hospital and the community. Saint Mary's Board of Directors then formed an Affiliation Task Force to determine whether Saint Mary's would be able to accomplish these goals as a stand-alone entity.

Table 5 - Affiliation Task Force Goals

Goals ⁽¹⁾	Stand-Alone	Joint Venture
Stabilize the long-term Catholic ministry of and operate in accordance with ERDs, Mission and culture	✓	✓
Maintain local control of governance	✓	✓
Improve access to and quality of patient services in the region	?	✓
Improve the long-term financial viability of the local healthcare delivery system	?	✓
Invest necessary capital and improve capital availability	?	✓

(1) As defined by Saint Mary's Affiliation Task Force's Position Paper

As illustrated in the table above, the Affiliation Task Force determined that Saint Mary's best option to fulfill its strategic goals would be with a partner. Standing alone, Saint Mary's could only achieve two of its five goals. With the joint venture, all five goals in the above chart could be achieved.

In the Strategic Plan developed by Saint Mary's management and the Board, Saint Mary's identified six additional financial planning goals: (1) to achieve a 3.0% operating margin; (2) improve overall creditworthiness; (3) spend capital at levels equal to depreciation; (4) appropriately address infrastructure; (5) concentrate remaining capital spending on high margin initiatives; and (6) maintain bond covenants. At the same time, management believed there were a variety of initiatives essential to Saint Mary's success including quality and service improvement, physician integration, clinical services development, a master facility plan and IT integration and development. In the near term, these initiatives would have dropped Saint Mary's operating margin to below 2.0% and would have reduced days cash on hand to below 50 days in FY 2012 from 54 days in FY 2009. At the same time, the pension would still be underfunded, and Saint Mary's was considering pension fund "buy-out" or "discount" alternatives, while no significant investments would be made in the plant or clinical services. Saint Mary's desired to partner with an organization that would allow Saint Mary's to invest in strategic capital projects, thereby decreasing outmigration and improving operating margins. Further, Saint Mary's desired a transaction that would give Saint Mary's the ability to satisfy its debt liability and pension liability, allowing cash flow to be invested in operations.

BENEFITS OF A CAPITAL PARTNER

Summary

Saint Mary's joint venture with LHP will fully address the needs determined by the Affiliation Task Force. The benefits of a joint venture with LHP include capital infusion to the Hospital, shared 50/50 governance, maintenance of the community benefit standards, fully funded pension liability, fully satisfied debt liability and funds remaining for Saint Mary's Community Foundation.

Saint Mary's and LHP's joint venture will address and satisfy all the goals initially established by Saint Mary's management and Saint Mary's Board as seen below.

Table 6 - Goals Established by Saint Mary's Management & Board

Critical Considerations in Evaluating a Potential Partnership & Benefits	JV with LHP
Satisfy pension liability	✓
Ensure long-term quality and standard of care	✓
Strengthen balance sheet	✓
Reasonably fund the significant capital needed for facility improvements, information technology and physician integration	✓
Reverse outmigration and grow Saint Mary's market and market share	✓
Gain access to capital markets at a reasonable cost	✓
Address future challenges of health reform (e.g. VBP, integration)	✓

Commentary

As described in the response to Question 4, Saint Mary's followed a lengthy and rigorous process in choosing LHP as its partner. LHP's proposal will satisfy all of Saint Mary's debt liability as well as pension liability, leaving a locally controlled community foundation with significant resources to invest in the community. LHP increased its initial offer substantially in consideration for Saint Mary's assets to \$135 million, representing a valuation multiple of 7.9x FY 2010 EBIDA and 9.1x FY 2010 Operating EBIDA. Further, the joint venture will invest \$75 million in strategic initiatives within the first five years. Total consideration is valued at \$210 million, which represents a valuation multiple of 12.3x FY 2010 EBIDA and 14.1x FY 2010 Operating EBIDA. The joint venture currently plans to invest the \$75 million in strategic capital improvements outlined in Question 3. The capital commitment, along with additional availability of resources from LHP, will enable Saint Mary's to implement its strategic plan and maintain quality healthcare services in an affordable and accessible manner for residents of the greater Waterbury area.

Saint Mary's and LHP also have a cultural fit and complementary management styles. LHP is built upon a collaborative culture that values relationships, embraces local governance, values continued capital investment, and insists upon quality. These are also all values which Saint Mary's continuously strives to achieve.

Saint Mary's will benefit from having 50% governance on the LLC Board of Directors which will allow it to be actively involved in all strategic, financial, clinical and quality decisions that affect the future of the Hospital. The LLC's Board will consist of ten members: five elected by Saint Mary's (the "SMH Directors") and five elected by LHP Sub (the "LHP Directors"), as long as SMHS maintains a 20% membership interest in the joint venture. Actions taken by the LLC Board will require block voting, i.e. the approval of the majority of the SMH Directors and the majority of the LHP Sub Directors.

Saint Mary's Board members will retain the sole and exclusive right to terminate the CEO of the joint venture if the CEO fails to operate the joint venture in a manner consistent with the community benefit standards or the mission. Saint Mary's Board members will also have the unilateral, but not exclusive, right to terminate the CEO for any other reason. The joint venture will follow the community benefit standards currently implemented by Saint Mary's. If Saint Mary's Board members, by majority vote, decide that the LLC is not operating in a manner consistent with the community benefit standards, Saint Mary's retains the right to dissolve the LLC. This structure assures that the LLC will maintain Saint Mary's historical charitable mission in the community and allows for continued accountability of management to the Board.

The transaction will ensure the ongoing viability of Saint Mary's, both by maintaining the hospital's mission and quality of care and by improving financial operations. The joint venture will provide for a well-capitalized full service acute healthcare provider in the greater Waterbury area.

14. List any changes to the clinical services offered at the Hospital's main campus and any/all satellite locations that result from this proposal and provide an explanation for each change.

There will be no change in clinical services offered. Saint Mary's currently offers a comprehensive set of health care services on its main campus as well as at off-site locations. Services at the main campus include an Emergency Department with a Level Two Trauma Center. The Emergency Department currently has 36 bays, including a four-bed behavioral health holding unit. In FY 2010, the Emergency Department had 68,272 visits. The main campus also includes a 26-bed Women and Infants Center with 1,082 births in Fiscal Year 2010.

Comprehensive surgical services available at the main campus include general surgery as well as surgical specialties such as bariatric surgery, cardiothoracic surgery, vascular surgery, neurosurgery, orthopedics and gynecological surgery. The Hospital has ten operating rooms (of which one is currently being renovated). In Fiscal Year 2010, there were 2,881 inpatient surgical operations and 3,662 outpatient surgical operations. All surgical services, including robotic surgery, will continue to be offered.

Inpatient medical services available at the Hospital include general medicine as well as medical specialties such as cardiology, neurology, nephrology, pulmonary, psychiatry, oncology and rheumatology. Pediatric inpatient services are provided by Connecticut Children's Medical Center. Inpatient hospice services are provided by VITAS.

Other services provided through the hospital include a Wound Healing Center; an accredited sleep disorders center; comprehensive imaging services (CT, MRI, digital mammography, DEXA bone density, etc.); cardiac and pulmonary rehabilitation; endoscopy services; Outpatient Mental Health Clinic and Substance Abuse Treatment Center; occupational health services; physical, occupational and speech therapy; dental clinic; The Children's and Family Health Center; and Health and Wellness Centers. Saint Mary's will continue all programs listed.

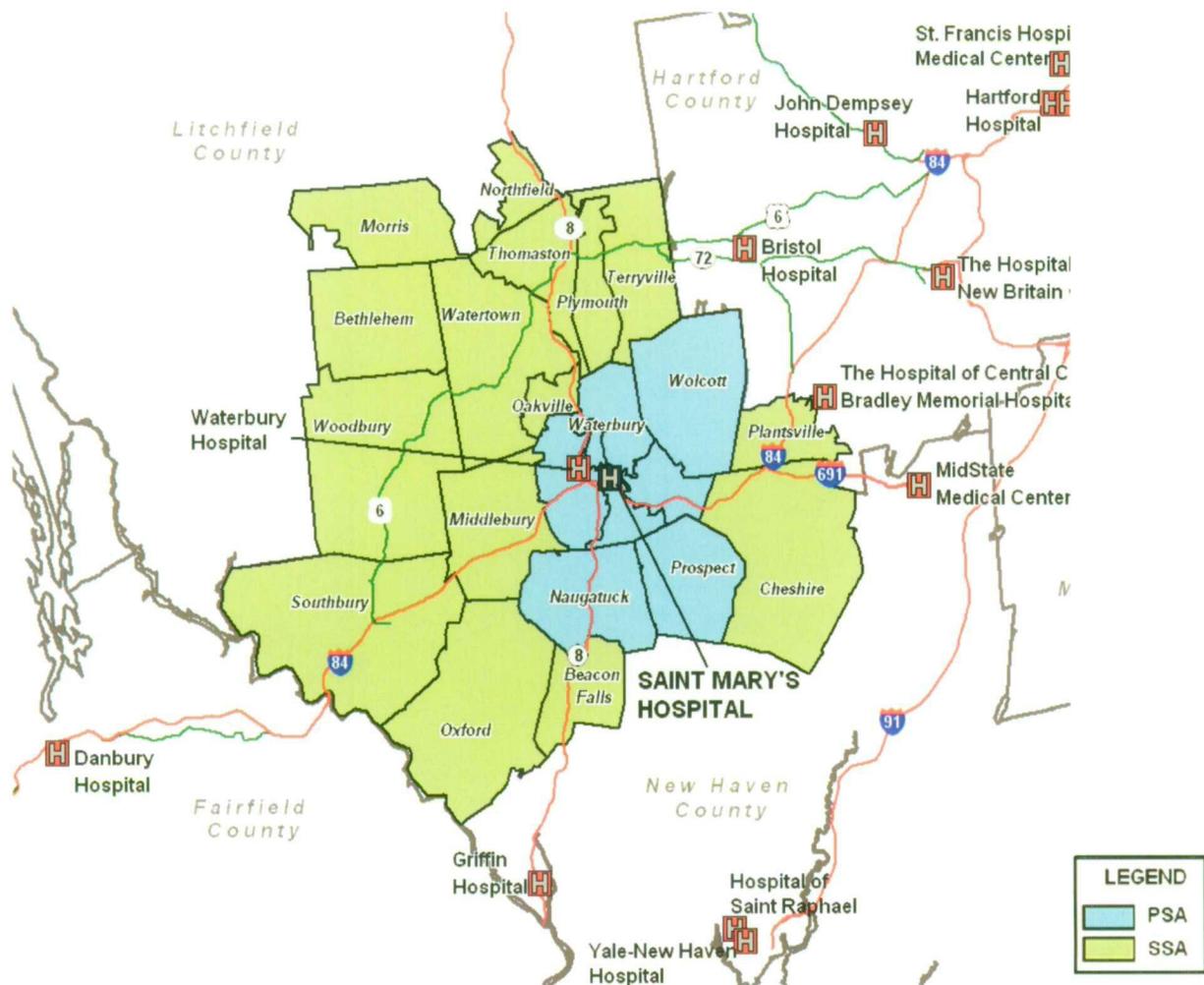
Saint Mary's will also continue relationships with affiliate providers including: The Heart Center of Greater Waterbury; The Harold Leever Regional Cancer Center; Diagnostic Imaging of Southbury; Naugatuck Valley MRI; Franklin Medical Group; Primary Care Partners; and Scovill Medical Group. The Naugatuck Valley Surgery Center relationship is being enhanced as it is becoming a Hospital outpatient surgery department.

Overall, it is important to note that all clinical services provided at the Hospital's main campus, satellite locations and affiliate provider locations will continue to be offered and in some cases enhanced or expanded as a result of the capital infusion provided by LHP.

15. Describe the existing populations served by the Hospital and how the change in ownership will affect these populations. Include demographic information.

Saint Mary's has a 16 town service area. The primary service area consists of Naugatuck, Prospect, Waterbury and Wolcott. The secondary service area includes Beacon Falls, Bethlehem, Cheshire, Middlebury, Morris, Oxford, Plymouth, Southbury, Southington, Thomaston, Watertown and Woodbury.¹²

Figure 2 - Saint Mary's Health System Service Area



¹² Please note that Saint Mary's serves patients in Terryville (within the Town of Plymouth), Oakville (within the Town of Watertown), and Plantsville (within the Town of Southington), which are distinct communities within the sixteen-town service area.

Demographic Data

Table 7 - Total Population 2000 – 2015

Town	2000	2010	2015
Naugatuck	30,989	31,488	30,818
Prospect	8,707	9,203	9,552
Waterbury	107,271	107,460	108,489
Wolcott	15,215	16,141	16,064
Primary Service Area	162,182	164,292	164,293
Beacon Falls	5,246	5,708	5,916
Bethlehem	3,422	3,523	3,444
Cheshire	28,543	29,411	29,124
Middlebury	6,451	7,108	7,101
Morris	2,301	2,342	2,282
Oxford	9,821	12,678	14,372
Plymouth	11,634	11,864	12,012
Southbury	18,567	19,838	19,676
Southington	39,728	42,185	44,304
Thomaston	7,503	7,837	7,721
Watertown	21,661	22,729	22,461
Woodbury	9,198	9,316	9,093
Secondary Service Area	164,075	174,539	177,506
Total Service Area	326,257	338,831	341,799

Source: Connecticut Economic Resource Center, Inc. ("CERC")

Saint Mary's four town primary service area includes the City of Waterbury, which is projected to experience a 1.3% increase in population from 2000 to 2015. A more robust population increase of 8.2% is projected for the 12 town secondary service area.

Waterbury is the largest municipality in the service area. It accounts for 66% of the population in the primary service area and 33% of the population in the total service area.

Table 8 - Age Distribution / Primary Service Area

Town	0-4	5-17	18-24	25-49	50-64	65+
Naugatuck	6%	19%	8%	36%	18%	12%
Prospect	6%	17%	6%	35%	22%	13%
Waterbury	8%	18%	10%	33%	17%	15%
Wolcott	6%	18%	7%	36%	21%	14%
PSA	11,143	29,052	14,987	55,943	30,206	22,961
Connecticut	6%	17%	9%	33%	20%	14%

Source: CERC

Table 9 -Age Distribution / Secondary Service Area

Town	0-4	5-17	18-24	25-49	50-64	65+
Beacon Falls	8%	17%	8%	38%	20%	10%
Bethlehem	4%	17%	6%	32%	26%	14%
Cheshire	6%	17%	9%	35%	22%	12%
Middlebury	6%	18%	5%	31%	26%	16%
Morris	6%	16%	7%	32%	25%	16%
Oxford	6%	19%	7%	37%	21%	9%
Plymouth	6%	16%	10%	34%	20%	14%
Southbury	6%	16%	4%	29%	21%	25%
Southington	6%	16%	8%	33%	23%	14%
Thomaston	6%	16%	10%	35%	21%	13%
Watertown	4%	16%	10%	33%	22%	16%
Woodbury	4%	15%	6%	33%	27%	14%
Connecticut	6%	17%	9%	33%	20%	14%

Source: CERC

Table 10 - Socio/Economic Data

Town	Poverty Rate	Household Income	Unemployment rate*	Median Age
Primary Service Area				
Naugatuck	7.1%	\$59,472	9.7%	37
Prospect	3.4%	\$83,866	7.8%	42
Waterbury	20.3%	\$38,714	13.4%	37
Wolcott	2.6%	\$74,018	8.5%	40
Secondary Service Area				
Beacon Falls	3.8%	\$66,901	8.8%	38
Bethlehem	1.8%	\$85,096	7.5%	46
Cheshire	2.5%	\$101,392	6.3%	40
Middlebury	1.5%	\$91,990	7.0%	45
Morris	6.2%	\$69,436	8.0%	45
Oxford	2.4%	\$90,190	6.6%	40
Plymouth	5.6%	\$63,940	10.1%	40
Southbury	5.5%	\$79,194	6.9%	48
Southington	4.2%	\$73,453	7.1%	42
Thomaston	2.9%	\$62,898	9.8%	40
Watertown	3.2%	\$72,257	8.6%	42
Woodbury	3.2%	\$83,649	6.7%	45
Connecticut	8.7%	\$65,686	8.2%	40

* 2009

Source: CREC

The socio-economic data for the total service area reveals a diverse population ranging from urban to suburban to rural. While some of the communities are composed of an older, more affluent population, Waterbury is an economically distressed community with over 20% of its population below the poverty level. The significant levels of poor are reflected in the patient mix treated at Saint Mary's.

Medically Underserved Areas/Populations are areas or populations designated by the Health Resources and Services Administration (“HRSA”) as having: too few primary care providers, high infant mortality, high poverty and/or high elderly population. Health Professional Shortage Areas (“HPSA”)s are designated by HRSA as having shortages of primary medical care, dental or mental health providers and may be geographic (a county or service area), demographic (low income population) or institutional (comprehensive health center, federally qualified health center or other public facility). HRSA has designated Central Waterbury as a Medically Underserved Area. HRSA has also designated Central Waterbury as a HPSA for primary medical care, dental, and mental health providers.

Saint Mary’s is a safety net hospital, serving over 65% governmentally insured patients in an area that consists of a significantly impoverished population. As the State and Federal Government continue to reduce payments to hospitals below the cost of service provided to their beneficiary, Saint Mary’s would be faced with contracting or eliminating many services over time. However, this proposal provides the necessary financial resources and cost savings opportunities to ensure that health care services remain available to this vulnerable population. The proposal will ensure a more secure future so that Saint Mary’s can continue to provide essential health services to the community.

The proposed change in ownership will not affect the populations that use the services of Saint Mary’s. In fact, patients will not experience any changes in the services they receive. There will be no service closures. The same services will continue to be offered, and in some cases expanded. Saint Mary’s will continue to adhere to the ERDs. The joint venture will continue to abide by the existing Saint Mary’s policy on uncompensated care. Additionally, it is unlikely there will be any changes in the current insurance plans accepted by Saint Mary’s as a result of the transition to the joint venture. The payer mix is anticipated to remain the same.

16. Provide a transition plan and describe how the Hospital will ensure continuity of care of services through this plan.

Traditional Certificate of Need applications focus on the impact a transition will have on patients. It is important to point out that this transition will not impact patients directly; patients will not need to relocate to a different room or unit due to an expansion or change in service. This transition plan focuses on administrative changes that result from a change of ownership.

LHP is experienced in partnering with hospitals to transition administrative functions. Within the last three years, the LHP team has successfully transitioned hospital operations at two organizations: Texas Health Presbyterian Hospital – WNJ in Sherman, Texas and Portneuf Medical Center in Pocatello, Idaho. In addition, LHP executives were part of Triad Hospitals, Inc. which owned and managed over 50 hospitals and surgery centers in 15 states.

As in previous joint ventures, the transition plan for Saint Mary’s and LHP will take place in four phases: (A) preparing for closing; (B) transitioning of hospital operations; (C) transitioning of governance; and (D) developing strategic priorities.

A. PREPARING FOR CLOSING

On March 22, 2011, Saint Mary's announced its plan to form a joint venture with LHP. LHP has been providing support, as appropriate, and expertise in transitioning since that time. To assist with transition planning, LHP's Division Director for Operations is on-site at Saint Mary's four days per week. He is meeting regularly with senior executives, department managers and staff to learn about existing processes and procedures at Saint Mary's. In addition, LHP's Division President and Division Chief Financial Officer visit Saint Mary's monthly to provide guidance on the transition. Weekly conference calls with these three LHP executives and the Saint Mary's President and CEO have been effective and will ensure for a smooth transition.

In addition to the administrative and leadership support described above, LHP is also providing subject matter experts to review and optimize existing clinical and business services. For example, LHP is providing a physician to review the hospitalist program and make recommendations on enhancing patient care services. Another business associate will be visiting Saint Mary's to review coding and documentation processes to ensure accuracy and compliance with regulations.

B. TRANSITIONING OF HOSPITAL OPERATIONS

Once the appropriate regulatory agencies approve the joint venture, Saint Mary's and LHP will transition operations. A plan that spans 12 months has been developed to ensure a seamless transition. The transition plan covers the following areas:

Employees

After the closing, a series of town hall meetings will be scheduled. The purpose of these meetings is to orient employees about LHP human resource policies and procedures. Saint Mary's employees will be retained with their total compensation packages consistent in the aggregate with those then being provided. Saint Mary's employees, including the management team, will become employees of an affiliate of LHP. This transition will take place on the closing date. The Saint Mary's executive team will travel to Plano, Texas for a comprehensive orientation and overview of various policies, procedures and culture.

Physicians

The physicians employed by affiliate companies of Saint Mary's will become associates of one, cohesive, coordinated and integrated multi-specialty group. The name for the group will be Trinity Medical Group ("TMG"). The President of Trinity Medical Group is Dr. Steven Schneider. TMG will provide services currently offered by affiliate groups (Franklin Medical Group, Scovill, and Primary Care Partners) and will look to expand services to better address community needs.

Medical Staff Bylaws - The members of the Medical Staff will continue to function under the existing Medical Staff Bylaws. The Chief Medical Officer, Dr. Steve Holland, will ensure all communications are coordinated during the transition. The Medical Executive Committee will continue to oversee processes covering credentialing, privileges and peer review. The Chief of Staff, Dr. Jim Uberti, will lead this process.

Physician Roundtable - In addition, the joint venture will establish a physician roundtable. The CEO will select a physician chair. The physician chair will select a co-chair as well as ten to twelve physicians who will volunteer their time serving a one-year term. The physician roundtable will meet monthly to discuss key issues related to hospital operations, strategic planning, physician recruitment and patient safety.

Purchasing

Saint Mary's currently participates in the Premier, Inc. group healthcare purchasing network. As a result of its relationship with LHP, Saint Mary's will transition to MedAssets, Inc. As part of a larger network of hospitals managed by LHP, Saint Mary's will benefit from further reductions to its supply and capital equipment costs. To facilitate the transition, Saint Mary's and LHP are working with both Premier, Inc. and MedAssets, Inc.

Contracts

Service Contracts - Saint Mary's and LHP will review professional and service contracts during the transition period.

Commercial Insurance Contracts - The joint venture will assume all payer contracts. A notice will be sent to payers notifying them of the change in ownership if required by the specific commercial insurance contract.

Information Technology/Information Services

Electronic Health Record System - Saint Mary's is implementing an Electronic Health Record to improve patient safety, clinical care and quality. LHP and Saint Mary's are working to integrate systems. Both LHP and Saint Mary's purchase systems from McKesson. Saint Mary's will transition from the McKesson Horizon platform to the McKesson Paragon system in order to integrate with the LHP network of hospitals.

Information Services - Information technology services will be outsourced to Anthelio (formerly PHNS), which is a comprehensive provider of information technology and business process services to hospitals across the United States. Outsourcing information services to Anthelio is a strategic measure for all LHP hospitals. The outsourcing enhances integration across all LHP facilities. All Saint Mary's IT employees will initially be offered positions with Anthelio.

Disaster Recovery - As a result of the joint venture, Saint Mary's will have an improved disaster recovery process. Currently, Saint Mary's uses a cold site back up program. Data from information systems are backed up onto hardware that is put in a flameproof container and stored at an offsite location. Due to its simplified approach and relatively modest costs, most standalone hospitals utilize cold site back up programs.

Following the joint venture, Saint Mary's will implement a "hot site" data redundancy system. Under this arrangement, Saint Mary's systems will be backed up on a continued, real-time basis at a data storage facility in Missouri. Should a disaster (such as a fire or flood) occur at the hospital, a full back-up of user data is available. The ability to get the system up and running is much quicker using a "hot site" data redundancy program.

Business Functions

Accounting - The general ledger will be converted to LHP standards. Finance will convert to LHP standard statistics.

Change of Ownership - LHP has developed a comprehensive list of all organizations and licensing agencies that will be notified.

Satisfaction Measurement - Physician, employee, and patient satisfaction measurement services will be contracted with HealthStream. Saint Mary's and LHP are working with the current provider of satisfaction measurement services (Press Ganey) to ensure a smooth transition.

C. TRANSITIONING OF GOVERNANCE

Saint Mary's Board of Directors has established two ad-hoc Task Forces: Foundation and Joint Venture. These Task Forces continue to work weekly to establish a governance structure to present to the Saint Mary's Board.

Following the joint venture closing, a Board of Directors with 10 members will be established. Five directors will be appointed by Saint Mary's while 5 (including 6 local physicians) will be appointed by LHP Sub. This Board will meet at least quarterly and be responsible for strategic decisions.

The LLC Board will establish a Hospital Board of Trustees, which will include 6 physicians and 6 community trustees. All members of the Hospital Board of Trustees will be members of the local Greater Waterbury community and will meet monthly.

D. DEVELOPING STRATEGIC PRIORITIES

Saint Mary's and LHP executives will collaborate in developing a business plan. The plan will be developed in the coming months and updated annually. Initial strategic priorities include the following:

- Establishing a physician roundtable;
- Increasing throughput and satisfaction in the Emergency Department;
- Enhancing the hospitalist program to improve the flow of patients from the ED onto patient floors;
- Moving to a private bed model;
- Restructuring laboratory operations; and
- Enhancing access to outpatient services, including primary care and urgent care.

Through this planning, the continuity of care of services will be enhanced to focus on services most needed by the community.

17. Explain how the Hospital will ensure that the community it serves has continued access to affordable health care.

The community will have continued access to all services that are presently provided. Our intention is to maintain all locations of care.

The hospital currently provides affordable programs to the uninsured and underinsured. For example, the Children's and Family Health Center provides adult and pediatric primary care as well as obstetrics and gynecology services. In Fiscal Year 2010, the Children's and Family Health Center had 44,000 visits. In addition, the Children's and Family Health Center serves as the Medical Home for 1,200 children and coordinates care for an additional 2,500 children in the region. Saint Mary's receives a grant from the Connecticut Department of Public Health for the Medical Home project and will continue this program after the closing of the joint venture.

18. Provide a description of plans that the Hospital will implement to control cost, such as discharge care coordination, implementation of electronic medical records and emergency department triaging to the appropriate level of care.

Cost Control

According to the Office of Health Care Access, Saint Mary's was the lowest cost hospital in the state in FY 2009. Saint Mary's has been able to keep costs low by careful management of all cost structures such as staffing levels and supplies used. An excerpt from OHCA's "Annual Report on the Financial Status of Connecticut's Acute Care Hospitals for Fiscal Year 2009" is attached as **Exhibit 22**.

Discharge Care Coordination and Utilization Management

Saint Mary's has a Utilization Management Committee that reports to the Medical Executive Committee and to the President and CEO. The purpose of the committee is to address overutilization, underutilization and efficient scheduling of resources. All hospital admissions are subject to a review by the Utilization Management Committee to determine if the admission was necessary. The Utilization Management Committee developed a utilization management plan to ensure appropriate use of hospital resources; this plan also helps control costs. Attached as **Exhibit 23** is Saint Mary's Utilization Management Policy.

In addition, the Utilization Management Committee works with hospital discharge planners to make sure that patients are discharged to the appropriate place (home, skilled nursing or other facility). Several initiatives are under way to enhance discharge care coordination. For example, the Utilization Management department recently developed a partnership with Connecticut Community Care, Inc. (CCCI), which is an agency focused on providing resources so people can continue to live at home. Through a contract with the Connecticut Department of Social Services, CCCI provides a full-time discharge planner on-site at Saint Mary's to help Medicaid patients with discharge planning. The CCCI discharge planner works closely with visiting nursing agencies to help ensure a smooth transition from acute care to post-acute home care. The goal of the partnership is to reduce readmissions. This partnership is just one example of how Saint Mary's is working to control costs through improved discharge care coordination.

Electronic Medical Records

Saint Mary's is committed to keeping health care costs low. Saint Mary's is implementing a \$12 million electronic medical record system. Implementing the electronic medical record system reduces costs. Knowledge of a patient's past medical history can reduce the amount of unnecessary testing. This knowledge can also lead to more appropriate treatment. In addition, money will be saved by not needing to store paper records in space that could be used for patient care.

The electronic health record system also enhances patient safety and clinical quality. Saint Mary's has recently implemented a Computerized Physician Order Entry system. This system increases safety because nurses no longer need to interpret a handwritten medication order. The hospital also recently implemented a Medication Management System that utilizes bar coding to ensure the correct medications are being administered at the right time.

Emergency Department Triage

Saint Mary's utilizes a Level 5 triaging system. Triage nurses gather data and place patients into 5 groups from 1 most urgent to 5 least urgent. Based on clinical assessments, patients may be placed in an emergency department observation unit or behavioral health unit. Patients with more acute needs are admitted to a medical floor. Non-urgent patients are treated and released.

The joint venture will continue existing efforts to control costs in all areas of the hospital (please see the response to Question 16 on the Transition Plan). Other advantages of participating in the joint venture, such as reduced supply costs, will help ensure that costs are controlled.

19. Will the Hospital continue to provide services to the uninsured and underinsured? In responding to this question, please describe any plans the Hospital has to work with other providers in the community, such as federally qualified health centers or community health centers, to provide specialty care to patients, or low cost programs that the Hospital will provide in the area that are tailored towards the uninsured or underinsured.

Saint Mary's will continue to provide services to the uninsured and underinsured. The LLC will continue to use the Saint Mary's Hospital Charity Care Policy, which is included as **Exhibit 24**.

In addition to charity care for the uninsured and underinsured, the LLC will continue to provide the same community benefits that Saint Mary's currently provides to residents in the Greater Waterbury area. Examples of community benefit programs include the Connecticut Breast and Cervical Cancer Early Detection Program, which provides free mammograms for women who are age 40 or older and have little or no health insurance. Saint Mary's is the only hospital in Waterbury offering this program, which has assisted more than 2,000 women since 1995. The joint venture will continue to provide this program.

Other community benefit programs include "Beyond Grief," a support group that provides bereavement support for adults as well as "Teen Grief." "Teen Grief" is a confidential bereavement support program for students of local middle and high schools. This program was started by a pediatric social worker from Saint Mary's Children's and Family Health Center. "Teen Grief" is conducted in six-week sessions at the schools and provides teens a safe place to express their feelings.

A newer community benefit program is the Malta House of Care which is a mobile care vehicle provided by the Archdiocese of Hartford. The mission of the Malta House of Care is to provide free primary care services to uninsured individuals and families. Saint Mary's provides supplies while staff members volunteer their time.

The joint venture will continue to provide the same community benefits that Saint Mary's currently offers.

20. Submit a list of all key professional, administrative and clinical department heads related to this proposal. Additionally, provide a copy of the Curriculum Vitae of each individual listed.

Please see **Exhibit 25** for the Curriculum Vitae for each individual listed below.

LHP

Daniel J. Moen, Chief Executive Officer

Tom Frazier, Executive Vice President, Administration

William J. Hibbitt, Executive Vice President and Chief Financial Officer

Rebecca Hurley, Executive Vice President, General Counsel, and Secretary

James B. Shannon, Executive Vice President, Development

Patricia G. Ball, Ph.D., Senior Vice President, Strategic Development & Public Affairs

Lawrence V. Schunder, Senior Vice President and Chief Information Officer

LHP Cont.

Paul A. Kappelman, Division President
David G. Nosacka, Division Vice President
Brady D. Sturgeon, Vice President, Development

Saint Mary's– Senior Leaders

Chad W. Wable, FACHE, President and Chief Executive Officer
Dean F. Vitarisi, CPA, Interim Chief Financial Officer (until June 17, 2011)
John Sharp, Interim Chief Financial Officer (beginning August 1, 2011)
Stephen T. Holland, M.D., Chief Medical Officer
Joseph T. Connolly, Chief Marketing and Government Relations Officer
Carolyn N. Orrell, Chief Information Officer
Elizabeth B. Bozzuto, R.N., Vice President, Surgical Services
Michael A. Novak, Vice President of Operations and Ambulatory Services
Sandra K. Roosa, M.S., R.N., Vice President of Patient Care Services
Kyle F. Jurczyk, CPA, Interim Finance Manager
Barbara Sullivan, Director of Corporate Compliance / Risk Management
M. Clark Kearney, Vice President of Human Resources

Saint Mary's– Physician Leaders

S. Mark Albini, M.D., Chairman, Department of Obstetrics and Gynecology
Joseph Robert Anthony, M.D., FACC, Section Chief, Cardiology
Gregory K. Buller, M.D., FACP, Chairman, Department of Medicine
Peter James Jacoby, M.D., FACEP, Chairman, Department of Emergency Services
Juan A. Sanchez, M.D., MPA, Chairman, The Stanley J. Dudrick Department of Surgery
Steven Schneider, MD, President, Trinity Medical Group
James Uberti, M.D., Chief of Staff

21. Explain how the proposal contributes to the quality of health care delivery in the region.

The LLC will contribute to the quality of health care delivery in three ways. First, the LLC will allow Saint Mary's to enhance existing services. This will be achieved in the following manner:

- Implementation of the latest in health information technology, equipment and quality initiatives;
- Facility improvements and upgrades, including new equipment, equipment replacement, facility renovations, medical office space, technology and other capital improvements;
- Further development of existing service lines, including emergency services and primary care, cardiology, neurology, orthopedics and surgical specialties;
- Enhancement of physician recruitment and employee retention efforts; and
- Access to experts, resources and best practices of a national healthcare provider network.

It is our expectation that as a result of the implementation of these initiatives, more people from the region will opt to get health care services closer to home. During the first three months of Fiscal Year 2011, fifty-seven percent of inpatient oncology patients chose to leave the service area as a result of their physician's recommendation or a personal preference (Source: "CHIME Decision Support Tool", www.chime.org).

Attached as **Exhibit 26** is the CHIME Market Share Report. A goal of the joint venture is to keep more patients in the community for inpatient and outpatient care.

Second, the proposal establishes a foundation that will focus on meeting community health needs. At the closing, Saint Mary's will receive \$108 million from LHP. Of this amount, approximately \$46 million will be used to fund a new Community Foundation, which will support charitable and health-related activities in the community.

Third, the joint venture will pay taxes to the City of Waterbury. The City is encouraged to use these funds for essential social programs to improve the community. For example, the City may choose to fund much-needed shelters or improved public transportation in the Waterbury area.

22. Identify the Hospital's ownership type(s) (e.g. Corporation, PC, LLC, etc.).

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. are both tax-exempt, 501(c)(3) charitable organizations organized as Connecticut, non-stock corporations. The LLC will be a Connecticut limited liability company.

23. Provide the corporate organizational chart prior to and after the proposal and include all affiliates.

The four charts are included as **Exhibit 27**.

24. Provide a list of owners and the percentage of ownership by each entity involved.

Saint Mary's, through the Community Foundation, will own 20% of the equity interests in the LLC, and LHP will own 80% of the equity interests in the LLC.

25. Please provide the date on which Saint Mary's filed audited financial statements with OHCA. Saint Mary's may reference these statements in responding to questions.

Saint Mary's is up-to-date with filing audited financial statements. Saint Mary's filed the last set of audited financial statements on February 28, 2011.

26. List all funding or financing sources for the proposal and the dollar amount of each source. Provide applicable details such as interest rate; term; monthly payment; pledges and funds received to date; letter of interest or approval from a lending institution.

LHP's initial capital contribution of \$108 million, as well as its share of the \$75 million in additional capital committed by the parties, will be obtained entirely from equity capital contributed to LHP by its sole shareholder, Legacy Hospital Partners (Holdings), LLC ("Holdings"). The funds contributed to LHP by Holdings will be obtained, in turn, from capital contributions to Holdings by its members. It is not presently contemplated that Holdings or LHP will borrow any portion of the amounts committed to this project.

27. Describe in detail how this proposal will affect the financial strength of the state's health care system.

The outlook for stand-alone hospitals is bleak. Significant uncertainty exists under health reform laws that result from the Patient Protection and Affordable Care Act. Hospital Disproportionate Share programs will be phased out under the new laws. It is also expected that the number of Medicaid enrollees will increase significantly. Medicaid currently reimburses well below the cost of providing care.

In addition to the changes expected from health reform, Connecticut hospitals will begin paying taxes to the State of Connecticut. Starting July 2011, Saint Mary's will likely spend approximately \$700,000 on this new hospital tax.

The combination of reduced revenue and increased expenses described above will lead to smaller operating margins. The operating margins at the majority of hospitals in Connecticut are very small, and several have experienced operating losses for several consecutive years. As a result, hospitals are not able to access the capital they need to modernize facilities and buy essential equipment such as electronic health record systems that will improve patient safety. Historically, stand-alone hospitals would issue bonds for capital investments. Due to the recession and other factors, these bond markets are increasingly difficult to access.

If the joint venture is not approved, Saint Mary's will need to request funds from the State of Connecticut. The State has provided funding in recent years. In 2007, Saint Mary's received \$1 million from the Office of Health Care Access through the Distressed Hospital Fund. Between 2007 and 2008, Saint Mary's also received a total of \$9 million from the Hospital Hardship Fund, which was administered by the Department of Social Services.

After the generous funds received from State agencies, Saint Mary's performed strongly and has experienced four years of positive operating margins. Given that State funds will likely not be available in the future, it is essential and more reasonable for Saint Mary's to receive funds from the integrated healthcare partner. As mentioned above, the outlook for stand-alone hospitals is bleak. The Saint Mary's leadership team has planned well for the future by soliciting a capital partner. Private equity is an attractive and available alternative to public financing for hospitals. Private equity firms view healthcare as a viable investment because it is a stable industry with significant growth expected from the aging population.

While Saint Mary's is the first hospital in Connecticut to propose a joint venture with a private equity sponsored firm, we do not believe we will be the last. Private equity contributions to healthcare is occurring in other states. There is a good chance that additional joint venture arrangements with hospitals and private equity firms in Connecticut will be proposed in the near future.

Due to the equity that will be added to the operation of Saint Mary's Hospital, the state's health care system will be strengthened as a result of this joint venture. In addition, the management and financial knowledge that LHP will bring to Connecticut will result in a financially stronger hospital.

28. Provide the current and projected patient population mix (based on the number of patients, not based on revenue) for this proposal.

Table 11 - Patient Population Mix

	Prior to the Proposal	After the Proposal
Medicare*	28.26%	28.26%
Medicaid*	27.34%	27.34%
CHAMPUS & TriCare	-	-
Total Government	55.60%	55.60%
Commercial Insurers*	39.10%	39.10%
Uninsured	4.09%	4.09%
Workers Compensation	1.21%	1.21%
Total Non-Government	44.40%	44.40%
Total Payer Mix	100.00%	100.00%

Includes managed care activity.

29. Provide the assumptions used to project the patient population mix after the proposed transfer of ownership.

Without the proposal, it is anticipated that the patient mix will change as patients in the secondary service area seek service at other facilities. This will result in a higher percentage of Medicare and Medicaid patients than currently exists. The infusion of capital starting in FY 2012 will allow the hospital to slow the outmigration of patients, thereby maintaining the current mix.

30. Provide a summary of revenue, expense, and volume statistics for each entity as follows:

- **The Hospital without the CON project, incremental to the CON project, and with the CON project (Note that the actual results for the fiscal year reported in the first column must agree with the Hospital's audited financial statements.);**
- **Saint Mary's without the CON project (Note that the actual results for the fiscal year reported in the first column must agree with Saint Mary's audited financial statements.); and**
- **The joint venture with the CON project and incremental to the CON project.**

Complete Financial Attachments IA through 1C. The projections must include the first three full fiscal years of the project.

Financial Attachments 1A, 1B and 1C are attached as **Exhibit 7**. Also attached are worksheets to support the Financial Attachments including: SMHS Revenue Summary; SMHS Revenue Detail; SMHS Expense Summary; SMHS Expense Detail; and SMHS Gain/Loss by Payor Sheet. In addition, please note that Scovill Medical Group, Franklin Medical Group and Primary Care Partners will be combined to form Trinity Medical Group.

31. Provide the assumptions utilized in developing Financial Attachments IA through IC (e.g., full-time equivalents, volume statistics, other expenses, revenue and expense percentage increases, project commencement of operation date, etc.).

OVERALL ASSUMPTIONS

The following assumptions were used in Financial Attachments 1A through 1C.

Project Commencement of operation date - The closing/commencement date for the joint venture LLC is January 1, 2012.

Fiscal Year: The fiscal year for Saint Mary’s and the Hospital as they currently exist (i.e., without the project) is October 1 to September 30. The fiscal year for the joint venture LLC and Saint Mary’s Hospital post commencement of the joint venture LLC is January 1 to December 31.

In order to provide comparable financial projections for Saint Mary’s Health System, the joint venture LLC and the Hospital, the financial projections for “without the CON” have been converted from fiscal year (FY) to calendar year (CY) for 2012 to 2014. Projections for the first quarter of FY 2012 (October 1 to December 31, 2011) are provided as a transition period from FY to CY on Financial Attachments 1A and 1C.

FINANCIAL ATTACHMENT 1A – SAINT MARY’S HEALTH SYSTEM (NOT-FOR-PROFIT)

Net Patient Revenue: Net patient revenue is projected to increase approximately 2.5% per year. The components of the increase are as follows:

- Patient revenues increase approximately 2.5% per year.
- Inpatient volumes remain flat due to capacity constraints.
- Outpatient volumes, excluding ambulatory surgery volumes, increase at a rate of 2% per year due to physician integration.

FY 2012	FY 2013	FY 2014
220,738	225,153	229,656

- Naugatuck Valley Surgical Center (NVSC) is converted from a freestanding ambulatory surgical center to a hospital based department thereby increasing reimbursement on Medicare and commercial cases. Outpatient volume associated with the integration of NVSC is projected to increase at a rate of 3% per year.

FY 2012	FY 2013	FY 2014
10,452	10,765	11,088

- Medicare volumes increase due to aging population.
- Medicaid volumes increase while commercial volumes decrease due to economic conditions in the Waterbury market.
- Medicare and Medicaid rates decrease based on the most recent proposed Medicare rate and the implementation of the Connecticut Hospital Tax.

Other Operating Revenue: Other operating revenues are projected to increase approximately 2% per year.

Salaries and Fringe Benefits: Salaries and fringe benefits increase approximately 2% per year due to inflation and increase in FTEs due to growth in outpatient volumes.

Professional/Contract Services, Supplies and Drugs, and Other Operating Expenses: Increase each year is due to growth in outpatient volumes.

Other Operating Expenses: Other operating expenses reflect an increase due to the implementation of electronic health records. The projected costs are \$2,000,000 in FY 2012; \$1,500,000 in FY 2013; and, \$1,200,000 in FY 2014.

Bad Debts: Increase due to growth in outpatient volumes.

Depreciation/Amortization: Depreciation expense decreases because the Saint Mary's Health System does not have enough resources to reinvest capital to replenish the aging facility.

Interest Expense: Interest expense decreases as CHEFA debt is paid down. Due to pension liability, Saint Mary's Health System does not have enough equity to issue new debt.

FTE: The increase in FTEs correlates to growth in outpatient volumes.

FINANCIAL ATTACHMENT 1B – FUTURE JOINT VENTURE LLC (FOR-PROFIT)

Net Patient Revenue: Incremental increase in volume and net patient revenues generated from infusion of capital starting in CY 2012. The incremental volume and net patient revenue impact equates to 1.5% in CY 2012, 2.5% in CY 2013 and 3% in CY 2014.

Other Operating Revenue: 1% decrease in each year due to investment income that will remain in Saint Mary's Health System and not be included in the joint venture.

Salaries and Fringe Benefits: Salaries, wages and benefits increase 1% in each year as the joint venture improves labor productivity as patient volume increases.

Professional Fees and Contracted Services: Professional fees and contracted services decrease 2% each year as components of these expenses are replaced by the joint venture's management agreement with LHP.

Supplies and Drugs: Costs associated with supplies and drugs decrease 3% each year due to improvements in LHP's GPO contract pricing.

Bad Debt: The projected increase in bad debt expense correlates to the projected increases in net revenue; 1.5% in CY 2012, 2.5% in CY 2013, and 3% in CY 2014.

Other Operating Expense: Other operating expenses increase 10% each year due largely to property taxes paid by the joint venture as well as other changes such as insurance and utilities.

Depreciation/Amortization: The changes are due to the joint venture's projected opening balance sheet as well as capital infusions during CY 2012 through CY 2014.

Interest Expense: Interest expense is eliminated as all interest bearing debt is paid off at closing with the proceeds from the joint venture transaction.

Lease Expense: Lease expense is reduced 2% each year due to elimination of or re-negotiation of several leases.

Provision for Income Tax: Provision for income taxes is not applicable to the joint venture. The joint venture does pay local sales and real estate taxes, but is considered a "pass-through" entity for federal income tax purposes. Profits are taxed at the owner level rather than at the joint venture level based upon each owner's individual tax status.

FTE: The increase in FTEs correlates to the 1% increase in salaries and benefits.

IP and OP Cases: The IP and OP cases increase 1.5% in CY2012, 2.5% in CY2013, and 3% in CY 2014 due to the infusion of capital starting in CY2012.

FINANCIAL ATTACHMENT 1C – ST. MARY'S HOSPITAL ONLY (PRIOR & POST JOINT VENTURE)

Assumptions: The assumptions used for the entire Health System (see Financial Attachment 1A and 1B, above) also apply to the Hospital. The proration approach below was used to compute the incremental impact of the CON on the Hospital.

Proration methodology:

- Step 1) For each Hospital line item the same assumptions that were applied to the Health System were applied to the Hospital base (w/out CON projections). For example, net patient revenue for CY 2012 is assumed to increase by 1.5% with the implementation of the joint venture. Application of the assumptions used for the Health System to the Hospital yields the same % incremental impact to both the Health System and Hospital.

Step 2) Because the non-hospital entities create a net negative financial impact to Health System, the positive incremental impact to the Hospital should be larger than the impact to the Health System. For this reason, a 20% inflation amount was added to Hospital Incremental impact calculated in Step 1 for each line item.

32. Explain any projected incremental losses from operations contained in the financial projections that result from the implementation and operation of the CON proposal.

There are no projected incremental losses from operations.

33. As a result of the proposal, will there be any change to existing reimbursement contracts between the Applicants and payers (e.g., Medicare, Medicaid, commercial)? Explain.

Changes to existing reimbursement contracts are not anticipated. The joint venture will assume all of the existing payer contracts. A notice will be sent to payers notifying them of the change in ownership if required by the specific commercial insurance contract.

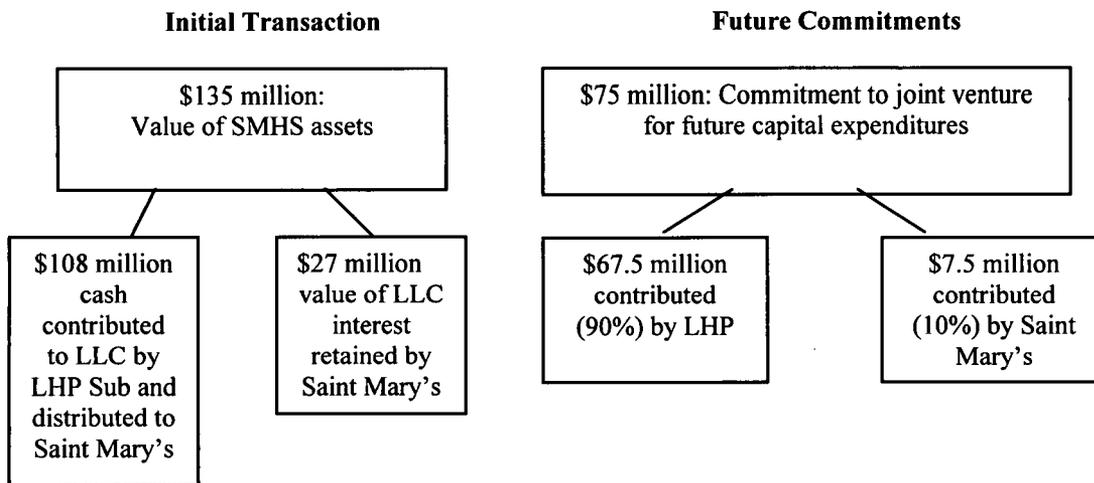
34. Provide the specific amount and form of capital (e.g. money or property) that will be contributed by Saint Mary’s and LHP to fund the total cost of the project.

The tables below describe: (a) the value of the transaction, and (b) the sources of the funds involved in the total cost of the transaction.

Table 12 - Transaction Value

Value of Saint Mary’s Assets	\$135 million
Capital Commitments to the Joint Venture	\$75 million
Total	\$210 million

Figure 3 - Contributed Funds



35. Provide the percentages from the total capital contributions that will be utilized to eliminate the Hospital's debt, reduced pension liability and to fund capital projects and other facility improvements.

Per the balance sheets included in Question 3, the following allocations will be made from the \$210 million transaction consideration:

Table 13 - Allocations

Item	Amount	Percentage
Total Consideration	\$210.0 million	100.0%
SMHS 20% purchase in joint venture	\$27.0 million	12.9%
Pension Funding	\$62.4 million	29.7%
Eliminate Debt	\$23.3 million	11.1%
Capital Commitment	\$75.0 million	35.7%
Remaining [♦]	\$22.3 million	10.6%

[♦] Remaining funds will be transferred to the Community Foundation.

LIST OF EXHIBITS

	Description
1.	Contribution and Sale Agreement
2.	Amended and Restated Limited Liability Agreement
3.	Management Agreement
4.	<u>Republican American</u> Newspaper Article on Sharon Hospital
5.	Consolidated Financial Statements and Consolidating Financial Information by KPMG dated 9/30/10
6.	Morgan Keegan's Fairness Opinion dated April 7, 2011
7.	Financial Attachments 1A, 1B & 1C
8.	OHCA Report of Socio-Economics and Health Care Services Utilization in Waterbury
9.	Strategic Partner & Joint Venture Task Force Meeting Minutes
10.	Morgan Keegan's Initial Indications of Interest Presentation to the Board dated August 19, 2010
11.	Summary of Revised Final Indications of Interest: October 19, 2010
12.	Report of the Strategic Partnership Task Force dated November 4, 2010
13.	Report of the Strategic Partnership Task Force dated January 6, 2011
14.	Morgan Keegan's Review of Hospital Partnership and Related Agreements dated March 3, 2011
15.	Morgan Keegan's Qualifications
16.	Saint Mary's Board of Directors Meeting Minutes 2011
17.	Conflict of Interest / Disclosure Forms
18.	Morgan Keegan's Valuation Discussion
19.	Saint Mary's Hospital Foundation: Summary Chart of Restricted Funds
20.	Saint Mary's Hospital Foundation Fund Binders [BULK FILED]
21.	CT Hospital Association CHIME Data on Medicaid Cases
22.	Excerpt from OHCA's Report on Financial Status of Short Term Acute Care Hospitals for FY 2009
23.	Utilization Management Policy
24.	Saint Mary's Hospital Charity Care Policy
25.	Curriculum Vitae
26.	CT Hospital Association CHIME Market Share Report

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3.	Contributed Funds

EXHIBIT 1

Contribution and Sale Agreement

CONTRIBUTION AND SALE AGREEMENT
BY AND AMONG
SAINT MARY'S HEALTH SYSTEM, INC.
AND
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC
AND
LHP SOUTHWEST CONNECTICUT, LLC
AND
LHP HOSPITAL GROUP, INC.

_____, 2011

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CONTRIBUTION AND SALE AGREEMENT

THIS CONTRIBUTION AND SALE AGREEMENT (the “Agreement”) is made and entered into as of the _____ day of _____, 2011, by and among **SAINT MARY’S HEALTH SYSTEM, INC.**, a Connecticut not-for-profit corporation (“SMHS”), **SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**, a Delaware limited liability company (the “Company”), **LHP SOUTHWEST CONNECTICUT, LLC**, a Delaware limited liability company (“LHP Sub”), and **LHP HOSPITAL GROUP, INC.**, a Delaware corporation (“LHP”).

RECITALS:

A. SMHS owns and operates Saint Mary’s Hospital, a 347-bed general acute care hospital located in Waterbury, Connecticut (the “Hospital”), and certain other related health care facilities and assets (the Hospital and such other health care facilities and assets being collectively referred to as the “Facilities”). For the avoidance of doubt, the parties hereby agree and acknowledge that the term “Facilities” does not include the Partial Subsidiaries (as hereinafter defined) or any of the health care facilities and assets owned by them.

B. The Company has been formed to own and operate, whether directly or indirectly, the Facilities and the businesses conducted at and by the Facilities. The Company owns or controls, directly or indirectly, the organizations listed on Exhibit A attached hereto (individually, a “Company Entity” and collectively, the “Company Entities”).

C. Partly as a sale and partly as SMHS’ initial contribution to the capital of the Company, SMHS has agreed to convey to the Company and/or the Company Entities, as applicable, on the Closing Date (as defined in Section 3.1), substantially all of the assets used in, necessary for, held in connection with, or otherwise arising from or relating to the operation of the Facilities, subject to and in accordance with the terms and conditions of this Agreement.

D. As LHP Sub’s initial contribution to the capital of the Company, LHP Sub has agreed to contribute to the Company on the Closing Date One Hundred Eight Million Dollars (\$108,000,000), subject to and in accordance with the terms and conditions of this Agreement.

E. LHP is a party to this Agreement for purposes of guaranteeing the obligations of LHP Sub as set forth herein.

AGREEMENT:

NOW, THEREFORE, for and in consideration of the premises, and the agreements, covenants, representations, and warranties hereinafter set forth, and other good and valuable consideration, the receipt and adequacy of which are forever acknowledged and confessed, the parties hereto agree as follows:

1. FORMATION OF THE COMPANY; AGREEMENT TO CONTRIBUTE.

1.1 Formation of the Company. On _____, 2011, the Company was formed in accordance with the Delaware Limited Liability Company Act (the “Act”) pursuant to

the Certificate of Formation and Limited Liability Company Agreement attached hereto as Exhibit B.

1.2 Agreement to Contribute. Subject to the terms and conditions of this Agreement, on the Closing Date, SMHS shall convey to the Company (i) the Assets (hereinafter defined), as described in Section 2.1, the negotiated fair market value of which is One Hundred Thirty-Five Million Dollars (\$135,000,000) (the “SMHS Initial Contribution”) and (ii) a non-interest bearing capital contribution promissory note in the original principal amount of Seven Million Five Hundred Thousand Dollars (\$7,500,000) (the “SMHS Capital Contribution Promissory Note”). Subject to the terms and conditions of this Agreement, and in reliance upon the representations, warranties, and covenants of SMHS set forth herein, on the Closing Date, LHP Sub shall contribute to the Company an amount equal to One Hundred Eight Million Dollars (\$108,000,000) (the “LHP Sub Initial Contribution”) and (ii) a non-interest bearing capital contribution promissory note in the original principal amount of Sixty-Seven Million Five Hundred Thousand Dollars (\$67,500,000) (the “LHP Sub Capital Contribution Promissory Note”). The SMHS Capital Contribution Promissory Note and the LHP Sub Capital Contribution Promissory Note each shall be in the form attached as Exhibit D hereto and incorporated herein by reference. The funds advanced under the SMHS Capital Contribution Promissory Note and the LHP Sub Capital Contribution Promissory Note shall be used by the Company, at the request of the Company from time to time following the Closing Date, as provided in the LLC Agreement (as hereinafter defined).

1.3 Ownership Structure of the Company. Subject to the terms and conditions of this Agreement, at the Closing (hereinafter defined), and after SMHS has contributed the SMHS Initial Contribution and the SMHS Capital Contribution Promissory Note and LHP Sub has contributed the LHP Sub Initial Contribution and the LHP Sub Capital Contribution Promissory Note, the Company shall distribute to SMHS an amount equal to One Hundred Eight Million Dollars (\$108,000,000), after which SMHS and LHP Sub shall enter into an Amended and Restated Limited Liability Company Agreement, in the form attached hereto as Exhibit C (the “LLC Agreement”), which will, among other things, provide that the membership interest of SMHS in the Company shall be 20% and the membership interest of LHP Sub in the Company shall be 80%.

2. CONTRIBUTIONS TO THE COMPANY.

2.1 Assets. Subject to the terms and conditions of this Agreement, as of the Closing, SMHS agrees to sell, convey, transfer, and deliver (or cause to be sold, conveyed, transferred, and delivered) to the Company and the Company Entities, by way of sale to the extent of \$108,000,000 (representing a pro rata interest of eighty percent (80%) of each of the Assets, as defined herein) and otherwise as a contribution of capital to the Company, all of the assets owned or used by SMHS in connection with the operation of the Facilities, other than the Excluded Assets, which contributed assets shall include, without limitation, the following (the “Assets”):

(a) all of the real property that is owned by SMHS and used in connection with the operation of the Facilities, including, without limitation, the real property described in Schedule 2.1(a), together with all buildings, improvements, and fixtures located thereupon, all

construction in progress, and all rights, privileges, and easements appurtenant thereto (collectively, the “Owned Real Property”);

(b) all of the real property that is leased by SMHS and used in connection with the operation of the Facilities, to the extent assignable or transferable, including, without limitation, the real property described in Schedule 2.1(b) (collectively, the “Leased Real Property”) (the Owned Real Property and the Leased Real Property are collectively referred to in this Agreement as the “Real Property”);

(c) all of the tangible personal property owned by SMHS with respect to the operation of the Facilities, including all equipment, furniture, fixtures, machinery, vehicles, office furnishings, and leasehold improvements, a current list and the general location of which are set forth on Schedule 2.1(c) (collectively, the “Personal Property”);

(d) all of SMHS’s rights, to the extent assignable or transferable, to all licenses, provider numbers, permits, approvals, certificates of need, certificates of exemption, franchises, accreditations and registrations, and other governmental licenses, permits, or approvals issued or pending with respect to the development, ownership, and operation of the Facilities (collectively, the “Licenses and Permits”), including, without limitation, the Licenses and Permits described in Schedule 2.1(d);

(e) all of SMHS’s stock, partnership, membership, or other ownership interests, to the extent assignable or transferable, in each of the entities identified on Schedule 2.1(e), together with all minutes and other records relating to such entities that are in the possession of SMHS as of the Closing Date;

(f) all of SMHS’s interest, to the extent assignable or transferable, in and to all personal property leases with respect to the operation of the Facilities listed in Schedule 2.1(f);

(g) all of SMHS’s interest, to the extent assignable or transferable, in and to all commitments, contracts, leases, and agreements with respect to the operation of the Facilities listed in Schedule 2.1(g) (the “Contracts”);

(h) all of those advance payments, prepayments, prepaid expenses, deposits, and the like that exist as of the Closing Date, subject to the prorations provided in Section 2.7(e) of this Agreement, that were made with respect to the operation of the Facilities, the current categories and amounts of which are set forth on Schedule 2.1(h);

(i) all usable inventories of supplies, drugs, food, janitorial and office supplies, and other disposables and consumables located at the Facilities, or used with respect to the operation of the Facilities (the term “usable” in this clause meaning non-obsolete and consumable within the ordinary course of business of the Facilities, consistent with past practices);

(j) to the extent transferable, all documents, records, operating manuals, files, and computer software with respect to the operation of the Facilities, including, without limitation, all patient records, medical records, employee records, financial records, equipment records, construction plans and specifications, medical and administrative libraries, operating

manuals, proprietary manuals, marketing materials, policy and procedure manuals, files, catalogs, data, and studies or analyses;

(k) all goodwill associated with the Facilities and the Assets;

(l) the names, symbols, trade names, trademarks and service marks (or variations thereof), and telephone numbers used with respect to the operation of the Facilities, all goodwill associated therewith, and all applications and registrations associated therewith, subject to any rights retained by SMHS in and to the name "Saint Mary's Hospital" and all symbols, trade names, trademarks, and service marks (or variations thereof) associated therewith, all goodwill associated therewith, and all applications and registrations associated therewith;

(m) the electronic funds transfer account of the Facilities (the "EFT Account") (other than any cash in such EFT Account at Closing, which shall be an Excluded Asset) and all information necessary to access the EFT Account;

(n) any current assets with respect to the operation of the Facilities that are not otherwise specifically described above in this Section 2.1 and that are included in Net Working Capital (hereinafter defined);

(o) all accounts, notes, interest, and other receivables of SMHS relating to the Facilities, including those certain accounts, notes, or other amounts receivable from physicians listed in Schedule 2.1(o), and all claims, rights, interests, and proceeds related thereto, including all accounts and other receivables, disproportionate share payments, and all rights to receive funds relating to upper payment limits, arising from the rendering of services to inpatients and outpatients at the Facilities, billed and unbilled, recorded and unrecorded, for services provided by SMHS while owner of the Assets whether payable by private pay patients, private insurance, third party payors, Medicare, Medicaid, TRICARE, Blue Cross, or by any other source;

(p) to the extent assignable by SMHS, all warranties (express or implied) and rights and claims assertable by (but not against) SMHS related to the Assets;

(q) the Facilities' website(s), together with the content therein, to the extent transferrable and subject to any rights retained by SMHS in and to any trademarks, trade names, trade dress, or similar intellectual property;

(r) all other property, other than the Excluded Assets, of every kind, character, or description owned by SMHS and used or held for use in the Facilities or the Assets, whether or not reflected on the financial statements, wherever located and whether or not similar to the items specifically set forth above, and all other businesses and ventures owned by SMHS in connection with the operations of the Facilities or the Assets; and

(s) the interest of SMHS in all property of the foregoing types, arising or acquired in the ordinary course of the business of SMHS in respect of the Facilities between the date hereof and the Closing.

SMHS shall convey good and marketable title to the Assets and all parts thereof to the Company and the Company Entities, free and clear of all claims, assessments, security interests, liens,

restrictions, and encumbrances, other than the Permitted Exceptions (hereinafter defined) and the Assumed Liabilities (hereinafter defined).

2.2 Excluded Assets. Those assets of SMHS owned or used in connection with the operation of the Facilities described below, together with any assets described on Schedule 2.2 hereto, shall be retained by SMHS (collectively, the “Excluded Assets”) and shall not be conveyed to the Company and the Company Entities:

(a) cash, cash equivalents, and short-term investments, including, without limitation, cash in the EFT Account as of the Closing Date;

(b) board-designated, restricted, and trustee-held or escrowed funds (such as funded depreciation, debt service reserves, self insurance trusts, working capital trust assets, and assets and investments restricted as to use), trusts related to employee benefits, trusts related to self-insurance, donor-restricted assets, beneficial interests in charitable trusts, and accrued earnings on all of the foregoing;

(c) all intercompany receivables of SMHS with any of its Affiliates;

(d) any current assets of SMHS that are not included in Net Working Capital (hereinafter defined);

(e) any asset that would revert to the employer upon the termination of any of the Benefit Plans (as defined collectively in Section 4.13(a)), including assets representing a surplus or overfunding of any such plans;

(f) all rights to refunds, credits, deposits, prepayments, or the equivalent owing to SMHS from any taxing authority resulting from periods prior to the Effective Time, and the right to pursue appeals of same;

(g) the taxpayer and other identification numbers, seals, minute books, corporate records, and other documents relating to the organization, maintenance, and existence of SMHS;

(h) all claims, rights, interests, and proceeds (whether received in cash or by credit to amounts otherwise due to a third party) with respect to amounts overpaid with respect to the Facilities to any third party with respect to periods prior to the Closing Date;

(i) all bank accounts relating to the Facilities, other than the EFT Account;

(j) all writings and other items that are protected from discovery by the attorney-client privilege, the attorney work product doctrine, or any other cognizable privilege or protection of SMHS;

(k) any cost report settlements in respect of cost report periods ended on or prior to closing;

(l) any assets owned and provided by vendors of goods or services to the Facilities;

(m) unclaimed property of any third party in respect of the operation of the Facilities, including, without limitation, property that is subject to applicable escheat laws;

(n) all rights, claims, and choses in action of SMHS in respect of the operation of the Facilities with respect to periods prior to the Closing Date, and any payments, awards, or other proceeds resulting therefrom;

(o) all interests in, and assets related to, Saint Mary's Hospital Foundation and Saint Mary's Indemnity Company, including the names thereof;

(p) the portions of inventory, prepaid expenses and the like, and other Assets disposed of, expended, or canceled, as the case may be, by the Facilities prior to the Closing Date in the ordinary course of business;

(q) the name "Saint Mary's Hospital" and all symbols, trade names, trademarks, and service marks (or variations thereof) associated therewith, all goodwill associated therewith, and all applications and registrations associated therewith; and

(r) any other assets identified in Schedule 2.2(m).

2.3 Assumed Liabilities. In connection with the conveyance of the Assets to the Company and the Company Entities, the Company and the Company Entities shall assume, effective as of the Effective Time, the future payment and performance of the following liabilities (the "Assumed Liabilities") of SMHS in respect of the Facilities:

(a) all obligations accruing after the Effective Time with respect to the Contracts or the Personal Property Leases;

(b) the trade accounts payable and current liabilities of the Facilities as of the Effective Time, but only to the extent such accounts payable and current liabilities are included in the calculation of Net Working Capital (hereinafter defined);

(c) obligations and liabilities as of the Effective Time in respect of accrued paid time off benefits of employees of SMHS at the Facilities who are hired by LHP Sub as of the Effective Time, and related taxes, but only to the extent such accrued paid time off benefits, and related taxes, are included in Net Working Capital (hereinafter defined);

(d) the long-term debt and capital lease obligations set forth on Schedule 2.3;

(e) tax liabilities or obligations in respect of the Facilities and the Assets with respect to periods commencing on or after the Effective Time, including any federal, state, local, or foreign net income, gross income, gross receipts, windfall profit, severance, property, production, sales, use, license, excise, franchise, employment, unemployment, payroll, withholding, alternative or add on minimum, ad valorem, value added, transfer, stamp, or environmental tax, escheat payments or any other tax, custom, duty, impost, levy, governmental fee or other like assessment or charge (together with any and all interest, penalties, additions to tax, and additional amounts imposed with respect thereto) (collectively, "Taxes"); and

(f) all Taxes allocable to the Company pursuant to Section 2.5(e) hereof.

The Company shall not be liable for (i) any claims arising from SMHS's assignment and the Company Entities' assumption of the Assumed Liabilities; (ii) uncured defaults in the performance of the Assumed Liabilities for periods prior to the Effective Time; (iii) unpaid amounts in respect of the Assumed Liabilities that are due as of the Effective Time (that are not reflected in Net Working Capital or on Schedule 2.3); and/or (iv) rights or remedies claimed by third parties under any of the Assumed Liabilities that broaden or vary the rights and remedies such third parties would have had against SMHS and the Facilities if the sale and purchase of the Assets were not to occur.

2.4 Excluded Liabilities. Except for the Assumed Liabilities, the Company and the Company Entities shall not assume and under no circumstances shall the Company or the Company Entities be obligated to pay or assume, and none of the assets of the Company and the Company Entities shall be or become liable for or subject to any liability, indebtedness, commitment, or obligation of SMHS, whether known or unknown, fixed or contingent, recorded or unrecorded, currently existing or hereafter arising or otherwise (collectively, the "Excluded Liabilities"), including, without limitation, the following Excluded Liabilities:

- (a) any debt, obligation, expense, or liability that is not an Assumed Liability;
- (b) claims or potential claims for medical malpractice or general liability relating to events asserted to have occurred prior to the Effective Time;
- (c) those claims and obligations (if any) specified in Schedule 2.4 hereto;
- (d) any liabilities or obligations associated with or arising out of any of the Excluded Assets;
- (e) liabilities and obligations of SMHS in respect of the Facilities with respect to periods prior to the Effective Time arising under the terms of the Medicare, Medicaid, CHAMPUS/TRICARE, Blue Cross, or other third party payor programs, and any liability arising pursuant to the Medicare, Medicaid, CHAMPUS/TRICARE, Blue Cross, or any other third party payor programs as a result of the consummation of any of the transactions contemplated under this Agreement;
- (f) Taxes incurred by the Facilities with respect to periods prior to the Effective Time (provided, however, that this clause (f) shall not apply to any and all taxes payable with respect to any employee benefits constituting Assumed Liabilities under Section 2.3(c) hereof and any Taxes allocable to the Company under Section 2.5(e) hereof);
- (g) liability for any and all claims by or on behalf of employees of SMHS relating to periods prior to the Effective Time including, without limitation, liability for any pension, profit sharing, deferred compensation, or any other employee health and welfare benefit plans, liability for any EEOC claim, ADA claim, FMLA claim, wage and hour claim, unemployment compensation claim, or workers' compensation claim, and any liabilities or obligations to former employees of SMHS under the Consolidated Omnibus Budget Reconciliation Act of 1985, as amended (provided, however, that this clause (g) shall not apply to any and all employee benefits constituting Assumed Liabilities under Section 2.3(c) hereof);

(h) any obligation or liability accruing, arising out of, or relating to any federal, state, or local investigations of, or claims or actions against, SMHS, the Facilities, or any of their employees, medical staff, agents, vendors, or representatives with respect to acts or omissions prior to the Effective Time;

(i) any civil or criminal obligation or liability accruing, arising out of, or relating to any acts or omissions of SMHS, its Affiliates, or their directors, officers, employees, representatives, and agents claimed to violate any constitutional provision, statute, ordinance, or other law, rule, regulation, interpretation, or order of any governmental entity;

(j) liabilities or obligations arising as a result of any breach by SMHS or the Facilities at any time of any contract or commitment that is not assumed by the Company;

(k) liabilities or obligations arising out of any breach by SMHS or the Facilities prior to the Effective Time of any Contract;

(l) any obligation or liability asserted under the federal Hill-Burton program or other restricted grant and loan programs with respect to the ownership or operation of the Facilities or the Assets;

(m) any debt, obligation, expense, or liability of SMHS arising out of or incurred solely as a result of any transaction occurring after the Effective Time or for any violation by SMHS of any law, regulation, or ordinance at any time (including, without limitation, those pertaining to fraud, environmental, health care regulatory, and ERISA matters);

(n) all liabilities and obligations relating to any oral agreements, oral contracts, or oral understandings with any referral sources including, but not limited to, physicians, unless reduced to writing and expressly assumed as part of the Contracts;

(o) any liability arising out of the act of assignment of any of the Contracts to the Company Entities at the Closing; and

(p) the obligations and liabilities arising in connection with the Connecticut Transfer Act, 22 Conn. Gen. Stat. § 134 *et seq.* (the "Transfer Act"), as set forth in Section 11.16.

2.5 Net Working Capital, Estimates, and Audits.

(a) **Net Working Capital.** The respective membership interest percentages of SMHS and LHP Sub in the Company have been determined based upon the Net Working Capital of SMHS as of _____ ("Target Net Working Capital"). To the extent that the Net Working Capital contributed by SMHS to the Company exceeds or is less than the Target Net Working Capital, then any excess amount shall be paid by the Company to SMHS, and any deficit amount shall be paid by SMHS to the Company. As used herein, the term "Net Working Capital" shall mean the aggregate current assets of SMHS in respect of the Facilities conveyed to the Company pursuant to Section 2.1 hereof (excluding those Excluded Assets that would otherwise be included in current assets), minus the aggregate current liabilities of SMHS in

respect of the Facilities assumed by the Company pursuant to Section 2.3 hereof (excluding those Excluded Liabilities that would otherwise be included in current liabilities), all as determined in accordance with generally accepted accounting principles (“GAAP”), but in any case with respect to the computation of Net Working Capital (i) the following being included in current assets: assumable prepaid expenses (excluding insurance-related prepaid expenses), usable inventories and supplies (priced at actual invoice cost), patient accounts receivable (net of allowances for contractual adjustments and uncollectibles based upon an evaluation of historical collections to gross revenues and discounted by ten percent (10%) for timing and cost of collection), non-patient accounts receivable as agreed, and other current assets as agreed, and (ii) the following being included in current liabilities: accounts payable, accrued expenses (excluding sales tax payable, items incurred but not recorded, and bank loans), accrued paid time off benefits and salary and related taxes (for employees of SMHS at the Facilities who are hired by LHP Sub), and other current liabilities as agreed. In addition, there shall be subtracted from Net Working Capital the amount of any capitalized leases assumed by the Company, with such amount being determined in accordance with GAAP.

(b) *Estimates and Adjustments.* At least ten (10) business days prior to Closing, SMHS shall deliver to LHP Sub a reasonable estimate of Net Working Capital as of the end of the most recently ended calendar month prior to the Closing Date for which financial statements are available and containing reasonable detail and supporting documents showing the derivation of such estimate. Subject to the mutual agreement of SMHS and LHP Sub, the estimated Net Working Capital together with the principles, specifications, and methodologies for determining the estimated Net Working Capital shall be specified in Schedule 2.5 and shall be used for purposes of calculating any payments due either from or to SMHS as of the Closing. Within ninety (90) days after the Closing, LHP Sub shall deliver to SMHS its determination of the Net Working Capital as of the Effective Time (following the same principles, specifications, and methodologies used to determine the estimated Net Working Capital as set forth on Schedule 2.5). LHP Sub shall have full access to the financial books and records of SMHS pertaining to the Facilities prior to, and for ninety (90) days following, the Closing, to confirm or audit working capital computations. Should SMHS disagree with LHP Sub’s determination of Net Working Capital, it shall notify LHP Sub within sixty (60) days after LHP Sub’s delivery of its determination of Net Working Capital. If SMHS and LHP Sub fail to agree within thirty (30) days after SMHS’s delivery of notice of disagreement on the amount of Net Working Capital, such disagreement shall be resolved in accordance with the procedure set forth in Section 2.5(c). Within five (5) business days after determination of the actual Net Working Capital as of the Effective Time, any increase from the estimated Net Working Capital shall be paid by the Company to SMHS, and any decrease from the estimated Net Working Capital shall be paid by SMHS to the Company.

(c) *Dispute of Adjustments.* In the event that SMHS and LHP Sub are not able to agree on the actual Net Working Capital within thirty (30) days after SMHS’s delivery of notice of disagreement, SMHS and LHP Sub each shall have the right to require that such disputed determination be submitted to Deloitte LLP (or the appropriate subsidiary thereof), or if Deloitte LLP (or the appropriate subsidiary thereof) is not available for any reason or does not maintain its independent status, such other independent certified public accounting firm upon which SMHS and LHP Sub may then mutually agree in writing (the “Accounting Firm”) for computation or verification in accordance with the provisions of this Agreement. The Accounting Firm shall review the matters in dispute and, acting as arbitrators, shall promptly

decide the proper amounts of such disputed entries (which decision shall also include a final calculation of Net Working Capital). The submission of the disputed matter to the Accounting Firm shall be the exclusive remedy for resolving accounting disputes relative to the determination of Net Working Capital. The Accounting Firm's determination shall be binding upon SMHS and LHP Sub. The Accounting Firm's fees and expenses shall be borne equally by SMHS and LHP Sub.

(d) Physical Inventory. If requested by LHP Sub at least ten (10) business days prior to the Closing, SMHS shall cause a physical inventory to be taken of the inventory and supplies on hand at the Facilities by either (i) employees or representatives of SMHS at the Facilities or its Affiliates or (ii) an independent third party selected by SMHS, the cost of which independent third party shall be borne by SMHS, as near in time as possible to the Closing and with the results extended and adjusted through the Effective Time. It shall be in SMHS's sole discretion as to whether employees or representatives of SMHS at the Facilities or an independent third party are used to conduct such physical inventory. SMHS shall permit representatives or employees of LHP Sub to observe such inventory process. All inventory items shall be valued at cost on a first-in first-out basis. The parties acknowledge that the inventory to be taken pursuant to this Section 2.5(d) shall not be conducted until immediately prior to the Closing and, as such, the results of such inventory may not be available until some time after the Closing. Accordingly, the parties agree that for purposes of determining the estimated Net Working Capital, inventory with respect to the operation of the Facilities shall be valued as reflected by the latest available unaudited balance sheet of SMHS in respect of the Facilities if the results of such inventory are not available. For purposes of determining the actual Net Working Capital, inventory shall be valued as determined pursuant to this Section 2.5(d).

(e) Prorations. Except as otherwise provided herein (for example, in the determination of Net Working Capital) or as settled at Closing, within ninety (90) days after the Closing Date, SMHS and the Company shall prorate as of the Closing Date any amounts that become due and payable on and after the Closing Date, or that have been paid in advance by either party prior to the Closing Date with respect to periods after the Closing Date, with respect to (i) the Contracts, (ii) ad valorem taxes, if any, on the Assets (which shall be prorated at the Closing), (iii) property taxes, if any, on the Assets (which shall be prorated at the Closing), and (iv) all utilities servicing any of the Assets, including water, sewer, telephone, electricity, and gas service. The parties shall cooperate to avoid, to the extent legally possible, the payment of duplicate Taxes, and each party shall furnish, at the request of the other, proof of payment of any Taxes or other documentation that is a prerequisite to avoid payment of duplicate Taxes. Any such amounts that are not available within ninety (90) days after the Closing Date shall be similarly prorated as soon as practicable thereafter.

3. CLOSING.

3.1 Closing. Subject to the satisfaction or waiver by the appropriate party of all of the conditions precedent to Closing specified in Sections 8 and 9 hereof, the consummation of the transactions contemplated by and described in this Agreement (the "Closing") shall take place at the offices of Brown Rudnick, 185 Asylum Street, Hartford, Connecticut 06103, at 10:00 a.m. local time, on or before _____, 2011, or on such other date or at such other location as the parties may mutually designate in writing (the date of consummation is referred to herein

as the “Closing Date”). The Closing shall be deemed to have occurred and to be effective as between the parties as of 12:01 a.m. Central Time on the Closing Date (the “Effective Time”).

3.2 Actions of SMHS at Closing. At the Closing and unless otherwise waived in writing by LHP Sub and/or the Company, as applicable, SMHS shall deliver to LHP Sub and/or the Company, as applicable, the following:

(a) Special Warranty Deed, fully executed by SMHS in recordable form, conveying to the applicable Company Entity good and marketable fee title to the Owned Real Property, and Assignment and Assumption of Leases, fully executed by SMHS [in recordable form], assigning to the applicable Company Entity leasehold title to the Leased Real Property, in each case subject only to current taxes not yet due and payable as of the Closing Date and such other covenants, conditions, restrictions, easements, and exceptions to title as are set forth on Schedule 3.2(a) hereto (collectively, the “Permitted Exceptions”);

(b) A General Assignment, Conveyance, and Bill of Sale (the “Bill of Sale”), fully executed by SMHS, conveying to the applicable Company Entity good and marketable title to all tangible assets that are a part of the Assets and valid title to all intangible assets that are a part of the Assets, free and clear of all liabilities, claims, liens, security interests, and restrictions other than the Assumed Liabilities;

(c) An Assignment and Assumption Agreement (the “Assignment and Assumption Agreement”), fully executed by SMHS, conveying to the applicable Company Entity SMHS’s interest in the Contracts;

(d) All instruments, documents, and affidavits required by the Title Company (as defined in Section 7.3) to issue the Title Policy (as defined in Section 7.3) as described in and provided by Section 8.3 hereof that are consistent with the Connecticut Standards of Title;

(e) The LLC Agreement in substantially the form of Exhibit C attached hereto fully executed by SMHS;

(f) The SMHS Capital Contribution Promissory Note in substantially the form of Exhibit D attached hereto fully executed by SMHS;

(g) Copies of resolutions duly adopted by the Board of Directors of SMHS, authorizing and approving the performance of the transactions contemplated hereby and the execution and delivery of this Agreement and the documents described herein, certified as true and of full force as of the Closing, by the appropriate officers or other representatives of SMHS;

(h) Certificates of the President or a Vice President of SMHS, certifying that each covenant and agreement of SMHS to be performed prior to or as of the Closing pursuant to this Agreement has been performed and each representation and warranty of SMHS is true and correct on the Closing Date, as if made on and as of the Closing;

(i) Certificates of incumbency for the officers or representatives of SMHS executing this Agreement and any other agreements or instruments contemplated herein or making certifications for the Closing dated as of the Closing Date;

(j) Certificates of existence and good standing of SMHS from the state in which it is incorporated, dated the most recent practical date prior to the Closing;

(k) The opinion(s) of counsel to SMHS as provided by Section 8.7 hereof;

(l) All Certificates of Title and other documents evidencing an ownership interest conveyed as part of the Assets;

(m) An affidavit stating that SMHS is not a “foreign person” as defined in Section 1445(f)(3) of the Code, as amended;

(n) All necessary state and local real estate conveyance tax forms duly executed by SMHS;

(o) A License Agreement between SMHS and the Company, in substantially the form attached hereto as Exhibit E (the “License Agreement”) duly executed by SMHS;

(p) Final execution copy of the Transfer Act Form III and Environmental Condition Assessment Form (“ECAF”) with a \$3,000 filing fee, as more fully described in Section 11.16; and

(q) Such other instruments and documents as LHP Sub and/or the Company reasonably deems necessary to effect the transactions contemplated hereby.

3.3 Actions of LHP and LHP Sub at Closing. At the Closing and unless otherwise waived in writing by SMHS and/or the Company, as applicable, LHP or LHP Sub, as applicable, shall deliver to SMHS and/or the Company, as applicable, the following:

(a) To the Company from LHP Sub, an amount equal to One Hundred Eight Million Dollars (\$108,000,000) in immediately available funds;

(b) The LLC Agreement in substantially the form of Exhibit C attached hereto fully executed by LHP Sub;

(c) The LHP Sub Capital Contribution Promissory Note in substantially the form of Exhibit D attached hereto fully executed by LHP Sub;

(d) Copies of resolutions duly adopted by the Board of Directors of each of LHP Sub and LHP authorizing and approving the performance of the transactions contemplated hereby and the execution and delivery of this Agreement and the documents described herein, certified as true and in full force as of the Closing, by the appropriate officers of LHP Sub and LHP, respectively;

(e) Certificates of the President or a Vice President of each of LHP and LHP Sub, certifying that each covenant and agreement of LHP or LHP Sub, as applicable, to be performed prior to or as of the Closing pursuant to this Agreement has been performed and each representation and warranty of LHP or LHP Sub, as applicable, is true and correct on the Closing Date, as if made on and as of the Closing;

(f) Certificates of incumbency for the respective officers of LHP Sub and LHP executing this Agreement and any other agreements or instruments contemplated herein or making certifications for the Closing dated as of the Closing Date;

(g) Certificates of existence and good standing of LHP Sub and LHP from the state in which each is formed or incorporated, dated the most recent practical date prior to Closing;

(h) The opinion of counsel to LHP and LHP Sub as provided by Section 9.5 hereof; and

(i) Such other instruments and documents as SMHS and/or the Company reasonably deems necessary to effect the transactions contemplated hereby.

3.4 Actions of the Company at Closing. At the Closing and unless otherwise waived in writing by SMHS and/or LHP Sub, as applicable, the Company shall deliver to SMHS and/or LHP Sub, as applicable, the following:

(a) To SMHS, an amount equal to One Hundred Eight Million Dollars (\$108,000,000) in immediately available funds;

(b) A Bill of Sale, fully executed by the Company, accepting from SMHS or other applicable entity title to all tangible and intangible assets that are part of the Assets;

(c) The Assignment and Assumption Agreement, fully executed by the Company, pursuant to which the Company shall assume the future performance of the Contracts as herein provided;

(d) Copies of resolutions duly adopted by the Board of Directors of the Company, authorizing and approving the performance of the transactions contemplated hereby and the execution and delivery of this Agreement and the documents described herein, certified as true and of full force as of the Closing, by the appropriate officers or representatives of the Company;

(e) Certificate of an officer of the Company, certifying that each covenant and agreement of the Company to be performed prior to or as of the Closing pursuant to this Agreement has been performed;

(f) Certificates of incumbency for the respective officers of the Company executing this Agreement and any other agreements or instruments contemplated herein or making certifications for the Closing dated as of the Closing Date;

(g) Certificates of existence and good standing of the Company from the State of Delaware, dated the most recent practical date prior to Closing;

(h) The LHP Sub Employee Lease Agreement, fully executed by the Company;

- (i) The License Agreement duly executed by the Company; and
- (j) Such other instruments and documents as SMHS and/or LHP Sub reasonably deems necessary to effect the transactions contemplated hereby.

4. REPRESENTATIONS AND WARRANTIES OF SMHS. As of the date hereof, and, when read in light of any Schedules that have been updated in accordance with the provisions of Section 13.1 hereof, as of the Closing Date, SMHS represents and warrants to LHP Sub and the Company the following:

4.1 Existence and Capacity. SMHS is a not-for-profit corporation, duly organized and validly existing in good standing under the laws of the State of Connecticut. SMHS has the requisite power and authority to enter into this Agreement, to perform its obligations hereunder, and to conduct its business as now being conducted.

4.2 Powers; Consents; Absence of Conflicts With Other Agreements, Etc. The execution, delivery, and performance by SMHS of this Agreement and all other agreements referenced herein, or ancillary hereto, to which it is a party, and the consummation by SMHS of the transactions contemplated by this Agreement and the documents described herein, as applicable:

(a) are within its corporate powers, are not in contravention of law or of the terms of its organizational documents, and have been duly authorized by all appropriate corporate action;

(b) except as provided in Sections 6.4 and 6.5 below, do not require any approval or consent of, or filing with, any governmental agency or authority bearing on the validity of this Agreement that is required by law or the regulations of any such agency or authority;

(c) except as set forth in Schedule 4.2(c), will neither conflict with, nor result in any breach or contravention of, or the creation of any lien, charge, or encumbrance under, any indenture, agreement, lease, instrument, or understanding to which it is a party or by which it is bound, except for such breaches or contraventions that may result from the failure to obtain the consent of the counterparty thereto in connection with the assignment of any Contract to the Company and for which SMHS remains liable;

(d) will not violate any material statute, law, rule, or regulation of any governmental authority to which it or the Assets may be subject; and

(e) will not violate any judgment, decree, writ, or injunction of any court or governmental authority to which it or the Assets may be subject.

4.3 Binding Agreement. This Agreement and all the other Closing Documents are and will constitute the valid and legally binding obligations of SMHS, and are and will be enforceable against it in accordance with the respective terms hereof or thereof, subject to (a) applicable bankruptcy, reorganization, insolvency, moratorium, and other laws affecting creditors' rights generally from time to time in effect and (b) limitations on the enforcement of equitable remedies.

4.4 Financial Statements. SMHS has delivered to LHP Sub copies of the following financial statements in respect of the Facilities (collectively, the “Financial Statements”), which Financial Statements are maintained on an accrual basis, and copies of which are attached hereto as Schedule 4.4:

(a) Unaudited Balance Sheet dated as of _____, 20__ (the “Balance Sheet Date”);

(b) Unaudited Income Statement for the _____-month period ended on the Balance Sheet Date; and

(c) Audited Balance Sheets, Income Statements, and Statements of Cash Flows for the fiscal years ended September 30, 2008, 2009, and 2010.

Such unaudited Financial Statements conform to GAAP consistently applied, except as set forth on Schedule 4.4. Such audited Financial Statements have been prepared in accordance with GAAP, applied on a consistent basis throughout the periods indicated. Such Balance Sheets present fairly the financial condition of SMHS with respect to the Facilities as of the dates indicated thereon, and such Income Statements present fairly the results of operations of SMHS with respect to the Facilities for the periods indicated thereon.

4.5 Certain Post-Balance Sheet Results. Except as set forth in Schedule 4.5 hereto, since the Balance Sheet Date there has not been any:

(a) material damage, destruction, or loss (whether or not covered by insurance) affecting the Facilities or the Assets;

(b) material adverse change in the condition, financial or otherwise, of the Assets, the business or prospects of the Facilities, or the results of operations of the Facilities;

(c) threatened employee strike, material work stoppage, or material labor dispute pertaining to the Facilities;

(d) sale, assignment, transfer, or disposition of any item of property, plant, or equipment included in the Assets and having a net book value in excess of Seventy-Five Thousand Dollars (\$75,000) (other than supplies), except in the ordinary course of business with comparable replacement thereof;

(e) any general increase in the compensation payable by SMHS in respect of the Facilities to any of its or their employees or independent contractors or any increase in, or institution of, any bonus, insurance, pension, profit-sharing or other employee benefit plan, remuneration, or arrangements made to, for, or with such employees;

(f) dividend, distribution, or extraordinary payment by SMHS in respect of the Facilities;

(g) change in the composition of the medical staff of the Facilities, other than normal turnover occurring in the ordinary course of business;

(h) change in the rates charged by the Facilities for their services, other than those made in the ordinary course of business;

(i) adjustment or write-off of accounts receivable or reduction in reserves for accounts receivable outside the ordinary course of business;

(j) change in the accounting methods or practices employed by SMHS in respect of the Facilities or change in depreciation or amortization policies; or

(k) transaction pertaining to the Facilities by SMHS outside the ordinary course of business.

4.6 Licenses. Each of the Facilities is duly licensed pursuant to the applicable laws of the State of Connecticut. The pharmacies, laboratories, and all other ancillary departments located at the Facilities or operated for the benefit of the Facilities that are required to be specially licensed are duly licensed by the Connecticut Department of Public Health or other appropriate licensing agency (the “State Health Agency”). SMHS has all other material licenses, registrations, permits, and approvals that are needed or required by law to operate the business related to or affecting the Facilities or any ancillary services related thereto. SMHS has delivered to LHP Sub an accurate list and summary description (Schedule 4.6) of all such licenses, registrations, and permits and of all other franchises, certificates of need, and certificate of need applications owned or held by SMHS relating to the ownership, development, or operation of the Facilities or the Assets, all of which are now and as of the Closing shall be in good standing.

4.7 Medicare Participation/Accreditation. The Hospital is qualified for participation in the Medicare, Medicaid, and CHAMPUS programs, has a current and valid provider contract with such programs, is in compliance with the conditions of participation in such programs, and has received all approvals or qualifications necessary for capital reimbursement for the Hospital. The Hospital is duly accredited, with no contingencies, by The Joint Commission (the “Joint Commission”) for the three (3) year period set forth on Schedule 4.7. A copy of the most recent accreditation letter from The Joint Commission pertaining to the Hospital has been made available to LHP Sub. The billing practices employed by the Facilities with respect to all third party payors, including the Medicare, Medicaid, and CHAMPUS programs and private insurance companies, have been in compliance with all applicable laws, regulations, and policies of the Medicare, Medicaid, and CHAMPUS programs and such private insurance companies. SMHS in respect of the Facilities has not billed or received any payment or reimbursement from any such payors in excess of amounts allowed by law. Neither SMHS nor any of its officers, directors, managing employees, or controlling shareholders are excluded from participation in the Medicare, Medicaid, or CHAMPUS programs, nor has SMHS received any notice that any such exclusion is threatened. Except as set forth in a writing delivered by SMHS to LHP Sub that specifically makes reference to this Section 4.7 or as set forth on Schedule 4.7, SMHS has not received any notice from any of the Medicare, Medicaid, or CHAMPUS programs or any other third party payor programs of any pending or threatened investigations or surveys, and to the knowledge of SMHS, no such investigations or surveys are pending, threatened, or imminent. SMHS has registered with the QNet Exchange (“QNet”) as required by The Centers for Medicare and Medicaid Services (“CMS”) under its Hospital Quality Initiative Program (the “HQI Program”). SMUHS has submitted all quality data required under the HQI Program to

CMS or its agent, and all quality data required under the ORYX Core Measure Performance Measurement System (“ORYX”) to The Joint Commission, for all calendar quarters concluded prior to the date of this Agreement, except for any quarter for which the respective reporting deadlines have not yet expired. All such submissions of quality data have been made in accordance with applicable reporting deadlines and in the form and manner required by CMS and The Joint Commission, respectively. SMHS has not received notice of any reduction in reimbursement under the Medicare program resulting from its failure to report quality data to CMS or its agent as required under the HQI Program. SMHS has provided LHP Sub with the HQI Program “validation results” for all calendar quarters concluded prior to the date of this Agreement, except for any quarter for which the respective reporting deadlines have not yet expired.

4.8 Regulatory Compliance. Except as set forth in a writing delivered by SMHS to LHP Sub that specifically makes reference to this Section 4.8 or as set forth on Schedule 4.8, the operations of the Facilities are in compliance in all material respects with all applicable statutes, rules, regulations, and requirements of the Government Entities having jurisdiction over the Facilities and the operations of the Facilities or their related ancillary services. As used herein, “Government Entity” means any government or any agency, bureau, board, directorate, commission, court, department, official, political subdivision, tribunal, or other instrumentality of any government, whether federal, state, or local. SMHS has timely filed all reports, data, and other information required to be filed with any Government Entity. Neither SMHS nor, to the knowledge of SMHS, any of its officers, directors, agents, or employees has committed a violation of federal or state laws regulating health care fraud, including but not limited to the federal Anti-Kickback Law, 42 U.S.C. §1320a-7b, the Stark Laws, 42 U.S.C. §1395nn, as amended, and the False Claims Act, 31 U.S.C. §3729, et seq. To the knowledge of SMHS, SMHS in respect of the Facilities is in compliance in all material respects with the administrative simplification provisions required under the Health Insurance Portability and Accountability Act of 1996, as amended (“HIPAA”), including the electronic data interchange regulations and the health care privacy regulations, as of the applicable effective dates for such requirements.

4.9 Equipment. SMHS has delivered to LHP Sub a depreciation schedule as of the Balance Sheet Date (Schedule 4.9) that takes into consideration all the equipment associated with, or constituting any part of, the Facilities and the Assets. Since the Balance Sheet Date, SMHS has not sold or otherwise disposed of any item of equipment having a net book value in excess of Seventy-Five Thousand Dollars (\$75,000) associated with, or constituting any part of, the Facilities and the Assets, except in the ordinary course of business or unless replaced by comparable replacement equipment.

4.10 Real Property. SMHS owns good and marketable fee simple and/or leasehold title, as the case may be, to the Real Property, together with all buildings, improvements, and component parts thereon and all appurtenances and rights thereto. The Real Property will be conveyed to the Company Entities free and clear of any and all liens, encumbrances, or other restrictions except the Permitted Exceptions. With respect to the Real Property, except as set forth in Schedule 4.10:

(a) SMHS has not received during the past five (5) years written notice of a violation of any applicable ordinance or other law, order, regulation, or requirement;

(b) The Real Property and its operation are in compliance in all material respects with all applicable zoning ordinances, and the consummation of the transactions contemplated herein will not result in a violation of any applicable zoning ordinance or the termination of any applicable zoning variance now existing, and the buildings and improvements constituting the Real Property comply with all building codes;

(c) The Real Property is subject to no easements, restrictions, ordinances, or other limitations on title that could make such property unusable for its current use or the title uninsurable or unmarketable or that materially restrict or impair the use, marketability, or insurability of the Real Property;

(d) To the Knowledge of SMHS, all of the Real Property currently in use for the operations of the Facilities are in compliance in all material respects with the applicable provisions of the Rehabilitation Act of 1973, Title III of the Americans with Disabilities Act, and the provisions of any comparable state statute relative to accessibility (these laws are referred to, collectively, as the "Accessibility Laws"), and there is no pending, noticed, or, to the knowledge of SMHS, threatened litigation, administrative action, or complaint (whether from a state, federal, or local government or from any other person, group, or entity) relating to compliance of any of the Real Property with the Accessibility Laws;

(e) There are no tenants or other persons or entities occupying any space in the Real Property other than pursuant to tenant leases described in Schedule 4.10, and no tenants have paid rent in advance for more than one month and no improvement credit or other tenant allowance of any nature is owed to any tenant, nor is any landlord improvement work required, except as disclosed in Schedule 4.10;

(f) Attached to Schedule 4.10 is a "rent roll" that sets forth for those leases where SMHS in respect of the Facilities is landlord: (i) the names of then current tenants; (ii) the rental payments for the then current month under each of the leases; (iii) a list of all then delinquent rental payments; (iv) a list of all concessions granted to tenants; (v) a list of all tenant deposits and a description of any application thereof, and (vi) a list of all uncured material defaults under the leases known to SMHS;

(g) SMHS has not received written or posted notice of condemnation or of any special assessment relating to any part of the Real Property, of any existing or proposed plans to modify or realign any street or highway, or any existing or proposed eminent domain proceeding by any Government Entity that would result in the taking of all or any part of the Real Property or that would adversely affect the current use of any part of the Real Property;

(h) To the Knowledge of SMHS, all permanent certificates of occupancy and all other material licenses, permits, authorizations, consents, certificates, and approvals required by all governmental authorities having jurisdiction and the requisite certificates of the local board of fire underwriters (or other body exercising similar functions) have been issued for the Real Property (and all individual items constituting the Real Property), have been paid for, are in full force and effect, and will not be invalidated, violated, or otherwise adversely affected by the transfer of the Real Property to the Company Entities;

(i) To the knowledge of SMHS, water, sanitary sewer, storm sewer, drainage, electric, telephone, gas, and other public utility systems are available to the Real Property, as currently developed, and are directly connected to the lines and/or other facilities of the respective public authorities or utility companies providing such services or accepting such discharge, either adjacent to the Real Property or through easements or rights of way appurtenant to and forming a party of the Real Property; and, to the knowledge of SMHS, such easements or rights-of-way have been fully granted, all charges therefor have been fully paid by SMHS, and all charges for the aforesaid utility systems and the connection of the Real Property to such systems, including without limitation connections fees, “tie-in” charges, and other charges now or hereafter to become due and payable, have been fully paid by SMHS; and the water and sanitary sewer service described above is supplied by public authority;

4.11 Title. Except as provided in Schedule 4.11, SMHS owns good, valid, and marketable title to all of the Assets, subject to no material mortgage, lien, pledge, security interest, conditional sales agreement, right of first refusal, option, restriction, liability, encumbrance, or charge other than the Permitted Exceptions and the Assumed Liabilities.

4.12 Employee Benefit Plans.

(a) Schedule 4.12 contains a list of all benefit plans maintained by SMHS within the last five (5) years with respect to SMHS’s employees at the Facilities (whether tax-qualified or nonqualified, currently effective or terminated, written or unwritten) including, without limitation, any of the following:

(i) employee pension benefit plan (as defined in Section 3(2) of the Employee Retirement Income Security Act of 1974, as amended (“ERISA”)), including, without limitation, any pension, profit-sharing, or stock bonus plan (as described in Section 401(a) of the Internal Revenue Code of 1986, as amended (“Code”), and related provisions thereof), defined benefit plan or defined contribution plan (as defined in ERISA Sections 3(34) and 3(35)), governmental plan, or church plan;

(ii) annuity contracts purchased by SMHS for its employees at the Facilities in accordance with Code Section 403(b) including, without limitation, any group annuity contracts, individual annuity contracts, and custodial account arrangements under Code Section 403(b)(7), regardless of whether contributions are made to such annuity contracts on a pre-tax or after-tax basis;

(iii) employee welfare benefit plan (as defined in ERISA Section 3(1)) including, without limitation, any health (including, without limitation, medical, dental, or vision) plan, life-insurance plan, death benefit plan, short-term disability plan, long-term disability plan, accident plan, accidental death and dismemberment plan, long-term care plan, or employee assistance plan;

(iv) fringe benefit plan, including, without limitation, any specified fringe benefit plan (as defined in Code Section 6039D), cafeteria plan, or tuition assistance plan;

(v) executive compensation or incentive plan, including, without limitation, any bonus plan, incentive-compensation plan, deferred-compensation plan, non-

qualified profit-sharing plan, stock-option plan, stock-appreciation-right plan, stock-bonus plan, stock-purchase plan, employee-stock-ownership plan, or savings plan;

(vi) post-termination benefits plan including, without limitation, any severance plan, change-in-control plan, supplemental-unemployment plan, layoff plan, salary-continuation plan, or non-qualified retirement plan; or

(vii) vacation, holiday, sick-leave, paid-time-off, or other employee compensation plan, procedure, program, payroll practice, policy, agreement, commitment, contract, or understanding;

and any trust, escrow, or other agreement related to any such plan or other arrangement that (i) is maintained or contributed to by SMHS or any other corporation or trade or business controlled by, controlling, or under common control with SMHS (within the meaning of Code Section 414 or ERISA Sections 4001(a)(14) or 4001(b)) (“ERISA Affiliate”), or with respect to which SMHS or any ERISA Affiliate has or may have any liability; or (ii) provides benefits, or describes a plan, procedure, program, payroll practice, policy, agreement, commitment, contract, or understanding applicable to any current or former director, officer, employee, or service provider of SMHS or any ERISA Affiliate, or the dependents of any thereof, regardless of how (or whether) liabilities for the provision of benefits are accrued or assets are acquired or dedicated with respect to the funding thereof. All such plans or arrangements that are set forth on Schedule 4.12 are referred to hereinafter collectively as the “Benefit Plans.”

(b) SMHS has delivered to LHP Sub accurate and complete copies of (i) the current documents comprising each Benefit Plan (or, with respect to any Benefit Plan that is unwritten, a detailed written description thereof); (ii) all current trust agreements or other funding instruments related to each Benefit Plan; (iii) all rulings, letters, and opinions regarding each Benefit Plan from the Internal Revenue Service (“IRS”), the U.S. Department of Labor (“DOL”), Pension Benefit Guaranty Association (“PBGC”), or any other governmental body that pertain to each Benefit Plan that have been issued within the last three (3) years and any open requests therefor; (iv) the annual reports filed with any governmental body with respect to each Benefit Plan during the current year and each of the three (3) preceding years; (v) all current contracts with third-party administrators, consultants, and other independent contractors that relate to each Benefit Plan; (vi) all current summary plan descriptions, summaries of material modifications and memoranda, and other written communications regarding each Benefit Plan currently in effect; and (vii) documents evidencing compliance with the privacy requirements under HIPAA relating to each Benefit Plan.

(c) Except as provided on Schedule 4.12:

(i) Neither SMHS nor any ERISA Affiliate has any liability under any Benefit Plan for which the Company has or will have any liability, contingent or otherwise, under Parts I or IV of ERISA or the Code, including, without limitation, any liability with respect to any “multiemployer plan” (as defined in ERISA Sections 3(37)(A) or Section 4001(a)(3) or Code Section 414(f)), multiple employer plan (as described in Code Section 413(c)), or “single-employer plan” (as defined in ERISA Section 4001(a)(15)), whether or not terminated; self-insured or self-funded “multiple employer welfare arrangement” as such term is defined in ERISA Section 3(40); prohibited transaction (pursuant to Code Section 4975 or

ERISA Section 406) with any Benefit Plan that is not subject to an exemption under Code Section 4975 or ERISA Section 408 or the regulations thereto; excise tax or penalty imposed under ERISA or the Code with respect to any Benefit Plan; or breach of any responsibilities or obligations imposed upon fiduciaries by Title I of ERISA with respect to any Benefit Plan.

(ii) Each Benefit Plan that is an “employee pension benefit plan” as defined in ERISA Section 3(2) and each related trust agreement, annuity contract, or other funding instrument is and has been since its inception intended to be qualified and tax-exempt under the provisions of Code Sections 401(a) and 501(a), and, for each such Benefit Plan that is not stated on a master and prototype (M&P) and/or volume submitter plan on which reliance is and can be based on a favorable opinion or advisory letter without the adopting employer having requested an individual determination letter, has been determined by the IRS pursuant to an individual favorable determination letter to be so qualified and tax-exempt or an application for such determination has been made and is currently pending; has not participated in any voluntary compliance or self-correction programs established by the IRS (or the DOL with respect to any fiduciary issues), or entered into a closing agreement with the IRS with respect to the form or operation of any Benefit Plan; is and has been since its inception in material compliance with its terms and, both as to form and in operation, with the requirements prescribed by any and all laws that are applicable to such Benefit Plan, including, without limitation, ERISA and the Code; does not have and has not had since its inception any “unfunded accrued liability,” as such term is defined under ERISA Section 3(30); has not experienced any “reportable events,” as such term is defined under ERISA Section 4043; has not had any “accumulated funding deficiencies,” as such term is defined under ERISA Section 302(a)(2) or Code Sections 412(a) or 4971 (whether or not waived); does not have any liabilities required to be disclosed on any annual report (Form 5500 series) that have not been disclosed; and has not been partially or fully terminated (through the cessation of contributions thereto or otherwise).

(iii) With respect to each Benefit Plan that is not an “employee pension benefit plan” as defined in ERISA Section 3(2), such plan is in material compliance with its terms and, both as to form and operation, with the requirements prescribed by any and all laws that are applicable to such Benefit Plan, including, without limitation, ERISA, to the extent applicable, and the Code; such plan may be terminated at the time of Closing according to its terms without any prior notice; no commitments have been made to provide lifetime or retiree benefits under any such plan; and no persons have any vested rights under any such plan.

(iv) Each Benefit Plan that is a “group health plan” as defined in ERISA Section 607(1) or Code Section 5000(b)(1) and that is maintained by SMHS or any ERISA Affiliate has been operated at all times in material compliance with ERISA, to the extent applicable, the Code, the Social Security Act, and HIPAA.

(v) All required contributions to all Benefit Plans and all premiums, fees, or other payments required to be made in connection with any Benefit Plan have either been timely made or are reflected in the financial statements on an accrual basis. All returns, reports, and disclosure statements required to be made under the Code, ERISA, to the extent applicable, or other applicable law with respect to the Benefit Plans have been timely filed or delivered.

(vi) No Benefit Plan is currently or has been within the last three (3) years under audit, inquiry, or investigation by the IRS, DOL, or PBGC, and there are no

outstanding issues with reference to the Benefit Plans pending before any governmental agency. Other than routine claims for benefits, there are no actions, mediations, audits, arbitrations, suits, claims, or investigations pending, or to the knowledge of SMHS or any ERISA Affiliate, threatened against or with respect to any of the Benefit Plans or their assets, and there are no threatened or pending claims by or on behalf of the Benefit Plans or by any employee of SMHS at the Facilities alleging a breach or breaches of fiduciary duties or violations of other applicable state or federal law that could result in liability on the part of either SMHS or the Benefit Plans under any law, nor is there any basis for such a claim.

(vii) SMHS does not have any contracts, agreements, plans, or arrangements under which the contemplated transaction will result in any (i) payments (whether of separation pay or otherwise) becoming due from SMHS or any ERISA Affiliate to any current or former employee, director, or consultant, or (ii) vesting, acceleration of payment, or increase in the amount of any benefit payable to or in respect of any such current or former employee, director, or consultant of SMHS or any ERISA Affiliate that will, in turn, result in any liability to the Company.

4.13 *Litigation or Proceedings.* SMHS has delivered to LHP Sub an accurate list and summary description (Schedule 4.13) of all pending or, to the knowledge of SMHS, threatened litigation or proceedings with respect to the Facilities and the Assets. SMHS is not in default under any order of any court or federal, state, municipal, or other governmental department, commission, board, bureau, agency, or instrumentality wherever located. Except as set forth in a writing delivered by SMHS to LHP Sub that specifically makes reference to this Section 4.13 or as set forth on Schedule 4.13, there are no claims, actions, suits, proceedings, or investigations pending or, to the knowledge of SMHS, threatened against SMHS, the Facilities, or the Assets, at law or in equity, or before or by any federal, state, municipal, or other governmental department, commission, board, bureau, agency, or instrumentality wherever located.

4.14 *Environmental Laws.* Except as set forth on Schedule 4.14 hereto, to the knowledge of SMHS (i) the Real Property is not subject to any material environmental hazards, risks, or liabilities, (ii) SMHS is not in material violation of any federal, state, or local statutes, regulations, laws, or orders pertaining to the protection of human health and safety or the environment (collectively, “Environmental Laws”), including, without limitation, the Comprehensive Environmental Response Compensation and Liability Act, as amended (“CERCLA”), and the Resource Conservation and Recovery Act, as amended (“RCRA”), and (iii) SMHS has received no notice alleging or asserting either a violation of any Environmental Law or an obligation to investigate, assess, remove, or remediate any property, including but not limited to the Real Property, under or pursuant to any Environmental Law. Except as set forth on Schedule 4.14, to the knowledge of SMHS, no Hazardous Substances (which for purposes of this Section 4.14 shall mean and include polychlorinated biphenyls, asbestos, and any substances, materials, constituents, wastes, or other elements that are included under or regulated by any Environmental Law, including, without limitation, CERCLA and RCRA, but shall not include any chemicals, materials, or substances routinely used in cleaning and maintenance activities for the Real Property) have been, and through the Closing Date will be, disposed of on or released or discharged from or onto, or threatened to be released from or onto, the Real Property (including groundwater) by SMHS, or to SMHS’s knowledge, any third party, in violation of any applicable Environmental Law. Except as set forth on Schedule 4.14, neither SMHS nor, to SMHS’s knowledge, any prior owners, operators, or occupants of the Real Property, have allowed any

Hazardous Substances to be discharged, processed, or otherwise released on the Real Property in a manner that is in violation of any Environmental Law, and SMHS has complied in all material respects with all Environmental Laws applicable to any part of the Real Property. The Facilities contain asbestos-containing material. Schedule 4.14 lists numerous reports, correspondence, operation and maintenance manuals, and other documents related to the asbestos-containing materials. These documents do not individually or collectively constitute a comprehensive asbestos survey of the Facilities or the Real Property. Without in any way limiting the generality of the foregoing, to the knowledge of SMHS: (i) all current or former underground storage tanks located on the Real Property and information in SMHS's possession relating to the capacity, uses, dates of installation, and contents of such tanks located on the Real Property are identified in the environmental reports listed on Schedule 4.14; (ii) there are not now, nor have there ever been, any collection dumps, pits, and disposal facilities or surface impoundments located on the Real Property for the containment of Hazardous Substances except as identified in Schedule 4.14; and (iii) all existing underground storage tanks have been maintained in material compliance with all Environmental Laws. Except as set forth on Schedule 4.14, to the knowledge of SMHS, SMHS holds all material environmental permits required in connection with the use by SMHS of the Real Property or the operation of the Facilities and, to the extent permitted by law, SMHS shall cause such environmental permits to be transferred to the Company (with the Company's necessary cooperation and assistance), all of which, to SMHS's knowledge, are in good standing and are not subject to meritorious challenge. The representations and warranties made in this Section 4.14 are the exclusive representations and warranties of SMHS relating to environmental matters and shall supersede any and all other Sections in this Agreement including, but not limited to, Sections 4.6, 4.8, and 4.10(a).

4.15 Hill-Burton and Other Liens. Except as set forth on Schedule 4.15 hereto, neither SMHS nor any of its predecessors has received any loans, grants, or loan guarantees pursuant to the Hill-Burton Act program, the Health Professions Educational Assistance Act, the Nurse Training Act, the National Health Planning and Resources Development Act, and the Community Mental Health Centers Act, as amended, or similar laws or acts relating to health care facilities. The transactions contemplated hereby will not result in any obligation on the Company or any of its Affiliates to repay any of such loans, grants, or loan guarantees, nor subject the Company, its Affiliates, or the Assets to any lien, restriction, or obligation, including any requirement to provide uncompensated care.

4.16 Taxes.

(a) SMHS has filed on a timely basis, or validly extended the time for filing, all federal, state, and local tax returns required to be filed by it with respect to Taxes incurred relating to the Facilities (collectively, the "Tax Returns"). All Tax Returns are true and correct in all material respects and accurately reflect in all material respects the tax liabilities of SMHS. All amounts shown due on the Tax Returns have been or will be paid on a timely basis (including any interest or penalties and amounts due state unemployment authorities) to the appropriate tax authorities.

(b) SMHS has withheld in all material respects proper and accurate amounts from the compensation of its employees at the Facilities in compliance with all withholding and similar provisions of the Code, including employee withholding and social security taxes, and

any and all other applicable laws. All such amounts have been duly and validly remitted to the proper taxing authority.

(c) No deficiencies for any of Taxes relating to the Facilities have been asserted or, to the knowledge of SMHS, threatened, and no audit on any Tax Returns is currently under way or, to the knowledge of SMHS, threatened. There are no outstanding agreements by SMHS for the extension of time for the assessment of any Taxes. SMHS has not taken any action in respect of any Taxes that may have a material adverse impact upon the Facilities or the Assets as of or subsequent to Closing.

(d) SMHS has not received written notice of tax liens on any of the Assets.

4.17 Employee Relations.

(a) Except as set forth on Schedule 4.17, all employees at the Facilities are employees of SMHS, and there has not been in the last three (3) years, there is not presently pending, there is not presently threatened (to the knowledge of SMHS), and no event has occurred or circumstance exists (to the knowledge of SMHS) that could provide the basis for, (i) any strike, slowdown, picketing, work stoppage, or employee grievance process, or (ii) any proceeding against or affecting SMHS relating to an alleged violation of any legal requirements pertaining to labor relations, including, without limitation, any charge, complaint, or unfair labor practices claim filed by an employee, union, or other person with the National Labor Relations Board or any comparable governmental body, organizational activity, or other labor dispute against or affecting SMHS, the Hospital, or their premises.

(b) Except as set forth in Schedule 4.17, with respect to the employees of SMHS at the Facilities: (i) no collective bargaining agreement exists or is currently being negotiated by SMHS; (ii) no application for certification of a collective bargaining agent is pending; (iii) no demand has been made upon SMHS for recognition by a labor organization; (iv) no union representation question exists; (v) no union organizing activities are, to the knowledge of SMHS, taking place; and (vi) none of the employees of SMHS is represented by any labor union or organization.

(c) Except as set forth in Schedule 4.17, SMHS has complied in all material respects with all legal requirements relating to employment, employment practices, terms and conditions of employment, equal employment opportunity, nondiscrimination, immigration, wages, hours, benefits, payment of employment, social security, and similar taxes, occupational safety and health, and plant closing; SMHS is not liable for the payment of any material compensation, damages, taxes, fines, penalties, interest, or other amounts, however designated, for failure to comply with any of the foregoing legal requirements; there are no pending or, to the knowledge of SMHS, threatened claims before the Equal Employment Opportunity Commission (or any comparable state civil or human rights commission or other entity), complaints before the Occupational Safety and Health Administration (or any comparable state safety or health administration or other entity), wage and hour claims, unemployment compensation claims, workers' compensation claims, or the like.

(d) Schedule 4.17 states the number of employees terminated by SMHS within ninety (90) days prior to the Closing Date, laid off by SMHS within the six (6) months

prior to the Closing Date, or whose hours of work have been reduced by more than fifty percent (50%) by SMHS in the six (6) months prior to the Closing Date, and contains a complete and accurate list of the following information for such employees: (i) the date of termination, layoff, or reduction in work hours; (ii) the reason for termination, layoff, or reduction in work hours; and (iii) the location to which the employee was assigned. In relation to the foregoing, except as set forth in Schedule 4.17, SMHS has not violated the Worker Adjustment and Retraining Notification Act (“WARN Act”) or any similar state or local legal requirements.

(e) To the knowledge of SMHS, no officer, director, agent, employee, consultant, or independent contractor of SMHS is bound by any contract that purports to limit the ability of such officer, director, agent, employee, consultant, or independent contractor (i) to engage in or continue or perform any conduct, activity, duties, or practice relating to the business of SMHS in respect of the Facilities; or (ii) to assign to SMHS or to any other person any rights to any invention, improvement, or discovery. To the knowledge of SMHS, no former or current employee of SMHS at the Facilities is a party to, or is otherwise bound by, any contract that in any way adversely affected, affects, or will affect the ability of the Company following Closing to conduct the business as heretofore carried on by SMHS at the Facilities.

4.18 *Agreements and Commitments.* Schedule 2.1(g) sets forth the Contracts that will be assumed by the Company Entities. SMHS has also delivered to LHP Sub an accurate list (Schedule 4.18) of all material commitments, contracts, leases, and agreements, written or oral, that materially affect the Facilities, the Assets, or the operation of any thereof, to which SMHS is a party or by which SMHS, the Facilities, the Assets, or any portion thereof is bound, including, without limitation, (a) physician agreements, (b) agreements with health maintenance organizations, preferred provider organizations, or other alternative delivery systems, (c) joint venture or partnership agreements, (d) employment contracts or any other contracts, agreements, or commitments to or with individual employees or agents, (e) contracts or commitments materially affecting ownership of, title to, use of, or any interest in real estate including any tenant leases, (f) equipment leases, (g) equipment maintenance agreements, (h) agreements with municipalities, (i) collective bargaining agreements or other contracts or commitments to or with any labor unions, labor organizations, or other employee representatives or groups of employees, (j) loan agreements, bonds, mortgages, liens, or other security agreements, (k) patent licensing agreements or any other agreements, licenses, or commitments with respect to patents, patent applications, trademarks, trade names, service marks, technical assistance, copyrights, or other like terms affecting the Facilities or the Assets, (l) contracts or commitments providing for payments based in any manner on the revenues or profits of the Facilities or the Assets, (m) agreements, licenses, or commitments relating to data processing programs, software, or source codes utilized in connection with the Facilities or the Assets, and (n) contracts or commitments, whether in the ordinary course of business or not, that involve future payments, performance of services, or delivery of goods or material, to or by SMHS, of any amount or value in excess of Fifty Thousand Dollars (\$50,000) on an annual basis.

4.19 *The Contracts.* SMHS has delivered to LHP Sub an accurate list (Schedule 2.1(g)) of the Contracts. SMHS has made available to LHP Sub true and correct copies of the

Contracts, and has given, and will give, the agents, employees, and representatives of LHP Sub access to the originals of the Contracts in its possession. SMHS represents and warrants with respect to the Contracts that:

(a) The Contracts constitute valid and legally binding obligations of SMHS and are enforceable against SMHS in accordance with their terms;

(b) Each Contract constitutes the entire agreement by and between the respective parties thereto with respect to the subject matter thereof;

(c) All obligations required to be performed by SMHS under the terms of the Contracts have been performed, no material breach has occurred under any of the Contracts, no act or omission by SMHS has occurred or failed to occur that, with the giving of notice, the lapse of time, or both would constitute a material default under the Contracts, and each of such Contracts is now in full force and effect;

(d) Except as expressly set forth on Schedule 4.19, none of the Contracts requires consent to the assignment and assumption of such Contracts by the Company Entities; and

(e) Except as expressly set forth on Schedule 4.19, the assignment of the Contracts to and assumption of such Contracts by the Company Entities will not result in any penalty or premium, or variation of the rights, remedies, benefits, or obligations of any party thereunder.

4.20 Supplies. The inventory and supplies constituting part of the Assets are substantially of a quality and quantity usable and salable in the ordinary course of business of the Facilities. Obsolete items have been written off the Financial Statements. Inventory and supplies are carried at cost, on a first-in, first-out basis, and are properly stated in the Financial Statements. The inventory levels are based on past practices of SMHS at the Facilities.

4.21 Insurance. Schedule 4.21 is an accurate schedule of the insurance policies or self-insurance funds maintained by SMHS covering the ownership and operations of the Facilities and the Assets, including the type of insurance, policy numbers, identity of insurers, amounts, and coverage. All of such policies are in full force and effect with no premium arrearage. SMHS has given in a timely manner to its insurers all notices required to be given under its insurance policies with respect to all of the claims and actions covered by insurance, and no insurer has denied coverage of any such claims or actions. SMHS has not (a) received any notice or other communication from any such insurance company canceling or materially amending any of such insurance policies, and to the knowledge of SMHS no such cancellation or amendment is threatened or (b) failed to give any required notice or present any claim that is still outstanding under any of such policies with respect to the Facilities or any of the Assets.

4.22 Third Party Payor Cost Reports. SMHS has duly filed all required cost reports in respect of the Facilities for all the fiscal years through and including the fiscal year ended September 30, 2010. All of such cost reports accurately reflect the information required to be included thereon and such cost reports do not claim and neither the Facilities nor SMHS has received reimbursement in any amount in excess of the amounts provided by law or any

applicable agreement. Schedule 4.22 indicates which of such cost reports have not been audited and finally settled and a brief description of any and all notices of program reimbursement, proposed or pending audit adjustments, disallowances, appeals of disallowances, and any and all other unresolved claims or disputes in respect of such cost reports. SMHS has established adequate reserves in respect of the Facilities to cover any potential reimbursement obligations that may exist in respect of any such third party cost reports, and such reserves are set forth in the Financial Statements.

4.23 *Medical Staff Matters.* SMHS has provided to LHP Sub true, correct, and complete copies of the bylaws and rules and regulations of the medical staff of the Hospital, as well as a list of all current members of the medical staff. Except as set forth in Schedule 4.23, there are no adverse actions with respect to any medical staff members of the Hospital or any applicant thereto for which a medical staff member or applicant has requested a judicial review hearing that has not been scheduled or has been scheduled but has not been completed, and there are no pending or, to the knowledge of SMHS, threatened disputes with applicants, staff members, or health professional affiliates, and SMHS knows of no basis therefor, and all appeal periods in respect of any medical staff member or applicant against whom an adverse action has been taken have expired.

4.24 *Quality and Condition of Assets.* The Assets and the Excluded Assets constitute all assets that are held or used by SMHS or any of its Affiliates and necessary for the conduct of the business and operation of the Facilities in the manner conducted as of the date of this Agreement. All buildings, structures, facilities, equipment, and other material items of tangible property and assets included in the Assets are free from material defects and in good operating condition and repair, and are usable in the regular and ordinary course of business, and conform in all material respects to all applicable laws, ordinances, codes, rules, and regulations relating to their use and operation by SMHS in respect of the Facilities.

4.25 *Intellectual Property; Computer Software.* Schedule 4.25 lists and briefly describes all material trademarks, service marks, trade names, patents, copyrights, inventions, processes, and applications therefor (whether registered or common law) currently owned or used by SMHS in respect of the Facilities (collectively, the "Intellectual Property"). No proceedings have been instituted or are pending or, to the knowledge of SMHS, threatened that challenge the validity of the ownership by SMHS of such Intellectual Property. SMHS has not licensed anyone to use such Intellectual Property and has no knowledge of the use or the infringement of any such Intellectual Property by any other person. SMHS owns (or possesses adequate and enforceable licenses or other rights to use) all material Intellectual Property and all material computer software programs and similar systems used in the conduct of its business.

4.26 *Accounts Receivable and Notes Receivable.* All accounts receivable and notes receivable constituting a part of the Assets represent and constitute bona fide indebtedness owing to SMHS in respect of the Facilities for services actually performed or for goods or supplies actually provided in the amounts indicated on the Financial Statements with no known set-offs, deductions, compromises, or reductions (other than reasonable allowances for bad debts and contractual allowances in an amount consistent with historical policies and procedures of SMHS in respect of the Facilities and that are taken into consideration in the preparation of the Financial Statements). SMHS has made available to LHP Sub a complete and accurate aging report of all such accounts receivable and a schedule of all accounts receivable, whether recorded or

unrecorded, that have been assigned to collection agencies or are otherwise held or assigned for collection.

4.27 Experimental Procedures. SMHS has not performed or permitted the performance of any experimental or research procedures or studies involving patients in the Hospital not authorized and conducted in accordance with the procedures of the Institutional Review Board of the Hospital.

4.28 Compliance Program. SMHS has provided to LHP Sub a copy of the Hospital's current compliance program materials, including without limitation, all program descriptions, compliance officer and committee descriptions, ethics and risk area policy materials, training and education materials, auditing and monitoring protocols, reporting mechanisms, and disciplinary policies. Except as set forth in a writing delivered by SMHS to LHP Sub that specifically makes reference to this Section 4.28 or to the extent set forth on Schedule 4.28, SMHS in respect of the Facilities (a) is not a party to a Corporate Integrity Agreement with the Office of Inspector General of the United States Department of Health and Human Services, (b) has no reporting obligations pursuant to any settlement agreement entered into with any Government Entity, (c) to the knowledge of SMHS, has not been the subject of any government payer program investigation conducted by any federal or state enforcement agency within the past three (3) years, (d) has not been a defendant in any unsealed *qui tam*/False Claims Act litigation within the past three (3) years, (e) has not been served with or received, within the past three (3) years, any search warrant, subpoena, civil investigative demand, contact letter, or telephone or personal contact by or from any federal or state enforcement agency (except in connection with medical services provided to third parties who may be defendants or the subject of investigation into conduct unrelated to the operation of the health care businesses conducted by SMHS at the Facilities), and (f) has not received any complaints within the past three (3) years from employees, independent contractors, vendors, physicians, or any other person that would indicate that SMHS in respect of the Facilities has violated any law or regulation. Schedule 4.28 includes a description of each audit and investigation conducted by SMHS at the Facilities pursuant to its compliance program during the past three (3) years. For purposes of this Agreement, the term "compliance program" refers to provider programs of the type described in the compliance guidance published by the Office of Inspector General of the Department of Health and Human Services.

4.29 Partial Subsidiaries.

(a) Schedule 4.29 sets forth for each Partial Subsidiary (as defined herein): (i) its name and jurisdiction of incorporation or organization; (ii) the number of authorized shares of each class of its capital stock or other equity or non-equity interests; (iii) the number of issued and outstanding shares of each class of its capital stock or other equity or non-equity interests, the names of the holders thereof, and the number of shares or other equity or non-equity interests held by each such holder; (iv) the number of shares of its capital stock or other equity interests held in treasury; and (v) its directors and officers, general partners, or managers, as the case may be.

(b) Each Partial Subsidiary: (i) if it is a for profit or nonprofit corporation, is duly incorporated, validly existing, and in good standing under the laws of the state of its incorporation and is duly qualified and in good standing as a foreign corporation in the

jurisdiction of its principal place of business if not incorporated therein; (ii) if it is a limited liability company, is duly organized, validly existing, and, if applicable, in good standing under the laws of the state of its organization and is duly qualified and, if applicable, in good standing as a foreign limited liability company in the jurisdiction of its principal place of business if not organized therein; and (iii) if it is a partnership, trust, or other entity, is duly formed, validly existing, and, if applicable, in good standing in the jurisdiction of its principal place of business if not formed therein. To the knowledge of SMHS, each Partial Subsidiary has full corporate, limited liability company, partnership, trust, or other applicable power and authority and all licenses and permits (including authorizations to do business in any applicable state) necessary to carry on the businesses in which it is engaged and in which it presently proposes to engage, and to own and use the properties owned and used by it.

(c) SMHS has delivered to LHP Sub accurate and complete copies, as applicable, of the articles of incorporation, charter, bylaws, operating agreement, partnership agreement, or shareholders or membership agreement, as amended to date and in its possession, of each Partial Subsidiary. Except as set forth on Schedule 4.29 hereto, all of the issued and outstanding shares of capital stock or other equity or non-equity interests of each Partial Subsidiary that have been issued to SMHS have been duly authorized and are validly issued, fully paid, and nonassessable. To the knowledge of SMHS, none of the Partial Subsidiaries is in default under or in violation of any provision of its articles of incorporation, charter, bylaws, operating agreement, partnership agreement, or shareholders or membership agreement.

(d) Except as set forth on Schedule 4.29: to the knowledge of SMHS (i) there is no outstanding subscription, option, convertible or exchangeable security, preemptive right, warrant, call, or agreement (other than this Agreement) relating to the stock or other equity or non-equity interests of the Partial Subsidiaries or other obligation or commitment of any Partial Subsidiary to issue any shares of capital stock or other equity interests; and (ii) there are no voting trusts or other agreements, arrangements, or understandings applicable to the exercise of voting or any other rights with respect to any shares of Partial Subsidiary stock or other equity or non-equity interests. SMHS has good, marketable, and indefeasible title to all shares of the stock or other equity or non-equity interests of the Partial Subsidiaries set forth in Schedule 4.29 and, except as set forth on Schedule 4.29, has the absolute right to sell, assign, transfer, and deliver the same to the Company, free and clear of all claims, security interests, liens, pledges, charges, escrows, options, proxies, rights of first refusal, preemptive rights, mortgages, hypothecations, prior assignments, title retention agreements, indentures, security agreements, or any other limitation, encumbrance, or restriction of any kind.

(e) To the knowledge of SMHS, the Partial Subsidiaries do not control directly or indirectly or have any direct or indirect equity participation in any corporation, limited liability company, partnership, trust, or other business association.

(f) For purposes of this Agreement, the term “Partial Subsidiaries” means any and all corporations, partnerships, and limited liability companies in which SMHS or its Affiliates own or hold common stock, partnership interests, or membership interests amounting to less than 100% of the total outstanding common stock, partnership interests, or membership interests of such entity, and which common stock, partnership interests, or membership interests will be assigned by SMHS or its Affiliates to the Company as part of the Assets.

4.30 Full Disclosure. This Agreement, the Schedules hereto, and all Closing Documents (hereinafter defined) furnished and to be furnished to LHP Sub and its representatives by SMHS pursuant hereto, when taken in their entirety, do not and will not include any untrue statement of a material fact. Copies of all documents referred to in any Schedule hereto in the possession of SMHS have been delivered or made available to LHP Sub and its representatives and constitute true, correct, and complete copies thereof and include all amendments, exhibits, schedules, appendices, supplements, or modifications thereto or waivers thereunder. The term “Closing Documents” means those documents executed and delivered at the Closing pursuant to Section 3 above.

4.31 Knowledge. References in this Agreement to “SMHS’ Knowledge,” “Knowledge of SMHS”, and words of similar intent or effect mean and refer to (i) all matters with respect to which SMHS has received written notice, or (ii) the actual knowledge of the Chief Executive Officer, the Chief Financial Officer, the Vice President Patient Care Services, the Controller, and the Vice President Operations and Ambulatory Care of the Hospital, without independent investigation. No constructive or imputed knowledge shall be attributed to any such individual by virtue of any position held, relationship to any other person or legal entity, or other reason.

5. REPRESENTATIONS AND WARRANTIES OF LHP AND LHP SUB. As of the date hereof, and, when read in light of any Schedules that have been updated in accordance with the provisions of Section 13.1 hereof, as of the Closing Date, LHP and LHP Sub represent and warrant to SMHS and the Company the following:

5.1 Existence and Capacity. LHP Sub is a limited liability company, duly organized and validly existing in good standing under the laws of the State of Delaware. LHP Sub has the requisite power and authority to enter into this Agreement, to perform its obligations hereunder, and to conduct its business as now being conducted. LHP is a corporation, duly organized and validly existing in good standing under the laws of the State of Delaware. LHP has the requisite power and authority to enter into this Agreement, to perform its obligations hereunder, and to conduct its business as now being conducted.

5.2 Powers; Consents; Absence of Conflicts With Other Agreements, Etc. The execution, delivery, and performance by LHP Sub and LHP of this Agreement and all other agreements referenced herein, or ancillary hereto, to which LHP Sub or LHP is a party, and the consummation of the transactions contemplated herein by LHP Sub or LHP:

(a) are within its corporate powers, are not in contravention of law or of the terms of its organizational documents, and have been duly authorized by all appropriate corporate action;

(b) except as provided in Sections 7.1 and 7.2 below, do not require any approval or consent of, or filing with, any governmental agency or authority bearing on the validity of this Agreement that is required by law or the regulations of any such agency or authority;

(c) will neither conflict with, nor result in any breach or contravention of, or the creation of any lien, charge, or encumbrance under, any indenture, agreement, lease, instrument, or understanding to which it is a party or by which it is bound;

(d) will not violate any statute, law, rule, or regulation of any governmental authority to which it may be subject; and

(e) will not violate any judgment, decree, writ, or injunction of any court or governmental authority to which it may be subject.

5.3 Binding Agreement. This Agreement and all the other Closing Documents are and will constitute the valid and legally binding obligations of LHP Sub or LHP, respectively, and are and will be enforceable against LHP Sub or LHP, respectively, in accordance with the respective terms hereof and thereof, subject to (a) applicable bankruptcy, reorganization, insolvency, moratorium, and other laws affecting creditors' rights generally from time to time in effect and (b) limitations on the enforcement of equitable remedies..

5.4 The Company. The Company is a limited liability company, duly organized and existing in good standing under the laws of the State of Delaware and, if required by Connecticut state law, duly qualified and in good standing as a foreign limited liability company in the State of Connecticut. Each Company Entity is a limited liability company, duly organized and existing in good standing under the laws of the State of Delaware, and is duly qualified and in good standing as a foreign limited liability company in the State of Connecticut. The Company has the requisite power and authority to enter into this Agreement, to perform its obligations hereunder, and to conduct its business as now being conducted. Each Company Entity has the requisite power and authority to conduct its business as it is now being conducted. Neither the Company nor any Company Entity has transacted any business other than in connection with its formation or the execution of the documents contemplated by this Agreement.

5.5 Financial Statements. LHP has delivered to SMHS copies of unaudited financial statements as of _____, which conform to GAAP consistently applied, and audited financial statements for the fiscal years ended December 31, _____, which have been prepared in accordance with GAAP, applied on a consistent basis throughout the periods indicated. Such financial statements present fairly the financial condition and the results of operations, as applicable, of LHP and LHP Sub for the periods indicated thereon.

5.6 Litigation or Proceedings. There are no claims, actions, suits, proceedings, or investigations pending or, to LHP's knowledge, threatened, that: (a) adversely affect or seek to prohibit, restrain, or enjoin the execution and delivery of this Agreement; (b) adversely affect or question the validity or enforceability of this Agreement; (c) question the power or authority of LHP and LHP Sub to carry out the transactions contemplated by, or to perform its obligations under, this Agreement; or (d) would result in any change that would adversely affect in any material respect the ability of LHP and LHP Sub to perform any of its obligations hereunder.

5.7 Financing. LHP Sub will have and will apply at the time of Closing sufficient cash or other immediately available funds necessary to enable LHP Sub to consummate the transactions contemplated hereby in accordance with the terms hereof.

6. COVENANTS OF SMHS PRIOR TO CLOSING. Between the date of this Agreement and the Closing:

6.1 Information. SMHS shall afford to the officers and authorized representatives and agents (which shall include accountants, attorneys, bankers, and other consultants) of LHP Sub full and complete access to and the right to inspect the plants, properties, books, and records of the Facilities, and will furnish LHP Sub with such additional financial and operating data and other information as to the business and properties of SMHS pertaining to the Facilities as LHP Sub may from time to time reasonably request without regard to where such information may be located. LHP Sub's right of access and inspection shall be exercised in such a manner as not to interfere unreasonably with the operations of the Facilities and the delivery of patient care. LHP Sub agrees that no inspections shall take place and no employees or other personnel of the Facilities shall be contacted by LHP Sub without LHP Sub's first providing reasonable notice to SMHS and coordinating such inspection or contact with SMHS.

6.2 Operations. SMHS shall, with respect to the Assets:

(a) carry on its business pertaining to the Facilities in substantially the same manner as presently conducted and not make any material change in personnel, operations, finance, accounting policies, or real or personal property pertaining to the Facilities;

(b) maintain the Facilities and all parts thereof in good operating condition, ordinary wear and tear excepted;

(c) perform all of its material obligations under agreements relating to or affecting the Facilities or the Assets;

(d) keep in full force and effect present insurance policies or other comparable insurance pertaining to the Facilities; and

(e) use its best efforts to maintain and preserve its business organizations intact, retain its present employees at the Facilities, and maintain its relationships with physicians, suppliers, customers, and others having business relations with the Facilities.

6.3 Negative Covenants. SMHS shall not, with respect to the business or operation of the Facilities or otherwise regarding the Assets, without the prior written consent of LHP Sub:

(a) amend or terminate any of its Contracts, enter into any contract or commitment, or incur or agree to incur any liability, except as provided herein or in the ordinary course of business and in no event greater than Seventy-Five Thousand Dollars (\$75,000) per item;

(b) enter into any contract or commitment with physicians or other referral sources;

(c) increase compensation payable or to become payable or make any bonus payment to or otherwise enter into one or more bonus agreements with any employee at the Facilities, except increases in compensation or bonus payments or agreements that (i) do not exceed the greater of five percent (5%) of the affected employee's existing compensation or

Seventy-Five Thousand Dollars (\$75,000) and (ii) are otherwise made in the ordinary course of business in accordance with existing personnel policies;

(d) create, assume, or permit to exist any new debt, mortgage, pledge, or other lien or encumbrance upon any of the Assets in an amount in excess of Seventy-Five Thousand Dollars (\$75,000), whether now owned or hereafter acquired;

(e) acquire (whether by purchase or lease) or sell, assign, lease, or otherwise transfer or dispose of any property, plant, or equipment having a net book value in excess of Seventy-Five Thousand Dollars (\$75,000), except in the ordinary course of business;

(f) purchase capital assets other than in accordance with the approved capital budget of SMHS previously provided to LHP Sub;

(g) Add, modify, or discontinue the provision of any material clinical service by the Facilities, open a new location for the provision of any material clinical service, or close the location at which any such material clinical service is currently provided;

(h) Hire or terminate the employment of any employee of the Facilities at the level of manager or higher (including, without limitation, any officer of the Facilities); or

(i) take any action outside the ordinary course of business of the Facilities or their related ancillary services.

6.4 Governmental Approvals. SMHS shall (i) use its commercially reasonable efforts to obtain all governmental approvals (or exemptions therefrom) necessary or required to allow SMHS to perform its obligations under this Agreement; and (ii) assist and cooperate with LHP Sub and its representatives and counsel in obtaining all governmental consents, approvals, and licenses that LHP Sub deems necessary or appropriate and in the preparation of any document or other material that may be required by any governmental agency as a predicate to or as a result of the transactions contemplated herein.

6.5 FTC Notification. SMHS shall, if and to the extent required by law, file all reports or other documents required or requested of it by the Federal Trade Commission (“FTC”) or the United States Department of Justice (“Justice Department”) under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (“HSR Act”), and all regulations promulgated thereunder, concerning the transactions contemplated hereby, and comply promptly with any requests by the FTC or Justice Department for additional information concerning such transactions, so that the waiting period specified in the HSR Act will expire as soon as reasonably possible after the execution and delivery of this Agreement. SMHS agrees to furnish to LHP Sub such information concerning SMHS as LHP Sub needs to perform its obligations under Section 7.2 of this Agreement.

6.6 Additional Financial Information. Within two (2) business days after they are created (but in any event no later than fifteen (15) days following the end of each calendar month prior to Closing), SMHS shall deliver or cause to be delivered to LHP Sub true and complete copies of the unaudited balance sheets and the related unaudited statements of income (collectively, the “Interim Statements”) of, or relating to, SMHS in respect of the Facilities for each month then ended, together with a year-to-date compilation and the notes, if any, related

thereto, which presentation shall be true, correct, and complete in all material respects, shall have been prepared from and in accordance with the books and records of SMHS in respect of the Facilities, and shall fairly present the financial position and results of operations of SMHS in respect of the Facilities as of the date and for the period indicated, all in accordance with GAAP consistently applied, except that such financial statements need not include required footnote disclosures. To the extent permitted by law, SMHS shall notify LHP Sub in writing and shall keep LHP Sub informed of any unexpected emergency or other materially adverse unanticipated change in the business of any of the Facilities and of any governmental complaints, investigations, or adjudicatory proceedings (or governmental communications indicating that the same may be contemplated) or of any other such matter.

6.7 No-Shop Clause. SMHS agrees that, from and after the date of the execution and delivery of this Agreement by SMHS until the termination of this Agreement, SMHS will not, without the prior written consent of LHP Sub or except as otherwise permitted by this Agreement: (i) offer for sale the Assets (or substantially all of the Assets) or any ownership interest in any entity owning any of the Assets, (ii) solicit offers to buy all or substantially all of the Assets or any ownership interest in any entity owning any of the Assets, (iii) hold discussions with any party (other than LHP Sub) looking toward such an offer or solicitation or looking toward a merger or consolidation of any entity owning any of the Assets, or (iv) enter into any agreement with any party (other than LHP Sub) with respect to the sale or other disposition of the Assets (or substantially all of the Assets) or any ownership interests in any entity owning any of the Assets or with respect to any merger, consolidation, or similar transaction involving any entity owning any of the Assets. SMHS will promptly communicate to LHP Sub the substance of any inquiry or proposal concerning any such transaction.

6.8 Insurance Ratings. SMHS will take all action reasonably requested by LHP Sub to enable the Company to succeed to the Workers' Compensation and Unemployment Insurance ratings, and other ratings for insurance or other purposes established by SMHS for the Facilities. The Company shall not be obligated to succeed to any such ratings, except as the Company may elect to do so.

6.9 Tail Insurance. Prior to the Closing, SMHS shall, at its sole cost and expense, obtain "tail" insurance to insure against professional and general liabilities of the Facilities (including any physicians employed by the Facilities) relating to all periods prior to the Closing. The insurance shall have coverage levels equal to the current policies insuring SMHS. The purchase by SMHS of any such "tail" insurance shall in no way affect the calculation of Net Working Capital for purposes of this Agreement. In addition, where no such insurance is in place or available on commercially reasonable terms, SMHS shall fund and maintain loss reserves for a reasonable period, including self-insured retentions, in a dedicated trust account with funding levels reasonably acceptable to SMHS and LHP Sub.

6.10 Medical Staff Disclosure. SMHS shall deliver to LHP Sub a confidential written disclosure containing a brief description of all adverse actions taken against medical staff members or applicants in the past three (3) years that, to the knowledge of SMHS, could result in claims or actions against SMHS and that are not disclosed in the minutes of the meetings of the Medical Executive Committee of the Medical Staff of the Hospital, which have been provided to LHP Sub.

6.11 Efforts to Close. SMHS shall use its commercially reasonable efforts to proceed toward the Closing and to satisfy the conditions to Closing, consistent with the other terms contained herein. SMHS shall notify LHP Sub as soon as practicable of any event or matter that comes to its attention that may reasonably be expected to prevent or materially delay the conditions to the obligations of SMHS being met.

6.12 Satisfaction of Bond Obligations. At its sole cost and expense, SMHS shall do all things necessary, desirable, and appropriate to cause the complete and valid payment or, if necessary, defeasance of its obligations under that certain Master Trust Indenture, Open End Mortgage and Security Agreement dated as of May 1, 1997, by and between St. Mary's Hospital Corporation and Fleet National Bank, as Master Trustee (the "Master Trust Indenture"), which secures the Connecticut Health and Education Facilities Authority's Revenue Bonds, St. Mary's Hospital Issue, and Series E, such that all liens and mortgages secured by the Master Trust Indenture shall be released, in accordance with Section 13 of the Master Trust, at the time of the Closing.

7. COVENANTS OF LHP SUB PRIOR TO CLOSING. Between the date of this Agreement and the Closing:

7.1 Governmental Approvals. LHP Sub shall (i) use its commercially reasonable efforts to obtain all governmental approvals (or exemptions therefrom) necessary or required to allow LHP Sub to perform its obligations under this Agreement, and (ii) assist and cooperate with SMHS and its representatives and counsel in obtaining all governmental consents, approvals, and licenses that SMHS deems necessary or appropriate and in the preparation of any document or other material that may be required by any governmental agency as a predicate to or as a result of the transactions contemplated herein.

7.2 FTC Notification. LHP Sub shall, if and to the extent required by law, file all reports or other documents required or requested of it by the FTC or the Justice Department under the HSR Act, and all regulations promulgated thereunder, concerning the transactions contemplated hereby, and comply promptly with any requests by the FTC or Justice Department for additional information concerning such transactions, so that the waiting period specified in the HSR Act will expire as soon as reasonably possible after the execution and delivery of this Agreement. LHP Sub agrees to furnish to SMHS such information concerning LHP Sub as SMHS needs to perform its obligations under Section 6.5 of this Agreement.

7.3 Title Commitment. LHP Sub shall obtain, at its sole cost and expense, a current title commitment (the "Title Commitment") issued by Land Services USA (the "Title Company"), together with legible copies of all exceptions to title referenced therein. The Title Commitment shall set forth the state of title to the Real Property, together with all exceptions or conditions to such title, including, without limitation, all easements, restrictions, rights-of-way, covenants, reservations, and all other encumbrances affecting the Real Property that would appear in an owner's title policy, if issued. The Title Commitment shall contain the express commitment of the Title Company to issue an Owner's Title Policy (the "Title Policy") to the Company in an amount equal to the amount being allocated by the parties to the Real Property insuring good and marketable title to the Real Property with the standard printed exceptions endorsed or deleted in accordance with Section 8.3 hereof. **[DISCUSS TIMING OF TITLE AND SURVEY REVIEW.]**

7.4 Surveys. SMHS shall deliver copies of all existing surveys of the Real Property to LHP Sub. LHP Sub shall obtain, at its sole cost and expense, current as-built surveys of the Real Property (the "Surveys"). The Surveys shall meet the requirements of an ALTA/ASCM survey and otherwise be in form and detail satisfactory to LHP Sub. Unless otherwise agreed by LHP Sub, the Surveys shall (i) be currently dated; (ii) show the location on the Real Property of all improvements, fences, evidences of abandoned fences, lakes, ponds, creeks, streams, rivers, easements, roads, and rights-of-way; (iii) identify all easements and rights-of-way by reference to the recording information applicable to the documents creating such easements or rights-of-way; (iv) show any encroachments onto the Real Property from any adjacent property, any encroachments from the Real Property onto adjacent property, and any encroachments into any easement or restricted area within the Real Property; (v) locate all existing improvements (such as buildings, power lines, fences, and the like); (vi) locate all dedicated public streets or other roadways providing access to the Real Property, including all curb cuts and all alleys; (vii) locate all set-back lines and similar restrictions covering the Real Property or any part thereof and any violations of such restrictions; and (viii) show thereon a legal description of the boundaries of the Real Property by metes and bounds or other appropriate legal description. Each Survey shall contain the surveyor's certification to the Company, LHP Sub, SMHS, and the Title Company that (i) the Survey was made on the ground; (ii) there are no visible or recorded easements, discrepancies, conflicts, encroachments, or overlapping of improvements except as shown on the Survey; (iii) the Survey correctly shows all visible or recorded easements or rights of way across the Real Property or any other easements or rights of way of which the surveyor has been advised, including, without limitation, those matters affecting title reflected in the Title Commitment; (iv) the Survey correctly shows the location of all buildings, structures, and other improvements situated on the Real Property; (v) the Survey conforms to all applicable minimum guidelines for surveys of comparable property as set forth in applicable laws, regulations, or professional standards; (vi) all streets abutting the Real Property and all means of ingress to and egress from the Real Property have been completed, dedicated, and accepted for public maintenance by the relevant municipal body; (vii) except as shown thereon, the Real Property is not located within the 100 year flood plain or other flood hazard area; (viii) the Survey is a true, correct, and accurate representation of the Real Property; and (ix) such other matters as may be required by the Title Company to allow it to issue the Title Policy.

7.5 Efforts to Close. LHP Sub shall use its commercially reasonable efforts to proceed toward the Closing and to satisfy the conditions to Closing, consistent with the other terms contained herein. LHP Sub shall notify SMHS as soon as practicable of any event or matter that comes to the attention of LHP Sub that may reasonably be expected to prevent or materially delay the conditions to LHP Sub's obligations being met.

8. CONDITIONS PRECEDENT TO OBLIGATIONS OF LHP SUB. Notwithstanding anything herein to the contrary, the obligations of LHP Sub to consummate the transactions described herein are subject to the fulfillment, on or prior to the Closing Date, of the following conditions precedent unless (but only to the extent) waived in writing by LHP Sub at the Closing:

8.1 Representations/Warranties. The representations and warranties of SMHS contained in this Agreement shall be true in all material respects when made and, when read in light of any Schedules that have been updated in accordance with the provisions of Section 13.1 hereof, as of the Closing Date as though such representations and warranties had been made on and as of such Closing Date. Each and all of the terms, covenants, and conditions of this

Agreement to be complied with or performed by SMHS and SMHS on or before the Closing Date pursuant to the terms hereof shall have been duly complied with and performed in all material respects.

8.2 Pre-Closing Confirmations. LHP Sub shall have obtained documentation or other evidence satisfactory to LHP Sub in its reasonable discretion that each of LHP Sub and the Company has:

(a) Received satisfactory unconditional approval from all Government Entities whose approval is required to complete the transactions herein contemplated;

(b) Received confirmation from all applicable licensure agencies that, upon the Closing, either (i) all licenses required by law to operate the Facilities as currently operated will be transferred to, or issued or reissued in the name of, the Company, or (ii) the Company will be permitted to operate the Facilities as currently operated from and after the Closing until such time as all appropriate licenses are issued or reissued in the name of the Company;

(c) Obtained reasonable assurances that Medicare and Medicaid certification of the Facilities for their operation by the Company will be effective as of the Closing and that the Company may participate in and receive reimbursement from such programs effective as of the Closing;

(d) Reasonably assured itself that all waiting periods under the HSR Act have been terminated or expired; and

(e) Obtained such other consents and approvals as may be legally or contractually required for the consummation of the transactions described herein.

8.3 Title Policy. At the Closing, LHP Sub shall cause the Title Policy to be furnished to the Company by the Title Company. The Title Policy shall be issued on an ALTA Form 2006 Owner's Title Policy in an amount equal to the amount being allocated by the parties to the Real Property and shall insure to the Company good and marketable title to the Real Property subject only to (i) the Permitted Exceptions, and (ii) taxes for the current and subsequent years "not yet due and payable." The Title Policy shall have all standard and general exceptions deleted so as to afford full "extended form coverage" and shall contain such endorsements thereto as LHP Sub may reasonably require in connection with its review of the Title Commitment and the Survey that are consistent with the Connecticut Standards of Title.

8.4 Actions/Proceedings. No action or proceeding before a court or any other Government Entity, unless resolved, shall have been instituted to restrain or prohibit the transactions herein contemplated, and no Government Entity shall have taken any other action or made any request of any party hereto as a result of which LHP Sub reasonably and in good faith deems it inadvisable to proceed with the transactions hereunder.

8.5 Adverse Change. Since the date hereof, there has not occurred any event, change, or occurrence that, individually or together with any other event, change, or occurrence, would reasonably be expected to have a material adverse effect on the Assets (whether or not covered by insurance) or on the business, operations, results of operations, prospects, or condition (financial or otherwise) of the Facilities (a "Material Adverse Effect"); provided, however, that,

notwithstanding anything to the contrary contained in this Agreement, the following will be presumed not to constitute a Material Adverse Effect: (a) general economic or industry conditions generally applicable to hospitals or health care facilities within the United States; (b) changes or proposed changes to any law, reimbursement rates or policies of governmental entities; (c) requirements, reimbursement rates, policies, or procedures of third party payors or accreditation commissions or organizations that are generally applicable to hospitals or health care facilities within the United States; (d) state or federal statutory or regulatory changes that are generally applicable to hospitals or health care facilities within the United States; (e) changes in GAAP; (f) effects that are cured, or susceptible to cure without unreasonable effort, by SMHS; or (g) actions specifically required of the parties pursuant to this Agreement. The definition of "Material Adverse Effect" as set forth in this Section 8.5 shall be used solely for interpreting this Section 8.5 and not for interpreting the phrase "material adverse effect" or "material adverse change" as used in any other context elsewhere in this Agreement.

8.6 *Insolvency.* SMHS shall not (i) be in receivership or dissolution, (ii) have made any assignment for the benefit of creditors, (iii) have admitted in writing its inability to pay its debts as they mature, (iv) have been adjudicated a bankrupt, or (v) have filed a petition in voluntary bankruptcy, a petition or answer seeking reorganization, or an arrangement with creditors under the federal bankruptcy law or any other similar law or statute of the United States or any state, nor shall any such petition have been filed against SMHS.

8.7 *Opinion of Counsel to SMHS.* LHP Sub and the Company shall have received an opinion from counsel to SMHS dated as of the Closing Date and addressed to LHP Sub and the Company, in form and substance satisfactory to counsel for LHP Sub, covering the matters set forth in Exhibit F hereto.

8.8 *Consents to Assignments.* All consents, waivers, and estoppels of third parties that are reasonably necessary, in the opinion of LHP Sub, to complete effectively the transactions herein contemplated shall have been obtained and are in form and substance reasonably satisfactory to LHP Sub.

8.9 *Vesting/Recordation.* SMHS shall have furnished to the Company, in form and substance satisfactory to LHP Sub, assignments or other instruments of transfer and consents and waivers by others, necessary or appropriate to transfer to and effectively vest in the Company all right, title, and interest in and to the Assets, in proper statutory form for recording if such recording is necessary or appropriate.

8.10 *Asbestos Survey.* The Company shall have received a Report of Survey, Sampling, Assessments and Recommendations of Friable Asbestos-Containing Material relating to the Real Property and the Facilities, prepared by a firm acceptable to LHP Sub at the Company's sole cost and expense, and the scope, findings, and conclusions of such report shall have been satisfactory to LHP Sub.

8.11 *Environmental Report.* The Company shall have received a Report of a Preliminary Environmental Site Assessment of the Real Property and the Facilities, prepared by a firm acceptable to LHP Sub at the Company's sole cost and expense, and the scope, findings, and conclusions of such report shall have been satisfactory to LHP Sub.

8.12 Conditions for Asbestos Survey and Environmental Report. Prior to any entry onto the Facilities to conduct an asbestos survey or environmental site assessment in accordance with Sections 8.10 and 8.11, LHP Sub shall furnish a proposed scope of work to SMHS. LHP Sub shall require its contractors and subcontractors to provide certificates of insurance, including coverage in amounts acceptable to SMHS and naming SMHS as an additional insured. Such assessments or surveys shall not interfere with activities at the Facilities and shall be conducted during regular business hours. LHP Sub shall be responsible for collecting and disposing of any waste generated during the assessments or surveys in compliance with Environmental Laws. LHP Sub shall restore the Facilities to substantially the same physical condition that existed prior to LHP Sub's implementation of any environmental activities. LHP Sub shall provide to SMHS copies of any and all final reports of the assessments or surveys prior to the Closing Date.

8.13 Architectural and Structural Report. The Company shall have received an architectural and structural report prepared by a firm acceptable to LHP Sub, and the scope, findings, and conclusions of such report shall have been satisfactory to LHP Sub.

8.14 Due Diligence Investigation. LHP Sub shall have completed its due diligence investigation of the matters respecting the Facilities and the Assets set forth in Schedule 8.14 hereto, and the results of such investigation shall have been found satisfactory to LHP Sub.

8.15 Required Consents. The Company shall have received consents to the assignment to the Company or the applicable Company Entities of those certain contracts and leases set forth on Schedule 8.14 from the third parties to such contracts and leases, which consents are in a form reasonably acceptable to LHP Sub (the "Required Consents").

8.16 Closing Documents. All Closing Documents required by Section 3.2 shall have been delivered to LHP Sub or the Company, as applicable.

9. CONDITIONS PRECEDENT TO OBLIGATIONS OF SMHS. Notwithstanding anything herein to the contrary, the obligations of SMHS to consummate the transactions described herein are subject to the fulfillment, on or prior to the Closing Date, of the following conditions precedent unless (but only to the extent) waived in writing by SMHS at the Closing:

9.1 Representations/Warranties. The representations and warranties of LHP and LHP Sub contained in this Agreement shall be true in all material respects when made and, when read in light of any Schedules that have been updated in accordance with the provisions of Section 13.1 hereof, as of the Closing Date as though such representations and warranties had been made on and as of such Closing Date. Each and all of the terms, covenants, and conditions of this Agreement to be complied with or performed by LHP and LHP Sub on or before the Closing Date pursuant to the terms hereof shall have been duly complied with and performed in all material respects.

9.2 Governmental Matters. All material consents, authorizations, orders, and approvals of (or filings or registrations with) any Government Entity or other party required in connection with the execution, delivery, and performance of this Agreement shall have been obtained or made by LHP Sub and/or the Company when so required, except for any documents required to be filed, or consents, authorizations, orders, or approvals required to be issued, after the Closing Date.

9.3 Actions/Proceedings. No action or proceeding before a court or any other Government Entity, unless resolved, shall have been instituted or threatened to restrain or prohibit the transactions herein contemplated, and no Government Entity shall have taken any other action or made any request of any party hereto as a result of which SMHS reasonably and in good faith deems it inadvisable to proceed with the transactions hereunder.

9.4 Insolvency. Neither LHP nor LHP Sub shall (i) be in receivership or dissolution, (ii) have made any assignment for the benefit of creditors, (iii) have admitted in writing its inability to pay its debts as they mature, (iv) have been adjudicated a bankrupt, or (v) have filed a petition in voluntary bankruptcy, a petition or answer seeking reorganization, or an arrangement with creditors under the federal bankruptcy law or any other similar law or statute of the United States or any state, nor shall any such petition have been filed against either LHP or LHP Sub.

9.5 Canonical Approvals. SMHS shall have received all necessary canonical approvals.

9.6 Opinion of Counsel to LHP Sub. SMHS shall have received an opinion from counsel to LHP Sub dated as of the Closing Date and addressed to SMHS, in form and substance satisfactory to counsel for SMHS, covering the matters set forth in Exhibit G hereto.

9.7 Closing Documents. All Closing Documents required by Section 3.3 shall have been delivered to SMHS, SMHS, or the Company, as applicable.

10. COVENANT NOT TO COMPETE. SMHS hereby covenants that at all times from the Closing Date until the later to occur of (y) the fifth (5th) anniversary of the Closing Date or (z) the date three (3) years after SMHS or any Affiliate thereof ceases to be a member of the Company, SMHS and its Affiliates shall not, directly or indirectly, except as a member, consultant, or contractor to or of the Company (or any Affiliate of the Company), own, lease, manage, operate, control, or participate in any manner with the ownership, leasing, management, operation, or control of any business that offers services in competition with the Facilities, including but not limited to any acute care hospital, specialty hospital, rehabilitation facility, diagnostic imaging center, inpatient or outpatient psychiatric or substance abuse facility, ambulatory or other type of surgery center, nursing home, skilled nursing facility, home health or hospice agency, or physician clinic or physician medical practice, within a thirty-mile radius of the Hospital (the "Restricted Area"), without the Company's prior written consent (which the Company may withhold in its sole and absolute discretion); provided, however, that (i) SMHS and its Affiliates will not be precluded from participating in activities that promote health care services for residents of the communities historically served by SMHS and its Affiliates through the Hospital, including the following activities: development, ownership, and operation of indigent or charity care clinics and services; preventative care programs and services and educational programs; health screening services; and other similar services or programs intended to better serve the health care needs of the community's indigent population in the Restricted Area that are not directly competitive with services provided by the Company and (ii) SMHS and its Affiliates will not be precluded from participating in activities that are otherwise permitted by Section 10.1 of the LLC Agreement. In the event of a breach of this Section 10, SMHS recognizes that monetary damages shall be inadequate to compensate the Company and the Company shall be entitled, without the posting of a bond or similar security, to an injunction restraining such breach, with the costs (including attorneys' fees) of securing such injunction to

be borne by SMHS. Nothing contained herein shall be construed as prohibiting the Company from pursuing any other remedy available to it for such breach or threatened breach. All parties hereto hereby acknowledge the necessity of protection against the competition of SMHS and its Affiliates and that the nature and scope of such protection has been carefully considered by the parties. SMHS further acknowledges and agrees that the covenants and provisions of this Section 10 form part of the consideration under this Agreement and are among the inducements for LHP Sub and the Company entering into and consummating the transactions contemplated herein. The period provided and the area covered are expressly represented and agreed to be fair, reasonable, and necessary. The consideration provided for herein is deemed to be sufficient and adequate to compensate for agreeing to the restrictions contained in this Section 10. If, however, any court determines that the foregoing restrictions are not reasonable, such restrictions shall be modified, rewritten, or interpreted to include as much of their nature and scope as will render them enforceable.

11. ADDITIONAL AGREEMENTS.

11.1 Termination Prior to Closing. Notwithstanding anything herein to the contrary, this Agreement may be terminated at any time: (i) on or prior to the Closing Date by mutual consent of SMHS and LHP Sub; (ii) by LHP Sub by written notice to SMHS if any event occurs or condition exists that causes SMHS to be unable to satisfy one or more conditions to the obligations of LHP Sub to consummate the transactions contemplated by this Agreement as set forth in Section 8; (iii) by SMHS by written notice to LHP Sub if any event occurs or condition exists which causes LHP Sub to be unable to satisfy one or more conditions to the obligation of SMHS to consummate the transactions contemplated by this Agreement as set forth in Section 9; (iv) by SMHS or LHP Sub if the Closing Date shall not have taken place on or before January 31, 2012 (which date may be extended by mutual agreement of SMHS and LHP Sub); or (v) by either SMHS or LHP Sub pursuant to Section 13.1 hereof.

11.2 Post Closing Access to Information. SMHS and LHP Sub acknowledge that subsequent to Closing each party may need access to information or documents in the control or possession of the Company for the purposes of concluding the transactions herein contemplated, audits, compliance with governmental requirements and regulations, and the prosecution or defense of third party claims. Accordingly, SMHS, LHP Sub, and the Company agree that for a period of not less than ten (10) years after Closing the Company will make reasonably available to each party and their agents, independent auditors, counsel, and/or governmental agencies upon written request and at the expense of the requesting party such records (as defined in Section 11.3) as may be available relating to the Assets for periods prior and subsequent to Closing to the extent necessary to facilitate concluding the transactions herein contemplated, audits, compliance with governmental requirements and regulations, and the prosecution or defense of claims.

11.3 Preservation and Access to Records After the Closing. After the Closing, the Company shall, in the ordinary course of business and as required by law, keep and preserve in their original form all medical and other records of the Facilities that exist as of the Closing and constitute a part of the Assets delivered to the Company at the Closing. For purposes of this Agreement, the term "records" includes all documents, electronic data, and other compilations of information in any form. The Company acknowledges that as a result of entering into this Agreement and operating the Facilities it will gain access to patient and other information that is subject to rules and regulations regarding confidentiality. The Company agrees to abide by any

such rules and regulations relating to the confidential information it acquires. The Company agrees to maintain the patient records delivered to the Company at the Closing at the Facilities after Closing in accordance with applicable law (including, if applicable, Section 1861(v)(i)(I) of the Social Security Act (42 U.S.C. §1395(v)(1)(i)), the privacy requirements of the Administrative Simplification subtitle of HIPAA, and applicable state requirements with respect to medical privacy and requirements of relevant insurance carriers, all in a manner consistent with the maintenance of patient records generated at the Facilities after the Closing. Upon reasonable notice, during normal business hours, at the sole cost and expense of SMHS and upon the Company's receipt of appropriate consents and authorizations, the Company will afford to the representatives of SMHS, including its counsel and accountants, full and complete access to, and copies of, the records transferred to the Company at the Closing (including, without limitation, access to patient records in respect of patients treated by SMHS at the Facilities). Upon reasonable notice, during normal business hours and at the sole cost and expense of SMHS, the Company shall also make its officers and employees available to SMHS at reasonable times and places after the Closing. In addition, SMHS shall be entitled, at SMHS's sole risk, to remove from the Facilities copies of any such patient records, but only for purposes of threatened or pending litigation (including any administrative proceeding or investigation) involving a patient to whom such records refer, as certified in writing prior to removal by counsel retained by SMHS in connection with such litigation and only upon the Company's receipt of appropriate consents and authorizations. Any patient record so removed from the Facilities shall be promptly returned to the Company following its use by SMHS. Any access to the Facilities, its records, or the Company's personnel granted to SMHS in this Agreement shall be upon the condition that any such access not materially interfere with the business operations of the Company.

11.4 CON Disclaimer. This Agreement shall not be deemed to be an acquisition or obligation of a capital expenditure or of funds within the meaning of the certificate of need statute of any state, until the appropriate governmental agencies shall have granted a certificate of need or the appropriate approval or ruled that no certificate of need or other approval is required.

11.5 Tax and Medicare Effect. None of the parties (nor such parties' counsel or accountants) has made or is making any representations to any other party (nor such party's counsel or accountants) concerning any of the tax or Medicare effects of the transactions provided for in this Agreement, as each party hereto represents that each has obtained, or may obtain, independent tax and Medicare advice with respect thereto and upon which it, if so obtained, has solely relied.

11.6 Reproduction of Documents. This Agreement and all documents relating hereto, including, without limitation, (a) consents, waivers, and modifications that may hereafter be executed, (b) the documents delivered at the Closing, and (c) financial statements, certificates, and other information previously or hereafter furnished to SMHS, the Company, or LHP Sub, may, subject to the provisions of Section 13.10 hereof, be reproduced by SMHS, the Company, and LHP Sub by any photographic, photostatic, microfilm, micro-card, miniature photographic, or other similar process and SMHS, the Company, and LHP Sub may destroy any original documents so reproduced. SMHS, the Company, and LHP Sub agree and stipulate that any such reproduction shall be admissible in evidence as the original itself in any judicial, arbitral, or administrative proceeding (whether or not the original is in existence and whether or not such

reproduction was made by SMHS, the Company, or LHP Sub in the regular course of business) and that any enlargement, facsimile, or further reproduction of such reproduction shall likewise be admissible in evidence.

11.7 Cooperation on Tax Matters. Following the Closing, the parties shall cooperate fully with each other and shall make available to the other, as reasonably requested and at the expense of the requesting party, and to any taxing authority (to the extent required by law), all information, records, or documents in their possession relating to the Assets, the Facilities, and the Assumed Liabilities as is reasonably necessary for the preparation and filing of any Tax Return, claim for refund of Taxes, or other filings relating to Taxes, or in connection with any audit or other proceeding instituted by any taxing authority. In the case of any audit, examination, or other proceeding with respect to Taxes for which SMHS is or may be liable pursuant to this Agreement, the Company shall promptly inform SMHS, and the Company shall execute or cause to be executed powers of attorney or other documents necessary to enable SMHS to take all actions reasonably deemed necessary by SMHS with respect to such audit, examination, or proceeding to the extent such audit, examination, or proceeding may affect the amount of Taxes for which SMHS is liable pursuant to this Agreement. SMHS shall have the right to control any such audit, examination, or proceeding, and, if there is a reasonable basis therefor, to initiate any claim for refund, file any amended return, or take any other action that it deems appropriate with respect to such Taxes.

11.8 Cost Reports. SMHS, at its expense, shall prepare and timely file all terminating and other cost reports required or permitted by law to be filed under the Medicare and Medicaid or other third party payor programs and the State Health Agency for periods ending on or prior to the Closing Date, or as a result of the consummation of the transactions described herein (the "County Cost Reports"). The Company shall provide SMHS with access to all records and data necessary for completion of SMHS Cost Reports. The Company shall forward to SMHS any and all correspondence relating to SMHS Cost Reports within five (5) business days after receipt by the Company. The Company shall remit any receipts of funds relating to SMHS Cost Reports within ten (10) business days after receipt by the Company and shall forward to SMHS any demand for payments within three (3) business days after receipt by the Company. SMHS shall retain all rights to the SMHS Cost Reports including any amounts receivable or payable in respect of such reports or reserves relating to such reports. Such rights shall include the right to appeal any Medicare or Medicaid determinations relating to SMHS Cost Reports. SMHS shall retain the originals of SMHS Cost Reports, correspondence, work papers and other documents relating to SMHS Cost Reports; provided, however, that SMHS shall make certain that the Hospital retains copies of such cost reports, correspondence, work papers and other documents in order that they are available to the Company following the Closing Date.

11.9 Misdirected Payments, Etc. SMHS and the Company covenant and agree to remit, with reasonable promptness, to the other any payments received, which payments are on or in respect of accounts or notes receivable owned by (or are otherwise payable to) the other. In addition, and without limitation, in the event of a determination by any governmental or third-party payor that payments to SMHS or the Facilities resulted in an overpayment or other determination that funds previously paid by any program or plan to SMHS or the Facilities must be repaid, SMHS shall be responsible for repayment of said monies (or defense of such actions) if such overpayment or other repayment determination was for services rendered prior to the Closing Date, and the Company shall be responsible for repayment of said monies (or defense of

such actions) if such overpayment or other repayment determination was for services rendered after the Closing Date. In the event that, following Closing, the Company suffers any offsets against reimbursement under any third-party payor or reimbursement programs due to the Company, relating to amounts owing under any such programs by SMHS or any of its Affiliates, SMHS shall immediately upon written demand from the Company pay to the Company the amounts so billed or offset.

11.10 Employee Matters. As of the Closing Date, SMHS shall terminate all of its employees at the Facilities, and LHP Sub (or an Affiliate thereof) shall offer employment to all active employees in good standing as of the Closing Date who satisfy customary pre-employment screening procedures, in positions and at total compensation levels (taking into account wages and benefits) consistent in the aggregate with those then being provided by SMHS. Nothing herein shall be deemed to affect or limit in any way normal management prerogatives of LHP Sub or its Affiliate with respect to employees or to create or grant to any such employees third party beneficiary rights or claims of any kind or nature. In respect of the employees employed by LHP Sub or its Affiliate, and except as limited by the terms of applicable collective bargaining agreements, LHP Sub or its Affiliate shall provide such employees with regionally competitive employee benefits comparable to the benefits generally offered to employees of other hospitals owned and operated by LHP or its Affiliates and shall honor prior length of service for purposes of determining eligibility and vesting in its benefit plans; provided, however, that no such prior service credit need be given in respect of any new plan commenced or participated in by LHP or its Affiliates and generally applicable to other hospitals owned and operated by LHP or its Affiliates in which no prior service credit is given to or recognized for other plan beneficiaries. In extending such benefits, LHP or its Affiliate shall give such employees credit for the satisfaction of pre-existing condition limitations in its welfare benefit plans to the same extent that such employees have satisfied such limitations under the current welfare benefit plans of SMHS. LHP Sub or its Affiliate shall lease the employees to the Company on a "cost" basis. Notwithstanding anything to the contrary contained in this Section 11.10, neither LHP Sub nor any Affiliate thereof shall have any obligation to offer employment to, or continue to employ, any employee at the Facilities who has been excluded from participation in federal health care programs. Within the period of ninety (90) days before the Closing, SMHS shall not, and within the ninety (90) days following the Closing, LHP Sub or its Affiliate shall not: (1) permanently or temporarily shut down a single site of employment, or one or more facilities or operating units within a single site of employment, if the shutdown results in an employment loss during any thirty (30) day period at the single site of employment for fifty (50) or more employees, excluding any part-time employees; or (2) have a mass layoff at a single site of employment of at least thirty-three percent (33%) of the active employees and at least fifty (50) employees, excluding part-time employees. The terms "single site of employment," "operating unit," "employment loss," and "mass layoff" shall be defined as in the WARN Act. With respect to terminations of employees following the Closing, LHP Sub or its Affiliate shall be responsible for any notification required under the WARN Act.

11.11 Board of Trustees. The Company shall appoint a Board of Trustees for the Hospital, as provided in the LLC Agreement.

11.12 Uncompensated Care Policies. The Company shall operate the Hospital in accordance with the "community benefit standards" set forth in Revenue Ruling 69-545, including, without limitation, the (i) acceptance of all Medicare and Medicaid patients,

(ii) acceptance of all emergency patients without regard to ability to pay, (iii) maintenance of an open medical staff, (iv) provision of public health programs of educational benefit to the community, and (v) general promotion of public health, wellness, and welfare to the community through the provision of healthcare at a reasonable cost. In that regard, as of the Closing, the Company shall adopt at the Facilities and abide by the policy for the provision of uncompensated care attached hereto as Schedule 11.12. The Company shall cause the Hospital to treat any patient presented to the emergency room in accordance with the “community benefit standards” as described above and to remain eligible to participate in the Medicare and Medicaid programs. This covenant shall be subject in all respects to changes in governmental law, policy, or regulation.

11.13 Medical Staff Matters. Without the consent of the medical staff of the Hospital, there will be no change or modification to the current staff privileges for physicians on the medical staff of the Hospital or the individuals currently serving as medical staff officers or clinical service chiefs as a result of the acquisition of the Assets by the Company; provided, however, that the consummation of the transactions contemplated hereby will not limit the ability of the Board of Trustees or medical executive committee of the Hospital to grant, withhold, or suspend medical staff appointments or clinical privileges, or appoint medical staff officers or clinical service chiefs, in accordance with the terms and provisions of the medical staff bylaws. The Company shall adopt the current medical staff bylaws, rules and regulations, medical staff committee structure, credentialing plan, and fair hearing plan of the Hospital as the medical staff bylaws, rules and regulations, medical staff committee structure, credentialing plan, and fair hearing plan of the Hospital following the Closing, except to the extent that any modifications thereof are required to comply with Joint Commission or other accreditation standards or legal or regulatory requirements, and except to the extent that modifications thereto may be proposed to or by the medical staff and agreed to by the Company.

11.14 Use of Controlled Substance Permits. To the extent permitted by applicable law, the Company Entities shall have the right, for a period not to exceed one hundred twenty (120) days following the Closing Date, to operate under the licenses and registrations of SMHS relating to controlled substances and the operations of pharmacies and laboratories, until the Company Entities are able to obtain their own such licenses and registrations. In furtherance thereof, SMHS shall execute and deliver to the Company Entities at or prior to the Closing limited powers of attorney substantially in the form of Exhibit H hereto. The Company Entities shall apply for all such permits as soon as reasonably practicable before and after the Closing Date and shall diligently pursue such applications. The Company Entities shall also indemnify and hold SMHS harmless from and against all claims, damages, losses, and other costs incurred, or required by law to be paid, resulting in whole or in part from the use of such permits by the Company Entities following the Closing.

11.15 Maintenance of Catholic Identity. The Company’s operations shall be conducted in conformity with the Ethical and Religious Directives for Catholic Health Care Services, as promulgated from time to time by the United States Conference of Catholic Bishops and interpreted by the Archbishop of Hartford, as they apply to the provision of health care services (the “Directives”). In addition, for so long as SMHS maintains an ownership interest in the Company, SMHS or its direct or indirect successor so requests, and the Archbishop of Hartford so permits, the Company shall maintain a Catholic identity with respect to the Facilities and in that regard hereby agrees as follows:

(a) To continue to operate the Hospital as a Catholic health care facility in accordance with the moral, ethical, and social teachings of the Roman Catholic Church as expressed in the Directives as interpreted by the Archbishop of Hartford and to cause the Hospital to comply in all respects with and observe the Directives;

(b) To maintain a Pastoral Care Director position and Pastoral Care Department having staffing equivalent to that at the Hospital as of the date hereof, the services of which will be made available to the Hospital's patients, their family members, health care professionals, and employees of all faiths and will be offered throughout the continuum of care provided by the Hospital;

(c) To maintain at the Hospital the existing chapel or a chapel substantially similar thereto as a place of Catholic worship that shall be maintained in at least its current condition, wear and tear only excepted;

(d) Subject to the terms of the License Agreement, to maintain appropriate signage and other symbols of Catholic identity, both within and on the external walls of the Hospital; **[SUBJECT TO REVIEW OF THE LICENSE AGREEMENT]**

(e) To cause the Hospital to continue to provide community benefit programs and services to improve access to health care services in its community and to improve the health status of the elderly, poor, immigrant,, and other at-risk populations in such community, with such programs and services to include the provision of free care, mission and pastoral care programs, and community benefit programs consistent with the terms of this Agreement;

(f) To cause the Hospital to maintain an Ethics Committee with responsibility for the day-to-day monitoring of the Hospital's observance of the Directives and other ethics-related matters; and

(g) Subject to the terms of the License Agreement, to continue to operate the Hospital under the name "Saint Mary's Hospital" or such other name as permitted by the Archbishop, as a symbol of its Catholic identity. **[SUBJECT TO REVIEW OF THE LICENSE AGREEMENT]**

11.16 Phase II Subsurface Investigation Report. Prior to Closing, SMHS shall commission, at its sole cost and expense, and receive a Phase II Subsurface Investigation Report for the Facilities and the Real Property, along with proposed estimates for future investigation and remediation activities. SMHS, at its sole discretion, may terminate this Agreement if such proposed estimates for future investigation and remediation exceed \$ _____.

11.17 Connecticut Transfer Act. This transaction is subject to the Transfer Act. Accordingly, SMHS shall prepare a Transfer Act Form III and the accompanying ECAF for the Hospital to satisfy the requirements of the Transfer Act in connection with the transaction contemplated herein. Copies of the Form III and the ECAF will be provided to the Company at least thirty (30) days prior to the Closing Date so that the Company may review and provide reasonable comments. SMHS shall sign the Form III as the "Transferor" and SMHS or its designee shall sign the Form III as the "Certifying Party" responsible for completing all the required environmental investigation and remediation at the Hospital in accordance with the

Transfer Act, the Connecticut Remediation Standard Regulations, and other applicable Environmental Laws. The Company shall sign the Form III as the “Transferee. SMHS shall furnish copies of the Form III and the ECAF to the Company prior to the Closing Date for the Company’s execution. Within ten (10) days after the Closing Date, SMHS shall (i) file the fully executed Form III and the ECAF with the Connecticut Department of Environmental Protection (“CTDEP”); (ii) pay the initial \$3,000 filing fee and any and all subsequent Transfer Act fees; and (iii) provide written confirmation to the Company that the Transfer Act filing has been completed (with a copy of such filing). SMHS or its designee shall conduct and complete any actions required by the CTDEP as a result of the filing of the Form III and the ECAF, as necessary to comply with the requirements of the Transfer Act to obtain written confirmation from CTDEP or a “verification” from a “Licensed Environmental Professional” that the Hospital has been remediated in full compliance with the Connecticut Remediation Standard Regulations. All undefined terms in this Section 11.16 shall have the meanings set forth in the Transfer Act.

12. INDEMNIFICATION.

12.1 Indemnification by SMHS. Subject to the limitations set forth in Section 12.4 hereof, SMHS shall defend and indemnify and hold each of LHP Sub and the Company, and each of their respective affiliates, officers, directors, employees, agents, or independent contractors, wholly harmless from and against any and all losses, liabilities, damages, costs (including, without limitation, court costs and costs of appeal), and expenses (including, without limitation, reasonable attorneys’ fees) that such persons incur as a result of, or with respect to (i) any misrepresentation or breach of warranty by SMHS under this Agreement, (ii) any breach by SMHS of, or any failure by SMHS to perform, any covenant or agreement of, or required to be performed by, SMHS under this Agreement, (iii) any of the Excluded Liabilities, or (iv) any claim made by a third party with respect to the operation of the Facilities prior to the Effective Time.

12.2 Indemnification by LHP Sub. Subject to the limitations set forth in Section 12.4 hereof, LHP Sub shall defend and indemnify and hold each of SMHS and the Company, and each of their respective affiliates, officers, directors, employees, agents, or independent contractors, wholly harmless from and against any and all losses, liabilities, damages, costs (including, without limitation, court costs and costs of appeal) and expenses (including, without limitation, reasonable attorneys’ fees) that such persons incur as a result of, or with respect to (i) any misrepresentation or breach of warranty by LHP Sub under this Agreement or (ii) any breach by LHP Sub of, or any failure by LHP Sub to perform, any covenant or agreement of, or required to be performed by, LHP Sub under this Agreement.

12.3 Indemnification by the Company. Subject to the limitations set forth in Section 12.4 hereof, the Company shall defend and indemnify and hold each of LHP Sub and SMHS, and each of their respective officers, directors, employees, agents, or independent contractors, wholly harmless from and against any and all losses, liabilities, damages, costs (including, without limitation, court costs and costs of appeal), and expenses (including, without limitation, reasonable attorneys’ fees) that such persons incur as a result of, or with respect to (i) any breach by the Company of, or any failure by the Company to perform, any covenant or agreement of, or required to be performed by, the Company under this Agreement, (ii) any of the Assumed Liabilities, or (iii) any claim made by a third party with respect to the operation of the Facilities following the Effective Time.

12.4 Limitations. SMHS and LHP Sub shall be liable under Section 12.1(i) or Section 12.2(i) (i.e., for misrepresentations and breaches of warranties), as applicable, only when total indemnification claims exceed One Million Seven Hundred Fifty Thousand Dollars (\$1,750,000), after which SMHS or LHP Sub, as applicable, shall be liable for all such claims beginning with the first dollar of claims. No party shall be liable for any indemnification pursuant to Section 12.1(i) or Section 12.2(i), as applicable, for any claims for misrepresentations and breaches of warranty that are the basis upon which any other party shall have failed to consummate the transactions described herein pursuant to Section 8.1 or Section 9.1, as applicable, or that are based upon misrepresentations and breaches of warranty that have been waived pursuant to the initial paragraph of Section 8 or Section 9, as applicable. The liability of SMHS and LHP Sub for indemnification under Section 12.1(i) or Section 12.2(i), respectively, shall be limited to an amount equal to Forty Million Dollars (\$40,000,000). Notwithstanding anything to the contrary, the limitations contained in this Section 12.4 shall not apply to any indemnification claims arising under Section 12.1(i) or Section 12.2(i) as a result of the intentional misrepresentation or fraud of SMHS or LHP Sub, respectively.

12.5 Notice and Control of Litigation. If any claim or liability is asserted in writing by a third party against a party entitled to indemnification under this Section 12 (the "Indemnified Party") that would give rise to a claim under this Section 12, the Indemnified Party shall notify the person giving the indemnity (the "Indemnifying Party") in writing of the same within fifteen (15) days of receipt of such written assertion of a claim or liability. The Indemnifying Party shall have the right to defend a claim and control the defense, settlement, and prosecution of any litigation. If the Indemnifying Party, within ten (10) days after notice of such claim, fails to defend such claim, the Indemnified Party shall (upon further notice to the Indemnifying Party) have the right to undertake the defense, compromise, or settlement of such claim on behalf of and for the account and at the risk of the Indemnifying Party, subject to the right of the Indemnifying Party to assume the defense of such claim at any time prior to settlement, compromise, or final determination thereof. Anything in this Section 12.5 notwithstanding, (i) if there is a reasonable probability that a claim may materially and adversely affect the Indemnified Party other than as a result of money damages or other money payments, the Indemnified Party shall have the right, at its own cost and expense, to defend, compromise, and settle such claim, and (ii) the Indemnifying Party shall not, without the written consent of the Indemnified Party, settle or compromise any claim or consent to the entry of any judgment that does not include as an unconditional term thereof the giving by the claimant to the Indemnified Party of a release from all liability in respect of such claim. The foregoing rights and agreements shall be limited to the extent of any requirement of any third-party insurer or indemnitor. All parties agree to cooperate fully as necessary in the defense of such matters. Should the Indemnified Party fail to notify the Indemnifying Party in the time required above, the indemnity with respect to the subject matter of the required notice shall be limited to the damages that would have resulted absent the Indemnified Party's failure to notify the Indemnifying Party in the time required above after taking into account such actions as could have been taken by the Indemnifying Party had it received timely notice from the Indemnified Party.

12.6 Notice of Claim. If an Indemnified Party becomes aware of any breach of the representations or warranties of the Indemnifying Party hereunder or any other basis for indemnification under this Section 12 (except as otherwise provided for under Section 12.5), the Indemnified Party shall notify the Indemnifying Party in writing of the same within forty-five

(45) days after becoming aware of such breach or claim, specifying in detail the circumstances and facts that give rise to a claim under this Section 12. Should the Indemnified Party fail to notify the Indemnifying Party within the time frame required above, the indemnity with respect to the subject matter of the required notice shall be limited to the damages that would have nonetheless resulted absent the Indemnified Party's failure to notify the Indemnifying Party in the time required above after taking into account such actions as could have been taken by the Indemnifying Party had it received timely notice from the Indemnified Party.

13. MISCELLANEOUS.

13.1 Schedules and Other Instruments. Each Schedule and Exhibit to this Agreement shall be considered a part hereof as if set forth herein in full. From the date hereof until the Closing Date, SMHS or LHP Sub may update its Schedules, subject to the other party's approval rights described below. Any other provision herein to the contrary notwithstanding, all Schedules, Exhibits, or other instruments provided for herein and not delivered at the time of execution of this Agreement or that are incomplete at the time of execution of this Agreement shall be delivered or completed within ten (10) days after the date hereof or ten (1) days prior to the Closing, whichever is sooner. It shall be deemed a condition precedent to the obligations of the parties hereto that each of the Schedules, Exhibits, and related documents, instruments, books, and records shall meet with the approval of such parties. If a party, in its sole discretion, determines that it should not consummate the transactions contemplated by this Agreement because of any information contained in a Schedule, Exhibit, or other instrument that is delivered to such party after the execution of this Agreement, then such party may terminate this Agreement on or before the Closing by giving written notice thereof to the other party.

13.2 Additional Assurances. The provisions of this Agreement shall be self-operative and shall not require further agreement by the parties except as may be herein specifically provided to the contrary; provided, however, at the request of a party, the other party or parties shall execute such additional instruments and take such additional actions as the requesting party may deem necessary to effectuate this agreement. In addition and from time to time after Closing, SMHS shall execute and deliver such other instruments of conveyance and transfer, and take such other actions as LHP Sub and/or the Company reasonably may request, more effectively to convey and transfer full right, title, and interest to, vest in, and place the Company in legal and actual possession of, any and all of the Facilities and the Assets. SMHS shall also furnish the Company with such information and documents in its possession or under its control, or which SMHS can execute or cause to be executed, as will enable the Company or the Company Entities to prosecute any and all petitions, applications, claims, and demands relating to or constituting a part of the Facilities or the Assets. Additionally, SMHS shall cooperate and use its best efforts to have its present directors, officers, and employees cooperate with LHP Sub and/or the Company on and after Closing in furnishing information, evidence, testimony, and other assistance in connection with any action, proceeding, arrangement, or dispute of any nature with respect to matters pertaining to all periods prior to Closing in respect of the items subject to this Agreement.

13.3 Consented Assignment. Anything contained herein to the contrary notwithstanding, this Agreement shall not constitute an agreement to assign any claim, right, contract, license, lease, commitment, equity interest, sales order, or purchase order if an attempted assignment thereof without the consent of the other party thereto would constitute a

breach thereof or in any material way affect the rights of SMHS thereunder, unless such consent is obtained. Each of SMHS and LHP Sub shall use its best efforts to obtain any third party consents to the transactions contemplated by this Agreement. If such consent is not obtained, or if an attempted assignment would be ineffective or would materially affect the rights thereunder of SMHS so that the Company would not in fact receive all such rights, SMHS and the Company shall cooperate in good faith in any reasonable arrangement designed to provide for the Company the benefits under any such claim, right, contract, license, lease, commitment, equity interest, sales order, or purchase order, including, without limitation, enforcement of any and all rights of SMHS against the other party or parties thereto arising out of the breach or cancellation by such other party or otherwise.

13.4 Consents, Approvals, and Discretion. Except as herein expressly provided to the contrary, whenever this Agreement requires any consent or approval to be given by a party, or whenever a party must or may exercise discretion, the parties agree that such consent or approval shall not be unreasonably withheld or delayed and such discretion shall be reasonably exercised.

13.5 Legal Fees and Costs. In the event a party elects to incur legal expenses to enforce or interpret any provision of this Agreement by judicial proceedings, the prevailing party shall be entitled to recover such legal expenses, including, without limitation, reasonable attorneys' fees, costs, and necessary disbursements at all court levels, in addition to any other relief to which such party shall be entitled.

13.6 Choice of Law; Venue. The parties agree that this Agreement shall be governed by and construed in accordance with the laws of the State of Connecticut without regard to conflict of laws principles. Any dispute or proceeding arising out of or relating in any way to the subject matter of this Agreement shall be brought in any state or federal court having appropriate jurisdiction over the matter.

13.7 Benefit/Assignment. Subject to provisions herein to the contrary, this Agreement shall inure to the benefit of and be binding upon the parties hereto and their respective legal representatives, successors, and assigns. No party may assign this Agreement without the prior written consent of the other parties; provided, however, that any party may, without the prior written consent of the other parties, assign its rights and delegate its duties hereunder to one or more Affiliates (as defined in Section 13.18), but in such event, the assignor shall be required to remain obligated hereunder in the same manner as if such assignment had not been effected.

13.8 Brokerage Fees. Each party agrees to be solely liable for and obligated to satisfy and discharge all loss, cost, damage, or expense arising out of claims for fees or commissions of brokers employed or alleged to have been employed by such party.

13.9 Cost of Transaction. Whether or not the transactions contemplated hereby shall be consummated, the parties agree as follows: (i) SMHS shall pay the fees, expenses, and disbursements of SMHS and its agents, representatives, accountants, and legal counsel incurred in connection with the subject matter hereof and any amendments hereto, and (ii) LHP Sub shall pay the fees, expenses, and disbursements of LHP Sub and its agents, representatives, accountants, and legal counsel incurred in connection with the subject matter hereof and any amendments hereto.

13.10 Confidentiality. The Confidentiality Agreement entered into by the parties and dated August 7, 2009 shall remain in full force and effect during the term hereof and shall survive termination of this Agreement. It is understood by the parties hereto that the information, documents, and instruments delivered by a party to the other parties hereto are of a confidential and proprietary nature. LHP Sub and the Company shall comply with and recognize all confidentiality and non-disclosure requirements that apply to SMHS, specifically including the privacy requirements of the Administrative Simplification subtitle of HIPAA and state requirements and comply with all policies and safeguards relating to protected health information (as defined by federal regulations implementing HIPAA). LHP Sub and the Company shall comply with the policies adopted by SMHS in respect of the Facilities and the medical staff for access, use, and disclosure of protected health information. Each of the parties hereto agrees that both prior and subsequent to the Closing it will maintain the confidentiality of all such confidential information, documents, or instruments delivered to it by each of the other parties hereto or their agents in connection with the negotiation of this Agreement or in compliance with the terms, conditions, and covenants hereof and will only disclose such information, documents, and instruments to its duly authorized officers, members, directors, representatives, and agents (including consultants, attorneys, and accountants of each party) and applicable governmental authorities in connection with any required notification or application for approval or exemption therefrom. Each of the parties hereto further agrees that if the transactions contemplated hereby are not consummated, it will return all such documents and instruments and all copies thereof in its possession to the other parties to this Agreement. Each of the parties hereto recognizes that any breach of this Section 13.10 would result in irreparable harm to the other parties to this Agreement and their Affiliates (as defined in Section 13.18 below) and that therefore any party to this Agreement shall be entitled to an injunction to prohibit any such breach or anticipated breach, without the necessity of posting a bond, cash, or otherwise, in addition to all of its other legal and equitable remedies. Nothing in this Section 13.10, however, shall prohibit the use of such confidential information, documents, or information for such governmental filings as in the opinion of a party's counsel are required by law or governmental regulations or are otherwise required to be disclosed pursuant to applicable state law.

13.11 Public Announcements. The parties collectively agree that no party hereto shall release, publish, or otherwise make available to the public in any manner whatsoever any information or announcement regarding the transactions herein contemplated without the prior written consent of the other parties, except for information and filings reasonably necessary to be directed to governmental agencies to fully and lawfully effect the transactions herein contemplated or required in connection with securities and other laws.

13.12 Waiver of Breach. The waiver by any party of a breach or violation of any provision of this Agreement shall not operate as, or be construed to constitute, a waiver of any subsequent breach of the same or any other provision hereof.

13.13 Notice. Any notice, demand, or communication required, permitted, or desired to be given hereunder shall be deemed effectively given when personally delivered, when received by receipted overnight delivery, or five (5) days after being deposited in the United States mail, with postage prepaid thereon, certified or registered mail, return receipt requested, addressed as follows:

SMHS: _____

With a simultaneous copy to: _____

The Company: Southwest Connecticut Health System, LLC
c/o LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: Members

With a simultaneous copy to: LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: General Counsel

LHP Sub or LHP: LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: Chief Executive Officer

With a simultaneous copy to: LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: General Counsel

or to such other address, and to the attention of such other person or officer as any party may designate, with copies thereof to the respective counsel thereof as notified by such party.

13.14 Severability. In the event any provision of this Agreement is held to be invalid, illegal, or unenforceable for any reason and in any respect, such invalidity, illegality, or unenforceability shall in no event affect, prejudice, or disturb the validity of the remainder of this Agreement, which shall be and remain in full force and effect, enforceable in accordance with its terms.

13.15 Gender and Number. Whenever the context of this Agreement requires, the gender of all words herein shall include the masculine, feminine, and neuter, and the number of all words herein shall include the singular and plural.

13.16 Divisions and Headings. The divisions of this Agreement into sections and subsections and the use of captions and headings in connection therewith are solely for convenience and shall have no legal effect in construing the provisions of this Agreement.

13.17 Survival. All of the representations, warranties, covenants, and agreements made by the parties in this Agreement or pursuant hereto in any certificate, instrument, or document shall survive the consummation of the transactions in the manner described herein, and may be fully and completely relied upon by SMHS, LHP Sub, and the Company, as the case may be, notwithstanding any investigation heretofore or hereafter made by any of them or on behalf of any of them, and shall not be deemed merged into any instruments or agreements delivered at the Closing or thereafter. Notwithstanding anything in this Section 13.17 that may be to the contrary, any claim, demand, or cause of action with respect to a breach of any representation or warranty made in this Agreement (other than representations or warranties contained in Sections 4.1, 4.2, 4.3, 4.11, 4.29, 5.1, 5.2 and 5.3, which shall survive indefinitely, and the representations or warranties contained in Sections 4.7, 4.8, 4.12, 4.14, 4.15, and 4.22, which shall survive until the longer of five (5) years or 90 days after the expiration of the applicable statute of limitations relating to the underlying claim, including extensions and waivers), must be made or brought, if at all, within eighteen (18) months after the Closing Date. For the avoidance of doubt, this Section 13.17 shall not affect any rights to bring claims after eighteen (18) months based on (a) any covenant or agreement of the parties that contemplates performance after the Closing, (b) the obligations of SMHS under Section 12.1(ii), 12.1(iii) or 12.1(iv), (c) the obligations of LHP Sub under Section 12.2(ii), or (d) the obligations of the Company under Section 12.3(i), 12.3(ii) or 12.3(iii).

13.18 Dispute Resolution. The parties hereby agree that, prior to pursuing any other legal remedy, any controversy or claim arising out of this Agreement shall be resolved through the following procedures:

(a) In the event of a controversy or claim arising under this Agreement, either party may give the other party notice of such dispute pursuant to Section 13.13 hereof, and promptly thereafter the parties will jointly appoint a mutually acceptable mediator to mediate the dispute. If the parties are unable to agree on a mutually acceptable mediator within thirty (30) days after receipt of notice of a dispute, then the parties shall request assistance from the American Arbitration Association in finding a mutually acceptable mediator. Each party shall bear its own costs incurred in the mediation and shall bear one-half the costs and expenses of the mediator and any similar parties that may assist in the mediation.

(b) The parties agree to participate in good faith in the mediation and negotiations related thereto for a period of thirty (30) days, unless a longer period is otherwise agreed.

13.19 Affiliates. As used in this Agreement, the term “Affiliate” means, as to the entity in question, any person or entity that directly or indirectly controls, is controlled by, or is under common control with, the entity in question, and the term “control” means possession, directly or indirectly, of the power to direct or cause the direction of the management and policies of an entity, whether through ownership of voting securities, by contract, or otherwise.

13.20 Waiver of Jury Trial. EACH PARTY HERETO HEREBY IRREVOCABLY WAIVES ANY AND ALL RIGHTS IT MAY HAVE TO DEMAND THAT ANY ACTION, PROCEEDING, OR COUNTERCLAIM ARISING OUT OF OR IN ANY WAY RELATED TO THIS AGREEMENT OR THE RELATIONSHIPS OF THE PARTIES HERETO BE TRIED BY JURY. THIS WAIVER EXTENDS TO ANY AND ALL RIGHTS TO DEMAND A

TRIAL BY JURY ARISING FROM ANY SOURCE, INCLUDING, BUT NOT LIMITED TO, THE CONSTITUTION OF THE UNITED STATES OR ANY STATE THEREIN, COMMON LAW, OR ANY APPLICABLE STATUTE OR REGULATIONS. EACH PARTY HERETO ACKNOWLEDGES THAT IT IS KNOWINGLY AND VOLUNTARILY WAIVING ITS RIGHT TO DEMAND TRIAL BY JURY.

13.21 Accounting Date. The transactions contemplated hereby shall be effective for accounting purposes as of 12:01 a.m. on the Closing Date, unless otherwise agreed in writing by SMHS and LHP Sub. The parties will use commercially reasonable efforts to cause the Closing to be effective as of a month end.

13.22 No Inferences. Inasmuch as this Agreement is the result of negotiations between sophisticated parties of equal bargaining power represented by counsel, no inference in favor of, or against, either party shall be drawn from the fact that any portion of this Agreement has been drafted by or on behalf of such party.

13.23 No Third Party Beneficiaries. The terms and provisions of this Agreement are intended solely for the benefit of SMHS, LHP Sub, and the Company and their respective permitted successors or assigns, and it is not the intention of the parties to confer, and this Agreement shall not confer, third-party beneficiary rights upon any other person.

13.24 Enforcement of Agreement. The parties hereto agree that irreparable damage would occur in the event that any of the provisions of this Agreement was not performed in accordance with its specific terms or was otherwise breached. It is accordingly agreed that the parties shall be entitled to an injunction or injunctions to prevent breaches of this Agreement and to enforce specifically the terms and provisions hereof in any court of competent jurisdiction, this being in addition to any other remedy to which they are entitled at law or in equity.

13.25 Entire Agreement/Amendment. This Agreement supersedes all previous contracts or understandings, including any offers, letters of intent (including the letter of intent dated January 13, 2011), proposals, or letters of understanding, and constitutes the entire agreement of whatsoever kind or nature existing between or among the parties respecting the within subject matter, and no party shall be entitled to benefits other than those specified herein. As between or among the parties, no oral statements or prior written material not specifically incorporated herein shall be of any force and effect. The parties specifically acknowledge that in entering into and executing this Agreement, the parties rely solely upon the representations and agreements contained in this Agreement and no others. All prior representations or agreements, whether written or verbal, not expressly incorporated herein are superseded, and no changes in or additions to this Agreement shall be recognized unless and until made in writing and signed by all parties hereto. This Agreement may be executed in two (2) or more counterparts, each and all of which shall be deemed an original and all of which together shall constitute but one and the same instrument. This Agreement may not be amended other than by written instrument signed by the parties hereto.

13.26 LHP Guaranty. LHP hereby unconditionally and absolutely guarantees the prompt performance and observation by LHP Sub of each and every obligation, covenant, and agreement of LHP Sub arising out of, connected with, or related to, this Agreement or any ancillary documents hereto and any extension, renewal, and/or modification thereof. The

obligation of LHP under this Section 13.26 is a continuing guaranty and shall remain in effect, and the obligations of LHP shall not be affected, modified, or impaired upon the happening from time to time of any of the following events, whether or not with notice or consent of LHP:

(a) The compromise, settlement, release, change, modification, amendment (except to the extent of such compromise, settlement, release, change, modification, or amendment) of any or all of the obligations, duties, covenants, or agreements of any party under this Agreement or any ancillary documents hereto; or

(b) The extension of the time for performance of payment of money pursuant to this Agreement, or of the time for performance of any other obligations, covenants, or agreements under or arising out of this Agreement or any ancillary documents hereto or the extension or the renewal thereof.

13.27 Other Owners of Assets. The parties acknowledge that certain Assets may be owned by Affiliates of SMHS and not SMHS. Notwithstanding the foregoing, and for purposes of all representations, warranties, covenants, and agreements contained herein, SMHS agrees that (i) its obligations with respect to any Assets shall be joint and several with any Affiliate that owns or controls such Assets, (ii) the representations and warranties herein, to the extent applicable, shall be deemed to have been made by, on behalf of and with respect to, such Affiliates in their ownership capacity, and (iii) it has the legal capacity to cause, and it shall cause, any Affiliate that owns or controls any Assets to meet all of SMHS's obligations under this Agreement with respect to such Assets. SMHS hereby waives any defense to a claim made by LHP Sub under this Agreement based on the failure of any person who owns or controls the Assets to be a party to this Agreement.

13.28 Risk of Loss. Notwithstanding any other provision hereof to the contrary, the risk of loss in respect of casualty to the Assets shall be borne by SMHS prior to the time of Closing and by the Company thereafter.

13.29 Equipment Leases. In the event that certain of SMHS's equipment leases with respect to the business of the Facilities are determined to be capital leases rather than operating leases, then, at or following the Closing, the Company shall refinance those leases either (a) through LHP Sub on the same general economic terms and conditions as embodied in the underlying lease, or (b) through a third party lender on terms and conditions reflecting prevailing market rates.

SIGNATURE PAGE FOLLOWS

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed in multiple originals by their authorized officers, all as of the date first above written.

SAINT MARY'S HEALTH SYSTEM, INC.

By: _____

Title: _____

SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC

By: _____

Title: _____

LHP SOUTHWEST CONNECTICUT, LLC

By: _____

Title: _____

LHP HOSPITAL GROUP, INC.

By: _____

Title: _____

EXHIBIT 2

Amended and Restated Limited Liability Agreement

THE MEMBERSHIP INTERESTS IN SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, OR UNDER THE SECURITIES LAWS OF ANY STATE AND ARE BEING OFFERED AND SOLD IN RELIANCE ON EXEMPTIONS FROM THE REGISTRATION REQUIREMENTS OF SUCH ACTS. EXCEPT AS SPECIFICALLY OTHERWISE PROVIDED IN THIS AGREEMENT, THE INTERESTS MAY NOT BE SOLD, TRANSFERRED, PLEDGED, OR HYPOTHECATED WITHOUT REGISTRATION UNDER SUCH ACTS OR AN OPINION OF COUNSEL THAT SUCH TRANSFER MAY BE LEGALLY EFFECTED WITHOUT SUCH REGISTRATION. ADDITIONAL RESTRICTIONS ON TRANSFER AND SALE OF SUCH MEMBERSHIP INTERESTS ARE SET FORTH IN THIS AGREEMENT.

**AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT**

OF

**SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC
(a Delaware Limited Liability Company)**

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**AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT
OF SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC
(a Delaware Limited Liability Company)**

This Amended and Restated Limited Liability Company Agreement (the "Agreement") is entered into and effective as of the ___ day of _____, 2011, by and between **SAINT MARY'S HEALTH SYSTEM**, a Connecticut non-profit corporation ("SMHS"), and **LHP SOUTHWEST CONNECTICUT, LLC**, a Delaware limited liability company ("LHP Sub").

WITNESSETH

WHEREAS, Southwest Connecticut Health System, LLC, a Delaware limited liability company (the "Company"), was formed on _____, 2011 and is governed by a Limited Liability Company Agreement dated _____, 2011 (the "LLC Agreement"); and

WHEREAS, pursuant to the terms, and subject to the conditions, of that certain Contribution and Sale Agreement dated as of _____, 2011, among SMHS, the Company, LHP Hospital Group, Inc. ("LHP"), and LHP Sub (the "Contribution Agreement"), (i) SMHS will contribute to the capital of the Company certain assets in consideration for a 20% membership interest in the Company, and (ii) LHP Sub will contribute to the Company cash in consideration for an 80% membership interest in the Company; and

WHEREAS, in connection with the consummation of the transactions contemplated by the Contribution Agreement, SMHS and LHP Sub desire to amend and restate the LLC Agreement in its entirety; and

WHEREAS, SMHS and LHP Sub desire to enhance and improve the delivery of cost effective, quality health care services in the Company's service area and to offer more services to an increased population more efficiently and cost effectively.

NOW THEREFORE, in consideration of the mutual promises, covenants, and undertakings hereinafter contained, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the LLC Agreement is hereby amended and restated in its entirety to read as follows:

I. DEFINITIONS. As used herein, including Exhibit A attached hereto, the following terms have the following meanings:

1.1 "*Act*" means the Delaware Limited Liability Company Act, Delaware Code Annotated Section 18-101 et seq., as amended from time to time.

1.2 "*Additional Member*" means a Person who is admitted into the Company as a Member pursuant to the terms of Section 13.3 hereof.

1.3 "*Affiliate*" means, with respect to any Member, (i) any Person that directly or indirectly controls, is controlled by, or is under common control with, such Member, (ii) any

Person of which such Member owns fifty percent (50%) or more of the outstanding voting securities, (iii) any Person of which such Member is an officer, director, or general partner, or (iv) such Member's spouse, parent, sibling, child, or grandchild (whether any such relationship exists by reason of marriage, adoption, or otherwise). As used in this definition of "Affiliate," the term "control" means possession, directly or indirectly, of the power to direct or cause the direction of the management and policies of a Person whether through ownership of voting securities, by contract, or otherwise.

1.4 *"Affiliated Referring Providers"* has the meaning set forth in Section 10.3 hereof.

1.5 *"Agreement"* means this Amended and Restated Limited Liability Company Agreement of Southwest Connecticut Health System, LLC, as from time to time amended pursuant to Section 17.10 hereof.

1.6 *"Appraised Value of the Units"* has the meaning set forth in Section 14.6 hereof.

1.7 *"Approval of the Board" or "Approved by the Board"* means the vote, consent, or approval of not less than a majority of a quorum of the SMHS Directors and not less than a majority of a quorum of the LHP Directors (with the amount constituting a quorum in each such category to be determined from time to time by the directors therein as described in Section 12.3). Stated differently, Approval of the Board shall be determined through "block voting," such that, in order to have been Approved by the Board, an action must have received the vote, consent, or approval of a majority of a quorum of both the SMHS Directors (with the amount constituting a quorum of the SMHS Directors being determined solely by the SMHS Directors pursuant to Section 12.3) and the LHP Directors (with the amount constituting a quorum of the LHP Directors being determined solely by the LHP Directors pursuant to Section 12.3). Notwithstanding the foregoing, from and after either (i) the date upon which the SMHS Member sells, assigns, or transfers all or any portion of its Units to any Person other than a SMHS Affiliate or an LHP Affiliate or (ii) the first date upon which the aggregate Sharing Percentage of the SMHS Member(s) is less than twenty percent (20%), "Approval of the Board" shall mean the vote, consent, or approval of not less than a simple majority of the Board of Directors. Any vote, consent, or approval that constitutes the Approval of the Board shall constitute the action of the Board.

1.8 *"Approval of the Members" or "Approved by the Members"* means the vote, consent, or approval of the Members that hold at least ninety percent (90%) of the Units issued and outstanding at the time the proposed Company action is being considered for approval. Any vote, consent, or approval that constitutes the Approval of the Members shall constitute the action of the Members.

1.9 *"Bankruptcy"* means, as to any Member, the Member's taking or acquiescing to the taking of any action seeking relief under, or advantage of, any applicable debtor relief, liquidation, receivership, conservatorship, bankruptcy, moratorium, rearrangement, insolvency, reorganization, or similar law affecting the rights or remedies of creditors generally, as in effect from time to time. For purposes of this definition, the term "acquiescing" shall include, without limitation, the failure to file within the time specified by law an answer or opposition to any proceeding against such Member under any such law and a failure to file, within thirty (30) days

after its entry, a petition, answer, or motion to vacate or to discharge any order, judgment, or decree providing for any relief under any such law.

1.10 “*Board of Directors*” has the meaning set forth in Section 12.1 hereof.

1.11 “*Board of Trustees*” has the meaning set forth in Section 12.4 hereof.

1.12 “*Board Representatives*” has the meaning set forth in Section 17.1 hereof.

1.13 “*Capital Account*” has the meaning set forth in Section 4.3 hereof.

1.14 “*Capital Contribution*” means, as to any Member, the aggregate amount of cash and the aggregate Agreed Value (as defined in Exhibit A attached hereto) of tangible or intangible property contributed to the Company by the Member (net of any liabilities secured by such property that the Company is considered to assume or take subject to Section 752 of the Code).

1.15 “*Certificate*” means the Certificate of Formation of the Company, as amended from time to time.

1.16 “*Code*” means the Internal Revenue Code of 1986, as amended, or any successor thereto.

1.17 “*Company*” means Southwest Connecticut Health System, LLC, a Delaware limited liability company.

1.18 “*Company Purposes*” has the meaning set forth in Section 3.3 hereof.

1.19 “*Competing Business*” means any business that is located within the Restricted Area and offers services in competition with or similar to those offered by the Hospital, including without limitation any acute care hospital, specialty hospital, rehabilitation facility, diagnostic imaging center, inpatient or outpatient psychiatric facility, substance abuse facility, ambulatory or other type of surgery center, nursing home, skilled nursing facility, home health or hospice agency, or physician clinic or physician medical practice.

1.20 “*Consumer Price Index*” means the Consumer Price Index for All Urban Consumers - All Items (1982-84=100), published by the United States Bureau of Labor Statistics. In the event that such Index is discontinued or is so changed as not to reflect substantially the same information as it does in 2011, then the index to be used for these computations shall be that index then published by the United States Bureau of Labor Statistics that most clearly reflects the increase or decrease in consumer prices for the periods in question.

1.21 “*Contribution Agreement*” means that certain Contribution and Sale Agreement dated as of _____, 2011, by and among SMHS, the Company, LHP, and LHP Sub.

1.22 “*Distributable Cash*” means, with respect to the period in question, (i) the sum of (a) all cash receipts of the Company from all sources during such period (other than Capital Contributions and proceeds from loans to the Company) and (b) any reduction in Reserves established in prior periods, less (ii) the sum of (aa) all cash disbursements of the Company

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during such period, including without limitation, payments of debt service (including the payment of principal, premium, and interest), capital expenditures (other than capital expenditures funded with Capital Contributions and/or proceeds from loans to the Company), redemptions of Units in the Company pursuant to Section 736 of the Code, and disbursements by the Company on behalf of, or amounts withheld with respect to, Members of the Company in their capacity as Members, if any, (bb) provision for the payment of all outstanding and unpaid current or past due cash obligations of the Company, and (cc) Reserves.

1.23 “Hospital” means Saint Mary’s Hospital, a 347-licensed bed general acute care hospital located in Waterbury, Connecticut, together with certain other related health care facilities and assets.

1.24 “Joint Commission” means The Joint Commission, an independent, not-for-profit organization that accredits and certifies health care organizations and programs in the United States.

1.25 “LHP Affiliate” means any Affiliate of an LHP Member (other than a natural person) or LHP Parent.

1.26 “LHP Directors” means the members of the Board of Directors elected or appointed from time to time by the LHP Member.

1.27 “LHP Member” means LHP Sub and any LHP Affiliate or Affiliates who are Members from time to time.

1.28 “LHP Parent” means LHP Hospital Group, Inc., a Delaware corporation, and any successor in interest.

1.29 “LHP Sub” means LHP Southwest Connecticut, LLC, a Delaware limited liability company, and any successor in interest.

1.30 “Liability” has the meaning set forth in Section 17.1 hereof.

1.31 “Liquidator” means the Person who liquidates the Company under Article XVI hereof.

1.32 “Management Agreement” means the Management Agreement, of even date herewith, between the Manager or an Affiliate thereof and the Company.

1.33 “Manager” means the manager of the Company, which initially shall be LHP Management Services, LLC, a Delaware limited liability company.

1.34 “Material Dispute” means a dispute, disagreement, or difference of opinion between the SMHS Directors and the LHP Directors regarding any of the following items, as a result of which any such item fails to receive the Approval of the Board at two (2) consecutive meetings of the Board of Directors:

(i) The annual operating and capital budgets of the Company and any changes or amendments thereto;

(ii) Any proposal to add, modify, or terminate services at the Hospital;
and

(iii) Any proposal to incur additional indebtedness having a principal amount in excess of \$5,000,000 (other than debt that is specifically contemplated by the approved operating and capital budgets of the Company).

1.35 “*Member*” means the LHP Member or any LHP Affiliate that becomes a Member and the SMHS Member or any SMHS Affiliate that becomes a Member, and any Substituted Member or Additional Member, but excluding any Person who ceases to be a member of the Company pursuant to this Agreement. “*Members*” means, collectively, every Person who is a Member.

1.36 “*Non-Competition Period*” means (i) with respect to the LHP Member, the period beginning upon the date of this Agreement and ending upon the date three (3) years after the LHP Member ceases to be a Member of the Company, and (ii) with respect to the SMHS Member, the period beginning upon the date of this Agreement and ending upon the later to occur of (y) the fifth anniversary date of this Agreement or (z) the date three (3) years after the SMHS Member ceases to be a Member of the Company.

1.37 “*Offeror*” has the meaning set forth in Section 14.1 hereof.

1.38 “*Optional Capital Contribution*” has the meaning set forth in Section 4.2(b) hereof.

1.39 “*Person*” means any individual, partnership, corporation, trust, limited liability company, or other entity.

1.40 “*Primary Capital Contribution*” means, as to any Member, the amount of cash and the Agreed Value (as defined in Exhibit A attached hereto) of tangible or intangible property contributed to the Company by the Member (net of any liabilities secured by such property that the Company is considered to assume or take subject to Section 752 of the Code) pursuant to Section 4.1 of this Agreement, which amount is set forth opposite such Member’s name on the attached Exhibit B under the heading “Primary Capital Contribution.”

1.41 “*Reserves*” means an amount of cash established by the Manager on a quarterly basis to be held in reserve, and not distributed, for reasonably anticipated cash expenses, including without limitation any material losses, liabilities, damages, or costs and expenses (including without limitation reasonable attorneys’ fees and expenses) associated with any material contingent liability that the Company has suffered or is substantially likely to suffer or incur. In addition, Reserves includes amounts reasonably necessary to satisfy the budgeted capital needs of the Company, including without limitation those set forth in the capital budget of the Company. The policy of the Company shall be to maintain a maximum of ninety (90) days’ cash on hand in addition to any other reasonable reserve levels that may be deemed appropriate.

1.42 “*Restricted Area*” means the area located within a thirty-mile radius of the Hospital.

1.43 “*Right of First Refusal*” has the meaning set forth in Section 14.1 hereof.

1.44 *“Secondary Capital Contribution Promissory Note”* means, as to any Member, the non-interest bearing promissory note contributed to the Company by such Member in the amount set forth opposite such Member’s name on the attached Exhibit B under the heading “Secondary Capital Contribution Promissory Note,” and as further described in Section 4.2(b) of this Agreement.

1.45 *“Selling Member”* has the meaning set forth in Section 14.1 hereof.

1.46 *“Sharing Percentage”* means, as to a Member, the percentage obtained by dividing the aggregate number of Units owned by such Member by the aggregate number of Units owned by all Members. The Members hereby agree that their Sharing Percentages shall constitute their “interests in the Company profits” for purposes of determining their respective shares of the Company’s “excess nonrecourse liabilities” (within the meaning of Section 1.752-3(a)(3) of the Regulations). The Members’ Sharing Percentages shall be subject to adjustment from time to time in accordance with the provisions of this Agreement.

1.47 *“SMHS”* means Saint Mary’s Health System, Inc., a Connecticut non-profit corporation.

1.48 *“SMHS Affiliate”* means any Affiliate of the SMHS Member (other than a natural person).

1.49 *“SMHS Directors”* means the members of the Board of Directors elected or appointed from time to time by the SMHS Member.

1.50 *“SMHS Member”* means SMHS or any SMHS Affiliate who is a Member from time to time.

1.51 *“Substituted Member”* means any Person admitted to the Company as a Member pursuant to Section 13.2 hereof.

1.52 *“Standards”* has the meaning set forth in Section 3.2 hereof.

1.53 *“Treasury Regulations” or “Regulations”* means the regulations promulgated by the United States Department of the Treasury pursuant to and in respect of provisions of the Code. All references herein to sections of the Treasury Regulations or the Regulations shall include any corresponding provision or provisions of succeeding, similar, or substitute proposed, temporary, or final regulations.

1.54 *“Unit”* means a unit of undivided membership interest in the Company. Such interest includes any and all rights to which the Member holding such interest may be entitled as provided in this Agreement, together with all obligations of such Member to comply with the terms and provisions of this Agreement. All of a Member’s Units shall constitute such Member’s entire interest in the Company. The Company is authorized to issue fractional Units. Each Unit shall be deemed to have a value of \$100,000.

II. ORGANIZATION.

2.1 Formation. The Company has been formed pursuant to the Act by the filing of the Certificate with the Secretary of State of the State of Delaware on _____, 2011. Except as stated in the Act or the Certificate, this Agreement shall govern the rights and liabilities of the Members. Each Member's Primary Capital Contribution and Sharing Percentage as of the date hereof are set forth on Exhibit B hereto.

2.2 Name. The name of the Company is "Southwest Connecticut Health System, LLC" and the business of the Company shall be conducted under that name or such other name or names as may be Approved by the Board from time to time.

2.3 Principal Office. The principal office of the Company shall be located at 2800 North Dallas Parkway, Suite 200, Plano, Texas 75093, or at such other place or places as the Board of Directors may from time to time determine.

2.4 Term. The Company began on the date the Certificate was filed with the Secretary of State of the State of Delaware as provided in Section 2.1 hereof, and shall continue until the date on which the Company is dissolved pursuant to Article XV hereof and thereafter, to the extent provided for by applicable law, until wound up and terminated pursuant to Article XVI hereof.

2.5 Registered Agent and Office. The registered agent of the Company shall be Corporation Service Company and the registered office of the Company shall be located at 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808, County of New Castle. The registered office or the registered agent, or both, may be changed by the Manager from time to time upon filing the statement required by the Act. The Company shall maintain at its registered office such records, if any, as may be specified by the Act.

2.6 No State Law Partnership. The Members intend that the Company will not be a partnership, limited partnership, or joint venture, and that no Member will be a partner or joint venturer of any other Member, for any purposes other than federal and state tax purposes, and this Agreement shall not be construed to suggest otherwise.

2.7 Appointment of Manager. The day-to-day operation of the business of the Company shall be managed by the Manager in accordance with the terms of this Agreement and the Management Agreement, subject to the ultimate authority and control of the Board of Directors as provided herein.

2.8 Operation Through Subsidiaries. The parties agree and acknowledge that the business of the Company may be conducted through one or more subsidiaries. Any such subsidiary shall be operated in accordance with the terms of this Agreement and no actions may be taken through a subsidiary of the Company that could not otherwise be taken by the Company.

III. PURPOSES AND POWERS.

3.1 Purposes. The purposes of the Company are (i) to own, manage, operate, lease, and/or take any other action in connection with owning and operating the Hospital; (ii) to

provide efficient and cost-effective rendering of health care services for the benefit of health care consumers in the greater New Haven County, Connecticut, service area; (iii) to provide quality medical care at competitive charges; (iv) to acquire (through asset acquisition, stock acquisition, lease, or otherwise) and develop other property, both real and personal, in connection with providing health care related services, including without limitation general acute care hospitals, specialty care hospitals, diagnostic imaging centers, ambulatory surgery centers, nursing homes, clinics, home health care agencies, psychiatric facilities, physician practices, laboratories, and other health care providers; and (v) generally to engage in such other business and activities and to do any and all other acts and things that the Board of Directors deems necessary, appropriate, or advisable from time to time in furtherance of the purposes of the Company as set forth in this Section 3.1, either directly or through its subsidiaries.

3.2 Nature of the Business.

(a) In furtherance of the purposes of the Company described in Section 3.1, the Board of Directors and the Manager shall conduct the business and operations of the Company in such a manner as to satisfy the charitable purposes generally required of hospitals under Section 501(c)(3) of the Code and the community benefits standards set forth in Revenue Ruling 69-545 (collectively, the “Standards”), including without limitation (i) accepting all Medicare and Medicaid patients; (ii) accepting all patients in an emergency condition in the emergency room without regard to source of payment or the ability of such emergency patients to pay; (iii) maintaining an open medical staff; (iv) providing public health programs of educational benefit to the community; (v) generally promoting the health, wellness, and welfare of the community by providing quality health care at a reasonable cost; and (vi) adopting, implementing, and maintaining the uncompensated care policies Approved by the Board as described in Section 12.5 of this Agreement.

(b) The Members hereby acknowledge and agree that the operations of the Company shall not be conducted in a manner solely designed to maximize profits. In the event there is a conflict between the operation of the Company in accordance with the Standards and any duty to maximize profits, the Board of Directors and the Manager shall satisfy the Standards without regard to the consequences for maximizing profitability of the Company.

(c) The Company shall use reasonable efforts to operate its business in such a manner that the participation of the SMHS Member does not jeopardize its status as a tax-exempt organization described in Section 501(c)(3) of the Code. In the event that, in the reasonable opinion of outside legal counsel for the SMHS Member, the manner in which the Company operates or the enactment, promulgation, or judicial or regulatory interpretation of any law or regulation would cause the continued participation of the SMHS Member in the Company to jeopardize the tax-exempt status of the SMHS Member or result in the imposition of unrelated business income tax (“UBIT”), the Members shall enter into good faith negotiations to amend this Agreement or otherwise restructure the SMHS Member’s participation in the Company as necessary to prevent such result. To the maximum extent possible, any such amendment or restructuring shall preserve the underlying economic, financial, and governance arrangements between the Members. Notwithstanding any other provision herein to the contrary, each Member may elect to enter into (or not enter into) any such amendment or restructuring in its sole and absolute discretion. In the event that the parties cannot agree within 180 days to amend this Agreement or restructure the SMHS Member’s participation in the Company so that such

participation no longer jeopardizes the tax-exempt status of the SMHS Member or results in the imposition of UBIT, the SMHS Member shall have the option to sell its Units in the Company to the LHP Member or its designee for a purchase price equal to the Appraised Value of the Units. The SMHS Member may exercise this option by giving written notice of such exercise to the LHP Member only if the Members cannot agree on the execution and delivery of such an amendment to this Agreement or restructuring of the SMHS Member's participation in the Company as the SMHS Member reasonably believes, based upon the reasonable opinion of its outside legal counsel, is necessary to permit it to participate in the Company without jeopardizing the tax-exempt status of the SMHS Member or resulting in the imposition of UBIT.

3.3 Powers. Subject to the limitations contained in this Agreement and in the Act, the Company purposes and nature of the business as defined in Sections 3.1 and 3.2 (the "Company Purposes") may be accomplished by the Manager or the Board of Directors taking any action permitted under this Agreement that is customary or reasonably related to accomplishing such Company Purposes. The Company Purposes shall be subject in all respects to changes in governmental law, policy, or regulation.

3.4 Conflict of Interest Policy. The Board of Directors and the Manager shall cause the Company to adopt and maintain as its policies and practices concerning conflicts of interest the existing policies and practices of SMHS and its Affiliates (attached as Exhibit C) (or new policies or practices adopted by the Board of Directors).

3.5 Mission. The Members acknowledge and agree that the Company's operations shall be conducted in conformity with the Ethical and Religious Directives for Catholic Healthcare Services, as promulgated from time to time by the United States Conference of Catholic Bishops and interpreted by the Archbishop of Hartford, as they apply to the provision of health care services (the "Catholic Directives"). In addition, for so long as SMHS maintains an ownership interest in the Company, the SMHS Member so requests, and the Archbishop of Hartford so permits, the Company shall maintain a Catholic identity with respect to the Hospital and in that regard hereby agrees as follows:

(a) To continue to operate the Hospital as a Catholic health care facility in accordance with the moral, ethical, and social teachings of the Roman Catholic Church as expressed in the Catholic Directives as interpreted by the Archbishop of Hartford and to cause the Hospital to comply in all respects with and observe the Catholic Directives;

(b) To maintain a Pastoral Care Director position and Pastoral Care Department having staffing equivalent to that at the Hospital as of the date hereof, the services of which will be made available to the Hospital's patients, their family members, health care professionals, and employees of all faiths and will be offered throughout the continuum of care provided by the Hospital;

(c) To maintain at the Hospital the existing chapel or a chapel substantially similar thereto as a place of Catholic worship that shall be maintained in at least its current condition, wear and tear only excepted;

(d) Subject to the terms of the License Agreement, to maintain appropriate signage and other symbols of Catholic identity, both within and on the external walls of the Hospital; **[SUBJECT TO REVIEW OF THE LICENSE AGREEMENT]**

(e) To cause the Hospital to continue to provide community benefit programs and services to improve access to health care services in its community and to improve the health status of the elderly, poor, immigrant, and other at-risk populations in such community, with such programs and services to include the provision of free care, mission and pastoral care programs, and community benefit programs consistent with the terms of this Agreement;

(f) To cause the Hospital to maintain an Ethics Committee with responsibility for the day-to-day monitoring of the Hospital's observance of the Catholic Directives and other ethics-related matters; and

(g) Subject to the terms of the License Agreement, to continue to operate the Hospital under the name "Saint Mary's Hospital" or such other name as permitted by the Archbishop, as a symbol of its Catholic identity. **[SUBJECT TO REVIEW OF THE LICENSE AGREEMENT]**

IV. CAPITAL CONTRIBUTIONS, CAPITAL ACCOUNTS, LOANS.

4.1 Primary Capital Contributions; Units. Concurrently with the execution of this Agreement, (i) each of the Members has contributed to the capital of the Company the amount listed as such Member's Primary Capital Contribution on Exhibit B attached hereto, and (ii) in connection therewith, each Member has been issued the Units listed as such Member's Units on Exhibit B hereto. Exhibit B may be amended from time to time pursuant to Section 17.10 to reflect the making of Optional Capital Contributions by the Members, the admission of new Members, transfers of Units, and other appropriate revisions to the information set forth therein.

4.2 Sources of Capital; Additional Capital Contributions.

(a) If at any time funds are required for any expenditure of the Company necessary for the operation of the Company and/or any expansion of the Company, the Company shall obtain such funds from the following sources and in the following order of priority: (i) the Members' Primary Capital Contributions, as described in Section 4.1; (ii) cash generated by the operations of the Company; (iii) payments made by the Members on their respective Secondary Capital Contribution Promissory Notes in accordance with the provisions of Section 4.2(b); (iv) loans from LHP Sub or any LHP Affiliate and/or SMHS or any SMHS Affiliate to the extent available and on terms mutually agreeable to LHP or SMHS, as applicable, and the Company; (v) commercial loans from third parties on terms acceptable to the Company; and (vi) Optional Capital Contributions made by the Members in accordance with the provisions of Section 4.2(c).

(b) Concurrently with the execution of this Agreement, each of the Members has contributed to the capital of the Company its Secondary Capital Contribution Promissory Note in the principal amount listed on Exhibit B attached hereto. In the event that (i) funds are required for any expenditure of the Company necessary for the operation of the Company and/or any expansion of the Company and (ii) the amount of such funds exceeds the sum of the Members' Primary Contributions, as described in Section 4.1, and the cash generated by the operations of the Company, the Manager, with the Approval of the Board, shall request that the Members make capital contributions to the Company in the form of payments on their respective

Secondary Capital Contribution Promissory Notes in accordance with this Section 4.2(b) in an amount equal to the amount of the funds required by the Company. In addition, in the event that, as of the fifth anniversary date of this Agreement, there remain outstanding principal balances on the Members' respective Secondary Capital Contribution Promissory Notes, the SMHS Directors (by majority vote) shall have the sole and exclusive right to request that the Members make capital contributions to the Company in the form of payments on their respective Secondary Capital Contribution Promissory Notes in amounts equal to such remaining outstanding principal balances. If a request for capital contributions is made pursuant to this Section 4.2(b), each Member shall be obligated to make such contributions, and such contributions shall be made 90% by LHP Sub and 10% by SMHS. No Member shall be issued additional Units in connection with the payments made under its Secondary Capital Contribution Promissory Note pursuant to this Section 4.2(b), nor shall the Members' respective Sharing Percentages be adjusted in connection therewith.

(c) In the event that (i) funds are required for any expenditure of the Company necessary for the operation of the Company and/or any expansion of the Company, (ii) the amount of such funds exceeds the sum of the Members' Primary Capital Contributions, as described in Section 4.1, the cash generated by the operations of the Company, and the amount of the Members' respective Secondary Capital Contribution Promissory Notes, and (iii) the Company has made commercially reasonable efforts to obtain the needed funds in the manner described in clauses (iv) and (v) of Section 4.2(a) and has been unable to do so, the Manager, with the Approval of the Board, shall have the right to request that optional capital contributions ("Optional Capital Contributions") be made to the Company pro rata in accordance with the Members' respective Sharing Percentages. If the Manager, with the Approval of the Board, makes a request for Optional Capital Contributions, no Member shall be required to make any such Optional Capital Contribution, provided that if any Member elects not to make all or any portion of an Optional Capital Contribution, the other Members shall have the right, but not the obligation, to contribute to the Company the amount of cash that the noncontributing Member or Members failed to contribute. The Members shall have thirty (30) days after the Manager's request in which to make (or elect not to make) such Optional Capital Contributions. Each Member shall be issued additional Units in connection with the Optional Capital Contributions made by such Member pursuant to this Section 4.2(c), and the Members' respective Sharing Percentages shall be adjusted accordingly.

4.3 Capital Accounts. A Capital Account shall be established and maintained for each Member for the full term of this Agreement in accordance with the capital account maintenance rules of Section 1.704-1(b)(2)(iv) of the Regulations. Each Member shall have only one Capital Account, regardless of the number or classes of Units or other interests in the Company owned by such Member and regardless of the time or manner in which such Units or other interests were acquired by such Member. Pursuant to the basic capital account maintenance rules of Section 1.704-1(b)(2)(iv) of the Regulations, the balance of each Member's Capital Account shall be:

(a) Increased by the amount of money contributed by such Member (or such Member's predecessor in interest) to the capital of the Company pursuant to this Article IV and decreased by the amount of money distributed to such Member (or such Member's predecessor in interest) pursuant to Articles VI and XVI hereof;

(b) Increased by the fair market value of each property (determined without regard to Section 7701(g) of the Code) contributed by such Member (or such Member's predecessor in interest) to the capital of the Company pursuant to this Article IV (net of all liabilities secured by such property that the Company is considered to assume or take subject to under Section 752 of the Code) and decreased by the fair market value of each property (determined without regard to Section 7701(g) of the Code) distributed to such Member (or such Member's predecessor in interest) by the Company pursuant to Article VI or XVI hereof (net of all liabilities secured by such property that such Member is considered to assume or take subject to under Section 752 of the Code);

(c) Increased by the amount of each item of Company profit allocated to such Member (or such Member's predecessor in interest) pursuant to Section 3.1 of Exhibit A hereto;

(d) Decreased by the amount of each item of Company loss allocated to such Member (or such Member's predecessor in interest) pursuant to Section 3.1 of Exhibit A hereto; and

(e) Otherwise adjusted as follows:

(i) Effective immediately prior to any "Revaluation Event" (as defined in Exhibit A hereto), the balances of all Members' Capital Accounts shall be adjusted to reflect the manner in which items of profit or loss, as computed for book purposes, equal to the "Unrealized Book Gain Or Loss" (as defined in Exhibit A hereto) then existing with respect to each Company property (to the extent not previously reflected in the Members' Capital Accounts) would be allocated among the Members pursuant to Section 3.1 of Exhibit A hereto if there were a taxable disposition of such property immediately prior to such Revaluation Event for its fair market value (as determined by the Manager taking Section 7701(g) of the Code into account);

(ii) With respect to items of Company profit and loss, the balances of all the Members' Capital Accounts shall be adjusted solely for allocations of such items, as computed for book purposes, under Section 3.1 of Exhibit A hereto and shall not be adjusted for allocations of correlative Tax Items under Section 3.2 of Exhibit A hereto;

(iii) Immediately before giving effect under Section 4.3(b) hereof to any adjustment attributable to the distribution of property to a Member, the balances of all the Members' Capital Accounts first shall be adjusted to reflect the manner in which items of profit or loss, as computed for book purposes, equal to the Unrealized Book Gain Or Loss existing with respect to the distributed property (to the extent not previously reflected in the Members' Capital Accounts) would be allocated among the Members pursuant to Section 3.1 of Exhibit A hereto if there were a taxable disposition of such property on the date of such distribution by the Company for its fair market value at the time of such distribution (as agreed to in writing by the Members) taking Section 7701(g) of the Code into account (i.e., such value shall not be agreed to be less than the amount of Nonrecourse Liabilities to which such property is subject); and

(iv) Upon the transfer of all or part of any Unit or other interest in the Company, the Capital Account of the transferor Member, to the extent attributable to the transferred interest, shall carry over to the transferee Member; provided, however, if the transfer causes the termination of the Company for federal income tax purposes under Section

708(b)(1)(B) of the Code, the Capital Account that carries over to the transferee Member shall be subject to adjustment in accordance with Section 4.3(e)(i) hereof in connection with the resulting constructive liquidation of the Company for federal income tax purpose.

4.4 Additional Provisions Regarding Capital Accounts.

(a) If, with the Approval of the Board, a Member pays any Company indebtedness or forgives any Company indebtedness owing to such Member, such payment or forgiveness shall be treated as a cash contribution by that Member to the capital of the Company and the Capital Account of such Member shall be increased by the amount so paid by such Member. No Member may, without the Approval of the Board, increase its Capital Account by paying any Company indebtedness or by forgiving any Company indebtedness owing to such Member.

(b) Except as otherwise provided herein, no Member may contribute capital to, or withdraw capital from, the Company. To the extent any monies that any Member is entitled to receive pursuant to the Agreement would constitute a return of capital, each of the Members consents to the withdrawal of such capital.

(c) A loan by a Member to the Company shall not be considered a contribution of money to the capital of the Company, and the balance of such Member's Capital Account shall not be increased by the amount so loaned. No repayment of principal or interest on any such loan, reimbursement made to a Member with respect to advances or other payments made by such Member on behalf of the Company, or payments of fees to a Member that are made by the Company shall be considered a return of capital or in any manner affect the balance of such Member's Capital Account.

(d) No Member with a deficit balance in its Capital Account shall have any obligation to the Company or any other Member to restore such deficit balance. Furthermore, a deficit Capital Account balance of a Member shall not be deemed to be a liability of such Member or a Company asset or property. The provisions of this Section 4.4(d) shall not affect any Member's obligation to make Capital Contributions to the Company that are required to be made by such Member pursuant to this Agreement.

(e) No interest shall be paid on any capital contributed to the Company or the balance in any Member's Capital Account.

(f) All of the provisions of this Agreement relating to the maintenance of Capital Accounts are intended to comply with Regulations Section 1.704-1(b), and shall be interpreted and applied in a manner consistent with the Regulations. If the Board of Directors determines that it is prudent to modify the manner in which the Capital Accounts, or any debits or credits thereto (including, without limitation, debits or credits relating to liabilities that are secured by contributed or distributed property or that are assumed by the Company or any of the Members) are computed in order to comply with the Regulations, the Board of Directors may make such modifications, provided that such modifications are not likely to have a material effect on the amounts distributable to any Member from the Company. The Board of Directors shall also make appropriate modifications in the event that unanticipated events might otherwise cause this Agreement not to comply with Section 1.704-1(b) of the Regulations.

4.5 Loans to the Company. The Company may borrow money from, among others, any Member on such terms and conditions as shall be agreed to by the Board of Directors and such Member; provided, however, that such terms and conditions shall be no less favorable to the Company than the terms and conditions that could be obtained by the Company in an arm's length transaction with an independent third-party. Any portion of a Member's loan to the Company then due shall be repayable out of the Company's excess cash, prior to any distribution of Distributable Cash. If any Member makes any loan or loans to the Company, the amount of any such loan shall not be treated as a contribution to the capital of the Company, but shall be a debt due from the Company. None of the Members nor any of their Affiliates shall be obligated to loan money to the Company.

V. ALLOCATIONS OF INCOME AND LOSSES.

All items of income or loss of the Company shall be allocated to the Members in accordance with the provisions of Exhibit A attached hereto, which is hereby incorporated by reference for all purposes of this Agreement, or as otherwise provided in this Agreement.

VI. DISTRIBUTIONS.

6.1 Distribution of Distributable Cash. Except as may be otherwise provided in Section 16.3 hereof, as may be otherwise determined by Approval of the Board, or as may be otherwise prohibited or required by applicable law, the Manager shall cause the Company to distribute Distributable Cash, to the extent available, to the Members from time to time, pro rata in accordance with their respective Sharing Percentages. The policy of the Company shall be to distribute Distributable Cash on a quarterly basis to the extent Distributable Cash exists.

6.2 Compensation or Reimbursement for Services. Authorized amounts payable as compensation or reimbursement to the Manager or to any Person other than in its capacity as a Member, such as for services rendered, goods purchased, or money borrowed, shall not be treated as a distribution for purposes of Section 6.1 hereof.

6.3 Amounts Withheld. All amounts withheld pursuant to the Code or any provision of any state or local tax law with respect to any payment of taxes of Members or distribution to the Members shall be treated as amounts distributed to the Members pursuant to this Article VI for all purposes under this Agreement.

6.4 Distributions in Kind. No Member shall have the right to demand or receive distributions of property other than cash. Except as provided in Article XVI hereof, distributions in kind of Company property shall be made only with the Approval of the Board and only at a value Approved by the Board. The Members' (and assignees') Capital Accounts shall be adjusted with respect to any such distribution in kind in accordance with the provisions of Article IV hereof and Exhibit A hereto.

6.5 Restrictions on Distributions. The foregoing provisions of this Article VI to the contrary notwithstanding, (a) no distribution of Distributable Cash shall be paid by the Company unless, after giving effect to the distribution, the Company will be able to pay its debts as they become due in the normal course of business and the Company's total assets are greater than the sum of the Company's total liabilities, excluding liabilities for which the recourse of creditors is limited to specified property of the Company, except that the fair value of property that is subject

to a liability for which the recourse of creditors is limited shall be included in the assets of the Company only to the extent that the fair value of the property exceeds that liability; and (b) no distribution shall be made if, and for so long as, such distribution would violate any contract or agreement to which the Company is then a party or any law, rule, regulation, order, or directive of any governmental authority then applicable to the Company.

VII. BANK ACCOUNTS, BOOKS OF ACCOUNT, TAX COMPLIANCE, AND FISCAL YEAR.

7.1 Bank Accounts; Investments. The Manager may (i) establish one or more bank accounts as provided in Section 8.1(f) hereof into which all Company funds shall be deposited or (ii) deposit Company funds in a central account established in the name of the Manager or an LHP Affiliate to the extent consistent with the terms and conditions of a separate written agreement between the Company and the Manager or a LHP Affiliate (a “Cash Management Agreement”), provided that detailed separate entries are made on the books and records of the Company and on the books and records of the Manager or such LHP Affiliate with respect to amounts received from the Company and deposited in such central account for the account of the Company. Except as otherwise provided in any applicable Cash Management Agreement, funds not immediately necessary in the Company’s business may be invested in short-term debt obligations (including those issued by or guaranteed by federal or state governments and their agencies and certificates of deposit of commercial banks, savings banks, or savings and loan associations) and “money market” mutual funds or similar investments as determined by the Manager.

7.2 Books and Records. The Company, whether through the Manager or otherwise, shall keep books of account and records relative to the Company’s business. The books shall be prepared in accordance with generally accepted accounting principles using the accrual method of accounting. The accrual method of accounting shall also be used by the Company for income tax purposes. The Company shall also maintain books and records as required by Section 4.3 hereof and Exhibit A hereto. The Company’s books and records shall at all times be maintained at the principal business office of the Company (and to the extent required by the Act, at the registered office of the Company) and shall be available for inspection by the Members or their duly authorized representatives during regular business hours. The books and records shall be preserved for four (4) years after the term of the Company ends or such other periods as may be required by law.

7.3 Determination of Profit and Loss; Financial Statements. All items of Company income, expense, gain, loss, deduction, and credit shall be determined with respect to, and allocated in accordance with, this Agreement for each Member for each Company fiscal year. Within thirty (30) days after the end of each calendar month of Company operations, the Company shall prepare unaudited financial statements of the Company for the preceding calendar month, including, without limitation, a balance sheet, profit and loss statement, statement of cash flows, and statement of the balances in the Members’ Capital Accounts, prepared in accordance with the terms of this Agreement and generally accepted accounting principles applied consistently with prior periods. In addition, the Company shall also prepare, within one hundred fifty (150) days after the end of each Company fiscal year, financial statements for the preceding fiscal year meeting the preceding specifications. All financial statements shall be available for inspection and copying during ordinary business hours at the

reasonable request of any Member and will be furnished to any Member upon written request therefor. Any Member may obtain, at such Member's expense, such other reports regarding the Company's operations and condition as such Member may reasonably request.

7.4 Tax Returns and Information. The Members intend for the Company to be treated as a partnership for tax purposes, but not for any other purposes. The Company shall prepare or cause to be prepared all federal, state, and local income and other tax returns that the Company is required to file and shall furnish such returns to the Members, together with a copy of each Member's Form K-1 and any other information that any Member may reasonably request relating to such returns, within the time required by law (including any applicable extension periods available under the Code). Notwithstanding anything to the contrary contained herein, the Company shall not elect to be treated as other than a partnership for federal income tax purposes without the prior Approval of the Board.

7.5 Tax Audits. LHP Sub shall be the "tax matters partner" of the Company under Section 6231(a)(7) of the Code. LHP Sub shall inform the Members of all material matters that may come to its attention in its capacity as tax matters partner by giving the Members notice thereof within ten (10) days after becoming so informed. LHP Sub shall not take any action contemplated by Sections 6222 through 6230 of the Code unless LHP Sub has first given the Members notice of the contemplated action and received the Approval of the Members to the contemplated action. This provision is not intended to authorize LHP Sub to take any action that is left to the determination of an individual Member under Sections 6222 through 6232 of the Code.

7.6 Fiscal Year. The Company's fiscal year shall be the calendar year.

7.7 Audit of the Company. At the request of either Member made no later than thirty (30) days prior to the end of any fiscal year of the Company, the Company shall cause its financial statements for and as of the end of such fiscal year to be audited by certified public accountants selected by the Board of Directors.

VIII. RIGHTS, OBLIGATIONS, AND INDEMNIFICATION OF THE MANAGER.

8.1 Rights of the Manager. Except as otherwise set forth in the Act, the Certificate, or this Agreement, the Board of Directors shall have overall oversight and ultimate authority over the affairs of the Company. Subject to this general principle, and subject to the limitations imposed upon the Manager in this Agreement (including, without limitation, Sections 8.3 and 8.4 and Article X hereof) and in the Management Agreement and to the fiduciary obligations and limitations imposed upon it at law (to the extent not modified herein or in the Certificate) and by general principles of equity, the Manager shall manage the day-to-day operations of the Company and act on behalf of the Company pursuant to and in accordance with the terms of this Agreement and the Management Agreement. The Manager may take the following actions if, as, and when it deems any such action to be necessary, appropriate, or advisable, at the sole cost and expense of the Company, subject however in all respects to the limitations imposed on the Manager in this Agreement (including, without limitation, Sections 8.3 and 8.4 and Article X hereof) and the terms of the Management Agreement:

(a) Acquire and enter into any contract of insurance on behalf of the Company that the Manager deems necessary and proper for the protection of the Company, for the

conservation of the Company's assets, or for any purpose convenient or beneficial to the Company;

(b) Employ from time to time, on behalf of the Company, individuals (including employees of the Manager, the Members, or any of their Affiliates) on such terms and for such compensation as the Manager shall determine (but not in an amount that, based upon the scope of an individual employee's duties and responsibilities, would be considered (i) unreasonable or (ii) an "excess benefit transaction" as defined in Section 4958 of the Code and the Regulations thereunder);

(c) Make decisions as to accounting principles and elections, whether for book or tax purposes (and such decisions may be different for each purpose, but if for book purposes such decisions must be consistent with generally accepted accounting principles and if for tax purposes such decisions must be consistent with the Code and the Regulations);

(d) Set up or modify record keeping, billing, and accounts payable accounting systems;

(e) Alienate, mortgage, pledge or otherwise encumber, sell, exchange, lease, or purchase real and/or personal property in fulfillment of the Company Purposes, in each case in the ordinary course of business to the extent not inconsistent with Section 8.3 hereof;

(f) Open checking and savings accounts, in banks or similar financial institutions, in the name of the Company, and deposit cash in such accounts and withdraw cash from such accounts as required for the Company Purposes in the ordinary course of business;

(g) Adjust, arbitrate, compromise, sue or defend, abandon, or otherwise deal with and settle any and all claims in favor of or against the Company, as the Manager shall, in its reasonable discretion, deem proper;

(h) Enter into, make, perform, and carry out all types of contracts, leases, and other agreements, and amend, extend, or modify any contract, lease, or agreement at any time entered into by the Company, provided that each such contract, lease, or agreement is (i) the result of an arm's length transaction; (ii) representative of fair market value; and (iii) in the ordinary course of business;

(i) Execute, on behalf of and in the name of the Company, any and all contracts, leases, agreements, instruments, notes, certificates, titles, or other documents to which the Company will be a party; and

(j) Do all acts reasonably necessary to carry out the business for which the Company is formed (as described in Sections 3.1 and 3.2) as delegated by the Board of Directors under this Agreement and the Management Agreement.

8.2 *Rights to Rely on the Manager.* No Person or governmental body dealing with the Company shall be required to inquire into, or to obtain any other documentation as to, the authority of the Manager to take any action permitted under Section 8.1 hereof. Furthermore, any Person or governmental body dealing with the Company may rely upon a certificate signed by the Manager as to the following:

- (a) The identity of the Manager or any Member;
- (b) The existence or nonexistence of any fact or facts that constitute a condition precedent to acts by the Manager or that are in any other manner germane to the affairs of the Company;
- (c) The Persons who are authorized to execute and deliver any instrument or document of the Company; or
- (d) Any act or failure to act by the Company on any other matter whatsoever involving the Company or any Member.

8.3 *Specific Limitations on the Manager.*

(a) Notwithstanding anything to the contrary in the Management Agreement, this Agreement, the Act, or the Certificate, without the Approval of the Board or a designated subset thereof, the Manager shall have no authority to do any of the following acts:

(i) Approving the annual operating and capital budgets of the Company and any material changes or amendments thereto;

(ii) Hiring or terminating the Company's or the Hospital's Chief Executive Officer; provided, however, that the termination of the Company's or the Hospital's Chief Executive Officer shall be approved only as provided in Section 10.4 hereof;

(iii) Establishing or changing the mission, values, or purposes of the Company or the philosophy according to which the Company shall operate;

(iv) Approving the annual strategic and business plans of the Company and the Hospital and any changes or amendments thereto;

(v) Adding, modifying, or discontinuing the provision of any material clinical services at the Hospital;

(vi) Incurring any third-party indebtedness (other than debt that is specifically contemplated by the approved operating and capital budgets of the Company) if the principal amount of such indebtedness would exceed \$5,000,000;

(vii) Changing the Hospital's name;

(viii) Subject to Section 12.5 of this Agreement, establishing, evaluating, or modifying the policies respecting the uncompensated care to be provided by the Hospital;

(ix) Waiving the covenant not to compete set forth in this Agreement; provided, however, that such action shall be approved only upon the majority vote of the class of directors chosen by the Member that is not requesting such waiver or allegedly violating such provision;

(x) Admitting any additional Members or issuing additional Units, except in accordance with the provisions of Article XIII hereof;

(xi) Recognizing the transfer of a Member's interest in the Company, unless such transfer is in compliance with the provisions of Article XIII hereof;

(xii) Engaging in any merger, consolidation, share exchange, or reorganization of the Company, or sale of all or substantially all of the assets of the Company;

(xiii) Acquiring or disposing of the Hospital or any other health care related facility and its related assets in a single transaction or series of related transactions;

(xiv) Entering into any new line of business, except in accordance with the terms of Article X;

(xv) Entering into any contract to incur an obligation to repay borrowed money;

(xvi) Requesting payments under the Members' Secondary Capital Contribution Promissory Notes or Optional Capital Contributions from the Members;

(xvii) Changing the general character of the business anticipated to be conducted by the Company on the date hereof (it being understood and agreed that such business is the development, ownership, and operation of health care related facilities and the delivery of health care services);

(xviii) Electing not to distribute Distributable Cash;

(xix) Entering into or modifying any agreement, arrangement, or other business dealings between the Company and the LHP Member or any LHP Affiliate (including, without limitation, the Management Agreement) and taking any action (including the initiation of legal action) respecting enforcement of the Management Agreement with the Manager; provided, however, that such action shall be approved only upon the majority vote of the SMHS Directors;

(xx) Entering into or modifying any agreement, arrangement, or other business dealings between the Company and the SMHS Member or any SMHS Affiliate; provided, however, that such action shall be approved only upon the majority vote of the LHP Directors;

(xxi) Taking any action in contravention of the Catholic Directives; provided, however, that such action shall be approved only upon the majority vote of the SMHS Directors;

(xxii) Selecting the accreditation body of the Hospital and making any changes thereto;

(xxiii) Taking any action (including the initiation of legal action) to enforce the Company's rights against a Member who fails to make a payment under such Member's Secondary Capital Contribution Promissory Note; provided, however, that such action shall be approved only as provided in Section 10.5 hereof;

(xxiv) Adjusting, arbitrating, compromising, or settling any material claims in favor of or against the Company, to the extent that such claims are not covered by the Company's insurance policies; and

(xxv) Adjusting, arbitrating, compromising, or settling any claims in favor of or against the Company by a Member or an Affiliate of a Member.

(b) Notwithstanding anything to the contrary in this Agreement or the Act, without the Approval of the Members, the Manager shall have no right to do any of the following acts, each of which is considered outside the ordinary course of the Company's business:

(i) Amending this Agreement or the Certificate, except as provided in Section 17.10 hereof;

(ii) Subject to Section 15.1(d) of this Agreement, dissolving or liquidating the Company at will;

(iii) Performing any act in contravention of this Agreement;

(iv) Changing or reorganizing the Company into any other legal form;
or

(v) Knowingly performing any act that would subject any Member to liability as a general partner in any jurisdiction.

8.4 Management Obligations of the Manager. Subject to the terms and conditions of the Management Agreement, the Manager shall devote such time to the business of the Company as may be necessary to fulfill the Company Purposes and manage and supervise the Company business and affairs. Except as otherwise set forth in this Agreement or the Management Agreement, the Manager shall not be precluded from contracting with or employing any Affiliate of a Member or a third party to provide management or other services to the Company at the expense of the Company.

8.5 Compensation of the Manager. As its sole compensation and consideration for the performance of its duties and responsibilities as Manager, the Manager shall be entitled to receive a monthly management fee as set forth in the Management Agreement.

8.6 Independent Activities. Except as provided in Section 10.1 hereof and in the Management Agreement, the Manager and any of its Affiliates may engage in or possess interests in other business ventures of every nature and description, independently and with others, whether such activities are competitive with the Company or otherwise, without having or incurring any obligation to offer any interest in such activities to the Company or any Member.

IX. RIGHTS AND STATUS OF MEMBERS.

9.1 General. Except to the extent expressly otherwise required by the Act or provided in this Agreement, the Members shall not take part in the management or control of the

Company business, such powers being vested exclusively in the Board of Directors and the Manager as provided herein and in the Management Agreement.

9.2 Limitation of Liability. No Member shall have any personal liability whatever, solely by reason of its status as a Member of the Company, whether to the Company, the Manager, another Member, or any creditor of the Company, for the debts of the Company or any of its losses beyond the amount of the Member's obligation to make capital contributions to the Company.

X. SPECIAL RIGHTS AND COVENANTS OF THE MEMBERS.

10.1 Covenant Not to Compete.

(a) In consideration of the premises and as a material inducement for the LHP Member and the SMHS Member to enter into this Agreement and consummate the transactions contemplated hereby and by the Contribution Agreement, each Member agrees that, during the Non-Competition Period, neither it nor its respective Affiliates will (other than through the Company), directly or indirectly, in any capacity, own, lease, manage, operate, control, maintain, pursue, or continue any interest whatsoever in any Competing Business except in accordance with this Section 10.1(a). The Member or its Affiliate desiring to directly or indirectly, in any capacity, own, manage, operate, control, maintain, pursue, or continue any interest whatsoever in any Competing Business shall provide the Company sixty (60) days prior written notice describing the proposed activity or service (including its location), and including a proposed plan of implementation and financing and any other information requested by the Board of Directors. In the event that the Board of Directors, after considering the proposed activity or service, does not vote within the sixty (60) day notice period to pursue the opportunity (and the Member that presents the opportunity or its representatives on the Board of Directors shall not have been the cause of the Company not pursuing the opportunity by voting "against" approval of the opportunity, abstaining from voting, or not voting), the Member that presents the opportunity or its respective Affiliates may pursue the opportunity.

(b) Notwithstanding Section 10.1(a)s, SMHS and its Affiliates (i) may own stock in any publicly held corporation listed on a national securities exchange or whose stock is regularly traded in the over the counter market as long as such holding at no time exceeds five percent (5%) of the total outstanding stock of such corporation, and (ii) shall not be precluded from participating in activities that promote health care services for residents of the communities historically served by SMHS and its Affiliates through the Hospital, including the following activities: development, ownership, and operation of indigent or charity care clinics and services; preventative care programs and services and educational programs; health screening services; and other similar services or programs intended to better serve the health care needs of the community's indigent population in the Restricted Area that are not directly competitive with services provided by the Company.

10.2 Limitation. In the event of an actual or threatened breach by any Member of Section 10.1 hereof, the Company, acting through the non-breaching Member, shall be entitled to an injunction in any appropriate court in New Haven County, Connecticut, or elsewhere, restraining the actual or threatened breach by such Member. If a court shall hold that the

duration and/or scope (geographic or otherwise) of the covenant contained in Section 10.1 hereof is unreasonable or otherwise unenforceable, then, to the extent permitted by law, the court may prescribe a duration and/or scope (geographic or otherwise) that is reasonable and judicially enforceable. The parties agree to accept such determination, subject to their rights of appeal, which the parties hereto agree shall be substituted in place of any and every offensive part of Section 10.1, and as so modified, Section 10.1 of this Agreement shall be as fully enforceable as if set forth herein by the parties in the modified form. Nothing herein stated shall be construed as prohibiting any party hereto from pursuing any other remedies available for such breach or threatened breach, including the recovery of damages. In the event of any breach of Section 10.1 by a Member and in addition to an injunction, the Company shall also be entitled to recover the net amount of fees and other compensation earned by a Member as a result of any such breach, plus any other damages a court of competent jurisdiction may find appropriate. The time period set forth in Section 10.1 shall be tolled and suspended for a period of time equal to the aggregate period of time during which a Member violates such prohibitions in any respect.

10.3 No Requirement to Refer. No provision of this Agreement, or the relationship between the parties created by this Agreement, is intended by the parties hereto to include an agreement or requirement that any physician who is affiliated with either the LHP Member or the SMHS Member (collectively referred to as the “Affiliated Referring Providers”) utilize the services or otherwise direct patients to facilities owned or operated by the Company or its Affiliates or as an inducement to the Affiliated Referring Providers to make any such referral. Nothing in this Agreement shall be construed as prohibiting Affiliated Referring Providers from obtaining or maintaining medical staff membership at, or admitting patients to, health care facilities other than those health care facilities owned by the Company. The parties hereto agree that the benefits under this Agreement do not require, are not payment for, and are not in any way contingent upon, the admissions, referral, or other arrangement for the provision of any items or service reimbursed under Medicare, Medicaid, or any other state or federal health care program.

10.4 Special Rights Regarding Termination of Chief Executive Officer. Notwithstanding anything to the contrary in this Agreement, the SMHS Directors (by majority vote) shall have (i) the sole and exclusive right to terminate the Chief Executive Officer of the Company or Hospital due to the Chief Executive Officer’s failure to ensure that the Company is operating consistently with the requirements of Section 3.2 and Section 3.5 hereof; and (ii) the unilateral, but not exclusive, right to terminate the Chief Executive Officer of the Company or Hospital for any other reason. Any action taken by the SMHS Directors pursuant to the preceding sentence shall be deemed to have been Approved by the Board for all purposes under this Agreement. The LHP Directors (by majority vote) shall have the unilateral, but not exclusive, right to terminate the Chief Executive Officer of the Company or Hospital for any reason other than the Chief Executive Officer’s ensuring that the Company is operating consistently with the requirements of Section 3.2 and Section 3.5 hereof. Any action taken by the LHP Directors pursuant to the preceding sentence shall be deemed to have been Approved by the Board for all purposes under this Agreement.

10.5 Special Rights Regarding Secondary Capital Contribution Promissory Notes. Notwithstanding anything to the contrary contained in this Agreement, in the event that LHP Sub should fail to make any payment under its Secondary Capital Contribution Promissory Note when requested by the Company in accordance with Section 4.2(b) of this Agreement, and such

amount remains unpaid for ten (10) business days following receipt by LHP Sub of written notice of such failure to pay from the SMHS Directors (acting by majority vote) on behalf of the Company, the SMHS Directors (acting by majority vote) shall then have the sole and exclusive right to enforce the Company's rights against LHP Sub for such payment. Any action taken by the SMHS Directors pursuant to the preceding sentence shall be deemed to have been Approved by the Board for all purposes under this Agreement. Notwithstanding anything to the contrary contained in this Agreement, in the event that SMHS should fail to make any payment under its Secondary Capital Contribution Promissory Note when requested by the Company in accordance with Section 4.2(b) of this Agreement, and such amount remains unpaid for ten (10) business days following receipt by SMHS of written notice of such failure to pay from the LHP Directors (acting by majority vote) on behalf of the Company, the LHP Directors (acting by majority vote) shall then have the sole and exclusive right to enforce the Company's rights against SMHS for such payment. Any action taken by the LHP Directors pursuant to the preceding sentence shall be deemed to have been Approved by the Board for all purposes under this Agreement.

XI. MEETINGS AND MEANS OF VOTING.

11.1 Meetings of the Members. Meetings of the Members may be called by the Manager and shall be promptly called upon the written request of any one or more Members who own in the aggregate twenty percent (20%) or more of the aggregate Units in the Company. The notice of a meeting shall state the nature of the business to be transacted at such meeting, and actions taken at any such meeting shall be limited to those matters specified in the notice of the meeting. Notice of any meeting shall be given to all Members not less than ten (10), and not more than thirty (30), days prior to the date of the meeting. Members may vote in person or by proxy at such meeting. Except as otherwise expressly provided in this Agreement or required by the express provisions of the Act, the Approval of the Members shall be the requisite vote of the Members that shall control all decisions for which the vote of the Members is required hereunder. Each Member's voting rights shall be the same as that Member's number of Units at the time of the vote. The presence of any Member at a meeting shall constitute a waiver of notice of the meeting with respect to such Member unless such Member attends the meeting for the sole purpose of objecting to the holding of such meeting. The Members may, at their election, participate in any regular or special meeting by means of conference telephone or similar communications equipment by means of which all Persons participating in the meeting can hear each other. A Member's participation in a meeting pursuant to the preceding sentence shall constitute presence in person at such meeting for all purposes of this Agreement.

11.2 Vote By Proxy. Any Member may authorize any Person to act on the Member's behalf by proxy on all matters in which a Member is entitled to participate, whether by waiving notice of any meeting, or voting or participating at a meeting. Every proxy must be signed by the Member authorizing such proxy or such Member's attorney-in-fact. No proxy shall be valid after the expiration of eleven (11) months after the date thereof unless otherwise provided in the proxy. Every proxy shall be revocable at the pleasure of the Member executing it.

11.3 Conduct of Meeting. Each meeting of Members shall be conducted by the Chairman of the Board of Directors or by a Person appointed by the Approval of the Board of Directors. The meeting shall be conducted pursuant to such rules as may be adopted by the Board of Directors or the Person appointed by the Approval of the Board for the conduct of the meeting.

11.4 Action Without a Meeting. Notwithstanding anything to the contrary in this Agreement, any action that may be taken at a meeting of the Members may be taken without a meeting if a consent in writing setting forth the action so taken is Approved by the Members, which consent may be executed in multiple counterparts and by facsimile. In the event any action is taken pursuant to this Section 11.4, it shall not be necessary to comply with any notice or timing requirements set forth in Sections 11.1 or 11.2 hereof. Prompt written notice of the taking of action without a meeting shall be given to the Members who have not consented in writing to such action.

11.5 Closing of Transfer Record; Record Date. For the purpose of determining the Members entitled to notice of or to vote at any meeting of Members or any reconvening thereof or to act by consent, the Manager may provide that the transfer record shall be closed for at least ten (10) days immediately preceding such meeting (or such shorter time as may be reasonable in light of the period of the notice) or the first solicitation of consents in writing. If the transfer record is not closed and if no record date is fixed for determining the Members entitled to notice of or to vote at a meeting of Members or to act by consent, the date on which the notice of the meeting is mailed, or the first written consent is received by the Manager, shall be the record date for such determination.

XII. BOARD OF DIRECTORS.

12.1 Board of Directors. Effective for all purposes on the date of this Agreement, the Members shall form a board of directors of the Company (the "Board of Directors") to have overall oversight and ultimate authority over the affairs of the Company, to consider those matters pertaining to the business of the Company for which Approval of the Board is required, and to provide oversight of the activities of the Manager and the Board of Trustees. The Board of Directors shall consist of ten (10) members, with five (5) members being elected or appointed by the SMHS Member (the "SMHS Directors") and five (5) members being elected or appointed by the LHP Member (the "LHP Directors"). Notwithstanding the foregoing, from and after either (i) the date upon which the SMHS Member sells, assigns, or transfers all or any portion of its Units to any Person other than a SMHS Affiliate or an LHP Affiliate or (ii) the first date upon which the aggregate Sharing Percentage of the SMHS Member(s) is less than twenty percent (20%), then the Board of Directors shall consist of exactly ten (10), and no more than ten (10), members, and the LHP Member and the SMHS Member shall be entitled to elect to the Board of Directors the following number of directors, respectively: (a) if the aggregate Sharing Percentage of the SMHS Member(s) is greater than ten percent (10%) but less than twenty percent (20%), then the LHP Member shall elect eight (8) directors and the SMHS Member shall elect two (2) directors; and (b) if the aggregate Sharing Percentage of the SMHS Member(s) is ten percent (10%) or less, then the LHP Member shall elect nine (9) directors and the SMHS Member shall elect one (1) director. Each individual selected to serve on the Board of Directors shall serve for a term of one (1) to three (3) years, at the discretion of the Member having the right to elect or appoint such individual, and thereafter until his successor is elected or appointed, unless he sooner resigns or is removed. A member of the Board of Directors may be removed at any time without cause only by that Member having the initial right to elect or appoint such individual. The unexpired term of a director who resigns or is removed shall be filled by an individual appointed only by the Member having the initial right to elect or appoint such individual to the Board of Directors. The SMHS Directors shall elect annually the Chairman of

the Board of Directors. The Chairman of the Board of Directors shall preside over all meetings of the Board of Directors.

12.2 Manner of Exercise of Board of Directors' Authority. All responsibilities of the Board of Directors under this Agreement shall be exercised by the Board of Directors as a body, and no member of the Board of Directors, acting alone, shall have the authority to act on behalf of the Company or the Board of Directors. In no event shall the Board of Directors (or any member thereof) be deemed a manager under the Act or have the authority to act on behalf of, or to bind in any way, the Company. The actions of the Board of Directors shall be carried out by the Manager as provided for in this Agreement and the Management Agreement.

12.3 Meetings of the Board of Directors. The Board of Directors shall hold regular meetings on at least a quarterly basis. In addition, each member of the Board of Directors shall be available at all reasonable times to consult with other members of the Board of Directors on matters relating to the duties of the Board of Directors. Meetings of the Board of Directors shall be held at the call of the Manager, the Chairman of the Board of Directors, any two (2) SMHS Directors, or any two (2) LHP Directors requesting such meeting through such Chairman, upon not less than ten (10) business days written or telephonic notice to the members of the Board of Directors, such notice specifying all matters to come before the Board of Directors for action at such meeting. The presence of any member of the Board of Directors at a meeting shall constitute a waiver of notice of the meeting with respect to such member unless such member attends the meeting for the sole purpose of objecting to the holding of such meeting. The members of the Board of Directors may, at their election, participate in any regular or special meeting by means of conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other. A member's participation in a meeting pursuant to the preceding sentence shall constitute presence in person at such meeting for all purposes of this Agreement. Special meetings of the Board of Directors shall be held at such place, within or without the State of Delaware, as from time to time may be fixed by the Manager. If no place is so fixed, meetings of the Board of Directors shall be held at the offices of the Company in Waterbury, Connecticut. Except as otherwise herein provided, the SMHS Directors and the LHP Directors shall each as a class determine the number thereof that shall constitute a quorum of the members in such category (but in no event shall a quorum consist of fewer than two (2) directors in each category) and shall notify the members in the other category of such number. Except as otherwise herein provided, no action taken by a category of members of the Board of Directors at any meeting shall be valid unless a quorum for such category is present. Members may vote in person or by proxy at such meeting.

12.4 Board of Trustees. Effective for all purposes as of the date of this Agreement, the Board of Directors shall form a board of trustees for the Hospital (the "Board of Trustees"). The Board of Directors shall have the authority to appoint additional or replacement Trustees to the Board of Trustees. The Board of Trustees shall consist of twelve (12) members, with at least fifty percent (50%) of such members being physicians on the Hospital's active medical staff and the remaining members being local community leaders. The Hospital's Chief Executive Officer shall be an *ex officio*, non-voting member of the Board of Trustees. Each individual selected to serve on the Board of Trustees shall serve for a term of one (1) year and thereafter until his successor is elected or appointed, unless he sooner resigns or is removed by the Approval of the Board. The Board of Trustees shall meet on a regular basis and have the following responsibilities: (a) participating in the adoption of a vision, mission, and values statement for

the Hospital consistent with the Catholic Directives; (b) participating in development and review of operating and capital budgets and facility planning for the Hospital and advising the Board of Directors of the Company with respect to the same (it being understood that ultimate authority for budgets and planning resides with the Board of Directors); (c) participating in periodic evaluations of the Chief Executive Officer of the Hospital; (d) monitoring quality and performance improvement at the Hospital and reporting to the Board of Directors of the Company with respect to the same; (e) granting medical staff privileges and, when necessary and with the advice of counsel, taking disciplinary action consistent with the Medical Staff Bylaws; (f) assuring medical staff compliance with Joint Commission requirements (with the advice of counsel); (g) supporting physician recruitment efforts; (h) fostering community relations and identifying service and educational opportunities; and (i) performing such other activities and duties as may be directed or delegated to it by the Board of Directors.

12.5 Uncompensated Care. The Board of Directors shall adopt as its policy concerning uncompensated care, and shall cause the Company and the Hospital to abide by, the policy on uncompensated care attached as Exhibit D, as in effect on the date of this Agreement, or such other policies as may be Approved by the Board. The Company shall cause its facilities, including without limitation the Hospital, to participate in the Medicare and Medicaid programs. The Company shall cause the Hospital to treat any patient presented to the emergency room in accordance with the Standards. This covenant shall be subject in all respects to changes in governmental law, policy, or regulation.

12.6 Board of Directors Deadlock or Dispute. It is the intention of the parties that the members of the Board of Directors will make a good faith effort to resolve any dispute, disagreement, or difference of opinion arising among the SMHS Directors and LHP Directors with respect to the Company or this Agreement, including any dispute, disagreement, or difference of opinion regarding a matter that constitutes a Material Dispute. In resolving any Material Dispute, the members of the Board of Directors shall act in accordance with the following procedures:

(a) First, the SMHS Directors and the LHP Directors shall negotiate in good faith for a period of thirty (30) days in an effort to resolve the Material Dispute. If applicable to the nature of the Material Dispute, the Directors shall give priority to the fulfillment by the Company of the Standards and Section 3.2(b) hereof in reaching such resolution. The Board of Directors shall meet a minimum of three times during such period to attempt to resolve the Material Dispute and shall hold such meetings in person to the extent practicable.

(b) In the event that, by the end of the 30-day period referred to in Section 12.6(a), the Material Dispute has not been resolved pursuant to the procedures set forth in Section 12.6(a), the Chief Executive Officer of SMHS and the Chief Executive Officer of LHP Parent shall meet to attempt to resolve the Material Dispute and shall hold such meeting in person to the extent practicable. If the Material Dispute is still not resolved after such meeting(s), either category of Directors may invoke the Material Dispute resolution procedures set forth in this Section 12.6(b) by sending written notice to the other invoking the procedures of this Section 12.6(b). For a period of thirty (30) days following the receipt of such written notice, the SMHS Directors and the LHP Directors shall act in good faith to resolve the Material Dispute by mutually selecting, engaging, and meeting with an individual who will serve as a mediator for the purpose of resolving the Material Dispute. If applicable to the nature of the Material Dispute,

the mediator shall give priority to the fulfillment by the Company of the Standards and Section 3.2(b) hereof in reaching such resolution. The Members agree to participate in the mediation of the Material Dispute to its conclusion. The mediation shall be terminated by: (i) the execution of a settlement agreement or similar statement by the parties, (ii) a written declaration of the mediator that the mediation is terminated, or (iii) a written declaration by the parties following no fewer than five (5) full days of mediation to the effect that the mediation process is terminated. The mediator shall be disqualified as a witness, expert, or counsel for any party with respect to the Material Dispute and any related matters. The entire mediation process shall be confidential, and no conduct, statements, promises, offers, views, or opinions associated with the mediation process shall be discoverable or admissible in any legal proceeding for any purpose; provided, however, that evidence that is otherwise discoverable or admissible is not excluded from discovery or admission as a result of its use in the mediation. The Company shall pay the reasonable fees and related expenses of the facilitator or mediator.

(c) In the event that, by the end of the 30-day period described in Section 12.6(b), the Material Dispute has not been resolved pursuant to the procedures set forth in Section 12.6(b), either category of Directors may elect to resort to binding arbitration for the purpose of resolving the Material Dispute by sending written notice to the other demanding binding arbitration under this Section 12.6(c). The binding arbitration shall be conducted by a single neutral arbitrator in accordance with the Commercial Arbitration Rules (the "Rules") of the American Arbitration Association (the "AAA"). The arbitrator shall be selected by the parties and shall have at least five years' experience in arbitrating commercial disputes. If the parties are unable to agree upon the selection of the arbitrator within 30 days of the date that notice of arbitration demand is given, the arbitrator shall be selected by the AAA in accordance with Section R-11 of the Rules. Any arbitration shall be conducted in accordance with the procedural and evidentiary rules of the Rules and shall be conducted in New Haven County, Connecticut, or such other venue as the parties may agree, and any judgment on the award rendered in such arbitration shall be entered in any state or federal court in Connecticut having jurisdiction. The prevailing party in any such arbitration proceeding as determined by the arbitrator shall be entitled to recover its reasonable attorneys' fees and costs. Nothing herein shall prohibit a party from seeking equitable relief in a court of law to maintain the status quo while an arbitration is pending hereunder. The parties agree that the arbitrator shall give priority to the fulfillment by the Company of the Standards and Section 3.2(b) hereof and shall not have the right to award punitive damages. No action or inaction by either category of Directors under any of the provisions of this Section 12.6 shall constitute any basis for granting or denying any relief sought by either category of Directors in any such arbitration.

(d) Notwithstanding the foregoing, in the event the Board of Directors should be deadlocked with respect to the approval of an annual capital budget or an annual operating budget, the Manager shall have the right, power, and authority to make expenditures on behalf of the Company for budgeted items in amounts up to the following: (a) with respect to each item of operating expense other than taxes and insurance, an amount equal to the amount set forth in the most recent annual operating budget that has received the Approval of the Board, increased by the percentage increase, if any, in the Consumer Price Index for the period beginning on the date upon which such most recent annual operating budget received the Approval of the Board and ending on the first day of the fiscal year in which such expenditure is to be made; (b) with respect to each item relating to taxes and insurance, an amount equal to the amount of the actual expense incurred by the Company in respect of such item; and (c) with respect to each item of

capital improvement or capital expenditure, an amount equal to the amount deemed reasonably necessary by the Manager to preserve the safety of the Hospital, its patients, and other occupants, to avoid the suspension of any services provided by the Hospital, or to preserve the accreditation of the Hospital and its services. Notwithstanding the foregoing, if any emergency involving manifest danger to life or property exists with respect to which expenditures are necessary for the preservation or safety of the Hospital, for the safety of the patients and other occupants of the Hospital, or to avoid the suspension of any necessary service to the Hospital, such expenditures may be made by the Manager without the prior Approval of the Board. Nothing in this Section 12.6(d) shall authorize or empower the Manager to make a request for Optional Capital Contributions in contravention of Section 4.2 or to obligate the Members to contribute additional capital to the Company, nor shall the arbitrator, in resolving any dispute pursuant to Section 12.6(c), be empowered to order any Optional Capital Contributions by any Member as part of the resolution of any Material Dispute.

XIII. TRANSFER OF RIGHTS AND ADDITIONAL MEMBERS.

13.1 Transfers by Members. Except as otherwise set forth in this Section 13.1, a Member may not sell, assign (by operation of law or otherwise), transfer, pledge, or hypothecate all or any part of its interest in the Company (either directly or indirectly through the transfer of the power to control, or to direct or cause the direction of the management and policies of, such Member) without the Approval of the Board. If a Member receives the Approval of the Board, it may sell its interest in the Company if the following conditions are satisfied:

- (a) The sale, transfer, or assignment is with respect to one or more Units;
- (b) The Member and its transferee execute, acknowledge, and deliver to the Manager such instruments of transfer and assignment with respect to such transaction as are in form and substance satisfactory to the Manager;
- (c) Unless waived in writing by the Manager, the Member delivers to the Manager an opinion of counsel satisfactory to the Manager covering such securities and tax law issues and other aspects of the proposed transfer as the Manager may reasonably request;
- (d) The Member furnishes to the transferee a written statement showing the name and taxpayer identification number of the Company in such form and together with such other information as may be required under Section 6050K of the Code and the Regulations thereunder; and
- (e) The Member pays the Company a transfer fee that is sufficient to pay all reasonable expenses of the Company (which shall include any and all expenses of the Manager) in connection with such transaction.

Notwithstanding the foregoing restriction, the following shall not be deemed to violate the restrictions contained in this Section 13.1:

- (w) transfers to a Member pursuant to Sections 14.1 and 14.2;
- (x) the transfer by a Member to an Affiliate of such Member, to another Member, or to an Affiliate of such other Member;

(y) the transfer by a Member to any Person of the power to control, directly or indirectly, or to direct or cause, directly or indirectly, the direction of the management and policies of, such Member, whether through the ownership of voting securities, by contract, or otherwise; and

(z) the pledge, hypothecation, or use as a guaranty by a Member of its interest in the Company to a financial institution as collateral for loans or other indebtedness.

Any Member who thereafter sells, assigns, or otherwise transfers all or any portion of its interest in the Company shall promptly notify the Manager of such transfer and shall furnish to the Manager the name and address of the transferee and such other information as may be required under Section 6050K of the Code and the Regulations thereunder.

13.2 Substituted Member. No Person taking or acquiring, by whatever means, the interest of any Member in the Company, except as provided in Section 13.1 hereof, shall be admitted as a Substituted Member without the Approval of the Board, which consent may be unreasonably withheld, and unless such Person:

(a) Elects to become a Substituted Member by delivering notice of such election to the Company;

(b) Executes, acknowledges, and delivers to the Company such other instruments as the Manager may deem necessary or advisable to effect the admission of such Person as a Substituted Member, including, without limitation, the written acceptance and adoption by such Person of the provisions of this Agreement; and

(c) Pays a transfer fee to the Company in an amount sufficient to cover all reasonable expenses connected with the admission of such Person as a Substituted Member.

13.3 Additional Member. The Company may not issue Units to any Person who will be a new Member without the Approval of the Board.

13.4 Basis Adjustment. Upon the transfer of all or part of an interest in the Company, the Manager may, in its reasonable discretion, cause the Company to elect, pursuant to Section 754 of the Code or the corresponding provisions of subsequent law, to adjust the basis of the Company properties as provided by Sections 734 and 743 of the Code.

13.5 Invalid Transfer. No transfer of an interest in the Company that is in violation of this Article XIII shall be valid or effective, and the Company shall not recognize any improper transfer for the purposes of making allocations, payments of profits, return of capital contributions, or other distributions with respect to such Company interest or part thereof. The Company may enforce the provisions of this Article XIII either directly or indirectly or through its agents by entering an appropriate stop transfer order on its books or otherwise refusing to register or transfer or permit the registration or transfer on its books of any proposed transfers not in accordance with this Article XIII.

13.6 Distributions and Allocations in Respect of a Transferred Unit. If any Member sells, assigns, or transfers any part of its interest in the Company during any accounting period in compliance with the provisions of this Article XIII, Company income, gain, deductions, and

losses attributable to such interest for the respective period shall be divided and allocated between the transferor and the transferee by taking into account their varying interests during the applicable accounting period in accordance with Section 706(d) of the Code. All Company distributions on or before the effective date of such transfer shall be made to the transferor, and all such Company distributions thereafter shall be made to the transferee. Solely for purposes of making Company tax allocations and distributions, the Company shall recognize a transfer on the day following the day of transfer. Neither the Company nor the Manager shall incur any liability for making Company allocations and distributions in accordance with the provisions of this Section 13.6, whether or not the Manager or the Company has knowledge of any transfer of any interest in the Company or part thereof where the transferee is not admitted as a Substituted Member.

13.7 Additional Requirements of Admission to Company. The Manager shall not admit any Person as a Member if such admission (i) would have the effect of causing the Company to be reclassified for federal income tax purposes as an association (taxable as a corporation under the Code), (ii) would violate any Medicare or other health care law, rule, or regulation, or (iii) would violate applicable exemptions from securities registration and securities disclosure provisions under federal and state securities laws.

13.8 Amendment to Exhibit B. The Manager shall amend Exhibit B attached to this Agreement from time to time to reflect the admission of any Substituted Members or Additional Members or the termination of any Member's interest in the Company.

XIV. SPECIAL RIGHTS RELATING TO COMPANY INTERESTS.

14.1 Right of First Refusal. If any Member (the "Selling Member") receives or obtains a bona fide offer from an unrelated third party (the "Offeror") to acquire in any manner all or any part of its interest in the Company (the "Interest"), which offer the Member intends to accept, the Selling Member shall promptly notify the other Member(s) in writing of the offer received, setting forth with specificity all of the material terms and conditions of the offer, including a copy of the offer, the name of the Offeror, the number of whole or partial Units or other securities offered to be purchased, the proposed purchase price, the financing terms, the expected date to consummate the proposed transaction, and the other terms and conditions of the offer (the "Offer"). In addition to the Tag-Along Right as described below, the other Member(s) shall have the right (the "Right of First Refusal") for a period of sixty (60) days from the day it receives notice of the Offer to purchase the Interest on the same terms and conditions contained in the Offer, provided that for the purposes of this Agreement, any provisions in the Offer permitting or requiring payment of non-cash or non-promissory note consideration, any security therefor, and any ancillary agreements shall be null, void, and of no effect. The other Member(s) may exercise such Right of First Refusal by notifying the Selling Member prior to the end of the sixty (60) day period of its intent to exercise such right. If the other Member(s) fails to exercise the Right of First Refusal or indicates in writing that it will not exercise the Right of First Refusal within the period provided, or if the other Member(s) exercises the Right of First Refusal but fails to effect the purchase within the period prescribed in the Offer, the Selling Member may, subject to Section 14.2 hereof, convey or dispose of the Interest, but only to the Offeror and at the price, terms, and conditions set forth in the Offer. If terms and conditions more favorable to the Offeror than, or in any material manner different from, those offered to the other Member(s) should be agreed to by the Selling Member, the other Member(s) shall again have the

right to purchase the Selling Member's interest in the Company subject to the more favorable or different purchase terms in accordance with this Section 14.1. The other Member(s) may assign the rights in this Section 14.1 to the Company, in which event the Member's interest may be liquidated (rather than purchased) by the Company. The Member(s) and the Company shall not be liable or accountable to any Selling Member that attempts to transfer its interest in the Company for any loss, damage, expense, cost, or liability resulting from the Member's exercise or failure to exercise the Right of First Refusal under this Section 14.1, delay in notifying the Selling Member of its intention not to exercise the Right of First Refusal, or enforcement of the requirements of this Section 14.1 in the event that it elects not to exercise the Right of First Refusal. A Member's failure to exercise the Right of First Refusal or to indicate in writing that it is electing not to exercise the Right of First Refusal shall not be deemed a consent of the Member to allow any third party transferee to become a Substituted Member, such consent being controlled by the provisions of Section 13.2 hereof.

14.2 Tag-Along Rights. If at any time a Selling Member that holds a Sharing Percentage greater than fifty percent (50%) gives the notice required by Section 14.1 hereof in connection with an Offer to acquire in any manner all or any part of such Selling Member's interest in the Company, and the other Member(s) does not exercise its Right of First Refusal (or assign such right to the Company) with respect to such Offer, the non-Selling Member shall have (in addition to its Right of First Refusal under Section 14.1 hereof) the right (the "Tag-Along Right") to require, as a condition to any sale or disposition to the Offeror, that the Offeror purchase from the non-Selling Member, at the same price and on the same terms and conditions as specified in the notice given pursuant to Section 14.1 hereof, all or a portion of the Units owned by the non-Selling Member, at the option of the non-Selling Member. Such non-Selling Member shall have the Tag-Along Right described in this Section 14.2 for a period of ninety (90) days from the day it receives the notice required by Section 14.1 hereof, and in the event that a Member shall elect to exercise such Tag-Along Right, such Member shall communicate such election in writing to the Selling Member within such time period.

14.3 SMHS Member Options to Purchase and Sell. The SMHS Member shall have the right to purchase, and the LHP Member shall have the obligation to sell, a portion of the LHP Members' Units pursuant to the terms of the Option to Purchase attached hereto as Exhibit E. In addition, the SMHS Member shall have the right to sell, and the LHP Member shall have the obligation to purchase, the SMHS Members' Units pursuant to the terms of the Option to Sell attached hereto as Exhibit F.

14.4 LHP Member Option to Purchase (Call) Based on Sharing Percentage. If the SMHS Members' aggregate Sharing Percentage decreases to less than twenty percent (20%), the LHP Member shall have the right to acquire the SMHS Members' Units pursuant to the terms of an Option to Purchase (Call) substantially in the form attached hereto as Exhibit G.

14.5 SMHS Member Rights Upon LHP Parent Triggering Event.

(a) In the event that a Triggering Event (as defined in Section 14.5(c)) occurs with respect to LHP Parent, the SMHS Members (or an Affiliate thereof) shall have the right to purchase, and the LHP Members shall have the obligation to sell, all, but not less than all, of the Units held by the LHP Members in exchange for the payment in cash of a purchase price equal to

the Appraised Value of the Units and otherwise in accordance with the provisions of this Section 14.5.

(b) The LHP Members shall give the Company and the SMHS Members written notice of the occurrence of a Triggering Event (the “Triggering Event Notice”) as soon as practicable, but in no event later than thirty (30) days after the Triggering Event has occurred. The LHP Member’s failure to give the Triggering Event Notice shall not affect the SMHS Members’ rights granted herein. The SMHS Members shall provide written notice (the “Election Notice”) to the LHP Members and the Company of their election to exercise the option granted by this Section 14.5 no sooner than Trigger Date and no later than the date sixty (60) days following the Trigger Date. If the SMHS Members fail to give an Election Notice within the sixty (60) days following the Trigger Date, the option to purchase granted by this Section 14.5 shall lapse. The closing of the purchase and sale of the LHP Members’ Units to the SMHS Members shall be held at a mutually acceptable place on a mutually acceptable date not more than one hundred twenty (120) days after the date on which the Election Notice is received by the LHP Members (unless such other date or time is agreed to by the parties). The SMHS Members shall make payment to the LHP Members for the Units being purchased by delivering immediately available funds to an account designated by the LHP Members in the full amount of the purchase price applicable to the Units. The LHP Members shall transfer to the SMHS Members all, but not less than all, of the Units being sold, free and clear of all claims, liabilities, options, pledges, or other encumbrances of any kind (other than those arising under the Agreement).

(c) For purposes of this Section 14.5, the following terms shall have the meanings indicated:

(i) “Appraised Value of the Units” shall have the meaning set forth in Section 14.6.

(ii) A “Leadership Change” shall have occurred if, at any time within six (6) months following an Ownership Change, (i) fewer than fifty percent (50%) of the members of the Board of Directors of LHP Parent who are not representatives of the financial sponsors or management of LHP Parent on the date of such Ownership Change continue to serve in such capacity (excluding for this purpose any director who fails to serve in such capacity as the result of death, disability, or retirement) and (ii) fewer than fifty percent (50%) of the officers of LHP Parent at the level of Executive Vice President or higher on the date of such Ownership Change continue to serve in such capacity (excluding for this purpose any officer who fails to serve in such capacity as the result of death, disability, or retirement).

(iii) An “Ownership Change” shall have occurred if at any time (y) any Person other than one or more Permitted Holders is or becomes the beneficial owner, directly or indirectly, of more than 35% of the total voting power of the equity interests of LHP Parent and (z) the Permitted Holders beneficially own, directly or indirectly and in the aggregate, a lesser percentage of the total voting power of the equity interests of LHP Parent than such other Person.

(iv) “Permitted Holders” shall mean CCMP Capital Advisors, LLC, CPP Investment Board, any person who is a member of the Board of Directors of LHP Parent as of the date of an Ownership Change, any person who is an officer of LHP Parent as of the date of

an Ownership Change, and any Affiliate of any of them (including for this purpose any investment fund under the management of any of them).

(v) “Trigger Date” shall mean the first anniversary of the date of the Triggering Event in question.

(vi) A “Triggering Event” shall have occurred if LHP Parent experiences a Leadership Change.

14.6 Appraised Value.

(a) The Appraised Value of the Units of a Member shall be the product determined by multiplying (i) the Appraised Fair Market Value of the Company (hereinafter defined), times (ii) the applicable Member’s Sharing Percentage. For purposes of this Agreement, the term “Appraised Fair Market Value of the Company” shall mean the fair market value of the Company, as determined below.

(b) The LHP Members and the SMHS Members shall negotiate in good faith with one another following the Trigger Date to determine the Appraised Fair Market Value of the Company. The LHP Members and the SMHS Members agree to use their best efforts to negotiate an agreed upon Appraised Fair Market Value of the Company. If the LHP Members and the SMHS Members reach an agreement as to the Appraised Fair Market Value of the Company, then the Appraised Fair Market Value of the Company shall be the amount determined by the LHP Members and the SMHS Members.

(c) If the LHP Members and the SMHS Members are unable to agree upon the Appraised Fair Market Value of a Company within thirty (30) days following the Trigger Date, then either group of Members (i.e., the SMHS Members or the LHP Members) may notify the other group of Members that it is initiating the Appraisal Process (herein so called) described below (or such other appraisal process upon which the parties may mutually agree in writing within ten (10) days of the date on which either party has initiated the appraisal process (the “Alternate Appraisal Process”). If either the LHP Members or the SMHS Members shall have initiated the Appraisal Process (and the parties shall not have agreed in writing to an Alternate Appraisal Process within ten (10) days), then the LHP Members and the SMHS Members shall each engage a Qualified Appraiser (collectively, the “Initial Appraisers” and individually, an “Initial Appraiser”) within twenty (20) days after the date upon which the party received notice that the other party’s intent to initiate the Appraisal Process (the “Initiation Date”). The LHP Members and the SMHS Members also shall engage jointly one additional Qualified Appraiser that is mutually acceptable to the parties (the “Third Appraiser”; the Initial Appraisers and the Third Appraiser are referred to collectively as the “Appraisers”). If the parties cannot agree upon the identity of the Third Appraiser within twenty (20) days after the Initiation Date, the parties shall direct the Initial Appraisers to select and engage the Third Appraiser on behalf of the parties. Each of the LHP Members and the SMHS Members shall pay the fees and expenses of its respective Appraiser, and the fees and expenses of the Third Appraiser shall be shared equally by the LHP Members and the SMHS Members. For purposes of this Agreement, the term “Qualified Appraiser” shall mean an independent, third party, nationally recognized investment bank or MAI-certified appraiser who (i) is experienced in the valuation of health care entities comparable to the Company and (ii) has, within the twenty-four (24) month period preceding the

date of the Election Notice, delivered appraisals and/or fairness opinions, on a going concern basis, in connection with at least three (3) other transactions involving the sales of hospitals. The Appraisers so selected shall each then conduct an appraisal to determine the Appraised Fair Market Value of the Company (i) on a going concern basis, (ii) using valuation techniques then customary and accepted in the industry, (iii) using performance information respecting the Hospital that is acceptable to the LHP Members and the SMHS Members and that has been supplied to each of the Appraisers, (iv) viewing the enterprise of the Company as a whole, (v) taking into account the future prospects of the Hospital, and (vi) assuming that the Company were to be sold on a stand-alone basis (and not as a part of a portfolio sale). Each Appraiser's determination of the Appraised Fair Market Value of the Company (individually, a "Valuation" and collectively, the "Valuations") shall be expressed as a single value rather than a range of values. Each group of Members shall cause the Initial Appraiser engaged by it to submit such Initial Appraiser's sealed Valuation to the other group of Members within sixty (60) days of the Initiation Date, and both groups of Members shall use their reasonable best efforts to cause the Third Appraiser to submit its sealed Valuation to both groups of Members within such period. Once the LHP Members and the SMHS Members have received from all three Appraisers their respective Valuations, the Appraised Fair Market Value of the Company shall be determined based upon the Valuations as follows:

(i) if the three Valuations are within five percent (5%) of another (i.e., if each of the highest Valuation and the middle Valuation is no greater than 1.05 times the lowest Valuation), the Appraised Fair Market Value of the Company shall be the average of all three Valuations;

(ii) if subsection (i) above is inapplicable and two Valuations are within five percent (5%) of one another (i.e., if the higher of such two Valuations is no greater than 1.05 times the lower of such two Valuations), the Appraised Fair Market Value of the Company shall be the average of such two Valuations;

(iii) if subsections (i) and (ii) above are inapplicable and the three Valuations are within ten percent (10%) of one another (i.e., if each of the highest Valuation and the middle Valuation is no greater than 1.10 times the lowest Valuation), the Appraised Fair Market Value of the Company shall be the average of all three Valuations;

(iv) if subsections (i) through (iii) above are inapplicable and two Valuations are within ten percent (10%) of one another (i.e., if the higher of such two Valuations is no greater than 1.10 times the lower of such two Valuations), the Appraised Fair Market Value of the Company shall be the average of such two Valuations;

(v) if subsections (i) through (iv) above are inapplicable and the three Valuations are within twenty percent (20%) of one another (i.e., if each of the highest Valuation and the middle Valuation is no greater than 1.20 times the lowest Valuation), the Appraised Fair Market Value of the Company shall be the average of all three Valuations;

(vi) if subsections (i) through (v) above are inapplicable and two Valuations are within twenty percent (20%) of one another (i.e., if the higher of such two Valuations is no greater than 1.20 times the lower of such two Valuations), the Appraised Fair Market Value of the Company shall be the average of such two Valuations; and

(vii) if subsections (i) through (vi) above are inapplicable, the Appraised Fair Market Value of the Company shall be the average of all three Valuations.

XV. DISSOLUTION.

15.1 Causes. Each Member expressly waives any right that it might otherwise have to dissolve the Company except as set forth in this Article XV. The Company shall be dissolved upon the first to occur of the following:

(a) The Approval by the Members of an instrument dissolving the Company;

(b) The dissolution of the Company by judicial decree;

(c) The Approval of the Board of the dissolution of the Company after having determined that a rule, ordinance, regulation, statute, or government pronouncement has or may be enacted that would make any material aspect of this Agreement or the activities conducted by the Company unlawful or eliminate or substantially reduce, either directly or indirectly, the benefits that would accrue to the Members with respect to continuing the Company's business operations; provided, however, that the Members agree to first use their best efforts to restructure the Company in such a manner that will avoid the unlawful or adverse effect and, to the extent practicable, preserve the existing financial and business relationships among them; or

(d) The decision of the SMHS Directors (by majority vote) that the Company is not being operated in a manner consistent with the Standards, but only after the SMHS Directors shall have provided the Manager and the LHP Directors with written notice of such operational deficiencies and such operational deficiencies have continued for a period of ninety (90) days after such written notice, or in the event of an operational deficiency that cannot reasonably be cured within such ninety (90)-day period, the Manager and the LHP Directors shall fail to commence a cure within such ninety (90)-day period or shall fail thereafter diligently and in good faith to pursue such cure to completion (the time for cure in any event not to exceed one hundred eighty (180) days after such written notice).

Nothing contained in this Section 15.1 is intended to grant to any Member the right to dissolve the Company at will (by retirement, resignation, withdrawal, or otherwise) or to exonerate any Member from liability to the Company and the remaining Members if it dissolves the Company at will. Any dissolution at will of the Company shall be in contravention of this Agreement for purposes of the Act.

XVI. WINDING UP AND TERMINATION.

16.1 General. If the Company is dissolved and is not reconstituted, the Manager (or in the event that the Manager has withdrawn as Manager, a Liquidator or liquidating committee Approved by the Members shall commence to wind up the affairs of the Company and to liquidate and sell the Company's assets. The party or parties actually conducting such liquidation in accordance with the foregoing sentence, whether the Manager, a liquidator, or a liquidating committee, is herein referred to as the "Liquidator." The Liquidator (if other than the Manager) shall have sufficient business expertise and competence to conduct the winding up and termination of the Company and, in the course thereof, to cause the Company to perform any contracts to which the Company is or thereafter becomes a party. The Liquidator shall have full

right and unlimited discretion to determine the time, manner, and terms of any sale or sales of Company property under such liquidation, having due regard for the activity and condition of the relevant market and general financial and economic conditions. The Liquidator (if other than the Manager) appointed as provided herein shall be entitled to receive such reasonable compensation for its services as shall be agreed upon by the Liquidator and Approved by the Members. If the Manager serves as the Liquidator, the Manager shall not be entitled to receive any fee for carrying out the duties of the Liquidator. The Liquidator (if other than the Manager) may resign at any time by giving fifteen (15) days prior written notice and may be removed at any time, with or without cause, by written notice Approved by the Members. Upon the death, dissolution, removal, or resignation of the Liquidator, a successor and substitute Liquidator (who shall have and succeed to all the rights, powers, and duties of the original Liquidator) will, within thirty (30) days thereafter, be appointed by Approval of the Members, evidenced by written appointment and acceptance. The right to appoint a successor or substitute Liquidator in the manner provided herein shall be recurring and continuing for so long as the functions and services of the Liquidator are authorized to continue under the provisions hereof, and every reference herein to the Liquidator will be deemed to refer also to any such successor or substitute Liquidator appointed in the manner herein provided. The Liquidator shall have and may exercise, without further authorization or consent of any of the parties hereto or their legal representatives or successors in interest, all of the powers conferred upon the Manager under the terms of this Agreement to the extent necessary or desirable in the good faith judgment of the Liquidator to perform its duties and functions. The Liquidator (if other than the Manager) shall not be liable to the Members except to the extent provided in the Act and shall, while acting in such capacity on behalf of the Company, be entitled to the indemnification rights set forth in Section 17.1 hereof.

16.2 Court Appointment of Liquidator. If the Manager does not serve as the Liquidator and, within ninety (90) days following the date of dissolution or other time provided in Section 16.1 hereof, a Liquidator or successor Liquidator has not been appointed in the manner provided therein, any interested party shall have the right to make application to any United States Federal District Judge (in his individual and not judicial capacity) for the District of Connecticut for appointment of a Liquidator or successor Liquidator, and the Judge, acting as an individual and not in his judicial capacity, shall be fully authorized and empowered to appoint and designate a Liquidator or successor Liquidator who shall have all the powers, duties, rights, and authority of the Liquidator herein provided.

16.3 Liquidation. The Liquidator shall give all notices to creditors of the Company and shall make all publications required by the Act. In the course of winding up and terminating the business and affairs of the Company, the assets of the Company (other than cash) shall be sold or distributed in kind to the Members, in the reasonable discretion of the Liquidator, its liabilities and obligations to creditors (including any Members who made loans to the Company as provided in Section 4.5 hereof) and all expenses incurred in its liquidation shall be paid, and all resulting items of Company income, gain, loss, or deduction shall be credited or charged to the Capital Accounts of the Members in accordance with Article V hereof. The fair market value of any assets of the Company distributed in kind to the Members shall be determined by an independent appraiser chosen by the Approval of the Board. Any distribution in kind need not be made on a pro rata basis so long as the value of the assets and cash (if any) distributed to each Member is in compliance with this Article XVI. All Company assets (except to the extent reserves have been established pursuant to Section 16.4 hereof) shall be distributed among all

Members having positive Capital Account balances (as determined after giving effect to all adjustments attributable to allocations of items of profit and loss realized by the Company during the fiscal year in question (including items of profit and loss realized on the liquidation) and all adjustments attributable to contributions and distributions of money and property effected prior to such distribution), pro rata in accordance with such positive Capital Account balances. This distribution shall be made no later than the end of the fiscal year during which the Company is liquidated (or, if later, ninety (90) days after the date on which the Company is liquidated). Upon the completion of the liquidation of the Company and the distribution of all the Company assets, the Company shall terminate and the Liquidator shall have the authority to execute and record all documents required to effectuate the dissolution and termination of the Company. In the discretion of the Liquidator, a pro rata portion of the distributions that would otherwise be made to the Members may instead be distributed to a trust established for the benefit of the Members for the purposes of liquidating Company property, collecting amounts owed to the Company, and paying any contingent or unforeseen liabilities or obligations of the Company or of the Members arising out of or in connection with the Company. The assets of any such trust shall be distributed to the Members from time to time, in the reasonable discretion of the Liquidator, in the same proportions as the amount distributed to such trust by the Company would otherwise have been distributed to the Members pursuant to this Agreement.

16.4 Creation of Reserves. After making payment or provision for payment of all debts and liabilities of the Company and all expenses of liquidation, the Liquidator may set up such cash reserves as the Liquidator may deem reasonably necessary for any contingent or unforeseen liabilities or obligations of the Company.

16.5 Final Statement. Within a reasonable time following the completion of the liquidation, the Liquidator shall supply to each of the Members a statement that shall set forth the assets and the liabilities of the Company as of the date of complete liquidation, each Member's pro rata portion of distributions under Section 16.3 hereof, and the amount retained as reserves by the Liquidator under Section 16.4 hereof.

XVII. MISCELLANEOUS.

17.1 Standard of Care of Board of Directors; Indemnification.

(a) The members of the Board of Directors or the Board of Trustees (the "Board Representatives") shall not be liable, responsible, or accountable in damages to any Member or the Company for any act or omission on behalf of the Company performed or omitted by them in good faith and in a manner reasonably believed by them to be in the best interests of the Company and, in the case of a criminal proceeding, without reasonable cause to believe that the conduct was unlawful.

(b) To the fullest extent permitted by the Act, the Company shall indemnify each Board Representative against reasonable expenses (including reasonable attorneys' fees), judgments, taxes, penalties, fines (including any excise tax assessed with respect to an employee benefit plan), and amounts paid in settlement (collectively "Liability"), incurred by the Board Representative in connection with defending any threatened, pending, or completed action, suit, or proceeding (whether civil, criminal, administrative, or investigative, and whether formal or informal) to which the Board Representative is, or is threatened to be made, a party because he

or she is or was a Board Representative, provided that (i) the Board Representative acted in good faith and in a manner reasonably believed by the Board Representative to be in the best interest of the Company; (ii) in the case of a criminal proceeding, the Board Representative had no reasonable cause to believe the conduct was unlawful; (iii) in connection with a proceeding brought by or in the right of the Company, the Board Representative was not adjudged liable to the Company; and (iv) the Board Representative was not adjudged liable in a proceeding charging improper personal benefit.

(c) To the fullest extent authorized or permitted by the Act, the Company shall pay or reimburse reasonable expenses (including reasonable attorneys' fees) incurred by a Board Representative who is a party to a proceeding in advance of final disposition of such proceeding if (i) the Board Representative furnishes the Company a written affirmation of his or her good faith belief that he or she has met the standard of conduct described in Section 17.1(b) hereof; (ii) the Board Representative furnishes the Company a written undertaking, executed personally or on the Board Representative's behalf, to repay the advance if it is ultimately determined that the Board Representative did not meet the standard of conduct and the Board reasonably believes such Board Representative would have the ability to repay such advance; and (iii) a determination is made that the facts then known to those making the determination would not preclude indemnification under the provisions of Section 17.1(b) hereof.

(d) The indemnification against Liability and advancement of expenses provided by, or granted pursuant to, this Section 17.1 shall not be deemed exclusive of any other rights to which those seeking indemnification or advancement may be entitled under any agreement, action of Members, or otherwise, both as to action in their official capacity and as to action in another capacity while holding such office of the Company, shall continue as to an entity or person who has ceased to be a Board Representative, and shall inure to the benefit of the successors, assigns, heirs, executors, and administrators of such an entity or person.

(e) Any repeal or modification of this Section 17.1 by the Members shall not adversely affect any right or protection of the Board Representatives under this Section 17.1 with respect to any act or omission occurring prior to the time of such repeal or modification.

17.2 Notices. All notices given pursuant to this Agreement shall be in writing and shall be deemed effective when personally delivered or when placed in the United States mail, registered or certified with return receipt requested, or when sent by facsimile followed by confirmatory letter. For purposes of notice, the addresses of the Members shall be as stated under their names on the attached Exhibit B; provided, however, that each Member shall have the right to change its address with notice hereunder to any other location by the giving of thirty (30) days notice to the Manager in the manner set forth above.

17.3 Governing Law; Venue. This Agreement shall be governed by and construed in accordance with the substantive federal laws of the United States and the laws of the State of Delaware; provided, however, that the conflicts of law principles of the State of Delaware shall not apply to the extent that they would operate to apply the laws of another state. Any dispute or proceeding arising out of or relating in any way to the subject matter of this Agreement shall be brought in any state or federal court having appropriate jurisdiction over the matter.

17.4 Waiver of Trial by Jury. EACH PARTY HERETO HEREBY IRREVOCABLY WAIVES ANY AND ALL RIGHTS IT MAY HAVE TO DEMAND THAT ANY ACTION, PROCEEDING, OR COUNTERCLAIM ARISING OUT OF OR IN ANY WAY RELATED TO THIS AGREEMENT OR THE RELATIONSHIPS OF THE PARTIES HERETO BE TRIED BY JURY. THIS WAIVER EXTENDS TO ANY AND ALL RIGHTS TO DEMAND A TRIAL BY JURY ARISING FROM ANY SOURCE INCLUDING, BUT NOT LIMITED TO, THE CONSTITUTION OF THE UNITED STATES OR ANY STATE THEREIN, COMMON LAW, OR ANY APPLICABLE STATUTE OR REGULATIONS. EACH PARTY HERETO ACKNOWLEDGES THAT IT IS KNOWINGLY AND VOLUNTARILY WAIVING ITS RIGHT TO DEMAND TRIAL BY JURY.

17.5 Successors and Assigns. This Agreement shall be binding upon and shall inure to the benefit of the Members, and their respective heirs, legal representatives, successors, and permitted assigns; provided, however, that nothing contained herein shall negate or diminish the restrictions set forth in Articles XIII or XIV hereof.

17.6 Construction. Every covenant, term, and provision of this Agreement shall be construed simply according to its fair meaning and not strictly for or against any Member. The failure by any party to specifically enforce any term or provision hereof or any rights of such party hereunder shall not be construed as the waiver by that party of its rights hereunder. The waiver by any party of a breach or violation of any provision of this Agreement shall not operate as, or be construed to be, a waiver of any subsequent breach of the same or other provision hereof.

17.7 Time. Time is of the essence with respect to this Agreement.

17.8 Waiver of Partition. Notwithstanding any statute or principle of law to the contrary, each Member hereby agrees that, during the term of the Company, it shall have no right (and hereby waives any right that it might otherwise have had) to cause any Company property to be partitioned and/or distributed in kind.

17.9 Entire Agreement. This Agreement contains the entire agreement among the Members relating to the subject matter hereof, and all prior agreements relative hereto that are not contained herein are terminated, except for the Contribution Agreement, the Management Agreement, the Administrative Services Agreement, and any documents delivered pursuant to any of them.

17.10 Amendments. Except as otherwise expressly provided in this Section 17.10, amendments or modifications may be made to this Agreement only by setting forth such amendments or modifications in a document Approved by the Members, and any alleged amendment or modification herein which is not so documented and approved shall not be effective as to any Member; provided, however, that Sections 3.1, 3.2, 3.5, 4.1, 4.2, 6.1, 8.3, 10.1, 10.2, 10.4, 10.5, 12.1, 14.2, 14.3, 14.5, 14.6, and 15.1 shall not be amended without the consent of the SMHS Member. The Manager may, without the approvals set forth in this Section 17.10, amend any provision of this Agreement and execute, swear to, acknowledge, deliver, file, and record whatever documents may be required in connection therewith to reflect:

(a) a change in the location of the principal place of business of the Company not inconsistent with the provisions of Section 2.3, or a change in the registered office or the registered agent of the Company;

(b) admission of a Member into the Company or termination of any Member's interest in the Company in accordance with this Agreement;

(c) qualification of the Company as a limited liability company under the laws of any state or that is necessary or advisable in the opinion of the Manager to ensure that the Company will not be treated as an association taxable as a corporation for federal income tax purposes, provided, in either case, such action shall not adversely affect any Member; or

(d) a change that is required or contemplated by this Agreement;

However, no amendment or modification that disproportionately affects the interest of any Member in the governance, capital, profits or losses of, or distributions or allocations with respect to, the Company shall be effective as to any Member unless the same has been set forth in a document duly executed by such Member.

17.11 Severability. This Agreement is intended to be performed in accordance with, and only to the extent permitted by, all applicable laws, ordinances, rules, and regulations. If any provision of this Agreement or the application thereof to any Person or circumstance shall, for any reason and to any extent, be invalid or unenforceable, but the extent of such invalidity or unenforceability does not destroy the basis of the bargain among the Members as expressed herein, the remainder of this Agreement and the application of such provision to other Persons or circumstances shall not be affected thereby, but rather shall be enforced to the greatest extent permitted by law.

17.12 Gender and Number. Whenever required by the context, as used in this Agreement, the singular number shall include the plural and the neuter shall include the masculine or feminine gender, and vice versa.

17.13 Exhibits. Each Exhibit to this Agreement is incorporated herein for all purposes.

17.14 Additional Documents. Each Member, upon the request of the Manager, agrees to perform all further acts and execute, acknowledge, and deliver any documents that may be reasonably necessary, appropriate, or desirable to carry out the provisions of this Agreement.

17.15 Headings. The section headings appearing in this Agreement are for convenience of reference only and are not intended, to any extent or for any purpose, to limit or define the text of any section.

17.16 Counterparts. This Agreement may be executed in counterparts, each of which shall be deemed an original but all of which shall constitute but one document.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the Members have entered into this Agreement as of the date first written above.

SAINT MARY'S HEALTH SYSTEM, INC.

By: _____

Name: _____

Title: _____

LHP SOUTHWEST CONNECTICUT, LLC

By: _____

Name: _____

Title: _____

**EXHIBIT A
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYTEM, LLC**

**Allocations of Profit and Loss
and Other Tax Matters**

ARTICLE 1

Section 1.1 Definitions. The following definitions shall be applicable in this Exhibit A and as used in the Agreement:

(a) Adjusted Capital Account Deficit.

“Adjusted Capital Account Deficit” shall mean, with respect to any Member, the deficit balance, if any, in such Member’s Section 704 Capital Account as of the end of any relevant fiscal year, after giving effect to the following adjustments:

(i) credit to such Section 704 Capital Account any amount that such Member is obligated to restore to the Company under Section 1.704-1(b)(2)(ii)(c) of the Regulations, as well as any addition thereto pursuant to the next to last sentences of Sections 1.704-2(g)(1) and 1.704-2(i)(5) of the Regulations;

(ii) debit to such Section 704 Capital Account the items described in Sections 1.704-1(b)(2)(ii)(d)(4), (5), and (6) of the Regulations.

This definition is intended to comply with the provisions of Sections 1.704-1(b)(2)(ii)(d) and 1.704-2 of the Regulations and shall be interpreted consistently with those provisions.

(b) Adjusted Net Income Or Loss.

“Adjusted Net Income Or Loss” for any fiscal year (or portion thereof) shall mean the excess (or deficit) of (x) the Gross Income for such period (not including Gross Income (if any) allocated during such period pursuant to *Sections 3.1(a), 3.1(b), and 3.1(c)* hereof) over (y) the Deductible Expenses for such period (not including Deductible Expenses (if any) allocated during such period pursuant to *Sections 3.1(d) and 3.1(e)* hereof) with the following modifications:

(i) Any item of Company profit that is exempt from federal income tax and not otherwise taken into account in computing Adjusted Net Income Or Loss pursuant to this *Section 1.1(b)* shall be treated as additional Gross Income and, if not otherwise allocated pursuant to *Section 3.1(a), 3.1(b), or 3.1(c)* hereof, added to the amount otherwise calculated as Adjusted Net Income Or Loss under *Section 1.1(b)*; and

(ii) Any Company expenditure that is described in Section 705(a)(2)(B) of the Code (relating to Company expenditures that are not deductible for federal income tax purposes in computing taxable income and not properly chargeable to capital), or treated

as so described pursuant to Section 1.704-1(b)(2)(iv)(i) of the Regulations, and not otherwise taken into account in computing Adjusted Net Income Or Loss pursuant to this *Section 1.1(b)* shall be treated as an additional Deductible Expense and, if not otherwise allocated pursuant to *Section 3.1(d) or 3.1(e)* hereof, subtracted from the amount otherwise calculated as Adjusted Net Income Or Loss under this *Section 1.1(b)*.

(c) Agreed Value.

“Agreed Value” of any property contributed to the capital of the Company shall mean the fair market value of such property at the time of contribution determined without regard to the amount of liabilities to which such property is subject (as agreed to in writing by the Members without regard to Section 7701(g) of the Code).

(d) Book Basis.

The initial “Book Basis” of any Company property shall be equal to the Company’s initial adjusted tax basis in such property; *provided, however*, that the initial “Book Basis” of any Company property contributed to the capital of the Company shall be equal to the Agreed Value of such property. Effective immediately after giving effect to the allocations of profit and loss, as computed for book purposes, for each Fiscal Year under *Section 3.1* hereof, the Book Basis of each Company property shall be adjusted downward by the amount of Book Depreciation allowable to the Company for such Fiscal Year with respect to such property. In addition, effective immediately prior to any Revaluation Event, the Book Basis of each Company property shall be further adjusted upward or downward, as necessary, so as to equal the fair market value of such property at the time of such Revaluation Event (as agreed to in writing by the Members taking Section 7701(g) of the Code into account (*i.e.*, such value shall not be agreed to be less than the amount of Nonrecourse Liabilities to which such property is subject)).

(e) Book Depreciation.

The amount of “Book Depreciation” allowable to the Company for any Fiscal Year with respect to any Company property shall be equal to the product of (1) the amount of Tax Depreciation allowable to the Company for such year with respect to such property, multiplied by (2) a fraction, the numerator of which is the property’s Book Basis as of the beginning of such year (or the date of acquisition if the property is acquired during such year) and the denominator of which is the property’s adjusted tax basis as of the beginning of such year (or the date of acquisition if the property is acquired during such year). If the denominator of the fraction described in clause (2) above is equal to zero, the amount of “Book Depreciation” allowable to the Company for any Fiscal Year with respect to the Company property in question shall be determined under any reasonable method selected by the Manager.

(f) Book Gain Or Loss.

“Book Gain Or Loss” realized by the Company in connection with the disposition of any Company property shall mean the excess (or deficit) of (1) the amount realized by the Company in connection with such disposition (as determined under Section 1001 of the Code) over (2) the Book Basis of such property at the time of the disposition.

(g) Book/Tax Disparity Property.

“Book/Tax Disparity Property” shall mean any Company property that has a Book Basis which is different from its adjusted tax basis to the Company. Thus, any property that is contributed to the capital of the Company by a Member shall be a “Book/Tax Disparity Property” if its Agreed Value is not equal to the Company’s initial tax basis in the property. In addition, once the Book Basis of a Company property is adjusted in connection with a Revaluation Event to an amount other than its adjusted tax basis to the Company, the property shall thereafter be a “Book/Tax Disparity Property.”

(h) Capital Transaction.

“Capital Transaction” shall mean (1) any transaction pursuant to which the Company borrows funds, all or part of the Company’s properties are sold, condemned, exchanged, abandoned, or otherwise disposed of, insurance proceeds or other damages are recovered by the Company or (2) any other transaction which, in accordance with generally accepted accounting principles, is considered capital in nature (including, without limitation, any transaction that is entered into in connection with, or results in, the Liquidation of the Company).

(i) Company Minimum Gain.

“Company Minimum Gain” shall mean the amount of Company “minimum gain” that is computed strictly in accordance with the principles of Section 1.704-2(d)(1) of the Regulations. A Member’s share of such “Company Minimum Gain” shall be calculated in accordance with the provisions of Section 1.704-2(g) of the Regulations.

(j) Deductible Expenses.

“Deductible Expenses” for any Fiscal Year (or portion thereof) shall mean all items, as calculated for book purposes, which are allowable as deductions to the Company for such period under Federal income tax accounting principles (including Book Depreciation, but excluding any expense or deduction attributable to a Capital Transaction).

(k) Economic Risk Of Loss.

“Economic Risk Of Loss” borne by any Member for any Company liability shall mean the aggregate amount of economic risk of loss that such Member and all Related Persons to such Member are treated as bearing with respect to such liability pursuant to Section 1.752-2 of the Regulations.

(l) Gross Income.

“Gross Income” for any Fiscal Year (or portion thereof) shall mean the gross income derived by the Company from all sources (other than from capital contributions and loans to the Company and other than from a Capital Transaction) during such period, as calculated for book purposes in accordance with Federal income tax accounting principles.

(m) Liquidation.

“Liquidation” of a Member’s Units or other interest in the Company shall mean and be deemed to occur upon the earlier of (1) the date upon which the Company is terminated under Section 708(b)(1) of the Code, (2) the date upon which the Company ceases to be a going concern (even though it may continue in existence for the limited purpose of winding up its affairs, paying its debts, and distributing any remaining Company properties to the Members) or (3) the date upon which there is a liquidation of the Member’s Units or other interest in the Company (but the Company is not terminated) under Section 1.761-1(d) of the Regulations. “Liquidation” of the Company shall mean and be deemed to occur upon the earlier of (a) the date upon which the Company is terminated under Section 708(b)(1) of the Code or (b) the date upon which the Company ceases to be a going concern (even though it may continue in existence for the limited purpose of winding up its affairs, paying its debts, and distributing any remaining Company properties to the Members).

(n) Member Nonrecourse Debt Minimum Gain.

“Member Nonrecourse Debt Minimum Gain” shall mean an amount, with respect to each Member Nonrecourse Debt, equal to the Company Minimum Gain that would result, if such Member Nonrecourse Debt were treated as a Nonrecourse Liability, determined in accordance with Section 1.704-2(i) of the Regulations.

(o) Member Nonrecourse Debt.

“Member Nonrecourse Debt” shall mean any Company liability that is treated as a “partner nonrecourse debt” under Section 1.704-2(b)(4) of the Regulations.

(p) Member Nonrecourse Deductions.

“Member Nonrecourse Deductions” shall mean any and all items of Book Depreciation and other Deductible Expenses that are treated as “partner nonrecourse deductions” under Section 1.704-2(i) of the Regulations.

(q) Nonrecourse Deductions.

“Nonrecourse Deductions” shall mean any and all items of Book Depreciation and other Deductible Expenses that are treated as “nonrecourse deductions” under Section 1.704-2(c) of the Regulations.

(r) Nonrecourse Liability.

“Nonrecourse Liability” shall mean any Company liability treated as a “nonrecourse liability” under Section 1.704-2(b)(3) of the Regulations. Subject to the foregoing sentence, “Nonrecourse Liability” shall mean any Company liability (or portion thereof) for which no Member bears the Economic Risk Of Loss.

(s) Recourse Debt.

“Recourse Debt” shall mean any Company liability (or portion thereof) that is neither a Nonrecourse Liability nor a Member Nonrecourse Debt.

(t) Related Person.

“Related Person” shall mean, as to any Member, any person who is related to such Member (within the meaning of Section 1.752-4(b) of the Regulations).

(u) Revaluation Event.

“Revaluation Event” shall mean any of the following occurrences: (1) the contribution of money or other property (other than a de minimis amount) by a new or existing Member to the capital of the Company as consideration for the issuance of additional Units or other interest in the Company; (2) the distribution of money or other property (other than a de minimis amount) by the Company to a retiring or continuing Member as consideration for Units or other interest in the Company; or (3) the termination of the Company for federal income tax purposes under Section 708(b)(1)(B) of the Code; provided, however, under no circumstances shall the issuance of Units pursuant to Section 13.3 of the Agreement constitute a Revaluation Event; and provided further, that the occurrence of an event described in clause (1) or (2) above shall not constitute a Revaluation Event if the Board of Directors reasonably determines that it is not necessary to adjust the Book Bases of the Company’s assets or the Members’ Capital Accounts in connection with the occurrence of any such event.

(v) Section 704 Capital Account.

“Section 704 Capital Account” shall have the meaning assigned to such term in *Article 2* of this Exhibit A.

(w) Tax Depreciation.

“Tax Depreciation” for any Fiscal Year shall mean the amount of depreciation, cost recovery, or other amortization deductions allowable to the Company for Federal income tax purposes for such year.

(x) Tax Items.

“Tax Items” shall mean, with respect to any property, all items of profit and loss (including Tax Depreciation) recognized by or allowable to the Company with respect to such property, as computed for Federal income tax purposes.

(y) Unrealized Book Gain Or Loss.

“Unrealized Book Gain Or Loss” with respect to any Company property shall mean the excess (or deficit) of (1) the fair market value of such property (as agreed to in writing by the Members taking Section 7701(g) of the Code into account (i.e., such value shall not be agreed to be less than the amount of Nonrecourse Liabilities to which such property is subject)), over (2) the Book Basis of such property.

ARTICLE 2

SECTION 704 CAPITAL ACCOUNTS

A “Section 704 Capital Account” (herein so called) shall be determined and maintained for each Member throughout the full term of the Agreement in accordance with Article IV of the Agreement.

ARTICLE 3

ALLOCATIONS OF PROFIT AND LOSS

Section 3.1 Allocation of Book Items.

Subject to the provisions of *Section 3.3* of this Exhibit A, all items of profit and loss realized by the Company during each fiscal year shall be allocated among the Members (after giving effect to all adjustments attributable to all contributions and distributions of money and property effected during such year) in the manner prescribed in this *Section 3.1*.

(a) Pursuant to Section 1.704-2(f) of the Regulations (relating to minimum gain chargebacks), if there is a net decrease in Company Minimum Gain for such year (or if there was a net decrease in Company Minimum Gain for a prior fiscal year and the Company did not have sufficient amounts of Gross Income and Book Gain during prior years to allocate among the Members under this *Section 3.1(a)*), then items of Gross Income and Book Gain shall be allocated, before any other allocation is made pursuant to the succeeding provisions of this *Section 3.1* for such year, to each Member in an amount equal to such Member’s share of the net decrease in such Company Minimum Gain.

(b) Pursuant to Section 1.704-2(i)(4) of the Regulations (relating to minimum gain chargebacks), if there is a net decrease in Member Nonrecourse Debt Minimum Gain with respect to a Member Nonrecourse Debt for such year (or if there was a net decrease in such Member Nonrecourse Debt Minimum Gain for a prior fiscal year and the Company did not have sufficient amounts of Gross Income and Book Gain during prior years to allocate among the Members under this *Section 3.1(b)*), then items of Gross Income and Book Gain shall be allocated, before any other allocation is made pursuant to the succeeding provisions of this *Section 3.1* for such year, to each Member with a share of such Member Nonrecourse Debt Minimum Gain as of the first day of such year in an amount equal to such Member’s share of the net decrease in such Member Nonrecourse Debt Minimum Gain.

(c) Pursuant to Section 1.704-1(b)(2)(ii)(d) of the Regulations (relating to “qualified income offsets”), if a transaction described in Sections 1.704(b)(2)(ii)(d)(4), (5), and (6) of the Regulations occurs unexpectedly, items of Company income and gain shall be allocated, before any other allocation is made pursuant to the succeeding provisions of this *Section 3.1* for such year, among each Member with an Adjusted Capital Account Deficit in an amount and manner sufficient to eliminate, to the extent required by the Regulations, the Adjusted Capital Account Deficit of such Member as quickly as possible, provided that an allocation pursuant to this *Section 3.1(c)* shall be made only if, and to the extent that, such Member would have an Adjusted Capital Account Deficit after all other allocations provided for in this Article 3 have been tentatively made as if this *Section 3.1(c)* were not in this Exhibit A.

(d) All Member Nonrecourse Deductions attributable to a Member Nonrecourse Debt shall be allocated among the Members bearing the Economic Risk Of Loss for such debt; *provided, however,* that if more than one Member bears the Economic Risk Of Loss for such debt, the Member Nonrecourse Deductions attributable to such debt shall be allocated to and among such Members, *pro rata* in the same proportion that their Economic Risks Of Loss bear to one another.

(e) All Nonrecourse Deductions shall be allocated among the Members, *pro rata* in accordance with their respective Sharing Percentages.

(f) Any Adjusted Net Income realized by the Company for such year and, except as provided in *Section 3.1(h)* hereof, any Book Gain derived from a Capital Transaction occurring during such year and not allocated pursuant to *Sections 3.1(a), 3.1(b), 3.1(c), 3.1(d), and 3.1(e)* hereof, shall be allocated among the Members, as necessary, so as to cause the balances in their respective Section 704 Capital Accounts to be in the same ratio to one another as are their Sharing Percentages, with all remaining amounts of Adjusted Net Income and Book Loss to be allocated to the Members *pro rata* in accordance with their respective Sharing Percentages.

(g) Any Adjusted Net Loss realized by the Company for such year and, except as provided in *Section 3.1(h)* hereof, any Book Loss derived from a Capital Transaction occurring during such year and not allocated pursuant to *Sections 3.1(a), 3.1(b), 3.1(c), 3.1(d), and 3.1(e)* hereof, shall be allocated among the Members, as necessary, so as to cause the balances in their respective Section 704 Capital Accounts to be in the same ratio to one another as are their Sharing Percentages, with all remaining amounts of Adjusted Net Loss and Book Loss to be allocated to the Members *pro rata* in accordance with their respective Sharing Percentages.

(h) Book Gain Or Loss derived from a Capital Transaction that is entered into in connection with, or results in, the Liquidation of the Company shall be allocated among the Members as follows in the following order of priority (after giving effect to all adjustments attributable to allocations of items of Company profit and loss made pursuant to the preceding provisions of this *Section 3.1* for such year and after giving effect to all adjustments attributable to contributions and distributions of money and property effected prior to such determination):

(i) Book Gain remaining after the allocations provided for in *Sections 3.1(a), 3.1(b), and 3.1(c)* hereof shall be allocated as follows and in the following order of priority:

(A) First: An amount of Book Gain shall be allocated among the Members to the least extent necessary to eliminate the deficit balances (if any) in such Members' Section 704 Capital Accounts, and in the same proportion to one another as are their respective deficit balances (if any).

(B) Second: An amount of Book Gain shall be allocated next among the Members to the least extent necessary to cause the positive balances in their Section 704 Capital Accounts to be in the same proportion to one another as are their respective Sharing Percentages.

(C) Third: All remaining amounts of Book Gain shall be allocated among the Members *pro rata* in accordance with their respective Sharing Percentages.

(ii) Book Loss (if any) shall be allocated as follows and in the following order of priority:

(A) First: Book Loss shall be allocated to the Members to the least extent necessary to cause the positive balances in their Section 704 Capital Accounts to be in the same proportion to one another as are their respective Sharing Percentages.

(B) Second: All remaining amounts of Book Loss shall be allocated among the Members pro rata in accordance with their respective Sharing Percentages.

(i) For purposes of determining the nature (as ordinary or capital) of any Company profit allocated among the Members for Federal income tax purposes pursuant to this *Section 3.1*, the portion of such profit required to be recognized as ordinary income pursuant to Sections 1245 and/or 1250 of the Code shall be deemed to be allocated among the Members in the same proportion that they were allocated the Book Depreciation deductions, or basis reductions, directly or indirectly giving rise to such treatment under Sections 1245 and/or 1250 of the Code.

(j) The parties intend that the foregoing allocation provisions of this *Section 3.1* shall produce Section 704 Capital Account balances of the Members that will permit liquidating distributions that are made in accordance with final Capital Account balances under Section 16.3 of the Agreement to be made to the Members, pro rata in accordance with their respective Sharing Percentages. To the extent that the allocation provisions of this *Section 3.1* would fail to cause the Members' final Capital Account balances to be in such ratio, (i) such provisions shall be amended by the Members if and to the extent necessary to produce such result and (ii) taxable income and taxable loss of the Company for prior open years (or items of Gross Income and Deductible Expenses of the Company for such years) shall be reallocated among the Members to the extent it is not possible to achieve such result with allocations of items of income (including Gross Income) and Deductible Expenses for the current year and future years. This *Section 3.1(j)* shall control notwithstanding any reallocation or adjustment of taxable income, taxable loss, or items thereof by the Internal Revenue Service or any other taxing authority.

Section 3.2 Allocation Of Tax Items.

(a) Except as otherwise provided in the succeeding provisions of this *Section 3.2*, each Tax Item shall be allocated among the Members in the same manner as each correlative item of profit or loss, as calculated for book purposes, is allocated pursuant to the provisions of *Section 3.1* hereof.

(b) The Members hereby acknowledge that all Tax Items in respect of any Book/Tax Disparity Property owned by the Company are required to be allocated among the Members in the same manner as under Section 704(c) of the Code (as specified in Sections 1.704-1(b)(2)(iv)(f) and 1.704-1(b)(2)(iv)(g) of the Regulations) and that the principles of Section 704(c) of the Code require that such Tax Items must be shared among the Members so as to take account of the variation between the adjusted tax basis and Book Basis of each such Book/Tax Disparity Property. Thus, notwithstanding anything in *Sections 3.1* or *3.2(a)* to the contrary, the Members' distributive shares of Tax Items in respect of each Book/Tax Disparity Property shall be separately determined and allocated among the Members in accordance with the principles of Section 704(c) of the Code. For purposes of making tax allocations pursuant to Section 704(c) of the Code (including allocations pursuant to Section 1.704-1(b)(2)(iv)(f) if a Revaluation Event

occurs), the Manager shall determine the appropriate method or methods to be used by the Company under Section 1.704-3.

Section 3.3 Allocations Of Profit And Loss And Distributions In Respect Of Interests Transferred.

(a) If any Unit or other interest in the Company is transferred, or is increased or decreased by reason of the admission of a new Member or otherwise, during any Fiscal Year, each item of Adjusted Net Income Or Loss, Book Gain Or Loss, and other Company profit and loss for such year shall be divided and allocated among the Members in question by taking account of their varying interests in the Company during such year on a daily, monthly, or other basis, as determined by the Manager using any permissible method under Section 706 of the Code and the Regulations thereunder.

(b) Distributions of Company properties in respect of a Unit or other interest in the Company shall be made only to the persons or entities who, according to the Company's books and records, are the holders of record of the Units or other interests in the Company in respect of which such distributions are made on the actual date of distribution. Neither the Company nor the Manager shall incur any liability for making distributions in accordance with the provisions of the preceding sentence, whether or not the Company or the Manager has knowledge or notice of any transfer or purported transfer of ownership of any Unit or other interest in the Company.

(c) Notwithstanding any provision above to the contrary, Book Gain Or Loss (and taxable gain or loss to the extent permitted by the Code and Regulations) realized in connection with a sale or other disposition of any Company properties shall be allocated solely among the parties owning Units or other interests in the Company as of the date such sale or other disposition occurs.

**EXHIBIT B
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

NAME AND ADDRESS OF MEMBER	PRIMARY CAPITAL CONTRIBUTION; NUMBER OF UNITS	SECONDARY CAPITAL CONTRIBUTION PROMISSORY NOTE	SHARING PERCENTAGE
Saint Mary's Health System, Inc. 56 Franklin Street Waterbury, Connecticut 06706	\$27,000,000; 270 Units	\$7,500,000	20%
LHP Southwest Connecticut, LLC 2800 North Dallas Parkway Suite 200 Plano, Texas 75093	\$108,000,000; 1,080 Units	\$67,500,000	80%

**EXHIBIT C
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

Conflict of Interest Policy

**EXHIBIT D
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

Uncompensated Care Policy

**EXHIBIT E
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

SMHS Member Option to Purchase

**EXHIBIT F
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

SMSH Member Option to Sell

**EXHIBIT G
TO
AMENDED AND RESTATED
LIMITED LIABILITY COMPANY AGREEMENT OF
SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**

LHP Member Option to Purchase (Call) Based on Sharing Percentages

EXHIBIT 3

Management Agreement

MANAGEMENT AGREEMENT

THIS MANAGEMENT AGREEMENT (this “Agreement”) is made and entered into effective as of _____, 2011, by and between **SOUTHWEST CONNECTICUT HEALTH SYSTEM, LLC**, a Delaware limited liability company (“Owner”), and **LHP MANAGEMENT SERVICES, LLC**, a Delaware limited liability company (“Manager”). Capitalized terms not otherwise defined in this Agreement shall have the meaning ascribed to such terms in the LLC Agreement (as defined below).

RECITALS:

A. Owner will own and operate a general acute care hospital and certain other health care related assets in the Waterbury, Connecticut, area (collectively, the “Hospital”).

B. Manager, through its executives, other personnel, and personnel at its Affiliates, possesses certain experience and expertise in the supervision of operations and administrative aspects of businesses like that of the Hospital.

C. Owner wishes to retain Manager to perform certain financial, technical, managerial, and administrative support services (“Management Services”) for the Hospital, as hereinafter set forth.

AGREEMENT:

NOW THEREFORE, in consideration of the mutual covenants and promises of the parties hereto, it is mutually agreed as follows:

1. ENGAGEMENT. Owner hereby retains Manager, and Manager hereby agrees to be retained by Owner, to act as “Manager” of the Owner and to provide Management Services upon the terms and conditions hereinafter set forth. Manager shall be expected to perform services under this Agreement consistent with standards employed by comparable hospital ownership and management companies. Manager shall provide all services described herein in good faith and with due care consistent with the care Manager believes appropriate and in the best interest of the Owner in its business judgment. Manager shall at all times use its best efforts to operate the Hospital in conformity with (i) the Amended and Restated Limited Liability Company Agreement of Southwest Connecticut Health System, LLC, dated as of even date herewith, by and between LHP Southwest Connecticut, LLC and Saint Mary’s Health System, Inc. (the “LLC Agreement”), (ii) the Company Purposes, including the Standards, (iii) the standards of performance of The Joint Commission (the “Joint Commission”) or its successor, (iv) the conditions of participation for the Medicare and Medicaid programs, and (v) good and ethical business practices of the health care industry in the community served by the Hospital.

2. MAINTENANCE OF CONTROL.

2.1 Except as set forth herein and in the LLC Agreement, Owner does not delegate to Manager any of the powers, duties, and responsibilities vested in Owner, its Members, or its Board of Directors by law or under the LLC Agreement.

2.2 Without limiting the generality of Section 2.1, Manager shall take no substantive action with respect to the management of the Hospital that would impinge upon the reserved powers of Owner's Members or Board of Directors (as set forth in the LLC Agreement) or that exceed the scope of the Management Services set forth herein.

2.3 Owner shall retain all powers incident to ownership of the Hospital, including, without limitation, the power to (a) determine the general and fiscal policies and maintain the full and complete control of the administration of the Hospital, (b) subject to Sections 4 and 5.5 hereof, select the administrative staff of the Hospital, (c) appoint members to the medical staff, and (d) establish policies regarding the admission of patients. Owner shall own and hold all licenses, contracts, certificates, and accreditations relating to the Hospital and shall be the "Provider of Services" within the meaning of the third party contracts for services. Nothing in this Agreement is intended to alter, weaken, displace, or modify the ultimate authority of the Board Directors of Owner as set forth in the LLC Agreement. Manager shall cause the business and operations of the Hospital to be conducted in accordance with the Company Purposes, including satisfying the Standards. The performance of the Manager shall be subject to annual review by Owner, which shall include an evaluation of the Manager's compliance with the Company Purposes and the Standards under the LLC Agreement and this Agreement. Owner reserves the right to direct Manager to satisfy the Company Purposes and the Standards. The SMHS Directors shall have the sole power and right to call for the review of, and to evaluate, the services provided under this Agreement, to propose revisions thereto, and to unilaterally act on behalf of and for Owner in all matters relating to this Agreement, including without limitation, the ability to terminate or not renew this Agreement if the Manager is in breach thereof.

3. COMPLIANCE WITH LAW. In performing its duties and obligations under this Agreement, Manager shall comply with all federal, state, and local laws, rules, and regulations now in force, or which may hereafter be in force, which are applicable to Owner, Manager, or the Hospital, including, without limitation, the federal Anti-Kickback Statute (42 U.S.C. § 13201-7b(b)) and the federal Physician Self-referral Law (42 U.S.C. § 1395nn), and any state laws corresponding in substance to the foregoing federal laws.

4. KEY PERSONNEL. During the term of this Agreement, Manager shall recruit qualified executives who shall serve as Chief Executive Officer, Chief Operating Officer (if such a position is deemed necessary by Manager), Chief Financial Officer, and Chief Nursing Officer of the Hospital (collectively, the "Key Personnel"). The Key Personnel shall be employees of Manager or an Affiliate of Manager. Except as otherwise provided in the LLC Agreement with respect to the Chief Executive Officer of the Hospital, the selection, continued service, and termination of the Key Personnel shall be the responsibility of Manager.

5. MANAGEMENT SERVICES. In consideration of the payments to be made hereunder, Manager shall provide, or may provide through one or more of its Affiliates, the following Management Services to Owner:

5.1 Management Oversight. Manager shall supervise and manage the day-to-day business affairs and operations of the Hospital and such other health care facilities as the parties may from time to time agree.

5.2 Operations Support.

(a) Health Financing (Reimbursement). Manager shall provide third-party reimbursement strategies and consultation on strategy and compliance with all applicable reimbursement rules. Manager shall be responsible for preparing, submitting to Owner for approval and execution, and filing on a timely basis all required cost reports and shall coordinate and file on a timely basis any appeals and/or audits. If Manager or Owner determines that legal action is required in connection with such matters, the cost of such action is not included in the Management Fee (as hereinafter defined), and any such legal action shall be paid for by Owner.

(b) Financial Operations Support. Manager shall be responsible for overseeing the financial and business operations of the Hospital, which shall include but not be limited to, providing assistance regarding patient accounting and receivables management, management engineering, process improvement, medical staff programs and practice promotions, productivity enhancement, and management reporting systems. Upon Owner's request, Manager shall assist Owner in the selection of outside contractors for additional, specialized financial and business operations services. The Management Fee includes Manager's consulting services, but the actual costs for such additional outside consultation services shall be borne by Owner.

(c) Capital Management. Manager shall provide assistance in evaluating capital expenditures in connection with the Hospital. Such assistance shall include pro forma modeling, return on investment calculations, bench marking, and assumption testing. Manager shall also provide a full range of support in pro forma preparation and analysis.

(d) Operational Licenses. Manager shall provide assistance to Owner in obtaining and maintaining all licenses, certifications, permits, and accreditations necessary for the operation of the Hospital (in the name of and held by the Owner.)

5.3 Development and Hospital Management.

(a) Construction and Equipment Planning. Manager shall provide oversight in design, construction, and equipment planning and assistance in the selection of architects, contractors, equipment, and technology for projects, including, without limitation, the Hospital. The costs of architectural and engineering services associated with the Hospital are not included in the Management Fee.

(b) Real Estate. Manager shall provide assistance in the development of medical office buildings and related health care facilities and in the purchase or sale of real estate (including site selection, financing, leasing, construction layout, design, and operation thereof), including any such services associated with the Hospital.

(c) Licensure. Manager shall provide assistance to Owner in maintaining all licenses, certifications, permits, and accreditations necessary for the operation of the Hospital (in the name of and held by the Owner), as requested by Owner.

(d) Accreditation. Manager shall arrange for and coordinate/oversee appropriate actions for the Hospital to be accredited by the Joint Commission and/or other appropriate accrediting bodies, as requested by Owner.

(e) Policies and Procedures. Manager shall prepare operating manuals, supervise the training of Hospital business personnel, supervise the implementation of necessary support systems for the Hospital, and develop policies and procedures necessary to satisfy the Medicare conditions of participation, accreditation standards of the Joint Commission, state licensing standards, and the Company Purposes.

(f) Market. Manager shall assist in developing the Hospital's markets, looking for leads and working with field operations in developing those leads, all with the approval of Owner. Manager shall consult with Owner in connection with acquisitions, joint ventures, and other transaction structuring issues.

5.4 Finance and Accounting.

(a) Budgets/Financial Planning. Manager shall provide inflation data, analyze current trends in the health care industry, set financial goals, and assist Owner with preparing budgets for the Hospital. Manager shall evaluate capital expenditures in connection with the Hospital's operations. Manager shall provide pro forma modeling, return on investment calculations, bench marking, and assumption testing. Manager shall provide a full range of support in pro forma preparation and analysis. Manager shall also provide periodic reports to Owner, as requested by the Board of Directors of Owner, regarding the provision of uncompensated care and compliance with the Standards.

(b) Treasury. Manager shall provide a centralized cash management system for all Owner funds. Manager shall manage commercial and investment bank relations, open and maintain all bank accounts, provide investment management for employee benefit plans, and manage Owner's debt and outside interest expense relating to the Hospital. Manager shall be available to consult on financial transactions relating to the Hospital.

(c) Tax. Manager shall be responsible for preparing, submitting to Owner for approval and execution, and filing federal, state, and local income, workers' compensation, unemployment compensation, franchise, tangible, premium, self-procurement, transfer, excise, and escheat tax returns and reports relating to the Hospital; provided, however, that Manager shall file payroll tax returns for the Hospital. Owner shall be responsible for filing Owner's sales and use tax returns. Manager shall review property valuation notices comparing them to other health care properties, analyze assessments, appeal valuations, when appropriate, and coordinate payments. Manager shall also coordinate audits and appeals of all taxes as part of the Management Fee. Third-party studies undertaken to save money, as well as tax-related legal expenses, are not included in the Management Fee and shall be paid by Owner.

(d) Accounting. Manager shall establish, maintain, and supervise the Hospital's accounting systems and supervise the preparation of monthly and annual balance sheets and statements of income and loss as provided in the LLC Agreement. Manager shall have the responsibility and authority to make all decisions as to accounting principles and

elections, whether for book or tax purposes (and such decisions may be different for each purpose) and to set up or modify record keeping, billing, and accounts payable accounting systems.

(e) **Insurance.** Manager shall have the responsibility and authority to acquire and enter into any contract of insurance that Manager deems necessary and proper for the protection of Owner and the Hospital, for the conservation of Owner's assets, or for any purpose convenient or beneficial to Owner. Notwithstanding the preceding sentence, Manager shall obtain insurance on behalf of the Owner to cover Owner's indemnification obligations set forth in Section 11 (including Owner's obligation to indemnify Manager for the negligent acts of Manager or its agents or employees) with such coverage amounts that are necessary (i) for the proper protection of Owner and the Hospital and (ii) for the conservation of Owner's assets. Manager shall provide consulting services to Owner with respect to risk management. The premiums or costs associated with any such insurance products utilized by Owner are not included in the Management Fee and shall be paid by Owner. Manager shall be insured by insurers of recognized financial responsibility against such losses and risks and in such amounts as are prudent and customary in the businesses in which Manager is engaged.

5.5 Human Resources. Manager, either directly or through one of its Affiliates, and pursuant to a separate Employee Lease Agreement dated of even date herewith (the "Employee Lease Agreement"), shall arrange for and assign all personnel necessary to operate the Hospital. Manager shall (i) determine from time to time the number and qualification of employees required or desirable in connection with the operations of the Hospital, (ii) establish, revise, and administer all wage scales, compensation rates, employee benefits, and conditions of employment, (iii) administer in-service training, seminars, and conferences, and (iv) establish staff schedules and job descriptions. Manager shall supervise human resources functions and provide employee benefits and payroll distribution functions with respect to all personnel who provide services at the Hospital. These functions include, without limitation, the administration and development of such functions, the time and attendance system, and the management of Manager and its Affiliates' consolidated corporate benefits and human resource function.

5.6 Internal Audit. Manager shall conduct periodic internal audits of the Hospital and shall report the results thereof to Owner. If Manager hires others to perform additional audit functions, the costs of such additional audit functions shall not be included in the Management Fee and shall be paid by Owner; provided, however, that Owner shall have prior approval of the use of any such outside auditors (such approval shall not be unreasonably withheld). During an audit, reviews and tests shall be performed related to financial statement integrity, financial controls, and operational effectiveness, particularly in the areas of accounts receivable management, materials management, health information management, charge capturing systems, and cash management. Manager shall provide recommendations to help ensure financial data integrity, reduce expenses, capture additional revenues, and improve cash flow. Manager shall provide operational support by conducting its reviews in such a manner as to streamline processes, reduce waste, and identify outdated procedures and processes.

5.7 Legal. Manager has access to a staff of health care attorneys who shall assist Owner with operational issues relating to the Hospital as reasonably necessary, including assistance with contract preparation and review, consultation regarding regulatory issues, and

litigation oversight and management. It is not intended that the in-house legal staff handle all legal matters of the Hospital, and Manager shall determine when outside legal counsel would be desirable for a specific issue or matter. In such event, the in-house legal staff shall select and oversee the work of outside legal counsel so engaged. The costs of outside counsel are not included in the Management Fee and shall be paid by Owner. In general, the costs of litigation are not covered by the Management Fee. In addition, the costs of legal assistance from outside legal counsel regarding employee actions, human resources, labor relations, OSHA, Medicare, Medicaid, CHAMPUS, acquisition and development projects, tax, environmental, and antitrust issues are not included in the Management Fee.

5.8 Public Affairs.

(a) Public Relations. Manager shall provide Owner such issues management, crisis communications, and local and national media relations services as may be necessary to operate the public relations functions of the Hospital. Manager shall assist Owner in developing effective advertising programs and reducing costs related to media placements. Manager shall assist with strategic market direction, including assistance with selection and retention of advertising agencies, marketing campaign review, and program exchange.

(b) Government Relations. Manager shall monitor federal and state legislative and policy issues and provide contacts with appropriate national groups such as the Federation of American Hospitals and the American Hospital Association. At the request of Owner, Manager shall negotiate membership in such national groups. The costs of such memberships shall be allocated to, and paid by, Owner. Manager shall not cause the Company to engage in (i) substantial lobbying activities or (ii) any political campaign activities within the meaning of section 501(c)(3) of the Code in a manner that would reasonably be considered to jeopardize the federal tax exemption of SMHS.

5.9 Purchasing/Materials Management. Owner shall participate in Manager's group purchasing program and shall not participate in any competing program; provided, however, if Manager's group purchasing program does not provide access to certain materials or products, Manager and Owner shall agree on alternative purchasing arrangements. Manager shall have the authority to commit Owner's funds for the purchase or lease of supplies, goods, and services reasonably necessary or desirable to the operation of the Hospital; and to cause Owner to negotiate, enter into, administer, and terminate contracts therefor.

5.10 Information Services. Manager shall provide such services as may be necessary to operate the information management function of the Hospital. Manager shall provide information support and services with respect to clinical areas, patient billing, patient receivables, resources management, purchasing, general ledger, accounts payable, payroll cash management, executive reporting, and research and development activities. The costs of obtaining information technology services from third parties are not included in the Management Fee and shall be paid by Owner.

5.11 Medical and Professional Matters. Notwithstanding anything contained herein to the contrary, under no circumstances shall Manager be responsible for any medical or professional staff matters. Manager may, however, consult with Owner and make

recommendations concerning such matters, and monitor and, as necessary, change existing quality assurance, utilization review, and risk management programs.

5.12 Affiliates. Any Management Services to be provided hereunder may be provided, at the option of Manager, by any Affiliate of Manager, but Manager shall be responsible for assuring the quality and timely delivery of all Management Services hereunder. In such case, such Affiliate shall be a subcontractor of Manager, Manager shall be responsible for paying any fees of such Affiliate, and Manager's utilization of such Affiliate to fulfill its obligations hereunder shall not increase the Management Fee payable by Owner under this Agreement.

5.13 Compliance Programs. Manager shall implement and maintain at the Hospital a program designed to prevent and detect violations of legal requirements applicable to the delivery of goods and services by the Hospital or the purchase of goods or services by the Hospital.

5.14 Prohibited Actions. Notwithstanding anything to the contrary herein, during the term of this Agreement, Manager shall not take any of the following actions, or engage in any substantive act as a predicate to taking any of such actions, without the prior written approval of the Board of Directors, unless such actions are specifically approved in connection with approved operating and capital budgets of Owner: (i) borrow money from any source in the name of or on behalf of Owner exceeding Five Million Dollars (\$5,000,000), other than trade payables and other short-term liabilities in the ordinary course of business, or other than pursuant to the Revolving Credit and Cash Management Agreement between Owner and Manager; (ii) alienate, mortgage, pledge, or otherwise encumber real and/or personal property of Owner, other than in connection with indebtedness permitted in (i) above; (iii) adjust, arbitrate, compromise, sue, defend, abandon, or otherwise deal with and settle any and all uninsured claims in excess of One Million Dollars (\$1,000,000) in favor of or against Owner; (iv) implement any material change in the health care services that Owner offers at the Hospital, including additions thereto and discontinuations thereof, that is not expressly identified and provided for in the strategic business plan previously approved by the Board of Directors or has not otherwise been Approved by the Board of Directors; (v) enter into any contract, agreement, or financial arrangement that requires payments by Owner in excess of One Million Dollars (\$1,000,000) in any twelve-month period; (vi) acquire (whether by purchase or lease) in the name of or on behalf of Owner any plant, property, or equipment having a book value in excess of One Million Dollars (\$1,000,000); or (vii) sell, assign, lease or otherwise transfer or dispose of in the name of or on behalf of Owner any plant, property, or equipment having a book value in excess of One Million Dollars (\$1,000,000).

6. COMPENSATION.

6.1 Reimbursement of Expenses. Owner shall reimburse Manager on a monthly basis for all reasonable out-of-pocket expenses incurred in connection with the Management Services provided pursuant to this Agreement, including, without limitation, fees to third parties and other reasonable expenses incurred on behalf of Owner. Manager's reimbursed expenses shall be without mark-up or profit added to such actual expenses. Manager shall prepare an itemization with supporting documentation of such expenses on a monthly basis to be submitted

to Owner by the fifteenth (15th) day of the subsequent month. Owner shall reimburse Manager for such expenses within fifteen (15) days after receipt of such itemization with supporting documentation.

6.2 Management Fee. As consideration for the services rendered by Manager pursuant to this Agreement, Owner shall pay to Manager a monthly fee equal to two percent (2%) of the Net Revenues (hereinafter defined) of Owner during such month (or the portion thereof) during which this Agreement is in effect (the "Management Fee"), it being agreed that such fee represents fair market value for the Management Services. For this purpose, "Net Revenues" shall mean the total operating revenues of Owner reduced by revenue deductions, which deductions shall include an allowance for contractual allowances, discounts, and charity care amounts (but not bad debt expense) as determined in accordance with generally accepted accounting principles. The Management Fee for each month shall be paid on or before the fifteenth (15th) day of the succeeding month. At the end of each fiscal year, in connection with the preparation of Owner's financial statements with respect to such year, the parties shall reconcile the Net Revenues for the entire fiscal year and, in the event that the Management Fee paid during such year was greater or less than it should have been based upon the full year's operating results, the parties shall settle the difference accordingly within sixty (60) days following the completion of such financial statements.

7. TERM. The term of this Agreement shall commence on the date hereof ("Effective Date") and shall expire on the fifth (5th) anniversary of the Effective Date ("Term"), unless earlier terminated as provided below. This Agreement shall automatically renew for consecutive five (5) year terms unless Owner exercises its option not to renew this Agreement for a renewal term by providing written notice to Manager not less than one hundred eighty (180) days prior to the expiration of the term then in effect that Owner elects to exercise its option not to renew this Agreement. Any action to be taken by Owner to not renew this Agreement may be taken by the SMHS Directors (acting alone by majority vote) only if the SMHS Directors determine in their sole discretion that either (i) Manager is not operating the Hospital in accordance with the Company Purposes and Standards, or (ii) Manager is otherwise in breach of this Agreement and has failed to cure such breach as provided below. Each such renewal term shall be subject to the terms and conditions of this Agreement.

8. TERMINATION.

8.1 Termination by Owner. Owner, by action of the SMHS Directors, may terminate this Agreement upon the occurrence of any one or more of the following events:

(a) The filing of a petition in voluntary bankruptcy or an assignment for the benefit of creditors by Manager, or the taking or suffering of any action by Manager, voluntarily or involuntarily, under any federal or state law for the benefit of debtors by Manager, except for the filing of a petition in involuntary bankruptcy against Manager that is dismissed within sixty (60) days thereafter;

(b) If Manager shall default in the performance of any material duty or obligation imposed upon it by this Agreement (including failure to operate the Hospital in accordance with the Company Purposes and Standards), and such default shall continue for a

period of thirty (30) days after written notice of such default has been given to Manager by Owner, or in the event of a default that cannot reasonably be cured within such thirty (30)-day period, Manager shall fail to commence a cure within such thirty (30)-day period or shall fail thereafter diligently and in good faith to pursue such cure to completion (the time for cure in any event not to exceed one hundred eighty (180) days after such written notice of default); or

(c) If Manager is excluded from participation in any federal or state health insurance or benefits program, such as Medicare, Medicaid, or CHAMPUS.

8.2 Termination by Manager. Manager may terminate this Agreement upon the occurrence of any one or more of the following events:

(a) The filing of a petition in voluntary bankruptcy or an assignment for the benefit of creditors by Owner, or the taking or suffering of any other action by Owner, voluntarily or involuntarily, under any federal or state law for the benefit of debtors by Owner, except for the filing of a petition in involuntary bankruptcy against Owner that is dismissed within sixty (60) days thereafter;

(b) If Owner shall fail to pay when due any amount required to be paid by Owner to Manager pursuant to this Agreement, and such failure shall continue for a period of fifteen (15) days after written notice of such failure has been given to Owner by Manager (provided, however, that Manager shall have no obligation to give written notice of any failure to pay, nor to give Owner an opportunity to cure any failure to pay, more than three (3) times in any period of twelve (12) consecutive months); or

(c) If Owner shall default in the performance of any material duty or obligation imposed upon it by this Agreement, and such default shall continue for a period of thirty (30) days after written notice of such default has been given to Owner by Manager, or in the event of a default that cannot reasonably be cured within such thirty (30)-day period, Owner shall fail to commence a cure within such thirty (30)-day period or shall fail thereafter diligently and in good faith to pursue such cure to completion (the time for cure in any event not to exceed one hundred eighty (180) days after such written notice of default).

9. NOTICES. Any notice, demand, or communication required, permitted, or desired to be given hereunder shall be deemed effectively given when personally delivered, when received by receipted overnight courier, or five (5) days after being deposited in the United States mail, with postage prepaid thereon, certified or registered mail, return receipt requested, addressed as follows:

If to Owner: Southwest Connecticut Health System, LLC
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: CEO

with a copy to: LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: General Counsel

and Saint Mary's Health System, Inc.
56 Franklin Street
Waterbury, Connecticut 06706
Attention: President and CEO

If to Manager: LHP Management Services, LLC
c/o LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: CEO

with a copy to: LHP Hospital Group, Inc.
2800 North Dallas Parkway, Suite 200
Plano, Texas 75093
Attention: General Counsel

Each party may change its address indicated above by giving the other party written notice of the new address in the manner above set forth.

10. ASSIGNMENT. Subject to the provisions hereof to the contrary, this Agreement shall inure to the benefit of and be binding upon the parties hereto and their respective legal representatives, successors, and assigns. No party hereto may assign this Agreement without the prior written consent of the other party hereto; provided, however, that Manager may, without the prior written consent of the other party hereto, assign its rights and delegate its duties hereunder to one or more Affiliates, but with each assignment, the assignor shall be required to remain obligated hereunder in the same manner as if such assignment had not been effected. This Agreement is intended solely for the benefit of the parties hereto and is not intended to, and shall not, create any enforceable third party beneficiary rights.

11. INDEMNIFICATION.

11.1 Indemnification of Manager. Owner shall indemnify and hold harmless Manager and its agents and employees of and from any third party claims, losses, liabilities, and demands of every kind and nature whatsoever, including, without limitation, the costs of defending any such claims, liabilities, and demands, including, without limitation, attorneys' and accountants' fees therefor, arising in connection with Manager's authorized activities set forth herein (including, without limitation, those arising out of the negligence of Manager or its agents or employees); provided, however, that Owner shall not be required to indemnify or hold harmless Manager from any claims, losses, liabilities, or demands that arise from actions (or failures to act) that are performed in bad faith and that arise out of willful misconduct, gross negligence, or fraud by Manager, or any of its Affiliates, agents, or employees. For purposes of this Section, the employees of the Hospital shall not be considered to be the agents or employees of Manager.

11.2 Indemnification of Owner. Manager shall indemnify and hold harmless Owner and its agents and employees of and from any third party claims, losses, liabilities, and demands that arise from actions (or failures to act) that are performed by Manager in bad faith

and that arise out of willful misconduct, gross negligence, or fraud by Manager, or any of its Affiliates, agents, or employees, including, without limitation, the costs of defending any such claims, liabilities, and demands, including, without limitation, attorneys' and accountants' fees therefore. For purposes of this Section, the employees of the Hospital shall not be considered to be the agents or employees of Manager.

12. ACCESS TO THE HOSPITAL; CONFIDENTIALITY OF RECORDS. During the term hereof, Manager shall be given complete access to the Hospital and its records, offices, and facilities, in order that it may carry out its obligations hereunder, subject to the confidentiality requirements relating to patients' records as established by Owner. Manager shall, and shall require all its employees, subcontractors, and agents to, comply with and recognize all confidentiality and non-disclosure requirements that apply to the Hospital, specifically including privacy requirements of the Health Insurance Portability and Accountability Act of 1996, as amended ("HIPAA"), Privacy and Security Standards, and state requirements and to comply with the Hospital's requirements and safeguards relating to such confidential information. Manager shall comply with the policy adopted by the Hospital for access to and disclosure of protected health information (as defined by federal regulations implementing HIPAA) and the Business Associate Agreement provisions attached and incorporated herein as Appendix A.

13. ACCESS TO MANAGER BOOKS AND RECORDS. To ensure that any payments made hereunder are included to the extent appropriate in determining reasonable costs incurred by a Medicare provider, Manager and Owner shall, if this Agreement is ultimately determined to be one to which 42 U.S.C. Section 1861(v)(i)(I) applies, perform the obligations as may from time to time be specified for subcontractors in 42 U.S.C. Section 1861 (v)(i)(I) and regulations promulgated in implementation thereof.

14. AUTONOMY OF PARTIES. The parties are independent contractors, and Manager and Owner shall not, by virtue of this Agreement, be deemed partners, employee/employer, or joint venturers, nor shall Manager be deemed to be the agent or employee of Owner. Manager shall not, by entering into and performing this Agreement, incur any liability for any of the existing obligations, liabilities, or debts of Owner, and Manager shall not, by acting hereunder, assume or become liable for any of the future obligations, debts, or liabilities of Owner. Owner shall retain the full legal authority and ultimate responsibility for the management and operation of Owner and the Hospital.

15. MODIFICATION. Neither this Agreement nor any provision hereof shall be amended or modified (or deemed amended or modified), except by an agreement in writing duly executed and acknowledged with the same formality as this Agreement that has been Approved by the Board of Owner. In the event that the tax-exempt status of SMHS is threatened as a result of any one or more provisions of this Agreement or actions of Manager under this Agreement, the parties agree to negotiate in good faith to modify or amend the offending provisions of this Agreement.

16. GOVERNING LAW. The parties agree that this Agreement shall be governed by and construed in accordance with the laws of the State of Connecticut without regard to conflict of laws principles. Any dispute or proceeding arising out of or relating in any way to the subject

matter of this Agreement shall be brought in any state or federal court having appropriate jurisdiction over the matter.

17. INDEPENDENT COVENANTS. Each of the respective rights and obligations of the parties hereunder shall be deemed independent and may be enforced independently irrespective of any of the other rights and obligations set forth herein. No waivers, express or implied, by either party of any breach of any of the covenants, agreements, or duties hereunder of the other party shall be deemed to be a waiver of any other breach thereof or the waiver of any other covenant, agreement, or duty. No waiver by any party, express or implied, of a breach or violation of any provision of this Agreement shall operate as, or be construed to constitute a waiver of, any other breach of the same or any other provision hereof.

18. ENTIRE UNDERSTANDING. This Agreement contains the entire understanding of the parties with respect to the subject matter hereof, who hereby acknowledge that there have been and are no representations, warranties, covenants, or understandings other than those expressly set further herein. This Agreement may be executed in two or more counterparts, each and all of which shall be deemed an original and all of which together shall constitute but one and the same instrument.

19. SEVERABILITY. In the event any provision of this Agreement is held to be invalid, illegal, or unenforceable for any reason and in any respect, such invalidity, illegality, or unenforceability shall in no event affect, prejudice, or disturb the validity of the remainder of this Agreement, which shall be and remain in full force and effect, enforceable in accordance with its terms.

20. WAIVER OF TRIAL BY JURY. EACH PARTY HERETO HEREBY IRREVOCABLY WAIVES ANY AND ALL RIGHTS IT MAY HAVE TO DEMAND THAT ANY ACTION, PROCEEDING OR COUNTERCLAIM ARISING OUT OF OR IN ANY WAY RELATED TO THIS AGREEMENT OR THE RELATIONSHIPS OF THE PARTIES HERETO BE TRIED BY JURY. THIS WAIVER EXTENDS TO ANY AND ALL RIGHTS TO DEMAND A TRIAL BY JURY ARISING FROM ANY SOURCE INCLUDING, BUT NOT LIMITED TO, THE CONSTITUTION OF THE UNITED STATES OR ANY STATE THEREIN, COMMON LAW, OR ANY APPLICABLE STATUTE OR REGULATIONS. EACH PARTY HERETO ACKNOWLEDGES THAT IT IS KNOWINGLY AND VOLUNTARILY WAIVING ITS RIGHT TO DEMAND TRIAL BY JURY.

IN WITNESS WHEREOF the parties hereunto have executed this Agreement as of the day and year first above written.

OWNER:

**SOUTHWEST CONNECTICUT HEALTH
SYSTEM, LLC**

By: _____
Rebecca Hurley, Executive Vice President

MANAGER:

LHP MANAGEMENT SERVICES, LLC

By: _____
Rebecca Hurley, Executive Vice President

Appendix A

Business Associate Agreement

EXHIBIT 4

Republican American Newspaper
Article on Sharon Hospital

For-profit switch gives Sharon Hospital new life

BY MARC SILVESTRINI
REPUBLICAN-AMERICAN

SHARON — The late 1990s and early 2000s was a dark time for Sharon Hospital.

By the spring of 2002, when the hospital was acquired by Nashville, Tenn.-based Essent Healthcare Inc. in a \$16.4 million transaction and converted from a nonprofit community hospital into a for-profit entity, the hospital had finished its fiscal year in red ink for six straight years. Its annual losses peaked at \$4.6 million in fiscal 1999, and totaled more than \$16 million for the six-year period.

The late 1990s and early 2000s were marked by diminishing revenue, a steady decline in patient market share, an internal breakdown in the hospital's billings and collections department that significantly compromised its ability to collect money it was owed, and dozens of layoffs.

"We were a mess. We were in pretty serious trouble," said John Chandler of Lakeville, chairman of the hospital's board of trustees at the time, who is known to friends and family as "Rusty."

"We were losing money hand over fist, the facility was old and in bad need of repair, the equipment needed to be upgraded and replaced ...," Chandler said. "We had all sorts of problems that demanded immediate attention, and not enough money to get anything accomplished."

Troubled waters

Saint Mary's Hospital and Plano, Texas-based LHP Hospital Group Inc. will appear before the state Office of Health Care Access, or OHCA, and Attorney General George C. Jepsen later this summer seeking state approval of their plan to form a partnership and convert the hospital into a profit-making, taxpaying enterprise.

That journey will cross the same previously unexplored waters Sharon Hospital and Essent navigated 11 years earlier.

In the spring of 2000, Sharon Hospital's board of trustees found itself in a difficult position. It was running out of time and money, and frantically looking for a fiscal solution that would keep the hospital afloat while simultaneously making a number of different parties — physicians, hospital employees, the community at large and several key state officials — happy.

According to Chandler, the hospital trustees first attempted to attract a suitable nonprofit partner, but the only viable suitor to emerge was Vassar Brothers Hospital in Poughkeepsie, N.Y. The trustees rejected that partnership because they felt Vassar would have taken over control of Sharon's endowment with no corresponding promise to make the necessary capital improvements at the hospital and no guarantee to continue ongoing Sharon-based programs and services.

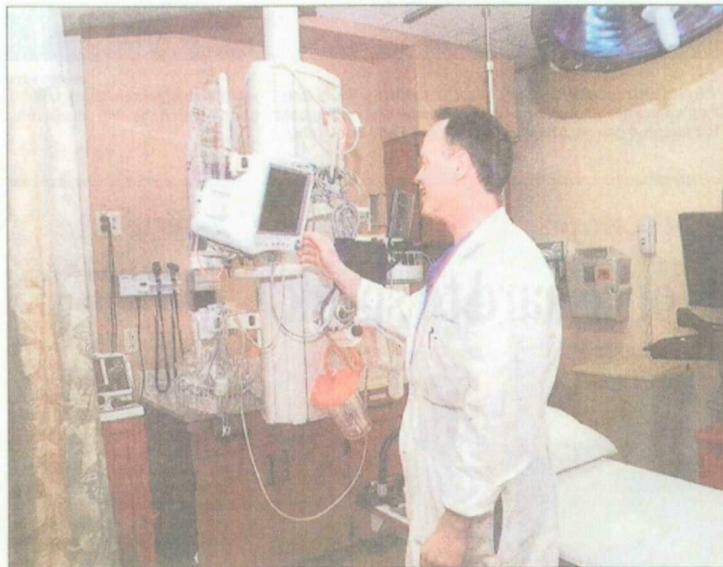
"Essent at that point emerged as the only viable alternative we had to just shutting down the hospital," Chandler said.

The Essent solution, which was first announced on June 29, 2000, had problems of its own.



Sharon Hospital converted from a nonprofit to a profit-making hospital in April 2002.

DARLENE DOUTY/REPUBLICAN-AMERICAN



Dr. Joseph DeDonato looks over a monitor in the new trauma treatment bay at Sharon Hospital.

"There was tremendous anxiety among some members of the local community, tremendous doubt and skepticism that turning the hospital into a for-profit entity was the right way to go," said Raymond Gorman, OHCA commissioner from 1998 to 2002 and now president and CEO of New Britain-based Community Mental Health Affiliates.

"The big concern that we kept hearing was that turning the hospital into a profit-making entity was going to ruin the quality of care," Gorman recalled. The opposition "worried that whoever was in charge was going to put profits ahead of quality care every time."

Some of the loudest opposition came from a well-organized group of local residents who called themselves the Community Association to Save Sharon Hospital, or CASSH. Their president was Dr. Carl Borneman of Falls Village, a former cardiologist, who at 97 is still ready and willing to offer an opinion on the issue.

"Basically, I was opposed to the idea of mixing profits with health care because I think you're asking for trouble when you do that," he said in a recent interview. "Profits and good medical care are just not a very good mix. They just don't mesh together very well."

Steady habits

Nine years have passed since Gorman and then-Attorney General Richard Blumenthal signed off on Essent's plan to acquire Sharon Hospital and the deal was closed on April 12, 2002. The closing concluded a two-year battle between those who steadfastly opposed Essent's acquisition of the community hospital and those who felt that turning it into a for-profit entity was the only way to save it.

Today, those who supported the Essent acquisition seem to have accumulated enough ammunition to win the argument. "Bringing in Essent saved that hospital, there's no doubt in

my mind," Chandler said.

Adds Gorman, the former OHCA commissioner, "Connecticut is the land of steady habits, and this whole idea of turning a nonprofit community hospital into a for-profit enterprise just didn't sit very well with a lot of people. But I think Essent has done a wonderful job with that institution over the past nine years. I couldn't be happier with the way things have worked out. I think we made the right decision."

Even the most committed Essent opponent would have to concede that Sharon Hospital is a much different place today. Instead of being deficit-plagued and debt-ridden, the 78-bed hospital is now debt-free and financially secure, according to Michael W. Browder, Essent's president and CEO.

"Together with our employees and physician partners, we have created a shining star," Browder said. "We are very proud of the progress we have made."

After posting a deficit at the

emergency room, and a 1,245-square-foot addition to the radiology department. All three units opened in May 2007.

The new maternity wing features advanced technology, two nurseries and private labor, delivery, recovery and postpartum rooms. An average of about 260 babies are born in the new unit every year.

The new emergency department features advanced, state-of-the-art technology, two new trauma bays, an increase from six private patient rooms to 12, and a negative pressure isolation room with a separate entrance from the outside to care for contamination cases.

The new radiology unit features a new Vantage MRI unit with state-of-the-art noise reduction technology and a wide-bore opening that helps minimize the claustrophobic feeling of being inserted into a tubelike machine.

Influx of doctors, nurses

The hospital's improved financial metrics and facilities have helped it recruit new physicians and bring new medical services to the Northwest Corner, said Kimberly A. Lumia, a Wolcott resident who was named the hospital's president and CEO last year. Recruiting new physicians and medical services is an important and ongoing focus of her administration, she said.

The new doctors have been joined by an expanded nursing and clinical staff. Before Essent's arrival, there were 553 staffed RN positions at the hospital, and its RN vacancy rate was more than 22 percent, said Jill Groody Musselman, the hospital's marketing and public relations director. Today, there are 64.7 RN positions and the vacancy rate is less than 5 percent.

The vacancy rate for all other clinical positions, which was more than 13 percent in 2002, now stands at less than 2 percent, she added.

Meanwhile, patient satisfaction scores at the hospital continue to outperform both state and national composite measures, Browder said. For example, the hospital's emergency department has earned Healthstream's Excellence through Insights Award for overall patient satisfaction for three straight years.

"We're very proud of how far we've come over the past few years," Lumia said. "We're financially sound, our patient-satisfaction scores are excellent and we feel we've been really good neighbors to the surrounding community. It's been a win-win for everyone."

Chandler, one of the first Northwest Corner residents to champion Essent's emergence on the scene, said he feels the results of the past nine years have justified his early support of the Essent acquisition.

"The proof is in the pudding and I think the pudding has turned out to be very good," he said.



President and CEO of Sharon Hospital Kimberly Lumia.

close of the 2002 fiscal year, its first year under the Essent banner, the hospital posted a small surplus in fiscal 2003. It has continued to post annual budget surpluses every year since, including profits of \$1.9 million in 2009 and \$1.6 million in 2010.

Hospital officials attribute the financial improvement to a series of cost-cutting and efficiency initiatives implemented by Essent. These include improving the cost structure by restructuring contracts with vendors and other service providers, opening and renegotiating all managed-care plans, recruiting new physicians to fill unmet health-care needs and creating more efficiencies and flexibility among hospital staff.

Essent also has fulfilled the written promise it made to Blumenthal and Gordon in 2002 to retire the hospital's existing debt.

It also has made \$39 million in capital improvements and equipment purchases. The improvements include a \$19 million project to create a new 11,000-square-foot maternity center, a new emergency department that added 2,800-square feet to the existing

EXHIBIT 5

**Consolidated Financial Statements
& Consolidating Financial Information
by KPMG dated September 30, 2010**



SAINT MARY'S HEALTH SYSTEM, INC.

**Consolidated Financial Statements and
Consolidating Information**

September 30, 2010 and 2009

(With Independent Auditors' Report Thereon)

SAINT MARY'S HEALTH SYSTEM, INC.

**Consolidated Financial Statements and
Consolidating Information**

September 30, 2010 and 2009

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KPMG LLP
One Financial Plaza
755 Main Street
Hartford, CT 06103

Independent Auditors' Report

The Board of Directors
Saint Mary's Health System, Inc.:

We have audited the accompanying consolidated balance sheets of Saint Mary's Health System, Inc. (the System) as of September 30, 2010 and 2009, and the related statements of operations, changes in net assets, and cash flows for the years then ended. These consolidated financial statements are the responsibility of the System's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the System's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Saint Mary's Health System, Inc. as of September 30, 2010 and 2009, and the results of their operations and their cash flows for the years then ended in conformity with U.S. generally accepted accounting principles.

Our audits were made for the purpose of forming an opinion on the consolidated financial statements taken as a whole. The consolidating information as of and for the year ended September 30, 2010 is presented for purposes of additional analysis of the consolidated financial statements rather than to present the financial position and results of operations of the individual companies. The consolidating information has been subjected to the auditing procedures applied in the audit of the 2010 consolidated financial statements and, in our opinion, is fairly stated in all material respects in relation to the 2010 consolidated financial statements taken as a whole.

KPMG LLP

January 28, 2011

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidated Balance Sheets

September 30, 2010 and 2009

(In thousands)

Assets	2010	2009
Current assets:		
Cash and cash equivalents	\$ 26,358	23,213
Short-term investments	1,182	1,082
Current portion of assets whose use is limited	4,714	4,739
Accounts receivable, net of estimated uncollectibles of \$7,367 in 2010 and \$7,486 in 2009	26,752	25,784
Other current assets	4,560	3,966
Total current assets	63,566	58,784
Marketable securities	15,804	14,032
Assets whose use is limited:		
By donor and held in trust by others	13,375	12,785
For estimated self-insurance liability	27,548	25,793
By bond indenture	5,078	5,873
Other	6	6
Total assets whose use is limited	46,007	44,457
Less current portion of assets whose use is limited	4,714	4,739
Noncurrent assets whose use is limited	41,293	39,718
Property and equipment, net	55,878	55,753
Other assets:		
Investments in joint venture arrangements	9,372	8,614
Deferred financing costs, net	214	250
Other noncurrent assets	501	682
Total other assets	10,087	9,546
Total assets	\$ 186,628	177,833
Liabilities and Net Assets		
Current liabilities:		
Current portion of long-term obligations	\$ 3,124	2,736
Accounts payable	12,644	11,432
Salaries, wages, and payroll withholdings	6,499	7,104
Due to third-party payors, net	5,935	6,847
Accrued expenses and other current liabilities	10,146	8,605
Total current liabilities	38,348	36,724
Long-term liabilities:		
Long-term obligations, net of current portion	26,789	28,263
Estimated self-insurance liability	19,334	16,848
Pension and deferred compensation	67,434	71,557
Other long-term liabilities	8,363	9,443
Total long-term liabilities	121,920	126,111
Minority interest in subsidiaries	1,663	1,855
Net assets (deficit):		
Unrestricted	7,737	(3,025)
Temporarily restricted	2,582	2,387
Permanently restricted	14,378	13,781
Total net assets	24,697	13,143
Total liabilities and net assets	\$ 186,628	177,833

See accompanying notes to consolidated financial statements.

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidated Statements of Operations

Years ended September 30, 2010 and 2009

(In thousands)

	<u>2010</u>	<u>2009</u>
Revenues:		
Net revenue from patient services	\$ 243,847	238,143
Other operating revenues	7,572	7,585
Total revenues	<u>251,419</u>	<u>245,728</u>
Expenses:		
Salaries and wages	105,443	102,507
Employee benefits	28,308	27,782
Supplies and other expenses	89,706	83,457
Provision for uncollectible accounts, net of recoveries	13,281	13,161
Depreciation and amortization	8,767	8,750
Interest	1,812	1,903
Total expenses	<u>247,317</u>	<u>237,560</u>
Operating income	<u>4,102</u>	<u>8,168</u>
Nonoperating gains (losses):		
Investment gains (loss), net	1,723	(1,271)
Equity in earnings of joint ventures	1,311	2,051
Minority interest in subsidiaries	(863)	(1,043)
Net loss on disposal of assets	(89)	(91)
Other	353	639
Total nonoperating gains, net	<u>2,435</u>	<u>285</u>
Excess of revenues over expenses	<u>6,537</u>	<u>8,453</u>
Net assets released from restriction for capital expenditures	328	150
Change in unrealized gains on marketable securities and other assets whose use is limited	890	638
Change in accumulated pension charges to unrestricted net assets	3,007	(13,120)
Increase (decrease) in unrestricted net assets	<u>\$ 10,762</u>	<u>(3,879)</u>

See accompanying notes to consolidated financial statements.

SAINT MARY'S HEALTH SYSTEM, INC.
Consolidated Statements of Changes in Net Assets
Years ended September 30, 2010 and 2009
(In thousands)

	<u>2010</u>	<u>2009</u>
Unrestricted net assets:		
Excess of revenues over expenses	\$ 6,537	8,453
Net assets released from restriction for capital expenditures	328	150
Change in unrealized gains on marketable securities and other assets whose use is limited	890	638
Change in accumulated pension charges to unrestricted net assets	3,007	(13,120)
Increase (decrease) in unrestricted net assets	<u>10,762</u>	<u>(3,879)</u>
Temporarily restricted net assets:		
Net assets released from restriction	(588)	(286)
Restricted contributions	901	504
Change in net assets of Cancer Center, including releases for capital expenditures	(118)	(183)
Increase in temporarily restricted net assets	<u>195</u>	<u>35</u>
Permanently restricted net assets:		
Net earnings on assets held in trust by others	1,199	226
Appropriation for expenditure	(602)	(486)
Increase (decrease) in permanently restricted net assets	<u>597</u>	<u>(260)</u>
Increase (decrease) in net assets	11,554	(4,104)
Net assets – beginning of year	<u>13,143</u>	<u>17,247</u>
Net assets – end of year	<u>\$ 24,697</u>	<u>13,143</u>

See accompanying notes to consolidated financial statements.

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidated Statements of Cash Flows

Years ended September 30, 2010 and 2009

(In thousands)

	2010	2009
Cash flows from operating activities:		
Increase (decrease) in net assets	\$ 11,554	(4,104)
Adjustments to reconcile increase (decrease) in net assets to net cash provided by operating activities:		
Depreciation and amortization	8,767	8,750
Net loss on disposal of assets	89	91
Change in unrealized gains on investments	(890)	(638)
Change in net assets of Cancer Center	118	183
Change in accumulated pension charges to unrestricted net assets	(3,007)	13,120
Investment (income) loss, net	(1,723)	1,271
Equity in earnings of joint ventures and partnerships	(1,311)	(2,051)
(Increase) decrease in operating assets:		
Accounts receivable, net	(968)	(2,013)
Other current assets	(558)	1,620
Other non current assets	181	514
Increase (decrease) in operating liabilities:		
Due to third-party payors, net	(912)	1,419
Accounts payable and other current liabilities	2,148	(991)
Estimated self-insurance liability	2,486	298
Minority interest in subsidiaries	(192)	(209)
Pension, accrued compensation, and other long-term liabilities	(2,196)	1,278
Net cash provided by operating activities	13,586	18,538
Cash flows from investing activities:		
Purchases of property and equipment	(7,031)	(7,938)
Distributions from joint ventures and partnerships	485	1,072
Investments in joint venture arrangements	(50)	(117)
Change in other assets whose use is limited	(2,345)	(1,377)
Net decrease (increase) in investments	741	(1,102)
Net cash used in investing activities	(8,200)	(9,462)
Cash flows from financing activities:		
Decrease (increase) in assets whose use is limited by bond indentures	795	(807)
Borrowings under long-term obligations	—	494
Payments on long-term obligations	(3,036)	(3,019)
Net cash used in financing activities	(2,241)	(3,332)
Net increase in cash and cash equivalents	3,145	5,744
Cash and cash equivalents – beginning of year	23,213	17,469
Cash and cash equivalents – end of year	\$ 26,358	23,213
Supplemental disclosure of cash flow information:		
Cash paid during the year for interest	\$ 1,754	1,885
Supplemental disclosure of noncash item:		
Assets acquired under capital lease	1,950	—

See accompanying notes to consolidated financial statements.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(1) Organization

Saint Mary's Health System, Inc. (SMHS or the System) is a not-for-profit, nonstock Connecticut holding company. It is the sole member of Saint Mary's Hospital, Inc. (the Hospital) and Saint Mary's Hospital Foundation, Inc. (the Foundation).

The Hospital is an acute care hospital located in Waterbury, Connecticut. The Hospital was founded in 1907 and is licensed for 347 beds and 32 newborn bassinets. The Hospital currently staffs 175 beds and 20 newborn bassinets. The Hospital provides a broad range of inpatient and outpatient services including a number of medical and surgical specialties, obstetrics, pediatrics, mental health, alcohol and substance abuse rehabilitation (outpatient) and off-site ambulatory services.

The Hospital has six consolidated subsidiaries: Franklin Medical Group, P.C. (Franklin), a for-profit Connecticut corporation; Scovill Medical Group, P.C. (Scovill), a for-profit Connecticut corporation; Primary Care Partners, P.C. (Partners), a for-profit Connecticut corporation; Saint Mary's Indemnity Company, LLC (the Indemnity Company) a Vermont limited liability company; Naugatuck Valley Surgical Center, LP (the Surgical Center), a Connecticut limited partnership and Diagnostic Imaging of Southbury, LLC (the Diagnostic Center), a for-profit Connecticut corporation.

Franklin, consisting of certain Hospital based physicians, provides mainly outpatient services. Scovill owns and operates physician practices in the greater Waterbury area. Partners was formed during December 2008 to own and operate additional physician practices.

The Indemnity Company was formed during June 2009 to provide professional and general liability insurance to the Hospital, Franklin, Scovill, Partners, and community physicians.

The Hospital has approximately an 85% equity interest in the Surgical Center, which provides ambulatory surgery services. The Hospital has a 60% equity interest in the Diagnostic Center, which provides diagnostic imaging services. The remaining interests are accounted for as a minority interest in the accompanying consolidated financial statements.

The Foundation was organized to raise funds to support the Hospital.

The assets, liabilities and operations of the Hospital's Auxiliary have not been reflected in the accompanying consolidated financial statements. Such amounts are not considered significant to the Hospital's consolidated financial position or results of its operations.

(2) Community Benefit (Unaudited)

The Hospital is a community teaching hospital that serves the healthcare needs of the Central Naugatuck Valley area. Like other healthcare providers across the nation, the Hospital is faced with the challenge of serving those in need with fewer resources. Although the Hospital cannot meet community needs alone, it is committed to seek out unmet community needs and to be a catalyst in efforts to ensure an adequate community-wide response from government and public organizations in fulfilling community needs.

(a) *Charity and Uncompensated Care*

As part of fulfilling its mission, the Hospital provides care to all patients in need, regardless of their ability to pay. The Hospital has a formalized charity care policy that identifies which patients are

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

deemed "charity care". During 2010 and 2009, charges foregone related to charity care were approximately \$1,044,000 and \$493,000, respectively.

In addition, the Hospital provides care to patients covered by governmental healthcare programs. During 2010 and 2009, the Hospital estimates that the cost of providing this care exceeded compensation by \$9,488,000 and \$7,845,000, respectively.

(b) Education, Research and Training

As a community teaching hospital affiliated with Yale School of Medicine, the Hospital has residency programs for general surgery, internal medicine and dental. These programs educate in excess of 50 residents and medical students per year. In addition to its residency programs, the Hospital furthers its educational mission by offering allied health programs affiliated with area educational facilities.

(c) Wellness and Health Promotional Activities

The Hospital provides many activities to promote the concept of healthy lifestyles, including the publishing and free distribution of *Discover*, which reaches more than 70,000 community households, health risk screenings, health fairs, support groups, speakers bureau and free telephone physician referral services through the Physician Referral program.

(d) Leadership, Advocacy and Voluntarism

The Hospital's employees are responsive to the community in the areas of planning, governance and service by annually providing thousands of hours of volunteer services, participating on boards of directors and advisory councils, testifying before public policy bodies and working with other healthcare organizations to develop healthcare policies for all persons. The Hospital also provides volunteer opportunities for community residents. The Hospital recognizes donated goods and services at their appraised or estimated value if they create or enhance nonfinancial assets or require specialized skills and would typically be purchased if not provided by donation. The volunteer services the Hospital received do not meet this criteria for recognition in the accompanying consolidated financial statements, but the volunteers donate a significant amount of time to the Hospital. In fiscal years 2010 and 2009, approximately 160 and 125 volunteers spent more than 20,000 hours and 14,000 hours, respectively, of service in Hospital departments.

(e) Dollar, Time and In-kind Donations

The Hospital also provides services to neighborhood schools and organizations at no cost. Contributions are made to programs, organizations and foundations for efforts on behalf of the poor, the disadvantaged and the general population. The Hospital also provides a variety of in-kind services including education on parenting and child abuse prevention and use of Hospital space for community meetings.

(f) Other Support Services

The Hospital provides outreach and social support services to patients. Programs such as the Sleep Disorders Support Group and the Chronic Lung Disorders/Pulmonary Support Group are integral to the healing of patients, and represent the Hospital's overall commitment to the continuum of care.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(3) Summary of Significant Accounting Policies

(a) *Basis of Presentation*

The accompanying consolidated financial statements have been prepared on the accrual basis of accounting in conformity with U.S. generally accepted accounting principles. All intercompany transactions have been eliminated in consolidation.

(b) *Net Patient Service Revenue*

The System has agreements with third-party payors that provide for payments to the System at amounts different from its established rates. Payment arrangements include prospectively determined rates per discharge, reimbursed costs, discounted charges, and per diem payments. Net patient service revenue is reported at the estimated net realizable amounts from patients, third-party payors, and others for services rendered, including estimated retroactive adjustments under reimbursement agreements with third-party payors. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined.

(c) *Charity Care and Provision for Uncollectible Accounts*

The System provides care to patients who meet certain criteria under its financial assistance policy without charge or at amounts less than their established rates. Because the System does not anticipate collection of amounts determined to qualify as charity care, they are not reported as net revenue.

The System grants credit without collateral to patients, most of whom are local residents and are insured under third-party arrangements. Additions to the allowance for uncollectible accounts are made by means of the provision for uncollectible accounts. Accounts written off as uncollectible are deducted from the allowance and subsequent recoveries are added. The amount of the provision for uncollectible accounts is based upon management's assessment of historical and expected net collections, business and economic conditions, trends in federal and state governmental health care coverage and other collection indicators.

(d) *Cash and Cash Equivalents*

Cash and cash equivalents include certain investments in highly liquid debt instruments with original maturities of three months or less.

The System invests its surplus operating funds in overnight repurchase agreement investments. These investments are collateralized with securities issued by the United States Government or its agencies and are not covered by FDIC insurance.

(e) *Assets Limited as to Use*

Assets limited as to use primarily include assets held by others pursuant to donor restrictions, by trustees under indenture agreements, and by a captive insurance company for professional and general liability insurance.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(f) *Marketable Securities*

Investments in equity securities, mutual funds and all investments in debt securities are reported at fair value based on readily determinable fair market values or estimated fair value in the accompanying consolidated balance sheets. Investment income or loss (including realized gains and losses on investments, interest and dividends) is included in the excess of revenues over expenses unless the income or loss is restricted by donor or law. Unrealized gains and losses on investments are excluded from the excess of revenues over expenses unless the investments are trading securities or are considered to be other than temporarily impaired.

In light of the market conditions over the past several years, the System has reviewed its investments in order to determine if any decrease from cost to fair value is other than temporary. The System has followed a policy of reviewing all investments that have been below cost by at least 25% for three quarters. Unless specific evidence exists to the contrary, these investments have been reduced to fair value, which becomes the new cost basis. The System recorded \$168,000 of other than temporary impairments on investments in 2009 which is included in investment income, net in the accompanying consolidated statements of operations. The System did not record any other than temporary impairments on investments in 2010.

Investment securities, in general, are exposed to various risks. Recent market conditions have resulted in an unusually high degree of volatility and increased the risks and short term liquidity of certain investments held by the System which could impact the value of investments after the date of these consolidated financial statements.

(g) *Property and Equipment*

Property and equipment acquisitions are recorded at cost. Depreciation is provided over the estimated useful life of each class of depreciable asset and is computed using the straight-line method. Equipment under capital lease obligations is amortized on the straight-line method over the shorter period of the lease term or the estimated useful life of the equipment. Such amortization is included in depreciation and amortization in the consolidated financial statements. Costs for repairs and maintenance are expensed as incurred.

Gifts of long-lived assets are reported as unrestricted support, and are excluded from the excess of revenues over expenses, unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restriction that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained, expirations of donor restrictions are reported when the donated or acquired long-lived assets are placed in service.

The System reviews long-lived assets for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset exceeds its fair value and may not be recoverable.

(h) *Investments In Joint Venture Arrangements*

Investments in joint venture arrangements, generally in the form of partnership interests, are accounted for using the equity method of accounting.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(i) ***Fair Value of Financial Instruments***

FASB ASC 820 establishes a framework for measuring fair value which provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC 820 are described below:

- *Level 1* – Inputs into the valuation methodology are the unadjusted quoted prices for identical assets or liabilities in active markets that the System has the ability to access.
- *Level 2* – Inputs into the valuation methodology include quoted prices for similar assets or liabilities in active and inactive markets, other than quoted prices that are observable for specific assets or liabilities, and values that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified contractual term, the value must be observable for substantially the full term of the asset or liability.
- *Level 3* – Inputs into the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Assets held in trust by others represents a donor established perpetual trust held and administered by an outside trustee. The System owns an interest in the trust rather than in the securities underlying the trust, and therefore it is generally required to consider such investments as Level 3 for the purposes of applying ASC 820. Such classification is based on the inability to redeem the investment, even though the underlying securities may not be difficult to value or may be readily marketable. Accordingly, the inputs or methodology used for valuing or classifying investments for financial reporting purposes are not necessarily an indication of the risk associated with investing in those investments.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the System believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The carrying amount of patient accounts receivable, accounts payable, and accrued expenses approximate fair value because of the short maturity of these instruments.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(j) Net Asset Categories

To ensure observance of limitations and restrictions placed on the use of resources available to the System, the accounts of the System are maintained in the following net assets categories:

Unrestricted – Unrestricted net assets represent available resources other than donor restricted contributions. Included in unrestricted net assets are assets set aside by the board of directors for future capital improvements or for other purposes, as deemed appropriate.

Temporarily Restricted – Temporarily restricted net assets represent contributions that are restricted by the donor either as to purpose or as to time of expenditure.

Permanently Restricted – Permanently restricted net assets represent contributions received with the donor restriction that the principal be invested in perpetuity and that only the income earned that the trustee does not reinvest be available for operations. The net appreciation of the value of investments held in trust by others remains in permanently restricted net assets until cash is transferred to the System.

(k) Excess of Revenues over Expenses

Excess of revenues over expenses included in the consolidated statements of operations reflects the System's performance measure. Changes in unrestricted net assets which are excluded from excess of revenues over expenses, consistent with industry practice, include unrealized gains and losses on investments, except for losses that are deemed to be other than temporary, and contributions of long-lived assets (including assets acquired using contributions which by donor restriction were to be used for the purposes of acquiring such assets). Nonoperating gains and losses, equity in earnings of joint ventures and adjustments related to minority interest are considered in the performance measure.

(l) Income Taxes

The System, Hospital, and Foundation are tax-exempt organization as described in Section 501(c)(3) of the Internal Revenue Code and are generally exempt from income taxes. The accompanying consolidated financial statements have been prepared on the basis that this tax-exempt status will be maintained.

Scovill, Franklin, and Partners are for-profit organizations subject to income taxes. Each organization has experienced operating losses and have accumulated net operating loss carryforwards at September 30, 2010. A valuation allowance has been recorded as management believes realization of such carryforwards is doubtful.

The Surgical Center, Diagnostic Center, and the Indemnity Company are pass-through entities with the tax consequences of their operating results being assessed at the member level.

(m) Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingencies at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates. Financial statement areas where management applies the use of assumptions

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

and estimates consist primarily of the allowance for uncollectible accounts, contractual allowances and related third-party accounts, the pension liability, and the estimated self-insurance liability.

(n) Professional and General Liability

The liability for unpaid losses and loss adjustment expenses includes estimates for reported losses, plus supplemental amounts calculated based upon loss projections utilizing the Indemnity Company's and insureds' historical data. In establishing this reserve, the Indemnity Company utilizes the findings of an independent consulting actuary. Management believes that its aggregate liability for unpaid losses and loss adjustment expenses at year-end represents its best estimate, based upon available data, of the amount necessary to cover the ultimate cost of losses.

The ultimate liability and receivable could be significantly in excess of or less than the amount indicated in the financial statements. As adjustments to these estimates become necessary, such adjustments will be reflected in current operations.

(o) Prior Year Reclassifications

Certain prior year balances have been reclassified in order to be consistent with the current year presentation.

(4) Net Revenue from Patient Services

A substantial portion of net revenue from patient services is derived from funds provided on behalf of patients under federal and state medical assistance programs. Revenue from some of these sources is determined by the application of reimbursement principles and is subject to audit by the applicable agencies. In the opinion of management, such principles have been properly applied in the determination of net patient service revenue. Settlement notices have been received from the Medicare program and the Medicaid program through 2006 and 2000, respectively. Retrospective adjustments for items under appeal by the System or under review by the intermediary, if any, are not expected to have a material adverse effect on the System's consolidated financial position or results of operations.

Net patient service revenues consist of inpatient revenues, principally from routine patient care and ancillary services and outpatient revenues from ancillary services, after considering allowances under agreements with various third-party payors. A reconciliation of gross revenues to net revenues from patient services after allowance for the years ended September 30, 2010 and 2009, is as follows (in thousands):

	2010	2009
Gross revenue from patient services	\$ 562,404	531,794
Contractual and other allowances	(317,513)	(293,158)
Charity care	(1,044)	(493)
Net revenue from patient services	\$ 243,847	238,143

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

The System grants credit without collateral to its patients, most of who are local residents and are insured under third-party payor agreements. The mix of gross receivables from private pay patients and third-party payors at September 30, 2010 and 2009 was as follows:

	2010	2009
Medicare (including Medicare managed care)	28.7%	28.2%
Medicaid	12.6	17.9
Other third-party payors	31.0	27.6
Private pay patients	27.7	26.3
	100.0%	100.0%

The System's exposure to risk of nonpayment from private pay patients is more significant than other payors. However, the System has recorded an estimate for uncollectible accounts, which, in management's opinion, is sufficient to provide for such risk. This estimate is based on a variety of factors including historical experience, the current economic environment and recent payment patterns.

(5) Regulatory and Legislative Matters

(a) State of Connecticut Regulatory Environment

Connecticut's acute care hospital regulatory system is currently administered by the State of Connecticut Office of Health Care Access (OHCA). The Hospital is subject to a regulatory system that includes the administration of a pool resulting in distribution of monies by the State to the Hospital. The Hospital receives interim payments from the State based upon the Hospital's payment shortfalls for certain payors relative to similar experience of all other hospitals in the State. During 2010 and 2009, the Hospital received \$2,990,000 and \$3,252,000, respectively.

(b) Federal Regulatory Environment

The healthcare industry is subject to numerous laws and regulations of federal, state and local governments. These laws and regulations include, but are not necessarily limited to, matters such as licensure, accreditation, government healthcare program participation requirements, reimbursement for patient services, and Medicare and Medicaid fraud and abuse and security and privacy of health information. Government activity has increased with respect to investigations and allegations concerning possible violations of fraud and abuse statutes and regulations by healthcare providers. Violations of these laws and regulations could result in expulsion from government healthcare programs together with the imposition of significant fines and penalties, as well as significant repayments for patient services previously billed. Management believes that the Hospital is in compliance with fraud and abuse regulations as well as other applicable government laws and regulations. While no material regulatory inquiries have been made, compliance with such laws and regulations can be subject to future government review and interpretation as well as regulatory actions unknown or unasserted at this time.

SAINT MARY'S HEALTH SYSTEM, INC.

Notes to Consolidated Financial Statements

September 30, 2010 and 2009

(6) Marketable Securities and Assets Whose Use is Limited

A comparison of cost and fair values of short-term investments, marketable securities, and assets whose use is limited, including the fair value of investments with unrealized losses that are not deemed other-than-temporarily impaired and the length of time the individual securities have been in a continuous unrealized loss position, at September 30, 2010 and 2009, is as follows (in thousands):

	2010					
	Total		Less than 12 months		12 months or more	
	Fair value	Cost	Fair value	Unrealized losses	Fair value	Unrealized losses
Cash and cash equivalents	\$ 2,688	2,688	—	—	—	—
Corporate bonds	11,308	10,797	—	—	765	(16)
U.S. government and agency obligations	20,573	20,226	—	—	400	(1)
Mutual funds	12,206	10,874	—	—	511	(24)
Equity funds	853	823	—	—	—	—
Equities	8,564	7,434	—	—	—	—
	<u>\$ 56,192</u>	<u>52,842</u>	<u>—</u>	<u>—</u>	<u>1,676</u>	<u>(41)</u>

	2009					
	Total		Less than 12 months		12 months or more	
	Fair value	Cost	Fair value	Unrealized losses	Fair value	Unrealized losses
Cash and cash equivalents	\$ 13,415	13,415	—	—	—	—
Corporate bonds	6,795	6,621	154	(2)	897	(94)
U.S. government and agency obligations	7,399	7,337	—	—	5	(1)
Mutual funds	14,744	14,180	70	(7)	564	(145)
Equities	11,588	10,641	933	(41)	660	(49)
	<u>\$ 53,941</u>	<u>52,194</u>	<u>1,157</u>	<u>(50)</u>	<u>2,126</u>	<u>(289)</u>

The above tables include permanently restricted assets held by others whose fair value exceeded cost by \$1,369,000 at September 30, 2010 and \$711,000 at September 30, 2009.

Assets whose use is limited for estimated self insurance includes approximately \$4,795,000 and \$5,624,000 of other assets, substantially receivables, as of September 30, 2010 and 2009, respectively.

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The following table sets forth by level, within the fair value hierarchy, the System's financial instruments at fair value as of September 30, 2010 (in thousands):

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Cash and cash equivalents	\$ 2,332	—	—	2,332
Domestic corporate bonds	—	6,403	—	6,403
Foreign corporate bonds	—	1,908	—	1,908
U.S. government and agency obligations	—	19,709	—	19,709
Mutual funds	11,385	—	—	11,385
Equity funds	—	853	—	853
Preferred equity securities	—	227	—	227
Total	13,717	29,100	—	42,817
Assets held in trust by others:				
Perpetual trust	—	—	13,375	13,375
Total	\$ 13,717	29,100	13,375	56,192

The following table sets forth by level, within the fair value hierarchy, the System's financial instruments at fair value as of September 30, 2009 (in thousands):

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Cash and cash equivalents	\$ 12,536	—	—	12,536
Domestic corporate bonds	—	2,294	—	2,294
Foreign corporate bonds	—	17	—	17
U.S. government and agency obligations	—	7,400	—	7,400
Mutual funds	14,577	—	—	14,577
Equities	4,332	—	—	4,332
Total	31,445	9,711	—	41,156
Assets held in trust by others:				
Perpetual trust	—	—	12,785	12,785
Total	\$ 31,445	9,711	12,785	53,941

Mutual funds and equities are traded actively on exchanges and price quotes for these shares are readily available.

For domestic and foreign bonds and U.S. government obligations multiple prices and price types are obtained from pricing vendors whenever possible, which enables cross-provider validations. A primary price source is identified based on asset type, class or issue for each security. The fair values of fixed-

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income securities are based on evaluated prices that reflect observable market information, such as actual trade information of similar securities, adjusted for observable differences.

The following table presents additional information about investments measured at fair value on a recurring basis using significant unobservable inputs (Level 3) for the year ended September 30 (in thousands):

	<u>2010</u>	<u>2009</u>
Perpetual trust		
Fair value at beginning of the year	\$ 12,785	13,048
Net realized and change in unrealized gains	1,192	223
Appropriation of endowment assets for expenditure	<u>(602)</u>	<u>(486)</u>
Fair value at end of the year	<u>\$ 13,375</u>	<u>12,785</u>

Assets held in trust by others represents a donor established perpetual trust held and administered by an outside trustee. The System receives a specific portion of the return on the underlying assets of the perpetual trust. The investment income is recorded as unrestricted investment income in nonoperating gains (losses).

(7) Property and Equipment

A summary of property and equipment at September 30, 2010 and 2009 is as follows (in thousands):

	<u>2010</u>	<u>2009</u>
Land and land improvements	\$ 1,484	1,484
Buildings and building improvements	68,788	68,194
Equipment	104,120	101,216
Projects in process	<u>862</u>	<u>724</u>
	175,254	171,618
Less accumulated depreciation and amortization	<u>119,376</u>	<u>115,865</u>
Property and equipment, net	<u>\$ 55,878</u>	<u>55,753</u>

Property under capital leases had a net book value of \$1,793,000 at September 30, 2010.

(8) Long-Term Obligations

In May 1997 the Hospital issued \$36,150,000 of CHEFA Bonds, Series E, dated May 1, 1997, 5.00% – 6.00% Serial and Term Bonds payable annually in amounts ranging from \$985,000 to \$3,000,000 through July 1, 2022.

The Hospital is required to maintain a Debt Service Reserve fund for the Bonds issued through CHEFA, of the lesser of 10% of the principal amount of the Bonds, the amount required to fund the current portion of the principal and interest payable in any year, or 125% of the average annual debt service on the Bonds. The Hospital is also required to comply with certain financial covenants for the Bonds issued through

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CHEFA, including a Debt Service Coverage Ratio requirement of at least 1.25, a Debt Ratio requirement of no greater than .75 and a Current Ratio requirement of at least 1.40. The Bond agreements indicate that if the Current Ratio is below 1.40 but above 1.0 and the Debt Service Coverage Ratio is below 1.25 but above 1.0, a consultant is required.

Other long-term obligations consist of capital equipment financing notes and capital leases: HP Financial Services, with interest of 3.7%, beginning December 2004 and maturing November 2011 and Wells Fargo Equipment Finance, with interest of 5.75%, beginning August 2010 and maturing July 2015.

Long-term obligations at September 30, 2010 and 2009 are as follows (in thousands):

	<u>2010</u>	<u>2009</u>
CHEFA Series E Bonds, principal payable annually and interest payable in semi-annual installments	\$ 26,430	27,915
Capital equipment financing notes	1,808	3,355
Capital leases	1,911	—
	<u>30,149</u>	<u>31,270</u>
Less unamortized portion of bond discount	(236)	(271)
Less current portion	<u>(3,124)</u>	<u>(2,736)</u>
	<u>\$ 26,789</u>	<u>28,263</u>

Principal payments on the Hospital's long-term obligations and capital lease payments are as follows (in thousands):

	<u>Long-term obligations</u>	<u>Capital leases</u>
Year ending September 30:		
2011	\$ 2,745	454
2012	2,264	454
2013	1,750	454
2014	1,850	440
2015	1,960	332
Thereafter	<u>17,669</u>	<u>—</u>
	<u>\$ 28,238</u>	<u>2,134</u>
Interest payments		<u>(223)</u>
		<u>\$ 1,911</u>

The CHEFA Series E bonds and capital equipment financing notes are collateralized by substantially all of the Hospital's land, buildings and equipment.

Long-term debt is carried at cost. Fair values are estimated based on quoted market prices for the same or similar issues. The estimated fair value of the CHEFA Series E bonds and capital equipment financing notes approximates \$27,787,000 as of September 30, 2010.

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(9) Line of Credit

At September 30, 2010, the Hospital maintained a financing agreement with Webster Bank for a \$5,000,000 line of credit which has an August 15, 2011 maturity date. Interest on the line of credit was at the Hospital's choice of either the lending institution's prime rate or at the LIBOR rate plus 110 basis points. At September 30, 2010, there was no outstanding balance under this line of credit agreement. Additionally, \$450,000 of the unused line of credit secures a stand-by letter of credit.

(10) Temporarily and Permanently Restricted Net Assets

Temporarily restricted net assets are available for the following purposes at September 30, 2010 and 2009 (in thousands):

	2010	2009
Cancer	\$ 673	774
Building and equipment	463	225
Community services	326	395
Education	247	249
Other	873	744
	\$ 2,582	2,387

Permanently restricted net assets at September 30, 2010 and 2009 are restricted to (in thousands):

	2010	2009
Investments held by trustee in perpetuity, the income from which is expendable to support Hospital operations	\$ 13,410	12,813
Investments held by Hospital Foundation in perpetuity, the income from which is expendable to support:		
Hospital operations	818	818
Medical education	150	150
	\$ 14,378	13,781

(11) Endowment

Effective October 1, 2008, the System adopted FASB Staff Position No. 117-1, Endowments of Not-for-Profit Organizations: Net Asset Classification of Funds Subject to an Enacted Version of the Uniform Prudent Management of Institutional Funds Act, and Enhanced Disclosures for All Endowment Funds (FSP 117-1), now part of FASB ASC 958-205, *Not-for-Profit Entities -- Presentation of Financial Statements*. On October 1, 2007 the Uniform Prudent Management of Institutional Funds Act (UPMIFA) was adopted by the State of Connecticut (Act). The new law updated existing fundamental investment principles providing standards to guide investing in a prudent manner and eliminating the restriction that endowment funds could not be spent below its historical dollar value. The System considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds; the duration and preservation of the fund, the purposes of the System and the donor-restricted endowment funds, general economic conditions, the possible effect of inflation and deflation, the expected total return

SAINT MARY'S HEALTH SYSTEM, INC.

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from income and the appreciation of investments, other resources of the System, and the investment policies of the System.

The System's endowment consists of funds established for a variety of purposes including donor-restricted endowment funds. Net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions. At September 30, 2010 and 2009, the System has no funds designated by the Board of Directors as endowment.

The System has interpreted UPMIFA as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. The System classifies as permanently restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by the System in a manner consistent with the standard of prudence prescribed by the Act.

Endowment funds consist of the following at September 30, 2010 and 2009 (in thousands):

	<u>Temporarily restricted</u>	<u>Permanently restricted</u>	<u>Total</u>
Donor-restricted endowment funds at September 30, 2010	\$ 122	14,378	14,500
Donor-restricted endowment funds at September 30, 2009	\$ 112	13,781	13,893

Changes in endowment funds for the year ended September 30, 2010 are as follows (in thousands):

	<u>Temporarily restricted</u>	<u>Permanently restricted</u>	<u>Total</u>
Endowment net assets, October 1, 2009	\$ 112	13,781	13,893
Investment return:			
Investment income	26	541	567
Net appreciation	61	658	719
Total investment return	<u>87</u>	<u>1,199</u>	<u>1,286</u>
Appropriation of endowment assets for expenditure	<u>(77)</u>	<u>(602)</u>	<u>(679)</u>
Endowment net assets, September 30, 2010	\$ <u>122</u>	<u>14,378</u>	<u>14,500</u>

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Changes in endowment funds for the year ended September 30, 2009 are as follows (in thousands):

	<u>Temporarily restricted</u>	<u>Permanently restricted</u>	<u>Total</u>
Endowment net assets, October 1, 2008	\$ 91	14,041	14,132
Investment return:			
Investment losses	(32)	(1,995)	(2,027)
Net appreciation	65	2,221	2,286
Total investment return	33	226	259
Appropriation of endowment assets for expenditure	(12)	(486)	(498)
Endowment net assets, September 30, 2009	\$ <u>112</u>	<u>13,781</u>	<u>13,893</u>

The System has adopted investment and spending policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the System must hold in perpetuity or for a donor-specified period. Funds held in trust by others represents a donor established perpetual trust held and administered by an outside trustee and are not within the System's control as to spending and investment policies.

To satisfy its long term rate-of-return objectives, the System relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The System targets a diversified asset allocation that places emphasis on investments in equities and fixed income investments to achieve its long-term return objectives with prudent risk constraints.

The System follows a policy of spending an amount that approximates the investment income earned, in addition to specific purchases of capital equipment. Accordingly, the System expects its spending policy will allow its endowment funds to be maintained in perpetuity by growing at a rate at least equal to the planned payouts. Additional real endowment growth will be provided through new gifts and any excess investment return.

(12) Professional and General Liability

Prior to July 1, 2009, the Hospital purchased limits of professional and general liability from Partners Interinsurance Exchange ("PIE") to cover risks up to specified limits. Within PIE the Hospital maintained retentions net to its own account by type of coverage. A claims made reinsurance contract was purchased each fiscal year that provided coverage above these retentions. Beginning January 1, 2002, the professional liability coverage provided for total limits, with respect to professional liability, of \$18 million per claim and \$27 million in the aggregate, with a retention inside that limit of \$3,000,000 per incident applying as net to the Hospital's account within PIE. The general liability coverage provided limits of \$16 million per claim and \$19 million in the aggregate with a retention of \$1,000,000 per incident and \$4,000,000 in the

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annual aggregate retained as net to the Hospital's account within PIE. The Hospital paid an actuarially determined premium to PIE for the purpose of setting aside assets to cover the reasonable value of ultimate expected loss retained as net within PIE.

During June 2009 the Hospital established the Indemnity Company as a successor to the Hospital's financial interest in PIE. On July 1, 2009 the Hospital's account in PIE was transferred to the Indemnity Company. The Hospital and certain affiliates continue to purchase limits of professional and general liability from the Indemnity Company at levels previously purchased from PIE. Actuarially determined premiums are paid in order to set aside assets to cover the reasonable value of ultimate expected losses. Hospital management, along with its consulting actuaries, accrued its best estimate of professional and general liabilities.

Malpractice claims that fall within the Hospital's adopted policy of self-insurance have been asserted against the Hospital by various claimants. The claims are in various stages of assessment and resolution. There are also known and unknown incidents that have occurred through September 30, 2010 that may result in the assertion of additional claims. Hospital management believes that the ultimate settlement of these claims will not have a material impact on the Hospital's consolidated financial position or results of their operations, as adequate self-insurance reserves, Indemnity Company assets and reinsurance are in place.

The Indemnity Company entered into a loss portfolio transfer (LPT) on July 1, 2009 with PIE. The losses associated with this transaction did not transfer insurance risk to the Indemnity Company. As such, this LPT is accounted for using deposit accounting, which requires offsetting assets and liabilities.

Activity in the reserves for premiums held on deposit included in self-insurance liability is summarized as follows (in thousands):

	<u>2010</u>
Reserves for premiums held on deposit at beginning of year	\$ 15,585
Add current period reserves for premiums held on deposit	(1,453)
Add additional reserve for premiums held on deposit for loss portfolio transfer	—
Deduct current period payments from reserves for premiums held on deposit	<u>1,299</u>
Reserves for premium held on deposit at end of year	<u>\$ 12,833</u>

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Activity in the self-insurance liability for unpaid losses and loss adjustment expenses for prospective losses is summarized as follows (in thousands):

	2010
Balance at beginning of period	\$ 787
Less reinsurance recoverable	(202)
Net balance at beginning of period	585
Incurred related to:	
Current year	4,364
Prior years	747
Total incurred	5,111
Paid related to:	
Current year	16
Prior years	94
Total paid	110
Net balance at end of period	5,586
Add reinsurance recoverable	900
Balance at end of period	\$ 6,486

The provision for incurred losses related to prior year (subsequent to the loss portfolio transfer from PIE at July 1, 2009) increased by \$747,197 in 2010 due to changes in estimates of insured events.

(13) Retirement Plans

Defined Benefit Plan

The Hospital has a noncontributory, defined benefit pension plan (the Plan) for most employees, which is funded with available cash flow. The Plan is qualified as a "Church Plan" and is therefore exempt from a majority of ERISA regulations. Plan benefits are not guaranteed by the Pension Benefit Guaranty Corporation. The Plan was frozen to new entrants in 1997. In 2004, the Plan's benefits were curtailed to eliminate participants' earnings of additional benefits for future services.

SAINT MARY'S HEALTH SYSTEM, INC.

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The following table sets forth the Plan's funded status at September 30, 2010 and 2009, the Plan measurement date, (in thousands):

	<u>2010</u>	<u>2009</u>
Change in benefit obligation:		
Benefit obligation, beginning of year	\$ 119,194	106,805
Interest cost	6,393	6,490
Actuarial (gain) loss	(197)	11,361
Benefits paid	<u>(5,611)</u>	<u>(5,462)</u>
Benefit obligation, end of year	<u>119,779</u>	<u>119,194</u>
Change in plan assets:		
Fair value of plan assets, beginning of year	48,514	47,895
Actual return on plan assets	4,484	1,065
Contributions	5,831	5,016
Benefits paid	<u>(5,611)</u>	<u>(5,462)</u>
Fair value of plan assets, end of year	<u>53,218</u>	<u>48,514</u>
Funded status at year end	\$ <u><u>(66,561)</u></u>	\$ <u><u>(70,680)</u></u>
Amounts recognized in consolidated balance sheets consist of:		
Noncurrent liabilities	\$ (66,561)	(70,680)
Accumulated charges to unrestricted net assets	<u>51,393</u>	<u>54,400</u>
Net amount recognized	\$ <u><u>(15,168)</u></u>	\$ <u><u>(16,280)</u></u>

Amounts recognized as accumulated charges to unrestricted net assets consists of (in thousands):

	<u>Pension benefits</u>	
	<u>2010</u>	<u>2009</u>
Net actuarial loss	\$ <u>(51,393)</u>	<u>(54,400)</u>
	\$ <u><u>(51,393)</u></u>	\$ <u><u>(54,400)</u></u>

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The accumulated benefit obligation for the pension plan was \$119,779,000 and \$119,194,000 at September 30, 2010 and 2009, respectively. Net periodic benefit cost recognized in 2010 and 2009 was (in thousands):

	<u>2010</u>	<u>2009</u>
Components of net periodic benefit cost:		
Interest cost	\$ 6,393	6,490
Expected return on plan assets	(4,664)	(4,465)
Net amortization and deferral	<u>2,990</u>	<u>1,642</u>
Net periodic benefit cost	<u>\$ 4,719</u>	<u>3,667</u>

Other changes in plan assets and benefit obligation recognized as charges to unrestricted net assets in 2010 and 2009 are as follows (in thousands):

	<u>2010</u>	<u>2009</u>
Net gain (loss)	5,997	(11,478)
Net amortization and deferral	<u>(2,990)</u>	<u>(1,642)</u>
Increase (reduction) recognized in changes to unrestricted net assets	<u>\$ 3,007</u>	<u>(13,120)</u>
Decrease to unrestricted net assets associated with recognized net periodic benefit cost	<u>\$ 1,712</u>	<u>16,787</u>

Weighted average assumptions used to determine benefit obligations and net periodic benefit cost as of and for the years end September 30, 2010 and 2009 were as follows:

	<u>2010</u>	<u>2009</u>
Weighted-average assumptions at September 30:		
Discount rate for benefit obligations	5.25%	5.50%
Discount rate for net periodic benefit cost	5.50%	6.25%
Expected return on plan assets	8.00%	8.00%

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The following table sets forth by level, within the fair value hierarchy, the pension plan's financial instruments at fair value as of September 30, 2010 (in thousands):

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Money market funds	\$ 2,697	—	—	2,697
Domestic corporate bonds	—	8,572	—	8,572
Foreign corporate bonds	—	741	—	741
U.S. government and agency obligations	—	3,873	—	3,873
Mutual funds	37,222	—	—	37,222
Preferred equity securities	—	113	—	113
Total	<u>39,919</u>	<u>13,299</u>	<u>—</u>	<u>53,218</u>

The pension plan weighted average asset allocations at September 30, 2010 and 2009 by asset category are as follows:

	<u>2010</u>	<u>2009</u>
Mutual funds	70%	68%
Money market funds	5	4
Debt securities:		
U.S. obligations	7	10
Corporate	17	17
Fixed income mutual funds	1	1
	<u>100%</u>	<u>100%</u>

The expected long-term rate of return for the plan's total assets is based on the expected return of each of the above categories, weighted based on the target allocation of each class. Equity securities are expected to return 9% to 10% over the long-term, while debt securities are expected to return between 4% and 7%. Money market funds are held to pursue market opportunities.

The investment policy, as established by the Investment Committee, is to earn a total return in any five-year period that will have exceeded the interest assumption in the actuarial plan valuation for that five-year period. For performance evaluation purposes, all rates of return will be examined on a net-of-fee basis. The pension assets are to be broadly diversified so as to limit the impact of large losses in individual investments on the total portfolio. The asset allocation is reviewed on a quarterly basis.

In the aggregate, contributions to the pension plan are expected to be \$4,420,000 in 2011.

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The following benefit payments are expected to be paid (in thousands):

2011	\$	6,000
2012		6,300
2013		6,700
2014		7,150
2015		7,450
2016 through 2019		42,000
	\$	75,600

Defined Contribution Plan

The Hospital established a defined contribution plan when it reduced the benefits of the Plan via amendment in 1997. The defined contribution plan provides for a Hospital match up to a certain percentage of employee contributions. Expenses related to the defined contribution plan amounted to \$3,552,000 and \$3,459,000 in 2010 and 2009, respectively, and are included in employee benefits in the accompanying consolidated statements of operations.

Supplemental Pension Plan

In addition, the Hospital has an unfunded supplemental pension plan for the benefit of three retired long term employees. The Hospital recorded a liability of \$953,000 and \$957,000 at September 30, 2010 and 2009, respectively. Expenses related to the plan amounted to \$80,000 and \$80,000 in 2010 and 2009, respectively, and are included in employee benefits in the accompanying consolidated statements of operations.

(14) Leases and Rental Expenses

Rental expense aggregated approximately \$5,137,000 and \$4,906,000 for the years ended September 30, 2010 and 2009, respectively. Minimum future rental commitments on all noncancelable operating leases with initial or remaining terms of more than one year are as follows (in thousands):

Fiscal year:		
2011	\$	3,128
2012		2,557
2013		2,299
2014		1,614
2015		1,215
2016 and thereafter		8,927
	\$	19,740

The Hospital rents space from SMHS in a medical office building for approximately \$9,000 per month.

SAINT MARY'S HEALTH SYSTEM, INC.

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(15) Cancer Center

During 1997, the Hospital entered into an agreement with Waterbury Hospital to develop a joint Cancer Care Center, known as the Harold Leever Regional Cancer Center (HLRCC) that provides treatment services to cancer patients in the greater Waterbury area. The Hospital's initial investment in HLRCC was converted into a \$1,386,298 promissory note. The note accrued interest at 4% per year with principal and interest payable in quarterly installments. The note was paid off during 2010. The Hospital is entitled to distributions at the discretion of HLRCC's Board of Directors, which is selected by Waterbury Hospital and the Hospital on a 50/50 basis. The Hospital's investment in HLRCC is carried on the equity basis, and the carrying value is approximately \$8,513,000 and \$8,002,000 at September 30, 2010 and 2009, respectively. Equity in earnings was approximately \$644,000 and \$1,304,000 in 2010 and 2009, respectively.

(16) Heart Center of Greater Waterbury

In December 2003, the Hospital and Waterbury Hospital were granted permission to establish a three-year demonstration project for an advanced cardiac care program known as the Heart Center of Greater Waterbury, Inc. (HCGW). During 2009, the program was granted permanent program status. Through the program, patients at the Hospital and Waterbury Hospital are provided access to services such as angioplasty and open heart surgery. Procedures are performed at both hospitals, each recording related revenues and expenses. The HCGW, a MSO that provides administrative support and management functions to the program, has a Board of Directors selected by Waterbury Hospital and the Hospital on a 50/50 basis. HCGW incurs costs, which are funded by the hospitals, to market the program and derives no net assets from its activities. The Hospital expensed \$393,000 and \$438,000 of funding, in 2010 and 2009, respectively.

(17) Investments in Joint Venture Arrangements

Naugatuck Valley MRI Limited Partnership

The Hospital is a 1% general partner and a 47% limited partner in the Naugatuck Valley MRI Limited Partnership, which provides diagnostic imaging services in Waterbury. The investment is carried on the equity basis, and the carrying value is approximately \$628,000 and \$303,000 at September 30, 2010 and 2009, respectively. Equity in earnings was approximately \$676,000 and \$731,000 in 2010 and 2009, respectively.

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September 30, 2010 and 2009

(18) Other Operating Revenues

Components of other operating revenues for the years ended September 30, 2010 and 2009 are as follows (in thousands):

	<u>2010</u>	<u>2009</u>
Investment income related to short-term investments and investments for self-insurance	\$ 588	432
Net assets released from restriction	151	22
Contributions	359	1,073
Cafeteria	1,032	1,009
Outside services	975	1,012
State grants	446	552
Parking fees	651	682
Day care	651	670
Rentals	1,625	1,219
Other	1,094	914
Total	\$ <u>7,572</u>	<u>7,585</u>

(19) Functional Expenses

The System provides general health care services to residents within its geographic location. Expenses related to providing these services for the years ended September 30, 2010 and 2009 are as follows (in thousands):

	<u>2010</u>	<u>2009</u>
Health care services	\$ 212,412	205,042
General and administrative	34,139	31,780
Fundraising	766	738
	\$ <u>247,317</u>	<u>237,560</u>

(20) Activities Between Affiliates

During 2010 and 2009, the Foundation distributed \$524,000 and \$287,000, respectively, to the Hospital to support programs and services.

In addition to the lease activity with affiliates described in note 14, all employees of SMHS and the Foundation are paid through the Hospital's payroll system, and the amounts paid are charged directly to the related affiliate. In addition, time spent by certain Hospital employees on affiliate activities is charged to the related affiliate.

(21) Subsequent Events

The System has evaluated events through January 28, 2011, which represents the date the financial statements were available to be issued and noted no subsequent events that would have impacted the System's financial statements.

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidating Balance Sheet

September 30, 2010

(In thousands)

	Saint Mary's Hospital, Inc. Consolidated	Saint Mary's Hospital Foundation Inc.	Saint Mary's Health System Inc.	Eliminations	Saint Mary's Health System, Inc. Consolidated
Current assets:					
Cash and cash equivalents	\$ 25,089	1,259	10	—	26,358
Short-term investments	1,182	—	—	—	1,182
Current portion of assets whose use is limited	4,714	—	—	—	4,714
Accounts receivable, net	26,412	340	—	—	26,752
Other current assets	4,148	34	378	—	4,560
Total current assets	<u>61,545</u>	<u>1,633</u>	<u>388</u>	<u>—</u>	<u>63,566</u>
Marketable securities	<u>12,791</u>	<u>3,013</u>	<u>—</u>	<u>—</u>	<u>15,804</u>
Assets whose use is limited:					
By donor and held in trust by others	13,375	—	—	—	13,375
For estimated self-insurance liability	27,548	—	—	—	27,548
By bond indenture	5,078	—	—	—	5,078
Net interest in Foundation	4,509	—	—	(4,509)	—
Other	6	—	—	—	6
Total assets whose use is limited	<u>50,516</u>	<u>—</u>	<u>—</u>	<u>(4,509)</u>	<u>46,007</u>
Less current portion of assets whose use is limited	<u>4,714</u>	<u>—</u>	<u>—</u>	<u>—</u>	<u>4,714</u>
Noncurrent assets whose use is limited	<u>45,802</u>	<u>—</u>	<u>—</u>	<u>(4,509)</u>	<u>41,293</u>
Property and equipment, net	<u>54,569</u>	<u>16</u>	<u>1,293</u>	<u>—</u>	<u>55,878</u>
Other assets:					
Investments in joint venture arrangements	9,372	—	—	—	9,372
Deferred financing costs, net	214	—	—	—	214
Other noncurrent assets	260	—	241	—	501
Total other assets	<u>9,846</u>	<u>—</u>	<u>241</u>	<u>—</u>	<u>10,087</u>
Total assets	<u>\$ 184,553</u>	<u>4,662</u>	<u>1,922</u>	<u>(4,509)</u>	<u>186,628</u>

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidating Balance Sheet

September 30, 2010

(In thousands)

	Saint Mary's Hospital, Inc. Consolidated	Saint Mary's Hospital Foundation Inc.	Saint Mary's Health System Inc.	Eliminations	Saint Mary's Health System, Inc. Consolidated
Current liabilities:					
Current portion of long-term obligations	\$ 3,124	—	—	—	3,124
Accounts payable	12,497	115	32	—	12,644
Salaries, wages, and payroll withholdings	6,499	—	—	—	6,499
Due to third-party payors, net	5,935	—	—	—	5,935
Accrued expenses and other current liabilities	10,108	38	—	—	10,146
Total current liabilities	<u>38,163</u>	<u>153</u>	<u>32</u>	<u>—</u>	<u>38,348</u>
Long-term liabilities:					
Long-term obligations, net of current portion	26,789	—	—	—	26,789
Estimated self-insurance liability	19,334	—	—	—	19,334
Pension and deferred compensation	67,434	—	—	—	67,434
Other long-term liabilities	8,363	—	—	—	8,363
Total long-term liabilities	<u>121,920</u>	<u>—</u>	<u>—</u>	<u>—</u>	<u>121,920</u>
Minority interest in subsidiaries	1,663	—	—	—	1,663
Net assets (deficit):					
Unrestricted	5,847	1,556	1,890	(1,556)	7,737
Temporarily restricted	2,582	1,985	—	(1,985)	2,582
Permanently restricted	14,378	968	—	(968)	14,378
Total net assets	<u>22,807</u>	<u>4,509</u>	<u>1,890</u>	<u>(4,509)</u>	<u>24,697</u>
Total liabilities and net assets	<u>\$ 184,553</u>	<u>4,662</u>	<u>1,922</u>	<u>(4,509)</u>	<u>186,628</u>

See accompanying independent auditors' report.

SAINT MARY'S HEALTH SYSTEM, INC.

Consolidating Statement of Operations

Year ended September 30, 2010

(In thousands)

	Saint Mary's Hospital, Inc. Consolidated	Saint Mary's Hospital Foundation, Inc.	Saint Mary's Health System Inc.	Eliminations	Saint Mary's Health System, Inc. Consolidated
Revenues:					
Net revenue from patient service:	\$ 243,847	—	—	—	243,847
Other operating revenues	6,984	581	102	(95)	7,572
Total revenues	<u>250,831</u>	<u>581</u>	<u>102</u>	<u>(95)</u>	<u>251,419</u>
Expenses:					
Salaries and wages	105,060	345	38	—	105,443
Employee benefits	28,297	—	11	—	28,308
Supplies and other expenses	89,353	385	70	(102)	89,706
Provision for uncollectible accounts	13,250	31	—	—	13,281
Depreciation and amortization	8,691	3	73	—	8,767
Interest	1,810	2	—	—	1,812
Total expenses	<u>246,461</u>	<u>766</u>	<u>192</u>	<u>(102)</u>	<u>247,317</u>
Operating income (loss)	<u>4,370</u>	<u>(185)</u>	<u>(90)</u>	<u>7</u>	<u>4,102</u>
Nonoperating gains (losses):					
Investment gains, net	1,723	—	—	—	1,723
Equity in earnings of joint ventures	1,311	—	—	—	1,311
Minority interest in subsidiaries	(863)	—	—	—	(863)
Change in net assets of Foundation	(252)	—	—	252	—
Net gain on disposal of assets	(89)	—	—	—	(89)
Other	353	—	—	—	353
Total nonoperating gains, net	<u>2,183</u>	<u>—</u>	<u>—</u>	<u>252</u>	<u>2,435</u>
Excess (deficiency) of revenues over expenses	<u>6,553</u>	<u>(185)</u>	<u>(90)</u>	<u>259</u>	<u>6,537</u>
Net assets released from restriction for capital expenditures	328	—	—	—	328
Capital transactions with affiliates, net	74	(77)	—	3	—
Change in unrealized gains on marketable securities and other assets whose use is limited	890	189	—	(189)	890
Change in accumulated pension charges to unrestricted net asset:	3,007	—	—	—	3,007
Increase (decrease) in unrestricted net assets	<u>\$ 10,852</u>	<u>(73)</u>	<u>(90)</u>	<u>73</u>	<u>10,762</u>

See accompanying independent auditors' report.

EXHIBIT 6

Morgan Keegan's Fairness Opinion
dated April 7, 2011

Morgan Keegan

MEMBER FINRA, SIPC

Morgan Keegan & Company, Inc.
630 Fifth Avenue, Suite 2950
New York, NY 10111
212.314.0400
Fax 212.314.0444

April 7, 2011

CONFIDENTIAL

Board of Directors
Saint Mary's Health System
56 Franklin Street
Waterbury, CT 06706

Members of the Board of Directors:

We understand that Saint Mary's Health System ("SMHS") is entering into a transaction (or series of related transactions) (the "Transaction") with Southwest Connecticut Health System, LLC (the "Company") and LHP Southwest Connecticut, LLC ("LHP Sub"), each of which is a wholly owned subsidiary of LHP Hospital Group, Inc. ("LHP Parent"). SMHS, the Company, LHP Sub and LHP Parent are referred to herein collectively as the "Parties". Pursuant to the Transaction, LHP Sub and SMHS intend to own and operate Saint Mary's Hospital (the "Hospital") and certain other related healthcare facilities and assets (the "Facilities"). The operations of the Company and other covenants and agreements between the Parties relating to the Transaction are evidenced in an Amended and Restated Limited Liability Company Agreement, dated as of March 15, 2011 (the "LLC Agreement"). In an associated Contribution and Sale Agreement (the "Contribution Agreement"), SMHS has agreed to contribute certain assets of the Facilities and a promissory note and LHP Sub is expected to contribute cash and a promissory note to the Company so that SMHS is expected to own a twenty percent (20%) interest in the Company and LHP Sub is expected to own an eighty percent (80%) interest in the Company. The Company will enter into a Management Agreement (the "Management Agreement") with LHP Management Services, LLC (the "Manager"), a wholly owned subsidiary of LHP Parent, to manage the affairs of the Company. SMHS and LHP Parent will apply for approval of the Transaction from the Attorney General of the State of Connecticut and from the State of Connecticut Office of Health Care Access.

The LLC Agreement, Contribution Agreement, Management Agreement and certain related agreements are herein referred to as the Transaction Documents. The Transaction Documents have been furnished to Morgan Keegan & Company, Inc. ("Morgan Keegan") in nearly final form. It is assumed that the Transaction Documents will be entered into by the Parties without any change that is adverse to the interests of SMHS. Capitalized terms not otherwise defined herein shall have the meanings ascribed thereto in the Transaction Documents.

As described in the Transaction Documents:

- On the closing date of the Transaction (the "Closing"), SMHS will contribute to the Company (i) substantially all of the assets, real and personal, tangible and intangible, associated with owning, leasing and operating the Facilities (all interests in, and assets related to Saint Mary's Hospital Foundation and Saint Mary's Indemnity Company will be excluded from the Transaction) and (ii) a non-interest bearing capital contributory promissory note in the original principal amount of \$7.5 million. At Closing, SMHS will receive as consideration for the contributed assets (i) a 20% membership interest in the Company, and (ii) a payment in cash of \$108 million from the Company (collectively the "Transaction Consideration").
- At the Closing, as consideration for its 80% membership interest in the Company, LHP Sub will contribute to the Company (i) \$108 million in cash and (ii) a non-interest bearing capital contributory promissory note in the original principal amount of \$67.5 million.
- As consideration for the services rendered by Manager pursuant to the Management Agreement, the Company shall pay to Manager a monthly fee equal to two percent (2%) of the Net Revenues (as hereinafter defined) of the Company during such month (or the portion thereof) during which the Management Agreement is in effect (the "Management Fee"). For this purpose, "Net Revenues" shall total operating revenues of the Company reduced by revenue deductions, which deductions shall include contractual allowances, discounts and charity care amounts (but not bad debt expense) as determined in accordance with generally accepted accounting principles.
- SMHS and LHP Sub will have equal governance rights (e.g. 50/50). The Company's Board of Directors (the "Board") will consist of ten members: five elected by SMHS (the "SMHS Directors") and five elected by LHP Sub (the "LHP Directors"), as long as SMHS maintains a 20% membership interest in the Company. Actions taken by the Board will require the approval of the majority of the SMHS Directors and the majority of the LHP Directors ("Block Voting"). If SMHS' membership interest declines below 20%, actions taken by the Board will no longer be subject to Block Voting and will be subject to a simple majority vote of the Board.
 - If SMHS' membership interest in the Company is less than 20% but greater than ten percent (10%), SMHS will elect two members of the Board of Directors.
 - If SMHS' membership interest in the Company is less than 10%, SMHS will elect one member of the Board of Directors.
- Right of First Refusal: If either Member receives and accepts an offer (the "Offer") to sell all or a portion of its membership units in the Company to an unrelated third party (the "Offeror"), the non-Selling Member will have the Right of First Refusal to purchase the Selling Member's units on the same terms and conditions as the Offer.

- **Tag-Along Right:** If a Selling Member, holding membership interest greater than fifty percent (50%), gives notice of an Offer and the non-Selling Member does not exercise its Right of First Refusal, the non-Selling Member will have the right to require the Offeror to purchase from the non-Selling Member all or a portion (such portion to be at the option of the non-Selling Member) of the non-Selling Member's membership interest at the same price and terms as the Offer for a period of ninety days after receiving notice of the Offer.
- **LHP Sub's Call Right:** If SMHS's interest in the Company decreases to less than 20%, LHP Sub will have the right to acquire all, but not less than all, of SMHS' interest in the Company for payment in cash of the Purchase Price.
- **SMHS' Purchase Right:** From the period that commences on the first anniversary of Closing and expires on the fifth anniversary of Closing, SMHS has the right to purchase from LHP Sub additional membership interest in the Company. SMHS can purchase such additional membership interest so long as SMHS' total membership interest does not exceed 40%. SMHS' Purchase Right shall terminate in the event that LHP exercises its Call Right described above.
- **SMHS' Put Right:** SMHS has the right to sell to LHP Sub, and LHP Sub will have the obligation to purchase, all, but not less than all, of SMHS' interest in the Company for the Purchase Price, for a period that commences on the first anniversary of Closing and expires on the fifth anniversary of Closing.
 - In addition, in the event that LHP Parent engages in an initial public offering ("IPO") of its common stock, SMHS shall have the right to sell to LHP Sub, and LHP Sub will have the obligation to purchase, all, but not less than all, of SMHS' interest in the Company for the Purchase Price ("Secondary Put Option"). SMHS must give LHP Sub notice of its election to exercise the Secondary Put Option no later than ninety days following the IPO.

You have requested Morgan Keegan to render its opinion as to the fairness, from a financial point of view, of the Transaction Consideration received by SMHS in connection with the Transaction.

In arriving at our opinion, we have, among other things:

- Reviewed the terms of the Transaction Documents;
- Reviewed historical, audited, unaudited, and budgeted financial statements of SMHS, provided by Management;
- Reviewed publicly available financial, operating and descriptive information on certain publicly-traded hospital companies;

- Reviewed publicly available financial, operating and descriptive information regarding relevant hospital acquisitions;
- Discussed with members of Management, SMHS' operations, financial performance, strategic plans, regional market conditions and future trends;
- Participated in discussions of the Affiliation Task Force of the Board of Directors of SMHS related to the Transaction;
- Considered current conditions, reimbursement issues, state and federal budget constraints and general condition in the healthcare industry and particularly in the sectors of the industry in which SMHS and LHP Parent operate, including possible joint venture candidates for SMHS; and
- Performed such other financial studies and analyses and considered such other information we deemed appropriate for the purposes of this opinion.

In addition, we have held discussions with certain members of Management of SMHS and LHP Parent with respect to certain aspects of the Transaction, and the past and current business operations of SMHS, the financial condition and future prospects and operations of SMHS, and certain other matters we believed necessary or appropriate to our inquiry. We also have considered such other information, financial studies and analyses, investigations and financial, economic, market and other data that we deemed relevant, including our knowledge of the hospital industry and company valuations generally and our experience in connection with similar transactions in the hospital industry.

In arriving at our opinion, we have assumed and relied upon, without independent verification, the accuracy and completeness of all information that was publicly available or was furnished to us by SMHS or otherwise reviewed by us, and we have not assumed any responsibility or liability therefore. We have not conducted any valuation or appraisal of any specific assets or liabilities, nor have any such valuations or appraisals been provided to us. In relying on financial analyses and forecasts provided to us, we have assumed that they have been reasonably prepared based on assumptions reflecting the best currently available estimates and judgments of the SMHS' Management as to the expected future results of operations and financial condition of SMHS to which such analyses or forecasts relate. We express no opinion with respect to such forecasts or the assumptions on which they are based. In addition, we have assumed that the Company will operate in a manner consistent with such projections; that the Transaction does not have a material adverse effect on the Company's financial condition; and that SMHS and LHP Sub will complete the Transaction in accordance with the terms and conditions of the Transaction Documents. We have relied as to all legal matters relevant to rendering our opinion upon the advice of SMHS' counsel, with the permission of SMHS. We have further assumed that all material governmental, regulatory or other consents and approvals necessary for the consummation of the Transaction will be obtained without any adverse effect on SMHS or LHP Parent or on the contemplated benefits of the Transaction.

Our opinion is necessarily based on market, economic and other conditions as in effect on, and the information made available to us as of, the date hereof. It should be understood that subsequent developments may affect this opinion and that we disclaim any undertaking or obligation to advise any person of any change in any fact or matter affecting the opinion expressed herein that may come or be brought to our attention after the date hereof. Our opinion is limited to the fairness, from a financial point of view, of the proposed Transaction Consideration, and we express no opinion as to the underlying decision by SMHS to engage in the Transaction. The opinion expressed herein does not constitute a recommendation as to any action the Board of Directors of SMHS should take in connection with the Transaction Documents. Further, we express no opinion herein as to the structure, terms or effect of any other aspect of the Transaction Documents or the tax consequences thereof.

In connection with the Transaction, we have received certain retainer payments, will receive a fee on delivery of this opinion, and will receive additional fees contingent on the consummation of the Transaction.

It is understood that this letter is for the information of the Board of Directors of SMHS in connection with their evaluation of the fairness, from a financial point of view, of the Transaction Consideration, as of the date hereof, and may not be used or relied upon by any other person or for any other purpose without our prior written consent; provided, however, that we consent to the inclusion of this opinion in its entirety in any submission to the Attorney General of the State of Connecticut or to a regulatory agency of the State of Connecticut whose approval is necessary for the consummation of the Transaction in accordance with its terms.

Based upon the foregoing, it is our opinion that, as of the date of this letter, the Transaction Consideration to be received by SMHS in connection with the Transaction is fair, from a financial point of view, to SMHS.

Very truly yours,



Morgan Keegan & Company, Inc.

EXHIBIT 7

Financial Attachments 1A, 1B & 1C

Saint Mary's Health System (Not-For Profit)

Please provide one year of actual results and three years of Total Hospital Health System projections of revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

<u>Total Hospital Health System:</u> Description	FY 2010	FY 2011		Q1 FY 2012		CY 2012		CY 2013		CY 2014	
	Actual Results	Projected W/out CON									
NET PATIENT REVENUE											
Non-Government	\$107,066	\$105,392	\$27,790	\$113,112	\$114,369	\$117,190					
Medicare	\$96,267	\$100,305	\$24,349	\$99,260	\$102,493	\$103,956					
Medicaid and Other Medical Assistance	\$40,514	\$43,561	\$10,710	\$43,640	\$46,419	\$48,411					
Other Government	\$0	\$0	\$0	\$0	\$0	\$0					
Total Net Patient Revenue	\$243,847	\$249,258	\$62,849	\$256,011	\$263,281	\$269,557					
Other Operating Revenue	\$6,984	\$6,898	\$1,741	\$7,036	\$7,177	\$7,320					
Revenue from Operations	\$250,831	\$256,156	\$64,590	\$263,047	\$270,458	\$276,877					
OPERATING EXPENSES											
Salaries and Fringe Benefits	\$133,357	\$137,980	\$35,214	\$142,278	\$148,183	\$152,827					
Professional / Contracted Services	\$28,624	\$31,390	\$7,924	\$32,018	\$32,658	\$33,311					
Supplies and Drugs	\$34,369	\$35,612	\$8,990	\$36,324	\$37,051	\$37,792					
Bad Debts	\$13,250	\$9,574	\$2,441	\$9,861	\$10,157	\$10,462					
Other Operating Expense	\$21,223	\$24,532	\$6,079	\$26,582	\$26,889	\$27,335					
Subtotal	\$230,823	\$239,088	\$60,648	\$247,063	\$254,938	\$261,726					
Depreciation/Amortization	\$8,691	\$9,859	\$2,342	\$9,464	\$9,086	\$8,722					
Interest Expense	\$1,810	\$1,774	\$400	\$1,614	\$1,469	\$1,337					
Lease Expense	\$5,137	\$5,175	\$1,164	\$4,702	\$4,796	\$4,892					
Total Operating Expense	\$246,461	\$255,896	\$64,554	\$262,844	\$270,289	\$276,677					
Gain/(Loss) from Operations	\$4,370	\$260	\$36	\$203	\$169	\$200					
Plus: Non-Operating Revenue	\$2,183	\$1,824	\$460	\$1,860	\$1,898	\$1,936					
Revenue Over/(Under) Expense	\$6,553	\$2,084	\$497	\$2,064	\$2,067	\$2,136					
FTEs	1,544	1,554	1,566	1,566	1,589	1,602					
IP Cases	12,223	12,516	3,129	12,516	12,516	12,516					
OP Cases	273,456	264,768	66,572	269,978	274,084	279,292					

Projections to be complete prior to and 3 years past the joint venture. Add columns as necessary.

*Volume Statistics:

Provide projected inpatient and/or outpatient statistics for any new services and provide actual and projected inpatient and/or outpatient statistics for any existing services which will change due to the proposal.

Financial Attachment IC

Saint Mary's Hospital ONLY (Prior & Post-Joint Venture)
 Please provide one year of actual results and three years of projections of Total Facility revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

Total Facility Description	FY 2010 Actual Results	FY 2011 Projected		Q1 FY 2012 Projected		Q1 FY 2012 Projected		CY 2012 Projected		CY 2012 Projected		CY 2013 Projected		CY 2013 Projected		CY 2014 Projected		CY 2014 Projected	
		Without CON	Incremental	With CON	Incremental	With CON	Incremental	Without CON	Incremental	With CON	Incremental	Without CON	Incremental	Without CON	Incremental	Without CON	Incremental	Without CON	Incremental
NET PATIENT REVENUE																			
Non-Government	\$80,761	\$77,422	\$0	\$22,588	\$0	\$22,588	\$0	\$92,134	\$1,658	\$93,792	\$60,777	\$2,723	\$93,501	\$81,607	\$3,288	\$84,905	\$81,607	\$3,288	\$84,905
Medicare	\$88,287	\$89,893	\$0	\$22,387	\$0	\$22,387	\$0	\$91,374	\$1,645	\$93,018	\$94,134	\$2,824	\$96,958	\$95,408	\$3,435	\$99,841	\$95,408	\$3,435	\$99,841
Medicaid and Other Medical Assistance	\$34,387	\$36,793	\$0	\$9,078	\$0	\$9,078	\$0	\$37,039	\$667	\$37,706	\$39,150	\$1,175	\$40,325	\$40,641	\$1,463	\$42,104	\$40,641	\$1,463	\$42,104
Other Government	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Net Patient Revenue	\$201,445	\$203,908	\$0	\$54,071	\$0	\$54,071	\$0	\$220,546	\$3,970	\$224,516	\$224,062	\$6,722	\$230,784	\$227,655	\$8,186	\$235,850	\$227,655	\$8,186	\$235,850
Other Operating Revenue	\$5,491	\$5,084	\$0	\$1,278	\$0	\$1,278	\$0	\$5,165	(\$620)	\$4,545	\$5,289	(\$632)	\$4,636	\$5,374	(\$645)	\$4,729	\$5,374	(\$645)	\$4,729
Revenue from Operations	\$206,936	\$208,972	\$0	\$55,350	\$0	\$55,350	\$0	\$225,711	\$3,350	\$229,061	\$229,330	\$6,090	\$235,420	\$233,029	\$7,551	\$240,580	\$233,029	\$7,551	\$240,580
OPERATING EXPENSES																			
Salaries and Fringe Benefits	\$97,092	\$99,672	\$0	\$26,530	\$0	\$26,530	\$0	\$107,192	\$1,288	\$108,479	\$109,336	\$1,312	\$110,648	\$111,523	\$1,338	\$112,861	\$111,523	\$1,338	\$112,861
Professional / Contracted Services	\$29,274	\$32,588	\$0	\$8,283	\$0	\$8,283	\$0	\$33,467	(\$803)	\$32,664	\$34,138	(\$819)	\$33,317	\$34,819	(\$836)	\$35,983	\$34,819	(\$836)	\$35,983
Supplies and Drugs	\$30,324	\$31,090	\$0	\$8,628	\$0	\$8,628	\$0	\$34,852	(\$1,255)	\$33,597	\$35,549	(\$1,280)	\$34,289	\$36,260	(\$1,305)	\$34,955	\$36,260	(\$1,305)	\$34,955
Bad Debts	\$11,905	\$7,978	\$0	\$2,138	\$0	\$2,138	\$0	\$9,629	\$155	\$9,784	\$8,888	\$287	\$9,155	\$9,155	\$300	\$9,484	\$9,155	\$300	\$9,484
Other Operating Expense	\$16,712	\$18,978	\$0	\$4,869	\$0	\$4,869	\$0	\$21,775	\$2,613	\$24,388	\$21,838	\$2,621	\$24,459	\$22,108	\$2,653	\$24,763	\$22,108	\$2,653	\$24,763
Subtotal	\$165,307	\$180,302	\$0	\$50,464	\$0	\$50,464	\$0	\$205,916	\$1,997	\$207,912	\$209,748	\$2,100	\$211,848	\$213,866	\$2,180	\$216,046	\$213,866	\$2,180	\$216,046
Depreciation/Amortization	\$7,222	\$6,072	\$0	\$2,082	\$0	\$2,082	\$0	\$8,413	(\$2,524)	\$5,889	\$8,077	(\$1,838)	\$6,138	\$7,754	(\$1,386)	\$6,358	\$7,754	(\$1,386)	\$6,358
Interest Expense	\$1,791	\$1,694	\$0	\$382	\$0	\$382	\$0	\$1,542	(\$1,650)	(\$308)	\$1,403	(\$1,683)	(\$281)	\$1,277	(\$1,532)	(\$255)	\$1,277	(\$1,532)	(\$255)
Lease Expense	\$2,664	\$2,700	\$0	\$930	\$0	\$930	\$0	\$3,758	(\$1,113)	\$3,645	\$3,833	(\$115)	\$3,718	\$3,608	(\$117)	\$3,792	\$3,608	(\$117)	\$3,792
Total Operating Expense	\$186,984	\$202,788	\$0	\$53,858	\$0	\$53,858	\$0	\$219,628	(\$2,490)	\$217,138	\$223,080	(\$1,636)	\$221,424	\$228,805	(\$865)	\$225,940	\$228,805	(\$865)	\$225,940
Gain/(Loss) from Operations	\$9,952	\$6,204	\$0	\$1,492	\$0	\$1,492	\$0	\$6,083	\$5,840	\$11,923	\$6,270	\$7,726	\$13,986	\$6,224	\$8,416	\$14,639	\$6,224	\$8,416	\$14,639
Plus: Non-Operating Revenue	\$3,674	\$3,438	\$0	\$888	\$0	\$888	\$0	\$3,507	\$0	\$3,507	\$3,577	\$0	\$3,577	\$3,648	\$0	\$3,648	\$3,648	\$0	\$3,648
Revenue Over/(Under) Expense	\$13,626	\$9,642	\$0	\$2,380	\$0	\$2,380	\$0	\$9,590	\$5,840	\$15,430	\$9,847	\$7,726	\$17,573	\$9,872	\$8,416	\$18,288	\$9,872	\$8,416	\$18,288
FTEs	1,219	1,222	-	1,283	-	1,283	-	1,283	15	1,298	1,283	15	1,288	1,283	15	1,288	1,283	15	1,288
IP Cases	12,223	12,516	-	3,129	-	3,129	-	12,516	188	12,704	12,516	313	12,829	12,516	375	12,891	12,516	375	12,891
OP Cases	223,989	218,410	-	57,219	-	57,219	-	231,180	3,488	234,658	235,918	5,898	241,816	240,744	7,222	247,966	240,744	7,222	247,966

Please complete Actual Year for Hospital and projections for all years of the proposal including 3 years post joint venture. Please add columns as necessary.

*Volume Statistics:
 Provide projected inpatient and/or outpatient statistics for any new services and provide actual and projected inpatient and/or outpatient statistics for any existing services which will change due to the proposal.

SMHS Revenue Summary

	SMH		Affiliates	
	Total	NVSC	NVSC	"Trinity"
FY 2010				
Non-Government	107,066	80,761	8,290	5,373
Medicare	96,267	86,297	2,816	2,044
Medicaid and Other Medical Assistance	40,514	34,387	472	57
Other Government	-	-	-	-
Total Net Patient Revenue	243,847	201,445	11,578	7,474
				23,350
FY 2011				
Non-Government	105,392	77,422	8,900	5,051
Medicare	100,305	89,693	3,023	1,921
Medicaid and Other Medical Assistance	43,561	36,793	506	54
Other Government	-	-	-	-
Total Net Patient Revenue	249,258	203,908	12,430	7,026
				25,894
Q1 FY 2012				
Non-Government	27,790	20,064	-	1,317
Medicare	24,349	21,355	-	491
Medicaid and Other Medical Assistance	10,710	8,938	-	11
Other Government	-	-	-	-
Total Net Patient Revenue	62,849	50,357	3,714	1,819
				6,959
CY 2012				
Non-Government	113,112	81,892	-	5,319
Medicare	99,260	87,163	-	1,985
Medicaid and Other Medical Assistance	43,640	36,483	-	44
Other Government	-	-	-	-
Total Net Patient Revenue	256,011	205,538	15,008	7,349
				28,117
CY 2013				
Non-Government	114,369	79,789	-	5,606
Medicare	102,493	89,733	-	1,975
Medicaid and Other Medical Assistance	46,419	38,581	-	88
Other Government	-	-	-	-
Total Net Patient Revenue	263,281	208,103	15,959	7,669
				31,550
CY 2014				
Non-Government	117,190	79,821	-	5,906
Medicare	103,956	90,810	-	1,965
Medicaid and Other Medical Assistance	48,411	40,059	-	134
Other Government	-	-	-	-
Total Net Patient Revenue	269,557	210,690	16,965	8,005
				33,897
Increases				
2010 to 2011	2.22%	1.22%	7.36%	-5.99%
2011 to 2012	2.71%	0.80%	20.74%	4.59%
2012 to 2013	2.84%	1.25%	6.33%	4.37%
2013 to 2014	2.38%	1.24%	6.31%	4.38%
				7.44%

SMHS Revenue Detail

SDIC	2010 Actual Cases	2010 Actual Rates	2011 Annualized Cases		2011 Annualized Rates		2010 Mix		2011 Mix		2012 Assumed Mix		2013 Assumed Mix		2014 Assumed Mix		2012 Assumed Cases		2013 Assumed Cases		2014 Assumed Cases		2012 Assumed Rates		2013 Assumed Rates		2014 Assumed Rates		2012 Projected Revenue		2013 Projected Revenue		2014 Projected Revenue		
			2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	
Non-Government	25,630		24,341	208	65%	65%	64%	63%	62%	24,184	24,045	23,900	220	233	247	5,319	5,606	5,906																	
Medicare	13,273		12,606	152	34%	34%	35%	35%	35%	13,226	13,388	13,482	150	148	146	1,965	1,975	1,965																	
Medicaid and Other Medical Assistance	492		487	115	1%	1%	1%	2%	3%	378	763	1,156	115	115	115	44	88	134																	
Other Government					100%	100%	100%	100%	100%																										
Total Net Patient Revenue	39,395		37,414							37,788	38,166	38,548				7,349	7,669	8,005																	

SDIC Assumptions	
Growth	Increase
OP	Non Gvt
	Care
	Caid
	6.00%
	-1.50%
	0.00%

Trinity - Existing Programs	2011 Annualized Visits		2011 Annualized Rates		2010 Mix		2011 Mix		2012 Assumed Mix		2013 Assumed Mix		2014 Assumed Mix		2012 Assumed Cases		2013 Assumed Cases		2014 Assumed Cases		2012 Assumed Rates		2013 Assumed Rates		2014 Assumed Rates		2012 Projected Revenue		2013 Projected Revenue		2014 Projected Revenue				
	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011					
Non-Government	102,368	137	36%	36%	36%	36%	36%	36%	103,392	104,436	104,700	145	154	153	15,009	16,069	17,077																		
Medicare	79,688	71	28%	28%	28%	28%	28%	28%	80,495	81,300	81,433	70	69	68	5,638	5,609	5,534																		
Medicaid and Other Medical Assistance	100,214	62	36%	36%	36%	36%	36%	36%	101,216	102,228	104,700	62	62	62	6,270	6,332	6,486																		
Other Government			100%	100%	100%	100%	100%	100%																											
Total Net Patient Revenue	282,280								285,103	287,954	290,833				26,917	28,010	28,097																		

Trinity Assumptions	
Growth	Increase
OP	Non Gvt
	Care
	Caid
	6.00%
	-1.50%
	0.00%

Trinity - PC Acquisitions	2011 Annualized Cases		2011 Annualized Rates		2010 Mix		2011 Mix		2012 Assumed Mix		2013 Assumed Mix		2014 Assumed Mix		2012 Assumed Cases		2013 Assumed Cases		2014 Assumed Cases		2012 Assumed Rates		2013 Assumed Rates		2014 Assumed Rates		2012 Projected Revenue		2013 Projected Revenue		2014 Projected Revenue				
	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011					
Non-Government	4,744	13,955	18,976	4,744	13,955	18,976	650	1,917	2,589																										
Medicare	3,693	10,886	14,774	3,693	10,886	14,774	263	775	1,051																										
Medicaid and Other Medical Assistance	4,844	13,700	18,577	4,844	13,700	18,577	288	849	1,151																										
Other Government																																			
Total Net Patient Revenue	13,082	38,581	52,327	13,082	38,581	52,327	1,200	3,540	4,800																										

SMHS Expense Summary

	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southbury	Scovill Medical Group	"Trinity" Franklin Medical Group	Primary Care Partners	Saint Mary's Indemnity Company	Eliminations	SMHS
FY 2011 Annualized									
OPERATING EXPENSES									
Salaries and Fringe Benefits	99,672	5,418	1,429	2,400	26,902	2,238	-	(79)	137,980
Professional / Contracted Services	32,586	225	2,235	42	5,448	280	-	(9,426)	31,390
Supplies and Drugs	31,090	3,078	396	106	818	124	-	-	35,612
Bad Debts	7,976	402	-	-	1,196	-	-	-	9,574
Other Operating Expense	18,978	1,478	614	214	2,751	261	5,122	(4,887)	24,532
Subtotal	190,302	10,602	4,674	2,762	37,115	2,903	5,122	(14,392)	239,088
Depreciation/Amortization	8,072	692	629	144	216	106	-	-	9,859
Interest Expense	1,694	-	80	-	-	-	-	-	1,774
Lease Expense	2,700	984	155	180	771	385	-	-	5,175
Total Operating Expense	202,768	12,277	5,538	3,086	38,102	3,394	5,122	(14,392)	255,896
Q1 FY 2012 Projected									
OPERATING EXPENSES									
Salaries and Fringe Benefits	25,162	1,368	361	-	8,343	-	-	(20)	35,214
Professional / Contracted Services	8,226	57	564	-	1,457	-	-	(2,380)	7,924
Supplies and Drugs	7,849	777	100	-	265	-	-	-	8,990
Bad Debts	2,033	102	-	-	305	-	-	-	2,441
Other Operating Expense	4,517	373	156	-	973	-	1,313	(1,253)	6,079
Subtotal	47,787	2,677	1,181	-	11,342	-	1,313	(3,652)	60,648
Depreciation/Amortization	1,918	164	149	-	111	-	-	-	2,342
Interest Expense	382	-	18	-	-	-	-	-	400
Lease Expense	682	248	39	-	195	-	-	-	1,164
Total Operating Expense	50,768	3,090	1,387	-	11,648	-	1,313	(3,652)	64,554

SMHS Expense Summary

	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southbury	Scovill Medical Group	"Trinity" Franklin Medical Group	Primary Care Partners	Saint Mary's Indemnity Company	Eliminations	SMHS
CY 2012 Projected									
OPERATING EXPENSES									
Salaries and Fringe Benefits	101,665	5,527	1,458		33,709		-	(81)	142,278
Professional / Contracted Services	33,238	229	2,280		5,885		-	(9,615)	32,018
Supplies and Drugs	31,712	3,140	404		1,069		-	-	36,324
Bad Debts	8,215	414	-		1,232		-	-	9,861
Other Operating Expense	20,269	1,507	629		3,933		5,306	(5,062)	26,582
Subtotal	195,099	10,817	4,771		45,828		5,306	(14,758)	247,063
Depreciation/Amortization	7,749	664	604		447		-	-	9,464
Interest Expense	1,542	-	73		-		-	-	1,614
Lease Expense	2,754	1,004	158		786		-	-	4,702
Total Operating Expense	207,144	12,484	5,605		47,062		5,306	(14,758)	262,844

SMHS Expense Summary

	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southbury	Scovill Medical Group	"Trinity" Franklin Medical Group	Primary Care Partners	Saint Mary's Indemnity Company	Eliminations	SMHS
CY 2013 Projected									
OPERATING EXPENSES									
Salaries and Fringe Benefits	103,699	5,637	1,487		37,442		-	(82)	148,183
Professional / Contracted Services	33,902	234	2,326		6,003		-	(9,807)	32,658
Supplies and Drugs	32,346	3,203	412		1,090		-	-	37,051
Bad Debts	8,462	426	-		1,269		-	-	10,157
Other Operating Expense	20,293	1,546	645		4,147		5,612	(5,353)	26,889
Subtotal	198,702	11,046	4,869		49,951		5,612	(15,243)	254,938
Depreciation/Amortization	7,439	637	580		429		-	-	9,086
Interest Expense	1,403	-	66		-		-	-	1,469
Lease Expense	2,809	1,024	161		802		-	-	4,796
Total Operating Expense	210,353	12,707	5,676		51,183		5,612	(15,243)	270,289

SMHS Expense Detail

FY 2011 Annualized	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southington	Trinity	Saint Mary's Indemnity Company	Eliminations	SMHS
Salaries and Wages	76,292	4,283	1,147	27,216	-	(79)	108,859
Employee Benefits	23,380	1,136	282	4,324	-	-	29,122
Total Labor Costs	99,672	5,419	1,429	31,540		(79)	137,980
Physician Fees	11,078	13	1,523	2,046	-	(7,539)	7,121
Purchased Services	21,508	212	712	3,724	-	(1,887)	24,269
Medical Supplies	22,042	2,680	255	618	-	-	25,575
Pharmaceuticals	5,766	171	-	226	-	-	6,163
General Supplies	3,282	247	141	204	-	-	3,874
Maintenance & Repair	4,582	502	332	454	-	-	5,870
Utilities	3,900	248	98	288	-	-	4,534
Insurance	5,198	135	20	1,566	5,122	(4,863)	7,198
Bad Debts	7,976	402	-	1,196	-	-	9,574
Depreciation	8,072	692	629	466	-	-	9,859
Interest	1,694	-	80	-	-	-	1,774
Other Expenses	7,998	1,578	318	2,234	-	(24)	12,104
Non-Salary Expenses	103,096	6,859	4,109	13,042	5,122	(14,313)	117,915
Total Operating Expenses	202,768	12,277	5,538	44,582	5,122	(14,392)	255,896
2011 FTEs	1,222	61	20	251			1,554
2010 FTEs	1,219	61	25	239			1,544
IP Cases	12,516	-	-	-			
OP Cases	216,410	10,944	37,414	282,280	559,564		559,564
	228,926	10,944	37,414	282,280			
Fringes 2011 annualized as function of salary	31%	27%	25%	16%			
Fringes 2010 audit as a function of salary	31%	27%	23%	16%			

One time Adj Description

1,700 prelin actuary report

SMHS Expense Detail

CV 2012 Annualized	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southbury	Trinity	Saint Mary's Indemnity Company	Eliminations	SMHS	Initiation	One time Adj	Description
Salaries and Wages	77,818	4,368	1,170	29,088	-	(81)	112,363	2%		
Employee Benefits	23,848	1,158	288	4,621	-	-	29,915	func sal		
Total Labor Costs	101,665	5,527	1,458	33,709	-	(81)	142,278			
Physician Fees	11,300	13	1,553	2,087	-	(7,690)	7,263	2%		
Purchased Services	21,938	216	727	3,798	-	(1,925)	24,754	2%		
Medical Supplies	22,483	2,713	260	630	-	-	26,087	2%		
Pharmaceuticals	5,881	175	-	231	-	-	6,287	2%		
General Supplies	3,348	252	144	208	-	-	3,951	2%		
Maintenance & Repair	4,674	512	339	463	-	-	5,988	2%		
Utilities	4,095	260	103	302	-	-	4,761	5%		
Insurance	4,086	129	20	1,875	5,306	(5,036)	6,189	func vol	(1,200)	
Bad Debts	8,215	414	-	1,232	-	-	9,861	3%		
Depreciation	7,749	684	604	447	-	-	9,484	-4%		
Interest	1,542	-	73	-	-	-	1,614	-9%		
Other Expenses	10,158	1,609	325	2,279	-	(24)	14,346	2%	2000	HITECH
Non-Salary Expenses	105,478	6,957	4,148	13,353	5,306	(14,677)	120,568			
Total Operating Expenses	207,144	12,484	5,605	47,062	5,306	(14,758)	262,844			
FTEs	1,222	61	20	251	-	-	1,554			
Acquisitions	-	-	-	12	-	-	-			
Total FTEs	1,222	61	20	263	-	-	-			
IP Cases	12,516	-	-	-	-	-	-			
OP Cases	220,738	10,452	37,788	288,184	579,678	-	579,678			
Total	233,254	10,452	37,788	288,184	579,678	-	579,678			

SMHS Expense Detail

CY 2013 Annualized	Saint Mary's Hospital	Naugatuck Valley Surgical Center	Diagnostic Imaging of Southbury	Trinity	Saint Mary's Indemnity Company	Eliminations	SMHS	One time Adj	Description
Salaries and Wages	79,374	4,456	1,193	32,309	-	(82)	117,250	2%	Initiation
Employee Benefits	24,325	1,181	284	5,133	-	-	30,933	func sal	
Total Labor Costs	103,699	5,637	1,487	37,442	-	(82)	148,183		
Physician Fees	11,526	14	1,584	2,129	-	(7,844)	7,409	2%	
Purchased Services	22,377	220	741	3,874	-	(1,963)	25,249	2%	
Medical Supplies	22,932	2,768	265	643	-	-	26,608	2%	
Pharmaceuticals	5,999	178	-	235	-	-	6,412	2%	
General Supplies	3,415	257	146	212	-	-	4,030	2%	
Maintenance & Repair	4,767	522	346	472	-	-	6,108	2%	
Utilities	4,300	273	108	318	-	-	4,999	5%	
Insurance	4,174	132	21	1,835	5,612	(5,328)	6,446	func vol	
Bad Debts	8,462	426	-	1,269	-	-	10,157	3%	
Depreciation	7,439	637	580	429	-	-	9,086	-4%	
Interest	1,403	-	66	-	-	-	1,469	-9%	
Other Expenses	9,861	1,642	331	2,324	-	(25)	14,133	2%	
Non-Salary Expenses	106,654	7,070	4,189	13,741	5,612	(15,160)	122,106		-500 HITECH
Total Operating Expenses	210,353	12,707	5,676	51,183	5,612	(15,243)	270,289		
FTEs	1,222	61	20	251	-	-	1,554		
Acquisitions	-	-	-	35	-	-	-		
Total FTEs	1,222	61	20	286	-	-	-		
IP Cases	12,516	-	-	-	-	-	-		
OP Cases	225,153	10,765	38,166	326,545	613,145	613,145	613,145		
	237,669	10,765	38,166	326,545	-	-	-		

ST MARY'S HOSPITAL										
TOTAL GAIN/(LOSS) BY PAYOR										
FY 2010 Sep YTD										
PAYOR	CASES	PAYMENTS	NET REVENUE	DIRECT COSTS	INDIRECT COSTS	TOTAL COSTS	CONTRIB MARGIN	GAIN (LOSS)	GAIN (LOSS) /CASE	
01 MEDICARE	54,210	61,519,788	68,720,233	40,482,741	20,759,987	61,242,728	28,237,492	7,477,505	138	
02 MCARE MGD	12,544	10,926,911	11,956,794	7,873,796	3,975,767	11,849,563	4,082,998	107,231	9	
03 MEDICAID	13,336	7,854,812	11,354,910	7,557,370	4,169,326	11,726,696	3,797,540	(371,786)	(28)	
04 MEDICAID MGD	39,704	13,034,166	15,496,715	11,705,962	6,943,345	18,649,306	3,790,754	(3,152,591)	(79)	
05 COMMERCIAL	4,912	3,732,275	5,136,912	2,107,870	1,084,626	3,192,496	3,029,041	1,944,415	396	
06 AETNA	8,526	5,892,451	6,428,808	3,438,212	1,728,183	5,166,394	2,990,596	1,262,414	148	
07 ANTHEM/BLUE CROSS	44,562	32,949,018	33,039,140	17,764,654	8,803,398	26,568,051	15,274,486	6,471,089	145	
08 ANTHEM/BLUE CROSS SMH	5,681	3,885,976	4,014,433	2,126,355	1,008,727	3,135,082	1,888,078	879,351	155	
09 ANTHEM/BLUE CROSS BEH HLTH	157	202,635	231,624	190,235	106,733	296,968	41,389	(65,344)	(416)	
10 CIGNA	7,116	4,181,160	4,644,925	2,786,013	1,406,139	4,192,152	1,858,912	452,773	64	
11 CTCARE	8,299	5,670,618	6,094,945	3,470,222	1,707,138	5,177,359	2,624,723	917,585	111	
12 HEALTHNET	3,117	1,883,226	1,557,851	1,195,643	613,665	1,809,308	362,208	(251,457)	(81)	
13 OXFORD/MEDSPAN	4,186	1,879,661	2,580,642	1,607,718	784,165	2,391,882	972,924	188,759	45	
14 UNITED	4,909	2,065,658	2,196,512	1,418,403	702,190	2,120,593	778,109	75,919	15	
15 WORKERS COMP	2,848	6,439,496	8,128,746	3,398,851	1,312,632	4,711,484	4,729,895	3,417,263	1,200	
16 SELF PAY	7,666	332,963	300,985	2,147,464	1,380,037	3,527,500	(1,846,479)	(3,226,516)	(421)	
17 OTHER	2,001	558,927	747,121	641,810	351,428	993,238	105,311	(246,117)	(123)	
18 SAGA	11,530	3,829,815	5,296,162	5,519,485	3,248,182	8,767,667	(223,323)	(3,471,505)	(301)	
20 CCMC	885	70	398,000	477,925	180,887	658,812	(79,925)	(260,811)	(295)	
TOTAL	236,192	166,839,624	188,328,711	115,912,543	60,266,916	176,179,460	72,416,167	12,149,255	51	
Net Revenue			188,328,711							
Bad Debt			11,905,000							
Total			200,233,711		pat rev per audit		gb variance to audit			
					201,445,000		(1,211,289)	0.60%		
Non-Government			75,500,644	40.09%	80,761					
Medicare			80,677,027	42.84%	86,297					
Medicaid and Other Medical Assistance			32,147,787	17.07%	34,387					
Other Government										
Total Net Patient Patient Revenue			188,325,458	100.00%	201,445					
medicare	66,754	28.26%								
medicaid	64,570	27.34%								
champus	-	0.00%								
total govt	131,324	55.60%								
commercial	92,350	39.10%								
uninsured	9,667	4.09%								
workers comp	2,848	1.21%								
total no got	104,865	44.40%								
total payer	236,189	100.00%								

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EXHIBIT 8

OHCA Report of Socio-Economics & Health Care Services Utilization in Waterbury

State of Connecticut



REPORT OF SOCIO-ECONOMICS AND HEALTH CARE SERVICES UTILIZATION OF THE WATERBURY AREA

Docket Number 06-30760-VST

**Cristine A. Vogel
Commissioner
Office of Health Care Access**

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1.0 Introduction

On May 25, 2006, the Office of Health Care Access (OHCA) pursuant to Connecticut General Statutes (C.G.S.) Section 19a-633 (Appendix A), initiated an investigation to review utilization and capacity of acute care general hospital services provided in the “Waterbury Area.” The general acute care hospitals located in the city of Waterbury are St. Mary’s Hospital and The Waterbury Hospital.

The investigation stemmed from concerns about the long-term viability of either or both hospitals, and their ability to provide and maintain needed health care services in the communities they serve vis-à-vis their financial performance in the last two fiscal years (FY) (Table 1). In addition to providing acute inpatient care, the hospitals serve as a safety net for residents of the area who do not have access to or cannot afford primary care. Based on the hospitals audited financial statements, both hospitals are operating at a loss from direct patient care; the hospitals’ expenses exceed revenue from direct patient care and other operating revenue; inadequate funds obtained from philanthropic or non-philanthropic sources not directly related to patient care; as well as other issues discussed in this report.

Table 1: Financial Performance of St. Mary’s and Waterbury Hospitals (FYs 2004 – 2006)

Financial Indicators	St. Mary’s Hospital			Waterbury Hospital		
	FY 2004	FY 2005	FY 2006	FY 2004	FY 2005	FY 2006
Operating Loss/Gain ¹	\$6,306,000	\$(15,270,000)	\$(9,694,000)	\$(448,506)	\$(2,431,845)	\$(5,262,405)
Excess of Revenue over Expenses ²	\$11,480,000	\$(6,581,000)	\$(6,197,000)	\$2,275,334	\$(30,448)	\$(2,479,026)
Operating Margin ³	4.2%	(10.02)%	(4.7)%	(0.2)%	(1.2)%	(2.2)%
Total Margin ⁴	7.68%	(4.3)%	(3.0)%	1.2%	(0.01)%	(1.1)%

Source: Audited Financial Statements.

¹ Loss or gain from reimbursement of patient services from government and non-government payers.

² Difference between revenue obtained from direct patient care and funds from other sources and expenses.

³ Measures profitability and is the ratio of loss or gain per unit total operating revenue.

⁴ Measures profitability and is the ratio of total operating and non-operating revenue loss or surplus to total operating revenue.

The investigation reviews current availability and utilization of, and demand for hospital services, system capacity and the challenges these two hospitals face in meeting demands from the community they serve. This involves an evaluation of:

- ❖ the socio-economic make-up of the community that relies on services of the hospitals, implications on the hospitals’ patient payer-mix and the effect of reimbursements on hospital operations and future plans;
- ❖ current utilization and future demand for all hospital services including inpatient, emergency department, primary care clinics, outpatient psychiatric care and ancillary outpatient services in the area; and
- ❖ challenges that exist in the market in general and their impact on the hospitals’ operations.

On the basis of this investigative proceeding, OHCA will make recommendations for ensuring continued availability and access to health care for the area.

2.0 Determining the towns of the “Waterbury Area”

The towns a hospital provides services to make up its primary and secondary service areas. Primary service area towns are either a significant portion of the hospital’s patient base or most residents from the towns access services at the hospital; and the secondary service area consists of all other towns whose residents access the hospital’s services at a steady but lower rate. This investigation determines which towns will be most adversely impacted if one of the two hospitals or both are unable to provide services. Therefore, to determine which towns rely significantly on health care services that the two hospitals provide, OHCA first included towns that comprise the top 70% of each of the two hospitals’ acute care inpatient discharges. Based on this definition, OHCA identified Waterbury and Naugatuck for St. Mary’s Hospital and these same towns and an additional two, Watertown and Southbury, for the Waterbury Hospital (Table 2).

Table 2: Towns of Origin for St. Mary’s Hospital and Waterbury Hospital Top Seventy Percent Discharges (FY 2003 – 2005)

Patient Town	St. Mary’s Hospital			Waterbury Hospital		
	Discharges	% of Hospital Total	Cum % Total	Discharges	% of Hospital Total	Cum % Total
Waterbury	21,903	60.2	60.2	20,818	46.1	46.1
Naugatuck	3,693	10.2	70.4	4,685	10.4	56.5
Watertown	n/a	n/a	n/a	4,610	10.2	66.7
Southbury	n/a	n/a	n/a	2,588	5.7	72.4

Source: Office of Health Care Access Acute Care Discharge Database

Other towns were included if the combined share of patients originating from that town for the two hospitals exceeded 70%, demonstrating a significant reliance of the town on the health care system in Waterbury. Middlebury, Prospect and Wolcott became part of the group because the two hospitals account for about three-quarters of discharges originating from each town, bringing the number of towns in the “Waterbury Area” to seven, namely Middlebury, Naugatuck, Prospect, Southbury, Waterbury, Watertown and Wolcott (Table 3).

Table 3: Percent of Patients from Selected Towns Discharged from St. Mary’s Hospital and Waterbury Hospital (FY 2003-2005)

Patient Town	St. Mary’s Hospital % Share	Waterbury Hospital % Share	Combined % Share
Middlebury	21.5%	53.5%	75.0%
Naugatuck	32.5%	41.2%	73.7%
Prospect	35.7%	37.4%	73.1%
Southbury	7.7%	34.9%	42.6%
Waterbury	44.6%	42.4%	87.0%
Watertown	20.3%	62.7%	83.0%
Wolcott	41.2%	30.7%	71.9%

Source: Office of Health Care Access Acute Care Discharge Database

Patients residing in the seven towns included make up over 80% of each hospital's discharges. Although residents of those towns migrate to nearby hospitals to receive care most utilize the Waterbury hospitals more than any other single hospital (Table 4). Patient migration to access care in other areas depends on factors such as patient preference, patient ability to travel and physician referral patterns. Out-migration from local areas also occurs because certain treatment modalities or physician specialists are available outside the Waterbury area.

Table 4: Other Connecticut hospitals' percentage share of discharges originating from the seven selected towns, FYs 2003-2005

Acute Care Hospital	Middlebury %	Naugatuck %	Prospect %	Southbury %	Waterbury %	Watertown %	Wolcott %	% of Hospital Total
St. Mary's	21.5	32.5	35.7	7.7	44.6	20.3	41.2	81.2
Waterbury	53.5	41.2	37.4	34.9	42.4	62.7	30.7	86.5
Bridgeport	2.0	3.1	2.6	2.1	1.4	2.1	1.6	2.6
Danbury	5.7	2.1	0.5	38.9	1.1	1.9	0.5	6.8
John Dempsey	0.8	0.8	1.1	0.7	0.8	0.7	1.9	2.7
Griffin	1.1	5.9	1.4	1.7	0.5	0.4	0.3	5.2
St. Raphael's	2.8	2.5	4.8	1.8	1.5	1.4	2.6	2.1
Yale	6.8	5.9	8.7	6.2	3.6	4.7	4.2	2.8
Other*	5.7	6.0	7.8	6.0	4.3	5.8	17.1	0.6
Total (%)	100	100	100	94	100	100	100	
# of Discharges	2,062	11,365	3,144	7,413	49,156	7,349	5,395	

Source: Office of Health Care Access Acute Care Discharge Database

*Includes the other 23 hospitals, which individually did not discharge a significant share of patients from the seven towns.

3.0 Socio-economic profile of the Waterbury Area

Socio-economic status, that is age, gender, race or ethnicity, educational attainment, employment status and income and physician availability, are well-documented joint indicators of health status, need for health care services, timeliness of health care treatment, and ability to pay for health care services. Therefore, this section examines the social and economic characteristics of the Waterbury area population for insight into the type of services needed, the ability to pay for and the ease of access to health care services. The section also provides information on the inherent patient payer mix experienced by both hospitals.

A. Population and age distribution

According to Census 2000, there are approximately 208,861 residents in the Waterbury area, which is 6% of the state's population. About 51.4% of the area's residents live in Waterbury, 14.8% in Naugatuck and 10.4% in Watertown and a combined 23.4% in Southbury, Wolcott, Prospect and Middlebury (Table 5).

Table 5: Population and Age Distribution of the Waterbury Area

Patient Town	0-19	20-44	45-64	65-74	75+	2000 Population	% of Total
Middlebury	26.0%	28.0%	29.3%	8.0%	8.4%	6,451	3.1%
Naugatuck	29.1%	38.1%	21.0%	5.4%	6.3%	30,989	14.8%
Prospect	26.9%	33.7%	26.0%	6.8%	6.3%	8,707	4.2%
Southbury	24.0%	24.8%	25.1%	9.9%	16.2%	18,567	8.9%
Waterbury	28.9%	36.3%	19.7%	6.7%	8.2%	107,271	51.4%
Watertown	26.7%	34.2%	24.8%	7.0%	7.0%	21,661	10.4%
Wolcott	27.9%	34.9%	23.9%	6.9%	6.1%	15,215	7.3%
Total	28.1%	34.9%	21.8%	6.9%	8.3%	208,861	100.0%
Connecticut	27.1%	35.8%	23.1%	6.7%	7.0%	3,405,565	

Source: U.S. Census Bureau Census 2000

Some age characteristics of this area are:

- ❖ Children under 19 years old are overrepresented in Naugatuck although there is no significant difference between children's share of the area and state population.
- ❖ Naugatuck and Waterbury residents, representing almost two-thirds of the area, have a relatively larger share of 20 to 44-year olds. According to OHCA research, 19 to 29 year-olds are four times as likely to be uninsured because they may be full-time students, single, unemployed or have no permanent full-time jobs. This means they are less likely to have health insurance coverage through an employer, are too old to receive coverage from a parent who has coverage through an employer and are ineligible for Medicaid.¹
- ❖ Fewer adults in the 45 to 64 age range, a group that is likely to be employed and insured, compared to the state.² In Waterbury, there are only 19.7% in this age range compared to 23.1% in the state.
- ❖ Waterbury, representing about one-half of the area, has relatively more residents over 75 years than the state, 8.2% versus 7.0%. This age group has the highest potential to suffer from chronic diseases, experiences greater disease acuity and tend to have higher hospitalization rates than the general population and therefore costs more to treat.³ Older residents are also less mobile and tend to access care in the local area.

B. Gender mix

The gender mix provides information on the types and volume of services the area needs. The gender mix of the area is similar to that of the state with slightly more women than men, although Southbury, Waterbury, Watertown have relatively more women than average (Table 6). Generally younger women tend to require hospitalization 2.5 times as more frequently as their male counterparts due to pregnancies and childbirth, but this disparity narrows, as women get older. In fact, even at age 65 and over when hospitalizations are 2.6 times the rate for the general population, men tend to require acute care at a higher rate than women of the same age cohort.⁴

Table 6: Gender Mix of Waterbury Area

Patient/Town	Males	Females	Males %	Females %
Middlebury	3,127	3,324	48.5	51.5
Naugatuck	15,069	15,920	48.6	51.4
Prospect	4,255	4,452	48.9	51.1
Southbury	8,633	9,934	46.5	53.5
Waterbury	50,781	56,490	47.3	52.7
Watertown	10,379	11,282	47.9	52.1
Wolcott	7,411	7,804	48.7	51.3
Total	99,655	109,206	47.7	52.3
Connecticut	1,649,319	1,756,246	48.4	51.6

Source: U.S. Census Bureau Census 2000

C. Racial composition

Research shows that minorities⁵, especially Blacks and Hispanics or Latinos, are hospitalized at rates disproportionate to their share of the population than Whites.⁶ Hispanics or Latinos are also 3.5 times as likely to be uninsured as all races in the state.⁷ Six of the towns in the area are predominantly White compared to the state in general, but because Waterbury, which is about one-half of the area, has a significantly large minority population, mostly Hispanics and Blacks, the area has almost 1.5 times more minorities than the state (Table 7).

Table 7: Racial and Ethnic Distribution of Residents in the Waterbury Area

Patient/Town	Total Population	% White Alone	Minorities			
			Hispanic/Latino (of any race)	% Black Alone	% Asian Alone	% Other races excluding Hispanics/Latinos
Middlebury	6,451	96.2	1.2	0.4	1.3	0.9
Naugatuck	30,989	88.9	4.5	2.8	1.7	2.1
Prospect	8,707	95.0	1.9	1.4	0.7	1
Southbury	18,567	96.1	1.6	0.5	1.2	0.7
Waterbury	107,271	58.2	21.8	16.3	1.5	2.3
Watertown	21,661	95.2	1.9	0.7	1.3	0.8
Wolcott	15,215	95.2	1.8	1.2	0.7	1
Total	208,861	75.4	12.4	9.1	1.4	1.8
Connecticut	3,405,565	77.5	9.4	9.1	2.4	1.6

Source: U.S. Census Bureau Census 2000

D. Educational attainment

In general, higher educational attainment enhances employment prospects and the chances of gaining employment with an employer who provides health insurance coverage for eligible employees, especially for skilled labor. In the Waterbury area, fewer residents 25 years and over graduated from high school or have a college degree than the state in general, 78.9% compared to 84.0%. The residents are also 1.5 times less likely to have a bachelor's degree or higher (Table 8).

Table 8: Educational Attainment of the Waterbury Area 25 Years and Over Population

Patient Town	Population 25 Years and Over	High School Graduate or Higher	% with a Bachelor's Degree or Higher
Middlebury	4,662	91.9	41.5
Naugatuck	20,451	83.0	19.0
Prospect	6,015	87.1	22.8
Southbury	13,727	89.2	31.4
Waterbury	69,791	71.7	13.9
Watertown	14,922	83.8	25.0
Wolcott	10,350	87.8	19.3
Total	139,918	78.9	20.3
Connecticut	2,295,617	84.0	31.4

Source: U.S. Census Bureau Census 2000

E. Employment status and occupation

There are also fewer residents of the Waterbury area in the civilian labor force relative to the state, 49.3% versus 51.6%. The area total is lower mainly because only 46.4% of the city of Waterbury's residents are in the labor force (Table 9). Regardless, the city also has the highest unemployment rate, over 1.5 times the rate for the state, 8.6% versus 5.3%. This means potentially more people in the area are unable to afford needed health care services.

Table 9: Employment Status of Waterbury Area 16 Years and Over Civilian Labor Force

Patient Town	Population	Population 16 Years and Over		
		% in Civilian Labor Force	% of Civilian Labor Force Employed	% of Civilian Labor Force Unemployed
Middlebury	6,451	52.9	97.5	2.5
Naugatuck	30,989	53.1	94.7	5.3
Prospect	8,707	55.1	97.9	2.1
Southbury	18,567	44.6	96.9	3.1
Waterbury	107,271	46.4	91.4	8.6
Watertown	21,661	54.9	95.4	4.6
Wolcott	15,215	54.6	95.7	4.3
Total	208,861	49.3	93.7	6.3
Connecticut	3,405,565	51.6	94.7	5.6

Source: U.S. Census Bureau Census 2000

Only 56.1% residents of the area are in “management and professional” and “sales and office” occupations compared to 65.6% of the state as a whole (Table 10). These occupations tend to be higher paying and permanent full-time positions, which increase the likelihood of being able to afford and/or qualify for employer-sponsored health insurance (ESI) coverage. Instead, area residents, especially those residing in Waterbury, have a comparatively higher tendency to be in “service” and “production, transportation and material moving,” occupations that are seasonal, temporary or part-time in nature which lessen residents’ ability to qualify for or to afford ESI when offered.

Table 10: Occupations of Waterbury Area 16 Years and Over Civilian Labor Force

Patient Town	% in Management, Professional & Related Occupations	% in Service	% in Sales & Office	% in Farming, Fishing, Forestry	% in Construction, Extraction, Maintenance	% in Production, Transportation, Material Moving
Middlebury	52.1	13.3	20.6	0.0	6.0	8.0
Naugatuck	30.3	15.6	25.1	0.1	10.1	19.0
Prospect	37.1	11.3	28.0	0.1	8.6	14.7
Southbury	47.6	11.6	24.2	0.3	8.3	8.0
Waterbury	25.1	20.1	24.6	0.1	8.8	21.4
Watertown	35.5	16.4	23.6	0.1	8.5	15.9
Wolcott	33.9	14.6	28.3	0.1	9.6	13.5
Total	31.2	17.1	24.9	0.1	8.9	17.8
Connecticut	39.1	14.3	26.5	0.2	8.0	12.0

Source: U.S. Census Bureau Census 2000

F. Per capita and average household incomes

Higher individual or family incomes are linked to greater ability and willingness to spend on needed health care services. Per capita and average household incomes are the proxies for measuring what an individual or a family can afford. For most towns in the Waterbury area, both amounts are below the state average, resulting in a low average for the area in general, \$28,505 versus the state’s \$31,816 and \$76,939 compared to \$82,601 (Table 11).

Table 11: Per Capita and Average Household Income for Waterbury Area Residents

Patient Town	Per Capita Income (\$)	Median Household Income (\$)	Average Household Income (\$)
Middlebury	39,129	68,090	105,684
Naugatuck	25,477	56,677	67,314
Prospect	26,881	68,770	79,946
Southbury	34,318	64,437	85,269
Waterbury	21,238	41,258	51,888
Watertown	24,276	57,832	66,766
Wolcott	28,214	71,144	81,709
Total	28,505	64,437	76,939
Connecticut	31,816	59,697	82,601

Source: CT Department of Economic & Community Development 2000 Data from Claritas

G. Estimated rate and number of uninsured

The "estimated" uninsured rate for Waterbury area residents based on the OHCA 2006 Household Survey is over 1.5 times the rate for the state, that is, 9.8% compared to 6.4% (Table 12).

Table 12: Estimated rate and number of uninsured in Waterbury Area, 2006

Uninsured Rate (%)			Estimated Number of Uninsured		
Lower	Estimate	Upper	Lower	Estimate	Upper
5.9	9.8	13.7	12,688	21,034	28,380

Source: CT Office of Health Care Access 2006 Household Survey and U.S. Census Bureau 2005 Population Estimates

¹The estimated uninsured rate will fall within the given lower and upper boundaries 95% of the time if the survey were repeated 100 times. The estimated rate of uninsured for the state is 6.4%.

H. Rate of preventable hospitalization

Preventable hospitalizations (PH) are acute care admissions that would not have occurred, if patients had received "timely and effective primary care and medical management."⁸ Such conditions include asthma, angina, bacterial pneumonia, chronic obstructive pulmonary disease, congestive heart failure, dehydration, diabetes, hypertension, low birth weight, lower extremity amputation, pediatric gastroenteritis, perforated appendix and urinary infection. Based on a methodology developed by the federal Agency for Healthcare Research and Quality (AHRQ), the Waterbury area has a relatively higher incidence of PH than the state for children and adults, 1.9 versus 1.2 and 1.5 versus 1.2 per 1,000 of the respective population (Table 13). For both age groups, the city of Waterbury had the highest incidence of PH, which was also twice the state rate.

Table 13: Ambulatory Care Sensitive Condition* Discharges per 1,000 population, FYs 2000 – 2004

Patient Town	Children (0-17)	Adults (18+)
Middlebury	2	1.3
Naugatuck	1.9	1.8
Prospect	1.7	1.5
Southbury	0.7	1.3
Waterbury	4.2	2.5
Watertown	2.3	1.4
Wolcott	1.8	1.5
Median for Area	1.9	1.5
Median for Connecticut	1.2	1.2

Source: Office of Health Care Access Acute Care Discharge Database

*Conditions include asthma, angina, bacterial pneumonia, chronic obstructive pulmonary disease, congestive heart failure, dehydration, diabetes, hypertension, low birth weight, lower extremity amputation, pediatric gastroenteritis, perforated appendix and urinary infection.

I. Primary care physicians per 1,000 of area population

There is a recognized link between receiving primary care and improved health status. Payers of health care services broadly define primary care physicians (PCP) to include family or general practice physicians, pediatricians, internists, nurse practitioners, physician assistants and naturopathic physicians. These PCPs, including being trained to treat a wide range of diseases, maintain comprehensive records of patients, refer patients to specialists, coordinate care provided to patients by specialists and facilities, and manage patients' chronic health conditions. Ideally, a PCP should be a patient's first contact in a health care system. But generally, these PCPs are not distributed evenly between communities in the state and across the nation. In November 2005, the Primary Care Office of Connecticut Department of Public Health (DPH) designated the city of Waterbury as a medically and a primary care underserved area under federal law as an initial step to improving access to primary health care in the area.⁹

4.0 Hospital services utilization, payer reimbursement and mix

This section discusses the utilization of hospital services in the Waterbury area and identifies the payers of those services. This includes a discussion of acute care inpatient bed capacity, availability and utilization; emergency department use; outpatient psychiatric and other outpatient services utilization; and payer mix in these areas of operation. Unless otherwise stated, the two hospitals provided all the data for this section in response to OHCA's preliminary questions for the investigation; FY 2006 data are annualized.

A. Inpatient bed capacity, occupancy and availability

The "size" or "capacity" of inpatient services is the average number of inpatient beds a hospital staffs. Occupancy is the average number of staffed beds in use by midnight census throughout the year. The Department of Public Health (DPH) has licensed the two hospitals in the Waterbury area to operate a maximum of 704 beds and 68 bassinets. But over the last three years, the hospitals have gradually increased staffed beds to make available 63% or 447 beds in FY 2006 (Table 15). As a rule of thumb, hospitals obtain bed licenses to their maximum allowable capacity and staff beds based on demand and ability to staff them adequately.

Including critical care beds, about 70% of staffed beds in the area are medical or surgical. According to the two hospitals, in the last year, both have experienced increases in volumes associated with those beds, raising their medical/surgical occupancy rates to over 86% and ICU beds to over 80% for most of the year. Over a three-year period ending in FY 2006, both hospitals gradually added to the number of beds staffed for those services to bring the total to 323 (Table 15, see Appendices 1 and 2 for hospital details). But they staffed psychiatric beds at the same level in three consecutive years although they report occupancy at over 80%.

Table 15: Licensed and Staffed Acute Care Beds in Waterbury Area by Service Line, FYs 2004 - 2006

Service Line	Licensed Beds	Staffed Beds				Share of Staffed Beds		
		FY 2006	FY 2005	FY 2004	% of Licensed Beds Staffed in FY 2006	% of All Staffed Beds in FY 2006	St. Mary's Hospital	Waterbury Hospital
Medical/Surgical	479	260	257	249	54%	58%	40%	60%
OB/GYN	64	62	63	63	97%	14%	50%	50%
Psychiatry	50	42	42	42	84%	9%	29%	71%
Critical Care	75	63	55	55	84%	14%	68%	32%
Pediatric	36	20	20	20	56%	4%	50%	50%
Total Beds	704	447	437	429	63%	100%	45%	55%
Total Bassinets	68	68	68	68	100%	n/a	47%	53%

The high demands for medical/surgical, critical care and psychiatric beds are from:

- ❖ Growing demand for monitored beds at both hospitals due to an aging population with more complex medical conditions that require higher intensity nursing care;
- ❖ Systemic issues caused by difficulty in discharging patients to either extended care facilities or other next level of care resources. Delayed discharge of patients to step down

- care because of insufficient availability of the next level of care in the community for both elderly and behavioral health patients; and
- ❖ Waterbury Hospital is the only provider of hospital inpatient behavioral health care to adolescents in mental health Region 5¹⁰.

Both hospitals' ability to increase staffed beds to accommodate rising demand for medical, surgical and critical care beds is limited by factors either unique to the individual hospital or widespread in the hospital industry. Some limitations, which continue to exist, include:

- ❖ A decommissioned 27-bed unit at St. Mary's Hospital;
- ❖ Conversion of semi-private rooms to single occupancy rooms stemming from need to provide privacy and isolation for patients that require it at both hospitals.
- ❖ Physical plant constraints including aging building and inefficient configuration of nursing units;
- ❖ Restricted access to capital for renovating decommissioned units or converting administrative space to clinical space;
- ❖ Difficulty in recruiting additional and retaining existing staff; and
- ❖ Regulatory challenges.

In FY 2006, both St. Mary's Hospital and Waterbury Hospital held staffing levels for OB/GYN and pediatric beds consistent with prior years even though both hospitals continue to report low occupancy for the beds.

Based on the area towns identified, which constitutes over 80% of the hospitals' discharges, the area seems to have relatively more staffed medical and surgical acute care beds available per 100,000 of the population than the state as a whole, that is 209 versus 186 per 100, 000 (Table 16). Although psychiatric beds seem sufficient for the area, Waterbury is the sole provider of behavioral health care for residents less than 18 years old in Region 5 and the hospital has assigned five beds to the age group, already deemed inadequate for the region.¹¹

Table 16: Licensed and Staffed Acute Care Bed Availability in the Waterbury Area by Service Line, FYs 2004 - 2006

Service Line	Waterbury Area					
	Licensed Beds	FY 2006 Staffed beds	Census 2000 Population	Licensed Bed Availability per 100,000	Staffed Bed Availability per 100,000	GT Staffed Bed Availability per 100,000
Medical/Surgical ¹	554	323	154,773	358	209	186
OB/GYN ²	64	62	82,519	78	75	80
Psychiatry ³	50	42	194,438	26	22	21
Pediatric ⁴	36	20	51,520	70	39	33
Total Beds	704	447	206,293	341	217	209
Total Bassinets⁵	68	68	2,568	2,648	2,648	-

Source: US Census 2000 & * Studying Health Care Utilization in Connecticut, A Report to the Governor and General Assembly.

¹ Adults over 17 years old. Beds include critical care beds.

² Females over 17 years old.

³ Ages 5 and over.

⁴ Population under 18 years old (54,088) minus discharges reported for (5) below.

⁵ Bassinets for both nursery and NICU. Population is three-year average number of discharges reported by the two hospitals for discharges assigned Diagnosis Related Group (DRGs) 385-391 in the OHCA Acute Care Discharge Database.

B. Acute care inpatient utilization by service type

This section provides an overview of the inpatient (IP) services that Waterbury area residents utilized over the three-year period ending in FY 2006. It combines utilization at both hospitals to better assess the needs of the community overall.

Inpatient medical care is almost one-half of the services the two hospitals provide; surgical and maternity/pediatrics care each account for about one-quarter; and behavioral health is 5%. The two hospitals started a joint advance cardiac services program over a year ago, which generated an increase in volume that was offset by a decline in behavioral health care. The combined inpatient services provided grew by 2% although maternity/pediatric care accessed declined by 3% in FY 2005 (Table 17, see Appendices 3 and 4 for hospital details). The overall increase in discharges in the area was no different from state level increases. Annualized volumes for FY 2006 indicate a significant drop in behavioral health discharges (11%) while discharges for other services will be close to their FY 2005 levels altogether resulting in a 1% decline in inpatient care utilization for the area.

Table 17: Waterbury Area Hospitals Acute Care Discharges by Service Line, FYs 2004 - 2006

Service Line	Discharges				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	12,546	12,563	12,055	12,388	0	4	4
Surgical	7,548	7,527	7,352	7,476	0	2	3
Behavioral Health	1,538	1,721	1,650	1,636	-11	4	-7
Maternity/Pediatrics	5,930	5,942	6,118	5,997	0	-3	-3
Total	27,562	27,753	27,175	27,497	-1	2	1

By the end of FY 2006, although total medical and surgical discharges are expected to remain the same and behavioral health and maternity/pediatric days to reduce, the hospitals anticipate 3% and 10% increases in medical and surgical patient days respectively. The result is a net increase in patient days of 4% for the year and 5% over the three-year period (Table 18, see Appendices 5 and 6 for hospital details).

Table 18: Waterbury Area Hospitals Acute Care Days by Service Line, FYs 2004 - 2006

Service Line	Acute Care Days				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	63,472	61,732	58,829	61,344	3	5	7
Surgical	39,926	36,345	37,832	38,034	10	-4	5
Behavioral Health	11,206	11,893	11,364	11,488	-6	5	-1
Maternity/Pediatrics	15,802	15,969	15,980	15,917	-1	0	-1
Total	130,406	125,939	124,005	126,783	4	2	5

Costs per discharge for all services are rising at both hospitals. Inpatient medical care cost per discharge was higher at Waterbury Hospital while inpatient surgical care cost per discharge was higher at St. Mary's Hospital. But by the end of FY 2006, the costs for both services at the hospitals were almost similar, \$8,302 and \$15,269 at St. Mary's Hospital versus \$8,323 and \$15,236 at Waterbury Hospital (Table 19). The converging unit cost for those service lines is better illustrated by the patient resource usage indicator or case mix index which was higher in FY 2003 for Waterbury Hospital but reduced for the hospital for two consecutive years to become almost equivalent to St. Mary's Hospital in FY 2005, 1.3534 versus 1.3577 respectively (for hospital details see Appendix 7). On the other hand, cost per discharge for behavioral health and maternity/pediatric inpatient care continue to be lower at St. Mary's Hospital. Generally, some of the variations in cost are attributable to what is included in cost, which tend to vary from hospital to hospital.

Table 19: Waterbury Area Hospitals Acute Care Cost¹ Per Discharge by Service Line, FYs 2004 - 2006

Service Line	St. Mary's Hospital (\$)				Waterbury Hospital (\$)			
	FY 2006	FY 2005	FY 2004	3-yr Average	FY 2006	FY 2005	FY 2004	3-yr Average
Medical	8,302	7,043	6,189	7,211	8,323	7,779	6,926	7,676
Surgical	15,269	12,874	12,391	13,507	15,236	12,843	11,776	13,311
Behavioral Health	7,303	6,612	5,999	6,648	9,376	8,059	7,620	8,301
Maternity/Pediatrics	3,095	3,980	3,662	3,553	4,260	3,548	3,581	3,773
Total	8,817	7,998	7,305	8,058	9,590	8,227	7,527	8,433

¹ There may be a variation in the components of cost between hospitals.

Medical care, which showed a gain of \$62 per case in FY 2005 is projected to convert to a loss of \$127 per case in FY 2006; behavioral health care although not the most expensive service to provide, lost the hospitals the most money per discharge, at a loss of \$1,805 per case in FY 2005 and is expected to almost double to \$2,834 per case in FY 2006 (Table 20, see Appendices 8 and 9 for hospital details). Despite sporadic growth in surgical care, losses per case for the service continue to rise. Based on annualized figures, loss per case for all services will grow by 64% by the end of FY 2006.

Table 20: Waterbury Area Hospitals Acute Care Gain or Loss per Discharge or Case by Service Line, FYs 2004 - 2006

Service Line	Gain or Loss Per Discharge/Case (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	(127)	62	322	82	-306	-81	353
Surgical	(1,871)	(1,112)	(850)	(1,282)	68	31	55
Behavioral Health	(2,834)	(1,805)	(1,472)	(2,015)	57	23	48
Maternity/Pediatrics	(794)	(765)	(927)	(830)	4	-18	-17
Total	(899)	(549)	(386)	(612)	64	42	57

¹ The combined per case gain/loss is sum of total revenue for the two hospitals minus the sum of their total costs divided by sum of discharges from both hospitals.

C. Emergency Department utilization

Emergency Departments (ED) are an essential part of a health care system as they serve as the safety net for communities. For vulnerable populations, they can also be the primary mode of access to health care in general.

Between the two hospitals, the Waterbury area has 73 ED beds (Table 21); St. Mary's Hospital recently added eight of beds in response to continuous growth in volumes. Both hospitals experience "boarding" in the ED where patients wait over 12 hours for admission to an inpatient bed or transferred to mostly psychiatric facilities, throughout the year. Longer wait times and boarding occur mostly for medical/surgical, telemetry and psychiatric patients despite improvements in patient flow processes. The wait for inpatient admits is mostly related to staffing constraints or physical limitations to increase available beds.

Table 21: Waterbury Area Hospitals Emergency Department Beds, 2006

St. Mary's Hospital		Waterbury Hospital	
Description	Beds	Description	Beds
Treatment Room	24	Acute ER	21
Trauma room	2	Prompt Care	5
Behavioral Health	5	Behavioral Health	4
Urgi-care room	7	Surge (for high census & boarders)	5
Total	38	Total	35

Area residents recorded an average of 115,300 ED visits or 552 visits per 1,000 of their population per year (Table 22) which is over 1.3 times the average rate for the state and the nation,¹² an average that continues to rise from year to year. The high utilization rate may be associated with insufficient availability of primary care services in the area; increased demand on EDs to provide primary care after hours coverage; admission of physician referred patients through the ED because of limited capacity to accommodate direct admit patients; and increased patient disease acuity from lack of ongoing preventive care or management.

Table 22: Waterbury Area Hospital Emergency Department Utilization, FYs 2004 - 2006

Hospital		FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	Treated & Discharged ¹	56,170	55,165	52,044	54,460
	Treated & Admitted ¹	8,286	8,177	7,581	8,015
	All ED Visits	64,456	63,342	59,625	62,474
Waterbury	Treated & Discharged	43,360	45,506	44,581	44,482
	Treated & Admitted	8,828	8,126	8,075	8,343
	All ED Visits	52,188	53,632	52,656	52,825
Waterbury Area Hospitals	Treated & Discharged	99,530	100,671	96,625	98,942
	Treated & Admitted	17,114	16,303	15,656	16,358
	All ED Visits	116,644	116,974	112,281	115,300
ED Utilization Per 1,000		558	560	538	552

When a patient visits an ED, the patient is either “treated and discharged” or “treated and admitted” to inpatient care or transferred to other types of health care institutions. In the Waterbury area, an average of 16,358 or 14% of ED visits result in an acute care admission, which is similar to 15% in the state. Also similar to the state, inpatients admitted through the ED at the two hospitals have increased from one-third of all acute care inpatients in FY 2004 to one-half in FY 2006. In both instances, admissions through the ED have effectively replaced physician referrals as the primary source of admissions to acute care.

Some of the top ten primary reasons for ED visits for the “treated and discharged” probably could have been taken care of in a doctor’s office or a primary care center providing one was available at onset of symptoms (for details see Appendices 10 and 11). The top ten primary reasons Waterbury area hospitals admitted ED patients to inpatient care were mostly cardiac, respiratory or infection related (for details see Appendix 12).

On average, Waterbury Hospital cost per ED per visit is almost 1.5 times St. Mary's cost (Table 23). According to the hospitals, the difference is in the items each hospital includes in its cost structure. For example, in this case St. Mary's Hospital excludes ED-related physician cost but accounts for it in the cost structure of its for-profit affiliate, the Franklin Medical Group, PC. Waterbury Hospital's cost structure also includes the cost of medical liability.

Table 23: Waterbury Area Hospital Emergency Department Cost¹ Per Treated and Discharged Visit, FYs 2004 - 2006

Hospital	Average Cost per Visit (\$)			
	FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	290	290	242	274
Waterbury	484	454	444	460
Waterbury Area Hospitals	374	364	335	358

¹ There may be a variation in the components of cost between hospitals.

Similar to inpatient care services, both hospitals provide emergency services at a loss per visit; the three-year combined average loss was \$17 per "treated and discharged" visit (Table 24). The losses are increasing from year to year. Waterbury Hospital experienced the higher losses per visit than St. Mary's Hospital.

Table 24: Waterbury Area Hospital Emergency Department Gain or Loss Per Treated & Discharged Visit, FYs 2004 - 2006

Hospital	Gain or Loss Per Discharge Visit (\$)			
	FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	(22)	(15)	24	(5)
Waterbury ²	(36)	(29)	(30)	(32)
Waterbury Area Hospitals	(28)	(21)	(1)	(17)

¹ For each cell, the combined per case gain/loss is sum of net revenues for the two hospitals minus the sum of total costs for the two divided by sum of discharges from both hospitals.

D. Outpatient services utilization

Outpatient (OP) services the two hospitals provide in the area include medical and dental clinics; behavioral health care and other OP care such as one-day surgery, radiology, laboratory, gastroenterology and other services.

i. Medical clinic utilization

Affiliates of each hospital own and operate the medical outpatient clinics for both hospitals.

Franklin Medical Group, PC (FMG) a for-profit entity, provides adult primary care in its Family Health Center, pediatric primary care in its Children's Health Center and dental care in the Dental Health Center for St. Mary's Hospital. On average, FMG records 47,996 visits per year at the three clinics combined (Table 25). In the last three years, area residents made the largest number of visits in FY 2005 at 50,174, but this dropped by 6% by the end of FY 2006.

Table 25: St. Mary's Hospital Medical Clinic Visits by Service Line, FYs 2004 - 2006

Clinic/Service	Visits				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Family Health	21,830	25,313	22,927	23,357	-14	10	-5
Children's Health	17,732	18,146	17,524	17,801	-2	4	1
Dental	7,460	6,715	6,342	6,839	11	6	15
Total	47,022	50,174	46,793	47,996	-6	7	0

While visits to the clinics will drop in FY 2006, cost per visit will rise in each clinic to an average \$132 for all clinics (Table 26). Except for dental care, cost per visit at each of the other two clinics was lowest at the three-year peak volumes.

Table 26: St. Mary's Hospital Clinics Cost per Visit by Service, FYs 2004 - 2006

Clinic/Service	Cost Per Visit (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Family Health	140	117	125	127	20	-7	11
Children's Health	138	122	144	134	13	-15	-5
Dental	94	79	57	78	20	38	39
Total	132	113	123	123	16	-8	7

From year to year, FMG operated the three clinics at a loss per visit; by the end of FY 2006, loss per visit will increase by 10% to \$77 (Table 27).

Table 27: St. Mary's Hospital Clinics Gain or Loss per Visit by Service, FYs 2004 - 2006

Clinic/Service	Gain or Loss Per Discharge/Case (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Family Health	(93)	(89)	(89)	(90)	4	0	4
Children's Health	(69)	(55)	(79)	(67)	25	-31	-15
Dental	(51)	(40)	(35)	(42)	28	12	30
Total	(77)	(70)	(78)	(75)	10	-11	-2

Greater Waterbury Network, Inc owns Chase Outpatient Center and the HIV clinic; but the Greater Waterbury Management Resources, Inc. and Alliance Medical Group, PC operate the two clinics on behalf of Waterbury Hospital. The outpatient center provides primary care, neurology, orthopedics, podiatry and urology services and the other provides HIV-related medical services and counseling to the Waterbury area.

Together the two clinics record an average of 10,895 visits per year and the number of visits is expected to grow by 24% by the end of FY 2006 and 5% in the three-year period (Table 28).

Table 28: Waterbury Hospital Clinic Visits by Service Line, FYs 2004 - 2006

Clinic/Service	Visits				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Chase Outpatient Center	10,712	8,581	10,806	10,033	25	-21	-1
HIV	1,138	997	451	862	14	121	60
Total	11,850	9,578	11,257	10,895	24	-15	5

The Waterbury Hospital only provided revenue data for the two clinics because cost at service line level is not available; as a result, OHCA is unable to determine gain or loss per visit at this time.

ii. Behavioral health services utilization

Patients in need of behavioral health care who receive adequate and continuous outpatient care have a reduced tendency to require emergency or inpatient care; therefore, there is a community benefit associated with providing outpatient psychiatric care. The FMG provides adult behavioral and substance abuse care for St. Mary's Hospital, while Behavioral Health of Waterbury Hospital Mental Health Outpatient Services and Behavioral Health of Waterbury Hospital Mental Addiction Services, both owned by Waterbury Hospital, provide psychiatry and addiction treatment for adolescents and children (ten years and older), adults and geriatrics.

Over the three-year period, the psychiatric clinics operated by or on behalf of the two hospitals experienced some decline in number of cases and visits, the facilities treated an average of 12,344 cases in 33,536 visits or 3 visits per case (Table 29). Although Waterbury Hospital clinics treated the overwhelming majority, on average, St. Mary's cases visited the clinics three times as often.

Table 29: Waterbury Area Hospitals Outpatient Psychiatric Cases, Visits and Average Visits per Case, FYs 2004 - 2006

Hospital	Number of Cases				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
St. Mary's	1,026	929	816	924	10	14	26
Waterbury	10,978	11,428	11,854	11,420	-4	-4	-7
Waterbury Area	12,004	12,357	12,670	12,344	-3	-2	-5

Hospital	Number of Visits				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
St. Mary's	6,712	5,898	3,731	5,447	14	58	80
Waterbury	26,100	28,601	29,566	28,089	-9	-3	-12
Waterbury Area	32,812	34,499	33,297	33,536	-5	4	-1

Hospital	Average Visits/Case			
	FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	7	6	5	6
Waterbury	2	3	2	2
Waterbury Area	3	3	3	3

The three-year average costs per visit to psychiatric clinics were similar, \$161 for St. Mary's and \$162 for Waterbury (Table 30). Prior to FY 2006, the cost of an outpatient psychiatric clinic visit at St. Mary's Hospital was at least 1.5 times higher than one at Waterbury Hospital, but in FY 2006 there was substantial decline in the cost at St. Mary's. Cost per case is higher at St. Mary's Hospital because of relatively more frequent of visits per case (Table 29). Generally, some of the variations in cost are attributable to what is included in cost; components of cost tend to vary from hospital to hospital especially in cases where affiliates provide the service on behalf of the hospital.

Table 30: Waterbury Area Hospitals Outpatient Psychiatric Cost Per Case or Visit, FYs 2004 - 2006

Hospital	Cost Per Case (\$)			
	FY 2006	FY 2005	FY 2004	3-yr. Average
St. Mary's	1,625	2,427	2,276	950
Waterbury	669	686	638	398
Hospital	Cost Per Visit (\$)			
	FY 2006	FY 2005	FY 2004	3-yr. Average
St. Mary's	248	382	498	161
Waterbury	281	274	256	162

¹ There may be a variation in the components of cost between hospitals.

Each hospital's affiliate provides outpatient psychiatric services at a loss per case; the average combined losses over the three-year period was \$328 with most of the losses occurring at St. Mary's Hospital, because the hospital had higher average number of visits and per unit cost (Table 31). Losses per visit for the hospitals converge in FY 2006 at \$115 for St. Mary's and \$113 for Waterbury.

Table 31: Waterbury Area Hospital Outpatient Psychiatric Gain or Loss Per Case and Visit, FYs 2004 - 2006

Hospital	Gain or Loss Per Case (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr. Average	06/05	05/04	06/04
St. Mary's	(755)	(915)	(1,586)	(1,053)	7	-12	-7
Waterbury	(268)	(251)	(287)	(269)	3	-19	-16
Waterbury Area	(310)	(301)	(370)	(328)	-3	19	-13
Hospital	Gain or Loss Per Visit (\$)						
	FY 2006	FY 2005	FY 2004	3-yr. Average			
St. Mary's	(115)	(144)	(347)	(179)	12	-13	-2
Waterbury	(113)	(100)	(115)	(109)	5	-23	-20
Waterbury Area	(113)	(108)	(141)	(121)	-5	23	-17

¹ Combined per case gain/loss is sum of total net revenue for the two hospitals minus the sum of their total costs divided by sum of cases or visits from both hospitals.

iii. **Other outpatient services utilization**

Other outpatient services utilization based on data received from the two hospitals consist of services such as one-day surgery, radiology, laboratory, gastroenterology and other services. Ownership structures vary between hospitals and private practice physicians so the data may not be consistent or necessarily comparable.

In the three-year period combined other OP services declined by 6% (Table 32).

Table 32: Waterbury Area Hospitals Other Outpatient Utilization, FYs 2004 – 2006

Hospital	Visits				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
St. Mary's	135,516	131,538	141,478	136,177	3	-7	-4
Waterbury	110,122	111,971	119,728	113,940	-2	-6	-8
Waterbury Area	245,638	243,509	261,206	250,118	1	-7	-6
Utilization Per 1,000	1,176	1,166	1,251	1,198			

Other OP services cost per visit at Waterbury was 1.5 times St. Mary's, although this may vary from service to service (Table 33).

Table 33: Waterbury Area Hospital Other Outpatient Cost Per Visit, FYs 2004 - 2006

Hospital	Cost Per Visit (\$)			
	FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	224	230	214	223
Waterbury	363	354	320	345
Waterbury Area	286	287	263	278

¹ There may be a variation in the components of cost between hospitals.

Despite the declining volumes, both hospitals made some gains per case providing other outpatient services. The two hospitals made experienced gains per visit for three consecutive years with most of the gains occurring at St. Mary's Hospital, (Table 34)

Table 34: Waterbury Area Hospitals Other Outpatient Gain or Loss Per Visit, FYs 2004 - 2006

Hospital	Gain or Loss Per Visit (\$)			
	FY 2006	FY 2005	FY 2004	3-yr Average
St. Mary's	25	13	32	23
Waterbury ¹	16	15	15	15
Waterbury Area Hospitals	21	14	24	20

¹ The combined per case gain/loss is sum of total revenue for the two hospitals minus the sum of their total costs divided by sum of discharges from both hospitals.

D. Payer reimbursement and mix

Hospital profitability relies significantly on a balance between patients covered by commercial/private insurance, government sponsored programs, such as Medicare, Medicaid or state or local programs in Connecticut such as State-Administered General Assistance (SAGA), and self-pay or uninsured patients. The driving force behind the gain or loss per case is the source of reimbursement.

Federal and state governments predetermine payment rates for Medicare and Medicaid patients, while hospitals negotiate reimbursement rates with private and commercial payers. In general, Medicaid rates do not fully cover cost while private or commercial programs traditionally reimburse at or above costs, depending on how the negotiated rates favor the hospitals.

In the Waterbury area, Medicare and private payers reimburse slightly above cost but reimbursement rates have been declining from year to year (Table 35). Currently, the two payers reimburse the two hospitals just enough to offset each other's underpayments. In FY 2005, Medicaid reimbursed less than one-half of St. Mary's Hospital's cost and less than 80% of Waterbury Hospital's.

Table 35: Waterbury Area Hospitals Primary Payer Payment to Cost, FYs 2003 - 2005

	St. Mary's Hospital			Waterbury Hospital			Connecticut		
	FY03	FY04	FY05	FY03	FY04	FY05	FY03	FY04	FY05
Ratio of cost to charges	0.44	0.44	0.47	0.42	0.36	0.34	0.49	0.46	0.44
Medicare payment to cost	1.03	1.12	1.03	0.89	0.95	0.96	0.97	0.97	0.97
Medicaid payment to cost	0.85	0.80	0.47	0.68	0.82	0.79	0.73	0.73	0.72
Private payment to cost	0.95	1.08	0.96	1.02	1.07	1.06	1.14	1.18	1.20

Source: *Office of Health Care Access Hospital Financial Stability Reports for multiple years*

i. Hospital payer mix

Commercial payers are the dominant primary payer in the Waterbury Area accounting for 41% of total patient volume all services combined. Medicare accounts for 30%, Medicaid for almost one-quarter and self-pay or the uninsured for 4% (Table 36). In fact, commercial payers are the leading primary payer (46%) in the sole profitable areas of service for the hospitals; other outpatient services, which include one-day surgery, radiology, laboratory, gastroenterology and all other services except ED and outpatient psychiatry. The payer mix varies between services and hospitals. EDs in the area have an unfavorable payer-mix with over one-half of their patient volume being either Medicaid-covered or self-pay or uninsured and an annually declining share of commercially-covered patients. Although both hospitals provide care to a large portion of uninsured patients, 9% for St. Mary's Hospital and 11% for Waterbury Hospital, St. Mary's Hospital treats a disproportionate share (49%) of Medicaid patients in the ED. The payer mix presented in Table 36 is directly and highly correlated to the demographic profile outlined in Section 3.0.

Table 36: Waterbury Area Hospitals Payer Mix by Department, FYs 2004 - 2006

Services	Primary Payer	St. Mary's (%)			Waterbury (%)			Waterbury Area Hospitals (%)		
		FY06	FY05	FY04	FY06	FY05	FY04	FY06	FY05	FY04
Inpatient Discharges	Medicare	42	43	43	44	43	43	43	43	43
	Medicaid	25	23	23	21	20	20	23	22	21
	Commercial	31	32	32	33	34	34	32	33	33
	Worker's Compensation	1	1	1	1	1	1	1	1	1
	Self-pay/uninsured	1	1	1	1	2	1	1	1	1
	Total	100%	100%	100%	100%	100%	100%	100%	100%	100%
Emergency Department Treated and Discharged Visits	Medicare	14	15	15	17	18	18	15	16	16
	Medicaid	49	46	43	34	33	32	42	40	38
	Commercial	26	27	29	36	37	37	30	32	33
	Worker's Compensation	2	2	3	3	3	3	2	3	3
	Self-pay/uninsured	9	9	10	11	10	10	10	10	10
	Total	100%	100%	100%	100%	100%	100%	100%	100%	100%
Outpatient Behavioral Health Visits	Medicare	9	13	9	46	47	45	43	44	42
	Medicaid	55	58	54	37	35	38	38	37	39
	Commercial	36	28	36	15	16	16	17	17	17
	Worker's Compensation	0	0	0	0	0	0	0	0	0
	Self-pay/uninsured	1	1	0	2	2	2	2	2	2
	Total	100%	100%	100%	100%	100%	100%	100%	100%	100%
All Other Outpatient Visits	Medicare	32	33	32	30	31	32	31	32	32
	Medicaid	17	17	16	18	18	18	18	18	17
	Commercial	47	47	46	45	45	45	46	46	45
	Worker's Compensation	1	1	3	1	1	1	1	1	2
	Self-pay/uninsured	2	2	3	5	5	4	4	3	4
	Total	100%	100%	100%	100%	100%	100%	100%	100%	100%
Hospital Total	Medicare	28	28	28	32	33	33	30	31	31
	Medicaid	26	26	24	20	19	19	23	23	22
	Commercial	41	41	41	42	42	42	41	42	41
	Worker's Compensation	2	1	3	1	1	1	1	1	2
	Self-pay/uninsured	4	4	4	5	4	4	4	4	4
	Total	100%	100%	100%	100%	100%	100%	100%	100%	100%

ii. **Medical clinics payer mix**

Based on a three-year average, four of every five visits to St. Mary's Hospital medical clinics were Medicaid covered or self-pay or uninsured, 14% of the visits were commercially-covered and 7% were covered by Medicare (Table 37). An overwhelming majority of visits to the Children's Health and Dental clinics were Medicaid covered, while family health has seen a sharp rise in self-pay or uninsured visits for family health care.

Table 37: St. Mary's Hospital's Outpatient Medical Clinics Payer Mix, FYs 2004 - 2006

Clinic Services	Primary Payer	St. Mary's Hospital			
		FY 2006	FY 2005	FY 2004	3-yr Average
Family Health	Medicare	17%	14%	13%	14%
	Medicaid	58%	69%	73%	69%
	Commercial	11%	12%	10%	11%
	Self-pay	14%	5%	4%	6%
	Total	100%	100%	100%	100%
Children's Health	Medicare	0%	0%	0%	0%
	Medicaid	86%	83%	83%	83%
	Commercial	11%	15%	15%	14%
	Self-pay	3%	2%	2%	2%
	Total	100%	100%	100%	100%
Dental	Medicare	0%	0%	0%	0%
	Medicaid	96%	66%	66%	73%
	Commercial	3%	28%	28%	22%
	Self-pay	1%	6%	6%	5%
	Total	100%	100%	100%	100%
Clinic Total	Medicare	6%	7%	7%	7%
	Medicaid	78%	73%	76%	75%
	Commercial	10%	15%	14%	14%
	Self-pay	6%	4%	4%	4%
	Total	100%	100%	100%	100%

The Waterbury Hospital medical clinics payer mix is similar to St. Mary's Hospital's, primarily covered by Medicaid or self-pay or uninsured (Table 38).

Table 38 : Waterbury Hospital Outpatient Medical Clinics Payer Mix, FYs 2004 – 2006

Clinic	Primary Payer	Waterbury Hospital			
		FY 2006	FY 2005	FY 2004	3-Yr Average
Chase Outpatient Center	Commercial	13%	9%	10%	11%
	Medicaid	54%	52%	54%	54%
	Medicare	24%	26%	25%	25%
	Self-pay/Uninsured	10%	12%	10%	11%
	Worker's Compensation	0%	0%	0%	0%
	Total	100%	100%	100%	100%
HIV Clinic	Commercial	20%	22%	31%	23%
	Medicaid	50%	44%	45%	47%
	Medicare	15%	14%	19%	16%
	Self-pay/Uninsured	15%	19%	5%	15%
	Worker's Compensation	0%	0%	0%	0%
	Total	100%	100%	100%	100%
Clinic Total	Commercial	13%	10%	11%	12%
	Medicaid	54%	52%	54%	53%
	Medicare	23%	25%	24%	24%
	Self-pay/Uninsured	10%	13%	10%	11%
	Worker's	0%	0%	0%	0%
	Total	100%	100%	100%	100%

5.0 Financial status of the hospitals at the end of FY 2006

Although by the end of FY 2006 St. Mary's Hospital decreased its operating losses, it continued to operate at a deficit, (3.7%) in FY 2006 compared to (10.02%) in FY 2005, (Table 1). Operating loss, for Waterbury Hospital more than tripled from the year before. For both hospitals, expenses continue to exceed revenues and since patient care is the primary source of operating revenue, the cost and the payer mix are critical to the bottom line.

Revenue from government payers (Medicare and Medicaid) to the two hospitals have dropped to 59% in FY 2006 while costs for patients covered by these payers continue to account for about 64% the costs of providing care (Table 39). Government underpayments to the hospitals are augmented by little or no revenues from self-pay patients who account for 2% of the cost of care. Revenues from patients covered by commercial and workers compensation payers although more than cover the costs of caring for those patients (about 41% versus 35% respectively) is still insufficient to make up for the deficit from government payers.

Table 39: Financial profile of St. Mary's Hospital and Waterbury Hospital, FYs 2004 – 2006

Financial Statistics	St. Mary's Hospital			Waterbury Hospital		
	2006	2005	2004	2006	2005	2004
Net Assets						
Hospital	(\$136,000)	\$23,760,000	\$26,430,000	\$124,628,134	\$126,535,925	\$119,504,105
Health System	\$1,424,000	\$8,469,000	\$16,197,000	\$163,330,870	\$166,079,218	\$158,400,874
Hospital Net Revenue Payer Mix*						
Medicare	45%	46%	46%	45%	46%	45%
Medicaid	14%	14%	14%	12%	17%	14%
Commercial	37%	36%	37%	38%	34%	37%
Workers Compensation	4%	3%	3%	4%	1%	3%
Self Pay	0%	0%	0%	0%	2%	0%
	100%	100%	100%	100%	100%	100%
Hospital Cost Payer Mix*						
Medicare	44%	44%	44%	46%	46%	46%
Medicaid	20%	19%	19%	17%	18%	18%
Commercial	31%	33%	33%	34%	33%	33%
Workers Compensation	2%	2%	2%	1%	1%	1%
Self Pay	2%	2%	2%	2%	2%	2%
	100%	100%	100%	100%	100%	100%
Financial Indicators						
Current ratio	0.8	1.96	1.78	2.03	2.15	1.93
Days of expenses in accounts payable	72	71	69	42	47	56
Days cash on hand	1	3	5	20	34	42
Days of revenue in accounts receivable	38	48	60	49	42	37
Equity financing ratio	(13%)	3%	7%	35%	37%	36%

Source: Annual Report on the Financial Status of Connecticut's Short Term Acute Care Hospitals for Fiscal Year 2005, the hospitals' Audited Financial Statements 6 & *data submitted by the hospitals in response to the investigation.

For consecutive years both hospitals experienced negative operating margins, a sign of financial distress. This is more apparent with St. Mary's Hospital whose rather low current liabilities to current asset ratio, 0.8 in FY 2006, put the hospital at a higher risk for technical insolvency¹³. The hospital's cash flow position worsened with the amount of cash on hand to meet its short-term obligations during the year dropping from three days in FY 2005 to one day in FY 2006. The median number of days of cash on hand for the state and the nation in FY 2005 were 37.6 and 25.8 days respectively and an increasing trend is more favorable. To improve cash flow, the hospital shortened the average time it took to collect revenues from billed patients by ten days down to 38 days but that was not enough to improve the average time it took to pay creditors, which increased by one day up to 72 days.

Over the last three years, Waterbury Hospital has experienced deterioration in its cash flows; the hospital's days of cash on hand have reduced from 42 days to 20 days, which is below state and national medians. This may relate to the fact that it took the hospital seven days more to collect revenues from billed patients on average, and it paid its creditors five days earlier than the year prior. However, Waterbury Hospital is at very low risk of technical insolvency indicated by its current assets to current liabilities ratio in FY 2006 of 2.03 which is above the median for the state and nation.

Appendix A

C.G.S. §19a-633

Investigative powers. The commissioner or any agent authorized by him to conduct any inquiry, investigation or hearing under the provisions of this chapter, shall have power to administer oaths and take testimony under oath relative to the matter of inquiry or investigation. At any hearing ordered by the office, the commissioner or such agent having authority by law to issue such process may subpoena witnesses and require the production of records, papers and documents pertinent to such inquiry. If any person disobeys such process or, having appeared in obedience thereto, refuses to answer any pertinent question put to him by the commissioner or his authorized agent or to produce any records and papers pursuant thereto, the commissioner or his agent may apply to the superior court for the judicial district of Hartford or for the judicial district wherein the person resides or wherein the business has been conducted, or to any judge of said court if the same is not in session, setting forth such disobedience to process or refusal to answer, and said court or such judge shall cite such person to appear before said court or such judge to answer such question or to produce such records and papers.

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Appendix 1: Saint Mary's Hospital Licensed and Staffed Beds by Service Line, FYs 2004 - 2006

Service Line	Licensed Beds	Staffed Beds				% of Licensed Beds Staffed in FY 2006
		FY 2006	FY 2005	FY 2004	3-yr. Average	
Medical/Surgical	228	105	102	102	103	46%
OB/GYN	32	31	31	31	31	97%
Psychiatry	20	12	12	12	12	60%
Critical Care	55	43	35	35	38	78%
Pediatrics	12	10	10	10	10	83%
Total	347	201	190	190	194	58%
Total Bassinets	32	32	32	32	32	100%

Appendix 2: Waterbury Hospital Licensed and Staffed Beds by Service Line, FYs 2004 - 2006

Service Line	Licensed Beds	Staffed Beds				% of Licensed Beds Staffed in FY 2006
		FY 2006	FY 2005	FY 2004	3-yr. Average	
Medical/Surgical	251	155	155	147	152	62%
OB/GYN	32	31	32	32	32	97%
Psychiatry	30	30	30	30	30	100%
Critical Care	20	20	20	20	20	100%
Pediatrics	24	10	10	10	10	42%
Total	357	246	247	239	244	69%
Total Bassinets	36	36	36	36	36	100%

Appendix 3: Saint Mary's Hospital Acute Care Discharges by Service Line, FYs 2004 - 2006

Service Line	Discharges				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	6,000	5,702	5,467	5,723	5	4	9
Surgical	3,320	3,403	3,308	3,344	-2	3	0
Behavioral Health	602	590	574	589	2	3	5
Maternity/Pediatrics	3,044	2,570	2,739	2,784	18	-6	10
Total	12,966	12,265	12,088	12,440	6	1	7

Appendix 4: Waterbury Hospital Acute Care Discharges by Service Line, FYs 2004 - 2006

Service Line	Discharges				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	6,546	6,861	6,588	6,665	-5	4	-1
Surgical	4,228	4,124	4,044	4,132	3	2	4
Behavioral Health	936	1,131	1,076	1,048	-17	5	-15
Maternity/Pediatrics	2,886	3,372	3,379	3,212	-14	0	-17
Total	14,596	15,488	15,087	15,057	-6	3	-3

Appendix 5: Saint Mary's Hospital Acute Care Days by Service Line, FYs 2004 - 2006

Service Line	Days				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	29,890	27,678	26,297	27,955	8	5	12
Surgical	18,272	16,931	17,307	17,503	8	-2	5
Behavioral Health	3,864	3,709	3,588	3,720	4	3	7
Maternity/Pediatrics	7,966	6,781	7,008	7,252	17	-3	12
Total	59,992	55,099	54,200	56,430	9	2	10

Appendix 6: Waterbury Hospital Acute Care Days by Service Line, FYs 2004 - 2006

Service Line	Days				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	33,582	34,054	32,532	33,389	-1	5	3
Surgical	21,654	19,414	20,525	20,531	12	-5	5
Behavioral Health	7,342	8,184	7,776	7,767	-10	5	-6
Maternity/Pediatrics	7,836	9,188	8,972	8,665	-15	2	-14
Total	70,414	70,840	69,805	70,353	-1	1	1

Appendix 7: Case Mix Index for St. Mary's and Waterbury Hospitals, FY 2003 - 2005

	FY 2006	FY 2005	FY 2004	FY 2003
St. Mary's	-	1.3577	1.3576	1.3733
Waterbury	-	1.3534	1.3848	1.4015

Source: OHCA Hospital Budget System

¹ Not yet available to OHCA.

Case mix index - measures the relative cost of treating patients at a hospital, the average cost is 1.0.

Appendix 8: Saint Mary's Hospital Acute Care Gain or Loss per Discharge or Case by Service Line, FYs 2004 – 2006

Service Line	Gain or Loss Per Discharge/Case (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	69	431	617	364	-84	-30	-790
Surgical	(2,809)	(1,493)	(1,550)	(1,947)	88	-4	45
Behavioral Health	(2,543)	(2,162)	(1,594)	(2,107)	18	36	37
Maternity/Pediatrics	(561)	(1,275)	(1,298)	(1,022)	-56	-2	-131
Total	(937)	(585)	(515)	(684)	60	14	45

Appendix 9: Waterbury Hospital Acute Care Gain or Loss per Discharge or Case by Service Line, FYs 2004 – 2006

Service Line	Gain or Loss Per Discharge/Case (\$)				% Change		
	FY 2006	FY 2005	FY 2004	3-yr Average	06/05	05/04	06/04
Medical	(308)	(245)	76	(160)	25	-422	125
Surgical	(1,135)	(797)	(278)	(743)	42	187	75
Behavioral Health	(3,021)	(1,618)	(1,407)	(1,964)	87	15	53
Maternity/Pediatrics	(1,040)	(376)	(627)	(663)	177	-40	40
Total	(866)	(521)	(282)	(553)	66	85	67

Appendix 10: St. Mary's Hospital Top Ten Principal Reasons for Emergency Department Treated and Discharged Visits, FYS 2004 – 2006q1q2

ICD-9-CM Diagnosis Code	Code Description	FY 2006	FY 2005	FY 2004
465.9	Acute upper respiratory infections, unspecified site, not otherwise specified (NOS)	1,069	1,406	1,364
382.9	Otitis Media, NOS	832	1,590	1,458
34	Strep sore throat	637		
V58.3	Attending-surgical dressing/suture	617	1,050	862
79.99	Viral infection, NOS	595	1,010	1,096
462	Acute pharyngitis	529	809	760
599	Urinary tract infection, NOS	426	842	840
789	Abdominal Pain, Unspecified site	419		
493.92	Asthma NOS with acute exacerbation	409	868	794
786.59	Chest Pain Nec	406	846	772
847	Sprain Of Neck			818
786.5	Chest Pain NOS		867	797
784	Headache		876	
	Top Ten Total	5,939	10,164	9,561
	% of ED Treated & Discharged	10%	18%	18%

Appendix 11: Waterbury Hospital Top Ten Primary Reasons for Emergency Department Treated and Discharged Visits, FYs 2004 - 2006

ICD-9-CM Diagnosis Code	Diagnosis Description	FY 2006	FY 2005	FY 2004
789	Abdominal pain unspecified site	714	1,512	1,377
382.9	Otitis media NOS	519	1,008	1,072
780.6	Fever	502	950	844
786.5	Chest Pain NOS	493	932	834
465.9	Acute URI NOS	390	629	663
462	Acute pharyngitis	346		
786.59	Chest pain NEC	338	775	737
883	Open wound of finger	296	730	674
845	Sprain of ankle NOS	276	654	
784	Headache	275	638	
847.2	Sprain lumbar region			640
847	Sprain of neck		677	836
490	Bronchitis NOS			657
	Top Ten Total	4,149	8,505	8,334
	% of ED Treated & Discharged	19%	19%	19%

Appendix 12: Waterbury Hospitals Top Ten Primary Reasons for Emergency Department Treated and Admitted to Acute Care, FYs 2004 - 2006

ICD-9-CM Diagnosis Code	Diagnosis Description	FY 2006	FY 2005	FY 2004
486	Pneumonia	658	528	514
428	Congestive heart failure	517	455	377
786.59	Other chest pain	304	307	246
427.31	Atrial fibrillation	297	251	193
414.01	Coronary atherosclerosis	304	178	168
410.71	Subendocardial infarction	247	254	278
38.9	Unspecified septicemia	258		
518.81	Acute respiratory failure	256	193	150
599.0	Urinary tract infection, site not specified	262	224	204
780.2	Syncope and collapse	263		
276.5	Volume depletion		250	242
491.21	Obstructive chronic bronchitis with acute exacerbation			224
584.9	Acute renal failure, unspecified		173	
Total		3,366	2,813	2,596

Source: OHCA Acute Care Discharge Database

-
- ¹ *Snapshot: Connecticut's Health Insurance. Results of the Office of Health Care Access 2004 Household Survey.* January 2005.
- ² *Who are the Uninsured? Characteristics of Uninsured Workers in Connecticut.* Office of Health Care Access. January 2003.
- ³ *Rising Acute Care Hospitals Inpatient Charges – FYs 1991 – 1999.* Office of Health Care Access. June 2001
- ⁴ *Studying Health Care Utilization in Connecticut: Report to the General Assembly.* Office of Health Care Access. June 2006.
- ⁵ Minorities include Blacks, American Indians or Eskimos or Aleuts, Hawaiian or Pacific Islanders, Asians, other non-whites and Hispanics or Latinos of any race.
- ⁶ *Studying Health Care Utilization in Connecticut: Report to the General Assembly.* Office of Health Care Access. June 2006.
- ⁷ *Snapshot: Connecticut's Health Insurance. Results of the Office of Health Care Access 2004 Household Survey.* January 2005.
- ⁸ *Databook - Preventable Hospitalizations in Connecticut: Assessing Access to Community Health Services, FYs 2000 - 2004.* Office of Health Care Access. September 2005.
- ⁹ *Health Care for Connecticut's Underserved Populations.* Connecticut Department of Public Health. November 2005.
- ¹⁰ Department of Mental Health and Addiction Services (DHMAS) mental health service area which comprises of the towns of Barkhamsted, Beacon Falls, Bethel, Bethlehem, Bridgewater, Brookfield, Canaan, Cheshire, Colebrook, Cornwall, Danbury, Goshen, Hartland, Harwinton, Kent, Litchfield, Middlebury, Morris, Naugatuck, New Fairfield, New Hartford, New Milford, Newtown, Norfolk, North Canaan, Oxford, Prospect, Redding, Ridgefield, Roxbury, Salisbury, Sharon, Sherman, Southbury, Thomaston, Torrington, Warren, Washington, Waterbury, Watertown, Winchester, Wolcott, and Woodbury.
- ¹¹ *Report of the Committee to Examine Hospital Inpatient Behavioral Health Bed Capacity for Children.* Submitted to the General Assembly. January 2006.
- ¹² *Studying Health Care Utilization in Connecticut: Report to the General Assembly.* Office of Health Care Access. June 2006.
- ¹³ *Almanac of Hospital Financial and Operating Indicators, A comprehensive benchmark of the nation's hospitals.* Ingenix 2007.

EXHIBIT 9

Strategic Partner & Joint Venture Task Force Meeting Minutes

Saint Mary's

Strategic Partner Task Force / Joint Venture Task Force

Meeting Minutes

Dated:

July 27, 2010
August 19, 2010
September 9, 2010
October 5, 2010
October 14, 2010
October 28, 2010
November 2, 2010
December 3, 2010
December 22, 2010
January 12, 2011
January 27, 2011
February 3, 2011
February 15, 2011
February 28, 2011
March 8, 2011
March 11, 2011
April 13, 2011
April 27, 2011
May 19, 2011
May 24, 2011

*Please note the meeting minutes have been **redacted** to protect confidential information as well as attorney – client privilege.*



**Strategic Partner Taskforce
Meeting Minutes
July 27, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Strategic Partner Taskforce was called to order by Mr. Mazaika at 5:40 p.m.

II. Welcome/Introduction

Mr. Mazaika thanked the members for joining the Taskforce and participating in such a critically important process. He reviewed his meeting with Archbishop Mansell outlining Archbishop Mansell's position on our partnership strategy, the process and affiliation options.

III. Strategic Partner Taskforce Members

Taskforce Charter

The Taskforce reviewed the Charter and asked that Mr. Wable and Attorney Anthony make some slight revisions and circulate it back to the taskforce for review at the next meeting.

Action: None

Taskforce Objectives and Principles for Strategic Partnership

Action: None

IV. Affiliation/Partnering Philosophy and Strategy

The philosophy and strategy was made clear during the opening remarks and the discussion on the objectives and philosophy for a strategic partnership.

Action: None

V. Affiliation Process Timeline

Mr. Hammond reviewed the schedule and timeline for approaching and securing a capital partner. Much work has already been completed and Shattuck Hammond is in current discussion with up to 18 different potential partners. Mr. Hammond noted that the process is moving quickly and a LOI with proposals are expected back by August 6th. He noted some suitors may request a slight extension to the timeline. Mr. Hammond and Attorney Anthony discussed the regulatory and approval process that would be required and Attorney Anthony agreed to research the Essent partnership as well as outline the specific regulatory process for the next meeting.

Action: None

VI. Affiliation Partner Status and List

Mr. Hammond reviewed the status report noting 18 parties were contacted, 17 were sent Confidentiality Agreements, 13 signed Confidentiality Agreements and 13 Confidential Information Memorandum (RFP's) were sent out. At the time of the meeting, five had declined and one other organization was in contact with Archbishop Mansell, and they may be interested in submitting a proposal. Archbishop Mansell was to get back to Mr. Wable by the end of July.

Action: None

VII. Confidential Information Memorandum

The Confidential Information Memorandum was distributed in draft to the Taskforce for review and comment. There was no further discussion or comments.

Action: None

VIII. Other Business

Mr. Mazaika identified two future meeting dates for the Taskforce: August 19, 2010 and September 9, 2010. Mr. Wable will work with Vicky to set-up the meetings.

IX. Adjournment

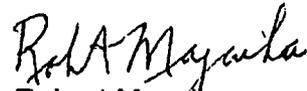
There being no further business for discussion, the meeting adjourned at 7:05 p.m.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazarka
Strategic Partner Taskforce Chairman

**Strategic Partner Task Force
Meeting Minutes
August 19, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengaccl, Esq.
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

James Smith

Guests Present

Michael Hammond
Elaine Yao

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 7:00 a.m.

II. Welcome/Introduction

Bob Mazaika opened with a few brief comments with appreciation for the member's time and support of the process.

III. Strategic Partner Task Force Minutes

The minutes of the July 27, 2010 meeting was presented.

Action: A motion was made with a second and the Minutes were approved as presented.

IV. Strategic Partner Taskforce Charter

The Taskforce Charter was reviewed. Mr. Wable explained that Archbishop Mansell would be added as an ex-officio member of the Taskforce and that all meeting materials and correspondences would be sent to Archbishop. It was also acknowledged that the suggested timetable was aggressive and that the process may slip into November in order to accommodate scheduling for proper vetting and due diligence.

Action: With the addition of Archbishop Mansell as a ex-officio member to the Taskforce, a motion was made with a second and the Charter was approved.

V. Objectives and Principles for Strategic Partnership

Action:

With the changes noted above, a motion was made with a second and the Objectives and principles for Strategic partnership were approved.

VI. Regulatory Government Relations Review

Attorney Anthony reviewed the two memos that were included in the meeting materials; Sale of Non-Profit Hospitals and Assignment of interest held in other health care entities. There was an extensive discussion related to the conversion process as well as the entire regulatory process.

VII. Progress and Update

Review of Process and Partnership Proposals

Mr. Hammond and Ms. Yao presented the analysis of the proposals from Capella Healthcare, Community Health Systems, Health Management Associates, Iasis Healthcare, Legacy Health Partners and Catholic Health East. proposals made for analysis and review. A booklet including a

Action: A motion was made to invite

to Saint Mary's Hospital in September to discuss their proposal and tour the facility. The motion was seconded and approved.

VIII. Next Steps

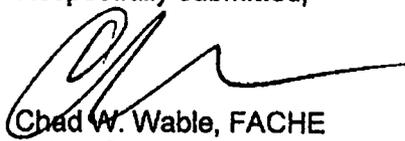
Ms. Yao explained that she would be in contact with all five potential partners to set up the meetings for after Labor Day. She also explained that would need to

establish a data room as soon as possible to trade documents. Mr. Wable advised that the data room would be established quickly upon their recommendation.

IX. Adjournment

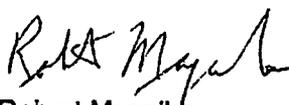
There being no further business for discussion, the meeting adjourned at 8:45am.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
September 9, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Joseph Carlson

Guests Present

Joe Connolly
Michael Hammond (via phone)
Tom Ritter
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 6:00 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the Taskforce and discussed his continued discussions with Archbishop Mansell.

III. Strategic Partner Task Force August 19, 2010 Meeting Minutes

The minutes of the August 19, 2010 Taskforce meeting were presented for review and approved.

Action: Motion made, seconded, and carried to approve the minutes of the August 19, 2010 Taskforce meeting as presented.

IV. Process Update & Debriefing

Michael Hammond reviewed the timeline.

The Taskforce agreed to schedule site visits to Hospitals by early October with their meeting scheduled for early and mid-October.

V. Regulatory/Government Relations Discussion

VI. Public Relations/Communications Discussion

VII. Connecticut Catholic Hospital Affiliation Opportunity/Assessment

VIII. Other Business

IX. Adjournment

There being no further business for discussion, the meeting adjourned at 7:30pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazai
Strategic Partner Task Force Chairman



**Strategic Partner Task Force
Meeting Minutes
October 5, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengaccl, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond
Tom Ritter

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., the Board Strategic Taskforce was called to order by Mr. Mazaika at 7:00 a.m.

II. Welcome/Introduction

Mr. Mazaika opened the meeting and expressed his appreciation for the continued support of the Taskforce as well as the continued support that Archbishop Mansell has had for both the Taskforce and process that is underway.

III. Strategic Partner Task Force September 9, 2010 Minutes

The minutes of the September 9, 2010 Taskforce meeting were presented for review and approved.

Action: Motion made, seconded, and carried to approve the minutes of the September 9, 2010 Taskforce meeting as presented.

IV. Process Update & Debriefing

Michael Hammond provided an update as to the process and explained that a lot of discussion is underway between the various suitors and their firm. He reviewed the site visit to Portneuf Medical Center and confirmed the two additional site visits to Sparks Medical Center and Phoenixville, PA. |

▪ **Presentation**

- **LHP Site Visit**

- **Joint Venture vs. Full Asset Purchase**

Site Visit

V. Legal/Transaction Update

VI. Regulatory/Government Relations Update

VII. Public Relations/Communications Update

VIII. Connecticut Catholic Hospital Affiliation

IX. Other Business/Next Steps

Mr. Mazalka asked that the Task Force be prepared to make a final recommendation at the next meeting. The Taskforce agreed that they would be prepared to make a recommendation given that all of the proposals were updated and sufficient information was provided to do so.

X. Next Meeting:

October 14, 2010, Thursday, 5:00 p.m. – 7:30 p.m., Archbishop Daniel A. Cronin Boardroom – SMH Conference Center

XI. Adjournment

There being no further business for discussion, the meeting adjourned at 7:30pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazalka
Strategic Partner Task Force Chairman



**Strategic Partner Task Force
Meeting Minutes
October 14, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond
Tim Maguire
Amanda Verner
Elaine Yao

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., the Board Strategic Partner Taskforce was called to order by Mr. Mazaika at 5:30 p.m.

II. Welcome/Introduction

Mr. Mazaika opened the meeting with a summary of his discussions with Archbishop Mansell regarding the process for selecting a strategic partner. He confirmed Archbishop Mansell's support for our process and introduced Archbishop's interests in maintaining a Catholic hospital.

Mr. Mazaika introduced to the committee the preliminary proposal from Ascension Health and the discussions that Ascensions has had with Archbishop Mansell. A comprehensive discussion ensued.

III. Legal/Regulatory Update

- **Transaction Process Update**

IV. Ascension Health Proposal

Mr. Wable discussed the Ascension Health proposal which entails creating a for-profit joint venture subsidiary company in partnership with investors, a private equity firm.

- **Archbishop Mansell's Meeting**

- **Connecticut Catholic Hospital System Options**

- **Timing and Considerations**

- **Impact of Delay**

V. Progress Report

- **Timeline Update**
- **Proposal Revisions/Updates**
- **Site Visit Reviews (Portneuf, Sparks, Phoenixville) – References**

Shattuck Hammond provided a comprehensive review of the process, timeline and various proposals from the suitors. See attachment.

VI. Making a Recommendation

- **Objectives and Principles for Strategic Partnership**
- **Partnership vs. Full Asset Purchase**
- **Decision Matrix/Grid**

An extensive discussion ensued regarding the preferred approach for partnership and a preferred partner. The Taskforce prefers the consideration of a joint venture partnership arrangement whereby the resulting foundation would hold an equity interest.

Action: Motion, seconded and carried to unanimously proceed with a partnership model as the preferred structure for a transaction.

Action: Motion, seconded and carried to unanimously approve to eliminate from the process.

Action: Motion, seconded and carried to unanimously approve to proceed with as the preferred partner as long as they meet the Task Force's requirements for purchase price, principles, governance and capital expenditure.

VII. Other Business/Next Steps

Mr. Mazaika asked that the Task Force meet next week prior to the scheduled meeting on October 21st with Archbishop Mansell and his Advisors. A meeting will be scheduled Tuesday, October 19th at 5:00 pm. Mr. Mazaika reiterated the importance of making a final recommendation on Tuesday to ensure that he would be in a position

to have a discussion with Archbishop Mansell on Wednesday prior to the meeting on Thursday.

VIII. Adjournment

There being no further business for discussion, the meeting adjourned at 8:35 pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
October 28, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacchi, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Taskforce was called to order by Mr. Mazaika at 5:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the Taskforce. He explained the significance of the meeting and his appreciation for the time.

**II. Strategic Partner Task Force Meeting Minutes
October 5, 2010 Meeting Minutes**

The minutes of the October 5, 2010 Taskforce meeting were presented for review and approval.

Action: Motion made, seconded, and carried to approve the minutes of the October 5, 2010 Taskforce meeting as presented.

October 14, 2010 Meeting Minutes

The minutes of the October 14, 2010 Taskforce meeting were presented for review and approval. Garrett Casey asked that we add language that better clarifies the discussion regarding the recommendation. Mr. Wable agreed to make the necessary changes.

Action: Motion made, seconded, and carried to approve the minutes of the October 14, 2010 Taskforce with the noted changes.

IV. Process Review & timeline Commitment

Mr. Mazaika turned the meeting over to Mr. Hammond to review progress. Mr. Hammond reviewed the process and the timeline. Mr. Wable explained that in his discussion with Archbishop Mansell it was clear that the Taskforce should make every effort to be prepared to present their recommendations to the board on November 4, 2010.

November 4, 2010 Board Meeting

Mr. Mazaika deferred this discussion until the Taskforce discussed the progress made with respect to the LOI.

LOI Completion/Approval

Due Diligence
No discussion.

V. Review/Approve LOI

The Taskforce was not in a position to approve the final LOI. A meeting was scheduled for November 2nd to review and approve the LOI.

VI. Review/Approve Public Relations/Regulatory Process and Plans

Kevin Phillips from Jarrard joined the meeting and provided an extensive review of the public relations framework and the communication plans. A discussion ensued. Mr. Mengacci asked that the presentation be emailed to the Taskforce. Mr. Wable agreed to send the presentation and related public relations materials.

VII. Other Business/Next Steps

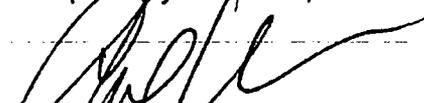
Discuss Board Presentation, November 4, 2010

Mr. Mazaika explained the need to review the final LOI and to ensure full agreement by the Taskforce prior to discussing the board presentation. Mr. Wable and Atty. Anthony committed to having the necessary discussions and negotiations with in an effort to finalize an agreeable LOI for the Taskforce to review on November 2nd. Mr. Hammond explained that he would immediately arrange discussions with

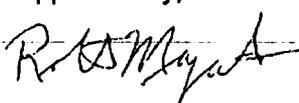
VIII. Adjournment

There being no further business for discussion, the meeting adjourned at 8:45pm. The next meeting is scheduled for November 2, 2010, Tuesday, at 5:00 p.m. in the Archbishop Daniel A. Cronin Boardroom – SMH Conference Center.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
November 2, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)
Tim Maguire (via phone)
Tom Ritter (via phone)
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Taskforce was called to order by Mr. Mazaika at 5:00 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the Taskforce. He expressed his appreciation for the time and effort that each member put into the process of the past three months. He welcomed those joining via phone. He turned the meeting over to Attorney Anthony and Michael Hammond to review the progress made on the LOI.

III. Review Approve LOI

Michael Hammond explained there was much progress made on the terms and conditions in concern by the Taskforce from the previous meeting. Atty. Anthony and Mr. Wable explained the multiple conversations with HMA through the weekend and up until the meeting to ensure that every deal point was thoroughly discussed and there was agreement. Atty. Anthony reviewed the terms of the LOI and extensive discussion ensued. Atty. Maguire reviewed and tax matters associated with the LOI and a discussion ensued. Mr. Mazaika explained that he participated on one call with [redacted] on Monday and that the LOI was in final form noting that negotiations will continue with [redacted] over the next month toward definitive agreements.

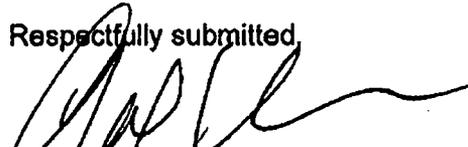
Action: Motion made, seconded, and carried to approve the revised LOI as presented.

IV. Discuss Board Presentation, November 4, 2010

IV. Adjournment

There being no further business for discussion, the meeting adjourned at 6:40pm.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
December 3, 2010**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

James Smith
Joseph Mengacci, Esq.

Guests Present

Michael Hammond (via phone)
Tim Maguire (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Taskforce was called to order by Mr. Mazaika at 7:00 a.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members.

III. Strategic Partner Task Force Meeting Minutes

The October 14, 2010, October 28, 2010, and November 2, 2010 meeting minutes were presented for review and approval. A discussion ensued regarding appropriate acknowledgment of all the actions of the Taskforce being reflected in the minutes. After review, Mr. Wable agreed to make the necessary changes. Mr. Mazaika asked for approval with all changes discussed.

Action: Motion made, seconded, and carried to approve all the minutes with the changes discussed.

IV. Progress Update and Timeline

Transaction documents presented to the SMHS team on November 30, 2010 and December 1, 2010. Attorney Anthony is working with his team of legal advisors along with Shattuck Hammond advisors and Mr. Wable to review the documents. There were 3 long sessions to review Agreements. Mr. Mazaika, Mr. Carlson, Garrett Casey and Dr. Sugar participated with Mr. Wable and the Advisors in a comprehensive review of documents.

V. Summary of Documents

VI. Pension Plan Options

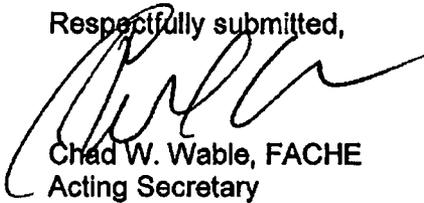
Action: Motion made seconded and carried to approve the Taskforce's preferred approach to fully funding and managing the pension plan including converting the plan to an ERISA plan with no Church plan status and no affiliation with the "Church" and to house it in a SMHS company that would ensure it was fully funded and policies were in place to ensure appropriate investment management, overall plan management and governance.

VII. Government and Public Relations Update

VIII. Adjournment

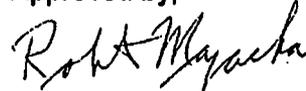
There being no further business for discussion, the meeting adjourned at 9:00a.m

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazalka
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
December 22, 2010
Via Conference Call**

Present

Robert Mazaika (via phone)
Robert J. Anthony, Esq.
Garrett Casey (via phone)
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq. (via phone)
James Smith (via phone)
Jerry Sugar, M.D. (via phone)
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Task Force was called to order by Mr. Mazaika at 5:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the Taskforce. He turned the meeting over to Chad Wable to provide an update on the proposal from HMA and any progress to date.

III. Proposal

Chad provided a summary of the proposal from _____ related to capital spending and purchase price. _____ Thursday evening. Michael Hammond reviewed various outstanding issues in the pending _____

Action: Mr. Mazaika made a motion to get consent from _____ to terminate the LOI and to keep all necessary parts of the LOI such as confidentiality in force. The motion was seconded and unanimously approved.

IV. Next Steps

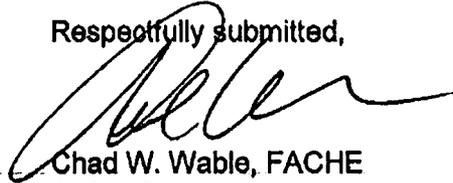
Dr. Sugar then requested a review of our next steps. Michael Hammond provided a review of next steps including a call to _____ and _____ to get the necessary consents for termination of the LOI, a subsequent discussion with _____ and _____ to name interest and get a process in place for them to reconfirm their bids.

_____ Mr. Wable committed to moving the process forward expeditiously.

IV. Adjournment

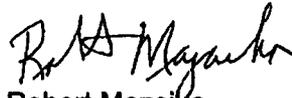
There being no further business for discussion, Mr. Mazaika adjourned the meeting at 6:05pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman



**Strategic Partner Task Force
Meeting Minutes
January 12, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey (via phone)
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith (via phone)
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Michael Hammond
Elaine Yao

Guests Present

Amanda Verner (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 5:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III. Strategic Partner Task Force Minutes

The December 3, 2010 meeting minutes were presented for review and approval. Mr. Mazaika asked for approval of the minutes with the change of Attorney Mengacci as being excused.

Action: Motion made, seconded, and carried to approve the minutes to reflect the change noted above.

IV. Review of Letter of Intent

- The Task Force was provided a red-lined LOI dated January 10th and a final LOI signed by LHP dated January 11th.
- The Task Force reviewed the LHP LOI in detail.
- Questions as to the transaction funds flow, capital contribution, put options/liquidity path, termination, ownership and various other terms and conditions were discussed. There was an extensive discussion regarding termination and the language in the LOI to motivate parties to move expeditiously through the process.

Action: The Task Force unanimously approved the LOI with the addition of language pertaining to both parties making reasonable efforts to complete the Definitive Agreements in 60 days.

V. Progress Update and Next Steps

Mr. Wable provided a general overview of the forthcoming due diligence process, timeline and next steps. A discussion ensued.

VI. Government Relations & Public Relations Update

Mr. Wable summarized a recent discussion he had with LHP regarding Government Relations and Public Relations. LHP has certain needs in due diligence that have implication on the Government Relations and Public Relations process. The Task Force prefers not to communicate publicly until the definitive agreements are finalized and approved by our Board. A discussion ensued.

VII. Adjournment

There being no further business for discussion, the meeting adjourned at 8:30 p.m.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman



**Strategic Partner Task Force
Meeting Minutes
January 27, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)
Tim Maguire (via phone)
Jim Smith (via phone)
Jerome Sugar, M.D. (via phone)
Amanda Verner (via phone)
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Taskforce was called to order by Mr. Mazaika at 5:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III. Strategic Partner Task Force Meeting Minutes

The January 12, 2011 meeting minutes were presented for review and approval. Mr. Mazaika asked for approval of the minutes.

Action: Motion made, seconded, and carried to approve the minutes to reflect the change noted above.

IV. Review Contribution Agreement

Mr. Wable presented a redlined Contribution Agreement that included changes based on previous discussions and intentions related to a transaction. An extensive review of the contribution agreement and open items/issues ensued.

V. Progress Update and Next Steps

Mr. Wable provided a general overview of the forthcoming due diligence process, timeline and next steps. A discussion ensued.

Strategic Partner Task Force Meetings: Week of January 31st and Week of February 7th

A discussion was held regarding scheduling the next Strategic Partner Task Force Meetings for the week of January 31st and February 7th. It was agreed to schedule a meeting on February 3rd at 7:30 a.m.

Meeting with Archbishop Mansell and Advisors (Briefing Session) – Week of February 21st

A discussion ensued regarding scheduling a meeting with Archbishop Mansell and his Advisors to brief him on the next steps.

- **Board Meeting – March 3, 2011 (Thursday) at 7:00 a.m. (in addition to March 10, 2011)**
A discussion was held regarding scheduling an Executive Session of the Board to present the LHP proposal.

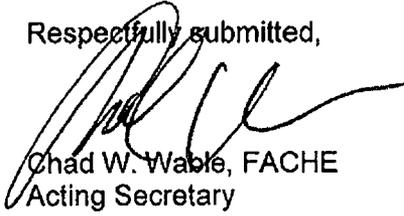
VI. Government Relations & Public Relations Update

Mr. Wable provided an update regarding government relations & public relations update. An extensive discussion ensued.

VII. Adjournment

There being no further business for discussion, the meeting adjourned at 7:30 p.m.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
February 3, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Garrett Casey
Joseph Mengacci, Esq.

Guests Present

Michael Hammond (via phone)
Tim Maguire (via phone)
Amanda Verner (via phone)
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Strategic Partner Taskforce was called to order by Mr. Mazaika at 4:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III. Review Definitive Agreements

Mr. Wable presented the redlined drafts of the LLC Agreement and Management Agreement incorporating preliminary comments for review. The Task Force reviewed the agreements line by line. There was extensive discussion regarding modifications, suggested changes, concerns, etc.

IV. Discuss Due Diligence Process & Issues

Mr. Wable provided an update regarding government relations & public relations update. An extensive discussion ensued.

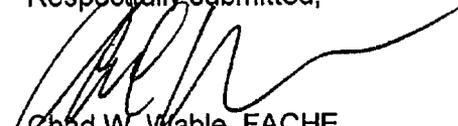
V. Next Steps

A discussion ensued regarding next steps to complete agreements.

VI. Adjournment

There being no further business for discussion, the meeting adjourned at 7:00 p.m.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
February 15, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson (via phone)
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 12:00 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III. Issues List

Mr. Wable presented the attached Issues List that was developed by Attorney Anthony and Attorney Hurley. An extensive review and discussion was held regarding the redlined Contribution and Sale Agreement, Limited Liability Company Agreement and Management Agreement.

IV. Adjournment

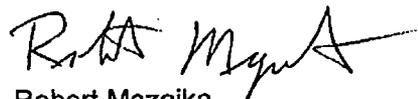
There being no further business for discussion, the meeting adjourned at 2:00 pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
February 28, 2011
Via Conference Call**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond
Tim Maguire
Amanda Verner
Elaine Yao

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 5:00 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members.

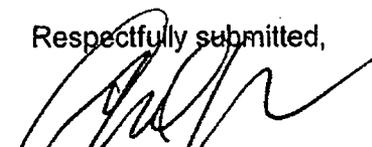
III. Review LHP's Changes/Recommendations to the Definitive Agreements

Mr. Wable presented LHP's attached changes and recommendations to the Contribution Agreement, LLC Agreement, and Management Agreement for review. An extensive discussion ensued.

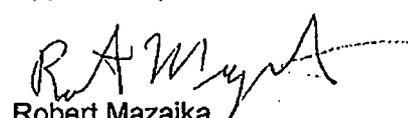
IV. Adjournment

There being no further business for discussion, the meeting adjourned at 6:00 p.m.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
March 8, 2011
Via Conference Call**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

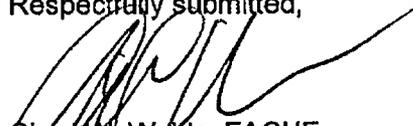
Excused

Guests Present

Michael Hammond
Tim Maguire
Amanda Verner
Elaine Yao

- I. **Call to Order**
Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 7:00 a.m.
- II. **Welcome/Introduction**
Mr. Mazaika welcomed the members.
- III. **Continue Review LHP's Changes/Recommendations to the Definitive Agreements**
Mr. Wable presented LHP's attached changes and recommendations to the Contribution Agreement, LLC Agreement, and Management Agreement for review. An extensive discussion ensued.
- IV. **Adjournment**
There being no further business for discussion, the meeting adjourned at 8:00 a.m.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**Strategic Partner Task Force
Meeting Minutes
March 11, 2011
Via Conference Call**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board Affiliation Taskforce was called to order by Mr. Mazaika at 4:00 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members.

III. Put/Call Option

Mr. Wable discussed the Put/Call Option. Michael Hammond added comments regarding discussion with Dan Moen and Jim Shannon. An extensive discussion ensued.

IV. Adjournment

There being no further business for discussion, the meeting adjourned at 5:00 p.m.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
Strategic Partner Task Force Chairman

**JV Taskforce
Meeting Minutes
April 13, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengaccl, Esq.
Jim Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Dan Moen – LHP
Jim Shannon – LHP
Brady Sturgeon – LHP

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board JV Taskforce was called to order by Mr. Mazaika at 9:30 a.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members.

III. Confidential Information Memorandum ("CIM")

IV. Other Business

A JV Taskforce meeting was scheduled for April 27, 2011 at 7:00 am to discuss next steps.

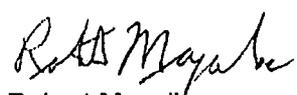
V. Adjournment

There being no further business for discussion, the meeting adjourned at 10:00 am.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
JV Taskforce Chairman

**JV Taskforce
Meeting Minutes
April 27, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

James Smith

Guests Present

Michael Hammond (via phone)
Elaine Yao (via phone)
Dan Moen – LHP
Jim Shannon – LHP

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board JV Taskforce was called to order by Mr. Mazaika at 7:00 a.m.

II. Welcome/Introduction

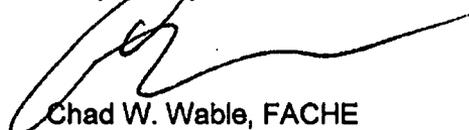
Mr. Mazaika welcomed the members who were on the phone and present.

II. Proposal for

IV. Adjournment

There being no further business for discussion, the meeting adjourned at 10:00 am.

Respectfully submitted,


Chad W. Wable, FACHE
Acting Secretary

Approved by,


Robert Mazaika
JV Taskforce Chairman

**JV Taskforce
Meeting Minutes
May 19, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey (via phone)
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Chad W. Wable, FACHE

Excused

Jerry Sugar, M.D.

Guests Present

Michael Hammond (via phone)
Amanda Verner (via phone)
Elaine Yao (via phone)

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board JV Taskforce was called to order by Mr. Mazaika at 1:30 p.m.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III. JV Taskforce Meeting Minutes

The minutes of the April 13, 2011 and April 27, 2011 JV Taskforce meetings were presented for review and approval.

Action: Motion made, seconded, and carried to approve the minutes of the April 13, 2011 and April 13, 2011 and April 27, 2011 JV Taskforce meetings as presented.

IV. Proposal Update

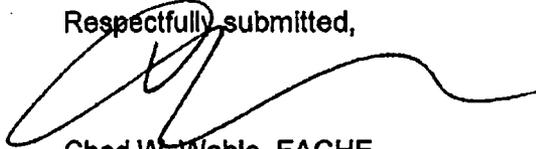
V. Other Business

A JV Taskforce meeting was scheduled for May 24, 2011, Tuesday, at 12:00 noon. Dan Moen and Jim Shannon to participate in person.

VI. Adjournment

There being no further business for discussion, the meeting adjourned at 3:00 pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,

Robert Mazaika
JV Taskforce Chairman



**JV Task Force
Meeting Minutes
May 24, 2011**

Present

Robert Mazaika
Robert J. Anthony, Esq.
Garrett Casey
Joseph Carlson
Stephen R. Griffin, Esq.
Joseph Mengacci, Esq.
James Smith
Jerry Sugar, M.D.
Chad W. Wable, FACHE

Excused

Guests Present

Michael Hammond (via phone)
Amanda Verner (via phone)
Elaine Yao (via phone)
Dan Moen – LHP
Jim Shannon – LHP

I. Call to Order

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc., Board JV Taskforce was called to order by Mr. Mazaika at 12:00 noon.

II. Welcome/Introduction

Mr. Mazaika welcomed the members who were on the phone and present.

III.

IV. Discuss Meeting with Archbishop Mansell and Advisors

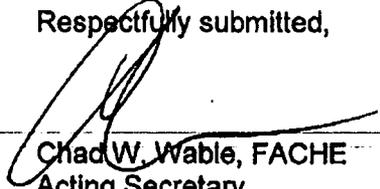
V. Other Business

A JV Taskforce meeting was scheduled for June 3, 2011, Friday, at 8:00 am. Dan Moen and Jim Shannon to participate via phone.

VI. Adjournment

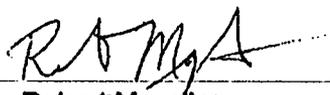
There being no further business for discussion, the meeting adjourned at 2:00 pm.

Respectfully submitted,



Chad W. Wable, FACHE
Acting Secretary

Approved by,



Robert Mazaika
JV Taskforce Chairman

EXHIBIT 10

Morgan Keegan's
Initial Indications of Interest Presentation
to the Board of Directors
dated August 19, 2010

Proprietary Information

Portions of this Exhibit are redacted based on the Trade Secret exception to the Freedom of Information Act, Conn. Gen. Stat. § 1-210(b)(5). The companies that provided information during the selection process conducted by Morgan Keegan on behalf of Saint Mary's included Confidentiality Agreements in which Saint Mary's and the companies involved agreed not to disclose any of the proprietary information that was supplied. The Confidentiality Agreements were required because the companies that are in the business of partnering with existing hospitals are in a competitive market, and knowing the details of what each of the companies offered, and their strategy to be selected, would make it impossible for the companies to conduct business with other hospitals without the information influencing the process and damaging their businesses. Also, the companies revealed information about their own financial strengths that a non-public, non quasi-public company is not required to do in a public filing.

The information in this Exhibit is being provided with sections redacted (rather than not being provided at all) because the Applicants believe that even with specific details removed, the Exhibit demonstrates that Saint Mary's went through a thorough and diligent process to select LHP as its partner and that the value of the Exhibit outweighs the fact that it is redacted.

If requested by the State, the Applicants will submit the un-redacted Exhibit provided the State makes a ruling that the Exhibit will be protected by the Freedom of Information laws or attorney-client privilege and will not be provided to any person or entity outside of the decision-makers (i.e. hearing officers, any necessary staff and the final decision maker) in each State agency and will keep the documents confidential.

Morgan Keegan

Shattuck Hammond
Healthcare Investment Banking



Summary of Initial Indications of Interest

August 19, 2010

Table of Contents

1. Status Update and Overview of Potential Partners
 2. Summary of Proposals
 3. Economic Analysis of Proposals
 4. Transaction Structure Comparison
 5. Next Steps
- Appendices
- A. Potential Partner Profiles
 - B. Net Working Capital Calculation

Status Update and Overview of Potential Partners

Status Update

- Saint Mary's Hospital ("Saint Mary's" or "SMH"), along with the guidance of its Affiliation Taskforce, decided to pursue strategic affiliations in the market.
- During July 2010, Shattuck Hammond Partners ("Shattuck Hammond") contacted nineteen potential strategic partners:
 - Sixteen parties signed Confidentiality Agreements and received the Confidential Information Memorandum.
 - Of the sixteen, six interested parties provided initial Indications of Interest.
 - Five interested parties submitted Asset Purchase or Joint Venture proposals:
 -
 -
 -
 -
 -
 - One interested party submitted a services agreement proposal:
 -
- The initial Indications of Interests provided an Implied Enterprise Value range of \$70.5 – \$190.4 million.
- All Asset Purchase or Joint Venture proposals received to date, in conjunction with the cash on the balance sheet, are sufficient to satisfy all liabilities.

Overview of Potential Partners

For-Profit

Headquarters

Facilities

Regional Presence

None

Background

Financial Overview

REDACTED

PROTECTED CONFIDENTIAL MATERIAL

Public/Private

Private

Public: CYH

Public: HMA

Private

Private

Summary of Proposals

Summary of Proposals

Asset Purchase Proposals

JV Proposal

Structure

Purchase Price for Assets

Remarks

REDACTED

PROTECTED CONFIDENTIAL MATERIAL

Capital Expenditure Commitment

Summary of Proposals (cont'd)

Asset Purchase Proposals

JV Proposal

Advisory Board

REDACTED

PROTECTED CONFIDENTIAL
MATERIAL

Summary of Proposals (cont'd)

Asset Purchase Proposals

JV Proposal

Plans Regarding
Services Provided

REDACTED

Catholic Experience

**PROTECTED CONFIDENTIAL
MATERIAL**

Summary of Proposals (cont'd)

Asset Purchase Proposals

JV Proposal

Current Management/ Employees

REDACTED

PROTECTED CONFIDENTIAL MATERIAL

Financing or Other Contingencies

PROTECTED CONFIDENTIAL MATERIAL



Due Diligence

Summary of Proposals (cont'd)

Asset Purchase Proposals

JV Proposal

Approvals

Timing

REDACTED

PROTECTED CONFIDENTIAL
MATERIAL

All Proposals: Included and Excluded Entities

Included Entities

- Saint Mary's Hospital
- Franklin Medical Group, P.C.
- Scovill Medical Group, P.C.
- Primary Care Partners
- 86% interest in Naugatuck Valley Surgical Center

- 60% interest in Diagnostic Imaging of Southbury
- 50% interest in Harold Leever Regional Cancer Center
- 48% interest in Naugatuck Valley MRI

Excluded Entities

- Saint Mary's Hospital Foundation
- Saint Mary's Indemnity Company

10 | Saint Mary's Health System

Morgan Keegan

Shattuck Hammond
Healthcare Investment Banking

Economic Analysis of Proposals

Analysis of Enterprise Value of Proposals

Summary Analysis of Enterprise Value of Proposals (\$ millions)

Asset Purchase Proposals

JV Proposal

Consideration at Closing

Consideration for Assets

Payment for Working Capital Taken

Value of Working Capital Left Behind

Value Addition/(Reduction)

Value for Assets

Non-Routine CapEx Commitments⁽¹⁾

PV of CapEx Commitments⁽²⁾

Implied Enterprise Value

LTM EBITDA (6/30/10)

LTM EBITDA Multiple

LTM Revenue (6/30/10)

LTM Revenue Multiple

REDACTED

**PROTECTED CONFIDENTIAL
MATERIAL**

Value of Retained Assets (minus Liabilities)

Value of Retained Assets (minus Liabilities, \$ millions)

Asset Purchase Proposals

JV Proposal

Excluded Assets (As of 06/30/10)

Cash and Marketable Securities
Assets Limited as to Use
All Other Excluded Assets
Less: Debt
Less: Acc. Comp & Pension
Less: Other Liabilities

Total Retained Assets (minus Liabilities)

REDACTED

**PROTECTED CONFIDENTIAL
MATERIAL**

Profile of Foundation after Closing

Saint Mary's Hospital Foundation: Post Closing Profile (\$ millions)

Asset Purchase Proposals

JV Proposal

REDACTED

Cash Proceeds⁽¹⁾
Retained Assets (net of Liabilities)
Shareholding in JV⁽²⁾

Cash & Net Assets in Foundation

PROTECTED CONFIDENTIAL
MATERIAL

Transaction Structure Comparison

Transaction Structure Comparison

Based on the preliminary valuations, Saint Mary's faces a trade-off when deciding how best to allocate the equity it has created: The Asset Purchase gives SMHF less control but greater flexibility on how to deploy the excess proceeds, while the Joint Venture option allows greater continued control with less equity going to the Foundation.

Asset Sale/Foundation

Benefits

- Recapitalizes the Balance Sheet
- Maximum proceeds available for other uses by the Foundation
- Maintain high level of involvement without fiduciary obligations

Considerations

- Advisory position only
- Most likely fewer reserve powers

Joint Venture

Benefits

- Recapitalizes the Balance Sheet
- Involved in determining operating and capital budgets
- Greater influence in setting strategic direction
- Most likely greater reserve powers

Considerations

- Requires higher degree of Board commitment
- Excess proceeds used to acquire ownership interest rather than being deployed for alternate uses by the Foundation
- Proportional risks along with ownership interest
- Subject to capital calls or dilution: If under 20% equity ownership, typical for partner to have greater control

Transaction Structure: Board Responsibilities

The main difference in governance between the Asset Purchases and Joint Venture model is the fiduciary responsibilities of the Board.

Asset Sale/Foundation Model

- **Fiduciary Obligation:** Non-fiduciary local Board
- **Representation:** Exact size and make up to be determined, but will include medical staff and community leaders, typically 10+
- **Saint Mary's Reserve Powers:** Few, if any
- **Strategic Planning:** Mission, vision, community services
 - Generally not service-line oversight
- **Right of First Refusal:** Potential to be negotiated

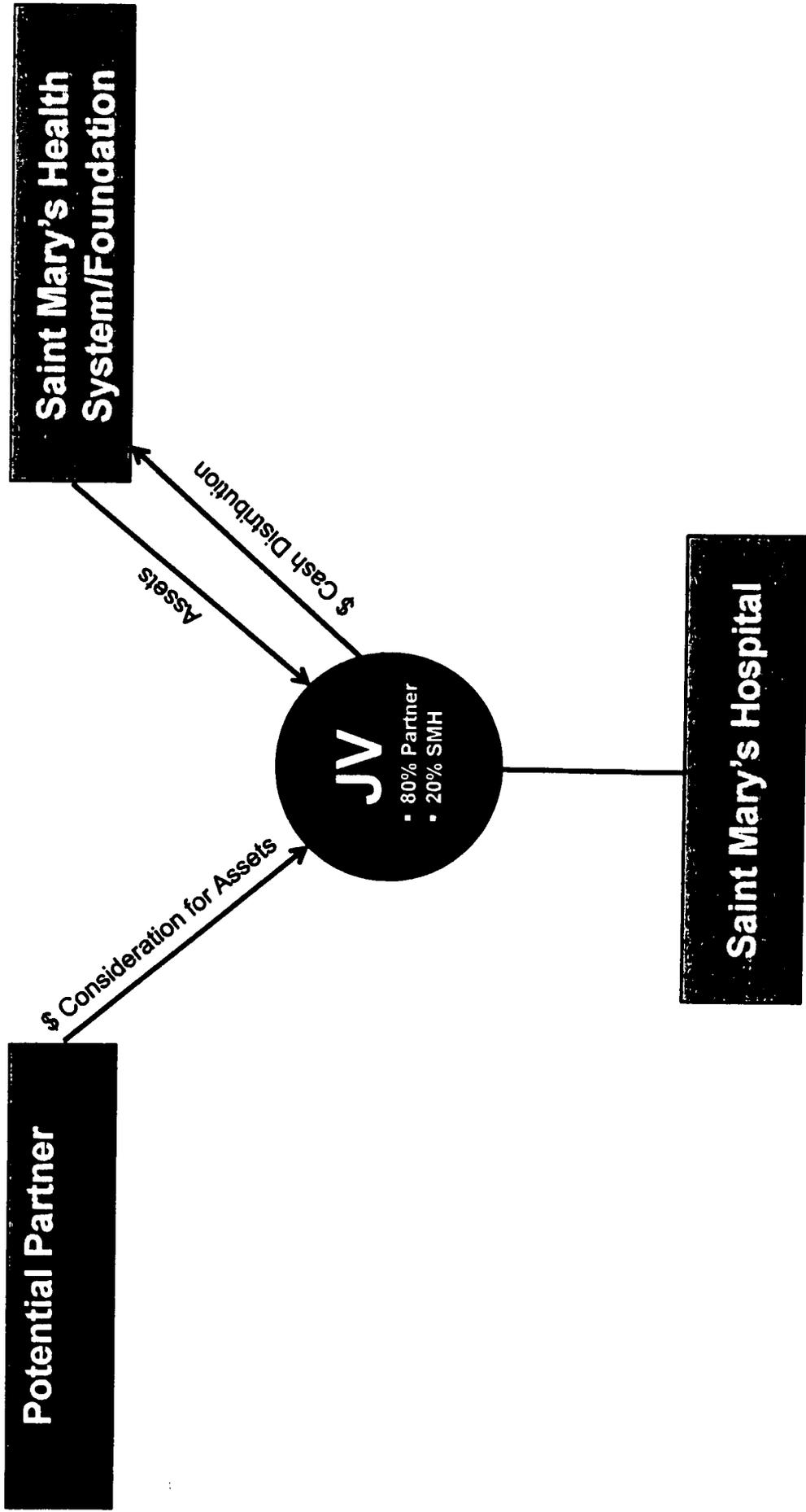
Joint Venture

- **Fiduciary Obligation:** Fiduciary Board⁽¹⁾ at the JV level with operational and capital budgeting responsibilities
 - Saint Mary's shares proportionally in the risk
- **Representation:** Board is split 50/50 between the investor owned partner and Saint Mary's
 - If under 20%, typical for partner to have greater control
- **Saint Mary's Reserve Powers:**
 - Right to terminate hospital CEO
 - Right of first refusal in sale of hospital
 - Right to name the Chair of the Board
- **Strategic Planning:** Full strategic oversight and full participation in service line oversight

(1) Joint Venture also will have a non-fiduciary local Board appointed by the JV Board of Directors.

Joint Venture Structure

Flows at Closing



Next Steps

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Next Steps

- Invite participants to continue to second round
 - Schedule site tours and management presentations (weeks of August 30th and September 6th)
- Setup electronic data room (week of August 30th)
- Update Archbishop and advisors
- Send out Definitive Agreements to participants
- Revised proposals and mark-up of Definitive Agreements due back week of September 27th

Appendix A: Potential Partner Profiles

Potential Partner:

Business Overview

Recent M&A Activity

REDACTED

Governance and Management

Investors

Name Title

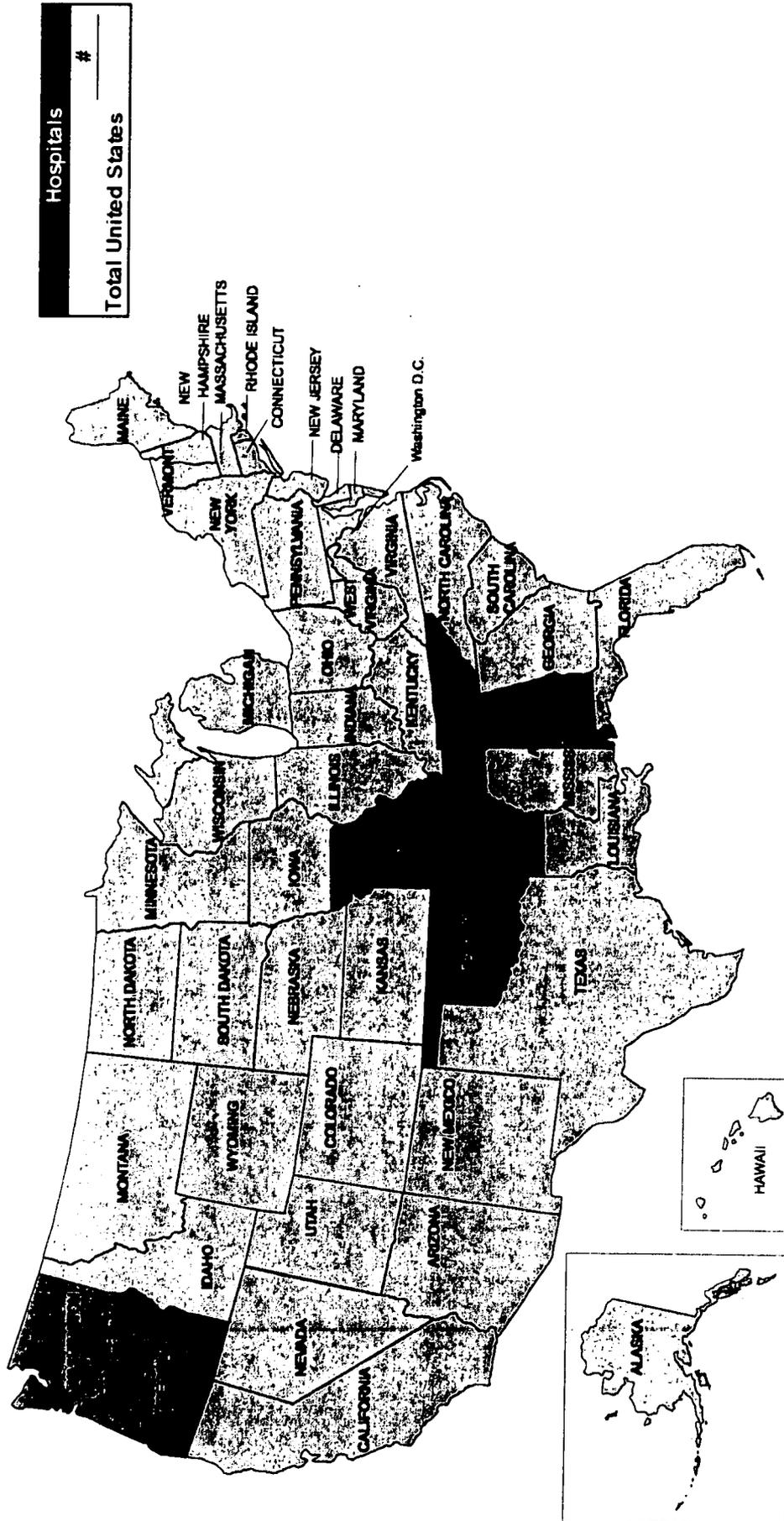
REDACTED

Source: Capital IQ

22 | Saint Mary's Health System

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Footprint



Blue shaded states indicate Saint Mary's Health System

23 | Saint Mary's Health System

Morgan Keegan
 Shattuck Hammond
 Healthcare Investment Banking

Potential Partner:

Recent M&A Activity

Business Overview

REDACTED

Governance and Management

Name	Title
------	-------

REDACTED

Source: Capital IQ

24 | Saint Mary's Health System

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Potential Partner:

Business Overview

Recent M&A Activity

REDACTED

One Year Relative Stock Performance

Governance and Management

Name	Title

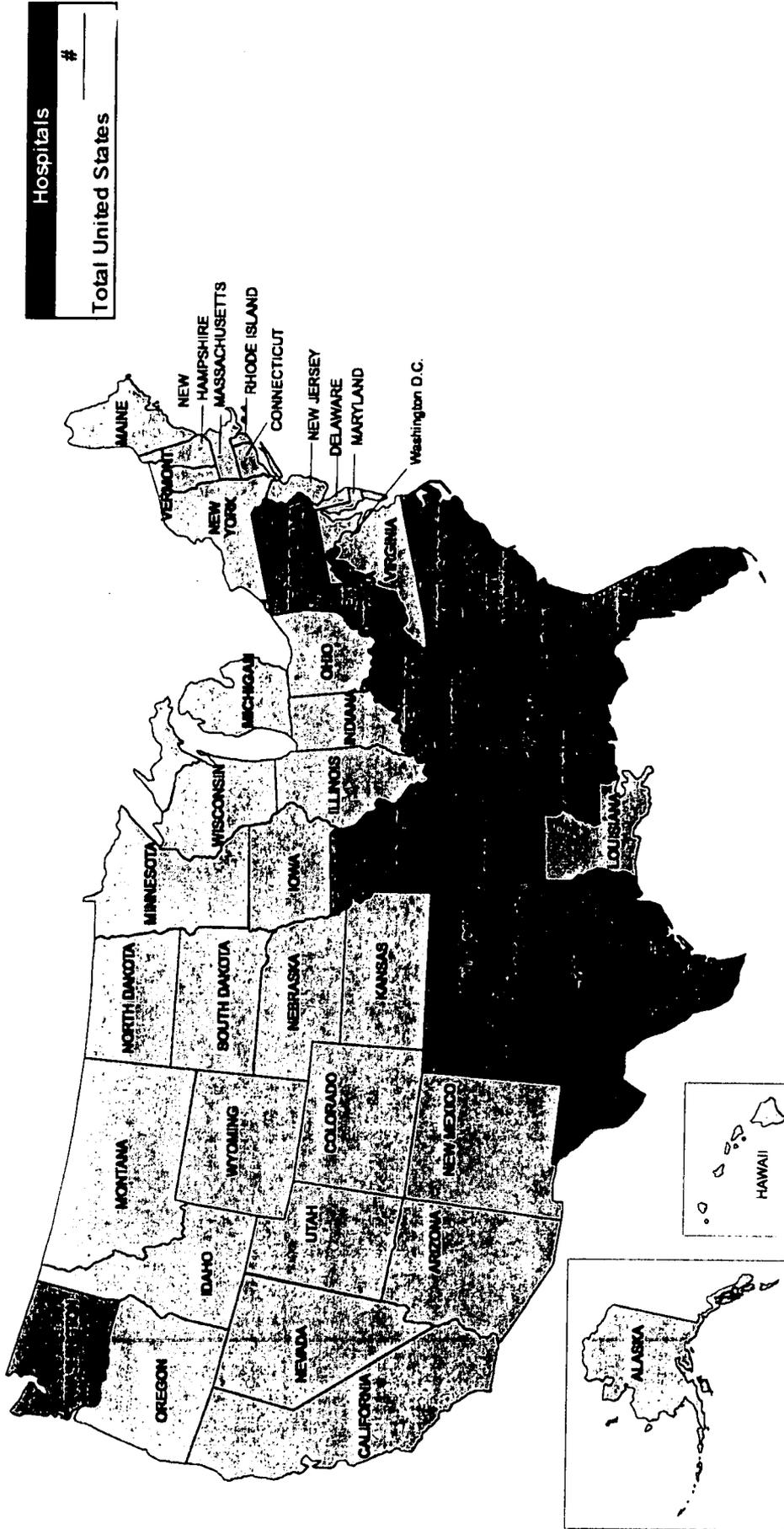
REDACTED

Source: Capital IQ

26 | Saint Mary's Health System

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Footprint



Blue shaded states indicate presence.

27 | Saint Mary's Health System

Morgan Keegan

Shattuck Hammond
Healthcare Investment Banking

Potential Partner:

Business Overview

Recent M&A Activity

REDACTED

Address:

Phone:

Website:

Investors

Governance and Management

Name

Title

REDACTED

Source: Capital IQ

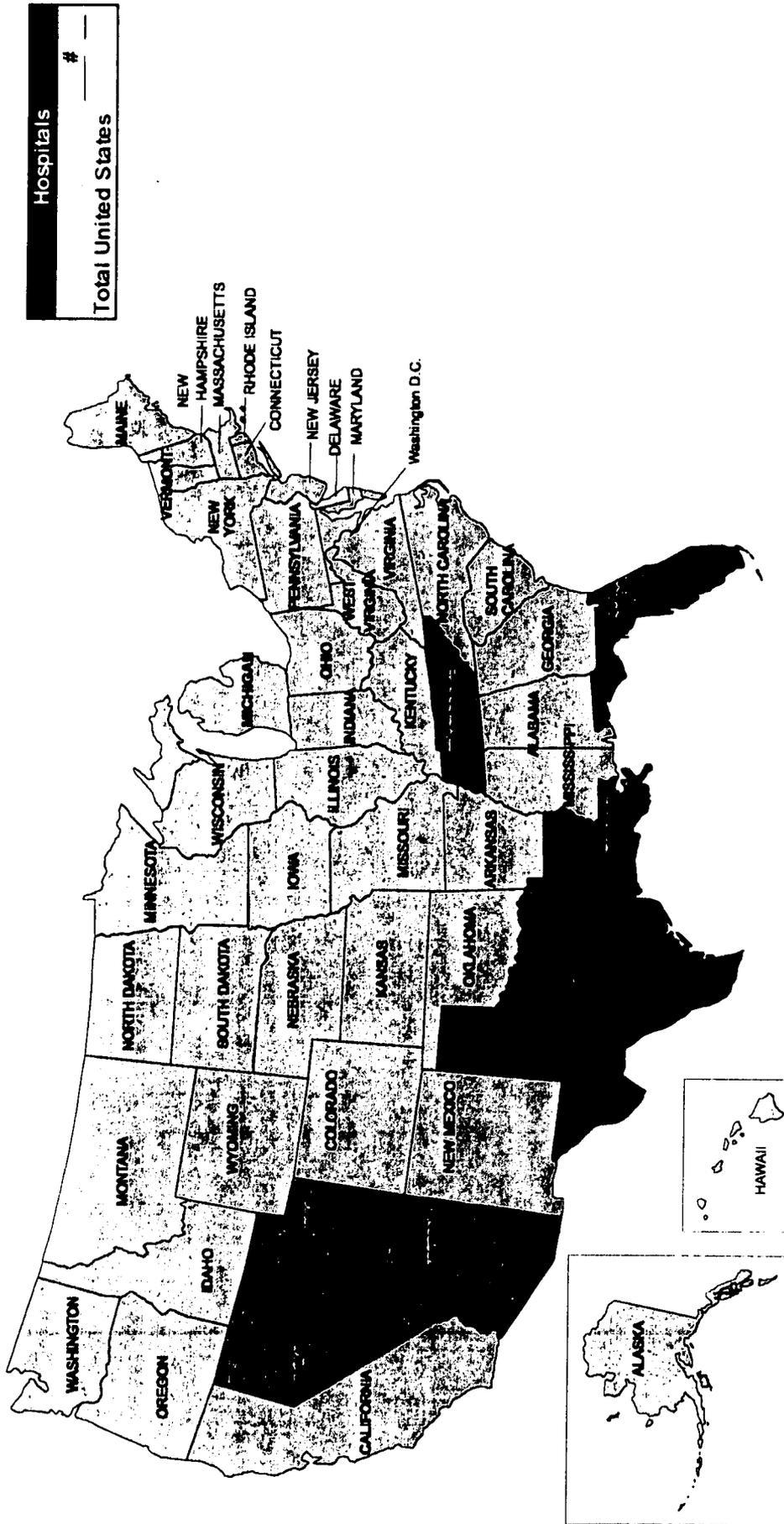
28 | Saint Mary's Health System

Morgan Keegan

Shattuck Hammond

Healthcare Investment Banking

Footprint



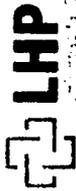
Blue shaded states indicate presence.

29 | Saint Mary's Health System

Morgan Keegan
 Shattuck Hammond
 Healthcare Investment Banking

Potential Partner: LHP Hospital Group

Located in Plano, TX



Business Overview

LHP Hospital Group, Inc. is a privately held company established to provide capital and expertise to not-for-profit hospitals and hospital systems with which it forms joint ventures to own, operate and manage acute care hospitals. Headquartered in Plano, Texas, LHP is owned by affiliates of private equity firm CCMP Capital Advisors, LLC, and the CPP Investment Board, as well as members of management.

- Address: 2800 North Dallas Parkway, Suite 200
Plano, TX 75093
- Phone: (972) 943-1702
- Website: www.lhphospitalgroup.com

Recent M&A Activity

C

REDACTED

Governance and Management

Name	Title
Daniel J. Moen	President, Director and CEO
Jim Shannon	EVP Development
Bill Hibbit	CFO and EVP
Thomas Frazier	EVP Administration

Investors

REDACTED

Source: Capital IQ and Company Website

30 | Saint Mary's Health System

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Potential Service Agreement Partner:

Business Overview

■

Recent M&A Activity

REDACTED

■

Governance and Management

Name

Title

REDACTED

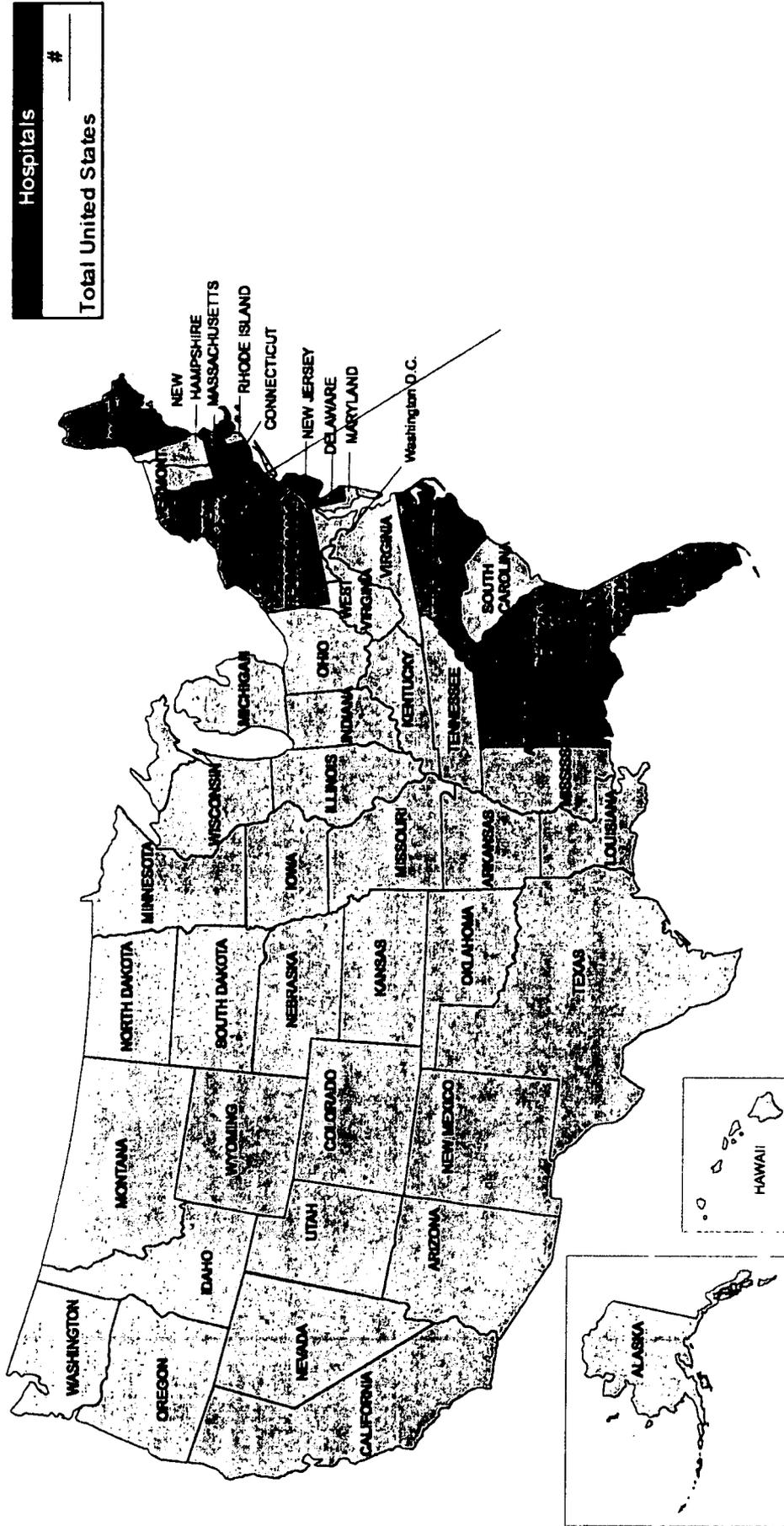
Investors

Source: Capital IQ and Company Website

31 | Saint Mary's Health System

Morgan Keegan
Shattuck Hammond
Healthcare Investment Banking

Footprint



Blue shaded states indicate presence.

Appendix B: Net Working Capital Calculation

Net Working Capital Calculation

Net Working Capital as of June 30, 2010 (\$ thousands)⁽¹⁾

Current Post Closing Net Working Capital

Working Capital Assumed by Partner

Accounts Receivable (net)
 Other Current Assets
 less: Accounts Payable
 less: Accrued Payroll Expenses
 less: Third Party Settlements
 less: Accrued Other Expenses

Net Working Capital

REDACTED

Retained by Saint Mary's Hospital Foundation

Working Capital Retained by SMHF

Accounts Receivable (net)
 Other Current Assets
 less: Accounts Payable
 less: Accrued Payroll Expenses
 less: Third Party Settlements
 less: Accrued Other Expenses

Net Working Capital

PROTECTED CONFIDENTIAL
 MATERIAL

Morgan Keegan

Morgan Keegan & Company, Inc.

Members FINRA, SIPC

Not FDIC Insured | May Lose Value | No Bank Guarantee
Not a Deposit | Not Insured by Any Government Agency

EXHIBIT 11

Summary of Revised Final
Indications of Interest
dated October 19, 2010

Proprietary Information

Portions of this Exhibit are redacted based on the Trade Secret exception to the Freedom of Information Act, Conn. Gen. Stat. § 1-210(b)(5). The companies that provided information during the selection process conducted by Morgan Keegan on behalf of Saint Mary's included Confidentiality Agreements in which Saint Mary's and the companies involved agreed not to disclose any of the proprietary information that was supplied. The Confidentiality Agreements were required because the companies that are in the business of partnering with existing hospitals are in a competitive market, and knowing the details of what each of the companies offered, and their strategy to be selected, would make it impossible for the companies to conduct business with other hospitals without the information influencing the process and damaging their businesses. Also, the companies revealed information about their own financial strengths that a non-public, non quasi-public company is not required to do in a public filing.

The information in this Exhibit is being provided with sections redacted (rather than not being provided at all) because the Applicants believe that even with specific details removed, the Exhibit demonstrates that Saint Mary's went through a thorough and diligent process to select LHP as its partner and that the value of the Exhibit outweighs the fact that it is redacted.

If requested by the State, the Applicants will submit the un-redacted Exhibit provided the State makes a ruling that the Exhibit will be protected by the Freedom of Information laws or attorney-client privilege and will not be provided to any person or entity outside of the decision-makers (i.e. hearing officers, any necessary staff and the final decision maker) in each State agency and will keep the documents confidential.

Morgan Keegan

Shattuck Hammond
Healthcare Investment Banking



Summary of Revised Final Indications of Interest

October 19, 2010

Table of Contents

1. Status Update and Overview of Potential Partners
2. Economic Analysis of Proposals
3. Discussion of Key Qualitative Aspects of Proposals
4. Next Steps

Appendix

- A. Final Indications of Interest
 -
 -
 -
 -

Status Update and Overview of Potential Partners

Status Update

- Saint Mary's Hospital ("Saint Mary's" or "SMH"), along with the guidance of its Affiliation Taskforce, has embarked on a process to affiliate with a strategic partner.
- During July 2010, Shattuck Hammond Partners ("Shattuck Hammond") contacted nineteen potential strategic partners:
 - Sixteen parties signed Confidentiality Agreements and received the Confidential Information Memorandum.
 - Of the sixteen, six interested parties provided initial Indications of Interest.
 - Five interested parties submitted Asset Purchase or Joint Venture proposals
 - One interested party submitted a services agreement proposal,
 - subsequently removed itself from the process.
 - The initial Indications of Interests provided an Implied Enterprise Value range of \$70.5 – \$190.4 million.
 - During August and September 2010, Saint Mary's had Management Meetings with Hammond and Saint Mary's also participated in site visits at three locations:
 -
 -
 -
 -
- In October 2010, the remaining four parties were asked to submit best and final offers:
 - The initial Indications of Interests provided an Implied Enterprise Value range of \$125.9 – \$196.0 million.
 - All Asset Purchase or Joint Venture proposals received to date, in conjunction with the cash on the balance sheet, are sufficient to satisfy all liabilities.

Overview of Potential Partners

For-Profit

Headquarters

Facilities

Regional Presence

Background

Financial Overview

Public/Private

(1) The number of licensed beds does not reflect the recent transactions with

4 | Saint Mary's Health System

Morgan Keegan

Shattuck Hammond
Healthcare Investment Banking

Economic Analysis of Proposals

Analysis of Enterprise Value of Proposals

Summary Analysis of Enterprise Value of Proposals (\$ millions)

Asset Purchase or Joint Venture Proposals	JV Proposal
---	-------------

Consideration at Closing

Consideration for Assets
 Payment for Working Capital Taken⁽¹⁾
 Value of Working Capital Left Behind
 Value Addition/(Reduction)

Value for Assets

CapEx Commitments⁽²⁾
 PV of CapEx Commitments⁽³⁾

Implied Enterprise Value

LTM EBITDA (8/31/10)
LTM EBITDA Multiple
 LTM Revenue (8/31/10)
LTM Revenue Multiple

Value of Retained Assets (minus Liabilities)

Value of Retained Assets (minus Liabilities, \$ millions)

Asset Purchase or Joint Venture Proposals

JV Proposal

Retained Assets (As of 08/31/10)

Cash and Marketable Securities
Assets Limited as to Use⁽¹⁾
Deferred Financing Costs
Less: Debt
Less: Acc. Comp. & Benefits
Less: Self-Insurance & Other
Less: Minimum Pension Liabilities
Net Retained Assets (minus Liabilities)

Calculation of Proceeds to Foundation from Transaction

Proceeds to Foundation from Transaction (\$ millions)

Asset Purchase or Joint Venture Proposals

JV Proposal

Asset Purchase Proposal

Cash Proceeds

Plus: Working Capital

Net Cash Proceeds

Joint Venture Proposal

Cash Proceeds for Assets

Cash for Working Capital

Payment for Assets

Payment for Working Capital

Net Cash Proceeds

Foundation Commitment for Future CapEx

Profile of Foundation after Closing

Saint Mary's Hospital Foundation: Post Closing Profile (\$ millions)

Asset Purchase or Joint Venture Proposals

JV Proposal

Asset Purchase Proposal

Cash Proceeds
Plus: Working Capital
Less: Retained Assets (net of Liabilities)
Net Cash in Foundation

Joint Venture Proposal

Cash Proceeds⁽¹⁾
Plus: Working Capital⁽²⁾
Less: Retained Assets (net of Liabilities)
Net Cash in Foundation
Plus: Shareholding in JV⁽³⁾
Net Cash & Net Assets in Foundation
Foundation Commitment for Future CapEx

Discussion of Key Qualitative Aspects of Proposals

Key Qualitative Appeals of Proposals

Asset Purchase or Joint Venture Proposals

JV Proposal

Advisory Board

Key Qualitative Appeals of Proposals (cont'd)

Asset Purchase or Joint Venture Proposals

JV Proposal

Management Fee if JV

Infrastructure

Next Steps

Next Steps

- Update Archbishop and advisors on October 21, 2010
- Select potential partner to enter exclusivity and sign LOI
- Negotiate Definitive Agreement
- Complete due diligence
- Close

Appendix A: Final Indications of Interest

Morgan Keegan

Morgan Keegan & Company, Inc.
Members FINRA, SIPC

Not FDIC Insured | May Lose Value | No Bank Guarantee
Not a Deposit | Not Insured by Any Government Agency

EXHIBIT 12

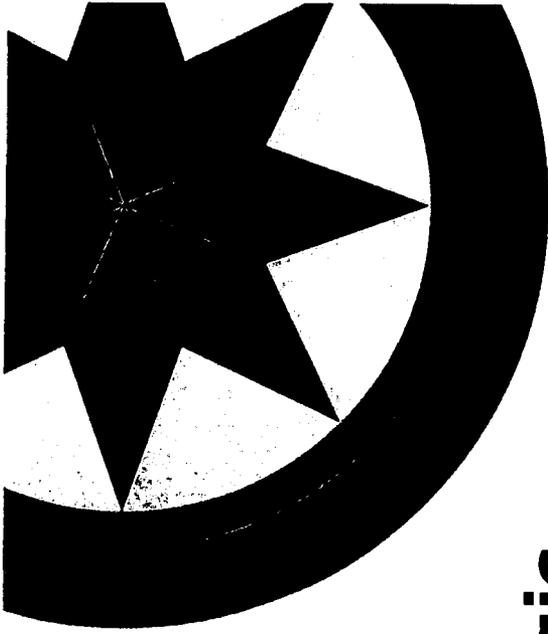
Report of the Strategic Partnership Task Force
dated November 4, 2010

Proprietary Information

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Report of the Strategic Partnership Task Force

November 4, 2010



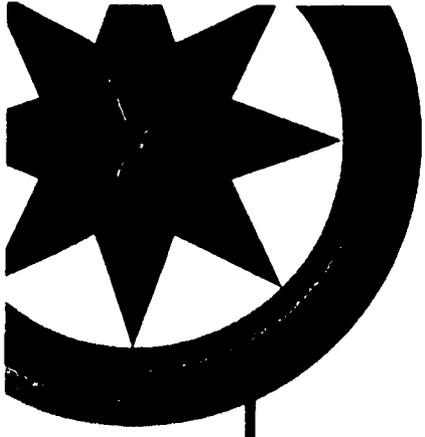
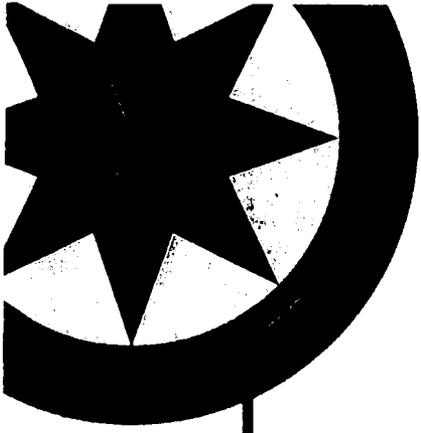


Table of Contents

1. Process Overview
2. Preferred Partner & Proposed Transaction
 -
 - Overview of Letter of Intent
3. Next Steps



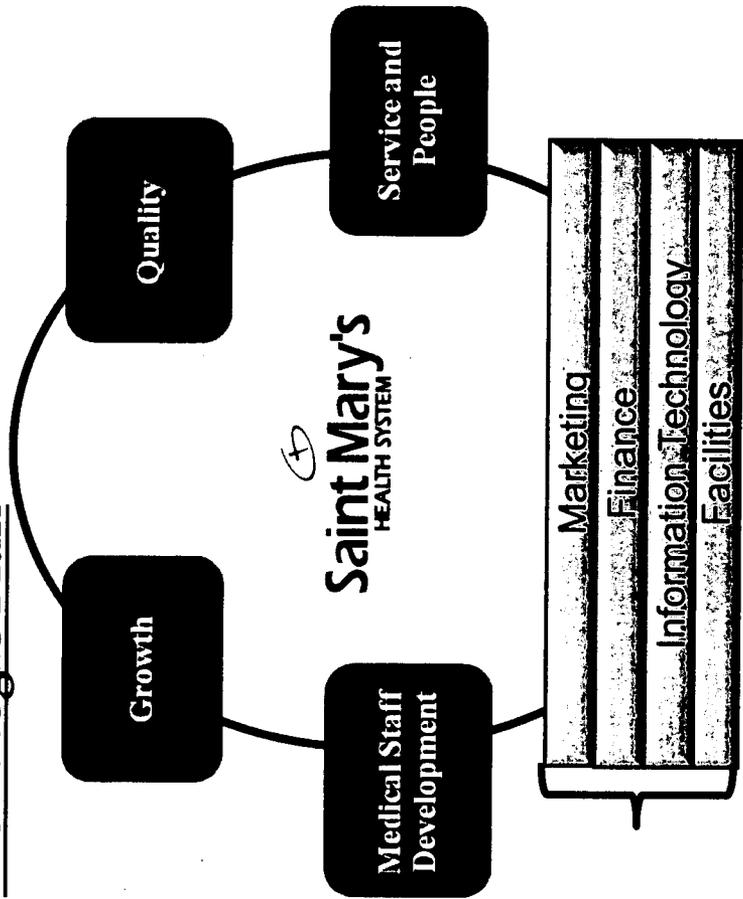
Process Overview

Creation of Taskforce



In May 2010, Saint Mary's Health System ("Saint Mary's" or "SMHS"), in conjunction with continuing to implement the Strategic Plan, began to pursue appropriate strategic/capital partner options.

1. Strategic Plan



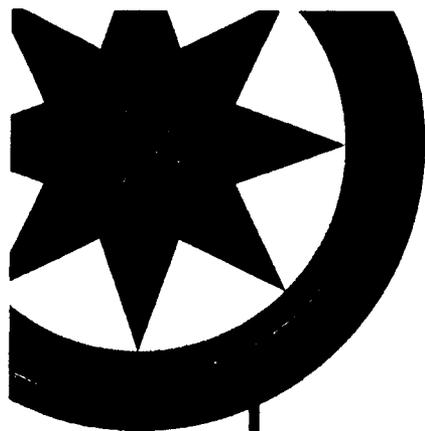
2. Strategic/Capital Partner Strategy

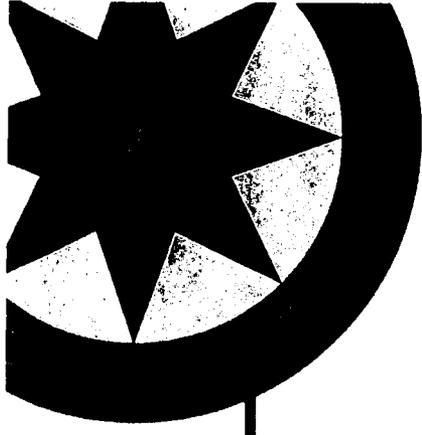
- Assemble Board Taskforce
- Recommit to affiliation objectives, process, principles, “deal breakers”, etc.
- Assess options
- Qualify candidates
- Make final recommendation

Taskforce Charter:

Taskforce Purpose

- **Appointment of Task Force:**
 - Task force was appointed by Archbishop Henry J. Mansell, Chairman of Saint Mary and Saint Mary's Hospital Boards of Directors in July 2010
- **Purpose:**
 - To confirm SMHS objectives and principles for a strategic partnership
 - To confirm SMHS long-term strategic partnership strategy
 - To review all relevant strategic partners and partnership options
 - To develop a recommendation for a strategic partner and partnership structure to the Board of Directors

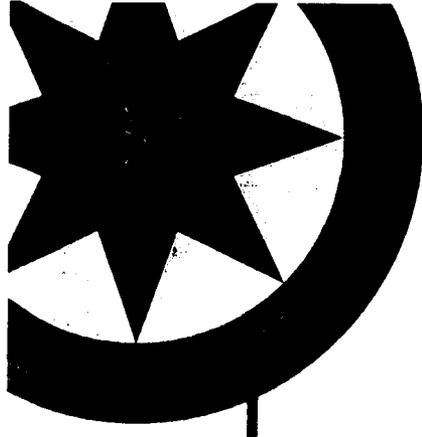




Taskforce Charter:
Taskforce Make-Up

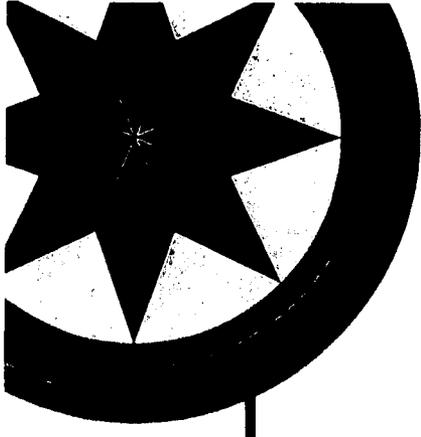
- **Chairman:** Robert Mazaika
- **Members:**
 - Archbishop Henry J. Mansell (Ex-Officio)
 - Joseph Carlson II
 - Garrett Casey
 - Stephen R. Griffin, Esq.
 - Joseph Mengacci, Esq.
 - James Smith
 - Jerome Sugar, M.D.
 - Chad Wable
- **Advisors:**
 - Bob Anthony Esq. – Brown Rudnick / Legal Advisor
 - Michael Hammond – Shattuck Hammond Partners/ Financial Advisor

Objectives and Principles for Strategic Partnership: Philosophical



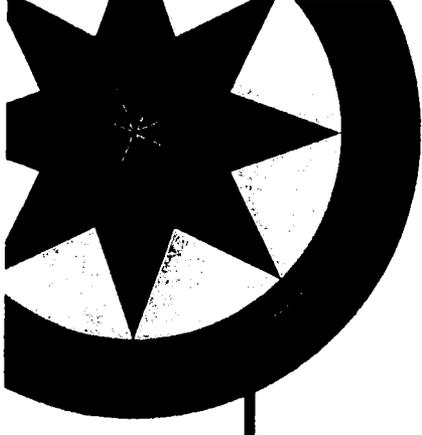
- Fulfill community-based Mission
- Adhere to Ethical and Religious Directives
- Improve financial position
- Provide locally-based healthcare
- Maintain appropriate Board representation on resulting Board
- Improve the delivery of quality care

Objectives and Principles for Strategic Partnership: Strategic Position



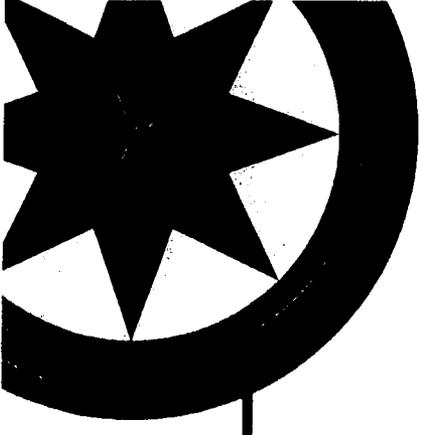
- Acquire capital to fund strategic plan
- Increase market share
- Provide scale, leverage and resources to address Health Reform
- Improve future opportunity to rationalize the Waterbury healthcare delivery system

Objectives and Principles for Strategic Partnership: Financial



- Improve the net asset value of Saint Mary's
- Improve access to and cost of capital
- Fully satisfy unfunded pension liability
- Improve opportunities to create efficiencies and reduce costs
- Improve third-party reimbursement

Objectives and Principles for Strategic Partnership: People



- Improve our ability to meet our contractual obligation to our staff
- Improve recruitment and retention of talent
- Create opportunities to strengthen partnerships with the Medical Staff

Affiliation Process Timeline



Date Activity

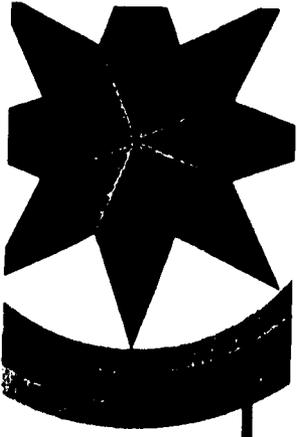
- July**
- During July 2010, Shattuck Hammond contacted nineteen potential strategic partners.
 - Sixteen parties signed Confidentiality Agreements and received the Confidential Information Memorandum.
- August**
- Five parties submitted Asset Purchase or Joint Venture (“JV”) proposals:
 -
 -
 -
 -
 - LHP Hospital Group (“LHP”)
 - One interested party submitted a services agreement proposal.
 - The initial Indications of Interests provided an Implied Enterprise Value range of \$70.5 – \$190.4 million.

Affiliation Process Timeline



Date	Activity
September	<ul style="list-style-type: none">• Management Meetings with and LHP.• Site visits at three locations:<ul style="list-style-type: none">• & LHP: Portneuf Medical Center (Pocatello, ID).• Management did not visit facility due to the inferiority of its bid.
October	<ul style="list-style-type: none">• Remaining four parties were asked to submit best and final offers.• submitted an Indication of Interest.• The final Indications of Interests provided an Implied Enterprise Value range of \$104.1 – \$198.7 million.• On October 19th, the Taskforce made its final recommendation.

Overview of Potential Partners



Headquarters

Facilities

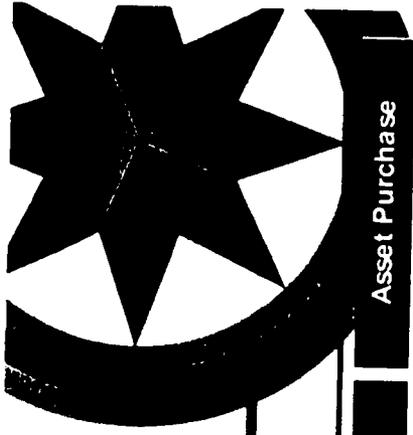
Regional Presence

Background

Financial Overview

Public/Private

Economic Analysis of Proposals: Analysis of Enterprise Value of Proposals



Summary Analysis of Enterprise Value of Proposals (\$ millions)

Joint Venture Proposals

Asset Purchase

Consideration at Closing	
Consideration for Assets	
Payment for Working Capital Taken ⁽¹⁾	
Value of Working Capital Left Behind	
Value Addition/(Reduction)	
Value for Assets	
CapEx Commitments ⁽²⁾	
PV of CapEx Commitments ⁽³⁾	
Implied Enterprise Value	
LTM EBITDA (8/31/10)	
LTM EBITDA Multiple	
LTM Revenue (8/31/10)	
LTM Revenue Multiple	

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Economic Analysis of Proposals: Value of Retained Assets (minus Liabilities)



Value of Retained Assets (minus Liabilities, \$ millions)

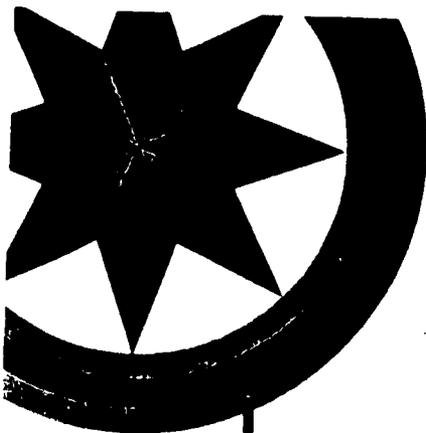
Joint Venture Proposals	Asset Purchase
-------------------------	----------------

Retained Assets (As of 08/31/10)
 Cash and Marketable Securities
 Assets Limited as to Use⁽¹⁾
 Deferred Financing Costs
 Less: Debt
 Less: Acc. Comp. & Benefits
 Less: Self-Insurance & Other
 Less: Minimum Pension Liabilities
 Net Retained Assets (minus Liabilities)

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Economic Analysis of Proposals: Calculation of Proceeds to Foundation from Transaction



Proceeds to Foundation from Transaction (\$ millions)

Joint Venture Proposals	Asset Purchase
-------------------------	----------------

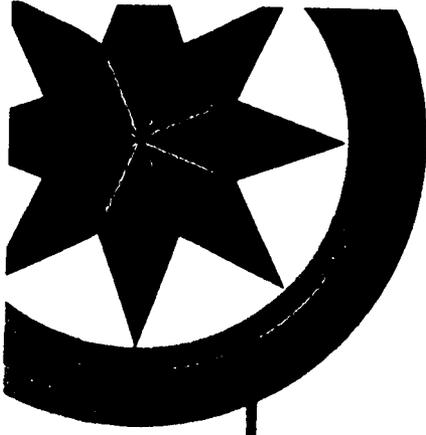
Cash Proceeds for Assets
 Cash for Working Capital
 Payment for Assets
 Payment for Working Capital
Net Cash Proceeds

Foundation Commitment for Future CapEx

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Economic Analysis of Proposals: Profile of Foundation after Closing



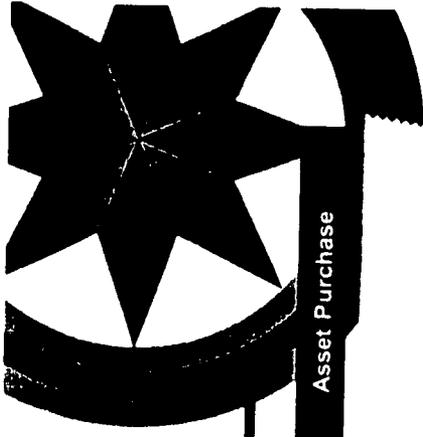
Saint Mary's Hospital Foundation: Post Closing Profile (\$ millions)

	Joint Venture Proposals	Asset Purchase
Cash Proceeds		
Plus: Working Capital ⁽¹⁾		
Less: Retained Assets (net of Liabilities)		
Net Cash in Foundation		
Plus: Shareholding in JV ⁽²⁾		
Net Cash & Net Assets in Foundation		
Foundation Commitment for Future CapEx ⁽³⁾		

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MATERIAL

Discussion of Key Qualitative Aspects of Proposals: Key Qualitative Aspects of Proposals

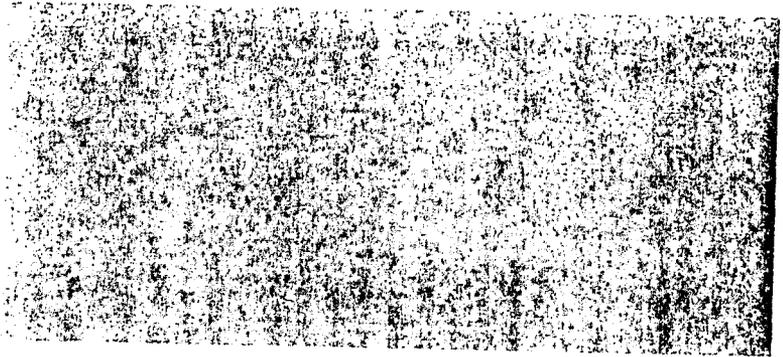


Joint Venture Proposals

Asset Purchase

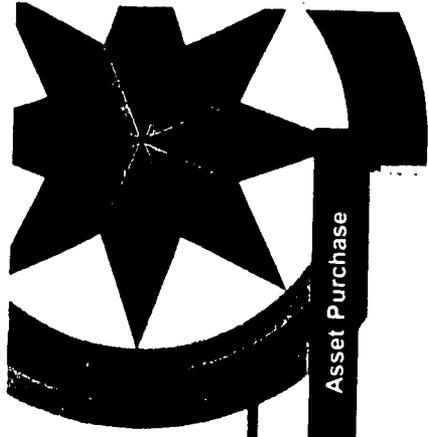
Governance

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MATERIAL

Discussion of Key Qualitative Aspects of Proposals: Key Qualitative Aspects of Proposals (cont'd)



Joint Venture Proposals

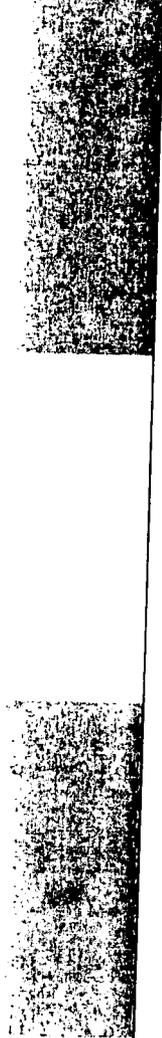
Asset Purchase

Management Fee if JV

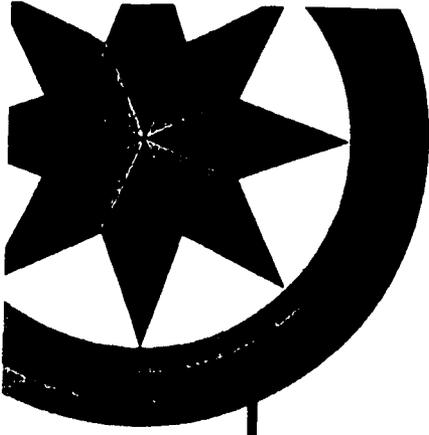
Infrastructure

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MATERIAL

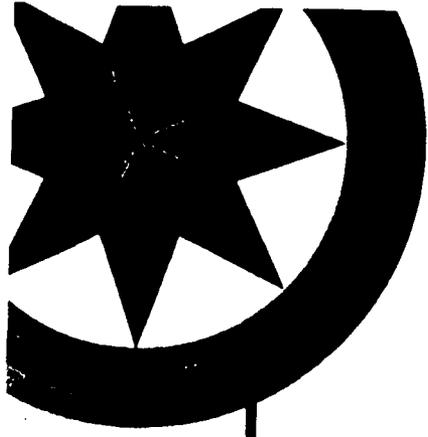


Taskforce Recommendation: Joint Venture



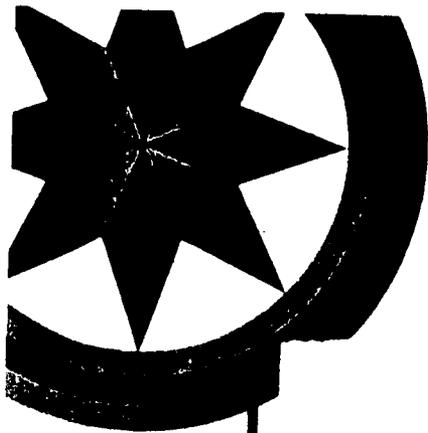
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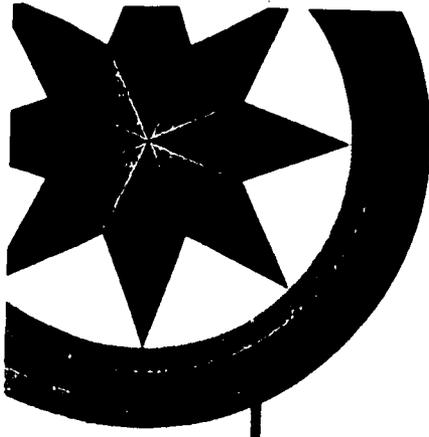
Preferred Partner & Proposed Transaction

Overview

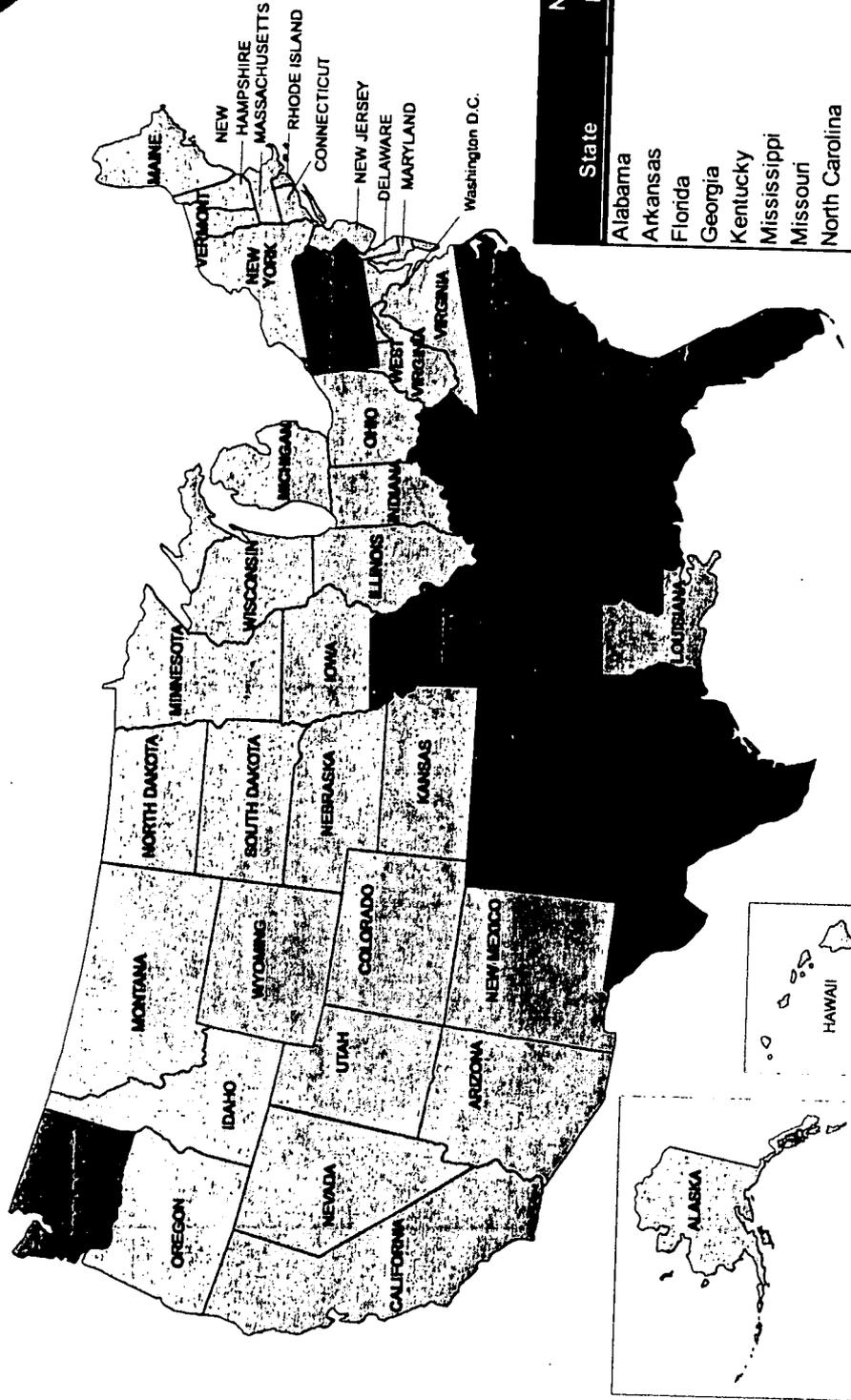


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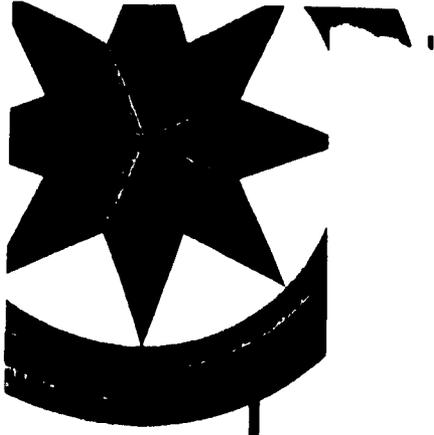
Overview (cont'd)



State	Number of Hospitals
Alabama	
Arkansas	
Florida	
Georgia	
Kentucky	
Mississippi	
Missouri	
North Carolina	
Oklahoma	
Pennsylvania	
South Carolina	
Tennessee	
Texas	
Washington	
Total	-

Blue shaded states indicate presence.

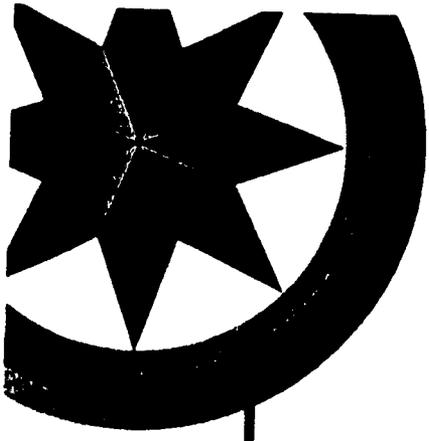
Overview (cont'd)



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MATERIAL

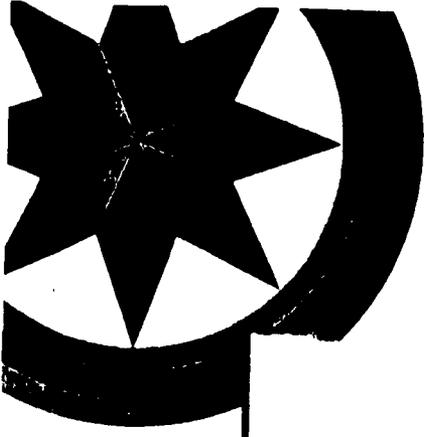
Financial Snapshot



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MATERIAL

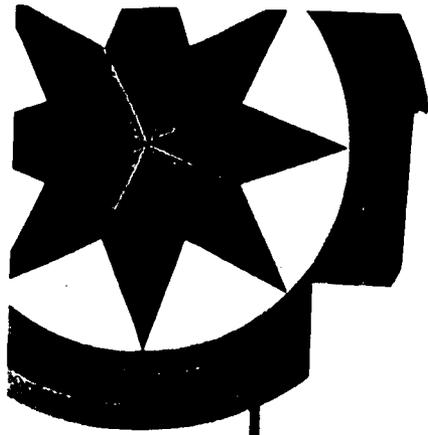
Strengths



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Information

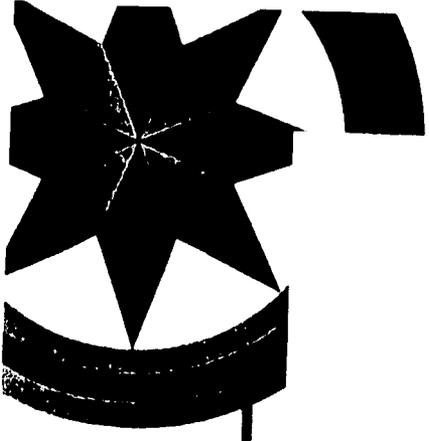
Resources



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PROTECTED CONFIDENTIAL
MATERIAL

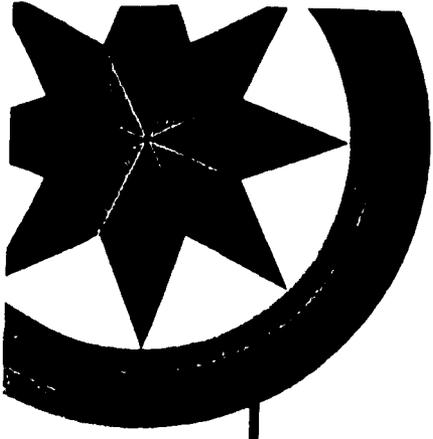
Not-for-Profit Joint Ventures



-
-
-

- Three additional new partnerships projected for completion in 2010 – 2011

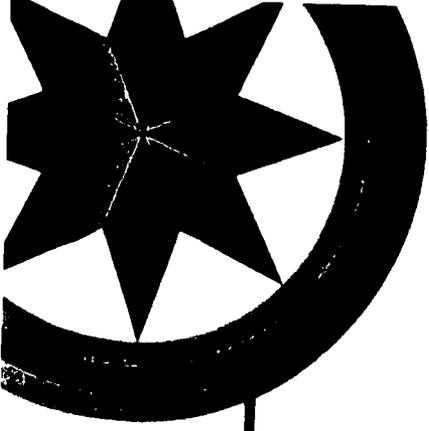
's Vision for Saint Mary's



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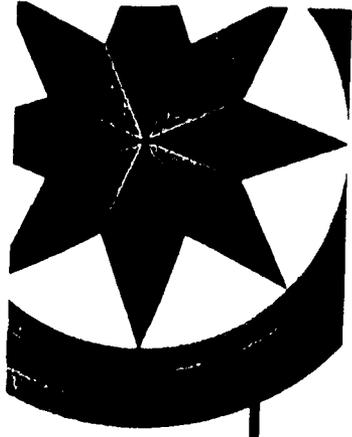
PROTECTED SENTIAL
M/

**SMHS Assets Included vs. Excluded
in the Joint Venture**



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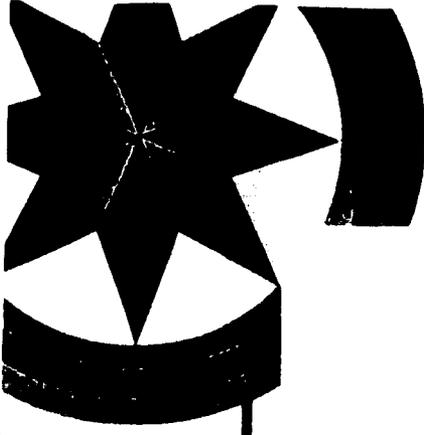


Transaction Structure: Joint Venture

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MATERIAL

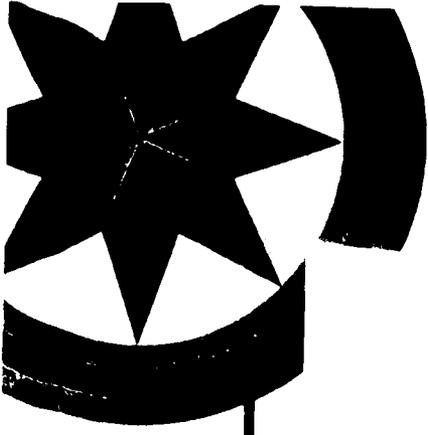
Capital Expenditure Commitment



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MATERIAL

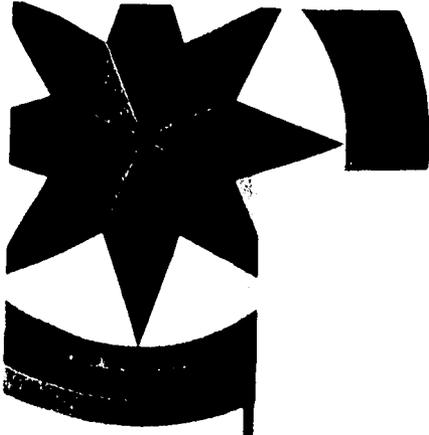
Continued Access to Services



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MATERIAL

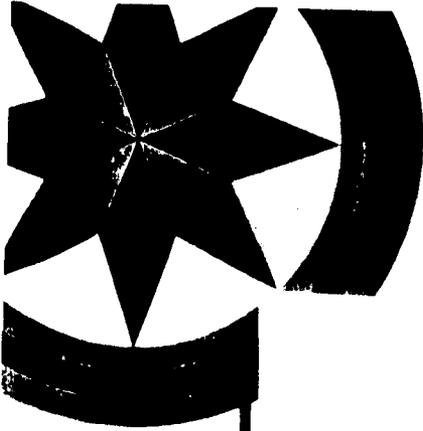
Governance of the Joint Venture



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MATERIAL

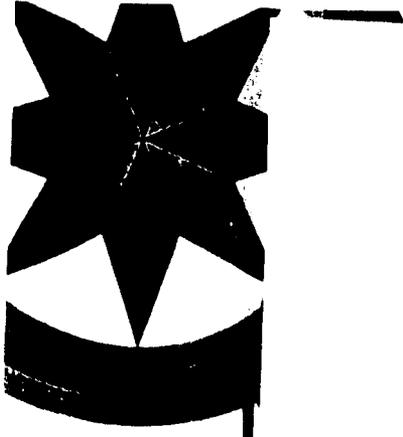
**Governance of the Joint Venture
(cont'd)**



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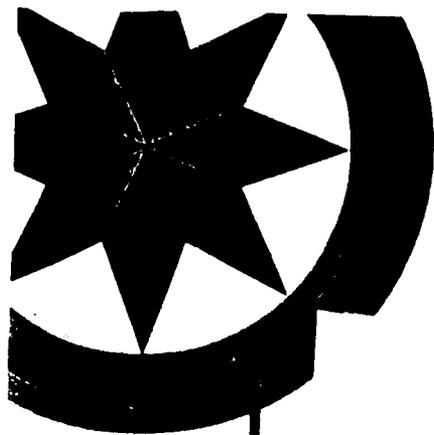
Governance of the Hospital



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**PROTECTED CONFIDENTIAL
MATERIAL**

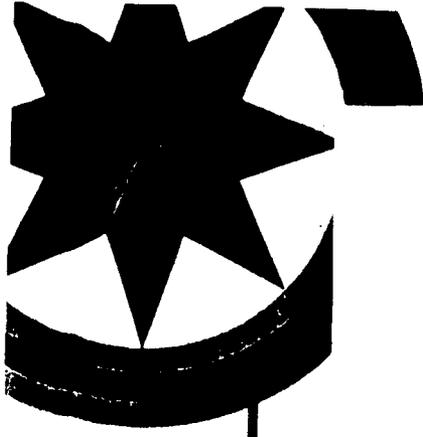
Catholic Identity



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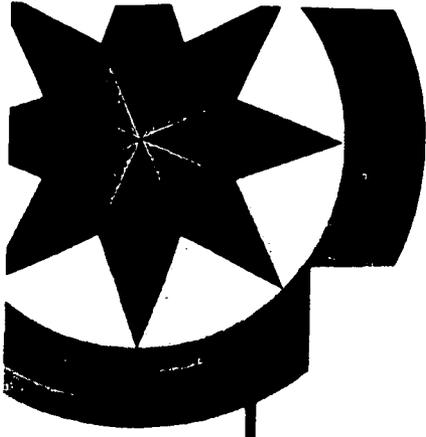
Charity Care Policy/Community Benefits Standards



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MATERIAL

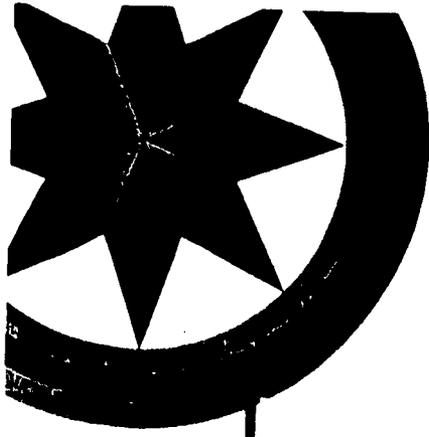
Options



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MATERIAL

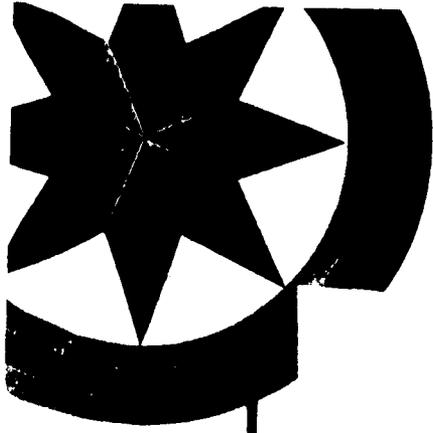
Non-Compete



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UNCLASSIFIED CONFIDENTIAL

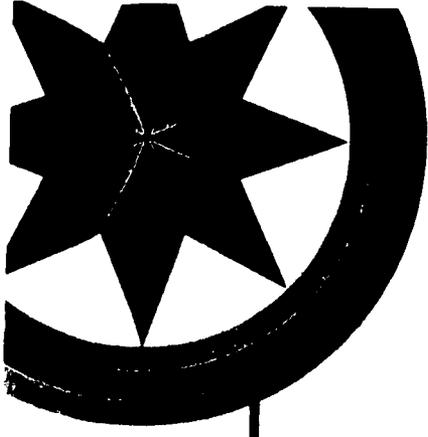
Right of First Refusal



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MATERIAL

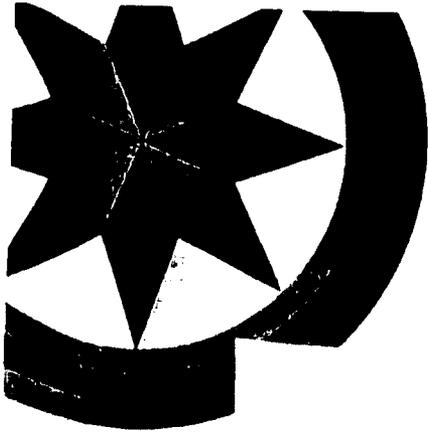
Employee Matters



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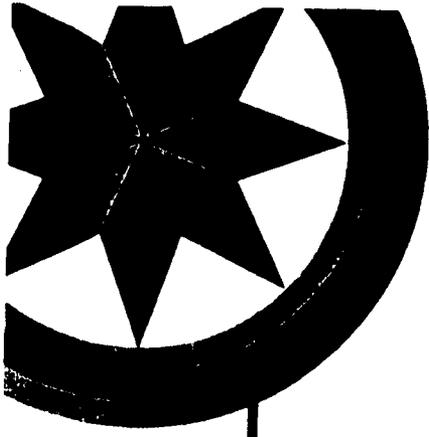
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MATERIAL

Tax Structure of the Joint Venture



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MATERIAL



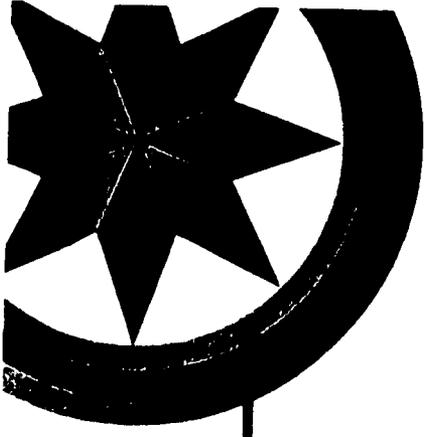
Next Steps

Next Steps – Timing of Events



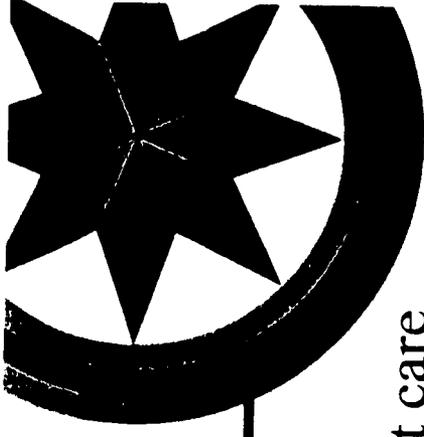
- Board Member Review – 11/4 through 11/10/2010
- Board Approval of LOI – 11/11/2010
- Sign LOI: 11/11/2010
- Board Approval of Definitive Agreement: 12/16/2010
- Sign Definitive Agreement: 12/16/2010
- Close: No later than 6/30/2011

Our Expectations of Silence & Confidentiality



- It is imperative that this information remains confidential and contained until such time as a Definitive Agreement is executed.
(Expected mid-December)

Holding Statements

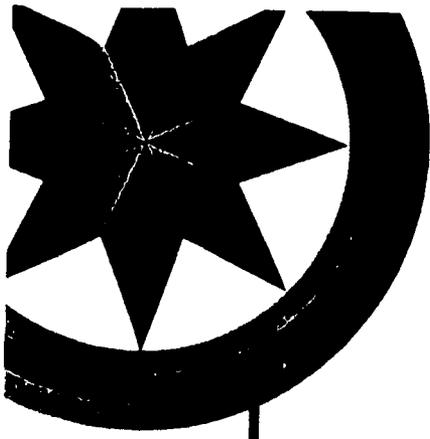


Holding Statement for Saint Mary's Hospital

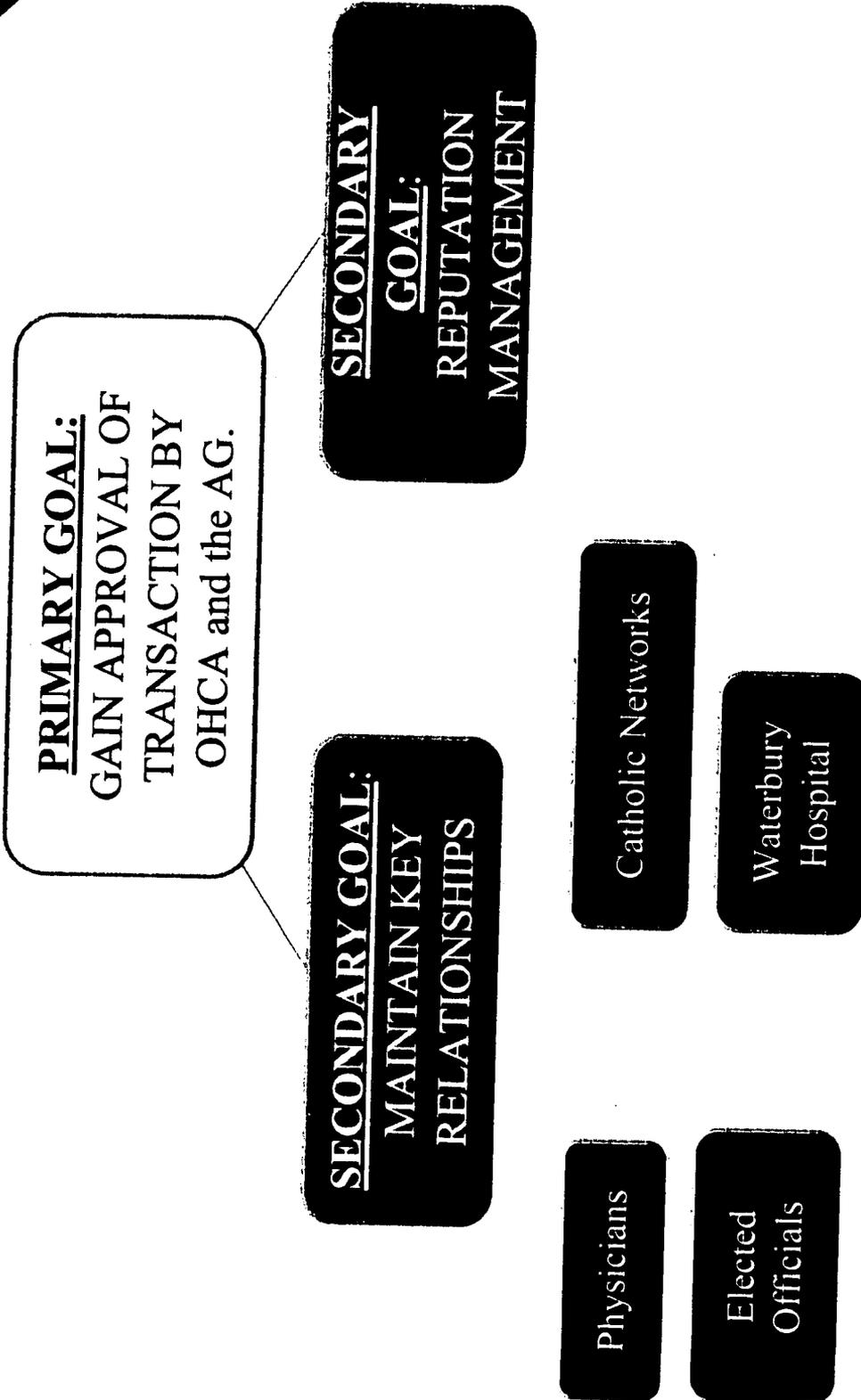
At St. Mary's Hospital we're dedicated to offering the best care possible to our patients. Therefore, we are always open to discussions regarding potential partnerships and future opportunities which support our mission. We do not discuss speculation regarding the hospital's overall business plans.

Holding Statement for

In health care it is common practice for any responsible organization to continually evaluate new opportunities that would result in an improvement in operations and the service we provide our patients. Our operational plans now and in the future will always support those efforts. However, at this time it is not appropriate for us to discuss hypothetical plans.



Public Relations ("PR") & Government Relations ("GR") Goals

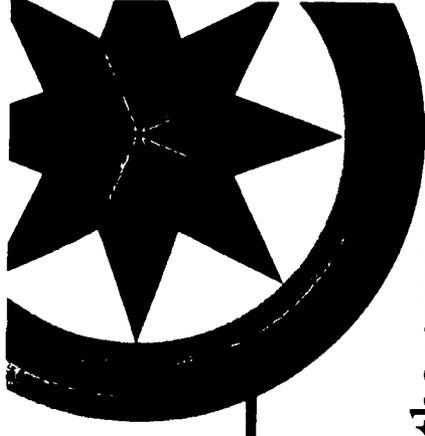


PR & GR



- Have ongoing government affairs relationship with Brown Rudnick
- Have engaged Jarrard Phillips Cate & Hancock to provide PR support
 - Nashville-based public affairs firm singularly focused on the healthcare industry
- Have begun preliminary planning including
 - Collaborating with Government Relations team on process and timeline
 - Interviewing Task Force members
 - Identifying key audiences
 - Drafting preliminary messages
 - Developing overall campaign strategy and implementation plan

The GR Process

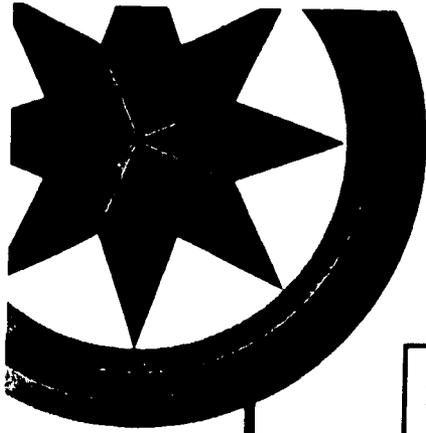


Conversion Act Approval Process

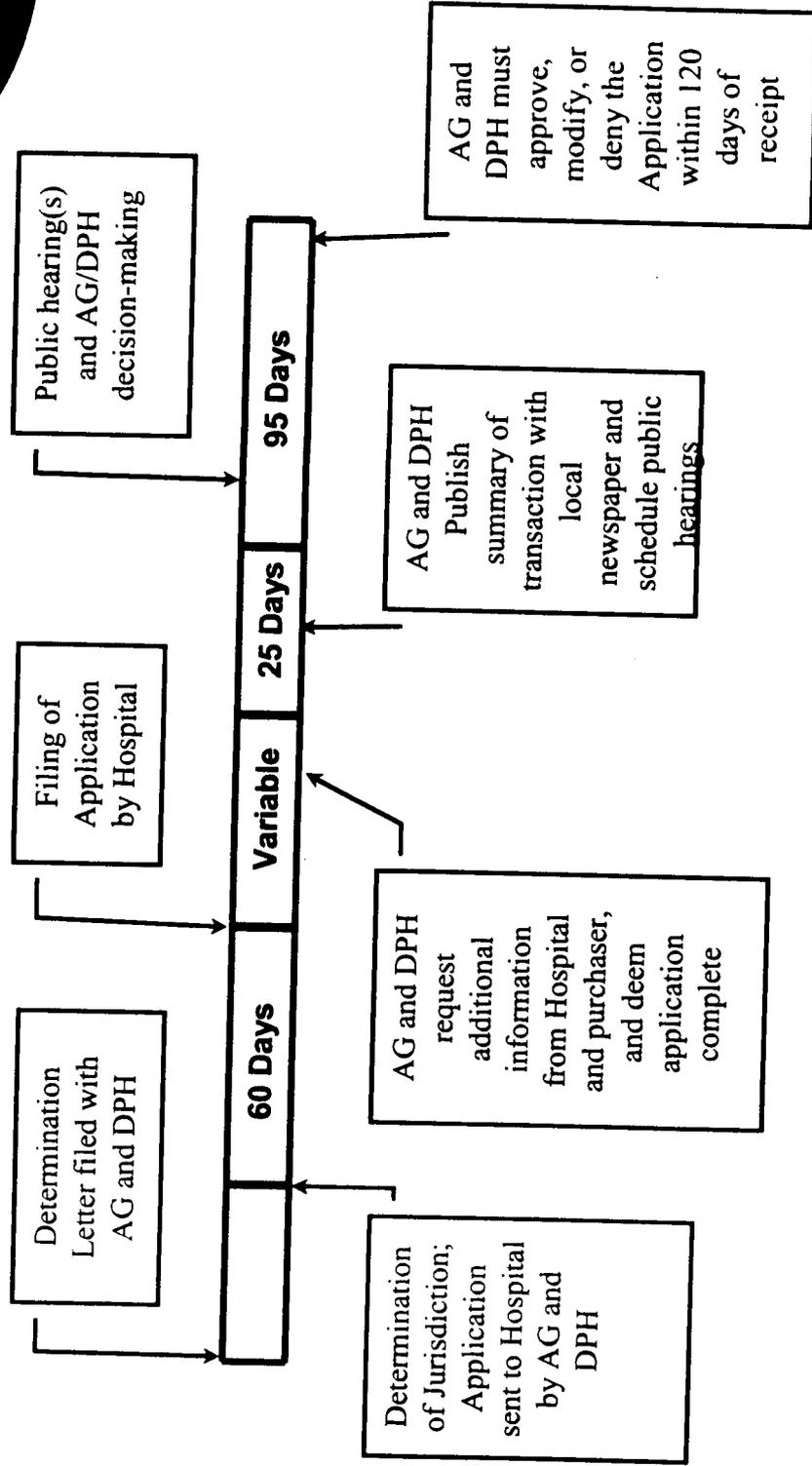
- The non-profit hospital and the purchaser must initiate the process by concurrently submitting a Certificate of Need Determination Letter, a.k.a. Letter of Intent, to the AG and the Commissioner of the Department of Public Health.*
- The Determination Letter must include:
 - Name and address of non-profit hospital
 - Name and address of purchaser
 - Description of the terms of the agreement
 - Estimated capital expenditure, cost, or value associated with the transaction

*Note - The CON process as revised by the recent CON reform statute varies from the process set forth by the Conversion Act. It is likely that the process set forth in the Conversion Act would be followed, but somewhat unclear.

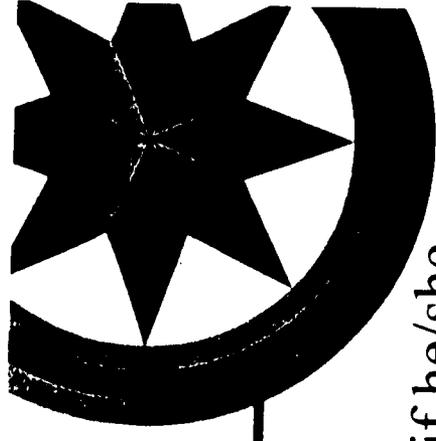
The GR Process (cont'd)



Attorney General / Dept. of Public Health Review



The GR Process (cont'd)



Attorney General Standard of Review

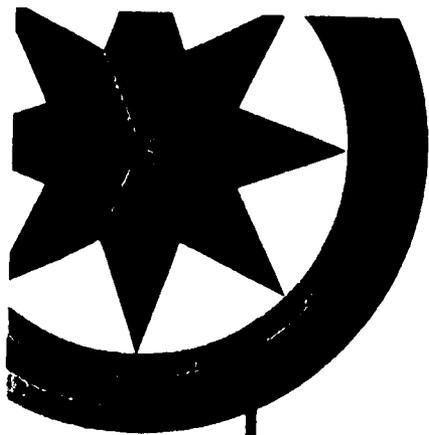
- The Attorney General will only approve the application if he/she generally determines that:
 - The hospital's decision to sell or transfer its assets was reasonable;
 - The hospital chose the ultimate purchaser in an open and fair process;
 - The hospital is receiving fair market value for its assets;
 - The funds generated by the sale will be transferred to a charitable foundation that will use the funds for the promotion of healthcare within the affected community, and
 - Any use restrictions of any charitable assets (gifts, trusts, etc.) held by the hospital will be preserved.

The GR Process (cont'd)



Dept. of Public Health Standard of Review

- The Commissioner of DPH will only approve the application if he/she generally determines that:
 - The affected community will be assured of continued access to affordable healthcare;
 - The purchaser has made a commitment to provide healthcare to the uninsured and the underinsured; and
 - Safeguard procedures are in place to avoid a conflict of interest in patient referral when healthcare providers or insurers will be offered the opportunity to invest or own an interest in the purchaser or entity related to the purchaser.



Discussion / Questions

Saint Mary's Health System, Inc.

&

LHP Hospital Group, Inc.'s

Certificate of Need Application
for their Proposed Joint Venture

July 21, 2011

Binder 2 of 2


Saint Mary's

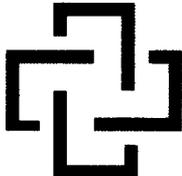
 **LHP**

EXHIBIT 13

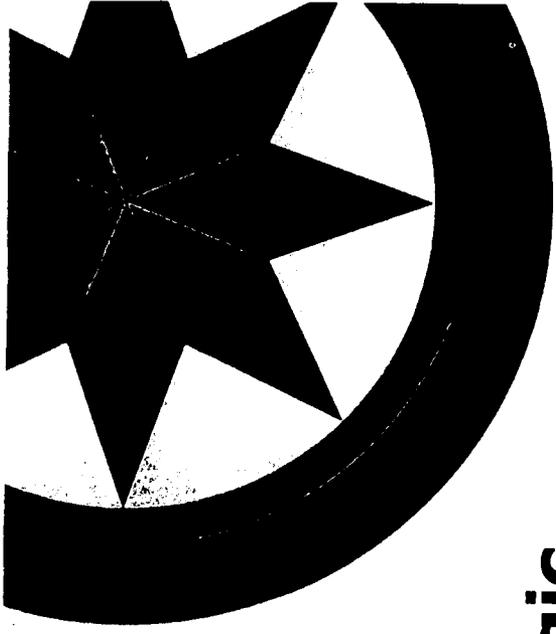
Report of the Strategic Partnership Task Force
dated January 6, 2011

Proprietary Information

Portions of this Exhibit are redacted based on the Trade Secret exception to the Freedom of Information Act, Conn. Gen. Stat. § 1-210(b)(5). The companies that provided information during the selection process conducted by Morgan Keegan on behalf of Saint Mary's included Confidentiality Agreements in which Saint Mary's and the companies involved agreed not to disclose any of the proprietary information that was supplied. The Confidentiality Agreements were required because the companies that are in the business of partnering with existing hospitals are in a competitive market, and knowing the details of what each of the companies offered, and their strategy to be selected, would make it impossible for the companies to conduct business with other hospitals without the information influencing the process and damaging their businesses. Also, the companies revealed information about their own financial strengths that a non-public, non quasi-public company is not required to do in a public filing.

The information in this Exhibit is being provided with sections redacted (rather than not being provided at all) because the Applicants believe that even with specific details removed, the Exhibit demonstrates that Saint Mary's went through a thorough and diligent process to select LHP as its partner and that the value of the Exhibit outweighs the fact that it is redacted.

If requested by the State, the Applicants will submit the un-redacted Exhibit provided the State makes a ruling that the Exhibit will be protected by the Freedom of Information laws or attorney-client privilege and will not be provided to any person or entity outside of the decision-makers (i.e. hearing officers, any necessary staff and the final decision maker) in each State agency and will keep the documents confidential.



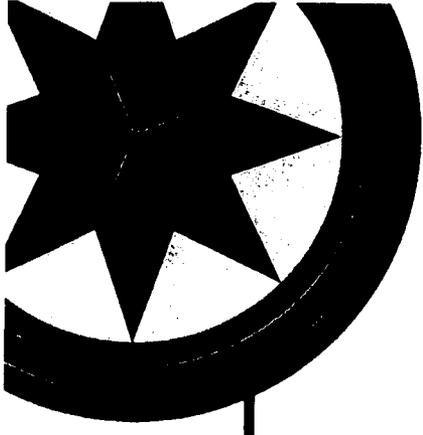
Report of the Strategic Partnership Task Force

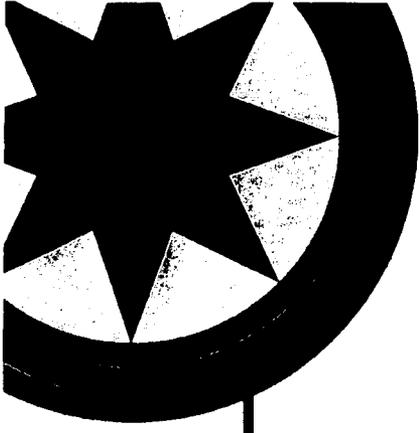
January 6, 2011



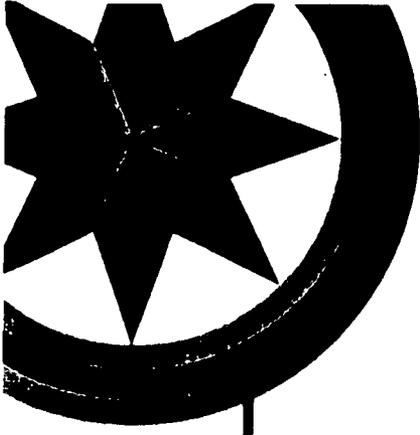
Table of Contents

1. Progress Update
 2. Taskforce Recommendation
 3. LHP Hospital Group & Transaction Overview
 4. Next Steps
- Appendices
- A. Public and Government Relations
 - B. Potential Partner Profiles
 - C. Pension Plan Analysis



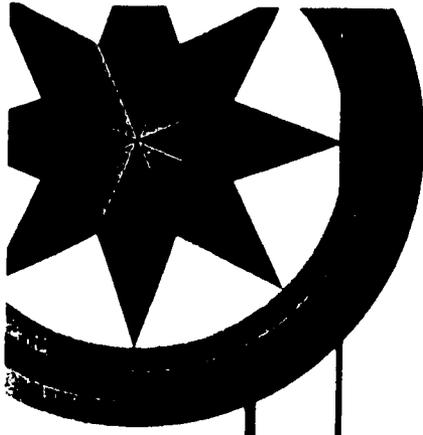


Progress Update



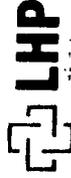
- Since the November 11th Board meeting we have addressed many transactional issues:
 - Pension Plan
 - Catholic Identity
 - Assignment of Contracts (i.e. physician employment agreements)
 - Funds transfers (i.e. Hellman)
 - Malpractice Insurance (SMIC)
 - Real Estate and Environmental Concerns
 - Treatment of Affiliates (Heart and Cancer Centers)
 - Formation of the “new” Foundation
- **Terminated LOI with on December 24th**
- Confirmed interest from and LHP Hospital Group
- Held conference calls with and LHP to provide market and performance updates and to address any questions or concerns
- Received updated LOIs/proposals from and LHP
- Taskforce met on January 4th to review proposals and select a preferred partner

Economic Analysis of Proposals: Analysis of Enterprise Value of Proposals



Summary Analysis of Enterprise Value of Proposals (\$ millions)

Joint Venture Proposals



Consideration at Closing

Consideration for Assets
 Payment for Working Capital Taken⁽¹⁾
 Value of Working Capital Left Behind
 Value Addition/(Reduction)
Value for Assets

REDACTED

CapEx Commitments⁽²⁾

PV of CapEx Commitments⁽³⁾

Implied Enterprise Value

FY 2010 EBITDA

FY 2010 EBITDA Multiple

FY 2010 Operating Cash Flow

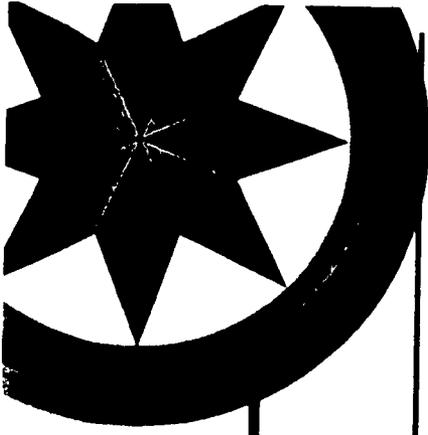
FY 2010 EBITDA Multiple

FY 2010 Revenue

FY 2010 Revenue Multiple

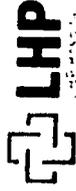
CONFIDENTIAL
 May 2010

Economic Analysis of Proposals: Value of Retained Assets (minus Liabilities)



Value of Retained Assets (minus Liabilities, \$ millions)⁽¹⁾⁽²⁾

Joint Venture Proposals



Retained Assets (As of 09/30/10)

Cash & Marketable Securities

Assets Limited to Use⁽³⁾

Estimated Self-Insurance Liability Funds⁽⁴⁾

Bond Indenture Funds

Net Interest in Foundation & Other Funds⁽⁵⁾

Less: Defined Pension Benefit Plan⁽⁶⁾

Less: Debt

Less: Self-Insurance Liability

Less: Other Liabilities

Less: Supplemental Pension Plan

Net Retained Assets (minus Liabilities)

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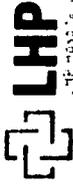
PROTECTED CONFIDENTIAL
MATERIAL

Economic Analysis of Proposals: Profile of Foundation after Closing



Saint Mary's Hospital Foundation: Post Closing Profile (\$ millions)

Joint Venture Proposals



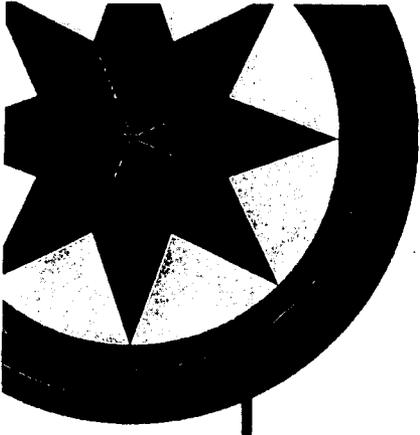
Asset Purchase Proposal

Cash Proceeds
Plus: Working Capital⁽¹⁾
Less: Retained Assets (net of Liabilities)
Net Cash in Foundation
Plus: Shareholding in JV⁽²⁾
Net Cash & Net Assets in Foundation

REDACTED

Foundation Commitment for Future CapEx⁽³⁾

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Taskforce Recommendation

Taskforce Recommendation: LHP Joint Venture



- Joint Venture with LHP (80%/20%)
 - Financial Attractiveness:
 - \$135 million Purchase Price
 - \$67.5 million commitment to non-routine capital expenditures (SMH Owner to fund \$7.5 million for a total of \$75 million)
 - Availability of Resources
 - Enable Saint Mary's to implement strategic plan; provide competitive advantage
 - LHP corporate focus and resources
 - Cultural Fit
 - Collaborative Management Style
 - Experience with faith-based partnerships
 - Commitment to support Ethical and Religious Directives and Catholic identity

Alignment with LHP:

Objectives and Principles for Strategic Partnership



•Philosophical

- Fulfill community-based Mission
- Adhere to Ethical and Religious Directives
- Improve financial position
- Provide locally-based healthcare
- **Maintain appropriate Board representation on resulting Board**
- Improve the delivery of quality care



•Strategic Positioning

- **Acquire capital to fund strategic plan**
- Increase market share
- Provide scale, leverage and resources to address Health Reform
- Improve future opportunity to rationalize the Waterbury healthcare delivery system



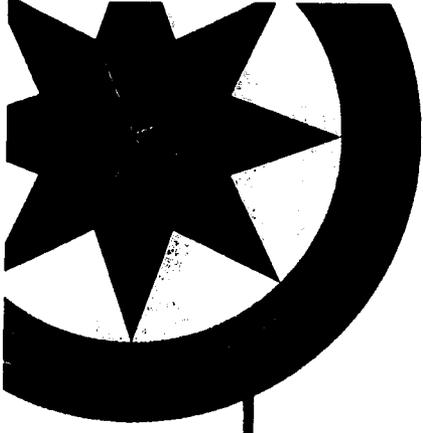
•Financial

- Improve the net asset value of Saint Mary's
- Improve access to and cost of capital
- **Fully satisfy unfunded pension liability**
- Improve opportunities to create efficiencies and reduce costs
- Improve third-party reimbursement



•People

- Improve our ability to meet our contractual obligation to our staff
- Improve recruitment and retention of talent
- **Create opportunities to strengthen partnerships with the Medical Staff**



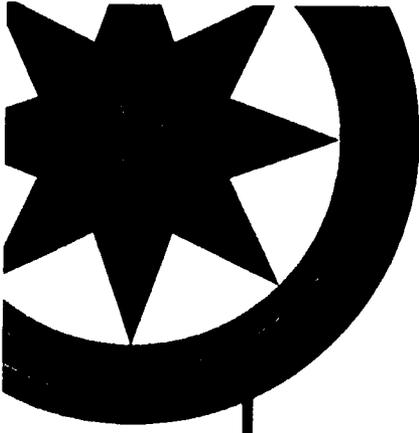
LHP Hospital Group & Proposed Transaction



LHP Overview

- **LHP Hospital Group**
 - Mission is to continuously improve the quality of healthcare services and hospital partners provide to patients.
 - Focused solely on hospital partnerships and a collaborative culture
 - Management Team is from previous Triad Hospitals – a large owner, operator and partner in over 50 hospitals in 13 states
 - Formed in 2008 by former Triad management after the CHS acquisition of Triad Hospitals in 2007
 - Headquartered in Plano, TX
 - Privately owned by affiliates of private equity firm CCMP Capital Advisors, LLC, and the CPP Investment Board, as well as members of management.
 - Partners in 3 hospital JVs with others in process
- **LHP Management**
 - Denny Shelton –Chairman of the Board
 - Dan Moen – CEO
 - Jim Shannon – EVP, Development

LHP Overview (cont'd)



Current Hospital Partnerships

Hospital	Location	Not-For-Profit Partner
Portneuf Medical Center	Pocatello, ID	Portneuf Health Care Foundation, Inc.
Wilson N. Jones Medical Center	Sherman, TX	Texas Health Resources
Hackensack University Medical Center North at Pascack Valley	Westwood, NJ	Hackensack University Medical Center
Seton Medical Center Harker Heights	Harker Heights, TX	Seton Family of Hospitals

LHP Collaborative Culture



- Improving the health of our patients by always focusing on their needs.
- Fostering physician participation and involvement in hospital decision making.
- Involving local leaders in the hospital governance of each partnering facility.
- Recognizing the value and contributions of our employees.

LHP Financial Snapshot

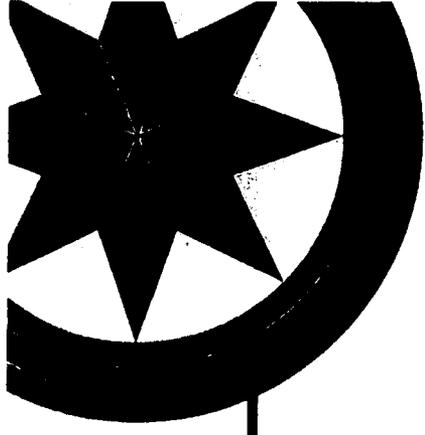


- LHP is backed by an affiliate of private equity sponsor CCMP Capital Advisors as well as the CPP Investment Board.
- CCMP Capital Advisors specializes in buyouts and growth equity transactions in middle market companies across all sectors.
- The CPP Investment Board is a state owned pension fund sponsor that invests in the public and private markets across Canada
- These private equity sponsors have invested in LHP.

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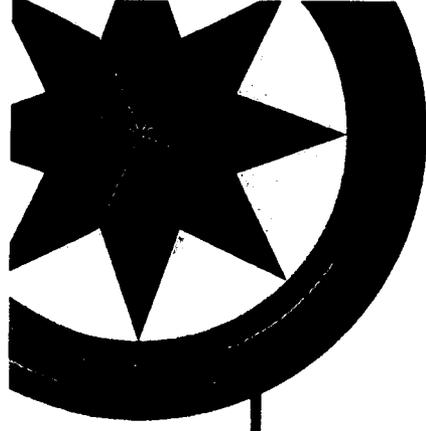
PROTECTED CONFIDENTIAL
MATERIAL

LHP's Vision for Saint Mary's



- Regional Hub for Acute & Sub-acute Services
- Capture Organic Market Share
- Establish Outreach Practices, Services, Sites and Facilities
- Linkages to Independent Physicians
- Acquisition and/or Partnership with Freestanding Facilities
- Enhance Service Lines

SMHS Assets Included vs. Excluded in the Joint Venture



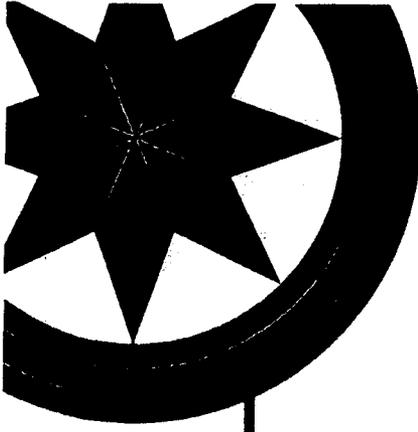
Assets Contributed to the Joint Venture

- Saint Mary's Hospital, Inc.
- Franklin Medical Group, P.C.
- Scovill Medical Group, P.C.
- Primary Care Partners, P.C.
- 86% Interest in Naugatuck Valley Surgical Center Limited Partnership
- 60% Interest in Diagnostic Imaging of Southbury, LLC
- 50% Interest in the Harold Leever Regional Cancer Center, Inc.⁽¹⁾
- 50% Interest in the Heart Center of Greater Waterbury, Inc.⁽¹⁾
- 48% Interest in Naugatuck Valley MRI Limited Partnership
- 4.55% in Health Connecticut, LLC
- Any other associated hospital ancillary businesses
- Net Working Capital
- Brand names, trademarks, copy rights, intellectual property

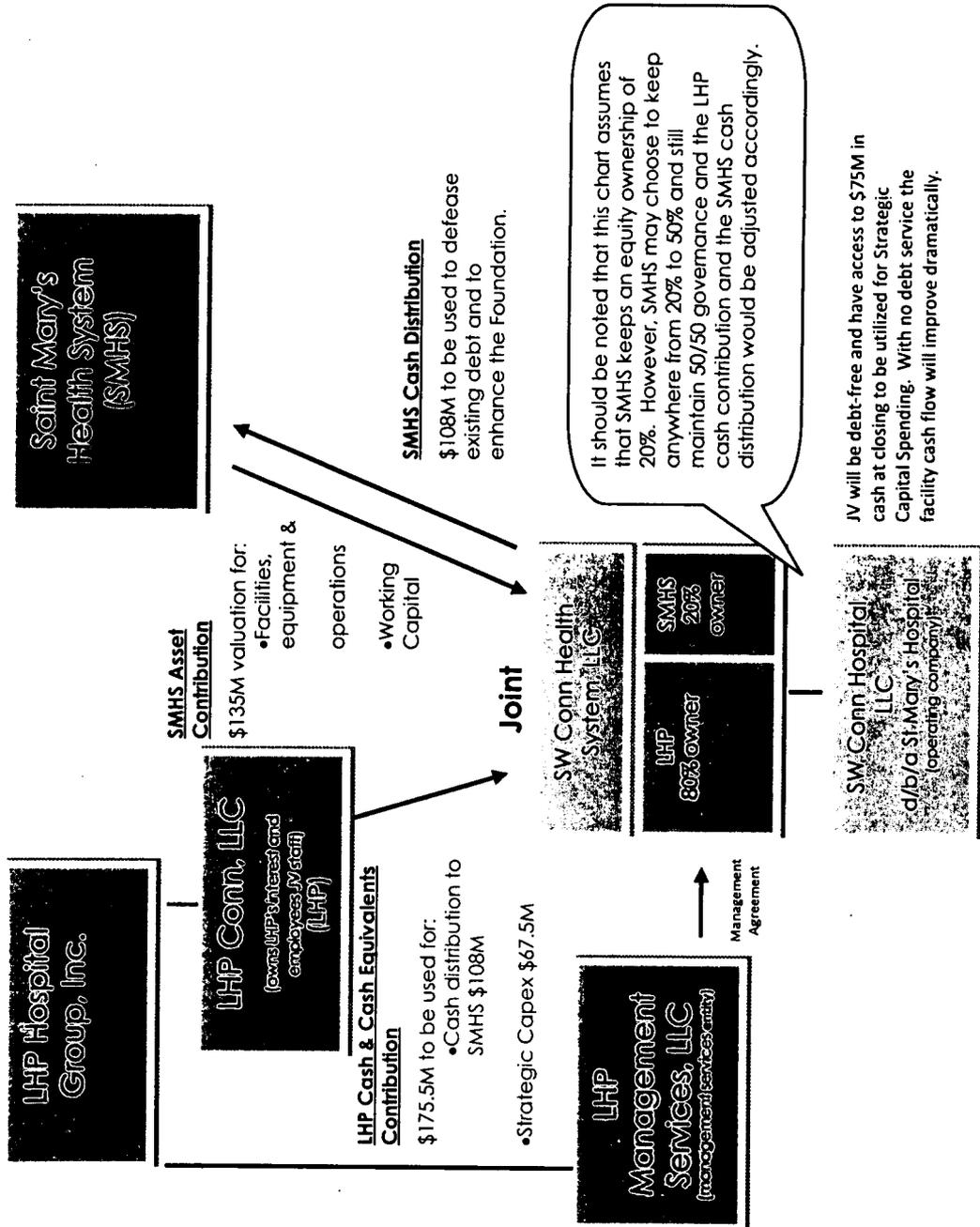
Assets Not Contributed to the Joint Venture

- Saint Mary's Health System Foundation
- Saint Mary's Indemnity Company
- Cash, Cash Equivalents & Investments
- Certain Non-Assumable Assets

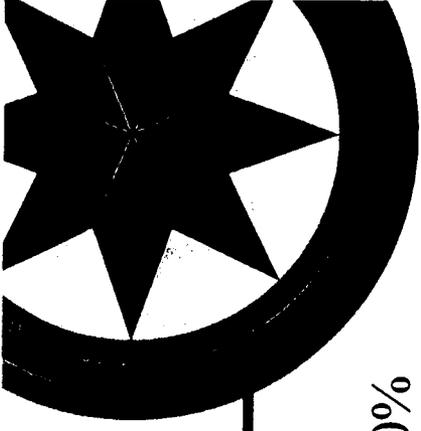
⁽¹⁾ Although LHP indicated that these assets will be contributed to the Joint Venture, it is unlikely that these assets will be able to be transferable.



Transaction Structure: Joint Venture

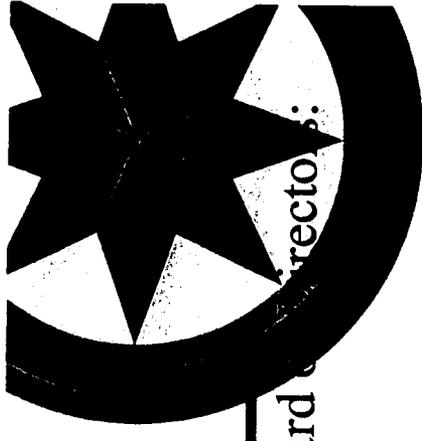


Governance of the Joint Venture



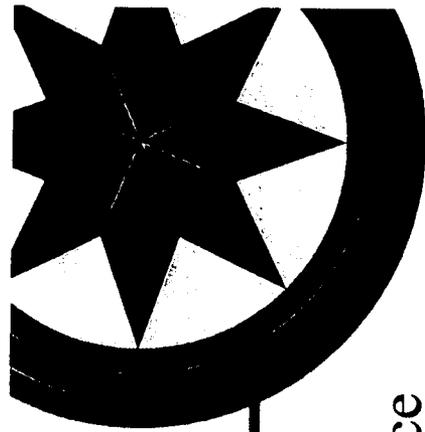
- There will be a ten member Board of Directors for the JV:
- 50% appointed by SMHS (as long as maintains at least 20% ownership)
- 50% appointed by LHP
- Board Decisions of the JV Board are subject to block voting
- Activities need the approval of both (i) the majority of members appointed by SMHS and (ii) the majority of members appointed by LHP
- Board members appointed by SMHS have the following reserve powers:
 - Right to terminate the JV CEO
 - Right to name the JV Board Chairman
 - Right to dissolve the JV if it fails to meet the Community Benefit Standards

Governance of the Hospital



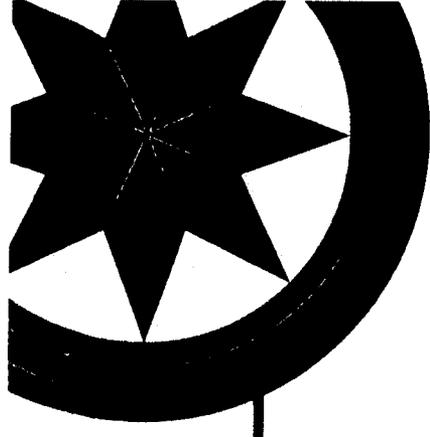
- 10 member local Board of Trustees appointed by the JV Board of Directors:
- At least 50% Physicians from Medical Staff
- Local community leaders
- Board of Trustees Powers (Advisory)
- Adopting a Hospital Vision, Mission and Value statement
- Involvement in strategic planning and business decisions
- Monitoring quality and performance improvement
- Granting medical staff privileges
- Executing physician disciplinary actions consistent with Medical Staff Bylaws
- Identifying new service and educational opportunities
- Other powers could be delegated to the Board of Trustees as determined by the JV Board of Directors

Catholic Identity



- The JV will commit to operate the Hospital in accordance with the Ethical and Religious Directives for Catholic Health Care Services and to preserve the Catholic Identity.

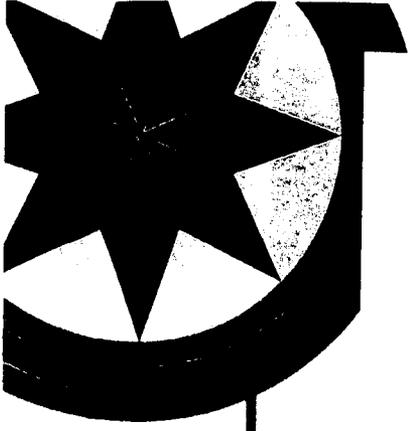
Charity Care Policy/Community Benefits Standards



- The JV will operate the Hospital in accordance with the “community benefit standards” set forth in Revenue Ruling 69-545.
- Accept Medicare/Medicaid patients.
- Accept all emergency patients without regard to ability to pay.
- Maintain an open Medical Staff.
- Provide public health programs of educational benefit to the community.
- Generally promote public health, wellness and welfare to the community by providing healthcare at a reasonable cost.
- The JV will commit to adopt policies for treatment of indigent patients as are in effect at the Hospital at time of effectiveness of the JV.

Options

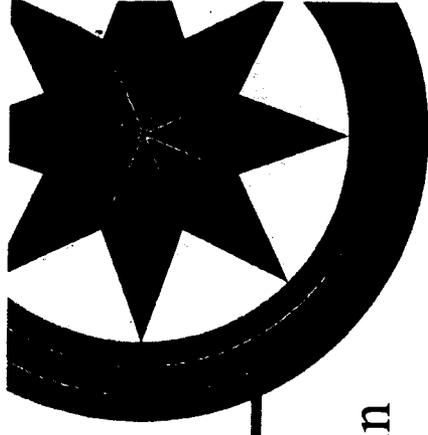
- SMHS Options



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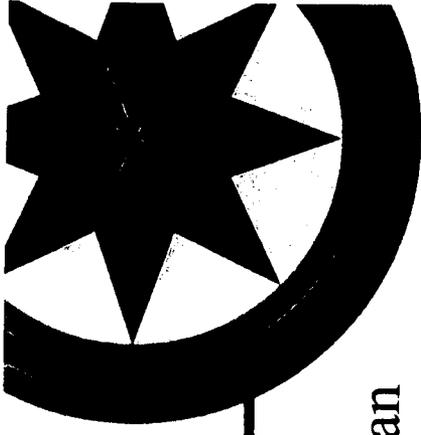
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MATERIAL

Non-Compete



- SMHS and LHP will agree not to compete with the JV in the service area during the term of the JV unless the following process is followed. If either party identifies a healthcare opportunity in which it wishes to invest that is in the non-compete area, such party must first present it to the JV. If the receiving party agrees, the opportunity will be pursued through the JV. If the receiving party disagrees, the proposing party may proceed to invest in the opportunity on its own behalf.

Right of First Refusal



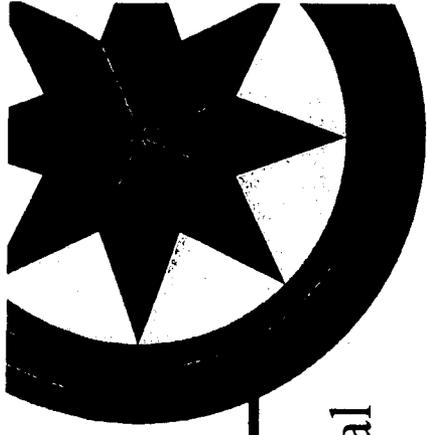
- If either SMHS or LHP receives a bona fide offer from an unrelated third party to purchase its ownership interest in the JV, the non-selling party will have the right to purchase such ownership at a purchase price equal to the amount offered by the third party.

Employee Matters

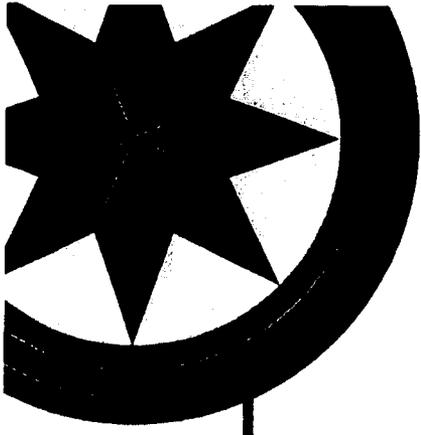


- All SMHS personnel will become employees of LHP and leased back to the JV, at cost.
- SMHS and LHP will mutually agree upon the JV's initial CEO.
- Employment will be at current salaries.
- Benefits will be LHP benefits, length of service will be recognized for purposes of vesting.

Tax Structure of the Joint Venture



- JV is a for-profit taxable entity (pays local sales and real estate taxes).
- JV is considered a “flow-through” entity for income tax purposes, with income taxed at the owner level.
 - Profits distributed to LHP will be taxable.
 - Profits distributed to SMHS will likely not be taxable.
- \$135 purchase price to SMHS will be tax-exempt.



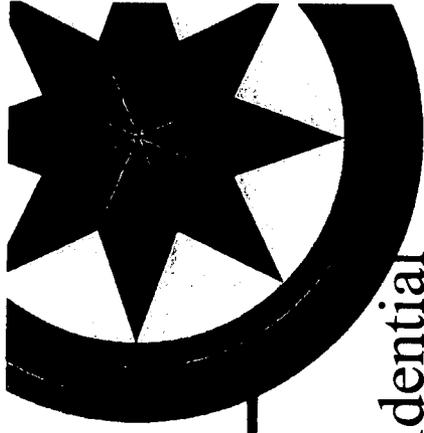
Next Steps



Next Steps – Timing of Events

- Finalize LOI with LHP: 1/6/2011 through 1/12/2011
 - Need Board Authorization
- Sign LOI: 1/6/2011 through 1/12/2011
 - Public Communication with agreement of LHP and SMHS
- Board Approval of Definitive Agreement: by 2/28/2011
- Sign Definitive Agreement: by 2/28/2011
- Close: by 9/30/2011

Our Expectations of Silence & Confidentiality



- It is imperative that this information remains confidential and contained until such time as the Board determines otherwise or a Definitive Agreement is executed. (Expected end-February).

Holding Statements

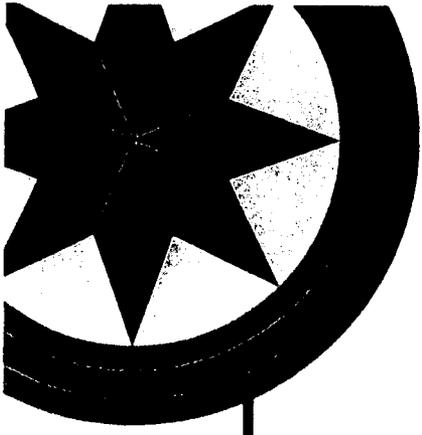


Holding Statement for Saint Mary's Hospital

At Saint Mary's Hospital we're dedicated to offering the best care possible to our patients. Therefore, we are always open to discussions regarding potential partnerships and future opportunities which support our mission. We do not discuss speculation regarding the hospital's overall business plans.

Holding Statement for LHP

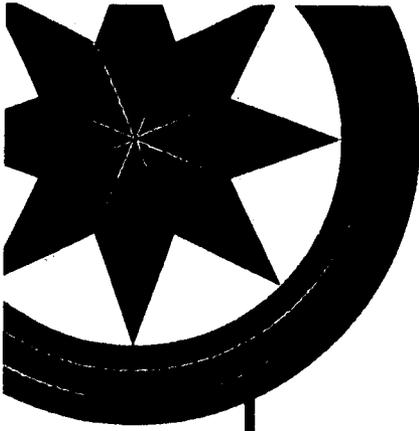
In health care it is common practice for any responsible organization to continually evaluate new opportunities that would result in an improvement in operations and the service we provide our patients. Our operational plans now and in the future will always support those efforts. However, at this time it is not appropriate for us to discuss hypothetical plans.



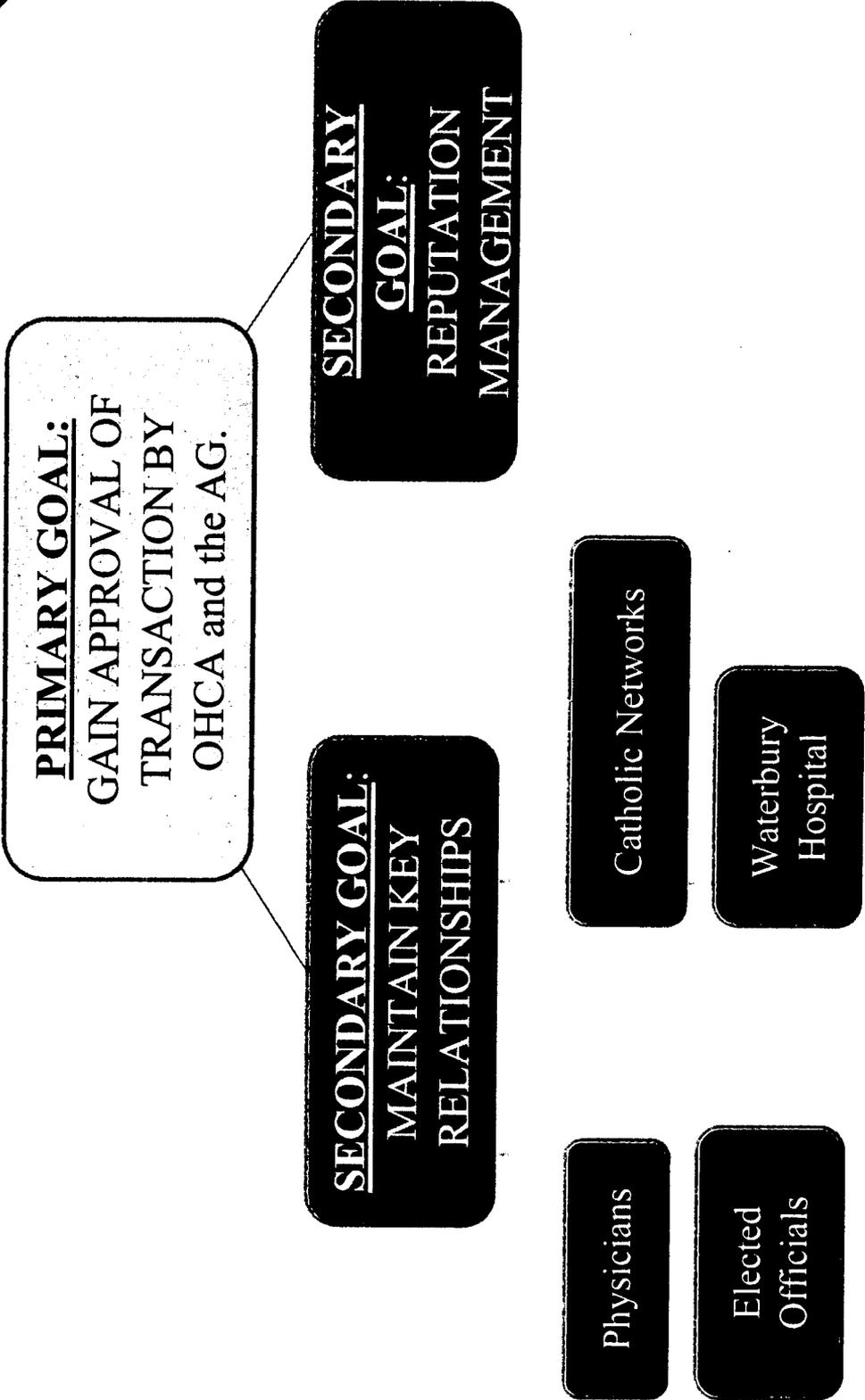
Discussion / Questions



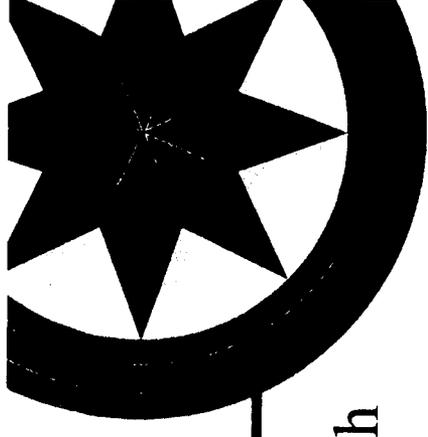
Appendix A – Public and Government Relations



Public Relations ("PR") & Government Relations ("GR") Goals



PR & GR



- Have ongoing government affairs relationship with Brown Rudnick
- Have engaged Jarrard Phillips Cate & Hancock to provide PR support.
 - Nashville-based public affairs firm singularly focused on the healthcare industry.
- Have begun preliminary planning including:
 - Collaborating with Government Relations team on process and timeline.
 - Interviewing Task Force members.
 - Identifying key audiences.
 - Drafting preliminary messages.
 - Developing overall campaign strategy and implementation plan.

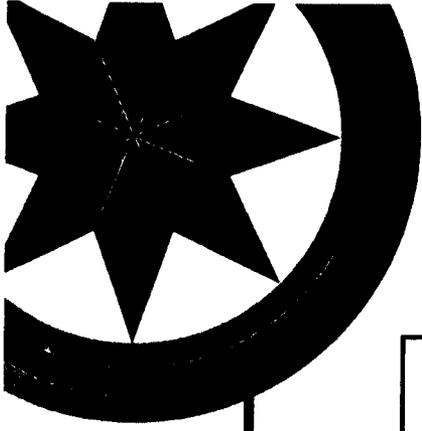
The GR Process



Conversion Act Approval Process

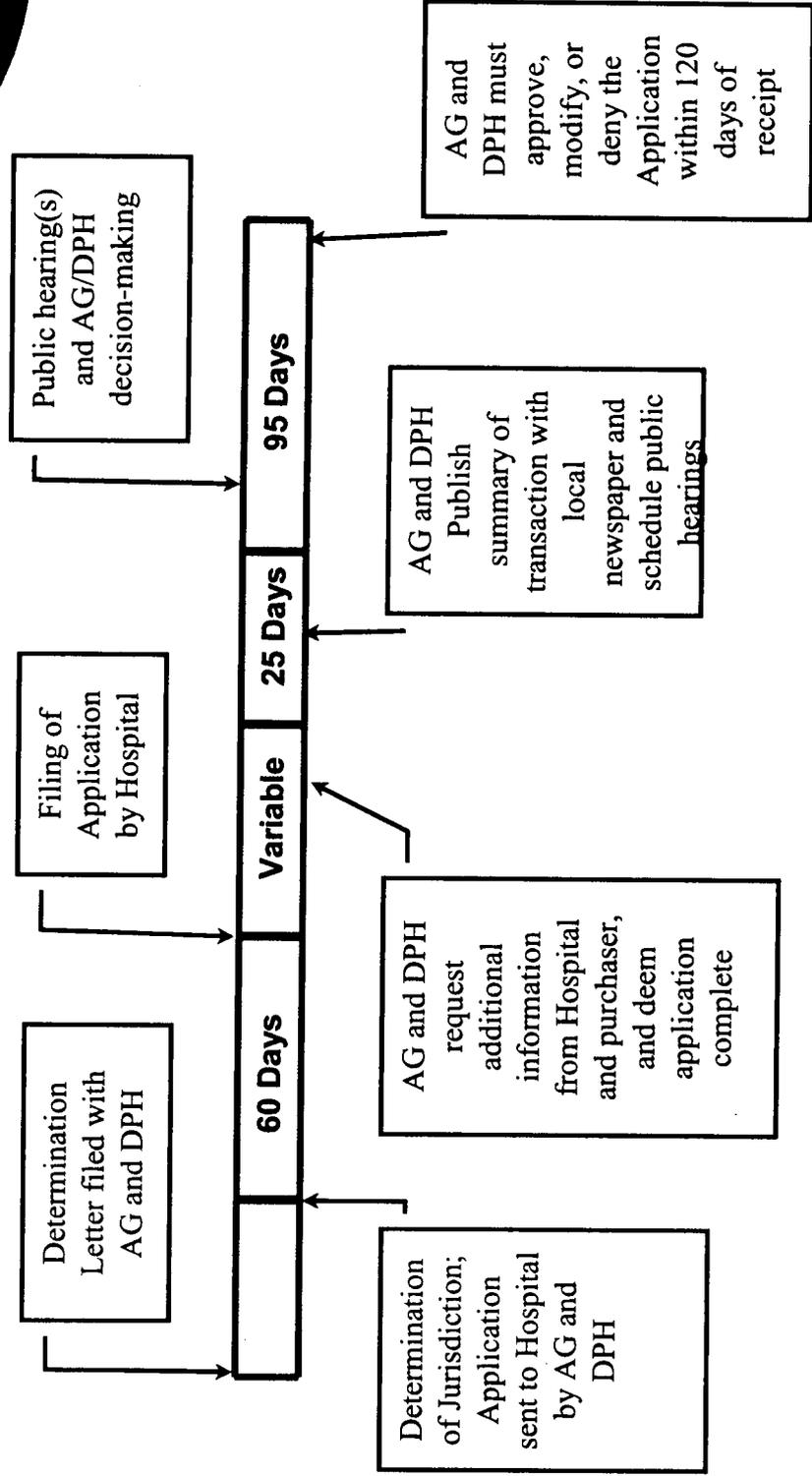
- The non-profit hospital and the purchaser must initiate the process by concurrently submitting a Certificate of Need Determination Letter, a.k.a. Letter of Intent, to the AG and the Commissioner of the Department of Public Health.*
- The Determination Letter must include:
 - Name and address of non-profit hospital
 - Name and address of purchaser
 - Description of the terms of the agreement
 - Estimated capital expenditure, cost, or value associated with the transaction

*Note - The CON process as revised by the recent CON reform statute varies from the process set forth by the Conversion Act. It is likely that the process set forth in the Conversion Act would be followed, but somewhat unclear.

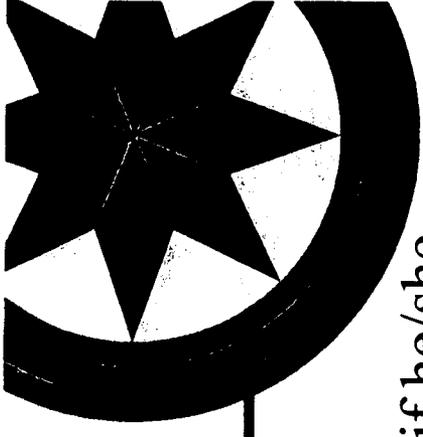


The GR Process (cont'd)

Attorney General / Dept. of Public Health Review



The GR Process (cont'd)



Attorney General Standard of Review

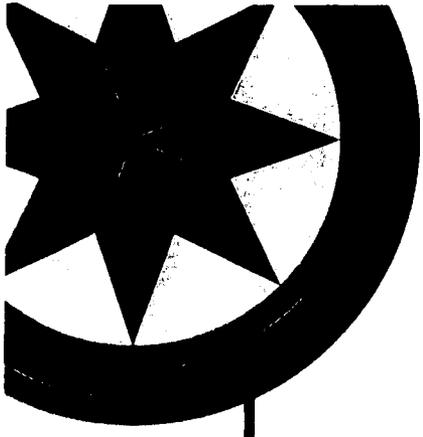
- The Attorney General will only approve the application if he/she generally determines that:
 - The hospital's decision to sell or transfer its assets was reasonable.
 - The hospital chose the ultimate purchaser in an open and fair process.
 - The hospital is receiving fair market value for its assets.
 - The funds generated by the sale will be transferred to a charitable foundation that will use the funds for the promotion of healthcare within the affected community.
 - Any use restrictions of any charitable assets (gifts, trusts, etc.) held by the hospital will be preserved.

The GR Process (cont'd)



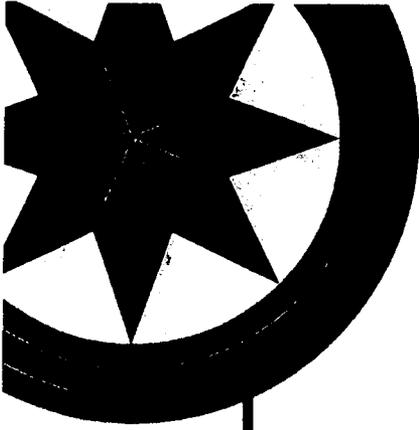
Dept. of Public Health Standard of Review

- The Commissioner of DPH will only approve the application if he/she generally determines that:
 - The affected community will be assured of continued access to affordable healthcare.
 - The purchaser has made a commitment to provide healthcare to the uninsured and the underinsured.
 - Safeguard procedures are in place to avoid a conflict of interest in patient referral when healthcare providers or insurers will be offered the opportunity to invest or own an interest in the purchaser or entity related to the purchaser.



Appendix B – Potential Partner Profiles

Potential Partner: LHP Hospital Group



Located in Plano, TX



Business Overview

LHP Hospital Group, Inc. is a privately held company established to provide capital and expertise to not-for-profit hospitals and hospital systems with which it forms joint ventures to own, operate and manage acute care hospitals. Headquartered in Plano, Texas, LHP is owned by affiliates of private equity firm CCMP Capital Advisors, LLC, and the CPP Investment Board, as well as members of management.

Address: 2800 North Dallas Parkway, Suite 200
 Plano, TX 75093
 Phone: (972) 943-1702
 Website: www.lhphospitalgroup.com

Governance and Management

Name	Title
Daniel J. Moen	President, Director and CEO
Jim Shannon	EVP Development
Bill Hibbit	CFO and EVP
Thomas Frazier	EVP Administration

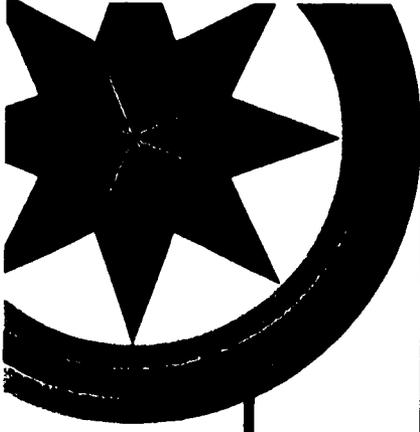
Recent M&A Activity

Date	Role	Description
12/19/10	JV	LHP and the Seton Family of Hospitals (Seton), today announced the formation of a joint venture to construct Seton Medical Center Harker Heights in Harker Heights, Texas.
07/16/09	JV	LHP has signed a letter of intent to enter into a joint venture with Texas Health Resources. Through the JV, LHP will be rebranded as a member of the Texas Health Resources network.
02/02/09	JV	LHP signed definitive agreements with Bannock County and the Portneuf Health Care Foundation, Inc. to construct and operate a regional medical center in Pocatello, Idaho.

Investors



Potential Partner:



Located in

Business Overview

Recent M&A Activity

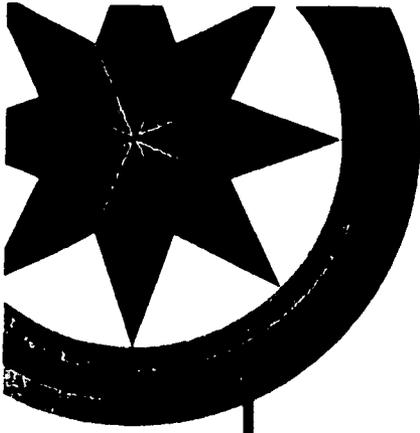
Governance and Management

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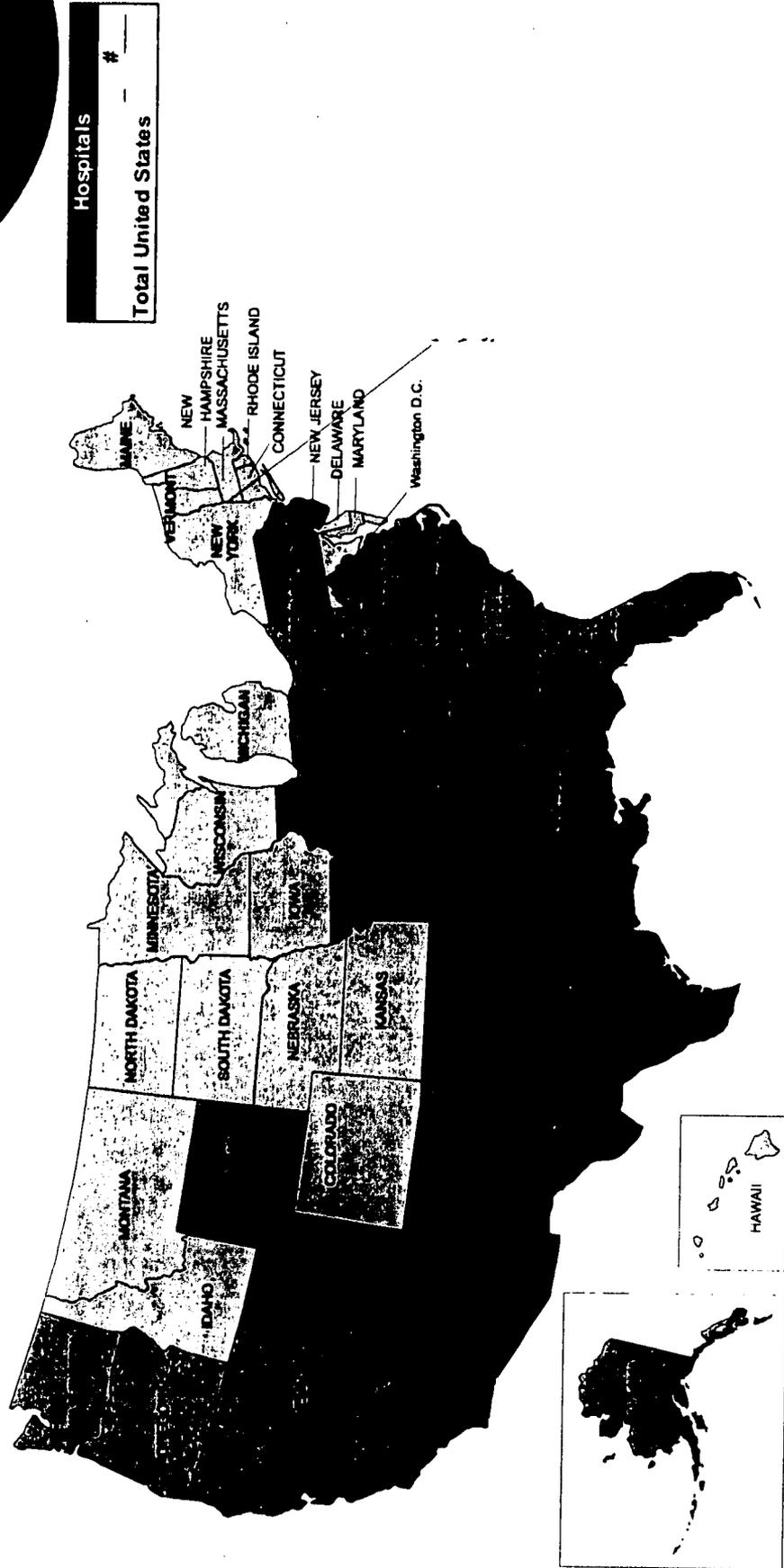
PROTECT

Source: Capital IQ

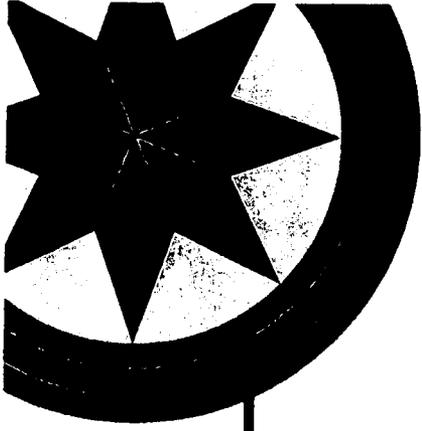
Source: Capital IQ



Footprint

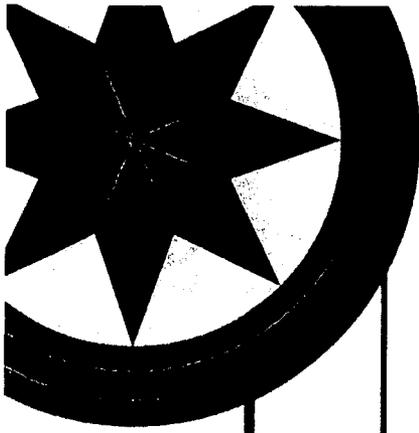


Blue shaded states indicate Community Health Systems presence.



Appendix C – Pension Plan Analysis

Calculation of Proceeds to Foundation from Transaction



Proceeds to Foundation from Transaction (\$ millions)⁽¹⁾

	Financial Disclosure		Church Plan		ERISA Plan		Lump Sum PBGC Termination		Annuity Purchase PBGC Termination	
Joint Venture Proposal										
Cash Proceeds for Assets	\$	135.0	\$	135.0	\$	135.0	\$	135.0	\$	135.0
Payment for Assets		(27.0)		(27.0)		(27.0)		(27.0)		(27.0)
Net Cash Proceeds	\$	108.0	\$	108.0	\$	108.0	\$	108.0	\$	108.0

Value of Retained Assets (minus Liabilities, \$ millions)⁽¹⁾⁽²⁾⁽³⁾

	Financial Disclosure		Church Plan		ERISA Plan		Lump Sum PBGC Termination		Annuity Purchase PBGC Termination	
Retained Assets										
Cash & Marketable Securities	\$	36.7	\$	36.7	\$	36.7	\$	36.7	\$	36.7
Assets Limited to Use ⁽⁴⁾										
Estimated Self-Insurance Liability Funds ⁽⁵⁾		19.3		19.3		19.3		19.3		19.3
Bond Indenture Funds		5.1		5.1		5.1		5.1		5.1
Net Interest in Foundation & Other Funds ⁽⁶⁾										
Less: Defined Pension Benefit Plan		(66.6)		(45.5)		(56.0)		(78.6)		(98.4)
Less: Debt		(28.0)		(28.0)		(28.0)		(28.0)		(28.0)
Less: Self-Insurance Liability		(19.3)		(19.3)		(19.3)		(19.3)		(19.3)
Less: Other Liabilities		(7.2)		(7.2)		(7.2)		(7.2)		(7.2)
Less: Supplemental Pension Plan		(0.9)		(0.9)		(0.9)		(0.9)		(0.9)
Net Retained Assets (minus Liabilities)	\$	(60.8)	\$	(39.8)	\$	(50.3)	\$	(72.8)	\$	(92.6)

(1) Balance Sheet financials as of Saint Mary's FY2010 Audited Financials.

(2) For plans beginning after December 31, 2007 (such as the SMH Pension), the applicable interest rate under Section 417(e)(3)(D) of the Pension Protection Act of 2006 is the monthly spot segment rate blended with the applicable rate under Section 417(e)(3)(A)(i)(I).

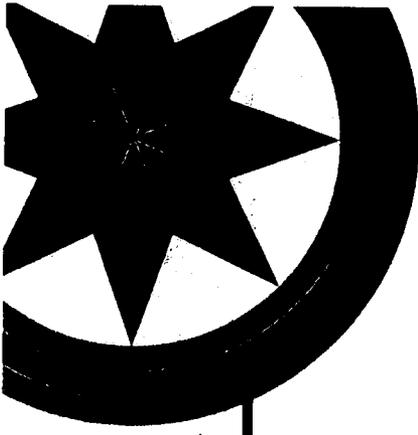
(3) Retained Assets do not include \$0.2 million in deferred financing costs related to debt. The \$0.2 million will be written off when debit is retired.

(4) Does not include fully restricted portion of Hellman Trust of \$13.4 million.

(5) Assumes Saint Mary's Indemnity company's self insurance asset fund is equal to the self insurance liability. The \$8.2 million excess reserves are restricted.

(6) Interest in Foundation (\$ 4.0 million) & Other Funds (\$0.6 million) are restricted and may not be used to satisfy liability payments but will remain in the Foundation.

Profile of Foundation After Closing



Saint Mary's Hospital Foundation: Post Closing Profile (\$ in millions)

	Financial				
	Disclosure	Church Plan	ERISA Plan	Lump Sum PBGC Termination	Annuity Purchase PBGC Termination
Joint Venture Proposal					
Cash Proceeds	\$ 108.0	\$ 108.0	\$ 108.0	\$ 108.0	\$ 108.0
Plus (Less) Working Capital	(6.0)	(6.0)	(6.0)	(6.0)	(6.0)
Less: Retained Assets (net of Liabilities)	(60.8)	(39.8)	(50.3)	(72.8)	(92.6)
Less: Tail Insurance Payment	(1.8)	(1.8)	(1.8)	(1.8)	(1.8)
Net Cash in Foundation	39.4	60.4	49.9	27.4	7.6
Plus: 20% Shareholding in JV	27.0	27.0	27.0	27.0	27.0
Net Cash & Net Assets in Foundation⁽¹⁾	\$ 66.4	\$ 87.4	\$ 76.9	\$ 54.4	\$ 34.6

(1) Foundation has future commitment to fund non-routine CapEx of \$7.5 million.

EXHIBIT 14

Morgan Keegan's Review of
Hospital Partnership & Related Agreements
dated March 3, 2011

Proprietary Information

Portions of this Exhibit are redacted based on the Trade Secret exception to the Freedom of Information Act, Conn. Gen. Stat. § 1-210(b)(5). The companies that provided information during the selection process conducted by Morgan Keegan on behalf of Saint Mary's included Confidentiality Agreements in which Saint Mary's and the companies involved agreed not to disclose any of the proprietary information that was supplied. The Confidentiality Agreements were required because the companies that are in the business of partnering with existing hospitals are in a competitive market, and knowing the details of what each of the companies offered, and their strategy to be selected, would make it impossible for the companies to conduct business with other hospitals without the information influencing the process and damaging their businesses. Also, the companies revealed information about their own financial strengths that a non-public, non quasi-public company is not required to do in a public filing.

The information in this Exhibit is being provided with sections redacted (rather than not being provided at all) because the Applicants believe that even with specific details removed, the Exhibit demonstrates that Saint Mary's went through a thorough and diligent process to select LHP as its partner and that the value of the Exhibit outweighs the fact that it is redacted.

If requested by the State, the Applicants will submit the un-redacted Exhibit provided the State makes a ruling that the Exhibit will be protected by the Freedom of Information laws or attorney-client privilege and will not be provided to any person or entity outside of the decision-makers (i.e. hearing officers, any necessary staff and the final decision maker) in each State agency and will keep the documents confidential.



Saint Mary's Health System

Review of Hospital Partnership and Related Agreements

March 3, 2011



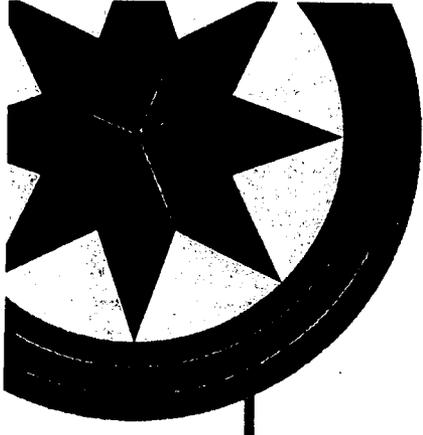
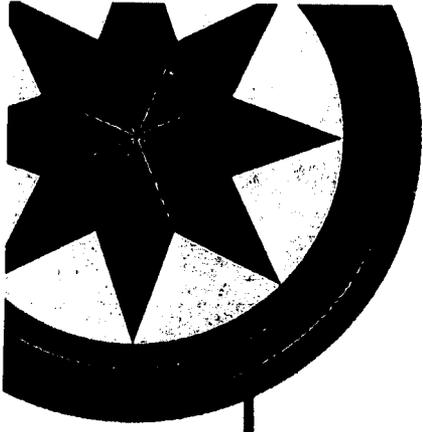


Table of Contents

- 1. Partnership Structure & Key Terms and Conditions**
- 2. Flow of Funds**
- 3. Pension Analysis**
- 4. Open Items and Next Steps**
- 5. Overview of Partnership Announcement**

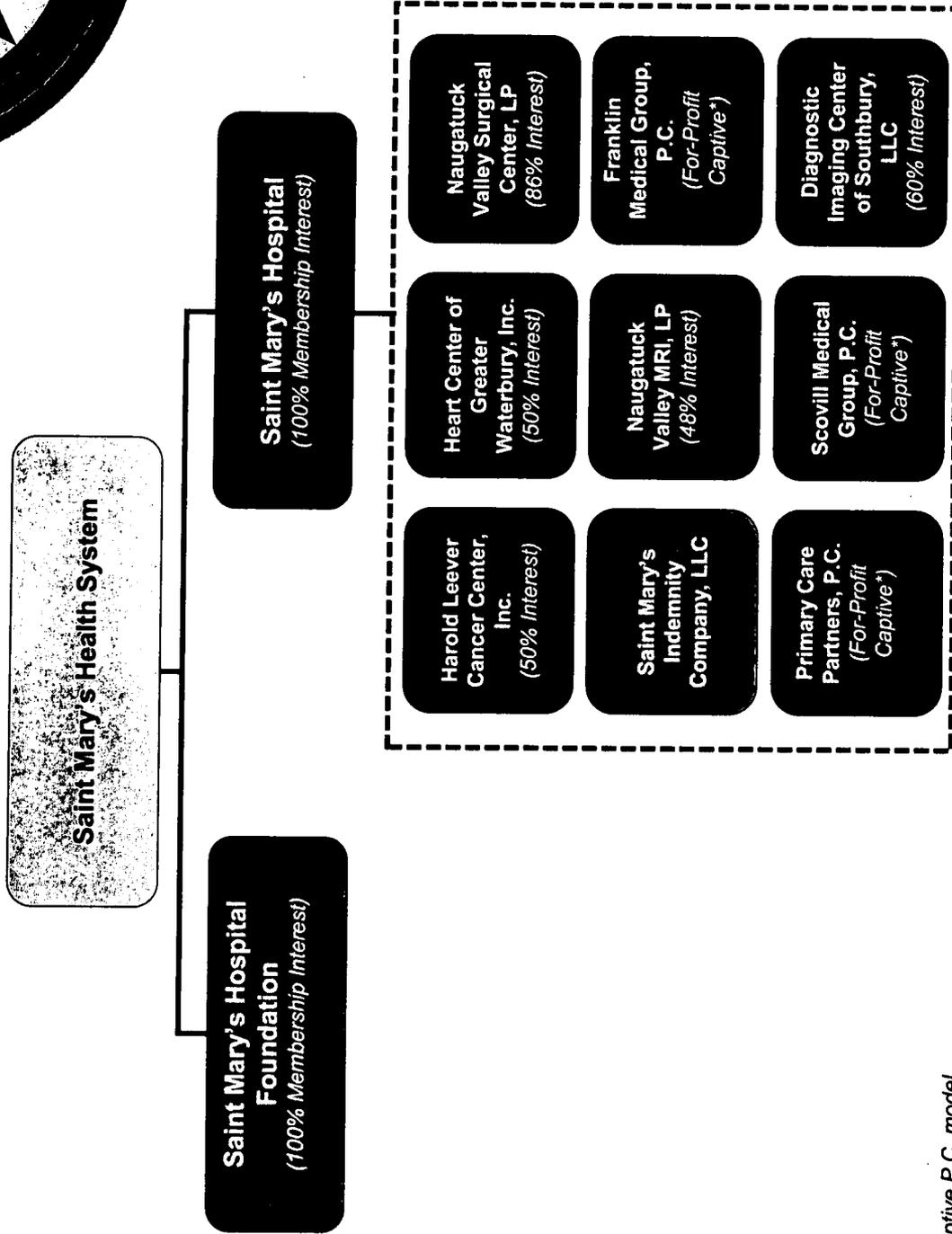
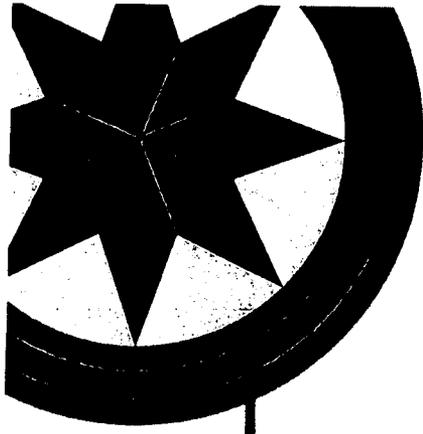
Appendix:

- A. FY2010 Balance Sheet Analysis**
- B. Working Capital Calculation**



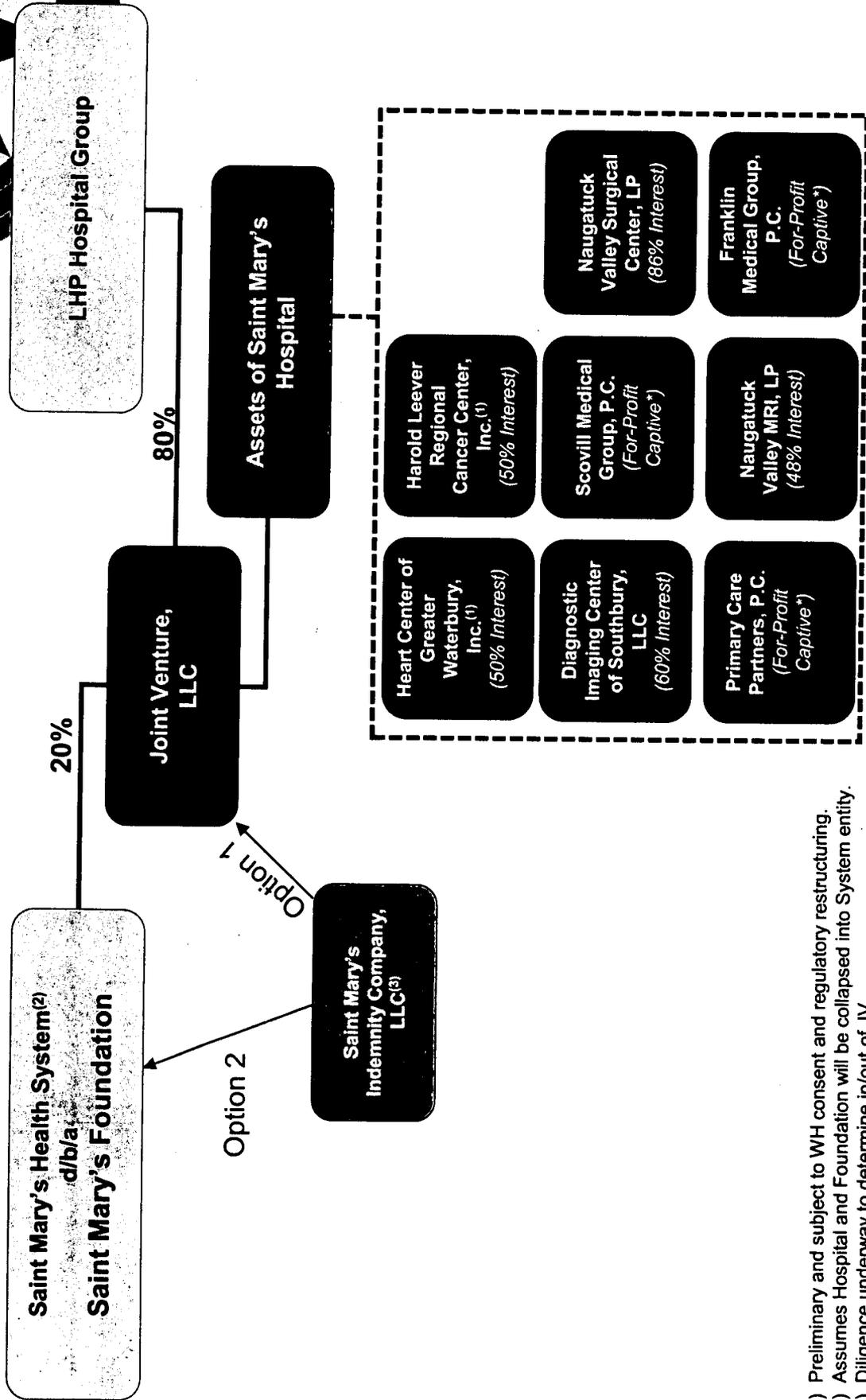
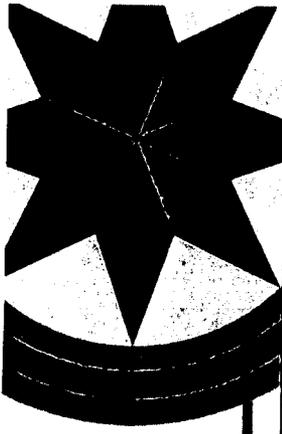
Partnership Structure & Key Terms and Conditions

Saint Mary's Organization Chart: Pre – Partnership

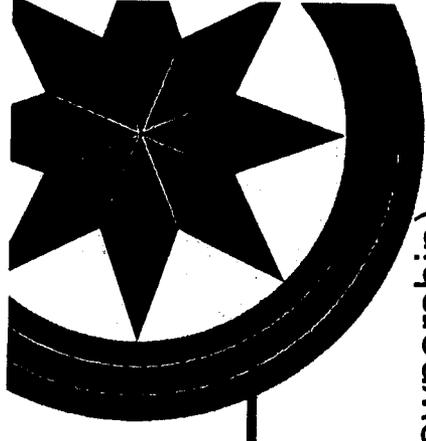


* Affiliated through a captive P.C. model
Grey shading indicates entities to be included in the proposed affiliation

Saint Mary's Organization Chart: Post –Partnership



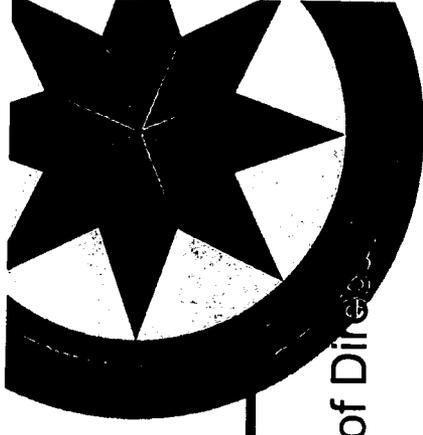
(1) Preliminary and subject to WH consent and regulatory restructuring.
 (2) Assumes Hospital and Foundation will be collapsed into System entity.
 (3) Diligence underway to determine in/out of JV
 * Affiliated through a captive P.C. model



Governance of the Joint Venture

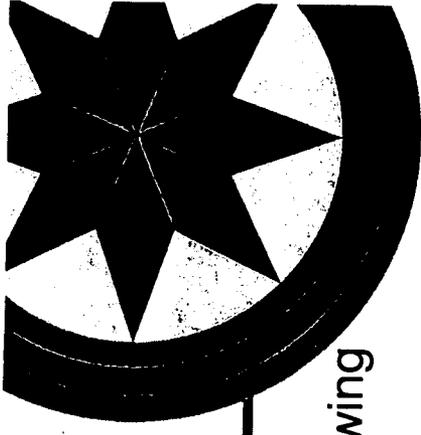
- There will be a ten member Board of Directors for the JV:
 - 50% appointed by SMHS (as long as maintains at least 20% ownership)
 - 50% appointed by LHP
- Board Decisions of the JV Board are subject to block voting
- Activities need the approval of both (i) the majority of members appointed by SMHS and (ii) the majority of members appointed by LHP
- Board members appointed by SMHS have the following reserve powers:
 - Right to terminate the JV CEO⁽¹⁾
 - Right to name the JV Board Chairman
 - Right to dissolve the JV if it fails to meet the Community Benefit Standards
 - Right not to renew the Management Agreement if the Manager is not operating the JV or Hospital in accordance with the “Company Purposes” and “Standards” or the Manager is otherwise in breach of the Management Agreement and has failed to cure such breach within 30 days

(1) The LHP Directors also retain the right to terminate the JV CEO.



Governance of the Hospital

- 12 member local Board of Trustees appointed by the JV Board of Directors
 - At least 50% Physicians from Medical Staff
 - Local community leaders
- Board of Trustees Powers (Advisory)
 - Adopting a Hospital Vision, Mission and Value statement
 - Participate in development and review of operating and capital budgets and facility planning
 - Monitoring quality and performance improvement
 - Granting medical staff privileges
 - Executing physician disciplinary actions consistent with Medical Staff Bylaws
 - Identifying new service and educational opportunities
 - Other powers could be delegated to the Board of Trustees as determined by the JV Board of Directors

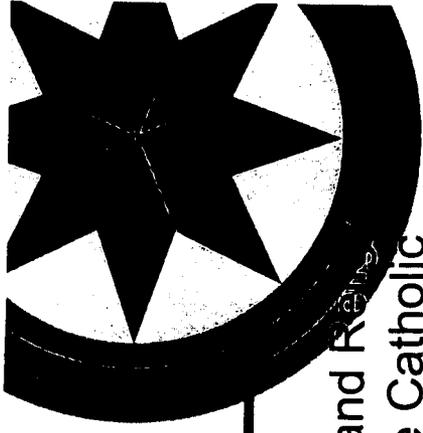


Governance of the Foundation

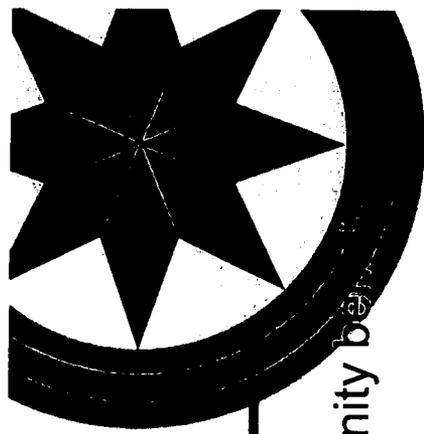
- **Revise the Health System bylaws to a Foundation with the following potential functions:**
 - **20% membership interest in the JV Hospital**
 - **Manage special programs and services**
 - **Grant management**
 - **Fundraising**
 - **Oversee “fully funded” pension plan**
 - **Potentially oversee SMIC**
- **New leadership and governance structure will be established**
- **New Board of Directors will be appointed**

Catholic Identity

- The JV will operate the Hospital in accordance with the Ethical and Religious Directives for Catholic Health Care Services and to preserve the Catholic Identity.



Charity Care Policy / Community Benefits Standards



- The JV will operate the Hospital in accordance with the “community benefits standards” set forth in Revenue Ruling 69-545.
- Accept Medicare/Medicaid patients.
- Accept all emergency patients without regard to ability to pay.
- Maintain an open Medical Staff.
- Provide public health programs of educational benefit to the community.
- Generally promote public health, wellness and welfare to the community by providing healthcare at a reasonable cost.
- The JV will commit to adopt policies for treatment of indigent patients as are in effect at the Hospital at time of effectiveness of the JV.

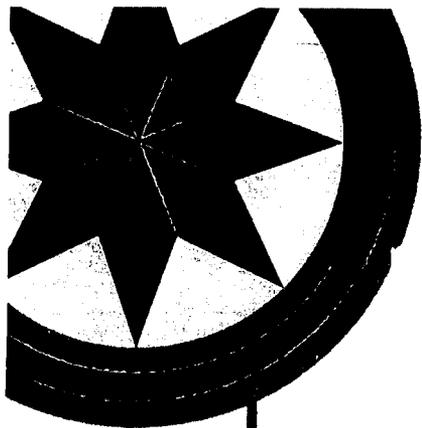
Options⁽¹⁾

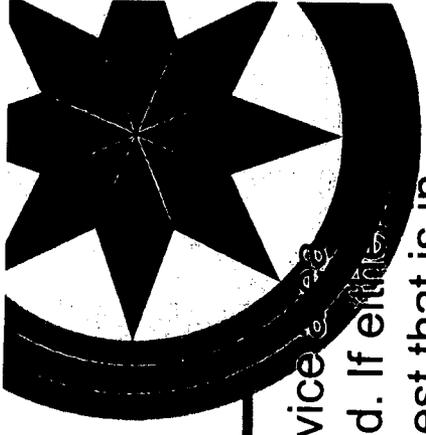
SMHS Options

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LHP Option





Non-Compete

- SMHS and LHP will agree not to compete with the JV in the service during the term of the JV unless the following process is followed. If either party identifies a healthcare opportunity in which it wishes to invest that is in the non-compete area, such party must first present it to the JV. If the receiving party agrees, the opportunity will be pursued through the JV. If the receiving party disagrees, the proposing party may proceed to invest in the opportunity on its own behalf.

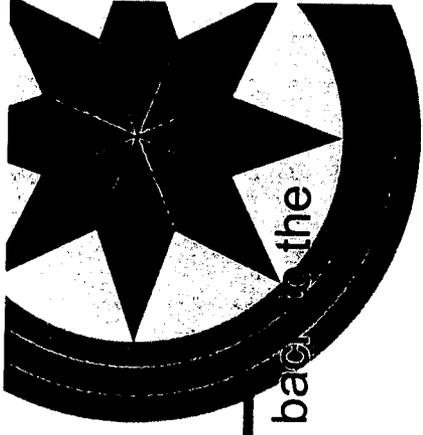
Right of First Refusal

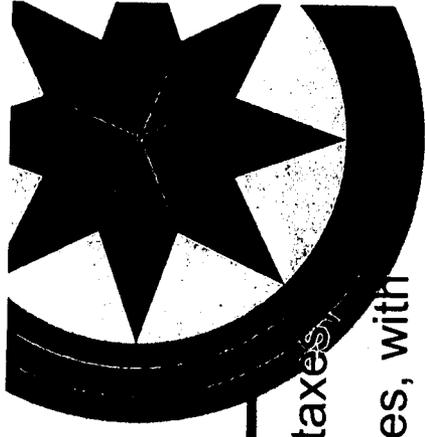
- If either SMHS or LHP receives a bona fide offer from an unrelated third party to purchase its ownership interest in the JV, the non-selling party will have the right to purchase such ownership at a purchase price equal to the amount offered by the third party.



Employee Matters

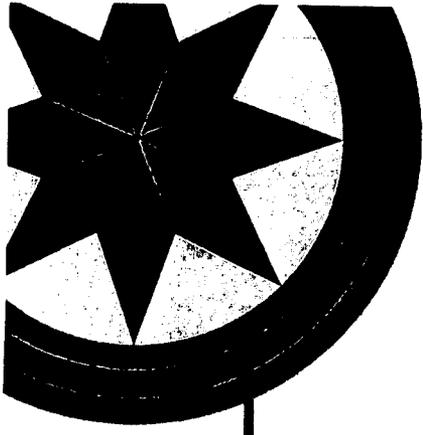
- All SMHS personnel will become employees of LHP and leased back to the JV, at cost.
- SMHS and LHP will mutually agree upon the JV's initial CEO.
- Employment will be at current salaries.
- Benefits will be LHP benefits, length of service will be recognized for purposes of vesting.





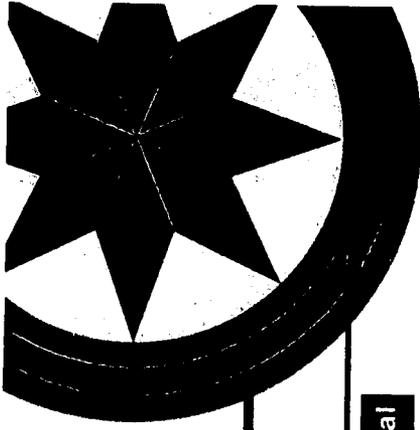
Tax Structure of the Joint Venture

- JV is a for-profit taxable entity (pays local sales and real estate taxes)
- JV is considered a “flow-through” entity for income tax purposes, with income taxed at the owner level.
 - Profits distributed to LHP will be taxable.
 - Profits distributed to SMHS will likely not be taxable.
- \$135 million purchase price to SMHS will be tax-exempt.



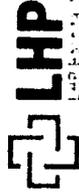
Flow of Funds

Economic Analysis of Proposal: Analysis of Enterprise Value of Proposal



Summary Analysis of Enterprise Value of Proposal (\$ millions)

Joint Venture Proposal

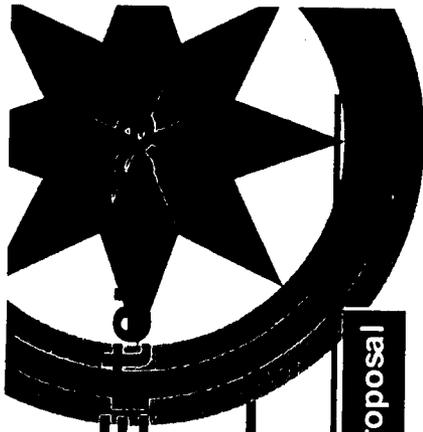


Consideration at Closing	
Consideration for Assets	\$ 135.0
Payment for Working Capital Taken ⁽¹⁾	(5.9)
Value of Working Capital Left Behind	(5.9)
Value Addition/(Reduction)	129.1
Value for Assets	\$ 75.0
CapEx Commitments ⁽²⁾	\$ 204.1
Implied Enterprise Value	\$ 17.1
FY 2010 EBITDA	12.0x
FY 2010 EBITDA Multiple	14.9
FY 2010 Operating Cash Flow	13.7x
FY 2010 EBITDA Multiple	250.8
FY 2010 Revenue	0.81x
FY 2010 Revenue Multiple	

(1) Most of the working capital is contributed to the Joint Venture.

(2) \$75 million will be committed upfront for the Master Facility Plan.

Economic Analysis of Proposal: Analysis of Estimated Value of Proposal (cont'd)



Value of Retained Assets (minus Liabilities, \$ millions)⁽¹⁾⁽²⁾

Joint Venture Proposal



Retained Assets (As of 09/30/10)
 Cash & Marketable Securities
 Assets Limited to Use⁽³⁾
 Estimated Self-Insurance Liability Funds⁽⁴⁾
 Bond Indenture Funds
 Net Interest in Foundation & Other Funds⁽⁵⁾
 Less: Defined Pension Benefit Plan⁽⁶⁾
 Less: Debt
 Less: Self-Insurance Liability
 Less: Other Liabilities
 Less: Supplemental Pension Plan
Net Retained Assets (minus Liabilities)

\$	36.7
	19.3
	5.1
	(66.6)
	(28.0)
	(19.3)
	(7.2)
	(0.9)
\$	(60.8)

(1) Balance Sheet financials as of Saint Mary's FY2010 Audited Financials.
 (2) Retained Assets do not include \$0.2 million in deferred financing costs related to debt. The \$0.2 million will be written off when debt is retired.
 (3) Does not include fully restricted portion of Hellman Trust of \$13.4 million.
 (4) Assumes Saint Mary's Indemnity company's self insurance asset fund is equal to the self insurance liability. The \$8.2 million excess reserves are restricted.
 (5) Interest in Foundation (\$4.0 million) & Other Funds (\$0.6 million) are restricted and may not be used to satisfy liability payments but will remain in the Foundation.
 (6) For plans beginning after December 31, 2007 (such as the SMH Pension), the applicable interest rate under Section 417(e)(3)(D) of the Pension Protection Act of 2006 is the monthly spot segment rate blended with the applicable rate under Section 417(e)(3)(A)(ii)(II).

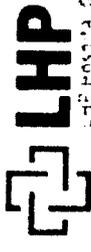


Economic Analysis of Proposal: Analysis of Economic Value of Proposal (cont'd)

Saint Mary's Health System: Post Closing Profile (\$ millions)

Joint Venture Proposal

Joint Venture Proposal



Cash Proceeds	108.0
Plus: Working Capital ⁽¹⁾	(5.9)
Plus: Retained Assets (net of Liabilities) ⁽²⁾	(60.8)
Net Cash in Saint Mary's Health System	41.3
Plus: Shareholding in JV ⁽³⁾	27.0
Net Cash & Net Assets in Saint Mary's Health System	68.3
Foundation Commitment for Future CapEx ⁽⁴⁾	7.5
Total Retained Assets (net of Liabilities)	=\$66.7

Cash Proceeds

Plus: Working Capital⁽¹⁾

Plus: Retained Assets (net of Liabilities)⁽²⁾

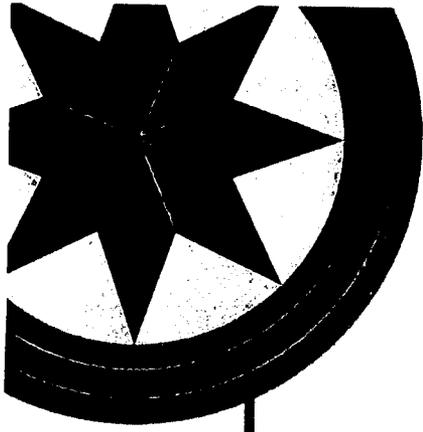
Net Cash in Saint Mary's Health System

Plus: Shareholding in JV⁽³⁾

Net Cash & Net Assets in Saint Mary's Health System

Foundation Commitment for Future CapEx⁽⁴⁾

(1) Represents working capital left behind, most of the working capital is contributed to the Joint Venture.
 (2) Does not include closing costs for purchase of tail insurance, counsel and financial advisor fees.
 (3) Represents Saint Mary's 20% shareholding in the JV.
 (4) Saint Mary's will commit to fund 10% of \$75 million non-routine CapEx.



Pension Analysis



Estimated Pension Plan Liabilities

Saint Mary's pension plan is currently recognized as a Church Plan. The liability is calculated based on Financial Accounting Board Standards for audit purposes. The table below shows the liability adjustment under each classification.

- **Financial Disclosure:** Reflects the liability amount reported in Saint Mary's FY2010 Audited Financials
- **Church Plan:** Assumes the plan remains affiliated with the Archdiocese. It is highly unlikely that this option will be available
- **ERISA Plan:** Assumes the Church Plan is converted to an ERISA Plan but not terminated

Estimated Plan Liability Calculation (9/30/2010) (millions except count data)⁽¹⁾

Discount Rate	Status	Count	Financial		
			Disclosure	Church Plan	ERISA Plan
			5.25%	7.00%	6.10%
Active		558	\$ 39.1	\$ 29.2	\$ 34.2
Deferred Vested		828	28.7	21.0	24.8
Retired		668	52.0	48.5	50.2
Total Liabilities		2,054	\$ 119.8	\$ 98.7	\$ 109.3
Fair Value of Plan Assets			53.2	53.2	53.2
Funding Amount Required:			\$ 66.6	\$ 45.5	\$ 56.0

(1) For plans beginning after December 31, 2007 (such as the SMH Pension), the applicable interest rate under Section 417(e)(3)(D) of the Pension Protection Act of 2006 is the monthly spot segment rate blended with the applicable rate under Section 417(e)(3)(A)(ii)(II).

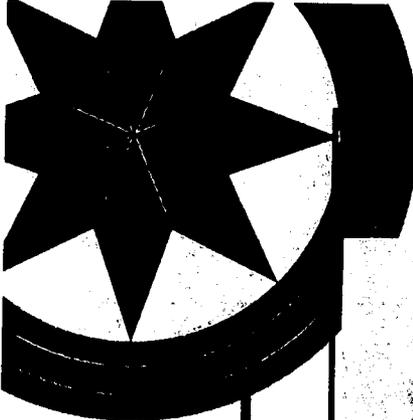


Pension Plan Funding & Expense Assumptions

The payment amount required to “fully fund” the pension plan is based on certain assumptions that will likely not exactly mimic actual future payments or earnings. Although it is anticipated that no future funding or pension expense will be required once the plan is “fully funded”, the minimum pension funding and expense may vary depending on factors described below.

- When the Plan becomes an ERISA Plan, and assets are increased to \$109.3M based on the estimated calculated liability, there should generally be no cash contribution required going forward (assuming the assumptions are met).
- It is important to remember that pension liabilities calculated for any purpose are not static.
- ERISA funding assumptions (including applicable discount rates to calculate the present value of liabilities), change annually. Moreover, investment returns on assets may fluctuate. Lastly, if mortality rates do not perform as projected, funding requirements will also change.
- For example, if there are asset losses or applicable interest rates decline, liabilities could again exceed assets, and contributions would be required. A \$10M difference would require a contribution of approximately \$1.7M over seven years.

Calculation of Proceeds to Saint Mary's Health System from Transaction



Proceeds to Saint Mary's Health System from Transaction (\$ millions)⁽¹⁾

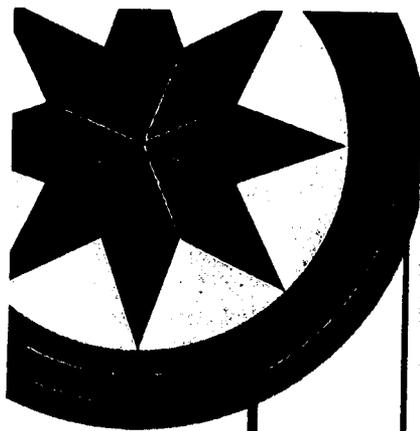
	Financial		
	Disclosure	Church Plan	ERISA Plan
Cash Proceeds for Assets	\$ 135.0	\$ 135.0	\$ 135.0
Payment for Assets	(27.0)	(27.0)	(27.0)
Net Cash Proceeds	\$ 108.0	\$ 108.0	\$ 108.0

Value of Retained Assets (minus Liabilities, \$ millions)⁽¹⁾⁽²⁾⁽³⁾

	Financial		
	Disclosure	Church Plan	ERISA Plan
Retained Assets			
Cash & Marketable Securities	\$ 36.7	\$ 36.7	\$ 36.7
Assets Limited to Use ⁽⁴⁾			
Estimated Self-Insurance Liability Funds ⁽⁵⁾	19.3	19.3	19.3
Bond Indenture Funds	5.1	5.1	5.1
Net Interest in Foundation & Other Funds ⁽⁶⁾	-	-	-
Less: Defined Pension Benefit Plan	(66.6)	(45.5)	(56.0)
Less: Debt	(28.0)	(28.0)	(28.0)
Less: Self-Insurance Liability	(19.3)	(19.3)	(19.3)
Less: Other Liabilities	(7.2)	(7.2)	(7.2)
Less: Supplemental Pension Plan	(0.9)	(0.9)	(0.9)
Net Retained Assets (minus Liabilities)	\$ (60.8)	\$ (39.8)	\$ (50.3)

(1) Balance Sheet financials as of Saint Mary's FY2010 Audited Financials.
 (2) For plans beginning after December 31, 2007 (such as the SMH Pension), the applicable interest rate under Section 417(e)(3)(D) of the Pension Protection Act of 2006 is the monthly spot segment rate blended with the applicable rate under Section 417(e)(3)(A)(ii)(I).
 (3) Retained Assets do not include \$0.2 million in deferred financing costs related to debt. The \$0.2 million will be written off when debt is retired.
 (4) Does not include fully restricted portion of Hellman Trust of \$13.4 million.
 (5) Assumes Saint Mary's Indemnity company's self insurance asset fund is equal to the self insurance liability. The \$8.2 million excess reserves are restricted.
 (6) Interest in Foundation (\$4.0 million) & Other Funds (\$0.6 million) are restricted and may not be used to satisfy liability payments but will remain in the Foundation.

Profile of Saint Mary's Health System After Closing



Saint Mary's Health System: Post Closing Profile (\$ millions)

Joint Venture Proposal	Financial		
	Disclosure	Church Plan	ERISA Plan
Cash Proceeds	\$ 108.0	\$ 108.0	\$ 108.0
Plus (Less) Working Capital	(5.9)	(5.9)	(5.9)
Less: Retained Assets (net of Liabilities) ⁽¹⁾	(60.8)	(39.8)	(50.3)
Net Cash in Saint Mary's Health System⁽²⁾	41.3	62.3	51.8
Plus: 20% Shareholding in JV	27.0	27.0	27.0
Net Cash & Net Assets in Saint Mary's Health System	\$ 68.3	\$ 89.3	\$ 78.8

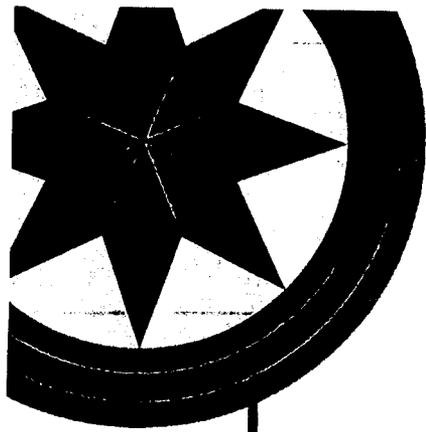
(1) Does not include closing costs for purchase of tail insurance, counsel and financial advisor fees.

(2) Assumes that the pension plan will be "fully funded" and no future funding payments will be required. Ongoing pension expense will be minimal.



Open Items and Next Steps

Open Items and Conditions

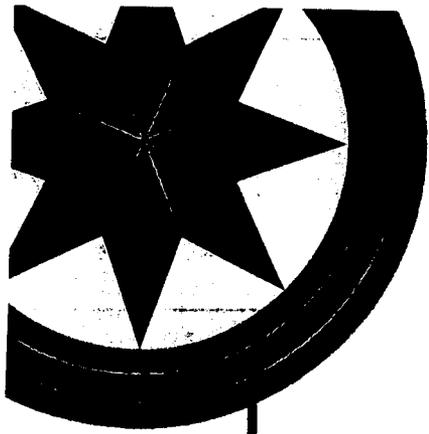


- Connecticut Transfer Act liabilities
- Environmental liabilities
- Conveyance taxes
- Adverse requests or actions of government entities
- Modification to covenant no to compete
- Catholic identity / License Agreement
- Indemnity provisions

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Next Steps – Timing of Events



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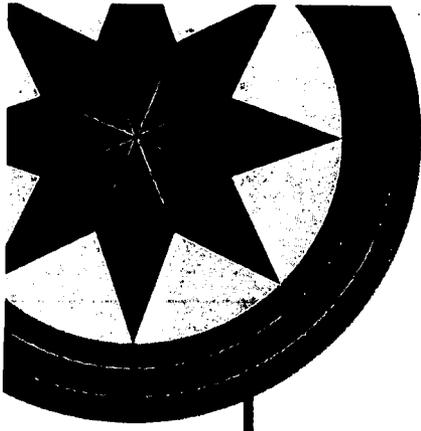
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Holding Statement



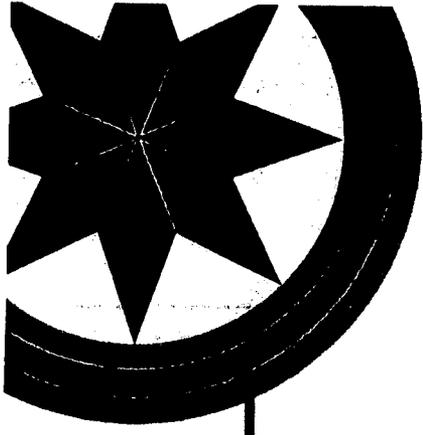
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Overview of Partnership Announcement

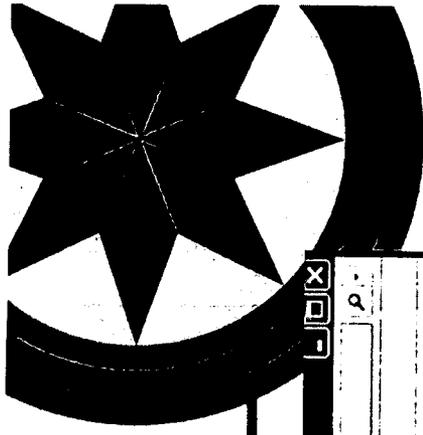
Goals of Communication Plan



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Dedicated Web Site



Saint Mary's Hospital - Windows Internet Explorer
http://www.lhh.com/saintmarys/

File Edit View Favorites Tools Help
88 How to Take a Screenshot in ... Saint Mary's Hospital X

Home Page Tools

A Stronger Saint Mary's. A Healthier Community.

Saint Mary's HOSPITAL

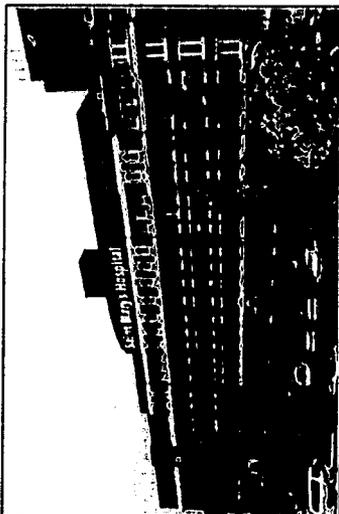
[HOME](#)
[A PARTNER FOR SAINT MARY'S](#)
[QUESTIONS & ANSWERS](#)
[LATEST NEWS](#)
[ABOUT SAINT MARY'S](#)
[ABOUT LHP](#)
[CONTACT US](#)
[ADDITIONAL RESOURCES](#)

A Letter to the Waterbury Community:

There are changes occurring at every level of healthcare today in our nation, state and community.

To further strengthen and enhance healthcare services in Waterbury, Saint Mary's Hospital officials have announced plans to form a joint venture partnership with LHP Hospital Group, Inc. (LHP), a leading provider of hospital capital and expertise to not-for-profit hospitals.

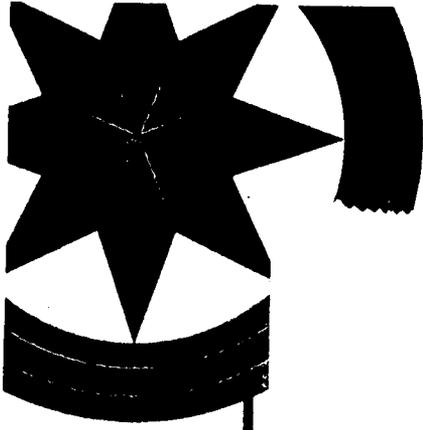
This decision is part of a new, long-term vision for Saint Mary's that we believe is the best step in ensuring that we continue offering high-quality healthcare to the community.



LHP is the clear choice as our strong, committed, long-term partner. They have successfully partnered with not-for-profit Catholic hospitals, like Saint Mary's, in the past and are the best fit for our institution and our community.

Internet 100%

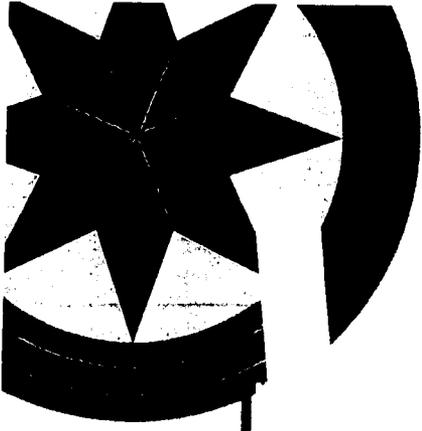
Announcement Timeline



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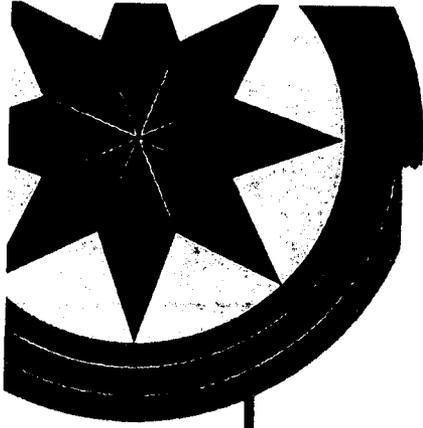
Next Steps



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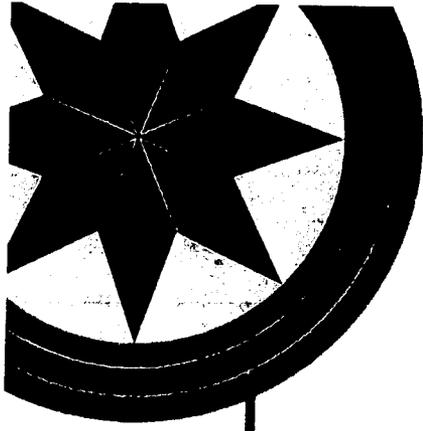
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The Role of the Board

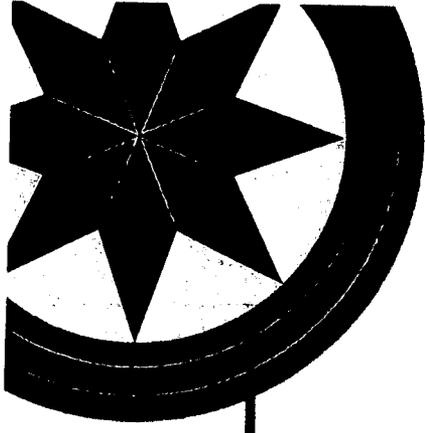


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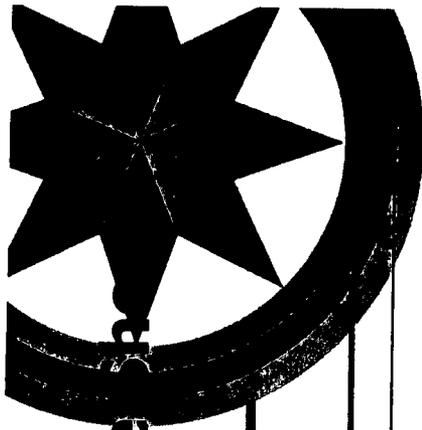
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Discussion / Questions



**Appendix A:
Saint Mary's Health System FY2010 Balance
Sheet Analysis**



Saint Mary's Health System FY2010 Balance Sheet Allocation of Assets

Saint Mary's Health System FY2010 Balance Sheet⁽¹⁾ (\$ in thousands)

FYE Ending September 30, 2010

	Consolidated Total		Hospital Shell Company		Foundation		SMC		Joint Venture				
	Unrestricted	Restricted	Unrestricted	Restricted	Unrestricted	Restricted	Unrestricted	Restricted ⁽²⁾	Unrestricted	NVSC ⁽³⁾	SDIC ⁽³⁾	JV Total	
Current Assets:													
Cash and cash equivalents	25,089	-	23,890	-	-	-	-	-	-	527	672	1,199	
Short-term investments	1,182	-	35	-	-	-	-	-	-	1,147	-	1,147	
Current portion of assets whose use is limited	4,714	-	1,902	-	-	-	2,812	-	-	-	-	-	
Accounts receivable, net	26,412	-	-	-	-	-	2,111	-	22,161	1,614	526	24,301	
Other current assets	4,148	-	-	-	-	-	52	-	3,599	468	29	4,096	
Total current assets	61,545	-	25,827	-	-	-	4,975	-	25,760	3,756	1,227	30,743	
Marketable securities	12,791	-	12,791	-	-	-	-	-	-	-	-	-	
Assets whose use is limited:													
By donor and held in trust by others	13,375	-	-	-	-	-	-	-	-	-	-	-	
For estimated self-insurance liability	23,888	-	-	-	-	-	-	-	-	-	-	-	
Additional funds for estimated self-insurance liability	3,660	-	-	-	-	-	-	-	-	-	-	-	
By bond indenture	5,078	-	5,078	-	-	-	-	-	-	-	-	-	
Net interest in Foundation	4,909	-	-	-	1,555	2,954	-	-	-	-	-	-	
Other	6	-	6	-	-	-	-	-	-	-	-	-	
Total assets whose use is limited	50,516	-	13,381	1,555	2,954	2,954	-	-	-	-	-	-	
Less current portion of assets whose use is limited	4,714	-	1,902	-	-	-	-	-	-	-	-	-	
Noncurrent assets whose use is limited	45,802	-	3,176	1,555	2,954	2,954	-	-	-	-	-	-	
Property and equipment, net	54,569	-	-	-	-	-	-	-	54,569	-	-	54,569	
Other assets:													
Investments in joint venture arrangements	9,372	-	-	-	-	-	-	-	-	-	-	-	
Deferred financing costs, net	214	-	-	-	214	-	-	-	9,372	-	-	9,372	
Other noncurrent assets	260	-	-	-	-	-	-	-	-	-	-	-	
Total other assets	9,846	-	-	-	474	-	-	-	-	-	-	-	
Total assets	104,553	\$	41,794	\$	13,855	\$	25,157	\$	4,554	\$	1,227	\$	94,684

Funds held by bond indenture

Comprised of inventory and other receivables

Heliman Trust

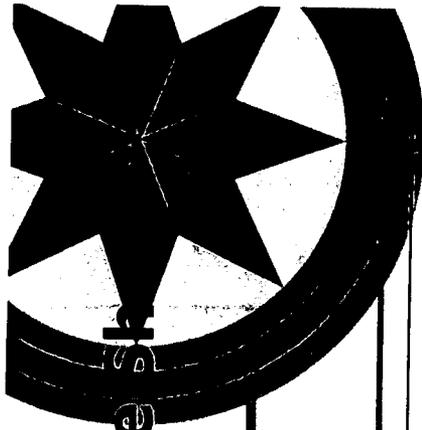
13,375

4,554

Assumes Saint Mary's Indemnity Company's self insurance asset fund is equal to the self insurance liability plus \$3.4 million. The \$4.8 million excess reserves are temporarily restricted

Investments in joint venture arrangements from the Cancer Center of \$8.5 million assumed to be Hospital Restricted; NVMRI and other joint venture arrangements of \$0.9 million remain in the Joint Venture

- (1) Uses Saint Mary's FY2010 Audited Financials.
- (2) Funds are temporarily restricted for three to five years. Once Saint Mary's Indemnity Company's liabilities are retired, the funds can likely be transferred to the Hospital Shell Company.
- (3) Assets and liabilities attributable to Naugatuck Valley Surgical Center & Southbury Diagnostic Imaging Center that will remain in the Joint Venture.



Saint Mary's Health System FY2010 Balance Sheet Allocation of Liabilities

Saint Mary's Health System FY2010 Balance Sheet⁽¹⁾ (\$ in thousands)

FYE Ending September 30, 2010

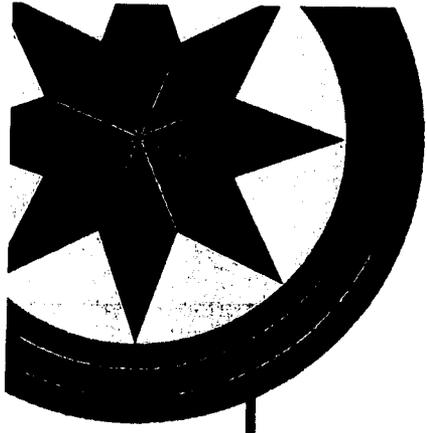
	Hospital Shell Company		Foundation		SMC		Joint Venture		JV Total	
	Unrestricted	Restricted	Unrestricted	Restricted	Unrestricted	Restricted ⁽²⁾	Unrestricted	NVSC ⁽³⁾		SDIC ⁽³⁾
Current Liabilities:										
Current Portion of Long-Term Obligations	3,124	-	-	-	-	-	-	56	318	
Accounts Payable	12,497	-	-	-	-	-	12,202	258	37	
Salaries, wages, and payroll withholdings	6,499	-	-	-	-	-	5,875	387	237	
Due to third-party payors, net	5,935	-	-	-	-	-	-	-	-	
Accrued expenses and other current liabilities	10,108	-	-	-	-	-	1,852	2,218	215	
Total Current Liabilities	38,163	8,685	5,823	5,823	19,929	2,919	23,655	807	4,285	
Long-term liabilities:										
Long-term obligations, net of current portion	26,769	25,252	-	-	-	-	-	154	1,383	
Estimated self-insurance liability	19,334	-	-	-	19,334	-	-	-	-	
Defined pension benefit plan	51,393	15,168	-	-	-	-	-	-	-	
Unrecognized net actual (gain)/loss	15,168	873	-	-	-	-	-	-	-	
Prepaid/(accrued) benefit cost	873	-	-	-	-	-	-	-	-	
Supplemental pension plan	-	-	-	-	-	-	-	-	-	
Other long-term liabilities	5,595	863	-	-	-	-	-	-	-	
Reserves for Medicare and Medicaid audits	863	860	-	-	-	-	-	-	-	
Old self-insured workers compensation policy	860	701	-	-	-	-	-	-	-	
Accounting liability for asset disposals	701	-	-	-	-	-	-	-	-	
Sick and vacation accrual	270	-	-	-	-	-	-	-	-	
Deferred rent income	74	-	-	-	-	-	270	-	-	
Other	-	-	-	-	-	-	-	-	-	
Total long-term liabilities	121,820	99,845	860	19,334	344	154	1,383	996	1,663	
Minority interest in subsidiaries	1,663	-	-	-	-	-	-	4,069	2,857	
Total liabilities	161,746	108,530	860	25,157	20,273	1,538	23,655	4,069	27,199	
Retained Assets (net of Liabilities)	22,807	(66,736)	12,995	1,555	2,954	4,554	69,428	(313)	(1,630)	
Total liabilities and net assets	184,553	41,794	13,955	2,954	25,157	4,554	89,701	3,756	1,227	

Pension liability as calculated by financial disclosure method, per Saint Mary's FY2010 Audited Financials.

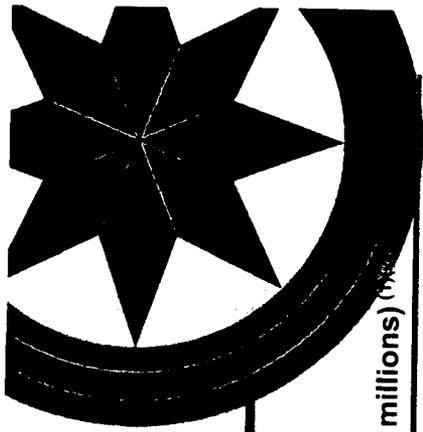
Deferred rent income/settlement from payment for Towers. Contract will still exist in the Joint Venture

See page 8

- (1) Uses Saint Mary's FY2010 Audited Financials.
- (2) Funds are temporarily restricted for three to five years. Once Saint Mary's Indemnity Company's liabilities are retired, the funds can likely be transferred to the Hospital Shell Company.
- (3) Assets and liabilities attributable to Naugatuck Valley Surgical Center & Southbury Diagnostic Imaging Center that will remain in the Joint Venture.



Appendix B: Working Capital Calculation



Working Capital Calculation

Working Capital: Portions Contributed to JV or Retained by Saint Mary's Health System and SMIC (\$ millions)

	Post Closing Net Working Capital			
	Current	Joint Venture	Saint Mary's Hospital Shell	Saint Mary's Indemnity Company
Working Capital (As of 9/30/10)	Saint Mary's Health System			
Accounts Receivable (net)	26,412	24,301	-	2,111
Other Current Assets	4,148	4,096	-	52
Less: Accounts Payable	(12,497)	(12,497)	-	-
Less: Accrued Payroll Expenses	(6,499)	(6,499)	-	-
Less: Third Party Settlements	(5,935)	-	(5,935)	-
Less: Accrued Other Expenses	(10,108)	(4,285)	-	(5,823)
Net Working Capital	(4,479)	5,116	(5,935)	(3,660)

(1) Balance Sheet financials as of Saint Mary's FY2010 Audited Financials.

(2) Net Working Capital does not include cash, investments, current portion of assets whose use is limited, current portion of long term obligations.

EXHIBIT 15

Morgan Keegan's Qualifications

Appendix H: Morgan Keegan's Qualifications

Table of Contents

1. Overview of Morgan Keegan Healthcare Investment Banking
2. Representative Healthcare Fairness Opinion Experience
3. Biographies of Select Morgan Keegan Professionals
 - Saint Mary's Health System Deal Team
 - Fairness Opinion Committee

Overview of Morgan Keegan Healthcare Investment Banking

Overview

Morgan Keegan provides a full spectrum of healthcare investment banking and advisory services while offering deep industry expertise and client-focused attention.

Morgan Keegan

- One of the largest healthcare investment banking groups on the "Street": 40 healthcare banking professionals in seven offices: New York, Atlanta, Chicago, Dallas, Memphis, Nashville and San Francisco
- Shattuck Hammond Partners has 27-year history of providing client-focused partner-level investment banking and advisory services for public, private and not-for-profit healthcare organizations across the country, with a focus on growth companies: Acquired by Morgan Keegan in 2007
- Lead advisor on transactions in the healthcare industry totaling over \$38 billion in asset value, including over \$12 billion in M&A, \$15 billion in Capital Markets, \$7 billion in Real Estate transactions and \$4 billion in derivatives
- Over 340 investment bankers in more than 30 offices across the country have closed nearly 270 deals over the past 5 years, representing \$52 billion
- 26 senior equity research analysts covering approximately 300 companies, including 4 healthcare analysts covering more than 30 companies
- Healthcare Services Analyst achieved Wall Street Journal Best on the Street Analyst Survey four times
- Healthcare Information Technology Analyst designated by Financial Times/StarMine 2009 as Number 1 stock picker and Number 3 earnings estimator
- Leading full-service brokerage and investment banking firm with \$1.3 billion in revenues and over \$80 billion in customer assets; 83% increase in revenue since 2001



- 12th largest financial services provider in the nation by total assets
- \$134 billion in total assets
- \$6.9 billion in revenues from diversified services
- \$4 billion healthcare portfolio
- 4.4 million customers in 16 states
- NYSE: RF

Full-Service Investment Banking Solutions

Morgan Keegan is focused on the middle market with more than 340 investment bankers. Healthcare is the firm's largest investment banking division.

Industry-focused Investment Banking

Consumer Products and Services

Energy

Financial Services

Healthcare

Homeland Security

Industrial and Building Products

Real Estate

Technology / Telecommunications

Transportation and Logistics

M&A

- Sell Side & Buy Side Advisory
- Strategic Advisory
- Exclusive Company Sales & Recapitalizations
- Divestitures
- Targeted Acquisition Searches
- Takeover Defense

Private Equity

- Equity Private Placements
- Agented Transactions
- Access to Private and Venture Capital Communities
- Mezzanine Financing
- Private Investments in Public Entities

Public Equity

- IPO & Follow-on Offerings
- Block Trades
- Share Repurchasing
- Corporate Finance Advisory
- Research
- Institutional & Retail Sales & Trading

Debt Products

- Investment Grade Debt
- High Yield Debt
- Preferred Stock
- Municipal Debt
- Retail Note Programs
- Debt Private Placements
- Corporate Finance Advisory
- Research
- Institutional & Retail Sales & Trading

Structured Products

- Securitizations
- Lease-Backed Debt
- CTL's
- Trust Preferred Securities
- Real Estate Finance
- Derivatives
- Interest Rate Hedges
- Currency Products

Fairness Opinions

- Valuations

Healthcare Investment Banking Professionals

The firm has assembled one of the largest and most qualified groups of investment bankers dedicated to the healthcare sector.

Nashville

Managing Director

- M. Duncan Dashiff

Senior Vice Presidents

- David E. Meagher
- Chris Rogers

Associates

- Christopher G. Dorn
- Jack Jeong

Memphis

Managing Director

- James Birdwell

San Francisco

Managing Director

- William B. Hanlon III

Senior Vice President

- Keith Grundy

Associates

- Benjamin Herbert
- Christian B. Pesci

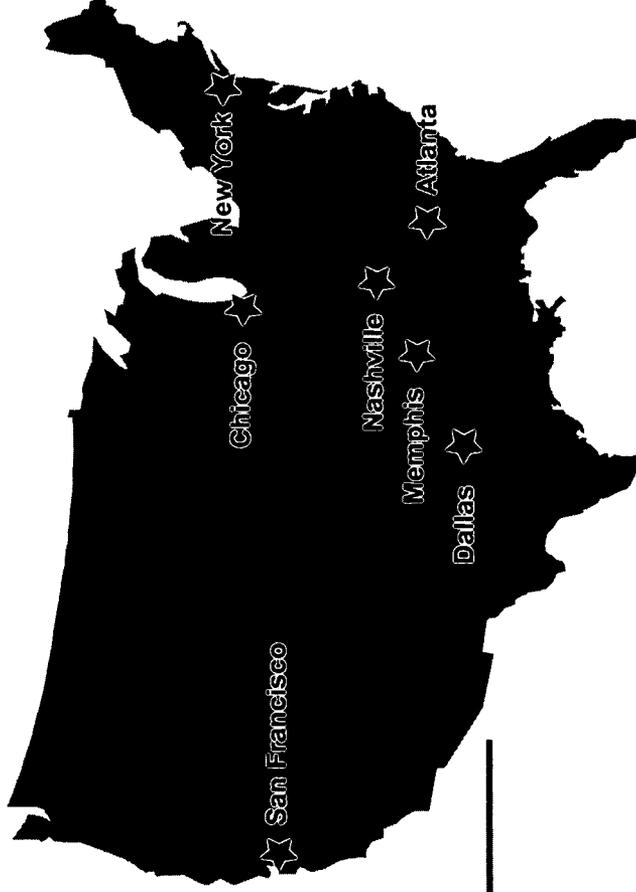
Chicago

Managing Directors

- Grant R. Chamberlain
- Victoria Poindexter

Associate

- Clayton Wilson



New York

Managing Directors

- Thomas Barry
- Joseph G. Beck
- Stephen Blumenreich
- Philip J. Camp
- Eric D. Coburn
- Michael S. Guernier

Senior Vice Presidents

- Keith Dickey
- Jay J. Miele
- Elaine Y. Yao

First Vice Presidents

- Laca Wong Hammond
- James L. Metcalf

Associates

- Peter Delaney
- John Nero
- Vasanta P. Pundarika
- Amanda K. Verner

Atlanta

Managing Director

- C. Richard Bayman

Vice President

- Thomas H. Sawyer

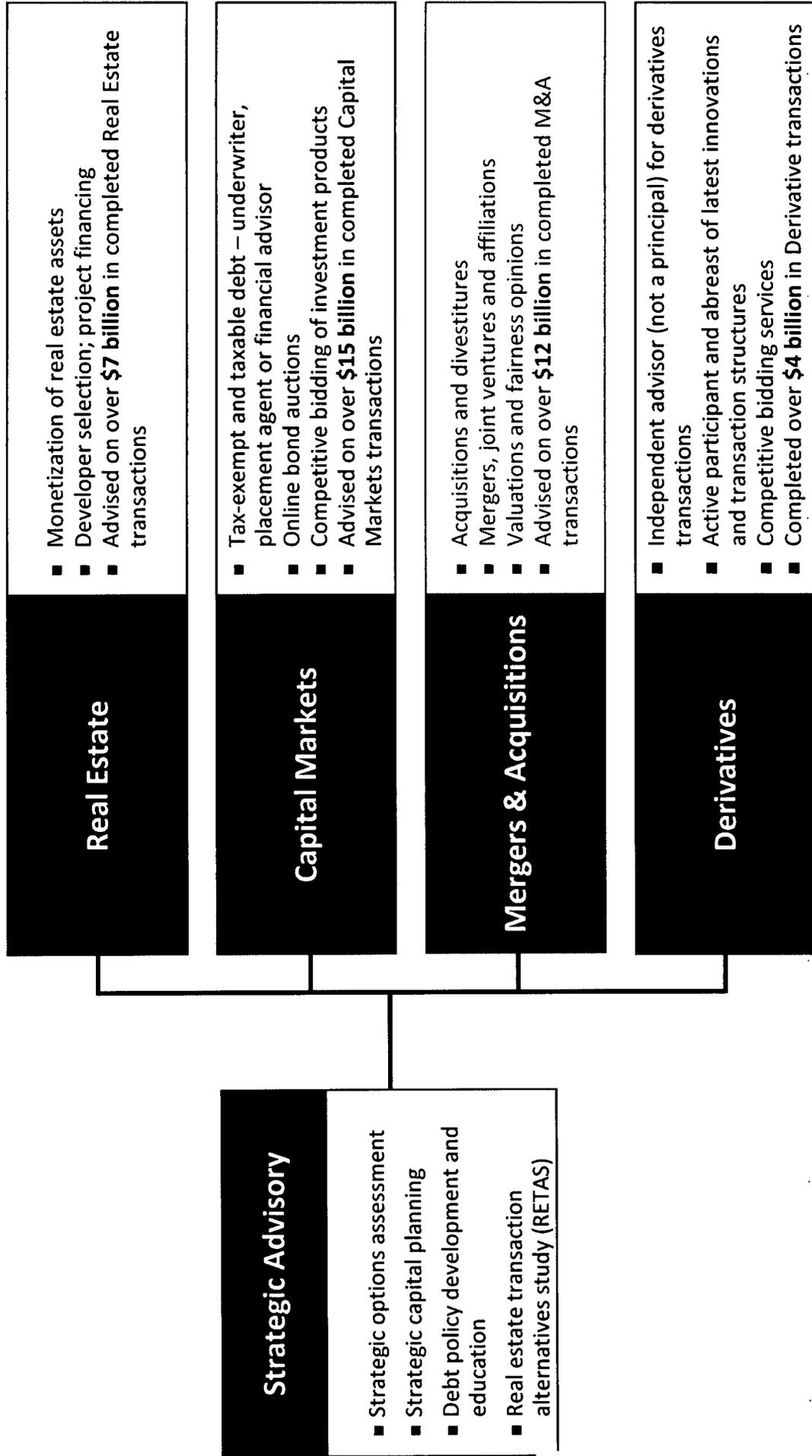
Dallas

Senior Vice President

- C. Nichols Graham

Healthcare Investment Banking Services

We provide a full spectrum of healthcare investment banking services to our clients.



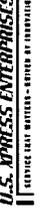
Representative Healthcare Fairness Opinion Experience

Representative Healthcare Fairness Opinion Experience

<p>Not Disclosed</p> <p>healthfirst</p> <p>acquired</p> <p>Senior Health Partners</p> <p><i>Senior Health Partners</i></p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Preferred Health Systems</p> <p>was sold to</p> <p>COVENTRY HEALTHCARE</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>rh</p> <p>community health networks</p> <p>sold</p> <p>chorus</p> <p>to</p> <p>CySolutions</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>RICHARDSON REGIONAL MEDICAL CENTER</p> <p>signed a 20-year operating lease agreement and joined</p> <p>Methodist HEALTH SERVICES</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Prairie Cardiovascular</p> <p>acquired</p> <p>Healthcare Systems</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>formed a joint venture with</p> <p>LHP</p> <p>LHP Hospital Group, Inc.</p> <p>Fairness Opinion Provider</p>	<p>\$1,150,000,000*</p> <p>MATRIA HEALTHCARE</p> <p>was sold to</p> <p>inveness medical innovations</p> <p>Fairness Opinion Provider</p>
<p>\$300,000,000</p> <p>Novant HEALTH*</p> <p>acquired 27% interest in seven hospitals from</p> <p>INTEGRIS</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed*</p> <p>PEDMONT HEALTHCARE</p> <p>formed</p> <p>PEDMONT HEART INSTITUTE</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Safeguard DENTAL & VISION</p> <p>was sold to</p> <p>MetLife</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>The Baptist Health System of East Tennessee</p> <p>merged with</p> <p>ST. MARY'S HEALTH SYSTEM</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>TRACTMANAGER</p> <p>completed a recapitalization with</p> <p>TRIMARK FINANCIAL SERVICES OF HEALTHCARE FINANCIAL SERVICES</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Via Christi Health System</p> <p>acquired an equity interest in</p> <p>KPN HOSPITALS</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>NEWMAN HOSPITAL</p> <p>sold its main hospital campus to</p> <p>PEDMONT HEALTHCARE</p> <p>Fairness Opinion Provider</p>
<p>\$25,500,000</p> <p>emedicine</p> <p>was sold to</p> <p>WebMD</p> <p>Enter information. Better health.</p> <p>Fairness Opinion Provider</p>	<p>\$115,000,000</p> <p>CENTERCALLS</p> <p>was sold to</p> <p>FIDELIS CARE</p> <p>Fairness Opinion Provider</p>	<p>Financial Advisory</p> <p>Safeguard</p> <p>completed a reverse stock split as part of a go-private transaction</p> <p>Fairness Opinion Provider</p>	<p>\$48,690,000</p> <p>HARMONY HEALTH PLAN</p> <p>sold its Medicaid HMO business to</p> <p>WellCare</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Virginia Commonwealth University Health System</p> <p>acquired the home health operations of</p> <p>Lenox Hill Hospital</p> <p>Fairness Opinion Provider</p>	<p>\$38,500,000</p> <p>Health Alliance</p> <p>sold its core laboratory operations of Alliance Laboratory Services to</p> <p>LabOne</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>VIRGINIA COMMONWEALTH UNIVERSITY HOSPITAL</p> <p>sold The Washington House to</p> <p>THE FORSTNERS</p> <p>Fairness Opinion Provider</p>
<p>Not Disclosed</p> <p>Advocate Health Care</p> <p>sold Advocate Ravenswood Medical Center to</p> <p>Seay & Thomas</p> <p>Fairness Opinion Provider</p>	<p>\$30,000,000</p> <p>North Star Hospital</p> <p>was sold to</p> <p>UTS</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Hawaii Pacific Health</p> <p>was formed through the merger of</p> <p>Straub Clinic & Hospital</p> <p>WILCOX HEALTH SERVICES</p> <p>Fairness Opinion Provider</p>	<p>\$24,300,000</p> <p>Ochsner Clinic Foundation</p> <p>merged with The Alton Ochsner Medical Foundation to form</p> <p>Ochsner</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>selectcare</p> <p>was sold to</p> <p>hgp</p> <p>Fairness Opinion Provider</p>	<p>\$95,000,000</p> <p>Georgetown</p> <p>sold its Clinical Business Enterprise and University Medical Center to</p> <p>MedStar Health</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Catholic Healthcare West</p> <p>acquired</p> <p>EPMG</p> <p>Fairness Opinion Provider</p>

Representative transactions. * Transactions completed by Luna relationship team bankers while with other firms.

Additional Representative Morgan Keegan Fairness Opinion Experience

<p>Not Disclosed</p>  <p>sold its fax and messaging divisions to</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>was sold to</p>  <p>Fairness Opinion Provider</p>	<p>\$393,000,000*</p>  <p>The GEO Group, Inc. acquired</p>  <p>Fairness Opinion Provider</p>	<p>\$27,000,000</p>  <p>was sold to</p> <p>Alta Mesa Holdings</p> <p>Fairness Opinion Provider</p>	<p>\$89,000,000</p>  <p>completed a go-private transaction</p> <p>Fairness Opinion Provider</p>	<p>\$97,300,000</p>  <p>acquired Physicians Insurance Company of Wisconsin</p> <p>Fairness Opinion Provider</p>	<p>\$420,000,000</p>  <p>was sold to</p>  <p>Fairness Opinion Provider</p>
<p>Not Disclosed</p>  <p>was sold to</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>merged with</p>  <p>Fairness Opinion Provider</p>	<p>\$1,892,000,000</p>  <p>was sold to</p> <p>Berkshire Hathaway</p> <p>Fairness Opinion Provider</p>	<p>\$30,900,000</p>  <p>completed a management buyout transaction</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>merged with</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>acquired</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>completed a growth recapitalization with</p> <p>SUN CAPITAL PARTNERS, INC.</p> <p>Fairness Opinion Provider</p>
<p>Not Disclosed</p>  <p>acquired</p>  <p>Fairness Opinion Provider</p>	<p>\$76,000,000</p>  <p>completed a management buyout transaction</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>Association Casualty Insurance</p> <p>was sold to</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p> <p>American Jet Engine Services</p> <p>acquired</p> <p>American Support</p> <p>Fairness Opinion Provider</p>	<p>\$250,000,000</p> <p>Ceanic Corp.</p> <p>was sold to</p>  <p>Fairness Opinion Provider</p>	<p>\$81,600,000</p> <p>PST Vans</p> <p>was sold to</p>  <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>merged with</p> <p>The Restaurant Co.</p> <p>Fairness Opinion Provider</p>
<p>\$216,000,000</p>  <p>merged with</p> <p>Cereus Technology Partners</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>evaluated a management buyout transaction</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>evaluated a merger with Radyne Corp.</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>evaluated a conversion of preferred stock to common stock</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>evaluated a conversion of preferred stock to common stock</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>acquired</p> <p>Welborn Transport</p> <p>Fairness Opinion Provider</p>	<p>Not Disclosed</p>  <p>was sold to</p>  <p>Fairness Opinion Provider</p>

Representative transactions. * Transactions completed by Luna relationship team bankers while with other firms.

Biographies of Select Morgan Keegan Professionals

Morgan Keegan Professionals

Morgan Keegan Professionals who rendered and reviewed the fairness opinion in connection with the Saint Mary's Health System and LHP Hospital Group joint venture collectively have over 145 years of healthcare investment banking experience.

- **Saint Mary's Health System Deal Team**
 - Michael B. Hammond, *Managing Director*
 - Elaine Y. Yao, *Senior Vice President*
 - Amanda K. Verner, *Associate*

 - **Fairness Opinion Committee**
 - Eric D. Coburn, *Managing Director*
 - Mitchell L. Kornblit, *Managing Director*
 - Richard J. Lorenti, *Managing Director*
 - Vinton L. Rollins, *Managing Director*
-

Biographies of Select Professionals

Michael B. Hammond

Managing Director
New York

(212) 314-0319

michael.hammond@morgankeegan.com

- Mr. Hammond has focused on the healthcare services industry for over 25 years. In these 25 years, Mr. Hammond has consistently counseled senior management and boards in the development of optimal business and capital strategies. He has also advised many leading healthcare companies in the development of turnaround and reorganization strategies. Mr. Hammond was a Managing Director of PricewaterhouseCoopers Securities LLC, after its acquisition of Shattuck Hammond Partners Inc., from 1998 through 2001, and served as its President and CEO from 1999 through 2001. Prior to co-founding Shattuck Hammond Partners Inc., Mr. Hammond managed the healthcare investment banking businesses at Salomon Brothers and Morgan Stanley, and was a Principal at Cain Brothers, Shattuck & Company. Prior to joining Salomon Brothers in 1978, Mr. Hammond practiced law at Brown and Wood. He currently serves as a Board Member of Precyse Solutions, a leading, national provider of Health Information Management services and technologies. He was previously the Chairman of the Board of Danbury Health System and Danbury Hospital, and currently serves as a Board Member of the Health System and the Hospital, and is a member of the Board of the Connecticut Hospital Association.
- Education: Vanderbilt University School of Law, J.D.; Wabash College, A.B.

Illustrative Transaction Experience

- Divestiture of Baptist Health System's Non-Core Assets
- Strategic Capital Plan for Children's Hospital Los Angeles
- Advisory for Rady's Children's Hospital and Health Center - San Diego's Bond Financings
- Advisory for Danbury Health System's Bond Financings
- Advisory for LifeSpan Corporation's Bond Financings
- Advisory for Texas Health Resources' Bond Financings
- Recapitalization of TractManager with Tudor Ventures and GE Healthcare Financial Services
- Sale of Health Net Dental and Vision to, and Strategic Relationship with, SafeGuard Health Enterprises
- Disposition of East Hill Woods
- Advisory for Eisenhower Medical Center's Bond Re-Offering and Construction Loan
- Advisory for Enloe Medical Center's Bond Financings
- Acquisition of Lafayette Heart Hospital by Franciscan Missionaries of Our Lady Health System
- Sale of Safeguard Health Enterprises to MetLife
- Acquisition of 50% of Paragon Health Finance's Share of TpHR by Texas Health Resources
- Sale of Zynx Health to Cerner
- Sale of Health Partners' Medicare Advantage business, Senior Partners, to Bravo Health
- Internet Auction Manager and Advisor to John Muir/Mt. Diablo Health System for Bond Financings
- Acquisition of Anchor Medical Associates by LifeSpan Corporation
- Sale of Precyse Solutions to Altaris Capital Partners and Newspring Capital
- Sale of Wheaton Franciscan Healthcare's Outreach Test Business to United/Dynacare
- Advisory for Virginia Mason Medical Center's Bond Financings

Biographies of Select Professionals

Elaine Y. Yao

Senior Vice President
New York
(212) 314-0310
elaine.yao@morgankeegan.com

- Elaine Yao is a Senior Vice President in the New York office. Ms. Yao joined the firm in 2003 and provides capital markets and merger and acquisition advisory services to a variety of healthcare clients – including healthcare systems, independent hospitals and specialty hospitals. Ms. Yao has over fifteen years of investment banking experience in both fixed income and mergers and acquisitions. She started her career in 1988 in the municipal finance department of Goldman Sachs & Co., structuring new money offerings, advance refunding issues and innovative debt products. During her three year tenure at Goldman, Ms. Yao worked on over \$3 billion in financings, and later worked on the debt capital markets desk in the London office of Goldman Sachs.
- From 1993 to 1994, Ms. Yao worked at the European Bank for Reconstruction and Development (EBRD), a multilateral institution, dedicated to developing Eastern European economies. From 1994 to 1996, Ms. Yao served as an Associate at Merrill Lynch & Co. in the project finance department, specializing in power plant transactions for municipal issuers as well as 144A debt issuers. From 1996 to 2001, Ms. Yao was a Vice President at Pedersen Kammert & Co., providing mergers and acquisitions advisory services to middle market, primarily private companies. During her tenure at PK&Co., Ms. Yao worked on valuation, sale and advisory transactions in a variety of industries including healthcare, luxury goods, and industrial manufacturing.
- Education: B.S. Finance and Political Science, The Wharton School of the University of Pennsylvania, *magna cum laude*, and M.B.A. degree from INSEAD in Fontainebleau, France.

Illustrative Transaction Experience

- FMOLHS: acquisition advisory related to purchase of North Monroe Hospital from Capella (2006)
- FMOLHS: strategic advisory related to purchase of short stay surgical hospital from MedCath (JV with physicians) (2008)
- Plan of Finance for Franciscan Missionaries of Our Lady Health System (2005, 2008 and 2009 financings)
- Plan of Finance for Texas Health Resources (2007, 2008 and 2010 financings)
- Plan of Finance for Orange Regional Medical Center (\$261,345,000 mm 2008 financing), non-investment grade replacement hospital (Ba1/NRVBB+)
- Strategic Capital Plan for West Virginia United Health System (2005, 2006, 2008, 2009, 2010 financings)
- CT-based Hospital: sell-side advisory (2007 to present)
- NY-based Hospital: strategic options assessment (2010)
- Hamot Hospital: strategic options assessment and financial advisory (2010)
- Plan of Finance for West Penn Allegheny Health System (2007 financing), largest non-investment grade financing in history (Ba2/BB/BB)
- Strategic Capital Plan and strategic advisory for St. Mary's Hospital
- Strategic Capital Plan and Placement Agent for Norwalk Hospital
- Plan of Finance for Good Shepherd Hospital (2007 and 2008 financings)
- Underwriter for Good Shepherd Hospital (2004 financing)
- M&A Advisory for Texas Health Resources
- Sale of a Pennsylvania regional health system to a leading Academic Medical Center
- Virginia-based Hospital: strategic options assessment (2010)
- New Jersey based Hospital: strategic options assessment and sell-side advisory (2010)
- Advisory for Texas Health Resources regarding the acquisition of Wilson N. Jones Hospital through a Joint Venture transaction
- Plan of Finance for Hamot Health Foundation (2010 financing)
- Plan of Finance for ViaHealth (2006 financing)

Biographies of Select Professionals

Amanda K. Verner

Associate
New York
(212) 314-0311
amanda.verner@morgankeegan.com

- Amanda Verner is an Associate at the firm and has worked in the New York office since 2006. She provides transaction and analytical support to senior bankers on a variety of engagements, including mergers and acquisitions, financings and strategic advisory services. Her clients include multi-hospital systems, single-site hospitals, long-term care facilities, managed care companies, healthcare information technology companies, higher education and specialty not-for-profit companies. Prior to joining the firm full-time, Ms. Verner was a Summer Analyst for the firm in the New York office.
- Education: Duke University, B.A. in Biology.

Illustrative Transaction Experience

- Sale of Coffee Health Group to RegionalCare Hospital Partners
- Sale of Unison Health Plans to AmeriChoice, a UnitedHealth Group company
- Financial Advisor for Covenant Health Systems on Bond Financings and Mergers/Acquisitions
- Sale of East Hill Woods to Watermark Retirement Communities
- Financial Advisor to Holy Spirit Health System Series 2011 Bond Financing
- Buyside Advisory to Healthfirst on the acquisition of Senior Health Partners
- Financial Advisor to Franciscan Missionaries of Our Lady Health System
- Financial Advisor and Swap Advisor to Geisinger Health System
- Association of American Medical Colleges Bond Financing
- Financial Advisor to American Council on Education
- Financial Advisor to Kaleida Health System
- Financial Advisor to MedAdvantage, Inc.
- Financial Advisor to Calvary Hospital
- Village Care of New York Bond Financing
- Financial Advisor to HealthTrio, LLC

Biographies of Select Professionals

Eric D. Coburn

Managing Director
New York

(212) 314-0315

eric.coburn@morgankeegan.com

- Mr. Coburn has over 20 years of experience advising a broad array of healthcare payors and providers throughout the United States. He has worked with private, publicly-traded, not-for-profit and provider-sponsored companies, and his experience includes mergers and acquisitions, including acquisition searches, valuations, strategic and capital advisory services, going private transactions, fairness opinions, restructurings, private placements of debt and equity, tax-exempt finance, IPO advisory services, and advisory services related to provider, reference and employment contracts. Mr. Coburn has extensive experience in the areas of managed care, physician organizations, laboratories, home health and healthcare outsourcing and has served as an advisor on many complex and innovative transactions. He has also written and is a speaker on a variety of healthcare industry topics.
- Education: University of Chicago, Graduate School of Business, M.B.A.; Wesleyan University, B.A., Phi Beta Kappa

Illustrative Transaction Experience

- Sale of SafeGuard Health Enterprises, a dental and vision benefits company
- Sale of ten Health Net subsidiaries in areas of commercial HMO, dental, vision and PPO network rental
- Sale of Atlantic Dental
- Going private transaction for SafeGuard Health Enterprises
- Merger and acquisition and advisory services for Prime Therapeutics, a PBM
- Acquisition of two biomedical companies for GE Medical Systems
- Sale of Wheaton Franciscan Healthcare's outreach lab business
- Sale of FL operations of Home Health Corporation of America
- Sale of Mid-Atlantic operation of Home Health Corporation of America
- Sale of Health Partners' Medicare Advantage business
- Strategic Options Assessment and advisory services for Maryland Care, a Medicaid managed care company
- Sale of Virginia Mason Health Plan
- Acquisition of two regional PPO rental network companies for Private Healthcare Systems
- Sale of Pathology Associates
- Fairness opinion and advisor on underwritten rights offering for Omega Health Investors
- Advisory services related to hospice acquisition by Calvary Hospital
- Financial advisory and merger and acquisition services for Highmark BlueCross BlueShield
- Sale of CenterCare, a Medicaid managed care company
- Advisor to FTI Capital Advisors in connection with restructuring of Vista Healthplan and Affiliates
- Sale of laboratory operations of The Health Alliance of Greater Cincinnati and development of reference and immediate response laboratory management contracts
- Strategic Options Assessment and merger and acquisition services for Gateway Health Plan
- Advisory services for New Hampshire Attorney General in connection with acquisition of Blue Cross of New Hampshire
- Sale of Dominion Diagnostics, a urine drug testing lab
- Sale of Harmony Health Plan, a Medicaid managed care company
- Acquisition, restructuring and tax-exempt financing services related to the creation of the West Penn Allegheny Health System
- Sale of nursing home and related campus for The West Penn Allegheny Health System
- Merger and acquisition and financial advisory services for Mayo Medical Laboratories
- Financial and strategic advisory services for BlueCross of Northeastern Pennsylvania
- Strategic Options Assessment, financial advisory and IPO services for Kapson Senior Quarters
- Sale of MedSpan
- Valuation and advisory services related to evaluation of sale of SelectScripts, a PBM, by Health Partners of Philadelphia
- Financial advisory and merger and acquisition services for Lehigh Valley Hospital and Health Network in connection with Health Network Laboratories
- Sale of ATG Rehab, a complex rehab company

Biographies of Select Professionals

Mitchell L. Kornblit

Managing Director
New York
(212) 314-0324
mitchell.kornblit@morgankeegan.com

- Mr. Kornblit has been a healthcare investment banker for over 25 year and was one of the founding partners of Shattuck Hammond Partners. Mr. Kornblit specializes in advising Board and senior managements of publicly-traded and private companies and not-for-profit organizations in strategic corporate transactions, including mergers and acquisitions and capital markets transactions. One of his major concentrations is working with managed care companies. From 1998 to 2001, Mr. Kornblit was a Managing Director of Pricewaterhouse Coopers Securities LLC following its acquisition of Shattuck Hammond Partners Inc. Prior to co-founding Shattuck Hammond Partners Inc., Mr. Kornblit was a Principal at Cain Brothers, Shattuck & Company and a Vice President at Salomon Brothers Inc. From 1976 through 1983, he practiced law with Cleary, Gottlieb, Steen & Hamilton in New York and Brussels.
- Education: Columbia University School of Law, J.D.; The Johns Hopkins University, B.A, M.A.

Illustrative Transaction Experience

- Buy-side advisory to Via Christi Health System in its acquisition of Kansas Heart Hospital
- Sale of Unison Health Plans to AmeriChoice, a UnitedHealth Group company
- Sale of Health Direct by Advocate Healthcare Network to Humana
- Sale of Suffolk County's Suffolk Health Plan to Neighborhood Health Providers
- Exclusive placement agent of Windsor Health Group's Series A Preferred Stock
- Sale of MedSpan to Oxford Health Plans
- Sale of Oxford Health Plan's Illinois operations to an affiliate of Blue Cross Blue Shield of Illinois
- Sale of Care Choices Health Plan to Priority Health
- Buy-side advisory to Hospital Sisters Health System in its acquisition of Prairie Cardiovascular Consultants
- Sale of HMO California to Emphesys Financial Group (now Humana)
- Sale of Advocate Ravenswood Medical Center to Seay & Thomas
- Sale of Ramapo Manor Center for Rehabilitation and Nursing to Ramapo Realty Group
- Sale of Catholic Healthcare West's Meriten Emergency Medicine Management assets to Emergency Physicians' Medical Group and two affiliated medical groups
- Acquisition of M.D. Enterprises of Connecticut by Health Systems International
- Acquisition of Austin Regional Clinic, P.A. by Seton Healthcare Network
- Sale of SelectCare to Health Alliance Plan of Michigan and PPOM
- Sale of CenterCare by Ryan Community Health Network to Fidelis Care New York (New York State Catholic Health Plan)
- Merger of The Ochsner Clinic with The Alton Ochsner Medical Foundation to form The Ochsner Clinic Foundation
- Sale of Harmony Health Plan of Illinois to WellCare Health Plans
- Sale of The Washington House continuing care retirement community to The Fountains

Biographies of Select Professionals

Richard J. Lorenti

Managing Director
New York

(212) 314-0318

richard.lorenti@morgankeegan.com

- Richard Lorenti has served as investment banker to a broad range of healthcare companies in a career that spans over 25 years. His experience includes developing and implementing strategic and financial transactions primarily for privately-held and not-for-profit healthcare companies. The types of transactions and services include mergers & acquisitions; capital raises, both publicly offered and privately placed, and strategic planning and options assessments. Mr. Lorenti was a co-founder of Shattuck Hammond Partners. Prior to 1993, he was a Vice President at Cain Brothers, Shattuck & Company and at Dean Witter Reynolds. Mr. Lorenti was an analyst in the healthcare group at Standard & Poor's from 1979 to 1984.
- Mr. Lorenti holds a B.S. in Architecture from The Catholic University of America and earned his Masters in City and Regional Planning from the Harvard University Graduate School of Design.

Illustrative Transaction Experience

- Strategic capital plan and bond financings for Central Maine Health System
- Acquisition advisory for Consumers Union
- Bond financing restructuring for EMH Regional Health System (OH)
- Advisory for equity placement for publicly held HCIT company
- Advisory for acquisition of Laurelwood Hospital by Lake Hospital System (OH)
- Advisory for sale of Lehigh Valley Health System DME business
- Advisory for NYU Medical Center merger with Mt. Sinai Medical Center
- Advisory for sale of Mount Sinai Medical Center (OH)
- Advisory for small privately held Physician billing service
- Advisory for formation of and equity placements for Prime Therapeutics LLC
- Advisory for sale of Richmond Heights General Hospital (OH)
- Strategic capital plan and bond financing for ViaHealth/Rochester (NY) General Hospital
- Advisory for sale of two privately held Surgery center businesses
- Bond financing for Village Care of New York
- Advisory for Visiting Nurse Service of New York's acquisition of various home health businesses

Biographies of Select Professionals

Vinton L. Rollins

Managing Director
New York
(212) 314-0326
vinton.rollins@morgankeegan.com

- Mr. Rollins' experience spans over 30 years of healthcare and corporate finance investment banking, including a broad range of taxable and tax-exempt debt capital financings; public and private equity capital transactions; buy-side and sell-side mergers & acquisitions transactions, valuations and fairness opinions; and, Strategic Capital Plan and Strategic Options Assessment advisory engagements for the firm's clients, principally including academic medical centers, non-profit hospitals and health systems and for-profit animal health companies. Mr. Rollins was a co-founding partner of Shattuck Hammond in 1993 after previously serving as Principal of Cain Brothers, Shattuck & Company and as Vice President in the corporate finance investment banking division of Merrill Lynch. Mr. Rollins also served in the Military Intelligence Division of the U.S. Army.
- Education: University of Chicago Graduate School of Business, M.B.A.; Duke University, A.B.

Illustrative Transaction Experience

- Advisor to Mayo Clinic on \$4+ billion of financing; 1985-2009
- Advisor to Baptist Health System of East Tennessee on three tax-exempt bond issues totaling \$250+million
- Advisor to Eisenhower Medical Center on two tax-exempt bond issues totaling \$290+million
- Private Placement Agent for \$8+million equity raise for Preferred Pet Care, Inc.
- Advisor to CeMines, Inc. on strategic partnership licensing agreement with OrthoClinical Diagnostics, a J&J company
- Provided valuation/fairness opinion for Baptist Health System of East Tennessee for proposed Triad JV to Tennessee AG
- Advisor to George Washington University on JV with Universal Health on GWU Hospital and new hospital facility
- Advisor to Baptist Health System of East Tennessee on merger with St. Mary's Health System/CHP
- Advisor to Mayo Clinic on sale of assets of St. Luke's Hospital (Jacksonville) to St. Vincent's/Ascension Health
- Advisor to Georgetown University on sale of hospital and medical clinical enterprise to MedStar
- Advisor to University of Miami in consideration acquisition of Doctors Hospital from HealthSouth Corporation
- Advisor to Mayo Medical Ventures in creation of JV company Aesgen with AAI
- Advisor to Hackensack University Medical Center in consideration of acquisition of Pascoack Valley Hospital
- Advisor to Eisenhower Medical Center on \$55 million taxable construction line of credit
- Advisor to Mayo Medical Labs on consideration of acquisition of one U.K. based and one U.S. based company
- Private placement agent for XLNT Veterinary Care (dba Pet DRx) for \$9+million equity and debt capital
- Advisor to Mayo Clinic on strategic options assessment relating to Mayo Medical Laboratories
- Strategic Capital Plan for Baptist Health, Montgomery AL

Morgan Keegan

Morgan Keegan & Company, Inc.
Members FINRA, SIPC

EXHIBIT 16

**Saint Mary's Board of Directors'
Meeting Minutes & Resolutions
dated 2011**

Saint Mary's

Board of Directors

Meeting Minutes and Resolutions

Dated:

May 6, 2010

June 10, 2010

August 5, 2010

October 7, 2010

February 10, 2011

March 10, 2011

March 21, 2011 (Resolutions)

April 7, 2011

May 5, 2011

June 24, 2011

June 24, 2011 (Resolutions)

*Please note the meeting minutes have been **redacted** to protect confidential information as well as attorney – client privilege.*



**Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, May 6, 2010**

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Robert Mazaika
Joseph Mengacci, Esq.
Michael O'Brien
David Robinson
Robert Roscoe
Jerry Sugar, M.D.
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert Anthony, Esq.
J. R. Anthony, M.D.
Victoria Cipriano
Robert Halko
Steve Holland, M.D.
Sandra Roosa

Excused

S. Mark Albini, M.D.
Nancy Culos
Sr. Dolores Lahr, CSJ
William Morris
Jim Smith

Leave of Absence

Henry Borkowski, M.D.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

II. Report of the Chairman

Archbishop Mansell advised that Chad Wable met with members of the Board regarding the future of Saint Mary's Hospital with respect to system affiliation opportunities and capital partnerships. He noted Chad Wable will continue to talk with Connecticut hospitals and national Catholic and for profit systems. Archbishop Mansell also referred the Board to the relevant readings: Trustee: "To Stand Alone or to Seek a Partner: A question...or an imperative?", September 2009 and Healthcare Financial Management: "hfm "Positioning your organization for success in the new era", January 2010.

Action: No action required.

**Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, June 10, 2010**

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey
Nancy Culos
Rev. Monsignor James Coleman
Sr. Dolores Lahr, CSJ
Joseph Mengacci, Esq.
William Morris
Michael O'Brien
David Robinson
Robert Roscoe
Jerry Sugar, M.D.
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

J. R. Anthony, M.D.
Victoria Cipriano
Robert Halko
Steve Holland, M.D.
Peg Lawlor
Sandra Roosa

Excused

S. Mark Albini, M.D.
Stephen R. Griffin, Esq.
Robert Mazaika
Jim Smith

Leave of Absence

Henry Borkowski, M.D.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

Market Share/Outmigration Update

Mr. Wable provided a copy of the FY2009 and FY2010 Inpatient Market Share Analysis by Town and noted that volume is down and outmigration continues to be a concern.

Mr. Wable advised need to focus on a capital partner with SMH. Mr. Wable advised discussions with Legacy continue, will work through a due diligence process and establish a Board process. He advised he has had several discussions with Archbishop Mansell regarding preserving catholic healthcare. Mr. Wable also advised he has had discussions and will be meeting with Mr. Dadlez and Mr. O'Connor to further discuss preserving catholic healthcare ministry.

An extensive discussion ensued regarding strategic partnerships and affiliation. The Board was in favor of actively pursuing the evaluation of strategic partnership options including for-profit and not-for-profit options. Archbishop Mansell supported moving as diligently and quickly as possible through a process to evaluate legitimate options for a strategic capital partnerships and/or

Action: No action required.

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, August 5, 2010

Present

Most Rev. Henry J. Mansell, Chair
S. Mark Albini, M.D.
Garrett Casey
Nancy Culos
Stephen R. Griffin, Esq. (via phone)
Sister Dolores Lahr, CSJ
Robert Roscoe
Jerry Sugar, M.D. (via phone)
Jim Smith
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Robert Halko
Steve Holland, M.D.
Sandra Roosa
Juan Sanchez, M.D.
Michael Simms, M.D.
Jim Tucker

Excused

Joseph Carlson II
Rev. Monsignor James Coleman
Robert Mazaika
Joseph Mengacci, Esq.
William Morris
Michael O'Brien
David Robinson

Leave of Absence

Henry Borkowski, M.D.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:05 a.m. with the opening prayer offered by Archbishop Mansell.

Strategic Partner Task Force Update

Mr. Wable advised a Strategic Partner Task Force has been assembled. Bob Mazaika has been appointed Chairman of the Task Force along with 7 Board Members. The Strategic Partner Task Force met on July 27, 2010. Mr. Wable advised 2 other meetings are scheduled and we are working with Shattuck Hammond. A discussion ensued regarding the process and next steps.

Action: No action required.

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, October 7, 2010

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Robert Mazaika
Joseph Mengacci, Esq.
Michael O'Brien
David Robinson
Robert Roscoe
Jerry Sugar, M.D.
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Winbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Robert Halko
Steve Holland, M.D.

Excused

S. Mark Albini, M.D.
Nancy Culos
William Morris
Jim Smith

Leave of Absence

Henry Borkowski, M.D.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

Strategic Partner Task Force

Mr. Mazaika provided a report of the Strategic Partner Task Force. He reported that we are actively looking at how we can strengthen SMH's future financially. He advised that he anticipates a conclusion by the mid October and will present a recommendation to the Board as to how will be going forward.

Action: No action required.

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, February 10, 2011

Present

Most Rev. Henry J. Mansell, Chair
S. Mark Albini, M.D.
Joseph Carlson II
Garrett Casey (via phone)
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Joseph Mengacci, Esq.
Michael O'Brien
David Robinson
Robert Roscoe
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Robert Halko
Steve Holland, M.D. (via phone)
James Tucker

Excused

William Morris
Jim Smith
Jerry Sugar, M.D.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

Strategic Partner Task Force Update

Mr. Wable provided a Strategic Partner Task Force update and next steps. He reported that the Definitive Agreements are in negotiation and due diligence is underway. Mr. Wable encouraged and welcomed the Board Members to come and review the documents and to get comfortable with the documents. Please contact Vicky Cipriano to schedule an appointment to review the proposed partnership. He advised a tentative Board review is scheduled for March 3, 2011 and the Board will be prepared to take action at the regularly scheduled Board Meeting on March 10, 2011. He also advised the Board that a meeting will be held on February 22, 2011 with a few members of the LHP Board who will visit/tour SMH.

Action: No Action Required.

**Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, March 10, 2011**

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey (via phone)
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Joseph Mengacci, Esq.
Michael O'Brien
David Robinson
Robert Roscoe
Jerry Sugar, M.D.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Robert Halko
Steve Holland, M.D. (via phone)
James Tucker

Excused

S. Mark Albini, M.D.
William Morris
Jim Smith
Christine Sullivan, Esq.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

Strategic Partner Task Force Update

Mr. Wable provided a Strategic Partner Task Force update. He questioned whether the Board had any questions with the definitive agreements with LHP. He noted there were minor contractual issues pending.

Action: No Action Required.

**RESOLUTIONS TO BE CONSIDERED
AT
A SPECIAL MEETING OF THE BOARDS OF DIRECTORS
OF
SAINT MARY'S HEALTH SYSTEM, INC.
AND
SAINT MARY'S HOSPITAL, INC.
TO BE HELD
ON
MONDAY, MARCH 21, 2011**

WHEREAS, Saint Mary's Health System, Inc. ("SMHS") has been in negotiations with LHP Hospital Group, Inc., a Delaware corporation ("LHP") relating to a Contribution Agreement by and among SMHS, Southwest Connecticut Health System, LLC, a Delaware limited liability company (the "Company"), LHP Southwest Connecticut, LLC, a Delaware limited liability company ("LHP Sub") and LHP (the "Contribution Agreement") pursuant to which SMHS has agreed to contribute substantially all of its assets to the Company in consideration for a 20% membership interest in the Company and LHP has agreed to contribute \$108,000,000 to the Company in consideration for an 80% membership interest in the Company (the "Transaction");

WHEREAS, in connection with the closing of the transactions contemplated by the Contribution Agreement (the "Closing"), SMHS will become a member in the Company and enter into an Amended and Restated LLC Agreement of the Company (the "LLC Agreement");

WHEREAS, in connection with the Contribution Agreement and LLC Agreement, SMHS will enter into various additional ancillary documents including (i) a license agreement by and between SMHS and the Company (the "License Agreement"), (ii) an option agreement by and between SMHS and LHP Sub (to purchase units in the Company from LHP Sub) (the "SMHS Option to Purchase"), (iii) an option agreement by and between SMHS and LHP Sub (to sell units in the Company to LHP Sub) (the "SMHS Option to Sell") and (iv) an option agreement by and between SMHS and LHP Sub (LHP Sub to purchase units in the Company from SMHS) (the "LHP Option to Purchase" and, together with the License Agreement, the SMHS Option to Purchase and the SMHS Option to Sell, the "Ancillary Agreements"); and

WHEREAS, the Board of Directors of SMHS and the Board of Directors of Saint Mary's Hospital, Inc. (together, the "Boards") deem it in the best interest of SMHS to effect the Transaction and enter into and perform the obligations under the Contribution Agreement, the LLC Agreement and the Ancillary Agreements.

NOW, THEREFORE, it is hereby:

RESOLVED: That the Boards believe it to be advisable and in the best interests of SMHS to effect the Transaction.

RESOLVED: That SMHS enter into the Contribution Agreement, in substantially the form presented to the Boards and attached hereto as Exhibit A, and that the President and Chief Executive Officer of SMHS (the "Authorized Officer") be, and he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the Contribution Agreement with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further

RESOLVED: That SMHS enter into the LLC Agreement, in substantially the form attached hereto as Exhibit B, and that the Authorized Officer be, and he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the LLC Agreement with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further

RESOLVED: That SMHS enter into the License Agreement, in substantially the form attached hereto as Exhibit C, and that the Authorized Officer be, and he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the License Agreement with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further

RESOLVED: That SMHS enter into the SMHS Option to Purchase, in substantially the form attached hereto as Exhibit D, and that the Authorized Officer be, and he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the SMHS Option to Purchase with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further.

RESOLVED: That SMHS enter into the SMHS Option to Sell, in substantially the form attached hereto as Exhibit E, and that the Authorized Officer be, and he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the SMHS Option to Sell with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further

RESOLVED: That SMHS enter into the LHP Option to Purchase, in substantially the form attached hereto as Exhibit F, and that the Authorized Officer be, and

he hereby is, authorized to negotiate, execute and deliver in the name of SMHS the LHP Option to Purchase with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of SMHS, the execution and delivery of which shall be conclusive evidence of such Authorized Officer's approval thereof and authority hereunder; and be it further

RESOLVED: That all actions heretofore taken by any officer or director of SMHS in connection with or otherwise in contemplation of the matters contemplated by the foregoing resolutions be, and they hereby are, approved, ratified and affirmed in all respects; and be it further

RESOLVED: That the officers of SMHS be, and each acting singly hereby is, authorized and empowered, in the name and on behalf of SMHS, to take or cause to be taken any and all actions and to execute and deliver or cause to be executed and delivered any and all agreements, resolutions, documents, certificates or undertakings, and to incur any and all fees and expenses necessary or appropriate in the opinion of such officer to effect the foregoing resolutions, which action or execution and delivery shall constitute conclusive evidence of the authorization and approval of such action by SMHS.

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, April 7, 2011

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Joseph Mengacci, Esq.
William Morris
Michael O'Brien
David Robinson (via phone)
Jim Smith
Jerry Sugar, M.D.
Christine Sullivan, Esq.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Steve Holland, M.D.
Amanda Verner-Morgan Keegan
Elaine Yao-Morgan Keegan

Excused

S. Mark Albini, M.D.
Robert Roscoe

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

LHP Partnership Update

- Fairness Opinion: Elaine Yao and Amanda Verner, Morgan Keegan, provided an overview of the Fairness Opinion letter that was included as supplemental meeting material. Ms. Yao and Ms. Verner advised the letter provides documentation of their opinion that the transaction received was fair and reviews the tasks that were performed by Morgan Keegan.

Action: Motion was made, seconded, and carried to approve the Fairness Opinion Letter. Motion carried.

- Op Ed Letter: Mr. Wable referred the Board to the Op Ed letter included in the supplemental meeting material for the Board's review and approval to publish the Op Ed Letter in the Waterbury Republican American newspaper. A discussion ensued.

Action: Motion was made, seconded, and carried to approve the Op Ed Letter to be published in the Waterbury Republican American Newspaper.

- JV, Hospital and "New" Foundation Board Selection Process
- Board Taskforces: JV and Foundation:

Mr. Wable referred the Board to a memo outlining the Joint Venture Transition approval process and transition planning that was included as supplemental meeting material. Mr. Wable announced that the Partnership Taskforce, under the leadership of Mr. Mazaika will remain in place throughout the process. It will be named the JV Taskforce.

Mr. Wable also advised that in addition to the JV Taskforce, we will be forming a Foundation Taskforce in order to establish the "new" Foundation that will be created through the transaction. Mr. Wable advised Joe Connolly has been appointed as the Senior Leader responsible for supporting the Foundation Taskforce. Mr. Wable asked

the Board if anyone was interested in serving on the Taskforce to contact him by April 13, 2011.

Action: Motion was made, seconded, and carried to approve the formation of a JV Taskforce and Foundation Taskforce.

Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Thursday, May 5, 2011

Present

Most Rev. Henry J. Mansell, Chair
Joseph Carlson II
Garrett Casey
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Joseph Mengacci, Esq.
William Morris
Michael O'Brien
David Robinson (via phone)
Robert Roscoe
Jim Smith
Jerry Sugar, M.D.
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Steve Holland, M.D.
Dean Vitarisi

Excused

S. Mark Albini, M.D.
Christine Sullivan, Esq.

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

LHP Partnership Update

Mr. Wable provided an update regarding LHP Partnership. He advised the JV Taskforce continues to move forward with the regulatory process, transition work plan, [

Mr. Wable advised he expects to receive the application from the State within 2 weeks. Mr. Wable advised he had a discussion with Mr. Lavery regarding obtaining consent regarding the Heart Center and Harold Leever Regional Cancer Center. Mr. Wable advised he drafted letters and will send to Mr. Lavery to obtain their consents and expect a response soon. An extensive discussion ensued.

Foundation Taskforce Update

Mr. Wable provided an update on the Foundation Taskforce. He advised that Mr. Connolly is chairing this process. Mr. Wable advised he will invite the JV Taskforce to join a Foundation Taskforce meeting to obtain their expertise regarding the transaction and remaining assets and liabilities. The Foundation Taskforce will make recommendations regarding Governance Structure which will be presented to the Governance Committee for their approval and recommendation to the SMHS/SMH Boards to endorse these recommendations. An extensive discussion ensued.

Please note:

June 24th Board Meeting Minutes pending Board approval at the August 4th Board Meeting.

**Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc.
Boards of Directors
Friday, June 24, 2011**

Present

Most Rev. Henry J. Mansell, Chair
S. Mark Albini, M.D.
Joseph Carlson II (via phone)
Garrett Casey
Rev. Monsignor James Coleman
Stephen R. Griffin, Esq.
Sister Dolores Lahr, CSJ
Robert Mazaika
Joseph Mengacci, Esq.
Michael O'Brien
David Robinson
Robert Roscoe
Jim Smith
Christine Sullivan, Esq. (via phone)
James Uberti, M.D.
Chad W. Wable
Hon. Linda Wihbey

Staff Present

Robert J. Anthony, Esq.
Victoria Cipriano
Joseph Connolly
Steve Holland, M.D.
Peg Lawlor
David Roer, M.D.
Sandra Roosa

Excused

William Morris
Jerry Sugar, M.D.

Others Present

Michael Hammond (via phone)
Amanda Verner (via phone)
Pat Gerner (via phone)

I. Call to Order & Opening Prayer

Upon provision of due notice, the meeting of the Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. Boards of Directors was called to order by the Chairman, The Most Reverend Henry J. Mansell, at 7:00 a.m. with the opening prayer offered by Archbishop Mansell.

AG Application Review

Attorney Anthony advised that he asked Attorney Pat Gerner to call in to the meeting. He advised that Pat Gerner was a former employee at the Attorney General and OHCA offices and was also involved in the Sharon transaction and Susan Cole, former CON Division at OHCA, will be assisting SMH in preparing the AG Application.

Attorney Anthony provided an overview of the key dates and process. He advised the Attorney General will review SMH's process in reaching a decision to enter into a partnership, fair market value; fairness opinion and foundation structure and purpose to proceed with health of the community and charitable assets. An extensive discussion ensued. Attorney Anthony advised that one of the questions on the application asks SMH to demonstrate that no conflicts of interest exist among board members. He asked that the Board Members review, complete and sign a conflict of interest form. The Conflict of Interest form is available at today's board meeting and was also sent via email to the Board Members yesterday.

Attorney Anthony reviewed the structure of the joint venture. Saint Mary's Health System, Inc. will be merged into Saint Mary's Hospital, Inc. at closing. LHP Hospital Group, Inc. will create an affiliate, LHP Southwest Connecticut LLC to enter the joint venture. An extensive discussion ensued.

Attorney Anthony presented a Resolution and draft AG Application which was included in the Supplemental Meeting materials attached hereto and made apart of these minutes for the Board's review. He advised the draft Application and attachments will be available in Chad Wable's office next week for the Board Members to come and review. He indicated the application will be

prepared to be filed next week. Attorney Anthony advised that Archbishop Mansell and his Advisors will review the application before it is filed. Mr. Wable advised that requests for proposals have been submitted for outside consultants to provide a fairness opinion regarding the partnership.

Attorney Anthony asked for approval of the Resolutions of the Boards of Directors of Saint Mary's Health System, Inc. and Saint Mary's Hospital, Inc. The resolutions indicate the Boards of Directors deem it in the best interests of Saint Mary's to file the Application seeking approval from the Regulatory Agencies. Attorney Anthony advised the Application will be available for review all of next week for any additional comments and edits. Attorney Anthony encouraged the Board to review the Application and the attachments which will be available in Chad Wable's office next week. An extensive discussion ensued.

Action: Motion was made, seconded, and carried to approve the Resolutions of the Boards of Directors of Saint Mary's Health System and Saint Mary's Hospital to file the Application seeking approval from the Regulatory Agencies; and authorize the President and Chief Executive Officer of Saint Mary's to complete, execute and deliver in the name of Saint Mary's the Application and such other agreements, instruments and documents.

**RESOLUTIONS OF THE BOARDS OF DIRECTORS
OF
SAINT MARY'S HEALTH SYSTEM, INC.
AND
SAINT MARY'S HOSPITAL, INC.**

FRIDAY, JUNE 24, 2011

WHEREAS, at a special meeting on March 21, 2011 of the Board of Directors of Saint Mary's Health System, Inc. ("Saint Mary's") and Saint Mary's Hospital, Inc. (together, the "Boards of Directors") Saint Mary's and its affiliates were authorized, subject to obtaining regulatory approval, to enter into a joint venture with an affiliate of LHP Hospital Group, Inc. ("LHP") pursuant to which Saint Mary's will contribute substantially all of its assets to a newly formed limited liability company (the "LLC") in consideration for a twenty percent (20%) membership interest in the LLC and LHP will contribute One Hundred Eight Million Dollars (\$108,000,000.00) to the LLC in consideration for an eighty percent (80%) membership interest in the LLC (the "Transaction");

WHEREAS, Saint Mary's and LHP are required to file an application (the "Application") with, and obtain approval for the Transaction from, the State of Connecticut Office of the Attorney General and Department of Public Health (the "Regulatory Agencies"); and

WHEREAS, the Boards of Directors deem it in the best interests of Saint Mary's to file the Application seeking approval from the Regulatory Agencies.

NOW, THEREFORE, it is hereby:

RESOLVED: That the Boards of Directors believe it to be advisable and in the best interests of Saint Mary's to file the Application, in substantially the form presented to the Boards of Directors and attached hereto as Exhibit A, seeking approval of the Transaction from the Regulatory Agencies; and be it further

RESOLVED: That the President and Chief Executive Officer of Saint Mary's (the "Authorized Officer") be, and he hereby is, authorized to complete, execute and deliver in the name of Saint Mary's the Application and such other agreements, instruments and documents, with such additions and modifications as such Authorized Officer deems, in his sole discretion, necessary or appropriate and in the best interests of Saint Mary's, specifically in connection with obtaining all regulatory approvals necessary to effectuate the Transaction, the execution and delivery of which shall be conclusive evidence of such Authorized Officers' approval thereof and authority hereunder; and be it further

RESOLVED: That all actions heretofore taken by any officer or director of Saint Mary's in connection with or otherwise in contemplation of the matters

contemplated by the foregoing resolutions be, and they hereby are, approved, ratified and affirmed in all respects; and be it further

RESOLVED: That the officers of Saint Mary's be, and each acting singly hereby is, authorized and empowered, in the name and on behalf of Saint Mary's, to take or cause to be taken any and all actions and to execute and deliver or cause to be executed and delivered any and all agreements, resolutions, documents, certificates or undertakings, and to incur any and all fees and expenses necessary or appropriate in the opinion of such officer to effect the foregoing resolutions, which action or execution and delivery shall constitute conclusive evidence of the authorization and approval of such action by Saint Mary's.

EXHIBIT 17

Conflict of Interest / Disclosure Forms

Conflict of Interest / Disclosure Forms

LHP

Daniel J. Moen, Chief Executive Officer
Tom Frazier, Executive Vice President, Administration
William J. Hibbitt, Executive Vice President & Chief Financial Officer
Rebecca Hurley, Executive Vice President, General Counsel & Secretary
James B. Shannon, Executive Vice President, Acquisition & Development
Patricia G. Ball, Ph.D., Senior Vice President, Strategic Development & Public Affairs
Lawrence V. Schunder, Senior Vice President & Chief Information Officer
Paul A. Kappelman, Division President
David G. Nosacka, Division Vice President
Brady D. Sturgeon, Vice President, Development

Saint Mary's-- Senior Leaders

Chad W. Wable, FACHE, President and CEO
Dean F. Vitarisi, CPA, Interim Chief Financial Officer (until June 17, 2011)
John Sharp, Interim Chief Financial Officer (beginning August 1, 2011)
Stephen T. Holland, M.D., Chief Medical Officer
Joseph Connolly, Chief Marketing and Government Relations Officer
Carolyn N. Orrell, Chief Information Officer
Elizabeth B. Bozzuto, R.N., Vice President, Surgical Services
Michael A. Novak, Vice President of Operations and Ambulatory Services
Sandra K. Roosa, M.S., R.N., Vice President of Patient Care Services
Kyle F. Jurczyk, CPA, Internal Audit Manager
Barbara J. Sullivan, Director Corporate Compliance / Risk Management
M. Clark Kearney, Vice President of Human Resources

Saint Mary's-- Physician Leaders

S. Mark Albini, MD, Section Chief, Department of Obstetrics and Gynecology
Joseph Robert Anthony, MD, FACC, Section Chief, Cardiology
Gregory K. Buller, M.D., FACP, Chairman, Department of Medicine
Peter James Jacoby, M.D., FACEP, Chairman, Department of Emergency Services
Juan A. Sanchez, M.D., MPA, Chairman, Department of Surgery
Steven Schneider, MD, President, Trinity Medical Group
James Uberti, M.D., Chief of Staff

Saint Mary's Board of Directors – Officers

The Most Reverend Henry J. Mansell, Chairman
The Reverend Monsignor James Coleman, Vice Chairman
Chad W. Wable, FACHE, President [previously listed]
Stephen R. Griffin, Esq., Secretary
Joseph Carlson II, Treasurer

Saint Mary's Board of Directors – Directors

S. Mark Albini, M.D. [previously listed]

Garrett Casey

Sister Dolores Lahr

Joseph Mengacci, Esq.

William Morris

Michael O'Brien

David Robinson

Robert Roscoe

James C. Smith

Jerome Sugar, M.D.

Christine Sullivan, Esq.

James Uberti, M.D., Chief of Staff [previously listed]

The Honorable Linda Wihbey

Morgan Keegan

Eric Coburn

Michael Hammond

Mitchell Kornbilt

Richard Lorenti

Vinton Rollins

Amanda Verner

Elaine Yao

Consultants

Marissa Chachra

Susan Cole

Pat Gerner

David Jarrard

John Mansur

Kevin Phillips

Morgan Ribeiro

LHP

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: DAN MEEN

Company or Employer: LHP Management Services LLC

Title: CEO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES X NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES X NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES X NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

___ YES X NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

Dan Moen
Signature

6/28/11
Date

DAN MOEN
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: Tom Frazier

Company or Employer: LHP Management Services, LLC

Title: Executive Vice President, Administration

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

___ YES x NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES x NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES x NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES x NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

____ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

____ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

____ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

____ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

____ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

____ YES NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

____ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

6/27/11

Date

Tom Frazier

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's")
and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the
Connecticut Attorney General and the Connecticut Department of Public Health for
permission to form a joint venture involving a change of ownership of Saint Mary's and
the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: William J. Hibbitt

Company or Employer: LHP Management Services, LLC

Title: Executive Vice President, Chief Financial Officer

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

___ YES ___X___ NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

William J. Hibbitt
Signature

June 27, 2011
Date

William J. Hibbitt
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: REBECCA HURLEY

Company or Employer: LHP MANAGEMENT SERVICES, LLC

Title: EXECUTIVE VICE PRESIDENT, GENERAL COUNSEL, AND SECRETARY

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

Rebecca Hurley
Signature

June 27, 2011
Date

REBECCA HURLEY
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc. and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: James B. Shannon

Company or Employer: LHP Hospital Group, Inc.

Title: EVP, Acquisition and Development

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

YES NO

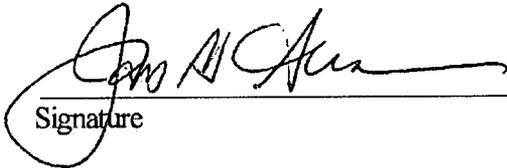
e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/20/11
Date

James B. Shannon

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: Patricia G. Ball

Company or Employer: LHP Management Services, Inc.

Title: SVP of Strategic Development + Public Affairs

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

___ YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

Patricia G. Ball, Ed. D.
Signature

June 27, 2011
Date

Patricia G. Ball, Ed D
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: Larry Schunder

Company or Employer: LHP Hospital Group

Title: SVP/CIO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES X NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

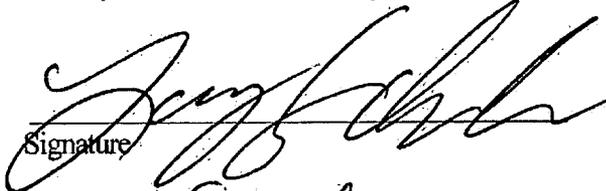
e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

Signature  Date 6/28/11

Larry Schunder
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: Paul Kappelman

Company or Employer: LHP Management Services Inc.

Title: Division President

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

___ YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

Signature  _____ Date 6/27/11 _____

Printed Name Paul Koppelman _____

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: David Nosacka

Company or Employer: LHP Hospital Group, Inc.

Title: VP, Division CFO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

____ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

____ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

____ YES X NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

____ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

_____ YES __X__ NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

_____ YES __X__ NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

_____ YES __X__ NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

_____ YES __X__ NO

c. Been indebted to Saint Mary's. If YES, please provide details.

_____ YES __X__ NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

_____ YES __X__ NO

e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

_____ YES __X__ NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

____ YES X NO

I hereby attest that the following information is true and accurate to the best of my knowledge.

DNosack
Signature

7/16/11
Date

David Nosack
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....
This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: BRADY D. STURGEON

Company or Employer: LHP MANAGEMENT SERVICES, LLC.

Title: VICE PRESIDENT DEVELOPMENT

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

___ YES ~~X~~ NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES ~~X~~ NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES ~~X~~ NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES ~~X~~ NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with Saint Mary's. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

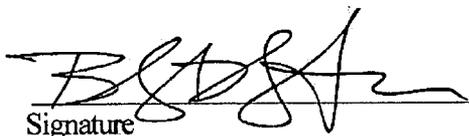
e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

___ YES. NO

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/27/2011
Date

BRADY D. STURGEON
Printed Name

Saint Mary's – Senior Leaders

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Chad W. Wable, FACHE

Company or Employer: Saint Mary's Hospital

Title: President & Chief Executive Officer

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

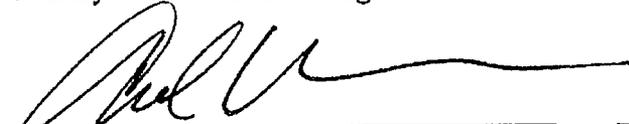
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Active review of several alternative partnership options as a member of the Board and Senior Team. Extensive vetting of various partnership options during due diligence prior to making decision as a Board to partner with LHP.

b. Which health care organizations would receive the RFP from Saint Mary's.

In consultation with Shattuck Hummel and our Board we identified the appropriate potential partners on a national and regional basis to send an RFP

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/27/11
Date

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Dean Vitarisi

Former Company or Employer: Saint Mary's

Title: Director of Finance until 4/17/11

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

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___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

____ YES NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ None _____

b. Which health care organizations would receive the RFP from Saint Mary's.

_____ None _____

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

_____ 7/7/11 _____
Date

Dean Vitaris,
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

This Disclosure Form is for LHP Hospital Group, Inc. senior executives with managerial responsibilities who have any direct involvement in the proposed joint venture transaction.

Print Name: JOHN KELLY SHARP

Company or Employer: LHP HOSPITAL GROUP, INC.

Title: INTERIM CFO ST. MARY'S HEALTH SYSTEM

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. To reach Ruth by telephone, please call (203) 709-8610.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any Saint Mary's Entity (see definition on first page)?

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B. Do you or any family member have a compensation arrangement with any Saint Mary's Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Were you or any family member compensated as an employee or consultant of an Saint Mary's Entity? If YES, please provide details.

___ YES NO

D. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of Saint Mary's? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with Saint Mary's? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with Saint Mary's since January 1, 2011?

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___ YES NO

b. Leased assets to or leased assets from Saint Mary's. If YES, please provide details.

___ YES NO

c. Been indebted to Saint Mary's. If YES, please provide details.

___ YES NO

d. Allowed Saint Mary's to be indebted to you. If YES, please provide details.

___ YES NO

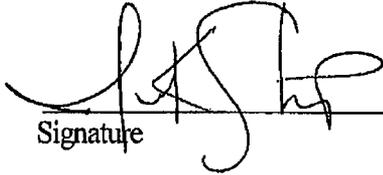
e. Furnished goods or facilities to Saint Mary's. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from Saint Mary's. If so, please provide details.

YES NO

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

7/14/11
Date

JOHN K. SHARP
Printed Name

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

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___ YES NO

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C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

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___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

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___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

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.....

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Print Name: Joseph Connolly

Company or Employer: Saint Mary's Hospital

Title: Chief Marketing & Government Relations Officer

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.
_____ YES NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ NONE

b. Which health care organizations would receive the RFP from Saint Mary's.

_____ NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Signature 

Date 6-30-11

Printed Name Joseph Connolly

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Carolyn Orrell

Company or Employer: Saint Mary's Hospital

Title: CEO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

_____ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

none

b. Which health care organizations would receive the RFP from Saint Mary's.

none

I hereby attest that the following information is true and accurate to the best of my knowledge.

Carolyn Orrell
Signature

6-28-11
Date

Carolyn Orrell
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: Elizabeth B. BozzutoCompany or Employer: St. Mary's HospitalTitle: Vice President Surgical Services

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesoh in the Legal Department at Saint Mary's. You may email the form to ruth.ruesoh@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMF Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO NA
Currently an employee

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.
 YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP. None

b. Which health care organizations would receive the RFP from Saint Mary's. None

I hereby attest that the following information is true and accurate to the best of my knowledge.

Elizabeth B Bozzuto
Signature

6/24/11
Date

Elizabeth B Bozzuto
Printed Name

40284452 v1 - MERCIECM - 080458/0042

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

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Print Name: Michael A. Nowak

Company or Employer: Saint Mary's Hospital

Title: VP of Operations & Ambulatory Care

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Sandra Roosa

Company or Employer: Saint Mary's Hospital

Title: Vice President of Patient Care Services

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO *Not a direct offer, however sense that employment would continue*

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ *none*

b. Which health care organizations would receive the RFP from Saint Mary's.

_____ *none*

I hereby attest that the following information is true and accurate to the best of my knowledge.

Sandra K. Roosa
Signature

6-24-11
Date

Sandra K. Roosa
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

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Print Name: KYLE JURCZYK

Company or Employer: SAIN'T MARY'S HOSPITAL

Title: INTERNAL AUDIT MANAGER

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

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Print Name: Barbara J. Sullivan

Company or Employer: Saint Mary's Hospital

Title: Director Corporate Compliance/Risk Management

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

NONE

b. Which health care organizations would receive the RFP from Saint Mary's.

NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Barbara J. Sullivan
Signature

7/14/2011
Date

Barbara J. Sullivan
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: M. Clark Kearney

Company or Employer: Saint Mary's Hospital

Title: V.P. Human Resources

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

X YES ___ NO
With the new venture to continue present role at Saint Mary's.

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

None

b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

6/24/11

Date

M. Clark Keaeney

Printed Name

Saint Mary's – Physician Leaders

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: S. Mark Albini, M.D.

Company or Employer: Naugatuck Valley Women's Health Specialists

Title: Physician

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

_____ YES X NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

I was involved as a member of the Board in exploring various options for affiliation, merger and strategic partnering over the past 10 years. As a member of the Board, I received and reviewed various proposals and options throughout my tenure other than LHP including the sale of the hospital, a merger with Waterbury Hospital and even the possibility of bankruptcy as we suffered serious financial distress. I have participated as a member of the Board on evaluating and determining the most appropriate course of action with respect to strategic partnering.

b. Which health care organizations would receive the RFP from Saint Mary's.

I was involved as both a board member and as a member of the task force formed to consider strategic partnering options in reviewing the list of organizations that was prepared with the assistance of Shattuck Hammond, our financial advisors.

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

06/25/2011
Date

S. Mark Albin, M.D.
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....
This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Joseph Anthony M.D.

Company or Employer: St. Mary's Hosp

Title: Chief of Cardiology

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....
This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Gregory K. Butler MD

Company or Employer: Nephrology + Hypertension Associates and Saint Mary's Hospital

Title: Chairman of Medicine

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcherson at (203)709-3312 or silvia.hutcherson@stnh.org.

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Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

None

b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

6/29/11

Date

Gregory K. Butler

Printed Name

40284462 v1 - MERCIECM - 080468/0042

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: PETER JACOBY

Company or Employer: ST. MARY'S HOSP

Title: CHAIRMAN Emergency Dept

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Juan Sanchez, MD
Company or Employer: Saint Mary's Hospital
Title: Chairman, Department of Surgery

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcherson at (203)709-3312 or silvia.hutcherson@stnh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

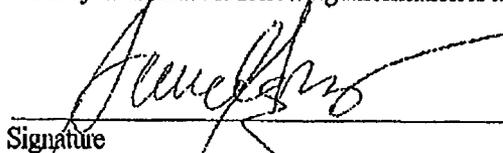
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ none

b. Which health care organizations would receive the RTP from Saint Mary's.

_____ none

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/28/2011
Date

Juan Sanchez, MD
Printed Name

40284452 v1 - MERCIECM - 080466/0042

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: Steven Schneider

Company or Employer: St. Marys

Title: President Trinity Medical Group

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES ___/___ NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ___/___ NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES ___/___ NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES ___/___ NO

c. Been indebted to LHP. If YES, please provide details.

___ YES ___/___ NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES ___/___ NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ___/___ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.
_____ YES NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

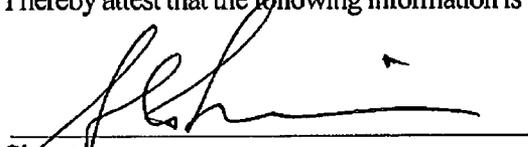
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ none

b. Which health care organizations would receive the RFP from Saint Mary's.

_____ none

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/29/11
Date

Steven Schneider
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: James G. Uberli, MD

Company or Employer: Primary Care Partners / St. Mary's Health System

Title: Chief of Staff

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

____ YES NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

_____ None _____

b. Which health care organizations would receive the RFP from Saint Mary's.

_____ None _____

I hereby attest that the following information is true and accurate to the best of my knowledge.

Signature JGU

Date 6/24/11

Printed Name James G. Uberti, MD

Saint Mary's Board of Directors – Officers

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: _____ +Henry J. Mansell _____

Company or Employer: _____ Archdiocese of Hartford _____

Title: _____ Archbishop _____

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

_____ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

_____ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

_____ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

_____ YES X NO

c. Been indebted to LHP. If YES, please provide details.

_____ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

_____ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

_____ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

I was involved as Chairman of the Board in considering strategic partnering options and in exploring the options available to Saint Mary's. I attended various meetings to review the memorandum that was circulated to solicit proposals, reviewed the proposals that were received, considered the parties who would be invited to present their proposals in more detail, and participated in the final decision.

b. Which health care organizations would receive the RFP from Saint Mary's.

I was involved as Chairman of the Board in reviewing the list of organizations that was prepared with the assistance of Shattuck Hammond, our financial advisors.

I hereby attest that the following information is true and accurate to the best of my knowledge.

+ Henry J. Mansell
Signature

June 27, 2011
Date

+Henry J. Mansell
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: MSGR. JAMES G. COLEMAN

Company or Employer: ARCHDIOCESE OF HARTFORD

Title: BOARD MEMBER

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

 YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

 YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

 YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

 YES X NO

c. Been indebted to LHP. If YES, please provide details.

 YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

 YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

 YES X NO

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Stephen R. Griffin

Company or Employer: Griffin, Griffin & Mayo, P.C.

Title: Board member

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

see attached

b. Which health care organizations would receive the RFP from Saint Mary's.

see attached

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/23/11
Date

Stephen R. Griffin
Printed Name

Answer of Stephen R. Griffin to question 2.D.a.

I was involved as both a board member and as a member of the task force formed to consider strategic partnering options in exploring the options available to Saint Mary's. As a board member and member of the task force, I attended numerous meetings to review the memorandum that was circulated to solicit proposals, reviewed the proposals that were received, considered the parties who would be invited to present their proposals in more detail, participated in the presentations made by the final offerors and participated in the final decision.

Answer of Stephen R. Griffin to question 2.D.b.

I was involved as both a board member and as a member of the task force formed to consider strategic partnering options in reviewing the list of organizations that was prepared with the assistance of Shattuck Hammond.

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....
This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Joseph Carlson II

Company or Employer: Retired

Title: Board Member & Treasurer

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES X NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Reviewed process as member of Task Force

b. Which health care organizations would receive the RFP from Saint Mary's.

Reviewed process as member of Task Force

I hereby attest that the following information is true and accurate to the best of my knowledge.

Joseph Carlson II
Signature

6/24/2011
Date

Joseph Carlson II
Printed Name

40284452 v1 - MERCIECM - 080458/0042

Saint Mary's Board of Directors – Directors

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: GARRETT CASEY

Company or Employer: CASEY FAMILY FUNERAL SERVICES

Title: OWNER

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stnh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

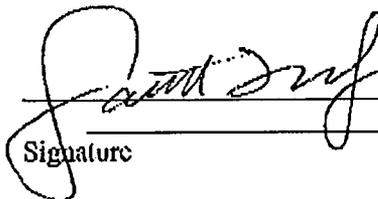
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

see Attached

b. Which health care organizations would receive the RFP from Saint Mary's.

see Attached

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

Date 6/27/2011

GARRETT F. CASEY
Printed Name

40284452 v1 - MFCR/FCM - 080458/0042

2 D a) I have been a Board member of the hospital for several years. Until the decision of the Board to seek a strategic partner in 2010 my involvement as a Board member has never included any strategic role. I was asked by the Archbishop to serve on a special Task Force in 2010. I attended many meetings which explored various options. I was involved in assisting in the developing various criteria for a partner, reviewed responses and proposals, interviewed all of the respondents and had input into the final decisions

2 D b)

As a member of the Board of Directors and the Task Force, I was involved in considering strategic partnering options in reviewing the list of organizations that was prepared with the assistance of Shattuck Hammond, our financial advisors."

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc: and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....
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Print Name: Sister Dolores Lahr, CST

Company or Employer: Sisters of St. Joseph

Title: Provincial

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@smh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcherson at (203)709-3312 or silvia.hutcherson@struh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPF Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

As a member of the Board, I have been involved for the past several years in considering various options for affiliation, merger and strategic partnering. As a member of the Board, I received and reviewed various proposals and options throughout my tenure other than LHP. I have participated as a Board member on evaluating and determining the most appropriate course of action with respect to strategic partnering.

b. Which health care organizations would receive the RFP from Saint Mary's.

I was involved as a Board member as we considered possible options.

I hereby attest that the following information is true and accurate to the best of my knowledge.

Sister Dolores Fahr, CSJ
Signature

6/28/11
Date

Sister Dolores Fahr, CSJ
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

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Print Name: Joseph A. Mengacci

Company or Employer: Self

Title: Attorney/Mediator/Arbitrator

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

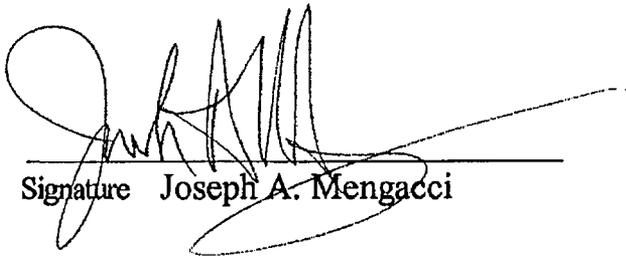
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

I was involved as both a board member and as a member of the task force formed to consider strategic partnering options in exploring the options available to Saint Mary's. As a board member and member of the task force, I attended numerous meetings to review the memorandum that was circulated to solicit proposals, reviewed the proposals that were received, considered the parties who would be invited to present their proposals in more detail, participated in the presentations made by the final suitors and participated in the final decision

b. Which health care organizations would receive the RFP from Saint Mary's.

I was involved as both a board member and as a member of the task force formed to consider strategic partnering options in reviewing the list of organizations that was prepared with the assistance of Shatluck Hammond, our financial advisors

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature Joseph A. Mengacci

June 24, 2011

Date

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: William J. Morris

Company or Employer: H. D. SEGUIN INC.

Title: PARTNER.

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

As a Board Member I have received and reviewed various merger options. The RFP

b. Which health care organizations would receive the RFP from Saint Mary's.

Requests were communicated to Board members at meetings during the process of exploring options.

I hereby attest that the following information is true and accurate to the best of my knowledge.

William J. Morris
Signature

6/27/2011
Date

William J. Morris
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: Michael A. O'Brien

Company or Employer: Dunchester

Title: President + CEO (Board Member St Marys Hospital)

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

- f. Acquired goods, services or facilities from LHP. If so, please provide details.
 YES ✓ NO
-

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

- a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Vote for/against as Board Member

- b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/24/11
Date

Michael A. O'Brien
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc: and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: DAVID C. ROBINSON

Company or Employer: NONE (RETIREE)

Title: —

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES ___ NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES ___ NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES ___ NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES ___ NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES ___ NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES ✓ NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ✓ NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES ✓ NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES ✓ NO

c. Been indebted to LHP. If YES, please provide details.

___ YES ✓ NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES ✓ NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ✓ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

 BOARD MEMBER

b. Which health care organizations would receive the RFP from Saint Mary's.

 BOARD MEMBER

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

 6/24/204
Date

 DAVID C ROBINSON
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Robert R. Roscoe

Company or Employer: That's Great News/Medicum Atlantic Partners

Title: member

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP
None Participated on St Marys Board of Directors and reviewed other offers.

b. Which health care organizations would receive the RFP from Saint Mary's.
None

I hereby attest that the following information is true and accurate to the best of my knowledge.

[Handwritten Signature]
Signature

6/24/11
Date

Robert R Roscoe
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's")
and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the
Connecticut Attorney General and the Connecticut Department of Public Health for
permission to form a joint venture involving a change of ownership of Saint Mary's and
the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: James C. Smith

Company or Employer: Webster Bank, N.A.

Title: Chairman & CEO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

____ YES XX NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

____ YES XX NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

____ YES XX NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

____ YES XX NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

____ YES XX NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

YES NO

c. Been indebted to LHP. If YES, please provide details.

YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES XX NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

I was involved both as a board member and as a member of the task force formed to consider strategic partnering options in exploring the options available to Saint Mary's. As a board member and member of the task force, I attended numerous meetings to review the memorandum that was circulated to solicit proposals, reviewed the proposals that were received, considered the parties who would be invited to present their proposals in more detail, participated in the presentations made by the final suitors and participated in the final decision.

I was involved as a member of the Board in exploring various options for affiliation, merger and strategic partnering over the past 10 years. As a member of the Board, I received and reviewed various proposals and potential options throughout my tenure other than LHP including the sale of the hospital, a merger with Waterbury Hospital and other affiliations/partnerships. I have participated as a member of the Board on evaluating and determining the most appropriate course of action with respect to strategic partnering.

b. Which health care organizations would receive the RFP from Saint Mary's. This information available from Chad Wable, CEO of Saint Mary's Health System.

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

June 29, 2011
Date

James C. Smith
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: Jerome O. Sugar

Company or Employer: Naugatuck Valley ENT

Title: Partner

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

I. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Member of TASC Force

b. Which health care organizations would receive the RFP from Saint Mary's.

NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Jerome Sugar
Signature

6/23/11
Date

Jerome SUGAR
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: Christine A. Sullivan

Company or Employer: Duffy & Fasano / University of CT Health Center

Title: Attorney / graduate assistant

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stnh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

_____ YES / NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

see attached

b. Which health care organizations would receive the RFP from Saint Mary's.

see attached

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/30/11
Date

Christine A. Sullivan
Printed Name

#2. D. a. I have been involved as a Board member since 1998 and over the last 5 years, approximately, as a Board, we have considered the need to pursue options for affiliation, merger and strategic partnering with another entity. Over those years, as a Board member, I received and reviewed , various proposals and options including a possible merger with Waterbury Hospital, to which much time was devoted over a period of at least 2 years, and which did not result in a viable option. As a Board member, I participated in many discussions involving other proposals and options with entities other than LHP including a possible sale of the hospital and even the possible bankruptcy of the hospital during a period of significant economic distress. Therefore, I have participated as a Board member in the evaluation and determination of the most appropriate course of action for SMHS with respect to strategic planning.

#2. D. b. I was involved as a Board member in the consideration of strategic partnering options and as such, was a participant at presentations to the Board of a list of organizations that was prepared with the assistance of Shattuck Hammond, our financial advisors.

Conflict of Interest/Financial Disclosure Form

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.....

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Print Name: Hinda T. Wilbey
Company or Employer: State of Connecticut, Judicial Branch
Title: Family Support Registrate

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stnh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stnh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES ~~___~~ NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ~~___~~ NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES ~~___~~ NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES ~~___~~ NO

c. Been indebted to LHP. If YES, please provide details.

___ YES ~~___~~ NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES ~~___~~ NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ~~___~~ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

____ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

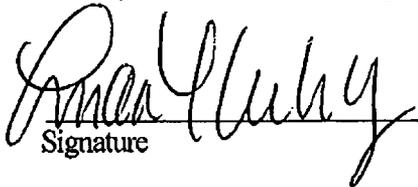
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Board of Directors - member
Strategic Plan Committee member
see Committee Board minutes

b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

10/24/11
Date

Linda T. Wilbey
Printed Name

Morgan Keegan

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

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.....

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Print Name: Eric Coburn

Company or Employer: Morgan Keegan

Title: Managing Director

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES ✓ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

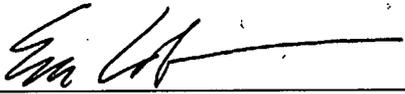
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

June 29, 2011

Date

Eric Coburn

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary’s Health System, Inc and its Affiliates (“Saint Mary’s”) and LHP Hospital Group, Inc. and its Affiliates (“LHP”) to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary’s and the conversion of Saint Mary’s from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary’s Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary’s with regard to the proposed transaction.

Print Name: Michael Hammond

Company or Employer: Morgan Keegan

Title: Managing Director

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcherson at (203)709-3312 or silvia.hutcherson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

____ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

____ YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

June 29, 2011

Date

Michael Hammond

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

This Disclosure Form is for all Saint Mary's Board Members, Directors, Officers and key employees with managerial responsibilities who have any direct involvement in the proposed joint venture transaction. It is also for any person who performed assessments requested by Saint Mary's with regard to the proposed transaction.

Print Name: Mitchell Kornblit

Company or Employer: Morgan Keegan

Title: Managing Director

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES ✓ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.

Mitch Kornblit
Signature

June 29, 2011
Date

Mitchell Kornblit
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary’s Health System, Inc and its Affiliates (“Saint Mary’s”) and LHP Hospital Group, Inc. and its Affiliates (“LHP”) to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary’s and the conversion of Saint Mary’s from not-for-profit to for-profit.

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Print Name: Richard Lorenti

Company or Employer: Morgan Keegan

Title: Managing Director

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcherson at (203)709-3312 or silvia.hutcherson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

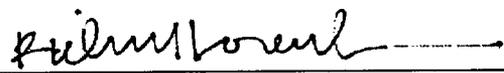
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

June 29, 2011

Date

Richard Lorenti

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary’s Health System, Inc and its Affiliates (“Saint Mary’s”) and LHP Hospital Group, Inc. and its Affiliates (“LHP”) to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary’s and the conversion of Saint Mary’s from not-for-profit to for-profit.

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Print Name: Vinton Rollins

Company or Employer: Morgan Keegan

Title: Managing Director

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES ✓ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.

Vinton R. Rollins
Signature

June 29, 2011
Date

Vinton Rollins
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary’s Health System, Inc and its Affiliates (“Saint Mary’s”) and LHP Hospital Group, Inc. and its Affiliates (“LHP”) to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary’s and the conversion of Saint Mary’s from not-for-profit to for-profit.

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Print Name: Amanda Verner

Company or Employer: Morgan Keegan

Title: Associate

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.

Amanda Verner
Signature

June 29, 2011
Date

Amanda Verner
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: Elaine Yao

Company or Employer: Morgan Keegan

Title: Senior Vice President

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary’s. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

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___ YES NO

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___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

Morgan Keegan & Company, Inc. ("Morgan Keegan") served as financial advisor to Saint Mary's. In this role, Morgan Keegan presented multiple joint venture and merger options available to Saint Mary's.

b. Which health care organizations would receive the RFP from Saint Mary's.

Morgan Keegan worked with Saint Mary's to determine which health care organizations would receive the request for proposal from Saint Mary's.

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

June 29, 2011

Date

Elaine Yao

Printed Name

Consultants

Conflict of Interest / Disclosure Forms

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: MARISSA CHACHRA

Company or Employer: JARRARD PHILLIPS CATE & HANCOCK

Title: CONSULTANT

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES ___ NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES ___ NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ___ NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES X NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ___ NO

- f. Acquired goods, services or facilities from LHP. If so, please provide details.
 YES X NO
-

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

- a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.
 NONE
- b. Which health care organizations would receive the RFP from Saint Mary's.
 NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Marissa Chachra
Signature

6/24/2011
Date

MARISSA CHACHRA
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's")
and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the
Connecticut Attorney General and the Connecticut Department of Public Health for
permission to form a joint venture involving a change of ownership of Saint Mary's and
the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: SUSAN COLE ENGLAND

Company or Employer: SELF - EMPLOYED

Title: CONSULTANT

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

Definitions:

Family Member: A person related by blood, law or marriage as a spouse, child, stepchild, parent, sibling grandparent, grandchild or domestic partner (individual not related by blood or marriage, but currently in a committed relationship and residing in a common household sharing joint responsibility for the household).

LHP Entity: Entity includes LHP Hospital Group, Inc., LHP Management Services, LLC; CCMP Capital Advisors, LLC; and the CPP Investment Board.

1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES X NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES X NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES ~~X~~ NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ~~X~~ NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

___ YES ~~X~~ NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES ~~X~~ NO

c. Been indebted to LHP. If YES, please provide details.

___ YES ~~X~~ NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES ~~X~~ NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ~~X~~ NO

Conflict of Interest/Financial Disclosure Form

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Print Name: PATRICIA A. GERWER

Company or Employer: THE LAW OFFICE OF PATRICIA A. GERWER, LLC

Title: PRINCIPAL

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

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C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

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___ YES NO

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___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES ✓ NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

 NONE

b. Which health care organizations would receive the RFP from Saint Mary's.

 NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Patricia A. Gerner
Signature

6/30/11
Date

PATRICIA A - GERNER
Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

.....

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Print Name: DAVID JARRARD

Company or Employer: JARRARD Phillip Cole & Hancock

Title: CEO

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

___ YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

___ YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

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___ YES NO

b. Leased assets to or leased assets from LHP. If YES, please provide details.

___ YES NO

c. Been indebted to LHP. If YES, please provide details.

___ YES NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

___ YES NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

None

b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

6-27-71

Date

DAVID JACOBSON

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and Its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and Its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

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Print Name: John F. Mansur

Company or Employer: Sinclair Ins. Group, Inc.

Title: EXP. Retirement Plans Division

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@smh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@smh.org.

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1. Financial Interests

A. Do you or any family member (see definition on first page) have a direct or indirect ownership interest in any LHP Entity (see definition on first page)?

YES NO

If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

B. Do you or any family member have a compensation arrangement with any LHP Entity?

YES NO

If you answered YES, please note the name of the entity and income generated from the entity.

C. Do you or any family member own stock or options to purchase stock in LHP?

YES NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

YES NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

YES NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

 YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

 YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

a. Sold or transferred assets to or purchased assets from or exchanged assets with LHP. If YES, please provide details.

 YES X NO

b. Leased assets to or leased assets from LHP, If YES, please provide details.

 YES X NO

c. Been indebted to LHP. If YES, please provide details.

 YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

 YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

 YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

YES NO

D. Please describe and explain in detail your part in the following decisions. If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

None

b. Which health care organizations would receive the RFP from Saint Mary's.

None

I hereby attest that the following information is true and accurate to the best of my knowledge.


Signature

6/26/11
Date

John K. Mansur
Printed Name

40264482 v1 - MERCIECM - 080458/0042

Conflict of Interest/Financial Disclosure Form

Regarding:

The Application of Saint Mary's Health System, Inc and its Affiliates ("Saint Mary's") and LHP Hospital Group, Inc. and its Affiliates ("LHP") to the Connecticut Attorney General and the Connecticut Department of Public Health for permission to form a joint venture involving a change of ownership of Saint Mary's and the conversion of Saint Mary's from not-for-profit to for-profit.

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Print Name: Kevin Phillips

Company or Employer: Jarrod Phillips Cate & Hancock, Inc.

Title: Partner

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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1. Financial Interests

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If YES, please note the name of the entity, ownership percentage and any income generated from the ownership or investment interest.

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___ YES X NO

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C. Do you or any family member own stock or options to purchase stock in LHP?

___ YES X NO

If YES, please note the stock or stock options and the amount you own.

D. Were you or any family member compensated as an employee or consultant of an LHP Entity? If YES, please provide details.

___ YES X NO

E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES X NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES X NO

C. Have you, or any party related to you, engaged in any of the following transactions with LHP since January 1, 2011?

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___ YES X NO

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___ YES X NO

c. Been indebted to LHP. If YES, please provide details.

___ YES X NO

d. Allowed LHP to be indebted to you. If YES, please provide details.

___ YES X NO

e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES X NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

____ YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

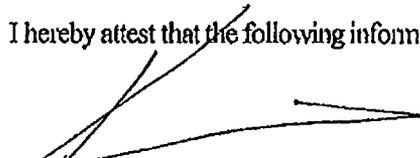
a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

____ NONE _____

b. Which health care organizations would receive the RFP from Saint Mary's.

____ NONE _____

I hereby attest that the following information is true and accurate to the best of my knowledge.



Signature

6/27/11

Date

Kevin Phillips

Printed Name

Conflict of Interest/Financial Disclosure Form

Regarding:

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Print Name: MORGAN (LYNCH) RIBEIRO

Company or Employer: JANARD PHILLIPS CATE & HANCOCK

Title: CONSULTANT

Instructions: Please mark the appropriate box for each question. Please include activities occurring currently or during the past year. Please return the completed form to Ruth Ruesch in the Legal Department at Saint Mary's. You may email the form to ruth.ruesch@stmh.org or fax it to Ruth at (203) 709-3238. If you have questions, please contact Silvia Hutcheson at (203)709-3312 or silvia.hutcheson@stmh.org.

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E. Did you or any family member receive any payment or other financial benefit as a result of the proposed transaction? If YES, please provide details.

___ YES X NO

2. Beneficial and/or Employment Interests

A. Were you, or any family member, offered a position as a director or trustee of LHP or the new joint venture? If YES, please provide details.

___ YES ~~X~~ NO

B. Were you, or any party related to you, offered a consulting position or employment with LHP or the new joint venture? If YES, please provide details.

___ YES ~~X~~ NO

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c. Been indebted to LHP. If YES, please provide details.

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e. Furnished goods, services or facilities to LHP. If YES, please provide details.

___ YES ~~X~~ NO

f. Acquired goods, services or facilities from LHP. If so, please provide details.

 YES X NO

D. Please describe and explain in detail your part in the following decisions.
If none, please state "None" below.

a. Options for a joint venture or merger available to Saint Mary's other than the proposed joint venture with LHP.

 NONE

b. Which health care organizations would receive the RFP from Saint Mary's.

 NONE

I hereby attest that the following information is true and accurate to the best of my knowledge.

Morgan Ribeiro
Signature

6/27/11
Date

MORGAN (LYNCH) RIBEIRO
Printed Name

EXHIBIT 18

Morgan Keegan's Valuation Discussion

HEALTHCARE INVESTMENT BANKING

Morgan Keegan



Valuation Discussion

April 7, 2011

In preparing this presentation, we have, with your consent, relied upon information provided by Saint Mary's Health System ("SMHS" or the "System") as well as other publicly available information. We have not independently verified any of such information, and have relied on it being complete and accurate in all material respects.

Please note that this presentation is based on the business and operations of SMHS as described herein, as represented to us as of the date hereof, and does not purport to take into consideration any information or events arising subsequent to such date. Morgan Keegan & Company, Inc. ("Morgan Keegan") makes no representation or warranty that there has been no material change in the information provided or reviewed by us in connection herewith.

The information contained herein is confidential and has been prepared exclusively for the benefit and use of the Board of Directors of SMHS in its consideration of the proposed transaction, and may not be used for any purpose without our prior written consent. This presentation is not for the benefit of, and does not convey any rights or remedies to, any holder of securities of SMHS or any other person.

This presentation is subject to the assumptions, qualifications and limitations set forth herein and in the form of our fairness opinion letter and does not constitute a recommendation by Morgan Keegan to the Board of Directors on how to vote with respect to the proposed transaction.

Table of Contents

- 1. Valuation Summary
- Appendix
 - A. Economic Analysis of Proposed Transaction
 - B. Weighted Average Cost of Capital

Valuation Summary

Valuation Summary Analysis

Valuation Summary (\$000s)

	Low	Midpoint	High	Weighting
Implied Transaction Value⁽¹⁾:				
\$204.1 million				
Comparable Public Companies⁽²⁾				
Revenue Multiple				
LTM 12/31/2010	180,449	200,499	220,549	20%
EBITDA Multiple				
LTM 12/31/2010	78,585	87,316	96,048	20%
Comparable Transactions⁽³⁾				
Revenue Multiple				
LTM 12/31/2010	93,563	103,958	114,354	20%
EBITDA Multiple				
LTM 12/31/2010	79,859	88,732	97,605	20%
Discounted Cash Flow	78,389	98,104	119,079	20%
Enterprise Value	\$ 102,169	\$ 115,722	\$ 129,527	

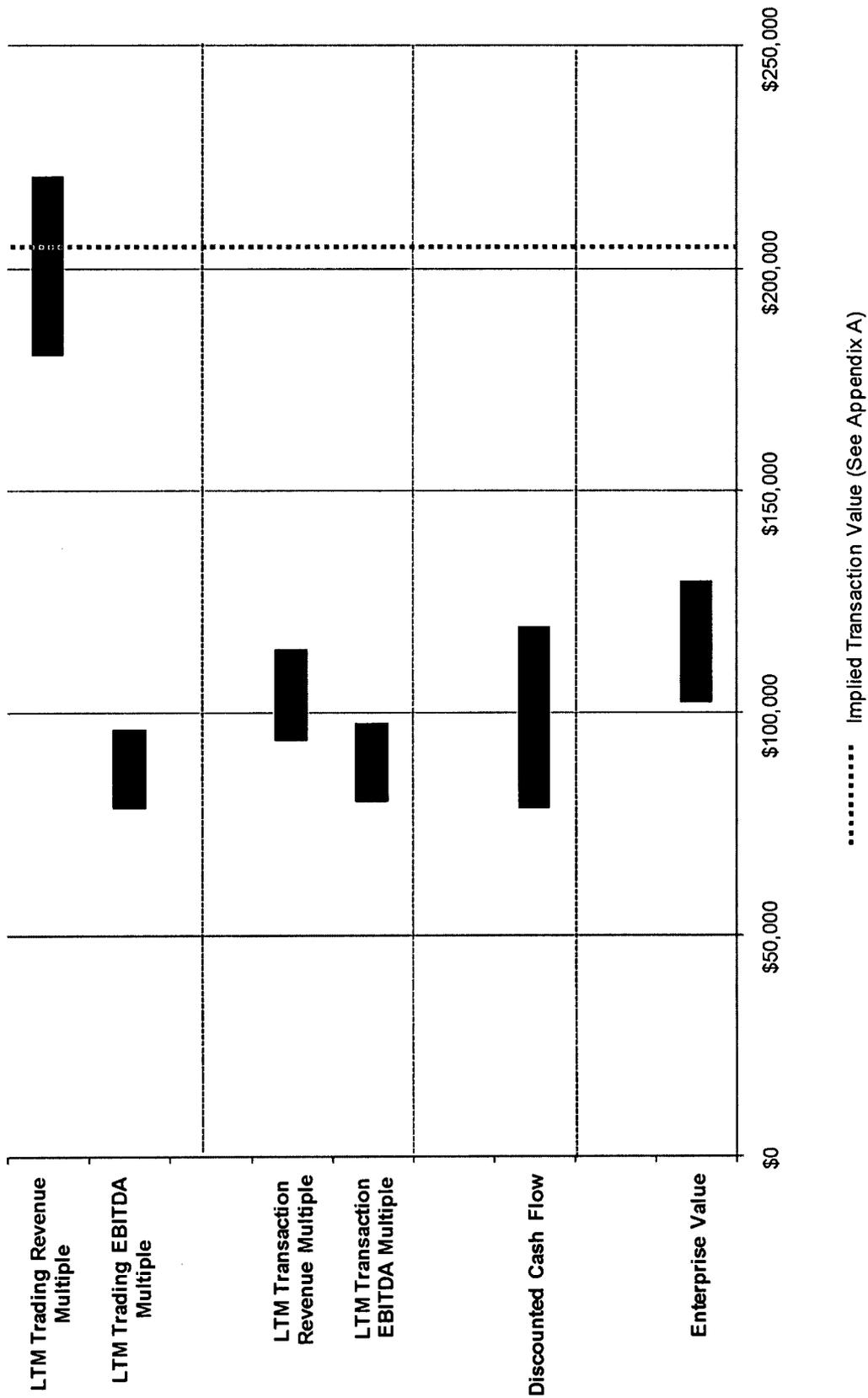
(1) See Appendix A.

(2) Comparable Public Company valuation low and high points represent 10% up or down from the median multiple, discounted 30%.

(3) Comparable Transactions valuation low and high points represent 10% up or down from the median multiple, discounted 30%.

Valuation Summary Analysis (cont'd)

Valuation Summary (\$000s)



Publicly-Traded Comparable Company Analysis

- For SMHS, the universe of comparable public companies include national hospital companies.
 - The universe of companies include: Community Health Systems, Health Management Associates, HCA, Lifepoint Hospitals, Tenet Healthcare and Universal Health Services
 - In 2010, the public hospital management companies saw their equity values rebound, in line with the broader market
 - Although these companies are good indicators of value, they are much larger and more geographically diverse than SMHS and need to be viewed differently from SMHS' situation
- We have applied latest twelve month ("LTM") revenue and EBITDA multiples to SMHS' LTM 12/31/2010 revenue and EBITDA, respectively
- A discount of 30% was applied to the multiples of publicly-traded companies to reflect SMHS is a private company
- A valuation range was created by reducing and increasing the median multiples by 10%

Publicly-Traded Hospitals (\$ millions, except per share figures)

Company	Ticker	Share Price 04/04/11	% 52-wk High	Market Cap	Enterprise Value	LTM EBITDA Margin	EV / Revenue		EV / EBITDA		Price / Earnings		LT Growth Rate		
							LTM (1)	2012	LTM (1)	2011	LTM (1)	2011		LTM (1)	2012
Community Health Systems, Inc.	CYH	\$40.65	95.6%	\$3,829	\$12,850	13.3%	0.99x	0.91x	0.86x	7.5x	6.9x	13.7x	12.5x	11.5x	12.7%
HCA Holdings, Inc.	HCA	33.67	97.4%	17,347	46,619	18.3%	1.52x	1.44x	1.37x	8.3x	7.6x	8.4x	13.5x	11.8x	0.0%
Health Management Associates Inc.	HMA	11.41	97.9%	2,885	6,153	14.5%	1.20x	1.07x	1.02x	8.3x	7.7x	19.3x	15.2x	13.5x	11.6%
Lifepoint Hospitals Inc.	LPNT	40.88	98.3%	2,149	3,541	15.8%	1.09x	1.00x	0.95x	6.9x	6.7x	13.3x	13.4x	12.3x	8.7%
Tenet Healthcare Corp.	THC	7.62	99.0%	3,853	7,831	11.4%	0.85x	0.87x	0.83x	7.5x	8.1x	3.4x	20.9x	17.5x	10.6%
Universal Health Services Inc.	UHS	50.94	99.5%	5,131	9,274	14.1%	1.67x	1.22x	1.17x	11.8x	8.1x	19.6x	13.7x	12.2x	9.9%
Median							1.14x	1.04x	0.93x	7.9x	7.7x	13.5x	13.6x	12.2x	10.2%
Mean							1.22x	1.09x	1.03x	8.4x	7.5x	12.9x	14.9x	13.1x	8.9%

(1) LTM as of December 31, 2010.

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Morgan Keegan

Summary Valuation – Comparable Company Analysis (cont'd)

Comparable Company Valuation Analysis (\$000s)

Multiple of	Median Multiple	Statistic	Comparable Company Trading Multiples			Implied Enterprise Value		
			Low (-10% Range)	Median (30% Discount)	High (10% Range)	Low (-10% Range)	Median (30% Discount)	High (10% Range)
Revenue								
LTM 12/31/2010	1.14x	250,341	0.72x	0.80x	0.88x	180,449	200,499	220,549
EBITDA								
LTM 12/31/2010	7.9x	15,845	5.0x	5.5x	6.1x	78,585	87,316	96,048

Comparable Transactions Analysis

For SMHS, the universe of comparable transactions, for which reliable information is available, is found in the table below.

Valuation Multiples from Comparable Transactions (\$ millions)⁽¹⁾

Announced	Target	Description	Acquirer	Transaction		Last Twelve Months		Transaction Multiples	
				Value	Revenue	EBITDA	Revenue	EBITDA	
03/21/11	Saint Joseph Medical Center (78.2%)	792-bed acute care hospital in TX	IASIS Healthcare	\$ 165.0	\$ 266.7	\$ 28.7	0.62x	5.6x	
02/01/11	Hamot Medical Center	404-bed facility in PA	University of Pittsburgh Medical Center	417.0	448.3	23.7	0.93x	17.6x	
01/07/11	Palmyra Medical Centers	118-bed facility in GA	Phoebe Putney Health Systems, Inc.	195.0	86.3	8.6	2.21x	22.7x	
12/15/10	Midwest NFP Hospital (majority interest)	211-bed health care system	Midwest Regional Healthcare System	166.7	228.7	25.4	0.73x	6.6x	
12/09/10	Merrimack Valley Hospital and Nashoba Valley Medical Center	95-bed facility and 57-bed facility in MA	Steward Health Care System	21.0	272.3	4.7	0.08x	4.5x	
09/20/10	Victory Valley Community Hospital	115-bed acute care hospital in CA	Prime Healthcare Services	37.0	55.8	3.1	0.66x	12.0x	
09/17/10	Saint Joseph's Hospital	194-bed acute care hospital in WV	West Virginia University Health System	87.0	93.8	2.6	0.93x	NM	
09/10/10	Brim Holdings (Wadley Regional, Pikes Peak Regional)	Combined 165-bed hospitals in TX and CO	IASIS Healthcare	95.0	42.8	(0.2)	2.22x	NM	
09/01/10	University Community Health	Three hospital health system in Tampa Bay, FL	Adventist Health Systems, Inc.	355.0	502.5	45.0	0.71x	7.9x	
08/18/10	Prospect Medical Holdings	Operator of 5 community hospitals in CA	Leonard Green & Partners	363.0	468.1	53.9	0.78x	6.7x	
08/08/10	Forum Health	3 hospital system in OH	Community Health Systems	200.0	384.5	4.2	0.52x	NM	
08/06/10	Meadowlands Hospital	230-bed acute care facility in NJ	MHA, LLC	16.0	61.6	(5.8)	0.26x	NM	
07/27/10	Wuesthoff Health System	2-hospital, 406-bed health system in FL	Health Management Associates	151.5	275.5	14.5	0.55x	10.4x	
07/10/10	United Medical Center	184-bed acute care facility in Washington, D.C.	District of Columbia	20.0	99.4	(14.7)	0.20x	NM	
07/09/10	Marion Regional Healthcare System	124-bed acute care hospital in SC	Community Health Systems	25.7	59.3	7.2	0.43x	3.6x	
06/30/10	Coffee Health Group	2-hospital, 535-bed health system in AL	RegionalCare Hospital Partners	393.3	203.8	4.7	1.93x	NM	
07/01/10	St. Mary's Hospital	115-bed hospital in IL	SSM Health Care	35.0	98.7	12.1	0.35x	2.9x	
05/28/10	3 Shands HealthCare hospitals (60%)	3 hospitals in FL (139 combined beds)	Health Management Associates	21.4	49.0	0.3	0.44x	NM	
05/03/10	Sumner Regional Health System	155-bed hospital in TN	LifePoint Hospitals	145.0	141.5	10.3	1.02x	14.1x	
04/20/10	West Suburban Hosp. Med.Center & Westlake Hosp.	246-bed medical center, 181-bed hospital in IL	Vanguard Health Systems	45.4	260.8	(15.8)	0.17x	NM	
04/07/10	Bluefield Regional Medical Center	265-bed medical center, 100-bed hospital in WV	Community Health Systems	33.6	79.1	3.1	0.42x	10.7x	
04/01/10	Clark Regional Medical Center	100-bed medical center in KY	LifePoint Hospitals, Inc.	65.0	53.4	3.8	1.22x	17.3x	
03/25/10	Caritas Christi Health Care	6-hospital system in MA	Cerberus Capital Management	830.0	1,334.9	115.9	0.62x	7.2x	
03/19/10	Detroit Medical Center	7-hospital system in MI	Vanguard Health Systems	1,241.0	2,091.9	156.0	0.59x	8.0x	
02/05/10	Long Island College Hospital (Merger)	366-bed acute care hospital in NY	SUNY Downstate Medical Center	110.0	306.0	2.3	0.36x	47.7x	
01/14/10	NorthShore Regional Medical Center	165-bed acute care hospital in LA	Ochsner Health System	32.8	70.7	(3.4)	0.46x	NM	
01/04/10	Banks-Jackson-Commerce Medical Center	257-bed medical center in GA	Restoration Health Care	7.1	27.3	(0.1)	0.28x	NM	

Median	0.55x	6.0x
Mean	0.73x	12.1x

(1) Source: Press Releases, Irving Levin, Industry Newsletters, CapitalIQ and Morgan Keegan data.

Summary Valuation – Comparable Transactions (cont'd)

A discount of 30% was applied to the multiples of comparable transactions and the valuation range was created by reducing and increasing the discounted median multiples by 10%.

Comparable Transactions Valuation Analysis (\$000s)

Multiple of	Median Multiple	Statistic	x	Transaction Multiples			Implied Enterprise Value					
				Low (-10% Range)	Median (30% Discount)	High (10% Range)	Low (-10% Range)	Median (30% Discount)	High (10% Range)			
Revenue												
LTM 12/31/2010	0.59x	250,341		0.37x	0.42x	0.46x		93,563	103,958	114,354		
EBITDA												
LTM 12/31/2010	8.0x	15,845		5.0x	5.6x	6.2x		79,859	83,732	97,605		

Forecast Assumptions

- The forecast used for valuation purposes is derived from Management's Strategic Plan developed in 2010
 - SMHS' forecast is based on a report that forecasts fiscal years 2011 to 2014 dated May 5, 2010
- In order to select the appropriate discount rates, a Weighted Average Cost of Capital calculation was completed analyzing the public hospital companies and assumed:
 - 40% tax rate
 - A risk free rate of 4.47%
 - An equity risk premium of 6.70%
 - A size premium of 6.28%
- Current EBITDA multiples of public hospital companies have a median of 7.5x. By discounting the public multiples by 30%, a range of EBITDA multiples of 4.0x-6.0x were assumed to calculate a terminal value

Discounted Cash Flow Analysis

Discounted Cash Flow (\$000s)

	Projected Fiscal Year Ended September 30,			
	2011	2012	2013	2014
EBITDA	\$ 14,767	\$20,533	\$25,414	\$28,020
Less: Depreciation	(9,962)	(13,058)	(14,546)	(15,865)
EBIT	4,805	7,475	10,867	12,155
Less: Taxes	(1,922)	(2,990)	(4,347)	(4,862)
Tax-effected EBIT	2,883	4,485	6,520	7,293
Plus: Depreciation	9,962	13,058	14,546	15,865
Less: Capital Expenditures	(11,471)	(19,338)	(16,240)	(13,022)
Plus: Changes in Working Capital	(2,759)	3,974	1,679	1,922
Free Cash Flow	(1,384)	2,179	6,505	12,058

40.00%

Discount Rate	A + B		C	
	4.0x	5.0x	5.0x	6.0x
13%	\$12,769	\$88,592	\$101,361	\$119,079
14%	12,391	\$85,713	98,104	115,247
15%	12,028	\$82,951	94,979	111,569

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PV of Terminal Value as a Multiple of Fiscal Year 2014 EBITDA

Appendix A: Economic Analysis of Proposed Transaction

Economic Analysis of Proposal: Analysis of Enterprise Value of Proposal

Summary Analysis of Enterprise Value of Proposal (\$ millions)

Joint Venture Proposal



Consideration at Closing	
Consideration for Assets	\$ 135.0
Payment for Working Capital Taken ⁽¹⁾	-
Value of Working Capital Left Behind	(5.9)
Value Addition/(Reduction)	(5.9)
Value for Assets	129.1
CapEx Commitments ⁽²⁾	75.0
Implied Enterprise Value	204.1
FY 2010 EBITDA	17.1
FY 2010 EBITDA Multiple	12.0x
FY 2010 Operating Cash Flow	14.9
FY 2010 Operating Cash Flow Multiple	13.7x
FY 2010 Revenue	250.8
FY 2010 Revenue Multiple	0.81x

(1) Most of the working capital is contributed to the Joint Venture.

(2) \$75 million will be committed upfront for the Master Facility Plan.

Economic Analysis of Proposal: Analysis of Enterprise Value of Proposal (cont'd)

Value of Retained Assets (minus Liabilities, \$ millions)⁽¹⁾⁽²⁾

Joint Venture Proposal



Retained Assets (As of 09/30/10)	
Cash & Marketable Securities	36.7
Assets Limited to Use ⁽³⁾	19.3
Estimated Self-Insurance Liability Funds ⁽⁴⁾	5.1
Bond Indenture Funds	-
Net Interest in Foundation & Other Funds ⁽⁵⁾	(66.6)
Less: Defined Pension Benefit Plan ⁽⁶⁾	(28.0)
Less: Debt	(19.3)
Less: Self-Insurance Liability	(7.2)
Less: Other Liabilities	(0.9)
Less: Supplemental Pension Plan	-
Net Retained Assets (minus Liabilities)	(60.8)

(1) Balance Sheet financials as of Saint Mary's FY2010 Audited Financials.

(2) Retained Assets do not include \$0.2 million in deferred financing costs related to debt. The \$0.2 million will be written off when debt is retired.

(3) Does not include fully restricted portion of Hellman Trust of \$13.4 million.

(4) Assumes Saint Mary's Indemnity company's self insurance asset fund is equal to the self insurance liability. The \$8.2 million excess reserves are restricted.

(5) Interest in Foundation (\$4.0 million) & Other Funds (\$0.6 million) are restricted and may not be used to satisfy liability payments but will remain in the Foundation.

(6) For plans beginning after December 31, 2007 (such as the SMH Pension), the applicable interest rate under Section 417(e)(3)(D) of the Pension Protection Act of 2006 is the monthly spot segment rate blended with the applicable rate under Section 417(e)(3)(A)(ii)(I).

Economic Analysis of Proposal: Analysis of Enterprise Value of Proposal (cont'd)

Saint Mary's Health System: Post Closing Profile (\$ millions)

Joint Venture Proposal

Joint Venture Proposal	LHP LHP Hospital Group, Inc.*
Cash Proceeds	108.0
Plus: Working Capital ⁽¹⁾	(5.9)
Plus: Retained Assets (net of Liabilities) ⁽²⁾	(60.8)
Net Cash in Saint Mary's Health System	41.3
Plus: Shareholding in JV ⁽³⁾	27.0
Net Cash & Net Assets in Saint Mary's Health System	68.3
Foundation Commitment for Future CapEx ⁽⁴⁾	7.5
	Total Retained Assets (net of Liabilities) = \$66.7

- (1) Represents working capital left behind, most of the working capital is contributed to the Joint Venture.
- (2) Does not include closing costs for purchase of tail insurance, counsel and financial advisor fees.
- (3) Represents Saint Mary's 20% shareholding in the JV.
- (4) Saint Mary's will commit to fund 10% of \$75 million non-routine CapEx.

Appendix B: Weighted Average Cost of Capital

Components of WACC

$$\text{WACC} = \underbrace{(r_D \times (1-T_c) \times (\text{Debt} / (\text{Debt} + \text{Equity})))}_{\text{Cost of Debt}} + \underbrace{(r_E \times (\text{Equity} / (\text{Debt} + \text{Equity})))}_{\text{Cost of Equity}}$$

Cost of Debt (r_D)

- The cost of debt represents the firm's borrowing rate for debt, as of a specified time period and based on the firm's credit profile
- The cost of debt is adjusted for corporate taxes, as interest is tax-deductible ($1-T_c$)
 - In SMHS' case, we assumed a 40% tax rate

Cost of Equity (r_E)

- The cost of equity represents the firm's required rate of return on equity-supplied capital
- Morgan Keegan utilizes the Capital Asset Pricing Model ("CAPM") to estimate a firm's cost of equity

WACC Calculation

WACC Assumptions

Assumptions	Data
Target Marginal Tax Rate	40.00%
Risk Free Rate ⁽¹⁾	4.47%
Equity Risk Premium ⁽²⁾	6.70%
Size Premia ("Sp") ⁽³⁾	6.28%

WACC Industry Statistics

Industry Statistics

(in millions)

COMPANY	Beta ⁽⁴⁾	Total Debt	Total Pref.	Equity Value	Debt/ Mkt Equity	Tax Rate ⁽⁵⁾	Levering Factor ⁽⁶⁾	Unlevered Beta ⁽⁷⁾	Method
Community Health Systems, Inc.	1.383	\$8,871.5	\$0.0	\$3,829.2	231.7%	37.0%	2.46	0.46	Market
Health Management Associates Inc.	2.127	3,199.2	0.0	2,884.6	110.9%	37.0%	1.70	1.25	Market
Lifepoint Hospitals Inc.	1.110	1,571.9	0.0	2,148.6	73.2%	37.0%	1.46	0.76	Market
Universal Health Services	1.079	3,915.6	0.0	5,131.3	76.3%	37.0%	1.48	0.73	Market
Tenet Healthcare Corp.	2.303	3,997.0	0.0	3,853.3	103.7%	37.0%	1.65	1.39	Market
Average	1.600				119.2%	37.0%	1.75	0.92	
Median	1.383				103.7%	37.0%	1.65	0.76	

- (1) Current yield on 30-year U.S. Treasury Notes as of April 4, 2011.
- (2) The average historic spread between the return on stocks and L-T bonds (Ibbotson Associates, Inc.).
- (3) Cost of equity premia based on equity market capitalization = 6.28%. Amounts per Ibbotson Associates, Inc.
- (4) CapitalIQ 5-Year beta derived from a least squares regression analysis of stock and S&P 500 returns over the past 5 years.
- (5) Based on effective tax rates and statutory tax rates as applicable.
- (6) Levering Factor: $1 + [(1 - \text{Tax Rate}) * (\text{Debt} / \text{Equity ratio})]$
- (7) Unlevered Beta: $(\text{Beta} / \text{Levering Factor})$

EXHIBIT 19

Saint Mary's Hospital Foundation Summary Chart of Restricted Funds

**Saint Mary's Hospital
Funds Restricted As To Use
May 31, 2011**

Fund	GL Account	Fund Balance 5/31/2011	Original Date	Restriction Description (Summary)
Cardiology - Tab A				
A1	90-9600-960730	49,315	Sep-02	American Cancer Society grant for colon rectal education.
A2	90-9600-960502	28,711	Oct-89	Multiple gifts and Gala 2008 proceeds to be used for Cardiology Department/Cardiac Care
A3	90-9600-960593	152,762	Dec-07	Multiple gifts from memorials, direct mail & special events to be used for the Herman Weisman /Telemetry Unit
A4	90-9600-960594	177,846	Jun-06	Multiple gifts to be used for the Department of Cardiology and the Telemetry Unit
A5	90-9600-960538	10,000	Jan-90	Estate of Jean Weiss Malzkin for purchasing medical equip for the Coronary Care Unit and the Intensive Care unit
	Total	418,634		
CHC/FHC - Tab B				
B1	90-9600-960509	9,344	Dec-00	Individual gifts in honor of Dr. Carbonari for use in CHC
B2	90-9600-960508	1,753	Nov-02	Yearly individual grants for specific use from Schlegel Fund
B3	90-9600-960508	1,000	Apr-00	Gift to purchase a EKG machine for CHC
B4	90-9600-960561	66,841	Apr-04	A donation from the Hezekiah Beardsley CT Chapter of AAP All Kids Count Rec'd and a \$50,000 Grant from Noble Trust for Medical Home Program
B5	90-9600-960512	13,216	Sep-02	Grant for Touchpoint Program in CHC
B6	90-9600-960511	22,219	May-02	CHC/FHC operating expenses & \$6K from Leavenworth Foundation for the CHC Attachment (Breast Feeding)
B7	90-9600-960503	25,570	Sep-97	Corporate gifts for Asthma Prevention programs
B8	90-9600-960725	15,395	Oct-06	To support integration of Behavioral health & Primary Care for Children
B9	90-9600-960513	35,479	Sep-02	Fund to be used for FHC and CHC indigent patients
B10	90-9600-960528	1,815	Mar-00	Donations from Pfizer & Merck Co. for medical education program
B11	90-9600-960528	6,069	Mar-01	Donation from AstraZeneca for Hypertension program
B12	90-9600-960714	342	May-02	Equipment for CHC and Neo Natal unit
B13	90-9600-960504	8,230	May-98	Individual gifts to be used for children with Asthma
B14	90-9600-960506	3,279	Aug-97	Multiple gifts for the purchase books or educational materials for CHC
B15	90-9600-960703	5,180	Jul-02	Grant for Teen Grief Program in CHC
B16	FAMIGLETTI	2,797	Jun-01	Fund for Reach out & Read and Diabetes Educational material
	Total	218,530		
Emergency/Department				
C1	90-9600-960518	7,997	Dec-92	Federal Grants, Special event proceeds and other gift revenue for ED department
	Total	7,997		

Department of Surgery						
D1	DAVINCI ROBOTIC SURGERY PROGRAM		275,000	Jul-10	Gifts/Pledges to support the purchase of the da vinci robot.	
D2	SAME DAY SURGERY		1,050	May-08	Multiple gifts for Same Day Surgery	
D3	SURGICAL SERVICES - GALA	90-9600-960548	368,568	Oct-09	2010 and 2011 Gala revenue for Surgical Services	
	Total		644,618			

Nursing Departments						
E1	BIRTHING CENTER	90-9600-960562	1,694	Jan-03	Dr. Albin's monthly Payroll deducts for Birthing Center Needs	
E2	INTENSIVE CARE	90-9600-960553	165	Jan-89	Gifts for need on ICU	
E3	WOMEN AND INFANTS	90-9600-960520	67,790	Jul-01	Gifts to be used for the maternity unit, including educational material, patient education, conferences and misc items for the unit.	
E4	WOMAN & INFANT NEONATAL	90-9600-960570	2,703	Jun-02	Gifts to be used for the neonatal items	
E5	O'BRIEN 7	90-9600-960545	75	Mar-93	Gifts for needs on O'Brien 7	
E6	PEDIATRICS	90-9600-960525	402	Mar-99	Memorial gifts for pediatric needs	
E7	XAVIER 3	90-9600-960544	445	Jul-98	Gifts for unit needs	
	Total		73,274			

Clinical Departments						
F1	BREAST CENTER	90-9600-960563	27,134	Jan-05	To be used for Breast Biopsies (10,000) & Computer scanner, translation software, cyracom language line, mammograms, CBE's (12,000)	
F2	DSS - DENTAL GRANT	90-9600-960709	394	May-02	Stat and private Foundation grants to purchase dental equipment for dental clinic or Dental room in CHC	
F3	ENDOSCOPY/GASTROENTEROLOGY	90-9600-960523	3,603	Aug-91	Gifts for need in Gastro and Nurse education	
F4	ONCOLOGY	90-9600-960523	5,123	Mar-90	Gifts to be used for Oncology Services/Mary E. Brosnan Fund	
F5	HOSPICE ROOM FUND	90-9600-960578	490	Dec-02	Memorial donations for Hospice	
F6	NAUGATUCK WALK-IN	90-9600-960591	2,838	Jan-10	Ct Community Foundation Grant for purchase of Equipment in Naug Walk-in	
F7	PULMONARY / RESPIRATORY	90-9600-960549	139	Feb-99	Memorial gifts for Pulmonary Support Group to be used for a need on the unit	
F8	WOUND CARE		665	Apr-07	Memorial gifts for Wound Care Program	
	Total		40,386			

Non-Clinical Departments						
G1	CHILD DEVELOPMENT CENTER - PTO / PLAYGROUND/EDUCATION	90-9600-960514	1,635	Jul-01	Playground/Education/Educational tools for CDC	
G2	FITNESS CENTER FUND	90-9600-960595	13,920	Apr-10	Money to be used for new SMH fitness Gym	
G3	INFORMATION SERVICES	90-9600-960572	75,000	Jun-03	\$225,000 grant from the Woodward Foundation to help build a wireless network	
G4	LIBRARY	90-9600-960516	860	Jan-92	Gifts for Library needs - \$100 IS TO PURCHASE A NURSING BOOK \$1,000 is a grant to purchase chairs for the resident study area	
G5	LIFELINE	90-9600-960539	1,323	Jan-85	To purchase Lifeline kits for people without financial resources	
G6	PASTORAL CARE	90-9600-960524	5,881	Dec-91	Gifts for support of indigent patients	
G7	VOLUNTEER SERVICES FUND	90-9600-960556	478	Dec-02	Volunteer training	
	Total		99,097			

Medical/Surgical/Extention						

H1	ASGHAR RASTEGAR RESEARCH FELLOWSHIP	90-9600-960533	1,746	Oct-02	Support for project that further the goals and objectives of graduate medical education
H2	MEDICINE, DEPARTMENT OF - BULLER	90-9600-960522	47,431	Dec-00	Funds for Dept. of Medicine
H3	MEDICAL & NURSING EDUCATION-(WARRING)		7,304	Aug-95	Warring Estate gift for the education of medical & nursing staff
H4	RESIDENCY PROGRAM	90-9600-960532	104,885	Dec-96	Gala proceeds Residency program and gifts for chairs. Professional training, education and technical development of surgical residents
H5	DUDRICK SURGICAL EDUCATION & RESEARCH FUND	90-9600-960531	59,349	Dec-02	
H6	DR FINKLESTEIN - RESEARCH FELLOWSHIP FOR YALE RESIDENT	90-9600-960708	16,259	Jun-02	Funding to support resident research projects in Dept of Medicine
	Total		236,974		
Special Funds					
I1	BREAST HEALTH	90-9600-960721	9,484	Jan-06	ACS grant for Breast Health programs
I2	FREEDOM FROM SMOKING	90-9600-960704	1,062	Sep-02	Grant from American Lung Assoc for Stop Smoking Education
I3	BOARD OF DIRECTORS		50,850	Oct-09	Contributions from Board of Dir - usage to be determined by Board
I4	BUILDING FUND	90-9600-960583	396	May-92	Gifts for building renovations
I5	EMPLOYEE CRISIS	90-9600-960501	12,055	May-98	Employee annual fund proceeds to assist employees who are in financial need. Eligibility determined by Employee Assistance Program with a max of \$500. This is a one time only assistance and checks need to be made out to the creditor or a third party
I6	ENRICHMENT GRANT PROGRAM	90-9600-960592	43,413	Jul-09	Employee annual fund campaign to raise money to underwrite grants for programs and projects that are submitted by SMH staff to improve the quality of care we offer our patients
I7	HOFFMAN FND - PATIENT SAFETY FUND *FALLING STARS INPTS AT RISK*	90-9600-960729	1,081	Jul-09	Grant to purchase brightly colored blankets & slippers to identify patients at high risk of falling
I8	MAMMOGRAPHY - WMNS GOLF	90-9600-960581	84,535	May-08	Proceeds from 2008, 2009, 2010 and 2011 Women's Golf Tournament for mammography for uninsured and underinsured mammography services
I9	SISTERS OF ST JOSEPH-CHAMPION DINNER		5,153	Jun-08	Proceeds from 2008 Champion Dinner - Earmarked for Sisters of St. Joseph
I10	DR FINKLESTEIN - RESTORATION & ARCHIVAL WORK	90-9600-960707	3,483	Jun-02	To work on compiling of history of Hospital & School of Nursing
	Total		211,512		
Scholarship Funds					
J1	DESMARIS SCHOLARSHIP	90-9600-960589	8,708	Nov-07	Memorial gifts to be used for Nursing scholarships for graduate education.
J2	HEALTHCARE / NURSING SCHOLARSHIPS	90-9600-960565	62,364	May-04	Nursing and Healthcare scholarships
J3	MIMI ROWE SCHOLARSHIPS		16,595	Feb-11	Memorial donations to be used for nursing scholarships.
J4	SCHOOL OF NURSING (ALUMNI)	90-9600-960582	39,760	Nov-90	School of Nursing alumnae donations and membership fees for nursing scholarship
	Total		127,427		
Education Funds					
K1	EDUCATION FUND	90-9600-960536	6,264	Sep-00	Donations for non-specific educational purposes
K2	ONCOLOGY - PAIN MGMT STAFF EDUCATION	90-9600-960523	1,236	Mar-00	Funds to be used for Oncology staff education
K3	NURSING EDUCATION		12,252	Dec-92	Memorial donations to be used for Nursing Education
	Total		19,752		
ENDING BALANCE OF ALL FUNDS			2,098,201		

EXHIBIT 20

Saint Mary's Restricted Funds Binders (5)

One set of the Restricted Funds binders has been bulk filed with the Attorney General's Office and one set has been bulk filed with the Department of Public Health.

The Attorney General's Office and the Department of Public Health were also provided with an electronic copy of the Restricted Funds binders.



Saint Mary's
HOSPITAL
Foundation

Restricted Funds Index

Fund	Section	Category	Binder
Asghar Rastegar Research	H1	Medical/Surgical Education	#3
Birthing Center	E1	Nursing Departments	#2
Board of Directors	I3	Special Funds	#3
Breast Center	F1	Clinical Departments	#2
Breast Health/American Cancer Society	I1	Special Funds	#3
Building	I4	Special Funds	#3
Carbonari	B1	CHC/FHC	#1
Cardiac Care	A2	Cardiology	#1
Cardiac Rehab	A1	Cardiology	#1
Charlotte Desmarais	J1	Scholarship Funds	#3
CHC Edwina Schlegel	B2	CHC/FHC	#1
CHC EKG	B3	CHC/FHC	#1
CHC Medical Home	B4	CHC/FHC	#1
CHC Touchpoints	B5	CHC/FHC	#1
CHC/FHC Operating	B6	CHC/FHC	#1
Child Asthma Prevention	B7	CHC/FHC	#1
Child Development Center	G1	Non-Clinical Departments	#2
Children's Behavioral Health	B8	CHC/FHC	#1
daVinci Robot	D1	Dept. of Surgery	#1
Dental	F2	Clinical Departments	#2
Department of Medicine	H2	Medical/Surgical Education	#3
Education	K1	Education Funds	#3
Emergency Dept./Renovations	C1	Emergency Dept.	#1
Employee Crisis	I5	Special Funds	#3
Endoscopy/Gastro	F3	Clinical Departments	#2
Enrichment Grant	I6	Special Funds	#3
Famiglietti	B16	CHC/FHC	#1
Family Rescue	B9	CHC/FHC	#1
FHC Education	B10	CHC/FHC	#1
FHC Hypertension	B11	CHC/FHC	#1
Finklestein Archival and Restoration	I10	Special Funds	#3
Fitness Center	G2	Non-Clinical Departments	#2
Freedom From Smoking/American Lung	I2	Special Funds	#3
George & Grace Long	B12	CHC/FHC	#1
Gorman Asthma	B13	CHC/FHC	#1
Healthcare/Nursing Scholarships	J2	Scholarship Funds	#3
Hoffman	I7	Special Funds	#3
Hospice Room	F5	Clinical Departments	#2
Information Services	G3	Non-Clinical Departments	#2
Intensive Care	E2	Nursing Departments	#2
J. Robert Anthony, M.D.	A4	Cardiology	#1
Library	G4	Non-Clinical Departments	#2
Lifeline	G5	Non-Clinical Departments	#2

Restricted Funds Index

Fund	Section	Category	Binder
Maternity/Womens & Infants	E3	Nursing Departments	#2
Medical Equipment	A5	Cardiology	#1
Medical/Nursing Education	H3	Medical/Surgical Education	#3
Mimi Rowe Scholarship	J3	Scholarship Funds	#3
Naugatuck Walk-In	F6	Clinical Departments	#2
Neonatal Unit	E4	Nursing Departments	#2
Nursing Education	K3	Education Funds	#3
O'Brien 7	E5	Nursing Departments	#2
Oncology	F4	Clinical Departments	#2
Oncology	K2	Education Funds	#3
Pastoral Care	G6	Non-Clinical Departments	#2
Pediatrics Memorials/Gala/Estates/DML	E6	Nursing Departments	#2
Pulmonary/Respiratory	F7	Clinical Departments	#2
Reach Out & Read	B14	CHC/FHC	#1
Residency Program	H4	Medical/Surgical Education	#3
Same Day Surgery	D2	Dept. of Surgery	#1
School of Nursing Alumni	J4	Scholarship Funds	#3
Sister Saint Joseph	I9	Special Funds	#3
Stanley J. Dudrick	H5	Medical/Surgical Education	#3
Surgical Services	D3	Dept. of Surgery	#1
Teen Grief	B15	CHC/FHC	#1
Telemetry/Herman Weisman	A3	Cardiology	#1
Volunteer Services	G7	Non-Clinical Departments	#2
WFW Mammography	I8	Special Funds	#3
William Finkelstein Fellowship	H6	Medical/Surgical Education	#3
Wound Care	F8	Clinical Departments	#2

Anthem Blue Cross & Blue Shield
Cardiac Unit Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Anthem Blue Cross & Blue Shield 370 Bassett Rd North Haven, CT 06473-420 (203) 239-8245	8/31/2010	Cash	Cardiac Unit	Grant	\$50,000.00	\$0.00	for the Cardiac Rehabilitation Progr
Total Cash:					\$50,000.00		
Grand Total Cash:					\$50,000.00		

Cardiology/Critical Care

grant

see page 5

~~AB~~
A1

ANTHEM BLUE CROSS AND BLUE SHIELD FOUNDATION, LLC
AN INDEPENDENT LICENSEE OF THE
BLUE CROSS BLUE SHIELD ASSOCIATION

1795

16-66/1220 CA

PAY TO THE
ORDER OF Saint Mary's Hospital, Inc.

DATE July 21, 2010

Fifty thousand 00/100 * * * * * \$ 50,000.00

Bank of America
Los Angeles, California



DOLLARS



\$50,000.01 OR MORE REQUIRE 2 SIGNATURES

FOR _____

[Signature]

⑈001795⑈ ⑆122000661⑆ ⑆2357⑈ ⑆1827⑈

Anthem 
FOUNDATION

August 14, 2010

Ms. Patricia A. Evans
Grant Manager
Saint Mary's Hospital, Inc.
56 Franklin Street
Waterbury, CT 06706

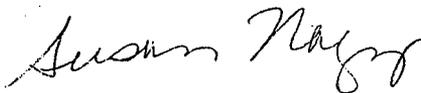
Dear Ms. Evans:

Anthem Blue Cross and Blue Shield Foundation has considered and granted a charitable gift to Saint Mary's Hospital, Inc. Please find enclosed a check in the amount of \$50,000. Also enclosed is an IRS Verification Form that we ask you to sign and return to us.

Through charitable grant making, the Anthem Blue Cross and Blue Shield Foundation LLC, an independent licensee of the Blue Cross and Blue Shield Association, promotes Anthem's inherent commitment to enhance the health and well-being of individuals and families in communities that Anthem Blue Cross and Blue Shield serves. The Foundation focuses its funding on strategic initiatives that address and provide innovative solutions to health care challenges, as well as promoting the Healthy Generations Program, a multi-generational initiative that targets specific disease states and medical conditions. These include: prenatal care in the first trimester, low birth weight babies, cardiac morbidity rates, long term activities that decrease obesity and increase physical activity, diabetes prevalence in adult populations, adult pneumococcal and influenza vaccinations and smoking cessation. The Foundation also coordinates the company's annual associate giving campaign and its parent foundation provides a 50 percent match of associates' campaign pledges. [®]ANTHEM is a registered trademark of Anthem Insurance Companies, Inc. The Blue Cross and Blue Shield names and symbols are registered marks of the Blue Cross and Blue Shield Association. To learn more about the Foundation please visit www.wellpointfoundation.org.

We extend our best wishes for your fundraising efforts. If you have any questions about this gift, please give me a call at (805) 557-6788.

Sincerely,



Susan K. Nagy

Enclosure: Check No. 1795
cc: Janice Hutchings

www.wellpointfoundation.org. Please check this site often because submission deadlines may change. All grant submission deadlines posted on this website are firm - no exceptions.

Your Organization, Contacts and History

I. Organization Contact Information

1. Name of Organization

Saint Marys Hospital Inc

2. Address

56 Franklin Street

3. City

Waterbury

4. State

CT

5. Zip

06706

6. Organization Phone

(203) 709-6000

7. Organization Fax Number

(203) 709-3238

8. Organization General E-mail Address

9. Organization Web Address

www.stmh.org

II. Primary Contact Information

Please provide the contact information for the person within your organization who will be the primary contact for this grant proposal.

10. Primary Contact's Name Prefix

Example: Mr, Mrs, Ms., Dr., etc.

Ms.

11. Primary Contact's First Name

Patricia

12. Primary Contact's Middle Initial

A

13. Primary Last Name

Evans

14. Primary Contact's Title

Grant Manager

15. Primary Contact's E-mail Address

pevans@stmh.org

16. Primary Contact's Business Phone

(203) 709-3270

17. Primary Contact's Business Phone Extension

III. Organization, Values, and History

18. What is your organization's mission and vision? If you have any principals or values you actively use in your programs and management, please include them. See Guidance and Example

100 Words or Less

Saint Mary's Hospital's mission is to provide excellent healthcare in a spiritually enriched environment to improve the health of our community and its vision is to be the leading regional healthcare provider. The values are integrity, caring, accountability, respect and excellence.

19. What is your organization's National taxonomy of Exempt Entities (NTEE) classification? The majority of grants we issue are specific to our public health-focused Signature theme.

NTEE Examples: E70 (Public Health); E01 (Health Alliances and Advocacy); etc.

NTEE22

20. If your organization is not a health care NTEE, we need to understand what your requests' connectivity to our Signature funding mission will be. Briefly explain.

150 Words or Less

Saint Mary's Hospital classified as a NTEE 22 and is a 347 bed acute care hospital with four outpatient clinics.

21. Regarding the history of your organization: Tell us when it was established, what territory and/or clientele it serves, and what your operational purpose is.

100 Words or Less

Saint Mary's Hospital is a not-for-profit, 347-bed acute care teaching hospital affiliated with Yale University and the University of Connecticut Schools of Medicine and the Connecticut Children's Medical Center. For 100 years the hospital has played a vital role in the history of Waterbury, CT providing healthcare to a diverse population in the Central Naugatuck Valley. The hospital embraces each individual with respect, dignity and compassion, and is guided by these principles in meeting the healthcare needs of the community. The majority of patients served by Saint Mary's are Waterbury residents and many are Medicaid, Medicare and self pay patients.

22. Does your organization have a pre-existing relationship with WellPoint?

(a) Has your organization ever received funding from WellPoint, Inc., its Foundaton, or one of its member companies in the past? (e.g., grants, matching gifts, sponsorship, etc.)

From the 1990s until September 2009 Anthem Blue Cross and Blue Shield had been a sponsor of the Saint Mary's Hospital Golf Tournament and Gala. No support was given for the 2010 Saint Mary's Gala, which was held in February, 2010

(b) If your answer to (a) was yes, please describe the prior funding, when you received it, and the amount you received. If your answer to (a) was no, please enter "N/A" here.

\$8,000 was given in September, 2009 as a sponsorship for the SMH Golf Tournament and \$1,200 sponsorship was given in February, 2009 for the SMH Gala. No sponsorship dollars were given toward the 2010 Gala held February 6, 2010. From the 1990s until 2009 the amounts were consistent with the dollar amounts listed above.

(c) Has your organization had prior non-funding relationships with WellPoint, Inc., its Foundation or one of its member companies (e.g. volunteers, board members, etc.)? If yes, please explain.

Saint Mary's Hospital has a business relationship with Anthem Blue Cross/Blue Shield. BC/BS is a provider and also Saint Mary's purchases coverage for its employees from BC/BS.

Your Funding Proposal

IV. Organization Capacity

23. How many full-time employees does your organization have?

1060

24. How many part-time employees does your organization have?

535

25. If you have a volunteer base, on average, how many volunteers do you utilize annually?

120

26. What is your organization's operating budget?

\$199,000,000

27. What is your project budget?

\$50,000

28. Relative to your grant project, please tell us what your organizational strengths and expertise are. We're not asking about your organization's mission strengths; we want to know about proven results that support the aspirations of this project. See Guidance and Example

100 Words or Less

Saint Mary's Hospital currently has an excellent 12 week, three day a week individualized Cardiac Rehabilitation Exercise program. This program is designed around the needs of patients following a cardiac event or cardiac bypass surgery requiring EKG monitoring during exercise. The cardiac rehabilitation staff are 2 RNs with a number of years of cardiac rehabilitation experience and a clinical cardiac director of the department with 29 years of experience and all are ACLS and/or BLS certified. At least 95% of these patients have a healthier lifestyle as result of this cardiac fitness program.

29. Within the last three years, what measurable results, most like those for which you seek our funding, have you achieved for persons through your organizational initiatives? See Guidance and Example

100 Words or Less

Annually approximately 70 patients recovering from a cardiac incident attend a SMH Cardiac Rehabilitation Exercise program, which meets for three times a week for six weeks with the potential for a six week extension. Participation in the SMH's cardiac wellness exercise program enables patients to reduce their cardiac risk factors and it is likely that 50% more patients will reach and maintain a desirable level of fitness and health, because of the current SMH program.

30. Please indicate what percentages of your organization revenue come from the following sources:

(a) Private Donations (non-grant related, charitable giving)

.75

(b) Grant Income (private or corporate foundations)

.15

(c) Government Grants

.35

(d) Government Contracts

0

(e) Fees for Services

98

(f) Other

.75

31. What types of community partnerships does your organization leverage to support your mission and operational goals? Can you provide one or two examples?

100 Words or Less

Saint Mary's Hospital has many community partnerships in the healthcare field. The ones most relevant to this grant are the partnerships with the Heart Center of Greater Waterbury and the American Heart Association. The Heart Center was formed as a joint venture between Waterbury and Saint Mary's Hospitals to provide community education and administer a city wide interventional cardiology program. SMH is funded through the AHA to provide first responder and community programs on stroke and cardiac health for the Greater Waterbury area.

NOTE: At the end of this application, you will be required to attach a current list of your organization's board of directors and a copy of your IRS letter of determination.

V. Your Grant Request

32. Please select a Signature objective for your grant. You must select only one objective, and your selection should reflect your project's primary focus. You will be invited elsewhere on this application to discuss additional secondary grant objectives.

Help adults reduce risks for cardiac mortality

33. Using the drop-down menu below, please select one primary Signature metric that corresponds with the objective you selected in question 32. You must select only one metric, and the single metric you select **must correspond** with the objective category you chose. You will be invited elsewhere on this application to discuss secondary metric goals.

Reducing Childhood Obesity: Select from the 1.1 choices.

Increasing Adult Physical Activity Levels: Select the 1.2 choice.

Smoking Cessation: Select from the 1.3 choices.

Decrease Pneumococcal Disease: Select the 1.4 choice.

Decrease Adult Influenza: Select the 1.5 choice.

Decrease Adult Cardiac Mortality: Select the 1.6 choice.

Reduce Low Birth-Weights: Select the 1.7 choice.

Improve Prenatal Care: Select the 1.8 choice.

Decrease Adult Diabetes: Select the 1.9 choice.

Improve Patient Safety: Select the 2.0 choice.

Results Signature Programs

1.6 - Individuals demonstrate at least one reduced cardio-vascular risk factor for at least the grant duration.

34. What's your grant project, and what do you want it to achieve? See Guidance and Example

100 Words or Less

Saint Mary's is proposing a comprehensive, outcomes driven Secondary Cardiac Wellness program addressing lifestyle issues such as obesity, nutrition, exercise and diabetes control. The program promotes cardiac healthy lifestyles in an urban Waterbury setting and will be delivered through a multiple relationship building cardiac wellness series for smaller groups of cardiac incidence survivors. Through a one-on-one relationship with a clinical staff the program will promote comprehensive applications of cardiac risk reduction in a diverse urban population. The data captured will be weight, lipids, blood sugar and blood pressure, and exercise patterns.

35. What is your grant project title?

Enhancing Secondary Cardiac Wellness

36. Within the parameters of your grant project, how many participants will you work with?

Please enter the exact number with no decimals - thank you.

100

37. How many of the participants you work with will successfully achieve the metric you've selected in Question 33? See Guidance and Example

80

38. What grant amount are you seeking?

\$50,000

39 (a). What is your grant term in months?

Please provide only the time frame for the grant's duration, not the program's duration (which might be longer than the duration of the requested grant).

12

(b). What is your project start date?

09/01/2010

40. Primary geographical area(s) served

If your project area is national and not specific to any one state, please select only "National" below. Otherwise, please select one to three states served by your project.

States-Connecticut

41. Please tell us what geography your program will serve within the state or region you've indicated.

Please, in 100 words or less, be as specific as possible: e.g., refine your above answer by city, county, quadrant, neighborhood, etc.

The program will be conducted in the Greater Waterbury area with a focus on the City of Waterbury. Since the target

population is urban Latino and Black patients, the program will focus on the inner city. These zip codes are 06702, 03, 04, 05 and 06.

42. What approach are you using to achieve your selected metric? If this approach is backed by proven evidence of success, please describe that evidence. See Guidance and Example

150 Words or Less

Behavioral Change theories and models suggest that social support can be effective in changing behavior. With our target population of patients, who have suffered a cardiac incident or attack, changing behavior will allow the patients to live well with cardiac disease and to reduce the risk of cardiac mortality. Reducing risk factors such as obesity, diabetes, poor diet and lack of exercise and moving toward a healthy lifestyle can be effected through a comprehensive personal interaction between the patient and clinical professional staff.

43. Referencing the metric you selected in Question 33, please provide a "desired result" statement (e.g., tell us how your grant activity will 'move the needle' to measurably impact the metric you've selected). Please do not provide anticiapted program usage statistics as a projected outcome: The Foundation funds projects that produce and demonstrate measurable results - e.g., sustained changes in behaviors or lifestyles - that improve the health of individuals. An individual's limited or complete use of a program may not guarantee sustained benefit. See Guidance and Example

50 Words or Less

At least 100 cardiac patients will be recruited to the program through community outreach, publicity and the current SMH cardiac rehabilitation programs. 80 individuals enrolled in the Secondary Cardiac Wellness program will make lifestyle changes assisting them to live well with heart disease and reducing their cardiac mortality.

44. What assumptions do you make about the needed intensity and duration of your program to ensure results for participants? See Guidance and Example

150 Words or Less.

The intensity and duration of the Secondary Wellness program will depend on where the patient is initially on the continuum of behavior change. A patient exhibiting only one risk factor may need as few as three, three hour sessions. A patient with a number of risk factors and comorbidities may need many more. The number and magnitude of each patient's needs will be assessed when a patient enters the program. Individual's weight, blood pressure, lipid levels and blood sugar will be recorded and electronically documented at the beginning of the program and through out the program.

VI. Tell Us More About Your Participants

45. What problem are you addressing and approximately how many individuals, households, or organizations are impacted within your geographic area? See Guidance and Example

150 Words or Less

According to the AHA one in three American adults have one or more types of cardiovascular disease. Connecticut's cardiac risk factors are slightly below the US average, however; heart disease is both the number one reason for hospital admissions and the major killer in CT with 8,815 deaths from heart disease in 2002. Since the target population of this project is mainly urban and likely obese and diabetic reducing patients' cardiac risk factors and assisting them to live well with heart disease is essential for this program. Waterbury has a population of 101,000 with 27.5% Latino and 16% black, both groups with a particularly high incidence of obesity and diabetes. These risk factors alone place the program's target population at higher risk for cardiac mortality than other citizens of CT.

46. Who are your participants? What are the characteristics of the people you will actually include in your program?

150 Words or Less

The Enhancing Secondary Cardiac Wellness program addresses the cardiac health of an urban ethnically diverse population of patients recovering from a recent cardiac event. Many of these individuals have risk factors such as high blood pressure and/or lipid levels, obesity, smoking, diabetes and a sedentary lifestyle putting them at risk for an additional incident. This target population is mainly from the urban Waterbury environment, a very appropriate population for cardiac wellness, since they have limited information on cardiac risk factors and/or the resources needed to make a lifestyle change than the average CT citizen.

47. What percentage of individuals living within your targeted geography are your grant participants?

Please do not use a percent sign.

48. What number or participants do you anticipate will have many barriers to success?

25

49. Please explain what these success barriers will be and how you will overcome them to achieve your chosen project metric.

150 Words or Less

The program's success will lie in assisting patients to effect the needed lifestyle changes to control diabetes, to lower blood pressure, lipid levels and weight, major contributors to cardiac mortality. According to theories and models of human behavior, behavioral change happens along a continuum and not all people are in the same stage of readiness particularly those living with such factors as an unsatisfactory economic and social environment, depression and/or comorbidities, which can be inhibiting factors for this population. We are utilizing personal comprehensive education and clinical coaching to assist with the needed changes to overcome the barriers. The population of Waterbury was 101,000 in 2005 was recorded with 27.5% Latino and 16% black and these patients will be the focus of this program.

50. Is your project unique? If similar projects or programs exist, what differentiates your efforts from that of competitors? See Guidance and Example

100 Words or Less

The typical cardiac rehabilitation program in our area provides a monitored exercise program, whereas the Enhancing Secondary Cardiac Wellness program is unique in addressing many additional concerns, conditions and contributing risk

factors to the patient's cardiac disease. For example an RD and diabetes educator will be available for personal coaching and group sessions, there will be monitoring of blood sugar and weight, lipids and blood pressure and appropriate exercise and monitoring of their cardiac condition. The target population will be urban minority patients.

VII. Your Results

51. What will you do to ensure participants maintain at least some of the gains they achieved in your program? See Guidance and Example

150 Words or Less

The personal education sessions and interventions given by health professionals will be designed to instill a healthy lifestyle in each patient. The intensity of the program will provide the opportunity, rationale and incentive for behavioral change. Cardiac wellness and education can be reinforced during the program with rewards, recognition and a graduation ceremony. At the end of active involvement in the program a continued healthy lifestyle can be reinforced through mailings of healthy tips, a reminders system and reunions.

52. How do your intended results compare with what would have happened without you? That is, how many people would get to the metric you selected if you did not exist? See Guidance and Example

150 Words or Less

The Secondary Cardiac Wellness program will reach a target population that is difficult to motivate through healthy cardiac lifestyle messages delivered by the mass print and/or electronic media. A comprehensive, personally adopted, and structured intervention delivered by a clinical professional will reach 75-100 patients compared to a mass message that may reach as few as 10% of the patients in this populations. Some of the factors that will add to the success of the program and be addressed in structuring the program are: language barriers, the need to reach some members of the Latino population in their own language; low literacy rates will be considered and the positive influence and motivation of a clinical professional as an instructor and coach.

53. What broader gains and benefits should we include in our assessment of "return on investment" if you are successful? See Guidance and Example

100 Words or Less

The cost of hospitalization and care following a second heart incident for the cardiac patient is \$30,000 to \$50,000 and there is no price that can be assigned to the resulting less than healthy and satisfactory lifestyle that may result. Premature cardiac mortality cannot be measured in dollars and cents, but the social costs to the family, society and the workplace economy are enormous.

VIII. Verification and Tracking

54. How will you know when your participants have achieved the success metric you selected in

Question 33? What will be your methodology for measuring this achievement? See Guidance and Example

150 Words or Less

Since the benchmarks for this program are data driven, clinical information such as lipids, blood pressure, blood sugar, weight and exercise will be tracked and measured against scientifically established levels for these factors. When a vital sign measurement reaches normal levels this would be considered a total success.

55. Halfway through your project, how will you know if participants are on course to hit your performance target with the time and money remaining for your project? See Guidance and Example

100 Words or Less

We view our project from both the perspective of the number of patients recruited and the progress in reducing the cardiac risk factors of each patients. Our clinical key indicators of success are: the reduction in lipid levels, blood sugar, blood pressure, weight and increased exercise capacity and/or length for each individual in the program. If at the halfway point the numbers of patients recruited are not sufficient or the risk factors of patients are not decreasing, the areas of concerns need to be reevaluated and a doubling of effort will be made to produce successful outcomes.

56. What information will you use to make course corrections in your program? See Guidance and Example

150 Words or Less

Individual patient's levels and weight will be considered. Observation of the professional clinical staff, verbal and written survey results from patients and the numbers of individuals continuing to attend sessions. Electronic monitoring will be provided through an electronic data base that is currently used for the cardiac rehabilitation exercise program. The Enhancing Secondary Cardiac Wellness program statistics will be assigned its own separate section of the program for monitoring and recording the data from the program.

IX. Key People and Groups

57. Who is the person who will lead the project to its metric result? What factors in that leader most predict success? See Guidance and Example

150 Words or Less

Paul Kelly, MD, a cardiologist and active staff at Saint Mary's Hospital, will be leading the project. Dr. Kelly received his medical degree from the University of Virginia School of Medicine. He completed his internal medicine residency at the University of Rochester, Strong Memorial Hospital. Dr. Kelly has lead Saint Mary's Hospital to be the first hospital in CT to receive a Gold Award under the American Heart Association's Get With The Guidelines program for its treatment of patients with coronary artery disease. Under Dr. Kelly's leadership Saint Mary's Hospital was the first hospital in the state to receive a Silver Award for its treatment of patients with heart failure. He is committed to cardiac quality at Saint Mary's Hospital and he is committed to cardiac quality of the Greater Waterbury area.

publicizing the grant, including the Foundation's support. *Do not discuss your intentions to develop a plan or distribution process.* We require specifics of what your media materials will be as well as the size, duration, timeline, and method of media distribution.

150 Words or Less

The strategy of publicizing the grant will be to publicize both internally and externally. Internally we will publicize the grant in our Staff newsletter "The Compass," which goes out to 1,600 staff members at Saint Mary's Hospital. Externally, we will publicize the grant in our new community publication "Thrive". We will also publicize the grant in press releases to the local news media and on our Saint Mary's Hospital radio show on WATR, "Speaking of Health" during the twelve month of the grant. In all, information regarding the Enhancing Secondary Cardiac Wellness program should reach most Waterbury households including many in the Latino community.

XII. Demographics

63. What is the primary age range of your participants?

All Adults-Adults (Age 31-64)

64. Only answer this question if your project is designed to offer services to a primary ethnic group. Use the drop-down to make your primary selection.

Hispanic/Latino

65. Is your project designed to support diversity programming for any of the following groups?

Please select up to three groups for which your program was specifically created.

Hispanic/Latino

Black/African-American

66. What is the primary gender of participants your project will target?

All Genders

XIII. Your Finances

67. Please identify other funders and their contributions to this program or purpose (secured and/or pending).

100 Words or Less

We have no other funders for this program.

68. If WellPoint Foundation's grant would constitute a majority of this project's financial support, how would your organization make the project financially sustainable - independent of WellPoint - after the grant period ends?

150 Words or Less

This will be a pilot project and with successful results a program structured with a moderate fee will be considered for the program. Currently the cardiac rehab exercise program's charge is \$50 a month and we would hope to be able to charge the same fee for the Enhancing Secondary Cardiac Wellness program.

Anthem
Cardiac
Rehab **AI**
X

Authorization To Create A Temporarily Restricted Special Purpose Fund

(Please attach all supporting documentation)

Date Fund Created: 4/10/10
Source of Fund: Anthem Foundation Initial Amount: 50,000
Name of Fund: Cardiac Wellness Program
Will there be subsequent additions to this Fund? No

Purpose of Fund:
To conduct a year long cardiac wellness program for cardiac patients

Person(s) Authorized to Approve Distributions:
Michael Novak


Senior Management Approval CEO/CFO Date: 4/10/10

Accounting Department Only:
General Ledger Number: _____ Date entered: _____ Initials: _____

**Anthem Blue Cross and Blue Shield Foundation, LLC
Program Grant**

LETTER OF AGREEMENT (LOA)

July 8, 2010

Grantee:

Saint Mary's Hospital, Inc.
56 Franklin Street
Waterbury, CT 06706
Ms. Patricia A Evans, Grant Manager

Grant Period:

From: September 1, 2010 To: August 31, 2011

The Anthem Blue Cross and Blue Shield Foundation, LLC ("Foundation") has awarded the Saint Mary's Hospital, Inc. ("Grantee") a grant in the amount of \$50,000.00 ("Grant Funds") payable in one payment in August, 2010 for the Enhancing Secondary Cardiac Wellness ("Grant") pilot program. The Grant Funds shall be used as described in Saint Mary's Hospital, Inc's proposal received on March 31, 2010 for the purpose of Enhancing Secondary Cardiac Wellness pilot program. Specifically, this Grant will fund salaries, use of exercise and session facilities, marketing and other program supplies. Grantee will comply with the purposes, terms and conditions of the Grant set out in this agreement ("Grant Agreement"), including:

Purposes, Terms and Conditions:

1. **Purpose of Grant:** Grantee will use the Grant Funds only for the purposes described in Grantee's proposal received on March 31, 2010 to fund the Enhancing Secondary Cardiac Wellness pilot program. Grantee will use the Grant Funds only for the charitable purposes described in Section 501(c)(3) of the Internal Revenue Code. Grantee is solely responsible for content and programming.
2. **Change in Purpose:** In the event that Grantee is unable to use the Grant Funds for the approved purposes outlined in Paragraph 1, Grantee must notify the Foundation immediately. A change in purpose may result in a change of the terms of this Grant up to and including an immediate return of any unspent funds to the Foundation.
3. **Misuse or Underuse of Grant Funds:** If the Foundation determines that the Grantee used the Grant Funds for any unauthorized purpose, failed to use the Grant Funds in accordance with the terms and conditions of this agreement, or did not use the Grant Funds entirely, then the Foundation may unilaterally change the terms of this Grant. The Foundation may take actions it deems appropriate to

ensure proper use of the Grant Funds. The Foundation has sole discretion to take such remedial action, which may include, but is not limited to, the total revocation of this Grant and require Grantee to refund all Grant Funds. Grantee agrees to refund such Grant Funds within thirty (30) days of written notice from the Foundation.

4. **Grantee's Tax Status:** Throughout the duration of the Grant Period, Grantee must be exempt from the Federal Income Tax under Section 501(c)(3) of the Internal Revenue Code and a public charity described in Section 509(a)(1), (a)(2) or (a)(3) of the Code. Grantee must not be a Section 509(a)(3) Type III organization.
5. **Change in Tax Status:** Grantee must notify the Foundation immediately if:
 - (a) the IRS revokes Grantee's tax-exempt status under Section 501(c)(3) effective as of any date within four years of the date of this letter, or
 - (b) the IRS classifies the Grantee's organization as a private foundation or as a Type III supporting organization.

Should Grantee's tax status change, the Foundation may unilaterally change the terms of this Grant, which may include the total revocation of the Grant and require Grantee to refund immediately all used and unused Grant Funds.

6. **Prohibition on Political Activities:** No part of the Grant Funds shall be used for lobbying or political activities.
7. **Prohibition on Overlapping Grants:** Anthem Blue Cross and Blue Shield Foundation, LLC does not award overlapping grants. Therefore, Saint Mary's Hospital, Inc. will not be eligible for additional grant consideration during the period of this Grant.
8. **Grant Objective:** The objective of the Grant is to:

Help adults reduce risks for cardiac mortality
9. **Grant Metric:** The objectives of the Grant as described above will be measured as follows:
 - Of the 100 individuals enrolled in the Secondary Cardiac Wellness program 80 will make lifestyle changes assisting them to live well with heart disease and reducing their cardiac mortality.
 - Program participants will receive one-on-one personal assistance throughout the program. Clinical staff will collect vital signs for lipids, blood pressure,

blood sugar, weight and exercise. When a vital sign measurement reaches normal levels this would be considered a total success.

10. **Release of Information and Publications:** The Foundation may release information concerning the Grant in such ways it deems appropriate. Grantee shall not use the Foundation's name in any external communication or any publication (e.g., press release, annual report, newsletter, invitation, etc.) without obtaining prior written approval from the Foundation.
11. **Grantee's Publicity Obligation:** Grantee agrees to publicize the Foundation Grant in the following ways:
 - Grantee will publicize the program as: "Enhancing Secondary Cardiac Wellness Program presented by Anthem Blue Cross and Blue Shield Foundation."
 - Grantee will publicize the Anthem Blue Cross and Blue Shield Foundation grant in its Staff newsletter "The Compass." Distribution: 1,600 staff members.
 - Grantee will publicize the Anthem Blue Cross and Blue Shield Foundation grant in its new community publication "Thrive."
 - Grantee will issue a press releases to the local news media and on the Saint Mary's Hospital radio show on WATR, "Speaking of Health" during the twelve month of the grant. In all, information regarding the Enhancing Secondary Cardiac Wellness program should reach most Waterbury households including many in the Latino community.
12. **Final Grant Evaluation Report:** By September 30, 2011, Grantee will provide the Foundation a Final Grant Evaluation Report on the progress of Enhancing Secondary Cardiac Wellness program. The Foundation will provide the format of the Final Grant Evaluation Report. The report must include the results against the grant metric detailed in Section 9, the identity of other grantors, financial accounting for the receipt and disbursement of funds and expenditures incurred under this Grant Agreement, and the number of persons benefiting from the Grant, and media/public relations exposure of the Foundation's support as outlined in Section 11. The Foundation may request and Grantee will provide additional information after submitting the Final Grant Evaluation Report.
13. **Unsatisfactory or Late Evaluation Report:** If Grantee submits a Final Grant Evaluation Report that the Foundation deems unsatisfactory, the Foundation reserves the right to revoke all or any portion of the Grant Funds and Grantee agrees to refund such Grant Funds within thirty (30) days of written notice from the Foundation. If the Foundation does not receive the Grant Evaluation Report

within sixty (60) days of the above referenced due date, the Foundation may require Grantee to return all Grant Funds.

14. **Foundation Changes:** The terms, conditions and purposes of this Grant Agreement remain in effect should Anthem Blue Cross and Blue Shield Foundation, LLC undergo a name change or reorganization.

By signing this agreement, Saint Mary's Hospital, Inc. agrees to the purposes, terms and conditions of this Grant. Return the signed copy of the Grant Agreement to the Foundation via Susan Nagy at: susan.nagy@wellpoint.com.

Susan K Nagy

7/14/10

Susan Nagy, Program Manager

Date

Signature () I Agree

By checking "I Agree," I hereby provide my electronic signature on this letter of agreement, which shall be deemed equivalent to an original ink signature.

ACKNOWLEDGED AND AGREED:

Name of Organization Saint Mary's Hospital, Inc.

Representative's Name Chad W. Wable, FACHE

Signature () I Agree

By checking "I Agree," you hereby provide the Foundation with your electronic signature on this letter of agreement, which shall be deemed equivalent to an original ink signature.

Title President and Chief Executive Officer

Date July 14, 2010

**Please email this electronically signed copy to:
Susan Nagy, Anthem Blue Cross and Blue Shield Foundation, LLC
susan.nagy@wellpoint.com**

BUDGET
ENHANCING SECONDARY CARDIAC WELLNESS

PERSONNEL

Team Leader/APRN

Program Coordination	50 hrs per session X 4 (6 wk sessions) X \$45 hr	\$9,000
Personal Sessions w/Patients	25 patients X 3 sessions ea X 4 (6 wk sessions) X \$45 hr	4,500

Exercise Technician

Exercise Coaching Sessions	25 patients X 4 sessions ea X 4 (6 wk sessions) X \$20 hr	8,000
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Registered Dietitians

25 patients X 3 sessions ea X 4 (6 wk sessions) X \$45 hr	13,500
---	--------

CONTRACT SERVICES

Use of the SMH Exercise Facility

25 patient X 4 (6 wk sessions) X \$50	5,000
---------------------------------------	-------

Lab Testing for participants

25 patients X 2 lab draws X 4 (6 wk sessions) X \$20 ea	4,000
---	-------

Heart Center of Waterbury

4 Groups Session for Diabetes patients X \$500	2,000
--	-------

MARKETING & MAILINGS

Letters to Physicians office & internal & external marketing	<u>4,000</u>
--	--------------

TOTAL

\$50,000

* This budget reflects that the 100 participants will be broken into groups of 25 each scheduled during the course of the year of the grant. Each of participants in the groups of 25 will be receiving the same one-on-one personal services. All personal sessions will be one hour in duration.

Appeal Summary Report Cardiac Gala 2005

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit2005	Auction Item Donations 2005	155	\$0.00	\$0.00	\$0.00	\$55,395.87	\$55,395.87
auitsa2005	Auction Item Sales 2005	92	\$0.00	\$3,320.00	\$40,380.00	\$0.00	\$43,700.00
basket2005	Basket Item Ticket Sales 05	1	\$0.00	\$0.00	\$15,045.00	\$0.00	\$15,045.00
basketsp2005	Basket Sponsor Gala 2005	16	\$0.00	\$2,350.00	\$2,800.00	\$0.00	\$5,150.00
cardiacwaitingrm05	Cardiac Waiting Room Gala 2	113	\$0.00	\$7,845.00	\$3,575.00	\$0.00	\$11,420.00
cashauc2005	Cash Auc. Donation 2005	43	\$0.00	\$0.00	\$9,720.00	\$0.00	\$9,720.00
prbk2005	Prog. Bk Ads Gala 2005	46	\$0.00	\$18,400.00	\$16,200.00	\$0.00	\$34,600.00
prbksp2005	Prog. Bk. 2005 Sponsor	12	\$0.00	\$25,000.00	\$25,000.00	\$0.00	\$50,000.00
wnd2005	Gala '2005 Ticket Sales	249	\$0.00	\$17,460.00	\$111,445.00	\$0.00	\$128,905.00
and Totals:			\$0.00	\$74,375.00	\$224,165.00	\$55,395.87	\$353,935.87

727 Gift(s) listed

562 Donor(s) listed

Letter Content: Board Member Appeal Letter with Challenge April 2009 v2

Mr. William Morris
H.D.Segur, Inc.
156 Knotter Drive
Cheshire, CT. 06410

Dear Bill,

As we celebrate “100 Years of Caring”, the challenge of providing non discriminatory care to our patients takes on a new reality. Given the results of the merger discussion and the insolvency of the State of Connecticut, we know that we can not hope for outside financial help and face the necessity of funding our capital needs by ourselves.

Our need for capital expenditures is critical. In the past, we have asked each Health System Board member for an annual gift of \$2,500 and many of you have made that commitment. Thank you for those gifts. I recognize however, that in today’s economy, that may not be possible for everyone. What I do ask is for each board member to give something and to make that gift as generous as possible.

This year, the proceeds of your gift will go directly toward the purchase of a heart lung machine for our Cardiac Care Program. Receiving permanent status from OHCA was only the first step. Now it is our turn to ensure we have the right equipment in sufficient supply to meet the growing demand for heart care at Saint Mary’s. A second machine will help us offer immediate access to critically ill patients. The heart lung machine is used for both coronary artery bypass surgery and valve replacements for which we have a growing demand. During surgery, the machine acts for the heart by pumping blood through the body while the surgeon makes repairs to the patient’s heart.

Medical equipment is costly and this machine is no exception with a price tag of \$150,000. We need everyone’s support to make this purchase a reality. Even in a difficult economy we must maintain our commitment to our patients. As a member of the board, you know how important charitable donations are to Saint Mary’s ability to thrive. I ask you to be as generous as you can and make your gift today. The enclosed card indicates a number of ways to make your gift.

Bill, the only way to reach our goal is with 100% participation by the board members. As an incentive I have offered to double my annual gift if every board member makes their annual gift by the Health System Board meeting on May 14th. I urge you to join me in support of Saint Mary’s. Our future begins with us.

Sincerely yours,

Robert Mazaika, Chairman
Saint Mary’s Health System Board 2009 Annual appeal

PS There are several ways to make your gift almost painless. A monthly pledge payment to a credit card can be handled by the Foundation staff and earn you credit card rewards while supporting your hospital. A reply form is enclosed for your convenience.

Gala 2005 Program Book letter from President

of the President
BERT P. RITZ, CHE.

February 5, 2005

Dear Friends of Saint Mary's Health System,

On behalf of the Saint Mary's Health System Family and, specifically, Saint Mary's Hospital Foundation, welcome to the 14th Annual Gala, the area's signature social event. This year's theme, "Young at Heart," is appropriately titled, given the anticipated opening of the Heart Center of Greater Waterbury this summer. Approval for the Heart Center of Greater Waterbury was a historical event in the Greater Waterbury Area for Saint Mary's Hospital, Waterbury Hospital, and the University of Connecticut Health Center. Numerous individuals including political officials, Board Members, Medical Staff, Staff, and our extended community worked countless hours to secure the well-deserved approval to offer coronary angioplasty and cardiac surgery right here in Waterbury. This was a true testimony to outstanding community-based teamwork.

We would like to take this opportunity to applaud the extraordinary efforts of our Saint Mary's Family and friends who worked tirelessly during the year to plan for this premier event. A special thank you goes to this year's co-chairs, Dr. & Mrs. J. Robert Anthony, Dr. & Mrs. Henry Borkowski, and Dr. & Mrs. Joel Sherman. Together with the support of all of the Saint Mary's cardiologists, the co-chairs provided special energy to tonight's Gala. We would also like to extend our sincere appreciation to the Gala Committee who provided tremendous support over the past six months to plan all of the details for this special event. Our sincere gratitude is extended to the Foundation Board of Directors and the Staff including Peg Lawlor, President of the Foundation, Harriet Sisbarro, and Sarah Paolino, for their continued commitment to making the Gala bigger and better every year.

Proceeds from "Young at Heart" will be used to support the continued expansion of cardiac care at Saint Mary's Hospital. These funds will assist us in improving the Cardiac Family Waiting Areas at the Hospital. Your generosity will help Saint Mary's maintain its distinguished commitment to provide state-of-the-art advanced cardiac care in a family-centered atmosphere. More importantly, by participating in tonight's Gala, you are individually helping to improve the quality of living in the Greater Waterbury Area.

Thank you for attending the 14th Annual Gala and supporting our Mission to provide excellent healthcare services in a spiritually-enriched environment while assisting us to advance our Vision to become the provider of choice in the regional service area. As we continue on our *Journey to Lasting Success* with a unwavering focus on our *Commitment to Excellence*, we take great pride and honor in knowing that our extended Saint Mary's Health System Family is with us every step of the way.

On behalf of Saint Mary's, please accept our "heartfelt" appreciation for your support and our best wishes for continued success and great health.

Sincerely,



Robert P. Ritz, C.H.E.
President & Chief Executive Officer

Gala 2005

Cardiac
Care

ry's

903

56 Franklin Street
Waterbury, Connecticut 06706
(203) 709-6303
Fax: (203) 709-3066
E-mail: rritz@stmh.org

An Affiliated Hospital of Yale University School of Medicine

Gala 2005
Cardiac

4 A2



904

*Saint Mary's Hospital Foundation
14th Annual Gala*

*Aqua Turf Club
Plainville, CT*

*Saturday
February 5, 2005*

5:30 p.m. to Midnight

For more information

(203) 709-6390

www.stmh.org/gala

Black Tie Optional

Gala 2005 Committee

GALA CO-CHAIRS

DR. AND MRS. J. ROBERT & ADA MARIE ANTHONY
 DR. AND MRS. HENRY & ANTOINETTE BORROWSKI
 DR. AND MRS. JOEL A & JUDY SHERMAN

GALA HONORARY CHAIRS:

GEORGE V. ANTONOPOULOS, M.D.
 PAUL M. BELLOFIORE, M.D.
 DENNIS I. DOBRIN, M.D.
 JAMES F. FLUNT, M.D.
 PAUL F. KELLY, M.D.
 KEVIN G. KETT, M.D.

COMMITTEE MEMBERS

LINDA BAROS
 LOUISE BOULANGER
 LINDA BROWN
 BEVERLY BUCARI
 SANDRA CARBONARI, M.D.
 JACI CARROLL
 DENNIS CLEARY
 MARY ANN COLEMAN
 JOSEPH CONNOLLY
 MINDY COX
 TERRY DUDBICK
 MOIRA DUPONT
 BONNIE FORCUCCI
 PAULA GIANNELLI
 LAURA P
 DOLTE
 JOANNE H
 D. MATTHEW KELLOGG

MICHAEL C. MALINCS, M.D.
 JOSEPH P. MORLEY, M.D.
 DAVID REIS, M.D.
 MARK S. ROSENBERG, M.D.
 MARK L. RUGGIERO, M.D.
 STEPHEN C. WIDMAN, M.D.

PAUL LARGAV
 LAURA MACALUSO
 WENDY WESCOOT MCCORD
 SUSAN MCGAUGHAN
 KAREN MEDINA
 VIRGINIA O'ROURKE
 MICHAEL REGAN
 MARY RITZ
 LINDSAY SENKER
 KATHY SMITH
 DENNIS STROZZI
 PATRICIA TANNER
 MARIA TAYLOR
 JAN WEBER
 JAN YOUNG
 RUTH ZAGROBA
 SHARON ZILAHY

Saint Mary's Hospital Board Members

OFFICERS:
 THE MOST REVEREND
 HENRY J. MANSELL, D.D., S.T.D.
Chairman
 REVEREND MONSIGNOR
 JAMES COLEMAN
Vice Chairman
 ROBERT P. RITZ
President

STEPHEN R. GRIFFIN, Esq.
Secretary
 ROBERT MAZAIKA
Treasurer
 MEMBERS:
 S. MARK ALBANI, M.D.
 J. ROBERT ANTHONY, M.D.
 REVEREND THOMAS J.
 BARRY, J.C.L.

Saint Mary's Health System Board Members

OFFICERS:
 THE MOST REVEREND
 HENRY J. MANSELL, D.D., S.T.D.
Chairman
 REVEREND MONSIGNOR
 JAMES COLEMAN
Vice Chairman
 ROBERT P. RITZ
President

STEPHEN R. GRIFFIN, Esq.
Secretary
 ROBERT MAZAIKA
Treasurer
 MEMBERS:
 BURTON ALBERT
 HENRY BOROWSKI, M.D.
 ROBERT P. RITZ
 P. BURKE

Saint Mary's Hospital Foundation Board Members

OFFICERS:
 THE MOST REVEREND
 HENRY J. MANSELL, D.D., S.T.D.
Chairman
 COMMISSIONER JOHN P. BURKE
Vice Chairman
 ROBERT P. RITZ
President
 MARGARET J. LAYTOR
Secretary
 DAVID W. COX
Treasurer

ERIC ALBERT
 FREDERICK W. BASTIUS, JR.
 JACI CARROLL
 MICHAEL CULHANE
 THERESA DUDRICK
 LAWRENCE ENGELMAN, Esq.
 LEO FRANK
 COMMISSIONER JACK GOLDBERG
 SISTER MARGARET ROSTA
 KENNY, C.S.J.

Saint Mary's Hospital Medical Executive Committee

S. MARK ALBANI, M.D.
 GREGORY BULLER, M.D.
 JOHN CONANT, M.D.
 STANLEY DUBROCK, M.D.
 SALVATORE FERREANTE, M.D.

WILLIAM FREDERICK, M.D.
 M. ALEX GEERTSMA, M.D.
 MUNIR HAMZI, M.D.
 STEPHEN HOLLAND, M.D.
 PETER JACOBY, M.D.

CORPORATOR:
 SISTER MARGHERITE
 WAITE, C.S.J.

DONALD ROSENTHAL, M.D.
 JAMES C. SMITH
 CHRISTINE SULLIVAN, Esq.
 THE HONORABLE
 LINDA WHEBER
 C. ROBERT ZEINGER, Esq.

EMERITUS:
 LOUISE BOULANGER
 KENNETH M. CARTER
 MARTIN M. MORRESEY
 DANIEL J. NOONAN

JOEL A. SHERMAN, M.D.
 JAMES UBERTI, M.D.

Young at heart!



Fourteenth Annual Saint Mary's Hospital Foundation Gala

Menu

Hot Hors d'oeuvres

Mixed Meschun Salad

Platters of Bruschetta

Main Course

*Tournedos of Beef Tenderloin
in Perigordine Sauce*

Sea Scallops in Herb Butter Crumbs

Garlic Mashed Potatoes

Peas with Onions and Mushrooms

Dessert

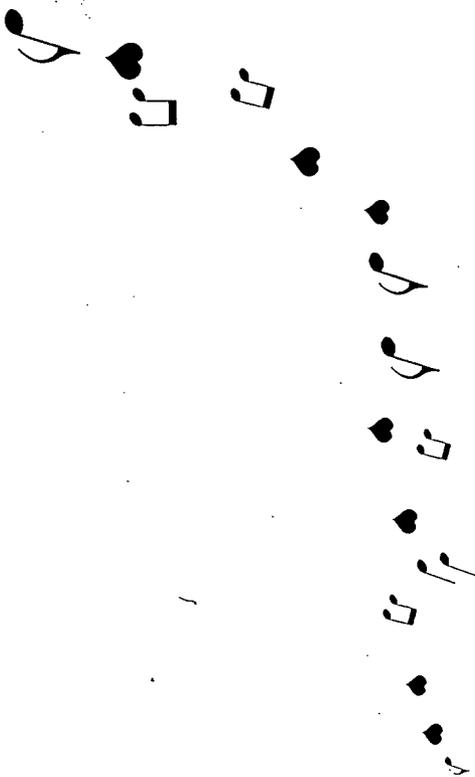
Petite Pastries

Chocolate Dipped Fruit

White Glove Service

*Dinner Dancing
Entertainment*

Auctions



An Introduction to the Heart Center of Greater Waterbury

The Heart Center of Greater Waterbury is an advanced cardiac care program offering a full continuum of care, which begins with education and awareness, continues through diagnosis and treatment, and finally, the rehabilitation needed to complete the healing process.

The program builds upon a strong foundation of existing cardiac heart services, including catheterization labs and complementary services provided by our emergency departments and intensive care units. By adding the ability to perform advanced cardiac procedures, including angioplasty and open-heart surgery, patients will now receive a full spectrum of cardiac services without having to leave Waterbury.

While much of our focus has been on planning and implementing direct patient services, Saint Mary's understands that *the families* of cardiac patients need care and support while their loved ones are being treated. That's why we're creating a dedicated **Cardiac Family Center** adjacent to the Heart Center unit at Saint Mary's.

By contributing \$75 or more to this special appeal at this evening's gala, you can help fund development of a **Cardiac Family Center**. In addition to comfortable seating, the center will include a nutrition station, public internet and e-mail station, entertainment center and cardiac information resource center. A plaque with the names of tonight's donors will be placed in the Center.

Your generosity will help to ensure that we provide much needed care and support to the families of our cardiac patients at a time when they need it most. A pledge form is included with your program materials for your convenience. Additional forms are available at your table. At the end of the live auction volunteers will collect the completed pledge forms. Thank you for your thoughtful consideration.

Fund Detail Report David, Helen & Marian Woodward Fund

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
David, Helen & Marian Woodward F PO Box 817 Watertown, CT 06795-0817 (860) 274-3218	6/17/2005	Cardiac Unit	\$0.00	\$0.00	\$10,000.00	
1 Gift(s) listed						
1 Donor(s) listed						
		Grand Totals:	\$0.00	\$0.00	\$10,000.00	

DAVID, HELEN AND MARIAN WOODWARD FUND
WATERTOWN COMMITTEE

Box 817
Watertown, Connecticut 06795

May 24, 2005

Patricia A. Evans
Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans,

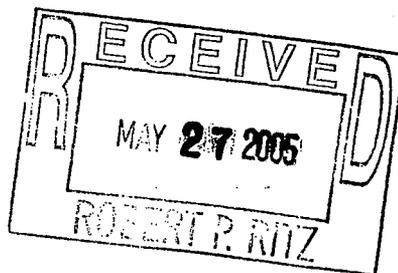
At a recent meeting of the Watertown Woodward Committee it was voted to grant a request of \$10,000 to help fund the Waterbury Area Heart Center. We hope that this grant will be a help to your organization.

A check will be coming from the Wachovia Trust Service in Atlanta. Please acknowledge receipt to the above address.

Most sincerely,


M. Heminway Merriman 2nd

MHM/ilm
Cc Susanna Adams
Wachovia Bank & Trust



49155760

REG 537
DISP 6572
OFF 6785
BR 202
CF-GREATER GA

Account MARIAN W OTTLEY-WATERTOWN FD WACHOVIA BANK, N.A.
Name: TRUSTEE UNDER WILL DTD 10/31/75

Account Number: 3013000359

Date: 05/27/05

00544 SAINT MARY'S HOSPITAL FOUNDATION, INC.

	Remittance	Amount
Income		Principal
\$*****10,000.00		\$*****0.00

For: CHARITABLE CONTRIBUTION

WACHOVIA

*Pls do not send thank
you Bob already did.
Pat*

PLEASE FOLD AND DETACH AT PERFORATION BEFORE PRESENTING FOR PAYMENT

Oct '89
Tribute:
Rocco Ditollio

Name	Gift Amount	Gift Date	Appeal Description	Fund Description
Bob's Stores, Inc.	\$300.00	10/30/1989	Remembrance 1990	Cardiology
Er L. Boucher	\$25.00	10/2/1989	Remembrance 1990	Cardiology
Wey Chipokas	\$25.00	11/14/1989	Remembrance 1990	Cardiology
Angelo Colitti	\$2.00	10/30/1989	Remembrance 1990	Cardiology
Valerie V. Grazioso	\$5.00	10/23/1989	Remembrance 1990	Cardiology
Anthony Malaspina	\$20.00	11/16/1990	Remembrance 1991	Cardiology
Fritz Meusel	\$25.00	5/24/1990	Remembrance 1990	Cardiology
Mary R. Pilch	\$25.00	11/14/1989	Remembrance 1990	Cardiology
David Seabury	\$25.00	10/29/1990	Remembrance 1991	Cardiology
Valerie Solimini	\$10.00	10/23/1989	Remembrance 1990	Cardiology
Lillian A. Stankus	\$20.00	11/16/1990	Remembrance 1991	Cardiology
Stop & Shop Supermarket Co.	\$92.50	11/3/1989	Remembrance 1990	Cardiology
Stop and Shop	\$50.00	10/23/1989	Remembrance 1990	Cardiology
Joan Vancour	\$25.00	11/14/1989	Remembrance 1990	Cardiology
Frances Yankauskas	\$970.00	11/16/1990	Remembrance 1991	Cardiology

~~\$16,195~~
\$ 1,569.50

Fund Summary Report 1/1/87 - 2/25/11
Herman S. Weisman Telemetry Memorial Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
weismantelemetry	Herman S. Weisman Telemet	42	\$0.00	\$1,500.00	\$3,401.00	\$0.00	\$4,901.00
42 Gift(s) listed							
40 Donor(s) listed							
	and Totals:		\$0.00	\$1,500.00	\$3,401.00	\$0.00	\$4,901.00

A43

Fund | Annual Report

Herman S. Weisman Telemetry Memorial Fund

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Harvey Altman	1/24/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$75.00	
Jack Arnel	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$10.00	
Boston Scientific CRM	2/16/2009	Herman S. Weisman Te	\$0.00	\$1,400.00	\$0.00	7 tickets
	2/16/2009	Herman S. Weisman Te	\$0.00	\$100.00	\$0.00	
John E. Cahill	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$30.00	
Joseph M. Cahill, Ltd.	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Irving J. Calechman	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
C. Patrick Castellani	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$50.00	
Code Blue Billing & Consulting, Inc.	1/24/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$50.00	
Roberto Constantiner	2/13/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$200.00	
Michael J. Dean	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$200.00	
Defined Health	1/24/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$250.00	
EKR Therapeutics, Inc.	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$500.00	
Eurand	2/13/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$75.00	
Karen Fischer	1/31/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Bernard I. Friedlander	2/13/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Marvin Friedlander	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Alan Goldberg	12/27/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Robert B. Grossman	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Steven Herzig	1/31/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$36.00	
Wilbert E. Johnson	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$36.00	
Irving Kelton	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Richard Larson	1/18/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Licensing Executives Society	1/18/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$250.00	
Frank Loh	1/18/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	

Herman S. Weisman Telemetry Memorial Fund

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
	1/31/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$70.00	
Douglas A. MacWright	2/24/2009	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Arnold Migliaccio	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
David A. Nusblatt	2/13/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Gerald Z. Pollak	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$20.00	
Linda S. Pruskin	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$45.00	
Louis P. Schepps	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$18.00	
Dorothy Schlesinger	3/12/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$18.00	
Dave Shutan	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Marc J. Siegel	1/24/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Bud Simon	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$18.00	
Marilyn Slowik	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Robert Taber	1/24/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Herman Teitler	1/18/2008	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Arthur Wald	12/31/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$25.00	
Elizabeth Ward	3/18/2009	Herman S. Weisman Te	\$0.00	\$0.00	\$100.00	
Gladys Weisman	12/21/2007	Herman S. Weisman Te	\$0.00	\$0.00	\$500.00	
		Grand Totals:	\$0.00	\$1,500.00	\$3,401.00	

42 Gift(s) listed

40 Donor(s) listed

X A43

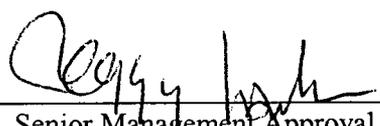

Saint Mary's
HOSPITAL
Foundation, Inc.

Authorization To Create A Temporarily Restricted Special Purpose Fund
(Please attach all supporting documentation)

Date Fund Created: 12/20/07
Source of Fund: Herman S. Weisman Memorial Initial Amount: \$500.00
Name of Fund: The Herman S. Weisman Telemetry Memorial Fund
Will there be subsequent additions to this Fund? yes

Purpose of Fund:
at the descretion of Dr. Kelly

Person(s) Authorized to Approve Distributions:
Dr. Paul Kelly


Senior Management Approval CEO/CFO

Date: _____

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____

December 16, 2007

To:
Marilyn Slowik
Development Office

From:
Gladys Weisman
165 Country Club Drive
Oxford, CT 06478

Dear Marilyn:

As per our telephone conversation, I am enclosing a check for \$500 to initiate the Herman S. Weisman Memorial Fund for the Telemetry Unit. As mentioned in our conversation, I wish grant Dr. Paul Kelly, MD full discretion as to how the fund is allocated.

Donations to the fund will be forthcoming in the near future and I wish to be kept informed of the contributors so that I may acknowledge them by a personal note. In addition, please keep me apprised of the total amount in the fund so that the intention of the fund may be realized.

Best regards,


Gladys Weisman

HERMAN S. OR GLADYS WEISMAN
11081 MALAYSIA CIRCLE PH 561-752-3450
BOYNTON BEACH FL 33437

62-9/311

4474

12/16/07
2
ST. MARY'S HOSPITAL TELEMEDICAL UNIT FUND \$ 500.⁰⁰
Five hundred and 00/100

 WILMINGTON
TRUST
Wilmington, Delaware

MEMORIAL
HERMAN S. WEISMAN FUND Gladys Weisman

⑆031100092⑆ 2328 9113⑆ 4474

©2000 AMERICAN

telemetry

June 14, 2007

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample,

There is no place like home. It is a special place where families care for and support each other, celebrate milestones and welcome friends and neighbors.

When a patient is under our care, Saint Mary's Hospital is their temporary home. In our home they are cared for by a dedicated and talented family of caregivers, and they welcome friends and family who come to support them during their stay.

If you or a loved one comes to our hospital as a patient or visitor we want you to be as comfortable as possible. That is why I am writing to ask for your help in strengthening one area of our home needing renovations—our Telemetry Unit.

As you may already know, our telemetry Unit is known for its excellent patient care. In the words of Alvin and Beverly Payne, two Waterbury-area residents who needed critical cardiac care within months of each other,

*"Everyone in that unit is there to help you out.
You just have to get better with them cheering you on."*

Indeed, our cardiac unit is a special temporary home for patients facing sometimes frightening and complex procedures and lengthy recoveries.

You have the opportunity today to join our cardiac staff in their cheering efforts.

At this time we are planning a \$1.3 million renovation of our Telemetry Unit, which provides specialized cardiac care for patients preparing for and recovering from lifesaving procedures.

Our goal is to create a warmer environment for our patients and their families by renovating patient rooms and family areas. Additionally, we want to update the nurses' station to improve delivery of care.

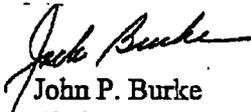
(Over, please)

To date, we have made great strides towards raising the funds necessary to begin this important renovation....but we need your help to reach our goal.

You have been a good friend to Saint Mary's and I thank you for your previous generosity. Will you join us in this special effort by renewing your support of Saint Mary's today through a gift of \$25, \$35, \$50 or \$100?

The power of your gift will be found in the grateful faces of our dedicated staff and every patient receiving care in our Telemetry Unit.

Sincerely,



John P. Burke

Chairman

Saint Mary's Hospital Foundation

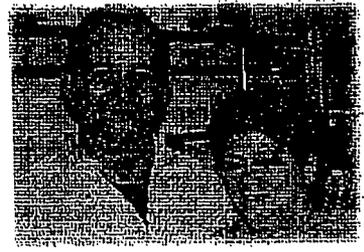
Board of Directors

P.S. Thank you for being such a good friend of Saint Mary's Hospital. Will you please join me in this special effort on behalf of our cardiac unit? Thank you for your generosity.

telemetry

September 12, 2006

dm10906



John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample,

Seldom can a wonderful story be told through numbers – but in this case it can: **one couple, two hearts, a triple bypass, and a quadruple bypass.**

Alvin and Beverly Payne, married 43 years, have always done everything *together*. Dr. J. Robert Anthony, Chairman of Cardiology here at Saint Mary's Hospital half-jokingly wonders if perhaps the Paynes have taken togetherness too far. Alvin has been a patient of Dr. Anthony's since he underwent quintuple bypass twenty years ago. His semi-annual visits to see Dr. Anthony were just routine follow-ups until the fall of 2005, when three new blockages were discovered.

As a result, Alvin was among the first patients to undergo an advanced cardiac procedure at Saint Mary's through a new program – the Heart Center of Greater Waterbury, operated in partnership with Waterbury Hospital and the University of Connecticut Health Center. After four days, Alvin went home to Beverly, thanks to the great care he received from the staff of Saint Mary's Cardiovascular Unit.

"Everyone in that unit is there to help you out. You just have to get better with them cheering you on," Alvin said.

But within a few months, Alvin had some cheering on to do himself. Early in 2006, Beverly began to experience some disturbing symptoms – an ache on the left side of her chest and back and dizziness. A cardiac catheterization revealed that Beverly had a blocked blood vessel. Following quadruple bypass surgery at Saint Mary's, Beverly also had nothing but praise for her care:

"I don't know how I could have gotten through it if it weren't for the people on the Cardiovascular Unit."

After five days, Beverly went home to Alvin. Three weeks later, she felt like her old self. Alvin and Beverly will never forget the care they received at Saint Mary's:

"You can't single out one or two or three staff members," according to Alvin.

(Over, Please)

On every level, Saint Mary's medical professionals took excellent care of this "cardiac couple". It was all in a day's work for our doctors and staff, but nevertheless, the numbers are extraordinary. Just ask the Paynes three children, eight grandchildren and four great-grandchildren, who were able to visit Alvin and Beverly at Saint Mary's during their recoveries. They will also tell you how one local hospital - Saint Mary's - can make a difference in the lives of the 15 family members who love their parents and grandparents and are grateful they've been restored to good health.

The Payne's story is yet another example of how important your generosity is to St. Mary's Hospital. While the Saint Mary's staff is ready to use every skill and resource available to them, they must rely on the community to support their efforts to save even more lives. They rely on you.

Please consider renewing your support today by making a gift of \$25, \$35, \$50 or perhaps even more. In return, you'll have the satisfaction of knowing that you, personally, are helping people like the Paynes - perhaps even friends, neighbors, or members of your own family - to have access to the best cardiac care and treatment available anywhere.

We're right here, when and if you need us. Thank you for your support!

Sincerely,



John P. Burke
Chairman
Saint Mary's Hospital Foundation
Board of Directors

P.S. Saint Mary's does make a difference in our community and you can, too, by renewing your support today. Thank you so much for whatever you can give.

Appeal Summary Report

Cardiology/Neurology Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl2006	Annual Appeal 2006	1	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
anlrpt09	Annual Report Mailing Novem	1	\$0.00	\$0.00	\$20.00	\$0.00	\$20.00
auit2005	Auction Item Donations 2005	155	\$0.00	\$0.00	\$0.00	\$55,395.87	\$55,395.87
auit2007	Auction Item Donations 2007	151	\$0.00	\$0.00	\$0.00	\$86,374.35	\$86,374.35
auitsa2005	Auction Item Sales 2005	92	\$0.00	\$3,320.00	\$40,380.00	\$0.00	\$43,700.00
auitsa2007	Auction Item Sales 2007	104	\$0.00	\$12,190.00	\$47,360.00	\$0.00	\$59,550.00
basket2005	Basket Item Ticket Sales 05	1	\$0.00	\$0.00	\$15,045.00	\$0.00	\$15,045.00
basket2007	Basket Item Ticket Sales 07	5	\$0.00	\$0.00	\$15,610.00	\$0.00	\$15,610.00
basketsp2005	Basket Sponsor Gala 2005	16	\$0.00	\$2,350.00	\$2,800.00	\$0.00	\$5,150.00
basketsp2007	Basket Sponsor Gala 2007	18	\$0.00	\$1,000.00	\$4,825.00	\$0.00	\$5,825.00
bb50/50proceeds07	Basketball 50/50 Proceeds '07	1	\$0.00	\$0.00	\$180.00	\$0.00	\$180.00
bbfood07	Basketball Food Sales '07	2	\$0.00	\$0.00	\$700.25	\$0.00	\$700.25
bbfooddraft07	Basketball Draft Food Sales '	12	\$0.00	\$0.00	\$186.20	\$0.00	\$186.20
bbaffleproceeds07	Basketball Raffle Proceeds '07	1	\$0.00	\$0.00	\$265.00	\$0.00	\$265.00
bbteamraiser07	Basketball Team Fundraiser '	40	\$0.00	\$0.00	\$8,760.95	\$0.00	\$8,760.95
bbticketsales07	Basketball Ticket Sales '07	1	\$0.00	\$0.00	\$890.00	\$0.00	\$890.00
cardiacwaitingrm05	Cardiac Waiting Room Gala 2	113	\$0.00	\$7,845.00	\$3,575.00	\$0.00	\$11,420.00
cashauc2005	Cash Auc. Donation 2005	43	\$0.00	\$0.00	\$9,720.00	\$0.00	\$9,720.00
cashauc2007	Cash Auc. Donation 2007	40	\$0.00	\$0.00	\$8,235.00	\$0.00	\$8,235.00
cashauc2009	Cash Auc. Donation 2009	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
cor2006	Corporations 2006	2	\$0.00	\$0.00	\$1,550.00	\$0.00	\$1,550.00
cor2009	Corporations 2009	1	\$0.00	\$0.00	\$350.00	\$0.00	\$350.00
cor2010	Corporations 2010	1	\$0.00	\$0.00	\$1,167.50	\$0.00	\$1,167.50
cor2011	Corporations 2011	1	\$0.00	\$0.00	\$385.00	\$0.00	\$385.00
dml0207	Direct Mail Piece Feb., 2007	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
dml0209	Direct Mail Piece February, 2	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml0607	Direct Mail Piece June, 2007	120	\$0.00	\$0.00	\$3,188.00	\$0.00	\$3,188.00
dml0609	Direct Mail Piece June, 2009	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
dml11010	Direct Mail Piece October, 20	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml1106	Direct Mail Piece Nov., 2006	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
dml1109	Direct Mail Piece November, 2	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
Est02	Estates 2002	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
fundam1101	Fundamentals November 200	1	\$0.00	\$0.00	\$20,000.00	\$0.00	\$20,000.00

Appeal Summary Report Cardiology/Pericemetry Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	4	\$0.00	\$0.00	\$61,480.83	\$0.00	\$61,480.83
hs2007	Hospital Staff Annual Appeal:	209	\$0.00	\$1,524.00	\$300.00	\$0.00	\$1,824.00
hs2008	Hospital Staff Annual Appeal:	25	\$0.00	\$311.00	\$0.00	\$0.00	\$311.00
ind2004	Individuals 2004	3	\$0.00	\$0.00	\$10,045.00	\$0.00	\$10,045.00
ind2005	Unsolicited 2005	1	\$0.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00
ind2006	Unsolicited 2006	2	\$0.00	\$0.00	\$15,000.00	\$0.00	\$15,000.00
ind2007	Unsolicited 2007	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
ind2010	Unsolicited 2010	1	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
ind2011	Unsolicited 2011	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ind93	Individuals 1993	2	\$0.00	\$5.00	\$50.00	\$0.00	\$55.00
ind99	Individuals 1999	1	\$0.00	\$0.00	\$190.00	\$0.00	\$190.00
junnl	June Newsletter	12	\$0.00	\$314.50	\$0.00	\$0.00	\$314.50
maj2000	Major Gifts 2000	4	\$0.00	\$0.00	\$51,919.78	\$3.37	\$51,923.15
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
mem2000	Remembrance 2000	10	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
mem2004	Remembrance 2004	6	\$0.00	\$0.00	\$180.00	\$0.00	\$180.00
mem2005	Remembrance 2005	52	\$0.00	\$0.00	\$2,410.00	\$0.00	\$2,410.00
mem2006	Remembrance 2006	10	\$0.00	\$0.00	\$725.00	\$0.00	\$725.00
mem2007	Remembrance 2007	23	\$0.00	\$0.00	\$3,212.50	\$0.00	\$3,212.50
mem2008	Remembrance 2008	38	\$0.00	\$0.00	\$3,201.00	\$0.00	\$3,201.00
mem2009	Remembrance 2009	39	\$0.00	\$0.00	\$2,537.50	\$0.00	\$2,537.50
mem2011	Remembrance 2011	12	\$0.00	\$0.00	\$540.00	\$0.00	\$540.00
mem90	Remembrance 1990	11	\$0.00	\$0.00	\$584.50	\$0.00	\$584.50
mem91	Remembrance 1991	16	\$0.00	\$0.00	\$1,847.00	\$0.00	\$1,847.00
mem93	Remembrance 1993	1	\$0.00	\$0.00	\$15.00	\$0.00	\$15.00
mem97	Remembrance 1997	110	\$0.00	\$0.00	\$3,988.50	\$0.00	\$3,988.50
mem98	Remembrance 1998	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem99	Remembrance 1999	98	\$0.00	\$0.00	\$6,695.00	\$0.00	\$6,695.00
partners1002	Partners Mailing October 200	1	\$0.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00
ph92	Phonathon 1992	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
ph97	Phonathon 1997	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
prbk2005	Prog. Bk Ads Gala 2005	46	\$0.00	\$18,400.00	\$16,200.00	\$0.00	\$34,600.00
prbk2007	Prog. Bk Ads Gala 2007	55	\$0.00	\$21,450.00	\$33,150.00	\$0.00	\$54,600.00

Appeal Summary Report Cardiology/Catheterization Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
prbksp2005	Prog. Bk. 2005 Sponsor	12	\$0.00	\$25,000.00	\$25,000.00	\$0.00	\$50,000.00
prbksp2007	Prog. Bk. 2007 Sponsor	11	\$0.00	\$21,500.00	\$50,000.00	\$0.00	\$71,500.00
raffgeneric07	Raffle Prize Generic 2007	2	\$0.00	\$0.00	\$0.00	\$180.00	\$180.00
rem2005	Past Remembrances 2005	1	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
rem2009	Past Remembrances 2009	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2011	Past Remembrances 2011	4	\$0.00	\$0.00	\$1,225.00	\$0.00	\$1,225.00
rem97	Past Remembrances	3	\$0.00	\$0.00	\$95.00	\$0.00	\$95.00
treelighting08	Tree Lighting 12/08	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
treelighting10	Tree Lighting 12/10	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
wnd2005	Gala '2005 Ticket Sales	249	\$0.00	\$17,460.00	\$111,445.00	\$0.00	\$128,905.00
wnd2007	Gala '2007 Ticket Sales	193	\$0.00	\$24,780.00	\$105,180.00	\$0.00	\$129,960.00
wnd2009	Gala '2009 Ticket Sales	1	\$0.00	\$1,400.00	\$0.00	\$0.00	\$1,400.00
and Totals:							
			\$0.00	\$159,999.50	\$720,039.51	\$141,953.59	\$1,021,992.60

2,209 Gift(s) listed
1,416 Donor(s) listed

Telemetry (Hoops for Healthcare)

SAINT MARY'S FOUNDATION

HOOPS *for healthcare*



APRIL 5TH

Registration Deadline

APRIL 12TH

Festivities: 11-1:30 pm

Draft: noon

Teams will be picked

(Located in cafeteria)

Come enjoy the fun at our very first men and women's staff basketball game and help support Saint Mary's Hospital!

Hurry! You have until **April 5th** to register! Sign up to be a basketball player, a captain, or a member of the pep squad.

Friday, May 11th 2007

Sacred Heart High School

5:30 Doors Open

6:00 Game Begins

\$5 per person, \$15 per family

Register on the infonet or contact Lezlye Zupkus at Ext. 3761 or email lezlye.zupkus@stmh.org.



Saint Mary's
HOSPITAL
Foundation

March 28, 2007

Dear Doctor:

We are very excited to announce the first Saint Mary's Hospital Foundation's Hoops for Healthcare. Employees thought it would be fun to have a basketball game where all levels of our hospital family can come together and have an enjoyable time with their families and friends.

The game will be held on May 11, 2007 at Sacred Heart High School in Waterbury. Enclosed you will find an informational flyer, a registration form and a waiver. Please return the registration form and waiver to Saint Mary's Hospital Foundation by mail or fax 203-709-3272. The deadline for registration is April 5th.

We hope you will join the hospital family and if you have any questions please call the Foundation office at 203-709-6390.

Thank you so much.

Lezlye Zupkus
Saint Mary's Hospital Foundation



St. Mary's Hospital

Employee Payroll Deduction Authorization Form

For HOOPS FOR HEALTHCARE (Ticket Sale)

Purpose of deduction

HED 845

Employee Name (Please Print) _____

Employee Number _____

Total Amount to be Paid _____

I hereby authorize St. Mary's Hospital to make a one time payroll deduction from my pay in the amount indicated above.

Employee Signature _____ Date _____

St. Mary's Hospital

Employee Payroll Deduction Authorization Form

For HOOPS FOR HEALTHCARE (Cafeteria Deduction)
Purpose of deduction

Employee Name (Please Print) _____

Employee Number _____

Total Amount to be Paid _____

I hereby authorize St. Mary's Hospital to make a one time payroll deduction from my pay in the amount indicated above.

Employee Signature _____ Date _____

⊕
Saint Mary's
HOSPITAL
Foundation

February 3, 2007

Dear Friends:

We are delighted to welcome you to "The Snowflake Ball," our 16th annual Gala for the Saint Mary's Hospital Foundation. Look around the room this evening and you will find many generous individuals, companies and corporations represented here as members of an extensive network of support for Saint Mary's Hospital. It is a network that continues to thrive in its efforts to raise funds to support the hospital's needs.

Tonight, our proceeds are earmarked for the *Saint Mary's Hospital Fund for Telemetry*. With these funds, you are supporting and enhancing the advanced cardiac care program that is responding to the needs of patients by allowing them to receive the highest quality care close to home.

This year, our volunteers and committee members have worked especially hard to tempt you with new and unique auction items and treasures for our signature basket raffle. We hope you will find the items awaiting your bid in our live and silent auctions, as well as our gorgeous baskets, exceed your expectations. Through the generous support of our sponsors and advertisers, we are able to offer you a delightful evening of musical entertainment. Finally, we thank our table hosts for their gracious hospitality and support in making this evening a tremendous success.

With deepest gratitude we extend special thanks to...

Our doctors, nurses, administrators and staff, past and present, without whose vision and unwavering commitment to compassionate, quality healthcare the hospital would not exist.

Our energetic committee members who worked so hard to make this evening's "Snowflake Ball" such a wondrous affair for all of us.

The great American inventor, Henry Ford, once said, "Coming together is a beginning. Keeping together is progress. Working together is success." For nearly 100 years, the supporters of Saint Mary's Hospital have worked together to achieve success in providing for the needs of our community. We hope that you will continue to support that vision and commitment and wish you a wonderful time this evening.

With best wishes,



Paul F. Kelly, M.D.



Karen Kelly

Joseph A. Mengacci, Esq.

Kathy Mengacci

**Special Thanks to our
DONORS**

**Basketball
Commissioner**
Bob Bolek

Committee

Jaimelyn Burnham
Jennifer Clement
Nancy Coviello
Robin Cracco
Britt Dupre
Stefanie Falco
Joanne Keeler
Kim Sherwill
Ralph Thomas
Renee Warner
Barbara White
Mary Zasada

Foundation Staff
Peggy Lawlor
Lezlye Zupkus
Cathy Ragone
Harriet Sisbarro
Marilyn Slowik

DONORS

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Griffin Hospital
Mallview Cinemas
Merck
NEOPS
NVRA
OptionCare
Powerstation
Sacred Heart High School
Sanofi-Aventis
US Surgical
Wyeth

Volunteers

Beth Bartholomew
Caitlin Casey
Jon Cerriello
Jackie Chasse
Andrey Cracco
Brianna Cracco
Moria Dupont
Mary Essex
Shannon Fomo
Griselle Arroyo
Tracy Munson
Jessica Perry
Freddie Pinero
Janice Renta
Patricia Rinaldi
Ginny Sodano
Jeanne St. Pierre
Debby Zanesky



**Sacred Heart High School
Friday, May 11, 2006**

**Saint Mary's
HOSPITAL
Foundation**

Net Proceeds go to the Telemetry Unit

Program

DOORS OPEN 5:30PM
OPENING CEREMONIES 6:00PM
Welcome, Basketball Commissioner Bob Bolek
Remarks, Bob Ritz, President and CEO
Invocation, Monsignor James G. Coleman, Vice
Chairman, Saint Mary's Hospital Board of Directors
National Anthem, Ralph Thomas

GAME 1 6:15PM
GAME 2 6:45PM
GAME 3 7:15PM
GAME 4 7:45PM
CHAMPIONSHIP GAME 8:15PM
With Half-Time Show and Free Throw Contest

RAFFLE AND CONTEST

50/50 RAFFLE

Tickets are \$5 for an arm's length

RAFFLE/FREE THROW CONTEST

2 tickets for \$5 or 5 tickets for \$10

Prizes include:

- 1 pair of Autographed Ryan Gomes Sneakers
- 1 Autographed Ryan Gomes Game Jersey
- 1 New York Knicks Players Plaque

Free Throw Contest

Be one of 5 contestants to win a chance for
A four-pack of tickets to a Connecticut Sun basketball game of your
choice at Mohegan Sun Arena

Benchwarmers

Bob Ritz – Captain
Chris Emerton
Cindy Roig
Katey Stokes
Adeli Rodriguez
Jim Tucker
Joe Margiotta
Steve Burrows
Justin Gode
Chonn Ng

The Shockers

Ted Barbeau – Captain
Dr. Steve Holland
Broderick Williams
Robert Mucciacciaro
Kim O'Regan
Clark Kearney
Brian Gaudio
Joe Veneziano
Mike Novak

Blazin' Blades

Dr. Michael Ajemian –
Captain
Dr. Shady Macaron
Dr. Stephen Maloney
Dr. Guy Nicastri
Joe Rocco
Sue Casey
Dr. Beth Ann Sieling
Richard Ahearn
David Reeser
Jamie Strachan
Dr. Gene Bunnell

Bricklayers

Ray Crespo – Captain
TJ Senker
Dr. Des Teso
Ed Yezak
Chris Hayes
David Parks
Dan Sullivan
Dr. Lata Jayanthi
Maureen Burns
Brienne Reilly
Pablo Rodriguez

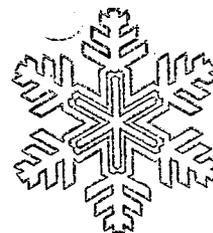
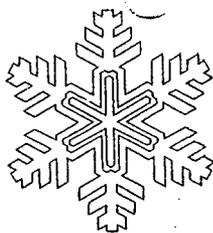
The Saints

Laurie St. John – Captain
Vesel Haxhillary
Dave Borges
Paul Onakpuma
Alfred Herbert
Judy Giammarco
Dr. Kent Burgwardt
Kim Triana
Mark Volpe
Patricia Theriault
Bob Caulfield
Tara Caulfield

Saint Mary's Slammers

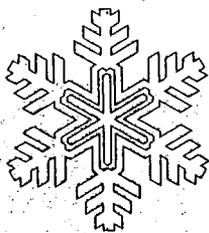
Joe Connolly – Captain
Melody Jusino
Eric Soto
Dr. Mark Albini
Martin Gelinias
Miriam Hernandez
Mark Casey
Dr. Peter Giacomazzi
Lorraine Shea
Tom Danna

Telemetry A7
K3



THE SN WFLAKE BALL

SAINT MARY'S HOSPITAL FOUNDATION
16TH ANNUAL GALA



YOU ARE CORDIALLY INVITED TO CELEBRATE
THE 16TH ANNUAL GALA
OF THE
SAINT MARY'S HOSPITAL FOUNDATION
AT

THE SNOWFLAKE BALL

SATURDAY, FEBRUARY 3, 2007
COCKTAILS AT FIVE-THIRTY

AQUA TURF CLUB
PLANTSVILLE, CONNECTICUT

FEATURING THE HAROLD ZINNO ORCHESTRA AND THE COLBY BAND

FOR MORE INFORMATION - 203-709-6390

BLACK TIE PREFERRED

WWW.STMH.ORG/GALA

CONTRIBUTIONS WILL SUPPORT RENOVATIONS TO CARDIOLOGY'S TELEMETRY UNIT.

THE SNOWFLAKE BALL COMMITTEE

CO-CHAIRMEN

Karen Kelly and Paul Kelly, M.D.
Kathy Mengacci and Joseph Mengacci, Esq.

COMMITTEE MEMBERS

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Kathy Berman	Bethany Forcucci
Linda Brown	Bonnie Forcucci
Beverly Bucari	John Jenusaitis
Sandra Carbonari, M.D.	Joanne Keeler
Jaci Carroll	Paul Largay
Dennis Cleary	Kim Sherwill
Joseph Connolly	Kathy Smith
Mary Culhane	Maria Taylor
Teri Dudrick	Shawn Tittle, M.D.
Moira Dupont	Janet Weber

SAINT MARY'S HOSPITAL FOUNDATION BOARD MEMBERS

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Edmund J. White, Vice Chairman
Margaret J. Lawlor, President
Eric D. Albert, Secretary
Leo J. Frank, Treasurer



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Commissioner Jack R. Goldberg, Esq.
Peter J. Jacoby, M.D.
Paul J. Largay
Joseph A. Mengacci, Esq.

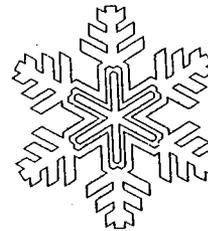
Police Chief Neil M. O'Leary
Robert P. Ritz
David Alan Roer, M.D.
Laurie St. John
George J. Strobel, Jr.
Keith F. Sullivan, C.P.A.
Maria Taylor
Mark C. Yanarella
Stephen C. Widman, M.D.
Sharon Zilahy



CORPORATORS:

Louise G. Boulanger
Kenneth M. Carter
Lawrence H. Engelman, Esq.
Sister Margaret Rosita Kenny C.S.J.

Sally Kernan
The Most Rev. Henry J. Mansell
Daniel Noonan
Eric J. Olson, M.D.



Fund Summary Report 1/1/87 - 2/25/11

J. R. Anthony, MD '06; J. R. Anthony, MD Golf '06

AS

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ira2006	J. Robert Anthony, M.D. '06	728	\$0.00	\$82,100.00	\$90,918.00	\$0.00	\$173,018.00
iragolf06	J. Robert Anthony, MD Golf'	166	\$0.00	\$73,890.01	\$123,160.00	\$18,618.49	\$215,668.50
	and Totals:		\$0.00	\$155,990.01	\$214,078.00	\$18,618.49	\$388,686.50

894 Gift(s) listed

785 Donor(s) listed

2008
1/24/07

J. Robert Anthony, M.D. 2006 Fund

Appeal ID	Appeal Description
dml0906	Direct Mail Piece Sept., 2006
empraffle0906	Employee Raffle September 2006
golf2006inv	Golf '06 Invite for Dr. Bob Anthony
golfdonations06	Golf Donations 2006

May 15, 2006

+ J.R. Anthony Fund
Golf Tourney 2006
AS4

Dear:

For more than 95 years, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's tournament is scheduled for *Tuesday, September 12, 2006 at Watertown Golf Club*. We hope that you will consider being a sponsor again this year.

This year, the Saint Mary's Hospital Champion Golf Classic honors Dr. J. Robert "Bob" Anthony, who has served as Chief of Cardiology at Saint Mary's since 1972.

Hailed as the "Dean of Cardiology" in Waterbury, Dr. Anthony has had a long and distinguished career as a dedicated practitioner, innovator, teacher and community leader. He has earned the respect of his peers and the gratitude of the thousands of patients whose lives he has impacted through his care and professionalism.

Under his leadership, Saint Mary's Hospital was honored with three blue ribbon awards from the New England Hospital Assembly for the introduction of the first mobile coronary care unit, a Comprehensive Cardiac Rehabilitation Program and the Connecticut State Police Medical Helicopter Unit. Most recently, Dr. Anthony played an important role in approval of the Heart Center of Greater Waterbury, ensuring that residents of Greater Waterbury have access to advanced cardiac care close to home.

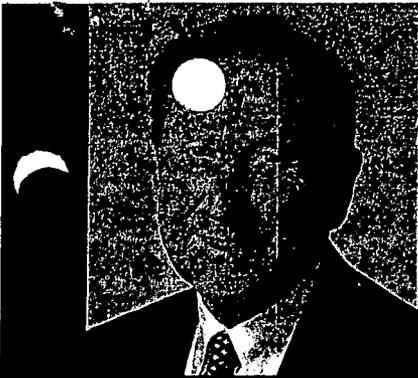
In the past, you have supported the hospital as a _____ sponsor. We are hoping you will remain a _____ sponsor again this year. As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join Bob and your fellow golfers for the 11th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Dr. Anthony, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

One of our committee members will contact you in the next few weeks to confirm your commitment. In the meantime, please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,

Mark C. Yanarella, President/CEO
Naugatuck Savings Bank

Stephen C. Widman M.D.
Cardiology Associates



Join us with a contribution to the
J. Robert Anthony, MD Fund
to benefit the Cardiology Department
at Saint Mary's Hospital

Any gift, large or small, is greatly appreciated.

Gift amount \$ _____ Check enclosed

Credit Card MC Visa AMEX Discover

Card #: _____ Signature: _____

Expiration Date: _____ Print Name: _____

Address _____

City _____ State _____ Zip _____

Matching Gift Company Name (include your matching gift form) _____

I would like my gift given in HONOR OF _____

I would like my gift given in MEMORY OF _____

I would like my gift to be anonymous

Make checks payable to: **Saint Mary's Hospital Foundation, 56 Franklin St., Waterbury, CT 06706**

I would like to be a member of the

- Founder's Society \$25,000
- President's Circle \$10,000 - \$24,999
- Joseph Society \$5,000 - \$9,999
- Trustees' Society \$2,500 - \$4,999
- St. Luke's Society \$1,500 - \$2,499
- Slocum Associates \$1,000 - \$1,499
- O'Brien Associates \$100 - \$999


Saint Mary's
HOSPITAL
Foundation

Your contributions to the *J. Robert Anthony, MD Fund* will benefit the Cardiology Department at Saint Mary's Hospital. Thank you for your generous support. Call us at 203.709.6390 for more information.

I would like more information about:

- Ways to increase income & save taxes, while making a gift to Saint Mary's Hospital.
- Making a gift to Saint Mary's Hospital in my will.
- Making Saint Mary's Hospital part of my estate planning.
- Please call me to discuss ways I can contribute to Saint Mary's Hospital.

Telephone: _____

Please write to us at our address if you wish to have your name removed from the list to receive fundraising requests supporting Saint Mary's Hospital in the future.



Saint Mary's Hospital
11th Annual
CHAMPION GOLF CLASSIC

2006 Saint Mary's Hospital Champion
J. Robert Anthony, MD, FACC
Chief of Cardiology

Tuesday, September 12, 2006

11TH ANNUAL
CHAMPION GOLF CLASSIC

Monday, September 11, 2006

DINNER OF CHAMPIONS



Webster

We Find a Way

Proud Tournament Sponsor



2006 Saint Mary's Hospital Champion
J. Robert Anthony, MD, FACC
Chief of Cardiology, Saint Mary's Hospital

This year, Saint Mary's Hospital honors Dr. Joseph Robert "Bob" Anthony as our 2006 champion. Hailed as the "Dean of Cardiology" in Waterbury, Dr. Anthony has been Chief of Cardiology at Saint Mary's since 1972.

He is a graduate of Crosby High School and the University of Connecticut where he was elected to Phi Beta Kappa. He received his medical degree from Tuft's University School of Medicine, interned at Boston City Hospital, and completed three years of training in Internal Medicine including a year at Waterbury Hospital.

Dr. Anthony served two years in the United States Public Health Service and two additional years of training in Cardiology in Los Angeles and Boston. For more than three years, he was Assistant Professor of Medicine and Preventive Medicine at Ohio State University where he directed the Sudden Cardiac Death Study and the Heart Disease Prevention Program.

In 1969, Dr. Anthony monitored the Apollo 10 mission to the moon at the space center in Houston, Texas.

Soon after arriving at Saint Mary's Hospital, Dr. Anthony developed the Heartmobile, the first mobile coronary care unit in New England, bringing nurses and all the facilities of the Coronary Care Unit to the patient in the community. In 1973, he introduced the first mobile intensive care unit and in 1976, the Medic 1 Unit, which responds to all medical emergencies in the City of Waterbury.

In 1976, Dr. Anthony became Medical Director of the Connecticut State Police. He later equipped helicopters loaned by the National Guard with telemetry equipment, nurses and medication to care and transport patients from outlying areas of Connecticut.

Under his leadership, Saint Mary's Hospital received three blue ribbon awards at the New England Hospital Assembly for the Heartmobile, the Cardiac Rehabilitation Program, and the Connecticut State Police Medical Helicopter Unit.

Board certified in Internal Medicine and Cardiology, Dr. Anthony is a Fellow of the American College of Physicians and a Fellow of the American College of Cardiology. He is an Associate Clinical Professor of Medicine at Yale University and an Assistant Clinical Professor of Medicine at the University of Connecticut. He has been on the Board of Directors of several organizations in the area, including Saint Mary's Hospital.

Most recently, he was one of the leaders instrumental in securing approvals for the Heart Center of Greater Waterbury, ensuring that greater Waterbury residents have access to advanced cardiac care close to home.

Dr. Anthony and his wife Ada, have five children, ten grandchildren and reside in Cheshire.

Monday, September 11, 2006

DINNER OF CHAMPIONS

Honoring the 2006 Saint Mary's Hospital Champion
J. Robert Anthony, MD, FACC
And the sponsors of the Saint Mary's Hospital
2006 Champion Golf Classic

6 p.m. Cocktails

7 p.m. Dinner

Crowne Plaza (formerly Hilton Southbury)

1284 Strongtown Road, Southbury

This year the traditional golf dinner will be held the evening of Monday, Sept. 11, before the golf tournament on Tuesday, September 12. Please join us in honoring our 2006 Saint Mary's Hospital Champion, J. Robert Anthony, MD, FACC and in honoring our generous sponsors of the 2006 Champion Golf Classic.

Directions to Crowne Plaza (formerly Hilton Southbury), Southbury:

I-84 East or West to Exit 16. Go right at the end of the exit ramp onto Strongtown Road. Hotel is 0.25 miles on the right.

Hotel Phone: 203-598-7600

Saint Mary's Hospital 11th Annual Champion Golf Classic Committee

Co-Chairmen

Stephen C. Widman, M.D.
Mark C. Yanarella

Committee Members

John H. Barlow, Jr.
Robert J. Bolek
Kristen Bulkovitch
Mark A. Casey
William L. Cofrances
Theresa M. Dudrick
Bernard L. Evans
Leonard F. Genovese
John A. Jenusaitis
Michael LeClerc
Raymond G. Massicotte
Kathy Smith
Roland F. Young, III, Esq.
Sharon Zilahy

Directions to Watertown Golf Club

From all points East and West: Take Interstate 84 to Route 8 North (Exit 20 of I-84 West). Continue on Route 8 North to Exit 35 CT-73 to Oakville/Watertown. Continue straight for about 4.5 miles, passing Kaynor Technical School and Municipal Stadium on your right. Turn left onto Town Hall Road and go straight about 400 feet. Go left onto Route 6 for approximately 1/2 mile. Turn right onto Guernseytown Road. Watertown Golf Club is about 1/2 mile up on the right side.

Leaving the Club: go to the end of Guernseytown Road, take a left onto US-6 for about 1/2 mile, turn right onto Town Hall Road, continue straight for about 4.5 miles, continue onto the ramp to Route 8 South.

SPONSORSHIP OPPORTUNITIES

TOURNAMENT SPONSOR \$15,000

Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials; Corporate banner; Lead name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

CHAIRMAN SPONSORSHIP \$12,000

Complimentary foursome and Cocktail Hour Sponsorship Recognition; Name/logo featured in all promotional materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

PLATINUM SPONSORSHIP \$8,000

Complimentary foursome and Lunch Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLD SPONSORSHIP \$6,000

Complimentary foursome and Breakfast Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

SILVER SPONSORSHIP \$4,500

Complimentary foursome and Tee Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRONZE SPONSORSHIP

\$3,500

Complimentary foursome; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRASS SPONSORSHIP

\$2,500

Complimentary foursome; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

SHACK SPONSORSHIP RECOGNITION **\$2,200**

HOSPITALITY CART SPONSORSHIP RECOGNITION **\$2,000**

DRIVING RANGE SPONSORSHIP RECOGNITION **\$1,750**

PUTTING GREEN SPONSORSHIP RECOGNITION **\$1,750**

GOLF TEE SPONSORSHIP RECOGNITION **\$1,500**

GREENS SPONSORSHIP RECOGNITION **\$1,350**

Important Notice: Watertown Golf Club is "spikeless." Please replace your own spikes or come early and have it done for you at NO CHARGE.

The Tournament is usually sold-out early, and paid foursomes are accepted on a first come—first served basis.

Tuesday, September 12, 2006

SAINT MARY'S HOSPITAL
11TH ANNUAL
CHAMPION GOLF CLASSIC

Watertown Golf Club
246 Guernseytown Road, Watertown, Connecticut

SCHEDULE	Morning Golf	Afternoon Golf
Registration	6:45 a.m.	11 a.m.
Driving Range	6:45 a.m.	11 a.m.
Continental Breakfast	6:45 a.m.	
Lunch	11:30 a.m.	11:30 a.m.
Shotgun Start	7:30 a.m.	1 p.m.
Cocktails	5:30 – 7:30 p.m.	

Awards Presentation, Raffle Drawing, Locker Room Privileges

Morning Flight – Scramble Format

Afternoon Flight – Scramble Format

Tournament Prizes Awarded for both Morning and Afternoon Flights

Low Gross Team Score

Low Net Team Score

Closest to the Pin

Longest Drive – Men & Women

Great prizes for the first hole-in-one on each par 3 hole.

Raffle prizes will be drawn for both morning and afternoon flights.

REGISTRATION FORM

Yes, I would like to be a _____ sponsor, and I have enclosed payment of \$_____ in check ___ credit card information below ____.

I am interested in a sponsorship opportunity. Please contact me with more information.

I am not interested in a sponsorship and am enclosing payment for ___ golfers.

I am not interested in a sponsorship or attending the event, but please accept my donation of \$_____ to the J. Robert Anthony, MD Fund at Saint Mary's Hospital Foundation.

Golfers Names <i>(Please Print or Type)</i>	USGA Handicap	Attending Monday Dinner
1. _____	_____	<input type="checkbox"/> Yes / <input type="checkbox"/> No
2. _____	_____	<input type="checkbox"/> Yes / <input type="checkbox"/> No
3. _____	_____	<input type="checkbox"/> Yes / <input type="checkbox"/> No
4. _____	_____	<input type="checkbox"/> Yes / <input type="checkbox"/> No

The following will attend the Dinner on Monday evening but will not be golfing:

1. _____ 2. _____
3. _____ 4. _____

Contact Name _____ Telephone _____

Company Name _____

Street _____ City _____ State _____ Zip _____

Credit Card Type _____ Card Number _____

Expiration Date _____

Note: Sponsors have first choice of flights to play golf. Once all sponsors have reserved their flights, remaining slots in both flights will be available to all players based upon the date the paid registration was received. The deadline for sponsors to reserve a flight is August 11, 2006.

All golf fees include one ticket to the Dinner of Champions at the Crowne Plaza *(formerly Hilton Southbury)* in Southbury, Monday, Sept. 11.

Amount	Total Amount
Morning Golf _____ x \$290	_____
Afternoon Golf _____ x \$290	_____
Sponsorship Level _____	_____
Additional Dinner Tickets Available for \$100	_____
Total Enclosed:	_____

All registrations are final. No cancellation refunds.

Please return this form in the return envelope by 8/11/2006 to

Marilyn Slowik, Saint Mary's Hospital Foundation, 56 Franklin Street, Waterbury, CT 06706

Phone #: (203) 709-6390 Fax #: (203) 709-3272 Email: marilyn.slowik@stmh.org



Monday, September 11

DINNER OF CHAMPIONS

Honoring the 2006 Saint Mary's Hospital Champion J. Robert Anthony, MD, FACC
and the sponsors of the Saint Mary's Hospital 2006 Champion Golf Classic

6 PM COCKTAILS - 7 PM DINNER

CROWNE PLAZA (formerly Hilton Southbury)

1284 STRONGTOWN ROAD, SOUTHURY

\$100

(One ticket for this dinner is included with golf registration)

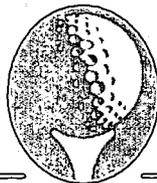
Tuesday, September 12

CHAMPIONS GOLF CLASSIC

Watertown Golf Club

SPOTS STILL AVAILABLE FOR
7:30AM MORNING FLIGHT!

*Please call
(203) 709-6390
to register or for
more information.*



*Please call
(203) 709-6390
to register or for
more information.*

Saint Mary's Hospital
with Annual
CHAMPION GOLF CLASSIC



Join us with a contribution to the
J. Robert Anthony, MD Fund
 to benefit the Cardiology Department
 at Saint Mary's Hospital

Any gift, large or small, is a significant contribution.

- Gift amount \$1000 \$500 \$250 \$100 Other
 Credit Card MC Visa AMEX Discover Check enclosed
 Card #: _____ Signature: _____

Expiration Date: _____ Print Name: _____
 Address _____
 City _____ State _____ Zip _____

Matching Gift: Company Name (include your matching gift form) _____
 I would like my gift given in HONOR OF _____
 I would like my gift given in MEMORY OF _____
 I would like my gift to be anonymous
 Make checks payable to: **Saint Mary's Hospital Foundation, 56 Franklin St., Waterbury, CT 06706**

Your contributions to the *J. Robert Anthony, MD Fund* will benefit the Cardiology Department at Saint Mary's Hospital. Thank you for your generous support. Call us at 203.709.6390 for more information.

I would like more information about:

- Ways to increase income & save taxes, while making a gift to Saint Mary's Hospital.
- Making a gift to Saint Mary's Hospital in my will.
- Making Saint Mary's Hospital part of my estate planning.
- Please call me to discuss ways I can contribute to Saint Mary's Hospital.

Telephone: _____

Please write to us at our address if you wish to have your name removed from the list to receive fundraising requests supporting Saint Mary's Hospital in the future.

I would like to be a member of the

<input type="checkbox"/> Founder's Society	\$50,000 +
<input type="checkbox"/> President's Circle	\$25,000 - \$49,999
<input type="checkbox"/> Slocum's Associates	\$10,000 - \$24,999
<input type="checkbox"/> St. Joseph's Society	\$ 5,000 - \$ 9,999
<input type="checkbox"/> St. Luke's Society	\$ 2,500 - \$ 4,999
<input type="checkbox"/> O'Brien's Society	\$ 1,000 - \$ 2,499
<input type="checkbox"/> Friends	\$1 - \$999
<input type="checkbox"/> 1907 Society	Will and/or Life Income Gifts



Saint Mary's
 HOSPITAL
 Foundation



Cardiology Naming Opportunities

Department of Cardiology: \$350,000

Open Heart Surgery OR: \$250,000

Cardiovascular Unit: \$250,000

Nurses Station: \$150,000

Recovery Rooms (7): \$50,000

Family Waiting Lounge: \$50,000

J. Robert Anthony, MD Suite

Waiting Room: \$50,000

Conference Room: \$30,000

Dr. Anthony's Office: \$25,000

Secretarial/Registration Office: \$15,000

Exam rooms (2): \$10,000

Telemetry Unit: \$250,000

Nurses station: \$150,000

Patient Solariums (2): \$50,000

Patient Rooms (19): \$30,000

Staff Lounge: \$15,000

Nourishment Station: \$10,000

Cardiology Testing Suite: \$250,000

Exercise Stress Testing Laboratory: \$100,000

Stress Echocardiography Laboratory: \$100,000

Echocardiography Laboratory—
Transthoracic: \$100,000

Echocardiography Laboratory—
Transesophageal: \$100,000

*Angioplasty & Cardiac
Catheterization Suite: \$250,000*

Room #1 Diagnostic/Interventional Cardiac
Catheterization Suite: \$150,000

Room #2 Permanent Pacemaker
and Special Procedure Suite: \$150,000

Cardiac Rehabilitation Exercise Room: \$150,000

24 hour Ambulatory Electrocardiography
Monitoring Laboratory: \$50,000

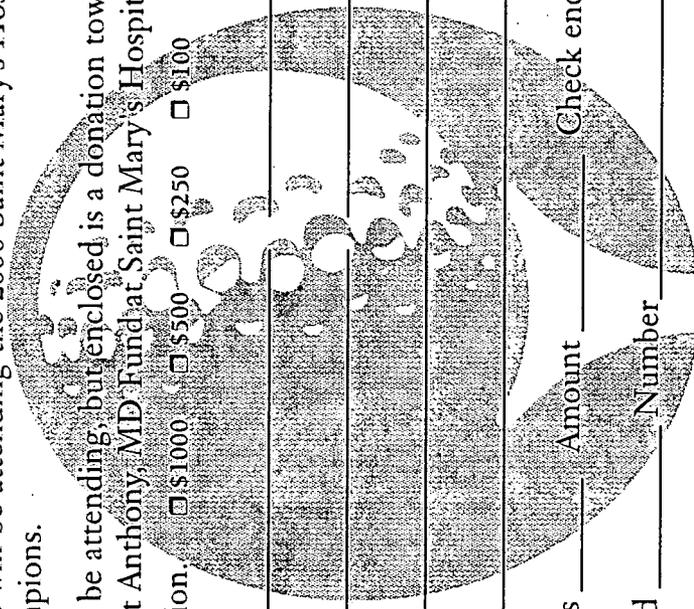
Cardiovascular Surgeon's Office: \$20,000




Saint Mary's
HOSPITAL
Foundation

Yes, I/we will be attending the 2006 Saint Mary's Hospital Dinner of Champions.

Will not be attending, but enclosed is a donation towards the J. Robert Anthony, MD Fund at Saint Mary's Hospital Foundation. \$1000 \$500 \$250 \$100 other



Name(s) _____

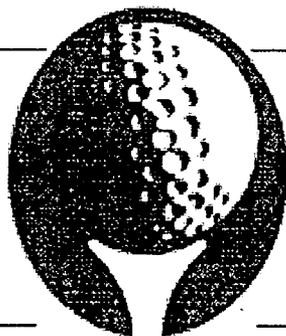
Address _____

Phone _____

of Tickets _____ Amount _____ Check enclosed _____

Credit Card _____ Number _____

Exp. Date _____



Saint Mary's Hospital CHAMPION GOLF CLASSIC

2006 Saint Mary's Hospital Champion
J. Robert Anthony, MD, FACC
Chief of Cardiology

T U E S D A Y , S E P T E M B E R 1 2 , 2 0 0 6
W A T E R T O W N G O L F C L U B

This year, the Saint Mary's Hospital Champion Golf Classic honors Dr. J. Robert "Bob" Anthony, who has served as Chief of Cardiology at Saint Mary's since 1972.

Hailed as the "Dean of Cardiology" in Waterbury, Dr. Anthony has had a long and distinguished career as a dedicated practitioner, innovator, teacher and community leader. He has earned the respect of his peers and the gratitude of the thousands of patients whose lives he has impacted through his care and professionalism.

Please join Bob and your fellow golfers for the 11th annual golf classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Dr. Anthony, our sponsors and our golfers will take place the evening before the tournament.

CHAMPION GOLF CLASSIC DINNER

Monday, September 11, 2006

Crowne Plaza, Southbury (formerly Hilton Southbury)

6:00 pm - Cocktails 7:00 pm - Dinner

*Golfer's registration fee includes
Monday night dinner.*

TOURNAMENT

Tuesday, September 12, 2006

Watertown Golf Club

*Morning Flight - 7:30 am
Afternoon Flight - 1:00 pm*


Saint Mary's
HOSPITAL
Foundation

Fund Trail Report

B. Preston Gilmore for Cardiology Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
B. Preston Gilmore	12/31/1998	May Fund 1999	\$0.00	\$5,000.00	for special care by Dr. Anthon
	12/31/1999	Cardiology	\$0.00	\$47,958.75	1,470 shares Pfizer stock @\$32
	12/31/1999	Cardiology	\$0.00	\$2,008.56	gain on Pfizer stock sold 12/3
	2/8/2000	Cardiology	\$0.00	\$1,952.47	57 shares Pfizer stock @\$34.31
	2/8/2000	Cardiology	\$0.00	\$0.00	write-off on Pfizer stock sale
	12/31/2000	Cardiology	\$0.00	\$5,000.00	
	12/31/2001	Cardiology	\$0.00	\$20,000.00	
	12/27/2002	Cardiology	\$0.00	\$10,000.00	
	12/23/2003	Cardiology	\$0.00	\$10,000.00	
	12/24/2004	Cardiology	\$0.00	\$10,000.00	
	12/19/2005	Cardiology	\$0.00	\$10,000.00	
	11/28/2006	J. Robert Anthony, M.D	\$20,000.00	\$0.00	Ins of 11/28/2006
	12/27/2007	J. Robert Anthony, M.D	\$30,000.00	\$0.00	Ins of 12/31/2007
Grand Totals:				\$50,000.00	\$121,919.78

13 Gift(s) listed
1 Donor(s) listed



Join us with a contribution to the
J. Robert Anthony, MD Fund
 to benefit the Cardiology Department
 at Saint Mary's Hospital

NOVEMBER 2007
 Any gift, large or small, is a significant contribution. *
 Year 1 - \$20,000 Year 2 - \$20,000 Year 3 - \$10,000
 Gift amount \$1000 \$500 \$250 \$100 Other \$50,000.00
 Credit Card MC Visa AMEX Discover Check enclosed
 Card #: 5410 6584 2852 6089 Signature: BP Gilmore
 Expiration Date: 06/08 Print Name: B Preston Gilmore
 Address 901 E Camino Real
 City BOCA RATON State FL Zip 33432

Matching Gift Company Name (include your matching gift form) _____
 I would like my gift given in HONOR OF Dr. Robert Anthony
 I would like my gift given in MEMORY OF _____
 I would like my gift to be anonymous.
 Make checks payable to: Saint Mary's Hospital Foundation, 56 Franklin St., Waterbury, CT 06706

A5
4

Harriet Sisbarro

From: Marilyn Slowik
Sent: Monday, December 12, 2005 1:54 PM
To: Harriet Sisbarro
Cc: Peggy Lawlor
Subject: Donation

H: Mr. Preston Gilmore (561-368-1831) phoned me today to donate \$10,000 to the Dr. R. Anthony fund. His credit card info: MASTERCARD 5410 6584 2852 6089 expiration 6/08 (number on back of card if you need it - 741). Thank you note to his Florida address: 901 E. Camino Real - #6B, Boca Raton, FL 33432-6344.

m.

Marilyn A. Slowik
Administrative Assistant
St. Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706
Phone: 203-709-6390
Fax: 203-709-3272
Email: marilyn.slowik@stmh.org

CREDIT CARD PHONE CALL REQUESTS

per telephone call
12/12/02

Donor
PATIENT NAME: B. Preston Gilmore

ACCOUNT #: Foundation 1550-1551 (Cardiology) ^{Partners}

AMOUNT: \$10,000.00

BD _____ AR _____

VISA AMEX _____

M/C _____ DISCOVER _____

OTHER _____

CARD # _____

EXP DATE: 8/04

NAME ON CARD: Same as above

MAILING ADDRESS: 901 E. Camino Real Apt 6B
Boca Raton, FL 33432-6344

Any gift, whether large or small, is a significant contribution to the health and well being of our families and friends.

Cash/Check Contributions: I am enclosing my contribution of \$20000

Credit Card: Type: MC VISA AMEX DISCOVER 12-5-81

Card #: _____ Signature: _____ Exp# _____

I wish my gift to be anonymous.

(Checks payable to: St. Mary's Hospital Foundation)

12/5 B Preston Gilmore Florence L. Gilmore TTEE

#815 901 E. Camino Real

\$20,000 Boca Raton FL 33432

Cardiology
 My spouse works for a Matching Gifts Company.

Your gift may be given in honor or memory of a friend or loved one. My gift is in Memory Honor of

in honor of J. Robert Anthony

Mr./Mrs./Miss
Please Print Clear

Company Name (Include your Matching Gift for)
ty already done

CREDIT CARD PHONE CALL REQUESTS

52

Donor

PATIENT NAME: G. Preston Gilmore

ACCOUNT #: Foundation 1550-1551 (Cardiology)

AMOUNT: \$10,000.00

BD _____ AR _____

G. PRESTON GILMORE

\$10,000

VISA

AMEX _____

M/C

DISCO _____

OTHER _____

designate Cardiology
in honor Dr. Anthony

CARD # _____

EXP DATE: _____

NAME ON CARD: Same as above

203 Lake Waramaug

MAILING ADDRESS: New Preston, CT 06777

Saint Mary's
HOSPITAL

56 Franklin Street, Waterbury, CT 06706

RECEIVED for The Account Of:

ADMISSION NUMBER: 1550-1551 ROOM NUMBER: 1551 DATE: 12/29/00

CHECK CASH PERSON MAIL

CLASSIFICATION	AMOUNT
ACTIVE	
INACTIVE	
PAST DUE	
PHARMACY	
EMERGENCY	
CLINIC	
PRIVATE	
MISC <input checked="" type="checkbox"/>	5,000.00
OTHER	
NAME OF: <u>Robert Anthony MD.</u> <u>Cardiology</u>	
BALANCE	

*EXPLANATION

RECEIVED FROM: Maryann P. Bolatino

No. 852208 BY: [Signature]

tesnotesnotesnotes

Preston Gilmore F/A
C. CARD
Master

(\$5,000) yearly gift

Hand of:
Robert Anthony, MD
Cardiology
70-427

1550-1551

HITCHCOCK
PRINTING & DISTRIBUTION
SERVICES
191 John Downey Drive
New Britain, CT 06051-2903
TEL: (860) 229-2024
FAX: (860) 224-3187





ST. MARY'S HOSPITAL

APPRAISAL OF SECURITIES CONTRIBUTED TO ACCOUNT

#0042610010 *G. Preston Gilmore*

January 27, 2000

This is to certify that the undersigned, Fleet National Bank, hereby appraised the mean value of the security listed below as follows:

<u>Number of Shares</u>	<u>Description of Stock</u>	<u>Mean Value Per Unit</u>	<u>Appraisal Date</u>	<u>Total Value</u>
57	Pfizer Inc.	\$34.313	January 24, 2000	\$1,955.841

In honor of Dr. Anthony designated for Cardiology

WSJ - 12/24/99

High - 35 1/4

Low - 33 3/8

FLEET NATIONAL BANK

Joanne M. Hickman
Assistant Vice President



3700916
12/31
\$49,967.31

ST. MARY'S HOSPITAL

**APPRAISAL OF SECURITIES CONTRIBUTED TO ACCOUNT
#0042610010**

December 29, 1999

This is to certify that the undersigned, Fleet National Bank, hereby appraised the mean value of the security listed below as follows:

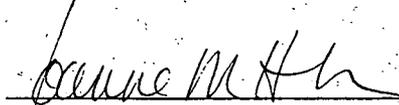
<u>Number of Shares</u>	<u>Description of Stock</u>	<u>Mean Value Per Unit</u>	<u>Appraisal Date</u>	<u>Total Value</u>
1,470	Pfizer, Inc.	\$32.625	December 21, 1999	\$47,958.750

WSJ - 12/22/99

High - 32 7/8

Low - 32 3/8

FLEET NATIONAL BANK



 Joanne M. Hickman
 Assistant Vice President

W-
Memo

Nothing yet as of 12/27/99

FROM

12/17

WARREN G. SARASIN
(203) 574-6390

Expecting

Dolly + Pres Gilmore
gift of stock (Pfizer)
\$ 50,000

BEING TRANSFERRED INTO
FLEET INVESTMENT ACCOUNT

Deposit Cardiology
in Honor of
Dr. Robert Anthony

SMITH BARNEY

B PRESTON GILMORE
901 E CAMINO REAL
BOCA RATON FL 33432

0332

3-5/310

12-30 1998

PAY TO THE ORDER OF ST Mary's Hospital Foundation \$5000⁰⁰

Five Thousand & xx/100

DOLLARS

SMITH BARNEY SHEARSON SELECT CLIENT™
PNC BANK N.A.
Philadelphia, PA

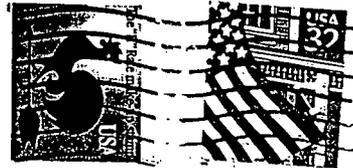
MEMO

B.P. Gilmore

⑆031000053⑆ 6700685215⑆ 20332

Gilmore
TRY 92.5
• FM

WATERBURY, CT 06706
YES
WATERBURY, CT 06706



ST Mary's Hospital
56 Franklm St
Waterbury CT Foundation
06706

1-368-1831

06706+1221



for Acknowledgement

Gift given in appreciation of the
fine medical attention received at St. Mary's
and by his cardiologist, Dr. Robert Anthony.

**Thank You...
Raffle & Giveaway Donors**

Adam Broderick
Bally Total Fitness
Bic
Brookside Inn
Cara Bella
Carmen Anthony
ColorGraphix, LLC
Constantine's Restaurants
Courtard by Marriott
Dolce Heritage Conference Center
Eastern Bag & Paper Company
Ethan Allen Hotel
Foxwoods Resort
GolfSmith
Hartford Stage
IKON solutions
KarTele
Killington Ski Resort
Metro Bis
Mohegan Sun
OGS Technologies
Oxford Greens
Palace Theatre
Ritz Camera Center
Rock Cats Baseball
San Marino Restaurant
Seven Angels Theatre
Susan Nadeau of Longerberger Baskets
Sweet Maria's
Swiss Army Brands, Inc.
The Big Dipper
The Course at Yale
Thomaston Opera House
Timex
Treehouse Comedy Productions
Tres Jolie
Triple Stitch Sportswear
Wadsworth Athenaeum
Warner Theatre
Waterbury Country Club
Waterbury Symphony Orchestra
Waterbury YMCA
Wesson Energy, Inc.
Yale Repertory Theater

2006 Golf Classic

Chairman

Stephen C. Widman, M.D.
Mark C. Yanarella

Committee

Jack Barlow
Bob Bolek
Kristen Bulkovitch
Mark Casey
Bill Cofrances
Terry Dudrick
Bernie Evans
Lenny Genovese
John Jenusaitis
Mike LeClerc
Ray Massicotte
Kathy Smith
Pat Tanner
Roland Young, Esq.
Sharon Zilahy

Volunteers

Lisa Boudreau
Mark Casey
Robin Cracco
Lynn Culotta
Bernie Evans
Pat Evans
Ann Ferrero
Paula Gianelli
Andrea Groves
Peter Jacoby
John Jenusaitis
Mike LeClerc
Ray Massicotte
Sue McCaughan
Carolyn Orrell
Jeanne St. Pierre
Sandy Roosa
Betsy Shelley
Fernanda Silva
Ron Sisbarro
Harriet Sisbarro
Marlyn Slowik
Kathy Smith
Karen Stary
Monica Wohlberg
Jan Young
Sharon Zilahy



**Saint Mary's Hospital
CHAMPION GOLF CLASSIC**

2006 Saint Mary's Hospital Champion
J. Robert Anthony, M.D.
Chief of Cardiology

Tuesday, September 12, 2006

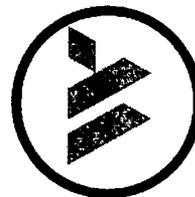
Tournament Co-Chairmen

Mark C. Yanarella, President & CEO Naugatuck Savings Bank

**Dr. Stephen C. Widman,
Interventional Cardiologist with Cardiology Associates of Waterbury,
P.C.**

**Watertown Golf Club
236 Guernseytown Road
Watertown, CT**

Title Sponsor:



Webster

We Find a Way

SCHEDULE FOR THE DAY

Schedule:

	1 st Flight	2 nd Flight
Registration:	6:45 a.m.	11:00 a.m.
Driving Range:	6:45 a.m.	11:00 a.m.
Continental Breakfast:	6:45 a.m.	
Lunch:	11:30 a.m.	11:30 a.m.
Shotgun Start:	7:30 a.m.	1:00 p.m.
Cocktails:	5:30 - 7:30 p.m.	

TITLE SPONSOR

Webster

CHAIRMAN SPONSOR

Brown Rudnick Berlack Israels LLP

GOLD SPONSORS

Albert Brothers, Inc.
Anthem Blue Cross & Blue Shield
Naugatuck Savings Bank
Sodexho

Golf Contests

Team Scramble

- 1st and 2nd Low Gross Team Score
- 1st and 2nd Low Net Team Score
- Closest to the Pin on Hole #14
- Closest to the Line on Hole #10
- Longest Drive – Men & Women on Hole #1
- 50/50 Raffle

Prizes for first hole-in-one on all par 3 holes:

- Hole #4:** 2007 Blasius Chevrolet Cobalt
- Hole #9:** Atlantic City Trump/McCullough's Emerald Golf Links Vacation
- Hole #14:** GMAC Smartcare and Nike Sasquatch Driver
- Hole #15:** Nike Golf Balls – 36 Dozen Power Distance Golf Balls

Hole In One prizes generously donated by:

WALTON'S BROTHERS, WOODVILLE, N.Y.

SILVER SPONSORS

Campion Ambulance Service, Inc.
Naugatuck Valley OB/GYN Associates

TEE SPONSORS

Chemura
F.B. Mattson Co., Inc.
JL Consulting LLC

GREENS SPONSORS

All Lawn Care, Division of NVLM
Child Guidance Clinic of Greater Waterbury
Coldwell Banker Realty 2000, LLC
Eyelet Crafters, Inc.
Gaylord Hospital
Hughes & Cronin
Michael Maglaras & Company
Nuzzo & Roberts, L.L.C.
Siemon Realty
VNA Health Care, Inc.

IN-KIND SPONSORS

Anthem Blue Cross & Blue Shield
Blackie's
Blasius Chevrolet & Cadillac, Inc.
Bridgeport Blue Fish
Cintas Uniforms
Coca-Cola Bottling Co. of New England
Elm Press
Largay Travel
Nij, Inc.
O'Rourke & Birch Florists Inc
Pepsi
PowerStation Corporation
RC Krox & Company
Saint Mary's Hospital Auxiliary
SUNPRO, Inc.
Sullivan's Jewelers
Trefz Corporation
Vendors

BRONZE S.

Cardiology Associates of Waterbury, P.C.
K.P.M.G. LLP
Sinclair Insurance Group
Spectrum Floors, Inc.

BRASS SPONSORS

American Savings Foundation
AT&T
Bank of America
Century Financial Services
The Chartis Group
ConnectiCare, Inc.
Connecticut Credit LLC
The Eastern Company
Eclipsys Corporation
Gem Manufacturing Co., Inc.
Hamnes Company
Harold Leaver Regional Cancer Center
Health Net of Northeast, Inc.
John Hancock
Medline

National Healing Corporation
Naugatuck Savings Bank
Naugatuck Valley Radiology Associates
Naugatuck Valley Savings & Loan
Naugatuck Valley Surgical Center
Neubert, Pepe & Monteith, P.C.
Neurosurgery Associates of NW, CT
O R & L Construction
Saint Francis Hospital & Medical Center
Thomaston Savings Bank
Wolcott View Manor, Inc.

FRIENDS

#M Health Information Systems
Community Health Network
Hartford Hospital
HKB Health Systems
J & L Medical Sales, Inc.
Leukowski, Lonerigan & Co., LLP
Litchfield Bancorp
Medtronic
Newtown Savings Bank
O'Brien, Tanski, & Young
Torrington Supply Company
Triple Stitch Sportswear
USA Hauling & Recycling, Inc.

Fund Trail Report Equipment Fund

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Estate of Jeanette Weiss Matzkin	3/26/2010	Equipment	\$0.00	\$10,000.00	\$0.00	
		Grand Totals:	\$0.00	\$10,000.00	\$0.00	
1 Gift(s) listed						
1 Donor(s) listed						

LAW OFFICES
CRAIG DONOFF, P.A.
PROFESSIONAL ASSOCIATION
TOWN EXECUTIVE CENTER - SUITE 301
6100 GLADES ROAD
BOCA RATON, FLORIDA 33434

VM-Lorraine 1/4/10
AS
X5

CRAIG DONOFF, J.D., LL.M.
ESTATE and TAX ATTORNEY
Information@craigdonoffpa.com

SHARI B. COHEN, J.D. Shari@craigdonoffpa.com
ANDREW S. WIECZOREK, J.D. Andrew@craigdonoffpa.com
SOPHIA A. LOPEZ, J.D. Sophia@craigdonoffpa.com

TELEPHONE (561) 451-8220
FAX (561) 451-8223

AVENTURA/ NORTH MIAMI OFFICE
CITY NATIONAL BANK BUILDING, SUITE
601
2875 N.E 191st STREET
AVENTURA, FLORIDA 33160

DADE (305) 935-0496
FAX (305) 935-9542

November 3, 2009

Via Certified U.S. Mail
Return Receipt Requested

St. Mary's Hospital
Charitable Bequest Department
56 Franklin Street
Waterbury, CT 06706

*Craig Donoff
Medical
Equip
Fund*

RECEIVED

NOV 03 2009

BEQUEST DEPARTMENT

Re: Estate and Trust of Jeanette Weiss Matzkin

Dear Sir or Madam:

This office represents Craig Donoff and Mary Ann Fusco, the co-personal representatives of the Estate, and co-successor trustees of the Trust of Jeanette Weiss Matzkin, who passed away on July 1, 2009. Your organization was listed as a beneficiary of Mrs. Matzkin's estate.

As a beneficiary of the trust, enclosed for your records you will find a redacted copy of the Jeanette Matzkin Revocable Trust Agreement. As you will see, Mrs. Matzkin has made a specific request that her bequest be used "for the exclusive purpose of purchasing medical equipment for a) the Coronary Care Unit and b) the Intensive Care Unit . . . [and] that an appropriate plaque in each hospital be erected to read substantially as follows:"

In Memory of my beloved daughter and husband,
HERMINE MATZKIN LABOVITZ and LAWRENCE J. MATZKIN
Jeanette W. Matzkin

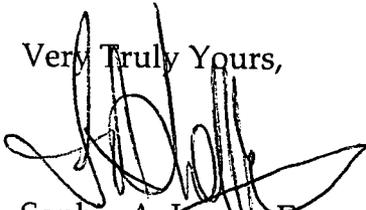
Please advise the undersigned of your organization's ability to fulfill Mrs. Matzkin's wishes as stated above. In addition, kindly provide us with your organization tax identification number along with proof of your nonprofit status as granted under IRC 501(c)(3). If you are unable to grant Mrs. Matzkin's request, kindly provide us with a written explanation and any alternative request your organization may grant, so that the trustees may decide how to best carry out Mrs. Matzkin's wishes.

At this time we are in the process of gathering and liquidating the estate's assets. Before we can make any distribution, we need to ensure there are no claims against the estate, pay all

outstanding debts and taxes and give beneficiaries time to review pertinent documents about the estate. Florida law provides for up to a three month grace period for such claims. In that regards, we anticipate that distribution will begin between February and March 2010.

Enclosed is a copy of a Notice of Administration which we are required to serve upon you, as an interested party, in accordance with the Florida Statutes. As you can see, all interested parties have three months from the date of service of this Notice in which to file any objections that challenge the validity of the will or the qualifications of the personal representative, venue or jurisdiction of the court, or their claims will be barred. Should you have any further questions, please do not hesitate to contact me.

Very Truly Yours,



Sophia A. Lopez, Esq.
CRAIG DONOFF, P.A.

SAL/lmp
Encl.

JEANETTE WEISS MATZKIN
FIFTH RESTATED AND AMENDED
REVOCABLE TRUST AGREEMENT

THIS FIFTH RESTATED AND AMENDED TRUST AGREEMENT, is executed this 31st day of March, 2005, at Miami-Dade County, Florida, by and between JEANETTE WEISS MATZKIN, hereinafter called the "Settlor," and JEANETTE WEISS MATZKIN, hereinafter called the "Trustee."

WHEREAS, the Settlor and Trustee did enter into that certain JEANETTE WEISS MATZKIN REVOCABLE TRUST AGREEMENT on April 27, 1990, which agreement was subsequently amended on December 15, 1992 and February 11, 1993, which agreements were subsequently amended by a RESTATED AND AMENDED REVOCABLE TRUST AGREEMENT on May 4, 1994, which agreement was subsequently amended on December 5, 1994 and April 15, 1996, which agreements were subsequently amended by a SECOND RESTATED AND AMENDED REVOCABLE TRUST AGREEMENT on April 23, 1998, which agreement was subsequently amended by a THIRD RESTATED AND AMENDED REVOCABLE TRUST AGREEMENT on December 15, 2000, which agreement was subsequently amended by a FOURTH RESTATED AND AMENDED REVOCABLE TRUST AGREEMENT on August 2, 2004, and which Fourth Restate and Amended Trust Agreement is specifically amendable in whole or in part, according to its terms; and

WHEREAS, the Settlor and Trustee desires to further amend said trust agreement, in its

Jeanette Weiss Matzkin
JEANETTE WEISS MATZKIN

entirety, through the medium of this Fifth Restated and Amended Trust Agreement, for purposes of ease and convenience of administration;

NOW THEREFORE, the JEANETTE WEISS MATZKIN FOURTH RESTATED AND AMENDED REVOCABLE TRUST AGREEMENT is hereby amended in its entirety and all references to "this trust agreement" shall refer to this Fifth Restated and Amended Trust Agreement as if all of its terms were fully set forth in the original.

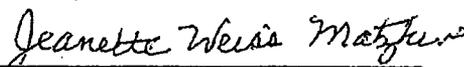
ARTICLE I - TRUST AMENDMENT AND REVOCATION

At any time or times during the life of the Settlor, by an instrument in writing, signed by the Settlor and delivered to the Trustee, Settlor may (i) amend, alter, modify or change this Trust Agreement in any manner; and/or (ii) change the Successor Trustee or Successor Trustees; (iii) withdraw any part or all of the properties contained in the Trust (iv) make gifts, in such amounts as the Settlor may wish, directly from the Trust to others and/or (v) revoke or terminate this Trust Agreement in part or in whole, in which latter event any and all Trust properties shall forthwith revert to Settlor as her own property free of Trust.

ARTICLE II - ADMINISTRATION DURING THE LIFETIME OF SETTLOR

A. During the lifetime of the Settlor, the Trustee shall pay all of the net income, if any, to the Settlor, in convenient installments at least as often as monthly. In addition, the Trustee shall pay to the Settlor, from the principal of the Trust, so much as the Trustee deems necessary for the welfare, support and comfort of the Settlor in her accustomed manner of living.

B. During any period of Settlor's lifetime in which, in the sole judgment of any successor Trustee hereunder, it is for any reason, inconvenient, inadvisable, or impossible for Settlor to act in


JEANETTE WEISS MATZKIN

her own behalf with respect to this trust, the distribution required in paragraph A, above, shall terminate and such Trustee shall distribute from time to time: (i) for the support, health, and maintenance in the previously accustomed manner of living of Settlor, (ii) for the discharge of any obligation which, in such Trustee's opinion, is legally enforceable against Settlor, and (iii) for any other purpose or purposes which such Trustee believes to be directly beneficial to Settlor, so much of the assets then contained in this trust, even to the exhaustion thereof, as such Trustee, in such Trustee's sole and absolute discretion, deems necessary or advisable.

In the event of incapacity, the Successor Trustee is authorized to make annual exclusion gifts on behalf of the Settlor.

ARTICLE III - INCAPACITY OF SETTLOR

Notwithstanding any other provision of this instrument to the contrary, during any period of time that the Successor Trustee is in possession of any of the following:

A. A court order, which such Successor Trustee deems to be jurisdictionally proper and still currently applicable, holding Settlor to be legally incapacitated to act in her own behalf or appointing a guardian to act for her; or

B. A determination of the Settlor's incapacity made at any time by the Settlor's then attending physician; or

C. Duly executed, witnessed, and acknowledged written certificates of two licensed physicians (each of whom represents that he/she is certified by a recognized medical board), each certifying that such physician has examined the Settlor and has concluded that, by reason of accident, physical or mental illness, progressive or intermittent physical or mental deterioration, or

Jeanette Weiss Matzkin
JEANETTE WEISS MATZKIN

other similar cause the Settlor had, at the date thereof, become incapacitated to act rationally and prudently in her own financial best interests, the Settlor shall be deemed to be incapacitated and any attempt by the Settlor to exercise the above reserved rights of revocation, withdrawal of assets, and/or control over the Successor Trustee shall, be void and totally without effect, this Trust being, during that period of time, irrevocable and unamendable. The Successor Trustee hereunder shall be under no duty to institute any examination into Settlor's possible incapacity, but any such examination reasonably instituted shall be deemed made at Settlor's request, with waiver by Settlor of all provisions of law relating to disclosure of confidential medical information needed in connection therewith, and the expenses thereof may be paid from Trust assets. The Trustee or any Successor Trustee and any person or corporation may and shall rely upon written notice of such determination of incapacity.

During any period of the Settlor's incapacity as defined herein, the Successor Trustee shall make payments from the Trust to or for the benefit of the Settlor for her health, support, comfort and maintenance, and such health, support, comfort and maintenance of the Settlor shall be of paramount importance even to the complete exhaustion of the trust.

Any such determination of incapacity may be terminated by (i) a court of competent jurisdiction, or (ii) by a certificate to the effect that Settlor is no longer thus incapacitated executed either by the originally certifying physician or by two other licensed, board certified physicians.

It is the Settlor's intention that she continue to reside in her home as long as feasibly possible. To this end, Settlor directs the Successor Trustee to ensure that she is not placed in a nursing home or other life care facility unless the Successor Trustee, in its sole and absolute discretion, after advice


JEANETTE WEISS MATZKIN

of the Settlor's physician, determines that it is in the best interest of the Settlor to be placed in such a nursing home or other life care facility.

ARTICLE IV - PAYMENT OF SETTLOR'S DEBTS, FUNERAL EXPENSES, and TAXES, IF ANY

Upon the Settlor's death, the Successor Trustee shall pay from the residue of the trust estate the Settlor's funeral expenses, costs of administration, and estate and inheritance taxes assessed by reason of the Settlor' death. The Trustee may make payment directly or to the Settlor's Personal Representative. The Settlor hereby waives all rights of reimbursement for any payments made pursuant to this article.

ARTICLE V - DISTRIBUTION OF TRUST UPON DEATH OF SETTLOR

Upon the death of the Settlor, the Successor Trustee shall distribute the trust as follows:

Jeanette Weiss Matzkin
JEANETTE WEISS MATZKIN

MATZKIN or his wife, if she survives him, otherwise this bequest shall lapse.

6. The sum of Ten Thousand (\$10,000.00) Dollars each to ST. MARY'S HOSPITAL CORPORATION and [REDACTED] both located in Waterbury, Connecticut, to be divided equally for the exclusive purpose of purchasing medical equipment for: a) the Coronary Care Unit, and b) the Intensive Care Unit, and the Settlor requests that an appropriate plaque in each hospital be erected to read substantially as follows:

In Memory of my beloved daughter and husband,

HERMINE MATZKIN LABOVITZ and LAWRENCE J. MATZKIN

Jeanette W. Matzkin

Jeanette Weiss Matzkin
JEANETTE WEISS MATZKIN

constitute in all respects, "equitable title to real estate" as that term is used in Section 6, Article VII of the Constitution of the State of Florida. Notwithstanding anything contained in this Trust to the contrary, the Settlor's interest in any real property in which she resides pursuant to the provisions of this Trust shall be deemed to be an interest in real property and not personalty and shall be deemed the homestead of the Settlor."

ARTICLE X - GOVERNING LAW

This agreement shall be construed and regulated in all respects by the laws of the State of Florida.

IN WITNESS WHEREOF, the party hereto has set her hand and seal to this instrument, consisting of this and the ten (10) preceding typewritten pages, on the day and year first above written.

Jeanette Weiss Matzkin (SEAL)
JEANETTE WEISS MATZKIN,
as Settlor and Trustee

We certify that the above instrument was on the date thereof signed, sealed and declared by JEANETTE WEISS MATZKIN as her declaration of trust in our presence, and that we, at her request and in her presence and in the presence of each other, have signed our names as witnesses thereto, believing her to be of sound mind and memory at the time of signing.

Jennifer L. Leak residing at 18305 Biscayne Blvd., Suite 300
Aventura, FL 33160

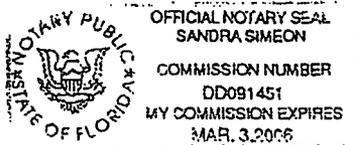
Sandra Simeon residing at 18305 Biscayne Blvd., Suite 300
Aventura, FL 33160

STATE OF FLORIDA)
) ss.
COUNTY OF MIAMI-DADE)

The foregoing instrument was acknowledged before me this 31st day of March, 2005, by JEANETTE WEISS MATZKIN, who is personally known to me or who has produced a driver's license as identification and who did not take an oath.

Sandra Simeon
NOTARY PUBLIC, State of
Florida at Large.

My commission expires:



This document prepared by:
Craig Donoff, P.A.
18305 Biscayne Blvd., Suite 300
Aventura, FL 33160

SCHEDULE "A"

This Schedule is to be attached to the TRUST AGREEMENT dated March 31, 2005, by and between JEANETTE WEISS MATZKIN as Settlor, and JEANETTE WEISS MATZKIN as Trustee.

1. Funds in Washington Mutual Bank, 183rd Street, Aventura.
2. Funds in Bank of America, 183rd Street, Aventura.
3. Funds in People's Bank, Southbury, Connecticut.
4. Merrill Lynch Funds.
5. Morgan Stanley Funds.
6. Condominium at Point East, Leonard Drive, #F-104, Aventura, FL.
7. Home at 930-B Heritage Village, Southbury, CT 06488.

Jeanette Weiss Matzkin

IN THE CIRCUIT COURT FOR MIAMI-DADE COUNTY,
FLORIDA

PROBATE DIVISION

IN RE: ESTATE OF
JEANETTE WEISS. MATZKIN File No. 09-31550PQ3

Deceased. Division _____

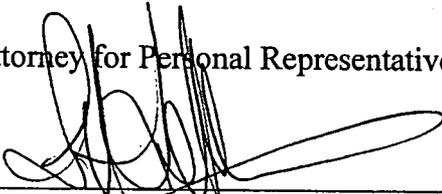
NOTICE OF ADMINISTRATION
(testate)

The administration of the estate of Jeanette Weiss Matzkin, deceased, is pending in the Circuit Court for Miami-Dade County, Florida, Probate Division, the address of which is 73 West Flagler Street, Miami, Florida 33130. The estate is testate and the date of the decedent's Will is April 5, 1999. The names and addresses of the personal representatives and the personal representatives' attorney are set forth below.

Any interested person on whom a copy of the notice of administration is served who challenges the validity of the will, qualifications of the personal representatives, venue, or jurisdiction of the court is required to file any objection with the court in the manner provided in the Florida Probate Rules, within the time required by law or those objections are forever barred.

Any person entitled to exempt property is required to file a petition for determination of exempt property **WITHIN THE TIME PROVIDED BY LAW OR THE RIGHT TO EXEMPT PROPERTY IS DEEMED WAIVED.** An election to take an elective share must be filed **WITHIN THE TIME PROVIDED BY LAW.**

Attorney for Personal Representatives:



SOPHIA A. LOPEZ, ESQ.
Florida Bar No. 036050
Craig Donoff, P.A.
6100 Glades Road, Ste. 301
Boca Raton, FL 33434
Telephone: (561) 451-8220

Personal Representative:



CRAIG DONOFF
6100 Glades Road, Ste. 301
Boca Raton, FL 33434

Personal Representative:



MARYANN FUSCO
12 Lenox Drive
Glastonbury, CT 06033

CRAIG DONOFF, P.A.
ATTORNEYS AT LAW

RAIG DONOFF, J.D., LL.M.
ESTATE and TAX ATTORNEY
Information@craigdonoffpa.com

SHARI B. COHEN, J.D. Shari@craigdonoffpa.com

SOPHIA A. LOPEZ, J.D. Sophia@craigdonoffpa.com

ANDREW S. WIECZOREK, J.D. Andrew@craigdonoffpa.com

TOWN EXECUTIVE CENTER - SUITE 301
6100 GLADES ROAD
BOCA RATON, FLORIDA 33434

TELEPHONE (561) 451-8220
FAX (561) 451-8223

AVENTURA/ NORTH MIAMI OFFICE
CITY NATIONAL BANK BUILDING, SUITE 601
2875 N.E 191st STREET
AVENTURA, FLORIDA 33160

DADE (305) 935-0496
FAX (305) 935-9542

November 3, 2009

Via Certified U.S. Mail
Return Receipt Requested

RECEIVED

NOV 03 2009

LEGAL DEPARTMENT

St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Re: Estate of Jeanette Weiss Matzkin
Social Security No. 041-38-0968

Gentlemen:

Please be advised that I represent Craig Donoff and MaryAnn Fusco, co-personal representatives of the estate of Jeanette Weiss Matzkin.

In accordance with Florida law, I am furnishing you, as a possible creditor of the estate, with the enclosed copy of the Notice to Creditors regarding the estate.

Very truly yours,



Sophia A. Lopez, Esq.
Craig Donoff, P.A.

SAL/lmp
Encl.

G:\PROBATE\FILES\MIAMI\M\MATZKIN, JEANETTE\CORRESPONDENCE (NOTICE TO CREDITORS)\Ltr.Notice to Creditors.St Mary's Hospital.wpd

IN THE CIRCUIT COURT FOR MIAMI-DADE COUNTY,
FLORIDA

PROBATE DIVISION

IN RE: ESTATE OF
JEANETTE WEISS MATZKIN File No. 09-3155CP03

Division _____

Deceased.

NOTICE TO CREDITORS

The administration of the estate of Jeanette Weiss Matzkin, deceased, whose date of death was July 1, 2009, and whose social security number is XXX-XX-0968, file number 09-3155CP03, is pending in the Circuit Court for Miami-Dade County, Florida, Probate Division; the address of which is 73 West Flagler Street, Miami, Florida 33130. The names and addresses of the personal representative and the personal representative's attorney are set forth below.

All creditors of the decedent and other persons having claims or demands against decedent's estate on whom a copy of this notice is required to be served must file their claims with this court WITHIN THE LATER OF 3 MONTHS AFTER THE TIME OF THE FIRST PUBLICATION OF THIS NOTICE OR 30 DAYS AFTER THE DATE OF SERVICE OF A COPY OF THIS NOTICE ON THEM.

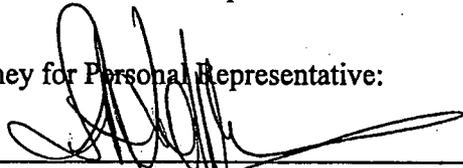
All other creditors of the decedent and other persons having claims or demands against decedent's estate must file their claims with this court WITHIN 3 MONTHS AFTER THE DATE OF THE FIRST PUBLICATION OF THIS NOTICE.

ALL CLAIMS NOT FILED WITHIN THE TIME PERIODS SET FORTH IN SECTION 733.702 OF THE FLORIDA PROBATE CODE WILL BE FOREVER BARRED.

NOTWITHSTANDING THE TIME PERIODS SET FORTH ABOVE, ANY CLAIM FILED TWO (2) YEARS OR MORE AFTER THE DECEDENT'S DATE OF DEATH IS BARRED.

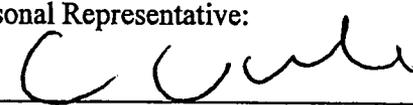
The date of first publication of this notice is OCTOBER 28, 2009.

Attorney for Personal Representative:



Sophia A. Lopez, Esq.
Florida Bar No. 036050
CRAIG DONOFF, P.A.
6100 Glades Road, Ste. 301
Boca Raton, FL 33434
Telephone: (561) 451-8220

Personal Representative:



Craig Donoff
6100 Glades Road, Ste. 301
Boca Raton, Florida 33434



MaryAnn Fusco
12 Lenox Drive
Glastonbury, Connecticut 06033

Appeal Summary Report CHC Dr. Sandy Carbonari, und 1/1/1987 - 12/17/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00
cor2005	Corporations 2005	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
cor2006	Corporations 2006	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
cor2007	Corporations 2007	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
cor2008	Corporations 2008	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
cor2009	Corporations 2009	1	\$0.00	\$0.00	\$195.00	\$0.00	\$195.00
cor2010	Corporations 2010	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
fund2001	Foundations 2001	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
fund2002	Foundations 2002	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
ind2005	Unsolicited 2005	2	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
ind2006	Unsolicited 2006	2	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
ind2007	Unsolicited 2007	2	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
ind2008	Unsolicited 2008	2	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00
mem2006	Remembrance 2006	9	\$0.00	\$0.00	\$1,425.00	\$0.00	\$1,425.00
mem2009	Remembrance 2009	26	\$0.00	\$0.00	\$2,980.00	\$0.00	\$2,980.00
			\$0.00	\$0.00	\$11,350.00	\$0.00	\$11,350.00

and Totals:

51 Gift(s) listed
38 Donor(s) listed

BI

CHC @ discretion Dr. Sandi Carbonari Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Alexander Alexion	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Anonymous	12/20/2000	CHC @ discretion Dr.	\$0.00	\$500.00	
	1/31/2002	CHC @ discretion Dr.	\$0.00	\$500.00	
Susan E. Armbruster	12/31/2010	CHC @ discretion Dr.	\$0.00	\$220.00	donation from the School of Nursing Class of 1956
Mary Ann Austin	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
Dorothy Bauer	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Carbonari Family Foundation, Inc. 1015 Spring Ln Lake Forest, IL 60045	12/31/2010	CHC @ discretion Dr.	\$0.00	\$500.00	
Sandra Carbonari	12/16/2005	CHC @ discretion Dr.	\$0.00	\$200.00	
Children's Health Center Sunshine F 56 Franklin St Waterbury, CT 06706-1253 (203) 573-1313	3/18/2009	CHC @ discretion Dr.	\$0.00	\$200.00	
Frank Cirillo	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Connecticut Council of Parish Nurs	1/13/2009	CHC @ discretion Dr.	\$0.00	\$50.00	
Connecticut Friends of Adopted Ch PO Box 3246 Waterbury, CT 06705-0246	11/21/2003	CHC @ discretion Dr.	\$0.00	\$600.00	
	12/31/2004	CHC @ discretion Dr.	\$0.00	\$1,000.00	
	11/30/2005	CHC @ discretion Dr.	\$0.00	\$500.00	
	12/18/2006	CHC @ discretion Dr.	\$0.00	\$500.00	
	12/27/2007	CHC @ discretion Dr.	\$0.00	\$1,000.00	for the Gardner Fund
	11/24/2008	CHC @ discretion Dr.	\$0.00	\$725.00	
	12/22/2009	CHC @ discretion Dr.	\$0.00	\$500.00	designated for Gardner Fund
	12/31/2010	CHC @ discretion Dr.	\$0.00	\$644.00	
Richard DeCarlo	2/28/2006	CHC @ discretion Dr.	\$0.00	\$100.00	

CHC @ discretion Dr. Sandi Carbonari Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Patricia A. Destefano	11/24/2008	CHC @ discretion Dr.	\$0.00	\$100.00	
Alison Doyle	11/24/2008	CHC @ discretion Dr.	\$0.00	\$100.00	
Patricia A. Evans	12/8/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
Franklin Medical Group 56 Franklin St Ste 2 Waterbury, CT 06706-1220 (203) 573-1313	7/21/2009	CHC @ discretion Dr.	\$0.00	\$195.00	
William J. Healy	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
Delbert H. Hodder	11/24/2008	CHC @ discretion Dr.	\$0.00	\$100.00	
Albert M. Hopper	11/24/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
Karen Kowal	12/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
Peggy Lawlor	11/11/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
Albert W. Lebel	11/24/2008	CHC @ discretion Dr.	\$0.00	\$10.00	
Roslyn Lerner	2/28/2006	CHC @ discretion Dr.	\$0.00	\$25.00	
William J. McDermott	5/31/2005	CHC @ discretion Dr.	\$0.00	\$100.00	
Harold S. Minoff	2/28/2006	CHC @ discretion Dr.	\$0.00	\$1,000.00	
Johann Mueller	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Ginny Napiello	11/24/2008	CHC @ discretion Dr.	\$0.00	\$100.00	
Lucille C. Parsons	11/24/2008	CHC @ discretion Dr.	\$0.00	\$20.00	
Patricia L. Patterson	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
Joyce C. Renna	11/24/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
	7/30/2009	CHC @ discretion Dr.	\$0.00	\$25.00	

CHC @ discretion Dr. Sandi Carbonari Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
	7/30/2009	CHC @ discretion Dr.	\$0.00	\$25.00	
Michael J. Rokosky	11/24/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
James M. Roman	1/13/2009	CHC @ discretion Dr.	\$0.00	\$50.00	
Sandra K. Roosa	11/11/2008	CHC @ discretion Dr.	\$0.00	\$50.00	
David S. Shea	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
St. Mary's Children's Health Center 56 Franklin St Waterbury, CT 06706-1253 (203) 709-3674	3/10/2005	CHC @ discretion Dr.	\$0.00	\$150.00	
St. Mary's School of Nursing Alumni 56 Franklin St Waterbury, CT 06706-1253 (203) 709-6068	1/29/2006	CHC @ discretion Dr.	\$0.00	\$100.00	
	12/18/2006	CHC @ discretion Dr.	\$0.00	\$500.00	
	12/27/2007	CHC @ discretion Dr.	\$0.00	\$500.00	for the Gardner Fund
	3/19/2008	CHC @ discretion Dr.	\$0.00	\$100.00	
	3/18/2009	CHC @ discretion Dr.	\$0.00	\$1,000.00	
	12/31/2010	CHC @ discretion Dr.	\$0.00	\$1,000.00	Thanksgiving donation
Nick Trivisonno	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Armedi Ubaldini	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	
Mylon Vann	2/16/2006	CHC @ discretion Dr.	\$0.00	\$50.00	
Nancy Wehry	11/24/2008	CHC @ discretion Dr.	\$0.00	\$25.00	

Fund  il Report

CHC @ discretion Dr. Sandi Carbonari Fund

Grand Totals: \$0.00 \$13,714.00

55 Gift(s) listed
40 Donor(s) listed

FRANKLIN MEDICAL GROUP, P.C.

VENDOR ST MARYS HOSPITAL FOUNDATION

CHECK NO. 22017

VENDOR NO. 2063

CHECK DATE 07/01/2009

CHECK AMOUNT 195.00

INVOICE DATE	INVOICE NUMBER	P. O. NUMBER	INVOICE COMMENT	INVOICE AMOUNT	DISCOUNT	NET AMOUNT
07/01/2009	FRANKLIN EDUCATION FUND			195.00	0.00	195.00
	CHC Carbonari Fund					

FRANKLIN MEDICAL GROUP, P.C.

56 FRANKLIN STREET
WATERBURY, CT 06706

WEBSTER BANK

WEBSTER PLAZA
WATERBURY, CT 06702
51-7010/2111

CHECK NUMBER

22017

PAY One Hundred Ninety-Five Dollars and Zero Cents*****

DATE
07/01/2009

AMOUNT
\$ *****195.00

TO THE ORDER OF

ST MARYS HOSPITAL FOUNDATION
56 FRANKLIN STREET
WATERBURY, CT 06706

TWO SIGNATURES REQUIRED IF OVER \$5,000.00

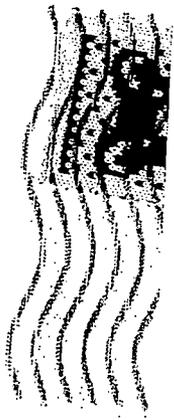
⑈022017⑈ ⑆211170101⑆10 0009215285⑈

SMS on alumni care
537 smallin st
Watkins, Ct 06905



SOUTHERN CT 069

14 JAN 2008 PM 4 L



Memo

FROM

SANDRA CARBONARI, M.D.

✓ cut by School of Nsg Alumni Assoc.

Please deposit in

Gardner fund

Member

Sandi

@ desc. of Sandy Carbonari

1298

1/12

\$100 -

Gardner Fund

ST. MARY'S HOSPITAL SCHOOL
OR NURSING ALUMNI ASSOC.
WATERBURY, CT 06706

51-7417/2111

1281

DATE 11/19/02

PAY TO THE
ORDER OF

Goodness Fund, Central Children, N.D. \$ 507.00
Five Hundred Dollars and 4/100 DOLLARS

NAUGATUCK SAVINGS BANK
NAUGATUCK, CT 06770

Contains Security
Features. Details
on Back.

FOR

S.M.H. Children - Health Care Center Estlin J. Keaffaber ^{MP}
President

⑆211174178⑆ 500 020 286⑈ 1281

PRINTED ON RECYCLED PAPER USING VEGETABLE-BASED INKS

ST. MARY'S HOSPITAL SCHOOL
OR NURSING ALUMNI ASSOC.
WATERBURY, CT 06706

51-7417/2111

1250

DATE 10/26/06

PAY TO THE
ORDER OF

Gardner Fund Sandra Carbonari, MD \$ 500.00

Five Hundred Dollars and ⁰⁰/₁₀₀ DOLLARS

NAUGATUCK SAVINGS BANK
NAUGATUCK, CT 06770

Contains Security
Features. Details
on Back.

^{SMH}
FOR Children's Family Health Center Edna Keppin

⑆211174178⑆ 500 020 286⑈ 1250

PRINTED ON RECYCLED PAPER USING VEGETABLE-BASED INKS


Saint Mary's
HOSPITAL
Foundation

Board of Directors

John P. Burke
Chairman

Edmund White
Vice Chairman

Eric D. Albert
Secretary

Robert P. Ritz, C.H.E.
Treasurer

Frederick W. Blasius, Jr.

Joseph Carlson, III
Jaci Carroll

Michael C. Culhane
Theresa M. Dudrick
Leonard J. Frank

Jack R. Goldberg, Esq.
Peter J. Jacoby, M.D.
Paul J. Largay

Joseph A. Mengacci, Esq.
Neil M. O'Leary

David Alan Roer, M.D.
George J. Strobel, Jr.

Keith F. Sullivan, CPA
Maria Taylor
Sharon Zilahy, R.N.

Corporators

Louise G. Boulanger
Kenneth M. Carter

Lawrence H. Engelman, Esq.
Sister Margaret Rosita Kenny

Sally Kernan

The Most Rev. Henry J. Mansell
Daniel Noonan

Eric J. Olson, M.D.

Margaret J. Lawlor
President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

March 6, 2006

Mr. Albert Carbonari
7812 Cloverfield Cir
Boca Raton, FL 33433-3049

Dear Mr. Carbonari,

We are attaching an additional name of a donor who has sent a gift in honor of your 80th birthday, specifically designated for the Children's Health Center Gardner Fund.

With every good wish, I am

Sincerely,



Robert P. Ritz, C.H.E.
President and Chief Executive Officer

RPR:hrs

St. Mary's Hospital
Memorial/Tribute

March 6, 2006

In Honor of:

Albert Carbonari's 80th birthday

From:

Roslyn Lerner
42 Milan Road
Woodbridge, CT 06525

HAROLD S. MINOFF
CLARE I. MINOFF
2 ROXBURY CT
BEACHWOOD, OH 44122

25-3130
440
618083745

3519

DATE 2/13/06

The Gardner Fund
PAY TO THE ORDER OF

\$ 1000 ⁰⁰/₁₀₀

One Thousand and 00/100

DOLLARS

BANK ONE.

JPMorgan Chase Bank, N.A.
Columbus, Ohio 43271

MEMO

Harold S. Minoff

+1:044000037:

618083745 3519

*ack already
sent to Albert*

2/13/06

Hi Sandy:

Clare and I certainly enjoyed seeing you and Dave again and being with the whole group and your family.

This donation is in honor of your father's 80th

birthday and we hope it helps your cause.

We look forward to seeing again in Florida.
Sincerely,

Sam and Clare M. Moff

already
acknowledged
to Albert Carbonari

Albert & Edna Carbonari
7812 Cloverfield Circle
Boca Raton, Florida 33433


Saint Mary's
HOSPITAL
Foundation

Board of Directors

John P. Burke
Chairman

Edmund White
Vice Chairman

Eric D. Albert
Secretary

Robert P. Ritz, C.H.E.
Treasurer

Frederick W. Blasius, Jr.

Joseph Carlson, III
Jaci Carroll

Michael C. Culhane
Theresa M. Dudrick

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Peter J. Jacoby, M.D.

Paul J. Largay

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Keith F. Sullivan, CPA

Maria Taylor

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Corporators

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Kenneth M. Carter

Lawrence H. Engelman, Esq.

Sister Margaret Rosita Kenny

Sally Kernan

The Most Rev. Henry J. Mansell

Daniel Noonan

Eric J. Olson, M.D.

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

February 23, 2006

Mr. Albert Carbonari
7812 Cloverfield Cir
Boca Raton, FL 33433-3049

Dear Mr. Carbonari,

We are attaching the names of donors who have sent gifts in honor of your 80th birthday, specifically designated for the Children's Health Center Gardner Fund.

With every good wish, I am

Sincerely,



Robert P. Ritz, C.H.E.
President and Chief Executive Officer

RPR:hrs

St. Mary's Hospital
Memorial/Tribute

February 23, 2006

In Honor of:

Albert Carbonari's 80th birthday

From:

Alex & Anastasia Alexion
7956 Cloverfield Circle
Boca Raton, FL 33433

Johann & Rosmarie Mueller
7877 Cloverfield Circle
Boca Raton, FL 33433

Mylon & Linda Vann
7852 Cloverfield Circle
Boca Raton, FL 33433

Frank & Vincenza Cirillo
7815 Cloverfield Circle
Boca Raton, FL 33433

Dorothy Bauer
7794 Cloverfield Circle
Boca Raton, FL 33433

Andrew & Rosemarie Fletcher
7905 Cloverfield Circle
Boca Raton, FL 33433

Nick & Carole Trivisonno
7931 Cloverfield Circle
Boca Raton, FL 33433

Harold "Sam" & Clare Minoff
2 Roxbury Court
Beachwood, OH 44122

St. Mary's Hospital
Memorial/Tribute

February 23, 2006

In Honor of:

Albert Carbonari's 80th birthday

From:

Richard Decarlo & Mary Melillo
20848 Concord Green W.
Boca Raton, FL 33433

Saint Mary's
HOSPITAL

St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

VENDOR: SAINT MARYS HOSPITAL CHILDRENS HEALTH C

CHECK-NO.: 252155

VENDOR NO.: 8108

CHECK DATE: 01/04/2006

CHECK AMOUNT: 100.00

INVOICE DATE	INVOICE NUMBER	P. O. NUMBER	INVOICE COMMENT	INVOICE AMOUNT	DISCOUNT	NET AMOUNT
12/27/2005	2ND PRIZE 05		<i>Garner Fund Auct.</i>	100.00	0.00	100.00

DAVID J. WELSH
SANDRA CARBONARI WELSH
77 NORTH FARM RD.
MIDDLEBURY, CT 06762

6871

51-70102111
BRANCH 8

11/21/05

Date

Pay to the
Order of

SMH Foundation

\$ 200

Two hundred and 00/100

00/100

Dollars



Security
Features
Detailed on
Back of
Check

WEBSTER BANK

WebsterOne Account

For Gardner Fund donation

Sandra Welsh

⑆211170101⑆10 0013176868⑆ 6871

Please Deposit
in the Gardner Fund
\$500

+
Dr Carbonari's

check \$200

TOTAL \$700

Gardner Fund

April 14, 2005

Hi Harriett,

Please deposit this check into the CHC-Carbonari account #90-9600-960509.

Many thanks.

Nancy Wehry
709-7087
709-7754 fax

WILLIAM J McDERMOTT MARGARET L McDERMOTT 259 BUNKER HILL RD WATERTOWN, CT 06795		1939
DATE <u>4/9/05</u>		51-7010/2111 BRANCH 1
PAY TO THE ORDER OF	<u>ST. MARY'S HOSP.</u> <u>CHILDREN'S HEALTH CENTER</u>	\$ <u>100.00</u>
<u>ONE HUNDRED AND 00/100</u>		DOLLARS 
WEBSTER BANK 		WebsterOne SM Account
FOR	<u>W.J. McDermott</u>	MP
⑆ 211170101⑆ 10 0013004406⑆ 1939		

© HARLAND 2001

November 15, 2003

St. Mary's Hospital
Childrens Health Center
56 Franklin Street
Waterbury, CT 06706

CONNECTICUT

Attn: Pat Dwyer

On behalf of the Board of Directors and member families of Connecticut Friends of Adopted Children, please accept the enclosed check of \$600.00. We admire your organization's program and hope this contribution will help you to make a difference in the lives of the people you serve.

Sincerely,



Debi Kulpik
Treasurer
CFAC, Inc.

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.

2206

ST. MARY'S

00002206

11/14/03

ST. MARY'S HOSPITAL

DONATION 2003

1008

Memo

DEC 20
2004

FROM

PAT DWYER

Please DEPOSIT
CHECK \$ 1000
(CT FRIENDS of
ADOPTED CHILDREN)
TO THE CHC
ACCOUNT = SANSRA
CARRONARI,
MD
(GARDNER FUND)

Thank you
Pat Dwyer

November 28, 2004

St. Mary's Hospital
Childrens Health Center
56 Franklin Street
Waterbury, CT 06706

Attn: Pat Dwyer

On behalf of the Board of Directors and member families of Connecticut Friends of Adopted Children, please accept the enclosed check of \$1,000.00. We admire your organization's program and hope this contribution will help you to make a difference in the lives of the people you serve.

Sincerely,



Debi Kulpik
Treasurer
CFAC, Inc.

1010

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.
P.O. BOX 3246
WATERBURY, CT 06705

Fleet

51-57/119

2281

PAY TO THE ORDER OF

ONE THOUSAND AND XX / 100 DOLLARS

DATE

AMOUNT

00002281

000000

ST. MARY'S HOSPITAL
CHILDRENS HEALTH CENTER
56 FRANKLIN STREET
WATERBURY, CT 06706

TWO SIGNATURES REQUIRED

Alma [Signature]
Debi Kulpik

SECURITY FEATURES INCLUDED. DETAILS ON BACK

002281 011900571

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.

P.O. Box 3246 • Waterbury, Connecticut 06705

November 8, 2005

St. Mary's Hospital
Attn: Pat Dwyer
Children's Health Center
56 Franklin Street
Waterbury, Ct 06706

On behalf of the Board of Directors and member families of Connecticut Friends of Adopted Children, please accept the enclosed check of \$500.00. We admire your organization's program and hope this contribution will help you to make a difference in the lives of the people you serve.

Sincerely,



Debi Kulpik
Treasurer
CFAC, Inc.

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.

2338

ST. MARY'S

00002338

11/08/05

ST. MARY'S HOSPITAL

DONATION

CHC@discretion of Dr. Carbonari

1011

*****\$500.00

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.

St. Mary's Hospital- Gardner Foundation

Year End Donation

11/13/2006

2573

500.00

C0207
CHC@desc of Dr. Sandi Carbonari

Cash - Checking

500.00

CONNECTICUT FRIENDS OF ADOPTED CHILDREN, INC.

St. Mary's Hospital- Gardner Foundation

Year End Donation

11/27/2007

2646

1,000.00

Cash - Checking

1,000.00

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

**CONNECTICUT FRIENDS
OF ADOPTED CHILDREN, INC.**

P.O. BOX 3246
WATERBURY, CT 06705

Bank of America

51-57/119



2789

11/23/2009

PAY TO THE
ORDER OF

St. Mary's Hospital- Gardner Foundation

\$**500.00

Five Hundred and 00/100

DOLLARS

St. Mary's Hospital- Gardner Foundation
Children's Health Center
56 Franklin Street
Waterbury, CT 06706
Attn: Dr. Sandra Carbonari
Year-end Donation

TWO SIGNATURES REQUIRED

Liese M. Fitzpatrick
Michelle Liber



⑈002789⑈ ⑆011900571⑆ 127393 0⑈

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

THE GARDNER FAMILY FOUNDATION

P.O. Box 276
Middle Haddam, CT 06456

St. Mary's Hospital Foundation, Inc.
56 Franklin St.
Waterbury, CT 06706

To Whom It May Concern,

Enclosed is a gift of \$500 payable to the St. Mary's Hospital Foundation, Inc. The donation is made with the stipulation that all the funds be used at the Children's Health Center, under the total discretion of Dr. Sardi Carbonari.

We respectfully request that you keep our name off any donor or fund-raising lists.

A confirmation of receipt would be appreciated.

Sincerely,
Rosemary Gardner
Trustee

January 22, 2002

John E. Gardner
Rosemary L. Gardner
Kristen L. Gardner

1/22
261
\$500

253
12/15
\$500

THE GARDNER FAMILY FOUNDATION

P.O. Box 276
Middle Haddam, CT 06456

Dear Ms. Jarzy,

The Gardner Family Foundation is pleased to present a gift of \$500 to the St. Mary's Hospital Foundation. We are requesting that these funds be restricted ~~for use by the~~
~~Children's Health Center at the discretion~~
~~of Dr. Barbara Cukomara~~. My husband, Jack Gardner, was very impressed with the work being done there, while he was completing a pediatric rotation as part of the Yale P.A. program.

We are happy to make this contribution, but need to let you know that ~~privacy~~
~~is important to us~~. We are a small family foundation and seek out charitable organizations on a personal basis, rather than accepting requests. Therefore, we respectfully ask that you ~~keep us~~
~~none of our fund-raising lists~~

Sincerely,
Rosemary Gardner
Trustee

December 14, 2000

WY'S OBITUARIES

Mrs. Patricia Dwyer

Had long career in nursing

WATERBURY — Ms. Patricia "Dolly" Dwyer, 67, died Wednesday, Oct. 22, 2008, at the Glendale Center in Naugatuck.



She was born March 12, 1941, in Waterbury, daughter of the late Edgar David and Helen (Hungerville) Dwyer. Raised in Waterbury she was a

graduate of Waterbury Catholic High School, Saint Mary's Nursing School, received her bachelor's degree in sociology from the University of New Haven and her master's degree in nursing from the University of Hartford.

She had a published article in the book "What's Right in Health Care" titled "A Special Call." Dolly had worked in the pediatrics department of Saint Mary's Hospital from 1962 until her retirement this year.

Dolly had a long career in both inpatient and outpatient pediatric nursing at Saint Mary's Hospital. She was the clinical care coordinator for Children and Youth with Special Health Care Needs at the Children's Health Center, and was a consultant health care coordinator for Connecticut State North-Regional Medical Home Sup-ercenter. She had an active role in supporting the Medical Home model of care which encourages comprehensive, coordinated care for children with a broad spectrum of health care needs. As a result of her tireless efforts for the betterment of children's health care, she was awarded the Unsung Hero Award by the Waterbury Regional Chamber.

She is survived by one sister, Sister Jean Dwyer CND of Middletown; seven nieces, Jean Brennan of Cheshire, Maura Brennan, Marita Brennan, Sheila Brennan, Tara Brennan and Marcia Jolly, all of Waterbury, and Cathleen Chaikowski and her husband, David, of Wolcott; two great nephews, Michael Chaikowski and Christopher Jolly; and three great nieces, Jennifer Chaikowski, Lauren Jolly and Nicole Jolly.

Arrangements: A Mass of Christian Burial will be held 10 a.m. Saturday, Oct. 25, at the Shrine of St. Anne, 515 South Main St., Waterbury. Burial will follow in New St. Joseph Cemetery. There are no calling hours. Arrangements are in the care of the Bergin Funeral Home, 290 East Main St., Waterbury. For more information, or to send an online condolence, please visit www.berginfuneralhome.com

Memorial contributions may be made to Sandra Carbanari M.D. or Christine Cunningham, LCSW c/o Saint Mary's Hospital Children's and Family Health Center, 56 Franklin St., Waterbury 06706, for use in need and their families; or St. Anne, 515 South Main St., Waterbury 06707; or CND Retirement Fund, Blessed Sacrament Province, 30 Highfield Road, Wilton 06897.

Mrs. Genavaite G.

Miss Rae M. Stack

Had family in Waterbury

MARLBOROUGH — Our hearts are broken by the loss of our sweet and dear friend, Rae-Marie Stack. Rae has been a shooting star, and a



bright light in the lives of her family and friends. Born in 1983, she has courageously and fearlessly battled all that life has thrown at her, and was a contagious joy to those who met her. It is impossible to list all the things she has done, and the people she has touched in these few short paragraphs. She loved to work with children, and experienced many wonderful moments at Camp Rising Sun, Fairfield University, and during her summers working with teens at St. Joseph Seminary in New Jersey. She was our sunshine and our laughter, and we will miss her so much.

She was sadly bid farewell by friends and family on Oct. 21, 2008. She is lovingly remembered by her mother, Donna Henderson of Marlborough, her father and stepmother, Gene and Barbara Stack Jr. of Manchester, her brother, Sean Stack of Marlborough, her sister, Joanna Bosch of Pennsylvania, her grandmother, Dolores Stack of Waterbury, her great-grandmother, Harriet Gruzaz of Waterbury, and many aunts, uncles, cousins, and dear friends. So many dear friends, it would take pages to express our deepest sympathies to them. We know her grandparents, who passed before her, Fran and Bob Henderson, and Eugene Stack, have welcomed her with open arms.

Our family would like to offer special thanks to the Cardiothoracic Intensive Care Unit at Hartford Hospital. We have been touched by the tenderness, gentleness, and care they have shown Rae over the last six weeks.

Her family will receive friends from 2 to 5 p.m. Sunday, Oct. 26, at Watkins Funeral Home, 142 East Center St., Manchester. A memorial Mass will be celebrated 10 a.m. Monday, Oct. 27, at St. James Church, 896 Main St., Manchester.

Although flowers are always welcome, donations to Camp Rising Sun, c/o Tina Saunders at the American Cancer Society, 538 Preston Ave., Meriden, would be appreciated. We also encourage you to become a regular blood donor, as the need is great.

To sign the online guest book, or for directions to the funeral home, please go to www.holmes-watkinsfuneralhomes.com.

Ms. Norma E. Mateo

Waterbury resident

WATERBURY — Norma E. Mateo, 73, of 90 Platt St., entered into eternal rest on Tuesday, Oct. 21, 2008, at Waterbury Hospital.



She was born Oct. 28, 1934, in San Pedro, Dominican Republic.

Brett R. Peck

Construction accident victim

EAST OTIS, Mass. — Suddenly, Oct. 20, 2008, as a result of a construction accident, Brett Robert Peck (MacKenzie), 20, of East



Otis, Mass., survived by his beloved mother and father, Dana and Kenneth MacKenzie of East Haven, his father and stepmother, George and Sandy Peck Jr.

of Torrington, a half brother, Taylor Peck of Torrington, his maternal grandparents, Francis and Jeanne Layman of Thomaston, his paternal grandparents, George and Nancy Peck Sr. of Torrington, aunts and uncles, Wendy and Bill McCloskey of Somers, Tammy and William Gunning of Bethlehem, Lori and Bob Fournier of Torrington, and Melissa and Daniel Kavula of Torrington, several cousins, his godparents, Wendy McCloskey and Robert Bothroyd, and many dear friends including Courtney, Plaky, Matt, Richie, Brian and Heather.

Born June 1, 1988, in Bristol, Conn., he was presently employed with Steven Fiske Construction Co. of Lenox, Mass., was a member of the Otis Volunteer Fire Dept., and was an avid snowmobiler. Brett was a much loved son and everyone who knew him loved him. He was a good person, thoughtful and kind, full of life and had a wonderful sense of humor. A gentle soul who absolutely adored his Mom, he lived life to the fullest. He will be missed but never forgotten.

Funeral service will be 11 a.m. Saturday morning at the Clancy Funeral Home, 43 Kirkham Ave., East Haven. Interment will be private. Friends may call at the funeral home Saturday morning from 9 a.m. until the time of service.

Memorial contributions may be made to the Kidney Foundation of America, 1250 Broadway, Suite 2001, N.Y. www.clancyfuneralhome.com

Tyler F. Daigle

Worked as tool & die maker

THOMASTON — Tyler F. Daigle, 22, of Clay Street, died at Waterbury Hospital on Oct. 22, 2008.



Tyler was born March 31, 1986, in Bristol, son of Richard and Donna (Bronson-Radjeski) Daigle of Thomaston. He graduated from Oliver Wolcott Technical H.S. in 2004, and was presently working as a tool & die maker at Stewart EPI in Thomaston.

Tyler loved to spend time at the Coffee House with his friends, rode motorcycles, was a car enthusiast, liked fishing and putting together sound systems for his buddies.

SCHEDULE OF FUNERALS

Aconfora, Ralph A. Sr. — 79, of Ansonia, Derby native. Mass 10 a.m. Saturday directly at Holy Rosary Church, 10 Father Salemi Drive. Burial with military honors will follow in Mount St. Peter's Cemetery, Derby. Calling hours 4 to 8 p.m. today at the church.

Adams, Mrs. Loretta — 93, of Woodbury. Funeral Mass 10 a.m. Saturday at St. Teresa of Avila Church, 146 Main St. South. Burial: New North Cemetery. Calling hours 6 to 8 p.m. today at Woodbury Funeral Home of Munson-Lovetere, 2 School St.

Borg-Redente, Mrs. JoAnne — 51, of Litchfield. Service 11 a.m. Saturday at First Congregational Church, 40 Deforest St., Waterbury, followed by a gathering at The Westbury Room, Route 6, Watertown. Calling hours 4 to 8 p.m. today at Rowe Funeral Home, 283 Torrington Road.

Cassella, Ms. Antoinette — 86, of Waterbury. Funeral 10:45 a.m. today from Colasanto Funeral Home, 932 Bank St., to St. Patrick's Church for Mass at 11:30. Burial will follow in Calvary Cemetery.

Crehan, Christian J. — 36, of Waterbury. Funeral 8:15 a.m. today from Casey O'Donnell Family Funeral Home, 1581 East Main St., to St. Peter and Paul Church for Mass at 9.

Demske, George W. — 87, of Waterbury. Funeral 10:30 a.m. today at Alderson Funeral Home of Waterbury, 9 Holmes Ave. Burial with military honors will be in New Pine Grove Cemetery. Calling hour 9:30 a.m. today until time of service at the funeral home.

El-Eld, Baha — 27, of Wolcott. Funeral 11 a.m. Saturday at Buckmiller Brothers Funeral Home, 26 Waterbury Road, Route 69, Prospect. Burial: Pine Grove Cemetery, Waterbury. Calling hours 5 to 8 p.m. today at the funeral home.

Gallagher, Mrs. Agnes C. — 80, of Torrington. Mass 11 a.m. Saturday at St. Francis Church, 160 Main St. Burial will follow in Old St. Francis Cemetery. Calling hours 4 to 7 p.m. today at Gleeson Mortuary, 258 Prospect St.

Gowen, Mrs. Barbara S. — 86, of New Hartford. Funeral 1 p.m. Sunday at Trinity Episcopal Church, 220 Prospect St., Torrington. Burial is private. Calling hours 4 to 7 p.m. Saturday at Nutting-Rowe Funeral Home, 283 Torrington Road, Litchfield.

Jerome, Derek R. Sr. — 38, of Bristol. Funeral 10 a.m. today at St. Anthony Church, 111 School St. There are no calling hours.

Judd, Mrs. Gladys E. — 88, of Waterbury, Naugatuck native. Funeral 11 a.m. Saturday at Alderson Funeral Home of Naugatuck, 201 Meadow St. Burial will follow in Grove Cemetery. Calling hour 10

Man named with Leno in la

ASSOCIATED PRESS

NEW YORK — A parking garage executive sued along with "Tonight Show" host Jay Leno over their purchases of valuable vintage cars.

Fund Summary Report 1/1/87 - 2/25/11 The Lucy Edwina Schlegel Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
schlegel	The Lucy Edwina Schlegel Fu	5	\$0.00	\$14,333.00	\$0.00	\$0.00	\$14,333.00
5 Gift(s) listed							
1 Donor(s) listed							
	and Totals:		\$0.00	\$14,333.00	\$0.00	\$0.00	\$14,333.00

B2

The Lucy Edwina Schlegel Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
1st Congregational Church 222 W Main St Waterbury, CT 06702-1286 203-757-0331	3/21/2003	The Lucy Edwina Sch	\$2,500.00	\$0.00	
	9/22/2005	The Lucy Edwina Sch	\$1,995.00	\$0.00	to purchase Lifepak CR Plus Defibrillator
	12/31/2006	The Lucy Edwina Sch	\$2,738.00	\$0.00	to create a comfortable & welcoming environment for a
	2/27/2008	The Lucy Edwina Sch	\$5,600.00	\$0.00	to be used toward "Minimal Lift Policy" purchase of Ma
	9/24/2010	The Lucy Edwina Sch	\$1,500.00	\$0.00	for one Hill-Rom Sleep chair
		Grand Totals:	\$14,333.00	\$0.00	

5 Gift(s) listed

1 Donor(s) listed

THE FIRST CONGREGATIONAL CHURCH OF
WATERBURY

B2

LUCY EDWINA SCHLEGEL FUND

222 West Main St, Waterbury, CT 06722-1286

Phone 203-757-0331 Fax 203-757-0333

Email: fcchurch02@adelphia.net

X

December 18, 2006

Saint Mary's Hospital
Attention: Patricia Evans
56 Franklin Street
Waterbury, Ct 06706

Re: Grant Application Request

Dear Patricia:

We are pleased to enclose our check #1022 in the amount of \$2,738.00 for the your grant request from August 2006 and are happy to help you provide service to the members of our community.

Sincerely,

Gloria Cotner, Chairperson

/rla

#1022
12/18/06

\$2738.

**FIRST CONGREGATIONAL CHURCH of WATERBURY
LUCY EDWINA SCHLEGEL FUND**

Application for Funding

Date: June 21, 2006

Nature of Request: Grant X

Organization Name: Saint Mary's Hospital Inc.

Amount Requested: \$2,886

Address: 56 Franklin Street

Telephone No. : (203) 709-3270

Waterbury, CT 06706

President Name: Robert P. Ritz

Briefly describe purpose for which funds will be used: The mission of Saint Mary's Hospital is *to provide excellent health care services in a spiritually enriched environment* and as an inner city hospital serves a large multicultural population, which includes many low-income families who are uninsured, underinsured, or Medicaid recipients. The Women's and Infants Unit has 23 rooms and this year the hospital anticipates 1,000 births on this unit. The goal of the Women's and Infants Department is to provide high quality maternal and infant health care services in a safe and family centered environment. We are dedicated to making the child birthing experience as pleasant and stress free as possible. The environment we are creating is critically important for putting the mother, infant and family at ease and increasing patient comfort and satisfaction.

We are making a request for equipment, which will help Saint Mary's Hospital better meet the needs of new mothers and their families. Spouses and family members are encouraged to room in to provide support and to participate with the mother in the birthing experience. Currently we have reclining chairs for family members to sleep on when they room in. However, we would like to be able to provide a more comfortable way for spouses and relatives to sleep in during child birth. We are respectfully requesting funds for two Hill-Rom Sleep chairs (@\$1443/unit).

List specific objectives for the project: The objective of this project is to create a comfortable and welcoming environment for a diverse population of spouses and relatives wishing to room in and to be supportive during child birth. The ultimate goal of the project is to provide a safe, comfortable and less stressful birthing experience.

How will the project help the Community? Even though the birth of a child is a time of joy, it can also be stressful. For families who are more vulnerable because of high risk factors such as poverty, language and cultural barriers, single parent households, a birth can create anxiety. Providing a supportive family oriented environment can be a very real way to help make the birth of a child a more positive experience. A secure and family oriented environment will help relieve stress and anxiety during the birthing experience and will help provide healthier Greater Waterbury mothers and children.

How will this project be implemented to meet the objectives and satisfy the need? The sleep chairs will be ordered as soon as the funds are available and we would expect to have them in use in a few months. The Lucy Edwina Schlegel Fund provided two Hill-Rom sleep chairs for Saint Mary's Hospital's Pediatrics Department two years ago and they have held up very well and will be available for many more years of use. These first sleep chairs are very popular and are now shared with the Women's and Infants Department when possible. However, on many occasions these two sleep chairs are not sufficient to meet the needs of families in the Pediatrics and Women's and Infants units. Between the two chairs requested in this proposal for Women's

nd Infants Departments and the two granted to Pediatrics two years ago, a total of four Hill-Rom sleep chairs all provide the needed comfort to many families.

What funding sources are considering this project and for what amounts? Please identify sources and amounts already committed to this project. The financial position of the hospital has been difficult during the last years and there are no funds for purchases such as additional Hill-Rom sleep chairs. Since the Schlegel Fund is pledged to assist in filling the needs in Waterbury and has been generous to Saint Mary's Hospital in the past we are appealing to you. This project will enhance the birthing process for new mothers by providing them the opportunity to have the support of spouses and family members through out their hospital stay.

Describe your plans for future funding of this project: Because the Hill-Rom sleep chairs are made for heavy hospital use they have a multi-year life expectancy. The two that were funded in 2004 have many years of useable life.

BUDGET

Hill-Rom sleep chairs @ \$1,443 X 2=	\$2,884
--------------------------------------	---------

The list of Board of Directors members are attached.

L. E. SCHLEGEL FUND
1ST CONGREGATIONAL CHURCH WTBY INC.
222 W MAIN ST.
WATERBURY, CT 06702

51-309 518
111
9002685

No.

189

Date 9-13-05

Saint Mary's Hospital
One Thousand Nine Hundred and Ninety Five **DOLLARS** \$1995.00

Banknorth 178 Main Street
Connecticut New Britain, CT 06053

Billy Blotner
Co Member

Memo *Re: Bulletin*
⑆01⑆⑆103093⑆ 900268 5⑆ 0189

Security Features
Included.
Check on Back.

First Congregational Church of Waterbury

222 West Main Street

Waterbury, CT 06702-1286

Phone 203-757-0331 – Fax 203-757-0333

fcchurch02@adelphia.net

www.firstchurch-wtby.com

September 13, 2005

Phillip Candito PT
Director, Rehab & Occupational Health Services
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Phillip:

We are pleased to enclose our check as full payment for the recent grant, and are happy to help you provide service to the members of our community.

Sincerely,

THE SCHLEGEL FUND OF
THE FIRST CONGREGATIONAL CHURCH OF WATERBURY, INC.



Billy O. Cotner
Treasurer

#189
9/13/05
\$ 1995.-

**FIRST CONGREGATIONAL CHURCH of WATERBURY
LUCY EDWINA SCHLEGEL FUND**

Application for Funding

Date: July 7, 2005 Nature of Request: Grant X
Organization Name: Saint Mary's Hospital Incorp. Amount Requested: \$1,995
Address: 56 Franklin Street Telephone No. : (203) 709-3270
Waterbury, CT 06706 President Name: Robert P. Ritz

Briefly describe purpose for which funds will be used: The mission of Saint Mary's Hospital is "to provide excellent healthcare in a spiritually enriched environment." The hospital was founded in 1907 and is a not-for-profit 347-bed acute care teaching hospital affiliated with Yale University School of Medicine. Nearly 100 years after opening its doors the hospital continues to provide acute care and outpatient services to the residents of Waterbury.

To provide convenient and state-of-the-art outpatient healthcare to the City of Waterbury residents Saint Mary's Hospital has opened a new facility at 1312 West Main Street including a Sleep Lab, Outpatient Physical Therapy and Complementary Medicine. The West Main Center will also offer walk-in services, basic radiography, and a lab drawing station. With these healthcare services being offered off campus it may become necessary to provide emergency life-saving aid to patients, who are suffering cardiac arrest. With an automated external defibrillator (AED) available at the off site center help is available. The defibrillator will enable trained clinical personnel on the scene to give a lifesaving shock before transporting the patient to the main campus for advanced medical care. This can give a person suffering cardiac arrest another chance at life.

List specific objectives for the project: The objective of this project is to increase capacity to provide emergency life-saving assistance to outpatients, who may suffer cardiac arrest while being seen at Saint Mary's Hospital's non-acute care West Main Center.

How will the project help the Community? The ability to provide automated external defibrillation off site is an additional safety feature needed to protect outpatients being seen at the West Main Center. Since the health and well being of the population of Waterbury is extremely important to the community, this project will assist in the protection of community residents.

How will this project be implemented to meet the objectives and satisfy the need? The first step in implementation is to have the automated external defibrillator purchased and available. The next step is to have all appropriate clinical staff at the West Main Center trained in the use of the equipment and remain up to date in their training. This equipment will only be used during a cardiac arrest.

What funding sources are considering this project and for what amounts? Please identify sources and amounts already committed to this project. We do not have another source of funding for a defibrillator; however, the Medtronics Company is willing to give the hospital a \$200 discount off the list price of the

equipment. Since the Schlegel Fund is pledged to assisting in filling the needs in Waterbury and has been generous to Saint Mary's Hospital in the past we are appealing to you. This equipment provides important and lifesaving protection for City of Waterbury residents who are undergoing cardiac arrest.

Describe your plans for future funding of this project: The automated external defibrillator has a long life expectancy and at the time it needs to be replaced the hospital will apply to a foundation for another grant.

BUDGET

Model/Catalogue #	List Price	Discounted Price
80403-000024-LIFEPAK CR Plus Defibrillator Semiautomatic operation, AHA voice prompt: Check for breathing, Energy: 200-300-360j	\$2,195	\$1,995

Appeal Summary Report
Children's Health Ctr: EKG Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2000	Corporations 2000	1	\$0.00	\$0.00	\$0.00	\$1,000.00	\$1,000.00
and Totals:			\$0.00	\$0.00	\$0.00	\$1,000.00	\$1,000.00

1 Gift(s) listed

1 Donor(s) listed

Appeal Detail Report Children's Health Ctr. EKG

Constituent Name	Date	Appeal ID	edge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Reference
Connecticut Health Lawyers Assoc.,	4/26/2000	cor2000	\$0.00	\$0.00	\$0.00	\$1,000.00	to purchase a machine to do c
		nd Totals:	\$0.00	\$0.00	\$0.00	\$1,000.00	

1 Gift(s) listed

1 Donor(s) listed

Fund L~~o~~g Report CHC Medical Home Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Hezekiah Bearsley CT Chapter of A 104 Hungerford St Fl2 Hartford, CT 06106-4626 (860) 525-9738	4/26/2004 7/9/2004	CHC Medical Home CHC Medical Home	\$0.00 \$0.00	\$3,000.00 \$3,000.00	
Connecticut Children's Medical Ce 282 Washington St Hartford, CT 06106-3322 www.ccmkids.org	8/26/2004	CHC Medical Home	\$0.00	\$12,000.00	for services rendered
Connecticut Community Foundatio 43 Field St Waterbury, CT 06702-1906 (203) 753-1315	2/28/2010	CHC Medical Home	\$0.00	\$5,000.00	to help children and families with special health care nee
John H. & Ethel G. Noble Charitab unforwardable Greenwich, CT 06830-5551	12/31/2005 10/13/2006 10/26/2007	CHC Medical Home CHC Medical Home CHC Medical Home	\$0.00 \$0.00 \$0.00	\$50,000.00 \$50,000.00 \$50,000.00	to provide CYSHCN with coordinated ongoing compreh to fund the CHC Medical Home model
	10/16/2008 11/8/2010	CHC Medical Home CHC Medical Home	\$0.00 \$0.00	\$50,000.00 \$30,000.00	to fund the CHC Medical Home model
Grand Totals:			\$0.00	\$253,000.00	

9 Gift(s) listed

4 Donor(s) listed

Fund Summary Report 1/1/87 - 2/25/11
CHC Medical Home Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
chcmedhome	CHC Medical Home	9	\$0.00	\$0.00	\$253,000.00	\$0.00	\$253,000.00
		and Totals:	\$0.00	\$0.00	\$253,000.00	\$0.00	\$253,000.00

9 Gift(s) listed
4 Donor(s) listed

B4

226466

October 27, 2010

JOHN H & ETHEL G NOBLE CHARITABLE TRUST

PAYMENT OF: \$30,000.00

PAID: SAINT MARY'S HOSPITAL FOUNDATION, INC

CHECK NO. 0005084686

DISCRETIONARY PAYMENT

SAINT MARY'S HOSPITAL FOUNDATION, INC

Deutsche Bank



VFA
0005084686
\$ 30,000
10/27/10



Director
Tel 212 454-2385
Fax 212 454-2692
rosemary.mccollum@db.com

October 28, 2010

#226466 John H. & Ethel G. Noble Charitable Trust

Attn: Pat Evans
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Deutsche Bank Trust Company, N.A.
280 Park Avenue - 7 West
New York, NY 10017

Dear Pat Evens:

I am pleased to inform you that Deutsche Bank Trust Company, N.A., acting as Trustee of the John H. and Ethel G. Noble Charitable Trust, has approved a grant in the amount of \$30,000. A check made payable to your organization has been enclosed. This grant resulted from a favorable review of your current program. It should not, however, be viewed as implying future funding, as it is our policy to evaluate each request on its own merits without regard to prior support of an organization. This is done in order to ensure fairness to all applicants. As a rule, the Noble Trust will not provide funding to any individual organization for more than three successive years. I am enclosing a set of the guidelines for the payment of funds for next year. Please note the new grant deadline of June 15, 2011 and direct all future inquiries to:

Ms. Alessandra DiGiusto, Chief Administrative Officer
Deutsche Bank AG New York
Deutsche Bank Americas Foundation
60 Wall Street
NYC60-2112
New York, NY 10005-2858
Tel 212 250 0538

All grant recipients are responsible for submitting a year-end report. Enclosed please find a copy of the report form. Please return it to Ms. DiGiusto by **June 15, 2011**. In addition, if there will be a press release regarding the grant now or in the future, we would like to review the draft prior to its release. Again, please contact Ms. DiGiusto. Should you have any questions or comments, please let us know.

Sincerely,

A handwritten signature in cursive script that reads "Rosemary M. Collum".

Encl.

cc: Alessandra DiGiusto

June 2, 2010

Alessandra DiGuisto
Chief Administrative Officer
Deutsche Bank Americas Foundation
60 Wall Street, NYC60-2110
New York, NY 10005

Dear Ms. DiGuisto:

Saint Mary's Hospital Incorporated in affiliation with the Franklin Medical Group, P.C., operates the Children's Health Center (CHC), the Medical Home program site. The primary contact for this proposal is: Patricia A. Evans (telephone 203-709-3270) at Saint Mary's Hospital, 56 Franklin Street, Waterbury, CT 06706.

The immediate goal of the CHC Medical Home program is to assist with pediatric patient's special needs by making communication, care coordination and linkages with needed specialty health care professionals, identifying community resources to ensure appropriate care, and helping parents to navigate the medical system as they obtain necessary resources for their children. The program strives to enable parents and children to manage their own treatment and also to learn how to effectively access available community resources. The long range goal of a successful medical home model is to provide children and youth with special health care needs (CYSHCN) and their families the services and skills needed to make a smooth transition into independent adulthood.

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with the Yale University and the University of Connecticut Schools of Medicine, and Connecticut Children's Medical Center. In 2009 the hospital celebrated its 100th anniversary and continues to play a vital role in the Greater Waterbury area. The mission of Saint Mary's Hospital is *to provide excellent healthcare services in a spiritually enriched environment to improve the health of the community.*

Since 1930 the hospital has offered quality outpatient services and the CHC is the realization of Saint Mary's pledge to provide quality health care to all in need and acts as a safety net for children and youth whose families cannot afford private health insurance. The CHC, the site of the Medical Home program, provides outpatient services to uninsured and underinsured children in our community. The majority of 18,500 pediatric patients served at the center, many of whom are participants in the Medical Home program and 27% of whom are CYSHCH, are Waterbury residents and are Medicaid or self pay patients.

The CT Department of Public Health has extended its funding for the care of CYSHCN through June 30, 2011 and this enables the CHC to continue the Medical Home program

Reflecting the current economic conditions the funding is flat through 2011 and will make it difficult to provide the same level of services as in the past. To ensure high quality pediatric primary care for children with chronic diseases, physical handicaps, emotional, psychological and behavioral disorders we respectfully submit this proposal to the John H. and Ethel G. Noble Charitable Trust and request \$50,000 for 2010-2011 to supplement CYSHCH and the Medical Home model.

This \$50,000 requested will fund a small portion of the weekly salary for Dr. M. Alex Geertsma, Medical Home representative to the Managed Care Council, to investigate future funding paths for care coordination; for Dr. Sandra Carbonari, North West Regional Medical Home Champion and American Academy of Pediatrics liaison, for the Medical Home Learning Cooperative; and for Nancy Wehry, Parent Partner and Advocate. Salary support of 12 hours weekly is requested for Eileen Rondeau, RN care coordinator for the Regional Center, in order to continue to meet the needs of additional children and families. In addition, Noble funds are requested to be used for a \$25 monthly stipend per family to help cover the cost of gasoline, babysitting or tuition if families are attending a support group meeting or a conference related to their child's diagnosis(es) and support of a Diaper Bank to assist parents who must supply diapers for their older children.

The CHC values its past partnership with the Noble Charitable Trust and hopes to work with you in the future. The support of the Noble Trust has assisted Saint Mary's Hospital to emerge as a leader in providing high quality medical care to CYSHCN, to realize significant growth in the number of children it serves and to expand our innovative model to practices in the Greater Waterbury area.

Sincerely,

Chad W. Wable, FACHE
President & CEO

BUDGET
2010-2011

SALARIES

Dr. Geertsma	52 wks x 2 hrs x \$75	\$ 7,800
Dr. Carbonari	52 wks x 3 hrs x \$75	11,700
Eileen Rondeau, RN	52 wks x12 hrs X \$38	23,700
Nancy Werthy	52 wks X 1 hr X \$26	1,350

OTHER ACTIVITIES

Parent Stipends	\$25 x 150 stipends	3,000
Diaper Bank		<u>2,450</u>

TOTAL REQUEST **\$50,000**

CONNECTICUT COMMUNITY FOUNDATION

WEBSTER BANK
WATERBURY, CT 06702
51-7010-2111

8217

PAY
TO THE
ORDER OF

DATE

AMOUNT

01/19/2010

\$*****5,000.00

* Five Thousand and no/100 *

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

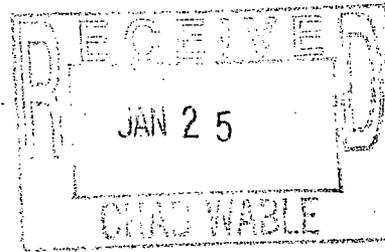
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AUTHORIZED SIGNATURE

⑈008217⑈ ⑆211170101⑆ 000917774⑈



CONNECTICUT
COMMUNITY FOUNDATION



43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@connct.org
www.connct.org

January 21, 2010

Mr. Chad W. Wable
President & CEO
Saint Mary's Hospital
Northwest Medical Home Initiative/Children's Health Center
56 Franklin Street
Waterbury, CT 06706

Trustees

Peter J. Jacoby, M.D.
President

Richard E. Lau, D.V.M.
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Christine Reardon
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Patricia H. Mayfield

John T. McCarthy

Wayne P. McCormack

John Millington

Jeanne Paparazzo

Molly A. Parker

Frank J. Scinto

A.J. Wasserstein

Ingrid Manning
CEO

Dear Mr. Wable:

At the recommendation of the donors of the Gay H. Jackson Fund, the Board of Trustees of the Connecticut Community Foundation has approved a grant in the amount of \$5,000.00 to your organization for the Northwest Medical Home Initiative at St. Mary's Hospital to help children and families with special health care needs.

Please identify this grant in any listing of publication of donors in the following way:

Gay H. Jackson Fund

We encourage you to express your appreciation to the donor(s) who recommended this grant by sending an acknowledgement to them in care of the Connecticut Community Foundation which we will forward along to them.

As a reminder, the donor(s) received a federal charitable tax deduction at the time that the gift was made to the Connecticut Community Foundation. This grant does not represent a tax-deductible gift to the donor and should not be acknowledged as such. The full amount of this grant must qualify for an income tax charitable deduction. The donor, advisor or any family member of the donor or advisor recommending this grant may not receive any benefits (other than token benefits as defined by the IRS) or other services, such as membership, dinner, tickets, etc.

The canceled check is our receipt. It is not necessary for you to mail an acknowledgement to the Connecticut Community Foundation for this grant. **In addition, please DO NOT send appeal letters or marketing material to the Foundation office.**

If you have any questions regarding this grant please contact me at 203-753-1315.
All the best for your organization's continued success.

Sincerely,



Marie McFadden
Donor Relationship Officer

MM/do

✓ # 8217
\$ 5,000-
1/19/10



Renee - See B4
budget +

**Saint Mary's
HOSPITAL**

Medical Home

June 12, 2008

Alessandra DiGuisto
Chief Administrative Officer
Deutsche Bank AG New York
Deutsche Bank Americas Foundation
60 Wall Street, NYC60-2110
New York, NY 10005

Dear Ms. DiGuisto:

Saint Mary's Hospital Corporation and the Franklin Medical Group, P.C., which operates the Children's Health Center (CHC), respectfully submit this proposal to the John H. and Ethel G. Noble Charitable Trust. The Franklin Medical Group is an affiliate of Saint Mary's Hospital Corporation. The primary contact for this proposal is: Patricia A. Evans, Saint Mary's Hospital, 56 Franklin Street Waterbury, CT 06706.

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with Yale University and the University of Connecticut Schools of Medicine. The hospital has played a vital role in the history of Greater Waterbury, providing nearly a century of service to the people of the Central Naugatuck Valley. Founded in 1909 the hospital has been a beacon of healing and hope for nearly 100 years with a mission to *provide excellent healthcare services in a spiritually enriched environment.*

Saint Mary's Hospital has offered quality outpatient services since 1930. The CHC provides outpatient services to uninsured and underinsured children and their families of our community. The center is a direct fulfillment of Saint Mary's pledge to provide quality health care to all in need and acts as a safety net for those who cannot afford private health insurance. The majority of pediatric patients served at the center, many of whom are participants in the Medical Home program, are Waterbury residents and are Medicaid or self pay patients.

Since July of 2005, the CHC in partnership with the CT Department of Public Health has participated in the care of children and youth with special health care needs (CYSHCN). With grants from the Noble Trust and further grants from the CT Department of Public Health, the center has successfully expanded the "Medical Home" model, which assures high quality pediatric primary care for children with chronic debilitating diseases, significant physical handicaps, emotional, psychological and behavioral disorders. In addition to the CHC, two medical practices in Waterbury and one in Torrington, CT and staff in the Falls Village/Danbury/Sharon area are now participating in the ~~Medical Home~~ **Medical Home** model. The CHC is the only "medical home" provider in CT to have helped spread medical home care coordination beyond its own practice site.

The CHC endorses the CYSHCN model to empower parents and children to manage their own treatment and also to learn how to effectively access available community resources. The program assists with patient's special needs by making communication, Franklin Street
Waterbury, Connecticut 06706
(203) 709-6000

An Affiliated Hospital of Yale University School of Medicine

The CHC endorses the CYSHCN model to empower parents and children to manage their own treatment and also to learn how to effectively access available community resources. The program assists with patient's special needs by making communication, care coordination and linkages with needed specialty health care professionals, identifying community resources to ensure appropriate care, and helping parents to navigate the medical system as they obtain necessary resources for their children. The ultimate goal of the model is to provide CYSHCN and their families with the services and skills needed to make a successful transition into independent adulthood. The CHC sees over 18,500 visits a year and 27% of its patients are CYSHCH with a majority Medicaid or self-pay patients.

Saint Mary's Hospital on behalf of the CHC respectfully requests a \$50,000 grant from the Noble Trust to pay for 2 hours weekly salary for Dr. M. Alex Geertsma, Medical Home representative to the Managed Care Council, to investigate future funding paths for care coordination and for 3 hours weekly salary for Dr. Sandra Carbonari, North West Regional Medical Home Champion and American Academy of Pediatrics liaison, for the Medical Home Learning Cooperative. Salary support is requested for another part-time RN care coordinator for the Regional Center in order to continue to meet the needs of additional children and families. Requests for assistance from parents learning about the Medical Home model through either word-of-mouth, formal presentations or public service announcements have increased dramatically.

In addition, Noble funds are requested to be used for a \$25 monthly stipend per family to help cover the cost of gasoline, babysitting or tuition if families are attending a support group meeting or a conference related to their child's diagnosis(es). Other items include bring a Diaper Bank to Northwest CT. Parents report the weekly cost of diapers for their older children can be as much as \$50. Families who do not qualify for Medicaid pay for these diapers out-of-pocket which can be a challenge for many families.

The CHC has been privileged to partner with the Noble Charitable Trust in the past few years and hopes to work with you in the future. Through the collaboration Saint Mary's Hospital has emerged as a leader in providing high quality medical care to CYSHCN, has seen significant growth in the number of children it serves and has been able to expand this innovative model to practices in the Greater Waterbury, Torrington and place staff in the Falls village/Danbury/Sharon areas.

Sincerely,

Patricia A. Evans
Grant Manager

BUDGET
2008-2009

SALARIES

Dr. Geertsma	52 wks x 2 hrs x \$75	\$ 7,800
Dr. Carbonari	52 wks x 3 hrs x \$75	11,700
RN (tbd)	52 wks x12 hrs X \$38	23,700

OTHER ACTIVITIES

Parent Stipends	\$25 x 150 stipends	3,750
Diaper Bank		<u>3,050</u>

TOTAL REQUEST **\$50,000**

JOHN H & ETHEL G NOBLE CHARITABLE TRUST

PAYMENT OF: \$50,000.00

PAID: SAINT MARY'S HOSPITAL FDTN, INC.

CHECK NO. 0005025282

DISCRETIONARY PAYMENT

PER DISCRETIONARY MEMO DTD 8/22/06

SAINT MARY'S HOSPITAL FDTN, INC.

Deutsche Bank



June 1, 2006

Alessandra DiGuisto
Chief Administrative Officer
Deutsche Bank AG New York
Deutsche Bank Americas Foundation
60 Wall Street, NYC60-2110
New York, NY 10005

Dear Ms. DiGuisto:

Saint Mary's Hospital Corporation is pleased to submit this letter of intent on behalf of the Franklin Medical Group, P.C., which operates the Children's Health Center. The Franklin Medical Group is an affiliate of Saint Mary's Hospital Corporation. The primary contact for this proposal is: Patricia A. Evans, Saint Mary's Hospital, 56 Franklin Street Waterbury, CT 06706.

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with Yale University School of Medicine. The hospital has played a vital role in the history of Greater Waterbury, providing nearly a century of service to the people of the Central Naugatuck Valley. Founded in 1907 the hospital has been a beacon of healing and hope for nearly 100 years with a mission to *provide excellent healthcare services in a spiritually enriched environment.*

The CHC has been recognized by the Connecticut Legislature, state child advocacy groups, and national quality organizations such as the National Initiative for Child Healthcare Quality, as a leader and model practice in the care of children and youth with special health care needs (CYSHCN). CYSHCN are children with chronic debilitating diseases, and significantly handicapping physical and mental disorders. This special population of children has become a national focus of healthcare quality improvement, specifically via the process of primary care pediatric practice based care coordination. This process is embodied in a model of care termed the comprehensive "Medical Home" which the CHC has embraced and thoroughly incorporated into the basic fabric of its care to Waterbury area children.

The Children's Health Center Medical Home/CYSHCN model is designed to empower parents and children to manage their own treatment and also to learn how to effectively access available community resources. The program assists with patient's special needs by making communication and care coordination the linkages with needed specialty health care professionals, identifying community resources to ensure appropriate care, and helping parents to navigate the medical system as they obtain necessary resources for their children. The ultimate goal of the model is to provide CYSHCN and their families with the services and skills needed to make a successful transition into independent adulthood.

In July of 2005, the CHC was awarded a contract by the Connecticut State Department of Public Health to be the Connecticut Northwest Region Medical Home Support Center (RMHSC) for CYSHCN. In recognition of its state leadership role, the CHC was given the responsibility of assuring high quality primary care pediatric practice based care coordination to the 1,700 most severely affected CYSHCN in the state's Northwest region. Furthermore, as a RMHSC, the CHC has the responsibility of spreading the comprehensive Medical Home model for CYSHCN to other willing primary care practices in the region.

Even though the Department of Public Health has provided grant funding for the CYSHCN program, these funds must cover all of the care coordination needs of the 1,700 most severely affected children in the region in addition to spreading the model to practices within and outside of the Greater Waterbury area. Most of the handicapped pediatric patients served through the RMHSC who are located at the Children's Health Center are Medicaid recipients and state reimbursement to provide their medical services does not compensate for any of the extra time, effort, extensive communication, and coordination of services needed to maintain the model. Moreover, healthcare benefit programs, even commercial insurance, will not reimburse the cost of providing efficient and highly effective practice based care coordination services.

Because of combined insurance and grant short-falls in funding the ambitious goals of both care coordination and model roll out, the CHC faces a shortage of \$50,000 to \$100,000. This is an especially critical year to fully fund the CHC Medical Home model, the CHC RMHSC, and the development of the model, because the State of Connecticut will be formally studying and reviewing the health outcomes from the Medical Home and RMHSC models to determine future funding and to justify long term insurance reimbursement for care coordination for CYSHCN, presently not provided in Connecticut.

We thank the Noble Charitable Trust for its past support and respectfully request your fullest consideration of a \$50,000 grant to partner with the CHC and the Department of Public Health to sustain the Medical Home model in the Greater Waterbury area and the Northwest Region, introduce this innovative model to practices in the Danbury and Torrington areas, and make the case for long-term sustained insurance coverage.

Please review this request and the opportunity for the Noble Charitable Trust to partner with Saint Mary's Hospital's Children's Health Center in providing this very important healthcare service to children with special needs and their families who need us the most. Based on your historic support assisting Saint Mary's Hospital, we welcome the opportunity to expand this positive outreach to children suffering from chronic disease and disability.

Sincerely,

Robert P. Ritz, CHE
President and Chief Executive Officer



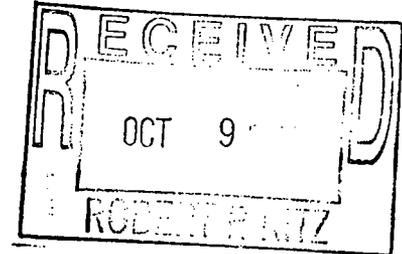
Rosemary McCollum
Vice President
Tel 877-250-9622 (toll free)
Tel 212 454-2385 (direct dial)
Fax 212 454-2692
rosemary.mccollum@db.com

Deutsche Bank Trust Company, N.A.
280 Park Avenue - 7th floor
New York, NY 10017

October 3, 2006

#226466 John H. & Ethel G. Noble Charitable Trust

Mr. Robert P. Ritz.
Saint Mary's Hospital Foundation, Inc
56 Franklin Street
Waterbury, CT 06706



Dear Mr. Ritz:

I am pleased to inform you that Deutsche Bank Trust Company, N.A., acting as Trustee of the John H. and Ethel G. Noble Charitable Trust, has approved a grant in the amount of \$50,000 in support of your Medical home moder in the Greater Waterbury area and Northwest Region. Enclosed is a check made payable to your organization. This grant resulted from a favorable review of your current program. It should not, however, be viewed as implying future funding, as it is our policy to evaluate each request on its own merits without regard to prior support of an organization. This is done in order to ensure fairness to all applicants. I am also enclosing a set of the guidelines for the payment of funds for next year. Please note the new grant deadline of **June 15, 2007** and direct all future inquiries to:

Ms. Alessandra DiGiusto, Chief Administrative Officer
Deutsche Bank AG New York
Deutsche Bank Americas Foundation
60 Wall Street
NYC60-2110
New York, NY 10065-2858
Tel 212 250 0538

All grant recipients are responsible for submitting a year-end report. Enclosed please find a copy of the report form. Please return it to Ms. DiGiusto by **June 15, 2007**. In addition, if there will be a press release regarding the grant now or in the future, we would like to review the draft prior to its release. Again, please contact Ms. DiGiusto. Should you have any questions or comments, please let us know.

Sincerely,

Encl.

CHC Touchpoints 1/1/1987 - 3/25/11

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
chctouchpoints	CHC Touchpoints	28	\$0.00	\$5,500.00	\$26,050.00	\$0.00	\$31,550.00
		and Totals:	\$0.00	\$5,500.00	\$26,050.00	\$0.00	\$31,550.00

28 Gift(s) listed

14 Donor(s) listed

Appeal Summary Report CHC Touchpoints Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2003	Corporations 2003	1	\$0.00	\$0.00	\$3,300.00	\$0.00	\$3,300.00
cor2004	Corporations 2004	8	\$0.00	\$0.00	\$4,250.00	\$0.00	\$4,250.00
cor2005	Corporations 2005	2	\$0.00	\$0.00	\$1,775.00	\$0.00	\$1,775.00
grant	Grant	3	\$0.00	\$5,500.00	\$10,000.00	\$0.00	\$15,500.00
ind2003	Individuals 2003	2	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
ind2004	Individuals 2004	2	\$0.00	\$0.00	\$575.00	\$0.00	\$575.00
ind2005	Unsolicited 2005	1	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
maj2002	Major Gifts 2002	1	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
phys2004	Phys. Annual Appeal 2004	1	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
phys2005	Phys. Annual Appeal 2005	1	\$0.00	\$0.00	\$150.00	\$0.00	\$150.00
phys2006	Phys. Annual Appeal 2006	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
phys2007	Phys. Annual Appeal 2007	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
phys2008	Phys. Annual Appeal 2008	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
phys2009	Phys. Annual Appeal 2009	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
phys2010	Phys. Annual Appeal 2010	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
phys2011	Phys. Annual Appeal 2011	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
and Totals:			\$0.00	\$5,500.00	\$26,050.00	\$0.00	\$31,550.00

28 Gift(s) listed

14 Donor(s) listed

Fund [REDACTED] Report

CHC Touchpoints Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Anonymous	12/27/2002	CHC Touchpoints	\$0.00	\$1,000.00	anonymous
	12/29/2003	CHC Touchpoints	\$0.00	\$750.00	
	12/29/2004	CHC Touchpoints	\$0.00	\$750.00	
	5/31/2005	CHC Touchpoints	\$0.00	\$150.00	
	12/31/2007	CHC Touchpoints	\$0.00	\$500.00	
	12/30/2010	CHC Touchpoints	\$0.00	\$500.00	
Birth Partners, LLC 180 Church St Ste 7 Naugatuck, CT 06770-4144 203-729-9963	10/22/2003	CHC Touchpoints	\$0.00	\$125.00	
Bridges School Old Greenwich Civic Center Harding Road Old Greenwich, CT 06870	9/17/2004	CHC Touchpoints	\$0.00	\$1,100.00	
C.A.E.Y.C. N.E.A.E.Y.C. Conferen 170 Grandview Ave Waterbury, CT 06708-2525 (203) 759-3666	5/12/2003	CHC Touchpoints	\$0.00	\$3,300.00	
The City of New Haven PO Box 1947 New Haven, CT 06509-1947	9/29/2004	CHC Touchpoints	\$0.00	\$1,100.00	training
Connecticut Children's Medical Ce 282 Washington St Hartford, CT 06106-3322 www.ccmckids.org	9/17/2004	CHC Touchpoints	\$0.00	\$550.00	
Connecticut Community Foundatio 43 Field St Waterbury, CT 06702-1906 (203) 753-1315	2/9/2004	CHC Touchpoints	\$2,750.00	\$0.00	1/2 pledge payment
	7/30/2004	CHC Touchpoints	\$2,750.00	\$0.00	
Mary L. Craig	9/29/2004	CHC Touchpoints	\$0.00	\$300.00	training
Daniel Drake	9/29/2004	CHC Touchpoints	\$0.00	\$275.00	training

Fund Council Report

CHC Touchpoints Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
M. Alex Geertsma	4/15/2003	CHC Touchpoints	\$0.00	\$200.00	honorarium for Neonatal Lecture
	12/31/2005	CHC Touchpoints	\$0.00	\$500.00	
	12/31/2006	CHC Touchpoints	\$0.00	\$500.00	for the use of Touchpoints staff at the Children's Health
	1/13/2009	CHC Touchpoints	\$0.00	\$500.00	
	12/31/2009	CHC Touchpoints	\$0.00	\$500.00	
Maximilian E. & Marion O. Hoffm 970 Farmington Ave Ste 203 West Hartford, CT 06107-2134 860-521-2949	12/31/2004	CHC Touchpoints	\$0.00	\$10,000.00	
Manchester Memorial Hospital 71 Haynes St Manchester, CT 06040-4188 860-646-1222	7/9/2004	CHC Touchpoints	\$0.00	\$275.00	registration
	8/10/2004	CHC Touchpoints	\$0.00	\$275.00	
	8/31/2004	CHC Touchpoints	\$0.00	\$550.00	
State of Connecticut	10/7/2004	CHC Touchpoints	\$0.00	\$275.00	
	1/19/2005	CHC Touchpoints	\$0.00	\$1,500.00	Touchpoints training - deposited by SMH in December,
United Way of Connecticut, Inc. 1344 Silas Deane Hwy Ste 306 Rocky Hill, CT 06067-1350 (860) 571-7500	7/9/2004	CHC Touchpoints	\$0.00	\$275.00	registration
Deborah Weinstein	9/19/2002	CHC Touchpoints	\$0.00	\$300.00	
Grand Totals:			\$5,500.00	\$26,050.00	
28 Gift(s) listed					
14 Donor(s) listed					

B5
X

MAXIMILIAN E. & MARION O. HOFFMAN FOUNDATION, INC.,
970 FARMINGTON AVENUE • SUITE 203 • WEST HARTFORD, CONNECTICUT,
06107.
(860) 521-2949 • FAX (860) 561-5082

MARION L. BARRAK
PRESIDENT

~~Touchpoints~~

December 15, 2004

Ms. Patricia A. Evans Grants Manager
St. Mary's Hospital Foundation, Inc.,
56 Franklin Street
Waterbury CT 06706

Grant No: Ref. 027/05

Dear Ms. Evans:

The Maximilian E. & Marion O. Hoffman Foundation, Inc. is pleased to inform you that its Board has approved a grant of ten thousand dollars (\$10,000.00) for St Mary's Hospital Foundation, Inc., Waterbury, Connecticut. for the purpose of providing funding for operations of St. Mary's Hospital Foundation's Touchpoints Well Child Care program. This grant was made in response to your grant request and subsequent correspondence.

All grant funds must be expended for charitable, scientific, literary or educational purposes. This grant was made only for the purposes stated in this letter, and the grant funds, as well as any interest earned thereon, may not be expended for any other purpose without the Foundation's prior approval in writing. It is understood that the funds will be used substantially in accordance with your request previously submitted to us. Any funds not expended or committed for the purposes of the grant must be returned to the Foundation.

The funds will be paid in one payment after the Foundation receives a countersigned copy of this letter.

St Mary's Hospital Foundation, Inc., Waterbury, Connecticut is to furnish a written report to the Foundation upon expenditure of all grant funds. As the period of this grant may exceed one year, interim reports are to be furnished at the close of each calendar year in addition to the final report. These reports should contain a narrative account of what was accomplished by the expenditure of the funds (including a description of progress made towards achieving the goals of the grant) and a financial accounting of the expenditures.

The Foundation may include information on this grant in its periodic public reports.

November 19, 2004

Marion L. Barrak, President
Maximilian E. & Marion O. Hoffman Foundation, Inc.
970 Farmington Avenue, Suite 203
West Hartford, Connecticut 06107

Dear Ms. Barrak:

Thank you for the approval of the a \$10,000 grant for the Saint Mary's Hospital Foundation's High Risk Infants Program, "New Beginnings." We are sorry to have to inform you that the program is no longer being offered by the hospital. This change was made October 1, 2004 and is based on Saint Mary's Hospital strategic plan and a consulting firm's recommendation that the hospital concentrate on programs that are conducted at hospital's outpatient centers such as the Children's Health Center and no longer do outreach to individual homes. The Children's Health Center currently has a demonstration project, Touchpoints Well Child Care, that serves the same population with very similar goals and is based at its pediatric outpatient offices at the hospital. We feel this project is also worthy of the Hoffman Foundation fund and I have prepared a table to give you an overview of the two programs.

Program Elements	Touchpoint's Well Child Visits	High Risk Infants Program "New Beginnings"
Population Served	first time, high risk, teen mothers and their newborn infants	new born infants and families where there is concern about possible child abuse
Numbers Served	8-10 parents (father's participation highly encouraged) and infants for one & one-hour sessions over a six month period	10-12 families for three month period
Setting	Saint Mary's Hospital's Children's Health Center	Families homes
Goal	Teach parenting skills and assist in preventing problems by helping parents understand the development of their infants	Assist with parenting problems before outside intervention is necessary.
Outcomes	Improved parenting skills and safe and healthy family environment	Improved parenting skills and infant able to remain in the home
Other Features	Because of group setting able to facilitate relationship building and bonding among the parent participants	

The Touchpoints Well Child Visit demonstration project is currently being funded as a pilot project by the Connecticut Community Foundation (the former Waterbury Foundation) and the George A. and Grace L. Long Foundation. This funding was provided for a six-month period and will be ending on December 31, 2004. If we were allowed to use the \$10,000 from the Maximilian E. & Marion O. Hoffman Foundation, Inc. this would enable Saint Mary's Hospital's Children's Health Center to continue this demonstration project through December 31, 2005. Since this is a demonstration project we have also been in contact with the Jessie B. Cox Foundation about funding the project and they have requested that we have more experience with the program before they would consider funding. An added year of funding from the Hoffman Foundation would position the hospital to have this recorded experience with the project.

The hospital has worked closely with Carol P. O'Donnell, Director of Grant & Community Services at the Connecticut Community Foundation, on the Touchpoints Well Child Visit demonstration project and other programs, and she would be happy to talk with you regarding the project. She can be reached by telephone at (203) 753-1315 or by e-mail at codonnell@conncf.org. This project is support by T. Berry Brazelton, MD, the renowned pediatrician and founder of the Touchpoints, and is directed at Saint Mary's Hospital's Children's Health Center by M. Alex Geertsma, MD, who is a colleague of Dr. Brazelton. We would greatly appreciate your support of this project and feel that the families in our community will be well served.

Sincerely,

Patricia A. Evans
Grant Manager
Saint Mary's Hospital Foundation

Fund Summary Report CHC/FHC Operating Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
chcfhc	Children's Health Ctr./Family I	39	\$0.00	\$119,125.00	\$110,769.00	\$5,452.75	\$235,346.75
			\$0.00	\$119,125.00	\$110,769.00	\$5,452.75	\$235,346.75

and Totals:

39 Gift(s) listed

23 Donor(s) listed

Fund Trail Report CHC/FHC Operating Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Bob's Discount Furniture Charitable 428 Tolland Tpke Manchester, CT 06042-1765 860-645-3200	10/16/2003	Children's Health Ctr.	\$0.00	\$200.00	
Connecticut Health and Educational 10 Columbus Blvd Ste 13 Hartford, CT 06106-1976 (860) 550-6900	3/31/2004	Children's Health Ctr.	\$93,000.00	\$0.00	to be used specifically for salaries; 50% CHC, 50% FH
The Daphne Seybolt Culpeper Mem PO Box 206 Norwalk, CT 06852-0206 203-762-3984	5/16/2002	Children's Health Ctr.	\$0.00	\$1,000.00	
Ann B. D'Agostino	6/30/2004	Children's Health Ctr.	\$0.00	\$25.00	
Dorothy B. Darrah	6/30/2004	Children's Health Ctr.	\$0.00	\$50.00	
Joseph Gambini	2/28/2005	Children's Health Ctr.	\$0.00	\$0.00	2 Hallmark bears
Joanne D. Grace	12/18/2006	Children's Health Ctr.	\$0.00	\$30.00	
Hasbro, Inc. 1027 Newport Ave Pawtucket, RI 02861-2500 (401) 725-8697 ~	1/26/2004	Children's Health Ctr.	\$0.00	\$0.00	assorted toys and games
Maximilian E. & Marion O. Hoffm 970 Farmington Ave Ste 203 West Hartford, CT 06107-2134 860-521-2949	11/29/2002	Children's Health Ctr.	\$10,000.00	\$0.00	
Elisha Leavenworth Foundation 35 Park Place Waterbury, CT 06702 (203) 756-4639	12/31/2003	Children's Health Ctr.	\$0.00	\$5,000.00	
	12/31/2004	Children's Health Ctr.	\$0.00	\$10,000.00	to purchase a new colposcope for CAIT program
	12/31/2006	Children's Health Ctr.	\$0.00	\$10,000.00	
	1/31/2008	Children's Health Ctr.	\$0.00	\$17,300.00	support for the CHC
	1/13/2009	Children's Health Ctr.	\$0.00	\$7,500.00	for PEDS (Behavioral Health Screening) Program
	12/31/2009	Children's Health Ctr.	\$0.00	\$6,000.00	for the Children's Health Center Pediatric Program

Fund Pledge Report CHC/FHC Operating Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
The Leever Foundation, Inc. 81 W Main St Waterbury, CT 06702-2006 (203) 757-9640	12/31/2006	Children's Health Ctr.	\$7,500.00	\$0.00	for acquisition of equipment for the Children's Health Ct
	4/30/2007	Children's Health Ctr.	\$0.00	\$7,500.00	to provide equipment and pediatric supplies to the Child
	10/16/2008	Children's Health Ctr.	\$0.00	\$8,625.00	for the acquisition of equipment for the Family Health C
	12/29/2009	Children's Health Ctr.	\$8,625.00	\$0.00	for the new Pediatric Exam room to provide greater acce
Richard S. Lewis	12/18/2006	Children's Health Ctr.	\$0.00	\$25.00	
The George A. and Grace L. Long F c/o: Fleet Mail Stop: CT EH 40222B 777 Main Street Hartford, CT 06115 860-986-7845	6/9/2004	Children's Health Ctr.	\$0.00	\$1,500.00	to support purchase of supplies for Group Well Child Ca
	12/18/2006	Children's Health Ctr.	\$0.00	\$1,450.00	to purchase two wheelchairs to transport patients to trea
John H. & Ethel G. Noble Charitab unforwardable Greenwich, CT 06830-5551	12/29/2004	Children's Health Ctr.	\$0.00	\$20,000.00	
Nora T. O'Toole	11/19/2007	Children's Health Ctr.	\$0.00	\$1,500.00	for XMAS goodie bags for the children
	1/13/2009	Children's Health Ctr.	\$0.00	\$500.00	for Valentine's Day for the children
	11/16/2009	Children's Health Ctr.	\$0.00	\$500.00	to be used for the Children's Health Center at the descret
	5/27/2010	Children's Health Ctr.	\$0.00	\$500.00	at the descretion of Chris Cunningham
	8/24/2010	Children's Health Ctr.	\$0.00	\$500.00	to purchase gift cards for kid's school clothes
Sarah Paolino	3/26/2003	Children's Health Ctr.	\$0.00	\$0.00	children's clothes
Georgia Sheron Photography PO Box 13 Oakville, CT 06779-0013 (860) 274-7823	4/8/2011	Children's Health Ctr.	\$0.00	\$0.00	22 photographic framed art works
Siemon Realty Company 27 Siemon Company Dr Ste 317W Watertown, CT 06795-2654 860-945-4205	7/30/2004	Children's Health Ctr.	\$0.00	\$1,000.00	Neighborhood Assistance Act
	10/20/2004	Children's Health Ctr.	\$0.00	\$1,000.00	Neighborhood Assistance Act
St. Mary's School of Nursing Alumn					

Fund Council Report CHC/FHC Operating Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
56 Franklin St Waterbury, CT 06706-1253 (203) 709-6068	12/22/2009	Children's Health Ctr.	\$0.00	\$1,000.00	designated for Children & Families Clinic
Maria A. Thomas	11/28/2006	Children's Health Ctr.	\$0.00	\$50.00	purchased tables from the Children's Health Center
Brigitte Tschepe	3/13/2003	Children's Health Ctr.	\$0.00	\$0.00	Guardian wheelchair
Watertown Foundation, Inc. PO Box 117 Watertown, CT 06795-0117 860-274-4299	10/30/2006	Children's Health Ctr.	\$0.00	\$1,750.00	towards the purchase of an EKG for the Family Health C
Linford & Mildred White Foundati 777 Main St Hartford, CT 06115-2303 (860) 256-2131	8/30/2005	Children's Health Ctr.	\$0.00	\$2,000.00	for the purpose of general basic needs
	9/19/2006	Children's Health Ctr.	\$0.00	\$2,010.00	to purchase state of the art equipment for the new combi
	9/28/2007	Children's Health Ctr.	\$0.00	\$2,254.00	to supplement the purchase of children's educational/dev
Grand Totals:			\$119,125.00	\$110,769.00	

39 Gift(s) listed

23 Donor(s) listed

~ The phone is marked as 'Do not contact'

✓
ELISHA LEAVENWORTH FOUNDATION
P.O. Box 1032
Middlebury, CT 06762

X CHC/FHC
operating
Fund
B6

December 31, 2009

Pat Evans
Grant Manager
Saint Mary's Hospital
Waterbury, CT 06705

✓ # 1022
12/31/09
\$6,000.00

✓ copy of
check
included

Dear Mrs. Evans,

On behalf of the board of directors of the Elisha Leavenworth Foundation I am pleased to report that Saint Mary's Hospital has been awarded a grant of \$6,000 for your Children's Health Center Pediatric Program. Enclosed please find a check in that amount.

The range for Elisha Leavenworth Foundation grant awards this year is \$1,000 to \$12,000. Since the Elisha Leavenworth Foundation received many more requests than we could fund, the award may not reflect your original request. The award does however demonstrate the interest and support we have for your application goals. We believe that your program will improve the lives of children and adolescents and we look forward to receiving a final report at the end of calendar year 2009.

Thank you for your continued interest in the Elisha Leavenworth Foundation.

Sincerely,


Margaret J. Lawlor
President

PL/lis

*ELISHA LEAVENWORTH FOUNDATION
35 PARK PLACE
WATERBURY, CONNECTICUT 06702*

Elisha Leavenworth Grant Application Format
Proposal Narrative

1. Summary: Describe the purpose of this request and who will benefit from the grant?
Elisha Leavenworth Grant Application 2010

The Children's Health Center (an affiliate of Saint Mary's Hospital) greatly appreciates past funding from the Elisha Leavenworth Foundation to assist in our mission of providing excellent pediatric healthcare and social work services to children in our community. In past years, the Foundation has been extremely helpful in advancing our work with sexually abused and developmentally disabled children. Our work in these areas continues.

As you know, our healthcare center has a long tradition in this community of serving children and families who are at great psychosocial and medical risk. Approximately 30% of our patients experience abuse and neglect, school and behavioral problems, attention difficulties, developmental delays, parental mental health and substance abuse problems, foster care placement, and domestic violence. Many of our children also have very complex health care needs that require a great deal of careful coordination of their care by our staff. The CHC cares for over 8,300 children in our community, most of whom are economically disadvantaged. As evidence of this, over 86% of our children qualify for Medicaid Managed Care insurance coverage. The CHC provided over 17,000 visits last year to Greater Waterbury pediatric patients.

The CHC and the Radiology Department of Saint Mary's Hospital are submitting a capital request for new, upgraded or supplemental equipment to be used during pediatric patient visits. The two items to be used at the CHC for pediatric outpatients are a Carescape to monitor a child's vital signs and four exam tables. The Pediatric Positioning Chair requested by the Radiology Department is a new piece of equipment for pediatric inpatients and outpatients, which will eliminate the need for retakes and undue exposure to radiation during X-rays.

The total costs of the above capital request are \$10,920. The CHC requests your kind consideration of our proposal on behalf of the many children in the Greater Waterbury area who benefit from quality pediatric health care we endeavor to offer. All equipment purchases will be made through the Purchasing Department of Saint Mary's Hospital in strict accordance with our accepted business practices.

2. Organization: Who will be participating in the execution of the program or service? Who will be the direct beneficiaries of the program or service?

The CHC and Saint Mary's Hospital's Radiology Department will coordinate the capital purchases, the CHC for the four exam tables and the Carescape and the Radiology Department for the Pediatric Positioning Chair. The direct beneficiaries of this equipment will be both the pediatric outpatients seen at CHC and pediatric inpatient and outpatients seen in Radiology. See the patient demographics under Summary.

3. Statement of Need: Due to the economic downturn our nation and state have faced great fiscal pressures in healthcare, we have recently been informed that all capital requests in our institution have been denied. For this reason, we are submitting capital requests to you this year to benefit children cared for in our

Budget Explanation: Provide brief narrative on anticipated budget revenue and expenses.

EXPENSE for REQUESTED EQUIPMENT FOR PROJECT

1. Carescape V100 and rolling stand: \$2,965

This is a piece of durable medical equipment used to monitor children's vital signs (blood pressure, pulse, temperature, and oxygen levels) and to monitor their status before and after treatments. It is a mobile unit that helps clinical staff be productive in gathering important clinical information used in the assessment and treatment of children. It is non-invasive and child friendly.

2. Replacement of 4 pediatric exam room tables.

4 exam room tables and bases at \$1,020/unit: \$4,080

Freight, delivery and set-up: \$875

Total: \$4,955

Our Center has 13 exam rooms in total and four exam tables are in poor condition. With such high volume patient traffic in our Center on a daily, weekly, and yearly basis, this type of medical furniture is very heavily used and needs to be replaced periodically.

3. Pediatric Positioning Chair (Pedia-Poser) \$3,000

This is a specialized piece of equipment that would be used in the Radiology Department of Saint Mary's Hospital. The Pedia Poser immobilizes young children gently and securely. It allows the technician to position children correctly once virtually eliminating the need for retakes and undue exposure to radiation. The Pedia Poser would be beneficial to all children up to the age of 4 years who come to the hospital and require diagnostic radiology. We anticipate more than 500 children each year would benefit. This equipment also helps save staff time by allowing pediatric procedures to be done more efficiently with less trauma and upset for the children and their families.

We respectfully submit a capital request for \$10,920.

REVENUE-IN-KIND PROVIDED TOWARD THE PROJECT

Clinical staff and office space provided by Saint Mary's Hospital to provide healthcare services to 8,300 Greater Waterbury area pediatric patients at the CHC and 500 pediatric patients through the Radiology Department.

ELISHA LEAVENWORTH FOUNDATION
P.O. Box 1032
Middlebury, CT 06762

December 31, 2009

Pat Evans
Grant Manager
Saint Mary's Hospital
Waterbury, CT 06705

Dear Mrs. Evans,

On behalf of the board of directors of the Elisha Leavenworth Foundation I am pleased to report that Saint Mary's Hospital has been awarded a grant of \$6,000 for your Children's Health Center Pediatric Program. Enclosed please find a check in that amount.

The range for Elisha Leavenworth Foundation grant awards this year is \$1,000 to \$12,000. Since the Elisha Leavenworth Foundation received many more requests than we could fund, the award may not reflect your original request. The award does however demonstrate the interest and support we have for your application goals. We believe that your program will improve the lives of children and adolescents and we look forward to receiving a final report at the end of calendar year 2009.

Thank you for your continued interest in the Elisha Leavenworth Foundation.

Sincerely,

[Handwritten signature]

ELISHA LEAVENWORTH FOUNDATION

Pay to the order of Saint Mary's Hospital Foundation Date Dec 31, 2009 1022
Six thousand dollars \$ 6,000.00
 Dollars

WebsterBank
WebsterOnline.com

[Handwritten signature]

51-7010/2111 BRANCH 261

Security Features: See Back

⑆ 21117010⑆ 10 0010291654⑆ 1022

CHARLES SCHWAB
PNC BANK, N.A.
PHILADELPHIA, PA

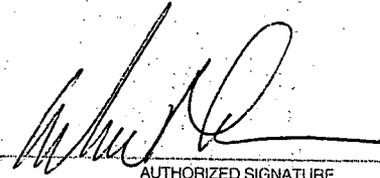
100749

3-5/310
150

THE LEEVER FOUNDATION
236 FREIGHT ST
WATERBURY, CT 06702

PAY TO THE ORDER OF	DATE	AMOUNT
TO THE ORDER OF	12/01/2009	\$*****8,625.00
* Eight Thousand Six Hundred Twenty-Five and no/100 *		

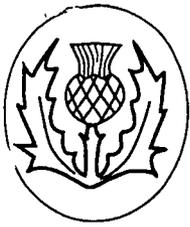
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706



A handwritten signature in black ink, appearing to be 'Charles Schwab', is written over a horizontal line.

AUTHORIZED SIGNATURE

⑈ 100749 ⑈ ⑆031000053⑆ 7040288850⑈



The Leever Foundation

Founded by Harold Leever

December 11, 2009

Ruth Ann Leever

Andrew V. Leever

Daniel H. Leever

Suzanne Leever-Hart

Thomas M. Leever

Lori Hart

M. Catherine Smith

Mr. Chad W. Wable
President & CEO
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Wable:

I am enclosing a check in the amount of \$8,625 representing the entire 2009 Leever Foundation grant (#20090372) to St. Mary's Hospital for Equipment for the new Pediatric Exam room to provide greater access for non-urgent healthcare.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Carol O'Donnell
Program Officer

Cc: Pat Evans

- Nellcor Pulse Oximeter-\$480-this is a digital handheld pulse meter, which provides accurate and reliable readings in a short time.
- Dinamap Pro 120 and stand-\$1,545-this is a digital blood pressure measuring instrument, which can be used for infants and older pediatric patients.
- 2 Ritter 204 Manual Exam Tables-\$1,675-one of these tables will be used for expanding the number of exam rooms to 14 and one will be used to replace a worn table.

Saint Mary's Hospital thanks The Leever Foundation for its continued support of Saint Mary's Hospital's Children's Health Center and respectfully requests a \$7,500 grant from The Leever Foundation to provide the listed equipment. These items of equipment will assist the Center to provide and expand access to its excellent nonurgent healthcare services for pediatric patients in the Greater Waterbury area.

Sincerely,

Patricia A. Evans
Grant Manager

January 9, 2008

Carol O'Donnell
The Leever Foundation
c/o Connecticut Community Foundation
43 Field Street
Waterbury, CT 06702

Dear Carol:

According to the Agency for Healthcare Research and Quality from 37 to 60 percent of children's visits to emergency departments (ED) each year are for nonurgent medical conditions. This causes ED crowding as well as fragmented care for children. A new study underscores one way to reduce nonurgent ED visits-high-quality, family-centered primary care. In the Waterbury area this quality family-centered pediatric primary care is provided by Saint Mary's Hospital's Children's Health Center.

In 2007 the Family and Children's Health Center and Dental Health Care Center saw a 6% increase in adult, dental and pediatric visits and was able to provide nearly 48,000 of these visits. Through the consolidation of medical services into its new offices in the Croft Building and the use of state-of-the-art medical equipment the Children's Health Center has been able to keep up with the increased pediatric patient volume. Since the move to the new facility a year and a half ago much of the equipment for pediatric patients has been provided through the generosity of The Leever Foundation. As the Children's Health Center moves into 2008, we anticipate adding an additional pediatric exam room to the existing 13 rooms to provide greater access to nonurgent healthcare for our growing number of pediatric patients.

The state-of-the-art items listed below will be used to outfit a new 14th exam room or provide greater capacity and accuracy in providing patient examinations. The items were selected for their portability, durability and flexibility and reflect the prices that Saint Mary's negotiated because of its participation in a buying cooperative.

- Olympic Medical Smart Scale-\$3,800-this scale is portable and accurately, quickly and safely weighs all patients from infants to toddlers. The scale provides safety not only for the child being weighed, but also reduces back strain for the user. One of the current initiatives of Saint Mary's Hospital is the Handle Our Patients Safely (HOPS) program, which emphasizes safe handling of patients and injury prevention for staff.



The Leever Foundation

Founded by Harold Leever

February 24, 2009

Ruth Ann Leever

Andrew V. Leever

Daniel H. Leever

Suzanne Leever-Hart

Thomas M. Leever

Lori Hart

M. Catherine Smith

Mr. Chad Wable
President and CEO
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Ritz:

At its meeting on February 19, 2009, the Board of Trustees of The Leever Foundation approved the 2009 legacy grant in the amount of \$8,625 to St. Mary's Hospital for programs and services. Payment will be processed in December.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely, *Ruth Ann Leever*

Ruthann Leever
Chairman

RAL/co

cc: Patricia Evans

ELISHA LEAVENWORTH FOUNDATION
35 PARK PLACE
WATERBURY, CONNECTICUT 06702

*Moved to
Children's Beh Hlth
Fund*

December 30, 2008

Mrs. Patricia A. Evans
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06708

Dear Pat,

On behalf of the board of directors of the Elisha Leavenworth Foundation I am pleased to report that Saint Mary's Hospital has been awarded a grant of \$7,500.00 for your PEDS (Behavioral Health Screening) Program. Enclosed please find a check in that amount.

The range for Leavenworth grant awards this year is \$1,000 to \$10,000. Since the Elisha Leavenworth Foundation received many more requests than we could fund, the award may not reflect your original request. The award does however demonstrate the interest and support we have for your application goals. We believe that your program will improve the lives of children and adolescents and we look forward to receiving a final report at the end of calendar year 2009.

Thank you for your continued interest in the Elisha Leavenworth Foundation.

Sincerely,

1071

THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK PRINTED ON THE BACK, THE FRONT OF THIS DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE. ABSENCE OF THESE FEATURES WILL INDICATE A COPY.

Webster Bank
Webster Plaza
Waterbury, CT 06702

00261 101196

OFFICIAL CHECK

ISSUED BY: MONEYGRAM PAYMENT SYSTEMS, INC.
DRAWEE: P.O. BOX 8476, MINNEAPOLIS, MN 55480
DRAWER: FIRST INTERSTATE BANK
HELENA, MT
WEBSTER BANK, N.A.

2735255

12/31/2008

PAY TO THE ORDER OF

SAINT MARY'S HOSPITAL

*****\$7,500.00

7,500 DOLLARS AND 00 CENTS

REMITTER ELSHA LEAVENWORTH FOUNDATION

Heather Guss
AUTHORIZED SIGNATURE

93-541
920

AUTHORIZED SIGNATURE (TWO SIGNATURES REQUIRED OVER \$25,000)

28128 / M 357030

⑈ 2735255⑈ ⑆ 09200541⑆ ⑆ 0160010561051⑈

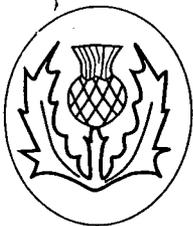
73 St. Mary's Hospital

04/10/2007 100539

20070022 02/19/2007 Leever: Legacy Grant
LEEVEE Leever Foundation

7,500.00 7,500.00

CHECK TOTAL: \$*****7,500.00



The Leever Foundation

Founded by Harold Leever

April 23, 2007

Ruth Ann Leever

Andrew V. Leever

Daniel H. Leever

Suzanne Leever-Hart

Thomas M. Leever

Mr. Robert Ritz
Chief Executive Officer
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear ~~Mr. Ritz~~: *Bob*

I am enclosing a check in the amount of \$7,500 representing the entire 2007 Leever Foundation grant (#20070022) to St. Mary's Hospital for Equipment for the consolidated Family Health Center.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Carol O'Donnell
Program Officer

Cc: Pat Evans

January 17, 2007

Carol O'Donnell
The Leever Foundation
c/o Connecticut Community Foundation
43 Field Street
Waterbury, CT 06702

Dear Carol:

The scheduled consolidation of Saint Mary's Hospital's Family Health Center located at 51 North Main Street and its Children's Health Center located in the Medical Office Building into one unit at Croft Commons at 95 Scovill Street, as reported in the February 9, 2006 request letter to The Leever Foundation, took place in June, 2006. The new center is operational and provides over 46,000 medical visits a year in a cost effective and state-of-the-art medical facility to a population most of whom are Medicaid, Medicare and self pay patients. The center's ability to provide the same level of care in this new compact medical service center has been realized by consolidating the two center's operations under one roof and optimizing patient flow. Saint Mary's Hospital is pleased to report that an article on the merger of its two healthcare centers has been selected for a case example booklet for an upcoming American Hospital Association meeting in March, 2007 on shared solutions to common health challenges.

In 2006 a grant of \$7,500 was gratefully received from The Leever Foundation and made a significant contribution toward providing equipment needed to facilitate patient care in the newly consolidated center. However, after completing the first six months in the center there are still some pieces of equipment that are needed. These items are listed below and the amounts requested for these items reflect quotes from the suppliers based on the hospital's discounted prices and have rounded up to even dollars to reflect added delivery and set-up costs. All the models of equipment were chosen after research of similar products and are based on competitive pricing, clinical and space needs.

- \$3,200-Welch Allyn Auto Tympanometer-for testing hearing loss in a full range of frequencies and intensity levels. (See the attached equipment description).
- \$1,000-Welch Allyn PanOptic Ophthalmoscope with Charger-for testing vision without having to dilate the pupils. (See the attached equipment description)
- \$1,200-Two frost free refrigerators for storing vaccine-current refrigerators are not frost free and require staff time to defrost and also use a greater amount of electricity than new models. These refrigerators will be purchased from a local vendor who is frequently used by the hospital.

- \$2,100-Equipment and supplies for pediatric patients such as bed-wetting alarms, allergy free bedding, air conditioners and emergency medication that are necessary to care, but are not covered by Medicaid or insurance.

Saint Mary's Hospital appreciates the past support from The Leever Foundation and respectfully requests \$7,500 from the foundation to provide the equipment and pediatric supplies listed above. Saint Mary's Hospital would identify the items as donated by The Leever Foundation.

Sincerely,

Patricia A. Evans
Grant Manager

73 St. Mary's Hospital

12/07/2006 100291

20060631 05/30/2006 Leever: Legacy Grant
LEEVEER Leever Foundation

7,500.00

7,500.00

Suzanne Leever-Hart

Thomas M. Leever

Dear Ms. ~~Evans~~: *fu*

CHECK TOTAL: \$*****7,500.00

I am enclosing a check in the amount of \$7,500 representing the 2006 Leever Foundation grant (#20060631) to St. Mary's Hospital for Equipment for the consolidated Family Health Center.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Carol O'Donnell

Carol O'Donnell
Leever Found

*Pledge already
Recorded last year*

Bank of America

⑆13635480

Account Number
42-09-900-8535456

Account Name
GEO & GRACE LONG FOUNDATION

Date
11/28/06

One Thousand Four Hundred Fifty and 00/100 Dollars

Pay

Amount
\$*****1,450.00

In Payment Of:
2 WHEELCHAIRS T/TRANS PATIENTS T/TREATME

To The Order Of
SAINT MARY'S HOSP FOUNDATION INC
ATTN: PATRICIA A. EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706-1221

Phyllis Stevenson

⑆0013635480⑆ ⑆111000025⑆ 1390023848⑆

Grant - No thank-you hr

November 13, 2006

Ms. Patricia A. Evans
Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans:

~~CONFIDENTIAL~~

It is our pleasure to advise you that the Co-Trustees for the George A. & Grace L. Long Foundation have awarded a ~~\$1,450.00~~ grant to Saint Mary's Hospital Foundation, Inc. This grant was made to support the ~~purchase of two wheelchairs to transport patients to treatment areas~~

Bank of America, Co-Trustee of the George A. & Grace L. Long Foundation, is responsible for the management of the Foundation's assets and the administration of its grantmaking program.

You will receive the grant award under a separate cover. When you do, please sign and return the enclosed copy of this letter indicating receipt of the award. We have provided a return envelope for your convenience.

We hope that the grant will be supportive of the project set forth in your proposal. If for any reason your project changes substantially or you have already received complete funding for it, notify us immediately.

The Trustees requires that you provide us with a grant report that details the expenditure of the funds. We would appreciate receiving the enclosed report by September 15, 2007. We recognize that you might not have expended all of the funds by September 15, 2007, but we still require an interim report by that date. We thank you in advance for your cooperation.

In keeping with the guidelines of the Foundation, we ask that you take a minimum of one year off before approaching the George A. & Grace L. Long Foundation again for funding. This will enable the Foundation to respond to other worthwhile organizations. You will again be eligible to apply for funds in 2008.

Sincerely,



Carmen Britt
Sr. Program Officer
860-952-7392
carmen.britt@bankofamerica.com

Enclosures



By



Date

The George A. & Grace L. Long Foundation

Grant Application

Date: September 14, 2006

Name and address of Organization:

Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

Contact Person Patricia A. Evans
Email Address pevans@STMH.org

Telephone: (203) 709-3270

Amount Requested \$1,450

1. Principal services and purposes of organization:

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with Yale University School of Medicine and has offered quality outpatient services since 1930. The Children's and Family Health Center is a newly consolidated unit operated by the Franklin Medical Group, P.C., an affiliate of the Saint Mary's Hospital and provides outpatient services to the uninsured and underinsured populations of our community.

2. Specific purpose for which the grant will be used (attachment may be included as necessary):

The new Children's and Family Health Center (formerly two units the Children's Health Center and the Family Health Center housed at two different sites) is located at Croft Commons at 95 Scovill Street in the central part of Waterbury. The move to one location has eliminated the duplication of support staff and is more convenience for the clinical staff. The newly merged medical service center will result in significant savings, however; the move has resulted in the need for different types of equipment. The combined center provides 46,000 visits to a multicultural population most of whom are Medicaid, Medicare and self pay patients.

The amounts requested for all items of equipment listed below reflect quotes from the suppliers based on the hospital's discounted prices and have rounded up to even dollars to reflect added delivery and set-up costs. All the models of equipment were chosen after research of similar products and are based on competitive pricing, clinical and space needs.

- Wheelchair with 450 lb capacity \$600
- Wheelchair with 450 lb+ capacity \$850

3. Period in which the grant is to be used:

The two wheelchairs will be ordered immediately and put into use as soon as they arrive. Wheelchairs have a long useable life and will be used daily for patient transport.

4. Anticipated benefits to the community and to your organization:

The wheelchairs are requested to help expedite patient care by being available for patients, who need assistance in reaching the treatment area. Providing more effective and more efficient healthcare will result in healthier and better satisfied Waterbury residents.

5. Are you collaborating with other organizations on this project/program? If so please indicate.

The consolidation of the Children's Health Center and the Family Health Center into one unit is a permanent in-house collaboration to better serve the needs of outpatients in the Greater Waterbury community.

6. Program goals, timetable and future funding plan:

The ultimate goal of the consolidation of the healthcare centers and one to which this grant will contribute is the saving of resources for the hospital and the community while providing the same or a greater level of healthcare. The two wheelchairs will be ordered immediately and put into use as soon as they arrive.

7. What alternatives does the Agency have if this request is not funded? Will the program be able to continue?

The Children's and Family Health Center will seek other grant funding for the wheelchairs.

8. How would you evaluate the program?

The consolidation into one center has already received positive verbal feedback from patients. A more formal evaluation of the new center concept will begin soon through satisfaction surveys.

9. Does your organization engage in lobbying activity?

Yes X

No

Signature of President/CEO _____



WATERTOWN FOUNDATION INC.

860-274-4299

POST OFFICE BOX 117
WATERTOWN, CONNECTICUT 06795
Email: wttnfoundation@sbcglobal.net

October 19, 2006

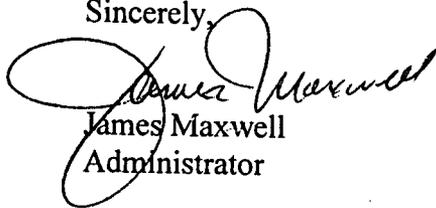
Ms Patricia Evans, Grant Manager
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06795

Dear Ms Evans:

Enclosed is a check in the amount of \$1750 from the Watertown Foundation, which represents our 2006-2007 grant to Saint Mary's Hospital Foundation toward the purchase of an EKG for the Family Health Center;

Members of your advisory board are encouraged to join the Watertown Foundation. An individual lifetime membership contribution of at least \$200.00 may be paid in four annual \$50.00 installments. To receive membership information, please contact me via phone or email.

Sincerely,


James Maxwell
Administrator

3089
10/19/06
\$1750.-

[Faint, illegible text, likely a stamp or bleed-through from the reverse side of the page]

WATERTOWN FOUNDATION INC

P. O. BOX 117
WATERTOWN, CT 06795

3089

Date 10-19-06

⑆74271112

Pay to the
Order of

St Warrs Hosp Found.

\$1750.00

Seventeen hundred fifty & 10/100

Dollars



THOMASTON SAVINGS BANK

THOMASTON, CT 06787

Paul White
Debra L. Long

FOR

⑆2111742596 522 003 700⑆ 3089

INTOUCH® CUSTOM CREATIONS™

Susan G. Komen Breast Cancer Foundation
Connecticut Affiliate

Pay Voucher to 2006 Grant Recipients

Current Date: September 25, 2006

Check Amount: \$10,000.00

Pay to the Order of:

St. Mary's Hospital
Nancy Baranowski
56 Franklin Street
Waterbury, CT 06706

Reason for Payment: Balance of 2006 Grant Award for 4/1/06-3/31/07 Grant Year

Approved by: PRK

#1321
9/25/06 \$10,000.-

Check # 1321 Check Date: 9/27/06 Check Amount: \$10,000.00



The Leever Foundation

Established by Harold Leever

ML

Ruth Ann Leever
Chairman

Andrew V. Leever

Daniel H. Leever

Suzanne Leever-Hart

Thomas M. Leever

June 7, 2006

Mr. Robert P. Ritz
President and CEO
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Ritz:

At its meeting on May 30, 2006, the Board of Trustees of The Leever Foundation reviewed the request of St. Mary's Hospital for \$7,500 for the acquisition of equipment detailed in your grant request as part of the consolidation of the Family Health Center to promote efficiencies and quality of care. After careful review, the Board approved a grant in the amount of \$7,500.

The full grant amount will be processed in December.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Ruthann Leever
Chairman

RAL/co

Cc: Patricia Evans

7

1084



Bank of America, N. A.

Date: 08/23/05

PT 12848207

000052 AA 42050000210

Sub Class Code
05

ST. MARY'S HOSPITAL CORPORATION
ATTN: PATRICIA A. EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706

*Designate For
CHC/FHC Fund*

Account Name: WHITE LINFORD & MILDRED FDN

Account Number: 42-09-900-8546039

Payment in Settlement as Described Below

T/SUPPORT THE BASIC NEED FUND

*No thank-you
POB already sent*

Total Amount

2,000.00

Retain This Portion For Your Records

1085

The Linford and Mildred White Charitable Fund

Grant Application

Date: June 6, 2005

Name and address of Organization:

Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

Contact Person Patricia A. Evans
Email Address pevans@STMH.org

Telephone: (203) 709-3270

Amount Requested \$2,500

1. Principal services and purposes of organization:

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with Yale University School of Medicine. The hospital has played a vital role in the history of Greater Waterbury, providing nearly a century of service to the people of the Central Naugatuck Valley. Founded in 1907 Saint Mary's has been a beacon of healing and hope for nearly 100 years with a mission to *provide excellent healthcare services in a spiritually enriched environment*. From its earliest days, Saint Mary's has enjoyed tremendous support from the community.

The hospital adheres to the philosophy of embracing each individual with respect, dignity and compassion and is responsive to the needs of the community without discrimination. Commitment to its teachings and heritage makes it essential to deliver quality services.

Saint Mary's Hospital has offered quality outpatient services since 1930. The Children's Health Center is operated by the Franklin Medical Group, P.C., an affiliate of the Saint Mary's Hospital, and provides outpatient services to the uninsured and underinsured populations of our community. The center is a direct fulfillment of Saint Mary's pledge to provide quality health care to all in need, and is a safety net for those who cannot afford private health insurance. The majority of patients served at the centers are Waterbury residents and are Medicaid or self pay patients.

2. Specific purpose for which the grant will be used (attachment may be included as necessary):

The Children's Health Center offers a full range of primary health and preventive care services for children from birth to age 18. The center offers immunizations and check-ups for

children and provides specialty clinics for dermatology, school and sports physicals, vision screening, cardiology, neurology, diabetes and asthma. In addition, the center is the City of Waterbury's sexual abuse screening site, has a developmental and behavioral health clinic, provides well child care for high risk first time mothers and allergy clinics. The Children's Health Center provides financial counseling services and also Spanish-speaking staff to assist patients. The Children's Health Center saw 16,656 visits in 2004. For the majority of low-income families in the City of Waterbury, the Children's Health Center is where their children receive their health care.

On behalf of Franklin Medical Group, we respectfully request \$2,500 from The Linford and Mildred White Charitable Fund for a basic needs fund for special needs that occur with frequency with an inner city pediatric population. Assistance will be provided only to pediatric outpatients and/or inpatients and their families residing in the City of Waterbury and there will be a limit of \$100 on the amount granted to families in a one year period. Purchases will be made directly through a vendor or gift cards will be used; no recipient will be given cash. The grant would be used to provide some of the following items:

- Medication and medical supplies such telfa pads, bacitracin, sterile dressings, special moisturizers, sunscreen and OTC head lice supplies
- Gift cards for food, formula, diapers and/or baby supplies
- Bus passes and gas cards
- Essential clothing such as winter coats, underwear or shoes/sneakers
- Essential home goods (blankets, sheets, towels and wash clothes)
- Infant Car Seats (the hospital cannot give away used car seats, because of liability)
- Bed Wetting Alarms
- School supplies such as uniforms and classroom supplies/book bags
- Home safety supplies such as baby gates
- Small gifts for children who have had sexual abuse exams
- Small incentive gifts for children who have behavioral contracts related to health care (i.e. a CD for a juvenile diabetic who tests his blood three times a day for a month)

3. Period of time in which the grant is to be used:

The grant funds will be used during a one-year period of time.

4. Anticipated benefits to the community and to your organization:

A limited income forces some families who bring their children to the Children's Health Center to make a choice between some of the most basic needs listed above such as medicine, medical supplies, food, and clothing. The result can be additional visits to the Saint Mary's Hospital emergency department and/or in other cases admission to the hospital. This is an obvious problem for the child and the parent, and also uses valuable community healthcare resources that are then not available for more emergent health care needs.

In the case of some of the special needs on the list, such as school uniforms and supplies, children can be made more comfortable and secure when these items are available. This assistance makes

the lives of families and children more satisfying and productive. From time to time the staff has personally donated the cost of some of these basic items for patients.

The benefits to the community, Saint Mary's Hospital and Franklin Medical Group as a result of this program will be many, but essentially the Waterbury community will have healthier and happier citizens and Saint Mary's Hospital will be able to use its medical capacity for the most appropriate needs.

5. Program goals, timetable, and future funding plan:

The goal of the program is to provide basic needs such as medicine, food, and clothing to the neediest pediatric patients of Franklin Medical Group, an affiliate of Saint Mary's Hospital.

Assistance will be given as soon as funding is available and additional grants will be applied for future funding. In the past the Rascob Foundation has provided funding for these needs.

6. How will you evaluate your program?

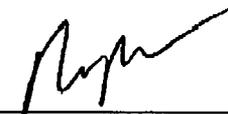
The program will be evaluated by the social workers who assess the needs of pediatric patients and their families. The program will be considered successful, if basic and special needs of patients are met.

7. Does your organization engage in lobbying activities?

YES X NO

***Please include a copy of your organization's IRS exemption letter. The letter must be dated after 1969.

SIGNATURE OF PRESIDENT & CHIEF EXECUTIVE OFFICER


Robert P. Ritz

6/9/05
DATE

(203) 709-6303
PHONE

SIGNATURE OF BOARD CHAIRPERSON

VICE



12/13/05
DATE

203 284 3208
PHONE

GRANT PURPOSE

The purpose of a basic needs fund is to assist pediatric inpatient/outpatients and their families to purchase items that are relevant to following clinical instructions or providing a basic sense of well being, but not covered by medical insurance or Medicaid coverage. For example, a bed wetting alarm is not covered by Medicaid, but is an excellent device to change bed wetting behavior. This device can be recommended by a pediatrician, but if the family does not have the resources to purchase it the child must go without and an unhealthy problems continues.

Fund Summary Report Childhood Asthma Prevention Program Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
chcasthma	Childhood Asthma Prevention	9	\$0.00	\$70,000.00	\$10,000.00	\$0.00	\$80,000.00
		and Totals:	\$0.00	\$70,000.00	\$10,000.00	\$0.00	\$80,000.00

9 Gift(s) listed

4 Donor(s) listed

Fund Detail Report Childhood Asthma Prevention Program Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Bouffard Metal Goods, Inc. PO Box 268 Watertown, CT 06795-0268 (860) 274-9607	12/17/1998 2/8/2000	Childhood Asthma Pr Childhood Asthma Pr	\$10,000.00 \$10,000.00	\$0.00 \$0.00	Ins of 12/31/98 Ins of 12/31/99
Cly-Del Manufacturing Co. PO Box 1367 Waterbury, CT 06721-1367 (203) 574-2100	11/30/1997 12/31/1998 12/31/1999	Childhood Asthma Pr Childhood Asthma Pr Childhood Asthma Pr	\$10,000.00 \$10,000.00 \$0.00	\$0.00 \$0.00 \$10,000.00	Ins of 12/31/98
MacDermid, Inc. 245 Freight St Waterbury, CT 06702-1818 (203) 575-4216	1/31/1998 2/28/1999	Childhood Asthma Pr Childhood Asthma Pr	\$5,000.00 \$5,000.00	\$0.00 \$0.00	Corporations 1997 Ins of 12/31/98
Webster Webster Plaza Waterbury, CT 06702 (203) 578-2396	12/31/1997 1/31/1999	Childhood Asthma Pr Childhood Asthma Pr	\$10,000.00 \$10,000.00	\$0.00 \$0.00	check was dated 12/22/98
Grand Totals:			\$70,000.00	\$10,000.00	

9 Gift(s) listed

4 Donor(s) listed



Saint Mary's Hospital
Foundation, Inc.

March 3, 1999

Mr. Calvin B. Vinal
Webster Bank
145 Bank Street
Waterbury, CT 06702

Dear Mr. ^{Cal} Vinal,

It is with sincere gratitude that we acknowledge your company's generous contribution of \$10,000 dated 12/22/98 specifically designated for the Childhood Asthma Prevention Program at St. Mary's Hospital.

Each year, we look to friends of St. Mary's Hospital to help us to continue providing the quality, compassionate care that has been our hallmark for 90 years. With your support, we have kept pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire St. Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite, C. S.J.
President & Chief Executive Officer
St. Mary's Hospital

SMW:hrs

1093

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

*We do appreciate your
most generous gift and
ongoing support! Best wishes
to all —
SM*

ENDOR NBR
UCHER NBR
114322
8 CORPORATE

8324 CUST NBR
DATE
12/22/98
CONTRIBUTION

PLEASE RETAIN THIS VOUCHER

INVOICE NBR
GROSS AMOUNT
10,000.00

NAME ST MARYS HOSPITAL
DISCOUNT
NET AMOUNT
10,000.00

4795064338

Post in January
but put note to file
date of check

Childhood
Asthma
Fund

Cal Vinal

ECK NUMBER CHECK DATE
4795064338 12/30/98

TOTAL GROSS
WEBSTER BANK
WEBSTER PLAZA
WATERBURY, CONNECTICUT 06702

TOTAL DISCOUNT
.00

TOTAL NET
10,000.00



1998 NEIGHBORHOOD ASSISTANCE ACT BUSINESS APPLICATION

036818
12/17
\$10,000 -
plg paym.

Each business firm requesting a tax credit under the Neighborhood Assistance Act Program must complete and submit this form. A separate Form NAA-02 is required for each cash contribution for which credit is being requested. All Forms NAA-02 must be mailed or hand-delivered to the Department of Revenue Services, 25 Sigourney Street, Hartford, Connecticut 06106, Attn: Research Unit, on or after September 15, 1998, but no later than October 1, 1998. Telecopied applications will not be accepted.

PART I: BUSINESS FIRM INFORMATION

BUSINESS FIRM NAME: CLY-DEL MANUFACTURING COMPANY
 ADDRESS: 16 SHAW ROAD
P.O. BOX 1367
WATERBURY, CT 06721

FEDERAL EMPLOYER IDENTIFICATION NUMBER: 06-0292455
 CONNECTICUT TAX REGISTRATION NUMBER: 0560250-000

TAX YEAR END: DECEMBER 31, 1998

CONTACT PERSON: CHARLES W. HEAVEN CPA
 TITLE: ACCOUNTANT
 PHONE NUMBER: 574-2100

PART II: PROGRAM PROPOSAL INFORMATION

ORGANIZATION/MUNICIPAL AGENCY: St. Mary's Hospital Foundation, Inc
 PROGRAM TITLE: Childhood ASTHMA PREVENTION
 MUNICIPALITY APPROVING PROGRAM: Waterbury

AMOUNT OF CASH CONTRIBUTION: \$10,000
 (\$250 minimum)
 % CREDIT: 60%

HAS THIS CONTRIBUTION BEEN MADE? No
 IF YES, DATE MADE: _____
 IF NO, DATE TO BE MADE: Prior to 12/31/98

(Note: contribution must be made in business' income year commencing on or after January 1, 1998)

[Signature]
 Signature of Authorized Representative
August 13, 1998
 Date

ROBERT W. WARTHAN, JR. CHAIRMAN OF BOARD
WARREN G. SARASIN, EXECUTIVE DIRECTOR
 Print Name & Title of Authorized Representative

THIS CHECK RENDERED IN FULL PAYMENT
OF ITEMS LISTED BELOW.
DETACH BEFORE DEPOSITING

REMITTANCE ADVICE

VOUCHER NO. 036818

DATE	REFERENCE	INVOICE AMOUNT	DEDUCTION	BALANCE
12-17-98	CAEPP	10,000.00		10,000.00



THE **CLY-DEL** MANUFACTURING COMPANY
METAL GOODS MANUFACTURERS-SPECIALISTS IN DRAWN SHELLS
WATERBURY, CONN. 06721

STATE OF CONNECTICUT

DEPARTMENT OF REVENUE SERVICES



00220653 12

December 18, 1998

Michael V. Kennedy
MacDermid Incorporated
245 Freight Street
Waterbury, CT 06702

RECEIVED
JAN 26 1999

Vendor # 79059
Ck #
Acct Code
Exp Amt
Tax Code
Discount
Initials AD
Due Date
Billing #
Tax Amt
Amt Due
Acct Per Batch
0011
20

Dear Mr. Kennedy:

This is to notify you that your Neighborhood Assistance Act tax credit of \$3,000.00 has been approved. This approval is subject to the following conditions, all of which must be met in order to receive your tax credit:

The contribution must be in cash only;

The contribution must be made during your company's income year that begins in 1998 and claimed on your company's 1998 corporation business tax return;

The total charitable contributions for your 1998 income year must equal or exceed your prior year's total charitable contributions; and

A copy of this approval letter must be included with your corporation business tax return.

Please note that your credit is based on a contribution of \$5,000.00 to support the Childhood Asthma Prevention program conducted by St. Mary's Hospital Foundation. Your credit cannot exceed this amount; however, if your contribution total is decreased, your credit will be reduced accordingly.

Please direct any questions you may have to Susan Sherman or her staff at (860) 297-5687.

Sincerely,

Gene Gavin

Gene Gavin
Commissioner

cc: Waterbury Municipal Liason

OK to Pay from copy 1/29/99

approved
[Signature]
acct 15901
1/5/99

V/C 7905

Record 406



MacDermid
INCORPORATED

WATERBURY CONN. 06720

CHECK NO.

274785

DATE

1/28/99

VENDOR NO.

79059

DATE	INVOICE OR CREDIT MEMO NUMBER	TYPE	DESCRIPTION	GROSS	AMOUNTS DISCOUNTS	NET
1/28	DONATION	IN		5000:00		5000:00
				<i>Pldg Payment</i>	<i>Asthma</i>	
TOTAL				5000:00		5000:00

THE ATTACHED CHECK IS IN PAYMENT FOR ITEMS DESCRIBED ABOVE.

ACCOUNT # 100644
 Mr. Dan Leever
 Dermid, Inc.
 5 Freight Street
 Waterbury, CT 06702

*Asthma
 Childhood Prevention Program*

*250101
 1/9
 \$5,000-*

Please Remit:	cor97 \$5,000.00
Please indicate amount paid:	\$5,000.00

PLEASE RETURN THIS PORTION TO ASSURE CREDIT TO YOUR PLEDGE

St. Mary's Hospital Foundation, 56 Franklin Street, Waterbury, Connecticut 06706 • (203) 574-6390 • Fax (203) 597-3703

Mac Dermid WATERBURY, CONN. 06720
INCORPORATED

CHECK NO.
250101

DATE	VENDOR NO.
1/09/98	79059

DATE	INVOICE OR CREDIT MEMO NUMBER	TYPE	DESCRIPTION	REFERENCE NO.	GROSS	AMOUNTS DISCOUNTS	NET
1/05/98	CHILDHOOD ASTHMA				500000		500000
TOTAL					500000		500000

THE ATTACHED CHECK IS IN PAYMENT FOR ITEMS DESCRIBED ABOVE.

M&C
 34F
CLY-DEL MANUFACTURING COMPANY
 BOX No. 1367 WATERBURY, CONNECTICUT 06721

WATERBURY
 DEC 30 1999

833
 CENTER
 2214009

THIS CHECK RENDERED IN FULL PAYMENT
 OF ITEMS LISTED BELOW.
 DETACH BEFORE DEPOSITING

REMITTANCE ADVICE

VOUCHER NO. **042033**

DATE	REFERENCE	INVOICE/AMOUNT	DEDUCTION	BALANCE
12-29-1999	'99 CONT	3,000.00		
12-29-1999	PL 2 OF 2	10,000.00	CHILDHOOD ASTHMA Prevention NO NAA	13,000.00



THE CLY-DEL MANUFACTURING COMPANY
 METAL GOODS MANUFACTURERS-SPECIALISTS IN DRAWN SHELLS
 WATERBURY, CONN. 06721

*Etc
copy*

1997 NEIGHBORHOOD ASSISTANCE ACT PROGRAM PROPOSAL BY BUSINESS FIRM

Each business firm requesting a tax credit under the Neighborhood Assistance Act Program must complete and submit this form. A separate Form NAA-02 is required for each cash contribution for which credit is being requested. All Forms NAA-02 must be mailed or hand-delivered to the Department of Revenue Services, 25 Sigourney Street, Hartford, Connecticut 06106, on or after September 15, 1997, but no later than October 1, 1997. Program proposals that are mailed or hand-delivered and received by the Department before September 15 or later than October 1 will be disregarded, as will program proposals that are telecopied.

PART I: BUSINESS FIRM INFORMATION

BUSINESS FIRM NAME: THE CUT-DEK MANUFACTURING COMPANY
ADDRESS: SHARON RD
P.O. BOX 1367
WATERBURY, CT. 06721-1367

FEDERAL EMPLOYER IDENTIFICATION NUMBER: 06-0297455
CONNECTICUT TAX REGISTRATION NUMBER: 0560250-000

TAX YEAR END: DECEMBER 31, 1997

CONTACT PERSON: CHARLES W. HEAVEN
TITLE: ACCOUNTANT
PHONE NUMBER: 574-2100

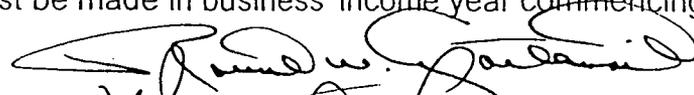
PART II: PROGRAM PROPOSAL INFORMATION

ORGANIZATION/MUNICIPAL AGENCY: ST. MARY'S HOSPITAL FOUNDATION
PROGRAM TITLE: CHILDHOOD ASTHMA PREVENTION
MUNICIPALITY APPROVING PROGRAM: WATERBURY

AMOUNT OF CASH CONTRIBUTION: \$ 10,000.00
% CREDIT: 60%

HAS THIS CONTRIBUTION BEEN MADE? NO
IF YES, DATE MADE: _____
IF NO, DATE TO BE MADE: NOV. 1997

(Note: contribution must be made in business' income year commencing on or after January 1, 1997)


Warren J. Saradani
Signature and Title of Authorized Representative
9/19/97
Date

Acc

THIS CHECK RENDERED IN FULL PAYMENT
OF ITEMS LISTED BELOW.
DETACH BEFORE DEPOSITING

REMITTANCE ADVICE

VOUCHER NO. 032158

DATE	REFERENCE	INVOICE AMOUNT	DEDUCTION	BALANCE
10-17-97	CAP PROG	10,000.00		10,000.00



THE **CLY-DEL** MANUFACTURING COMPANY
METAL GOODS MANUFACTURERS-SPECIALISTS IN DRAWN SHELLS
WATERBURY, CONN. 06721

SMH FOUNDATION, INC.

October 17, 1997

On behalf of St. Mary's Hospital Foundation, we thank you for your continuing support. This is a pledge reminder schedule as you requested on your initial pledge.

Account # 100670
 Mr. James C. Smith
 President
 Webster Bank
 Webster Plaza
 Waterbury, CT 06720

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite
 President
 St. Mary's Hospital

Payment Schedule
Childhood Asthma Prevention Program

DATE	FUND	PLEGDED	PAID	BALANCE	AMT DUE
9/25/1997	Corporations 1	10,000.00	\$0.00	10,000.00	\$10,000.00

 Account # 100670
 Mr. James C. Smith
 President
 Webster Bank
 Webster Plaza
 Waterbury, CT 06720

Please Remit:	cor97 \$10,000.00
Please indicate amount paid:	

PLEASE RETURN THIS PORTION TO ASSURE CREDIT TO YOUR PLEDGE

1103

VENDOR NBR 8304 CUST NBR **PLEASE RETAIN THIS VOUCHER** NAME ST MARYS HOSPITAL FOUNDATION **4829330338**
 VOUCHER NBR DATE INVOICE NBR GROSS AMOUNT DISCOUNT NET AMOUNT
 64226 09/15/97 10,000.00 .00 10,000.00
 A CHILDHOOD ASTHMA PREVENTION

CHECK NUMBER CHECK DATE
 4829330338 12/31/97

TOTAL GROSS TOTAL DISCOUNT TOTAL NET
 WEBSTER BANK 10,000.00 .00 10,000.00
WEBSTER PLAZA
 WATERBURY, CONNECTICUT 06702

Fund Summary Report
Children's Behavioral Health 1/1/1987 - 3/25/11

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
childbehavhlth	Children's Behavioral Health F	2	\$0.00	\$0.00	\$60,000.00	\$0.00	\$60,000.00

and Totals:

2 Gift(s) listed

1 Donor(s) listed

\$0.00

\$0.00

\$0.00

\$60,000.00

\$0.00

\$60,000.00

Fund Financial Report Children's Behavioral Health Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Child Health and Development Inst 270 Farmington Ave Ste 367 Farmington, CT 06032-1926 (860) 679-1519	4/16/2007	Children's Behavioral I	\$0.00	\$30,000.00	to provide behavioral health services at SMH
	7/8/2008	Children's Behavioral I	\$0.00	\$30,000.00	
Grand Totals:			\$0.00	\$60,000.00	

2 Gift(s) listed

1 Donor(s) listed

5462

CHILD HEALTH AND DEVELOPMENT
INSTITUTE OF CONNECTICUT, INC.
270 FARMINGTON AVE., SUITE 367
FARMINGTON, CT 06032

people's bank
CONNECTICUT

51-7218/2211

B8

6/11/2008

PAY TO THE ORDER OF Saint Mary's Hospital Incorporated

\$ **30,000.00

Thirty Thousand and 00/100***** DOLLARS

Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

CHILD HEALTH AND DEVELOPMENT INSTITUTE OF CONNECTICUT, INC.

Judith C. Meyer *Peter Jackson*
AUTHORIZED SIGNATURE

MEMO

⑈005462⑈ ⑆221172186⑆ 050 700975⑈

CHILD HEALTH AND DEVELOPMENT INSTITUTE OF CONNECTICUT, INC.

5462

Saint Mary's Hospital Incorporated

6/11/2008

70000 · Program Grant Expenses:760000 · Access	Integration of Behavioral Health and Primary Care	30,000.00
23000 · Deferred Grant Revenues:23050 · CFC De	Integration of Behavioral Health and Primary Care	30,000.00
4300 · Program Grants:4310 · Childrens Fund of C	Integration of Behavioral Health and Primary Care	-30,000.00

fund = Childbehaviorh
Children's Behavioral Health

People's checking

30,000.00

CHILD HEALTH AND DEVELOPMENT INSTITUTE OF CONNECTICUT, INC.

5462

Saint Mary's Hospital Incorporated

6/11/2008

70000 · Program Grant Expenses:760000 · Access	Integration of Behavioral Health and Primary Care	30,000.00
23000 · Deferred Grant Revenues:23050 · CFC De	Integration of Behavioral Health and Primary Care	30,000.00
4300 · Program Grants:4310 · Childrens Fund of C	Integration of Behavioral Health and Primary Care	-30,000.00

People's checking

1108

30,000.00

Saint Mary's Hospital Incorporated
2020 - Accounts Payable

Integration of Behavioral Health and Primary Care

3/27/2007

30,000.00

People's checking

30,000.00

Harriet -
new fund
per Peggy -

AK 5462
6/11/08



Child Health and Development Institute of Connecticut, Inc.

April 3, 2007

Patricia A. Evans
Grant Manager
Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans:

Enclosed please find the initial payment of \$30,000 for the agreement with the Child Health and Development Institute of Connecticut, Inc. for behavioral health services.

If you have any questions, please contact me.

Sincerely,

A handwritten signature in black ink, appearing to read 'Paul E. Suter'.

Paul E. Suter
Chief Financial Officer

*Alex
Chris Cennings
Children's Behavioral Health
Fund*

1110

AGREEMENT BETWEEN
THE CHILD HEALTH AND DEVELOPMENT INSTITUTE OF
CONNECTICUT, INC.

AND

SAINT MARY'S HOSPITAL INCORPORATED

I. Purpose

This is an agreement between the Child Health and Development Institute of Connecticut, Inc. ("CHDI") and Saint Mary's Hospital Incorporated (the "Contractor") to provide behavioral health services at Saint Mary's Hospital. The behavioral health services will be conducted as outlined in the proposal submitted to CHDI by the Contractor dated December 12, 2006 and attached hereto as Attachment 1. (All terms and conditions of that proposal are incorporated into, and made a part of, this Agreement).

II. Term of Agreement

This Agreement will begin on March 1, 2007 and terminate on February 28, 2009 (the "Term").

III. Compensation and Payment

Contractor shall be compensated for performing the Duties described in the proposal attached hereto as Attachment 1 up to a maximum of \$60,000, including travel and subsistence expenses, according to the budget included in Attachment 1.

Payment shall be made according to the following schedule:

1. An initial payment of \$30,000 upon execution of this Agreement.
2. A final payment of \$30,000 upon the receipt and approval of a twelve month program report due March 31, 2007.

The payments shall include any and all taxes, federal, state, local or municipal, now existing or hereinafter assessed; payable in respect of Contractor's performing this Agreement.

IV. Reporting Schedule

The Contractor shall submit program reports which include narrative reports that describe the progress toward the achievement of goals and fiscal reports that detail how CHDI funds have been spent by the Contractor. (See schedule below.) Please use the CHDI report cover sheet when preparing your reports attached hereto as Attachment 2, which more fully explains the requirements of the narrative and financial reports.

- | | |
|---|------------------------|
| • Interim six month narrative report | Due September 30, 2007 |
| • Interim twelve month narrative and financial report | Due March 31, 2007 |
| • Interim eighteen month narrative report | Due September 30, 2007 |
| • Final narrative and financial report | Due March 31, 2008 |

V. Credits and Rights in Data; Right to Publish

All documents, reports and other data prepared specifically and exclusively for CHDI during and/or resulting from the study shall include the following statement: "The preparation of this report or document, etc. was financed under an agreement with the Child Health and Development Institute of Connecticut, Inc., with funding from The Children's Fund of Connecticut, Inc. All documents produced by CHDI related to the subject of this Agreement will acknowledge the role and contribution of the Contractor.

The Contractor reserves on behalf of the Principal Investigator and other Contractor Employees, the right to publish any research results which emanate from this research without the need for approval from CHDI. The Contractor guarantees that no confidential data provided to it under this Agreement will be included in its original state or in a format that could infringe on the confidential nature of such information within any such publication. In order to give CHDI the opportunity to review and assurance that this is so, the Contractor shall provide CHDI with a copy of any proposed publication at least forty-five (45) days in advance of the proposed publication date. CHDI and the Contractor shall remove any data they deem to be confidential.

VI. Confidentiality

All material and information related to the subject of this Agreement provided to the Contractor by CHDI or any agency of the State of Connecticut during the Term of this Agreement including, but not limited to, verbal (to be followed up in writing and labeled confidential), written, recorded magnetic media, cards or otherwise shall be regarded as confidential information ("Confidential Information") and all necessary steps shall be taken by the Contractor to safeguard the Confidential Information. The Contractor agrees that it is prohibited from disclosing any Confidential Information without CHDI's prior express written consent. The confidentiality obligations set forth above shall not apply to material and information which (i) at the time disclosed to, or obtained by, the Contractor is in the public domain; (ii) becomes part of the public domain through no fault of the Contractor; (iii) is communicated to the Contractor by a third party who is not, to the Contractor's knowledge subject to any confidentiality obligations with respect thereto; (iv) is independently developed by the Contractor; or (v) is required to be disclosed by the Contractor pursuant to any statute, regulation, order, subpoena, document discovery request or other legal process.

VII. Examination of Records

Records utilized to perform this Agreement shall be retained by the Contractor for at least three years after the Term of this Agreement. The Contractor agrees to give CHDI and its authorized representative's access to these records or any other pertinent books, documents, papers or other records, in order to make audits, examination, excerpts and transcripts. Access shall be available during normal working hours and upon reasonable notices.

VIII. Termination

CHDI may terminate or suspend this Agreement for breach upon thirty days advance written notice to the Contractor specifying a date of termination and the reasons for termination. Upon notice from CHDI of its intent to terminate this Agreement, Contractor shall (i) stop work under the Agreement on the date and to the extent specified in the notice of termination, and (ii)

complete the performance of such part of the work as shall not have been terminated by the notice of termination. In the event of termination, CHDI shall pay any incurred and unpaid fees or expenses through the effective date of termination within 10 business days of the effective termination date. The Contractor may terminate or suspend this Agreement for breach upon thirty days advance written notice to CHDI specifying a date of termination and reasons for termination. Upon notice from the Contractor of its intent to terminate this Agreement, Contractor shall stop all work under this Agreement on the date and to the extent specified in the termination notice.

IX. Indemnification

The Contractor agrees to indemnify, defend and hold harmless CHDI, its officers, directors, agents and employees, from and against any and all actions, claims, losses, suits or demands brought against CHDI by any third party who may be directly or indirectly injured or damaged by the negligence or willful misconduct of the Contractor in the performance of this Agreement. CHDI agrees to indemnify, defend and hold harmless Contractor, its officers, directors, agents, and employees from and against any and all actions, claims, losses, suits or demands brought against Contractor by any third party who may directly be or indirectly injured or damaged by the negligence or willful misconduct of CHDI in the performance of this Agreement.

X. Independent Entities

The parties to this Agreement are independent entities. Nothing in this Agreement shall be construed to create a relationship of employer or employee, principal and agent, joint ventures, partners or any relationship other than independent contractors.

XI. Subcontracting

Contractor may not subcontract any or all of the work to be performed under this Agreement without CHDI's prior written consent with the exception of any subcontractors outlined in the proposal and attached hereto as Attachment 1 which have been approved for this project.

XII. Miscellaneous

1. Any supplement, modification or waiver of any provision of this Agreement must be in writing signed by authorized representatives of both parties.
2. If any portion of this Agreement is found to be invalid or unenforceable, the parties agree that the remaining portions shall remain in effect. The parties further agree that in the event such invalid or unenforceable portion, is an essential part of this Agreement, they will immediately begin negotiations for a replacement.
3. If either party fails to enforce any right or remedy available under this Agreement, that failure shall not be construed as a waiver of any right or remedy with respect to any other breach or failure by the other party.
4. This Agreement may be executed in any number of counterparts, all of which taken together shall constitute one single agreement between the parties.
5. The section headings in this Agreement are inserted for convenience only and are not intended to affect the meaning or interpretation of this Agreement.

6. No delay or omission by either party to exercise any right or power it has under this Agreement shall impose or be construed as a waiver of such right or power. A waiver by any party of any breach or covenant shall not be construed to be a waiver of any succeeding breach or any other covenant. All waivers must be in writing and signed by the party waiving its rights.
7. This Agreement shall be governed by and construed in accordance with the laws of Connecticut.
8. Each party intends that this Agreement shall not benefit, or create any right or cause of action in or on behalf of, any person or entity other than CHDI or Contractor.
9. This is the entire agreement between the parties with respect to the services provided hereunder and supersedes all prior agreements, proposals, understandings and communications between the parties, whether written or oral.

XIII. Contact Persons

At CHDI:

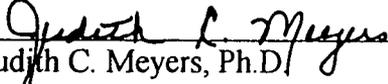
Judith C. Meyers
President & CEO
Child Health and Development Institute of Connecticut, Inc.
270 Farmington Ave. Suite 367
Farmington, CT 06032

At Contractor:

Patricia A. Evans
Grant Manager
56 Franklin Street
Waterbury, CT 06706

Approved and Accepted:

For the Child Health and Development Institute of Connecticut, Inc.

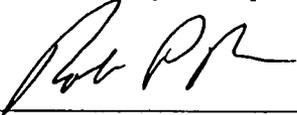


Judith C. Meyers, Ph.D.
President & CEO

03-07-07
Date

Approved and Accepted:

For Saint Mary's Hospital Incorporated



Robert P. Ritz
President & Chief Executive Officer

03-20-07
Date

Attachment 2

Child Health and Development Institute of Connecticut

Report Cover Sheet

Please complete the report cover sheet and attach it to all reports. Please send one copy of your report to Paul Suter via email at psuter@uchc.edu or deliver to:
CHDI, 270 Farmington Avenue, Suite 367, Farmington, CT.

Name of Organization: _____

Check one: _____ *Interim Report* _____ *Final Report*

Period covered by this report ____/____ to ____/____

Contact Person: _____ Date: _____

Instructions for Narrative Reports

The narrative reports should be limited to 4 pages, single-spaced, 1" margins, and 12 pt. font plus attachments (if needed). Please report on the following items:

- Describe progress towards meeting goals listed in the original proposal
- List obstacles and challenges experienced
- Explain changes in strategy from original proposal and rationale
- Explain any variance in the timetable for activities or meeting goals from original proposal
- Other important findings you would like to share

For Final reports include the following items in addition to the items listed above:

- Were you able to leverage the funding you received from CHDI for this project to obtain funding from other sources? If so, please list the other sources of funds, the dollar amount received and a description of what the funds were used for.
- If you had to do this project over again, what would you do differently?
- Is this project replicable in other sites? If so, how might one go about doing so?
- Describe any current plans for the continuation of the project including funding, expansion or replication.

For instructions to the Financial Reports, please see the example Financial Report format attached.

2. *How do you expect the proposed activities to change the practice's current experience?*

The proposed activities include integrating utilization of a parent report measure, the PEDS, into our practice (outlined in detail below). This integration process will formalize an extremely important aspect of health care for children in a setting that parents already know and trust. It will be an opportunity for our providers to hone and develop new skills and enhance sensitivity to behavioral health issues in a structured and organized way with education and support. We expect this will move our practice along to earlier and more comprehensive assessments of children and allow far more proactive interventions.

While it has been the CHC's goal to collaborate more effectively with our behavioral health community partners, this has proven to be a challenge. This has been due in large part to inadequate resource and administrative support for the establishment and maintenance of specific methods of collaboration. Added time and administrative support for collaboration and shared care plan coordination, provided by this grant, would greatly facilitate formalizing and implementing the collaborative process for designated CHC "Behavioral and Developmental Team" staff. Although beyond the scope of the present proposed funding, parallel problems facing our primary community behavioral health collaborator, the Child Guidance Clinic of Greater Waterbury, will be effectively reversed if they are awarded the expected "Enhanced Care Clinic" designation from the Connecticut Kid-Care Behavioral Partnership program.

Further collaboration with our community behavioral health partner will enable us to work toward our goal of more seamless health care for children with behavioral health needs in our community. Although the formal processes of care coordination will likely center primarily on our Developmental and Behavioral Team, the incorporation of behavioral health care into our practice will involve our entire staff, all of whom will be educated on the screening tool and the structure and goals of the grant funded project.

3. *Describe how behavioral health services will be integrated into the practice as a result of the funding.*

Philosophically, the primary care providers of the CHC agree that early identification of behavioral health disorders is a worthy goal for our practice and all pediatric practices. One significant challenge is devising a way to incorporate screening and assessment in an atmosphere of inadequate levels of Medicaid reimbursement, time constraints, and an inability to bill and receive payment for two services on the same date (e.g. social work and pediatric care). In addition, providers have varying degrees of comfort in dealing with behavioral health issues.

The CHC proposes the initiation of a new behavioral health screening program using the Parents' Evaluation of Developmental Status (PEDS). The PEDS meets standards set by the American Academy of Pediatrics for screening tests and can also be used for longitudinal surveillance. It has demonstrated standardization, reliability, validity and accuracy. It is available in both Spanish and English, and written at a fourth to fifth grade reading level, both critical factors in our practice. The PEDS can be used for children from birth to age 8. We propose initiating the use of this screening tool for all children at the Center on their 9 month, 18 month, 24 month, 3 year and 4 year EPSDT visits in the first year of funding. Our data from the previous year of primary care visits indicates that this population will translate into approximately 1200 screens annually.

Year One Budget and Description:

In year one, we will design a system to integrate the screening process into our practice's flow of care. Our clinical education and work will focus predominantly on the older infant and toddler group, when the majority of well visits occur. Funding will be used to acquire screening and interpretation tools and diagnostic manuals. It will also provide for staff training in the use of the tools, and advanced training for selected clinicians in infant mental health. Care coordination funding will allow us to build a sustainable collaboration with our community mental health partner. Administrative funding will be used to enhance our billing and coding, track and refine our processes, and review cases for quality of care planning, referral trends, and child and family outcome.

Materials	
PEDS response forms in English/Spanish and PEDS Scoring and Administration Guide	\$ 620.
PEDS Administrative manual	70.
DC:0-3R <i>Diagnostic Classification of Mental Health and Developmental Disorders of Infancy and Early Childhood, Revised</i>	38.
Infant Mental Health Family Assessment Training Videos	300.
Materials sub total	\$ 1028.
Training	
All clinic staff PEDS Introductory and Process Training	2240.
Intake staff training for scoring	282.
Intensive Infant Mental Health Training for 3 clinicians	6000.
Training subtotal	8522.
Patient Identification, Chart preparation and results recording	
Secretarial time for 7 hours/week x 50 weeks	8700.
Care coordination	
Triage and referral	7800.
Coding and Billing	
Code sheet preparation and reimbursement tracking	550.
Administrative oversight	
PEDS process integration/tracking	2600.
Outcomes analysis	800.
Oversight subtotal	3400.
GRAND TOTAL	\$ 30,000.

Aida Medina - \$5618

2 social workers + Jan
Chris Cunningham 40 hrs

Jan

Year Two Budget and Description:

In year two, we will continue screening children at the 9 month, 18 month, 2, 3, and 4 year well child visits and add screening for 6 and 8 year olds. We anticipate that our screening volume will increase by approximately 20 %. Our clinical education and work will focus predominantly on the preschool and early school age area. Funding will be used to acquire screening and interpretation tools and updated diagnostic manuals. It will also provide for training of selected clinicians in the assessment of common pediatric behavioral health problems as well as training in pediatric psychopharmacology. We hope to enhance our ability to manage common, low complexity behavioral health concerns while maintaining our referral process for children of higher complexity.

Care coordination funding will allow us to continue a sustainable collaboration with our community mental health partner, our local school systems, and community agencies. Administrative funding will be used to enhance our billing and coding, track and refine our processes, and review cases for quality of care planning, referral trends, and child and family outcome. The increase in budgeted numbers for these latter processes reflects the anticipated increase in volume.

Materials	
PEDS response forms in English/Spanish	\$ 435.
DSM IV TR Code Manual	75.
Materials sub total	\$ 510.
Training	
Training in pediatric psychopharmacology for 3 clinicians	2000.
Childhood Mental Health Assessment Training for selected clinicians	2500.
Training subtotal	4500.
Patient Identification, Chart preparation and results recording	
Secretarial time for 8.5 hours/week x 50 weeks	11,050.
Care coordination	
Triage and referral	9360.
Coding and Billing	
Billing and reimbursement tracking	500.
Administrative oversight	
PEDS process integration/tracking	3120.
Outcomes analysis	960.
Oversight subtotal	4080.
GRAND TOTAL	\$ 30,000.

Child Health and Development Institute of Connecticut Financial Reports

Name of Organization: Children's and Family Health Center

Period covered by this report: May 2-May

Instructions for Financial Reports:

Please compare the budget submitted with your original proposal to your actual expenses from the beginning of the project to the end date covered by this report. Show only the budget amounts attributed to CHDI funding and how CHDI funds were spent. Please explain any significant variations between budget and actual expenses.

You may use your own format for the Financial Report as long as it includes the same elements (budget, actual expenses and remaining balance) as the example format below.

<u>Expenses</u>	<u>Budget</u>	<u>Actual Expenses</u>	<u>Remaining Balance</u>	
Salaries	\$ 20,450.00	\$ 14,832.00	\$ 5,618.00	<i>12 Mary Ann Remko 20 Chris rest me</i>
Fringe Benefits	-	-	-	
Consultants	-	-	-	
Supplies	1,028.00	2,032.93	(1,004.93)	
Other line items (specify)				
Training all staff	2,240.00	2,240.00		
Training score staff	282.00	282.00		
*Clinical Training	6,000.00	6,000.00		<i>12400- actual expe Julie Ribando</i>
Total	\$ 30,000.00	\$ 25,386.93	\$ 4,613.07	

*Chris 700
Mary Ann 420

1120*

enses for this training scheduled 6/12 & 6/13 not yet received)

Attachment 2

**Child Health and Development Institute of Connecticut
Financial Reports**

Name of Organization: _____

Period covered by this report: _____

Instructions for Financial Reports:

Please compare the budget submitted with your original proposal to your actual expenses from the beginning of the project to the end date covered by this report. Show only the budget amounts attributed to CHDI funding and how CHDI funds were spent. Please explain any significant variations between budget and actual expenses.

You may use your own format for the Financial Report as long as it includes the same elements (budget, actual expenses and remaining balance) as the example format below.

<u>Expenses</u>	<u>Budget</u>	<u>Actual Expenses</u>	<u>Remaining Balance</u>
Salaries	\$ -	\$ -	\$ -
Fringe Benefits	-	-	-
Consultants	-	-	-
Supplies	-	-	-
Other line items (specify)			
Total	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

significantly increased needs for both services and care coordination under the proposed practice structural changes, we project the need for improved reimbursement for services provided.

The Franklin Medical Group has been very supportive in providing us financial data and professional support for the purpose of optimizing revenue via aggressive but appropriate coding and billing. However, despite our recently obtaining, at the advice of the Connecticut Kid-Care/Behavioral Partnership administration, credentialing for behavioral health services for our two LCSW's, we have been unsuccessful in obtaining reimbursement for their limited child behavioral and family counseling. The administrative structure and support provided by this grant will allow us to work more effectively with Franklin to define the underlying reasons for these reimbursement difficulties, and address them more effectively. We would apply this formal focus on coding and billing not only to direct clinical services, but also to various care coordination services which have established CPT codes but have not been traditionally reimbursed. This latter point would be of special significance for long-term funding (see below).

We would also expect accrued coding and billing data will allow us to better define the scope of services necessary to support the model of care proposed. We would project using this to make the case to expand the scope of services under the Medical Home model to more specifically address behavioral and developmental needs of children. The most promising area for overall long-term funding of the Medical Home model for Medicaid funded children would appear to be the Connecticut Department of Social Services' (DSS) proposed pay for performance ("P4P") system. It has been suggested that in addition to the overall Medical Home model, P4P could also target for funding improved developmental and behavioral screening and supportive services. Ideally, the coding and billing data obtained via this grant's support of our administrative needs, in concert with Franklin's help, would allow us to justify long-term P4P funding. It could also allow us to generally support the process of justifying a significant developmental and behavioral component in DSS's pay for performance system.

5. Describe how care will be coordinated for patients with behavioral health concerns.

Written care plans will be developed as indicated by screening results. For example, if parents have developmental and/or behavioral concerns that are not deemed predictive, the anticipatory guidance section of our current EDSDT forms will be utilized by providers to delineate expanded discussion of these concerns. Scheduling methods to allow this process will be developed similar to those we have developed in our Medical Home model. When developmental or behavioral concerns that are predictive are identified, there will be a triage process to further assess the child's status and refer to the appropriate on-site clinicians. Clinical decision trees will be available to assist providers in care coordination and the referral process. When outside agency involvement is indicated, members of the Developmental and Behavioral Team will serve as practice care coordinators to collaborate in the ongoing provision of behavioral health services.

We expect a potential issue of great importance will arise as a result of the administrative and structural re-organization of our practice brought about by funding via this grant. Specifically, given our experience with our model Medical Home program implementation, we would expect to see variability as to pediatric medical provider involvement in actual care coordination vs. lateralization to the Developmental and Behavioral Team. In essence, this may create an interesting "tension" between the ideal of total integration of developmental and behavioral services into our practice vs. the evolution of a "subspecialty" of developmental and

SECTION B: Collaboration

Our primary behavioral health service collaborator will be The Child Guidance Clinic of Greater Waterbury (CGCGW). The reasons for this are related to interest, commitment, and limited behavioral providers who accept Medicaid payment in our area. The degree to which the CGCGW will be able to meet all aspects of the grant requirements will be somewhat dependent on their being awarded expected "Enhanced Care Clinic" status. Please see the attached MOU for details of what CGCGW intends to bring to the collaboration.

On-site direct service clinicians for consultation will come from our own clinical staff:

1. Board Certified Developmental and Behavioral Pediatrician (DBP)
2. Developmental and Behavioral Advanced Practice RN (DB-APRN)
3. Two Licensed Clinical Social Workers who have been credentialed by the Kid-Care Behavioral Health Partnership ASO

As described in Sections A-3 and A-5, diagnostic assessment and consultation, where necessary, will be available on-site via the developmental and behavioral consultation clinic. Limited short-term counseling and treatment will be provided by the two on-site LCSW's.

- 3.) A collaborative consultation forum is developed between pediatric providers at SMH and the Medical Director (a Board certified Child and Adolescent Psychiatrist) of CGCGW subject to establishment of a funding mechanism through Medicaid for HUSKY clients.
- 4.) A joint release of information and consent for permission to treat process is established that facilitates (appropriate and as permitted by State and Federal law) rapid access to treatment/service information.



Gary M. Steck, LMFT
Executive Director
Child Guidance Clinic of Greater Waterbury, Inc.

12-12-06

Date



Michael Novak
V.P. Professional Services, GME,
Executive Director, Franklin Medical Group/
St. Mary's Hospital

12/13/06

Date

Appeal Summary Report Family Resc 2 '02 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
Unknown carolweiner02	<i>Anna Famiglietti</i> Carol Weiner's Event of 8/31/	1 16	\$0.00 \$0.00	\$0.00 \$0.00	\$250.00 \$1,170.00	\$0.00 \$0.00	\$250.00 \$1,170.00
		and Totals:	\$0.00	\$0.00	\$1,420.00	\$0.00	\$1,420.00

17 Gift(s) listed

17 Donor(s) listed

Appeal Email Report

Family Rescue '02 Fund

Constituent Name	Date	Appeal ID	edge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Reference
William Cashman	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
John Clements	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Avril Dobousek	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Anna Famiglietti	9/30/2002		\$0.00	\$0.00	\$250.00	\$0.00	
Cheryl L. Fenske	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Laura G. Hess	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Janine Kozlowski	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Peggy Lawlor	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Wendy W. McCord	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Keith Miers	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Blair Mintz	9/19/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Paul Sachs	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Barbara W. Secor	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Elizabeth C. Smith	9/18/2002	carolweiner	\$0.00	\$0.00	\$45.00	\$0.00	
Glenn G. Taylor	9/18/2002	carolweiner	\$0.00	\$0.00	\$135.00	\$0.00	
Marcia Wallace	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
Zilaby Chiropractic Office, P.C.	9/18/2002	carolweiner	\$0.00	\$0.00	\$90.00	\$0.00	
nd Totals:			\$0.00	\$0.00	\$1,420.00	\$0.00	

17 Gift(s) listed

17 Donor(s) listed

7/12
#3641
\$500

8-20-02

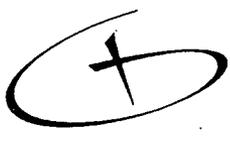
Dear Peter,

Enclosed is check re our
conversation - one-half for Dr.
Tamiglette fund and balance for
Carol Weinger's fund
→ family assistance. A reminder
to keep notes on how money
is used.

Best Wishes

Anna M. Tamiglette

728 Heritage Village
Southbury CT 06488



Saint Mary's Hospital
Foundation, Inc.

October 14, 2002

Anna Famiglietti, M.D.
728A Heritage Village
Southbury, CT 06488

Dear Dr. Famiglietti,

It is with sincere gratitude that we acknowledge your generous contribution of \$500; \$250 specifically designated for the Family Rescue Fund and \$250 for the Dr. Anna Famiglietti Fund.

Each year, we look to friends of St. Mary's Hospital to help us to continue providing the quality, compassionate care that has been our hallmark for 90 years. With your support, we have kept pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire St. Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,



Robert P. Ritz
President/CEO
St. Mary's Hospital

RPR:hrs

P.S. This contribution is available as a tax deduction as no goods or services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

1131

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

cor2000	Corporations 2000	4	\$0.00	\$0.00	\$4,500.00	\$0.00	\$4,500.00
---------	-------------------	---	--------	--------	------------	--------	------------

and Totals:

			\$0.00	\$0.00	\$4,500.00	\$0.00	\$4,500.00
--	--	--	--------	--------	------------	--------	------------

4 Gift(s) listed

2 Donor(s) listed

Family Health Center Education Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Merck & Co., Inc. 77 Ridgeland Dr Waterbury, CT 06708-1562 (800) 737-2088	3/27/2000	Family Health Center I	\$0.00	\$2,000.00	
Pfizer, Inc. 4340 Main St Stratford, CT 06614-3318 (203) 383-4360 ~	5/13/2000	Family Health Center I	\$0.00	\$1,500.00	
	5/13/2000	Family Health Center I	\$0.00	\$500.00	
	5/13/2000	Family Health Center I	\$0.00	\$500.00	
Grand Totals:			\$0.00	\$4,500.00	

4 Gift(s) listed

2 Donor(s) listed

~ The phone is marked as 'Do not contact'



Saint Mary's Hospital
Foundation, Inc.

May 19, 2000

Mr. James Joseph Reilly
Pfizer, Inc.
Roerig Division
235 East 42nd Street
New York, NY 10017-5755

Dear Mr. Reilly,

It is with sincere gratitude that we acknowledge your company's generous contribution of \$1,500 for the Family Health Center's Education Fund.

Each year, we look to friends of St. Mary's Hospital to help us to continue providing the quality, compassionate care that has been our hallmark for 90 years. With your support, we have kept pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire St. Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,

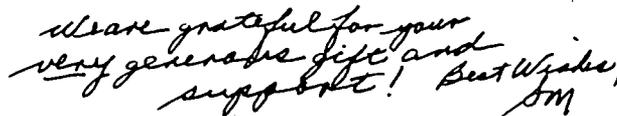


Sister Marguerite Waite, C. S.J.
President & Chief Executive Officer
St. Mary's Hospital

SMW:hrs

1135

P.S. This contribution is available as a tax deduction as no goods or services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

cor2001	Corporations 2001 <i>Archived AstraZeneca</i>	1	\$0.00	\$0.00	\$9,210.00	\$0.00	\$9,210.00
---------	--	---	--------	--------	------------	--------	------------

	and Totals:		\$0.00	\$0.00	\$9,210.00	\$0.00	\$9,210.00
--	--------------------	--	--------	--------	------------	--------	------------

1 Gift(s) listed

1 Donor(s) listed

B11

Fund Report FHC Hypertension Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
------------------	------	------------------	-----------------	-----------------	-----------

AstraZeneca LP 50 Otis St Westborough, MA 01581-3323 800-523-3255, x6543	3/15/2001	FHC Hypertension	\$0.00	\$9,210.00	
---	-----------	------------------	--------	------------	--

Grand Totals: \$0.00 \$9,210.00

1 Gift(s) listed
1 Donor(s) listed

George & Grace L...j Foundation Fund

X

B-12

Constituent Name	Date	Gift	Fund Description	Appeal Des	Amount	Reference
The George A. and Grace L. Long Nancy Infante c/o: Fleet Mail Stop: CT EH 40222B 777 Main Street Hartford, CT 06115 860-986-7845	5/21/2002	Cash	Reach Out & Read (CHC & FHC)	Grant	\$3,000.00	
	6/9/2004	Cash	Children's Health Ctr./Family Health	Grant	\$1,500.00	to support purchase of supplies for Group Well Ct
	12/21/2005	Cash	Birthing Center Fund	Grant	\$2,000.00	to provide hospitality cart for new mothers before
	12/18/2006	Cash	Children's Health Ctr./Family Health	Grant	\$1,450.00	to purchase two wheelchairs to transport patients t
	6/9/2008	Cash	Neonatal ICU	Grant	\$1,375.00	purchase of CPR teddies of the Neonatal Intensiv
			Total Cash:		<u>\$9,325.00</u>	
			Grand Total Cash:		\$9,325.00	

Marjorie Alexandre Davis
Vice President



Fleet

FleetBoston Financial

Foundation & Philanthropic Services CT
Mail Stop: CT EH 40222B
777 Main Street
Hartford, CT 06115
860 952.7405 tel
860 952.7395 fax
marjorie_alexandre_davis@fleet.com

May 18, 2004

Ms. Patricia A. Evans
Grant Manager
St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans:

It is a pleasure to inform you that the George A. and Grace L. Long Foundation has decided to award a grant in the amount of \$1,500.00 to support purchase of supplies for the Group Well Child Care program.

The Trustees hope that the grant will be supportive of the program set forth in your application. If for any reason your program changes substantially or you have already received complete funding for it, please notify us immediately.

We look forward to receiving the enclosed post-grant evaluation due by **May 1, 2005**. Post-grant reports that are not received by the due date will impact future funding opportunities.

If you have any questions, please feel free to call me at (860) 952-7405.

Sincerely,

Marjorie Alexandre Davis
Vice President

*CHC
per Pat Evans
6/2/04*

THIS CHECK IS VOID WITHOUT A BLUE & PURPLE BACKGROUND AND AN ARTIFICIAL WATERMARK CERTIFICATION SEAL ON THE BACK - HOLD AT ANGLE TO VIEW SEAL



1177104010

VOID AFTER 180 DAYS

NO. 4194587

051-57
119

SUPPORT THE WELL CHILD CARE PROGRAM

DATE	AMOUNT
05/19/04	\$****1,500.00

PAY

ONE THOUSAND FIVE HUNDRED DOLLARS AND NO/100

TO THE
ORDER
OF

SAINT MARY'S HOSPITAL FOUNDATION

FLEET NATIONAL BANK
HARTFORD, CT

Mary E. Vogan

SIGNATURE HAS A COLORED BACKGROUND - BORDER CONTAINS MICROPRINTING

⑈4194587⑈ ⑆011900571⑆ 986476074⑈

Appeal Summary Report
 Gorman, Flanagan Astoria Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2008	Corporations 2008	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
est98	Estates 1998	1	\$0.00	\$2,000.00	\$0.00	\$0.00	\$2,000.00
fn2002	Foundations 2002	1	\$0.00	\$5,000.00	\$0.00	\$0.00	\$5,000.00
fundam0501	Fundamentals May 2001	2	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
grant	Grant	1	\$0.00	\$5,000.00	\$0.00	\$0.00	\$5,000.00
ind2001	Individuals 2001	6	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
ind2002	Individuals 2002	3	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ind2004	Individuals 2004	8	\$0.00	\$0.00	\$1,035.70	\$0.00	\$1,035.70
ind2005	Unsolicited 2005	4	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
ind2008	Unsolicited 2008	5	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
ind2010	Unsolicited 2010	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
maj2002	Major Gifts 2002	2	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
maj98	Major Gifts 1998	2	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00
mem2001	Remembrance 2001	6	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
mem2002	Remembrance 2002	4	\$0.00	\$0.00	\$650.00	\$0.00	\$650.00
mem2003	Remembrance 2003	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem2004	Remembrance 2004	2	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
mem2006	Remembrance 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem2009	Remembrance 2009	2	\$0.00	\$0.00	\$550.00	\$0.00	\$550.00
mem2010	Remembrance 2010	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2001	Past Remembrances 2001	6	\$0.00	\$0.00	\$435.00	\$0.00	\$435.00
rem2002	Past Remembrances 2002	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
rem2003	Past Remembrances 2003	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
rem2004	Past Remembrances 2004	5	\$0.00	\$0.00	\$714.30	\$0.00	\$714.30
rem2006	Past Remembrances 2006	3	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
rem2007	Past Remembrances 2007	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2009	Past Remembrances 2009	1	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00

Delaney Assoc., JB

Flanagan, Grace F.

Leever Fnda.

archived

Leever Fnda.

archived

Gorman, James

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Gorman, James

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Gorman, James

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B13

Appeal Summary Report
Gorman, Flanagan Asth...a Fund 1/1987 - 12/2010

and Totals:	\$0.00	\$12,000.00	\$13,785.00	\$0.00	\$25,785.00
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72 Gift(s) listed

22 Donor(s) listed

Fund Financial Report

Gorman, Flanagan Family Asthma Trust Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Eddie Bishop 5269 Alan Ave San Jose, CA 95124-5747	2/27/2008	Gorman, Flanagan Fa	\$0.00	\$50.00	
J. B. Delaney Associates 5 Suncrest Ln Farmington, CT 06032-3009 (860) 678-9700 ~	12/31/2001	Gorman, Flanagan Fa	\$0.00	\$500.00	
	12/31/2002	Gorman, Flanagan Fa	\$0.00	\$500.00	
	11/7/2007	Gorman, Flanagan Fa	\$0.00	\$50.00	
John B. Delaney 5 Suncrest Ln Farmington, CT 06032-3009 860-677-2263 ~	12/16/2005	Gorman, Flanagan Fa	\$0.00	\$300.00	
	12/31/2006	Gorman, Flanagan Fa	\$0.00	\$200.00	
Loretta Gorman Delaney 5 Suncrest Ln Farmington, CT 06032-3009 860-677-2263 ~	1/31/2008	Gorman, Flanagan Fa	\$0.00	\$500.00	
Design Finishing, Inc. 117 Bronson Dr Middlebury, CT 06762-2341 203-758-1202	12/31/2000	Gorman, Flanagan Fa	\$0.00	\$100.00	
Estate of Grace E. Flanagan PO Box 2184 Waterbury, CT 06722-2184	2/28/1999	Gorman, Flanagan Fa	\$2,000.00	\$0.00	
Grace E. Flanagan 440 Wilson Street Waterbury, CT 06708 (203) 757-5975	5/31/1998	Gorman, Flanagan Fa	\$0.00	\$300.00	
Josephine Gibino 39 Sherman Hill Rd Woodbury, CT 06798-3650 (203) 729-2971	1/31/2001	Gorman, Flanagan Fa	\$0.00	\$50.00	
James J. Gorman 20 Fox St Waterbury, CT 06708-3402 (203) 755-3851	5/31/1998	Gorman, Flanagan Fa	\$0.00	\$100.00	
	10/31/2000	Gorman, Flanagan Fa	\$0.00	\$40.00	
	10/31/2000	Gorman, Flanagan Fa	\$0.00	\$40.00	
	10/31/2000	Gorman, Flanagan Fa	\$0.00	\$40.00	

Gorman, Flanagan Family Asthma Trust Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
	10/31/2000	Gorman, Flanagan Fa	\$0.00	\$40.00	
	10/31/2000	Gorman, Flanagan Fa	\$0.00	\$40.00	
	12/31/2000	Gorman, Flanagan Fa	\$0.00	\$50.00	
	12/31/2000	Gorman, Flanagan Fa	\$0.00	\$50.00	
	2/16/2001	Gorman, Flanagan Fa	\$0.00	\$100.00	
	4/30/2001	Gorman, Flanagan Fa	\$0.00	\$50.00	
	4/30/2001	Gorman, Flanagan Fa	\$0.00	\$50.00	
	7/19/2001	Gorman, Flanagan Fa	\$0.00	\$100.00	
	12/31/2001	Gorman, Flanagan Fa	\$0.00	\$150.00	
	1/31/2002	Gorman, Flanagan Fa	\$0.00	\$50.00	
	1/31/2002	Gorman, Flanagan Fa	\$0.00	\$50.00	for her dedication to Wtby. co
	1/31/2002	Gorman, Flanagan Fa	\$0.00	\$50.00	
	1/31/2002	Gorman, Flanagan Fa	\$0.00	\$50.00	
	4/10/2003	Gorman, Flanagan Fa	\$0.00	\$25.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	6/24/2004	Gorman, Flanagan Fa	\$0.00	\$125.00	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	

Fund Trail Report

Gorman, Flanagan Family Asthma Trust Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.86	
	9/17/2004	Gorman, Flanagan Fa	\$0.00	\$142.84	
	8/23/2005	Gorman, Flanagan Fa	\$0.00	\$625.00	
	8/23/2005	Gorman, Flanagan Fa	\$0.00	\$625.00	
	8/23/2005	Gorman, Flanagan Fa	\$0.00	\$625.00	
	8/23/2005	Gorman, Flanagan Fa	\$0.00	\$625.00	
	10/17/2005	Gorman, Flanagan Fa	\$0.00	\$100.00	
	10/17/2005	Gorman, Flanagan Fa	\$0.00	\$100.00	
	10/17/2005	Gorman, Flanagan Fa	\$0.00	\$100.00	
	12/17/2007	Gorman, Flanagan Fa	\$0.00	\$300.00	
	2/13/2008	Gorman, Flanagan Fa	\$0.00	\$300.00	
	2/16/2009	Gorman, Flanagan Fa	\$0.00	\$150.00	
	6/18/2009	Gorman, Flanagan Fa	\$0.00	\$400.00	
	9/30/2009	Gorman, Flanagan Fa	\$0.00	\$400.00	
	3/26/2010	Gorman, Flanagan Fa	\$0.00	\$200.00	
	9/24/2010	Gorman, Flanagan Fa	\$0.00	\$2,000.00	
	2/18/2011	Gorman, Flanagan Fa	\$0.00	\$100.00	
Joseph J. Gorman 14 Bentwood Dr Waterbury, CT 06705-3612 203-573-0511	2/16/2001	Gorman, Flanagan Fa	\$0.00	\$100.00	
Mary Hatch 419 South St Plymouth, CT 06782-2714 Margaret S. Jenkins	1/31/2001	Gorman, Flanagan Fa	\$0.00	\$50.00	

Fund Report

Gorman, Flanagan Family Asthma Trust Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
112 Dogwood Ln Meriden, CT 06450-2523 203-634-0471 ~	4/30/2002	Gorman, Flanagan Fa	\$0.00	\$25.00	
Russell T. Kerchis 4 Harvest Ln Farmington, CT 06032-3105 (860) 677-0925 ~	1/31/2008	Gorman, Flanagan Fa	\$0.00	\$50.00	
The Leever Foundation, Inc. 81 W Main St Waterbury, CT 06702-2006 (203) 757-9640	7/31/2002	Gorman, Flanagan Fa	\$5,000.00	\$0.00	
Ralph Lombardi 77 Sheffield Pl Southington, CT 06489-1364 869-621-4526	10/16/2003	Gorman, Flanagan Fa	\$5,000.00	\$0.00	
Joseph L. Longo	4/30/2001	Gorman, Flanagan Fa	\$0.00	\$50.00	
James C. Marciano	7/19/2001	Gorman, Flanagan Fa	\$0.00	\$35.00	
Francis Monahan 455 Weymouth Dr Wyckoff, NJ 07481-1216 (201) 891-4772 ~	4/30/2002	Gorman, Flanagan Fa	\$0.00	\$50.00	
John Riley 14 Brookline Dr West Hartford, CT 06107-1203 860-232-1177	2/16/2001	Gorman, Flanagan Fa	\$0.00	\$1,000.00	
Jean San Juan unforwardable Southington, CT 06489	4/30/2002	Gorman, Flanagan Fa	\$0.00	\$100.00	
John Shea 10 Lanyard Ln Waterford, CT 06385-3208 860-701-0238 ~	7/19/2001	Gorman, Flanagan Fa	\$0.00	\$25.00	
Dorothy Stein	4/30/2002	Gorman, Flanagan Fa	\$0.00	\$25.00	
	7/19/2001	Gorman, Flanagan Fa	\$0.00	\$100.00	

Gorman, Flanagan Family Asthma Trust Fund

Grand Totals: \$12,000.00 \$13,885.00

73 Gift(s) listed

22 Donor(s) listed

~ The phone is marked as 'Do not contact'

Jim Gorman

Please deposit
to the Gorman
Family Asthma
Fund

V# 2542
\$ 2,000
1/10

JAMES GORMAN
20 FOX STREET
WATERBURY, CT 06708

2542

66-21/530 98204

Aug 15th 2010
Date

Pay to the
Order of Childrens Health Center \$ 2000.⁰⁰
Two thousand and no/100 Dollars

COMMAND ASSET PROGRAM
Wachovia Bank, a division of Wells Fargo Bank, N.A.

CODE

For Donation

James J Gorman MP

⑆053000219⑆ 9072739345⑆ 2542

Harland Clarke

V# 2542
\$ 2,000
8/15/10

JAMES J. GORMAN
20 FOX STREET
WATERBURY, CT 06708

Hot Number
Slybase Hill Golf Course

5690

Sept 24
2007

DATE
\$ 400.00

PAY TO THE ORDER OF

Saint Mary's Hospital
Waterbury, CT

ADDITIONAL SECURITY FEATURES

 Webster Bank
WebsterOnline.com

James J. Gorman

⑆ 211170101⑆ 0003880754 ⑆ 5690

Photograph © Joann Dost

PEBBLE BEACH®

Harland Clarke

JAMES GORMAN
20 FOX STREET
WATERBURY, CT 06708

COMMAND ASSET PROGRAM

2385

66-21/530 98204

June 3 2007 Date

Pay to the Order of Saint Mary's Hospital Foundation \$ 400.⁰⁰
Four Hundred & 00/100 Dollars  Security Features Details on Back



WACHOVIA SECURITIES

Wachovia Bank, N.A.

CODE

For

Deposit - Gorman's asthma fund James Gorman NP

⑆053000219⑆ 9072739345⑆

2385

JAMES J. GORMAN
20 FOX STREET
WATERBURY, CT. 06708

ANDREWS

5052

51-7010/211
BRANCH 261

PAY TO THE
ORDER OF

Saint Marys Hospital

\$ 300.00

Three Hundred & 00/100

DOLLARS

Webster Bank

FOR DEPOSIT ONLY

James J. Gorman

⑆ 211170101⑆ 0003880754 ⑆ 5052

Individual

Monday
2021 ^{are} albany
West Hartford, Ct

Dear Mr Ritz (Bob)

While attending the trinity -
Williams Football Game at trinity
yours truly met Dr David Fogarty
from Morganton W.V. as soon as I
mentioned Waterbury Conn he mentioned
Saint Mary's Hospital and Bob Ritz.
He said he knew your Parents - something
about running with them + young Bob.
a small world - He was very congenial
and was invited into their group -
- all trinity - and ^{as} Dave's son Shane
is on the trinity Football Staff.

* a note to you via Business Card

Wishing to make a donation to the
Gorman-Flanagan Asthma Trust Fund -
in honor of Dr Jega - who is a wonderful
Doctor and has been very kind to me
for many years. a note to him will be
appreciated.

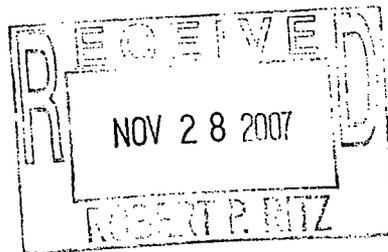
1155

Continued success with the United
Way - 2007 Fund - yours truly is a member

of the Linnworth Society, for
many years.

May you and your staff
be blessed as you continue your
mission to success at Saint
Mary's Hospital.

Best James + personal
Regards
James



Monday Oct 3

Dear Marilyn

Wishing with pleasure to donate specifically to the Gorman Flanagan Family Asthma Trust Fund.

In memory of Dr. N. Patricia Yarbough - Dr. Larry Shea and John + Mary Flanagan Gorman

Dr. Yarbough (Patricia) had a very successful and comprehensive career in the field of Education and Business - where she excelled in both. She made a very positive contribution to charity in a major way for many years in the Waterbury Community.

Dr. Larry Shea - who gave so much to the Waterbury community in so many different ways, - he was a helping hand in the start of the Community College in Waterbury - and also his major contribution to the

Exchange Club - where he received many awards. - also many awards in the field of dentistry - where he excelled. I must mention his love of Baseball - in the city of Waterbury: - and also his Alma Mater - Villanova College.

Larry will be deeply missed by everyone who had the pleasure and opportunity to be his friend.

John and Mary Flanagan Gorman - as a young man - I was told never forget your - Roots - and also be kind & caring to people in need.

Best Personal Regards
Jeni

#1978

9/25/05

\$200.-

Dear Bob (again) - an Open Letter

Wishing with pleasure to donate and
apply to the Gorman Asthma Trust Fund 5076
and clothes for needy children 1/30
\$300-

I wish to honor - and have this
donation in their honor - two wonderful
staff members who have so kind to
me for many years.

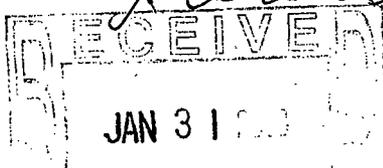
Renee Warner - who has in charge
financially with the asthma fund
since its inception - and has performed
in a exceptional manner. She is very
accessible - very smart with numbers
- with a wonderful personality. Special
thanks - Renee.

Marlyn - Howick - just a great
person - wonderful to work with in so
many ways - she is so kind - the Foundation
will not be the same without her.

I wish her well - Special thanks

Marlyn

1159



Don't forget you Bob

So sorry for your
time

I have enclosed a donation,
specifically to the Gorman/
Blanagan Children's Asthma
Trust Fund

in honor of James J.
Gorman Loretta Gorman
from John and Loretta Gorman
Delaney.

Sincerely,
Loretta Gorman
Delaney

James J. Gorman
20 Jay St.
Waterbury, CT
06708

J. B. DELANEY ASSOCIATES
5 SUNCREST LANE PH. 860-677-2263
FARMINGTON, CT 06032

3478

Pay to the
Order of

Gorman/Blanagan Children's Asthma Trust

Date 10/25/07

51-57/119 CT
21156

Fifty and no
/100

\$ 50.00

Dollars

Bank of America



ACH R/T 011900571

For

0011900571: 000000334126#3478

Loretta B. Delaney MP

©Clarke American

Security feature
ink included.
Details on back

JOHN B. DELANEY
 LORETTA G. DELANEY
 860-677-2263
 5 SUNCREST LANE
 FARMINGTON, CT 06032

Date 12/29/06 2024
 51-57/119-CT
 1957

Pay to the
 Order of Trust Fund
Gorman/Flanagan Children's Asthma \$ 200.00

Two hundred and $\frac{00}{100}$ Dollars

Bank of America 

AGH.FVT.011900571

For Loretta G. Delaney

⑆011900571⑆ 009369693055⑈ 2024

©2006 Bank of America GUARDIAN SAFETY GREEN WGN

Past remembrances

This donation is specifically for the
 Gorman/Flanagan Children's Asthma
 Trust Fund in memory of our
 daughter, Betsy Delaney.

Sincerely,
 John B. and Loretta Gorman Delaney

5 Suncrest Lane
Farmington, CT 06032

Dec. 6, 2005

We are sending this gift
to the Gorman/Blanagan
Family Asthma Trust Fund
affiliated with Children's
Health Center at St. Mary's
Hospital.

This gift is given in memory
of our daughter, Betsy Delaney,
because we are aware of
the great work that is being
done there to help children
with asthma.

#152L
12/6/05
\$300.-

Sincerely,
John and Louetta
(Gorman) Delaney



The Leever Foundation

Established by Harold Leever

Ruth Ann Leever
Chairman

Andrew V. Leever

Daniel H. Leever

Suzanne Leever-Hart

Thomas M. Leever

March 18, 2002

Mr. Peter Moody
Acting Executive Director
St. Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706

*Golman
Family Asthma
Fund*

Dear Mr. Moody:

At its meeting on February 26, 2002, the Board of Trustees of The Leever Foundation reviewed the request from St. Mary's Hospital Foundation for \$15,000 for the asthma fund. After careful review of the application and the Foundation's existing grant commitments, the Board voted to grant \$5,000 for this fund to provide prescriptions and other supports to asthma victims.

A check in the amount of \$5,000 will be processed and forwarded during the second quarter of 2002. Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Ruth Ann Leever

Ruth Ann Leever
The Leever Foundation

RAL/co

1163

~~2232 St. Mary's Hospital Foundation 07/15/2002 100052~~

20020089 04/01/2002 Leever: Support for Asthma Fund 5,000.00
LEEVEE Leever Foundation 5,000.00

PP

CHECK TOTAL: \$*****5,000.00

Thomas M. Leever

Dear Ms. Evans:

I am enclosing a check in the amount of \$5,000 representing the 2002 Leever Foundation grant to St. Mary's Hospital Foundation for the asthma fund.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,

Ruth Ann Leever
RAL

Ruth Ann Leever
The Leever Foundation

RAL/co

1164



March 30, 1999

Mr. James Gorman
20 Fox Street
Waterbury, CT 06708

Dear Jim,

Thank you for meeting with Sister Marguerite Waite and me regarding the dispersement of the \$5,000 bequest from the Estate of Grace Flanagan. Your Aunt would have been pleased with your desire to fund programs affecting children.

As we discussed, the \$5,000 will be distributed as follows: (1) \$2,000 to the Children's Health Center's Dress for Success Program, \$400 annually for five years to fund school clothing, etc. for two children attending Hopeville School. One gift will be in memory of Grace Flanagan and the other in the name of John Gorman; (2) \$1,000 to The Harold Leever Cancer Center Fund in memory of Grace Flanagan; and, (3) \$2,000 to the Childhood Asthma Prevention and Education Program in memory of Grace Flanagan.

If there are any discrepancies in the above dispersements, please contact me. Thank you again for your special and continuing interest in St. Mary's.

Sincerely,

Warren G. Sarasin
Executive Director

WGS/st

cc: Sister Marguerite Waite CSJ

RIFFIN & GRIFFIN, P.C.

P.O. Box 2184

Waterbury, Connecticut 06722-2184

★ ★ ★ UNITED STATES POSTAGE
 165
 3970 \$ 00.330 PB6604937
 8809 WATERBURY CT FEB 08 99 06702

146
 \$5,000.
 2/8/99
 pldg pay

ST. MARY'S HOSPITAL
 ATTENTION: MR. WARREN SARASIN
 56 FRANKLIN STREET
 WATERBURY, CT 06706



06706X1281 83



RE: ESTATE OF GRACE FLANAGAN

Dear Mr. Sarasin:

In accordance with Article Sixth of the Last Will & Testament of Grace Flanagan, please find enclosed a check payable to St. Mary's Hospital, in the amount of \$5,000.00.

Please provide me with the hospital's tax identification number at your earliest convenience.

If you have any questions, please do not hesitate to contact me.

Very truly yours,

Deborah C. Manville

Deborah C. Manville
 Griffin & Griffin, P.C.
 Probate Paralegal

dcm
 enclosure

STATE OF CONNECTICUT

DEPARTMENT OF REVENUE SERVICES



0020853 12

December 18, 1998

Michael V. Kennedy
MacDermid Incorporated
245 Freight Street
Waterbury, CT 06702

RECEIVED
JAN 23 1999

Vendor #	79059	Due Date	
Ck #		Billing #	
Acct Code		Tax Amt	
Exp Amt		Amt Due	
Tax Code		Acct Per	
Discount		Batch #	0011
Initials	AD		20

Dear Mr. Kennedy:

This is to notify you that your Neighborhood Assistance Act tax credit of \$3,000.00 has been approved. This approval is subject to the following conditions, all of which must be met in order to receive your tax credit:

- The contribution must be in cash only;
- The contribution must be made during your company's income year that begins in 1998 and claimed on your company's 1998 corporation business tax return;
- The total charitable contributions for your 1998 income year must equal or exceed your prior year's total charitable contributions; and
- A copy of this approval letter must be included with your corporation business tax return.

Please note that your credit is based on a contribution of \$5,000.00 to support the Childhood Asthma Prevention program conducted by St. Mary's Hospital Foundation. Your credit cannot exceed this amount; however, if your contribution total is decreased, your credit will be reduced accordingly.

Please direct any questions you may have to Susan Sherman or her staff at (860) 297-5687.

Sincerely,

Gene Gavin

Gene Gavin
Commissioner

approved
Susan Sherman

V/C 7905

OK to Pay from copy 1/29/99

acct 15901
1/5/99

cc: Waterbury Municipal Liason

Record 406



MacDermid
INCORPORATED

WATERBURY CONN. 06720

CHECK NO.
274785

DATE
1/28/99

VENDOR NO.
79059

DATE	INVOICE OR CREDIT MEMO NUMBER	TYPE	DESCRIPTION	GROSS	AMOUNTS DISCOUNTS	NET
11898	DONATION	IN		500000		500000
THE ATTACHED CHECK IS IN PAYMENT FOR ITEMS DESCRIBED ABOVE.				TOTAL		500000

pldg payment Asthma



MacDermid
INCORPORATED

WATERBURY, CONN. 06720

CHECK NO.
250101

DATE
1/09/98

VENDOR NO.
79059

DATE	INVOICE OR CREDIT MEMO NUMBER	TYPE	DESCRIPTION	REFERENCE NO.	GROSS	AMOUNTS DISCOUNTS	NET
10598	CHILDHOOD ASTHMA				500000		500000
THE ATTACHED CHECK IS IN PAYMENT FOR ITEMS DESCRIBED ABOVE.				TOTAL			500000

VENDOR NBR 8304 CUST NBR PLEASE RETAIN THIS VOUCHER NAME ST MARYS HOSPITAL FOUNDATION
 VOUCHER NBR 68213 DATE 01/29/98 INVOICE NBR GROSS AMOUNT 1,500.00 DISCOUNT .00 NET AMOUNT 1,500.00
 PG AD: 7TH ANNL GALA 2/28/98

CHECK NUMBER CHECK DATE
 4829336809 01/29/98

TOTAL GROSS 1,500.00 TOTAL DISCOUNT .00 TOTAL NET 1,500.00
 WEBSTER BANK
 WEBSTER PLAZA
 WATERBURY, CONNECTICUT 06702

VENDOR NBR 8304 CUST NBR PLEASE RETAIN THIS VOUCHER NAME ST MARYS HOSPITAL FOUNDATION
 VOUCHER NBR 64226 DATE 09/15/97 INVOICE NBR GROSS AMOUNT 10,000.00 DISCOUNT .00 NET AMOUNT 10,000.00
 A LDHOOD ASTHMA PREVENTION

CHECK NUMBER CHECK DATE
 4829330338 12/31/97

TOTAL GROSS 10,000.00 TOTAL DISCOUNT .00 TOTAL NET 10,000.00
 WEBSTER BANK
 WEBSTER PLAZA
 WATERBURY, CONNECTICUT 06702

SMH FOUNDATION, INC.

St. Mary's Hospital Foundation, 56 Franklin St., Waterbury, CT 06706 (203)574-6390

B13

96-9600-960503
Child. Asthma

September 25, 1997

Mr. Robert W. Garthwait, President
Cly-Del Manufacturing Co.
16 Sharon Road
P.O. Box 1367
Waterbury, CT 06721-1367

Dear Mr. Garthwait,

Marty Morrissey and I were very pleased to hear from you last Thursday, advising us that Cly-Del will make a gift to help fund the Childhood Asthma Prevention Program at St. Mary's Hospital. It is our understanding that your pledge is \$10,000 per year for two years, with a first check coming in a month or so.

When the State of Connecticut approved St. Mary's request for the Asthma Prevention Program to qualify under the 1997 Neighborhood Assistance Act, we believed the tax credit incentive would help to encourage corporate participation in this worthwhile project. Due to MacDermid's support, and that of a few other generous local firms, this important program will move forward.

Thank you for helping to allow St. Mary's do what we do best; offering quality medical care to everyone, but especially to those with the greatest need and the least ability to afford the care necessary. You are truly helping to make a difference in Waterbury.

Sincerely,



Warren G. Sarasin
Executive Director

cc: Martin M. Morrissey
Sister Marguerite Waite
M. Alex Geertsma, M.D.



1998 NEIGHBORHOOD ASSISTANCE ACT BUSINESS APPLICATION

036818
12/17
\$10,000 -
plg paym.

Each business firm requesting a tax credit under the Neighborhood Assistance Act Program must complete and submit this form. A separate Form NAA-02 is required for each cash contribution for which credit is being requested. All Forms NAA-02 must be mailed or hand-delivered to the Department of Revenue Services, 25 Sigourney Street, Hartford, Connecticut 06106, Attn: Research Unit, on or after September 15, 1998, but no later than October 1, 1998. Telecopied applications will not be accepted.

PART I: BUSINESS FIRM INFORMATION

BUSINESS FIRM NAME: CLY-DEL MANUFACTURING COMPANY
 ADDRESS: 16 SHAW ROAD
P.O. BOX 1367
WATERBURY, CT 06721

FEDERAL EMPLOYER IDENTIFICATION NUMBER: 06-0297455
 CONNECTICUT TAX REGISTRATION NUMBER: 0560250-000

TAX YEAR END: DECEMBER 31, 1998

CONTACT PERSON: CHARLES W. HEAVEN CPA
 TITLE: ACCOUNTANT
 PHONE NUMBER: 574-2100

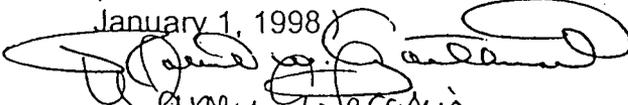
PART II: PROGRAM PROPOSAL INFORMATION

ORGANIZATION/MUNICIPAL AGENCY: St. Mary's Hospital Foundation, Inc
 PROGRAM TITLE: Childhood ASTHMA PREVENTION
 MUNICIPALITY APPROVING PROGRAM: Waterbury

AMOUNT OF CASH CONTRIBUTION: \$10,000
 (\$250 minimum)
 % CREDIT: 60%

HAS THIS CONTRIBUTION BEEN MADE? No
 IF YES, DATE MADE: _____
 IF NO, DATE TO BE MADE: Prior to 12/31/98

(Note: contribution must be made in business' income year commencing on or after January 1, 1998)


 Signature of Authorized Representative
August 13, 1998
 Date

WARREN G. SARASIN, EXECUTIVE DIRECTOR
 Print Name & Title of Authorized Representative

Account # 100007
 Mr. Robert W. Garthwait
 President
 Cly-Del Manufacturing Co.
 16 Sharon Road
 P.O. Box 1367
 Waterbury, CT 06721-1367

032158
 11/13
 \$10,000 -

cor97

Please Remit:	\$10,000.00
Please indicate amount paid:	10,000.00

PLEASE RETURN THIS PORTION TO ASSURE CREDIT TO YOUR PLEDGE

St. Mary's Hospital Foundation, 56 Franklin Street, Waterbury, Connecticut 06706 • (203) 574-6390 • Fax (203) 597-3703

THIS CHECK RENDERED IN FULL PAYMENT
 OF ITEMS LISTED BELOW.
 DETACH BEFORE DEPOSITING

REMITTANCE ADVICE

VOUCHER NO. 032158

DATE	REFERENCE	INVOICE AMOUNT	DEDUCTION	BALANCE
10-17-97	CAP PROG	10,000.00		10,000.00



THE **CLY-DEL** MANUFACTURING COMPANY
 METAL GOODS MANUFACTURERS-SPECIALISTS IN DRAWN SHELLS
 WATERBURY, CONN. 06721

Appeal Summary Report
 Reach Out and Read Fund and 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2000	Corporations 2000	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
cor2002	Corporations 2002	1	\$0.00	\$0.00	\$0.00	\$325.00	\$325.00
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$0.00	\$675.00	\$675.00
cor2011	Corporations 2011	1	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00
grant	Grant Anthem + Long Fnda	2	\$0.00	\$0.00	\$13,000.00	\$0.00	\$13,000.00
ind2001	Individuals 2001	2	\$0.00	\$0.00	\$38.92	\$0.00	\$38.92
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
ROR	Reach Out and Read Program	10	\$0.00	\$0.00	\$24,271.96	\$0.00	\$24,271.96
		and Totals:	\$0.00	\$0.00	\$38,720.88	\$1,000.00	\$39,720.88

19 Gift(s) listed

17 Donor(s) listed

B14

Fund L Reach Out & Read (CHC & FHC) Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
1st Congregational Church 222 W Main St Waterbury, CT 06702-1286 203-757-0331	5/25/2000	Reach Out & Read (C)	\$0.00	\$7,280.00	
Anthem Blue Cross & Blue Shield 370 Bassett Rd North Haven, CT 06473-4201 (203) 239-8245	2/14/2007	Reach Out & Read (C)	\$0.00	\$10,000.00	Reach Out & Read Program
Barnes & Noble Bookstore 235 Union St Waterbury, CT 06706-1248 (203) 759-7125	8/11/1999	Reach Out & Read (C)	\$0.00	\$1,169.41	
	3/31/2000	Reach Out & Read (C)	\$0.00	\$10.00	rebate on books purchased
	4/6/2000	Reach Out & Read (C)	\$0.00	\$1,983.01	
Alex Birch 31 Old Farm Rd Oxford, CT 06478-1704 (203) 888-8877 ~	9/25/2001	Reach Out & Read (C)	\$0.00	\$25.00	
Child Health Foundation of Boston 2 Charlesgate W Boston, MA 02215-3540 (617) 262-4909	2/15/2000	Reach Out & Read (C)	\$0.00	\$750.00	
Michael L. Crane 128 Hotchkiss Ave Thomaston, CT 06787-1524 (860) 283-9460 ~	12/6/1999	Reach Out & Read (C)	\$0.00	\$250.00	
Anna Famiglietti 80 Heritage Rd # 138 Southbury, CT 06488-1882 (203) 264-3832 ~	6/30/2001	Reach Out & Read (C)	\$0.00	\$1,000.00	
The George A. and Grace L. Long F c/o: Fleet Mail Stop: CT EH 40222B 777 Main Street Hartford, CT 06115 860-986-7845 Barbara Matthews	5/21/2002	Reach Out & Read (C)	\$0.00	\$3,000.00	

Fund Financial Report

Reach Out & Read (CHC & FHC) Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
P.O. Box 1311 Middlebury, CT 06762-7311	5/31/2001	Reach Out & Read (C)	\$0.00	\$13.92	refunded check returned to buy
Ronald McDonald House Charities 101 Huntington Ave Boston, MA 02199-7603 (617) 737-6400	8/15/1997	Reach Out & Read (C)	\$0.00	\$10,189.00	
Middlebury Junior Woman's Club PO Box 414 Middlebury, CT 06762-0414	7/19/2001	Reach Out & Read (C)	\$0.00	\$50.00	
Pomperaug High School PTO 68 Cedar Grove Rd Southbury, CT 06488-1930 (203) 262-6965 ~	4/26/2002	Reach Out & Read (C)	\$0.00	\$100.00	
Random House Value Publishing unforwardable New York, NY 10019-4305	3/31/2002	Reach Out & Read (C)	\$0.00	\$0.00	
S.M.H. - Foundation 56 Franklin St Waterbury, CT 06706-1253	7/31/2001	Reach Out & Read (C)	\$0.00	\$0.54	
Waldenbooks	8/31/2004	Reach Out & Read (C)	\$0.00	\$0.00	(7) gift certificates
Grand Totals:			\$0.00	\$35,820.88	

17 Gift(s) listed

15 Donor(s) listed

~ The phone is marked as 'Do not contact'.

TIMEX GROUP

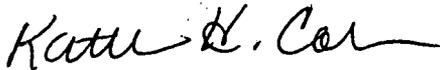
March 17, 2011

Ms. Lezlye Zupkus
Director of Development
Saint Mary's Hosptial Foundation
56 Franklin Street
Waterbury, Connecticut 06706

Dear Ms. Zupkus:

On behalf of Timex Group, I am pleased to enclose a check in the amount of \$3,000 as a contribution to support the Reach Out and Read Program as explained in your Request for Proposal.

Best Regards.



Katherine H. Callini
Coordinator, Charitable Contributions

TIMEX GROUP USA, INC.

VENDOR NAME	DATE	CHECK NO.	VENDOR NO.
SAINT MARY'S HOSPITAL FOUNDATION	17-MAR-11	328262	210037

INVOICE NO.	INVOICE DATE	DESCRIPTION	GROSS AMOUNT	DISCOUNT	NET AMOUNT
15-MAR-2011	15-MAR-11		3,000.00	0.00	3,000.00
DETACH STUB BEFORE CASHING CHECK			TOTALS	3,000.00	0.00
					3,000.00

3510070647 19104242 420201 PrintScanSoft Pat. Nos. 4,916,120, 5,233,795 and...

THIS CHECK VOID IF COLOR BACKGROUND WATERMARK OR VISIBLE FIBRES ARE ABSENT

TIMEX GROUP USA, INC.
 P.O. BOX 310
 MIDDLEBURY, CT 06762-0310

15099723 328262

DATE	CHECK NO.	AMOUNT
17-MAR-11	328262	\$ 3,000.00

Pay Three thousand Dollars And Zero Cents

TO THE ORDER OF SAINT MARY'S HOSPITAL FOUNDATION
 56 FRANKLIN STREET
 Waterbury, CT 06706

AUTHORIZED SIGNATURE

⑈ 3 28 26 211 ⑆ ⑆ 0 2 1 3 0 9 3 7 9 ⑆ ⑆ 6 1 5 7 6 1 7 9 8 ⑆

TIMEX GROUP USA, INC.
P.O. BOX 310
MIDDLEBURY, CT 06762-0310

SAINT MARY'S HOSPITAL FOUNDATION
56 FRANKLIN STREET
Waterbury, CT 06706

SEE REVERSE SIDE FOR OPENING INSTRUCTIONS

SEE REVERSE SIDE FOR OPENING INSTRUCTIONS



MADONNA COUNCIL NO. 520
KNIGHTS OF COLUMBUS
ENGLEWOOD, NJ 07631

4931

55-2/212

~~DATE~~ REC 9, 2009

Pay to the order of St. Mary's Foundation
Four hundred

\$400.00
TX



WACHOVIA
Wachovia Bank, N.A.
wachovia.com

~~FROM~~ Reach Out + Read, Dr. Sandra Bogusky

David R. Wall

⑈0000493⑈ ⑆021200025⑆ 2030102095⑆ 25⑈

David R. Wall

V# 318840
10/20/09
\$2,500.00

TIMEXGROUP

October 29, 2009

Ms Lezlye Zupkus
St. Mary's Hospital Foundation
56 Franklin St.
Waterbury, CT 06706

Dear Ms Zupkus;

On behalf of Timex Group USA, Inc, I am please to enclose a check in the amount of \$2500 for our corporate contribution to St. Mary's Hospital. We request that this gift be allocated to your Children and Families Clinics.

~~(CHC/FHC)~~ Fund = Reach Out + Read
(CHC/FHC)

I thank you for your time in showing me the work that you do there.

We are pleased to be able to support your fine organization.

Best Regards,



Ann Mercier,
Director of Corporate Social Responsibility,
Timex Group USA, Inc.

for Reach Out and
Read Program

THIS CHECK VOID IF COLOR BACKGROUND WATERMARK OR VISIBLE FIBRES ARE ABSENT

TIME GROUP USA INC
P.O. Box 310
MIDDLEBURY CT 06762-0310

3P Morgan Chase
Bank N.A.
6140 Gibraltar Road
SYRACUSE NEW YORK

60937213 318840

DATE	CHECK NO.	AMOUNT
20-OCT-09	318840	\$ 2,500.00

Pay Two Thousand Five Hundred Dollars And Zero Cents

TO THE ORDER OF ST. MARY'S HOSPITAL FOUNDATION
36 FRANKLIN ST.
WATERBURY CT 06706

AUTHORIZED SIGNATURE

⑈ 318840⑈ ⑆ 021309379⑆ 615761798⑈

✓# 318840
10/20/09
\$2,500.00

TIMEX GROUP

October 29, 2009

Ms Lezlye Zupkus
St. Mary's Hospital Foundation
56 Franklin St.
Waterbury, CT 06706

Dear Ms Zupkus;

On behalf of Timex Group USA, Inc, I am please to enclose a check in the amount of \$2500 for our corporate contribution to St. Mary's Hospital. We request that this gift be allocated to your Children and Families Clinics.

~~(CHC/FHC)~~ Fund = Reach Out & Read
(CHC/FHC)

I thank you for your time in showing me the work that you do there.

We are pleased to be able to support your fine organization.

Best Regards,



Ann Mercier,
Director of Corporate Social Responsibility,
Timex Group USA, Inc.

for Reach Out and
Read Program

TIMEY GROUP USA INC
 P.O. BOX 310
 MIDDLETOWN CT 06457-0310

THIS CHECK VOID IF COLOR BACKGROUND WATERMARK OR VISIBLE FIBRES ARE ABSENT

DATE: 20 OCT 09
 CHECK NO.: 318840
 AMOUNT: 2,500.00

Pay to the order of: ST. MARY'S HOSPITAL FOUNDATION
 66 FRANKLIN ST
 WATERBURY CT 06706

Two thousand five hundred dollars and zero cents

AUTHORIZED SIGNATURE

⑈ 318840 ⑈

⑆021309379⑆

615761798⑈

Anthem

Questions? Please call 1-888-236-0013

An independent licensee of the Blue Cross and Blue Shield Association

Date: 11/20/2006		Business Unit: A1800		Check No. 60343223		C57
Invoice Number	Invoice Date	Voucher ID	Gross Amount	Discount Available	Paid Amount	
AP6449739030	11/09/2006	03053290	10,000.00	0.00	10,000.00	
CHARITABLE DONATION TO REACH OUT AND READ (ROAR) PROGRAM.			fund = chcror			
Name ST MARY'S HOSPITAL FOUNDATION INC			10,000.00	0.00	10,000.00	

into the basic fabric of its care to waterbury children. In addition, the CHC has recruited and mentors additional Medical Home practices in the Northwest corner of Connecticut in an effort to spread the model and philosophy. The two additional practices are Litchfield County Pediatrics in Torrington and most recently, the Medicine/Pediatrics Residency Training Program (Med/Peds) operated jointly by Yale and St. Mary's Hospital which is based in Waterbury.

Each of these practices serves a significant number of families for whom Medicaid or Medicaid HMO is the primary health insurance. Please refer to Table 1.

Table 1.

	% Medicaid or Medicaid HMO	% Private Insurance	%HMO Pvt. Pay	% Other
CHC Med/Peds	82.6%	14.6%	0	7.8%
LC Peds	55%	30%	15%	0



Grant-Making Services
Mail Stop: CTEH 40222B
777 Main Street
Hartford, CT 06115
860 986.7845 tel
860 986.7696 fax

May 8, 2002

Ms. Patricia A. Evans
Grant Manager
St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans,

It is a pleasure to inform you that The George A. and Grace L. Long Foundation has decided to award a grant in the amount of \$3,000.00 to be used for the Reach Out and Read program.

The Trustee hopes that the grant will be supportive of the program set forth in your application. If for any reason your program changes substantially or you have already received complete funding for it, please notify us immediately.

We look forward to receiving the enclosed post-grant evaluation due no later than May 1, 2003. Post-grant reports that are not received by the due date may impact future funding opportunities.

Sincerely,

A handwritten signature in black ink, appearing to read "Nancy Infante".

Nancy Infante
Client Service Administrator
860-952-7403

0932 ADVICE OF REMITTANCE IN THE AMOUNT OF

\$*****3,000.00

3988246

CHECK
MAILED
FOR

05/02/02

SOURCE : 1177104010

GEO & GRACE LONG FOUNDATION

PAYMENT

GRANT

SUPPORT THE REACH OUT AND READ PROGRAM.

NON-NEGOTIABLE

CHECK
MAILED
TO

ST. MARY'S HOSPITAL CORPORATION

FLEET BANK
HARTFORD, CT

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT MARJORIE ALEXANDRE DAVIS AT 860-986-7696



CHECK
MAILED
TO

CHECK
MAILED
FOR

NON-NEGOTIABLE

EOORDER FT 801 - U.S. PATENT NO. 5538290;5575508;5641183;5785353

Fund Summary Report
Teen Grief Group Fund 1/1/1987 - 3/25/11

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
teengrief	Teen Grief Group Fund	7	\$0.00	\$0.00	\$61,000.00	\$0.00	\$61,000.00

and Totals:

\$0.00

\$0.00

\$61,000.00

7 Gift(s) listed

1 Donor(s) listed

Appeal Summary Report Teen Grief Group Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

grant	Grant	7	\$0.00	\$0.00	\$61,000.00	\$0.00	\$61,000.00
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and Totals:

			\$0.00	\$0.00	\$61,000.00	\$0.00	\$61,000.00
--	--	--	--------	--------	-------------	--------	-------------

7 Gift(s) listed

1 Donor(s) listed

Fund [redacted] Report Teen Grief Group Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
J. Walton Bissell Foundation, Inc. PO Box 370067 West Hartford, CT 06137-0067	6/30/2002	Teen Grief Group Fun	\$0.00	\$9,000.00	
	7/14/2003	Teen Grief Group Fun	\$0.00	\$10,000.00	
	5/10/2004	Teen Grief Group Fun	\$0.00	\$8,000.00	
	4/14/2005	Teen Grief Group Fun	\$0.00	\$10,000.00	
	7/12/2007	Teen Grief Group Fun	\$0.00	\$8,000.00	
	7/24/2008	Teen Grief Group Fun	\$0.00	\$8,000.00	
	7/21/2009	Teen Grief Group Fun	\$0.00	\$8,000.00	
Grand Totals:			\$0.00	\$61,000.00	

7 Gift(s) listed

1 Donor(s) listed

B15

J. WALTON BISSELL FOUNDATION, INC.

Teen Grief

June 26, 2009

Saint Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

Attention: Patricia A. Evans
Grant Manager

Dear Ms. Evans:

Pursuant to your letter dated February 25, 2009, and the other materials sent us, a check in the amount of \$8,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc., (the "Foundation") to support your Teen Grief Program. The Foundation is confident that the grant funds will be used wisely. In any event, however, they must be expended exclusively for the charitable purpose set forth herein and may not be expended for any other purpose without the prior written approval of the Foundation.

The Foundation requires that a detailed report of the expenditure of the grant funds be filed with the Foundation before it will consider any subsequent grant request of the organization. The Foundation prefers, but does not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy.

Sincerely,

J. Danford Anthony, Jr.

J. Danford Anthony, Jr.

1191

J. WALTON BISSELL FOUNDATION INC.

2820

DATE 6/26/09

51-57/119 CT
4899

PAY TO THE ORDER OF

Saint Mary's Hospital Corporation

\$ 8,000.00

Eight thousand and 00/100

DOLLARS

Bank of America



ACH R/T 011900571

FOR *grant*

P.R. Reynolds
J. Danford Anthony, Jr.

⑈002820⑈ ⑆011900571⑆ 000001579223⑈

J. WALTON BISSELL FOUNDATION, INC.

June 26, 2009

Saint Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

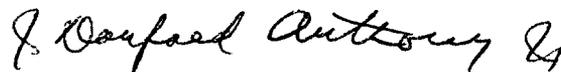
Attention: Patricia A. Evans
Grant Manager

Dear Ms. Evans:

Pursuant to your letter dated February 25, 2009, and the other materials sent us, a check in the amount of \$8,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc., (the "Foundation") to support your ~~Green Grief Program~~. The Foundation is confident that the grant funds will be used wisely. In any event, however, they must be expended exclusively for the charitable purpose set forth herein and may not be expended for any other purpose without the prior written approval of the Foundation.

The Foundation requires that a detailed report of the expenditure of the grant funds be filed with the Foundation before it will consider any subsequent grant request of the organization. The Foundation prefers, but does not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy.

Sincerely,



J. Danford Anthony, Jr.
President and CEO

JDA:pbb
Enclosure

1151622v1

February 25, 2009

Mr. J. Danford Anthony
J. Walton Bissell Foundation, Inc.
PO Box 370067
West Hartford, CT 06137

Dear Mr. Anthony:

The teen years can be very challenging for many of today's youth; this is exacerbated by the death of a loved one. These teens need to process their grief along with the demands of school and increased responsibilities at home and in many cases a change in financial status. In a time when financial stress and uncertainty is facing many Americans, it is particularly important that teens that are grieving have a support system and activities that can assist them with their grief. The teen group has served as a great aid and healthy outlet for many youth. Because of the grant new activities have been added and about half of the students return for a second year.

Groups are held through out the year and on-site school six week programs are the most popular way to reach youth. Currently and in the past year sessions have been held at Waterbury Magnet School, Naugatuck High School, North End Middle School and Kaynor Technical School (please see the attached e-mail from the social worker at Kaynor Tech).

From time to time patients who receive their healthcare at Saint Mary's Hospital's Children's Health Center are participants. Most recently the program is serving families who have teens at the Center, who were referred for bereavement support and counseling following a homicide and a suicide within the family. In addition, an Allied Health student who participates in her work studies at Saint Mary's Hospital has been a participant in the bereavement group.

School staffs continue to make referrals to the grief program and appreciate the fact that the groups are consistently run and well attended. A true measure of the success of the groups is that students readily attend, participate easily and look forward to coming back again. A variety of activities such as journaling, arts and crafts, games relaxation exercises, art and music allows a youth develop tools to process his or her grief. Developing coping techniques is essential for the healing process and provides the ability to overcome the loss of a dear one.

To continue the momentum and acceptance of this outreach program we respectfully

request a grant of \$8,025 from the J. Walton Bissell Foundation. Even though Saint Mary's Hospital has made significant improvements in its financial status, it is still not able to underwrite the program. The expenses of the program are the salary of Mary Ann Rourke, the social worker who devotes her time and energy to the program, and the other incidentals such as travel, refreshments and art supplies and books.

Saint Mary's Hospital appreciates the ongoing support of the J. Walton Bissell Foundation and the work it has allowed us to continue with grieving youth. Your funding has truly made a difference and in these uncertain times your help is even more important than in the past. We look forward to working together in 2009 to reach more teens, who are in need of help with their grief.

Sincerely,

Patricia A. Evans
Grant Manager

BUDGET

JUNE, 2008-JULY, 2009

Salary	200 hours X \$28.74 hr	\$5,750
Materials		875
Books/Journals		125
Workshop/Education		500
Refreshments		575
Travel		<u>200</u>
	TOTAL	\$8,025

Rec'd 6/30/08

J. WALTON BISSELL FOUNDATION, INC.

June 27, 2008

St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

6/27/08
CK# 2736
\$ 8,000

Attention: Patricia A. Evans
Grant Manager

Dear Ms. Evans:

Pursuant to your letter dated February 19, 2008, and the other materials sent us, a check in the amount of \$8,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc. (the "Foundation"), to support your Teen Grief Program. The Foundation is confident that the grant funds will be used wisely. In any event, however, they must be expended exclusively for the charitable purpose set forth herein and may not be expended for any other purpose without the prior written approval of the Foundation.

The Foundation requires that a detailed report of the expenditure of the grant funds be filed with the Foundation before it will consider any subsequent grant request of the organization. The Foundation prefers, but does not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy.

Sincerely,



J. Danford Anthony, Jr.
President and CEO

JDA:pbb
Enclosure
511373 v.01

J. WALTON BISSELL FOUNDATION, INC.

June 29, 2007

St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

Attention: Patricia A. Evans
Grant Manager

Dear Ms. Evans:

Pursuant to your letter dated February 22, 2007, and the other materials sent us, a check in the amount of \$8,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc. (the "Foundation"), to support your Teen Grief Program. The Foundation is confident that the grant funds will be used wisely. In any event, however, they must be expended exclusively for the charitable purpose set forth herein and may not be expended for any other purpose without the prior written approval of the Foundation.

The Foundation requires that a detailed report of the expenditure of the grant funds be filed with the Foundation before it will consider any subsequent grant request of the organization. The Foundation prefers, but does not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy.

Sincerely,



J. Danford Anthony, Jr.
President and CEO

JDA:pbb
Enclosure
474963 v.01

WALTON BISSELL FOUNDATION INC.

2621

DATE 6/28/07

51-57/119, CT
4899

TO THE ORDER OF St. Mary's Hospital Corporation

Eight thousand and 00/100

\$ 8,000.00

DOLLARS

Bank of America



011900571

R.R. Reynolds
J. Sanford Smith &

⑈00262⑈ ⑆01190057⑆ ⑆000001579223⑈

J. WALTON BISSELL FOUNDATION, INC.

March 29, 2005

St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706

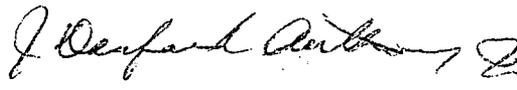
Attention: Patricia A. Evans, Grant Manager

Dear Ms. Evans:

Pursuant to your grant application dated February 1, 2005, a check in the amount of \$10,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc. (the "Foundation"), to support your Teen Grief Program. The Foundation is confident that the grant funds will be used wisely. In any event, however, they must be expended exclusively for the charitable purpose set forth herein and may not be expended for any other purpose without the prior written approval of the Foundation.

The Foundation requires that a detailed report of the expenditure of the grant funds be filed with the Foundation before it will consider any subsequent grant request of the organization. The Foundation prefers, but does not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy.

Sincerely,



J. Danford Anthony, Jr.
President and CEO

JDA:pbb
Enclosure
383145 v.01

WALTON BISSELL FOUNDATION, INC.

51-57/119

PAY TO THE ORDER OF

DATE March 29 2005

St. Mary's Hospital Corporation
Ten thousand and 00/100

\$ 10,000.00



04501

Small Business Services
smallbiz.fleet.com Hartford, CT

DOLLARS Security Features Included. Details on Back.

FOR

P. Reynolds
J. Sanford Anthony MP

⑈002376⑈ ⑆011900571⑆ 00015 79223⑈

J. WALTON BISSELL FOUNDATION, INC.

April 16, 2004

Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Attention: Patricia A. Evans, Grant Manager

Dear Ms. Evans:

A check in the amount of \$8,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc., to maintain and expand your Teen Grief Program for 2004-2005. We are confident that it will be used wisely.

This grant requires that a detailed report of the expenditure of the funds be filed with the Foundation by January 15, 2005. We prefer, but do not require, that the report be made on the Common Report Form published by the Connecticut Council for Philanthropy. The organization's compliance will affect the extent to which future grants to it will be considered by the Foundation.

Sincerely,



J. Danford Anthony, Jr.
President

JDA:jp
Enclosure

2269

J. WALTON BISSELL FOUNDATION, INC.

51-57/119

PAY TO THE ORDER OF

DATE 4/16/04

Saint Mary's Hospital Foundation, Inc.

\$ 8,000.00

Eight Thousand and 00/100

DOLLARS

Security Features Included. Details on Back.



04501

Small Business Services
smallbiz.fleet.com Hartford, CT

P. Reynolds

FOR

J. Sanford Bentley

⑈002269⑈ ⑆011900571⑆ 00015 79223⑈

J. WALTON BISSELL FOUNDATION, INC.

6/30
#2187
\$10,000

July 1, 2003

St. Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Attention: Patricia A. Evans, Grant Manager

Dear Ms. Evans:

A check in the amount of \$10,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc., to help expand your Teen Grief program in the Greater Waterbury area. We are confident that it will be used wisely.

This grant requires that a detailed report of the expenditure of the funds be filed with the Foundation by March 15, 2004. We prefer, but do not require, that the report be on the Common Report Form published by the Connecticut Council for Philanthropy. The organization's compliance will affect the extent to which future grants to it will be considered by the Foundation.

Sincerely,



J. Danford Anthony, Jr.
President

JDA:jp
Enclosure

J. WALTON BISSELL FOUNDATION, INC.

June 26, 2002

6/26
2092
\$ 9000

St. Mary's Hospital Corporation
56 Franklin Street
Waterbury, Connecticut 06706

Attention: Patricia A. Evans, Grant Manager

Dear Ms. Evans:

A check in the amount of \$9,000 is enclosed representing a grant from J. Walton Bissell Foundation, Inc., to help initiate a Teen Grief Group. We are confident that it will be used wisely.

This grant requires that a detailed report of the expenditure of the funds be filed with the Foundation by March 15, 2003. We prefer, but do not require, that the report be on the Common Report Form published by the Connecticut Council for Philanthropy. The organization's compliance will affect the extent to which future grants to it will be considered by the Foundation.

Sincerely,



J. Danford Anthony, Jr.
President

JDA:jp
Enclosure

Appeal Summary Report Dr. Anna Famiglietti Fund



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
Unknown	<i>Dr. Anna Famiglietti</i>	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
ind2003	Individuals 2003	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
		and Totals:	\$0.00	\$0.00	\$3,750.00	\$0.00	\$3,750.00

3 Gift(s) listed
1 Donor(s) listed

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Anna Famiglietti	\$5,000.00	6/30/2001	Major Gifts 2001	
a Famiglietti	\$500.00	9/30/2002		
a Famiglietti	\$1,000.00	9/17/2003	Individuals 2003	

DR. ANNA M. FAMIGLIETTI
728 A HERITAGE VILLAGE
SOUTHBRURY CT 06488

3930

Date: *Sept 2, 2003*

51-7010/211
BRANCH 7

Pay to the
Order of

Saint Mary's Hospital Foundation *1,000.00*

One thousand and no/100

Dollars



Security
Features
Detailed on
Back

WEBSTER BANK

Webster Plaza Waterbury CT 06702

For *Dr. Anna Famiglietti Fund*

Anna M. Famiglietti

⑆ 211170101⑆ 0012051480⑆ 3930

7/12
#3641
\$500

8-20-02

Dear Peter,

Enclosed is check re our
conversation - one-half for Dr.
Tamigietto fund and balance for
Carol Weinger's fund
→ family assistance. A reminder
to keep notes on how money
is used.

Best Wishes

Anna M. Tamigietto

728 Heritage Village
Southbury CT 06488

June 18, 2001

Anna Famigietti
728-A
Heritage Village
Southbury, CT 06788

Dear Anna,

We would like to suggest partially funding three programs. We believe that it is important to build your fund over time. For this reason we would suggest distributing 50% of the initial deposit. The balance will grow with interest during the year.

The three programs we would recommend are:

1. Partial funding of a professional dietician hired by the hospital through the COMMONs Nurse Program to consult with newly diagnosed patients. The full cost of this program is \$4000 to \$5000 per year. We would suggest partial funding of \$1000.
2. Partial funding of the cost of purchasing educational materials. The hospital spends between \$1000 and \$1500 per year to buy informational materials for the newly diagnosed patients. We would suggest partial funding of 500.
3. The hospital offers the national "Reach Out and Read" program to children seen in the Children's Center. The suggestion would be to apply \$1000 to this project.

If you would like to discuss any or all of these suggestions, I would welcome the discussion. Please let me know what you think.

Best Regards,

Peter C. Moody
Planned Giving Officer

2,500 = endowment

~~1,500~~

1,000 = Reach Out & Read

1,000 = Commons

500 = ~~Diabetes~~ Educa. Mat'is.

DR. ANNA M. FAMIGLIETTI
728 A HERITAGE VILLAGE
SOUTHURY, CT 06488

3392

© HARLAND 2000

Pay to the
Order of

Saint Mary's Hospital Foundation \$ 5,000.00
five thousand and no/100

Date June 22, 2001

51-7010/2111
BRANCH 3

WEBSTER BANK

Webster Plaza, Waterbury CT 06702

Dollars



For

Anna M. Famiglietti
⑆ 211170101⑆ 0012051480⑆ 3392

Anna M. Famiglietti ^{MD}

6-22-01

Peter,

Enclosed is the check
we discussed yesterday.

This will support the three
programs listed in your
letter of June 18th. The balance
will be held in the fund.

Thanks for your help Anna

Appeal Summary Report
Emergency Dept. Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
acq04	Acquisition Mailing '04	6	\$0.00	\$0.00	\$260.00	\$0.00	\$260.00
anl2006	Annual Appeal 2006	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
cor2003	Corporations 2003	1	\$0.00	\$0.00	\$34.68	\$0.00	\$34.68
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$150.00	\$0.00	\$150.00
dml0506	Direct Mail Piece May, 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml0610	Direct Mail Piece June, 2010	1	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
dml2005	Direct Mail Piece 2005	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
estates2004	Estates 2004	2	\$0.00	\$0.00	\$25,000.00	\$0.00	\$25,000.00
ind2001	Individuals 2001	1	\$0.00	\$0.00	\$0.00	\$1,000.00	\$1,000.00
ind2002	Individuals 2002	1	\$0.00	\$0.00	\$34.69	\$0.00	\$34.69
ind2003	Individuals 2003	2	\$0.00	\$0.00	\$69.38	\$0.00	\$69.38
maj2000	Major Gifts 2000	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
mem2001	Remembrance 2001	7	\$0.00	\$0.00	\$135.00	\$0.00	\$135.00
mem2003	Remembrance 2003	21	\$0.00	\$0.00	\$645.00	\$0.00	\$645.00
mem2005	Remembrance 2005	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem2008	Remembrance 2008	32	\$0.00	\$0.00	\$855.00	\$0.00	\$855.00
mem2009	Remembrance 2009	16	\$0.00	\$0.00	\$896.14	\$0.00	\$896.14
mem2010	Remembrance 2010	23	\$0.00	\$0.00	\$1,206.00	\$0.00	\$1,206.00
ph2006	Phonathon 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
prbk2010	Prog. Bk Ads Gala 2010	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
rem2001	Past Remembrances 2001	1	\$0.00	\$0.00	\$20,000.00	\$0.00	\$20,000.00
and Totals:			\$0.00	\$0.00	\$52,345.89	\$1,000.00	\$53,345.89

122 Gift(s) listed

117 Donor(s) listed

91

Thank You...
raffle &

Givaway Donors

Adam Broderick Salon & Spa
Bic Corporation
Big Dipper Ice Cream & Yogurt Parlor
Mike Carney, Watertown Golf Club Pro
Carmen Anthony Restaurant Group
Connecticut Dance Theatre
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Country Club of Waterbury
Dolly Madison Inn & Restaurant
Foxwood Casino & Resort
Marian Heath Greeting Cards, Inc.
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Holiday Inn Express of Waterbury
Home Care Professionals
IKON Office Solutions
Lux Bond & Green
Mystic Seaport
Nicky's Folders
Pepsi Co.
Ritz Camera Centers
The Robinson Company
Seven Angels Theatre
SNET
Spalding
Springfield Foods
St. Mary's Hospital Physical Therapy
St. Mary's Wellness Center
Uniquely Yours Photography LLC
USA of New England
Wal-Mart of Naugatuck
Waterbury Symphony Orchestra, Inc.
Watertown Golf Club
Xonix Golf
Yale Repertory Theatre
Zackin Zimyeski Sullivan, LLC

**SPECIAL THANK
YOU TO ST. MARY'S
PHYSICAL
THERAPY DEPT. &
WELLNESS CENTER**

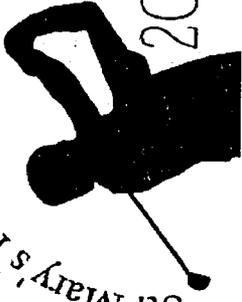
Jack Burke
Kurt Myers, M.D.

Committee and

Volunteers

Tess Beauchamp
Louise Boulanger
Mark Casey
Mitch Chere, M.D.
Lou D'Auria
Bernie Evans
Patricia Evans
Bonnie Forcucci
M. Alex Geertsma, M.D.
Paula Giannelli
Joe Gugliotti
Bett Hales
Janis Hogan
Steve Jalowiec
Patricia Keavney
Laura Kleinschmidt
Mike Leclerc
Betty Lozier
Ellen Madden
Maureen Madden-Tardy
Michael Madden
Ray Massicotte
Sally Massicotte
Sue McGaughan
Peter Moody
Joe Muni
Michael Novak
Sarah Paolino
Harriet Sisbarro
Ron Sisbarro
Kathy Smith
Jeanne d'Arc St. Pierre
Pat Tanner
Mary Tardy
Tim Tardy
Lynn Tata
Jan Weber
Sharon Zilahy

St. Mary's Hospital Foundation
2002



OPEN
GOLF TOURNAMENT

7th Annual

GOLF TOURNAMENT

Tuesday, September 10, 2002

Morning Flight 7:00 A.M. – 12:30 P.M.

Afternoon Flight 11:00 A.M. – 7:30 P.M.

Watertown Golf Club
236 Guernseytown Road
Watertown, CT

NET PROCEEDS TO BENEFIT ST. MARY'S HOSPITAL
OUTPATIENT SERVICE AREAS

Proudly Title Sponsored By

The Mathog & Moniello Companies

SCHEDULE FOR THE DAY

TITLE SPONSOR
The Mathog & Moniello Companies

Schedule:

	1 st Flight	2 nd Flight
Registration.....	6:45 a.m.	11:00 a.m.
Driving Range:	6:45 a.m.	11:00 a.m.
Continental Breakfast.....	6:45 a.m.	
Lunch.....	11:45 a.m.	11:45 a.m.
Shotgun Start.....	7:30 a.m.	1:00 p.m.
Cocktails/Dinner.....		6:00 p.m.

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Brown Rudnick Berlack Israels LLP
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Webster Bank

GOLD SPONSOR - GREENS FEES

Connecticut Credit Corporation
Health Net of the Northeast, Inc.

AWARDS PRESENTATION, RAFFLE DRAWING

TOURNAMENT PRIZES

- 1st and 2nd Low Gross Team Score
- 1st, 2nd, 3rd and 4th Low Net Team Score
- Closest to the Pin
- Closest to the Line
- Longest Drive - Men & Women

Prizes for first hole-in-one on all par 3 holes:

- Hole #4 2002 Chevrolet Cavalier
- Hole #9 36 Dozen Nike Golf Balls
- Hole #14 Titanium Driver
- Hole #15: GMAC Smartcare Plan & Three Nike Wedges

Hole In One prizes generously donated by:
BLASIUS CHEVROLET & CADILLAC, INC.

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St. Francis Hospital & Medical Center

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Specialists In Women's Healthcare, PC

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Northeast Health Direct, LLC
Secor, Cassidy & McPartland, P.C.
Thomaston Savings Bank

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Portfolio Evaluations, Inc.

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Timex Corporation

HOLE-IN-ONE SPONSOR

Blasius Chevrolet & Cadillac, Inc.

RAFFLE PRIZES SPONSORS

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Kason Credit Corporation
Lanter Healthcare
Wiggin & Dana

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The Connecticut Light & Power Company
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Peter Jacoby, M.D.
F. B. Mattson Co., Inc.
Kurt Myers, M.D.
Naugatuck Savings Bank
Neubert, Pepe & Monteith, P.C.
Saint Francis PHO
Waterbury Printing and Engraving LLC

HAT SPONSOR

Home Care Professionals

Appeal Summary Report

Output. Svcs. 2002 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit2002	Auction Item Donations 2002	122	\$0.00	\$0.00	\$0.00	\$43,542.88	\$43,542.88
auitsa2002	Auction Item Sales 2002	89	\$0.00	\$380.00	\$34,910.00	\$0.00	\$35,290.00
basket2002	Basket Item Ticket Sales 02	1	\$0.00	\$0.00	\$15,960.00	\$0.00	\$15,960.00
cashauc2002	Cash Auc. Donation 2002	28	\$0.00	\$0.00	\$6,116.00	\$0.00	\$6,116.00
keys2002	Key Sales 2002	1	\$0.00	\$0.00	\$5,995.00	\$0.00	\$5,995.00
prbk2002	Prog. Bk Ads Gala 2002	29	\$0.00	\$7,550.00	\$10,150.00	\$0.00	\$17,700.00
prbksp2002	Prog. Bk. 2002 Sponsor	35	\$0.00	\$20,000.00	\$37,500.00	\$0.00	\$57,500.00
wnd2002	Gala 2002 Ticket Sales	254	\$0.00	\$7,420.00	\$63,330.00	\$0.00	\$70,750.00
	and Totals:		\$0.00	\$35,350.00	\$173,961.00	\$43,542.88	\$252,853.88

559 Gift(s) listed

472 Donor(s) listed

Fund Summary Report
ED Golf Tourney 2001 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
emergolf01	ED Golf Tourney '01	53	\$0.00	\$7,175.00	\$13,225.00	\$0.00	\$20,400.00
emergolfpro01	ED Golf Raffle Proceeds '01	1	\$0.00	\$0.00	\$1,325.00	\$0.00	\$1,325.00
emergolfracf01	ED Golf Raffle '01	40	\$0.00	\$0.00	\$400.00	\$6,712.27	\$7,112.27
emergolfsp01	ED Golf Sponsors '2001	59	\$0.00	\$24,300.00	\$59,475.00	\$500.00	\$84,275.00
		and Totals:	\$0.00	\$31,475.00	\$74,425.00	\$7,212.27	\$113,112.27

153 Gift(s) listed
 122 Donor(s) listed

Friends

Adam Broderick Salon & Spa
 Anthem Blue Cross
 Bally Total Fitness
 Bic Corporation
 The Bushnell
 Mike Carney, Watertown Golf Club Pro
 Carmen Anthony Restaurant Group
 Comfort Suites
 CT Dance Theatre
 Dresher's Restaurant
 Country Club of Waterbury
 M. Alex Geertsma, M.D.
 Hartford Stage
 Hollis D. Segur
 IKON Office Solutions
 Kanzaki
 Killington
 Munson's Chocolates
 Myers-Suzio Co.
 Mystic Seaport
 Nicky's Folders
 Otis Elevator
 Pepsi Co.
 Roma's Restaurant
 Quality Inn of Waterbury
 Sears
 Seven Angels Theatre
 Sheraton Waterbury Hotel
 Snapple
 SNET
 Springfield Foods
 Television Rental Co.
 Timex Corporation
 USA of New England
 Waterbury Printing and Engraving LLC
 Watertown Golf Club
 Wesson Energy, Inc.
 Yale Repertory Theatre
 Zackin, Zimyeski & Sullivan

**SPECIAL THANK YOU
 TO ALL FOR MAKING
 OUR GOLF OPEN A
 SUCCESS!**

2001 Golf Open Committee Co-Chairs

Jack Burke
 Kurt Myers, M.D.

Committee and Volunteers

Sarah Athans
 Mark Casey
 Bill Cofrances
 Beverly Delbuono
 Lou D'Auria
 Terry Dudrick
 M. Alex Geertsma
 Gerry Gladstone
 Joe Gugliotti
 Bett Hales
 Janis Hogan
 Maria Ianniero
 Steve Jalowiec
 Patricia Keavney
 Kim Keegan
 Laura Kleinschmidt
 Marita Lawlor
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 Maureen Madden-Tardy
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 Tim Tardy
 Lynn Tara
 Carol Volpe
 Jan Young

St. Mary's Hospital Foundation



6th Annual GOLF TOURNAMENT

Tuesday, September 11, 2001

Morning Flight 7:00 A.M. - 12:30 P.M.

Afternoon Flight 11:00 A.M. - 7:30 P.M.

Watertown Golf Club
 236 Guernseytown Road
 Watertown, CT

NET PROCEEDS TO BENEFIT ST. MARY'S HOSPITAL
 EMERGENCY DEPARTMENT

Proudly Title Sponsored By
The Mathog & Moniello Companies

SCHEDULE FOR THE DAY

Schedule:

1 st Flight	2 nd Flight
Registration.....	7:00 a.m. 11:00 a.m.
Driving Range.....	7:00 a.m. 11:00 a.m.
Continental Breakfast.....	7:00 a.m.
Lunch.....	11:45 a.m. 11:45 a.m.
Shotgun Start.....	8:00 a.m. 1:00 p.m.
Cocktails/Dinner.....	6:00 p.m.

AWARDS PRESENTATION, RAFFLE DRAWING

TOURNAMENT PRIZES

- 1st and 2nd Low Gross Team Score
- 1st, 2nd, 3rd and 4th Low Net Team Score
- Closest to the Pin
- Closest to the Line
- Longest Drive - Men & Women

Prizes for first hole-in-one on all par 3 holes:

- Hole #4 2001 Chevrolet Cavalier
- Hole #9: Two Year Smartcare maintenance program & a Bettinardi BB-1 Putter
- Hole #14 Three year supply of the new Spalding Strata golf balls
- Hole #15: 4day/3 night golf vacation to the Palm Coast resort in Palm Coast, FL

Hole In One prizes generously donated by:
LOEHMANN-BLASIUS CHEVROLET

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 The Mathog & Moniello Companies

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- Gar-San Corporation
- St. Francis Hospital & Medical Ctr.

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BRONZE SPONSORS

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- Naugatuck Savings Bank

HOLE SPONSORS

- Albert Brothers, Inc.
- AT&T Wireless
- Center for Women's Health
- Mary Anne & M. Alex Geertsma (In memory of: Dr. & Mrs. Thomas W. Dudac)
- Home Care Professionals
- MedConn Collection Agency
- Naugatuck Valley Gastroenterology Consultants
- The Robinson Company
- The Ritchie Organization (TRO)

COCKTAIL HOUR SPONSORS

- CIGNA Healthcare of CT, Inc.
- Cly-Del Manufacturing Co.
- Ryders Health Management (Cheshire House)

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- Loehmann-Blasius Chevrolet

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- United Parcel Service
- VNA Health Care
- Waterbury Printing and Engraving LLC
- Wiggin & Dana

HOSPITALITY CART SPONSORS

- CPM Insurance
- ConnectiCare, Inc.
- Peter Jacoby, M.D.
- Kason Credit Corporation
- Kurt Myers, M.D.
- SNET

Appeal Summary Report ED 2001 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total		
auit2001	Auction Item Donations 2001	152	\$0.00	\$0.00	\$0.00	\$83,137.26	\$83,137.26		
auitsa2001	Auction Item Sales 2001	89	\$0.00	\$600.00	\$46,025.00	\$0.00	\$46,625.00		
basket2001	Basket Item Ticket Sales 01	2	\$0.00	\$0.00	\$14,260.00	\$0.00	\$14,260.00		
cashauc2001	Cash Auc. Dona. 2001	39	\$0.00	\$500.00	\$7,587.00	\$0.00	\$8,087.00		
keys2001	Key Sales 2001	1	\$0.00	\$0.00	\$3,550.00	\$0.00	\$3,550.00		
prbk2001	Prog. Bk Ads Gala 2001	32	\$0.00	\$3,350.00	\$13,150.00	\$0.00	\$16,500.00		
prbksp2001	Prog. Bk. 2001 Sponsor	41	\$0.00	\$30,000.00	\$38,000.00	\$0.00	\$68,000.00		
wnd2001	Gala '2001 Ticket Sales	228	\$0.00	\$6,485.00	\$61,245.00	\$0.00	\$67,730.00		
and Totals:					\$0.00	\$40,935.00	\$183,817.00	\$83,137.26	\$307,889.26

584 Gift(s) listed

466 Donor(s) listed

Fund Summary Report
ED Golf Tourney 2000 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
emergolf00	ED Golf Tourney '00	50	\$0.00	\$6,150.00	\$12,750.00	\$0.00	\$18,900.00
emergolfs05000	ED Golf 50/50 Contest Proc	1	\$0.00	\$0.00	\$310.00	\$0.00	\$310.00
emergolfpro00	ED Golf Raffle Proceeds '00	2	\$0.00	\$0.00	\$3,220.00	\$0.00	\$3,220.00
emergolffraff00	ED Golf Raffle '00	40	\$0.00	\$250.00	\$750.00	\$7,481.50	\$8,481.50
emergolfsp00	ED Golf Sponsors '2000	59	\$0.00	\$32,250.00	\$29,500.00	\$1,000.00	\$62,750.00
		and Totals:	\$0.00	\$38,650.00	\$46,530.00	\$8,481.50	\$93,661.50

152 Gift(s) listed

127 Donor(s) listed

Appeal Summary Report
ED 2000 Gala Fund

Appeal ID	Appeal-Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ait2000	Auction Item Donations '2000	149	\$0.00	\$0.00	\$0.00	\$69,078.61	\$69,078.61
aitsa2000	Auction Item Sales 2000	111	\$0.00	\$2,800.00	\$59,620.00	\$0.00	\$62,420.00
basket2000	Basket Item Ticket Sales 00	3	\$0.00	\$0.00	\$12,270.00	\$0.00	\$12,270.00
cashauc2000	Cash Auc. Dona. '2000	49	\$0.00	\$650.00	\$9,090.00	\$1,000.00	\$10,740.00
keys2000	Key Sales 2000	3	\$0.00	\$0.00	\$3,280.00	\$0.00	\$3,280.00
prbk2000	Prog. Bk Ads Gala 2000	49	\$0.00	\$4,600.00	\$11,600.00	\$1,250.00	\$17,450.00
prbksp2000	Prog. Bk. 2000 Sponsors	40	\$0.00	\$21,500.00	\$32,500.00	\$0.00	\$54,000.00
wnd2000	Gala '2000 Ticket Sales	234	\$0.00	\$8,200.00	\$53,550.00	\$2,000.00	\$63,750.00
and Totals:			\$0.00	\$37,750.00	\$181,910.00	\$73,328.61	\$292,988.61

638 Gift(s) listed

517 Donor(s) listed

Fund Summary Report
ED Golf Tourney 1999 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
emergolf99	ED Golf Tourney '99	66	\$0.00	\$4,600.00	\$19,215.00	\$0.00	\$23,815.00
emergolfraff99	ED Golf Raffle '99	34	\$0.00	\$0.00	\$0.00	\$8,610.90	\$8,610.90
emergolfsp99	ED Golf Sponsors '99	50	\$0.00	\$24,000.00	\$35,000.00	\$2,500.00	\$61,500.00
		and Totals:	\$0.00	\$28,600.00	\$54,215.00	\$11,110.90	\$93,925.90

150 Gift(s) listed

136 Donor(s) listed

Appeal Summary Report ED 1999 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit1999	Auction Item Donations '99	107	\$0.00	\$0.00	\$0.00	\$50,697.50	\$50,697.50
auitsa1999	Auction Item Sales 1999	84	\$0.00	\$2,150.00	\$39,960.00	\$0.00	\$42,110.00
bask1999	Basket Item Ticket Sales (bas	28	\$0.00	\$0.00	\$11,570.00	\$0.00	\$11,570.00
cashauc1999	Cash Auc. Dona. '99	53	\$0.00	\$0.00	\$9,720.00	\$0.00	\$9,720.00
keys1999	Key Sales 1999	18	\$0.00	\$0.00	\$3,760.00	\$0.00	\$3,760.00
prbk1999	Prog. Bk Ads Gala '99	62	\$0.00	\$5,325.00	\$19,000.00	\$500.00	\$24,825.00
prbksp1999	Prog. Bk. '99 Sponsors	55	\$0.00	\$24,750.00	\$57,000.00	\$0.00	\$81,750.00
wnd1999	Gala '99 Ticket Sales	218	\$0.00	\$6,700.00	\$52,000.00	\$0.00	\$58,700.00
and Totals:					\$193,010.00	\$51,197.50	\$283,132.50

625 Gift(s) listed

491 Donor(s) listed

Appeal Summary Report ED 1998 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auc98	Cash Auc. Dona. '98	81	\$0.00	\$8,450.00	\$17,939.90	\$0.00	\$26,389.90
auit98	Auction Items 1998	115	\$0.00	\$0.00	\$0.00	\$100,913.27	\$100,913.27
ausa98	Auction Item Sales (ausa98)	79	\$0.00	\$0.00	\$50,550.00	\$0.00	\$50,550.00
bask98	Basket Item Ticket Sales (bas	1	\$0.00	\$0.00	\$14,000.00	\$0.00	\$14,000.00
keys98	Key Sales 1998	1	\$0.00	\$0.00	\$3,500.00	\$0.00	\$3,500.00
prbk98	Prog. Bk Ads Gala '98	118	\$0.00	\$64,650.00	\$51,700.00	\$0.00	\$116,350.00
wnd98	Gala '98 Ticket Sales	193	\$0.00	\$12,340.00	\$47,645.00	\$0.00	\$59,985.00
and Totals:			\$0.00	\$85,440.00	\$185,334.90	\$100,913.27	\$371,688.17

588 Gift(s) listed

484 Donor(s) listed

Constituent Giving History

Emergency Dept. Fund 1/1987 - 12/2010

<u>Constituent Name</u>	<u>Date</u>	<u>Gift</u>	<u>Amount</u>	<u>Reference</u>
Department of Health & Human	12/31/2003	Pledge	\$737,675.00	To be written off per Matt Kellogg & Peggy Lawl
	3/31/2004	Pledge	\$295,248.00	To be written off per Matt Kellogg & Peggy Lawl
	12/31/2004	Write Off	\$737,675.00	To be written off per Matt Kellogg & Peggy Lawl
	12/31/2004	Write Off	\$295,248.00	
		Total Pledge/Pledge Balance:	<u>1,032,923.00</u>	
		Total Write-Offs:	1,032,923.00	
		Grand Total Pledge/Pledge Balance:	1,032,923.00	
		Grand Total Write-offs:	1,032,923.00	

TODAY'S OBITUARIES

Peter Dzioba

Active in volunteer work

WATERTOWN — Peter Dzioba, 61, of Colonial Street, died Wednesday, Aug. 6, 2008, in Seatonsville, Wash., after a tragic accident. He was the husband of Mary (Malarkey) Dzioba.



Peter was born Sept. 11, 1947, in a displaced persons camp in Germany, the son of the late Semen and Paraskevia (Osyka) Dzioba. At the age of two, he immigrated to New York with his family, eventually settling in Perth Amboy, N.J.



He graduated from Perth Amboy High School in 1965 and was a veteran of the U.S. Army, having proudly served his country in the Vietnam War. He worked at Anacosta Copper and Brass and at Sel-Rex Inc. in Nutley, N.J. He joined McDermid Inc. in 1989 as a technical specialist and moved his family to Watertown, Conn.

Peter was a loving and devoted husband and father and always found the time for long discussions with his children. A good and loyal friend to many, Peter served his community through his volunteer work with many civic organizations including the Watertbury Dept. of Mental Retardation, positions on the Watertown Public Buildings Committee and the Torrington Health District Board, and his work with various educational groups in Watertown. A scientist, comedian and handyman, Peter's insatiable curiosity and boundless enthusiasm will survive in all those who knew him well.

Besides his loving wife, Mary, of 27 years, Peter is survived by four children, his sons, Patrick, Colin and Matthew, and daughter, Kelly, all of Watertown; a sister, Ann Makar of Perth Amboy, N.J.; four brothers, Paul of Howell, N.J., John of Cazenovia, N.Y., Raymond of Rutherford College, N.C., Stephen of North Plainfield, N.J.; and many nieces and nephews.

Funeral services for Mr. Dzioba will be held 10 a.m. Monday, Aug. 18, at the O'Neill Funeral Home, 742 Main St., Oakville. Burial will be held at the convenience of the family. Family and friends may visit at the funeral home on Sunday, Aug. 17, from 2 to 5 p.m.

In lieu of flowers, memorial contributions may be made to St. Vincent de Paul Society, 34 Willow St., Watertbury 06710.

For additional information, to send an e-condolence, or to light a virtual candle, kindly visit the funeral home's website at www.oneillfuneralhome.com.

George Dumouchel

Lifelong Watertbury resident

WATERTURY — Mr. George Dumouchel, 59, passed away July 9, 2008, at his home.

Mr. Dumouchel was born Nov. 28, 1948, in Watertbury, a son of the late George and Silvia (Juliano) Dumouchel. He was educated in Watertbury schools and was a lifelong Watertbury resident.

He leaves his aunts, Margaret

Adam P. Bosco Sr.

Opened appliance store

DELAND, Fla. — Mr. Adam P. Bosco Sr., 87, of 1710 Old Daytona Road, passed away peacefully on Thursday, Aug. 14, 2008, at Saint Mary's Hospital. He was the husband of the late Phyllis (Lombardi) Bosco.



Mr. Bosco was born Apr. 27, 1921, in Waterbury, a son of the late Salvatore and Giovannina (Colangelo) Bosco. He was a graduate of Leavenworth High School, class of 1939.



He opened Adam's Home Appliance in 1946 which is still in existence today after passing it on to his son after his retirement in 1986.

A World War II Navy veteran having served in the Pacific, Mr. Bosco was present in the Tokyo Bay during the signing of the peace treaty and had escorted President Franklin D. Roosevelt to the Yalta Conference.

He was a 4th degree member of the Knights of Columbus, Sheridan Council No. 24, and was a Eucharistic minister at St. Peter's Church in Deland. He also enjoyed playing golf and was an avid Yankee fan.

He is survived by two sons, Adam P. Bosco Jr. of Wolcott, Jon Bosco and his wife, Tammy, of Otisville, N.Y.; six daughters, Joanne Valiante of Deerfield Beach, Fla., Rosann Pollock of Deland, Virginia "Ginny" Napiello of Northfield, Conn., Karen Vendetti and her husband, Leonard, of Palm Coast, Fla., Roberta Longo and her husband, Ron, of Naugatuck, Conn., Becky Daddona and her husband, Steve, of Bethany, Conn.; and a brother, Salvatore A. Bosco Jr. of Naugatuck, Conn. He was predeceased by four brothers, Anthony, Philip, Peter and Leonard Bosco.

Grandchildren include, Mary and David Houle, Beth and John Lynn, Gio Valiante, Jennifer and Ron Maringola, Krista Shea, Adam Bosco III and Steven Bosco, Lisa Napiello, Beka and Jon Mesker, Joe, Jessica, Jonathon and Julie Longo, Brian, Jeff and Nick Daddona, Tiffany Merritt and Jared Bosco. Great-grandchildren include Ben, Katie and Joanna Houle, Tessa, John, Nick and James Lynn, and Nick and Lauren Maringola. He also leaves several nieces and nephews. He was predeceased by his companion of 14 years, Shirley Daddona.

Funeral will be held 9 a.m. Saturday, Aug. 16, from the Maiorano Funeral Home, 95 Willow St., Watertbury, to Immaculate Conception Church. Entombment will follow in Calvary Queen of Peace Mausoleum. Friends may call at the funeral home this evening from 4 to 8 p.m.

Memorial contributions may be made in his name to Saint Mary's Hospital, Xavier 3 Oncology Unit and Emergency Room, 56 Franklin St., Watertbury 06706.

For more information, visit www.maioranofuneralhome.com.

Mrs. Kit-Ching So

Born in China

MIDDLEBURY — Mrs. Kit-Ching Koo So, 88, of Woodside Heights, passed away peacefully Wednesday, Aug. 13, 2008, at Saint Mary's Hospital in Waterbury. She was the widow of Shu-Kai So.



Mrs. So was born in China on Oct. 26, 1920, daughter of the late Man-Chuck and Li-Ngan Moy Koo. She came to this country in 1976 and lived and worked in New York City until moving to Connecticut 20 years ago. She was a loving mother who devoted her life to her family and will be greatly missed by them all.

A special thank you is extended to everyone whose love and support helped to sustain Mrs. So and her family during her illness.

Mrs. So leaves three sons, Patrick So of Watertown, Kwong-Fai So of New York, Ming-Fai So of Washington, D.C., three daughters, Ming-Chu So Park of Washington, D.C., Pui-Chu So Mui and May-Chu So Wong, both of Waterbury; 10 grandchildren, Stephanie So, Stephen So, Jeany Mui, Danny Mui, Irene Mui, Elizabeth So, Monica So, Benjamin So, John Park and David Park; and many relatives in China.

Graveside services will be held 1 p.m. Wednesday, Aug. 20, at Rosehill Cemetery, 358 East Linden Avenue, Linden, N.J. A viewing for family and friends will be held noon to 1 p.m. in the Chapel of the cemetery. Arrangements have been entrusted to Hickcox Funeral Home, 195 Main St., Watertown.

Mrs. Dorothy M. Bush

Torrington resident

TORRINGTON — Dorothy M. Bush, 84, of 137 Northside Drive, died Thursday, Aug. 14, 2008, at her home. She was the wife of the late Edward C. Bush who died in 2007.

Mrs. Bush was born in Port Royal, Pa., on Oct. 30, 1923, daughter of the late J. Wayne and Elizabeth (Groninger) McCachren, and resided in Bristol before moving to Torrington in 1963.

She is survived by her son, Gary P. Bush and his wife, Kate; two daughters, Gail Marchand and her husband, Bill, and Paula Bush, all of Torrington; seven grandchildren, Alyssa Colasanto and her husband, Joe, Deanna and Emily Marchand, Mollie and Aiden Mette, Nathan and Devin Bush; and two great-granddaughters, Madeline and Ella Colasanto. She is predeceased by her son, Ed Bush, and three sisters, Martha Kepner, Ruth Taylor and Mabel Esh.

A Mass of Christian Burial will be celebrated 10:30 a.m. Saturday, Aug. 16, at St. Francis Church, 160 Main St. Burial will follow in New St. Francis Cemetery. Friends may call from 6 to 8 p.m. today at the Gleason Mortuary, 258 Prospect St.

Memorial contributions may be made to Friends of Hospice, P.O. Box 985, Litchfield 06759.

To leave an online condolence, please visit

Joseph C. Cortellino Esq.

Naugatuck High graduate

LOWELL, Mass. — Mr. Joseph C. Cortellino Esq., 59, a resident of Lowell, died unexpectedly on Aug. 13, 2008.

Born in New York City Jan. 14, 1949, Mr. Cortellino was the son of the late Martha (Silvestry) Cortellino and Joseph Cortellino of Naugatuck, Conn., who survives him. He received his early education in the Naugatuck school system and was a graduate of Naugatuck High School with the class of 1966. He matriculated at Lowell Technological Institute and received his bachelor of science in mathematics with the class of 1970. He became associated with IBM in Binghamton, N.Y., working there until 1974, at which time he entered law school at Boston University School of Law. He received his jurist doctorate with the class of 1977, and was admitted to the Bar in 1977 and started practicing law.

Attorney Cortellino, along with his partners, formed the firm of Berstein, Tariot and Cortellino in Lowell, specializing in domestic and tax law, in 1984. He left the firm in 1992, and then became associated with Fitch Federal Credit Union in Boston as a loan officer. He also worked as a loan officer part time with the Framingham Credit Union.

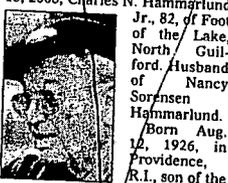
An avid sports fan, he really enjoyed baseball and football. He was associate member of the Lowell Firefighters Club and a member of the East End Men's Cribbage League.

Mr. Cortellino is survived by two daughters, Alyssa Cortellino and Lara Cortellino, both of Lawrence; his parents, Joseph A. Cortellino and Anna "Nina" Cortellino, both of Naugatuck, Conn.; a brother, Robert Cortellino and his wife, Carlene, of Naugatuck, Conn.; a niece, Christie Chipelo and her husband, John, of Southington, Conn.; a nephew, Robert J. Cortellino of Naugatuck, Conn.; and many cousins and dear friends. He was the brother of the late Anna Cortellino.

Charles N. Hammarlund Jr.

Leaves family in Wolcott

NORTH GUILDFORD — In North Guilford, Wednesday, Aug. 13, 2008, Charles N. Hammarlund



Jr., 82, of Foot of the Lake, North Guilford, husband of Nancy Sorriensen Hammarlund.

Born Aug. 12, 1926, in Providence, R.I., son of the late Charles N. and Helen Masterson Hammarlund Sr. Father of Charles (Chip) N. (Ellen) Hammarlund III, John (Barbara) Hammarlund, Emil Hammarlund, all of North Guilford, Katrina (Luke) Murphy of Wolcott and a large extended family. Also survived by a sister, Judy Pangbourne of Riverside, R.I., and predeceased by a sister, Joanne Ward, of Massachusetts.

Mr. Hammarlund served his



5

Appeal Summary Report
Emergency Dept. Dr. Jacoby Fund 1/1987 - 12/2010

X

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2001	Corporations 2001 <i>Archived Schering-Plough</i>	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
cor2004	Corporations 2004 <i>Univ. of Pittsburgh</i>		\$0.00	\$0.00	\$5,850.00	\$0.00	\$5,850.00
and Totals:			\$0.00	\$0.00	\$6,850.00	\$0.00	\$6,850.00
2 Gift(s) listed							
2 Donor(s) listed							



University of Pittsburgh
Pittsburgh, PA 15260

Western Bank, N.A.
Oakland Office
Pittsburgh, Pa 15212

60160

CHECK NO. 1357499

DATE 22 MAR 04

NET AMOUNT

PAY TO THE ORDER OF ONLY \$5,850.00

*****\$5,850.00

VOID After 180 Days

PAY FIVE THOUSAND EIGHT HUNDRED FIFTY DOLLARS AND 00 CENTS *****

TO THE ORDER OF ST. MARYS HOSPITAL
EMERGENCY DEPT
ATTN: STEVE HOLLAND, MD
56 FRANKLIN ST
WATERBURY, CT 06706

James E. Lohman
Andrew Ramicore

⑈ 1357499 ⑈ ⑆ 043301601 ⑆ 136 ⑈ 1713 ⑈

Deposit
into
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Fund



February 2, 2002

Dear Friend of Saint Mary's Hospital:

On behalf of Saint Mary's Hospital and the Saint Mary's Hospital Foundation, I am delighted to welcome you to our 11th Annual Gala, *Starz Come Out At Night*. This event is an extraordinary accomplishment and we are honored by all who work to plan and prepare for this wonderful evening.

More than 95 years ago the dream of a Catholic Hospital in Waterbury was in the minds of our predecessors – a dream that must have felt like stretching to reach the stars. Today, that dream is our reality as it continues to shed its light on generous individuals such as yourselves.

Proceeds from *Starz Come Out At Night* will benefit the Hospital's outpatient service area in addition to the Emergency Department. Thanks to you these areas will be made even more accessible, more user friendly and more comfortable for the thousands who count on Saint Mary's Hospital each year.

I extend a special thank you to our co-chairmen, Dr. and Mrs. Michael Matzkin and Dr. and Mrs. Peter Zilihy and their committee members who created and developed this year's Gala. Months of hard work are behind an event like this. Please join me in thanking them.

Please have a wonderful evening.

Sincerely,

Martin G. Morrissey
President and CEO- Interim

56 Franklin Street
Waterbury, Connecticut 06706
(203) 574-6000

An Affiliated Hospital of Yale University School of Medicine



February 3, 2001

Dear Friends of St. Mary's Hospital,

As President of St. Mary's Hospital and on behalf of our Hospital Foundation, I am privileged to welcome each one of you to *Unmask the Fantasy ~ An Evening of Masked Elegance*. This year marks our 10th Annual Gala, an extraordinary accomplishment for those people who work year-round to plan and prepare for this wonderful evening. To date, our winter Gala is the largest fundraiser of its kind in the Greater Waterbury area.

More than 94 years ago the dream for a Catholic hospital in Waterbury was just getting off the ground. Today, poised in the third millennium, that dream is more than a reality. It continues to unmask new visions and possibilities as good people like you live the Mission of excellence and compassion every day at St. Mary's Hospital.

All our hospital fundraising events—our past galas, successful 2000 Phonathon, new Quassy Loop Road Race, September Golf Tournament and Holiday Bear Event—move us closer toward our dream to provide more specialized, time-efficient and patient-friendly emergency care. You'll be pleased to know we're taking the old layers off the walls in our Emergency Department while refreshing or creating a new trauma bay area, patient rooms and a new visitor waiting area.

Proceeds from *Unmask the Fantasy* significantly reduce the cost of these renovations, which exceed \$2 million. Your generosity helps St. Mary's Hospital maintain its distinguished health care role in the Greater Waterbury Community and beyond.

I extend a heart-felt thank you to all those people who created and developed the theme of this year's Gala, *Unmask the Fantasy ~ An Evening of Masked Elegance*. May all of us give life to our dreams and visions, unmasking new potential in people and greater possibilities for health care service in 2001.

God bless each and all of you and have a wonderful evening.

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite, CSJ
President and Chief Executive Officer

Co-Chairs

Richard Kiley, M.D.
William Morris

**Committee and
Volunteers**

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 MedSpan
 Mattatuck Museum
 The Mayflower Inn
 Pepsi Co.
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 Quality Inn of Waterbury
 Springfield Foods
 Timex Corporation
 Watertown Golf Club
 Zackin, Zimyeski & Sullivan

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 Bill Cofrances
 Rocco Corso
 Lou D'Auria
 Audrey Dressler
 Terry Dudrick
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 Bett Hales
 Steve Jalowiec
 Kim Keegan
 Laura Kleinschmidt
 Marita Lawlor
 Mike LeClerc
 Betty Lozier
 Ellen Madden
 Donna Mariano
 Ray Massicotte
 Pat Millman
 Joe Muni
 Debra Murphy
 Michael Novak
 Beverly Perugini
 Michelle Pishtey
 Harriet Sisbarro
 Ron Sisbarro
 Kathy Smith
 Pat Tanner
 Maureen Madden-Tardy
 Mary Tardy
 Tim Tardy
 Lynn Tata
 Atty. Bob Zelinger, Jr.



**5th Annual
GOLF TOURNAMENT**

TUESDAY, SEPTEMBER 12, 2000
 FIRST FLIGHT 7:00 A.M. — 12:30 P.M.
 SECOND FLIGHT 11:00 A.M. - 7:30 P.M.

WATERTOWN GOLF CLUB
 246 GUERNSEYTOWN ROAD
 WATERTOWN, CONNECTICUT

NET PROCEEDS TO BENEFIT ST. MARY'S HOSPITAL
 EMERGENCY DEPARTMENT

SCHEDULE FOR THE DAY

DIAMOND SPONSOR - SHOE BAG

Brown, Rudnick, Freed & Gesmer
Mathog & Montello

Schedule:

1 st Flight	2 nd Flight
Registration..... 7:00 a.m.....	11:00 a.m.
Driving Range:	7:00 a.m..... 11:00 a.m.
<i>(Golf Clinic prior to tee-off)</i>	
Continental Breakfast.....	7:00 a.m.
Lunch.....	11:45 a.m. 1:00 p.m.
Shotgun Start.....	8:00 a.m. 5:30 p.m.
Cocktails/Dinner.....	

PLATINUM SPONSOR - DINNER
Campion Ambulance

GOLD SPONSOR - GREENS FEES
Corporate Express
First Union Bank
Fleet Bank
Webster Bank

AWARDS PRESENTATION, RAFFLE DRAWING

TOURNAMENT PRIZES

- 1st and 2nd Low Gross Team Score
- 1st, 2nd, 3rd and 4th Low Net Team Score
- Closest to the Pin
- Closest to the Line
- Longest Drive - Men & Women

Prizes for first hole-in-one on all par 3 holes:
Hole #4 2000 Mercury Cougar
Hole #9: Electronic Putting Challenge from GL Technology, Inc.
Hole #15: Premiere Cruiselines 5 day cruise to the Bahamas
All prizes generously donated by:

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Gala 2000 Program Book letter from President



Saint Mary's

HOSPITAL

Your Regional Health Care Center



Office of the President
SISTER MARGUERITE WAITE, C.S.J.

February 5, 2000

Dear Friends of St. Mary's Hospital,

As President of St. Mary's Hospital and on behalf of our Hospital Foundation, it is my sincere pleasure to welcome each one of you to our ninth annual gala, *Puttin' On the Ritz*. This yearly event always provides us opportunity to celebrate remarkable accomplishments and highlight extraordinarily committed people who transform ideas and plans into reality.

The dream for a Catholic hospital in Waterbury moved closer to its reality with the placement of St. Mary's cornerstone, more than ninety-three years ago. Many buildings and renovations later, dreams and visions of quality health care for all people continue to identify our mission of excellence and compassion in services and programs at St. Mary's.

Past galas, our successful 1999 Phon-A-Thon and September Golf Tournament move us closer toward a present dream to provide more specialized, time-efficient and patient-friendly emergency care. You will be pleased to learn that our Pediatric Emergency Care Center and Quick Turnaround Unit, one phase of overall plans, is up and running—welcomed by enthusiastic support from staff and winning applause from tiny tots and parents.

Proceeds from this year's gala support ongoing Emergency Department renovations, ones in excess of \$2 million. Your generosity helps to move blueprints from the drawing board into the skilled hands of those whose expertise adds life and substance to dreams and visions.

I extend a heart-felt thank you to all those people who dreamed and planned for this evening and made *Puttin' On The Ritz* a truly wonderful reality for us this year. May all of us dare to dream and give life to visions as we move through this millennial year 2000.

God bless each and all of you and have a wonderful evening.

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite, CSJ
President and Chief Executive Officer

56 Franklin Street
Waterbury, Connecticut 06701
(203) 574-6001

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- John J. Farrell
- Fascia's Chocolates
- Finishing Touches
- Foxwoods Resort & Casino
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- Georgia Sheron Photography
- Golf Day
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- Kar-Tele Cellular Phones
- Loehmann-Blasius Chevrolet
- Montano Cigarette, Candy & Tobacco
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- Simplex Time Recorder
- Southbury Hilton
- Spingfield Foods
- Timex Corporation
- The Torrington Supply Company
- Woodbury Ski & Raquet
- Yale Repertory Theatre
- Attys. Dona & Roland Young
- Zackin, Zimyeski & Sullivan

M. Alex Geertsma, M.D.
Alan Mathog

Committee and Volunteers

- Deb Blazys
- Bill Cofrances
- Rocco Corso
- Lou D'Auria
- Terry Dudrick
- Debbie Frenza
- Judy George
- Joe Gugliotti
- Bett Hales
- Michelle Hills
- Steve Jalowiec
- Joe Kane
- Laura Kleinschmidt
- Marita Lawlor
- Mike LeClerc
- Ellen Madden
- Donna Mariano
- Robert Mazaika
- Joe Muni
- Ginny Napiello
- Tracie Newman
- Sister Ramunda Palmberg
- Beverly Perugini
- John Pito, M.D.
- Warren Sarasin
- Lorrie Schwartz
- Harriet Sisbarro
- Ron Sisbarro
- Jeanne St.Pierre
- Lucy Stronkowski
- Pat Tanner
- Maureen Madden-Tardy
- Mary Tardy
- Tim Tardy
- Dana Towle
- Joe Vaccarelli
- Meg Vance
- Ken Williams
- Roland Young, Esq.
- Sharon Zilahy



**4th Annual
GOLF TOURNAMENT**

TUESDAY, SEPTEMBER 14, 1999

**FIRST FLIGHT 7:00 A.M. – 12:30 P.M. SECOND
FLIGHT 11:00 AM - 7:30 PM**

**WATERTOWN GOLF CLUB
246 GUERNSEYTOWN ROAD
WATERTOWN, CONNECTICUT**

**NET PROCEEDS TO BENEFIT ST. MARY'S HOSPITAL
EMERGENCY DEPARTMENT**

SCHEDULE FOR THE DAY

DIAMOND SPONSOR – GOLFPACK COOLEI

Brown, Rudnick, Freed & Gesmer
 CIGNA Healthcare of CT
 Mathog & Moniello
 O'Brien, Tanski & Young

Schedule:

	1st Flight	2nd Flight
Registration.....	7:00 a.m.	11:00 a.m.
Driving Range:.....	7:00 a.m.	11:00 a.m.
<i>(Golf Clinic prior to tee-off)</i>		
Continental Breakfast.....	7:00 a.m.	
Lunch:.....	11:45 a.m.	11:45 a.m.
Shotgun Start.....	8:00 a.m.	1:00 p.m.
Cocktails/Dinner.....		5:30 p.m.

AWARDS PRESENTATION, RAFFLE DRAWING

TOURNAMENT PRIZES

- 1st and 2nd Low Gross Team Score
- 1st, 2nd, 3rd and 4th Low Net Team Score
- Closest to the Pin
- Closest to the Line
- Longest Drive - Men & Women

Prizes for first hole-in-one on all par 3 holes:

- Hole #4 2000 Mercury Cougar
- Hole #9: Electronic Putting Challenge from GL Technology, Inc.
- Hole #15: Premiere Cruiselines 5 day cruise to the Bahamas

All prizes generously donated by:

SHAKER'S LINCOLN MERCURY

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 The Robinson Company
 St. Francis Hospital & Medical Ctr.

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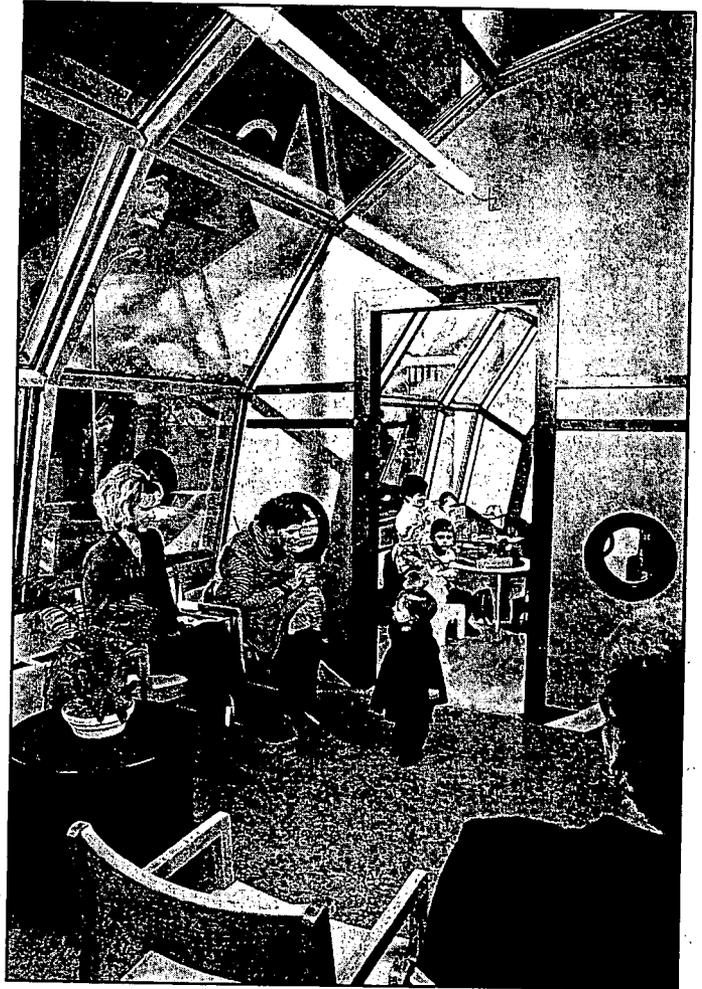
Hill-Rom
 Naugatuck Valley Medical Associates
 Zackin, Zimyeski & Sullivan

Emergency Department Renovation First Phase

Funds raised from Gala 1998, 1999 and 2000 supported this project.



*Patient being examined by Dr. Kurt Myers,
Board Certified in Pediatric Emergency Medicine.*



Arc shaped play area for waiting children

Pediatric Emergency Care and Quick Turnaround

When your child is sick, your whole family feels it. Here at St. Mary's Hospital, we understand your concerns and want you and your child to have the best treatment possible. If you have to bring your child to the emergency room for immediate care, you can rest a bit easier when you arrive. We have a special Pediatric Emergency Care right in our emergency room that provides comprehensive, specialized health care for your child. The center has a separate waiting and registration area, child-friendly examination rooms and even a playroom – everything to make the visit less threatening for your little one.

Gala 1999 Program Book letter from President



February 6, 1999

Dear Friends of St. Mary's Hospital:

As President of St. Mary's and on behalf of our Hospital Foundation, it is my great pleasure to welcome you here this evening. This eighth annual gala is our opportunity to celebrate our astounding accomplishments and the extraordinary people who make them possible.

On February 28, 1999, St. Mary's Hospital will be 90 years old. On that day and today, the mission of St. Mary's Hospital remains the same. We opened our doors to everyone regardless of their ability to pay and have kept them open for nine decades. This evening, we are commemorating this journey from 1909 to 1999. With your continued support and generosity this journey has just begun.

For the second year, all proceeds from the 1999 Gala will support planned renovations of the Emergency Department. The phased in renovation will cost in excess of \$2 million. We will update this important facility with separate traffic patterns for different patient populations - separated by age and acuity of care. There will be small and discrete waiting areas and specialized exam and treatment rooms. Using proceeds from the 1998 Gala, the first phase of the renovations will begin this year.

A special thank you to all the volunteers of the Gala Committee who spent countless hours planning a wonderful program for all of us this evening. The "Celebrate The Journey - A Magical Trip Through Time" theme promises to be a spectacular evening of entertainment and fun.

Have a wonderful evening, and God Bless You All.

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite, C.S.J.
President and Chief Executive Officer

1238

56 Franklin Street
Waterbury, Connecticut 06706
(203) 574-6000

An Affiliated Hospital of Yale University School of Medicine

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St. Mary's Hospital Foundation



OPEN
 GOLF TOURNAMENT

3rd Annual GOLF TOURNAMENT

TUESDAY, SEPTEMBER 8, 1998
 11:00 AM - 7:30 PM

WATERTOWN GOLF CLUB
 246 GUERNSEYTOWN ROAD
 WATERTOWN, CONNECTICUT

(NET PROCEEDS TO BENEFIT ST. MARY'S HOSPITAL
 EMERGENCY DEPARTMENT RENOVATIONS)

1998 Golf Open Committee

Co-Chairs
 Robert Mazaika
 John V. Pito, M.D.

Committee:

Steve Bernier
 Mitch Chere, M.D.
 William Christman
 William Cofrances
 Lou D'Auria
 Maureen Evon
 Martin Geertsma, M.D.
 Joseph Gugliotti
 Steve Jalowiec
 Maureen Madden-Tardy
 Raymond Massicotte

Volunteers:

Chris Boroch
 Carol Charbonneau
 Lou D'Auria
 Maureen Evon
 Trish Greene
 Steve Jalowiec
 Karen Kean
 Lauren Knapiak
 Mike LeClerc
 Ellen Madden
 Maureen Madden-Tardy
 Pam Monahan

Joe Muni
 Michael Perreault
 Beverly Perugini
 Warren Sarasin
 Chris Sheehan
 Harriet Sisbarro
 Ron Sisbarro
 Jean St. Pierre
 Pat Tanner
 Dana Towle
 Joe Vaccarelli

SCHEDULE FOR THE DAY

- Registration..... 11:00 a.m.
- Driving Range.....11:00 a.m.
- Putting Contest.....11:00 a.m. to 5:00 p.m.
- Lunch.....11:15 a.m.
- Shotgun Start (Scramble Format).....12:30 p.m.
- Cocktails/Dinner.....5:30 p.m.

AWARDS PRESENTATION, RAFFLE DRAWING

\$10,000 PUTT-FOR-CASH CONTEST

TOURNAMENT PRIZES

- 1st and 2nd Low Gross Team Score
- 1st, 2nd, 3rd and 4th Low Net Team Score
- Closest to the Pin
- Closest to the Line
- Longest Drive - Men & Women

Prizes for first hole-in-one on all par 3 holes:

- Hole #4 1999 Mercury Cougar
 - Hole #9: 2 Round Trip Airline Tickets to Anywhere in the U.S.
 - Hole #14: 5 Day Cruise for 2 to Bahamas aboard "The Big Red Boat"
 - Hole #15: Set of Pulsar Golf Irons
- All prizes generously donated by:

SHAKER'S LINCOLN MERCURY

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Mathog & Montello
O'Brien, Tanski, Tanzer & Young

PLATINUM SPONSOR - DINNER

Campion Ambulance

GOLD SPONSOR - GREENS FEES

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Gala 1998 Program Book letter from President 

Saint Mary's
HOSPITAL
Your Regional Health Care Center

February 28, 1998

Dear Friends of St. Mary's Hospital:

As President of St. Mary's and on behalf of the Hospital Foundation, it is my great pleasure to welcome you here this evening. This seventh annual gala is our opportunity to celebrate our astounding accomplishments and the extraordinary people who make them possible.

This past October, St. Mary's Hospital began the celebration of its 90th year of providing health care to the greater Waterbury community. St. Mary's continues to be a leader in the health care industry because of your continued support. The kindness, encouragement and compassion you continually share with us is spread among the people you help us serve.

The proceeds from this evening's gala will support the renovations of the Emergency Department. I thank you on behalf of the thousands of people who look to the Emergency Department for much needed care. Your generosity will help to update this critically important facility by having separate traffic patterns for different patient populations - separated by age and acuity of care. There will be small and discrete waiting areas and specialized exam and treatment rooms. Because you care, people all over the region can continue to count on St. Mary's for competence and compassion and feel confident when they need our care.

A special thank you to all the volunteers of the Gala Committee who spent countless hours planning a wonderful program for all of us this evening. Their "Hooray For Hollywood" theme promises to be a spectacular evening of entertainment and fun.

Have a wonderful evening, and God Bless You All.

Sincerely,

Sister Marguerite Waite

Sister Marguerite Waite, C.S.J.
President and Chief Executive Officer

56 Franklin Street
Waterbury, Connecticut 06706
(203) 574-6000

An Affiliated Hospital of Yale University School of Medicine

St. Mary's Hospital Foundation Benefit Gala Raises Over \$180,000 For The Emergency Department

For Immediate Release

Friday, March 13, 1998

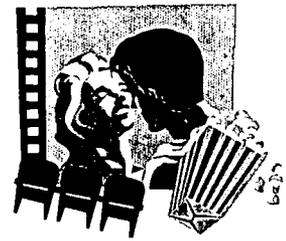
Contact:

(Waterbury) - Over 850 people were treated like movie stars as they were welcomed by screaming fans" and swirling spotlights at the Aqua Turf on February 28 as they attended St. Mary's Hospital Foundation's "Hooray For Hollywood" Gala. Everyone was at the event to help raise money for the hospital's Emergency Department in which they succeeded in raising over \$180,000. This event is arguably Waterbury's most successful fund raiser in the city's history. Last year, more than 500 people attended St. Mary's Gala and \$150,000 was raised for the hospital's medical residency programs.

"I want to thank everyone who volunteered their time, donated an item or attended the Gala on behalf of the thousands of people who look to the Emergency Department for much needed care. Their generosity will help update this critically important facility," commented Sister Marguerite Waite, President and Chief Executive Officer of St. Mary's Hospital.

Jaci Carroll, President and CEO of Jaci Carroll Staffing and co-chair of this year's event, when hearing how much was raised stated, "I am thrilled at the results. A special thank you to the event committee who worked very hard to make this the most successful Gala in the history of St. Mary's Hospital. We are looking forward to planning for next year's event when we hope to have over 1000 people in attendance." The committee was also co-chaired by Dr. Peter Jacoby, Dr. Diane Fountas, Dr. Vincent deLuise and Ms. Debra Hinck-deLuise.

Some of the highlights of the evening included; a live and silent auction; a basket raffle; and entertainment provided by Chèz-zam, a dance troupe from New York, that performed several numbers throughout the evening.



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Ernst & Young
Siemens Medical Systems
St. Francis Hospital & Medical Center
Weatherby Healthcare

PRODUCERS \$3,000

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Fleet Bank
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Jim Hull & Associates
Lindquist Builders Supply Company
Medtronic, Inc.
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Waterbury Hospital

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Commercial Temperature Control, Inc.
Dr. & Mrs. Anthony Cusano
Mr. & Mrs. Nicholas D'Alonges
Miss Marguerite Dick
Dr. & Mrs. Paul D. Doolan
Gregory Fiasconaro, M.D.
The Harry Fleisher Foundation, Inc.
Mr. & Mrs. William J. Gilland, Jr.
Mr. & Mrs. Thomas Gormley
Mr. & Mrs. Bernard Kershner
Mr. & Mrs. Roland Hamel
Attorney & Mrs. Frank T. Healey
Mr. & Mrs. Allen Hecht
Mrs. Katherine Henebry

Mr. & Mrs. Milton Kadish
Mrs. Catherine Largay
Mrs. Marita Lawlor
Miss Margaret Ruth Leggett
Dr. & Mrs. Nicholas Mascoli
McKesson Health Systems
Robert E. Mellon, Esq.
Mrs. Margaret Morgan
Ms. Mary Moschella
Mrs. Frances Mulville
Jarob Mushaweh, M.D.
National Die Company, Inc.
Physicians for Women's Health
Mr. & Mrs. Leon F. Powers
Dr. & Mrs. Joseph Reynolds
Ritz Camera Centers, Inc.
Dr. & Mrs. Donald G. Rosenthal
Mr. Peter Sandore
Mrs. Elizabeth Smith
Systems Maintenance Services, Inc.
Mr. & Mrs. George Strobel
Sunquest Information Systems
Timex Corporation

January 9, 1998

1998 GALA COMMITTEE

Committee Chairpersons

Jaci Carroll
Debra Hinck-deLuise
Vincent deLuise, M.D.
Diane Fountas, M.D.
Peter Jacoby, M.D.

«F1»

Dear «F2»:

Last year's St. Mary's Hospital Foundation Gala was a resounding success. Net proceeds raised over \$150,000 to be used to expand and advance St. Mary's Medical Residency programs.

Sub-Committee Chairpersons

Sylvia Biscardi
Walter J. Biscardi
Marianne D'Eugenio
Bonnie Forcucci
Carol Friia
Linda Boulanger-Hakim
Ramin Hakim
Kathie Hanratty-Masi
Terri Masters
Pam Monahan
Reneé Perreault-Warner
Robert E. Smanik
Carmen Vacalebrea
J. Zilaly

On February 28, 1998, St. Mary's is hosting their seventh Annual Gala and Auction at the Aqua Turf in Southington. This year's proceeds will benefit the renovation of the hospital's Emergency Department. The quality of the Emergency Department's services is becoming increasingly important to the community. The new Emergency Department design will update this critically important facility by having separate traffic patterns for different patient populations - separated by age, level of care and area of care. There will be separate waiting areas and specialized exam and treatment rooms.

We would like you to consider being a table host for the event. Being a table host entails filling a table for ten by purchasing the table for \$1,000 and inviting four other couples to attend or purchasing two tickets and asking four couples to attend and pay for their own tickets. Last year 56 tables were filled. This year our goal is to fill 110 tables.

The theme for the Gala is "Hooray For Hollywood" and promises to be a spectacular evening of entertainment and fun. The entertainment will be provided by a nationally recognized cabaret group called "Chéz-zam!"

We hope that we can count on you to host a table. We will be calling to confirm your involvement. Please contact Warren Sarasin, Executive Director, at St. Mary's Hospital Foundation with any questions at (203)574-6390. Thank you.

Sincerely,

1998 Table Host Co-Chairpersons

Carmen Vacalebrea

Terri Masters

CV/TM/mmt
Enclosures

Fund Summary Report
ED Golf Tourny 1998 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
emergkeys98	ED Key Sales '98	1	\$0.00	\$0.00	\$3,500.00	\$0.00	\$3,500.00
emergol98	ED Golf Tourny '98	112	\$0.00	\$1,695.00	\$25,620.00	\$4,619.90	\$31,934.90
emergolfsp98	ED Golf Sponsors '98	37	\$0.00	\$15,000.00	\$31,500.00	\$500.00	\$47,000.00
		and Totals:	\$0.00	\$16,695.00	\$60,620.00	\$5,119.90	\$82,434.90

150 Gift(s) listed
 125 Donor(s) listed

Appeal Summary Report daVinci Robotic Surgery Program Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
davincisp	daVinci Robotic Surgery Spon	9	\$188,000.00	\$32,000.00	\$55,000.00	\$0.00	\$275,000.00
9 Gift(s) listed							
5 Donor(s) listed							
and Totals:			\$188,000.00	\$32,000.00	\$55,000.00	\$0.00	\$275,000.00

daVinci Robotic Surgery Program Fund

Constituent Name	Date	Appeal ID	Edge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Reference
Burton Albert	8/24/2010	davincisp	\$0.00	\$0.00	\$25,000.00	\$0.00	
Naugatuck Savings Bank Foundatio	11/8/2010	davincisp	\$30,000.00	\$0.00	\$0.00	\$0.00	
	11/8/2010	davincisp	\$0.00	\$10,000.00	\$0.00	\$0.00	Ins of 11/9/2010
	1/24/2011	davincisp	\$0.00	\$10,000.00	\$0.00	\$0.00	Ins of 11/9/2011
Naugatuck Valley Women's Health S	7/31/2010	davincisp	\$118,000.00	\$0.00	\$0.00	\$0.00	
	2/9/2011	davincisp	\$0.00	\$2,000.00	\$0.00	\$0.00	Ins of 1/31/2011
Thomaston Savings Bank	10/18/2010	davincisp	\$0.00	\$0.00	\$30,000.00	\$0.00	
Webster	7/31/2010	davincisp	\$40,000.00	\$0.00	\$0.00	\$0.00	
	12/9/2010	davincisp	\$0.00	\$10,000.00	\$0.00	\$0.00	Ins of 7/31/2010
		nd Totals:	\$188,000.00	\$32,000.00	\$55,000.00	\$0.00	

9 Gift(s) listed

5 Donor(s) listed

Harriet Sisbarro

From: Peggy Lawlor
Date: Thursday, August 05, 2010 4:08 PM
To: Harriet Sisbarro
Cc: Renee Warner
Subject: July Financials

davincl

spoke with Renee and told her we were going to put in the pledges from Webster, Burt Albert and NVOBGYN for July. I already sent you Websters' pledge. ~~Add Burt Albert~~ for \$100,000 payable at \$20,000 a year for 5 years and NVOBGYN at \$150,000 payable at \$30,000 a year for 5 years. I have sent both Burt and Mark Albini pledge cards to be signed. Call me at home in the morning if you have any questions.

AB

ANFORD C. BERNSTEIN & Co., LLC

A subsidiary of AllianceBernstein L.P.

DATE: 08/13/2010

CHECK NO.: 126832

ACCOUNT NAME:	SYLVIA M. ALBERT IRA 635 BREAKNECK HILL ROAD MIDDLEBURY CT 06762-1410
ACCOUNT:	03800624

AMOUNT:	*****\$25,000.00
PAYMENT TYPE:	UPON REQUEST
PAYABLE TO:	St. Mary's Hospital Foundation 1st Instlmnt for the DaVinci Robot Good Luck Sylvia and Burt Albert

ANFORD C. BERNSTEIN & Co., LLC
A subsidiary of AllianceBernstein L.P.

One North Lexington Ave, White Plains, NY 10601

CHECK NUMBER	DATE
126832	August 13, 2010

50-937
213

JPMorgan Chase Bank, N. A.
6040 Tarbell Road
Syracuse, NY 13206

PAY: *Twenty five thousand and 00/100 Dollars*
TO THE ORDER OF: St. Mary's Hospital Foundation
1st Instlmnt for the DaVinci Robot
Good Luck Sylvia and Burt Albert

*****\$25,000.00

R. Pagan
AUTHORIZED SIGNATURE
Lauren Pagan
AUTHORIZED SIGNATURE

⑈0000 126832⑈ ⑆021309379⑆

601880263⑈

*✓ # 126832
\$ 25,000 -
8/13/10*



**NAUGATUCK SAVINGS BANK
FOUNDATION**

Making a Difference

January 11, 2011

Margaret J. Lawlor, President
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706

Dear Mrs. Lawlor:

We are pleased to enclose a check from the Naugatuck Savings Bank Foundation in the amount of **\$10,000**. This check represents the second payment of a five year commitment totaling \$50,000 for the da Vinci Robotic-Assisted Surgery system.

There is a final report form which we ask that you fill out and return to us upon the completion of this grant. This form can be found on the Connecticut Community Foundation website with a check box for the Naugatuck Savings Bank Foundation.

Grant funds from the Foundation should be used only for the purpose described in the grant application and in accordance with the approved budget. The grantee agrees to return any unexpended funds and recognizes that any unpaid grant funds will be rescinded at the end of the grant period. The grant period is one year from the dispersion of funds. If there are significant difficulties in implementing the grant funded project please contact the Foundation to discuss possible alternatives and approval of an extended time line.

Please identify our grant as the **Naugatuck Savings Bank Foundation** in any printed material acknowledging your donors, or contact with the media regarding this event. To obtain a Foundation approved logo, arrange a photograph, or receive any other marketing information, contact Marylou Cunningham in our Marketing Department at 203-720-2510. Please send copies of newspaper clippings or other public announcements to the Foundation office.

If you have any questions concerning the grant, please contact me at 203-729-5291.

grant, please contact me at 203-729-5291.

Sincerely,

Caren G. Bouchard

Caren G. Bouchard
Naugatuck Savings Bank Foundation Secretary

CGB/jj
enclosure

V# 1388
\$ 10,000
12/20/10

1252

NAUGATUCK SAVINGS BANK FOUNDATION
251 CHURCH ST
NAUGATUCK, CT 06770

NAUGATUCK SAVINGS BANK
NAUGATUCK, CT 06770
51-7417/2111

1388

12/20/2010

PAY TO THE ORDER OF Saint Mary's Hospital Foundation

\$**10,000.00

Ten Thousand Only*****

DOLLARS

MEMO

"Robotic Assisted Surgical Program 2nd of 5"

Carin Y. Bouchard
MP

AUTHORIZED SIGNATURE

⑈001388⑈ ⑆211174178⑆ 150 054 428⑈

Details on Back.

Security Features Included

PLEASE POST THIS PAYMENT FOR OUR MUTUAL CUSTOMER

Account: **NVWS**

\$6,000.00

NAUG VALLEY WOMEN'S HEALTH SPECIALISTS
133 SCOVILL ST STE 314
WATERBURY, CT 06708

Please Direct Any Questions
TO:
Online Bill Payment Processing Center
(800) 243-2508

7417/2111

0000995320

NAUGATUCK SAVINGS BANK

May 17, 2011

daVinci Robotic pledge payment

SIX THOUSAND AND 00/100

DOLLARS

\$ *****6,000.00

To
the
order
of

>05546 7920408 001 080005
ST MARYS HOSPITAL FOUNDATION
56 FRANKLIN ST
WATERBURY, CT 06706-1253



Void After 180 DAYS
Signature On File
This check has been authorized
by your depositor

WARNING: THIS BORDER CONTAINS MICRO-TYPE WHICH WILL NOT REPRODUCE ON A COPY

⑈0000995320⑈ ⑆211174178⑆ 150 078 276⑈

NAUG VALLEY WOMEN'S HEALTH SPECIALISTS
133 SCOVILL ST STE 314
WATERBURY CT 06706

Invoice For:	
Check Number	: 0000995320
Date	: 2011-05-17
Payment Amt	: \$6,000.00
Acct Nbr	: NVWHS

>05546 7920399 001 080005
ST MARYS HOSPITAL FOUNDATION
56 FRANKLIN ST
WATERBURY CT 06706-1253



Reference number	Amount	Additional information
INVOICE INFORMATION		
Mar - May 2011	\$6,000.00	DESC: daVinci Robotic Surgery Spponsorship
TOTAL	\$6,000.00	



Thomaston Savings Bank
 Thomaston, CT 06787
 800-283-1874

THOMASTON SAVINGS BANK
 THOMASTON, CONNECTICUT 06787

50114

51-7425/2111
 01

PAY ****Thirty Thousand and NO/100 Dollars****

**TO THE
 ORDER OF**

DATE

AMOUNT

October 7, 2010

\$*****30,000.00

*memo
 done
 surgery*

SAINT MARY'S HOSPITAL FOUNDATION INC.
 56 FRANKLIN STREET
 WATERBURY, CT 06706.

[Handwritten Signature]
 AUTHORIZED SIGNATURE

⑈050114⑈ ⑆211174259⑆ 520 000 389⑈

Security Features Included



WEBSTER BANK, N.A.
A/P DEPARTMENT
WEBSTER PLAZA
WATERBURY, CONNECTICUT 06702

No. 3106230

DATE: 02-DEC-10 CUST. ACCT. NO.

VENDOR NAME ST MARYS HOSPITAL INC VENDOR NO. 152

INVOICE NO	INVOICE DATE	DESCRIPTION	DISCOUNT AMOUNT	NET AMOUNT
24-NOV-201	24-NOV-10	Pymt #1 of 5-Cptl Cmpgn/Robotic	0.00	10,000.00
PLEASE DETACH AND RETAIN THIS STATEMENT AS YOUR RECORD OF PAYMENT. <i>Thank You</i>			0.00	10,000.00

V# 31064
~~V#~~ \$ 10,000
12/10/10

Sept 10

Robotic-assisted Surgery Eliminates Debilitating Pain for Prospect Woman .

“Whenever the pain hit, I couldn’t stand, I couldn’t sit. I couldn’t do anything,” said Lisa Padilla, a self-employed resident of Prospect. Finally, unable to ease the pain with her medication, Lisa landed in Saint Mary’s Hospital Emergency Room the day after Christmas of 2009.

A wife and mother of three who owned her own business, Lisa knew a prolonged recovery after abdominal surgery would be a hardship on her family as well as her business, but she was willing to do whatever it took to avoid another bout of agony. “I never knew when it was coming,” Lisa said. “Pain would wake me up from a sound sleep, so the anxiety and anticipation of pain were always present even when the pain wasn’t.”

Fortunately, Saint Mary’s Hospital had recently purchased the daVinci Robotic Assisted Surgery System (RAS). This most remarkable advancement in surgical technology is far less invasive and much more precise. The advantages of RAS over all other surgical options include: improved outcomes, lower risk, faster recovery time, fewer complications, fewer infections, and minimal pain.

“Having access to quality medical care in our own community is vital for patients in our area,” said Dr. Mark Albini of Naugatuck Valley OBGyn in Waterbury, who performed her surgery. “With RAS we can perform complex procedures we could not do without it.” In addition to completing a hysterectomy with only four tiny incisions, Dr. Albini was able to remove old internal scar tissue that could have caused painful problems in the future. “Without the RAS I would have had to use traditional abdominal surgery that could result in up to 10 weeks of recovery time and considerable bleeding.”

“I was back to work in about five weeks with no pain,” Lisa said. “In fact, my lower back pain that I used to blame on the strain of daily work, was also gone.”

With the help of generous supporters, Saint Mary’s will continue to acquire innovative technology and develop new programs ensuring that you always have access to the best care possible. Robotic assisted surgery is available in your community today, only at Saint Mary’s Hospital, but we need your help to fund it so we can continue investing in new emerging technologies and improvements.

An investment in this lifesaving, life-changing technology is an investment in your family’s health. Please consider making a generous gift to help support the future of healthcare in your community. It all comes back to you.



Robotic-Assisted Surgery Now At Saint Mary's

Promises Less Pain, Faster Recovery, Fewer Side Effects and More

"With da Vinci I was able to perform a complex gynecologic procedure that would not have been possible with laparoscopic surgery. With this system we will be able to use minimally invasive procedures on the majority of our cases including advanced gynecologic cancers that would normally be referred elsewhere."

Mark Albini, MD, ObGyn.
Chairman, Department of
Obstetrics and Gynecology

If your surgeon told you that there is a less invasive, more precise surgical technique that presented lower risk, faster recovery time and minimal pain, you would certainly choose that option. Now, you can have it right here in Greater Waterbury, at Saint Mary's Hospital.

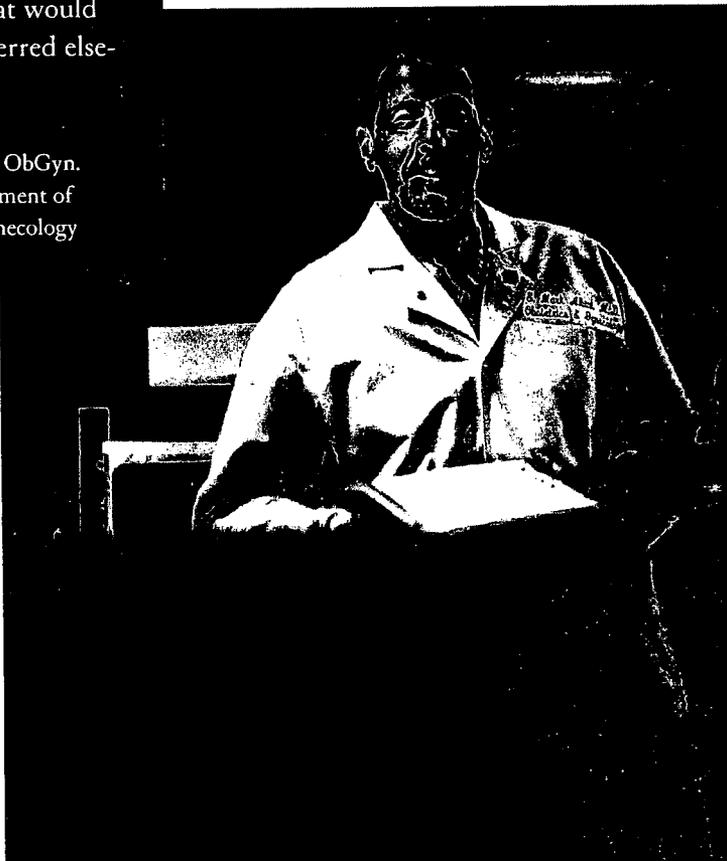
The da Vinci Robotic Surgery System, the latest advancement in surgical technology, arrived at Saint Mary's Hospital in January. Surgeons have performed extensive training

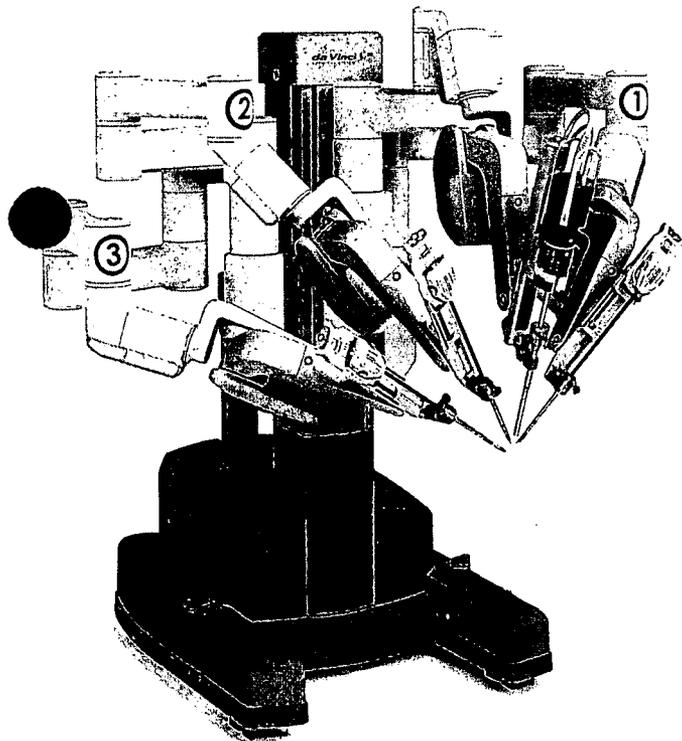
on the equipment and several have already used da Vinci with outstanding results in gynecology, urology and colorectal procedures.

The surgeon performs the surgery with robotic extensions guided by a 3-D, high-definition camera, which gives the surgeon a clear view magnified 10 times. The da Vinci computer scales and filters the images for exacting precision and clarity that is far superior to laparoscopic methods. Dr. Juan Sanchez, chairman of Saint Mary's Hospital Department of Surgery said, "It allows surgeons to move around inside body cavities in ways that have up to now been impossible." Surgeons sit at a console and operate the robotic "hands," which are much smaller than a surgeon's and can rotate 360 degrees, allowing surgeons incredible dexterity and flexibility. Saint Mary's system also allows for two surgeons to work together at the same time.

"The potential payoffs [of the da Vinci Surgical System] are impressive: Smaller incisions, less pain, fewer infections and other complications, shorter hospital stays, faster recovery, even improved odds that the outcome of the procedure will be a success."

U.S. NEWS & WORLD REPORT





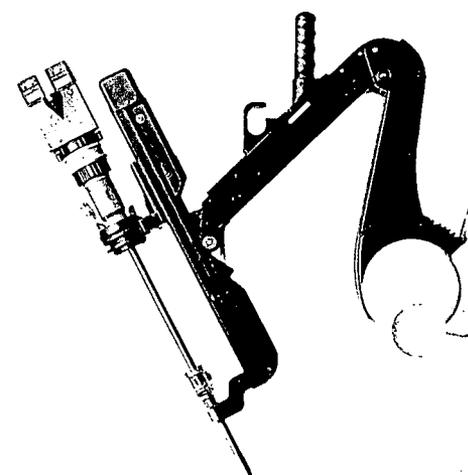
"My wife, Sylvia, and I are proud to contribute to the da Vinci Robotic Fund to help Saint Mary's provide cutting-edge medical and surgical care to our community. We feel that having the most up-to-date equipment will help us to bring the best surgeons and physicians to our hospital. We are fortunate to be able to help Saint Mary's improve upon its excellent standard of care."



*Burt Albert, Chairman of the Board, Albert Brothers, Inc.
Member, Saint Mary's Hospital Board of Directors
and Champion Donor*

While laparoscopic surgery was an incredible advancement over traditional open surgery, robotic-assisted surgery offers a number of significant improvements over both methods including a shorter hospital stay, less pain, less risk of infection, less blood loss, fewer transfusions, less scarring, faster recovery and a quicker return to normal daily activities.

minimally invasive surgery, so that patients and their families do not have to travel across the state for care. To that end, Saint Mary's committed \$2.4 million for the da Vinci purchase with additional plans to continue expanding and upgrading surgical facilities.



SURGEONS SIT AT A CONSOLE AND OPERATE THE ROBOTIC "HANDS," WHICH ARE MUCH SMALLER THAN A SURGEON'S AND CAN ROTATE 360 DEGREES, GIVING SURGEONS INCREDIBLE DEXTERITY AND FLEXIBILITY.



World-Class Medicine in Your Community

Saint Mary's Health System is the fifth largest surgical provider in Connecticut, with an 18-town service area performing more than 20,000 surgeries per year. The administration and medical team at Saint Mary's Hospital believe that a community of this size deserves a premier center for

Partner With Us for Your Healthcare's Future

The da Vinci system signifies a leap of faith and confidence. We know it's important to you to have the best care possible for you and your family, and we believe you will do everything possible to help Saint Mary's deliver it, because you have responded generously in the past. Supporting the da Vinci project now is an investment in securing the future of healthcare in our community.

***Please give generously today
or consider making a pledge.***

Please contact:
Peggy Lawlor
President, Saint Mary's Hospital
Foundation
plawlor@stmh.org
203-709-6344

Thank you for your generous support.

1260


**Saint Mary's
HOSPITAL
Foundation**

56 Franklin Street
Waterbury, CT 06706
www.stmhfoundation.org

Naugatuck Savings Bank Foundation Grant Application

Applicant: Saint Mary's Hospital Foundation

Submitted by: Margaret Lawlor

President, Saint Mary's Hospital Foundation

56 Franklin St., Waterbury, CT 06706, 203.709.6344

1. SUMMARY

Purpose: Saint Mary's Hospital in Waterbury seeks a grant of \$100,000 from the Naugatuck Savings Bank Foundation to help fund the da Vinci Robotic-Assisted Surgery (RAS) system. The cost for RAS is \$1.9 million paid over four years with an additional \$2.1 million in supplemental costs. Recognizing the importance of bringing this technology to the Waterbury area now, the hospital leadership committed to acquiring the equipment with the understanding that fundraising would ultimately provide funding for the robot itself. The system provides access to the newest standard of surgical care ensuring that patients who need it do not have to leave the community and physicians who want to provide it will not feel compelled to locate in other regions that offer it.

What is the target population, location and timeframe? Saint Mary's Health System is the fifth largest surgical provider in Connecticut, serving 18 towns and performing more than 20,000 inpatient and outpatient surgeries per year, of which more than 27 percent are under-insured or uninsured. As more area urologic and gynecologic surgeons are trained on the da Vinci, it is estimated that 8 percent of those surgical procedures will be eligible for RAS surgery over the next four years. In addition, the availability of this technology in Waterbury will help in the recruitment of new surgeons and stem further migration of patients outside the community.

Is it a new, existing, or improved/expanded program? Although RAS is the most remarkable advancement in surgical technology, it is not new. Several Connecticut hospitals have provided this technology for a number of years. The recent acquisition of RAS at Saint Mary's Hospital provides local area patients with the current standard of care for many GYN and Urological procedures in their own community. Surgery using the RAS is far less invasive and extraordinarily precise. The benefits are improved outcomes, lower risk, faster recovery time, fewer complications, fewer infections, and minimal pain. RAS technology allows surgeons to maneuver instruments in areas that could not be reached with other minimally invasive techniques. Guided by a 3-D, high-definition camera, which gives the surgeon a clear view magnified 10 times, the da Vinci computer scales and filters the images for exacting precision while the surgeon operates with tiny robotic "hands" that can rotate 360 degrees. Complex surgeries can now be executed with minimal incisions and bleeding. RAS can go where surgeons' hands cannot. Surgeons who have used the system report that for certain procedures, it is far superior to laparoscopic surgery, which, prior to acquiring RAS, was the area's most advanced standard of minimally invasive surgery.

What are the specific quantifiable results you plan to achieve? In 2010, da Vinci is being used primarily in gynecology and urology with an estimated 203 cases. By year four, more than 1000 area patients will have benefited from RAS. An estimated 700 of those cases would have migrated to practices outside the area where RAS was available. These

calculations are based on Saint Mary's Hospital's current and past patient census reports and statistical projections provided by Intuitive Surgical, Inc.

2. ORGANIZATION

Saint Mary's Hospital in Waterbury is a 347 bed, acute care Catholic hospital that was founded in 1907 to ensure that quality care was available to all residents of Greater Waterbury regardless of their ability to pay. The hospital employs nearly 1800 area residents and serves 18 towns. More than 27 percent of the hospital's patients are underinsured or uninsured (Medicaid or self-pay). In 2009, the hospital, driven by its mission to provide "excellent healthcare in a spiritually enriched environment to improve the health of our community," provided \$24.1 million in discounted, in-kind or free services to the Greater Waterbury community. (See attached Community Benefit Report) These include medical and surgical services for the uninsured and underinsured, health education, support groups, community outreach, and training for the next generation of healthcare providers. The provision of these services constrains the hospital's capital and limits our ability to acquire new technology.

3. STATEMENT of NEED

What is the specific community need that your proposed program will address?

For the past few years the hospital has recognized that many patients were traveling to other hospitals in the state for RAS, the latest advancement in surgical technology. In addition, some area surgeons were also leaving the community to perform RAS surgery at Hartford and MidState hospitals: (Connecticut Hospital Association census reports reflect outward migration of surgical cases) Traveling for surgical and medical care adds additional strain to patients and families, and the area's poorer, less mobile residents often do not have access to this care if the equipment is not available locally. More than 74 percent of Saint Mary's Patients are covered by Medicare, Medicaid or no insurance at all, which means a significant number are elderly and many are poor, and would likely not have the means or ability to travel out of town for surgery.

Since RAS arrived at Saint Mary's Hospital in January, 2010 and after extensive training on the equipment, area surgeons have performed multiple surgeries many of which were for low income patients, using the daVinci with outstanding results in gynecology and urology procedures.

How does this project relate to your agency's strategic plan?

The hospital's strategic plan includes major initiatives to maximize quality, efficiency and capacity in both surgical and emergency services. The main goal of 2010 is to significantly improve quality of care. RAS advantages over other techniques directly contribute to quality of care in improved outcomes, shorter hospital stays, lower infection rates and significant reduction in recovery times. In addition to the inconvenience of seeking care elsewhere, continued outward migration of surgical patients results in loss of revenue for the local economy and the potential loss of our physicians' patient base as patients form relationships with physicians in other communities. In 2009, 12 other hospitals in Connecticut were using RAS successfully. Saint Mary's Hospital's commitment to provide excellent care to every patient every day motivated the administration and board to do everything possible to bring RAS to Waterbury.

4. WORKPLAN

Plans for implementing the program.

To date, seven surgeons have received extensive training in the Robotics program. The system provides two consoles, which allow for two physicians to work together. This feature not only allows for collaboration during complex cases, but also provides opportunities for physician training. Once surgeons are trained, they will offer their patients who are eligible the option to undergo RAS surgery, explaining the benefits of an RAS procedure.

Who will be carrying out the activities?

Surgeons and staff at Saint Francis Hospital with two RAS systems provided training to Waterbury area physicians and Saint Mary's Hospital nursing staff with help from Intuitive Surgical, Inc. Urologists and Gynecologists were the first to be trained, because the Saint Mary's RAS is currently equipped for those surgeries. It is anticipated that RAS for other surgeries will be implemented in the future. Physicians who perform 20 surgeries may then assist in training others within their practice.

When will they occur?

There are two Ob/Gyn practices and one Urology practice currently performing RAS surgeries. Saint Mary's administration is in the process of educating area surgeons on the benefits of RAS.

How will patients be notified?

Surgeons who are trained on RAS will select patients who are eligible for Robotic-Assisted Surgery and inform them about the procedure. Meanwhile, Saint Mary's Hospital has implemented a broad-based communication strategy to inform the public about the benefits and availability of RAS. Communications include: billboards, newspaper ads, newspaper articles, newsletters, radio talk shows and television commercials.

5. SUSTAINABILITY

Implementing RAS did not require an increase in staffing or other variable costs. Once RAS reaches near capacity and has been fully funded, it will be self-sustaining. At full capacity, RAS will generate significant revenue that should enable Saint Mary's to acquire another machine and expand RAS to include many other types of surgeries. As more surgeries are performed with RAS, Saint Mary's Hospital expects to see a significant drop in complications such as infections, as well as shorter hospital stays and reduced readmissions. According to the Philadelphia Inquirer (Aug. 23, 2010) Robotic Assisted Surgery rose 50 percent in 2009.

For More Information Contact:

Margaret Lawlor

President, Saint Mary's Hospital Foundation

56 Franklin St., Waterbury, CT 06706, 203.709.6344

Proposed Project Budget & Explanation

ELIGIBLE EXPENSES: The Foundation will fund *primarily* direct costs incurred in starting or improving a program. However, indirect/overhead expenses are permitted as a line item – overhead above 10% of request must be documented in the Budget Narrative.

Organization Name: Saint Mary's Health System

Project Name: Robotic Assisted Surgical Program

I. PROPOSED PROJECT BUDGET \$4,029,000

Program Year: 1/1/2010 - 6/30/2013

LINE ITEM-EXPENSE DESCRIPTION (PLEASE ADD ADDITIONAL LINES IF NEEDED)	SUPPORT FROM YOUR AGENCY*	SUPPORT FROM OTHER FUNDERS**	NAUGATUCK SAVINGS BANK FOUNDATION	PROJECT TOTAL
PERSONNEL (LAST NAME, POSITION, % TIME ON PROJECT):				
1)	\$	\$	\$	\$
2)	\$	\$	\$	\$
3)	\$	\$	\$	\$
TOTAL FRINGE BENEFITS (@ __ %)	\$	\$	\$	\$
Sub-Total Personnel	\$	\$	\$	\$
OTHER PROGRAM EXPENSES¹				
1) DA VINCI SURGICAL ROBOT	\$	\$1,800,000	\$ 100,000	\$ 1,900,000
2) SURGICAL INSTRUMENTS	\$1,280,000	\$	\$	\$ 1,280,000
3) MAINTENANCE	\$ 480,000	\$	\$	\$ 480,000
4) TECHNICAL SUPPORT	\$ 363,000	\$	\$	\$ 363,000
5)	\$	\$	\$	\$
Sub-Total Other Expenses	\$ 2,129,000	\$1,800,000	\$ 100,000	\$ 4,029,000
DIRECT/OVERHEAD (BELOW 10%)				
TOTAL EXPENSES	\$	\$	\$	\$

* Revenues generated by program and agency in-kind contributions (please asterisk in-kind contributions)

** Total revenues requested from other sources (break out other funders in table below)

** SUPPORT FROM OTHER FUNDERS (SHOULD REFLECT TOTAL OF OTHER FUNDERS COLUMN ABOVE)		
FUNDER NAME	REQUEST AMOUNT	STATUS (COMMITTED / PENDING / PROJECTED) PLEASE NOTE DECISION DATE, IF KNOWN
1) Saint Mary's Health System Board Members	\$ 600,000	\$150,000 Committed
2) Physicians	\$ 800,000	\$ 275,000 Committed
3) Foundations/Individuals	\$ 400,000	\$230,000 Committed
TOTAL REVENUES (FROM OTHER FUNDERS)	\$ 1,800,000	\$650,000 Committed

II. Budget Narrative / Explanation

Please use an additional page for budget narrative / explanation, accounting for each line item request in detail (e.g., Printing: 10,000 copies @ \$.03/copy, Total = \$300). If you are requesting support for significant *equipment/capital expenditure*, include three quotes.

II. Budget Narrative / Explanation*

- Saint Mary's Hospital leased the da Vinci Robotic Assisted Surgery equipment for 3.5 years from Intuitive Surgical Inc.
- Each set of surgical instruments noted in line (2) under "other program expenses" will need to be replaced after every 10 surgeries at a cost \$15,000 per set.
- Maintenance contracts secured through Intuitive Surgical, Inc., cost \$160,000 per year.
- On-site technical support performed by a technician from Intuitive Surgical, Inc., is required for each surgery to prepare the robotic equipment prior to each surgery and to dismantle the equipment post surgery.



CRA FORM

One of the priorities of The Naugatuck Savings Bank Foundation is to provide opportunities for individuals and families who are of low or moderate income. The Foundation seeks your assistance in documenting the use of its funds for these purposes. The following information must be provided with your grant application.

Name of project: Robotic Assisted Surgical Program

Project duration: (month year/start/finish): 1/1/2010-6/30/2013

Number of persons assisted who are below the federal poverty level: 27.2%*

Number of persons who are below the 185% federal poverty level: Unknown**

Federal poverty level indices:

Size of family unit	One	Two	Three	Four	Five
Federal poverty level	10,830	14,570	18,310	22,050	25,790
185% federal poverty level	20,035.50	26,954.50	33,873.50	40,792.50	47,711.50

If you utilize other indicators of need, please describe the indicator and the process you utilize for determining need:
Please see Community Benefit Statement Attached

Ethnicity of clients: (Number)

African-American 12% Hispanic 19% White, non Hispanic 60% Other 9%

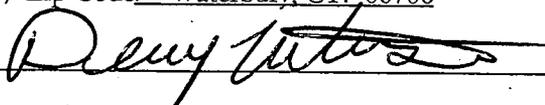
The information provided above is accurate to the best of my knowledge.

Name: Dean Vitarisi Title: Director of Finance

Organization: Saint Mary's Health System

Address: 56 Franklin Street

City / State / Zip Code: Waterbury, CT. 06706

Signed:  Date: 9-9-2010

Please include this form with your grant application. If you have any questions, please contact Josh Carey at 203.753.1315 or josh@conncf.org. For your own protection, please do not email to Naugatuck Savings Bank Foundation any confidential information such as account numbers or Social Security numbers. If your communication contains confidential information, we suggest you call us at 203.729.4442, toll-free 877.729.4442 or mail to Naugatuck Savings Bank Foundation, 251 Church Street, Naugatuck, CT 06770. Thank you.

* Patient Statistics represent Saint Mary's Hospital overall payor mix for FY 2009

** In FY 2009, 20,000 patient accounts were written off for charity and other free care for patients at 250% of federal

Robotic Assisted Surgical Program Capital Campaign Feasibility Study

Saint Mary's Hospital Foundation did not conduct a formal feasibility study prior to initiating a focused capital campaign. The need to stop the outward migration of surgical cases to other communities and meet the present needs of our patients and physicians provided few options to the leadership of the hospital other than to acquire this technology now. Current standards of care for certain surgical procedures should be available locally for the patients in our community. Members of Saint Mary's Health System and Foundation Board of Directors and members of the Finance Committee conducted informal assessments which demonstrated sufficient interest in the project to encourage them to make the decision to take a leap of faith and move forward with the acquisition of the RAS. As this is a focused campaign, it is not expected that it will have a major impact on the annual giving to the hospital as most of the prospective donors understand the need for ongoing annual support of the hospital.

Members of our board of directors and physicians who understand the implication of not having this technology available locally are actively engaged in soliciting their peers for contributions to the campaign. Campaign literature has been developed explaining the technology and the benefits of robotic assisted surgery. The local media coupled with paid advertisements have been utilized to publicizing the availability of RAS in Waterbury to patients and donors. The last capital campaign involving both Saint Mary's Hospital and Waterbury Hospital to build the Harold Leever Regional Cancer Center successfully raised \$13 million dollars. Over the past few years, given the uncertainty of the future of federal, state and local health care, prospective donors have been caution in making large gifts. An advantage of this campaign for those donors is the assurance that the RAS technology will remain part of the local health care system regardless of the future form or structure of the local health care system.

The campaign has already received gifts of \$650,000 toward its goal from a variety of donors and anticipates a successful conclusion to the campaign. There is a growing awareness that government funding of the health care delivery system is inadequate. Recent trends demonstrate that individuals are becoming more aware of the need to make personal investments to assure themselves of the availability of quality health care on a local level.

No consultants have been or will be engaged for this campaign.

Appeal Summary Report Same Day Surgery Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

cor2008	Corporations 2008	1	\$0.00	\$0.00	\$1,050.00	\$0.00	\$1,050.00
---------	-------------------	---	--------	--------	------------	--------	------------

and Totals:

1 Gift(s) listed			\$0.00	\$0.00	\$1,050.00	\$0.00	\$1,050.00
1 Donor(s) listed							


Saint Mary's
HOSPITAL
Foundation, Inc.

Authorization To Create A Temporarily Restricted Special Purpose Fund
(Please attach all supporting documentation)

Date Fund Created: 5/1/08

Source of Fund: Soroptimist Violet Richardson Initial Amount: \$ 1,050.00
Award for recognition of Navneet Kaur.

Name of Fund: SDS (Same Day Surgery)

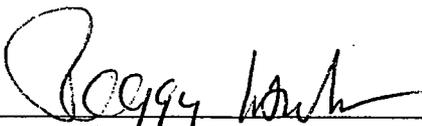
Will there be subsequent additions to this Fund? _____

Purpose of Fund:

To support Same Day Surgery's Education Fund

Person(s) Authorized to Approve Distributions:

Kathy Smith


Senior Management Approval CEO/CFO

Date: 4/30/08

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____

Saint Mary's Hospital

3/27/2008

Date	Type	Reference	Original Amt.	Balance Due	Discount	Payment
03/26/2008	Bill		1,000.00	1,000.00		1,000.00
				Check Amount		1,000.00

*plus local office
\$50 -
1906
4/15/08*

National Penn Bank	VRA Northeastern Region Organization Winner	1,000.00
--------------------	---	----------



SOROPTIMIST
Best for Women

*Soroptimist International
of the Americas
1709 Spruce Street
Philadelphia, PA
19103-6103 USA*

March 2008

Congratulations! Navneet Kaur has been chosen as a region finalist for the *Soroptimist Violet Richardson Award*. This award honors young women, like Navneet, who strive to make the world a better place through their volunteer actions.

In honor of Navneet and her volunteer efforts, Soroptimist International of the Americas is delighted to make a US\$1,000 donation to your organization. This donation has been made possible by the generous contributions of Soroptimist members.

We would like to thank you for your role in helping to encourage volunteerism among girls in your community. To learn more about the dreams and aspirations of young women like Navneet and how they are working to improve the world around them, please visit our *Live Your Dream* website at www.LiveYourDreamCampaign.org.

On behalf of Soroptimists from around the world, thank you and congratulations. We are delighted to make this donation and hope that you continue to encourage young women to become community volunteers.

Sincerely,

Machiko Yamada

Machiko Yamada
President
Soroptimist International of the Americas

Enclosed: US\$1,000 Award Check

215 893 9000 PHONE
215 893 5200 FAX
siahq@soroptimist.org E-MAIL

www.soroptimist.org URL

**BOARD
OF DIRECTORS**

- Machiko Yamada
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- Alice Wells
President-elect
Phoenix, AZ, USA
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- Hyunsook Lee
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- Jean Stallard
Mount Vernon, OH, USA
- Catherine Standiford
Cypress, CA, USA
- Kaneko Tomiyama
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- Linda McDoniels
*Fund Development
Council Chair*
Rancho Cordova, CA, USA

EXECUTIVE DIRECTOR
Leigh Wintz, CAE

*Improving the lives
of women and girls,
in local communities
and throughout
the world.*

Dear St Mary's Hospital
Same Day Surgery,

One of your workers,
Navneet Kaur was awarded
our Violet Richardson
Award. Part of receiving
this award is that we
donate \$50 to her
organization. We hope
you can find something
to help improve the lives
of women with this money

Sincerely,
Treasurer Denise Weik


Saint Mary's
HOSPITAL
Foundation

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Vice Chairman

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Maria Taylor

Carmen Anthony Vacalebne

Stephen C. Widman, M.D.

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Corporators

Katherine Berman

Louise G. Boulanger

Kenneth M. Carter

Lawrence H. Engelman, Esq.

Sister Margaret Rosita Kenny

Sally Kernan

The Most Rev. Henry J. Mansell

Joseph A. Mengacci, Esq.

Daniel Noonan

Police Chief Neil M. O'Leary

Eric J. Olson, M.D.

Margaret J. Lawlor

President

56 Franklin Street

Waterbury, CT 06706

203-709-6390 Phone

203-709-3272 Fax

Foundation@stmh.org

May 15, 2008

Mr. Machiko Yamada
Soroptimist Int'l. of the Americas
1709 Spruce St
Philadelphia, PA 19103-6103

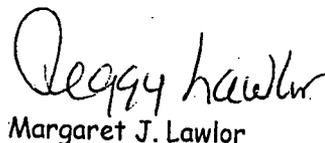
Dear Mr. Yamada:

It is with sincere gratitude that we acknowledge your company's contribution(s) totaling \$1,050 in recognition of Navneet Kaur, to Saint Mary's Hospital Foundation, specifically designated for the Same Day Surgery Education Fund.

Each year, friends of Saint Mary's Hospital help us continue providing the quality, compassionate care that has been our hallmark for over 90 years. With your support, we keep pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire Saint Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,


Margaret J. Lawlor
President

MJL:hrs

Thank you for your support of Saint Mary's Hospital!

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

Surgical Services Gala 2010

Appeal ID	Appeal Description
auit2010	Auction Item Donations 2010
auitsa10	Auction Item Sales 2010
basket2010	Basket Item Ticket Sales 2010
basketsp2010	Basket Sponsor Gala 2010
cashauc2010	Cash Auc. Donation 2010
fundfuturegala10	Fund the Future for Gala 2010
headsortailsgala10	Heads or Tails Gala 2010
jewelrygala10	Jewelry Raffle Gala 2010
prbk2010	Prog. Bk Ads Gala 2010
prbksp2010	Prog. Bk. 2010 Sponsor
wnd2010	Gala '2010 Ticket Sales

Fund Summary Report
Surgical Services Gala 2010 & 2011 Funds

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
surgicalsvcs gala2010	Surgical Services Gala 2010	663	\$0.00	\$114,235.00	\$199,690.40	\$72,504.69	\$386,430.09
surgicalsvcs gala2011	Surgical Services Gala 2011	696	\$13,200.00	\$113,930.00	\$213,185.00	\$64,363.95	\$404,678.95
	and Totals:		\$13,200.00	\$228,165.00	\$412,875.40	\$136,868.64	\$791,109.04

1,359 Gift(s) listed

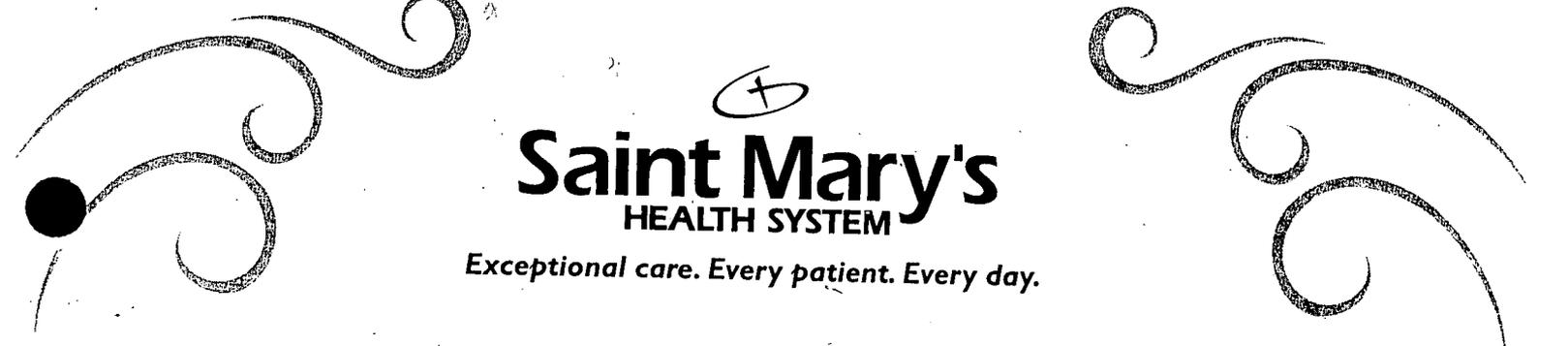
669 Donor(s) listed

Appeal Summary Report Surgical Services Gala 2010 & 2011 Funds

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auif2010	Auction Item Donations 2010	120	\$0.00	\$0.00	\$0.00	\$72,504.69	\$72,504.69
auif2011	Auction Item Donations 2011	118	\$0.00	\$0.00	\$0.00	\$64,363.95	\$64,363.95
auitsa10	Auction Item Sales 2010	96	\$0.00	\$1,890.00	\$44,910.00	\$0.00	\$46,800.00
auitsa11	Auction Item Sales 2011	111	\$605.00	\$4,890.00	\$52,090.00	\$0.00	\$57,585.00
basket2010	Basket Item Ticket Sales 2010	75	\$0.00	\$200.00	\$11,790.00	\$0.00	\$11,990.00
basket2011	Basket Item Ticket Sales 2011	85	\$320.00	\$570.00	\$12,345.00	\$0.00	\$13,235.00
basketsp2010	Basket Sponsor Gala 2010	23	\$0.00	\$500.00	\$6,300.00	\$0.00	\$6,800.00
basketsp2011	Basket Sponsor Gala 2011	24	\$0.00	\$1,500.00	\$5,300.00	\$0.00	\$6,800.00
cashauc2010	Cash Auc. Donation 2010	35	\$0.00	\$0.00	\$13,260.40	\$0.00	\$13,260.40
cashauc2011	Cash Auc. Donation 2011	37	\$0.00	\$4,100.00	\$5,020.00	\$0.00	\$9,120.00
fundfuturegala10	Fund the Future for Gala 2010	61	\$0.00	\$4,925.00	\$2,150.00	\$0.00	\$7,075.00
fundfuturegala11	Fund the Future for Gala 2011	32	\$1,050.00	\$975.00	\$950.00	\$0.00	\$2,975.00
headsortailsgala10	Heads or Tails Gala 2010	9	\$0.00	\$80.00	\$1,500.00	\$0.00	\$1,580.00
jewelrygala10	Jewelry Raffle Gala 2010	24	\$0.00	\$100.00	\$2,330.00	\$0.00	\$2,430.00
jewelrygala11	Jewelry Raffle Gala 2011	29	\$0.00	\$200.00	\$3,525.00	\$0.00	\$3,725.00
prbk2010	Prog. Bk Ads Gala 2010	49	\$0.00	\$18,300.00	\$27,750.00	\$0.00	\$46,050.00
prbk2011	Prog. Bk Ads Gala 2011	44	\$2,500.00	\$7,800.00	\$34,700.00	\$0.00	\$45,000.00
prbksp2010	Prog. Bk. 2010 Sponsor	13	\$0.00	\$57,000.00	\$25,000.00	\$0.00	\$82,000.00
prbksp2011	Prog. Bk. 2011 Sponsor	16	\$5,000.00	\$66,500.00	\$22,000.00	\$0.00	\$93,500.00
wnd2010	Gala 2010 Ticket Sales	157	\$0.00	\$31,240.00	\$64,600.00	\$0.00	\$95,840.00
wnd2011	Gala 2011 Ticket Sales	200	\$11,530.00	\$19,590.00	\$77,055.00	\$0.00	\$108,175.00
			\$21,005.00	\$220,360.00	\$412,575.40	\$136,868.64	\$790,809.04

and Totals:

1,358 Gift(s) listed
670 Donor(s) listed



Saint Mary's HEALTH SYSTEM

Exceptional care. Every patient. Every day.

Dear Friends,

On behalf of Saint Mary's family, welcome to our 2011 Gala, "The Roaring 20s." It is impressive to think that for two decades now, we have gathered together to celebrate with our staff, medical staff, board members, Auxiliary, Foundation and many supporters in the community at this annual event. Our first "Midwinter Night's Dream," held in 1992 at the Country Club of Waterbury, has grown into a vibrant celebration of our accomplishments, hopes and plans for the future as we continue to fulfill our Mission and aspire to our Vision.

More than ever, all of us at Saint Mary's are committed to providing the highest quality care and outstanding service for our patients. We have set the bar high, and we know that with your continued support, we can be a leading healthcare provider not only here in Waterbury, but in Connecticut and beyond.

As you may already know, Saint Mary's is the leading provider of surgical services in the region. This accomplishment is a direct result of a successful collaboration between our highly-skilled surgeons and dedicated surgical teams at Saint Mary's Hospital and the Naugatuck Valley Surgical Center. Looking back, 2010 was an amazing year in surgery:

- Saint Mary's became the first and only hospital in Greater Waterbury to offer patients the advantages of Robotic-Assisted Surgery through our acquisition of the daVinci Robotic Surgery System, which was funded in part by our 2010 Gala. To date, we have completed more than 125 procedures, and the program continues to grow and expand.
- Naugatuck Valley Surgical Center opened three state-of-the-art general surgery operating rooms and a new Post Anesthesia Care Unit, which will allow an additional 6,000 outpatient surgical procedures to be performed each year.
- Saint Mary's continues to grow and expand its Surgical Weight Loss Program, having celebrated our fifth anniversary of providing bariatric surgery in 2010.
- Saint Mary's remains one of Connecticut's leading hospitals in spinal surgery through our collaboration with Neurosurgery, Orthopaedics and Spine Specialists (NOSS). We are especially pleased to offer our spinal surgery patients the services of a dedicated concierge who is with them every step of the way, from admission and testing through preparing for surgery and recovery.
- The Stanley J. Dudrick Department of Surgery continues to be recognized as a leading surgical training program. The achievements of our surgical residents this past year include five awards from the Annual & Scientific Meeting of the Connecticut Chapter of the American College of Surgeons.

Tonight, we are seeking to build upon these accomplishments by raising additional funds for Saint Mary's Surgical Services. Your support will allow us to continue to provide access to state-of-the-art operating rooms, equipped with the newest technology and staffed by the highest caliber surgeons. We thank you for your generous support and ask you to join with us in our quest to provide the highest quality and service for all of our patients and the community at large.

Sincerely,



Chad W. Wable, FACHE
President and Chief Executive Officer

Surgical Services Gala 2011

Appeal ID	Appeal Description
ait2011	Auction Item Donations 2011
aitsa11	Auction Item Sales 2011
basket2011	Basket Item Ticket Sales 2011
basketsp2011	Basket Sponsor Gala 2011
cashauc2011	Cash Auc. Donation 2011
fundfuturegala11	Fund the Future for Gala 2011
jewelrygala11	Jewelry Raffle Gala 2011
prbk2011	Prog. Bk Ads Gala 2011
prbksp2011	Prog. Bk. 2011 Sponsor
wnd2011	Gala '2011 Ticket Sales

gala 2011


Saint Mary's
HOSPITAL
Foundation

January 14, 2011

Mr. Gaetano Bimonte
Covidien

Dear Gaetano,

The health of our community depends on Saint Mary's Hospital's continuing to sustain excellence in the vast array of services we provide. It takes tremendous resources to preserve our staff of expertly trained professionals and our facility to ensure that it is equipped with state-of-the-art technology, all the while mindful of the importance of comfort and aesthetics in our surroundings. But even beyond that, we know sustaining our excellence is not good enough. We must continually move with the unfolding future of medicine by exploring and embracing technologies, procedures and techniques that may today be little more than a bright promise. We must also respond to patients who are equipped with information about the newest technologies and drugs through the numerous TV ads and internet sites.

Along with the acquisition of that new technology, Saint Mary's Hospital has a commitment to provide ongoing training and education for our physicians, staff, residences and the community to ensure that they are prepared for the new modalities. The Medical Educational Fund at our Foundation is focused to do this.

Programs of this nature require significant financial support. The hospital must turn to its partners and supporters for assistance with this effort. Therefore, we are requesting your help. We are requesting a donation of \$10,000 to our Surgical Services Fund which will help us reach our goal to ensure that we have the most highly trained physicians and staff, along with our educated patients.

For your purposes our tax ID # 22-252-8400.

Thank you for your commitment to make a difference in the health care of so many individuals.

Sincere regards,

Lezlye Zupkus
Director of Development



Saint Mary's

HEALTH SYSTEM

February 6, 2010

Dear Friends,

Our Mission

Saint Mary's Health System provides excellent healthcare in a spiritually enriched environment to improve the health of our community.

Our Vision

Saint Mary's Health System will be the leading regional healthcare provider.

Our Values

Integrity: Commitment to doing what is right

Caring: Compassionate approach to addressing the healthcare needs of all people

Accountability: Personal responsibility for the performance of Saint Mary's Health System

Respect: Respect for the dignity, worth, and rights of others

Excellence: Working together in pursuit of superior clinical quality and service to others

On behalf of Saint Mary's Health System, we welcome you to our 19th Annual Gala, "Imagine: The Next Century of Caring."

Our theme this year is very appropriate as we stand poised at the beginning of our second century of caring for the Greater Waterbury community. This evening we are asking you to look forward with us to a strong and successful future. We are proud of our heritage and our leadership, particularly in the field of surgery, and we are pleased to announce that proceeds from this year's Gala will support Surgical Services at Saint Mary's Hospital.

Saint Mary's Health System is the leading provider of surgical services in Greater Waterbury, which is a direct reflection of the hard work and dedication of the many talented surgeons on our medical staff and our highly-skilled surgical teams. We are proud of all that we have already accomplished and look forward to the continued success of our Surgical Services programs.

All of us at Saint Mary's are very excited about our state-of-the-art daVinci Robotic Surgery System, which you will hear about this evening. As the first and only hospital to bring this advanced technology to the Greater Waterbury and Central Connecticut areas, we are demonstrating our commitment to providing the highest available standard of surgical care, outstanding clinical quality and the best possible experience for each of our patients.

Tonight we are carrying our legacy forward. Through your presence, each of you has made an impact on the future of healthcare here in Greater Waterbury. We invite you to "Imagine" with us a future filled with incredibly successful possibilities for Saint Mary's.

Sincerely,



Chad W. Wable, FACHE
President and Chief Executive Officer

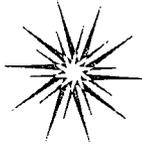
GALA COMMITTEE

Dr. Aziz and Dr. Maysoun Richi
Dr. and Mrs. Michael and Palmina Flanagan
Chairs

Ada Marie Anthony
Theresa Bachhuber
Beth Bartholomew
Betty Bozzuto
Linda Brown
Beverly Bucari
Dennis Cleary
Jennifer Clement
Joseph Connolly
Mary Culhane
Terry Dudrick
Moir Dupont
Nancy Feola
Bonnie Forcucci
Jaci Carroll Hanratty
John Jenusaitis
Karen Kelly
Paul Kelly, MD
Paul Largay
Kathleen Novak
Julie O'Connor
Lise Sanchez
Kathy Smith
Laurie St. John
Maria Taylor
Janet Weber
Sharon Zilahy

You are cordially invited to
"imagine"

Saint Mary's Hospital
19th Annual Gala
to benefit
Saint Mary's Hospital
Surgical Services



Saturday, February 6, 2010
Cocktails at 5:30 pm
Aqua Turf Club
Plantsville, Connecticut

Black tie preferred.

*For more information, please call (203) 709-6390
or visit www.stmhfoundation.org*

Imagine

OUR NEXT CENTURY



Saint Mary's
HOSPITAL
Foundation

56 FRANKLIN STREET
WATERBURY, CONNECTICUT 06706

(203) 709-6690

www.stmhfoundation.org

SAINT MARY'S HOSPITAL
GALA 2010

Authorization To Create A Temporarily Restricted Special Purpose Fund

(Please attach all supporting documentation)

Date Fund Created: 9/22/09
Source of Fund: Hospital Staff donations Initial Amount: _____
Name of Fund: SMH Systems Enrichment Grant Program
Will there be subsequent additions to this Fund? yes

Purpose of Fund:

See attached

Person(s) Authorized to Approve Distributions:

Peggy Lawlor

Margaret Frank
Senior Management Approval CEO/CFO

Date: 9/4/09

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____


Saint Mary's
HOSPITAL
Foundation

«Skip Record If...»

July 27, 2009

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Mark C. Yanarella

Vice Chairman

George J. Strobel, Jr.

Secretary

Leonard J. Frank

Treasurer

John H. Barlow, Jr.

Frederick W. Blasius, Jr.

John P. Burke

Joseph Carlson, III

Michael C. Culhane

Theresa M. Dudrick

Jaci Carroll Hanratty

Peter J. Jacoby, M.D.

Paul F. Kelly, M.D.

Paul J. Largay

David Alan Roer, M.D.

Laura G. St. John

Keith F. Sullivan, C.P.A.

Maria Taylor

Carmen Anthony Vacalebre

Stephen C. Widman, M.D.

Sharon Zilahy, R.N.

John A. Zinno, Jr., C.P.A.

Corporators

Katherine Berman

Louise G. Boulanger

Sally A. Bowman

Lawrence H. Engelman, Esq.

Sally Kernan

The Most Rev. Henry J. Mansell

Joseph A. Mengacci, Esq.

Daniel Noonan

Police Chief Neil M. O'Leary

Eric J. Olson, M.D.

Edmund J. White

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stnh.org

«Additional_Addressee_1»

«Address_line_1»

«Address_line_2»

«City», «State» «ZIP_Code»

Dear «Additional_Salutation_1»:

Saint Mary's Health System is very fortunate to have the talented and committed individuals it does serving as members of General Leadership. When one of those leaders makes a substantial financial gift, we are doubly blessed.

«Additional_Salutation_1», your support of the annual employee campaign is greatly appreciated. Your restricted gift of «Amount» to the Saint Mary's Health Systems Enrichment Grant Program will help us reach our goal of 100% participation by the members of the hospital family.

As we reported at our July meeting, all proceeds from this campaign will be used to establish the Saint Mary's Health Systems Enrichment Grant Program Fund to underwrite grants for programs and projects that are submitted by Saint Mary's Health Systems staff members to improve the quality of care we offer our patients. The grant process will be competitive and the committee pledges to be good stewards of your generosity in awarding those grants.

Thank you for the guidance and leadership you provide for Saint Mary's Health Systems every day. Fulfilling our mission is far easier with leaders like you on our team.

Sincerely,

Mark A. Casey, Co-Chair
Employee Annual Appeal

Peter R. Cordeau, Co-Chair
Employee Annual Appeal

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.



Saint Mary's
HOSPITAL
Foundation

Restricted Funds Index

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Restricted Funds Index

Fund	Section	Category	Binder
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Temporary Restricted Funds
Recommendations

Funds 1/31/2011	Acc #	Date	Initial balance	Cash Balance	Rec'	Source	Use of Funds	Authorized Sig.	OK Grant NA
Cardiology									
A 1	960730	2-Sep	50,000	49,315		Anthem	Colon Cancer Education	Joe Connolly	X
A 2	960568	Sep-00	179,922	15,186		2005 Gala	Cardiac Care Unit	Dr Bob Anthony	X
A 3	960502	Oct-89	55,670	55,670		Press Gilmore	Cardiology/Bob Anthony	Dr Anthony	X
A 4	960593	1-Dec	4,901	1,401		In honor of Paul Kelly	Telemetry	Paul Kelly, MD	X
A 5	960594	1-Jun	388,687	177,846		2006 Champ/Press Gilmore	Cardiology/Bob Anthony	Dr Bob Anthony	X
A 6	960538	Oct-90	10,000	10,000		Janet Weiss Matzkin Estate	Coronary Care department	Dr Paul Kelly	X
A 7	960584	1-May	219,533	151,361		2007 Galat/Hoops for health	Cardiology/Bob Anthony	Dr Bob Anthony	X
			908,713	460,779					
CHC/FHC									
B 1	960509	Oct-00	11,350	8,543		Estates/Gifts in honor \$39	Dr Carbonari /CHC	Dr Carbonari	X
B 2	960508	Nov-02	14,333	1,753		CHC Schlegel trust	Educational Toys	Dept Director	X
B 3	CHC EKG	Apr-00	1,000	1,000		Conn Health Lawyers	Purchase EKG machine	Dept Director	X
B 4	960561	Apr-04	253,000	68,341		Noble Trust	Touchpoints Program	Pat Evans	X
B 5	960512	Sep-02	10,000	13,302		Hoffman Foundation	SW Salaries/Operating	Dr Geertsma	X
B 6	960503	May-02	109,825	24,034		Variety	Asthma Programs	Dr Geertsma	X
B 7	960503	Sep-97	80,000	31,581		Neighborhood Assistance	Behavioral screening/PEDS	Mike Novak	X
B 8	960725	Oct-06	30,000	16,166		Grant	Families in Need	Mike Novak	X
B 9	960513	Sep-02	1,420	35,479		Wine & Cheese reception	Education Fund	Mike Novak	X
B 10	FHC Education Fund	May-00	4,500	1,815		Merek/Pfizer	Hypertension Education	Mike Novak	X
B 11	FHC Hypertension	Mar-01	9,210	6,069		AstraZeneca	Well Child	Pat Evans	X
B 12	George & Grace Long	May-02	9,325	342		Well Child Visits	Asthma Programs	Dr Geertsma	X
B 13	Gorman Asthma Fund	May-08	25,785	8,130		Jim Gorman/Estates	Purchase books	Pat Evans	X
B 14	Reach Out and Read	Aug-97	39,720	279		Anthem/Time/Knights	Teen Grief Support Group	Pat Evans	X
B 15	Teen Grief	Jun-09	8,000	5,834		J. Walton Bissel Foundation		Pat Evans	X
			607,468	222,668					
Emergency Department									
C 1	960579	Dec-00	6,850	6,023		PJ Honorarium	Used for ED	Peter Jacoby	X
C 2	960518	Dec-92	1,086,268	1,754		Federal Grant/Estate	ED Renovations	Peter Jacoby	X
			1,093,118	7,777					
Department of Surgery									
D 1	daVinci Robot	Jun-10	275,000	85,000	190,000	Cap Campaign/Special Appeal	Purchase Robot	Senior Management	X
D 2	Same Day Surgery	May-08	1,050	1,050		Gift in Honor of Student	Dept of Surgery Needs		X
D 3	Surgical Services	Oct-09	304,490	252,840	51,650	Gala 2010/2011	Dept of Surgery Needs	Senior Management	X
			580,540	338,890	241,650				
Nursing Departments									
E 1	960562	Jan-03	9,296	1,694		Dr Albini Gift	Birthing Center Needs	Dr Albini	X
E 2	960553	Jan-89	2,797	165		Memorial Gifts	Unit Needs	Dept Director	X

Temporary Restricted Funds
Recommendations

Funds 1/31/2011	Acc #	Date	Initial balance	Cash Balance	Rec'	Source	Use of Funds	Authorized Sig.	OK	Grant	NA
J 1 Famigletti Fund		Jun-01	3,750	2,797		Individual Gift	Edu Material/ROR/Commons	Anna Famigletti			X
J 2 Hoffman Fund	960729	Jan-06	1,200	1,081		Grants	Keep Kids Safe/Patient Posy	Pat Evans			X
J 3 Oncology	960523	Mar-08	49,965	4,566		CCF Mary Brosnan Fund	Dept Needs/ Pain Mgmt	Mary Moschella			X
J 4 Sister Saint Joseph Fund		Jun-08	37,949	5,153		Dinner of Champions 2008	Sisters of Saint Joseph	Sister Delores Lahr			X
J 5 WFW Mammography Fund	960581	May-08	65,000	56,839		GFWF Golf Tournament	Mammography for Indigent Wo	Robin Sills/Peggy Lawlor			X
J 6 Wm Finklestein Restoration	960707	Jun-02	841,984	3,644	19,461	Grants	S of N and Hospital History	Ellen Sheehan			X
Scholarship Funds											
K 1 Charlotte Demaris Fund	960589	Nov-07	16,100	8,708		Memorials	Graduate Nursing Scholarships	Scholarship Committee			X
K 2 Healthcare Scholarships	960565	May-04	55,203	13,722		Phonathon 2004	Nursing Continuing Education	Scholarship Committee			X
K 3 Mimi Rowe Scholarship		Feb-08	14,570	14,570		Memorials	Nursing Scholarship	Scholarship Committee			X
K 4 Nursing Scholarships	960541			20,730		Nursing Scholarships	Nursing Education	Scholarship Committee			X
K 5 School of Nursing Alumnae	960582			39,845		Transfer from Alumnae	Nursing Scholarships	S of N Alumnae			X
K 6 Sister Emerita Fund				15,536		Estate	Sacred Heart Student	Scholarship Committee			X
K 7 Sister St Catherine Alum		Jul-09	14,757	14,757		Memorial Donations	Nursing Education	S of N Alumnae			X
Education Funds											
L 1 Med/Nursing Educ fund	960536	Aug-95		4,264	2,000	Memorial	Medical Education Expenses	Chief of Medicine/Nursing			X
L 2 Nursing Education		Dec-92		12,250		Memorial	Nursing Lectures	VP Nursing			X
L 3 Endoscopy Fund	960537	Jan-92	3,825	670		Memorial	Staff Development	Dept Director			
L 4 Oncology Fund	960523	Mar-90	53,637	1,236							
				18,420	2,000						
				1,699,069	342,297						

Appeal Summary Report Birthing Center Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
200baby	200th Baby & 3rd Anniv. Cel	28	\$0.00	\$0.00	\$706.00	\$2,409.85	\$3,115.85
brd2003	Annual Board of Directors Ap	8	\$0.00	\$0.00	\$800.00	\$0.00	\$800.00
brd2004	Annual Board of Directors Ap	12	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
brd2005	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
brd2006	Board of Directors Appeal 20	11	\$0.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$0.00	\$7,850.00	\$7,850.00
frd2009	Foundations 2009	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
grant	Grant	2	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
ind2004	Individuals 2004	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
ind2005	Unsolicited 2005	1	\$0.00	\$0.00	\$60.00	\$0.00	\$60.00
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
ind2009	Unsolicited 2009	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
phys2004	Phys. Annual Appeal 2004	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
silentauc030804	Silent Auction Birthing Ctr. 3/	31	\$0.00	\$0.00	\$620.00	\$1,923.90	\$2,543.90
and Totals:						\$12,183.75	\$21,479.75

111 Gift(s) listed

59 Donor(s) listed

S. MARK ALBINI
136 NORTH STREET
MIDDLEBURY, CT 06762

2031
51-7010/2111
BRANCH 207

10/14/07

Date

Pay to the order of

SMF Foundation

\$ 2306.50

Two thousand three hundred six and 50/100 Dollars

Security Features Details on Back



Webster Bank

WebsterOne Account

Banking Center

S. Mark Albin

⑆ 211170101⑆ 10 0013376426⑆ 2031

WVI

Date: 11/22/05

PT 13004160

0000073 AA 42050000352

Sub Class Code
05

SAINT MARY'S HOSPITAL FOUNDATION
ATTN: PATRICIA A EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706

Account Name: GEO & GRACE LONG FOUNDATION

Account Number: 42-09-900-8535456

Payment in Settlement as Described Below

PROVIDE HOSPITALITY CART FOR NEW MOTHERS
BEFORE AND AFTER THE BIRTH

Total Amount 2,000.00

Retain This Portion For Your Records.

The George A. & Grace L. Long Foundation
Grant Application

Date: September 12, 2005

Name and Address of Organization: Saint Mary's Hospital Incorporated

Contact Person: Patricia A. Evans, Grant Manager
Email Address: pevans@STMH.org

Phone: (203) 709-3270

Amount Requested: \$2,000

1. Principal services and purposes of organization:

Saint Mary's Hospital is a not-for-profit, 347-bed acute-care teaching hospital affiliated with Yale University School of Medicine. The hospital has played a vital role in the history of Greater Waterbury, providing nearly a century of service to the people of the Central Naugatuck Valley. Founded in 1907 by the Sisters of Saint Joseph of Chambery, Saint Mary's has been a beacon of healing and hope for nearly 100 years. Its mission is: *to provide excellent health care services in a spiritually enriched environment.* From its earliest days, Saint Mary's has enjoyed tremendous support from the community.

Saint Mary's Hospital's Birthing Center features comfortable, private birthing suites for labor, delivery and recovery and an experienced staff which includes several childbirth educators and a certified lactation consultant. Full schedules of breastfeeding and childbirth preparation classes are offered, with evening and weekend sessions available. Saint Mary's Hospital is the only hospital in the state that has a full range of birthing options.

Women who are experiencing a low-risk pregnancy and want to have the most natural childbirth experience possible may choose The Birthplace at the Birthing Center, one of five nationally-accredited, in-hospital birth centers in the US and the only birth center in Connecticut. The facilities include double-bed suites with family rooms and kitchenettes. About 70% of the patients use the whirlpool tub for pain relief and 50% choose to have a water birth. The Birthplace provides a quiet, supportive and homelike environment.

2. Specific Purpose for which grant will be used (attachments may be included as necessary)

Saint Mary's Hospital's Birthing Center assists with the birth of approximately 1,000 infants a year. Many of the women giving birth are single teen mothers, culturally diverse and economically disadvantaged. To enhance the birth experience for these women, the hospital is planning to have an attractive hospitality cart staffed by volunteers visit the rooms of new mothers before and after the birth of their infants. The plan is to stock the cart with toiletries and cosmetics, nutritious snacks, and quality children's board books and magazines. Since Saint Mary's Hospital serves a large Medicaid population the reimbursement rate does not fully cover the cost of medical services provided. Therefore, the hospital has no discretionary funding available to stock the cart, which is being built by woodworking students at Kaynor Technical School in

Waterbury. At this point we have a pledge of toiletries and cosmetics products from the Avon Company and plan to approach other cosmetic companies, however; we have no funding source for children's board books and magazines for the new mothers.

The books that would be provided on the hospitality cart are children's board books such as "Pat the Bunny", "The Very Hungry Caterpillar" and "Goodnight Moon". These books have few words and wonderful illustrations and will be given as a gift, as will all items on the hospitality cart. The books will be introduced to the new mother by the volunteer accompanying the hospitality cart and she will discuss how the book can be used by the new mother to interact with her new infant. Multiple researchers have found that parenting skills and maternal infant bonding needs to be established early. The hospitality reading program will be used to promote bonding between the new parent and her infant.

3. Period of time in which grant is to be used:

\$2,000 in funding is respectfully requested from the Long Foundation to provide 500 quality children's board books during a 12 month period. After the hospital's discount at Barnes and Noble these books average \$4 each, however; we feel quality and durability are important. The books will be identified as a donation from The George A. & Grace L. Long Foundation.

4. Anticipated benefits to the community and your organization:

Under the best of circumstances, parenting is a stressful activity. For adolescent parents struggling with their own developmental issues, the stress of parenting is compounded. Teen parents often have difficulty forming supportive, nurturing relationships with their young children. Teen mothers display higher levels of parenting stress, are less responsive and sensitive in their interactions with their children, and provide less stimulation for their infants than older mothers (Lesser, Anderson, Kroniak-Griffin, 1998).

Saint Mary's hospital volunteers and healthcare providers can have an impact on the lives of these teen parents and their infants. The rate of teen pregnancy and the long term outcome of teen parenting, particularly among minority, low income women, emphasizes the need for a change in the approach of healthcare providers towards this population (Annie E. Casey, 1999). By providing these teen mothers with some special one-on-one attention we hope to enhance the birthing experience and to develop the parenting skills of these young mothers. Better parenting will ultimately strengthen families in the Greater Waterbury area.

5. Are you collaborating with other organizations on this project/program? If so please indicate.

As was stated earlier in the proposal other elements of this project are being supplied by Kaynor Technical School and cosmetic and toiletry companies. The volunteers to staff the cart will be recruited by the Saint Mary's Hospital Auxiliary and Volunteer Services and trained by Volunteer Services.

6. Program goals, timetables, and future funding plan:

The goal of the hospitality program is to provide a more beneficial and enriching birthing experience at Saint Mary's Hospital's Birthing Center and begin the bonding process between teen mothers and their infants. We are anticipating that about 500 of the women giving birth during a year's time will accept a book and the assistance of a hospital volunteer in reading and relating to their new infants. With the funds supplied by the Long Foundation the mothers will have an opportunity to improve their parenting skills and better contribute to their child's development.

7. What alternatives does the Agency have if this request is not funded? Will the program be able to continue?

Since some elements of the program are already committed, the hospital can begin the program without the reading component. However, the book donation and reading component of the hospitality program are the most important elements and it would be unfortunate not to provide these.

8. How will you evaluate the program?

The hospitality program will be evaluated through patient feedback scores from the Press Ganey Patient Satisfaction Surveys. These surveys are performed monthly in the area of patient satisfaction and service delivery.

9. Does your organization engage in lobbying activities?

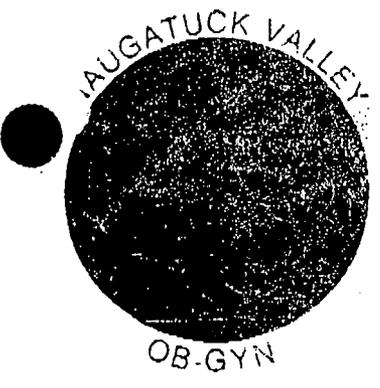
YES X NO

BUDGET

12 Month Period

100 children's board books @ \$4.00 each	\$2,000
--	---------

Harriet



S. MARK ALBINI, M.D.
Obstetrics & Gynecology
133 Scovill Street — Suite 303
Waterbury, Connecticut 06706
(203) 575-1811 • Fax (203) 575-1995

January 31, 2003

Board of Appeal
Div. Appeal

Dear Renee,

Birthing Center
per
Renee

As per our recent discussion, I would like to donate \$100.00 per month to the BirthPlace.
Please begin withdrawing \$100.00 per month (effective January 2003) from my payroll
for use by the BirthPlace. The donation should be placed in the BirthPlace account.
Thank you.

Please call if you have any questions of me.

Sincerely,

S. Mark Albin, M.D.

Appeal Summary Report
Intensive Care Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
mem2002	Remembrance 2002	20	\$0.00	\$0.00	\$1,150.00	\$0.00	\$1,150.00
mem2007	Remembrance 2007	2	\$0.00	\$0.00	\$140.00	\$0.00	\$140.00
mem90	Remembrance 1990	2	\$0.00	\$0.00	\$70.00	\$0.00	\$70.00
mem92	Remembrance 1992	7	\$0.00	\$0.00	\$170.00	\$0.00	\$170.00
mem93	Remembrance 1993	2	\$0.00	\$0.00	\$90.00	\$0.00	\$90.00
mem94	Remembrance 1994	20	\$0.00	\$0.00	\$542.00	\$0.00	\$542.00
mem95	Remembrance 1995	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
mem96	Remembrance 1996	6	\$0.00	\$0.00	\$210.00	\$0.00	\$210.00
mem97	Remembrance 1997	2	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem99	Remembrance 1999	9	\$0.00	\$0.00	\$350.00	\$0.00	\$350.00
and Totals:					\$0.00	\$0.00	\$2,797.00

71 Gift(s) listed

71 Donor(s) listed

E2

Fund I Annual Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Philip C. Adams 1 Twist Hill Rd Newtown, CT 06470-1522 203-267-4655	6/25/2002	Intensive Care	\$0.00	\$50.00	
Pauline D. Aiken 20B Heritage Crst Southbury, CT 06488-1371 203-264-1745	6/27/2002	Intensive Care	\$0.00	\$25.00	
Augusta Lodge of Elks No. 964 PO Box 2206 Augusta, ME 04338-2206	11/27/1995	Intensive Care	\$0.00	\$25.00	
Gino Bartolucci	12/8/1993	Intensive Care	\$0.00	\$40.00	
Belardinelli Tire Co. 7 Beach St Bethel, CT 06801-2490 (203) 748-0889	11/27/1989	Intensive Care	\$0.00	\$50.00	
Lori J. Bellerive 19811 Millbrook Rd Keedysville, MD 21756-1627 (301) 432-4079 ~	9/22/1999	Intensive Care	\$0.00	\$20.00	
Albert Bilinsky 34 Frederick St Naugatuck, CT 06770-4015 (203) 729-4020 ~	8/19/1994	Intensive Care	\$0.00	\$10.00	
Edmund J. Bilinstrubas 31 Maple Dr Prospect, CT 06712-1061 (203) 758-4900 ~	12/8/1993	Intensive Care	\$0.00	\$20.00	
Jane Bodamer 1025 Jerome Ave Bristol, CT 06010-2489 860-582-3809 ~	8/30/1996	Intensive Care	\$0.00	\$10.00	
Agnes Bolés					

Fund [REDACTED] Mail Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
23C Heritage Crst Southbury, CT 06488-1309 (203) 264-5543 ~	6/28/2002	Intensive Care	\$0.00	\$100.00	
Harvey J. Boxer 130 Homestead Rd Southbury, CT 06488-2461 (203) 264-0530	3/28/1994	Intensive Care	\$0.00	\$25.00	
Jeanne Bozeman 38 High Meadow Ln Enfield, CT 06082-3927 860-749-4935	12/31/1998	Intensive Care	\$0.00	\$75.00	
James Brady 19 Walnut St Winsted, CT 06098-1719 (860) 379-6527 ~	10/21/1996	Intensive Care	\$0.00	\$10.00	
Brass City Sanction Bowling Leagu 164 Spring Lake Rd Waterbury, CT 06706-2733	12/8/1993	Intensive Care	\$0.00	\$25.00	
Phyllis Briganti 152B Heritage Vlg Southbury, CT 06488-6640 (203) 264-8551 ~	6/25/2002	Intensive Care	\$0.00	\$25.00	
Gary Brown 28 Michael Ln Naugatuck, CT 06770-1555 (203) 720-1753	12/16/1993	Intensive Care	\$0.00	\$30.00	
Donald Byers 6930 52nd Ave # 1 Maspeth, NY 11378-1439 (203) 288-2667	12/8/1993	Intensive Care	\$0.00	\$25.00	
Sophie A. Cantamessa 9 Orchard Ln Wolcott, CT 06716-2011 (203) 879-4205 ~	6/27/1995	Intensive Care	\$0.00	\$50.00	
Timothy R. Carmody					

Fund L...il Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
81 Coulter St Unit 15 Old Saybrook, CT 06475-2371 (860) 395-1896 ~	1/13/1994	Intensive Care	\$0.00	\$35.00	
Brian Carrington 24 Klan Dr Wolcott, CT 06716-2221 (203) 879-0286 ~	6/21/1999	Intensive Care	\$0.00	\$75.00	
William Coley 384 Valleybrook Rd Orange, CT 06477-3019 203-795-4112 ~	5/31/1999	Intensive Care	\$0.00	\$25.00	
Edward Czajkowski Bristol, CT 06010-2876	8/30/1996	Intensive Care	\$0.00	\$50.00	
Elizabeth Daddona 1081 New Haven Road Apartment 1C Naugatuck, CT 06770 (203) 729-0394	9/29/1992	Intensive Care	\$0.00	\$20.00	
Bill Daly PO Box 396 Naugatuck, CT 06770-0396 (203) 723-2929	9/30/1992	Intensive Care	\$0.00	\$25.00	
Brian W. Douglas 58 Homestead Ln Brookfield, CT 06804-2662 203-775-0555 ~	5/20/2002	Intensive Care	\$0.00	\$50.00	
Jane K. Doyle 23B Heritage Crst Southbury, CT 06488-1309 (203) 264-3947 ~	6/27/2002	Intensive Care	\$0.00	\$50.00	
Howard DuBois 10 Longwood Dr Apt 269 Westwood, MA 02090-1141 (781) 326-1931 East Farms School	6/25/2002	Intensive Care	\$0.00	\$100.00	

Fund Trail Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
3196 E Main St Waterbury, CT 06705-3430	12/8/1993	Intensive Care	\$0.00	\$27.00	
Mary Flamer 273 Purdy Rd Waterbury, CT 06706-2324	10/21/1996	Intensive Care	\$0.00	\$15.00	
Joseph Fortier 435 Cotton Hill Rd New Hartford, CT 06057-3419 (860) 496-0092 ~	12/8/1993	Intensive Care	\$0.00	\$10.00	
Margaret Fournier 11 Birchcrest Dr Southington, CT 06489-3903 860-628-6627 ~	7/31/1999	Intensive Care	\$0.00	\$35.00	
Constance Fowler	6/20/2002	Intensive Care	\$0.00	\$100.00	
Iris Freedman 18 Cv Way Plattsburgh, NY 12901-3370 (518) 561-7184 ~	1/31/1994	Intensive Care	\$0.00	\$20.00	
Theresa Goffred 7 Steele Ave Wolcott, CT 06716-3223 860-879-9068	6/21/1999	Intensive Care	\$0.00	\$25.00	
Robert M. Hall 2260 Worthington Greens Dr Sun City Ctr, FL 33573-8045 813-633-5606	6/27/2002	Intensive Care	\$0.00	\$50.00	
Robert Hamilton Atherton Road Winchester, MA 01890-3402 (781) 729-5379	1/31/1994	Intensive Care	\$0.00	\$25.00	
The Hartford Courant 285 Broad St Hartford, CT 06115-2510 (860) 241-3254	5/31/1999	Intensive Care	\$0.00	\$50.00	

Fund Trail Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
J. Brent Hawkins 26 Lawton St Torrington, CT 06790-6715 (860) 482-4423 ~	4/30/2007	Intensive Care	\$0.00	\$100.00	
Frederick E. Hennick	9/30/1992	Intensive Care	\$0.00	\$10.00	
Peggy H. Holly 6 Cotton Tail Rd New Fairfield, CT 06812-4023 (203) 746-9368	12/29/1992	Intensive Care	\$0.00	\$15.00	
Arline Jasinski Waterbury, CT 06705-3290	9/30/1992	Intensive Care	\$0.00	\$20.00	
Henry Johnson REMOVE FROM MAILING LIST Danbury, CT 06811-4977	6/20/2002	Intensive Care	\$0.00	\$25.00	
Benton R. Leach REMOVE FROM DATABASE	6/17/2002	Intensive Care	\$0.00	\$50.00	
Gloria Lord 483 Pleasant St Southington, CT 06489-2711 860-276-9329 ~	5/31/1999	Intensive Care	\$0.00	\$25.00	
Anna Maddalena 133 Juniper Rd Southington, CT 06489-1722	5/31/1999	Intensive Care	\$0.00	\$20.00	
Luciano D. Marini 26 Lakeside Blvd E Waterbury, CT 06708-2968 (203) 575-9097	12/8/1993	Intensive Care	\$0.00	\$50.00	
Domenic P. Mauriello	12/8/1993	Intensive Care	\$0.00	\$25.00	
Ira Mayo 198 Platt Rd Watertown, CT 06795-1715 860-274-1143 ~	12/8/1993	Intensive Care	\$0.00	\$50.00	

Fund Mail Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Robert Meisel 12C Heritage Crst Southbury, CT 06488-1369 203-267-6647 ~	6/30/2002	Intensive Care	\$0.00	\$25.00	
Joan W. Milton 175 Mohegan Rd Shelton, CT 06484-2474 (203) 723-4536 ~	4/30/2007	Intensive Care	\$0.00	\$40.00	
Barbara Mollica UNFORWARDABLE Wolcott, CT 06716-3013	12/8/1993	Intensive Care	\$0.00	\$25.00	
Marion Morgan 22A Heritage Crst Southbury, CT 06488-1371 203-264-7511 ~	6/25/2002	Intensive Care	\$0.00	\$25.00	
Mary B. Musnicki 23 Sherry Ln Danbury, CT 06811-4956 (203) 743-1149 ~	11/27/1989	Intensive Care	\$0.00	\$20.00	
Naugatuck American Legion 21 Cedar St Naugatuck, CT 06770-2801 (203) 729-3948	9/30/1992	Intensive Care	\$0.00	\$25.00	
New Britain General H.R. PO Box 100 New Britain, CT 06050-4000	8/30/1996	Intensive Care	\$0.00	\$70.00	
Marilyn Patrone 2 Buckridge Rd Harwinton, CT 06791-3005 860-485-1139	9/11/1996	Intensive Care	\$0.00	\$25.00	
Lyle Raaen 6 Church St Old Saybrook, CT 06475-2504 860-395-4791 ~	8/26/2002	Intensive Care	\$0.00	\$50.00	
Elizabeth Rendeiro					

Fund I Report Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge-Payments	Non-Pledge Gift	Reference
unforwardable Naugatuck, CT 06770 (203) 729-9734	9/29/1992	Intensive Care	\$0.00	\$20.00	
Arlene M. Reynolds 56 Franklin St Waterbury, CT 06706-1221	11/22/1993	Intensive Care	\$0.00	\$5.00	
Rotella School Faculty and Staff 440 Pierpont Rd Waterbury, CT 06705-3905 (203) 574-8168	12/8/1993	Intensive Care	\$0.00	\$50.00	
Marie J. Sampieri 4 Buckley Ln Prospect, CT 06712-1003 (203) 758-5753 ~	1/31/1994	Intensive Care	\$0.00	\$25.00	
San Antonio Citizens Federal Credi PO Box 1057 San Antonio, FL 33576-1057 (904) 588-2732	9/30/1992	Intensive Care	\$0.00	\$50.00	
Group W Satellite Communications PO Box 10210 Stamford, CT 06904-2210	12/29/1992	Intensive Care	\$0.00	\$75.00	
Thomas Schitena 273 Dino Rd Bristol, CT 06010-7890	9/5/1996	Intensive Care	\$0.00	\$30.00	
Barbara Secor 4110 E Regency Ct Vero Beach, FL 32967-1807 203-264-7422	6/27/2002	Intensive Care	\$0.00	\$25.00	
Magjill Shipman 239 Pomperaug Woods Southbury, CT 06488-1871 203-264-5709 ~	6/21/2002	Intensive Care	\$0.00	\$50.00	
Elizabeth C. Smith Winthrop H. & Margaret D. Smith F	6/14/2002	Intensive Care	\$0.00	\$100.00	

Fund [REDACTED] il Report

Intensive Care Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
3 MacPherson Dr Greenwich, CT 06830-4716 (203) 625-9840 ~	8/19/2002	Intensive Care	\$0.00	\$100.00	
Walter G. Southworth	6/27/2002	Intensive Care	\$0.00	\$100.00	
Ellen Torrance	6/20/2002	Intensive Care	\$0.00	\$50.00	
Richard Zappone 311 Fern Hill Rd Watertown, CT 06795-1509 (860) 274-6188	11/29/1993	Intensive Care	\$0.00	\$20.00	
Grand Totals:			\$0.00	\$2,797.00	

71 Gift(s) listed

71 Donor(s) listed

~ The phone is marked as 'Do not contact'

Appeal Summary Report
 Women & Infant's Center Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2002	Annual Board of Directors Ap	1	\$0.00	\$0.00	\$3,843.00	\$0.00	\$3,843.00
brd2008	Board of Directors Appeal 20	1	\$0.00	\$0.00	\$2,306.50	\$0.00	\$2,306.50
cor2002	Corporations 2002 GIK	1	\$0.00	\$0.00	\$0.00	\$3,228.00	\$3,228.00
cor2010	Corporations 2010 NW Regional Workforce	1	\$0.00	\$0.00	\$3,894.51	\$0.00	\$3,894.51
frd99	Foundations 1999 Wttn Fnda	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
grant	Grant	5	\$0.00	\$1,500.00	\$6,000.00	\$0.00	\$7,500.00
ind2000	Individuals 2000 GIK	1	\$0.00	\$0.00	\$0.00	\$169.95	\$169.95
ind2009	Unsolicited 2009	4	\$0.00	\$0.00	\$265.00	\$0.00	\$265.00
ind2010	Unsolicited 2010	5	\$0.00	\$0.00	\$104.00	\$0.00	\$104.00
ind2011	Unsolicited 2011	3	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
maj2002	Major Gifts 2002	3	\$0.00	\$0.00	\$4,689.00	\$0.00	\$4,689.00
ph92	Phonathon 1992 Archived	2	\$0.00	\$75.00	\$0.00	\$0.00	\$75.00
shiningstar	Shining Star Baby Stars	56	\$0.00	\$0.00	\$5,501.00	\$0.00	\$5,501.00
w&icregistration	Women & Infant's Center Reg	221	\$0.00	\$0.00	\$87,801.55	\$0.00	\$87,801.55
and Totals:							\$120,422.51
306 Gift(s) listed							\$3,397.95
80 Donor(s) listed							\$114,449.56
biking classes							\$2,575.00

E3



Appeal Summary Report
W&IC Maternity Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2002	Annual Board of Directors Ap	9	\$0.00	\$0.00	\$900.00	\$0.00	\$900.00
brd2003	Annual Board of Directors Ap	11	\$0.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00
brd2004	Annual Board of Directors Ap	12	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
brd2005	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
brd2006	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
brd2007	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2008	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2009	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2010	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2011	Board of Directors Appeal 20	2	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00
cor2001	Corporations 2001	4	\$0.00	\$0.00	\$1,992.15	\$0.00	\$1,992.15
cor2002	Corporations 2002	12	\$0.00	\$0.00	\$4,636.70	\$0.00	\$4,636.70
cor2003	Corporations 2003	12	\$0.00	\$0.00	\$3,844.50	\$0.00	\$3,844.50
cor2004	Corporations 2004	11	\$0.00	\$0.00	\$3,460.05	\$0.00	\$3,460.05
cor2005	Corporations 2005	12	\$0.00	\$0.00	\$3,435.30	\$0.00	\$3,435.30
cor2006	Corporations 2006	12	\$0.00	\$0.00	\$3,593.80	\$0.00	\$3,593.80
cor2007	Corporations 2007	12	\$0.00	\$0.00	\$3,950.80	\$0.00	\$3,950.80
cor2008	Corporations 2008	12	\$0.00	\$0.00	\$3,129.70	\$0.00	\$3,129.70
cor2009	Corporations 2009	8	\$0.00	\$0.00	\$1,570.80	\$0.00	\$1,570.80
ph2008	Phonathon 2008	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
and Totals:			\$0.00	\$0.00	\$45,418.80	\$0.00	\$45,418.80

202 Gift(s) listed

3 Donor(s) listed

Appeal Summary Report W&IC, W&IC ...aternity Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2002	Annual Board of Directors Ap	10	\$0.00	\$0.00	\$4,743.00	\$0.00	\$4,743.00
brd2003	Annual Board of Directors Ap	11	\$0.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00
brd2004	Annual Board of Directors Ap	12	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
brd2005	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$1,200.00	\$0.00	\$1,200.00
brd2006	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
brd2007	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2008	Board of Directors Appeal 20	13	\$0.00	\$0.00	\$4,706.50	\$0.00	\$4,706.50
brd2009	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2010	Board of Directors Appeal 20	12	\$0.00	\$0.00	\$2,400.00	\$0.00	\$2,400.00
brd2011	Board of Directors Appeal 20	4	\$0.00	\$0.00	\$800.00	\$0.00	\$800.00
cor2001	Corporations 2001	4	\$0.00	\$0.00	\$1,992.15	\$0.00	\$1,992.15
cor2002	Corporations 2002	13	\$0.00	\$0.00	\$4,636.70	\$3,228.00	\$7,864.70
cor2003	Corporations 2003	12	\$0.00	\$0.00	\$3,844.50	\$0.00	\$3,844.50
cor2004	Corporations 2004	11	\$0.00	\$0.00	\$3,460.05	\$0.00	\$3,460.05
cor2005	Corporations 2005	12	\$0.00	\$0.00	\$3,435.30	\$0.00	\$3,435.30
cor2006	Corporations 2006	12	\$0.00	\$0.00	\$3,593.80	\$0.00	\$3,593.80
cor2007	Corporations 2007	12	\$0.00	\$0.00	\$3,950.80	\$0.00	\$3,950.80
cor2008	Corporations 2008	12	\$0.00	\$0.00	\$3,129.70	\$0.00	\$3,129.70
cor2009	Corporations 2009	8	\$0.00	\$0.00	\$1,570.80	\$0.00	\$1,570.80
cor2010	Corporations 2010	2	\$0.00	\$0.00	\$3,894.51	\$0.00	\$3,894.51
fund99	Foundations 1999	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
grant	Grant	5	\$0.00	\$1,500.00	\$6,000.00	\$0.00	\$7,500.00
ind2000	Individuals 2000	1	\$0.00	\$0.00	\$0.00	\$169.95	\$169.95
ind2009	Unsolicited 2009	4	\$0.00	\$0.00	\$265.00	\$0.00	\$265.00
ind2010	Unsolicited 2010	5	\$0.00	\$0.00	\$104.00	\$0.00	\$104.00
ind2011	Unsolicited 2011	3	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
maj2002	Major Gifts 2002	3	\$0.00	\$0.00	\$4,689.00	\$0.00	\$4,689.00
ph2008	Phonathon 2008	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
ph92	Phonathon 1992	2	\$0.00	\$75.00	\$0.00	\$0.00	\$75.00
shiningstar	Shining Star	61	\$0.00	\$0.00	\$5,976.00	\$0.00	\$5,976.00
w&icregistration	Women & Infant's Center Re	229	\$0.00	\$0.00	\$90,936.55	\$0.00	\$90,936.55

Appeal Summary Report
W&IC, W&IC Maternity Fund

and Totals:	\$0.00	\$2,575.00	\$163,878.36	\$3,397.95	\$169,851.31
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523 Gift(s) listed
86 Donor(s) listed



11/16/09
\$6.00
cash

Gratitude
for massages!
To WIC Fund
Fiage Friedland
37 Yates Ave
WBY Ct 06710
203-592-8173

⊕
Saint Mary's
HOSPITAL

56 Franklin Street, Waterbury, CT 06706

WIC Fund

Cash
\$ 6,117.10

Bobbi Wilcox
2893 E. Main St
Waterbury CT 06705

\$5 -
St Mary's Foundation

Re Massage Therapy

Thank you

Saint Mary's HOSPITAL

56 Franklin Street, Waterbury, CT 06706

RECEIVED for The Account Of:

Massage Therapy

ADMISSION NUMBER	ROOM NUMBER	DATE
		10/20/10

CHECK
 CASH
 PERSON
 MAIL

CLASSIFICATION	AMOUNT
ACTIVE	
INACTIVE	
PAST DUE	
PHARMACY	
EMERGENCY	
CLINIC	
PRIVATE	
MISC.*	X \$30
OTHER	
BALANCE	①

Additional
X \$30. —
for 30
minutes

Post partum

Cash
\$30
Nov 2 2010

Carrienne Gardside
Passide

*EXPLANATION

RECEIVED FROM: *Christian*

No. 54438

BY: *over* over

FORM NO. 5230B REV. 1/15/05

Exp/Donation

from:

Mr & Mrs Scungio
389
Go Box
Middlebury Ct. 06762

Cash

Amount: \$10.00
10/7/10

1026800367 09/25/10 00671928
SCUNGIO, JENNIFER

LDR 3114-01 07/05/71 UNK

ADM: BENZONI, ELISA 39Y

ATT: BENZONI, ELISA

Massage Therapy WIC Fund

10. cash
3/24/10

\$100 Fund after message

Saint Mary's HOSPITAL

56 Franklin Street
Waterbury, CT 06706

PATIENT REGISTRATION FORM OBSTETRIC ADMISSION



NAME (Last, First, Middle) NGU, PHUONG	
PREVIOUS NAME (LAST)	
ACCOUNT NUMBER 1007000373	MR/UNIT NUMBER 0058-69-73



PATIENT NAME AND ADDRESS/PHONE NGU, PHUONG (315)373-3648 30 DOROTHY LANE TERRYVILLE, CT 06786		AGE 39Y	DATE OF BIRTH 06/26/70	HIPAA OPT-OUT DIR NOPP 05/11/09			
SOC. SEC. NO. XXX-XX-8601	SEX F	STAT S	R 3	FC P	LOCATION CODE LDR	ADM TYPE 2	DATE/TIME 03/11/10 1213
ARRIVAL MODE *SELF	VET No	STATION LDR	ROOM/BED 3101-01	ACC. TYPE	DISCHARGE DATE/TIME	DISP. CODE	
DEN/CHURCH NO AFFILIATION	VISIT FROM CLERGY	CHAPLAIN CALLED <input type="checkbox"/> VISIT <input type="checkbox"/> COMMUNION <input type="checkbox"/> SACRAMENTS OF THE SICK	DATE	CHAPLAIN YES	SMH PASTORAL CARE VISIT		
PATIENT EMPLOYER NAME AND ADDRESS/PHONE (CURRENT*) NAIL STUDIO UNION STREET WATERBURY, CT 06706				OCCUPATION (CURRENT*) NAIL TECH			
NEXT OF KIN NAME AND ADDRESS/PHONE (RELATIVE 1) LAM, MYSANG WATERBURY, CT 06704				NEXT OF KIN EMPLOYER NAME AND ADDRESS/PHONE (RELATIVE 1) OTHER NOT EMPLOYED (860)833-3451			
GUARANTOR NAME AND ADDRESS/PHONE NGU, PHUONG 30 DOROTHY LANE TERRYVILLE, CT 06786				GUARANTOR EMPLOYER NAME AND ADDRESS/PHONE NAIL STUDIO UNION STREET WATERBURY, CT 06706			
ADM DIAGNOSIS/COMPLAINT V22.0-SUPERVIS NORMAL 1ST PREG				PNEUMOVAX VACCINE		T.R.	
ORGAN OR TISSUE DONOR?	PREV VISIT DATE 02/22/10	EVER SMOKED? N	REFERRING FACILITY	REG. INIT. DMS	DATE/TIME 03/11/10 1213		
ADMITTING PHYSICIAN POLKE, DAVID R	PHYS. NO 70008	REFERRING PHYSICIAN POLKE, DAVID R	PHYS. NO 70008	ATTENDING PHYSICIAN POLKE, DAVID R	PHYS. NO 70008		
PRIMARY CARE MD DCUNHA, SUNIL B	PHYS. NO 50223	SHARED CARE / FUNCTIONAL PROVIDER POLKE, DAVID R		PHYS. NO 70008			
TRANS. TO PHYSICIAN (Name and Date)				TRANS. TO SERVICE (Service and Date)			
INS. CO.	1ST	2ND	3RD	4TH			
ADDRESS							
INSURED							
RELATION							
POLICY #							
GRP #							
GRP NAME							
TITLE XIX INITIATED:	DATE/INITIALS:		TITLE XIX COMPLETED:	DATE/INITIALS:			

WAIVER OF RESPONSIBILITY FOR DISCHARGE

This to certify that I this day
 leave St. Mary's Hospital _____ from St. Mary's Hospital against the
 _____ of the hospital and Dr. _____
 _____ my medical condition (or that of the minor) and the risk of leaving against the Doctor's advice has been explained to me (or to the
 _____ minor's parent or guardian). The said hospital and physician are therefore released from all responsibility in the case.

Signed by _____ Relationship _____ Date _____

\$10 - Donation
(Cash) from:

11/24/09

\$10.00
cash.

Orli Katz
155 Columbia Blvd
Waterbury Ct, 06710

203.755.7328

former
post partum

	PAST DUE	cash.
	PHARMACY	
	EMERGENCY	
	CLINIC	
	PRIVATE	
	MISC.*	\$600-
OTHER		
	BALANCE	⓪
*EXPLANATION	Orli Katz	
RECEIVED FROM:		

No. 54419

BY

FORM NO. 5230B REV. 1/15/05

11/16/09

15.00
cash

Franklyn
Saint Mary's HOSPITAL
56 Franklin Street, Waterbury, CT 06706

RECEIVED for The Account Of:

Stromer & Infants
ADMISSION NUMBER ROOM NUMBER DATE
1116109

CHECK CASH PERSON MAIL

CLASSIFICATION	AMOUNT
Sheryl Breaker	
ACTIVE	
INACTIVE	
PAST DUE	
PHARMACY	
EMERGENCY	
CLINIC	
PRIVATE	\$ 15.00
MISC.*	
OTHER	
EXPLANATION	BALANCE

post partum patient
admitted 15. 15 mins
to her free bedside
of packages (infants)
(Stromer & Infants)

203.753.4176

Sheryl Breaker
141 Cab Lane
Waterbury, Ct. 06710

Franklyn
Stromer & Infants

No. 54417

BY

RECEIVED FROM:
Stromer & Infants

FORM NO. 52308 REV. 1/15/05

1323



⊕
Saint Mary's
HOSPITAL
Foundation

Board of Directors

Eric D. Albert
Chairman

Mark C. Yanarella
Vice Chairman

George J. Strobel, Jr.
Secretary

Leonard J. Frank
Treasurer

John H. Barlow, Jr.
Frederick W. Blasius, Jr.

John P. Burke

Joseph Carlson, III

Michael C. Culhane

Theresa M. Dudrick

Jaci Carroll Hanratty

Peter J. Jacoby, M.D.

Paul F. Kelly, M.D.

Paul J. Largay

David Alan Roer, M.D.

Laura G. St. John

Keith F. Sullivan, C.P.A.

Maria Taylor

Carmen Anthony Vacalebrie

Stephen C. Widman, M.D.

Sharon Zilahy, R.N.

John A. Zinno, Jr., C.P.A.

Corporators

Katherine Berman

Louise G. Boulanger

Sally A. Bowman

Lawrence H. Engelman, Esq.

Sally Kernan

The Most Rev. Henry J. Mansell

Joseph A. Mengacci, Esq.

Daniel Noonan

Police Chief Neil M. O'Leary

Eric J. Olson, M.D.

Edmund J. White

Margaret J. Lawlor
President

Laquisha Fleming
(203) 993-2424

President of
Future Business
Leaders of America
Post University

October 22, 2009

Ms. Laquisha Fleming
Post University
Future Business Leaders of Ame
800 Country Club Rd
Waterbury, CT 06708

Dear Ms. Fleming:

It is with sincere gratitude that we acknowledge The Future Business Leaders of America's contribution of \$139.51 to Saint Mary's Hospital Foundation, specifically designated for the Women & Infant's Center Fund.

Each year, friends of Saint Mary's Hospital help us continue providing the quality, compassionate care that has been our hallmark for over 90 years. With your support, we keep pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire Saint Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,

Reggy Thank you!

Margaret J. Lawlor
President

MJL:hrs

1324

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

~~\$139.28~~ \$139.51
Cash
raised through penny
drive to children

Date: 10/14/09

PT 15368449

1000495 MB **AUTO T4 0 5808 06706-125399 01-P0000495

Sub Class Code
09



SAINT MARY'S HOSPITAL FOUNDATION
PATRICIA EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706-1253

Account Name: WHITE LINFORD & MILDRED FDN

Account Number: 42-09-900-8546039

Payment in Settlement as Described Below

SUPP THE FOOD/SNACK PROG F/NEW MOTHERS

Total Amount

1,000.00

designate W41C



Retain This Portion For Your Records

PT 15368449

Account Number
42-09-900-8546039

Account Name
WHITE LINFORD & MILDRED FDN

Date
10/14/09

One Thousand and 00/100 Dollars

Pay

Amount
\$*****1,000.00

In Payment Of:
SUPP THE FOOD/SNACK PROG F/NEW MOTHERS

To The Order Of: SAINT MARY'S HOSPITAL FOUNDATION
PATRICIA EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706

Phyllis Stevenson



2902844
VOID AFTER 180 DAYS

NO 4224245

051-57
5119

T/SUPP NON-PERISHABLE FOODS, DRINKS & SNACKS FOR

DATE	AMOUNT
09/13/04	\$ 1,500.00

PAY

ONE THOUSAND FIVE HUNDRED DOLLARS AND NO/100

TO THE ORDER OF

SAINT MARY'S HOSPITAL FOUNDATION
ATTN: PATRICIA A. EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706

FLEET NATIONAL BANK
HARTFORD, CT

Mary E. Vogan

SIGNATURE HAS A COLORED BACKGROUND. BORDER CONTAINS MICROPRINTING

⑈4224245⑈ ⑆01190057⑆

986476074⑈

W&IC Fund

0287 ADVICE OF REMITTANCE IN THE AMOUNT OF \$*****1,500.00 4224245

CHECK MAILED FOR

09/13/04
SOURCE : 2902844
WHITE LINFORD & MILDRED FOUNDATIO

PAYMENT

GRANT
T/SUPP NON-PERISHABLE FOODS, DRINKS & SNACKS FOR
75 PATIENTS

NON-NEGOTIABLE

CHECK MAILED TO

SAINT MARY'S HOSPITAL FOUNDATION
ATTN: PATRICIA A. EVANS
56 FRANKLIN STREET
WATERBURY, CT 06706

FLEET NATIONAL BANK
HARTFORD, CT

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT CARMEN BRITT AT 860-952-7392

LAUREN M MANCINI OR
CORRADO MANCINI
39 PRIMROSE ST
WATERBURY, CT 06708

1102

51-7010/2111
BRANCH 261

8/17/09

DATE

PAY TO THE
ORDER OF

St. Mary's Foundation

\$ 125.00

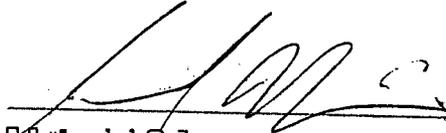
One Hundred Twenty Five Dollars and ⁰⁰/₁₀₀

DOLLARS  Security Features Details on Back.

 Webster Bank

FOR

Infant message - WIC



MP

⑆ 211170101⑆ 0019979676⑆ 1102

© HARLAND / LIBERTY

Received from
Harriet 8/18/09



Thank you

Saint Mary's HOSPITAL

56 Franklin Street, Waterbury, CT 06706

RECEIVED for The Account Of:

Therapy
ADMISSION NUMBER ROOM NUMBER DATE *7/27/09*

CHECK CASH PERSON MAIL

CLASSIFICATION	AMOUNT
ACTIVE	
INACTIVE	
PAST DUE	
PHARMACY	
EMERGENCY	
CLINIC	
PRIVATE	
MISC.*	<i>\$100 -</i>
OTHER	<i>Cash</i>
BALANCE	<i>0</i>

37 wk pregnancy

Thank you

vany massage

degenerate WIC

RECEIVED FROM

Christina M

No. 54408

BY

FORM NO. 5230B REV. 1/15/05

KRISTY MASCIA
20 AVON AVE
WATERBURY, CT 06708

759

7/24/09

Date

\$ 20.00

Pay to Cash

Twenty & 00/100

Firefighters

Kristy Mascia

98306 0755

WIC
Fund

Foundation #

Saint Mary's HOSPITAL

56 Franklin Street, Waterbury, CT 06706

RECEIVED for The Account Of:

Wendy Schlaw

ADMISSION NUMBER	ROOM NUMBER	DATE
		<i>6/1/09</i>

CHECK
 CASH
 PERSON
 MAIL

CLASSIFICATION	AMOUNT
ACTIVE	
INACTIVE	
PAST DUE	
PHARMACY	
EMERGENCY	
CLINIC	
PRIVATE	
MISC.*	<i>\$60 -</i>

Thank you!

OTHER

*to be deposited in
wic fund*

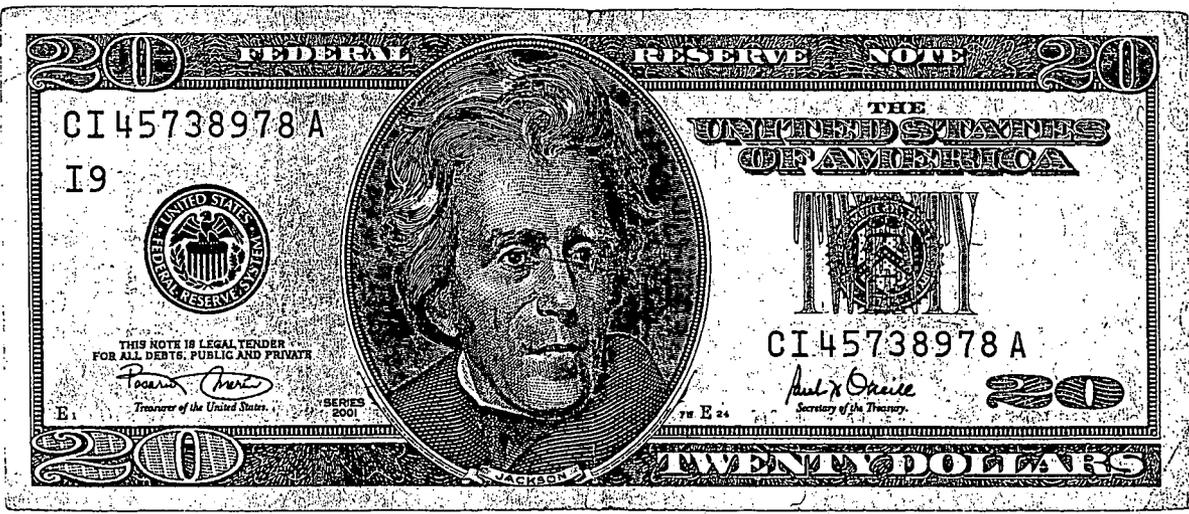
BALANCE *0*

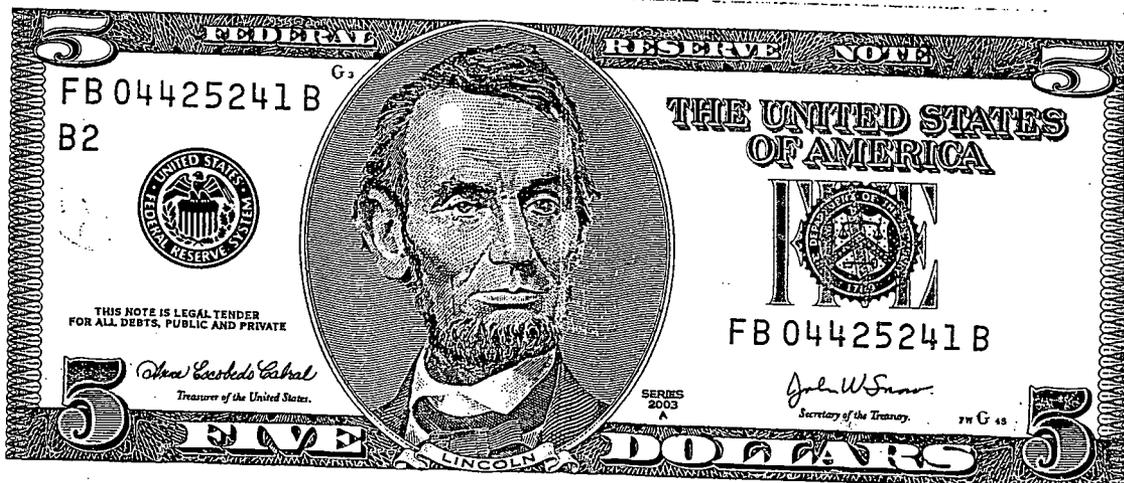
*EXPLANATION

RECEIVED FROM: *Christians LMT*

No. *54406* BY _____

FORM NO. 5230B REV. 1/15/05





CITY OF WATERBURY, 236 Grand Street, Waterbury, CT, 06702

No. 86972

Date: 4/3/09

St Mary's Hospital, 56 Franklin Street, Waterbury, CT 06702

(2465)

Debit	on	Date	Gross Amount	Discount Amount	Net Amount Paid
MA	RAIN-3/13/09	04/02/09	\$2,500.00	\$0.00	\$2,500.00
<p><i>PO# 50980</i></p> <p><i>grant for Infant Massages</i></p> <p><i>restricted for social service moms</i></p>					
TOTALS:			\$2,500.00	\$0.00	\$2,500.00

45146
589000

Detach at Perforation Before Depositing Check

Page 1 of 1

WARNING: THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER AND MICROPRINTING. THE BACK OF THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK. HOLD AT ANGLE TO VIEW.

City of Waterbury
236 Grand Street
Waterbury, CT 06702

Webster Bank
Waterbury, CT 06702
51-1070/011

Date: 04/03/2009
Number: 86972

Amount: \$ 2,500.00
VOID AFTER 180 DAYS

PAID TO THE ORDER OF St Mary's Hospital
56 Franklin Street
Waterbury, CT 06702

Two Thousand Five Hundred and 00/100 Dollars

Michael J. Nelson
City Clerk

⑈086972⑈ ⑆01110701⑆ 10 0010342804⑈

See Reverse Side For Easy Opening Instructions

City of Waterbury
236 Grand Street
Waterbury, CT 06702

UNITED STATES POSTAGE
EAGLE
FIRST CLASS PERMIT NO. 1000 WATERBURY CT
\$ 00.42⁰
02 1A
0004396656 APR 13 2009
MAILED FROM ZIP CODE 06702

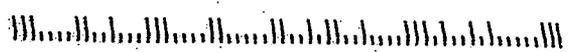
St Mary's Hospital
56 Franklin Street
Waterbury CT 06702

Attn: Pat Evans

No documentation
ask Renee
which fund

1332

06706+1281 C083



THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

April 30, 2004

Patricia A. Evans, Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin St
Waterbury CT 06706

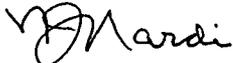
Dear Ms. Evans:

We have your request for funds for your Women and Children Services for 2004.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

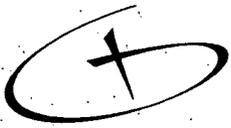
Enclosed is our Foundation's check for \$1,000 in full payment of the grant. We ask that you send us an acknowledgment of its receipt and state that no goods or services were provided in exchange for this grant.

Sincerely,



Nicholas J. Nardi
Secretary-Treasurer

enc/1



Saint Mary's Hospital Foundation, Inc.

May 8, 2002

Dr. and Mrs. S. Mark Albini
344 Weekepeemee Road
Route 132N
Woodbury, CT 06798

Mark & Shelley
Dear Dr. and Mrs. Albini,

It is with sincere gratitude that we acknowledge your generous contribution of \$689 to be used as seed money for commercials on the Birth Story, specifically designated for the Women & Infant's Center.

Each year, we look to friends of St. Mary's Hospital to help us to continue providing the quality, compassionate care that has been our hallmark for 90 years. With your support, we have kept pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire St. Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,

Martin G. Morrissey
Martin G. Morrissey

Interim President/CEO
St. Mary's Hospital

MGM:hrs

P.S. This contribution is available as a tax deduction as no goods or services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

Mark & Shelley
*Thanks so much for your continued
generosity, your time and counsel.*

MGM

1334

HARRIET SISBARRO

From: RENEE WARNER
Sent: Monday, February 11, 2002 9:36 AM
To: HARRIET SISBARRO
Subject: Albini Donation

Harriet,

Please record \$100 a month (starting in January 2002) of non-pledged contributions for Women & Infant Center from Dr. Mark Albini. He is having \$100 a month deducted from his monthly check and donating it to the Foundation for Women & Infant center. I will send you a copy of the letter I had him do. Let me know if you have questions. Thanks.

Maternity

*Renee's
\$100 ~~or \$200~~
per Peter moody
~~major~~ prd 2002
WHIC maternity*

*Started
1/31/02*

S MARK ALBINI TR
SMH OB/GYN MEDICAL SRVCE FUND
56 FRANKLIN ST
WATERBURY CT 06706-1221

State Street Bank & Trust Company
Boston, Massachusetts 02101

101

DATE 11/20/01

5-2
110

PAY TO THE ORDER OF St. Mary's Hospital Foundation

\$ 3843.⁰⁰

Three thousand eight hundred forty-three and 00/100

DOLLARS Security features included. Details on back.

T. Rowe Price

NOT VALID FOR LESS THAN \$500.00

Prime Reserve Fund

S. Mark Albin AP

⑆011000028⑆101 40401385384⑆654

W+IC

ty already sent

REQUEST FOR DONOR RESTRICTED FUNDS

(RUSH)

TO: St. Mary's Hospital Foundation

FROM: St. Mary's Hospital Departments

Make check out to: PROJECTOR PEOPLE

6313 BENJAMIN ROAD, SUITE #106

TAMPA, FLORIDA 33634

PHONE # 800-639-3010 X2277

Amount Requested \$ 3843

Department for which fund will be used WIC/NICU

Purpose PURCHASE OF A PROJECTOR TO BE USED FOR EDUCATIONAL PURPOSES ON THE UNIT - PHYSICIAN, STAFF, + PATIENT EDUCATION. TO BE USED WITH OUR LAPTOP COMPUTER.

Date Required AS SOON AS POSSIBLE (WILL SHIP W/IN 3 DAYS AFTER PURCHASE)

Terry Putnam
Signed - Department Head making request

11/5/01
Date

Approved by St. Mary's Hospital Foundation
Maureen Cardey

Date 11/6/01

Foundation Fund Name WIC Maternity
(Dr. Albini donating \$)

Signed (Finance Department) / Check Number

Date

October 12, 2001

Dear Maureen,

Confirming my phone pledge, I have enclosed
check # 268 drawn on American Bank in the
amount of \$6,000.00 to be allocated as follows:

1. A new ultrasound machine ^{phys therapy} - \$2,000.00 - P. T. Dept.
2. Billy Blanket WEIC - \$3,000.00 - ~~Education~~
Women + Infants
3. Pain Mgmt Seminar ^{onc pain} \$1,000.00 M. Mordella Dept

I am extremely pleased that I can help in
a monetary way to participate in bringing quality
of life to patients.

God Bless You All For Your Caring.

Warmest Regards,

Rosa P. O'Toole

✓
already
sent



Saint Mary's Hospital
Foundation, Inc.

March 26, 1999

Mr. Timothy Gaydosh
Treasurer
Watertown Foundation
P.O. Box 117
Watertown, CT 06795

Dear Mr. Gaydosh,

Enclosed please find the invoice for the Brentwood Sleeper Chair purchased by St. Mary's Hospital for the use of father's of newborns in our Women and Infant's Center. The Watertown Foundation had approved a grant to cover the cost of this chair up to \$1,000. We are grateful to the Contributions Committee and, I am sure, the father's will be appreciative as well.

If you have any questions, please call 203-574-6390. Thank you.

Sincerely,


Warren G. Sarasin
Executive Director

WGS/st

Enclosure

cc: Mrs. Barbara Mahoney

1340



WATERTOWN FOUNDATION INC.

POST OFFICE BOX 117
WATERTOWN, CONNECTICUT 06795

April 5, 1999

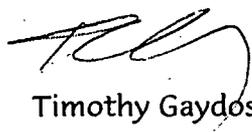
Mr. Warren Sarasin, Executive Director
St. Mary's Hospital Foundation, Inc.
56 Franklin St.
Waterbury, CT 06706

Dear Mr. Sarasin:

Enclosed is a check in the amount of \$1,000.00 from the Watertown Foundation to cover our 1998-99 award to your organization.

It is a pleasure to work with and for you in meeting the needs of the community and its residents.

Sincerely,



Timothy Gaydosh, Treasurer

Henry Long's acct.

TG/bwm

Encl.

#1077
\$1,000.00
4/7/99
Oldg pay

E4

Appeal Summary Report Women & Infant's Center Neonatal 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2002	Phonathon 2002	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
	1 Gift(s) listed						
	1 Donor(s) listed						
	and Totals:		\$0.00	\$0.00	\$500.00	\$0.00	\$500.00

E4



Saint Mary's Hospital
Foundation, Inc.

June 28, 2002

Mrs. Nora T. O'Toole
433 Circular Avenue
Apt. 1
Waterbury, CT 06705-2150

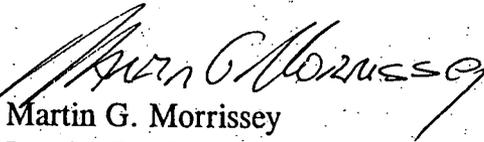
Dear Mrs. O'Toole,

St. Mary's Hospital Foundation's 13th annual Phonathon was successful again due to your generosity. Thank you for your gift of \$500 which will be specifically designated for the Women & Infant's Center Neonatal Unit.

The two day Phonathon was supported by over 75 community volunteer callers, runners and production people. This wonderful group of individuals, some representing area businesses, helped to raise over \$69,000. Your support and that of the 765 other donors helped to provide funding to allow St. Mary's to continue offering the quality care that is our hallmark.

Thank you for being there once again.

Sincerely,



Martin G. Morrissey
Interim President/CEO
St. Mary's Hospital

MGM:hrs

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

3/12/02

3/12

Memo #144 \$1000
Check for \$1,000.00

2 chairs @ \$450.00 = \$900.00
car mobile re for 100.00
as \$100.00 will go \$1,000.00

(for Women & Infants NICU)

check #144 enclosed.
If message too long, cut it
down whatever way you like.

Regards
Todd O'Toole



76 South Main Street • Waterbury, CT 06702
tel: 203.756.2001 • fax: 203.596.7452

Appeal Summary Report
O'Brien 7 Nursing Station Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2007	Board of Directors Appeal 20	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
hs2007	Hospital Staff Annual Appeal:	1	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
mem2008	Remembrance 2008	2	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
mem93	Remembrance 1993	3	\$0.00	\$0.00	\$189.00	\$0.00	\$189.00
mem94	Remembrance 1994	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
phys2007	Phys. Annual Appeal 2007	6	\$0.00	\$0.00	\$1,600.00	\$0.00	\$1,600.00
raffgeneric07	Raffle Prize Generic 2007	1	\$0.00	\$0.00	\$0.00	\$150.00	\$150.00
and Totals:			\$0.00	\$0.00	\$2,064.00	\$150.00	\$2,214.00

15 Gift(s) listed

15 Donor(s) listed

E5

Fund Council Report

O'Brien 7 Nursing Station Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Michael S. Ajemian 1592 Bucks Hill Rd Southbury, CT 06488-4400 (203) 267-5238 ~	4/30/2007	O'Brien 7 Nursing St	\$0.00	\$200.00	for O'Brien 7 artwork
The China Shop 1270 E Main St Torrington, CT 06790-3514 203-573-1271	2/16/2007	O'Brien 7 Nursing St	\$0.00	\$0.00	(4) prints
Alex Christophy 11 Grove St Apt 208 Thomaston, CT 06787-1460 860-589-5488	3/31/1993	O'Brien 7 Nursing St	\$0.00	\$30.00	
Ian Cohen PO Box 33 Seymour, CT 06483-0033	5/21/2007	O'Brien 7 Nursing St	\$0.00	\$500.00	money deposited into Intercompany acct for O'Brien 7 a
Stanley J. Dudrick 40 Beecher St Naugatuck, CT 06770-2721 (203) 723-7399 ~	4/16/2007	O'Brien 7 Nursing St	\$0.00	\$400.00	for O'Brien 7 artwork
Marisa Kemp 20 Hackett St Naugatuck, CT 06770-5006 (203) 723-1592 ~	5/20/2008	O'Brien 7 Nursing St	\$0.00	\$50.00	
Joseph Lanzetta 47 Barnsboro Rd Parsippany, NJ 07054-2610	10/21/1993	O'Brien 7 Nursing St	\$0.00	\$25.00	
J. Alexander Palesty 2 N Main St Apt FH250 Beacon Falls, CT 06403-1176 (203) 720-1096	4/16/2007	O'Brien 7 Nursing St	\$0.00	\$200.00	for O'Brien 7 artwork
Ellen G. Polokoff 82 Hampton Ct Southbury, CT 06488-3907 (203) 264-2124 ~	4/16/2007	O'Brien 7 Nursing St	\$0.00	\$100.00	for O'Brien 7 artwork
Protopac, Inc.					

Fund Financial Report

O'Brien 7 Nursing Station Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
120 Echo Lake Rd Watertown, CT 06795-2664 (860) 274-6796	4/30/1993	O'Brien 7 Nursing St	\$0.00	\$139.00	
Abdel Aziz Richi 122 Northridge Dr Middlebury, CT 06762-1400 (203) 758-9997	4/25/2007	O'Brien 7 Nursing St	\$0.00	\$100.00	for O'Brien 7 artwork
Sandra K. Roosa 67 Southridge Dr Waterbury, CT 06708-3328 (203) 574-7910 ~	6/25/2007	O'Brien 7 Nursing St	\$0.00	\$75.00	for artwork on O'Brien 7
Jerome O. Sugar 65 Cables Ave Apt 18 Waterbury, CT 06710-1645 (203) 755-9513 ~	4/16/2007	O'Brien 7 Nursing St	\$0.00	\$200.00	for O'Brien 7 artwork
United Auto Aerospace & Agricul 247 Park St Bristol, CT 06010-6029	3/31/1993	O'Brien 7 Nursing St	\$0.00	\$20.00	
Waterbury Retired Police & Fire A 74 Oakleaf Dr Waterbury, CT 06708-3633 (203) 754-0378 ~	5/20/2008	O'Brien 7 Nursing St	\$0.00	\$25.00	
Grand Totals:			\$0.00	\$2,064.00	

15 Gift(s) listed

15 Donor(s) listed

~ The phone is marked as 'Do not contact'

THERESA M. DUDRICK
STANLEY J. DUDRICK

4907
51-7010/2111
BRANCH 33

FEB 27, 2007
Date

Pay to the order of CINDY CLAUDIO

\$ 400.00

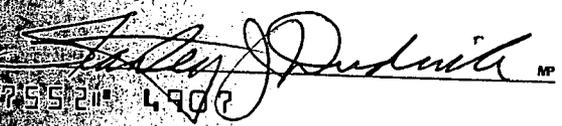
FOUR HUNDRED and ~~no~~ ^{xx}

00 Dollars  Security Features Details on Back.

 Webster Bank

WebsterOneSM Account

ROBRIENT NETWORK

 MP

⑆094 20955749300-01⑆10101⑆10 0013947552⑆ 4907

Appeal Summary Report

Pediatrics Function / 1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl2006	Annual Appeal 2006	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
auc95	Cash Auc. Dona. '95	150	\$0.00	\$30,545.00	\$1,000.00	\$0.00	\$31,545.00
aucit	Auction Item Donations	150	\$0.00	\$0.00	\$250.00	\$35,445.84	\$35,695.84
auca	Cash Auction Sales	1	\$0.00	\$39,072.00	\$0.00	\$0.00	\$39,072.00
bus95	Business Drive 1995	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
cor2000	Corporations 2000	2	\$0.00	\$0.00	\$2,000.00	\$85.00	\$2,085.00
cor2001	Corporations 2001	2	\$0.00	\$0.00	\$130.00	\$1,800.00	\$1,930.00
cor2002	Corporations 2002	1	\$0.00	\$0.00	\$0.00	\$84.00	\$84.00
cor2003	Corporations 2003	3	\$0.00	\$0.00	\$0.00	\$650.00	\$650.00
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$0.00	\$750.00	\$750.00
cor2005	Corporations 2005	2	\$0.00	\$0.00	\$30.00	\$456.00	\$486.00
cor2008	Corporations 2008	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
cor2009	Corporations 2009	1	\$0.00	\$0.00	\$92.69	\$0.00	\$92.69
cor95	Corporations 1995	1	\$0.00	\$4,480.00	\$0.00	\$0.00	\$4,480.00
cor96	Corporations 1996	2	\$0.00	\$640.00	\$0.00	\$0.00	\$640.00
cor99	Corporations 1999	1	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
dml1106	Direct Mail Piece Nov., 2006	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
est	Estates	1	\$0.00	\$10,000.00	\$0.00	\$0.00	\$10,000.00
grant	Grant	4	\$0.00	\$9,300.00	\$11,200.00	\$0.00	\$20,500.00
ind2000	Individuals 2000	1	\$0.00	\$0.00	\$0.00	\$250.00	\$250.00
ind2003	Individuals 2003	2	\$0.00	\$0.00	\$0.00	\$300.00	\$300.00
ind2004	Individuals 2004	1	\$0.00	\$0.00	\$0.00	\$100.00	\$100.00
ind2006	Unsolicited 2006	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
ind2007	Unsolicited 2007	3	\$0.00	\$0.00	\$3,200.00	\$0.00	\$3,200.00
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$350.00	\$0.00	\$350.00
ind96	Individuals 1996	1	\$0.00	\$10.00	\$0.00	\$33.00	\$43.00
junnl	June Newsletter	2	\$0.00	\$35.00	\$0.00	\$0.00	\$35.00
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
maj93	Major Gifts 1993	1	\$0.00	\$3,000.00	\$0.00	\$0.00	\$3,000.00
maj94	Major Gifts 1994	1	\$0.00	\$150.00	\$0.00	\$0.00	\$150.00
maj98	Major Gifts 1998	2	\$0.00	\$0.00	\$500.00	\$1,000.00	\$1,500.00
mem2002	Remembrance 2002	2	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
mem2003	Remembrance 2003	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00

Appeal Summary Report
Pediatrics Fund . /1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
mem2008	Remembrance 2008 <i>Baumeini, Faith</i>	1	\$0.00	\$0.00	\$190.00	\$0.00	\$190.00
mem92	Remembrance 1992	2	\$0.00	\$0.00	\$30.00	\$0.00	\$30.00
mem95	Remembrance 1995	3	\$0.00	\$0.00	\$270.00	\$0.00	\$270.00
mem96	Remembrance 1996	4	\$0.00	\$0.00	\$70.00	\$0.00	\$70.00
mem97	Remembrance 1997	9	\$0.00	\$0.00	\$535.00	\$0.00	\$535.00
mem99	Remembrance 1999	2	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
ph2005	Phonathon 2005 <i>Archived O'Toole, Nora</i>		\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
ph90	Phonathon 1990	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ph92	Phonathon 1992	2	\$0.00	\$165.00	\$0.00	\$0.00	\$165.00
ph95	Phonathon 1995	1,701	\$0.00	\$82,995.02	\$5.00	\$0.00	\$83,000.02
spirit07	Spirit of the Season Contest 1 <i>SMH NKU</i>	1	\$0.00	\$0.00	\$150.00	\$0.00	\$150.00
wnd95	Gala '95 Ticket Sales <i>Archived</i>	140	\$0.00	\$28,665.00	\$130.00	\$0.00	\$28,795.00
			\$0.00	\$210,057.02	\$22,442.69	\$40,953.84	\$273,453.55

and Totals:

2,295 Gift(s) listed
2,067 Donor(s) listed


Saint Mary's
HOSPITAL
Foundation

Board of Directors

John P. Burke
Chairman

Edmund White
Vice Chairman

Eric D. Albert
Secretary

Leonard J. Frank
Treasurer

John H. Barlow, Jr.

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Joseph Carlson, III

Jaci Carroll

Michael C. Culhane

Theresa M. Dudrick

Jack R. Goldberg, Esq.

Peter J. Jacoby, M.D.

Paul J. Largay

Joseph A. Mengacci, Esq.

Neil M. O'Leary

Robert P. Ritz, C.H.E.

David Alan Roer, M.D.

Laura St. John

George J. Strobel, Jr.

Keith F. Sullivan, CPA

Maria Taylor

Stephen C. Widman, M.D.

Mark C. Yanarella

Sharon Zilahy, R.N.

Corporators

Louise G. Boulanger

Kenneth M. Carter

Lawrence H. Engelman, Esq.

Sister Margaret Rosita Kenny

Sally Kernan

The Most Rev. Henry J. Mansell

Daniel Noonan

Eric J. Olson, M.D.

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

January 23, 2008

Mrs. Eileen C. Thorpe
34 Dutton Rd
Oxford, CT 06478

Dear Mrs. Thorpe:

It is with sincere gratitude that we acknowledge Security First Insurance Group's principals James George, Allen Brand & John Novak's contribution of \$350, specifically designated for the Pediatric Unit of Saint Mary's Hospital to purchase a DVD player, a high chair and a stroller.

Each year, friends of Saint Mary's Hospital help us continue providing the quality, compassionate care that has been our hallmark for over 90 years. With your support, we keep pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire Saint Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,



Robert P. Ritz, C.H.E.
President and Chief Executive Officer

RPR:hrs

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

Southbury Pediatrics

Susan Beris, MD
Medical Director

Marina Arena, MD

Allene Troy, PA-C

Clare Nespoli, CPNP

St. Mary's Hospital Foundation, Inc.
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706.
January 10, 2008

Last month the daughter of a fellow coworker was admitted to St. Mary's to deliver a baby. Unfortunately, there were complications with the baby's heart and her baby girl died soon after birth. The family kept referring to the wonderful care that was given to them by the St. Mary's staff during this sad and heartbreaking time. A collection was taken and thought it would be appropriate to donate the \$190 to the St. Mary's Pediatric Unit.

The donation is in memory of Faith Diane on behalf of friends at Southbury Pediatrics.

Please acknowledge receipt of this contribution to Diane Bormolini & Family, 94 Adams Road, Watertown, CT 06795.

Sincerely,



Leslie Derr
Practice Manager



LESLIE H. DERR
28 SUNSET ROAD
BETHLEHEM, CT 06751

DATE January 11, 2007 443

51-7388/2211

PAY TO THE ORDER OF

St. Mary's Hospital Foundation Inc \$ 190.00

One hundred ninety & 00/100

DOLLARS



Security Features Detailed On Back

WESTERN CONNECTICUT
FEDERAL CREDIT UNION

Sandy Hook, CT 06482

MEMO

Mary & Ruth Deane

Leslie H. Derr

⑆ 221176884⑆

0017220840⑆ 0443

© UBERTECH CENTER PRICES VINC 2001

memorial



ROCHAMBEAU MIDDLE SCHOOL

100 PETER ROAD, CALLER BOX 871
SOUTHURY, CT 06488-2675



ANTHONY R. SALUTARI JR.
Principal
(203) 264-2711
(203) 264-2712

JASON BLUESTEIN
Assistant Principal
(203) 264-2711
(203) 264-2712

ROBIN STEELE
DOMINICK D'ANTONIO
School Counselors
(203) 264-2685

TERESA M. MENTGEN
Psychologist
(203) 264-2685

St. Mary's Foundation, Pediatrics
56 Franklin Street
Waterbury, CT 06706

November 8, 2007

To whom it may concern:

I am writing to you on behalf of the Student Council from Rochambeau Middle School in Southbury, Connecticut.

Recently, we had a Hat Day fundraiser where each student had to pay one dollar to be able to wear a hat in school. We raised \$250 and the students who were chairpeople for this event decided that the money should be donated to the St. Mary's Pediatric department to help sick children staying there.

Please accept this check from us to help make some sick children's days a little brighter.

If you need to reach me, please feel free to contact me at Rochambeau at 264-2711.

Sincerely,

Debbie McCloghry
Debbie McCloghry
Student Council Advisor

2271

ROCHAMBEAU MIDDLE SCHOOL

STUDENT ACTIVITY
100 PETER ROAD
CALL BOX 871
SOUTHBURY, CT 06488

WEBSTER BANK
WATERBURY, CT 06702

51-7010/2111

2271

Nov 1, 2007

*****800000

Memo: Hat Day proceeds
Two Hundred Fifty and 00/100 Dollars

PAY

TO THE ORDER OF St. Mary's Hospital Foundation

Pediatric Dept



AUTHORIZED SIGNATURE

Security features. Details on back.

⑈002271⑈ ⑆211170101⑆10 0010342606⑈

NORA T. O'TOOLE
433 CIRCULAR AVENUE, APT. 1
WATERBURY, CT 06705-2150

51-309 518
.111
1409280

1053

DATE August 15, 2007

PAY TO THE
ORDER OF

St Mary's Hand Development Office
Two Thousand and 20/100

\$ 2,000.00

DOLLARS

TD Banknorth

MEMO Pediatric Clinic

Nora T. O'Toole

+101103093:

1409280

1053

REPRODUCTION PROHIBITED

Secure
Include
Details

NORA T OTOOLE
433 CIRCULAR AVE APT 1
WATERBURY, CT 06705-2150

9270

51-7010/2111
BRANCH 5

July 2, 2007 DATE

PAY TO THE
ORDER OF

St. Mary's Development Fund

\$ 1,000.00

One Thousand and no/100

DOLLARS



Security
Features
Details on
Back.



WebsterBank

WebsterOneSM Account

FOR

Pediatric Dept.

Nora T. O. Paole

MP

⑆ 211170101⑆ 10 0015043218⑆ 9270

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MAXIMILIAN E. & MARION O. HOFFMAN FOUNDATION, INC.,
970 FARMINGTON AVENUE • SUITE 203 • WEST HARTFORD, CONNECTICUT,
06107.

(860) 521-2949 • FAX (860) 561-5082

MARION L. BARRAK
PRESIDENT

March 21, 2007

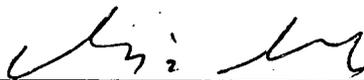
Ms. Patricia A. Evans, Grants Manager
St. Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury CT 06706

Dear Ms. Evans:

It gives me great pleasure to forward to you the enclosed Hoffman Foundation check in the amount of \$10,000.00 for critically ill patients in the Pediatric Department.

I will look forward to receiving your report on the use of this grant as we agreed upon.

Sincerely



Marion L. Barrak, President.

MLB/ijk
Grantek # 3448

3448
3/21/07
\$10,000

THE MAXIMILIAN E. & MARION O. HOFFMAN
FOUNDATION, INC.

MARION L. BARRAK, PRESIDENT
970 FARMINGTON AVENUE, SUITE 203
WEST HARTFORD, CT 06107-2134

BANK OF AMERICA, NA
AVON, CT 06001
51-57/119

4128

6/15/2009

Pay to the
Order of SAINT MARY'S HOSPITAL FOUNDATION, INC.

\$ **10,000.00

Ten Thousand and 00/100*****

SAINT MARY'S HOSPITAL FOUNDATION, INC.
56 Franklin Street
Waterbury CT 06706

Dollars

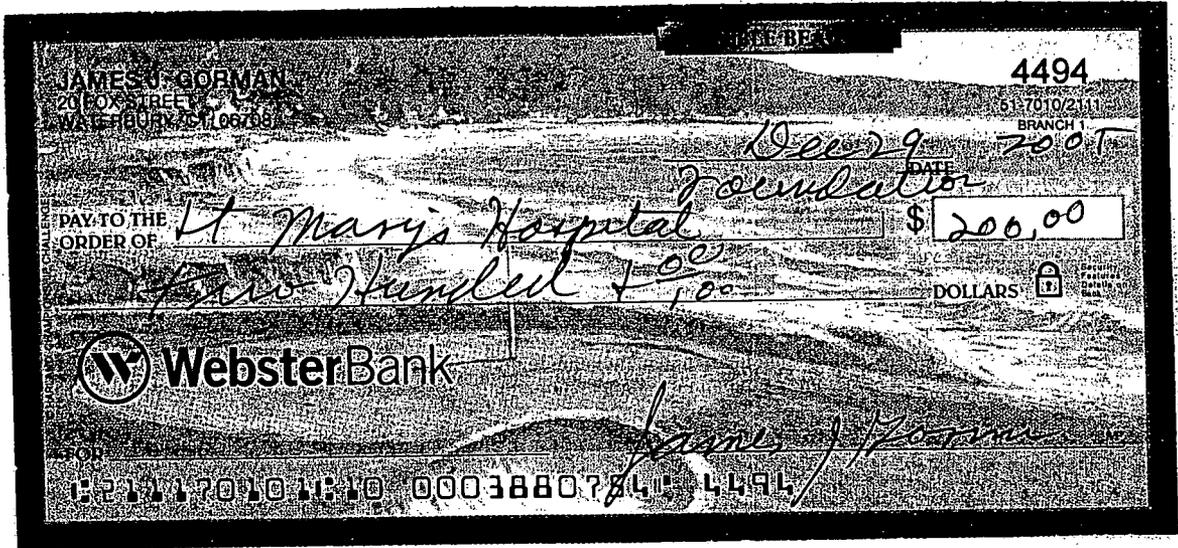
memo

Grant year June 30, 2009



⑈004128⑈ ⑆011900571⑆ 94958 41776⑈

1-800-433-6910



*Happy New year to the
Pediatrics Dept
of Saint Mary's Hosp.
from Kemas*

**Happy
Holidays**

*Best Personal
Best Regards*

Jan Gorman

(over)

If this letter and your gift have crossed in the mail,
please accept our thanks !

() \$25 () \$35 () \$50 () \$100 (X) Other \$500.00

MRS. Nora O'Toole
433 Circular Ave., Apt. 1
Waterbury, CT 06705

062B1

Please make your check payable to Saint Mary's Hospital Foundation and return it with this
slip in the envelope provided. Your gift is tax-deductible to the extent permitted by law.

 Saint Mary's
HOSPITAL
Foundation

56 Franklin Street • Waterbury, CT 06706
(203) 709-6390 • Fax: (203) 709-3272
Email: Foundation@stmh.org

PAYMENT METHOD

Check enclosed payable to Saint Mary's Hospital Foundation
Please charge my gift to my:

Visa Mastercard American Express

Account# _____ Exp. Date _____

Signature _____

As it appears on your card

Please see other side for important information.

(OVER) #978-11/25/06 \$500.-

Thank you for your support!

My gift is in honor of in memory of: PEDIATRICS My employer will match my gift.
Form is enclosed.

Please notify:

Print name _____

Street address _____

City, State, Zip _____

Please contact me about:

Gifts of stock

Estate Gifts

My email address _____

My daytime phone number _____

Please write to us at our address if you wish to have your name removed from the list to receive fundraising requests supporting Saint Mary's Hospital in the future.

73 St. Mary's Hospital

09/27/2005 100213

20' 218 02/18/2005 Leever: pediatric tracking system 6,500.00 6,500.00
 LEVER Leever Foundation 6,500.00

CHECK TOTAL: \$*****6,500.00

Thomas M. Leever

Waterbury, CT 06700

Dear Ms. Evans:

I am enclosing a check in the amount of \$6,500 representing the 2005 Leever Foundation grant to St. Mary's Hospital Foundation for the development and staffing for computerized tracking system for high risk pediatric patients.

Please identify this grant in the following way in any listing of publication of donors:

The Leever Foundation

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to The Leever Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication.

The Leever Foundation is pleased to be able to assist your agency in serving the community. If you have any questions concerning the grant, please contact Carol O'Donnell at (203) 753-1315.

Sincerely,



Andrew V. Leever
 Secretary

1365

ST MARY'S HOSPITAL
 ATT RENEE WARNER/FINANCE
 56 FRANKLIN STREET
 WATERBURY, CO 06702

Entity	Vendor ID / Location	Check Number
0101	75060 001	79943

CONNECTICUT CHILDREN'S MEDICAL CENTER

Invoice Number	Invoice Date	Gross Amount	Discount Amount	Withholding Amount	Net Amount
INSE PLATE GRANT	12/28/2004	1,200.00			1,200.00

*To Be Used to Purchase
 Safety Street (cars)*

*BUS 475
 Amb 475
 Pol 425
 Traffic Light 500*

*book into
 Pediatrics Fund*

*TRAUMA -
 Safety Street
 Prog.*

*Sheila
 Stoltz*

Harriet,

*Please deposit into the foundation,
 Sheila will be using this \$ to purchase
 Safety Street cars for her program. We will cut a
 check to the hospital to reum. for the purchase.*

HH571485 1/03	TOTALS	\$1,200.00	0.00	0.00	\$1,200.00
---------------	---------------	-------------------	-------------	-------------	-------------------

CONNECTICUT CHILDREN'S MEDICAL CENTER
 ATTN: ACCOUNTS PAYABLE
 80 JEFFERSON STREET SUITE 201
 HARTFORD, CT 06108



51-57
119

Check Number
79943
FLEET BANK

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

One thousand two hundred and 00/100 Dollars

Pay to the order of: ST MARY'S HOSPITAL
 ATT RENEE WARNER/FINANCE
 56 FRANKLIN STREET
 WATERBURY, CO 06702

Date: 01/11/2005
 Payment Amount: \$1,200.00

VOID AFTER 90 DAYS

Deirdre Brown

THE BACK OF THIS DOCUMENT CONTAINS LAID LINES AND AN ARTIFICIAL WATERMARK. HOLD AT AN ANGLE TO VIEW.

⑈079943⑈ ⑆01190057⑆ 0001? 98804⑈

THE FIRST CONGREGATIONAL CHURCH OF WATERBURY

LUCY EDWINA SCHLEGEL FUND

222 West Main St, Waterbury, CT 06702-1286

Phone 203-757-0331 Fax 203-757-0333

Email: fcchurch02@adelphia.net

5/20

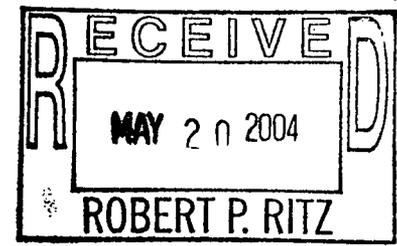
Pat
FYI - Did you
apply for TWR?
Please prepare
a thank you
note from
me.

May 13, 2004

St. Mary's Hospital
56 Franklin Street
Waterbury CT 06706

Attn: Robert Ritz, President

Re: Grant Application Request



Rob

Dear Robert:

The Schlegel Fund Committee of First Congregational Church of Waterbury met on May 11 to take action on requests for grants.

It is my pleasure to inform you of the following action:

A grant of \$2800 was approved for the purchase and installation of two Hill-Rom sleep chairs so parents can sleep comfortably in their child's room while hospitalized.

Please refer to the enclosed information sheet regarding steps to be taken in order to receive these funds.

We are happy to be able to help you better serve the community.

Sincerely,

Gloria Cotner, Chairperson

/cma

L. E. SCHLEGEL FUND
1ST CONGREGATIONAL CHURCH WTBY INC.
222 W MAIN ST.
WATERBURY, CT 06702

51-741221

2111

9002685

No

165

Date 8-12-07



Saint Mary's Hospital
Two Thousand Eight Hundred

\$ 2800.⁰⁰

AMERICAN SAVINGS

American Savings Bank
New Britain, CT 06050

Billy Oldone
Wmumb Albano

Memo *Check purchase*

+1: 211174123: 9 00268 511 0165

ESTATE OF PAULA C. BLUM
P.O. BOX 170
BRIDGEWATER, CT 06752

Aug 8 19 91

128

51-80
111

PAY TO THE ORDER OF St. Mary's Hospital \$ 10,000.00
Ten Thousand Dollars DOLLARS



**BANK OF BOSTON
CONNECTICUT**
WOODBURY OFFICE
WOODBURY, CT 06798

MEMO bequest

Cynthia Blum, exee.

⑆01100805⑆ 009 26369⑆ 0128

GUARDIAN & SAFETY
© Clarks American W

Vice President
St. Mary's Hospital Foundation
56 Franklin Street
Waterbury Ct. 06706

8/7/91

Dear Nancy:

Thank you for sending me the
Commemorative Opportunities literature.

I would like to see my mother's
bequest applied to the pediatric
department. However if that is not
possible perhaps she can receive
recognition as a benefactor on
the memorial wall.

Please let me know if this is possible.

Sincerely,

Cynthia Blum

PO 170

Budgewater, Ct 06752

Fund Statement Report Xavier 3 Nursing Staff Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
Xavier3	Xavier 3 Nursing Staff	53	\$0.00	\$0.00	\$10,075.00	\$0.00	\$10,075.00
		and Totals:	\$0.00	\$0.00	\$10,075.00	\$0.00	\$10,075.00

53 Gift(s) listed

45 Donor(s) listed

Fund Mail Report

Xavier 3 Nursing Staff Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Anonymous Waterbury, CT 06706	12/17/2003	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Julia Aviles 4 Granite St Apt 1 Waterbury, CT 06706-1469 (203) 755-2898	8/27/2008	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Tanya Beach 81 Orchard Ln Watertown, CT 06795-2410 860-274-3176 ~	6/30/2002	Xavier 3 Nursing Sta	\$0.00	\$40.00	
James G. Belury Middlebury, CT 06762	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Norman Cantin 108 Edward St Wethersfield, CT 06109-2822 8605633386 ~	2/23/2005	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Steven F. Caruso 20 Dogwood Ln Bristol, CT 06010-2526 (860) 589-6285	11/17/2006	Xavier 3 Nursing Sta	\$0.00	\$25.00	
John H. Cassidy 34B Heritage Cir Southbury, CT 06488-1467 (203) 264-4414 ~	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Sherri L. Crisenbery 46 Teal Dr Langhorne, PA 19047-8237 (215) 741-3356	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
James V. Damelio Waterbury, CT 06708-1717	2/23/2005	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Phyllis C. Deleo 85 Center St Wolcott, CT 06716-2036 (203) 879-2997 ~	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Paul J. DeMaida					

Fund Mail Report

Xavier 3 Nursing Staff Fund

Constituent Name	Date	Fund Description	Pledged Payments	Non-Pledge Gift	Reference
65 Crestview Dr Watertown, CT 06795-1814 860-274-2629 ~	6/25/2002	Xavier 3 Nursing Sta	\$0.00	\$10.00	
Eliot K. Easterbrook 135 Lorann Dr Naugatuck, CT 06770-2326 (203) 729-7430 ~	2/8/2007	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Fairfield Education Assoc. Stevenson School Knoll Road Fairfield, NJ 07004	9/30/1998	Xavier 3 Nursing Sta	\$0.00	\$35.00	
Al Foschini Hartford, CT 06106-2980 860-674-9221	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$100.00	
Michael A. Galante 463A Heritage Vlg Southbury, CT 06488-1510 203-264-6484 ~	2/19/2007	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Daniel P. Gugliotti 19 Wildemere Ave Waterbury, CT 06705-1839 (203) 753-2478 ~	2/8/2007	Xavier 3 Nursing Sta	\$0.00	\$100.00	
Frank Hackett 267A Heritage Vlg Southbury, CT 06488-1736 (203) 755-9570 ~	11/17/2006	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Elizabeth Harris 20 Loeffler Road Apt. T407 Bloomfield, CT 06002-4300	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
James H. Harrison 123 Cannon Ridge Dr Watertown, CT 06795-2460 (860) 274-0696 ~	6/28/2002	Xavier 3 Nursing Sta	\$0.00	\$500.00	
Frederick E. Hennick	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$15.00	

Fund Trail Report

Xavier 3 Nursing Staff Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Roberta B. Hurley	12/18/2006	Xavier 3 Nursing Sta	\$0.00	\$25.00	
John F. Krin 297 Valley View Rd Thomaston, CT 06787-1074 860-283-0627	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Lenkowski, Lonergan & Co. LLP 1579 Straits Tpke Ste 2D Middlebury, CT 06762-1837 (203) 574-3100	9/30/1998	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Charles Leogrande 570 Chase Ave Waterbury, CT 06704-1904 203-263-7307 ~	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Harold L. Lerman 807B Heritage Vlg Southbury, CT 06488-5303 860-267-7747	12/17/2003	Xavier 3 Nursing Sta	\$0.00	\$15.00	
Donna Lorusso 432 Como Ave Waterbury, CT 06708-3660 (203) 573-1075 ~	6/30/2002	Xavier 3 Nursing Sta	\$0.00	\$20.00	
	2/23/2005	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Harold S. Lynch	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Marano Insurance Agency, Inc. PO Box 430 Waterbury, CT 06720-0430 (203) 754-5612	7/30/1998	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Thomas Mastrianna 63 Pineridge Dr Wolcott, CT 06716-3135	11/17/2006	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Matzkin, Krug & Danen, P.C. 76 Center St Fl 3 Waterbury, CT 06702-2129 203-755-2246	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$50.00	

Fund Trail Report

Xavier 3 Nursing Staff Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Mary Anne McCormack 273 South St Middlebury, CT 06762-3502 203-758-2332 ~	12/24/2003	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Edmund McLaughlin 81 Manila St Oakville, CT 06779-1909 860-274-9793 ~	6/25/2002	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Anna Mourges	12/27/2002	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Areknaz Omartian 337 Trafon Rd Springfield, MA 01108-2642 (413) 783-9340	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Anthony J. Orsini 7 Virginia Rd Terryville, CT 06786-5500 860-589-6684 ~	6/30/2002	Xavier 3 Nursing Sta	\$0.00	\$40.00	
John L. Paige 8 Chandler Dr Prospect, CT 06712-1403 (203) 758-0134 ~	2/19/2007	Xavier 3 Nursing Sta	\$0.00	\$150.00	
Albert J. Pope	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$15.00	
Klarence Radke 2 Northwood Dr Middlebury, CT 06762-1701 203-758-9812 ~	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$10.00	
Lawrence B. Rifkin unforwardable Prospect, CT 06712 203-758-6885 ~	12/16/2003	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Mark Robison 30 Bamford Ave Oakville, CT 06779-2151 203-274-9904	7/30/1998	Xavier 3 Nursing Sta	\$0.00	\$10.00	

Fund Trail Report

Xavier 3 Nursing Staff Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
John E. Russell PO Box 576 Ball Ground, GA 30107-0576	6/27/2002	Xavier 3 Nursing Sta	\$0.00	\$25.00	
Andrew L. Samela 104 Jericho Rd Middlebury, CT 06762-2021 203-577-2228 ~	8/31/1998	Xavier 3 Nursing Sta	\$0.00	\$20.00	
Samsonite Corporation 575 West St Ste 110 Mansfield, MA 02048-1160	7/31/1998	Xavier 3 Nursing Sta	\$0.00	\$100.00	
William O. Seery Waterbury, CT 06705	9/16/2003	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	12/16/2003	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	something for nurses; frig, micro...
	3/10/2004	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	5/14/2004	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	11/28/2004	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	12/24/2004	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	9/16/2005	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
	2/8/2006	Xavier 3 Nursing Sta	\$0.00	\$1,000.00	
The Stone Construction Co., Inc. 168 Main St S Southbury, CT 06488-2299 (203) 264-6501	7/30/1998	Xavier 3 Nursing Sta	\$0.00	\$50.00	
Grand Totals:			\$0.00	\$10,075.00	

53 Gift(s) listed

45 Donor(s) listed

~ The phone is marked as 'Do not contact'

REV. WILLIAM OWEN SEERY
SS PETER AND PAUL CHURCH
67 SOUTHMAYD RD.
WATERBURY, CT 06705

51-44/119
0005115997

4219

DATE 31 Dec 2006

PAY TO THE
ORDER OF

Saint Marys Hospital

\$ 1000

One Thousand ⁰⁰/₁₀₀

DOLLARS



Security Features
Included.
Check on Back.

Bank of America



21607

Hartford, Connecticut

MEMO

Xavier Shree

The Private Bank
William O Seery

MP

⑆011900445⑆ 00051 15997⑈ 4219

REV WILLIAM OWEN SEERY
 SSN PETER AND PAUL CHURCH
 67 SOUTHMAYD RD.
 WATERBURY, CT 06705

4159

Date 1 Aug 2005 51-57/119 CT 21607

Pay to the Order of Saint Mary Hospital \$ 1000⁻
One Thousand and 60/100 Dollars

Bank of America  The Private Bank

ACH R/T 011900571

For Ssp William J Seery NP

⑆011900571⑆ 000005115997⑆ 4159

©Clarke American GUARDIAN® SAFETY BLUE WBL

Xavier 3 Nsg Staff

ty already sent

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT 06405

51-44/119
0005115997

4177

DATE 23 Nov 2004

NO DUPLICATE VALUE OF DUPLICATE SAFETY PAPER

PAY TO THE ORDER OF St Mary Hospital \$ 1000
One Thousand and 00/100 DOLLARS

Security Features
Include
Details on Back.



21607

Private Clients Group
www.fleet.com

MEMO

X3 Nsg Staff

William O Seery MP

+10119004451 00051 15997 41??

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT 06405

51-44/119
0005115997

4155

DATE 21 Sept 2004

PAY TO THE ORDER OF St Mary Hospital
One thousand and 00/100

\$ 1000

DOLLARS  Security Features Included. Details on Back.



Private Clients Group
www.fleet.com

MEMO

X-3

William O Seery MP

+10119004451: 00051 15997 4155

© DELUXE WALLET OR DUPLICATE SAFETY PAPER

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT 06405

51-44/119
0005115997

4104

DATE 10 May 2004

PAY TO THE ORDER OF Saint Mary's Hospital

\$ 1000

One Thousand and 00/100

DOLLARS  Security Features Included. Details on Back.



21607 Private Clients Group
www.fleet.com

MEMO gift Xavier 3

William O Seery NP

⑆01⑆900445⑆0005⑆15997⑆4104

REPLUSE WALLETS OR DUPLICATE SAFETY PAPER

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT 06405

51-44/119
0005115997

4079

DATE 23 Feb 04

SECURITY PAPER
OR DEBITOR WALLET OR DEBITOR

PAY TO THE
ORDER OF

St Mary's Hospital

\$ 1000

One Thousand and 00/100

DOLLARS



Security Features
Include
Details on Back



Private Clients Group
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MEMO

XAVIER S

William O Seery MP

⑆011900445⑆ 00051 15997⑈ 4079

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT. 06405

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0005115997

4040

DATE 25 Nov 2003

PAY TO THE
ORDER OF

Saint Mary Hospital

\$ 1000

One Thousand and 00/100

DOLLARS



21607

Private Clients Group
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MEMO

William O Seery

MP

⑆0⑆⑆900445⑆0005⑆⑆5997⑆⑆4040

Mary -
Use the enclosed as you
will. But do get something for
the nurse - Refrig/microwave
whatever. You need not reveal
the source of this gift - "a patient"
will suffice

ZB

Xavier 3

MEDICAL RECORDS COPY

WILLIAM OWEN SEERY
731 MAIN STREET
BRANFORD, CT 06405

51-44/119
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4001

DATE 25 Aug 03

PAY TO THE ORDER OF Saint Mary Hospital
One Skowronek and 100

\$ 1600

DOLLARS  Security Features Included. Details on Back.



21607

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MEMO gift

Rev William O Seery MP

⑆0⑆1⑆900445⑆0005⑆15997⑆400⑆


Saint Mary's
HOSPITAL
Your Regional Health Care Center
100 Franklin Street • Waterbury • Connecticut 06706

*Foundation
for X3 fund*

*Mary.
Again many thanks
To Seery*

**Fund Summary Report
Breast Center Fund**

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
breastctr	Breast Center	35	\$0.00	\$93,803.00	\$152,800.50	\$0.00	\$246,603.50

and Totals:

			\$0.00	\$93,803.00	\$152,800.50	\$0.00	\$246,603.50
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35 Gift(s) listed

20 Donor(s) listed

Fund Trail Report

Breast Center Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
American Cancer Society 825 Brook St Rocky Hill, CT 06067-3405 203-379-4700	1/18/2006	Breast Center	\$0.00	\$17,250.00	
Big Y Foods, Inc. 85 Bridge St Naugatuck, CT 06770-2812 (203) 729-4440	4/18/2011	Breast Center	\$0.00	\$5,000.00	proceeds from annual Partners of Hope Campaign to pr
Joseph Carlson 536 Hillside Ave Naugatuck, CT 06770-2726 (203) 729-1808 ~	8/10/2004	Breast Center	\$1,250.00	\$0.00	
Alphonse Clement 170 Allentown Rd Wolcott, CT 06716-1114 (203) 879-2497 ~	10/28/2008	Breast Center	\$0.00	\$30.00	
Hector G. Clement 289A Chestnut Hill Rd Millville, MA 01529-1509 (508) 883-1327	10/28/2008	Breast Center	\$0.00	\$25.00	
Nancy K. Clement 17 Country Brook Rd Prospect, CT 06712-1424 (203) 758-5242 ~	10/28/2008	Breast Center	\$0.00	\$25.00	
Robert L. Clement 132 Allyn Rd Goshen, CT 06756-1312 (203) 491-4181	10/28/2008	Breast Center	\$0.00	\$32.50	
Roger Clement 92 Teresa Dr Wolcott, CT 06716-2727 (203) 879-4022 ~	10/28/2008	Breast Center	\$0.00	\$25.00	
CT Breast Health Initiative, Inc. PO Box 566 New Britain, CT 06050-0566 (860) 827-7103	3/30/2005	Breast Center	\$6,000.00	\$0.00	for open biopsies in uninsured and underinsured women
	1/29/2006	Breast Center	\$0.00	\$5,295.00	60% of 2005 grant
	1/29/2006	Breast Center	\$1,612.00	\$0.00	for open biopsies in uninsured and underinsured women

Fund Trail Report

Breast Center Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
	2/26/2007	Breast Center	\$3,530.00	\$0.00	remainder of 2006 grant
Mary B. Devorken 1040 Banyan Rd Apt. C402 Boca Raton, FL 33432-7683	10/23/2006	Breast Center	\$0.00	\$50.00	
Robert M. Halko 309 Beardsley Rd Shelton, CT 06484-1847 (203) 929-4628 ~	1/20/2009	Breast Center	\$0.00	\$1,500.00	to be used for mammography screening
Patricia Jacques 967 Spindle Hill Rd Wolcott, CT 06716-1237 (203) 879-0784	10/28/2008	Breast Center	\$0.00	\$25.00	
The Susan G. Komen Breast Cancer 350 Church St Hartford, CT 06103-1136 (860) 728-4955 ~	3/30/2005	Breast Center	\$6,000.00	\$0.00	
	9/22/2005	Breast Center	\$6,000.00	\$0.00	to address community needs related to breast cancer
	3/30/2006	Breast Center	\$10,000.00	\$0.00	Mammograms for All
	10/30/2006	Breast Center	\$10,000.00	\$0.00	Mammograms for All
	4/25/2007	Breast Center	\$0.00	\$9,000.00	
	11/19/2007	Breast Center	\$0.00	\$9,000.00	
	4/8/2008	Breast Center	\$0.00	\$14,788.00	
	11/24/2008	Breast Center	\$0.00	\$14,787.00	
	4/22/2009	Breast Center	\$0.00	\$23,979.00	
	3/26/2010	Breast Center	\$0.00	\$23,979.00	2nd half of grant payment
	4/27/2010	Breast Center	\$24,705.50	\$0.00	for the Breast Cancer Project
	12/27/2010	Breast Center	\$24,705.50	\$0.00	for the Breast Cancer Project
	6/10/2011	Breast Center	\$0.00	\$27,055.00	
Ralph Monti 146 Allentown Rd Wolcott, CT 06716-1120 (203) 879-3617 ~	10/28/2008	Breast Center	\$0.00	\$25.00	

Fund Trail Report Breast Center Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Brian Normandin 164 Revere St Torrington, CT 06790-3447 (860) 496-9447	10/28/2008	Breast Center	\$0.00	\$32.50	
Daniel C. Pichette 12 Blueberry Hill Rd Norwich, CT 06360-1409 (860) 885-1348 ~	10/28/2008	Breast Center	\$0.00	\$15.00	
Brion Svoboda 153 Valley Dr Middletown, CT 06457-2052 (860) 346-0818 ~	10/28/2008	Breast Center	\$0.00	\$32.50	
Veneziano Catering 94 Allentown Rd Wolcott, CT 06716-1120	10/28/2008	Breast Center	\$0.00	\$25.00	
Western Connecticut Area Agency c 84 Progress Ln Fl 1 Waterbury, CT 06705-3859 (203) 757-5449	12/27/2010	Breast Center	\$0.00	\$800.00	for mammograms and pap smears for early detection of t
Jeanne Wood 138 Allentown Rd Wolcott, CT 06716-1120 (203) 879-9078 ~	10/28/2008	Breast Center	\$0.00	\$25.00	
Grand Totals:			\$93,803.00	\$152,800.50	

35 Gift(s) listed

20 Donor(s) listed

~ The phone is marked as 'Do not contact'

ORIGINAL DOCUMENT HAS A SECURITY VOID BACKGROUND PANTOGRAPH, MICROPRINTED BORDER. SEE REVERSE SIDE FOR COMPLETE SECURITY FEATURES.



CONNECTICUT AFFILIATE OF
SUSAN G. KOMEN FOR THE CURE
350 CHURCH STREET
HARTFORD, CT 06103

UBS Financial Services
860 Straits Turnpike
Middlebury, CT 06762

003136

4/14/2010

TO THE ORDER OF Saint Mary's Hospital

\$ **24,705.50

Twenty-Four Thousand Seven Hundred Five and 50/100*****

DOLLARS

Saint Mary's Hospital
95 Scovill Street
Waterbury, CT 06706

Security Features Included. Details on Back

no Grant payment

AUTHORIZED SIGNATURE

⑆044000804⑆8 2078 13747⑈ 3136

Breast Cr Fund

CONNECTICUT AFFILIATE OF
SUSAN G. KOMEN FOR THE CURE
GRANT CONTRACT

PERIOD OF GRANT: April 1, 2010 - March 31, 2011

GRANTEE: Saint Mary's Hospital

PROJECT DIRECTOR: Nancy Baranowski, APRN

BREAST CANCER PROJECT TITLE: Breast Health Awareness & Screening: What Every Women of Greater Waterbury Should Know

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, The Connecticut Affiliate of The Susan G. Komen Breast Cancer Foundation d/b/a The Connecticut Affiliate of Susan G. Komen for the Cure ("Komen Affiliate") does hereby grant Saint Mary's Hospital - Family Health Center ("Grantee") funds in the amount of \$49,411.00 ("Grant Funds" or "Grant") subject to the terms and conditions below ("Grant Contract"). This Grant Contract shall be null and void if not executed by April 30, 2010.

1. Breast Cancer Project:
 - A. Unless otherwise stated herein, the breast cancer project ("Breast Cancer Project"), which is the subject of this Grant Contract, shall be implemented as described in Grantee's grant application to Komen Affiliate ("the Grant Application"). A copy of the Grant Application is attached hereto as Exhibit "A" and made a part hereof for all purposes. To the extent that the terms of this Grant Contract conflict with the terms of Exhibit "A," the terms of this Grant Contract shall prevail.
 - B. The Grant Funds shall be used exclusively as set forth in the budget ("Budget") in the Grant Application. Notwithstanding the above and the provisions of Section 1.D, Grantee, at its discretion and without a formal request, may move up to five percent of total Grant Funds across budget categories to implement the Breast Cancer Project. However, Grant Funds may not be moved across categories, if the result exceeds any maximum allowable cost set for a budget line item, such as equipment or indirect costs. Any such changes exceeding these limits require prior written approval by the Komen Affiliate.

- C. Komen Affiliate shall receive copies of all surveys and tools, methodologies, studies, evaluations, presentations, training and educational materials, reports, articles and other publications and materials created in connection with the Breast Cancer Project (collectively, the "Materials") at no charge. Grantee grants Komen Affiliate, the Foundation (as such term is defined below) and the Foundation's affiliates a limited, non-exclusive license to use such Materials for their own non-commercial purposes.
- D. Komen Affiliate shall be notified at least 30 days in advance in writing and must give prior written approval for any proposed changes to the design, key personnel, Budget, content, goals, objectives or timeline of the Breast Cancer Project.

2. Grant Payments and Remittance of Unspent Grant Funds:

- A. Grant Funds shall be payable in two equal installments of \$24,705.50 each. The first payment shall be made to Grantee upon receipt by Komen Affiliate of this Grant Contract fully executed, and the second payment shall be made to Grantee after Komen Affiliate's receipt of timely Progress Report (as such term is defined below) that contain the required or satisfactory information, as determined by Komen Affiliate in its sole discretion. Notwithstanding the above, Komen Affiliate may require Grantee to deplete the current installment of the Grant Funds prior to receiving the payment of the next installment of the Grant Funds.
- B. Within 45 days after the expiration or early termination of this Grant Contract, Grantee shall remit to Komen Affiliate all unspent Grant Funds.

3. Reports/Submission Items and Right to Audit:

- A. A progress report substantially in the form attached hereto as Exhibit "B" ("Progress Report") shall be due on October 31, 2010, covering the first six months of activity, and shall include, but not be limited to, the following information: a reasonably-detailed accounting of Grant Funds spent to date, with a detailed explanation of any variances in the Budget; progress made toward meeting objectives outlined in the Grant Application; number of people served; notice or receipt of other sources of support for the Breast Cancer Project; copies or examples of all Materials produced as a result of the Breast Cancer Project; up to date documentation of Komen Affiliate acknowledgments; a listing of articles submitted for publication and the status of those articles; presentations made regarding the Breast Cancer Project; and signed affirmation from the authorized signatory of Grantee that the report has been reviewed and approved.
- B. Within 45 days following the expiration or early termination of the Grant, a final report, substantially in the form attached hereto as "Exhibit C" (the "Final Report") shall be due. In addition to the information referenced in Section 3.A, the Final Report must include an evaluation of the impact of Komen Affiliate's sponsorship of the Breast Cancer Project.
- C. Any and all surveys or other items submitted by Komen Affiliate to Grantee for completion regarding this Grant must be completed and returned to Komen Affiliate no more than 45 days following the expiration or early termination of the Grant.
- D. Grantee agrees to maintain accurate and complete records of the expenditure of the Grant Funds and agrees that Komen Affiliate may conduct an audit of such records at any time reasonably requested by Komen Affiliate.
- E. The reports provided for herein will not be considered confidential, and Komen Affiliate may contract with third parties to assist in the review and evaluation of such reports. Komen Affiliate shall not be responsible for any damages resulting from the disclosure of the content of such reports to third parties.

4. Acknowledgments and Licensed Mark:

- A. As a sponsor of the Breast Cancer Project, Komen Affiliate shall be given high visibility at all symposia, conferences and other presentations or events and recognition in all printed and electronic Materials created in connection with the Breast Cancer Project.
- B. Komen Affiliate has been granted by The Susan G. Komen Breast Cancer Foundation, Inc. d/b/a Susan G. Komen for the Cure (the "Foundation") a non-exclusive license to use the Connecticut Affiliate of Susan G. Komen for the Cure® name in conjunction with the signature logo (the "Licensed Mark"). Komen Affiliate grants Grantee a limited, non-exclusive sublicense to use the Licensed Mark solely to acknowledge Komen Affiliate's Grant hereunder. Komen Affiliate will provide Grantee with camera-ready artwork of said Licensed Mark. Grantee will present to Komen Affiliate for its approval prior to printing, distribution, publication, display or use any and all materials created by Grantee, its agents or spokespersons, which use or refer to the Licensed Mark. It is agreed and understood that Foundation retains all right, title and interest in and to the Licensed Mark, which shall remain the exclusive property of Foundation. Grantee is prohibited from transferring, sublicensing or assigning its rights to use the Licensed Mark.
- C. Komen Affiliate may release information regarding this Grant and the Breast Cancer Project to the general public and news media. Grantee grants to Komen Affiliate a non-exclusive license to include Grantee's name in information pertaining to the Grant that is released to the public.

5. Term and Early Termination of Grant:

- A. This Grant Contract shall be effective as of the date hereof and shall terminate on March 31, 2011, except that the provisions of Sections 1.C, 2.B, 3.B, 3.C, 3.D, 3.E, 4, 5, 6, 7, 8, 9, 11, 12, 14, 15, 16 and 18 shall forever survive termination.
- B. If either party should fail to perform or be in breach of any of the terms, conditions, agreements, covenants, representations or warranties contained in this Grant Contract, or anticipatorily breach this Grant Contract, and such default is not curable, or if such default is curable but remains uncured for a period of 30 days after written notice thereof has been given to the defaulting party, the other party, at its sole election, may immediately terminate this Grant Contract by written notice thereof to the defaulting party. In the event of an early

termination under this Section 5.B due to a breach by Grantee, Grantee shall provide Komen Affiliate with the Final Report and/or surveys required under Sections 3.B and 3.C, respectively, (with information available as of the termination date) and reimburse Komen Affiliate all unspent funds granted hereunder as of the termination date.

C. Notwithstanding the provisions of Section 5.B, Komen Affiliate may terminate this Grant Contract immediately due to the occurrence of any one or more of the following events:

- (i) Komen Affiliate does not approve any of the Breast Cancer Project changes, as required by Section 1.D above.
- (ii) Komen Affiliate has a reasonable good faith basis to believe that there has been financial or administrative impropriety or fraud committed by Grantee.
- (iii) Grantee loses or changes its Internal Revenue Service tax exempt status.
- (iv) Grantee is debarred from the receipt of federal or state funding.
- (v) The Breast Cancer Project is not conducted in conformance with applicable laws, or any approvals, licenses or certifications required to conduct the Breast Cancer Project are not obtained or are suspended or revoked.
- (vi) Grantee commits a willful breach of this Agreement or an act of gross negligence or willful misconduct in connection with the Breast Cancer Project.

In the event of an early termination under this Section 5.C, Komen Affiliate shall have no further obligation to provide funding hereunder, and Grantee immediately shall (i) provide Komen Affiliate with the Final Report and/or surveys required under Sections 3.B and 3.C, respectively, which shall include all information available as of the termination date; (ii) reimburse Komen Affiliate for the full amount of funds granted hereunder that have been expended in connection with and subsequent to any of the above occurrences; and (iii) immediately refund all unspent funds as of the termination date.

D. Notwithstanding the provisions of Section 5.B and 5.C, Komen Affiliate may terminate the Agreement immediately and receive full reimbursement of the funds granted hereunder in the event Komen Affiliate does not receive the Final Report and/or surveys required under Section 3.B and 3.C, respectively, when due and/or such report and/or survey(s) do not contain the required or satisfactory information, as determined by Komen Affiliate in its sole discretion.

- E. The provisions of this Section 5 shall not preclude Komen Affiliate from seeking any other remedies that may be available under this Agreement and applicable law.
6. Non-Guarantee of Additional Support: This Grant is accepted by Grantee with the understanding that Komen Affiliate is not obligated to provide any additional financial support, or other support, to Grantee, its agents or spokespersons, in connection with the Grant, the Grant Contract, the Breast Cancer Project or for any other reason.
7. Representations, Warranties, Covenants and Certifications:
- A. Grantee Representations, Warranties, Covenants and Certifications:
- (i) Grantee represents and warrants that the Breast Cancer Project complies with and will continue to comply with all applicable laws, statutes, rules and regulations, as amended from time to time, including but not limited to the Health Insurance Portability & Accountability Act of 1996, and all applicable anti-terrorist financing and asset control laws, statutes and executive orders, including but not limited to the USA Patriot Act and Executive Order No. 13224.
 - (ii) Grantee represents and warrants that it has not accepted any duplicative funding for the Breast Cancer Project. Grantee shall provide Komen Affiliate notice of all sources of additional funding for the Breast Cancer Project. In the event the additional funding may be duplicative of the funding provided by Komen Affiliate, then Grantee shall notify Komen Affiliate and Komen Affiliate at its sole discretion shall determine whether Grantee shall refuse the duplicative funding or accept the new funding and reimburse all duplicative funds granted hereunder to Komen Affiliate.
 - (iii) Grantee represents that it has the authority to grant the license to the Materials as set forth in Section 1.C. No Materials to be delivered to Komen Affiliate, nor any element thereof, violate or will violate the right of privacy or publicity, or defame or violate any copyright, trademark or service mark or any common law or other right of any third-party.

- (iv) Grantee represents and warrants that it is a non profit and tax exempt organization under the Internal Revenue Code and shall continue to qualify as such throughout the term of this Agreement.
- (v) Grantee is a Connecticut corporation validly existing and in good standing under the laws of the State of Connecticut and in all other jurisdictions in which it conducts its business and has all requisite power and authority to carry on its business as now conducted.
- (vi) None of the execution and delivery of this Agreement by Grantee, the consummation of the transactions contemplated hereby or compliance by Grantee with any of the provisions hereof conflict with, or result in any violation of or default under (with or without notice, the lapse of time or both) or give rise to a right of termination or cancellation under any provision of: (i) the certificate of formation of Grantee; (ii) any contract or permit to which Grantee is a party or (iii) any applicable law or any order of any governmental body.

B. Mutual Representations and Warranties:

- (i) Each party represents and warrants that it has all the requisite power and authority to execute, deliver and perform this Agreement and consummate the transactions contemplated hereby. The execution, delivery and performance of this Agreement and the consummation of the transactions contemplated hereby have been duly authorized and approved by all required action on the part of such party. This Agreement has been duly and validly executed and delivered by each party and constitutes the legal, valid and binding obligation of such party, enforceable against it in accordance with its terms.
- (ii) Each of the signatories to this Agreement represents and warrants in his or her capacity as an authorized signatory of such party and not individually that he or she has the capacity and has been duly authorized to execute this Agreement on behalf of the entity so indicated and that no additional authorization or approval is required.

8. Non-endorsement: It is expressly agreed and understood by the parties hereto that the Grant shall not constitute an endorsement by Komen Affiliate of any entity, organization, company or individual, nor the products, actions, behavior or conduct of any entity, organization, company or individual, and any negligent or intentional misrepresentation

by Grantee to the contrary, in any context and in any forum, shall constitute a material breach of this Agreement, and the same shall be grounds for immediate termination of this Agreement by Komen Affiliate. In the event of any such misrepresentation, Komen Affiliate may require Grantee to publicly acknowledge the misrepresentation in a like forum in which the misrepresentation was made. It is agreed that in the event of a breach of this provision, damages may not be an adequate remedy, and Komen Affiliate shall be entitled to whatever other remedies are available under applicable law.

9. Governmental Compliance: Grantee will cooperate with Komen Affiliate in supplying additional information to Komen Affiliate, or in complying with any procedures which might be required by any governmental agency in order for Komen Affiliate to establish that it has observed all requirements of the law with respect to this Grant.
10. Assignment: This Grant Contract is entered into by Komen Affiliate in reliance of the qualifications of Grantee and shall not be assigned by Grantee, voluntarily, involuntarily, directly or indirectly, by change of control, merger, operation of law or otherwise, without Komen Affiliate's prior written consent.
11. Indemnity and Insurance:
 - A. As between the parties to this Grant Contract, Grantee is solely responsible for any liabilities that may arise in connection with the Breast Cancer Project. To the extent not prohibited under the state and local laws which govern Grantee, such party agrees to indemnify and hold Komen Affiliate harmless from and against any and all costs, losses or expenses, including reasonable attorneys' fees, that Komen Affiliate may incur by reason of Grantee's negligence or misconduct, omission or breach of any of the provisions of this Grant Contract or by reason of any third-party claim or suit arising out of or in connection with Grantee's performance or failure to perform pursuant to this Grant Contract.
 - B. Grantee agrees to maintain the following insurance during the term of this Grant Contract: (i) commercial general liability insurance with combined limits of not less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate for bodily injury, including death, and property damage; and (ii) excess/umbrella insurance, excess to the insurance set forth in (i) above, with a limit of not less than \$5,000,000. Grantee shall name Komen Affiliate as an additional insured under its commercial general liability insurance policy solely with respect to the Breast Cancer Project and any additional policies and riders entered into by Grantee in connection with the Breast Cancer Project.

12. Dispute Resolution: In the event of any dispute arising out of this Grant Contract, the parties shall use good faith efforts to resolve their differences amicably. In the event they are unsuccessful, the parties agree not to commence litigation until attempting to resolve their dispute through mediation. Either party may initiate the mediation process with 30 days' prior written notice to the other party. The dispute shall be submitted to mediation in Hartford, Connecticut. Costs of mediation shall be borne equally by the parties. Mediation of the dispute shall be completed within 15 days of commencement, unless the parties extend the time by mutual agreement or unless the mediator declares the parties to be at an impasse. Notwithstanding the above, in the event that Komen Affiliate believes that immediate injunctive relief is required due to a violation of law or to protect the Licensed Mark, Komen Affiliate may invoke immediate powers of the appropriate court of law without the requirement to first mediate the dispute.

13. Entire Agreement: This Grant Contract supersedes any prior understandings or oral agreements between the parties regarding the subject matter hereof and constitutes the entire understanding and agreement between the parties with respect to the subject matter hereof, and there are no agreements, understandings, representations or warranties between the parties with respect to the subject matter hereof other than those set forth herein.

14. Governing Law and Venue: This Grant Contract shall be governed by and construed in accordance with the laws of the State of Connecticut. Any dispute arising out of or in connection with this Agreement that is not resolved under Section 12 shall be filed and heard in the state or federal courts of Connecticut, and the parties consent to the exclusive jurisdiction of such courts.

15. Notice: Any notice by a party under this Agreement shall be in writing and either personally delivered, delivered by facsimile or sent via reputable overnight courier (such as Federal Express) or certified mail, postage prepaid and return receipt requested, addressed to the other party at the address specified in the preamble or such other address of which either party may from time to time notify the other.

All notices to Grantee shall be sent to:

Nancy Baranowski, APRN
Saint Mary's Hospital - Family Health Center
95 Scovill Street, Waterbury, CT 06706
Facsimile: (203) 709 - 3876

Email: nbaranowski@stnh.org

All notices to Komen Affiliate shall be sent to:

Anne Morris, Executive Director
Connecticut Affiliate of Susan G. Komen for the Cure
350 Church Street, Hartford, CT 06103
Facsimile: (860) 728 - 1500
Email: anne@komenct.org

16. No Partnership: The nature of this Grant Contract is a funding agreement, and no employment, partnership, joint venture or agency relationship is created.
17. Severability: If any provision of this Grant Contract shall be held to be invalid, illegal, unenforceable or in conflict with the law of any applicable institution, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
18. No Waiver: Failure of Komen Affiliate to enforce its rights under this Grant Contract shall not constitute a waiver of such rights.
19. Counterparts: This Grant Contract may be executed simultaneously in one or more counterparts. Each counterpart will be considered a valid and binding original. Once signed, any reproduction of this Grant Contract made by reliable means (e.g., photocopy, facsimile) shall be considered an original.

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AGREED TO AND ACCEPTED BY:

Connecticut Affiliate of Susan G. Komen Breast Cancer Foundation d/b/a
Connecticut Affiliate of Susan G. Komen for the Cure

By: _____

Name: Anne Morris

Title: Executive Director

Saint Mary's Hospital

By: 

Name: Chad W. Wable, FACHE

Title: President and Chief Executive Officer

Date: 4/15/10

Attachments to Grant Contract:

EXHIBIT A: Grant Application

EXHIBIT B: Form of Progress Report

EXHIBIT C: Form of Final Report

GRANT CONTRACT

THE CONNECTICUT AFFILIATE OF
SUSAN G. KOMEN FOR THE CURE

GRANT CONTRACT

PERIOD OF GRANT: April 1, 2008-March 31, 2009

GRANTEE: Saint Mary's Hospital Inc

PROJECT DIRECTOR: Nancy Barranowski

BREAST CANCER PROJECT TITLE: Education, Outreach and
Mammograms for Special Woman

*The Connecticut Affiliate of Susan G. Komen for the Cure, Inc. d/b/a
The Connecticut Affiliate of Susan G. Komen for the Cure ("Komen
Affiliate") does hereby grant ("Grantee") funds in the amount of
\$29,575 ("Grant Funds" or "Grant") subject to the following terms and
conditions ("Grant Contract"):*

1. Breast Cancer Project:

- A. Unless otherwise stated herein, the breast cancer project ("Breast Cancer Project"), which is the subject of this Grant Contract, shall be implemented as described in Grantee's grant application to Komen Affiliate ("the Grant Application"). Additionally, the Grant Funds shall be expended as set forth in the budget ("Budget") in the Grant Application. A copy of the Grant Application is attached hereto as Exhibit "A" and made a part hereof for all purposes. To the extent that the terms of this Grant Contract conflict with the terms of Exhibit "A," the terms of this Grant Contract shall prevail.

Budget Item 2.

BUDGET JUSTIFICATION

PERSONNEL

24 Extra Hours over a 12 month period for Outreach Educator \$445
24 hrs X \$15.09=\$361 \$361 X 22%=\$84

MATERIALS

Patient Literature
4 Breast Health Brochures for 500 women @ .50 \$1,000

EQUIPMENT

Cyra Com International Language Line
usage fee \$100 a month @12 months \$1,200

Exam Models-2 black models \$630

TRAVEL /CONFERENCE

\$2,195 for registration for Breast Health Navigator Certification
\$435 for lodging and \$870 for travel \$3,500

PATIENT CARE COSTS

Mammograms (film and reading)
100 X \$125. \$12,500

Diagnostic procedures-estimate
based on the number of women served
and past history (diagnostic
& cost of procedures depends
diagnostic mammograms, ultrasounds and
additional procedures required
needle core and stereotactic biopsies
and surgical consultations) \$8,500

Cab Passes for diagnostic testing visits to Southbury
20 round trips to Southbury \$37.50+tip=\$40.00 \$800

Incentives for women receiving mammograms \$1,000

TOTAL COST \$29,575
Saint Mary's Hospital



The Susan G. Komen
Breast Cancer Foundation

THE CONNECTICUT AFFILIATE OF THE
SUSAN G. KOMEN BREAST CANCER FOUNDATION, INC.

GRANT CONTRACT

PERIOD OF GRANT: April 1, 2006 to March 31, 2007

GRANTEE: St. Mary's Hospital

PROJECT DIRECTOR: Nancy Baranowski

PROJECT TITLE: "Mammograms for All"

The Connecticut Affiliate of the Susan G. Komen Breast Cancer Foundation, Inc. ("Komen Affiliate") does hereby grant St. Mary's Hospital ("Grantee") funds in the amount of \$20,000 ("Grant Funds" or "Grant") subject to the following terms and conditions ("Grant Contract"):

1. Use of Grant Funds:

- A. Grant Funds are to be applied as set forth in the budget ("Budget") in Grantee's grant application to Komen Affiliate ("Grant Application"). A copy of the Grant Application is attached hereto as Exhibit "A" and made a part hereof for all purposes. To the extent that the terms of this Grant Contract conflict with the terms of Exhibit "A," the terms of this Grant Contract shall prevail.

- B. Grant Funds must be expended as set forth in the Budget, and no changes or modifications to the Budget or to the breast cancer project as described in the Grant Application ("Breast Cancer Project") may be made without the prior written approval of Komen Affiliate.
- C. Komen Affiliate shall receive copies of all materials created in connection with the Breast Cancer Project at no charge. Grantee grants Komen Affiliate and its affiliates a limited, non-exclusive license to use such materials for their own non-commercial purposes.

2. Termination and Early Termination of Grant:

- A. This Grant Contract shall be effective ~~as~~ ^{of} of the date hereof and shall terminate on ~~March 31, 2006~~ except that the provisions of Sections 5, 6, 7, 8, 9, 10, 12, and 13 shall forever survive termination.
- B. If either party should fail to perform or be in breach of any of the terms, conditions, agreements, covenants, representations or warranties contained in this Grant Contract, or anticipatorily breach this Agreement, and such default is not curable, or if such default is curable but remains uncured for a period of 30 days after written notice thereof has been given to the defaulting party, the other party, at its sole election, may immediately terminate this Grant Contract by written notice thereof to the defaulting party. The provisions of this Section 2 will not preclude the parties from seeking any other remedies that may be available under this Grant Contract and applicable law.

3. Reports/Submission Items: Grantee shall submit to Komen Affiliate the following:

- A. Progress reports every six months during the Grant period. Reports will be due on «Due date» using the outline attached to the Grant Contract as Exhibit "B" and made a part hereof for all purposes. Reports shall include, but not be limited to, the following information: progress made toward meeting objectives outlined in Grant Application, number of people served, notice or receipt of other sources of support for this Project, copies or examples of any materials produced as a result of this project, documentation of acknowledgment, a listing of articles submitted for publication and the status of those articles, and presentations made at scientific conferences regarding the project;
- B. Within 45 days following the expiration date of the Grant, a Final Report, using the outline attached to this Grant Contract as Exhibit "C" and made a part hereof for all purposes. The Final Report must include an accounting of the Grant Funds expended during the term of this Grant Contract;
- C. Any and all surveys submitted by Komen Affiliate to Grantee for completion regarding this Grant.
- D. In the event that Grantee does not provide Komen Affiliate with the above-referenced reports and surveys in a timely manner, Komen shall be entitled to a full reimbursement of the funds granted hereunder.

4. Grant Payments: Grant Funds shall be payable in two equal installments of \$10,000 each. The first payment shall be made to Grantee upon receipt by Komen Affiliate of this Grant Contract executed by both parties, and the second payment shall be made to Grantee after Komen's receipt of a satisfactory six-month progress report.

5. Licensed Marks: Komen Affiliate has been granted by the Susan G. Komen Breast Cancer Foundation, Inc. (the "Foundation") a non-exclusive license to use the Susan G. Komen Breast Cancer Foundation name and cameo logo (the "Licensed Marks") in connection with its affiliation with the Foundation. Komen Affiliate grants Grantee a limited, non-exclusive sub-license to use the Licensed

Marks solely to acknowledge Komen Affiliate's Grant hereunder. Komen Affiliate will provide Grantee with camera-ready artwork of said Licensed Marks. It is agreed and understood that Foundation retains all right, title and interest in and to the Licensed Marks, both of which shall remain the exclusive property of Foundation. Grantee is prohibited from transferring, sublicensing or assigning its rights to use the Licensed Marks.

6. Publications:

- A. Komen Affiliate may release information regarding this Grant to the general public and news media. Grantee grants to Komen Affiliate a non-exclusive license to include Grantee's name in information pertaining to the Grant that is released to the public.
- B. Grantee may release information regarding this Grant to the public and news media, upon the following terms and conditions:
 - (1) Grantee must acknowledge Komen Affiliate as a funding source on all publications related to this Grant in clear, unambiguous and readily-identifiable fashion, such as "supported by a grant from the Connecticut Affiliate of the Susan G. Komen Breast Cancer Foundation, Inc." Such acknowledgment must be commensurate with the acknowledgment provided to other grantors providing similar grants.
 - (2) Grantee will present to Komen Affiliate for its approval, prior to printing, distribution, publication, display, or use, any and all promotional materials, publications, articles, pictures, press releases and scripts of all statements, oral or written, to be made by Grantee, its agents or spokespersons, which use or refer to any Licensed Marks. Such materials or statements must state that the Licensed Marks are registered trademarks of the Foundation and must be of a high quality consistent with Komen Affiliate's outstanding public image;

- (3) Copies of all other news releases, articles, pictures and any and all other published material, which may develop in connection with this Breast Cancer Project or this Grant must be furnished to Komen Affiliate by Grantee prior to publication, or as soon thereafter as practicable.

7. Non-Guarantee of Additional Support: This Grant is accepted by Grantee with the understanding that Komen Affiliate is not obligated to provide any additional financial support, or other support, to Grantee, its agents or spokespersons, in connection with the Grant, the Grant Contract, the Breast Cancer Project or for any other reason.

8. Grantee Representations and Warranties: Grantee represents and warrants that it a tax-exempt organization under the Internal Revenue Code. Grantee further represents that it has not and shall not receive any duplicative funding in connection with the Breast Cancer Project. In the event that Grantee breaches its representations and warranties under this Agreement, Grantee acknowledges and agrees that this Agreement may be terminated early under Section 2 and the funds granted hereunder subject to full reimbursement.

9. Non-endorsement: It is expressly agreed and understood by the parties hereto that Komen Affiliate's grant hereunder shall not constitute an endorsement by Komen Affiliate or the Foundation of any entity, organization, company or individual, nor the products, actions, behavior or conduct of any entity, organization, company or individual and any negligent or intentional misrepresentation by Grantee to the contrary, in any context and in any forum, shall constitute a material breach of this Agreement, and the same shall be grounds for immediate termination of this Agreement by Komen Affiliate. In the event of any such misrepresentation, Komen Affiliate may require Grantee to publicly acknowledge the misrepresentation in a like forum in which the misrepresentation was made. It is agreed that in the event of a breach of this provision, damages may not be an adequate remedy, and Komen Affiliate shall be entitled to whatever other remedies are available under applicable law.

10. Governmental Compliance: Grantee will cooperate with Komen Affiliate in supplying additional information to Komen Affiliate, or in complying with any procedures which might be required by any governmental agency in order for Komen Affiliate to establish that it has observed all requirements of the law with respect to this Grant.

11. Authority: All persons and individuals executing this Grant Contract certify and warrant that they have the capacity and have been duly authorized to execute this Grant Contract on behalf of the entities so indicated and that no additional authorization or approval is required.

12. Indemnity: Grantee acknowledges that it is solely responsible for any liabilities that may arise in connection with the Breast Cancer Project. To the extent not prohibited under the state and local laws which govern each party, such party agrees to indemnify and hold the other harmless from and against any and all costs, losses or expenses, including reasonable attorneys' fees, that the other party may incur by reason of the indemnifying party's negligence or misconduct or by reason of any third-party claim or suit arising out of or in connection with the indemnifying party's performance or failure to perform pursuant to this Grant Contract.

13. Arbitration: The parties will arbitrate any dispute, claim or controversy relating to or arising out of this Grant Contract. Any party may initiate arbitration by giving written notice to the other party of an intention to arbitrate and by filing with the regional office of the American Arbitration Association located in Hartford three copies of such notice and three copies of the Grant Contract together with the appropriate filing fee. Such notice shall contain a statement setting forth the nature of the dispute and the remedies sought. The arbitration shall be conducted in Hartford, CT in accordance with the rules of the American Arbitration Association in effect at the time the notice to arbitrate is served. The arbitrator's decision will be final and binding on the parties. The parties acknowledge and agree that, notwithstanding the provisions of this section, nothing in this Grant Contract shall be construed to require Komen Affiliate (or the Foundation) to arbitrate any claim arising out of the provisions of Section 5 and Section 9, which shall be enforceable by any court of competent jurisdiction.

14. Entire Agreement: This Grant Contract supersedes any prior understandings or oral agreements between the parties regarding the subject matter hereof and constitutes the entire understanding and agreement between the parties with respect to the subject matter hereof, and there are no agreements, understandings, representations or warranties among the parties other than those set forth herein.

15. Governing Law: This Grant Contract shall be governed by and construed in accordance with the laws of the State of Connecticut.

This Grant is expressly conditional upon Grantee's acceptance of the terms and conditions set forth above. The signature on this Grant Contract by an authorized representative of Grantee represents Grantee's acceptance of this award and agreement to comply with all of the above terms and conditions.

AGREED TO AND ACCEPTED BY:

The Connecticut Affiliate of
The Susan G. Komen Breast Cancer Foundation, Inc.

Pauline Kezer
Pauline Kezer
Affiliate President

March 9, 2006
Date

«Grantee Organization»

Robert P. Ritz
(Signature)

3/10/06
Date

Name (typed): Robert P Ritz

Title: President & CEO

Attachments to grant contract:

- EXHIBIT A: Grant Application
- EXHIBIT B: Outline for Progress Report
- EXHIBIT C: Outline for Final Report

Connecticut Breast Health Initiative, Inc.**GRANT CONTRACT****GRANTEE: Saint Mary's Hospital Corporation****PERIOD OF GRANT: January 1, 2005 through December 31, 2005****PROJECT TITLE: Funding for (Excisional) Open Biopsies in Uninsured and Underinsured Women in Saint Mary's Connecticut Breast Health and Cervical Cancer Early Detection Program****PROJECT DIRECTOR: Robert P. Ritz and Patricia Evans**

In connection with the Application dated September 29, 2004 by Grantee for the award of funds ("Grantee Application"), The Connecticut Breast Health Initiative, Inc. (CT BHI) does hereby grant Saint Mary's Hospital Corporation ("Grantee") funds in the amount of \$10,000.00 subject to the following terms and conditions ("Grant Contract"):

1. Use of Grant Funds:

- A. Grant Funds are to be utilized strictly in conformance with the budget ("Budget") included in the Grantee's Application. To the extent that the terms of this Grant Contract conflict with the terms of the Grantee's Application, the terms of this Grant Contract shall prevail.
- B. Grant Funds must be expended as set forth in the Budget, and no changes or modifications to the Budget or to the breast cancer project as described in the Grant Application ("Breast Cancer Project") may be made without the prior written approval of the CT BHI.
- C. Grantee shall provide CT BHI with copies of all materials created in connection with the Breast Cancer Project at no charge. Grantee grants CT BHI a limited, non-exclusive license to use such materials for its own non-commercial purposes.
- D. Unless otherwise specifically consented to in writing by CT BHI, the funds granted hereunder are not to be used for any purpose other than those stated in the Grantee Application.

2. Expiration and Termination of Grant:

- A. This Grant Contract shall be effective as of the date hereof and shall terminate on December 31, 2005, except that the provisions of Sections 5, 6, 7, 8, 9, 10, 12, and 13 shall forever survive termination of this contract.
- B. If Grantee should fail to perform or be in breach of any of the terms, conditions, agreements, covenants, representations or warranties

contained in this Grant Contract, or anticipatorily breach this Agreement, and such default is not curable, or if such default is curable but remains uncured for a period of 30 days after written notice thereof has been given to the Grantee, the CT BHI, at its sole election, may immediately terminate this Grant Contract by written notice thereof to Grantee and CT BHI shall have no further obligation to Grantee. The provisions of this Section 2 will not preclude the parties from seeking any other remedies that may be available under this Grant Contract and applicable law.

3. Reports/Submission Items: Grantee shall submit to CT BHI the following:
 - A. Written progress reports every six months during the Grant period. Reports will be due on June 15, 2005 using the outline that will be sent in May of 2005. Reports shall include, but not be limited to, the following information: progress made toward meeting objectives outlined in Grant Application, number of people served, receipt of other sources of support for this Project, (date, amount, donor) copies or examples of any materials produced as a result of this Project, documentation of acknowledgment, a listing of articles submitted for publication regarding the Project, and the status of those articles, and presentations made at scientific conferences regarding the Project;
 - B. Within 45 days following the expiration date of the Grant, a Final Report, using the outline attached to this Grant Contract as Exhibit "C" and made a part hereof. The Final Report must include an accounting of the Grant Funds expended during the term of this Grant Contract;
 - C. Any and all surveys submitted by CT BHI to Grantee for completion regarding this Grant.
 - D. In the event that Grantee does not provide CT BHI with the above-referenced reports and surveys in a timely manner, CT BHI shall be entitled to a full reimbursement of the funds granted hereunder.
4. Grant Payments: Grant Funds shall be payable in two installments. The first installment of 60% of total amount funded is included with this contract. The second payment of 40% of total amount funded shall be made to Grantee after receipt of the first six-month Progress Report, satisfaction to CT BHI on its sole discretion.
5. Logos and Name: CT BHI grants Grantee a limited, non-exclusive sub-license to use the Logos and Name solely to acknowledge CT BHI hereunder. CT BHI will provide Grantee with camera-ready artwork of said Logos and Name upon request, which must be returned to CT BHI upon completion of the Breast Cancer Project or upon termination of this grant Contract, whichever occurs first. It is agreed and understood that CT BHI retains all right, title and interest in and to the Logos and Name, both of which shall remain the exclusive property of CT BHI.

Grantee is prohibited from transferring, sublicensing or assigning its rights to use the Logos and Name.

6. Publications:

- A. CT BHI may release information regarding this Grant to the general public and news media. Grantee hereby consents thereto and grants to CT BHI a non-exclusive license to include Grantee's name in information pertaining to the Grant that is released to the public.
- B. Grantee may release information regarding this Grant to the public and news media, upon the following terms and conditions:
 - (1) Grantee must acknowledge CT BHI as a funding source on all publications, announcements, and dissemination of information related to this Grant whether oral or in writing, in clear, unambiguous and readily-identifiable fashion, such as "supported by a grant from the CT Breast Health Initiative, Inc.". Such acknowledgment must be commensurate with the acknowledgment provided to other grantors providing similar grants.
 - (2) Grantee will present to CT BHI for its approval, prior to printing, distribution, publication, display, or use, any and all promotional materials, publications, articles, pictures, press releases and scripts of all statements, oral or written, to be made by Grantee, its agents or spokespersons, which use or refer to any Logos and Name.
 - (3) Copies of all other news releases, articles, pictures and any and all other published material, which may develop in connection with this Breast Cancer Project or this Grant must be furnished to CT BHI by Grantee prior to publication, or as soon thereafter as practicable.

7. Non-Guarantee of Additional Support: This Grant is accepted by Grantee with the understanding that CT BHI is not obligated to provide any additional financial support, or other support, to Grantee, its agents or spokespersons, in connection with the grant, the Grant Contract, the Breast Cancer Project or for any other reason.

8. Grantee Representations and Warranties: Grantee represents and warrants that it is a tax-exempt organization under the Internal Revenue Code. Grantee further represents that it has not and shall not receive any duplicative funding in connection with the Breast Cancer Project. In the event that Grantee breaches its representations and warranties under this Agreement, Grantee acknowledges and agrees that this Agreement may be terminated and Grantee shall be required to return to CT BHI all funds received by Grantee under this Agreement and the funds granted hereunder subject to full reimbursement.

9. Non-endorsement: It is expressly agreed and understood by the parties hereto that CT BHI's grant hereunder shall not constitute an endorsement by CT BHI of any entity, organization, company or individual, nor the products, actions, behavior or conduct of any entity, organization, company or individual and any negligent or intentional misrepresentation by Grantee to the contrary, in any context and in any forum, shall constitute a material breach of this Agreement, and the same shall be grounds for immediate termination of this Agreement by CT BHI. In the event of any such representation, CT BHI may require Grantee to publicly acknowledge the misrepresentation in a like forum in which the misrepresentation was made. It is agreed that in the event of a breach of this provision, damages may not be an adequate remedy, and CT BHI shall be entitled to injunctive relief to restrain any such breach, threatened or actual, with no minimal surety bond.
10. Governmental Compliances: Grantee will cooperate with CT BHI in supplying additional information to CT BHI, or in complying with any procedures which might be required by any governmental agency in order for CT BHI to establish that it has observed all legal requirements with respect to this Grant.
11. Authority: All persons and individuals executing this Grant Contract certify and warrant that they have the capacity and have been duly authorized to execute this grant Contract on behalf of the entities so indicated and that no additional authorization or approval is required.
12. Indemnity: Grantee acknowledges that it is solely responsible for any liabilities that may arise in connection with the Breast Cancer Project. Each party agrees to indemnify and hold the other harmless from and against any and all costs, losses or expenses, including reasonable attorneys' fees, that the other party may incur by reason of the indemnifying party's negligence or misconduct or by reason of any third-party claim or suit arising out of or in connection with the indemnifying party's performance or failure to perform pursuant to this Grant Contract.
13. Entire Agreement: This Grant Contract supersedes any prior understandings or oral agreements between the parties regarding the subject matter hereof and constitutes the entire understanding and agreement between the parties with respect to the subject matter hereof, and there are no agreements, understandings, representations or warranties among the parties other than those set forth herein.
14. Governing Law: This Grant Contract shall be governed by and construed in accordance with the laws of the State of Connecticut.

This grant is expressly conditional upon Grantee's acceptance of the terms and conditions set forth above. The signature on this Grant Contract by an authorized representative of Grantee represents Grantee's acceptance of this award and agreement to comply with all of the above terms and conditions.

AGREED TO AND ACCEPTED BY:

Connecticut Breast Health Initiative, Inc.

Jane E. Brael
President

2/7/05
Date

Saint Mary's Hospital Corporation

[Signature]
(Signature)
Duly Authorized

2/14/05
Date

Name (typed): Robert P. Ritz, C.H.E.

Title: President & CEO

CONNECTICUT BREAST HEALTH INITIATIVE, INC.
GRANT APPLICATION

Organization: Saint Mary's Hospital Corporation

Address: 56 Franklin Street
Waterbury, CT 06706

Phone: (203) 709-6000

E-Mail: pevans@STMH.org

Program Name: Funding for (Excisional) Open Biopsies in Uninsured and Underinsured Women in Saint Mary's Hospital's Connecticut Breast and Cervical Cancer Early Detection Program

Program Purpose: To provide a cost free seamless process from mammogram screening to diagnosis to treatment for breast cancer detection and treatment for eligible uninsured and underinsured women seen through the CBCCEDP

Anticipated Total
Cost of the Program: The total cost of the open (excisional) biopsy is approximately \$6,675 per woman.

Amount Requested: \$11,000=2 procedures X \$5,500 (SMH has a CBCCEDP grant to cover staff and screening mammograms and the Department of Public Health covers the surgeon's and pathology fees for gross and microscopic exam of surgical specimens).

Executive Summary: An \$11,000 grant would cover pre-admission testing, the use of the operating room, and anesthesiologist's fees for two or three eligible women undergoing an open (excisional biopsy). The surgeon's and pathology fees are approximately \$1,175 (the other components of the excisional biopsy) are paid for by DPH, however, the additional fees totaling \$5,500 listed above are a great financial hardship for a woman with limited/or no income. In some cases women have refused or delayed this diagnostic/treatment procedure because of the cost.

President/CEO: Robert P. Ritz

Address: 56 Franklin Street, Waterbury, CT 06706

Telephone Number: (203) 709-6303

Contact Person: Patricia A. Evans

Address: 56 Franklin Street, Waterbury, CT 06706

Telephone Number: (203) 709-3270

FUNDING FOR OPEN (EXCISIONAL) BIOPSIES IN UNINSURED & UNDERINSURED WOMEN

Background

Saint Mary's Hospital's Family Health Center, one of the hospital's four outpatient centers, has been the site for the Breast and Cervical Cancer Early Detection Program (CBCCEDP) since 1995. The Family Health Center (FHC) is one of the four original Connecticut CBCCEDP sites and has screened over 2,000 eligible women and diagnosed 17 breast cancers through the program. FHC is located in downtown Waterbury, one block north of the hospital, and is within walking distance or accessible by bus for most patients. During its ten years of operation the Family Health Center's CBCCEDP has become identified and trusted to provide mammography screening, follow up testing and treatment for breast cancer for women without the resources to pay for these services.

The FHC is a comprehensive health center with an existing patient care infrastructure, scheduled clinic hours and bilingual financial counselors to provide follow up for patients with breast abnormalities detected through mammography screening. The center has established protocols for patient notification, appropriate diagnostic testing, an on-site surgical center with a dedicated breast surgeon and full service interventional radiology.

Treatment following a diagnosis of breast cancer is provided to eligible women without resources by state of Connecticut funding administered by the Department of Public Health. However, when an open (excisional) biopsy, which falls under the DPH treatment coverage, is prescribed there are a number of fees not covered by DPH and these amount to approximately \$5,500. Since the women covered through the CBCCEDP are uninsured or underinsured and with low or no income, a \$5,500 fee for pre-admission testing, the use of the operating room, and anesthesiologist's fees can be a prohibitive amount of money. Because of these circumstances woman may decide to delay an open biopsy, which is the recommended diagnostic procedure and under certain conditions a procedure that may also double as surgery to remove a small cancerous lesion. Delay of the biopsy can result in the lack of treatment and the breast cancer can grow and create a need for more radical treatment in the future. If the need for the diagnosis and treatment is totally disregarded, eventually death can result.

Purpose

The purpose of the grant is to fund the fees for pre-admission testing, the use of the operating room, and anesthesiologist's fees for women diagnosed with breast cancer through the CBCCEDP. In most cases biopsies done through the CBCCEDP do not require the use of the operating room and general anesthesia. These are done under local anesthesia in the clinic or radiology departments. However, women with abnormal mammograms, but normal sonograms with lesions that are too deep to the chest wall or too close to the nipple are not amenable to excisional biopsy or stereotactic biopsy under local anesthesia. This need occurs on an average with two or three women during the course of a year and we are requesting Connecticut Breast health Initiative funding to assist these women diagnosed through the CBCCEDP.

Scope of Services

Currently the cost of screening for breast cancer and diagnosis and treatment of breast cancer for uninsured and underinsured women in the Greater Waterbury is covered by the CBCCEDP and the Department of Public Health, however; an open or excisional biopsy is an exception. Even though this need occurs in only the case of two or three women a year, the expense of approximately \$5,500

for pre-admission testing, an operating room and anesthesiologist's fees can create a great hardship for a women with no insurance and low or no income. Saint Mary's Hospital will work out a payment plan for women to cover the \$5,500 cost, but in some cases women do not feel they can encumber their families with this debt and do not have this lifesaving procedure performed. A grant of \$11,000 would make this procedure available to at least two women and make the decision between assuming a large debt and their health unnecessary.

Measured Goals

The goal of the Connecticut Breast Health Initiative project is to provide a cost free and seamless process from mammogram screening to diagnosis to treatment for breast cancer detection and treatment for eligible uninsured and underinsured women seen through the CBCCEDP. This continuum is close to being achieved, but the charges surrounding the excisional biopsy are an exception.

Program Objectives and Action Plan

The objective of the program is to make available funding through the Connecticut Breast Health Initiative grant to cover the costs of pre-admission testing, the use of the operating room, and anesthesiologist's fees to women, who have a prescribed need for an open (excisional) biopsy. In this plan the Director of the CBCCEDP would outline the perimeters of the financial assistance for the procedure to patients and also give recognition to the Connecticut Breast Health Initiative as the source of funding. The action plan would involve making a woman aware of the funding for the excisional biopsy and also having the woman's need for financial assistance verified through the center's financial counselor's office.

Evaluation Plan

The program would be evaluated through an audit of the patient registration forms required by the CBCCEDP to see how many women had an excisional biopsy prescribed, how many biopsies were funded and if there was a need for funding biopsies that could not be met with the funds from the Connecticut Breast Health Initiative.

Budget for the Project

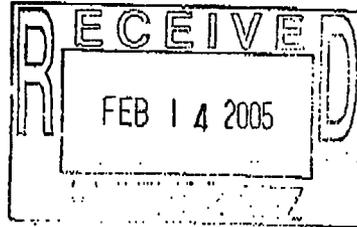
Pre-admission testing, operating room, and anesthesiologist's fees \$5,500* X 2 women= \$11,000

* These costs can vary from procedure to procedure and patient to patient. \$11,000 may cover three women in a year's period. The number of two women and \$5,500 per procedure are based on the average experience during CBCCEDP's ten year history.



February 8, 2005

Mr. Robert Ritz
Saint Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706



Dear Mr. Ritz:

Congratulations again on being named a grant recipient from the CT Breast Health Initiative, Inc. We are excited about and appreciative of the work you will be doing on behalf of women and families in the state. Please make every effort to announce your award to the media. Let us know if we may be of help to you.

Enclosed is your Grant contract which needs to be signed and returned to us. Also enclosed is a check for sixty percent of your total grant. We will send you a six-month report in May, upon successful completion of which and submission to us, we will disperse the balance of your grant to you. One of us will be touching base with you as your project unfolds.

We hope you will support our major fundraiser, The CT RACE IN THE PARK, by participating as an individual or building a team, collecting pledges or volunteering, raising awareness and promoting the event on Mother's Day weekend, May 7, 2005. Please visit us at www.ctraceinthepark.org for more information.

Fighting breast cancer together...until there is a cure.

Sincerely,

Carol Jordan
Carol Jordan
Grants Co-Chair

Jack Jordan
Jack Jordan
Grants Co-Chair

Joyce G. Bray
Joyce G. Bray
President

Connecticut Breast Health Initiative, Inc.
185 Main Street, Room 210 • P.O. Box 566 • New Britain, CT 06050 • tel: 860.827.7103 • fax: 860.827.7105
www.ctbhi.org

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Connecticut Breast Health Initiative, Inc.

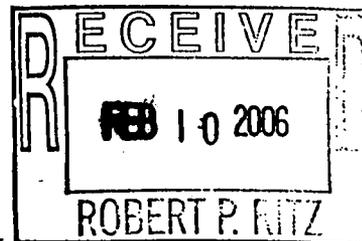
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Connecticut Breast Health Initiative, Inc.

GRANT CONTRACT



GRANTEE: St. Mary's Hospital Corporation

PERIOD OF GRANT: January 1, 2006 – December 31, 2006

PROJECT TITLE: Influence of Age on Treatment Choice in Women with Breast Cancer

PROJECT DIRECTOR: Robert P. Ritz and Patricia A. Evans

In connection with the Application dated November 1, 2005 by Grantee for the award of funds ("Grantee Application"), The Connecticut Breast Health Initiative, Inc. (CT BHI) does hereby grant St. Mary's Hospital Corporation ("Grantee") funds in the amount of \$8,825.00 subject to the following terms and conditions ("Grant Contract"):

1. Use of Grant Funds:

- A. Grant Funds are to be utilized strictly in conformance with the budget ("Budget") included in the Grantee's Application. To the extent that the terms of this Grant Contract conflict with the terms of the Grantee's Application, the terms of this Grant Contract shall prevail.
- B. Grant Funds must be expended as set forth in the Budget, and no changes or modifications to the Budget or to the breast cancer project as described in the Grant Application ("Breast Cancer Project") may be made without the prior written approval of the CT BHI.
- C. Grantee shall provide CT BHI with copies of all materials created in connection with the Breast Cancer Project at no charge. Grantee grants CT BHI a limited, non-exclusive license to use such materials for its own non-commercial purposes.

- D. Unless otherwise specifically consented to in writing by CT BHI, the funds granted hereunder are not to be used for any purpose other than those stated in the Grantee application.

2. Expiration and Termination of Grant:

- A. This Grant Contract shall be effective as of the date hereof and shall terminate on December 31, 2006, except that the provisions of Sections 5, 6, 7, 8, 9, 10, 12, and 13 shall forever survive termination of this contract.
- B. If Grantee should fail to perform or be in breach of any of the terms, conditions, agreements, covenants, representations or warranties contained in this Grant Contract, or anticipatorily breach this Agreement, and such default is not curable, or if such default is curable but remains uncured for a period of 30 days after written notice thereof has been given to the Grantee, the CT BHI, at its sole election, may immediately terminate this Grant Contract by written notice thereof to Grantee and CT BHI shall have no further obligation to Grantee. The provisions of this Section 2 will not preclude the parties from seeking any other remedies that may be available under this Grant Contract and applicable law.

3. Reports/Submission Items: Grantee shall submit to CT BHI the following:

- A. Written progress reports every six months during the Grant period. Reports will be due on June 15, 2006 using the outline that will be sent in May of 2006. Reports shall include, but not be limited to, the following information: progress made toward meeting objectives outlined in Grant Application, number of people served, receipt of other sources of support for this Project, (date, amount, donor) copies or examples of any materials produced as a result of this Project, documentation of acknowledgment, a listing of articles submitted for publication regarding the Project, and the status of those articles, and presentations made at scientific conferences regarding the Project;

- B. Within 45 days following the expiration date of the Grant, a Final Report, using the outline attached to this Grant Contract as Exhibit "C" and made a part hereof. The Final Report must include an accounting of the Grant Funds expended during the term of this Grant Contract;
 - C. Any and all surveys submitted by CT BHI to Grantee for completion regarding this Grant.
 - D. In the event that Grantee does not provide CT BHI with the above-referenced reports and surveys in a timely manner, CT BHI shall be entitled to a full reimbursement of the funds granted hereunder.
4. Grant Payments: Grant funds shall be payable in two installments. The first installment of 60% of the total amount funded is included with this contract. The second of 40% of the total amount funded shall be made to the Grantee after receipt of the first six-month progress report satisfaction to CT BHI on its sole discretion.
5. Logos and Name: CT BHI grants Grantee a limited, non-exclusive sub-license to use the Logos and Name solely to acknowledge CT BHI hereunder. CT BHI will provide Grantee with camera-ready artwork of said Logos and Name upon request, which must be returned to CT BHI upon completion of the Breast Cancer Project or upon termination of this grant Contract, whichever occurs first. It is agreed and understood that CT BHI retains all right, title and interest in and to the Logos and Name, both of which shall remain the exclusive property of CT BHI. Grantee is prohibited from transferring, sublicensing or assigning its rights to use the Logos and Name.
6. Publications:
- A. CT BHI may release information regarding this Grant to the general public and news media. Grantee hereby consents thereto and grants to CT BHI a non-exclusive license to include Grantee's name in information pertaining to the Grant that is released to the public.
 - B. Grantee may release information regarding this Grant to the public and news media, upon the following terms and conditions:

- (1) Grantee must acknowledge CT BHI as a funding source on all publications, announcements, and dissemination of information related to this Grant whether oral or in writing, in clear, unambiguous and readily-identifiable fashion, such as "supported by a grant from the CT Breast Health Initiative, Inc.". Such acknowledgment must be commensurate with the acknowledgment provided to other grantors providing similar grants.
 - (2) Grantee will present to CT BHI for its approval, prior to printing, distribution, publication, display, or use, any and all promotional materials, publications, articles, pictures, press releases and scripts of all statements, oral or written, to be made by Grantee, its agents or spokespersons, which use or refer to any Logos and Name.
 - (3) Copies of all other news releases, articles, pictures and any and all other published material, which may develop in connection with this Breast Cancer Project or this Grant must be furnished to CT BHI by Grantee prior to publication, or as soon thereafter as practicable.
7. Non-Guarantee of Additional Support: This Grant is accepted by Grantee with the understanding that CT BHI is not obligated to provide any additional financial support, or other support, to Grantee, its agents or spokespersons, in connection with the grant, the Grant Contract, the Breast Cancer Project or for any other reason.
8. Grantee Representations and Warranties: Grantee represents and warrants that it is a tax-exempt organization under the Internal Revenue Code. Grantee further represents that it has not and shall not receive any duplicative funding in connection with the Breast Cancer Project. In the event that Grantee breaches its representations and warranties under this Agreement, Grantee acknowledges and agrees that this Agreement may be terminated and Grantee shall be required to return to CT BHI all funds received by Grantee under this Agreement and the funds granted hereunder subject to full reimbursement.

9. Non-endorsement: It is expressly agreed and understood by the parties hereto that CT BHI's grant hereunder shall not constitute an endorsement by CT BHI of any entity, organization, company or individual, nor the products, actions, behavior or conduct of any entity, organization, company or individual and any negligent or intentional misrepresentation by Grantee to the contrary, in any context and in any forum, shall constitute a material breach of this Agreement, and the same shall be grounds for immediate termination of this Agreement by CT BHI. In the event of any such representation, CT BHI may require Grantee to publicly acknowledge the misrepresentation in a like forum in which the misrepresentation was made. It is agreed that in the event of a breach of this provision, damages may not be an adequate remedy, and CT BHI shall be entitled to injunctive relief to restrain any such breach, threatened or actual, with no minimal surety bond.
10. Governmental Compliances: Grantee will cooperate with CT BHI in supplying additional information to CT BHI, or in complying with any procedures which might be required by any governmental agency in order for CT BHI to establish that it has observed all legal requirements with respect to this Grant.
11. Authority: All persons and individuals executing this Grant Contract certify and warrant that they have the capacity and have been duly authorized to execute this grant Contract on behalf of the entities so indicated and that no additional authorization or approval is required.
12. Indemnity: Grantee acknowledges that it is solely responsible for any liabilities that may arise in connection with the Breast Cancer Project. Each party agrees to indemnify and hold the other harmless from and against any and all costs, losses or expenses, including reasonable attorneys' fees, that the other party may incur by reason of the indemnifying party's negligence or misconduct or by reason of any third-party claim or suit arising out of or in connection with the indemnifying party's performance or failure to perform pursuant to this Grant Contract.
13. Entire Agreement: This Grant Contract supersedes any prior understandings or oral agreements between the parties regarding the subject matter hereof and constitutes the entire understanding and

agreement between the parties with respect to the subject matter hereof, and there are no agreements, understandings, representations or warranties among the parties other than those set forth herein.

14. Governing Law: This Grant Contract shall be governed by and construed in accordance with the laws of the State of Connecticut.

This grant is expressly conditional upon Grantee's acceptance of the terms and conditions set forth above. The signature on this Grant Contract by an authorized representative of Grantee represents Grantee's acceptance of this award and agreement to comply with all of the above terms and conditions.

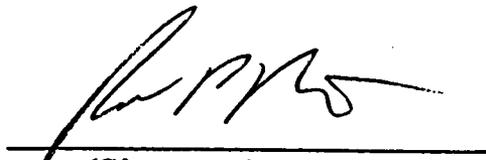
AGREED TO AND ACCEPTED BY:

Connecticut Breast Health Initiative, Inc.


President

2/7/06
Date

St. Mary's Hospital Corporation


(Signature)
Duly Authorized

1/25/06
Date

Name (typed): Robert P. Ritz

Title: President & CEO

FI

Susan G. Komen Breast Cancer Foundation
Connecticut Affiliate

Pay Voucher to 2005 Grant Recipients

Current Date: *September 7, 2005*

Check Amount: \$6,000.00

Pay to the Order of :

*St. Mary's Hospital
Nancy Baranowski
56 Franklin Street
Waterbury, CT 06706*

Reason for Payment: *Balance of 2005 Grant Award for 4/1/05-3/31/06 Grant Year*

Approved by:

*Harriet
This is a
Grant Pledge Payment*

Check # *1260* **Check Date:** *9/6/05* **Check Amount** *\$6,000.00*

Memo

FROM

NANCY BARANOWSKI, APRN

9/9/05

Dear Renee -

Here is 2nd
Korean payment
Please deposit in
Foundation acct #
90-9600-960563
Thanks Nancy

CT AFFILIATE OF THE SUSAN G KOMEN
BREAST CANCER FOUNDATION INC
METRO CENTRE LOBBY LEVEL
350 CHURCH ST
HARTFORD CT 06103-1136

State Street Bank and Trust Company
Boston, Massachusetts 02101

1260

Date 9/6/05

5-2/110

Pay to the order of St. Marys Hospital

Six thousand

\$ 6,000.00 ⁰⁰/₁₀₀



Wells Fargo Avtg
Heritage Mny Mkt-Adm

Dollars



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Back

NOT VALID FOR LESS THAN \$500.00

For

Shun
Pauline R. Kays

⑆0⑆1000028⑆260 49002481523⑆423

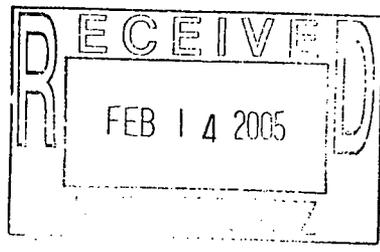


FI

Breast Cancer
960903

February 8, 2005

Mr. Robert Ritz
Saint Mary's Hospital Corporation
56 Franklin Street
Waterbury, CT 06706



Dear Mr. Ritz:

Congratulations again on being named a grant recipient from the CT Breast Health Initiative, Inc. We are excited about and appreciative of the work you will be doing on behalf of women and families in the state. Please make every effort to announce your award to the media. Let us know if we may be of help to you.

Enclosed is your Grant contract which needs to be signed and returned to us. Also enclosed is a check for sixty percent of your total grant. We will send you a six-month report in May, upon successful completion of which and submission to us, we will disperse the balance of your grant to you. One of us will be touching base with you as your project unfolds.

We hope you will support our major fundraiser, The CT RACE IN THE PARK, by participating as an individual or building a team, collecting pledges or volunteering, raising awareness and promoting the event on Mother's Day weekend, May 7, 2005. Please visit us at www.ctraceinthepark.org for more information.

Fighting breast cancer together...until there is a cure.

Sincerely,

Carol Jordan
Carol Jordan
Grants Co-Chair

Jack Jordan
Jack Jordan
Grants Co-Chair

Joyce G. Bray
Joyce G. Bray
President

CT BREAST HEALTH INITIATIVE, INC.

P.O. BOX 566
NEW BRITAIN, CT 06050

DATE Feb. 8, 2005 51-309-111

PAY TO THE ORDER OF

St. Mary's Hospital Corporation

\$ 6,000.00

Six thousand and 00/100

DOLLARS  Security Features Included. Details on Back.



178 Main Street
New Britain, CT 06051

FOR Grant award 60%
Open Biopsies in uninsured and underinsured women in
St. Mary's Hospital
CT Breast Health & Cervical Cancer Program

Louise Belkin, Treas. MP
Joyce G. Brae

⑆001129⑆ ⑆01103093⑆ 4240159677⑆

CONNECTICUT BREAST HEALTH INITIATIVE, INC.
GRANT APPLICATION

FI

Organization: Saint Mary's Hospital Corporation

Address: 56 Franklin Street
Waterbury, CT 06706

Phone: (203) 709-6000

E-Mail: pevans@STMH.org

Program Name: Funding for (Excisional) Open Biopsies in Uninsured and Underinsured Women in
Saint Mary's Hospital's Connecticut Breast and Cervical Cancer Early Detection
Program

Program Purpose: To provide a cost free seamless process from mammogram screening to diagnosis to
treatment for breast cancer detection and treatment for eligible uninsured and underinsured
women seen through the CBCCEDP

Anticipated Total
Cost of the Program: The total cost of the open (excisional) biopsy is approximately \$6,675 per woman.

Amount Requested: \$11,000=2 procedures X \$5,500 (SMH has a CBCCEDP grant to cover staff and
screening mammograms and the Department of Public Health covers the surgeon's
and pathology fees for gross and microscopic exam of surgical specimens).

Executive Summary: An \$11,000 grant would cover pre-admission testing, the use of the operating room,
and anesthesiologist's fees for two or three eligible women undergoing an open
(excisional biopsy). The surgeon's and pathology fees are approximately \$1,175 (the
other components of the excisional biopsy) are paid for by DPH, however; the
additional fees totaling \$5,500 listed above are a great financial hardship for a woman
with limited/or no income. In some cases women have refused or delayed this diagnostic/
treatment procedure because of the cost.

President/CEO: Robert P. Ritz

Address: 56 Franklin Street, Waterbury, CT 06706

Telephone Number: (203) 709-6303

Contact Person: Patricia A. Evans

Address: 56 Franklin Street, Waterbury, CT 06706

Telephone Number: (203) 709-3270

FUNDING FOR OPEN (EXCISIONAL) BIOPSIES IN UNINSURED & UNDERINSURED WOMEN

Background

Saint Mary's Hospital's Family Health Center, one of the hospital's four outpatient centers, has been the site for the Breast and Cervical Cancer Early Detection Program (CBCCEDP) since 1995. The Family Health Center (FHC) is one of the four original Connecticut CBCCEDP sites and has screened over 2,000 eligible women and diagnosed 17 breast cancers through the program. FHC is located in downtown Waterbury, one block north of the hospital, and is within walking distance or accessible by bus for most patients. During its ten years of operation the Family Health Center's CBCCEDP has become identified and trusted to provide mammography screening, follow up testing and treatment for breast cancer for women without the resources to pay for these services.

The FHC is a comprehensive health center with an existing patient care infrastructure, scheduled clinic hours and bilingual financial counselors to provide follow up for patients with breast abnormalities detected through mammography screening. The center has established protocols for patient notification, appropriate diagnostic testing, an on-site surgical center with a dedicated breast surgeon and full service interventional radiology.

Treatment following a diagnosis of breast cancer is provided to eligible women without resources by state of Connecticut funding administered by the Department of Public Health. However, when an open (excisional) biopsy, which falls under the DPH treatment coverage, is prescribed there are a number of fees not covered by DPH and these amount to approximately \$5,500. Since the women covered through the CBCCEDP are uninsured or underinsured and with low or no income, a \$5,500 fee for pre-admission testing, the use of the operating room, and anesthesiologist's fees can be a prohibitive amount of money. Because of these circumstances woman may decide to delay an open biopsy, which is the recommended diagnostic procedure and under certain conditions a procedure that may also double as surgery to remove a small cancerous lesion. Delay of the biopsy can result in the lack of treatment and the breast cancer can grow and create a need for more radical treatment in the future. If the need for the diagnosis and treatment is totally disregarded, eventually death can result.

Purpose

The purpose of the grant is to fund the fees for pre-admission testing, the use of the operating room, and anesthesiologist's fees for women diagnosed with breast cancer through the CBCCEDP. In most cases biopsies done through the CBCCEDP do not require the use of the operating room and general anesthesia. These are done under local anesthesia in the clinic or radiology departments. However, women with abnormal mammograms, but normal sonograms with lesions that are too deep to the chest wall or too close to the nipple are not amenable to excisional biopsy or stereotactic biopsy under local anesthesia. This need occurs on an average with two or three women during the course of a year and we are requesting Connecticut Breast health Initiative funding to assist these women diagnosed through the CBCCEDP.

Scope of Services

Currently the cost of screening for breast cancer and diagnosis and treatment of breast cancer for uninsured and underinsured women in the Greater Waterbury is covered by the CBCCEDP and the Department of Public Health, however; an open or excisional biopsy is an exception. Even though this need occurs in only the case of two or three women a year, the expense of approximately \$5,500

for pre-admission testing, an operating room and anesthesiologist's fees can create a great hardship for a women with no insurance and low or no income. Saint Mary's Hospital will work out a payment plan for women to cover the \$5,500 cost, but in some cases women do not feel they can encumber their families with this debt and do not have this lifesaving procedure performed. A grant of \$11,000 would make this procedure available to at least two women and make the decision between assuming a large debt and their health unnecessary.

Measured Goals

The goal of the Connecticut Breast Health Initiative project is to provide a cost free and seamless process from mammogram screening to diagnosis to treatment for breast cancer detection and treatment for eligible uninsured and underinsured women seen through the CBCCEDP. This continuum is close to being achieved, but the charges surrounding the excisional biopsy are an exception.

Program Objectives and Action Plan

The objective of the program is to make available funding through the Connecticut Breast Health Initiative grant to cover the costs of pre-admission testing, the use of the operating room, and anesthesiologist's fees to women, who have a prescribed need for an open (excisional) biopsy. In this plan the Director of the CBCCEDP would outline the perimeters of the financial assistance for the procedure to patients and also give recognition to the Connecticut Breast Health Initiative as the source of funding. The action plan would involve making a woman aware of the funding for the excisional biopsy and also having the woman's need for financial assistance verified through the center's financial counselor's office.

Evaluation Plan

The program would be evaluated through an audit of the patient registration forms required by the CBCCEDP to see how many women had an excisional biopsy prescribed, how many biopsies were funded and if there was a need for funding biopsies that could not be met with the funds from the Connecticut Breast Health Initiative.

Budget for the Project

Pre-admission testing, operating room, and anesthesiologist's fees \$5,500* X 2 women= \$11,000

* These costs can vary from procedure to procedure and patient to patient. \$11,000 may cover three women in a year's period. The number of two women and \$5,500 per procedure are based on the average experience during CBCCEDP's ten year history.

Connecticut Breast Health Initiative, Inc.

GRANT CONTRACT

GRANTEE: Saint Mary's Hospital Corporation

PERIOD OF GRANT: January 1, 2005 through December 31, 2005

PROJECT TITLE: Funding for (Excisional) Open Biopsies in Uninsured and Underinsured Women in Saint Mary's Connecticut Breast Health and Cervical Cancer Early Detection Program

PROJECT DIRECTOR: Robert P. Ritz and Patricia Evans

In connection with the Application dated September 29, 2004 by Grantee for the award of funds ("Grantee Application"), The Connecticut Breast Health Initiative, Inc. (CT BHI) does hereby grant Saint Mary's Hospital Corporation ("Grantee") funds in the amount of \$10,000.00 subject to the following terms and conditions ("Grant Contract"):

1. Use of Grant Funds:

- A. Grant Funds are to be utilized strictly in conformance with the budget ("Budget") included in the Grantee's Application. To the extent that the terms of this Grant Contract conflict with the terms of the Grantee's Application, the terms of this Grant Contract shall prevail.
- B. Grant Funds must be expended as set forth in the Budget, and no changes or modifications to the Budget or to the breast cancer project as described in the Grant Application ("Breast Cancer Project") may be made without the prior written approval of the CT BHI.
- C. Grantee shall provide CT BHI with copies of all materials created in connection with the Breast Cancer Project at no charge. Grantee grants CT BHI a limited, non-exclusive license to use such materials for its own non-commercial purposes.
- D. Unless otherwise specifically consented to in writing by CT BHI, the funds granted hereunder are not to be used for any purpose other than those stated in the Grantee Application.

2. Expiration and Termination of Grant:

- A. This Grant Contract shall be effective as of the date hereof and shall terminate on December 31, 2005, except that the provisions of Sections 5, 6, 7, 8, 9, 10, 12, and 13 shall forever survive termination of this contract.
- B. If Grantee should fail to perform or be in breach of any of the terms, conditions, agreements, covenants, representations or warranties

contained in this Grant Contract, or anticipatorily breach this Agreement, and such default is not curable, or if such default is curable but remains uncured for a period of 30 days after written notice thereof has been given to the Grantee, the CT BHI, at its sole election, may immediately terminate this Grant Contract by written notice thereof to Grantee and CT BHI shall have no further obligation to Grantee. The provisions of this Section 2 will not preclude the parties from seeking any other remedies that may be available under this Grant Contract and applicable law.

3. Reports/Submission Items: Grantee shall submit to CT BHI the following:
 - A. Written progress reports every six months during the Grant period. Reports will be due on June 15, 2005 using the outline that will be sent in May of 2005. Reports shall include, but not be limited to, the following information: progress made toward meeting objectives outlined in Grant Application, number of people served, receipt of other sources of support for this Project, (date, amount, donor) copies or examples of any materials produced as a result of this Project, documentation of acknowledgment, a listing of articles submitted for publication regarding the Project, and the status of those articles, and presentations made at scientific conferences regarding the Project;
 - B. Within 45 days following the expiration date of the Grant, a Final Report, using the outline attached to this Grant Contract as Exhibit "C" and made a part hereof. The Final Report must include an accounting of the Grant Funds expended during the term of this Grant Contract;
 - C. Any and all surveys submitted by CT BHI to Grantee for completion regarding this Grant.
 - D. In the event that Grantee does not provide CT BHI with the above-referenced reports and surveys in a timely manner, CT BHI shall be entitled to a full reimbursement of the funds granted hereunder.
4. Grant Payments: Grant Funds shall be payable in two installments. The first installment of 60% of total amount funded is included with this contract. The second payment of 40% of total amount funded shall be made to Grantee after receipt of the first six-month Progress Report, satisfaction to CT BHI on its sole discretion.
5. Logos and Name: CT BHI grants Grantee a limited, non-exclusive sub-license to use the Logos and Name solely to acknowledge CT BHI hereunder. CT BHI will provide Grantee with camera-ready artwork of said Logos and Name upon request, which must be returned to CT BHI upon completion of the Breast Cancer Project or upon termination of this grant Contract, whichever occurs first. It is agreed and understood that CT BHI retains all right, title and interest in and to the Logos and Name, both of which shall remain the exclusive property of CT BHI.

Grantee is prohibited from transferring, sublicensing or assigning its rights to use the Logos and Name.

6. Publications:

- A. CT BHI may release information regarding this Grant to the general public and news media. Grantee hereby consents thereto and grants to CT BHI a non-exclusive license to include Grantee's name in information pertaining to the Grant that is released to the public.
- B. Grantee may release information regarding this Grant to the public and news media, upon the following terms and conditions:
 - (1) Grantee must acknowledge CT BHI as a funding source on all publications, announcements, and dissemination of information related to this Grant whether oral or in writing, in clear, unambiguous and readily-identifiable fashion, such as "supported by a grant from the CT Breast Health Initiative, Inc.". Such acknowledgment must be commensurate with the acknowledgment provided to other grantors providing similar grants.
 - (2) Grantee will present to CT BHI for its approval, prior to printing, distribution, publication, display, or use, any and all promotional materials, publications, articles, pictures, press releases and scripts of all statements, oral or written, to be made by Grantee, its agents or spokespersons, which use or refer to any Logos and Name.
 - (3) Copies of all other news releases, articles, pictures and any and all other published material, which may develop in connection with this Breast Cancer Project or this Grant must be furnished to CT BHI by Grantee prior to publication, or as soon thereafter as practicable.

7. Non-Guarantee of Additional Support: This Grant is accepted by Grantee with the understanding that CT BHI is not obligated to provide any additional financial support, or other support, to Grantee, its agents or spokespersons, in connection with the grant, the Grant Contract, the Breast Cancer Project or for any other reason.

8. Grantee Representations and Warranties: Grantee represents and warrants that it is a tax-exempt organization under the Internal Revenue Code. Grantee further represents that it has not and shall not receive any duplicative funding in connection with the Breast Cancer Project. In the event that Grantee breaches its representations and warranties under this Agreement, Grantee acknowledges and agrees that this Agreement may be terminated and Grantee shall be required to return to CT BHI all funds received by Grantee under this Agreement and the funds granted hereunder subject to full reimbursement.

9. Non-endorsement: It is expressly agreed and understood by the parties hereto that CT BHI's grant hereunder shall not constitute an endorsement by CT BHI of any entity, organization, company or individual, nor the products, actions, behavior or conduct of any entity, organization, company or individual and any negligent or intentional misrepresentation by Grantee to the contrary, in any context and in any forum, shall constitute a material breach of this Agreement, and the same shall be grounds for immediate termination of this Agreement by CT BHI. In the event of any such representation, CT BHI may require Grantee to publicly acknowledge the misrepresentation in a like forum in which the misrepresentation was made. It is agreed that in the event of a breach of this provision, damages may not be an adequate remedy, and CT BHI shall be entitled to injunctive relief to restrain any such breach, threatened or actual, with no minimal surety bond.
10. Governmental Compliances: Grantee will cooperate with CT BHI in supplying additional information to CT BHI, or in complying with any procedures which might be required by any governmental agency in order for CT BHI to establish that it has observed all legal requirements with respect to this Grant.
11. Authority: All persons and individuals executing this Grant Contract certify and warrant that they have the capacity and have been duly authorized to execute this grant Contract on behalf of the entities so indicated and that no additional authorization or approval is required.
12. Indemnity: Grantee acknowledges that it is solely responsible for any liabilities that may arise in connection with the Breast Cancer Project. Each party agrees to indemnify and hold the other harmless from and against any and all costs, losses or expenses, including reasonable attorneys' fees, that the other party may incur by reason of the indemnifying party's negligence or misconduct or by reason of any third-party claim or suit arising out of or in connection with the indemnifying party's performance or failure to perform pursuant to this Grant Contract.
13. Entire Agreement: This Grant Contract supersedes any prior understandings or oral agreements between the parties regarding the subject matter hereof and constitutes the entire understanding and agreement between the parties with respect to the subject matter hereof, and there are no agreements, understandings, representations or warranties among the parties other than those set forth herein.
14. Governing Law: This Grant Contract shall be governed by and construed in accordance with the laws of the State of Connecticut.

This grant is expressly conditional upon Grantee's acceptance of the terms and conditions set forth above. The signature on this Grant Contract by an authorized representative of Grantee represents Grantee's acceptance of this award and agreement to comply with all of the above terms and conditions.

AGREED TO AND ACCEPTED BY:

Connecticut Breast Health Initiative, Inc.

James G. Braef
President

3/7/05
Date

Saint Mary's Hospital Corporation

Robert P. Ritz
(Signature)
Duly Authorized

3/14/05
Date

Name (typed): Robert P. Ritz, C.H.E.

Title: President & CEO

Fund Summary Report Dental Clinic Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dental	Dental Clinic	5	\$0.00	\$80,000.00	\$2,500.00	\$2,000.00	\$84,500.00
		and Totals:	\$0.00	\$80,000.00	\$2,500.00	\$2,000.00	\$84,500.00

5 Gift(s) listed

3 Donor(s) listed

Appeal Summary Report Dental Clinic Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	4	\$0.00	\$80,000.00	\$2,500.00	\$0.00	\$82,500.00
phys2007	Phys. Annual Appeal 2007	1	\$0.00	\$0.00	\$0.00	\$2,000.00	\$2,000.00
		and Totals:	\$0.00	\$80,000.00	\$2,500.00	\$2,000.00	\$84,500.00

5 Gift(s) listed

3 Donor(s) listed

**Fund Detail Report
Dental Clinic Fund**

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Peter Gott <none specified> 308 Main St Lakeville, CT 06039-1204 (860) 435-9633 ~	10/30/2006	Dental Clinic	\$0.00	\$0.00	a human skull
People's United Community Founda 1055 Post Road 2nd Floor Fairfield, CT 06824 (203) 254-5790 ~	12/14/2010	Dental Clinic	\$0.00	\$2,500.00	specifically for "Smiles for Seniors" program
State of Connecticut 25 Sigourney St Ste 1	10/16/2003	Dental Clinic	\$40,000.00	\$0.00	
Hartford, CT 06106-5033 (860) 951-1387	3/10/2004	Dental Clinic	\$20,000.00	\$0.00	
	8/31/2004	Dental Clinic	\$20,000.00	\$0.00	
Grand Totals:			\$80,000.00	\$2,500.00	

5 Gift(s) listed

3 Donor(s) listed

~ The phone is marked as 'Do not contact'

November 23, 2010

Mrs. Margaret Lawlor
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706

Dear Mrs. Lawlor:

On behalf of the People's United Community Foundation and its Board of Directors, I am pleased to enclose a check in the amount of \$2,500.00 to Saint Mary's Hospital Foundation for the Smiles for Seniors program. We are happy to support the important work you are doing, and wish you continued success in your efforts as you undertake the responsibilities of this grant. The requirements related to the grant include the following:

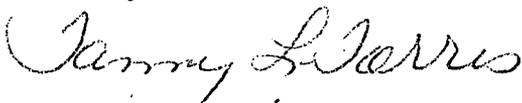
- The money must be used exclusively for your Smiles for Seniors program.
- You will provide the Foundation with a report on the measurable impact of this grant at the completion of the program or within 12 months from the date of this letter, whichever comes first. Please provide clear evaluation metrics, if available, as well as any success stories.

Please sign the enclosed grant agreement and return the original to Tammy Torres at 1055 Post Road, 2nd Floor, Fairfield, CT 06824 within 10 days.

We extend our best wishes to you, and look forward to sharing in the success of those you serve.

If you have any questions, or if we can be of assistance in any other way, feel free to contact me directly at 203-254-5790 or tammy.torres@peoples.com.

Sincerely,



Tammy L. Torres
Administration & Agency Liaison Director



**People's United Community Foundation
Grant Agreement**

I have read the grant award letter from the People's United Community Foundation dated November 23, 2010 and do hereby agree and accept the terms set forth.

AGREED AND ACCEPTED:

Organization's Name: **Saint Mary's Hospital Foundation**
Name: Ledye Tupus
Title: Director of Development
Signature: Ledye Tupus
Date: 12-9-10

Payee St. Mary Hospital Foundation, Inc.
Vendor ID St Mary Hosp Fdn

Account #:

1696
11/19/2010

Invoice	Description	Discount	Amount
10805	St Mary's Hospital	\$0.00	\$2,500.00
Total :		\$0.00	\$2,500.00



PEOPLE'S UNITED BANK
51-7218-2211
1696
1696

****Two Thousand Five Hundred and 00/100 Dollars

DATE	AMOUNT
11/19/2010	\$2,500.00

PAY TO THE ORDER OF
St. Mary Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Linda M Chewer
AUTHORIZED SIGNATURE

⑈001696⑈ ⑆221172186⑆ 001 7042911⑈

Security features. Details on back.

PEOPLE'S UNITED COMMUNITY FOUNDATION

1696

1696

11/19/2010

Payee St. Mary Hospital Foundation, Inc.

Vendor ID St Mary Hosp Fdn

Account #:

Invoice	Description	Discount	Amount
210805	St Mary's Hospital	\$0.00	\$2,500.00
Total :		\$0.00	\$2,500.00

V77 11/9/10
\$ 2,500
11/19/10

Peoples United
Community Foundation

1056 Post Road, 2nd Floor
Fairfield, Connecticut 06824

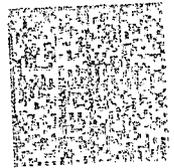
*Billie to Super +
return agreement
Harriet Dep ck +
Book restricted
contribution +
include a copy
of their letter
in month-end.*

Ms. Margaret Lawlor
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706

*Theresa
Dance*

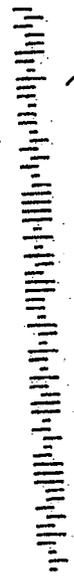
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REGISTERED
FIRST CLASS



UNITED STATES POSTAGE
\$ 00.495
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MAILED FROM ZIP CODE 06804

*PS: Anyone know what
the Smiles for Seniors
program is?
Send copy of Grant
to Pat Evans*



**Appeal Summary Report
Gastroenterology, and 1/1987 - 12/2010**

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2000	Corporations 2000	1	\$0.00	\$0.00	\$820.87	\$0.00	\$820.87
cor2001	Corporations 2001	1	\$0.00	\$0.00	\$851.13	\$0.00	\$851.13
cor2002	Corporations 2002	2	\$0.00	\$0.00	\$1,561.81	\$0.00	\$1,561.81
cor2003	Corporations 2003	1	\$0.00	\$0.00	\$383.70	\$0.00	\$383.70
cor2004	Corporations 2004	2	\$0.00	\$0.00	\$817.96	\$0.00	\$817.96
cor97	Corporations 1997	1	\$0.00	\$0.00	\$494.00	\$0.00	\$494.00
cor98	Corporations 1998	1	\$0.00	\$0.00	\$541.00	\$0.00	\$541.00
cor99	Corporations 1999	1	\$0.00	\$0.00	\$606.35	\$0.00	\$606.35
ind92	Individuals 1992	1	\$0.00	\$1,200.00	\$0.00	\$0.00	\$1,200.00
maj98	Major Gifts 1998	1	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
mem2003	Remembrance 2003	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00

and Totals:

\$0.00 \$1,200.00 \$6,426.82 \$0.00 \$7,626.82

13 Gift(s) listed
6 Donor(s) listed

Fy3

Fund L ● il Report
Gastroenterology Fund

Grand Totals: \$1,200.00 \$6,426.82

13 Gift(s) listed
6 Donor(s) listed

PAOLO MAPELLI, M.D.
GASTROENTEROLOGY
133 SCOVILL STREET, SUITE 206
WATERBURY, CONNECTICUT 06706

REMITTANCE ADVICE	
(Donation)	

N^o 2497

PAY AMOUNT		Twelve hundred dollars and 00/100		DOLLARS	
DATE	CHECK NO.	TO THE ORDER OF		GROSS AMT.	DISC.
11/3/91	2497	ST. Mary's G.I. Nursing Education			
				CHECK AMOUNT	
				\$ 1200 .-	
				DESCRIPTION	

Paolo Mapelli



Naugatuck Savings Bank
NAUGATUCK, CONNECTICUT 06770

⑈002497⑈ ⑆211174178⑆ 153 001 629⑈

Appeal Summary Report
Endoscopy Dept. Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dml0506	Direct Mail Piece May, 2006	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem2005	Remembrance 2005	41	\$0.00	\$0.00	\$3,650.00	\$0.00	\$3,650.00
treelighting06	Tree Lighting 12/06	2	\$0.00	\$0.00	\$150.00	\$0.00	\$150.00
		and Totals:	\$0.00	\$0.00	\$3,825.00	\$0.00	\$3,825.00

44 Gift(s) listed

42 Donor(s) listed

F3

FUNERALS

Tuesday, November 30, 2004

LPVV

Joseph M. Nolan
Intelligence expert killed in Iraq

WATERBURY — Army Sgt. Joseph Michael Nolan, 27, of Bateswood Road, died Thursday, Nov. 18, in Baghdad.

Sgt. Nolan was born March 10, 1977, in Waterbury, son of Joseph P. Nolan and the late Inga (Martinson) Nolan. He lived in the North End of Waterbury and attended Regan School. He moved to Wolcott in 1986, and attended Alcott Middle School. He was a 1995 graduate of Wolcott High School, where he played football for the Wolcott Eagles as an offensive



Sgt. Nolan

lineman. He was also a 1999 graduate of St. Joseph's University in Philadelphia, where he majored in international relations and minored in Japanese culture, and also studied the Arabic language.

In 2000, he enlisted in the Army, and qualified for an elite Arabic language unit. He served as a military intelligence officer assigned to the 1st Calvary 312th Military Intelligence Battalion out of Fort Hood, Texas, and had been serving in Iraq since January.

Besides his father, he leaves a brother, Matthew J. Nolan of Philadelphia, Pa.; a sister, Martha M. Nolan of Waterbury; paternal grandfather, John W. Nolan of Westbury, R.I., and maternal grandmother Katherine Martinson of Westchester, Pa.

Arrangements: Funeral 11 a.m. Wednesday at St. Anthony's Church, Prospect. Burial with full military honors in Old St. Joseph Cemetery. Calling hours 9 to 11 a.m. Wednesday at the church. Arrangements by Bergin Funeral Home, 290 East Main St.

Contributions: C.O.C.O., Cheshire Occupation and Career Opportunities, 615 West Johnson Ave., Cheshire 06410, or the Scholarship at St. Joseph University, 5600 City Ave., Philadelphia, Pa. 19131.

Mrs. Helen M. Henderson

Was private duty nurse

GUILFORD — Helen Margaret (Geary) Henderson, 92, died Monday, Nov. 29, at Guilford House. She was the widow of Clayton W. Henderson.

Mrs. Henderson was born Sept. 28, 1912, in Naugatuck, daughter of the late Daniel and Margaret (Butler) Geary. She was a graduate of St. Vincent School of Nursing, and worked as a private duty registered nurse, until her retirement. She was a communicant of St. Anthony's Church, Prospect.

She leaves a daughter, Margaret Mouco of Madison, formerly of Prospect; a daughter in Florida; two grandchildren and two

Mrs. Zita Cortigiano
Saint Mary's Hospital nurse

WOLCOTT — Zita (Juraska) Cortigiano, 58, of 17 Village Drive, died Sunday, Nov. 28, at Saint Mary's Hospital. She was the wife of Michael R. Cortigiano Sr.

Mrs. Cortigiano was born Sept. 10, 1946, in Dillingen, Germany, daughter of the late John and Barbara Juraska. She moved to Waterbury in 1951, and later moved to Wolcott in 1977. She was a graduate of Saint Mary's School of Nursing and Mattatuck Community College, and was a registered nurse at Saint Mary's Hospital for more than 25 years. She was also a clinical manager at the gastroenterology department at the hospital.

Besides her husband of 41 years, she leaves a son, Michael R. Cortigiano Jr. of Wolcott; two daughters, Lisa Marie Mueller of Southington and Monica Lynn Oris of Cheshire; three brothers, John and Valentine Juraska, both of Waterbury, and Izzy Marie Thomas of Wolcott; three sisters, her twin, Marie Thomas of Wolcott, Ruth Liaukus of West Hartford, and Aniceta Juraska of Lithuania, and nine grandchildren.

Arrangements: Funeral 10 a.m. Wednesday from Chase Parkway Memorial/The Albin Family Funeral Home, 430 Chase Parkway, Waterbury, to St. Joseph Church for Mass at 11. Entombment: Calvary Queen of Peace Mausoleum. Calling hours 8 to 10 a.m. Wednesday at the funeral home.

Contributions: Saint Mary's Hospital Endoscopy Department, to further nursing education, 56 Franklin St., Waterbury 06706.

Mrs. Joanne Cody
Worked for Waterbury VNA

WATERBURY — Joanne (Charette) Cody, 62, of Lincolndale Drive, died Monday, Nov. 29, at Saint Mary's Hospital, after a long illness. She was the wife of John W. Cody.

Mrs. Cody was born April 13, 1942, in Waterbury, daughter of the late Rene and Aurora (Lagasse) Charette. She attended school in Fort Kent, Maine, and in Waterbury. She worked as a nurse's aid for the Waterbury Visiting Nurses, local VNA and nursing homes for more than 10 years. She was a member of St. Michael Church.

Besides her husband, she leaves two sons, Michael J. Cody of Waterbury and John W. Cody of Plymouth.



Mrs. Cody

Patrick J. Mahoney
World War II Veteran

WATERBURY — Patrick J. Mahoney, 82, of Marlboro Street, died Monday, Nov. 29, at Wolcott View Manor. He was the husband of Agnes Arlene (Phalen) Mahoney.

Mr. Mahoney was born Dec. 5, 1921, in Waterbury, son of the late John and Joanna (King) Mahoney. He attended St. Mary's Grammar School and Leavenworth High School, and was an Army veteran of World War II, serving in the infantry. He participated in the Pacific Campaign, and received the Good Conduct Medal, Asiatic Pacific Theater Campaign Ribbon, American Theater Campaign Ribbon, Philippine Liberation Ribbon with one Bronze Service Star, and the Victory Ribbon. He worked as a laborer and lab assistant at Century Brass for 32 years, until his retirement at the age of 62, and later worked as a deputy sheriff for New Haven County for 11 years. He was on the board of directors of the Morris Foundation for many years, and was active in the Waterbury Democratic Party. His hobbies included the Boston Red Sox.

Besides his wife, he leaves two sons, Kevin Mahoney of Waterbury and Michael of Prospect.

Arrangements: Mass 10 a.m. Thursday at Sacred Heart Church. Family and friends are asked to meet directly at the church. Burial with military honors in Calvary Cemetery. Calling hours 4 to 8 p.m. Wednesday at Bergin Funeral Home, 290 East Main St.

Contributions: Morris Foundation, Development Office, 402 East Main St., Waterbury 06702.

Roland J. LaMadeleine
Served in Patton's Third Army

PROSPECT — Roland J. LaMadeleine, 82, of 222 New Haven Road, died Saturday, Nov. 27, at his home. He was the widower of Yvonne (Drolet) LaMadeleine.

Mr. LaMadeleine was born Feb. 9, 1922, in Waterbury, son of the late Adelard and Dora (Beausoleil) LaMadeleine. He worked as a millwright for Chase Brass and Copper in Waterbury, until his retirement. He was an Army veteran of World War II, serving with the 87th Infantry Division (Golden Acorn Division), Gen. Patton's Third Army in France, Belgium, Luxembourg, Germany, and Czechoslovakia. He was a member of the



87th Infantry Division (Golden Acorn Division), Gen. Patton's Third Army in France, Belgium, Luxembourg, Germany, and Czechoslovakia. He was a member of the



Mr. Mahoney

Mrs. Lorraine Avenia — of Torrington Funeral 10 a.m. today from Phalen Funeral Home, 285 Migeon Ave., to St. Peter's Church for Mass at 10:30. Burial: St. Peter's New Cemetery.

Victoria A. D'Addio — 5, of Cheshire Funeral 10 a.m. today at St. Peter's Episcopal Church, 59 Main St. Arrangements Slater Funeral Home, 242 South Main (Route 10). Burial: St. Bridget's Cemetery.

Pasquale J. DeSantis — 87, of Waterbury Funeral 9 a.m. today, will leave Brennan Funeral Home, 768 Baldwin for St. Francis Xavier Church, for a Mass at 9:30 a.m. Burial to follow at Our Lady Cemetery. Calling hour 8 to 10 today at the funeral home.

Ronald D. Gow — 56, of Naugatuck Funeral with military honors 7 p.m. at Casey O'Donnell Family Funeral 1581 East Main St., Waterbury. Far friends may visit from 5 to 7 p.m. at the funeral home.

Ms. Helen M. Gundelach — 85, of Torrington a.m. today at Rowe Funeral Home Torrington Road, Litchfield. Burial: Side Cemetery, Torrington.

Frederick F. Hychka — 86, of Waterbury Military funeral 9 a.m. today from Parkway Memorial/The Albin Family Funeral Home, 430 Chase Parkway. Episcopal Church for a service. Burial: New Pine Grove Cemetery.

Mrs. Elizabeth C. Koeller — 81, of Falls. Funeral 8:45 a.m. today at Fitzgerald-Zembruski Funeral Home, North Main St., Naugatuck. Michael's Church for a Mass at 10 a.m. Burial: St. Michael's Cemetery.

Mrs. Violet M. Kowalski — 86, of Waterbury. Mass 10 a.m. today at Sacred Heart Church. Burial: Pine Hill Cemetery. Arrangements by Carpino Funeral Home, 750 Main St. South.

James J. LaGorga — 77, of Torrington Mass 10 a.m. today at St. Thomas Thomaston. Burial: Northfield Friends and family are asked to meet directly at church. Arrangements by Bergin Funeral Home, 46 High St., Torrington.

Mrs. Pamela A. Lence — 48, of Torrington Memorial service 1 p.m. today at St. Michael's Church, 35 Bellevue Ave. Burial: St. Michael's Cemetery.

Judson W. Lewis Jr. — 76, of Torrington Funeral with full military honors today from Casey-O'Donne

Ms. Sarah A. Lee

Was Dresser Industries: MONROE — Sarah Ann died Saturday, Nov. 27, at St. Peter's Episcopal Center.

Ms. Lee was born April 10, 1928, in Burlington, Vt., daughter of J. and Genevieve (Thompson) Lee. She worked as a manager for Dresser Industries for 30 years.

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Joseph A. Mengacci	\$25.00	12/24/2004	Remembrance 2005	Zita A. Cortigiano
S. Roosa	\$25.00	12/24/2004	Remembrance 2005	Zita A. Cortigiano
A. Sambuco	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Voland J. Smedes	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Minnie E. Gillette	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Lord Joseph LTD	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Joseph A. Mengacci	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Richard J. Kiley	\$100.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Robert W. Fusco	\$10.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Frank Matan	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Margaret M. Bruscano	\$10.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Mary C. Divigard	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Carmela B. Juliani	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Paolo Mapelli	\$2,000.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Palmer/Herman Chiropractic Phys	\$100.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Ronald Zlotoff	\$100.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Judith A. Smedes	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Mildred Yorke	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
William J. Christman	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Sandra Harrison	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
John D. Napiello	\$10.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Geraldine Bergentino	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Alexander G. Zambetti	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Pearl J. Mancuso	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
K.W. Carlson	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
White-Bowman, Inc.	\$100.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Bruce A. Fischman	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
do G. Mari	\$100.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
eph J. DeMaio	\$25.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Patricia J. Davis	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
James L. Vander Eyk	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Jon E. Eggenberger	\$20.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
James S. Meyers	\$50.00	12/31/2004	Remembrance 2005	Zita A. Cortigiano
Patricia Siemensi	\$5.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
Maureen Creem	\$10.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
Cheryl Werner	\$5.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
Margaret Kulmann	\$5.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
Mary H. Palmer	\$5.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
Eaos Sinoro	\$5.00	1/19/2005	Remembrance 2005	Zita A. Cortigiano
William E. Tarasuk	\$50.00	1/31/2005	Remembrance 2005	Zita A. Cortigiano
Digestive Disease Center of Conn	\$300.00	2/23/2005	Remembrance 2005	Zita A. Cortigiano
Robert J. Oris	\$25.00	6/22/2006	Direct Mail Piece May, 2006	Zita A. Cortigiano
Paolo Mapelli	\$100.00	11/21/2006	Tree Lighting 12/06	Zita A. Cortigiano
Robert J. Oris	\$50.00	11/30/2006	Tree Lighting 12/06	Zita A. Cortigiano

Appeal Summary Report

Oncology Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$3,921.08	\$0.00	\$3,921.08
fund2000	Foundations 2000	1	\$0.00	\$0.00	\$707.54	\$0.00	\$707.54
fund2001	Foundations 2001	1	\$0.00	\$0.00	\$775.30	\$0.00	\$775.30
fund2002	Foundations 2002	1	\$0.00	\$0.00	\$749.08	\$0.00	\$749.08
fund2003	Foundations 2003	1	\$0.00	\$0.00	\$630.85	\$0.00	\$630.85
fund2005	Foundations 2005	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
fund90	Foundations 1990	1	\$0.00	\$0.00	\$365.00	\$0.00	\$365.00
fund91	Foundations 1991	1	\$0.00	\$0.00	\$465.00	\$0.00	\$465.00
fund92	Foundations 1992	1	\$0.00	\$426.00	\$0.00	\$0.00	\$426.00
fund93	Foundations 1993	1	\$0.00	\$416.00	\$0.00	\$0.00	\$416.00
fund94	Foundations 1994	2	\$0.00	\$1,422.00	\$0.00	\$0.00	\$1,422.00
fund95	Foundations 1995	1	\$0.00	\$440.00	\$0.00	\$0.00	\$440.00
fund96	Foundations 1996	1	\$0.00	\$440.00	\$0.00	\$0.00	\$440.00
fund97	Foundations 1997	1	\$0.00	\$0.00	\$477.00	\$0.00	\$477.00
fund98	Foundations 1998	1	\$0.00	\$0.00	\$516.00	\$0.00	\$516.00
fund99	Foundations 1999	2	\$0.00	\$0.00	\$10,630.00	\$0.00	\$10,630.00
grant	Grant	7	\$0.00	\$0.00	\$3,588.90	\$0.00	\$3,588.90
ind91	Individuals 1991	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
ind92	Individuals 1992	1	\$0.00	\$0.00	\$0.00	\$500.00	\$500.00
ind93	Individuals 1993	1	\$0.00	\$125.00	\$0.00	\$0.00	\$125.00
junnl	June Newsletter	17	\$0.00	\$582.50	\$0.00	\$0.00	\$582.50
maj92	Major Gifts 1992	1	\$0.00	\$200.00	\$0.00	\$0.00	\$200.00
maj96	Major Gifts 1996	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
maj97	Major Gifts 1997	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
mem2000	Remembrance 2000	9	\$0.00	\$0.00	\$425.00	\$0.00	\$425.00
mem2002	Remembrance 2002	21	\$0.00	\$0.00	\$605.00	\$0.00	\$605.00
mem2003	Remembrance 2003	9	\$0.00	\$0.00	\$210.00	\$0.00	\$210.00
mem2005	Remembrance 2005	34	\$0.00	\$0.00	\$1,955.00	\$0.00	\$1,955.00
mem2006	Remembrance 2006	2	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
mem2007	Remembrance 2007	4	\$0.00	\$0.00	\$110.00	\$0.00	\$110.00
mem2008	Remembrance 2008	32	\$0.00	\$0.00	\$855.00	\$0.00	\$855.00
mem2009	Remembrance 2009	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem90	Remembrance 1990	19	\$0.00	\$0.00	\$873.00	\$0.00	\$873.00

Appeal Summary Report

Oncology Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
mem91	Remembrance 1991	55	\$0.00	\$0.00	\$2,198.00	\$0.00	\$2,198.00
mem92	Remembrance 1992	92	\$0.00	\$0.00	\$2,643.00	\$0.00	\$2,643.00
mem93	Remembrance 1993	62	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
mem94	Remembrance 1994	48	\$0.00	\$0.00	\$1,675.00	\$0.00	\$1,675.00
mem95	Remembrance 1995	12	\$0.00	\$0.00	\$2,960.00	\$0.00	\$2,960.00
mem96	Remembrance 1996	5	\$0.00	\$0.00	\$1,190.00	\$0.00	\$1,190.00
mem97	Remembrance 1997	59	\$0.00	\$0.00	\$2,676.50	\$0.00	\$2,676.50
mem98	Remembrance 1998	2	\$0.00	\$0.00	\$85.00	\$0.00	\$85.00
mem99	Remembrance 1999	5	\$0.00	\$0.00	\$190.00	\$0.00	\$190.00
ph92	Phonathon 1992	1	\$0.00	\$10.00	\$0.00	\$0.00	\$10.00
ph94	Phonathon 1994	1	\$0.00	\$10.00	\$0.00	\$0.00	\$10.00
rem2000	Past Remembrances 2000	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2001	Past Remembrances 2001	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2002	Past Remembrances 2002	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2003	Past Remembrances 2003	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2004	Past Remembrances 2004	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2005	Past Remembrances 2005	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2006	Past Remembrances 2006	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2007	Past Remembrances 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2008	Past Remembrances 2008	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2010	Past Remembrances 2010	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem98	Past Remembrances 1998	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem99	Past Remembrances '99	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
tree lighting06	Tree Lighting 12/06	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
and Totals:							
			\$0.00	\$4,221.50	\$44,696.25	\$500.00	\$49,417.75

536 Gift(s) listed

491 Donor(s) listed

Appeal Summary Report
Xavier 3 Nursing Staff Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ind2003	Individuals 2003	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
ind2004	Individuals 2004	3	\$0.00	\$0.00	\$3,000.00	\$0.00	\$3,000.00
ind2005	Unsolicited 2005	3	\$0.00	\$0.00	\$3,000.00	\$0.00	\$3,000.00
ind2006	Unsolicited 2006	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
mem2002	Remembrance 2002	7	\$0.00	\$0.00	\$660.00	\$0.00	\$660.00
mem2004	Remembrance 2004	4	\$0.00	\$0.00	\$105.00	\$0.00	\$105.00
mem2005	Remembrance 2005	3	\$0.00	\$0.00	\$95.00	\$0.00	\$95.00
mem2007	Remembrance 2007	8	\$0.00	\$0.00	\$395.00	\$0.00	\$395.00
mem98	Remembrance 1998	21	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
rem2003	Past Remembrances 2003	1	\$0.00	\$0.00	\$20.00	\$0.00	\$20.00
and Totals:							
			\$0.00	\$0.00	\$10,075.00	\$0.00	\$10,075.00

53 Gift(s) listed

45 Donor(s) listed

FS
F4

Appeal Summary Report Oncology; Oncology-Outpatient Unit Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2000	Corporations 2000	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
cor2004	Corporations 2004	2	\$0.00	\$0.00	\$4,221.08	\$0.00	\$4,221.08
fnd2000	Foundations 2000	1	\$0.00	\$0.00	\$707.54	\$0.00	\$707.54
fnd2001	Foundations 2001	1	\$0.00	\$0.00	\$775.30	\$0.00	\$775.30
fnd2002	Foundations 2002	1	\$0.00	\$0.00	\$749.08	\$0.00	\$749.08
fnd2003	Foundations 2003	1	\$0.00	\$0.00	\$630.85	\$0.00	\$630.85
fnd2005	Foundations 2005	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
fnd90	Foundations 1990	1	\$0.00	\$0.00	\$365.00	\$0.00	\$365.00
fnd91	Foundations 1991	1	\$0.00	\$0.00	\$465.00	\$0.00	\$465.00
fnd92	Foundations 1992	1	\$0.00	\$426.00	\$0.00	\$0.00	\$426.00
fnd93	Foundations 1993	1	\$0.00	\$416.00	\$0.00	\$0.00	\$416.00
fnd94	Foundations 1994	2	\$0.00	\$1,422.00	\$0.00	\$0.00	\$1,422.00
fnd95	Foundations 1995	1	\$0.00	\$440.00	\$0.00	\$0.00	\$440.00
fnd96	Foundations 1996	1	\$0.00	\$440.00	\$0.00	\$0.00	\$440.00
fnd97	Foundations 1997	1	\$0.00	\$0.00	\$477.00	\$0.00	\$477.00
fnd98	Foundations 1998	1	\$0.00	\$0.00	\$516.00	\$0.00	\$516.00
fnd99	Foundations 1999	2	\$0.00	\$0.00	\$10,630.00	\$0.00	\$10,630.00
fundam1003	Fundamentals Oct 2003	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
grant	Grant	7	\$0.00	\$0.00	\$3,588.90	\$0.00	\$3,588.90
ind2007	Unsolicited 2007	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
ind91	Individuals 1991	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
ind92	Individuals 1992	1	\$0.00	\$0.00	\$0.00	\$500.00	\$500.00
ind93	Individuals 1993	1	\$0.00	\$125.00	\$0.00	\$0.00	\$125.00
junn1	June Newsletter	17	\$0.00	\$582.50	\$0.00	\$0.00	\$582.50
maj2002	Major Gifts 2002	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
maj92	Major Gifts 1992	1	\$0.00	\$200.00	\$0.00	\$0.00	\$200.00
maj96	Major Gifts 1996	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
maj97	Major Gifts 1997	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
mem2000	Remembrance 2000	9	\$0.00	\$0.00	\$425.00	\$0.00	\$425.00
mem2002	Remembrance 2002	24	\$0.00	\$0.00	\$670.00	\$0.00	\$670.00
mem2003	Remembrance 2003	9	\$0.00	\$0.00	\$210.00	\$0.00	\$210.00
mem2005	Remembrance 2005	34	\$0.00	\$0.00	\$1,955.00	\$0.00	\$1,955.00
mem2006	Remembrance 2006	2	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00

Appeal Summary Report Oncology; Oncology-Outpatient Unit Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
mem2007	Remembrance 2007	4	\$0.00	\$0.00	\$110.00	\$0.00	\$110.00
mem2008	Remembrance 2008	32	\$0.00	\$0.00	\$855.00	\$0.00	\$855.00
mem2009	Remembrance 2009	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem90	Remembrance 1990	19	\$0.00	\$0.00	\$873.00	\$0.00	\$873.00
mem91	Remembrance 1991	55	\$0.00	\$0.00	\$2,198.00	\$0.00	\$2,198.00
mem92	Remembrance 1992	92	\$0.00	\$0.00	\$2,643.00	\$0.00	\$2,643.00
mem93	Remembrance 1993	62	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
mem94	Remembrance 1994	48	\$0.00	\$0.00	\$1,675.00	\$0.00	\$1,675.00
mem95	Remembrance 1995	12	\$0.00	\$0.00	\$2,960.00	\$0.00	\$2,960.00
mem96	Remembrance 1996	5	\$0.00	\$0.00	\$1,190.00	\$0.00	\$1,190.00
mem97	Remembrance 1997	59	\$0.00	\$0.00	\$2,676.50	\$0.00	\$2,676.50
mem98	Remembrance 1998	2	\$0.00	\$0.00	\$85.00	\$0.00	\$85.00
mem99	Remembrance 1999	81	\$0.00	\$0.00	\$3,335.00	\$0.00	\$3,335.00
ph92	Phonathon 1992	1	\$0.00	\$10.00	\$0.00	\$0.00	\$10.00
ph94	Phonathon 1994	1	\$0.00	\$10.00	\$0.00	\$0.00	\$10.00
rem2000	Past Remembrances 2000	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2001	Past Remembrances 2001	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2002	Past Remembrances 2002	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2003	Past Remembrances 2003	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2004	Past Remembrances 2004	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2005	Past Remembrances 2005	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2006	Past Remembrances 2006	2	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
rem2007	Past Remembrances 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2008	Past Remembrances 2008	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
rem2010	Past Remembrances 2010	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem98	Past Remembrances 1998	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem99	Past Remembrances '99	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
treelighting06	Tree Lighting 12/06	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00

Appeal Summary Report
Oncology; Oncology-Outpatient Unit Fund

and Totals:	\$0.00	\$4,221.50	\$48,916.25	\$500.00	\$53,637.75
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621 Gift(s) listed

571 Donor(s) listed



CONNECTICUT
COMMUNITY FOUNDATION

43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@conncf.org
www.conncf.org

April 20, 2011

Mr. Chad W. Wable
President & CEO
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Wable:

I am enclosing a contribution of \$ 557 representing the income from the Mary E. Brosnan Fund at the Connecticut Community Foundation. This generous gift from the fund is designated for general support of the Oncology Department.

We would appreciate your listing this contribution in your next annual report as a donation from the "Mary E. Brosnan Fund at the Connecticut Community Foundation."

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to the Connecticut Community Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication. Further, this grant cannot legally qualify for any benefits or other services to the donor who suggested it, such as membership, dinners, tickets, etc.

We are pleased to support Saint Mary's Hospital with this gift.

Sincerely,



Ingrid Manning
Chief Executive Officer



Enclosure
IM/tr

CONNECTICUT COMMUNITY FOUNDATION

8955

03/15/2011 008955

73 Saint Mary's Hospital

20110114	01/28/2011	Brosnan Designated	557.00	557.00
BROS		Mary E. Brosnan Fund		
20110138	01/28/2011	O'Hara-Feeley Designated	574.67	574.67
OHAR		O'Hara-Feeley Family Fund		
20110140	01/28/2011	Phelan Fund Designated	162.00	162.00
PHEL		William T. Phelan Fund		
20110172	01/28/2011	Angevine Designated	213.25	213.25
ANGE		Helen C. & Edwin H. Angevine Fund		
20110183	01/28/2011	Designated	1,250.00	1,250.00
GRWA		Martin & Celia Greenblatt Fund		

CHECK TOTAL: \$*****2,756.92

8955

WebsterBank
5170102111

CONNECTICUT COMMUNITY FOUNDATION

DATE: 03/15/2011

AMOUNT: Two Thousand Seven Hundred Fifty Six and 92/100

TO THE ORDER OF: Saint Mary's Hospital
ATTN: Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

AUTHORIZED SIGNATURE: *[Signature]*

Details on Back

⑈008955⑈ ⑆21170101⑆ 0009199774⑈

J3

F4
X



43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@connctf.org
www.connctf.org

March 26, 2010

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin St.
Waterbury, CT 06702

Oncology Fund
960523

Trustees

- Peter J. Jacoby, M.D.
President
- Richard E. Lau, D.V.M.
Vice President
- Christine Reardon
Secretary
- Edwin R. Rodriguez
Treasurer
- Jack Baker
- Charles J. Boulter III
- Isabelle V. Curtiss
- David DeNicolò
- Margaret W. Field
- James J. Lawlor
- Patricia H. Mayfield
- John T. McCarthy
- Wayne P. McCormack
- John Millington
- Jeanne Papparazzo
- Molly A. Parker
- Frank J. Scinto
- A.J. Wasserstein
- Ingrid Manning
CEO

Dear Ms. Evans:

I am enclosing a contribution of \$548.00 representing the income from the ~~Mary E. Brosnan Fund~~ at The Connecticut Community Foundation. This gift from the fund is ~~designated for general support of the Oncology Department~~

We would appreciate your listing this contribution in your next annual report as a donation from the "Mary E. Brosnan Fund" at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,

Ingrid Manning
Chief Executive Officer

Enclosure
IM/tr

V# 8389
\$ 1,272.67
3/25/10



Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Connecticut Community Foundation	\$365.00	3/30/1990	Foundations 1990	
Skrip	\$20.00	8/23/1990	Remembrance 1990	Denise McCormack
Cardella	\$25.00	8/23/1990	Remembrance 1990	Denise McCormack
Pauline M. Rinaldi	\$15.00	8/23/1990	Remembrance 1990	Denise McCormack
Judith A. Regan	\$25.00	8/23/1990	Remembrance 1990	Denise McCormack
Betty D. Lewis	\$100.00	8/23/1990	Remembrance 1990	Denise McCormack
Raymond J. Asselin	\$25.00	8/23/1990	Remembrance 1990	Denise McCormack
Richard Cardella's Jewel Box	\$25.00	8/23/1990	Remembrance 1990	Denise McCormack
Jaci Carroll Staffing Co., Inc.	\$100.00	9/11/1990	Remembrance 1990	Denise McCormack
Stoll's Pharmacy, Inc.	\$50.00	9/11/1990	Remembrance 1990	Denise McCormack
Blanche S. Feero	\$15.00	9/11/1990	Remembrance 1990	Denise McCormack
Jennie G. Caporaso	\$10.00	9/11/1990	Remembrance 1990	Denise McCormack
Michael Morino	\$50.00	9/11/1990	Remembrance 1990	Denise McCormack
Rose DiFrancesco	\$50.00	9/11/1990	Remembrance 1990	Denise McCormack
Tom's Sunoco Sales	\$50.00	9/11/1990	Remembrance 1990	Denise McCormack
Pride Health Care, Inc.	\$25.00	9/20/1990	Remembrance 1990	Denise McCormack
Michael A. Solomito	\$100.00	9/20/1990	Remembrance 1990	Denise McCormack
Agnes O'Loughlin	\$15.00	9/20/1990	Remembrance 1990	Denise McCormack
Mattatuck Community College Sta	\$123.00	9/20/1990	Remembrance 1990	Denise McCormack
P-A-R Precision, Inc.	\$50.00	9/20/1990	Remembrance 1990	Denise McCormack
John F. Croweak	\$50.00	10/4/1990	Remembrance 1991	Marcella A. Carrington
Janet M. Swift	\$10.00	10/4/1990	Remembrance 1991	Marcella A. Carrington
Ralph L. Cross	\$20.00	10/4/1990	Remembrance 1991	Marcella A. Carrington
Bradshaw, Inc.	\$25.00	10/4/1990	Remembrance 1991	Denise McCormack
Walter Seabicki	\$10.00	10/9/1990	Remembrance 1991	Marcella A. Carrington
Roger E. Mellitt	\$25.00	10/9/1990	Remembrance 1991	Marcella A. Carrington
Anthony J. Martin	\$100.00	10/18/1990	Remembrance 1991	Marcella A. Carrington
Team Blue Cross & Blue Shield	\$100.00	10/18/1990	Remembrance 1991	Marcella A. Carrington
Ms A. Vail	\$5.00	11/21/1990	Remembrance 1991	Marcella A. Carrington
Grace Piola	\$50.00	12/7/1990	Remembrance 1991	Rocco Deloi
Roger F. Carroll	\$15.00	12/7/1990	Remembrance 1991	Rocco Deloi
Claire D. Tamburini	\$10.00	12/7/1990	Remembrance 1991	Rocco Deloi
Nepton Jaffer	\$25.00	12/17/1990	Remembrance 1991	Rocco Deloi
Robert Brandmeyer	\$25.00	12/17/1990	Remembrance 1991	Rocco Deloi
Vito Cappa	\$25.00	12/17/1990	Remembrance 1991	Rocco Deloi
Constance Coviello	\$10.00	12/17/1990	Remembrance 1991	Marcella A. Carrington
Robin B. Pape	\$250.00	12/27/1990	Individuals 1991	
St. Mary's Operating Room & Ane	\$130.00	1/14/1991	Remembrance 1991	Lawrence Brandolini
Dorothy Lukas	\$20.00	1/17/1991	Remembrance 1991	Lawrence Brandolini
Michael Granatuk	\$20.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Italian Heritage Club	\$10.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
First Baptist Church of Women	\$10.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Edward M. Groody	\$25.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Wanda Lorenson	\$25.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Genevieve I. Cavallerano	\$15.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Sebastian Debellis	\$10.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Carlton E. Cruff	\$30.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Diane M. Granatuk	\$10.00	1/24/1991	Remembrance 1991	Lawrence Brandolini
Sandra Banisky	\$20.00	2/5/1991	Remembrance 1991	Lawrence Brandolini
Al Grella	\$10.00	2/5/1991	Remembrance 1991	Lawrence Brandolini
Christina J. MacKie	\$25.00	2/5/1991	Remembrance 1991	Lawrence Brandolini
Robert S. Bartley	\$250.00	2/5/1991	Remembrance 1991	Lawrence Brandolini
Whe Semonian	\$10.00	2/5/1991	Remembrance 1991	Lawrence Brandolini
Art Mendillo	\$5.00	2/12/1991	Remembrance 1991	
Ms. B. Duley	\$15.00	2/12/1991	Remembrance 1991	
Abby N. Wells	\$150.00	2/21/1991	Remembrance 1991	
Virginia Bradley	\$20.00	2/21/1991	Remembrance 1991	
Lorraine Marti	\$25.00	2/21/1991	Remembrance 1991	
Marie Sabetta	\$10.00	2/21/1991	Remembrance 1991	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Richard "Dick" T. Cooney	\$15.00	2/25/1991	Remembrance 1991	Robert J. Fallon
Walter D. Hoffman	\$50.00	3/4/1991	Remembrance 1991	
Thomas M. Mahoney	\$25.00	3/4/1991	Remembrance 1991	Lawrence Brandolini
Robert J. Kane	\$75.00	3/4/1991	Remembrance 1991	
Josephine Atteratta	\$25.00	3/4/1991	Remembrance 1991	
Linda Latimer Rickert	\$30.00	3/21/1991	Remembrance 1991	
William Kenney	\$10.00	3/27/1991	Remembrance 1991	
Mary E. Brosnan Fund at The Cor	\$465.00	4/11/1991	Foundations 1991	
Albert N. Covino	\$25.00	7/24/1991	Remembrance 1991	Lillian Delcioppo
Anthony Delcioppo	\$100.00	7/24/1991	Remembrance 1991	Lillian Delcioppo
Josephine Becce	\$40.00	7/24/1991	Remembrance 1991	Lillian Delcioppo
Jennifer Strathman	\$215.00	8/19/1991	Remembrance 1991	Thomas Burns
Walter Makauskas	\$10.00	8/29/1991	Remembrance 1991	Thomas Burns
Stephanie Conyers	\$50.00	9/11/1991	Remembrance 1991	Donald Kerr
Champ's Restaurant	\$83.00	9/30/1991	Remembrance 1991	Donald Kerr
Edith Howley	\$25.00	9/30/1991	Remembrance 1991	Mrs. Kathleen Buchta
Eva Valentine	\$10.00	9/30/1991	Remembrance 1991	Hilda Hackett
Margarita Vargas	\$60.00	9/30/1991	Remembrance 1991	Mr. Dawson Kerr
Elizabeth Ahlstrand	\$25.00	10/30/1991	Remembrance 1992	Cathy Buchta
Michael J. Zboyan	\$10.00	11/18/1991	Remembrance 1992	Mrs. Kathleen Buchta
Derby High School	\$40.00	12/16/1991	Remembrance 1992	Mr. Dawson Kerr
William G. Millman	\$15.00	12/16/1991	Remembrance 1992	Christopher Holmes
William M. Lonergan	\$25.00	12/16/1991	Remembrance 1992	Christopher Holmes
Burdette Cleaners, Inc.	\$15.00	12/16/1991	Remembrance 1992	Christopher Holmes
Ken Shove	\$25.00	12/16/1991	Remembrance 1992	Christopher Holmes
L. Clifford Warner	\$50.00	12/16/1991	Remembrance 1992	Christopher Holmes
Eleanor M. Scheiber	\$25.00	12/16/1991	Remembrance 1992	Christopher Holmes
Thomas A. Shea	\$25.00	12/16/1991	Remembrance 1992	Christopher Holmes
Thomas M. Marzinotto	\$40.00	12/16/1991	Remembrance 1992	Christopher Holmes
The Western School Good Cheer	\$30.00	12/16/1991	Remembrance 1992	Christopher Holmes
Sacred Heart Teachers Associatio	\$100.00	12/18/1991	Remembrance 1992	Christopher Holmes
Anthony J. Lombard	\$25.00	12/18/1991	Remembrance 1992	Christopher Holmes
Marianne Williams	\$25.00	12/18/1991	Remembrance 1992	Christopher Holmes
Carl Trombley	\$20.00	12/18/1991	Remembrance 1992	Christopher Holmes
Kathleen M. Fruin	\$25.00	12/18/1991	Remembrance 1992	Christopher Holmes
Tammy A. Murray	\$20.00	12/18/1991	Remembrance 1992	Christopher Holmes
Daniel Rooney	\$25.00	12/20/1991	Remembrance 1992	Christopher Holmes
Patrick Dillon	\$25.00	12/20/1991	Remembrance 1992	Christopher Holmes
Alex Novak	\$18.00	12/20/1991	Remembrance 1992	Christopher Holmes
Irene C. Stabicki	\$10.00	12/20/1991	Remembrance 1992	Christopher Holmes
Richard J. Shanahan	\$20.00	12/20/1991	Remembrance 1992	Christopher Holmes
Salem School Sunshine Fund	\$50.00	12/20/1991	Remembrance 1992	Christopher Holmes
Kathleen Gracie	\$15.00	12/20/1991	Remembrance 1992	Christopher Holmes
James O'Connell	\$25.00	12/20/1991	Remembrance 1992	Christopher Holmes
Anne L. Madden	\$10.00	12/20/1991	Remembrance 1992	Christopher Holmes
Thomas F. McCarthy	\$20.00	12/20/1991	Remembrance 1992	Christopher Holmes
Gregory J. Phelan	\$10.00	12/30/1991	Remembrance 1992	Christopher Holmes
William McCarthy	\$25.00	12/30/1991	Remembrance 1992	Christopher Holmes
Robert C. Desmarais	\$25.00	12/30/1991	Remembrance 1992	Christopher Holmes
Rose M. Walsh	\$25.00	12/30/1991	Remembrance 1992	Christopher Holmes
Connecticut Credit Union League	\$50.00	1/3/1992	Remembrance 1992	Christopher Holmes
Albert J. Pope	\$15.00	1/9/1992	Remembrance 1992	Christopher Holmes
Shirley M. Good	\$50.00	1/9/1992	Remembrance 1992	Christopher Holmes
Edward Ciesla	\$10.00	1/9/1992	Remembrance 1992	Christopher Holmes
Edward J. Leary	\$30.00	1/9/1992	Remembrance 1992	Christopher Holmes
Herbert A. Darling	\$25.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Angela L. Spallone	\$5.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Danielle Napier	\$20.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Kenneth Miller	\$60.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Mickey Herbert	\$25.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Francis M. McDonald	\$10.00	1/21/1992	Remembrance 1992	Christopher Holmes
John Daniels	\$20.00	1/21/1992	Remembrance 1992	Christopher Holmes
Adam MacCiolo	\$25.00	1/21/1992	Remembrance 1992	Christopher Holmes
Francis M. McDonald	\$10.00	1/21/1992	Remembrance 1992	Carmine Cocchiolia
Philip J. Passantino	\$25.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Sharon Poplaski	\$40.00	1/21/1992	Remembrance 1992	Raymond J. Cavanaugh
Jan Curry	\$100.00	1/21/1992	Remembrance 1992	Hilda Hackett
Rosemary Lamanno	\$10.00	1/21/1992	Remembrance 1992	Christopher Holmes
Sacred Heart High School	\$100.00	1/21/1992	Remembrance 1992	Christopher Holmes
Eleanor Tynan	\$65.00	1/28/1992	Remembrance 1992	Raymond J. Cavanaugh
Waterbury Jaycees, Inc.	\$25.00	1/28/1992	Remembrance 1992	Raymond J. Cavanaugh
Robert E. Veillette	\$15.00	2/3/1992	Remembrance 1992	Raymond J. Cavanaugh
Olga L. Buttrick	\$25.00	2/3/1992	Remembrance 1992	Raymond J. Cavanaugh
Thomas F. Browne	\$25.00	2/3/1992	Remembrance 1992	Christopher Holmes
James M. Mahoney	\$10.00	2/12/1992	Remembrance 1992	Raymond J. Cavanaugh
Irene Landusky	\$10.00	2/12/1992	Remembrance 1992	Raymond J. Cavanaugh
Virginia Huddleston	\$25.00	2/17/1992	Remembrance 1992	Christopher Holmes
Beverly J. Miglietta	\$10.00	2/17/1992	Remembrance 1992	Christopher Holmes
Dianne T. Durand	\$10.00	2/17/1992	Remembrance 1992	Christopher Holmes
Barbara Lucas	\$15.00	2/17/1992	Remembrance 1992	Christopher Holmes
Daniel T. Driscoll	\$10.00	2/27/1992	Remembrance 1992	Christopher Holmes
Charles White	\$200.00	3/9/1992	Major Gifts 1992	Christopher Holmes
Charles White	\$200.00	3/9/1992	Major Gifts 1992	
June Titus	\$10.00	3/16/1992	Remembrance 1992	Gail Cibelli
Lois S. Dwyer	\$10.00	3/25/1992	Remembrance 1992	Nicholas Kohanow
Philip V. Benevento	\$25.00	3/25/1992	Remembrance 1992	Nicholas Kohanow
Paula S. Wooding	\$40.00	3/31/1992	Remembrance 1992	Nicholas Kohanow
Laura Purdy	\$20.00	3/31/1992	Remembrance 1992	Nicholas Kohanow
Cynthia Baldwin	\$50.00	3/31/1992	Remembrance 1992	Nicholas Kohanow
Vincent O. Palladino	\$10.00	3/31/1992	Remembrance 1992	Nicholas Kohanow
Crosby High School Social Studies	\$50.00	4/16/1992	Remembrance 1992	Nicholas Kohanow
Hannah Gay	\$10.00	4/16/1992	Remembrance 1992	Nicholas Kohanow
St. Mary's Department of Nursing	\$500.00	4/16/1992	Individuals 1992	Francis Sacco
St. Mary's Department of Nursing	\$500.00	4/16/1992	Individuals 1992	
Veronica Mary Leduc	\$10.00	4/27/1992	Remembrance 1992	Francis Mahoney
Esther Brown	\$25.00	4/27/1992	Remembrance 1992	Francis Mahoney
Carol A. George	\$20.00	5/12/1992	Remembrance 1992	Francis Mahoney
Patricia Corvello	\$20.00	5/12/1992	Remembrance 1992	Francis Mahoney
Mary L. Famiglietti	\$10.00	5/19/1992	Phonathon 1992	
Gertrude Smoley	\$10.00	5/27/1992	Remembrance 1992	Francis Mahoney
James McGrath	\$25.00	5/27/1992	Remembrance 1992	Francis Mahoney
Matthew T. Callahan	\$10.00	6/4/1992	Remembrance 1992	Dominic Pilla
Mary L. Famiglietti	\$10.00	6/12/1992	Phonathon 1992	
Antonia S. DeFeo	\$20.00	6/22/1992	Remembrance 1992	Dominic Pilla
Gene Parker	\$10.00	6/22/1992	Remembrance 1992	Dominic Pilla
Margaret May	\$100.00	6/25/1992	June Newsletter	
Evelyn MacIntosh	\$5.00	6/25/1992	June Newsletter	
Kathleen Stein	\$25.00	6/25/1992	June Newsletter	
Margaret R. Leggett	\$100.00	6/25/1992	June Newsletter	
Edward S. Leggett	\$10.00	6/25/1992	June Newsletter	
Margaret R. Leggett	\$100.00	6/25/1992	June Newsletter	
John Giuliano	\$25.00	6/25/1992	June Newsletter	
Branna Arzigian	\$50.00	6/25/1992	June Newsletter	
Christina Salaris	\$10.00	6/25/1992	June Newsletter	
Kathleen Stein	\$25.00	6/25/1992	June Newsletter	
Margaret May	\$100.00	6/25/1992	June Newsletter	
Evelyn MacIntosh	\$5.00	6/25/1992	June Newsletter	
Branna Arzigian	\$50.00	6/25/1992	June Newsletter	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Christina Salaris	\$10.00	6/25/1992	June Newsletter	
Joseph Giuliano	\$25.00	6/25/1992	June Newsletter	
Edward S. Leggett	\$10.00	6/25/1992	June Newsletter	
Jeffrey Schmidt	\$25.00	6/26/1992	Remembrance 1992	Dominic Pilla
Linda Napiello	\$150.00	6/26/1992	Remembrance 1992	Dominic Pilla
John R. Jones	\$10.00	7/17/1992	June Newsletter	
Gloria Caldarone	\$25.00	7/17/1992	June Newsletter	
John R. Jones	\$10.00	7/17/1992	June Newsletter	
Gloria Caldarone	\$25.00	7/17/1992	June Newsletter	
Helen Borbas	\$100.00	7/20/1992	June Newsletter	
Jane S. Szczesiul	\$25.00	7/20/1992	June Newsletter	
Theodore Konstantino	\$50.00	7/20/1992	June Newsletter	
Jane S. Szczesiul	\$25.00	7/20/1992	June Newsletter	
Theodore Konstantino	\$50.00	7/20/1992	June Newsletter	
Helen Borbas	\$100.00	7/20/1992	June Newsletter	
Virginia C. Pandiscia	\$10.00	7/31/1992	June Newsletter	
James W. Gardner	\$2.50	7/31/1992	June Newsletter	
James W. Gardner	\$2.50	7/31/1992	June Newsletter	
Virginia C. Pandiscia	\$10.00	7/31/1992	June Newsletter	
Patricia C. Ricchezza	\$25.00	8/13/1992	June Newsletter	
Patricia C. Ricchezza	\$25.00	8/13/1992	June Newsletter	
Mary E. Brosnan Fund at The Cor	\$426.00	8/25/1992	Foundations 1992	
Mary E. Brosnan Fund at The Cor	\$426.00	8/25/1992	Foundations 1992	
Rolande Lannon	\$25.00	8/31/1992	Remembrance 1992	James Grieder
Anne Gilbert	\$25.00	8/31/1992	Remembrance 1992	Joanne Quinn
East Mountain Men's Golf Assoc.	\$100.00	9/18/1992	Remembrance 1992	John Stanwich
George Doolittle	\$10.00	9/18/1992	Remembrance 1992	John Stanwich
George Zurolo	\$15.00	9/18/1992	Remembrance 1992	John Stanwich
Edward B. Finkenzeller	\$100.00	9/18/1992	Remembrance 1992	John Stanwich
William E. Finkenzeller	\$50.00	9/18/1992	Remembrance 1992	John Stanwich
Richard T. Sparano	\$25.00	9/18/1992	Remembrance 1992	John Stanwich
Linda Comerchi	\$25.00	9/29/1992	Remembrance 1992	John Stanwich
Irene Landusky	\$10.00	10/19/1992	June Newsletter	
Irene Landusky	\$10.00	10/19/1992	June Newsletter	
Thomas F. Kenny	\$5.00	10/21/1992	Remembrance 1993	John Stanwich
Rosemary L. Moran	\$10.00	10/21/1992	Remembrance 1993	John Stanwich
Nicholas Delrosso	\$25.00	11/24/1992	Remembrance 1993	John Stanwich
Francis J. Stanevich	\$10.00	11/24/1992	Remembrance 1993	John Stanwich
Robin B. Pape	\$125.00	12/17/1992	Individuals 1993	
Robin B. Pape	\$125.00	12/17/1992	Individuals 1993	
Francis Marrello	\$50.00	1/15/1993	Remembrance 1993	Augustine Natale
Caroline Garthwait	\$10.00	1/15/1993	Remembrance 1993	Augustine Natale
Carmella A. Ranaudo	\$10.00	1/21/1993	Remembrance 1993	Augustine Natale
Richard Whalen	\$25.00	1/21/1993	Remembrance 1993	Augustine Natale
Driggs School Sunshine Fund	\$30.00	1/21/1993	Remembrance 1993	Augustine Natale
Lillian Maffia	\$20.00	1/21/1993	Remembrance 1993	Augustine Natale
John Varanelli	\$25.00	1/21/1993	Remembrance 1993	Augustine Natale
Vincent A. Panelli	\$5.00	1/21/1993	Remembrance 1993	Augustine Natale
Rae G. Riccio	\$10.00	2/9/1993	Remembrance 1993	Augustine Natale
Philomena Egan	\$10.00	2/9/1993	Remembrance 1993	Augustine Natale
Marie J. Giordano	\$50.00	2/9/1993	Remembrance 1993	Augustine Natale
Pamela Natale	\$10.00	2/9/1993	Remembrance 1993	Augustine Natale
John Maislin	\$25.00	2/9/1993	Remembrance 1993	Augustine Natale
James Longo	\$15.00	2/9/1993	Remembrance 1993	Augustine Natale
Joseph A. Santalucia	\$10.00	2/9/1993	Remembrance 1993	Augustine Natale
Leonard Margiotta	\$20.00	2/9/1993	Remembrance 1993	Augustine Natale
Domenic Rubbo	\$25.00	2/9/1993	Remembrance 1993	Augustine Natale
Norman Griswald	\$25.00	2/9/1993	Remembrance 1993	Augustine Natale
Jennie Pica	\$15.00	2/19/1993	Remembrance 1993	Augustine Natale

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Anthony Ettienne	\$25.00	2/19/1993	Remembrance 1993	Avis Phillip Ettienne,
Richard J. Kiley	\$25.00	2/26/1993	Remembrance 1993	Joseph Lombardo
A. Geary	\$5.00	2/26/1993	Remembrance 1993	Joseph Lombardo
Lois Crucitti	\$25.00	2/26/1993	Remembrance 1993	Joseph Lombardo
Mary C. Minnehan	\$5.00	3/10/1993	Remembrance 1993	Joseph Lombardo
Kathleen E. Dowling	\$5.00	3/10/1993	Remembrance 1993	Joseph Lombardo
Tips Top Toes Salon	\$20.00	3/31/1993	Remembrance 1993	Oncology Department
Anna Famiglietti	\$5.00	3/31/1993	Remembrance 1993	Oncology Department
Ann R. McHugh	\$15.00	3/31/1993	Remembrance 1993	Joseph Lombardo
Shirley Stone	\$40.00	3/31/1993	Remembrance 1993	Joseph Lombardo
Patricia Mencio	\$25.00	3/31/1993	Remembrance 1993	Augustine Natale
Rita M. LaChance	\$100.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Robert R. Belval	\$25.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Hillhouse Sunshine Fund	\$40.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Claire D'Amico	\$10.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Shirley Daddona	\$35.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Gene Richardelli	\$5.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Alan Gambino	\$20.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Joseph A. Jacovino	\$20.00	4/30/1993	Remembrance 1993	Phyllis Bosco
Mary E. Brosnan Fund at The Cor	\$416.00	5/10/1993	Foundations 1993	
Mary E. Brosnan Fund at The Cor	\$416.00	5/10/1993	Foundations 1993	
Richard Zercie	\$15.00	5/27/1993	Remembrance 1993	Karen Aloise
Rosemary Crella	\$15.00	5/27/1993	Remembrance 1993	Karen Aloise
Ginny Napiello	\$25.00	5/27/1993	Remembrance 1993	Karen Aloise
Richard Manzi	\$25.00	5/27/1993	Remembrance 1993	Karen Aloise
Wolk, Pickett, Greenwood & Comp	\$10.00	5/27/1993	Remembrance 1993	Raymond Carrah
George M. McCabe	\$20.00	6/3/1993	Remembrance 1993	Raymond Carrah
Opportunities for Waterbury,	\$35.00	6/3/1993	Remembrance 1993	Karen Aloise
Kowzun	\$25.00	6/3/1993	Remembrance 1993	Raymond Carrah
Robert Mendillo	\$5.00	6/3/1993	Remembrance 1993	Raymond Carrah
Loretta Perin	\$20.00	6/3/1993	Remembrance 1993	
Roy Salerno	\$10.00	6/9/1993	Remembrance 1993	
Bernice Shanik	\$15.00	6/9/1993	Remembrance 1993	Karen Aloise
Robert Rinaldi	\$20.00	6/9/1993	Remembrance 1993	Karen Aloise
Farmington High School Faculty &	\$25.00	6/9/1993	Remembrance 1993	Karen Aloise
Frank Caiazzo	\$25.00	6/15/1993	Remembrance 1993	
Viola M. Rek	\$20.00	6/15/1993	Remembrance 1993	
Barbara Gervais	\$10.00	7/26/1993	Remembrance 1993	Karen Aloise
Angeline Tufarolo	\$5.00	9/13/1993	Remembrance 1993	Phyllis Bosco
Shirley R. Dancer	\$10.00	9/13/1993	Remembrance 1993	Alfred Bailey
Frank Cipriano	\$25.00	9/23/1993	Remembrance 1993	Raymond Carrah
Judith R. Haddad	\$5.00	10/21/1993	Remembrance 1994	Raymond Carrah
Jacqueline Banks	\$25.00	10/21/1993	Remembrance 1994	Raymond Carrah
Frank B. Hoefle Memorial Founda	\$1,000.00	10/21/1993	Foundations 1994	
Frank B. Hoefle Memorial Founda	\$1,000.00	10/21/1993	Foundations 1994	
Nancy Foley-Potter	\$25.00	12/8/1993	Remembrance 1994	James Gibbons
Albert J. Zapatka	\$10.00	12/16/1993	Remembrance 1994	James Gibbons
Ceil House	\$25.00	12/23/1993	Remembrance 1994	James Gibbons
Alfred Dykerman	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
S.N.E.T.'S Learning Center	\$50.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Mary Evans	\$20.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Waterbury Operator Services Dep	\$100.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Andy Leonard	\$50.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Bochicchio	\$20.00	1/13/1994	Remembrance 1994	Elaine Chatfield
S. n Rosenblatt	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
William J. Sereduck	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Joseph Palladino	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Robin B. Pape	\$250.00	1/13/1994	Remembrance 1994	Elaine Chatfield
John M. Van Kirk	\$20.00	1/13/1994	Remembrance 1994	Elaine Chatfield

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Andrew Sytnik	\$10.00	1/13/1994	Remembrance 1994	Elaine Chatfield
David MacHell	\$50.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Ma Ochman	\$50.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Everett Lister	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Raymond A. Fontaine	\$20.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Perry A. McCowan	\$25.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Waterbury Dekhockey Center	\$50.00	1/13/1994	Remembrance 1994	Elaine Chatfield
S.N.E.T. Staff of the 5th Floor	\$150.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Richard Colvin	\$15.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Jerry Muccio	\$10.00	1/13/1994	Remembrance 1994	Elaine Chatfield
Ronald P. Gallant	\$25.00	1/19/1994	Remembrance 1994	Elaine Chatfield
Ronald T. Turmel	\$25.00	1/19/1994	Remembrance 1994	Elaine Chatfield
Sally B. Cole	\$10.00	1/19/1994	Remembrance 1994	Elaine Chatfield
Raymond Palmer	\$10.00	1/19/1994	Remembrance 1994	Elaine Chatfield
Randy Leonard	\$50.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Maria Freire	\$25.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Lori Likley	\$25.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Robert R. Oswald	\$25.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Robert Jerome	\$25.00	1/25/1994	Remembrance 1994	Elaine Chatfield
John F. Chipelo	\$10.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Phil Beausoleil	\$25.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Heritage Village Master Assn.	\$100.00	1/25/1994	Remembrance 1994	Elaine Chatfield
Gertrude Kearney	\$30.00	1/25/1994	Remembrance 1994	Robert Kearney
Ronald E. Picard	\$10.00	1/25/1994	Remembrance 1994	Robert Kearney
Eileen T. Kieffer	\$10.00	1/25/1994	Remembrance 1994	Robert Kearney
Mary H. Mulholland	\$10.00	1/25/1994	Remembrance 1994	Robert Kearney
Margaret V. Minnehan	\$10.00	1/31/1994	Remembrance 1994	Robert Kearney
Ma Watson	\$25.00	1/31/1994	Remembrance 1994	Robert Kearney
A. Beausoleil	\$25.00	1/31/1994	Remembrance 1994	Elaine Chatfield
Marilyn Ebbson	\$15.00	1/31/1994	Remembrance 1994	Elaine Chatfield
Hildegard F. Lavaway	\$20.00	2/7/1994	Remembrance 1994	Robert Kearney
Northwest Conn. Public Safety Co	\$60.00	2/14/1994	Remembrance 1994	Elaine Chatfield
Mary E. Brosnan Fund at The Cor	\$422.00	4/28/1994	Foundations 1994	
Mary E. Brosnan Fund at The Cor	\$422.00	4/28/1994	Foundations 1994	
David F. Calabrese	\$10.00	5/24/1994	Phonathon 1994	
David F. Calabrese	\$10.00	6/16/1994	Phonathon 1994	
Clyde W. Garthwait	\$2,500.00	12/15/1994	Remembrance 1995	Frances Garthwait
William R. Peterson	\$100.00	1/9/1995	Remembrance 1995	Elaine Chatfield
Peter Daley	\$10.00	4/18/1995	Remembrance 1995	Rosemary Stango
Robert Muckle	\$15.00	4/18/1995	Remembrance 1995	Rosemary Stango
Rosemary C. Mancini	\$10.00	4/18/1995	Remembrance 1995	Rosemary Stango
Risdon Corp. Metal Cosmetics Div	\$50.00	4/18/1995	Remembrance 1995	Rosemary Stango
Risdon Corp. Fragrance & Dispen	\$100.00	4/18/1995	Remembrance 1995	Rosemary Stango
Risdon/AMS Corporation	\$25.00	4/18/1995	Remembrance 1995	Rosemary Stango
Pauline M. Williams	\$25.00	4/18/1995	Remembrance 1995	Rosemary Stango
Beverly Fortin	\$10.00	4/18/1995	Remembrance 1995	Rosemary Stango
Joseph Borsos	\$15.00	4/18/1995	Remembrance 1995	Rosemary Stango
Richard M. Stango	\$100.00	4/18/1995	Remembrance 1995	Rosemary Stango
Mary E. Brosnan Fund at The Cor	\$440.00	7/12/1995	Foundations 1995	
Mary E. Brosnan Fund at The Cor	\$440.00	7/12/1995	Foundations 1995	
Mike Mucha	\$1,000.00	12/31/1995	Remembrance 1996	Ann Mucha
Mary Sweeney Soutier	\$50.00	1/29/1996	Remembrance 1996	Rosemary Stango
William R. Peterson	\$100.00	1/29/1996	Remembrance 1996	Elaine Chatfield
Sunderland	\$25.00	2/11/1996	Remembrance 1996	John A. Cortigiano
Harold B. Olsen	\$15.00	3/7/1996	Remembrance 1996	John A. Cortigiano
Tilcon Connecticut, Inc.	\$100.00	5/16/1996	Major Gifts 1996	
Tilcon Connecticut, Inc.	\$100.00	5/16/1996	Major Gifts 1996	
Mary E. Brosnan Fund at The Cor	\$440.00	7/19/1996	Foundations 1996	
Mary E. Brosnan Fund at The Cor	\$440.00	7/19/1996	Foundations 1996	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Mary C. Minnehan	\$50.00	12/31/1996	Major Gifts 1997	
Mary C. Minnehan	\$50.00	12/31/1996	Major Gifts 1997	
Sam R. Peterson	\$100.00	1/22/1997	Remembrance 1997	Elaine Chatfield
Norma Fleisher	\$50.00	2/28/1997	Remembrance 1997	Patricia H. Liebeskind
Rui Dasilva	\$25.00	7/29/1997	Remembrance 1997	Wanda Gladding
Mary Francis	\$30.00	7/29/1997	Remembrance 1997	Wanda Gladding
Lena Evon	\$20.00	7/29/1997	Remembrance 1997	Wanda Gladding
Mary E. Brosnan Fund at The Cor	\$477.00	7/29/1997	Foundations 1997	
Carole J. Cannavaro	\$25.00	8/15/1997	Remembrance 1997	Wanda Gladding
Carmine Razza	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Leo J. Cote	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Andrew K. Skipp	\$150.00	8/15/1997	Remembrance 1997	Mary Nardella
Kristine Dziezynski	\$25.00	8/15/1997	Remembrance 1997	Wanda Gladding
P.L.A. Veterans	\$15.00	8/15/1997	Remembrance 1997	Wanda Gladding
Monson Companies	\$100.00	8/15/1997	Remembrance 1997	Mary Nardella
Donald J. Rinaldi	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
Orville L. Stebbins	\$10.00	8/15/1997	Remembrance 1997	Mary Nardella
Jayce Puzzo	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Virginia Calo	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
David Ross	\$2.50	8/15/1997	Remembrance 1997	Mary Nardella
Dupont Specialty Chemicals	\$75.00	8/15/1997	Remembrance 1997	Mary Nardella
Millward Brown Int'l.	\$100.00	8/15/1997	Remembrance 1997	Mary Nardella
Employees of Employees of Hubb	\$80.00	8/15/1997	Remembrance 1997	Mary Nardella
Joseph Bardon	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Doug Ciarleglio	\$44.00	8/15/1997	Remembrance 1997	Mary Nardella
John P. Homrok	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
Astro Chemicals	\$100.00	8/15/1997	Remembrance 1997	Mary Nardella
Oske, McKenna, Dyer & Co., L	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
Anna Brustat	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Frederick C. Barone	\$10.00	8/15/1997	Remembrance 1997	Mary Nardella
Anna R. Antunes	\$20.00	8/15/1997	Remembrance 1997	Mary Nardella
Lucille Laviana	\$100.00	8/15/1997	Remembrance 1997	Kenneth Laviana
Joseph R. Spinella	\$5.00	8/15/1997	Remembrance 1997	Kenneth Laviana
Roland E. Noel	\$20.00	8/15/1997	Remembrance 1997	Mary Nardella
Lisa Clark	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
Four Corners Store, Inc.	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
Norman S. Drubner	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
GFI	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
General Chemical Corporation	\$50.00	8/15/1997	Remembrance 1997	Mary Nardella
Jeremy Green	\$75.00	8/15/1997	Remembrance 1997	Mary Nardella
Janice A. Crehan	\$25.00	8/15/1997	Remembrance 1997	Mary Nardella
James Crocicchia	\$50.00	8/30/1997	Remembrance 1997	Mary Nardella
General Chemical Corporation	\$50.00	8/30/1997	Remembrance 1997	Mary Nardella
Donald W. MacLean	\$100.00	8/30/1997	Remembrance 1997	Mary Nardella
John C. Frantzis	\$25.00	8/30/1997	Remembrance 1997	Mary Nardella
Manchester Community Technical	\$80.00	8/30/1997	Remembrance 1997	Mary Nardella
Alan Crick	\$50.00	8/30/1997	Remembrance 1997	Mary Nardella
Koda Enterprises Group, Inc.	\$100.00	8/30/1997	Remembrance 1997	Mary Nardella
Robert A. Finkenzeller	\$25.00	8/30/1997	Remembrance 1997	Mary Nardella
Adolph Iannicelli	\$75.00	9/12/1997	Remembrance 1997	Mary Nardella
Raymond Francis	\$20.00	9/12/1997	Remembrance 1997	Mary Nardella
Orlando Romeo	\$25.00	9/12/1997	Remembrance 1997	Mary Nardella
Anthony Finelli	\$25.00	9/12/1997	Remembrance 1997	Mary Nardella
Edward Dantino	\$10.00	9/12/1997	Remembrance 1997	Mary Nardella
Alfred Bartoli	\$25.00	9/12/1997	Remembrance 1997	Mary Nardella
Fred Arsenault	\$30.00	9/12/1997	Remembrance 1997	Mary Nardella
Pam Debiase	\$25.00	9/12/1997	Remembrance 1997	Mary Nardella
Albert Mucci	\$50.00	9/12/1997	Remembrance 1997	Mary Nardella
Paul A. Salvatore	\$30.00	9/12/1997	Remembrance 1997	Mary Nardella

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Helen Stango	\$50.00	9/12/1997	Remembrance 1997	Mary Nardella
Lachowitz	\$25.00	9/30/1997	Remembrance 1997	Mary Nardella
Went Burleson	\$75.00	9/30/1997	Remembrance 1997	Mary Nardella
Eliza F. Fletcher	\$35.00	12/31/1997	Remembrance 1998	Harold Dancer
William R. Peterson	\$100.00	12/31/1997	Past Remembrances 1998	Elaine Chatfield
Mary E. Brosnan Fund at The Cor	\$516.00	7/17/1998	Foundations 1998	
Patricia McMahon	\$50.00	8/31/1998	Remembrance 1998	Stephen E. Sullivan
Stan Woolven	\$50.00	10/31/1998	Remembrance 1999	Floyd R. Dugas
Jeffery Miller	\$50.00	10/31/1998	Remembrance 1999	Floyd R. Dugas
Melanie Cherubino	\$25.00	10/31/1998	Remembrance 1999	Floyd R. Dugas
Eva Carusello	\$25.00	10/31/1998	Remembrance 1999	Floyd R. Dugas
Harry Fleisher Foundation	\$10,000.00	12/17/1998	Foundations 1999	
William R. Peterson	\$100.00	1/31/1999	Past Remembrances '99	Elaine Chatfield
Patricia A. Scollay	\$10.00	4/22/1999	Remembrance 1999	Joseph W. Archambault
Richard T. Mattson	\$15.00	4/22/1999	Remembrance 1999	Joseph W. Archambault
Hurwitz & Sagarin, LLC	\$50.00	4/30/1999	Remembrance 1999	JoAnne Kulawiz
Margaret C. Driscoll	\$35.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Kenneth A. Moulden	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
John J. Daly	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Susan Coughlin	\$50.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Richard R. Candella	\$20.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Carol D. McGuire	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Stanley Novack	\$50.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Sandra S. Sosnoff	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Dominick J. Thomas	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Wilson J. Trombley	\$50.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Seymour L. Hendel	\$10.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
M. Sheehy	\$10.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Konwerski	\$15.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Ellen B. Burns	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Delores D. Carangelo	\$20.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Christine Sciarrino	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
James E. Sheehy	\$100.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
George J. Officer	\$30.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Mitchell School Sunshine Commit	\$50.00	5/14/1999	Remembrance 1999	Joseph W. Archambault
Caroline DeFazio	\$5.00	5/14/1999	Remembrance 1999	Madeline Cipriano
Elaine M. Scanlon	\$10.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Madeline M. Sobin	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Michael Lynch	\$25.00	5/14/1999	Remembrance 1999	JoAnne Kulawiz
Catherine DeVito	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
John F. Mulcahy	\$25.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Henry S. Cohn	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Elaine M. Scanlon	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Timothy Clifford	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Candace Mazur	\$15.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Sandra V. Leheny	\$50.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Martin W. Lawlor	\$15.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Elaine I. Buckley	\$50.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Richard E. Ewen	\$25.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Mary L. Buckley	\$20.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Joseph B. Buckley	\$50.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
William Lieder	\$20.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
by Superior Court	\$30.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Piesadecki	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
H. J. Francolini	\$20.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Valley Bar Association	\$100.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Scott Digris	\$20.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Timothy P. Dillon	\$50.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Coughlin & Malone	\$100.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Timothy J. Burke	\$50.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Mark Hurley	\$25.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Ma A. Shingara	\$75.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Margaret J. Burns	\$10.00	5/26/1999	Remembrance 1999	JoAnne Kulawiz
Marie Cecarelli	\$25.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Christine S. Vertefeuille	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Thomas J. Williams	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Greco & Haines, Inc.	\$200.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Brian P. Borghesi, Esq.	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Gordon Childs	\$25.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
John D. Brennan	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
The Waterbury Bar Association	\$100.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Burton Kaplan	\$25.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Newtown Country Club	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Thomas J. Meskill	\$50.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Joseph A. Puzzo	\$25.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
State of Connecticut Appellate Co	\$110.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Kevin Tierney	\$25.00	5/27/1999	Remembrance 1999	JoAnne Kulawiz
Francis Leech	\$50.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Arnold W. Aronson	\$25.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Robert F. O'Keefe	\$250.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Katherine B. O'Donnell	\$25.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Mary H. O'Neil	\$50.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Edward M. Sheehy	\$100.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Associated Physicians of Southbu	\$50.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Molly S. LeVan	\$10.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Katherine M. Henebry	\$20.00	5/31/1999	Remembrance 1999	JoAnne Kulawiz
Ellen Denley	\$25.00	6/8/1999	Remembrance 1999	Joseph W. Archambault
Connecticut Judges Association	\$100.00	6/8/1999	Remembrance 1999	JoAnne Kulawiz
Mary E. Brosnan Fund at The Con	\$630.00	7/27/1999	Foundations 1999	
Marjorie C. Archambault	\$50.00	7/31/1999	Remembrance 1999	Joseph W. Archambault
Maureen Dunphy	\$40.00	7/31/1999	Remembrance 1999	Dorothy Tracy
William R. Peterson	\$100.00	12/31/1999	Past Remembrances 2000	Elaine Chatfield
Baldwin School PTA	\$200.00	12/31/1999	Corporations 2000	
Nettleton Mechanical Contractors,	\$100.00	3/27/2000	Remembrance 2000	Jean Slavin
Douglas M. Veillette	\$50.00	3/27/2000	Remembrance 2000	Jean Slavin
John J. Slavin	\$25.00	3/31/2000	Remembrance 2000	Jean Slavin
Ray Holland	\$25.00	3/31/2000	Remembrance 2000	Jean Slavin
Robert Pronovost	\$25.00	3/31/2000	Remembrance 2000	Jean Slavin
David T. Roach	\$50.00	3/31/2000	Remembrance 2000	Jean Slavin
Georgann Lawson	\$100.00	3/31/2000	Remembrance 2000	Jean Slavin
P. William Anesi	\$20.00	4/24/2000	Remembrance 2000	Jean Slavin
Paul Neveu	\$30.00	4/24/2000	Remembrance 2000	Jean Slavin
Mary E. Brosnan Fund at The Con	\$707.54	7/20/2000	Foundations 2000	
William R. Peterson	\$100.00	1/31/2001	Past Remembrances 2001	Elaine Chatfield
Mary E. Brosnan Fund at The Con	\$775.30	4/30/2001	Foundations 2001	
William R. Peterson	\$100.00	1/22/2002	Past Remembrances 2002	Elaine Chatfield
John Hannigan	\$50.00	5/31/2002	Remembrance 2002	Thomas H. Francois
Mary Gengo-Bock	\$25.00	5/31/2002	Remembrance 2002	Thomas H. Francois
Norman Curtis	\$20.00	5/31/2002	Remembrance 2002	Thomas H. Francois
Louis J. Mahieu	\$20.00	5/31/2002	Remembrance 2002	Thomas H. Francois
Joseph Valentino	\$50.00	5/31/2002	Remembrance 2002	Thomas H. Francois
M. Bailey	\$50.00	5/31/2002	Remembrance 2002	Thomas H. Francois
d Francois	\$25.00	5/31/2002	Remembrance 2002	Thomas H. Francois
S. ...nan Montgomery	\$25.00	5/31/2002	Remembrance 2002	Francis X. Linder
The 72nd Test & Evaluation Squa	\$25.00	5/31/2002	Remembrance 2002	
Scott Porticello	\$25.00	6/12/2002	Remembrance 2002	Francis X. Linder
John A. DeCesare	\$50.00	6/12/2002	Remembrance 2002	Francis X. Linder
James P. Keating	\$10.00	6/12/2002	Remembrance 2002	Thomas H. Francois

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
David Burt	\$25.00	6/12/2002	Remembrance 2002	Thomas H. Francois
Brothy A. Shone	\$10.00	6/17/2002	Remembrance 2002	Francis X. Linder
ly M. Martinoli	\$25.00	6/20/2002	Remembrance 2002	Francis X. Linder
P. Ja Nagle	\$20.00	6/25/2002	Remembrance 2002	Thomas H. Francois
Roger D. Deschenes	\$50.00	6/27/2002	Remembrance 2002	Thomas H. Francois
Mary E. Brosnan Fund at The Con	\$749.08	6/27/2002	Foundations 2002	
Timothy Francois	\$15.00	6/30/2002	Remembrance 2002	Thomas H. Francois
Judith M. Shekell	\$20.00	6/30/2002	Remembrance 2002	Francis X. Linder
Robert W. Eisenschmid	\$15.00	6/30/2002	Remembrance 2002	Francis X. Linder
Beverly Currie	\$50.00	7/19/2002	Remembrance 2002	Thomas H. Francois
Judith R. George	\$200.00	7/19/2002	Major Gifts 2002	
Ann D. Wilcox	\$50.00	8/30/2002	Remembrance 2002	Rosemary Heiser
William Masone	\$10.00	9/18/2002	Remembrance 2002	Rosemary Heiser
Irene Landusky	\$5.00	9/18/2002	Remembrance 2002	Rosemary Heiser
Howard L. Cook	\$20.00	11/25/2002	Remembrance 2003	Rita M. Schmidt
Bonnie Johnson	\$25.00	11/29/2002	Remembrance 2003	Rita M. Schmidt
Charles H. Schmidt	\$50.00	11/29/2002	Remembrance 2003	Rita M. Schmidt
Janice Brown	\$20.00	11/29/2002	Remembrance 2003	Rita M. Schmidt
James L. Vander Eyk	\$25.00	11/29/2002	Remembrance 2003	Rita M. Schmidt
Michael Broderick	\$25.00	11/29/2002	Remembrance 2003	Rita M. Schmidt
Anonymous	\$10.00	12/13/2002	Remembrance 2003	Rita M. Schmidt
Ann Kindblom	\$25.00	12/23/2002	Remembrance 2003	Rita M. Schmidt
John V. Lapadula	\$10.00	12/31/2002	Remembrance 2003	Rita M. Schmidt
William R. Peterson	\$100.00	1/17/2003	Past Remembrances 2003	Elaine Chatfield
Mary E. Brosnan Fund at The Con	\$630.85	7/17/2003	Foundations 2003	
Jack R. Goldberg	\$100.00	9/29/2003	Past Remembrances 2003	Jean Slavin
Judith R. George	\$200.00	10/16/2003	Fundamentals Oct 2003	
Mary's Hospital Auxiliary	\$300.00	12/24/2003	Corporations 2004	
n R. Peterson	\$100.00	3/10/2004	Past Remembrances 2004	Elaine Chatfield
Heritage, Inc.	\$3,921.08	5/28/2004	Corporations 2004	
Mary E. Brosnan Fund at The Con	\$504.90	6/18/2004	Grant	
William R. Peterson	\$100.00	1/19/2005	Past Remembrances 2005	Elaine Chatfield
Matthew J. Ciullo	\$25.00	2/28/2005	Remembrance 2005	Esther Montagano
Terri Brickman	\$25.00	3/10/2005	Remembrance 2005	Esther Montagano
Virginia R. Marano	\$20.00	3/30/2005	Remembrance 2005	Esther Montagano
Thomas F. Sullivan	\$60.00	3/30/2005	Remembrance 2005	Richard A. Dice
Jeffrey Carr	\$25.00	3/30/2005	Remembrance 2005	Esther Montagano
Moore, O'Brien, Jacques & Yelena	\$100.00	4/14/2005	Remembrance 2005	Richard A. Dice
Rosalie B. Cohen	\$5.00	4/14/2005	Remembrance 2005	Richard A. Dice
Judith Dye	\$50.00	4/14/2005	Remembrance 2005	Richard A. Dice
Monica Sidor	\$100.00	4/14/2005	Remembrance 2005	Richard A. Dice
Berkshire Group, LTD.	\$30.00	4/14/2005	Remembrance 2005	Richard A. Dice
Lawrence E. Sobolewski	\$20.00	4/14/2005	Remembrance 2005	Richard A. Dice
William C. Bieluch	\$25.00	4/14/2005	Remembrance 2005	Richard A. Dice
Patrick Rayball	\$30.00	4/14/2005	Remembrance 2005	Richard A. Dice
Carolyn R. Swanson	\$25.00	4/14/2005	Remembrance 2005	Richard A. Dice
Barbara W. Miller	\$25.00	4/14/2005	Remembrance 2005	Richard A. Dice
Weinstein & Wisser, P.C.	\$75.00	4/14/2005	Remembrance 2005	Richard A. Dice
Gibson Engineering Company, Inc	\$150.00	4/14/2005	Remembrance 2005	Richard A. Dice
Paula M. Levitz	\$10.00	4/14/2005	Remembrance 2005	Richard A. Dice
Bridges Owners Association	\$50.00	4/14/2005	Remembrance 2005	Richard A. Dice
Elizabeth W. Sidor	\$100.00	4/14/2005	Remembrance 2005	Richard A. Dice
Robert J. Blumenthal	\$100.00	4/14/2005	Remembrance 2005	Richard A. Dice
Bornstein	\$25.00	5/31/2005	Remembrance 2005	Richard A. Dice
el A. Bauer	\$100.00	5/31/2005	Remembrance 2005	Richard A. Dice
James M. Murray	\$25.00	5/31/2005	Remembrance 2005	Richard A. Dice
The Rideshare Company	\$100.00	5/31/2005	Remembrance 2005	Richard A. Dice
Charles D. Yie	\$100.00	5/31/2005	Remembrance 2005	Richard A. Dice
David W. Horrell	\$30.00	5/31/2005	Remembrance 2005	Richard A. Dice

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Harry N. Mazadoorian	\$25.00	5/31/2005	Remembrance 2005	Richard A. Dice
Investment Mgmt LLC	\$150.00	5/31/2005	Remembrance 2005	Richard A. Dice
d L. Hecht	\$40.00	5/31/2005	Remembrance 2005	Richard A. Dice
Philip J. Byrne	\$25.00	5/31/2005	Remembrance 2005	Richard A. Dice
Seymour L. Hendel	\$50.00	5/31/2005	Remembrance 2005	Richard A. Dice
Murray Kitner	\$50.00	5/31/2005	Foundations 2005	Richard A. Dice
Charles Longo	\$175.00	5/31/2005	Remembrance 2005	Esther Montagano
Mary E. Brosnan Fund at The Cor	\$473.00	5/31/2005	Grant	
Scott W. Reid	\$60.00	9/22/2005	Remembrance 2005	Richard A. Dice
William R. Peterson	\$100.00	1/18/2006	Past Remembrances 2006	Elaine Chatfield
Bridges Owners Association	\$200.00	2/8/2006	Past Remembrances 2006	Richard A. Dice
John R. Stabile	\$25.00	2/28/2006	Remembrance 2006	Keith Hanson
Antionietta Lafreniere	\$20.00	2/28/2006	Remembrance 2006	Keith Hanson
Mary E. Brosnan Fund at The Cor	\$476.00	4/24/2006	Grant	
Moore, O'Brien, Jacques & Yelena	\$100.00	11/28/2006	Tree Lighting 12/06	Richard A. Dice
William R. Peterson	\$100.00	2/8/2007	Past Remembrances 2007	Elaine Chatfield
Mary E. Brosnan Fund at The Cor	\$501.00	4/25/2007	Grant	
Vassa Knight	\$10.00	8/13/2007	Unsolicited 2007	
Corrine Mortagua	\$25.00	9/12/2007	Remembrance 2007	Ilse Mlodecki
Marie Guarino	\$10.00	9/12/2007	Remembrance 2007	Ilse Mlodecki
Beatrice Solomita	\$50.00	9/12/2007	Remembrance 2007	Ilse Mlodecki
Angeline Champagne	\$25.00	9/12/2007	Remembrance 2007	Ilse Mlodecki
Anne P. Delo	\$100.00	11/19/2007	Past Remembrances 2008	Ilse Mlodecki
William R. Peterson	\$100.00	1/18/2008	Past Remembrances 2008	Elaine Chatfield
Mary E. Brosnan Fund at The Cor	\$533.00	4/30/2008	Grant	
Cynthia Rocchi	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Mary Ann Santamauro	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Dogle	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
n Lombardi	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Jeff Swaine	\$20.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Lorraine M. Bell	\$30.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Dianne Parisi	\$20.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Teddy L. DiDomizio	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Tracy A. Sanfilippo	\$80.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Vernon L. Gordon	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Tamara Rosado	\$20.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Victoria L. Niestemski	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Corinne S. Guerrera	\$10.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Charles H. Taylor	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Robert P. Dandeneau	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Orlando Buccelly	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Robert W. Buccelly	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
John S. D'Alexander	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Thomas E. Buonocore	\$20.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Ada Rauscher	\$20.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Carl A. Guluzzy	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Mara Dunleavy	\$50.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Constance Schindler	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Pamela E. Jennett	\$25.00	9/6/2008	Remembrance 2008	Adam P. Bosco
Kim Simpson	\$100.00	9/12/2008	Remembrance 2008	Adam P. Bosco
Bilga F. Attar	\$500.00	9/22/2008	Remembrance 2008	Adam P. Bosco
Diana L. Gregory	\$100.00	9/22/2008	Remembrance 2008	Adam P. Bosco
n Pulaski	\$25.00	9/22/2008	Remembrance 2008	Adam P. Bosco
R. Bosco	\$50.00	9/22/2008	Remembrance 2008	Adam P. Bosco
Anonymous	\$15.00	9/22/2008	Remembrance 2008	Adam P. Bosco
Angeline R. Guiliano	\$25.00	9/22/2008	Remembrance 2008	Adam P. Bosco
Empathy Care, Inc.	\$100.00	9/22/2008	Remembrance 2008	Adam P. Bosco
Lakewood Social Club, Inc.	\$50.00	10/28/2008	Remembrance 2009	Adam P. Bosco
Mary E. Brosnan Fund at The Cor	\$553.00	3/18/2009	Grant	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
William R. Peterson	\$100.00	1/14/2010	Past Remembrances 2010	Elaine Chatfield
E. Brosnan Fund at The Cor	\$548.00	4/15/2010	Grant	

...ve Cemetery, Wa-
there are no calling
ents by Alderson Funeral
tuck 210 Meadow St.
is: ...verett T. and Dr.
s M...al Fund, c/o Nau-
Foundation, P.O. Box 484,
70-0484.

Antine E. Curtiss
High School graduate
ON, Pa. — Florentine E.
191 Woodland Drive, died
1, at Hickory House.

... was born July 6, 1913, in
ghter of the late George
Florentine (Eytel) Curtiss.
duate of Woodbury High
ny Farmer School of Cook-
mbia University for Dental
orked as a dental hygienist
til her retirement in 1985.
n Florida, and was a mem-
t Jupiter United Church of
Fla.

... several cousins.
nts: Burial will be held in
nery, Woodbury. Arrange-
Ott Funeral Home, Boyer-

Jordanides
of World War II
Michael Jordanides, 79, of
s, d...nesday, Feb. 1, at

... es was born Aug. 23, 1925,
n of the late James and
elides) Jordanides. He was
a Navy veteran of
World War II, serving
as a merchant seaman
for American Presi-
dential Lines and for
on. He worked for the for-
Co. of Naugatuck, and was
er of the Slovak Club and
lub, both of Ansonia. He
of the National Rifle Asso-
ember of Holy Trinity Or-
of Ansonia.

...vo brothers, Spero Jor-
sonia, and George Jor-
nnee, Fla.
ts: Funeral 9:15 a.m. Fri-
s-King Funeral Home, 12
Holy Trinity Orthodox
ces at 10:30. Burial with
ors in Pine Grove Ceme-
s 4 to 7 p.m. today at the
th Trisagion services at 6

The American Heart As-
merican Cancer Society,
al home.

...on trial, at 85

...BEACH, Calif. — Ray-
o as a Los Angeles Coun-
over the second murder
anson, died Friday. He
ed at his home of compli-
oke, said his son, Dennis

Mrs. Doolittle sons, Mark Doolit-
tle of Bristol, and
Lynn Olcott of Wolcott; a stepson, Allen
Doolittle of Bristol; two stepdaughters,
Phyllis Elliott of Florida and Virginia Pi-
chon of Colorado; two sisters, Joy Can-
field of Waterbury and Carol Parenteau of
Cheshire; 18 grandchildren; and 37 great-
grandchildren. A daughter, Debra Doolit-
tle, is deceased.

Arrangements: Funeral 6 p.m. Friday
at DellaVecchia Funeral Home, 211 North
Main St., Southington. Burial is at the con-
venience of the family. Calling hours 4 to 6
p.m. Friday at the funeral home.

Mrs. Catherine Jenetta
Lifelong Bristol resident
BRISTOL — Catherine (Zaino) Jenetta,
78, of 55 Gaylord St., died Wednesday,
Feb. 2, at her home. She was the widow of
Freddie Jenetta.

Mrs. Jenetta was born Nov. 11, 1926, in
Bristol, daughter of the late James and
Anna (Marcego) Zaino. She was a lifelong
resident of Bristol, and worked as a kick-
press operator for many years, until her
retirement. She was a member of St. An-
thony Church.

She leaves a son, Vince Jenetta of
Burlington; a daughter, Kathie Jenetta of
Bristol; a brother, Anthony Zaino of Wol-
cott; and three grandchildren.

Arrangements: Funeral 8 a.m. Satur-
day from Dunn Funeral Home, 191 West
St., to St. Anthony Church for Mass at 9.
Burial: St. Joseph Cemetery. Calling hours
5 to 8 p.m. Friday at the funeral home.

Contributions: St. Vincent dePaul Soci-
ety of Bristol Inc. Shelter, 19 Jacobs St.,
Bristol 06010.

Edward F. Bellmay
Retired from printing company
BRIDGEPORT — Edward Francis Bell-
may, 84, died Tuesday, Feb. 1, at Bridge-
port Health Care. He was the widower of
Helen (Kelly) Bellmay.

Mr. Bellmay was born in Woodbury, son
of the late Nelson and Jane (Smith) Bell-
may. He worked as a printer for Waterbury
Color Printing Co., until his retirement,
and was a member of the National Frater-
nity Society of the Deaf of Waterbury,
American School for the Deaf, the Interna-
tional Catholic Deaf Association of Water-
bury and New Haven, and the Connecticut
Deaf Senior Citizens.

He leaves two sisters, Ruth B. Kane of
Waterbury and Jane N. Sadler of Surfside
Beach, S.C.

Arrangements: Funeral 3 p.m. Friday
at Abriola & Kelemen Funeral Home, 2160
Main St., Bridgeport. Burial in the spring
in New North Cemetery, Woodbury.

...Church, 309 South Main
St., Waterbury 06706.

Mrs. Esther Montagano 2/3/05
Retired office manager
WATERBURY — Esther (Giallello) Pan-
dolfe Montagano, 71, of 867 Congress Ave.
Extension, died Tuesday, Feb. 1, at her
home. She was the wife of Salvatore Mon-
tagano and the widow of Jack Pandolfe.

Mrs. Montagano was born Oct. 7, 1933,
in Waterbury, daughter of the late
Domenic and
Amalia (Nero) Gi-
alleglio. She was a
graduate of Water-
bury Catholic High
School, and
worked as the of-
fice manager for
Electrical Appli-
ance Parts for 30
years.

Besides her hus-
band of 13 years,
she leaves a son,
Anthony J. Pandolfe of Middletown; three
daughters, Bernadette Longo of Water-
town, Terri Kepler of Thomaston and
Beth Levesque of Middletown; a step-
daughter, Rosemary Montagano of
Cromwell; eight grandchildren; and a
great-granddaughter.

Arrangements: Funeral 9:30 a.m. Satur-
day from Chase Parkway Memorial/The
Albini Family Funeral Home, 430 Chase
Parkway, to Our Lady of Mount Carmel
Church for Mass at 10:30. Burial: Calvary
Cemetery. Calling hours 5 to 8 p.m. Friday
at the funeral home.

Contributions: VNA Hope Team, 60
Brookside Road, Waterbury 06708, or
Xavier 3 Medical Oncology Unit, c/o St.
Mary's Hospital, 56 Franklin St., Water-
bury 06706.

Wife portrayed in 'Raging Bull'
Associated Press
BOCA RATON, Fla. — Vicki LaMotta,
whose marriage to boxer Jake LaMotta
was portrayed in the film "Raging Bull,"
died Jan. 25. She was 75. LaMotta died at
Boca Raton Community Hospital about
six months after having open heart sur-
gery, said her son, Harrison Foster.

In the movie, Robert DeNiro plays the
boxer who is obsessed with his young
wife, played by Cathy Moriarty, and drives
her away. LaMotta posed nude for Playboy
in November 1981, when she was 51. At
the time, she told the St. Louis Post Dis-
patch that she posed nude to show that
life doesn't end at 30. She later lent her
name to a cosmetics line, made commer-
cials and appeared on television and at
fight arenas. LaMotta also divorced her
second husband, Tony Foster.



Mrs. Montagano

Mrs. DeSanto was born Dec. 1, 1925, in
Jersey City, N.J., daughter of the late
Carmine and Assunta (DiSpirito) Simone.
She had been a resident of Oakville for
more than 67 years, and attended Water-
town and Oakville schools. She was a
member of the VFW Ladies Auxiliary Post
No. 7330, Oakville, and a communicant of
St. Mary Magdalen Church.

She leaves a son, Michael DeSanto of
Franklin, Mass.; four daughters, Elaine
Minor of Hudson Falls, N.Y., Michele
Desrosiers of Oakville, Rita Stetka of
Oakville, and Carol York of Shelton; a sis-
ter, Victoria Razza of Oakville; 11 grand-
children; and seven great-grandchildren.

Arrangements: Funeral 9 a.m. Friday
at St. Mary Magdalen Church. Friends are
asked to meet directly at the church. Bur-
ial: Mount Olivet Cemetery, Watertown.
Calling hours 5 to 7 p.m. today at O'Neill
Funeral Home, 742 Main St.

Contributions: VFW Ladies Auxiliary
Post 7330, 85 Davis St., Oakville 06779, or
American Diabetes Association, 300 Re-
search Parkway, Meriden 06450.

Lead Pixar animator dies at 35

Associated Press
BERKELEY, Calif. — Dan Lee, a lead
animator at Pixar Animation Studios who
contributed to some of the most success-
ful animated films ever including "Finding
Nemo" and "Monsters, Inc.," died Jan. 15
from lung cancer, a friend said. He was 35.
Lee had fought his illness for 17
months, said Andrew Stanton, who direct-
ed "Finding Nemo."

Besides "Nemo" and "Monsters," Lee
also worked on "A Bug's Life" and "Toy
Story 2."

★ **BANI**
• STOP FO
• SAVE YO
• ELIMINA
Auto Accidents •
John
AN EXPERIENCED ATTORNEY
755-1942 95 North REASONA

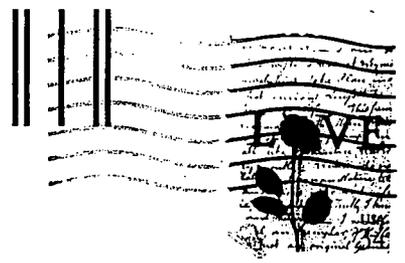
THE TAX COM
INCOME TAX I

Tell Over 175,000 RE
Your CURE FOR TI

SPECIAL PAGE RUNNING:
SUNDAY, FEBRUARY 6th

Mandi Gras
FRIDAY EVENING
FEB 4th
6pm - 10pm
Comes to **St. Michael's**

BRACKENRIDGE DRIVE
WATERBURY, CT. 06706



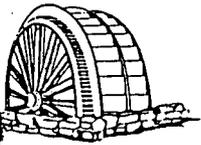
PLEASE SEND TO THE
#59 Section
Dist. by
#59 Section
Expense acct



Saint Mary's Hospital
Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706



PLEASE CASH WITHIN 20 DAYS		1-7000/2111 1409280	268
NORA T. O'TOOLE 53 BRACKENRIDGE DR WATERBURY, CT 06706		DATE: <i>Oct 12, 2001</i>	
PAY TO THE ORDER OF	<i>Saint Mary's Hospital Foundation, Inc.</i>		\$6,000.00
	<i>Six Thousand and no/100</i>		DOLLARS
AMERICAN BANK OF CONNECTICUT TWO WEST MAIN STREET WATERBURY, CONNECTICUT 06702			
MEMO	<i>Per Memo 10/8/01</i>		<i>Nora T. O'Toole</i>
⑆21170004⑆ 140928 01 0268			



The Waterbury Foundation

Patricia B. Sweet
President

Charles W. Henry, Esq.
Vice President

Ann Y. Smith
Vice President

N. Patricia Yarborough
Secretary

Sean T. Egan
Treasurer

Trustees

Burton Albert

Carol B. Andrews

Dirck Barhydt

Lillian H. Brown

George Frantzis, Sr.

Robert W. Garthwait, Sr.

Sr. Margaret Rosita Kenny

Pasquale Palumbo, Esq.

W. Scott Peterson, M.D.

Marie Santopietro

Paul N. Vonckx, Jr.

March 28, 1991

Ms. Margaret Lawlor
Public Affairs Department
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06702

Dear Peggy:

I am enclosing a check for \$465 representing the income from the Mary E. Brosnan Fund at The Waterbury Foundation. The generous contribution from Mary Brosnan is designated for the Oncology Department at St. Mary's Hospital.

Sincerely,

Patricia B. Sweet
President

Ingrid Manning
Director

Beverly B. Mosch
Assistant Director

PBS/pas
Enclosure

cc: Mary Brosnan

BROSNAN.LTR

1481

MARCH 25, 1991



BANK OF BOSTON

57-33/115

No. 1104720

*****465.00

PA
HUNDRED SIXTY-FIVE AND NO/100

TO
OR

ST. MARY'S HOSPITAL
C/O INGRID MANNING WBTY FDN



ST. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Gentlemen:

In connection with an audit which I am making of the books and records of

THE WATERBURY FOUNDATION

I would like to confirm the amount paid to you for grants during the year ended December 31, 1990

<u>Date Paid</u>	<u>Amount</u>
12/28/90	17,500.00
3/23/90	365.00 Mary Brosnan Fund
6/29/90	250.00 Martin Greenblatt Fund

Reg,

The General operating Fund
Did not receive these monies.
Do you have any records
of it?

If
det

GARY BROWN

Public Accountant

The : Garnet,

Will you check
Amounts of 365 & 250
that 17500 is

Appeal Summary Report Hospice Program Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2005	Corporations 2005	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
ind2004	Individuals 2004	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem2002	Remembrance 2002	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem2003	Remembrance 2003	28	\$0.00	\$0.00	\$1,130.00	\$0.00	\$1,130.00
mem2005	Remembrance 2005	4	\$0.00	\$0.00	\$80.00	\$0.00	\$80.00
mem2008	Remembrance 2008	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem2010	Remembrance 2010	6	\$0.00	\$0.00	\$165.00	\$0.00	\$165.00
		and Totals:	\$0.00	\$0.00	\$1,875.00	\$0.00	\$1,875.00

43 Gift(s) listed

43 Donor(s) listed

F5

Fund Council Report

Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Robert E. Batters 284 Bristol Street Ext Waterbury, CT 06708-4906 203-756-8721 ~	12/27/2002	Hospice Program F	\$0.00	\$0.00	\$20.00	
A.G. Benson, Inc. 17 Town Line Rd Wolcott, CT 06716-2625 203-753-8259	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$25.00	
Gary Bloomgarden 27 Westward Rd Woodbridge, CT 06525-1850 JBLOOMGARD@AOL.COM	12/27/2002	Hospice Program F	\$0.00	\$0.00	\$100.00	
Allan F. Brozek 7 Pinesbridge Rd Oxford, CT 06478-1451 (203) 888-5049 ~	8/18/2008	Hospice Program F	\$0.00	\$0.00	\$75.00	
Michele Camerota 364 Cypress Rd Newington, CT 06111-5616 860-667-2869 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$15.00	
Cary Street Partners Investment , 1210 E Cary St Ste 300 Richmond, VA 23219-4168 (804) 780-0011 ~	4/14/2005	Hospice Program F	\$0.00	\$0.00	\$200.00	
Jennifer Cavalier 26 Alyssa Ct Southington, CT 06489-2772 860-621-3357 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$50.00	
Robert J. Chomiak 28 Schaeffer Rd Bethany, CT 06524-3339 860-628-3727 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$20.00	
Nicole C. Dabekis 162 Cascade Rdg Southington, CT 06489-2182	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$50.00	

Fund I Annual Report

Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Gary Dassow 6915 SW 97th Terr Rd Ocala, FL 34481 (352) 854-9553 ~	6/15/2010	Hospice Program F	\$0.00	\$0.00	\$50.00	
Andrea Donovan 12 Edwards Rd Portland, CT 06480-1522 860-342-0924	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$20.00	
Elizabeth Emmons 218 Falls Avenue Oakville, CT 06779	12/31/2010	Hospice Program F	\$0.00	\$0.00	\$15.00	
James R. Fiore 14 Cartier Rd Enfield, CT 06082-2506 (860) 745-2864 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$15.00	
Annamae Fitzpatrick 31 Nottingham Ter Apt 113 Waterbury, CT 06704-1928	11/28/2004	Hospice Program F	\$0.00	\$0.00	\$10.00	
Joseph Flamer 60 Donovan Ct Naugatuck, CT 06770-3139 (203) 723-1671 ~	2/28/2010	Hospice Program F	\$0.00	\$0.00	\$20.00	
Michael A. Galante 463A Heritage Vlg Southbury, CT 06488-1510 203-264-6484 ~	8/27/2003	Hospice Program F	\$0.00	\$0.00	\$50.00	
Marie J. Giordano PO Box 1061 Waterbury, CT 06721-1061 (203) 753-6777	8/27/2003	Hospice Program F	\$0.00	\$0.00	\$25.00	
Elizabeth Greguoli 1091 Buckingham St Apt 17 Watertown, CT 06795-1675 (860) 945-0506 ~	8/19/2003	Hospice Program F	\$0.00	\$0.00	\$10.00	
John J. Greguoli						

Fund Trail Report

Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	pledge Payments	Non-Pledge Gift	Reference
527 Todd Rd Wolcott, CT 06716-3142 (203) 879-1546 ~	8/19/2003	Hospice Program F	\$0.00	\$0.00	\$10.00	
Lois Honyotski 20 Blue Trail Dr Waterbury, CT 06705-2502 (203) 756-6585 ~	9/16/2003	Hospice Program F	\$0.00	\$0.00	\$25.00	
Robert A. Huelin 47 Rockdale Ave Oakville, CT 06779-1936	1/26/2010	Hospice Program F	\$0.00	\$0.00	\$25.00	
Anthony Iavasile 49 Holiday HI Waterbury, CT 06704-2122 (203) 753-1888 ~	8/27/2003	Hospice Program F	\$0.00	\$0.00	\$100.00	
Lucille B. Keating 2221 E Main St Apt 4R Waterbury, CT 06705-2617 (203) 597-1777 ~	1/18/2011	Hospice Program F	\$0.00	\$0.00	\$15.00	
Pearl A. Kramer	8/22/2003	Hospice Program F	\$0.00	\$0.00	\$5.00	
William Leahy 18818 Chopin Dr Lutz, FL 33558-2876 (813) 948-3483	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$225.00	
Tim Lembo 16 Old Farms Rd Southington, CT 06489-2747 (860) 276-1027 ~	12/27/2002	Hospice Program F	\$0.00	\$0.00	\$50.00	
Mary D. Lindsay 14 Longmeadow Dr Wolcott, CT 06716-2216 (203) 879-5798 ~	12/31/2010	Hospice Program F	\$0.00	\$0.00	\$25.00	
Jason M. Lipsky 135 Lagana Ave Plantsville, CT 06479-1212	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$25.00	

Fund Mail Report

Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Doris B. Maturio 177 Oronoke Rd Waterbury, CT 06708-3314 (203) 759-1376	3/8/2010	Hospice Program F	\$0.00	\$0.00	\$10.00	
Frank Maxwell 142 Jennison Square Hot Springs, AR 71913-6608	1/24/2011	Hospice Program F	\$0.00	\$0.00	\$50.00	
Eugene J. Minicucci 11 Highview St Waterbury, CT 06708-4917 203-597-8697 ~	8/27/2003	Hospice Program F	\$0.00	\$0.00	\$25.00	
Philip Murphy 31 Center St Watertown, CT 06795	3/9/2011	Hospice Program F	\$0.00	\$0.00	\$100.00	
Nuzzo & Roberts, L.L.C. PO Box 747 Cheshire, CT 06410-0747 203-250-2000	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$20.00	
Oakville V.F.W. Post 7330 PO Box 44 Oakville, CT 06779-0044	1/26/2010	Hospice Program F	\$0.00	\$0.00	\$10.00	
Theresa Palmento 1801 NE 62nd St Apt 224 Ft Lauderdale, FL 33308-2169 (954) 493-8736 ~	9/24/2003	Hospice Program F	\$0.00	\$0.00	\$25.00	
Nadine Pare 382 Kelsey Ave West Haven, CT 06516-4918 203-937-9906	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$25.00	
Richard W. Pelletier PO Box 6371 Wolcott, CT 06716-0371	11/28/2004	Hospice Program F	\$0.00	\$0.00	\$10.00	
Barbara R. Reilly 25 Joseph Rd Naugatuck, CT 06770-2304 2037292609 ~	7/9/2004	Hospice Program F	\$0.00	\$0.00	\$100.00	

Fund Council Report Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Donald G. Rosenthal 620 Quinnipiac Ct Cheshire, CT 06410-1812 (203) 272-1717 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$100.00	
Raymonde R. Rudi 8 South St Unit 7 Danbury, CT 06810-8191	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$25.00	
S.M.H. - Administration 56 Franklin St Waterbury, CT 06706-1253 (203) 573-1313	12/26/2002	Hospice Program F	\$0.00	\$0.00	\$100.00	donation made thru SMH - Renee' to move revenue o
Pauline Scorpion 2221 East Main St. Apt. 2D Waterbury, CT 06705	12/31/2010	Hospice Program F	\$0.00	\$0.00	\$20.00	
Walter Serben 31 Nottingham Ter Apt 712 Waterbury, CT 06704-1964 203-753-5040	11/28/2004	Hospice Program F	\$0.00	\$0.00	\$10.00	
Ed Stabile 183 Putting Green Rd Trumbull, CT 06611-2520 203-268-4592 ~	12/31/2002	Hospice Program F	\$0.00	\$0.00	\$20.00	
Stephen J. Stanko 45 Witch Hazel Dr Deep River, CT 06417-1552	12/24/2004	Hospice Program F	\$0.00	\$0.00	\$50.00	
Daren E. Thornberg 90 Bayview Cir Wolcott, CT 06716-1007 203-879-5118	8/27/2003	Hospice Program F	\$0.00	\$0.00	\$30.00	
United Steelworkers 236 Boston Post Rd Ste 15 Orange, CT 06477-3236 (203) 795-0800 Jennie Veneziano	8/18/2008	Hospice Program F	\$0.00	\$0.00	\$25.00	

Fund [REDACTED] Report Hospice Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
263 Eastern Ave Waterbury, CT 06708-1048 203-754-3975 ~	8/22/2003	Hospice Program F	\$0.00	\$0.00	\$20.00	
Louisa Zajac 31 Klein Drive PO Box 7387 Prospect, CT 06712-0387 (203) 217-0882	1/14/2010	Hospice Program F	\$0.00	\$0.00	\$50.00	
Grand Totals:			\$0.00	\$0.00	\$2,100.00	

49 Gift(s) listed

49 Donor(s) listed

~ The phone is marked as 'Do not contact'

Monday at Duck-
82 Fairview Ave.,
nety, Naugatuck.
of service Monday
contributions may
nor's choice.
e, age 78, of Fairfield,
anne S. Dreesse, died
the Lutheran Home of

taught Sunday School,
ber of the First Church
for more than 40 years.
a devoted family man.
er, Patricia Gullberg and
nd granddaughters Lynn

ervice will be held on Fri-
a.m. in the Spear Funeral
Road, Fairfield, with burial
ter Cemetery. Friends may
7 p.m. in the funeral home.
made in Mr. Dreesse's memo-
e of Southbury, 990 Main St.
8. For information or to offer
e, visit www.spearfuneral-

field, Oct. 20, 2004, Peter Per-
widower of Linda (Johnston)

11 a.m. Saturday at Lyons Fu-
St., Thomaston. Burial: North-
ling hours 6 to 8 p.m. Friday at

g time with his family, washing
race fan.
s are two grandchildren, Zachary
lyn Perbeck.

orside Health Care — The Reser-
4, Anthony Pirro, 52, of Wolf Hill
le was the husband of Nadeane (St.

Funeral 9:30 a.m. Saturday from
torial, 420 Woodtick Road, Wolcott,
Paul Church for Mass at 10:30. Bur-
 Cemetery, Wolcott. Calling hours 5
ly at the funeral home. Contributions:
pital, Development Office, P.O. Box
rd 06492.

us his 1990 Golf Volkswagen, which he
himself and won several trophies at
ows.

rothy "Dora" Rauso, a Waterbury resi-
1997, and formerly of Bronx, N.Y., died
ct. 19, 2004, at St. Mary's Hospice. She

in the Bronx on Dec. 3, 1919, daughter
o and Gelsomina (Cecere) Rauso.
ved by her sisters, Ida DeMatteis of Water-
Louise Manenti of Fort Lauderdale, Fla.,
nieces, nephews and grand nieces and
ephews. Her brothers, John and Anthony,
sisters, Flora Vitiello and Catherine Nuzzo-
earlier.

ments: Visiting will be held Friday from 4 to
at Maiorano Funeral Home, 95 Willow St.,
bury. A funeral Mass will be celebrated Sat-
at 9 a.m. at St. Margaret's Church in Water-
Entombment will follow at Rose Hills Memo-
ark, Putnam Valley, N.Y. In lieu of flowers,
ributions in her memory may be made to St.
y's Hospital, Hospice Unit, 56 Franklin St., Wa-
ury 06706.

IS — Bridget Kelley Rines, 24, of Portland,
ne, died unexpectedly on Sunday, Oct. 17, 2004.
et was born at her home in Smithfield, Maine,
Feb. 9, 1980, to Robert and Carolyn Rines. Brid-
attended high school at Kaynor Technical
ool in Waterbury, Conn. While a student at
nor Tech-



Mr. Samuelson

He attended
Southern Con-
necticut State Uni-
versity, receiving
his teaching cer-
tificate, and was a
teacher and ad-
ministrator in many communities, includ-
ing Oxford, Southbury, Woodbury, Bethle-
hem, Regional School District 1 (Falls Vil-
lage), Sierra Vista, Ariz., and North Haven.
He was co-founder of the Oxford Biddy
Basketball League and coached the South-
bury High School varsity basketball team
in the 1960's. His hobbies included carpen-
try.

Besides his wife of
52 years, he leaves
four sons, Frank
Samuelson Jr. of
Southbury, Robert Samuelson of Middle-
bury, William Samuelson of Cheshire and
Thomas Samuelson of Marblehead, Mass.;
a daughter, Marianne Alger of Cumber-
land, R.I.; 12 grandchildren; and five great-
grandchildren.

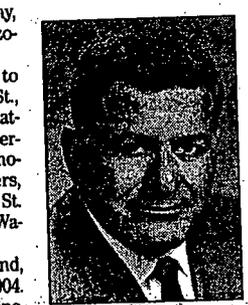
Arrangements: Funeral Saturday at a
time to be announced at St. Thomas the
Apostle Church, 733 Oxford Road, Oxford.
Burial is at the convenience of the family.
Calling hours 3 to 7 p.m. Friday at Ralph E.
Hull Funeral Home, 161 West Church St.,
Seymour.

Contributions: Children of the Light,
3615 62nd St. East, Bradenton, Fla. 34208,
which is a Honduran orphanage, or Fidel-
co Guide Dog Foundation, 103 Old Iron
Ore Road, Bloomfield 06002.

Frank A. Barone

Army veteran of World War II
WATERTOWN — Frank A. Barone, 80,
of Belden Street, died Wednesday, Oct. 20,
at Waterbury Hospital. He was the hus-
band of Cynthia (Ellis) Barone.

Mr. Barone was born Nov. 1, 1923, in
Hartford, son of the late Francis A. and
Elizabeth (Cordo) Barone. He lived in
White Plains, N.Y., and was a graduate of
White Plains High School. He was a veter-
an of World War II,



Mr. Barone

serving with the
769th Army Air
Forces in New
Guinea and the
Northern
Solomons.
He moved to
Watertown in 1961
and managed
Campbell Ford and
Savell Ford, both
in Waterbury, until
founding Baron
Motors in Watertown
in 1976. He was a



Mr. Pirro

graduate of the
University of New
Haven, where he
received a bache-
lor of arts degree
in English, and he
had worked as an
optical technician
for McLeod Optical in Waterbury for
more than 20 years.

He was an amateur photographer, sub-
mitting his works in several local fairs, in-
cluding the Wolcott Fair, where he won
numerous ribbons. His hobbies included
cars, and he built his own 1990 Golf Volk-
swagen, which won several trophies at
local car shows. He was a member of the
AAU Basketball, where he was involved in
travel teams with his daughters, and was a
member of the Connecticut Volkswagen
Association, Club H2O, and the Prospect
Photographic Society. He attended SS.
Peter & Paul Church.

Besides his wife, he leaves two daugh-
ters, Sara and Jillian Pirro, both of Wol-
cott.

Arrangements: Funeral 9:30 a.m. Sat-
urday from Woodtick Memorial, 420
Woodtick Road, Wolcott, to SS. Peter &
Paul Church for Mass at 10:30. Burial:
Edgewood Cemetery, Wolcott. Calling
hours 5 to 8 p.m. Friday at the funeral
home.

Contributions: Gaylord Hospital, Devel-
opment Office, P.O. Box 400, Wallingford
06492.

Ms. Dorothy Rauso

Waterbury resident
WATERBURY — Dorothy Rauso, 84,
known as "Dora" to family and friends,
formerly of Bronx, N.Y., died Thursday,
Oct. 19, at St. Mary's Hospice.

Ms. Rauso was born Dec. 3, 1919, in
Bronx, N.Y., daughter of Gaetano and Gel-
somina (Cecere) Rauso. She had lived in
Waterbury since 1997.

She leaves two sisters, Ida DeMatteis of
Waterbury and Louise Manenti of Ft. Laud-
erdale, Fla.

Arrangements: Funeral Mass 9 a.m.
Saturday at St. Margaret's Church. En-
tombment will follow at Rose Hills Memo-
rial Park, Putnam Valley, N.Y. Calling
hours 4 to 8 p.m. Friday at Maiorano Fu-
neral Home, 95 Willow St.

Contributions: St. Mary's Hospital, Hos-
pice Unit, 56 Franklin St., Waterbury
06706.

Mrs. Delfina Santos

Leaves family in Waterbury
BRAGA, Portugal — Delfina Soares
Santos died Wednesday, Oct. 20, in Portu-
gal. She was the widow of Joao DaSilva

Jaime M. Cabral — 81, of 1
Funeral 8:30 a.m. today from
Brothers Funeral Home, 82
Ave., to Our Lady of Fatima (
Mass at 9. Burial: Grove Ceme-
John S. Gallant Sr. — 73, of 1
formerly of Bristol. Funeral 9
from Funk Funeral Home, 31
Ave., Bristol, to St. Joseph (C
Mass at 10. Burial with military
St. Joseph Cemetery, Bristol.

Mrs. Virginia H. Gray — 81
Beach, Fla., formerly of 1
Graveside service 10 a.m. tod
Pine Grove Cemetery, 1
Arrangements by Alderson
Home of Waterbury, 70 Central

Mrs. Ruth D. Hanke — 89, of I
neral 11 a.m. today at Immanue
Church. Burial: West Cemetery
ments by Funk Funeral Home
vue Ave.

Jose M. Martinez — 70, of T
Funeral 10:30 a.m. today at Pha
al Home, 285 Migeon Ave., T
Burial: New St. Francis Ceme

Mrs. Millie Petrucci — 98, of 1
Funeral 8:30 a.m. today from Cl
way Memorial/The Albin Fami
Home, 430 Chase Parkway, to
of Lourdes Church for Mass at
ial: Calvary Cemetery.

John S. Repas — 85, of Torri
neral 8:15 a.m. today from Gle
tuary, 258 Prospect St., to S
Church for Mass at 9.

Harold W. Roy Jr. — 79, of
Memorial service 11 a.m.
Prospect Congregational.
Arrangements by Buckmille
Funeral Home, 26 Waterb
Route 69.

Rabbi Harold Shuster — 83
bury. Shiva will be observed
today and until 4 p.m. Friday
of his nephew Dr. Gary I
Collins St., Waterbury. Arran-
Alderson Funeral Home of W
Central Ave.

George L. Sullivan — 57, of C
neral 8:15 a.m. today from th
neral Home, 742 Main St.,
Magdalen Church for Mass.
St. Mary's Cemetery, Terryvil

Donna L. Treat — 54, of
Memorial service 4 p.m. t
Community Room of "The
Southington. Arrangements-
chia Funeral Home, 690 Wo
Wolcott.

Mrs. Anita C. Wasdo — 80,
Mass 9:30 a.m. today at St. J
Church. Burial in New St. J
tery is at the convenience
Arrangements by Murphy F
115 Willow St., Waterbury.

Mrs. Barbara A. Alzamora
winton, formerly of Waterb
service 11 a.m. Friday at S
Church at Route 6, Wo
Waterbury. Arrangements
Funeral Home, 690 Willow

an-American
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Johnson
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ster,
18-

753-1146

Fund Summary Report 1/1/87 - 2/25/11
Naugatuck Walk In Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
naugy walkin	Naugatuck Walk-In	2	\$0.00	\$0.00	\$17,078.00	\$0.00	\$17,078.00

and Totals:

2 Gift(s) listed

1 Donor(s) listed

\$0.00 \$0.00 \$17,078.00 \$0.00 \$17,078.00

F6

Fund Council Report Naugatuck Walk-In Fund

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Connecticut Community Founda 43 Field St Waterbury, CT 06702-1906 (203) 753-1315	12/31/2009	Naugatuck Walk-I	\$0.00	\$0.00	\$10,828.00	for equipment for the Naugatuck Walk-In
	1/13/2011	Naugatuck Walk-I	\$0.00	\$0.00	\$6,250.00	for equipment for the Naugatuck Walk-In Center
Grand Totals:			\$0.00	\$0.00	\$17,078.00	

2 Gift(s) listed

1 Donor(s) listed

F6


Saint Mary's
HOSPITAL
Foundation, Inc.

**Authorization To Create A Temporarily Restricted
Special Purpose Fund**
(Please attach all supporting documentation)

Date Fund Created: January 7, 2010

Source of Fund: CT Community Foundation Initial Amount: \$10,825

Name of Fund: ~~Saunders Fund~~ Naugy Walk-In

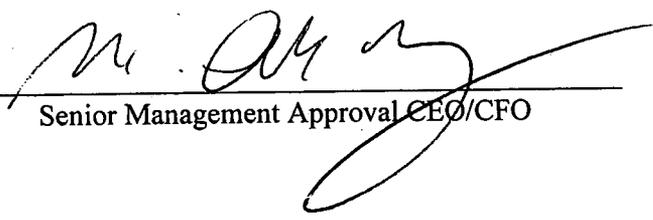
Will there be subsequent additions to this Fund? Yes

Purpose of Fund:

purchase equipment for the Naugatuck Walk-In Center. All monies from this fund are restricted for the use of
ill and sick residents of Naugatuck.

Person(s) Authorized to Approve Distributions:

Pat Evans


Senior Management Approval CEO/CFO

Date: 1/7/10

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____

F6

CONNECTICUT COMMUNITY FOUNDATION



43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@connctf.org
www.connctf.org

December 29, 2009

Copy of check included

~~Naugatuck Walk-in Fund~~

Mr. Chad W. Wable
President & CEO
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

✓ #8165
12/17/09
\$10,828.00

Dear Mr. Wable:

I am enclosing a check in the amount of \$10,828 representing the entire payment of the 2009 Connecticut Community Foundation grant (#20090796) from the Saunders Fund for the Sick and Infirm of the Borough of Naugatuck to Saint Mary's Hospital for equipment for the Naugatuck Walk-in.

Please complete and return an **email update** by **February 5, 2010** and a **final report** to us by **September 1, 2010**, keeping in mind that evaluations of past grants must be on file at the Foundation in order for your organization to be eligible to apply for future grants.

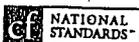
You may download the report at: <http://www.connctf.org/grants/reporting.htm>. Please email the evaluation to the attention of Ann Merriam Feinberg, and mail us the hard copy. Ann's email address is: amerriamfeinberg@connctf.org.

The Connecticut Community Foundation is pleased to assist Saint Mary's Hospital.

If you have any questions about this grant, please contact Ann at 203-753-1315 or by email.

Sincerely,

Ingrid Manning
Chief Executive Officer



IM/tr

cc. Pat Evans



August 27, 2009

Ann Merriam Feinberg
Connecticut Community Foundation
43 Field Street
Waterbury, CT 06702

Dear Ann:

Saint Mary's Health Systems (SMHS) is sending this letter of inquiry requesting funds from the Saunders Fund of the Connecticut Community Foundation for cardiovascular exercise and phlebotomy equipment for its outpatient facility at Crosspointe Plaza on New Haven Road in Naugatuck. The hospital and main campus of SMHS is located at 56 Franklin Street in Waterbury. The Mission of the hospital is to provide excellent health care services in a spiritually enriched environment and these services are provided both on an inpatient and outpatient basis.

The Crosspointe facility provides walk-in care for non-emergent illnesses and injuries, outpatient physical therapy/cardiac rehabilitation services, as well as laboratory testing and x-ray services. Saint Mary's Hospital has been a part of the Naugatuck community for many years and SMHS is the largest provider of health care in Naugatuck. Approximately 12.7% of SMHS outpatient volume comes from Naugatuck.

The cardiovascular exercise equipment that SMHS is proposing to purchase for the Naugatuck Center with a grant from the Saunders Fund will be used for Cardiac Rehabilitation/Wellness/Physical Therapy Programs. The Cardiac Rehabilitation/Physical Therapy Program assists in the recovery of a patient who has had a cardiac event. The program is an individualized exercise/physical therapy program meeting up to three times a week for up to 12 weeks designed to benefit patients requiring EKG monitoring who are recovering from a recent cardiac event, such as a heart attack or cardiac bypass surgery. The Wellness/Physical Therapy Program benefits patients desiring to reduce their risk of cardiovascular disease. The program assists patients with high blood pressure, high cholesterol, obesity, smoking, and diabetic concerns, who do not need EKG monitoring. Exercise sessions are held in a group setting twice a week. The cardiovascular exercise equipment, a BATCA X-2 Multistation Gym, is a new state-of-the-art piece of equipment for the Naugatuck Center and will significantly improve the center's ability to serve its Naugatuck area patients.

In addition to the Multistation Gym SMHS is also requesting two Comfort Reclining Phlebotomy Chairs. These chairs are designed to provide a comfortable and safe blood draw for patients up to 350 pounds. These are needed because the size of patients is increasing and the standard phlebotomy chairs cannot accommodate all patients.

The cardiovascular exercise and phlebotomy equipment requested is new state-of-the-art equipment and will improve patient comfort and healthcare services at the Naugatuck site. The current healthcare

climate has been very financially challenging and SMHS does not have any other sources or matching funds for this equipment.

The phlebotomy and exercise equipment will be used exclusively at the Naugatuck Center and will improve the comfort and healthcare services for patients using the Naugatuck facility. Approximately 12,000 to 13,500 bloods are drawn a year and 350 cardiac and wellness patients with an average of 12 visits each are seen at the center annually. On a short term basis the cardiovascular equipment will assist patients with the rehabilitation process and improve their strength and endurance. On a long term basis the Cardiac Rehabilitation/Wellness/Physical Therapy Program through the use of the state-of-the-art cardiovascular exercise equipment can improve the length and quality of life of patients in the Naugatuck area.

SMHS respectfully requests \$10,828 for the cardiovascular exercise and phlebotomy equipment.

2	Comfort Reclining Phlebotomy Chairs @ \$1,000 each	\$2,000
1	BATCA X-2 Multistation Gym @ \$8,825	<u>\$8,825</u>
	TOTAL REQUEST	\$10,825

Contact Information

Patricia A. Evans, Grant Manager
(203) 709-3270
pevans@stmh.org

The Naugatuck community is an important component of the SMHS primary service area and the health and the wellness of its citizens is very important to the hospital and its affiliates. We thank the Connecticut Community Foundation for the opportunity to submit a letter of inquiry for the Saunders Fund and hope to continue to work with you in the future.

Sincerely,

Patricia A. Evans
Grant Manager

CONNECTICUT COMMUNITY FOUNDATION

8165

73 Saint Mary's Hospital

12/17/2009 008165

20090796	11/20/2009	SAUNDERS: Equipment for Naugatuck Walk-in	10,828.00
SAUN		Saunders Fund for the Sick & Infirm of the Borough	10,828.00

CHECK TOTAL: \$****10,828.00

8165

CONNECTICUT COMMUNITY FOUNDATION

WEBSTER BANK
WATERBURY, CT 06702
51-7010-2111

PAY
TO THE
ORDER OF

DATE

AMOUNT

12/17/2009

\$****10,828.00

* Ten Thousand Eight Hundred Twenty-Eight and no/100 *

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706





 AUTHORIZED SIGNATURE

⑈008165⑈ ⑆211170101⑆10 0009199774⑈

Pulmonary; Pulmonary/Rehab. Prog; Pulmonary/Resp.

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
pulmon	Pulmonary	34	\$0.00	\$0.00	\$2,826.00	\$0.00	\$2,826.00
pulrehab	Pulmonary/Rehab. Program	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
pulresp	Pulmonary/Respiratory	28	\$0.00	\$0.00	\$555.00	\$0.00	\$555.00
		and Totals:	\$0.00	\$0.00	\$4,381.00	\$0.00	\$4,381.00

63 Gift(s) listed
60 Donor(s) listed

F7

Fund [REDACTED] Report

Pulmonary; Pulmonary/Rehab. Program; Pulmonary/Res

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Christine A. Alix	6/29/2010	Pulmonary	\$0.00	\$0.00	\$1,000.00	
American HomePatient	2/28/1999	Pulmonary/Rehab.	\$0.00	\$0.00	\$1,000.00	
Skip Armato	11/28/2004	Pulmonary	\$0.00	\$0.00	\$10.00	
Gregory Bacon	4/14/2000	Pulmonary	\$0.00	\$0.00	\$25.00	
Helen F. Bannon	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$5.00	
James W. Black	1/31/2002	Pulmonary	\$0.00	\$0.00	\$200.00	
James Bonaker	1/31/2002	Pulmonary	\$0.00	\$0.00	\$25.00	
John Butler	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	
R. Cardinal	11/28/2004	Pulmonary	\$0.00	\$0.00	\$100.00	
Eleanor Carlson	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	
Anita Carreiro	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Elizabeth Carson	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Robert J. Chesinas	11/21/2006	Pulmonary	\$0.00	\$0.00	\$50.00	
Thomas M. Coretto	1/31/2002	Pulmonary	\$0.00	\$0.00	\$20.00	
Albert Cummings	4/26/2002	Pulmonary	\$0.00	\$0.00	\$10.00	
William J. Curley	1/31/2002	Pulmonary	\$0.00	\$0.00	\$10.00	
Gregory M. Dean	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$10.00	
Robert W. Evon	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$5.00	
Benjamin Fererowicz	12/31/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Fred L. Fiester	2/28/1999	Pulmonary/Respira	\$0.00	\$0.00	\$30.00	
Anna S. Galvin	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$10.00	
Saverio Genovese	11/28/2004	Pulmonary	\$0.00	\$0.00	\$25.00	
Stan Gowlis	2/28/2000	Pulmonary	\$0.00	\$0.00	\$25.00	
Josephine Gudauskas	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	

Fund I Mail Report

Pulmonary; Pulmonary/Rehab. Program; Pulmonary/Res

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Donald J. Gunnoud	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
John Haigh	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Sylvia Hammer	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Anne L. Hanley	12/24/2004	Pulmonary	\$0.00	\$0.00	\$100.00	
Marie C. Hill	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$5.00	
June Humphreys	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	
Stanley L. Janik	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Doris S. Johnson	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Helen Kirk	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$10.00	
William Kramer	11/30/2004	Pulmonary	\$0.00	\$0.00	\$5.00	
Lakewood Social Club, Inc.	2/13/2002	Pulmonary	\$0.00	\$0.00	\$50.00	
Douglas S. Lasher	1/31/2002	Pulmonary	\$0.00	\$0.00	\$250.00	
Benton R. Leach	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$50.00	
Regina Lepore	1/31/2002	Pulmonary	\$0.00	\$0.00	\$10.00	
Charles J. Le Vasseur	1/31/2002	Pulmonary	\$0.00	\$0.00	\$50.00	
Frances Licnikas	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$5.00	
Nicholas J. Lombard	9/30/2006	Pulmonary	\$0.00	\$0.00	\$50.00	
	10/18/2006	Pulmonary	\$0.00	\$0.00	\$25.00	
	11/17/2006	Pulmonary	\$0.00	\$0.00	\$25.00	
Francis A. Macary	11/17/2006	Pulmonary	\$0.00	\$0.00	\$100.00	
Joseph Magnamo	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	
	11/17/2006	Pulmonary	\$0.00	\$0.00	\$25.00	
Brooks J. Malette	2/28/2000	Pulmonary	\$0.00	\$0.00	\$50.00	
John McLendon	1/31/2002	Pulmonary	\$0.00	\$0.00	\$50.00	
Robert A. Mugford	1/31/2002	Pulmonary	\$0.00	\$0.00	\$100.00	

Fund I Annual Report

Pulmonary; Pulmonary/Rehab. Program; Pulmonary/Res

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Clayton L. Murphy	1/31/2002	Pulmonary	\$0.00	\$0.00	\$20.00	
OKAY Industries, Inc.	1/31/2002	Pulmonary	\$0.00	\$0.00	\$196.00	
Ernest G. Ouellette	11/17/2006	Pulmonary	\$0.00	\$0.00	\$50.00	
Joseph A. Pistrutto	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$10.00	
George S. Raczkowski	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$20.00	
Rockville High Teacher Club	12/31/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Henry Sage	12/14/2004	Pulmonary	\$0.00	\$0.00	\$10.00	
Dudley Sandell	12/31/1998	Pulmonary/Respira	\$0.00	\$0.00	\$10.00	
Rocco A. Scatena	11/17/2006	Pulmonary	\$0.00	\$0.00	\$50.00	
Carmella M. Sparano	12/20/2004	Pulmonary	\$0.00	\$0.00	\$10.00	
St. Mary's Pulmonary Support G	11/28/2004	Pulmonary	\$0.00	\$0.00	\$75.00	
Michael Tully	12/20/2004	Pulmonary	\$0.00	\$0.00	\$25.00	
Christine S. Vertefeuille	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$25.00	
Della M. Voegeli	12/17/1998	Pulmonary/Respira	\$0.00	\$0.00	\$35.00	
Grand Totals:			\$0.00	\$0.00	\$4,381.00	

63 Gift(s) listed

60 Donor(s) listed

OGDEN UT 84201-0046

In reply refer to: 0423371862
Oct. 17, 2008 LTR 252C E0
45-0560905 000000 00 000
00003166
BODC: SB

F7

VIRGINIA GUERRERA PULMONARY SUPPORT
GROUP
125 BRISTOL ST
THOMASTON CT 06787-1603255

Taxpayer Identification Number: 45-0560905

Dear Taxpayer:

Thank you for the inquiry dated Aug. 07, 2008.

We have changed the name on your account as requested. The number shown above is valid for use on all tax documents.

If you have any questions, please call us toll free at 1-877-829-5500.

If you prefer, you may write to us at the address shown at the top of the first page of this letter.

Whenever you write, please include this letter and, in the spaces below, give us your telephone number with the hours we can reach you. Also, you may want to keep a copy of this letter for your records.

Telephone Number () _____ Hours _____

Sincerely yours,

Karen E. Peat

Karen E. Peat
Dept. Manager, Code & Edit/Entity 3

Enclosure(s):
Copy of this letter



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
mem2007	Remembrance 2007	6	\$0.00	\$0.00	\$165.00	\$0.00	\$165.00

and Totals:

\$0.00

\$0.00

\$665.00

7 Gift(s) listed
7 Donor(s) listed

F8

Fund L Contribution Report Wound Care Center Fund

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Hugh C. Brewer 188 Country Club Dr Oxford, CT 06478-1196 (203) 888-2184 ~	7/8/2008	Wound Care Cen	\$0.00	\$0.00	\$500.00	
Rosamarie Conti 1 New Lebanon Ave Greenwich, CT 06830-5962 (203) 720-1231 ~	5/21/2007	Wound Care Cen	\$0.00	\$0.00	\$10.00	
Nancy C. Foster 251 White Ave Middlebury, CT 06762-2734 (203) 758-9341 ~	5/21/2007	Wound Care Cen	\$0.00	\$0.00	\$20.00	
Frank Matan 108 Clark Rd Trlr 81 Naugatuck, CT 06770-5057 (203) 729-1979 ~	3/31/2007	Wound Care Cen	\$0.00	\$0.00	\$20.00	
Salem Community Child Care 14 Salem Naugatuck, CT 06770 (203) 723-4820	5/21/2007	Wound Care Cen	\$0.00	\$0.00	\$90.00	
Karen L. Streeter 55 Porter Ave Apt 6D Naugatuck, CT 06770-1929 (203) 729-1804 ~	5/21/2007	Wound Care Cen	\$0.00	\$0.00	\$10.00	
Robert Trosan PO Box 968 Naugatuck, CT 06770-0968 TROSANR@NAUGY.NET	6/25/2007	Wound Care Cen	\$0.00	\$0.00	\$15.00	

Fund I Report
Wound Care Center Fund

Grand Totals: \$0.00 \$0.00 \$665.00

7 Gift(s) listed

7 Donor(s) listed

~ The phone is marked as 'Do not contact'

109 School St
Naugatuck, CT 06770

TODAY'S OBITUARIES

Mrs. Marguerite L. Fancher

Longtime Winsted resident
WINSTED — Marguerite "Peggy" Laura (Wheeler) Fancher died Tuesday, Feb. 6, 2007. Peggy, as she was familiarly known, was the widow of Bernard Blair Fancher Sr. who died in 1966.

Mrs. Fancher was born in Waterbury on Jan. 4, 1926, to the late Ross R. and Ellen (McCarthy) Wheeler. She was a longtime resident of Winsted and a communicant at St. Joseph Church. She was retired from Mark Industries.

She is survived by her children, Bernard B. Fancher Jr. and his wife, Lucy, of Winsted; Maureen Barber and husband, Alan, of Winsted, Robert Fancher and wife, Linda, of Barkhamsted, Gail Fancher and husband, Alan Nero, of Winsted, Donna LeMere of Torrington and Sheila Riiska of Winsted. She also leaves her beloved grandchildren, Teresa Krulicki, Garrett and Erica Fancher, Lauren and Michael Barber, Aaron, Adam and Kendra Fancher, Kristen and Ryan Picard and Alan M. Nero II, Jordan and Ian LeMere; and great-grandchildren, Jess and Jared Krulicki and Levi Delaney.

Mrs. Fancher spent her last years in the care of the exceptional staff of the Flanders Unit at Kent Specialty Center in Kent.

Funeral services will be held at 10:30 a.m. on Friday, Feb. 9, from the Maloney Funeral Home, 55 Walnut St., to St. Joseph Church for a funeral Mass at 11. Burial and graveside service will be conducted in the spring. Friends may call at the funeral home today from 5 to 8 p.m.

In lieu of flowers, memorial contributions may be made to: Flanders Unit at the Kent Specialty Center, 46 Maple St., Kent; or St. Joseph Church Parish Center Project, P.O. Box 808, Winsted.

Mrs. Helen H. Jones

Worked at Peter Paul
NAUGATUCK — Helen H. Jones, formerly of 400 Park Ave., died Tuesday, Feb. 6, 2007, at Glendale Health Center of Naugatuck.

Born May 19, 1911, daughter of Alexander John Chmielinski and Katherine (Roman) Chmielinski, Mrs. Jones was a lifelong Naugatuck resident. She was employed at Peter Paul Inc. for many years, and was a communicant of St. Mary's Church in Union City.

She was predeceased by her former husband, Edward Thomas Jones, two brothers, Alexander John Chmielinski and Victor Anthony Chmielinski, and a grandson, Vincent James Forgione.

She is survived by two sisters, Madeline Chmielinski and Alice DeJoseph, both of Naugatuck; two daughters, Barbara Forgione of Stamford, Sandra Helen Sweet of Waterbury; a son-in-law, Peter S. Forgione; seven grandchildren, Anna Catherine Forgione, Helen Forgione, Margaret Forgione, James Forgione, Diane Catherine Sweet, Donna Treffery and Shawn Sweet, all of Naugatuck; eight great-grandsons; four great-granddaughters; and two great-great-granddaughters.

The funeral will be held at 10:30 a.m. on Saturday, Feb. 10, from the Fitzgerald-Zembruski, 240 North Main St., Naugatuck, to St. Hedwig Church, 32 Golden Hill St., Naugatuck, for a Mass at 11 a.m. Burial will be at the convenience of the family. Calling hours will be held at the funeral home on Saturday from 9:30 to 10:30 a.m.

Memorial contributions may be made to: Parkinson's Disease Foundation, Columbia University, William Black Building, New York, N.Y. 10032.

Mrs. Dorothy Cross

Worked at Rita's Bakery
NAUGATUCK — Mrs. Dorothy (Pruchnicki) Cross, 68, of 109 School St., died unexpectedly on Tuesday, Feb. 6, 2007, at Saint Mary's Hospital. She was the widow of Howard R. Cross.

Mrs. Cross was born in Naugatuck on Sept. 16, 1938, daughter of the late Michael and Stella (Kawecki) Pruchnicki. She was a lifelong resident of Naugatuck, and retired from Rita's Bakery where she worked for more than 30 years. She was a communicant of St. Vincent Ferrer Church.

She leaves three daughters, Donna Lubanda and Pamela Cross, both of Naugatuck, Christina Gomes of Prospect; a son, Barry Rogers of New Hampshire; 12 grandchildren, and a great-granddaughter. A daughter, Deborah Cross, is deceased.

The funeral will be held at 8:15 a.m. on Friday, Feb. 9, from the Fitzgerald-Zembruski Funeral Home, 240 North Main St., to St. Vincent Ferrer Church, 1006 New Haven Road, Naugatuck, for a Mass at 9 a.m. Burial will be in St. James Cemetery, Naugatuck. Calling hours will be held at the funeral home today from 6 to 8 p.m.

Memorial contributions may be made to: Saint Mary's Hospital Wound Center, 56 Franklin St., Waterbury 06702.

Mrs. Marjorie Gonchar

Worked at Sacred Heart
TORRINGTON — Marjorie "Midge" Gonchar, 77, of 141 Grove St., died Tuesday, Feb. 6, 2007, at her home. She was the wife of the late Norbert "Buzzy" Gonchar.

Born in Torrington on July 22, 1929, daughter of the late Michael and Elizabeth Dearborn Conlon, Mrs. Gonchar served as a cook at Sacred Heart School for many years. She later worked at Pheoll and the Brunswick Corporation. She was a member of the Sacred Heart Church Altar Society.

She is survived by a son, Richard Gonchar and his wife, Donna, and a daughter, Deborah Gonchar, all of Torrington; grandchildren, Jillian and Erin Gonchar, Shannon Lopardo and Courtney Neidt, as well as great-grandchildren, Mackenzie Klug, Ayerland Baker and Conio Lopardo. She also leaves two sisters, Viola Wagner of Torrington and Elizabeth Holmes of Litchfield. She was predeceased by two brothers, Donald and Robert Conlon, a sister, Marion

SCHEDULE OF FUNERALS

Almeida, Mrs. Maria — 84, of Pardilho, Portugal. Memorial Mass 6:30 p.m. today at Our Lady of Fatima Church, Waterbury, Conn.

Baldwin, Mrs. Isabella — 95, of Taconic. Memorial service 2 p.m. today at Salisbury Congregational Church.

Cianciolo, Miss Eleanor R. — of Torrington. Funeral Mass 10:30 a.m. today at St. Peter's Church. Burial will follow in New St. Francis Cemetery. Calling hours 9 to 10 a.m. today at Phalen Funeral Home, 285 Migeon Ave., Torrington.

Memorial gathering 6 to 7:30 p.m. Friday at Hickcox Funeral Home, 195 Main St., Watertown.

Marut, Mrs. Ann B. — 84, of Cheshire. Funeral 9:15 a.m. Friday from Slater Funeral Home, 242 South Main St., Cheshire. Mass 10 a.m. at St. Bridget's Church. Burial: St. Bridget's Cemetery, Cheshire. Calling hours today from 5 to 8 p.m.

Morency, Alfred — 85, of Cheshire, formerly of Watertown. Memorial service 11 a.m. Saturday at Christ Church, Watertown. Burial: Christ Church Memorial

Appeal Summary Report
 CDC PTO/Playground Fund 1/1/1987 - 12/17/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cdcfundraiser03	Child Devel. Ctr. Fundraiser 1	106	\$0.00	\$0.00	\$3,500.00	\$0.00	\$3,500.00
cdcfundraiser04	Child Devel. Ctr. Fundraiser 1	6	\$0.00	\$0.00	\$2,570.00	\$0.00	\$2,570.00
cdcfundraiser05	Child Devel. Ctr. Fundraiser 1	4	\$0.00	\$0.00	\$4,152.00	\$0.00	\$4,152.00
cdcfundraiser06	Child Devel. Ctr. Fundraiser 1	2	\$0.00	\$0.00	\$2,695.50	\$0.00	\$2,695.50
cdcfundraiser07	Child Devel. Ctr. Fundraiser 1	1	\$0.00	\$0.00	\$2,060.00	\$0.00	\$2,060.00
cor2001	Corporations 2001	1	\$0.00	\$0.00	\$861.13	\$0.00	\$861.13
cor2002	Corporations 2002	3	\$0.00	\$0.00	\$4,249.70	\$0.00	\$4,249.70
cor2003	Corporations 2003	2	\$0.00	\$0.00	\$715.40	\$0.00	\$715.40
cor2005	Corporations 2005	1	\$0.00	\$0.00	\$236.22	\$0.00	\$236.22
cor2008	Corporations 2008	1	\$0.00	\$0.00	\$450.00	\$0.00	\$450.00
cor2009	Corporations 2009	2	\$0.00	\$0.00	\$451.00	\$0.00	\$451.00
ind2002	Individuals 2002	1	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
ind2003	Individuals 2003	3	\$0.00	\$0.00	\$1,209.98	\$0.00	\$1,209.98
ind2009	Unsolicited 2009	2	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
maj2000	Major Gifts 2000	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
maj2002	Major Gifts 2002	1	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
				and Totals:	\$24,450.93	\$0.00	\$24,450.93

137 Gift(s) listed

117 Donor(s) listed

GI

Appeal Summary Report
Child Devel. Ctr. Educ. Fund 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2002	Corporations 2002	1	\$0.00	\$0.00	\$0.00	\$2,000.00	\$2,000.00
cor2003	Corporations 2003	2	\$0.00	\$0.00	\$68.00	\$1,300.00	\$1,368.00
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$350.72	\$0.00	\$350.72
ind2004	Individuals 2004	2	\$0.00	\$0.00	\$80.00	\$0.00	\$80.00
		and Totals:	\$0.00	\$0.00	\$498.72	\$3,300.00	\$3,798.72

6 Gift(s) listed

5 Donor(s) listed

Fund Council Report

Child Devel. Ctr. Educa. Fund

Constituent Name	Date	Fund Descript	Pledge Balance	edge Payments	on-Pledge Gift	Gifts in Kind	Reference
Alice L. Bulger 41 Manor Dr Cheshire, CT 06410-2615 203-272-8133	6/28/2004	Child Devel. Ctr. E	\$0.00	\$0.00	\$40.00	\$0.00	
American Clothing Recycling C 32 Great Hill Rd Seymour, CT 06483-2249 (203) 735-1000 ~	7/30/2004	Child Devel. Ctr. E	\$0.00	\$0.00	\$40.00	\$0.00	
Photo Promotions Unlimited PO Box 111 Thomaston, CT 06787-0111	9/29/2003	Child Devel. Ctr. E	\$0.00	\$0.00	\$68.00	\$0.00	
Wachovia Mortgage Corp. 1 Jefferson Sq Waterbury, CT 06706-1102	6/11/2004	Child Devel. Ctr. E	\$0.00	\$0.00	\$350.72	\$0.00	
Wal-Mart 1100 New Haven Rd Naugatuck, CT 06770-5016 203-729-9100	3/31/2002	Child Devel. Ctr. E	\$0.00	\$0.00	\$0.00	\$2,000.00	4 computers
	4/30/2003	Child Devel. Ctr. E	\$0.00	\$0.00	\$0.00	\$1,300.00	130 Easter bunnies & plastic eggs
Grand Totals:			\$0.00	\$0.00	\$498.72	\$3,300.00	

6 Gift(s) listed

5 Donor(s) listed

~ The phone is marked as 'Do not contact'

4492

SS7088/2118
BRANCHING

WILLIAM P. SHIERS
KATHARINE L. SHIERS
DR. SHIERS PHOTOGRAPHY
PO BOX 309 PHA 13-215-7819
WALES, MA 01081

4/19/09

PAY TO THE ORDER OF **ST. Mary's Hospital CDC** \$ **201.00**



Dollars

Two Hundred and One Dollars

County Bank
Ware, Massachusetts
EST. 1852

Kas

FOR DEPOSIT ONLY
18709801-18500015020

4492

Please deposit into our account. Please Paygrand or Education


Saint Mary's
HOSPITAL
Foundation

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Eric J. Olson, M.D.

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

March 16, 2009

Precision Realty Management, Inc.
100 Pluckemin Park Court
Bedminster, NJ 07921

Dear Friends:

It is with sincere gratitude that we acknowledge your company's contribution of \$250 to Saint Mary's Hospital Foundation, specifically designated for the Child Development Center's Playground Fund.

Each year, friends of Saint Mary's Hospital help us continue providing the quality, compassionate care that has been our hallmark for over 90 years. With your support, we keep pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire Saint Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,



Lezlye Zupkus
Director of Development

LZ:hrs

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.


Saint Mary's
HOSPITAL
Foundation

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The Most Rev. Henry J. Mansell

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Eric J. Olson, M.D.

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

October 24, 2007

Get The Picture! Studio
6 Roy Mountain Rd
Prospect, CT 06712

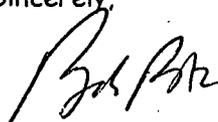
Dear Friends:

It is with sincere gratitude that we acknowledge your contribution of \$450 to Saint Mary's Hospital Foundation, specifically designated for the Child Development Center's Playground Fund.

Each year, friends of Saint Mary's Hospital help us continue providing the quality, compassionate care that has been our hallmark for over 90 years. With your support, we keep pace with the changes in medical technology so necessary to give to you the best in healthcare and for us to continue our mission.

On behalf of the entire Saint Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,



Robert P. Ritz, C.H.E.
President and Chief Executive Officer

RPR:hrs

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

ALICE L. BULGER
41 MANOR DR. 203-272-8133
CHESHIRE, CT 06410

55-150/212
3981829446

264

DATE 6-10-04

PAY TO THE ORDER OF St. Marys Hospital Foundation \$ 40-

Forty + 00/100 DOLLARS

HUDSON UNITED BANK 

Highland-Cheshire Office
595 Highland Ave.
Cheshire, CT 06410
www.hudsonunitedbank.com

MEMO CDC Education Fund

Alice L. Bulger MP

+10212015031

398182944610264

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Security Features Included. Details on Back.

Fund Summary Report Fitness Center Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
fitness	Fitness Center Fund	72	\$2,201.94	\$7,298.06	\$4,600.00	\$0.00	\$14,100.00
		and Totals:	\$2,201.94	\$7,298.06	\$4,600.00	\$0.00	\$14,100.00

72 Gift(s) listed

16 Donor(s) listed


Saint Mary's
HOSPITAL
Foundation, Inc.

**Authorization To Create A Temporarily Restricted
Special Purpose Fund**
(Please attach all supporting documentation)

Date Fund Created: 4/29/10

Source of Fund: Donations Initial Amount: _____

Name of Fund: Fitness Center Fund

Will there be subsequent additions to this Fund? yes

Purpose of Fund:
to underwrite capital costs of
Fitness Center Gym

Person(s) Authorized to Approve Distributions:
Jeggy hauler

Jeggy hauler
Senior Management Approval CEO/CFO

Date: 4/29/10

Accounting Department Only:
General Ledger Number: _____ Date entered: _____ Initials: _____


Saint Mary's
HOSPITAL
Foundation

FITNESS CENTER
SPONSORSHIP OPPORTUNITIES

PRESENTING SPONSOR.....\$50,000

Fitness Center naming opportunity; Lifetime membership to gym for 5 employees; Each membership will receive the following: Name on wall plaque; Four one-hour training sessions with a personal trainer; Complimentary Ready, Set, Go program; Complimentary classes offered by the wellness committee; Workout sweat-shirt and tee-shirt

PARTNER SPONSOR.....\$10,000

Partnership recognition in workout area; Lifetime membership to gym for 3 employees; Each membership will receive the following: Name on wall plaque; Four one-hour training sessions with a personal trainer; Complimentary Ready, Set, Go program; Complimentary classes offered by the wellness committee; Workout sweat-shirt and tee-shirt

PLATINUM SPONSOR.....\$5,000

Piece of equipment with your name on it; Lifetime membership to gym; Name on wall plaque; Four one-hour training sessions with a personal trainer; Complimentary Ready, Set, Go program; Complimentary classes offered by the wellness committee; Workout sweat-shirt and tee-shirt.

GOLD SPONSOR.....\$2,500

Lifetime membership to gym; Name on wall plaque; Two one-hour training sessions with a personal trainer; Complimentary Ready, Set, Go program; Complimentary classes offered by wellness committee; Workout tee-shirt

SILVER SPONSOR.....\$1,000

Lifetime Membership; Name on wall plaque; Complimentary Ready, Set, Go program; Workout tee-shirt

BRONZE SPONSOR.....\$500

Name on wall plaque; Workout tee- shirt.

— Yes, I/We am interested in a sponsorship opportunity and have made my selection above.

Amount Enclosed: \$ _____

— I/We are interested in a sponsorship opportunity. Please contact me with more information.

_____ *Name (Please Print)* *Telephone*

_____ *Company Name*

_____ *Street* *City* *State* *Zip*

_____ *Preferred Email Address* Home Business

Please return this form to Saint Mary's Hospital Foundation - 56 Franklin Street – Waterbury, CT 06706

Phone #: (203) 709-3761 Fax #: (203) 709-3272 Email: lezlye.zupkus@stmh.org

Fitness Center Gym

Name (please print): _____

Gift Amount: \$ _____ Check Enclosed _____ Payroll Deductions Begin June 1

Weekly payroll deduction: ___ \$1,500= \$28.85 ___ \$1,000= \$19.23 ___ \$500= \$9.62 ___ Other

MC ___ Visa ___ AMEX ___ Discover ___ Card No. _____ Exp. Date _____

Address: _____

City: _____ State: _____ Zip: _____ Email: _____

Signature: _____ Date: _____

It All Comes Back to You

56 Franklin Street, Waterbury, CT 06706 203-709-6390 www.stmhfoundation.org

Fitness Center Gym

Name (please print): _____

Gift Amount: \$ _____ Check Enclosed _____ Payroll Deductions Begin June 1

Weekly payroll deduction: ___ \$1,500= \$28.85 ___ \$1,000= \$19.23 ___ \$500= \$9.62 ___ Other

MC ___ Visa ___ AMEX ___ Discover ___ Card No. _____ Exp. Date _____

Name (please print): _____

Address: _____

City: _____ State: _____ Zip: _____ Email: _____

Signature: _____ Date: _____

It All Comes Back to You

56 Franklin Street, Waterbury, CT 06706 203-709-6390 www.stmhfoundation.org

Fitness Center Gym

Name (please print): _____

Gift Amount: \$ _____ Check Enclosed _____ Payroll Deductions Begin June 1

MC ___ Visa ___ AMEX ___ Discover ___ Card No. _____ Exp. Date _____

Weekly payroll deduction: ___ \$1,500= \$28.85 ___ \$1,000= \$19.23 ___ \$500= \$9.62 ___ Other

Address: _____

City: _____ State: _____ Zip: _____ Email: _____

Signature: _____ Date: _____

It All Comes Back to You

56 Franklin Street, Waterbury, CT 06706 203-709-6390 www.stmhfoundation.org



Saint Mary's Staff Wellness Center

Saint Mary's Health System's Wellness Committee, the *Journey to Lasting Health*, is committed to supporting Saint Mary's staff and their families in developing and sustaining healthy lifestyles. The principle supporting the existence of the *Journey to Lasting Health* is that prevention of disease and illness through education is central to influencing healthy behavior patterns to last a lifetime. By establishing a 24-hour accessible wellness center, inclusive of exercise equipment, for use by Saint Mary's staff, we complement the existing wellness programs and offer a continuum of options for enhancing staff's physical activity.

The *Journey to Lasting Health* is in its fourth year at Saint Mary's. In the past three years, the program has been successful. Quarterly wellness challenges and mini-challenges have encouraged active participation by all staff to eat more fruits and vegetables, take the stairs, increase the amount of daily activity, drink more water, maintain (not gain) weight during the holidays, and take more time to relax. A variety of free lectures have been offered to promote healthy living and educate staff on topics of interest including breast health, exercise, ergonomics, vaccine information, diabetes awareness and maintenance, learning how to safely and effectively start a running or walking routine, healthy holiday eating, promoting healthy eating and exercise for kids, and stress management. The third aspect of the wellness program is recreational activities that we have been fortunate to offer to our staff free of charge. Over the last two years, we have provided classes in yoga, kickboxing, boot camp, cardio and abs; and have organized groups to play golf, basketball, volleyball, and walk or run after work and participate in local 5K road races.

We are looking to continue the program's growth and maintain the momentum that has accumulated over the past two years. Offering staff the flexibility to exercise at any time of day and the convenience of such being located at the workplace are tremendous additions to the program, and complements the quality of current offerings. As indicated by our annual staff wellness survey, staff is interested in being healthy and we want to provide them the resources to do so.

Staff requests were not the sole impetus for the development of an on-site exercise space at Saint Mary's Hospital. Employee insurance claims data provided by Anthem repeatedly identify that a significant number of Saint Mary's staff and their dependents have a high rate of diabetes. Nearly 4.3% of all insureds were identified with the condition as of December 2008, which does not account for the individuals left being undiagnosed. In addition, anti diabetic drugs represent the largest category of therapeutic drugs prescribed to the group. Healthy eating and exercise are key to preventing type II diabetes and making changes in eating habits and exercising regularly can significantly reduce the chances of developing insulin resistance and type II diabetes. If Saint Mary's staff had an easily accessible and comprehensive fitness program, it is possible that we could impact a noticeable change in the rate of diabetes and overall health in our employee population.

According to the *2008 Physical Activity Guidelines for Americans*, individuals need to take part in two types of physical activity each week to improve health – aerobic and muscle-strengthening. The recommended activity for adults is a total of 2 hours and 30 minutes of moderate-intensity aerobic activity

(i.e., brisk walking) per week and muscle-strengthening activities on two or more days each week. The Centers for Disease Control and Prevention (CDC) asserts that "aspects of the home, workplace, and community environments influence a person's level of physical activity." Considering the current economic climate, some individuals may not be as likely to pay for a gym membership or home exercise equipment. If individuals do not have access to equipment or facilities in which to achieve the average 30 minutes of exercise each day, it is very difficult to meet these requirements. An on-site location offering exercise equipment for staff use is a remedy to all of these barriers for Saint Mary's staff.

In addition to the obvious health benefits and decreased medical costs, several studies have concluded that regular exercise correlates with productivity and job satisfaction. A study by Jim McKenna from the University of Bristol showed that after exercising, participants returned to work more tolerant of themselves and more forgiving of their colleagues. Their work performance was also consistently higher, as shown by better time management and improved mental sharpness. A December 2002 article in the St. Louis Business Journal explains that "by creating a wellness or fitness center in the workplace, employers can lower health-care costs, absenteeism and stress at work while at the same time increasing productivity, morale and time utilization." Exercise increases the blood flow to the brain, and people feel rejuvenated after exercising and are often able to work more effectively and efficiently.

The added benefit of enhancing the benefit offerings to Saint Mary's staff provides incentive for prospective job applicants. In a market where it is often difficult to recruit healthcare professionals, offering a safe and convenient exercise option will help recruit staff to a medically underserved area. Saint Mary's is a healthcare institution that promotes healthy living throughout the community, but we must also provide staff the opportunity to be healthy and to set an example for others. The visible presence of health promotion and healthy living among our staff emanates to the patients and visitors of the Hospital and offsite locations.

Vacant space on the first floor of the Slocum building of Saint Mary's Hospital has been renovated to accommodate the wellness center. Equipment has been purchased and we are looking to purchase additional equipment including cardio machines and weights. The addition of a personal trainer and nutrition counselor will be available to provide basic and comprehensive education and training creating a path to a healthier lifestyle for those in the high risk categories.

The wellness center will be accessible to Saint Mary's staff, 24-hours a day to accommodate all shifts at their convenience. There are wellness center dues of \$2 per week to the cost of maintenance of the wellness center and the exercise equipment.

As with all projects the wellness center comes with initial capital costs. Start up costs is estimated to be \$100,000 and includes the purchase of equipment, building renovations and allowances for a personal trainer and nutritionist. The attached form outlines sponsorship opportunities and Lifetime Memberships which are available to help defray these initial expenses.

As a close and valuable partner of Saint Mary's Hospital we look forward to your careful consideration of providing support for this project by selecting one of these opportunities for your company. Thank you for your consideration.

Scovill Doc's solicitation

April 30, 2010

Franco Galasso, M.D
1320 West Main Street
Waterbury, CT. 06708

Dear Dr. Galasso,

We are about to open the long awaited Fitness Center Gym for members of the hospital family. The gym compliments the hospital's focus on wellness for our employees and provides them with a convenient location to exercise without leaving the campus. Additional opportunities for employees to access a personal trainer and fitness classes will enhance the project. As a physician, you more than others know the importance of a healthy staff and the direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is especially important for Saint Mary's. We believe the availability of such a facility will make it easier for staff such to get the exercise they want and need in a convenient location.

As with everything, this project came with a cost at a time when the hospital is struggling to complete other capital projects and acquire critical new technology. The use of our limited funds for such a venture is difficult.

We are therefore turning to our leadership and close members of our hospital family to help underwrite the capital and operating costs for the gym. As a member of Scovill Medical Group and a valued hospital partner we hope you will support this project by becoming a Silver Sponsor for \$1,000.00. A plaque listing our most generous donors will be prominently displayed at the gym for employees to see who made this facility a reality. Your gift will convey the commitment and support of our physician partners for a project that is important to our employees. The enclosed sponsorship form outlines the various levels of recognition. Our goal of \$100,000 is ambitious and achievable only if individuals such as you respond positively to this request.

The Foundation can offer payroll deduction as a convenient way to make your gift. Simply return the enclosed pledge form and the Foundation will take care of the rest. Saint Mary's is fortunate to have committed leadership among our hospital family. That commitment is the reason Saint Mary's Hospital is able to continue its Mission even in these difficult economic times. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

MJL:las

Emergency Room Doc's solicitation

April 30, 2010

Peter J. Jacoby, M.D.
Chairman, Department of Emergency Services
167 Sprain Brook Road
Woodbury, CT 06798-1914

Dear Dr. Jacoby,

We are about to open the long awaited Fitness Center Gym for members of the hospital family. The gym compliments the hospital's focus on wellness for our employees and provides them with a convenient location to exercise without leaving the campus. Additional opportunities for employees to access a personal trainer and fitness classes will enhance the project. As a physician, you more than others know the importance of a healthy staff and the direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is especially important for Saint Mary's. We believe the availability of such a facility will make it easier for staff such to get the exercise they want and need in a convenient location. As someone who works very hard and must be at the hospital for long periods of time we hope you will find the gym a convenient place to re-energize yourself.

As with everything, this project comes with a cost at a time when the hospital is struggling to complete other capital projects and acquire critical new technology. The use of our limited funds for such a venture is difficult.

We are therefore turning to our leadership and close members of our hospital family to help underwrite the capital and operating costs for the gym. As a valued hospital physician, we hope you will support this project by becoming a Bronze Sponsor for \$500. A plaque signifying gifts of \$500 and over will be prominently displayed at the gym for employees to see who made this facility a reality. Your gift will convey the commitment and support of our physician partners for a project that is important to our employees. The enclosed sponsorship form outlines the various levels of recognition. Our goal of \$100,000 is ambitious and achievable only if individuals such as you respond positively to this request.

The Foundation can offer payroll deduction as a convenient way to make your gift. Simply return the enclosed pledge form and the Foundation will take care of the rest. Saint Mary's is fortunate to have committed leadership among our hospital family. That commitment is the reason Saint Mary's Hospital is able to continue its Mission even in these difficult economic times. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

MJL:las

Franklin Medical solicitation

April 30, 2010

Shawnette A. Alston, M.D.
6 Lillibridge Court
Hamden, CT 06517-3504

Dear Dr. Alston,

We are about to open the long awaited Fitness Center Gym for members of the hospital family. The gym compliments the hospital's focus on wellness for our employees and provides them with a convenient location to exercise without leaving the campus. Additional opportunities for employees to access a personal trainer and fitness classes will enhance the project. As a physician you more than others know the importance of a healthy staff and the direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is especially important for Saint Mary's. We believe the availability of such a facility will make it easier for staff such to get the exercise they want and need in a convenient location. As someone who works very hard and must be at the hospital for long periods of time, we hope you will find the gym a convenient place to re-energize yourself.

As with everything, this project comes with a cost at a time when the hospital is struggling to complete other capital projects and acquire critical new technology. The use of our limited funds for such a venture is difficult.

We are therefore turning to our leadership and close members of our hospital family to help underwrite the capital and operating costs for the gym. As a valued hospital physician, we hope you will support this project by becoming a Silver Sponsor for \$1,000.00. A plaque listing our generous donors will be prominently displayed at the gym for employees to see who made this facility a reality. Your gift will convey the commitment and support of our physician partners for a project that is important to our employees. The enclosed sponsorship form outlines the various levels of recognition. Our goal of \$100,000 is ambitious and achievable only if individuals such as you respond positively to this request.

The Foundation can offer payroll deduction as a convenient way to make your gift. Simply return the enclosed pledge form and the Foundation will take care of the rest. Saint Mary's is fortunate to have committed leadership among our hospital family. That commitment is the reason Saint Mary's Hospital is able to continue its Mission even in these difficult economic times. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

MJL:las

Hospitalist solicitation

April 29, 2010

Brian Rosenhein, DO
275 Burr Hall Road
Middlebury, CT 06762

Dear Dr. Rosenhein,

We are about to open the long awaited Fitness Center Gym for members of the hospital family. The gym compliments the hospital's focus on wellness for our employees and provides them with a convenient location to exercise without leaving the campus. Additional opportunities for employees to access a personal trainer and fitness classes will enhance the project. As a physician, you more than others know the importance of a healthy staff and the direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is especially important for Saint Mary's. As someone who works very hard and must be at the hospital for long periods of time, we hope you will find the gym a convenient place to re-energize yourself.

As with everything, this project comes with a cost at a time when the hospital is struggling to complete other capital projects and acquire critical new technology. The use of our limited funds for such a venture is difficult.

We are therefore turning to our leadership and close members of the hospital family to help underwrite the capital and operating costs for the gym. As a valued hospital physician we hope you will support this project by becoming a Silver Sponsor for \$1,000 which includes a Lifetime membership. A plaque listing our most generous donors will be prominently displayed at the gym for employees to see who made this facility a reality. Your gift will convey the commitment and support of our physician partners for a project that is important to our employees. The enclosed sponsorship form outlines the various levels of recognition. Our goal of \$100,000 is ambitious and achievable only if individuals such as you respond positively to this request.

The Foundation can offer payroll deduction as a convenient way to make your gift. Simply return the enclosed pledge form and the Foundation will take care of the rest. Saint Mary's is fortunate to have committed leadership among our hospital family. That commitment is the reason Saint Mary's Hospital is able to continue its Mission even in these difficult economic times. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

MJL:las

Baptist Leadership solicitation

April 28, 2010

Mr. Greg Nelson, President
Baptist Leadership Group
119 W. Garden Street
Pensacola, FL 32502

Dear Mr. Nelson,

Clark Kearney has advised me that he had an opportunity to speak with you about the Fitness Center Gym that Saint Mary's Hospital is opening for use by members of the hospital family. The Center is an extension of the hospital's commitment to the health and fitness of our employees and compliments our existing wellness programs. We believe that there is a direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is so important for Saint Mary's. The convenience of the location and the extended hours of operation will allow employees on all shifts to exercise before or after work without leaving the campus. In addition opportunities will be available for employees to access a personal trainer and fitness classes.

As with everything, this project comes with a cost. At a time when the hospital is struggling to complete other capital projects and acquire critical new technology, the use of our limited funds for such a project is difficult. We must therefore turn to our closest partners to help underwrite the capital costs for the gym.

Baptist Leadership Group already plays a significant role with our employees. Under your tutelage, Saint Mary's Hospital staff is undergoing a transformation with the focus of making our hospital a center of excellence for customer service. Our promise of "exceptional care, every patient, every day" will become a reality as we assimilate the behaviors articulated by Baptist. Healthy employees, able to appropriately handle stress, are central to making that vision a reality.

As a partner of the hospital we ask you to join us by becoming a "Partner Sponsor" of the Fitness Center for \$10,000. Sponsors will be publically acknowledged for their support. The enclosed sponsor form outlines some of ways the hospital will recognize Baptist Leadership Group's generosity.

With the help of partners such as the Baptist Leadership Group, Saint Mary's Hospital can expand its commitment to the prevention of illness as the first step in the health care delivery system. Thank you for your thoughtful consideration.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

April 28, 2010

Mr. Michael Cannata
Acute Care Sales Director
Gojo Industries, Inc
937 Flanders Road
Southington, CT 06489

Dear Mr. Cannata,

Saint Mary's Hospital is about to open a Fitness Center Gym for use by members of the hospital family. The Center is an extension of the hospital's commitment to the health and fitness of our employees and compliments our existing wellness programs. We believe that there is a direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is so important for Saint Mary's. The convenience of the location and the extended hours of operation will allow employees on all shifts to exercise before or after work without leaving the campus. In addition, opportunities will be available for employees to access a personal trainer and fitness classes.

As with everything, this project comes with a cost. At a time when the hospital is struggling to complete other capital projects and acquire critical new technology, the use of our limited funds for such a project is difficult. We must therefore turn to our closest partners to help underwrite the capital costs for the gym.

Gojo Industries already plays a significant role in the health of our employees. The 750 dispensers located throughout the hospital emphasize to our employees the importance we place on their health and that of our patients. Our promise of "exceptional care, every patient, every day" becomes a reality as we focus on controlling the hospital's infection rate. Healthy employees are central to making that vision a reality. As a valued partner of the hospital, we ask you to join us by becoming a "Partner Sponsor" of the Fitness Center for \$10,000. Sponsors will be publically acknowledged for their support. The enclosed sponsor form outlines some of ways the hospital will recognize Gojo Industries' generosity. I will follow up this request with a phone call to arrange to meet with you at your convenience to answer any questions you might have regarding this important project.

With the help of partners such as Gojo Industries, Saint Mary's Hospital can expand its commitment to the prevention of illness as the first step in the health care delivery system. Thank you for your thoughtful consideration.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

April 27, 2010

Mr. Joseph McGrath
Ikon Office Solutions
488 Wheelers Farms Rd Ste A
Milford, CT 06461-5801

Dear Joe,

Thank you for taking time today to talk with me about the new Fitness Center Gym at Saint Mary's Hospital. The Center is an extension of the hospital's commitment to the health and fitness of our employees and compliments our existing wellness programs. We believe that there is a direct correlation between the health of our employees and the health of our patients. The convenience of the location and the extended hours of operation will allow employees on all shifts to exercise before or after work without leaving the campus. In addition, opportunities will be available for employees to access a personal trainer and fitness classes.

Ikon already plays a significant role at Saint Mary's Hospital. As one of our largest vendors, your company knows the enormous financial pressures under which the hospital industry functions. Securing philanthropic funding in a difficult economic climate is challenging, as individual donors are most interested in funding clinical programs or the acquisition of new medical equipment. As a company that understands how escalating employee medical benefits costs affect a company's bottom line, you know that a healthy work force is the best way to control those expenses.

As with everything, this project comes with costs. At a time when the hospital is struggling to complete other capital projects and acquire critical new technology, the use of our limited funds for such a project is difficult.

The Fitness Center is a special project however and we must turn to our closest partners for help. The enclosed proposal outlines the reasons why this project is so important for Saint Mary's. We appreciate the support that you give to Saint Mary's for its special events. As a long term partner of the hospital, we must ask for your additional support to help us underwrite the capital costs for the gym. By becoming a "Partner Sponsor" of the Fitness Center for \$10,000 you will help us to complete the Wellness Program at Saint Mary's Hospital. Sponsors will be publically acknowledged for their support and the attached form outlines some of ways the hospital will recognize Ikon's generosity.

With the help of partners such as Ikon, Saint Mary's Hospital can expand its commitment to the prevention of illness as the first step in the health care delivery system. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

April 26, 2010

Mr. Carl Saraceni
Sodexo
20 York Street
New Haven, CT 06514

Dear Carl,

Thank you for taking time today to talk with me about the new Fitness Center Gym at Saint Mary's Hospital for members of the hospital family. The Center is an extension of the hospital's commitment to the health and fitness of our employees and compliments our existing wellness programs. We believe that there is a direct correlation between the health of our employees and the health of our patients. The enclosed proposal outlines the reasons why this project is so important for Saint Mary's. The convenience of the location and the extended hours of operation will allow employees on all shifts to exercise before or after work without leaving the campus. In addition, opportunities will be available for employees to access a personal trainer and fitness classes.

Sodexo already plays a significant role in keeping our employees and patients healthy by delivering nutritious and appealing meals. The nutritional information provided in the cafeteria, the wide variety of menu choices and the support of the dietary staff allow each employee to make the best personal decision regarding their diet.

As with everything, this project comes with a cost. At a time when the hospital is struggling to complete other capital projects and acquire critical new technology, the use of our limited funds for such a project is difficult. Sodexo's has been most generous with ongoing support for our special events and we are most grateful for that support. It has been crucial our success.

The Fitness Center is a special project, however, we must turn to our closest partners for help. As a long term partner of the hospital, we need your additional support to help us underwrite the capital costs for the gym. By becoming a "Partner Sponsor" of the Fitness Center for \$10,000 you will help us to complete the Wellness Program at Saint Mary's Hospital. Sponsors will be publically acknowledged for their support and the attached form outlines some of ways the hospital will recognize Sodexo's generosity.

With the help of partners such as Sodexo, Saint Mary's Hospital can expand its commitment to the prevention of illness as the first step in the health care delivery system. Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

Senior Leadership solicitation

April 23, 2010

Mr. Michael Novak
Vice President of Operations & Ambulatory Care
126 Transylvania Road
Woodbury, CT 06798

Dear Michael,

We are about to open the long awaited Fitness Center Gym for members of the hospital family. The gym complements the hospital's focus on wellness for our employees and provides them with a convenient location to exercise without leaving the campus. In addition, opportunities for employees to access a personal trainer and fitness classes will enhance the project. As with everything, this project came with a cost at a time when the hospital is struggling to complete other capital projects and acquire critical new technology. The use of our limited funds for such a venture is difficult.

We must therefore turn to members of our hospital family and closest supporters to help underwrite the capital and operating costs for the gym. We are asking each member of the Senior Leadership Team to become a Silver Sponsor. Your gift will convey the commitment and support of administration for a project that is important to our employees. Our goal of \$100,000 is ambitious and achievable only if individuals such as you respond positively to this request.

We offer payroll deduction as a convenient way to make your gift. In return for your support, we offer public acknowledgement of your generosity. The enclosed sponsorship form outlines the various levels of recognition. Simply return the enclosed pledge form and we will take care of the rest. I will follow up this request with a phone call to answer any additional questions you might have.

Saint Mary's is fortunate to have committed leadership among our hospital family. That commitment is the reason Saint Mary's Hospital is able to continue its Mission even in these difficult economic times.

Thank you.

Sincerely yours,

Peggy Lawlor, President
Saint Mary's Hospital Foundation

Fund Summary Report Information Services Dept. Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
infoservices	Information Services Dept. Fun	4	\$75,000.00	\$150,000.00	\$500.00	\$0.00	\$225,500.00
	and Totals:		\$75,000.00	\$150,000.00	\$500.00	\$0.00	\$225,500.00

4 Gift(s) listed

2 Donor(s) listed

Fund L...il Report

Information Services Dept. Fund

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
St. Mary's Emergency Dept. 56 Franklin St Waterbury, CT 06706-1253 (203) 709-3674	6/24/2003	Information Servi	\$0.00	\$0.00	\$500.00	
David, Helen & Marian Woodw PO Box 817 Watertown, CT 06795-0817 (860) 274-3218	8/13/2009	Information Servi	\$75,000.00	\$0.00	\$0.00	for the Wireless Network Project
	8/21/2009	Information Servi	\$0.00	\$75,000.00	\$0.00	Ins of 8/13/2009
	8/24/2010	Information Servi	\$0.00	\$75,000.00	\$0.00	Ins of 8/13/2010
Grand Totals:			\$75,000.00	\$150,000.00	\$500.00	

4 Gift(s) listed
2 Donor(s) listed

DAVID, HELEN AND MARIAN WOODWARD FUND
WATERTOWN COMMITTEE

Box 817
Watertown, Connecticut 06795

X 63

~~Information~~
~~SACS~~

June 30, 2009

Patricia A. Evans
Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Evans,

At a recent meeting of the Watertown Woodward Committee it was voted to grant the first of three payments of \$75,000 for the computer project at the hospital. We hope all is going well with your wireless network project and that this gift will help bring your staff and patients better service within the hospital.

A check will be coming from the Wachovia Trust Service in Atlanta. Please acknowledge receipt to the above address.

Most sincerely,



M. Heminway Merriman 2nd

MHM/llm
Cc Alice Sheets
Wachovia Bank & trust

designate Info Svcs Fund
per Renee' 8/12/09

left vm for Pat Evans - 8/12/09 -
we need grant application

51208664

REG 537
DISP 4738

BR 202
CF-GEORGIA

Account WOODWARD FUND-WATERTOWN WACHOVIA BANK, N.A. TRUSTEE
me: UNDER WILL MARIAN W OTTLEY DTD 10/31/74

Account 3013000359
Number:

Date
07/27/09

000159 ST MARY'S HOSPITAL FOUNDATION, INC

Remittance Amount	
Income	Principal
\$*****75,000.00	\$*****0.00

For: CHARITABLE CONTRIBUTION

WACHOVIA

PLEASE FOLD AND DETACH AT PERFORATION BEFORE PRESENTING FOR PAYMENT

February 24, 2009

Mrs. Anne Fitzgerald
~~David, Helen and Marian Woodard Fund~~
Watertown Committee
Box 817
Watertown, CT 06795-0817

Dear Mrs. Fitzgerald:

One hundred years ago, Saint Mary's Hospital began providing healthcare services to the Greater Waterbury community. During the past one hundred years the hospital has seen many changes and currently finds itself in the middle of the technological age. Recently Saint Mary's has made a great deal of progress in improving its financial status, but still is not able to fund most capital improvements needed in the area of information technology.

One of the most urgent technology needs is to build a wireless network and to purchase the devices to be used by the network. This would allow the hospital to deploy mobile devices in clinical departments and on the nursing stations, enabling physicians and clinicians to do mobile computing at the patients' bedside. The wireless network is a requirement for a Medication Administration system and other clinical systems.

In addition, internet access for public use within the hospital would also be available through a wireless network. Wireless access would be available to patients in their rooms and to visitors and vendors in public areas such as the lobby and Café. Having access would provide greater patient and visitor satisfaction and bring Saint Mary's Hospital in line with many area hospitals, which now provide this type of service.

According to a November/December 2007 comprehensive study at the Florida State University published in the *Journal of Healthcare Management*, patients are more likely to have better health outcomes if they are treated at hospitals using information technology systems. The study "found that the more information systems adopted at a given hospital, the better that hospital performed on a variety of important patient outcome measures." Preventing medical errors and improving patient safety are among the most important potential advantages of adopting information technology in healthcare.

Saint Mary's Hospital respectfully requests a grant of \$250,000 to begin the process of introducing an information technology system in the hospital. The prime consideration for introducing information technology at the hospital is to improve healthcare quality and safety. Certainly a secondary advantage will be to provide Internet access for patients, visitors and vendors.

The Woodward Foundation has very generous to Saint Mary's Hospital in the past and we greatly appreciate this. We again call on you to help us bring technology and information systems to the hospital, which are vitally important to improve healthcare quality and safety.

Sincerely,

Patricia A. Evans
Grant Manager

Fund Summary Report 1/1/87 - 2/25/11
Library Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
library	Library Fund	76	\$0.00	\$6,325.00	\$99,595.00	\$850.00	\$106,770.00
76 Gift(s) listed							
41 Donor(s) listed							
	and Totals:		\$0.00	\$6,325.00	\$99,595.00	\$850.00	\$106,770.00

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Appeal Summary Report

Libra!, Fund



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl2006	Annual Appeal 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
anl97	Annual Report Mailing '97	1	\$0.00	\$500.00	\$0.00	\$0.00	\$500.00
dml0207	Direct Mail Piece Feb., 2007	1	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
dml0506	Direct Mail Piece May, 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml0906	Direct Mail Piece Sept., 2006	2	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
estates2005	Estates 2005	1	\$0.00	\$0.00	\$75,000.00	\$0.00	\$75,000.00
fundfuturegala09	Fund the Future for Gala 2009	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
grant	Grant	7	\$0.00	\$1,500.00	\$7,000.00	\$0.00	\$8,500.00
hs2008	Hospital Staff Annual Appeal:	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
ind2001	Individuals 2001	2	\$0.00	\$0.00	\$0.00	\$850.00	\$850.00
ind2002	Individuals 2002	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
ind2003	Individuals 2003	1	\$0.00	\$0.00	\$75.00	\$0.00	\$75.00
ind2005	Unsolicited 2005	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ind2007	Unsolicited 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ind2009	Unsolicited 2009	2	\$0.00	\$0.00	\$350.00	\$0.00	\$350.00
ind2010	Unsolicited 2010	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
ind2011	Unsolicited 2011	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ind93	Individuals 1993	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
ind95	Individuals 1995	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
ind98	Individuals 1998	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
maj92	Major Gifts 1992	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
maj95	Major Gifts 1995	1	\$0.00	\$150.00	\$0.00	\$0.00	\$150.00
mem2004	Remembrance 2004	7	\$0.00	\$0.00	\$665.00	\$0.00	\$665.00
mem2006	Remembrance 2006	16	\$0.00	\$0.00	\$630.00	\$0.00	\$630.00
mem2007	Remembrance 2007	7	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
mem92	Remembrance 1992	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem95	Remembrance 1995	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem96	Remembrance 1996	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
ph93	Phonathon 1993	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
ph94	Phonathon 1994	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
ph95	Phonathon 1995	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
ph99	Phonathon 1999	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
phys2000	Phys. Annual Appeal 2000	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00

Appeal Summary Report Libra., Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
phys2003	Phys. Annual Appeal 2003	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
phys98	Phys. Annual Appeal 1998	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
rem2008	Past Remembrances 2008	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
treelighting08	Tree Lighting 12/08	1	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
treelighting09	Tree Lighting 12/09	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
and Totals:			\$0.00	\$6,325.00	\$99,595.00	\$850.00	\$106,770.00

76 Gift(s) listed

41 Donor(s) listed

880
105920

St. Mary's Hospital Foundation, Inc.

Page 1

3/2/2011

Name	Gift Amount	Gift Date	Appeal Description
Leah Slessinger	\$25.00	1/21/1992	Remembrance 1992
Paul A. Sirop	\$1,000.00	4/27/1992	Major Gifts 1992
Paul A. Sirop	\$1,000.00	4/27/1992	Major Gifts 1992
Harold J. Perkinson	\$50.00	12/29/1992	Individuals 1993
Harold J. Perkinson	\$50.00	12/29/1992	Individuals 1993
Paul A. Sirop	\$1,000.00	6/9/1993	Phonathon 1993
Paul A. Sirop	\$1,000.00	6/9/1993	Phonathon 1993
Paul A. Sirop	\$1,000.00	9/30/1994	Phonathon 1994
Paul A. Sirop	\$1,000.00	9/30/1994	Phonathon 1994
Leah Slessinger	\$25.00	12/26/1994	Individuals 1995
Leah Slessinger	\$25.00	12/26/1994	Individuals 1995
Harold J. Perkinson	\$25.00	2/28/1995	Remembrance 1995
William Finkelstein	\$150.00	7/12/1995	Major Gifts 1995
William Finkelstein	\$150.00	7/12/1995	Major Gifts 1995
Paul A. Sirop	\$1,000.00	7/21/1995	Phonathon 1995
Paul A. Sirop	\$1,000.00	7/21/1995	Phonathon 1995
Paul A. Sirop	\$1,000.00	8/16/1996	Remembrance 1996
Paul A. Sirop	\$500.00	4/28/1997	Annual Report Mailing '97
Paul A. Sirop	\$500.00	4/28/1997	Annual Report Mailing '97
Leah Slessinger	\$25.00	12/31/1997	Individuals 1998
Paul A. Sirop	\$1,000.00	7/31/1998	Phys. Annual Appeal 1998
William Finkelstein	\$5,000.00	6/8/1999	Phonathon 1999
Paul A. Sirop	\$1,000.00	8/22/2000	Phys. Annual Appeal 2000
Adeline Cox	\$10.00	11/21/2000	Individuals 2001
Adeline Cox	\$840.00	12/31/2000	Individuals 2001
William Finkelstein	\$2,000.00	3/18/2002	Individuals 2002
Deborah E. Blazys	\$75.00	6/24/2003	Individuals 2003
William Finkelstein	\$5,000.00	8/12/2003	Phys. Annual Appeal 2003
St. Mary's Medical & Dental Staff	\$250.00	6/9/2004	Remembrance 2004
Paul A. Sirop	\$250.00	6/9/2004	Remembrance 2004
Theodora Matan	\$15.00	6/11/2004	Remembrance 2004
Bernice R. Killeen	\$25.00	6/11/2004	Remembrance 2004
Lawrence J. Shea	\$50.00	6/15/2004	Remembrance 2004
Leah Slessinger	\$25.00	6/18/2004	Remembrance 2004
Joseph J. Neal	\$50.00	7/13/2004	Remembrance 2004
Wanda C. Faucher	\$100.00	12/31/2004	Unsolicited 2005
The Estate of Dr. William Finkelstein	\$75,000.00	12/31/2004	Estates 2005
Watertown Foundation, Inc.	\$1,500.00	11/30/2005	Grant
Carey R. Geghan	\$25.00	12/21/2005	Remembrance 2006
Sandra K. Roosa	\$20.00	12/23/2005	Remembrance 2006
Grace Gulli	\$50.00	12/23/2005	Remembrance 2006
Michael Reisman	\$25.00	12/23/2005	Remembrance 2006
Naugatuck Savings Bank Foundation, Inc.	\$2,000.00	12/23/2005	Grant
Sandra J. Micalizzi	\$100.00	12/31/2005	Annual Appeal 2006
James L. Grella	\$25.00	12/31/2005	Remembrance 2006
Dennis M. Florio	\$10.00	12/31/2005	Remembrance 2006
Wanda C. Faucher	\$100.00	12/31/2005	Remembrance 2006
Kathleen D. Michalak	\$25.00	12/31/2005	Remembrance 2006
Angela M. Dandrea	\$50.00	12/31/2005	Remembrance 2006
Ida Caiozzo	\$30.00	1/13/2006	Remembrance 2006
Richard M. Krezel	\$20.00	1/13/2006	Remembrance 2006
Michael Reisman	\$50.00	2/16/2006	Remembrance 2006
Michael Reisman	\$50.00	2/28/2006	Remembrance 2006
Deborah E. Blazys	\$100.00	2/28/2006	Remembrance 2006
Randy M. Watts	\$25.00	3/27/2006	Remembrance 2006
Louis A. Rinaldi	\$25.00	4/20/2006	Remembrance 2006
The Daphne Seybolt Culpeper Memorial Fnda., Inc.	\$1,000.00	5/17/2006	Grant
Sandra J. Micalizzi	\$100.00	6/22/2006	Direct Mail Piece May, 2006
Watertown Foundation, Inc.	\$1,500.00	8/16/2006	Grant

Name	Gift Amount	Gift Date	Appeal Description
Joseph A. Micalizzi	\$25.00	11/13/2006	Direct Mail Piece Sept., 2006
Joseph A. Micalizzi	\$25.00	11/13/2006	Direct Mail Piece Sept., 2006
Wanda C. Faucher	\$100.00	12/31/2006	Unsolicited 2007
Ronald J. DeBellis	\$35.00	3/22/2007	Direct Mail Piece Feb., 2007
The Daphne Seybolt Culpeper Memorial Fnda., Inc.	\$1,000.00	5/7/2007	Grant
Dorothy V. Solocius	\$50.00	8/13/2007	Remembrance 2007
Edith Bruno	\$5.00	8/13/2007	Remembrance 2007
Edmund J. Martinaitis	\$20.00	8/13/2007	Remembrance 2007
Katherine M. McCormack	\$50.00	8/13/2007	Remembrance 2007
Dolores J. DeVito	\$50.00	8/22/2007	Remembrance 2007
David DeVito	\$50.00	8/22/2007	Remembrance 2007
James E. Hanley	\$25.00	8/22/2007	Remembrance 2007
Sandra J. Micalizzi	\$25.00	10/26/2007	Past Remembrances 2008
Wanda C. Faucher	\$100.00	1/18/2008	Hospital Staff Annual Appeal 2008
Deborah E. Blazys	\$100.00	1/18/2008	Hospital Staff Annual Appeal 2008
The Daphne Seybolt Culpeper Memorial Fnda., Inc.	\$1,000.00	5/13/2008	Grant
Sandra J. Micalizzi	\$35.00	12/8/2008	Tree Lighting 12/08
Wanda C. Faucher	\$100.00	1/13/2009	Unsolicited 2009
Joyce S. DeCesare	\$250.00	1/13/2009	Unsolicited 2009
Sandra J. Micalizzi	\$100.00	2/19/2009	Fund the Future for Gala 2009
The Daphne Seybolt Culpeper Memorial Fnda., Inc.	\$1,000.00	5/18/2009	Grant
Sandra J. Micalizzi	\$100.00	10/28/2009	Fund the Future for Gala 2009
Vita T. Ciullo	\$5.00	12/10/2009	Tree Lighting 12/09
Deborah E. Blazys	\$100.00	12/31/2009	Unsolicited 2010
Wanda C. Faucher	\$100.00	12/31/2009	Unsolicited 2010
The Daphne Seybolt Culpeper Memorial Fnda., Inc.	\$1,000.00	7/9/2010	Grant
Wanda C. Faucher	\$100.00	1/24/2011	Unsolicited 2011

Giving History Library Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference	
Ms. Deborah E. Blazys 529 Middlebury Rd Watertown, CT 06795-3028 (860) 274-8568	6/24/2003	Cash	Library Fund	Individuals 2003	\$75.00	\$0.00	<i>archived</i>	
	2/28/2006	Cash	Library Fund	Remembrance 2006	\$100.00	\$0.00		
	1/18/2008	Cash	Library Fund	Hospital Staff Annual Ap	\$100.00	\$0.00	for Nursing Books	
	12/31/2009	Cash	Library Fund	Unsolicited 2010	\$100.00	\$0.00		
Total Cash:					\$375.00			
Edith Bruno 22 5th St Waterbury, CT 06708-4208 (203) 754-1780 ~	8/13/2007	Cash	Library Fund	Remembrance 2007	\$5.00	\$0.00		
	Total Cash:					\$5.00		
	1/13/2006	Cash	Library Fund	Remembrance 2006	\$30.00	\$0.00		
Total Cash:					\$30.00			
Mrs. Ida Caiozzo 112 Dan Parker Dr Waterbury, CT 06704-2120 (203) 755-8866 ~	12/10/2009	Cash	Library Fund	Tree Lighting 12/09	\$5.00	\$0.00		
	Total Cash:					\$5.00		
	11/21/2000	Gift-in-	Library Fund	Individuals 2001	\$10.00	\$0.00	a set of Oxford Dictionaries	
Mrs. Adeline Cox unforwardable Woodbury, CT 06798	12/31/2000	Gift-in-	Library Fund	Individuals 2001	\$840.00	\$0.00	a set of Oxford Dictionaries <i>archived</i>	
	Total Gift In Kind:					\$850.00		
	5/17/2006	Cash	Library Fund	Grant	\$1,000.00	\$0.00	designated specifically for the R	
The Daphne Seybolt Culpepe Nicholas J. Nardi PO Box 206 Norwalk, CT 06852-0206	5/7/2007	Cash	Library Fund	Grant	\$1,000.00	\$0.00	for a resident's study area	
	5/13/2008	Cash	Library Fund	Grant	\$1,000.00	\$0.00		
	5/18/2009	Cash	Library Fund	Grant	\$1,000.00	\$0.00	to be used toward the cost of a s	
	7/9/2010	Cash	Library Fund	Grant	\$1,000.00	\$0.00	to purchase book devices	

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Giving History Library Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
			Total Cash:		\$5,000.00		
Ms. Angela M. Dandrea 147 Norton St Waterbury, CT 06708-4726 (203) 755-8661 ~	12/31/2005	Cash	Library Fund	Remembrance 2006	\$50.00	\$0.00	
			Total Cash:		\$50.00		
Mr. Ronald J. DeBellis 106 Ridgewood Acres Thomaston, CT 06787-1037 (860) 283-6922 ~	3/22/2007	Cash	Library Fund	Direct Mail Piece Feb., 2	\$35.00	\$0.00	
			Total Cash:		\$35.00		
Mrs. Joyce S. Decesare 73 Medway Rd Waterbury, CT 06708-3220 (203) 597-0039	1/13/2009	Cash	Library Fund	Unsolicited 2009	\$250.00	\$0.00	designated for the Institutional I
			Total Cash:		\$250.00		
Mr. David DeVito 71 Valley View Dr Waterbury, CT 06708-4828 (203) 574-2174 ~	8/22/2007	Cash	Library Fund	Remembrance 2007	\$50.00	\$0.00	
			Total Cash:		\$50.00		
Mrs. Dolores J. DeVito	8/22/2007	Cash	Library Fund	Remembrance 2007	\$50.00	\$0.00	
			Total Cash:		\$50.00		
Mrs. Wanda C. Faucher 5 Oakleaf Dr Waterbury, CT 06708-3632 203-756-6359 ~	12/31/2004	Cash	Library Fund	Unsolicited 2005	\$100.00	\$0.00	Gifted
	12/31/2005	Cash	Library Fund	Remembrance 2006	\$100.00	\$0.00	
	12/31/2006	Cash	Library Fund	Unsolicited 2007	\$100.00	\$0.00	to purchase a nursing book for t
	1/18/2008	Cash	Library Fund	Hospital Staff Annual Ap	\$100.00	\$0.00	for Journal or books
	1/13/2009	Cash	Library Fund	Unsolicited 2009	\$100.00	\$0.00	

1549

Giving History Library Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
	12/31/2009	Cash	Library Fund	Unsolicited 2010	\$100.00	\$0.00	
			Total Cash:		\$600.00		
The Estate of Dr. William Fi Linda H. Andrew 45 Marney Dr Middlebury, CT 06762-2024	12/31/2004	Cash	Library Fund	Estates 2005	\$75,000.00	\$0.00	designated for the purchase of n
			Total Cash:		\$75,000.00		
William Finkelstein, M.D.	7/12/1995	Pay-Cas	Library Fund	Major Gifts 1995	\$150.00	\$0.00	
	7/12/1995	Pledge	Library Fund	Major Gifts 1995	\$150.00	\$0.00	Normal Processing
	6/8/1999	Cash	Library Fund	Phonathon 1999	\$5,000.00	\$0.00	archived
	3/18/2002	Cash	Library Fund	Individuals 2002	\$2,000.00	\$0.00	for purchase of computers
	8/12/2003	Cash	Library Fund	Phys. Annual Appeal 20	\$5,000.00	\$0.00	
			Total Cash:		\$12,150.00		
			Total Pledge/Pledge Balance:		\$150.00	\$0.00	
Mr. Dennis M. Florio 41 Timber Ln Waterbury, CT 06705-3608 (203) 753-9085 ~	12/31/2005	Cash	Library Fund	Remembrance 2006	\$10.00	\$0.00	
			Total Cash:		\$10.00		
The Honorable Carey R. Gegt 45 Marney Dr Middlebury, CT 06762-2024 203-758-8485	12/21/2005	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
			Total Cash:		\$25.00		
Mr. James L. Grella 227 Lincoln St Naugatuck, CT 06770-3304 (203) 723-0355 ~	12/31/2005	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
			Total Cash:		\$25.00		
			Total Cash:		\$1550		

Giving History Library Fund

1551

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Ms. Grace Gulli 249 Loper St Southington, CT 06489-1852 (860) 628-6463	12/23/2005	Cash	Library Fund	Remembrance 2006	\$50.00	\$0.00	
			Total Cash:		\$50.00		
James E. Hanley 470 Rubber Ave Naugatuck, CT 06770-3716 (203) 723-0556 ~	8/22/2007	Cash	Library Fund	Remembrance 2007	\$25.00	\$0.00	
			Total Cash:		\$25.00		
Mrs. Bernice R. Killeen	6/11/2004	Cash	Library Fund	Remembrance 2004	\$25.00	\$0.00	<i>archived</i>
			Total Cash:		\$25.00		
Mr. Richard M. Krezel 41 Tallwood Dr Southington, CT 06489-2831	1/13/2006	Cash	Library Fund	Remembrance 2006	\$20.00	\$0.00	
			Total Cash:		\$20.00		
Edmund J. Martinaitis 17 Dunn Ave Naugatuck, CT 06770-3803 (203) 729-8316 ~	8/13/2007	Cash	Library Fund	Remembrance 2007	\$20.00	\$0.00	
			Total Cash:		\$20.00		
Mrs. Theodora Matan 108 Clark Rd Trlr 81 Naugatuck, CT 06770-5057 (203) 729-1979 ~	6/11/2004	Cash	Library Fund	Remembrance 2004	\$15.00	\$0.00	<i>archived</i>
			Total Cash:		\$15.00		

Giving History Library Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Katherine M. McCormack 12 Charter Oak Pl Hartford, CT 06106-1914 (860) 249-0305 ~	8/13/2007	Cash	Library Fund	Remembrance 2007	\$50.00	\$0.00	
Total Cash:					\$50.00		
Mr. Joseph A. Micalizzi 259 Loper St Southington, CT 06489-1852 (860) 621-7642 ~	11/13/2006	Cash	Library Fund	Direct Mail Piece Sept., 2	\$25.00	\$0.00	
	11/13/2006	Cash	Library Fund	Direct Mail Piece Sept., 2	\$25.00	\$0.00	
Total Cash:					\$50.00		
Sandra J. Micalizzi, A.P.R.N. 259 Loper St Southington, CT 06489-1852 (860) 621-7642 ~	12/31/2005	Cash	Library Fund	Annual Appeal 2006	\$100.00	\$0.00	
	6/22/2006	Cash	Library Fund	Direct Mail Piece May, 2	\$100.00	\$0.00	
	10/26/2007	Cash	Library Fund	Past Remembrances 200	\$25.00	\$0.00	
	12/8/2008	Cash	Library Fund	Tree Lighting 12/08	\$35.00	\$0.00	
	2/19/2009	Pledge	Library Fund	Fund the Future for Gala	\$100.00	\$0.00	
	10/28/2009	Pay-Cas	Library Fund	Fund the Future for Gala	\$100.00	\$0.00	
Total Cash:					\$360.00		
Total Pledge/Pledge Balance:					\$100.00	\$0.00	
Ms. Kathleen D. Michalak 118 Williamsburg Dr Southington, CT 06489-3819 (860) 621-6449 ~	12/31/2005	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
Total Cash:					\$25.00		
Naugatuck Savings Bank Fou Mark C. Yanarella PO Box 370 Naugatuck, CT 06770-0370 (203) 720-4114	12/23/2005	Cash	Library Fund	Grant	\$2,000.00	\$0.00	to provide electronic medical so
Total Cash:					\$2,000.00		

1552

Giving History Library Fund

1553

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Joseph J. Neal, D.C. 13 Stoneybrook Rd Naugatuck, CT 06770-1736 (203) 729-6064 ~	7/13/2004	Cash	Library Fund	Remembrance 2004	\$50.00	\$0.00	
Total Cash:							
\$50.00							
Dr. Harold J. Perkinson, D.P. 20 Church Street Woodbury, CT 06798-2823	12/29/1992	Pay-Cas	Library Fund	Individuals 1993	\$50.00	\$0.00	
	12/29/1992	Pledge	Library Fund	Individuals 1993	\$50.00	\$0.00	Normal Processing
	2/28/1995	Cash	Library Fund	Remembrance 1995	\$25.00	\$0.00	
Total Cash:							
\$75.00							
Total Pledge/Pledge Balance:							
\$50.00							
Mr. Michael Reisman 128 Lee Dr Southington, CT 06489-2962 (860) 621-4208 ~	12/23/2005	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
	2/16/2006	Cash	Library Fund	Remembrance 2006	\$50.00	\$0.00	
Total Cash:							
\$75.00							
Mr. Louis A. Rinaldi 657 Bunker Hill Ave Waterbury, CT 06708-1835 (203) 574-0295 ~	4/20/2006	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
Total Cash:							
\$25.00							
Mrs. Sandra K. Roosa 67 Southridge Dr Waterbury, CT 06708-3328 (203) 574-7910 ~	12/23/2005	Cash	Library Fund	Remembrance 2006	\$20.00	\$0.00	
Total Cash:							
\$20.00							
Dr. Lawrence J. Shea, D.D.S.	6/15/2004	Cash	Library Fund	Remembrance 2004	\$50.00	\$0.00	
Total Cash:							
\$50.00							

Giving History Library Fund

1554

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Paul A. Sirop, M.D. 7 Mulberry Ln Farmington, CT 06032-2062 860-678-9322 ~	4/27/1992	Pay-Cas	Library Fund	Major Gifts 1992	\$1,000.00	\$0.00	
	4/27/1992	Pledge	Library Fund	Major Gifts 1992	\$1,000.00	\$0.00	Normal Processing
	6/9/1993	Pay-Cas	Library Fund	Phonathon 1993	\$1,000.00	\$0.00	
	6/9/1993	Pledge	Library Fund	Phonathon 1993	\$1,000.00	\$0.00	Normal Processing
	9/30/1994	Pay-Cas	Library Fund	Phonathon 1994	\$1,000.00	\$0.00	
	9/30/1994	Pledge	Library Fund	Phonathon 1994	\$1,000.00	\$0.00	Normal Processing
	7/21/1995	Pay-Cas	Library Fund	Phonathon 1995	\$1,000.00	\$0.00	
	7/21/1995	Pledge	Library Fund	Phonathon 1995	\$1,000.00	\$0.00	Normal Processing
	8/16/1996	Cash	Library Fund	Remembrance 1996	\$1,000.00	\$0.00	
	4/28/1997	Pay-Cas	Library Fund	Annual Report Mailing'	\$500.00	\$0.00	
4/28/1997	Pledge	Library Fund	Annual Report Mailing'	\$500.00	\$0.00	Normal Processing	
7/31/1998	Cash	Library Fund	Phys. Annual Appeal 19	\$500.00	\$0.00		
8/22/2000	Cash	Library Fund	Phys. Annual Appeal 20	\$1,000.00	\$0.00		
6/9/2004	Cash	Library Fund	Remembrance 2004	\$250.00	\$0.00		
Total Cash:					\$7,250.00		
Total Pledge/Pledge Balance:					\$4,500.00	\$0.00	
Mrs. Leah Slessinger 46 Gail Dr Waterbury, CT 06704-2209 (203) 756-1296	1/21/1992	Cash	Library Fund	Remembrance 1992	\$25.00	\$0.00	
	12/26/1994	Pay-Cas	Library Fund	Individuals 1995	\$25.00	\$0.00	
	12/26/1994	Pledge	Library Fund	Individuals 1995	\$25.00	\$0.00	Normal Processing
	12/31/1997	Cash	Library Fund	Individuals 1998	\$25.00	\$0.00	
	6/18/2004	Cash	Library Fund	Remembrance 2004	\$25.00	\$0.00	
Total Cash:					\$100.00		
Total Pledge/Pledge Balance:					\$25.00	\$0.00	
Ms. Dorothy V. Solocius 13706 Holland Rd Brook Park, OH 44142-3919 (216) 676-9786 ~	8/13/2007	Cash	Library Fund	Remembrance 2007	\$50.00	\$0.00	
	Total Cash:					\$50.00	

Giving History Library Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
St. Mary's Medical & Dental James G. Uberti 56 Franklin St Waterbury, CT 06706-1253	6/9/2004	Cash	Library Fund	Remembrance 2004	\$250.00	\$0.00	
Total Cash:					\$250.00		
Mr. Craig Vishe 11 Bailey Rd Naugatuck, CT 06770-3449 (203) 729-2652 ~	2/28/2006	Cash	Library Fund	Remembrance 2006	\$50.00	\$0.00	
Total Cash:					\$50.00		
Watertown Foundation, Inc. James Maxwell PO Box 117 Watertown, CT 06795-0117 860-274-4299	11/30/2005	Pledge	Library Fund	Grant	\$1,500.00	\$0.00	to purchase cardiac library mate
	8/16/2006	Pay-Cas	Library Fund	Grant	\$1,500.00	\$0.00	to purchase cardiac library mate
Total Cash:					\$1,500.00		
Total Pledge/Pledge Balance:					\$1,500.00	\$0.00	
Mr. Randy M. Watts 971 Spindle Hill Rd Wolcott, CT 06716-1237	3/27/2006	Cash	Library Fund	Remembrance 2006	\$25.00	\$0.00	
Total Cash:					\$25.00		
Grand Total Cash:					\$105,820.00		
Grand Total Pledge/Pledge Balance:					\$6,325.00	\$0.00	
Grand Total Gift In Kind:					\$850.00		
						1555	

~ The phone is marked as 'Do not contact'

64

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

May 1, 2010

Saint Mary's Hospital Foundation Inc.
Patricia A. Evans, Grant Manager
56 Franklin Street
Waterbury, CT 07606

Dear Ms Evans:

We have your request for funds to be used toward the purchase of three ebook devices and reading material.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgement of its receipt and STATE THAT NO GOODS OR SERVICES WERE PROVIDED IN EXCHANGE FOR THIS GRANT.

ALSO, FOR OUR RECORDS, SEND US A COPY OF YOUR ORGANIZATION'S LATEST ANNUAL FINANCIAL STATEMENTS.

Sincerely,



The Honorable Rodney Eielson
President

enc/1

✓ # 5324
\$ 1,000 -
5/1/10

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

May 1, 2010

Saint Mary's Hospital Foundation Inc.
Patricia A. Evans, Grant Manager
56 Franklin Street
Waterbury, CT 07606

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ALSO, FOR OUR RECORDS, SEND US A COPY OF YOUR ORGANIZATION'S LATEST ANNUAL FINANCIAL STATEMENTS.

Sincerely,



The Honorable Rodney Eielson
President

1557

THE DAPHNE SEYBOLT CULPEPER
MEMORIAL FOUNDATION, INC.

P.O. BOX 206
NORWALK, CT 06852-0206

5324

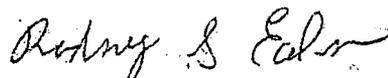
1-2
210-956

DATE MAY 1, 2010

PAID TO THE ORDER OF SAINT MARYS HOSPITAL FOUNDATION, INC. \$ 1,000.00
ONE THOUSAND AND 00/100 DOLLARS

JPMorgan
Private Bank

JPMorgan Chase Bank, N.A.
New York, New York



Security Features
Included.
Details on Back.

March 31, 2010

Mr. Nicholas J. Nardi
The Daphne Seybolt Culpeper Memorial Foundation, Inc.
PO Box 206
Norwalk, CT 06852

Dear Mr. Nardi:

The mission of Saint Mary's Hospital is to provide excellent healthcare services in a spiritually enriched environment to improve the health of the community. At the hospital these healthcare services are provided by an expert team of physicians and 50 residents in surgery, medicine and dental health services. Since these residents spend many hours at the hospital, many of these hours are spent in the medical library in the study area created by a generous grant given in 2007 by the Daphne Seybolt Culpeper Memorial Foundation.

One of the additions to the study area that has been suggested by the residents are ebook devices, which are electronic devices that are designed primarily for the purpose of reading digital books and periodicals and use e-ink technology to display content to readers. The main advantages of these devices are portability, readability of their screens in bright light, and long battery life.

There are three widely accepted brands of these devices: the Kindle at \$259, the Nook at \$289 and the Sony Reader at \$197. Since the three are comparable in price we would like to purchase all three and find out which brand is most accepted and suitable for the residents. The total cost would be \$745 for all three devices and we would then like to purchase some technical and recreational reading material to be used in the devices.

We thank you for the continuing support of the Culpeper Foundation and respectfully request a \$1,000 grant to purchase three ebook devices and a supply of reading material.

Sincerely,

Patricia A. Evans
Grant Manager

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

64

Fund = Library

May 1, 2009

Saint Mary's Hospital Foundation Inc.
Patricia A. Evans, Grant Manager
56 Franklin Street
Waterbury, CT 07606

Dear Ms Evans:

We have your request for funds to be used toward the cost of a software package.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgement of its receipt and STATE THAT NO GOODS OR SERVICES WERE PROVIDED IN EXCHANGE FOR THIS GRANT.

ALSO, FOR OUR RECORDS, SEND US A COPY OF YOUR ORGANIZATION'S LATEST ANNUAL FINANCIAL STATEMENTS.

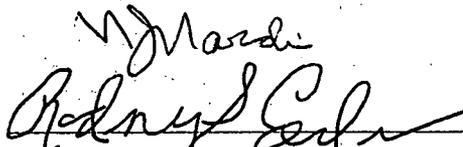
Sincerely,



Nicholas J. Nardi
Director

enc/1

1559

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC. P.O. BOX 206 NORWALK, CT 06852-0206		5150
DATE <u>MAY 1, 2009</u>		1-2 210 956
PAY TO THE ORDER OF	SAINT MARY'S HOSPITAL FOUNDATION, INC. -----	\$1,000.00
ONE THOUSAND AND 00/100 -----		DOLLARS  Security Features Included. Details on Back.
JPMorgan  JPMorgan Chase Bank, N.A. Private Bank New York, New York		
FOR GRANT		MP
⑈005150⑈ ⑆021000021⑆		967015383⑈

March 12, 2009

Mr. Nicholas J. Nardi
The Daphne Seybolt Culpeper Memorial Foundation, Inc.
PO Box 206
Norwalk, CT 06852

Dear Mr. Nardi:

Saint Mary's Hospital is a not for profit, 347-bed acute-care teaching hospital affiliated with Yale University and the University of Connecticut Schools of Medicine and the Connecticut Children's Medical Center. Saint Mary's Hospital along with countless other community hospitals, major medical centers and other academic institutions in the United States have established Institutional Review Boards (IRB) to review all research protocols as required by the Code of Federal Regulations Title 45 Part 46: Protection of Human Subjects and the Belmont Report. Certain studies involving drugs also require review according to FDA regulations. It is an administrative body established to protect the rights and welfare of human research subjects recruited to participate in research activities conducted under the auspices of the institution with which it is affiliated. At Saint Mary's, the IRB reviews research initiated by residents as part of their program requirements and as well as protocols submitted by community physicians, hospital staff and affiliated organizations such as the Leever Cancer Center.

The IRB is composed of physicians, nurses and others who fulfill the scientist role, other staff representing non-scientist roles such as the Privacy Officer, Risk Manager, Educator, and lay members who represent the interests of the community. All members serve on a volunteer basis. The IRB Committee meets at least bi-monthly or more frequently when the need arises. The IRB is managed by an administrative staff that also has other job functions and responsibilities in the hospital.

The IRB reviews approximately 20-40 new protocols a year and currently maintains the records of 80 active protocols. The IRB must review and document every new research study as well as each on-going study on a yearly basis, every amendment, informed consent change and adverse event. The complexity of research reviewed ranges from simple medical record reviews to highly complex trials conducted at the Leever Cancer Center in conjunction with the National Institute of Health.

IRBs need sufficient resources to effectively and efficiently manage all of the tasks and documentation necessary to comply with federal regulations. In recent years, many IRBs have turned to information technology to address the challenges of tracking protocols as well as all of the correspondence associated with each protocol. An electronic IRB allows the institution to establish more efficient business processes, maximize the use of available data, eliminate redundant data entry, the printing and mailing documents and provides better service to the researchers.

Saint Mary's hospital IRB is currently considering several software packages, primarily IRBNET, at a cost of \$3500. The hospital has another grant of \$1,000 and \$1,500 from operations to fund this, but is \$1,000 short of the purchase price. After the initial trial period, the electronic tracking system will be funded from the operational budget on an on-going basis. We respectfully request a \$1,000 grant from The Daphne Seybolt Culpeper Memorial Foundation, Inc. to provide the funds to enable the hospital to purchase this software. Saint Mary's Hospital appreciates the on-going support of the Culpeper Foundation and would like to work together on this important project.

Sincerely,

Patricia A. Evans
Grant Manager

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

64

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

May 1, 2009

Saint Mary's Hospital Foundation Inc.
Patricia A. Evans, Grant Manager
56 Franklin Street
Waterbury, CT. 07606

Dear Ms Evans:

We have your request for funds to be used toward the cost of a software package.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgement of its receipt and STATE THAT NO GOODS OR SERVICES WERE PROVIDED IN EXCHANGE FOR THIS GRANT.

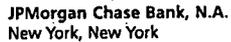
ALSO, FOR OUR RECORDS, SEND US A COPY OF YOUR ORGANIZATION'S LATEST ANNUAL FINANCIAL STATEMENTS.

Sincerely,

N. J. Nardi
Nicholas J. Nardi
Director

enc/1

1562

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.		5150
P.O. BOX 206 NORWALK, CT 06852-0206		1-2 210 956
		DATE <u>MAY 1, 2009</u>
PAY TO THE ORDER OF	SAINT MARY'S HOSPITAL FOUNDATION, INC. ----	\$ 1,000.00
ONE THOUSAND AND 00/100 -----		DOLLARS  <small>Security Features Included. Details on Back.</small>
 		<i>N. J. Nardi</i> <i>Patricia A. Evans</i>
FOR GRANT		MP
⑈005150⑈ ⑆021000021⑆		967015383⑈

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

May 1, 2009

Saint Mary's Hospital Foundation Inc.
Patricia A. Evans, Grant Manager
56 Franklin Street
Waterbury, CT 07606

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Sincerely,



Nicholas J. Nardi
Director

enc/1

March 12, 2009

Mr. Nicholas J. Nardi
The Daphne Seybolt Culpeper Memorial Foundation, Inc.
PO Box 206
Norwalk, CT 06852

Dear Mr. Nardi:

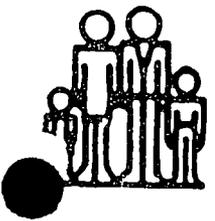
Saint Mary's Hospital is a not for profit, 347-bed acute-care teaching hospital affiliated with Yale University and the University of Connecticut Schools of Medicine and the Connecticut Children's Medical Center. Saint Mary's Hospital along with countless other community hospitals, major medical centers and other academic institutions in the United States have established Institutional Review Boards (IRB) to review all research protocols as required by the Code of Federal Regulations Title 45 Part 46: Protection of Human Subjects and the Belmont Report. Certain studies involving drugs also require review according to FDA regulations. It is an administrative body established to protect the rights and welfare of human research subjects recruited to participate in research activities conducted under the auspices of the institution with which it is affiliated. At Saint Mary's, the IRB reviews research initiated by residents as part of their program requirements and as well as protocols submitted by community physicians, hospital staff and affiliated organizations such as the Leever Cancer Center.

The IRB is composed of physicians, nurses and others who fulfill the scientist role, other staff representing non-scientist roles such as the Privacy Officer, Risk Manager, Educator, and lay members who represent the interests of the community. All members serve on a volunteer basis. The IRB Committee meets at least bi-monthly or more frequently when the need arises. The IRB is managed by an administrative staff that also has other job functions and responsibilities in the hospital.

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G4



Joyce S. DeCesare, M.S., 6th Yr., LPC
(Licensed Professional Counselor)

390 Middlebury Road • Middlebury, CT 06762 • Phone: (203) 758-9505 • E-Mail: JJDC@SNET.NET

Michael S. Ajemian M.D.
Chairman, St. Mary's Hospital
Institutional Review Board
56 Franklin Street
Waterbury, Connecticut 06702

Library

December 30, 2008

Dear Dr. Ajemian, Ellen and Members of the Board:

I wish again to thank everyone for being so caring during the past eight months. Your compassion has not gone unnoticed.

In memory of John, I have enclosed a small donation to this committee to be used as you see fit. Although John was not in the medical profession, he was always interested in health care in the greater Waterbury area having been influenced by my step dad who was a practicing physician in Waterbury. Being a member of this board allowed him to pursue his interest. Thank You for granting him the opportunity.

*CK# 1064
12/30/08
\$250.00*

Your kindness in recognizing John's many strengths is most appreciated.

Wishing you a Happy, Healthy and Productive New Year.

Fondly,

Many Thanks -

Joyce DeCesare
Joyce DeCesare

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

April 30, 2008

Patricia A. Evans, Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin St
Waterbury CT 06706

Dear Ms. Evans:

We have your request for funds to develop a multi-media center in the study area.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgment of its receipt and state that no goods or services were provided in exchange for this grant. Also, for our records, send us a copy of your Organization's latest annual financial statements.

Sincerely,



Nicholas J. Nardi
Director

enc/1

4928

64

THE DAPHNE SEYBOLT CULPEPER
MEMORIAL FOUNDATION, INC.

P.O. BOX 206
NORWALK, CT 06852-0206

DATE APRIL 30, 2008

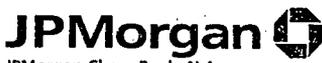
1-2
210 431

PAID TO THE ORDER OF SAINT MARY'S HOSPITAL FOUNDATION, INC. -----

\$ 1,000.00

ONE THOUSAND AND 00/100 -----

DOLLARS  Security Features Included. Details on Back.



JPMorgan Chase Bank, N.A.
New York, New York 10017

*Marsi
Rodney E. Fisher*

FOR GRANT

⑈004928⑈ ⑆021000021⑆ 967015383⑈

Library Fund

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

April 30, 2008

Patricia A. Evans, Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin St
Waterbury CT 06706

Dear Ms. Evans:

We have your request for funds to develop a multi-media center in the study area.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgment of its receipt and state that no goods or services were provided in exchange for this grant. Also, for our records, send us a copy of your Organization's latest annual financial statements.

Sincerely,



Nicholas J. Nardi
Director

enc/1

hs2008

WANDA FAUCHER
RICHARD R. FAUCHER
5 OAKLEAF DR.
WATERBURY, CT. 06708-3632

2935

Date 12/23/07

51-57/119 CT
44522

Pay to the Order of SMH Library

\$ 100.00

One hundred dollars and 00/100 Dollars

Bank of America



Premier Banking

ACH R/T 011800571

Journal of
For Book donation

Wanda Faucher

⑆011900571⑆ 009370140896⑈ 2935

© Clarke American

GUARDIAN® SAFETY BLUE WBL

Richard R.
Wanda Faucher
5 Oakleaf Drive
Waterbury, CT 06708

Ellen Sheehan
Director, Health Center Library
St. Mary's Hospital
56 Franklin St.
Waterbury, Ct 06710

Dear Ellen,

Each of the St. Mary's Hospital Health Center Library staff members are always very helpful and supportive of the Crosby High School Allied Health students and their educational needs! As a token of my appreciation, I am enclosing a donation for the library to be used for any nursing resources which may be needed.

CK #3152
12/22/08
\$100.00

Thank you again for all your support and welcoming approach with the students.

Sincerely,

Wanda Faucher
Wanda Faucher
Allied Health II Instructor
Crosby High School

WANDA FAUCHER
RICHARD R. FAUCHER
5 OAKLEAF DR.
WATERBURY, CT 06708-3632

3310

12/22/09 Date

51-57/119 CT
44522

Pay to the Order of SMH Foundation \$ 100.00

✓ One hundred dollars and —⁰⁰/₁₀₀ Dollars  Security Features Details on Back

Bank of America

Premier Banking

ACH R/T 01190067

Donation for
For SMH Library fund

Wanda Faucher MP

⑆01190057⑆ 009370140896⑈3310

Harland Clarke

GUARDIAN SAFETY BLUE

64

Rosemary Grella
 James L. Grella
 227 Lincoln St. Ph. 203-723-0355
 Naugatuck, CT 06770

51-7010-2111 1871

Date 12/14/05

Pay to the order of Dr. Wm. Finkbeiner, D.D.S. \$ 25⁰⁰

Twenty five ^{00/100} Dollars

WEBSTER BANK
 Webster Plaza
 Waterbury, CT 06702

For Rosemary Grella

⑆ 2111701010 00166377921 1871



WATERTOWN FOUNDATION INC.

860-274-4299

POST OFFICE BOX 117
WATERTOWN, CONNECTICUT 06795
Email: wtnfoundation@sbcglobal.net

November 15, 2005

Ms Patricia A. Evans
St. Mary's Hospital, Inc.
56 Franklin Street
Waterbury, CT 06706

Dear Ms Evans:

The Watertown Foundation Board of Trustees is pleased to confirm that at its Annual Meeting an appropriation of \$1500 was approved to purchase cardiac library materials for SMH staff library in fiscal year 2005-2006. Payment of this award will be made upon presentation of purchase invoice(s) to James Maxwell, Administrator, Watertown Foundation, Inc., P.O. Box 117, Watertown, CT 06795.

Please note the following:

- Funds must be used within two (2) years; otherwise, the award will be canceled. All grants will be paid with one check, and the use of the funds is limited to non-recurring capital items only. Operating expenses cannot be funded.
- Your grant is conditional on the Foundation being satisfied that your organization is tax-exempt under the Internal Revenue Code (Section 501-C). If you have not done so already, please send a determination letter to our Treasurer.
- Funds will not be released until your organization submits a copy of the first (summary) page of your most recent IRS Form 990 or 990 EZ. If this documentation is not required, a note explaining your status and a projected current budget must be included.

The Board of Trustees will consider grant requests received by August 15th of any year. Application forms are available at the Watertown and Oakville Libraries, and all requests are acted on at the Foundation's Annual Meeting held annually in October.

Members of your organization are encouraged to join the Foundation, to express their civic support, and to become actively involved in our decision-making process for the betterment of the community. A lifetime contribution of at least \$200.00 may be paid in four annual \$50.00 installments. For additional information, please phone or Email James Maxwell, Administrator.

Most sincerely,

Richard Bozzuto, Chairman
Grants Committee

James Maxwell, Administrator

WATERTOWN FOUNDATION

P.O. Box 117
Watertown, Connecticut 06795

DATE July, 18 20 05

REQUEST FOR NON-RECURRING CAPITAL IMPROVEMENT GRANT

The Watertown Foundation welcomes grant applications by August 15th from tax exempt organizations within a fifteen-mile radius of Watertown. The proposed capital expenditure must conform with the Foundation by-laws requirement for charitable, educational, literary, scientific, historical or religious purposes. Grant requests will not be considered unless accompanied by a copy of the first (summary) page of your most recent IRS form 990 or 990 EZ. If this documentation is not required, a note explaining your organization's status and a projected current budget must be included. Grants are recommended by the Grants Committee to the Board of Trustees, and voted on by the membership at the annual meeting in late October.

Name of Organization: Saint Mary's Hospital, Incorporated

Person to Contact: Patricia A. Evans

Address: Street 56 Franklin St.

Town Waterbury, CT Zip 06706

Telephone Number: (203) 709-3270 Amount requested: \$ 1,500

Amount requested from other sources: \$ 1,500 Amount expected: \$ 1,000-1,500

Organization's IRS TAX EXEMPTION NUMBER: 06-0646844

Purpose for which Capital Grant is to be used: Basic collection of cardiac material for SMH
Library to increase staff knowledge in the area of cardiac surgery.

Number of persons who participate in or benefit from your activity: Entire cardiac center medical+house

Of the above number, how many are Watertown residents?: Estimate 40-50 staff+ patients

In cases where the item(s) are already purchased, submit a copy of the invoice.

You will be notified by the Watertown Foundation secretary of Grant approval (or disapproval), and one check will be sent to fulfill the grant.

Use back of white form for further comments.

DEADLINE FOR FILING APPLICATION IS AUGUST 15TH

Submit top copy: Keep YELLOW copy for your file.

Patricia A. Evans
Signature of Applicant

Grant Manager
Title (if any)

Committee Recommendation: \$ _____

Trustees Approval: _____

Foundation Approval: _____

64

JAMES E HANLEY
470 RUBBER AVENUE
NAUGATUCK, CT 06770

1736

51-7417/2111
BRANCH 2

8-7-07 DATE

PAY TO THE
ORDER OF

Dr. William Finkestein Libray \$ 2500

Twenty-five and 00/100

DOLLARS



Security
Features
Details on
Back.

NAUGATUCK SAVINGS BANK 
Experience the Difference.

FOR

James E. Hanley NP

⑆211174178⑆ 501 0?? 655⑈ 1736

© HARLAND LIBERTY

64

⁶
Saint Mary's
HOSPITAL
Foundation

56 Franklin Street • Waterbury, CT 06706
(203) 709-6390 • Fax: (203) 709-3272
Email: Foundation@stmh.org

PAYMENT METHOD

Check enclosed payable to Saint Mary's Hospital Foundation

Please charge my gift to my:

Visa Mastercard American Express

Account# _____ Exp. Date _____

Signature _____

As it appears on your card

Please see other side for important information.

#2535 3/9/07 \$35-

071A

Yes! I want to support the extraordinary care at Saint Mary's Hospital. Enclosed is my gift of:

\$25 \$35 \$50 Other \$ _____

Mr. and Mrs. Ronald J. DeBellis
06 Ridgewood Acres
Thomaston, CT 06787-1037

Please make your check payable to Saint Mary's Hospital Foundation and return it with this slip in the envelope provided. Your gift is tax-deductible to the extent permitted by law.

Thank you for your support!

My gift is in honor of in memory of:

Edward Tumkus

(Library Fund)

My employer will match my gift.
Form is enclosed.

Please notify:

Sandra Micalizzi

Print name

259 Loper St

Street Address

Southington, CT 06489

City, State, Zip

Please contact me about:

Gifts of stock

Estate Gifts

My email address _____

My daytime phone number _____

Please write to us at our address if you wish to have your name removed from the list to receive fundraising requests supporting Saint Mary's Hospital in the future.

Richard R. Faucher
Wanda C. Faucher
5 Oakleaf Drive
Waterbury, CT 06708

2698
12/21
\$100-

December 21, 2006

TO: St. Mary's Hospital Foundation
Franklin St.
Waterbury, CT

FROM: Wanda Faucher

RE: Donation

Enclosed please find a donation for St. Mary's Hospital Foundation in the amount of ~~\$100.00~~. As an educator and employee of St. Mary's Hospital I greatly appreciate the contributions St. Mary's Hospital makes for both the community we serve and the students who are learning in our environment.

I would appreciate if this donation could be used to purchase a nursing book for ~~the Linkletter Hospital Library~~

Thank you very much. Enjoy the holidays.

Sincerely,

Wanda Faucher

Wanda Faucher, R.N.M.P.S.
Allied Health Instructor - Crosby HS
Flexi Nursing Supervisor -- SMH

6-4
THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

April 29, 2006

Patricia A. Evans, Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin St
Waterbury CT 06706

Dear Ms. Evans:

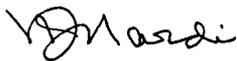
We have your request for funds to purchase a small collection of materials to build research and communication skills.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgment of its receipt and state that no goods or services were provided in exchange for this grant.

Sincerely,



Nicholas J. Nardi
Director

enc/1

 Saint Mary's
HOSPITAL
Foundation

March 23, 2006

Mr. Nicholas J. Nardi
The Daphne Seybolt Culpeper Memorial Foundation, Inc.
PO Box 206
Norwalk, CT 06852

Dear Mr. Nardi:

After many years as a teaching site for Yale University School of Medicine's Internal Medicine/Primary Care Residency program, Saint Mary's Hospital began its own Internal Medicine Residency program in July 2005. This new program joined the long standing residency programs in surgery and dentistry at Saint Mary's. There are currently 50 residents in the three programs. The Health Sciences Library at Saint Mary's Hospital has worked collaboratively with these residency programs in selecting and purchasing resource materials to meet their various academic needs.

One key component of the residents' training mandated by the Accreditation Council for Graduate Medical Education and Resident Review Committees is scholarly activity and/or original research, regardless of whether or not they plan to pursue an academic career. Aside from any new knowledge gained from the actual research, research experience can help develop life long skills that are invaluable to the practice of medicine such as critical appraisal, the scientific method and problem solving. Although non-university based (community hospital) programs, such as at Saint Mary's provide the basic elements conducive to resident research, the residents do not have access to the breadth of research resources that are available at university based programs.

Mentoring and faculty support of the resident research are already in place. The Health Sciences Library recently received another grant that will allow it to purchase "Endnotes," a software package that will allow the resident researchers to search online bibliographic databases, organize their references and images, create bibliographies and prepare papers for publication by using built-in manuscript templates. The library would now like to provide the final piece and close the resource gap by providing a mini collection of materials devoted to building research and communications skills. The collection will consist of books on evidence based medicine, research methodology, epidemiology, biostatistics, visual and graphic design and writing for publication.

We respectfully request \$1,200 from The Daphne Seybolt Culpeper Memorial Foundation, Inc. to purchase a small collection of materials to build research and communication skills. Saint Mary's Hospital thanks you and The Culpeper Foundation for your on going support of its programs and looks forward to working with you in the future.

Sincerely,


Patricia A. Evans

Grant Manager

Saint Mary's Hospital Foundation

1580

THE DAPHNE SEYBOLT CULPEPER
MEMORIAL FOUNDATION, INC.

P.O. BOX 206
NORWALK, CT 06852-0206

4544

DATE APRIL 29, 2006

1-2⁴³¹
210

PAY TO THE ORDER OF SAINT MARY'S HOSPITAL FOUNDATION, INC.

\$ 1,000.00

ONE THOUSAND AND 00/100

DOLLARS

Security Features
Included.
Check on Back.



JPMorgan Chase Bank, N.A.
New York, New York 10017

*Mandi
Rodriguez*

FOR GRANT

⑈004544⑈ ⑆021000021⑆967015383⑈

*Library Fund
specifically for
Residency
Program*

64

WANDA FAUCHER
 RICHARD R. FAUCHER
 5 OAKLEAF DRIVE
 WATERBURY, CT. 06708

51-57/119
 9370140896

2417

DATE 12/22/05

PAY TO THE ORDER OF St. Mary's Hospital Foundation \$ 100.00

One hundred dollars and 00/100 DOLLARS

Bank of America

MEMO Library Fund Wanda Faucher MP

⑆01190057⑆ 93701 40896⑆ 2417

in memory of Ed Jenkins

Wanda C. Faucher
5 Oakleaf Drive
Waterbury, CT 06708
(203) 756-6359

TO: St. Mary's Hospital Foundation
Franklin Street
Waterbury, CT

FROM: Wanda Faucher

DATE: December 21, 2004

Enclosed please find a donation of \$100.00 to the St. Mary's
Hospital Foundation. I would like this contribution to be used for Hospital
Library needs.

Thank you very much.

Wanda Faucher
Wanda Faucher

12/21
2142
\$ 100

Richard R. Faucher
Wanda C. Faucher
5 Oakleaf Drive
Waterbury, CT 06708

2698
12/21
\$100-

December 21, 2006

TO: St. Mary's Hospital Foundation
Franklin St.
Waterbury, CT

FROM: Wanda Faucher

RE: Donation

Enclosed please find a donation for St. Mary's Hospital Foundation in the amount of \$100.00. As an educator and employee of St. Mary's Hospital I greatly appreciate the contributions St. Mary's Hospital makes for both the community we serve and the students who are learning in our environment.

I would appreciate if this donation could be used to purchase a nursing book for the Finklestein Hospital Library.

Thank you very much. Enjoy the holidays.

Sincerely,

Wanda Faucher

Wanda Faucher, R.N.M.P.S.
Allied Health Instructor - Crosby HS
Flexi Nursing Supervisor -- SMH

Bank of America



0015262910
VOID AFTER 180 DAYS

64 NO. 4250369

2054 57
7 119

DR WILLIAM FINKELSTEIN

DATE	AMOUNT

PAY

SEVENTY FIVE THOUSAND DOLLARS AND NO/100

TO THE
ORDER
OF

ST MARYS HOSPITAL FOUNDATION INC
56 FRANKLIN STREET
WATERBURY CT 06706

FLEET NATIONAL BANK
HARTFORD CT

Mary E. Vogan

SIGNATURE HAS A COLORED BACKGROUND. BORDER CONTAINS MICROPRINTING.

4 250369 0 190057 1

986476074



Private Clients Group

1 Exchange Place
Waterbury, CT 06702
800 452.1203 tel

September 22, 2004

Saint Mary's Hospital Foundation
Harriette Sisbarro, Development Associate
56 Franklin Street
Waterbury, CT 06706

RE: A/c # 0015262910 William Finkelstein

Dear Ms. Sisbarro:

Fleet National Bank serves as Trustee of the William Finkelstein trust. Recently, Dr. Finkelstein passed away. At this time, the document directs us to pay a bequest of \$75,000 to Saint Mary's Hospital.

Enclosed you will find a photocopy of excerpts from the document that pertain to your organization providing details of how the funds are to be utilized, as well as the dollar amount of your bequest.

Please complete the enclosed W-9 form, provide evidence that your organization held the name mentioned above, and submit a copy of your federal tax exemption letter. I have enclosed a return envelope for your convenience.

When all the legatees have responded, we will make distribution by mailing a check to the above address. If you have any questions, please contact me at 203-465-1750.

Sincerely,

A handwritten signature in cursive script that reads "Linda H. Andrew".

Linda H. Andrew
Senior Associate

LHA/s

13. I give to ST. MARY'S HOSPITAL of Waterbury, Connecticut, the sum of Thirty Thousand (\$30,000.00) Dollars, and I direct that the income therefrom shall be used for the express purpose of purchasing medical text and journals for the "Dr. William Finkelstein Library", and to be known as "The Doctor William Finkelstein Book Fund". It is my wish that each book purchased by the fund shall have an appropriate bookmark designating its purchase by the fund.

6. Paragraph 13 of Article Two of the Trust Agreement dated November 23, 1994, which was amended by Section 3 of the Third Amendment to Trust Agreement dated February 23, 1999 is further amended only to the extent to increase the amount of the gift therein to St. Mary's Hospital from Fifty Thousand (\$50,000.00) Dollars to Seventy-Five Thousand (\$75,000.00) Dollars.

Request for Taxpayer Identification Number and Certification

Give form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	Name St. Mary's Hospital Foundation, Inc.
	Business name, if different from above
	Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Other <input type="checkbox"/> Exempt from backup withholding
	Address (number, street, and apt. or suite no.) 56 Franklin Street
	City, state, and ZIP code Waterbury, CT 06706
Requester's name and address (optional)	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 2. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 2.

Social security number
or
Employer identification number
22-2528400

Note: If the account is in more than one name, see the chart on page 2 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 2.)

Sign Here	Signature of U.S. person Haniet Susbano	Date 9/30/04
-----------	--	---------------------

Purpose of Form

A person who is required to file an information return with the IRS must get your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to give your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

If you are a foreign person, use the appropriate Form W-8. See Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities.

Note: If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 30% of such payments after December 31, 2001 (29% after December 31, 2003). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester, or
2. You do not certify your TIN when required (see the Part II instructions on page 2 for details), or
3. The IRS tells the requester that you furnished an incorrect TIN, or
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions on page 2 and the separate Instructions for the Requester of Form W-9.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of Federal law, the requester may be subject to civil and criminal penalties.

Bank of America



Bank of America Private Bank
CT2-215-02-01
One Exchange Place
Waterbury, CT 06702

Tel 800.452.1203
Fax 203.465.1924

December 30, 2004

Saint Mary's Hospital Foundation
Ms. Harriette Sisbarro
Development Associate
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Sisbarro:

Enclosed please find our check, which represents the bequest due your organization from Dr. William Finkelstein. Please refer to our letter of September 22, 2004 with enclosure for any specific language that directs you on how the funds are to be utilized.

We ask that you negotiate this check at your earliest convenience as it will act as a receipt for our records.

Should you have any questions please call me at 203 465-1750.

Sincerely,

A cursive handwritten signature in black ink that reads "Linda H. Andrew".

Linda H. Andrew
Relationship Analyst

LHA/s

MAHANEY, GEGHAN & SULLIVAN
ATTORNEYS AT LAW
ONE EXCHANGE PLACE
WATERBURY, CONNECTICUT 06702

CAREY R. GEGHAN
DANIEL J. MAHANEY
TIMOTHY F. SULLIVAN

(203) 574-0056
(203) 753-1401
FAX (203) 596-7975

JOHN D. MAHANEY
OF COUNSEL

July 29, 2003

Patricia A. Evans – Grant Manager
St. Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

RE: DR. WILLIAM FINKELSTEIN

Dear Ms. Evans:

Enclosed, please find a check in the amount of \$5,000.00 as a donation by Dr. William Finkelstein to the William Finkelstein Medical Library at St. Mary's Hospital to be used exclusively historic preservation and documentation as per your letter to Doctor on July 9, 2003.

Please call me if you have any questions.

Sincerely,


Carey R. Geghan

cc: Dr. William F. Finkelstein
James L. Cohen

7/25
2323393
\$ 5000

WILLIAM FINKELSTEIN, MD
100 JEFFERSON SQUARE, APT 7A
WATERBURY, CT 06706

10147

51-143/211

PAY

TO THE ORDER OF *St. Mary's Hospital Library*

2/14/02 DATE

\$ *2000.00*

Two thousand dollars no/100

DOLLARS 

NORTH AMERICAN
BANK

FOR *Purchase of computers*

William Finkelstein, MD

⑈ 0 1 0 1 4 7 ⑈ 0 3 1 8 6 4 1 0 1 1 2 0 1 ⑈

⑈ 5 1 8 7 4 ⑈

MP

June 1985

From the desk of

Dr. William Finkelstein M.D.

Dear Allen:-

Just a few days ago I got in a check of \$170.00 as a gift in appreciation of all the help you've given me. I know you can't do anything with this but it does have my name.

Sincerely,

W.F.M.

NEUPOGEN®
(FILGRASTIM)
A recombinant G-CSF

7/4

Harriet -

I have sent Dr. Finkelstein a thank you from the library.

Ellen

6631
1399
6/24
\$150 -
Finkelstein
Library

TODAY'S OBITUARIES

Richard L. Dorais

Owned Chads Restaurant

TORRINGTON — Richard Louis Dorais, 49, died unexpectedly on Tuesday, July 17, 2007, at Charlotte Hungerford Hospital.



He was the husband of Wendy J. (Lee) Dorais.

Rich was born in Waterbury July 19, 1957, the son of Louis Dorais and the late Joan P. (Kelly) Dorais. He was

raised in Prospect where he owned and operated the former Sunoco Service Station. He worked for Frito Lay in Naugatuck, and was the former owner of Chads Restaurant in Bantam. He was currently the manager of the Blue Fox Rock N Bowl in Simsbury.

Rich was very passionate about everything he did. He was very giving of himself and his time.

In addition to his wife, Wendy, Rich is survived by his father, Louis, of Torrington; a son, Chad Dorais of Meriden; two daughters, Stacy Astudillo and her husband, Cristhiah, of Bristol, and Nicole Lavoie of Prospect; a sister, Dawn Russeau and her husband, Ron, of Bolton; a grandson, Caden; a granddaughter, Makayla; and several aunts, uncles, cousins, nieces and nephews. He will be especially missed by Michael, Michelle and Tina.

Funeral services will be held 11 a.m. Saturday, July 21, at Pine Brook Assembly of God in Naugatuck. Burial will follow in All Saints Cemetery. Friends and family may call at the Rowe Funeral Home, 283 Torrington Road, Litchfield, on Friday from 5 to 8 p.m.

Retired Gen. Wayne Downing, counterterrorism adviser

ASSOCIATED PRESS

PEORIA, Ill. — Retired Gen. Wayne Downing, one of President Bush's key counterterrorism advisers after the Sept. 11 attacks, died Wednesday, a coroner said. He was 67.



The four-star general was admitted to the hospital Monday, suffering from bacteri-

Mrs. Lorraine A. Phillips

Lifelong Naugatuck resident

NAUGATUCK — Mrs. Lorraine A. (Lusk) Phillips, 78, of 45 Pine St., died Tuesday afternoon, July 17, 2007, at Saint Mary's Hospital.



She was the wife of Earl K. Phillips.

Mrs. Phillips was born in Waterbury, Jan. 11, 1929, a daughter of the late Daniel J. and Loretta G. (Hayes) Lusk,

and was a lifelong Naugatuck resident. She had attended local schools and was a communicant of St. Patrick's Church in Waterbury.

Besides her husband of 55 years, she leaves a sister, Arlene H. Lusk of Naugatuck, and her godson, Paul Anthony Dell'Anno of Waterbury.

Her funeral will be held at 9:15 a.m. on Friday from the Alderson Funeral Home of Naugatuck, 201 Meadow St., to St. Patrick's Church, 50 Charles St., Waterbury, for a Mass of Christian Burial at 10 a.m. Burial will be private in St. James Cemetery, Naugatuck. Friends may call at the funeral home on Friday morning from 8:30 a.m. until the procession leaves for church.

In lieu of flowers, memorial contributions may be made to: Harold Leever Regional Cancer Center, 1075 Chase Parkway, Waterbury 06708; or Dr. William F. Finkelstein Memorial Library, c/o Saint Mary's Hospital, 56 Franklin St., Waterbury 06706.

To view arrangements, express online condolences, or sign an online guest book, visit www.alder-sonfh.com.

Thomas C. Fitzgerald

Bethlehem public works employee

BETHLEHEM — Thomas C. Fitzgerald, 60, of East Street, died Tuesday, July 17, 2007, at his home. He was the husband of Diane (Mitchell) Fitzgerald.



Tom was born in Waterbury on Sept. 29, 1946, son of the late Michael and Mary Frances (Pratt) Fitzgerald Sr.

He was a Vietnam War veteran, having served as a corporal in the U.S. Marine Corps. He was employed

Mrs. Clara Devino

Owned DVNO Development

MIDDLEBURY — Mrs. Clara "Clare" (Luzi) Devino, 74, of 50 North Farm Road, passed away surrounded by her loving family



on Monday, July 16, 2007, at Waterbury Hospital. She was the widow of Frank "Chick" Devino who passed away Aug. 3, 2006.

Clare was born in Bristol on June 20, 1933, daughter of the late Joseph and Mary Luzi. She was a student in the first graduating class of St. Anthony's Grammar School in Bristol and graduated from Bristol High School. She was the office manager for Devino's Brass City Hyundai since its opening. She and her husband, Chick, owned and operated the family commercial real-estate business, DVNO Development, for many years. She started her office management career at General Electric in Bristol where she worked for several years.

Clare was a communicant of Blessed Sacrament Church. She was a generous contributor to many organizations, including Blessed Sacrament Church, St. Anne's Shrine, St. Vincent De-Paul's Soup Kitchen, Food for the Poor, Special Olympics, the National Shrine of St. Jude, the Association of the Marianist Mission, and the Sacred Heart League.

She leaves two sons, Frank Devino Jr. and his wife, Lucy (Tripodi) Devino, and Richard "Rick" Devino, both of Middlebury; three daughters, Patty Goncalves and her husband, Tony Goncalves, of Waterbury; Debra "Debbie" Devino and Donna Devino, both of Middlebury; a brother, Ronald Luzi of Bristol; a sister, Linda Luzi of Bristol; and two grandchildren, Nicholas and Olivia Devino, both of Middlebury.

Arrangements: Funeral 9 a.m. Saturday from Chase Parkway Memorial/The Albini Family Funeral Home, 430 Chase Parkway, Waterbury, to Blessed Sacrament Church, Robbins Street, Waterbury, for a Mass at 10 a.m. Burial in Calvary Cemetery, Waterbury. Calling hours are 4 to 7 p.m. Friday at the funeral home.

Contributions: American Cancer Society "Leukemia Research" 538 Preston Ave., Meriden 06450; or Blessed Sacrament Church, Robbins Street, Waterbury 06708.

2005

Edward J. Tumkus

Decorated veteran

WATERBURY — Edward J. Tumkus, 88, of 376 North Walnut St., died Wednesday, Dec. 7, at his home. He was the widower of Lucy (Monaco)



Mr. Tumkus

Tumkus. Mr. Tumkus was born March 29, 1917, in Scranton, Pa., son of the late Joseph and Justine (Cipkus)

Tumkus. He moved to Waterbury in 1937 and worked at Scovill Manufacturing Co. and Century Brass Co. for several

years. After his retirement in the mid-1930s, he worked at the Waterbury Republican-American in security and as a groundskeeper.

He served in the 19th Infantry Division of the Army from 1938 to 1941, receiving the rank of corporal in Hawaii. He re-enlisted in 1942 and served as staff sergeant in the Signal Corps in Egypt and Libya. He received four Bronze Stars from service in the European African Middle Eastern Services and an American Defense Service Medal with Bronze Star. He was a communicant of St. Lucy's Church. His hobbies included gardening and the outdoors.

He leaves two daughters, Sandra Micalizzi of Southington, and Vita Maria Ciullo of Waterbury; one sister, Nellie Egle of Indiana; and six grandchildren.

Arrangements: Funeral 8:15 a.m. Saturday from Maiorano Funeral Home, 95 Willow St., to St. Lucy's Church for Mass at 9:30 a.m. Burial in Calvary Cemetery. Calling hours 4 to 7 p.m. Friday.

Contributions: St. Mary's Hospital Foundation for the Dr. William Finkiestein Library, 56 Franklin St., Waterbury, CT 06706; Sacred Heart School, 142 South Elm St., Waterbury, CT 06702; St. Margaret School, 289 Willow St., Waterbury, CT 06710; or St. Paul High School, 1001 Stafford Ave., Bristol, CT 06010.

Appeal Summary Report Lifeline Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	2	\$0.00	\$0.00	\$4,815.00	\$0.00	\$4,815.00
lif91	Lifeline 1991	2	\$0.00	\$595.00	\$0.00	\$0.00	\$595.00
maj93	Major Gifts 1993	1	\$0.00	\$1,160.00	\$0.00	\$0.00	\$1,160.00
maj94	Major Gifts 1994	1	\$0.00	\$520.00	\$0.00	\$0.00	\$520.00
maj96	Major Gifts 1996	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
mem91	Remembrance 1991	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem92	Remembrance 1992	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem93	Remembrance 1993	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem94	Remembrance 1994	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem97	Remembrance 1997	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ph94	Phonathon 1994	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
rem2000	Past Remembrances 2000	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2001	Past Remembrances 2001	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2002	Past Remembrances 2002	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2006	Past Remembrances 2006	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2007	Past Remembrances 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2008	Past Remembrances 2008	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem2009	Past Remembrances 2009	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem98	Past Remembrances 1998	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
rem99	Past Remembrances '99	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00

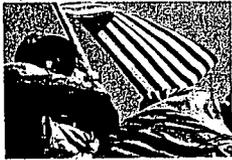
and Totals:

	\$0.00	\$2,400.00	\$6,215.00	\$0.00	\$8,615.00
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32 Gift(s) listed
6 Donor(s) listed

Name	Gift Amount	Gift Date	Appeal Description
Raymond E. Sullivan	\$25.00	1/5/1985	May Fund 1985
Frank J. Daly	\$25.00	1/7/1985	May Fund 1985
A. Sirop	\$45.00	1/25/1985	May Fund 1985
Buell Industries, Inc.	\$25.00	1/25/1985	May Fund 1985
William Finkelstein	\$25.00	1/30/1985	May Fund 1985
Doris S. Goldberg	\$25.00	1/31/1985	May Fund 1985
S.M.H. Sisters of St. Joseph	\$50.00	1/31/1985	May Fund 1985
Paul R. Wohlgemuth	\$25.00	1/31/1985	May Fund 1985
Catherine F. Largay	\$25.00	1/31/1985	May Fund 1985
Henry E. Helvie	\$25.00	1/31/1985	May Fund 1985
Marjorie D. DelGaizo	\$15.00	1/31/1985	May Fund 1985
Anthony Sterling	\$25.00	2/1/1985	May Fund 1985
Lisbeth Miller	\$25.00	2/1/1985	May Fund 1985
Svea L. Gibbs	\$50.00	2/1/1985	May Fund 1985
Patricia Healey	\$25.00	2/5/1985	May Fund 1985
Lawrence J. Shea	\$25.00	2/7/1985	May Fund 1985
Richard Biondi	\$25.00	2/8/1985	May Fund 1985
George W. Lasky	\$25.00	2/8/1985	May Fund 1985
Harold J. Perkinson	\$25.00	2/14/1985	May Fund 1985
Margaret T. Higgiston	\$25.00	2/14/1985	May Fund 1985
Roland F. Largay	\$25.00	2/14/1985	May Fund 1985
Frank G. Regan	\$25.00	2/21/1985	May Fund 1985
Katherine F. Hanrahan	\$10.00	2/21/1985	May Fund 1985
Linda Burns	\$25.00	2/22/1985	May Fund 1985
Marita A. Lawlor	\$25.00	2/25/1985	May Fund 1985
Margaret T. Higgiston	\$25.00	6/18/1985	May Fund 1985
Harold B. Higgiston	\$25.00	7/6/1985	May Fund 1985
Philip J. Byrne	\$100.00	1/15/1991	Remembrance 1991
Benjamin Krinsky	\$15.00	6/18/1991	Lifeline 1991
Benjamin Krinsky	\$15.00	6/18/1991	Lifeline 1991
Watertown Lions Club, Inc.	\$580.00	7/23/1991	Lifeline 1991
Watertown Lions Club, Inc.	\$580.00	7/23/1991	Lifeline 1991
Philip J. Byrne	\$50.00	1/3/1992	Remembrance 1992
Philip J. Byrne	\$50.00	1/3/1992	Remembrance 1992
Philip J. Byrne	\$50.00	1/8/1993	Remembrance 1993
Philip J. Byrne	\$50.00	1/8/1993	Remembrance 1993
Watertown Lions Club, Inc.	\$1,160.00	6/3/1993	Major Gifts 1993
Watertown Lions Club, Inc.	\$1,160.00	6/3/1993	Major Gifts 1993
Philip J. Byrne	\$50.00	1/13/1994	Remembrance 1994
Philip J. Byrne	\$50.00	1/13/1994	Remembrance 1994
Watertown Lions Club, Inc.	\$520.00	5/10/1994	Major Gifts 1994
Watertown Lions Club, Inc.	\$520.00	5/10/1994	Major Gifts 1994
Mary Mayer	\$25.00	6/7/1994	Phonathon 1994
Mary Mayer	\$25.00	6/7/1994	Phonathon 1994
Philip J. Byrne	\$100.00	2/2/1996	Major Gifts 1996
Philip J. Byrne	\$100.00	2/2/1996	Major Gifts 1996
Philip J. Byrne	\$50.00	12/31/1996	Remembrance 1997
Philip J. Byrne	\$50.00	12/31/1996	Remembrance 1997
Philip J. Byrne	\$50.00	12/31/1997	Past Remembrances 1998
Philip J. Byrne	\$50.00	12/31/1997	Past Remembrances 1998
Philip J. Byrne	\$50.00	12/31/1998	Past Remembrances '99
Philip J. Byrne	\$50.00	12/31/1998	Past Remembrances '99
Philip J. Byrne	\$50.00	12/31/1999	Past Remembrances 2000
Philip J. Byrne	\$50.00	12/31/1999	Past Remembrances 2000
Philip J. Byrne	\$50.00	12/31/2000	Past Remembrances 2001
Philip J. Byrne	\$50.00	12/31/2000	Past Remembrances 2001
Philip J. Byrne	\$50.00	12/31/2001	Past Remembrances 2002
Philip J. Byrne	\$50.00	12/31/2001	Past Remembrances 2002
The Swindells Charitable Foundation	\$2,675.00	9/22/2005	Grant

Name	Gift Amount	Gift Date	Appeal Description
Jean M. Byrne	\$50.00	12/28/2005	Past Remembrances 2006
J. Byrne	\$50.00	12/28/2005	Past Remembrances 2006
J. Byrne	\$100.00	1/12/2007	Past Remembrances 2007
Jean M. Byrne	\$100.00	12/21/2007	Past Remembrances 2008
Philip J. Byrne	\$100.00	6/9/2009	Past Remembrances 2009
The Swindells Charitable Foundation	\$2,140.00	11/8/2010	Grant



MAR. 19, 2011

ST. MARY'S HOSPITAL FOUNDATION
TO WHOM IT MAY CONCERN:

ENCLOSED IS A CHECK FOR \$200.00
I WOULD LIKE TO DONATE IT TO
THE LIFE LINE PROGRAM IN
MEMORY OF MY MOTHER

LILLIAN C. FLEMING AND
MY AUNT

ELIZABETH HAYDEN.

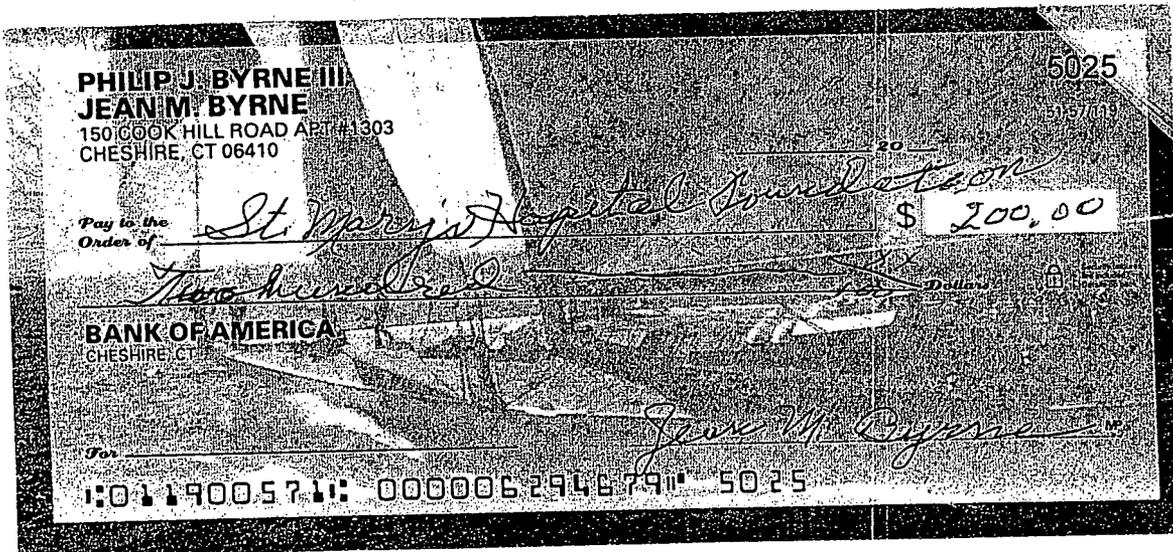
SINCERLY,

Jean M. Byrne



Mrs. Philip J. Byrne, III
150 Cook Hill Rd., Apt. 1303
Cheshire, CT 06410

Helping Our Heroes



December 17, 2009
St Mary's Hospital Foundation,

Enclosed is a check
for \$100.00. I would like
to designate that it
be given to the Lifetime
Program in memory
of my mother, Lillian
C. Fleming, and my
aunt, Elizabeth Hayden.

Sincerely,
Jean M. Byrne

V# 5093
12/17/09
\$100.00

Philip J. Byrne III
Jean M. Byrne
150 Cook Hill Road
Apartment # 1303
Cheshire, CT 06410.

Mrs. Philip J. Byrne III
150 Cook Hill Rd., Apt. 1303
Cheshire, CT 06410

5/25/09

St. Mary's Hospital Foundation
Enclosed is a check for \$100.
It is given to the Lifeline
Program in memory of
my mother, Lillian C.
Fleming and my Aunt
Elizabeth Hayden.

Sincerely,
Jean M. Byrne

PHILIP J. BYRNE III
JEAN M. BYRNE
150 COOK HILL ROAD APT #1303
CHESHIRE, CT 06410

5053

5/25/09

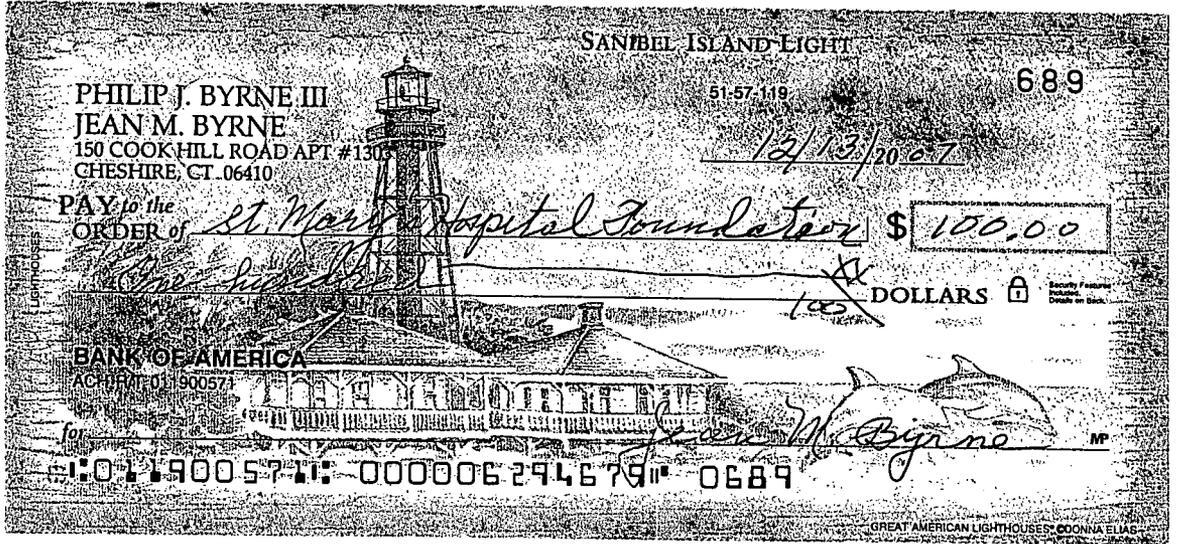
Pay to the Order of St. Mary's Hospital Foundation \$ 100.00

One Hundred and 00/100 Dollars

BANK OF AMERICA
CHESHIRE, CT

For Jean M. Byrne

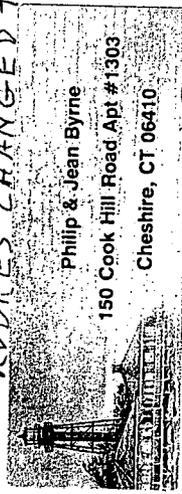
⑆011900571⑆ 000006294679⑈ 5053



150 Cook Hill Rd
#1303
Cheshire, CT
06410
December 13, 2007
To Whom St. Mary's Hospital
Foundation,
Enclosed is a
check for \$100. I would
like to designate that
it be given to the Life-
line Program in memory
of my mother, Gillian
C. Fleming and my aunt,
Elizabeth Hayden.
Sincerely,
Jean M. Byrne

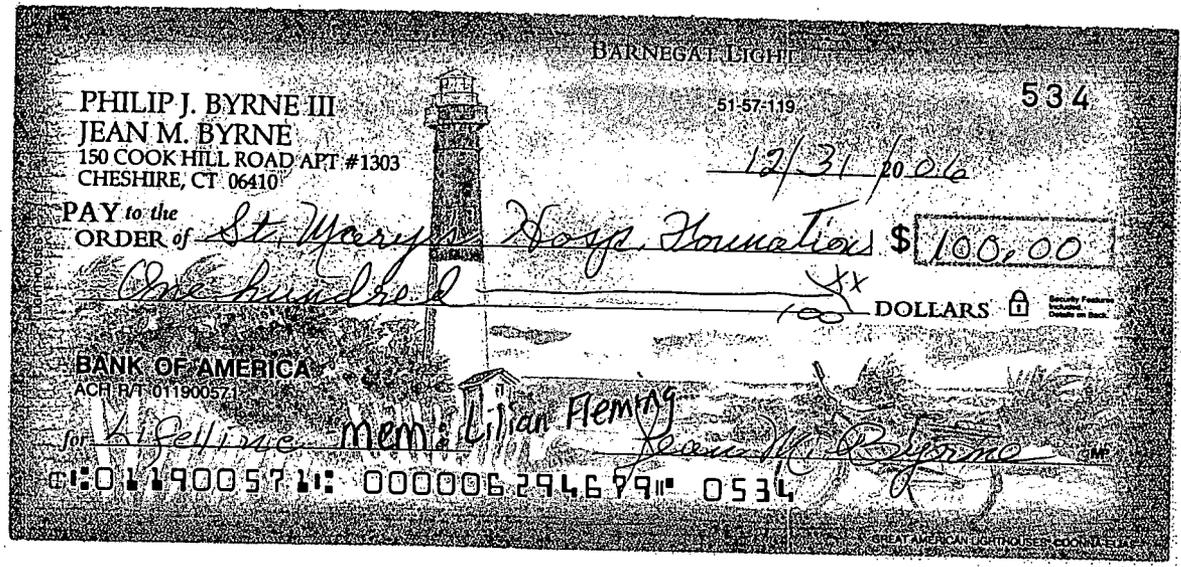
Mrs. Philip J. Byrne
225 Nob Hill Road
Cheshire, Connecticut 06410

ADDRESSES CHANGED TO:



December 31, 2006
To Whom It May Concern,
Enclosed
is a check for \$100. I would
like to designate that it
be given to the Lifeline
Program in memory of my
mother, Lillian C. Fleming
and my aunt, Elizabeth
Haydon.

Sincerely,
Jean M. Byrne



225 Hob Hill Road
Cheshire, CT 06410
December 31, 2004

To Whom It May Concern:

The
enclosed check is given
in memory of my mother,
Lillian C. Fleming and
my Aunt, Elizabeth Hayden.
I would like the money
given to Lifeline.

Sincerely,
Jean M. Byrne

12/31

4434

100

Philip J. & Jean M.

Byrne

Mrs. Philip J. Byrne
225 Nob Hill Road
Cheshire, Connecticut 06410

December 21, 2005

To Whom It May Concern

The enclosed
check for \$100 is given in memory
of Lillian C. Fleming and
Elizabeth Hayden. I would
like this to be given to the
Lifeline Program to help
someone in need.

Sincerely,
Jean M. Byrne

140

12/21/05

\$100.-

postmarked 1/2/03

~~225 N. Hill Road~~
~~Cheshire, CT 06410~~
December 30, 2002

To Whom It May Concern,
Enclosed
is a check for \$100. I
would like to designate
that it be given to the
Lifetime Program in
memory of my mother,
~~Lillian C. Fleming~~ and
my aunt, ~~Elizabeth~~
~~Hayden~~.

12/30
5245
\$100

Sincerely,
~~Jean M. Byrne~~
~~Philip Byrne, III~~
~~Philip Byrne, III~~

12/30
5124
\$ 100

225 Hob Hill Road
Cheshire, CT 06410
December 30, 2001

To Whom It May Concern,

Enclosed is a check for \$100. I would like to designate that it be donated to the Lifeline Unit in memory of Lillian C. Fleming and Elizabeth Hayden.

Sincerely,
Jean M. Byrne
Philip J. Byrne
III

December 27, 2000

To Whom It May Concern
Enclosed is a check
for \$100. I would like
it to ^{be} given to the Life-
~~line~~ program in mem-
ory of my mother, Lillian
C. Fleming and my aunt,
Elizabeth Hayden.

Sincerely,
Jean M. Byrne

Jean



Mr & Mrs Philip J Byrne
225 Nob Hill RD
Cheshire CT 06410-1712

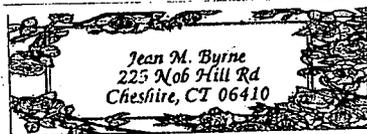
3209
12/27
\$100-

1313
12/31
\$100-

225 Nob Hill Road
Cheshire, CT 06410
December 31, 1999

To Whom It May Concern,
Enclosed is a check
for \$100. I would like to
designate that it be given
to the Lifeline Program
in memory of my mother,
Lillian C. Fleming and my
aunt, Elizabeth Hayden.

Philip, III



225 Nob Hill Road
Cheshire, CT 06410
December 15, 1998

To Whom It May Concern,

Enclosed
is a check for \$100 for
the Lifeline Program. It is
given in memory of my mother,
Lillian C. Fleming and my
sunt, Elizabeth Hayden.

673
12/15
\$100

Sincerely,
Jean M. Byrne
(Mrs. Philip J. Byrne)

Jean



Any gift, whether large or small, is a significant contribution to the health and well being of our families and friends.

Yes, I would like to help St. Mary's Hospital.

I/We enclose a gift of \$ 100.00 (Make checks payable to SMH Foundation)

From: Mr. & Mrs. Philip J Byrne Jean
225 Nob Hill Rd
Cheshire, CT 06410
to appear in our Honor Roll of Donors

Address _____ State _____ Zip _____

Phone Number (203) 272-4715

This gift is anonymous.

596
12/28
\$100-

A memorial or special occasion gift can have special meaning while assisting St. Mary's Hospital . . . now and in years to come.

___ In honor of _____
Name Occasion

✓ In memory of Lillian C. Fleming + Elizabeth Hayden
Name

Send acknowledgement care of my/our name to: To the Lifeline Program

Name

Address _____ City _____ State _____ Zip _____

I would like more information about:

- ___ The importance of wills
- ___ Considering a gift to SMH in my will
- ___ SMH Partners Bequest Society
- ___ Ways to increase income, save taxes and make a gift to SMH

Your tax deductible gift will help us change or save a life.

PHILIP J. BYRNE, III
JEAN M. BYRNE
225 NOB HILL ROAD
CHESHIRE CT 06410

272-4715

3169

51-57/119

Bill

1/6/1996

Pay to the
Order of

Lifeline Program

\$ 100.00

One Hundred

~~100~~ ^{XX}

Dollars

FLEET BANK
CHESHIRE OFFICE 1928
CHESHIRE, CONNECTICUT 06410

For

Dorritin

Jean M. Byrne

⑆011900571⑆ 629467 90 3169

Acct 5246100

Fund Summary Report 1/1/87 - 2/25/11
Pastoral Care Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
pastoral	Pastoral Care	264	\$0.00	\$9,208.00	\$18,411.45	\$0.00	\$27,619.45
264 Gift(s) listed							
234 Donor(s) listed							
	and Totals:		\$0.00	\$9,208.00	\$18,411.45	\$0.00	\$27,619.45

G6

September 21, 2007

Ms. Patricia A. Evans
Grant Manager
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

Re: The Swindells Charitable Foundation

Dear Ms. Evans:

It is our pleasure to advise you that the Trustee for the Swindells Charitable Foundation has awarded a \$2,550.00 grant to Saint Mary's Hospital Foundation, Inc. This grant was made to support pastoral care services for indigent adults.

You will receive the grant award under a separate cover. When you do, please sign and return the enclosed copy of this letter indicating receipt of the award. We have provided a return envelope for your convenience.

We hope that the grant will be supportive of the project set forth in your proposal. If for any reason your project changes substantially or you have already received complete funding for it, notify us immediately.

Bank of America, Trustee of the Swindells Charitable Foundation, is responsible for the management of the Foundation's assets and the administration of its grant-making program.

The Trustee would like you to provide us with a grant report that details the expenditure of the funds by **August 1, 2008**. You may email me at the address below if you do not have a copy of this form. We thank you in advance for your cooperation.

Sincerely,



Kate Kerchaert
Senior Program Officer
860-952-7405
kate.kerchaert@bankofamerica.com

Enclosures

By _____

Date _____

1616


**CONNECTICUT
COMMUNITY FOUNDATION**

TRUSTEES

Fred L. Baker, Esq.
President

Ann M. Burton, D.Phil.
Vice President

Peter Jacoby, M.D.
Secretary

Stedman G. Sweet
Treasurer

Katherine A. Berman

Sam S. F. Caligiuri, Esq.

Isabelle V. Curtiss, CPA

Judith Eslami

Harold Gootrad

Betsy Manning

Molly A. Parker

Edwin R. Rodriguez

Marvin Schwartz

Annie M. Scott

Arri Sendzimir

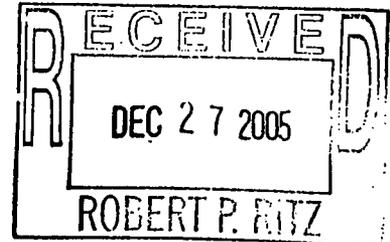
Daniel Sherr

Richard J. Ulbrich

Mark C. Yanarella

Ingrid Manning
CEO

December 22, 2005



Mr. Robert Ritz
Chief Executive Officer
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Ritz:

I am enclosing a check in the amount of \$1,221 representing the entire 2005 Connecticut Community Foundation grant (# 20050605) from the Fannie B. Wheeler Fund to St. Mary's Hospital for the Pastoral Care Patient Basic Needs Fund which will provide funding for basic needs such as food, clothing, heat, transportation, and /or medication for elderly indigent women.

Please complete and return a brief letter evaluation of the program to us by September 1, 2006, keeping in mind that evaluations of past grants must be on file at the Foundation in order for your organization to be eligible to apply for future grants. You may email the evaluation to the attention of Josh Carey if you choose. His email address is: jcarey@conncf.org.

We are pleased to be able to assist St. Mary's Hospital in meeting this important community need.

Sincerely,

Ingrid Manning
Chief Executive Officer

Serving the Central
Naugatuck Valley
and Litchfield Hills

43 Field Street
Waterbury, CT 06702
Phone 203.753.1315
Fax 203.756.3054
info@conncf.org
www.conncf.org

34B Main Street
New Milford, CT 06776
Phone 860.355.2834

IM/tr
Enclosures

2005 GRANT APPLICATION
Basic Needs Programs
(Jones, Traurig, Panneton, or Wheeler Funds)

Applicants for any of the above listed funds should answer these questions. **Do not exceed 2 pages** (this limit refers to the grant text only, and does not include the summary sheet, budgets, and supplements).

1. DESCRIBE YOUR PROJECT OR USE OF FUNDS.

Saint Mary's Hospital provides medical care to inner city residents of Waterbury regardless of their ability to pay. In addition to their healthcare needs elderly patients may have needs as basic as food, clothing, shelter and medication and look to the hospital for assistance in meeting these needs. In most cases these elderly patients are referred to Saint Mary's Hospital's Pastoral Care services and the department does its best to be of direct assistance or in other cases refers these patients to other organizations. In spite of an improved economy statewide, elderly inner city Waterbury residents on a limited fixed income are facing escalating costs for essentials such as food, clothing, medication, and heating; a disproportionate number of these patients are elderly women.

To address basic needs in an appropriate and timely manner a Pastoral Care Patient Basic Needs fund was established in 2004. Whether the need is for food to eat or heating fuel to prevent hypothermia when a patient returns home, Pastoral Care's assistance can make the difference between a positive outcome or continuing health problems that require greater medical care services. Assistance is given for basic emergency needs only and the Pastoral Care department handles the screening, which is based on need only and no patient is given cash.

2. WHAT IS THE SPECIFIC COMMUNITY NEED(S) THAT YOUR PROGRAM WILL ADDRESS?

Some indigent elderly women patients are forced to choose among food, clothing, heat, transportation and prescribed medication. In these cases decisions are made to eliminate or cut back on one of the basic needs to be able to maintain another. The result of these decisions can be additional visits to the Saint Mary's Hospital emergency department or in other cases admission to the hospital. This is a problem for the patient, and also uses valuable community health care resources that are then not available for more emergent healthcare needs.

3. HOW MANY PEOPLE WILL BENEFIT FROM THE PROPOSED USE OF FUNDS?

During a one-year period a \$2,500 grant for food, clothing, heat, transportation and/or prescribed medication granted on an emergency basis only @ an average cost of \$100 per emergency need will assist 25 indigent elderly female patients. However, the number of these patients Saint Mary's Hospital can serve will vary with the grant amount received and the cost of essential goods and services. The grants will be made on a one time basis, will not exceed \$100 per person and will be made directly to a vendor or through a gift card.

4. HOW DOES YOUR PROJECT COMPLEMENT OR DIFFER FROM SIMILAR COMMUNITY PROGRAMS?

The Pastoral Care Patient Basic Needs fund is different in that assistance is immediately available to meet inpatients needs at discharge or outpatients needs following a visit to Saint Mary's Hospital. For example, arrangements can be made for the patient before leaving the hospital for the return home for groceries, a warm sweat suit or sneakers to wear at discharge, heating fuel or a prescription. In 2004 the Raskob Foundation donated \$5,000 to the Patient Basic Needs fund and at this point the fund is depleted. We are respectfully requesting a grant from the Connecticut Community Foundation to replenish the fund and specifically assist elderly indigent women.

5. NEW APPLICANTS TO THE WATERBURY FOUNDATION ONLY: PLEASE DESCRIBE YOUR ORGANIZATION, ITS HISTORY, SERVICES AND CLIENT BASE.

This is not the first time St. Mary's Hospital has applied for funding from the Waterbury Foundation.

BUDGET

25 ELDERLY FEMALE PATIENTS @ \$100 PER GRANT= \$2,500 (An average figure is very hard to determine, because the cost of the basic needs vary widely)

**Connecticut Community Foundation
Grant Proposal Summary Sheet**

CONTACT INFORMATION

Name of Applicant Organization:

Address:

City: ST.: Zip Code:

Telephone: Fax: Email:

Chief Executive Name: Title:

Project Director Name: Title:

ORGANIZATION INFORMATION

Is your agency certified by the IRS as a 501(c)(3) or 501(c)(4) or are you a public institution? Yes X No

Year Organization Incorporated: _____ Organizational Budget for Current Fiscal Year: \$ 188 million

Staffing : 1630 # Of Full Time Staff: _____ # of Part Time Staff: _____

Revenue Sources:

_____ % Federal	<u>.15</u> % Grants (Foundation)	<u>.50</u> % Individual Gifts	<u>.35</u> % Special Event proceeds
<u>.35</u> % State	_____ % United Way	<u>.25</u> % Membership	
_____ % City/Municipal	<u>97</u> % Fees	<u>.75</u> % Investment Income	<u>.65</u> % Garage, cafeteria and rental income

Agency's services including geographic area and population served: Saint Mary's Hospital is an acute care hospital with five outpatient health care centers that provide dental, adult, pediatric and behavioral health services to the towns of Waterbury, Naugatuck, Prospect, Wolcott, Bethlehem, Cheshire, Watertown, Middlebury, Southbury and Woodbury.

PROPOSED PROJECT INFORMATION

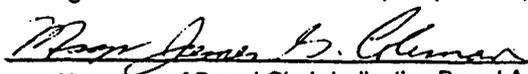
Project Name: Pastoral Care Patient Basic Needs fund

Brief Project Description: The Pastoral Care Patient Basic Needs fund would provide funding for basic needs such as food, clothing, heat, transportation, and/or medication for elderly indigent women. The grant would be given to these women following an inpatient stay or an outpatient visit, based on need, on a one time basis and not to exceed \$100.

Total Project Cost: \$2,500 1620



 Signature of President & CEO (or equivalent) /Date



 Signature of Board Chair Indicating Board Approval/Date

Robert P. Ritz
 Print Name & Title 10/14/05
Date

Monsignor James Coleman
 Print Name & Title Date

73 St. Mary's Hospital

12/15/2005 005421

750605	11/28/2005	Pastoral Care	Patient Basic Needs Fund		1,221.00
WHEE		Fannie B. Wheeler Fund		1,221.00	

CHECK TOTAL: \$*****1,221.00

RASKOB FOUNDATION FOR CATHOLIC ACTIVITIES, INC.

10 MONTCHANIN ROAD
P.O. BOX 4019
WILMINGTON, DELAWARE 19807

TELEPHONE (302) 655-4440
FAX (302) 655-3223

December 19, 2005

Sr. Patricia Corcoran, CSJ
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

ID's: 2004-T025762 & 2005-T080806 - Pastoral Care Services

Dear Sr. Patricia Corcoran:

As you are aware, due to the great volume of requests received, our Board was unable to authorize funding earlier this year for the above project. However, occasionally the Foundation has a small amount of discretionary funds to allocate at the request of its Members. We are pleased to inform you that - in the spirit of the Christmas Season and at the urging of 2 of our Members, Mrs. Patsy R. Bremer and Mr. John K. Howie - our Board has approved partial funding of your request, subject to the terms and requirements on the enclosed Agreement Form.

Contract. The Agreement Form serves as a legal and binding contract between the grant recipient and the Raskob Foundation. Please, review it carefully and indicate your acceptance by signing and returning one copy of the Agreement Form to us. A second copy is enclosed for your records.

Payment. The Foundation's check, as described below, is enclosed as 2nd-year funding of fuel, food, clothing, prescription medications and/or transportation for indigent patients served by the Pastoral Care Department.

Reporting Requirements. To satisfy our own auditors and the dictates of the U.S. Tax Reform Act regarding our expenditure responsibility, the Raskob Foundation must be able to establish that grant funds have been used for the purpose stipulated. Therefore, it is our Foundation's practice to require that grant recipients submit **1) a detailed expenditure report on the use of the grant funds and 2) a written evaluation of the program.** The expenditure report is *a specific accounting of how the Raskob grant funds were spent.* The written evaluation of the program, while also serving to validate that grant funds have been used for the proper purpose, is often an invaluable learning tool for both our Members and Board of Trustees. Submission of both reports is required, either upon completion of the program/project or within one (1) year of the receipt of the grant funds, whichever comes first. Because the Raskob Foundation makes grants nationally and internationally for diverse requests, we have chosen not to provide a standard reporting form but instead allow each grant recipient to submit the required reports in a format suitable to their individual situation.

Of Special Note. No portion of this grant may benefit or compensate any Member of the Raskob Foundation, their extended family or the Foundation staff in any way.

We appreciate your referring to the Project ID's above in any correspondence ... wish you every Christmas Blessing ... and thank you for the opportunity to be of assistance.

Sincerely,



Frederick J. Perella, Jr.
Executive Vice President

FJP/pmg

Enclosures & check #52605

Amount: \$2,500

Payable to: St. Mary's Hospital

PLEASE SIGN AND RETURN ONE COPY TO:
RASKOB FOUNDATION FOR CATHOLIC ACTIVITIES, INC.
PO BOX 4019
WILMINGTON, DELAWARE 19807-0019

PROJECT ID'S: 2004-T025762 & 2005-T080806

RECIPIENT: St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

AMOUNT: An outright grant of \$2,500. (Check enclosed.)

- CONDITIONS:
- 1) The Raskob Foundation has a stated policy of not accepting or funding applications for "reduction of debt" or which are "after-the-fact" at the time of its Board Meetings. Therefore, no part of this grant may be used as payment for/reimbursement of items purchased, services rendered, costs incurred or project components which had already taken place *prior to* the Foundation's Trustees Meeting on May 14, 2005.
 - 2) No portion of this grant may benefit or compensate any Member of the Raskob Foundation, their extended family or the Foundation staff in any way.

PURPOSE: As 2nd-year funding of prescription medications, fuel, food, clothing and/or transportation for indigent patients as part of the hospital's Pastoral Care Services.

- REPORTING REQUIREMENTS: For auditing and U.S. Tax Reform Act purposes, the Raskob Foundation must be able to establish proper use of all funds disbursed. This means that:
- a) Funds may be used solely for the purpose authorized by the Raskob Foundation's Board of Trustees (as stated above). Any deviation must receive prior approval of the RFCA Board.
 - b) A detailed report on the expenditure of the funds must be submitted and a project evaluation must be supplied upon completion of the project/program or within one (1) year of the receipt of grant funds, whichever comes first. Photos of the program in action, which we might reproduce for our Annual Report, are always appreciated.

Saint Mary's Hospital accepts the Foundation's offer under the above terms and conditions.
Organization
Sister Patricia Conroy Director Jan 31, 2006
Signature Title Date

VENDOR ID	NAME	PAYMENT NUMBER	CHECK DATE
TEMP00000000280	St Mary's Hospital	0000000000016517	12/1/2005

OUR VOUCHER NUMBER	YOUR VOUCHER NUMBER	DATE	AMOUNT	AMOUNT PAID	DISCOUNT	WRITE-OFF	NET
0000000000017088	2005-T080806	12/1/2005	\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$2,500.00
			\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$2,500.00

COMMENT 2005-T080806-095

Appeal Summary Report Volunteer Services Fund 1/1987 - 12/2010



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	3	\$0.00	\$4,500.00	\$3,250.00	\$0.00	\$7,750.00
3 Gift(s) listed							
3 Donor(s) listed							
and Totals:			\$0.00	\$4,500.00	\$3,250.00	\$0.00	\$7,750.00

G7

Fund L Annual Report Volunteer Services Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Raskob Foundation for Catholic PO Box 4019 Wilmington, DE 19807-0019 (302) 655-4440 ~	3/19/2010	Volunteer Services	\$0.00	\$0.00	\$2,000.00	for the Comfort Corner
Linford & Mildred White Found 777 Main St Hartford, CT 06115-2303 (860) 256-2131	12/31/2002	Volunteer Services	\$0.00	\$0.00	\$1,250.00	to help underwrite the cost of training volunteers
David, Helen & Marian Woodw PO Box 817 Watertown, CT 06795-0817 (860) 274-3218	6/9/2004	Volunteer Services	\$0.00	\$4,500.00	\$0.00	to purchase hand held pagers
Grand Totals:			\$0.00	\$4,500.00	\$3,250.00	

3 Gift(s) listed

3 Donor(s) listed

~ The phone is marked as 'Do not contact'

Harriet Sisbarro

From: Renee Warner
Sent: Tuesday, March 09, 2010 10:17 AM
To: Pat Evans; Lezlye Zupkus; Harriet Sisbarro
Cc: Peggy Lawlor
Subject: RE: Raskob grant

Harriet,
Put it to the Volunteer Fund.

Pat,
When funds are being requested from it, please use account 90-9600-960556.

hanks

Renee Perreault-Warner
Senior Accountant
Saint Mary's Hospital
6 Franklin Street
Waterbury, CT 06706
Phone: (203) 709-3054
Fax: (203) 709-5138

From: Pat Evans
Sent: Tuesday, March 09, 2010 9:43 AM
To: Lezlye Zupkus; Renee Warner; Harriet Sisbarro
Cc: Peggy Lawlor
Subject: RE: Raskob grant

I am not aware of a current fund that it can go into. At one time we had a volunteer services fund for restricted funds, but it was depleted and eliminated.

From: Lezlye Zupkus
Sent: Tuesday, March 09, 2010 9:30 AM
To: Pat Evans; Renee Warner; Harriet Sisbarro
Cc: Peggy Lawlor
Subject: RE: Raskob grant

Yes, it should be restricted. What fund can it go into without creating a fund of it's own?

Lezlye Zupkus
Director of Development
Saint Mary's Hospital Foundation
Franklin Street, Waterbury, CT
203-709-3761 Phone 203-709-3272 Fax
le.zupkus@stmh.org

For a gift to support Saint Mary's Hospital's mission, please contact Saint Mary's Hospital Foundation
203-709-6390. Thank you

48579265

REG 537
DISP 6785
OFF 6785
BR 211
CF-GEORGIA WB

Account MARIAN W OTTLEY-WATERTOWN FD WACHOVIA BANK, N.A.
Name: TRUSTEE UNDER WILL DTD 10/31/75

Account 3013000359
Number:

Date
05/18/04

00571 SAINT MARY'S HOSPITAL FOUNDATION, INC.

Remittance Amount	
Income	Principal
*****4,500.00	*****0.00

designated for ~~Communications~~ Volunteer Svs. for hand held pagers

For: CHARITABLE CONTRIBUTION

WACHOVIA

PLEASE FOLD AND DETACH AT PERFORATION BEFORE PRESENTING FOR PAYMENT

WACHOVIA

66-21
530

48579265

WACHOVIA BANK, N.A.
WEALTH MANAGEMENT GROUP
CHARLOTTE, NC 28288-1151
1-888-216-2308

Date
05/18/04

Amount
\$*****4,500.00

CHG Account No.
3013000359 OTTLEY-WATERTOWN

Pay: Four Thousand Five Hundred DOLLARS AND NO CENTS

To The Order Of: SAINT MARY'S HOSPITAL FOUNDATION, INC.

Wealth Management Group

Leon Mc Lennor
Authorized Signature

⑈48579265⑈ ⑆053000219⑆ 5046001042738⑈

designate for Volunteer Services Dept. Fund
12/23/02
SOURCE : 2902844
WHITE LINFORD & MILDRED FOUNDATIO

CHECK MAILED FOR

PAYMENT

GRANT

NON-NEGOTIABLE

GRANT TO UNDERWRITE ADULT/YOUTH VOLUNTEER TRAINING

FLEET BANK
HARTFORD, CT

CHECK MAILED TO

ST. MARY'S HOSPITAL FOUNDATION

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT PETER WESTON AT 860-952-7393



CHECK MAILED TO

CHECK MAILED FOR

NON-NEGOTIABLE



Columbia Management Group

A FleetBoston Financial Company

Peter Weston, CFSC
Vice President
Institutional Asset Management

Mail Stop: CTEH 40222C
777 Main Street
Hartford, CT 06115
860 952.7393 tel
800 841.4000 tel
860 952.7384 fax
peter_weston@fleet.com

December 19, 2002

Ms. Patricia A. Evans
Grant Manager
St. Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706

Re: The Linford C. and Mildred I. White Foundation

Dear Ms. Evans:

It is a pleasure to inform you that the Bank, as Trustee of The Linford C. and Mildred I. White Foundation, has approved a grant of \$1,250 to your organization to help underwrite the cost of training of adult leadership volunteers and youth volunteers, as outlined in your request dated November 6, 2002.

The following conditions are stipulated with reference to this grant:

1. That the funds shall be used only for the purpose specified.
2. That proper recognition be given to The Linford C. and Mildred I. White Foundation, Fleet National Bank, Trustee, in any publicity release made by your organization and in any promotional materials related to the grant.
3. That you provide the Trustee with a report outlining your success in securing matching funds and detailing the expenditure of funds from the amount granted.
4. That if it becomes impossible to use the money for the purpose designated, you shall report this to Fleet National Bank.
5. That the receipt, at any time by any charitable organization, of any sums from The Linford C. and Mildred I. White Foundation shall not give said organization any vested interest, whether legal or equitable, in said fund or the income thereof, nor raise any presumption of continued or renewed gifts.

Ms. Patricia A. Evans
December 19, 2002
Page Two

Please sign the enclosed copy of this letter, and return it to me in the enclosed envelope.

It is with great pleasure that we enclose a check in the amount of \$1,250 payable to St. Mary's Hospital Foundation. I am also enclosing a copy of our most recent guidelines for grant applicants.

Sincerely,



PW/

Enclosure

We hereby acknowledge that we have read the above letter and that we understand and will adhere to the terms and conditions of the grant.

St. Mary's Hospital Foundation

By: _____

Title: _____ Date: _____



Saint Mary's
HOSPITAL
Foundation

Restricted Funds Index

Fund	Section	Category	Binder
Asghar Rastegar Research	H1	Medical/Surgical Education	#3
Birthing Center	E1	Nursing Departments	#2
Board of Directors	I3	Special Funds	#3
Breast Center	F1	Clinical Departments	#2
Breast Health/American Cancer Society	I1	Special Funds	#3
Building	I4	Special Funds	#3
Carbonari	B1	CHC/FHC	#1
Cardiac Care	A2	Cardiology	#1
Cardiac Rehab	A1	Cardiology	#1
Charlotte Desmarais	J1	Scholarship Funds	#3
CHC Edwina Schlegel	B2	CHC/FHC	#1
CHC EKG	B3	CHC/FHC	#1
CHC Medical Home	B4	CHC/FHC	#1
CHC Touchpoints	B5	CHC/FHC	#1
CHC/FHC Operating	B6	CHC/FHC	#1
Child Asthma Prevention	B7	CHC/FHC	#1
Child Development Center	G1	Non-Clinical Departments	#2
Children's Behavioral Health	B8	CHC/FHC	#1
daVinci Robot	D1	Dept. of Surgery	#1
Dental	F2	Clinical Departments	#2
Department of Medicine	H2	Medical/Surgical Education	#3
Education	K1	Education Funds	#3
Emergency Dept./Renovations	C1	Emergency Dept.	#1
Employee Crisis	I5	Special Funds	#3
Endoscopy/Gastro	F3	Clinical Departments	#2
Enrichment Grant	I6	Special Funds	#3
Famiglietti	B16	CHC/FHC	#1
Family Rescue	B9	CHC/FHC	#1
FHC Education	B10	CHC/FHC	#1
FHC Hypertension	B11	CHC/FHC	#1
Finklestein Archival and Restoration	I10	Special Funds	#3
Fitness Center	G2	Non-Clinical Departments	#2
Freedom From Smoking/American Lung	I2	Special Funds	#3
George & Grace Long	B12	CHC/FHC	#1
Gorman Asthma	B13	CHC/FHC	#1
Healthcare/Nursing Scholarships	J2	Scholarship Funds	#3
Hoffman	I7	Special Funds	#3
Hospice Room	F5	Clinical Departments	#2
Information Services	G3	Non-Clinical Departments	#2
Intensive Care	E2	Nursing Departments	#2
J. Robert Anthony, M.D.	A4	Cardiology	#1
Library	G4	Non-Clinical Departments	#2
Lifeline	G5	Non-Clinical Departments	#2

Restricted Funds Index

Fund	Section	Category	Binder
Maternity/Womens & Infants	E3	Nursing Departments	#2
Medical Equipment	A5	Cardiology	#1
Medical/Nursing Education	H3	Medical/Surgical Education	#3
Mimi Rowe Scholarship	J3	Scholarship Funds	#3
Naugatuck Walk-In	F6	Clinical Departments	#2
Neonatal Unit	E4	Nursing Departments	#2
Nursing Education	K3	Education Funds	#3
O'Brien 7	E5	Nursing Departments	#2
Oncology	F4	Clinical Departments	#2
Oncology	K2	Education Funds	#3
Pastoral Care	G6	Non-Clinical Departments	#2
Pediatrics Memorials/Gala/Estates/DML	E6	Nursing Departments	#2
Pulmonary/Respiratory	F7	Clinical Departments	#2
Reach Out & Read	B14	CHC/FHC	#1
Residency Program	H4	Medical/Surgical Education	#3
Same Day Surgery	D2	Dept. of Surgery	#1
School of Nursing Alumni	J4	Scholarship Funds	#3
Sister Saint Joseph	I9	Special Funds	#3
Stanley J. Dudrick	H5	Medical/Surgical Education	#3
Surgical Services	D3	Dept. of Surgery	#1
Teen Grief	B15	CHC/FHC	#1
Telemetry/Herman Weisman	A3	Cardiology	#1
Volunteer Services	G7	Non-Clinical Departments	#2
WFW Mammography	I8	Special Funds	#3
William Finkelstein Fellowship	H6	Medical/Surgical Education	#3
Wound Care	F8	Clinical Departments	#2

Temporary Incurred Funds
Recommendations

Funds	1/31/2011	Acc #	Date	Initial balance	Cash Balance	Rec'	Source	Use of Funds	Authorized Sig.	OK Grant	NA
Cardiology											
A 1	Anthem Cardiac Rehab	960730	2-Sep	50,000	49,315		Anthem	Colon Cancer Education	Joe Connolly		
A 2	Cardiac Care Unit	960568	Sep-00	179,922	15,186		2005 Gala	Cardiac Care Unit	Dr Bob Anthony	X	
A 3	Cardiology/Telemetry	960502	Oct-89	55,670	55,670		Press Gilmore	Cardiology/Bob Anthony	Dr Anthony	X	
A 4	Herman Weisman	960593	1-Dec	4,901	1,401		In honor of Paul Kelly	Telemetry	Paul Kelly, MD	X	
A 5	J Robert Anthony, MD	960594	1-Jun	388,687	177,846		2006 Champ/Press Gilmore	Cardiology/Bob Anthony	Dr Bob Anthony	X	
A 6	Medical Equipment Fund	960538	Oct-90	10,000	10,000		Janet Weiss Matzkin Estate	Coronary Care department	Dr Paul Kelly	X	
A 7	Telemetry	960584	1-May	219,533	151,361		2007 Gala/Hoops for health	Cardiology/Bob Anthony	Dr Bob Anthony	X	
				908,713	460,779						
CHC/FHC											
B 1	Carbonari	960509	Oct-00	11,350	8,543		Estates/Gifts in honor \$39	Dr Carbonari /CHC	Dr Carbonari	X	
B 2	CHC Edwina Schlegel	960508	Nov-02	14,333	1,753		CHC Schlegel trust	Educational Toys	Dept Director	X	
B 3	CHC EKG		Apr-00	1,000	1,000		Conn Health Lawyers	Purchase EKG machine	Dept Director	X	
B 4	CHC Medical Home	960561	Apr-04	253,000	68,341		Noble Trust	Touchpoints Program	Pat Evans	X	
B 5	CHC Touchpoints	960512	Sep-02	10,000	13,302		Hoffman Foundation	SW Salaries/Operating	Dr Geertisma	X	
B 6	CHC/FHC Operating	960511	May-02	109,825	24,034		Variety	Asthma Programs	Mike Novak/Pat Evans	X	
B 7	Child Asthma Prevention	960503	Sep-97	80,000	31,581		Neighborhood Assistance	Asthma Programs	Dr Geertisma	X	
B 8	Children's Behavioral Health	960725	Oct-06	30,000	16,166		Grant	Behavioral screening/PEDS	Mike Novak	X	
B 9	Family Rescue	960513	Sep-02	1,420	35,479		Wine & Cheese reception	Families in Need	Mike Novak	X	
B 10	FHC Education Fund		May-00	4,500	1,815		Merek/Pfizer	Education Fund	Mike Novak	X	
B 11	FHC Hypertension	960528	Mar-01	9,210	6,069		Astrazeneca	Hypertension Education	Mike Novak	X	
B 12	George & Grace Long	960714	May-02	9,325	342		Well Child Visits	Well Child	Pat Evans	X	
B 13	Gorman Asthma Fund	960504	May-08	25,795	8,130		Jim Gorman/Estates	Asthma Programs	Dr Geertisma	X	
B 14	Reach Out and Read	960506	Aug-97	39,720	279		Anthem/TimeX/Knights	Purchase books	Pat Evans	X	
B 15	Teen Grief		Jun-09	8,000	5,834		J. Walton Bissel Foundation	Teen Grief Support Group	Pat Evans	X	
				607,468	222,668						
Emergency Department											
C 1	Emergency Department	960579	Dec-00	6,850	6,023		PJ Honorarium	Used for ED	Peter Jacoby	X	
C 2	Emergency D Renovations	960518	Dec-92	1,086,268	1,754		Federal Grant/Estate	ED Renovations	Peter Jacoby	X	
				-1,093,118	7,777						
Department of Surgery											
D 1	daVinci Robot		Jun-10	275,000	85,000	190,000	Cap Campaign/Special Appeal	Purchase Robot	Senior Management	X	
D 2	Same Day Surgery		May-08	1,050	1,050		Gift in Honor of Student	Dept of Surgery Needs	Senior Management	X	
D 3	Surgical Services	960548	Oct-09	304,490	252,840	51,650	Gala 2010/2011	Dept of Surgery Needs	Senior Management	X	
				580,540	338,890	241,650					
Nursing Departments											
E 1	Birthing Center	960562	Jan-03	9,296	1,694		Dr Albini Gift	Birthing Center Needs	Dr Albini	X	
E 2	Intensive Care	960553	Jan-89	2,797	165		Memorial Gifts	Unit Needs	Dept Director	X	

Temporary Incidental Funds
Recommendations

Funds	1/31/2011	Acc #	Date	Initial balance	Cash Balance	Rec'	Source	Use of Funds	Authorized Sig.	OK	Grant	NA
J 1	Famigletti Fund		Jun-01	3,750	2,797		Individual Gift	Edu Material/ROR/Commons	Anna Famigletti		X	X
J 2	Hoffman Fund	960729	Jan-06	1,200	1,081		Grants	Keep Kids Safer/Patient Posy	Pat Evans		X	
J 3	Oncology	960523	Mar-90	49,965	4,566		CCF Mary Brosnan Fund	Dept Needs/ Pain Mgmt	Mary Moschella		X	
J 4	Sister Saint Joseph Fund		Jun-08	37,949	5,153		Dinner of Champions 2008	Sisters of Saint Joseph	Sister Delores Lahr			X
J 5	WFW Mammography Fund	960581	May-08	65,000	56,839		GWFW Golf Tournament	Mammography for indigent Wo	Robin Silis/Peggy Lawlor			X
J 6	Wm Finklestein Restoration	960707	Jun-02	841,984	3,644		Grants	S of N and Hospital History	Ellen Sheehan		X	
					176,591	19,461						
Scholarship Funds												
K 1	Charlotte Demaris Fund	960589	Nov-07	16,100	8,708		Memorials	Graduate Nursing Scholarships	Scholarship Committee			X
K 2	Healthcare Scholarships	960565	May-04	55,203	13,722		Phonathon 2004	Nursing Continuing Education	Scholarship Committee			X
K 3	Mimi Rowe Scholarship		Feb-08	14,570	14,570		Memorials	Nursing Scholarship	Scholarship Committee			X
K 4	Nursing Scholarships	960541			20,730		Nursing Scholarships	Nursing Education	Scholarship Committee			X
K 5	School of Nursing Alumnae	960582			39,845		Transfer from Alumnae	Nursing Scholarships	S of N Alumnae			X
K 6	Sister Emerita Fund				15,536		Estate	Sacred Heart Student	Scholarship Committee			X
K 7	Sister St Catherine Alum		Jul-09	14,757	14,757		Memorial Donations	Nursing Education	S of N Alumnae			X
					127,868							
Education Funds												
L 1	Med/Nursing Educ fund	960536	Aug-95		4,264	2,000	Memorial	Medical Education Expenses	Chief of Medicine/Nursing		X	
L 2	Nursing Education		Dec-92		12,250		Memorial	Nursing Lectures	VP Nursing		X	
L 3	Endoscopy Fund	960537	Jan-92	3,825	670		Memorial	Staff Development	Dept Director			
L 4	Oncology Fund	960523	Mar-90	53,637	1,236							
					18,420	2,000						
					1,699,069	342,297						

Asghar Rastegar Research Fellowship Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2003	Corporations 2003	2	\$0.00	\$0.00	\$3,500.00	\$0.00	\$3,500.00
ind2003	Individuals 2003	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
maj2003	Major Gifts 2003	1	\$0.00	\$0.00	\$1,500.00	\$0.00	\$1,500.00
phys2003	Phys. Annual Appeal 2003	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
and Totals:			\$0.00	\$0.00	\$6,200.00	\$0.00	\$6,200.00

5 Gift(s) listed

5 Donor(s) listed

Name	Gift Amount	Gift Date	Appeal Description
Neurology & Hypertension Associate	\$1,500.00	10/15/2002	Corporations 2003
Majority Medical Association	\$2,000.00	10/15/2002	Corporations 2003
Mehdi Shirani	\$1,500.00	10/15/2002	Major Gifts 2003
Hassan Boroujerdi	\$1,000.00	11/25/2002	Phys. Annual Appeal 2003
Khosrow Nasr	\$200.00	11/29/2002	Individuals 2003

I would like to make a monetary contribution to the Asghar Rastegar Research Fellowship
in the amount of \$ 1500.00 Annually

8/9
6398
\$1500

Nephrology and Hypertension Assn. - 133 Scovill St.
Name DAVID ROER, Greg Buller, Sing Raiss Suite 304
Street Address 133 Scovill Street Suite 304
City WATERBURY State CT Zip Code 06706



Thank you very much for your generous donation.
Contributions are tax-deductible.
Please make checks payable to:
St. Mary's Hospital Foundation

Fund Summary Report 1/1/87 - 2/25/11
Dept. of Medicine & Medicine for Dr. Buller

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
medicine	Department of Medicine	34	\$0.00	\$0.00	\$17,020.00	\$0.00	\$17,020.00
MEDSimms	Dept. of Medicine @ discretio	10	\$0.00	\$0.00	\$14,929.28	\$0.00	\$14,929.28

and Totals:

			\$0.00	\$0.00	\$31,949.28	\$0.00	\$31,949.28
--	--	--	--------	--------	-------------	--------	-------------

44 Gift(s) listed

42 Donor(s) listed

2

H2

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Abbott Laboratories Courtney Jarrell 128 Woodside Avenue Waterbury, CT 06708	3/31/2003	Cash	CME Education	Corporations 2003	\$1,015.00	\$0.00	archived
AstraZeneca LP Pat Harris 50 Otis St Westborough, MA 01581-33 800-752-4282	12/17/2003	Cash	CME Education	Corporations 2004	\$2,200.00	\$0.00	archived
Total Cash:					\$2,200.00		
Aventis Pharmaceuticals, Inc. Bruce Forbes 12 Hattertown Rd Newtown, CT 06470-1813 800-321-0855, x8160	4/10/2003 2/9/2004 2/9/2004 1/16/2006	Cash Cash Cash Cash	CME Education CME Education CME Education CME Education	Corporations 2003 Corporations 2004 Corporations 2004 Corporations 2006	\$1,250.00 \$300.00 \$1,700.00 \$1,800.00	\$0.00 \$0.00 \$0.00 \$0.00	
Total Cash:					\$5,050.00		
Boehringer Ingelheim Pharma James Hannigan 142 Munn Rd Southbury, CT 06488-2431	3/31/2003	Cash	CME Education	Corporations 2003	\$3,250.00	\$0.00	\$ deposited into SMH account & archived
Total Cash:					\$3,250.00		
The Estate of Dr. William Fi Linda H. Andrew 45 Marney Dr Middlebury, CT 06762-2024	12/31/2004	Cash	CME Education	Estates 2005	\$75,000.00	\$0.00	one half designated for annual I
Total Cash:					\$75,000.00		

Giving History

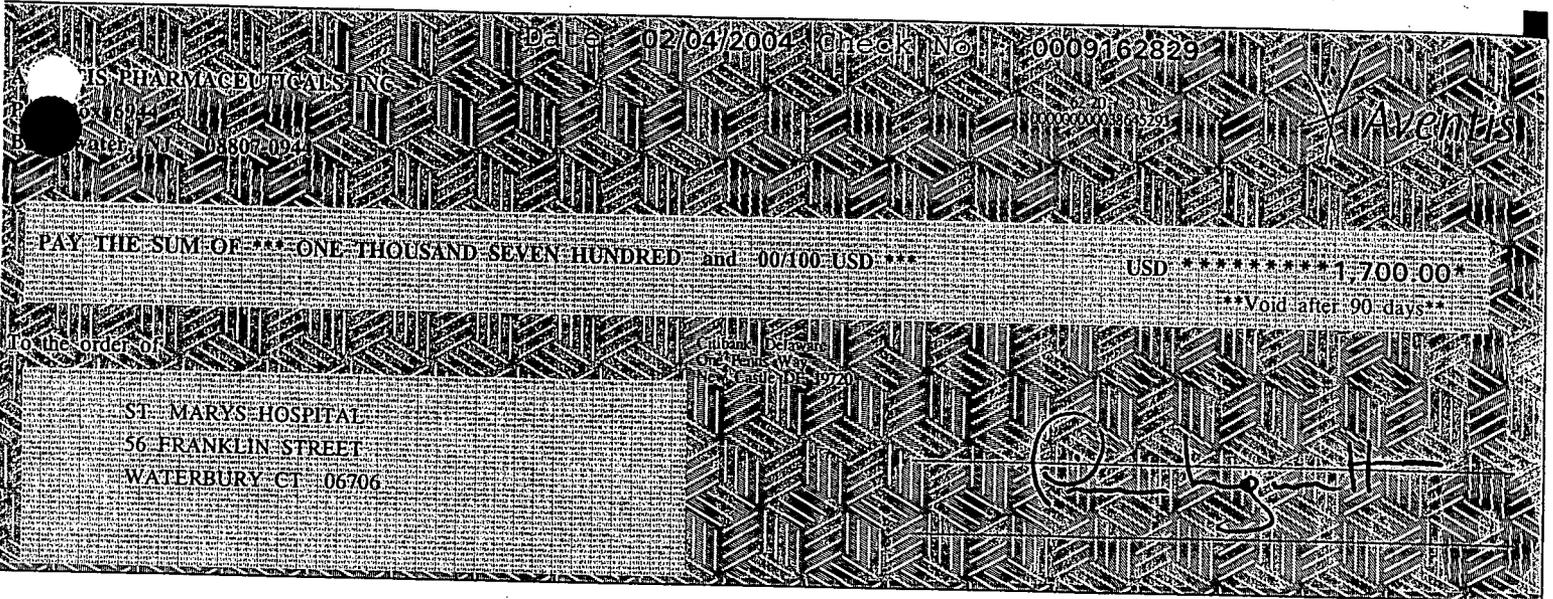
CME Education Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
GlaxoSmithKline Charles F. Kelly FP0825 P.O. Box 13681 Philadelphia, PA 19101-3681	12/24/2003 8/31/2004	Cash Cash	CME Education CME Education	Corporations 2004 Corporations 2004	\$1,265.00 \$1,265.00	\$0.00 \$0.00	<i>archived</i>
Total Cash:					\$2,530.00		
Guidant Dave Nardella 4100 Hamline Ave N Saint Paul, MN 55112-5700 (800) 227-3422	7/29/2005	Cash	CME Education	Corporations 2005	\$1,000.00	\$0.00	<i>archived</i>
Total Cash:					\$1,000.00		
The Harold Leever Regional C Deborah Parkinson 1075 Chase Pkwy Ste 1 Waterbury, CT 06708-2967 (203) 575-5564	3/31/2004	Cash	CME Education	Corporations 2004	\$250.00	\$0.00	
Total Cash:					\$250.00		
Lily Drug Company no address available	3/31/2003	Cash	CME Education	Corporations 2003	\$2,350.00	\$0.00	\$ deposited into SMH account & <i>archived</i>
Total Cash:					\$2,350.00		
Mr. John E. Mencio 116 Claxton Ave Watertown, CT 06795-1904 860-274-8429 ~	4/10/2003	Cash	CME Education	Individuals 2003	\$300.00	\$0.00	<i>archived</i>
Total Cash:					\$300.00		
Merck & Co., Inc. Carol A. Kachur 77 Ridgeland Dr Waterbury, CT 06708-1562	10/11/2001	Cash	CME Education	Corporations 2002	\$3,000.00	\$0.00	grant from Dr. Thomas Greco <i>archived</i>

Giving History
CME Education Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference	
Total Cash:								
Novartis Pharmaceuticals Co Holly Belenardo 360 Wiese Rd Cheshire, CT 06410-4199 (860) 286-0042	10/22/2003	Cash	CME Education	Corporations 2004	\$1,500.00	\$0.00	<i>archived</i>	
Total Cash:								
Pfizer, Inc. Michael Proulx 176 Sandy Hill Road Middlebury, CT 06762	12/16/2003 4/23/2004	Cash Cash	CME Education CME Education	Corporations 2004 Corporations 2004	\$1,765.00 \$1,965.00	\$0.00 \$0.00		
Total Cash:								
Roche Laboratories, Inc. Friends 340 Kingsland St Nutley, NJ 07110-1150 860-283-6671	12/16/2003 6/30/2004	Cash Cash	CME Education CME Education	Corporations 2004 Corporations 2004	\$3,000.00 \$1,500.00	\$0.00 \$0.00		
Total Cash:								
St. Mary's Medical & Dental S James G. Uberti 56 Franklin St Waterbury, CT 06706-1253	10/7/2004	Cash	CME Education	Corporations 2005	\$5,000.00	\$0.00		
Total Cash:								
Grand Total Cash:					\$110,675.00			

~ The phone is marked as 'Do not contact'



Date 02/04/2004 Check No 0009162829

PHARMACEUTICALS INC

13807-024

Avenue

PAY THE SUM OF ONE THOUSAND SEVEN HUNDRED and 00/100 USD

USD *****1,700.00*

Void after 90 days

To the order of

ST. MARYS HOSPITAL
56 FRANKLIN STREET
WATERBURY CT 06706

[Handwritten Signature]

⑈0009162829⑈

⑆031100209⑆

38645293⑈

*CME
deposit*

Date: 01/09/2004 Check No: 0009157266

S PHARMACEUTICAL CO

00000157266

Y Avenue

PAY THE SUM OF *** THREE HUNDRED and 00/100 USD ***

USD *****300.00*

Void after 90 days

To the order of

ST MARY'S HOSP
DEPT OF MED FUND
36 FRANKLIN ST
WATERBURY CT 06706

State of Delaware
Corporate Secretary

[Handwritten Signature]

⑈0009157266⑈ ⑆031100209⑆

eme
acet

CRIXIVAN
(indinavir sulfate)
Capsules

2010310111-12/02-CRA

Date 03/07/2003 Check No. 000907346

AY THE SUM OF *** ONE THOUSAND TWO HUNDRED FIFTY and 00/100 USD *** USD *****1,250.00**

Void after 90 days

ST. MARY'S HOSP
DEPT OF MED FUND
56 FRANKLIN ST
WATERBURY CT 06706

[Handwritten Signature]

⑈000907346⑈ ⑆031100209⑆ 38845293⑈



Date :
10/04/2001

Vendor:
10127724

Page :
1/ 1

Check No.:
0007252642

Reference/	Document	Text	Gross Amount	Discount	Net Amount	
10/04/2001	A1DDBBBTYZ	5300710443	H3 JACKIE BOYER/TERR A1DD	900.00	0.00	900.00



Jacqueline L. Boyer
Senior Professional Sales Associate

Aventis Pharmaceuticals

Mail Code: FS A1DD
300 Somerset Corporate Boulevard
Bridgewater, NJ 08807-2854
Vmail: 800 321 0855 Ext. 8573
Fax 203 757 0505
E-mail: jackie.boyer@aventis.com

*****900.00*

502578

Date 03/20/2001 Check No. 0007185844

AVENTIS PHARMACEUTICALS INC
P.O. Box 46944
Bridgewater, NJ 08807-0944

62287211
00000000000948861



PAY THE SUM OF *** ONE THOUSAND FIVE HUNDRED and 00/100 USD ***

USD *****1,500.00*

Void after 90 days

To the order of

ST MARY'S HOSP
DEPT OF MED FUND
56 FRANKLIN ST
WATERBURY CT 06706

Bank One, NA 0710
Chicago, IL
Payable through First USA Bank, NA

⑈0007185844⑈ ⑆031100283⑆ 09 48861⑈

Medicine @ disc. of Dr. Simms

fy
already
sent

Date 04/06/2001 Check No 0007191942

AVENTIS PHARMACEUTICALS INC
P.O. Box 6944
Bridgewater, NJ 08807-0944

0628 311
0000000000094886

Aventis

PAY THE SUM OF *** ONE THOUSAND and 00/100 USD ***

USD *****1,000.00*

Void after 90 days

To the order of

ST MARY'S HOSP
DEPT OF MED FUND
56 FRANKLIN ST
WATERBURY CT 06706

Bank One NA 0710
Chicago, IL
Payable through First USA Bank NA

[Handwritten Signature]

⑈0007191942⑈ ⑆031100283⑆ 09 48861⑈

Medicine Scholarship Fund

*Bruce Forbes
12 Hattertown Rd
Newtown, CT 06470*

*ty already
sent*

Date 08/22/2000 Check No 0007127426

AVENTIS PHARMACEUTICALS INC
P.O. Box 9790
Kansas City, MO 64134-0790

602211931
000000005486111

Aventis

PAY THE SUM OF *** EIGHT HUNDRED and 00/100 USD ***

USD *****800.00*

Void after 90 days

To the order of

Bank One, N.A. 0710
Chicago, IL
Payable through First USA Bank, N.A.

ST MARYS HOSP. FOUND.
56 FRANKLIN ST
WATERBURY CT 06706

[Handwritten Signature]

⑈0007127426⑈ ⑆031100283⑆ 09 48861⑈

Bank of America



Bank of America Private Bank
CT2-215-02-01
One Exchange Place
Waterbury, CT 06702

Tel 800.452.1203
Fax 203.465.1924

December 30, 2004

Saint Mary's Hospital Foundation
Ms. Harriette Sisbarro
Development Associate
56 Franklin Street
Waterbury, CT 06706

Dear Ms. Sisbarro:

Enclosed please find our check, which represents the bequest due your organization from Dr. William Finkelstein. Please refer to our letter of September 22, 2004 with enclosure for any specific language that directs you on how the funds are to be utilized.

We ask that you negotiate this check at your earliest convenience as it will act as a receipt for our records.

Should you have any questions please call me at 203 465-1750.

Sincerely,

A handwritten signature in cursive script that reads "Linda H. Andrew".

Linda H. Andrew
Relationship Analyst

LHA/s

Bank of America



0015262910
VOID AFTER 180 DAYS

NO. 4250370

051-57
1119

DR WILLIAM FINKELSTEIN

DATE	AMOUNT
	\$ 75,000.00

PAY

SEVENTY-FIVE THOUSAND DOLLARS AND NO/100

TO THE ORDER OF

ST MARYS HOSPITAL FOUNDATION INC
56 FRANKLIN STREET
WATERBURY CT 06706

FLEET NATIONAL BANK
HARTFORD CT

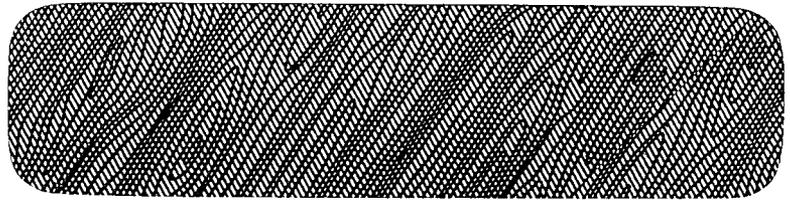
Mary E. Vogan

SIGNATURE HAS A COLORED BACKGROUND. BORDER CONTAINS MICROPRINTING.

⑈ 4 250370 ⑈ ⑆ 0 1 190057 1 ⑆

986476074⑈

*Joseph Curran Jr
deposits
into the
CME
acct*



Saint Mary's
HOSPITAL
Your Regional Health Care Center
56 Franklin Street • Waterbury • Connecticut 06706

ST. MARYS HOSP. MEDICAL AND DENTAL
STAFF BELL ACCOUNT
56 FRANKLIN ST.
WATERBURY, CT 06706

PAY TO THE ORDER OF St. Mary's Hospital CME Fund

\$ 5,000.00

Five Thousand and no/100--

BANK OF BOSTON 0401
CONNECTICUT

FOR *[Signature]*

DATE Sept. 30, 2004

51-80/111

100367

100367 11 100367 11 100805 530 2301 211

The Harold LEEVER
Regional Cancer Center, Inc.
1075 Chase Parkway
Waterbury, CT 06708
(203) 575-5555

WEBSTER BANK
Waterbury, CT 06708
51-7010/2111

4162

2/27/2004

PAY TO THE
ORDER OF St. Mary's Hospital

\$ **250.00

Two Hundred Fifty and 00/100*****

DOLLARS

St. Mary's Hospital
Attn: Cashier Office
56 Franklin Street
Waterbury, CT 06702



MEMO CANCER

⑈004162⑈ ⑆211170101⑆ 887 3903⑈

Deposit
CME



NY01003041068

Pfizer Inc
New York, NY 10017-5755 66-49
531

Bank & Trust Co. N.A.
For NC

Mo Day Year

05 15 85

Pay Exactly: One Thousand Two Hundred Sixty-Five and NO/100 Dollars

Amount ***** 1265.00

Pfizer Inc

To the Order of
0000118798
003041068

ST. MARY'S HOSPITAL
CME PROGRAMS
56 FRANKLIN STREET
WATERBURY CT 06706

Richard A. Parrin

Authorized Corporate Signatory

⑈003041068⑈ ⑆053100494⑆013569 069491⑈

CME program



NY01003320496

Pfizer Inc
New York, NY 10017-5755
66-49
531

Wachovia Bank & Trust Co. N.A.
Greensboro, NC

Mo	Day	Year	
11	21	03	Pay Exactly One Thousand Seven Hundred Sixty-Five and NO/100 Dollars

Amount *****1,765.00

Pfizer Inc

To the Order of ST. MARY'S HOSPITAL
 0000118798 CME PROGRAMS
 003320496 56 FRANKLIN STREET
 WATERBURY CT 06706

Richard A. Parrin
 Authorized Corporate Signatory

⑈003320496⑈ ⑆053100494⑆ ⑆013569 069491⑈



Fleet

Private Clients Group

1 Exchange Place
Waterbury, CT 06702
800 452.1203 tel

September 22, 2004

Saint Mary's Hospital Foundation
Harriette Sisbarro, Development Associate
56 Franklin Street
Waterbury, CT 06706

RE: A/c # 0015262910 William Finkelstein

Dear Ms. Sisbarro:

Fleet National Bank serves as Trustee of the William Finkelstein trust. Recently, Dr. Finkelstein passed away. At this time, the document directs us to pay a bequest of \$75,000 to Saint Mary's Hospital.

Enclosed you will find a photocopy of excerpts from the document that pertain to your organization providing details of how the funds are to be utilized, as well as the dollar amount of your bequest.

Please complete the enclosed W-9 form, provide evidence that your organization held the name mentioned above, and submit a copy of your federal tax exemption letter. I have enclosed a return envelope for your convenience.

When all the legatees have responded, we will make distribution by mailing a check to the above address. If you have any questions, please contact me at 203-465-1750.

Sincerely,

A handwritten signature in cursive script that reads "Linda H. Andrew".

Linda H. Andrew
Senior Associate

LHA/s

11. I give to ST. MARY'S HOSPITAL of Waterbury, Connecticut, the sum of Twenty Thousand (\$20,000.00) Dollars, and I direct that the income therefrom shall be used for a twofold purpose.

The same shall be known as "The Dr. William Finkelstein Lectureship and Continuing Education Fund". One half of the annual income therefrom shall be used for an annual lecture or lectures in the field of medicine, the same to be known as "The Dr. William Finkelstein Lectures", and I further direct that the subject of said lectures and speakers delivering the same shall be chosen annually by a committee consisting of the Administrator of the hospital, the Chief of Staff and the Chief of Medicine of said hospital. The said committee shall determine whether any or all of the said lectures shall be only for members of the medical profession or for the general public or both. The other one half of the annual income shall be used to pay for the costs of medical conferences and conventions for continuing education for the House Staff, to be awarded by the abovementioned committee.

2. Paragraph 11 of Article two of the Trust Agreement dated November 23, 1994 is amended as follows:

"11. I give to ST. MARY'S HOSPITAL of Waterbury, Connecticut, the sum of Fifty Thousand (\$50,000.00) Dollars, and I direct that the income therefrom shall be used for a twofold purpose. The same shall be known as "The Dr. William Finkelstein Lectureship and Continuing Education Fund". One half of the annual income therefrom shall be used for an annual lecture or lectures in the field of medicine, the same to be known as "The Dr. William Finkelstein Lectures", and I further direct that the subject of said lectures and speakers delivering the same shall be chosen annually by a committee consisting of the Administrator of the hospital, the Chief of Staff and the Chief of Medicine of said hospital. The said committee shall determine whether any or all of the said lectures shall be only for members of the medical profession or for the general public or both. The other one half of the annual income shall be used to pay for the costs of medical conferences and conventions for continuing education for the House Staff, to be awarded by the above mentioned committee."

4. Paragraph 11 of Article Two of the Trust Agreement dated November 23,

1994, which was amended by Section 2 of the Third Amendment to Trust Agreement dated February 23, 1999, is further amended only to the extent to increase the amount of the gift therein to St. Mary's Hospital from Fifty Thousand (\$50,000.00) Dollars to Seventy-Five Thousand (\$75,000.00) Dollars.

DOCUMENT NUMBER	INVOICE NUMBER	PURCHASE ORDER	INVOICE DATE	AMOUNT	DISCOUNT	NET
33634	100041643		06/07/2004	1,500.00	0.00	1,500.00
Gregory Buller/Roche Rep. MICHAEL KLIGERMAN			06/0			
TOTALS				1,500.00	0.00	1,500.00

Roche Laboratories Inc. is pleased to sponsor your programs. Any questions please call your Roche Representative at 1-800-527-6243.

Canclusas
(caspofungin acetate) IV

1111 1111 1111 1111

Mike Kligerman
Roche Labs
171 Roxbury Rd
Plantsville, CT
06479

Deposit
into
CME

Dept. of Medicine **HA**

Roche Laboratories Inc.
Nutley, NJ 07110 (973-235-3293)

Check No: 71335940
VENDOR 24960741

DOCUMENT NUMBER	INVOICE NUMBER	PURCHASE ORDER	INVOICE DATE	AMOUNT	DISCOUNT	NET
1921710601	1160-1		08/24/2007	5,000.00	0.00	5,000.00
Gregory Buller; The effects of NASH on t						
			TOTALS	5,000.00	0.00	5,000.00

Roche Laboratories Inc. is pleased to
provide these programs. Any questions please call
Representative at 1-800-527-6243.

Marilyn,
for deposit into
Dept Mail Buller fund.
Thank you
Heidi

Peggy Lawlor

From: Harriet Sisbarro
Sent: Friday, September 28, 2007 12:23 PM
To: Peggy Lawlor
Subject: Dr. Roer/Dr. Aquavia's Board gift

As you know, the check in the amount of \$2,500, signed by MaryBeth, says in the memo part that it's restricted to Medical Quality Dept. I don't know what that means, and in speaking with Renee about it, she said to talk to you about this. If we put it to the Department of Medicine Fund, the signer is Dr. Buller (I believe), and she thinks it would be a conflict of interest. I also don't want Lee Aronin (or any requestor for any checks) to think that's part of their fund. I can put anything in the thank you letter, but do you think I should e-mail Dr. Roer and ask if he wanted it restricted for anything specific?

Harriet Sisbarro
Foundation Analyst
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706
Work: (203) 709-6391
Fax: (203) 709-3272
hsisbarro@stmh.org

*look up Julia Fund
under his chairmanship*

brd gift

MARY L. AQUAVIA, M.D.
DAVID ALAN ROER, M.D.
80 GLENEAGLE RD.
MIDDLEBURY, CT 06762

51-309 520
111
02956538

4008

DATE 9/24/07

PAY TO THE ORDER OF

St Mary's Hospital Foundation \$2,500.00
Two thousand five hundred and 00/100 DOLLARS



Banknorth

Restricted to:

MEMO *Medical Quality Dept*

Middlebury

⑆011103093⑆ 02956538⑆

4008

And Annual Appeal



Saint Mary's Hospital
Foundation, Inc.

November 15, 1999

Dr. and Mrs. Paul A. Sirop
3 Mayfield Road
Waterbury, CT 06708

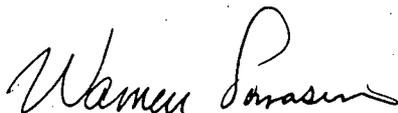
Dear Dr. and Mrs. Sirop,

It is with sincere gratitude that we acknowledge your generous contribution of \$1,000 specifically designated for the Department of Medicine for the use of medical journals and books for the Internal Medical Program. This gift also qualifies you for membership in the Physician's Society giving Level as part of the Physician Annual Appeal.

I'm sorry for the initial misdirection of the above mentioned gift. Your check reached us from Sister Marguerite and it was included with other memorial donations for her father. We have made the correction on our records.

On behalf of the entire St. Mary's Hospital "family", we offer you our thanks. Your gift will make a difference in health care in our region.

Sincerely,



Warren G. Sarasin
Executive Director

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

1674

DR. & MRS. PAUL A. SIROP
3 Mayfield Road
Waterbury, Conn. 06708-3207

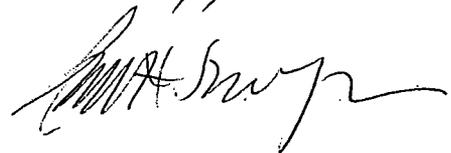
Dear Mr. Sarasin,

This is to correct my donations
to the Sr M Hosp Foundation. They should
read as follows:

1. At the death of Mr F. Waite \$100⁰⁰/₁₀₀
2. At the death of Mrs Ruth Waite 100⁰⁰/₁₀₀
3. Annual giving 1999 \$1000⁰⁰/₁₀₀ designated
for the Dept of Medicine, to be used
for journals & books (medical) for
The Internal Medical Program.

Thank you.

Sincerely,





Webster Bank, N.A.
City Place II
185 Asylum Street, 5th Floor
Hartford, CT 06103-3494

Harriet deposit book
Please ~~do~~ not send
but are transferred
These are transferred
being out from
APR of medicine.
APR

St. Mary's Hospital

M.O. # 2679902
dtd 5/6/08
\$ 45,412.26

100453

S. MARYS HOSP. MEDICAL AND DENTAL
STAFF BELL ACCOUNT
56 FRANKLIN ST.
WATERBURY, CT 06706

51-80/111

DATE 12/16/08

PAY TO THE ORDER OF

SMH Research Day

\$ 1500.00

One thousand five hundred & 00/100

DOLLARS  Security features located on back.



BANK OF BOSTON 0401
CONNECTICUT

FOR _____

⑈ 100453 ⑈ ⑆ 01110

D Mail

Discretionary
Fund

CR # 100453
12/16/08
\$ 1,500.00

H3

Appeal Summary Report
Fred & Gladys Warring Lecture Fund 1/1987-12/2010



Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

est95	Estates 1995	1	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
-------	--------------	---	--------	-------------	--------	--------	-------------

and Totals:

			\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
--	--	--	--------	-------------	--------	--------	-------------

1 Gift(s) listed

1 Donor(s) listed

LAW OFFICES
STURGES & MATHES

GEORGE R. STURGES (1908-1967)

CURTIS MCGANN
ROBERT J. BARRY†
PETER M. CLARK*

†ALSO ADMITTED IN MASSACHUSETTS
*ALSO ADMITTED IN NEW YORK

HERITAGE VILLAGE

P. O. BOX 808

SOUTHBURY, CONNECTICUT 06488

(203) 264-8225

TELECOPIER (203) 262-6542

CARLETON K. MATHES
COUNSEL

WOODBURY OFFICE
244 MAIN STREET, S
P. O. BOX 487

WOODBURY, CT 06798
(203) 263-2131

August 17, 1995

St. Mary's Hospital
57 Franklin Street
Waterbury, CT. 06702
Att: Gifting

1074
8/17
\$50,000-

RE: ESTATE OF GLADYS C. WARRING

Dear Sir or Madam:

Enclosed is a check payable to St. Mary's Hospital in the amount of \$50,000.00 from the Estate of Gladys C. Warring.

This check represents a specific bequest under Article Fourth of the Last Will and Testament for the annual income to be applied towards education of the medical and nursing staff of St. Mary's Hospital.

Also enclosed is an Acknowledgement for your signature. Kindly sign and return in the addressed envelope.

If you have any questions, please do not hesitate to call me.

Very truly yours,


Robert J. Barry, Esq.

Enclosures:

THE ESTATE OF GLADYS C. WARRING
ROBERT J. BARRY, EXECUTOR
C.P. NOEL MCCARTHY, EXECUTOR
PO BOX 808
SOUTHBURY, CT 06488

51-80/111 927

1074

Aug. 14, 19 95

PAY TO THE
ORDER OF

St. Mary's Hospital

\$ 50,000.00

Fifty thousand and 00/100

DOLLARS



BANK OF BOSTON

CONNECTICUT

FOR Request/Art. Fourth (G)

Robert J. Barry

⑆01100805⑆ 947 47937⑈ 1074

CHARLAND 1993

**Fund Summary Report
Residency Programs Fund**

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
residency	Residency Programs	504	\$0.00	\$190,853.00	\$28,087.63	\$31,990.00	\$250,930.63

and Totals:

504 Gift(s) listed \$0.00 \$190,853.00 \$28,087.63 \$31,990.00 \$250,930.63

421 Donor(s) listed

Appeal Summary Report Residency Programs Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auc97	Cash Auc. Dona. '97	212	\$0.00	\$119,160.00	\$3,500.00	\$0.00	\$122,660.00
aut97	Auction Items 1997	44	\$0.00	\$0.00	\$0.00	\$31,990.00	\$31,990.00
ausa97	Auction Item Sales 1997	25	\$0.00	\$19,275.00	\$0.00	\$0.00	\$19,275.00
bask97	Basket Item Ticket Sales	43	\$0.00	\$8,590.00	\$0.00	\$0.00	\$8,590.00
cari97	Caricatures & Silhouettes 199	1	\$0.00	\$160.00	\$0.00	\$0.00	\$160.00
cd97	CD Sales 1997	2	\$0.00	\$330.00	\$0.00	\$0.00	\$330.00
cor2003	Corporations 2003	1	\$0.00	\$0.00	\$1,500.00	\$0.00	\$1,500.00
cor2005	Corporations 2005	3	\$0.00	\$0.00	\$3,500.00	\$0.00	\$3,500.00
cor2007	Corporations 2007	1	\$0.00	\$0.00	\$1,500.00	\$0.00	\$1,500.00
dona97	Donations for 1997 dinner/au	1	\$0.00	\$305.00	\$0.00	\$0.00	\$305.00
grant	Grant	1	\$0.00	\$3,773.00	\$0.00	\$0.00	\$3,773.00
interest0102	Interest on Principal 2002	1	\$0.00	\$0.00	\$14,305.00	\$0.00	\$14,305.00
interest0104	Interest for 2004	1	\$0.00	\$0.00	\$2,802.77	\$0.00	\$2,802.77
keys97	Key Sales 1997	19	\$0.00	\$3,520.00	\$0.00	\$0.00	\$3,520.00
maj98	Major Gifts 1998	1	\$0.00	\$0.00	\$279.86	\$0.00	\$279.86
wnd97	Gala '97 Ticket Sales	148	\$0.00	\$35,740.00	\$700.00	\$0.00	\$36,440.00
and Totals:					\$28,087.63	\$31,990.00	\$250,930.63

504 Gift(s) listed

421 Donor(s) listed

H4

THE DAPHNE SEYBOLT CULPEPER
MEMORIAL FOUNDATION, INC.

P.O. BOX 206
NORWALK, CT 06852-0206

4730

DATE APRIL 30, 2007

1-2 431
210

PAY
TO THE
ORDER OF

SAINT MARY'S HOSPITAL FOUNDATION, INC.

ONE THOUSAND AND 00/100

\$ 1,000.00

DOLLARS  Security Features
Included
Details on Back.



JPMorgan Chase Bank, N.A.
New York, New York 10017

FOR GRANT

Residency Fund

J. Mars

Redys Ed

⑈004730⑈ ⑆02100002⑆ ⑆967015385⑆

THE DAPHNE SEYBOLT CULPEPER MEMORIAL FOUNDATION, INC.

P.O.Box 206

NORWALK, CT 06852-0206

TELEPHONE: 203-762-3984

April 30, 2007

Patricia A. Evans, Grant Manager
Saint Mary's Hospital Foundation, Inc
56 Franklin St
Waterbury CT 06706

Dear Ms. Evans:

We have your request for funds to purchase six Alaris chairs for a resident's study area.

Our Board considered your request and approved a grant of \$1,000 for that purpose.

Enclosed is our Foundation's check for \$1,000 in full payment of the grant.

We ask that you send us an acknowledgment of its receipt and state that no goods or services were provided in exchange for this grant.

Sincerely,



Nicholas J. Nardi
Director

enc/1

⊕

Saint Mary's HOSPITAL

March 20, 2007

Mr. Nicholas J. Nardi
The Daphne Seybolt Culpeper Memorial Foundation, Inc.
PO Box 206
Norwalk, CT 06852

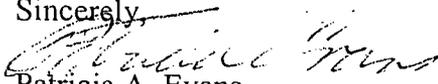
Dear Mr. Nardi:

Saint Mary's Hospital is a not for profit, 347-bed acute-care teaching hospital affiliated with Yale University School of Medicine. Annually the hospital accepts approximately 50 residents in its medicine, surgery and dentistry programs. Since these residents work extended shifts at the hospital they need to have a place to study when they have breaks from their clinical (positions) assignments. Currently the medical library has a study area and computer lab, however; the area is made up of individual study cubicles with hard wooden, straight and uncomfortable chairs. In addition, there are times when group study would be helpful, but it is prohibited because of the lack of an appropriate space and the noise level it would create.

The library has a small area that can be used for study and accommodate up to six residents at a time, but this area needs to be furnished with comfortable chairs that can be used with an existing table. Given the hospital's current financial condition there are not funds available for these comfortable, but institutional quality chairs (See the attached supplier quote). Saint Mary's Hospital respectfully requests \$1,000 from the Daphne Seybolt Culpeper Memorial Foundation, Inc to purchase six Alaris chairs for a resident study area. The chairs would be identified as purchased through a grant from the Culpeper Foundation.

Saint Mary's Hospital appreciated the ongoing philanthropic support from the Culpeper Foundation, which has enabled us to fulfill our Mission of providing excellent healthcare in a spiritually enrich environment. If you have any questions, please feel free to call me at (203) 709-3270 or e-mail me at pevans@stmh.org. Thank you.

Sincerely,


Patricia A. Evans

Grant Manager

56 Franklin Street
Waterbury, Connecticut 06706
(203) 709-6000

An Affiliated Hospital of Yale University School of Medicine



X H4

Office of the President
SISTER MARGUERITE WAITE, C.S.J.

56 Franklin Street • Waterbury • Connecticut 06706 • (203) 574-6000

January 31, 1997

Dear Friends of St. Mary's Hospital,

As President of St. Mary's and on behalf of the Hospital Foundation, it is my great pleasure to welcome you here this evening. This sixth annual gala - Salutations! - is our opportunity to celebrate our astounding accomplishments and the extraordinary people who make them possible.

It is so fitting that tonight's Salute to Physicians will support the Hospital's residency programs. The root meaning of the word "doctor," of course, is "teacher," and having a vigorous and vital program of graduate medical education in our midst means that every one of our staff must be a teacher, a mentor, a counselor.

But the more than 100 young men and women who are completing their formal training here at St. Mary's are also teachers. Armed with the latest advances from medical school, they bring with them the contagious enthusiasm of the young. They challenge us to be open to new ideas and fresh approaches. And they remind us, no matter what our age or experience, of why we chose this important and holy work in the first place.

I thank you on behalf of the young doctors who will benefit from these programs. Their training will be immeasurably enriched because of your generosity. I thank you for St. Mary's Hospital. Ninety years after its creation, this sanctuary of healing and hope continues to fulfill its historic mission because of benefactors like you. And we do it better and better every year. And finally, and most importantly, I thank you on behalf of the countless individuals whose lives you have helped make whole. Because you care, people all over our region can continue to count on St. Mary's for competence and compassion, with a medical staff that is second to none in the nation.

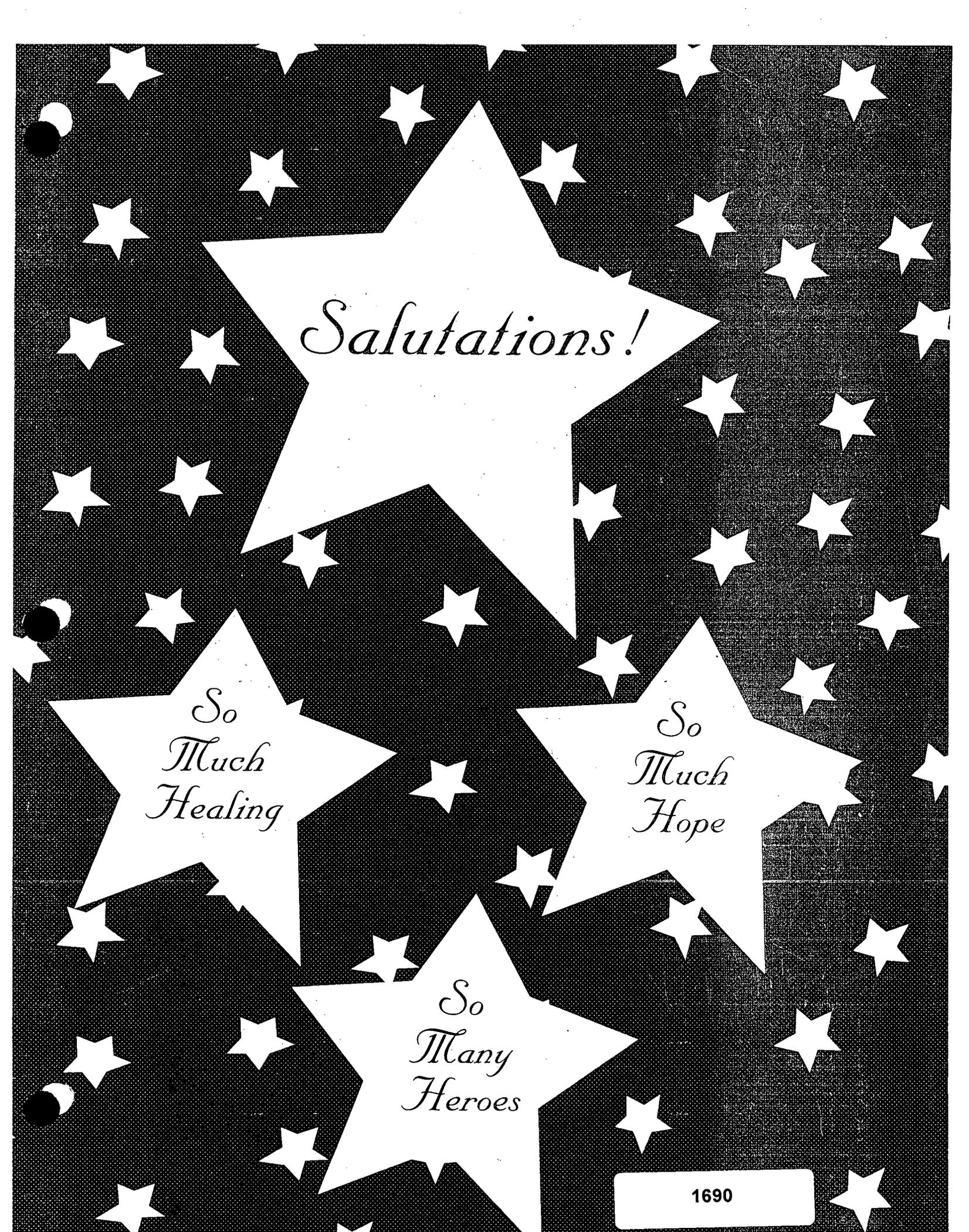
Have a wonderful evening, and God bless you all.

With gratitude and much affection,

Sister Marguerite Waite

Sister Marguerite Waite, C.S.J.
President/CEO

No invitation
found -
archived



Salutations!

*So
Much
Healing*

*So
Much
Hope*

*So
Many
Heroes*

Appeal Summary Report

Stanley J. Dudrick, M.D., Neurological Educa & Research

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2007	Corporations 2007	2	\$0.00	\$0.00	\$275.00	\$0.00	\$275.00
dml0207	Direct Mail Piece Feb., 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml0608	Direct Mail Piece June, 2008	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml0809	Direct Mail Piece August, 200	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml1106	Direct Mail Piece Nov., 2006	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dudrick	The Stanley Dudrick Appeal	162	\$0.00	\$7,000.00	\$59,251.00	\$0.00	\$66,251.00
hitsteamraiser07	Hits for Healthcare Team Func	1	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
ind2007	Unsolicited 2007	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem2006	Remembrance 2006	4	\$0.00	\$0.00	\$155.00	\$0.00	\$155.00
ph2003	Phonathon 2003	1	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00
phys2007	Phys. Annual Appeal 2007	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
and Totals:			\$0.00	\$7,000.00	\$62,811.00	\$0.00	\$69,811.00

177 Gift(s) listed

168 Donor(s) listed

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
J. Alexander Palesty	\$500.00	12/13/2002	The Stanley Dudrick Appeal	
Dale Frey	\$1,000.00	12/26/2002	The Stanley Dudrick Appeal	
John Martin	\$5,000.00	12/26/2002	The Stanley Dudrick Appeal	
David Klinges	\$25.00	12/27/2002	The Stanley Dudrick Appeal	
Donald Ziegler	\$250.00	12/27/2002	The Stanley Dudrick Appeal	
Frederick Greene	\$50.00	12/31/2002	The Stanley Dudrick Appeal	
Anthony Kreisel	\$250.00	12/31/2002	The Stanley Dudrick Appeal	
Richard D. Fairman	\$25.00	12/31/2002	The Stanley Dudrick Appeal	
David T. Guernsey	\$200.00	12/31/2002	The Stanley Dudrick Appeal	
Cheryl A. Carleton	\$500.00	12/31/2002	The Stanley Dudrick Appeal	
Dale Frey	\$1,000.00	12/31/2002	The Stanley Dudrick Appeal	
John A. Palesty	\$500.00	12/31/2002	The Stanley Dudrick Appeal	
Susan L. Washburn	\$100.00	12/31/2002	The Stanley Dudrick Appeal	
Caroline Nunan	\$500.00	12/31/2002	The Stanley Dudrick Appeal	
Leonard Klehr	\$200.00	12/31/2002	The Stanley Dudrick Appeal	
Aaron Martin	\$5,000.00	12/31/2002	The Stanley Dudrick Appeal	
Karl W. Poorbaugh	\$500.00	12/31/2002	The Stanley Dudrick Appeal	
Ronald Jones	\$250.00	12/31/2002	The Stanley Dudrick Appeal	
Robert E. McAfee	\$100.00	1/17/2003	The Stanley Dudrick Appeal	
Frank W. LoGerfo	\$100.00	1/17/2003	The Stanley Dudrick Appeal	
W. Thomas Powell	\$1,000.00	2/12/2003	The Stanley Dudrick Appeal	
Tim Flynn	\$250.00	2/12/2003	The Stanley Dudrick Appeal	
Tim Eberlein	\$50.00	2/12/2003	The Stanley Dudrick Appeal	
Robert Israel	\$100.00	2/12/2003	The Stanley Dudrick Appeal	
M. William Schwartz	\$100.00	2/12/2003	The Stanley Dudrick Appeal	
Richard B. Wait	\$100.00	2/12/2003	The Stanley Dudrick Appeal	
Carl J. Yoder	\$100.00	2/13/2003	The Stanley Dudrick Appeal	
David T. Miller	\$100.00	2/19/2003	The Stanley Dudrick Appeal	
Carl Group, LLC	\$100.00	2/19/2003	The Stanley Dudrick Appeal	
Clyde Barker	\$100.00	2/26/2003	The Stanley Dudrick Appeal	
Daniel Dempsey	\$100.00	2/26/2003	The Stanley Dudrick Appeal	
Gary Bertz	\$10.00	2/26/2003	The Stanley Dudrick Appeal	
Cliff Deveney	\$200.00	2/26/2003	The Stanley Dudrick Appeal	
Edward M. Copeland	\$100.00	2/26/2003	The Stanley Dudrick Appeal	
William W. Duke	\$100.00	3/13/2003	The Stanley Dudrick Appeal	
Robert Carleton	\$500.00	3/13/2003	The Stanley Dudrick Appeal	
Irwin R. Berman	\$100.00	3/13/2003	The Stanley Dudrick Appeal	
Robert Tancredi	\$100.00	3/13/2003	The Stanley Dudrick Appeal	
Webster	\$1,000.00	3/13/2003	The Stanley Dudrick Appeal	
Gerard V. Aranha	\$100.00	3/13/2003	The Stanley Dudrick Appeal	
Franklin & Marshall College	\$1,000.00	3/13/2003	The Stanley Dudrick Appeal	
Rayford Scott Jones	\$1,000.00	3/13/2003	The Stanley Dudrick Appeal	
Roger L. Burtner	\$50.00	3/13/2003	The Stanley Dudrick Appeal	
Lee Bossert	\$50.00	3/21/2003	The Stanley Dudrick Appeal	
William R. Drucker	\$200.00	3/21/2003	The Stanley Dudrick Appeal	
Isidore Cohn	\$100.00	3/21/2003	The Stanley Dudrick Appeal	
E. D. Martin	\$50.00	3/21/2003	The Stanley Dudrick Appeal	
Gary G. Nicholas	\$100.00	3/21/2003	The Stanley Dudrick Appeal	
J. Alexander Palesty	\$50.00	3/21/2003	The Stanley Dudrick Appeal	
William H. Sowers	\$100.00	3/21/2003	The Stanley Dudrick Appeal	
Zebulon S. Robbins	\$50.00	3/21/2003	The Stanley Dudrick Appeal	
Samuel R. Schuster	\$100.00	3/21/2003	The Stanley Dudrick Appeal	
Webster	\$1,000.00	3/21/2003	The Stanley Dudrick Appeal	
Daun Medical, Inc.	\$2,000.00	3/21/2003	The Stanley Dudrick Appeal	
Patricia J. Numann	\$50.00	3/21/2003	The Stanley Dudrick Appeal	
Michael Meguid	\$300.00	3/21/2003	The Stanley Dudrick Appeal	
Asheesh Dewan	\$101.00	3/21/2003	The Stanley Dudrick Appeal	
Heidi Frankel	\$100.00	3/21/2003	The Stanley Dudrick Appeal	
Robert H. Smith	\$50.00	3/21/2003	The Stanley Dudrick Appeal	

St. Mary's Hospital Foundation, Inc.

Page 2

3/2/2011

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Frank Christ	\$25.00	3/31/2003	The Stanley Dudrick Appeal	
Zahir	\$500.00	3/31/2003	The Stanley Dudrick Appeal	
York	\$250.00	3/31/2003	The Stanley Dudrick Appeal	
Khalique Zahir	\$1,500.00	3/31/2003	The Stanley Dudrick Appeal	
John Seashore	\$100.00	3/31/2003	The Stanley Dudrick Appeal	
Terry A. Strine	\$250.00	3/31/2003	The Stanley Dudrick Appeal	
Mitchell H. Goldman	\$100.00	4/10/2003	The Stanley Dudrick Appeal	
Mary Shapiro	\$1,000.00	4/10/2003	The Stanley Dudrick Appeal	
Jeffrey Moley	\$100.00	4/10/2003	The Stanley Dudrick Appeal	
Terumo Corporation	\$1,700.00	4/10/2003	The Stanley Dudrick Appeal	
Scott Thornton	\$1,000.00	4/15/2003	The Stanley Dudrick Appeal	
Paul Ware	\$1,000.00	4/16/2003	The Stanley Dudrick Appeal	
Mary G. Kuehnle	\$500.00	4/16/2003	The Stanley Dudrick Appeal	
Barbara K. Kinder	\$100.00	4/16/2003	The Stanley Dudrick Appeal	
Edward Keen	\$200.00	4/16/2003	The Stanley Dudrick Appeal	
Richard Kneedler	\$100.00	4/16/2003	The Stanley Dudrick Appeal	
Edith Mary Kalin	\$25.00	4/16/2003	The Stanley Dudrick Appeal	
Paul Friedmann	\$200.00	4/30/2003	The Stanley Dudrick Appeal	
Jack Dudrick	\$100.00	4/30/2003	The Stanley Dudrick Appeal	
Joseph P. Nolt	\$250.00	4/30/2003	The Stanley Dudrick Appeal	
John White	\$100.00	4/30/2003	The Stanley Dudrick Appeal	
Herbert Hermele	\$100.00	4/30/2003	The Stanley Dudrick Appeal	
Jack Olshansky	\$100.00	4/30/2003	The Stanley Dudrick Appeal	
Basil A. Pruitt	\$100.00	5/12/2003	The Stanley Dudrick Appeal	
M. Alex Geertsma	\$300.00	5/12/2003	The Stanley Dudrick Appeal	
Paul A. Sirop	\$250.00	5/12/2003	The Stanley Dudrick Appeal	
Paul Mapelli	\$500.00	5/12/2003	The Stanley Dudrick Appeal	
Wyse	\$50.00	5/12/2003	The Stanley Dudrick Appeal	
am, Inc.	\$1,000.00	5/21/2003	The Stanley Dudrick Appeal	
Leslie Coopersmith	\$50.00	5/21/2003	The Stanley Dudrick Appeal	
Ted C. Noble	\$100.00	5/21/2003	The Stanley Dudrick Appeal	
Anthony Parisi	\$250.00	5/21/2003	The Stanley Dudrick Appeal	
Lakeside Women's Health Center	\$500.00	5/21/2003	The Stanley Dudrick Appeal	
Raymond R. Gamby	\$10.00	5/21/2003	The Stanley Dudrick Appeal	
Diane L. Fountas	\$100.00	5/21/2003	The Stanley Dudrick Appeal	
Vincent P. de Luise	\$50.00	5/21/2003	The Stanley Dudrick Appeal	
Monterey Fund, Inc.	\$5,000.00	5/21/2003	The Stanley Dudrick Appeal	
Harry Sax	\$150.00	5/21/2003	The Stanley Dudrick Appeal	
Gregory Fiasconaro	\$100.00	5/21/2003	The Stanley Dudrick Appeal	
John K. Conant	\$1,000.00	5/21/2003	The Stanley Dudrick Appeal	
Marion G. Zorn	\$50.00	5/21/2003	The Stanley Dudrick Appeal	
Matthew E. Bushey	\$100.00	5/21/2003	The Stanley Dudrick Appeal	
Joseph J. Bowen	\$1,000.00	5/21/2003	The Stanley Dudrick Appeal	
Alan Dardik	\$100.00	5/21/2003	The Stanley Dudrick Appeal	
Giuseppe DiLuozzo	\$500.00	5/21/2003	The Stanley Dudrick Appeal	
Mehdi S. Eslami	\$50.00	5/24/2003	The Stanley Dudrick Appeal	
Ildiko E. Toth	\$100.00	5/24/2003	The Stanley Dudrick Appeal	
Donald G. Rosenthal	\$250.00	5/24/2003	The Stanley Dudrick Appeal	
Glenn G. Taylor	\$200.00	5/24/2003	The Stanley Dudrick Appeal	
St. Mary's Hospital Auxiliary	\$5,000.00	5/24/2003	The Stanley Dudrick Appeal	
Peter D. Zdankiewicz	\$400.00	5/24/2003	The Stanley Dudrick Appeal	
Alan J. Couture	\$300.00	5/24/2003	The Stanley Dudrick Appeal	
el J. Ackley	\$100.00	5/24/2003	The Stanley Dudrick Appeal	
nda G. Fingado	\$50.00	5/24/2003	The Stanley Dudrick Appeal	
Dwight F. Miller	\$400.00	5/26/2003	Phonathon 2003	
Sunder Sandur	\$250.00	5/26/2003	The Stanley Dudrick Appeal	
S. Mark Albini	\$100.00	5/29/2003	The Stanley Dudrick Appeal	
Varanelli Oral Surgery, L.L.C.	\$100.00	5/29/2003	The Stanley Dudrick Appeal	
Waterbury Pulmonary Associates,	\$200.00	5/29/2003	The Stanley Dudrick Appeal	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Michael C. Matzkin	\$250.00	5/29/2003	The Stanley Dudrick Appeal	
Robbie	\$100.00	6/5/2003	The Stanley Dudrick Appeal	
Walter J. Ryan	\$50.00	6/5/2003	The Stanley Dudrick Appeal	
James M. Clark	\$250.00	6/5/2003	The Stanley Dudrick Appeal	
Mary Pat Robbie	\$100.00	6/5/2003	The Stanley Dudrick Appeal	
Cynthia Cipriano	\$50.00	6/5/2003	The Stanley Dudrick Appeal	
Robert S. Wetmore	\$100.00	6/6/2003	The Stanley Dudrick Appeal	
Patricia Corcoran	\$25.00	6/6/2003	The Stanley Dudrick Appeal	
Bernard F. Oemcke	\$100.00	6/6/2003	The Stanley Dudrick Appeal	
Nutrishare, Inc.	\$1,000.00	6/6/2003	The Stanley Dudrick Appeal	
LesCare Kitchens, Inc.	\$250.00	6/6/2003	The Stanley Dudrick Appeal	
C. Everett Koop	\$500.00	6/6/2003	The Stanley Dudrick Appeal	
Theresa Dudrick	\$500.00	6/6/2003	The Stanley Dudrick Appeal	
Seymour Oxford Pop Warner Foot	\$50.00	6/6/2003	The Stanley Dudrick Appeal	
Edward Gerber	\$50.00	6/6/2003	The Stanley Dudrick Appeal	
David Reeser	\$30.00	6/10/2003	The Stanley Dudrick Appeal	
American Millwork & Lumber, LLC	\$100.00	6/10/2003	The Stanley Dudrick Appeal	
Mary Shapiro	\$1,000.00	6/10/2003	The Stanley Dudrick Appeal	
Naugatuck Valley Surgical Center	\$100.00	6/16/2003	The Stanley Dudrick Appeal	
Patricia Tanner	\$50.00	6/16/2003	The Stanley Dudrick Appeal	
David E. Marcello	\$100.00	6/16/2003	The Stanley Dudrick Appeal	
Irene Brown	\$300.00	6/16/2003	The Stanley Dudrick Appeal	
Robert Weiss	\$250.00	6/17/2003	The Stanley Dudrick Appeal	
Elizabeth Boyer	\$1,200.00	6/17/2003	The Stanley Dudrick Appeal	Ted Whiteford
Graeme L. Hammond	\$100.00	6/17/2003	The Stanley Dudrick Appeal	
A Professional Corporation	\$250.00	6/17/2003	The Stanley Dudrick Appeal	
Donna Border	\$100.00	6/17/2003	The Stanley Dudrick Appeal	
C. Rafford	\$100.00	6/24/2003	The Stanley Dudrick Appeal	
Niel A. D'Angelo	\$100.00	6/24/2003	The Stanley Dudrick Appeal	
Mary Moschella	\$100.00	6/24/2003	The Stanley Dudrick Appeal	
Consultants in Pathology, P.C.	\$500.00	6/24/2003	The Stanley Dudrick Appeal	
James Mackenzie	\$100.00	6/30/2003	The Stanley Dudrick Appeal	
The APAS Foundation	\$500.00	6/30/2003	The Stanley Dudrick Appeal	
Jan S. Rozycki	\$100.00	7/14/2003	The Stanley Dudrick Appeal	
Robert Udelsman	\$500.00	7/15/2003	The Stanley Dudrick Appeal	
Ortho Biotech, Inc.	\$1,500.00	7/15/2003	The Stanley Dudrick Appeal	
Naugatuck Valley Women's Health	\$500.00	7/15/2003	The Stanley Dudrick Appeal	
Paul D. Pace	\$1,000.00	7/17/2003	The Stanley Dudrick Appeal	
Arnold R. Kent A Professional Cor	\$150.00	7/24/2003	The Stanley Dudrick Appeal	
Gerald Marks	\$25.00	8/12/2003	The Stanley Dudrick Appeal	
Pfizer, Inc.	\$1,000.00	8/13/2003	The Stanley Dudrick Appeal	
Naugatuck Valley Radiological As	\$5,000.00	8/13/2003	The Stanley Dudrick Appeal	
Clement A. Hiebert	\$100.00	8/19/2003	The Stanley Dudrick Appeal	
Mark Walker	\$50.00	8/19/2003	The Stanley Dudrick Appeal	
Moritz M. Ziegler	\$100.00	12/31/2003	The Stanley Dudrick Appeal	
Martin G. Morrissey	\$250.00	2/9/2004	The Stanley Dudrick Appeal	Terry Dudrick
Cesar E. Meris	\$50.00	8/31/2004	The Stanley Dudrick Appeal	
John White	\$100.00	12/31/2004	The Stanley Dudrick Appeal	
Julieann McCollum	\$30.00	11/28/2005	Remembrance 2006	William P. Hanlon
Clorinda D. Hanlon	\$70.00	11/28/2005	Remembrance 2006	William P. Hanlon
Daniel Duarte	\$30.00	11/30/2005	Remembrance 2006	William P. Hanlon
Dorothy F. Murnane	\$25.00	12/16/2005	Remembrance 2006	William P. Hanlon
Cal Marketing Studies U.S., In	\$200.00	10/23/2006	Corporations 2007	
White	\$100.00	11/30/2006	Direct Mail Piece Nov., 2006	
W. Thomas Powell	\$2,000.00	4/25/2007	Unsolicited 2007	Stanley J. Dudrick
J. Alexander Palesty	\$5.00	7/12/2007	Phys. Annual Appeal 2007	
GfK NOP	\$75.00	8/16/2007	Corporations 2007	
New England Orthotics & Prosthet	\$300.00	10/26/2007	Hits for Healthcare Team Fundraiser '0	
John White	\$100.00	12/17/2007	Direct Mail Piece Feb., 2007	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
St. Mary's Pastoral Care Dept.	\$25.00	7/8/2008	Unsolicited 2008	Stanley J. Dudrick
White	\$100.00	12/12/2008	Direct Mail Piece June, 2008	
White	\$100.00	12/22/2009	Direct Mail Piece August, 2009	

Stanley J. Dudrick, M.D. Surgical Educ. & Research

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dudrickse&r	Stanley J. Dudrick, M.D. Surg	177	\$0.00	\$7,000.00	\$62,811.00	\$0.00	\$69,811.00
	177 Gift(s) listed						
	168 Donor(s) listed						
	and Totals:		\$0.00	\$7,000.00	\$62,811.00	\$0.00	\$69,811.00

**THE STANLEY J. DUDRICK, M.D.
Surgical Education
and Research Fund**

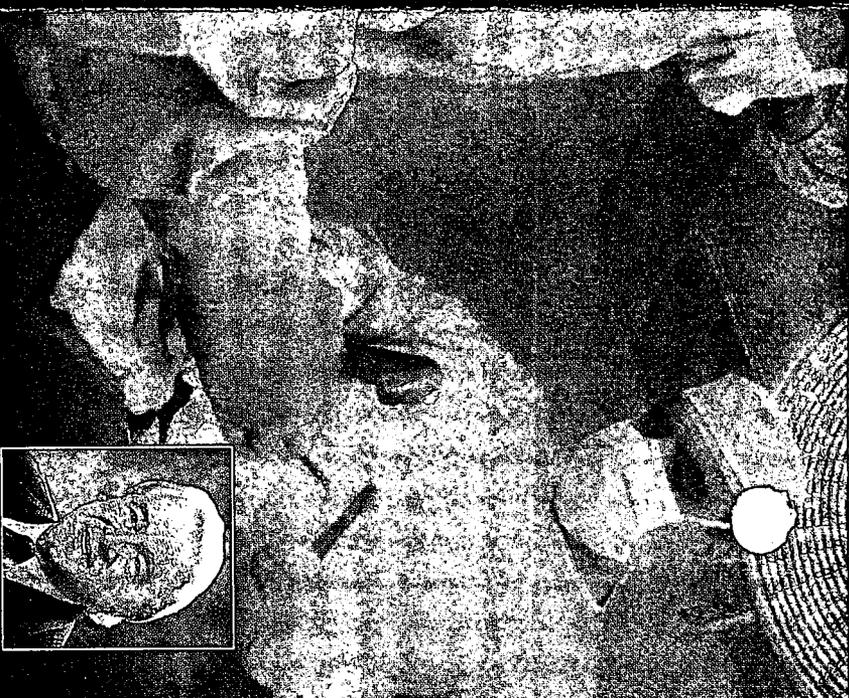
Saint Mary's Hospital is proud to announce the establishment of the Stanley J. Dudrick, M.D. Surgical Education and Research Fund in honor of the pioneering spirit, mentorship and educational contributions that Dr. Stanley J. Dudrick has provided surgical residents throughout his distinguished career in medicine.

The Stanley J. Dudrick, M.D. Surgical Education and Research Fund is designed to help surgical residents secure the knowledge, skills and credentials needed to become prominent members and leaders in the surgical community. The earnings from the Fund are to be used specifically to further the professional training, education and technical development of Saint Mary's surgical residents by providing financial support for books, seminars and lectures, special courses, professional travel and research fellowships.

The Stanley J. Dudrick, M.D. Surgical Education and Research Fund is a tribute to a man who, through his perseverance in the development of total parenteral nutrition (TPN), has had one of the most profound impacts on the world of medicine in the last half of the 20th century. It is our hope that the Fund will help others follow in Dr. Dudrick's giant footsteps.

1698

THE STANLEY J. DUDRICK, M.D.
Surgical Education and Research Fund



THE STANLEY J. DUDRICK, M.D.
Surgical Education
and Research Fund


Saint Mary's
HOSPITAL

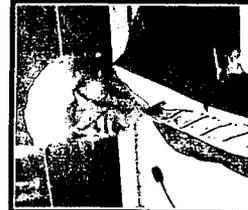

Saint Mary's
HOSPITAL



Dr. Stanley J. Dudrick is widely recognized for his pioneering research in the development of total parenteral nutrition (TPN). Here he is shown in 1967 with beagle puppy #7 - affectionately nicknamed Stinky - who was raised for 245 days solely on TPN.



During his remarkable career, Dr. Dudrick has been a mentor for hundreds and hundreds of surgical residents. He is pictured here in 1976 at the University of Texas Medical School diligently reviewing a surgical resident's research paper.



A distinguished teacher, Dr. Dudrick continues to lecture extensively on his experiences as a highly skilled surgeon and pioneer in the field of nutrition.

An Extraordinary Accomplishment...

Stanley J. Dudrick, M.D. is widely recognized and respected for his pioneering research in the development of the specialized central venous feeding technique known as intravenous hyperalimentation (IVH), or total parenteral nutrition (TPN). The investigative development and clinical application of this highly effective therapeutic modality has been described as one of the four most significant accomplishments in the history of modern surgery (along with asepsis/antisepsis, antibiotic therapy and anesthesia), as well as one of the 20th century's three most important advancements in surgery - the others being heart surgery and organ transplantation.

...A Remarkable Man

Born in Nanticoke, Pennsylvania in 1935, Stanley J. Dudrick graduated from Franklin and Marshall College - where he was awarded the Williamson Medal - and the University of Pennsylvania School of Medicine. After earning his medical degree in 1961, Dr. Dudrick did a rotating internship and residency in general surgery at the Hospital of the University of Pennsylvania, where he served as chief resident in surgery until 1967, when he joined the Penn faculty, ascending in rank from instructor to professor of surgery within five years. In 1972, he was recruited to become the first professor and founding chairman of the Department of Surgery at the then new University of Texas Medical School at Houston, as well as chief of surgical services at Hermann Hospital/The University Hospital.

After more than 15 distinguished years in Texas, Dr. Dudrick returned to his home state to join the staff of Pennsylvania Hospital in Philadelphia as chairman of the Department of Surgery, surgeon to the Hospital, director of the residency training program in general surgery and clinical professor of surgery at the University of Pennsylvania. In May 1990, he went

back to Texas after being appointed surgeon-in-chief of the Center for Cardiovascular Disease and director of the Hermann Nutrition and Human Performance Center, the Nutritional Support Service, and the Nutritional Science Center at Hermann Hospital and clinical professor of surgery at the University of Texas Medical School at Houston.

In November 1994, Dr. Dudrick brought his remarkable talents and experience to Connecticut, to become associate chairman of the Department of Surgery and director of the Program in Surgery at Saint Mary's Hospital, as well as clinical professor of surgery at Yale University School of Medicine. He soon added the position of director of graduate medical education to his duties. After leaving in January 2000 to serve as chairman of the Department of Surgery and director of surgical education at Bridgeport Hospital, Dr. Dudrick returned to Saint Mary's in September 2002.

A prolific medical writer who has published more than 500 works on the care and management of surgical patients, Dr. Dudrick was recently acknowledged by the Institute for Scientific Information as the author or co-author of 2,535 scientific reference citations. He has served on the editorial boards of numerous scientific journals and professional publications, including the prestigious *Annals of Surgery* and the *Journal of Parenteral and Enteral Nutrition*. Dr. Dudrick is particularly proud of having served as a co-editor of the *American College of Surgeons Manual of Surgical Nutrition* and the editor of the *Manual of Preoperative and Postoperative Care* and *The Surgical Clinics of North America-Current Strategies in Surgical Nutrition*.

During his remarkable career, Dr. Dudrick has been the recipient of more than 80 honors from the AMA, the Southern Medical Association, the American Academy of Pediatrics, Franklin and Marshall College, the American Surgical Association

and many other prestigious organizations. He is a member of more than 75 academic, honorary, professional medical and scientific societies, and is a founding member of the Association for Academic Surgery, the Association of Veterans Administration Surgeons, the American Trauma Society, the International Society for Parenteral Nutrition and the American Society for Parenteral and Enteral Nutrition (he was the Society's first president). He also serves as vice chairman of the board of trustees of Franklin and Marshall College, and chairman of the National Alumni Council of the University of Pennsylvania School of Medicine. Dr. Dudrick is listed in numerous editions of *Who's Who*, including *Who's Who in America*, *International Who's Who in Medicine Healthcare*, *Who's Who in Science and Engineering*, *Who's Who in American Education*, and *Who's Who in the World*.

A fellow of the American College of Surgeons, Dr. Dudrick is certified by the prestigious American Board of Surgery, an organization he has served continuously as an examiner since 1974, and as a member of its board of directors for ten years. His recent investigative endeavors focus primarily on the nutritional and biochemical manipulation of amino acid, cholesterol and fat metabolism and their interrelationships with the arrest, reversal and prevention of atherosclerosis; the development of a new antimicrobial intestinal tube feeding formula; and the relationship between nutrients, nutritional status, and optimal human cellular performance.

Dr. Dudrick and his wife, Theresa, are the proud parents of two sons and four daughters and the delighted grandparents of nine granddaughters and six grandsons.

I WOULD LIKE TO MAKE A MONETARY CONTRIBUTION TO THE
STANLEY J. DUDRICK, M.D. SURGICAL EDUCATION AND
RESEARCH FUND IN THE AMOUNT OF \$ _____

NAME _____
STREET ADDRESS _____
CITY _____ STATE _____ ZIP CODE _____

CONTRIBUTIONS ARE TAX-DEDUCTIBLE. PLEASE MAKE
CHECKS PAYABLE TO: SAINT MARY'S HOSPITAL FOUNDATION

THE STANLEY J. DUDRICK, M.D.
Surgical Education and Research Fund





Saint Mary's Hospital
Foundation, Inc.

56 Franklin Street
Waterbury, CT 06706-1238

THE STANLEY J. DUDRICK, M.D.
Surgical Education and Research Fund



PLACE
STAMP
HERE



 Sair's Hospital
Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706

THE STANLEY J. DUDRICK, JR. F.D.
Surgical Education and Research

Appeal Summary Report

William Finkelstein Fellowship Fund 1/1987-12/2010

X

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
fnd2003	Foundations 2003	1	\$0.00	\$0.00	\$3,901.33	\$0.00	\$3,901.33
grant	Grant	6	\$0.00	\$0.00	\$24,858.78	\$0.00	\$24,858.78
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
		and Totals:	\$0.00	\$0.00	\$33,760.11	\$0.00	\$33,760.11

8 Gift(s) listed

3 Donor(s) listed

H6

Fund Detail Report 1/1/87 - 2/25/11
William Finkelstein... Fellowship Fund

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind
Connecticut Community Foundation	7/24/2008	William Finkelstein Fe	\$0.00	\$0.00	\$4,307.00	\$0.00
Dr. William Finkelstein Fellowship	8/19/2003	William Finkelstein Fe	\$0.00	\$0.00	\$3,901.33	\$0.00
	6/18/2004	William Finkelstein Fe	\$0.00	\$0.00	\$3,704.78	\$0.00
	5/17/2006	William Finkelstein Fe	\$0.00	\$0.00	\$3,867.00	\$0.00
	6/6/2007	William Finkelstein Fe	\$0.00	\$0.00	\$4,066.00	\$0.00
	8/17/2009	William Finkelstein Fe	\$0.00	\$0.00	\$4,477.00	\$0.00
	4/15/2010	William Finkelstein Fe	\$0.00	\$0.00	\$4,437.00	\$0.00
William Finkelstein	7/19/2001	William Finkelstein Fe	\$0.00	\$0.00	\$5,000.00	\$0.00
Grand Totals:			\$0.00	\$0.00	\$33,760.11	\$0.00

8 Gift(s) listed
 3 Donor(s) listed

#- J6

H 6

Name	Gift Amount	Gift Date	Appeal Description
William Finkelstein	\$5,000.00	7/19/2001	Major Gifts 2001
William Finkelstein Fellowship Fun	\$3,901.33	8/19/2003	Foundations 2003
William Finkelstein Fellowship Fun	\$3,704.78	6/18/2004	Grant
Dr. William Finkelstein Fellowship Fun	\$3,867.00	5/17/2006	Grant
Dr. William Finkelstein Fellowship Fun	\$4,066.00	6/6/2007	Grant
Connecticut Community Foundation	\$4,307.00	7/24/2008	Grant
Dr. William Finkelstein Fellowship Fun	\$4,477.00	8/17/2009	Grant
Dr. William Finkelstein Fellowship Fun	\$4,437.00	4/15/2010	Grant



43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@conncf.org
www.conncf.org

April 20, 2011

Mr. Chad W. Wable
President & CEO
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Mr. Wable:

I am enclosing a contribution of \$4,510 representing the income from the Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation. This generous gift from the fund is designated for support of Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation."

As a reminder, the donors received a federal charitable tax deduction at the time that the gift was made to the Connecticut Community Foundation. This grant does not represent that tax-deductible gift to your agency and should not be acknowledged as such, as this would be duplication. Further, this grant cannot legally qualify for any benefits or other services to the donor who suggested it, such as membership, dinners, tickets, etc.

We are pleased to support Saint Mary's Hospital with this gift.

Sincerely,

Ingrid Manning
Chief Executive Officer



Enclosure
IM/tr

RECEIVED

APR 25 2011

LEGAL DEPARTMENT

73 Saint Mary's Hospital

04/18/2011 009013

20110153 01/28/2011 Designated- Research Fellowship:
FINKE Dr. William Finkelstein Fellowship Fund

4,510.00
4,510.00

CHECK TOTAL: \$*****4,510.00

CONNECTICUT COMMUNITY FOUNDATION



51-7010-2111

9013

PAY TO THE ORDER OF Four Thousand Five Hundred Ten and no/100

04/18/2011

\$*****4,510.00

DATE

AMOUNT

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

[Handwritten Signature]
AUTHORIZED SIGNATURE

Details on back

⑈009013⑈ ⑆211170101⑆10 0009199774⑈



CONNECTICUT
COMMUNITY FOUNDATION

HG

Finklestein - Research
Fellowship for
Yale Resident Fund

43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

March 26, 2010

info@connctf.org
www.connctf.org

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06702

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John T. McCarthy

Wayne P. McCormack

John Millington

Jeanne Paparazzo

Molly A. Parker

Frank J. Scinto

A.J. Wasserstein

Ingrid Manning
CEO

Dear Pat:

I am enclosing a contribution of \$4,437.00 representing the income from the Dr. William Finkelstein Fellowship Fund at The Connecticut Community Foundation. This gift from the fund is designated for support of Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,



Ingrid Manning
Chief Executive Officer

V# 8403
\$ 4,437
3/25/10

Enclosure
IM/tr



cc: Dr. Forugh Homayounrooz, Internal Residency Program

TO THE
ORDER OF

DATE

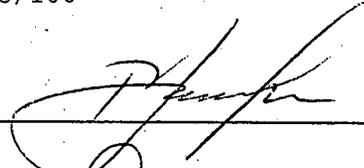
AMOUNT

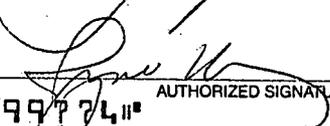
03/25/2010

\$*****4,437.00

* Four Thousand Four Hundred Thirty-Seven and no/100 *

Saint Mary's Hospital
ATTN: Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706





MP

⑈008403⑈ ⑆211170101⑆10 0009199774⑈

AUTHORIZED SIGNATURE



CONNECTICUT
COMMUNITY FOUNDATION

43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

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www.conncf.org

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Frank J. Scinto

A.J. Wasserstein

Ingrid Manning
CEO

July 24, 2009

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06702

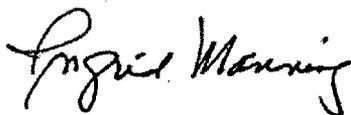
Dear Pat:

I am enclosing a contribution of \$4,477.00 representing the income from the Dr. William Finkelstein Fellowship Fund at The Connecticut Community Foundation. This gift from the fund is designated for support of Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,



Ingrid Manning
Chief Executive Officer

Enclosure
IM/tr



cc: Dr. Forugh Homayounrooz, Internal Residency Program



CONNECTICUT
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John Millington

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Marvin Schwartz

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Ingrid Manning
CEO

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Valley
and Litchfield Hills

43 Field Street
Waterbury, CT 06702
Phone 203.753.1315
Fax 203.756.3054

info@conncf.org
www.conncf.org



February 25, 2009

Mr. Chad Wable
President & CEO
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

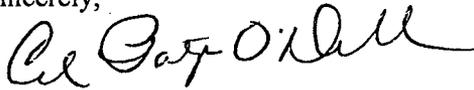
Dear Mr. Wable:

St. Mary's Hospital is an annual beneficiary of the Dr. William ~~Finkelstein Fellowship Fund~~ at the Connecticut Community Foundation. This gift from the Finkelstein Fellowship Fund Fellowship should support one resident to conduct 2-3 years of research. As in the past, we request input in the selection of the candidate from St. Mary's Residency Program Director.

This year we are pleased to inform you that \$4,477 is available from the Finkelstein Fellowship Fund. Please submit in writing the name of the resident and the focus of the research to be conducted. Upon receipt and acceptance of this letter, we will begin the approval process for the donation. This information must be received no later than October 1, 2009.

If you have any questions, please contact me at 203-753-1315 or codonnell@conncf.org.

Sincerely,



Carol P. O'Donnell
Associate CEO

cc: Patricia Evans, St. Mary's Hospital Foundation

Rec'd 7/22/08

CONNECTICUT COMMUNITY FOUNDATION



July 16, 2008

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- David DeNicolo
- Judith A. Eslami
- Margaret W. Field
- Richard E. Lau, DVM
- Patricia H. Mayfield
- John Millington
- Christine Reardon
- Edwin R. Rodriguez
- Mary Schinke
- Marvin Schwartz
- Frank J. Scinto
- Richard J. Ulbrich
- A.J. Wasserstein

Ingrid Manning
CEO

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and Litchfield Hills

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CK # 7168
7/15/08
\$ 4,307.00

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06702

Dear Pat:

I am enclosing a contribution of \$4,307.00 representing the income from the Dr. ~~William Finkelstein Fellowship Fund~~ at The Connecticut Community Foundation. This gift from the fund is designated for support of Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,

Ingrid Manning
Chief Executive Officer

Enclosure
IM/tr

cc: Dr. Forugh Homayounrooz, Internal Residency Program

73 Saint Mary's Hospital

07/15/2008 007168

20080447 01/31/2008 Designated- Research Fellowship
FINKF Dr. William Finkelstein Fellowship Fund

4,307.00

4,307.00

CHECK TOTAL: \$*****4,307.00

CONNECTICUT COMMUNITY FOUNDATION

WEBSTER BANK
WATERBURY, CT 06702
51-7010-2111

7168

PAY
TO THE
ORDER OF

DATE

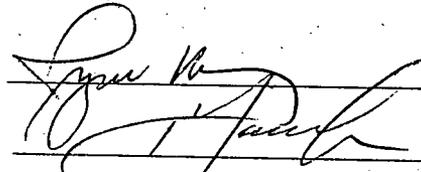
AMOUNT

* Four Thousand Three Hundred Seven and no/100 *

07/15/2008

\$*****4,307.00

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706



MP

⑈007168⑈ ⑆211170101⑆10 000919977⑈ AUTHORIZED SIGNATURE



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Edwin R. Rodriguez

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Annie M. Scott

Arri Sendzimir

Richard J. Ulbrich

A.J. Wasserstein

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and Litchfield Hills

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Fax 203.756.3054

34B Main Street
New Milford, CT 06776
Phone 860.355.2834

info@connct.org
www.connct.org



May 24, 2007

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin St.
Waterbury, CT 06702

Dear Pat:

I am enclosing a contribution of \$4,066.00 representing the income from the Dr. ~~William Finkelstein Fellowship Fund~~ at The Connecticut Community Foundation. This gift from the fund is designated for support of Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,



Ingrid Manning
Chief Executive Officer

Enclosure
IM/tr

cc: Dr. Forugh Homayounrooz, Internal Residency Program

73 St. Mary's Hospital

05/17/2007 006374

20070436 01/26/2007 Designated- Research Fellowship
FINKE Dr. William Finkelstein Fellowship Fund

4,066.00

4,066.00

CHECK TOTAL: \$*****4,066.00



CONNECTICUT
COMMUNITY FOUNDATION

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President

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Vice President

Peter Jacoby, M.D.
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Stedman G. Sweet
Treasurer

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Sam S. F. Caligiuri, Esq.

Isabelle V. Curtis, CPA

Judith Eslami

Harold Gootrad

Kris E. Jacobi

Betsy Manning

William B. Pape

Marvin Schwartz

Annie M. Scott

Arri B. Sendzimir

Daniel Sherr

Jannette D. Stevens

Richard J. Ulbrich

Mark C. Yanarella

Ingrid Manning
CEO

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and Litchfield Hills

81 West Main Street
Waterbury, CT 06702
Phone 203.753.1315
Fax 203.756.3054
info@conncf.org
www.conncf.org

March 9, 2005

Dr. Michael Simms
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Dr. Simms:

As you are aware, St. Mary's Hospital is an annual beneficiary of the Dr. William Finkelstein Fellowship Fund at the Connecticut Community Foundation. This gift from the Finkelstein Fellowship Fund Fellowship should support one resident to conduct 2-3 years of research. As in the past, we request input in the selection of the candidate from St. Mary's Residency Program Director as well as the university residency program with which the hospital is currently affiliated.

This year we are pleased to inform you that \$3,773 is available from the Finkelstein Fellowship Fund. Please submit in writing the name of the resident and the focus of the research to be conducted. Upon receipt and acceptance of this letter, we will begin the approval process for the donation.

If you have any questions, please contact me at 203-753-1315 or codonnell@conncf.org.

Sincerely,



Carol P. O'Donnell
Director of Programs

Cc: Patricia Evans, St. Mary's Hospital Foundation

WATERBURY FOUNDATION

Community Foundation for the Central Naugatuck Valley and Litchfield Hills

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President

Ann Merriam Feinberg
Vice President

Mark C. Yanarella
Secretary

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Treasurer

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Sam S. F. Caligiuri, Esq.

Judith Eslami

Kris E. Jacobi

Peter J. Jacoby, M.D.

Thomas L. Kenyon

Betsy Manning

William B. Pape

Marvin Schwartz

Annie M. Scott

Arri B. Sendzimir

Stedman G. Sweet

Patricia J. Ulbrich

Ingrid Manning
CEO

August 14, 2003

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin St.
Waterbury, CT 06702

Dear Ms. Evans:

I am enclosing a contribution of \$3901.33 representing the 2002 income from the Dr. William Finkelstein Fellowship Fund at The Waterbury Foundation. This gift from the fund is designated to support Research Fellowships at St. Mary's Hospital through the Yale Residency Program.

We would appreciate your listing this contribution in your next annual report as a donation from the "Dr. William Finkelstein Fellowship Fund at the Waterbury Foundation". We would also appreciate receiving a report identifying the resident and research that will be funded through this support.

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,

SERVING

Beacon Falls
Bethlehem
Bridgewater

WATERBURY FOUNDATION

~~73 St. Mary's Hospital~~

~~08/11/2003 004192~~

4192

30451 07/29/2003 Designated- Research Fellowship
FINKF Dr. William Finkelstein Fellowship Fund

3,901.33

3,901.33

Constituent Giving Report

American Cancer Society

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
American Cancer Society	2/8/2000	Cash	ED Dinner/Auction Ticket Sal	Gala '2000 Ticket Sales	\$100.00	\$0.00	1 ticket
Marie Greco	2/16/2001	Pledge	ED 2001 Dinner/Auction Tick	Gala '2001 Ticket Sales	\$100.00	\$0.00	1 ticket
538 Preston Ave Ste 1	4/30/2001	Pay-Cas	ED 2001 Dinner/Auction Tick	Gala '2001 Ticket Sales	\$100.00	\$0.00	1 ticket
Meriden, CT 06450-4851	9/19/2002	Cash	Colon Cancer Education	Corporations 2002	\$4,000.00	\$0.00	
(203) 379-4700	9/30/2002	Pledge	Community Affairs	Corporations 2002	\$2,000.00	\$0.00	for Breast symposium in Cheshi
	12/31/2002	Pay-Cas	Community Affairs	Corporations 2002	\$2,000.00	\$0.00	for Breast symposium in Cheshi
	12/31/2002	Cash	Nsg. Scholar for Gala 2003	Prog. Bk. 2003 Sponsor	\$500.00	\$0.00	a "Helen Humes & Count Basi
	4/9/2003	Pledge	Nsg. Scholar for Gala 2003	Gala '2003 Ticket Sales	\$125.00	\$0.00	1 ticket
	8/19/2003	Pay-Cas	Nsg. Scholar for Gala 2003	Gala '2003 Ticket Sales	\$125.00	\$0.00	1 ticket
	1/18/2006	Cash	Breast Center	Grant	\$17,250.00	\$0.00	
	1/30/2006	Pledge	Saint Mary's 2006 Gala	Gala '2006 Ticket Sales	\$320.00	\$0.00	2 tickets
	4/20/2006	Pay-Cas	Saint Mary's 2006 Gala	Gala '2006 Ticket Sales	\$320.00	\$0.00	2 tickets
			Total Cash:		\$24,395.00		
			Total Pledge/Pledge Balance:		\$2,545.00		
			Grand Total Cash:		\$24,395.00		
			Grand Total Pledge/Pledge Balance:		\$2,545.00		

AMERICAN CANCER SOCIETY
SHARED SERVICE CENTER
PHONE #: (405) 782-1250

377
SAINT MARY'S HOSPITAL FDTN
56 FRANKLIN ST
ATTN: PAT EVANS
WATERBURY CT 06706

144783 SAINT MARY'S HOSPITAL FDTN CHECK #: 737647 DATE: 01/11/06

INVOICE	DESCRIPTION	DATE	P.O. #	GROSS AMOUNT	DISCOUNT	NET AMOUNT
2200172500020051206	BREAST GRANT	12/06/05		17250.00	0.00	17250.00
TOTALS				17,250.00	0.00	17,250.00

GRANT AGREEMENT BETWEEN

American Cancer Society, New England Division, Inc.
30 Speen Street, Framingham, Massachusetts 01701-9376

AND

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

WHEREAS, the American Cancer Society, New England Division, Inc., is a non-profit organization that is tax exempt under section 501 © (3) of the internal Revenue Code whose mission is to eliminate cancer as a major health problem by preventing cancer, saving lives, and diminishing suffering from cancer through research, education, advocacy, and service, and desires to provide support to increase awareness and knowledge of breast health to women in the Waterbury area.

WHEREAS, St. Mary's Hospital is a non-profit organization that is tax exempt; under section 501 © (3) of the Internal Revenue code that desires to increase awareness and knowledge of breast health to women in the Waterbury area.

THEREFORE, Grantor and Grantee enter the following Agreement pursuant to which Grantor intends to make a grant of \$17,250 to Grantee for the purposes of hiring a coordinator to organize, plan and staff monthly educational forums on breast health in the Waterbury area, in addition to materials and administrative costs as outlined in the attached budget.

1. Grantee agrees to use the funds for the purpose of providing breast health education and outreach to community organizations and churches in the Greater Waterbury area.
2. Grantee agrees as an essential condition for receiving the grant to comply at all times with the following restrictions and requirements.
 - i. All of Grantee's actions with respect to this grant will be consistent with the laws and regulations to which Grantor is subject, including (1) the laws and regulations governing public charities exempt from taxation pursuant to Section 501 © (3) of the internal Revenue Code; (b) all federal and state campaign finance laws; and (c) any other applicable federal or state laws.
3. The Grantor will award a single payment of \$17,250 in January 2006. Grantee will maintain records of all expenditures incurred during the year in which it received funds from Grantor and will make its books and records available to Grantor upon reasonable notice. After the close of the fiscal year, Grantee will provide Grantor with an accounting of all funds along with a narrative report of Grantee's activities as well as the balance of any unexpended funds.
4. This agreement may be amended or modified only by mutual written agreement by all of the parties hereto.

BREAST HEALTH EDUCATION AND OUTREACH TO COMMUNITY ORGANIZATIONS AND CHURCHES IN THE GREATER WATERBURY AREA

- **Target Audience**

Women 35 and older reached through their community organizations and churches in the Greater Waterbury area are the target audience. Outreach efforts will emphasize reaching screening eligible Black, Portuguese, Albanian and Spanish speaking women.

- **Educational and Outreach Forums**

Three to four hour forums will be scheduled at a meeting site selected by the community organization or church. The scheduling, the length and the content of the forums will be determined by the audience's requests and needs. The forum format will encourage discussion and be designed to be interactive.

Power Point presentations on breast health will be a standard part of presentations.

Volunteers and clinical staff will provide additional options for presentations. For example:

Presentation by breast cancer survivors

Bilingual presenters

Speakers on health topics such as nutrition, mammography, screening guidelines and treatment options.

Q & A sessions will be a standard part of the forums.

- **Forum Design**

The Forums will be scheduled to meet the audience's needs such as on evenings and/or on weekends. Bilingual presentations can be arranged when needed through volunteer speakers.

The Forum content will be specifically designed for the target audience and held at their site.

Light and healthy refreshments will be purchased for each Forum.

Incentives will be given to women participating to schedule and receive mammograms. Mammograms for eligible women will be scheduled through SMH Breast & Cervical Program

Data will be gathered on the number of participants and a satisfaction survey will be used.

- **Staffing**

A coordinator/facilitator, who will receive a stipend to organize, plan and staff the forums to be scheduled at least once a month.

On as needed basis volunteers and clinical staff as speakers, anticipate 2 per session.

- **Goal**

To provide breast health education to an ethnical diverse group of at least 200 women in the Greater Waterbury area through 12-15 Forums.

- **Objectives**

To improve the knowledge of breast health and compliance with the American Cancer Society's breast cancer screening guidelines for women 35 and older.

To motivate women 35 years and older to receive a screening mammogram through the SMH Breast and Cervical program, Medicare or private coverage.



July 18, 2004

St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Friend:

On behalf of the American Cancer Society and the Volunteer Committee for the Annual Relay For Life of Greater Waterbury, we would like to thank you for your support. Your donation of \$2,500.00 for the **Sponsorship** was greatly appreciated.

In fact, if you have not already heard, our event raised over \$253,000. The monies raised directly benefit education, advocacy, patient services and cancer research.

Thank you for your commitment to help find a cure for this terrible disease. Please accept my heart-felt gratitude and "RELAY" our thanks to your organization for all of your support.

Certainly, it is my hope that you will again consider sponsoring this wonderful event next year. I will plan to send you materials as they become available later in the year, so please consider budgeting us in for the year 2005!!!

As always, please do not hesitate to contact me at 203-379-4876 if I may be of assistance to you. From the bottom of my heart, thank you for making a difference in the **fight against cancer**.

Respectfully,

A handwritten signature in cursive script that reads "Marie Greco".

Marie Greco
Community Executive, Development

PAT EVANS

From: Margaret.Mancuso@cancer.org
Sent: Saturday, September 28, 2002 7:00 PM
To: WENDY PETRICONE
Cc: PAT EVANS
Subject: Re: FW: Another Program!

Harriet - This is
a pledge from
ACS for \$2,000.
I will give you
the check when
it comes in.

Wendy,
We had given \$2,000 for the breast symposium in Cheshire - which is now
the
project at St. Mary's in collaboration with the Leever Center. I think
Robin's thought that it would cover the food. Not sure how much food
will
be needed, but the \$ can be used however the committee deems it
appropriate. I would assume that the committee is you, Robin Sills,
Marie
Greco, Pat Evans, Linda Ferris and or Melissia Sears and myself? Who
else
should be involved? Does that sound like it covers all bases? I am not
sure what Robin had in mind. I will give her a call on Monday.
Regards,

Marty Mancuso, MA
Regional Director for Cancer Control
American Cancer Society
538 Preston Ave.
Meriden, CT 06450
203-379-4830 (tel)
203-379-5060 (fax)

WENDY PETRICONE

<WAPETRICONE@STMH To:
"margaret.mancuso@cancer.org" <margaret.mancuso@cancer.org>

.ORG>

cc:

Program!

Subject: FW: Another

09/27/2002 06:45

PM

Freedom From Smoking .us Grant 1/1987-12/2010

X Total

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2004	Corporations 2004	1	\$0.00	\$0.00	\$1,500.00	\$0.00	\$1,500.00
cor2005	Corporations 2005	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
grant	Grant	4	\$0.00	\$4,500.00	\$0.00	\$0.00	\$4,500.00
ind2005	Unsolicited 2005	5	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
and Totals:			\$0.00	\$4,500.00	\$1,850.00	\$0.00	\$6,350.00

11 Gift(s) listed

7 Donor(s) listed

H-2

Fund Trail Report

Freedom From Smoking Plus Grant Fund

Constituent Name	Date	Fund Descript	Pledge Balance	pledge Payments	Non-Pledge Gift	Reference
American Lung Association of C 45 Ash St East Hartford, CT 06108-3272 (860) 721-6888 ~	2/19/2003	Freedom From Smo	\$0.00	\$750.00	\$0.00	
	5/24/2003	Freedom From Smo	\$0.00	\$750.00	\$0.00	
	6/10/2003	Freedom From Smo	\$0.00	\$1,500.00	\$0.00	
	11/30/2003	Freedom From Smo	\$0.00	\$1,500.00	\$0.00	
	2/9/2004	Freedom From Smo	\$0.00	\$0.00	\$1,500.00	
Larry Bambach 850 Jacob Rd Southbury, CT 06488-2781 (203) 267-4267 ~	3/15/2005	Freedom From Smo	\$0.00	\$0.00	\$50.00	
Albert F. Gingell	3/15/2005	Freedom From Smo	\$0.00	\$0.00	\$50.00	
Halvard Ljongquist REMOVE FROM DATABASE	4/30/2005	Freedom From Smo	\$0.00	\$0.00	\$50.00	
Naugatuck Savings Bank P.O. Box 370 Naugatuck, CT 06770 (203) 729-4442	4/30/2005	Freedom From Smo	\$0.00	\$0.00	\$100.00	
Lygia R. Pagan 133 Enoch St Waterbury, CT 06705-2227 (203) 597-8862 ~	3/15/2005	Freedom From Smo	\$0.00	\$0.00	\$50.00	
Katie Pierce	4/30/2005	Freedom From Smo	\$0.00	\$0.00	\$50.00	
Grand Totals:			\$0.00	\$4,500.00	\$1,850.00	

11 Gift(s) listed

7 Donor(s) listed

~ The phone is marked as 'Do not contact'



Accounts Payable
NAUGATUCK SAVINGS BANK
 251 Church Street
 Naugatuck, CT 06770-0370

NAUGATUCK SAVINGS BANK
 NAUGATUCK, CT 06770

020500

51-7417/2111

20500

ONE HUNDRED DOLLARS and 00 CENTS

TO THE
 ORDER
 OF:

SAINT MARY'S HOSPITAL
 56 FRANKLIN STREET
 WATERBURY CT 06702

DATE
 03/18/2005

AMOUNT
 \$100.00*

Paul C. [Signature]

⑈020500⑈ ⑆211174178⑆ 150 002 650⑈

"Freedom from
 Smoking" fund
 any questions
 call Wendy
 x 6295

Constituent Giving Report AMELUNG Fund

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
Webster	12/31/1998	Cash	AMELUNG Fund	Corporations 1999	\$110.36	\$0.00	
Kathryn Luria	2/28/1999	Cash	AMELUNG Fund	Corporations 1999	\$36.34	\$0.00	
145 Bank St	3/19/1999	Cash	AMELUNG Fund	Corporations 1999	\$32.82	\$0.00	
Waterbury, CT 06702-2211	4/22/1999	Cash	AMELUNG Fund	Corporations 1999	\$36.34	\$0.00	
(203) 578-2396	4/30/1999	Cash	AMELUNG Fund	Corporations 1999	\$23.44	\$0.00	
	6/21/1999	Cash	AMELUNG Fund	Corporations 1999	\$46.24	\$0.00	
Total Cash:					\$285.54		
Grand Total Cash:					\$285.54		

Payee St. Mary's Hospital Foundation
Vendor ID St. Mary's Hosp

Account #:

24660

24660

02/05/2004

Invoice	Description	Discount	Amount
103934	FFS Plus Partner Stipend	\$0.00	\$1,500.00
Total :		\$0.00	\$1,500.00

AMERICAN LUNG ASSOCIATION OF CONNECTICUT INC
45 ASH STREET
EAST HARTFORD, CT 06108-3272

FLEET BANK, N.A.
EAST HARTFORD, CONNECTICUT
51-57/119

24660

24660

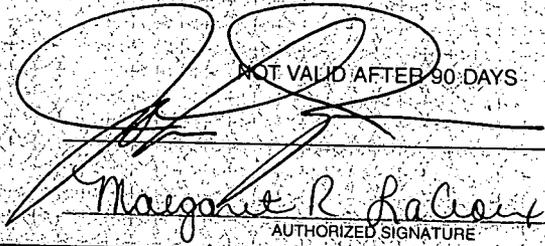
****One Thousand Five Hundred and 00/100 Dollars

DATE
02/05/2004

AMOUNT
\$1,500.00

PAY TO THE ORDER OF

St. Mary's Hospital Foundation
56 Franklin St
Waterbury, CT 06076

NOT VALID AFTER 90 DAYS

MARGARET R. RACINE
AUTHORIZED SIGNATURE

⑈024660⑈ ⑆011900571⑆ 145562 1⑈



AMERICAN LUNG ASSOCIATION® of Connecticut

Letter of Agreement

St. Mary's Hospital

This letter confirms the arrangements of the American Lung Association of Connecticut's (ALAC) "Freedom From Smoking Plus" program at your facility. For our files, please submit to us a signed copy of this agreement.

A "Freedom From Smoking Plus" Cessation Clinic has been scheduled at St. Mary's Hospital located at 56 Franklin Street in the city of Waterbury. This program, made possible through a grant from the Connecticut Department of Public Health and the Connecticut Tobacco and Health Trust Fund, will begin with an orientation session on Monday, October 6, 2003. The schedule of sessions for your clinic is attached. This schedule contains several phone sessions when a room will not be necessary. A room suitable to comfortably hold up to 25 clinic participants is needed for the following dates:

Orientation	Monday, October 06, 2003
Session 1	Monday, October 20, 2003
Session 2	Monday, October 27, 2003
Session 3 (Quit Night)	Monday, November 3, 2003
Session 4 (48-hr follow-up)	Wednesday, November 5, 2003
Session 5	Monday, November 10, 2003
Session 6	Monday, November 24, 2003
Session 7 (Graduation)	Monday, December 8, 2003
Session 8 (Optional Follow-up & Support)	Monday, January 5, 2004

Carbon monoxide levels will be measured at the orientation and graduation. The scheduled session time for your program is from 6:00 pm to 7:30 pm. Please allow an additional ½ hour room use at the end of class in the event a student would like to meet with the facilitator privately after class.

The certified clinic leader for this group will be Diane Magalhaes. The contact at your facility will be Wendy Petriconne.

St. Mary's Hospital will provide an appropriate meeting room, a flip chart or blackboard, and TV/VCR for viewing videos during the program.

The American Lung Association of Connecticut will provide the clinic materials, water bottles and stress balls as incentives, six-month, nine-month, and one-year follow-ups, and will aid in the coordination of the project. Registrations will be taken through St. Mary's Hospital, and any class cancellations due to weather will be handled jointly.

St. Mary's Hospital will be paid a stipend of \$1,500.00 to cover the costs of advertising, refreshments, additional incentives, and other miscellaneous expenses related to the implementation of the clinic. A minimum of ten participants is required for this program to take place.

The pharmacy you chose for this project is Shaw's located at 205 Union Street. Vouchers for either Zyban, Nicoderm CQ patch, or Nicorette gum, will be distributed by the facilitator as outlined on the schedule of sessions. The participant will then redeem the voucher at the partnering pharmacy along with a \$5 co-payment. The pharmacy will submit the vouchers to the ALAC for reimbursement.

In accordance with our contract with the State of Connecticut Department of Public Health, all promotional materials for this program must be submitted to the ALAC for approval prior to distribution.

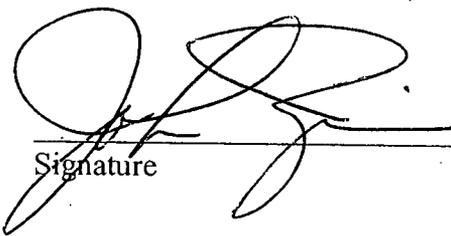
If there are any questions regarding these arrangements please do not hesitate to call Karen Hollingsworth at (860) 289-5401, ext. 245 or through e-mail at khollingsworth@alact.org or Kimberly Winter through e-mail at dkmharley@cox.net or by phone at (860) 985-5919. We are delighted to embark on this project with you and look forward to helping smokers in your service area become tobacco-free.

Signatures:

American Lung Association of Connecticut

John Zinn, President/CEO

Name and Title (Please Print)



Signature

10-6-03
Date

St. Mary's Hospital

Robert P. Ritz, President/CEO

Name and Title (Please Print)



Signature

10/21/03
Date

Schedule of Sessions for Freedom From Smoking Plus Clinic (FFS)

Session	Content	Session Date	Important Information
Orientation	Overview of FFS program Check CO level with ecolyzer	10/06/03	Register prior to this date on a first, come, first serve basis.
Session 1 (Week 1)	ON THE ROAD TO FREEDOM	10/20/03	Zyban begins 10-14 days prior to quit day (Make sure prescription in to pharmacist - prior to first session) Two-week supply Zyban voucher distributed
	<ul style="list-style-type: none"> Understand your habit: recording cigarettes Health effects of smoking Building motivation to quit 		
Session 2 (Week 2)	Wanting to Quit	10/27/03	Two-week supply nicotine replacement therapy and/or zyban voucher distributed.
	<ul style="list-style-type: none"> "Why Do You Smoke" Test Begin small groups and buddies Triggers and alternative coping strategies- your plan of action 		
Session 3 (Week 3)	Quit Night	11/03/03	Start of NRT Persons electing to use patch – apply patch as soon as you get up. (Patches should only be applied in the morning after quit night.) Remember – absolutely no smoking with the patch on.
	<ul style="list-style-type: none"> Reconfirming decision to quit Panel of ex-smokers Help and support; contracts and rewards 		
Session 4 (Week 3)	Winning Strategies	11/05/03	48 hour report- assess coping strategies, dealing with recovery symptoms, questions regarding meds.
	<ul style="list-style-type: none"> Withdrawal symptoms and benefits of quitting 48-hour report Relaxation skills Learn to assert yourself 		
Session 5 (Week 4)	The New You	11/10/03	Two-week supply nicotine replacement therapy and/or zyban voucher distributed. Make telephone appointment with FFS facilitator
	<ul style="list-style-type: none"> Lifestyle changes Exercise and Weight Control Introduction of Maintenance Manual 		
Telephone support (Week 5)	Keep telephone appointment with FFS facilitator	11/17/03	Progress report, answer questions
Session 6 (Week 6)	Staying Off	11/24/03	Two-week supply nicotine replacement therapy and/or zyban voucher distributed. Make telephone appointment with FFS facilitator
	<ul style="list-style-type: none"> More relaxation and exercise Handling Social Situations Coping strategies New self-image 		
Telephone support (Week 7)	Keep telephone appointment with FFS facilitator	12/01/03	Progress report, answer questions
Session 7 (Week 8)	Let's celebrate One Month Free	12/08/03	Four- week supply nicotine replacement therapy and/or zyban voucher distributed. Make telephone appointment with FFS facilitator
	<ul style="list-style-type: none"> Lifestyle shifts Evaluation of program Celebration and Graduation Re-check CO level with ecolyzer 		
Telephone support (Week 9)	Keep telephone appointment with FFS facilitator	12/15/03	Make telephone appointment with FFS facilitator Progress report, answer questions
(Week 10)	Skip Week – no contact	12/22/03	
Telephone support (Week 11)	Keep telephone appointment with FFS facilitator	12/29/03	Make telephone appointment with FFS facilitator Progress report, answer questions
Session 8 Optional (Week 12)	Remaining Free- Celebrating Two months	1/05/04	Progress report, answer questions Nicotine replacement therapy ends Make telephone appointment with FFS facilitator
	<ul style="list-style-type: none"> Start of Community Support Group Checking In/Supporting each other Transitioning off the patch/gum Characteristics of a Community Support Group		
Telephone Follow-up	Keep telephone appointment with FFS facilitator Mandatory three-month follow-up	Week of 4/05/04	

Payee
Vendor

St. Mary's Hospital Foundation
St. Mary's Hosp

24333
24333
06/02/2003

Invoice	Description	Discount	Amount
103627	FFS Plus-Partner Stipend	\$0.00	\$750.00
103628	FFS Plus/Partner Stipend	\$0.00	\$750.00
Total :		\$0.00	\$1,500.00

AMERICAN LUNG ASSOCIATION OF CONNECTICUT INC
45 ASH STREET
EAST HARTFORD, CT 06108-3272

FLEET BANK N.A.
EAST HARTFORD, CONNECTICUT
51-57/119

24333
24333

****One Thousand Five Hundred and 00/100 Dollars

DATE 06/02/2003 AMOUNT \$1,500.00

PAY TO THE

St. Mary's Hospital Foundation
56 Franklin St.
Waterbury, CT 06076

NOT VALID AFTER 90 DAYS
Margaret L. Kelly
AUTHORIZED SIGNATURE

⑈024333⑈ ⑆011900571⑆ 145562 1⑈

Total ~~1,500~~ 3,000.

6/2/03

24212
24212

FLEET BANK, N.A.
EAST HARTFORD, CONNECTICUT
51-571119

AMERICAN LUNG ASSOCIATION OF CONNECTICUT INC.
45 ASH STREET
EAST HARTFORD, CT 06108-3272

****Seven Hundred Fifty and 00/100 Dollars

DATE 05/05/2003
AMOUNT \$750.00

NO CASH AFTER 90 DAYS
[Signature]
AUTHORIZED SIGNATURE

PAY TO THE ORDER OF:

St. Mary's Hospital Foundation
56 Franklin St.
Waterbury, CT 06076

⑈024212⑈ ⑆0190057⑆ 145562 1⑈

24212

AMERICAN LUNG ASSOCIATION OF CONNECTICUT INC.
Payee St. Mary's Hospital Foundation
Vendor St. Mary's Hosp

Invoice	Description	Discount	Amount
103629	<i>Freedom from smoking fund</i>	\$0.00	\$750.00

Freedom from smoking fund

Total : \$0.00 \$750.00

WENDY PETRICONE

From: Karen Hollingsworth [khollingsworth@alact.org]

Sent: Thursday, September 12, 2002 12:07 PM

To: WENDY PETRICONE

Subject: Proposal stipends

Wendy,

I just wanted to let you know that the stipend for the Hospital is ~~proposed at \$1,500~~. This is for administering the program as you see fit and should more than cover the facilitator expenses for those given the vouchers. The materials for the clinics (40 sets) will be provided at no cost to you and one facilitator will be trained. These are in addition to the \$1,500 stipend. Also you will receive 20-25 of the "~~Protect Little Lung!~~" packets.

I think this is a good proposal and I thank you again for your input.

I enjoy working with you as always.

Karen Hollingsworth
Director, Tobacco Control Programs

~~American Lung Association of Connecticut~~
~~45 Ash Street~~
~~East Hartford, CT 06108-3272~~

Phone: (860) 289-5401
Fax: (860) 289-5405
E-mail: khollingsworth@alact.org

*No pledge
reminder*

9/12/02

May 28, 2002

Ms. Karen Hollingsworth
American Lung Association
45 Ash Street
East Hartford, CT 06108

RE: Freedom from Smoking Plus Program

Dear Ms. Hollingsworth:

St. Mary's Hospital is pleased to submit this letter of support for the American Lung Association's "*Freedom From Smoking® Plus*" Program. Further, we are pleased to have the opportunity to partner with the American Lung Association to make this program available to residents in the city of Waterbury.

St. Mary's Hospital has run *Freedom From Smoking®* clinics and is very much looking forward to the opportunity to offer this program augmented by the use of pharmacologic aids. The availability of a local quality smoking cessation program such as the "Freedom From Smoking Plus Program" that incorporates pharmacological aids, at low or no cost, is just the type of opportunity needed to help persons, particularly the disparate populations in our communities, become and remain tobacco-free.

We are proud to support the "*Freedom form Smoking®*" Plus Program and are looking forward partnering with the American Lung Association to assist people to adopt a tobacco-free lifestyle and be nonsmokers forever.

Sincerely,

Patricia Evans
Grant Manager
St. Mary's Hospital Foundation

Appeal Summary Report Board of Directors Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2001	Annual Board of Directors Ap	40	\$0.00	\$14,225.00	\$16,550.00	\$0.00	\$30,775.00
brd2002	Annual Board of Directors Ap	38	\$0.00	\$16,625.00	\$13,450.00	\$0.00	\$30,075.00
brd2003	Annual Board of Directors Ap	8	\$250.00	\$0.00	\$4,600.00	\$0.00	\$4,850.00
brd2004	Annual Board of Directors Ap	4	\$0.00	\$0.00	\$4,600.00	\$0.00	\$4,600.00
brd2005	Board of Directors Appeal 20	6	\$0.00	\$0.00	\$5,962.86	\$0.00	\$5,962.86
brd2006	Board of Directors Appeal 20	54	\$0.00	\$20,100.00	\$48,350.00	\$0.00	\$68,450.00
brd2007	Board of Directors Appeal 20	61	\$0.00	\$12,500.00	\$67,400.00	\$0.00	\$79,900.00
brd2008	Board of Directors Appeal 20	42	\$0.00	\$4,500.00	\$55,050.00	\$0.00	\$59,550.00
brd2009	Board of Directors Appeal 20	63	\$0.00	\$24,350.00	\$39,750.00	\$0.00	\$64,100.00
brd2010	Board of Directors Appeal 20	34	\$2,400.00	\$6,200.00	\$30,250.00	\$0.00	\$38,850.00
brd2011	Board of Directors Appeal 20	10	\$0.00	\$0.00	\$11,250.00	\$0.00	\$11,250.00
cor2001	Corporations 2001	2	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
fundam1204	Fundamentals December 2004	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
fundam2001	Fundamentals Newsletter 200	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
lester01	Lester Campaign 2001	1	\$0.00	\$500.00	\$0.00	\$0.00	\$500.00
ph2001	Phonathon 2001	9	\$0.00	\$6,000.00	\$1,000.00	\$0.00	\$7,000.00
ph2004	Phonathon 2004	28	\$0.00	\$9,800.00	\$2,775.00	\$0.00	\$12,575.00
ph2005	Phonathon 2005	1	\$0.00	\$250.00	\$0.00	\$0.00	\$250.00
phys2004	Phys. Annual Appeal 2004	3	\$0.00	\$2,500.00	\$0.00	\$0.00	\$2,500.00
		and Totals:	\$2,650.00	\$117,550.00	\$304,987.86	\$0.00	\$425,187.86

406 Gift(s) listed

91 Donor(s) listed

⊕
Saint Mary's
HOSPITAL
Foundation

Foundation Board Soliciti

It All Comes Back To You

13

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Mark C. Yanarella
Vice Chairman
Margaret J. Lawlor
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George J. Strobel, Jr.
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Daniel J. Noonan
Police Chief Neil M. O'Leary
Eric J. Olsen, M.D.
David Alan Roer, M.D.
Keith F. Sullivan, C.P.A.
Maria W. Taylor
Edmund J. White
Stephen C. Widman, M.D.

July 30, 2010

Mr. Fredrick W. Blasius, Jr.
48 Stoddard Road
Waterbury, CT 06708

Dear Fritz,

Throughout the years Saint Mary's Hospital Foundation Board has been an incredible asset to the hospital and the community. Your participation in our governance, your financial contributions and your willingness to communicate the Hospital's Mission to others in our community has helped Saint Mary's achieve and maintain its outstanding reputation. While it is impossible to quantify that time, it is possible to quantify the economic assistance you have provided each year and to measure the impact of that assistance on the hospital's ability to provide quality health care to our patients.

Last year, with the help of generous donations, Saint Mary's Hospital was able to achieve financial stability despite increasingly difficult economic pressures. At the same time, we focused on improving quality of care by allocating funds to achieving quality goals in specific clinical areas. That focus earned Saint Mary's Hospital significant awards and designations, but more importantly, it saved lives. This year, in addition to maintaining the compass on quality, we will continue to set and achieve goals to deliver superior, patient-centered care to every patient, every day. Our ability to deliver outstanding, compassionate care not only improves overall patient outcomes, but also contributes significantly to increasing growth and revenue.

All of these activities have a cost and that's where your generosity is critical. Last year every member of the Health System and Hospital Board contributed to the annual fund. We hope that this year the Foundation Board will provide that unanimous support. I am asking you as a corporator of the Foundation to consider a gift of \$1,000 to the 2010 Annual Appeal. Please be as generous as you can. Your gift will support the hospital's goal of "Exceptional care, every patient, and every day." Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

56 Franklin Street
Waterbury, CT 06706
Tel: 203.709.6390
Fax: 203.709.3272
www.stmhfoundation.org

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. **"IT ALL COMES BACK TO YOU"**. Please give generously.

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Edmund J. White
Stephen C. Widman, M.D.

July 30, 2010

Joseph A. Mengacci, Esq.
111 Dwyer Road
Middlebury, CT 06762

Dear Joseph,

Throughout the years Saint Mary's Health System board has been an incredible asset to the hospital and the community. Your participation in our governance, your financial contributions and your willingness to communicate the Hospital's Mission to others in our community has helped Saint Mary's achieve and maintain its outstanding reputation. While it is impossible to quantify that time, it is possible to quantify the economic assistance you have provided each year and to measure the impact of that assistance on the hospital's ability to provide quality health care to our patients.

Last year, with the help of generous donations, Saint Mary's Hospital was able to achieve financial stability despite increasingly difficult economic pressures. At the same time, we focused on improving quality of care by allocating funds to achieving quality goals in specific clinical areas. That focus earned Saint Mary's Hospital significant awards and designations, but more importantly, it saved lives. This year, in addition to maintaining the compass on quality, we will continue to set and achieve goals to deliver superior, patient-centered care to every patient, every day. Our ability to deliver outstanding, compassionate care not only improves overall patient outcomes, but also contributes significantly to increasing growth and revenue.

All of these activities have a cost and that's where your generosity is critical. Last year every member of the Saint Mary's Hospital Foundation board contributed to the annual fund. We hope that this year you will continue that commitment and provide unanimous support. I am asking you to consider a gift of \$1,500.00 to the 2010 Annual Appeal. Please be as generous as you can. Your gift will support the hospital's goal of "Exceptional care, every patient, every day." Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. "IT ALL COMES BACK TO YOU". Please give generously.

*Health
System
Board*

May 24, 2010

James C. Smith., President/CEO
Webster Bank
Address
State, Zip

Dear Jim,

Throughout the years Saint Mary's board has been an incredible asset. Your participation in our governance, your financial contributions and your willingness to represent the hospital in our community helped Saint Mary's to achieve and maintain its outstanding reputation. While it is impossible to quantify the time spent shaping policy, providing guidance and protecting the Mission, it is possible to quantify the economic assistance you have provided each year and to measure the impact of that assistance on the hospital's ability to provide quality health care to our patients.

Last year your generous donations helped us focus on quality and achieve financial stability despite increasingly difficult economic pressures. That focus earned Saint Mary's Hospital significant awards and designations, but more importantly, it saved lives. Saint Mary's was the first hospital in Connecticut to receive a Gold Award under the American Heart Association "Get with the Guidelines" program for its treatment of patients with coronary artery disease. It was also the first hospital in the state to receive a Silver Award for its treatment of patients with heart failure, and the CT Department of Public Health designated Saint Mary's Hospital as a Primary Stroke Center.

This year, in addition to maintaining our compass on quality, we also set the compass on delivering exceptional patient-centered care. With the help of the Baptist Leadership Institute for Patient Centered Excellence, Saint Mary's Health System has launched a full-scale, two-year training initiative designed to create a patient-centered environment and behavior model for the entire health system. The program is grounded on developing a consistent, practical application and expression of those qualities that can be taught and measured. Simply put, we believe outstanding "customer service" is not just about patient satisfaction. It's also about improving outcomes and increasing growth and revenue.

All of this activity incurs cost and that's where your generosity is critical. Last year for the first time, every member of the board contributed to the annual fund. We hope that we will receive that unanimous support again this year. Please consider making a \$2500 donation to the 2010 Annual Appeal or be as generous as you can. Your gift will help tremendously toward achieving our goal of "Excellence medical care, every patient, every day." Thank you.

Sincerely,

Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. "IT ALL COMES BACK TO YOU" . Please give generously.

*Foundation
Board*

May 24, 2010

Mark Yanarella, President
Naugatuck Savings Bank
Address
State, Zip

Dear Mark,

Throughout the years Saint Mary's Foundation board has been an incredible asset. Your participation in our governance, your financial contributions and your willingness to communicate the Hospital's Mission to others in our community helped Saint Mary's to achieve and maintain its outstanding reputation. While it is impossible to quantify that time, it is possible to quantify the economic assistance you have provided each year and to measure the impact of that assistance on the hospital's ability to provide quality health care to our patients.

Last year your generous donations helped the hospital to focus on quality and achieve financial stability despite increasingly difficult economic pressures. That focus earned Saint Mary's Hospital significant awards and designations, but more importantly, it saved lives. Saint Mary's was the first hospital in Connecticut to receive a Gold Award under the American Heart Association "Get with the Guidelines" program for its treatment of patients with coronary artery disease. It was also the first hospital in the state to receive a Silver Award for its treatment of patients with heart failure, and the CT Department of Public Health designated Saint Mary's Hospital as a Primary Stroke Center.

This year, in addition to maintaining the compass on quality, the hospital has also set the compass on delivering exceptional patient-centered care. With the help of the Baptist Leadership Institute for Patient Centered Excellence, Saint Mary's Health System has launched a full-scale, two-year training initiative designed to create a patient-centered environment and behavior model for the entire health system. The program is grounded on developing a consistent, practical application and expression of those qualities that can be taught and measured. Simply put, we believe outstanding "customer service" is not just about patient satisfaction. It's also about improving outcomes and increasing growth and revenue.

All of this activity incurs cost and that's where your generosity is critical. Last year every member of the Health System and Hospital Board contributed to the annual fund. We hope that this year the Foundation Board will provide that unanimous support. Please consider making a \$1500 donation to the 2010 Annual Appeal or be as generous as you can. Your gift will help tremendously toward the hospital's goal of "Excellence medical care, every patient, every day." Thank you.

Sincerely,

Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. **"IT ALL COMES BACK TO YOU"**. Please give generously.

May , 2008

Name
Address
City, state, zip

Dear first:

The headlines these days for Saint Mary's Hospital always include mention of a potential consolidation with Waterbury Hospital. Escalating costs and insurance rates coupled with decreasing Medicaid reimbursement coupled with regional, state and federal issues that affect all major health care systems require us to find the solution that will best serve our community.

As board members you know that even while merger discussions continue, the reality is that providing quality health care continues at Saint Mary's. Our doors open each and every day to an influx of patients – and in greater and greater numbers each year. They come to us for help and we've learned that they come to us in large measure because of referrals from members of the hospital family.

Ask Nora O'Toole about Saint Mary's Hospital. When her husband was referred to Saint Mary's Hospital by his physician, the personal care he received convinced her that Saint Mary's Hospital was a special place offering more than health care. She talks about her experience as her husband battled illness until his passing. "When my husband was at Saint Mary's, I could see how they helped him and the other patients not just physically but emotionally and psychologically. And they didn't just treat him – they talked me through a difficult time. They made me feel like I was the only one there. I love Saint Mary's Hospital because nurturing has been an integral part of the hospital since its doors opened. That is very appealing to me."

Nora's story, like so many of our patients, tells the story of health care at Saint Mary's. And today, even as the technicalities of a merger are discussed, people like Nora and her husband and hundreds of other patients continue to need our help. Providing that care in appropriate settings is increasingly more difficult as our physical plant continues to age. Renovations are costly and are almost impossible to fund from operating funds. Philanthropic gifts to the annual fund make these renovations possible. Regardless of the outcome of the merger discussions, the need to maintain and improve our patient care units will remain necessary for the foreseeable future. A new patient care facility for our community is many years away from reality.

Please make your gift to this year's Board of Director Annual Fund today. We ask that each board member consider a gift of \$1,500. As always your gift may be made quarterly or by credit card. A return envelope is enclosed for you convenience.

The work continues. The need remains. Please help us meet that need with your gift to Saint Mary's Hospital.

Yours truly,



Edmund White
Chairman, Saint Mary's Hospital Foundation

Project: Physician's Appeal to Health Systems Board Campaign 2007

(date)

Name
Address
City, state, zip

Dear First:

The leadership of the Health Systems Boards is critical to the vitality and success of the Saint Mary's Hospital. The talent and stewardship of our board members ensures the Health System's continued strength. As physician board members, we rely on your guidance and direction to ensure our patient's access to equipment, services and facilities that allow us to continue providing the best patient care.

As board members you are keenly aware of our continued challenges.

1. Demand for our patient care has climbed steadily while we continue to provide health services without regard to income.
2. Facility improvements are expensive but necessary to improve the quality of our medical services and to ensure our ability to take full advantage of current technology.
3. Further, the Hospital needs to continue focus on the quality of the patient experience to improve convenience and maximize our own efficiency.

We are writing to ask you to match our leadership gift of \$2,500 to the 2007 Physician Annual Appeal. Your donation will set the standard and raise the level of giving of other physicians on the staff. Each of us has already contributed our gift of \$2,500 to this year's appeal and we're hopeful that you will match our contribution. These funds will increase the patient care fund, improve our ability to purchase necessary equipment and enhance the community's experience at Saint Mary's.

As the number of patients rises each year, we see growing affirmation from the Waterbury community that Saint Mary's Hospital is increasingly their hospital of choice. We're proud and appreciative that the community actively seeks out our physicians as well as Saint Mary's. We see our financial contribution as an affirmation of our appreciation back to the community.

A donation form is enclosed. We ask you to consider your gift as a demonstration to the community at large that you, and all of the members of the Health Systems Board, clearly support the vision and message that is uniquely Saint Mary's.

With our best wishes,

Dr. Albini

Dr. Jacoby

Dr. Matzkin

Appeal Summary Report

Building Fund 17,000,987 - 12/17/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor96	Corporations 1996 <i>Archived</i>	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
est97	Estates 1997 <i>Carroll, John F.</i>	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
est99	Estates 1999 <i>Skelley-McKiernan, Lorella</i>	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
hs2007	Hospital Staff Annual Appeal:	125	\$0.00	\$1,423.00	\$180.00	\$0.00	\$1,603.00
hs2008	Hospital Staff Annual Appeal:	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
ind2003	Individuals 2003 <i>Archived</i>	1	\$0.00	\$0.00	\$42.02	\$0.00	\$42.02
ind2004	Individuals 2004 <i>Archived</i>	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem2007	Remembrance 2007 <i>Fravel, Margaret</i>	3	\$0.00	\$0.00	\$375.00	\$0.00	\$375.00
mem93	Remembrance 1993 <i>Archived</i>	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem97	Remembrance 1997 <i>Archived</i>	9	\$0.00	\$0.00	\$255.00	\$0.00	\$255.00
mem98	Remembrance 1998 <i>Archived</i>	10	\$0.00	\$0.00	\$282.50	\$0.00	\$282.50
mem99	Remembrance 1999 <i>Archived</i>	2	\$0.00	\$0.00	\$30.00	\$0.00	\$30.00
ph92	Phonathon 1992 <i>Archived</i>	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
rem97	Past Remembrances <i>Archived</i>	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
trust	Trust Distribution <i>Fraydon Manocherian</i>		\$0.00	\$0.00	\$500,000.00	\$0.00	\$500,000.00
whd00raff	2000 Woman's Health Day Ra <i>Archived</i>	16	\$0.00	\$0.00	\$0.00	\$1,599.00	\$1,599.00
whdbksales00	Woman's Health Day Book S <i>Archived</i>	19	\$0.00	\$0.00	\$2,085.75	\$0.00	\$2,085.75
and Totals:			\$0.00	\$1,498.00	\$508,855.27	\$1,599.00	\$511,952.27

194 Gift(s) listed

74 Donor(s) listed

OBITUARIES

Nicholas Perrotti

Owned P & M Lunch

WATERBURY — Nicholas Perrotti, 88, of Southington, died Thursday, Nov. 23, 2006, at the Summit At Plantsville following a sudden, brief illness. He was the husband of Mary Camille Perrotti for 67 years.



Nick was born and raised in Waterbury; son of the late Carmen and Antoinette (Ciampi) Perrotti. For the past 25 years, he enjoyed his winters in Florida and summers in Connecticut. He was a World War II veteran of the Army, 97th Infantry Division, where he was called "the Duke," a nickname that he continued to enjoy throughout his life. Nick retired from the Scovill Manufacturing Co. in 1975 after 39 years of service. He also owned and operated the former P&M Lunch on Davis Street in Oakville from 1967 through 1978. He was a founding member of the Oakville V.F.W. branch, a member of the Knights of Columbus, and the Favale Generale Post in Waterbury.



In addition to his loving wife Mary, Nick is survived by a daughter and son-in-law, Jane and Tom Rembish of Southington; three brothers, Frank Perrotti of Mansfield, Donald Perrotti of Middlebury and Robert Perrotti of Waterbury; three sisters, Rose Favale and Josie Laudatte, both of California, and Louise Varanelli of Waterbury; three grandchildren, Krista Paul and Matt Rembish; a great-granddaughter, Avery Rembish; and several nieces and nephews, including Joanne and Joe Rainone, and Gerry and Steve Joyce of Waterbury. He was predeceased by three brothers, Pat, Charles, and Richard Perrotti.

A Mass of Christian Burial will be celebrated Thursday, Nov. 30, at 11 a.m. at St. Aloysius Church, Plantsville. Burial will be at the convenience of the family in Calvary Cemetery. Calling hour is Thursday 9:30 to 10:30 a.m., prior to the Mass, at Plantsville Memorial Funeral Home, 975 South Main St., Plantsville. In lieu of flowers, memorial donations may be made to St. Jude's Children's Hospital or to the American Heart Association.

George Kundel

Mrs. Margaret E. Fravel

Was registered nurse

WATERBURY — Mrs. Margaret E. (Harrison) Fravel, 93, of North Main Street, died Saturday, Nov. 25, 2006, at Saint Mary's Hospital in Waterbury. She was the widow of Samuel Fravel.

Mrs. Fravel was born Feb. 28, 1913, in Waterbury, daughter of the late James and Margaret (Hanley) Harrison. Raised in Waterbury, she was a graduate of St. Mary's Grammar School, Wilby High School and the Saint Mary's Hospital School of Nursing, class of 1934. She had worked as a registered nurse at Saint Mary's Hospital and as a private duty nurse. She was a communicant of the Immaculate Conception Church.

She is survived by three sons, James Fravel and his wife, Barbara, of Bozrah, David Fravel and his wife, Patricia, of Houston, Texas, and Richard Fravel and his wife, Leslie, of Manassas, Va.; five grandchildren, David Fravel and his wife, Michelle, Ted Fravel and his wife, Alicia, Megan Thompson and her husband, Ian, Peter Fravel and his wife, Anna, and Tracy Scott; and six great-grandchildren. She was predeceased by a brother, Rev. James Harrison.

Arrangements: Funeral will be held on Thursday morning at 10:30 a.m. from the Bergin Funeral Home, 290 East Main St., to Immaculate Conception Church for a Mass of Christian Burial at 11 a.m. Burial will be in Calvary Cemetery. Friends may call at the funeral home from 9:30 to 10:30 a.m.

Memorial contributions may be made to the Saint Mary's Hospital Building Fund, 56 Franklin St., Waterbury 06706.

Harry J. D'Andrea

Funeral on Friday

BETHLEHEM — Harry Joseph D'Andrea, 68, died Sunday, Nov. 26, 2006, at his home. He was the husband of the late Mildred (Gagne) D'Andrea.

He leaves two daughters, Wanda Brunelle and her husband, Ronald, of Bethlehem, and Marjorie DelPivo and her husband, Robert, of Cedar Valley, Utah; two sisters, Amy Denticio of Gorham, Maine, and Norma D'Andrea of Maine; three grandchildren, Aaron Brunelle, A.J. DelPivo and Corey Brunelle; three nieces; a nephew; and an uncle, Elden Lyons of Lubec, Maine.

A funeral will be held at noon on Friday at the Woodbury Funeral Home of Manson-Lovetere, 2

ACHIEVERS

SCHOLAR ATHLETES

SOUTHINGTON — The twenty-third annual Connecticut High School Scholar-Athlete Awards banquet was held on May 7 at the Aqua Turf Club in Southington. Over 350 student athletes were honored for their academic and athletic achievements during their high school careers. Representing Housatonic Valley Regional High School were Emma Krueger and Matthew Winter.

Krueger is a three-sport varsity athlete who was captain of the girls' soccer team and received league recognition. She is a member of the National Honor Society and ranks in the top ten of the class. She has been involved in the Rotary Interact Club, school newspaper, jazz choir and has served as the student representative to the Board of Education. She will be attending George Washington University.

Winter was captain of his soccer, alpine skiing and lacrosse teams during his senior year. He lead both soccer and skiing teams to win league championships and received his fourth varsity letter in lacrosse. He is an Eagle Scout, a member of the National Honor Society, an AP Honors student and is in the top ten percent of his class. Winter was one of only 300 students accepted into the freshman class of the Coast Guard Academy.

ERIK SEHNAL

CHARLESTON, W.V. — Erik Sehnal of Wolcott is a member of the inaugural class of 79 doctoral students at the University of Charleston School of Pharmacy. The school recently held its first-ever white coat ceremony, a symbolic, non-verbal communication used in healthcare that expresses and reaffirms fundamental belief in a system that society observes. The white coat represents the healing art of healthcare and marks Sehnal's induction into the profession and commitment to the ideals of pharmacy: loyalty, duty and service, competency, commitment to patient care, integrity and honesty, respect for self and others, and justice in the distribution of health services.

VETERANS WHO CARE SCHOLARSHIPS

NAUGATUCK — Michelle Nadeau of the 2006 graduating class of Terryville High School and Kaitlyn Scalisi of the 2006 graduating class of Holy Cross

necticut Superintendents Association:

New Milford: Katrina Cumming and William Hicks (Schaghticoke Middle School) and Elizabeth Herdter and John Touissant (New Milford High School).

Newtown: Tyler Davenport and Shannon Zamary (Newtown Middle School) and Victoria Marlin and George Schork (Newtown High School).

Oxford: Thomas Lovell and Allison Vaivoda (Great Oak Middle School).

Region 12: Megan Woodruff (Shepaug Valley Middle School) and Kyla Peters and Jenna Temple (Shepaug Valley High School).

Region 15: Eric Douglas and Lindsey Sweeney (Memorial Middle School), Rachelle Ronshaugen and Lindsey Tuttle (Rochambeau Middle School), and Elizabeth Curran and Arun Narayanan (Pomperaug High School).

Sherman: Charlotte McConaghy and Greta Waag (Sherman School).

WESTOVER SCHOOL AWARD RECIPIENTS

MIDDLEBURY — The following students were 2006 National Latin Exam Award Winners:

Kate Blum of Waterbury (Corona Olivae), Medusa Mythology Exam and (Summa Cum Laude) National Latin Exam: Latin III.

Kayla Howell of Naugatuck (Bronze Certificate), National Mythology Exam.

Jennifer Aigus of Newtown (Magna Cum Laude), National Latin Exam: Latin I.

Angie Siefert of Naugatuck (Magna Cum Laude), National Latin Exam: Latin II.

Shohana Jannat of Naugatuck (Magna Cum Laude), National Latin Exam: Latin II.

Lauren Mathieu of Southbury (Cum Laude), National Latin Exam: Latin II.

Jackie Siefert of Naugatuck (Cum Laude), National Latin Exam: Latin III.

ALLAN SMITH

COLCHESTER, Vt. — Allan Smith, son of Charles and Jo Ann Smith of Southbury, has been elected Student Association vice president for the 2006-2007 school year at Saint



 Saint Mary's Hospital
Foundation, Inc.

December 23, 2004

Mr. Tom Gessler
Fraydun Manocherian
c/o Harlow, Adams & Friedman, P.C.
300 Bic Drive
Milford, CT 06460

Dear Mr. Gessler,

It is with sincere gratitude that we acknowledge the generous contribution of the Fraydun Manocherian trust for \$500,000 to Saint Mary's Hospital Foundation. This gift has been designated for our building fund and will be used to renovate the Emergency Department. Built over 20 years ago to care for 35,000 patients, the facility is significantly outdated and hampers our ability to care for the 60,000 patients we saw last year. Our renovation plans, which will be significantly enhanced by this contribution, will provide a more efficient setting while ensuring patient privacy.

Each year we look to special friends of Saint Mary's Hospital to help us continue providing the quality compassionate care that has been our hallmark for over 90 years. We are grateful to acknowledge you and the Fraydun Manocherian trust as one of those special friends.

On behalf of the entire Saint Mary's Hospital Family we offer you our thanks. Your gift will make a difference in the health care available in our region.

Sincerely,



Robert P. Ritz
President/CEO
St. Mary's Hospital

P.S. This contribution is available as a tax deduction as no goods or services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

Building Fund

File Edit View Fund Favorites Tools Help

Save and Close

General Solicitors Campaigns Appeals **GL Distributions** Attributes

Fund ID: build

Description: Building Fund Fund is inactive

Start date: Fund category:

End date: Overall goal:

Fund is restricted

Notes: The old Building Fund had 3,602.39 as of 8/31/2000. Those monies were transferred into May 2000. The new Building Fund is comprised of Memorial Fund, One Stop Testing, Outpatient Special Procedures, Physical Medicine, SH 3&5, Sisters of St. Joseph, and Woman's Health Day 2000 Book Sales as of 9/22/00.

12/30/2004

Peggy -

As I went into Raiser's Edge to change the fund on the \$500K gift, I remembered that back in 2000, we merged several funds and the building fund was one of them. Not that it makes a difference, but I thought I'd print this out for you just FYI.

Harnett
12/30/04

August 24, 1997

463 Watertown Road
Middlebury, CT 06762

Saint Mary's Hospital
46 Franklin Street
Waterbury, CT 06702

121
7/14
\$500 -
est 97

Attention: Director of Nursing,

To Whom It May Concern,

Please find enclosed a check for the amount of \$500.00 from the Estate of John F. Carroll. Our family asks that this money be used to help others like you helped our father. Sacred Heart 5 gave excellent and warm nursing care during our father's illness. Thank you.

Very Truly Yours,

Mark D. Carroll

Barbara, Danny, Mark
and Jim Carroll

CC: Sacred Heart 5

Fund Summary Report 1/1/87 - 2/25/11
Employee Crisis Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ecf	Employee Crisis Fund	5,889	\$0.00	\$39,164.20	\$12,183.29	\$0.00	\$51,347.49
	5,889 Gift(s) listed						
	555 Donor(s) listed						
	and Totals:		\$0.00	\$39,164.20	\$12,183.29	\$0.00	\$51,347.49

15

Employee Crisis Fund

Appeal ID	Appeal Description
bb50/50proceeds08	Basketball 50/50 Proceeds '08
bbfoodsals08	Basketball Food Sales '08
bbfreethrow08	Basketball Adult Free Throw Raffle
bbticketsals08	Basketball Ticket Sales '08
hs2008	Hospital Staff Annual Appeal 2008
hs2009	Hospital Staff Annual Appeal 2009

Employee
Crisis Fund
Solicitation
2008

November 12, 2008

«Fname» «Lname»
«Street»
«City_State» «Zip»

Dear «Fname»:

Good Samaritans. Helping other people. Those are things that Saint Mary's Hospital staff members do everyday for our patients. But when the person in need is a co-worker, Saint Mary's staff go one step further through the Employee Crisis Fund.

Several years ago the employees of Saint Mary's contributed to the formation of an employee crisis fund which earmarked dollars for fellow employees in sudden and serious financial need -and in complete confidentiality. Since its creation in 1999, the Employee Crisis Fund has helped over 100 Saint Mary's staff members and their families in their hour of need.

Art Mercado appreciates the Employee Crisis Fund, and he's so grateful that he's now coming forward to tell his story. Art works the third shift in Central Sterile Processing as a technician. Within a year of joining the hospital staff, Art was diagnosed with kidney cancer. On December 6, 2007, he had his left kidney removed - a life saving operation. Unfortunately, it wasn't long before Art started falling behind on his bills.

Art struggled with the weight of his recovery and his mounting debt. "My back was against the wall" he said as he recalled the situation. "And then I remembered learning about the Employee Crisis Fund during my Orientation." Art contacted Joseph Bugliotti, Employee Assistance Program Director and shared his financial struggles. "It was really hard for me to do that - I want to do things on my own. I don't want to ask anybody for anything.. That's the type of guy I am." But with the electric company threatening to turn off his lights, Art needed help.

Funded with donations from staff like you, Art's electric bill was paid from the Employee Crisis Fund. Art now says "I don't know what I would have done without that help."

Assistance from the Employee Crisis Fund is 100% confidential and is available only to qualified staff members for help with utility, heating, medical, mortgage or tax payments. The program has very specific eligibility requirements:

- ✓ Payments are only made to a 3rd party
- ✓ Aid can only be issued one-time
- ✓ Recipients have to be members of the Saint Mary's staff for a minimum of 6 months

This year the employee annual campaign will focus on filling the coffers of the Employee Crisis Fund. Lend a Hand and be there for each other. Please give to the Employee Crisis Fund so when Art or any other member of our family needs our help, we can be there for them. As always payroll deduction is available.

Employees helping employees. That's what makes Saint Mary's a special place to work.

Sincerely,

Peggy Lawlor

Peggy Lawlor
President

Letter Content: Draft Vice President Appeal Letter April 2009

Mr. Clark Kearney
Vice President
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06705

Dear Clark,

As we celebrate "100 Years of Caring", we face many challenges. Given the results of the merger discussion and the insolvency of the State of Connecticut, we know that we can not hope for outside financial help and face the necessity of funding our own needs by ourselves.

The proceeds from the employee annual appeal benefit the Employee Crisis Fund and during the past year 8 employees were helped through the generosity of our gifts. As the economy continues its downward trend many more employees will find themselves in dire circumstances. Providing support to an employee during a difficult time demonstrates the fundamental values that distinguish us from other organizations. It is the Saint Mary's way.

In the past, each Vice President has been asked for an annual gift of \$1,500. Thank you for those gifts. This year your gift is even more critical. As the leaders of our institution we set the example for others to follow. 100% participation by the administrative staff is the critical first step in a successful employee annual appeal. It gives us the credibility to turn to Leadership and ask them to join us and support our employees.

Because this years' campaign is so important, I asked for the role of chairman. We have an opportunity I believe to change the culture of giving at Saint Mary's. It is time to acknowledge our responsibility to the place we work. Please join me in making your gift or pledge today and commit to actively encourage the Department Managers to participate. What a message we will send to our employees, our patients and the community if we can demonstrate 100% participation by the leadership of the hospital. Of course your gift can be made in many ways; a one time gift, a monthly charge to your credit card (which can earn you miles) and of course payroll deduction, the most painless option. Please see the enclosed form to select the method that works best for you.

Please be as generous as you can and make your gift today. Our future begins with us.

Sincerely yours,

Chad Wable, President/C.E.O.
Saint Mary's Hospital 2009Employee Annual appeal

P.S. There are several ways to make your gift almost painless. A monthly pledge payment to a credit card can be handled by the Foundation staff and earn you rewards while supporting your hospital. A reply form is enclosed for your convenience.

Letter Content: General Management Appeal Letter April 2009

Mr. Ellen Sheehan
Director of Library Services
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06705

Dear Ellen,

As we celebrate "100 Years of Caring", we face many challenges. Given the results of the merger discussion and the insolvency of the State of Connecticut, we know that we can not hope for outside financial help and face the necessity of funding our own needs by ourselves.

The proceeds from the employee annual appeal benefit the Employee Crisis Fund and during the past year more than employees were helped through the generosity of our gifts. As the economy continues its downward trend many employees find themselves in dire circumstances. Providing support to an employee during a difficult time demonstrates the fundamental values that distinguish us from other organizations. It is the Saint Mary's way.

Because this years' campaign is so important, I asked for the role of chairman. We have an opportunity I believe to change the culture of giving at Saint Mary's. It is time to acknowledge our responsibility to the place we work. I am pleased to report that we have 100% participation by members of Administrator Council.

It is now time to turn to General Leadership and ask you to join us and make an annual gift in support of the Employee Crisis Fund. As the leaders of our institution we set the example for others to follow. I would like to suggest a gift of \$5.00 to \$10.00 a week through payroll deduction from each member of Leadership. What is more important however is that every one gives something. 100% participation by the members of General Leadership is the critical first step in a successful employee appeal. It gives us the credibility to turn to our co-workers and ask them to join us in supporting their fellow employees.

Please join me in making your gift or pledge today and commit to actively encourage the employees to participate. What a message we will send to our employees, our patients and the community if we can demonstrate 100% participation by the leadership of the hospital.

Please be as generous as you can and make your gift today. Our future begins with us.

Sincerely yours,

Chad Wable, President/C.E.O.
Saint Mary's Hospital 2009 Employee Annual appeal

P.S. There are several ways to make your gift almost painless. A monthly pledge payment to a credit card can be handled by the Foundation staff and earn you rewards while supporting your hospital. A reply form is enclosed for your convenience.

Administrative

Name (Please Print) _____ ID Number _____

- I pledge to support the Employee Crisis Fund for one year with a weekly payroll deduction beginning August 3, 2008 in the amount of:
- \$10 a week (for a total gift of \$520)
 - \$20 a week (for a total gift of \$1,040)
 - \$28.85 a week (for a total gift of \$1,500.20)
 - Other amount: \$ _____ a week for a total gift of \$ _____

Payroll Deduction will begin August 3, 2008 through August 2, 2009 (1 year)

- I have enclosed my gift of \$ _____ to support the Employee Crisis Fund with a gift

Staff Signature _____ Date _____

On behalf of all of your fellow staff members, **thank you!**

Booral Poo

100 Years of Caring

Name (Please Print): _____

Gift Amount \$ _____ Check Enclosed \$2,500 \$1,500 \$1,000 Other

Bill my credit card: MC VISA AMEX Discover

Card #: _____ Expiration Date: _____ One time charge Monthly

Name (Please Print): _____ Please continue my gift payment until I notify you to stop.

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

staff

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$5 \$2 \$1 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

Please continue my gift payment until I notify you to stop.

 Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$5 \$2 \$1 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

Please continue my gift payment until I notify you to stop.

 Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$5 \$2 \$1 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

Please continue my gift payment until I notify you to stop.

 Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

Leadership

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$10 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Please continue my gift payment until I notify you to stop.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

 Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$10 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Please continue my gift payment until I notify you to stop.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

 Saint Mary's
HOSPITAL
Foundation

100 Years of Caring

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$10 Other

Payroll deductions begin October 1, 2009 for one year unless the box at right is checked to continue your gift beyond one year.

Please continue my gift payment until I notify you to stop.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____

 Saint Mary's
HOSPITAL
Foundation



HELPING OUR OWN

Name (Please Print) _____ ID Number _____

- I pledge to support the Employee Crisis Fund for one year with a weekly payroll deduction beginning August 3, 2008 in the amount of:
 - \$5.77 a week (for a total gift of \$300)
 - \$7.69 a week (for a total gift of \$400)
 - \$9.62 a week (for a total gift of \$500)
 - Other amount: \$ _____ a week for a total gift of \$ _____

Payroll Deduction will begin August 3, 2008 through August 2, 2009 (1 year)

- I have enclosed my gift of \$ _____ to support the Employee Crisis Fund with a gift

Staff Signature _____ Date _____
On behalf of all of your fellow staff members, thank you!



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Payroll Deduction will begin August 3, 2008 through August 2, 2009 (1 year)

- I have enclosed my gift of \$ _____ to support the Employee Crisis Fund with a gift

Staff Signature _____ Date _____
On behalf of all of your fellow staff members, thank you!



Hospital Staff 2008 Appeal

November 12, 2008

«Fname» «Lname»
«Street»
«City_State» «Zip»

Dear «Fname»:

Good Samaritans. Helping other people. Those are things that Saint Mary's Hospital staff members do everyday for our patients. But when the person in need is a co-worker, Saint Mary's staff go one step further through the Employee Crisis Fund.

Several years ago the employees of Saint Mary's contributed to the formation of an employee crisis fund which earmarked dollars for fellow employees in sudden and serious financial need -and in complete confidentiality. Since its creation in 1999, the Employee Crisis Fund has helped over 100 Saint Mary's staff members and their families in their hour of need.

Art Mercado appreciates the Employee Crisis Fund, and he's so grateful that he's now coming forward to tell his story. Art works the third shift in Central Sterile Processing as a technician. Within a year of joining the hospital staff, Art was diagnosed with kidney cancer. On December 6, 2007, he had his left kidney removed - a life saving operation. Unfortunately, it wasn't long before Art started falling behind on his bills.

Art struggled with the weight of his recovery and his mounting debt. "My back was against the wall" he said as he recalled the situation. "And then I remembered learning about the Employee Crisis Fund during my Orientation." Art contacted Joseph Gugliotti, Employee Assistance Program Director and shared his financial struggles. "It was really hard for me to do that - I want to do things on my own. I don't want to ask anybody for anything. That's the type of guy I am." But with the electric company threatening to turn off his lights, Art needed help.

Funded with donations from staff like you, Art's electric bill was paid from the Employee Crisis Fund. Art now says "I don't know what I would have done without that help."

Assistance from the Employee Crisis Fund is 100% confidential and is available only to qualified staff members for help with utility, heating, medical, mortgage or tax payments. The program has very specific eligibility requirements:

- ✓ Payments are only made to a 3rd party
- ✓ Aid can only be issued one-time
- ✓ Recipients have to be members of the Saint Mary's staff for a minimum of 6 months

This year the employee annual campaign will focus on filling the coffers of the Employee Crisis Fund. Lend a Hand and be there for each other. Please give to the Employee Crisis Fund so when Art or any other member of our family needs our help, we can be there for them. As always payroll deduction is available.

Employees helping employees. That's what makes Saint Mary's a special place to work.

Sincerely,

A handwritten signature in cursive script that reads "Peggy Lawlor".

Peggy Lawlor
President

1765

*Dept Manager
\$500*

July 12, 2008

Ms. Jean St Pierre

Dear Jean,

This year has been one of hope and uncertainty for the employees at Saint Mary's Hospital. The merger discussions between Saint Mary's and Waterbury Hospital community are happening at a time of increased demand for health care. Outside forces such as an increase in the median age of our patients, significant encroachment into our service area by other hospitals and the flight of the business community from our region have strained our already limited base of philanthropy.

While as hospital leaders we struggle to address these issues, we cannot forget the impact this uncertainty has on all of our employees. In recognition of this, the beneficiary of this year's employee annual appeal will be the Employee Crisis Fund. Perhaps due to employee stress regarding their future or an economy headed in the wrong direction, we have seen an increase in the number of employees seeking help from the fund. The last campaign we conducted for the fund was in August of 1999. It is time to refill the coffers. Our employees rely on its existence. Just ask Art Mercado.

Art Mercado appreciates the Employee Crisis Fund, and he's so grateful that he's now coming forward to tell his story. Art works the third shift in Central Sterile Processing as a technician. Within a year of joining the hospital staff, Art was diagnosed with kidney cancer. On December 6, 2007, he had his left kidney removed – a life saving operation. Unfortunately, it wasn't long before Art started falling behind on his bills.

Art struggled with the weight of his recovery and his mounting debt. "My back was against the wall" he said as he recalled the situation. "And then I remembered learning about the Employee Crisis Fund during my Orientation." Art contacted Joseph Gugliotti, Employee Assistance Program Director, and shared his financial struggles. "It was really hard for me to do that – I want to do things on my own. I don't want to ask anybody for anything. That's the type of guy I am." But with the electric company threatening to turn off his lights, Art needed help. Funded with donations from staff like you, Art's electric bill was paid from the Employee Crisis Fund. Art now says "I don't know what I would have done without that help."

As members of Leadership Council you have an opportunity to send a strong message about the commitment and concern our managers have for their employees. A suggested gift of \$ 10.00 a week or a gift of any size will make a huge difference to an employee. As always payroll deduction is available, or use your credit card to earn points for miles or rewards. Use the enclosed envelope to make your gift today. On behalf of our employees thank you.

Sincerely,

Fernanda Silva
Data Center Manager

admin Restricted ECF

July 10, 2008

Mrs. Sandra Roosa

Dear Sandy,

While we are in the midst of a turbulent year and prolonged merger negotiations, I am writing to you with a special appeal on behalf of the Saint Mary's Hospital 2008 Annual Appeal. This year the employee annual appeal will benefit our own Employee Crisis Fund.

As the administrators at Saint Mary's, you understand that regardless of the outcome of the negotiations, there remains a critical need to raise philanthropic dollars to fund our day to day operations. Our 2008 strategic plan includes many projects that are on hold due to a lack of capital dollars. As you well know our immediate future includes over \$19 million of necessary replacements and upgrades, primarily due to obsolescence. Whether we become part of a larger system or remain an independent institution, we will be dependent on charitable gifts to help make those changes

As if those issues were not enough to manage, we need to be cognizant of the impact all of this uncertainty has on our employees. There is a growing fear of the unknown. What does all of this merger talk mean for me? Will I have a job next year? As the economy continues to falter, pressure mounts as prices rise and savings decrease. That pressure means more stress and perhaps an inability to cover basic living expenses. All it takes is one unforeseen incident and suddenly even the most industrious employee is in need. Just ask Art Mercado.

Art Mercado appreciates the Employee Crisis Fund, and he's so grateful that he's now coming forward to tell his story. Art works the third shift in Central Sterile Processing as a technician. Within a year of joining the hospital staff, Art was diagnosed with kidney cancer. On December 6, 2007, he had his left kidney removed - a life saving operation. Unfortunately, it wasn't long before Art started falling behind on his bills.

Art struggled with the weight of his recovery and his mounting debt. "My back was against the wall" he said as he recalled the situation. "And then I remembered learning about the Employee Crisis Fund during my Orientation." Art contacted Joseph Gugliotti, Employee Assistance Program Director, and shared his financial struggles. "It was really hard for me to do that - I want to do things on my own. I don't want to ask anybody for anything. That's the type of guy I am." But with the electric company threatening to turn off his lights, Art needed help. Funded with donations from staff like you, Art's electric bill was paid from the Employee Crisis Fund. Art now says "I don't know what I would have done without that help."

Right now, we have the opportunity to see that our employees have a place to turn if they should face that unforeseen event. 100% participation by the administrative staff will send a message to leadership council and hourly employees that this is a particularly important time to take care of each other. Please make your gift of \$1,500 today. A pledge can be paid through a weekly payroll deduction of \$ 28.85 or you can be billed monthly or quarterly. Thank you for your support of our hospital family.

Sincerely,

*Leadership
Managers \$ 500*

July 12, 2008

Ms. Jean St Pierre

Dear Jean,

This year has been one of hope and uncertainty for the employees at Saint Mary's Hospital. The merger discussions between Saint Mary's and Waterbury Hospital community are happening at a time of increased demand for health care. Outside forces such as an increase in the median age of our patients, significant encroachment into our service area by other hospitals and the flight of the business community from our region have strained our already limited base of philanthropy.

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As members of Leadership Council you have an opportunity to send a strong message about the commitment and concern our managers have for their employees. A suggested gift of \$ 10.00 a week or a gift of any size will make a huge difference to an employee. As always payroll deduction is available, or use your credit card to earn points for miles or rewards. Use the enclosed envelope to make your gift today. On behalf of our employees thank you.

Sincerely,

Supervisor
#250

July 12, 2008

Ms. Jean St Pierre

Dear ,

This year has been one of hope and uncertainty for the employees at Saint Mary's Hospital. The merger discussions between Saint Mary's and Waterbury Hospital community are happening at a time of increased demand for health care. Outside forces such as an increase in the median age of our patients, significant encroachment into our service area by other hospitals and the flight of the business community from our region have strained our already limited base of philanthropy.

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As members of Leadership Council you have an opportunity to send a strong message about the commitment and concern our managers have for their employees. A suggested gift of \$ 5.00 a week or a gift of any size will make a huge difference to an employee. As always payroll deduction is available, or use your credit card to earn points for miles or rewards. Use the enclosed envelope to make your gift today. On behalf of our employees thank you.

Sincerely,

Fernanda Silva
Data Center Manager

May 26, 1999

15

«AddSal»
«Pos»
«BUOrgNm»
«AddrL1»
«AddrL2»
«AddrL3»
«AddrL4»
«City», «St» «ZipCd»

Dear «AdditSal»,

Last year, you and other St. Mary's Hospital employees donated over \$27,000 to the Employee Crisis Fund. To date, over \$16,000 has been given to needy St. Mary's employees who had no other place to turn for financial assistance.

Your contributions helped one family from being evicted from their apartment following the prolonged illness of the employee. A single mother with three young children was unable to pay for oil to fill the tank during the winter. We filled the tank. We made a mortgage payment to stop foreclosure proceedings against a single mother with children where the ex-husband refused to pay child support. We paid an overdue electric bill for a financially struggling mother of four so she could begin to get on her feet. In these examples and in other cases, the employees were counseled and referred to Consumer Credit Counseling, if it was appropriate.

Overall, 25 employees were interviewed confidentially and given financial assistance at a time when the Employee Emergency Fund was their last hope. We are proud of our ability to help the members of "St. Mary's family" and we will continue helping others during the next year, too.

Some of you have asked if we are soliciting funds again this year. The plan is to postpone active solicitation for the Employee Crisis Emergency Fund for one year, however, for anyone who wishes to continue his or her payroll deduction or make a cash gift again during June, we are happy to make this opportunity possible.

In order to make this process easy for all concerned, if you do not wish to continue your payroll deduction to the Employee Emergency Fund for another year, please contact the Foundation Office with your request before June 15, 1999.

If you wish to continue the payroll deduction for one more year, do nothing. The lack of response by June 15, 1999 will begin the pledge process again starting the 1st week in July. You will also receive a charitable gift acknowledgement letter for tax purposes.

Thank you for all you do for St. Mary's and "our family". Call the Foundation office at X6390 with questions.

Sincerely,

Warren G. Sarasin
Executive Director

Employee Annual Appeal Meeting
June 26, 1997
at 10:30 a.m.

Attended: Lou D'Auria, Pat Dwyer, Jack Girard, Wendy Nelson and Ellen Vitale. From the Foundation Office Warren Sarasin and Harriet Sisbaro.

Highlights of the Meeting:

☞ Employee giving for last three years (unrestricted)

☞ 1995	\$ 584
☞ 1996	1,259
☞ 1997	106 (thru 5/31/97)

☞ W. Sarasin explained suggested Employee Annual Appeal concept:

- * Need to show outside community that hospital family - staff and physicians support St. Mary's Hospital financially to help generate support from Corporations and Foundation.
- ☞ Campaign could run for one to two months.
- ☞ Goal 100% participation not a dollar amount for the first year.
- ☞ Suggested use for the funds - Employee Emergency Fund.
- ☞ One time grant to an employee in financial distress.
 - * Confidential application would be made to social worker type at St. Mary's Hospital.
- ☞ Employee donors would be thanked thru:
 - * Acknowledgment letters
 - * Name on Wall of Honor
 - * Label pin
- ☞ Publicity
 - * Posters throughout Hospital
 - * Carelines Articles
- ☞ Theme - suggestion - "Helping Hands"

☞ The Committee made the following recommendations:

- ☞ Information sessions need to be held at the Department level following an announcement at the Senior Management meeting.
- ☞ Letter announcing the campaign should come from the Employee Committee.

- Ⓟ EAP staff may be the best people to have confidential interviews with employees in financial distress.
- Ⓟ Campaign should not begin until April or May 1998 to eliminate conflict with the United Way Campaign in October.

☞ Warren Sarasin will call another meeting in the Fall where information regarding pins and promotion will be discussed.

SMH
Memorandum

To: Prospective Committee Members
From: Warren Sarasin, Executive Director
St. Mary's Hospital Foundation
Date: June 19, 1997
Re: Employee Annual Appeal Committee

Yes, I am asking you to consider joining a Steering Committee to discuss and plan for an Employee Annual Appeal for the Fall.

No, it will not take much time. Probably no more than two meetings of one hour each.

I have been told that the timing for an Employee Appeal may be wrong. However, when you hear what the money raised will be used for, I am certain you will agree it is important and worth your time and input.

Please plan to attend the meeting at 10:30 a.m., Thursday, June 26, 1997 in the Public Affairs Conference room.

Coffee and muffins will be served as an incentive to attend.

Call Harriet at x6390 in the Foundation Office to confirm your attendance. Thank you.

WS/jk

Fund Summary Report
SMH Sys. Enrichment Grant Prog. Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
systemsenrich	SMH Sys. Enrichment Grant P	3,605	\$15,453.90	\$41,165.10	\$11,426.25	\$0.00	\$68,045.25
	3,605 Gift(s) listed						
	304 Donor(s) listed						
	and Totals:		\$15,453.90	\$41,165.10	\$11,426.25	\$0.00	\$68,045.25

Appeal Summary Report
 SMH Sys. Enrichment Grant Prog. Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
hs2008	Hospital Staff Annual Appeal:	16	\$0.00	\$189.00	\$20.00	\$0.00	\$209.00
hs2009	Hospital Staff Annual Appeal:	1,388	\$483.00	\$21,371.25	\$3,560.17	\$0.00	\$25,414.42
hs2010	Hospital Staff Annual Appeal:	1,830	\$3,406.80	\$14,787.45	\$4,595.50	\$0.00	\$22,789.75
hs2011	Hospital Staff Annual Appeal:	371	\$11,564.10	\$4,817.40	\$3,250.58	\$0.00	\$19,632.08
		and Totals:	\$15,453.90	\$41,165.10	\$11,426.25	\$0.00	\$68,045.25

3,605 Gift(s) listed
 304 Donor(s) listed



Enrichment Grant Program

Watch us grow.

Enrichment
Grant Solicitation
2011

March 16, 2011

«Fname» «Lname»
«Street»
«City_St» «Zip»

Dear «Fname»:

Co-Chairmen

Mark Casey
Peter Cordeau

Committee

Kate Condon
Lisa Fucci
Paula Giannelli
Michelle Godin
Lisa Grimm
Aida Medina
Kelly Napiello
Sally Silva
Elizabeth Speed
Barbara Sullivan

We hope that you were able to hear about the initiative for the Saint Mary's Hospital annual employee campaign at your orientation. If you weren't able to attend or have yet to make your decision about supporting Saint Mary's, we hope that you will give careful consideration to this year's campaign. We believe that the approach we are taking this year offers an unprecedented opportunity for employee involvement.

Through our Enrichment Grant Program, employees have the opportunity to directly participate in the development of a hospital program or service that enhances patient care, enriches our community and promotes individual and organizational growth. A committee of your peers reaches out and solicits new ideas or a project that perhaps was not previously funded due to the hospital's limited financial resources. This means that your dollars will go directly to areas that, we as employees, feel will make a difference. We can assure you that there is a very rigorous and careful review process that ensures appropriate use of your funds. As members of the grant committee, we take our stewardship role very seriously. The Mission Statement and guidelines for awarding of the grants can be found on our Infonet.

Of course, one of the key underpinnings of this campaign is recognizing that Saint Mary's is Greater Waterbury's biggest and best charity. As a member of our team, you will certainly be familiar with the amazing work we do here. As we continue to forge our path to the future, it is important that we all support this great organization both individually and collectively. A commitment by the employees in an organization is critical in securing funding from outside sources.

We ask that you join us in supporting Saint Mary's with a contribution to the fund. For your convenience, payroll deduction is available. A pledge card is enclosed for your use. Thank you.

Sincerely,

Lezlye Zupkus
Director of Development

Enrichment Grant Program *Watch us grow.*

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$5 \$2 \$1 Other

Payroll deduction begins upon submitting donation for one year unless the box at right is checked to continue your gift beyond one year.

Please continue my gift payment until I notify you to stop.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____


Saint Mary's
HOSPITAL
Foundation

Enrichment Grant Program *Watch us grow.*

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Employee number _____

Gift Amount \$ _____ Check Enclosed

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Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____


Saint Mary's
HOSPITAL
Foundation

Enrichment Grant Program *Watch us grow.*

Name (Please Print): _____

Employee number _____

Gift Amount \$ _____ Check Enclosed

Weekly payroll deduction: \$5 \$2 \$1 Other

Payroll deduction begins upon submitting donation for one year unless the box at right is checked to continue your gift beyond one year.

Please continue my gift payment until I notify you to stop.

Name (Please Print): _____

Address: _____

City: _____ State: _____ Zip: _____

Signature: _____ Date: _____


Saint Mary's
HOSPITAL
Foundation

Enrichment Grant Program

Watch us grow.


**Saint Mary's
HOSPITAL
Foundation**

It All Comes Back To You

Together, we're making it happen!

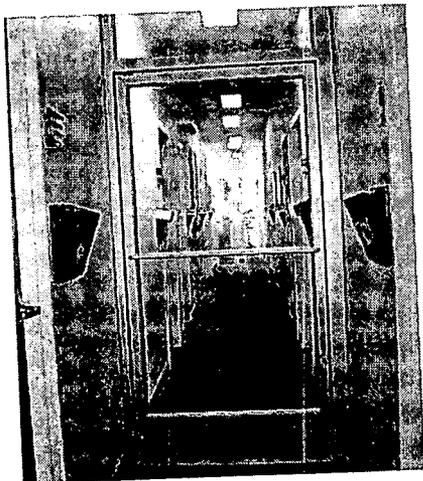
The Saint Mary's Health System Enrichment Grant Program has been a great success because of the amazing generosity of our staff. So far we have raised \$50,000, of which \$25,590 has been distributed to 13 programs that enhance patient care.

A committee of your fellow staff members has been designated to review and award the grants on a quarterly basis. Here is what a few of the recipients have to say:

“My staff was very excited to be selected for the grant; it made us feel “included” in the hospital and we felt that we were recognized for our efforts to better serve our patients. In addition, our patients have also seen the positive results in our department since receiving the grant. Thank you again for coordinating this valuable resource for the staff and patients of Saint Mary's Health System. ”

Pam Pratt

Out Patient Behavioral Health Care Services



“The glass doors provide security and prevent children from running up and down the halls. The doors help with patient confidentiality and deter parents from interrupting the provider while they are seeing other families. Most importantly the staff loves them as they have been asking for this since we moved. ”

Marcia Blinstrubas

Children and Family Health Center

Please help us continue to make it happen — keep supporting this worthwhile program, or become a new donor (payroll deduction from last year ends September 30, 2010, re-enrollment begins October 1, 2010).

Enrichment Grant applications are available online. For more information or to make a donation, please contact Lindsey Spinella at (203) 709-6390 or lindsey.spinella@stmh.org.

Enrichment Grant Program

Watch us grow.

Saint Mary's
HOSPITAL
Foundation

It All Comes Back To You

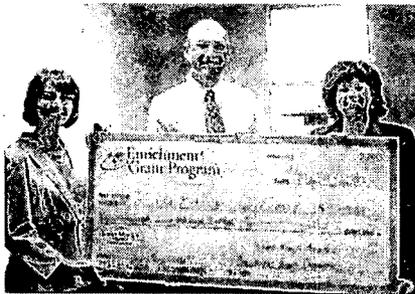
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A committee of your fellow staff members has been designated to review and award the grants on a quarterly basis. Here is what a few of the recipients have to say:

“The Enrichment Grant program's award provides us with classroom computers and a digital camera enhancing our ability to frequently connect with parents. Funds documenting a child's progress through assessment reports to parents, as well as visual images chronicling events on the children's day can be completed during quiet classroom moments. Connected to the classroom by radio standards, the teachers' ability to conduct research for lesson plans, newsletter development and other computer related activities will enhance our efficiency. A picture is worth a thousand words” is a teachable moment for us and our NAEYC accreditation requires a portfolio to depict criteria that might be missed at the time of a visit.”

Marie Monahan
Director, Child Development Center

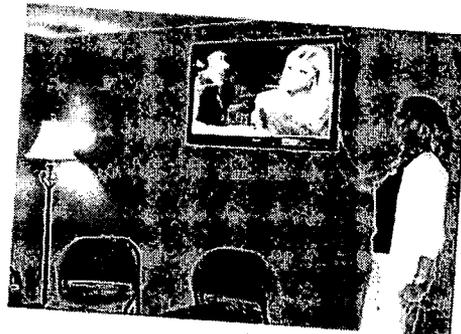


“The Sleep Center was thrilled to receive the grant. We provide excellent service to our patients, but our patient bedrooms were looking a bit dated. The furniture was delivered and I got emails and calls from the sleep technologists telling me how great everything looked. I could hear the enthusiasm in their voice. It really meant a lot to the staff.”

Linda A. Bakos, RPSGT
Director, Outpatient Services

“Patient Access was awarded funds to purchase two TV's for a waiting area. Staff and patients alike agree that adding the TV's change the way the area looks, feeling more like a living room. This has allowed us to accommodate visiting families of patients. They feel more at home and cozy in the surroundings. The staff believes that the funds from the Grant are being used in a positive way and are supporting improvement of the Hospital's image.”

Jaimelyn Burabam
Patient Access



Please help us continue to make it happen — keep supporting this worthwhile program, or become a new donor (payroll deduction from last year ends September 30, 2010, re-enrollment begins October 1, 2010).

Enrichment Grant applications are available online. For more information or to make a donation, please contact Lezlye Zupkus at (203) 709-3761 or lezlye.zupkus@stnh.org.



Enrichment Grant Application Format

Proposal Narrative

- 1. Detailed Summary:** Describe the purpose of this request and who will benefit from the grant? Is this a new program or an expansion of an existing program? What are the specific quantifiable results you plan to achieve?
- 2. Organization:** Who will be the direct beneficiaries of the program or service?
- 3. Statement of Need:** How does this program or service relate to the mission of the Enrichment Grant Program?
- 4. Work plan:** Describe your plans for implementing the program or service. For instance **Who** will be carrying out the activities and who will benefit from the grant? **WHEN** will the activities occur?

Contact Person

Name	Department	E-Mail	Extension
------	------------	--------	-----------

Proposed Project Budget & Explanation

Attach budget for proposal and all pertinent information related to your request. If you have any questions, please contact any member of the committee or Foundation at 709-6390.

Enrichment Grant Program

Watch us grow.

Saint Mary's
HOSPITAL
Foundation

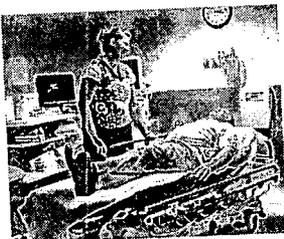
It All Comes Back To You

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A committee of your fellow staff members has been designated to review and award the grants on a quarterly basis. Here is what a few of the recipients have to say:

“On behalf of the Ultrasound department, I would like to thank the Enrichment Grant committee for awarding us a new specialty stretcher. This piece of equipment has been a wonderful addition to our department. It has helped to increase our patients' safety with more sturdy handrails and allows us the ability to accommodate greater weight capacity. It has also improved scanning ergonomics for the technologists and is easily converted to accommodate CYN exams. We believe that the Enrichment Grant Awards are a beneficial opportunity for fellow employees to make a difference at Saint Mary's and puts the patients at the center of care.”



Mary Anne Carpenter
Clinical Manager, Radiology

“The Enrichment Grant program's award provides us with classroom computers and a digital camera enhancing our ability to frequently connect with parents. Emails documenting a child's progress through assessment reports to parents, as well as visual images chronicling events in the children's day can be completed during quiet classroom moments. Confined to the classroom by ratio standards, the teachers' ability to conduct research for lesson plans, newsletter development and other computer related activities will enhance our efficiency. A picture is worth a thousand words” is a teachable moment for us and our NAEYC accreditation requires a portfolio to depict criteria that might be missed at the time of a visit.”

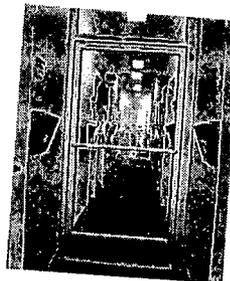


Marie Monahan
Director, Child Development Center



“The Sleep Center was thrilled to receive the grant. We provide excellent service to our patients, but our patient bedrooms were looking a bit dated. The furniture was delivered and I got emails and calls from the sleep technologists telling me how great everything looked. I could hear the enthusiasm in their voice. It really meant a lot to the staff.”

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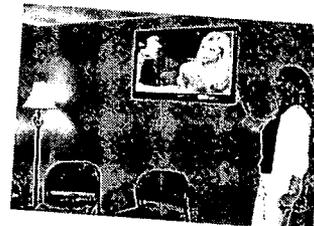
Marcia Blinstrubas
Children and Family Health Center

“My staff was very excited to be selected for the grant; it made us feel “included” in the hospital and we felt that we were recognized for our efforts to better serve our patients. In addition, our patients have also seen the positive results in our department since receiving the grant. Thank you again for coordinating this valuable resource for the staff and patients of Saint Mary's Health System.”



Pam Pratt
Out Patient Behavioral Health Care Services

“Patient Access was awarded funds to purchase two TVs for a waiting area. Staff and patients alike agree that adding the TV's change the way the area looks, feeling more like a living room. This has allowed us to accommodate waiting families of patients. They feel more at home and cozy in the surroundings. The staff believes that the funds from the Grant are being used in a positive way and are supporting improvement of the Hospital's image.”



Jaimelyn Burnham
Patient Access

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January 15, 2010

Enrichment
Grant
Solicitation
2010

«Fname» «Lname»
«Street»
«City_State» «Zip»

(T)
Enrichment
Grant Program
Watch us grow.

Dear «Fname»:

We hope that you were able to hear about a new initiative for the Saint Mary's Hospital annual employee campaign at your orientation. If you weren't able to attend or have yet to make your decision about supporting Saint Mary's, we hope that you will give careful consideration to this year's campaign. We believe that the approach we are taking this year offers an unprecedented opportunity for employee involvement.

Beginning this year, through a new Enrichment Grant Program, employees will have the opportunity to directly participate in the development of a hospital program or service that enhances patient care, enriches our community and promotes individual and organizational growth. A committee of your peers will reach out and solicit new ideas or a project that perhaps was not previously funded due to the hospital's limited financial resources. This means that your dollars will go directly to areas that we as employees feel will make a difference. We can assure you that there will be a very rigorous and careful review process that will ensure appropriate use of your funds. As members of the grant committee, we take our stewardship role very seriously. The Mission Statement and guidelines for awarding of the grants can be found on our Infonet.

Of course, one of the key underpinnings of this campaign is recognizing that Saint Mary's is Greater Waterbury's biggest and best charity. As a member of our team, you will certainly be familiar with the amazing work we do here. As we continue to forge our path to the future, it is important that we all support this great organization both individually and collectively. A commitment by the employees in an organization is critical in securing funding from outside sources.

We ask that you join us in supporting Saint Mary's with a contribution to the fund. For your convenience, payroll deduction is available. A pledge card is enclosed for your use. Thank you.

Sincerely,



Lezlye Zupkus
Director of Development

Leadership

Sydenrich

Dear

We hope that you were able to hear about a new initiative for the 2007 ~~Saint Mary's~~ annual employee campaign at one of our recent General Leadership meetings. If you weren't able to attend one of those meeting, or have yet to make your decision about supporting Saint Mary's, we hope that you will give careful consideration to this year's campaign. We believe that the approach we are taking this year offers an unprecedented opportunity for employee involvement.

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We have made our own gift and ask that you join us in supporting Saint Mary's with a contribution to the fund. For your convenience payroll deduction is available. A pledge card is enclosed for your use. Thank you.

Sincerely,

Mark Casey, Co-Chair

Peter Cordeau, Co-Chair

Saint Mary's Enrichment Grant Committee

Aida Medina
Barbara Sullivan
Dottie Weigold
Kate Condon

Lisa Fucci
Lisa Grimm
Michele Godin
Pam Giannelli

**Saint Mary's
Enrichment
Grant
Committee**

Mark Casey, Co-Chair

Peter Cordeau, Co-Chair

Aida Medina

Lisa Fucci

Barbara Sullivan

Lisa Grimm

Sttie Weigold

Michele Godin

Kate Condon

Pam Giannelli

Dear

We are pleased to report that the 2009 Saint Mary's Hospital Enrichment Grant Program raised nearly \$50,000 to pay for projects and improvements that you and your coworkers believe are vital in helping St. Mary's provide "exceptional care to every patient every day". So far, more than projects have been approved and \$ has been awarded with the remainder to be awarded during the fourth round of allocations. Your incredible generosity, camaraderie and teamwork resulted in **new outpatient rehabilitation equipment, waiting room televisions, furniture upgrades, computers, stretchers, access doors and more.** The applications reviewed assessed patient needs and suggested creative ideas and initiatives to improve patient experiences. Your generous donations made this possible. Working together, Saint Mary's employees really made a difference.

Once again, your enthusiastic involvement is critical as we begin the 2010 Enrichment Grant Appeal. The committee will be soliciting new ideas and recommendations from you and your departments and asking for your financial support. Together we will experience a sense of accomplishment knowing that our dollars will go directly to areas that we as employees feel are important to delivering exceptional care.

To date, 100 percent of the Board of Directors and the Executive Team have contributed to the 2010 annual appeal. Last year 47% of the Leadership team contributed to the fund, and this year our goal is 100 percent. When we can show our staff, community businesses and residents that we have received donations from every member of our leadership, we are much more likely to earn their support in return.

Again this year, there will be a very rigorous and careful review process that will ensure every idea and recommendation receives equal consideration. As members of the grant committee, we take our stewardship role very seriously. A copy of the Mission Statement and guidelines for awarding of the grants is enclosed.

As a member of the Saint Mary's team, you are an integral part of the amazing work we do here and can take pride knowing you have contributed to the hospital's reputation for excellence. Please consider making a financial contribution as well.

As committee members, we have made our own gift and ask that you join us in supporting Saint Mary's. For your convenience payroll deduction is available. A pledge card is enclosed for your use. Thank you in advance for your generosity.

Sincerely,

⊕
Saint Mary's
HOSPITAL
Foundation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

If you attended one of our recent General Leadership meetings you heard about an exciting new initiative for the 2009 Saint Mary's Hospital annual employee campaign. If you weren't able to attend one of those meetings, or have yet to make your decision about supporting Saint Mary's, we hope that you will give careful consideration to this year's campaign. We believe that the approach we are taking this year offers an unprecedented opportunity for employee involvement.

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Sincerely,



Mark Casey, Co-Chair



Peter Cordeau, Co-Chair

Saint Mary's Enrichment Grant Committee

Kate Condon
Michele Godin
Barbara Sullivan

Lisa Fucci
Lisa Grimm
Dottie Weigold

Pam Giannelli
Aida Medina

X I6



Foundation, Inc.

Authorization To Create A Temporarily Restricted Special Purpose Fund

(Please attach all supporting documentation)

Date Fund Created: 9/22/09
Source of Fund: Hospital Staff donations Initial Amount: _____
Name of Fund: SMH ~~Systems~~ Enrichment Grant Program
Will there be subsequent additions to this Fund? yes

Purpose of Fund:
See attached

Person(s) Authorized to Approve Distributions:
Peggy Lawlor

Margaret Honk
Senior Management Approval CEO/CFO

Date 9/4/09

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____



«Skip Record If...»

July 27, 2009

Board of Directors

Eric D. Albert

Chairman

Mark C. Yanarella

Vice Chairman

George J. Strobel, Jr.

Secretary

Leonard J. Frank

Treasurer

John H. Barlow, Jr.

Frederick W. Blasius, Jr.

John P. Burke

Joseph Carlson, III

Michael C. Culhane

Theresa M. Dudrick

Jaci Carroll Hanratty

Peter J. Jacoby, M.D.

Paul F. Kelly, M.D.

Paul J. Largay

David Alan Roer, M.D.

Laura G. St. John

Keith F. Sullivan, C.P.A.

Maria Taylor

Carmen Anthony Vacalebrie

Stephen C. Widman, M.D.

Sharon Zilahy, R.N.

John A. Zinno, Jr., C.P.A.

Corporators

Katherine Berman

Louise G. Boulanger

Sally A. Bowman

Lawrence H. Engelman, Esq.

Sally Kernan

The Most Rev. Henry J. Mansell

Joseph A. Mengacci, Esq.

Daniel Noonan

Police Chief Neil M. O'Leary

Eric J. Olson, M.D.

Edmund J. White

Margaret J. Lawlor

President

56 Franklin Street
Waterbury, CT 06706
203-709-6390 Phone
203-709-3272 Fax
Foundation@stmh.org

«Additional_Addressee_1»

«Address_line_1»

«Address_line_2»

«City», «State» «ZIP_Code»

Dear «Additional_Salutation_1»:

Saint Mary's Health System is very fortunate to have the talented and committed individuals it does serving as members of General Leadership. When one of those leaders makes a substantial financial gift, we are doubly blessed.

«Additional_Salutation_1», your support of the annual employee campaign is greatly appreciated. Your restricted gift of «Amount» to the Saint Mary's Health Systems Enrichment Grant Program will help us reach our goal of 100% participation by the members of the hospital family.

As we reported at our July meeting, all proceeds from this campaign will be used to establish the Saint Mary's Health Systems Enrichment Grant Program Fund to underwrite grants for programs and projects that are submitted by Saint Mary's Health Systems staff members to improve the quality of care we offer our patients. The grant process will be competitive and the committee pledges to be good stewards of your generosity in awarding those grants.

Thank you for the guidance and leadership you provide for Saint Mary's Health Systems every day. Fulfilling our mission is far easier with leaders like you on our team.

Sincerely,

Mark A. Casey, Co-Chair
Employee Annual Appeal

Peter R. Cordeau, Co-Chair
Employee Annual Appeal

P.S. This contribution is available as a tax deduction as no services were rendered in return for the contribution. Please retain this letter in your files for tax purposes as this is the only receipt you will receive.

Fund Summary Report "Handling Our Patients Safely" Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
HOPS	"Handling Our Patients Safel	1	\$0.00	\$4,475.00	\$0.00	\$0.00	\$4,475.00
	1 Gift(s) listed						
	1 Donor(s) listed						
	and Totals:		\$0.00	\$4,475.00	\$0.00	\$0.00	\$4,475.00

Appeal Summary Report
"Handling Our Patients Safely" Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
-----------	--------------------	------------	----------------	-----------------	-----------------	---------------	-------

grant	Grant	1	\$0.00	\$4,475.00	\$0.00	\$0.00	\$4,475.00
-------	-------	---	--------	------------	--------	--------	------------

and Totals:

			\$0.00	\$4,475.00	\$0.00	\$0.00	\$4,475.00
--	--	--	--------	------------	--------	--------	------------

1 Gift(s) listed

1 Donor(s) listed

"Handling Our Patients Safely" Program Fund

Constituent Name	Date	Fund Descript	Pledge Balance	ledge Payments	Non-Pledge Gift	Reference
Northwest Regional Workforce I 249 Thomaston Ave Ste 3 Waterbury, CT 06702-1028	9/29/2008	"Handling Our Pa	\$0.00	\$4,475.00	\$0.00	deposited by hospital, not Fnda.
Grand Totals:			\$0.00	\$4,475.00	\$0.00	
1 Gift(s) listed						
1 Donor(s) listed						

X
J2
I7


Saint Mary's
HOSPITAL

Foundation, Inc.

Authorization To Create A Temporarily Restricted Special Purpose Fund

(Please attach all supporting documentation)

Date Fund Created: 6/23/09

Source of Fund: Hoffman Edn Initial Amount: \$ 10,000

Name of Fund: Patient Safety

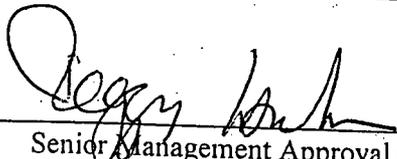
Will there be subsequent additions to this Fund? possible

Purpose of Fund:

Pay for the purchase of brightly colored blankets & slippers to identify inpatients at risk of falling

Person(s) Authorized to Approve Distributions:

Pat Evans / Jan Weber



Senior Management Approval CEO/CFO

Date: 6/23/09

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____

THE MAXIMILIAN E. & MARION O. HOFFMAN
FOUNDATION, INC.

MARION L. BARRAK, PRESIDENT
970 FARMINGTON AVENUE, SUITE 203
WEST HARTFORD, CT 06107-2134

BANK OF AMERICA, NA
AVON, CT 06001
51-57/119

4128

6/15/2009

to the
Order of SAINT MARY'S HOSPITAL FOUNDATION, INC.

\$ **10,000.00

Ten Thousand and 00/100*****

Dollars  

SAINT MARY'S HOSPITAL FOUNDATION, INC.
56 Franklin Street
Waterbury CT 06706


MP

memo Grant year June 30, 2009

⑈004128⑈ ⑆011900571⑆ 94958 41776⑈

MAXIMILIAN E. & MARION O. HOFFMAN FOUNDATION, INC.,
970 FARMINGTON AVENUE • SUITE 203 • WEST HARTFORD, CONNECTICUT,
06107.
(860) 521-2949 • FAX (860) 561-5082

MARION L. BARRAK
PRESIDENT

June 16, 2009

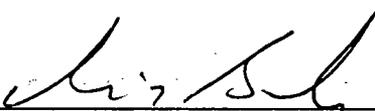
Ms. Patricia A. Evans Grant Manager
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury CT 06706

Dear Ms. Evans:

It gives me great pleasure to forward to you the enclosed Hoffman Foundation check in the amount of \$10,000.00 support for the Falling Stars, patient safety, pilot program at Saint Mary's Hospital, Waterbury, Connecticut.

I will look forward to receiving your report on the use of this grant as we agreed upon.

Sincerely



Marion L. Barrak, President.

MLB/ijk
Grantck # 4128

MAXIMILIAN E. AND MARION O. HOFFMAN, FOUNDATION INC.,
970 FARMINGTON AVENUE, SUITE 203,
WEST HARTFORD, CONNECTICUT, 06107.
Telephone: (860) 521-2949 FAX: (860) 561-5082

GRANT APPLICATION
(Not for use by individuals)

Applicant's name & address :

Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

1a. Applicant's Non-Profit Status:

Is the applicant organized as a non-profit organization under state laws governing

charitable organizations ?

Yes

No

If no please explain :

1b.

Is the applicant controlled by, related to, connected with, or sponsored by another organization ? Yes _____ No

If yes, identify the organization (including its purposes and activities) and explain the relationship.

2. Determination Letter:

Has the applicant received a ruling from or determination letter from the Internal Revenue Service about any of the following ?

- (a) Exempt status..... Yes X No
(b) Private foundation status..... Yes No
(c) Grant making procedures..... Yes No

Attach a photocopy of your : (a) **I.R.S. letter of ruling or determination.** (May be obtained from your local I.R.S. office).
If any item is marked **no** , explain. :

3. Annual Information Returns (Form 990/Financial Statements):

- (a) Attach copy of applicant's proposed budget for the year in which the grant funds are to be used.
(b) Attach copy of applicant's latest 990 or 990 PF filed with the Internal Revenue Service, and latest financial audit for the past four years.
(c) Describe the applicant's purposes and activities in general.

The Mission of Saint Mary's Hospital is to provide excellent healthcare services in a spiritually enriched environment.

4. Governing Board:

List name, address and title of each member of the applicant's governing board.

(You may attach list).

Falling Stars Patient Safety Pilot Initiative

Saint Mary's Hospital has developed a patient safety pilot initiative, Falling Stars, with a goal of reducing patient falls through a patient identification system and education for both inpatients and clinical staff regarding falls. The initiative is structured to improve the quality of patient care at Saint Mary's Hospital by adopting a system of identification for inpatients at high risk for falls to protect them from falls and an education program to prevent falls and lessen injuries from falls. Patients who are at a high risk of falling make up approximately 25% of the hospital's inpatients. The initiative implements practices and policies to reduce inpatient falls and injuries resulting from falls while respecting patient dignity.

Falls and the complications from falls are one of the most troubling healthcare problems. The patient fall rate for units at Saint Mary's Hospital is above the national average according to the National Database Nursing Quality Indicators (NDNQI). On some units the patient fall rates are below the national average, however; the telemetry unit is significantly above the national average and brings the hospital's results above the national average. Falls can result in injury, prolonging the patient length of stay, creating health complications and increasing the cost of care.

The patient identification system from the J. T. Posey Company has been chosen by Saint Mary's Hospital to be piloted for fall management. The Posey fall management system was introduced in 1992 and the products are innovative, practical and have quickly earned the confidence of the healthcare community. A survey published by Nursing Homes Magazine, *Caregivers*, ranked the Posey system number one in fall prevention. This system provides a Fall Kit, which is comprised of a brightly colored bracelet, blanket, shower slippers and magnet to identify at-risk patients (see the attached information).

The educational component of the fall management initiative will utilize brochures, posters, manuals and resource guides to educate patients, their families and/or the hospital's clinical staff. In addition to these educational tools, in-house training will be provided to assist clinical staff to better assess why patients fall, to be more aware of illness and medical conditions that contribute to causing patients to be unsteady on their feet and to assist in improving the inpatient's physical environment to provide safer conditions.

Fall incidences while using the Posey Fall Management system will be tracked for changes in the fall rate. If the pilot produces measureable improvements in the fall rate, the amount saved through fewer injuries and fewer liability claims will be sufficient for the hospital to be able to pay the cost of the kits. The cost of materials for educating inpatients, patient families and clinical staff is \$2,500 and after these initial costs for staff training time and materials for the educational program are met; the education program will be self-sustaining for a minimal cost.

At this point in time Saint Mary's Hospital financial condition has improved, but the hospital is not able to provide materials for this pilot program. Saint Mary's Hospital respectfully requests a grant of \$10,000 from the Maximilian E. & Marion O. Hoffman Foundation, Inc. to cover the cost of piloting the Falling Stars initiative, which will provide inpatient, family and clinical staff education (\$2,500) and fall management kits for 275 patients @ \$27 per patient (\$7,500).

5. **Previous funding:**

Has the applicant (or any organization listed in 4 above) ever applied for or received a grant from this foundation ? Yes _____
No _____

If yes give details and list all grant applications that have been denied.

6. **Amount of funds requested:**

Show the amount requested and explain in detail how it will be used. State whether the grant will be earmarked for the use or benefit of any one individual, person, group, or class of people. If so for whom ? (List anticipated funding, committed funding and budget).

(If more space is needed you may attach details).

7. **Agreement to Expend Funds for Proper Purposes:**

If the requested funds (or a portion thereof) is administered to your organization, you agree that all funds of the grant will be expended for charitable, literary, or educational purposes and for the purposes set forth in this grant application, and that no amount will be used for political activities, lobbying activities, influencing legislation or a purpose that will constitute private inurement.

8. **Anticipated Time to Expend Funds for Grant Purposes:**

Does the applicant anticipate that all of the grant funds will be expended within two years from the date of the award of the grant? (Unless a different expenditure plan is agreed upon with the Hoffman Foundation).

_____ Yes (within two years).

_____ Date (if other agreement).

9. Agreement to Return Funds under Certain Circumstances:

In the event that the funds are not expended for the purposes set forth in this application and within the time period set forth above the grantee organization agrees to return unexpended funds promptly to the Hoffman Foundation.

10. Representation Regarding Taxable Expenditures:

The applicant understands that the Hoffman Foundation will not exercise "expenditure responsibility" with respect to the grant based on the applicant's representation that the applicant is a public charity. However, if the grant is ever determined to be a "taxable expenditure" under Section 4945 of the Internal Revenue Service Code, the applicant agrees to refund the entire amount of the grant to the Hoffman Foundation even if the applicant has expended all or any portion of the grant. The applicant also agrees to fully cooperate with the Hoffman Foundation in providing any information required or requested by the Internal Revenue Service with respect to the grant.

11. Agreement to Provide Reports:

The applicant agrees to provide the Hoffman Foundation with a full report on the uses of the grant funds and the degree to which the grant has served the purposes for which it was requested (at the end of the organization's year in which the funds were awarded). If the funds are not expended within that year, the applicant agrees to provide the Hoffman Foundation with interim annual reports on the use of funds.

12. Inclusion of Grant Information in Hoffman Foundation Periodic Publications:

The applicant agrees to the inclusion of information on this grant (if approved) in the Hoffman Foundation's periodic reports.

13. Monitoring and Evaluation of Operations under the Grant:

The applicant agrees that at the Hoffman Foundation's request, that the applicant will cooperate fully and allow the Hoffman Foundation to monitor and conduct an evaluation of operations under this grant. The applicant understands that this evaluation and monitoring may include a visit from Hoffman Foundation personnel to observe the applicant's program, to discuss the applicant's program with Hoffman Foundation personnel and to review financial and other records and materials connected with the activities financed by the grant.

Person to contact who will be administering this grant request:

(Name)

(Title)

(Address)

(City, State, Zip Code)

(Area Code and telephone number)

14. Signatures:

From my own knowledge, I state the information given in this application is correct. The applicant organization has authorized me to make this application and to bind the applicant as set forth herein:

Board Chair: Sign & print name.

Date

CEO/Executive Director: Sign & print name

Filing an application with the Hoffman Foundation does not guarantee approval of the grant request.

THE MAXIMILIAN E. & MARION O. HOFFMAN
FOUNDATION, INC.

BANK OF AMERICA, NA
AVON, CT 06001
51-57/119

4128

MARION L. BARRAK, PRESIDENT
970 FARMINGTON AVENUE, SUITE 203
WEST HARTFORD, CT 06107-2134

6/15/2009

Pay to the
Order of

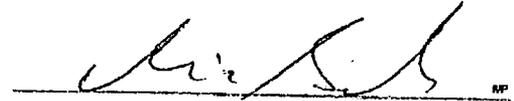
SAINT MARY'S HOSPITAL FOUNDATION, INC.

\$ **10,000.00

Ten Thousand and 00/100*****

Dollars

SAINT MARY'S HOSPITAL FOUNDATION, INC.
56 Franklin Street
Waterbury CT 06706



Grant year June 30, 2009

⑈004128⑈ ⑆011900571⑆ 94958 41776⑈

~~Patient Safety~~
Falling Stars

MAXIMILIAN E. & MARION O. HOFFMAN FOUNDATION, INC.,
970 FARMINGTON AVENUE • SUITE 203 • WEST HARTFORD, CONNECTICUT,
06107.
(860) 521-2949 • FAX (860) 561-5082

MARION L. BARRAK
PRESIDENT

June 16, 2009

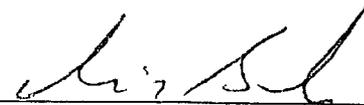
Ms. Patricia A. Evans Grant Manager
Saint Mary's Hospital Foundation
56 Franklin Street
Waterbury CT 06706

Dear Ms. Evans:

It gives me great pleasure to forward to you the enclosed Hoffman Foundation check in the amount of \$10,000.00 support for the Falling Stars, patient safety, pilot program at Saint Mary's Hospital, Waterbury, Connecticut.

I will look forward to receiving your report on the use of this grant as we agreed upon.

Sincerely



Marion L. Barrak, President.

MLB/ijk
Grant # 4128

**MAXIMILIAN E. AND MARION O. HOFFMAN, FOUNDATION INC.,
970 FARMINGTON AVENUE, SUITE 203,
WEST HARTFORD, CONNECTICUT, 06107.**

Telephone: (860) 521-2949 FAX: (860) 561-5082

GRANT APPLICATION
(Not for use by individuals)

Applicant's name & address :

Saint Mary's Hospital Incorporated
56 Franklin Street
Waterbury, CT 06706

1a. Applicant's Non-Profit Status:

Is the applicant organized as a non-profit organization under state laws governing

charitable organizations?

Yes X No

If no please explain :

1b.

Is the applicant controlled by, related to, connected with, or sponsored by another organization? Yes No X

If yes, identify the organization (including its purposes and activities) and explain the relationship.

2. Determination Letter:

Has the applicant received a ruling from or determination letter from the Internal Revenue Service about any of the following ?

- (a) Exempt status..... Yes X No
- (b) Private foundation status..... Yes No
- (c) Grant making procedures..... Yes No

Attach a photocopy of your : **(a) I.R.S. letter of ruling or determination.** (May be obtained from your local I.R.S. office).
If any item is marked no , explain. :

3. Annual Information Returns (Form 990/Financial Statements):

- (a) Attach copy of applicant's proposed budget for the year in which the grant funds are to be used.
- (b) Attach copy of applicant's latest 990 or 990 PF filed with the Internal Revenue Service, and latest financial audit for the past four years.
- (c) Describe the applicant's purposes and activities in general.

The Mission of Saint Mary's Hospital is to provide excellent healthcare services in a spiritually enriched environment.

4. Governing Board:

List name, address and title of each member of the applicant's governing board.

(You may attach list).

Falling Stars Patient Safety Pilot Initiative

Saint Mary's Hospital has developed a patient safety pilot initiative, Falling Stars, with a goal of reducing patient falls through a patient identification system and education for both inpatients and clinical staff regarding falls. The initiative is structured to improve the quality of patient care at Saint Mary's Hospital by adopting a system of identification for inpatients at high risk for falls to protect them from falls and an education program to prevent falls and lessen injuries from falls. Patients who are at a high risk of falling make up approximately 25% of the hospital's inpatients. The initiative implements practices and policies to reduce inpatient falls and injuries resulting from falls while respecting patient dignity.

Falls and the complications from falls are one of the most troubling healthcare problems. The patient fall rate for units at Saint Mary's Hospital is above the national average according to the National Database Nursing Quality Indicators (NDNQI). On some units the patient fall rates are below the national average, however, the telemetry unit is significantly above the national average and brings the hospital's results above the national average. Falls can result in injury, prolonging the patient length of stay, creating health complications and increasing the cost of care.

The patient identification system from the J. T. Posey Company has been chosen by Saint Mary's Hospital to be piloted for fall management. The Posey fall management system was introduced in 1992 and the products are innovative, practical and have quickly earned the confidence of the healthcare community. A survey published by Nursing Homes Magazine, *Caregivers*, ranked the Posey system number one in fall prevention. This system provides a Fall Kit, which is comprised of a brightly colored bracelet, blanket, shower slippers and magnet to identify at-risk patients (see the attached information).

The educational component of the fall management initiative will utilize brochures, posters, manuals and resource guides to educate patients, their families and/or the hospital's clinical staff. In addition to these educational tools, in-house training will be provided to assist clinical staff to better assess why patients fall, to be more aware of illness and medical conditions that contribute to causing patients to be unsteady on their feet and to assist in improving the inpatient's physical environment to provide safer conditions.

Fall incidences while using the Posey Fall Management system will be tracked for changes in the fall rate. If the pilot produces measureable improvements in the fall rate, the amount saved through fewer injuries and fewer liability claims will be sufficient for the hospital to be able to pay the cost of the kits. The cost of materials for educating inpatients, patient families and clinical staff is \$2,500 and after these initial costs for staff training time and materials for the educational program are met, the education program will be self-sustaining for a minimal cost.

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The applicant agrees that at the Hoffman Foundation's request, that the applicant will cooperate fully and allow the Hoffman Foundation to monitor and conduct an evaluation of operations under this grant. The applicant understands that this evaluation and monitoring may include a visit from Hoffman Foundation personnel to observe the applicant's program, to discuss the applicant's program with Hoffman Foundation personnel and to review financial and other records and materials connected with the activities financed by the grant.

Person to contact who will be administering this grant request:

(Name)

(Title)

(Address)

(City, State, Zip Code)

(Area Code and telephone number)

14. Signatures:

From my own knowledge, I state the information given in this application is correct. The applicant organization has authorized me to make this application and to bind the applicant as set forth herein:

Board Chair: Sign & print name.

Date

CEO/Executive Director: Sign & print name

Filing an application with the Hoffman Foundation does not guarantee approval of the grant request.

~~12~~
I-7


Saint Mary's
HOSPITAL
Foundation, Inc.

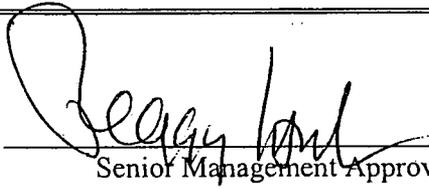
Authorization To Create A Temporarily Restricted Special Purpose Fund
(Please attach all supporting documentation)

Date Fund Created: 4/11/08
Source of Fund: Grant From N.W. Regional Workforce Investment Board Initial Amount: 4,475.00
Name of Fund: "HOPS" for Handling our Patients Safely "Train the Trainer" Program for Nursing Assistants
Will there be subsequent additions to this Fund? _____

Purpose of Fund:

Person(s) Authorized to Approve Distributions:

Pat Evans, Grant Manager


Senior Management Approval CEO/CFO

Date: 4/16/08

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____



Keep Kids Safe License Plate
282 Washington Street
Hartford, CT 06106
860-545-9988

J2
9-17

Hoffman Fund
960 729

Eileen Rondeau, RN
St. Mary's Hospital
56 Franklin Street
Waterbury, CT 06706

Dear Eileen,

It is my immense pleasure to inform you that your application for funding to the Keep Kids Safe License Plate Grant Fund has been approved. You will receive a grant for \$1,200 for your Safety Street program.

Choosing a limited number of programs to fund from the large pile received was quite a difficult task. Although all of them would have been funded if we had an unlimited pot of money, the committee felt that your application showed creativity, organization and a desire to implement a program that will truly help children in your community stay safe.

Your check will be mailed to you following completion of the enclosed contract and information. Please fax it back in a timely manner. In addition we have planned a reception for all grant recipients that will take place next spring following completion of the program.

Again, let me offer my sincerest congratulations on your exemplary dedication to the safety of our children. Please do not hesitate to call me with any questions or for further information on product ordering (helmets, car seats and smoke/CO detectors).

Sincerely,

Karen Brock, MPH
Director, Connecticut SAFE KIDS

Enclosure



Keep Kids Safe License Plate Project
282 Washington Street, Hartford, CT 06106

Grant Application

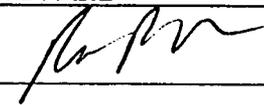
Grant Application Form (MUST BE TYPED)

1. Name of Organization: Saint Mary's Hospital Corporation
2. Address: 56 Franklin Street, Waterbury, CT 06706
3. Tax ID#: 22-252-8400
4. Type of organization: Civic group School Police Fire EMS
Health Department Head Start Parenting Group
Other: Hospital
5. Name of Project Director and contact information:
Name: Eileen Rondeau, RN

Address: 56 Franklin Street Waterbury, CT 06706

Phone: (203) 709-3538
Fax:
Email: erondeau@STMH.org
6. Total Project Cost: \$1,875
7. Amount Requested: \$1,200
8. Provide a 1-2 sentence summary of the entire project: Pedestrian injuries are the leading cause of death in children between the ages of 5-9 and each year in the US approximately 1800 children are killed and over 50,000 children are injured as a result of being struck by a vehicle while walking. Saint Mary's Hospital is requesting funds to purchase replacement vehicles and lights for its Safety Street program to continue to provide children experience in visualizing and practicing safe procedures for handling a variety of situations that may occur while crossing streets. The street and the needed props (vehicles) are designed to realistically simulate situations and hold a child's interest while providing a fun experience.
9. Applicant Signature and Date:

Applicant Name: Robert P. Ritz

Applicant Signature: 

Date: 10/11/04

Grant Application Narrative

1. **Organizational Information.** *Describe the general nature, extent and location of your group's activities. Be sure to specify the types of unintentional injury prevention activities your organization has sponsored or participated in during the past two years.*

The Greater Waterbury Chapter of Safe Kids is an active group and provides the Greater Waterbury area with car safety seat, pedestrian, bicycle safety, first aid demonstrations and poison prevention programs. Saint Mary's Hospital employees Stephen Holland, MD, Sheila Staib, RN, Eileen Rondeau, RN, and Suzanne Casey, RN participate in the activities of the Greater Waterbury Chapter. Over the past two years the group has provided:

- Child Car Seat Installation and Checks
- Reinstallation of Child Car Seats
- Testimony on Child Restrain Systems and other child safety issues
- Presented Bicycle Helmet Safety Clinics
- Paid tuition for the TRI – State Passenger Safety Conference for 12 area techs
- Presented "Walk to School Days"
- Presented Safety Street Programs

2. **Statement of the Problem/Need.** *Describe the current problem situation, e.g., why you chose this project.* Pedestrian injuries are the leading cause of death for children between the ages of 5-9. Each year in the United States, approximately 1800 children are killed and over 50,000 children are injured as a result of being struck by a vehicle while walking. Many of the survivors suffer from traumatic brain injury for which the lifelong consequences for the children, their families, and their communities are significant. National estimates for the annual care of children suffering pedestrian injuries is estimated to be \$2.4 Billion annually.

The Greater Waterbury Chapter of Safe Kids presents the Safety Street project, because there is a need to provide children experience in visualizing and practicing safe procedures for handling a variety of situations that may occur while they are near or on a street. The Safety Street is an artificial roadway that provides a safe, non-threatening environment for children to see and demonstrate what they have learned without the risk of practicing on an actual street. Four pieces of the Safe Street (the school bus, ambulance, police car and traffic light) need to be replaced for this vital program to continue.

3. **Project Goals and Implementation.** *Describe what the project will do to overcome the situations and your particular approach to the problem. Include timeline and who is responsible for implementing what activities.* Using the Safety Street, which includes a street with crosswalks and sidewalks and props such as a school bus, cars and a police car, realistic traffic and pedestrian situations can be simulated. The goal is to show and assist children to practice safe procedures for handling traffic situations that may occur in their every day lives. The instructor will be Eileen Rondeau, RN and the Safety Street will be offered throughout the year depending on demand.

4. **Expected Benefits.** *Describe the benefits that will occur from implementing this project. Specify the number of people and the different racial/ethnic groups who will be reached.*

Teaching and reinforcing pedestrian safety will help reduce child/vehicle accidents and will have the ultimate result of reducing injury and death to children. Many requests for safety demonstrations come from the city of Waterbury and with a population of 58 percent White, 22 percent Hispanic and 15 percent African-American and 2 percent Asian residents, a diverse group of children will be served. NUMBERS

5. **Communication.** *Describe how this project will be advertised and how the project results will be communicated to key constituents.*

The program will be promoted in Saint Mary's Hospital's internal feature articles and listings of events in *Journey, Fundamentals and Discovery*. Also stories on the project will be sent to the *Waterbury Republican American* and other area weekly publications. The results of the project will be communicated to key constituents through a written report outlining the number of children participating and the areas they represent, to the Greater Waterbury Chapter of Safe Kids and to the public through St. Mary's Hospital's internal and external communication sources.

Grant Application Budget-Saint Mary's Hospital Corporation

Please provide a detailed, accurate, line-item budget plan identifying all anticipated costs and the amount requested. Be sure to list current costs. (See sample).

Item & Description	Amount
Yellow fold-up School Bus	\$475.00
White fold-up Ambulance	\$475.00
Black/Grey fold-up Police Car	\$425.00
Electrified Traffic Light	\$500.00
Subtotal	\$1,875.00
** Contribution from Greater Waterbury Safe Kids	(\$675.00)
TOTAL REQUEST FROM CT SAFE KIDS	\$1,200

**Are there any other sources of funding to be applied to this project? If so, list the sources and amounts. This includes "in kind" donations and salary. **

January 7, 2003

Connecticut SAFE KIDS
282 Washington Street

Fund Summary Report 1/1/87 - 2/25/11
 Gala 2006, WFW Golf '08, '09, '10 & '11 (so far)

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh06galamamm	Saint Mary's 2006 Gala	98	\$0.00	\$6,850.00	\$3,755.00	\$0.00	\$10,605.00
smh08womensgolffm	SMH08 Women's Golf Tour	228	\$0.00	\$7,660.00	\$47,137.00	\$11,116.00	\$65,913.00
smh09womensgolffm	SMH09 Women's Golf Tour	260	\$0.00	\$5,800.00	\$45,915.00	\$24,312.49	\$76,027.49
smh10womensgolffm	SMH10 Women's Golf Tour	406	\$0.00	\$18,545.00	\$48,520.00	\$31,572.95	\$98,637.95
smh11womensgolffm	SMH11 Women's Golf Tour	3	\$0.00	\$500.00	\$129.00	\$0.00	\$629.00
and Totals:			\$0.00	\$39,355.00	\$145,456.00	\$67,001.44	\$251,812.44

995 Gift(s) listed

630 Donor(s) listed

995
 281 812.44
 67 001.44

 184,811

SS
 I-8

SMH WFW 2010 Golf Mammography Fund

Appeal ID	Appeal Description
wgolf10 raffle prize	Women's Golf Tourney '10 Raffle Prizes
wgolf10donations	Women's Golf Tourney 2010 donations
wgolf10raffleproc	Women's Golf Tourney '10 Raffle Proceeds
wgolf10receptdona	Women's Golf Tourney 2010 reception donations
wgolf10reception	Women's Golf Tourney 2010 reception
wgolf10reg	Women's Golf Tourney 2010 registration
wgolf10sponsors	Women's Golf Tourney Sponsors 2010

SMH WFW 2008 Golf Mammography Fund

Appeal ID	Appeal Description
wgolf08 BRaffle Proc	Women's Golf Tourney '08 Birdie Raffle Proceeds
wgolf08 donations	Women's Golf Tourney 2008 donations
wgolf08 ERaffle Proc	Women's Golf Tourney '08 Eagle Raffle Proceeds
wgolf08 raffle prize	Women's Golf Tourney '08 Raffle Prizes
wgolf08 sponsors	Women's Golf Tourney '08 Sponsors
wgolf08reg	Women's Golf Tourney 2008 registration

THANK YOU

Raffle and In-Kind Donors

- | | | |
|---|---|--|
| I21 Restaurant | The Gym | Papazzis Boutique |
| A&S Travel | H. P. Hood | Patti's of Southbury |
| Adam Broderick Salon | The Hartford | The Pink Petunia |
| Abrash Galleries Rugs & Antiques | Stage Company | Plumb Farms |
| Amalfi Ristorante | Hawks Landing | The Printed Pear |
| Ame and Lulu | Country Club | Quassy Amusement Park |
| Mark Amero, Bally's | Hen of the Woods | Rejuve MediSpa |
| Avance Esthetiques Day Spa | Heritage Resort & Conference Center | Ricci's Salon |
| Bally's Health Club | Hidden Treasures | Ridgewood |
| Mark Bialobrzeski's Golf Shop | Highfield | Country Club |
| Bill Dunn/KPMG | The Hills Restaurant | Rock Ridge |
| Pattie Dunn | Interim Health Care | Country Club |
| Bradford Portraits | It's Sonny - Style & Color Studio | Roma's Ristorante |
| Carlito's of Woodbury | Joanne's Fitness Studio | Shear Art, LLC |
| The Center for Natural Medicine | The Joanna John Collection | Jean Smith |
| The Charcoal Chef | J. P. Jarjura | Silo/Hunt Hill Farm Trust |
| Chicks on the Avenue | & Sons Company | Spartan Restaurant & Bar |
| Coca Cola Bottling Company of New England | Kneading Hands | Stepping Out on Main Salon & Day Spa |
| CoCo Key Water Resort | LaBonne's Epicure Market | StubHub/Marty Pelosi |
| Country Club of Waterbury | Lakewood Lanes | Sweet Maria's |
| Crystal Rock Water | Long Wharf Theatre | The Club |
| Connecticut Signcraft Curves | Lucy Luce | Gilles Thibodeau |
| Diorio Restaurant & Bar | Patrice Mansfield | Thomaston Opera House |
| Discount Liquors | J. McLaughlin | Timex Group |
| Drescher's Restaurant | Mar-Vic Cleaners | Ralph Tremaglio |
| Eastern Bag & Paper Group | Jeffrey Mattia | Tres Jolie Nail and Skin Care Salon, LLC |
| East Mountain Golf Course | Middlebury Chiropractic & Wellness Center | Triple Stitch Sportswear |
| Elizabeth Richard Gifts | Mohegan Sun | Tylec Pottery |
| Expressway Auto | Mystic Seaport | Joan Vander Eyk |
| Fascia's Chocolate | The Natural & Organic Pet, LLC | Vasi's Restaurant |
| Fitness at the Edge | New Britain Rock Cats | Villarina's of Southbury |
| Floré Florist | Newbury Place | Viso Bella Day Spa |
| Four Corners Store | New Morning Store | Wadsworth Atheneum Museum of Art |
| Frankie's Hot Dogs | Nino's Trattoria | Walker Road Vineyards |
| Gainfield Farms | Italian Restaurant | Andrea Ward |
| The Garden | Onyx Jewelry II | Warner Theatre |
| Gayle O'Neill Fine Jewelry | Opticare Eye Health and Vision Centers | Watertown Golf Club |
| The Gilded Lily | O'Rourke and Birch Florists, Inc. | WATR |
| Golf World | Palace Theater | Wesson Energy |
| The Grotto and Mrs. G. | | Western Hills Pro Shop |
| | | Woodbury Pewter |

1820



The Dorothy Hamill

Women Women

Golf Tournament

to benefit the Mammography Fund at
Saint Mary's Hospital



MONDAY JUNE 7, 2010

at THE COUNTRY CLUB OF WATERBURY
WATERBURY, CONNECTICUT

Honorary Chair
Dorothy Hamill

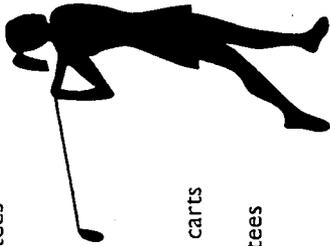
Tournament Co-Chairs
Mary Wiatr & Chris Geraghty



Saint Mary's
HOSPITAL
Foundation

MORNING SCHEDULE

- 6:00 a.m. Breakfast
- 7:00 a.m. Golfers proceed to carts
- 7:05 a.m. Welcome
- 7:15 a.m. Golfers depart for tees
- 7:30 a.m. Shotgun start
- 11:30 a.m. Lunch



AFTERNOON SCHEDULE

- 11:30 a.m. Lunch
- 1:00 p.m. Golfers proceed to carts
- 1:05 p.m. Welcome
- 1:15 p.m. Golfers depart for tees
- 6:00 p.m. Reception
- 7:00 p.m. Awards Ceremony

EVENING RECEPTION PROGRAM

- 7:15 p.m. Welcome
- 7:25 p.m. Tournament Prize Winners
- 7:30 p.m. Special Guest Tournament Chairman
Dorothy Hamill
- 7:45 p.m. Raffle Prize/Putting Contest Drawing

2010 WOMEN FORE WOMEN COMMITTEE

- | | | |
|-----------------------|-------------------|---------------------|
| Karen Brown | Chris Hoffmann | Robin Sills |
| Tara T. Caulfield | Cookie Keggi | Laurin Traub |
| Maura Chilson | Karen Kelly | Joan Vander Eyk |
| Shelley Donahue | Roberta Massey | Liz Widman |
| Pattie Dunn | Maribeth Mecca | Nichol Yanarella |
| Kim Gilbert | Marna Murtha | Sharon Zilahy |
| | | |
| Bonnie Forcucci | Michele O'Bernier | |
| Stephanie Brown | Ken Pocius | |
| Gina Burrows | Pam Pratt | |
| Mary Anne Carpenter | Sue Probst | |
| Mark Casey | Sandy Roosa | |
| Lou Gimmino | Gail Seymore | |
| Carole DeSantis | -John Jenusaitis | Lucy Stronkowsky |
| Terry Dudrick | Mary Jones | Dean Vitarisi |
| Chris Emerton | Dawn Liano | Renee Warner |
| Amy Exline | Eda Luulla | Fran Wiatr |
| Nancy Feola | Ray Massicotte | Janice Yeager |
| | Ed McEachin | |
| | | |
| Laura St. John, Chair | Barbara Donorfio | Kathie Hanratty |
| Mary Calvo | Colleen Genevese | Emily Littman-Eisen |

EVENING RECEPTION COMMITTEE

1821

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| KPMG, LLP | Norman S. Drubner, Esq |
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| Naugatuck Valley Surgical Center | Webster Bank |
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| Mrs. Ann Felch | |
| George Giguere Associates | |
| Mr. and Mrs. Robert E. Grasing | |
| Greater Waterbury Retina, LLC | |
| Hoffmann Associates, LLC | |
| Lerman Container | |
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| Score, LLC | |
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| Aestheticque | |
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| Aqua Turf | |
| Arnold Supply, Inc. | |
| Barbel Fitness Studio | |
| Blasius Chevrolet & Cadillac, Inc. | |
| Mr. and Mrs. Harvey Boxer | |
| Century Financial Services | |
| Ms. Joanne Clarke | |
| Comprehensive Health Care, LLC | |
| Economy Tire Center, Watertown, CT | |



Dorothy Hamill

Dorothy Hamill was a three-time U.S. National Champion in figure skating from 1974 to 1976, and won the World Championship and Olympic Gold Medal in 1976. She is an inductee of the U.S., Olympic, and World Figure Skating Halls of Fame, and headlined the Ice Capades for seven years before briefly owning it. She resides in Baltimore with her daughter and continues to perform in skating exhibitions today.

About The Mammography Fund at Saint Mary's Hospital

Saint Mary's Hospital, in alliance with area physicians, provides a wide range of diagnostic and treatment options for women's breast health. Starting at mammography, ultrasound and MRI, to minimally invasive biopsies, to surgery, coordinated cancer care treatment, follow-up care and long-term surveillance, the hospital and physicians are dedicated to providing the highest quality of care for all women, regardless of their ability to pay.

Free breast cancer screenings are offered at the Family Health Center for women age 40 or older who meet any number of different criteria. This program is dependent upon limited funds provided by a grant from the CT Department of Public Health, and the federal Centers for Disease Control and Prevention. These monies are normally exhausted way before year's end. For this reason, a fund was established by Saint Mary's Hospital Foundation in 2006 to supplement this program.

Providing screening mammograms for all women is an essential component of proper health care. Studies published just last year have confirmed mammography's important role in increasing breast cancer survival rates through early detection. These findings underscore the fact that mammography is still the best screening tool available for the majority of women.

Donation Opportunities

The cost to the Foundation to provide these mammographies is \$75 each. You can help to underwrite the cost of a mammogram for a woman who would otherwise be unable to afford this potentially life saving screening. Each non-golfer's \$75 fee to attend the evening reception will underwrite the cost of one mammogram; each golfer's entry fee of \$150 will underwrite the cost of two mammograms; \$300 will underwrite the cost of four mammograms and \$600 will underwrite the cost of 8 mammograms. If you are not a golfer and do not wish to attend, you can donate any amount and be a "Friend" of Women. For Women.

Sponsorship Opportunities

- GOLD SPONSORSHIP** \$5,000
Complimentary foursome and Lunch Sponsorship Recognition; Name/logo featured in all Public Relations materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- SILVER SPONSORSHIP** \$2,500
Complimentary foursome and Cocktail Hour Sponsorship Recognition; Tee Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- BRONZE SPONSORSHIP** \$1,500
Complimentary twosome; Tee Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- TEE & GREENS SPONSORSHIP RECOGNITION** \$1,000

Please detach along perforation and return in envelope provided.

CONTINUED FROM OTHER SIDE

Contact Name: _____
 Telephone: _____
 Company Name: _____

Email: _____
 Street: _____

City: _____ Zip: _____
 State: _____

Credit Card Type: _____
 Card Number: _____

Expiration Date: _____

AMOUNT	Total Amount
Golf: _____ x \$150 =	\$ _____
Evening Reception only:	\$ _____
Sponsorship Level: _____	\$ _____
Total Enclosed:	\$ _____

All registrations are final.
 Please return this form in the return envelope enclosed by 5/28/10 to:
 Lezlye Zupkus
 Saint Mary's Hospital Foundation
 56 Franklin Street, Waterbury, CT 06706
 Phone: (203) 709-6391 • Fax: (203) 709-3272
 Email: lezlye.zupkus@stmh.org

Yes, I would like to be a sponsor and I have enclosed payment of \$ _____ in check or credit card (fill-in information below).

I am interested in a sponsorship opportunity. Please contact me with more information.

I am not interested in a sponsorship, but am enclosing payment for _____ (my) golfers.

I am not interested in a sponsorship or in attending the event, but please accept my donation of \$ _____ for the Mammography Fund at Saint Mary's Hospital.

I am not interested in golfing, but am enclosing \$75 to attend the evening reception.

GOLFERS' NAMES
(Please Print or Type)

CHECK ONE:

7:30am start 1:30pm start

1. _____
USGA Handicap _____
 7:30am start 1:30pm start

2. _____
USGA Handicap _____
 7:30am start 1:30pm start

3. _____
USGA Handicap _____
 7:30am start 1:30pm start

4. _____
USGA Handicap _____
 7:30am start 1:30pm start

OVER ↓

The Dorothy Hamill

Women Women

Golf Tournament

to benefit the Mammography Fund at
Saint Mary's Hospital

The Country Club of Waterbury

**Monday
June 7, 2010**

Chairpersons
Mary Wiatr & Chris Geraghty

Committee Members

- | | | |
|--------------------|----------------|------------------|
| Mary Jane Barlow | Kim Gilbert | Robin Silis |
| Karen Brown | Chris Hoffmann | Laurin Traub |
| Joanne Cappelletti | Cookie Keggi | Joan Vander Eyk |
| Tara T. Caulfield | Karen Kelly | Liz Widman |
| Maura Chilson | Roberta Massey | Nichol Yanarella |
| Shelley Donahue | Maribeth Mecca | Sharon Zilahy |
| Pattie Dunn | Marna Murtha | |

The Country Club of Waterbury
One Oronoke Road • Waterbury, CT
203-753-4171

Directions

- From NY, Danbury, and Points West**
- Follow I-84 East toward Waterbury/Hartford
 - Use Exit 18 (Chase Parkway) turning Right at end of exit
 - Continue Straight through the first Traffic Light
 - Turn Left onto Oronoke Road (this will be your first left)
 - The Club Entrance is on your Right (just 1/4 mile)
- From Hartford Area & Points East**
- Follow I-84 West toward Waterbury
 - Use Exit 18 (Chase Parkway/Highland Ave) Stay left
 - At end of Exit turn Left at Traffic Light
 - Travel 1/4 mile turn Left at next traffic light onto Bridge
 - At End of bridge turn Right onto County Club Road
 - Turn Left onto Oronoke Road (this will be your first left)
 - The Club Entrance is on your Right (just 1/4 mile)

Shotgun starts: 7:30am and 1:30pm
Lunch at NOON
Evening reception and awards to follow

\$150 per golfer (provides 2 women with mammograms)

\$75 Non-golfer (Join us for the evening reception for the cost of 1 mammogram)


Saint Mary's HOSPITAL Foundation

56 Franklin Street, Waterbury, CT 06706
www.stmhfoundation.org

Scramble format for golfers of all levels

1823

2010 WFW
Past
Sponsor
Solicitation

April, 2010

«Addressee» «CnRelCt_1_01_Position»
«Business»
«Addrline1»
«Addrline2»
«City», «State» «ZIP»

Dear «Salutation»:

The 3rd Annual "Dorothy Hamill "Women Fore Women" Golf Classic is scheduled for *Monday, June 7, 2010 at The Country Club of Waterbury*. Proceeds will go directly to the mammography fund at Saint Mary's Hospital, which provides **FREE MAMMOGRAMS** to women in our area without insurance who might not otherwise receive one.

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Its success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. In particular, your past participation in the Women Fore Women event as a sponsor helped us to raise \$100,000 for the Fund during the last two years. We hope that you will join us as a sponsor again this year, and perhaps even consider playing in the tournament.

As a sponsor, you will receive *exposure for your organization* and the opportunity to help underwrite a potentially life savings test for women in need. As a golfer, you will have a wonderful day knowing you are making a difference in someone's life. We expect this event to sell out with more than 200 women golfers, and an additional 75-100 women volunteers and attendees at the evening reception, which will give your company exposure to a very special group. We hope that you will join us as a sponsor and support this worthwhile cause.

Your sponsor and registration form is enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

2010 WFW
Past Donation
Friend
Solicitation

April, 2010

«Addressee» «Position»
«Business»
«Addrline1»
«Addrline2»
«City», «State» «ZIP»

Dear «Salutation»:

The 3rd Annual "Dorothy Hamill *"Women Fore Women"* Golf Classic is scheduled for *Monday, June 7, 2010 at The Country Club of Waterbury*. We are writing to thank you for your past support, and to ask you to join us again in support of this year's initiative. The proceeds from this ladies tournament will go directly to the mammography fund at Saint Mary's Hospital, which provides *"FREE MAMMOGRAMS"* to those women who might not otherwise receive them!

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. *We hope that you will consider making a donation to underwrite mammography's for women and by playing in the tournament.*

As a donor, you will have the opportunity underwrite a potentially life saving test for a woman in need. As a golfer, you will have a wonderful day knowing you are making a difference in someone's life. We expect this event to sell out with 144 lady golfers, which will give exposure to a very special group. We hope to have you join us in support of such a worthwhile cause.

Your donation form and registration form is enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

2010 WFW
Target
Donation
Friend
Solicitation

April, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

The 3rd Annual "Dorothy Hamill "Women Fore Women" Golf Classic is scheduled for *Monday, June 7, 2010 at The Country Club of Waterbury.* We are writing to ask you to join us in support of this year's initiative.

The proceeds from this ladies tournament will go directly to the mammography fund at Saint Mary's Hospital, which provides *"FREE MAMMOGRAMS"* to those women who might not otherwise receive them!

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Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April, 2010

2010 WFW
Target Raffle
Donation
Solicitation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

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Proceeds will go directly to the mammography fund at Saint Mary's Hospital, which provides free mammograms to women without insurance who might not otherwise have access to one! It is important to note that the fund extends to patients of all physician groups throughout our community.

Last year, our raffle helped us to raise \$5,000 for the Fund, and we hope that you will contribute a gift for this year's raffle. We expect this event to sell out with over 200 women golfers, which will give your company exposure to a very special group.

Saint Mary's Hospital plays an important role in the Greater Waterbury community and our success is a direct result of the philanthropic support of many companies and individuals in the community. We hope to have you as a raffle sponsor and join us in support of such a worthwhile cause. Donation forms are enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April, 2010

2010 WFW
Target
Sponsor
Solicitation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

2010 WFW
Past Raffle
Donation
Solicitation

April, 2010

«Addressee» «Position»
«Business»
«Addrline1»
«Addrline2»
«City», «State» «ZIP»

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Saint Mary's Hospital plays an important role in the Greater Waterbury community and our success is a direct result of the philanthropic support of many companies and individuals in the community. We hope to have you as a raffle sponsor and join us in support of such a worthwhile cause. Donation forms are enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

2010 WFW
Invite letter to
2008 golfers

March 18, 2010

«Title» «Fname» «Initial» «Lname» «Suffix»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Fname»:

We are pleased to announce that Saint Mary's Hospital Foundation's "*Women Fore Women*" golf outing will be held on June 7, 2010 at the Country Club of Waterbury.

Thank you for joining us in the past for this great event. We missed you last year -- it was a spectacular day, and the event was a wonderful success in every respect. Through the participation and support of the golfers and the generous contributions of sponsors and donors across the community, we were able to add over \$50,000 to the Mammography Fund at Saint Mary's Hospital, which will provide mammograms to hundreds of women in our community who would otherwise not have access to them. Thank you for making this possible!

The *Women Fore Women* Golf Tournament is now an annual event. We are especially pleased to report that Dorothy Hamill has agreed to join us once again as Honorary Chair. Most importantly, last year, Dorothy strengthened her commitment to the event by permitting us to name it the "Dorothy Hamill *Women Fore Women*" golf tournament. We know that her affiliation has substantially enhanced interest, publicity and participation.

Our committee has been meeting to plan a special day for this year's event and to improve the experience of all participants. Based on inquiries we have received, and given last year's waiting list, we anticipate another "sold-out" tournament, and want to offer our original supporters the opportunity to secure their spots, prior to advertising the event more broadly.

We hope you will join us again, for golf and camaraderie, while lending support to other women in the community through a focused program that potentially saves lives. What better way to spend an early summer day? Now is the time to save the date, gather your foursome, decide on a.m. or p.m., and sign up early. Included with this invitation is a flyer providing details about registering for the day.

Thank you again for your support. We look forward to seeing you all on June 7th at the Country Club of Waterbury.

Sincerely,

Mary Wiatr

Chris Geraghty

Mary Wiatr

Chris Geraghty

2010 WFW
Invite letter to
last year's
golfers

March 18, 2010

«Title» «Fname» «Initial» «Lname» «Suffix»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

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Thank you for joining us for last year's event. It was a spectacular day, and the event was a wonderful success in every respect. Through your participation and support, and the generous contributions of sponsors and donors across the community, we were able to add over \$50,000 to the Mammography Fund at Saint Mary's Hospital, which will provide mammograms to hundreds of women in our community who would otherwise not have access to them. Thank you for making this possible!

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All of you came together in Waterbury last year for golf and camaraderie, while lending support to other women in the community through a focused program that potentially saves lives. What better way to spend an early summer day? Now is the time to save the date, gather your foursome, decide on a.m. or p.m., and sign up early. Included with this invitation is a flyer providing details about registering for the day.

Thank you again for your support. We look forward to seeing you all on June 7th at the Country Club of Waterbury.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

Yes, I would like to be a sponsor and I have enclosed payment of \$ _____ in check or credit card (fill-in information below).

I am interested in a sponsorship opportunity. Please contact me with more information.

I am not interested in a sponsorship, but am enclosing payment for _____ (qty) golfers.

I am not interested in a sponsorship or in attending the event, but please accept my donation of \$ _____ for the Mammography Fund at Saint Mary's Hospital.

GOLFERS' NAMES

(Please Print or Type)

CHECK ONE:

7:30am start 1:30pm start

USGA Handicap

OVER ↓

Chairpersons
Mary Wiatr & Chris Geraghty

Committee Members

- | | | |
|--------------------|-----------------|-----------------|
| Mary Jane Barlow | Shelley Donahue | Marna Murtha |
| Karen Brown | Pattie Dunn | Robin Sills |
| Joanne Cappelletti | Cookie Keggi | Laurin Traub |
| Karen Castaldi | Karen Kelly | Joan Vander Eyk |
| Tara T. Caulfield | Roberta Massey | Liz Widman |
| Maura Chilson | Maribeth Mecca | Sharon Zlahy |

The Country Club of Waterbury
One Oronoke Road • Waterbury, CT
203-753-4171

Directions

From NY, Danbury, and Points West

- Follow I-84 East toward Waterbury/Hartford
- Use Exit 18 (Chase Parkway), turning Right at end of exit
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- The Club Entrance is on your Right (just 1/4 mile)

From Hartford Area & Points East

- Follow I-84 West toward Waterbury
- Use Exit 18 (Chase Parkway/Highland Ave), Stay Left
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- Turn Left onto Oronoke Road (this will be your first Left)
- The Club Entrance is on your Right (just 1/4 mile)

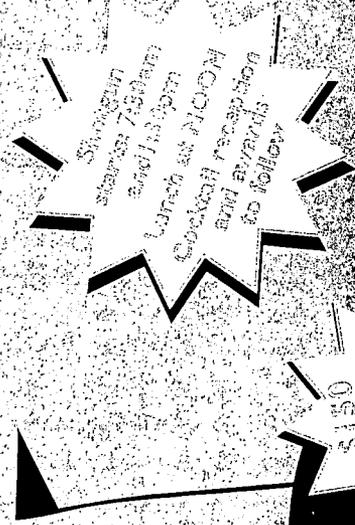
The Dorothy Hamill Women's Women

Golf Tournament
to benefit the Mammography Fund
at Saint Mary's Hospital



The Country Club of Waterbury

Monday
June 8, 2009



Saint Mary's HOSPITAL Foundation

56 Franklin Street, Waterbury, CT 06706
www.stmh.org/golf

1832

Scramble format for golfers of all levels



Dorothy Hamill

Dorothy Hamill was a three-time U.S. National Champion in figure skating from 1974 to 1976, and won the World Championship and Olympic Gold Medal in 1976. She is an inductee of the U.S. Olympic, and World Figure Skating Halls of Fame, and headlined the Ice Capades for seven years before briefly owning it. She resides in Baltimore with her daughter and continues to perform in skating exhibitions today.

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Sponsorship Opportunities

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Complimentary foursome and Lunch Sponsorship Recognition; Name/logo featured in all Public Relations materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- SILVER SPONSORSHIP**\$2,500
Complimentary foursome and Cocktail Hour Sponsorship Recognition; Tee Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- BRONZE SPONSORSHIP**\$1,000
Complimentary foursome; Name on main Sponsorship Board; Hospital's Annual Report; Recognition on Hospital's web-site - Golf Tournament page.
- TEE & GREENS SPONSORSHIP RECOGNITION**\$500

CONTINUED FROM OTHER SIDE

Contact Name: _____
Telephone: _____
Company Name: _____
 Home Business

Street: _____
Email: _____
City: _____
State: _____ Zip: _____
Credit Card Type: _____

Card Number: _____
Expiration Date: _____

AMOUNT
Total Amount
Golf: _____ x \$150 = \$ _____

Sponsorship
Level: _____ \$ _____
Total
Enclosed: \$ _____

All registrations are final.

Please return this form in the return envelope enclosed by 5/25/09 to:

Lezlye Zupkus
Saint Mary's Hospital Foundation
56 Franklin Street, Waterbury, CT 06706
Phone: (203) 709-3761 • Fax: (203) 709-3272
Email: lezlye.zupkus@stmh.org

Please detach along perforation and return in envelope provided.

THANK YOU...

Raffle and In-Kind Donors

121 Restaurant & Bar
Abrash Galleries
Rugs & Antiques
Adam Broderick Salon
& Spa
A Touch of Holly
Avanci Esthetiques
Day Spa
Barbel Fitness
The Barn Club
Mark Byalabrowski
& Golf Shop
Carlito's of Woodbury
The Charcoal Chef
Chick's on the Avenue
City Hall Cafe'
Country Club
of Waterbury
Curves
Fabrice DeCola
Dinova's
Down on Main Street
Drescher's Restaurant
Fascia's Chocolate
Fitness at the Edge
Flore' Florist
Fox Hopyard Golf Club
Gainfield Farms
The Gilded Lily
Giovanni Pasion
Golf World
The Grotto
and Mrs. G.
The Hartford
Stage Co.
Hawk's Landing
Hen of the Woods
Heritage Resort &
Conference Center

Hickory Stick
Book Shop
Hidden Treasures
The High Field Club
H. P. Hood
KPMG, LLC
J. P. Jarjura
& Sons Company
JetBlue Airways
Corporation
LaBonne's
Epicure Markets
Lake Package Store
Mary Kay
Merle Norman
Cosmetics
Metro Bis
New Britain Rock Cats
Nino's Trattoria
Italian Restaurant
Gayle O'Neill
Fine Jewelry
Green Hill Home
& Garden
Onyx Jewelry II LLC
Palace Theater
Party Elegance & Gifts
The Printed Pear
Plumb Farms
The Publick House,
On the Common
Quassy Amusement Park
Rejuve Medi Spa
Ridgewood
Country Club
Seven Angels Theatre
Sign Pro, Inc.
Skin Beautiful
Southbury Car Care

Spartan's Restaurant
& Bar
Stepping Out on Main
Salon & Day Spa
Thomaston
Opera House
Tiffany & Company
Tres Jolie Nail
and Skins Care
Salon, LLC
Triple Stitch
Sportswear
Tylec Pottery
Uniquely Yours
Photography LLC
Joan Vander-Eyk
Vasi's Restaurant
Venitian Restaurant
Villarina's of Southbury
Viso Bello Day Spa
Wadsworth Atheneum
Museum of Art
Walker Road Vineyards
Watertown Golf Club
Watertown
Meat Center
WATR
Western Hills
Golf Course
Pro Shop
Wildflowers
Woodbury
Floral Designs
Woodbury
Pewterers, Inc.
Zilahy Wellness Center

1834

The Dorothy Hamill Women Women



Golf Tournament

to benefit the Mammography Fund
at Saint Mary's Hospital

MONDAY JUNE 8, 2009

at THE COUNTRY CLUB OF WATERBURY
WATERBURY, CONNECTICUT

Honorary Chairman
Dorothy Hamill

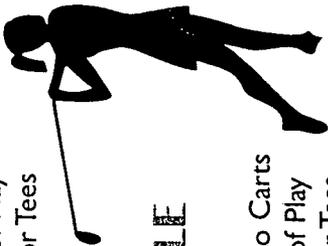
Tournament Co-Chairmen
Chris Geraghty & Mary Wiatr



Saint Mary's
HOSPITAL
Foundation

MORNING FLIGHT TIMETABLE

- 6:00 AM.....Breakfast
- 7:00 AM.....Golfers Proceed to Carts
- 7:05 AM.....Welcome/ Rules of Play
- 7:15 AM.....Golfers Depart for Tees
- 7:30 AM.....Tee Off
- 11:30 AM.....Lunch



AFTERNOON FLIGHT TIMETABLE

- 11:30 AM.....Lunch
- 1:00 PM.....Golfers Proceed to Carts
- 1:05 PM.....Welcome/ Rules of Play
- 1:15 PM.....Golfers Depart for Tees
- 6:00 PM.....Reception
- 7:00 PM.....Award Ceremony

2009 WOMEN FORE WOMEN COMMITTEE

Mary Jane Barlow	Shelley Donahue	Marna Murtha
Karen Brown	Pattie Dunn	Robin Sills
Joanne Cappelletti	Cookie Keggi	Laurin Traub
Karen Castaldi	Karen Kelly	Joan Vander Eyk
Tara T. Caulfield	Roberta Massey	Liz Widman
Maura Chilson	Maribeth Mecca	Sharon Zilahy

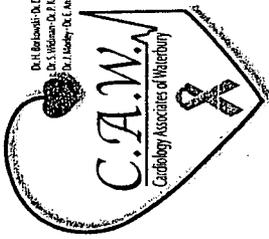
VOLUNTEERS

Debbie Barlow	Lenny Genovese	Mary Santos
Carol Bracken	Jim Geraghty	Maureen Scott
Gina Burrows	Bob Halko	Tara Shea
Joanne Cappelletti	John Jenuisaitis	Jean Smith
Mark Casey	Jennifer Jokubaitis	Laura Smith
Kip Cheney	Angela Levielle	Rose Ann Spengler
Greg Cimmino	Dawn Liano	Lucy Stronkowski
Lou Cimmino	Ray Massicotte	Karlynn Thompson
Robin Cracco	Ed McEachin	Dean Vitarisi
Carol de Santis	Lynn Musto	Renee Warner
Mary Donahue	Lynne Palladino	Chris Wiatr
Diana Drpich	Marion-Palumbo	Maggie Wiatr
Terry Dudrick	Ken Pocius	Fran Wiatr
Jeanne Generali	Pam Pratt	Nikki Yanarella
Colleen Genovese	Sue Probst	Chris Zirkle
	Helen Ruest	

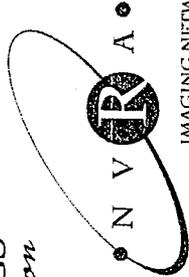
GOLD SPONSOR
jetBlue
 AIRWAYS®

SILVER SPONSORS

AMERICAN SAVINGS
Foundation



D.H. Berwick, D.D. Decker, D.L. Lee
 D.S. Williams, D.J. Kelly, D.H. Rogers
 R. Lashley, N.L. Ward, D.L. Weber



IMAGING NETWORK
the future of diagnostic care

BRONZE SPONSORS

AI-Tek Instruments, LLC	Orthopaedics New England
Cookie Keggi, Klemm Real Estate	USJ
George Giguere Associates	Webster Bank
The Harold Leever Regional Cancer Center	

GREEN & TEE SPONSORS

Blum, Shapiro & Company, P.C.	Score Gym & PT
KPMG, LLP	Rehabilitation Facility
Lerman Container	Specialists in Women's Health Care
Naugatuck Savings Bank Foundation	Wanho Manufacturing, LLC
Naugatuck Valley Surgical Center	Zackin, Zimyeski, Sullivan, LLC
Norman S. Drubner, Esq	

FRIENDS

Joanne Clarke	Perry Cleaners, Inc.
Carol Griffin-Diorio	Raymond Powell
Comprehensive Health Care, LLC	Jill Rohan
Emmanuel's LLC	Shelley Tylec
Mr. and Mrs. Robert E. Grasing	Cynthia Villano
Linda S. Hagen	

SMH WFW 2009 Golf Mammography Fund

Appeal ID	Appeal Description
wgolf09 raffle prize	Women's Golf Tourney '09 Raffle Prizes
wgolf09 Raffle Proc	Women's Golf Tourney '09 Raffle Proceeds
wgolf09donations	Women's Golf Tourney 2009 donations
wgolf09reg	Women's Golf Tourney 2009 registration
wgolf09sponsors	Women's Golf Tourney Sponsors 2009

2009 WFW
Invite letter
to last year's
participants

March 16, 2009

Dear ,

We are pleased to announce that Saint Mary's Hospital Foundation's "*Women Fore Women*" golf outing will be held on June 8, 2009 at the Country Club of Waterbury.

Thank you for joining us for last year's event. Despite the weather, it was a spectacular day, and the event was a wonderful success in every respect. Through your participation and support, and the generous contributions of sponsors and donors across the community, we were able to add \$25,000 to the Mammography Fund at Saint Mary's Hospital, which will provide mammograms to nearly 400 women in our community who would otherwise not have access to them. Thank you for making this possible!

The positive response we received encouraged us to make *Women Fore Women* an annual event. We are especially pleased to report that Dorothy Hamill has agreed to join us once again as Honorary Chair, and has strengthened her commitment to the event by permitting us to name it the "Dorothy Hamill *Women Fore Women*" golf tournament. We expect that her affiliation will substantially enhance interest, publicity and participation.

Our committee has been meeting to plan a special day for this year's event and to improve the experience of all participants. Based on inquiries we have received, and given last year's waiting list, we anticipate another "sold-out" tournament, and want to offer our original supporters the opportunity to secure their spot, prior to advertising the event more broadly. In addition, this year, we are offering the option to play in a morning or afternoon flight.

All of you came together in Oxford last year for golf and camaraderie, while lending support to other women in the community through a focused program that potentially saves lives. What better way to spend an early summer day? Now is the time to save the date, gather your foursome, decide on a.m. or p.m., and sign up early. Included with this invitation is a flyer providing details about the day.

Thank you again for your support. We look forward to seeing you all on June 8th at the Country Club of Waterbury.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April 2009

2009 WFW
Past Raffle
Donation
Solicitation

Dear :

The 2nd Annual "Dorothy Hamill " *Women Fore Women*" Golf Classic is scheduled for *Monday, June 8, 2009 at The Country Club of Waterbury*. We are writing to thank you for your support last year, and to ask you to join us again in support of this year's initiative.

Proceeds will go directly to the mammography fund at Saint Mary's Hospital, which provides free mammograms to women without insurance who might not otherwise have access to one! It is important to note that the fund extends to patients of all physician groups throughout our community.

Last year, your gift of *a bare mineral makevoer*, with a value of *\$40.00* for our raffle, helped us to raise \$5,000 for the Fund, and we hope that you will contribute a gift again for this year's raffle. We expect this event to sell out with over 200 women golfers, which will give your company exposure to a very special group.

Saint Mary's Hospital plays an important role in the Greater Waterbury community and our success is a direct result of the philanthropic support of many companies and individuals in the community. We hope to have you as a raffle sponsor and join us in support of such a worthwhile cause. Donation forms are enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April 2009

2009 WFW
Past Sponsor
Solicitation

«TIT» «NAME» «INITIAL» «LNAME»
«COMPANY»
«ADDRESS»
«CITY», «ST» «ZIP»

Dear «TIT» «LNAME»:

The 2nd Annual "Dorothy Hamill "Women Fore Women" Golf Classic is scheduled for *Monday, June 8, 2009 at The Country Club of Waterbury*. We are writing to thank you for your support last year, and to ask you to join us again in support of this year's initiative.

Proceeds will go directly to the mammography fund at Saint Mary's Hospital, which provides free mammograms to women without insurance who might not otherwise have access to one! It is important to note that the fund extends to patients of all physician groups throughout our community.

Last year your sponsorship of \$«AMT» helped us raise \$50,000 for the Fund, and we hope that you will be a sponsor again this year.

As a sponsor, the name of your company will be prominently featured, and you will help underwrite a potentially life saving test for women in need. We expect this event to sell out with 200 women golfers, which will give your company exposure to a very special group.

Saint Mary's Hospital plays an important role in the Greater Waterbury community and our success is a direct result of the philanthropic support of many companies and individuals in the community. We hope to have you as a sponsor and join us in support of such a worthwhile cause. Sponsorship forms are enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April, 2009

2009 WFW
Raffle
Solicitation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

The 2nd Annual *"Dorothy Hamill Women Fore Women"* Golf Classic is scheduled for *Monday, June 8, 2009 at The Country Club of Waterbury*. The proceeds from this ladies tournament will go directly to the mammography fund at Saint Mary's Hospital, which provides *"FREE MAMMOGRAMS"* to those women who might not otherwise receive them!

For *100 years*, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. *We hope that you will consider supporting our initiative this year by donating a gift for our raffle and playing in the tournament.*

With a raffle gift, you will have the opportunity to help underwrite a potentially life saving test for a woman in need. As a golfer, you will have a wonderful day knowing you are making a difference in someone's life. With 144 women golfers expected at this event, your generosity will be recognized by a very special group. We hope to have you join us in support of such a worthwhile cause.

Your donation form and registration form is enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

FRIENDS

Orthopedic Surgery PC
Active Orthopedics PC
Mary Jane Barlow
Staffing Services
Judy P. Bassett
Robert & Karen Bosco

Cross Country, LLC
Peggy DelGatti
Dilley Floors, LLC
Linda Durocher
Dr. M. Alex Geertsma

THANK YOU... Raffle and In-Kind Donors

The Advanced Dermatology Center	Hawk's Landing Country Club	Pin Shop
Aesthetique	Heavenly Card & Gift Boutique	Liquor Outlet
Mark Bialobrzewski's Golf Shop	Heritage Resort & Conference Center	Planet Fitness
Sally Bowman	H P Hood	Publick House
Bradford Renaissance Portraits	House on the Hill	Roma's Ristorante
Wilfred Brown, MD, FACS	Bed and Breakfast	San Marino Ristorante
Century Management Services	Ilkon	Saslow Lufkin & Buggy
Charcoal Chef Restaurant, Inc.	J. P. Jarjura & Sons Company	Lisa Scappini
City Hall Cafe	Jet Blue Airlines	Seven Angels Theatre
Chris Clark's Golf Shop	Lake Package Store	Sweet Maria's
Clary Sage Day Spa	Lakewood Lanes, Waterbury	Tres Jolie Day Spa
Drescher's Restaurant	Matteo Restaurant	Triple Stitch Sportswear
Gagliardi's Restaurant	Mire Spa	Shelley Tylec
Gilded Lily	Mirrus Systems, Inc.	UHY Advisors NE, LLC
Girl Scouts of CT	Mystic Seaport	Joan Vander Eyk
The Golf Club at Oxford Greens	Gayle O'Neil Fine Jewelry	Villarina's of Southbury
Golf World Magazine Hartford Stage	Onyx Jewelry II, LLC	Vinnies & Domenic's Pizza
	Palace Theater	Woodbury Pewter
	Paparazzi Boutique	
	Pink Petunia	

Women Women



Saint Mary's Hospital
Women's Golf Tournament
to Benefit the Mammography Fund

MONDAY JUNE 16, 2008
at THE GOLF CLUB AT OXFORD GREENS
OXFORD, CONNECTICUT

Honorary Chair
Dorothy Hamill

Tournament Co-Chairs
Chris Geraghty & Mary Wiatr


Saint Mary's
HOSPITAL
Foundation

18

NOTES FROM CHRIS & MARY

Welcome to the "Women Fore Women" inaugural golf outing! We are so proud to be a part of this wonderful event, which has raised awareness and funding for a program that will potentially save women's lives in our community. We thank Peggy Lawlor, Lezlye Zupkus and their dedicated staff at Saint Mary's Hospital Foundation for their tireless efforts in making the event such a success. We'd like to acknowledge the contributions and hard work of our many volunteers and dedicated committee members. Most especially, we thank you for coming out to join us in support of such a worthwhile cause.

We want this to be a great day for all of you! We have enclosed some hints before we hit the links to make your round even more enjoyable.

PRIZES

Prizes will be awarded for the 1st low gross team, and 1st, 2nd and 3rd low net teams.

Closest to the pin: Hole #9

Closest to the line: Hole #1

Longest Drive: Hole #12

Prizes for first hole-in-one on all par 3 holes

Hole #2: Golfing vacation valued at \$7500

Hole #9: Roundtrip Airline tickets for two

Hole #13: Flat Panel LCD Television

Hole #16: Callaway Big Bertha Irons



BRONZE SPONSORS

American Savings Foundation
Naugatuck Valley Radiological Associates, PC

TEE SPONSORS

Al-Tek Instruments Aesthetique	Naugatuck Valley OB-GYN Associates, PC
The Breast & Oncology Center Cardiology Associates of Waterbury, PC	Onyx Jewellery II, LLC Saint Francis Hospital & Medical Center
Greater Waterbury Retina, LLC The Harold Leever Cancer Center	Wanho Manufacturing Webster Bank Webster Risk Services
KPMG	

2008 WOMEN FORE WOMEN COMMITTEE

Tournament Co-Chairs: Chris Geraghty and Mary Wiatr

Julie Barrett	Peggy DelGatti	Maribeth Mecca
Sally Bowman	Dawn DiCrosta	Joan Vander Eyk
Karen Brown	Shelley Donahue	Liz Widman
Karen Castaldi	Pattie Dunn	Sharon Zilahy
Tara Caulfield	Karen Kelly	

VOLUNTEERS

Gina Burrows	Bob Halko	Randy Simko
Stephanie Brown	Chris Hayes	Marilyn Slowik
Robin Cracco	Jennifer Jokubaitis	Lucy Stronkowski
Mary Anita Crean	Ray Massicotte	Nina Surrenti
Adele Dilley	Lynn Musto	Renee Perreault Warner
Linda Durocher	Ann O'Brien	Dean Vitarisi
Kelli Dwyer	Kristen Porzio	Alexa Zimmerman
Susan Geller	Pam Pratt	Pauline Zimmerman
Lenny Genovese	Sandy Roosa	
Lucia Ann Goffredo	Robin Sills	

Women *fore* Women



Saint Mary's Hospital
Women's Golf Tournament
to Benefit the Mammography Fund

FORMAT OF PLAY: SCRAMBLE

We will be playing in four-person teams, from the gold tees, and following the Scramble format. All four players hit a tee shot, and the best drive of the four is selected. From the spot of the selected tee shot, each player in the foursome hits her second shot and continues to play her own ball to finish out the hole. Record the best score of the four, gross and net, for each hole. A player may choose to pick up on any hole and her score is not used.


Saint Mary's
HOSPITAL
Foundation

OXFORD GREENS YARDAGE GUIDES

Each cart is equipped with a yardage guide for the course, which provides valuable information for each hole such as the layout, hazards, landing areas, best approach, green undulations, and other relevant facts. Remember to follow the guide for the gold tees.

PACE OF PLAY

Guidelines for Tee, Fairway and Green

(or "How to Squeeze a Seven-Hour Round into Five Hours")

With a field of 144 players, the round is certain to be "sluggish." Please follow some simple guidelines that will help to move things along.

On the Tee:

- Shorter hitters should hit first.
- If a tee shot is out of bounds or in a hazard, do not re-tee, unless all four tee shots are unplayable. (The Scramble format is very forgiving!)
- Play "ready" golf.

On the Fairway:

- Your position on the golf course is one shot behind the group in front of you.
- Limit your search of the errant ball to 3 minutes.
- Each person should go directly to her ball and be prepared to hit.
- Do not go as a 4-team pack to each ball.

On the Green:

- Leave cart on the side of the green toward the next hole.
- Mark down your finished score on the next tee.
- If you are out of the hole, please pick up your ball!


Saint Mary's
HOSPITAL
Foundation

WFW 2008
Friend
Donation
Solicitation

April, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

The 1st Annual "*Women Fore Women*" Golf Classic is scheduled for *Monday, June 16, 2008 at The Golf Club at Oxford Greens*. The proceeds from this ladies tournament will go directly to the mammography fund at Saint Mary's Hospital, which provides "*FREE MAMMOGRAMS*" to those women who might not otherwise receive them!

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. *We hope that you will consider making a donation to underwrite mammography's for women and by playing in the tournament.*

As a donor, you will have the opportunity underwrite a potentially life saving test for a woman in need. As a golfer, you will have a wonderful day knowing you are making a difference in someone's life. We expect this event to sell out with 144 lady golfers, which will give your company exposure to a very special group. We hope to have you join us in support of such a worthwhile cause.

Your donation form and registration form is enclosed for your convenience.

Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April, 2008

2008 WFW
Raffle
Solicitation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. *We hope that you will consider supporting our initiative this year by donating a gift for our raffle and playing in the tournament.*

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Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

April, 2008

2008 WFW
Sponsor
Solicitation

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. *We hope that you will consider being a sponsor and playing this year.*

As a sponsor, you will receive *company name recognition* and the opportunity to help underwrite a potentially life saving test for a woman in need. As a golfer, you will have a wonderful day knowing you are making a difference in someone's life. We expect this event to sell out with 144 women golfers, which will give your company exposure to a very special group. We hope to have you as a sponsor and join us in support of such a worthwhile cause.

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Thank you.

Sincerely,

Mary Wiatr

Mary Wiatr

Chris Geraghty

Chris Geraghty

Mammography Gala 2006 Fund

Appeal ID	Appeal Description
mammography06	Mammography Fundraiser Gala06



Appeal Summary Report Sisters of St. Joseph Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2011	Phonathon 2011	2	\$0.00	\$110.00	\$0.00	\$0.00	\$110.00
sisters08inv	Sisters Dinner Invite	86	\$0.00	\$2,300.00	\$12,900.00	\$0.00	\$15,200.00
sistersdonat08	Sisters of St. Joseph Donation	97	\$0.00	\$1,170.00	\$21,469.38	\$0.00	\$22,639.38
and Totals:			\$0.00	\$3,580.00	\$34,369.38	\$0.00	\$37,949.38

185 Gift(s) listed

169 Donor(s) listed

~~of Endoscopy
of Oncology
of Dietrich~~

J-4
T-9

Name	Gift Amount	Gift Date	Appeal Description
Penny Lawlor	\$2,530.38	6/30/2008	Sisters of St. Joseph Donations 2008
Robert R. Leggett	\$100.00	7/24/2008	Sisters of St. Joseph Donations 2008
Barbara K. Roosa	\$200.00	7/30/2008	Sisters Dinner Invite
J & L Medical Services, LLC	\$200.00	7/30/2008	Sisters Dinner Invite
Marlene Yeomans	\$100.00	8/18/2008	Sisters Dinner Invite
Roland F. Largay	\$100.00	8/18/2008	Sisters Dinner Invite
Joseph F. Commendatore	\$200.00	8/18/2008	Sisters Dinner Invite
Ann M. Largay	\$100.00	8/18/2008	Sisters Dinner Invite
Carol Monaghan	\$100.00	8/18/2008	Sisters Dinner Invite
Richard J. Kiley	\$100.00	8/18/2008	Sisters Dinner Invite
Lenkowski, Lonergan & Co. LLP	\$100.00	8/18/2008	Sisters of St. Joseph Donations 2008
Cecile Mirto	\$20.00	8/18/2008	Sisters of St. Joseph Donations 2008
Bertrand P. Bisson	\$500.00	8/18/2008	Sisters of St. Joseph Donations 2008
Gloria M. Barbieri	\$100.00	8/18/2008	Sisters of St. Joseph Donations 2008
Edward P. Kenney	\$100.00	8/18/2008	Sisters of St. Joseph Donations 2008
Subramaniam Siva	\$100.00	8/18/2008	Sisters of St. Joseph Donations 2008
GSS Industries	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
Donna T. Fleming	\$25.00	8/27/2008	Sisters of St. Joseph Donations 2008
Nancy M. Krulikowski	\$100.00	8/27/2008	Sisters Dinner Invite
Joanna T. Sandin	\$20.00	8/27/2008	Sisters of St. Joseph Donations 2008
Ann E. Dandonoli	\$25.00	8/27/2008	Sisters of St. Joseph Donations 2008
Margaret R. Leggett	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
Paul A. Sirop	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
J. Robert Anthony	\$1,000.00	8/27/2008	Sisters of St. Joseph Donations 2008
Archdiocese of Hartford	\$5,000.00	8/27/2008	Sisters of St. Joseph Donations 2008
Leocadia Wolak-Zak	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
Anna D. DeSimone	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
Elizabeth Smith	\$100.00	8/27/2008	Sisters of St. Joseph Donations 2008
Jeannette G. Ciccarelli	\$25.00	8/27/2008	Sisters of St. Joseph Donations 2008
Catherine T. Kasidas	\$25.00	8/27/2008	Sisters of St. Joseph Donations 2008
Dora E. Griffin	\$50.00	8/27/2008	Sisters of St. Joseph Donations 2008
John P. Burke	\$200.00	8/27/2008	Sisters Dinner Invite
Linda Burns	\$100.00	8/27/2008	Sisters Dinner Invite
Michael D. Kenney	\$200.00	8/27/2008	Sisters Dinner Invite
James F. Phelan	\$200.00	8/27/2008	Sisters Dinner Invite
J. Robert Anthony	\$200.00	8/27/2008	Sisters Dinner Invite
Ellen C. Sheehan	\$100.00	8/27/2008	Sisters Dinner Invite
Phyllis Caputo	\$100.00	8/27/2008	Sisters Dinner Invite
Sandra J. Micalizzi	\$100.00	8/27/2008	Sisters Dinner Invite
Barbara S. Nowacki	\$100.00	8/27/2008	Sisters Dinner Invite
John W. Brackett	\$200.00	8/27/2008	Sisters Dinner Invite
GSS Industries	\$100.00	8/27/2008	Sisters Dinner Invite
Brenda Kunin	\$20.00	8/28/2008	Sisters of St. Joseph Donations 2008
Brenda Kunin	\$20.00	8/31/2008	Sisters of St. Joseph Donations 2008
John P. Burke	\$500.00	8/31/2008	Sisters of St. Joseph Donations 2008
Leonard F. Genovese	\$200.00	8/31/2008	Sisters Dinner Invite
Sodexo Health Care Services	\$100.00	8/31/2008	Sisters Dinner Invite
Stephen T. Holland	\$200.00	8/31/2008	Sisters Dinner Invite
Maria Giattino	\$100.00	8/31/2008	Sisters Dinner Invite
Judy Shea	\$200.00	8/31/2008	Sisters Dinner Invite
Mary Jane Ives	\$100.00	9/6/2008	Sisters Dinner Invite
Claudette Volage	\$100.00	9/6/2008	Sisters Dinner Invite
Christine Karamavros	\$100.00	9/6/2008	Sisters Dinner Invite
Thomas Farrell	\$200.00	9/6/2008	Sisters Dinner Invite
Eileen T. Kieffer	\$300.00	9/6/2008	Sisters Dinner Invite
Peggy Lawlor	\$200.00	9/6/2008	Sisters Dinner Invite
Beverlee J. Sabia	\$100.00	9/6/2008	Sisters Dinner Invite
Petra Construction Corporation	\$200.00	9/6/2008	Sisters Dinner Invite
David Alan Roer	\$200.00	9/6/2008	Sisters Dinner Invite

Name	Gift Amount	Gift Date	Appeal Description
Carol McDonald	\$100.00	9/6/2008	Sisters Dinner Invite
. Campion	\$100.00	9/6/2008	Sisters Dinner Invite
e Salvaggio	\$100.00	9/6/2008	Sisters Dinner Invite
Patricia Sheehy	\$100.00	9/6/2008	Sisters Dinner Invite
Louise P. Carvalho	\$100.00	9/6/2008	Sisters Dinner Invite
Andrea E. Caron	\$100.00	9/6/2008	Sisters Dinner Invite
Maureen Grant	\$100.00	9/6/2008	Sisters Dinner Invite
Agnes McCarthy	\$100.00	9/6/2008	Sisters Dinner Invite
John H. Barlow	\$200.00	9/6/2008	Sisters Dinner Invite
Mary-Lee Dube	\$100.00	9/6/2008	Sisters Dinner Invite
Diane R. Hardt	\$100.00	9/6/2008	Sisters Dinner Invite
Eileen M. Jackson	\$50.00	9/6/2008	Sisters of St. Joseph Donations 2008
Bertha Wiley	\$10.00	9/6/2008	Sisters of St. Joseph Donations 2008
William Casey	\$5.00	9/6/2008	Sisters of St. Joseph Donations 2008
Anonymous	\$1.00	9/6/2008	Sisters of St. Joseph Donations 2008
Burton Albert	\$1,000.00	9/6/2008	Sisters of St. Joseph Donations 2008
Kathleen N. Acas	\$25.00	9/6/2008	Sisters of St. Joseph Donations 2008
Patricia "Dolly" Dwyer	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Alice Dinoso	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Henry J. McGough	\$20.00	9/6/2008	Sisters of St. Joseph Donations 2008
Harriet E. Finkenzeller	\$200.00	9/6/2008	Sisters of St. Joseph Donations 2008
Eleanor Rice	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Phyllis Farrell	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Gilbert R. Boutin	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Brian J. McMahan	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Eileen T. Kieffer	\$250.00	9/6/2008	Sisters of St. Joseph Donations 2008
E. ta Rubin	\$25.00	9/6/2008	Sisters of St. Joseph Donations 2008
s F. Cosgrove	\$50.00	9/6/2008	Sisters of St. Joseph Donations 2008
Marie J. DeAngelis	\$25.00	9/6/2008	Sisters of St. Joseph Donations 2008
Patsy Salamone	\$100.00	9/6/2008	Sisters of St. Joseph Donations 2008
Florence Furlong	\$50.00	9/6/2008	Sisters of St. Joseph Donations 2008
Laurie M. Andrade	\$25.00	9/12/2008	Sisters of St. Joseph Donations 2008
Frank Matan	\$20.00	9/12/2008	Sisters of St. Joseph Donations 2008
Sadie M. Devino	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Cynthia H. Solomita	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Anne P. Ward	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
St. Bridget's Church Corp.	\$200.00	9/12/2008	Sisters of St. Joseph Donations 2008
Edmund Francis Caporaso	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Judith A. Jakowski	\$60.00	9/12/2008	Sisters of St. Joseph Donations 2008
Mary Moschella	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Ann M. Largay	\$1,000.00	9/12/2008	Sisters of St. Joseph Donations 2008
Iona S. Watterworth	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Anonymous	\$33.00	9/12/2008	Sisters of St. Joseph Donations 2008
Eric R. Haberman	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Brian C. De Bowes	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Adele Zimmer	\$25.00	9/12/2008	Sisters of St. Joseph Donations 2008
William A. Donston	\$25.00	9/12/2008	Sisters of St. Joseph Donations 2008
P. A. Varanelli	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Eileen K. Gibbons	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Jaclin R. Laden	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Lorraine Brandolini	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Mary Y. Buxton	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
ra V. Pons	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
ld Zlotoff	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Robert A. Lenkowski	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
John J. Bevins	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Claudette L. Paolino	\$20.00	9/12/2008	Sisters of St. Joseph Donations 2008
Judith R. Baeder	\$10.00	9/12/2008	Sisters of St. Joseph Donations 2008
Glenn Goulet	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008

Name	Gift Amount	Gift Date	Appeal Description
Wanda R. Jochenning	\$1,000.00	9/12/2008	Sisters of St. Joseph Donations 2008
as M. Mascoli	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Terine M. Zupkus	\$50.00	9/12/2008	Sisters of St. Joseph Donations 2008
Anna R. Antunes	\$100.00	9/12/2008	Sisters Dinner Invite
Joseph J. Bowen	\$800.00	9/12/2008	Sisters Dinner Invite
Christine A. Vitarelli	\$100.00	9/12/2008	Sisters Dinner Invite
Dorothy Torretta	\$100.00	9/12/2008	Sisters Dinner Invite
William Casey	\$100.00	9/12/2008	Sisters Dinner Invite
Theresa Dudrick	\$200.00	9/12/2008	Sisters Dinner Invite
Eleanor Sivilla	\$200.00	9/12/2008	Sisters Dinner Invite
P. A. Varanelli	\$100.00	9/12/2008	Sisters Dinner Invite
Jeanne D'Arc St. Pierre	\$100.00	9/12/2008	Sisters Dinner Invite
Peter C. Greco	\$100.00	9/12/2008	Sisters Dinner Invite
Robert A. Finkenzeller	\$200.00	9/12/2008	Sisters Dinner Invite
Christine A. Sullivan	\$100.00	9/12/2008	Sisters of St. Joseph Donations 2008
Jaci Carroll-Hanratty	\$500.00	9/12/2008	Sisters of St. Joseph Donations 2008
Darrell S. Daniels	\$25.00	9/12/2008	Sisters of St. Joseph Donations 2008
St. Mary's School of Nursing Alum	\$500.00	9/12/2008	Sisters of St. Joseph Donations 2008
Wanda C. Faucher	\$75.00	9/12/2008	Sisters of St. Joseph Donations 2008
The Conroy Development Compar	\$100.00	9/12/2008	Sisters Dinner Invite
Naugatuck Valley Women's Health	\$600.00	9/12/2008	Sisters Dinner Invite
Edmund J. White	\$200.00	9/12/2008	Sisters Dinner Invite
Christine A. Sullivan	\$200.00	9/12/2008	Sisters Dinner Invite
Michael A. Feinberg	\$200.00	9/12/2008	Sisters Dinner Invite
Joseph D. Demayo	\$200.00	9/12/2008	Sisters Dinner Invite
Roberto A. Gobbee	\$200.00	9/12/2008	Sisters Dinner Invite
J. I. Bevins	\$100.00	9/12/2008	Sisters Dinner Invite
J. Jacoby	\$200.00	9/15/2008	Sisters Dinner Invite
Jaci Carroll Staffing Co., Inc.	\$300.00	9/15/2008	Sisters Dinner Invite
Anne M. Szumigala	\$100.00	9/15/2008	Sisters Dinner Invite
Campion Ambulance Service, Inc.	\$400.00	9/15/2008	Sisters Dinner Invite
Casey-O'Donnell Family Funeral H	\$400.00	9/15/2008	Sisters Dinner Invite
Campion Ambulance Service, Inc.	\$400.00	9/15/2008	Sisters of St. Joseph Donations 2008
Abdel Aziz Richi	\$100.00	9/15/2008	Sisters Dinner Invite
Joseph A. Mengacci	\$100.00	9/15/2008	Sisters Dinner Invite
Joel A. Sherman	\$100.00	9/15/2008	Sisters Dinner Invite
Waterbury Hospital Health Networ	\$200.00	9/15/2008	Sisters Dinner Invite
Patricia A. Evans	\$100.00	9/15/2008	Sisters Dinner Invite
UCONN School of Nursing	\$100.00	9/15/2008	Sisters Dinner Invite
Dolton A. James	\$200.00	9/15/2008	Sisters Dinner Invite
Juan A. Sanchez	\$100.00	9/15/2008	Sisters Dinner Invite
Sharon Zilahy	\$100.00	9/15/2008	Sisters Dinner Invite
People's United Bank	\$250.00	9/15/2008	Sisters of St. Joseph Donations 2008
Elaine C. Lawlor	\$50.00	9/22/2008	Sisters of St. Joseph Donations 2008
David B. Simpson	\$50.00	9/22/2008	Sisters of St. Joseph Donations 2008
Anne T. Bennett	\$25.00	9/22/2008	Sisters of St. Joseph Donations 2008
Jerome O. Sugar	\$200.00	9/22/2008	Sisters Dinner Invite
Scovill Medical Group	\$600.00	9/22/2008	Sisters Dinner Invite
Michael C. Culhane	\$200.00	9/22/2008	Sisters Dinner Invite
UCONN School of Nursing	\$100.00	9/22/2008	Sisters Dinner Invite
Abdel Aziz Richi	\$100.00	9/22/2008	Sisters Dinner Invite
Chester B. Jennings	\$100.00	9/22/2008	Sisters Dinner Invite
Hartley	\$100.00	9/22/2008	Sisters Dinner Invite
G. St. John	\$200.00	9/22/2008	Sisters Dinner Invite
Peter H. Perkinson	\$200.00	9/22/2008	Sisters Dinner Invite
Charles M. Keegan	\$200.00	9/22/2008	Sisters Dinner Invite
Webster	\$2,500.00	9/22/2008	Sisters of St. Joseph Donations 2008
People's United Bank	\$250.00	9/22/2008	Sisters of St. Joseph Donations 2008
Campion Ambulance Service, Inc.	\$400.00	9/22/2008	Sisters of St. Joseph Donations 2008

Name	Gift Amount	Gift Date	Appeal Description
Catherine Curley	\$25.00	9/22/2008	Sisters of St. Joseph Donations 2008
on Ambulance Service, Inc.	\$400.00	9/22/2008	Sisters Dinner Invite
Department of Police Service	\$900.00	9/22/2008	Sisters Dinner Invite
Richard A. Burmeister	\$20.00	9/22/2008	Sisters of St. Joseph Donations 2008
Carol L. Frechette	\$25.00	9/22/2008	Sisters of St. Joseph Donations 2008
Daniel T. Lauer	\$100.00	9/22/2008	Sisters Dinner Invite
St. Mary's Xavier 3 Staff	\$50.00	9/29/2008	Sisters of St. Joseph Donations 2008
Richard J. Shea	\$50.00	9/29/2008	Sisters of St. Joseph Donations 2008
Hollis D. Segur, Inc.	\$500.00	9/30/2008	Sisters of St. Joseph Donations 2008
Peter J. Jacoby	\$200.00	9/30/2008	Sisters Dinner Invite
Patricia A. Evans	\$100.00	10/8/2008	Sisters Dinner Invite
Mary C. McMahon	\$25.00	10/8/2008	Sisters of St. Joseph Donations 2008
Eleanor F. Schuermann	\$25.00	10/8/2008	Sisters of St. Joseph Donations 2008
Hollis D. Segur, Inc.	\$500.00	10/8/2008	Sisters of St. Joseph Donations 2008
Joel A. Sherman	\$100.00	10/8/2008	Sisters Dinner Invite
Juan A. Sanchez	\$100.00	10/8/2008	Sisters Dinner Invite
Michael A. Sassi	\$25.00	10/16/2008	Sisters of St. Joseph Donations 2008
Reid Graves	\$50.00	10/16/2008	Sisters of St. Joseph Donations 2008
Jaci Carroll Staffing Co., Inc.	\$300.00	10/16/2008	Sisters Dinner Invite
Casey-O'Donnell Family Funeral H	\$400.00	10/16/2008	Sisters Dinner Invite
Sharon Zilahy	\$100.00	10/31/2008	Sisters Dinner Invite
Anne M. Szumigala	\$100.00	11/11/2008	Sisters Dinner Invite
Waterbury Hospital Health Networ	\$200.00	11/11/2008	Sisters Dinner Invite
Joseph A. Mengacci	\$100.00	11/24/2008	Sisters Dinner Invite
Ann Marie O'Hara	\$100.00	11/24/2008	Sisters of St. Joseph Donations 2008
Catherine T. Kasidas	\$10.00	11/5/2010	Phonathon 2011
Catherine T. Kasidas	\$10.00	11/30/2010	Phonathon 2011
Marie O'Hara	\$100.00	12/30/2010	Phonathon 2011



Foundation, Inc.

X 14
I-9

Authorization To Create A Temporarily Restricted Special Purpose Fund
(Please attach all supporting documentation)

Date Fund Created: 6/30/08

Source of Fund: proceeds from Classic Golf Tourney Dinner Initial Amount: \$ 2,530.38

Name of Fund: Sisters

Will there be subsequent additions to this Fund? yes

Purpose of Fund:

To establish a fund for the proceeds from the 2008 Dinner of Champions.

Person(s) Authorized to Approve Distributions:

~~Sister Patricia Corcoran~~ Peggy Hawk 7/2/08

Senior Management Approval CEO/CFO

Date: _____

Accounting Department Only:

General Ledger Number: _____ Date entered: _____ Initials: _____

August, 2008

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56 Franklin Street
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Tel: 203.709.6390
Fax: 203.709.3272
www.stmhfoundation.org

«FIRST_NAME» «LAST_NAME»
«ADDRESS»
«ADDRESS_2»
«CITY», «STATE» «ZIP»

Dear «FIRST_NAME»,

As a follow-up to the invitation which you have most likely received by now, we, as members of the 2008 Dinner of Champions Committee of Saint Mary's Hospital Foundation, wish to share some information with you.

The 2008 Saint Mary's Hospital Dinner of Champions this year is honoring the Sisters of Saint Joseph of Chambery who founded Saint Mary's Hospital in 1909. Since that time there have been 124 Sisters serving in every department from the school of nursing, medical records, administration, housekeeping, laundry, the library and in just about every other role you can think of making it possible for Saint Mary's Hospital to provide quality health care in a spiritually enriched environment to everyone regardless of their financial position. This is our first opportunity to honor and say thank you to all of them.

The event will be held on Monday, September 8, 2008 beginning with cocktails at 6:00 p.m., followed by dinner at the Crowne Plaza, Southbury, Connecticut. The cost is \$100 per person.

Proceeds from the dinner will be directed to the Sisters of Saint Joseph of Chambery Fund at Saint Mary's Hospital Foundation. The Sisters will direct the use of the money in the fund.

We look forward to seeing all of you that evening. If you are unable to attend but want to be part of the celebration you may wish to contribute to the Sisters of St Joseph Fund in their honor. A gift card is enclosed for your use. We appreciate any amount donated.

Sincerely,



Beverlee Sabia, ,
President
S.M.H. S.O.N. Alumni Association



Eileen Kieffer, .
Immediate Past President
S.M.H. S.O.N. Alumni Association

Fund Summary Report 1/1/87 - 2/25/11
The Charlotte h. Desmarais Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
desmarais	The Charlotte H. Desmarais F	119	\$0.00	\$0.00	\$16,100.00	\$0.00	\$16,100.00
	and Totals:		\$0.00	\$0.00	\$16,100.00	\$0.00	\$16,100.00

119 Gift(s) listed
90 Donor(s) listed

8/10/87

~~K1~~
J1


Saint Mary's
HOSPITAL
Foundation, Inc.

Desmaris Scholarship
~~XXXX~~
* J-1

Authorization To Create A Temporarily Restricted Special Purpose Fund

(Please attach all supporting documentation)

Date Fund Created: 11/26/07

Source of Fund: Contributions from friends

Initial Amount: 2,835

Name of Fund: Desmaris Scholarship

& Family Charlotte Desmaris (deceased)

Will there be subsequent additions to this Fund? from friends & family

Purpose of Fund: See attached

Person(s) Authorized to Approve Distributions:

Pat Evans

Reggy Paul

Senior Management Approval CEO/CFO

Date: 12-5-07

Accounting Department Only:

General Ledger Number: _____

Date entered: _____

Initials: _____

To: Peggy Lawlor
From: Pat Evans
Date: 11/20/2007
Re: The Charlotte Hamilton Desmaris Scholarship Fund

To honor the memory of Charlotte Desmaris, her family and friends have established a scholarship to fund the graduate education of a Saint Mary's RN staff member. The funds will be a restricted gift to the Saint Mary's Foundation for this purpose. The family's intention is to provide a minimum of one scholarship in the spring of 2008. They have pledged to raise a minimum of \$1,500 to \$2,500 for the first year and hope to raise up to \$5,000 a year for the future years.

The scholarship will be managed by the existing scholarship committee with participation of one family member. As a graduate of Saint Mary's Hospital's School of Nursing, Charlotte had a lifelong commitment to Saint Mary's and a passion for nursing. The family is aware that the foundation's current scholarships fund the education of people entering the nursing profession and has chosen to provide assistance to a practicing nurse who wishes to achieve a graduate degree. In this way Charlotte's love of her profession will be passed on to future generation of nurses. This may be in an academic setting, as a Clinical instructor working with students here at Saint Mary's or in an educator's role in the hospital.

Candidates must be working at Saint Mary's in a nursing role during the period of their application and study. They may be enrolled in an MSN, APRN, Clinical Nurse Specialist, RN to MSN or PhD program. The family will create a paragraph highlighting Charlotte's vision of nursing and candidates must provide a 350 word essay indicating how their graduate work will help to fulfill her vision. Additionally they must provide three academic & employment references and both College & graduate transcripts.

To ensure continuous funding the Desmaris family and friends will contribute to this restricted account on an ongoing basis. Saint Mary's Foundation will manage the funds and is responsible for their disbursement. It is anticipated that a decision on the amount of the first scholarship to be awarded in the spring of 2008 will be made in January 2008 and that suitable publicity will be provided by Saint Mary's marketing department to publicize the gift. Saint Mary's staff (including former staff currently employed in a flexi capacity) may access the scholarship application through the Info Net. The scholarship will be awarded with other Foundation scholarships in May.

St. Mary's Hospital Foundation, Inc.
Fund Summary Report
 Charlotte Desmarais Scholarship Fund

Constituent Name	Date	Fund ID	Pledge Balance	Pledge Paymnts	Non-Pledge Gift	Gifts in Kind	Reference
Jennifer C. Desmarais	11/7/2007	schlnsg	\$0.00	\$0.00	\$500.00	\$0.00	for the Charlotte H. Desmarais
John E. Desmarais	10/26/2007	schlnsg	\$0.00	\$0.00	\$50.00	\$0.00	for the Charlotte H. Desmarais
Robert C. Desmarais	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
James W. Hamilton	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
John A. Hamilton	10/26/2007	schlnsg	\$0.00	\$0.00	\$200.00	\$0.00	for the Charlotte H. Desmarais
Thomas Hamilton	10/26/2007	schlnsg	\$0.00	\$0.00	\$500.00	\$0.00	for the Charlotte H. Desmarais
	11/19/2007	schlnsg	\$0.00	\$0.00	\$50.00	\$0.00	
Dana L. Hayes	10/26/2007	schlnsg	\$0.00	\$0.00	\$50.00	\$0.00	for the Charlotte H. Desmarais
Jon M. Jensen	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Joseph W. Kelly	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Judy T. Krueger	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Robert D. Larsen	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Vernet F. Lussier	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Carmen C. Martino	11/7/2007	schlnsg	\$0.00	\$0.00	\$50.00	\$0.00	for the Charlotte H. Desmarais
Rosemary E. McDonnell	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Kathy A. Mehmet	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Moria G. Mehmet	11/7/2007	schlnsg	\$0.00	\$0.00	\$75.00	\$0.00	for the Charlotte H. Desmarais
Gail H. Ouimet	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais
Thomas A. Proulx	11/7/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarais

St. Mary's Hospital Foundation, Inc.
 Fund Summary Report
 Charlotte Desmarais Scholarship Fund

11/20/2007

Constituent Name	Date	Fund ID	Pledge Balance	Pledge Paymnts	Non-Pledge Gift	Gifts in Kind	Reference
Gregory G. Samijlenko	11/7/2007	schlnsg	\$0.00	\$0.00	\$50.00	\$0.00	for the Charlotte H. Desmarai
James M. Thorburn	10/26/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarai
Dana A. Wantman	10/26/2007	schlnsg	\$0.00	\$0.00	\$100.00	\$0.00	for the Charlotte H. Desmarai
Grand Totals:			\$0.00	\$0.00	\$2,825.00	\$0.00	

22 Gift(s) listed

21 Donor(s) listed

Appeal Summary Report Nursing Educa. Scholarship Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl97	Annual Report Mailing '97	1	\$0.00	\$500.00	\$0.00	\$0.00	\$500.00
ind2008	Unsolicited 2008	1	\$0.00	\$0.00	\$10,654.02	\$0.00	\$10,654.02
ind97	Individuals 1997	1	\$0.00	\$0.00	\$135.00	\$0.00	\$135.00
mem93	Remembrance 1993	38	\$0.00	\$0.00	\$1,976.00	\$0.00	\$1,976.00
mem94	Remembrance 1994	46	\$0.00	\$0.00	\$2,784.00	\$0.00	\$2,784.00
mem95	Remembrance 1995	8	\$0.00	\$0.00	\$690.00	\$0.00	\$690.00
mem96	Remembrance 1996	2	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
mem97	Remembrance 1997	2	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
phys98	Phys. Annual Appeal 1998	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
rem98	Past Remembrances 1998	2	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
rem99	Past Remembrances '99	2	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
and Totals:				\$500.00	\$17,239.02	\$0.00	\$17,739.02

104 Gift(s) listed

76 Donor(s) listed

St. Mary's Hospital Foundation, Inc.

Page 1
3/2/2011

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Jane Krukowski	\$20.00	12/29/1992	Remembrance 1993	
E. Nowacki	\$20.00	12/29/1992	Remembrance 1993	
B. Duley	\$10.00	12/29/1992	Remembrance 1993	
Marguerite P. Santarsiero	\$10.00	12/29/1992	Remembrance 1993	
John W. Brackett	\$50.00	12/29/1992	Remembrance 1993	
Saint Mary's Laboratory Staff	\$100.00	12/29/1992	Remembrance 1993	
Mary Prybylo	\$75.00	12/29/1992	Remembrance 1993	
David McElligott	\$25.00	12/31/1992	Remembrance 1993	
Dominick Barbiero	\$15.00	12/31/1992	Remembrance 1993	
Philip L. Martino	\$50.00	1/21/1993	Remembrance 1993	
Stephen J. Fennessy	\$10.00	1/21/1993	Remembrance 1993	
St. Mary's Xavier 3 Staff	\$45.00	2/9/1993	Remembrance 1993	
Mary Prybylo	\$20.00	2/9/1993	Remembrance 1993	
Patricia Mellon Danaher	\$5.00	2/9/1993	Remembrance 1993	
Jeremy Buswell	\$10.00	2/9/1993	Remembrance 1993	
James F. Phelan	\$10.00	2/9/1993	Remembrance 1993	
St. Mary's Sacred Heart 3 Staff	\$130.00	2/9/1993	Remembrance 1993	
Sheila Lynch	\$20.00	2/9/1993	Remembrance 1993	
St. Mary's O'Brien 4 Staff	\$42.00	2/9/1993	Remembrance 1993	
Katherine Tehan	\$10.00	2/9/1993	Remembrance 1993	
Joanne Rainone	\$10.00	2/9/1993	Remembrance 1993	
Gary S. Roosa	\$25.00	2/9/1993	Remembrance 1993	
MaryAnne Carpenter	\$10.00	2/19/1993	Remembrance 1993	
Antoinette Maguire	\$25.00	2/19/1993	Remembrance 1993	
Joseph J. Bowen	\$500.00	2/19/1993	Remembrance 1993	
Lois McDonald	\$10.00	2/19/1993	Remembrance 1993	
Clara Robidoux	\$50.00	2/26/1993	Remembrance 1993	
St. Mary's O'Brien 7 Staff	\$361.00	2/26/1993	Remembrance 1993	
Robert Anthony	\$25.00	2/26/1993	Remembrance 1993	
Maryann Lavelle	\$50.00	2/26/1993	Remembrance 1993	
William F. Sullivan	\$10.00	3/10/1993	Remembrance 1993	
Carol L. Frechette	\$10.00	3/10/1993	Remembrance 1993	
St. Mary's School of Nursing Alumni A	\$86.00	3/31/1993	Remembrance 1993	
Judith Salter	\$52.00	3/31/1993	Remembrance 1993	
James Ferraro	\$10.00	3/31/1993	Remembrance 1993	
Roberto A. Gobbee	\$25.00	4/20/1993	Remembrance 1993	
Thomas Gniadek	\$25.00	4/20/1993	Remembrance 1993	
Mary Ann Lariviere	\$15.00	5/10/1993	Remembrance 1993	
Alice M. Grant	\$100.00	12/16/1993	Remembrance 1994	
Thomas F. Ashe	\$25.00	12/23/1993	Remembrance 1994	
St. Mary's Nursing Service Administrat	\$550.00	12/23/1993	Remembrance 1994	Dorothy J. Plotas
Marshall D. Kalin	\$25.00	1/13/1994	Remembrance 1994	
Evan J. Whalley	\$20.00	1/13/1994	Remembrance 1994	
Laurie M. Andrade	\$10.00	1/13/1994	Remembrance 1994	
Ralph Giuliano	\$25.00	1/13/1994	Remembrance 1994	
Margaret Moffitt	\$50.00	1/13/1994	Remembrance 1994	
Joseph Esposito	\$50.00	1/13/1994	Remembrance 1994	
Jean Peters	\$25.00	1/13/1994	Remembrance 1994	
Alvin J. Shreders	\$5.00	1/19/1994	Remembrance 1994	
Marguerite P. Santarsiero	\$10.00	1/19/1994	Remembrance 1994	
Mary Ann Lariviere	\$10.00	1/19/1994	Remembrance 1994	
Frank Matan	\$10.00	1/19/1994	Remembrance 1994	
Mary T. Johnston	\$10.00	1/19/1994	Remembrance 1994	
Krukowski	\$10.00	1/19/1994	Remembrance 1994	
Ann Wozniak	\$20.00	1/19/1994	Remembrance 1994	
Joyce A. Debisschop	\$10.00	1/19/1994	Remembrance 1994	
Marlene Yeomans	\$25.00	1/19/1994	Remembrance 1994	
Elaine C. Woodruff	\$10.00	1/19/1994	Remembrance 1994	
Anita C. Behan	\$10.00	1/25/1994	Remembrance 1994	

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Kert Sabbath	\$25.00	1/25/1994	Remembrance 1994	
McElligott	\$35.00	1/25/1994	Remembrance 1994	
Rund Francis Caporaso	\$50.00	1/25/1994	Remembrance 1994	
Marie Miklinevich	\$25.00	1/25/1994	Remembrance 1994	
Edmund P. Quinn	\$100.00	1/25/1994	Remembrance 1994	
Carol Caruso	\$10.00	1/25/1994	Remembrance 1994	
Paul A. Sirop	\$50.00	1/25/1994	Remembrance 1994	
Adeline Cox	\$50.00	1/31/1994	Remembrance 1994	
William Finkelstein	\$75.00	1/31/1994	Remembrance 1994	
Ann Grandpre	\$10.00	1/31/1994	Remembrance 1994	
Michael F. Simms	\$100.00	1/31/1994	Remembrance 1994	
Ginny Napiello	\$20.00	1/31/1994	Remembrance 1994	
V. Timothy Shea	\$25.00	2/7/1994	Remembrance 1994	
Dolores J. DeVito	\$20.00	2/7/1994	Remembrance 1994	
Michael B. Buckley	\$25.00	2/7/1994	Remembrance 1994	
Joel A. Sherman	\$25.00	2/14/1994	Remembrance 1994	
Mary Prybylo	\$200.00	2/14/1994	Remembrance 1994	
St. Mary's Nursing Service Administrat	\$34.00	3/7/1994	Remembrance 1994	Dorothy J. Plotas
GlaxoSmithKline Beecham Pharmace	\$200.00	3/7/1994	Remembrance 1994	
Alice M. Grant	\$100.00	3/28/1994	Remembrance 1994	
St. Mary's School of Nursing Alumni A	\$20.00	3/28/1994	Remembrance 1994	
Eleanor Flynn	\$150.00	3/28/1994	Remembrance 1994	
Anthony J. D'Antonio	\$25.00	5/10/1994	Remembrance 1994	
Dolores Fitzpatrick	\$50.00	5/25/1994	Remembrance 1994	
Alice M. Grant	\$350.00	6/7/1994	Remembrance 1994	
Thomas F. Ashe	\$25.00	12/8/1994	Remembrance 1995	
Alice M. Grant	\$100.00	12/26/1994	Remembrance 1995	
S. Roosa	\$100.00	12/26/1994	Remembrance 1995	
St. Mary's Department of Nursing	\$85.00	12/26/1994	Remembrance 1995	
Jeremy Buswell	\$10.00	12/31/1994	Remembrance 1995	
Mary Prybylo	\$250.00	1/9/1995	Remembrance 1995	
Alice M. Grant	\$100.00	3/20/1995	Remembrance 1995	
St. Mary's Nsg. Admin. & Supervisors	\$20.00	6/12/1995	Remembrance 1995	
Thomas F. Ashe	\$25.00	12/19/1995	Remembrance 1996	Dorothy J. Plotas
Alice M. Grant	\$100.00	12/31/1995	Remembrance 1996	Dorothy J. Plotas
Thomas F. Ashe	\$25.00	12/13/1996	Remembrance 1997	Dorothy J. Plotas
Alice M. Grant	\$100.00	12/17/1996	Remembrance 1997	Dorothy J. Plotas
Paul A. Sirop	\$500.00	4/28/1997	Annual Report Mailing '97	
Paul A. Sirop	\$500.00	4/28/1997	Annual Report Mailing '97	
Eleanor Flynn	\$135.00	8/30/1997	Individuals 1997	
Thomas F. Ashe	\$25.00	12/21/1997	Past Remembrances 1998	Dorothy J. Plotas
Alice M. Grant	\$100.00	12/31/1997	Past Remembrances 1998	Dorothy J. Plotas
Paul A. Sirop	\$1,000.00	7/31/1998	Phys. Annual Appeal 1998	
Thomas F. Ashe	\$25.00	12/31/1998	Past Remembrances '99	Dorothy J. Plotas
Alice M. Grant	\$100.00	12/31/1998	Past Remembrances '99	Dorothy J. Plotas
St. Mary's School of Nursing Alumni A	\$10,654.02	5/27/2008	Unsolicited 2008	

Appeal Summary Report Healthcare Scholarships Phonathon 2004 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dml2004	Direct Mail Piece 2004	99	\$0.00	\$0.00	\$4,942.00	\$0.00	\$4,942.00
onlineauction	On-Line Auction through Int	4	\$0.00	\$88.75	\$65.00	\$0.00	\$153.75
ph2004	Phonathon 2004	1,042	\$25.00	\$29,895.00	\$10,991.00	\$0.00	\$40,911.00
phraff04	Phonathon 2004 Raffle Prizes	55	\$0.00	\$0.00	\$0.00	\$5,046.27	\$5,046.27
phys2004	Phys. Annual Appeal 2004	12	\$0.00	\$400.00	\$3,750.00	\$0.00	\$4,150.00
		and Totals:	\$25.00	\$30,383.75	\$19,748.00	\$5,046.27	\$55,203.02

1,212 Gift(s) listed
1,179 Donor(s) listed

TODAY'S OBITUARIES

James F. McGrath

Formerly of Waterbury

GREENSBORO, N.C. — James F. "Lefty" McGrath, 68, formerly of Waterbury, Conn., died Saturday, Aug. 25, 2007. He was the



beloved husband of Dorothy "DJ" (Boehner) McGrath.

He was born April 14, 1939, the son of the late Thomas and Dorothy (Leas) McGrath. Jimmy

attended Washington Grammar School and Leavenworth High School. He went on to work at Hubbard Hall Chemical, the City of Waterbury Parks Department, E. Gasparri Construction Co., and Mattatuck Manufacturing before moving to North Carolina.

An avid Boston Red Sox and Celtics fan, Jimmy also enjoyed watching the Redskins and Notre Dame. His favorite NASCAR driver was Jeff Gordon.

In addition to his wife, he leaves four sons, James McGrath and Mark McGrath, both of St. Leonard, Md., Ryan McGrath of Greensboro, N.C., Robert Bruno Jr. of Naugatuck, four daughters, Sue Granton of Calabash, N.C., Carol Garvelink of Oldsmar, Fla., Michele Barile of Greensboro, N.C., and Jennifer Fairhurst of Oxford, Conn. He was predeceased by a daughter, Wendy (McGrath) Berkley, in 2005. He is also survived by a brother, Thomas McGrath of Waterbury, Conn.; three sisters, Noreen Gay of Ash, N.C., Dorothy Gravel of Terryville, Conn., and Marlene Marshall of Waterbury, Conn.; 16 grandchildren; four great-grandchildren; and several nieces and nephews.

Funeral services will be private and burial will be at the convenience of the family. A memorial to celebrate his life will be held at a later date.

Dr. Stephen E. Owens

Was born in Waterbury

WEST HARTFORD — Dr. Stephen Emmett Owens, 75, also of Sharon, died peacefully on Monday, Aug. 27, 2007, at his home.

He was born Jan. 7, 1932, in Waterbury, the son of James and Edith Owens, the 12th of their

Mrs. Mary M. McAree

Nurse at Saint Mary's Hospital

WATERBURY — Mary M. (Corcoran) McAree, 88, of 2221 East Main St., died Monday, Aug. 27, 2007, surrounded by her family at Wolcott View Manor Nursing Home after a brief illness. She was the widow of John F. McAree.

Mrs. McAree was born March 5, 1919, in Waterbury, the daughter of the late Lawrence V. and Marguerite (Dowd) Corcoran. She was a graduate of Saint Mary's Grammar School, Waterbury Catholic High, and St. Mary's School of Nursing. She worked as a registered nurse at Saint Mary's Hospital for many years until her retirement.

She was a member of the Saint Mary's School of Nursing Alumni Association and the Eastgate Seniors Club. She enjoyed spending time with her friends and family as well as playing card games. She was a parishioner of St. Leo the Great Church in Waterbury.

Mrs. McAree is survived by her son, John L. McAree and his wife, Francine, of Wolcott; her daughter, Margaret Cannavino and her husband, Ray, of San Diego, Calif.; her sister, Maureen Pogodzinski of Watertown; three grandchildren, Kristin McAree Hassan of Wolcott, Christopher Cannavino of San Diego, Calif., and Suzanne Cannavino Souza of Pasadena, Calif.; five great-grandchildren, Nicholas, Dylan and Dane Hassan, Liam Cannavino and Gianna Souza; and many nieces and nephews. She was predeceased by a sister, Patricia Seeley.

Funeral services for Mrs. McAree will be held 9:15 a.m. Friday, Aug. 31, from the Casey-O'Donnell Family Funeral Home, 1581 E. Main St., to St. Leo the Great Church, 14 Bentwood Drive, for a Mass of Christian Burial at 10 a.m. Burial will follow in New St. Joseph's Cemetery. Friends and family may visit the funeral home on Thursday evening from 6 to 8 p.m.

In lieu of flowers, memorial donations in Mrs. McAree memory may be made to the Saint Mary's School of Nursing Alumni Fund, c/o Saint Mary's Hospital, 56 Franklin St., Waterbury 06706.

For information, or to sign the online guest book, please visit the funeral home's Web site at www.caseyodonnell.com

Chester S. Karbowicz

Daniel F. Spallone

Waterbury native, judge

DEEP RIVER — Daniel F. Spallone, Waterbury native and former judge of the Connecticut Appellate Court, died Monday, Aug. 20, 2007, at Middlesex Hospital in Middletown. He was 85.

Daniel Francis Spallone was born Nov. 6, 1921, in Waterbury, the son of Francis Spallone and Mary (Vendetti) Spallone, both of Waterbury. He graduated from Crosby High School in 1939, where he was a member of the swim team. He entered the University of Connecticut, but his college years were interrupted by World War II. Unable to enlist in the Army or Navy due to childhood polio, he opted to volunteer for the American Field Service Ambulance Corps. He served with ambulance units attached to British forces in Burma, India and Italy from 1942 to 1945.

Spallone returned to UConn and graduated with a bachelor's degree in 1949. He married the former Jeanne Carol Field of New York, a fellow UConn graduate, in 1950, and the couple settled in Deep River.

He held several management positions at Uarco in Deep River during the 1950s. He attended the University of Connecticut School of Law at night, obtaining his juris doctorate in 1960. He entered the private practice of law in 1950, first in Hartford and later in Old Saybrook. He served in a number of appointed and elected positions in Deep River during the 1960s.

In 1969, Spallone was appointed to the Circuit Court; he was later elevated to the Court of Common Pleas and the Superior Court. As a trial judge, Spallone sat throughout the state, including Waterbury, handling every type of case. In May 1984 Spallone was appointed to the Appellate Court by Gov. William A. O'Neill. He was known as a fast and prolific writer with a keen ability to focus on the key issues in cases before the court.

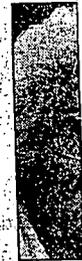
After reaching mandatory retirement age, he continued to work at the trial court level in Middletown as a judge trial referee. He continued working until January of this year.

Besides his wife of 57 years, former probate judge, state representative and journalist, Jeanne Field Spallone of Deep River, he leaves a daughter, Janne Spallone-Conrad of Watertown; two sons, Niel F. Spallone of Deep River, state Rep. James F. Spallone, and his wife

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73 St. Mary's Hospital

04/10/2007 006316

20070397	01/26/2007	Phelan Fund Designated	146.00
PHEL	William T. Phelan Fund		146.00

CHECK TOTAL: \$*****146.00

Katherine A. Berman
 Sam S. F. Caligiuri, Esq.
 David DeNicolo
 Judith Eslami
 Harold Gootrad
 Christine Reardon
 Edwin R. Rodriguez
 Mary Schinke
 Marvin Schwartz
 Annie M. Scott
 Arri Sendzimir
 Richard J. Ulbrich
 A.J. Wasserstein
 Mark C. Yanarella

Ingrid Manning
 CEO

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 Fax 203.756.3054
 info@connctf.org
 www.connctf.org

34B Main Street
 New Milford, CT 06776
 Phone 860.355.2834

Dear Ms. Evans:

I am enclosing a contribution of \$146.00 representing the income from the William T. Phelan Fund at The Connecticut Community Foundation. This gift from the fund is designated for support of the School of Nursing Alumni Association Scholarship Fund.

We would appreciate your listing this contribution in your next annual report as a donation from the "William T. Phelan Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,



Ingrid Manning
 Chief Executive Officer

Enclosure
 IM/tr

cc: Johanna M. Ryan, Esq.

Appeal Summary Report Nsg. Scholar for Gala 2003 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit2003	Auction Item Donations 2003	114	\$0.00	\$0.00	\$0.00	\$37,274.49	\$37,274.49
auitsa2003	Auction Item Sales 2003	85	\$0.00	\$870.00	\$38,930.00	\$0.00	\$39,800.00
basket2003	Basket Item Ticket Sales 03	2	\$0.00	\$0.00	\$15,634.00	\$0.00	\$15,634.00
cashauc2003	Cash Auc. Donation 2003	44	\$0.00	\$500.00	\$7,145.00	\$0.00	\$7,645.00
ind2006	Unsolicited 2006	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
keys2003	Key Sales 2003	2	\$0.00	\$0.00	\$4,070.00	\$0.00	\$4,070.00
prbk2003	Prog. Bk Ads Gala 2003	34	\$0.00	\$6,500.00	\$7,450.00	\$0.00	\$13,950.00
prbksp2003	Prog. Bk. 2003 Sponsor	37	\$0.00	\$34,500.00	\$31,750.00	\$0.00	\$66,250.00
wnd2003	Gala '2003 Ticket Sales	217	\$0.00	\$12,325.00	\$71,419.00	\$0.00	\$83,744.00
and Totals:							
			\$0.00	\$54,695.00	\$177,398.00	\$37,274.49	\$269,367.49

536 Gift(s) listed

434 Donor(s) listed

Fund Summary Report
Healthcare Scholarships Phonathon 2004 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
healthcareschph04	Healthcare Scholarships Phonath	1,212	\$25.00	\$30,383.75	\$19,748.00	\$5,046.27	\$55,203.02
		and Totals:	\$25.00	\$30,383.75	\$19,748.00	\$5,046.27	\$55,203.02
	1,212 Gift(s) listed						
	1,179 Donor(s) listed						

Appeal Summary Report Healthcare Scholarships Phonathon 2004 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dml2004	Direct Mail Piece 2004	99	\$0.00	\$0.00	\$4,942.00	\$0.00	\$4,942.00
onlineauction	On-Line Auction through Int	4	\$0.00	\$88.75	\$65.00	\$0.00	\$153.75
ph2004	Phonathon 2004	1,042	\$25.00	\$29,895.00	\$10,991.00	\$0.00	\$40,911.00
phraff04	Phonathon 2004 Raffle Prizes	55	\$0.00	\$0.00	\$0.00	\$5,046.27	\$5,046.27
phys2004	Phys. Annual Appeal 2004	12	\$0.00	\$400.00	\$3,750.00	\$0.00	\$4,150.00
		and Totals:	\$25.00	\$30,383.75	\$19,748.00	\$5,046.27	\$55,203.02

1,212 Gift(s) listed

1,179 Donor(s) listed

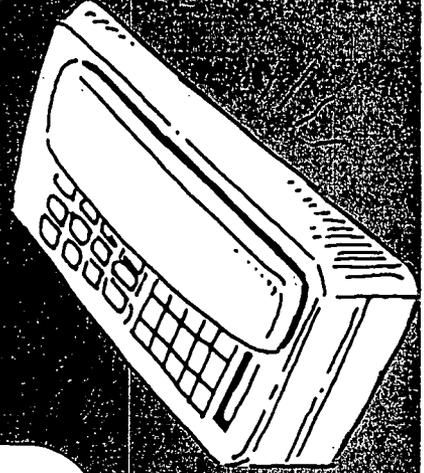
 Saint Mary's Hospital
Foundation, Inc.

56 Franklin Street • Waterbury, CT 06706

Return Service Requested

Non-Profit Org.
U. S. P. ge
PAID
Permit No. 365
Waterbury, CT

*We can't wait
to talk to
YOU!*



1874

Volunteers for St. Mary's Hospital Foundation will be calling you sometime between May 18, 19 and 20. They will ask you to consider a gift which will help to raise money for healthcare scholarships. These funds will be used for the recruitment and retention of healthcare professionals. Your generosity helps to move blueprints from the drawing board into the skilled hands of those whose experience adds life and substance to dreams and visions. We are asking your generous assistance this year as we work to keep this Hospital one of the finest in the region. To do that, we need your help, and every gift, regardless of size, will make a difference.

**YOUR SUPPORT
MATTERS**

If you'd like to volunteer to be a caller, just call the Foundation Office.

709-6390

*Thank you and thank you for your contribution to
St. Mary's Hospital. We really do need you!
The quality of care you provide can save
lives and your community better.*

But even if you can't give this year, St. Mary's is still interested in hearing from you. When we call you, feel free to ask us questions and tell us how you think we're doing. If you spent time at St. Mary's, tell us how you felt about your experience. Our volunteers are taking notes. Every comment will be read by St. Mary's management staff, so they can get to know you better and make sure your needs are met.

So when your phone rings, remember, the St. Mary's volunteer is working hard to help the hospital help you. Please take a minute to listen and to tell us what you think.

**St. Mary's Hospital
Foundation, Inc.**

1000 Lakeside Drive, Westport, MD 21156

Saint Mary's Hospital is grateful for your help to raise funds for the Saint Mary's Hospital Healthcare Scholarship fund. Assisting healthcare professionals to obtain their education will improve our hospital's ability to attract well qualified individuals.

The hospital has a reputation for having an excellent staff and we want to remain competitive in our ability to attract outstanding people. The Healthcare Scholarship Fund can assure that Saint Mary's Hospital maintains a quality workforce to provide medical care to the residents of Waterbury now and into the future.

Saint Mary's Hospital appreciates the time you are giving to raising funds for the Healthcare Scholarship Fund. We commend your effort to improve the health care of the citizens of the Greater Waterbury area.

2004 PHONATHON

ST. MARY'S HOSPITAL FOUNDATION
NEEDS



YOU!



**JOIN US TO RAISE
FRIENDS AND FUNDS**
designated for Healthcare Scholarships

Buffet Supper
5:30 - 6:30 p.m.



Telephoning
6:45 - 9:00 p.m.

DATES

**Tuesday - Wednesday
May 18th thru 19th**

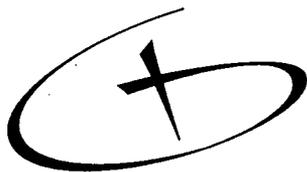
TIME

5:30 - 9:00 p.m.

For more information about volunteering call
(203) 709-6390



Saint Mary's Hospital
Foundation, Inc.



Saint Mary's Hospital Foundation, Inc.

56 Franklin Street • Waterbury, Connecticut 06706 • (203) 709-6390

WELCOME!

2004 ANNUAL PHONATHON FOR ST. MARY'S HOSPITAL

On behalf of the Foundation Board and all who will benefit from your gift of time, we welcome you as a key member of the 2004 Annual Phonathon Team. Whether you're a phonathon first-timer or a veteran, we are grateful to you for sharing your time and talents with us.

Our goal this year is a lofty \$85,000! We can do it! You can do it! You can help change a life – save a life – here at Saint Mary's. Did you know that each phone will average over \$500 tonight?

All the money raised tonight will be used for Healthcare Scholarships.

Thank you for being a part of our team!

Paul J. Largay
President
Largay Travel
Co-Chairperson
Phonathon 2004

Peter J. Jacoby, M.D.
Chairman
Saint Mary's Hospital
Emergency Room
Co-Chairperson
Phonathon 2004

Saint Mary's Hospital is grateful for your help to raise funds for the Saint Mary's Hospital Healthcare Scholarship fund. Assisting aspiring healthcare professionals to obtain their education will improve our hospital's ability to attract well qualified individuals.

The hospital has a reputation for having an excellent staff and we want to remain competitive in our ability to attract outstanding individuals. The Healthcare Scholarship Fund can assure that Saint Mary's Hospital maintains a quality workforce to provide medical care to the residents of Waterbury now and into the future.

Saint Mary's Hospital appreciates the time you are giving to raising funds for the Healthcare Scholarship Fund. We commend your efforts to improve the health care of the citizens of the Greater Waterbury area.



Appeal Summary Report

Mimi Rowe's School of Nsg. Scholarship Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dm10610	Direct Mail Piece June, 2010	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
mem2008	Remembrance 2008	29	\$0.00	\$0.00	\$2,720.00	\$0.00	\$2,720.00
mem2010	Remembrance 2010	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
rem2009	Past Remembrances 2009	35	\$0.00	\$0.00	\$4,900.00	\$0.00	\$4,900.00
rem2010	Past Remembrances 2010	39	\$0.00	\$0.00	\$5,060.00	\$0.00	\$5,060.00
rem2011	Past Remembrances 2011	23	\$0.00	\$0.00	\$1,575.00	\$0.00	\$1,575.00
treelighting09	Tree Lighting 12/09	3	\$0.00	\$0.00	\$95.00	\$0.00	\$95.00
treelighting10	Tree Lighting 12/10	5	\$0.00	\$0.00	\$225.00	\$0.00	\$225.00
and Totals:			\$0.00	\$0.00	\$14,595.00	\$0.00	\$14,595.00

136 Gift(s) listed

73 Donor(s) listed

ALLAN B. ROWE 4-89
AMANDA R. FOWLER
5095 MEDORAS AVE.
SAINT AUGUSTINE, FL 32080-7173
954-592-4037

9040
63-4/630 FL
1131

3/8/11 Date

Pay to the Order of St. Louis Hosp Foundation *Mimi* \$1800.00
One thousand Eight hundred / 00/100 Dollars

Bank of America



Bank of America Advantage®

ACH R/T 063100277

For Donation Match 2010

Allan B. Rowe ^{MP}

⑆063000047⑆ 002224072788⑆9040

In Memory of: Mimi Magnuson-Rowe

Peggy:

7-7-10

I was in California @ my daughters when I was notified about this years Christmas Card Give.

So here is the matching check which has given me great pleasure to send.

Sorry I missed the big party in February.

Love Truly

Allan Rowe

V# 9027
\$ 3,300
3/15/10

ALLAN B. ROWE 4-89
AMANDA R. FOWLER
5095 MEDORAS AVE.
SAINT AUGUSTINE, FL 32080-7173
954-592-4037

9027

3/15/10 Date

63-4/630 FL
1131

Pay to the Order of St Marys Hospital Foundation \$ 3,300.⁰⁰
Three Thousand Three Hundred + ⁰⁰/₁₀₀ Dollars

Bank of America



Bank of America Advantage®

ACH R/T 063100277

For Miriam Anne Newmy Schlosky

Allan B. Rowe

⑆063000047⑆ 002224072788⑆9027

Peggy Lecker: 1/19/09

Please find enclosed my check to match the Christmas donations to Mi Mi Fund.

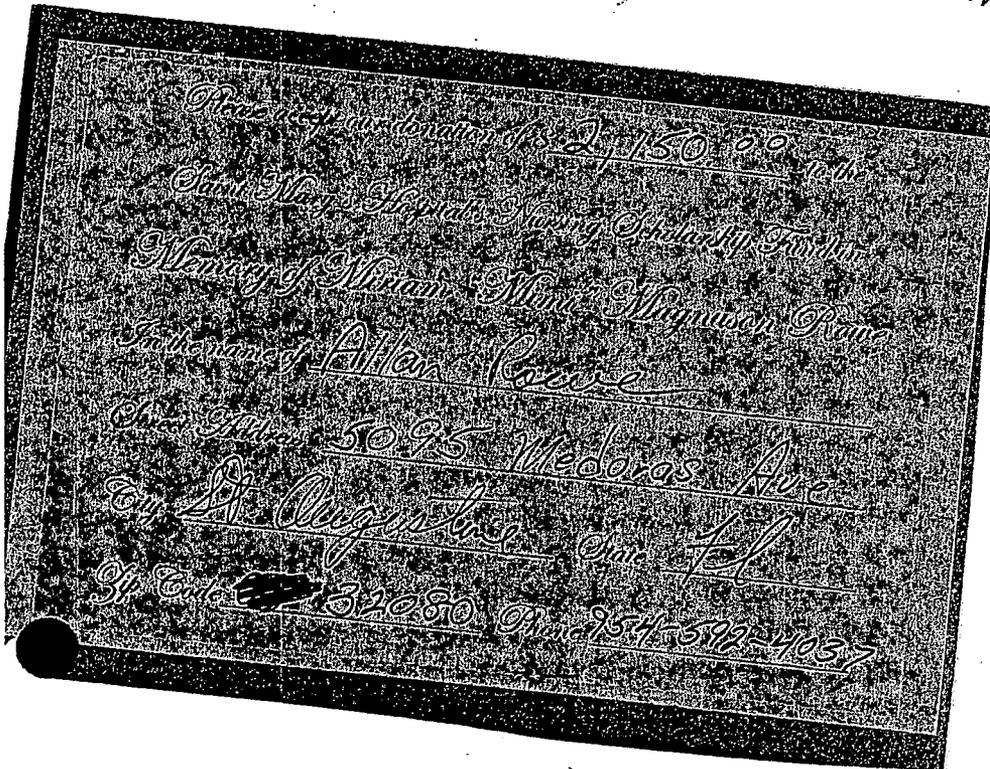
I look forward to meeting you on February 7th, kindly inform me of the time of your event.

1/27/09
+ called -
he is all
set.
R.G.

CK# 9000
1/19/09
\$2,150.00

Love Truly

Allan Rowe



Quality Hay
Florida Licensed Dealer
U-Call, Wee Haul, "Almost Anything"
MC 305640

RO-TRANS WEE HAUL, INC.
St. Augustine, Florida
Ro-Trans Wagn & Farm, All Points U.S.
5095 Medoras Ave.
St. Augustine
Florida 32080

Allan Rowe, President
954 592-4037

*Not on
Raiser's
edge*

SCHEDULE OF FUNERALS

Mrs. Miriam M. Rowe

Worked at Charlotte Hungerford

ST. AUGUSTINE, Fla. — Miriam "Mimi" Marie (Magnuson) Rowe, 59, formerly of Torrington and Deerfield Beach, died peacefully Jan. 21, 2008, in Florida. Her husband, Allen, of more than 37 years, and their daughter, Amanda (Rowe) Fowler were at her bedside.

Mimi was born July 2, 1948, in Seattle, Wash., daughter of Norman I. Magnuson and the late Nina B. (Pearson) Magnuson. She attended nursing school at Bethesda Hospital in St. Paul, Minn., then worked in Brattleboro, Vt.

A Torrington resident from 1970 to 1981, she worked as a LPN at the Charlotte Hungerford Hospital. During that same time she was the secretary, treasurer and mobile care nurse with Paramedical Ambulance Service, and with her husband was instrumental in creating the model for the current advanced life support ambulance system now in use in Litchfield County.

After moving to Fort Lauderdale, Fla., Mimi worked for 21 years at Plantation General Hospital. She will be remembered for her caring and kindness to all her patients and as a loving wife and mother.

She had been an active member of First Lutheran Church in White Bear Lake, Minn., St. Paul's Lutheran Church in Torrington, and Zion Lutheran Church in Deerfield Beach, Fla., and was a communicant of Emmanuel Lutheran Church in St. Augustine, Fla.

In addition to her husband of St. Augustine, and their daughter and her husband, Andrew, stationed in El Paso, Texas, father and stepmother, Veda Magnuson of St. Paul, Minn., she is survived by her sisters, Mary Christopherson of Tucson, Ariz., Martha Magnuson of Joliet, Ill.; her brother, Norman Magnuson of State College, Pa.; and numerous in-laws, nieces, nephews, great nieces and great nephews.

Funeral service will be 10:30 a.m. Saturday, Feb. 9, at St. Paul's Lutheran Church, 830 Charles St., Torrington. Burial will be in Hillside Cemetery, Torrington.

Friends may call at St. Paul's Lutheran Church on Saturday from 9 to 10:15 a.m. prior to the service. The Rowe Funeral Home, 283 Torrington Road, Litchfield, has charge of arrangements.

Memorial contributions may be made to St. Mary's Foundation for

Barnes, Dennis L. — 68, of Brooklyn, N.Y., Waterbury, Conn. native. Funeral Thursday at Zion Baptist Church, 137 Pearl St., Waterbury. Calling hours from 10 a.m. until time of service at the church. Family will receive friends at 35 Newport Drive.

Bushka, Mrs. Haxhire — 96, of Waterbury. Funeral 10 a.m. Thursday at Chase Parkway Memorial/The Albini Family Funeral Home, 430 Chase Parkway. Burial: New Pine Grove Cemetery. Calling hours 5 to 8 p.m. today at the funeral home.

Cartier, Donat Jr. — 85, of Bristol. Graveside memorial service 10 a.m. Friday at St. Joseph's Cemetery, 522 Terryville Ave., Bristol.

Cronin, the Rev. W. Vincent — 93, of West Hartford, Mass. 10:30 a.m. Thursday at St. Isaac Jogues Church, 1 Community St., East Hartford. Burial: New St. Joseph Cemetery, Waterbury. Body will lay in state 4 to 7 p.m. today. Parish Mass 7 p.m. today at the church. Arrangements by Bergin Funeral Home, Waterbury.

Dunham, Mrs. Glenda C. — 84, of Canaan. Funeral 1 p.m. today at Keny Funeral Home, 41 Main St., Sharon. Burial: Forrest City Cemetery, South Portland, Maine. Calling hours 11:30 a.m. to 1 p.m. today at the funeral home.

Grindrod, Dennis Sr. — 58, of Waterbury. Funeral 10 a.m. Thursday at Spadaccino Community Funeral Home, 315 Monroe Turnpike, Monroe. Interment will follow in St. Michael's Cemetery, Stratford. Calling hours 3 to 7 p.m. today at the funeral home.

Kavanek, William C. — 83, of Bridge-water. Memorial service 1 p.m. Thursday at Bridgewater Congregational Church, 10 Clapboard Road. Burial will be in the spring in Bridgewater Center Cemetery. Calling hours 5 to 8 p.m. today at the Lillis Funeral Home, 58 Bridge St., New Milford.

Lynch, Rev. Eugene M. — 80, of Litchfield. Visitation 2 to 6 p.m. to-

day at Montfort House and 7 to 9 p.m. in Grotto Chapel, Lourdes Shrine, 83 Montfort Road, followed by service at 8 p.m. Mass 10:30 a.m. Thursday at St. Anthony of Padua Church, 49 South St. Burial: Bay Shore, N.Y.

McAree, James E. — 66, of Waterbury. Private funeral services and burial will be held at the convenience of the family. Calling hours 3 to 6 p.m. Sunday at Buckmiller Brothers Funeral Home, 26 Waterbury Road, Route 69, Prospect.

Pavliak, Janet E. — 51, of Torrington. Calling hours 5:30 to 7:30 p.m. today at Nutting-Rowe Funeral Home, 283 Torrington Road, Litchfield. Burial will be private.

Perin, Mrs. Loretta — 85, of Middlebury. Funeral 9 a.m. today at Chase Parkway Memorial/The Albini Family Funeral Home, 430 Chase Parkway, Waterbury, to St. John of the Cross Church for Mass at 10. Burial in Middlebury Cemetery will be held in the spring.

Rodriguez, Jose G. — 83, of Waterbury. Funeral 10 a.m. Thursday at Iglesia Cristiana Juan 3:16, 331 Baldwin St. Burial: New Pine Grove Cemetery. Calling hours 7 to 9 p.m. today at Iglesia Cristiana Juan 3:16. Arrangements by Funeraria Luz Eterna "Eternal Light".

Rudzki, Mrs. Natalia — 84, of Wolcott. Funeral 9 a.m. Thursday from Fitzgerald-Zembruski Funeral Home, 240 North Main St., Naugatuck, to St. Stanislaus Kostka Church, Waterbury, for Mass at 10. Burial will be in St. Joseph's Cemetery, Bristol, at the convenience of the family. Calling hours 6 to 8 p.m. today at the funeral home.

Scapin, Ms. Rose — 81, of Torrington. Memorial Mass 10:30 a.m. Saturday at St. Francis Church, 160 Main St.

Scruggs, Mrs. Ruth M. — 91, of Lusby, Md. Memorial service 11 a.m. Saturday at North Congregational Church, 11 Main St. North, Woodbury, Conn.

**(860) 567-8708 Allen Rowe - husband
Warren Remsen, Charlotte Hungerford nurse**

THOMASTON — Warren "Butch" Remsen, 64, of Waterbury Road, died, Friday, Jan. 18, 2008, at his home. He worked for 20 years as

Station in Torrington before it closed. He had a love for birds, the fishpond in his yard, working on cars, gardening and cooking. Besides his

School of Nursing Scholarship Fund

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
The William T. Phelan Fund at The Connecticut Community Fnda	\$154.00	4/30/2008	Grant	
The William T. Phelan Fund at The Connecticut Community Fnda	\$125.39	6/18/2004	Grant	
The William T. Phelan Fund at The Connecticut Community Fnda	\$161.00	3/18/2009	Grant	
The William T. Phelan Fund at The Connecticut Community Fnda	\$159.00	4/15/2010	Grant	
	\$599.39			
Adriano S. Veiga	\$1,000.00	11/1/1990	Individuals 1991	
	\$1,000.00			
Livia E. Mancini	\$10.00	1/29/1996	Individuals 1996	
	\$10.00			
The William T. Phelan Fund at The Connecticut Community Fnda	\$81.00	6/27/2002	Foundations 2002	
The William T. Phelan Fund at The Connecticut Community Fnda	\$123.37	7/17/2003	Foundations 2003	
	\$204.37			
Donald T. Ross	\$50.00	6/30/1992	Phonathon 1992	
	\$50.00			
Florence Aspinall	\$25.00	7/31/1992	Remembrance 1992	Dorothy "Dolly" Ross
William Fuhrman	\$20.00	6/4/1992	Remembrance 1992	Dorothy "Dolly" Ross
Richard R. Davis	\$40.00	5/27/1992	Remembrance 1992	Dorothy "Dolly" Ross
Don Proulx	\$15.00	5/27/1992	Remembrance 1992	Dorothy "Dolly" Ross
Herbert E. Kent	\$50.00	5/27/1992	Remembrance 1992	Dorothy "Dolly" Ross
Herbert Wasserman	\$20.00	6/4/1992	Remembrance 1992	Dorothy "Dolly" Ross
John A. Foley	\$20.00	6/4/1992	Remembrance 1992	Dorothy "Dolly" Ross
George Leidinger	\$25.00	6/4/1992	Remembrance 1992	Dorothy "Dolly" Ross
John Becker	\$20.00	6/22/1992	Remembrance 1992	Dorothy "Dolly" Ross
Frances Frowley	\$25.00	6/22/1992	Remembrance 1992	Dorothy "Dolly" Ross
Robert Hoffman	\$50.00	6/26/1992	Remembrance 1992	Dorothy "Dolly" Ross
Lois Salvato	\$50.00	6/26/1992	Remembrance 1992	Dorothy "Dolly" Ross
	\$360.00			
Sheri F. London	\$20.00	5/27/2008	Remembrance 2008	Elizabeth Sayers-Farren
David L. Frishkorn	\$50.00	5/27/2008	Remembrance 2008	Elizabeth Sayers-Farren
Michael R. Egnatchik	\$250.00	5/27/2008	Remembrance 2008	Elizabeth Sayers-Farren
Samuel K. Lee	\$200.00	5/31/2008	Remembrance 2008	Elizabeth Sayers-Farren
J. Michael Farren	\$1,000.00	6/9/2008	Remembrance 2008	Elizabeth Sayers-Farren
Mary Agnes Reilly	\$20.00	7/8/2008	Remembrance 2008	Elizabeth Sayers-Farren
	\$1,540.00			
Robert L. and Kathryn Mobley Cumpstone Trust	\$4,290.80	4/8/2010	Estates 2010	Lester and Grace Lee Belcourt
	\$4,290.80			

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School of Nursing Scholarship Fund

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Violet Vaill	\$10.00	12/30/2010	Direct Mail Piece June, 2010	Miriam Magnuson-Rowe
Jodi Pepe	\$25.00	11/23/2010	Tree Lighting 12/10	Miriam Magnuson-Rowe
Andy Fowler	\$50.00	11/23/2010	Tree Lighting 12/10	Miriam Magnuson-Rowe
Allan B. Rowe	\$100.00	11/23/2010	Tree Lighting 12/10	Miriam Magnuson-Rowe
Eugene W. Keen	\$25.00	11/23/2010	Tree Lighting 12/10	Miriam Magnuson-Rowe
John Smedick	\$25.00	12/9/2010	Tree Lighting 12/10	Miriam Magnuson-Rowe
James Millins	\$50.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Robert G. Ross	\$20.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Michelle B. Humbert	\$100.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Allyn Perkins	\$40.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Mike Sanford	\$75.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Ronald L. Weagley	\$50.00	12/21/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Norman E. Magnuson	\$25.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Doralee D. Wyland	\$25.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Walter D. Christofferson	\$25.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Martha A. Magnuson	\$100.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Deborah Greer	\$100.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Charlie Camac	\$200.00	12/27/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Amy Lynn Perkins	\$25.00	12/30/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Stephen Hookstra	\$250.00	12/9/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Norman I. Magnuson	\$25.00	12/31/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
John A. Tuttle	\$100.00	12/31/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Robert C. Moore	\$200.00	1/13/2011	Past Remembrances 2011	Miriam Magnuson-Rowe
Dante J. Longobucco	\$25.00	1/24/2011	Past Remembrances 2011	Miriam Magnuson-Rowe
Norman I. Magnuson	\$30.00	1/24/2011	Past Remembrances 2011	Miriam Magnuson-Rowe
Grace Wellman	\$25.00	2/9/2011	Past Remembrances 2011	Miriam Magnuson-Rowe
Charles Griffen	\$10.00	2/28/2011	Past Remembrances 2011	Miriam Magnuson-Rowe
Donald G. Nelson	\$50.00	11/23/2010	Past Remembrances 2011	Miriam Magnuson-Rowe
Jodi Pepe	\$25.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Amy Lynn Perkins	\$25.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Joseph Lello	\$100.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Rosemarie Constantinetis	\$100.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Doralee D. Wyland	\$25.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Kathleen K. McIntyre	\$25.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Norman I. Magnuson	\$25.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Robert G. Ross	\$15.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe

School of Nursing Scholarship Fund

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Allyn D. White	\$20.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Alan R. Machuga	\$10.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Tom Weber	\$50.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Ronald L. Weagley	\$50.00	12/22/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Bruce P. Krieger	\$50.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Martha A. Magnuson	\$100.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Christie Blue	\$25.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Scott Meyer	\$10.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Bradford H. Rowe	\$100.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Dante J. Longobucco	\$25.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Walter D. Christofferson	\$25.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
James Millins	\$50.00	12/29/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
James Sawyer	\$25.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Carolyn R. Perkins	\$15.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Russell Morris	\$25.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Allen J. Scott	\$50.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Charlie Camac	\$100.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Donald G. Nelson	\$50.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Jan W. Coester	\$100.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Charles E. Hildebrand	\$25.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Norman E. Magnuson	\$25.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
David W. Ladd	\$50.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
John A. Tuttle	\$100.00	12/31/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
John E. Hult	\$25.00	1/31/2010	Past Remembrances 2010	Miriam Magnuson-Rowe
Susan Longobucco-Hynes	\$25.00	2/28/2010	Past Remembrances 2010	Miriam Magnuson-Rowe
Levine Chiropractic Center, LLC	\$35.00	2/28/2010	Past Remembrances 2010	Miriam Magnuson-Rowe
Allan B. Rowe	\$3,300.00	3/26/2010	Past Remembrances 2010	Miriam Magnuson-Rowe
Andy Fowler	\$25.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Deborah Greer	\$200.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Violet Vaill	\$10.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
William E. Jacobs	\$20.00	12/16/2009	Past Remembrances 2010	Miriam Magnuson-Rowe
Charles Griffen	\$10.00	3/26/2010	Remembrance 2010	Miriam Magnuson-Rowe
Allan B. Rowe	\$50.00	12/7/2009	Tree Lighting 12/09	Miriam Magnuson-Rowe
Eugene W. Keen	\$20.00	12/16/2009	Tree Lighting 12/09	Miriam Magnuson-Rowe
John Smedick	\$25.00	12/10/2009	Tree Lighting 12/09	Miriam Magnuson-Rowe
Donald G. Nelson	\$50.00	11/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe

School of Nursing Scholarship Fund

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Scott B. McCausland	\$50.00	12/16/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
David W. Ladd	\$250.00	12/16/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Matt Cofiso	\$200.00	12/16/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Allyn D. White	\$25.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Daniel C. Pepe	\$50.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Adrienne J. Cavarly	\$10.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
John E. Hult	\$25.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Walter D. Christofferson	\$30.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Amy Lynn Perkins	\$25.00	12/24/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Martha A. Magnuson	\$100.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Michael H. Anderson	\$50.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Norman I. Magnuson	\$25.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
John Smedick	\$100.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Vi Morin	\$25.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Robert G. Ross	\$15.00	12/31/2008	Past Remembrances 2009	Miriam Magnuson-Rowe
Eunice Will	\$10.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Charlie Camac	\$600.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Doralee D. Wyland	\$20.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Richard L. Coe	\$25.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Carolyn R. Perkins	\$20.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Alan R. Machuga	\$25.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Michael Brenner	\$100.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Bill Bierce	\$20.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Green Mountain Corporation	\$100.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
John A. Tuttle	\$100.00	1/13/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Susan Longobucco-Hynes	\$35.00	1/20/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Dante J. Longobucco	\$25.00	1/20/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Bruce P. Krieger	\$50.00	1/29/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Allan B. Rowe	\$2,150.00	1/29/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Robert C. Moore	\$200.00	2/24/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Allen J. Scott	\$40.00	2/24/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Pamela Orr	\$50.00	3/11/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Norman E. Magnuson	\$100.00	5/22/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Rosemarie Constantinetis	\$200.00	6/10/2009	Past Remembrances 2009	Miriam Magnuson-Rowe
Margaret K. Stull	\$25.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Ronald F. Gaffney	\$100.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe

School of Nursing - Scholarship Fund

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Frederick J. Shaner	\$200.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Donald G. Nelson	\$200.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Dante J. Longobucco	\$50.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Lois Schroeder	\$25.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Richard L. Coe	\$40.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Eugene W. Keen	\$35.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Robert C. Moore	\$25.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
John A. Tuttle	\$150.00	2/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Scott B. McCausland	\$100.00	2/20/2008	Remembrance 2008	Miriam Magnuson-Rowe
Martha A. Magnuson	\$200.00	2/20/2008	Remembrance 2008	Miriam Magnuson-Rowe
David W. Ladd	\$500.00	2/27/2008	Remembrance 2008	Miriam Magnuson-Rowe
Joseph R. Hyman	\$100.00	2/27/2008	Remembrance 2008	Miriam Magnuson-Rowe
Daniel C. Pepe	\$100.00	2/27/2008	Remembrance 2008	Miriam Magnuson-Rowe
Norman I. Magnuson	\$25.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Amy M. Woyke	\$10.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Lois P. Hailquist	\$20.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Curtis Griffin	\$100.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Walter D. Christofferson	\$100.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Bruce Kreiger	\$50.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Ed Gradowski	\$25.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Joseph McGuire	\$100.00	3/7/2008	Remembrance 2008	Miriam Magnuson-Rowe
Amy Lynn Perkins	\$100.00	3/12/2008	Remembrance 2008	Miriam Magnuson-Rowe
John E. Hult	\$25.00	3/12/2008	Remembrance 2008	Miriam Magnuson-Rowe
James Sawyer	\$150.00	3/19/2008	Remembrance 2008	Miriam Magnuson-Rowe
Ralph Deleo	\$100.00	3/31/2008	Remembrance 2008	Miriam Magnuson-Rowe
Carolyn R. Perkins	\$40.00	5/13/2008	Remembrance 2008	Miriam Magnuson-Rowe
Susan G. Kater	\$25.00	8/18/2008	Remembrance 2008	Miriam Magnuson-Rowe
	\$14,570.00			
Donald T. Ross	\$50.00	6/30/1992	Phonathon 1992	Robert J. Fallon
William E. Jacobs	\$20.00	12/16/2009	Past Remembrances 2010	Teena Dobbs
	\$20.00			

Giving History

William T. Phelan Fund at the CT Community Fnda.

Constituent Name	Date	Gift	Fund Description	Appeal Description	Amount	Balance	Reference
The William T. Phelan Fund 81 W Main St Waterbury, CT 06702-2006	6/27/2002	Cash	School of Nsg. Scholarship Fnd	Foundations 2002	\$81.00	\$0.00	
	7/17/2003	Cash	School of Nsg. Scholarship Fnd	Foundations 2003	\$123.37	\$0.00	
	6/18/2004	Cash	School of Nsg. Scholarship Fnd	Grant	\$125.39	\$0.00	
	5/31/2005	Cash	Nursing Scholarship for Phonat	Grant	\$130.11	\$0.00	
	4/24/2006	Cash	Nursing Scholarship for Phonat	Grant	\$138.00	\$0.00	
	4/25/2007	Cash	School of Nsg. Alumni Assoc.	Grant	\$146.00	\$0.00	
	4/30/2008	Cash	School of Nsg. Scholarship Fnd	Grant	\$154.00	\$0.00	
	3/18/2009	Cash	School of Nsg. Scholarship Fnd	Grant	\$161.00	\$0.00	
	4/15/2010	Cash	School of Nsg. Scholarship Fnd	Grant	\$159.00	\$0.00	
	Total Cash:					\$1,217.87	

Grand Total Cash:

\$1,217.87

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Appeal Summary Report

School of Nsg. Scholarship Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dml0610	Direct Mail Piece June, 2010	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
estates2010	Estates 2010	1	\$0.00	\$0.00	\$4,290.80	\$0.00	\$4,290.80
fund2002	Foundations 2002	1	\$0.00	\$0.00	\$81.00	\$0.00	\$81.00
fund2003	Foundations 2003	1	\$0.00	\$0.00	\$123.37	\$0.00	\$123.37
grant	Grant	4	\$0.00	\$0.00	\$599.39	\$0.00	\$599.39
ind91	Individuals 1991	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
ind96	Individuals 1996	1	\$0.00	\$10.00	\$0.00	\$0.00	\$10.00
mem2008	Remembrance 2008	35	\$0.00	\$0.00	\$4,260.00	\$0.00	\$4,260.00
mem2010	Remembrance 2010	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
mem92	Remembrance 1992	12	\$0.00	\$0.00	\$360.00	\$0.00	\$360.00
ph92	Phonathon 1992	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
rem2009	Past Remembrances 2009	35	\$0.00	\$0.00	\$4,900.00	\$0.00	\$4,900.00
rem2010	Past Remembrances 2010	39	\$0.00	\$0.00	\$5,060.00	\$0.00	\$5,060.00
rem2011	Past Remembrances 2011	22	\$0.00	\$0.00	\$1,550.00	\$0.00	\$1,550.00
treelighting09	Tree Lighting 12/09	3	\$0.00	\$0.00	\$95.00	\$0.00	\$95.00
treelighting10	Tree Lighting 12/10	5	\$0.00	\$0.00	\$225.00	\$0.00	\$225.00
and Totals:			\$0.00	\$60.00	\$22,564.56	\$0.00	\$22,624.56

163 Gift(s) listed

96 Donor(s) listed

School of Nsg. Alumni Assoc. Fund 1/1987-12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	1	\$0.00	\$0.00	\$146.00	\$0.00	\$146.00
ind2008	Unsolicited 2008	2	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
ind2009	Unsolicited 2009	2	\$0.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00
mem2002	Remembrance 2002	2	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
mem2007	Remembrance 2007	22	\$0.00	\$0.00	\$1,280.00	\$0.00	\$1,280.00
mem2008	Remembrance 2008	6	\$0.00	\$0.00	\$280.00	\$0.00	\$280.00
mem2009	Remembrance 2009	1	\$0.00	\$0.00	\$227.00	\$0.00	\$227.00
and Totals:			\$0.00	\$0.00	\$3,378.00	\$0.00	\$3,378.00

36 Gift(s) listed

35 Donor(s) listed

~~K5~~
J-4

Appeal Summary Report School of Nsg. Alumni Assoc. Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
grant	Grant	1	\$0.00	\$0.00	\$146.00	\$0.00	\$146.00
ind2008	Unsolicited 2008	2	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
ind2009	Unsolicited 2009	2	\$0.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00
mem2002	Remembrance 2002	2	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
mem2007	Remembrance 2007	22	\$0.00	\$0.00	\$1,280.00	\$0.00	\$1,280.00
mem2008	Remembrance 2008	6	\$0.00	\$0.00	\$280.00	\$0.00	\$280.00
mem2009	Remembrance 2009	1	\$0.00	\$0.00	\$227.00	\$0.00	\$227.00
and Totals:			\$0.00	\$0.00	\$3,378.00	\$0.00	\$3,378.00

36 Gift(s) listed

35 Donor(s) listed

K5
J-4

St. Mary's Hospital Foundation, Inc.

Page 1

3/2/2011

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
Donald E. Holley	\$20.00	11/30/2001	Remembrance 2002	Marion E. Lecchi
L. Boucher	\$25.00	11/30/2001	Remembrance 2002	Marion E. Lecchi
William T. Phelan Fund at The Co	\$146.00	4/25/2007	Grant	
Eileen M. Longo	\$50.00	9/21/2007	Remembrance 2007	Mary M. McAree
Patricia A. Romano	\$25.00	9/21/2007	Remembrance 2007	Mary M. McAree
Daniel J. Ieronimo	\$25.00	9/21/2007	Remembrance 2007	Mary M. McAree
Mary F. Waldron	\$100.00	9/21/2007	Remembrance 2007	Mary M. McAree
Marlene Flanders	\$75.00	9/21/2007	Remembrance 2007	Mary M. McAree
Rita G. Alexander	\$25.00	9/21/2007	Remembrance 2007	Mary M. McAree
St. Mary's School of Nursing Alumni A	\$20.00	9/21/2007	Remembrance 2007	Mary M. McAree
Eleanor Flynn	\$100.00	9/21/2007	Remembrance 2007	Mary M. McAree
Charles J. Diorio	\$200.00	9/21/2007	Remembrance 2007	Mary M. McAree
Della M. Voegeli	\$35.00	9/21/2007	Remembrance 2007	Mary M. McAree
Jeannine D. Laliberte	\$20.00	9/21/2007	Remembrance 2007	Mary M. McAree
Anonymous	\$25.00	9/21/2007	Remembrance 2007	Mary M. McAree
William Masone	\$25.00	9/25/2007	Remembrance 2007	Mary M. McAree
Joseph T. Kelsey	\$50.00	9/28/2007	Remembrance 2007	Mary M. McAree
Martin D. Roach	\$30.00	9/28/2007	Remembrance 2007	Mary M. McAree
Joseph M. Maddalena	\$25.00	9/28/2007	Remembrance 2007	Mary M. McAree
Carmella Difronzo	\$50.00	9/28/2007	Remembrance 2007	Mary M. McAree
Marion A. May	\$50.00	9/28/2007	Remembrance 2007	Mary M. McAree
Charles W. Burnett	\$50.00	9/28/2007	Remembrance 2007	Mary M. McAree
Gary Grechika	\$100.00	9/28/2007	Remembrance 2007	Mary M. McAree
Lyn Cannavino	\$100.00	9/28/2007	Remembrance 2007	Mary M. McAree
Gladys J. Harrison	\$100.00	9/28/2007	Remembrance 2007	Mary M. McAree
Christopher Thurston	\$100.00	12/17/2007	Remembrance 2008	Mary M. McAree
St. Mary's School of Nursing Alumni A	\$150.00	12/17/2007	Unsolicited 2008	
Flaherty	\$150.00	4/30/2008	Unsolicited 2008	
Keith A. Kraner	\$50.00	7/24/2008	Remembrance 2008	Elizabeth Tagg
Deborah E. Bradley	\$20.00	7/24/2008	Remembrance 2008	Elizabeth Tagg
Rose Bradley	\$25.00	7/30/2008	Remembrance 2008	Elizabeth Tagg
Leigh A. Trifari	\$75.00	8/6/2008	Remembrance 2008	Elizabeth Tagg
Phyllis M. DePillo	\$10.00	9/6/2008	Remembrance 2008	Elizabeth Tagg
Marilyn Morelli	\$227.00	10/8/2008	Remembrance 2009	Elizabeth Tagg
Alice E. Hurlburt	\$100.00	10/16/2008	Unsolicited 2009	Elizabeth Farren
William E. Flanagan	\$1,000.00	10/16/2008	Unsolicited 2009	



43 Field Street
Waterbury, CT 06702
203.753.1315
Fax 203.756.3054

info@conncf.org
www.conncf.org

Trustees

Peter J. Jacoby, M.D.
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Richard E. Lau, D.V.M.
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Wayne P. McCormack

John Millington

Jeanne Paparazzo

Molly A. Parker

Frank J. Scinto

A.J. Wasserstein

Ingrid Manning
CEO

March 26, 2010

Ms. Pat Evans
Grants Director
St. Mary's Hospital
56 Franklin St.
Waterbury, CT 06702

Dear Ms. Evans:

I am enclosing a contribution of \$159.00 representing the income from the William T. Phelan Fund at The Connecticut Community Foundation. This gift from the fund is designated for support of the School of Nursing Alumni Association Scholarship Fund.

We would appreciate your listing this contribution in your next annual report as a donation from the "William T. Phelan Fund at the Connecticut Community Foundation".

We are pleased to support St. Mary's Hospital with this gift.

Sincerely,

Ingrid Manning
Chief Executive Officer

Enclosure
IM/tr

cc: Johanna M. Ryan, Esq.



February 26, 2010

Guidance Department
Nonnesaug High School
5 Minortown Road
Woodbury, CT 06798

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Pomperaug Regional High School
234 Judd Road
Southbury, CT 06488

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Sacred Heart High School
142 S. Elm Street
Waterbury, CT 06706

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Chase Collegiate
565 Chase Parkway
Waterbury, CT 06708-3394

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Holy Cross High School
587 Oronoke Road
Waterbury, CT 06708

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Cheshire High School
525 S. Main Street
Cheshire, CT 06410

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Bristol High School
480 Wolcott Road
Bristol, CT 06010

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Wolcott High School
457 Bound Line Road
Wolcott, CT 06716

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Watertown High School
324 French Street
Watertown, CT 06795-2934

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Naugatuck High School
543 Rubber Avenue
Naugatuck, CT 06770

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Seymour High School
2 Botsford Road
Seymour, CT 06438

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Waterbury Arts Magnet School
16 S. Elm Street
Waterbury, CT 06706

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Wilby High School
568 Bucks Hill Road
Waterbury, CT 06704

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Kennedy High School
422 Highland Avenue
Waterbury, CT 06708

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

February 26, 2010

Guidance Department
Crosby High School
300 Pierpont Road
Waterbury, CT 06705

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

news release

56 FRANKLIN STREET WATERBURY, CONNECTICUT 06706 WWW.STMHFOUNDATION.ORG

Saint Mary's accepting applications for nursing scholarships

Contact: Lindsey Spinella, Development Coordinator, (203) 709-6239

FOR IMMEDIATE RELEASE

WATERBURY, Conn. (February 26, 2010) – The Saint Mary's Hospital Foundation is offering scholarships to students who are pursuing associate or bachelor's degrees in nursing. **Applications must be received by Friday, March 26.** The scholarships will be awarded this spring.

Each year, the Foundation awards scholarships to residents of the Greater Waterbury area who have been accepted into a two-year or four-year nursing program. Qualifying applicants must maintain at least a B average in high school and are required to submit an essay as to why they have chose nursing as a career. Semi-finalists will be interviewed by a committee from Saint Mary's Hospital.

Applications are available online at www.stmh.org. Click on "Working at Saint Mary's," and select "Advancing Careers, Enhancing Lives." For more information, please call Nancy Miko, clinical recruiter, at (203) 709-3130.

– END –



Saint Mary's
HOSPITAL
Foundation

Dear Applicant:

The Saint Mary's Hospital Foundation has launched an annual health care scholarship program. The funds to initiate the scholarships were raised from the 2003 Gala and Phon-a-thon. Annually funds raised from the Saint Mary's Hospital Foundation's Phon-a-thon will be pledged to health care scholarships.

The 2010 scholarships will be awarded for nursing education and other health care fields may be targeted in future years. Five \$2,500 scholarships, three for two-year training and two for four-year training will be given. Recipients will be eligible for continuation of their grants if they maintain a B average. The scholarships are open to residents of the Greater Waterbury area with Saint Mary's Hospital staff and/or those wishing to become a member of the hospital staff encouraged to apply. Applicants must maintain a B average in high school to qualify for a grant. There are no financial criteria, but applicants must submit an essay describing their reasons for choosing a nursing career. Semi-finalists for the scholarships will be interviewed by a committee from Saint Mary's Hospital.

Please fill out the attached scholarship application and return it to Saint Mary's Hospital Foundation at 56 Franklin Street, Waterbury, CT 06706 by 4 p.m. Friday March 26, 2010. For questions call Lindsey Spinella in the Foundation office at (203) 709-6390.

⊕
Saint Mary's
HOSPITAL
Foundation

SCHOLARSHIP APPLICATION

Name: _____

Address: _____

Telephone: _____ Email: _____

Are you an Employee of Saint Mary's? Yes Which department: _____ No

Is a family member a Saint Mary's employee? Yes Which department: _____ No

High School graduated from or High School attending: _____

PA: _____

School of Choice: (must be in CT) _____

Degree: (please check) Bachelor of Science: Associate of Science:

Volunteer/Community Activities: _____

Personal Interests: _____

Why are you choosing a health care career? Be specific for example, nursing or physical therapy. Please answer this question with a 300 word essay and attach it to your application.

Please attach references (no family members, please): 2 personal and 1 teacher

Please attach an official transcript from your last educational institution with your application.

*Finalists are expected to participate in an interview process.

Any questions, please call the Foundation office at (203) 709-6390 or Pat Evans at (203) 709-3270.

Please return application by:

4 p.m. Friday March 26, 2010 to Saint Mary's Hospital Foundation, 56 Franklin Street, Waterbury, CT 06706

February 26, 2010

Guidance Department
Thomaston High School
185 Branch Road
Thomaston, CT 067878

Dear Sir/Madam:

Saint Mary's Hospital Foundation is once again offering scholarships for students in Associates or Bachelors nursing programs.

I have enclosed a flyer as well as an application. Applications, including all additional documents, are to be submitted no later than 4 p.m. Friday, March 26th.

Please feel free to contact me at 203-709-6390 with any questions.

Sincerely,

Lindsey A. Spinella
Saint Mary's Hospital Foundation

Enclosures

~~K4~~
J-4

April 23, 2008

Mr. Matthew Mancini
57 Barrington Lane
Waterbury, CT 06708

Dear Matthew:

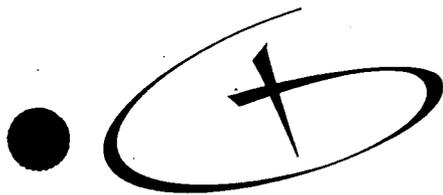
Congratulations! You have been chosen to receive a Saint Mary's Hospital Foundation Nursing Scholarship for the academic year beginning in September 2008. Since you are attending Quinnipiac University, you are eligible to receive up to \$2,500 toward your nursing education for the 2008-09 academic year. A payment of \$2,500 toward your tuition for 2008-09 will be made directly to Quinnipiac University in August, 2008. If you retain a B average a second payment of up to \$2,500 will be made to the college toward your 2009-10 tuition.

Saint Mary's Hospital and Foundation will host a reception to meet and honor you and the other scholarship recipients. I am the Vice President of Patient Care Services of Saint Mary's Hospital and will be attending the event in addition to nursing leadership, Nursing Scholarship Committee members, and Foundation staff. You and your family are invited to attend the reception, which will be held on the ground floor of the Saint Mary's Hospital Conference Center. The reception is scheduled at 4:00 PM on May 7th to correspond with Nurse's Week; please RSVP by calling Kathleen Gangell at (203) 709-6390.

Nurses are vital to helping Saint Mary's Hospital achieve its mission of providing excellent healthcare in a spiritually enriched environment. Saint Mary's Hospital and Foundation are making this commitment to you in appreciation of the important career role you have chosen. We are pleased that you have made this career choice and look forward to meeting you and hope your future plans may include employment at Saint Mary's Hospital.

Sincerely,

Sandra K. Roosa
Vice President for Patient Care Services



Saint Mary's Hospital Foundation, Inc.

56 Franklin Street • Waterbury, Connecticut 06706 • (203) 574-6390

WELCOME!

2003 ANNUAL PHONATHON FOR SAINT MARY'S HOSPITAL

On behalf of the Foundation Board and all who will benefit from your gift of time, we welcome you as a key member of the 2003 Annual Phonathon Team. Whether you're a phonathon first-timer or a veteran, we are grateful to you for sharing your time and talents with us.

Our goal this year is a lofty \$83,000! We can do it! You can do it! You can help change a life – save a life – here at Saint Mary's. Did you know that each phone will average over \$500 tonight?

All the money raised tonight will be used for Nursing Scholarships.

Thank you for being a part of our team!

Paul J. Largay
President
Largay Travel
Co-Chairperson
Phonathon 2003

Peter J. Jacoby, M.D.
Chairman
Saint Mary's Hospital
Emergency Room
Co-Chairperson
Phonathon 2003

Gala 2003 Program Book letter from President

February 1, 2003



Dear Friends of Saint Mary's Hospital,

On behalf of the Saint Mary's Hospital Family, including our affiliated Boards of Directors, Medical Staff, Staff, and the patients we serve, thank you for attending the 12th Annual Gala, "*It Don't Mean A Thing-If You Ain't Got That Swing*." As a new member of the Saint Mary's Family I am delighted to join you this evening and to experience the tremendous support we receive from the Greater Waterbury community. We applaud the extraordinary efforts of all individuals who worked tirelessly year-round to prepare for this wonderful evening. The Gala is the largest fundraiser of its kind in the Greater Waterbury area.

More than 95 years ago Saint Mary's founder Monsignor Slocum had a vision of establishing a Catholic hospital in Waterbury—a dream that must have felt like reaching for the stars. Today, that dream is a reality primarily due to the support and compassion from our community and specifically, you. You make our Mission of excellence at Saint Mary's Hospital a reality.

Proceeds from "*It Don't Mean A Thing-If You Ain't Got That Swing*", will help to insure the continued excellence of our nursing staff by establishing a scholarship program to train future nurses and support existing nurses who wish to attain additional education. Our nursing staff have been central to our positive reputation as an outstanding health care provider. Your generosity with this year's gala, will help Saint Mary's Hospital fulfill its Mission and pursue its Vision.

Thanks to all those people who created and developed the theme of this year's Gala. May all of us continue to reach for those stars that give life its rich meaning and challenge us at every turn to serve our community by providing the best health care programs and services possible in 2003.

May God bless each and every one of you and have a wonderful evening. Best wishes for continued success and great health.

Sincerely,

Robert P. Ritz
President and Chief Executive Officer
Saint Mary's Hospital

1920

56 Franklin Street
Waterbury, Connecticut 06706
(203) 574-6000

An Affiliated Hospital of Yale University School of Medicine

Sister St. Catherine N.S.J. Educ 1/1987 - 12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
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ind2009	Unsolicited 2009	1	\$0.00	\$0.00	\$14,757.20	\$0.00	\$14,757.20
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and Totals: \$0.00 \$0.00 \$14,757.20 \$0.00 \$14,757.20

1 Gift(s) listed

1 Donor(s) listed

~~K7~~
J-4

Name	Gift Amount	Gift Date	Appeal Description	Honor/Memorial Name
St. Mary's School of Nursing Alumni A	\$14,757.20	7/21/2009	Unsolicited 2009	

Harriet Sisbarro

From: Renee Warner
Sent: Friday, July 10, 2009 9:12 AM
To: Harriet Sisbarro
Subject: RE: Check for Sister St. Catherine Nsg. Cont. Educa. Fund

I guess you could put the donor as the SMH School of Nursing Alumni.

Renee Perreault-Warner
Senior Accountant
Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706
Ph: (203) 709-3054
Fax (203) 709-5138

From: Harriet Sisbarro
Sent: Thursday, July 09, 2009 3:25 PM
To: Renee Warner
Subject: Check for Sister St. Catherine Nsg. Cont. Educa. Fund

Hey there!

On bank check for the above-mentioned fund in the amount of \$14,757.20, who's the donor? Webster?? Or is it a money
out from Webster? Does a thank you need to go to anyone specific??

*Harriet Sisbarro
Foundation Analyst
Saint Mary's Hospital Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706
Work: (203) 709-6391
Fax: (203) 709-3272
hsisbarro@stmh.org*

THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK PRINTED ON THE BACK. THE FRONT OF THIS DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE. ABSENCE OF THESE FEATURES WILL INDICATE A COPY.



Webster Plaza
Waterbury, CT 06702

00009 108356

OFFICIAL CHECK

2979478

ISSUED BY: MONEYGRAM PAYMENT SYSTEMS, INC.
P.O. BOX 9476, MINNEAPOLIS, MN 55480
DRAWEE: FIRST INTERSTATE BANK
HELENA, MT
DRAWER: WEBSTER BANK, N.A.

07/01/2009

*****\$14,757.20

93-541
920

PAY TO THE ORDER OF

ST. MARY'S HOSPITAL FOUNDATION

***14,757 DOLLARS AND 20 CENTS**

*Sr St Catharine Nrsng Cent
Educ*

REMITTER 1010350543

AUTHORIZED SIGNATURE

MP

AUTHORIZED SIGNATURE (TWO SIGNATURES REQUIRED OVER \$25,000)

MP

⑈ 2979478⑈ ⑆ 09200541⑆ ⑆ 0160010541054⑈



K1

Appeal Summary Report
Education Fund 1/1987 - 12/2010

I don't have a Nsg. Educa. Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor99	Corporations 1999	3	\$0.00	\$0.00	\$775.00	\$0.00	\$775.00
golfdonations10	Golf Donations 2010	1	\$2,000.00	\$0.00	\$0.00	\$0.00	\$2,000.00
grant	Grant	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
maj2001	Major Gifts 2001	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
maj96	Major Gifts 1996	2	\$0.00	\$550.00	\$0.00	\$0.00	\$550.00
maj97	Major Gifts 1997	1	\$0.00	\$500.00	\$0.00	\$0.00	\$500.00
maj99	Major Gifts 1999	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
mem90	Remembrance 1990	1	\$0.00	\$0.00	\$5.00	\$0.00	\$5.00
mem92	Remembrance 1992	3	\$0.00	\$0.00	\$215.00	\$0.00	\$215.00
mem97	Remembrance 1997	3	\$0.00	\$0.00	\$65.00	\$0.00	\$65.00
phys2001	Phys. Annual Appeal 2001	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
and Totals:					\$4,060.00	\$0.00	\$7,110.00

18 Gift(s) listed

18 Donor(s) listed

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K-1

**Fund Mail Report
Education Fund**

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Barbara C. Amatruda 40 Rimmon Hill Rd Woodbridge, CT 06525-1324 (203) 397-3230	1/22/1997	Education Fund	\$0.00	\$500.00	\$0.00	
Bernie Birenbaum 29 Wildwood Rd Scarsdale, NY 10583-7436 (914) 472-8893 ~	12/27/1989	Education Fund	\$0.00	\$0.00	\$5.00	
Vincenzo Conigliaro 22 W 21st St Fl 10 New York, NY 10010-6938	1/21/1992	Education Fund	\$0.00	\$0.00	\$100.00	
Connecticut Community Founda 43 Field St Waterbury, CT 06702-1906 (203) 753-1315	10/26/2007	Education Fund	\$0.00	\$0.00	\$2,000.00	for the Nutritional Education and Culinary Arts Progr
Davol, Inc. 8 Deer Ledge Ln Wethersfield, CT 06109 (860) 571-0504 ~	8/26/2010	Education Fund	\$2,000.00	\$0.00	\$0.00	
Dawson Real Estate Inspections, 500 S Main St Cheshire, CT 06410-3147 203-272-7400	10/31/1998	Education Fund	\$0.00	\$0.00	\$250.00	
Arline B. Duley 28 Sarah Ln Simsbury, CT 06070-1909 (860) 658-4933 ~	6/24/1997	Education Fund	\$0.00	\$0.00	\$15.00	
Mary M. Dunn 25 Sagamore Cove Rd Branford, CT 06405-5019 (203) 483-9793 ~	10/31/1998	Education Fund	\$0.00	\$0.00	\$250.00	
Anna Famiglietti 80 Heritage Rd # 138 Southbury, CT 06488-1882 (203) 264-3832 ~	6/30/2001	Education Fund	\$0.00	\$0.00	\$500.00	

Fund Mail Report

Education Fund

Constituent Name	Date	Fund Descript	Pledge Balance	Pledge Payments	Non-Pledge Gift	Reference
Integrity Real Estate, Inc. 366 S Main St Cheshire, CT 06410-3115 (203) 271-1316	10/31/1998	Education Fund	\$0.00	\$0.00	\$25.00	
Mary Lou Keefe 1157 Straits Tpke Middlebury, CT 06762-2817 (203) 758-2033	1/21/1992	Education Fund	\$0.00	\$0.00	\$15.00	
Kinamor, Inc. PO Box 100 Cheshire, CT 06410-0100 203-272-9800	10/31/1998	Education Fund	\$0.00	\$0.00	\$500.00	
Rita R. Longo 22 Juniper Dr Wolcott, CT 06716-2017 (203) 879-0353 ~	6/24/1997	Education Fund	\$0.00	\$0.00	\$25.00	
Michael F. Nezhad 239 Mirrey Dam Rd Middlebury, CT 06762-1424 (203) 758-1241 ~	12/13/2000	Education Fund	\$0.00	\$0.00	\$250.00	
James A. Sherwood 411 Cleveland Blvd Fayetteville, NY 13066-1107 (315) 637-8960 ~	12/31/1995	Education Fund	\$0.00	\$300.00	\$0.00	
St. Mary's Women & Infant's Sta 56 Franklin Street Waterbury, CT 06706 (203) 575-1992	6/30/1997	Education Fund	\$0.00	\$0.00	\$25.00	
The Training Inst. For Mental H 22 W 21st St Fl 10 New York, NY 10010-6938 (212) 627-0611	1/21/1992	Education Fund	\$0.00	\$0.00	\$100.00	
Edward S. Wolfe 1840 De Havilland Dr Thousand Oaks, CA 91320-170	5/16/1996	Education Fund	\$0.00	\$250.00	\$0.00	

Fund Financial Report
Education Fund

Grand Totals: \$2,000.00 \$1,050.00 \$4,060.00

18 Gift(s) listed

18 Donor(s) listed

~ The phone is marked as 'Do not contact'

73 St. Mary's Hospital

10/18/2007 006728

20070746 10/15/2007 Saint Mary's Hospital/Police Activity Leagues's 2,000.00
WOMI Women's Initiative Fund 2,000.00

CHECK TOTAL: \$*****2,000.00

WOMEN'S INITIATIVE FUND
Saint Mary's Hospital/Waterbury Police Activity League's
Nutritional Education and Culinary Arts Program

Project-Saint Mary's Hospital and the Waterbury Police Activity League (PAL) will partner to sponsor a Nutritional Education and Culinary Arts Program for 6th, 7th and 8th graders from Waterbury schools and their mothers. Activities will feature the new MyPyramid, food groups, healthy choices and snacks, the art of cooking, taste testing new foods and sanitation.

The Waterbury Police Department has purchased the former Saint Lucy's School and Gymnasium and has opened it as a community center for its PAL program. The program has a membership of 1,700 children ages four to eighteen (most members are under twelve) and offers athletic programs and arts and crafts, in addition to non-traditional programs such as an internet/computer safety course. PAL has recently teamed up with some teacher volunteers from the Waterbury Board of Education who will help tutor children in math, science, language arts and reading. The Police Department tracks each child participating in the PAL program to determine whether his or her grades improve and whether his or her record remains free of criminal activity. Being located in the north end of Waterbury the center attracts many of the most vulnerable of the city's children.

The Nutritional Education and Culinary Arts Program will take place at the Waterbury Police Department's community center, once a week for eight weeks from 3:15 to 4:45 pm. The center has a large kitchen with three stoves and will accommodate 12-15 children in each session. Registered Dietitians and Registered Dietetic Technicians from Saint Mary's Hospital will staff the Nutritional Education and Culinary Arts Program and weekly present one-half hour sessions of nutritional education, one hour sessions of cooking and taste testing and one-half hour sessions of sampling, sanitation and clean-up. This is an ideal partnership, because the children live in the area, have an established affiliation with the PAL program and Saint Mary's Hospital's staff has the expertise to provide the activities.

Timeframe-The program will be initiated in January, 2008 and held for seven weeks with a cooking contest held during the eighth week. During the eight weeks each participant will be required to team up with his or her mother or female caregiver for at least one hour during the week, either discussing menus, nutrition or actually cooking. Food activity journals will be purchased through the program and required to be completed weekly documenting home activities. During the eight weeks of activities the child and mother will also decide on a recipe to be used for the cooking contest, submit the recipe in writing and the day of the contest the mother will be the sous chef for the child. After the cooking contest the mothers will be treated to samples of the food prepared.

The Nutritional Education and Culinary Art Program will include two eight week sessions to be held during the 2008 school year with a Waterbury Iron Chef Kids Cooking Contest held in the summer of 2008 for children, who have participated in one of the two eight week sessions. The Iron Chef Cookoff activities will be scheduled over a three day period during the summer. The first day will be used for the registration, explanation of the cookoff, sanitation instructions, handing out of blank recipe cards and ingredient lists. The second day will be used for recipe submission and review and the third day will be the cookoff. Saint Mary's Hospital will provide an in-kind contribution of salaries for the time that the hospital's Registered Dietician and Registered Dietetic Technician devote to the summer program. This will include staffing the activities of the three days of the Waterbury Iron Chef Kids Cooking Contest, grocery shopping for the event and producing of the Iron Chef Cookbook. We are estimating that these activities will take 24 hours for each for the registered Dietician and the Registered Dietetic Technician and will be approximately a \$1,250 in-kind contribution.

Participants-Each eight week session can accommodate 12-15 children from the 6th, 7th and 8th grades and their mothers. These participants must be members of PAL, which is an annual \$25 membership for the year to cover all PAL activities and will include the Nutritional Education and Culinary Arts Program. The Waterbury Iron Chef Kids Cookoff will be open to any child, who participated in one of the eight week programs.

Program History-This is a totally new program developed collaboratively between the PAL and Saint Mary's Hospital. This program will emphasize good nutrition and healthy eating, not weight loss. Most of the children involved in the PAL program are physically active and of normal weight, therefore; this program is designed to teach children healthy eating habits and to develop and sustain wellness patterns for a life time.

Goals of Program-The goals of the Nutritional Education and Culinary Arts Program for Waterbury program are to create an inter-generational lifestyle of good eating habits, to improve health and academic performance through sound nutrition and to establish a lifelong commitment to eating healthy. All of the activities are designed to provide fun and a comfortable learning experience. The theme of the program will be "It's cool to be healthy and it's cool to be smart about being healthy".

Benefit to Mothers and Children-The program will benefit women and their children by encouraging inter-generational healthy nutrition and the art of cooking. It is designed to positively influence the family's eating habits and provide a life time long wellness model through teamwork between mothers and their children. Activities will encourage a positive relationship between a child and mother.

**SMH/PAL NUTRITIONAL EDUCATION AND CULINARY ARTS PROGRAM
BUDGET
JANUARY 1, 2008-SEPTEMBER 30, 2008**

Food supplies for 8 week sessions	\$100 per wk X 7 wks X 2 sessions	\$1,400
Journals for participants	\$5 each X 15 X 2 sessions	150
Food supplies for cook off	\$250 X 2 sessions	500
Waterbury Iron Chef Kids Cookoff (food supplies, prizes and medals)		1,000
Printing a Cookoff Cookbook		500
Dietary Technician	\$19 hr X 48 hrs (Classroom hrs & preparation)	912
Registered Dietitian	\$33 hr X 48 hrs (Classroom hrs & preparation)	<u>1,584</u>
	Requested from Women's Initiative	\$6,046
	In-Kind from Saint Mary's Hospital	<u>1,250</u>
	TOTAL Program	\$7,296

Pain Mgmt. Staff Education Onc Fund 1/1987-12/2010

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor2000	Corporations 2000	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
cor2001	Corporations 2001	1	\$0.00	\$0.00	\$6,000.00	\$0.00	\$6,000.00
cor2002	Corporations 2002	1	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
cor2003	Corporations 2003	1	\$0.00	\$0.00	\$1,420.00	\$0.00	\$1,420.00
frnd2000	Foundations 2000	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
maj2002	Major Gifts 2002	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
		and Totals:	\$0.00	\$0.00	\$13,920.00	\$0.00	\$13,920.00

6 Gift(s) listed

3 Donor(s) listed

J/S
K2

Pain Mgmt. Staff Education Oncology Fund

Constituent Name	Date	Fund Description	Pledge Payments	Non-Pledge Gift	Reference
Nora T. O'Toole	10/22/2001	Pain Mgmt. Staff Educ	\$0.00	\$1,000.00	
Lucien B. & Katherine E. Price Fou PO Box 790 Manchester, CT 06045-0790	6/28/2000	Pain Mgmt. Staff Educ	\$0.00	\$2,000.00	
Purdue Pharma L.P. 1 Stamford Forum Stamford, CT 06901-3516 203-853-0123	3/31/2000	Pain Mgmt. Staff Educ	\$0.00	\$1,000.00	
	5/21/2001	Pain Mgmt. Staff Educ	\$0.00	\$6,000.00	
	11/30/2001	Pain Mgmt. Staff Educ	\$0.00	\$2,500.00	
	4/10/2003	Pain Mgmt. Staff Educ	\$0.00	\$1,420.00	
Grand Totals:			\$0.00	\$13,920.00	

6 Gift(s) listed

3 Donor(s) listed



Saint Mary's
HOSPITAL
Foundation

**Fund Summary Report
Ambassador's Fund**

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ambass	Ambassador's Fund	72	\$0.00	\$1,300.00	\$2,350.00	\$0.00	\$3,650.00
		and Totals:	\$0.00	\$1,300.00	\$2,350.00	\$0.00	\$3,650.00

72 Gift(s) listed

71 Donor(s) listed

Appeal Summary Report Ambassador's Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb98	Ambassador's Registration F	70	\$0.00	\$1,300.00	\$2,200.00	\$0.00	\$3,500.00
amb99	Ambassador Reg. '99	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
maj99	Major Gifts 1999	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
and Totals:			\$0.00	\$1,300.00	\$2,350.00	\$0.00	\$3,650.00

72 Gift(s) listed

71 Donor(s) listed

Fund Summary Report Ambassador's Fund 1999

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb99	Ambassador's Fund 1999	74	\$0.00	\$3,175.00	\$550.00	\$0.00	\$3,725.00

and Totals:

74 Gift(s) listed

73 Donor(s) listed

			\$0.00	\$3,175.00	\$550.00	\$0.00	\$3,725.00
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Appeal Summary Report
Ambassador's Fund 1999

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb99	Ambassador Reg. '99	72	\$0.00	\$3,125.00	\$450.00	\$0.00	\$3,575.00
ind2000	Individuals 2000	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ph00	Phonathon 2000	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
		and Totals:	\$0.00	\$3,175.00	\$550.00	\$0.00	\$3,725.00

74 Gift(s) listed

73 Donor(s) listed

Fund Summary Report Ambassador's Road Race 2000 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ambroadrace00	Ambassador's Road Race 20	228	\$0.00	\$2,100.00	\$13,174.00	\$4,607.10	\$19,881.10
	228 Gift(s) listed						
	228 Donor(s) listed						
	and Totals:		\$0.00	\$2,100.00	\$13,174.00	\$4,607.10	\$19,881.10

Appeal Summary Report Ambassador's Road Race 2000 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ind2000	Individuals 2000	1	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
roadracereg00	Road Race Regist. 2000	210	\$0.00	\$0.00	\$5,209.00	\$0.00	\$5,209.00
roadracesp00	Road Race Sponsor 2000	7	\$0.00	\$2,000.00	\$7,500.00	\$0.00	\$9,500.00
roadraceraff00	Road Race Raffle Items 2000	9	\$0.00	\$100.00	\$0.00	\$4,607.10	\$4,707.10
rraffle00	Road Race Raffle Proceeds	1	\$0.00	\$0.00	\$430.00	\$0.00	\$430.00
and Totals:			\$0.00	\$2,100.00	\$13,174.00	\$4,607.10	\$19,881.10

228 Gift(s) listed

228 Donor(s) listed

MEMO

TO: Road Race Committee; Race Volunteers, and All Ambassadors
FROM: Peter J. Jacoby and Bob Zelinger - Co-Chairs
DATE: December 13, 1999
RE: **First Meeting Date**

The First meeting of the Ambassadors "Loop Around The Lake", Road Race Committee is scheduled for 8:00 AM, Thursday, January 13, 1999 in St. Mary's Hospital Medical/Dental Conference Center, Room G02 A&B. Any Ambassador who wishes to join the Committee should complete and return the form below.

The Race is planned for Saturday morning on May 13, the day before Mother's Day. Some of the Committee members have previous race planning experience and contacts to help make this a successful event. We need your participation to make the entire activity so good that runners and volunteers will look forward to the 2nd Annual Race.

Please tear off the attached response form, complete and return as soon as possible. Mark the date in your calendar today.

Road Race Committee as of December 13:

Peter Jacoby, Bob Zelinger, Joanne Frantzis, Warren Sarasin, Maureen Madden-Tardy, Robert Lubus, Natalie Barone-Lawlor, Michael McCormack, Kevin Morrison, Colleen Genovese, and Lenny Genovese.

Race Day Volunteers as of December 13:

Keith Lebeau, Pam Monahan, Joe McGrath (racing), Selim Noujaim (racing), Keith Sullivan, Bill Campion, and Carrie Zimyeski.

_____ *Yes, I plan to be at the Road Race Committee meeting on January 13, 2000.*

_____ *No, I am unable to attend on January 13, but would like to be on the Committee.*

_____ *No, I am unable to attend and do not wish to be on the Committee.*

Name (Please Print)

Date

Return/Fax/E-Mail:
St. Mary's Hospital Foundation
56 Franklin Street
Waterbury, CT 06706
(203)574-6390 – phone
(203)597-3703 – fax
Foundation@stmh.org

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Fund Summary Report Ambassador's Fund 2001

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb01	Ambassador's Fund 2001	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
	1 Gift(s) listed		\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
	1 Donor(s) listed						
	and Totals:		\$0.00	\$0.00	\$50.00	\$0.00	\$50.00

Appeal Summary Report Ambassador's Fund 2001

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb01	Ambassador's Reg. '01	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
1 Gift(s) listed							
1 Donor(s) listed							
and Totals:			\$0.00	\$0.00	\$50.00	\$0.00	\$50.00

Fund Summary Report Ambassador's Road Race 2001 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ambroadrace01	Ambassador's Road Race 20	250	\$0.00	\$0.00	\$15,527.00	\$6,361.50	\$21,888.50

and Totals:

250 Gift(s) listed

244 Donor(s) listed

			\$0.00	\$0.00	\$15,527.00	\$6,361.50	\$21,888.50
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Appeal Summary Report Ambassador's Road Race 2001 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
roadracereg01	Road Race Registration 2001	228	\$0.00	\$0.00	\$5,750.00	\$0.00	\$5,750.00
roadracesp01	Road Race Sponsor 2001	7	\$0.00	\$0.00	\$9,000.00	\$500.00	\$9,500.00
roadraceraff01	Road Race Raffle Items 2001	8	\$0.00	\$0.00	\$0.00	\$5,861.50	\$5,861.50
raffle01	Road Race 2001 Raffle Proce	1	\$0.00	\$0.00	\$280.00	\$0.00	\$280.00
rretail01	Road Race 2001 Retail Proce	6	\$0.00	\$0.00	\$497.00	\$0.00	\$497.00
and Totals:			\$0.00	\$0.00	\$15,527.00	\$6,361.50	\$21,888.50

250 Gift(s) listed

244 Donor(s) listed

Fund Summary Report Ambassador's Fund 2002

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb02	Ambassador's Fund 2002	16	\$0.00	\$0.00	\$450.00	\$0.00	\$450.00
16 Gift(s) listed							
16 Donor(s) listed							
	and Totals:		\$0.00	\$0.00	\$450.00	\$0.00	\$450.00

Appeal Summary Report Ambassador's Fund 2002

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb02	Ambassador's Reg. '02	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
roadracereg02	Road Race Registration 2002	15	\$0.00	\$0.00	\$400.00	\$0.00	\$400.00
and Totals:			\$0.00	\$0.00	\$450.00	\$0.00	\$450.00

16 Gift(s) listed

16 Donor(s) listed

Ambassador's Road Race 2002 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ambroadrace02	Ambassador's Road Race 20	287	\$0.00	\$1,500.00	\$12,606.00	\$7,175.00	\$21,281.00
287 Gift(s) listed							
283 Donor(s) listed							
	and Totals:		\$0.00	\$1,500.00	\$12,606.00	\$7,175.00	\$21,281.00

Appeal Summary Report Ambassador's Road Race 2002 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
roadracereg02	Road Race Registration 2002	266	\$0.00	\$0.00	\$6,441.00	\$0.00	\$6,441.00
roadracesp02	Road Race Sponsor 2002	10	\$0.00	\$1,500.00	\$6,000.00	\$5,000.00	\$12,500.00
roadraceraff02	Road Race Raffle Items 2002	10	\$0.00	\$0.00	\$0.00	\$2,175.00	\$2,175.00
rraffle02	Road Race 2002 Raffle Proce	1	\$0.00	\$0.00	\$165.00	\$0.00	\$165.00
287 Gift(s) listed			\$0.00	\$1,500.00	\$12,606.00	\$7,175.00	\$21,281.00
283 Donor(s) listed							

FOR IMMEDIATE RELEASE

SUBJECT: St. Mary's Hospital hosts 2002 Quassy Loop

CONTACT: Janis Hogan, Director, Marketing and Community Relations,
203/574-6214

DATE: March 5, 2002

MIDDLEBURY – St. Mary's Hospital is making tracks for the third annual Quassy Loop, a fund-raising road race that offers a competitive challenge for serious runners and spirited outdoor celebration for families.

The 2002 Quassy Loop takes place Saturday, May 5, beginning and ending at Quassy Amusement Park in Middlebury. Funds raised help support health care for children in the Central Naugatuck Valley.

The 12K trek around Lake Quassapaug attracted almost 250 athletes last year and hundreds of family, friends, supporters and volunteers. More than \$8,000 was raised to help support the many programs and services provided by St. Mary's Hospital for the physical and emotional well-being of families in Greater Waterbury.

The Quassy Loop is a certified and timed competition scheduled for the early part of the 2002 running season. Last year's race attracted a variety

of runners, from weekend athletes to some of the most serious runners in the region.

“Because the race is held in early May, the Quassy Loop is an ideal opportunity for runners to tune up for the 2001 season whether they’re weekend athletes or competitive runners.” said Dr. Peter Jacoby, Director of the Emergency Department at St. Mary’s and a chairman of the event. “Last year’s runners agreed the terrain and trek offered a good, athletic challenge close to home before the season takes them elsewhere.”

To enhance the race’s attraction as a family event, St. Mary’s Hospital has invited the Greater Waterbury YMCA to take part. For the first time, children between the ages of 4 and 9 will be invited to participate in a 9 a.m. fun run before the official 10 a.m. start of the adult-portion of the race.

“Nothing strengthens the bonds of family like spending quality time together,” said Gary Steck, executive director of the Child Guidance Clinic of Greater Waterbury, and Quassy Loop co-chair. “Our hope is that families from throughout the area will come out for their own enjoyment and in the process help support the work of St. Mary’s Hospital.

Sponsors for the 2001 Quassy Loop also are gearing up for the second annual run of the race. Signature sponsors include Channel 8, Cardiology Associates of Waterbury and Select Energy Inc. WATR 1320-AM radio will

broadcast live from the race with Tom Chute, radio morning host, as the event's master of ceremonies. For sponsorship information, call the St. Mary's Hospital Foundation at (203) 574-6390.

Adult volunteers are also needed to help out the day of the race. For more information or to sign up to serve as runners, spotters and set up and break-down crews, call (203) 754-9361.

For more information about the children's fun run portion of the Quassy Loop, call Lee DiBella at the Greater Waterbury YMCA at 754-2181 ext. 116.

For more information about the adult race, call the Quassy Loop hotline at (203) 597-3022. An answering machine is available to record requests for Quassy Loop runner applications:

Fund Summary Report
Holiday Bear Mailing '98 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
holbear	Holiday Bear Mailing '98	267	\$0.00	\$0.00	\$7,765.00	\$0.00	\$7,765.00
	267 Gift(s) listed						
	265 Donor(s) listed						
	and Totals:		\$0.00	\$0.00	\$7,765.00	\$0.00	\$7,765.00

Appeal Summary Report Holiday Bear Mailing '98 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
holbear	Holiday Bear Mailing '98	267	\$0.00	\$0.00	\$7,765.00	\$0.00	\$7,765.00
267 Gift(s) listed							
265 Donor(s) listed							
	and Totals:		\$0.00	\$0.00	\$7,765.00	\$0.00	\$7,765.00

November, 1998

The holidays are a time to share with those you love. What if one of your children or grand children could not be home for your holiday family festivities?

Nine year old Tricia came to the Emergency Department of St. Mary's Hospital one night with abdominal pain. She was examined and appendicitis was suspected. She was admitted for observation/evaluation to the Pediatric Unit.

Her bloodwork showed that she had diabetes and would require insulin injections twice a day. Imagine being a nine year old and faced with this news that would change your life forever. The family soon learned that they needed to obtain a monitoring device to bring home with them to keep track of Tricia's blood sugar level but they were strapped for funds. The resources were found to donate a monitor to the family. Tricia was so excited when she received the monitor she exclaimed, "This is like Christmas, I never got something brand new before. I hope someone will do something for all the other children, too."

This holiday season, many children will face pain, surgery, and after effects of medication along with many long hours in a hospital bed. You can do something for these children. By making a donation of \$25, \$50, \$100 or more, you can make a child or new mother and baby happy this holiday season.

Your gift will let us bring a warm, cuddly Holiday Bear Hug to comfort a child or welcome a new baby who is in the hospital during the holiday season. Our Holiday Bear will help them through the long hours that they are away from home. You can even send them a special note along with your donation. Additional funds raised will be used to support St. Mary's where our medical staff is there everyday for the children and new babies. Just fill out the enclosed form and return it to St. Mary's Hospital Foundation and your Holiday Bear Hug will be delivered to a child in our Pediatric Unit or to a new baby in our Maternity Unit, ... and a copy of your note will be displayed in the department.

Please send your donation today so we can rush your Holiday Bear to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Imagine Tricia's reaction if she had received a new bear - your bear. Thank you for caring!

Sincerely,



Jaci Carroll
Co-Chair
Holiday Bear Hug Appeal

Larry Engelman, Esq.
Co-Chair
Holiday Bear Hug Appeal

Fund Summary Report Holiday Bear Mailing '99 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
holbear99	Holiday Bear Mailing '99	364	\$0.00	\$0.00	\$14,095.00	\$0.00	\$14,095.00
364 Gift(s) listed							
361 Donor(s) listed							
	and Totals:		\$0.00	\$0.00	\$14,095.00	\$0.00	\$14,095.00

Appeal Summary Report Holiday Bear Mailing '99 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
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holbear99	Holiday Bear Mailing '99	364	\$0.00	\$0.00	\$14,095.00	\$0.00	\$14,095.00
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and Totals:

			\$0.00	\$0.00	\$14,095.00	\$0.00	\$14,095.00
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364 Gift(s) listed

361 Donor(s) listed

November, 1999

Dear Friend:

The holidays are a time to share with those you love. What if one of your children or grand children could not be home for your holiday family festivities?

Five-year old "Christine" came to the Emergency Department at St. Mary's Hospital following a serious automobile accident which took the lives of several adults and children - among them, Christine's father.

Christine was admitted to our Pediatric Unit for observation. While sustaining no serious physical injuries, it was apparent to the staff that Christine was indeed injured. Her heart broken by the death of her father, she shied away from physical contact.

Attempting to initiate conversation, one of the staff RN's brought her a teddy bear, offering it to Christine as she sat down on a low chair beside her in the pediatric playroom. After a few moments, Christine took the bear and hugged it tightly. This gesture spoke more loudly than any words.

This holiday season, many children will face pain, surgery, and after effects of medication along with many long hours in a hospital bed. You can do something for these children. By making a donation of \$25, \$50, \$100 or more, you can make a child or new mother and baby happy this holiday season.

Your gift will let us bring a warm, cuddly Holiday Bear Hug to comfort a child or welcome a new baby who is in the hospital during the holiday season. Our Holiday Bear Hug will help them through the long hours that they are away from home. You can even send them a special note along with your donation. Additional funds raised will be used to support St. Mary's where our medical staff is there everyday for the children and new babies. Just fill out the enclosed form and return it to St. Mary's Hospital Foundation and your Holiday Bear Hug will be delivered to a child in our Pediatric Unit or to a new baby in our Maternity Unit, ... and a copy of your note will be displayed in the department.

Please send your donation today so we can rush your Holiday Bear Hug to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Imagine a child's reaction if they receive a new bear - your bear. Thank you for caring!

Sincerely,



Fritz Blasius
Co-Chairperson
Holiday Bear Hug Appeal



Sally W. Kernan
Co-Chairperson
Holiday Bear Hug Appeal

Enclosure

1971

Fund Summary Report Holiday Bear Mailing 2000 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
holbear00	Holiday Bear Mailing 2000	325	\$0.00	\$0.00	\$10,492.00	\$0.00	\$10,492.00
325 Gift(s) listed							
325 Donor(s) listed							
	and Totals:		\$0.00	\$0.00	\$10,492.00	\$0.00	\$10,492.00

Appeal Summary Report Holiday Bear Mailing 2000 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
holbear00	Holiday Bear Mailing 2000	325	\$0.00	\$0.00	\$10,492.00	\$0.00	\$10,492.00
325 Gift(s) listed							
325 Donor(s) listed							
	and Totals:		\$0.00	\$0.00	\$10,492.00	\$0.00	\$10,492.00

November, 2000

Dear Friend:

The holidays are a time to share with those you love. What if one of your children or grandchildren could not be home for your holiday family festivities?

The initial diagnosis of cancer is devastating, at any age. Paul's parents found it particularly difficult to accept. After all, Paul was only two when the cancer was found. The family traveled out of state for therapy every week for over two years.

When it became evident that his condition was terminal, his parents made the decision to keep him close to home where they and other family members might visit and offer comfort. Paul's family, as loving as any family could be, could not stay with him day and night. St. Mary's Hospital pediatric nurses reached out to Paul with a gift.

During his stay at St. Mary's Hospital, Paul adopted "Mr. Fuz", a large brown teddy bear given to him by a pediatric nurse. "Mr. Fuz" and Paul became inseparable. At four, it doesn't take much to put a smile on a child's face. Often, Paul could be found talking to his new friend, sometimes in the wee hours of a long night, sometimes as treatments were being administered. We'll never know the friendship that developed between Paul and "Mr. Fuz", but we know it provided some small measure of comfort and security to Paul and the nursing staff in his final days with us.

This holiday season, many children will face pain, surgery, and after effects of medication along with many long hours in a hospital bed. You can do something for these children. By making a donation of \$25, \$50, \$100 or more, you can make a child or new mother and baby happy this holiday season.

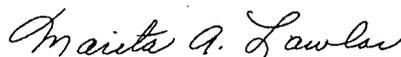
Your gift will let us bring a warm, cuddly Holiday Bear Hug to comfort a child or welcome a new baby who is in the hospital during the holiday season. Our Holiday Bear Hug will help them through the long hours that they are away from home. You can even send them a special note along with your donation. Additional funds raised will be used to support St. Mary's Hospital, where our medical staff is there everyday for the children and new babies. Just fill out the enclosed form and return it to St. Mary's Hospital Foundation and your Holiday Bear Hug will be delivered to a child in our Pediatric Unit or to a new baby in our Maternity Unit, ... and a copy of your note will be displayed in the department.

Please send your donation today so we can rush your Holiday Bear Hug to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Imagine a child's reaction if they receive a new bear - your bear. Thank you for caring!

Sincerely,



Jack Burke
Co-Chairperson
Holiday Bear Hug Appeal



Marita A. Lawlor
Co-Chairperson
Holiday Bear Hug Appeal

Fund Summary Report May Fund 1983

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may83	May Fund 1983	2	\$0.00	\$9,000.00	\$0.00	\$0.00	\$9,000.00
		and Totals:	\$0.00	\$9,000.00	\$0.00	\$0.00	\$9,000.00

2 Gift(s) listed
 1 Donor(s) listed

Fund Detail Report May Fund 1983

Constituent Name	Date	Fund Description	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind
Buell Industries, Inc.	1/5/1990	May Fund 1983	\$0.00	\$4,500.00	\$0.00	\$0.00
	2/15/1990	May Fund 1983	\$0.00	\$4,500.00	\$0.00	\$0.00
Grand Totals:			\$0.00	\$9,000.00	\$0.00	\$0.00

2 Gift(s) listed

1 Donor(s) listed

Fund Summary Report May Fund 1988

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may88	May Fund 1988	7	\$0.00	\$0.00	\$370.00	\$0.00	\$370.00

and Totals:

7 Gift(s) listed			\$0.00	\$0.00	\$370.00	\$0.00	\$370.00
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.7 Donor(s) listed

Appeal Summary Report May Fund 1988

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cor88	Corporations 1988	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem88	Remembrance 1988	1	\$0.00	\$0.00	\$15.00	\$0.00	\$15.00
ph88	Phonathon 1988	5	\$0.00	\$0.00	\$255.00	\$0.00	\$255.00
		and Totals:	\$0.00	\$0.00	\$370.00	\$0.00	\$370.00

7 Gift(s) listed

7 Donor(s) listed

Fund Summary Report May Fund 1989

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may89	May Fund 1989	61	\$0.00	\$375.00	\$4,810.50	\$0.00	\$5,185.50
61 Gift(s) listed							
34 Donor(s) listed							
	and Totals:		\$0.00	\$375.00	\$4,810.50	\$0.00	\$5,185.50

Appeal Summary Report May Fund 1989

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cop	Crusade of Prayer	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
ind89	Individuals 1989	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
mem89	Remembrance 1989	3	\$0.00	\$0.00	\$62.50	\$0.00	\$62.50
ph89	Phonathon 1989	56	\$0.00	\$375.00	\$4,713.00	\$0.00	\$5,088.00
		and Totals:	\$0.00	\$375.00	\$4,810.50	\$0.00	\$5,185.50

61 Gift(s) listed

34 Donor(s) listed

Appeal Summary Report May Fund 1990

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
aux90	Auxiliary 1990	1	\$0.00	\$0.00	\$79,000.00	\$0.00	\$79,000.00
cop	Crusade of Prayer	193	\$0.00	\$0.00	\$1,049.00	\$0.00	\$1,049.00
cor90	Corporations 1990	64	\$0.00	\$0.00	\$8,061.79	\$0.00	\$8,061.79
est	Estates	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
fund90	Foundations 1990	4	\$0.00	\$0.00	\$1,600.00	\$0.00	\$1,600.00
ind90	Individuals 1990	2	\$0.00	\$0.00	\$410.00	\$0.00	\$410.00
lif90	Lifeline 1990	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
mem90	Remembrance 1990	72	\$0.00	\$0.00	\$4,493.00	\$0.00	\$4,493.00
ph90	Phonathon 1990	17	\$0.00	\$0.00	\$4,720.00	\$0.00	\$4,720.00
sch90	School of Nsg. 1990	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
trust	Trust Distribution	1	\$0.00	\$0.00	\$2,000.00	\$0.00	\$2,000.00
and Totals:			\$0.00	\$0.00	\$103,083.79	\$0.00	\$103,083.79

357 Gift(s) listed

181 Donor(s) listed

Fund Summary Report May Fund 1991

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may91	May Fund 1991	343	\$0.00	\$5,202.00	\$35,518.81	\$0.00	\$40,720.81
	343 Gift(s) listed		\$0.00	\$5,202.00	\$35,518.81	\$0.00	\$40,720.81
	222 Donor(s) listed						

and Totals:

Appeal Summary Report May Fund 1991

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
chesh	Cheshire letter 9/91	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
cop	Crusade of Prayer	141	\$0.00	\$0.00	\$868.00	\$0.00	\$868.00
cor91	Corporations 1991	25	\$0.00	\$4,550.00	\$3,937.65	\$0.00	\$8,487.65
est	Estates	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
fund91	Foundations 1991	2	\$0.00	\$250.00	\$2,500.00	\$0.00	\$2,750.00
ind91	Individuals 1991	20	\$0.00	\$377.00	\$12,256.16	\$0.00	\$12,633.16
mem91	Remembrance 1991	153	\$0.00	\$0.00	\$10,957.00	\$0.00	\$10,957.00
	and Totals:		\$0.00	\$5,202.00	\$35,518.81	\$0.00	\$40,720.81

343 Gift(s) listed

222 Donor(s) listed

Fund Summary Report May Fund 1992

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may92	May Fund 1992	2,005	\$0.00	\$114,029.82	\$5,571.25	\$200.00	\$119,801.07
	2,005 Gift(s) listed						
	1,806 Donor(s) listed						
	and Totals:		\$0.00	\$114,029.82	\$5,571.25	\$200.00	\$119,801.07

Appeal Summary Report May Fund 1992

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
bus92	Bus. Drive 1992	28	\$0.00	\$2,765.00	\$0.00	\$0.00	\$2,765.00
cop	Crusade of Prayer	142	\$0.00	\$0.00	\$875.00	\$0.00	\$875.00
fn92	Foundations 1992	1	\$0.00	\$148.00	\$0.00	\$0.00	\$148.00
ind92	Individuals 1992	19	\$0.00	\$487.35	\$0.00	\$0.00	\$487.35
junnl	June Newsletter	48	\$0.00	\$1,350.00	\$0.00	\$0.00	\$1,350.00
maj92	Major Gifts 1992	71	\$0.00	\$42,900.00	\$0.00	\$200.00	\$43,100.00
mem92	Remembrance 1992	133	\$0.00	\$0.00	\$4,496.25	\$0.00	\$4,496.25
ph92	Phonathon 1992	1,476	\$0.00	\$51,589.47	\$0.00	\$0.00	\$51,589.47
wnd92	Mid Winter Night's Dream	87	\$0.00	\$14,790.00	\$200.00	\$0.00	\$14,990.00
and Totals:				\$114,029.82	\$5,571.25	\$200.00	\$119,801.07

2,005 Gift(s) listed

1,806 Donor(s) listed

Fund Summary Report May Fund 1993

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may93	May Fund 1993	1,951	\$0.00	\$234,884.65	\$7,325.00	\$0.00	\$242,209.65
	1,951 Gift(s) listed		\$0.00	\$234,884.65	\$7,325.00	\$0.00	\$242,209.65
	1,718 Donor(s) listed						

and Totals:

Appeal Summary Report May Fund 1993

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
bus93	Bus. Drive 1993	5	\$0.00	\$745.00	\$0.00	\$0.00	\$745.00
cop	Crusade of Prayer	104	\$0.00	\$0.00	\$760.00	\$0.00	\$760.00
est93	Estates 1993	3	\$0.00	\$63,037.39	\$0.00	\$0.00	\$63,037.39
fund93	Foundations 1993	4	\$0.00	\$1,734.00	\$0.00	\$0.00	\$1,734.00
ind93	Individuals 1993	26	\$0.00	\$575.65	\$0.00	\$0.00	\$575.65
junnl	June Newsletter	2	\$0.00	\$110.00	\$0.00	\$0.00	\$110.00
maj93	Major Gifts 1993	33	\$0.00	\$32,582.66	\$0.00	\$0.00	\$32,582.66
mem93	Remembrance 1993	155	\$0.00	\$0.00	\$6,555.00	\$0.00	\$6,555.00
ph93	Phonathon 1993	1,469	\$0.00	\$55,320.00	\$10.00	\$0.00	\$55,330.00
trust	Trust Distribution	3	\$0.00	\$42,079.95	\$0.00	\$0.00	\$42,079.95
wnd93	Winter Night's Dream '93	147	\$0.00	\$38,700.00	\$0.00	\$0.00	\$38,700.00
				and Totals:	\$7,325.00	\$0.00	\$242,209.65

1,951 Gift(s) listed

1,718 Donor(s) listed

Fund Summary Report May Fund 1994

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may94	May Fund 1994	2,166	\$0.00	\$288,812.48	\$4,291.09	\$8,250.00	\$301,353.57

and Totals:

2,166 Gift(s) listed

1,953 Donor(s) listed

\$0.00

\$288,812.48

\$4,291.09

\$8,250.00

\$301,353.57

Appeal Summary Report May Fund 1994

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl94	Annual Report Mailing '94	33	\$0.00	\$7,300.00	\$0.00	\$0.00	\$7,300.00
bus94	Business Drive 1994	1	\$0.00	\$200.00	\$0.00	\$0.00	\$200.00
cop	Crusade of Prayer	122	\$0.00	\$0.00	\$698.00	\$0.00	\$698.00
dml94	Direct Mail Piece 1994	101	\$0.00	\$2,933.00	\$0.00	\$0.00	\$2,933.00
est94	Estates 1994	6	\$0.00	\$164,593.42	\$0.00	\$0.00	\$164,593.42
fnd94	Foundations 1994	2	\$0.00	\$550.00	\$0.00	\$0.00	\$550.00
ind94	Individuals 1994	34	\$0.00	\$762.70	\$15.09	\$0.00	\$777.79
maj94	Major Gifts 1994	18	\$0.00	\$9,712.50	\$100.00	\$8,250.00	\$18,062.50
mem94	Remembrance 1994	103	\$0.00	\$0.00	\$3,478.00	\$0.00	\$3,478.00
ph94	Phonathon 1994	1,745	\$0.00	\$62,827.63	\$0.00	\$0.00	\$62,827.63
trust	Trust Distribution	1	\$0.00	\$39,933.23	\$0.00	\$0.00	\$39,933.23
				and Totals:	\$4,291.09	\$8,250.00	\$301,353.57

2,166 Gift(s) listed
1,953 Donor(s) listed

Fund Summary Report May Fund 1995

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may95	May Fund 1995	367	\$0.00	\$86,430.52	\$6,334.50	\$2,544.00	\$95,309.02

and Totals:

367 Gift(s) listed

285 Donor(s) listed

\$0.00

\$86,430.52

\$6,334.50

\$2,544.00

\$95,309.02

Appeal Summary Report May Fund 1995

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl95	Annual Report Mailing '95	55	\$0.00	\$11,395.00	\$0.00	\$0.00	\$11,395.00
bus95	Business Drive 1995	51	\$0.00	\$4,535.00	\$100.00	\$0.00	\$4,635.00
cop	Crusade of Prayer	104	\$0.00	\$0.00	\$569.50	\$0.00	\$569.50
dml95	Direct Mail Piece 1995	18	\$0.00	\$899.00	\$0.00	\$0.00	\$899.00
est95	Estates 1995	3	\$0.00	\$57,740.22	\$0.00	\$0.00	\$57,740.22
fnd95	Foundations 1995	2	\$0.00	\$623.00	\$0.00	\$0.00	\$623.00
ind95	Individuals 1995	8	\$0.00	\$267.15	\$0.00	\$0.00	\$267.15
jcor95	Joint Corporate	2	\$0.00	\$570.00	\$0.00	\$0.00	\$570.00
maj95	Major Gifts 1995	18	\$0.00	\$10,401.15	\$0.00	\$2,544.00	\$12,945.15
mem95	Remembrance 1995	106	\$0.00	\$0.00	\$5,665.00	\$0.00	\$5,665.00
and Totals:							
			\$0.00	\$86,430.52	\$6,334.50	\$2,544.00	\$95,309.02

367 Gift(s) listed

285 Donor(s) listed

Fund Su. Monthly Report May Fund 1996

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may96	May Fund 1996	270	\$0.00	\$97,823.33	\$5,278.15	\$0.00	\$103,101.48
		and Totals:	\$0.00	\$97,823.33	\$5,278.15	\$0.00	\$103,101.48

270 Gift(s) listed

188 Donor(s) listed

Appeal Summary Report May Fund 1996

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl96	Annual Report Mailing '96	33	\$0.00	\$8,175.00	\$0.00	\$0.00	\$8,175.00
cop	Crusade of Prayer	92	\$0.00	\$0.00	\$515.00	\$0.00	\$515.00
cor96	Corporations 1996	3	\$0.00	\$750.00	\$0.00	\$0.00	\$750.00
est96	Estates 1996	5	\$0.00	\$71,310.68	\$0.00	\$0.00	\$71,310.68
fnd96	Foundations 1996	2	\$0.00	\$721.00	\$0.00	\$0.00	\$721.00
ind96	Individuals 1996	26	\$0.00	\$667.65	\$816.00	\$0.00	\$1,483.65
maj96	Major Gifts 1996	14	\$0.00	\$16,199.00	\$0.00	\$0.00	\$16,199.00
mem96	Remembrance 1996	95	\$0.00	\$0.00	\$3,947.15	\$0.00	\$3,947.15
		and Totals:	\$0.00	\$97,823.33	\$5,278.15	\$0.00	\$103,101.48

270 Gift(s) listed

188 Donor(s) listed

Fund Statement Report May Fund 1997

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may97	May Fund 1997	301	\$0.00	\$38,533.27	\$32,153.50	\$1,300.00	\$71,986.77
	301 Gift(s) listed		\$0.00	\$38,533.27	\$32,153.50	\$1,300.00	\$71,986.77
	214 Donor(s) listed						

and Totals:

Appeal Summary Report May Fund 1997

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl97	Annual Report Mailing '97	50	\$0.00	\$7,250.00	\$7,600.00	\$0.00	\$14,850.00
cop	Crusade of Prayer	94	\$0.00	\$0.00	\$624.00	\$0.00	\$624.00
cor97	Corporations 1997	6	\$0.00	\$1,300.00	\$4,400.00	\$0.00	\$5,700.00
est97	Estates 1997	5	\$0.00	\$11,535.69	\$0.00	\$0.00	\$11,535.69
fund97	Foundations 1997	4	\$0.00	\$250.00	\$1,252.00	\$0.00	\$1,502.00
ind97	Individuals 1997	48	\$0.00	\$799.83	\$1,530.00	\$1,300.00	\$3,629.83
maj97	Major Gifts 1997	20	\$0.00	\$17,397.75	\$2,850.00	\$0.00	\$20,247.75
mem97	Remembrance 1997	73	\$0.00	\$0.00	\$13,887.50	\$0.00	\$13,887.50
rem97	Past Remembrances	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
and Totals:			\$0.00	\$38,533.27	\$32,153.50	\$1,300.00	\$71,986.77

301 Gift(s) listed

214 Donor(s) listed

Fund Summary Report May Fund 1998

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may98	May Fund 1998	395	\$0.00	\$1,816.02	\$127,272.22	\$4,995.00	\$134,083.24

and Totals:

\$1,816.02

\$127,272.22

\$4,995.00

\$134,083.24

395 Gift(s) listed

306 Donor(s) listed

Appeal Summary Report May Fund 1998

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
acq98	Acquisition Mailing '98	86	\$0.00	\$0.00	\$3,083.00	\$0.00	\$3,083.00
anl98	Annual Report 1998	42	\$0.00	\$0.00	\$3,510.00	\$0.00	\$3,510.00
cop	Crusade of Prayer	101	\$0.00	\$0.00	\$866.00	\$0.00	\$866.00
cor98	Corporations 1998	8	\$0.00	\$0.00	\$5,600.00	\$0.00	\$5,600.00
est98	Estates 1998	4	\$0.00	\$0.00	\$67,810.59	\$0.00	\$67,810.59
find98	Foundations 1998	6	\$0.00	\$0.00	\$2,375.00	\$0.00	\$2,375.00
ind98	Individuals 1998	29	\$0.00	\$816.02	\$1,631.00	\$4,995.00	\$7,442.02
maj98	Major Gifts 1998	17	\$0.00	\$0.00	\$28,372.63	\$0.00	\$28,372.63
mem98	Remembrance 1998	95	\$0.00	\$0.00	\$4,674.00	\$0.00	\$4,674.00
,ph98	Phonathon 1998	1	\$0.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00
rem98	Past Remembrances 1998	6	\$0.00	\$0.00	\$9,350.00	\$0.00	\$9,350.00
	and Totals:		\$0.00	\$1,816.02	\$127,272.22	\$4,995.00	\$134,083.24

395 Gift(s) listed

306 Donor(s) listed

Fund Summary Report May Fund 1999

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may99	May Fund 1999	337	\$0.00	\$5,000.00	\$145,959.67	\$1,569.75	\$152,529.42
		and Totals:	\$0.00	\$5,000.00	\$145,959.67	\$1,569.75	\$152,529.42

337 Gift(s) listed

258 Donor(s) listed

Appeal Summary Report May Fund 1999

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
amb98	Ambassador's Registration F	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
anl99	Annual Report 1999	19	\$0.00	\$0.00	\$5,100.00	\$0.00	\$5,100.00
cop	Crusade of Prayer	92	\$0.00	\$0.00	\$875.00	\$0.00	\$875.00
cor99	Corporations 1999	10	\$0.00	\$0.00	\$6,300.00	\$125.00	\$6,425.00
est99	Estates 1999	5	\$0.00	\$5,000.00	\$92,459.17	\$0.00	\$97,459.17
fund99	Foundations 1999	5	\$0.00	\$0.00	\$3,059.00	\$0.00	\$3,059.00
ind99	Individuals 1999	26	\$0.00	\$0.00	\$1,748.50	\$1,404.50	\$3,153.00
maj99	Major Gifts 1999	8	\$0.00	\$0.00	\$8,050.00	\$40.25	\$8,090.25
mem99	Remembrance 1999	161	\$0.00	\$0.00	\$8,618.00	\$0.00	\$8,618.00
rem99	Past Remembrances '99	9	\$0.00	\$0.00	\$18,700.00	\$0.00	\$18,700.00
wnd1999	Gala '99 Ticket Sales	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
and Totals:			\$0.00	\$5,000.00	\$145,959.67	\$1,569.75	\$152,529.42

337 Gift(s) listed

258 Donor(s) listed

Fund Summary Report May Fund 2000

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may00	May Fund 2000	695	\$0.00	\$5,276.94	\$86,445.28	\$100,645.76	\$192,367.98

and Totals:

695 Gift(s) listed

457 Donor(s) listed

\$0.00 \$5,276.94 \$86,445.28 \$100,645.76 \$192,367.98

Appeal Summary Report

May Fund 2000

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cop-	Crusade of Prayer	88	\$0.00	\$0.00	\$702.00	\$0.00	\$702.00
cor2000	Corporations 2000	17	\$0.00	\$0.00	\$12,800.00	\$100,402.68	\$113,202.68
cor98	Corporations 1998	1	\$0.00	\$0.00	\$243.00	\$0.00	\$243.00
Estates 2000	Estates 2000	3	\$0.00	\$1,000.00	\$10,043.06	\$0.00	\$11,043.06
fnf2000	Foundations 2000	6	\$0.00	\$0.00	\$5,771.83	\$0.00	\$5,771.83
ind2000	Individuals 2000	22	\$0.00	\$0.00	\$835.00	\$243.08	\$1,078.08
maj2000	Major Gifts 2000	19	\$0.00	\$0.00	\$16,850.00	\$0.00	\$16,850.00
maj92	Major Gifts 1992	1	\$0.00	\$4,046.94	\$0.00	\$0.00	\$4,046.94
maj98	Major Gifts 1998	2	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00
maj99	Major Gifts 1999	2	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
mem2000	Remembrance 2000	220	\$0.00	\$0.00	\$23,094.00	\$0.00	\$23,094.00
mem90	Remembrance 1990	34	\$0.00	\$0.00	\$1,231.39	\$0.00	\$1,231.39
mem93	Remembrance 1993	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
mem94	Remembrance 1994	13	\$0.00	\$0.00	\$545.00	\$0.00	\$545.00
mem95	Remembrance 1995	2	\$0.00	\$0.00	\$120.00	\$0.00	\$120.00
mem96	Remembrance 1996	8	\$0.00	\$0.00	\$691.00	\$0.00	\$691.00
mem97	Remembrance 1997	4	\$0.00	\$0.00	\$190.00	\$0.00	\$190.00
mem98	Remembrance 1998	15	\$0.00	\$0.00	\$1,670.00	\$0.00	\$1,670.00
mlh	Mary's Little Helpers	217	\$0.00	\$230.00	\$6,324.00	\$0.00	\$6,554.00
phys2000	Phys. Annual Appeal 2000	8	\$0.00	\$0.00	\$2,550.00	\$0.00	\$2,550.00
rem2000	Past Remembrances 2000	12	\$0.00	\$0.00	\$1,885.00	\$0.00	\$1,885.00
and Totals:							
			\$0.00	\$5,276.94	\$86,445.28	\$100,645.76	\$192,367.98

695 Gift(s) listed

457 Donor(s) listed

Fund Summary Report May Fund 2001

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may01	May Fund 2001	1,272	\$0.00	\$22,742.00	\$145,306.74	\$1,620.00	\$169,668.74
	1,272 Gift(s) listed		\$0.00	\$22,742.00	\$145,306.74	\$1,620.00	\$169,668.74
	1,126 Donor(s) listed						

and Totals:

Appeal Summary Report May Fund 2001

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
cop	Crusade of Prayer	82	\$0.00	\$0.00	\$809.00	\$0.00	\$809.00
cor2001	Corporations 2001	11	\$0.00	\$0.00	\$13,000.00	\$1,620.00	\$14,620.00
estates2001	Estates 2001	4	\$0.00	\$1,700.00	\$70,813.13	\$0.00	\$72,513.13
fund2001	Foundations 2001	5	\$0.00	\$0.00	\$7,868.61	\$0.00	\$7,868.61
fundam0501	Fundamentals May 2001	103	\$0.00	\$0.00	\$5,133.00	\$0.00	\$5,133.00
fundam2001	Fundamentals Newsletter 200	161	\$0.00	\$0.00	\$16,561.00	\$0.00	\$16,561.00
holbear00	Holiday Bear Mailing 2000	14	\$0.00	\$0.00	\$615.00	\$0.00	\$615.00
ind2001	Individuals 2001	15	\$0.00	\$0.00	\$402.00	\$0.00	\$402.00
insights01	Insights May 2001 Newsletter	14	\$0.00	\$0.00	\$800.00	\$0.00	\$800.00
lester01	Lester Campaign 2001	754	\$0.00	\$21,042.00	\$240.00	\$0.00	\$21,282.00
maj2001	Major Gifts 2001	13	\$0.00	\$0.00	\$20,350.00	\$0.00	\$20,350.00
mem2001	Remembrance 2001	76	\$0.00	\$0.00	\$3,700.00	\$0.00	\$3,700.00
partners01	Partners Mailing 2001	7	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
rem2001	Past Remembrances 2001	12	\$0.00	\$0.00	\$3,745.00	\$0.00	\$3,745.00
spanish01	Spanish Mailing 9/01	1	\$0.00	\$0.00	\$20.00	\$0.00	\$20.00
and Totals:					\$145,306.74	\$1,620.00	\$169,668.74

1,272 Gift(s) listed
1,126 Donor(s) listed

November, 2000

Dear Friend:

The holidays are a time to share with those you love. What if one of your children or grandchildren could not be home for your holiday family festivities?

The initial diagnosis of cancer is devastating, at any age. Paul's parents found it particularly difficult to accept. After all, Paul was only two when the cancer was found. The family traveled out of state for therapy every week for over two years.

When it became evident that his condition was terminal, his parents made the decision to keep him close to home where they and other family members might visit and offer comfort. Paul's family, as loving as any family could be, could not stay with him day and night. St. Mary's Hospital pediatric nurses reached out to Paul with a gift.

During his stay at St. Mary's Hospital, Paul adopted "Mr. Fuz", a large brown teddy bear given to him by a pediatric nurse. "Mr. Fuz" and Paul became inseparable. At four, it doesn't take much to put a smile on a child's face. Often, Paul could be found talking to his new friend, sometimes in the wee hours of a long night, sometimes as treatments were being administered. We'll never know the friendship that developed between Paul and "Mr. Fuz"; but we know it provided some small measure of comfort and security to Paul and the nursing staff in his final days with us.

This holiday season, many children will face pain, surgery, and after effects of medication along with many long hours in a hospital bed. You can do something for these children. By making a donation of \$25, \$50, \$100 or more, you can make a child or new mother and baby happy this holiday season.

Your gift will let us bring a warm, cuddly Holiday Bear Hug to comfort a child or welcome a new baby who is in the hospital during the holiday season. Our Holiday Bear Hug will help them through the long hours that they are away from home. You can even send them a special note along with your donation. Additional funds raised will be used to support St. Mary's Hospital, where our medical staff is there everyday for the children and new babies. Just fill out the enclosed form and return it to St. Mary's Hospital Foundation and your Holiday Bear Hug will be delivered to a child in our Pediatric Unit or to a new baby in our Maternity Unit, ... and a copy of your note will be displayed in the department.

Please send your donation today so we can rush your Holiday Bear Hug to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Imagine a child's reaction if they receive a new bear - your bear. Thank you for caring!

Sincerely,



Jack Burke
Co-Chairperson
Holiday Bear Hug Appeal



Marita A. Lawlor
Co-Chairperson
Holiday Bear Hug Appeal

Fund Summary Report May Fund 2002

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may02	May Fund 2002	2,201	\$0.00	\$358,468.00	\$125,680.79	\$9,173.50	\$493,322.29

and Totals:

2,201 Gift(s) listed			\$0.00	\$358,468.00	\$125,680.79	\$9,173.50	\$493,322.29
1,868 Donor(s) listed							

Appeal Summary Report May Fund 2002

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
an100	Annual Report 2000-2001	26	\$0.00	\$0.00	\$6,260.00	\$0.00	\$6,260.00
cop	Crusade of Prayer	56	\$0.00	\$0.00	\$762.00	\$0.00	\$762.00
cor2002	Corporations 2002	8	\$0.00	\$0.00	\$7,650.00	\$132.00	\$7,782.00
dml2001	Direct Mail Piece 2001	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
dml2002	Direct Mail Piece 2002	183	\$0.00	\$0.00	\$7,221.20	\$0.00	\$7,221.20
Endowment 2002	Endowment 2002	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
Est02	Estates 2002	3	\$0.00	\$300,000.00	\$1,352.86	\$0.00	\$301,352.86
fund2002	Foundations 2002	4	\$0.00	\$0.00	\$12,716.33	\$0.00	\$12,716.33
fundam0402	Fundamentals April 2002	58	\$0.00	\$0.00	\$6,780.00	\$0.00	\$6,780.00
fundam0501	Fundamentals May 2001	3	\$0.00	\$0.00	\$1,052.00	\$0.00	\$1,052.00
fundam1101	Fundamentals November 200	54	\$0.00	\$0.00	\$5,190.00	\$0.00	\$5,190.00
grant	Grant	2	\$0.00	\$0.00	\$1,425.00	\$0.00	\$1,425.00
holbear01	Holiday Bear Mailing 2001	276	\$0.00	\$0.00	\$9,646.00	\$0.00	\$9,646.00
ind2002	Individuals 2002	22	\$0.00	\$0.00	\$1,132.66	\$142.72	\$1,275.38
insights01	Insights May 2001 Newsletter	3	\$0.00	\$0.00	\$700.00	\$0.00	\$700.00
Legacy0702	Legacy Appeal Mailing 7/02	2	\$0.00	\$0.00	\$275.00	\$0.00	\$275.00
lester01	Lester Campaign 2001	6	\$0.00	\$25.00	\$115.00	\$0.00	\$140.00
lester02	Lester Campaign 2002	437	\$0.00	\$9,050.00	\$735.00	\$0.00	\$9,785.00
maj2002	Major Gifts 2002	10	\$0.00	\$0.00	\$43,777.74	\$5,979.70	\$49,757.44
mem2002	Remembrance 2002	105	\$0.00	\$0.00	\$4,203.00	\$0.00	\$4,203.00
partners01	Partners Mailing 2001	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
partners0102	Partners Mailing January 200	3	\$0.00	\$0.00	\$650.00	\$0.00	\$650.00
partners0402	Partners Mailing April 2002	2	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
ph2002	Phonathon 2002	867	\$0.00	\$49,393.00	\$8,012.00	\$0.00	\$57,405.00
phraff02	Phonathon 2002 Raffle Prizes	36	\$0.00	\$0.00	\$0.00	\$2,919.08	\$2,919.08
phys2002	Phys. Annual Appeal 2002	20	\$0.00	\$0.00	\$3,445.00	\$0.00	\$3,445.00
rem2002	Past Remembrances 2002	10	\$0.00	\$0.00	\$1,300.00	\$0.00	\$1,300.00
spanish01	Spanish Mailing 9/01	2	\$0.00	\$0.00	\$30.00	\$0.00	\$30.00

Appeal Summary Report May Fund 2002

and Totals:	\$0.00	\$358,468.00	\$125,680.79	\$9,173.50	\$493,322.29
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2,201 Gift(s) listed
 1,868 Donor(s) listed

November, 2001

Dear Friends,

Every holiday gives us the chance to make a difference in the lives of another person. The season of giving can take on even greater significance when it touches the life of a child.

The loss of a parent, at any age, is a devastating event of life. Imagine the trauma Jessica, an 11-year-old out for a ride with her Dad, faced as she recovered from severe physical injuries sustained in a car accident that took her Dad's life.

At St. Mary's Hospital, Jessica received all the physical and emotional support the medical and nursing staff could give to help in the healing process. She even received one of St. Mary's special Holiday Bear Hug bears as part of her recovery process.

Day after day, Jessica's family kept vigil at the hospital. One evening, a staff member found a rather forlorn-looking eight-year-old boy alone in the playroom on the pediatric unit. It was Jessica's brother, Timmy, amusing himself while his mother visited with Jessica and staff.

The staff member gave the young man a hug and disappeared, only to reappear with a Holiday Bear Hug bear in her arms. Eyes bright with anticipation, Timmy reached eagerly for the fuzzy companion. Now he had his own bear, just like Jessica.

Every holiday, many children find themselves in the hospital following surgery or with an illness that requires constant observation and treatment. Hospital hours, especially for children, can be long and lonely. Your contribution of \$25, \$50, \$100 or more may help make those hours more 'bearable'. You can even attach a special note to a child with your contribution—for the bear to deliver. Holiday Bears also go to mothers on the occasion of a new baby's arrival in the family.

This year, donations made toward St. Mary's Hospital Holiday Bear Hug bears will, in part, be used for our Pediatric and Neonatal Intensive Care Units. What a splendid way for you to contribute to the wellbeing and happiness of children at St. Mary's Hospital. Thank you for caring—and sharing in a very special way this holiday season.

Sincerely,

Martin M. Morrissey
Co-Chairperson
Holiday Bear Hug Appeal

Fund Summary Report May Fund 2003 Golf Tourney

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may03golf	May Fund 2003 Golf Tourney	172	\$0.00	\$50,800.00	\$70,842.00	\$9,743.98	\$131,385.98
		and Totals:	\$0.00	\$50,800.00	\$70,842.00	\$9,743.98	\$131,385.98

172 Gift(s) listed
 153 Donor(s) listed

Appeal Summary Report

May Fund 2003 Golf Tourney

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total	
doubles\$golf03	Double Your Money Raffle P	3	\$0.00	\$0.00	\$1,360.00	\$0.00	\$1,360.00	
golf2003	Golf Tournament 2003	50	\$0.00	\$7,050.00	\$16,175.00	\$0.00	\$23,225.00	
golfdonations03	Golf Donations 2003	2	\$0.00	\$0.00	\$275.00	\$0.00	\$275.00	
golfraff03	Golf '03 Raffle Prizes	45	\$0.00	\$0.00	\$0.00	\$6,225.98	\$6,225.98	
golfraffpro03	Golf Raffle Proceeds '03	13	\$0.00	\$0.00	\$2,150.00	\$0.00	\$2,150.00	
golfsilent03	Golf Silent Auction Proceeds 2	3	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00	
golfsp2003	Golf '2003 Sponsorships	56	\$0.00	\$43,750.00	\$50,282.00	\$3,518.00	\$97,550.00	
and Totals:					\$0.00	\$70,842.00	\$9,743.98	\$131,385.98

172 Gift(s) listed

153 Donor(s) listed

**Thank You...
Silent Auction & Givaway**

- Ra. Dono**
Adam Broderick Salon & Spa
Anthem
Brookside Inn Restaurant
Canada Dry/Snapple
Mike Carney, Watertown Golf Club Pro
Carmen Anthony Restaurant Group
Charcoal Chef Restaurant
Circa Bistro
Citizens Bank
Constantine's Restaurant
Crystal Rock Water Company
Dolce Heritage
Dolly Madison Inn & Restaurant
Drummond American
Dumouchel Paper Company
Exhibition Café and Catering
M. Alex Geertsma, M.D.
Hartford Stage
Holiday Inn Express of Waterbury
Home Care Professionals
IKON Office Solutions
The Jordan Group
Kanzaki
Killington Ski Resort
Lux Bond & Green
Matteo's Restaurant
Mystic Seaport
Mathews & Stephens Assoc.
Pepsi Co.
Play Big Golf
Ritz Camera Centers
San Marino Restaurant
Seven Angels Theatre
The Siemon Company
Siemens
Springfield Foods
Telehealth Services
Uniquely Yours Photography LLC
Waterbury Symphony Orchestra, Inc.
Waterford Hotel Group
Watertown Golf Club
Webster
Wesson Energy Inc.
Yale Repertory Theatre
Zackin Zimyeski Sullivan, LLC
- 2003 Golf Open**
Chairman
William Cofrances
Committee
Lisa Boudreau
Michael Curtin
Lou D'Auria
Terry Dudrick
Bernie Evans
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St. Mary's Hospital Foundation
2003



OPEN
GOLF TOURNAMENT
8th Annual

GOLF TOURNAMENT

Tuesday, September 9, 2003

Morning Flight 7:30 A.M. – 12:30 P.M.

Afternoon Flight 12:30 P.M. – 7:30 P.M.

Watertown Golf Club
236 Guernseytown Road
Watertown, CT

Proudly Title Sponsored By:



WEBSTER

We Find a Way

SCHEDULE FOR THE DAY

TITLE SPONSOR

Schedule: 1st Flight 2nd Flight

Webster

Registration: 6:45 a.m. 11:00 a.m.
 Driving Range: 6:45 a.m. 11:00 a.m.
 Continental Breakfast: 6:45 a.m.
 Lunch: 11:45 a.m. 11:45 a.m.
 Shotgun Start: 7:30 a.m. 12:30 p.m.
 Cocktails/Dinner: 5:30 p.m.

CHAIRMAN SPONSOR

Anthem

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AWARDS PRESENTATION, RAFFLE DRAWING

TOURNAMENT PRIZES

1st and 2nd Low Gross Team Score
 1st, 2nd, 3rd and 4th Low Net Team Score
 Closest to the Pin
 Closest to the Line
 Longest Drive – Men & Women

Prizes for first hole-in-one on all par 3 holes:

Hole #4: 2003 Chevrolet Cavalier
 Hole #9: GMAC Smartcare Certificate
 & Nike Forged Titanium Driver
 Hole #14: GM Card – Set of Nike Pro Combo Irons
 Hole #15: Nike Golf Balls – 36 Dozen Power Distance

Hole In One prizes generously donated by:

BLASIUS CHEVROLET & CADILLAC, INC.

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Blasius Chevrolet & Cadillac, Inc.

June 30, 2003

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«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For the past 95 years, Saint Mary's Hospital has played an important role in the greater Waterbury community. We continue to be a leader in the health care industry because of the continued support from the community.

Plans for our Eighth Annual Golf Open are underway. This year's tournament is scheduled for *Tuesday, September 9, 2003 at Watertown Golf Club*. We hope that you will consider being a sponsor this year.

As a sponsor, you not only receive company name recognition, but also a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect a sell out once again this year.

"Improving the health and quality of life in our community by providing a full spectrum of outstanding health care services that are accessible to everyone" is the mission of Saint Mary's Hospital. The hospital serves all that are in need of medical care and to do this it in turn, reaches out to the community to support its programs through events such as the golf tournament.

One of our committee members will give you a call in the next few weeks to confirm your commitment. In the meantime, you can return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



William L. Cofrances
Webster Insurance

2003 Chairman
Golf Open

Fund Summary Report May Fund 2003

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may03	May Fund 2003	1,025	\$0.00	\$16,850.00	\$105,051.58	\$6,018.40	\$127,919.98

and Totals:

1,025 Gift(s) listed

889 Donor(s) listed

\$0.00

\$16,850.00

\$105,051.58

\$6,018.40

\$127,919.98

Appeal Summary Report May Fund 2003

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
brd2003	Annual Board of Directors Ap	2	\$0.00	\$100.00	\$2,250.00	\$0.00	\$2,350.00
cop	Crusade of Prayer	57	\$0.00	\$0.00	\$692.00	\$0.00	\$692.00
cor2003	Corporations 2003	9	\$0.00	\$0.00	\$12,622.65	\$0.00	\$12,622.65
dml2002	Direct Mail Piece 2002	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
dml2003	Direct Mail Piece 2003	105	\$0.00	\$0.00	\$5,898.00	\$0.00	\$5,898.00
est	Estates	1	\$0.00	\$0.00	\$1,386.47	\$0.00	\$1,386.47
estates2003	Estates 2003	4	\$0.00	\$10,000.00	\$28,971.29	\$0.00	\$38,971.29
fnd2003	Foundations 2003	4	\$0.00	\$0.00	\$2,072.85	\$0.00	\$2,072.85
fundam0402	Fundamentals April 2002	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
fundam0403	Fundamentals April 2003	32	\$0.00	\$0.00	\$1,482.00	\$0.00	\$1,482.00
fundam1102	Fundamentals November 200	13	\$0.00	\$0.00	\$446.00	\$0.00	\$446.00
holbear02	Holiday Bear Mailing 2002	358	\$0.00	\$0.00	\$12,564.00	\$0.00	\$12,564.00
ind2003	Individuals 2003	22	\$0.00	\$1,200.00	\$835.32	\$0.00	\$2,035.32
legacy0603	Legacy Appeal Mailing 06/03	2	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00
legacy1202	Legacy Appeal Mailing 12/02	1	\$0.00	\$0.00	\$1.00	\$0.00	\$1.00
lester02	Lester Campaign 2002	3	\$0.00	\$0.00	\$60.00	\$0.00	\$60.00
mem2003	Remembrance 2003	87	\$0.00	\$0.00	\$5,300.00	\$0.00	\$5,300.00
partners0103	Partners Mailing January 200	16	\$0.00	\$0.00	\$885.00	\$0.00	\$885.00
partners0403	Partners Mailing April 2003	1	\$0.00	\$0.00	\$20.00	\$0.00	\$20.00
partners1002	Partners Mailing October 200	27	\$0.00	\$0.00	\$5,745.00	\$0.00	\$5,745.00
ph2002	Phonathon 2002	9	\$0.00	\$0.00	\$435.00	\$0.00	\$435.00
phys2003	Phys. Annual Appeal 2003	4	\$0.00	\$0.00	\$2,250.00	\$0.00	\$2,250.00
rem2003	Past Remembrances 2003	11	\$0.00	\$0.00	\$9,375.00	\$0.00	\$9,375.00
roadracereg03	Road Race Registration 2003	222	\$0.00	\$50.00	\$5,430.00	\$0.00	\$5,480.00
roadracesp03	Road Race Sponsor 2003	21	\$0.00	\$5,500.00	\$5,100.00	\$5,318.40	\$15,918.40
roadracraff03	Road Race Raffle Items 2003	8	\$0.00	\$0.00	\$0.00	\$700.00	\$700.00
rtraffle03	Road Race 2003 Raffle Proce	2	\$0.00	\$0.00	\$80.00	\$0.00	\$80.00
rrolhatsales03	Road Race Old Hat Sales 03	2	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00

Appeal Summary Report
May Fund 2003

and Totals:	\$0.00	\$16,850.00	\$105,051.58	\$6,018.40	\$127,919.98
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1,025 Gift(s) listed
 889 Donor(s) listed

4/16/03

Family-style music and entertainment along the race route. Fans are cordially invited to take in the fun as they cheer their favorite athletes.

This race is officially part of the USA Track & Field Road Racing Grand Prix. Trophies or medallions will be awarded to first, second and third place male and female finishers overall, as well as to the fastest male and female finisher in several age divisions from 12 and under to 70+. Winners will also be awarded in the wheelchair division. The race is certified by U.S. Track & Field. Timed results available online within 24 hours of the race.

Advance registration is required by April 25th. Cost to register in advance is \$20 per individual or \$40 per two-person team. Registration the day of the event is \$25 per individual or \$50 per team. Save money. Register now. [Click here](#) for a Registration Form you can print out and mail.

Quassy Loop T-shirts will be given to the first 300 entries. Hats will be given to the first 50 registrants. Free parking for all participants.

To volunteer the day of the event (you must be 14 years old or older) or for sponsorship information, call Saint Mary's Hospital Foundation at 203/574-6390.

November, 2002

Dear Friends,

Every holiday gives us the chance to make a difference in the lives of another person. The season of giving can take on even greater significance when it touches the life of a child.

As a Pediatric nurse, it is nice to be able to provide comfort in the form of a gift, such as a St. Mary's Hospital Holiday Bear Hug. The appreciation is seen instantly on the face of a child!

One of my most memorable gifts was to an 8-year-old boy, Matthew. Matthew came to this country when he was five years old. Prior to his arrival to the United States, Matthew received healthcare in his native country, and suffered complications from a fractured leg that healed improperly. He had to endure several surgeries after his arrival, resulting in a great deal of pain. During his second admission in three months Matthew had to undergo many dressing changes to his affected limb. The treatments were painful both physically and emotionally.

After one dressing change, I gave Matthew a Holiday Bear Hug. Once Matthew was presented with the bear, he stopped crying. The warmth and presence of the Bear gave him the comfort and consolation he needed.

-Eileen Rondeau, RN, Pediatrics

Every holiday, many children find themselves in the hospital following surgery or with an illness that requires constant observation and treatment. A hospital stay, especially for children, can be long and lonely. Your contribution of \$25, \$50, \$100 or more may help make those visits more 'bearable'. You can even attach a special note with your contribution— for the bear to deliver to a child. Holiday Bears also are presented to mothers on the occasion of a new baby's arrival in the family.

Please send your donation today so we can rush your Holiday Bear Hug to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Additional funds raised will be used to support St. Mary's Hospital where our medical staff is available everyday for the children and new babies. Imagine a child's reaction when he or she receives a new bear - your bear. What a splendid way for you to contribute to the well being and happiness of children at St. Mary's Hospital. Thank you for caring and sharing in a very special way this holiday season.

Sincerely,

Martin M. Morrissey
Chairperson
Holiday Bear Hug Appeal



Saint Mary's
HOSPITAL
Foundation

Fund Statement Report May Fund 2004

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may04	May Fund 2004	1,156	\$0.00	\$3,725.00	\$92,901.00	\$6,341.95	\$102,967.95
	1,156 Gift(s) listed		\$0.00	\$3,725.00	\$92,901.00	\$6,341.95	\$102,967.95
	1,022 Donor(s) listed						
	and Totals:						

Appeal Summary Report May Fund 2004

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
acq04	Acquisition Mailing '04	126	\$0.00	\$0.00	\$5,211.00	\$0.00	\$5,211.00
cop	Crusade of Prayer	34	\$0.00	\$0.00	\$615.00	\$0.00	\$615.00
cor2004	Corporations 2004	9	\$0.00	\$0.00	\$10,675.00	\$0.00	\$10,675.00
dml2003	Direct Mail Piece 2003	6	\$0.00	\$0.00	\$395.00	\$0.00	\$395.00
est	Estates	5	\$0.00	\$0.00	\$10,335.92	\$0.00	\$10,335.92
estates2004	Estates 2004	1	\$0.00	\$0.00	\$250.00	\$0.00	\$250.00
florida0304	Florida Appeal, March, 2004	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
fnd2004	Foundations 2004	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
fundam0204	Fundamentals Feb 2004	14	\$0.00	\$0.00	\$570.00	\$0.00	\$570.00
fundam0404	Fundamentals April 2004	70	\$0.00	\$0.00	\$2,843.00	\$0.00	\$2,843.00
fundam0804	Fundamentals August 2004	36	\$0.00	\$0.00	\$1,335.00	\$0.00	\$1,335.00
fundam1003	Fundamentals Oct 2003	94	\$0.00	\$0.00	\$7,522.00	\$0.00	\$7,522.00
grant	Grant	3	\$0.00	\$1,200.00	\$562.76	\$0.00	\$1,762.76
holbear03	Holiday Bear Mailing 2003	344	\$0.00	\$0.00	\$15,898.00	\$0.00	\$15,898.00
ind2004	Individuals 2004	12	\$0.00	\$0.00	\$2,617.96	\$0.00	\$2,617.96
mem2004	Remembrance 2004	113	\$0.00	\$0.00	\$10,551.00	\$0.00	\$10,551.00
onlineauction	On-Line Auction through Int	1	\$0.00	\$0.00	\$260.00	\$0.00	\$260.00
partners0403	Partners Mailing April 2003	1	\$0.00	\$0.00	\$15.00	\$0.00	\$15.00
phys2004	Phys. Annual Appeal 2004	4	\$0.00	\$0.00	\$823.86	\$0.00	\$823.86
rem2004	Past Remembrances 2004	11	\$0.00	\$0.00	\$8,285.00	\$0.00	\$8,285.00
roadracereg04	Road Race Registration 2004	245	\$0.00	\$25.00	\$5,985.00	\$0.00	\$6,010.00
roadracesp04	Road Race Sponsor 2004	9	\$0.00	\$2,500.00	\$6,600.00	\$0.00	\$9,100.00
roadracraft04	Road Race Raffle Items 2004	10	\$0.00	\$0.00	\$0.00	\$6,341.95	\$6,341.95
rraffle04	Road Race 2004 Raffle Proce	1	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
rrcashdonation04	Road Race 2004 Cash Donati	1	\$0.00	\$0.00	\$195.00	\$0.00	\$195.00
rroldhatsales04	Road Race Old Hat Sales 04	2	\$0.00	\$0.00	\$2.00	\$0.00	\$2.00
rroldtshirtsales04	Road Race Old T-Shirt Sales 0	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
tagsale	Tag Sale 12/03	1	\$0.00	\$0.00	\$633.50	\$0.00	\$633.50

Appeal Summary Report
May Fund 2004

and Totals:	\$0.00	\$3,725.00	\$92,901.00	\$6,341.95	\$102,967.95
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1,156 Gift(s) listed
 1,022 Donor(s) listed

November, 2003

Dear Friends,

Every holiday gives us the chance to make a difference in the lives of another person. The season of giving can take on even greater significance when it touches the life of a child.

As a Pediatric nurse, it is nice to be able to provide comfort in the form of a gift, such as a Saint Mary's Hospital Holiday Bear Hug. The appreciation is seen instantly on the face of a child!

One of my most memorable gifts was to a 3-year-old girl, "Christine". Christine, who is suffering from a chronic illness, tragically lost both of her parents last year to a terrible accident. On her next admission to the hospital, we gave her a Holiday bear. The bear put a huge smile on her face. It helped to have something to hug and hold onto. The warmth and presence of the Bear gave her the comfort and consolation she needed.

-Eileen Rondeau, RN, Pediatrics

Every holiday, many children find themselves in the hospital following surgery or with an illness that requires constant observation and treatment. A hospital stay, especially for children, can be long and lonely. Your contribution of \$25, \$50, \$100 or more may help make those visits more 'bearable'. You can even attach a special note with your contribution— for the bear to deliver to a child. Holiday Bears also are presented to mothers on the occasion of a new baby's arrival in the family.

Please send your donation today so we can rush your Holiday Bear Hug to a child or new baby! Our Holiday Bear Hug will brighten many eyes this holiday season. Additional funds raised will be used to support Saint Mary's Hospital where our medical staff is available everyday. Imagine a child's reaction when he or she receives a new bear - your bear. What a splendid way for you to contribute to the well being and happiness of children at Saint Mary's Hospital. Thank you for caring and sharing in a very special way this holiday season.

Sincerely,

Martin M. Morrissey
Chairperson
~~Holiday Bear Hug Appeal~~

Fund Summary Report May Fund 2004 Golf Tourney

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
may04golf	May Fund 2004 Golf Tourney	170	\$0.00	\$62,825.00	\$72,685.00	\$7,946.30	\$143,456.30
		and Totals:	\$0.00	\$62,825.00	\$72,685.00	\$7,946.30	\$143,456.30

170 Gift(s) listed

154 Donor(s) listed

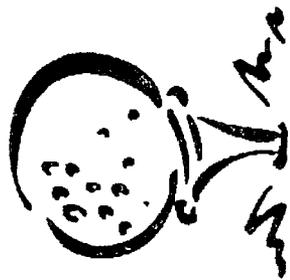
Appeal Summary Report May Fund 2004 Golf Tourney

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total		
golff04honorroll	Golf 2004 Honor Roll of Busi	27	\$0.00	\$0.00	\$2,700.00	\$0.00	\$2,700.00		
golff2004	Golf Tournament 2004	41	\$0.00	\$9,825.00	\$11,725.00	\$0.00	\$21,550.00		
golffdonations04	Golf Donations 2004	5	\$0.00	\$0.00	\$1,050.00	\$0.00	\$1,050.00		
golffraff04	Golf '04 Raffle Prizes	36	\$0.00	\$0.00	\$0.00	\$5,946.30	\$5,946.30		
golffraffpro04	Golf Raffle Proceeds '04	2	\$0.00	\$0.00	\$4,130.00	\$0.00	\$4,130.00		
golffsp2004	Golf '2004 Sponsorships	58	\$0.00	\$53,000.00	\$53,000.00	\$2,000.00	\$108,000.00		
ind2005	Unsolicited 2005	1	\$0.00	\$0.00	\$80.00	\$0.00	\$80.00		
and Totals:					\$0.00	\$62,825.00	\$72,685.00	\$7,946.30	\$143,456.30

170 Gift(s) listed

154 Donor(s) listed

GOLF



If you watch a game, it's fun.
If you play it, it's recreation.
If you work at it, it's golf.

—Bob Hope

.....

GOLF COMMITTEE MEMBERS

- Bill Cofrances
Chairman
Webster Insurance
- Lisa Boudreau
Saint Mary's Hospital
- David Cox
Saint Mary's Hospital
- Michael Curran
Anthem Blue Cross & Blue Shield
- Terry Dudrick
- Bernie Evans
Coldwell Banker Realty 2000
- Bonnie Forucchi
Saint Mary's Hospital
- Jim Frenis
Holiday Inn Express-Waterbury
- Michael LeClerc
Saint Mary's Hospital
- Maureen Madden-Tardy
Saint Mary's Hospital Foundation
- Ray Masicotte
- Peter Moody
Saint Mary's Hospital Foundation
- Mike Novak
Saint Mary's Hospital
- Michelle Secord
Secord & Associates
- Harriet Sisbarro
Saint Mary's Hospital Foundation
- Kathy Smith
Saint Mary's Hospital
- Dennis Strozzi
The Siemon Company
- Roland Young, Esq.
O'Brien, Tanski & Young

Non-Profit Org.
U.S. Postage
PAID
Permit No. 365
Waterbury, CT

Saint Mary's Hospital
Foundation, Inc.
56 Franklin Street
Waterbury, CT 06706



Return Service Requested



TUESDAY, SEPTEMBER 14, 2004
FIRST FLIGHT 7:30 A.M.
SECOND FLIGHT 12:30 A.M.
 WATERTOWN GOLF CLUB
 246 GUERNEYTOWN ROAD
 WATERTOWN, CONNECTICUT
www.stmh.org/golf

Proudly Title Sponsored By



WEBSTER

We Find a Way

Golf 2004 Sponsorship solicitation

May, 2004

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For over the past 95 years, Saint Mary's Hospital has played an important role in the greater Waterbury community. We continue to be a leader in the health care industry because of the continued support from the community.

Plans for our Ninth Annual Golf Open are underway. This year's tournament is scheduled for *Tuesday, September 14, 2004 at Watertown Golf Club*. We hope that you will consider being a sponsor this year.

As a sponsor, you not only receive company name recognition, but also a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect a sell out once again this year.

"Improving the health and quality of life in our community by providing a full spectrum of outstanding health care services that are accessible to everyone" is the mission of Saint Mary's Hospital. The hospital serves all that are in need of medical care and to do this it in turn, reaches out to the community to support its programs through events such as the golf tournament.

One of our committee members will give you a call in the next few weeks to confirm your commitment. In the meantime, you can return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



William L. Cofrances
Webster Insurance

2004 Chairman
Saint Mary's Hospital Foundation Golf Open

Fund Summary Report Saint Mary's 2005 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh05	Saint Mary's 2005 Fund	593	\$0.00	\$275.00	\$165,031.22	\$2,670.00	\$167,976.22

and Totals:

593 Gift(s) listed	\$0.00	\$275.00	\$165,031.22	\$2,670.00	\$167,976.22
525 Donor(s) listed					

End of Year "Holiday Bear" Solicitation

November, 2004

Dear Friends,

Every holiday gives us the chance to make a difference in the lives of another person. The season of giving can take on even greater significance when it touches the life of a child.

The loss of a parent, at any age, is a devastating event of life. Imagine the trauma Jessica, an 11-year-old out for a ride with her Dad, faced as she recovered from severe physical injuries sustained in a car accident that took her Dad's life.

At St. Mary's Hospital, Jessica received all the physical and emotional support the medical and nursing staff could give to help in the healing process. She even received one of St. Mary's special Holiday Bear Hug bears as part of her recovery process.

Day after day, Jessica's family kept vigil at the hospital. One evening, a staff member found a rather forlorn-looking eight-year-old boy alone in the playroom on the pediatric unit. It was Jessica's brother, Timmy, amusing himself while his mother visited with Jessica and staff.

The staff member gave the young man a hug and disappeared, only to reappear with a Holiday Bear Hug bear in her arms. Eyes bright with anticipation, Timmy reached eagerly for the fuzzy companion. Now he had his own bear, just like Jessica.

Eileen Rondeau, RN, Pediatrics

Every holiday, many children find themselves in the hospital following surgery or with an illness that requires constant observation and treatment. Hospital hours, especially for children, can be long and lonely. Your contribution of \$25, \$50, \$100 or more may help make those hours more 'bearable'. You can even attach a special note to a child with your contribution—for the bear to deliver. Holiday Bears also go to mothers on the occasion of a new baby's arrival in the family.

This year, donations made toward St. Mary's Hospital Holiday Bear Hug bears will, in part, be used for our Pediatric and Neonatal Intensive Care Units. What a splendid way for you to contribute to the wellbeing and happiness of children at St. Mary's Hospital. Thank you for caring—and sharing in a very special way this holiday season.

Sincerely,

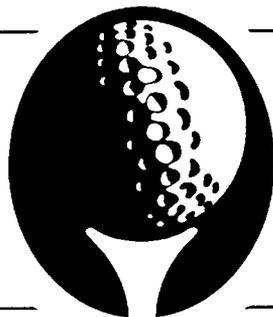
Martin M. Morrissey
Chairperson
Holiday Bear Hug Appeal

Fund Summary Report Saint Mary's 2005 Golf Tourney Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh05golf	Saint Mary's 2005 Golf Tourn	280	\$0.00	\$76,055.00	\$117,838.00	\$14,110.23	\$208,003.23
	and Totals:		\$0.00	\$76,055.00	\$117,838.00	\$14,110.23	\$208,003.23

280 Gift(s) listed

229 Donor(s) listed



Saint Mary's Hospital CHAMPION GOLF CLASSIC

2005 Saint Mary's Hospital Champion
Burton Albert
Chairman, Albert Brothers, Inc.

TUESDAY, SEPTEMBER 13, 2005
WATERTOWN GOLF CLUB

This year's tournament will honor Burt Albert, chairman, Albert Brothers, Inc., a dedicated governing board member, generous donor and friend whose years of service to and support of Saint Mary's Hospital can not be measured. An active participant in our golf tournaments, Burt's approach to the game represents his approach to life: play it the way its supposed to be played, play it like a gentleman, revel in other's success, and first and foremost, be with your family.

Join Burt and your fellow golfers for the 10th annual golf classic to benefit the May Fund at Saint Mary's Hospital. This year our dinner honoring Burt, our sponsors and golfers will take place the night before the tournament.

CHAMPION GOLF CLASSIC DINNER

Monday, September 12, 2005
Connecticut Grand Hotel
6:00 p.m.

*Golfer's registration fee includes
Monday night dinner.*

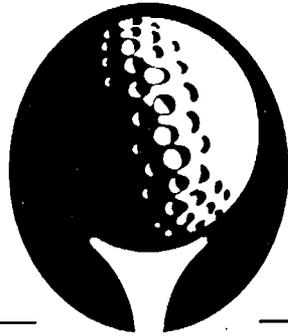
TOURNAMENT

Tuesday, September 13, 2005
Watertown Golf Club
Morning Flight - 7:30 a.m.
Afternoon Flight - 1:00 p.m.



Saint Mary's
HOSPITAL
Foundation

For sponsorships and registration, please call (203) 709-6390.



Saint Mary's Hospital
10th Annual
CHAMPION GOLF CLASSIC

DINNER OF CHAMPIONS

Monday, September 12th
Connecticut Grand Hotel
6:00 p.m.



GOLF CLASSIC

Tuesday, September 13th
Watertown Golf Club
Morning Flight - 7:30 a.m.
Afternoon Flight - 1:00 p.m.


Saint Mary's
HOSPITAL
Foundation

*Join Burt Albert and
your fellow golfers
for the 10th annual
golf classic to benefit
the May Fund at
Saint Mary's Hospital.*



2005 Champion, Burt Albert
Chairman, Albert Brothers, Inc.

***All staff are**
invited to attend
the Dinner of
Champions!*

*Tickets available at
the Foundation office.*

Ticket price: \$35

*For more information,
call Ext. 6390.*

Golf 2005 Past Sponsorship Solicitation

July, 2005

Mr. Jack Ijames
3M Health Information Systems
100 Barnes Road

Wallingford, CT 06492

Dear Mr. Ijames:

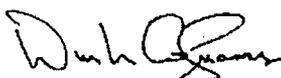
For more than 95 years, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our "Journey to Lasting Success" as a leader in the healthcare industry is a direct result of the support of many individuals in the community. As we plan our 10th Annual Golf Classic, we are honoring a special Saint Mary's Hospital Champion, Burton Albert, chairman of Albert Brothers. Burt made a personal commitment to the hospital in 1969 when he joined the Board of Directors of Saint Mary's Hospital. During the intervening 36 years, in addition to his other community responsibilities, Burt has provided outstanding leadership for the hospital serving as a role model for others. During his tenure, Burt has served on almost all of the board committees, including the Executive Committee, and served as a past chairman of the Long Range Planning Committee. Burt was instrumental in the development of the Harold Leever Regional Cancer Center, serving as a member of the Planning Committee and Capital Campaign Committee, and he presently serves as a member of the Center's Board of Directors.

This year's tournament is scheduled for **Tuesday, September 13, 2005 at Watertown Golf Club**. We hope that you will consider being a sponsor this year.

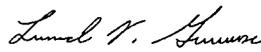
In the past, you have supported the hospital as a Driving Range sponsor. We are hoping you will remain a Driving Range sponsor again this year. As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. This year a special recognition dinner will be held on Monday night prior to the tournament when we will formally acknowledge and thank our sponsors and recognize Burt Albert for his commitment to the hospital. We hope to have you as a member of that distinguished group.

One of our committee members will contact you in the next few weeks to confirm your commitment. In the meantime, please return your sponsorship form in the enclosed envelope. Thank you.

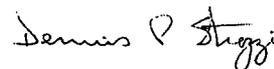
Sincerely,



William L. Cofrances
Webster Insurance
2005 Co-Chairman
Saint Mary's Hospital
Champion Golf Classic



Leonard F. Genovese
2005 Co-Chairman
Saint Mary's Hospital
Champion Golf Classic



Dennis P. Strozzi
The Siemon Company
2005 Co-Chairman
Saint Mary's Hospital
Champion Golf Classic

Fund Summary Report
Saint Mary's 2005 Phonathon Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh05phona	Saint Mary's 2005 Phonathon I	1,119	\$0.00	\$28,115.00	\$18,703.00	\$2,766.89	\$49,584.89
	1,119 Gift(s) listed		\$0.00	\$28,115.00	\$18,703.00	\$2,766.89	\$49,584.89
	1,093 Donor(s) listed						

and Totals:

Appeal Summary Report
 Saint Mary's 2005 Phonathon Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
dml2005	Direct Mail Piece 2005	203	\$0.00	\$0.00	\$7,767.00	\$0.00	\$7,767.00
ph2005	Phonathon 2005	873	\$0.00	\$28,115.00	\$10,936.00	\$0.00	\$39,051.00
phraff05	Phonathon 2005 Raffle Prizes	43	\$0.00	\$0.00	\$0.00	\$2,766.89	\$2,766.89
		and Totals:	\$0.00	\$28,115.00	\$18,703.00	\$2,766.89	\$49,584.89

1,119 Gift(s) listed
 1,093 Donor(s) listed



Saint Mary's Hospital Foundation, Inc.

56 Franklin Street • Waterbury, Connecticut 06706 • (203) 709-6390

WELCOME!

2005 ANNUAL PHONATHON FOR SAINT MARY'S HOSPITAL

On behalf of the Foundation Board and all who will benefit from your gift of time, we welcome you as a key member of the 2005 Annual Phonathon Team. Whether you're a phonathon first-timer or a veteran, we are grateful to you for sharing your time and talents with us.

Our goal this year is a lofty \$30,000! We can do it! You can do it! You can help change a life – save a life – here at Saint Mary's. Did you know that each phone will average over \$500 tonight?

All the money raised tonight will be used for Saint Mary's May Fund. Thank you for being a part of our team!

Paul J. Largay
President
Largay Travel
Co-Chairperson
Phonathon 2005

Peter J. Jacoby, M.D.
Chairman
Saint Mary's Hospital
Emergency Room
Co-Chairperson
Phonathon 2005

Fund Summary Report Saint Mary's 2006 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh06	Saint Mary's 2006 Fund	907	\$0.00	\$7,825.00	\$172,609.67	\$3,025.95	\$183,460.62

and Totals:

\$0.00

\$7,825.00

\$172,609.67

\$3,025.95

\$183,460.62

907 Gift(s) listed

754 Donor(s) listed

Appeal Summary Report Saint Mary's 2006 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
an12006	Annual Appeal 2006	221	\$0.00	\$0.00	\$21,121.28	\$0.00	\$21,121.28
cop	Crusade of Prayer	21	\$0.00	\$30.00	\$403.73	\$0.00	\$433.73
cor2006	Corporations 2006	9	\$0.00	\$0.00	\$67,975.00	\$0.00	\$67,975.00
dml0506	Direct Mail Piece May, 2006	265	\$0.00	\$0.00	\$9,247.00	\$0.00	\$9,247.00
estates2006	Estates 2006	6	\$0.00	\$0.00	\$15,707.39	\$0.00	\$15,707.39
fnd2006	Foundations 2006	4	\$0.00	\$0.00	\$8,600.00	\$0.00	\$8,600.00
fundam0306	Fundamentals March 2006	21	\$0.00	\$0.00	\$1,210.00	\$0.00	\$1,210.00
fundam0605	Fundamentals June 2005	14	\$0.00	\$0.00	\$1,195.00	\$0.00	\$1,195.00
grant	Grant	2	\$0.00	\$0.00	\$584.55	\$0.00	\$584.55
hs2006	Hospital Staff Annual Appeal:	68	\$0.00	\$5,330.00	\$2,303.87	\$0.00	\$7,633.87
ind2006	Unsolicited 2006	7	\$0.00	\$0.00	\$1,185.00	\$0.00	\$1,185.00
mem2006	Remembrance 2006	152	\$0.00	\$0.00	\$8,565.55	\$0.00	\$8,565.55
phys2006	Phys. Annual Appeal 2006	99	\$0.00	\$2,465.00	\$24,449.85	\$0.00	\$26,914.85
raffgeneric06	Raffle Prize Generic 2006	11	\$0.00	\$0.00	\$0.00	\$3,025.95	\$3,025.95
rem2006	Past Remembrances 2006	5	\$0.00	\$0.00	\$5,570.00	\$0.00	\$5,570.00
trust	Trust Distribution	2	\$0.00	\$0.00	\$4,491.45	\$0.00	\$4,491.45
and Totals:					\$172,609.67	\$3,025.95	\$183,460.62

907 Gift(s) listed

754 Donor(s) listed

Direct Mail Solicitation - May, 2006


Saint Mary's
HOSPITAL
Foundation

Together, Miracles Do Happen!

May 26, 2006

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample,

Families need Saint Mary's Hospital. And Saint Mary's Hospital needs you!

Families just like yours frightened by a medical diagnosis, confused and wondering where to turn for help, often turn to Saint Mary's. Today, tomorrow – we never know what the future holds. And when the need arises, Saint Mary's Hospital wants to ensure that we're ready to help you, with everything from compassionate caregivers to high tech equipment. We are committed to providing excellent healthcare services in a spiritually enriched environment, and right here in your own backyard.

I write today to ask for your support. I do so because miracles happen here when the hospital and its donors work together.

Every day we receive letters from grateful patients who experienced our compassionate care, which made going through difficult times a little easier. Many stories speak of gratitude for the excellence of a particular healthcare provider. Others detail stories of miracles – large and small. One in particular stands out ...

Thomas Lauria loves his life, his family and his golf.

One night, eighteen months ago, Tom had a seizure. A CAT scan and MRI found a spot on his brain. The diagnosis was Lymphoma of the Central Nervous System, CNS. Tom, his wife and family were devastated and very frightened. Tom's surgeon advised surgery and recommended Saint Mary's Hospital which has a Brain Lab; a machine that pinpoints the affected area and coordinates with the surgeon, showing him where to operate. Saint Mary's is the only hospital in the area to have this piece of equipment.

After the surgery, Tom was referred to a rehabilitation facility to help regain his motor skills. Tom made good progress. He had good days and bad days. Yet something told him all was not well. He requested that the facility send him back to Saint Mary's Hospital for another MRI. When a new tumor was found, Tom's oncologist recommended chemotherapy. Tom received intensive chemotherapy treatment for 72 hours, every other week, for 16 weeks, as an inpatient on Xavier III at Saint Mary's Hospital. Finally Tom was able to go home to his family and resume his life.

(over, please)

General Physician solicitation

April 4, 2006

Vicki Smetak, M.D.
20 Ledgewood Drive West
Weston, CT 06883

Dear Dr. Smetak:

Nationally, community hospitals and health systems are turning to philanthropy to provide the margin of excellence demanded by physicians for their patients. Faced with an increasing competitive marketplace, these additional financial resources provide community hospitals like Saint Mary's with the ability to provide the latest technology, renovate and develop new facilities, and ensure patient satisfaction.

Saint Mary's Hospital is ever-present and available 24/7, ready to serve physicians and care for our patients and families. Increasingly, the patients traditionally cared for by each of us and by Saint Mary's are responding to the competitive advertising by hospitals and physicians from as far away as Hartford, New Haven and Danbury. We believe that our patients are best cared for in their own communities by local physicians. We have all seen the tremendous improvements and increase in patient satisfaction that has been achieved by the many recent improvements at Saint Mary's Hospital. In addition to open heart and angioplasty services, last year the hospital added a Wound Care program, relocated its physical rehabilitation services and sleep lab, and opened a walk-in facility in the West End of Waterbury. This month the hospital will open a walk-in center in Wolcott.

Continuing this progress and successfully completing Saint Mary's robust plans will require a strong development program that can attract gifts from our grateful patients and community leaders like us, the physicians of the Waterbury region. Saint Mary's has adopted a long-range plan to further expand and enhance the physical plant and to bring healthcare services to area communities.

Medical Staff Solicitation

April 1, 2006

Dr. and Mrs. Robert Piscatelli
96 Hurds Hill Rd
Woodbury, CT 06798-4017

Dear Bob and Denise:

We have all seen the tremendous improvement and increase in patient satisfaction that has been achieved at the Hospital. To continue the journey and implement our plans to keep patients cared for in an appropriate environment requires financial gifts from generous donors. First we must turn to the members of our hospital family, our board members, employees and medical staff and ask for their support.

In December, you received a letter from us asking you to make a stretch gift to this year's annual fund. The money raised through this appeal will go to the Saint Mary's Fund in the Foundation which is used exclusively to fund capital needs of the hospital such as renovations and purchases of medical equipment. In the past, money raised through the Foundation has been used to renovate the Emergency Department, Xavier III and to purchase new medical equipment for the OR, Radiology, the clinics and patient floors.

We are pleased to report that we have received generous stretch gifts from many members of the medical staff. If we are to reach our goal of \$37,500, however we must hear from everyone. In May of each year, we call the hospital family during our phonathon to ask for their support. In lieu of a phone call this year, we are asking you to join your peers and make your gift today. Enclosed you will find a pledge card and return envelope for your convenience.

Thank you for the support you already extend to Saint Mary's.

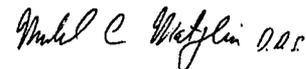
Sincerely,



S. Mark Albin, M.D.
Co-Chairman



Peter J. Jacoby, M.D.
Co-Chairman



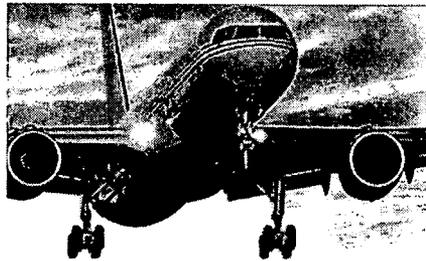
Michael C. Matzkin, D.D.S.
Co-Chairman

Enclosure

Saint Mary's Foundation

We hope you all enjoyed the chocolate last week and hope you learned a little about the Foundation.

This year, money raised by the Foundation, for the hospital will be over \$1,700,000!



2 Round Trip Airline Ticket for the Continental US (compliments of Largay Travel)

Winner is

Fund Summary Report Saint Mary's 2006 Gala Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh06gala	Saint Mary's 2006 Gala	604	\$0.00	\$97,175.00	\$207,242.50	\$71,615.63	\$376,033.13
604 Gift(s) listed							
481 Donor(s) listed							
	and Totals:		\$0.00	\$97,175.00	\$207,242.50	\$71,615.63	\$376,033.13

Program Book letter from President

⊕ OK PL

**Saint Mary's
HOSPITAL**

Office of the President
ROBERT P. RITZ, CHE.

February 4, 2006

Dear Friends of Saint Mary's Health System:

On behalf of Saint Mary's Hospital Foundation and the entire Saint Mary's Health System Family, welcome to our 15th Annual Gala. Looking around, we think you will agree – tonight will be "Simply Irresistible."

Proceeds from this event will support the Saint Mary's Fund for Patient Care. These funds are dedicated to improving patient services and equipment throughout the Hospital. As we continue on our *Journey to Lasting Success*, we have achieved many significant milestones, most recently, the implementation of our interventional cardiac care program in partnership with Waterbury Hospital and the University of Connecticut Health Center. But we have much more to accomplish as we work to improve the access and availability of high quality healthcare services in our community. Your generosity tonight will support our goals, and will directly help improve the health, wellness, and quality of life for patients throughout Greater Waterbury.

I extend my sincere thanks to the members of our Saint Mary's Family who have worked tirelessly to prepare for this extraordinary Gala. Special thanks to this year's co-chairpersons, William J. and Michelle Morris, Dr. Ellen G. Polokoff and Rabbi Eric Polokoff. Thanks also to all of you who served on the Gala Committee, the volunteers who shared their time, talent and effort in preparing for Saint Mary's signature event. We hope you have a wonderful time tonight. I would also like to acknowledge the Foundation Board of Directors and the Staff, including Peggy Lawlor, President of the Foundation, Harriet Sisbarro and Marilyn Slowik, for their continued commitment to the Gala.

Most importantly, thank *you* for attending Saint Mary's 15th Annual Gala. We look forward to continuing to work together to create a bright future filled with increasing levels of services delivered to our community.

On behalf of Saint Mary's, please accept our deepest gratitude for your support and our best wishes for your continued success and great health.

Sincerely,



Robert P. Ritz, C.H.E.
President and Chief Executive Officer

56 Franklin Street
Waterbury, Connecticut 06706
(203) 709-6303
Fax: (203) 709-3066
E-mail: rritz@strmh.org

An Affiliated Hospital of Yale University School of Medicine

Gala 2006 Past Table Host solicitation

January, 2006

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

On February 4, 2006, Saint Mary's Hospital Foundation is hosting the 15th annual gala and auction at the Aqua Turf in Southington. This year's gala will include an elegant gourmet dinner, a live and silent auction, our signature "basket raffle" and fabulous entertainment.

The 2005 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 965 guests in attendance. **Funds raised at the 2006 event will be earmarked for the Saint Mary's Fund to benefit women's services.**

As you know, being a table host means filling a table for ten by either purchasing the table for \$1,600 and inviting four other couples to attend or by purchasing two tickets and asking four couples to join you and make their own ticket purchase. Last year, table hosts filled 60 tables.

With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

We appreciate your support and presence at our galas and look forward to seeing you again this year.

Sincerely,



Michelle Morris
Gala 2006 Co-Chair



Ellen Polokoff, M.D.
Gala 2006 Co-Chair



William J. Morris
Gala 2006 Co-Chair



Rabbi Eric Polokoff
Gala 2006 Co-Chair

Gala 2006 Generic Table Host solicitation

January, 2006

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Please consider joining us this year and sharing a wonderful evening with the Friends of Saint Mary's.

Sincerely,



Michelle Morris
Gala 2006 Co-Chair



Ellen Polokoff, M.D.
Gala 2006 Co-Chair



William J. Morris
Gala 2006 Co-Chair



Rabbi Eric Polokoff
Gala 2006 Co-Chair

Gala 2006 Generic Sponsorship/Auction Item
solicitation

December, 2005

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Plans for our **15th Annual Gala** are underway. This year's event is scheduled for **Saturday, February 4, 2006** at the Aqua Turf in Plantsville. The 2006 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2006 is \$200,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2005 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 965 guests in attendance. **Funds raised at the 2006 event will benefit patients of Saint Mary's Hospital.** With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

A sponsorship form is included for your review and action. We are again offering a live and silent auction and basket raffles. **You can also support the Gala by donating an item.** Enclosed please find a donation contract for your convenience and action. Please return your form(s) to us by Friday, January 20, 2006,

Thank you for your willingness to consider a gift this year.

Sincerely,

Peggy Lawlor

Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Gala 2006 Past Sponsorship solicitation

December, 2005

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Plans for our **15th Annual Gala** are underway. This year's event is scheduled for **Saturday, February 4, 2006** at the Aqua Turf in Plantsville. The 2006 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2006 is \$200,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2005 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 965 guests in attendance. **Funds raised at the 2006 event will benefit patients of Saint Mary's Hospital.** With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Thank you for your support of «amount» in the past. A sponsorship form is included for your review and action. We also have included a copy of your last ad from the gala program book. You can check off whether you would like to re-use that ad "as is", make changes to that ad, or submit new "camera ready" art along with your sponsorship form. Please return your form to us by Friday, December 23, 2005.

Thank you for your willingness to consider a gift this year.

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Gala 2006 Past Auction Item solicitation

November, 2005

Dear:

Plans for our **15th Annual Gala** are underway. This year's event is scheduled for **Saturday, February 4, 2006** at the Aqua Turf in Plantsville. The 2006 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2006 is \$200,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2005 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 965 guests in attendance. **Funds raised at the 2006 event will benefit patients of Saint Mary's Hospital.** With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Thank you for donating to us in the past. **We are hoping you will support Gala 2006 by donating an item for our auction.** Enclosed please find a donation form for your convenience and action. Please return it to the Foundation office by Friday, December 23, 2005.

Thank you for your willingness to consider a gift again this year. You can be assured that your generosity will make an important difference in the lives of thousands of your neighbors.

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Fund Summary Report Saint Mary's 2006 Phonathon Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh06phona	Saint Mary's 2006 Phonathon I	622	\$0.00	\$23,705.00	\$4,823.00	\$2,376.50	\$30,904.50
	and Totals:		\$0.00	\$23,705.00	\$4,823.00	\$2,376.50	\$30,904.50

622 Gift(s) listed
610 Donor(s) listed

Appeal Summary Report Saint Mary's 2006 Phonathon Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2006	Phonathon 2006	592	\$0.00	\$23,655.00	\$4,823.00	\$0.00	\$28,478.00
phraff06	Phonathon 2006 Raffle Prizes	29	\$0.00	\$0.00	\$0.00	\$2,376.50	\$2,376.50
phys2006	Phys. Annual Appeal 2006	1	\$0.00	\$50.00	\$0.00	\$0.00	\$50.00
		and Totals:	\$0.00	\$23,705.00	\$4,823.00	\$2,376.50	\$30,904.50

622 Gift(s) listed

610 Donor(s) listed

2006

Saint Mary's
HOSPITAL
Foundation

56 Franklin Street • Waterbury, CT 06706

Return Service Requested

Non-profit Org.
U.S. Postage
PAID
Waterbury, CT
Permit No. 365

A volunteer will be calling you on behalf of Saint Mary's Hospital Foundation during our Annual Phonathon on May 22nd and 30th. You will be asked to consider a gift to support the Saint Mary's Fund for patient care services.

So when your phone rings, remember the Saint Mary's volunteer is working hard to help the hospital help you. Please take a minute to listen and tell us what you think.

Thank you in advance for your contribution to Saint Mary's Hospital, whether it be in dollars, time or thoughtful suggestions on how we can serve you and the community better.

Saint Mary's
HOSPITAL
Foundation

Fund Summary Report Saint Mary's 2007 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh07	Saint Mary's 2007 Fund	2,841	\$0.00	\$27,090.00	\$187,141.44	\$4,085.33	\$218,316.77

and Totals:

2,841 Gift(s) listed

1,368 Donor(s) listed

\$187,141.44

\$27,090.00

\$4,085.33

\$218,316.77

Appeal Summary Report Saint Mary's 2007 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anl2007	Annual Appeal 2007	9	\$0.00	\$0.00	\$3,304.33	\$0.00	\$3,304.33
carraff07	Car Raffle 2007	367	\$0.00	\$7,400.00	\$56,900.00	\$0.00	\$64,300.00
cop	Crusade of Prayer	38	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
cor2007	Corporations 2007	17	\$0.00	\$0.00	\$13,525.00	\$0.00	\$13,525.00
dm10207	Direct Mail Piece Feb., 2007	407	\$0.00	\$0.00	\$11,564.00	\$0.00	\$11,564.00
dm10506	Direct Mail Piece May, 2006	2	\$0.00	\$0.00	\$160.00	\$0.00	\$160.00
dm10607	Direct Mail Piece June, 2007	81	\$0.00	\$0.00	\$3,555.00	\$0.00	\$3,555.00
dm10807	Direct Mail Piece August, 200	99	\$0.00	\$0.00	\$3,491.00	\$0.00	\$3,491.00
dm11106	Direct Mail Piece Nov., 2006	220	\$0.00	\$0.00	\$15,504.00	\$0.00	\$15,504.00
estates2007	Estates 2007	5	\$0.00	\$0.00	\$22,179.72	\$0.00	\$22,179.72
frnd2007	Foundations 2007	1	\$0.00	\$0.00	\$5,000.00	\$0.00	\$5,000.00
fundam0306	Fundamentals March 2006	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
grant	Grant	5	\$0.00	\$0.00	\$2,367.53	\$0.00	\$2,367.53
hitsteamraiser07	Hits for Healthcare Team Func	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
hs2007	Hospital Staff Annual Appeal:	1,319	\$0.00	\$17,113.00	\$7,292.09	\$0.00	\$24,405.09
ind2007	Unsolicited 2007	17	\$0.00	\$0.00	\$10,247.65	\$0.00	\$10,247.65
journey0107	Journey Honor Roll of Donors	13	\$0.00	\$0.00	\$3,915.00	\$0.00	\$3,915.00
mem2007	Remembrance 2007	106	\$0.00	\$0.00	\$6,645.00	\$0.00	\$6,645.00
ph2007	Phonathon 2007	1	\$0.00	\$25.00	\$0.00	\$0.00	\$25.00
phys2007	Phys. Annual Appeal 2007	89	\$0.00	\$2,552.00	\$19,861.12	\$0.00	\$22,413.12
raffgeneric07	Raffle Prize Generic 2007	33	\$0.00	\$0.00	\$0.00	\$4,085.33	\$4,085.33
rem2007	Past Remembrances 2007	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
vol2007	Volunteer Appeal 2007	9	\$0.00	\$0.00	\$345.00	\$0.00	\$345.00
and Totals:							\$218,316.77

2,841 Gift(s) listed
1,368 Donor(s) listed

September 5, 2007

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample,

Doug Tarsi will never forget his wife's birthday, because the date holds a special meaning for him, too. While driving his family to a birthday party for Karen, Doug turned his attention for a moment to his daughter, Sarah, who was in the back seat. Those seconds changed his life.

The Tarsis' van veered off the highway at 65 miles an hour, and crashed into some trees. After what seemed like hours, Doug was finally freed from the car and rushed by ambulance to Saint Mary's Hospital Emergency Room.

On arrival at Saint Mary's, Doug was surrounded by the ER team that assessed his injuries. With 9 broken ribs, 6 fractured vertebrae, a severely lacerated lung, and other injuries to his shoulder and back, Doug was quickly and expertly evaluated by hospital staff. One thing was certain: he was lucky to be alive.

Doug woke up in ICU much later, but remembers clearly that, even though he had suffered multiple traumas, he felt he would recover because he was in the care of so many capable professionals.

While in ICU, Doug was looked after by many caring nurses, but he was particularly touched by the loving aid he received from Nurse Margarita, who would do or get anything to make him feel more comfortable. Doug spent 11 days at Saint Mary's recovering from his injuries, so it's no surprise that he's thoroughly impressed with the care he received there.

"I've had 5 reconstructive surgeries, all resulting from sports injuries, but nothing could prepare me for how tough this was. When you are hurting this much, the days seem like years. The genuine care I received from the nurses and aides at Saint Mary's truly made a difference to me and my recovery," he explains.

Doug is just one of many local citizens with a life-threatening illness or trauma who have been successfully treated by the doctors and nurses at Saint Mary's Hospital. Whatever the need, whenever minutes count, our staff can be counted on to do all they can to save lives every day.

While they are ready to use every skill and resource available to them, Saint Mary's and its Emergency staff must rely on the community to support their efforts to save even more lives. We hope they can continue to count on you.

(over, please)

As a valued donor to Saint Mary's Hospital, your renewed support will help save more lives when medical emergencies arise. Please consider making a gift of \$25, \$35, \$50 or even more today.

In return, you'll have the satisfaction of knowing that you, personally, are helping people like Doug – perhaps even friends, neighbors, or members of your own family – get the best care during a medical crisis. Thank you for your support.

Sincerely,



John P. Burke, Chairman
Saint Mary's Hospital Foundation

P.S. Doug will never forget his wife's birthday, but it was all in a day's work for our doctors and staff. They make a difference in the lives of so many...and you can make a difference, too, by renewing your support today. Thank you so much for whatever you can give.

Hospital Staff solicitation

June 26, 2007

«First_Name» «Last_Name»
«Address»«Address»
«CityState» «Zip»

Dear «First_Name»:

Time and time again, our patients tell us that Saint Mary's staff makes all the difference in their hospital visit. We know many of our physicians and patients choose Saint Mary's as their hospital of choice because of you and all the staff.

Last year, our board, physicians, and community members, supported Saint Mary's with donations in excess of \$1.9 million. These funds have helped the hospital to:

1. Increase the Patient Care Services Fund by over 10% allowing us to continue providing health care services without regard to income.
2. Renovate and expand the Emergency Department to accommodate increased demand.
3. Initiate fundraising to enhance the Telemetry Unit.
4. Award five annual scholarships to nursing students to help increase the number of nurses entering the profession.

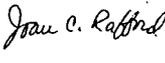
Over the next 5 years the Foundation has been asked to raise \$10-\$12 million to support our strategic plan. This year, the Foundation is reaching out to our staff to make their gift to the staff annual appeal. Imagine the message we can share with our donors if our staff - the hallmark of our health care - contribute their own funds back to our hospital. That message clearly tells our donors and the community at large that we fundamentally believe in our Mission and Vision at Saint Mary's Hospital.

Each one of us signing this letter has made our own personal gift to this year's staff annual appeal and is now asking you for your support. *Please join us in making a minimum gift of \$1 a week or a gift of your choice. If 100% of our staff participate with a gift as little as \$1 a week, we can raise \$100,000. Your gift is tax-deductible.*

Saint Mary's Hospital plays a critical role in keeping the Waterbury Community healthy. An investment in Saint Mary's Hospital ensures that if the patient is you, a family member or a neighbor, the standard of health care will continue to be exceptional.

We hope you will join us and consider contributing to the vitality of our hospital and show Saint Mary's staff stand behind the services we offer here every day. A payroll deduction card and reply envelope are enclosed for your convenience.

Sincerely,

			
Michelle Bradby Sacred Heart 5	Marie Giattino Cardiology	Joan Rafford Out Patient Therapy	Polly Strell HumanResource

General Physician solicitation

June 18, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

As physicians, we believe that all of the members of our medical services staff are a key reason why Saint Mary's Hospital continues to be the hospital of choice for our patients. Hospital surveys show that patient satisfaction is rising. Clearly, the continued improvements in the hospital have earned our patients' satisfaction.

Our work ahead as a hospital community is straight-forward: to provide exceptional health care in a patient-friendly environment. We believe that all of us, as medical providers, have a responsibility to ensure that our hospital community continues progressing forward.

We are writing to you, and all of our fellow physicians, to ask for your consideration of a financial gift to this year's Annual Physician's Appeal. We have already made our own personal gift and ask you to please consider a gift of \$«Amount». Your gift to the Hospital will help us achieve three goals:

1. Increase the patient care fund to provide health care services to those who need it, without regard to income
2. Increase expenditures for medical equipment and services
3. Improve our facilities to enhance our ability to offer medical services

We have enclosed a donation form. We're hopeful we can demonstrate to our patients and the community at large, that our own physicians have validated our patients' commitment to Saint Mary's Hospital through their own generous financial support.

With best wishes,



S. Mark Albini, M.D.



Peter J. Jacoby, M.D.



Michael C. Matzkin, D.D.S.

Past Medical Staff solicitation

August 9, 2007

Dear :

It is hard to believe that summer is here...the year is flying by, which means that our fiscal year, September 30th, is close at hand. We are pleased to report that we have received generous stretch gifts from many members of the medical staff and donations from medical staff members who have never contributed to the annual appeal before. If we are to reach our goal, however we must hear from everyone. We need your help. Please join us in making a donation to the 2007 Annual Physician's Appeal.

Your generosity will help to continue the *Journey to Lasting Success* and in turn, the hospital will fulfill our mission to continue to provide excellent healthcare services in a spiritually enriched environment.

The money raised through this appeal will go to the Saint Mary's Patient Care Fund in the Foundation which is used exclusively to fund capital needs of the hospital such as renovations and purchases of medical equipment. In the past, money raised through the Foundation has been used to renovate the Emergency Department, Xavier III and to purchase new medical equipment for the OR, Radiology, the clinics and patient floors.

Thank you for the support you already extend to Saint Mary's. Enclosed you will find a pledge card and return envelope for your convenience.

Sincerely,



S. Mark Albini, M.D.
Co-Chairman



Peter J. Jacoby, M.D.
Co-Chairman



Michael C. Matzkin, D.D.S.
Co-Chairman

Enclosure

Corporate solicitation

June, 2007

«First» «Last» «Title»
«Company_Name»
«Address»
«Address1»
«City», «ST» «Zip_Code»

Dear «First»:

For the first time in many years, the Saint Mary's Hospital Foundation is extending its Annual Appeal to businesses.

We appreciate this opportunity to share with you the important role Saint Mary's Hospital plays in the health and well-being of Greater Waterbury. Your generous support for Saint Mary's will help strengthen the community we share, particularly the business community.

Today's extraordinary financial pressures deeply affect Saint Mary's Hospital just as they affect your business. Despite these strains, Saint Mary's mission mandates that we care for everyone who walks through our doors. Your employees, your friends and your family depend on us. You depend on us; but, we also depend on you.

In addition to the advanced health care services available to all residents of Greater Waterbury, Saint Mary's offers a defined continuum of services to keep area businesses healthy and productive. Saint Mary's Occupational Health Services, the region's only hospital-based program, grows and improves each year. It includes:

- A new Occupational Health & Diagnostic Center at 1320 West Main Street, Waterbury;
- The lowest Workers' Compensation fees available, and
- Expanded urgent care services in Wolcott and Naugatuck.

From pre-employment physicals to emergency care and rehabilitation after a work-related injury or illness - every one of our Occupational Health Services is backed by Saint Mary's outstanding reputation for quality.

With your support, Saint Mary's can continue to pursue health care excellence in every service area.

Enclosed, please find some information about Saint Mary's programs and services. We also have included a pledge card for your consideration. A generous gift of \$500 or more will help Saint Mary's strengthen its role as your partner for the health and vitality of our community.

Sincerely and gratefully:



George J. Strobel, Jr., Controller
GSS Industries
Foundation Board Member



Jay Carlson, President
G&R Manufacturing, Inc.
Foundation Board Member

Birth Options

as individual as you are



2092

Susan Ortoleva, CNM,
Jessica Pipitone, CNM, and
Maureen MacSweeney, CNM
bring collaborative care to
life at Saint Mary's.



Message from the President

Our Mission

Saint Mary's Hospital will provide excellent healthcare services in a spiritually enriched environment.

Our Vision

Saint Mary's Hospital will be the healthcare provider of choice in the regional service area.

Our Values

*Excellence
Superior quality
service to others.*

Integrity

*Commitment to
doing what is right.*

Respect

*Reverence for the
dignity, worth
and rights of others.*

Innovation

*Continuous improvement
through creativity and
teamwork.*

Caring

*Compassionate approach
to addressing the needs
of all people.*

Journey is produced by the Saint Mary's Marketing and Community Relations team. We welcome your comments and suggestions. Contact us at (203) 709-6214 or email us at journey@stmh.org.

Choices: They can define our lives. Innovations in healthcare practice and technology increase patients' care and treatment options, allowing them to make choices that can change their lives.

Women preparing for childbirth today face choices – more choices than ever before. Some of those choices blend age-old practices with modern concepts to form a new brand of quality care. The unique physician-midwife model featured in this issue represents one such progressive option for collaborative obstetrical care.

This issue also celebrates members of the Saint Mary's family whose day-to-day choices earned them not only the respect of their peers, but their recognition, as well. We are pleased to honor Special Value Award winners Elsa Luis, Emily Hibbard, Joe Veneziano, Yolanda Mueller, and the Mammography Team, nominated by their peers for "Living Our Values" each and every day.

Saint Mary's Hospital is committed to bringing the Greater Waterbury area innovative, progressive and compassionate care. In doing so, we bring the community more options, and better healthcare choices. In this regard, Saint Mary's is both setting the pace and leading the way.

On behalf of the entire Saint Mary's Health System family, we wish you good health.

Robert P. Ritz
President and Chief Executive Officer

The Gratitude Cart

Recognition on the move for departments on a roll

Each month one department shows their appreciation for another by presenting them with the "Gratitude Cart." That presentation comes special delivery, and might include an original song, a special note of thanks, or a little soft shoe. Creativity is the name of the game on delivery day, and in that regard, the sky's the limit.

The Gratitude Cart is a standard-issue hospital cart dressed to the nines and loaded with goodies, like candy, movie tickets, or home-baked treats. It may have a theme; an "Italian Cart," for example, may arrive decorated in red, white, and green streamers, bearing pizza for one and all.

"The cart is a way for one department to recognize another department they feel has gone above and beyond," explained John Jenusaitis, Manager of Compensation and Benefits. John, the mastermind

behind the "Go the Extra Mile" scratch-off cards and the "Gratitude Gram," came up with this new idea late last year. The cart is now being readied for its eighth hospital stop.

"When a department receives the cart they begin talking about what department they think has really made a difference," John told Journey. "They think about who they believe has gone above and beyond."

And then they pay it forward. The receiving department must redecorate, reload, and redeliver the cart within thirty days. Representatives from Human Resources join management and staff from both the giving and receiving departments for the "passing of the cart." The pomp and circumstance includes a formal expression of thanks and a creative delivery – maybe a little song, maybe a little dance, but definitely a whole lot of gratitude.

"The cart is a way for one department to recognize another department they feel has gone above and beyond."



Left: (left to right): Bob Richardson, Brandy Vigue, Janice Christiano, Pat Capobianco, Marc Bradley, Denise Olszewski and Mary Anne Carpenter.



Right (left to right): Bob Richardson, Janice Christiano, Lucy Como, Bill O'Keefe, Jennie Kozak, Jackie Traver, Shukri Bekiroski and Karrie Higgins.

• Collaborative Care

Physicians and midwives provide the best of both worlds

Midwifery is a concept as old as time; references to midwives can be found in early Hindu scripts, ancient runes, and Biblical verses. Originally translated to mean "with women," the term midwife, today, blends a rich history of nurturing and support during pregnancy and childbirth with a modern philosophy of specialized care for women that transcends culture or time.

The traditional midwife was a well-respected, experienced woman in the family or community; to the French, she is a "sage femme," or wise woman. Today's midwives are that, and more. According to the American College of Nurse-Midwives, they are highly educated, skilled professionals who provide primary healthcare and health education to women, while working collaboratively with physicians. At Saint Mary's Hospital, the modern midwife is an integral part of obstetrical, postpartum, and gynecological care.

"We call it "collaborative care," explained Dr. Mark Albini, Chairman of the Department of Obstetrics and Gynecology at Saint Mary's Hospital. "It is a model of care that has doctors and midwives working together. It has long been the model of care in Europe, and is

growing more and more popular here."

In fact, all three obstetrical practices affiliated with Saint Mary's Hospital incorporate the model.

"Ours was the first practice to bring midwifery to the area," explained Dr. Ian Cohen, of Associated Women's Health Specialists, a practice that included a midwife as early as 1979, and lasting into the early 1980s. "We renewed our involvement with midwifery in 2005."

Dr. Albini's group, Naugatuck Valley OB-GYN Associates, began offering midwife services seven years ago.

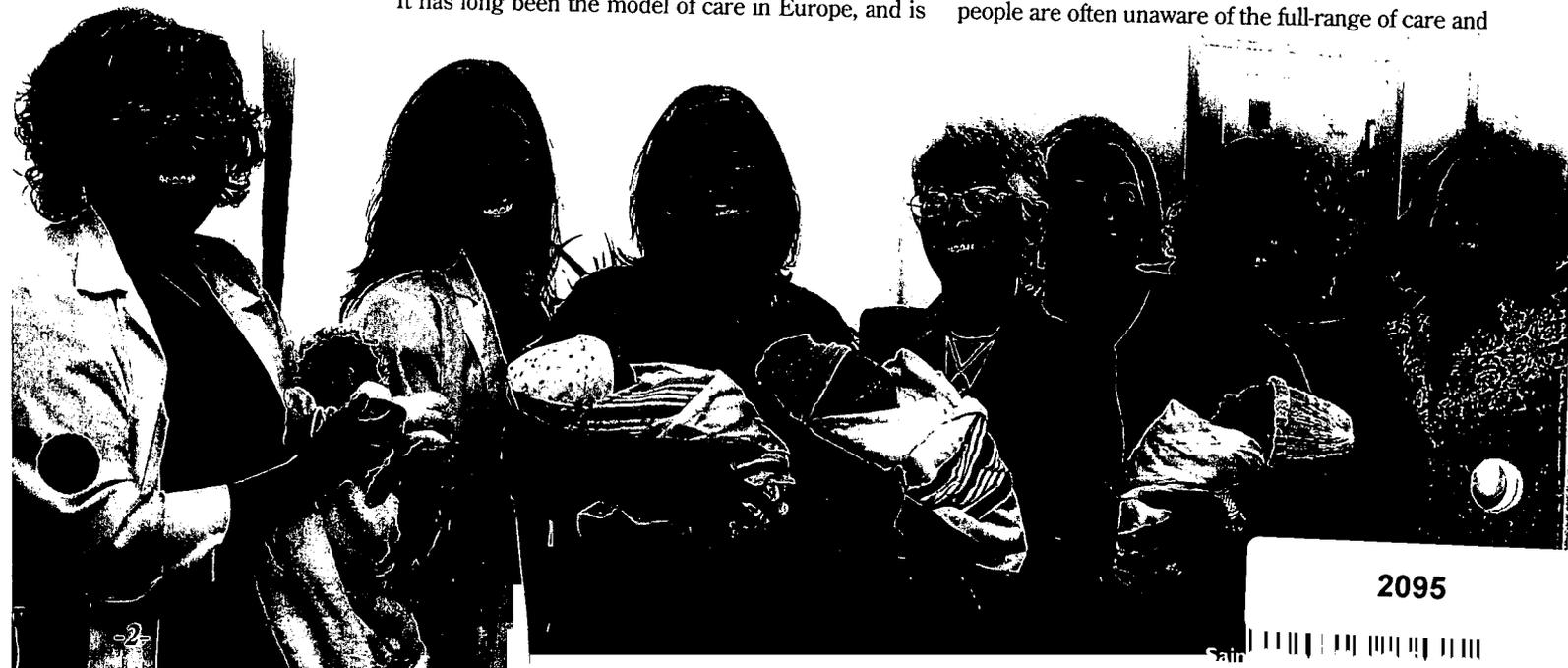
"We started with one midwife," Dr. Albini recalled. "It worked; today we have four."

Paula Cate, MSN, Certified Nurse-Midwife, is one of the four.

"Midwives go to school to be specialists in the care of healthy women throughout the life cycle," explained Cate. "Many of our patients develop a lasting relationship with us that begins during pregnancy and lasts through menopause."

Lesley Gustafson, Certified Nurse-Midwife with Associated Women's Health Specialists, notes that people are often unaware of the full-range of care and

The faces of collaborative care, from left to right: Susan Ortoleva, CNM; Jessica Pipitone, CNM; Maureen MacSweeney, CNM; Deborah Garber, CNM; Paula Jean Cate, CNM; Amada Skinner, CNM and Lesley Gustafson, CNM.



services a nurse-midwife can provide.

"Our training and scope of care is not limited to obstetrics," Gustafson explained. "Most midwives do practice gynecology. We see teens, and we see women through the end of their lives. We get to know a lot of our patients through our gynecology practice, and then continue to see them through their pregnancies and beyond. It is nice for us and for them that we are there through all the phases of their lives."

Certified Nurse-Midwives (CNM) and Certified Midwives (CM) must graduate from an accredited program and pass a rigorous certification exam. The philosophy of nurse-midwifery care is broad in scope, and rooted in compassion. Care is tailored to the needs of the individual and family, and includes physical care, emotional support, and education. Midwives provide patients with the information they need to make informed healthcare decisions.

"A midwife office visit is more than a physical exam," Paula Cate said. "I cover a range of issues important to healthy, pregnant women, including nutrition, exercise, and the many sociological issues associated with the birth of a child."

Each of the three practices affiliated with Saint Mary's Hospital has incorporated the physician-midwife model of care into their practice in a way that best suits their patients, and their practice philosophies. Amanda Skinner, Certified Nurse-Midwife with Specialists in Women's Healthcare, notes that their patients do not choose one type of care.

"In our practice, patients do not necessarily opt for one or the other, physician or midwife," Skinner explained. "Our midwives are fully integrated into our practice; you may see a physician on one visit, and a midwife on another. We are a true group practice, which provides patients with the best of both worlds."

The best of both worlds, it seems, for both patient and provider.

"We had no experience working with midwives until we hired Amanda Skinner in 2003, and to be honest, we weren't truly sure how nurse-midwifery care would integrate into our practice," explained Dr. John Kaczmarek, of Specialists in Women's Healthcare. "We quickly realized that Amanda was highly competent and sensitive to our patients' needs, whether caring for

Birthplace clients or managing more complex patients. I have to say that we have learned more from her about low-intervention obstetrics than she has learned from us. In fact, we added another nurse-midwife to our team soon after! Having nurse-midwives has been a huge asset to our patients and our practice."

While an individual's care protocol will vary based on their practice's model and their personal needs, the midwife option at Saint Mary's Hospital generally represents one tier in pre-, peri- and neonatal care. Midwives often care for women enjoying "normal," healthy pregnancies, while physicians often care for women with specific pregnancy issues, such as APLS, a blood and immune disorder that can lead to serious complications during pregnancy. Perinatologists provide yet another level of care in the most complicated cases. In this model, each tier works cooperatively to support the next.

"The physician backs-up the midwife," Dr. Albini explained. "We have an excellent and continuous triage system that begins when the patient first presents and is in place through the postpartum period. If the patient's needs change, or if the pregnancy becomes high risk, the appropriate level of care is always available."

Amanda Skinner agrees. "In our practice, either a midwife or a doctor is always on call. When the midwives are on call, a physician is available if needed."

Lesley Gustafson, CNM, Susan Ortoleva, CNM, and the physicians at Associated Women's Health Specialists adhere to the same model. Dr. Cohen calls it a "collaborative and integrated" model of care, and notes that such collaboration fosters mutual respect and learning.

"Midwives function in the same way the doctors do," Dr. Cohen explained. "We follow the same care protocols, the same pain and relief protocols, and the same guidelines in treatment. To some degree, the midwives adopt the medical model, while the doctors adopt the midwives' humanistic approach."

According to Dr. Albini, approximately

*"We call it
'collaborative care'...
It is a model of care
that has doctors and
midwives working
together."*

DR. MARK ALBINI



*We are a true
group practice,
which provides
patients with the
best of both worlds."*

AMANDA SKINNER,
CERTIFIED NURSE MIDWIFE

15% of his patients self-select midwife care. The midwives at Saint Mary's Hospital also care for all of the low risk pregnancies in the hospital's health center.

"Our practice delivered 950 babies last year," Dr. Albini noted. "380 of those deliveries were by midwives. They are an integral part of our hospital, and essential in helping Saint Mary's Hospital fulfill our mission and live our values."

Living **our** values

MEMBERS OF THE SAINT MARY'S FAMILY GATHERED ON MAY 10TH for "Celebrating Excellence 2007," an event recognizing staff for their special brand of caring, and for their years of dedication and service to the hospital.

Among those honored were the more than 200 hospital family members achieving personal service milestones of five, 10, 15, 20, 25, 30, 35, and 40 years. In what has become a proud tradition for Saint Mary's Health System, four individuals and one service team also received Special Value Awards. These award winners were nominated by their peers for living our values of Excellence, Integrity, Respect, Innovation, and Caring.

We salute our honorees for their achievements, for the excellence they exemplify, and for their commitment to the Saint Mary's community.

JOURNEY AWARD FOR TEAM EXCELLENCE



TRICIA BOUFFARD
SHARON BROWN
VALARIE CARLSON
BRENDA DRAKE
DOREEN GOVAIN
ROSEMARIE LAFONTAINE
KATHLEEN PERILLE
ANN RAZZA
SANDY TRUSIEWICZ

MAMMOGRAPHY TEAM

"I would describe the Mammography Team as special; a special group of individuals. They care very much for their patients and it shows in their work. Their compassion, their sincerity is just monumental."

2097



SAINT MARY'S AWARD FOR CARING

ELSA LUIS

FOOD & NUTRITION SERVICES

"When I think of someone getting a Caring Award, I immediately think of Elsa; she is truly caring. She goes above and beyond with the patients making sure that they get whatever they need. Whether it's at home or at work, she's just special that way; she makes you feel special, and that's just part of her makeup."



SISTERS OF SAINT JOSEPH AWARD FOR RESPECT

EMILY HIBBARD, RN

OPERATING ROOM

"Emily has an infectious spirit; her infectious spirit demonstrates respect for everyone. She's very dedicated to her patients. She's very respectful of her patients. Emily looks at everyone through the same glass; she doesn't have any differentiating lines."

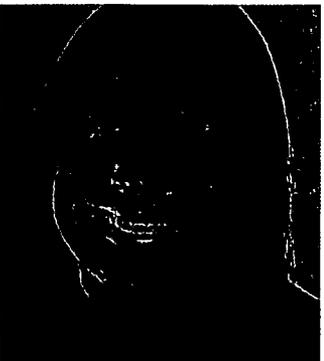


SLOCUM AWARD FOR INNOVATION

JOE VENEZIANO

CLINICAL ENGINEERING

"Joe is always looking for new equipment, looking for new ways to do things, looking to make things better. The ability for him to take a department like the OR and make it his own is a testament to his ability as a clinical engineer. I have never met anyone who is so creative."

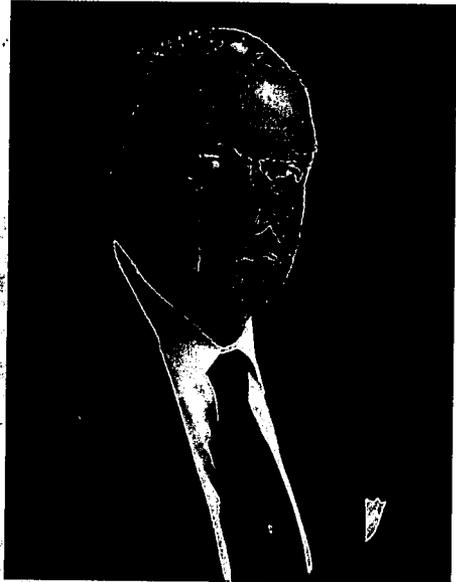


ARCHBISHOP AWARD FOR INTEGRITY

YOLANDA "JOLIE" MUELLER

PATIENT ACCESS

"Integrity means you always want to do the right thing, and in my experience and interactions with Jolie she has always put the patient first. She shows us every day her willingness to do the right thing."



Dear Friends,

As Chairman of the Saint Mary's Hospital Foundation I am pleased to note all the successful events that have recently taken place such as the dedication of the J. Robert Anthony, M.D. Cardiac Center, the Sponsor Recognition Reception at the Palace Theater, the First Annual Hoops for Healthcare Basketball Tournament and the 2007 Phonathon. In our ongoing efforts to support our Mission and to solidify Saint Mary's as the hospital of choice in the regional service area, we are constantly thinking of ways to benefit the Foundation, the "fundraising arm of Saint Mary's." We look forward to the First Annual Car Raffle as a new fundraising effort, the 12th Annual Golf Tournament and the 3rd Annual Dinner of Champions, which will both take place in early September.

Gifts of time and dedication as well as the monetary support from all of the hospital family allow us to provide state-of-the-art equipment, employ quality physicians and specialists to our staff, and create a safe and modern facility, close to home, for the health of the greater Waterbury area.

I would also like to take this opportunity to highlight some of the members of the Saint Mary's Hospital Foundation Board and publicly thank them for all that they do individually and as a group to insure we are able to continue our Journey.

Thank you for all that you do for Saint Mary's Hospital.

Sincerely,

John P. Burke
Chairman
Saint Mary's Hospital Foundation

Meet our Leaders



Ed White
Vice Chairman,
Director of Saint
Mary's Hospital
Foundation Board

Ed serves on the board of Saint Mary's Health System and is a member of the Long Range Planning Committee. He is Chairman of the Planned Giving Committee of the Foundation and is Trustee and Chairman of Stewardship of the Steep Rock Land Trust in Washington, CT. He has also served on numerous boards including Child Guidance Clinic, the Ambassadors of Saint Mary's Hospital, Post College Foundation Board and was past Chairman of the Zoning Board of Appeals in Washington, CT. Ed is Senior Vice President at DeWitt Stern Group Planning Services in New York City and resides in Washington, CT with his wife Zemma.



Eric Albert
Secretary, Director
of Saint Mary's
Foundation Board

Eric is President of Albert Brothers, Inc., a scrap recycling company that has been a fixture in Waterbury for more than 100 years. Mr. Albert has a long history of service to organizations in and around Waterbury including United Way, Mattatuck Museum, Jewish Federation of Greater Waterbury, Institute of Scrap Recycling Industries, and the Connecticut Chapter of the Anti-Defamation League. He has also served as President of the Jewish Center, LLC of Southbury and earned the prestigious Daniel Stein Young Leadership Award from the Jewish Federation. Eric lives in Southbury with his wife Jan.



Leo Frank

Treasurer, Director of Saint Mary's Hospital Foundation Board

Mr. Frank is recently retired from Webster Bank and has served on numerous boards, including Naugatuck Valley Development Corporation, WDC, United Way, and Waterbury Neighborhood Housing Service. His dedication to the health and well-being of the Waterbury community is evident in the countless hours he devotes to volunteerism. He lives with his wife Tamara in Watertown.



Joseph A. Mengacci, Esq.

Director of Saint Mary's Hospital Foundation Board

Joe is Chairman of the Finance Committee and has served as Co-Chair of the 2007 Snowflake Ball, which raised over \$212,000 for the continued renovation of the Telemetry Unit. Joe's professional associations include Connecticut Bar Association, American Bar Association, Connecticut Trial Lawyers Association and Board of Trustees, Connecticut State University System. Joe has served as a Judge in the Superior Court, State of Connecticut, as well as an Attorney Trial Referee for the Judicial District in Waterbury. He is currently a self-employed Mediator/Arbitrator and President of Conflict Solution Services, LLC in Middlebury, where he lives with his wife Kathy.



Paul J. Largay

Director of Saint Mary's Hospital Foundation Board

Paul served as a 2007 Gala Committee Member and is Chairman of the Foundation's 2007 Annual Fund. In addition he has served on the Board of Directors of the March of Dimes, the Ambassadors of Saint Mary's Hospital, Easter Seals, and the Waterbury Foundation. Paul is the President of Largay Travel, Inc. in Waterbury and resides in Woodbury.

Past Events

March 27, 2007

The dedication of the J. Robert Anthony, MD Cardiac Center took place in the Cardiology wing of the 2nd floor at Saint Mary's Hospital on Tuesday, March 27. Dr. Anthony, hailed by many as the "Dean of Cardiology" in Waterbury, was joined by more than 100 friends, family members, patients, and devoted staff members and colleagues for the dedication ceremony. Saint Mary's Hospital President and CEO Bob Ritz unveiled the Cardiac Center Heart Plaque, made up of individual hearts lovingly donated by those who have been touched by Bob Anthony. Ritz also acknowledged the more than \$170,000 in donations made to the Cardiac Center. Dr. Anthony has served as Chief of Cardiology at Saint Mary's Hospital since 1972.



Dr. J. Robert Anthony expresses heartfelt thanks during the dedication of the Cardiac Center named in his honor.

April 3, 2007

Waterbury's Palace Theater played first-time host of this year's Sponsor Recognition Reception. Attendees were honored at a reception prior to the performance of "Cirque Dreams...a Jungle Fantasy." With over 100 supporters and guests in attendance, the

Foundation thanked our corporate sponsors from the 2006 Golf Tournament and the 2007 Snowflake Ball Gala for their part in the outstanding success of this year's fundraising efforts. The Saint Mary's Hospital Foundation received about 45% (\$340,000) of the \$750,000 dollars raised as a direct result of the corporate commitment of our sponsors.

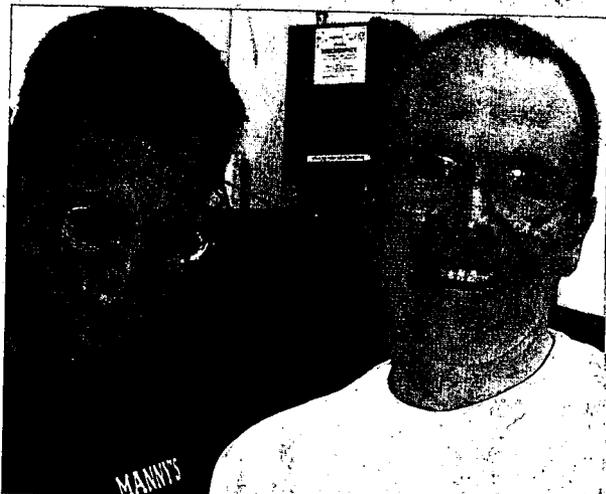


May 11, 2007

The 1st Annual Hoops for Healthcare Basketball Tournament took place at Sacred Heart High School on Friday, May 11th and was an astounding suc-

From left, YMCA's Sal Perugini and our own Robin Cracco, Emergency Department, called the shots as referees during the Hoops for Healthcare event.

From left, Ed Yersak, Information Systems, and Joe Connolly, Marketing, enjoyed the friendly competition for a good cause.



2007 Phonathon volunteer Virginia O'Rourke-Cookson (left) and Chairperson Jaci Carroll.

cess. The event raised nearly \$10,000 and featured six basketball teams competing for the trophy. Each team was drafted from a group of SMH employees representing nearly every department in the hospital - doctors, secretaries, dietary, administration. The teams were captained by Bob Ritz for The Benchwarmers; Joe Connolly for The Slammers; Dr. Mike Ajemian for The Blazin' Blades; Ray Crespo for The Bricklayers; Laurie St. John for The Saints and Ted Barbeau for The Shockers. The Slammers, headed up by Joe Connolly from the Marketing Department, won in a very close race. The Foundation's Lezlye Zupkus summed-up the tournament saying, "Hoops for Healthcare was a wonderful event that not only raised money for the Telemetry Unit and created awareness of the Saint Mary's Hospital Foundation, but also brought about a lot of enthusiasm and camaradery among the employees of the hospital. It truly was a success and will be for years to come." A special thank you to all those who helped make this effort so successful: Sandy Murphy, Eileen Regan and Peter Ewald from Sacred Heart High School; Ralph Thomas, National Anthem; Sal Perugini (YMCA) and Robin Cracco, Referees; Pepsi Bottling Company, MallView Cinemas and PowerStation for their generous donations of beverages, food and entertainment.

May 22 and May 30, 2007

The 2007 Phonathon spanned two May evenings this year, and raised more than \$50,000. Chairperson Jaci Carroll led a team of dedicated volunteers that included staff, board members, and the Saint Mary's community. Volunteers enjoyed a pre-phonathon dinner, and were treated to raffle drawings throughout the evening. The event marks the continuation of a long tradition of support by the Greater Waterbury Community. All monies raised will benefit Patient Care Services.

Make a tax free gift to Saint Mary's Hospital IRA transfer continues through 2007

There is good news for people age 70 1/2 or older. Before you make your 2007 IRA withdrawals there is still time to make a tax free gift to Saint Mary's Hospital. You can take advantage of a new law passed last year that makes it possible to give individual retirement account (IRA) assets to charity, free from federal income tax. The Pension Protection Act of 2006, which includes provisions for charitable giving, remains in effect through the end of 2007.

The law allows those age 70 1/2 or older to transfer up to \$100,000 from an IRA to charity this year, tax-free. If married, each spouse can transfer \$100,000 from his or her IRA. Using IRA assets to make a gift during your life enables you to experience the joy of making a major gift.

Giving a gift from an IRA may be an attractive option for you if you are:

- ▶ 70 1/2 or older and are now receiving minimum IRA distributions - but do not need the extra income.
- ▶ Interested in making a significant lifetime gift.

For more information, contact Peggy Lawlor, President, Saint Mary's Hospital Foundation at (203) 709-6344 or plawlor@stmh.org

Upcoming Events

First Annual Car Raffle

Our first Annual "Dare to Dream" car raffle is under way. The grand prize: a shiny 2007 red Corvette Coupe valued at over \$46,000. Three additional prizes that will be raffled off include a \$1200 gift certificate to Skip Barber Driving School to be used at any SBDS location in the United States, a \$1200 Gift Certificate from Onyx II Jewelers in Watertown, CT good for any purchase and a gift certificate to City Hall Café in Waterbury valued at \$300. Only 1200 tickets will be sold, so be sure to contact the Foundation at (203) 709-6239 to get one before they are all gone! The drawing will take place during our 12th Annual Golf Tournament at The Golf Club at Oxford Greens on September 18, 2007. Winners need not be present.

Show your support for Saint Mary's Hospital Foundation and all that we do by purchasing your tickets now.

12th Annual Champion Golf Classic

This year's golf tournament will take place on Tuesday, September 18 at Oxford Greens Golf Course in Oxford, Connecticut. There will be two flight times available for play, 7:30 a.m. and 1:00 p.m. The tournament usually sells out early, and paid foursomes are accepted on a first-come, first-served basis. Raffle prizes and awards will be presented for both morning and afternoon flights.

Dinner of Champions

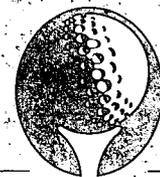
Jaci Carroll, Founder and Chief Executive Officer of Jaci Carroll Staffing, will be graciously honored as this year's 2007 Saint Mary's Hospital Champion. The dinner will take place on Monday, September 17th at the Crowne Plaza in Southbury.

Jaci has been the face of volunteerism in the Waterbury area since 1976. Her history in local organizations includes: First Woman Chairman (1989-1991) of Greater Waterbury Chamber of Commerce; United Way CNV Chairman 1984 Drive; Saint Mary's Hospital Member Board of Directors Foundation, ongoing; Past Member, Connecticut Community Foundation; Past Board Member, Connecticut Small Business Development Center; Founder and Chairman for Annual Fund Raiser Waterbury Youth Services; President of American Staffing Association, 1989. In addition, Jaci's dedication has been acknowledged with several prestigious awards that include the 2000 Malcolm Baldrige Award, Connecticut Community Foundation's Traurig Family Award for Philanthropy, and the UCONN Family Business of the Year Award given by the School of Business Family Business Program. Jaci Carroll has been recognized by countless organizations since 1976 for her efforts and we at Saint Mary's Hospital are proud to list her as one of our Champions. Ms. Carroll resides in Waterbury and is the proud mother of three children and three grandchildren.

Dinner of Champions Co-Chairs are Attorney Norman Drubner and Carmen Vacalebre, Carmen Anthony Restaurant Group President.



Car Raffle Co-Chairs are Fredrick "Fritz" Blasius, Jr. (left) and Jack Barlow (right), Saint Mary's Hospital Foundation board members.



Saint Mary's Hospital 12th Annual CHAMPION GOLF CLASSIC

Golf Tournament
Co-Chairs are
Eric Albert and
Mickey J. Wyse, MD.



"I am thrilled to be honored by Saint Mary's Hospital. I look for every opportunity to help Saint Mary's, and am dedicated to helping move the hospital forward in it's mission. It is a privilege for me to be a part of the Saint Mary's community, and an honor to be recognized."

— JACI CARROLL
Founder and CEO,
Jaci Carroll Staffing

In the house

Recent activities and happenings from around Saint Mary's



< Tuned In for Hospital Week

Josie Soucy, RN, MSN, Division Director, Cardiopulmonary and Critical Care, and Dr. J. Robert Anthony, Chief of Cardiology took to the airwaves on May 7th to discuss the full range of cardiac services available at Saint Mary's Hospital. The live broadcast on WATR radio was part of a National Hospital Week celebration, and provided a comprehensive overview of Saint Mary's services.

Happy Birthday, Florence Nightingale! >

The May 12 birthday of Florence Nightingale marks the end of National Nurses Week, something worth celebrating at Saint Mary's Hospital. Nurses enjoyed a reception that included a blessing of the hands, free massages and soothing hand treatments, gifts, a chocolate fountain, and vendor displays. Taking part were, left to right, Sandra Roosa, RN, MSN, CNAA, Vice President of Patient Care Services; Robin Cracco, RN, Nursing Director, Emergency Department; Sarah Hollman, RN, Xavier 3; and Danielle Watson, RN, Xavier 3.



v Family Affair at Saint Mary's

Saint Mary's was part of the fun and learning on April 2nd when a total of 61 children ages 8 to 14 joined their parents for national "Take Our Daughters and Sons to Work Day." Hospital and medical staff invited their children to learn about daily life at Saint Mary's. From the OR to the ER, and Radiology to radio communications with our rescue team partners, children delighted in the opportunity to see their parents on the job, and in a whole new light.

2103





^ Volunteers Give the Gift of Time

Saint Mary's celebrated National Volunteer Week with a Recognition Luncheon for its 244 active volunteers at the Country Club of Waterbury. The April 26 event also honored 28 special volunteers from seven area towns who achieved service milestones in the past year, ranging from 500 to 14,500 hours. David Cuddeback, an Emergency Department volunteer from Waterbury, earned honors for the most hours served, having achieved 14,000 and 14,500 hour milestones this year. Saint Mary's Health System President and CEO Robert P. Ritz, CHE, thanked volunteers for their 23,100 hours of cumulative service during the past twelve months. "That does not go forgotten," Ritz told the crowd. "It is not taken for granted."

v Healthy Competition

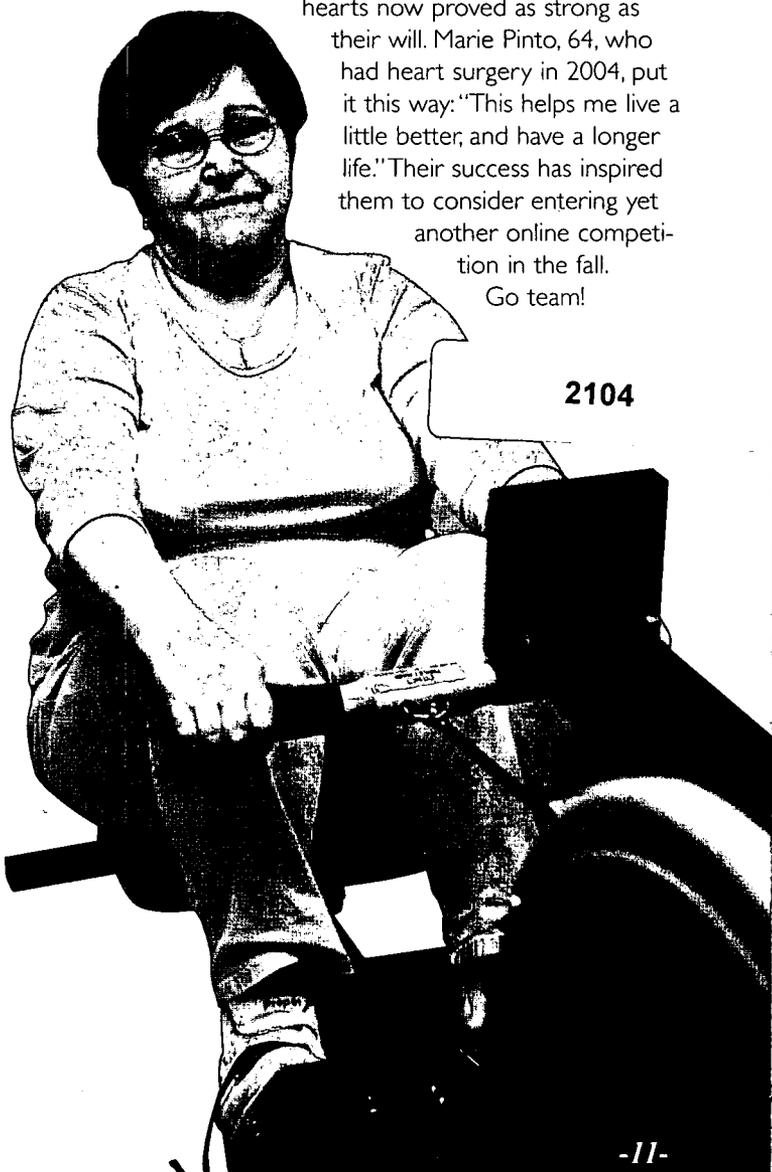
Twenty-six "Rhythmic Rowers" recently competed in an online rowing competition organized by Concept 2, maker of indoor rowing machines. The competition was fierce: high-level college and high school rowing teams, fitness clubs, and even military units. But there was only one cardiac rehab team — the Saint Mary's "Rhythmic Rowers." The team, comprised of cardiac rehabilitation patients and staff, finished in an impressive 52nd place, well ahead of 51 of other teams. And while most of the team came to the center after suffering heart attacks, or enduring angioplasty or coronary bypass procedures, their

hearts now proved as strong as their will. Marie Pinto, 64, who had heart surgery in 2004, put it this way: "This helps me live a little better, and have a longer life." Their success has inspired them to consider entering yet another online competition in the fall. Go team!



^ A True Team Effort

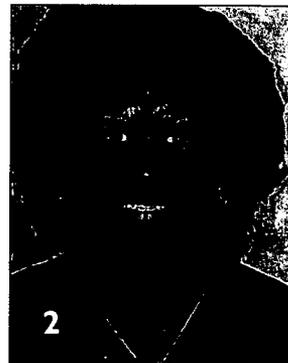
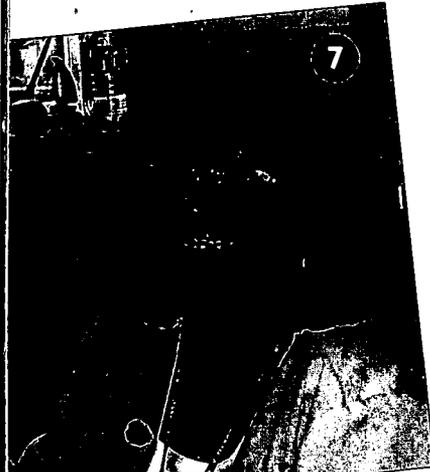
Saint Mary's family members took compassion and caring to the community on May 19 and 20 by participating in the 13th Annual Relay For Life of Greater Waterbury, held at Municipal Stadium. The Saint Mary's team has chipped in over \$4,000 to date through a variety of fund-raising activities and sponsorships, and was well-represented on the track throughout the 24-hour event.



2104

focus on family

Promotions and new faces at Saint Mary's



1 GINA BURROWS, RN, MSN, APRN was named Director of Patient Care, Women and Infants Center and Neonatal Intensive Care Unit. Gina will work closely with Dr. Mark Albini, Chairman of Obstetrics and Gynecology, and Dr. Magdy Galal, Neonatologist. She will also be responsible for Perinatology Services. Gina joined the Saint Mary's family in 1986; she has served in a variety of staff and supervisory capacities since that time.



2 Also in the Women and Infants Center, **ANN M. IANNANTUONI, RN, BSN**, was promoted to Clinical Manager, and will be responsible for the day-to-day operations of the department. Ann joined Saint Mary's Hospital as a staff nurse in 1991; she has been a part of the Women and Infants Center team for the past eight years.

3 JANET WEBER, RN, MSN, was promoted to Division Director of Patient Care for Medical-Surgical Nursing and Pediatrics. All of the clinical managers in the Medical-Surgical Unit and Pediatrics now report directly to Janet.

4 JOSIE SOUCY, RN, MSN, CCRN, CNA, accepted the position of Division Director of Cardio-Pulmonary/Critical Care Services. In her new role, Josie will oversee a full continuum of services, both inpatient and outpatient, associated with Cardiology, Interventional Cardiology, Respiratory Therapy, Pulmonary, Cardiac and Pulmonary Rehabilitation, the Critical Care Unit (ICU), the Cardio Vascular Unit (CVU), and the Telemetry Unit.

5 Five Lead RNs have been promoted to Clinical Managers in their respective units. Congratulations to (pictured left to right): **DAWN WOODRUFF, RN**, Clinical Manager for the CCU, CVU, and Telemetry, **EILEEN RON-DEAU, RN**, Clinical Manager, Pediatrics/Neonatal Intensive Care Unit, **MARY FARKASH, RN**, Clinical Manager, Xavier 3, Medical-Oncology Unit, and **DENISE RICKEVICIUS, RN**, Clinical Manager, O'Brien 4, Neurospine and Orthopedic Unit. **6 CINDY CIPRIANO, RN**, Clinical Manager, O'Brien 7, General Surgery Unit.



7 JAN BROWN, RN, has been named Clinical Manager of Sacred Heart 5, Medical-Surgical Unit. Jan has a varied background in community and hospital nursing.



8 DEBORAH LORENSEN, RHIT, CPC-H has accepted the position of HIM Clinical Coding & Compliance Manager. Debbie, who has been a member of the Saint Mary's Health Information Management department since 1981, is responsible for managing day-to-day Coding and Reimbursement operations. She will also conduct compliance reviews, and coordinate the department's role in overall coding, while providing supervision and training programs for coders, documentation specialists and physicians. Debbie will coordinate HIM department activity, and work on DRG Assurance with HIM and UM Directors.



LEADING THE WAY

Saint Mary's welcomes the newest members of our staff:

In mid May, Saint Mary's welcomed **ED MCCORMACK** as Director of Operations for the Emergency Department. He joins us after several years with Stamford Health systems, where he held a variety of progressive positions in ED operations, including his most recent, Senior Project Administrator and Emergency Manager. As Director of Operations, Ed will report to Thomas Senker, FACHE, Vice President, Operations, while working collaboratively with Robin Cracco and Dr. Peter Jacoby in a service line structure designed to enhance overall ED performance. He will also take lead responsibility for the ED Transformation project, a strategic priority focused on achieving breakthrough process improvement and patient satisfaction.

Also in May, **CARLO MARIANO** joined Saint Mary's as Director of Imaging Services. Carlo comes to us from UMass Memorial Hospital, and Santa Rosa Hospital, California. In his new role, Carlo will work directly with Dr. Lehman, Chairman of Radiology, Mary Anne Carpenter, Clinical Manager, the radiology staff, and Chad Wable, FACHE, Vice President of Ambulatory Care and Business Development. He will work to develop short- and long-range plans for Imaging Services, and be responsible for the hospital's interest in our affiliate imaging centers, while collaborating with NVRA to ensure optimal quality assurance and service.

Saint Mary's Hospital is pleased to welcome **TERRI BALTERA** as the new Auxiliary Marian Gift Shop manager. A former regional manager for The County Seat chain and an eighteen year member of the G.Fox, Filene's, and Macy's department store family, Terri brings a wealth of retail experience to the position.



VITTORIA (VICKY) GUERRERA

Housekeeper, Environmental Services

Our Housekeeping Staff plays a critical role in helping us put our best foot forward, and Vittoria Guerrera — Vicky to friends and co-workers — knows it. Vicky's kindness and pleasant demeanor recently earned her high praise from a long-term patient in the Critical Care department: "She greets me every day with a cheerful thought," our patient notes, "then goes about her job in a highly professional manner." Her co-workers couldn't agree more.

Congratulations to Vicky for this recognition, for her Sodexo Care Award nomination, and for her dedication to Saint Mary's.



JENNIFER ZEIDLER & SANDY BAUMEISTER

Emergency Department Nurses

Nothing brings a unity of purpose to our hospital like a pediatric code; when the code sounds, we all stop, hold our breath, and pray. This was the case in April when a two-month old arrived in Urgent Care. As Jennifer Zeidler attempted to place an IV, the

baby suddenly experienced respiratory arrest. Jenn immediately called for assistance; Sandy Baumeister immediately responded. She quickly whisked the infant up and performed mouth-to-mouth while running to the ED. Our prayers were answered: Jenn and Sandy were instrumental in saving the life of the child. We are all in debt to them for their speedy reactions and grateful we have such exceptional nurses on our team.



JUDY KRAUT

Department of Education

A vendor recently told us, "Judy has a way about her that makes you feel like you are an old friend." And since Judy Kraut has been part of the Saint Mary's family for more than 30 years, many know that feeling well — Judy's "old friends" abound. Her former students, now RNs, reminisce fondly about all they learned from her, while current students revel in her humor, and in the way she makes learning fun. Judy's co-workers simply "feel blessed to work with

such a wonderful and caring nurse, teacher, and friend." Thank you, Judy.



CAROL FORTE

Environmental Services

There is a woman who works in the Emergency Department who impacts each person that walks through the doors. "She is always pleasant." "She is an amazing woman." "The nurses and doctors are the primary faces seen by the patients BUT it is so important that she be recognized...it is my privilege to work the day shift with Carol." Carol Forte has a smile to share with everyone.

"Carol truly does the work of three people and her positive contribution to our ED should be recognized for going above and beyond."



Trouble sleeping? We can help.

Nothing beats a good night's sleep, and the Sleep Center at Saint Mary's Hospital can help you get one. Our highly trained physicians and technical staff provide a comprehensive, multidisciplinary approach to diagnosing and treating sleep disorders. The Sleep Disorder Center houses a state of the art laboratory designed to diagnose sleep problems ranging from Restless Leg Syndrome to Insomnia and Sleep Apnea to Narcolepsy. Our wide range of treatments and interventions ensure that a good night's sleep is in your future.

For more information about Saint Mary's Hospital Sleep Disorders Center, please call us at (203) 709-6243.


Saint Mary's
HOSPITAL

Sleep Disorders Center

1312 West Main Street, Waterbury

503 Wolcott Road, Wolcott

(203) 709-6243

www.stmh.org

Saint Mary's Hospital
56 Franklin Street
Waterbury, CT 06706


Saint Mary's
HOSPITAL
Foundation

Together, Miracles Do Happen!

February 12, 2007

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360



Dear Mr. Sample,

"In emergency situations," says nurse Laurie Danaher, "you draw on everything you know about medicine, then act on that knowledge with expertise and sincere compassion." Laurie should know.

At 30 weeks pregnant with high-risk twins, Laurie was involved in a head-on collision. Suddenly this University of Connecticut nurse was faced with her own emergency.

Fortunately, Carla, the nurse manager on the maternity floor at Saint Mary's, just happened to be in the car in front of Laurie and was first on the scene. She immediately called Saint Mary's to make sure the ER was prepared for Laurie's special needs and those of her unborn children.

The emergency room was packed with patients, but Laurie says she was treated as if she was the only patient in the hospital.

It's this level of care that I believe, and I know you agree, establishes Saint Mary's as a vital community resource. We care about our neighbors. And, we are grateful that we can count on our friends. Today, I hope we can continue to count on you to support Saint Mary's with a gift of \$25, \$35, \$50 or more.

You never know whose life will be touched by your generosity.

"My ankle was literally dangling when I entered the ER," says Laurie, "and there were a host of other medical issues, but I was most terrified for the welfare of my unborn children."

In response to Laurie's fears, the maternity floor sent two nurses, Donna and Ann, to care for her and monitor her unborn children until she was officially admitted. Dr. Peter Anderson, her ER physician, also provided the "calming reassurance" she so "desperately needed."

(Over, please)

At Saint Mary's, our number one priority is to respond to the needs of this community, whether our patients come through our doors for a scheduled appointment or in need of extraordinary emergency care. Your generosity works with us — every step of the way.

But let me tell you the rest of Laurie's story.

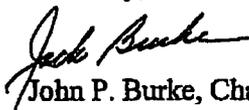
"I was fortunate to have Dr. Mitch Chere (obstetrics) and Dr. Robert Hendrikson (orthopedics) both on call," says Laurie. "People describe them as the best. They are. On April 27, 2006, a month after the accident, Emma and Tegan Danaher were born — healthy and beautiful. My ankle, which had been shattered like a jigsaw puzzle, was miraculously put back together again. And now, I walk with my babies every day!"

Laurie says she will always remember the loving, compassionate and expert care she and her family received at Saint Mary's and that she will "pay it forward." *You pay it forward, too, every time you send a gift in support of the Saint Mary's Hospital Foundation.*

"When a patient is ill or in an accident," Laurie says, "it seems only natural and even understandable for health care professionals to focus their energy on the patient alone. But making me well again was not only about me or my children. It was equally about my husband, family and friends, who are also indebted to the staff of St Mary's."

Again I remind you that you never know who your gift will help, it could be a stranger like Laurie or a loved one or friend. But, I assure you, **your generosity does make a difference.** On behalf of the Foundation's Board of Directors, thank you for your thoughtful support.

Sincerely,



John P. Burke, Chairman
Saint Mary's Hospital Foundation

P.S. While Laurie was at Saint Mary's she experienced the care of 7 different departments — emergency, orthopedics, surgery, recovery, maternity, neonatal intensive care, and physical therapy. She knows first-hand the significance of your support and she thanks you.

End of year solicitation to past donors

November 27, 2006

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

I am writing to thank you again for your steadfast and generous support of Saint Mary's Hospital. Your philanthropic leadership is helping us demonstrate to others in our community the importance of Saint Mary's many healthcare and life saving services and the exceptionally high quality of our physicians, nurses and staff members.

This past year, due to your generosity, we were able to implement some of our strategic plan initiatives: renovations in our Emergency Department were completed to accommodate a 4.8% increase in emergency room visits; a new facility in Wolcott and the west end of Waterbury were opened to satisfy patient demand; our new interventional radiology suite is bringing the latest technology to patients right here in Waterbury; and our Chapel, the spiritual center of the hospital, was updated.

As the year draws to a close, I ask you to remember Saint Mary's Hospital in your year-end philanthropy planning. Your gifts, to the Saint Mary's Fund, have helped us to add and expand services, upgrade our facilities, improve our community's health, and contribute toward our vision of being the best hospital in our region. Philanthropy has also helped us to remain true to the promise of the Hospital's founders, when they built this Catholic hospital nearly 100 years ago — *to safeguard the health of everyone in our service area regardless of their ability to pay.*

Saint Mary's Hospital began its journey through loving gifts of faith and funds. I now ask you to help us with our *Journey to Lasting Success* and continue this tradition with a generous year-end gift to Saint Mary's.

Sincerely and best wishes for a happy and healthy holiday,



John P. Burke
Chairman
Saint Mary's Hospital Foundation

Direct Mail Solicitation


Saint Mary's
HOSPITAL
Foundation

Together, Miracles Do Happen!

November 22, 2006

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample,

A young man in his mid-40s was injured and admitted to another nearby hospital. But despite treatment, his injured foot would not heal. *In fact, he developed an infection and was told that he would lose his leg.* The surgery was scheduled. Then the man was transferred to Saint Mary's Hospital, where experts in our Wound Healing & Hyperbaric Center took a second look.

After treatment here, including specialized wound healing techniques and hyperbaric oxygen therapy, his leg was saved, with the exception of one toe. The young man is now back at work, able to play with his children and walking just fine.

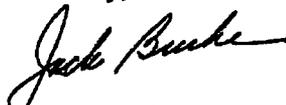
It's a remarkable story – and it's just one example of what can happen when you combine a highly skilled staff and the latest treatments with the generosity of this community.

Looking back on 2006, we have a lot to be thankful for at St. Mary's. We completed a major renovation and expansion of our Emergency Department – one of the busiest in the state. We also raised funds to improve our cardiac program, which is providing quality care for hundreds of patients each year, right here at home.

We couldn't have done so much without friends like you. Your generosity makes a tremendous difference in the lives of patients like the young man whose leg was saved. As the year ends, I hope you'll send a gift of \$50, \$75, \$100 or more to help ensure that St. Mary's continues to be here for you and this community in the future. Like that young man, we are looking for all the promise the future holds.

Thank you and God bless you for your support.

Sincerely,



John P. Burke
Chairman
Saint Mary's Hospital Foundation
Board of Directors

P.S. In so many ways, your support helps to bring quality healthcare home to this community. Please give as generously as you can.

56 Franklin Street • Waterbury, CT 06706 • (203) 709-6390 • Fax: (203) 709-3272 • Email: Foundation@stmh.org

Saint Mary's 2007 Jaci Carroll Dinner Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh07carroll	Saint Mary's 2007 Jaci Carroll	160	\$0.00	\$23,050.00	\$39,515.00	\$1,134.43	\$63,699.43
	and Totals:		\$0.00	\$23,050.00	\$39,515.00	\$1,134.43	\$63,699.43

160 Gift(s) listed

130 Donor(s) listed

Saint Mary's 2007 Jaci Carroll Dinner Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
golf2007inv	Golf '07 Invite for Jaci Carroll	99	\$0.00	\$8,000.00	\$18,100.00	\$0.00	\$26,100.00
jacidona07	Jaci Carroll Dinner Donations	61	\$0.00	\$15,050.00	\$21,415.00	\$1,134.43	\$37,599.43
	and Totals:		\$0.00	\$23,050.00	\$39,515.00	\$1,134.43	\$63,699.43

160 Gift(s) listed

130 Donor(s) listed

Yes, I/we will be attending the 2007 Saint Mary's Hospital Dinner of Champions.

Will not be attending, but enclosed is a donation towards the Jaci Carroll Fund at Saint Mary's Hospital Foundation.

\$1000 \$500 \$250 \$100 other

Name(s) _____

Address _____

Phone _____

of Tickets _____ Amount _____ Check enclosed _____

Credit Card _____ Number _____

Exp. Date _____



2007 Saint Mary's Hospital, Champion
Jaci Carroll
Chairman and Founder
Jaci Carroll Staffing Services, Inc.

Jaci Carroll, founder and Chairman of Jaci Carroll Staffing will be honored as this year's 2007 Saint Mary's Hospital Champion. A member of the Saint Mary's Hospital Foundation Board of Directors, Jaci has been the driving force behind the success of the hospital for 16 years. In addition, she has served as Chairman of the annual hospital fund-raiser for many years and this year during which over 140,000 was raised for the Patient Care Fund in two evenings.

Jaci has been the face of volunteerism in the Waterbury area since 1976. Her memberships in local organizations include among others the following: First Woman Chairwoman (1989-1991) of the Greater Waterbury Chamber of Commerce, and the first Woman Chairman of the United Way Campaign in 1982. A recent board member of Saint Mary's Hospital and a past member of the board of the Connecticut Small Business Development Center, she is Founder and Chairman of the Santa Toy Fund for Waterbury Youth Services, a past board member of Literacy Volunteers, the Waterbury Symphony Orchestra, Girl's Inc., Itikyo Post University and Easter Seal.

Her professional affiliations include past President of American Staffing Association, past President of the National Association of Temporary and Staffing Services, Inc. a Member of CT Association of Small Business, In addition, Jaci's leadership has been recognized by having received several prestigious awards that include the 2000 Malcolm Baldrige Award, the Connecticut Community Foundation's Young Family Award for Philanthropy, the DUCON Family Business of the Year Award for 2002, the Connecticut Family Service Award, the Frederick and Lucy Kelling Award by United Way of Greater Waterbury and the Woman of the Year by Girl's Inc. in honor a few. Her commitment and dedication to her community and to the philanthropic needs of the non-profit community are the hallmarks of her illustrious career. Jaci Carroll has been recognized by countless organizations since 1976 for her efforts and we at Saint Mary's Hospital are proud to honor her as our 2007 Saint Mary's Hospital Champion. Her home is in Waterbury and is the proud mother of 3 children as well as 3 grandchildren.

Monday, September 17, 2007
DINNER OF CHAMPIONS

Honoring the 2007 Saint Mary's Hospital Champion
Jaci Carroll
 Chairman and Founder
 Jaci Carroll Staffing Services, Inc.
 and the sponsors of the Saint Mary's Hospital
 2007 Champion Golf Classic

6 p.m. Cocktails
 7 p.m. Dinner
 Crowne Plaza *Formerly Hilton Southbury*
 1284 Strongtown Road, Southbury

This year the traditional golf dinner will be held the evening of Monday, Sept. 17, before the golf tournament on Tuesday, September 18. Please join us in honoring our 2007 Saint Mary's Hospital Champion, Jaci Carroll and in honoring our generous sponsors of the 2007 Champion Golf Classic.

Directions to Crowne Plaza *Formerly Hilton Southbury*, Southbury:
 I-84 East or West to Exit 16. (to right at the end of the exit ramp onto Strongtown Road. Hotel is 0.25 miles on the right.)
 Hotel Phone: 203-598-7400



Saint Mary's Hospital
With Sponsors
CHAMPION GOLF CLASSIC

2007 Saint Mary's Hospital Champion
Jaci Carroll
 2007 Co-Chair
 Eric D. Albert and Mickey J. Wyse, MD

Thursday, September 18, 2007
12TH ANNUAL
CHAMPION GOLF CLASSIC
New Venue! New Date
Monday, September 17, 2007
DINNER OF CHAMPIONS



Webster
 We Find a Way
 Proud Tournament Sponsor

**Saint Mary's Hospital 12th Annual
 Champion Golf Classic Committee**

Co-Chairmen
 Eric D. Albert and Mickey J. Wyse, MD

Committee Members

- | | |
|-------------------------|----------------------|
| Ed Barbeau | Donna Perri |
| Kristen Bulawitch | Kathy Smith |
| Mark Casey | Al Vignini |
| Robert C. Caulfield Jr. | Stephen Williams, MD |
| Bill Coffrances | Mark Vause, Jr. |
| Joe Commanshore | Michael Starckin, MD |
| | Sharon Zilbery |

Directions:
 The Golf Club at Oxford Greens
 99 Centers Club Road
 Oxford, CT
 203-888-1600

From Western Connecticut
 CT - I-84 Exit to Exit 16 - Middlebury - Route 156
 Left from ramp onto R. 156
 Pass Chamber's sign on the left proceeding for about 1/2 mile to east
 left turn - Oxford Airport sign. Continue to second right - Christian Street.
 At stop sign, left turn onto Jack's Hill Road (approximately 2.3 1/2 miles).
 Proceed on Jack's Hill Road to stop sign at Ridge Street (approximately 1.2 miles).
 Continue straight onto Country Club Drive, Golf Club and sign will be on the left.

From Eastern Connecticut
 CT - I-84 West to Exit 16 - Southford - Route 188 -
 See Directions above



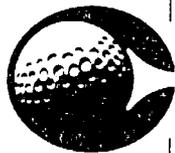
BROWN RUDNICK
 Proud Chairman Sponsor

**Thank You...
& In-Kind Donors**

Adam Broderick
Ayla's Bagel and Deli
Budweiser
Bally Total Fitness
Carlito's of Woodbury
Carmen Anthony Restaurant Group
Curbside
Eastern Bag & Paper Company
Ethan Allen Inn
Farrell Marketing and Design
Fowler Fruit
Hartford Stage
Hickory Stick Bookshop
Home Depot
IKON Solutions
John's Cafe
KarTele
LaBonnes Market
Lime Rock Park
Long Wharf Theatre
Metro Bis
Mohegan Sun
NEJ
New Morning Natural and Organic
Northeast Communications
O'Rourke & Birch Florists, Inc.
Palace Theatre
Pantry Restaurant
Peter Paul Hershey Candy
PowerStation
Praxair Health Services
Ritz Camera Center
San Marino Restaurant
Stew Leonard's
Swiss Army Brands
Thomaston Opera House
Timex
Tre's Jolie Day Spa
Triple Stitch Sportswear
Uniquely Yours Photography
Vendors
Wadsworth Athenaeum
Warner Theatre
Waterbury Country Club
Waterbury Symphony Orchestra
Wesson Energy, Inc.
Wild Strawberry
Yale Repertory Theatre

2007 Golf Classic

Chairman
Eric D. Albert
Mickey J. Wyse, MD
Committee
Ted Barbeau
Kristen Bulkovitch
Mark Casey
Bob Caulfield
Bill Cofrances
Joe Commendatore
Michael Curtin
Bernie Evans
Lenny Genovese
John Jenusaitis
Ray Massicotte
Michael Matzkin, MD
Donata Perru
Kathy Smith
Al Vagnini
Mark Yanarella
Sharon Zilahi
Volunteers
Sylvia Albert
Lisa Boudreau
Gina Burrows
Robin Cracco
Michael Curtin
Terry Dudrick
Chris Emerton
Nancy Feola
Ann Ferraro
Bonnie Forcucci
Colleen Genovese
Luke Genovese
Judy Giammarco
Peter Jacoby, MD
Alice Krasow
Sarah Matzkin
Frank Occkowski
Carolyn Orrell
Renee Perreault-Warner
Sandy Roosa
Fernanda Silva
Ron Sisbarro
Karen Stary
Barbara Sullivan
Ralph Thomas
Walt Weigl
Jan Young
Renee Young



**Saint Mary's Hospital
CHAMPION GOLF CLASSIC**

2007 Saint Mary's Hospital Champion
Jaci Carroll

2007 Co-Chairs
Eric D. Albert and Mickey J. Wyse, MD

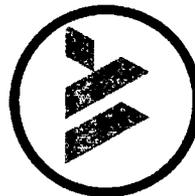
Tuesday, September 18, 2007

Tournament Co-Chairmen

**Eric D. Albert
President
Albert Brothers, Inc.**

**Mickey J. Wyse, MD
Emergency Department
Saint Mary's Hospital**

**The Golf Club at Oxford Greens
99 Country Club Drive
Oxford, CT 06478**



Webster
We Find a Way

SCHEDULE FOR THE DAY

Schedule:

	1st Flight	2nd Flight
Shotgun Start:.....	7:30 a.m.	
Registration:.....	6:30 a.m.	11:00 a.m.
Driving Range:	6:30 a.m.	11:00 a.m.
Continental Breakfast:.....	6:30 a.m.	
Lunch:.....	11:30 a.m.	11:30 a.m.
Shotgun Start:.....		1:00 p.m.
Cocktails:.....		6:00 p.m.

Golf Contests

Team Scramble

Low Gross Team Score

Low Net Team Score

Closest to the Pin on Hole # 9 and #16

Closest to the Line on Hole # 1

Longest Drive – Men & Women on Hole #12

Prizes for first hole-in-one on all par 3 holes:

Hole #13: 2007 Blasius Chevrolet Cobalt

Hole #2: 5 day/4 night fabulous Fairmont Resort Golf Vacation

Hole #16: GMAC Smartcare and Nike Sasquatch Driver

Hole #9: Nike Golf Balls – 36 Dozen Power Distance Golf Balls

Hole In One prizes generously donated by:

BLASIUS CHEVROLET & CADILLAC, INC.

TOURNAMENT SPONSOR
Webster

CHAIRMAN SPONSOR
Brown Rudnick Berlack Israels LLP

PLATINUM SPONSOR
Anthem Blue Cross & Blue Shield of CT
Sodexo Health Care Services

GOLD SPONSORS

Albert Brothers, Inc.
Naugatuck Savings Bank
Naugatuck Valley OBGYN

SILVER SPONSORS

Campion Ambulance Service, Inc.
SIEMENS

BRONZE SPONSORS

Eclipsys Corporation
John Hancock
Naugatuck Valley Surgical Center
Sinclair Insurance Group

GREEN/TEE SPONSORS

Abbott Terrace Health Center
All Lawn Care
Bank of America
Bunker Hill Pharmacy
Crystal Rock
D & D Painting Services
Eyelet Crafters, Inc
F.B. Mattson Co., Inc
HRAdvantage, LLC
Hughes & Cronin
Michael Maglaras & Company
Naugatuck Valley Savings & Loan
Nuzzo & Roberts, LLC
RC Knox

BRASS SPONSORS

American Savings Foundation
AT&T
Cardiology Associates of Waterbury
Century Financial Services
ConnectiCare, Inc.
Connecticut Credit LLC
Eagle Rivet Roof Service Corp
The Eastern Company
Gem Manufacturing Co., Inc.
GSS Industries
H. D. Segur
Hammes Company
Health Net of Northeast, Inc.
JL Consulting
Medtronic

Recall
Saint Francis Hospital & Medical Center
SignPro
SKM Enterprises
Towers Perrin
UHY
VNA Health Care, Inc.

SUPPORTERS

Coldwell Banker Realty 2000
Gaylord Hospital
GBM Healthcare Management
GETINGE
Health Complex Pharmacy & Medical Supply
J & L Medical Services
Litchfield Bancorp
National Healing Corporation
The Siemon Company
Shattuck Hammond
SSIMED
Triple Stitch Sportswear
USA Hauling & Recycling, Inc
Wolcott View Manor

Fund Summary Report Saint Mary's 2007 Golf Tourney Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh07golf	Saint Mary's 2007 Golf Tourm	166	\$0.00	\$71,960.00	\$147,250.00	\$8,795.84	\$228,005.84

and Totals:

166 Gift(s) listed

151 Donor(s) listed

\$0.00

\$71,960.00

\$147,250.00

\$8,795.84

\$228,005.84

Appeal Summary Report

Saint Mary's 2007 Golf Tourney Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledged Gift	Gifts in Kind	Total
golff2007	Golf Tournament 2007	48	\$0.00	\$9,560.00	\$17,110.00	\$0.00	\$26,670.00
golfdonations07	Golf Donations 2007	18	\$0.00	\$0.00	\$7,660.00	\$0.00	\$7,660.00
golfmullam07	Golf Tourney Mulligan A.M. S	1	\$0.00	\$0.00	\$320.00	\$0.00	\$320.00
golfmullipm07	Golf Tourney Mulligan P.M. S	2	\$0.00	\$0.00	\$800.00	\$0.00	\$800.00
golffraff07	Golf '07 Raffle Prizes	32	\$0.00	\$0.00	\$0.00	\$8,795.84	\$8,795.84
golffraffproam07	Golf Raffle Proceeds A.M. '07	1	\$0.00	\$0.00	\$1,250.00	\$0.00	\$1,250.00
golffraffpropm07	Golf Raffle Proceeds P.M. '07	1	\$0.00	\$0.00	\$1,910.00	\$0.00	\$1,910.00
golffsp2007	Golf '2007 Sponsorships	62	\$0.00	\$62,400.00	\$115,700.00	\$0.00	\$178,100.00
grant	Grant	1	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
and Totals:			\$0.00	\$71,960.00	\$147,250.00	\$8,795.84	\$228,005.84

166 Gift(s) listed

151 Donor(s) listed

Monday, September 17, 2007

DINNER OF CHAMPIONS



Honoring the 2007 Saint Mary's Hospital Champion
Jaci Carroll

Chairman and Founder

Jaci Carroll Staffing Services, Inc.

and the sponsors of the Saint Mary's Hospital
2007 Champion Golf Classic

6 p.m. Cocktails

7 p.m. Dinner

Crowne Plaza (formerly Hilton Southbury)

1284 Strongtown Road, Southbury

This year the traditional golf dinner will be held the evening of Monday, Sept. 17, before the golf tournament on Tuesday, September 18. Please join us in honoring our 2007 Saint Mary's Hospital Champion, Jaci Carroll and in honoring our generous sponsors of the 2007 Champion Golf Classic.

Directions to Crowne Plaza (formerly Hilton Southbury), Southbury:

I-84 East or West to Exit 16. Go right at the end of the exit ramp onto Strongtown Road. Hotel is 0.25 miles on the right.

Hotel Phone: 203-598-7600

Saint Mary's Hospital

19th Annual

CHAMPION GOLF CLASSIC

2007 Saint Mary's Hospital Champion
Jaci Carroll

2007 Co-Chairs
Eric D. Albert and Mickey J. Wyse, MD

Tuesday, September 18, 2007

12TH ANNUAL

CHAMPION GOLF CLASSIC

New Venue/ New Date

Monday, September 17, 2007

DINNER OF CHAMPIONS



Webster

We Find a Way

Proud Tournament Sponsor

Mary's Hospital 12th Annual Champion Golf Classic Committee

Co-Chairmen

Eric D. Albert and Mickey J. Wyse, MD

Committee Members

Ted Barbeau	Michael Curtin	Donata Perra
Kristen Bulkovitch	Bernie Evans	Kathy Smith
Mark Casey	Lenny Genovese	Al Vagnini
Robert C. Caulfield Jr.	John Jenusaitis	Stephen Widman, MD
Bill Cofrances	Ray Massicotte	Mark Yanarella
Joe Commendatore	Michael Matzkin, MD	Sharon Zilayah

Directions:

The Golf Club at Oxford Greens
99 Country Club Road
Oxford, CT
203-888-1600

From Western Connecticut

CT - I-84 East to Exit 16 - Middlebury - Route 188
Left from ramp onto Rt. 188.

Pass Chentura sign on the left proceeding for about 1/2 mile to next left turn - Oxford Airport sign. Continue to second right - Christian Street. At stop sign, left turn onto Jack's Hill Road (approximately 2-2 1/2 miles). Proceed on Jack's Hill Road to stop sign at Riggs Street (approximately 1/2 mile). Continue straight onto Country Club Drive. Golf Club and sign will be on the left.

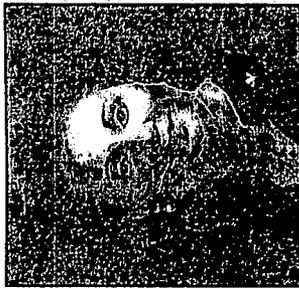
From Eastern Connecticut

CT - I-84 West to Exit 16 - Southford - Route 188 -
See Directions above.

2124

BROWNRUDNICK

Proud Chairman Sponsor



2007 Saint Mary's Hospital Champion
Jaci Carroll
Chairman and Founder
Jaci Carroll Staffing Services, Inc.

Jaci Carroll, Founder and Chairman of Jaci Carroll Staffing will be honored as this year's 2007 Saint Mary's Hospital Champion. A member of the Saint Mary's Hospital Foundation Board of Directors, Jaci has been the driving force behind the success of the hospital Galas for 16 years. In addition, she has served as Chairman of the annual hospital phonathon for many years including this year during which over \$40,000 was raised for the Patient Care Fund in two evenings.

Jaci has been the face of volunteerism in the Waterbury area since 1976. Her memberships in local organizations include among others the following: first Woman Chairman (1989-1991) of the Greater Waterbury Chamber of Commerce, and the first Woman Chairman of the United Way Campaign in 1984. A present board member of Saint Mary's Hospital Foundation, Jaci has served as a past board member of the Connecticut Community Foundation, the Connecticut Small Business Development Center, as Founder and Chairman of the Santa Toy Fund for Waterbury Youth Services, a past board member of Literacy Volunteers, the Waterbury Symphony Orchestra, Girl's Inc., Teikyo Post University and Easter Seals.

Her professional affiliations include past President of American Staffing Association, which bestowed the ASA Leadership Hall of Fame Award in 1998, the highest honor given by ASA. A Member of CT Association of Temporary and Staffing Services, Inc., the Independent Staffing Forum, Jaci served as a Congressional Delegate to the White House Conference on Small Business. In addition, Jaci's leadership has been recognized by having received several prestigious awards that include the 2000 Malcolm Baldrige Award, the Connecticut Community Foundation's Traurig Family Award for Philanthropy, the UCONN Family Business of the Year Award given by the School of Business Family Business Program, the Teikyo Post University Distinguished Community Service Award, the Frederick and Lucy Kellogg Award by United Way of Greater Waterbury and the Woman of the Year by Girl's Inc. to name a few. Her commitment and dedication to her community and to the philanthropic needs of the non-profit community are the hallmarks of her illustrious career. Jaci Carroll has been recognized by countless organizations since 1976 for her efforts and we at Saint Mary's Hospital are proud to honor her as our 2007 Saint Mary's Hospital Champion. Ms. Carroll resides in Waterbury and is the proud mother of 3 children as well as 3 grandchildren.

BRASS SPONSORSHIP

\$2,500

Complimentary foursome; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

DRIVING RANGE SPONSORSHIP RECOGNITION

\$1,750

GOLF TEE SPONSORSHIP RECOGNITION

\$1,500

GREENS SPONSORSHIP RECOGNITION

\$1,350

Yes, I am interested in a sponsorship opportunity I have made my selection above.

Amount Enclosed: \$ _____

I am interested in a sponsorship opportunity. Please contact me with more information.

NAME (PLEASE PRINT) _____ TEL _____

COMPANY NAME _____

STREET _____ CITY _____ STATE _____ ZIP _____

PREFERRED EMAIL ADDRESS _____ HOME BUSINESS

Please return this form by 8/17/2007 to

Marilyn Slowik, Saint Mary's Hospital Foundation, 56 Franklin Street
Waterbury, CT 06706

Phone # (203) 709-6390 Fax # (203) 709-3272

Email: marilyn.slowik@stmh.org

The Tournament is usually sold-out early, and paid foursomes are accepted on a first come - first served basis.

Tuesday, September 18, 2007

SAINT MARY'S HOSPITAL 12TH ANNUAL CHAMPION GOLF CLASSIC

The Golf Club at Oxford Greens
99 Country Club Drive, Oxford, Connecticut

SCHEDULE	Morning Golf	Afternoon
Registration	6:30 a.m. - 11 a.m.	11 a.m. - 1 p.m.
Driving Range	6:30 a.m. - 11 a.m.	11 a.m. - 1 p.m.
Continental Breakfast	6:30 a.m. - 7:30 a.m.	11:30 a.m. - 1 p.m.
Shotgun Start	7:30 a.m.	11:30 a.m.
Lunch	11:30 a.m. - 1 p.m.	1 p.m. - 1:30 p.m.
Shotgun Start		1 p.m.
Cocktails		6:00 - 8:00 p.m.

Morning Flight - Scramble Format

Afternoon Flight - Scramble Format

Raffle Prizes will be drawn for both Morning and Afternoon flight

Tournament Prizes awarded for both Morning and Afternoon flight

Low Gross Team Score

Low Net Team Score

Closest to the Pin

Longest Drive - Men & Women



Saint Mary's Hospital
12th Annual
CHAMPION GOLF CLASSIC

SEPTEMBER 17, 2007
DINNER OF CHAMPIONS

SEPTEMBER 18, 2007
THE GOLF CLUB AT OXFORD GREENS

SPONSORSHIP OPPORTUNITIES

TOURNAMENT SPONSORSHIP \$15,000

Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials; Corporate banner; Lead name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site – Golf Tournament page; Preferred playing time (AM or PM).

CHAIRMAN SPONSORSHIP \$12,000

Complimentary foursome and Lunch Sponsorship Recognition; Name/logo featured in all promotional materials; Corporate banner; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

PLATINUM SPONSORSHIP \$8,000

Complimentary foursome and Snack Shacks/Hospitality Carts Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLD SPONSORSHIP \$6,000

Complimentary foursome and Cocktail Hour Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site – Golf Tournament page; Preferred playing time (AM or PM).

SILVER SPONSORSHIP \$4,500

Complimentary foursome and Breakfast Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRONZE SPONSORSHIP \$3,500

Complimentary foursome and Putting Green Sponsorship Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRASS SPONSORSHIP \$2,500

Complimentary foursome; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

DRIVING RANGE SPONSORSHIP RECOGNITION\$1,750

GOLF TEE SPONSORSHIP RECOGNITION.....\$1,500

GREENS SPONSORSHIP RECOGNITION.....\$1,350

— Yes, I am interested in a sponsorship opportunity I have made my selection above.

Amount Enclosed: \$ _____

— I am interested in a sponsorship opportunity. Please contact me with more information.

Name (Please Print)

Telephone

Company Name

Street

City

State

Zip

Preferred Email Address

Home

Business



Please return this form by 8/17/2007 to

Marilyn Slowik

Saint Mary's Hospital Foundation - 56 Franklin Street - Waterbury, CT 06706

Phone #: (203) 709-6390 Fax #: (203) 709-3272

Email: marilyn.slowik@stmh.org



SAINT MARY'S HOSPITAL
12th ANNUAL CHAMPION GOLF CLASSIC
INFORMATION SHEET



Date: Tuesday, September 18, 2007
Place: The Golf Club at Oxford Greens
Address: 99 Country Club Drive
 Oxford, CT 06478
Chairman: Eric D. Albert
 Mickey Wyse, M.D.
Web Address: www.stmh.org

Schedule:	1 st Flight	2 nd Flight
Registration:	6:45 a.m.	11:00 a.m.
Driving Range:	6:45 a.m.	11:00 a.m.
(Golf Clinic prior to tee-off) Continental Breakfast:	6:45 a.m.	
Lunch:	11:30 a.m.	11:30 a.m.
Shotgun Start:	7:30 a.m.	1:00 p.m.
Format:	Scramble	Scramble
Cocktails:		5:30 – 7:30 p.m.
Note: Two Tournaments (Prizes and Awards presented after each flight)		

Price for Golf Registration:

1st Flight includes: breakfast, lunch, refreshments, gifts, driving range, cart, green fees.

\$290 per person

2nd Flight includes: lunch, cocktails, refreshments, gifts, driving range, cart, greens fees.

\$290 per person

NOTE: Sponsors have first choice of flights to play golf. Once all the sponsors have signed up, remaining slots in both flights will be available to all players based upon the date the paid registration form was received. The deadline for sponsors to sign up is August 18, 2006 to be guaranteed a choice.

Dinner Only held Monday evening, 9/17/07, Crowne Plaza Hotel (formerly Southbury Hilton)
 1284 Strongtown Road \$100 per person

Price for dinner included for all Sponsors and golf registrants.

Tournament prizes awarded:
 1st and 2nd Low Gross Team Score
 1st and 2nd Low Net Team Score
 Closest to the Pin – Closest to the Line
 Longest Drive – Men and Women

Great Prizes for the first hole-in-one on each par 3 holes!

*Strongtown Golf Club has a "spikeless" rule. Replace spikes or come early and have it done for you free of charge.
 No raindate unless course is closed due to inclement weather.*

To register or for more information, call the Foundation Office at (203)709-6390,
 or Fax (203)709-3272 or email: marilyn.slowik@stmh.org

Golf 2007 Past Sponsorship solicitation

July, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 12th Annual Champion Golf Classic is scheduled for *Tuesday, September 18, 2007 at The Golf Club at Oxford Greens*. We hope that you will consider being a sponsor again this year.

This year's tournament promises to be even better than before. We are very excited to be at the new venue. The Golf Club at Oxford Green's neo-classic design harkens back to the golden era of golf architecture. Winding its way through pristine wooded areas, natural preserves and open meadows, The Golf Club at Oxford Greens captures the feel and essence of New England's most revered courses. The 7,186-yard layout has already been heralded by media throughout the region for its thought-provoking layout and accessible location.

With a host of accolades, "Connecticut's #3 Course," "A course for all players" and "A new classic in Connecticut...a throwback to the British Isles within striking distance of New York City" you are sure to have a wonderful day on the course.

This year, the Saint Mary's Hospital Champion Golf Classic honors Jaci Carroll, Founder and Chairman of Jaci Carroll Staffing, Inc.

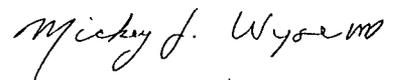
As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 12th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Jaci Carroll, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Eric D. Albert
President
Albert Brothers, Inc.



Mickey J. Wyse, M.D.
Emergency Department
Saint Mary's Hospital

Golf 2007 Past Raffle Prize Solicitation

July, 2007

«CnRelCt_2_01_Saluta_Addressee» «CnRelCt_3_01_Position»
«CnBio_Org_Name»
«CnRelCt_2_01_Adr_Addrline1»
«CnRelCt_2_01_Adr_Addrline2»
«CnRelCt_2_01_Adr_City», «CnRelCt_2_01_Adr_State»
«CnRelCt_2_01_Adr_ZIP»

Dear «CnRelCt_2_01_Saluta_Salutation»:

Plans for Saint Mary's Hospital Foundation's Twelfth Annual Champion Golf Classic are underway. This year's tournament is scheduled for ***Tuesday, September 18, 2007 at The Golf Club at Oxford Greens***. We hope that you will once again consider donating a raffle prize to the event.

This year's tournament promises to be even better than before. We are very excited to be at the new venue. The Golf Club at Oxford Green's neo-classic design harkens back to the golden era of golf architecture. Winding its way through pristine wooded areas, natural preserves and open meadows, The Golf Club at Oxford Greens captures the feel and essence of New England's most revered courses. The 7,186-yard layout has already been heralded by media throughout the region for its thought-provoking layout and accessible location.

With a host of accolades, "**Connecticut's #3 Course**," "**A course for all players**" and "**A new classic in Connecticut...a throwback to the British Isles within striking distance of New York City**" you are sure to have a wonderful day on the course.

This year, the Saint Mary's Hospital 2007 Dinner of Champion the evening prior to the tournament honors our sponsors and Jaci Carroll, Founder and Chairman of Jaci Carroll Staffing, Inc.

Please refer to the prize donation form enclosed. We expect a sell out again this year. We also hope you can join us for a day of great golf.

Thank you for your continued support.

Sincerely,



Eric D. Albert
President
Albert Brothers, Inc.



Mickey J. Wyse, M.D.
Emergency Department
Saint Mary's Hospital

Golf 2007 New Sponsorship solicitation

July, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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This year's tournament promises to be even better than before. We are very excited to be at the new venue. The Golf Club at Oxford Green's neo-classic design harkens back to the golden era of golf architecture. Winding its way through pristine wooded areas, natural preserves and open meadows, The Golf Club at Oxford Greens captures the feel and essence of New England's most revered courses. The 7,186-yard layout has already been heralded by media throughout the region for its thought-provoking layout and accessible location.

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As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 12th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Jaci Carroll, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Eric D. Albert
President
Albert Brothers, Inc.



Mickey J. Wyse, M.D.
Emergency Department
Saint Mary's Hospital

Golf 2007 New Registration Solicitation

July, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

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This year's tournament promises to be even better than before. We are very excited to be at the new venue. The Golf Club at Oxford Green's neo-classic design harkens back to the golden era of golf architecture. Winding its way through pristine wooded areas, natural preserves and open meadows, The Golf Club at Oxford Greens captures the feel and essence of New England's most revered courses. The 7,186-yard layout has already been heralded by media throughout the region for its thought-provoking layout and accessible location.

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This year, the Saint Mary's Hospital Champion Golf Classic honors Jaci Carroll, Founder and President of Jaci Carroll Staffing, Inc.

We are hoping you will consider golfing this year and we expect the event to sell out once again. Please join Saint Mary's Hospital and your fellow golfers for the 12th Annual Golf Classic to benefit the patient care fund at the hospital. A dinner honoring Jaci Carroll, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your registration form in the enclosed envelope. Thank you.

Sincerely,



Eric D. Albert
President
Albert Brothers, Inc.



Mickey J. Wyse, M.D.
Emergency Department
Saint Mary's Hospital

Golf 2007 Raffle Prize Solicitation

July, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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Please refer to the prize donation form enclosed. We expect a sell out again this year. We also hope you can join us for a day of great golf.

Thank you for your continued support.

Sincerely,



Eric D. Albert
President
Albert Brothers, Inc.



Mickey J. Wyse, M.D.
Emergency Department
Saint Mary's Hospital

Fund Statement Report Saint Mary's 2007 Phonathon Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh07phona	Saint Mary's 2007 Phonathon I	800	\$25.00	\$33,373.00	\$9,032.00	\$0.00	\$42,430.00

and Totals:

\$25.00

\$33,373.00

\$9,032.00

\$0.00

\$42,430.00

800 Gift(s) listed

785 Donor(s) listed

Appeal Summary Report Saint Mary's 2007 Phonathon Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2007	Phonathon 2007	800	\$25.00	\$33,373.00	\$9,032.00	\$0.00	\$42,430.00
	800 Gift(s) listed		\$25.00	\$33,373.00	\$9,032.00	\$0.00	\$42,430.00
	783 Donor(s) listed						

and Totals:

⊕

Saint Mary's HOSPITAL Foundation

May, 2007

Dear Friend of Saint Mary's Hospital,

Thank you for considering a contribution to Saint Mary's Hospital's Seventeenth Annual Phonathon. Our past phonathons and other fundraising special events, move us closer toward our vision, to be the healthcare provider of choice in the regional service area.

This year, the proceeds will be used for patient care services. We feel raising funds for patient care services will help us continue *"Our Journey to Lasting Success."* With a record number of patient visits last year, these funds will help us to continue to provide excellent healthcare in a spiritually enriched environment for our patients.

Saint Mary's Hospital hopes that you can help support funding for patient care services. We commend the support of this issue that is so vital to the health care for you, your family and the citizens of the Greater Waterbury area.

Thank you again for all you do for Saint Mary's Hospital.



Jaci Carroll-Hanratty, President
Jaci Carroll Staffing Co., Inc.

Encl.

Fund Summary Report Saint Mary's 2007 Tree Lighting

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
SMH07treelighting	Saint Mary's 2007 Tree Lighti	212	\$0.00	\$0.00	\$9,795.00	\$300.00	\$10,095.00
	212 Gift(s) listed		\$0.00	\$0.00	\$9,795.00	\$300.00	\$10,095.00
	181 Donor(s) listed						

and Totals:

Appeal Summary Report Saint Mary's 2007 Tree Lighting Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
raffgeneric06	Raffle Prize Generic 2006	1	\$0.00	\$0.00	\$0.00	\$300.00	\$300.00
treelighting06	Tree Lighting 12/06	89	\$0.00	\$0.00	\$5,845.00	\$0.00	\$5,845.00
treelighting07	Tree Lighting 12/07	122	\$0.00	\$0.00	\$3,950.00	\$0.00	\$3,950.00
		and Totals:	\$0.00	\$0.00	\$9,795.00	\$300.00	\$10,095.00

212 Gift(s) listed

181 Donor(s) listed

CLS solicitation

November 29, 2007

«Fname» «Lname»
«Address»
«City», «ST» «Zip»

Dear «Fname»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will attend.

Please mark your calendar to join us for our Annual Tree Lighting:

Christmas Celebration of Light
Thursday, December 6, 2007
5:00 p.m. Hospital Conference Center

We will reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

This year we are offering a discount to hospital staff. We are asking our donors for \$25 for each light but want to extend a special offer for the staff to purchase a single light for \$5 and join us that night in GO1. You may purchase the lights in honor of a special person in your life, an individual who has touched your life or perhaps someone who has gone to rest in eternal peace or one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

It is an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

Heart Center solicitation

December 29, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

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Christmas Celebration of Light
Thursday, December 6, 2007
5:00 p.m. Hospital Conference Center

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It is an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

"In Honor of, In Memory of" Solicitation

November 5, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will join us. Please mark your calendar to join us for our Annual Tree Lighting:

Christmas Celebration of Light
Thursday, December 6, 2007
5:00 p.m. Hospital Conference Center

We'll reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs, as Ovens of France in Woodbury serves holiday goodies.

This year we are offering a single light for \$25, 3 lights for \$50 and 5 lights for \$100. You may purchase the lights in honor of a special person in your life, an individual who has touched your life or perhaps someone who has gone to rest in eternal peace, or one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously. Please join us this night.

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

"Person who passed" solicitation

November 5, 2007

«Relative_First_Name» «Relative_Last_Name»
«Address_Line_1»
«Address_Line_2»
«City», «St» «Zip_Cd»

Dear «Relative_First_Name»:

Throughout the Christmas season, we are often more keenly aware of loved ones who have passed away. Whether it was recently or many years ago we are reminded how much that individual meant to us and wish we could feel close to them once more. Last year, Saint Mary's Hospital started a tradition to bring attention to our loved ones by lighting a special Christmas tree with hundreds of lights, each in honor of or in memory of someone special. We invited people to join us by purchasing a light to remember someone close to them. With over 200 lights honoring a loved one, we erected a tree which remained paying special tribute to our honorees and offered a prayer of remembrance.

This year, Saint Mary's Hospital Foundation invites you to join us in remembering «First_Name» «Last_Name» as we have donated a light to our Christmas tree in their memory. We encourage you and your family to come to our **Christmas Celebration of Light** at the beginning the season where we will pause for an hour and celebrate the special people in all of our lives. The Tree will remain lit throughout the Christmas season.

Please mark your calendar and join us for our Annual Tree Lighting:

Christmas Celebration Light
5:00 p.m. Thursday, December 6, 2007
Saint Mary's Hospital Conference Center

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

Please let us know if you and your family will be able to attend by calling 203-709-6930 or email www.foundation@stmh.org

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

Hospital Staff Solicitation

November 5, 2007

«First_Name» «Last_Name»

«Address»

«CityState» «Zip»

Dear «First_Name»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will attend.

Please mark your calendar to join us for our Annual Tree Lighting:

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Thursday, December 6, 2007
5:00 p.m. Hospital Conference Center

We will reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

This year we are offering a discount to hospital staff. We are asking our donors for \$25 for each light but want to extend a special offer for the staff to purchase a single light for \$5 and join us that night in GO1. You may purchase the lights in honor of a special person in your life, an individual who has touched your life or perhaps someone who has gone to rest in eternal peace or one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

It is an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

Fund Summary Report Saint Mary's 2008 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh08	Saint Mary's 2008 Fund	1,778	\$0.00	\$40,691.00	\$154,241.41	\$37,045.80	\$231,978.21

1,778 Gift(s) listed
 1,221 Donor(s) listed

and Totals:

\$0.00 \$40,691.00 \$154,241.41 \$37,045.80 \$231,978.21

Appeal Summary Report Saint Mary's 2008 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit2008	Auction Item Donations 2008	6	\$0.00	\$0.00	\$0.00	\$3,343.00	\$3,343.00
carraffle07	Car Raffle 2007	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
cop	Crusade of Prayer	61	\$0.00	\$0.00	\$1,158.00	\$0.00	\$1,158.00
cor2005	Corporations 2005	1	\$0.00	\$0.00	\$10.00	\$0.00	\$10.00
cor2008	Corporations 2008	14	\$0.00	\$100.00	\$10,625.00	\$0.00	\$10,725.00
dml0207	Direct Mail Piece Feb., 2007	2	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
dml0208	Direct Mail Piece February, 2	422	\$0.00	\$0.00	\$13,379.00	\$0.00	\$13,379.00
dml0607	Direct Mail Piece June, 2007	4	\$0.00	\$0.00	\$125.00	\$0.00	\$125.00
dml0608	Direct Mail Piece June, 2008	214	\$0.00	\$0.00	\$7,622.00	\$0.00	\$7,622.00
dml0807	Direct Mail Piece August, 200	114	\$0.00	\$0.00	\$3,646.00	\$0.00	\$3,646.00
dml0908	Direct Mail Piece September, 2	139	\$0.00	\$0.00	\$4,146.00	\$0.00	\$4,146.00
dml1107	Direct Mail Piece Nov., 2007	295	\$0.00	\$0.00	\$12,523.00	\$0.00	\$12,523.00
estates2008	Estates 2008	5	\$0.00	\$40,000.00	\$8,954.68	\$0.00	\$48,954.68
grant	Grant	3	\$0.00	\$0.00	\$1,936.72	\$0.00	\$1,936.72
hits50/5008	Hits for Healthcare 50/50 Proc	1	\$0.00	\$0.00	\$135.00	\$0.00	\$135.00
hitsadmission08	Hits for Healthcare Admission	1	\$0.00	\$0.00	\$170.00	\$0.00	\$170.00
hitsfood08	Hits for Healthcare Food Sale	1	\$0.00	\$0.00	\$335.00	\$0.00	\$335.00
hitsraffle08	Hits for Healthcare Raffle Pro	1	\$0.00	\$0.00	\$140.00	\$0.00	\$140.00
hitsteamraiser07	Hits for Healthcare Team Func	3	\$0.00	\$0.00	\$1,050.00	\$0.00	\$1,050.00
hs2008	Hospital Staff Annual Appeal :	142	\$0.00	\$491.00	\$5,081.84	\$0.00	\$5,572.84
ind2008	Unsolicited 2008	31	\$0.00	\$0.00	\$48,563.77	\$0.00	\$48,563.77
journey0907	Journey Newsletter 09/07	18	\$0.00	\$0.00	\$955.00	\$0.00	\$955.00
mem2008	Remembrance 2008	174	\$0.00	\$0.00	\$23,040.00	\$0.00	\$23,040.00
ph2008	Phonathon 2008	1	\$0.00	\$100.00	\$0.00	\$0.00	\$100.00
phys2008	Phys. Annual Appeal 2008	42	\$0.00	\$0.00	\$10,120.40	\$0.00	\$10,120.40
raffgeneric08	Raffle Prize Generic 2008	77	\$0.00	\$0.00	\$0.00	\$33,702.80	\$33,702.80
rem2008	Past Remembrances 2008	2	\$0.00	\$0.00	\$45.00	\$0.00	\$45.00
vol2008	Volunteer Appeal 2008	3	\$0.00	\$0.00	\$145.00	\$0.00	\$145.00

Appeal Summary Report
Saint Mary's 2008 Fund

and Totals:	\$0.00	\$40,691.00	\$154,241.41	\$37,045.80	\$231,978.21
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1,778 Gift(s) listed
 1,221 Donor(s) listed

Corporate solicitation

August, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For companies like mine, keeping a workforce healthy is a critical part of our ability to be successful. Saint Mary's Hospital plays a vital role in keeping our employees – and yours – healthy. And in today's economy, a strong workforce is essential for us to remain competitive in an increasingly challenging marketplace.

Since Saint Mary's Hospital opened its doors in 1907, quality health care has been accessible to all patients in the Greater Waterbury community – and always without regard to income. That vision holds true today and the need continues to escalate, particularly as our economy is in a difficult time and medical costs skyrocket.

Through the generosity of our local business community, Saint Mary's has been able to care for over 100,000 employees, neighbors, families and friends each year. The hospital's Occupational Health Program has taken a leading role in providing immediate and appropriate health care to injured workers as well as closely monitoring the recovery process. This approach enables the worker to return to his job as soon as possible and provides the employer with a minimum loss of productivity.

While talks of a future hospital merger in Waterbury dominate the headlines, the needs of our patients are very much here and now. Today, I am writing to ask you to join me in making sure that those needs are being met. You can help with a donation of \$500 to the annual corporate campaign at Saint Mary's Hospital. Your donation will go help to underwrite the costs associated with caring for our community, which include your workers, their families and friends

Let's keep our employees healthy and competitive. Please make your gift today to support a healthier Greater Waterbury.

Sincerely,



Eric Albert
President
Albert Brothers, Inc.

Letter Content: Patient Appeal August 2008

"I didn't think I'd be able to walk down the aisle for my wedding" Courtney Cullinan recalls. "Quite honestly, I didn't know if I'd be walking now."

Courtney's foot infection warranted emergency surgery last February, right in the midst of a snowstorm. More importantly, her wedding date was planned for May 31- just three months away. Courtney and her fiancé, Dan worked with surgeon, Dr. Michael [REDACTED] through the Wound Care Center at Saint Mary's Hospital.

Typical recovery for surgery like Courtney's is six months. But for Courtney, with her wedding date a little more than 100 days away, that was too long. "I kept telling everyone that I was on a timeline. I wanted to walk down the aisle for my wedding."

Restricted to a wheelchair to eliminate any weight-bearing activity, Courtney followed up weekly with Dr. Ajemain in the Wound Care Center. He applied a new cutting-edge treatment in hydrodine that fosters healing. Each Friday they would cautiously measure her progress. "Every time I was there it was like a celebration. I looked forward to going. I was still in a wheel chair and I still wasn't walking but I could see the wound getting better" she adds.

Just three weeks before her wedding day, Courtney's wound was healed and she walked down the aisle on her father's arm. "It was very emotional," she says.

Courtney and Dan relied on support from family and friends to help with wedding plans, and they include Saint Mary's Wound Care Center on that list. "Saint Mary's takes care of their patients on a personal level - more than just a professional or medical level. Their attention to people's lives - and being a support as well as a medical institution - was so important to me. Every time I left there I knew I was in the best hands."

Courtney and Dan Cullinan are just one of the many Waterbury area families touched by Saint Mary's Hospital and the Wound Care Center. For nearly 100 years, Saint Mary's Hospital has played a critical role in keeping our community healthy. Through the generous financial support of patients and their families, Saint Mary's continues to provide the level of care patients need to help families achieve their dreams.

"I'm only 28 years old and I don't know what my life would be like without Saint Mary's," Courtney insists.

Please consider your own personal gift to Saint Mary's Hospital so that our mission for quality care, always without regard for a patient's income, continues for all of the patients in our community.

Volunteer solicitation

July 19, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

As a volunteer for Saint Mary's Hospital, you already know how many people come through the front doors looking for medical help. In fact, in just last year, over 110,000 patients walked through the front doors at Saint Mary's seeking health care.

I've been a volunteer in the Cardiology Department at Saint Mary's for many years. I love how everyone at the hospital wants to help others. I see how dedicated the staff and the doctors are, and I know how hard our volunteers work. We all take a lot of pride in the hospital.

I think what I like best about Saint Mary's is that everyone coming in the front door is treated with respect. Whether they are looking for directions, advice, a patient or just a comforting word, our hospital community strives to help them. There's also a spiritual essence at the hospital and I think that's what makes us different too.

We give so much of our time and ourselves as volunteers that it's hard to ask you to consider supporting the hospital financially. But our gifts are just as important and as significant as any patient, doctor or staff member. Our gifts show the Greater Waterbury community that we believe in and support all that Saint Mary's Hospital strives to do every day for all of our patients - and always without regard to income. And while talks of a future hospital merger dominate the headlines, the needs of our patients are very much here and now.

Please consider a gift to support Saint Mary's Hospital. I know that your gift will be put to good use. I know because I see how patients are treated when I'm Volunteering at the hospital. Thank you.

Sincerely,



Lucia Ann Goffredo
Volunteer
Saint Mary's Hospital

Direct Mail Solicitation - June, 2008

June 15, 2008

«CnAdrSal_Addressee»
«CnAdrAdrProc_Addrline1»
«CnAdrAdrProc_Addrline2»
«CnAdrAdrProc_City», «CnAdrAdrProc_State» «CnAdrAdrProc_ZIP»

Dear «CnAdrSal_Salutation»:

At Saint Mary's, we hold fast to our founders' vision to provide health care to patients without regard to income. Each year it becomes more and more difficult to meet that goal as the needs of our patients continue to escalate and the cost of providing quality medical care increases.

Each year we reach out and ask our donors to continue their support of Saint Mary's Hospital with a donation to our annual appeal. Through the generosity of those donors like you, we are able to raise money to continue the good work of Saint Mary's Hospital.

One donor in particular, Nora O'Toole, recently shared with us why she continues to support Saint Mary's Hospital. She recently lost her husband after a long illness. "When my husband was at Saint Mary's, I could see how they helped people not just physically, but emotionally and psychologically. And they didn't just treat him - they talked me through a difficult time. They made me feel like I was the only one there. I love Saint Mary's because nurturing has been a part of the hospital since its doors opened. Something about that is very appealing to me."

Nora's story, like so many of our patients, tells the true story of health care at Saint Mary's. And today, even as we search for more efficient ways of delivering health care to our community through discussions with our neighbor, Waterbury Hospital, people like Nora and her husband and hundreds of other patients continue to need our help.

Please send another gift of (*start with gift and add increments*) to Saint Mary's Hospital. A return envelope is included for your convenience.

Sincerely,



Edmund J. White
Chairman


Saint Mary's
HOSPITAL
Foundation

Together, Miracles Do Happen!

February 21, 2008

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample:

Bob Genovese's experiences with Saint Mary's Hospital span decades. Bob was born here, as were most of his children and several of his grandchildren. When this Vietnam veteran first began his battle with cancer over 30 years ago, he found the care he needed at a hospital he knew and trusted — Saint Mary's.

Five years of outpatient treatment in the early 80's left Bob cancer free. During those five years of chemotherapy Bob developed a special bond of trust with Nurse Mary Moschella in Saint Mary's outpatient therapy center.

"I value the relationships we build during outpatient therapy," said Mary. "A high level of trust is very important when you are supporting people through the difficult choices they need to make during treatment."

Cancer is a wily and persistent enemy. Twenty years later, when cancer re-occurred Bob again turned to Mary and her team for advice and support. As he prepared to battle cancer yet again, Bob found he could still rely on those relationships he'd established years earlier.

"I was glad I could be there for Bob and support him during his treatment," said Mary. "We put the patient's needs first and we made sure that he got the care he needed and that he was confident and comfortable with the care he received."

Bob speaks gratefully of the skill, perseverance and continuity of treatment he's received through St. Mary's. Recently he had heart surgery at the Heart Center, an experience that was in his words — "incredible."

"I'm a positive person and I've been fortunate to have my family around me during my treatments. Having good people on my team at the hospital, people like Dr. Bowen, Joan Rafford and Mary Moschella, has made a big difference. And I can't say enough about the support I got from Julius and John in ICU. I'm doing well now thanks to a lot of wonderful people. Saint Mary's is a great place."

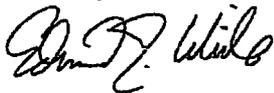
A gift to Saint Mary's helps provide supportive treatment delivered by a dedicated and talented family of caregivers. Bob's healthcare story includes many ups and downs, but all along the way he felt buoyed by the web of care and support he found at Saint Mary's.

(over, please)

A sense of community, advanced medical care delivered by people who know and care for you – these are the hallmarks of Saint Mary's Hospital. Your gifts help sustain a vital local healthcare resource that is there for you and your neighbors whenever you need it.

Please contribute today and thank you for supporting this hospital, your hospital — Saint Mary's.

Sincerely,



Edmund J. White
Chairman, Saint Mary's Hospital Foundation

P.S. We are ready to help you when you need us. Please make a gift today. Your gifts are our strength and your generosity is greatly appreciated. Thank you and god bless.

YES! I support the team at St. Mary's. Enclosed is my gift of:
() \$25 () \$35 () \$50 () \$100 () \$_____ Other

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Please make your check payable to Saint Mary's Hospital Foundation and return it with this slip in the envelope provided. Your gift is tax-deductible to the extent permitted by law.

082A2

Saint Mary's
HOSPITAL
Foundation

56 Franklin Street • Waterbury, CT 06706
(203) 709-6390 • Fax: (203) 709-3272
Email: Foundation@stmh.org

PAYMENT METHOD

Check enclosed payable to Saint Mary's Hospital Foundation

Please charge my gift to my:

Visa Mastercard American Express

Account# _____ Exp. Date _____

Signature _____

As it appears on your card

Please see other side for important information.

Thank you for your support!

My gift is in honor of in memory of:

Please notify:

Print name _____

Direct Address _____

City, State, Zip _____

My employer will match my gift.
Form is enclosed.

Please contact me about:

Gifts of stock

Estate Gifts

My email address _____

My daytime phone number _____

Please write to us at our address if you wish to have your name removed from the list to receive fundraising requests supporting Saint Mary's Hospital in the future.


Saint Mary's
HOSPITAL
Foundation

Together, Miracles Do Happen!

November 29, 2007

John Q. Sample
DIRECT RESPONSE SOLUTIONS
672 Crescent Street
Brockton, MA 02302-3360

Dear Mr. Sample:

Perhaps you have experienced the wonderful care at Saint Mary's Hospital? If so, you have undoubtedly benefited from our hospital's advanced technology. In fact, technology tends to dominate healthcare these days. And it is hard to deny the benefits that technological innovations in healthcare bring to our lives. Certainly it is our goal to make sure that you have access to progressive care here at Saint Mary's.

But with innovation and technology can come unwanted byproducts — complexity and confusion. Where would our patients be without the caring physician, the watchful nurse, or the concerned nutritionist? **A kind word, a human face — we know that these are important too.**

At Saint Mary's we act on our values. Excellence, integrity, respect, innovation and caring — these are the values that drive us forward, that form our actions. Our staff embraces these values and it is their commitment that defines the level of care we offer here.

It is our mission to deliver excellent healthcare services in a spiritually enriched environment. Acknowledging and supporting the humanity of our patients is something to which we are deeply committed.

As a generous supporter, I know you've been touched by the spirit of this place — perhaps you, a friend or a family member have been treated here. Possibly you recognize the value that this extraordinary hospital has to Waterbury and the surrounding communities. Whatever your reason for giving, I know that something about this hospital has impressed or enriched you.

Right now I am asking you, as a past donor, to make a year end gift to the Saint Mary's Fund. As a contributor to Saint Mary's you join with others who wish to create a safe and modern facility, close to home for the health of the greater Waterbury area. Your gifts provide state-of-the-art equipment and support to those who seek care here. **But your gifts do much more. They are also a vote of thanks to the people — the physicians, nurses, therapists — who are Saint Mary's Hospital.**

Please send your gift of \$25, \$35 or \$50 today. Your support means a great deal to everyone here.

Sincerely,



John P. Burke
Chairman, Saint Mary's Hospital Foundation

P.S. We never know what the future holds. We are ready to help you when you need us. Please help us by making your generous gift today. Thank you and God bless you for your support.

Letter Content: Past Donor October 2008

Date

first name, last name
address
city, state, zip

Dear first:

As someone who has supported Saint Mary's Hospital in the past, you probably already know how many people come through the front doors looking for medical help. In fact, in just one year, there are over 110,000 patients coming through the front doors at Saint Mary's.

I've been a volunteer at Saint Mary's for many years. I love how everyone at the hospital has a "wholesomeness" about them and that they want to help others. I see how dedicated the staff and the doctors are. And I know how hard our volunteers work. We all take a lot of pride in the hospital.

I think what I like best about Saint Mary's is that everyone coming in the front door is helped. Whether they are looking for directions, advice, a patient or just a comforting word, our hospital community strives to help them. There's also a spiritual essence at the hospital and I think that's what makes us different too. Recently, as I walked through one of the floors of the hospital, I came across a family quietly crying. I stopped what I was doing and we sat and talked for a while. It wasn't my job to do that, but I felt the need. And that's just the way people at Saint Mary's are.

You have supported the hospital so generously in the past, please consider a gift again. Your gift today is just as important and significant as it ever has been. Saint Mary's Hospital strives to care for all of our patients who need quality health care – and always without regard to income. And while talks of a future hospital merger in Waterbury dominate the headlines, the needs of our patients are very much here and now.

Please consider a gift to support Saint Mary's Hospital. I know that your gift will be put to good use. I know because there are so many good things around me when I'm in the hospital every day. Thank you.

Sincerely,

Lucia Ann Goffredo-Hyduchak
Volunteer
Saint Mary's Hospital

physician appeal 2008

As physicians who work at Saint Mary's Hospital, we have the unique ability to see the need for quality care and to see and work with the people who directly benefit from that care. You as physicians are a significant part as to why our patients seek out Saint Mary's. Just in the last year, the quality of care at Saint Mary's has made local headlines including:

1. Saint Mary's has joined the ranks of "America's Best Hospitals" for heart care and heart surgery, according to "U.S. News & World Report." Among 5,453 hospitals that were evaluated, Saint Mary's was highlighted in the top 170 for providing quality care.

In addition, Saint Mary's was honored by the American Heart Association with a Silver Performance Achievement Award for providing the highest quality of care on a consistent basis. It was the only hospital to receive this level of recognition from AHA this year for treating patients with coronary artery disease.

2. Saint Mary's Hospital is one of Connecticut's leading hospitals in spine surgery. This distinction is the result of a focused collaboration between the hospital's nursing and surgical services and spine surgeons in the Greater Waterbury community, who work together to attain a common goal: safe, efficient, state-of-the-art patient care.
3. Richard Ahern, director of the Wound Healing Center at Saint Mary's Hospital, was named Program Director of the Year by National Healing Corporation, a national leader in wound care. This award distinguished Saint Mary's Wound Healing Center among the 148 hospitals across the country who have partnered with the company to provide the latest technologies and concepts in wound treatment to their patients.

Our 100 year old tradition of excellence continues despite fragile financial times and the uncertainty of hospital merger discussions. Now more than ever, we turn to our physicians to support Saint Mary's with a gift that will help us continue to provide excellent care – and always without regard to income. We have enclosed a donation form for the Saint Mary's Hospital Annual Appeal 2008 and we ask you to please consider a gift of \$\$\$\$\$\$.

Sincerely,



Peter Jacoby, M.D.
Emergency Department, Chairman

PS It's always a compelling story to tell people how our own physicians give back to the patients they serve every day. We believe that's what distinguishes our doctors: the compassion and commitment to care for one another. And that's what Saint Mary's is all about. Thank you for your commitment to Saint Mary's and for your generous support.

physicians

July 31, 2008

Dear :

As physicians who work at Saint Mary's Hospital, we have the unique ability to see the need for quality care and to see and work with the people who directly benefit from that care. You as physicians are a significant part as to why our patients seek out Saint Mary's. Just in the last year, the quality of care at Saint Mary's has made local headlines including:

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Our 100 year old tradition of excellence continues despite fragile financial times and the uncertainty of hospital merger discussions. Now more than ever, we turn to our physicians to support Saint Mary's with a gift that will help us continue to provide excellent care - and always without regard to income. We have enclosed a donation form for the Saint Mary's Hospital Annual Appeal 2008 and we ask you to please consider a gift of \$250.

Sincerely,



Paul F. Kelly, M.D.
Cardiologist

PS It's always a compelling story to tell people how our own physicians give back to the patients they serve every day. We believe that's what distinguishes our doctors: the compassion and commitment to care for one another. And that's what Saint Mary's is all about. Thank you for your commitment to Saint Mary's and for your generous support.

Franklin
&
Scoville
Docs

July 31, 2008

Dear :

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In addition, Saint Mary's was honored by the American Heart Association with a Silver Performance Achievement Award for providing the highest quality of care on a consistent basis. It was the only hospital to receive this level of recognition from AHA this year for treating patients with coronary artery disease.

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Sincerely,



Peter Jacoby, M.D.
Emergency Department, Chairman

PS It's always a compelling story to tell people how our own physicians give back to the patients they serve every day. We believe that's what distinguishes our doctors: the compassion and commitment to care for one another. And that's what Saint Mary's is all about. Thank you for your commitment to Saint Mary's and for your generous support.

Fund Summary Report
 Saint Mary's 2008 Gala Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh08gala	Saint Mary's 2008 Gala	577	\$0.00	\$142,950.00	\$217,945.00	\$84,058.17	\$444,953.17

577 Gift(s) listed

420 Donor(s) listed

and Totals:

\$0.00

\$142,950.00

\$217,945.00

\$84,058.17

\$444,953.17

Appeal Summary Report Saint Mary's 2008 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auit2008	Auction Item Donations 2008	133	\$0.00	\$0.00	\$0.00	\$84,058.17	\$84,058.17
auitsa2008	Auction Item Sales 2008	114	\$0.00	\$11,030.00	\$57,400.00	\$0.00	\$68,430.00
basket2008	Basket Item Ticket Sales 08	22	\$0.00	\$0.00	\$16,500.00	\$0.00	\$16,500.00
basketsp2008	Basket Sponsor Gala 2008	18	\$0.00	\$2,000.00	\$3,800.00	\$0.00	\$5,800.00
cashauc2008	Cash Auc. Donation 2008	27	\$0.00	\$0.00	\$7,495.00	\$0.00	\$7,495.00
prbk2008	Prog. Bk Ads Gala 2008	61	\$0.00	\$38,800.00	\$25,850.00	\$0.00	\$64,650.00
prbksp2008	Prog. Bk. 2008 Sponsor	13	\$0.00	\$49,000.00	\$26,500.00	\$0.00	\$75,500.00
wnd2008	Gala 2008 Ticket Sales	189	\$0.00	\$42,120.00	\$80,400.00	\$0.00	\$122,520.00
and Totals:			\$0.00	\$142,950.00	\$217,945.00	\$84,058.17	\$444,953.17

577 Gift(s) listed

420 Donor(s) listed

Program Book
Letter from President



Saint Mary's HEALTH SYSTEM

Office of the President
Robert P. Ritz, CHE

February 2, 2008

Dear Friends of Saint Mary's Health System:

On behalf of the Saint Mary's Hospital Foundation and the entire Saint Mary's Health System family, welcome to our 17th Annual Gala. We hope you will agree that we have truly succeeded in creating a sparkling display for this year's theme, "*All That Glitters.*" Proceeds from this year's event will support the **Patient Care Fund at Saint Mary's Hospital**, which supports the expansion of existing programs and development of new programs and services at the hospital. Your support of this year's Gala allows you to directly participate in improving our local healthcare delivery system. This support goes way beyond Saint Mary's and touches thousands of individuals in our community.

In 2007, Saint Mary's Health System passed many critical mile markers that contributed to improving the overall performance of the organization. The new year has barely begun and already we have cut the ribbon on our new outpatient MRI center on Chase Parkway and we are preparing to celebrate the grand reopening of our newly renovated lobby and our new digital mammography service. As we continue on our *Journey*, we will remain focused on improving access to healthcare services in our community by adding bed capacity, embarking upon the expansion of the Naugatuck Valley Surgical Center, and continuing to pursue our strategic affiliation.

Because of you and your confidence in Saint Mary's Health System, we have experienced tremendous momentum as we continue to grow. Your generosity tonight will help us achieve our goals for the future while remaining true to our Mission of nearly 100 years.

We offer sincere thanks to the members of our Saint Mary's family who have worked so hard to prepare for this spectacular Gala. A special thank-you to this year's co-chairpersons, **Attorney William St. John, Jr. and his wife, Laurie, and Dr. Richard Holden and his wife, Cyndie.** Thank you also to the members of our Gala Committee, who volunteered their time, energy and enthusiasm in preparing for this signature event. We would also like to acknowledge the Foundation Board of Directors and the Staff, including Peggy Lawlor, President of the Foundation, Lezlye Zupkus, Cathleen Ragone, Harriet Sisbarro, and Marilyn Slowik for their commitment to the Gala.

Most of all, thank you for attending Saint Mary's 17th Annual Gala. We hope you have a wonderful time tonight and look forward to working together to create a better healthcare system for the community we have served so well for nearly a century.

On behalf of Saint Mary's, please accept our deepest gratitude for your support and our best wishes for your continued success and great health. Enjoy your evening!

Sincerely,

Robert P. Ritz, C.H.E.

President and Chief Executive Officer

56 Franklin Street, Waterbury, Connecticut 06706 (203) 709-6000 www.stmh.org

2166

AFFILIATED ORGANIZATIONS

Diagnostic Imaging of Southbury Saint Mary's Hospital Saint Mary's Hospital Foundation Saint Mary's Partners Franklin Medical Group Scovill Medical Group
Harold LEEVER Regional Cancer Center Health Imaging Associates Heart Center of Greater Waterbury Naugatuck Valley MRI Naugatuck Valley Surgical Center

Generic Auction Item Solicitation

January , 2008

Dear Friend of Saint Mary's:

Plans for our **17th Annual Gala** are underway. This year's event is scheduled for **Saturday, February 2, 2008** at the Aqua Turf in Plantsville. The 2008 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2008 is \$350,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2007 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 835 guests in attendance. **Funds raised at the 2008 event will benefit the Patient Care Fund at Saint Mary's Hospital.** With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

We are hoping you will support Gala 2008 by donating an item for our auction. Enclosed please find a donation form for your convenience and action. Please return it to the Foundation office by Friday, January 18, 2008.

Thank you for your willingness to consider a gift this year. You can be assured that your generosity will make an important difference in the lives of thousands of your neighbors.

Sincerely,



Margaret J. Lawlor, President

Gala 2008 Past Table Host Solicitation

January, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

On February 2, 2008, Saint Mary's Hospital Foundation is hosting the 17th annual gala and auction at the Aqua Turf in Southington. This year's gala will include an elegant gourmet dinner, a live and silent auction, our signature "basket raffle" and fabulous entertainment.

The 2007 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 845 guests in attendance. **Funds raised at the 2008 event will be for Patient Services at Saint Mary's Hospital.**

As you know, being a table host means filling a table for ten by either purchasing the table for \$2,000 and inviting four other couples to attend or by purchasing two tickets and asking four couples to join you and make their own ticket purchase. Last year, table hosts filled 60 tables.

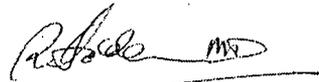
With the **Harold Zinno Big Band**, and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Please consider joining us this year and sharing a wonderful evening with the Friends of Saint Mary's.

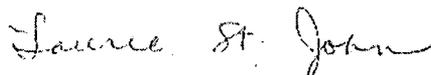
Sincerely,



Cyndie Holden
Gala 2008 Co-Chair



Richard Holden, M.D.
Gala 2008 Co-Chair



Laurie St. John.
Gala 2008 Co-Chair



William J. St. John, Jr.
Gala 2008 Co-Chair

Gala 2008 Generic Table Host Solicitation

January, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

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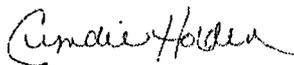
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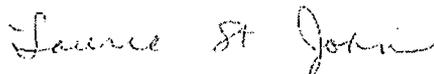
With the **Harold Zinno Big Band**, and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Please consider joining us this year and sharing a wonderful evening with the Friends of Saint Mary's.

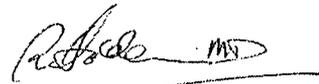
Sincerely,



Cyndie Holden
Gala 2008 Co-Chair



Laurie St. John.
Gala 2008 Co-Chair



Richard Holden, M.D.
Gala 2008 Co-Chair



William J. St. John, Jr.
Gala 2008 Co-Chair

Gala 2008 Basket Sponsorship solicitation

January 2, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

It's that time of year again and we are preparing our baskets for the **17th Annual Saint Mary's Gala... "All that Glitters"**, which will be held on Saturday, **February 2, 2008** at the Aqua Turf in Plantsville, CT. The proceeds from this year's event will go to support the **Saint Mary's Hospital Fund for Patient Care**. Co-chairmen Dr. Richard Holden and Cyndie Holden and Atty. William St. John, Jr. and Laurie St. John; together with their committee; have planned a special evening of music, dancing and entertainment.

The Gala committee has given us a goal of \$20,000 for our baskets toward an overall goal of \$370,000. To reach that goal, we count on the support of the community to help underwrite the cost of each basket. **This year's sponsorship is \$500** and will include recognition in our program book, on our web site, and individual signage in front of your "sponsored" basket.

Saint Mary's depends on the philanthropic support and generosity of donors like you to help us raise the funds needed to provide essential healthcare services to the greater Waterbury area. Our **"signature baskets"** are synonymous with the success of Saint Mary's premier event and as basket co-chairmen, we are proud to be part of that success. We know that we can not accomplish this without your participation and your support.

Sincerely,



Bonnie Forcucci
Gala Basket Co-Chairman



Janet Weber
Gala Basket Co-Chairman

Gala 2008 Generic Sponsorship solicitation

December 17, 2007

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Plans for our **17th Annual Gala** are underway. This year's event is scheduled for **Saturday, February 2, 2008** at the Aqua Turf in Plantsville. The 2008 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2008 is \$370,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2007 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 835 guests in attendance. **Funds raised at the 2008 event will benefit the Patient Care Fund at Saint Mary's Hospital** With the **Harold Zinno Big Band** and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

We are hoping you will support Gala 2008 by either taking a sponsorship, program book ad or donating an item for our auction.

Enclosed please find a sponsorship and donation form for your convenience and action. Please return it to the Foundation office by Friday, January 18, 2008.

Thank you for your willingness to consider a gift this year. You can be assured that your generosity will make an important difference in the lives of thousands of your neighbors.

Sincerely,



Cyndie Holden



Richard Holden, M.D



Laurie St. John.



William J. St. John, Jr.

Gala 2008 Past Sponsorship solicitation

December 15, 2007

«Saluta_Addressee» «Position»
«Org_Name»
«Addrline1»
«Addrline2»
«City», «State» «ZIP»

Dear «Salutation»:

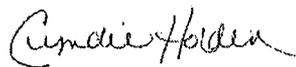
Plans for our 17th Annual Gala are underway. This year's event is scheduled for Saturday, February 2, 2008 at the Aqua Turf in Plantsville. The 2007 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2007 is \$370,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2007 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 840 guests in attendance. Funds raised at the 2008 event will benefit the Patient Services at Saint Mary's Hospital. With the Harold Zinno Big Band and the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

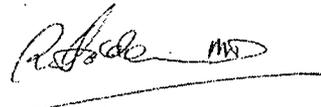
In the past you have supported the gala with a «Reference». We hope you will continue that support this year. A sponsorship form is included for your review and action. We are again offering a live and silent auction and basket raffles. You can also support the Gala by donating an auction item. Enclosed please find a donation contract for your convenience and action. Please return your form(s) to us by Friday, January 18, 2008.

Thank you for your willingness to consider a gift again this year.

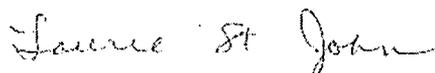
Sincerely,



Cyndie Holden



Richard Holden, M.D



Laurie St. John.



William J. St. John, Jr.

Gala 2008 Past Auction Item solicitation

December 15, 2007

«Saluta_Addressee» «Position»
«Org_Name»
«Addrline1»
«Addrline2»
«City», «State» «ZIP»

Dear «Salutation»:

Plans for the **17th Annual Saint Mary's Hospital Gala** are underway. This year's event, "**All That Glitters**" is scheduled for **Saturday, February 2, 2008** at the Aqua Turf in Plantsville. The 2008 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2008 is \$370,000 and, with your enthusiasm and continued support, we can reach that goal.

Last years' Gala was a resounding success with over 835 guests in attendance. Funds raised at the **2008** event will benefit the **Patient Care Fund** at Saint Mary's Hospital. The **Harold Zinno Orchestra** along with entertainment by **Powerstation Events** and the efforts of a hardworking gala planning committee will guarantee another successful evening.

You have been very generous to us in the past, and this year we are asking that you donate «**Reference**» to our auction. The live and silent auction account for over 20% of the event proceeds and we are anxious to entice our guests with an exciting number of gifts on which to bid. Hopefully, you can join us that evening and see how important your contribution was to the success of our event.

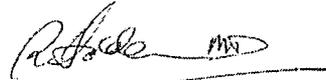
Enclosed please find a donation form for your convenience and action. Please return it to the Foundation office by Friday, January 18, 2008.

Thank you for your willingness to consider a gift again this year.

Sincerely,



Cyndie Holden



Richard Holden, M.D



Laurie St. John.



William J. St. John, Jr.

Past Gala Donor solicitation

December 15, 2007

Dear Friend of Saint Mary's:

Plans for our **17th Annual Gala** are underway. This year's event, "**All That Glitters**" is scheduled for **Saturday, February 2, 2008** at the Aqua Turf in Plantsville. The 2008 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2008 is \$370,000 and, with your enthusiasm and continued support, we can reach that goal.

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Thank you for your donation of _____ . With your continued support we are able to make the difference in the lives of thousands of your neighbors. Enclosed please find a donation form for your convenience and action. Please return it to the Foundation office by Friday, January 18, 2008.

Thank you for your willingness to consider a gift this year. .

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Fund Summary Report Saint Mary's 2008 Golf Tourney Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh08golf	Saint Mary's 2008 Golf Tourm	97	\$0.00	\$98,780.00	\$79,030.00	\$800.00	\$178,610.00
97 Gift(s) listed		and Totals:		\$98,780.00	\$79,030.00	\$800.00	\$178,610.00
88 Donor(s) listed							

Appeal Summary Report

Saint Mary's 2008 Golf Tourney Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total		
golf2008	Golf Tournament 2008	33	\$0.00	\$9,280.00	\$11,310.00	\$0.00	\$20,590.00		
golfdonations08	Golf Donations 2008	11	\$0.00	\$650.00	\$2,210.00	\$800.00	\$3,660.00		
golfmullam08	Golf Tourney Mulligan A.M. S	1	\$0.00	\$0.00	\$140.00	\$0.00	\$140.00		
golffraffproam08	Golf Raffle Proceeds A.M. '08	3	\$0.00	\$0.00	\$920.00	\$0.00	\$920.00		
golfsp2008	Golf '2008 Sponsorships	49	\$0.00	\$88,850.00	\$64,450.00	\$0.00	\$153,300.00		
				and Totals:	\$0.00	\$98,780.00	\$79,030.00	\$800.00	\$178,610.00

97 Gift(s) listed

88 Donor(s) listed

**Thank You...
& In-Kind Donors**

Ra. Associated Carpet
Bertucci's
BIC Corporation
Big Dipper
Blasius Chevrolet
Blum Shapiro
Brookside Inn
Budweiser
City Hall Restaurant
Coca-Cola
Corporate Express
Dichello Distributors, Inc.
Eastern Bag & Paper Company
Farrell Marketing
Fowler Fruit
Hartford Stage
Heritage Hotel
Hickory Stick Bookshop
IKON Solutions
KarTele
Largay Travel
Longwood Inn
Marty's Restaurant
Metro Bis
Mitchel Chere, M.D.
Mohegan Sun
New Britain Rock Cats
New Morning Natural and Organic
Olive Garden
O'Rourke & Birch Florists, Inc
Oxford Greens Golf Club
Pantry Restaurant
Pepsi
Praxair Health Services
Prospect Printing
Roger Schwatlow
Seven Angels Theatre
Sign Pro
Stony Hill Golf
Swiss Army Brands
Thomaston Savings Bank
Timex
Tres Jolie Day Spa
Triple Stitch Sportswear
Uniquely Yours Photography
Wadsworth Athenaeum
Wildflowers
Zilahy Wellness Center

**2008 Golf Classic
Chairmen**
Mitchel Chere, M.D.
Paul Largay

Committee
Michael Ajemian, M.D.
Eric Albert
Ted Barbeau
Kristen Bulkovitch
Mark Casey
Bill Cofrances
Joe Commendatore
Michael Curtin
Bernie Evans
Lenny Genovese
John Jenusaitis
Ray Massicotte
Michael Matzkin, MD
Frank Ocxkowski
Donata Perra
Robert Retailick
Rodger Schwatlow
Kathy Smith
Mickey Wyse, M.D.
Sharon Zilahy

Volunteers
Sylvia Albert
Lisa Boudreau
Robin Cracco
Terry Dudrick
Chris Emerton
Pat Evans
Nancy Feola
Ann Ferraro
Bonnie Forcucci
Judy Giammarco
Jennifer Jackuvitis
Peter Jacoby, MD
Alicia Lenhart
Dawn Liano
Eda Lullula
Cheri Masi
Carolyn Orrell
Sandy Roosa
Patrick Rowland
Fernanda Silva
Ron Sisbarro
Karen Stary
Jeanne St. Pierre
Pam Pratt
Marilyn Slowik
Michael Smith
Lucy Stronkowski
Barbara Sullivan



**Saint Mary's Hospital
13th Annual
CHAMPION GOLF CLASSIC**

2008 Saint Mary's Hospital Champion
Sisters of Saint Joseph of Chambrey
2008 Co-Chairs
Mitchel Chere, MD and Paul Largay

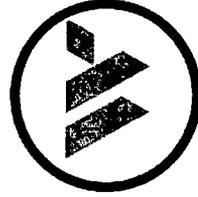
Tuesday, September 9, 2008

Tournament Co-Chairmen

**Mitchel Chere, M.D.
Naugatuck Valley OB-GYN**

**Paul Largay
President, Largay Travel**

The Golf Club at Oxford Greens
99 Country Club Drive
Oxford, CT 06478



Webster
We Find a Way

SCHEDULE FOR THE DAY

Schedule:

	1st Flight	2nd Flight
Shotgun Start.....	7:30 a.m.	1:00 p.m.
Registration.....	6:30 a.m.	11:00 a.m.
Driving Range.....	6:30 a.m.	11:00 a.m.
Continental Breakfast.....	6:30 a.m.	
Lunch.....	11:30 a.m.	
Cocktails.....	5:30 – 8:00 p.m.	

Golf Contests

Team Scramble

Low Gross Team Score

Low Net Team Score

Closest to the Pin on Hole # 9

Closest to the Line on Hole # 1

Longest Drive – Men & Women on Hole #12

* Team with low gross cannot also win low net

Prizes for first hole-in-one on all par 3 holes:

Hole #13: 2008 Blasius Chevrolet Cobalt or HHR

Hole #9: 5 day/4 night fabulous Fairmont Resort Golf Vacation

Hole #2: Taylormade Burner Driver and Burner Fairway Woods
(3 and 5)

Hole #16: Taylormade Tour Burner Irons (3-PW) and Smoke RAC
Wedges (56 & 60)

Hole In One prizes generously donated by:

BLASIOUS CHEVROLET & CADILLAC, INC.

TOURNAMENT SPONSOR

Webster

CHAIRMAN SPONSOR

Brown Rudnick Berlack Israels LLP

PLATINUM SPONSOR

Anthem Blue Cross & Blue Shield of Ct.
Naugatuck Valley OBGYN
Sodexho Health Care Services

GOLD SPONSORS

Albert Brothers, Inc.
Naugatuck Savings Bank
PMA Insurance Group

SILVER SPONSORS

Campion Ambulance Service, Inc.

BRONZE SPONSORS

Harold Leever Regional Cancer Center
Naugatuck Valley Surgical Center
Sinclair Insurance Group

GREEN/TEE SPONSORS

All Lawn Care
Bunker Hill Pharmacy
Ct. Bankers Association
D&D Painting
Eyelet Crafters, Inc.
HRadvantage,LLC
Hughes & Cronin
Jackson Lewis LLC
Levy & Droney,P.C.
Michael Miglaras & Company
Naugatuck Valley Savings & Loan
Nuzzo & Roberts, LLC

BRASS SPONSORS

AT&T

Abbott Terrace

Cardiology Associates of Waterbury

Century Financial Services

Connecticut Credit LLC

GSS Industries

H. D. Segur

John Hancock

Moser Pilon Nelson Architects

Naugatuck Valley Gastroenterology

Consultants, LLC

Neubert, Pepe & Monteith, P.C.

Siemens

Thomaston Savings Bank

USA Hauling & Recycling, Inc.

Wolcott View Manor, Inc.

DRIVING RANGE SPONSORS

Naugatuck Valley Radiology Associates

SUPPORTERS

Health Complex Pharmacy & Medical Supply

InfoShred

J & L Medical Services

Kurt Meyers, M.D.

National Healing Corporation

Golf 2008 Sponsor letter explaining cancelation of Golf

September 25, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

The 13th Annual Saint Mary's Hospital Champion Golf Classic was co-chaired by Dr. Mitch Chere and Paul J. Largay and with the support of sponsors such as Webster Bank, Brown Rudnick, NV OB-GYN, Anthem, Sodexo, PMA, Albert Brothers, Naugatuck Savings Bank and many others, we were once again able to have a financially successful tournament. Sponsor support of our special events enables Saint Mary's to offer non discriminatory care in a spiritually enriched environment.

Due to the inclement weather on the day of the event, we were only able to get the a.m. flight out for an abbreviated game and had to cancel the p.m. flight. As a result, we have made arrangements to offer each player a round of golf (including a cart) at the *Golf Club at Oxford Greens*, valid for one year. We will be delivering or mailing the gift certificates and this year's golfer gifts to each player as well.

As an additional gesture of appreciation for your support, we decided to conduct the afternoon raffle and award the items that were donated to the event. All of the p.m. registered golfers' names were put in a drawing and the winning names are included in this mailing.

Thank you again for your support and we look forward to a bright and sunny 14th annual tournament scheduled for *Tuesday September 15, 2009*...so, mark your calendars!

Sincerely,



Peggy Lawlor
President

Golf 2008 Raffle Prize Solicitation

July, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Plans for Saint Mary's Hospital Foundation's Thirteenth Annual Champion Golf Classic are underway. This year's tournament is scheduled for *Tuesday, September 9, 2008 at The Golf Club at Oxford Greens*. We hope that you will consider donating a raffle prize to the event.

This year's tournament promises to be even better than before. We are very excited to be returning to Oxford Greens.

We will also be honoring the Sisters of Saint Joseph of Chambéry as the 2008 Saint Mary's Hospital Champions. These 118 Sisters who reflect the *spirit and call to mission* of their larger religious congregation have mirrored Christ by their caring and compassionate presence and service to the Hospital family.

Please refer to the prize donation form enclosed. We expect a sell out again this year. We also hope you can join us for a day of great golf.

Thank you for your continued support.

Sincerely,



Margaret J. Lawlor
President

Golf 2008 Past Sponsorship solicitation

July, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 13th Annual Champion Golf Classic is scheduled for *Tuesday, September 9, 2008 at The Golf Club at Oxford Greens*. We hope that you will consider being a sponsor again this year.

This year's tournament promises to be even better than before. We are very excited to be returning to Oxford Greens.

We will also be honoring the Sisters of Saint Joseph of Chambery as the 2008 Saint Mary's Hospital Champions. These 118 Sisters who reflect the *spirit* and *call to mission* of their larger religious congregation have mirrored Christ by their caring and compassionate presence and service to the Hospital family.

As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 13th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring the Sisters of Saint Joseph, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Mitchel Chere, M.D.
Saint Mary's Hospital



Paul Largay
President
Largay Travel, Inc.

Golf 2008 New Sponsorship Solicitation

July, 2008

Dear :

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 13th Annual Champion Golf Classic is scheduled for *Tuesday, September 9, 2008 at The Golf Club at Oxford Greens*. We hope that you will consider being a sponsor this year.

This year's tournament promises to be even better than before. We are very excited to be returning to the Golf Club at Oxford Greens.

We will also be honoring the Sisters of Saint Joseph of Chambery as the 2008 Saint Mary's Hospital Champions. These 118 Sisters who reflect the *spirit and call to mission* of their larger religious congregation have mirrored Christ by their caring and compassionate presence and service to the Hospital family.

As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 13th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring the Sisters of Saint Joseph, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Mitchel Chere, M.D.
Saint Mary's Hospital



Paul Largay
President
Largay Travel, Inc.

Golf 2008 New Registration Solicitation

July, 2008

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 13th Annual Champion Golf Classic is scheduled for *Tuesday, September 9, 2008 at The Golf Club at Oxford Greens*. We hope that you will consider joining us for our tournament.

This year's tournament promises to be even better than before. We are very excited to be returning to the Golf Club at Oxford Greens.

We will also be honoring the Sisters of Saint Joseph of Chambery as the 2008 Saint Mary's Hospital Champions. These 118 Sisters who reflect the *spirit and call to mission* of their larger religious congregation have mirrored Christ by their caring and compassionate presence and service to the Hospital family.

We are hoping you will consider golfing this year and we expect the event to sell out once again. Please join Saint Mary's Hospital and your fellow golfers for the 13th Annual Golf Classic to benefit the patient care fund at the hospital. A dinner honoring the Sisters of Saint Joseph, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your registration form in the enclosed envelope. Your golf registration fee includes your dinner ticket. Thank you.

Sincerely,



Mitchel Chere, M.D.
Saint Mary's Hospital



Paul Largay
President
Largay Travel, Inc.



Saint Mary's Hospital
13th Annual
CHAMPION GOLF CLASSIC

2008 Saint Mary's Hospital Champion
Sisters of Saint Joseph of Chambery

2008 Co-Chairs
Mitchel Chere, MD and Paul Largay

SEPTEMBER 8, 2008
DINNER OF CHAMPIONS

SEPTEMBER 9, 2008
THE GOLF CLUB AT OXFORD GREENS

SPONSORSHIP OPPORTUNITIES

TOURNAMENT SPONSORSHIP.....\$15,000

Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials; Corporate banner; Lead name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

CHAIRMAN SPONSORSHIP.....\$12,000

Complimentary foursome: Lunch Sponsor Recognition; Name/logo featured in all promotional materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

PLATINUM SPONSORSHIP.....\$8,000

Complimentary foursome: Snack Shacks/Hospitality Carts Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLD SPONSORSHIP.....\$6,000

Complimentary foursome; Cocktail Hour Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

SILVER SPONSORSHIP \$4,500
Complimentary foursome; Breakfast Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journeys newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRONZE SPONSORSHIP \$3,500
Complimentary foursome; Putting Green Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRASS SPONSORSHIP \$2,500
Complimentary foursome; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Invitation to sponsor reception; Receive Journey newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

DRIVING RANGE SPONSORSHIP \$1,750

GOLF TEE SPONSORSHIP \$1,500

GREENS SPONSORSHIP \$1,350

— Yes, I am interested in a sponsorship opportunity. I have made my selection above.

Amount Enclosed: \$ _____

— I am interested in a sponsorship opportunity. Please contact me with more information.

Name (Please Print) *Telephone*

Company Name

Street *City* *State* *Zip*

Preferred Email Address

Home Business


Saint Mary's
HOSPITAL
Foundation

Please return this form by 8/15/2008 to
Kathy Gangell
Saint Mary's Hospital Foundation - 56 Franklin Street - Waterbury, CT 06706
Phone #: (203) 709-6390 Fax #: (203) 709-3272
Email: Kathleen.gangell@stmh.org

Fund Summary Report Saint Mary's Phonathon 2008 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh08Phonathon	Saint Mary's Phonathon 2008 I	573	\$100.00	\$20,781.00	\$4,336.00	\$1,326.70	\$26,543.70
573 Gift(s) listed		and Totals:		\$100.00	\$4,336.00	\$1,326.70	\$26,543.70
562 Donor(s) listed							

Appeal Summary Report Saint Mary's Phonathon 2008 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2008	Phonathon 2008	556	\$100.00	\$20,781.00	\$4,336.00	\$0.00	\$25,217.00
phraff08	Phonathon 2008 Raffle Prizes	17	\$0.00	\$0.00	\$0.00	\$1,326.70	\$1,326.70
573 Gift(s) listed			\$100.00	\$20,781.00	\$4,336.00	\$1,326.70	\$26,543.70
562 Donor(s) listed							

2008

 **Saint Mary's**
HOSPITAL
Foundation

56 Franklin Street, Waterbury, CT 06706

Return Service Requested

Non-profit Org.
U.S. Postage
PAID
Waterbury, CT
Permit No. 365

Save The Date for the 18th Annual Phonathon!!

It's Spring again and time for our 18th Annual Phonathon. A volunteer will be calling you on either **Tuesday, May 13th** or **Thursday, May 22nd** asking you to make a gift to continue the growth of the Patient Care Services at Saint Mary's Hospital.

Please be supportive of our volunteers effort to help us reach our goal of \$30,000 for **Saint Mary's Hospital**. Take a minute and tell us what you think!

Thanks in advance for your contribution, whether it be in dollars, time or thoughtful suggestions on how we can serve you and our community better.

 **Saint Mary's**
HOSPITAL
Foundation

Saint Mary's 2008 Tree Lighting Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh08tree-lighting	Saint Mary's 2008 Tree Lighti	118	\$0.00	\$0.00	\$4,185.00	\$0.00	\$4,185.00
118 Gift(s) listed			\$0.00	\$0.00	\$4,185.00	\$0.00	\$4,185.00
116 Donor(s) listed							

and Totals:

Appeal Summary Report
 Saint Mary's 2008 Tree Lighting Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
treeighting08	Tree Lighting 12/08	118	\$0.00	\$0.00	\$4,185.00	\$0.00	\$4,185.00
118 Gift(s) listed			\$0.00	\$0.00	\$4,185.00	\$0.00	\$4,185.00
116 Donor(s) listed							

and Totals:

"In Honor of:" Solicitation

November, 2008.

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will join us.

Please mark your calendar to join us for our Annual Tree Lighting:

Christmas Celebration of Light
Thursday, December 4, 2008
5:00 p.m. Saint Mary's Hospital Lobby

We'll reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

This year we are offering a single light for \$25, 3 lights for \$50 and 5 lights for \$100. You may purchase the lights in honor of a special person in your life, an individual who has touched your life or perhaps someone who has gone to rest in eternal peace or one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously. Please join us this night.

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

Please let us know if you and your family will be able to attend by calling 203-709-6390 or email foundation@stmh.org.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

"person who passed" solicitation

November, 2008

«Relative_First_Name» «Relative_Last_Name»
«Address_Line_1»
«Address_Line_2»
«City», «St» «Zip_Cd»

Dear «Relative_First_Name»:

Throughout the Christmas season, we are often more keenly aware of loved ones who have passed away. Whether it was recently or many years ago, we are reminded how much that individual meant to us. Last year, Saint Mary's Hospital started a tradition to bring attention to our loved ones by lighting a special Christmas tree with hundreds of lights, each in honor of or in memory of someone special. We invited people to join us by purchasing a light to remember someone close to them. With over 200 lights honoring a loved one, we erected a tree which paid special tribute to our honorees and offered a prayer of remembrance.

This year, Saint Mary's Hospital Foundation invites you to join us in remembering «First_Name» «Last_Name» as **we have donated a light** to our Christmas tree in their memory. We encourage you and your family to attend our **Christmas Celebration of Light** at the beginning the season where we will pause for an hour and celebrate the special people in all of our lives. The Tree will remain lit throughout the Christmas season.

Please mark your calendar and join us for our Annual Tree Lighting:

Christmas Celebration Light
5:00 p.m. Thursday, December 4, 2008
Saint Mary's Hospital Lobby

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

Please let us know if you and your family will be able to attend by calling 203-709-6390 or email foundation@stmh.org.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

2008 Treelighting Staff solicitation

November, 2008

«Fname» «Lname»
«Address»
«City_State» «Zip»

Dear «Fname»:

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will join us.

Please mark your calendar to join us for our Annual Tree Lighting:

Christmas Celebration of Light
Thursday, December 4, 2008
5:00 p.m. Hospital Lobby

We'll reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

This year we are offering a discount to hospital staff. We are asking our donors for \$25 for each light but want to extend a special offer for the staff to purchase **a single light for \$5** and join us that night in the lobby. You may purchase the lights in honor of a special person in your life, an individual who has touched your life or perhaps someone who has gone to rest in eternal peace or one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

Please let us know if you and your family will be able to attend by calling 203-709-6390 or email foundation@stmh.org.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

Fund Summary Report
Saint Mary's 2009 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh09	Saint Mary's 2009 Fund	1,455	\$0.00	\$53,000.00	\$97,092.07	\$86,187.86	\$236,279.93
	1,455 Gift(s) listed						
	1,135 Donor(s) listed						
	and Totals:		\$0.00	\$53,000.00	\$97,092.07	\$86,187.86	\$236,279.93

Appeal Summary Report Saint Mary's 2009 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
anlrpt09	Annual Report Mailing Novem	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
cop	Crusade of Prayer	60	\$0.00	\$0.00	\$1,252.00	\$0.00	\$1,252.00
cor2009	Corporations 2009	11	\$0.00	\$50,000.00	\$10,325.00	\$0.00	\$60,325.00
dml0208	Direct Mail Piece February, 2	9	\$0.00	\$0.00	\$845.00	\$0.00	\$845.00
dml0209	Direct Mail Piece February, 2	191	\$0.00	\$0.00	\$6,159.00	\$0.00	\$6,159.00
dml0210	Direct Mail Piece February, 2	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
dml0608	Direct Mail Piece June, 2008	15	\$0.00	\$0.00	\$690.00	\$0.00	\$690.00
dml0609	Direct Mail Piece June, 2009	284	\$0.00	\$0.00	\$12,596.00	\$0.00	\$12,596.00
dml0809	Direct Mail Piece August, 200	165	\$0.00	\$0.00	\$4,364.00	\$0.00	\$4,364.00
dml0908	Direct Mail Piece September, 2	62	\$0.00	\$0.00	\$2,372.00	\$0.00	\$2,372.00
dml1108	Direct Mail Piece November, 2	299	\$0.00	\$0.00	\$10,968.00	\$0.00	\$10,968.00
estates2009	Estates 2009	5	\$0.00	\$0.00	\$13,773.66	\$0.00	\$13,773.66
fnd2009	Foundations 2009	2	\$0.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00
fundam0709	Fundamentals July 2009	10	\$0.00	\$0.00	\$825.00	\$0.00	\$825.00
grant	Grant	3	\$0.00	\$0.00	\$1,989.91	\$0.00	\$1,989.91
honor08	Honor Roll of Donors	24	\$0.00	\$0.00	\$1,255.00	\$0.00	\$1,255.00
ind2009	Unsolicited 2009	39	\$0.00	\$0.00	\$4,980.58	\$0.00	\$4,980.58
journey0308	Journey Honor Roll of Donors	1	\$0.00	\$0.00	\$180.00	\$0.00	\$180.00
mem2009	Remembrance 2009	94	\$0.00	\$0.00	\$6,180.00	\$0.00	\$6,180.00
ph2008	Phonathon 2008	2	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
phys2009	Phys. Annual Appeal 2009	20	\$0.00	\$3,000.00	\$8,076.92	\$0.00	\$11,076.92
raffgeneric09	Raffle Prize Generic 2009	155	\$0.00	\$0.00	\$0.00	\$86,187.86	\$86,187.86
rem2009	Past Remembrances 2009	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00
treelighting08	Tree Lighting 12/08	1	\$0.00	\$0.00	\$25.00	\$0.00	\$25.00
and Totals:							
			\$0.00	\$53,000.00	\$97,092.07	\$86,187.86	\$236,279.93

1,455 Gift(s) listed

1,135 Donor(s) listed

Scoville

August 30, 2009

J. Robert Anthony, M.D.
Nob Hill Rd.
Cheshire, CT

Dear Bob,

In partnership with our medical staff, Saint Mary's Hospital has made great strides in providing outstanding medical care and fulfilling our mission. With over patient visits last year alone, the hospital has been a busy place. As with any facility under continuous use, our physical plant requires constant attention. Patient rooms, infrastructure repairs and capital acquisitions are costly and must be funded out of operating income or philanthropic gifts. Although the Health System will post a modest profit this year, it won't be enough. To make the needed repairs and to acquire new technology we must rely on the generosity of our donors.

This year the Foundation is reaching out to members of the hospital family and asking for 100% participation in the annual appeal. To date, the boards of directors and the administrative staff have met that goal. Now it is our turn. As physician members of Scovill, Franklin and Primary Care Partners we need to add our support to this effort. The size of the gift is not as important as is the rate of participation. Last year, you generously donated \$ _____ to the Hospital. This year hope you will match that gift or increase it if possible.

Our work in medicine never ends. As staff physicians, we already support the hospital with our patient admissions, our committee work and our attendance at special events. We also know that if we want to retain our present medical talent, supply ourselves with the latest technology, attract new physicians to the community and encourage other charitable donations that we need to do more.

Today, as for the past 100 years since its' founding, Saint Mary's Hospital distributes more charitable dollars, through free health care, than any other single organization in our region. Your gift will make it possible for Saint Mary's Hospital to be present for another "100 Years of Caring".

As always there are many ways to make your gift including pay role deduction, credit card or monthly billing by the Foundation office. Please join with us and make your gift today. We promise to report back on the success of this request. Thank you.

Sincerely,

Gregory Buller

Leslie Coopersmith

Juan Sanchez

Direct Mail? Aug 09

(date)

Name

Address

City, state, zip

Michael Gay is recovering from open heart surgery. Twice a week, he returns to Saint Mary's Hospital to participate in the Cardiac Rehabilitation Program. What makes his story unusual, however, is that he chooses to come to Saint Mary's for his care even though he lives 20 miles away, in Torrington.

"Saint Mary's is not the closest hospital to my home, but I choose to keep going back because of the care I get there," the 67-year-old says. "The people are *amazing*."

"I can't do enough for Saint Mary's Hospital," he proclaims with a smile. "The doctors, the nurses – the entire staff – are simply a fantastic team and I couldn't imagine going anywhere else."

Since retiring from the state Department of Transportation, Michael says he's had his share of ups and downs. In 2006, he was diagnosed with prostate cancer. A year later, he suffered an aneurism and later, a serious blood infection that landed him in the hospital for 11 days. Following a year of good health, a routine echocardiogram last fall revealed Michael needed open heart surgery.

"I never questioned having the surgery at Saint Mary's," he says. "My cardiologist, Dr. Paul Kelly, my surgeon, Dr. Paul Preissler, and their teams are fantastic. You couldn't ask for better care. I really owe where I am today to all of them."

Michael lives on a fixed income and admits that it's difficult to give. "I support Saint Mary's because of the care that I get there. From the doctors to the nurses to the orderlies, Saint Mary's is it. It's like there's something in the back of my mind that says 'give the money to Saint Mary's.' It feels right."

Saint Mary's Hospital celebrates its centennial birthday "100 Years of Caring" this year. We remain true to our founder's vision to provide quality health care without regard to income status.

Financial support from patients like Michael – who appreciate the expert medical care they receive from the compassionate professionals at Saint Mary's – enables the hospital to continue serving our community. Please use the enclosed envelope and join Michael by sending your gift to Saint Mary's Hospital today.

As Michael commented, "Every penny counts in supporting Saint Mary's Hospital. Every little bit helps." Through your generosity we will be prepared for the next 100 years.

Sincerely,

Eric Albert

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L E T T E R F R O M T H E C H A I R M A N

On behalf of the Board of Directors for Saint Mary's Hospital Foundation, I offer heartfelt gratitude and appreciation for all of the donors who have generously supported Saint Mary's Hospital. This year has been particularly difficult for charitable organizations, given the economy and its ominous forecast. Through the unwavering support of generous individuals, families, friends and businesses, the Saint Mary's Hospital family is able to continue living its mission to provide exceptional medical care for all patients – always without regard for income.

This past year, Saint Mary's Hospital and its staff at all levels were recognized in advancing the quality of patient care. Among those recognized accolades were:

- Saint Mary's Hospital Child Development Center earned a five-year accreditation from the National Association for the Education of Young Children (NAEYC), the nation's leading organization of early childhood professionals.
- Saint Mary's Hospital was recognized by the American Heart Association for consistently providing the highest quality care for its cardiac patients – the only hospital in Connecticut to receive this level of recognition from the American Heart Association.
- Dr. Tiffany T. Fancher, a third-year surgical resident at Saint Mary's Hospital, was awarded first prize for her presentation in the "Specialty Surgery" category at the annual meeting of the Connecticut Chapter of the American College of Surgeons.
- Barbara Ferrucci, manager of the Saint Mary's Hospital Lifeline program, was honored with a Philips Lifeline Award for her extraordinary commitment to helping seniors live independently and confidently in Greater Waterbury.

These achievements and accolades reflect the quality and caliber of community that represent the core values of Saint Mary's Hospital. Your support of our efforts is critical – and we are very grateful for your support.

Sincerely,

Eric D. Albert
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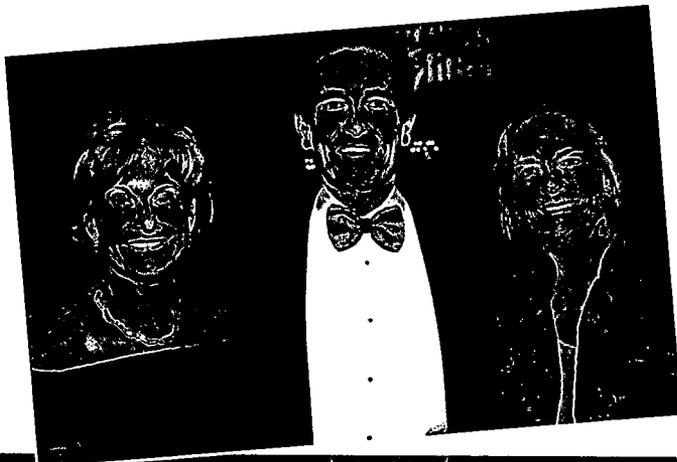
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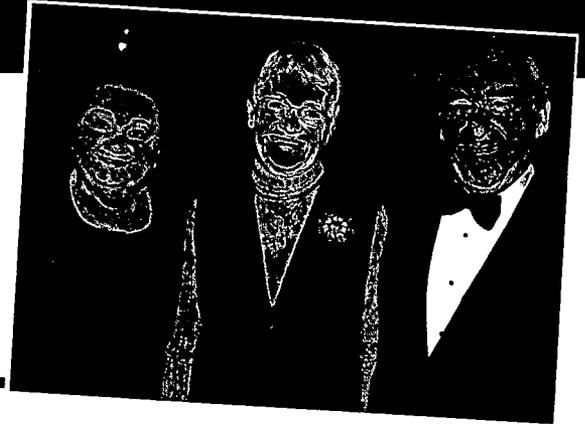
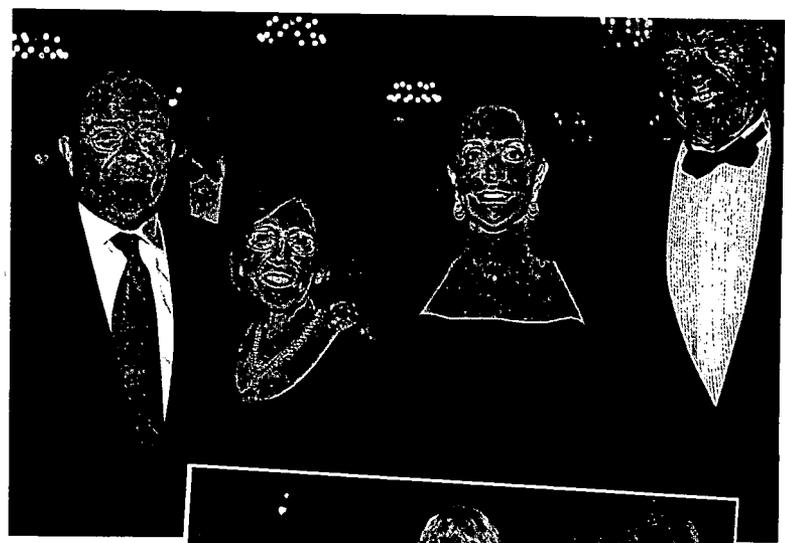


2008 Gala "All That Glitters"

Always a memorable evening, over 700 guests in black tie attire gathered at the Aqua Turf on February 2, 2008 to enjoy the Saint Mary's Hospital Foundation 2008 Gala "All That Glitters." The evening's program included a four course meal, dancing, basket raffles and splendid live and silent auction items. Event co-chairs Dr. and Mrs. Richard Holden and Mr. and Mrs. William St. John worked tirelessly with a team of volunteers who raised \$360,000 to support Saint Mary's Hospital. Dancing to the sounds of Powerstation and the Harold Zinno Orchestra rounded out a spectacular evening for Saint Mary's Hospital.

Upper left: Cathy Smith, Jim Smith and Margaret Smith.

Left: Co-chairs Attorney William St. John, Laurie St. John, Cyndie Holden and Dr. Richard Holden.



Above: The Most Reverend Henry J. Mansell, Attorney Stephen Griffin and Maureen Griffin.

Upper right: Burton Albert, Sylvia Albert, Sara Matzkin and Dr. Michael Matzkin.

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 Vice President of Patient Care Services

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Fall Golf Classic

200 golfers registered to support Saint Mary's Hospital for the annual Fall Golf Classic on September 9. Rainy weather forced the cancellation of the afternoon flight of golf, but spirits were high as over \$175,000 was raised to support Saint Mary's Hospital.

Left: Dr. Peter Jacoby, Event co-chairs Dr. Mitchel Chere and Paul Largay.

Lower left: Richard Strobel, George Strobel, Dr. Mickey Wyse and George Strobel, Sr.



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Phonathon 2008

In May, a spirited group of nearly 40 volunteers made over 800 calls to families throughout the Greater Waterbury area to raise funds in support of Saint Mary's Hospital. The volunteers' hard work was greatly rewarded with a total exceeding \$25,250 from their Phonathon efforts. Spearheaded by Saint Mary's Hospital 2007 Dinner of Champion Honoree Jaci Carroll-Hanratty, donors were asked to consider how their gift to Saint Mary's might benefit a family member, a neighbor, a colleague or a stranger – or even themselves. Once again, our donors' generosity was overwhelming with a record number of 574 gifts collected.

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2008 Saint Mary's Hospital Dinner of Champions

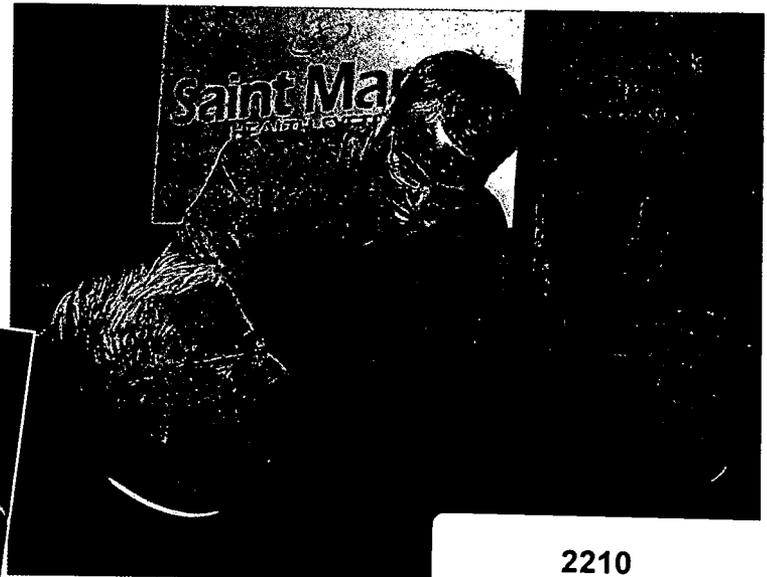
On September 8, 350 guests attended the Dinner of Champions at the Crowne Plaza in Southbury. The evening was a salute to the Sisters of St. Joseph of Chambery, the religious order who founded the hospital 100 years ago. The evening was filled with fine food and exceptionally good company with many members of the local community gathered to salute the vision and life's work of the Sisters of St. Joseph.

Right: Semina De Laurentis, artistic director of the Seven Angels Theater in Waterbury, gave a special performance as Sister Mary Amnesia from "Nonsense."

Upper right: Reverend Thomas J. Barry, Chad Wable and Monsignor James C. Coleman.

Lower right: The Sisters of Saint Joseph of Chambery.

Below: Burton Albert, Sylvia Albert and Eric Albert.



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 which makes for a better place to live
 and work."*



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assumed responsibility to support Saint
Mary's. The healthcare that our system
provides to area residents – without
discrimination – is an essential*



*community asset that needs our
continued support."*

— Joseph Carlson II,
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Mr. & Mrs. Henry L. Roberts
Roncari Express Valet Parking
Mr. & Mrs. Patrick C. Russo
Mrs. Rita C. Santogatta
Mr. Stephen R. Sasala* &
Ms. Betsy H. Love
Mr. & Mrs. Robert A. Searles
Attorney &
Mrs. Herbert J. Shepardson
Mr. & Mrs. Lawrence Slowik
Mr. & Mrs. John M. Smith
Ms. Norma Spadola
Mr. & Mrs. Burton L. Stevens
Mr. & Mrs. Harold F. Sullivan
Mr. & Mrs. Brice Taylor
The Torrington Supply Co., Inc.
Travelex
Mr. & Mrs. Lawrence Trincherro
Mr. & Mrs. Raymond J. Trzaski
Tzell Travel Group
Underwood Travel Associates, Inc.
Virtuoso
Wagner Associates
Ms. Martha A. Walsh
Waterford Group Charitable
Foundation
Mrs. Jean White
Mr. & Mrs. Bruce A. Wilson
Greater Waterbury YMCA
Mr. & Mrs. Gregory J. Zupkus
Mr. & Mrs. David K. Zwiener



First annual "Women for Women" Golf Tournament

The inaugural women's golf tournament on June 16 was a resounding success with 150 women golfers enjoying a day on the greens at The Golf Club at Oxford Greens. Chaired by Olympic Gold Champion Dorothy Hamill, proceeds from the event raised \$54,000 to support the Mammography Fund at Saint Mary's Hospital which will underwrite the cost of over 300 mammograms for women without medical insurance.

Upper left: Mary George, Marna Murtha, Debbie Barlow and Mary Jane Barlow.

Left: Event co-chair Mary Wiatr, honorary chair Dorothy Hamill and event co-chair Chris Geraghty.

**In memory of
Andrew & Eleanor Love**
Balladin Precision Products

**In memory of
Miriam Magnuson-Rowe**
Mr. & Mrs. Walter Christofferson
Mr. & Mrs. Richard L. Coe
Mr. & Mrs. Ralph Deleo
Mr. & Mrs. Ronald F. Gaffney
Mr. & Mrs. Ed Gradowski
Mr. Curtis Griffin
Ms. Lois P. Hallquist
Mr. & Mrs. John E. Hult
Mr. Joseph R. Hyman
Ms. Susan G. Kater
Mr. & Mrs. Eugene W. Keen
Dr. & Mrs. Bruce Kreiger
Mr. & Mrs. David W. Ladd
Mr. & Mrs. Dante J. Longobucco
Ms. Martha A. Magnuson
Ms. Veda A. Magnuson
Mr. & Mrs. Scott B. McCausland
Mr. Joseph McGuire
Mr. & Mrs. Robert C. Moore
Mr. & Mrs. Donald G. Nelson
Mr. Daniel C. Pepe
Ms. Amy Lynn Perkins
Ms. Carolyn R. Perkins
Mr. & Mrs. James Sawyer
Ms. Lois Schroeder
Mr. Frederick J. Shaner
Ms. Margaret K. Stull
Mr. & Mrs. John A. Tuttle
Ms. Amy M. Woyke

**In memory of
Mrs. Mary M. McAree**
Mr. & Mrs. Christopher Thurston

**In memory of
Mrs. Mildred McKenna**
Mr. & Mrs.
William E. Finkenzeller
Mr. & Mrs. Paul C. Kieffer

**In memory of
Ms. Ilse Mlodecki**
Ms. Anne P. Delo

**In memory of
Mr. Dominic N. Moschella**
Ms. Kim Guy
Mr. Albert C. Matulis

**In memory of
Mr. Philip R. Norman**
Mr. & Mrs. Enrico Burgio
Ms. Cathy Czachorowski
Mr. & Mrs. Michael S. Derynoski
Grove Manor Nursing Home, Inc.
Mr. & Mrs. Gary Konishesky
Mr. & Mrs. Andrew J. Meade
Mr. & Mrs. Adolph F. Petro, Jr.
Mr. & Mrs. George M. Taweh
Ms. Dolores A. Wojtunik
Mr. & Mrs. Thomas Wojtunik

Right: Georgina Walshe, Ann
Healey, Jean Gilliland and
Mary Ann Fagan.

**In memory of
Mrs. Lorraine A. Phillips**
Mr. & Mrs. Joseph A. Micalizzi

**In memory of
Alva A. Riemann**
Mr. & Mrs. Thomas Hamilton

**In memory of Major General
Gaetano A. Russo, Jr.**
Mr. & Mrs. John Gizzi

**In memory of
Mrs. Mary Salcito**
Mr. & Mrs. Anthony J. Coppola
Mr. & Mrs. John F. Cremins
Ms. Jean M. DeSimone
Ms. Anne A. Garrahan
Mr. & Mrs. Vincent Magnarella
Mr. & Mrs. Gene F. Salcito
Mrs. Elizabeth Schultz

**In memory of
Mrs. Dorothy Samela**
Ms. Cynthia M. DeLieto
Joan K. Renz, Ph.D.
Mr. & Mrs. Nicholas Samela

In memory of Imelda Sandor
Mr. & Mrs. Raymond T. Cordon
Mr. & Mrs. Albert J. Thibodeau

**In memory of
Mrs. Elizabeth Sayers-Farren**
Mr. Michael R. Egnatchik
Mr. J. Michael Farren
Mr. David L. Frishkorn
Mr. & Mrs. Samuel K. Lee
Ms. Sheri F. London
Mr. & Mrs. W. Burke Reilly

**In memory of
Mrs. Isabelle L. Seeley**
Mr. & Mrs. William D. Kemp
Waterbury Retired Police
& Fire Association

**In memory of
Mrs. Christina Silva**
Mr. Christopher D. Sette

**In memory of
Mrs. Elizabeth C. Smith**
Mr. Thomas P. Santopietro

**In memory of
Mrs. Elizabeth Tagg**
Ms. Deborah E. Bradley
Ms. Rose Bradley
Ms. Phyllis M. DePillo
Mr. & Mrs. Keith A. Kraner
Ms. Leigh A. Trifari



Right: Dorothy Hamill
and her brother
Dr. Sandy Hamill.



**In memory of
Mr. John L. Talbot**
Mr. & Mrs. Edmund Boutin
Mr. Christopher Casey &
Ms. Lorelei Johnson
Mr. & Mrs. Thomas E. Casey
Mr. & Mrs. Gary Mathew
Mr. & Mrs. Lawrence McGough
Mr. & Mrs. Claude G. Talbot
Mr. & Mrs. Robert E. Talbot, Jr.
Mr. & Mrs. Joseph A. Vaccarelli

**In memory of
Mr. James F. Thurston, Jr.**
Mr. Frederick E. Hennick

**In memory of
Sister Marguerite Waite**
Webster

**In memory of
Mr. Herman S. Weisman**
Mr. & Mrs. Harvey Altman
Mr. & Mrs. Jack Arnel
Mr. & Mrs. John E. Cahill
Joseph M. Cahill, Ltd.
Mr. & Mrs. Irving J. Calechman
Mr. & Mrs. C. Patrick Castellan
Code Blue Billing &
Consulting, Inc.
Mr. Roberto Constantiner
Mr. Michael J. Dean
& Ms. Maykin Ho
Defined Health
EKR Therapeutics, Inc.
Eurand
Ms. Karen Fischer
Mr. & Mrs.
Bernard I. Friedlander
Mr. & Mrs. Marvin Friedlander
Mr. Alan Goldberg
& Ms. Kelly Fox
Mr. & Mrs. Robert B. Grossman

Dr. Steven Herzig
& Dr. Catherine Falvey-Herzig
Mr. & Mrs. Wilbert E. Johnson
Mr. & Mrs. Irving Kelton
Mr. & Mrs. Richard Larson
Licensing Executives Society
Mr. & Mrs. Frank Loh
Mr. & Mrs. Arnold Migliaccio
Dr. & Mrs. David A. Nusblatt
Mr. & Mrs. Gerald Z. Pollak
Mrs. Linda S. Pruskin
Mr. & Mrs. Louis P. Scheps
Ms. Dorothy Schlesinger
Mr. & Mrs. Dave Shutan
Mr. Marc J. Siegel &
Ms. Gail E. Wagner
Mr. Bud Simon
Mr. & Mrs. Lawrence Slowik
Mr. & Mrs. Robert Taber
Mr. & Mrs. Herman Teitler
Mr. & Mrs. Arthur Wald
Mrs. Gladys Weisman

**In memory of
Mr. Albert David Wilson**
Mr. & Mrs. Allan F. Brozek
United Steelworkers

**In memory of
Mr. Edward "Bud" S. Wilson**
Mr. & Mrs. John Chernecky
Mr. & Mrs. Romeo Labbe
Ms. Charlotte E. Langlois
Mrs. Ann M. Largay
Richard Manufacturing Co., Inc.
Mr. & Mrs. Neil M. Richard
Mr. & Mrs. Peter Sportino
Ms. Diane M. Wilson


Saint Mary's
HOSPITAL
Foundation

56 Franklin Street
Waterbury, CT 06706

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WATERBURY, CT

2215

Have you considered what your legacy will be?

Planning your legacy isn't something most of us have time to do on a daily basis. It takes careful planning – just as you would consider your will and estate plan. Have you considered a legacy that includes Saint Mary's Hospital? A gift to Saint Mary's Hospital Legacy Society ensures your legacy as well as ours – so we will be there for the patients who need us – for generations to come.

Over 100 years ago, Saint Mary's Hospital's founders envisioned a state-of-the-art healthcare center for patients to be treated professionally, compassionately and without regard to income. That vision continues from generation to generation as Saint Mary's Hospital's life mission. With over 100,000 patient visits annually – and as one of the largest charitable organizations donating free healthcare – Saint Mary's Hospital is a significant community resource for the entire Greater Waterbury area.

Legacy Society gifts ensure a lasting legacy for patients and their families at Saint Mary's Hospital. Some of the ways you might be able to help Saint Mary's Hospital and, in turn, ensure that future generations have access to quality healthcare include:

- ▶ Name the Saint Mary's Hospital Foundation in your will or in your family's estate plan.
- ▶ Designate the Foundation as the recipient of a life insurance policy.
- ▶ Transfer securities, properties or other assets to the Foundation.
- ▶ Create a trust or gift annuity to benefit the Foundation.

Talk to your financial advisor, attorney or a member of the Saint Mary's Hospital Foundation staff.

Legacy Society gifts include:

Annuity
(Gift, Deferred)

Bequests

Endowment Gift

Life Insurance

Memorial Gifts

Trust
(Charitable, Short Term)

Unitrust

Letter Content: Board Member Appeal Letter with Challenge April 2009 v2

Mr. Donor
Donor Inc.
156 Knotter Drive
Cheshire, CT. 06410

Dear Donor,

As we celebrate "100 Years of Caring", the challenge of providing non discriminatory care to our community takes on a new reality. Quality care and compassion distinguish our hospital as benchmarks for the day-to-day interaction of our staff with the 100,000 patient visits we experience annually.

Last year, residents of our community were extremely vocal in their support of our Heart Center. Statistics confirmed the growing number of heart patients and it was the voices of our residents that helped to convince the Office of Health Care Access to give our program permanent status.

This year, Saint Mary's Hospital is reaching out to the community to ask for support of our annual appeal. The proceeds of your gift will go directly toward the purchase of a heart lung machine for our Cardiac Care Program. Receiving permanent status from OHCA was only the first step. Now we will ensure we have the right equipment in sufficient supply to meet the growing demand for heart care at Saint Mary's. A second machine will help us offer immediate access to critically ill patients. The heart lung machine is used for both coronary artery bypass surgery and valve replacements for which we have a growing demand. During surgery, the machine acts for the heart by pumping blood through the body while the surgeon makes repairs to the patient's heart.

Medical equipment is costly and this machine is no exception with a price tag of \$150,000. We need everyone's support to make this purchase a reality. Even in a difficult economy we must maintain our commitment to our patients. I ask you to be as generous as you can and make your gift today.

Sincerely yours,

Eric Albert, Chairman
Saint Mary's Hospital Foundation Board of Directors

Letter Content: February 2009 Patient Appeal

Date

Name

Address

City, state, zip

Dear first:

"No one would choose to have Open Heart Surgery, but if you did, Saint Mary's is the place to have it. It's the greatest place. Right here in Waterbury."

As a long time runner, John Marinaro assumed he would never be a candidate for a heart attack. But pressure in his chest after a few weekly runs warranted mention to his primary doctor. After an immediate series of comprehensive tests, John learned his fate –triple bypass surgery.

With his wife, son and daughter around him, John underwent surgery at Saint Mary's Hospital in June. "I had the utmost confidence in my physicians and [REDACTED]

For 11 days, he recovered in the Cardiovascular Care Unit at Saint Mary's – his family close by his side. "I was actually relaxed knowing that I was at Saint Mary's and that my wife and children could be with me - and that they didn't have to travel to see me."

His surgery and recovery at Saint Mary's weren't far from John's mind when he resumed jogging for the first time last month. "I had phenomenal care as a patient. The staff at Saint Mary's are like my family. I was never another patient there – I was 'John' or "Mr. Marinaro.'" My family is very fortunate to have Saint Mary's.

Recently, when speaking to a group about his experience, John said "We need to keep our hearts in the right place, right here in Waterbury." We couldn't say it any better ourselves – and the need to keep quality medical care available to all patients in the greater Waterbury area has never been greater.

Like John, we hope we can count on you for your support of the work we do every day at Saint Mary's Hospital. Our staff - a family over 1,800 strong – [REDACTED] over 100,000 patient visits each year – and always without regard for patient income. Compassion and quality of care are hallmarks of Saint Mary's Hospital and it is through the generosity of people like you, that we will be able to continue helping families like John's.

Please commit your support to Saint Mary's Hospital with a gift today. Because whether the patient is you, family member, neighbor, co-worker or someone you don't know – quality medical care should always be available right here – in Waterbury – at Saint Mary's Hospital.

Sincerely,

A handwritten signature in black ink, appearing to be 'EJW' with a small flourish at the end.

Edmund J. White
Chairman
Saint Mary's Hospital Foundation
Board of Directors

Nov 08

Major donors

(date)

(name)

(address)

Thanksgiving is one time of year many of us are reminded of the gifts we have in our lives. For many families, this year will be particularly difficult given the turbulent economy. At Saint Mary's Hospital, we continue to be available to all families – and as we celebrate 100 years of service to the Greater Waterbury area – we remain steadfast in our commitment to serve patients without regard to income.

Making a commitment to providing state-of-the-art care in the compassionate medical community we are known for, is a direct result of the generosity of donors like you. Former patients and their families continually celebrate the warmth and compassion they experience in their hour of need - and that care is clearly one of the hallmarks of our Hospital.

Nearly 1800 people make up the Saint Mary's Hospital family – all dedicated to the best possible care for our patients, whether they are friend, neighbor, co-worker, family or stranger. Over 100,000 patients walked through our doors last year seeking expert medical attention. Saint Mary's Hospital is enormously proud of our tradition of helping keep our community healthy.

Recent care improvements in the Emergency Room, the main entrance and information systems technology aim to streamline the medical experience for patients to ensure effective patient care and to make each patient's experience at Saint Mary's be a positive one. Again through substantial gifts from donors like you, we have been able to grow and meet rising patient demand.

This year, during the holidays, please consider Saint Mary's Hospital when making your year end charitable gifts. Your last gift to Saint Mary's Hospital was \$XXXXX, would you please consider a gift of \$yyyyy? Please support our efforts – generously – to help us continue a century of care for all families.

With warm gratitude,

X

SMH09 Champion Golf Dinner Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh09dinner	SMH09 Champion Golf Din	84	\$0.00	\$6,000.00	\$30,620.00	\$0.00	\$36,620.00

and Totals:

84 Gift(s) listed			\$0.00	\$6,000.00	\$30,620.00	\$0.00	\$36,620.00
84 Donor(s) listed							

Appeal Summary Report
SMH09 Champion Golf Dinner Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
golf2009inv	Golf '09 Invite for Webster	64	\$0.00	\$3,500.00	\$11,500.00	\$0.00	\$15,000.00
websterdona09	Webster Dinner Donations 2	16	\$0.00	\$0.00	\$4,120.00	\$0.00	\$4,120.00
webstersp09	Webster Dinner Sponsorship 2	4	\$0.00	\$2,500.00	\$15,000.00	\$0.00	\$17,500.00
84 Gift(s) listed							
84 Donor(s) listed							
and Totals:			\$0.00	\$6,000.00	\$30,620.00	\$0.00	\$36,620.00

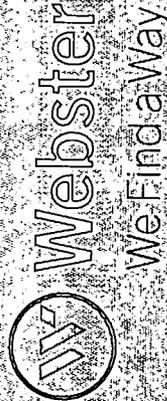
Dinner of Champions Committee

Co-Chairmen

John P. Burke and Michael L. O'Connor

Committee Members

Kristen Bulkovitch	Andrew Roberts
William Cofrances	John Rowland
Kathie Hanratty	Laurie St. John
Ingrid Manning	Frank Tavera



For more information please contact:
 Harriet Sisbarro, Saint Mary's Hospital Foundation, 56 Franklin Street
 Waterbury, CT 06706 Phone # (203) 709-6391 Fax # (203) 709-3272
 Email: hsisbarro@smhf.org

Directions to Crowne Plaza (formerly Southbury Hilton), Southbury.

1-84 East or West to Exit 16. Go right at the end of the exit ramp onto
 Strongtown Road. Hotel is 0.25 miles on the right. End at 1284
 Strongtown Road.

Hotel Phone: (203) 598-7600



DINNER OF CHAMPIONS



Featured in photo are Webster Bank employees (left to right): Barry Remabe, VP, Government Finance, Colleen Strachman, VP, Corporate Communications, Chris Martin, SVR, Government Finance, Kathy Luria, VP, Community Affairs, Jennifer Silva, Community Affairs Specialist, Pat Mellin, Executive Assistant (retired)

Monday, September 14, 2009

Honoring the 2009 Saint Mary's Champion

Webster Bank

and the sponsors of the

Saint Mary's Hospital 2009 Champion Golf Classic

2009 Saint Mary's Hospital Champion

Webster Bank

Saint Mary's Hospital Foundation is pleased to honor Webster Bank as the 2009 Saint Mary's Hospital Champion. The award, given annually to an individual or institution with a history of outstanding service to the hospital and the community, recognizes Webster Bank's commitment as a key benefactor of Saint Mary's Health System and the community through the philanthropic and volunteer support offered by its leadership and employees.

The *Webster Way* embodies the giving spirit that guides Webster's numerous philanthropic activities. Two of Webster's guiding principles are "we give of ourselves in the communities we serve" and "we respect the dignity of every individual." Those values are evident in Webster's work on behalf of countless community organizations throughout Webster's franchise. Even as Webster has grown far beyond Waterbury, it has continued its steadfast support of the city and region through its charitable giving and active community service. In addition to its key support of Saint Mary's Health System, Webster has helped many thousands of people keep their dreams alive through its contributions to other agencies focused on ending hunger; providing affordable housing; supporting economic self-reliance, and steadfast support of health and human services.

The *Webster Way* had its beginnings in 1935, when in an effort to help local residents fulfill their dreams of building and buying homes, Harold Webster Smith borrowed \$25,000 from family and friends and founded what is known today as Webster Bank. Determined that his new company would thrive even in the Great Depression, he built an organization that could adapt to change and serve customers successfully in good times and bad. First Federal Savings and Loan, as the bank was first known, opened its doors in 1935 in an 11 x 38 ft. office on the second floor of the historic and elegant Farrington Building on the Waterbury Green.

From these modest beginnings, Webster has grown to become the 29th largest commercial bank in the United States, with 181 offices in Connecticut, Massachusetts, Rhode Island and New York. In 1986 the company went public and in 1987 James C. Smith succeeded his father as Chief Executive. In 1995 the company changed its name to Webster Bank in honor of its founder.

Over the years that Saint Mary's Hospital has been a recipient of Webster's philanthropy, the Smith family has personally supported the hospital through charitable donations and volunteer leadership. Jim has served on the Saint Mary's Health System Board of Directors for nearly 30 years, and Jim and Cathy have volunteered for numerous Foundation activities including serving as Chairmen of three hospital's "Galas." Jim's mother, Copey, was a dedicated volunteer at the hospital for many years.

Saint Mary's Health System depends on the leadership and generosity of community leaders and institutions to carry out its mission. It is fitting that we acknowledge Webster Bank's commitment to Saint Mary's Health System and the community with the 2009 Saint Mary's Hospital Champion Award.

Monday, September 14, 2009

DINNER OF CHAMPIONS

*Honoring the
2009 Saint Mary's Hospital Champion
Webster Bank*

and the sponsors of the

Saint Mary's Hospital

2009 Champion Golf Classic

6:00pm Cocktails

7:00pm Dinner

Growth Plaza (formerly Southbury Hilton)

Strongtown Road, Southbury, CT

\$100 per person

(Cost of this dinner is included with participation in the 2009 Champion Golf Classic)

This year, the traditional golf dinner will be held on Monday, September 14, the evening before the golf tournament, and everyone is invited, golfers and non-golfers alike. Please join us in honoring our 2009 Saint Mary's Hospital Champion, Webster Bank, and in recognizing the generous sponsors of our 2009 Champion Golf Classic.

If you are participating in the Champion Golf Classic, your dinner ticket is included in your fee. If you have already sent in your registration and dinner reservation, there is no need to reply.

If you are attending dinner only, the fee is \$100. Proceeds from the dinner will be directed to the Saint Mary's Hospital Patient Care Fund.

Fund Summary Report Saint Mary's 2009 Gala Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh09gala	Saint Mary's 2009 Gala	506	\$0.00	\$100,050.00	\$253,350.00	\$0.00	\$553,400.00

and Totals:

			\$0.00	\$100,050.00	\$253,350.00	\$0.00	\$553,400.00
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506 Gift(s) listed

341 Donor(s) listed

Appeal Summary Report

Saint Mary's 2009 Gala Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
auitsa2009	Auction Item Sales 2009	103	\$0.00	\$9,660.00	\$54,495.00	\$0.00	\$64,155.00
basket2009	Basket Item Ticket Sales 09	25	\$0.00	\$300.00	\$14,595.00	\$0.00	\$14,895.00
basketsp2009	Basket Sponsor Gala 2009	22	\$0.00	\$1,500.00	\$5,340.00	\$0.00	\$6,840.00
casablancagala09	Casablanca Night for Gala 20	1	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
cashauc2009	Cash Auc. Donation 2009	45	\$0.00	\$3,300.00	\$8,250.00	\$0.00	\$11,550.00
fundfuturegala09	Fund the Future for Gala 2009	35	\$0.00	\$4,500.00	\$2,940.00	\$0.00	\$7,440.00
headsortailsgala09	Heads or Tails Gala 2009	2	\$0.00	\$20.00	\$2,460.00	\$0.00	\$2,480.00
prbk2009	Prog. Bk Ads Gala 2009	46	\$0.00	\$17,200.00	\$25,850.00	\$0.00	\$43,050.00
prbksp2009	Prog. Bk. 2009 Sponsor	14	\$0.00	\$45,500.00	\$45,500.00	\$0.00	\$91,000.00
smithbarrgala09	Smith Barn for Gala 2009	38	\$0.00	\$1,400.00	\$3,000.00	\$0.00	\$4,400.00
tuliptreegala09	Tulip Tree for Gala 2009	8	\$0.00	\$150.00	\$600.00	\$0.00	\$750.00
wnd2009	Gala '2009 Ticket Sales	167	\$0.00	\$16,520.00	\$90,120.00	\$0.00	\$106,640.00
and Totals:							
			\$0.00	\$100,050.00	\$253,350.00	\$0.00	\$353,400.00

506 Gift(s) listed

341 Donor(s) listed

Program Book - letter from President



February 7, 2009

Dear Friends:

On behalf of the entire Saint Mary's Health System family, we welcome you to our 18th Annual Gala, "100 Years of Caring."

This year's Gala is a special event celebrating the 100th anniversary of the opening of Saint Mary's Hospital. From our humble beginnings as a 120-bed hospital erected in the heart of the Brass City, Saint Mary's has grown and expanded to become a diverse health system with satellite facilities that today extend from Waterbury to many of our surrounding communities.

Tonight, we offer you the opportunity to look back with us, as we reflect on the vision of our founder, the Rt. Reverend Monsignor William J. Slocum, and the longstanding dedication of the Sisters of Saint Joseph, who have walked the halls of Saint Mary's from the very first day, February 28, 1909.

Over the past century, Saint Mary's has achieved many important milestones, expanding our services and keeping pace with continual changes in technology. Many of these achievements, and the people who have contributed to Saint Mary's tradition of caring, are reflected in the archival photographs you see around you. We recognize that we could not have achieved this success or sustained our Mission over the past century without the support of our community. And we hope to carry that legacy forward - starting tonight.

As you know, proceeds from this year's Gala will support the Patient Care Fund at Saint Mary's Hospital, providing for the continued expansion and development of programs and services to meet the needs of our community. Through your generosity, each of you has the opportunity to positively impact the future of our local healthcare delivery system, to help us carry forward our Mission and chart our course as we continue to serve our patients and their families for generations to come.

We thank you for attending Saint Mary's 18th Annual Gala. We hope you have a wonderful time this evening. We look forward to working together with you to support Saint Mary's in continuing to make a meaningful impact in our communities while growing stronger as a health system. Enjoy your evening!

Sincerely,

Chad W. Wable, FACHE
President and Chief Executive Officer

Past Basket Sponsor solicitation

January 12, 2009

Patty Bates
Abbott Terrace Health Center
44 Abbott Terrace
Waterbury, Ct 06702

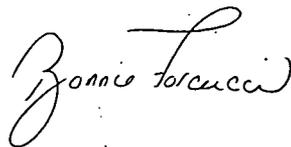
Dear Patty,

It's that time of year again and we are preparing our baskets for the **18th Annual Saint Mary's Gala and Auction "100 Years of Caring"** which will be held on Saturday, **February 7, 2009** at the Aqua Turf in Plantsville, CT. The proceeds from this year's event will go to support the **Saint Mary's Hospital Fund for Patient Care**. Chairmen M. Catherine Smith & James C. Smith; together with their committee, have planned a special evening that will include an elegant gourmet dinner, music, dancing and entertainment as well as our tremendously popular "signature baskets".

Last year, Dr. Mark Schoenfeld, a cardiologist from Saint Raphael's Hospital was the lucky winner of the **basket sponsored by Abbott Terrace** called "The Cheese Board". The ticket sales from that basket were instrumental in helping us reach our goal of \$20,000. To reach our goal this year we need your help again to underwrite the cost of a basket. **This year's sponsorship is \$500** and will include recognition in our program book, on our web site, and individual signage in front of your "sponsored" basket.

Saint Mary's depends on the philanthropic support and generosity of donors like you to help us raise the funds needed to provide essential healthcare services to the greater Waterbury area. Our "**signature baskets**" are synonymous with the success of Saint Mary's premier event and as basket co-chairmen, we are proud to be part of that success. We know that we can not accomplish this without your participation and your support.

Sincerely,



Bonnie Forcucci
Gala Basket Co-Chairman



Janet Weber
Gala Basket Co-Chairman

January 7, 2009

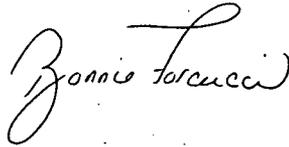
Generic Basket Sponsor Letter

It's that time of year again and we are preparing our baskets for the **18th Annual Saint Mary's Gala and Auction "100 Years of Caring"** which will be held on Saturday, **February 7, 2009** at the Aqua Turf in Plantsville, CT. The proceeds from this year's event will go to support the **Saint Mary's Hospital Fund for Patient Care**. Chairmen M. Catherine Smith & James C. Smith; together with their committee, have planned a special evening that will include an elegant gourmet dinner, music, dancing and entertainment as well as our tremendously popular "signature baskets".

The Gala committee has given us a goal of \$20,000 for our baskets toward an overall goal of \$370,000. To reach our goal this year we need your help to underwrite the cost of a basket. ***This year's sponsorship is \$500*** and will include recognition in our program book, on our web site, and individual signage in front of your "sponsored" basket.

Saint Mary's depends on the philanthropic support and generosity of donors like you to help us raise the funds needed to provide essential healthcare services to the greater Waterbury area. Our ***"signature baskets"*** are synonymous with the success of Saint Mary's premier event and as basket co-chairmen, we are proud to be part of that success. We know that we can not accomplish this without your participation and your support.

Sincerely,



Bonnie Forcucci
Gala Basket Co-Chairman



Janet Weber
Gala Basket Co-Chairman

January 8, 2009

Dr. Paula Moynahan
Moynahan Medical Center
141 East Main Street
Waterbury, CT 06702

Dear Dr. Moynahan:

Plans for our **18th Annual Gala "100 Years of Caring"** are underway. This year's event is scheduled for **Saturday, February 7, 2009** at the Aqua Turf in Plantsville. The 2009 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2009 is \$330,000 and with your enthusiasm and continued support, we can reach that goal.

The 2008 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 750 guests in attendance. **Funds raised at the 2009 event will benefit the Patient Care Fund at Saint Mary's Hospital.** With the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

We are again offering a live and silent auction as well as our "signature basket" raffle. **A 2009 Auction Donation Contract is included for your review and action. You can support the Gala by donating an item to be used in any one of the above categories.** Please return your form(s) to us by Friday, January 23, 2009.

Thank you for your willingness to consider a gift this year.

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Board solicitation

January 28, 2009

Mrs. Sally Kernan
700 Breakneck Hill Rd.
Middlebury, CT 06762-1409

Dear Sally,

We need your help ... to make "100 Years of Caring" come to life at St. Mary's Hospital's 2009 Gala. As directors we have the highest responsibility to support our most significant annual fundraising event, particularly this year. We need to pitch in enthusiastically, working side by side with the Gala Committee. Our goals are to attract an audience which will contribute to and enjoy the vibrancy of the evening, making the Gala a financial success and advancing the mission and the reputation of our marvelous institution.

There are several ways that you can help, and we hope that you will choose more than one:

- Host a table of ten or twelve friends and associates who will enjoy and engage in the festivities
- Buy a program ad in commemoration of 100 Years of Caring (prices range from \$ 2,550 to \$350.
- Participate enthusiastically in the evening's activities including the Silent Auction and the Live Auction
- Respond to our special appeal that evening celebrating our 100th anniversary.

The 100th Anniversary of St. Mary's Hospital is a time to reflect on the hundreds of thousands of people we've cared for, the thousands of people who've worked here, and the community which has supported and benefited from the love and caring that emanates from every room and every floor, every director, every employee, every physician and every volunteer who have embraced our sacred mission.

We're asking you to step up as never before to make our 100th Anniversary celebration an event worthy of our heritage.

Sincerely,



Cathy Smith



Jim Smith

Past Sponsor/Program Book Ad Solicitation

December, 2008

Mr. Christopher Errichetti President
Abbott Terrace Health Center
44 Abbott Ter
Waterbury, CT 06702-1499

Dear Mr. Errichetti:

Plans for our **18th Annual Gala "100 Years of Caring"** are underway. This year's event is scheduled for **Saturday, February 7, 2009** at the Aqua Turf in Plantsville. The 2009 gala will include an elegant gourmet dinner, live and silent auctions and fabulous entertainment. Our goal for 2009 is \$330,000 and, with your enthusiasm and continued support, we can reach that goal.

The 2008 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 750 guests in attendance. **Funds raised at the 2009 event will benefit the Patient Services at Saint Mary's Hospital.** With the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

In the past you have supported the gala with a 1/2 page ad. We hope you will continue that support this year and perhaps join us that evening. A sponsorship form is included for your review and action. We are again offering a live and silent auction and basket raffles. **You can also support the Gala by donating an auction item.** Enclosed please find a donation contract for your convenience and action. Please return your form(s) to us by Friday, January 23, 2009.

Thank you for your willingness to consider a gift again this year.

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Past Table Host Solicitation

January, 2009

Mr. Christopher Errichetti President
Abbott Terrace Health Center
44 Abbott Ter

Waterbury, CT 06702-1499

Dear Mr. Errichetti:

On February 7, 2009, Saint Mary's Hospital Foundation is hosting the 18th annual gala and auction "**100 Years of Caring**" at the Aqua Turf in Southington. This year's gala will include an elegant gourmet dinner, a live and silent auction, our signature "basket raffle" and fabulous entertainment.

The 2008 Saint Mary's Hospital Foundation Gala held last February at the Aqua Turf was a resounding success with over 750 guests in attendance. **Funds raised at the 2009 event will be for Patient Services at Saint Mary's Hospital.**

As you know, being a table host means filling a table for ten by either purchasing the table for \$2,000 and inviting four other couples to attend or by purchasing two tickets and asking four couples to join you and make their own ticket purchase. Last year, table hosts filled 60 tables.

With the efforts of a hardworking gala planning committee, we expect a tremendous turnout.

Please consider joining us this year and sharing a wonderful evening with the Friends of Saint Mary's.

Sincerely,



Margaret J. Lawlor, President
Saint Mary's Hospital Foundation, Inc.

Fund Summary Report
SMH09 Golf Tourney Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh09golf	SMH09 Golf Tourney Fund	144	\$0.00	\$92,390.00	\$90,755.00	\$5,322.98	\$188,467.98
		and Totals:	\$0.00	\$92,390.00	\$90,755.00	\$5,322.98	\$188,467.98

144 Gift(s) listed

124 Donor(s) listed

Appeal Summary Report SMH09 Golf Tourney Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
gol2009	Golf Tournament 2009	28	\$0.00	\$7,250.00	\$9,570.00	\$0.00	\$16,820.00
golfbirdie09	Golf Tourney '09 Birdie Raffl	4	\$0.00	\$0.00	\$2,380.00	\$0.00	\$2,380.00
golfdonations09	Golf Donations 2009	9	\$0.00	\$340.00	\$1,585.00	\$0.00	\$1,925.00
golfeagleam09	Golf Tourney '09 Eagle A.M. I	1	\$0.00	\$0.00	\$890.00	\$0.00	\$890.00
golfeaglepm09	Golf Tourney '09 Eagle P.M. F	4	\$0.00	\$0.00	\$1,940.00	\$0.00	\$1,940.00
golfmullam09	Golf Tourney Mulligan A.M. S	1	\$0.00	\$0.00	\$220.00	\$0.00	\$220.00
golfmullpm09	Golf Tourney Mulligan P.M. S	4	\$0.00	\$0.00	\$620.00	\$0.00	\$620.00
golffraff09	Golf '09 Raffle Prizes	45	\$0.00	\$0.00	\$0.00	\$5,322.98	\$5,322.98
golfsp2009	Golf '2009 Sponsorships	48	\$0.00	\$84,800.00	\$73,550.00	\$0.00	\$158,350.00
and Totals:					\$90,755.00	\$5,322.98	\$188,467.98

144 Gift(s) listed

124 Donor(s) listed

Thank You...

Rai & In-Kind Donors

Associates Carpet
Adam Broderick Salon
Bertucci's
Big Dipper
Blasius Chevrolet & Cadillac, Inc.
Blum Shapiro
Budweiser
Brass City Bistro
Brookside Inn
Carmen Anthony Restaurant
City Hall Restaurant
Coca-Cola
Constantine's Restaurant
Corporate Express
D'Amelio's Italian Eatery
Dionio's Restaurant & Bar
Eastern Bag & Paper Company
Emily DelMoral
Fowler Fruit
GDS Signs
Green Acres Agway
Hartford Stage
Heritage Hotel
Hickory Stick Bookshop
Hidden Treasures
IKON Office Solutions
Joe Commendatore
KarTele
La Travolo
Landing Restaurant
Largay Travel
Longwood Inn
Metro Bis
Mohegan Sun
Mystic Seaport
NEJ
New Britain Rock Cats
Nutmeg Wine & Spirit Shop
Olive Garden
Onyx Jewelers
Palace Theater
Pantry Restaurant
Pepsi
Praxair Health Services
Roger Schwatlow
Sargent Enterprises, Inc.
Seven Angels Theatre
Story Hill Golf
Sweet Maria's
Swiss Army Brands
Thomaston Savings Bank
Timex
Tres Jolie Day Spa
Triple Stitch Sportswear
The Gym
Uniquely Yours Photography
Wadsworth Athenaeum
Zilahy Wellness Center

2008 Golf Classic

Chairmen

Paul Kelly, M.D.
Norman Drubner, Esq.

Committee

Micheal Ajemian, MD
Mark Casey
Bonnie Forcucci
Greg Cimmino
Michael Curtin
Lenny Genovese
Ray Massicotte
Len Mecca
Kathy Smith
George Strobel
Sharon Zilahy
Ted Barbeau
Terry Dudrick
Donata Ceretto Giannone
Joe Commendatore
Bernie Evans
John Jenusaitis
Michael Matzkin, MD
Bill Morris
Rodger Schwatlow
Mickey Wyse, M.D.

Volunteers

Ted Barbeau
Lisa Boudreau
Betty Bozuto
Gina Burrows
Mary Anne Carpenter
Kip Cheney
Nancy Cimmino
Chris Corey
Robin Cracco
Roxanne Cracco
Michael Curtin
Rools Desieux
Bernie Evans
Pat Evans
Nancy Feola
Bonnie Forcucci
Lisa Fucci
Diane Gaborc
Ann Iannantuoni
Peter Jacoby
John Jenusaitis
Karen Kelly
Ed Macheachin
Michael Matzkin
Carolyn Orrell
Sandy Roosa
Pat Rowland
Mark Saraceni
Robin Sills
Ron Sisbarro
Kathy Smith
Jeanne St. Pierre
Rich Spierio
Lucy Stronkowski
Ralph Thomas
Jim Tucker
Sharon Zilahy
Janet: Nancy's friend

Tuesday, September 15, 2009

Tournament Co-Chairmen

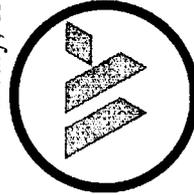
**Norman Drubner, Esq.
Founder, Drubner Industrials**

Paul Kelly, M.D.

Cardiology Associates of Waterbury

The Country Club of Waterbury

1 Oronoke Rd
Waterbury, CT 06708



Webster

We Find a Way

SCHEDULE FOR THE DAY

Schedule:

	1st Flight	2nd Flight
Shotgun Start.....	7:30 a.m.	1:00 p.m.
Registration.....	6:30 a.m.	11:00 a.m.
Continental Breakfast.....	6:30 a.m.	
Lunch.....	11:30 a.m.	
Cocktails.....	6:00 - 8:00 p.m.	

Golf Contests

- Team Scramble
- Low Gross Team Score
- Low Net Team Score
- Closest to the Pin on Hole # 12
- Closest to the Line on Hole # 4
- Longest Drive – Male on Hole # 18
- Longest Drive – Female on Hole # 2

* Team with low gross cannot also win low net

Prizes for first hole-in-one on all par 3 holes:

- Hole #12: 2009 Blasius Chevrolet Cobalt
- Hole #3: 5 day/4 night fabulous Fairmont Resort Golf Vacation
- Hole #8: Tour Academy pack for two
- Hole #14: Set of Taylormade R7 Irons

Hole In One prizes generously donated by:

BLASIOUS CHEVROLET & CADILLAC, INC.

TOURNAMENT SPONSOR

Webster Bank

CHAIRMAN SPONSOR

Brown Rudnick Berlack Israels LLP

PLATINUM SPONSOR

Anthem Blue Cross & Blue Shield of CT
Naugatuck Valley OBGYN
PMA Insurance Group
Sodexo Health Care Services

GOLD SPONSORS

Albert Brothers, Inc.
Sinclair Insurance Group

BRONZE SPONSORS

Harold Leevee Regional Cancer Center
Naugatuck Valley Surgical Center
Cardiology Associates of Waterbury

GOLFER SHUTTLE CARTS SPONSORS

All Lawn Care
Powerstation
Republican American

GREENS SPONSORS

Bunker Hill Pharmacy
D&D Painting Services
Michael Miglaras & Company
Nuzzo & Roberts, LLC
VNA Health Care, Inc.

TEE SPONSORS

Eyelet Crafters, Inc.
Jackson Lewis, LLP
Levy & Droney, P.C.
Neubert, Pepe, & Monteith, P.C.

SILVER SPONSORS

Access Mediquip
Campion Ambulance Service, Inc.
Naugatuck Savings Bank
Norman S. Drubner

BRASS SPONSORS

Aetna Better Health
Abbott Terrace Health Center
AmeriChoice by UnitedHealthcare
Century Financial Services
Connecticut Credit LLC
GSS Industries
John Hancock Financial
MEDCONN Collection Agency LLC
Moser Pilon Nelson Architects
Naugatuck Valley Gastroenterology Consultants, LLC
Otis Elevator

SUPPORTERS

Eagle Rivet Roof Service Corp.
Infoshred, LLC
Hollis D. Segur, Inc
National Healing Corporation
Margaret Leggett
Michael Gay
Dr. Leslie Coopersmith
Health Complex Medical, Inc.

Golf 2009 Past Sponsorship solicitation

July, 2009

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For almost a century, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 14th Annual Champion Golf Classic is scheduled for **Tuesday, September 15, 2009 at The Country Club of Waterbury**. We hope that you will consider being a sponsor again this year.

This year's tournament promises to be even better than before. We are very excited to be playing at **The Country Club of Waterbury** under the leadership of Norman Drubner, Esq. and Paul Kelly, M.D.

As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 14th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Webster Bank, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Margaret J. Lawlor
President
Saint Mary's Hospital Foundation, Inc.

Golf 2009 Past Raffle Donor solicitation

July, 2009

«Contact»
«Company»
«Addrline1»
«City», «State» «ZIP»

Dear «Contact»:

Plans for Saint Mary's Hospital Foundation's 14th Annual Champion Golf Classic are underway. This year's tournament is scheduled for *Tuesday, September 15, 2009 at The Country Club of Waterbury*. We hope that you will consider donating a raffle prize again this year to the event.

This year's tournament promises to be even better than before. We are very excited to be bringing the tournament to The Country Club of Waterbury.

We will also be honoring the Webster Bank as the 2009 Saint Mary's Hospital Champions. Webster Bank has been a major supporter of Saint Mary's Hospital and the non-profit community in Waterbury through their stewardship as volunteers and as a financial contributor.

Please refer to the prize donation form enclosed. We expect a sell out again this year. We also hope you can join us for a day of great golf.

Thank you for your continued support.

Sincerely,



Margaret J. Lawlor
President

Golf 2009 New Sponsorship Solicitation

July, 2009

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For a century now, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 14th Annual Champion Golf Classic is scheduled for *Tuesday, September 15, 2009 at The Country Club of Waterbury*. We hope that you will consider being a sponsor this year.

This year's tournament promises to be even better than before. We are very excited to be playing at *The Country Club of Waterbury* under the leadership of Norman Drubner, Esq. and Paul Kelly, M.D.

We will also be honoring the Webster Bank as the 2009 Saint Mary's Hospital Champions. Webster Bank has been a major supporter of Saint Mary's Hospital and the non-profit community in Waterbury through their stewardship as volunteers and as a financial contributor.

As a sponsor, you will receive company name recognition, a chance to play in the best tournament in our area, and first choice to play golf in our morning or afternoon flight. We expect this event to sell out once again. Please join your fellow golfers for the 14th Annual Golf Classic to benefit the Saint Mary's Fund at Saint Mary's Hospital. A dinner honoring Webster Bank, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your sponsorship form in the enclosed envelope. Thank you.

Sincerely,



Margaret J. Lawlor
President
Saint Mary's Hospital Foundation, Inc.

Golf 2009 New Registration Solicitation

July, 2009

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«Address_Line_3»
«City», «State» «ZIP_Code»

Dear «Salutation»:

For a century now, Saint Mary's Hospital has played an important role in the Greater Waterbury community. Our success as a leader of the healthcare industry in our region is a direct result of the philanthropic support of many companies and individuals in the community. This year's 14th Annual Champion Golf Classic is scheduled for *Tuesday, September 15, 2009 at The Country Club of Waterbury*. We hope that you will consider joining us for our tournament.

This year's tournament promises to be even better than before. We are very excited to be playing at *The Country Club of Waterbury* under the leadership of Norman Drubner, Esq. and Paul Kelly, M.D.

We will also be honoring the Webster Bank as the 2009 Saint Mary's Hospital Champions. Webster Bank has been a major supporter of Saint Mary's Hospital and the non-profit community in Waterbury through their stewardship as volunteers and as a financial contributor.

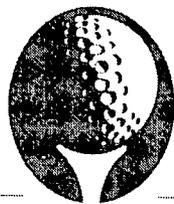
We are hoping you will consider golfing this year and we expect the event to sell out once again. Please join Saint Mary's Hospital and your fellow golfers for the 14th Annual Golf Classic to benefit the patient care fund at the hospital. A dinner honoring Webster Bank, our sponsors and the golfers will take place the evening before the tournament. We hope to have you as a member of that distinguished group.

Please return your registration form in the enclosed envelope. Your golf registration fee includes your dinner ticket. Thank you.

Sincerely,



Margaret J. Lawlor
President
Saint Mary's Hospital Foundation, Inc.



Saint Mary's Hospital
14th Annual
CHAMPION GOLF CLASSIC

2009 Saint Mary's Hospital Champion
Webster Bank

2009 Co-Chairs
Norman Drubner, Esq. and Paul Kelly, MD

SEPTEMBER 14, 2009
DINNER OF CHAMPIONS

SEPTEMBER 15, 2009
THE COUNTRY CLUB OF WATERBURY

SPONSORSHIP OPPORTUNITIES

TOURNAMENT SPONSORSHIP.....\$15,000

Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials; Corporate banner; Lead name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

CHAIRMAN SPONSORSHIP.....\$12,000

Complimentary foursome; Lunch Sponsor Recognition; Name/logo featured in all promotional materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

PLATINUM SPONSORSHIP.....\$8,000

Complimentary foursome; Hospitality Carts Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLD SPONSORSHIP.....\$6,000

Complimentary foursome; Cocktail Hour Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

- **SILVER SPONSORSHIP**\$4,500
Complimentary foursome; Putting Green Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).
- **BRONZE SPONSORSHIP**\$3,500
Complimentary foursome; Snack Shack Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).
- **BRASS SPONSORSHIP**\$2,500
Complimentary foursome; Breakfast Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).
- **GOFLER SHUTTLE CARTS SPONSORSHIP**.....\$1,750
- **GOLF TEE SPONSORSHIP**\$1,500
- **GREENS SPONSORSHIP**\$1,350

- Yes, I am interested in a sponsorship opportunity. I have made my selection above.
 Amount Enclosed: \$ _____
- I am interested in a sponsorship opportunity. Please contact me with more information.

<i>Name (Please Print)</i>		<i>Telephone</i>	
<i>Company Name</i>			
<i>Street</i>	<i>City</i>	<i>State</i>	<i>Zip</i>
<i>Preferred Email Address</i>	<input type="checkbox"/> Home <input type="checkbox"/> Business		



Please return this form by 8/14/2009 to
 Lezlye Zupkus
 Saint Mary's Hospital Foundation - 56 Franklin Street – Waterbury, CT 06706
 Phone #: (203) 709-3761 Fax #: (203) 709-3272
 Email: Lezlye.zupkus@stmh.org

Fund Summary Report Saint Mary's Phonathon 2009 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments'	Non-Pledge Gift	Gifts in Kind	Total
smh09Phonathon	Saint Mary's Phonathon 2009 I	566	\$0.00	\$17,530.00	\$4,645.00	\$0.00	\$22,175.00
566 Gift(s) listed			\$0.00	\$17,530.00	\$4,645.00	\$0.00	\$22,175.00
560 Donor(s) listed							

and Totals:

Appeal Summary Report
 Saint Mary's Phonathon 2009 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2009	Phonathon 2009	566	\$0.00	\$17,530.00	\$4,645.00	\$0.00	\$22,175.00
566 Gift(s) listed							
560 Donor(s) listed							
	and Totals:		\$0.00	\$17,530.00	\$4,645.00	\$0.00	\$22,175.00

2009

 **Saint Mary's**
HOSPITAL
Foundation

56 Franklin Street, Waterbury, CT 06706

Return Service Requested

Non-profit Org.
U.S. Postage
PAID
Waterbury, CT
Permit No. 365

Save The Date for the 19th Annual Phonathon!!

It's Spring again and time for our 19th Annual Phonathon. A volunteer will be calling you on either **Tuesday, May 5th** or **Thursday, May 7th** asking you to make a gift to continue the growth of the Patient Care Services at Saint Mary's Hospital.

Please be supportive of our volunteers effort to help us reach our goal of \$30,000 for **Saint Mary's Hospital**. Take a minute and tell us what you think!

Thanks in advance for your contribution, whether it be in dollars, time or thoughtful suggestions on how we can serve you and our community better.

 **Saint Mary's**
HOSPITAL
Foundation

Fund Summary Report SMH09 Tree Lighting Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh09treeighting	SMH09 Tree Lighting	143	\$0.00	\$0.00	\$4,765.00	\$0.00	\$4,765.00
143 Gift(s) listed							
142 Donor(s) listed							
		and Totals:	\$0.00	\$0.00	\$4,765.00	\$0.00	\$4,765.00

Appeal Summary Report
SMH09 Tree Lighting Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
treeLighting09	Tree Lighting 12/09	142	\$0.00	\$0.00	\$4,715.00	\$0.00	\$4,715.00
treeLighting10	Tree Lighting 12/10	1	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
	and Totals:		\$0.00	\$0.00	\$4,765.00	\$0.00	\$4,765.00

143 Gift(s) listed

142 Donor(s) listed

Tree Lighting 2009 solicitation to staff



November, 2009

Dear ,

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital Foundation invites you and your family to join us for our fourth annual "**Christmas Celebration of Lights**". We will pause for an hour at the beginning of the season and celebrate the special people who touch our lives today or whose memory we wish to honor at this time of year.

The Saint Mary's Hospital's Christmas tree will be filled with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

A truly special event is planned to light the tree and hope you will join us on:

Thursday, December 3, 2009
5:00 p.m. Hospital Lobby

We'll reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

As in the past, we are offering a discount to hospital staff. We are asking our donors for \$25 for each light but extend a special offer for the staff to purchase **single lights for \$5 each** and invite you to join us that night in the lobby. You may purchase the lights in honor of a special person in your life, an individual who has touched your life, someone who has gone to rest in eternal peace or perhaps one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

Please let us know if you and your family will be able to attend by calling 203-709-6390 or email ls7494@stmh.org.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation

Tree lighting 2009 general solicitation

November, 2009

Dear ,

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our second annual **Christmas Celebration of Light**. We will pause for an hour at the beginning of the season and celebrate the special people who touch your life today or whose memory you might honor at this joyous time of year.

We will fill Saint Mary's Hospital Christmas Tree with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have touched our lives.

We've planned a truly special event to light the tree and hope you will join us. Please mark your calendar to join us for our Annual Tree Lighting:

Christmas Celebration of Light
Thursday, December 3, 2009
5:00 p.m. Hospital Lobby

We'll reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

As we have done in the past, this year we are offering a discount to hospital staff. We are asking our donors for \$25 for each light but want to extend a special offer for the staff to purchase **a single light for \$5** and join us that night in the lobby. You may purchase the lights in honor of a special person in your life, an individual who has touched your life, someone who has gone to rest in eternal peace or perhaps one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

It's an hour that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us.

Please let us know if you and your family will be able to attend by calling 203-709-6390 or email ls7494@stmh.org.

With warm wishes for a joyous holiday season,

The Staff of Saint Mary's Hospital Foundation



*Christmas
Celebration of Lights*

**Christmas Celebration of Light
Thursday December 3, 2009
5:00 p.m. Hospital Lobby**

Yes, I would like to attend the Christmas Celebration of Light Ceremony
 Number attending. Please feel free to invite your family and special
friends to join you during the ceremony.

No, I am unable to attend this year.

I have enclosed \$ _____ in honor of or in memory of the following special person(s):
(\$25 for 1 light, \$50 for 3 lights and \$100 for 5 lights)

_____	_____
_____	_____
_____	_____
_____	_____

Name (Please Print) _____

Street Address _____

City _____ State _____ Zip _____

Email Address _____ Telephone _____

On behalf of Saint Mary's Hospital Foundation, thank you and Merry Christmas!



*Christmas
Celebration of Lights*

**Christmas Celebration of Light
Thursday December 3, 2009
5:00 p.m. Hospital Lobby**

Yes, I would like to attend the Christmas Celebration of Light Ceremony
 Number attending. Please feel free to invite your family and special
friends to join you during the ceremony.

No, I am unable to attend this year.

I have enclosed \$ _____ in honor of or in memory of the following special person(s):
(\$5 per light)

_____	_____
_____	_____
_____	_____
_____	_____

Name (Please Print) _____

Street Address _____

City _____ State _____ Zip _____

Email Address _____ Telephone _____

On behalf of Saint Mary's Hospital Foundation, thank you and Merry Christmas!

**Fund Summary Report
Saint Mary's 2010 Fund**

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh2010	Saint Mary's 2010 Fund	1,498	\$480.79	\$519.21	\$129,620.07	\$0.00	\$130,620.07
	1,498 Gift(s) listed						
	1,127 Donor(s) listed						
	and Totals:		\$480.79	\$519.21	\$129,620.07	\$0.00	\$130,620.07

Appeal Summary Report Saint Mary's 2010 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
aux10	Auxiliary Annual Appeal 201	19	\$0.00	\$0.00	\$655.00	\$0.00	\$655.00
cop	Crusade of Prayer	66	\$0.00	\$0.00	\$1,280.00	\$0.00	\$1,280.00
cor2010	Corporations 2010	5	\$0.00	\$0.00	\$12,575.00	\$0.00	\$12,575.00
dml0209	Direct Mail Piece February, 2	1	\$0.00	\$0.00	\$500.00	\$0.00	\$500.00
dml0210	Direct Mail Piece February, 2	378	\$0.00	\$0.00	\$13,721.00	\$0.00	\$13,721.00
dml0609	Direct Mail Piece June, 2009	4	\$0.00	\$0.00	\$200.00	\$0.00	\$200.00
dml0610	Direct Mail Piece June, 2010	206	\$0.00	\$0.00	\$6,719.00	\$0.00	\$6,719.00
dml0809	Direct Mail Piece August, 200	67	\$0.00	\$0.00	\$3,487.00	\$0.00	\$3,487.00
dml1109	Direct Mail Piece November, 2	385	\$0.00	\$0.00	\$14,728.00	\$0.00	\$14,728.00
estates2010	Estates 2010	4	\$0.00	\$0.00	\$8,725.80	\$0.00	\$8,725.80
fundam0709	Fundamentals July 2009	2	\$0.00	\$0.00	\$300.00	\$0.00	\$300.00
fundam0810	Fundamentals August 2010	4	\$0.00	\$0.00	\$2,625.00	\$0.00	\$2,625.00
grant	Grant	4	\$0.00	\$0.00	\$9,515.67	\$0.00	\$9,515.67
honor09	Honor Roll of Donors 2009	3	\$0.00	\$0.00	\$225.00	\$0.00	\$225.00
ind2010	Unsolicited 2010	44	\$0.00	\$0.00	\$18,168.44	\$0.00	\$18,168.44
mem2010	Remembrance 2010	221	\$0.00	\$0.00	\$12,208.00	\$0.00	\$12,208.00
online2010	On-Line Donations 2010	3	\$0.00	\$0.00	\$55.00	\$0.00	\$55.00
phys2010	Phys. Annual Appeal 2010	76	\$480.79	\$519.21	\$20,087.16	\$0.00	\$21,087.16
rem2010	Past Remembrances 2010	3	\$0.00	\$0.00	\$1,310.00	\$0.00	\$1,310.00
trust	Trust Distribution	1	\$0.00	\$0.00	\$2,500.00	\$0.00	\$2,500.00
vol2010	Volunteer Appeal 2010	2	\$0.00	\$0.00	\$35.00	\$0.00	\$35.00
and Totals:			\$480.79	\$519.21	\$129,620.07	\$0.00	\$130,620.07

1,498 Gift(s) listed

1,127 Donor(s) listed

Comes Back To You

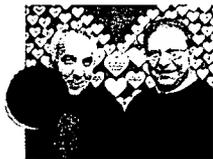
NEWS FROM SAINT MARY'S HOSPITAL FOUNDATION

SUMMER 2010

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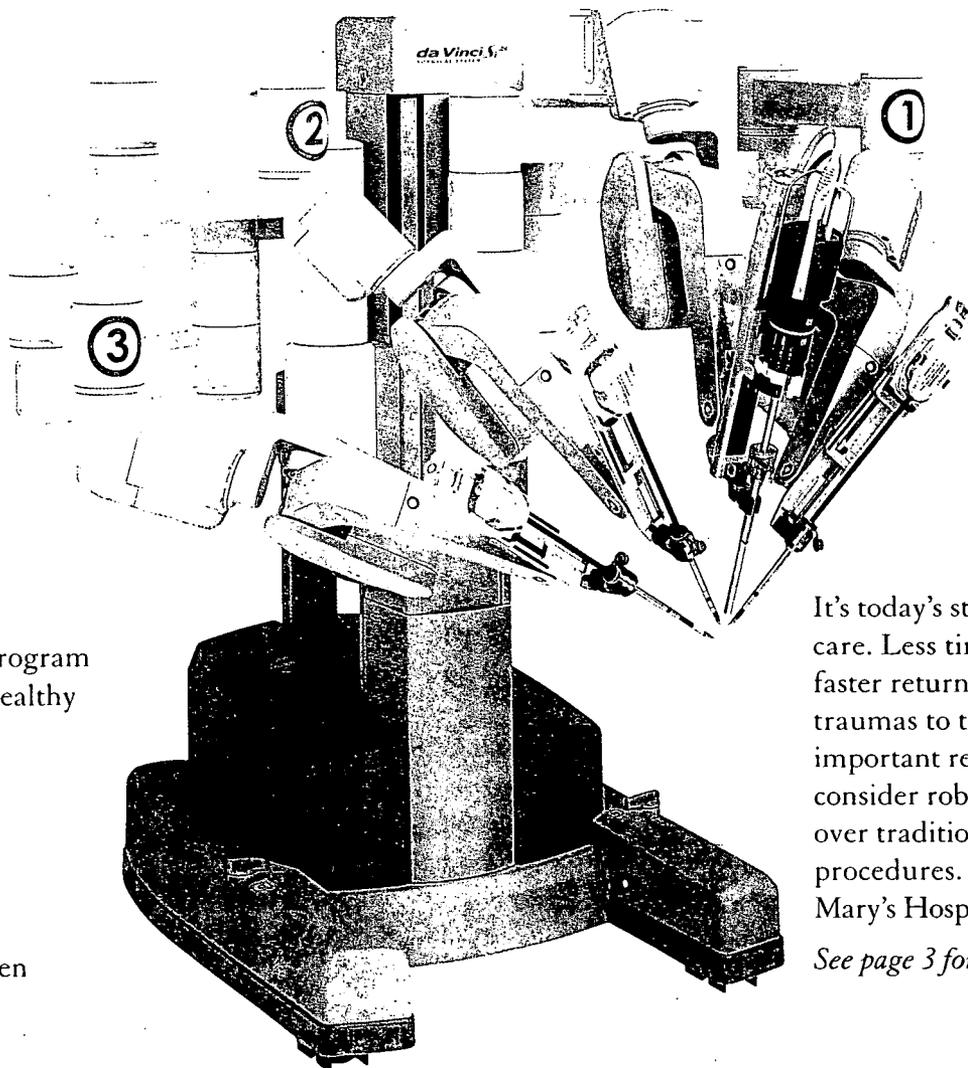


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Generous Contributors

Robotic-Assisted Surgery Comes to Saint Mary's Hospital



It's today's state-of-the-art medical care. Less time in the hospital, faster return to routine life, fewer traumas to the body — all very important reasons for patients to consider robotic-assisted surgery over traditional invasive surgical procedures. And it's here at Saint Mary's Hospital.

See page 3 for the full story

Dear Friends and Family of Saint Mary's Hospital,

In 2010, Saint Mary's Health System proudly embarked on our Second Century of Caring by renewing our commitment to providing the highest quality care and exceptional service to our community. From the front steps of 56 Franklin Street, we have watched Waterbury change and grow, and our health system, which now extends far beyond the city limits, continues to grow, change and advance with it — and with your help.

As we continue to differentiate Saint Mary's as a leading hospital in Connecticut, we are more committed than ever to providing excellent clinical quality and exceptional service to every patient, every day. Several state and national organizations honored Saint Mary's for providing outstanding clinical quality in 2009, and we continue to receive recognition for our clinical quality and service.

Most recently, the American Heart Association awarded Saint Mary's its second Gold Award for cardiac care under the Get With the Guidelines quality improvement program. Not only is Saint Mary's the first hospital in Connecticut to receive Gold and Silver awards under the American Heart Association program, it has now been recognized for providing outstanding quality care to patients with Chronic Heart Failure.

You may have also heard that Saint Mary's is the top-ranked hospital in Connecticut for delivering life-saving intervention to heart attack patients. In fact, 100 percent of heart attack patients who require angioplasty receive it within 90 minutes of arrival. It's a track record we're proud of, and one that exceeds the performance of other hospitals nationwide.

As the leading provider of surgical services, Saint Mary's continues to excel as the first to introduce robotic-assisted surgery in the region. As we move forward, we are seeking to establish a Center of Excellence for bariatric surgery. Of course, none of this would be possible without the dedication and skill of our talented surgeons and the great work of our surgical team. All of us are working together and we want you to know the care we provide for our patients right here in Waterbury compares extremely well to that of hospitals across the country.

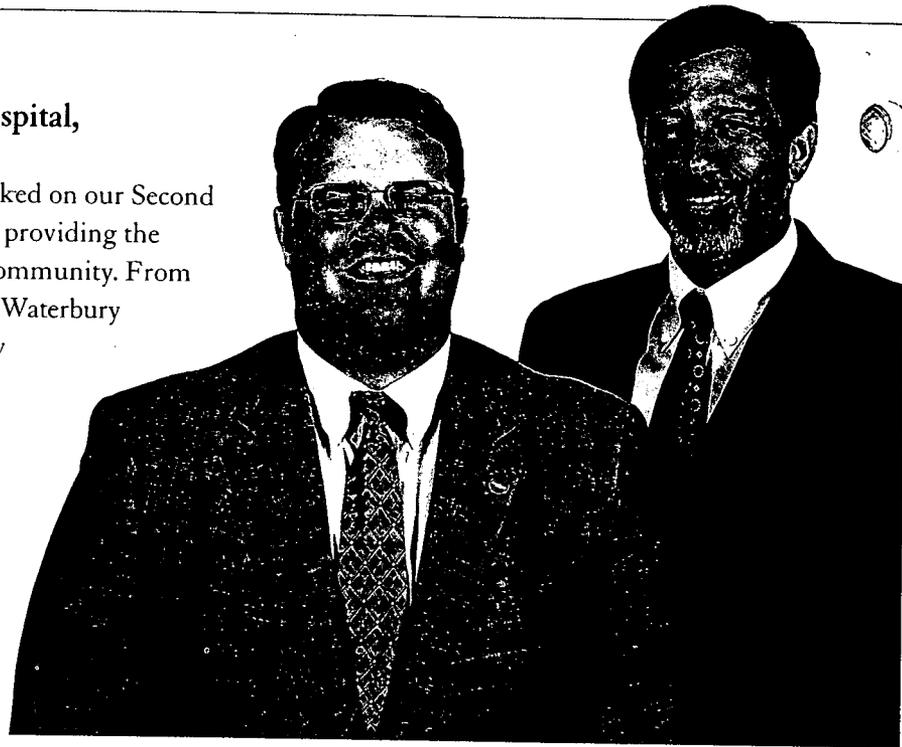
With all of these advancements, we recognize it's the people who make Saint Mary's such a special place, both those who work within the hospital and those who support us from our boards, volunteers, Auxiliary, Foundation and our community.

To ensure that our hospital remains an integral part of the Greater Waterbury community, we rely on the generosity of our many supporters. Your dollars go directly to patient care, equipment and treatment. On behalf of Saint Mary's Health System and the Board of Directors of the Foundation, please accept our deepest gratitude for your gift to Saint Mary's. Thank you.

Sincerely,

Chad W. Wable, FACHE
President & Chief Executive Officer
Saint Mary's Health System

Eric D. Albert
Chairman
Saint Mary's Hospital Foundation



Chad W. Wable, President and CEO of Saint Mary's Health System and Eric D. Albert, Chairman of Saint Mary's Hospital Foundation

“As we continue to differentiate Saint Mary's as a leading hospital in Connecticut, we are more committed than ever to providing excellent clinical quality and exceptional service to every patient, every day.”

Robotic-Assisted Surgery Comes to Saint Mary's Hospital

“At Saint Mary's, we're all about patient care,” Betty Bozzuto, Vice President for Surgical Services at Saint Mary's Hospital, explains. “We want our patients to get the best and we strongly believe that robotic surgery was an option our patients deserved.”

Robotic surgery has become the standard of care nationally over the last five to seven years for classic gynecological and urological surgical procedures. Robotic surgery patients spend much less time in the hospital, are able to return to work more quickly and their blood loss levels are significantly lower.

Bozzuto is quick to point out the talent of doctors at Saint Mary's who undergo extensive training to master the robotic technique. “The learning curve for our doctors is minimal. It's not so much the technical portion of learning to use the robot because they are already so talented in these surgical procedures.”

Training requires mastery of implementation, supervised practice, onsite training and mentoring. “Without question, the investment in training is sizeable — personally and professionally,” Bozzuto comments. “Saint Mary's doctors want to offer their patients the best care and it shows in their willingness — and eagerness — to offer this innovative care option.”

Physicians in the community supported the technology advance at Saint Mary's. Dr. Mark Albini, (Chairman, Department of Obstetrics & Gynecology) advocated for robotic surgery for patient Lisa Padilla.

Padilla needed a hysterectomy and with her own business, time away from work was a serious concern.

“I was nervous and anxious,” she recalls,



The da Vinci® Si HD Surgical System available at Saint Mary's Hospital allows the surgeon to sit at a console in the operating room and maneuver a robotic system that actually functions inside the abdomen. The surgeon's view is significantly enhanced by the system's three-dimensional, enhanced high-definition vision with up to 10 times the magnification. This technology provides a superior view to any other surgical approach, including traditional open surgery and laparoscopy.

“Saint Mary's doctors want to offer their patients the best care and it shows in their willingness — and eagerness — to offer this innovative care option.”

Betty Bozzuto
Vice President of Surgical Services
Saint Mary's Hospital

“but I had trust and confidence in Dr. Mitch Chere and Dr. Albini. They are simply the best doctors. They talked everything through with me until I was comfortable and even as we went into surgery, they were always focused on me and making sure I was at ease.”

Padilla recalls the day of surgery when the entire Operating Room team came in to introduce themselves. “They explained that it took longer to set up the machine



The system also allows for intuitive instrument control. Each movement of the surgeon's hands are scaled, filtered and seamlessly translated to the robotic arms and instruments. In addition, the instruments can rotate a full 360 degrees, providing the surgeon with an exciting mechanical advantage.

than the surgery itself,” she explained. “The actual surgery only took two hours and Dr. Albini told me what they did with the robot they would not have been able to do laparoscopically.”

Robotic surgery is an expensive technological innovation for Saint Mary's, but Bozzuto supports the hospital's investment. “It is our obligation to respond to the needs of our patient. As a community

—continued on page 4

A Wedding Story...at Saint Mary's Hospital

Claudette Cody DiGiovancarlo and James (Jim) F. Doyle were married December 19, 2009 at Saint Mary's Hospital.

You can't say that was their plan, because it wasn't. Their story begins a year earlier when Claudette and Jim met at St. Francis Xavier Church on Baldwin Street in Waterbury.

Claudette had been in the parish minstrel as long as she can remember when Jim joined a few years ago. They took a liking to each other and Jim found himself asking their pastor if Claudette was married. When he learned she had been a widow for a decade, he wasted no time in asking her to dinner.

"I told him that I was not looking for a husband. I didn't hold out very long!"

Claudette Cody DiGiovancarlo

Their first date was to the Charcoal Chef in Woodbury. Claudette recalls with a grin "I told him that I was not looking for a husband. I didn't hold out very long!" Just one month later, the couple decided to marry and eagerly awaited their December 19 wedding date at St. Francis surrounded by family and friends.



—continued on page 7

Robotic-Assisted Surgery — continued from page 1

hospital, prudent funding is always critical. But we have to put our patients first and offer them the technology that they — and their doctors — wanted."

Dr. Ian Cohen advocated robotic surgery for another recent hysterectomy patient, who wished to remain anonymous. His patient worked as a merchandiser and could not afford to lose too much time from work. Her recovery time was definitely shorter thanks to

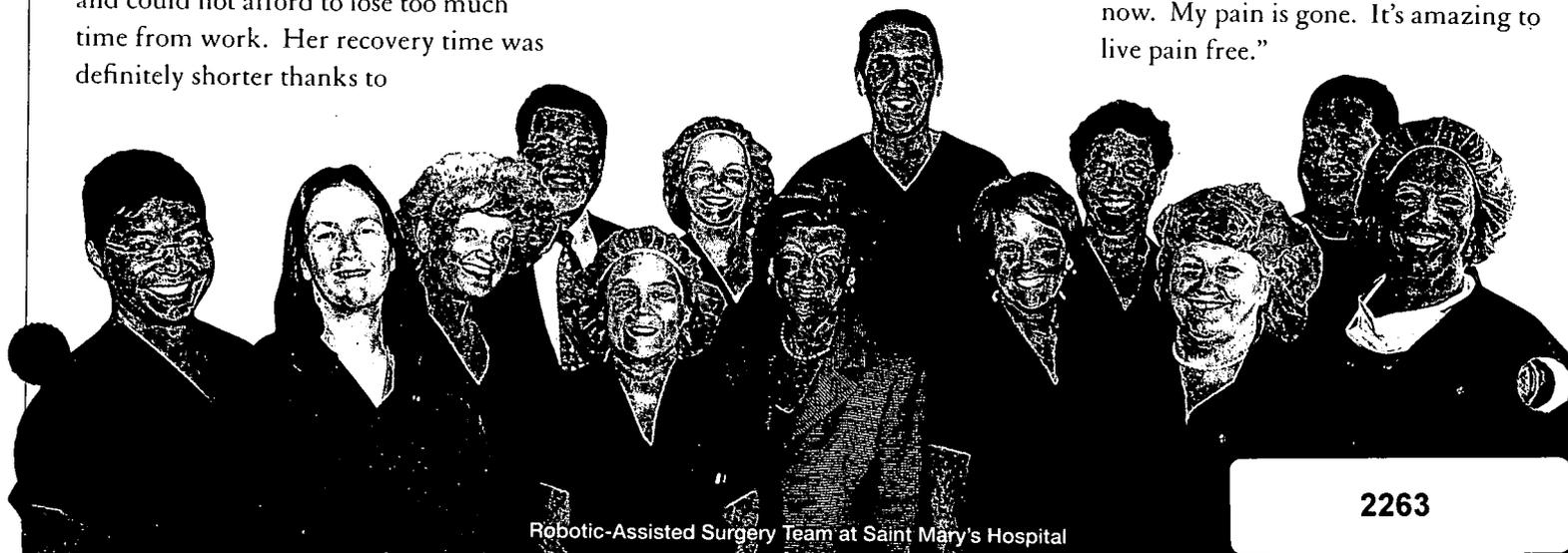
the robotic procedure and she would recommend it to anyone considering it.

Padilla agrees "I would absolutely recommend robotic surgery. For me, it was less time to get back to work. I do feel that I recovered a lot faster than I would have otherwise."

"At Saint Mary's, everything is focused

on the patient. We are constantly looking at what we can do to improve our service so we have satisfied patients. Everything we do stems from our mission to improve each patient's experience. And robotic experience is a wonderful example of exactly that," Bozzuto concludes.

Padilla glowingly reports "I feel great now. My pain is gone. It's amazing to live pain free."



Robotic-Assisted Surgery Team at Saint Mary's Hospital

● Heart Transplants — and Saint Mary's Hospital's own Dr. J. Robert Anthony — save TWO men from Prospect

Heart transplants saved the lives of two Prospect men. For this, both offer credit to Saint Mary's Hospital cardiologist Dr. J. Robert Anthony.

Late last fall, Dane Hassan and Dinis Marques, both of Prospect, underwent life saving heart transplants. Although living in the same small town, the two men did not know one another before their surgeries. When they finally met during cardiac rehab, both transplant patients shared their endless praises for their cardiologist — Saint Mary's Hospital's very own Dr. J. Robert Anthony.

Although he was not a stranger to heart surgery, Dane Hassan did not see needing a heart transplant, "Yes, I had a history of heart problems, but I never expected this."

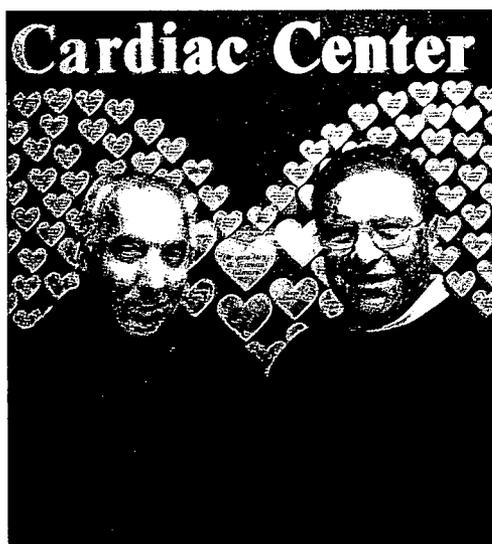
To date, Dane has had three bypass surgeries and says that, "through it all, Dr. Anthony has taken care of me. When

"My family thinks Saint Mary's Hospital is the best. I know whenever I need a good doctor, I will go to Saint Mary's Hospital."

Dinis Marques
Heart Transplant Recipient

I was too worn out to do anything on a trip with my family to Disney World, I knew I was sick. I underwent tests in Florida but once I got the ok, I came right back to Dr. Anthony at Saint Mary's Hospital."

Dinis Marques also put his trust into Dr. Anthony for his heart care when he was admitted to Saint Mary's for having difficulties breathing. "Dr. Anthony has cared for me over the last 20 years. He



Heart transplant recipients Dinis Marques and Dane Hassan of Prospect.

told me I needed a transplant and that's all I needed to hear. He has always taken care of me. He is a very good doctor."

After successful surgeries at Hartford Hospital, Dane and Dinis went their separate ways, only to meet for the first time in cardiac rehab at Saint Mary's Hospital. "The staff and people at Saint Mary's are great," Dane exclaims. "What's more, I was impressed with how highly regarded Dr. Anthony is among his peers at Hartford Hospital. He has been a huge advocate for improving heart care in Waterbury."

The families of both men are very appreciative of the new lives Dane and Dinis have received. Since undergoing heart transplants, both are back to their daily routines and are enjoying the time spent with their loved ones.

Having access to quality medical care in our own community is vital for patients like Dane Hassan and Dinis Marques, as well as their families, who could not imagine seeking health care anywhere else. Saint Mary's Hospital is proud of its outstanding commitment to offer quality health care to the Greater Waterbury Community. Our teams of experienced and talented physicians are what make it possible to provide exceptional care to every patient, every day. Saint Mary's has been able to acquire innovative technology and develop new programs, allowing us to remain current in the health care industry and further our mission.

Dane remarks "Dr. Anthony is a wonderful man. I told him that he saved my life and he did."

Dr. J. Robert Anthony
Chief Section of Cardiology
at Saint Mary's Hospital



"Dr. Anthony is a wonderful man. I told him that he saved my life and he did."

Dane Hassan
Heart Transplant Recipient

Marques agrees. "My life is better since I had the transplant — so much better than when I had heart problems. My family thinks Saint Mary's Hospital is the best. I know whenever I need a good doctor, I will go to Saint Mary's Hospital."

Travel Wise. Saint Mary's Hospital Travel Medicine Program keeps travelers healthy

The international tragedy in Haiti continues to compel health professionals in the Greater Waterbury area to respond.

From the very onset of the earthquake, area doctors, nurses and medical staff rushed to the scene to help care for the hundreds of thousands of people in dire medical need.

Their first stop before leaving Waterbury? The Travel Medicine Program at Saint Mary's Hospital.

Launched just four years ago, the program aims to provide preventative health care for people traveling outside the US borders. The effort was one of the first in the state — and is still only one of a handful of programs authorized by the US Food and Drug Administration (FDA) and the Center of Disease Control and Prevention (CDC) to administer the Yellow Fever vaccine.

“Whether for business, pleasure or relief efforts, we pinpoint what medicine, vaccines and precautions travelers need to take to keep healthy,” explains Beth Bartholomew, LPN for the Travel Medicine Program. “And depending upon where you're going, the safety protocol could be dramatically different.”

The Travel Medicine Program is led by Saint Mary's Hospital Infectious Diseases team. “We'll begin by asking where you are going and how long you are going for. We'll encourage travelers to send us a complete itinerary” Bartholomew notes. “Then we'll confer with the Center for Disease Control and Prevention for the latest health and immunization recommendations for that region.” That personalized, customized roadmap for health and safety has helped over 300 people



Beth Bartholomew, LPN of the Travel Medicine Program

“Whether for business, pleasure or relief efforts, we pinpoint what medicine, vaccines and precautions travelers need to take to keep healthy.”

Beth Bartholomew, LPN
Travel Medicine Program

annually plan vacations, adventure excursions, safaris and emergency relief efforts.

She adds “we will educate you on what it is you need to do to stay healthy while you travel. If you decide to camp along the Amazon River in the rain forest or safari through the African desert or climb Mt. Kilimanjaro, we will detail for you what it is you need to be healthy and to keep safe.

“I am always amazed by the number of travelers I see every week,” observes Zainab Shahid, MD from Saint Mary's

Infectious Diseases department. “And they travel to a lot of different places — not just one destination. In addition to relief workers heading for Haiti, we've had an increase in travelers heading to vacations in Central and South America as well as families visiting relatives in India and Pakistan.”

Dr. Shahid continues “we exist to promote preventative medicine. We want to minimize the health risks for people who are traveling outside the US to improve the quality of their trip.”

The Travel Medicine Program is open to anyone and is often covered by insurance as preventative health care. "This is a great community service for people traveling" Dr. Shahid explains. "You don't even have to be going far. We will review each step of your itinerary before we meet with you. We will determine what vaccines you need, what medicine you should bring with you, what food you should eat and what water you can drink. We'll identify local health resources for you where you will be traveling. We will educate travelers on jet lag, personal safety and even travelers' diarrhea. This is a great way for people to be aware of risks and to take steps to minimize their exposure."

Most of the calls for the Travel Medicine Program come from travel agencies who refer vacationers and adventure enthusiasts planning to leave the US. In recent weeks there has been an increase in calls to prepare emergency relief workers. Bartholomew emphasizes, "this is absolutely a customized medical protocol. For

example, we know that people serving closer to the earthquake's center in Port-Au-Prince will likely need vaccines for rabies and typhoid. Volunteers working further away from the center might not need as much."

"The spectrum for preventative care is continuously changing as the world evolves. From jet lag to sunscreen, from insect repellent to travelers' diarrhea, from H1N1 to malaria, it's important to be prepared" Bartholomew explains. "Through vaccines, preventative medicine and personalized patient education, the Travel Medicine Program at Saint Mary's will show you exactly what you need to do to enjoy your trip."

Dr. Shahid notes "many travelers return to us when they're going somewhere else. We hear that they enjoyed their trip — and they didn't get sick. They followed what was suggested and they did well. That's the best news we could ask for."

A sampling of recent travel itineraries presented to the Travel Medicine program at Saint Mary's Hospital:

- Adoption
- African safari
- Business meetings in Saudi Arabia and Dubai
- Corporate trip to Singapore
- Costa Rica vacation
- Cruise vacation
- Kenya Safari photo shoot
- Family reunion in India and Pakistan
- Haitian Relief Workers
- Mt Kilimanjaro climb
- Rain Forest camping trip
- South African wedding
- Southeast Asia tour
- Student travel abroad
- Vacation in Thailand and Vietnam
- High School Student Missionary Work
- Dominican Republic
- Honduras

Wedding at Saint Mary's Hospital — *continued from page 4*

Two days before their wedding, Jim was diagnosed with pneumonia and was hospitalized at Saint Mary's. Claudette began to make calls — to the caterer and the florist — to put their wedding day order on hold. "I didn't want to postpone the wedding and neither did he. But we knew he couldn't make it to the church in time."

Claudette thought the Chapel at Saint Mary's was beautiful so she asked if it would be possible to move their much-anticipated wedding. Their pastor and hospital staff instantly agreed and together worked tirelessly to help the Doyles keep their wedding plans. The Doyles gratefully remember "they were all so wonderful to us. We couldn't ask for more. They went way out of their way to make it a special day for us."

Claudette recalls "it was an absolutely beautiful wedding. My daughters were my maids of honor, Jim's son and my son were our two best men and my other son gave me away. Jim's daughters did the readings."

Jim came home shortly before Christmas with his bride but was hospitalized again shortly after Christmas for a few days. "Our honeymoon was at Saint Mary's. Everyone recognized us as the newlyweds — they were wonderful to us."

When asked how they'll celebrate their one-year anniversary, Claudette laughs "we would prefer to spend our anniversaries at home."

"Our honeymoon was at Saint Mary's. Everyone recognized us as the newlyweds — they were wonderful to us."

James (Jim) F. Doyle

The 19th Annual Gala nets a great evening for Saint Mary's Hospital Surgical Services

Guests turned out by the hundreds to support Saint Mary's Hospital at the 19th Annual Gala, "Imagine".

Always a lively evening, this year was a repeat performance netting over \$300,000 for Surgical Services at Saint Mary's. Guests bid on a luxurious array of live auction items including an African Safari, vacations in Lake Tahoe, Palm Springs and Tuscany, fine dining opportunities, celebrity chef meetings — and even a fighter pilot experience. The four course dinner at the Aqua Turf coupled with entertainment by Big Wave Event Productions made for an outstanding and memorable evening for Saint Mary's Hospital.

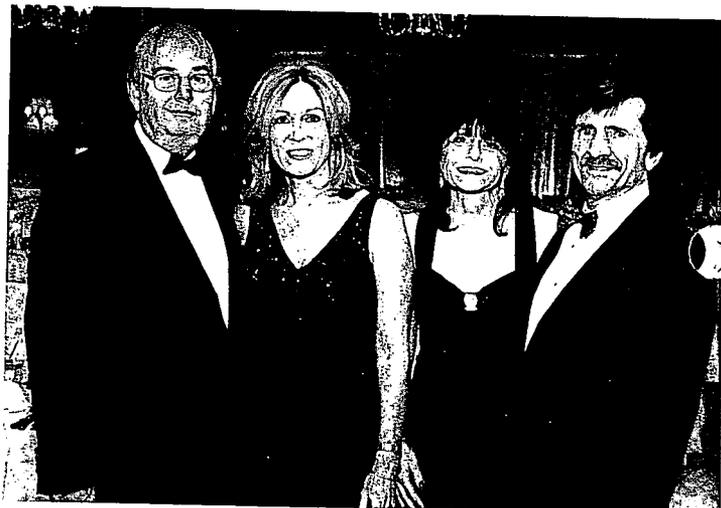
Foundation President Peggy Lawlor expressed appreciation to the many friends of Saint Mary's Hospital for their continued support of the Gala. "In spite of a difficult economic climate, the hospital maintains its mission and service to the community through the generosity of concerned and committed donors. We are most grateful to our sponsors and guests for making this year's Gala such a success."



Dr. Peter Jacoby, Chairman, Department of Emergency Services and Eric Albert, Chairman, Saint Mary's Hospital Foundation address guests at the 2010 Annual Gala, "Imagine", benefiting Surgical Services.



"Imagine" co-chairmen (Left to right) Dr. and Mrs. Michael and Palmina Flanagan and Dr. Aziz and Dr. Maysoun Richi.



Attorney and Mrs. William and Laurie St. John with Dr. and Mrs. Peter and Sharon Zilahy at the 19th Annual Gala, "Imagine".



Chad W. Wable, President and CEO, Saint Mary's Health System, graciously thanks the 19th Annual Gala Co-chairmen for their hard work and dedication to the success and future of Saint Mary's Hospital.



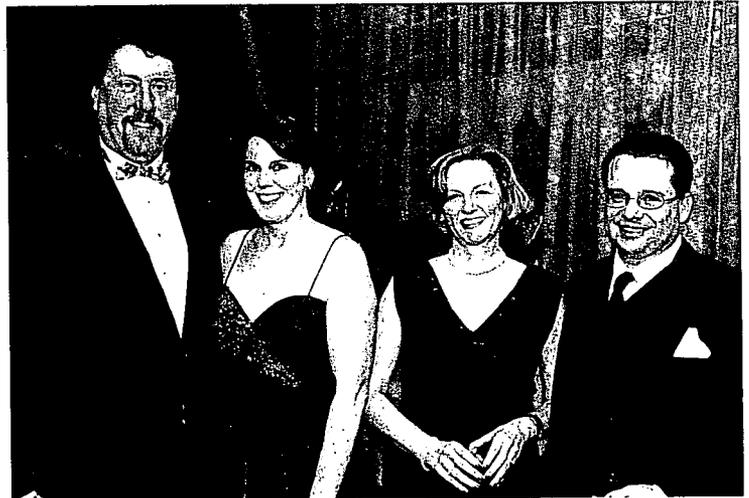
Mr. and Mrs. Eric and Jan Albert with Dr. and Mrs. Stanley and Teresa Dudrick.



Dr. and Mrs. J. Robert and Ada Anthony with Jaci Carroll-Hanratty take a moment to pose for a picture as they bid on various items in the Saint Mary's Silent Auction.



Guests of the 19th Annual Gala browse through the various gifts available through the silent auction.



Mr. and Mrs. Michael and Kathleen Novak with Mr. and Mrs. Paul and Carolyn Orrell.



Mr. and Mrs. Robert and Anne Wright with Dr. and Mrs. Juan and Lise Sanchez.



Lynette Letsky-Piombo, President of the Saint Mary's Hospital Auxiliary, bids on items such as vacations in Palm Springs and Italy during the Live Auction at the Gala.

Answering the call to give

In May, Saint Mary's Hospital Foundation held its 20th Annual Phon-a-thon.

A generous group of over 30 volunteers manned the phones, calling 900 families asking them to pledge in support of Saint Mary's Hospital. The night was co-chaired by Jaci Carroll-Hanratty and Ann Largay and also featured a five course surf and turf dinner as well as a raffle. The hard work of our diligent volunteers and co-chairs paid off in the amount of \$15,000 to benefit the Patient Care Fund, which was established to offset medical expenses for individuals and

families in need. Saint Mary's Hospital Foundation wishes to extend our warmest thanks to all of our volunteers for their efforts and support. Thanks to you and our charitable donors, Saint Mary's Hospital is able to continue to our mission to provide exceptional care to every patient, every day. For more information about the Phon-a-thon, volunteer opportunities or to make a pledge, please contact the Saint Mary's Hospital Foundation at 203-709-6390.



Volunteer callers manned the phone lines for Saint Mary's Hospital 20th Annual Phon-a-thon. More than \$15,000 was raised to benefit the Patient Care Services Fund at Saint Mary's.

New Board of Directors

The Saint Mary's Hospital Foundation named six new members to its Board of Directors at the 2009 annual meeting of the Saint Mary's Health System Boards of Directors.

The Boards of Directors and partners of Saint Mary's Health System, Hospital, Foundation and administrative staff attended the annual meeting at The Heritage in Southbury.



The new Foundation board members include **Donna M. Devino, of Middlebury.** Ms. Devino is a Consumer Education Outreach Coordinator for the Connecticut Department of Public Utilities Control. She also manages real estate in the Greater Waterbury area.



Board member **Peter R. Diron, of Bethlehem,** is the Senior Vice President and Chief Lending Officer at Thomaston Savings Bank in Thomaston, CT. Mr. Diron has held positions with many community organizations, such as the Waterbury Housing Fund and the Child Guidance Clinic and has experience in not-for-profit work.



in Waterbury.

Board member **Leonard F. Genovese of Oxford** is the former President of Shred-All Corporation. Mr. Genovese is an active member in his community and also volunteers at the Harold Leever Regional Cancer Center



Board member **Brian M. Jones, C.P.A. of Southbury** is a Wealth Management Advisor for Northwestern Mutual in Southbury. Mr. Jones is very involved with his community; formerly acting as President of the Church Council for the United Church of Christ in Southbury, sitting on numerous Investments and Planned Giving Committees, and is a member of the Southbury Volunteer Firemen's Association. He has also donated his time, serving on numerous town committees.

Board member **Dorothy C. Keggi of Woodbury** works with Klemm Real Estate as an Agent. Mrs. Keggi is also involved with community organizations, including the Seven Angels Theater as



well as serving on the Saint Mary's Hospital Women's Golf Committee. By donating her time to serve on the Foundation Board of Directors she hopes to become more involved with improving the Greater Waterbury Community.



Board member **John S. Pronovost of Woodbury** is the President of Main Street Financial Group, LLC in Watertown. Mr. Pronovost has served on boards for Chase Collegiate School, Holy Cross High School, the Waterbury Golf Club, and the United Way. By serving on the Foundation Board of Directors, he hopes to give back to the community and remain involved with the Greater Waterbury Area.

Eric Albert, President of Albert Brothers, Inc. and Chairman of Saint Mary's Hospital Foundation Board of Directors noted that each of the new board members has a long history of volunteer community service and is knowledgeable about the health care needs of the Saint Mary's Hospital service area. We look forward to the expertise they bring to the work of the Foundation.



It All comes back to you

Please know that however you give, whatever you give is always needed and very much appreciated.

There are all kinds of ways you can help Saint Mary's Hospital Foundation, and each year, friends of Saint Mary's Hospital have permitted us to continue to offer quality health care to the Greater Waterbury Community. With your support, Saint Mary's has been able to acquire innovative technology and develop new programs, allowing us to remain competitive in the health care industry and further our mission to provide exceptional care to every patient, every day.

Every Child is a Shining Star... When you have a baby, you give it all the love you have. And with one look, one smile, one touch of their hand, it all comes back to you. Commemorate the birth of your special miracle with a gift to the Saint Mary's Hospital Foundation benefiting the Women and Infants Center. With your gift of \$100, your newborn will be celebrated with an engraved star and

permanently displayed in the Women and Infants Center. You can help us to continue to provide exceptional care to every patient, every day.

Celebrate a special occasion by giving a gift in honor of someone you love. Whether it is a birthday, christening, communion, anniversary or any other extraordinary event, donating to Saint Mary's Hospital is the perfect way to distinguish the wonderful people in your life, all while helping others as well.

Mass Cards are always a thoughtful sentiment upon the passing of a family member, friend or acquaintance. Remembrances and intentions receive special acknowledgement during daily masses at the Saint Mary's Hospital Chapel.

Celebration of Lights is a special ceremony held during the holiday season which is dedicated to paying tribute to a person who you wish to acknowledge or remember during this season of love.

Memorial gifts offer tribute to the exceptional people who we wish to remember for a lifetime. A memorial donation to Saint Mary's Hospital ensures a lasting legacy for our loved ones.

Online donations are a wonderful and convenient way to give back to Saint Mary's Hospital. Whether it is a memorial gift, an honor gift, or any other donation, please visit www.stmhfoundation.org to find out about our different opportunities to give.

It All Comes Back to You.

Legacy Society

Planning your legacy isn't something most of us have the time to do on a daily basis. It takes careful planning — just as you would consider your will and estate plan. Have you considered a legacy that includes Saint Mary's Hospital? A gift to Saint Mary's Hospital Legacy Society ensures your legacy will be as well as ours — so we will be there for the patients who need us for generations to come.

Over 100 years ago, Saint Mary's Hospital's founders envisioned a state-of-the-art healthcare center for patients to be treated professionally, compassionately and without regard to income. That vision

continues from generation to generation as Saint Mary's Hospital's mission. With over 230,000 patient visits annually, and as one of the largest charitable organizations donating free health care, Saint Mary's is a significant community resource for the entire Greater Waterbury Community.

Some of the ways your Legacy Society Gift can help Saint Mary's Hospital include:

Naming the Saint Mary's Hospital Foundation in your will or in your family's estate plan

Designating the Foundation as the recipient of a life insurance policy

Transferring securities, properties or other assets to the Foundation

Creating a trust or gift annuity to benefit the Foundation

For more information, please contact Foundation President Peggy Lawlor at 203-709-6344.

It All Comes Back to You.

Women fore Women

Monday, June 7 marked the 3rd Annual Dorothy Hamill Women fore Women Golf Tournament at the Country Club of Waterbury, benefiting the Mammography Fund at Saint Mary's Hospital.

The event, which was chaired by Olympic Gold Medalist Dorothy Hamill along with Mary Wiatr and Chris Geraghty, raised over \$65,000 this year to support women in need of mammograms and other potentially life saving breast cancer screenings. Women from the Greater Waterbury Community enjoyed a day of golf, girlfriends and give-a-ways. Some of the prize packages included Coach bags and apparel, Vera Bradley, a Flip Video Camcorder, spa accommodations and JetBlue Airline tickets. New this year was a very successful Evening Reception following the afternoon flight. Golfers and non golfers alike gathered for cocktails and hors d'oeuvres in support of this important cause. Each ticket purchased to golf underwrote the cost of two mammograms while each ticket purchased for the Evening Reception underwrote the cost of one. For more information about the 2011 tournament or how to become involved, please contact the Saint Mary's Hospital Foundation at 203-709-6390.



Co-Chairs Mary Wiatr and Chris Geraghty with honorary chair Dorothy Hamill at the 3rd Annual Women fore Women golf tournament.

Saint Mary's Hospital would like to thank the kind support of this event. Special thanks are extended to the 2010 Women fore Women sponsors:

- Jet Blue Airways
- American Savings Foundation
- Cardiology Associates of Waterbury, PC
- Naugatuck Savings Bank Foundation
- Naugatuck Valley Radiology Associates, PC
- C.H. Nickerson & Co., Inc.

Saint Mary's Hospital is grateful for the generosity of Dorothy Hamill and the leadership of Chris Geraghty and Mary Wiatr, and their committee, who have contributed so much to the event's success year after year.



Women fore Women tournament winners Nicky Yatsenick, Molly Gleeton and Callie Roscoe receive their prize from Dorothy Hamill.



At the Women fore Women evening reception, a group of women gathers to show their support of The Saint Mary's Hospital Mammography Fund.



Karen Morrone, Mary Culhane, Sandy Perrelli and Darlene Novakowski share a smile and a cocktail at the Women for Women Evening Reception.



Toni Tuttle, Nanny Swoyer, Carol Sperry and Julie Keggi enjoy the scenic views at the Country Club of Waterbury.



Gina Fratini, Kim O'Meara, Kathy Smith and Joyce Gallo pose during the morning flight.



Cathy McCloskey and Bernadette Bender share a smile as they wait to get onto the course.



Women attending the Evening Reception enjoyed spectacular views and plenty of good company at the Country Club of Waterbury.



Shelley Donahue, Mary Louise Rodia, Eileen Berg and Alice Ostrander take a break after returning from the course.

Our Donors

Your generous contributions to Saint Mary's Hospital Foundation are greatly appreciated. The following list of acknowledgements reflects all donations between October 1, 2009 through March 31, 2010. We apologize for names inadvertently omitted or misspelled.

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Saint Mary's Hospital 2010 Dinner of Champions Honoring
State Senator Joan V. Hartley
Crowne Plaza, Southbury, CT
September 13, 2010

Saint Mary's Hospital 15th Annual Champion Golf Classic
Country Club of Waterbury
September 14, 2010

Christmas Celebration of Lights
Saint Mary's Hospital
December 2, 2010

Valentine's Gala 2011
Crown Turf Club, Plantsville, CT
February 5, 2011

Contact Us — www.stmhfoundation.org

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lawlor@stmh.org

Lizy Zupkus, Director of Development
3-709-3761

Harriet Sisbarro, Database Analyst
203-709-6391
hsisbarro@stmh.org

Lindsey Spinella, Development Coordinator
203-703-6239

Saint Mary's Health System Mission, Vision and Values

Mission

Saint Mary's Health System provides excellent healthcare in a spiritually enriched environment to improve the health of our community.

Vision

Saint Mary's Health System will be the leading regional healthcare provider.

Values

Integrity: Commitment to doing what is right

Caring: Compassionate approach to addressing the healthcare needs of all people

Accountability: Personal responsibility for the performance of Saint Mary's Health System

Respect: Respect for the dignity, worth, and rights of others

Excellence: Working together in pursuit of

Hospital Volunteer solicitation

August, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»,

Throughout the years the Saint Mary's Hospital volunteers have been an incredible asset to the hospital and a significant force in helping provide exceptional care for our patients. By generously giving your time and talents, you've helped the hospital earn its reputation for clinical excellence and caring. Your willingness to communicate the Hospital's Mission to others in our community has contributed greatly to Saint Mary's outstanding reputation and success. We are sincerely grateful for the time and energy you devote to the hospital.

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with the help of generous supporters and donors. You've seen many of the changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. All of these accomplishments come at a high cost.

Saint Mary's will continue providing the best possible care to you, your family and your community, but we need your help. Your time and your devotion to the hospital are extraordinary gifts and deeply appreciated. Charitable contributions are equally important to us. Every donation, regardless of size, can make a real difference.

Please consider making a donation to the 2010 Annual Appeal. Your gift will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." A pledge card is included for your convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. **"IT ALL COMES BACK TO YOU"**. Please give generously.

Scovill medical group solicitation w/no gifts last 2 years

August 2, 2010

«Addressee» «Position»
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Dear «Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

Your patients are the reason we exist. Every decision we make and every dollar we spend are directed at ensuring that your patient receives the highest quality care in the most comfortable environment delivered with compassion and understanding.

Last year we concentrated on clinical quality in Cardiac and Stroke Care. This year, we plan to extend out quality initiatives, while at the same time directing considerable energy and resources toward improving the patient experience.

Saint Mary's will continue providing the best possible care to your patients, but we need your help. Your support and confidence, which you demonstrate by trusting us to care for your patients, are deeply appreciated. As a member of the hospital family, your financial contributions are equally important to our success and we ask that you consider a gift of \$1,000 to this year's annual appeal.

A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." As a member of the Scovill Group, your gift may be made in a number of ways; payroll deduction, credit card or pledges. I ask that you be as generous as possible. A pledge form is included for your convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for your patients, your family and your community. **"IT ALL COMES BACK TO YOU"**.

Past Scovill Medical Group Solicitation

August 2, 2010

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«CnAdrAdrProc_Addrline1»

«CnBio_Suffix_2»

«CnAdrAdrProc_City», «CnAdrAdrProc_State» «CnAdrAdrProc_ZIP»

Dear «CnAdrSal_Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

Your patients are the reason we exist. Every decision we make and every dollar we spend are directed at ensuring that your patient receives the highest quality care in the most comfortable environment delivered with compassion and understanding.

Last year we concentrated on clinical quality in Cardiac and Stroke Care. This year, we plan to extend out quality initiatives, while at the same time directing considerable energy and resources toward improving the patient experience.

Saint Mary's will continue providing the best possible care to your patients, but we need your help. Your support and confidence, which you demonstrate by trusting us to care for your patients, are deeply appreciated. As a member of the hospital family your financial contributions are equally important to our success, and we are very grateful for your last annual donation of \$«CnLGf_1_Amount» and ask that you consider a similar gift this year.

A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." As a member of the Scovill Group your gift may be made in a number of ways; payroll deduction, credit card or pledges. We ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for your patients, your family and your community. **"IT ALL COMES BACK TO YOU"**.

Primary Care Partners solicitation w/no gifts last
2 yrs

August 2, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

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A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." As a member of Primary Care Partners, your gift may be made in a number of ways; payroll deduction, credit card or pledges. I ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for your patients, your family and your community. **"IT ALL COMES BACK TO YOU"**.

Past Primary Care Partners solicitation

August 2, 2010

«CnAdrSal_Addressee»
«CnAdrAdrProc_Addrline1»
«CnBio_Suffix_2»
«CnAdrAdrProc_City», «CnAdrAdrProc_State» «CnAdrAdrProc_ZIP».

Dear «CnAdrSal_Salutation»,

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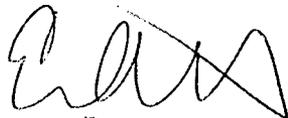
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Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

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~~Past~~ Past General Medical Staff donors

August, 2010

«CnAdrSal_Addressee»
«CnAdrAdrProc_Addrline1»
«CnAdrAdrProc_Addrline2»
«CnAdrAdrProc_City», «CnAdrAdrProc_State» «CnAdrAdrProc_ZIP»

Dear «CnAdrSal_Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

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Saint Mary's will continue providing the best possible care to your patients, but we need your help. Your support and confidence, which you demonstrate by trusting us to care for your patients, are deeply appreciated. As a member of the medical staff your financial contributions are equally important to our success, and we are very grateful for your last annual donation of \$«CnLGf_1_Amount» and ask that you consider a gift of \$500 to this year's annual appeal.

A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." Your gift may be made in a number of ways, by check, credit card or a pledge. We ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

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General Medical Staff solicitation w/no previous gifts

August, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

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A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." Your gift may be made in a number of ways, by check, credit card or a pledge. We ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

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Franklin Medical Group solicitation w/no gifts last 2 yrs

August 2, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

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Saint Mary's will continue providing the best possible care to your patients, but we need your help. Your support and confidence, which you demonstrate by trusting us to care for your patients, are deeply appreciated. As a member of the hospital family, your financial contributions are equally important to our success and we ask that you consider a gift of \$1,000 to this year's annual appeal.

A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." As a member of Franklin Medical Group your gift may be made in a number of ways; payroll deduction, credit card or pledges. I ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for your patients, your family and your community. **"IT ALL COMES BACK TO YOU"**.

Past Franklin Medical Group solicitation

August 2, 2010

«CnAdrSal_Addressee»
«CnAdrAdrProc_Addrline1»
«CnBio_Suffix_2»
«CnAdrAdrProc_City», «CnAdrAdrProc_State» «CnAdrAdrProc_ZIP»

Dear «CnAdrSal_Salutation»,

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with a strong fiscal plan and continued support from loyal physicians like you. Over the past few years, you've seen many changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. These efforts are all focused on improving your patient's experience and outcome.

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A donation to the 2010 Physician Annual Appeal will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." As a member of the Franklin Medical Group, your gift may be made in a number of ways; payroll deduction, credit card or pledges. We ask that you be as generous as possible. A pledge form is included for you convenience. Thank you.

Sincerely,



Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

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Auxiliary Solicitation

July 15, 2010

Auxiliary Member
Address
State, Zip

Dear

Throughout the years the Saint Mary's Hospital Auxiliary has been an incredible asset. Your fund-raising efforts and your willingness to communicate the Hospital's Mission to others in our community have contributed greatly to Saint Mary's outstanding reputation and success. We are sincerely grateful for the time and energy you devote to the hospital.

Your leadership in the Saint Mary's Hospital Auxiliary Enlightenment Series, the Emergency Room Clothes Closet Express, and the "Presents with a Purpose", as well as your support of the hospital's annual gala, phonathon, and the golf tournaments every year, ensure the success of these events and ultimately, the success of the hospital's mission.

As you know, economic pressures continue to plague our state and especially the non-profit hospitals. Thankfully, Saint Mary's has been able to achieve financial stability with the help of generous supporters and donors. You've seen many of the changes and exciting improvements such as our new lobby and re-designed emergency department, and you've read about the quality awards the hospital has won in Cardiac Care and Stroke Care. All of these accomplishments come at a high cost.

Saint Mary's will continue providing the best possible care to you, your family and your community, but we need your help. Your time and your devotion to the hospital are extraordinary gifts and deeply appreciated. Your financial contributions are equally important to us. Every donation, regardless of size, can make a real difference.

Please consider making a donation to the 2010 Annual Appeal and be as generous as you can. Your gift will help tremendously toward the hospital's goal of "Exceptional medical care, every patient, every day." Thank you.

Sincerely,

Eric Albert, Chairman
Saint Mary's Hospital Foundation Board

P. S. Every contribution to Saint Mary's Hospital is an investment in healthcare for you, your family and your community. **"IT ALL COMES BACK TO YOU"**. Please give generously.

Saint Mary's Hospital Foundation

HONOR ROLL OF DONORS

The Woodward Fund:
**Leading
the way to
our future**



On the cover:

Saint Mary's begins wireless transition with help of Woodward Fund

Recently, Saint Mary's Health System was awarded a \$225,000 grant from the David, Helen and Marian Woodward Fund to support the installation of a wireless network system throughout the hospital. This will be available to physicians, patients and visitors alike, adding a convenience to visitors of the hospital while also directly improving patient care.

Saint Mary's has already begun to install the wireless system throughout different parts of the hospital. With much anticipation, the hospital is soon scheduled to go live, allowing the nursing staff off/in the Women and Infants Center to be able to access wireless networks right at the point of care through wireless devices on the medical carts. This will enhance communication within the hospital and across the community.

Established in honor of David and Helen Woodward, the Fund supports non profit organizations in education, social services and healthcare. The Woodward Foundation has been a generous supporter of Saint Mary's Hospital in the past, contributing \$250,000 in 2006 toward the renovation of the hospital lobby.



Saint Mary's HOSPITAL Foundation

56 Franklin Street
Waterbury, CT 06706
(203) 709-6390 phone
(203) 709-3272 fax

foundation@stmh.org email
www.stmhfoundation.org web



Saint Mary's HOSPITAL Foundation

L E T T E R F R O M T H E C H A I R M A N

Dear Friends of Saint Mary's Hospital:

One of the privileges I have as Chair of the Foundation Board of Directors is hearing stories from patients and their families who are so grateful to Saint Mary's Hospital.

Take Mary Rivard, whose husband Andre came into the Emergency Room having a heart attack. "I really felt so good with him being taken care of at Saint Mary's Hospital. I could go home at night and know that he was well cared for."

Or Michael Gay, who elects to drive to Saint Mary's from his Torrington home for his rehab care because of the staff that have taken care of him "Saint Mary's is not the closest hospital to my home, but I choose to keep going back because of the care I get there. The people are amazing."

Saint Mary's Hospital continues to be a distinctive healthcare resource for all of our hometowns. Expert healthcare coupled with a community of compassionate caregivers are what patients have grown to expect from Saint Mary's — particularly in their times of need.

Keeping pace with medical advances and ensuring a patient-friendly medical center is costly. We rely on the generous financial support of patients, neighbors and colleagues to ensure the quality of healthcare for our hometowns is unchanged. Your donation to Saint Mary's Hospital is an investment in keeping the community we live in healthy.

In recent years, Saint Mary's friends and family have enabled the Hospital to renovate the Emergency Department, renovate the lobby, improve patient access and purchase new equipment. What's more, donations from community members like you have helped Saint Mary's Hospital provide healthcare without regard for a patient's income status for over 100 years.

Your dollars go right to patient care, equipment and treatment centers. So whether the patient is you, a member of your family, a neighbor or a complete stranger, the quality of care will never waiver. On behalf of the Board of Directors for Saint Mary's Hospital Foundation, please accept our deepest gratitude for your gift to Saint Mary's Hospital. Thank you.

Sincerely,



Eric D. Albert
Saint Mary's Hospital Foundation Chairman

Your generous contributions to Saint Mary's Hospital Foundation are greatly appreciated.

The following list of acknowledgments reflects all donations received from October 1, 2008 through September 30, 2009. We apologize for names inadvertently omitted or misspelled.

Founder's Society (\$50,000+)

Archdiocese of Hartford
John H. & Ethel G. Noble
Charitable Trust
David, Helen & Marian
Woodward Fund

President's Circle (\$25,000+)

The Susan G. Komen
Breast Cancer Foundation

Slocum Associates

(\$10,000 - \$24,999)
Maximilian E. & Marion O.
Hoffman Foundation, Inc.
Harriet Kirk Trust
The Leever Foundation, Inc.
Naugatuck Savings Bank
Foundation, Inc.
Saint Mary's School of Nursing
Alumni Association

St. Joseph's Society (\$5,000 - \$9,999)

& Mrs. Burton Albert
J. Walton Bissell Foundation, Inc.
Cly-Del Manufacturing Co.
Elisha Leavenworth Foundation
Mr. Robert J. Mazaika
Mr. & Mrs. James C. Smith

St. Luke's Society (\$2,500 - \$4,999)

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Dr. & Mrs. Stephen T. Holland
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Dr. & Mrs. Paul F. Kelly
The Honorable &
Mrs. James J. Lawlor
Dr. David Alan Roer &
Dr. Mary Lizabeth Aquavia
Mr. Carmen A. Vacalebri
Mr. & Mrs. Chad Wable
Webster
The Fannie B. Wheeler Fund

O'Brien Society

(\$1,000 - \$2,499)
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Attorney & Mrs.
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The Most Reverend
Henry J. Mansell, DD
McCue Mortgage Company
Mr. & Mrs. William J. Morris
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Palladin Precision Products
Attorney & Mrs. Gary S. Roosa
Mr. Allan B. Rowe
Mr. Charles F. Senich &
Attorney Christine A. Sullivan
Saint Mary's Medical
& Dental Staff
Mr. & Mrs. George J. Strobel, Jr.
The Torrington Supply Co., Inc.
Dr. & Mrs. James G. Uberti
Mr. & Mrs. Edmund J. White
Mr. John Pickering &
The Honorable Linda Wihbey
Mr. & Mrs. Mark C. Yanarella
Dr. & Mrs. Peter Zilahy



2009 Saint Mary's Hospital Dinner of Champions

On September 14, 350 guests attended the Dinner of Champions salute to Webster Bank. Dinner co-chairs John P. Burke and Michael L. O'Connor were pleased to pay tribute to Webster and also recognize how "The Webster Way" impacts our community. Over the years, Saint Mary's has been a recipient of Webster's philanthropy, while the Smith family has personally supported the hospital through charitable donations and volunteer leadership. Saint Mary's Hospital depends on the leadership and generosity of community leaders such as Webster to carry out its Mission.

Top right: Theresa Wable, Chad Wable, Cathy Smith and Jim Smith

Lower right: Jonathan Albert, Sylvia Albert, Burton Albert and Eric Albert

*deceased

Supporters (\$500 - \$999)

Mr. & Mrs. Jonathan Albert
Mr. & Mrs. Mark D. Baker
Ms. Linda Bakos
Bertrand P. Bisson, MD
Ms. Tanya Borkowski
Mary E. Brosnan Fund
Ms. Karen D. Broyles
Mr. & Mrs. Steven M. Burrows
Mrs. Lisa Camac
Mr. & Mrs. William T. Campion, Jr.
Cardiology Associates
of Waterbury, PC
Mr. & Mrs. Ralph H. Carpinella
Mr. & Mrs. Mark A. Casey
Ms. Elizabeth A. Christoff &
Mr. Charles E. Christ
Monsignor James G. Coleman
Congregation De Notre Dame
Connecticut Friends
of Adopted Children, Inc.
Mr. & Mrs. Peter R. Cordeau
Mr. & Mrs. Rui Dasilva
Ms. Jennifer C. Desmarais
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Ms. Mary H. Nielson
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Mr. & Mrs. Alan K. Pratt
Attorney & Mrs.
Thomas M. Rickart
Mr. David C. Robinson &
Ms. Margaret W. Field
Mr. & Mrs. David Ross
Hollis D. Segur, Inc.
Mr. & Mrs. Dennis Sheehan
Ms. Ann Smith
Mr. & Mrs. Michael J. Smith
Ms. Sheila Staib
Mr. & Mrs. George J. Strobel, Sr.
Mr. Keith Sullivan &
Ms. Carrie Zimyeski
Mr. Ralph Thomas
Mr. & Mrs. Louis H. Ulizio, Jr.
Ms. Janet Webb
Mrs. Leocadia W. Zak
Mr. & Mrs. Gregory J. Zupkus

"It seems very natural to my wife and I to give back to an organization that does so much for the community. Although I work at Saint Mary's, sometimes it needs more than just your best effort. Helping financially by donating to the Foundation allows the hospital to fund projects that wouldn't be done otherwise and watching the results year after year is very gratifying."



— Steven T. Holland, MD,
Vice President and Chief Medical Officer

Friends (\$100 - \$499)

Mr. & Mrs. Thomas J. Accuosti
Aetna Foundation, Inc.
Ms. Maria F. Agudelo
Mr. & Mrs. Richard Ahern
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Mr. & Mrs. Richard Albro
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American Heating Service, LLC
Ms. Tanya Andrade
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Edwin H. Angevine Fund
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Aro Strategic Marketing
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Mr. & Mrs. Alfred Cassidy
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Mr. Gezahegne Chegano
Children's Health Center
Sunshine Fund
Mr. & Mrs.*
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Dr. & Mrs. Marc A. Ciampi
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Mr. & Mrs. Daniel A. Ciarlo
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Mr. & Mrs. Greg T. Cimmino
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Dr. Leslie Coopersmith &
Mr. Joel S. Coopersmith
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Mr. & Mrs. Albert N. Covino
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Mr. & Mrs. Thomas F. Crowe, Jr.
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Mr. & Mrs. John V. Culotta
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Mr. Bill Dawe
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 Ms. Kathleen Walsh
 Ms. Janet Webb
 Ms. Meredith Weeks
 Mrs. Barb E. White

"I give for 2 reasons really. One, because I am on the board and it sets an example for others to follow and also because I firmly believe that there is a need for a health system that is based on Catholic values."



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 Saint Mary's Hospital Board of Directors

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 Ms. Laurie Wingard
 Mrs. Jan Young
 Ms. Liming Zeng
 Mrs. Lezlye Zupkus

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 & Dental Staff

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 Mr. James J. Gorman

In Honor of
J. Robert Anthony, MD
 Mr. James J. Gorman

In Memory of
Mr. Salvatore Auditore
 Mr. & Mrs. Joseph M. Renna

"Saint Mary's Hospital is such an important institution in our community. It touches families from the time their children are born and literally for their whole lives thereafter. Saint Mary's truly has earned and deserves our support so it can continue its fine work for generations to come."



— Mark Yanarella,
 Saint Mary's Hospital Board of Directors,
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Mr. Kenneth M. Carter
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In Memory of
Ms. Winnie Cerasulo
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In Memory of Elaine Chatfield
 Mr. & Mrs. William R. Peterson

In Honor of
The Children's Health
Center Staff
 Mr. James J. Gorman

In Memory of
Mr. Raymond A. Christ
 Mr. & Mrs. Nick Berger
 Mr. & Mrs. Chris Christoff



2009 Saint Mary's Golf Classic

On September 15, over 250 golfers and volunteers participated in the 14th annual Saint Mary's Hospital Golf Classic. Held at the Country Club of Waterbury, the tournament featured both morning and afternoon flights, an enticing raffle and a closing ceremony including cocktails, a specialty raffle and remarks by tournament co-chairs Dr. Paul Kelly and Norman Drubner, Esq.

Upper left: Joe Connolly, Burton Albert, Jay Carlson and Eric Albert

Lower left: Jack Burke, Dr. Paul Kelly, Ed McEachin and Dr. Aziz Richi



Ms. Elizabeth A. Christoff &
Mr. Charles E. Christ
Mr. & Mrs. John D. Christoff
Mr. & Mrs. Fred Laubenthal

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Alcide Clement's
65th Wedding Anniversary**
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Mr. & Mrs. Hector G. Clement
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Mr. Billy O. Cotner

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& Dental Staff

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Ms. Mary Ann Austin
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Mr. Richard Cullen

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Miss Eleanor Rice, RN's
Birthday**
Ms. K. Elaine Rice

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Mr. Frederick E. Hennick

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Pastoral Care Department**
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Saint Mary's
HOSPITAL
Foundation

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Waterbury, CT 06706

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2297

Have you considered what your legacy will be?

Planning your legacy isn't something most of us have time to do on a daily basis. It takes careful planning – just as you would consider your will and estate plan. Have you considered a legacy that includes Saint Mary's Hospital? A gift to Saint Mary's Hospital Legacy Society ensures your legacy as well as ours – so we will be there for the patients who need us – for generations to come.

Over 100 years ago, Saint Mary's Hospital's founders envisioned a state-of-the-art healthcare center for patients to be treated professionally, compassionately and without regard to income. That vision continues from generation to generation as Saint Mary's Hospital's life mission. With over 100,000 patient visits annually – and as one of the largest charitable organizations donating free healthcare – Saint Mary's Hospital is a significant community resource for the entire Greater Waterbury area.

Legacy Society gifts ensure a lasting legacy for patients and their families at Saint Mary's Hospital. Some of the ways you might be able to help Saint Mary's Hospital and, in turn, ensure that future generations have access to quality healthcare include:

- ▶ Name the Saint Mary's Hospital Foundation in your will or in your family's estate plan.
- ▶ Designate the Foundation as the recipient of a life insurance policy.
- ▶ Transfer securities, properties or other assets to the Foundation.
- ▶ Create a trust or gift annuity to benefit the Foundation.

For more information, please contact Peggy Lawlor in the Foundation office: (203) 709-6344.

Talk to your financial advisor, attorney or a member of the Saint Mary's Hospital Foundation staff.

Legacy Society gifts include:

Annuity
(Gift, Deferred)

Bequests

Endowment Gift

Life Insurance

Memorial Gifts

Trust
(Charitable, Short Term)

Unitrust

June 2010

Heart transplants saved the lives of two Prospect men. For this, both offer credit to Saint Mary's Hospital cardiologist Dr. J. Robert Anthony.

Late last fall, Dane Hassan and Dinis Marques, both of Prospect, underwent life saving heart transplants. Although living in the same small town, the two men did not know one another prior to their surgeries. When they finally met during cardiac rehab, both transplant patients shared their endless praises for their cardiologist – Saint Mary's Hospital very own Dr. J. Robert Anthony.

Although he was not a stranger to heart surgery, Dane Hassan did not foresee needing a heart transplant. "Yes, I had a history of heart problems, but I never expected this." To date, Dane has had three bypass surgeries and says that, "through it all, Dr. Anthony has taken care of me. When I was too worn out to do anything on a trip with my family to Disney World, I knew I was sick. I underwent tests in Florida but once I got the ok, I went right back to Dr. Anthony at Saint Mary's Hospital."

Dinis Marques also put his trust into Dr. Anthony for his heart care when he was admitted to Saint Mary's for having difficulties breathing. "Dr. Anthony has cared for me over the last 20 years. He told me I needed a transplant and that's all I needed to hear. He has always taken care of me. He is a very good doctor."

After successful surgeries at Hartford Hospital, Dane and Dinis went their separate ways, only to meet for the first time in cardiac rehab at Saint Mary's Hospital. "The staff and people at Saint Mary's are great," Dane exclaims. "What's more, I was impressed with how highly regarded Dr. Anthony is among his peers at Hartford Hospital. He has been a huge advocate for improving heart care in Waterbury."

The families of both men are very appreciative of the new hearts Dane and Dinis have received. Since undergoing heart transplants, both are back to their daily routines and are enjoying the time spent with their loved ones.

Having access to quality medical care in our own community is vital for patients like Dane Hassan and Dinis Marques, as well as their families, who could not imagine seeking health care anywhere else.

Saint Mary's Hospital is proud of its outstanding commitment to offer excellent health care to the Greater Waterbury Community. Our teams of experienced and talented physicians are what make it possible to provide exceptional care to every patient, every day. Saint Mary's has been able to acquire innovative technology and develop new programs, allowing us to remain current in the health care industry and further our mission.

Dane remarks "Dr. Anthony is a wonderful man. I told him that he saved my life and he did." Marques agrees. "My life is better since I had the transplant – so much better than when I had heart problems. My family thinks Saint Mary's Hospital is the best. I know whenever I need a good doctor; I will go to Saint Mary's Hospital."

Please use the enclosed envelope and consider a gift to benefit other patients at Saint Mary's Hospital; after all, *it all comes back to you!*

"We planned our wedding for December 19th. When Jim was hospitalized unexpectedly two days before, we called to put the flowers and cake on hold. Within a few hours, I was calling back to say we needed our flowers and cake - the wedding was on!"

Chandelle Cody DeGiovancarlo and James (Jim) F Doyle were married in the chapel at Saint Mary's Hospital on December 19th. They had met earlier in the year at the parish minstral at St. Francis Xavier Church in Waterbury. When Jim learned Chandelle had been a widow for nearly a decade, he wasted no time in asking her to dinner at the Charcoal Chef in Woodbury. The rest is fate as they realized they had found "the one" in each after. Shortly thereafter they were engaged and looked forward to their December wedding.

But fate had a different plan and just before their wedding, Jim came down with pneumonia. "We didn't want to postpone our wedding and the chapel at Saint Mary's Hospital is so beautiful. When we asked if we could hold our wedding in the hospital, the people at Saint Mary's were unbelievable. They couldn't do enough to make sure we had the most special day."

Patients consistently mention the attention and care they receive from the 1800 members that make up the Saint Mary's Hospital community. Physicians, nurses, and staff are focused on the complete care of each patient and their families. And sometimes – as the Doyles learned - that care goes above and beyond strictly medical care. Saint Mary's Hospital strives to care for all the needs of patients and their families.

Through generous donors like you, Saint Mary's Hospital can continue to provide quality care for patients . Whether the patient is you, a family member, a friend, neighbor or colleague, Saint Mary's Hospital is community based health care for all the families and businesses that have come to depend on it.

INSERT FOLLOWING PARAGRAPH FOR PREVIOUS DONORS/ SKIP PARAGRAPH FOR NON DONORS

Last year, you were able to contribute \$x to the Saint Mary's Hospital patient appeal. Please consider the same donation – or possibly increasing it – to help us continue to serve all of the patients, families and businesses that depend upon us.

Going forward, Chandelle says "It was a beautiful wedding and we are so impressed with how wonderful everyone at Saint Mary's was, but we'll look forward to spending our anniversaries at home."

Join Chandelle and Jim Doyle with your generous support by using the enclosed envelope. Please make your contribution to Saint Mary's Hospital today.

Sincerely,



Eric Albert, President

Saint Mary's Hospital Foundation

Board of Directors

Feb 2010

Letter Content: End of year appeal to donors over \$500 October 2009

Date

Name

Address

City, state, zip

Dear first:

"Thank God for Saint Mary's Hospital. They saved my Dad's life."

Mimi Rivard, Andre and Mary's daughter, recalls how quickly her Dad got sick. Andre complained of a slight pain in his arm and nausea. "As a nurse, I knew his symptoms signaled a heart attack, so I immediately sent my parents to Saint Mary's." As soon as Andre walked through the Emergency Room door, the cardiac team of doctors and nurses jumped into action. Just a few hours later, Andre was recovering in the Cardiac Care Unit.

"It all happened so quickly. But having a state of the art heart center –expert staff with access to the tools they need when they need them – right here in our own community, was instrumental in saving my father's life."

The Rivard family is thankful for the access they have to expert medical facilities – right here at home. Great physicians, skilled nurses, sophisticated technology and a compassionate community truly aim to make patients feel better. These are all the hallmarks of Saint Mary's Hospital.

Thanks to generous friends and donors like you, Saint Mary's Hospital has state of the art resources to care for families, employees, and neighbors in the community. Today, more than ever, we rely on our benefactors to help us battle spiraling health costs.

A donation to Saint Mary's Hospital is tax free and becomes a gift that keeps on giving. Your support goes directly to enhancing patient experiences. In recent years, gifts to Saint Mary's have helped:

- streamline the front entrance to improve patient access
- improve information systems technology to ensure effective patient care
- overhaul our Emergency Room for over 60,000 patient visits annually.

This year, at Thanksgiving, please consider Saint Mary's Hospital amongst the many gifts in your life. Last year, you contributed x to Saint Mary's Hospital, this year, would you please consider a gift of the same amount. Please help us help you and your family.

In appreciation,

Eric Albert

Chair

Foundation Board of Directors

Letter Copy: Patient Appeal to Past Donors & Never Given October 2009

Date

Name

Address

City, state, zip

Dear first:

"I didn't know I was having a heart attack."

Andre Rivard recalls that Monday to be like any other, except he didn't feel well. He had a slight pain in his arm and some nausea, but no other symptoms that might have indicated how serious his condition was about to become.

Andre's daughter Mimi, a nurse herself, recognized the symptoms and immediately sent her parents to the Emergency Room at Saint Mary's Hospital. Andre remembers "when they told me I was having a heart attack, I told the doctor he had to be kidding! But he wasn't kidding. Within three hours, I went from the Emergency Room to the Operating Room and then to the Cardiac Care Unit. That team at Saint Mary's went to work!"

His wife Mary couldn't agree more. "They were so quick to take care of him, I couldn't get over it." She adds, "The doctors, the nurses – the whole staff - were simply wonderful. They explained everything to us and couldn't have been more kind to our family."

Today Mimi looks back on her Dad's experience at Saint Mary's personally and professionally, and is impressed. "Having a state-of-the-art heart center means Saint Mary's has the ability to manage a heart attack from the minute a patient comes through the ER door. They are well staffed and they have immediate access to the tools they need. Doctors took their time to explain their findings but they can act swiftly in a very difficult situation. To have the heart center right here in Waterbury is key. They saved my Dad's life. What else could I ask for?"

Within this last year, three national organizations have recognized Saint Mary's for quality performance and outcome of cardiac care. Efforts to ensure care services meet the most exacting quality standards have paid off. The Rivards agree that "Saint Mary's offers the best care you can find anywhere."

Even in a difficult economy we must maintain our commitment to meet patient needs. That takes resources, and with health costs escalating, Saint Mary's must rely on generous friends and donors to help the hospital sustain quality health care. Please support Saint Mary's Hospital and send your gift in the enclosed envelope. Help us help you when you need it.

Give thanks
to the Lord for
He is good
His kindness endures
is forever

*Saint Mary's Hospital
Crusade of Prayer*

Name of person to be enrolled

Person or group making offering

Address

City, State, Zip

Donation
[This offering will be used in memory of the individual in whose name it is given.]

ES

HAS BEEN ENROLLED IN THE

Saint Mary's Hospital Crusade of Prayer

BY

Masses are offered every month for all those enrolled.

*They are remembered also in the prayer and
the spiritual apostolate of Saint Mary's Hospital.*

Sequoia
Foundation

2305

Fund Summary Report SMH10 Champion Golf Dinner Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh10dinner	SMH10 Champion Golf Din	94	\$200.00	\$7,700.00	\$14,975.00	\$0.00	\$22,875.00
		and Totals:	\$200.00	\$7,700.00	\$14,975.00	\$0.00	\$22,875.00

94 Gift(s) listed

93 Donor(s) listed

Appeal Summary Report
SMH10 Champion Golf Dinner Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
golf2010inv	Golf'10 Invite for Senator Ha	81	\$200.00	\$3,200.00	\$12,600.00	\$0.00	\$16,000.00
hartleydona10	Hartley Dinner Donations 201	9	\$0.00	\$0.00	\$2,375.00	\$0.00	\$2,375.00
hartleysp10	Hartley Dinner Sponsorship 2	4	\$0.00	\$4,500.00	\$0.00	\$0.00	\$4,500.00
and Totals:			\$200.00	\$7,700.00	\$14,975.00	\$0.00	\$22,875.00

94 Gift(s) listed

93 Donor(s) listed

Dinner of Champions Committee

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For more information please contact:

Saint Mary's Hospital Foundation, 56 Franklin Street, Waterbury, CT 06706
Phone: (203) 709-6239 Fax: (203) 709-3272
Email: lindsey.spinella@stmh.org

To register online, please visit stmfoundation.org

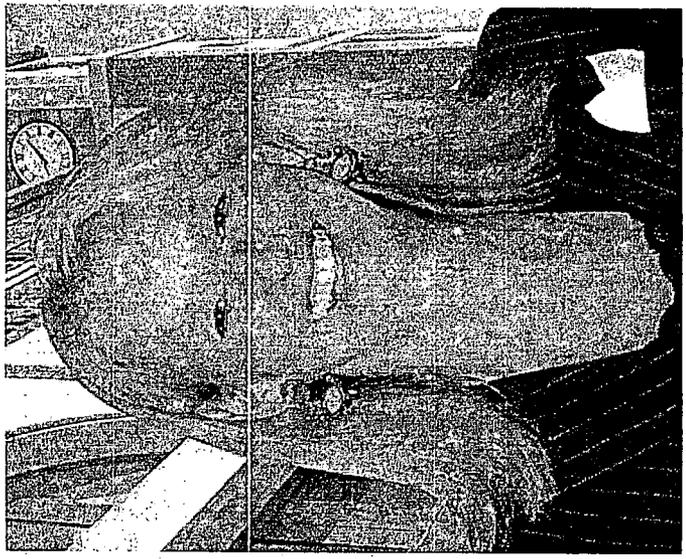
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I-84 East or West to Exit 16. Go right at the end of the exit ramp onto Strongtown Road. Hotel is 0.25 miles on the right. End at 1284 Strongtown Road.

Hotel Phone: (203) 598-7600

 Saint Mary's
HOSPITAL
Foundation

DINNER OF CHAMPIONS



Monday, September 13, 2010

Honoring the 2010 Saint Mary's Champion
State Senator Joan V. Hartley

and the sponsors of the

Saint Mary's Hospital

15th Annual Champion Golf Classic

2010 Saint Mary's Hospital Champion
State Senator Joan V. Hartley

One of the most respected and distinguished legislators in Hartford, Senator Joan V. Hartley has a proven record as an effective lawmaker and advocate who has consistently stood up and fought for taxpayers and families in the Greater Waterbury Community for more than 20 years. After serving for 16 years in the State House of Representatives from the 73rd District, which included serving as Speaker Pro Tempore--the third-highest ranking position in the House--Joan was elected to the State Senate from the 15th District in November 2000.

A true friend of Saint Mary's Health System and other Connecticut hospitals, Joan has been a strong advocate for enhancing healthcare in our community and a consistent ally of health care providers, hospitals and physicians. Joan was an effective voice in helping bring advanced cardiac care to this community through the Heart Center of Greater Waterbury. She worked to force changes in the managed health care system by sponsoring legislation banning "drive through deliveries" and 48 hour mastectomies. A sponsor of legislation to prohibit physicians' gag orders and to establish a managed-care rating system, she has also worked to reduce prescription drug costs through an inter-state consortium. She worked to bring the Connecticut Area Health Education Center (AHEC) Program to the Greater Waterbury area and secured resources for the AHEC student intern program in conjunction with the University of Connecticut medical school as well as for Waterbury Health Access Program (WHAP) and for the Waterbury Adult Continuing Manufacturers Education Program (WACE).

A former educator, Senator Hartley was a co-author of the Early School Readiness legislation which became a national model for highly credentialled early childhood education and wrote legislation establishing a Statewide Allied Health Workforce Board to address the nursing and allied health workforce shortage. Senator Hartley worked tirelessly to realize her goal of establishing four year degree granting programs at the University of Connecticut Waterbury Regional campus. She started the Waterbury "Cops on Bikes" program and initiated the Waterbury Community Court, and brought the Masters Entry Into Nursing (MEIN) Program and the Haskins Reading Lab Program to Waterbury.

Joan currently serves as the Assistant President Pro Tempore of the state Senate, co-chair of the Regulations Review Committee, as well as vice-chair of the Appropriations Committee, vice-chair of the Insurance and Real Estate Committee, and a member of the Legislative Management Committee.

As a result of Joan's vision and leadership our entire community has benefited. She is a stalwart friend of Saint Mary's Health System, the City of Waterbury, and the Naugatuck Valley. In recognition of her achievements, in 2008, the Waterbury Regional Chamber of Commerce presented Senator Joan Hartley with the Malcolm Baldrige Community Award. Joan embodies the characteristics of a champion: she is dedicated, tough, and principled. Saint Mary's Hospital is pleased and honored to recognize Joan Hartley as our 2010 Saint Mary's Champion.

Joan resides in Waterbury with her husband, Jim, and two children, Devin and Brooke.

Monday, September 13, 2010

DINNER OF CHAMPIONS

Honoring the

2010 Saint Mary's Hospital Champion

State Senator Joan V. Hartley

and the sponsors of the

Saint Mary's Hospital

15th Annual Champion Golf Classic

6:00pm Cocktails

7:00pm Dinner

Crowne Plaza (formerly Southbury Hilton)

Strongtown Road, Southbury, CT

\$100 per person

*(Cost of this dinner is included with participation in the
15th Annual Champion Golf Classic)*

The traditional golf dinner will be held on Monday, September 13, the evening before the golf tournament, and everyone is invited, golfers and non-golfers alike. Please join us in honoring our 2010 Saint Mary's Hospital Champion, State Senator Joan V. Hartley, and in recognizing the generous sponsors of our 15th Annual Champion Golf Classic.

If you are participating in the Champion Golf Classic, your dinner ticket is included in your fee. If you have already sent in your registration and dinner reservation, there is no need to reply.

If you are attending dinner only, the fee is \$100. Proceeds from the dinner will be directed to the Saint Mary's Hospital Patient Care Fund.



2010 Saint Mary's Hospital Champion

State Senator Joan V. Hartley

Dinner of Champions

September 13, 2010

Crown Plaza Hotel

Southbury, CT 06844

Co-Chairmen

Katherine M. Hanratty

Norman S. Drubner, Esq.

Sponsorship Opportunities

Event Sponsor.....\$10,000

Recognition as Event Sponsor: Includes Two Tables of Ten; Two Foursomes for Golf classic; Name/Logo featured on promotional materials; Corporate Banner displayed at Champion Dinner; In Hospital Annual Report; Recognition on Hospital Website; Receive Fundamentals Newsletter; Invitation to Hospital Special Events.

Dinner Sponsor..... \$ 5,000

Recognition as Champion Dinner Sponsor: Includes Two Tables of Ten; One Foursome for Golf Classic; Name/Logo featured on promotional materials; Corporate Banner displayed at Champion Dinner; In Hospital Annual Report; Recognition on Hospital Website; Receive Fundamentals Newsletter; Invitation to Hospital Special Events.

Cocktail Hour Sponsor.....\$ 2,500

Recognition as Cocktail Hour Sponsor: Includes One Table of Ten; One Foursome for Golf Classic; Name/Logo featured on promotional materials; Corporate Banner displayed at Champion Dinner; In Hospital Annual Report; Recognition on Hospital Website; Receive Fundamentals Newsletter; Invitation to Hospital Special Events.

Table Host.....\$1,000

Include Listing in Program as Table Host; Includes One Table of Ten; Receive Fundamentals Newsletter; Invitation to Hospital Special Events.

— Yes, I am interested in a sponsorship opportunity. I have made my selection above.

Amount Enclosed: \$ _____

— I am interested in a sponsorship opportunity. Please contact me with more information.

Name (Please Print) *Telephone*

Company Name

Street *City* *State* *Zip*

Preferred Email Address

Home *Business*

Please return this form by 8/31/2010 to

Peggy Lawlor, President

Saint Mary's Hospital Foundation - 56 Franklin Street – Waterbury, CT 06706

Phone #: (203) 709-6344 Fax #: (203) 709-3272

Email: plawlor@stnh.org

Fund Summary Report SMH10 Golf Tourny Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh10golf	SMH10 Golf Tourny Fund	148	\$4,790.00	\$136,950.00	\$35,675.00	\$22,942.00	\$200,357.00

148 Gift(s) listed

133 Donor(s) listed

and Totals:

\$4,790.00 \$136,950.00 \$35,675.00 \$22,942.00 \$200,357.00

Appeal Summary Report SMH10 Golf Tourney Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total	
golf2010	Golf Tournament 2010 Regist	29	\$290.00	\$8,700.00	\$10,440.00	\$0.00	\$19,430.00	
golfdonations10	Golf Donations 2010	12	\$0.00	\$0.00	\$3,935.00	\$0.00	\$3,935.00	
golfnul10	Golf Tourney Mulligan Sales'	3	\$0.00	\$0.00	\$870.00	\$0.00	\$870.00	
golffraff10	Golf '10 Raffle Prizes	49	\$0.00	\$0.00	\$0.00	\$22,942.00	\$22,942.00	
golffraffpro10	Golf Raffle Proceeds '10	8	\$0.00	\$0.00	\$4,830.00	\$0.00	\$4,830.00	
golffsp2010	Golf '2010 Sponsorships	46	\$4,500.00	\$128,250.00	\$15,500.00	\$0.00	\$148,250.00	
hartleydona10	Hartley Dinner Donations 201	1	\$0.00	\$0.00	\$100.00	\$0.00	\$100.00	
and Totals:					\$4,790.00	\$136,950.00	\$35,675.00	\$200,357.00

148 Gift(s) listed

133 Donor(s) listed

REGISTRATION FORM

- Yes, I would like to be a _____ sponsor, and I have enclosed payment of \$ _____ in check _____ or credit card (information below) _____.
- I am interested in a sponsorship opportunity. Please contact me with more information.
- I am not interested in a sponsorship and am enclosing payment for _____ golfers.

Golfers Names (Please Print or Type)	USGA Handicap	Attending Dinner of Champions	Shirt Size S M L XL XXL
1. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> L <input type="checkbox"/> XL <input type="checkbox"/> XXL
2. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> L <input type="checkbox"/> XL <input type="checkbox"/> XXL
3. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> L <input type="checkbox"/> XL <input type="checkbox"/> XXL
4. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> L <input type="checkbox"/> XL <input type="checkbox"/> XXL

The following will attend the Dinner on Monday evening, Sept. 14, but will not be golfing in the Tournament on Sept. 15.

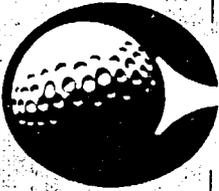
1. _____
2. _____
3. _____
4. _____

Contact Name _____
 Telephone _____ E-Mail _____
 Company Name _____ Home Business
 Street _____
 City _____ State _____ Zip _____
 Credit Card Type _____ Card No. _____ Exp. Date _____

Note: Sponsors have first choice of flights to play golf. Once all sponsors have reserved their flights, remaining spots in both flights will be available to all players based upon the date the paid registration was received. The deadline for sponsors to reserve a flight is August 20, 2010.

All golf registrations include one ticket to the Dinner of Champions at the Crowne Plaza in Southbury, CT, Monday, Sept. 13.

Amount	Total Amount
Morning Golf _____ x \$290	All registrations are final. No cancellation refunds. Please return this form in the return envelope (detach and fold in half) by 8/20/10 to: Saint Mary's Hospital Foundation 56 Franklin Street Waterbury, CT 06706 Phone: (203) 709-6390 Fax: (203) 709-3272 Email: lindsey.spinella@smh.org
Afternoon Golf _____ x \$290	
Sponsorship Level _____	
Additional Dinner Tickets - \$100 each _____	
TOTAL ENCLOSED	



Saint Mary's Hospital *15th Annual* CHAMPION GOLF CLASSIC

2010 Co-Chairmen
 William T. Campion, Jr and Michael C. Matzkin, DDS

Tuesday, September 14, 2010
 The Country Club of Waterbury
 One Oronoke Road, Waterbury

7:30am & 1:00pm

DINNER OF CHAMPIONS 2010 Saint Mary's Hospital Champion: State Senator Joan V. Hartley

Monday, September 13, 2010
 Crowne Plaza
 1284 Strongtown Road, Southbury, CT
 6:00pm Cocktails • 7:00pm Dinner

SCHEDULE

Registration	6:30am	Morning Golf	Afternoon Golf
Driving Range	6:30am		11:00am
Continental Breakfast	6:30am		11:00am
Shotgun Start	7:30am		
Lunch	11:30am		
Shotgun Start			1:00pm
Cocktails		6:00 - 8:00pm	

Morning Flight - Scramble Format
 Afternoon Flight - Scramble Format

*Raffle Prizes will be drawn for both Morning and Afternoon flights.
 Tournament Prizes awarded for both Morning and Afternoon flights.*

- Low Gross Team Score
- Low Net Team Score
- Closest to the Pin
- Longest Drive - Men & Women

Golf Classic Committee

Co-Chairmen
 William T. Campion, Jr. and Michael C. Marzkan, DDS

Committee Members

- | | | |
|-------------------------|-------------------|------------------------|
| Michael Ajerman, MD | Peter R. Doiron | Michael Novak |
| Burt Albert | Terry D. Dridrick | Michael O'Brien |
| Ted Barbeau | Bernie Evans | Abdel Aziz Richi, MD |
| Mark Casey | Bonnie Forcucci | Rodger Schwatlow |
| Donata Ceretto Giannone | Lenny Genovese | Kathy Smith |
| Greg Cimmino | Bob Halko | George J. Strobel, Jr. |
| Joe Commendatore | John Jenusatus | Mickey Wyse, M.D. |
| Michael Curtin | Paul F. Kelly, MD | Sharon Zilahy |
| | Ray Massicotte | |



75 YEARS

Proud Tournament Sponsor

BROWNRUDNICK

Proud Chairman Sponsor

SPONSORSHIP OPPORTUNITIES

- TOURNAMENT SPONSORSHIP** \$15,000
Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials.
- CHAIRMAN SPONSORSHIP** \$12,000
Complimentary foursome; Lunch Sponsor Recognition; Name/logo featured in all promotional materials.
- PLATINUM SPONSORSHIP** \$8,000
Complimentary foursome; Hospitality Carts Sponsor Recognition.
- GOLD SPONSORSHIP** \$8,000
Complimentary foursome; Cocktail Hour Sponsor Recognition.
- SILVER SPONSORSHIP** \$4,500
Complimentary foursome; Putting Green Sponsor Recognition.
- BRONZE SPONSORSHIP** \$3,500
Complimentary foursome; Snack Shack Sponsor Recognition.
- BRASS SPONSORSHIP** \$2,500
Complimentary foursome; Breakfast Sponsor Recognition.
- GOLFER SHUTTLE CARTS RECOGNITION** \$1,750
- TEE & GREENS RECOGNITION** \$1,500

Yes, I am interested in a sponsorship opportunity. I have checked my selection above.

Amount Enclosed: \$ _____

I am interested in a sponsorship opportunity. Please contact me with more information.

I am not interested in a sponsorship or attending the event, but please accept my donation of \$ _____ for the success of your event.

NAME (please print) _____
 COMPANY NAME _____
 STREET _____
 CITY _____ STATE _____ ZIP _____

PREFERRED EMAIL ADDRESS _____ Home Business

Please return this form in the return envelope (detach and fold by 8/20/10 to Saint Mary's Hospital Foundation, 56 Franklin Street, Waterbury, CT 06706. Phone: (203) 709-6190 Fax: (203) 709-3272 Email: lindsay.spinella@smbh.org

Golf 2010 Past Sponsorship solicitation

July, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

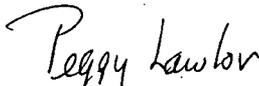
Under the leadership of William T. Campion and Michael C. Matzkin, DDS, the committee for the Saint Mary's Hospital Foundation 15th Annual Champion Golf Classic on September 14th at the Country Club of Waterbury is already working to make this year's tournament better than ever. With your help in the past, we were able to raise over \$175,000 dollars last year to support the Patient Care Fund at the hospital. It is our hope that you will continue your support of Saint Mary's Hospital and once again be a sponsor for this year's tournament.

As a **sponsor**, you will receive **name recognition in our printed material and on our web site, as well as, preference to select the morning or afternoon flight.** A sponsorship form is included for your convenience.

This year, State Senator Joan V. Hartley has been chosen as the 2010 Saint Mary's Hospital Champion. Senator Hartley has been a major supporter of Saint Mary's Hospital and the Waterbury area communities for over 25 years. Her leadership in education, health care, economic development and senior services, to name a few, has made a significant difference in the quality of life for the residents of her district.

The dinner honoring Senator Hartley and our 2010 Sponsors and donors will take place on Monday, September 13th, the evening before the golf tournament, at the Crowne Plaza, in Southbury CT. We hope you will join us that evening as we express our thanks to Senator Hartley. Your sponsorship includes golf and dinner. Please reserve your spot as soon as possible as we expect the event to sell out quickly again this year. Thank you.

Sincerely,



Margaret J. Lawlor
President

Golf 2010 Past Raffle Donors solicitation

July, 2010

«Addressee» «Position»
«Organization_Name»
«Address_Line_1»
«Address_Line_2»
«City», «State» «ZIP_Code»

Dear «Salutation»:

Plans for Saint Mary's Hospital Foundation's 15th Annual Champion Golf Classic are underway. This year's tournament is scheduled for **Tuesday, September 14, 2010 at The Country Club of Waterbury**. We hope that you will once again donate a raffle prize for the event. The golfers look forward to the raffle and the proceeds benefit the Patient Care Services Fund at the hospital. Your generosity will be publically acknowledged in our literature and the name of your business will be prominently displayed along side your gift.

We are very excited to be playing at **The Country Club of Waterbury** under the leadership of William T. Campion, Jr. and Michael C. Matzkin, DDS. The tournament promises to be even more successful than last year. With registrations already in, we expect to sell out quickly.

This year State Senator Joan V. Hartley has been chosen as the 2010 Saint Mary's Hospital Champion. Senator Hartley has been a major supporter of Saint Mary's Hospital and the Waterbury area communities for over 25 years. Her leadership in education, health care, economic development and senior services, to name a few, has made a significant difference in the quality of life for the residents of her district.

The dinner honoring Senator Hartley and our 2010 Sponsors and donors will take place on Monday, September 13th, the evening before the golf tournament, at the Crowne Plaza, Southbury. We hope you will join us that evening as we express our thanks to Senator Hartley. Watch for your invitation in the mail.

We most appreciate your past generosity. An In-Kind Donation Form is enclosed for your convenience. Please indicate the value of the gift on the form. We will acknowledge your gift with a letter for the IRS.

Thank you for your continued support.

Sincerely,



Margaret J. Lawlor
President

Golf 2010 Registration solicitation

August, 2010

«Addressee»

«Addrline1»

«City», «State» «ZIP»:

For over a century now, Saint Mary's Hospital has played an important role in providing quality health care for the Greater Waterbury Community. Our success in the region as a leader in the healthcare industry is a direct result of the philanthropic support of many companies and individuals in the community. It is our hope that you will support Saint Mary's by joining us for this year's 15th Annual Champion Golf Classic, benefitting the Patient Care Services Fund at the hospital. The Champion Golf Classic is scheduled for **Tuesday, September 14, 2010 at The Country Club of Waterbury.**

We look forward to having you golf with us as this year's tournament promises to be even better than before. We are very excited to be playing at **The Country Club of Waterbury** under the leadership of William T. Campion, Jr. and Michael C. Matzkin, DDS our tournament co-chairmen.

This year State Senator Joan V. Hartley has been chosen as the 2010 Saint Mary's Hospital Champion. Senator Hartley has been a major supporter of Saint Mary's Hospital and the Waterbury area communities for over 25 years. Her leadership in education, health care, economic development and senior services, to name a few, has made a significant difference in the quality of life for the residents of her district.

The dinner honoring Senator Hartley and our 2010 Sponsors and donors will take place on Monday, September 13th, the evening before the golf tournament, at the Crowne Plaza, Southbury. We hope you will join us that evening as we express our thanks to Senator Hartley. Your golf registration fee includes your dinner ticket.

Please reserve your spot as soon as possible as we expect the event to sell out quickly again this year. A registration form is included in the enclosed envelope for your convenience. Thank you.

Sincerely,



Margaret J. Lawlor
President
Saint Mary's Hospital Foundation, Inc.



Saint Mary's Hospital
15th Annual
CHAMPION GOLF CLASSIC

2010 Saint Mary's Hospital Champion
State Senator Joan V. Hartley

2010 Co-Chairmen
William T. Campion, Jr. & Michael C. Matzkin, DDS

SEPTEMBER 13, 2010
DINNER OF CHAMPIONS

SEPTEMBER 14, 2010
CHAMPION GOLF CLASSIC

SPONSORSHIP OPPORTUNITIES

TOURNAMENT SPONSORSHIP.....\$15,000

Two foursomes; Recognition as Tournament Sponsor; Name/logo featured in all promotional materials; Corporate banner; Lead name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site – Golf Tournament page; Preferred playing time (AM or PM).

CHAIRMAN SPONSORSHIP.....\$12,000

Complimentary foursome: Lunch Sponsor Recognition; Name/logo featured in all promotional materials; Corporate banner; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

PLATINUM SPONSORSHIP.....\$8,000

Complimentary foursome: Hospitality Carts Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLD SPONSORSHIP.....\$6,000

Complimentary foursome; Cocktail Hour Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

SILVER SPONSORSHIP.....\$4,500

Complimentary foursome; Putting Green Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRONZE SPONSORSHIP..... \$3,500

Complimentary foursome; Snack Shack Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

BRASS SPONSORSHIP.....\$2,500

Complimentary foursome; Breakfast Sponsor Recognition; Name on main Sponsorship Board; In Hospital's Annual Report; Invitation to Dinner of Champions; Receive Fundamentals newsletter; Invitation to Hospital special events; Recognition on Hospital's web-site - Golf Tournament page; Preferred playing time (AM or PM).

GOLFER SHUTTLE CARTS RECOGNITION.....\$1,750

TEE & GREENS RECOGNITION.....\$1,500

Yes, I am interested in a sponsorship opportunity. I have made my selection above.
Amount Enclosed: \$ _____

I am interested in a sponsorship opportunity. Please contact me with more information.

Name (Please Print) _____ Company Name _____

Street Address _____

City _____ State _____ Zip _____

Preferred Email Address _____ Telephone _____

Please return this form by 8.20.2010 to
Saint Mary's Hospital Foundation - 56 Franklin Street - Waterbury, CT 06706
Phone: 203.709.6390 Fax: 203.709.3272
Email: lindsev.spinella@stmh.org Web: www.stmhfoundation.org



It All Comes Back To You

Fund Summary Report Saint Mary's Phonathon 2010 Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh10phonathon	Saint Mary's Phonathon 2010 I	328	\$0.00	\$10,903.00	\$3,485.00	\$8,570.00	\$22,958.00
328 Gift(s) listed			\$0.00	\$10,903.00	\$3,485.00	\$8,570.00	\$22,958.00
315 Donor(s) listed							
	and Totals:						

**Appeal Summary Report
Saint Mary's Phonathon 2010 Fund**

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2010	Phonathon 2010	318	\$0.00	\$10,903.00	\$3,485.00	\$0.00	\$14,388.00
phraff10	Phonathon 2010 Raffle Prizes	10	\$0.00	\$0.00	\$0.00	\$8,570.00	\$8,570.00
	and Totals:		\$0.00	\$10,903.00	\$3,485.00	\$8,570.00	\$22,958.00

328 Gift(s) listed

315 Donor(s) listed

2010

 **Saint Mary's**
HOSPITAL
Foundation

56 Franklin Street, Waterbury CT 06706
Return service requested

PROFIT ORG
U.S. POSTAGE
PAID
WATERBURY, CT
PERMIT NO. 365

Save the Date for the 20th Annual Phonathon!

It's Spring again and time for our 20th Annual Phonathon. A volunteer will be calling you on either **Tuesday, May 18th** or **Thursday, May 20th** asking you to make a gift to continue the growth of the Patient Care Services at Saint Mary's Hospital.

Please be supportive of our volunteer effort to help us reach our goal of \$30,000 for Saint Mary's Hospital. Take a minute and tell us what you think!

Thanks in advance for your contribution, whether it be in dollars, time or thoughtful suggestions on how we can serve you and our community better.

Fund Summary Report SMH10 Tree Lighting Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh10tree-lighting	SMH10 Tree Lighting	148	\$0.00	\$0.00	\$6,220.00	\$0.00	\$6,220.00
	148 Gift(s) listed						
	146 Donor(s) listed						
	and Totals:		\$0.00	\$0.00	\$6,220.00	\$0.00	\$6,220.00

Appeal Summary Report
SMH10 Tree Lighting Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
treelighting10	Tree Lighting 12/10	147	\$0.00	\$0.00	\$5,220.00	\$0.00	\$5,220.00
treelightingsp10	Tree Lighting Sponsorship 12	1	\$0.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00
		and Totals:	\$0.00	\$0.00	\$6,220.00	\$0.00	\$6,220.00

148 Gift(s) listed

146 Donor(s) listed



October 2010

Throughout the Christmas season, we are often reminded how blessed our lives have been. This year, Saint Mary's Hospital invites you and your family to join us in our **5th Annual Christmas Celebration of Lights**. We will pause for a moment at the beginning of the holiday season and celebrate the special people who touch our lives today or whose memory we wish to honor at this merry time of year.

The Saint Mary's Hospital's Christmas tree will be filled with individual lights representing a love or a life. The Tree will remain lit throughout the Christmas season to remind us of those special people who have affected our lives.

We have planned a truly special event and hope that you will join us. Please mark your calendar for our **5th Annual Celebration of Lights Ceremony**:

Christmas Celebration of Light
Thursday, December 9, 2010
5:00 p.m. Saint Mary's Hospital Lobby

The Celebration of Lights Ceremony promises to be an evening that will warm your heart and will help all of us begin the Christmas season mindful of the many blessings God has bestowed on each of us. We will reflect on the love and the lives of each person honored. The Chase Collegiate School Choir will perform holiday songs as Ovens of France in Woodbury serves holiday goodies.

As in the past, the Foundation is offering a discount to Saint Mary's Hospital Staff. We are asking our donors for \$25 for each light however, wish to extend a special offer to you to purchase **single lights for \$5 each**. You may purchase the lights in honor of a special person in your life, in memory of someone who has gone to rest in eternal peace or perhaps one for each of them. You may specify the individual's name or you may choose to purchase a light anonymously.

Please plan to share with us in this joyous ceremony. You may RSVP for you and your family and friends by calling 203-709-6390 or emailing Lindsey.spinella@stmh.org.

With warm wishes for a cheerful holiday season,

The Staff of Saint Mary's Hospital Foundation

Appeal Summary Report Saint Mary's 2011 Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
aux11	Auxiliary Annual Appeal 201	2	\$0.00	\$0.00	\$750.00	\$0.00	\$750.00
cop	Crusade of Prayer	26	\$0.00	\$0.00	\$490.00	\$0.00	\$490.00
cor2011	Corporations 2011	4	\$0.00	\$0.00	\$9,000.00	\$0.00	\$9,000.00
dml0210	Direct Mail Piece February, 2	3	\$0.00	\$0.00	\$80.00	\$0.00	\$80.00
dml0610	Direct Mail Piece June, 2010	13	\$0.00	\$0.00	\$480.00	\$0.00	\$480.00
dml1010	Direct Mail Piece October, 20	234	\$0.00	\$0.00	\$11,159.00	\$0.00	\$11,159.00
estates2011	Estates 2011	2	\$0.00	\$0.00	\$3,835.96	\$0.00	\$3,835.96
fundam0810	Fundamentals August 2010	2	\$0.00	\$0.00	\$600.00	\$0.00	\$600.00
ind2011	Unsolicited 2011	30	\$0.00	\$0.00	\$7,468.22	\$0.00	\$7,468.22
mem2011	Remembrance 2011	25	\$0.00	\$0.00	\$1,500.00	\$0.00	\$1,500.00
phys2011	Phys. Annual Appeal 2011	20	\$0.00	\$0.00	\$4,175.00	\$0.00	\$4,175.00
rem2011	Past Remembrances 2011	6	\$0.00	\$0.00	\$2,025.00	\$0.00	\$2,025.00
and Totals:			\$0.00	\$0.00	\$41,563.18	\$0.00	\$41,563.18

367 Gift(s) listed

340 Donor(s) listed

**Fund Summary Report
Saint Mary's 2011 Fund**

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh2011	Saint Mary's 2011 Fund	367	\$0.00	\$0.00	\$41,563.18	\$0.00	\$41,563.18
		and Totals:	\$0.00	\$0.00	\$41,563.18	\$0.00	\$41,563.18

367 Gift(s) listed

340 Donor(s) listed

Fund Summary Report SMH11 Phonathon Fund

Fund ID	Fund Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
smh11 phonathon	SMH11 Phonathon Fund	144	\$788.00	\$3,435.00	\$1,220.00	\$0.00	\$5,443.00
144 Gift(s) listed							
139 Donor(s) listed							
	and Totals:		\$788.00	\$3,435.00	\$1,220.00	\$0.00	\$5,443.00

Appeal Summary Report SMH11 Phonathon Fund

Appeal ID	Appeal Description	Gift Count	Pledge Balance	Pledge Payments	Non-Pledge Gift	Gifts in Kind	Total
ph2011	Phonathon 2011	144	\$788.00	\$3,435.00	\$1,220.00	\$0.00	\$5,443.00

and Totals:

\$788.00

\$3,435.00

\$1,220.00

\$0.00

\$5,443.00

144 Gift(s) listed

139 Donor(s) listed

EXHIBIT 21

CT Hospital Association CHIME Data on Medicaid Cases

Emergency Department Non-Admissions		
Medicaid Discharges	2010	Rank
HCC	42,987	1
Yale-New Haven	34,435	2
CT Children's	32,503	3
Hartford	31,490	4
Saint Mary's	30,355	5
Bridgeport	29,456	6
Saint Francis	23,234	7
L&M	22,225	8
Backus	19,924	9
MidState	16,411	10
Waterbury	16,155	11
St. Vincent's	14,838	12
Stamford	14,455	13
Saint Raphael	13,634	14
Bristol	13,260	15
Middlesex	13,189	16
Danbury	13,108	17
Hungerford	11,322	18
Manchester (ECHN)	11,156	19
Griffin	8,123	20
Norwalk	8,035	21
Day Kimball	7,857	22
Windham	7,627	23
Milford	7,117	24
Rockville (ECHN)	6,095	25
Greenwich	5,067	26
Dempsey	4,331	27
Johnson Memorial	3,392	28
New Milford	2,920	29
Bradley Memorial	-	30
All Hospitals	464,701	

Source: Connecticut Hospital Association Decision Support Tool.

Note: Includes patients who visited the Emergency Department and were treated and released at that visit.

Medicaid Patients		
Medicaid Discharges	2010	Rank
Yale-New Haven	56,952	1
HCC	48,736	2
Hartford	42,079	3
Bridgeport	39,015	4
CT Children's	38,998	5
Saint Mary's	34,701	6
Saint Francis	33,473	7
I.&M	26,314	8
Backus	23,455	9
MidState	19,670	10
Waterbury	19,435	11
St. Vincent's	18,735	12
Saint Raphael	18,348	13
Stamford	17,623	14
Danbury	16,305	15
Bristol	15,167	16
Middlesex	15,113	17
Hungerford	13,262	18
Manchester (ECHN)	13,234	19
Norwalk	11,438	20
Griffin	9,560	21
Day Kimball	9,511	22
Windham	8,887	23
Dempsey	8,063	24
Milford	7,780	25
Rockville (ECHN)	6,903	26
Greenwich	6,061	27
Johnson Memorial	4,016	28
New Milford	3,380	29
Bradley Memorial	-	30
All Hospitals	586,214	

Source: Connecticut Hospital Association Decision Support Tool.

Note: Includes all patient types (inpatient, outpatient, ED non-admissions, newborns and unassigned).

EXHIBIT 22

Excerpt from OHCA's Report on the
Financial Status of Connecticut's
Short Term Acute Care Hospitals for FY 2009

**Annual Report on the
Financial Status of Connecticut's
Short Term Acute Care Hospitals
For Fiscal Year 2009**

	Total Expenses	Discharges	Cost per Discharge	CMI	Cost per Discharge CMI Weighted	Medicaid pymt to Cost	Medicaid Payer Mix	Private pymt to Cost
St. Marys	192,136,903	12,462	15,418	1,2607	12,229.57	0.65	17%	1.02
St. Vincents	341,987,000	21,743	15,729	1,3033	12,068.29	0.74	11%	1.28
Hungerford	100,402,359	6,320	15,886	1,2197	13,024.88	0.72	11%	1.03
Griffin	119,759,030	7,533	15,898	1,1159	14,246.72	0.81	9%	1.06
Windham	85,401,157	5,343	15,984	1,0201	15,668.80	0.82	14%	1.02
Bristol	129,657,399	7,846	16,525	1,0896	15,166.38	0.75	10%	1.18
Day Kimball	96,763,604	5,573	17,363	0,9223	18,825.68	0.78	13%	1.18
Midstate	173,269,841	9,955	17,405	1,1782	14,772.80	0.75	11%	1.39
Bridgeport	351,055,000	19,808	17,723	1,2670	13,988.07	0.77	21%	1.22
St. Francis	591,542,174	33,057	17,895	1,4504	12,337.71	0.71	13%	1.13
Waterbury	253,532,594	13,916	18,219	1,5294	11,912.37	0.68	13%	1.09
Milford	88,487,473	4,800	18,435	1,2994	14,187.23	0.53	7%	1.07
Hosp of Central Ct	371,908,113	20,067	18,533	1,1727	15,803.97	0.75	14%	1.30
Lawrence	277,530,735	14,857	18,680	1,1345	16,465.52	0.70	11%	1.31
Essent Sharon	49,683,361	2,658	18,692	1,0772	17,352.40	0.58	3%	1.18
Rockville	66,239,259	3,510	18,872	1,2246	15,410.41	0.74	11%	1.21
Manchester	170,017,184	8,989	18,914	1,2318	15,354.70	0.75	10%	1.17
Johnson	69,149,506	3,618	19,113	1,1511	16,603.80	0.69	8%	1.27
St. Raphael	483,940,125	24,505	19,749	1,4608	13,519.05	0.74	9%	1.16
Hartford	824,177,868	41,188	20,010	1,4092	14,199.65	0.72	13%	1.14
Norwalk	321,077,894	15,332	20,942	1,1313	18,511.17	0.60	9%	1.31
Backus	250,646,571	11,885	21,089	1,3048	16,162.88	0.62	11%	1.41
Yale New Haven	1,169,696,000	54,408	21,499	1,3654	15,745.28	0.63	18%	1.24
Danbury	442,588,744	20,497	21,593	1,1989	18,010.56	0.64	8%	1.36
Middlesex	305,762,315	13,964	21,896	1,1791	18,570.49	0.67	8%	1.31
Greenwich	283,532,000	12,931	21,927	1,0745	20,406.27	0.80	2%	1.21
Dempsey	256,225,183	9,587	26,726	1,5054	17,753.63	0.74	14%	0.99
Stamford	425,519,879	14,888	28,581	1,2140	23,543.16	0.61	9%	1.32
Childrens	185,535,330	6,359	29,177	1,4024	20,804.91	0.72	49%	1.16
New Milford	98,752,754	2,774	35,599	1,2982	27,422.13	0.69	4%	1.19

Summary:

- 1st lowest cost provider in state
- 3rd lowest cost provider in the state when comparing total cost per inpatient discharge CMI weighted
- 8th lowest paid Hospital, we receive only .65 of reimbursement for every \$1.00 spent to care for Medicaid patients
- 3rd highest Medicaid volume as a percentage of total volume of general hospitals (eliminated Childrens as a specialty hospital)

EXHIBIT 23

Utilization Management Policy

SUBJECT: Utilization Management Program

Last Revised Date: January 2005: May 2011

Last Reviewed Date: January 2005: May 2011

I. AUTHORITY

The Board of Trustees has the overall responsibility for the quality of care rendered by the Hospital and its Medical Staff. Inherent in this responsibility is the implementation of the Utilization Review function through the President and Chief Executive Officer. The Board of Trustees is informed through the Medical Executive Committee and by the President on the actual recommendations and actions taken as a result of Utilization Management activities. The President implements any change in policy as a result of discussion of such matters with the Board of Trustees. The Utilization Management Committee of the Medical Staff functions as the group to oversee and participate in the Utilization Review activities of the Hospital.

II. PURPOSE

To address overutilization, underutilization, and inefficient scheduling of resources. To ensure the most effective and efficient use of hospital services and facilities while maintaining the highest possible quality of patient care.

To provide for the timely review of the medical necessity of hospital admissions, continued stays, and other services rendered.

To study patterns of care that may be reviewed and evaluated against accepted criteria in order to measure and promote the appropriate utilization of hospital resources.

To make recommendations to the Quality Coordinating Council and Executive Management on matters of utilization of hospital resources and physician services commensurate with optimal patient care.

III. CONFIDENTIALITY

All Utilization Review activities shall be conducted in such a manner as to ensure confidentiality of patient information as per Saint Mary's Hospital HIPAA policies.

IV. UTILIZATION REVIEW

The Utilization Management Department of St. Mary's Hospital is responsible for performing the initial and continued stay reviews for designated patients of the Hospital. The Department will process all denials of benefits in accordance with the rules and regulations of Medicare, Medicaid, and other payors. It will maintain all necessary records. Also, the department will

participate in the efforts to conserve hospital resources utilized in patient care and will assist in the maintenance of high quality care in accordance with the requirements and objectives of JCAHO.

V. UTILIZATION MANAGEMENT COMMITTEE
A. The Utilization Management Committee shall be appointed in accordance with the Medical Staff Bylaws as a Committee of the medical staff to conduct and oversee Utilization Review activities.
B. The duties of the Committee are as follows:
1. To establish a criterion-based system to monitor and evaluate hospital-wide utilization of services.
2. To analyze pattern data resulting from the monitoring of utilization of patient care services, clinical indications for ancillary services, appropriate use of the special care setting or other levels of care.
3. To analyze case mix adjusted data for their financial and clinical implications.
4. To recommend effective strategies for improving the efficient use of hospital resources including the development or revision of hospital and/or medical staff policies and procedures and/or the development or modification of patient care alternatives.
5. To provide guidance to establish preadmission review and triaging programs.
6. To establish an interface with QUALIDIGM and other reviewing agencies and monitor their activities relating to the hospital.
7. To establish a mechanism to review quality of care issues arising during concurrent review.
8. To establish a system for early and effective continuing care planning.
9. To monitor the effectiveness of problem resolution.
10. To provide information resulting from pattern analysis to clinical departments.
11. To oversee the designation, training and performance of physician reviewers.
12. To approve the utilization management plan.
13. To report its activities to appropriate committees within the Medical Staff and Hospital organizations.
C. The Committee Chairperson shall be appointed by the Medical Staff Nominating Committee
D. The Committee composition shall include representation from the Medical Staff departments.
E. The Clinical Chairmen shall be ex-officio members of the Utilization Review Committee. As such, they shall assist the Committee in the execution of its responsibilities where indicated.
F. No physician may participate in the review of a case in which he/she is, or has been, professionally involved.
G. The hospital conflict of interest policy is applied to all persons, physicians, and non-physicians, who are employed by the Hospital, or who have responsibilities that would require them to disclose any possible conflict of interest. Such disclosure is required to be documented annually and filed in the Office of the President and Chief Executive Officer.
H. Physician committee members shall be available to review admissions and continued stays at the request of the Nurse Care Managers. The purpose of these reviews is to determine the patient's level of care, to confer with the attending physician, if necessary, and to provide the nurse reviewer with documentation of the review activities.

I. Only licensed physicians shall make adverse determinations on level of care matters.
J. The Committee shall meet regularly and minutes shall be kept on file in the Medical Staff Office.
K. The Committee minutes shall be submitted to the Medical Executive Committee.

VI. RESPONSIBILITIES OF HOSPITAL ADMINISTRATION
A. Administration shall assume responsibility for the implementation of policies and procedures; recommended by the Utilization Management Committee and approved by the President/CEO or designee.
B. Administration shall provide hospital specific data for review and use by the Committee.
C. The Utilization Management Department shall be responsible for the provision of support functions as well as the maintenance of related data for the Committee.
D. A log shall be maintained in the Utilization management Department of physician reviewer assignments and activities.

VII. METHODS OF REVIEW
All hospital in-patients are subject to review. Source of payment is not the sole determinant in identifying patients for concurrent review. The review methods shall be intensified in areas where the most effectiveness can be derived. These areas are identified through data and experience that indicate review is needed. The Utilization Management Committee shall monitor admissions, continued stays, and utilization of hospital resources on a concurrent basis through the Utilization Management Department. All decisions made by the committee and its representatives shall be based upon diagnosis, problem related criteria, and/or level of care criteria.
Criteria for admission and continued stay review shall be based upon the Interqual ISD criteria or criteria provided by external review agencies/payors.
Reviews shall be performed based on intensity of service and in accordance with managed care contractual requirements.
Concurrent Review
1. Upon admission the attending physician shall complete the Admission Note that shall also suffice as the first progress note. The Admission Note shall include the following information:
(1) Admitting diagnosis
(2) Justification for admission
(3) General plan for hospital treatment
(4) Whether assistance is needed for discharge planning
2. The Nurse Care Manager shall review all appropriate patient charts within one working day of admission to determine the medical necessity and appropriateness of admission.
3. The Nurse Reviewers will follow all criteria outlined in the Interqual system for the review of patients.
4. The Nurse Reviewers shall review all cases previously assigned for criteria of a continued stay, and for the purposes of assisting the discharge planning process. If continued stay is indicated, an appropriate next review date shall be assigned.
5. If the indications for admission or continued stay do not meet criteria, the Nurse Care Manager shall refer the case directly to the appropriate Physician Advisor. If the Physician

<p>Advisor believes the admission or continued stay is necessary, he will advise the Nurse Reviewer to assign a specific next review date.</p>
<p>6. If the Physician Advisor has reason to believe that the admission or continued stay is not necessary, he will communicate with the attending physician requesting clarification of the treatment plan from the attending physician.</p>
<p>7. With respect to Medicare patients, a notice of noncoverage can be issued any time during the patient's stay. An Admission Notice of noncoverage must be issued within one working day of the admission and the patient will be responsible for all charges. When notice of noncoverage for a continued stay is issued, the patient becomes responsible for all charges on the third day following proper notification.</p>
<p>If the attending physician agrees that hospitalization is not necessary, a denial notice will be sent to the patient and/or patient representative, the attending physicians and the Business Office by the Utilization Management Coordinator.</p>
<p>1) If the patient remains in the hospital and is competent, the denial letter is brought to the patient and the appeal process is explained by the Utilization Management Coordinator. A signed copy of the acknowledgment of the receipt of notice will be kept in the Utilization Review Office.</p>
<p>2) If it is more appropriate to forward the notice to the patient's representative, the denial letter will be mailed to the representative by registered mail. The signed receipt will be kept on file in the Utilization Review Office. Reasonable attempts will be made to notify the patient's representative by telephone on the day that the denial is issued.</p>
<p>8. If the attending physician does not concur with the adverse determination of the Physician Advisor, The Nurse Care Manager shall request an immediate review by QUALIDIGM. Concurrence by QUALIDIGM with the hospital's determination serves in lieu of the attending physician's agreement.</p>
<p>1) If QUALIDIGM determines that the continued stay is medically unnecessary, a denial notice will be issued by QUALIDIGM to the attending physicians, the Business Office, and Utilization Management Coordinator.</p>
<p>9. Documentation of all denials whether issued by the hospital or by external entities performing utilization review will be maintained in the Utilization Management Department.</p>
<p>10. Certain dental, cosmetic, and other exempt diagnoses are not covered by Medicare. If patients are admitted by their physicians with these diagnoses, they will be given notification in writing on admission that they are responsible for or all incurred charges during their hospitalization. These patients will be subject to retrospective review by QUALIDIGM.</p>
<p>11. Clinical pathways serve, among other things, as a utilization review tool, specifying the rationale for continued stay in the hospital. They serve as guidelines for all staff, including the Nurse Care Manager, for determination of appropriate levels of care.</p>
<p>12. External Review</p>
<p>a. The Nurse Care Manager will provide a faxed or telephonic review information when a contract exists between St. Mary's Hospital and the outside reviewing agency.</p>
<p>b. On-site review will be conducted by external review organizations when a contract exists between St. Mary's Hospital and the outside reviewing agency which specifically allows for such review and in accordance with hospital policy.</p>

<p>VIII. Concurrent Monitoring</p>
<p>Concurrent review is performed in order to determine the appropriateness and clinical necessity</p>

of continued stay within the hospital.

The Nurse Care Manager shall conduct concurrent monitoring of patient activity in areas identified for study by the Utilization Management Committee or other hospital committees. This includes reviews of utilization of hospital resources. The statistical data shall be presented to the appropriate committee(s) for analysis and recommendations.

IX. Focused Reviews

Focused reviews shall be initiated by the Utilization Management Committee on identified problem areas. Reviews may be retrospective and be driven by findings of external agencies. Recommendations resulting from reviews shall be presented to the appropriate hospital and medical staff committees/departments within the organization.

X. Identification of Utilization Related Problems

A. The following aspects of Utilization Review are considered in the monitoring and evaluation of Utilization Review activities.

1. Appropriateness and medical necessity of admissions,
2. Whether the level of care or service needed by the patient can be provided by St. Mary's Hospital,
3. The clinical necessity of continued stay,
4. The appropriateness, clinical necessity and the timeliness of support services provided directly by St. Mary's Hospital or through referral contacts.

B. St. Mary's Hospital utilizes a variety of hospital activities to identify opportunities to improve Utilization Review processes and outcomes including the following:

1. Quality Coordinating Council findings and recommendations
2. Peer review and other medical staff findings, recommendations and referrals
3. Referrals from the Utilization Management Committee members who represent their clinical departments
4. Departmental quality improvement programs in the professional support services
5. Clinical pathway variances and LOS data
6. Staff meetings of the Nurse Case Managers

Once problems are identified, review may take the form of concurrent or retrospective monitoring at the appropriate committee level.

XI. Performance Assessment/Improvement

The Utilization Management Department interfaces with all hospital services and acts as the liaison to all departments regarding hospital-wide clinical quality assessment and improvement.

When potential quality of care issues are identified by the Nurse Care Manager, the matter is referred to a Physician Advisor. In a situation, where the Physician Advisor concurs that there appears to be a quality issue, the matter is referred to the Chairman of the appropriate department, who shall either review and resolve the issue or review and refer the case through the departmental peer review process.

XII. Third Party Payors

The procedure and activities of the Utilization Management Committee shall be in compliance with State and Federal regulations. Data shall be made available as required to external agencies

and external review organizations as appropriate.

XIII. Resolution of Denial of Care Conflicts

St. Mary's Hospital and Medical Staff acknowledges their professional and ethical responsibilities for providing care with their capability, mission and applicable law and regulation. When disagreements occur between external review agencies/payors and the hospital attending physician, treatment and discharge decisions are made in response to the care required by the patient, regardless of the external agencies recommendation.

Consistent with the contractual agreement between the hospital and the external agency, the agency notifies the patient, physician and/or hospital of its decision to withhold payment for service. The Nurse Care Manager and attending physician serve as advocates for the patient, providing any additional clinical information that the external agency might require in order to make informed decisions concerning coverage. The appropriate appeals process is followed in accordance with the existing contractual agreement between the hospital and the agency. The process is tracked with the Utilization Management Department, as are outcomes.

_____	_____
Vice Chairman of the Board	Date
_____	_____
President and CEO	Date
_____	_____
Chief of Staff	Date

Creation Date: July 1976

Key Content Expert: Sandra Roosa

JCAHO Reference: CC 1.0.003

EXHIBIT 24

Saint Mary's Hospital Charity Care Policy

St. Mary's Hospital

POLICY # 425.1

Subject: **Charity Care Policy**
Effective Date: 9/1/04
Revised: 11/1/10
Replaces: Financial Assistance Policy

DEFINITIONS

Self-Pay Patient

A Self-Pay patient is one who is financially responsible, either personally or as a guarantor, for the payment of the charges associated with the health care services provided by Saint Mary's Hospital (SMH).

Uninsured Patient

An uninsured patient is one:

1. Whose income is at or below 400% of the Federal Poverty Income Levels;
2. Who has applied and been denied eligibility for any medical or health care coverage provided under any Medicaid or general assistance program;
3. Who is not eligible for coverage under Medicare, Tricare, or any other Federal programs, or privately sponsored health or accident insurance, including, but not limited to, workers' compensation, settlements or judgments arising from suits, claims or proceedings involving motor vehicle accidents or alleged negligence;
4. Who is liable for one or more hospital charges.

Charity Care

Those healthcare services provided by SMH to uninsured or self-pay patients at reduced or discounted rates. The term Charity Care may also be referred to as free or discounted care.

Cost of Providing Services

The cost of providing services means the hospital's published charges at the time of billing multiplied by the hospital's most current relationship of costs to charges as taken from the hospital's most recent annual financial filing with the Office of Health Care Access.

Income

Income is the sum of all the wages, salaries, interest payments, rents and other forms of earnings received on a weekly, monthly or yearly basis.

Insured Patient

An Insured patient is one:

1. Whose income is above 400% of the Federal Poverty Income Levels;
2. Who has not applied or refuses to apply for any medical or health care coverage provided under any Medicaid or general assistance program;
3. Who is eligible for coverage under Medicare, Tricare, or any other Federal programs, or privately sponsored health or accident insurance, including, but not limited to, workers' compensation, settlements or judgments arising from suits, claims or proceedings involving motor vehicle accidents or alleged negligence;
4. Who is liable for one or more hospital charges.

POLICIES

1. All self-pay accounts (Financial Class P) will be eligible for a 25% discount off of the published charges, regardless of their income or assets. Accounts must be in the Financial Class (FC) P for the discount to be taken.
2. In order to be eligible for Charity Care, the patient must be uninsured as defined in this document.
3. Whenever possible, eligibility for Charity Care should be determined prior to rendering the service.
4. Elective and elective-cosmetic procedures are not eligible for charity care.
5. Insured patients with balances, due to deductibles, co-payments or co-insurance are not eligible for charity care.
6. Insured patients with balances that were denied by their insurance as "non-covered service" are eligible to apply for charity care on those balances.
7. Application for Charity Care (**Exhibit A**) can be obtain from SMH financial counselors or Patient Financial Services (PFS) Representatives.
8. A patient may request Charity Care at any time in the billing and collection process. If the hospital, its collection agent, or its attorney becomes aware that the patient or guarantor requests charity care, the collection process will be promptly discontinued while the eligibility status of the patient or guarantor requesting assistance is determined.
9. Incomplete applications and falsified applications will not be considered eligible for Charity Care.
10. A patient who has falsified the charity care application may not re-apply for Charity Care with accounts existing at the time of falsification, but may re-apply for newly incurred accounts.
11. Patients will be notified in writing within 30 days, from the date the completed application is received, regarding qualification for charity care, the remaining balance due, if any, and expected re-payment terms.
12. Patients seeking Charity Care, who are under sponsorship (Immigration and Naturalization Services) of relatives are required to provide income and asset verifications of the sponsor, in order to determine eligibility for Charity Care.

13. The applicant's gross income will be used in determining eligibility for Charity Care.
14. All third-party resources and non-hospital financial aid, including, but not limited to Medicaid, must be exhausted before any Charity Care can be granted. Failure to cooperate or refusing to apply for Medicaid will also disqualify the patient from obtaining charity care.
15. SMH will utilize the Income and Asset Worksheet (**Exhibit B**) in conjunction with the most recent Federal Poverty Income Levels (**Exhibit C**) as a basis for determination of eligibility.
16. The percentage discount that is approved will be applied to all existing accounts with debit balances. Accounts may also be returned from bad debt status if financial circumstances warrant and discount may be applied. Accounts on which judgments and liens have been levied are not eligible for Charity Care.
17. Patients found eligible for Charity Care will be eligible for said care for any balance due at the time of the application and any non-elective services within ninety (90) days after the date the application was filed. SMH reserves the right to require a new application for any new services received after the initial approval.
18. For uninsured patients whose income are at or below 350% of the Federal Poverty Income Levels, SMH will reduce their bill by sliding scale discount or to "cost of providing services", as established by the Office Of Health Care Access (OHCA), whichever is greater.
19. Uninsured patients, whose income range between 351% and 400% of the Federal Poverty Income Levels, will be eligible for an additional charity care reduction of 20% off of their remaining account(s) balance(s).
20. Patients who do not qualify for reduction to cost or charity care may qualify for an additional 5% - 10% discount by contacting our Self-Pay Collectors. Requests for this discount must be made before the account is sent to a collection agency and payment must be received within 10 days of the agreement.
21. Patients who have entered into payment arrangements, and are consistent with their payments, may be offered an additional discount, with the approval of management.
22. Signage, in English, Spanish, Portuguese and Albanian, will be placed in the following areas notifying patients of the availability of Charity Care.
 - a. Registration
 - b. ED
 - c. All Social Services Departments
 - d. PFS/Cashier's Office
 - e. Lobby
23. Signs will be in 48 or 72 point font.
24. A one page summary (**Exhibit F**) , in English, Spanish, Portuguese and Albanian describing our Charity Care Policy and how to apply for them will be made easily accessible in the following locations:
 - a. Registration
 - b. ED

- c. PFS/Cashier's Office
 - d. All Social Services Departments
 - e. Lobby
25. Charity Care Applications will be provided to SMH collection agencies for distribution to SMH patient as needed.
 26. SMH patient statements will include statements in English, Spanish, Portuguese and Albanian, including the availability of Charity Care and whom to contact to contact our financial counselors to apply.
 27. SMH financial counselors or authorized PFS personnel will document all decisions regarding the amount of Charity Care awarded by signing and dating the financial assistance application.
 28. Separate transaction codes will be designated for write-offs pertaining to charity care, administrative write-offs and other reductions. **(See Exhibit E).**
 29. Discounted amounts and write-offs will also be clearly stated in the notes section of the patient's record in the billing system.
 30. Documentation will be maintained in a central file for seven years.
 31. To assure that Charity Care discounts are appropriately considered, the following approval levels will be followed:

a. Free/Discounted Amount	Appropriate Personnel
b. \$1 - 1,000	Financial Counselors/Designated PFS Personnel
c. \$1,001 - 5,000	Manager - Self Pay Collections
d. \$5,001 and up	Director of PFS/CFO
 32. A monthly review of transaction codes will be conducted by the Director of PFS or designee. The review will consist of a general reasonableness review, as well as an actual review of a number of transactions chosen at random, where paperwork will be examined for proper authorization, documentation and signatures.
 33. Because financial counseling is specific to an individual's situation, it is anticipated that exceptions to the policy will occur. These will be authorized and approved by the President of SMH or his designee.
 34. Accounts not eligible for Charity Care will be returned to the appropriated self-pay collection financial class and pursued for payment.
 35. On a bi-weekly basis Patient Financial Services Department will run a report of all outstanding patient account balances, in financial class P, which will be forwarded to an outside vendor (currently Century Financial Services) for verification of asset. Patients that are classified as having no assets will be written off to charity care. These must be authorized and approved by the Vice President of Finance/CFO or his designee. **See Exhibit G for detailed procedure.**
 36. Saint Mary's provides free x-rays and basic lab services, for patients that receive primary care services from the Malta House Van. Eligible patients are given a "Malta House" card. These services are written off at the time of billing.

PROCEDURE

1. Eligibility for Charity Care is determined on a case by case basis by the SMH financial counselors.
2. Patients may apply for charity care by obtaining an application from SMH Financial Counselors or Patient Financial Services Representatives, or our collection agencies.
3. Completed and signed applications must be returned to the Financial Counselor within 15 days, with required verifiable documentation. Verifiable documentation required of the applicant will include:
 - Copies of last four (4) pay stubs;
 - If self-employed - Previous year's Federal Tax Return with all schedules attached;
 - If pay stubs are not available - Signed statement from applicant's employer on employer letterhead stating the applicant or other household member's gross weekly earnings;
 - Current statement from all accounts (saving, checking, stocks, bonds, 401k etc);
 - Information used to apply for state or local assistance or information obtained by the SMH Financial Counselors to determine eligibility for state assistance.
4. The financial counselors will first determine whether the patient qualifies for medical assistance from other existing sources, such as Medicaid and other state or federal programs.
5. Based upon the information provided on the Charity Care Application, a determination will be made regarding the type of assistance provided and the amount of the reduction.
6. The financial counselors will use the Income and Asset Guidelines worksheet (**see Exhibit B**) to determine patient's eligibility for Charity Care.
7. Patient accounts that are approved for Charity Care will be written off by using the applicable transaction code list (**Exhibit E**).

Work Flow

1. Self-Pay Patient presents at SMH.
2. SMH Financial Counselor assess patient for state assistance (Medicaid/SAGA/HUSKY) eligibility.
3. If patient is presumed to be eligible, an application is completed and all accounts, which may be covered from this pending application, are changed to Financial Class L.
4. Patients not eligible for state assistance, are given a Charity Care Application, to complete and return within 15 days.
5. If the application is not returned, the account(s) continues on through the self-pay collection process.

6. After the completed application is received all related account(s) will be changed to Financial Class K.
7. If patient is not defined as uninsured, the account(s) is/are removed from Financial Class K and returned to Financial Class P, where they would continue through the self-pay collection process.
8. If patient is defined as uninsured and the income is at or below 350% of the Federal Poverty Income Levels balance of all affected accounts will be reduced to the "cost of providing services" or given a sliding scale discount, whichever is greater.
9. If patient's family income is greater than 350% of federal poverty level, but equal to or less than 400% of FPL, a 20% discount will be granted.
10. If, after all eligible discounts are applied, a patient due balance remains, the account(s) will be moved back to Financial Class P and the self-pay collection process will continue.
11. A twenty five percent (25%) discount could be offered to all self-pay patient accounts, by either SMH Financial Counselors or SMH Self-Pay Collectors, at any time during the self-pay cycle. Accounts that have been placed with a collection agency are not eligible for this discount. This is considered a prompt pay discount, not charity care. Eligibility for this discount requires that payment of full balance MUST be received within a specified time limit of maximum 30 days.

Attachments:

- Exhibit A: Financial Assistance Application
- Exhibit B: Income and Asset Guideline
- Exhibit C: Federal Poverty Income Levels.
- Exhibit D: Sliding Scale Discount
- Exhibit E: Write Off Transaction Codes
- Exhibit F: Patient Flier
- Exhibit G: Asset Verification Procedure



EXHIBIT A: FINANCIAL ASSISTANCE APPLICATION

Patient Name : _____ Date: _____

Current Address: Street _____

City, State, Zip _____

Phone # _____

Account(s)#: _____

Employer's Name & Address: _____

Spouse's Employer and Address: _____

Medical Insurance: _____ Policy/Claim#: _____

Insurance Company Name: _____

Address: _____

Number of Dependents (list ages, including self): _____

Monthly Income (List source/amount): Patient's income _____

Spouse's income _____

Other income _____

Monthly Expenses:

Rent/Mortgage: _____

Light: _____ Heat: _____ Food: _____

Cable: _____ Car Pymt: _____ Car Ins: _____

Cell Phone: _____ Entertainment: _____ Misc: _____

Savings Acct # _____ Balance: _____

Checking Acct # _____ Balance: _____

Stocks: _____ Bonds: _____ Mutual Funds: _____ Annuities: _____ 401K _____

I hereby attest that the above information is true and accurate. I understand that in order for me to be eligible, the information contained herein must be verified. I agree to provide St. Mary's Hospital with the necessary verifications, and, if requested by the Hospital, I agree to cooperate and follow through with application for State and/or Federal assistance as well as any other third party payors.

Patient/Guarantor: _____ Date: _____

Financial Counselor: _____ Approved by: _____ Date: _____

- All income must be verified. Please provide last 4 pay stubs or bank statement if direct deposit.
- If you are self-employed, please provide a complete copy of last year's complete Income Tax Return (include Schedule C).
- Provide most recent statement for bank account, stocks, bonds etc

EXHIBIT B: INCOME AND ASSETS GUIDELINE WORKSHEET

For use by hospital personnel only	Patient Name _____
Admit Date _____	Patient ID Number(s) _____
Date of application _____	Total charges _____
Requested by _____	Insurance paid _____
Welfare paid _____	Guarantor paid _____
Bill date _____	Balance (A) _____

Liquid Assets:

Savings/Checking account	_____
Certificates of deposit	_____
Stocks/bonds	_____
Other	_____
Total (B)	_____

Allowable assets (Equal to 6 months gross income) (C)	_____
---	-------

Total disallowed (D)	_____ (B) – (C)
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SUMMARY

(A) Account balance	_____
(D) Total disallowed	< _____ >

Adjusted balance to be Considered for charity Benefits	_____ (A) – (D)
--	-----------------

(E) Total monthly gross income	\$ _____
(F) Total monthly expenses allowed	\$ _____
Applied Income (E-F)	\$ _____

Discount percentage approved	_____
Amount of write off recommended	_____

Documentation attached (circle all that applies)

1. Income verification (Last four pay stubs)
2. Tax return
3. Medicaid denial
4. Asset verification

Maximum Monthly Expenses allowed:

Rent/Mortgage	-	\$500.00
Food	-	\$ 75.00/person/household (maximum allowed \$375.00)
Utilities	-	\$150.00

EXHIBIT C
FEDERAL POVERTY INCOME LEVELS
(2010)

FAMILY SIZE	100%	250%	350%	400%
1	10,830	27,075	37,905	43,320
2	14,570	36,425	50,995	58,280
3	18,310	45,775	64,085	73,240
4	22,050	55,125	77,175	88,200
5	25,790	64,475	90,265	103,160
6	29,530	73,825	103,160	118,120
7	33,270	83,175	116,445	133,080
8	37,010	92,525	129,535	148,040
Each Additional person add	3,740	9,350	13,090	14,960

**EXHIBIT C
SLIDING SCALE DISCOUNT**

Between 250% and 400% of Poverty Guidelines

	250%	265%	280%	295%	310%	325%	340%	350%	400%	2010 FPL
	100%	90%	80%	70%	60%	50%	40%	30%	20%	
1	\$ 27,075	\$ 28,700	\$ 30,324	\$ 31,949	\$ 33,573	\$ 35,198	\$ 36,822	\$ 37,905	\$ 43,320	\$ 10,830
2	\$ 36,425	\$ 38,611	\$ 40,796	\$ 42,982	\$ 45,167	\$ 47,353	\$ 49,538	\$ 50,995	\$ 58,280	\$ 14,570
3	\$ 45,775	\$ 48,522	\$ 51,268	\$ 54,015	\$ 56,761	\$ 59,508	\$ 62,254	\$ 64,085	\$ 73,240	\$ 18,310
4	\$ 55,125	\$ 58,433	\$ 61,740	\$ 65,048	\$ 68,355	\$ 71,663	\$ 74,970	\$ 77,175	\$ 88,200	\$ 22,050
5	\$ 64,475	\$ 68,344	\$ 72,212	\$ 76,081	\$ 79,949	\$ 83,818	\$ 87,686	\$ 90,265	\$ 103,160	\$ 25,790
6	\$ 73,825	\$ 78,255	\$ 82,684	\$ 87,114	\$ 91,543	\$ 95,973	\$ 100,402	\$ 103,355	\$ 118,120	\$ 29,530
7	\$ 83,175	\$ 88,166	\$ 93,156	\$ 98,147	\$ 103,137	\$ 108,128	\$ 113,118	\$ 116,445	\$ 133,080	\$ 33,270
8	\$ 92,525	\$ 98,077	\$ 103,628	\$ 109,180	\$ 114,731	\$ 120,283	\$ 125,834	\$ 129,535	\$ 148,040	\$ 37,010

EXHIBIT E**Write Off Transaction Codes**

A	8820 REDUCTION TO COST IP SELF PAY	Charity
A	8821 REDUCTION TO COST IP SELF PAY	Charity
A	8823 REDUCTION TO COST IP SELF PAY	Charity
A	8824 REDUCTION TO COST IP SELF PAY	Charity
A	8830 REDUCTION TO COST OP SELF PAY	Charity
A	8831 REDUCTION TO COST OP SELF PAY	Charity
A	8833 REDUCTION TO COST OP SELF PAY	Charity
A	8834 REDUCTION TO COST OP SELF PAY	Charity
A	8840 FREE SERVICE IP SELF PAY	Charity
A	8841 FREE SERVICE IP SELF PAY	Charity
A	8842 SLIDING SCALE IP SELF PAY	Charity
A	8843 FREE SERVICE IP SELF PAY	Charity
A	8844 FREE SERVICE IP SELF PAY	Charity
A	8845 SLIDING SCALE IP SELF PAY	Charity
A	8846 SLIDING SCALE IP SELF PAY	Charity
A	8847 SLIDING SCALE IP SELF PAY	Charity
A	8850 FREE SERVICE OP SELF PAY	Charity
A	8851 FREE SERVICE OP SELF PAY	Charity
A	8852 SLIDING SCALE OP SELF PAY	Charity
A	8853 FREE SERVICE OP SELF PAY	Charity
A	8854 FREE SERVICE OP SELF PAY	Charity
A	8855 SLIDING SCALE OP SELF PAY	Charity
A	8856 SLIDING SCALE OP SELF PAY	Charity
A	8857 SLIDING SCALE OP SELF PAY	Charity
A	8871 CHARITY CARE W/O NO ASSESTS	Charity
A	8872 MALTA HOUSE - CHARITY VAN	Charity
A	9110 FREE SERVICE - WHAP	Charity

Exhibit G

Procedure for Transferring Account to Vendor for Asset Search

- On a bi-weekly basis a report will be generated by IS department. This report will list accounts in financial class "P" that have aged 60 days and over. This report will be used to determine the accounts to be transferred to Century Financial Services (current vendor).
- After the report is generated, it will be forwarded to the Self-Pay Department where it will be sent via the FTP Voyager (This is the software used to transmit bad Debt accounts to Century Financial Services).
- These accounts MUST be returned by the vendor within 14 days from the date they were transmitted (emailed).
- Accounts that are verified as having no assets (that which can be accessed for the payment of outstanding charges) will be forwarded to the Self-Pay Lead and will be held until they have appropriately aged (120 days), at which time they will be written off as charity care.
- These accounts will be written off to TRAN Code 8871 – Charity Care W/O No Assets.
- This file will be saved on the PFS share drive under: Charity Care Write off No Asset.
- Accounts that are verified as having substantial assets will be returned to the normal billing cycle, for follow-up by the self pay staff, or if they have already reached the end of the billing cycle, they will be purged to bad debt and transferred to the system assigned collection agency.

EXHIBIT 25

Curriculum Vitae

List of Curriculum Vitae

LHP

Daniel J. Moen, Chief Executive Officer
Tom Frazier, Executive Vice President, Administration
William J. Hibbitt, Executive Vice President and Chief Financial Officer
Rebecca Hurley, Executive Vice President, General Counsel, and Secretary
James B. Shannon, Executive Vice President, Development
Patricia G. Ball, Ph.D., Senior Vice President, Strategic Development & Public Affairs
Lawrence V. Schunder, Senior Vice President and Chief Information Officer
Paul A. Kappelman, Division President
David G. Nosacka, Division Vice President
Brady D. Sturgeon, Vice President, Development

Saint Mary's– Senior Leaders

Chad W. Wable, FACHE, President and Chief Executive Officer
Dean F. Vitarisi, CPA, Interim Chief Financial Officer (until June 17, 2011)
John Sharp, Interim Chief Financial Officer (beginning August 1, 2011)
Stephen T. Holland, M.D., Chief Medical Officer
Joseph T. Connolly, Chief Marketing and Government Relations Officer
Carolyn N. Orrell, Chief Information Officer
Elizabeth B. Bozzuto, R.N., Vice President, Surgical Services
Michael A. Novak, Vice President of Operations and Ambulatory Services
Sandra K. Roosa, M.S., R.N., Vice President of Patient Care Services
Kyle F. Jurczyk, CPA, Interim Finance Manager
Barbara Sullivan, Director of Corporate Compliance / Risk Management
M. Clark Kearney, Vice President of Human Resources

Saint Mary's– Physician Leaders

S. Mark Albini, M.D., Chairman, Department of Obstetrics and Gynecology
Joseph Robert Anthony, M.D., FACC, Section Chief, Cardiology
Gregory K. Buller, M.D., FACP, Chairman, Department of Medicine
Peter James Jacoby, M.D., FACEP, Chairman, Department of Emergency Services
Juan A. Sanchez, M.D., MPA, Chairman, The Stanley J. Dudrick Dept. of Surgery
Steven Schneider, MD, President, Trinity Medical Group
James Uberti, M.D., Chief of Staff

LHP

Curriculum Vitae

DANIEL JOSEPH MOEN

LHP HOSPITAL GROUP, INC., Plano, Texas <u>Chief Executive Officer</u>	2/08	-	Present
TRIAD HOSPITALS, INC., Dallas, Texas <u>Executive Vice President, Development & Management Services</u>	10/01	-	8/07
HIP HEALTH PLAN of FLORIDA, Hollywood, Florida <u>Co-CEO</u> 200,000 member HMO and PPO in South Florida	1/01	-	9/01
HEALTHLINE MANAGEMENT, INC., St. Louis, Missouri <u>Chairman, CEO</u> Hospital based physician outsourcing company	1/00	-	12/00
<u>Healthcare Consultant</u> Ft. Lauderdale, Florida	8/98	-	12/99
COLUMBIA/HCA HEALTHCARE CORP., Nashville, Tennessee <u>President, Columbia Sponsored Network Group</u> Responsible for the company's managed care subsidiaries including Value Rx, Value Behavioral Health and CCN....\$2 billion annual revenue	3/98	-	7/98
COLUMBIA/HCA HEALTHCARE CORP., Nashville, Tennessee <u>President, Florida Group</u> Responsible for 55 Columbia/HCA hospitals, 13,400 beds 33 ambulatory centers \$4 billion annual revenue and 45,000 employees in Florida	3/94	-	2/98
COLUMBIA HOSPITAL CORPORATION, Miami Lakes, Florida <u>President, South Florida Division</u> Responsible for 14 Columbia Hospitals (4,193 beds) in South Florida	10/91	-	2/94
HUMANA, INC., Miami, Florida <u>Vice President, South Florida Market</u> Responsible for six Humana Hospitals (1,742 beds) and Humana Health Care Plans in South Florida Region (HMO 240,000 members/ PPO 53,000 members)	9/89	-	9/91
HUMANA, INC., Miami, Florida <u>Vice President, Florida Region</u> Responsible for Humana Health Care Plans in six markets in the State of Florida (HMO 410,000 members/ PPO 140,000 members)	9/88	-	8/89
HUMANA MEDICAL PLAN HMO, Miami, Florida <u>Executive Director</u> Formerly International Medical Centers, HMO. Responsible for 210,000 members in South Florida and Tampa Markets	1/87	-	8/88
HUMANA Hospital, 200 beds, del Pedregal, Mexico City, Mexico <u>Executive Director</u>	6/85	-	12/86
HUMANA Hospital, St. Lukes, (200 beds), Richmond, Virginia <u>Executive Director</u>	11/82	-	5/85
HUMANA Hospital, St. Lukes, (79 beds), Bluefield, West Virginia <u>Executive Director</u>	6/80	-	10/82
<u>Education:</u>			
Southern Methodist University, Dallas, Texas, Master of Business Administration	9/75	-	12/76
Oklahoma University, Oklahoma City, Oklahoma, Master of Public Health	9/74	-	8/75
Oklahoma University, Norman, Oklahoma, Bachelor of Science	9/71	-	8/74

THOMAS H. FRAZIER, JR.

PROFILE

Healthcare Management

Healthcare management executive with over 25 years of diverse experience in short- and long-term strategic planning, operations management, physician relations and alignment, new service development, service consolidation, budgeting and cost control, and financing. Effective communicator and manager, with the ability to promote change in an open and positive work environment.

SIGNIFICANT ACCOMPLISHMENTS

- Led successful implementation of outsourced property management agreement resulting in increased rental revenue and enhanced internal controls over all MOB leasing activities.
- Led successful implementation of Ethics and Compliance program and adherence to Corporate Integrity Agreement with the OIG.
- Team leader for IT&S strategic planning, RFP process, and eventual negotiation and contract for complete systems conversions and outsourcing agreements for all 53 Triad hospitals.
- Redesigned and negotiated corporate benefit plans and vendor contracts resulting in savings in excess of \$15 million.
- Successfully marketed and executed multiple successful confidential offerings to physicians in limited partnerships related to new medical centers and ambulatory surgery centers.
- Assisted corporate team in design of prototypical acute care hospital for secondary, suburban markets requiring approximately 100-200 beds.
- Negotiated multiple economic incentive and tax abatement agreements with cities and other municipalities resulting in savings in excess of \$10 million
- Planned and executed the consolidation of two large, urban, acute care hospitals in an over-bedded market and increased earnings 72%.
- Assisted in development of new Independent Practice Association (IPA) and integration into market Physician Hospital Organization (PHO).
- Redesigned organization and cost structure including implementation of patient-centered care program resulting in 10% profit improvement and increased customer satisfaction.
- Increased profitability 840% in 3.5 years through effective cost reduction and revenue enhancement programs.
- Assisted in successful implementation of corporate pilot program in operational restructuring of patient care service delivery.
- Implemented pilot program for new outcomes management system and developed data base pilot program to decrease unnecessary medical testing.

PROFESSIONAL EXPERIENCE

LHP HOSPITAL GROUP, INC., Plano, TX

1/2008 – Present

Private equity and management owned healthcare company. LHP currently owns and operates 3 hospitals and 1 surgery center in joint ventures with not-for-profit healthcare organizations, generating \$450 million in revenues and employing 2500 people.

Executive Vice President of Administration, (1/2008 – Present)

- As a member of the executive management team, participatory responsibility for all aspects of the business including:
 - ◆ Strategic Planning
 - ◆ Growth and Development
 - ◆ Hospital Field Operations
 - ◆ Financial Operations and Earnings Results
 - ◆ Company Policy Development and Management

- ◆ Regulatory Compliance
- Reporting directly to the CEO, direct responsibilities include executive management of company-wide support services including:
 - ◆ Human Resources including all benefit programs, compensation, and employee/labor relations
 - ◆ Ethics and Regulatory Compliance
 - ◆ Capital Budgeting and Management
 - ◆ Design and Construction
 - ◆ Real Estate, Medical Office Building Development, and Property Management
 - ◆ Information Systems and Technology.
 - ◆ Decision Support
 - ◆ Materials Management & Supply Chain
 - ◆ Corporate Office Management

TRIAD HOSPITALS, INC., Plano, TX

5/1999 – 7/2007

A Fortune 500 Company and the nation's third largest integrated healthcare organization. Triad owned and operated internationally 53 hospitals and 17 surgery centers, generated \$6 billion in revenues and employed 45,000 people.

Senior Vice President of Administration, (7/2002 – 7/2007)

- Reporting directly to the CEO, responsibilities included executive management of company-wide support services including:
 - ◆ Human Resources including all benefit programs, compensation, and employee/labor relations.
 - ◆ Marketing, Public Relations and Government Affairs.
 - ◆ Ethics and Regulatory Compliance.
 - ◆ Capital Budgeting and Management.
 - ◆ Design and Construction.
 - ◆ Real Estate, Medical Office Building Development, and Property Management.
 - ◆ Information Systems and Technology.
- Executive management of QHR (formerly Quorum Health Resources), a wholly owned hospital management and consulting business.
- Executive management of international operations of Beacon Medical Center in Dublin, Ireland.

Special Assignment

Merger Integration Executive, (7/2001 – 6/2002)

- Reporting directly to CEO responsible for planning and implementation of all merger and consolidation efforts related to the acquisition of Quorum Health Group including:
 - ◆ Identification and coordination of synergistic opportunities.
 - ◆ Corporate office consolidation.
 - ◆ Human resources planning and implementation including reductions in force, retention plans, benefit changes, and corporate realignments
 - ◆ Coordination with all corporate officers of departmental consolidations.
 - ◆ Policy and procedure standardization, communications and training.
 - ◆ Compliance program standardization, communications and training. Included participation as key team member in negotiations with the OIG of Corporate Integrity Agreement.

East Division

Division Chief Financial Officer (5/99 – 6/2001)

Division operations included 13 hospitals in 3 states with annual revenues of \$1.1 billion and over 8,000 employees.

- Responsible for senior management oversight of all financial, accounting, and revenue cycle functions:

- ◆ Internal and external financial reporting, development of detail annual operating, cash flow, and capital budgets along with supporting financial schedules for business plans.
- ◆ Internal control and policy compliance, ongoing financial performance evaluation of revenues, costs, and cash flow.
- ◆ Accounting, information systems, revenue cycle, and materials management.
- ◆ Managed care contracting and data analysis.

COLUMBIA/HCA HEALTHCARE CORPORATION

4/95 – 4/99

A Fortune 100 Company and the nation's largest integrated healthcare organization. Columbia/HCA owned and operated internationally 342 hospitals, 150 surgery centers, and 570 home healthcare centers, generated \$20 billion in revenues and employed 285,000 people.

Pacific Group, Dallas, TX**Division Chief Financial Officer, (7/98 – 4/99)**

Division operations included 13 hospitals in 3 states with annual revenues of \$1.1 billion and over 8,000 employees.

- Responsible for senior management oversight of all financial, accounting, and revenue cycle functions:
 - ◆ Internal and external financial reporting, development of detail annual operating, cash flow, and capital budgets along with supporting financial schedules for business plans.
 - ◆ Internal control and policy compliance, ongoing financial performance evaluation of revenues, costs, and cash flow.
 - ◆ Accounting, information systems, revenue cycle, and materials management.
 - ◆ Managed care contracting and data analysis.

Columbia East Regional Medical Center, Mesquite, TX**Chief Executive Officer, (5/96 – 6/98)**

A planned 119-bed acute care hospital and medical office building development in a suburb of Dallas, Texas with projected revenues of \$220 million and approximately 550 full time equivalent employees.

- Responsible for start-up development of a new hospital in strategic market to enhance the company's overall integrated delivery network in the north Texas region. Highlights include:
 - ◆ Complete demographic analysis and site selection.
 - ◆ Business plan development and presentation to corporate senior management for approval of \$70 million project.
 - ◆ Financial projections and development of pro forma for confidential offering memorandum in limited partnership.
 - ◆ Assistance in design of prototypical "hospital of the future" including size and scope of services based on market demographics and business plan assumptions.
 - ◆ Development of support for the project from city staff, community leaders, and medical community, including negotiation of tax abatement and incentive agreement with the city.
 - ◆ Assisted in evaluation and selection of general contractor.
 - ◆ Started major movable equipment evaluation and selection process.

Plaza Medical Center, Fort Worth, TX**Acting Chief Executive Officer, (2/96 – 5/96)****Chief Operating Officer, (4/95 – 2/96)**

A 319-bed acute care hospital with annual revenues of \$225 million and over 1000 employees.

- Managed all daily operations of hospital in accordance with established plans, standard operating procedures, and legal and regulatory requirements. Responsibilities included:
 - ◆ Optimization of all financial and human resources to ensure quality and cost-effective operations.

- ◆ Establishment and monitoring of management and development programs, goals, and objectives for all department directors.
- ◆ Ensuring continuous quality improvement through establishment and monitoring of plans and procedures in cooperation with the medical staff.
- ◆ All medical staff functions and relations, including recruitment and retention of physicians.
- ◆ Medical office building development.
- ◆ Administrative management of free standing surgery center joint venture.

NATIONAL MEDICAL ENTERPRISES, INC.

9/84 – 9/86, 10/90 – 4/95

A Fortune 500 Company and leading for-profit integrated healthcare organization. NME owned and operated 140 hospitals internationally generated over \$3.8 billion in revenues and employed 50,000 people.

RHD Memorial Medical Center, Dallas, TX

Chief Operating Officer, (4/94 – 4/95)

A 150-bed acute care hospital with annual revenues of \$95 million and 350 employees.

- Managed all daily operations of hospital in accordance with established plans, standard operating procedures, and legal and regulatory requirements. Responsibilities included:
 - ◆ Optimization of all financial and human resources to ensure quality and cost effective operations.
 - ◆ Establishment and monitoring of management and development programs, goals, and objectives for all department directors.
 - ◆ Ensuring continuous quality improvement through establishment and monitoring of plans and procedures in cooperation with the medical staff.
 - ◆ All medical staff functions and relations, including recruitment and retention of physicians.
 - ◆ Management and leasing of medical office building.
 - ◆ Administrative management of all ancillary and support service departments.

Delray Community Hospital, Delray Beach, FL

Chief Financial Officer, (10/90 – 4/94)

A 211-bed hospital with annual revenues of \$175 million and over 1200 employees.

- Managed all fiscal services including:
 - ◆ Internal and external financial reporting, development of detail annual operating, cash flow, and capital budgets along with supporting financial schedules for business plans.
 - ◆ Internal control and policy compliance, ongoing financial performance evaluation of revenues, costs, and cash flow.
 - ◆ Administrative management of accounting, information systems, business office, materials management, central supply/sterile processing, and admitting procedures.

Jo Ellen Smith Memorial Hospital, New Orleans, LA

Family Health Network Administrator, (2/86 – 9/86)

165-bed hospital with annual revenues of \$65 million and over 500 employees.

- Supervised overall operation of entrepreneurial business development project involving the consolidation of several family and internal medicine physician practices. Project focus:
 - ◆ Provided management and marketing expertise to physician practices.
 - ◆ Increased the size and enhanced the loyalty of primary care hospital physician base.
 - ◆ Provided hospital competitive advantage for directing patient utilization in an increasing managed care environment.
 - ◆ Implemented a computerized accounting management system for physicians.
 - ◆ Developed patient ID card access to network providers, eliminating unnecessary paperwork.

Chief Financial Officer (9/84 – 9/86)

- Oversaw all fiscal services including:
 - ◆ Internal and external financial reporting.
 - ◆ Development of annual operating budgets.

- ◆ Internal control and policy compliance.
- ◆ Financial performance evaluation of revenues, costs and cash flow.
- Managed accounting, data processing, business office, materials management and admitting departments.

TOM H. FRAZIER BUILDING CO., Winston-Salem, NC

9/86 – 10/90

Co-owner

Family owned residential construction company specializing in custom homes ranging in price from \$150-\$400,000.

- Jointly handled all responsibilities including sales, marketing, site selection, financing, and project management.

CHARTER MEDICAL CORPORATION

5/83 – 9/84

Charter Medical Corporation, parent company of Charter Hills Hospital, was a leading for-profit integrated behavioral healthcare organization. The company owned and operated over 75 hospitals with revenues of \$900 million.

Charter Hills Hospital, Greensboro, NC

Controller

68-bed psychiatric hospital with annual revenues in excess of \$20 million with over 100 employees.

- Directly managed all fiscal departments, financial reporting, budgeting, and internal controls and policy compliance.

EDUCATION

BABCOCK GRADUATE SCHOOL OF MANAGEMENT

Wake Forest University, Winston-Salem, NC

Master of Business Administration, 1983

Concentration in Finance and Accounting

Academic Honor – Financial Executives Institute Award

UNIVERSITY OF NORTH CAROLINA, Chapel Hill, NC

Bachelor of Science in Business Administration, 1979

Concentration in Finance and Accounting

Extra Curricular Award: Varsity Baseball Letterman

-References Available Upon Request-

William J. Hibbitt

2009 – Present – Executive Vice President, Chief Financial Officer LHP Hospital Group, Inc.

2006 – 2007 – Board of Directors Triad Hospitals, Inc. Served on Audit Committee and Special Committee Evaluating Strategic Alternatives

1975 – 2006 - KPMG LLP and predecessor firms. Admitted to the partnership on July 1, 1982. Retired from KPMG on February 28, 2006

RELEVANT EXPERIENCE:

LHP Hospital Group, Inc.:

Lead the six person corporate financial group for this \$300 million net revenue company that owns and operates acute care hospitals in multiple states. In coordination with hospital based accounting groups, the corporate financial group is responsible for preparation of monthly financial statements, managing external audit process, tax compliance, corporate finance function and financial analysis and planning. Also serve as member of five person senior management team that is responsible for strategic direction of the company.

Triad Hospitals, Inc.:

Served as an audit committee financial expert for this \$6 billion revenue Fortune 250 company that owned and operated 50+ acute care hospitals located in multiple states. Participated, as a member of the Special Committee, in the evaluation of strategic corporate opportunities that culminated in the sale of the company in 2007.

KPMG LLP:

Vice Chairman - Tax Operations (2002 - 2004)

Chief Operating Officer for \$1.3 billion revenue tax practice; responsible for overall business operations, working with practice leadership team to develop and execute practice strategy, allocating resources, managing partnership admissions and departures, recruiting, training of professionals and driving financial results.

Member of Firm-wide Management Committee (2002 - 2004)

Member of twelve person committee responsible to the board of directors for firm-wide operations including business strategy development, organizational structure and leadership, marketing initiatives, quality control and financial results.

Southwest Area Managing Partner - Tax (2001)

Responsible for overall operations of \$150 million revenue Southwest tax practice, including offices in Houston, Dallas, Denver and eleven smaller cities.

National Partner-in-Charge - Expatriate Services (1998 - 2000)

Responsible for overall operations of \$130 million revenue U. S. specialty tax practice; worked closely with leaders of expatriate practices outside the US to develop and execute global strategy for \$300 million global business.

Client Service Tax Partner (1982 - 1997; 2005 - 2006)

Served as lead tax partner, including tax provision review where applicable, for numerous clients including General Electric, EDS, Caltex Petroleum, Hunt Oil Company, Dr. Pepper/Seven Up Companies, Hicks and Haas and Haas, Wheat and Co.

EDUCATION:

B. S. in Accounting with honors from The University of Louisville - 1972

J. D. with honors from The University of Louisville School of Law - 1975

L. L. M. in Taxation from New York University School of Law - 1978

Licensed to practice as a Certified Public Accountant in New York and Texas

REBECCA HURLEY
PROFESSIONAL BIOGRAPHY
APRIL 2011

Rebecca Hurley is Executive Vice President, General Counsel, and Secretary of LHP Hospital Group, Inc., a privately owned hospital ownership and management company that partners with not-for-profit hospital systems to provide them with the capital and expertise necessary to achieve their missions. Prior to forming LHP in 2008, Becky was Senior Vice President, General Counsel, and Secretary of Triad Hospitals, Inc., a Fortune 400 company and one of the largest investor-owned hospital companies in the United States. Becky joined Triad in 2002 as its Vice President and Chief Compliance Officer, in which capacity she was responsible for the development and oversight of an industry-leading healthcare regulatory compliance program. Becky later served as Associate General Counsel of Triad before her promotion to General Counsel.

Prior to joining Triad, Becky was an attorney in private practice in Dallas, Texas for almost 20 years, practicing in the areas of federal income tax, mergers and acquisitions, business transactions, and healthcare. She is a 1982 *summa cum laude* graduate of Southern Methodist University Dedman School of Law and a 1976 *summa cum laude* graduate of The University of Texas at Austin. Following her graduation from law school, she was privileged to serve as law clerk to the late Honorable Warren E. Burger, Chief Justice of the United States (1983-1984), and the late Honorable Irving L. Goldberg, United States Court of Appeals for the Fifth Circuit (1982-1983).

Becky is a member of the Board of Directors of American Hospice, a leading provider of hospice services based in Jacksonville, Florida, and of the National Association of Corporate Directors. She is the former President of the International Women's Forum – Dallas and has served on the Board of Visitors of Southern Methodist University Dedman School of Law, the Advisory Board of the Dallas County Community College District Foundation, and the board of the Dallas Opera.

JAMES BRYANT SHANNON

EDUCATION: Liberty University, Lynchburg, Virginia, B.S.
Major: Finance Minor: Political Science
Graduate Magna Cum Laude, May 1986

Oxford Study Centre, Wycliffe Hall, Oxford University, Oxford, England
Fellowship through Liberty University in Philosophy and Theology, August 1986.

WORK

EXPERIENCE: Executive Vice President – Acquisition and Development

LHP Hospital Group, Inc.
2800 N. Dallas Parkway, Suite 200
Plano, Texas 75093

January 2008 – Present

Responsibilities include identifying and analyzing potential joint venture opportunities, negotiating terms, coordinating document preparation, attending closings, oversight of due diligence and transition activities, preparing analysis for recommendation to Board of Directors, conducting briefings on development activities to senior management, coordinating the preparation of certificate-of-need filings where applicable.

Vice President – Acquisition and Development

Triad Hospitals, Inc.
5800 Tennyson Parkway
Plano, Texas 75024

May 2002 – July 2007

Responsibilities include identifying and analyzing potential acquisition or joint venture opportunities, negotiating terms, coordinating document preparation, attending closings, oversight of due diligence and transition activities, preparing analysis for recommendation to Capital Committee and Board of Directors, conducting briefings on development activities to senior management, coordinating the preparation of certificate-of-need filings where applicable, and conducting divestiture process for any assets identified by operational management as non-core. Reporting to the Executive Vice President – Acquisition & Development.

Division Chief Financial Officer

Triad Hospitals, Inc. – Division 5
13455 Noel Road, 20th Floor
Dallas, Texas 75240

April 1999-April 2002

Direct operational responsibility for 12 acute care hospitals and 1 ambulatory surgery center located in California, Oregon, Texas, and West Virginia. Reporting to the Division President.

Group Controller

Columbia/HCA O Central Texas Division
10100 Reunion Place
Suite 125
San Antonio, Texas 78248

January 1994 – May 1997

Direct operational responsibility for 13 acute care hospitals and 5 ambulatory surgery centers throughout central Texas totaling 2,500 beds and \$700 million in revenue and a central business office which served 14 hospitals in 5 states. Reporting to the Division President.

Major Accomplishments and Activities:

- 128% improvement in same store earnings during last two years
- Successfully complete \$181.0 million joint venture between Columbia/HCA and Southwest Texas Methodist Hospital in San Antonio
- Successfully completed the opening of a new 170-bed acute care facility in Austin in partnership with a large multispecialty physician group
- Successfully completed the National Association of Securities Dealers (NASD) coursework for designation as a Registered Representative and represented Columbia/HCA in a syndication of the San Antonio market hospitals through the sale of approximately \$7.0 million in stock
- Assisted in the development of marketwide MSO in San Antonio affiliated with several IPSs and medical groups representing approximately 100 primary care physicians
- Facilitated the development of central serve centers in order to consolidate materials management, linen, central sterile, IV preparation, and dietary in the San Antonio and Austin markets and business office, accounting and materials management functions between the Wharton and Victoria markets in order to improve efficiencies and reduce costs
- Facilitated the consolidation of clinical services to reduce excess capacity and cost while enhancing quality and customer satisfaction across the San Antonio and Austin markets

Chief Financial Officer

Doctors Regional Medical Center
3315 South Alameda
Corpus Christi, Texas 75413

May 1991 – January 1994

Administrative responsibility over Accounting, Medical Records, Admitting, Business Office, Materials Management, Information Systems, Financial Management, Utilization Management, Payroll, Budget, Managed Care and Quality Management. Developed and implemented a hospital-wide Continuous Quality Improvement program, express registration program for outpatients, direct contracting program for area employers, comprehensive utilization management program including concurrent coding, case

management, DRG optimization, discharge planning, and physician advisor. Reduced lost charges by more than half compared to more cost effective maintenance insurance policies. Significant interaction with the medical staff in the way of recruiting, contracting, UN/QA activities, and medical staff committee work.

Associate Executive Director/Finance (CFO) – Acting

Humana Hospital – Audubon
One Audubon Plaza Drive
Louisville, Kentucky 40217

February 1991 – May 1991

Assistant Executive Director/Finance (Controller)

Human Hospital – Audubon
One Audubon Plaza Drive
Louisville, Kentucky 40217

April 1989 – February 1991

Direct administrative responsibility over Business Office, Accounting, Payroll, Cash Management, Admitting, Information Systems, Medical Records, Materials Management, Transporters, and Communications department. Responsible for the review and approval of all purchase requisitions, operating and capital budget preparation, completion and submission of governmental reporting, weekly financial and statistical projections, and management reports as requested. Also responsible for negotiating and processing contractual agreements including leases, professional service, recruiting, provider, outside service, transfer, and affiliation agreements. Initiated Internal Audit and Discharge Planning Committees.

Assistant Executive Director/Finance (Controller)

Human Hospital – St. Lukes
7700 Parham Road
Richmond, Virginia 23229

June 1988 – April 1989

Direct administrative responsibility over Business Office Accounting, Admitting, Information Systems, Medical Records, Materials Management, Dispatch and Communications. Responsible for capital and operating budget preparation, completion and submission of governmental reporting, review of all capital spending, coordination of all audit activity (internal and external), and management reporting as requested.

Financial Specialist

Humana Hospital – St. Lukes
7700 Parham Road
Richmond, Virginia 23229

December 1986 – June 1988

Responsible for developing and implementing Audit Committee. Acted as interim Materials Manager and later as interim Business Office Manager. Assumed the Assistant Executive Director/Finance's responsibilities when that position became vacant. This position included administrative responsibilities over Admitting, Information, Systems, and Medical Records.

Manager/Salesman

Coal City Parts Company, Inc.

60 West 4th Avenue
Williamson, West Virginia 25661

May 1980 – September 1986

Worked with my father in family-owned business. Consisted of a chain of four auto/industrial parts stores located in southern West Virginia and Eastern Kentucky. Responsibilities included sales, purchasing, book keeping, scheduling and long-range planning.

**SCHOLARSHIPS
AND HONORS:**

- 1994 President's Award for Outstanding Achievement – Columbia/HCA
- Chancellor's Scholarship for Academic Achievement, Liberty University 1982-1983
- Student Government Association President, Liberty University, 1985-1986
- Student Affairs Scholarship, Liberty University, 1985-1986
- National Dean's List, 1984-1986
- Liberty University Dean's List, 1982-1986
- Graduated Magna Cum Laude, Liberty University, 1986
- Valedictorian, Belfry High School, Belfry, Kentucky, 1982 GPA: 4.00

**OUTSIDE TEACHING/
SPEAKING ENGAGEMENTS:**

- Texas A&M University – Corpus Christi, Guest Lecturer on Hospital Financial Management in the Business Administration Program, Corpus Christi, Texas, 1993
- Doctors Regional Medical Center, Trainer/Lecturer on Hospital Continuous Quality Improvement and Financial Statement Review and Interpretation, Corpus Christi, Texas, 1992-1993
- Association for Healthcare Philanthropy, Featured Speaker for the Regional Conference in San Antonio, Texas on Joint Venture Relationships Between For-Profit and Not-For-Profit Organizations, San Antonio, Texas 1996
- Global Missions Fellowship, Guest Speaker on Missions Related Fund Raising, Dallas, Texas, 2004-2005
- Healthcare Financial Management Association – Lone Star Chapter, Featured Speaker on Healthcare Joint Venture Relationships, Las Colinas, Texas, 2006
- Healthcare Transactions Conference, Presenter on The Divestiture of Portneuf Medical Center to a Foundation/For-Profit Joint Venture, Chicago, Illinois, November 2009

- Hospitals and Health Systems Conference, Featured Speaker on The Five Most Important Factors in Choosing a Partner, Chicago, Illinois, April 2010
- Association for Corporate Growth – Dallas Chapter, Presenter on the effect of Health Reform on the Hospital Sector, Dallas, Texas, May 2010

Patricia Ball

Patricia Ball is Senior Vice President of Strategic Development & Public Affairs of LHP Hospital Group, Inc. and has been a member of the company's senior leadership team since its founding in 2008. Formerly the vice president of marketing and public affairs of Triad Hospitals, Inc., Ms. Ball held the same position with the Pacific and Central Groups of HCA and has served as a division vice president of managed care and business development. She also served as vice president of strategic development and chief marketing officer at several hospitals in Tennessee. She is active with the American Hospital Association and the Federation of American Hospitals. Ms. Ball previously served on the board of directors of the Federation of American Hospitals, where she chaired the legislative committee. A former assistant professor at the University of Tennessee, Knoxville, she served in the Knoxville mayor's cabinet and also served on the Triad Foundation Board of Directors and chaired the Foundation Advisory Board. Ms. Ball has more than 25 years of healthcare experience in both not-for-profit and for-profit settings. Ms. Ball has a bachelor's degree from Radford University in Virginia and master's and doctorate degrees from the University of Tennessee.

Lawrence "Larry" V. Schunder

Information Technology - Working with other business executives and peers to implement appropriate technology solutions, distill complex technology issues into basic components for review by investors, involving executive management as well as operations. Designing, developing, and implementing unique technology combinations to solve business issues, integrate acquisitions, hold the cost on technology investment and create an environment where information is easily and understandably accessible to the business, facilitating decision making.

Business and Service Line Operations – Working in large complex multi-national companies and helping to found and grow a successful service start up company. Duties have included all operations, P&L responsibility, sales, marketing, contract negotiations, vendor management, capital acquisition, business acquisition due diligence and integration management. Managed large multi-national organizations of up to 3,000 individuals with P&L responsibility of over \$350m annually, contributed as an individual sales person and managed sales organizations with combined quotas of over \$100m annually.

Health Care Expertise

Executive Administration, working with all levels of hospital administration, physicians, nursing and all clinical and financial departments. Working on the selection, definition, installation and deployment of ARRA certified health care solutions. Working on acceptance and adoption of all requirements for ARRA and associated functions. Negotiating and or reviewing all significant vendor and client contracts for companies over the last 15 years. Also participating in due diligence of potential acquisitions and joint ventures.

Information Technology experience includes but is not limited to software selection/installation, vendor contract negotiations, software integration, custom software creation, telecommunications, Electronic Medical Record (EMR) and Electronic Health Record (EHR) implementation and refinement, data center management (including disaster recovery and business continuation), desktop compatibility/security (HIPPA and PHI).

Health Information Management (HIM) including but not limited to department oversight (department turnaround), chart management, coding and auditing, transcription, security (HIPPA and PHI), Release of Information (ROI) and record storage/destruction (electronic and physical).

Call Center Management of helpdesk, patient registration, admitting, real time and pre-admission adjudication and follow-up collections.

Provider Charging, Billing and Collection for self-pay, Medicare, Medicaid, third party insurers, specialty insurers, management of contractual write downs and gross to net calculations.

Experience:

2009 – present	LHP Hospital Group SVP/CIO Business Process
1999 – 2009	PHNS Inc. Chief Operating Officer, President Business Process Outsourcing and equity owner Domestic and Overseas Operations
1991 – 1999	Affiliated Computer Services, Inc. (ACS – NYSE) Group President – Integrated Solutions Senior Vice President – North American Outsourcing Senior Vice President – Electronic Markets Senior Vice President and COO – Western Region Outsourcing Vice President – Account Relations
1990 – 1991	Shared Financial Systems Vice President - International Business Development
1984 – 1990	Electronic Data Systems (EDS) (Formerly MTECH Corp.) Vice President – Business Development (Payment Systems Division) Vice President – New Product Development (Payment Systems Division) International Sales Manager Project Manager
1981 – 1984	Price Waterhouse Senior Staff Staff
1979 – 1980	Westinghouse Heavy Industry Motor Division Programmer

BBA from University of Texas in Data Processing and Analysis, 1980

PAUL A. KAPPELMAN, FACHE

EXPERIENCE

LHP Hospital Group, Plano, TX
Division President, December 2010 – Present

*Responsible for hospital operations and strategic development of 3 operating hospitals.
Focusing on development of successful growth strategy and culture.*

Northwest Medical Center, Tucson, AZ
Chief Executive Officer, August 2007 – December 2010

Led and improved a dynamic healthcare network which includes a 300 bed acute care hospital, two joint ventured surgery centers, three urgent cares, multi - specialty physician practice, and net operating revenue over 300 million

Oro Valley Hospital, Oro Valley, AZ
Chief Executive Officer, January 2003 – August 2007

Led 96 bed de novo hospital project from conception to grand opening, to successful financial, quality, and customer service outcomes

Tulane University Hospital & Clinic, New Orleans, LA
Administrative Resident, Ambulatory Care, January 1997-April 1998

Rapides Regional Medical Center, Alexandria, LA
Administrative Analyst, November 1995-June 1996

St. Charles General Hospital, New Orleans, LA
Human Resources/Administrative Intern, June 1994-June 1995

EDUCATION

Master of Health Administration, May 1998
Tulane University, School of Public Health and Tropical Medicine
New Orleans, LA

Bachelor of Arts, May 1995
Tulane University, College of Arts and Sciences
New Orleans, LA

COMMUNITY INVOLVEMENT

Played and active leadership role in civic and charitable organizations in Tucson through out my 12 years. Operated under the belief that we must be leaders inside and outside the hospital

- Chairman, Hospital Council of Southern Arizona
- Past Chairman, Northern Pima County Chamber of Commerce
- Current Board member, Tucson Chamber of Commerce
- Current member, Southern Arizona Leadership Council
- Current Board member, Health Information Network of Arizona
- Advisory Board member for Interfaith Community Services
- Project Graduation, Oro Valley Think Tank, Rotary, Davis Monthan 50,

DAVID G. NOSACKA, MHA

EXPERIENCE

2009-Current LHP Hospital Group Plano, TX - Multi-Hospital Private Equity sponsored System, \$300m Net Revenue

Vice President & Division Chief Financial Officer

- ❖ Management
 - Provide oversight of Hospital chief financial officers
 - Provide strategic direction to each facility in achieving individual market specific financial goals and objectives
 - Provide leadership and expertise on information technology, auditing and internal controls, managed care contracting, physician practice management, governmental program cost reporting, and other operational initiatives
 - Provide acquisition and due diligence leadership and expertise
- ❖ Accomplishments
 - Have achieved >100% of Division financial goals over the last two fiscal years. EBITDA margin as increased from <10% to 17% at end of fiscal year 2010
 - Have achieved cash collections >100% of goals for each fiscal year while converted to new corporate standard Information Technology platform at two facilities
 - Completed system wide conversion to standard Chart of Accounts and financial reporting
 - Completed system wide conversion to standard payroll and productivity management systems
 - Completed two fiscal year end external audits with no material weaknesses noted
 - Completed acquisitions of three imaging centers, and one surgery center as follow on transactions to original hospital acquisition
 - Completed many physician recruitment contracts and hospital based agreements in each location

2007-2009 Creighton University Medical Center Omaha, NE
- 334 Beds (academic medical center joint venture), \$250m
Net Revenue

*Chief Operating and Financial Officer (transitioned
Finance to new CFO in Nov 2008)*

- ❖ Management
 - Oversee Surgical Services, Pharmacy, Laboratory, Imaging, Facilities, Safety/Security and Information Technology Departmental operations
 - Leader for Cancer, Cardiology, Trauma and Digestive Service Line Planning and Operation
 - Leader for various Performance Improvement initiatives in Patient Safety, Quality Outcomes, and Service Excellence
 - Managed Financial functions for until Nov 2008
- ❖ Accomplishments
 - Launched multi-disciplinary initiatives in building volume and clinical service offerings in Cancer, Cardiology, Trauma and Digestive.
 - Have successfully negotiated contracts with Veterans Administration for Radiation Therapy and Cardiac Surgery
 - Have been an integral driver of improvements in Reportable measures related to Surgical Infection Prevention metrics
 - Have worked within the Omaha joint Trauma program to petition the State of NE for Level 1 Trauma status
 - Management of an employee base in excess of 450 personnel, with annual budget of > \$100m
 - Have improved our composite quality metrics scores within the Tenet system from the below the 25th %tile to above the 60th %tile during fiscal year 2008
 - Have successfully rebuilt community based physician referral networks in selected service lines
 - Completed financial settlement with Medicare for \$11m related to multiyear beds and gme issues dating back to 2002
 - Instituted daily productivity monitoring and position control systems resulting in departmental responsibility
 - Implemented supply chain initiatives in using reprocessed surgical instruments, vendor sole source agreements for Cardiology, Trauma and Orthopedics
 - Completed redesign of front and back portions of the revenue cycle in conjunction with Tenet's decision to

centralized particular revenue cycle functions

2004-2007 Barberton Citizens Hospital (affiliate of Triad Hospitals) Barberton, OH - 330 Beds (acute, rehab, nurs home, psych, LTAC, teaching hospital, and reference lab), \$120m Net Revenue

Vice President/Chief Financial Officer

❖ Management

- All Fiscal Responsibilities for Joint Venture ownership structure between Triad Hospitals, Summa Health System, and Cleveland Clinic
- Oversee all Accounting, Business Office, Health Information, Information System, and Managed Care, Central Supply, and Utilization Review operations.
- Function in partnership with CEO to build volumes through \$10m expansion of Cardiology Program to include Open Hearts/Interventional coverage and new \$8m free-standing Cancer Center

❖ Accomplishments

- Repositioned hospital to qualify for Ohio Disproportionate Share payments in excess of \$1m per year
- Renegotiated Inpatient Rehabilitation contract netting a savings of \$600k per year
- Reconfigured Medicare SNF unit to a Nursing Home netting an additional \$750k per year in EBITDA
- Instituted bi-weekly productivity monitoring system whereby standards and daily monitoring tools were developed for each department
- Brought Medicaid eligibility and insurance verification in-house
- Improved case management/utilization review program to include quarterly reviews with physician medical department chairpersons
- Successfully renegotiated top ten managed care agreements to include Cardiology carve-outs and stop-loss provisions

2003 Heart Hospital of Austin (affiliate of Medcath) Austin, TX - 58 Beds, \$70m Net Revenue

Vice President/Chief Financial Officer

❖ Management

- All Fiscal Responsibilities for Joint Venture ownership

structure between Medcath, Austin Heart, LLP (40 member physician group practice), and Cardiovascular and Thoracic Surgeons, LLP (20 member physician group practice)

- Oversee all Accounting, Business Office, Health Information, Information System, Central Supply, and Utilization Review operations.
- ❖ Accomplishments
 - Renegotiated supply contract for all major Cath and EP Lab supplies netting facility an overall 5% savings or \$500k
 - Instituted bi-weekly productivity monitoring system whereby standards and daily monitoring tools were developed for each department
 - Educated physicians on cardiology reimbursement changes, whereby new payment methodologies would produce over \$2.5m in additional Net Revenues per year for the facility

Left this position with Medcath due to capitalization issues at Medcath, Inc. and being recruited by former colleagues at Triad Hospitals

2001-2002 Payson Regional Medical Center (affiliate of Community Health Systems) Payson, AZ - 70 Beds, \$35m Net Revenue

Chief Financial and Compliance Officer

- ❖ Management
 - Oversee all Accounting, Business Office, Health Information, Information System, Central Supply, and Utilization Review operations.
 - Manage an eight provider multi-specialty clinic practice spread throughout three locations in Northern Arizona
 - Function as the Compliance Officer for all CHS corporate compliance policies and initiatives
 - Function in partnership with CEO to build volumes through emergency department, primary care, and surgical service initiatives
- ❖ Accomplishments
 - Effectively maximized rate and chargemaster opportunities to capture improved reimbursement
 - Instituted bi-weekly productivity monitoring system, which has effectively realized a 2% of Net Revenue improvement over prior years
 - Guidance of all Revenue Cycle operations, which have

reduced Net AR days to 58, a 50% reduction in bad debt expense, and reduce A/R > 91 days to below 10% of total AR

- Reduced consolidated clinic losses from \$500k to less \$150k.
- Improved corporate purchasing agreement compliance to greater than 96%, ranked 10th out of 65 hospitals

1998-2000 HCA-The Healthcare Company (Delta Division Office) New Orleans, LA - 18 Hospitals, \$1.5b Net Revenue. Positions included: 1) *CFO Development Program - formal training program designed by HCA to train future CFOs* and 2) *Director of Strategic Planning and Business Development* for Tulane University Hospital & Clinic. Recruited back to Community Health Systems in 2001 for CFO position at Payson Regional Medical Center.

1996-1998 Community Health Systems, Inc. Brentwood, TN - largest non-urban publicly traded hospital company. Positions included: 1) *Assistant Group Controller-Group II*, 2) *Acquisitions Analyst*, and 3) *Financial Analyst*. Left in 1998 to move back to New Orleans, pursue Masters degree from Tulane University Medical School and work for HCA-The Healthcare company.

1995 - 1996 Physician Management Group, Inc. New Orleans, LA - management and consulting for physician practices as an *Accounting Manager*.

EDUCATION/PROFESSIONAL

1999 - 2001 Tulane University Medical School New Orleans, LA

- Masters in Healthcare Administration
- Emphasis on business administration

1996 University of New Orleans New Orleans, LA

- B.S. General Business
- Minor: Accounting/Business Administration, Public Health and Sociology

Professional Development

- Member ACHE and HFMA

COMPUTER SKILLS

- All HCA Financial Programs (Clear Access, Vista)
- Meditech, HMS, QuadraMed and McKesson Hospital Operating Systems

BRADY D. STURGEON

Vice President Business Development

Dynamic leader with extensive experience in healthcare business development and hospital financial management.

Core Competencies

Asset Valuations • Financial Pro forma Modeling • Demographic Analyses • Joint Venture Creation
Due Diligence Completion • Transaction Negotiations • Working Capital Settlements
Operating Budget Development • Labor Productivity Management • Capital Expenditure Management
Managed Care Contract Modeling • Personnel Management • Monthly Close Process

Professional Experience

LHP Hospital Group, Inc.

(LHP [formerly known as Legacy Hospital Partners] is a privately held company established to provide essential capital and management expertise to not-for-profit hospitals and hospital systems by forming joint venture partnerships.)

Vice President of Development (2008 – Present)

One of seven founding members of the company with the primary responsibility of exploring and assessing growth opportunities for LHP with a focus on creating joint ventures with not-for-profit hospitals and health systems.

Responsibilities include evaluating, recommending, and presenting potential transactions to LHP's Development Committee and Board of Directors.

Additional responsibilities and accomplishments include:

- Provide all analyses used to determine if transactions meet the financial and strategic goals and objectives of LHP, including asset valuations and determination of transaction offer prices.
- Respond to external requests for proposals.
- Development of detailed pro forma financial statements.
- Negotiation of terms and conditions of transactions.
- Manage due diligence process from initial document request through finalizing and summarizing findings.
- Key contributor in closing on LHP's first two joint ventures.

Triad Hospitals, Inc.

(Acquired by Community Health Systems, Inc. [NYSE: CYH] in 2007, Triad [former NYSE: TRI] owned and operated 53 hospitals and 13 ambulatory surgery centers. Revenues in 2006 were approximately \$5.5 billion.)

Director of Acquisition & Development (2004 – 2007)

Responsible for exploring and assessing growth opportunities for Triad, including the acquisition of existing facilities, creation of joint ventures, and development of de novo hospital projects. Responsibilities included identifying new market opportunities, responding to external requests for proposals, proposal writing, proposal presentation, review and negotiation of terms and conditions of transactions, and the development of detailed pro forma financial statements.

Additional responsibilities and accomplishments included:

- Key contributor to Triad's success in joint venturing and transitioning several facilities into the Triad system that included Gateway Medical Center in Clarksville, TN; Baptist Montclair Medical Center in Birmingham, AL; and Deaconess Hospital in Oklahoma City, OK.
- Provided strategic, financial, and detail pro forma analyses used to determine if potential transactions met the financial and strategic goals and objectives of Triad, including asset valuations and determination of transaction offer prices.
- Managed the successful divestiture of 4 facilities.
- Leader of Due Diligence Team, consisting of approximately 15 VP's or Department Directors that represent major operational departments at the corporate office.
- Established a comprehensive and organized Due Diligence Process that included initial document request through finalizing and summarizing findings that are reported to Senior Management.
- Transitioned new facilities into Triad by co-chairing Triad's Transition Team Committee, consisting of approximately 25 VP's or Department Directors that represent major operational departments at the corporate office.
- Created and presented each transaction's Capital Expenditure Request Package to Senior Management for approval.

PROFESSIONAL EXPERIENCE (CONT.)

- Successfully modified Triad's A&D Capital Expenditure Package resulting in improved presentations to Triad Senior Management and Board of Directors.
- Managed post-closing working capital settlements between Triad and other parties.

HCA, Inc.

(Privately held since 2006, HCA Inc. [formerly known as HCA–The Healthcare Company, which was in turn formerly known as Columbia/HCA] is the largest for-profit hospital operator in the U.S. The company owns and manages 163 hospitals and 112 outpatient centers in 20 states and England. Revenues in 2008 were in excess of \$28 billion.)

Medical Center of Plano

(427 bed full service acute care hospital, annual revenue in excess of \$275 million)

Controller / Director of Finance and Information Technology & Systems (1998 – 2004)

In addition to managing the Accounting and Information Technology & Systems Departments, responsibilities and accomplishments included:

- Interim Chief Financial Officer in 2002 and 2003.
- Instrumental in receiving approval for \$110 million expansion project completed in 2001.
- Served as Chief Staffing Officer over labor productivity system including establishing and monitoring productivity levels.
- Responsible for approving all capital expenditures including pro forma analysis to determine best uses of hospital funds.
- Implemented charge master standardization project as part of HCA market initiative.
- Managed accounting transition of accounts payable and business office functions to centralized operations as part of HCA Corporate initiative.
- Completed turnaround of IT&S Department that included establishing customer call center and trouble ticket tracking system that improved customer service and response and resolution times.
- Developed efficient alternative to HCA's budgeting system to meet the needs of facility.
- Developed and presented Frisco, TX Market Analysis and Business Development Plan to HCA senior management.

Medical Center of Las Colinas

(100 bed full service acute care hospital, annual revenue in excess of \$11 million)

Controller / Director of Finance and Materials Management (1997)

Responsible for managing the Accounting and Materials Management Departments, which included managing the monthly close process, preparing monthly financial reports, financial analysis of departmental operations, and completing the annual budget.

Corporate Office

Senior Financial Analyst, Western and International Groups (1995 - 1997)

Responsible for assisting Group Chief Financial Officers with the financial management of 65 hospitals in the Western United States, England, Switzerland, and Spain with approximate annual net revenues of \$4 billion. Responsibilities included monthly financial and statistical reporting, financial analysis of operations, balance sheet reviews, and variance analysis.

Regional Accountant, Ambulatory Surgery Division (1993 – 1995)

(Transitioned with Medical Care America merger.) Responsible for preparing and reviewing monthly financial statements for eight surgery centers, three physical therapy centers, and eight general partnership entities. Responsibilities also included recording eliminating entries for corporate consolidation, preparation of quarterly cash flow statements, determination of cash distributions to physician partners, and performing internal audits at surgery centers.

Education

Texas A&M University, College Station, Texas
B.B.A. in Accounting, May 1993

References

Available upon request

Saint Mary's – Senior Leaders

Curriculum Vitae

CAREER PROFILE

- **Visionary** and results-oriented leader with a diverse background in faith-based, academic and community-based hospital settings with a proven record of success working in competitive markets.
- **Successful** in leading complex financial turnarounds, identifying consumer and organizational needs, and implementing multi-faceted strategies across complex organizations to achieve positive financial and clinical results.
- **Effective** in leading organizational transformation and cultural change.
- **Creative** and innovative problem-solver with demonstrated expertise in strategic planning, revenue cycle improvement, program development, performance improvement, facility planning and physician relations.
- **Focused** relentlessly on advocating for patient-centered care using a results oriented approach to achieving high quality and high service performance.
- **Entrepreneurial** spirit willing to challenge the status quo.
- **Engaging** personality able to relate well with all levels of staff, physicians and community leaders.

EXPERIENCE

Saint Mary's Health System, Inc., Waterbury, CT

Integrated network of healthcare services including Saint Mary's Hospital (347 beds), Saint Mary's Hospital Foundation, Saint Mary's Indemnity Company, Naugatuck Valley Surgical Center, Harold Leever Regional Cancer Center, Heart Center of Greater Waterbury, Diagnostic Radiology of Southbury, Naugatuck Valley MRI, Franklin Medical Group, Primary Care Partners and Scovill Medical Group. Annual net revenues exceeding \$260 million, 350 active members of the medical staff and 1,800 staff.

President and Chief Executive Officer (2008-present)

Major Accomplishments:

- ✓ Opened three OR expansion at the freestanding surgical center making it the largest freestanding surgical center in CT.
- ✓ Improved patient satisfaction scores over 50 percentile points.
- ✓ Reduced patient falls over 30% annually.
- ✓ Reduced hospital acquired infection over 25% annually.
- ✓ Achieved top decile for 60% of all reportable CMS Core Measures
- ✓ Achieved permanent CON status for joint open heart and angioplasty program following three year demonstration project, the first program of its kind approved in Connecticut.
- ✓ Successfully implemented a consolidation of pediatric services in Waterbury with Connecticut Children's Medical Center establishing a hospital within a hospital at Saint Mary's Hospital.
- ✓ Achieved recognition by AHA *Get with the Guidelines* as a Gold Award Hospital for treatment of heart failure and acute myocardial infarction.
- ✓ Received successful reaccreditation of level 2 trauma service
- ✓ Achieved status as a primary stroke center.
- ✓ Established a partnership with VITAS for a 12 bed inpatient hospice.

Executive Vice President, Strategy Development and System Operations (2008)

Responsible for all system operations including affiliate operations, eight Hospital satellite operations and various divisions of the Hospital. Also responsible for strategic management, business development and community relations activities for the Health System. Acts as CEO in his/her absence. Responsible for managing a combined \$60 million operating budget, \$120 million in net revenue and 700 staff.

Major Accomplishments:

- ✓ Led board workgroup through a planning process to determine go/no go decision for pursuing a merger consolidating the two local health systems.
- ✓ Achieved successful JACHO survey review with reaccreditation.
- ✓ Implemented expanded radiation oncology program to include radiosurgery and dIMRT.
- ✓ Developed plan and received State approvals for a three OR expansion at freestanding surgical center.

Vice President of Ambulatory Operations and Business Development (2003-2008)

Responsible for strategic management, business development and community relations activities for the Health System. Responsible for all outpatient operations within the Health System with responsibility for growing market share, achieving Balanced Scorecard results and leading change within the organization.

Major Accomplishments:

- ✓ Served as a member of the senior management team responsible for successfully implementing a \$24M financial turnaround plan.
- ✓ Led the planning, development and CON process for the establishment of a collaborative open heart and cardiac angioplasty program.
- ✓ Successfully recruited several physicians including several internists, a breast surgeon, neurosurgeon, neurologist and a cardiothoracic surgical team.
- ✓ Led the development of a new Stroke Program consistent with the American Stroke Association *Get with the Guidelines* program.
- ✓ Led the development of a Bariatric Surgical Program in pursuit of accommodation as a Center of Excellence by the ASMBS and SRC.
- ✓ Established comprehensive community benefits program.
- ✓ Led the development of a comprehensive women's & breast services strategy including a new breast surgical practice and the development of diagnostic services.
- ✓ Led redesign of ambulatory care delivery system including six new outpatient facilities and enhanced technology resulting in over \$1.0 million in annual incremental income.
- ✓ Introduced new patient advocacy program including patient rounding, real-time recovery process and a functional reporting system.
- ✓ Led team responsible for coordinating and developing an affiliation strategy including assessing local consolidation and national partnership options.
- ✓ Led the redesign of a primary care network of hospital sponsored and private practices.
- ✓ Led the establishment of the first freestanding hospital-owned MRI Center in Waterbury.

Corporate Director of Strategic Planning and Business Development (2002-2003)

Responsible for leading the Board and Senior Management through a comprehensive strategic planning process resulting in the first system-wide long range strategic plan including master facility, medical staff development, quality improvement, image improvement, information systems and long range financial plans. Provided management support for marketing, community relations, medical staff development, imaging services, health information management, plant operations and support services. Reported directly to President & CEO.

Major Accomplishments:

- ✓ Lead annual strategic planning process including annual update of a five year strategic plan.
- ✓ Designed and administered a Balanced Scorecard for enhancing relationships, clinical effectiveness and improving operating performance.
- ✓ Led the completion of a comprehensive master facility plan including optimizing the current facility and long term options for rebuilding in place and new construction.

- ✓ Led the completion of the medical staff development plan including a community needs assessment, physician satisfaction survey and the establishment of physician recruiting policies, procedures and strategies.
- ✓ Designed and implemented an image improvement strategy including the redesign of the marketing and community relations functions resulting in dramatically improved brand identity and consumer perception.
- ✓ Led redesign of imaging services including establishment of a new professional services agreement with a local radiology group.
- ✓ Led team responsible for improved charge capture and front-end revenue cycle improvement.

Monongalia Health System, Inc., Morgantown, WV

Integrated network of healthcare services including Mon General Hospital (220 beds), The Foundation of Mon General Hospital, MonHealthcare Equipment & Supplies, Monongalia EMS, The Village at Heritage Point, Healthworks Rehab & Fitness, Care Partners, HealthSouth Rehab Hospital. Annual net revenues exceeding \$120 million and 1,200 staff.

Director of Strategic Planning and Business Development (2000-2002)

Responsible for managing the corporate business development and strategic planning activities for the health system. Report directly to President & CEO.

Major Accomplishments:

- ✓ Led the planning, design and implementation of a three hospital loose affiliation network for joint service development, managed care contracting and group purchasing.
- ✓ Led the planning and design for a 24,000 square foot medical office and ambulatory care facility.
- ✓ Led the development of new service lines including outpatient wound care, sleep services, complementary medicine and retail healthcare services.
- ✓ Implemented a comprehensive wellness and complementary medicine initiative resulting in increased patient satisfaction and new revenue streams as well as staff maintenance programs to reduce staff health insurance costs.

Strategic Analyst (1999-2000)**Post-graduate Internship, Healthcare Administration (1999)**

West Virginia University, Morgantown, WV

Instructor, Community Health Education (1998-1999)**EDUCATION**

Master of Business Administration, August 2002
West Virginia University, Morgantown, WV

Master of Science in Community Health Education, December 1999
West Virginia University, Morgantown, WV

Master Certificate in Healthcare Administration, December 1999
West Virginia University, Morgantown, WV

Bachelor of Science in Biology, May 1998
West Virginia University, Morgantown, WV

PROFESSIONAL AFFILIATIONS

Connecticut Hospital Association – Board of Directors
American College of Healthcare Executives – Fellow
CT Chapter American College of Healthcare Executives – Board of Directors

COMMUNITY SERVICE

United Way of Greater Waterbury, Board of Directors, Vice Chair
Greater Waterbury YMCA, Board of Directors
Waterbury Regional Chamber of Commerce, Board of Directors
Rezziliant, Inc., Board of Advisors
Visiting Nursing Association, Board of Directors (2004-2007)
Greater Waterbury Chamber of Commerce, Leadership Connecticut Program (2003)

AWARDS AND HONORS

- ✓ Modern Healthcare “Up & Comers” Award recipient (2010)
- ✓ American College of Healthcare Executives, Early Careerist Award, Connecticut Chapter (2006)
- ✓ West Virginia University, Varsity Football
 - Big-East Conference Scholar-Athlete first team (1994-1997)
 - Ira E. Rogers Award for high leadership, academic qualities, and football performance (1997)

Dean F. Vitarisi, CPA

SUMMARY

A senior level financial professional, with over 20 years of experience primarily in the healthcare industry, with broad experience and proven success in the areas of financial planning, budget and reimbursement, decision support, managed care, and general accounting.

EXPERIENCE

Saint Mary's Hospital (A member of the Saint Mary's Health System)

The System, comprised of the parent and nine subsidiaries, posts annual net revenue of approximately \$250 million. Subsidiary companies include a Surgical Center, Imaging Centers, Primary Care and Specialty Physician Practices, Insurance Captives, and a Foundation.

Corporate Director of Finance 2007 – 2011

Responsibilities

- Manage twenty employees in the Accounting, Decision Support, Budget, Reimbursement, Managed Care, Payroll, and Accounts Payable departments
- Present financial information to Boards, Committees, and Leadership
- Review annual operating and capital budgets, financial packages and presentations, business plans, and regulatory filings prior to CFO approval
- Participate in initiatives that improve revenue cycle, supply chain management, and physician integration
- Serve on affiliate Boards and Finance Committees
- Manage the treasury function

Accomplishments

- Strengthened the organizations financial position by increasing days cash on hand from 26 to 60, paying off a line of credit, and increasing pension funding
- Contributed to CON applications that consolidated inpatient pediatric services in the market, gained permanent program status for the Waterbury cardiac care program, and received approval for major capital acquisitions
- Developed the five year financial model used to support the organization's annual strategic plan and state hardship grant filings
- Brought the internal audit function in house, reducing costs while increasing quantity and quality of internal audits

Corporate Controller 2006 –2007

Responsibilities

- Managed ten employees in the Accounting, Payroll, and Accounts Payable departments
- Presented financial information to Boards, Committees, and Leadership
- Prepared monthly financial packages and presentations
- Coordinated the external audit function
- Responsible for all tax filings
- Assisted with cost report and budget preparation

Accomplishments

- Restructured the cash management and treasury functions
- Improved the monthly financials by shortening the close process to ten business days and by developing new financial reports and presentations
- Restructured the payroll department, eliminating three per diem positions by installing Kronos Workforce Central and by converting subsidiary payrolls from ADP to in house system
- Renegotiated line and letters of credit, increasing line availability and reducing interest and service fees
- Assisted in the negotiation of a forbearance agreement with a majority of the organization's bondholders

Dean F. Vitarisi, CPA

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Hospital of Saint Raphael (A member of the Saint Raphael Healthcare System)

The System, comprised of the parent and eight subsidiaries, posts annual net revenue of approximately \$500 million. Subsidiary companies include a Long Term Care Facility, Surgical Center, Physician Practices, Insurance Captives, and a Foundation.

Accounting Manager 2000 – 2006

- Managed ten employees in the Accounting, Payroll, and Accounts Payable departments
- Facilitated monthly close, delivering complete financial packages within seven business days
- Coordinated year-end audit work meeting thirty day sign off requirement
- Reviewed, designed, and implemented changes to information systems
- Prepared cash forecasts, budgets, cost report schedules, grant reports, and tax returns
- Prepared and presented financial reports to Boards and Committees
- Established and maintained internal control procedures

Senior Accountant 1997 –2000

- Recorded and reconciled financial information of the hospital and its affiliates
- Utilized analytical techniques to verify the reasonableness of financial information
- Prepared complete financial packages, budgets, cost reports, and tax returns

T.M. Byxbee Company, P.C. 1994 –1997

A local CPA firm, providing accounting and tax services to not-for-profits and family owned businesses located in Connecticut. The firm is comprised of six partners and ten professional staff. As a Senior Accountant, I supervised audit and review engagements of manufacturers and construction contractors, provided accounting services to retail and professional service organizations, prepared financial statements and tax returns, and researched accounting issues for proper financial presentation and tax consequences. While at the firm, I had the opportunity to manage the audit of the firm's largest manufacturing client (\$100 million in annual sales), audit multi-year construction contracts, and manage the audit related to an insurance agency IPO.

Landa & Altsher, P.C. 1989 –1994

A regional CPA firm located in Massachusetts, which provided auditing, accounting, and consulting services to over 300 healthcare clients in five New England states. The firm was comprised of six partners and sixty professional staff. On January 1, 2008 the firm merged into Larson Allen, a national CPA firm specializing in providing a variety of accounting and consulting services to senior living healthcare facilities. As a Senior Accountant, I supervised audit and review engagements for a variety of healthcare organizations, prepared Medicare and Medicaid cost reports, reviewed desk audits and analyzed rates issued by state agencies and insurance intermediaries, and represented clients during audits performed by regulatory agencies.

TEACHING EXPERIENCE

Quinnipiac University 2010 – Present

Part Time Faculty - Adjunct Accounting Professor

EDUCATION

Bryant University, BSBA – 1989

Quinnipiac University, MBA – 2009

CAREER EXPERIENCE CONTINUED

Sr. Staff Accountant 1998 - 2002

Closed and prepared monthly financial statements and audit reports. Performed monthly account reconciliations and monitored general ledger transactions. Worked in collaboration with controller to ensure accuracy and integrity of financial information in support of overall business objectives.

Key Achievements:

- Saved more than \$40,000 in annual expenses through identification of inaccurate and unnecessary vendor payments.
- Increased productivity levels by providing key contributions toward automation of account reconciliation processes.

Staff Accountant 1996 - 1998

Carried out general ledger functions, including account analysis and reconciliation, journal entries, and AR/AP. Prepared financial statements

Key Achievement:

- Consistently met deadlines while demonstrating strong analytical and problem-solving skills to achieve corporate objectives.

EDUCATION

Bachelor of Business Administration, Accounting & Finance (1996)
HOWARD PAYNE UNIVERISTY – Brownwood, TX

REFERENCES AVAILABLE UPON REQUEST

STEPHEN T. HOLLAND, M.D., F.A.C.E.P., A.B.I.M., M.P.A.

EDUCATION: University of Maryland
Fifth Pathway Program
Baltimore, Maryland
Fifth Pathway Certificate, 1984

Universidad del Noreste
Tampico, Tamps, Mexico
M.D., 1983

Clark University
Worcester, Massachusetts
M.P.A./P.H., 1978

College of the Holy Cross
Worcester, Massachusetts
B.A. Biology, 1976

TRAINING: Internal Medicine Residency
St. Mary's Hospital
Waterbury, CT
July 1984- June 1987

BOARD CERTIFICATION:

American Board of Emergency Medicine, July 1996
ABEM Recertified, December 2005
American Board of Internal Medicine, Sept. 1988

PROFESSIONAL CERTIFICATION:

Pediatric Advanced Life Support, since April 1991
Advanced Trauma Life Support, since November 1986
Advanced Cardiac Life Support, since October 1985

LICENSURE: Connecticut #027428
New York (inactive) #163440

PROFESSIONAL SOCIETY MEMBERSHIP:

Fellow, American College of Emergency Physicians
Fellow, American Professional Wound Care Association
Waterbury Medical Association
Member, American College of Health Executives
Member, American College of Physician Executives

PROFESSIONAL EXPERIENCE:

HOSPITAL: Chief Medical Officer

St. Mary's Hospital
Waterbury, Connecticut
Part-time March 2008 to April 2009
Full-time May 2009 to present

Associate Director, Department of Emergency Services
St. Mary's Hospital
Waterbury, Connecticut
June 1993 to April 2009

Emergency Dept. Physician (full-time)
St. Mary's Hospital
Waterbury, Connecticut
November 1987 to May 1993

Staff Physician, Emergency Dept.
Veteran's Administration Medical Center
Newington, Connecticut
June 1987 to November 1987

Emergency Dept. Physician (part-time)
St. Mary's Hospital
Waterbury, Connecticut
September 1986 to November 1987

PRIVATE: Stephen T. Holland, MD, Associates
EMS Consultant
July 22, 2002 to present

APPOINTMENTS:

HOSPITAL: Chief of Staff
Chairman, Medical Executive Committee
St. Mary's Hospital
January 2006 to December 2008

Administrative Council
St. Mary's Hospital
March 2008 to present

Board Member
St. Mary's Health Systems
January 2006 to December 2008

Board Member
Scovill Medical Group
St. Mary's Hospital
2006 to present

Medical Director, Wound Healing Center
National Healing Corporation
St. Mary's Hospital
Feb. 2005 to 2009

Associate Chief of Staff
St. Mary's Hospital
January 2003 to December 2005

Director of Injury Prevention
St. Mary's Hospital
Waterbury, Connecticut
April 1999 to May 2008

Associate Director, EMS
St. Mary's Hospital
Waterbury, Connecticut
June 1993 to February 2007

Member, Medical Executive Committee
St. Mary's Hospital
Waterbury, Connecticut
January 1995 to present

Chief Medical Resident
St. Mary's Hospital
Waterbury, Connecticut
December 1986 to June 1987

ACADEMIC: Clinical Adjunct Assistant Professor
School of Health Sciences
Quinnipiac University
August 2002 to present

Clinical Instructor
Dept. of Traumatology & Emergency Medicine
Univ. of Conn. School of Medicine
July 1997 to present

Clinical Instructor, Joint Appt.
Dept. of Medicine
Univ. of Conn. School of Medicine
Sept. 1993 to present

Clinical Instructor
Dept. of Surgery/Emergency Medicine
Univ. of Conn. School of Medicine
July 1991 to June 1997

MEDICAL: Executive Board Member
Board of Directors
Physician Hospital Organization
St. Mary's Hospital
January 2004 to May 2008

President,
Waterbury Medical Association
Waterbury, Connecticut
January 2001 to December 2003

Executive Board Member
Waterbury Medical Association
Waterbury, Connecticut
January 1998 to present

Chairman
Operation Stroke, New Haven County
American Stroke Association
January 2001 to December 2003

Member, Federal Govt. Affairs Committee
American College of Emergency Physicians
January 2001 to December 2003

Councillor
American College of Emergency Physicians
January 1998 to December 2004

President
CT College of Emergency Physicians
January 1999 to December 1999

Secretary/Treasurer
CT College of Emergency Physicians
January 1997 to December 1997

President
Scovill Medical Group, P.C.
Waterbury, CT
January 1996 to November 2004

Chairman, Access to Emergency Health Care
CT College of Emergency Physicians
February 1995 to December 1998

Member, State Legislative/ Regulatory Committee
American College of Emergency Physicians
January 1995 to December 1999

Chairman, Govt. Affairs Committee
CT College of Emergency Physicians
January 1993 to March 2005

Chairman, Public Safety Committee
CT College of Emergency Physicians
January 1991 to December 1993

Board of Directors
CT College of Emergency Physicians
January 1991 to December 1997

CONSULTANT: Dept. of Transportation (Federal)
Transportation Safeguards Division
SBI Security Clearance
May 2001 to November 2005

Medical Review Panel Member
Office of Polar Programs
National Science Foundation
April 2003 to present

National Park Service
National Mall and Memorial Park
EMS Needs Assessment
2006

COMMUNITY AFFAIRS:

Medical Director, Heartsaver CPR and AED Program
Holy Cross High School
Waterbury, CT
May 2004 to present

Member, Heart and Stroke Committee
Halliden Fund
Waterbury Foundation
March 2003 to June 2005

Medical Director,
Greater Waterbury SAFE KIDS
January 1999 to present

Board Member,
CT Advocates for Highway and Auto Safety
April 1995 to March 2002

State Police Surgeon
CT State Police
February 1989 to present

AWARDS:

Archbishop's Award for Integrity
St. Mary's Hospital
Waterbury, CT
May 2005

Safety Leader Award
Advocates for Auto and Highway Safety
July 1996

Phil Stent Award
Outstanding CT Emergency Physician of the Year
November 2001

SPEAKING ENGAGEMENTS:

"Origins of EMS and the Emergence of TEMS and TCCC"
Annual Spring Symposium
CT College of Emergency Physicians
Foxwoods, CT
March 12, 2004

"Prevention of VTE"
Bristol Hospital Grand Rounds
Bristol, CT
March 3, 2004

"EMS Response for Police Training"
International Assoc. Chiefs of Police
Philadelphia, PA
October 24, 2003

"EMS Response"
DOE Annual Medical Conference
Ft. Chaffee, AR
January 27, 2003

"Death and Bereavement in the E.D."
Grand Rounds, Emergency Medicine
Yale University
March 16, 2000

"Death and Bereavement in the E.D."
Grand Rounds, Emergency Medicine
University of Connecticut
January 4, 2000

"Legislative Issues for Emergency Medicine in CT"
Grand Rounds, Emergency Medicine
Yale University
May 14, 1997

Speaker, Legislative Issues Forum
American College of Emergency Physicians
Washington, DC
April 1996

"Podiatric Manifestations of Systemic Disease"
Connecticut Podiatric Symposium
Mystic, CT
July 1995

"Medical Considerations in Ambulatory Surgery"
Yankee Podiatric Medical and Surgical Conference
Boston, MA
November 1993

"Legislative Issues for Emergency Medicine in CT"
Grand Rounds, Integrated Residency in Emer. Medicine
University of Connecticut Medical Center
August 1993

"Common Office Emergencies"
Yankee Podiatric Medical and Surgical Conference
Boston, MA
October 1992

ARTICLES: Holland S., "Bicycle Helmets: A Case Study on Improving the Public Health" The Connection, January 1998.

VIDEOS: "Death and Bereavement- Extending Our Care to the Family"
ACEP Chapter Grant (22 minutes)
Distributed to all CT E.D.s and all U.S. E.M. Residency Programs

Joseph Connolly

Professional Experience

Chief Marketing and Government Relations Officer, Saint Mary's Hospital 2008 - present

Director of Marketing & Community Relations 2003-2008

Participate as a member of the hospital's senior leadership team. Lead all government relations and advocacy activities at a federal, state and local level. Responsible for the creation and implementation of a comprehensive marketing plan that has resulted in a 10% gain in market share. Communicate effectively with a broad base of audiences and constituencies, including hospital staff, physicians, local business leaders, legislators and other government officials, and the community.

General areas of responsibility include:

Strategic Planning: Responsible for the development of the overall strategic marketing plan for Saint Mary's Health System, which consists of more than ten separate facilities, including Saint Mary's Hospital, three community Health and Wellness Centers, two Sleep Disorders Laboratories, and a Wound Healing Center.

Publication Development: Provide overall leadership, editorial support and creative direction for three major publications with a combined circulation of more than 200,000. Supervise a team of writers, designers, and photographers who together produce 21 separate issues annually.

Government Relations: Prepare and implement hospital's legislative agenda. Develop working relationships with local legislative delegation and elected officials at all levels. Coordinate activities with industry associations and other special interest groups. Represent hospital at General Assembly.

Affiliate Marketing: Work directly with Executive Directors to provide marketing leadership and oversight for the Naugatuck Valley Surgical Center, Heart Center of Greater Waterbury and Harold Leever Regional Cancer Center.

Service line Support: Work with individual service line leaders to brainstorm, create and implement multi-faceted marketing programs to achieve specific performance objectives. Campaigns typically include print advertising, direct mail, and internet components.

Media Relations: Responsible for creating media plans and strategies. Regularly act as media spokesperson for newspaper, radio and TV. Develop specific action plans and strategies for crisis communications. Identify appropriate hospital representatives on a case-by-case basis in response to media inquiries. Provide media coaching and support for hospital leadership and management.

Special Events: Provide extensive marketing support for all hospital and Foundation special events such as annual gala, golf tournament, employee award & recognition event, annual meeting and leadership retreats.

Founder & President **Aro Strategic Marketing** **2000-present**

Led entrepreneurial company focused on providing strategic marketing services to diversified clients including companies in the high-tech, banking, manufacturing, professional services, start-up and non-profit sectors. Managed successful, results-driven senior-level relationships with all clients.

- Produced comprehensive marketing campaigns (using print, broadcast, and digital media) from concept through completion
- Helped clients achieve specific marketing goals related to market awareness, revenue generation, and growth
- Created roster of strategic partners throughout North America providing related services such as printing, video production, photography, and web development
- Presented various marketing programs to professional and business organizations
- Wrote regular marketing column in the CT Tech Tribune

Founder, President & Creative Director **Connolly & Connolly, Inc.** **1990-2000**

Launched a full-service marketing communications company in 1990 and grew it to fee-based revenues of \$1.5 million annually. Provided day-to-day leadership and management for an in-house team of communications professionals including graphic designers, computer specialists, account managers, and administrative staff.

- Developed effective, reproducible processes for combining strategic planning with creative development to achieve client goals and objectives
- Coordinated domestic client work with international offices throughout the world, including Asia, Europe and South America
- Presented strategies and concepts to clients to achieve buy-in and approval for implementation
- Served as two-term president of the Connecticut Art Directors Club

Adjunct Professor **The University of Connecticut** **2007-present**

Taught graduate level marketing courses at the university's Hartford and Waterbury campuses.

- Integrated Marketing Communications (MKTG 362)
- Services Marketing (MKTG 314)
- Received student rankings of 9.8

Community involvement

- Chairman, Board of Directors, Waterbury Health Council (Greater Waterbury Regional Chamber)
- Vice-Chairman, Board of Directors, Child Guidance Clinic of Greater Waterbury
- Board of Directors, Naugatuck Economic Development Corporation
- Vice President, St. John of the Cross Parish Council

Education

- MBA, University of Connecticut
- Strategic Brand Development, Dartmouth College, Tuck School of Business
- BS in Mass Communication, Boston University

CAROLYN N. ORRELL

Executive team member with demonstrated experience in planning, developing and implementing information technology to support the organization's goals and strategy. Self directed with sound decision-making and communication skills. Proven experience managing successful vendor relationships, internal customer relationships and the acquisition of IT hardware and software for Clinical, Financial, and Support Services.

PROFESSIONAL EXPERIENCE:

SAINT MARY'S HEALTH SYSTEM

Waterbury, CT

CHIEF INFORMATION OFFICER

2007 – present

Provide the technology vision and leadership in the development and implementation of the information technology strategic plan. Lead the health system in planning and implementing information systems that support clinical and business operations and achieve effective and cost beneficial IT operations. Facilitate communication between staff, management, vendors, and other technology resources within the organization.

- Responsible for all IT operations, including the Data Center, and the Clinical Engineering department; 35 FTEs with two managers and over a \$7 million operating budget
- Developed the IT Strategic Plan for compliance with the ARRA/HITECH Act of 2009 for the implementation of an Electronic Health Record for the hospital and physician practices. Led the selection, negotiation and contract process with two EHR vendors for these engagements of over \$10 million
- Established a second computer room, a wireless network, implemented email encryption and a virtualized server environment
- Maintained the same number of IT FTEs since 1997 while increasing the hardware, network and software solutions to support a growing number of end-users and locations
- Executive Sponsor for the Standards of Performance Team of the Health System's Service Excellence program

IT DIRECTOR

2005 - 2007

Responsible for the IT capital and operating budgets and the management of the Applications, Integration, Information Security and Data Center staff. Select, implement, and evaluate the systems that support end users in the productive use of computer hardware, software and network.

- Led the RFP and selection process for a time and attendance system; implemented the Kronos Timekeeping and Scheduling system for the health system
- Implemented a Help Desk Incident Tracking system and process to improve incident resolution turnaround time and increase end user satisfaction
- Implemented a more robust Physician Portal solution with integration to the PACS system and Transcription system to enable e-sign of transcribed reports
- Developed a server and workstation refresh program

APPLICATIONS MANAGER, IT

1999 – 2005

Manage and supervise the Applications and Integration Staff of the Information Technology department. Assist the CIO in planning and budgeting activities and provide input for strategic systems related decision making. Act as the liaison between the IT staff and key groups, departments, committees and special task forces within the organization, and with vendors and parties outside the organization.

- Responsible for implementing the system changes required to meet the HIPAA privacy and transaction and code sets per federal regulations
- Team member of the hospital's Revenue Cycle Improvement initiative and Financial Turnaround Plan
- Led implementation of integrated General Ledger, Accounts Payable, Materials Management, Enterprise Patient Scheduling and Surgical Management systems

PROJECT LEADER, IT

1987 – 1996

Managed, directed, evaluated, designed and implemented automated systems. Responsibilities included for system implementation, planning, monitoring project status and coordinating the assignments of all team members.

SENIOR SYSTEMS ANALYST and SYSTEMS ANALYST

1983 – 1987

Evaluated, designed and implemented automated systems. Performed and documented department reviews and workflow analysis. Designed and developed system specifications and identified interface needs. Conducted systems testing and documented system procedures. Provided ongoing user support and training as needed.

EDUCATION

UNIVERSITY OF BRIDGEPORT, Bridgeport, CT

MBA, General Business

UNIVERSITY OF CONNECTICUT, Storrs, CT

BS, Business Administration, concentration in Health Systems Management

Cum Laude

Beta, Gamma, Sigma National Honor Society

AFFILIATIONS and OTHER EXPERIENCE

VNA, Hartford Healthcare – Board Member (2009 – present)

Six Sigma Green Belt, Juran Institute (2007)

Adjunct Faculty, University of Connecticut, Storrs, CT (1988 and 1989)

Health Systems Analysis and Design

Elizabeth B. Bozzuto
Office: St. Mary's Hospital
Vice President Surgical Services
Office: 56 Franklin Street
Waterbury, Ct. 06708
Office: Naugatuck Valley Surgical Center
Executive Director
160 Robbins Street
Waterbury, Ct. 06708

EDUCATION

MBA, School of Business, University of New Haven, 1993

BGS, School of General Studies, University of Connecticut, 1978

RN, Registered Nurse, St. Vincent's Hospital, Bpt, Ct. 1968

PROFESSIONAL EXPERIENCE

Vice President Surgical Services

St. Mary's Hospital April 2009-present

Executive Director, 1986-present

Naugatuck Valley Surgical Center, Waterbury, Ct.

PROFESSIONAL MEMBERSHIPS

AAAHC Accreditation Association of Ambulatory Health Care, 1990-present

- **Member of the IQI Quality Committee current**
- **Surveyor 1990-present**
- **Member of Accreditation Review Committee 2003- present**
- **Mentor program**

FASA Federated Ambulatory Surgery Association

- **Member since 1986**
- **Served on the Executive Committee 2003 - 2008**
- **Member of the Bylaws Committee 2003 - 2008**
- **Program Committee Co-Chair, FASA National Meeting, 1999**
- **Member of the FASA Disaster Preparedness Task Force 2006**

CASC Certification of Ambulatory Surgical Center Administrators

- Served as chair person for the Test Development committee of BASC 2003-2009
- CASC Certified 2003 - present

CAASC Connecticut Association of Ambulatory Surgical Centers

- Vice President 2004-2006
- President 2006-2008

PSO Patient Safety Organization for the Ct. Assoc Amb Surg Ctrs (CAASC)

- Serves as President 2005- present

DPH Department of Public Health in Connecticut

- Worked on a task force to help revise ASC Rules and Regulations in 1988 and presently.
- Serve on standards of practice, Ct. DPH current

Michael A. Novak, MSM, MBA, FACHE

Summary of Qualifications

Collaborative, dedicated, senior management executive with proven operations management experience, physician relationship management, new business strategy development, strong interpersonal and communication skills, with an extensive background in the following organizational responsibilities:

Hospital Operations
Business Development
Information Management

Physician Integration Strategies
Managed Care Contracting
Utilization Management

Strategic Planning
Patient Satisfaction
Six Sigma Green Belt

- Over twenty years of progressive, senior-level, healthcare management experience with extensive hospital and physician practice operations management and solid decision-making skills
- Demonstrated ability to lead new business development, strategic planning, performance improvement and quality initiative implementation
- Proven ability to manage hospital and physician operations with senior level experience in all major hospital departments
- Experience in contemporary physician integration development including foundation, equity, employment and other models
- Extensive experience in deploying and maintaining new academic teaching programs, developing relationships with physicians, hospital executives, as well as State and managed care officials
- Excellent qualifications in contract administration with a comprehensive knowledge of contract language, real estate leasing, revenue modeling, organization development, cost control, team building and project implementation
- Demonstrated ability to design, develop, analyze and utilize data to aid in corporate and management decision-making
- Affiliate development, reporting and management experience

Work Experience

Saint Mary's Hospital – Waterbury, Connecticut

2008-Present

Vice President of Operations and Ambulatory Services for Saint Mary's Hospital

Accountable for the hospital's largest product lines and reporting directly to the Saint Mary's Hospital CEO, the VP for Operations and Ambulatory Care is responsible for all aspects of radiology, cardiology, facility operations, environmental services, food services, managed care and ambulatory services for the entire health system.

- Developed a Radiology Strategy to merge outpatient imaging services with the local radiological group as well as outlined the business strategy for the onsite MRI dissolution as the current partnership ends in December 2010
- Spearheaded a Master Facility Planning process to outline the future growth and infrastructure needs for the Health System
- Revised the Hospital's capital budgeting process to provide a more streamlined and comprehensive approach to strategizing capital allocations
- Implemented a contract oversight system that tracks all hospital contracts for compliance to terms as well as providing on line access to approved individuals

- Developed a comprehensive cardiac strategy to confront challenges with cardiology physician practices purchased by a competing health system.
- Successful upgrade of the Hospital's CT capacity installing two new Phillips CT systems, a 64 slice and 16 slice units. Restructured the departmental configuration of the new units to improve workflow and speed of patient testing
- Developed the framework for a Cogeneration Plant to be located on the Hospital campus. The plan moving forward is to gain access to federal earmarked dollars to help offset the startup costs in addition to the existing DPUC grant for alternative energy sources

Saint Mary's Hospital – Waterbury, Connecticut

2004-2008

Vice President of Professional Operations, Graduate Medical Education and Managed Care

Functioning in dual-roles as Vice President for Professional Operations for Saint Mary's Hospital and Executive Director of the Health System's Faculty Practice – Franklin Medical Group, PC

Reporting directly to the Saint Mary's Hospital CEO, was responsible for all aspects of operations for the health system's professional medical practices, numerous hospital departments, and graduate medical education programs including affiliation with the Yale School of Medicine. Responsibilities include the strategic development of the health system's multi-million dollar faculty practice including affiliate management, medical staff development, payer contracting, new program development, revenue enhancement and community physician relations. Physician strategies include the development of physician programs and practices to ensure future hospital coverage in areas of demonstrated community need.

- Successful administration and accreditation of the Medical, Surgical, Dental and Institutional Graduate Medical Education Programs at Saint Mary's Hospital and a Medicine/Pediatrics program in affiliation with Yale School of Medicine
- Refinement of ambulatory care programs and clinics to minimize loss, dramatically improve productivity, yet preserve the commitment to the hospital's charitable mission
- Implementation of a contract management recovery program yielding in excess of \$600,000 in contractual recoveries for each of the last three years
- Recruitment of three new surgeons to expand hospital teaching capabilities and improve surgical volumes.
- Restructure of the Health System's Utilization Management Department achieving a first year reduction in average Length of Stay of 25% (.8 days)
- Actively directed three for-profit affiliate organizations employing over 205 FTE's with highly detailed business-unit budgets demonstrating associated productivity targets, physician incentives, revenue projections and strategic initiative progress reporting

(Executive Director - Franklin Medical Group, PC)

Reporting directly to the faculty practice President, as well as the faculty practice Chairman of the Board. Focused on enhancing all provider operations and promoting business development for Saint Mary's largest wholly owned affiliate, Franklin Medical Group, PC.

- Developed internal and external business initiatives for physician business lines, productivity and performance enhancement tracking, long-term relationship initiatives, long-range planning, cost efficiency, compensation incentives, balanced scorecard reporting, and quality initiatives
- Implemented the complete transition of all providers from a hospital-employed model into a faculty practice model with incentive plans allowing for improved reimbursement and revenue enhancement, boosting company revenue by 235%
- Developed a detailed budget system separating physician operations by cost center including the capability for individual physician productivity monitoring

Saint Mary's Hospital – Waterbury, Connecticut **2001-2004**

Division Director – Ambulatory and Professional Operations

Reporting directly to the Saint Mary's Hospital Senior Vice President, was responsible for all aspects of the health system's Cardiology, Pulmonary, Physical Therapy and Neurology departments, as well as managed care, the Health System's PHO, offsite ambulatory/walk-in centers and strategic joint ventures.

- Implemented the complete digitization of the Echocardiography Laboratory reducing the delay for cardiac ultrasound results from four days to same day on average
- Implemented the conversion of the neurology EEG laboratory from a pen-based analog system to digitized images including the ability to store images on CD for offsite neurologist review

Harold Leever Regional Cancer Center (HLRCC)- Waterbury, Connecticut

Interim Executive Director

2001-2002

Assumed the interim management of a troubled two hospital cancer center development project. Led the revitalization of middle management and re-established the on-time and on-budget development of the \$17 million regional cancer center initiative. Successfully reintegrated physician and community partners. Negotiated contracts for the provision of equipment in excess of \$4.6 million.

Saint Mary's Hospital – Waterbury, Connecticut

1998-2001

Director – Physician Networks

Reporting directly to the Saint Mary's Hospital Executive Vice President, was responsible for continued development of the health system's Managed Services Organization (MSO) including the development of a primary care network, managed care contracting, Physician-Hospital Organization (PHO), off site property management, and strategic joint ventures. Developed the MSO to provide service for 19 physician groups including 39 employed physician providers as well as managed risk plan data for over 300 PHO community participants. Led the deployment and development of a 17 MD, nine site, primary care network (Scovill Medical Group) that provides in excess of 15% of the hospital's PCP admissions through today.

Saint Mary's Hospital – Waterbury Connecticut

1996-1998

Director of Information Technology and Operations for the Central Connecticut Physician Practice Management Organization, LLC (CCPPMO).

Reporting directly to the Health Systems Executive Vice President and employed since startup, was responsible for the management and direction of all operations aspects of the health system's Managed Services Organization (CCPPMO).

Greater Waterbury Health Network (GWHN), - Waterbury, CT

1989-1996

Director of the Information Center, Computer Operations and Technical Support

Responsible for the complete administration, budgeting, personnel and development of the Information Center. Directly managed a 7 X 24 hour computer operation including areas of hospital-wide technical support, disaster recovery and computer operations.

Saint Mary's Hospital - Waterbury, CT

1986-1989

Programmer Analyst II

Responsible for the implementation of new IT systems and physician office system technology. Reported to the Director of Information Services and was primarily responsible for the installation, support and development of physician office billing systems and physician network development eventually deployed to 47 sites and 101 physicians.

Saint Mary's Hospital - Waterbury, CT

1985-1986

Pulmonary Technologist/Computer Programmer

Assigned to the pulmonary division, provided pulmonary testing to both inpatients and outpatients. This position was additionally responsible for new computerization development and research within the pulmonary/respiratory area.

Education

Albertus Magnus College – New Haven, Connecticut

- *Master of Business Administration with Honors*
- *Master of Science in Management with Honors*

Boston University – Boston, Massachusetts

- *Bachelor of Science, Biology*

Juran Institute, Southbury, Connecticut

- Six Sigma Green Belt

Memberships and Positions Held

Board of Directors – City of Waterbury Development Corporation

Board of Directors – Harold Leever Cancer Center

Board of Directors – DNS, Connecticut Hospital Association

Board of Directors – Scovill Medical Group

Board of Directors – Heart Center of Greater Waterbury

Fellow – American College of Healthcare Executives

Chairman - Town of Woodbury, Zoning Board of Appeals

Chairman – Health Connecticut Board of Directors, LLC

Member - Kappa Gamma Pi, National Catholic Graduate Honor Society

Member – Medical Group Management Association

Member – Hospital Financial Management Association

Sandra K. Roosa M.S., R.N., N.E.A.-B.C.

An experienced nurse executive who has provided strong leadership for a variety of hospital services and departments with emphasis on performance improvement, and with a leadership development approach as a focus. Achieved significant quality of clinical care improvements along with productivity/efficiency accomplishments in an environment of a health system under considerable financial distress. Exhibits effective facilitation and communication skills; Six Sigma Green belt contributes to substantial competency in problem solving and working with teams. Continues scholarly works through educational pursuit of PhD in Nursing at University of Connecticut.

Professional Experience:

1997 to Present:

Vice President of Patient Care Services, Saint Mary's Hospital

Full administrative responsibility for a variety of patient care areas, including inpatient and outpatient departments; Peri-operative Services; Quality/Performance Improvement/Regulatory Compliance and Pharmacy reporting directly to the CEO. Hold budgetary responsibilities of approximately 60 million dollars and associated 500 FTE's. At various times also responsible for Emergency Department; Outpatient Clinics, Social Work, Utilization Management, Epidemiology, Clinical Engineering, Radiology, Support Services & Community Outreach Nursing & Home Based Family Services.

Special projects and achievements:

- Implemented a successful joint Interventional Cardiac Services program with Waterbury Hospital and the University of Connecticut Health Center to bring Open Heart Surgery and Angioplasty to the Greater Waterbury Community. Implemented a One Stop Post Op model of patient care in the Cardiovascular Critical Care, the first in the state of Connecticut.
- Planned and developed a consolidated Inpatient Pediatric service at Saint Mary's Hospital with Connecticut Children's Medical Center and Waterbury Hospital.
- Consulted with Waterbury Public Health Department for administrative leadership during a lapse of a Director of Public Health; served as interim Director of Public Health Nursing.
- Served on the Board of the Directors of the Harold Leever Regional Cancer Center which consolidated outpatient medical and radiation oncology with Waterbury Hospital and Saint Mary's Hospital in a free standing center
- Served on the Board of Directors of VNA Healthcare Inc. during significant financial turnaround
- Developed the "Pathways" program in conjunction with Naugatuck Valley Community College, WesConn at Waterbury and University of Connecticut for nursing education advancement from A.D. to B.S. to M.S. Collaborated with University of Connecticut to establish an MBein Program on the Waterbury UCONN Campus.
- Founded the Greater Waterbury Nursing Consortium, a partnership of nursing organizations in the Greater Waterbury Area.
- Developed and implemented a Balanced Scorecard and an Organizational Excellence Model at Saint Mary's Hospital.
- Developed Six Sigma as an Organization Quality Improvement methodology. Facilitated training of 1 Black Belt, 12 Green Belts and 40 "Yellow" belts across the organization.

- Achieved third place in the SMH-WH Annual Research Day poster presentation for pilot research on Hospitalized Patient Satisfaction with Nursing Care.

1987 to 1996:

Administrative Director of Nursing; Saint Mary's Hospital, Waterbury, Connecticut

- Responsible for a variety of patient care services including Emergency Department, 4 Inpatient Medical-Surgical units, Critical Care, Outpatient Clinics, along with the hospital Department of Education (including Management Development and OD)
- Implemented a community nursing case management service for chronically ill elderly especially Medicare managed care clients associated with Saint Mary's Hospital Primary Care physician practices
- Implemented Shared Governance model for Professional Nursing at Saint Mary's Hospital
- Implemented Clinical Ladders program for nursing and subsequently all health clinical professionals and allied health services including Pharmacy, Physical Therapy, Lab, Radiology, and Respiratory
- Implemented criteria based performance appraisal system for Registered Nurses
- Implemented patient care redesign model (Hay); participated in behavioral interviews design and implementation as a component of patient care redesign

1981 to 1987:

Faculty, St. Mary's Hospital School of Nursing, Waterbury, Connecticut

- Served as classroom and clinical faculty for senior students with curriculum in Professional nursing practice, Leadership development, and Emergency/disaster nursing
- Designed and implemented a major curriculum revision project (from a three year diploma to a two year associate degree in general studies program), based upon a nursing theoretical framework

1978 to 1981:

Public Health Nurse; Waterbury Health Department, Waterbury, Connecticut

- Provided community based supportive care to Maternal and Child Health clients of inner city Waterbury
- Served as the school nurse in 3 inner city elementary schools
- Chair of Staff Education Committee

1975 to 1978:

Staff Nurse: Coronary Care Unit; St. Mary's Hospital; Waterbury, Connecticut

Education:

2006 – Currently enrolled in PhD (Nursing) University of Connecticut; Storrs, CT

1986 - M.S. (Nursing) University of Connecticut; Storrs, CT

1978 - B.S. (Nursing) Western Connecticut State University; Danbury, CT

1975 - Diploma

Saint Mary's Hospital School of Nursing; Waterbury, CT

License/Certifications:

Registered Nurse; State of Connecticut E37346

Certified Nurse Executive, Advanced; American Nurses Association, 2003

Six Sigma Green Belt Certification

Appointments:

Board of Directors member, (Chair 2005-2007): Wellpath (formerly Child Guidance Clinic of Greater Waterbury)

Advisory Board; Exchange Club Family Life Foundation

Advisory Board for Nursing: Post College; Waterbury, Ct.

Clinical Associate Professor of Nursing: Central Connecticut State University

Quality Committee of the Board of Directors: Connecticut Hospital Association

CHA Nurse Executive Group: Vice Chair

Board VNA Healthcare: HRI

Professional Affiliations/ Organizations:

American/Connecticut Nurses Association

American/Connecticut Organization of Nurse Executives

ONE-CT

Sigma Theta Tau International Nursing Honor Society; Mu Chapter

Connecticut League for Nursing: Board Member 2009-2010

American College of Healthcare Executives; Member

Saint Mary's Hospital School of Nursing Alumni Association

Saint Mary's Hospital Auxiliary

KYLE F. JURCZYK, CPA

PROFESSIONAL EXPERIENCE

Internal Audit Manager, Saint Mary's Health System, Waterbury, CT June 2009 to present

Responsible for managing all phases of internal audit for the Health System. Responsibilities and accomplishments include:

- Planning and developing an effective and efficient overall internal audit plan focusing on the Hospital's needs and risk assessment
- Identifying risks and testing controls associated with financial integrity, operational effectiveness, safeguard, and compliance with rules and regulations
- Analyze results and determine best practices to strengthen internal control
- Reporting and presenting to the Audit Committee of the Board of Directors regarding status, written reports, findings and recommendations
- Assist management with various technical accounting issues, including the identification and analysis of the impact of new accounting pronouncements

Audit Manager, KPMG LLP, Hartford, CT

May 2001 to April 2009

Started as Associate – 2001, promoted to Senior – 2003, Manager – 2006

Responsible for managing all phases of financial statement audits of not-for-profit entities and privately-held industrial companies. Responsibilities and accomplishments include:

- Planning and developing an effective and efficient overall audit approach focusing on a client's strategic risks and key business processes
- Supervising and managing audit teams of one to six individuals and coordinating the timing of deliverables to meet client expectations and internal budgets
- Consulting with client management on various technical accounting issues, operational and financial improvement opportunities, and administrative matters
- Extensive US GAAP experience, including the identification and analysis of the impact of new accounting pronouncements on financial statements and disclosures and assisting clients in research and implementation of such pronouncements.
- Developed superior communication, interpersonal, analytical, time management, multi-tasking and organizational skills
- Serving as performance manager for several staff members, including goal-setting, mentoring, and preparation of engagement evaluations and annual reviews

EDUCATION

University of Connecticut, Storrs, CT

Graduated August 2002

Masters of Science in Accounting

Western New England College, Springfield, MA

Graduated May 2001

Bachelor of Science in Business Administration in Accounting

GPA 3.85 (graduated Summa cum laude); Captain of the golf team for three years

COMPUTER SKILLS

Proficient in Microsoft Office Applications and internet use

Barbara J. Sullivan

Barbara J. Sullivan is the Director of Corporate Compliance and Risk Management for Saint Mary's Hospital. She has served in this role since 2007. Ms. Sullivan began her career at Saint Mary's in 1978 as a staff nurse in the Cardiac Care Unit. She subsequently held staff and supervisory positions in the Emergency Department and as a Shift Clinical Nursing Supervisor. In 1996, Ms. Sullivan moved into an administrative position in the Quality Management Department. Ms. Sullivan supported and coordinated the functions of the hospital wide quality assessment and then risk management programs which included establishing policies and procedures for the Quality Management and Risk Management programs at Saint Mary's Hospital.

Ms. Sullivan graduated from the Registered Nurse Program at Saint Mary's Hospital in 1978. She holds an Associates Degree in General Science from Mattatuck Community College and a Bachelor of Science from the University of Connecticut. In 2000, Ms. Sullivan graduated from the University of Hartford with a Master of Science in Nursing.

Ms. Sullivan holds a registered nursing license with the State of Connecticut. She is a member of the Healthcare Compliance Association and American Society for Healthcare Risk Management. Ms. Sullivan is also active with the Connecticut Society for Risk Management, having previously served as on its Board of Directors.

M. Clark Kearney

SUMMARY

Senior human resource executive with experience in health care, human resources, operations and business development. Skilled at building sound relationships and leading teams to support organizational goals. Management responsibilities have included:

Compensation, Benefits, Labor Relations, Organizational Development, Quality Improvement, Employee Relations, Community Relations, Operations and Business Development

CAREER HISTORY

SAINT MARY'S HOSPITAL, Waterbury, CT

1/07 - present

Vice President, Human Resources & Organizational Effectiveness

- Human Resource, Education, and Organizational Development responsibilities in a non-union environment of 1800 plus employees.

JOHNSON HEALTH NETWORK, Strafford, CT

8/00 - 12/06

- Network Vice President to an integrated health system with 1,400 employees. RN's are unionized.
- Restored confidence to a beleaguered Human Resources function through a strong customer-focused plan.
- Reduced the RN vacancy rate from 13% to 3% with special recruitment/retention programs.
- Headed an organizational development effort to increase customer satisfaction. Satisfaction increased from the 30th percentile to the 70th percentile in one year.
- Implemented a self-insured workers compensation program which reduced the annual expense from \$800,000 to \$450,000.
- Recruited ten attending physicians (Urology, Surgery, etc.) to strengthen the Hospital's marketing position.

MIDSTATE MEDICAL CENTER, Meriden, CT

1980-7/00

Vice President, Business Development 1997 - 7/00

- Registered a 2% increase in market share by directly involving physicians in marketing.
- Increased the community approval rate of the hospital from 43% to 80% by implementing a comprehensive community relations strategy.

MIDSTATE MEDICAL CENTER (continued)

Vice President Administration, Human Resources 1980-1998

- Built a for-profit hospital business to \$12 million annual revenue from \$4 million.
- Led customer satisfaction change effort. Produced dramatic changes in customer ratings. Hospital now ranks in the 96th percentile of U.S. hospitals. (Press-Ganey Survey)
- Implemented first hospital management incentive system in Connecticut.
- Negotiated successful labor agreements in a previously adversarial environment.
- Increased employee retention by implementing a system-wide transfer policy which consolidated pension coverage.
- Implemented a performance based downsizing policy from a longevity system.
- Created an integrated delivery system which currently manages \$8 million in risk contracts.

NEW BRITAIN GENERAL HOSPITAL, Director of Personnel 1974-1980

CONNECTICUT MUTUAL LIFE, Employment Specialist 1972-1974

UNITED STATES ARMY, 1st Lieutenant 1969-1972

EDUCATION

M.S., Management, Hartford Graduate Center, Hartford, CT

M.S., Education, University of Southern California, Los Angeles, CA

B.A., Bates College, Lewiston, ME

PROFESSIONAL

Chairman, Zoning Board of Appeals

Former faculty, Graduate Program, *Management, Labor Relations*, Hartford Graduate Center

Saint Mary's – Physician Leaders

Curriculum Vitae

Curriculum Vitae

Name S. Mark Albini

Office Address Naugatuck Valley OB/GYN, PC
133 Scovill Street
Waterbury, Connecticut 06706

Telephone Office: (203) 575-1811

Education

1976-1981	B.S. University of Connecticut Storrs, Connecticut
1982-1983	M.S. University of Connecticut Storrs, Connecticut
1983-1987	M.D. St. George's University School of Medicine Grenada, West Indies
1987-1989	Resident, Internal Medicine St. Mary's Hospital Waterbury, Connecticut
1989-1993	Resident, Obstetrics & Gynecology University of Connecticut Farmington, Connecticut

Licensure and Certification

1989	State of Connecticut, License No. 030405
1996	Diplomat of the American Board of Obstetrics and Gynecology
2003-present	Recertified yearly

Hospital Appointments

Attending Obstetrician & Gynecologist
St. Mary's Hospital
Waterbury, Connecticut

Attending Obstetrician & Gynecologist
Waterbury Hospital Health Center
Waterbury, Connecticut
9/1993-11/2006

Chairman, Department of Obstetrics & Gynecology
St. Mary's Hospital
Waterbury, Connecticut
3/12/99-present

Medical Director, The Birthplace at St Mary's Hospital
In-hospital Birth Center
1/1/00-9/2006

Associate Chief of Staff--St Mary's Hospital
1/1/06-12/31/07

Chief of Staff-- St Mary's Hospital
1/1/08-12/31/09

Teaching Appointments

Instructor, Obstetrics & Gynecology
University of Connecticut School of Medicine
Farmington, Connecticut
1992-1993

Clinical Instructor, Obstetrics & Gynecology
University of Connecticut School of Medicine
Farmington, Connecticut
1993-6/1999

Assistant Clinical Professor, Obstetrics & Gynecology
University of Connecticut School of Medicine
Farmington, Connecticut
9/1998-present

Clinical Adjunct Assistant Professor
Quinnipiac University School of Health Sciences
Hamden, Connecticut
8/1/02-present

Awards, Prizes and Distinctions

Honorable Mention for abstract Idiopathic
Esophageal Varices 1989
American College of Physicians
Clinical Vignette Competition

Outstanding Achievement Award for Excellence in
Urogynecology 1990-1991
Department of Obstetrics & Gynecology
University of Connecticut School of Medicine
Parke-Davis Scholar 1993
Awarded by the Educational Foundation of the
Association of Professors of Obstetrics &
Gynecology

Outstanding Resident in Gynecologic Endoscopy 1993
Awarded by the American Association of Gynecologic
Laparoscopists

Dorfman Award for Excellence in
Patient Care 1993
Department of Obstetrics & Gynecology
University of Connecticut School of Medicine

Alumni Award for Excellence in
Undergraduate Teaching 1993
Department of Obstetrics & Gynecology
University of Connecticut School of Medicine

President
University of Connecticut OB/GYN Society
1995-1996

Specialty Surgical Teaching Award 1996 & 2006
for Excellence and Dedication to Resident Teaching
in a Surgical Specialty
Department of Surgery
St. Mary's Hospital, Waterbury, Connecticut

Faculty Recognition Award 1998
St. Mary's Hospital CME Committee
St. Mary's Hospital, Waterbury, Connecticut

Monsignor James G. Coleman Alumni Award 1998
for an alumnus of Sacred Heart High School who reached
a distinguished level of accomplishment & achievement in
his chosen field

ACOG Recognition Award—Program for Continued
Professional Development, June 30, 2002, 2005, 2008, 2011
American College of Obstetricians & Gynecologists

Physician's Recognition Award, Nov. 1, 1998-2001,
July 1, 2003-2006, July 1, 2005-2008
American Medical Association

Health Professional Award 2000
La Leche League of Connecticut for outstanding support,
understanding and acceptance of breastfeeding

Community Service Award
May 11, 2004
Anderson Boys' Club of Waterbury, Connecticut

President
Greater Hartford OB/GYN Society
2005-2006

Children's Hero Award 2007
October 12, 2007
Young Parent Program at Crosby High School,
Waterbury, Connecticut

Societies

Fellow, American College of Obstetrics & Gynecology

Member, The Society for the Investigation of Early Pregnancy

Member, American Institute of Ultrasound in Medicine

Committee Appointments

1992-1993 Medical Records Committee
John Dempsey Hospital
Farmington, Connecticut

1993-1996 Tissue Committee
St. Mary's Hospital
Waterbury, Connecticut

1997-present Continuing Medical Education Committee
Obstetrics & Gynecology
St. Mary's Hospital
Waterbury, Connecticut

1998-2005 Cancer Committee
St. Mary's Hospital
Waterbury, Connecticut

1995-1997 Member, Allocations Committee
United Way of Central Naugatuck Valley

1997-1998 Chair, Medical Professionals Division
United Way of Central Naugatuck Valley

1998-2000 Co-chair, Medical Professionals Division
United Way of Central Naugatuck Valley

Editorial Consultation

1995-2005 Journal of the American Association
of Gynecologic Laparoscopists (Ad Hoc
Review Committee)

2005-present	The Journal of Minimally Invasive Gynecology (Ad Hoc Review Committee)
2002-2003	EARLY PREGNANCY: Biology and Medicine
2003-present	American Journal of Reproductive Immunology (Ad Hoc Reviewer)

Publicatlons

1. Afrasiabi R, Sirop PA, Albini SM, Rosenbaum HM, Piscatelli RL: Recurrent Pericarditis and Dermatitis Herpetiformis-Evidence for Immune Complex Deposition in the Pericardium. Chest 97: 1006-1007, 1990.
2. Lettieri L, Vintzileos A, Rodis JF, Albini M, Salafia CM: Does Idiopathic Preterm Labor Exist? Am J Obstet Gynecol 168: 1480-1485, 1993.
3. Albini SM, Benadiva CA, Haverly K, Luciano AA: Laparoscopic Management of Benign Cystic Teratomas: Laparoscopy Compared with Laparotomy. J Am Assoc Gynecol Laparosc 1 (3): 219-222, 1994.
4. Cusick W, Rodis JF, Vintzileos AM, Albini SM, McMahon M, Campbell WA: Predicting Pregnancy Outcome from the Degree of Maternal Serum Alpha-Fetoprotein Elevation. J Reprod Med 41: 327-332, 1996.

Non-peer Reviewed Publications

1. Shirani J, Albini SM: Left Ventricular Free Wall Rupture Following Myocardial Infarction: A Review. Residents Forum 2: 5-9, 1989.

Abstracts

1. Albini SM, Cusick W, Rodis JF, Lettieri L, McMahon M, Vintzileos A: Routine Amniocentesis Is Not Indicated to Evaluate an Unexplained Elevated Maternal Serum Alpha-fetoprotein. Society of Perinatal Obstetricians (SPO), Orlando, February, 1992. (Poster Presentation).
2. Lettieri L, Vintzileos A, Albini M, Martins M, Salafia C, Mead J: Does Idiopathic Preterm Labor Exist? Society of Perinatal Obstetricians (SPO), Orlando, February, 1992. (Poster Presentation).
3. Cusick W, Rodis JF, Vintzileos A, Albini SM, McMahon M, Campbell W: Pregnancy Outcome by the Degree of Maternal Serum Alpha-fetoprotein Elevation. Society of Perinatal Obstetricians (SPO), Orlando, February, 1992. (Poster Presentation).
4. Henderson MG, Albini SM, Scotti RJ: Potential Incontinence in Patients with Severe Genitourinary Prolapse. American Urogynecology Society (AUGS), Cambridge, August 1992.
5. Henderson MG, Albini SM, Scotti RJ: Potential Incontinence in Patients with Severe Genitourinary Prolapse. International Continence Society (ICS), Halifax, Nova Scotia, September 1992.
6. Albini SM, Metzger DM, Oktay K, Benadiva CA, Luciano AA, Chere M: Laparoscopic Retropubic Urethral Suspension. European Society of Human Reproduction and Embryology, Goreme-Kapadokya, Turkey, May 1993. (Oral Presentation).

7. Oktay K, Manzi DM, Albini SM, Luciano AA: GnRH Challenge Test Using the GnRH Pump. European Society of Human Reproduction and Embryology, Goreme-Kapadokya, Turkey, May 1993. (Oral Presentation).
8. Albini SM, Hardardottir H, Hoffman JF, Luciano AA: Management of Malignant Precursors of the Endometrium with Hysteroscopy. New England Association of Gynecologic Oncologists (NEAGO), Chatham, Massachusetts, May 1993. (Oral Presentation).
9. Albini SM, Metzger D, Benadiva CA, Haverly K, Luciano AA: Laparoscopic Management of Benign Cystic Teratomas: Laparoscopy vs. Laparotomy. American Association of Gynecologic Laparoscopists (AAGL), San Francisco, November 1993. (Oral Presentation).
10. Scotti RJ, Henderson ML, Albini M: The Prolapse Reduction Test. Society of Gynecologic Surgeons (SGS), Nashville, March 1994. (Oral Presentation).
11. Albini SM, Karolicki B, Chere M, Polke D, Feldman RA, Luciano AA: Delayed Ureteral Injury After Laparoscopic Surgery. American Association of Gynecologic Laparoscopists (AAGL), Orlando, November 1995. (Oral Presentation).
12. Gonzalez RR, Leavis PC, Albini SM, Paidas MJ, Rivnay B, Sathiyaseelan T, Coulam CB, Barnea ER: Preimplantation Factors (PIF) Embryo-Derived Immunomodulatory Peptides: Possible Implications For Maternal Recognition And Allograft Tolerance. American Society for Reproductive Immunology (ASRI), Chicago, June 2002. (Plenary Session).
13. Barnea ER, Rivnay B, Sathiyaseelan T, Albini SM, Paidas M: Immune Modulation, By Embryo-specific Peptides, Allow for Embryo Tolerance Whilst Preserving the Maternal Host's Ability to Fight Pathogens: Preimplantation Factor. The 1st Brown-Linköping Conference on Basic and Clinical Aspects of Reproductive Immunology, Providence, November 2002 (Oral Presentation)
14. Albini SM: Consequences of Adverse Prenatal Conditions on the Development of Disease in Adult Life. The Agra Obstetrical and Gynecologic Society, Agra, India, December 2002 (Oral Presentation)
15. Albini SM: Consequences of Adverse Prenatal Conditions on the Development of Disease in Adult Life. The IVth Indian Congress on Gynecologic Endoscopy, Infertility & ART, Jodhpur, India, December 2002 (Oral Presentation)
16. Albini SM: Hysteroscopic Transcervical Sterilization. The IVth Indian Congress on Gynecologic Endoscopy, Infertility & ART, Jodhpur, India, December 2002 (Oral Presentation)
17. Albini SM: Postnatal Consequences of Adverse Embryonic/Fetal Development. From Fertilization to Fetal Development: Diagnostic and Therapeutic Challenges. The American Society for Reproductive Immunology (ASRI) Pre-Congress Symposium, New Haven, June 2003 (Oral Presentation)

Other Presentations

1. Management of Malignant Precursors of the Endometrium with Hysteroscopy, Waterbury Hospital Health Center OB/GYN Grand Rounds, Waterbury, Connecticut, July, 5, 1994.
2. CISH Procedure, AORN Conference on Advanced Laparoscopic Techniques, St. Mary's Hospital, Waterbury, Connecticut, October 7, 1995.

3. Care of the Prenatal Patient: Prenatal Visits, Common Tests, Common Problems, Sacred Heart University, Nursing 560: Family and Primary Care Course, Bridgeport, Connecticut, February 26, 1996.
4. Hysterectomy, In-service Lecture, Connecticut Peer Review Organization, Middletown, Connecticut, June 6, 1996.
5. A Complementary Approach to Menopause, Western Connecticut Association of Occupational Health Nurses Meeting, Woodbury, Connecticut, April 13, 1999.
6. Gentle Birth Choices, 1999 La Leche League Connecticut Conference, Southbury, Connecticut, April 17, 1999.
7. Seasons in the Sun, (The Non-hormonal Treatment of Menopausal Symptoms), 2000 La Leche League Connecticut Conference, Southbury, Connecticut, April 15, 2000.
8. Instituting Waterbirth Practices in Hospitals, Waterbirth 2000 Conference-A Vision for the Future, Portland, Oregon, September 23, 2000.
10. Waterworld, (History and Current Research on Waterbirth), 2001 La Leche League Connecticut Conference, Southbury, Connecticut, April 21, 2001.
11. Waterbirth, (History, Benefits and Safety Issues), 2001 Birth Partners Connecticut Conference, Southbury, Connecticut, December 1, 2001.
12. Mothers, Babies and Disease in Later Life—the effects of intrauterine development on health issues in later life, 2001 Birth Partners Connecticut Conference, Southbury, Connecticut, December 1, 2001.
13. Preimplantation Factor—an immunomodulatory peptide and its effects on early pregnancy, Section of Endocrinology & Infertility, Department of Obstetrics & Gynecology, University of Connecticut School of Medicine, Farmington, Connecticut, January 17, 2002.
14. Birth Underwater—water immersion for labor and delivery, Methodist Hospital, Indianapolis, Indiana, October 9-10, 2002.
15. Mothers, Babies and Disease—the effects of maternal nutrition in pregnancy on intrauterine development and the child's health in later life, Birth and Beyond Conference, Southbury, Connecticut, November 16, 2002.
16. Hysteroscopic Transcervical Sterilization, Waterbury Hospital Health Center, OB/GYN Grand Rounds, Waterbury, Connecticut, January 9, 2003.
17. Risks and Benefits of HRT, The Harold Leever Regional Cancer Center, 2003 Breast Health Symposium, Waterbury, Connecticut, September, 20, 2003.
18. Waterbirth—Embrace the Miracle, Hackettstown Community Hospital sponsored Symposium, Mt. Olive, New Jersey, October 24, 2003.
19. Immunology and Prematurity—Clinical Perspectives in Perinatal Nursing 2004, Connecticut section of AWHONN and March of Dimes Birth Defects Foundation Connecticut Chapter, Farmington, Connecticut, May 10, 2004.
20. Shoulder Dystocia-- Clinical Perspectives in Perinatal Nursing 2004, Connecticut section of AWHONN and March of Dimes Birth Defects Foundation Connecticut Chapter, Waterbury, Connecticut, May 10, 2005.

21. Immunobiology of Pregnancy-- Moderator of Selected Presentations, American Society for Reproductive Immunology 25th Anniversary Meeting, Providence, Rhode Island, June 18, 2005.

22. Osteoporosis, Resident Symposium, Department of Obstetrics & Gynecology, St. Francis Hospital and Medical Center, Hartford, Connecticut, May, 26, 2007.

23. Challenges in Initiating Team Training in an Obstetric Unit, Huddle-Sustaining Team Training: Successes and Challenges, Team Performance Plus—OB Huddle, Boston, Massachusetts, October 6, 2009.

Rev. 10/09

CURRICULUM VITAE

NAME: Joseph Robert Anthony, MD, FACC

BUSINESS ADDRESS & PHONE NUMBER: 56 Franklin Street, Waterbury, CT 06706 Phone: 203-709-6360

EDUCATION:

**University of Connecticut, B.A.
Tufts University School of Medicine, M.D.
Internship-Boston City Hospital, Boston, MA
Internal Medicine Residency - Waterbury Hospital, Waterbury, CT
Internal Medicine Residency - Boston V.A. Hospital, Boston, MA
Senior Resident in Cardiology - Los Angeles V.A. Hospital, Los Angeles, CA
U.C.L.A. Medical Center, Los Angeles, CA
Cardiology Fellowship-Tufts University School of Medicine, Boston, MA
Lemuel Shattuck Hospital, Boston, MA**

HOSPITAL APPOINTMENTS:

**Chief of Cardiology - St. Mary's Hospital
Attending in Medicine - St. Mary's Hospital
Physician to the Ambulatory Service - Medicine - Yale-New Haven Hospital
Consulting Staff - St. Raphael's Hospital, New Haven, CT
Consulting Staff - Cardiology - Griffen Hospital
Consulting Staff - Cardiology - Waterbury Hospital
Corporator of St. Mary's Health System Board of Directors**

ACADEMIC APPOINTMENT:

**Associate Clinical Professor of Medicine - Yale University School of Medicine
Assistant Clinical Professor of Medicine - University of Connecticut
Assistant Professor of Medicine - Ohio State University School of Medicine
Assistant Professor of Preventive Medicine - Ohio State University School of Medicine
Clinical Instructor in Medicine - Tufts University School of Medicine**

RESEARCH APPOINTMENTS:

**Director Sudden Cardiac Death Study - Ohio State University
Director Coronary Prevention Clinic - Ohio State University**

MEDICAL LICENSURE:

Connecticut, Ohio, California and Massachusetts

CERTIFICATION:

**Internal Medicine, 1968 - Recertified 1974 & 1980
Cardiovascular Disease, 1974**

MILITARY SERVICE:

U.S. Public Health Service

ORGANIZATIONS:

**Medical Director of the Connecticut State Police
Fellow of the American College of Cardiology
Fellow of the American College of Physicians
Cardiac Consultant Apollo X Mission to the Moon, NASA Space Center, Houston, TX
Connecticut Medical Society
Waterbury Medical Society
American Public Health Association (former)
Board of Directors of the Connecticut Heart Association – Northwest Chapter
Board of Directors – St. Mary's Hospital
Governors Advisory Committee (Connecticut) American College of Cardiology
American Heart Association
New Haven County Medical Society
Board of Directors of the American Red Cross
Regional Advisory Board – Mattatuck Community College
Trustee – St. Bridget's Church – Cheshire, CT
Chief State Police Surgeon – Connecticut State Police**

CURRENT TEACHING ACTIVITIES:

**ECG Sessions – Cardiology Service
Fourth Year UCONN Medical Students, Cardiology, St. Mary's Hospital
Medical Residents – St. Mary's Hospital
Third Year University of Connecticut Medical Students, St. Mary's Hospital
Various students rotating through St. Mary's Hospital**

OTHER ACTIVITIES:

**Medical Director
Connecticut State Police**

**Cardiac Consultant
Waterbury Police Department**

**Director of Heartmobile Program
St. Mary's Hospital
Waterbury, CT**

**Consultant, N.I.H. Sudden Cardiac Death Study
Ohio State University**

**Assistant Director of the Coronary Prevention Program
Ohio State University School of Medicine**

**Consultant to the City Health Department
Waterbury, CT**

**Medical Director
Coronary Prevention Clinic
Ohio State University College of Medicine
Columbus, OH**

**Cardiology Consultant
Ohio State University
Student Health Service**

**Cardiology Consultant
Battelle Memorial Institute
Columbus, OH**

**Chairman, Disaster Committee
City of Waterbury**

**Cardiology Consultant
Apollo 10 Mission
Houston, TX**

**Chief State Police Surgeon
Connecticut State Police**

Joseph Robert Anthony, MD

PUBLICATIONS:

"Atherosclerosis: A Review of the Subject with Special Emphasis on the Significance of Serum Lipids and Diet." Anthony. Basic Science Thesis - Los Angeles V.A. and UCLA Medical Center, December 1965.

"Control of Persistent Ventricular Ectopic Beats by Alprenolol, A New Beta Adrenergic Blocking Agent". Anthony, Jick and Spodick. The American Heart Journal, 77 #5:5980692, May 1969.

"A Study of the Primary Prevention of Coronary Heart Disease". Keller and Anthony. American Journal of Public Health, 60 #8:1466-1476, August 1970.

"The Effect on the Deciduous and Permanent Teeth of Tetracycline Deposited While in Utero". Anthony. Post Graduate Medicine, 48 #4:165-168, October 1970.

"The Relationship of the P Wave Axis to Pulmonary Function in Ambulatory, Working Population. Anthony and Spodick. Angiology, 22 #10:557-560, November 1971.

"Cardiac Electro-Mechanical Time Intervals as Indices of Hypoxic Circulatory Stress in Man". Kowalski and Anthony. Aerospace Medicine, 43 #4:361-367, April 1972.

"Overexertion in the Physically Unfit". Anthony. Ohio's Health, XXIV #5:1-4, May 1972.

"Effect of Interval Training on Systolic Time Intervals". Fox, Anthony, Bartel and Lanese. The Physiologist, November 1972.

"Pathology of Sudden Cardiac Death". Keller, Babu, Anthony and Bash. Circulation XLVIII #4 IV-240, October 1973.

"The Heartmobile: A Mobile System of Emergency Cardiac Care". Anthony. Waterbury Printing Co., 1973.

"The Effect of Altitude on the Cardiac Systolic Time Intervals". Anthony and Kowalski. Angiology, 25 #2:127-137, February 1974.

"A Mobile System of Acute Cardiac Care". Anthony. Emergency Medicine Today, American Medical Association, 3 #4 April 1974.

"A Mobile Emergency Cardiac Care Unit: Analysis of Initial Data: Anthony and Semeraro. Connecticut Medicine, Vol 34 #12:797-800, December 1975.

"Pathology of Atherosclerotic Heart Disease in Sudden Death". Baba, Keller, Anthony and Bash. I Circulation Supplement, Vol 52 #6: III 53-III 59, December 1975.

"Pathology of Atherosclerotic Heart Disease in Sudden Death". Baba, Keller, Anthony and Bash. II Circulation Supplement, Vol 52 #6: III 63-III 69, December 1975.

"The Connecticut State Police Health Maintenance Program". Leonard, Anthony, Camaione and Forkiotis. Police Chief, Vol. XLV #7:58-63, July 1978.

"Newer Antiphospholipid Antibodies Predict Adverse Outcomes in Patients With Acute Coronary Syndrome". Coagulation and Transfusion Medicine

"Oxidized-LDL/B2-glycoprotein I complexes are associated with disease severity and increased risk for adverse outcomes in patients with acute coronary syndromes". Thomas P. Greco, MD, Ann Marie Conti-Kelly, MD, J. Robert

Anthony, MD, Thomas Greco Jr., Robin Doyle, Matt Boisen, Kazuo Kojima, BA Pharm, Eiji Matsuura, PhD, Luis Lopez, MD

Gregory K. Buller, MD FACP

Education

<u>Undergraduate:</u>	1972-1977	University of Kansas B.A. Biology, B.S. Genetics
<u>Postgraduate:</u>	7/77-6/80	University of Kansas School of Medicine, M.D.
	7/80-6/81	Duke University Medical Center, Intern in Medicine
	7/81-7/82	Duke University Medical Center, Junior Assistant Resident
	7/82-6/83	Duke University Medical Center and Durham Veterans Administration Medical Center, Chief Medicine Resident
	7/84-7/86	Duke University Medical Center, Fellow in Nephrology

Faculty Positions

7/83-6/86	Medical Associate (Instructor), Duke University Medical Center
7/86-6/93	Assistant Clinical Professor of Medicine Yale University School of Medicine
7/94-Present	Associate Clinical Professor of Medicine Yale University School of Medicine
8/88-12/02	Chief, Division of Nephrology and Hypertension St. Mary's Hospital, Waterbury, Connecticut
8/88-12/02	Director of Dialysis St. Mary's Hospital, Waterbury, Connecticut

Faculty Positions (continued)

7/93-6/05	Firm Chief, St. Mary's Hospital, Yale University Primary Care Residency Program
1/03-Present	Chairman, Department of Medicine Saint. Mary's Hospital, Waterbury, Connecticut

7/08-Present Designated Institutional Official
Saint Mary's Hospital, Waterbury, Connecticut

11/05-8/09 Saint Mary's Hospital-Yale University Medicine Residency
Program Director

Board Certification

ABIM-1984
ABIM-Nephrology-1988
Specialist in Hypertension-Certified by American Society of
Hypertension-1999 (first year offered)

Professional Organizations

American Society of Nephrology
International Society of Nephrology
American Society of Hypertension
National Kidney Foundation
New Haven County Medical Association
American College of Physicians
Southern Medical Society
American Heart Association
- Council on High Blood Pressure
Association of Program Directors of Internal Medicine
Association of Chiefs and Chairs of Medicine
Alliance for Academic Medicine

Journal Reviewer/Editor

Annals of Internal Medicine
ACP Journal Club
American Journal of Kidney Disease
Best Clinical Evidence
Hospital Practice

Relevant Medical Organizational Experience

President, Nephrology & Hypertension Associates of Waterbury 1989 to Present

Medicine Intern Selection Committee, Yale Primary Care Residency Program -1990-2004

Curriculum Committee, Yale Primary Care Residency Program – 1990-2004

CT ACP Scientific Committee, 1994-2001

Chairman, ACP Associates Committee, 1999-2001

Medical Director, Waterbury Dialysis Unit (currently DaVista) – 2000-Present

Board of Directors, Saint Mary's Hospital – 2003-Present

Quality Committee, Saint Mary's Hospital Board of Directors, 2004-Present

Institutional Committee for Graduate Medical Education, Saint Mary's Hospital – 2003-Present

Medical Executive Committee of Saint Mary's Hospital – 2003-Present

Board of Directors, Franklin Medical Group, PC; Vice-President of Organization – 2004-Present

Multiple other committees associated with a variety of business, educational, and administrative processes, such as physician recruitment, strategic planning, resident education and evaluation, quality assurance, peer review.

Other Work Experience

1972-1976 Topeka Tennis Club/Topeka Country Club, Tennis Professional, Teaching

1976 Director, University of Kansas Tennis Camp

Honors/Awards-Academic

1978-1980 Carriage Club, Kansas City, KS Tennis Professional Sterling Scholarship; 1978; 1970, 1980

Medical School

Elected to Alpha Omega Alpha, 1979

President of University of Kansas Chapter 1980

Honors/Awards-Academic (continued)

- Who's Who in American Colleges and Universities, 1979
Merck Award for Special Academic Achievement, 1980
Marcell Award for Student Receiving Highest Overall Rating by the Medical Faculty, 1980
Sosnow Award for Clinical Skill & Humanistic Qualities, 1980
- 1985-1986 National Kidney Foundation Research Fellowship
- 1985&1986 Nominated for "Golden Apple", the Faculty Teaching Award at Duke University Medical School
- 1988-1989 "Teacher of the Year" Award Yale Primary Care Residency Program
1990-1991 "Teacher of the Year" Award Yale Primary Care Residency Program
1993-1994 "Teacher of the Year" Award Yale Primary Care Residency Program
1995-1996 "Teacher of the Year" Award Yale Primary Care Residency Program
2002-2003 "Teacher of the Year" Award Yale Primary Care Residency Program
- 2005-2006 "Teacher of the Year" Award. Saint Mary's Hospital – Yale Residency Program
- 2/2002 – Present Named one of the "Top Doctors of Connecticut"
- 8/2007 Named one of the "Top Doctors in America"

Honors/Awards-Nonacademic

- Member National Junior Ski Team, 1968
American Legion Service Award, 1972
Athletic Scholarship, University of Kansas, 1972-1976
Captain, University of Kansas Tennis Team, 1974-1976
Big 8 Conference Scholar, Athletic Award 1976

Publications

Buller GK, Klotman PE and Brazy PC. Endotoxin stimulates renal oxidative metabolism in vitro. Clin Res 32:858, 1984.

Schwab SJ, Buller GK, McCann RL, Bollinger RR and Stickel DL. Prospective evaluation of a Dacron cuffed hemodialysis catheter for prolonged use. Am J Kidney Dis 11:166, 1988.

Buller GK and Perazella MA. Hypoglycemia and angiotensin converting enzyme (ACE) inhibition. Amer J Med 91:104, 1991.

Perazella MA and Buller GK. Hemorrhagic bursitis complicating treatment with recombinant tissue plasminogen activator (rt-PA). Amer J Med 91:440, 1991.

Buller GK and Perazella MA. Can ibuprofen cause ARF in a normal individual? Am J Kidney Dis 18:600, 1991.

Buller GK and Perazella M. Metabolic considerations in the treatment of hypertension. Ch. Cardiology 6:102, 1992.

Perazella MA and Buller GK. The multiple cholesterol emboli syndrome. Res. Staff Phy. 38:95, 1991.

Buller GK and Perazella MA. Successful treatment of cystinuria with captopril. Am J kidney Dis 21:504, 1993.

Perazella MA and Buller GK. NSAID nephrotoxicity revisited: Parenteral ketorolac induced acute renal failure. Southern Med J 86:1421, 1993.

Buller GK and Perazella MA. Treatment of cystinuria with Captopril. Kidney 2: 299, 1992.

Buller GK and Perazella MA. Nephrotic syndrome associated with agnogenic myeloid metaplasia. Am J Nephrol 14:223, 1994.

Buller, GK. Commentary on "Effects of saline, mannitol, and furosemide on acute decreases in renal function induced by radiocontrast agents." ACP J Club. 1995 May-June; 122-71. Abstract and comment on: Solomon R, Werner C, Mann D, D'Ellia J, Silva P. Effects of saline, mannitol, and furosemide on acute decreases in renal function induced by radiocontrast agents, N Engl J Med 331: 1416-1420, 1994.

Buller, GK and Perazella MA. The treatment of cystinuria. Am J Kidney Dis. 25: 661-662, 1995.

Publications (continued)

Leechiong J Sadigh M, Simms ML, Buller GK. Pericarditis and lymphadenitis due to rhodococcus equi. *The American Journal of Medical Sciences*. 310:31-33, 1995.

Buller GK and Perazella MA. The renal syndromes of non-steroidal antiinflammatory agents. (Accepted – publication pending – *Annals of Int Med*).

Sander S, Perazella MA and Buller GK. Hypercalcemia due to sarcoidosis with normal chest radiography. *Am J Med* 99:437-438, 1995.

Buller GK. Commentary on “A randomized, placebo-controlled, parallel study of various doses of losartan potassium compared with enalapril maleate in patients with potassium compared with enalapril maleate in patients with essential hypertension. *ACP Club*. January-February. 1996. 124: 10. Abstract and comment on: Gradman AH, Arcuri KE, Goldberg AI, et al. *Hypertension*. 25: 1345-50, 1995.

Perazella MA, Frederick WG, Buller GK, Widman S. Mechanical mitral valve prosthesis dysfunction from thrombus: TEE has limitations. *Connecticut Med* 60:75-77, 1996.

Buller GK, Papanicolaou D and Perazella MA. Osmotic pulmonary edema in chronic renal failure. (Submitted for publication, 1994).

Buller GK and Perazella MA. Mesangioproliferative glomerulonephritis associated with oral dextroamphetamine use. (Submitted for publication).

Rajendran A, Perazella MA, and Buller GK. Trimethaprim-sulfamethoxazole causes hyperkalemia in standard therapeutic doses. *Ann Intern Med*. 124:316-320, 1996.

Buller, GK and Perazella MA. Trimethaprim-induced hyperkalemia. *Am J Kidney Dis*. July, 1997.

Buller K and Perazella MA. Ketorolac and acute Renal Failure. *Annals Int Med* June, 1997.

Perazella MA and Buller GK. Biopsy-proved nodular diabetic nephropathy in a patient with glucagonoma (Abstract). *CT ACP*, 1992.

Buller GK and Perazella MA. Chronic amphetamine use and renal failure. (Abstract) *CT ACP meeting*, 1992.

Publications (continued)

Buller GK and Perazella MA. Crescentic glomerulonephritis occurring in multiple myeloma. (Abstract). CT ACP, 1994.

Daher E and Buller GK. Lactic acidosis associated with lymphoma: A case report, literature review and report of responses to insulin and glucose therapy. CT ACP; (Submitted for publication, 1994).

Butler J, Daher E, Roer D and Buller GK. The changing spectrum of acute renal failure: Role of NSAID's and ACE inhibitors. CT ACP; (Submitted for publication, 1994).

Papanicolaou D and Buller GK. Cushing's syndrome presenting as diabetic ketoacidosis. CT ACP; (Submitted for publication, 1994).

Pesani M and Buller GK. Tuberculous ileocecalitis and peritonitis. (Abstract). CT ACP, 1995.

Buller GK and Papanicolaou, D. The natural history of Little's disease. CT ACP; (Submitted for publication).

Alem A, Buller GK and Perazella MA. Atypical histology associated with light chain nephropathy. (Abstract). CT ACP, 1995.

Bravata D, Buller GK Perazella MA. Sled-rider's kidney: A rare cause of renin-associated hypertension (Abstract). CT ACP, 1995.

Alappan R, Perazella MA and Buller GK. TrimethoprimSulfamethoxazole (TMP-SMX) in standard dose causes hyperkalemia in hospitalized patients (Abstract). CT ACP, 1995.

Alcindor T Kuo D, Alappen R and Buller GK. Hyperphosphatemia, metabolic alkalosis and renal calcification in "cockayne syndrome." (Abstract) CT ACP, 1995.

Buller GK, Roer DA and Varano S. Nocardia brain abscess in a renal transplant patient with pneumonia (Abstract). CT ACP, 1997.

Buller GK and Cadariu A. Thyrotoxic hypokalemic periodic paralysis presenting in an African-American (Abstract). CT ACP, 1997.

Puttagunta S, Roer D and Buller GK. Spontaneous renal hemorrhage presenting as worsening hypertension (Abstract). CT ACP, 1998.

Publications (continued)

Wong W, Buller GK and Roer D. Near end stage renal disease presenting acutely in a 15-year-old female (Abstract), CT ACP, 1998.

Horne M, Buller GK and Roer D. Idiopathic membranous nephropathy in a 55-year-old male with marked nail dystrophy (Abstract). CT ACP, 1998.

Marwaha A, Buller GK and Roer D. Anorexia, bulimia and cathartic abuse with hypokalemic nephropathy (Abstract). CT ACP, 1998.

Alappan R, Buller GK, Perazella MA. Trimethoprim-sulfamethoxazole therapy in outpatients; is hyperkalemia a significant problem?
Am J Nephrol. 1999; 19(3):389-94

Coca SG, Buller GK. Eplerenone in patients with left ventricular dysfunction.
N England J Med. 2003 Jul 3;349(1):88-9; author reply 88-9. No abstract available.

Coca SG, Buller GK. COMET: a proposed mechanism of action to explain the results and concerns about dose.
Lancet. 2003 Sep 27;362(9389):1076; author reply 1077-8. No abstract available.

Buller, GK. Review: prophylactic acetylcysteine reduces contrast nephropathy in chronic renal insufficiency. ACP J Club. 2004 Mar-April; 140(2):41. No abstract available.

Coca SG, Buller GK
Albuminuria and mortality in hypertension.
Ann Intern med. 2004 Aug 3;141(3):244-5. No abstract available.

Coca SG, Buller GK.
Effects of low-dose angiotensin II receptor blocker candesartan on cardiovascular events in patients with coronary artery disease.
Am Heart J. 2004 Dec;148(6):e29; author reply e30. No abstract available.

Coca SG, Buller GK
The cardiovascular implications of hypokalemia.
Am J Kidney Dis. 2005 Feb;45(2):233-47. Review.

Prasad M, Buller GK, Mena CI, Sofair AN. Clinical problem-solving. Sum of the parts. N Engl J Med. 2006 Dec 7;355(23):2468-73. No abstract available.

Publications (continued)

Garg S, Sandur S, Buller GK. Dyspnea and left shoulder pain in an end-stage renal disease patient. *Semin Dial.* 2007 Mar-April;20(2):172-3. No abstract available.

Montague BT, Ouellette JR, Buller GK. Retrospective review of the frequency of ECG changes in hyperkalemia. *Clin J Am Soc Nephrol.* 2008 Mar;3(2):324-30. Epub 2008 Jan 30.

Major Administrative Accomplishments

As Chairman of Medicine:

- Established successful Hospitalist Program
- Established "Docs on Call" Program for weekend coverage of both inpatient and outpatient services of participating private physician groups.
- Significantly increased medical service admissions and local hospital market share.
- Established quality review process of core measures on medical teaching and hospitalist services with marked improvement in percent compliance.
- Established Palliative and End of Life Care Program.
- Successfully integrated a part-time clinical teaching faculty (made up of private practitioners) with a group of full-time geographic teaching faculty, increasing the number of both part-time and full-time faculty.

As Medicine Residency Program Director:

- Oversaw successful implementation of a new categorical internal medicine residency training program, beginning 07/05.
- Oversaw transition from Yale Primary Care Residency Program to present Saint Mary's-Yale Community Hospital Training Program.
- Achieved accreditation of Saint Mary's-Yale Residency Program by ACGME, Medicine RRC.
- 100% ABIM Board Exam pass rate.
- Continue to oversee successful resident participation in scholarly activity program, resulting in multiple awards for presentations of resident research (at state and national general medicine and subspecialty meetings)
- Implemented novel required "quality rotation" for PGYIIIs
- Continue to oversee Yale Med-Peds faculty at Saint Mary's Hospital; the hospital's outpatient clinic remains the site of all ambulatory training for the Yale Med-Peds residency program.

As Vice President and member of the Board of Directors of Franklin Medical Group, PC

- Contribute to the oversight and administration of a large, complex, multi-specialty, multiple-site medical group.

As President of Nephrology and Hypertension Associates, PC

- Oversaw increase in practice size and scope over the last decade.
- Oversaw evaluation of private subspecialty group into a group performing medical education, medical administration, clinical research (utilizing a specific clinical trials arm), and consultative patient care.

Visiting Lectureships/Conferences

Medical College of Pennsylvania, Philadelphia, PA. Renal Grand Rounds; 3/12/86, "Endotoxin-stimulated eicosinoid production by renal tubular cells."

University of Texas at Galveston, Galveston, TX ; Medical Grand Rounds; 3/15-3/16/87, "Uremic Pericarditis." Renal Conference: "The role of endotoxin in ARF."

U.S. Naval Hospital, Groton, CT; Grand Rounds; 9/17/87, "How to Rx hypertension without killing your patient."

U.S. Naval Hospital, Groton, CT; Grand Rounds; 9/24/87, "NSAID-related renal failure. "

Windham Community Hospital, Willimantic, CT; Grand Rounds; 10/19/87, "Renal effects of NSAID's."

Norwich State Hospital, Norwich, CT; Medical Conference; 11/12/87, "Treatment of hypertension in psychiatric patients."

The Miriam Hospital, Providence, RI; Grand Rounds; 12/2/87, "Nephrotoxic acute renal failure."

Fairfield Hills State Hospital, Newtown, CT; Medical Conference; 2/18/88, "Treatment of hypertension in psychiatric patients."

St. Joseph's Hospital, Providence, RI; Grand Rounds; 3/18/88, "Drug induced acute renal failure."

Connecticut Valley Hospital, Middletown, CT; Medical Conference; 4/27/88, "Treatment of hypertension in psychiatric patients."

Middletown Memorial Hospital, Middletown, NY; Grand Rounds; 6/30/88, "Treatment of hypertension in the elderly."

The Rhode Island Medical Center, Providence, RI; Grand Rounds; 8/24/88, "Drug-induced acute renal failure."

Rhode Island State Psychiatric Hospital, Cranston, RI; Medical Conference; 8/25/88, "Management of hypertension in the psychiatric patient."

Visiting Lectureships/Conferences (continued)

Middletown Memorial Hospital, Middletown, CT; Grand Rounds; 9/9/88, "A rational approach to the treatment of mild hypertension."

Binghamton General Hospital, Binghamton, NY; Grand Rounds; 9/16/88, "Nephrotoxic acute renal failure."

Jamaica Hospital, Jamaica Queens, NY; Grand Rounds; 9/18/88, "Nephrotoxic ARF."

C.H.C.P. Conference, New Haven, CT; 9/21/88, "Renal effects of ACE inhibitors."

Symposium on Arthritis in the Elderly, Norwich, CT; 9/21/88, "Renal syndromes associated with NSAID's."

Army Hospital at West Point, West Point, NY; Medical Grand Rounds; 9/23/88, "Should mild hypertension be treated?"

Symposium on Osteoarthritis, Garden City, NY; 9/28/88, "Renal syndromes associated with NSAID's."

Symposium on Osteoarthritis (sponsored by Mary Imogene Bassett Hospital), Cooperstown, NY; 9/29/88, "Renal syndromes associated with NSAID's."

New Britain General Hospital, New Britain, CT; Grand Rounds; 10/18/88, "Nephrotoxic acute renal failure."

Port Chester Memorial Hospital, Port Chester, NY; Grand Rounds; 10/25/88, "Renal syndromes associated with NSAID's."

Stamford Hospital, Stamford, CT; Grand Rounds; 10/26/88, "Nephrotoxic acute renal failure"

Nyack Hospital, Nyack, NY; Grand Rounds; 11/8/88, "Drug-induced acute renal failure."

Conemaugh Valley Hospital-University of Pittsburgh at Johnstown, Johnstown, PA; Visiting Professor/Internal Medicine Residency evaluation; 11/15 - 11/17/88

Putnam Valley Hospital, Putnam, NY; Grand Rounds; 12/1/88, "Diabetic nephropathy."

Peekskill Memorial Hospital, Peekskill, NY; Grand Rounds; 12/15/88, "NSAID induced acute renal failure."

New Rochelle Hospital, New Rochelle, NY; Grand Rounds; 12/15/88, "The initial Rx of hypertension."

St. Agnes Hospital, White Plains, NY; Grand Rounds; 1/19/89, "Treatment of hypertension in the elderly."

Chester Medical Center, Chester, PA; Grand Rounds; 1/24/89, "Nephrotoxic acute renal failure."

Windham Memorial Hospital, Willimantic, CT; Grand Rounds; 2/13/89, "The initial Rx of mild hypertension."

Visiting Lectureships/Conferences (continued)

Paterson Memorial Hospital, Paterson, NJ; Grand Rounds; 2/22/89, "Nephrotoxic acute renal failure."

Martinsville Medical Society, Martinsville, VA; Medical Conference; 3/20/89, "NSAID-related acute renal failure."

High Point Regional Hospital, High Point, NC; Grand Rounds; 3/21/89, "Hypertensive Emergencies."

Durham County General Hospital, Durham, NC; Visiting Professor, 3/22/89.

Butner State Hospital, Butner, NC; Medical Conference; 3/23/89, "Treatment of hypertension in the institutionalized patient."

Health in Action Symposium (Cornell University Medical School), New York, NY; 6/10/89, "Drug-induced acute renal failure."

Current Concepts in the Use of NSAID's Symposium, Mystic, CT; Chairman of symposium and lecture; 1/11-7/12/89, "Renal effects of NSAID's."

Piedmont Medical Center, Rock Hill, SC; Grand Rounds; 7/18/89, "Should mild hypertension be treated?"

U.S. Naval Hospital, Groton, CT Grand Rounds 9/7/89, "Treatment of hypertension in the elderly."

Windham Memorial Hospital, Willimantic, CT; Grand Rounds 9/13/89, "Nephrotoxic acute renal failure."

Mount Vernon Hospital, Mt. Vernon, NY; Grand Rounds; 10/11/89, "Metabolic considerations in the treatment of hypertension."

St. Joseph's Hospital, Yonkers, NY; Grand Rounds; 11/9/89, "The approach to Rx of mild hypertension."

Milford Hospital, Milford, CT; Medical Conference; 12/19/89, "Metabolic considerations in the Rx of mild hypertension."

Holy Redeemer Hospital, Meadowbrook, PA; Grand Rounds; 1/31/90, "Treatment of hypertension in the elderly."

Coatsville VA Hospital, Coatsville, PA; Grand Rounds; 2/1/90, "Metabolic considerations in the Rx of hypertension."

Rolling Hills Hospital, Elkins Park, PA; Grand Rounds; 2/1/90, "NSAID induced acute renal failure."

Visiting Lectureships/Conferences (continued)

Windber Hospital, Windber, PA; Medical Conference; 4/24/90, "Metabolic and pathophysiologic considerations in the Rx of hypertension."

Comenough Valley Memorial Hospital-University of Pittsburgh at Johnstown; Visiting Professor; 4/24-4/25/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Meyerside Hospital, Meyersdale, PA; Grand Rounds; 4/25/90, "Diabetic nephropathy. "

Kittanning Hospital, Kittanning, PA; Grand Rounds; 4/26/90, "Hypertensive emergencies."

Lee Hospital, Johnstown, PA; Medical Conference; 4/26/90, "Sports Medicine for the Internist."

Memorial Hospital of Bedford, Bedford, PA; Grand Rounds; 4/27/90, "Nephrotoxic acute renal failure."

St. Joseph's Hospital, Providence, RI; Grand Rounds; 6/8/90, "Renal effects of calcium antagonists and ACE inhibitors."

U.S. Naval Hospital, Newport, RI; Grand Rounds 7/11/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Symposium on Cardiovascular Diseases, Mystic, CT; Moderator/Chairman; 7/14/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

York Hospital, York, PA; Grand Rounds; 10/4/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Community General Hospital, Harrisburg, PA; Grand Rounds; 10/4/90, "Hypertension and diabetic nephropathy."

Pennsylvania State Association of Physician Assistants, Elkins Park, PA; Medical Conference; 10/4/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension,"

Allentown VA Hospital, Allentown, PA; Grand Rounds; 10/5/90, "Treatment of hypertension in the elderly,"

V.A. Hospital, Wilkes-Barre, PA; Grand Rounds; 10/5/90, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

St. Joseph Medical Center, Paterson, NJ; Grand Rounds; 11/14/90 "Hypertensive end organ damage."

Coney Island Hospital, Coney Island, NY; Grand Rounds; 1/10/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Horton Memorial Hospital, Middletown, NY; Grand Rounds; 2/26/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Visiting Lectureships/Conferences (continued)

Physician Assistants of Connecticut; Annual State Meeting; 4/25/91, "Acute renal failure and chronic renal failure."

The Genessee Hospital, Rochester, NY; Grand Rounds; 4/25/91, "The renal complications of NSAID's."

Batavia V.A. Hospital, Batavia, NY; Grand Rounds; 4/26/91, "Treatment of hypertension in the elderly."

Strong Memorial Hospital, Rochester, NY; Grand Rounds; 4/26/91, "Renal effects of endotoxin."

SUNY Downstate Medical School; Renal Conference; 5/14/91, "Uremic pericarditis."

Long Island College Hospital, Long Island, NY; Grand Rounds; 5/14/91, "Nephrologists' approach to the treatment of inflammatory disease."

Dorothea Dix Hospital, Raleigh, NC; Grand Rounds; 5/22/91, "Nephrotoxic acute renal failure."

University of North Carolina Medical School, Chapel Hill, NC; Visiting Professor, Clinical Renal Conference; 5/23/91, "Pathophysiology and treatment of cystinuria."

Duke University, Durham, NC; Renal subspecialty conference; 5/24/91, "Cystinuria."

Duke University, Durham, NC; Medical Grand Rounds; 5/24/91, "Cystinuria."

Symposium on Hypertension and Heart Disease (sponsored by the University of Buffalo), Buffalo, NY; Teaching rounds with medical housestaff; 5/29/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Hospital of St. Raphael, New Haven, CT; Medical Conference; 6/12/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Symposium on Hypertension and Heart Disease, Rochester, NY; 6/19/91, "Hypertensive nephropathy."

Faulkner Hospital, Boston, MA; Grand Rounds; 8/1/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Buffalo General Hospital, Buffalo, NY; Grand Rounds; 8/7/91, "Hypertension, heredity and diabetic nephropathy."

Kingsbrook Jewish Hospital, NY; Grand Rounds; 9/10/91, "Treatment of hypertension in the elderly."

Greenwich Hospital, Greenwich, CT; Grand Rounds; 9/18/91, "Managing hypertension in patients with COO risk factors."

U.S. Naval Hospital, Newport, RI; Grand Rounds; 9/25/91, "Hypertension beyond BP control."

Visiting Lectureships/Conferences (continued)

Our Lady of Victory Hospital, Lackawanna, NY; Grand Rounds; 9/27/91, "Raynaud's phenomenon."

Warwick Hospital, Warwick, RI; Grand Rounds; 10/10/91, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Northern Westchester Medical Center, NY; Grand Rounds; 11/8/91, "The management of hypertension in patients with COO risk factors."

Park City Hospital, Bridgeport, CT; Grand Rounds; 11/21/91, "Diabetic nephropathy."

St. Mary's Hospital, Waterbury, CT; Grand Rounds; 12/20/91, "Diabetic nephropathy."

Putnam Hospital, Carmel, NY; Grand Rounds; 1/17/92, "Treatment of hypertension in the elderly."

Mount Sinai Hospital, Hartford, CT; Grand Rounds; 2/12/92, "Calcium antagonists in the renal compromised patient."

Interfaith Hospital, Brooklyn, NY; Grand Rounds; 2/13/92, "Nephrotoxic acute renal failure."

UMass Medical Center, Worcester, MA; Grand Rounds; 2/19/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension,"

West Haven V.A. Hospital, West Haven, CT; Clinicopathological Conference; 3/13/92, "A patient with nephrotic syndrome and splenomegaly."

Forum on Cardiovascular Therapy, Buffalo, NY; 4/9/92, "Renal effects of hypertension."

St. Francis Hospital, Poughkeepsie, NY; Grand Rounds; 4/17/92, "Treatment of hypertension in the diabetic."

Yale University Medical Center, New Haven, CT; Clinicopathologic Conference; 4/21/92, "A patient with scleroderma and renal failure."

Mount St. Mary's Hospital, Lewiston, NY; Grand Rounds; 4/27/92, "A rational approach to the treatment of mild hypertension,"

Morristown Memorial Hospital, Morristown, NJ; Grand Rounds; 5/13/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

V.A. Hospital, Syracuse, NY; Grand Rounds; 8/12/92, "A rational approach to the treatment of mild hypertension."

Griffiss Air Force Hospital, Rome, NY; Grand Rounds; 8/12/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Cornell University Medical School, New York, NY; Renal Conference; 8/18/92, "Cystinuria."

Danbury Hospital, Danbury, CT; Grand Rounds; 9/21/92, "Metabolic and pathophysiology considerations in the treatment of hypertension."

Visiting Lectureships/Conferences (continued)

Mount Vernon Hospital, Mount Vernon, NY; Grand Rounds; 9/21/92, "Treatment of hypertension in the elderly."

Monroe Community Hospital, Rochester, NY; Medical Conference; 9/16/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Delaware Valley Medical Center, Langhorne, PA; Grand Rounds; 10/29/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Lancaster General Hospital, Lancaster, PA; Grand Rounds; 10/30/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

North Penn Hospital, Lansdale, PA; Grand Rounds; 10/30/92, "Treatment of hypertension in the elderly."

Yale University Medical Center, New Haven, CT; Grand Rounds; 11/5/92, "NSAID-associated renal syndromes."

Auburn Memorial Hospital, Auburn, NY; Grand Rounds; 11/17/92, "Hypertensive end organ damage."

Soldier and Sailor's Hospital, Penn Yann, NY; Medical Conference; 11/18/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Wyoming County Community Hospital, Warsaw, NY; Grand Rounds; 11/18/92, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

St. Mary's Hospital, Waterbury, CT; Medical Conference; 1/11/93, "Treatment of hypertension in the elderly."

Westchester County Hospital, NY; Combined Medical/Cardiology Conference; 1/14/93, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Spring Hill College, Mobile, AL; Cardiovascular Update Symposium; 3/25/93, "Update on calcium channel blockers and renal function."

VA Medical Center, Biloxi, HS; Grand Rounds; 3/25/93, "Metabolic & pathophysiologic considerations in the treatment of hypertension."

St. Vincent's Hospital, Bridgeport, CT; Grand Rounds; 3/30/93, "Renal effects of calcium antagonists & ace inhibitors in patients with renal insufficiency."

Kingston Hospital, Kingston, NY; Grand Rounds; 4/3/93, "Hypertension in the psychiatric patient."

F,F, Thompson Hospital, Canandaiga, NY; Grand Rounds; 5/12/93, "Metabolic & pathophysiologic considerations in the treatment of hypertension."

Yale University School of Medicine, New Haven, CT; Cardiology/Preventative Medicine Conference 6/10/93, "Hypertension in the diabetic: Pathogenesis & Rx."

Visiting Lectureships/Conferences (continued)

Warren General Hospital, Warren, PA; Grand Rounds; 6/15/93, "Treatment of hypertension in the patient with concomitant heart disease."

W.C.A; Hospital, Jamestown, NY; Noon Conference; 6/16/93, "Treatment of CHF in the patient with. concomitant heart disease."

St. Michael's Medical Center, Newark, NJ; Grand Rounds; 7/15/93, "Metabolic and pathophysiologic considerations in the treatment of hypertension."

Mercy Hospital, Buffalo, N. Y.; Grand Rounds; 9/2/93, "Chronic renal failure in the diabetic patient."

Griffin Hospital, Derby, CT; Grand Rounds; 10/21/93, "Diabetic nephropathy."

Griffin Hospital, Derby, CT; Noon Conference; 11/1/93, "Acid-Base Disorders."

Ht. Sinai Hospital, Hartford, CT; Grand Rounds; 11/10/93, "Nephrotoxic ARF."

Cortland Memorial Hospital, Cortland, NY; Grand Rounds; 3/1/94, "Metabolic & pathophysiologic considerations in the treatment of hypertension."

Genesee Hospital, Rochester, NY; Grand Rounds; 3/2/94, "Hypertension, obesity and insulin resistance-Syndrome X."

Meadville City Hospital, Erie, PA; Grand Rounds; 3/9/94, "The Cardiorenal Connection."

VA Medical Center, Erie, PA; Grand Rounds; 3/9/94, "The Cardiorenal Connection."

Hammot Medical Center, Erie, PA; Grand Rounds; 3/10/94, "Prevention of CRF."

VA Medical Center, Buffalo, NY; Renal Grand Rounds; 4/13/94, "Disorders of potassium homeostasis."

Buffalo General Hospital, Buffalo, NY; Noon Conference; 4/14/94, "Metabolic & pathophysiologic considerations in the treatment of hypertension."

Radisson Conference Center, Cromwell, CT; 1994 Charter Oak Conference, Connecticut Academy of Physician Assistants; 4/20/94, "Treatment of hypertension in the primary care setting."

Eighth Annual Update in Internal Medicine, Olean, NY; 5/7/94, "Management of renal failure in the community hospital setting."

Erie County Medical Center, Buffalo, NY; Medical Grand Rounds, 10/21/94, "The role of hyperinsulinemia in hypertension."

Our Lady of Victory Hospital, Buffalo, NY; Medical Grand Rounds, 10/22/94, "Hypertensive end-organ damage."

Visiting Lectureships/Conferences (continued)

Millard Fillmore Hospital, Buffalo, NY; Endocrine Conference, 10/22/94, "The role of hyperinsulinemia in hypertension."

Bridgeport Hospital, Bridgeport, CT; Medical Grand Rounds, 11/17/94, "Diabetic nephropathy: natural hx, pathophysiology and Rx."

Middlesex Hospital, Middletown, CT; Medical Grand Rounds, 3/2/95, "Hypertensive end-organ damage."

Eastern Maine Medical Center, Bangor, ME; Medical Grand Rounds, 4/13/95, "Treatment of hypertension in the 90's."

Eastern Maine Medical Center, Bangor, ME, 4/14/95; Nephrology Symposium "Primary Hyperaldosteronism" and "Renal effects of NSAID's."

St. Joseph's Medical Center, Reading, PA; Medical Grand Rounds, 5/12/95, "Treatment of Hypertension in the Elderly."

Allentown VA Clinic, Allentown, PA; Medical Grand Rounds, 5/12/95, "Treatment of Hypertension in the Elderly."

Hass. General Hospital: Medical Grand Rounds, 6/6/95, "A critical evaluation of the prospective trials of the treatment of hypertension."

Bethlehem, PA: Cardiovascular symposium (sponsored by University of Wisconsin), 6/21/95, "Treatment of hypertension in the elderly."

UCONN Health Center, Farmington, CT; Medical Grand Rounds, 12/29/95, "Drug induced hyperkalemia."

St. Mary's Hospital, Waterbury, CT; Medical Grand Rounds, 2/9/96, "Standard Dose Bactrim Causes Hyperkalemia."

Hartford Hospital, Hartford, CT; Visiting Professor and Cardiology Grand Rounds, 4/5/96, "Hypertension in the patient with coronary artery disease."

Westbrook, CT; Symposium in Cardiovascular Medicine, 5/22/96, Moderator. "CHF in the Hypertensive Patient."

St. Elizabeth's Hospital, Utica, N.Y.; Medical Grand Rounds, 7/30/96, "Treatment of Hypertension in the 90's."

South Portland, ME; Issues in Cardiovascular Care symposium, 8/9/96, "Hypertension in the Elderly."

Portsmouth, N.H.; Issues in Cardiovascular Care symposium, 8/10/96, "Hypertension in the Elderly."

Visiting Lectureships/Conferences (continued)

St. Joseph's Hospital, Syracuse, NY; Medical Grand Rounds, 10/8/97, "Treatment of Hypertension in the 1990's."

Syracuse University, Syracuse, NY; 10/7/97, "The Approach to the Patient with Cardiorenal Syndromes."

Waterbury Hospital, Waterbury, CT; 11/20/97; "Pathophysiology of Hypertension."

LeMoyne College, Syracuse, NY; 4/15/98; "Treatment of Mild Hypertension."

Clifton Springs Hospital, Syracuse, NY; Medical Grand Rounds, 4/15/98; "The Syndrome of Insulin Resistance."

St. Mary's Hospital, Waterbury, CT; 9/10/98; "Initial Approach to the Treatment of Hypertension."

Curriculum Vitae

Peter James Jacoby, M.D., F.A.C.E.P.

Education: M.D. Degree: Universidad Nacional Pedro
Henriquez Urena (National University)
Santo Domingo, Dominican Republic
Graduation: November, 1976

BA Degree: University of Wisconsin
Madison, Wisconsin
Graduation: June, 1972

Training and Work Experience:

Chairman, Department of Emergency Services
St. Mary's Hospital
Waterbury, Connecticut
April, 1991 to Present

President, Franklin Medical Group
St. Mary's Hospital
May, 2003-Present

Emergency Department Physician
St. Mary's Hospital
Waterbury, Connecticut
July, 1980 to March, 1991

Residency, Internal Medicine
St. Mary's Hospital
Waterbury, Connecticut
July, 1977 to June, 1980

Department of Health, Commonwealth of Puerto Rico
School Health Program of Caguas Regional Hospital
Pediatric Department
January, 1977 to May, 1977

Professional Certification:

American Board of Emergency Medicine:
Original Certification: 1987
Recertification: 1997, 2006
Connecticut Licensure #19781: August, 1978

Flex (Foreign Licensing Examination):
December, 1977

Puerto Rico State Board: 1977

Advanced Cardiac Life Support Instructor
Original Certification: 1981

Advanced Trauma Life Support Instructor
Original Certification: 1981

Pediatric Advanced Life Support
Certification: April 1988

Professional Societies/Awards

Fellow, American College of Emergency Physicians

Member, American College of Physician Executives

Fellow, American Professional Wound Care Association

Phil Stent Award (EM Physician of the Year)
Connecticut College of Emergency Physicians
2003

American College of Emergency Physicians
Council Meritorious Service Award
2005

Humanitarian Award
Boys and Girls Club of Greater Waterbury
2007

Hero of Emergency Medicine Award
American College of Emergency Medicine
2008

Connecticut Hospital Association
HealthCare Hero Award
2009

Appointments:

Hospital: Chairman, Department of Emergency Services
St. Mary's Hospital
Waterbury, Connecticut
1991 to Present
Associate Director, Emergency Department

St. Mary's Hospital
Waterbury, Connecticut
1988 to 1991

Academic: Assistant Professor (SMH)
Department of Traumatology &
Emergency Medicine
University of Connecticut
School of Medicine

Adjunct Clinical Assistant Professor
Quinnipiac College

Medical: Council Speaker
American College of Emergency Physicians
[two-year term (2001-2003)]

President, Past- President, Secretary-Treasurer
CT College of Emergency Physicians
1991-1993

Councillor
CT College Emergency Physicians
1995 to 2001

Board of Directors
CT College of Emergency Physicians
1990 – 1992

Board of Directors
NEMPAC (Emergency medicine PAC)
2008 – Present

Member, Chapter Advisor Committee
American College of Emergency Physicians
1994 - 1998

Member, Finance Committee
American College of Emergency Physicians
1999 to Present

Chairman, Chapter Advisory Committee
American College of Emergency Physicians
1997, 1998

Chairman, Finance Committee
American College of Emergency Physicians
2006-2007

Member, Internal/External Communications

Task Force
American College of Emergency Physicians
1997, 1998

Medical Director
Northwest CT Emergency
Medical Services Council

Chairman,
EMS Advisory Board
State of Connecticut
2001 – 2003

Vice Chairman,
EMS Advisory Board
State of Connecticut
1997 to 2000

Member of CT State Medical Advisory
Committee for Emergency Medical Services

Chairman,
Subcommittee "New Therapies & Technologies"
1994 to 2000

Member, Committee on Trauma
American College of Surgeons
CT Chapter
1981 to Present

Member, Medical Advisory Committee
Connecticut Poison Control Center
1993 to 1996

Member, Trauma Committee
CT EMS Advisory Board
1994 to 2000

Community:

Member, Commission on the Standardization
of the Collection of Evidence in Sexual
Assault Investigations
1993 to Present

Member, Board of Directors
Jane Doe No More
2007-2009

Trustee, Board of Directors

Connecticut Community Foundation
May, 2002 – 2008

President, Board of Directors
Connecticut Community Foundation
May 2008 – March, 2011

Chairman, Board of Directors
Waterbury Regional Chamber Health Council
2004-2006

Trustee, Board of Directors
St Mary's Hospital Foundation
2005 - Present

Member, Business Advisory Board
"Physician Practice Magazine"

Member, Region VI
Permanent Commission on the
Status of Women
1996 to 2002

Board of Directors
Morris Foundation
Waterbury, Connecticut
1985 to 1988

President, Board of Directors
Pearl Street Community Center
Waterbury, Connecticut
1983 to 1988

Medical Advisor
Woodbury Volunteer Ambulance Association
Woodbury, Connecticut
1983 to Present

Board of Directors, Ambassadors
St. Mary's Hospital
Waterbury, Connecticut
1984 to 1993

Medical Advisor, WARC
(Waterbury Association of Retarded Children)
1993 to 1998

Local Emergency Planning Commission

Woodbury, CT
1994 to Present

Local Emergency Planning Commission
Waterbury, CT
1992 to Present

Speaking Engagements: Emergency Care Symposium
Assoc. for Trauma Care of India
Bombay, India
August, 1990

Chapter Officer - Guide to Survival
Leadership Conference
American College of Emergency Physicians
Dallas, Texas
April 1994

Chapter Officer Orientation & National Support Resources
Leadership Conference
American College of Emergency Physicians
Washington, D.C.
April, 1997

Emergency Medicine Symposium
Sofia, Bulgaria
Albert Schweitzer Institute and
Open Society
November 1997

Emergency Medicine in Bulgaria
CCEP Educational Conference
Ledyard, CT
April, 1998

Chapter Leader Orientation
Leadership/Legislative Conference
American College of Emergency Physicians
Washington, D.C.
April, 1998

Emergency Medicine Symposium
Baku, Azerbaijan
Albert Schweitzer Institute and Open Society
October 1998

Emergency Medicine in Eastern Europe

Emergency Nurses Association
Annual Meeting
November 1998

Sexual Assault: How to Convict a Rapist
Emergency Medicine Grand Rounds
Lehigh Valley Hospital
Allentown, PA
February 2, 2000

Overcrowding in the ED, EMTALA, Terrorism 2002
Trauma Symposium
Tulsa, Oklahoma
September, 2002

When do we need the Trauma Surgeon?
Trauma Symposium ACS, COT Connecticut
March, 2003

Terrorism, 2003: Are we really prepared?
Trauma and Emergency Medicine Symposium
Boise, Idaho
June, 2003

Sexual Assault
Emergency Medicine Grand Rounds
SUNY @ Syracuse, NY
January, 2004

Trauma Review and Effective Relationships
Michigan College of Emergency Physicians
Macinac Island, MI
July, 2004

Pain Control in the Emergency Department
Yale New Haven Emergency Medicine Grand Rounds
Sept., 2004

Efficiency & Change: restructuring around productivity
Measures in a Highly Competitive Market
Physicians Practice
Annapolis, MD
October, 2004

Ethical Dilemmas in the Emergency Department
Connecticut Hospital Association
November, 2004

Communication: the key to success

Leadership & Advocacy Conference
Washington, DC
April, 2005

Pain Control in the ED Setting
Christiana Care Hospital EM Grand Rounds
Newark, DE
May, 2005

Falls in the Elderly
Hartford Hospital EM Grand Rounds
June, 2005

Panel Moderator
EMS Issues
Connecticut Trauma Symposium
March, 2006

Effective Relationships in the ED Setting
Sterling Health Care Director's Symposium
Greensboro, GA
April, 2006

Preparing the Trauma Patient for Transfer
New Hampshire Trauma Symposium
November, 2006

Trauma Systems: Can we continue to afford them?
Pain Management in the ED setting
Trauma Symposium
Kansas City, MO
December, 2006

The IOM Report – Where are we today?
New Hampshire Trauma Symposium
November, 2007

Sexual Assault Evaluations – Where are we today?
Connecticut Hospital Association
May, 2008

CURRICULUM VITÆ

JUAN A. SANCHEZ, M.D., M.P.A.

Chairman
The Stanley J. Dudrick Department of Surgery
Saint Mary's Hospital
Waterbury, Connecticut

CONTACT INFORMATION

Academic Office:

Saint Mary's Hospital
Department of Surgery
56 Franklin Street
Waterbury, CT 06706
Telephone: 203.709.6315
Facsimile: 203.709.6089
Email: juan.sanchez@stmh.org

Clinical Office:

Franklin Medical Group
Franklin Surgical Suite – Room 308
133 Scovill Street
Waterbury, CT 06706
Telephone: 203.709.5900
Facsimile: 203.709.5910

CURRENT POSITIONS AND APPOINTMENTS

Saint Mary's Hospital:

Chair of Surgery
Program Director in Surgery
ACGME-Approved Residency Training Program

University of Connecticut Health Center
Professor of Surgery

EDUCATION

1973-1977 Monsignor Edward Pace High School
Miami, Florida
High School Diploma, 1977

1977-1980 University of Miami
Coral Gables, Florida
Bachelor of Science, 1980

1980-1984 University of Florida College of Medicine
Gainesville, Florida
Doctor of Medicine, 1984

1996-1997 University of Kentucky Gatton College of Business
Lexington, Kentucky
Certificate in Medical Management, 1997

2000-2001 Harvard University Kennedy School of Government
Cambridge, Massachusetts
Master of Public Administration, 2001
Methodological Concentration: Internal Management of Organizations & Networks
Policy Area: Health

2010- University of London/ London School of Hygiene and Tropical Medicine
London, United Kingdom
Master of Science (Public Health), Candidate
Stream: Health Services Management

POSTGRADUATE TRAINING

1984-1985 Surgery Internship
Georgetown University Medical Center
Washington, District of Columbia
Chair: Robert B. Wallace, MD

- 1985-1989 Resident & Chief Resident in Surgery
Georgetown University Medical Center
National Veteran's Administration Hospital
Washington, District of Columbia
Chair: Robert B. Wallace, MD/ Chief: Russell J. Nauta, MD
- 1989-1990 Research Fellow
Cardiac Transplantation Research Laboratory
Department of Surgery
Columbia University College of Physicians & Surgeons
New York, New York
Chair: Keith Reemtsma, MD/ Chief: Eric A. Rose, MD
- 1990-1991 Cardiopulmonary Transplant Fellow
Yale New Haven Hospital
New Haven, Connecticut
Chair: Ronald C. Merrell, MD/ Chief: John C. Baldwin, MD
- 1991-1993 Resident & Chief Resident in Thoracic Surgery
Yale New Haven Hospital
New Haven, Connecticut
Chair: Ronald C. Merrell, MD/ Chief: John C. Baldwin, MD

PREVIOUS ADMINISTRATIVE POSITIONS

- 1989-1990 Procurement Surgeon
Cardiopulmonary Transplantation Program
Columbia-Presbyterian Medical Center
New York, New York
- 1993-1995 Director, Cardiothoracic Surgery Intensive Care Unit
Jackson Memorial Hospital
University of Miami School of Medicine, Miami, Florida
- 1993-1995 Director, Lung Transplant Program (UNOS Approved Director)
Jackson Memorial Hospital
University of Miami School of Medicine, Miami, Florida
- 1995-2001 Director, Cardiac Transplant Program (UNOS Approved Director)
University of Kentucky, Lexington, Kentucky
- 1996-1997 Interim Chief, Division of Cardiovascular & Thoracic Surgery
University of Kentucky, Lexington, Kentucky
- 2001-2007 Chief, Section of Cardiothoracic Surgery
Bridgeport Hospital/ Yale New Haven Health System, Bridgeport, Connecticut

- 2004-2007 President, Connecticut Cardiothoracic Surgery, LLC (Private Practice)
Trumbull, Connecticut
- 2005-2007 Co-Director, Thoracic Oncology Program
Norma S. Pfriem Cancer Center
Bridgeport Hospital/ Yale New Haven Health System, Bridgeport, Connecticut

OTHER PROFESSIONAL POSITIONS AND APPOINTMENTS

- 2010-present President-Elect
Connecticut Chapter of the American College of Surgeons
- 2011-present Board of Examiners
Malcolm Baldrige Quality Award
National Institute of Standards and Technology (NIST)
U.S. Department of Commerce

ACADEMIC APPOINTMENTS

- 1989-1990 Post-Doctoral Research Scientist
Columbia University College of Physicians & Surgeons
New York, New York
- 1992-1993 Instructor in Surgery
Yale University School of Medicine
New Haven, Connecticut
- 1993-1995 Assistant Professor of Clinical Surgery
Division of Cardiothoracic Surgery
University of Miami School of Medicine
Miami, Florida
- 1995-2001 Associate Professor of Surgery with Tenure
Division of Cardiovascular and Thoracic Surgery
University of Kentucky Medical Center
Lexington, Kentucky
- 2010- Professor of Surgery (*pending*)
Department of Surgery
University of Connecticut Health Center
Farmington, Connecticut

MILITARY SERVICE

1989-1997 United States Navy, Medical Corps
Commissioned, Washington Naval Yard, 1989
Officer Candidate School, Naval Air Station Pensacola, 1989
PRIMUS Unit, Bethesda Naval Hospital, 1989-1990
25th Marine Regiment, Picatinny Arsenal, NJ, 1990-1993
IRR, 1993-1997
Highest Rank Achieved: Lieutenant Commander (O-4)
Honorable Discharge, 6/28/97

HOSPITAL APPOINTMENTS/PRIVILEGES

1993-1995 University of Miami Hospitals & Clinics, Miami, Florida
1993-1995 Miami Veteran's Affairs Hospital, Miami, Florida
1995-2001 University of Kentucky Hospital, Lexington, Kentucky
1995-2001 Lexington Veterans' Affairs Hospital, Lexington, Kentucky
2001- Bridgeport Hospital, Bridgeport, Connecticut
2003-2007 St. Vincent's Hospital, Bridgeport, Connecticut (Courtesy)
2004-2007 Griffin Hospital, Derby, Connecticut
2006-2010 The Stamford Hospital, Stamford, Connecticut
2007- Saint Mary's Hospital, Waterbury, Connecticut
2008- Connecticut Children's Medical Center, Hartford/Waterbury, Connecticut
2010- Bristol Hospital, Bristol, Connecticut

BOARD CERTIFICATION

1988 National Board of Medical Examiners, Certificate # 305513
1992 American Board of Surgery, Certificate # 046019
1994 American Board of Thoracic Surgery, Certificate # 5562
2004 American Board of Thoracic Surgery, Recertification
2008 American Board of Surgery, Recertification
2010 American Board of Medical Quality, Certificate # 21006

MEDICAL LICENSURE

1988 District of Columbia, License # 17261, Inactive
1990 New York, License # 182417, Inactive
1993 Florida, License # 0065251, Inactive
1995 Kentucky, License # 31784, Inactive
2000 West Virginia, License # 20132, Inactive
2001 Connecticut, License # 039641, Active

OTHER PROFESSIONAL CERTIFICATION

2010 Patient Safety Certificate, National Quality Colloquium
2010 Advanced Cardiac Life Support (ACLS) Provider, American Heart Association

HONORS & AWARDS

1977-1980	President's Honor Roll, University of Miami
1999-2001	American Heart Association, Beginning Grant-in-Aid Award
1977-1979	Educational Scholarship Award, University of Miami
2000-2001	Kennedy Fellowship, Harvard University
2000-2001	Alley-Sheridan Scholar-in-Residence, The Thoracic Surgery Foundation
1978	Elected to Phi Kappa Phi Honor Society, University of Miami
1980	Distinguished Achievement in Sciences, Delta Theta Mu Honorary Society
1980	Cum Laude Graduation, University of Miami
1984	William W. & Marie C. Wolff Scholarship, University of Florida
1989	Outstanding Achievement Award, OIS, Naval Air Station, Pensacola
1993	Ferriuolo-Glenn Award for Cardiovascular Research, Yale University
1997	P. P. Bosomworth Award, University of Kentucky Hospital
1997	Faculty Development Grant, University of Kentucky
2006	Making a Difference Award, Bridgeport Hospital
2007	Best Doctors in America®, Best Doctors, Inc
2007	Top Doctor, Thoracic Surgery, Connecticut Magazine, April 2007
2004	Top Doctors, New York Metro Area, Castle-Connolly Guide, 8 th Ed.
2005	Top Doctors, New York Metro Area, Castle-Connolly Guide, 9 th Ed.
2006	Top Doctors, New York Metro Area, Castle-Connolly Guide, 10 th Ed.
2007	Top Doctors, New York Metro Area, Castle-Connolly Guide, 11 th Ed.
2008	Top Doctors, New York Metro Area, Castle-Connolly Guide, 12 th Ed
2009	Top Doctor, Thoracic Surgery, Connecticut Magazine, April 2009
2010	Best Doctors in America®, Best Doctors, Inc
2010	Top Doctor, Thoracic Surgery, Connecticut Magazine, April 2010
2010	Top Doctors, New York Metro Area, Castle-Connolly Guide, 13 th Ed
2011	Top Doctors, Thoracic Surgery, Connecticut Magazine, April 2011
2011	Best Doctors in America®, Best Doctors, Inc
2011	Top Doctors, New York Metro Area, Castle-Connolly Guide, 14 th Ed

PROFESSIONAL MEMBERSHIPS (* by election)

1989-2002	Association for Academic Surgery*
1989-	Robert B. Wallace, MD Society
1989-2001	International Society for Heart and Lung Transplantation
1993	American Heart Association Cardiovascular Surgery Council
1993-	Yale Surgical Society (Lifetime Member)
1994-2001	Royal Society of Medicine (London), Fellow*
1995-	American College of Cardiology, Fellow*
1995-	American College of Chest Physicians, Fellow*
1995-2001	American Society of Artificial Internal Organs
1995-2001	International Association of Cardiac Biological Implants
1995-2001	International Society for Heart Research*
1996-	American College of Physician Executives
1996-	American College of Surgeons, Fellow*

1996-2001 American Society of Transplant Surgeons*
1996-2001 Society for Organ Sharing*
1996- Society of Thoracic Surgeons*
1997-2001 Heart Failure Society of America
1997- Société Internationale de Chirurgie*
1997- Southern Thoracic Surgical Association*
1998-2001 Lexington Surgical Society
1998- Southeastern Surgical Congress, Fellow*
1998- 21st Century Cardiac Surgical Society*
1999- Society of University Surgeons*
1999- The Scientific Research Society of Sigma Xi *
2000- American Association for Thoracic Surgery*
2001- Connecticut State Medical Society
2001- Fairfield County Medical Society
2007- Surgical Outcomes Club*
2007- American College of Medical Quality
2008- Beaumont Medical (History) Club, Yale University*
2008- International Society for the Study of Lung Cancer
2009- New England Surgical Society*
2009- International Society for Minimally Invasive Cardiothoracic Surgery
2010- European Association for Cardio-Thoracic Surgery*
2010- Society of Surgical Oncology*
2010- International Thymic Malignancy Interest Group
2010- New York General Thoracic Surgery Club
2010- The New York Academy of Sciences
2010- General Thoracic Surgery Club*
2010- AcademyHealth (formerly Association for Health Services Research)
2010- The Halsted Society*
2011- Association for Surgical Education
2011- Association of Program Directors in Surgery

COMMITTEE ASSIGNMENTS & OTHER ACADEMIC/EDUCATIONAL ACTIVITIES

National

American College of Medical Quality
Annual Meeting Planning Committee, 2010

American College of Surgeons
The Committee on Young Surgeons, 1999-2000

American College of Physician Executives
Patient Safety and High Reliability Taskforce, 2010-present
Member-Driven Taskforce, 2010

Association for Academic Surgery
Membership Committee, 1998

International Society for Heart and Lung Transplantation
Scientific Council on Pulmonary Transplantation, 1996-2000
Subcommittee on Guidelines
Scientific Council on VAD/Total Artificial Hearts, 2000

International Thymic Malignancy Interest Group
Database Committee, 2010-present

Joint Council on Thoracic Surgery Education, Inc.
Committee for Simulation-based Learning, 2010-present
Boot Camp for Surgical Residents & Medical Students, Clinical Congress ACS,

2010

Society of Cardiovascular Anesthesiology
FOCUS Initiative
Steering Committee, 2009-present

Society of University Surgeons
Committee on Publications, 2000-2004

Society of Thoracic Surgeons
Information Technology Committee, 1996-1999
Public and Media Affairs Subcommittee, 1997-1999
Workforce on Health Policy, Advocacy, and Reform, 2002-2007
Task Force on Clinical Guidelines, Endocarditis, 2006-2008
Workforce on Patient Safety, 2008-present
Taskforce for Surgical Safety Checklist, Chair, 2009-present
Representative to FOCUS Initiative, 2009-present
Workforce on National Databases
Task Force on Quality Initiatives, 2008-present
Longitudinal Follow-up Subcommittee, 2008-present
Public Reporting Task Force, 2009-present
Abstract Reviewer
Annual Meeting, 2009
Annual Meeting, 2010
Workforce on Research and Clinical Trials, 2010
Taskforce on Performance Improvement CME, Co-Chair, 2010

Thoracic Surgery Foundation for Education and Research
Education Committee, 2001
Matloff Society (Founder), 2011-present

United Network for Organ Sharing
Scientific Advisory Council, At Large Member, 1996
Representative to AHIP Evidence-Based Medicine Committee, 2009-2000

Finance Committee, Region 11 Representative, 1999-2000

University HealthCare Consortium
Heart Transplant Benchmarking Project, 1996
Clinical Benchmarking Liaison
CABG Benchmarking Project, 1996

Regional

American College of Surgeons
Kentucky Chapter
Committee on Applicants, Kentucky District #2, 1999-2000
Connecticut Chapter
Executive Council, 2008-2011 Term
Chair, Legislative Committee, 2008-present
Committee on Applicants, Connecticut District #1, 2009-present
CMS Carrier Advisory Committee, 2009-present
Treasurer, 2009-2011
Vice President, 2010-2011
Co-Chair, Quality Committee, 2010-present

Connecticut Department of Public Health
Quality in Health Care Program
Cardiac Care Committee, 2007-present

Connecticut Hospital Association
CV/Thoracic Surgery Performance Improvement Collaboration Project, 2008-
Surgical Quality Improvement Collaborative, Co-Chair, 2010-

Connecticut State Medical Society
Committee on Medicare and Senior Health Issues, 2008-present
Committee on Alcohol and Drug Dependency (Ex-Officio), 2009-present

Fayette County Medical Society
Service Council, 1997
Chairman, Medicare Liaison Committee, 1998-2000
Chairman, Public Information Committee, 1998-2000

Kentucky Medical Association
Delegate, 1997
Alternate Delegate, 1998
Ad Hoc Committee on Cardiovascular Services, 1998-2000

Annual Northeast Cardiovascular Collaborative, Mt. Snow, Vermont
CME Director (Sponsor-University of Connecticut), 2011
Medical Program Director, 2011

United Network for Organ Sharing (Region 11)
Thoracic Transplant Regional Review Board, 1998-2000

Institutional

Saint Mary's Health System, Inc.

Medical Executive Committee, 2007-present
Quality Coordination Council, 2007-present
Cancer Committee, 2007-present
Operating Room Committee, Chair, 2007-present
Surgical Section Chiefs Committee, Chair, 2007-present
Surgical Education Committee, 2007-present
Search Committee, Vice-President of Medical Affairs, 2007-2008
Peer-Review Oversight Committee, 2007-present
Institutional Privacy/Security Oversight Committee, 2008-present
Patient Safety Committee, 2008-present
Medical Records Committee, 2008-present
Contingency Fund Committee, 2008-present
Surgical Services Strategy Task Force, Chair, 2008-2009
Strategic Planning Committee, 2008-present
Institutional Review Board, Chair, 2009-present
Board, Trinity Medical Group, 2010-present

Bridgeport Hospital

Pediatric Surgery Committee, 2007
Robot Usage Committee, Chair, 2007
Thoracic Oncology Center of Excellence, Chair, 2006
Cancer Committee, 2005-2007
Medical Executive Committee, Nominating Subcommittee, 2005
Surgical Services Committee, 2005-2007
Integrated Quality Council, 2004-2007
New Technology Assessment Committee, 2003

Yale University School of Medicine

Stanley J. Dudrick Symposium, Course Director, 2009

Yale-New Haven Health System

Heart Institute, Executive Committee, 2005-2006

Harvard University, Kennedy School of Government

Health Policy Interest Council (PIC), 2000-2001
Student Government Representative, 2000-2001
Group Discussion Leader, Health Care Executive Course, 2000-2001
Innovations in American Government/Ford Foundation, 2001-2002

University of Kentucky

Transplant Center Executive Council, 1996-2000

Medical Policy Advisory Group, 1995-1996
ICU Committee, 1996-2000
Organ Donation Committee, 1996-2000
Clinical Pathways and Outcomes Management, 1996-2000
 Steering Committee
 Chair, Cardiac Clinical Group Management Team
Multidisciplinary Medication Error Prevention Task Force, 1996-1997
 Blood Product Utilization Committee, 1997-2000
Kentucky Heart Institute, 1997-2000
 Business Plan Committee
 Outreach Committee
Quality Improvement Council, 1998-1999
Clinical Outcomes Group, 1998-2000
College of Medicine Admissions Committee Interviewer, 1997-1998
MD/JD Degree Program Committee, 1997-1998
Resource Center for Medical Education Planning Committee, 1997-1999
International Rotation Committee, 1998-2000
Humanities Advisory Committee, 1998-2000
UK HealthCare Enterprise, KMSF Billing Task Force, 1998-1999
Member, Institutional Review Board, 1998-1999
Department of Surgery, Marketing Committee, 1999
Critical Care Committee VAMC Lexington, Co-Chairman, 1997-1998

STUDY SECTIONS & ADVISORY PANELS

National Institute of Standards and Technology, U.S. Department of Commerce
Board of Examiners, Malcolm Baldrige Quality Award, 2011-present

National Quality Forum
Patient Safety Technical Advisory Panel, 2010-present

EDITORIAL ACTIVITIES

Ad hoc Reviewer

Annals of Thoracic Surgery (Elsevier), 1996-present
Cardiovascular Research (Oxford), 1997-2000
Chest (HighWire Press), 1995-present
Circ, Resp, and Pulm Medicine (Libertas America), 2010-present
Harvard Health Policy Review, 2000-2001
Journal of Heart and Lung Transplantation (Elsevier), 1997-2000
Life Sciences (Elsevier), 2010-present
Society of Thoracic Surgery, Annual Meeting, 2001-present
Surgical Innovation (SAGE), 2010-present
Journal of Surgical Research, 2010-present
Journal of Surgical Education, 2010-present
The Medical Letter, 2010-present

Antioxidants and Redox Signaling (Mary Ann Liebert, Inc.), 2010-present

Books

Co-Editor: The Multi-Organ Donor: Selection and Management (Blackwell Scientific), 1997

Guest Editor: Metabolism and Nutrition for the Acute Care Patient

Surgical Clinics of North America (Elsevier), 2011 (In Press)

Guest Editor: Metabolism and Nutrition for the Critically Ill Patient

Surgical Clinics of North America (Elsevier), 2011 (In Press)

Guest Editor: Patient Safety in Surgery

Surgical Clinics of North America (Elsevier), 2012

Editorial Boards

The Internet Journal of Thoracic and Cardiovascular Surgery

Editorial Board, 1997-2008

Editor-in-Chief, 2009-present

Wikidoc.org, Editor-in-Chief, Cardiac Transplantation, 2009-present

The Heart Surgery Forum: Cardiothoracic Multimedia Journal, 1996-2003

CTSNet, Adult Cardiac Surgery Editorial Board, 2007- present

Image Gallery, Editor, 2007- present

CIVIC & PHILANTROPIC ACTIVITIES/AWARDS

Volunteer, Hope Center (homeless shelter for men), Lexington, KY, 1997-2000

Commissioned a Kentucky Colonel by Governor Patton, 1997, Frankfort, KY

Health Promotion among Hispanic Migrant Workers, Midway College, Midway, KY, 1998

Mayor's Task Force on Hispanic Issues, Fayette County Government, 1998-1999

Connecticut Cancer Partnership, 2008-present

Waterbury Area Science Advisory Committee, 2009-present

CardioStart International, Medical Missions, 2010-present

DIRECTORSHIPS & NON-PROFIT BOARDS

Board of Directors, Greater Bridgeport Symphony Orchestra, 2008-present

Board of Directors, Waterbury Symphony Orchestra, 2008-present

Board of Directors, Franklin Medical Group, PC, 2007-2010

Board of Directors, Trinity Medical Group, PC, 2011-present

TEACHING, MENTORING, AND OTHER ACADEMIC ACTIVITIES

University of Connecticut

Anatomy Lab Proctor, 2010-present

ED-2 Inpatient Surgery Site Director, 2010-present

Multidisciplinary Ambulatory (MAX-2) Preceptor, 2010-present

Multidisciplinary Surgical Oncology Clerkship Preceptor, 2010-present

Faculty, UCONN Integrated Residency Program in Surgery, 2010-present

Faculty, Saint Mary's Residency Program in Surgery, 2007-present

Yale University

Anatomy Lab Proctor, 2001-present
Physician Assistant Students (at Bridgeport), 2001-2007
Site Faculty, ACGME Program in Surgery (at Bridgeport), 2001-2007

New England Association of Program Directors in Surgery
Oral Examiner Exchange Program, 2007-present

King's College, Wilkes-Barre, PA

Clinical Preceptor, Physician Assistant Students, 2007-present

Bridgeport Hospital

Teaching Faculty, Cardiology Fellowship, 2005-2007
Teaching Faculty, Critical Care Medicine Fellowship, 2006-2007

Harvard University

Student/Alumni Professional Connections Advisor, 2001-present

University of Kentucky College of Medicine

Structured Clinical Instruction Module (SCIM) Tutor, 1996-1997
Patient-Based Learning (PBL) Tutor, 1996-1998
Clerkship Director, Cardiothoracic Surgery Rotation, 1996-1997
Course Director, Cardiac Transplantation Elective (SUR 815p), 1996
History of Surgery Elective (SUR 815m), 1997
Faculty Adviser, William Osler Program in the Humanities, 1997-1998
Medical Student Liaison Faculty for Cardiothoracic Surgery, 1998-2000
Transplantation Curriculum for Third Year Medical Students, 1998-2000
University of Kentucky College of Law, Law and Medicine Course, 1997-2000
Surgery Housestaff Orientation Course, 1996-2000
Examiner, Mock Oral Boards Examination in Surgery, 1996, 1997
Clinical Competency Conference for Cardiology Fellows, 1999-2000

University of Miami School of Medicine

Lecturer, Harvey Cardiology Course, 1993-1995

FUNDED RESEARCH & EXTRAMURAL GRANTS

Medical Student Research Fellowship, 1980-1981
Rates of Nucleotide Sequence Variation in Mitochondrial DNA.
Department of Immunology and Molecular Biology
University of Florida College of Medicine

Core Lab Investigator, 1989-1990

Feasibility of Cardiac Xenografting in Non-Human Primates.
NIH Program Project Grant No. PO1HL36581-01A1
Columbia University College of Physicians and Surgeons, New York, NY

Principal Investigator, 1993-1994
Surgical Stapling Devices in Left Ventricular Aneurysm Resection
United States Surgical Corporation
University of Miami, Miami, FL
\$15,000.00

Principal Investigator, 1998-1999
The Cardioprotective Effects of Opioid Receptor Activation in Ischemia-Reperfusion and Long-Term Organ Preservation.
University of Kentucky Multi-level Development Grant.
NIH-National Institutes of General Medical Sciences. 5 R25 GM55275-02
\$32,000.00

Principal Investigator, 1999-2001
Opioid Receptor Agonists in Myocardial Preservation
American Heart Association-Ohio Valley Affiliate. 9951482V
\$68,447.00

Co-Investigator, 1999-2001
Development of Novel Techniques for Cryopreservation of Human Arteries for Clinical Implantation. American Heart Association-Ohio Valley Affiliate. 9960401V
\$59,850.00

Principal Investigator, 1999-2000
University of Kentucky
Medical Center Research Fund
\$7,000.00

Subcontractor, 2010-2013 (Submitted)
Cardiac Surgical Outcomes: Comparing Effects of CUSP/TRIP vs. Passive Reporting. Clinical and Health Outcomes Initiative in Comparative Effectiveness (CHOICE) Grant
Agency for Healthcare Research and Quality (AHRQ)
PI: P.J. Pronovost MD, PhD
Johns Hopkins University
\$9,300,000.00

PATENTS

Protection against ischemia and reperfusion injury.
United States Patent No. 6316411. Issue Date: Nov 13, 2001
United States Patent No. 6645938. Issue Date: Nov 11, 2003
United States Patent No. 6900178. Issue Date: May 31, 2005
United States Patent No. 7060792. Issue Date: Jun 13, 2006

CLINICAL TRIALS & INDUSTRY-SUPPORTED RESEARCH

Co-Investigator, 1997-1999

A Study to Evaluate the Safety of Recombinant Human Hemoglobin (Rhb1.1) When Used For Acute Normovolemic Hemodilution in Patients Undergoing Cardiopulmonary Bypass. Protocol No. CB-014-HB. IND No. BB-IND 4031. Somatogen, Inc.
PI: TW Mullett MD

Co-Investigator, 1997-2000

Inhaled Nitric Oxide in the Treatment of Persistent Pulmonary Hypertension in the Lung and Heart Transplant Patient.
PI: TW Mullett MD

Site Principal Investigator, 1997-1998

Prospective, Randomized, Controlled Evaluation Of The Safety And Efficacy Of "Ready to Use" Celsior® Versus Standard Preservation Solutions For Cardiac Transplantation. Protocol No. SANG-96-6-H-CELS-OP. SangStat Corporation
\$24,500.00

Co-Investigator, 1998-1999

A Pilot Study on Quality Of Life Among Patients Who Undergo Coronary Artery Bypass Surgery. University of Kentucky College of Nursing. PI: D.A. Brockopp, RN, PhD
\$2,000.00

Co-Investigator, 1998-1999

Biventricular Pacing In Congestive Heart Failure. Guidant Corporation/CPI.
PI: A. Natale MD

Co-Investigator, 1998-2000

Prevention of Post-transplant Osteoporosis by Alendronate.
PI: S. Rastogi MD, HH Malluche MD

Co-Investigator, 1998-2000

Comparison between T10B9 and OKT3 Monoclonal Antibodies as Induction Immunotherapy in Heart Transplantation. Principal Investigator: S Menon MD. Medimmune Corporation

Co-Investigator, 1998-2000

CMV Prophylaxis in Seropositive Heart Transplant Patients.
PI: S Menon MD
Medimmune Corporation

Co-Investigator, 1998-2000

Rapamycin Immunosuppression in Lung Transplantation.
PI: TW Mullett MD
Novartis Pharmaceutical Corporation

Principal Investigator, 1999-2000

HeartPak Portable Pneumatic Driver Study. Thermo Cardiosystems, Inc.

Co-Investigator, 1999-2000

A Double-Blind, Placebo-Controlled, Randomized Study to Assess the Efficacy and Safety of Zenapax in Combination with CellCept, cyclosporine, and corticosteroids in Patients undergoing Cardiac Transplantation. PI: S. Menon MD. Roche Laboratories, Inc.

Sub-Investigator, 1999-2000

Evaluation of the Topical Hemostatic Efficacy and Safety of a New Fibrin Sealant Compared with Currently Licensed Tisseel VH Kit in Subjects Undergoing Cardiac Surgery. PI: R. Mentzer MD. Baxter Healthcare, Inc.

Site Principal Investigator, 1999-2000

A Prospective, Randomized, Multicenter, Open-Label Study of Intravenous Methylprednisolone versus Conversion to Tacrolimus Therapy for Grade IIIa and Grade IIIb Rejection Without Hemodynamic Compromise in Cardiac Transplant Recipients Receiving Primary Cyclosporine Immunosuppression. PI: T. Schroeder MD. Fujisawa Healthcare, Inc.

Sub-Investigator, 2000

A Randomized, Open-Label Preference Study of Gengraf™ Compared to Neoral® in Stable Solid-Organ Transplant Subjects (the PREFER Study). Abbott Laboratories

Sub-Investigator, 2001

A Phase III Randomized, Parallel, Double-Blind, Multi-Center, Placebo-Controlled Study of the Effect of Pexelizumab on All-Cause Mortality and Myocardial Infarction in Patients Undergoing Coronary Artery Bypass (CABG) Surgery With Cardiopulmonary Bypass. PI: S. Zarich MD. Procter & Gamble Pharmaceuticals, Inc

Site Investigator, 2003

Double-Blind Multicenter Study of the Safety and Efficacy of Parecoxib/Valdecoxib and Placebo/Valdecoxib Compared to Placebo for the Treatment of Post-Surgical Pain in Patients who have Coronary Artery Bypass Graft via Median Sternotomy. PARA-0505-071. Pharmacia, Inc.

Sub-Investigator, 2003

Outcome Assessment of Mitral Valve Replacement and Repair, Prospective Study. American Heart Association Grant. Institutional PI: H. Krumholz MD; PI: W. Weintraub MD (Emory Center for Outcomes Research).

INVITED LECTURES & SYMPOSIA

Lung Transplantation for Cystic Fibrosis. Florida Cystic Fibrosis, Inc. Annual Meeting. Miami Lakes, Florida, September 11, 1993.

Lung Transplantation. Surgical Grand Rounds. University of Miami/ Jackson Memorial Hospital Medical Center. Miami, Florida, February 16, 1995 (CME).

Lung Transplantation. Dartmouth-Hitchcock Medical Center. Lebanon, New Hampshire. March 2, 1995.

Surgical Options in End-Stage Heart Failure. Cumberland Regional Medical Center. Somerset, Kentucky. May 30, 1996.

Medical Technology and Cost Containment in the Treatment of End-Stage Organ Failure. Leadership Kentucky Conference, Lexington, Kentucky. June 7, 1996.

Postoperative Care of the Cardiovascular Patient. UK Annual Family Medicine Review. Lexington, Kentucky. May 17, 1997 (CME).

Heart Transplantation. Marymount Hospital. Monthly Meeting of the Medical Staff. London, Kentucky. June 17, 1997.

Surgical Options in End-Stage Heart Disease. Monthly Medical Staff Meeting. Our Lady of Bellafonte Hospital. Ashland, Kentucky. September 24, 1997.

Surgical Options in the Treatment of End-Stage Heart Disease. Surgical Grand Rounds. University of Kentucky Chandler Medical Center. Lexington, Kentucky. October 25, 1997 (CME).

Role of opioid peptides in cardioprotection. Alumni Festschrift for Dr. William W. L. Glenn. Department of Surgery. Yale University School of Medicine. New Haven, Connecticut. June 5, 1998.

Surgical Options in the Treatment of Heart Failure. Treatment Attacks on the Coronary Valley. Lexington, Kentucky, September 19, 1998 (CME).

Heart Transplantation in Sensitized Patients. Annual TCI HeartMate User's Meeting. Baltimore, Maryland. October 1-3, 1998.

An Overview of Myocardial Ischemia and Reperfusion. Cardiovascular Symposium. ZymoGenetics Corp. Seattle, Washington, November 20, 1998

Uses of Ventricular Assist Devices in Heart Failure. University of Florida Winter Medical Update. Keystone, Colorado. March 8, 1999 (CME).

Surgical Revascularization for Ischemic Cardiomyopathy. Surgical Grand Rounds. University of Kentucky Chandler Medical Center. Lexington, Kentucky. March 20, 1999 (CME).

Issues in Heart Transplantation. St. Claire Medical Center. Morehead, Kentucky. May 25, 1999 (CME).

Current Status of Ventricular Assist Devices. Medical Grand Rounds. Mayo Clinic Scottsdale, Scottsdale, Arizona. May 28, 1999.

Surgical Options in the Treatment of Heart Failure. Treatment Attacks on the Coronary Valley. Lexington, Kentucky, September 25, 1999 (CME).

Artificial Hearts. 5th Annual Cardiovascular Symposium. Central Baptist Hospital, Lexington, Kentucky. October 16, 1999 (CME).

Surgical Treatment of Mitral Valve Disease. Surgical Grand Rounds. University of Kentucky Chandler Medical Center. Lexington, Kentucky. February, 2000 (CME).

Cardiac Surgery update. University of Florida Winter Medical Update. Park City, Utah. March 13, 2000 (CME).

Surgical outcomes: what are they? Postgraduate Course: Leadership and Management Skills for Surgeons. The 85th Annual Clinical Congress of the American College of Surgeons. Chicago, Illinois. October 24, 2000 (CME).

Quality of Care and Clinical Process Improvement: A Systems Approach. Surgical Grand Rounds. Bridgeport Hospital. Bridgeport, Connecticut. January 17, 2001.

The Health Insurance Portability and Accountability Act of 1996: Implications for Clinical Practice. Bridgeport Hospital. Bridgeport, Connecticut. April 14, 2003.

Diagnosis and Management of Lung Cancer. Surgical Grand Rounds. Bridgeport Hospital. Bridgeport, Connecticut. July 21, 2005.

Video-Assisted Thoracic Surgery, Medical Grand Rounds. Bridgeport Hospital. Bridgeport, Connecticut. Sept 2005.

The Mediastinum. Surgical Grand Rounds. Bridgeport Hospital. Bridgeport, Connecticut. August 17, 2006.

Medicare: Past, Present, and Future. Surgical Grand Rounds. Bridgeport Hospital. Bridgeport, Connecticut. August 2, 2007.

Bloodless Cardiac Surgery. Jehovah's Witness Hospital Liaison Committee. Bridgeport Hospital. Bridgeport, Connecticut. September 26, 2007.

The IASLC Lung Cancer Staging Project. Harold Leever Cancer Center. Waterbury, Connecticut. April 23, 2008.

Current Status and Future Directions of the STS National Databases. Connecticut Society of Thoracic Surgery. Hartford, Connecticut. May 28, 2008.

Challenges for the U.S. Health Care System. Department of Medicine Grand Rounds. Saint Mary's Hospital. Waterbury, Connecticut. December 23, 2008.

Overview of the Healthcare System. Connecticut Young Physicians and Residents Symposium: The Business of Medicine. Connecticut Chapter of the American College of Surgeons. Waterbury, Connecticut. April 18, 2009.

Medical Staff Credentialing, Antitrust Issues, HIPAA, and Recovery Audit Contractors. Connecticut Young Physicians and Residents Symposium: The Business of Medicine. Connecticut Chapter of the American College of Surgeons. Waterbury, Connecticut. April 18, 2009.

Minimally Invasive Thoracic Surgery. Annual Waterbury Minimally Invasive Surgery Symposium. Saint Mary's Hospital. Waterbury, Connecticut. November 20, 2009.

Primum Non Nocere: Patient Safety in Surgery. Department of Surgery, University of Connecticut/ St. Francis Medical Center. Hartford, Connecticut. March 19, 2010.

Patient Safety in Surgery. Naugatuck Valley Surgery Center. Waterbury, Connecticut. April 14, 2010.

Does Organizational Culture Affect Outcomes? FOCUS Initiative: Reducing Human Error in Cardiac Operating Rooms. Society of Cardiovascular Anesthesiologists. 32nd Annual Meeting. New Orleans, Louisiana. April 25, 2010 (CME).

Clinical Consequences of Myocardial Ischemia/Reperfusion Injury. Molecular Cardiology Research Conference. University of Connecticut. Farmington, Connecticut. August 10, 2010.

Surgery in High-Reliability Organizations. Surgery Grand Rounds. The Waterbury Hospital. Waterbury, Connecticut. October 21, 2010 (CME).

Clinical Microsystems and High-Reliability Organizations. Surgery Grand Rounds. The Hartford Hospital. Hartford, Connecticut. December 3, 2010 (CME).

Patient Safety in Surgery: Lessons from Other Industries. Surgery Grand Rounds. The Louisiana State University Health Sciences Center. New Orleans, Louisiana. December 13, 2010.

Patient Safety: Regulatory and Public Health Perspective. FOCUS Investigator's Meeting. San Diego, California. January 30, 2011.

Patient Safety. Medicine Grand Rounds. Saint Mary's Hospital. Waterbury, Connecticut. March 22, 2011.

BIBLIOGRAPHY

Books

1. Higgins RSD, Sanchez JA, Baldwin JC, Lorber MI, Eds. The Multiorgan Donor: Selection and Management. Blackwell Scientific Publications, Inc.; 1997

Book Chapters and Monographs

1. Sanchez JA, Michler RE, Rose EA, Cooper DKC. Experimental xenotransplantation between closely related primate species (Chapter 22). In: Cooper DKC, Kemp, Reemtsma K, White, eds: Xenotransplantation: the transplantation of organs between species. Berlin: Springer-Verlag, 1991: 365-375
2. Baldwin J, Sanchez JA, Bing RJ. Coronary artery surgery. Chapter 8. In: Bing RJ: Cardiology: the evolution of the science and the art. Gordon & Breach, 1993, 169-1801
3. Sanchez JA, Baldwin JC. Surgery for complications of ischaemic heart disease. In: Morris PJ, Malt RA, eds: Oxford Textbook of Surgery (Chapter 34, Section C). Oxford: Oxford University Press, 1994
4. Sanchez JA, Baldwin JC. Diagnostic and therapeutic thoracoscopy (Chapter 33). In: Ballantyne GH, Leahy PF, Modlin IM, eds: Textbook and Atlas of Laparoscopic Surgery. Philadelphia: W.B. Saunders Company, 1994, 345-350
5. Sanchez JA, Desai US. Pulmonary Resections (Chapter 25). In: Lanzafame RJ, ed: Management and Prevention of Complications in Minimally Invasive Surgery. Igaku-Shoin Medical Publishers, Inc., 1996, 295-302
6. Sanchez JA, Higgins RSD, Baldwin JC. The heart donor. In: Higgins RSD, Sanchez JA, Baldwin JC, Lorber MI, eds., The Multiorgan Donor: Selection and Management. Cambridge, MA: Blackwell Scientific Publications, Inc., 1997, 295-305
7. Jahania MS, Sanchez JA. Heart transplantation. In: Medicine, Ob/Gyn, Psychiatry, and Surgery. ebooks. <http://www.ebooks.com>; 2000
8. Sanchez JA, Jahania MS. Cardiac Surgery. In: Breckler GL, Maull KI, General Surgery Medical Student USMLE Parts II and III: Pearls of wisdom, 1999-2000. Boston Medical Publishing Corp., 2000
9. Govidaswami M, Sanchez JA, Wedge J, Langston MD, Bishop PD, Bruce DS, Oeltgen PR. Opioid-like hibernation factors provide protection to the ischemic myocardium. Life in the Cold. Heldmaier G, Klaus S, Klingenspor M, eds. 2000, 377-387

Original Contributions

1. Sanchez JA, Feller WW. Paget's disease of the breast. Am Fam Physic 1987; 32(3): 145-47

2. Sanchez JA, Dickson WA. Colonic intussusception: a complication of pedunculated lipomas of the colon. *Surgical Rounds* 1988;11(8): 96-97
3. Sanchez JA, Lilac LA, Holt RW. Gunshot wounds to legs in drug runners (letter). *N Eng J Med* 1989; 320(16): 1089.
4. Jones B, Sanchez JA, Vinh DA. Acute traumatic abdominal wall herniation (letter). *Am J Emerg Med* 1989; 7(6): 667-668
5. Sanchez JA, Nigro M, Colvin D. Congenital pelvic arteriovenous malformation: an unusual cause of rectal pain. *Dis Colon Rectum* 1990; 3(4): 327-329
6. Sanchez JA, Newman KD, Eichelberger MR, Nauta RJ. The papillary- cystic neoplasm of the pancreas: an increasingly recognized clinicopathologic entity. *Arch Surg* 1990; 25(8):1502-1505
7. Sanchez JA, Smith CR, Spotnitz HM, Malm JR, Rose EA. High-risk reparative surgery: a neglected alternative to heart transplantation. *Circulation* 1990; 82(5) (supp IV):IV-302-305
8. Sanchez JA, Edwards NM, Berger CL, Ott GY, Coppey L, He X, Fong JC, Reemtsma K, Rose EA. Photochemotherapy provides donor-specific immunosuppression in cardiac transplantation. *Surgical Forum* 1990; 41: 421-423
9. Sanchez JA, Marboe CC, Auteri JS, Jeevanandam V, Edwards NM, Berger CL, Rose EA. Binding of preformed xenoantibodies to porcine bioprosthetic valves. *Ann Thorac Surg* 1990; 51(1):30-33
10. Rose EA, Pepino P, Fuzezi, Sanchez JA. Cardiac xenotransplantation. *Pro Cardiovasc Dis* 1990; 33(2): 105-117
11. Sanchez JA, Rose EA. Reparative procedures may alleviate the need for some transplants (invited letter to Editorial-Heart transplantation: when?). *Controversies in Cardiology* 1990; 2(4):27
12. Barr ML, Sanchez JA, Seche LA, Shulman L, Smith CR, Rose EA. Anti-OKT3 monoclonal induction therapy: immunologic equivalency to triple drug therapy in heart transplantation. *Circulation* 1990; 82(5) (supp IV): IV-291-298
13. Sanchez JA, Nauta RJ. Resection of a granular cell tumor at the hepatic confluence: a precarious location for a benign tumor. *Am Surgeon* 1991; 57(7): 446-450
14. Sanchez JA, Fong JC, Watkins JF, Berger CL, He X, Reemtsma K, Rose EA. Elimination of preformed antibody to xenoantigens utilizing dithiol reducing agents. *Transp Proc* 1991; 23(1): 885-886

15. Watkins JF, Edwards NM, Sanchez JA, Ott GY, Copey LJ, Collet J, He X, McLaughlin M, Berger CL, Smith CR, Rose EA. Specific removal of preformed antibody in an extracorporeal immunoadsorbent circuit. *Transp Proc* 1991; 23(1):360-364
16. Jeevanandam V, Auteri JA, Marboe CC, Hsu D, Sanchez JA, Smith CR, Rose EA. Extending the limits of donor heart preservation: a trial with University of Wisconsin solution. *Transp Proc* 1991; 23(1): 697-698
17. Popilskis S, Kohn D, Sanchez JA, Gorman P. Epidural vs. intramuscular oxymorphone analgesia after thoracotomy in dogs. *Vet Surg* 1991; 20: 462-467
18. Sanchez JA, Baldwin JC. Central venous catheter in a persistent left superior vena cava. *Surgical Rounds* 1991; 14(11): 1027
19. Jeevanandam V, Barr ML, Auteri JS, Sanchez JA, Ott GY, Schenkel FA, Marboe C, Smith CR, Rose EA. University of Wisconsin solution for human donor heart preservation: initial clinical experience. *Ann Thorac Surg* 1991, 52(6): 1213-1216
20. Jeevanandam V, Auteri JS, Barr ML, Ott G, Sanchez JA, Smith CR, Rose EA. Improved heart preservation with University of Wisconsin solution: experimental and preliminary human experience. *Circulation* 1991; 84(5): III-324-328
21. Auteri JS, Jeevanandam V, Sanchez JA, Spotnitz HM. Effect of AICD patch electrodes on the diastolic pressure-volume curve in pigs. *Ann Thorac Surg* 1991; 52(5): 1052-1057
22. Auteri JS, Oz MC, Jeevanandan V, Sanchez JA, Bass LS, Treat MR, Smith CR. Laser activation of tissue sealant in hand-sewn canine esophageal closure. *J Thorac Cardiovasc Surg* 1992: 103(4):781-783
23. Sanchez JA, Ahmed JF, Temeck BK, Ortega L, Harmon JW. Esophago-bronchial fistula from esophageal intramural pseudodiverticulosis. *Digestive Surg* 1992; 9:54-57
24. Berger CL, Edelson R, Edwards NM, Sanchez JA, Copey L, He X, Marboe C, Rose EA. Autoregulation of the immune response in autoimmune disease and cardiac transplantation by photoinactivated autologous lymphocytes. *Ann NY Acad Sciences* 1992; 636: 266-278
25. Auteri JS, Jeevanandam V, Sanchez JA, Marboe CC, Kirby TJ, Smith CR. Normal bronchial healing without bronchial wrapping in canine single lung transplantation. *Ann Thorac Surg* 1992; 53(1):80-84
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27. Jeevanandam V, Barr ML, Auteri JS, Sanchez JA, Fong J, Schenkel FA, Marboe CC, Michler RE, Smith CR, Rose EA. University of Wisconsin solution versus crystalloid cardioplegia for human donor heart preservation: a randomized blinded prospective clinical trial. *J Thorac Cardiovasc Surg* 1992; 103(2): 194-199

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34. Sanchez JA, Elefteriades JA, Hammond GA. The Aortic Bodice: a life-saving maneuver. *Ann Thorac Surg* 1994; 58(1):252-253
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Manuscripts Submitted

Sanchez JA, Sanchez LL, Dudrick SJ. Nutritional and Metabolic Considerations in Acute Cardiovascular Disease. In: Dudrick SJ, Sanchez JA, Eds: Metabolism and Nutrition for the Critically Ill Patient Surgical Clinics of North America. Elsevier, 2011 (In Press)

Brenes RA, Abbas HM, Suresh S, Palesty JA, Sanchez JA. Impact of Hospital Characteristics on Rates of Perforation in Acute Appendicitis. Arch Surg (In Preparation).

Raad W, Palesty JA Sanchez JA. Is a Picture Worth a Thousand Words? The Use of Cell Phone Cameras in the Clinical Setting. *Bul Am Coll Surg* (In Preparation)

Sanchez JA. Resilient engineering of complex adaptive healthcare systems. In: Sanchez JA, Ed: *Patient Safety in Surgery*. *Surgical Clinics of North America*. Elsevier, 2012 (In Press)

Thirunavukkarasu M, Adluri RS, Juhasz B, Samuel SM, Zhan L, Kaur A, Maulik G, Sanchez JA, Hager J, Maulik N. Effect of Myocardial ischemic preconditioning on the genomic profiling of Nox2 knockout mice. *J Mol Cell Cardiol*, 2011

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Pimiento JM, Jaramillo CA, Brusatin O, Dudrick SJ, Sanchez JA. Caval obstruction and neuroendocrine tumor of the thymus. *Interact CardioVasc Thorac Surg* (Submitted).

Adluri RS, Thirunavukkarasu M, Zhan L, Akita Y, Muang-In S, Otani H, Sanchez JA, Maulik N. Glutaredoxin-1 Overexpression Enhances Akt/mk2/VEGF/NFk- β -Mediated Neovascularization and Reduces Ventricular Remodeling during Chronic Myocardial Infarction (In Preparation).

Adluri RS, Thirunavukkarasu M, Samuel SM, Zhan L, Sanchez JA, Maulik N. Ischemic Postconditioning Triggers Beta-Catenin-Mediated VEGF-Dependent Increased Angiogenesis and Reduced Ventricular Remodeling in Mouse Ischemic Reperfused Myocardium (In Preparation).

Thirunavukkarasu M, Akita Y, Adluri RS, Samuel SM, Juhasz B, Otani H, Maulik G, Sanchez JA, Maulik N. Disruption of Beta-Catenin/VEGF/FLK-1/NADPH Oxidase Signaling Leads to Myocardial Ischemia Reperfusion Injury in Ischemic Preconditioned Myocardium: Study with GP91 Knockout Mice (In Preparation).

Sanchez JA. The Incidence of Cardiovascular Disease: a Population Health Perspective. In Maulik N: *Nutritional and Therapeutic Interventions for Heart Disease*. CRC Press (In Preparation)

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Panait L, Larios JM, Brenes RA, Ajemian MS, Dudrick SJ, Sanchez JA. Surgical Skills on a Virtual Reality Simulator Do Not Correlate with Previous Experience with Other Manual Dexterity Activities. *J Surg Res* (Submitted)

Shetty S, Brenes RA, Panait L, Ajemian MS, Sanchez JA. Video Assisted Thoracoscopic Resection of a Posterior Mediastinal Castleman's Tumor (In Preparation)

Abstracts Submitted

Oriowo B, Thirunavukkarasu M, Adluri S, Zhan L, Takeda K, Fong G, Sanchez JA, Maulik N. Silencing Prolyl Hydroxylase Domain Protein 3 Stabilizes Hypoxia Inducible Factor-1 alpha and Preserves Myocardial Function through the Activation of Pro-angiogenic and Anti-apoptotic pathway following Myocardial Injury in Mice. The 97th Annual Clinical Congress of the American College of Surgeons. October 23-27, 2011. San Francisco, California.

Brenes RA, Bear M, Jadowiec S, Sanchez JA, Dardik A. A Novel Model of Hind Limb Ischemia to Test Human Therapeutic Angiogenesis. The 97th Annual Clinical Congress of the American College of Surgeons. October 23-27, 2011. San Francisco, California.

Shaikh I, Sanchez JA, Fales C, Syed S, Patel B, Carr JA New Classification System for Empyema Thoracis. The 97th Annual Clinical Congress of the American College of Surgeons. October 23-27, 2011. San Francisco, California.

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Prior Appendectomy Correlates with Severity of Clostridium Difficile Infection
Fancher TT, Chowdhary P, Joshi M, Sanchez JA. The 97th Annual Clinical Congress of the American College of Surgeons. October 23-27, 2011. San Francisco, California.

Suresh S, Fancher TT, Brenes RA, Rutland RC, Sanchez JA, Ajemian MS. Traumatic Inferior Vena Cava Injuries: Can We Improve Survival by Getting the Patients to the Operative Theatre Faster? The 97th Annual Clinical Congress of the American College of Surgeons. October 23-27, 2011. San Francisco, California.

Updated June 21, 2011

Steven E. Schneider MD, MBA

Objectives:

- To lead a healthcare organization
- To continue my own professional growth and development

Profile:

I am an experienced senior hospital executive with a proven record in direct operations management, physician leadership, managed care contracting, marketing, and management of quality and patient safety initiatives. I believe my enthusiastic and collaborative style, combined with my experience, make me well prepared to lead an organization looking for positive change and growth.

Professional Experience:

Saint Mary's Hospital - Waterbury Connecticut **June 2011 – Present**

President and Physician Executive, Trinity Medical Group

- Responsible for overall leadership and management of a multi-specialty physician organization

Saint Mary's Hospital Description:

- 12,000 admissions, 68,000 ED visits per year
- Residencies in Internal Medicine, General Surgery and Dentistry
- Level 2 Trauma Center

Waterbury Hospital – Waterbury Connecticut **1989-June 2011**

Waterbury Hospital Description:

- 15,000 admissions, 10,000 surgical procedures 56,000 ED visits per year
- Not for profit, community hospital
- Residencies in Internal Medicine (Yale Affiliated) and General Surgery (Yale and UConn Affiliated)
- Level 2 Trauma Center

Direct Reports at Waterbury Hospital:

- Chairs of All Medical and Surgical Departments
- Director of Emergency Department Nursing
- Director of Case Management
- Chief Quality and Safety Officer
- Director of Marketing and Community Relations
- President of Alliance Medical Group (hospital owned multispecialty physician group)
- Chief Medical Officer of Alliance Medical Group
- Director of Managed Care Contracting (from 1995-2000)

Accomplishments:

Vice president for Medical Affairs/Chief Medical Officer

1994-2011

- Chair, Board of Directors, Heart Center of Greater Waterbury
 - Led, along with others, the creation and approval of the first new Open Heart Surgery and Angioplasty program approved in Connecticut in nearly 20 years
 - First program ever approved as a joint program between 2 competing hospitals
 - As Chair, successfully led the 2 hospital consortium through a very challenging Certificate of Need process
- Leadership of hospital marketing, advertising, and community relations departments
 - Created very successful campaign marketing Orthopedic services with more than half of joint replacements coming from areas beyond our primary and secondary service areas
 - Developed, in conjunction with a partnering hospital, a huge and successful multimedia, community grass roots, and political strategy and campaign to win approval for a joint open heart and angioplasty program
- Created one of the first Hospitalist programs in Connecticut
 - 10 years in operation; grown from 5 to 15 physicians
 - Covers more than half of all inpatients
 - Superior ALOS and quality parameters compared with private service and teaching service patients
- Built and led hospital- owned physician group
 - Grown over 15 years from a 4 physician primary care group to a multispecialty group of more than 50 physicians and surgeons
 - Annual revenues of >\$15 million; cost per physician lower than the 25th percentile MGMA benchmark
- Started Waterbury Hospital's case management program
 - Greater than 10 year track record of shortened lengths of stay
 - Greatly improved clinical documentation accuracy with revenue improvements of greater than \$1 million per year
- Oversaw managed care contracting on behalf of Waterbury Hospital and the 300 plus physicians in a PHO
- Led complete turnaround of Physician and Nursing Leadership and all Physician staff of the Waterbury Hospital Emergency Department
 - Changed from no EM Board Certified physician to All EM Board Certified
 - ED volume grew from 40,000 visits to over 56,000 visits per year
 - ED now has a positive contribution margin of \$5-\$10 million annually

Chairman, Department of Psychiatry

1989-1994

- Full operational and budget responsibility for all aspects of a very large program with greater than **50,000** outpatient visits and 1000 inpatient admissions per year
- Redesigned department from one large service to sub-specialty service lines leading to significant improvements in service quality and in payor mix.
- Implemented aggressive utilization management and clinical pathways leading to the publication of an article in a peer reviewed journal featuring our specialty ultra short length of stay inpatient unit

Allegheny General Hospital- Pittsburgh Pennsylvania

1985-1989

Acting Medical Director, Allegheny Neuropsychiatric Institute 1988-1989

- Assumed leadership of Allegheny Neuropsychiatric Institute (ANI), a freestanding subsidiary of Allegheny General Hospital at the request of AGH CEO after unexpected departure of both AGH's Department Chair/Founder of ANI and the Medical Director of ANI just after the facility opened.
 - Brought stable leadership to a very chaotic situation
 - Provided credible clinical programming to external referring hospitals
 - Recruited excellent clinical staff in a challenging environment

Chief, Inpatient Psychiatry Allegheny General Hospital/Medical College of Pennsylvania 1985-1988

- Facilitated growth of Inpatient Service from 16-28 beds
- Led change in treatment approaches toward more practical and modern neuropsychiatric and biologic treatment protocols

United States Army Medical Officer 1977-1982

- Attained rank of Major, U.S. Army Medical Corps

Martin Army Hospital- Ft. Benning Georgia

Chief, Emergency Medicine Service 1980-1982

- Totally restructured E.R. service organization resulting in dramatic improvement in quality and efficiency of services
- Awarded Army Commendation Medal for the above
- Introduced Advanced Trauma Life Support Courses and Certification to the hospital
- Established respected Family Practice resident rotation in Emergency Medicine

Ft. Lewis/Madigan Army Medical Center- Ft. Lewis Washington

Brigade Surgeon, 9th Infantry Division, 3rd Brigade 1978-1979

Education:

1994-1995 University of New Haven, MBA
1982-1985 Yale University School of Medicine, Residency in Psychiatry
1979-1980 Madigan Army Medical Center, Residency in Emergency Medicine
1977-1978 Madigan Army Medical Center, Internship, pre Emergency Medicine
1974-1977 University of Nebraska, College of Medicine, MD
1970-1974 University of Nebraska BA Biology, Magna Cum Laude

Licensure and Certification:

Connecticut- Medicine and Surgery
American Board of Psychiatry and Neurology

Academic Publications:

Schneider, SE and Ross, IM. The Effectiveness of Ultra-Short Length of Stay Admission in a Comprehensive Managed Care System. Psychiatric Services, Vol. 47: No. 2, p.137-138, 1996.

Schneider, SE and Phillips, WM. Depression and Anxiety in Medical, Surgical, and Pediatric Interns. Psychological Reports. 72:1145-1146, 1993.

Yudofsky, SC, Silver, JM, Schneider, SE. The Use of Beta Blockers in the Treatment of Aggression. Psychiatry Letter. Fair Oaks Hospital. Spring/Summer, Vol. 6, Issue 1-6, 1988.

Yudofsky, SC, Silver, JM, Schneider, SE. Pharmacologic Treatment of Aggression. Psychiatry Annals. 17, (6):397-407, 1987.

Academic Positions:

Assistant Clinical Professor of Psychiatry, Yale University	1991-2000
Adjunct Clinical Assistant Professor, Quinnipiac College	1997-2002
Assistant Professor of Psychiatry, Medical College of Pennsylvania	1987-1989
Clinical Instructor in Emergency Medicine, US Army Academy of Health Sciences	1981-1982

Professional Organizations:

American College of Healthcare Executives
American College of Physician Executives
American Medical Association
Waterbury Medical Society

Community Activities:

Trustee Waterbury YMCA
Volunteer Mentor in Waterbury School System
Member of Visions Task Force, City of Waterbury
Acting Director of Health Department, City of Waterbury
Incorporator, Child Guidance Clinic of Waterbury

James G. Uberti, MD

Education

- 1983-1987 Medical Doctor, University of Connecticut School of Medicine, Farmington, CT
1981-1983 Master's Degree in Public Health, Yale University School of Epidemiology and Public Health,
New Haven, CT
1976-1980 Bachelor of Science in Biology, Fairfield University, Fairfield, CT

Professional Experience

- December 2008 to Present
Internist, Primary Care Partners, Prospect CT
- November 1991 to December 2008
Internist and Partner, Greater Waterbury Primary Care Partners, P.C., Prospect, CT
- July 1990 to October 1991
Internist, Associates in Internal Medicine, Wolcott, CT
- July 1987 to June 1990
Intern/Resident in Internal Medicine, St. Mary's Hospital, Waterbury, CT
- June 1982 to September 1982
Consultant, Department of Health, Greenwich, CT
- June 1980 to September 1980
Research Assistant, Yale-New Haven Hospital, Department of Pediatric Neurology, New Haven, CT
- June 1979 to September 1979
Research Assistant, Yale-New Haven Hospital, Department of Pediatric Neurology, New Haven, CT
- June 1978 to September 1978
Hospital Aide, Griffin Hospital, Derby, CT

Other Relevant Experience

- Chief of Staff, 2010 to present
St. Mary's Hospital, Waterbury, CT
- Vice Chief of Staff, 2008 to present
St. Mary's Hospital, Waterbury, CT

**Co-Chairman, Quality Committee of the Board of Directors, 2008 to present
St. Mary's Hospital, Waterbury, CT**

**Member, Board of Directors, 2006 to present
St. Mary's Hospital, Waterbury, CT**

**Assistant Clinical Professor of Medicine, 1998 to present
Yale University School of Medicine, New Haven, CT**

**Chairman, Quality Coordinating Council, 1997 to 2009
St. Mary's Hospital, Waterbury, CT**

Certifications and Honors

Certified by American Board of Internal Medicine, 1990; Recertified, 2000

Graduated Cum Laude from Fairfield University, 1980

Professional Affiliations

Member, American College of Physicians

Member, New Haven County Medical Association

Member, Connecticut State Medical Society

EXHIBIT 26

CT Hospital Association CHIME Market Share Report

Service Line Market Share:
Comparing FY 10 to FY 11 (3 months)

Service Line Cases	Saint Mary's Hospital			Waterbury Hospital			All Other			Total				
	FY 10	FY 11	% change	FY 10	FY 11	% change	FY 10	FY 11	% change	FY 10	FY 11	% change	Case Change	
Cardiac Services	353	378	7.1%	387	460	18.9%	73	421	11.4%	1,118	1,259	12.6%	141	
ENT	36	38	5.6%	22	19	-13.6%	-3	75	-13.8%	145	132	-9.0%	-13	
General Medicine	1,044	1,081	3.5%	1,117	1,126	0.8%	9	1,243	-13.0%	3,404	3,288	-3.4%	-116	
General Surgery	244	265	8.6%	173	160	-7.5%	-13	302	-0.7%	721	727	0.8%	6	
Gynecology	64	70	9.4%	60	41	-31.7%	-19	87	-21.6%	235	198	-15.7%	-37	
Invalid	0	1	0.0%	0	0	0.0%	0	6	500.0%	1	7	600.0%	6	
Neonatology	247	256	3.6%	252	251	-0.4%	-1	313	9.1%	786	820	4.3%	34	
Neurology	133	140	5.3%	114	126	10.5%	12	133	6.4%	372	399	7.3%	27	
Neurosurgery	10	12	20.0%	7	9	28.6%	2	31	-13.9%	53	52	-1.9%	-1	
Obstetrics	265	273	3.0%	266	254	-4.5%	-12	330	14.2%	820	857	4.5%	37	
Oncology/Hematology (Medical)	65	56	-13.8%	51	53	3.9%	2	144	16.1%	240	253	5.4%	13	
Ophthalmology	3	2	-33.3%	2	5	150.0%	3	3	-40.0%	10	10	0.0%	0	
Orthopedics	118	138	16.9%	266	220	-17.3%	-46	284	42.0%	584	642	9.9%	58	
Other Trauma	18	26	44.4%	32	23	-28.1%	-9	35	6.1%	83	84	1.2%	1	
Rehabilitation	0	0	0.0%	0	0	0.0%	0	11	7	-36.4%	11	7	-36.4%	-4
Spine	72	67	-6.9%	53	44	-17.0%	-9	115	2.7%	237	226	-4.6%	-11	
Thoracic Surgery	20	17	-15.0%	23	14	-39.1%	-9	22	27.3%	65	59	-9.2%	-6	
Urology	37	47	27.0%	49	40	-18.4%	-9	92	33.3%	155	179	15.5%	24	
Vascular Services	93	73	-21.5%	38	22	-42.1%	-16	62	29.2%	172	164	-4.6%	-8	
Total	2,822	2,940	4.2%	2,912	2,874	-1.3%	-38	3,485	1.8%	9,219	9,363	1.6%	144	

Service Line Market Share	Saint Mary's Hospital			Waterbury Hospital			All Other			Highlights			
	FY 10	FY 11	% change	FY 10	FY 11	% change	FY 10	FY 11	% change	Share Gains	Share Losses	Volume Gains	Volume Losses
Cardiac Services	31.6%	30.0%	-4.9%	34.6%	36.5%	5.6%	33.8%	33.4%	-1.1%	Other Trauma +9.3%	Share Losses	Gen. Med. +37	Volume Losses
ENT	24.8%	28.8%	16.0%	15.2%	14.4%	-5.1%	60.0%	56.8%	-5.3%	Gynecology +8.1%	Cardiac +25	Gen. Med. -20	Vascular -20
General Medicine	30.7%	32.9%	7.2%	32.8%	34.2%	4.4%	36.5%	32.9%	-10.0%	Neurosurgery +4.2%	Gen. Surg. +21	Oncology -9	Spine -5
General Surgery	33.8%	36.5%	7.7%	24.0%	22.0%	-8.3%	42.2%	41.5%	-1.5%	ENT +4.0%	Orthopedics +20	Thoracic -3	Thoracic -3
Gynecology	27.2%	35.4%	29.8%	25.5%	20.7%	-18.9%	47.2%	43.9%	-7.0%	Gen. Surg. +2.6%	Urology +10		
Invalid	0.0%	14.3%	0.0%	0.0%	0.0%	0.0%	100.0%	85.7%	-14.3%				
Neonatology	31.4%	31.2%	-0.7%	32.1%	30.6%	-4.5%	36.5%	38.2%	4.5%				
Neurology	35.8%	35.1%	-1.9%	30.6%	31.6%	3.0%	33.6%	33.3%	-0.8%				
Neurosurgery	18.9%	23.1%	22.3%	13.2%	17.3%	31.0%	67.0%	59.6%	-12.2%				
Obstetrics	32.3%	31.9%	-1.4%	32.4%	29.6%	-8.6%	55.2%	38.5%	9.3%				
Oncology/Hematology (Medical)	27.1%	22.1%	-18.3%	21.3%	20.9%	-1.4%	51.7%	56.9%	10.2%				
Ophthalmology	30.0%	20.0%	-33.3%	20.0%	50.0%	150.0%	50.0%	30.0%	-40.0%				
Orthopedics	20.2%	21.5%	6.4%	45.5%	34.3%	-24.8%	34.2%	44.2%	29.2%				
Other Trauma	21.7%	31.0%	42.7%	38.6%	27.8%	-29.0%	39.8%	41.7%	4.8%				
Rehabilitation	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%	100.0%	0.0%				
Spine	30.4%	29.6%	-2.4%	22.4%	19.5%	-12.9%	47.3%	50.9%	7.7%				
Thoracic Surgery	30.8%	28.8%	-6.4%	35.4%	23.7%	-32.9%	53.8%	47.5%	40.2%				
Urology	23.9%	26.3%	10.0%	31.6%	22.3%	-29.3%	31.6%	51.4%	15.5%				
Vascular Services	52.0%	44.5%	-14.3%	21.2%	17.7%	-16.7%	26.8%	37.8%	41.0%				
Total	30.6%	31.4%	2.6%	31.6%	30.7%	-2.8%	37.8%	37.9%	0.3%				

Source: CHA Decision Support Tool. Data cover inpatient and newborn discharges from the Saint Mary's service area during the first three months (October - December) of each fiscal year.

EXHIBIT 27

Organizational Charts

Saint Mary's Prior to Proposal

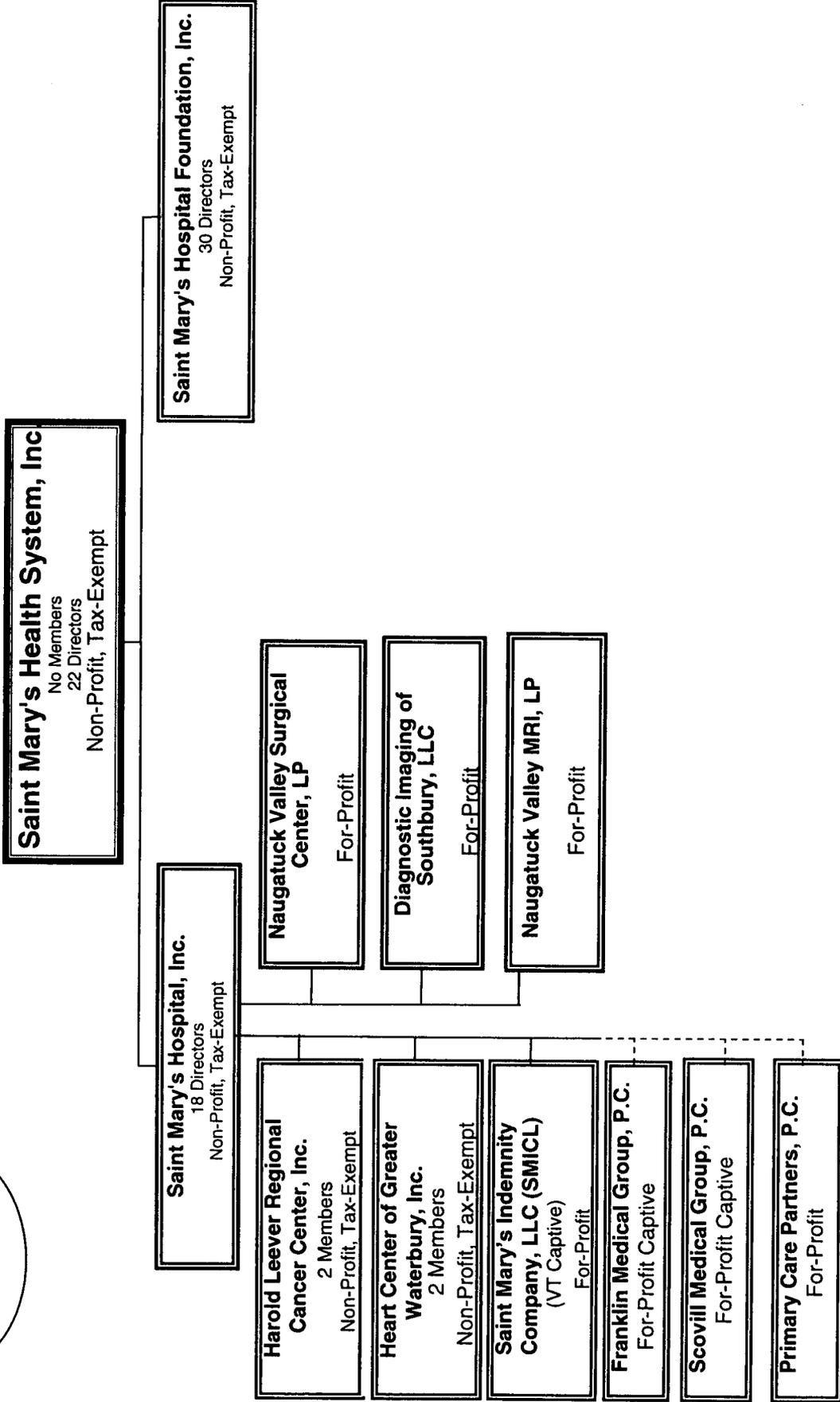
Saint Mary's Post Proposal

LHP Prior to Proposal

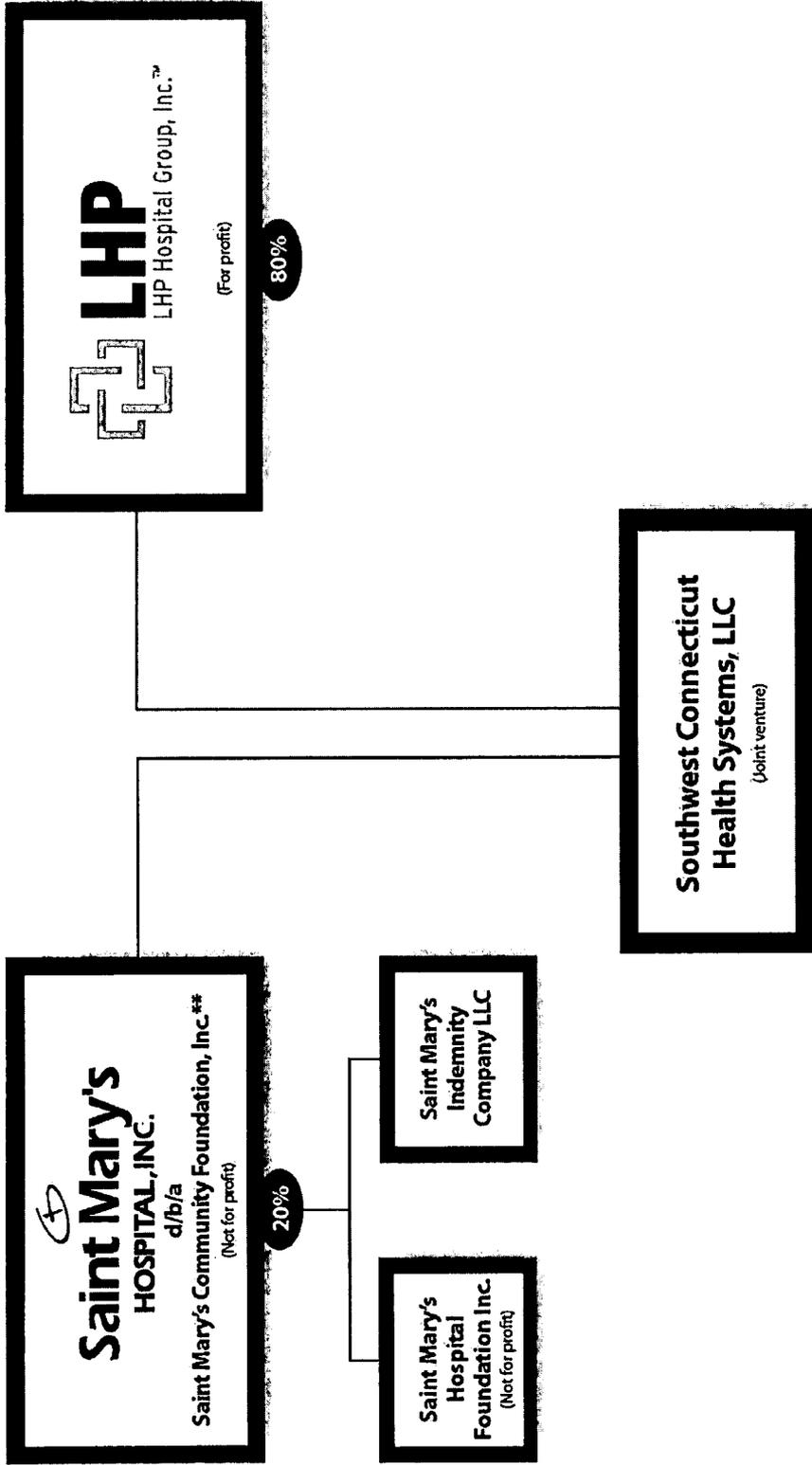
LHP Post Proposal



Corporate Structure



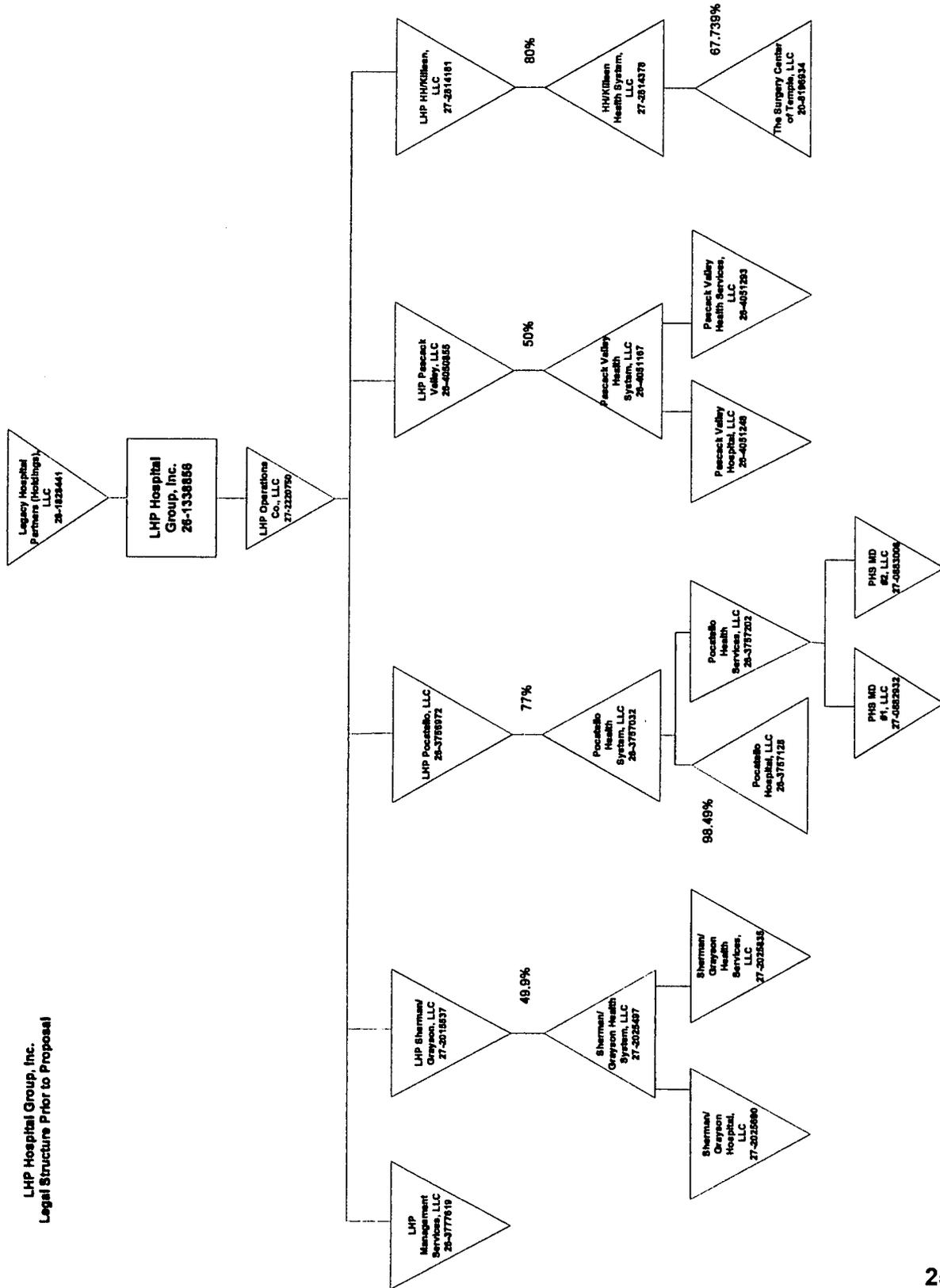
FOLLOWING PROPOSAL



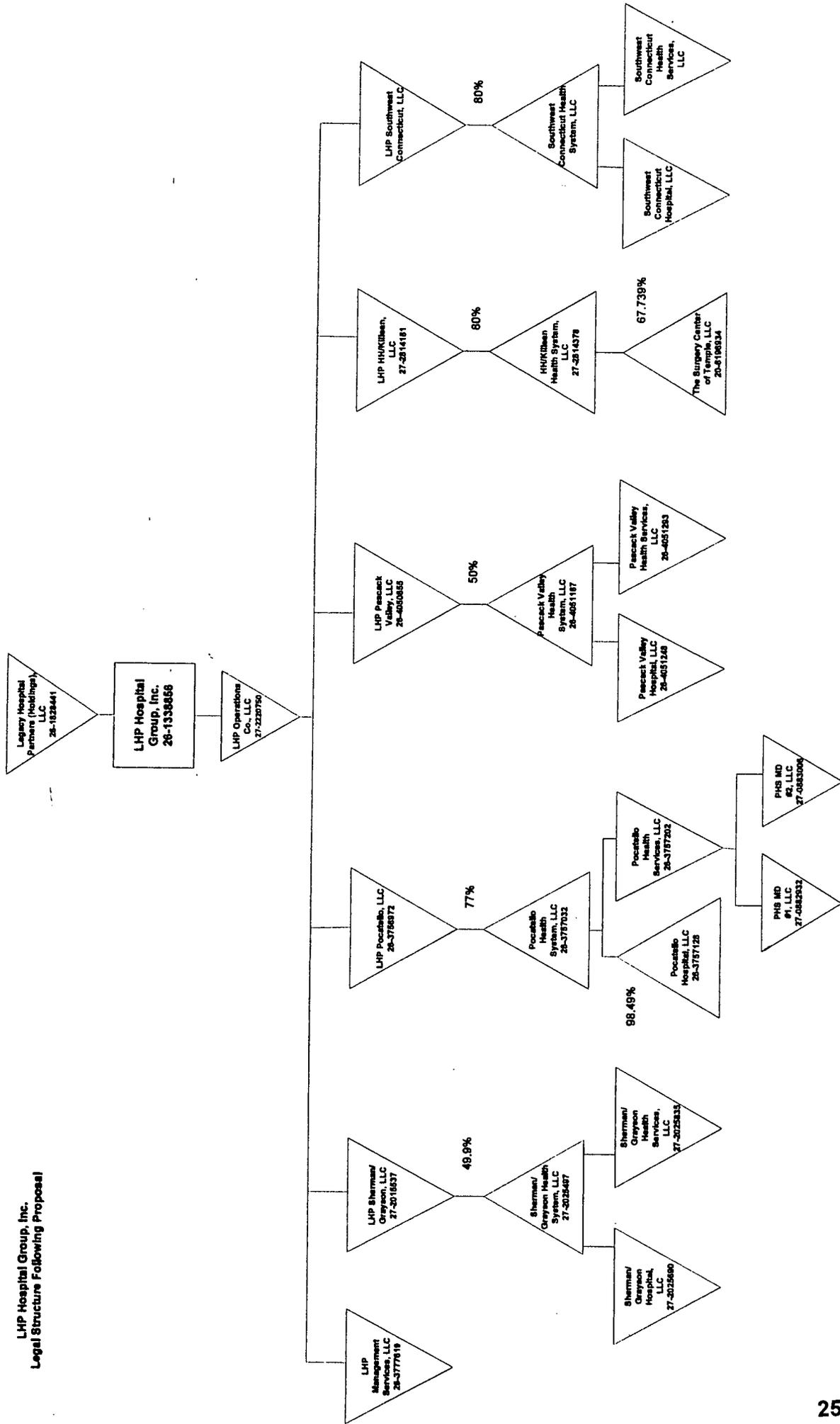
* LHP Hospital Group, Inc. will create an affiliate, LHP Southwest Connecticut LLC, to enter into the joint venture.

**Saint Mary's Health System will be merged into Saint Mary's Hospital, Inc. at closing. At the same time, the assets included in the agreement between the parties, including the hospital and its affiliates, from Saint Mary's Hospital, Inc. will be transferred to Saint Mary's Community Foundation, Inc.

**LHP Hospital Group, Inc.
Legal Structure Prior to Proposal**



**LHP Hospital Group, Inc.
Legal Structure Following Proposal**



LIST OF EXHIBITS

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Greer, Leslie

From: Martone, Kim
Sent: Wednesday, August 10, 2011 2:30 PM
To: Dillon, Melanie; Armah, Olga; Cotto, Carmen; Carney, Brian
Cc: Olejarz, Barbara; Greer, Leslie
Subject: FW:
Attachments: saint mary application.pdf

Kimberly R. Martone
Director of Operations
Office of Health Care Access
860-418-7029

From: Sullivan, Patricia
Sent: Wednesday, August 10, 2011 2:18 PM
To: Hawes, Gary W.; Martone, Kim; Lazarus, Steven
Subject:

Attached is the final signed version of the St. Mary's Health System letter. It has been faxed and sent regular U.S. Mail.

*Patty Sullivan
Executive Secretary
Office of the Attorney General
55 Elm Street
P.O. Box 120
Hartford, CT 06106*

*Phone: 860.808.5318
Fax: 860.808.5387
Email: patricia.sullivan@ct.gov
URL: <http://ct.gov/ag/>*

CONFIDENTIAL INFORMATION: The information contained in this e-mail is confidential and protected from general disclosure. If the recipient or the reader of this e-mail is not the intended recipient, or person responsible to receive this e-mail, you are requested to delete this e-mail immediately and do not disseminate or distribute or copy. If you have received this e-mail by mistake, please notify us immediately by replying to the message so that we can take appropriate action immediately and see to it that this mistake is rectified.

State of Connecticut

GEORGE C. JEPSEN
ATTORNEY GENERAL



Hartford

August 10, 2011

VIA U.S. MAIL and FACSIMILE (860-509-6501)

Robert J. Anthony, Esq.
Brown Rudnick
City Place I
185 Asylum Street
Hartford, Connecticut 06103

Re: In re St. Mary's Health System, Inc. -- Application

Dear Attorney Anthony:

After our review of the Application for Joint Venture (the "Application") submitted on July 21, 2011, by St. Mary's Health System, Inc. ("St. Mary's"), including St. Mary's Hospital (the "Hospital"), and LHP Hospital Group, Inc. (together with its affiliates and subsidiaries, "LHP"), we have determined that there are minor deficiencies in the Application that require clarification and/or additional production. Conn. Gen. Stat. § 19a-486a(d). Please respond to the following questions and/or submit the following materials to the Commissioner of Public Health (the "Commissioner") and to the Attorney General within 20 days from the date of this letter.

1. In Exhibit 19, St. Mary's Hospital Foundation Summary Chart of Restricted Funds, the applicants identified restricted fund K3 Nursing Education (Bates #874). However, in Exhibit 20, the Restricted Funds documents, there is no production for K3. Please clarify whether there are any documents relating to this restricted fund, and if there are, please produce those documents.

2. The Applicant provided utilization data on page 24 of the Certificate of Need (CON) application that differs from the amounts on record at the Office of Health Care Access (OHCA) (Table 1). Provide an explanation for the discrepancies, the source and calculation, when applicable, of the following data:

Table 1: Utilization Data: Applicants CON vs. OHCA's Records-FY 2010

Description	CON input	OHCA's Records	CON Reference	OHCA Reference
Emergency Department Visits	68,272	67,968	Q.2, p.24	HRS*-Report 450- Line K
Inpatient Surgeries	2,881	8,642	Q.2, p.24	HRS-Report 450-Line l.1
Outpatient Surgeries	3,662	9,804	Q.2, p.24	HRS-Report 450-Line l.2

*Hospital Reporting System-FY 2010

3. Please indicate which organizational chart includes the newly formed Trinity Medical Group ("TMG"), as referenced on page 65. If it's an omission that needs to be corrected, please revise and resubmit the appropriate organizational chart.

4. In reference to page 34, please describe in detail LHP's experience in its joint ventures involving Portneuf Medical Center in Idaho and Texas Presbyterian Hospital-WNJ in Texas. In particular discuss the improvements the joint venture has made to date on the individual hospitals' array of and access to clinical services and profitability.

Please mail one (1) complete hard copy and one (1) complete electronic copy of the requested materials for approval to the following address:

Office of the Attorney General
55 Elm Street, P.O. Box 120
Hartford, Connecticut 06141-0120
Attn: Gary W. Hawes, AAG

Please also mail one (1) complete hard copy and one (1) complete electronic copy of the requested materials for approval to the following address:

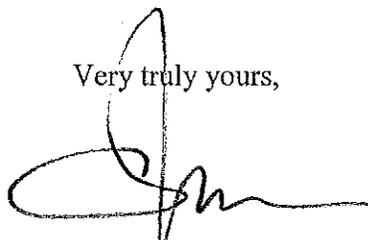
Commissioner of Public Health
410 Capitol Avenue
Hartford, Connecticut 06134
Attn: Melanie A. Dillon

After receipt of these requested materials, the Commissioner and the Attorney General shall review the submission to determine whether the Application is complete. If not, the Commissioner and the Attorney General shall provide written notice of any deficiencies within twenty (20) days of receipt of these requested materials.

Should you have any questions regarding these requests or any other issues relating to the Commissioner's and Attorney General's review, please do not hesitate to contact either Steven Lazarus at the Department of Public Health (860-418-7012; Steven.Lazarus@ct.gov) or Assistant Attorney General Gary W. Hawes at the Office of the Attorney General (860-808-5020; gary.hawes@ct.gov).

August 10, 2011
Page 3

Very truly yours,

A handwritten signature in black ink, appearing to read 'G. Jepsen', written over a vertical line.

GEORGE JEPSEN
ATTORNEY GENERAL

cc: Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Gary W. Hawes, Assistant Attorney General, OAG

ROBERT J. ANTHONY
Counselor at Law
direct dial: 860.509.6517
fax: 860.509.6501
ranthony@brownrudnick.com

CityPlace I
185 Asylum
Street
Hartford
Connecticut
06103
tel 860.509.6500
fax 860.509.6501

August 18, 2011

VIA HAND DELIVERY

Office of the Attorney General
Attn.: Assistant Attorney General Gary W. Hawes
55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Commissioner of Public Health
Attn.: Melanie A. Dillon, Esq.
410 Capitol Avenue
Hartford, CT 06134

**RE: Saint Mary's Health System, Inc. / LHP Hospital Group, Inc.'s
Responses to Questions**

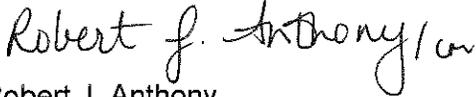
Dear Attorneys Hawes and Dillon:

As requested, Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. hereby submit the attached responses and additional exhibits regarding their Application for a proposed joint venture. One hard copy and one electronic copy have been hand delivered to each office.

If you have any questions or need anything further, please contact me at 860.509.6517. Thank you for your assistance in this matter.

Very truly yours,

BROWN RUDNICK LLP


Robert J. Anthony

40285773 v1 - MERCIECM - 080456/0042

002512

STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH

IN RE: SAINT MARY’S HEALTH SYSTEM, INC. :
AND LHP HOSPITAL GROUP, INC.’S :
APPLICATION FOR APPROVAL OF A JOINT :
VENTURE. : August 18, 2011

RESPONSES TO QUESTIONS

Saint Mary’s Health System, Inc. (“Saint Mary’s”) and LHP Hospital Group, Inc. (“LHP”) (collectively, the “Applicants”) hereby respectfully submit to the Attorney General and the Commissioner of the Department of Public Health the following responses and additional exhibits regarding their application for a proposed joint venture (the “Application” or “CON”).

- 1. In Exhibit 19, St. Mary's Hospital Foundation Summary Chart of Restricted Funds, the applicants identified restricted fund K3 Nursing Education (Bates #874), However, in Exhibit 20, the Restricted Funds documents, there is no production for K3. Please clarify whether there are any documents relating to this restricted fund, and if there are, please produce those documents.**

Documentation in support of the restricted funds under K3 Nursing Education is attached hereto as **Exhibit 28**. There was an oversight during the development of the Application and the corroborating documentation was inadvertently omitted.

2. The Applicant provided utilization data on page 24 of the Certificate of Need (CON) application that differs from the amounts on record at the Office of Health Care Access (OHCA) (Table 1). Provide an explanation for the discrepancies, the source and calculation, when applicable, of the following data:

Table 14: Utilization Data: Applicants CON vs. OHCA's Records-FY 21

Description	CON input	OHCA's Records	CON Reference	OHCA Reference
Emergency Department	68,272	67,968	Q.2, p.24	FIRS*-Report 450-Line K
Inpatient Surgeries	2,881	8,642	0.2,#24	HI:IS-Report 450-Line1.1
Outpatient Surgeries	3,662	9,804	0.2, p.24	HI:IS-Report 450-Line1.2

*Hospital Reporting System-FY 2010

The differences in utilization data can be explained by subtle differences in the systems and/or definitions used at the time of reporting.

Emergency Department – The CON input number is calculated by STAR, which is the Patient Accounting System, and is defined by the number of registrations in the Emergency Department (ED). The CON number includes all patient types. There are four main patient types: emergency room patients that are treated and released, emergency room patients that are admitted to an inpatient unit, same day surgery patients that are admitted through the ED, and observation patients that are in the ED.

The OHCA data are calculated by Trendstar, which is the Decision Support System, and include the number of cases for only two patient types: emergency room patients that are treated and released and inpatient cases that are admitted to an inpatient unit. The OHCA data do not include other patient types such as same day surgery and observation patients.

The OHCA data were re-run, using the Trendstar system, to include all patient types in the ED. The revised total comes to 68,401. This is slightly higher than what was submitted in the CON. The difference is 129 visits (which represents a 0.2% variation from the original submission) and can be explained by the change in timing from when the reports were developed.

Inpatient Surgeries – The CON input number of 2,881 equals the number of cases. The OHCA records of 8,642 reflect the number of procedures performed on those cases reported in the CON. On average, 2.9 procedures were performed on each inpatient surgery case.

Outpatient Surgeries – As with inpatient surgeries, the CON input number of 3,662 equals the number of cases. The OHCA records of 9,804 reflect the number of procedures performed on those cases reported in the CON. On average, 2.7 procedures were performed on each outpatient surgery case.

3. Please indicate which organizational chart includes the newly formed Trinity Medical Group ("TMG"), as referenced on page 65. If it's an omission that needs to be corrected, please revise and resubmit the appropriate organizational chart.

A revised chart is attached as **Exhibit 29**. The revised chart replaces the chart entitled "Prior to Proposal : Corporate Structure" located on page 2508 of the Application (the first page of Exhibit 27). Trinity Medical Group, Inc. has been organized as a Medical Foundation under Chapter 602 of the Connecticut General Statutes. It is in the process of being developed but is currently inactive. Once it becomes operational, Trinity Medical Group, Inc. will consolidate and be responsible for Saint Mary's existing physician practices (Franklin Medical Group, P.C., Scovill Medical Group, P.C. and Primary Care Partners, P.C.) so that all of Saint Mary's employed physicians operate out of a single practice group.

4. In reference to page 34, please describe in detail LHP's experience in its joint ventures involving Portneuf Medical Center in Idaho and Texas Presbyterian Hospital-WNJ in Texas. In particular discuss the improvements the joint venture has made to date on the individual hospitals' array of and access to clinical services and profitability.

LHP Hospital Group, Inc. has a very strong record of success in investing in the communities it serves. Expanding access to a higher quality and broader range of medical services is LHP's primary goal in making these investments. LHP's two longest running joint ventures serve as great examples of this success.

PORTNEUF MEDICAL CENTER – POCATELLO, IDAHO

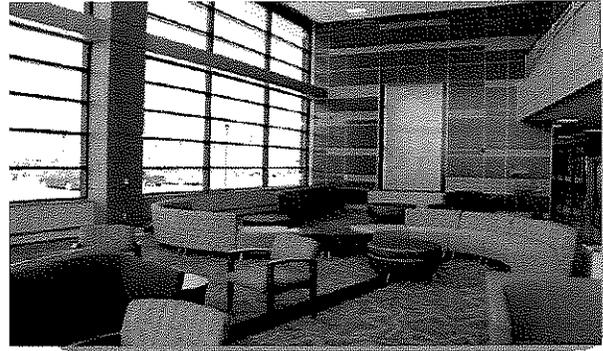
LHP entered into a joint venture to own and operate Portneuf Medical Center on February 1, 2009. The hospital had operated from two campuses separated by a distance of some two miles for many years. Even though Portneuf was one of the largest hospitals in southeastern Idaho, the facilities were outdated and inefficient and confidence in the organization's ability to improve itself was eroding. In little more than two short years, the joint venture has transformed Portneuf into a state-of-the-art, full-service regional referral center.



Original Portneuf Medical Center Main Campus



Portneuf Medical Center Replacement Facility



Some of the highlights of this transformation are as follows:

- Completed a 187-bed, all private room, replacement facility that opened on May 10, 2011.
- Replaced and upgraded all existing medical equipment.
- Implemented a state-of-the-art Information Technology system.
- Added a Davinci Robot at PMC so people would not leave the community for prostate and GYN surgery.
- Added a full Hybrid Operating Room. There are estimated to be less than 100 such rooms in the entire US. Complex heart surgery can now be done in Pocatello.
- Replaced, upgraded and expanded a free-standing Cancer Center to improve access to all types of cancer treatment including a new linear accelerator for radiation treatment.
- Added a geriatric psych program.
- Recruited 32 new physicians to the community.
- Initiated the process to re-establish the hospital's Trauma designation. The hospital had given up this designation some years ago due to an inability to recruit neurosurgeons (recently recruited 2).
- Currently pursuing a Chest Pain Center designation.
- Enhanced and increased community outreach activities such as health fairs, community education, sponsorships, and leadership participation in the community.

One of LHP's initial focus areas for improvement was in the Emergency Room at Portneuf. Prior to the establishment of the JV with LHP, wait times were long and patient satisfaction was poor. Since that time:

- Arrival to physician time has gone from over 80 to 29 minutes
- "Top Box" patient satisfaction scores in the ER have gone from the 33rd percentile to the 69th percentile (see graph below).

These, along with other efforts, have driven strong improvement in operational performance. Some of the highlights can be found below:

Key Indicators:

	Pre-JV	Post JV			% Change 2009 vs 2011
	Actual 2008	Annualized 2009	Actual 2010	Annualized 2011	
Admissions	7,684	7,876	7,970	8,745	14%
EBITDA	\$12.1MM	\$23.0MM	\$32.0MM	\$38.2MM	216%
Net Income	\$(1.4)MM	\$7.7MM	\$18.4MM	\$18.4MM	1414%

The JV is operating under the same charity care policies that were utilized prior to the closing of the transaction.

TEXAS HEALTH PRESBYTERIAN HOSPITAL – WNJ – SHERMAN, TEXAS



LHP entered into a joint venture to acquire, own and operate Wilson N. Jones Medical Center in partnership with Texas Health Resources, the largest not-for-profit health system in North Texas, on April 15, 2010. The hospital had operated independently for decades but was faced with the competitive challenge of having two new hospitals scheduled to open in the primary service area during 2010. The joint venture has embarked upon an aggressive revitalization program to ensure the continued success of the local health system. Some of the highlights of this effort are as follows:

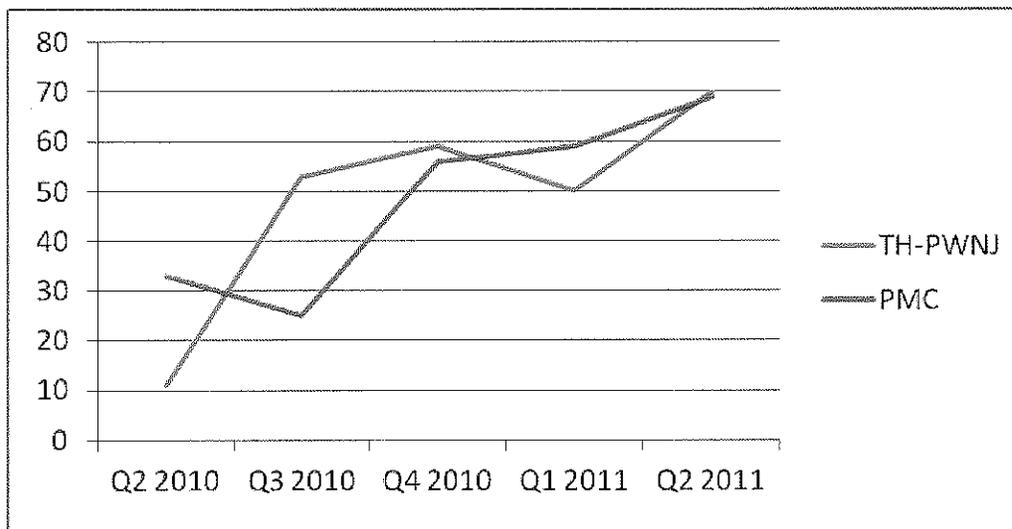
- Upgraded to a state-of-the-art Information Technology system.
- Expanded and reconfigured the Emergency Department.
- Added digital mammography.
- Initiated public area renovations.
- Recruited seven new doctors to the community.

- In the process of restoring or bringing critical designations that keep patients in the community.
 - Chest Pain Center
 - Stroke Center
 - Trauma designation
- Enhanced and increased community outreach activities such as health fairs, community education, sponsorships, and leadership participation in their communities.
- Currently adding a psychiatric service.

As at Portneuf, one of LHP’s initial focus areas for improvement at THPH-WNJ was in the Emergency Room. Prior to the establishment of the JV with LHP, wait times were long, the number of patients leaving without being seen was high and patient satisfaction was poor. Since that time:

- Patients leaving without being seen has gone from 8% to 2%.
- Arrival to physician time has decreased from 79 minutes to 33 minutes
- “Top Box” patient satisfaction has gone from the 11th percentile to the 70th percentile (see graph below).

Focus on the Emergency Department



These, along with other efforts, have stabilized hospital operations in the face of two brand new hospitals opening in the primary service area within months of one another during 2010. Some of the highlights can be found below:

Key Indicators:

	Pre JV		Post JV	
	Actual 2009	Annualized 2010	Annualized 2011	% Change 2009 vs 2011
Admissions	8,588	8,120	8,070	-6%
EBITDA	\$7.6MM	\$1.9MM	\$8.5MM	12%
Net Income	\$(3.1)MM	\$(8.2)MM	\$(4.7)MM	52%

The JV is operating under the same charity care policies that were utilized prior to the closing of the transaction.

40285768 v1 - MERCIECM - 080456/0042

EXHIBIT 28

Documentation in support of
the restricted funds
under K3 Nursing Education

Fund Detail Report Nursing Educa. Scholarship Fund

Constituent Name	Date	Appeal Description	Pledge Payments	Non-Pledge Gift	Honor/Memorial
Laurie M. Andrade	1/13/1994	Remembrance 1994	\$0.00	\$10.00	
J. Robert Anthony	2/26/1993	Remembrance 1993	\$0.00	\$25.00	
Thomas F. Ashe	12/23/1993	Remembrance 1994	\$0.00	\$25.00	
	12/8/1994	Remembrance 1995	\$0.00	\$25.00	
	12/19/1995	Remembrance 1996	\$0.00	\$25.00	Ms. Dorothy J. Plotas, R.
	12/13/1996	Remembrance 1997	\$0.00	\$25.00	Ms. Dorothy J. Plotas, R.
	12/21/1997	Past Remembrances 1998	\$0.00	\$25.00	Ms. Dorothy J. Plotas, R.
	12/31/1998	Past Remembrances '99	\$0.00	\$25.00	Ms. Dorothy J. Plotas, R.
Dominick Barbiero	12/31/1992	Remembrance 1993	\$0.00	\$15.00	
Anita C. Behan	1/25/1994	Remembrance 1994	\$0.00	\$10.00	
Joseph J. Bowen	2/19/1993	Remembrance 1993	\$0.00	\$500.00	
John W. Brackett	12/29/1992	Remembrance 1993	\$0.00	\$50.00	
Michael B. Buckley	2/7/1994	Remembrance 1994	\$0.00	\$25.00	
Jeremy Buswell	2/9/1993	Remembrance 1993	\$0.00	\$10.00	
	12/31/1994	Remembrance 1995	\$0.00	\$10.00	
Edmund Francis Caporaso	1/25/1994	Remembrance 1994	\$0.00	\$50.00	
MaryAnne Carpenter	2/19/1993	Remembrance 1993	\$0.00	\$10.00	
Carol Caruso	1/25/1994	Remembrance 1994	\$0.00	\$10.00	
Adeline Cox	1/31/1994	Remembrance 1994	\$0.00	\$50.00	
Anthony J. D'Antonio	5/10/1994	Remembrance 1994	\$0.00	\$25.00	
Joyce A. Debisschop	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Dolores J. DeVito	2/7/1994	Remembrance 1994	\$0.00	\$20.00	
Arline B. Duley	12/29/1992	Remembrance 1993	\$0.00	\$10.00	
Joseph Esposito	1/13/1994	Remembrance 1994	\$0.00	\$50.00	
Stephen J. Fennessy	1/21/1993	Remembrance 1993	\$0.00	\$10.00	
James Ferraro	3/31/1993	Remembrance 1993	\$0.00	\$10.00	

Fund Detail Report Nursing Educa. Scholarship Fund

Constituent Name	Date	Appeal Description	Pledge Payments	Non-Pledge Gift	Honor/Memorial
William Finkelstein	1/31/1994	Remembrance 1994	\$0.00	\$75.00	
Dolores Fitzpatrick	5/25/1994	Remembrance 1994	\$0.00	\$50.00	
Eleanor Flynn	3/28/1994	Remembrance 1994	\$0.00	\$150.00	
	8/30/1997	Individuals 1997	\$0.00	\$155.00	
Carol L. Frechette	3/10/1993	Remembrance 1993	\$0.00	\$10.00	
Ralph Giuliano	1/13/1994	Remembrance 1994	\$0.00	\$25.00	
GlaxoSmithKline Beecham Pharmaceutical	3/7/1994	Remembrance 1994	\$0.00	\$200.00	
Thomas Gniadek	4/20/1993	Remembrance 1993	\$0.00	\$25.00	
Roberto A. Gobbee	4/20/1993	Remembrance 1993	\$0.00	\$25.00	
Ann Grandpre	1/31/1994	Remembrance 1994	\$0.00	\$10.00	
Alice M. Grant	12/16/1993	Remembrance 1994	\$0.00	\$100.00	
	3/28/1994	Remembrance 1994	\$0.00	\$100.00	
	6/7/1994	Remembrance 1994	\$0.00	\$350.00	
	12/26/1994	Remembrance 1995	\$0.00	\$100.00	
	3/20/1995	Remembrance 1995	\$0.00	\$100.00	
	12/31/1995	Remembrance 1996	\$0.00	\$100.00	Ms. Dorothy J. Plotas, R.
	12/17/1996	Remembrance 1997	\$0.00	\$100.00	Ms. Dorothy J. Plotas, R.
	12/31/1997	Past Remembrances 1998	\$0.00	\$100.00	Ms. Dorothy J. Plotas, R.
	12/31/1998	Past Remembrances '99	\$0.00	\$100.00	Ms. Dorothy J. Plotas, R.
Rosemary T. Johnston	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Marshall D. Kalin	1/13/1994	Remembrance 1994	\$0.00	\$25.00	
Jane Krukowski	12/29/1992	Remembrance 1993	\$0.00	\$20.00	
	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Mary Ann Lariviere	5/10/1993	Remembrance 1993	\$0.00	\$15.00	
	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Maryann Lavelle	2/26/1993	Remembrance 1993	\$0.00	\$50.00	
Sheila Lynch	2/9/1993	Remembrance 1993	\$0.00	\$20.00	
Antoinette Maguire					

Fund Detail Report Nursing Educa. Scholarship Fund

Constituent Name	Date	Appeal Description	Pledge Payments	Non-Pledge Gift	Honor/Memorial
	2/19/1993	Remembrance 1993	\$0.00	\$25.00	
Philip L. Martino	1/21/1993	Remembrance 1993	\$0.00	\$50.00	
Frank Matan	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Lois McDonald	2/19/1993	Remembrance 1993	\$0.00	\$10.00	
David McElligott	12/31/1992	Remembrance 1993	\$0.00	\$25.00	
	1/25/1994	Remembrance 1994	\$0.00	\$35.00	
Patricia Mellon Danaher	2/9/1993	Remembrance 1993	\$0.00	\$5.00	
Marie Miklinevich	1/25/1994	Remembrance 1994	\$0.00	\$25.00	
Margaret Moffitt	1/13/1994	Remembrance 1994	\$0.00	\$50.00	
Ginny Napiello	1/31/1994	Remembrance 1994	\$0.00	\$20.00	
Eugene E. Nowacki	12/29/1992	Remembrance 1993	\$0.00	\$20.00	
Jean Peters	1/13/1994	Remembrance 1994	\$0.00	\$25.00	
James F. Phelan	2/9/1993	Remembrance 1993	\$0.00	\$10.00	
Mary Prybylo	12/29/1992	Remembrance 1993	\$0.00	\$75.00	
	2/9/1993	Remembrance 1993	\$0.00	\$20.00	
	2/14/1994	Remembrance 1994	\$0.00	\$200.00	
	1/9/1995	Remembrance 1995	\$0.00	\$250.00	
Edmund P. Quinn	1/25/1994	Remembrance 1994	\$0.00	\$100.00	
Joanne Rainone	2/9/1993	Remembrance 1993	\$0.00	\$10.00	
Claire Robidoux	2/26/1993	Remembrance 1993	\$0.00	\$50.00	
Gary S. Roosa	2/9/1993	Remembrance 1993	\$0.00	\$25.00	
	12/26/1994	Remembrance 1995	\$0.00	\$100.00	
Kert Sabbath	1/25/1994	Remembrance 1994	\$0.00	\$25.00	
Saint Mary's Laboratory Staff	12/29/1992	Remembrance 1993	\$0.00	\$100.00	
Judith Salter	3/31/1993	Remembrance 1993	\$0.00	\$52.00	
Marguerite P. Santarsiero	12/29/1992	Remembrance 1993	\$0.00	\$10.00	
	1/19/1994	Remembrance 1994	\$0.00	\$10.00	

Fund Detail Report Nursing Educa. Scholarship Fund

Constituent Name	Date	Appeal Description	Pledge Payments	Non-Pledge Gift	Honor/Memorial
V. Timothy Shea	2/7/1994	Remembrance 1994	\$0.00	\$25.00	
Joel A. Sherman	2/14/1994	Remembrance 1994	\$0.00	\$25.00	
Alvin J. Shreders	1/19/1994	Remembrance 1994	\$0.00	\$5.00	
Michael F. Simms	1/31/1994	Remembrance 1994	\$0.00	\$100.00	
Paul A. Sirop	1/25/1994	Remembrance 1994	\$0.00	\$50.00	
	4/28/1997	Annual Report Mailing'	\$500.00	\$0.00	
	7/31/1998	Phys. Annual Appeal 199	\$0.00	\$500.00	
St. Mary's Department of Nursing	12/26/1994	Remembrance 1995	\$0.00	\$85.00	
St. Mary's Nsg. Admin. & Supervisors	6/12/1995	Remembrance 1995	\$0.00	\$20.00	
St. Mary's Nursing Service Administration	12/23/1993	Remembrance 1994	\$0.00	\$550.00	Ms. Dorothy J. Plotas, R.
	3/7/1994	Remembrance 1994	\$0.00	\$34.00	Ms. Dorothy J. Plotas, R.
St. Mary's O'Brien 4 Staff	2/9/1993	Remembrance 1993	\$0.00	\$42.00	
St. Mary's O'Brien 7 Staff	2/26/1993	Remembrance 1993	\$0.00	\$361.00	
St. Mary's Sacred Heart 3 Staff	2/9/1993	Remembrance 1993	\$0.00	\$130.00	
St. Mary's School of Nursing Alummi Asso	3/31/1993	Remembrance 1993	\$0.00	\$86.00	
	3/28/1994	Remembrance 1994	\$0.00	\$20.00	
	5/27/2008	Unsolicited 2008	\$0.00	\$10,654.02	
St. Mary's Xavier 3 Staff	2/9/1993	Remembrance 1993	\$0.00	\$45.00	
William F. Sullivan	3/10/1993	Remembrance 1993	\$0.00	\$10.00	
Katherine Tehan	2/9/1993	Remembrance 1993	\$0.00	\$10.00	
Evan J. Whalley	1/13/1994	Remembrance 1994	\$0.00	\$20.00	
Elaine C. Woodruff	1/19/1994	Remembrance 1994	\$0.00	\$10.00	
Ann Wozniak	1/19/1994	Remembrance 1994	\$0.00	\$20.00	
Marlene Yeomans	1/19/1994	Remembrance 1994	\$0.00	\$25.00	

Fund Detail Report Nursing Educa. Scholarship Fund

Grand Totals: \$500.00 \$17,239.02

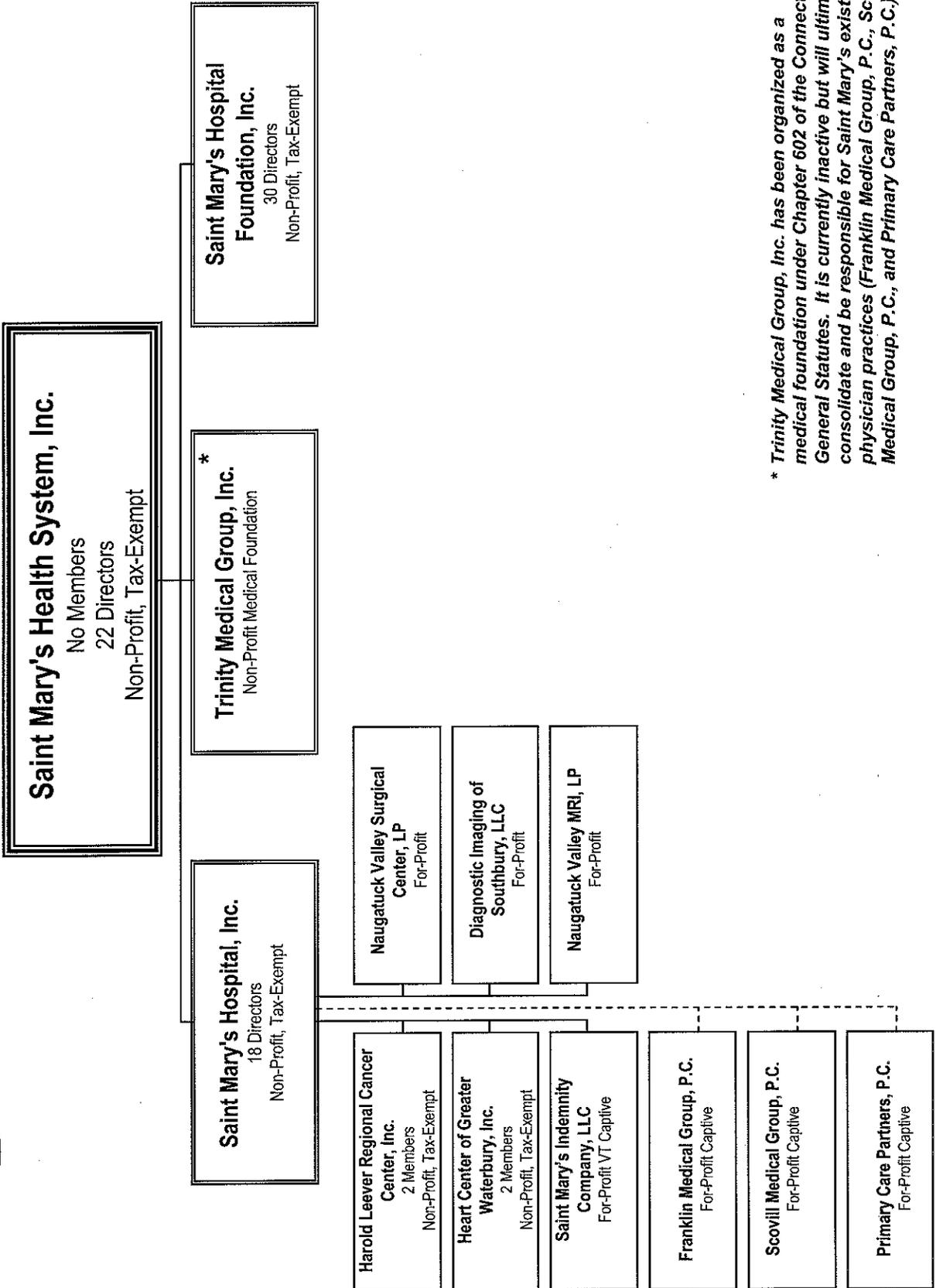
104 Gift(s) listed
76 Donor(s) listed

EXHIBIT 29

Revised “Prior to Proposal – Corporate Structure” Chart



Prior to Proposal Corporate Structure



* Trinity Medical Group, Inc. has been organized as a medical foundation under Chapter 602 of the Connecticut General Statutes. It is currently inactive but will ultimately consolidate and be responsible for Saint Mary's existing physician practices (Franklin Medical Group, P.C., Scovill Medical Group, P.C., and Primary Care Partners, P.C.).

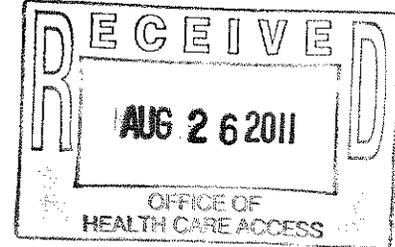
GEORGE C. JEPSEN
ATTORNEY GENERAL



55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Office of The Attorney General
State of Connecticut

August 26, 2011



VIA U.S. MAIL and FACSIMILE (860-509-6501)

Robert J. Anthony, Esq.
Brown Rudnick
City Place I
185 Asylum Street
Hartford, Connecticut 06103

Re: In re St. Mary's Health System, Inc. – Application

Dear Attorney Anthony:

I write in connection with published reports concerning a proposed three-party joint venture involving St. Mary's Health System, Inc. ("St. Mary's"), Waterbury Hospital and LHP Hospital Group, Inc. (together with its affiliates and subsidiaries, "LHP"). As you know, this office and the Office of Health Care Access ("OHCA") are already conducting a review pursuant to the Hospital Conversion Act, Conn. Gen. Stat. § 19a-486a *et seq.*, of a proposed two-party joint venture transaction involving St. Mary's and LHP.

In connection with our pending review, St. Mary's has submitted a detailed application and supporting information describing the structure and valuation of a two-party joint venture with LHP. Our review requires, among other things, evaluation of the hospital's due diligence in selecting the proposed transaction, including whether St. Mary's will receive fair market value for its assets.

It now appears that inclusion of Waterbury Hospital in the joint venture materially alters the terms and structure of the proposed transaction detailed in St. Mary's application. For example, the application contemplates that St. Mary's will contribute certain assets in exchange for, among other consideration, twenty percent ownership and equal governance of the joint venture. According to reports, Waterbury Hospital and Saint Mary's would each own ten percent of the proposed three-party joint venture. Presumably, St. Mary's governance rights will also be affected if Waterbury Hospital is included in the joint venture.

We also note that St. Mary's has submitted a fairness opinion of the proposed St. Mary's/LHP joint venture rendered by Morgan Keegan & Company, Inc. That evaluation is premised on a two-party joint venture structured in the manner described in St. Mary's pending application and is expressly limited to "market, economic and other conditions as in effect on,

August 26, 2011

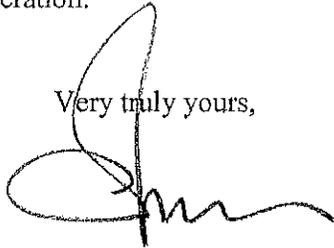
Page 2

and the information made available to [Morgan Keegan] as of, the date” of the opinion. Morgan Keegan cautions that “subsequent developments may affect [its fairness] opinion[.]”

Accordingly, St. Mary’s pending application may no longer accurately or completely reflect the proposed transaction with LHP, and may not provide a sufficient basis for review of that transaction by this office and OHCA. We ask that you inform us and OHCA as soon as possible in writing of St. Mary’s intentions, if any, with respect to revising, withdrawing and/or resubmitting its application, so that we may determine whether or how to proceed with our pending review.

Thank you for your anticipated cooperation.

Very truly yours,

A handwritten signature in black ink, appearing to read 'G. Jepsen', written over a horizontal line.

GEORGE JEPSEN
ATTORNEY GENERAL

cc: Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Gary W. Hawes, Assistant Attorney General, OAG

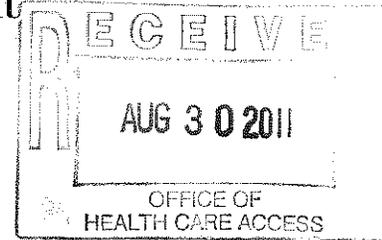
GEORGE C. JEPSEN
ATTORNEY GENERAL



55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Office of The Attorney General
State of Connecticut

August 26, 2011



VIA U.S. MAIL and FACSIMILE (860-509-6501)

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City Place I
185 Asylum Street
Hartford, Connecticut 06103

Re: In re St. Mary's Health System, Inc. – Application

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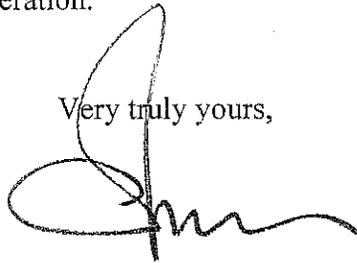
August 26, 2011
Page 2

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Thank you for your anticipated cooperation.

Very truly yours,

A handwritten signature in black ink, appearing to read "G. Jepsen", with a large, stylized initial "G" at the top and a long, horizontal flourish at the bottom.

GEORGE JEPSEN
ATTORNEY GENERAL

cc: Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Gary W. Hawes, Assistant Attorney General, OAG

Fax Facsimile Cover Sheet



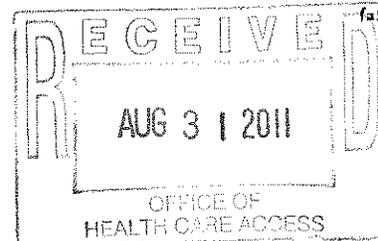
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tel 860.509.6500
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August 31, 2011

THIS TRANSMISSION CONSISTS OF THIS COVER SHEET AND 2 PAGE(S)

ORIGINAL DOCUMENT TO FOLLOW- *Not Yes*

If you do not receive all pages, please call Office Services at 860.509.6500



DELIVER TO	COMPANY/FIRM	FAX NUMBER	PHONE NUMBER
Gary W. Hawes	Office of the Attorney General	860-808-5347	860-808-5020
Kimberly Martone	Office of Health Care Access	860-418-7053	860-418-7029
Steven Lazarus	Office of Health Care Access	860-418-7053	860-418-7012
Rebecca Hurley	LHP Hospital Group	866-464-2421	972-943-1704

FROM Robert J. Anthony

DIRECT DIAL 860-509-6517

DIRECT FAX 860-509-6501

C/M/A # 80456.0042/0818

MESSAGE Please see attached.

IRS Circular 230 Disclosure: To ensure compliance with U.S. Treasury Regulations governing tax practice, we inform you that:

Any U.S. tax advice contained in this communication (including attachments) was not written to be used for and cannot be used for (i) purposes of avoiding any tax related penalties that may be imposed under Federal tax law or (ii) the promotion, marketing or recommending to another party of any transaction or matter addressed herein.

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202.536.1700
fax: 202.536.1701



ROBERT J. ANTHONY, ESQ.

direct dial: 860-509-6517
e-mail: rantonio@brownrudnick.com

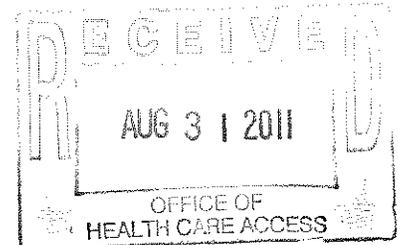
<http://www.brownrudnick.com>

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Connecticut
06103
tel 860.509.6500
fax 860.509.6501

August 31, 2011

VIA FACSIMILE AND U.S. MAIL

The Honorable George C. Jepsen
Attorney General
State of Connecticut
55 Elm Street
Hartford, CT 06141



RE: In re Saint Mary's Health System, Inc. -- Application

Dear Attorney General Jepsen:

I am in receipt of your letter dated August 26, 2011 in which you refer to Greater Waterbury Health Network's ("GWHN") recent announcement of its intent to join the proposed joint venture negotiated between Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. ("LHP"). You asked about the effect, if any, this development might have on Saint Mary's and LHP's pending application (the "Application").

It is important to understand that the two proposals contemplate separate and distinct transactions and at the current time must be evaluated independently of each other. Saint Mary's and LHP are seeking approval to form a joint venture to own and operate Saint Mary's Hospital and its related facilities. Saint Mary's and LHP have completed due diligence and have negotiated and finalized definitive agreements and they now await regulatory approval. Once the Application is approved, Saint Mary's will own 20% of the joint venture and LHP will own 80% and both will share governance over the operation of Saint Mary's Hospital, all as described in the Application. It is this joint venture, once approved, into which GWHN proposes to merge.

The Application currently under review does not involve GWHN and is not conditioned or dependent on securing an arrangement that includes GWHN. Thus, it is a separate and independent process and will not be affected by, nor does the Application need to be altered or revised (nor the fairness opinion rendered by Morgan Keegan & Company), as a result of GWHN's announcement and the signing of a non-binding letter of intent among the parties. A proposal to include GWHN in the joint venture will involve a separate application and should be evaluated based on the information contained in that application. As noted, at the current time only a non-binding letter of intent among the parties exists. Due diligence must be conducted and definitive agreements still must be negotiated and completed. In addition, complex issues involving GWHN joining the proposed joint venture need to be carefully considered and resolved, including for example, a variety of regulatory matters, labor relations/employee

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Attorney General George C. Jepsen
August 31, 2011
Page 2

matters, compliance with Catholic directives and canonical approvals, and the location and plans for a new hospital, to name a few. Resolving these issues will take time, but assuming that all of the details are worked out, an application will be filed as expeditiously as possible with your office and the Office of Health Care Access.

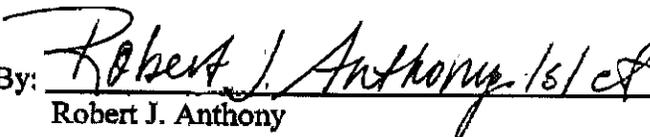
This future application will seek approval to modify the structure of the two-way joint venture between Saint Mary's and LHP as proposed in the Application as well as approval to build a new hospital (among several other requests). It will also include fairness opinions from the joint venture (and/or Saint Mary's, as appropriate) and GWHN based on an evaluation of the particular proposal in that application. If approved, ownership of the joint venture is proposed to change from 80% LHP / 20% Saint Mary's to 80% LHP / 10% Saint Mary's / 10% GWHN with shared governance, and the joint venture will own and operate a new hospital that will include Saint Mary's Hospital, Waterbury Hospital and all of their respective facilities.

I appreciate the questions raised in your letter and hope that I've helped clarify any confusion. Please contact me if you have additional questions or if I can be of any further assistance.

Thank you for your continued cooperation in processing the Application.

Very truly yours,

BROWN RUDNICK LLP

By: 
Robert J. Anthony

RJA:ct

cc: Gary W. Hawes, Assistant Attorney General, OAG
Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Rebecca Hurley, General Counsel, LHP Hospital Group

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002529

ROBERT J. ANTHONY, ESQ.

direct dial: 860-509-6517
e-mail: ranthony@brownrudnick.com<http://www.brownrudnick.com>

August 31, 2011

VIA FACSIMILE AND U.S. MAILThe Honorable George C. Jepsen
Attorney General
State of Connecticut
55 Elm Street
Hartford, CT 06141

RE: In re Saint Mary's Health System, Inc. -- Application

Dear Attorney General Jepsen:

I am in receipt of your letter dated August 26, 2011 in which you refer to Greater Waterbury Health Network's ("GWHN") recent announcement of its intent to join the proposed joint venture negotiated between Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. ("LHP"). You asked about the effect, if any, this development might have on Saint Mary's and LHP's pending application (the "Application").

It is important to understand that the two proposals contemplate separate and distinct transactions and at the current time must be evaluated independently of each other. Saint Mary's and LHP are seeking approval to form a joint venture to own and operate Saint Mary's Hospital and its related facilities. Saint Mary's and LHP have completed due diligence and have negotiated and finalized definitive agreements and they now await regulatory approval. Once the Application is approved, Saint Mary's will own 20% of the joint venture and LHP will own 80% and both will share governance over the operation of Saint Mary's Hospital, all as described in the Application. It is this joint venture, once approved, into which GWHN proposes to merge.

The Application currently under review does not involve GWHN and is not conditioned or dependent on securing an arrangement that includes GWHN. Thus, it is a separate and independent process and will not be affected by, nor does the Application need to be altered or revised (nor the fairness opinion rendered by Morgan Keegan & Company), as a result of GWHN's announcement and the signing of a non-binding letter of intent among the parties. A proposal to include GWHN in the joint venture will involve a separate application and should be evaluated based on the information contained in that application. As noted, at the current time only a non-binding letter of intent among the parties exists. Due diligence must be conducted and definitive agreements still must be negotiated and completed. In addition, complex issues involving GWHN joining the proposed joint venture need to be carefully considered and resolved, including for example, a variety of regulatory matters, labor relations/employee

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Attorney General George C. Jepsen
August 31, 2011
Page 2

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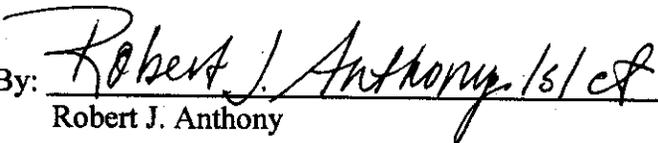
This future application will seek approval to modify the structure of the two-way joint venture between Saint Mary's and LHP as proposed in the Application as well as approval to build a new hospital (among several other requests). It will also include fairness opinions from the joint venture (and/or Saint Mary's, as appropriate) and GWHN based on an evaluation of the particular proposal in that application. If approved, ownership of the joint venture is proposed to change from 80% LHP / 20% Saint Mary's to 80% LHP / 10% Saint Mary's / 10% GWHN with shared governance, and the joint venture will own and operate a new hospital that will include Saint Mary's Hospital, Waterbury Hospital and all of their respective facilities.

I appreciate the questions raised in your letter and hope that I've helped clarify any confusion. Please contact me if you have additional questions or if I can be of any further assistance.

Thank you for your continued cooperation in processing the Application.

Very truly yours,

BROWN RUDNICK LLP

By: 
Robert J. Anthony

RJA:ct

cc: Gary W. Hawes, Assistant Attorney General, OAG
Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Rebecca Hurley, General Counsel, LHP Hospital Group

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GEORGE C. JEPSEN
ATTORNEY GENERAL



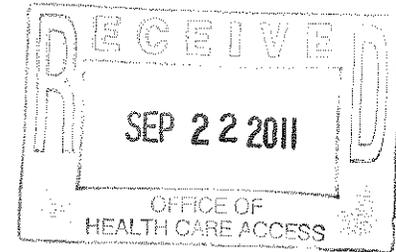
55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120
T: (860) 808-5020
F: (860) 808-5347

Office of The Attorney General
State of Connecticut

September 20, 2011

VIA U.S. and ELECTRONIC MAIL

Robert J. Anthony, Esq.
Brown Rudnick
City Place I
185 Asylum Street
Hartford, Connecticut 06103



Re: In re Saint Mary's Health System, Inc. – Application Deficiency

Dear Attorney Anthony:

As you know, our office and the Connecticut Office of Health Care Access are currently evaluating the Application for Joint Venture (the "Application") initially submitted on July 21, 2011, by Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. (together with its affiliates and subsidiaries, "LHP"). We write to follow up on our September 7, 2011, letter memorializing our agreement that the Application as presently drafted is incomplete in light of the recently-publicized proposed three-party joint venture involving Saint Mary's, Waterbury Hospital, and LHP.

In order to provide the State the requisite information to review the transaction as required under Conn. Gen. Stat. § 19a-486 et seq., we request that you supplement the Application in the following respects.

1. Please state whether and, if so how, Saint Mary's considered the impact of Waterbury Hospital's potential inclusion in the joint venture on the value received from partnering with LHP. For example, has Saint Mary's considered whether 20% ownership, certain capital commitments by LHP and equal governance in a two-party joint venture with LHP have equal or greater value to Saint Mary's than 10% ownership, different capital commitments by LHP and less than equal governance of a three-party venture with LHP and Waterbury Hospital? Please provide information and documentation reflecting such consideration.
2. Under the current proposal, Saint Mary's will have the option to increase its ownership from 20% to 40%. Will Saint Mary's continue to have the option to increase its ownership interest in the LLC in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?

3. The LLC's board consists of ten members: five elected by Saint Mary's (the "SMH Directors") and five elected by LHP sub (the "LHP directors"), as long as Saint Mary's maintains a 20% interest in the joint venture. (App. at 59.) How will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital affect the membership of the LLC's Board of Directors?
4. Please provide an updated Fairness Evaluation reflecting consideration of the impact on the value received by Saint Mary's in the two-party transaction if the joint venture is expanded to include Waterbury Hospital.
5. Please provide information and documents demonstrating that Saint Mary's has evaluated LHP's capacity to fund capital improvements and meet other obligations under a three-party transaction.
6. Provide a copy of LHP Hospital Group, Inc.'s audited financial statements (if available) for the most recent and previous two completed fiscal years.
7. What impact will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital have on LHP's financial projections provided with respect to the current application (pages 272-274)?
8. According to page 31 of the application, at the time of closing, Saint Mary's will retain approximately \$23.7 M in cash and receive a distribution from the LLC of \$ 108.0 M to be used to pay off existing debt, fund the pension's liabilities and establish the Community Foundation. Will the cash proceeds distribution from the LLC to Saint Mary's change as the result of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?
9. In light of the representations that LHP and Saint Mary's will eventually partner with Waterbury Hospital and that a new hospital will be built, please address the following:
 - a) Will there be changes in clinical services offered by Saint Mary's that were not previously contemplated?
 - b) How and when will the duplication of services be addressed between the two hospitals?
 - c) How and when will the services between the two hospitals be integrated and what services will be located at which campus?
10. Saint Mary's indicates on page 69 of the Application that it is implementing a \$12 million electronic medical records system. In light of the proposed joint venture

among LHP, Saint Mary's, and Waterbury Hospital, will Saint Mary's be able to proceed with the development of the EMR system or will this project be delayed pending approval of the joint venture between LHP, Saint Mary's, and Waterbury Hospital as well as the proposed construction of a new hospital?

11. Changes to reimbursement contracts are not anticipated as a result of current proposal and the joint venture will assume all of the existing payer contracts. How will these contracts be affected by the proposed joint venture between LHP, Saint Mary's, and Waterbury?
12. Please re-affirm the LLC's commitment to continue providing health care services to the uninsured and under insured in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital.
13. Please provide a summary of LHP's strategic plan with respect to the proposed joint ventures with SMH and Waterbury Hospital and the establishment of a new hospital in Waterbury, Connecticut.

Please mail one (1) complete hard copy and one (1) complete electronic copy of the requested materials for approval to the following address:

Office of the Attorney General
55 Elm Street, P.O. Box 120
Hartford, Connecticut 06141-0120
Attn: Gary W. Hawes, AAG

Also, please mail one (1) complete hard copy and one (1) complete electronic copy of the requested materials for approval to the following address:

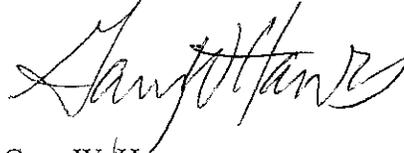
Commissioner of Public Health
410 Capitol Avenue
Hartford, Connecticut 06134
Attn: Melanie A. Dillon

After receipt of these requested materials, the Commissioner and the Attorney General shall review the submission to determine whether the Application is complete. If not, the Commissioner and the Attorney General shall provide written notice of any deficiencies within twenty (20) days of receipt of the requested material.

Should you have any questions regarding these requests or any other issues relating to the Commissioner's and Attorney General's review, please do not hesitate to contact either Steven Lazarus at the Department of Public Health (860-418-7012; Steven.Lazarus@ct.gov) or me at the Office of the Attorney General (860-808-5020; gary.hawes@ct.gov).

September 20, 2011
Page 4

Very truly yours,

A handwritten signature in black ink, appearing to read "Gary W. Hawes". The signature is fluid and cursive, with the first name "Gary" being the most prominent.

Gary W. Hawes
Assistant Attorney General

cc: Commissioner Dr. Jewel Mullin, DPH
Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Gary W. Hawes, Assistant Attorney General, OAG

Greer, Leslie

From: Lazarus, Steven
Sent: Tuesday, October 11, 2011 11:54 AM
To: Greer, Leslie
Cc: Armah, Olga; Carney, Brian; Ciesones, Ron; Cotto, Carmen; Dillon, Melanie; Martone, Kim
Subject: FW: Saint Mary's/Affiliation-Responses to Completeness Questions (Set Two)
Attachments: DOCS2H-#40287197-v1-Saint_Mary's_Affiliation-Responses_to_Completeness_Questions_(Set_Two).PDF

Leslie,

Please add this email to the original file.

Thank you,
Steven

Steven W. Lazarus
Associate Health Care Analyst
Connecticut Department of Public Health
Division of Office of Health Care Access
410 Capitol Avenue, MS 13HCA
Hartford, Connecticut 06134
Phone: (860) 418-7012 (Direct)
Fax: (860) 418-7053 (Main)

From: Teitelbaum, Claudia S. [mailto:CTeitelbaum@brownrudnick.com]
Sent: Tuesday, October 11, 2011 11:50 AM
To: Lazarus, Steven
Cc: Mercier, Carlene M.
Subject: Saint Mary's/Affiliation-Responses to Completeness Questions (Set Two)

At Bob Anthony's request, attached is the Responses to Completeness Questions (Set Two) which is being filed today.

Please do not hesitate to contact me should you have any difficulty opening this attachment.

Claudia Teitelbaum
Assistant to Robert J. Anthony



Claudia S. Teitelbaum
Legal Executive Assistant

Brown Rudnick LLP
CityPlace I, 185 Asylum Street
Hartford, CT 06103
T: 860.509.6534
F: 860.509.6634
cteitelbaum@brownrudnick.com
www.brownrudnick.com

IRS Circular 230 Disclosure: To ensure compliance with U.S. Treasury Regulations governing tax practice, we inform you that:

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ROBERT J. ANTHONY
Counselor at Law
direct dial: 860.509.6517
fax: 860.509.6501
ranthony@brownrudnick.com

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Hartford
Connecticut
06103
tel 860.509.6500
fax 860.509.6501

October 11, 2011

VIA HAND DELIVERY

Office of the Attorney General
Attn.: Assistant Attorney General Gary W. Hawes
55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Commissioner of Public Health
Attn.: Melanie A. Dillon, Esq.
410 Capitol Avenue
Hartford, CT 06134

**RE: Saint Mary's Health System, Inc. / LHP Hospital Group, Inc.'s
Completeness Responses Set Two**

Dear Attorneys Hawes and Dillon:

As requested, Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. hereby submit the attached responses and additional exhibit regarding their Application for a proposed joint venture. One hard copy and one electronic copy have been hand delivered to each office.

Please date/time stamp a copy of the Responses and return it with our messenger.

If you have any questions or need anything further, please contact me at 860.509.6517. Thank you for your assistance in this matter.

Very truly yours,

BROWN RUDNICK LLP



Robert J. Anthony

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STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH

IN RE: SAINT MARY'S HEALTH SYSTEM, INC. :
AND LHP HOSPITAL GROUP, INC.'S :
APPLICATION FOR APPROVAL OF A JOINT :
VENTURE. : October 11, 2011

RESPONSES TO COMPLETENESS QUESTIONS

SET TWO

Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. ("LHP") (collectively, the "Applicants") hereby respectfully submit to the Attorney General and the Commissioner of the Department of Public Health the following responses and additional exhibits regarding their application for a proposed joint venture (the "Application" or "CON").

- 1. Please state whether and, if so how, Saint Mary's considered the impact of Waterbury Hospital's potential inclusion in the joint venture on the value received from partnering with LHP. For example, has Saint Mary's considered whether 20% ownership, certain capital commitments by LHP and equal governance in a two-party joint venture with LHP have equal or greater value to Saint Mary's than 10% ownership, different capital commitments by LHP and less than equal governance of a three-party venture with LHP and Waterbury Hospital? Please provide information and documentation reflecting such consideration.**

As was recently publicized, Saint Mary's, Waterbury Hospital ("WH") and LHP have been discussing the formation of a three-party joint venture (the "three-party JV"), which would include the addition of WH as a member of the proposed joint venture limited liability company (the "LLC") between Saint Mary's and LHP. At the present time, only a non-binding Letter of Intent (the "LOI"), which outlines general principles of the three-party JV, exists between WH and the to-be-formed LLC.

Under the terms of the LOI, WH would contribute certain assets to the three-party JV and LHP would contribute cash and a commitment to fund the construction of a new facility for the three-party JV. In exchange for the contribution of its assets, WH would receive 10% of the equity of the three-party JV. LHP would maintain its 80% equity interest by contributing an additional \$108 million, which would be used in connection with the construction of a replacement hospital expected to cost approximately \$400 million. As Saint Mary's is not contributing additional assets or cash, its equity interest will be reduced to 10% in the three-party JV.

Although Saint Mary's equity ownership in the three-party JV will be 10%, it is believed that the three-party JV will create significant clinical growth opportunities as well as clinical, operational and financial synergies. Therefore, it is expected that Saint Mary's 10% interest will be of equal or greater value than its 20% interest in the LLC.

- 2. Under the current proposal, Saint Mary's will have the option to increase its ownership from 20% to 40%. Will Saint Mary's continue to have the option to increase its ownership interest in the LLC in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?**

This is one of several issues that will necessarily have to be renegotiated in order to accommodate the entry of WH into the three-party JV. It is expected that Saint Mary's will continue to have the option to increase its ownership interest in the LLC and that WH will be granted a similar option.

- 3. The LLC's board consists of ten members: five elected by Saint Mary's (the "SMH Directors") and five elected by LHP sub (the "LHP directors"), as long as Saint Mary's maintains a 20% interest in the joint venture. (App. at 59.) How will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital affect the membership of the LLC's Board of Directors?**

Under the LOI for the proposed three-party JV between LHP, Saint Mary's and WH, there will be two categories of Directors on the three-party JV Board of Directors, with each category of Directors having six members. LHP will appoint the members of one category of Directors (the "LHP Directors"), with one LHP Director selected from the active medical staff of each of WH and Saint Mary's. Saint Mary's and WH each will appoint three members of the second category of Directors (the "Saint Mary's/Waterbury Directors"). Actions requiring the approval of the three-party JV Board of Directors will be accomplished through "block voting" – i.e., such actions will require approval of both a majority of the LHP Directors and a majority of the Saint Mary's/Waterbury Directors.

4. Please provide an updated Fairness Evaluation reflecting consideration of the impact on the value received by Saint Mary's in the two-party transaction if the joint venture is expanded to include Waterbury Hospital.

An updated Fairness Opinion is not yet available because many fundamental terms of the three-party JV, including the final capital structure, operating plan, financial projections, and the total cost of the new facility are incomplete. However, as stated above in the response to question 1, it is believed that the three-party JV will produce significant clinical growth opportunities as well as clinical, operational and financial synergies. The principals of Hammond Hanlon Camp, LLC ("H2C"), who were formerly with Morgan Keegan & Company, Inc., have been advising Saint Mary's since the inception of the discussions with LHP and will continue to be involved in the analysis and development of the business plan and projections for the three-party JV. Once confirmatory due diligence, as well as the business plan, projections and construction costs, are completed, H2C will be prepared to issue an updated Fairness Opinion.

5. Please provide information and documents demonstrating that Saint Mary's has evaluated LHP's capacity to fund capital improvements and meet other obligations under a three-party transaction.

LHP has provided H2C, Saint Mary's financial advisors, documentation evidencing line of credit commitments from LHP's bank group. H2C has also reviewed documents regarding commitments from LHP's equity sponsors, as well as LHP's financial commitments to other joint ventures. H2C and Saint Mary's are comfortable that LHP has the capacity to fund its obligations to the three-party JV.

6. Provide a copy of LHP Hospital Group, Inc.'s audited financial statements (if available) for the most recent and previous two completed fiscal years.

The Consolidated Financial Statements for LHP for the years ended 2008, 2009 and 2010 are attached as **Exhibit 30**.

7. What impact will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital have on LHP's financial projections provided with respect to the current application (pages 272-274)?

The parties have not yet prepared financial projections for the three-party JV that correspond to the financial projections for the LLC that were submitted with the Application on pages 272-274; however, the parties expect that the addition of WH to the LLC will have a materially positive impact upon such projections.

8. **According to page 31 of the application, at the time of closing, Saint Mary's will retain approximately \$23.7 M in cash and receive a distribution from the LLC of \$ 108.0 M to be used to pay off existing debt, fund the pension's liabilities and establish the Community Foundation. Will the cash proceeds distribution from the LLC to Saint Mary's change as the result of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?**

No, the cash proceeds distribution from the LLC to Saint Mary's are not expected to change as a result of the proposed three-party JV between LHP, Saint Mary's and WH.

9. **In light of the representations that LHP and Saint Mary's will eventually partner with Waterbury Hospital and that a new hospital will be built, please address the following:**

- a. **Will there be changes in clinical services offered by Saint Mary's that were not previously contemplated'?**

Yes; please see the response to question 9.b below.

- b. **How and when will the duplication of services be addressed between the two hospitals?**

Dealing with duplication of services between the two hospitals will require a planning process that will evolve over the coming months and more work remains to be done before such plans are finalized. At this point it is anticipated that the duplication of services between Saint Mary's and WH will be addressed in three distinct phases, as follows:

Phase 1 – Services that can be consolidated onto a single campus with little or no expansion of capacity necessary on the part of the host facility. These could include services such as neonatal intensive care and open heart surgery. Sufficient capacity currently exists on either campus to consolidate the volumes of both. The consolidations in Phase 1 would begin occurring immediately following the closing of the three-party JV transaction and should be complete within the 6 – 8 months thereafter.

Phase 2 - Services that can be consolidated onto a single campus with minimal expense and minor reconfiguration of existing space. These could include services such as women's services, orthopedics, cardiac rehab, cardiovascular services, etc. These consolidations would likely begin approximately 6 months following the closing of the transaction and should be complete approximately 18 months post-closing.

Phase 3 – Total facility consolidation would occur upon the completion of construction and commissioning of the new facility.

- c. **How and when will the services between the two hospitals be integrated and what services will be located at which campus'?**

Please see the response to question 9.b above.

- 10. Saint Mary's indicates on page 69 of the Application that it is implementing a \$12 million electronic medical records system. In light of the proposed joint venture among LHP, Saint Mary's, and Waterbury Hospital, will Saint Mary's be able to proceed with the development of the EMR system or will this project be delayed pending approval of the joint venture between LHP, Saint Mary's, and Waterbury Hospital as well as the proposed construction of a new hospital?**

The formation of the three-party JV will not affect Saint Mary's plan to proceed with the development and implementation of the EMR system. Saint Mary's, in cooperation with LHP, is currently completing contract negotiations with all vendors for required services to implement the EMR plan. Saint Mary's projects to be compliant with Stage 1 of the ARRA Hi-tech stimulus rules no later than December 31, 2012. Long-term plans for the Saint Mary's EMR include all steps necessary to be fully compliant with all stages of the ARRA Hi-tech stimulus requirements within the current timelines as required in order to maintain optimal Medicare reimbursement under the guidelines.

- 11. Changes to reimbursement contracts are not anticipated as a result of current proposal and the joint venture will assume all of the existing payer contracts. How will these contracts be affected by the proposed joint venture between LHP, Saint Mary's, and Waterbury?**

It is anticipated that all existing contracts at both facilities would be assumed by the three-party JV. Those contracts would continue in place until the two provider numbers are combined into a single provider number, the timing for which will depend upon the pace of the Phase 1 and 2 consolidations and the level of coordination that can be achieved between the two campuses.

- 12. Please re-affirm the LLC's commitment to continue providing health care services to the uninsured and under insured in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital.**

Under the LOI for the proposed three-party JV between LHP, Saint Mary's and WH, the communities served by Saint Mary's and WH will have continued access to all services that are presently provided. In addition, the three-party JV will commit to operate in accordance with the "community benefit standards" set forth in Revenue Ruling 69-545, including, without limitation, the (i) acceptance of all Medicare and Medicaid patients, (ii) acceptance of all emergency patients without regard to ability to pay, (iii) maintenance of an open medical staff, (iv) provision of public health programs of educational benefit to the community, and (v) general promotion of public health, wellness, and welfare to the community through the provision of health care at a reasonable cost.

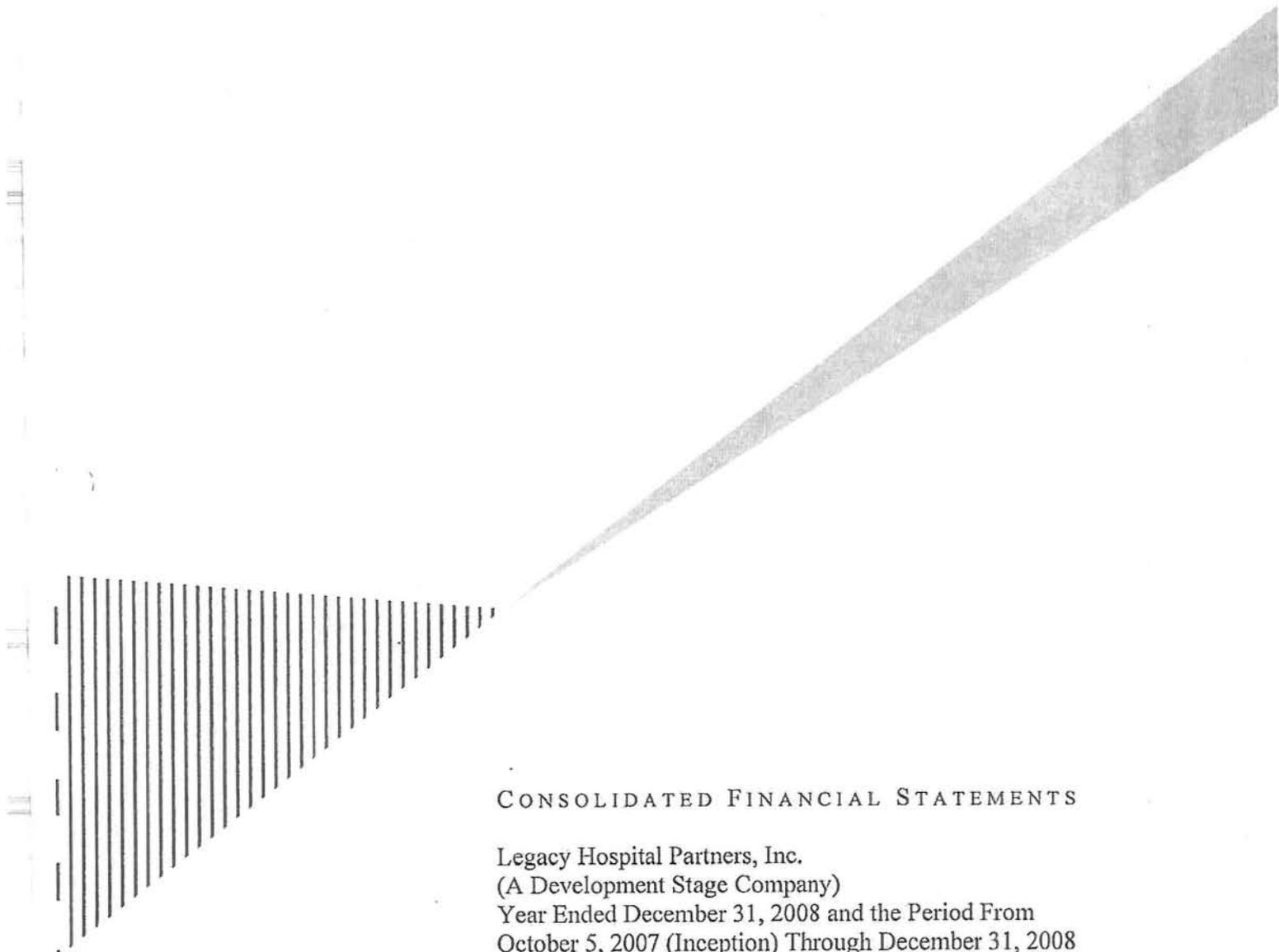
- 13. Please provide a summary of LHP's strategic plan with respect to the proposed joint ventures with SMH and Waterbury Hospital and the establishment of a new hospital in Waterbury, Connecticut.**

The strategic plan to be implemented by the three-party JV at Saint Mary's, WH and the new replacement hospital will be developed and approved by the Board of Directors of the three-party JV. That Board of

Directors has not yet been formed. As noted above in the response to question 3, LHP will appoint only 6 of 12 members of the three-party JV Board of Directors and the remaining members will be appointed by Saint Mary's and WH. At such time as the three-party JV Board of Directors is seated, the respective members thereof will begin a collaborative strategic planning process regarding these issues.

EXHIBIT 30

LHP's Consolidated Financial Statements 2008, 2009, 2010



CONSOLIDATED FINANCIAL STATEMENTS

Legacy Hospital Partners, Inc.
(A Development Stage Company)
Year Ended December 31, 2008 and the Period From
October 5, 2007 (Inception) Through December 31, 2008

Ernst & Young LLP

 **ERNST & YOUNG**

002538

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Financial Statements

Year Ended December 31, 2008 and the Period
From October 5, 2007 (Inception) Through December 31, 2008

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Notes to Consolidated Financial Statements.....	6



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Report of Independent Auditors

The Board of Directors
Legacy Hospital Partners, Inc.

We have audited the accompanying consolidated balance sheet of Legacy Hospital Partners, Inc. (a development stage company) as of December 31, 2008, and the related consolidated statements of operations and cash flows for the year ended December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2008, and the related consolidated statements of stockholder's deficit for the year ended December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2007. These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Legacy Hospital Partners, Inc. (a development stage company) at December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2008, and the results of its operations and its cash flows for the periods then ended in conformity with U.S. generally accepted accounting policies.

As described in Note 3 to the financial statements, the Company's recurring losses from operations and negative operating cash flows and stockholder's deficit raise substantial doubt about its ability to continue as a going concern. Management's plans as to these matters are also described in Note 3. The 2008 financial statements do not include any adjustments that might result from the outcome of this uncertainty.

Ernst & Young LLP

July 16, 2009

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Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Balance Sheet

December 31, 2008

Assets

Current assets:

Cash	\$ 1,172,125
Prepays and other	374,907
Total current assets	<u>1,547,032</u>

Property and equipment, at cost:

Buildings and improvements	117,075
Furniture and equipment	290,311
	<u>407,386</u>
Accumulated depreciation	(87,989)
Property and equipment, net	<u>319,397</u>
Total assets	<u>\$ 1,866,429</u>

Liabilities and stockholder's deficit

Current liabilities:

Accounts payable	\$ 111,075
Accrued salaries, wages and benefits	1,438,379
Other accrued expenses	960,922
Due to related party	54,930
Total current liabilities	<u>2,565,306</u>

Deferred rent

	<u>31,680</u>
Total liabilities	2,596,986

Commitments and contingencies

Stockholder's deficit

Preferred stock, \$0.01 par value, 2,000 shares authorized, 17.4 shares issued and outstanding	-
Common stock, \$0.01 par value, 1,000 shares authorized; no shares issued and outstanding	-
Additional paid-in capital	8,700,000
Deficit accumulated during the development stage	<u>(9,430,557)</u>
Total stockholder's deficit	<u>(730,557)</u>
Total liabilities and stockholder's deficit	<u>\$ 1,866,429</u>

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Operations

	Year Ended December 31, 2008	Period from October 5, 2007 (Inception) Through December 31, 2008
Expenses:		
Salaries, wages and employee benefits	\$ 3,948,082	\$ 3,949,974
Supplies	107,383	109,525
Professional fees	988,493	2,037,357
Contract services	707,628	713,629
Repairs and maintenance	401,447	408,310
Rents and leases	925,343	972,176
Other operating expenses	1,058,289	1,202,688
Depreciation expense	87,989	87,989
Loss before other income	(8,224,654)	(9,481,648)
Other income:		
Interest income	50,091	50,091
Other	1,000	1,000
Net loss	\$ (8,173,563)	\$ (9,430,557)

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Stockholder's Deficit

	Shares	Par Value	Additional Paid-In Capital	Deficit Accumulated During the Development Stage	Total
October 5, 2007 (inception)	-	\$ -	\$ -	\$ -	-
Preferred stock issued	0.2	-	100,000	-	100,000
Intercompany receivable	-	-	(100,000)	-	(100,000)
Net loss	-	-	-	(1,256,994)	(1,256,994)
Balance at December 31, 2007	0.2	-	-	(1,256,994)	(1,256,994)
Preferred stock issued	17.2	0.17	8,700,000	-	8,700,000
Net loss	-	-	-	(8,173,563)	(8,173,563)
Balance at December 31, 2008	17.4	\$ 0.17	\$ 8,700,000	\$ (9,430,557)	\$ (730,557)

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Cash Flows

	Year Ended December 31 2008	Period From October 5, 2007 (Inception) Through December 31, 2008
Cash flows from operating activities		
Net loss	\$ (8,173,563)	\$ (9,430,557)
Adjustments to reconcile net loss to net cash used in operating activities:		
Depreciation expense	87,989	87,989
Increase (decrease) in cash from operating assets and liabilities:		
Prepays and other	(272,025)	(374,907)
Accounts payable, accrued expenses and other	1,495,185	2,565,306
Deferred rent	31,680	31,680
Net cash used in operating activities	(6,830,734)	(7,120,489)
Cash flows from investing activity		
Purchases of property and equipment	(322,076)	(407,386)
Net cash used in investing activity	(322,076)	(407,386)
Cash flows from financing activities		
Payments of start-up funding loan from Holdings	(551,128)	(551,128)
Proceeds from start-up funding loan from Holdings	-	551,128
Issuance of Preferred Stock	8,700,000	8,700,000
Net cash provided by financing activities	8,148,872	8,700,000
Change in cash	996,062	1,172,125
Cash at beginning of period	176,063	-
Cash at end of period	\$ 1,172,125	\$ 1,172,125

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements

NOTE 1-BUSINESS OVERVIEW

Reporting Entity

Legacy Hospital Partners, Inc. ("LHP") is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly-owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. LHP is currently a development stage company for financial reporting purposes as it has not begun to generate revenue from its primary business purpose. The terms "we", "our", "the Company", "us" and "LHP" refer to the business of Legacy Hospital Partners, Inc., and our wholly owned subsidiaries, LHP Management Services, LLC and LHP Pocatello, LLC and subsidiaries.

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The Company is considered a development stage company as defined by Statement of Financial Accounting Standards ("SFAS") No. 7 "Accounting and Reporting by Development Stage Enterprises," as amended, as we have no principal operations or revenues from any source. Operations from the inception of the development stage have been devoted primarily to strategic planning and the formation of joint ventures for acquiring acute care facilities.

Principals of Consolidation

The consolidated financial statements include the accounts of LHP and all its wholly-owned subsidiaries. All material intercompany transactions have been eliminated.

Use of Estimates

The preparation of financial statements, in conformity with U.S. generally accepted accounting principles, requires us to make estimates and assumptions that affect the amounts reported in the consolidated financial statements and these accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for fair presentation have been included, actual results may vary from those estimates.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Property and Equipment

Property and equipment are recorded at cost. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend the useful lives of the assets are capitalized.

Depreciation expense is calculated using the straight-line method and was \$87,989 for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008. Building improvements are depreciated over a useful life of 3 years. Equipment is depreciated over useful lives ranging from 3 to 10 years.

Repairs and maintenance

LHP records fuel as repairs and maintenance. The cost of fuel is separate from our related party lease with JJS Aviation, LLC (see note 6). LHP recorded \$250,672 and \$253,985 in fuel expense for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008, respectively.

Impairment of Long-Lived Assets

LHP evaluates the carrying value of its property and equipment under the provisions of Statement of Financial Accounting Standards No.144, "Accounting for the Impairment or Disposal of Long-Lived Assets," as amended ("SFAS 144"). Under SFAS 144, when events, circumstances or operating results indicate the carrying value of certain long-lived assets to be held and used might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008.

Recent Accounting Pronouncements

In December 2007, the Financial Accounting Standards Board ("FASB") issued Statement of Financial Accounting Standards No. 141(R) "Business Combinations," ("SFAS 141R"). SFAS 141R established principles and requirements for recognizing and measuring identifiable assets and goodwill

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

acquired, liabilities assumed and any noncontrolling interest in an acquisition at their fair values as of the acquisition date, as well as requiring the expensing of acquisition-related costs as incurred. Furthermore, SFAS 141R determines what information to disclose to enable users of the financial statements to evaluate the nature and financial effects of the business combination. SFAS 141R is effective January 1, 2009. The impact on the Company of adopting SFAS No. 141(R) will depend on the nature, terms and size of business combinations completed after the effective date.

In December 2007, FASB issued Statement of Financial Accounting Standards No. 160 "*Noncontrolling Interests in Consolidated Financial Statements-an amendment of ARB No. 51,*" ("SFAS 160"). SFAS 160 amends Accounting Research Bulletin 51 to establish accounting and reporting standards for the noncontrolling interest in a subsidiary and for the deconsolidation of a subsidiary. SFAS 160 clarifies that a noncontrolling interest in the consolidated entity should be reported as equity in the consolidated financial statements. SFAS 160 also requires consolidated net income to be reported at amounts that include the amounts attributable to both the parent and the noncontrolling interest. SFAS 160 establishes that a change in a parent's ownership interest in a subsidiary that does not result in deconsolidation is an equity transaction. A gain or loss in net income is recognized for changes that result in deconsolidation. SFAS 160 is effective January 1, 2009. The adoption of SFAS No. 160 is not expected to have a material effect on the Company's results of operations, cash flows or financial position.

In September 2006, the FASB issued Statement of Financial Accounting Standards No. 157, "*Fair Value Measurements,*" ("SFAS 157"). SFAS 157 clarifies the definition of fair value, establishes a framework for measuring fair value in accordance with accounting principles generally accepted in the United States, and expands disclosures about fair value measurements. For financial assets and liabilities, SFAS 157 was effective for fiscal years beginning after November 15, 2007 and for nonfinancial assets and liabilities, except for items that are recognized or disclosed at fair value in the financial statements on a recurring basis, SFAS 157 is effective for fiscal years beginning after November 15, 2008. The adoption of SFAS 157 did not have a material impact on the Company's results of operations or financial condition.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 3-LIQUIDITY

LHP has incurred losses inception-to-date of (\$9,430,557) through December 31, 2008 and has been dependent on funding operations through issuance of additional equity. Management's plans are to finance future operations through cash flow from operations, additional capital contributions from Holdings, and various forms of debt financing. However, no assurance can be given at this time as to whether LHP will be able to achieve this objective. As with any new company, it is uncertain as to when LHP will begin to generate income. Furthermore, the financial statements do not include any adjustments to reflect the possible future effects on the recoverability and classification of assets or the amounts and classifications of liabilities that may result from the outcome of this uncertainty.

From January 1, 2009 through May 31, 2009, Holdings contributed additional capital contributions totaling \$85.9 million, which was used to fund on-going operations and the acquisition of a 77% interest in a hospital and its related operations (see Note 9).

NOTE 4-STOCKHOLDER'S EQUITY

LHP, a wholly owned subsidiary of Legacy Hospital Partners (Holdings) has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2008, LHP has 2,000 shares of preferred stock authorized with 17.4 shares issued and outstanding.

Common stock

As of December 31, 2008, LHP has 1,000 shares of common stock authorized with no shares issued or outstanding.

NOTE 5-RETIREMENT PLAN

LHP has a contributory benefit plan which is available to employees who meet certain minimum requirements. The plan requires LHP to match 100% of a participant's contribution up to the first 3% of the participant's compensation and an additional 50% of the participant's contributions up to the next 6% of the participant's compensation. LHP recorded contribution expense of \$79,561 for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 6-TRANSACTIONS WITH RELATED PARTIES

LHP has entered into certain contracts with JJS Aviation, LLC and JJS Hangar, LLC, each of which is owned indirectly by the Chairman of the Board of Directors of LHP. The contracts are leases for aircraft hours (excluding fuel charges) and normal scheduled maintenance, and the aircraft's hanger space. The base rental rate is for a minimum of 200 hours per year with an additional per hour charge for flight hours in excess of 200 hours. LHP recorded combined expense related to the aircraft rental and the hangar space of \$742,996 and \$789,829 for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008, respectively. LHP also recorded \$133,994 in maintenance expense for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008. At December 31, 2008, the Company has recorded a payable to JJS Aviation, LLC totaling \$54,930 for aviation hours in excess of our lease and routine maintenance.

NOTE 7-INCOME TAXES

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of events that have been included in the financial statements. Deferred tax assets and liabilities are determined by the differences between the financial statements and the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax asset will not be realized.

At December 31, 2008, the sources of the deferred tax asset are as follows:

Accrued incentive plan	\$ 322,382
Deferred compensation	65,130
Federal NOL	2,891,483
Depreciation	13,292
Total deferred tax asset	<u>3,292,287</u>
Valuation allowance	<u>(3,292,287)</u>
Net deferred tax asset	<u>\$ —</u>

At December 31, 2008, LHP has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax asset will not be realized.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 7-INCOME TAXES (continued)

The differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the loss before income taxes are as follows:

	Year Ended December 31, 2008	Period from October 5, 2007 (Inception) Through December 31, 2008
Benefit computed at federal statutory rate	\$ (2,860,747)	\$ (3,300,695)
Permanent differences	8,150	8,398
Increase in valuation reserve	3,292,287	3,292,297
Other	(439,690)	-
Income tax benefit	<u>\$ -</u>	<u>\$ -</u>

At December 31, 2008, LHP has approximately \$8.1 million of federal net operating loss carryforwards which will begin to expire in 2028. LHP, at December 31, 2008, does not have any state net operating loss.

NOTE 8-OFFICE LEASE

LHP has a 38-month operating lease for the use of office space. The lease contains a rent escalation clause and a rent holiday. Payments are recognized as rent expense on a straight-line basis over the term of the lease. The difference between the rent expense recognized for financial reporting purposes and the actual payments made in accordance with the lease is recognized as deferred rent. Lease expense was \$176,668 for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008. Future minimum lease commitments under this operating lease for the fiscal years ending 2009, 2010 and 2011 are \$189,104, \$193,369 and \$32,347, respectively.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

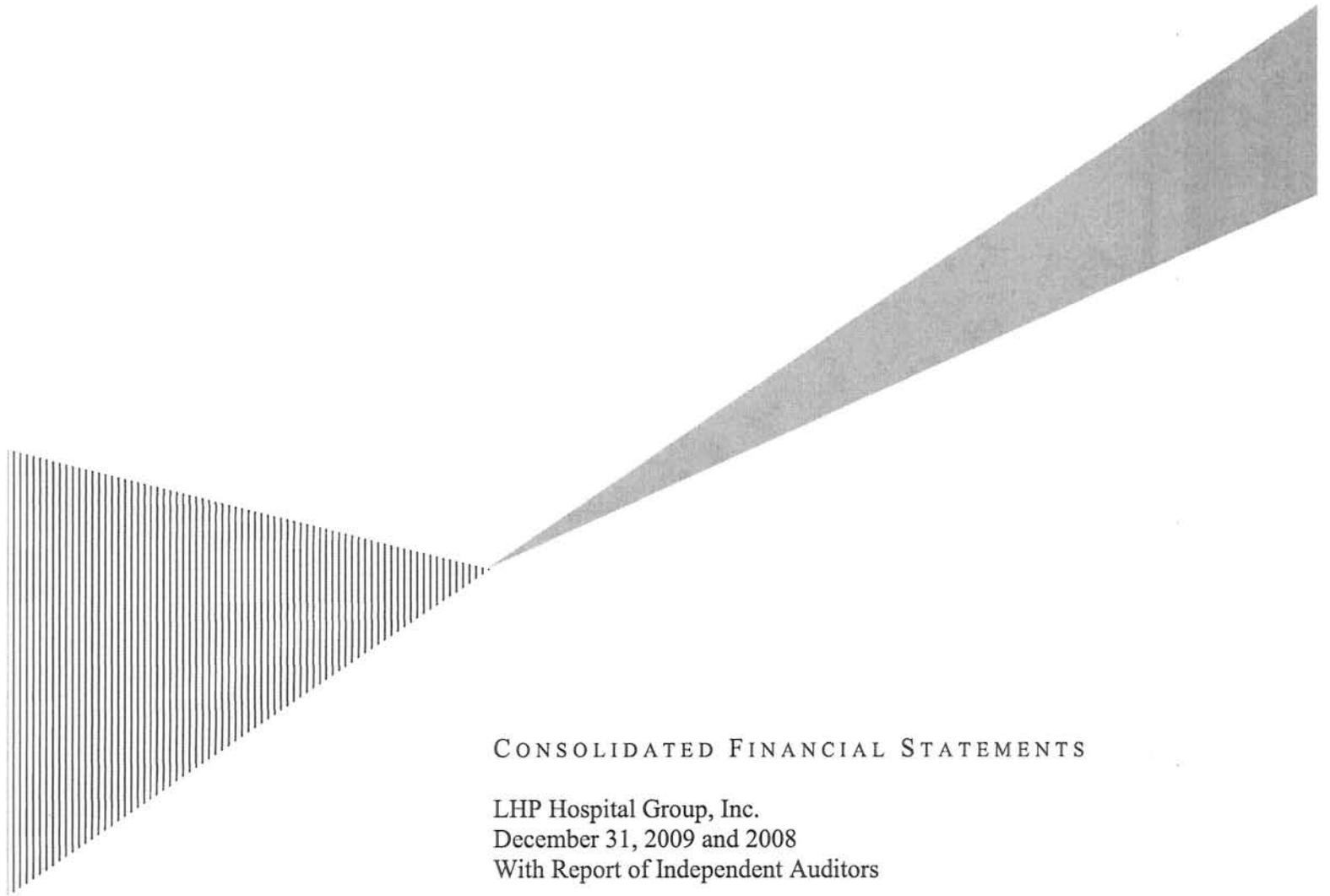
NOTE 9-SUBSEQUENT EVENTS

Effective February 1, 2009, LHP closed under a definitive agreement to form a joint venture to acquire, own and operate a hospital in Pocatello, Idaho and to develop a replacement hospital. LHP, through a wholly-owned subsidiary, contributed approximately \$45 million and a promissory note for approximately \$141 million to the joint venture in exchange for a 77% interest in the joint venture. Bannock County, Idaho (the "County"), the owner of the hospital, contributed the hospital's current operations, including real estate and equipment, to the joint venture in exchange for a 23% interest in the joint venture. The County then transferred its interest in the joint venture to a local foundation. As part of the transaction, Holdings also made payments of approximately \$15.0 million to the County to cover certain costs and fund certain community health programs.

In March 2009, LHP entered into an agreement with McKesson Corporation to purchase clinical and hospital financial software and hardware with an initial cost of \$4.6 million. The conversion of the current information systems is anticipated to be complete during the first quarter of 2010.

In May 2009, LHP entered into an agreement with a third party to outsource its current information technology services for a ten-year period and to deploy system-wide clinical, electronic medical record and financial applications. The expected total contract value is approximately \$31.3 million.

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CONSOLIDATED FINANCIAL STATEMENTS

LHP Hospital Group, Inc.
December 31, 2009 and 2008
With Report of Independent Auditors

Ernst & Young LLP

LHP Hospital Group, Inc.

Consolidated Financial Statements

Years Ended December 31, 2009 and 2008

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Report of Independent Auditors

The Board of Directors
LHP Hospital Group, Inc.

We have audited the accompanying consolidated balance sheets of LHP Hospital Group, Inc. (formerly Legacy Hospital Partners, Inc.) as of December 31, 2009 and 2008, and the related consolidated statements of operations, stockholder's equity, and cash flows for the years then ended. These consolidated financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of LHP Hospital Group, Inc. at December 31, 2009 and 2008, and the consolidated results of its operations, stockholder's equity, and cash flows for the years then ended, in conformity with U.S. generally accepted accounting principles.

Ernst & Young LLP

April 30, 2010

LHP Hospital Group, Inc.
Consolidated Balance Sheets

	December 31	
	2009	2008
Assets		
Current assets:		
Cash	\$ 17,526,287	\$ 1,172,125
Restricted cash	435,000	—
Accounts receivable, less allowance for doubtful accounts of \$11,440,066 and \$0	25,597,713	—
Inventories	5,300,520	—
Other receivables	2,284,158	—
Other	2,040,729	374,907
Total current assets	<u>53,184,407</u>	<u>1,547,032</u>
Property and equipment, at cost:		
Land	13,085,000	—
Building and improvements	43,061,403	117,075
Furniture and equipment	24,468,929	290,311
Construction in progress	38,463,723	—
	<u>119,079,055</u>	<u>407,386</u>
Accumulated depreciation and amortization	<u>(11,193,801)</u>	<u>(87,989)</u>
Property and equipment, net	107,885,254	319,397
Other assets:		
Investments in and advances to affiliate	194,611	—
Goodwill	14,331,719	—
Intangibles, net	474,667	—
Notes receivable	2,574,146	—
Total assets	<u>\$ 178,644,804</u>	<u>\$ 1,866,429</u>

	December 31	
	2009	2008
Liabilities and Stockholder's Equity		
Current liabilities:		
Accounts payable	\$ 16,729,199	\$ 953,688
Accrued salaries, wages and benefits	7,880,975	1,438,379
Other accrued expenses	4,842,149	118,309
Current portion of long-term debt and capital lease obligations	892,325	—
Income tax payable	376,437	—
Due to related party	16,370	54,930
Total current liabilities	<u>30,737,455</u>	<u>2,565,306</u>
Long-term liabilities:		
Note payable	1,875,661	—
Capital leases obligations	1,981,315	—
Due to parent	592,716	—
Other	1,154,437	31,680
Total long-term liabilities	<u>5,604,129</u>	<u>31,680</u>
Commitments and contingencies		
Equity:		
Stockholder's equity:		
Preferred stock, \$0.01 par value, 2,000 shares authorized, 221.3 and 17.4 shares issued and outstanding in 2009 and 2008, respectively	2	—
Common stock \$0.01 par value, 1,000 shares authorized and no shares issued and outstanding	—	—
Additional paid-in capital	110,645,498	8,700,000
Retained deficit	(29,332,949)	(9,430,557)
Total stockholder's equity (deficit)	<u>81,312,551</u>	<u>(730,557)</u>
Noncontrolling interest	60,990,669	—
Total equity (deficit)	<u>142,303,220</u>	<u>(730,557)</u>
Total liabilities and equity	<u>\$ 178,644,804</u>	<u>\$ 1,866,429</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Operations

	Year Ended December 31,	
	2009	2008
Net revenue	\$ 161,888,932	\$ —
Operating expenses:		
Salaries and benefits	74,011,022	3,948,082
Supplies	29,650,675	107,383
Other operating expenses	40,947,786	3,373,572
Provision for doubtful accounts	10,281,314	—
Equity in earnings of affiliates	(165,227)	—
Contract services	13,909,319	707,628
Depreciation and amortization	11,201,177	87,989
Interest expense (income), net	306,009	(50,091)
Gain on sale of assets	(439,134)	—
Other	(14,723)	(1,000)
Loss before taxes	(17,799,286)	(8,173,563)
Income tax provision	376,437	
Net loss	(18,175,723)	(8,173,563)
Income attributable to noncontrolling interests	1,726,669	—
Net loss attributable to LHP Hospital Group, Inc.	<u>\$ (19,902,392)</u>	<u>\$ (8,173,563)</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Stockholders' Equity

	Shares	Amounts	Additional Paid- In Capital	Retained Deficit	Equity Attributable to Noncontrolling Interests	Total
January 1, 2008	0.2	\$ —	\$ —	\$ (1,256,994)	\$ —	\$ (1,256,994)
Preferred stock issued	17.2	—	8,700,000	—	—	8,700,000
Net loss	—	—	—	(8,173,563)	—	(8,173,563)
December 31, 2008	17.4	—	8,700,000	(9,430,557)	—	(730,557)
Preferred stock issued	203.9	2	101,945,498	—	—	101,945,500
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	—	—	—	—	55,671,500	55,671,500
Physicians Partners	—	—	—	—	3,592,500	3,592,500
Equity based compensation plans	—	—	—	—	—	—
Net loss	—	—	—	(19,902,392)	1,726,669	(18,175,723)
December 31, 2009	221.3	\$ 2	\$ 110,645,498	\$ (29,332,949)	\$ 60,990,669	\$ 142,303,220

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Cash Flows

	Year Ended December 31,	
	2009	2008
Cash flows from operating activities		
Net loss	\$ (19,902,392)	\$ (8,173,563)
Adjustments to reconcile net loss to net cash provided by (used in) operating activities:		
Provision for doubtful accounts	10,281,314	-
Depreciation and amortization	11,201,177	87,989
Income attributable to noncontrolling interests	1,726,669	-
Equity in earnings of unconsolidated affiliates	(165,227)	-
Gain on sale of assets	(439,134)	-
Increase (decrease) in cash from operating assets and liabilities, net of acquisitions:		
Accounts receivable	(7,618,898)	-
Inventories and other assets	(1,101,733)	(272,025)
Accounts payable and accrued expenses	11,335,290	1,495,185
Other	(342,021)	31,680
Net cash provided by (used in) operating activities	<u>4,975,045</u>	<u>(6,830,734)</u>
Cash flows from investing activities		
Purchases of property and equipment	(44,965,693)	(322,076)
Acquisitions, net of cash acquired	(48,292,352)	-
Due to parent	592,716	-
Other	24,776	-
Net cash used in investing activities	<u>(92,640,553)</u>	<u>(322,076)</u>
Cash flows from financing activities		
Payments for capital leases	(788,414)	-
Payments for long-term debt	(47,416)	-
Proceeds from issuance of preferred stock	101,945,500	8,700,000
Payments of start-up funding loan from Holdings	-	(551,128)
Contributions from noncontrolling interest	2,910,000	-
Net cash provided by financing activities	<u>104,019,670</u>	<u>8,148,872</u>
Change in cash and cash equivalents	16,354,162	996,062
Cash and cash equivalents at beginning of year	1,172,125	176,063
Cash and cash equivalents at end of year	<u>\$ 17,526,287</u>	<u>\$ 1,172,125</u>
Supplemental noncash disclosure		
Noncontrolling interests issued to Physician Partners	<u>\$ 682,500</u>	<u>\$ -</u>

See accompanying notes.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements

December 31, 2009

1. Business Overview

Reporting Entity

LHP Hospital Group, Inc. (LHP) (formerly Legacy Hospital Partners, Inc.) is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. The terms “we”, “our”, “the Company”, “us” and “LHP” refer to the business of LHP Hospital Group, Inc., and our wholly owned subsidiaries, LHP Management Services, LLC and LHP Pocatello, LLC and subsidiaries.

Prior to February 1, 2009, the Company was considered a development stage company as defined by Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 915, *Accounting and Reporting by Development Stage Enterprises*, as amended. As a result during 2008 the Company did not have any revenues or expenses related to the revenue cycle. Effective February 1, 2009, LHP formed a joint venture to acquire, own and operate an acute care hospital in Pocatello, Idaho (Pocatello Joint Venture) and to develop a replacement hospital. The Company, through a wholly owned subsidiary, contributed approximately \$45 million and a promissory note for approximately \$141 million to the joint venture in exchange for a 77% interest in the joint venture. Bannock County, Idaho (the County), the owner of the Pocatello hospital, contributed the hospital’s current operations, including real estate and equipment, to the joint venture in exchange for a 23% interest in the joint venture. The County then transferred its interest in the joint venture to a local foundation. As part of the transaction, the Company also made payments of approximately \$15.0 million to the County to cover certain costs and fund certain community health programs.

2. Summary of Significant Accounting Policies

Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumption that affect amounts reported in the consolidated financial statements and the accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for presentation have been included, actual results could differ from those estimates.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Principles of Consolidation

The consolidated financial statements include the accounts of the Company and all subsidiaries and entities controlled by the Company through the Company's direct or indirect ownership of a majority interest and exclusive rights granted to the Company as the sole general partner or controlling member of such entities. All significant intercompany accounts and transactions have been eliminated upon consolidation.

Net Patient Services Revenues

Revenues consist primarily of net patient service revenues that are recorded based upon established billing rates less allowances for contractual adjustments. Revenues are recorded during the period the health care services are provided, based upon the estimated amounts due from the patients and third-party payers. Third-party payers include federal and state agencies (under the Medicare and Medicaid programs) and other third-party payers such as health maintenance organizations, preferred provider organizations and other private insurers. The amounts received from these third-party payers are generally less than the Company's established billing rates. Accordingly, the revenues reported in the Company's consolidated financial statements are recorded at the net amount that is expected to be received. The Company derives a significant portion of its revenues from Medicare, Medicaid and other payers that receive discounts from its established billing rates. The Company must estimate the total amount of these discounts to prepare its consolidated financial statements. The Medicare and Medicaid regulations and the various managed care contracts under which these discounts must be calculated are complex and are subject to interpretation and adjustments. The Company estimates the allowance for contractual discounts on a payer specific basis given its interpretation of the applicable regulation or contractual terms. As a result, there is a possibility that the recorded estimates could change by a material amount.

Patients treated at hospitals for nonelective care, who have income below certain amounts that are based on federal poverty level guidelines, are eligible for charity care. Charity care, based on our cost of service, totaled \$0.9 million for the year ended December 31, 2009. The federal poverty level is established by the federal government and is based on income and family size. We provide discounts to uninsured patients who do not qualify for Medicaid or charity care. These discounts are similar to discounts provided to many local managed care plans and totaled \$0.1 million for the year ended December 31, 2009. In implementing our discount policy, we

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

first attempt to qualify uninsured patients for Medicaid, other federal or state assistance or charity care. If an uninsured patient does not qualify for these programs, the uninsured discount is applied.

We are required to meet certain financial reporting requirements to participate in the Medicare and Medicaid programs. Federal regulations require the submission of annual cost reports covering medical cost and expenses associated with the services provided by the hospital to program beneficiaries. Cost report settlements under reimbursement agreements with Medicare and Medicaid are estimated and recorded in the period the related services are rendered and are adjusted in future periods as final settlements are determined. There is a possibility that the recorded estimates could change by a material amount. There were no adjustments recorded to net revenues in 2009 as prior year cost report settlements were the responsibility of the previous owners. The net estimated cost report settlement due from the Company as of December 31, 2009 is included in accounts receivable in the accompanying consolidated balance sheets and was approximately \$0.3 million.

Laws and regulations governing Medicare and Medicaid programs are complex and subject to interpretation. The Company believes that it is in compliance with all applicable laws and regulations and is not aware of any pending or threatened investigation involving allegations of potential wrongdoing that would have a material effect on the Company's consolidated financial statements. Compliance with such laws and regulations can be subject to future government review and interpretation as well as significant regulatory action including but not limited to fines, penalties and exclusion from the Medicare and Medicaid programs.

Cash and Cash Equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents. Cash and cash equivalents consist of cash on hand, money market funds and a certificate of deposit in the amount of \$0.4 million at December 31, 2009 that served as collateral for the Company's worker's compensation program, which has been classified as restricted cash on the consolidated balance sheets. The Company places its cash in financial institutions that are federally insured.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Accounts Receivable and Allowance for Doubtful Accounts

We receive payments for services rendered from federal and state agencies (under the Medicare and Medicaid programs), third-party payers and patients. The Company's ability to collect outstanding receivables is critical to its results of operations and cash flows. To provide for accounts receivable that could become uncollectible in the future, the Company establishes an allowance for doubtful accounts to reduce the carrying value of such receivables to their estimated net realizable value.

The Company has a process to determine the adequacy of the allowance for doubtful accounts that relies on a number of analytical tools and benchmarks to arrive at a reasonable allowance. No single statistic or measurement determines the adequacy of the allowance for doubtful accounts. Some of the tools that the Company utilizes include, but are not limited to, historical cash collections experience and revenue trends by payer classification. Accounts are written off when all reasonable internal and external collection efforts have been exhausted.

Inventories

Inventories are stated at average cost or market. Market is determined on the basis of estimated realizable values.

Property and Equipment

Property and equipment are recorded at cost. Property and equipment acquired in connection with a business combination are recorded at their estimated fair value in accordance with the acquisition method of accounting as prescribed in ASC Topic 805-10, *Business Combinations*. Property and equipment under capital leases are stated at the present value of minimum lease payments. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend useful lives of assets are capitalized.

Depreciation expense is computed by applying the straight-line method over the estimated useful lives of the assets. Assets held under capital leases are amortized on a straight-line basis over the shorter of the lease term or estimated useful life of the asset. The estimated useful life of buildings and improvements generally range from 10 to 40 years, while the estimated useful lives of furniture and equipment range from 3 to 10 years. Total depreciation (including amortization of capital leases) for the years ended December 31, 2009 and 2008 was \$11.2 million and \$0.1 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company is obligated under capital leases covering certain buildings and equipment. At December 31, 2009 and 2008, the gross amount of buildings and equipment and related accumulated amortization recorded under capital leases were as follows (in millions):

	<u>2009</u>		<u>2008</u>
Buildings and improvements	\$ 1.7	\$	—
Equipment	3.4		—
	<u>5.1</u>		<u>—</u>
Accumulated amortization	(1.2)		—
	<u>\$ 3.9</u>	\$	<u>—</u>

Long-lived Assets

When events, circumstances or operating results indicate the carrying values of certain long-lived assets and related identifiable intangible assets (excluding goodwill and indefinite-lived intangibles) expected to be held and used, might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the years ended December 31, 2009 and 2008.

Amortizable Intangibles

Amortizable intangible assets include two covenants not to compete. The agreements not to compete are amortized over the five-year terms of the agreements using the straight-line method.

Goodwill and Indefinite-lived Intangible Assets

Goodwill is the excess of the purchase price over the fair value of identifiable assets acquired. Under FASB ASC Topic 350, *Intangibles – Goodwill and Other*, goodwill and intangibles with indefinite lives are not amortized, but tested for impairment annually or more frequently if certain indications of impairment arise.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Goodwill impairment is determined using a two-step test. The first step is to identify if a potential impairment exists by comparing the fair value of the reporting unit with its carrying amount, including goodwill. If the fair value of the reporting unit exceeds its carrying amount, goodwill is not considered to have a potential impairment and the second step of the impairment test is not required. However, if the carrying amount of the reporting unit exceeds its fair value, the second step is performed to determine if goodwill is impaired and to measure the amount of impairment loss to be recognized, if any. The second step compares the implied fair value of goodwill with the carrying amount of goodwill. If the implied fair value of goodwill exceeds its carrying amount, then goodwill is not considered impaired. However, if the carrying amount of goodwill exceeds its implied fair value, an impairment loss is recognized for any excess of the carrying amount of the reporting unit's goodwill over the implied fair value of that goodwill. The implied fair value of goodwill is determined by allocating the fair value of the reporting unit in a manner similar to a purchase price allocation and the residual fair value after this allocation is the implied fair value of the reporting unit goodwill. Fair value of the reporting unit is estimated based upon internal evaluations of the related long-lived assets for each reporting unit that include quantitative analyses of revenues and cash flows. The Company determined that there have been no goodwill impairment indicators since the Pocatello acquisition.

Professional and General Liability

Our professional and general liability insurance is provided by a commercial carrier. The policy is a claims made policy and has limits of \$1.0 million per claim and \$3.0 million annual aggregate for medical professional liability claims and \$1.0 million per occurrence and \$3.0 million annual aggregate for general liability claims. The deductible is \$25,000 per occurrence. In addition to the primary coverage umbrella and excess coverage is maintained with shared limits of \$15.0 million.

Self-Insured Liabilities

The Company is self-insured for substantially all of the medical benefits of its employees. The reserve for medical benefits reflects known claims and an estimate of incurred but not reported losses based upon an actuarial analysis as of the balance sheet date. The reserve for self-insured medical benefits was \$0.5 million as of December 31, 2009. In 2008, the Company was not self-insured; therefore, no reserves for self-insured medical benefit were recorded.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company is self-insured for workers' compensation claims with a stop loss limit of \$250,000 per occurrence. Estimated liabilities for worker's compensation claims were \$0.5 million at December 31, 2009. During 2008, the Company purchased workers' compensation coverage from a commercial carrier.

Noncontrolling Interest

Noncontrolling interest represent the portion of equity in the Pocatello Joint Venture not attributable, directly or indirectly, to us. The Company's accompanying consolidated financial statements include all assets, liabilities, revenues and expenses of the Pocatello Joint Venture, which include amounts attributable to the Company and the noncontrolling interest. The Company recognizes as a separate component of equity and earnings the portion of the income or loss attributable to noncontrolling interest based on the portion of the entity not owned by the Company.

Equity-Based Compensation

We account for equity-based compensation in accordance with ASC Topic 718, *Compensation – Stock Compensation*. ASC Topic 718 requires the Company to recognize compensation expense, for all equity-based awards granted to employees, using a fair value measurement method. Under the fair value method, the estimated fair value of awards that are expected to vest is recognized over the requisite service period, which is generally the vesting period. The Company estimates grant date fair value using the Black-Scholes-Merton option pricing model.

Fair Value

The Company's financial instruments include cash and cash equivalents, accounts receivable, notes and other receivables and accounts payable and accrued expenses. Management believes the carrying value of cash and cash equivalents, accounts receivable, other receivables and accounts payable and accrued expenses approximates fair value due to their short-term maturity. Management believes the fair value of notes receivable approximates fair value based on current interest rate assumptions and remaining term to maturity.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Recently Issued Accounting Pronouncements

Effective January 1, 2009, the Company adopted Statement of ASC Topic 805, *Business Combinations*. ASC Topic 805 established principles and requirements for recognizing and measuring identifiable assets and goodwill acquired, liabilities assumed and any noncontrolling interest in an acquisition at their fair values as of the acquisition date, as well as requiring the expensing of acquisition-related costs as incurred. Furthermore, ASC Topic 805 determines what information to disclose to enable users of the financial statements to evaluate the nature and financial effects of the business combination.

Effective January 1, 2009, the Company adopted ASC Topic 810, *Consolidation*. ASC Topic 810 amends *Accounting Research Bulletin 51* to establish accounting and reporting standards for the noncontrolling interest in a subsidiary and for the deconsolidation of a subsidiary. ASC Topic 810 clarifies that a noncontrolling interest in the consolidated entity should be reported as equity in the consolidated financial statements. ASC Topic 810 also requires consolidated net income to be reported at amounts that include the amounts attributable to both the parent and the noncontrolling interest. ASC Topic 810 establishes that a change in a parent's ownership interest in a subsidiary that does not result in deconsolidation is an equity transaction. A gain or loss in net income is recognized for changes that result in deconsolidation.

In June 2009, the Company adopted ASC Topic 855 *Subsequent Events*. ASC Topic 855 establishes general standards of accounting for and disclosures of events that occur after the balance sheet date but before financial statements are issued or are available to be issued. ASC Topic 855 requires the Company to disclose the date through which the Company has evaluated subsequent event and the basis for the date.

Effective for the Company's annual financial statements issued for December 31, 2009, the Company adopted FASB ASC Topic 105, which establishes the FASB ASC as the single source of authoritative accounting principles recognized by the FASB to be applied to nongovernmental entities in the preparation of financial statements in conformity with Generally Accepted Accounting Principles (GAAP). Accordingly, all references to GAAP provided in the Company's notes to its consolidated financial statements are in accordance with ASC Topic 105.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The FASB has issued Accounting Standards Update (ASU) 2009-17, *Improvements to Financial Reporting by Enterprises involved with Variable Interest Entities* (ASU 2009-17). ASU 2009-17 changes how a reporting entity determines when an entity that is insufficiently capitalized or is not controlled through voting (or similar rights) should be consolidated. The determination of whether a reporting entity is required to consolidate another entity is based on, among other factors, the other entity's purpose and design and the reporting entity's ability to direct the activities of the other entity that most significantly impact the other entity's economic performance.

ASU 2009-17 also requires a reporting entity to provide additional disclosures about its involvement with variable interest entities and any significant changes in risk exposure due to that involvement. A reporting entity will be required to disclose how its involvement with a variable interest entity affects the reporting entity's financial statements. ASU 2009-17 is effective beginning January 1, 2010. The adoption of ASU 2009-17 could have a material impact on the Company's future results of operations, cash flows and financial position due to the Company involvement with a variable interest entity in connection with the formation of a joint venture to acquire a hospital in Sherman, Texas.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions

On February 1, 2009, the Company acquired a 77% interest in a joint venture formed to acquire, own and operate a hospital in Pocatello, Idaho and to develop a replacement hospital. The hospital is licensed for 250 beds. The assets and liabilities for the acquisition were recorded at their fair market values as of the effective acquisition date. The Company, through a wholly owned subsidiary, contributed cash of \$45.0 million and a promissory note for approximately \$141.0 million to the joint venture in exchange for a 77% interest in the joint venture. Under the acquisition method of accounting, in accordance with ASC Topic 805-10, the purchase was allocated to the identifiable assets acquired and liabilities assumed based upon their estimated fair value as of February 1, 2009. The excess of the purchase price over the estimated fair value of the identifiable assets acquired and liabilities assumed was recorded as goodwill. The results of operations have been included in the Company's results of operations beginning February 1, 2009.

The acquisition date fair value of the consideration transferred totaled \$186.0 million, which consisted of the following (in millions):

Cash	\$	45.0
Note payable to Pocatello Joint Venture		141.0
Total	\$	<u>186.0</u>

The Company recognized \$15.0 million in acquisition related costs that were expensed in the current period. The acquisition costs are included in the consolidated statements of operations as other operating expenses.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The fair values of the assets acquired and liabilities assumed at the acquisition date are as follows (in millions):

Assets:	
Cash	\$ 3.8
Accounts receivables	27.0
Inventories	4.9
Other current assets	5.7
Property and equipment	70.3
Investments in affiliates	1.5
Intangible assets	0.6
Total identifiable assets acquired	113.8
Liabilities:	
Accounts payable	5.9
Accrued liabilities	8.5
Note payable	1.9
Capital lease obligations	3.6
Total liabilities assumed	19.9
Net identifiable assets acquired	93.9
Goodwill	6.8
Net assets acquired	\$ 100.7

As part of the initial acquisition of the hospital in Pocatello, Idaho, the Company acquired a 20.1% equity investment in Rocky Mountain Surgery Center, LLC. Effective August 1, 2009, the Company acquired all of the assets in Rocky Mountain Surgery Center as part of a business combination achieved in stages in exchange for \$6.9 million in cash and a noncontrolling interest in a subsidiary of Pocatello Health System, LLC, with a value of \$0.7 million. The acquisition date fair value of the previous equity interest was \$1.5 million, and was included in the measurement of the consideration transferred. The Company recognized a gain of \$0.4 million as a result of re-measuring its equity interest in Rocky Mountain Surgery Center prior to the business combination. The gain is included in gain on sale of assets in the accompanying consolidated statement of operations. The Company accounted for this transaction under the business combination achieved in stages, in accordance with ASC Topic 805-10. The amount of goodwill assigned to the acquisition of Rocky Mountain Surgery Center was \$7.5 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The amounts of revenue and earnings of the Pocatello Joint Venture included in the Company's consolidated statement of operations from the acquisition date to December 31, 2009 are as follows (in millions):

	<u>2009</u>
Net revenues	\$ 161.9
Earnings	\$ 5.8

The following represents the unaudited pro forma consolidated statements of operations as if Pocatello Joint Venture had been included in the consolidated results of the Company for the entire years ended December 31, 2009 and 2008 (in millions):

	<u>Year Ended</u>	
	<u>2009</u>	<u>2008</u>
Net revenues	\$ 183.4	\$ 167.2
Net loss	\$ (16.5)	\$ (13.5)

The fair value of the 23% noncontrolling interest in the Pocatello Joint Venture is estimated to be \$55.7 million. The fair value of the noncontrolling interest was estimated using a combination of the cost and market approaches. The fair value of estimate was based on a independent third-party valuation.

In October 2009, the Company issued additional noncontrolling interests in a subsidiary of Pocatello Hospital, LLC to members of the hospital's medical staff in exchange for cash of \$2.9 million.

4. Goodwill and Other Intangible Assets

The acquired intangible assets subject to amortization related to covenants not to compete, which have a useful life of five years. Amortization expense was \$0.1 million and \$0 for the years ended December 31, 2009 and 2008, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Amortization of intangible assets over the next five years is as follows (in millions):

2010	\$	0.1
2011		0.1
2012		0.1
2013		0.1
2014		—

The gross carrying amount and accumulated amortization at December 31, 2009 and 2008 are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 0.6	\$ —
Less accumulated amortization	0.1	—
Net amortizable intangible assets	<u>\$ 0.5</u>	<u>\$ —</u>
Indefinite-lived intangible assets:		
Trade name	<u>\$ 0.1</u>	<u>\$ —</u>

Changes in the value of goodwill were as follows:

	<u>December 31</u>	
	<u>2009</u>	<u>2008</u>
Balance at January 1	\$ —	\$ —
Goodwill acquired	14.3	—
Balance at December 31	<u>\$ 14.3</u>	<u>\$ —</u>

5. Stockholder's Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2009, LHP has 2,000 shares of preferred stock authorized with 221.3 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

5. Stockholder's Equity (continued)

in preference to holders of the common stock. Holders of the preferred stock are first entitled to receive an annual special dividend equal to 30% of 1% of the aggregate amount of capital contributions made by CCMP Capital Advisors, LLC (CCMP) and the CPP Investment Board (CPPIB) in Legacy Hospital Partners (Holdings), LLC; the annual special dividend is not payable, however, until LHP attains positive earnings (as defined in LHP's Restated Certificate of Incorporation) for the fiscal year. Next, the holders of the preferred stock are entitled to receive a dividend accruing daily at the rate of 8% per annum of the original cost of each share of preferred stock. Finally, holders of the preferred stock are entitled to receive a return of an amount equal to the original cost of such preferred stock prior to the making of any payments to holders of the common stock.

As of December 31, 2009 the total cumulative annual dividends were \$0.3 million or \$1,489 per share and the 8% cumulative dividends were \$7.3 million or \$32,810 per share.

Common Stock

As of December 31, 2009, LHP has 1,000 shares of common stock authorized with no shares issued or outstanding.

6. Equity-Based Compensation

In 2009, LHP adopted an equity incentive plan (the Plan) pursuant to which the Company's board of directors may grant stock options, restricted stock or stock units (Awards) to employees, consultants and directors of the Company and its subsidiaries. The Plan authorizes grants of up to 1,000,000 shares of authorized but unissued common stock. Awards can be granted with an exercise price not less than the greater of the par value of the common stock or below 100% of the fair market value of the common stock on the date of grant. In the case of any person who at the time of grant possesses more than 10% of the total combined voting power of all classes of stock of the Company, Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 110% of the fair market value of the common stock. All Awards generally vest over a four-year period. As of December 31, 2009, no Awards have been issued under this plan. Subsequent to December 31, 2009, LHP granted 17,375 shares of restricted stock to certain employees with a fair value on the date of grant of \$1.00 per share.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Notes Payable

In connection with the Company's acquisition of an interest in the Pocatello Joint Venture, the Company acquired a note payable for land in the amount of \$1.9 million. As of December 31, 2009, approximately \$1.9 million is recorded in long-term debt. The note bears an interest rate of 8% with quarterly principal and interest payments and matures September, 2027.

8. Retirement Plan

The Company has a contributory benefit plan that is available to employees who meet certain minimum requirements. With respect to the year 2009, the plan required the Company to match 100% of a participant's contributions up to the first 3% of the participant's compensation and an additional 50% of the participant's contributions up to the next 6% of the participant's compensation. The Company recorded contribution expense of \$2.2 million and \$0.1 million for the years ended December 31, 2009 and 2008, respectively.

9. Transactions with Related Parties

The Company entered into certain contracts with JJS Aviation, LLC and JJS Hangar, LLC, each of which is owned indirectly by the Chairman of the Board of Directors of LHP. The contracts represent leases for aircraft hours (excluding aviation fuel charges), normal scheduled maintenance, and the aircraft's hanger space. The base rental rate is for a minimum of 200 hours per year with an additional per hour charge for flight hours in excess of 200 hours. The Company recorded combined expense related to the aircraft rental and the hangar space of \$0.7 million for each of the years ended December 31, 2009 and 2008. The Company also recorded \$0.2 million and \$0.1 million in maintenance expense for the years ended December 31, 2009 and 2008. At December 31, 2009, the Company has recorded a payable to JJS Aviation, LLC, in the amount of \$16,370 for routine maintenance.

10. Income Taxes

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of events that have been included in the consolidated financial statements. Deferred tax assets and liabilities are determined by the differences between the consolidated financial statements and the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax assets will not be realized.

At December 31, 2009 and 2008, the sources of the deferred tax assets are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Accrued incentive plan	\$ 0.1	\$ 0.3
Deferred compensation	0.1	0.1
Accrued medical and dental	0.3	-
Federal NOL	1.2	2.9
Workers compensation	0.2	-
Partnership basis-investment in Pocatello Joint Venture	9.6	-
Total deferred tax asset	<u>11.5</u>	<u>3.3</u>
Valuation allowance	<u>(11.5)</u>	<u>(3.3)</u>
Net deferred tax asset	<u>\$ -</u>	<u>\$ -</u>

At December 31, 2009, the Company has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax assets will not be realized.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

For the years ended December 31, 2009 and 2008, the differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the loss before income taxes are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Benefit computed at federal statutory rate	\$ (7.2)	\$ (2.9)
Minority interest on state tax	0.4	
State income tax benefit, net of federal tax effect at state statutory rates	(1.0)	-
Increase in valuation allowance	8.2	3.3
Other	-	(0.4)
Income tax benefit	<u>\$ (0.4)</u>	<u>\$ -</u>

At December 31, 2009, LHP has approximately \$3.6 million of federal net operating losses carryforwards which will begin to expire in 2028. LHP, at December 31, 2009, does not have any state net operating losses.

11. Leases

The Company leases real estate, buildings, vehicles and equipment under cancelable and non-cancelable leases. The leases expire at various times and have various renewal options. Certain leases that meet the lease capitalization criteria in accordance with ASC Topic 840-10, *Leases*, assets have been recorded at their fair value at the date of acquisition and liabilities at the net present value of the minimum lease payments at the inception of the lease. Rental expense of operating leases for the years ended December 31, 2009 and 2008 was \$4.7 million and \$0.9 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

11. Leases (continued)

Future minimum lease payments at December 31, 2009, are as follows (in millions):

	Operating Leases	Capital Lease Obligations	Total
2010	\$ 3.7	\$ 1.1	\$ 4.8
2011	2.9	0.4	3.3
2012	2.5	0.3	2.8
2013	2.3	0.2	2.5
2014	2.2	0.2	2.4
Thereafter	13.8	2.6	16.4
	\$ 27.4	4.8	\$ 32.2
Less: imputed interest portion		(2.0)	
Long-term obligations under capital leases		\$ 2.8	

12. Guarantees

The Company has entered into agreements whereby it has guaranteed certain loans entered into by patients for whom services were performed at our facility. These loans are provided by various financial institutions who determine whether the loans are made. The Company would be obligated to repay the financial institutions if a patient fails to repay his or her loans. The Company could then pursue collections from the patient. We record a reserve for estimated defaults on these loans at the historical default rates, which at December 31, 2009 was approximately 21%. At December 31, 2009, loans subject to guarantees totaled \$2.2 million.

13. Regulatory Matters

All healthcare providers are required to comply with a significant number of laws and regulations at the federal and state government levels. These laws are extremely complex, and in many instances, providers do not have the benefit of significant regulatory or judicial interpretation as to how to interpret and/or apply these laws and regulations. The U.S. Department of Justice and other federal and state agencies are increasing resources dedicated to regulatory investigations and compliance audits of healthcare providers. As a healthcare provider, the Company is subject to these regulatory efforts. Healthcare providers that do not comply with these laws and regulations may be subject to civil or criminal penalties, the loss of

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

13. Regulatory Matters (continued)

their licenses, or restrictions on their ability to participate in various federal and state healthcare programs. We endeavor to conduct our business in compliance with applicable laws and regulations, including healthcare fraud and abuse laws. As a result of our hospital's state licensures and certifications under the Medicare and various Medicaid programs, we are subject to regular reviews, surveys, audits and investigations conducted by, or on behalf of, federal and state agencies, including Centers for Medicare & Medicaid Services (CMS), which are responsible for the oversight of these programs. These agency's reviews may include reviews or surveys of our compliance with required conditions of participation regulations. The purpose of these surveys is to ensure that healthcare providers are in compliance with governmental requirements, including requirements such as adequacy of medical care, equipment, personnel, operating policies and procedures, maintenance of adequate records, compliance with building codes and environmental protection and healthcare fraud and abuse. These surveys may identify deficiencies with conditions of participation which require corrective actions to be made by the hospital within a given time frame. If a hospital is not successful in addressing the deficiencies and conditions in a timely manner, CMS reserves the right to deem the hospital to be out of compliance with Medicare conditions of participation and may terminate the hospital from participation in the Medicare program. Termination of a hospital from the Medicare program would have a material adverse effect on our results of operations and cash flows.

Additionally, these agencies may review our compliance with various payment regulations and conduct audits under CMS's Recovery Audit Contractor (RAC) program. The RAC program has been made permanent and was required to be expanded broadly to healthcare providers pursuant to the Tax Relief and Health Care Act of 2006. The results of the enhanced medical necessity reviews and the RAC program audits could have an adverse effect on our business, financial position, result of operations and liquidity. To the extent these reviews result in an adverse finding, we may contest the adverse finding vigorously; however, these matters can result in significant legal expense and consume our resources.

14. Commitments and Contingencies

Legal

The Company is, from time to time, subject to claims and suits arising in the ordinary course of business including, claims for damage for personal injuries, medical malpractice, breach of contract, wrongful restriction of or interference with physicians' staff privileges and employment related claims. In certain of these actions, plaintiffs request payment for damages, including

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Commitments and Contingencies (continued)

punitive damages that may not be covered by insurance. The Company is currently not a party to any pending or threatened proceedings, which, in management's opinion, would have a material adverse effect on the Company's business, financial condition or results of operations.

Physician Commitments

The Company has committed to provide certain financial assistance pursuant to recruiting agreements, or "physician minimum revenue guarantees," with various physicians practicing in the communities it serves. In consideration for a physician relocating to our communities and agreeing to engage in private practice for the benefit of the respective community, the Company may advance funding to a physician to assist in establishing his or her practice.

The Company accounts for its physician income guarantees in accordance with the provisions of ASC Topic 460-10, *Guarantees* (ASC 460-10). Under the provision of ASC Topic 460-10, the Company records a contract-based asset and a related guarantee liability for new physician minimum revenue guarantees. The contract-based asset is amortized as a component of other operating expense, in the accompanying consolidated statements of operations, over the period of the physician contract, which typically ranges from three to five years. At December 31, 2009, the maximum potential amount of future payments under our income guarantees was \$0.4 million. We have assets, net of accumulated amortization of \$0.8 million, recorded in other assets and liabilities of \$0.4 million recorded for the fair value of these guarantees, included in other current liabilities at December 31, 2009.

Information Systems

In March 2009, the Company entered into an agreement with McKesson Corporation to purchase clinical and hospital financial software and hardware with an initial cost of \$7.7 million. The Company has incurred approximately \$3.5 million of cost related to this project as of December 31, 2009. The conversion of the current information systems is anticipated to be complete during the second quarter of 2010.

In May 2009, the Company entered into an agreement with a third party to outsource its current information technology services for a ten-year period and to deploy system-wide clinical, electronic medical record and financial applications. The expected total contract value approximates \$31.3 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Commitments and Contingencies (continued)

Capital Expenditure Commitments

The Company is currently building a replacement hospital and related ancillary projects in Pocatello, Idaho. The Company has incurred approximately \$35.0 million of costs related to this project as of December 31, 2009, which is included as construction in progress in the Company's consolidated balance sheets. At December 31, 2009, the estimated amount to complete this project was \$119.0 million.

15. Business Concentrations

The Company grants credit without collateral to their patients, most of whom are local residents and are insured under third-party payer agreements. The mix of gross receivables from patients and third-party payers at December 31, 2009 is as follows:

	<u>2009</u>
Medicare	23%
Medicaid	10
Managed care organizations	31
Other	9
Self-pay	27
	<u>100%</u>

16. Subsequent Events

In February 2010, LHP, through a subsidiary of the Pocatello Joint Venture, acquired certain assets of Idaho Medical Imaging, LLC, and Radiology Physicians of Idaho, P.C., in consideration for a purchase price of \$3.5 million. Also in February 2010, LHP, through a subsidiary of the Pocatello Joint Venture, acquired all of the assets of Pocatello Cardiology Associates in consideration for a cash payment of \$0.3 million and entered into employment agreements with certain shareholder employees and physician employees of Pocatello Cardiology Associates.

On April 15, 2010, LHP, through a joint venture with Texas Health Resources, purchased a hospital in Sherman, Texas for a purchase price of \$72.5 million, subject to certain adjustments. The joint venture has committed to invest \$25.0 million in capital improvements in the hospital over the next four years.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

16. Subsequent Events (continued)

On April 15, 2010, LHP Operations Co., LLC, (Op Co), a wholly owned subsidiary of LHP, entered into a Credit Agreement with a group of four lending banks. Under the terms of the Credit Agreement, Op Co is the borrower and LHP is a guarantor. The Credit Agreement includes a \$45.0 million term loan for a 3.5 year term, a \$15.0 million revolving line of credit and \$100.0 million accordion feature that can, subject to certain conditions, be accessed to fund future expansion.

Quarterly principal payments of \$0.1 million are due on the term loan beginning on June 30, 2010 and until October 15, 2013, at which time the remaining balance of \$40.5 million will become due. Interest on the term loan is computed on variable rates and is paid, in arrears, either quarterly, or if the interest rate for a period is based on a period that is shorter than a quarter, at the end of the period on which the interest rate is based.

Op Co pays quarterly an unused commitment fee equal to 100 basis points per year on the unused portion of the revolving line of credit.

The Company has evaluated subsequent events from the balance sheet date through April 30, 2010, the date at which the financial statements were available to be issued, and determined there are no other items to disclose.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Amortization of intangible assets over the next five years is as follows (in millions):

2010	\$	0.1
2011		0.1
2012		0.1
2013		0.1
2014		—

The gross carrying amount and accumulated amortization at December 31, 2009 and 2008 are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 0.6	\$ —
Less accumulated amortization	0.1	—
Net amortizable intangible assets	<u>\$ 0.5</u>	<u>\$ —</u>
Indefinite-lived intangible assets:		
Trade name	<u>\$ 0.1</u>	<u>\$ —</u>

Changes in the value of goodwill were as follows:

	<u>December 31</u>	
	<u>2009</u>	<u>2008</u>
Balance at January 1	\$ —	\$ —
Goodwill acquired	14.3	—
Balance at December 31	<u>\$ 14.3</u>	<u>\$ —</u>

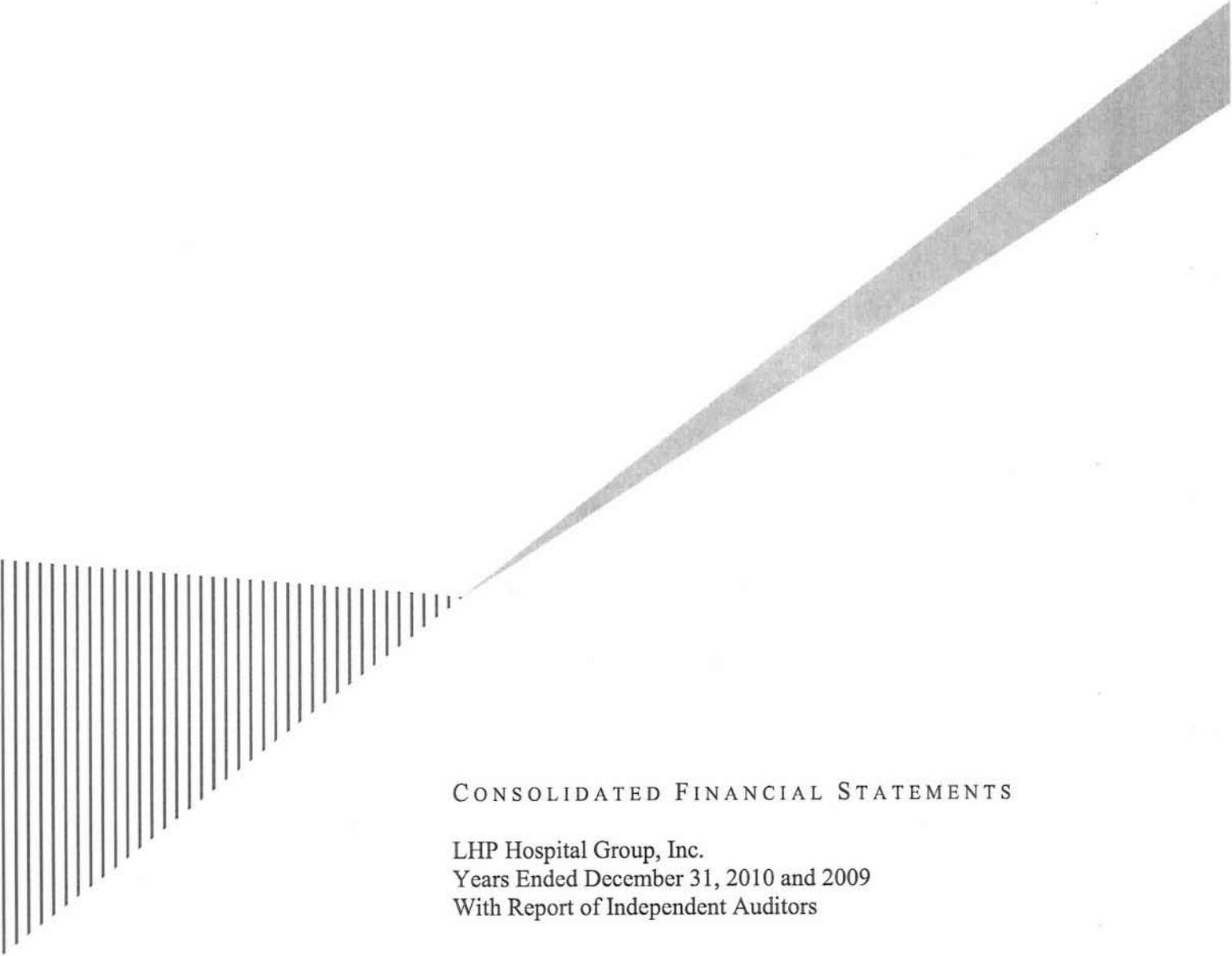
5. Stockholder's Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2009, LHP has 2,000 shares of preferred stock authorized with 221.3 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions

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CONSOLIDATED FINANCIAL STATEMENTS

LHP Hospital Group, Inc.
Years Ended December 31, 2010 and 2009
With Report of Independent Auditors

Ernst & Young LLP

 **ERNST & YOUNG**

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LHP Hospital Group, Inc.

Consolidated Financial Statements

Years Ended December 31, 2010 and 2009

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Report of Independent Auditors

The Board of Directors
LHP Hospital Group, Inc.

We have audited the accompanying consolidated balance sheets of LHP Hospital Group, Inc. (formerly Legacy Hospital Partners, Inc.) as of December 31, 2010 and 2009, and the related consolidated statements of operations, equity, and cash flows for the years then ended. These consolidated financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of LHP Hospital Group, Inc. at December 31, 2010 and 2009, and the consolidated results of its operations and its cash flows for the years then ended, in conformity with U.S. generally accepted accounting principles.

Ernst & Young LLP

April 27, 2011

LHP Hospital Group, Inc.

Consolidated Balance Sheets

	December 31	
	2010	2009
Assets		
Current assets:		
Cash and cash equivalents	\$ 22,514,058	\$ 17,526,287
Restricted cash	965,000	435,000
Accounts receivable, less allowance for doubtful accounts of \$24,511,187 and \$11,440,066	42,886,926	25,597,713
Inventories	7,781,503	5,300,520
Other receivables, net	5,100,967	2,360,389
Other	2,161,675	2,040,729
Total current assets	<u>81,410,129</u>	<u>53,260,638</u>
Property and equipment, at cost:		
Land	17,766,322	13,085,000
Building and improvements	75,935,489	43,061,403
Furniture and equipment	54,044,296	24,468,929
Construction in progress	109,619,744	38,463,723
	<u>257,365,851</u>	<u>119,079,055</u>
Accumulated depreciation and amortization	<u>(21,672,189)</u>	<u>(11,193,801)</u>
Property and equipment, net	235,693,662	107,885,254
Other assets:		
Investments in and advances to affiliates	335,804	194,611
Goodwill	24,516,835	14,331,719
Intangibles, net	797,579	474,667
Notes receivable	3,149,437	2,497,915
Other	1,806,376	-
Total assets	<u>\$ 347,709,822</u>	<u>\$ 178,644,804</u>

	December 31	
	2010	2009
Liabilities and equity		
Current liabilities:		
Accounts payable	\$ 26,212,646	\$ 16,582,383
Accrued salaries, wages, and benefits	11,930,250	6,953,951
Other accrued expenses	8,674,105	5,932,091
Interest payable	301,390	268
Current portion of long-term debt	10,535,374	—
Current portion of capital lease obligations	216,829	892,325
Income tax payable	372,448	376,437
Total current liabilities	<u>58,243,042</u>	<u>30,737,455</u>
Long-term liabilities:		
Long-term debt	46,208,265	1,875,661
Capital lease obligations	1,769,733	1,981,315
Due to Legacy Hospital Partners (Holdings), LLC	587,864	592,716
Other	1,351,266	1,154,437
Total long-term liabilities	<u>49,917,128</u>	<u>5,604,129</u>
Commitments and contingencies		
Equity:		
Stockholders' equity:		
Preferred stock, \$0.01 par value, 2,000 shares authorized, 387.1 and 222.5 shares issued and outstanding in 2010 and 2009, respectively	4	2
Common stock \$0.01 par value, 1,000 shares authorized, no shares issued and outstanding	—	—
Additional paid-in capital	192,968,960	110,645,498
Retained deficit	(25,649,110)	(29,332,949)
Total stockholders' equity	<u>167,319,854</u>	<u>81,312,551</u>
Noncontrolling interest	72,229,798	60,990,669
Total equity	<u>239,549,652</u>	<u>142,303,220</u>
Total liabilities and equity	<u>\$ 347,709,822</u>	<u>\$ 178,644,804</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Operations

	Year Ended December 31	
	2010	2009
Net revenue	\$ 290,324,999	\$ 158,895,305
Operating expenses:		
Salaries and benefits	122,878,315	74,219,681
Supplies	53,673,434	29,486,405
Other operating expenses	41,414,046	41,068,067
Provision for doubtful accounts	32,729,226	10,281,314
Equity in earnings of affiliates	(44,610)	(165,227)
Contract services	19,885,139	13,744,649
Depreciation and amortization	14,100,401	11,201,177
Income (loss) from operations	<u>5,689,048</u>	<u>(20,940,761)</u>
Interest expense, net	2,360,433	306,009
(Gain) loss on sale of assets	9,612	(439,134)
Other income, net	<u>(3,680,381)</u>	<u>(3,008,350)</u>
Income (loss) before taxes	6,999,384	(17,799,286)
Income tax provision	<u>1,572,834</u>	<u>376,437</u>
Net income (loss)	<u>5,426,550</u>	<u>(18,175,723)</u>
Income attributable to noncontrolling interests	1,742,711	1,726,669
Net income (loss) attributable to LHP Hospital Group, Inc.	<u>\$ 3,683,839</u>	<u>\$ (19,902,392)</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Equity

	Shares	Amounts	Additional Paid-In Capital	Retained Deficit	Equity Attributable to Noncontrolling Interests	Total
January 1, 2009	17.4	\$ 0.2	\$ 8,700,000	\$ (9,430,557)	\$ —	\$ (730,557)
Preferred stock issued	205.1	2.1	101,945,498	—	—	101,945,500
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	—	—	—	—	55,671,500	55,671,500
Physician partners	—	—	—	—	3,592,500	3,592,500
Net loss	—	—	—	(19,902,392)	1,726,669	(18,175,723)
December 31, 2009	222.5	2.3	110,645,498	(29,332,949)	60,990,669	142,303,220
Preferred stock issued	164.6	1.6	82,318,180	—	—	82,318,182
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	—	—	—	—	—	—
Texas Health Resources	—	—	—	—	8,181,818	8,181,818
Seton Healthcare	—	—	—	—	2,575,000	2,575,000
Physician partners	—	—	—	—	308,080	308,080
Distributions made to noncontrolling interest:						
Portneuf Health Care Foundation	—	—	—	—	(1,250,318)	(1,250,318)
Physician partners	—	—	—	—	(318,162)	(318,162)
Equity-based compensation plans	—	—	5,282	—	—	5,282
Net income	—	—	—	3,683,839	1,742,711	5,426,550
December 31, 2010	387.1	\$ 3.9	\$ 192,968,960	\$ (25,649,110)	\$ 72,229,798	\$ 239,549,652

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Cash Flows

	Year Ended December 31	
	2010	2009
Operating activities		
Net income (loss)	\$ 3,683,839	\$ (19,902,392)
Adjustments to reconcile net income (loss) to net cash provided by operating activities:		
Provision for doubtful accounts	32,729,226	10,281,314
Depreciation and amortization	14,100,401	11,201,177
Income attributable to noncontrolling interests	1,742,711	1,726,669
Equity in earnings of unconsolidated affiliates	(44,610)	(165,227)
(Gain) loss on sale of assets	9,612	(439,134)
Amortization of debt issuance costs	432,717	-
Share-based compensation expense	5,282	-
Increase (decrease) in cash from operating assets and liabilities, net of acquisitions:		
Accounts receivable	(39,632,852)	(7,618,898)
Inventories and other assets	(1,306,505)	(1,101,733)
Accounts payable and accrued expenses	8,408,956	11,335,290
Other	(53,535)	(342,021)
Net cash provided by operating activities	<u>20,075,242</u>	<u>4,975,045</u>
Investing activities		
Purchases of property and equipment	(81,070,913)	(44,965,693)
Advances to noncontrolling interests, net	(1,568,480)	-
Proceeds received on sale of assets	42,225	24,776
Acquisitions, net of cash acquired	(69,173,352)	(48,292,352)
Due (from) to Legacy Hospital Partners (Holdings), LLC	(4,852)	592,716
Other	84,339	-
Net cash used in investing activities	<u>(151,691,033)</u>	<u>(92,640,553)</u>
Financing activities		
Payments for borrowings	(1,298,774)	(835,830)
Proceeds from borrowings	55,000,000	-
Payment of debt issue costs	(1,990,847)	-
Proceeds from issuance of preferred stock	82,318,183	101,945,500
Contributions from noncontrolling interest	2,575,000	2,910,000
Net cash provided by financing activities	<u>136,603,562</u>	<u>104,019,670</u>
Change in cash and cash equivalents	4,987,771	16,354,162
Cash and cash equivalents at beginning of year	17,526,287	1,172,125
Cash and cash equivalents at end of year	<u>\$ 22,514,058</u>	<u>\$ 17,526,287</u>
Supplemental disclosures		
Cash received (paid) for:		
Noncontrolling interests issued to Physician partners	\$ -	\$ 682,500
Interest	\$ 1,655,190	\$ 327,858
Income taxes	\$ 1,576,823	\$ -

See accompanying notes.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements

December 31, 2010

1. Business Overview

Reporting Entity

LHP Hospital Group, Inc. (LHP) (formerly Legacy Hospital Partners, Inc.) is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate, and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. The terms “we”, “our”, “the Company”, “us”, and “LHP” refer to the business of LHP Hospital Group, Inc., and all of our wholly owned subsidiaries.

2. Summary of Significant Accounting Policies

Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect amounts reported in the consolidated financial statements and the accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for presentation have been included, actual results could differ from those estimates.

Principles of Consolidation

The consolidated financial statements include the accounts of LHP and its subsidiaries, all of which are either controlled by us through majority voting control or are variable interest entities (VIEs) for which the Company is the primary beneficiary. VIEs represent situations in which consolidation is required even though the usual condition of consolidation (owning a majority voting interest) does not apply. A VIE occurs when an entity holds an interest in another business enterprise that was achieved through arrangements that do not involve voting interests and result in a disproportionate relationship between the entity’s voting interest in, and its exposure to the economic risks and potential rewards of, the other business enterprise.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company must consolidate a VIE if we determine that we are the primary beneficiary of the VIE. The primary beneficiary has both: (a) the power to direct the activities of the VIE that most significantly impact the entity's economic performance, and (b) the obligation to absorb losses or the right to receive benefits from that VIE that could potentially be significant to the VIE.

The Company holds a 49.9% equity interest in a joint venture with Texas Health Resources (THR) which acquired a hospital in Sherman, Texas (see Note 3). Through a wholly owned subsidiary, the Company loaned the joint venture \$49.6 million. The Company has determined that the joint venture is a VIE and the Company is the primary beneficiary of the VIE because it has: (a) the power to direct certain activities that significantly impact the economic performance of the VIE, and (b) the obligation to absorb losses of and the right to receive benefits from the VIE through its equity interest and its loan to the joint venture. Accordingly, the Company consolidates this entity. At December 31, 2010, \$82.5 million in assets and \$62.9 million in liabilities of the VIE are included in the Company's consolidated balance sheet.

We have completed various acquisitions and a joint venture transaction. The accounts of these entities have been included in our consolidated financial statements for periods subsequent to our acquisition of controlling interests.

All significant intercompany accounts and transactions have been eliminated upon consolidation.

Reclassification

Certain amounts within current liabilities have been reclassified to conform to the 2010 presentation.

Net Patient Service Revenues

Revenues consist primarily of net patient service revenues that are recorded based upon established billing rates less allowances for contractual adjustments. Revenues are recorded during the period the healthcare services are provided, based upon the estimated amounts due from the patients and third-party payers. Third-party payers include federal and state agencies (under the Medicare and Medicaid programs) and other third-party payers such as health maintenance organizations, preferred provider organizations, and other private insurers. The amounts received from these third-party payers are generally less than the Company's

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

established billing rates. Accordingly, the revenues reported in the Company's consolidated financial statements are recorded at the net amount that is expected to be received. The Company derives a significant portion of its revenues from Medicare, Medicaid, and other payers that receive discounts from its established billing rates. The Company must estimate the total amount of these discounts to prepare its consolidated financial statements. The Medicare and Medicaid regulations and the various managed care contracts under which these discounts must be calculated are complex and are subject to interpretation and adjustments. The Company estimates the allowance for contractual discounts on a payer-specific basis given its interpretation of the applicable regulation or contractual terms. As a result, there is a possibility that the recorded estimates could change by a material amount.

Patients treated at hospitals for nonelective care, who have income below certain amounts that are based on federal poverty level guidelines, are eligible for charity care. Charity care, based on our cost of service, totaled \$13.2 million and \$0.9 million for the years ended December 31, 2010 and 2009, respectively. The federal poverty level is established by the federal government and is based on income and family size. We provide discounts to uninsured patients who do not qualify for Medicare or Medicaid or charity care. These discounts are similar to discounts provided to many local managed care plans and totaled \$3.5 million and \$0.1 million for the years ended December 31, 2010 and 2009, respectively. In implementing our discount policy, we first attempt to qualify uninsured patients for Medicare or Medicaid, other federal or state assistance, or charity care. If an uninsured patient does not qualify for these programs, the uninsured discount is applied.

We are required to meet certain financial reporting requirements to participate in the Medicare and Medicaid programs. Federal regulations require the submission of annual cost reports covering medical cost and expenses associated with the services provided by the hospital to program beneficiaries. Cost report settlements under reimbursement agreements with Medicare and Medicaid are estimated and recorded in the period the related services are rendered and are adjusted in future periods as final settlements are determined. There is a possibility that the recorded estimates could change by a material amount. The adjustments to estimated reimbursement amounts in 2010 increased revenues \$0.6 million; these adjustments were related to settlements and changes in estimates for reserves related to previously filed cost reports. There were no adjustments recorded to net revenues in 2009 as prior year cost report settlements were the responsibility of the previous owners. The net estimated cost report settlement due from the Company as of December 31, 2010 and 2009 is included in accounts receivable in the accompanying consolidated balance sheets and was approximately \$2.3 million and \$0.3 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Laws and regulations governing Medicare and Medicaid programs are complex and subject to interpretation. The Company believes that it is in compliance with all applicable laws and regulations and is not aware of any pending or threatened investigation involving allegations of potential wrongdoing that would have a material effect on the Company's consolidated financial statements. Compliance with such laws and regulations can be subject to future government review and interpretation as well as significant regulatory action including but not limited to fines, penalties, and exclusion from the Medicare and Medicaid programs.

During the years ended December 31, 2010 and 2009, approximately 51.3% and 45.4%, respectively, of the Company's revenues related to patients participating in the Medicare and Medicaid programs, collectively. The Company's management recognizes that revenues and receivables from government agencies are significant to the Company's operations, but it does not believe that there are significant credit risks associated with these government agencies. The Company's management does not believe that there are any other significant concentrations of revenues from any particular payor that would subject the Company to any significant credit risks in the collection of its accounts receivable.

Cash and Cash Equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents. Cash and cash equivalents consist of cash on hand, money market funds, and a certificate of deposit in the amount of \$1.0 million and \$0.4 million at December 31, 2010 and 2009, respectively, that served as collateral for the Company's workers' compensation program, which has been classified as restricted cash on the consolidated balance sheets. The Company places its cash in financial institutions that are federally insured.

Accounts Receivable and Allowance for Doubtful Accounts

The Company receives payments for services rendered from federal and state agencies (under the Medicare and Medicaid programs), third-party payers, and patients. The Company's ability to collect outstanding receivables is critical to its results of operations and cash flows. To provide for accounts receivable that could become uncollectible in the future, the Company establishes an allowance for doubtful accounts to reduce the carrying value of such receivables to their estimated net realizable value.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company has a process to determine the adequacy of the allowance for doubtful accounts that relies on a number of analytical tools and benchmarks to arrive at a reasonable allowance. No single statistic or measurement determines the adequacy of the allowance for doubtful accounts. Some of the tools that the Company utilizes include, but are not limited to, historical cash collections experience and revenue trends by payer classification. Accounts are written off when all reasonable internal and external collection efforts have been exhausted.

At December 31, the mix of gross receivables from patients and third-party payers is as follows:

	<u>2010</u>	<u>2009</u>
Medicare	29%	23%
Medicaid	11	10
Managed care organizations	28	31
Other	10	9
Self-pay	22	27
	<u>100%</u>	<u>100%</u>

Inventories

Inventory is carried at the lower of cost or market and consists mainly of drugs and medical supplies. Cost is determined based on the first-in, first-out method.

Property and Equipment

Property and equipment are recorded at cost. Property and equipment acquired in connection with a business combination are recorded at their estimated fair value in accordance with the acquisition method of accounting as prescribed in Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 805-10, *Business Combinations*. Property and equipment under capital leases are stated at the present value of minimum lease payments. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend useful lives of assets are capitalized.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Depreciation expense is computed by applying the straight-line method over the estimated useful lives of the assets. Assets held under capital leases are amortized on a straight-line basis over the shorter of the lease term or estimated useful life of the asset. The estimated useful life of buildings and improvements generally range from 10 to 40 years, while the estimated useful lives of furniture and equipment range from 3 to 10 years. Total depreciation (including amortization of capital leases) for the years ended December 31, 2010 and 2009 was \$13.9 million and \$11.2 million, respectively.

The Company is obligated under capital leases covering certain buildings and equipment. At December 31, the gross amount of buildings and equipment and related accumulated amortization recorded under capital leases were as follows (in millions):

	<u>2010</u>	<u>2009</u>
Buildings and improvements	\$ 1.7	\$ 1.7
Equipment	3.4	3.4
	<u>5.1</u>	<u>5.1</u>
Accumulated amortization	(2.2)	(1.2)
	<u>\$ 2.9</u>	<u>\$ 3.9</u>

Long-lived Assets

When events, circumstances, or operating results indicate the carrying values of certain long-lived assets and related identifiable intangible assets (excluding goodwill and indefinite-lived intangibles) expected to be held and used might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the years ended December 31, 2010 and 2009.

Income Taxes

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of items that have been included in the consolidated financial statements. Deferred tax assets and liabilities are determined by the differences between the consolidated financial statements and

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax assets will not be realized.

The Company recognizes a tax benefit for uncertain tax positions if the Company believes it is more likely than not that the position will be upheld on audit based solely on the technical merits of the tax position. The Company evaluates uncertain tax positions after consideration of all available information. As of December 31, 2010 and 2009, the Company had no tax benefit for uncertain tax positions. Interest and penalties associated with uncertain tax positions, if any, are recorded within interest expense and income tax expense, respectively.

Amortizable Intangibles

Amortizable intangible assets include covenants not to compete. The agreements not to compete are amortized over the terms of the agreements using the straight-line method.

Goodwill and Indefinite-lived Intangible Assets

Goodwill is the excess of the purchase price over the fair value of identifiable assets acquired. Under FASB ASC Topic 350, *Intangibles – Goodwill and Other*, goodwill and intangibles with indefinite lives are not amortized, but tested for impairment annually or more frequently if certain indications of impairment arise.

Goodwill impairment is determined using a two-step test. The first step is to identify if a potential impairment exists by comparing the fair value of the reporting unit with its carrying amount, including goodwill. If the fair value of the reporting unit exceeds its carrying amount, goodwill is not considered to have a potential impairment and the second step of the impairment test is not required. However, if the carrying amount of the reporting unit exceeds its fair value, the second step is performed to determine if goodwill is impaired and to measure the amount of impairment loss to be recognized, if any. The second step compares the implied fair value of goodwill with the carrying amount of goodwill. If the implied fair value of goodwill exceeds its carrying amount, then goodwill is not considered impaired. However, if the carrying amount of goodwill exceeds its implied fair value, an impairment loss is recognized for any excess of the

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

carrying amount of the reporting unit's goodwill over the implied fair value of that goodwill. The implied fair value of goodwill is determined by allocating the fair value of the reporting unit in a manner similar to a purchase price allocation and the residual fair value after this allocation is the implied fair value of the reporting unit goodwill. Fair value of the reporting unit is estimated based upon internal evaluations of the related long-lived assets for each reporting unit that include quantitative analyses of revenues and cash flows. The Company has identified the reporting unit at the joint venture level. The Company determined that there are no goodwill impairment indicators during the years ended 2010 and 2009.

The Company's total cumulative amortizable goodwill for tax purposes was \$16.4 million and \$7.3 million as of December 31, 2010 and 2009, respectively. The goodwill expected to be deductible for tax purposes is limited to the Company's ownership interest in the respective joint venture to which the remaining amortizable goodwill is assigned.

Other Accrued Expenses

Other accrued expenses consist of the following (in millions):

	2010	2009
Health benefits	\$ 1.9	\$ 0.5
Workers' compensation	0.6	0.5
Taxes other than income	3.0	2.4
Physician recruitment	1.7	0.4
Other	1.5	2.1
	<u>\$ 8.7</u>	<u>\$ 5.9</u>

Professional and General Liability

Our professional and general liability insurance is provided by a commercial carrier. The policy is a claims made policy and has limits of \$1.0 million per claim and \$3.0 million annual aggregate for medical professional liability claims and \$1.0 million per occurrence and \$3.0 million annual aggregate for general liability claims. The deductible is \$0.1 million per occurrence. In addition to the primary coverage, umbrella and excess coverage is maintained with shared limits of \$15.0 million. Reserves are established for estimates of loss that will

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

ultimately be incurred on claims that have been reported but not paid and claims that have been incurred but not reported. These reserves are established based on consultation with independent actuaries. Management believes the use of actuarial methods to account for these reserves provides a consistent and effective way to measure these accruals. However, recorded reserves could differ from ultimate costs related to these claims. The reserve for professional and general liability was \$1.0 million at December 31, 2010. No reserve was considered necessary at December 31, 2009.

Self-Insured Liabilities

The Company is self-insured for substantially all of the medical benefits of its employees. The reserve for medical benefits reflects known claims and an estimate of incurred but not reported claims based upon an actuarial analysis as of the balance sheet date. The reserve for self-insured medical benefits was \$1.9 million and \$0.5 million at December 31, 2010 and 2009, respectively.

The Company is self-insured for workers' compensation claims with a stop loss limit of \$250,000 per occurrence. Estimated liabilities for workers' compensation claims were \$0.6 million and \$0.5 million at December 31, 2010 and 2009, respectively.

Noncontrolling Interest

Noncontrolling interests represent the portion of equity in a subsidiary not attributable, directly or indirectly, to us. The Company's accompanying consolidated financial statements include all assets, liabilities, revenues, and expenses at their consolidated amounts, which include the amounts attributable to the Company and the noncontrolling interest. The Company recognizes as a separate component of equity and earnings the portion of income or loss attributable to noncontrolling interests based on the portion of the entity not owned by the Company.

Equity-Based Compensation

We account for equity-based compensation in accordance with FASB ASC Topic 718, *Compensation – Stock Compensation*. ASC Topic 718 requires the Company to recognize compensation expense for all equity-based awards granted to employees, using a fair value measurement method. Under the fair value method, the estimated fair value of awards that are expected to vest is recognized over the requisite service period, which is generally the vesting period. The Company estimates grant date fair value using the Black-Scholes-Merton option pricing model.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Recently Issued Accounting Pronouncements

In August 2010, the FASB issued Accounting Standards Update (ASU) 2010-24, *Health Care Entities (Topic 954), Presentation of Insurance Claims and Related Insurance Recoveries*. ASU 2010-24 provides clarification to health care companies on the accounting for professional liability insurance. ASU 2010-24 states that receivables related to insurance recoveries should not be netted against the related claim liability and the claim liability should be determined without considering insurance recoveries. ASU 2010-24 is effective January 1, 2011 for the Company. The adoption of ASU 2010-24 is not expected to impact the Company's consolidated results of operations and consolidated financial position.

In August 2010, the FASB issued ASU 2010-23, *Health Care Entities (Topic 954), Measuring Charity Care for Disclosure*. ASU 2010-23 standardized the basis of disclosure of charity care and requires health care companies to use their direct and indirect costs of providing charity care as the measurement basis of charity care disclosures. ASU 2010-23 is effective January 1, 2011 for the Company. The adoption of ASU 2010-23 is not expected to impact the Company's consolidated results of operations and consolidated financial position.

In January 2010, the FASB issued ASU 2010-06, *Improving Disclosures about Fair Value Measurements*, amending ASC Topic 820, *Fair Value Measurements and Disclosures*, to increase disclosure requirements regarding recurring and nonrecurring fair value measurements. The Company adopted ASU 2010-06 for the period ended December 31, 2010, except for the disclosures about activity in Level 3 fair value measurements, which will be effective for the Company's fiscal year beginning January 1, 2011. The adoption of ASU 2010-06 did not have a material impact on the Company's financial statements and is not expected to have a material impact on the Company's financial statements once fully implemented.

On January 1, 2010, the Company adopted ASU 2010-17, *Improvements to Financial Reporting by Enterprises involved with Variable Interest Entities*, which changes how a reporting entity determines when an entity that is insufficiently capitalized or is not controlled through voting (or similar rights) should be consolidated. The determination of whether a reporting entity is required to consolidate another entity is based on, among other factors, the other entity's purpose and design and the reporting entity's ability to direct the activities of the other entity that most significantly impact the other entity's economic performance.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions

On April 15, 2010, the Company, through a joint venture with THR (Sherman Joint Venture), purchased a hospital in Sherman, Texas (The Wilson N. Jones Memorial Hospital) for approximately \$75.0 million, including acquisition-related costs of \$0.1 million, which were expensed in the period. The hospital is licensed for 241 beds. The Company, through a wholly owned subsidiary, contributed cash of \$17.2 million for a 49.9% interest in the Sherman Joint Venture. The Sherman Joint Venture borrowed \$49.6 million of the purchase price from LHP Operations Co., LLC (a wholly owned subsidiary of LHP) consisting of a \$30.0 million senior secured note and a \$19.6 million junior subordinate convertible note. The Sherman Joint Venture committed to invest \$25.0 million in capital improvements in the hospital over the next four years. Purchase price amounts have been allocated to the related assets acquired and liabilities assumed based upon their respective fair values, as determined by an independent third-party valuation using a combination of the cost and market approaches. As of December 31, 2010, the amount of the purchase price paid in excess of the fair value of identifiable net assets acquired that is recorded as goodwill is approximately \$7.9 million. The Sherman Joint Venture is consolidated in accordance with FASB ASC Topic 805-10. The results of operations have been included in the Company's consolidated statements of operations beginning April 15, 2010.

The fair value of the 50.1% noncontrolling interest in the Sherman Joint Venture is estimated to be \$8.2 million and was based on an independent third-party valuation using a combination of the cost and market approaches.

The Company recognized \$0.1 million in acquisition-related costs that were expensed in 2010 and are included in the consolidated statements of operations as other operating expenses.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The table below summarizes the fair values of the assets acquired and liabilities assumed from the above acquisition transactions (in millions):

Assets:	
Cash	\$ 1.3
Accounts receivable	10.4
Inventories	3.0
Other current assets	1.3
Property and equipment	57.1
Investments in affiliates	0.1
Notes receivable	0.8
Total identifiable assets acquired	74.0
Liabilities:	
Accounts payable	3.8
Accrued liabilities	2.6
Notes payable	0.3
Noncontrolling interest	0.3
Total liabilities assumed	7.0
Net identifiable assets acquired	67.0
Goodwill	7.9
Net assets acquired	\$ 74.9

On February 1, 2009, the Company acquired a 77% interest in a joint venture (Pocatello Joint Venture) formed to acquire, own, and operate a hospital (Portneuf Medical Center) in Pocatello, Idaho, and to develop a replacement hospital. The hospital is licensed for 250 beds. The Company, through a wholly owned subsidiary, contributed cash of \$45.0 million and a promissory note for approximately \$141.0 million to the joint venture in exchange for a 77% interest in the joint venture. Purchase price amounts have been allocated to the related assets acquired and liabilities assumed based upon their respective fair values. As of December 31, 2010, the amount of the purchase price paid in excess of the fair value of identifiable net assets acquired that is recorded as goodwill is approximately \$6.8 million. The results of operations have been included in the Company's consolidated statements of operations beginning February 1, 2009.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The fair value of the 23% noncontrolling interest in the Pocatello Joint Venture is estimated to be \$55.7 million and was based on an independent third-party valuation using a combination of the cost and market approaches.

The Company recognized \$15.0 million in acquisition-related costs that were expensed in 2009 and are included in the consolidated statements of operations as other operating expenses.

As part of the initial acquisition of the hospital in Pocatello, Idaho, the Company acquired a 20.1% equity investment in Rocky Mountain Surgery Center, LLC. Effective August 1, 2009, the Company acquired all of the assets in Rocky Mountain Surgery Center in exchange for \$6.9 million in cash and a noncontrolling interest in a subsidiary of the Pocatello Joint Venture, with a value of \$0.7 million. The acquisition date fair value of the previous equity interest was \$1.5 million, and was included in the measurement of the consideration transferred. The Company recognized a gain of \$0.4 million as a result of remeasuring its equity interest in Rocky Mountain Surgery Center prior to the business combination. The gain is included in gain on sale of assets in the accompanying consolidated statement of operations. The Company accounted for this transaction under the business combination achieved in stages, in accordance with FASB ASC Topic 805-10. The amount of goodwill assigned to the acquisition of Rocky Mountain Surgery Center was \$7.5 million.

The operating results of the foregoing acquisitions have been included in the consolidated statements of operations from their respective dates of acquisition. The table below summarizes the operating results for the acquisition that closed during their respective years (in millions):

	2010	2009
Net revenues	\$ 97.5	\$ 161.9
Net income	0.1	5.8

The following represents the unaudited pro forma consolidated statements of operations as if the acquisitions above had been included in the consolidated results of the Company for the entire years ended December 31, 2010 and 2009 (in millions):

	2010	2009
Net revenues	\$ 330.5	\$ 314.2
Net income (loss)	3.7	(19.3)

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

Additionally, during 2010, the Company paid approximately \$3.8 million to acquire the operating assets and related businesses of certain physician practices and other ancillary businesses that operate within the communities served by its hospitals. In connection with these acquisitions the Company allocated approximately \$1.5 million to identifiable assets acquired and the remaining \$2.3 million was allocated to goodwill.

In October 2009, the Company issued additional noncontrolling interests in a subsidiary of the Pocatello Joint Venture to members of the hospital's medical staff in exchange for cash of \$2.9 million.

4. Goodwill and Other Intangible Assets

The acquired intangible assets subject to amortization relate to covenants not to compete, which have useful lives ranging from two to five years. At December 31, the gross carrying amount and accumulated amortization are as follows (in millions):

	2010	2009
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 1.0	\$ 0.6
Less accumulated amortization	0.3	0.1
Net amortizable intangible assets	\$ 0.7	\$ 0.5
Indefinite-lived intangible assets:		
Trade name	\$ 0.1	\$ 0.1

Amortization expense was \$0.2 million and \$0.1 million for the years ended December 31, 2010 and 2009, respectively. Future amortization of intangible assets is as follows (in millions):

2011	\$ 0.5
2012	0.1
2013	0.1

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Changes in the value of goodwill were as follows:

Balance at January 1, 2009	\$	–
Goodwill acquired		14.3
Balance at December 31, 2009		14.3
Goodwill acquired		10.2
Balance at December 31, 2010	\$	<u>24.5</u>

5. Stockholders' Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2010, LHP has 2,000 shares of preferred stock authorized with 387.1 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions in preference to holders of the common stock. Holders of the preferred stock are first entitled to receive an annual special dividend equal to 30% of 1% of the aggregate amount of capital contributions made by CCMP Capital Advisors, LLC (CCMP) and the CPP Investment Board (CPPIB) in Legacy Hospital Partners (Holdings), LLC; the annual special dividend is not payable, however, until LHP attains positive earnings (as defined in LHP's Restated Certificate of Incorporation) for the fiscal year. Next, the holders of the preferred stock are entitled to receive a dividend accruing daily at the rate of 8% per annum of the original cost of each share of preferred stock. Finally, holders of the preferred stock are entitled to receive a return of an amount equal to the original cost of such preferred stock prior to the making of any payments to holders of the common stock.

As of December 31, 2010, the total cumulative annual dividends were \$0.9 million or \$2,265 per share and the 8% cumulative dividends were \$13.3 million or \$34,456 per share. As of December 31, 2009, the total cumulative dividends were \$0.3 million or \$1,489 per share and the 8% cumulative dividends were \$7.3 million or \$32,810 per share.

Common Stock

As of December 31, 2010, LHP has 1,000 shares of common stock authorized with no shares issued and outstanding.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

6. Equity-Based Compensation

In 2009, LHP adopted an equity incentive plan (the Plan) pursuant to which the Company's Board of Directors may grant stock options, restricted stock, or stock units (Awards) to employees, consultants, and directors of the Company and its subsidiaries. The Plan authorizes grants of up to 1,000,000 shares of authorized but unissued common stock. Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 100% of the fair market value of the common stock on the date of grant. In the case of any person who at the time of grant possesses more than 10% of the total combined voting power of all classes of stock of the Company, Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 110% of the fair market value of the common stock. All Awards generally vest over a four-year period. As of December 31, 2010, LHP had granted 17,375 shares of restricted stock to certain employees with a fair value on the date of grant of \$1.00 per share.

A summary of the status of the Company's restricted stock as of December 31, 2010, and changes during the year ended December 31, 2010, is presented below:

	<u>Units</u>	<u>Weighted-Average Grant-Date Fair Value</u>
Nonvested at January 1, 2010	—	\$ —
Granted	17,375	1
Vested	<u>8,846</u>	1
Nonvested at December 31, 2010	<u>8,529</u>	1

The total fair value of restricted stock units that vested during the year ended December 31, 2010 was \$8,846.

As of December 31, 2010, there was \$8,529 (pretax) of unrecognized equity-based compensation expense related to the Company's equity incentive plan. The unrecognized expense amount is expected to be recognized over a weighted-average period of 2.5 years.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt

At December 31, the Company's long-term debt consists of the following (in millions):

	2010	2009
Term loan	\$ 44.7	\$ —
Revolving line of credit	10.0	—
Note payable	1.8	1.9
Other	0.2	—
	56.7	1.9
Less current portion	10.5	—
	\$ 46.2	\$ 1.9

On April 15, 2010, LHP Operations Co., LLC (the Borrower) entered into a Credit Agreement with a group of four financial institutions (the Lenders). The Credit Agreement consists of three components: (a) \$45,000,000 Term Loan, (b) \$15,000,000 Revolving Line of Credit, and (c) \$100,000,000 of Additional Facilities.

Term Loan

The Term Loan matures on October 15, 2013. Beginning June 30, 2010, the Term Loan requires quarterly payments of principal in the amount of \$112,500 on the last day of each quarter. The balance must be repaid in full on October 15, 2013. Interest on the Term Loan consists of a Base Rate, which fluctuates based upon market interest rates, plus an Applicable Margin. At the Borrower's option, the Base Rate can be tied to either the Prime Rate or the London Inter-Bank Offer Rate (LIBOR). If the Base Rate is tied to the Prime Rate, the Applicable Margin is 3.5% per year. If the Base Rate is tied to LIBOR, the Applicable Margin is 4.5% per year. Interest on the Term Loan is due monthly if the Base Rate is tied to the Prime Rate and at the end of the LIBOR contract term if the Base Rate is tied to LIBOR. To date, the Company has elected either 30-day or 90-day LIBOR as the Base Rate. Base rates have ranged from 0.24860% to 0.35219% (0.28438% at December 31, 2010).

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt (continued)

The Term Loan is secured by all of the property owned on the date of the loan or at any time in the future of the Borrower, all wholly owned subsidiaries of the Borrower (including units of interest in joint venture companies owned, or to be owned by the Borrower and its subsidiaries), and Sherman/Grayson Health System, LLC and its subsidiaries. The Term Loan is not secured by the assets of the joint venture companies other than Sherman/Grayson Health System, LLC and its subsidiaries.

The Term Loan contains various customary operating and financial covenants. The financial maintenance covenants establish a consolidated leverage ratio, a senior leverage ratio, and a fixed charge ratio. Management believes it is in compliance with all debt covenants as of December 31, 2010.

Revolving Line of Credit

The Revolving Line of Credit can be accessed and repaid by the Borrower at any time during the term of the Term Loan. The unused portion of the Revolving Line of Credit is subject to an unused commitment fee equal to 1% per year. At December 31, 2010, \$10,000,000 had been drawn on the Revolving Line of Credit.

Interest on loans under the Revolving Line of Credit is calculated separately from interest on the Term Loan; however, the terms under which interest is calculated on the Term Loan apply equally to loans under the Revolving Line of Credit. Similarly, loans under the Revolving Line of Credit are secured by the same property that secures the Term Loan and are subject to the same operating and financial covenants that apply to the Term Loan.

Additional Facilities

Subject to the terms and conditions of the Credit Agreement, the Borrower may, from time to time, request the Lenders to provide Additional Facilities up to \$100,000,000. In order to access the Additional Facilities, the Borrower must be in compliance with the operating and financial covenants and not be in default under the Credit Agreement. Additionally, until the Borrower's trailing twelve months earnings before income tax, depreciation and amortization exceed \$50,000,000, and the Borrower's consolidated debt must be less than 45% of total invested capital.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt (continued)

Interest on the Additional Facilities consists of a Base Rate, which fluctuates based upon market interest rates, plus an Applicable Margin. At the Borrower's option, the Base Rate can be tied to either the Prime Rate or LIBOR. The Applicable Margin will be determined at the time the Additional Facilities are accessed by the Borrower; however, the Applicable Margin on the Additional Facilities cannot exceed the Applicable Margin on Term and Revolving Credit Loans by more than 25 basis points. Interest on the loans under the Additional Facilities will be due monthly if the Base Rate is tied to the Prime Rate and at the end of the LIBOR contract term if the Base Rate is tied to LIBOR. At December 31, 2010, no amounts had been borrowed under the Additional Facilities provision.

Note Payable

In connection with the Company's acquisition of an interest in the Pocatello Joint Venture, the Company acquired a note payable for land in the amount of \$1.9 million. As of December 31, 2010 and 2009, approximately \$1.8 million and \$1.9 million, respectively, is recorded in long-term debt. The note bears an interest rate of 8% with quarterly principal and interest payments and matures September 2027.

The Company had net debt issue costs of \$1.6 million as of December 31, 2010, which is recorded in other assets in the accompanying consolidated balance sheet. These costs are being amortized using the effective interest method over the life of the related debt. Amortization of debt issue cost was \$0.4 million for the year ended December 31, 2010.

Future maturities of long-term debt for each of the following five years and thereafter are as follows (in millions):

2011	\$	10.7
2012		0.5
2013		43.8
2014		0.1
2015		0.1
Thereafter		1.5
	\$	<u>56.7</u>

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

8. Retirement Plan

The Company has a contributory benefit plan that is available to employees who meet certain minimum requirements. In 2010, the plan required the Company to match 100% of a participant's contributions up to the first 3% of the participant's compensation. In 2009, the plan required an additional contribution of 50% of the participant's contributions up to the next 6% of the participant's compensation. The Company recorded contribution expense of \$2.2 million for each of the years ended December 31, 2010 and 2009.

9. Transactions With Related Parties

In connection with the Sherman Joint Venture, the hospital pays monthly administrative and royalty fees to THR in an amount equal to 1% of net revenue each month. As of December 31, 2010, \$1.0 million in fees have been recorded as management fees in the Company's consolidated statement of operations. At December 31, 2010, the Company has recorded a payable to THR in the amount of \$0.1 million.

10. Income Taxes

For the years ended December 31, 2010 and 2009, the differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the income (loss) before income taxes are as follows:

	<u>2010</u>	<u>2009</u>
Income tax provision (benefit):		
Provision (benefit) at federal statutory rate	35.0%	(35.0%)
State income tax provision (benefit), net of federal tax effect at statutory rates	12.9	(5.1)
Permanent differences	(3.9)	-
Increase (decrease) in valuation allowance	(14.3)	42.0
Other	0.2	-
Effective income tax rate	<u>29.9%</u>	<u>1.9%</u>

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

At December 31, the sources of the deferred tax assets are as follows (in millions):

	<u>2010</u>	<u>2009</u>
Accrued incentive plan	\$ 0.3	\$ 0.1
Deferred compensation	0.1	0.1
Accrued medical and dental	0.7	0.3
Federal net operating loss carryforward	–	1.2
Workers' compensation	0.3	0.2
Partnership basis-investment in the Pocatello Joint Venture	9.3	9.6
Total deferred tax asset	<u>10.7</u>	<u>11.5</u>
Valuation allowance	<u>(10.7)</u>	<u>(11.5)</u>
Net deferred tax asset	<u>\$ –</u>	<u>\$ –</u>

At December 31, 2010, LHP has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax assets will not be realized.

Tax expense recognized in 2010 pertains to current tax expense. LHP has no federal or state net operating loss carryforwards nor tax credits at December 31, 2010.

The only periods subject to examination for the Company's federal tax returns are the 2008 and 2009 tax years.

11. Leases

The Company leases real estate, buildings, vehicles, and equipment under cancelable and noncancelable leases. The leases expire at various times and have various renewal options. For certain leases that meet the lease capitalization criteria in accordance with FASB ASC Topic 840-10, *Leases*, assets have been recorded at their fair value at the date of acquisition and liabilities at the net present value of the minimum lease payments at the inception of the lease. Rental expense on operating leases for the years ended December 31, 2010 and 2009 was \$9.3 million and \$4.7 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

11. Leases (continued)

Future minimum lease payments at December 31, 2010, are as follows (in millions):

	Operating Leases	Capital Lease Obligations	Total
2011	\$ 5.2	\$ 0.4	\$ 5.6
2012	4.1	0.3	4.4
2013	3.3	0.3	3.6
2014	2.6	0.2	2.8
2015	2.1	0.2	2.3
Thereafter	9.5	2.4	11.9
	<u>\$ 26.8</u>	<u>3.8</u>	<u>\$ 30.6</u>
Less imputed interest portion Obligations under capital leases		<u>(1.8)</u>	
		<u>\$ 2.0</u>	

12. Fair Value of Financial Instruments

Fair value accounting includes a framework for measuring fair value, which is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (exit price). It also includes disclosures about fair value measurements which prioritize the inputs to valuation techniques used to measure fair value into a fair value hierarchy.

The classification of a financial instrument within the valuation hierarchy is based upon the transparency of inputs to the valuation of an asset or liability on the measurement date. The three levels of the hierarchy in order of priority of inputs to the valuation technique are defined as follows:

Level 1: Observable quoted market prices in active markets for identical assets or liabilities.

Level 2: Observable inputs other than Level 1, such as quoted prices in active markets for similar assets or liabilities, quoted prices for identical or similar assets or liabilities in markets that are not active, or inputs other than quoted prices that are observable for the asset or liability.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

12. Fair Value of Financial Instruments (continued)

Level 3: Unobservable inputs for the asset or liability that are significant to the fair value of the assets or liabilities.

The Company utilizes the best available information in measuring fair value. The following table summarizes the valuation of the Company's assets and liabilities measured at fair value on a recurring basis for the years ended December 31, 2010 and 2009 (in millions):

	Fair Value Measurements			Assets/ Liabilities at Fair Value
	Level 1	Level 2	Level 3	
December 31, 2010:				
Assets:				
Cash and cash equivalents, including restricted cash	\$ 23.5	\$ -	\$ -	\$ 23.5
Liabilities	\$ -	\$ -	\$ -	\$ -

	Fair Value Measurements			Assets/ Liabilities at Fair Value
	Level 1	Level 2	Level 3	
December 31, 2009:				
Assets:				
Cash and cash equivalents, including restricted cash	\$ 17.9	\$ -	\$ -	\$ 17.9
Liabilities	\$ -	\$ -	\$ -	\$ -

Cash and cash equivalents, including restricted cash

Cash and cash equivalents include all cash balances and highly liquid investments with initial maturities of three months or less. The carrying value approximates fair value because of the short-term maturity of these investments.

Nonrecurring Measurements

In 2010, the Company purchased a hospital in Sherman, Texas. The assets acquired and liabilities assumed were measured at fair value using Level 3 inputs. See further discussion in Note 3.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

12. Fair Value of Financial Instruments (continued)

Fair Value Disclosures

The Company currently has no other financial instruments subject to fair value measurement on a recurring basis. Disclosures about fair value of financial instruments require disclosure of fair value information about those financial instruments, whether or not recognized in the balance sheet, but would be practicable to estimate that value. Management believes the carrying value of accounts receivable, other receivables, accounts payable, and accrued expenses approximates fair value due to their short-term maturity. Management believes the fair value of notes receivable approximates fair value based on current interest rate assumptions and remaining term to maturity. Management believes the carrying amounts of the Company's long-term debt (including current portion) approximates fair value due to the floating interest rates associated with the debt agreements.

13. Guarantees

The Company has entered into agreements whereby it has guaranteed certain loans entered into by patients for whom services were performed at our facilities in Idaho. These loans are provided by various financial institutions who determine whether the loans are made. The Company would be obligated to repay the financial institutions if a patient fails to repay his or her loans. The Company could then pursue collections from the patient. We record a reserve for estimated defaults on these loans at the historical default rates, which at December 31, 2010, were approximately 22%. Loans subject to guarantees totaled \$1.4 million and \$2.2 million for the years ended December 31, 2010 and 2009, respectively.

14. Regulatory Matters

All healthcare providers are required to comply with a significant number of laws and regulations at the federal and state government levels. These laws are extremely complex, and in many instances, providers do not have the benefit of significant regulatory or judicial interpretation as to how to interpret and/or apply these laws and regulations. The U.S. Department of Justice and other federal and state agencies are increasing resources dedicated to regulatory investigations and compliance audits of healthcare providers. As a healthcare provider, the Company is subject to these regulatory efforts. Healthcare providers that do not comply with these laws and regulations may be subject to civil or criminal penalties, the loss of their licenses, or restrictions on their ability to participate in various federal and state healthcare programs. We endeavor to conduct our business in compliance with applicable laws and

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Regulatory Matters (continued)

regulations, including healthcare fraud and abuse laws. As a result of our hospitals' state licensures and certifications under the Medicare and various Medicaid programs, we are subject to regular reviews, surveys, audits, and investigations conducted by, or on behalf of, federal and state agencies, including the Centers for Medicare & Medicaid Services (CMS), which are responsible for the oversight of these programs. These agencies' reviews may include reviews or surveys of our compliance with required conditions of participation regulations. The purpose of these surveys is to ensure that healthcare providers are in compliance with governmental requirements, including requirements such as adequacy of medical care, equipment, personnel, operating policies and procedures, maintenance of adequate records, compliance with building codes and environmental protection, and healthcare fraud and abuse. These surveys may identify deficiencies with conditions of participation which require corrective actions to be made by the hospital within a given time frame. If a hospital is not successful in addressing the deficiencies and conditions in a timely manner, CMS reserves the right to deem the hospital to be out of compliance with Medicare conditions of participation and may terminate the hospital from participation in the Medicare program. Termination of a hospital from the Medicare program would have a material adverse effect on our results of operations and cash flows.

Additionally, these agencies may review our compliance with various payment regulations and conduct audits under CMS's Recovery Audit Contractor (RAC) program. The RAC program has been made permanent and was required to be expanded broadly to healthcare providers pursuant to the Tax Relief and Health Care Act of 2006. The results of the enhanced medical necessity reviews and the RAC program audits could have an adverse effect on our business, financial position, results of operations, and liquidity. To the extent these reviews result in an adverse finding, we may contest the adverse finding vigorously; however, these matters can result in significant legal expense and consume our resources.

15. Commitments and Contingencies

Legal

The Company is, from time to time, subject to claims and suits arising in the ordinary course of business including claims for damage for personal injuries, medical malpractice, breach of contract, wrongful restriction of or interference with physicians' staff privileges, and employment related claims. In certain of these actions, plaintiffs request payment for damages, including punitive damages that may not be covered by insurance. The Company is currently not a party to any pending or threatened proceedings, which, in management's opinion, would have a material adverse effect on the Company's business, financial condition, or results of operations.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

15. Commitments and Contingencies (continued)

Physician Commitments

The Company has committed to provide certain financial assistance pursuant to recruiting agreements, or “physician minimum revenue guarantees,” with various physicians practicing in the communities it serves. In consideration for a physician relocating to our communities and agreeing to engage in private practice for the benefit of the respective community, the Company may advance funding to a physician to assist in establishing his or her practice.

The Company accounts for its physician income guarantees in accordance with the provisions of FASB ASC Topic 460-10, *Guarantees*. Under the provision of ASC Topic 460-10, the Company records a contract-based asset and a related guarantee liability for new physician minimum revenue guarantees. The contract-based asset is amortized as a component of other operating expense, in the accompanying consolidated statements of operations, over the period of the physician contract, which typically ranges from three to five years. At December 31, 2010, the maximum potential amount of future payments under our income guarantees was \$2.3 million. We have assets, net of accumulated amortization, of \$3.0 million recorded in Notes receivable and liabilities of \$1.7 million recorded for the fair value of these guarantees included in Other accrued expenses at December 31, 2010.

Capital Expenditure Commitments

On December 20, 2010, the Company entered into the formation of a joint venture with Seton Healthcare (the Harker Heights Joint Venture) to construct a new 83 licensed bed hospital in Harker Heights, Texas. The estimated cost of the project is approximately \$97.7 million and is expected to be completed during the third quarter of 2012. At December 31, 2010, the Harker Heights Joint Venture has incurred approximately \$0.7 million of costs which is included in construction in progress.

The Pocatello Joint Venture is currently building a replacement hospital and related ancillary projects in Pocatello, Idaho. The Pocatello Joint Venture has incurred approximately \$123.0 million of costs related to this project as of December 31, 2010, which is included in property and equipment in the Company’s consolidated balance sheets. At December 31, 2010, the estimated amount to complete this project was \$38.7 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

15. Commitments and Contingencies (continued)

The Sherman Joint Venture has committed to spend \$25.0 million over the next four years in capital improvements. As of December 31, 2010, the Sherman Joint Venture has incurred approximately \$1.0 million, which is included in property and equipment in the Company's consolidated balance sheets.

16. Subsequent Events

On April 1, 2011, the Harker Heights Joint Venture acquired for \$0.6 million a 67.74% interest in The Surgery Center of Temple, LLC (the ASC LLC), which owns and operates a surgery center in Temple, Texas, near the location of the new hospital to be constructed by the Harker Heights Joint Venture. Simultaneously with such acquisition, 32.26% of the interests in the ASC LLC were sold to various physicians on the active medical staff of the surgery center for \$30 thousand.

On March 22, 2011, the Company entered into a non-binding letter of intent to form a joint venture to acquire a 347 licensed bed hospital in Waterbury, Connecticut. This transaction is subject to review and approval from the appropriate regulatory bodies.

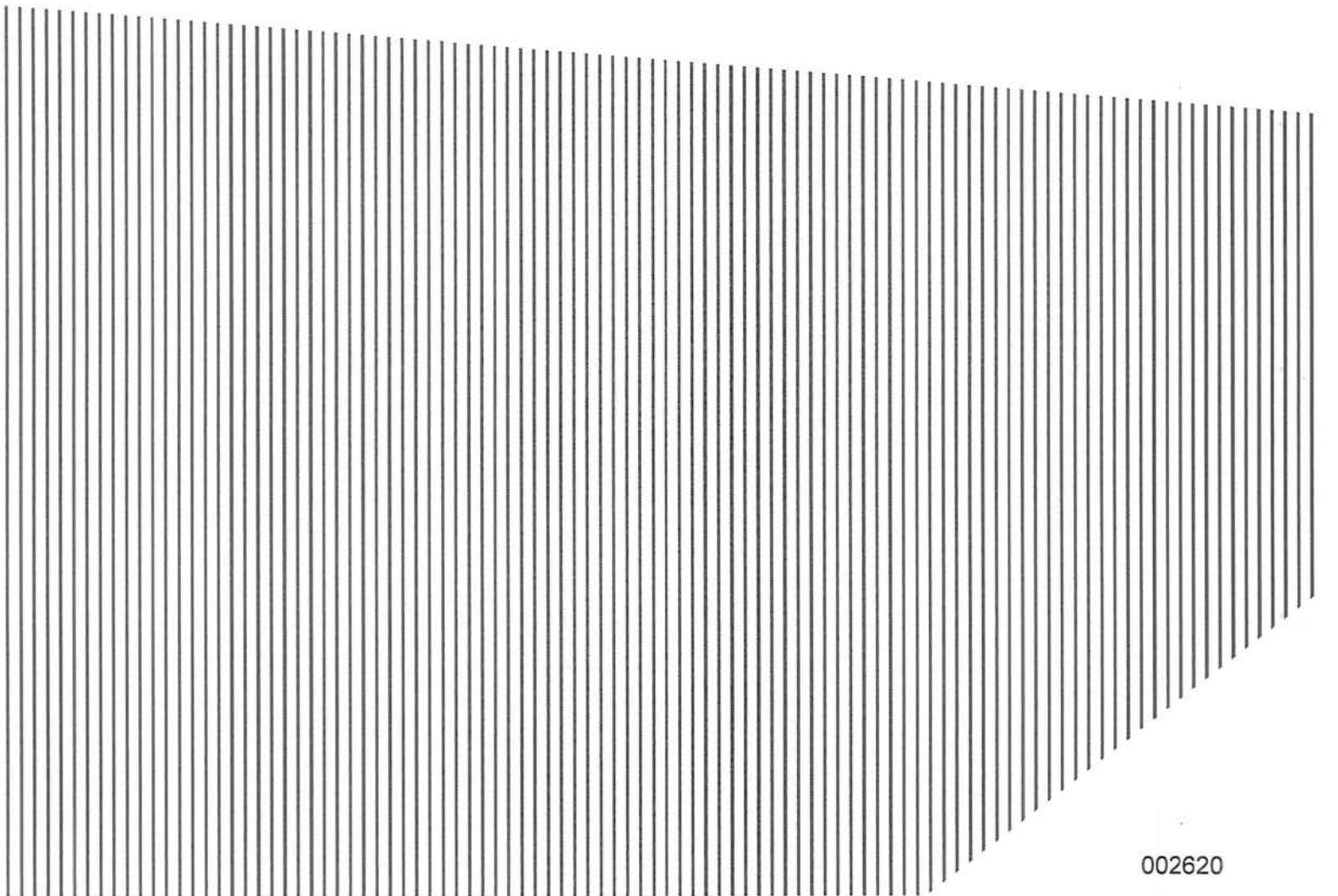
Management has evaluated events and transactions subsequent to December 31, 2010 through April 27, 2011, the date the financial statements were issued or available to be issued.

About Ernst & Young

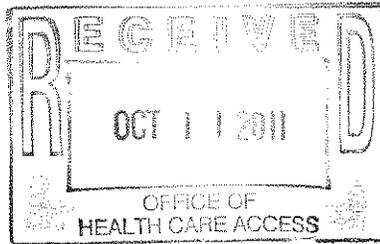
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October 11, 2011

VIA HAND DELIVERY

Office of the Attorney General
Attn.: Assistant Attorney General Gary W. Hawes
55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Commissioner of Public Health
Attn.: Melanie A. Dillon, Esq.
410 Capitol Avenue
Hartford, CT 06134

**RE: Saint Mary's Health System, Inc. / LHP Hospital Group, Inc.'s
Completeness Responses Set Two**

Dear Attorneys Hawes and Dillon:

As requested, Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. hereby submit the attached responses and additional exhibit regarding their Application for a proposed joint venture. One hard copy and one electronic copy have been hand delivered to each office.

Please date/time stamp a copy of the Responses and return it with our messenger.

If you have any questions or need anything further, please contact me at 860.509.6517. Thank you for your assistance in this matter.

Very truly yours,

BROWN RUDNICK LLP

A handwritten signature in cursive script that reads "Robert Anthony 1st/ct".

Robert J. Anthony

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**STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH**

**IN RE: SAINT MARY'S HEALTH SYSTEM, INC. :
AND LHP HOSPITAL GROUP, INC.'S :
APPLICATION FOR APPROVAL OF A JOINT :
VENTURE. : October 11, 2011**

RESPONSES TO COMPLETENESS QUESTIONS

SET TWO

Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. ("LHP") (collectively, the "Applicants") hereby respectfully submit to the Attorney General and the Commissioner of the Department of Public Health the following responses and additional exhibits regarding their application for a proposed joint venture (the "Application" or "CON").

- 1. Please state whether and, if so how, Saint Mary's considered the impact of Waterbury Hospital's potential inclusion in the joint venture on the value received from partnering with LHP. For example, has Saint Mary's considered whether 20% ownership, certain capital commitments by LHP and equal governance in a two-party joint venture with LHP have equal or greater value to Saint Mary's than 10% ownership, different capital commitments by LHP and less than equal governance of a three-party venture with LHP and Waterbury Hospital? Please provide information and documentation reflecting such consideration.**

As was recently publicized, Saint Mary's, Waterbury Hospital ("WH") and LHP have been discussing the formation of a three-party joint venture (the "three-party JV"), which would include the addition of WH as a member of the proposed joint venture limited liability company (the "LLC") between Saint Mary's and LHP. At the present time, only a non-binding Letter of Intent (the "LOI"), which outlines general principles of the three-party JV, exists between WH and the to-be-formed LLC.

Under the terms of the LOI, WH would contribute certain assets to the three-party JV and LHP would contribute cash and a commitment to fund the construction of a new facility for the three-party JV. In exchange for the contribution of its assets, WH would receive 10% of the equity of the three-party JV. LHP would maintain its 80% equity interest by contributing an additional \$108 million, which would be used in connection with the construction of a replacement hospital expected to cost approximately \$400 million. As Saint Mary's is not contributing additional assets or cash, its equity interest will be reduced to 10% in the three-party JV.

Although Saint Mary's equity ownership in the three-party JV will be 10%, it is believed that the three-party JV will create significant clinical growth opportunities as well as clinical, operational and financial synergies. Therefore, it is expected that Saint Mary's 10% interest will be of equal or greater value than its 20% interest in the LLC.

- 2. Under the current proposal, Saint Mary's will have the option to increase its ownership from 20% to 40%. Will Saint Mary's continue to have the option to increase its ownership interest in the LLC in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?**

This is one of several issues that will necessarily have to be renegotiated in order to accommodate the entry of WH into the three-party JV. It is expected that Saint Mary's will continue to have the option to increase its ownership interest in the LLC and that WH will be granted a similar option.

- 3. The LLC's board consists of ten members: five elected by Saint Mary's (the "SMH Directors") and five elected by LHP sub (the "LHP directors"), as long as Saint Mary's maintains a 20% interest in the joint venture. (App. at 59.) How will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital affect the membership of the LLC's Board of Directors?**

Under the LOI for the proposed three-party JV between LHP, Saint Mary's and WH, there will be two categories of Directors on the three-party JV Board of Directors, with each category of Directors having six members. LHP will appoint the members of one category of Directors (the "LHP Directors"), with one LHP Director selected from the active medical staff of each of WH and Saint Mary's. Saint Mary's and WH each will appoint three members of the second category of Directors (the "Saint Mary's/Waterbury Directors"). Actions requiring the approval of the three-party JV Board of Directors will be accomplished through "block voting" – i.e., such actions will require approval of both a majority of the LHP Directors and a majority of the Saint Mary's/Waterbury Directors.

4. **Please provide an updated Fairness Evaluation reflecting consideration of the impact on the value received by Saint Mary's in the two-party transaction if the joint venture is expanded to include Waterbury Hospital.**

An updated Fairness Opinion is not yet available because many fundamental terms of the three-party JV, including the final capital structure, operating plan, financial projections, and the total cost of the new facility are incomplete. However, as stated above in the response to question 1, it is believed that the three-party JV will produce significant clinical growth opportunities as well as clinical, operational and financial synergies. The principals of Hammond Hanlon Camp, LLC ("H2C"), who were formerly with Morgan Keegan & Company, Inc., have been advising Saint Mary's since the inception of the discussions with LHP and will continue to be involved in the analysis and development of the business plan and projections for the three-party JV. Once confirmatory due diligence, as well as the business plan, projections and construction costs, are completed, H2C will be prepared to issue an updated Fairness Opinion.

5. **Please provide information and documents demonstrating that Saint Mary's has evaluated LHP's capacity to fund capital improvements and meet other obligations under a three-party transaction.**

LHP has provided H2C, Saint Mary's financial advisors, documentation evidencing line of credit commitments from LHP's bank group. H2C has also reviewed documents regarding commitments from LHP's equity sponsors, as well as LHP's financial commitments to other joint ventures. H2C and Saint Mary's are comfortable that LHP has the capacity to fund its obligations to the three-party JV.

6. **Provide a copy of LHP Hospital Group, Inc.'s audited financial statements (if available) for the most recent and previous two completed fiscal years.**

The Consolidated Financial Statements for LHP for the years ended 2008, 2009 and 2010 are attached as **Exhibit 30**.

7. **What impact will the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital have on LHP's financial projections provided with respect to the current application (pages 272-274)?**

The parties have not yet prepared financial projections for the three-party JV that correspond to the financial projections for the LLC that were submitted with the Application on pages 272-274; however, the parties expect that the addition of WH to the LLC will have a materially positive impact upon such projections.

- 8. According to page 31 of the application, at the time of closing, Saint Mary's will retain approximately \$23.7 M in cash and receive a distribution from the LLC of \$ 108.0 M to be used to pay off existing debt, fund the pension's liabilities and establish the Community Foundation. Will the cash proceeds distribution from the LLC to Saint Mary's change as the result of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital?**

No, the cash proceeds distribution from the LLC to Saint Mary's are not expected to change as a result of the proposed three-party JV between LHP, Saint Mary's and WH.

- 9. In light of the representations that LHP and Saint Mary's will eventually partner with Waterbury Hospital and that a new hospital will be built, please address the following:**

- a. Will there be changes in clinical services offered by Saint Mary's that were not previously contemplated'?**

Yes; please see the response to question 9.b below.

- b. How and when will the duplication of services be addressed between the two hospitals?**

Dealing with duplication of services between the two hospitals will require a planning process that will evolve over the coming months and more work remains to be done before such plans are finalized. At this point it is anticipated that the duplication of services between Saint Mary's and WH will be addressed in three distinct phases, as follows:

Phase 1 – Services that can be consolidated onto a single campus with little or no expansion of capacity necessary on the part of the host facility. These could include services such as neonatal intensive care and open heart surgery. Sufficient capacity currently exists on either campus to consolidate the volumes of both. The consolidations in Phase 1 would begin occurring immediately following the closing of the three-party JV transaction and should be complete within the 6 – 8 months thereafter.

Phase 2 - Services that can be consolidated onto a single campus with minimal expense and minor reconfiguration of existing space. These could include services such as women's services, orthopedics, cardiac rehab, cardiovascular services, etc. These consolidations would likely begin approximately 6 months following the closing of the transaction and should be complete approximately 18 months post-closing.

Phase 3 – Total facility consolidation would occur upon the completion of construction and commissioning of the new facility.

- c. How and when will the services between the two hospitals be integrated and what services will be located at which campus'?**

Please see the response to question 9.b above.

- 10. Saint Mary's indicates on page 69 of the Application that it is implementing a \$12 million electronic medical records system. In light of the proposed joint venture among LHP, Saint Mary's, and Waterbury Hospital, will Saint Mary's be able to proceed with the development of the EMR system or will this project be delayed pending approval of the joint venture between LHP, Saint Mary's, and Waterbury Hospital as well as the proposed construction of a new hospital?**

The formation of the three-party JV will not affect Saint Mary's plan to proceed with the development and implementation of the EMR system. Saint Mary's, in cooperation with LHP, is currently completing contract negotiations with all vendors for required services to implement the EMR plan. Saint Mary's projects to be compliant with Stage 1 of the ARRA Hi-tech stimulus rules no later than December 31, 2012. Long-term plans for the Saint Mary's EMR include all steps necessary to be fully compliant with all stages of the ARRA Hi-tech stimulus requirements within the current timelines as required in order to maintain optimal Medicare reimbursement under the guidelines.

- 11. Changes to reimbursement contracts are not anticipated as a result of current proposal and the joint venture will assume all of the existing payer contracts. How will these contracts be affected by the proposed joint venture between LHP, Saint Mary's, and Waterbury?**

It is anticipated that all existing contracts at both facilities would be assumed by the three-party JV. Those contracts would continue in place until the two provider numbers are combined into a single provider number, the timing for which will depend upon the pace of the Phase 1 and 2 consolidations and the level of coordination that can be achieved between the two campuses.

- 12. Please re-affirm the LLC's commitment to continue providing health care services to the uninsured and under insured in light of the proposed joint venture between LHP, Saint Mary's, and Waterbury Hospital.**

Under the LOI for the proposed three-party JV between LHP, Saint Mary's and WH, the communities served by Saint Mary's and WH will have continued access to all services that are presently provided. In addition, the three-party JV will commit to operate in accordance with the "community benefit standards" set forth in Revenue Ruling 69-545, including, without limitation, the (i) acceptance of all Medicare and Medicaid patients, (ii) acceptance of all emergency patients without regard to ability to pay, (iii) maintenance of an open medical staff, (iv) provision of public health programs of educational benefit to the community, and (v) general promotion of public health, wellness, and welfare to the community through the provision of health care at a reasonable cost.

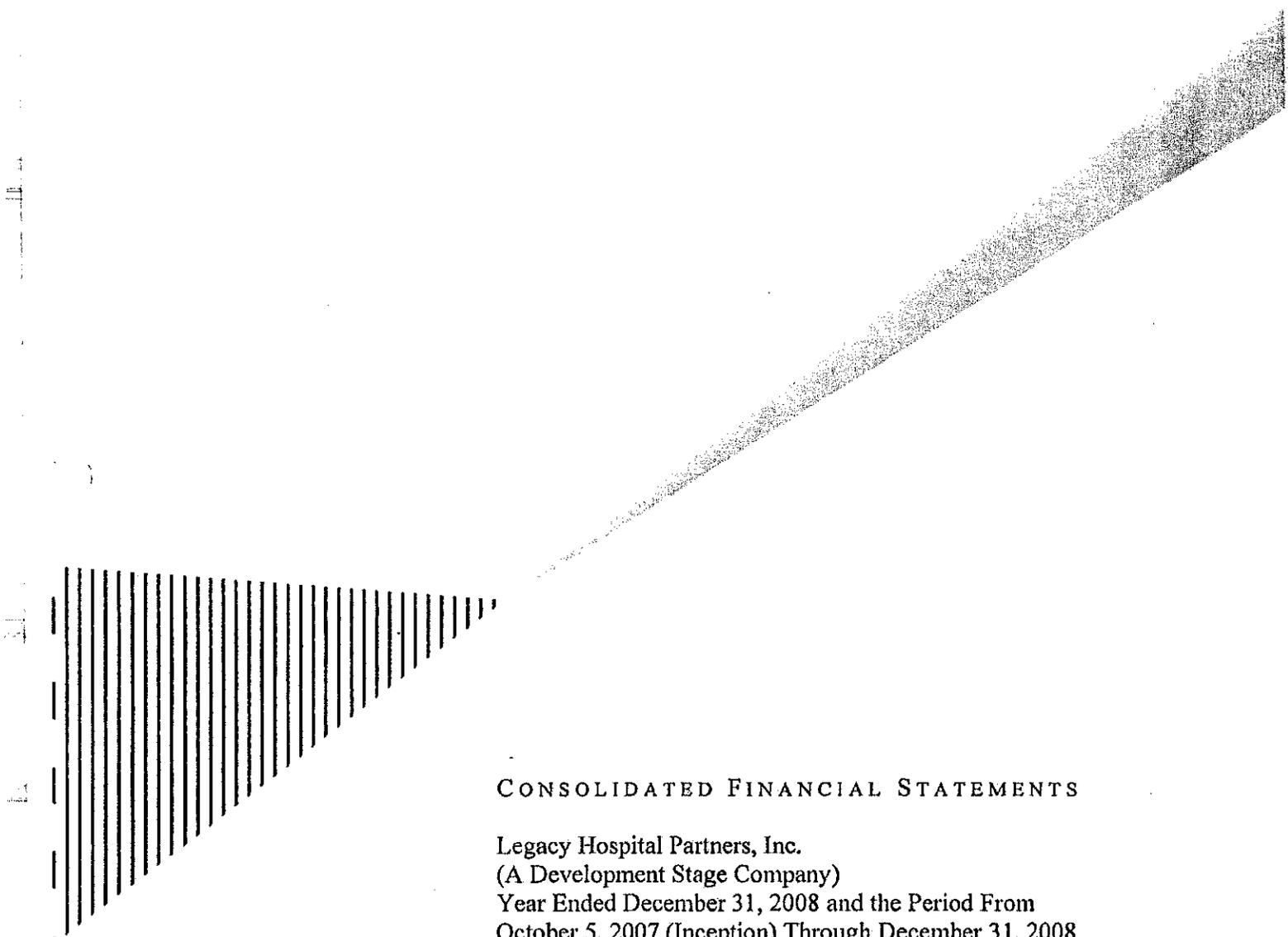
- 13. Please provide a summary of LHP's strategic plan with respect to the proposed joint ventures with SMH and Waterbury Hospital and the establishment of a new hospital in Waterbury, Connecticut.**

The strategic plan to be implemented by the three-party JV at Saint Mary's, WH and the new replacement hospital will be developed and approved by the Board of Directors of the three-party JV. That Board of

Directors has not yet been formed. As noted above in the response to question 3, LHP will appoint only 6 of 12 members of the three-party JV Board of Directors and the remaining members will be appointed by Saint Mary's and WH. At such time as the three-party JV Board of Directors is seated, the respective members thereof will begin a collaborative strategic planning process regarding these issues.

EXHIBIT 30

LHP's Consolidated Financial Statements 2008, 2009, 2010



CONSOLIDATED FINANCIAL STATEMENTS

Legacy Hospital Partners, Inc.
(A Development Stage Company)
Year Ended December 31, 2008 and the Period From
October 5, 2007 (Inception) Through December 31, 2008

Ernst & Young LLP

 **ERNST & YOUNG**

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Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Financial Statements

Year Ended December 31, 2008 and the Period
From October 5, 2007 (Inception) Through December 31, 2008

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Report of Independent Auditors

The Board of Directors
Legacy Hospital Partners, Inc.

We have audited the accompanying consolidated balance sheet of Legacy Hospital Partners, Inc. (a development stage company) as of December 31, 2008, and the related consolidated statements of operations and cash flows for the year ended December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2008, and the related consolidated statements of stockholder's deficit for the year ended December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2007. These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Legacy Hospital Partners, Inc. (a development stage company) at December 31, 2008, and for the period from October 5, 2007 (inception) through December 31, 2008, and the results of its operations and its cash flows for the periods then ended in conformity with U.S. generally accepted accounting policies.

As described in Note 3 to the financial statements, the Company's recurring losses from operations and negative operating cash flows and stockholder's deficit raise substantial doubt about its ability to continue as a going concern. Management's plans as to these matters are also described in Note 3. The 2008 financial statements do not include any adjustments that might result from the outcome of this uncertainty.

Ernst & Young LLP

July 16, 2009

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Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Balance Sheet

December 31, 2008

Assets

Current assets:

Cash	\$ 1,172,125
Prepays and other	374,907
Total current assets	1,547,032

Property and equipment, at cost:

Buildings and improvements	117,075
Furniture and equipment	290,311
	407,386
Accumulated depreciation	(87,989)
Property and equipment, net	319,397
Total assets	\$ 1,866,429

Liabilities and stockholder's deficit

Current liabilities:

Accounts payable	\$ 111,075
Accrued salaries, wages and benefits	1,438,379
Other accrued expenses	960,922
Due to related party	54,930
Total current liabilities	2,565,306

Deferred rent

	31,680
Total liabilities	2,596,986

Commitments and contingencies

Stockholder's deficit

Preferred stock, \$0.01 par value, 2,000 shares authorized, 17.4 shares issued and outstanding	-
Common stock, \$0.01 par value, 1,000 shares authorized; no shares issued and outstanding	-
Additional paid-in capital	8,700,000
Deficit accumulated during the development stage	(9,430,557)
Total stockholder's deficit	(730,557)
Total liabilities and stockholder's deficit	\$ 1,866,429

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Operations

	Year Ended December 31, 2008		Period from October 5, 2007 (Inception) Through December 31, 2008
Expenses:			
Salaries, wages and employee benefits	\$ 3,948,082	\$	3,949,974
Supplies	107,383		109,525
Professional fees	988,493		2,037,357
Contract services	707,628		713,629
Repairs and maintenance	401,447		408,310
Rents and leases	925,343		972,176
Other operating expenses	1,058,289		1,202,688
Depreciation expense	87,989		87,989
Loss before other income	(8,224,654)		(9,481,648)
Other income:			
Interest income	50,091		50,091
Other	1,000		1,000
Net loss	\$ (8,173,563)	\$	(9,430,557)

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Stockholder's Deficit

	Shares	Par Value	Paid-In Capital	Additional Paid-In Capital	Deficit Accumulated During the Development Stage	Total
October 5, 2007 (inception)	-	\$ -	\$ -	\$ -	\$ -	-
Preferred stock issued	0.2	-	100,000	-	-	100,000
Intercompany receivable	-	-	(100,000)	-	-	(100,000)
Net loss	-	-	-	-	(1,256,994)	(1,256,994)
Balance at December 31, 2007	0.2	-	-	-	(1,256,994)	(1,256,994)
Preferred stock issued	17.2	0.17	8,700,000	-	-	8,700,000
Net loss	-	-	-	-	(8,173,563)	(8,173,563)
Balance at December 31, 2008	17.4	\$ 0.17	\$ 8,700,000	\$ 8,700,000	\$ (9,430,557)	\$ (730,557)

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Consolidated Statements of Cash Flows

	Year Ended December 31 2008	Period From October 5, 2007 (Inception) Through December 31, 2008
Cash flows from operating activities		
Net loss	\$ (8,173,563)	\$ (9,430,557)
Adjustments to reconcile net loss to net cash used in operating activities:		
Depreciation expense	87,989	87,989
Increase (decrease) in cash from operating assets and liabilities:		
Prepays and other	(272,025)	(374,907)
Accounts payable, accrued expenses and other	1,495,185	2,565,306
Deferred rent	31,680	31,680
Net cash used in operating activities	(6,830,734)	(7,120,489)
Cash flows from investing activity		
Purchases of property and equipment	(322,076)	(407,386)
Net cash used in investing activity	(322,076)	(407,386)
Cash flows from financing activities		
Payments of start-up funding loan from Holdings	(551,128)	(551,128)
Proceeds from start-up funding loan from Holdings	-	551,128
Issuance of Preferred Stock	8,700,000	8,700,000
Net cash provided by financing activities	8,148,872	8,700,000
Change in cash	996,062	1,172,125
Cash at beginning of period	176,063	-
Cash at end of period	\$ 1,172,125	\$ 1,172,125

See accompanying notes.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements

NOTE 1-BUSINESS OVERVIEW

Reporting Entity

Legacy Hospital Partners, Inc. ("LHP") is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly-owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. LHP is currently a development stage company for financial reporting purposes as it has not begun to generate revenue from its primary business purpose. The terms "we", "our", "the Company", "us" and "LHP" refer to the business of Legacy Hospital Partners, Inc., and our wholly owned subsidiaries, LHP Management Services, LLC and LHP Pocatello, LLC and subsidiaries.

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The Company is considered a development stage company as defined by Statement of Financial Accounting Standards ("SFAS") No. 7 "Accounting and Reporting by Development Stage Enterprises," as amended, as we have no principal operations or revenues from any source. Operations from the inception of the development stage have been devoted primarily to strategic planning and the formation of joint ventures for acquiring acute care facilities.

Principals of Consolidation

The consolidated financial statements include the accounts of LHP and all its wholly-owned subsidiaries. All material intercompany transactions have been eliminated.

Use of Estimates

The preparation of financial statements, in conformity with U.S. generally accepted accounting principles, requires us to make estimates and assumptions that affect the amounts reported in the consolidated financial statements and these accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for fair presentation have been included, actual results may vary from those estimates.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Property and Equipment

Property and equipment are recorded at cost. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend the useful lives of the assets are capitalized.

Depreciation expense is calculated using the straight-line method and was \$87,989 for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008. Building improvements are depreciated over a useful life of 3 years. Equipment is depreciated over useful lives ranging from 3 to 10 years.

Repairs and maintenance

LHP records fuel as repairs and maintenance. The cost of fuel is separate from our related party lease with JJS Aviation, LLC (see note 6). LHP recorded \$250,672 and \$253,985 in fuel expense for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008, respectively.

Impairment of Long-Lived Assets

LHP evaluates the carrying value of its property and equipment under the provisions of Statement of Financial Accounting Standards No.144, "Accounting for the Impairment or Disposal of Long-Lived Assets," as amended ("SFAS 144"). Under SFAS 144, when events, circumstances or operating results indicate the carrying value of certain long-lived assets to be held and used might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008.

Recent Accounting Pronouncements

In December 2007, the Financial Accounting Standards Board ("FASB") issued Statement of Financial Accounting Standards No. 141(R) "Business Combinations," ("SFAS 141R"). SFAS 141R established principles and requirements for recognizing and measuring identifiable assets and goodwill

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 2-SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

acquired, liabilities assumed and any noncontrolling interest in an acquisition at their fair values as of the acquisition date, as well as requiring the expensing of acquisition-related costs as incurred. Furthermore, SFAS 141R determines what information to disclose to enable users of the financial statements to evaluate the nature and financial effects of the business combination. SFAS 141R is effective January 1, 2009. The impact on the Company of adopting SFAS No. 141(R) will depend on the nature, terms and size of business combinations completed after the effective date.

In December 2007, FASB issued Statement of Financial Accounting Standards No. 160 "*Noncontrolling Interests in Consolidated Financial Statements-an amendment of ARB No. 51,*" ("SFAS 160"). SFAS 160 amends Accounting Research Bulletin 51 to establish accounting and reporting standards for the noncontrolling interest in a subsidiary and for the deconsolidation of a subsidiary. SFAS 160 clarifies that a noncontrolling interest in the consolidated entity should be reported as equity in the consolidated financial statements. SFAS 160 also requires consolidated net income to be reported at amounts that include the amounts attributable to both the parent and the noncontrolling interest. SFAS 160 establishes that a change in a parent's ownership interest in a subsidiary that does not result in deconsolidation is an equity transaction. A gain or loss in net income is recognized for changes that result in deconsolidation. SFAS 160 is effective January 1, 2009. The adoption of SFAS No. 160 is not expected to have a material effect on the Company's results of operations, cash flows or financial position.

In September 2006, the FASB issued Statement of Financial Accounting Standards No. 157, "*Fair Value Measurements,*" ("SFAS 157"). SFAS 157 clarifies the definition of fair value, establishes a framework for measuring fair value in accordance with accounting principles generally accepted in the United States, and expands disclosures about fair value measurements. For financial assets and liabilities, SFAS 157 was effective for fiscal years beginning after November 15, 2007 and for nonfinancial assets and liabilities, except for items that are recognized or disclosed at fair value in the financial statements on a recurring basis, SFAS 157 is effective for fiscal years beginning after November 15, 2008. The adoption of SFAS 157 did not have a material impact on the Company's results of operations or financial condition.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 3-LIQUIDITY

LHP has incurred losses inception-to-date of (\$9,430,557) through December 31, 2008 and has been dependent on funding operations through issuance of additional equity. Management's plans are to finance future operations through cash flow from operations, additional capital contributions from Holdings, and various forms of debt financing. However, no assurance can be given at this time as to whether LHP will be able to achieve this objective. As with any new company, it is uncertain as to when LHP will begin to generate income. Furthermore, the financial statements do not include any adjustments to reflect the possible future effects on the recoverability and classification of assets or the amounts and classifications of liabilities that may result from the outcome of this uncertainty.

From January 1, 2009 through May 31, 2009, Holdings contributed additional capital contributions totaling \$85.9 million, which was used to fund on-going operations and the acquisition of a 77% interest in a hospital and its related operations (see Note 9).

NOTE 4-STOCKHOLDER'S EQUITY

LHP, a wholly owned subsidiary of Legacy Hospital Partners (Holdings) has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2008, LHP has 2,000 shares of preferred stock authorized with 17.4 shares issued and outstanding.

Common stock

As of December 31, 2008, LHP has 1,000 shares of common stock authorized with no shares issued or outstanding.

NOTE 5-RETIREMENT PLAN

LHP has a contributory benefit plan which is available to employees who meet certain minimum requirements. The plan requires LHP to match 100% of a participant's contribution up to the first 3% of the participant's compensation and an additional 50% of the participant's contributions up to the next 6% of the participant's compensation. LHP recorded contribution expense of \$79,561 for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 6-TRANSACTIONS WITH RELATED PARTIES

LHP has entered into certain contracts with JJS Aviation, LLC and JJS Hangar, LLC, each of which is owned indirectly by the Chairman of the Board of Directors of LHP. The contracts are leases for aircraft hours (excluding fuel charges) and normal scheduled maintenance, and the aircraft's hanger space. The base rental rate is for a minimum of 200 hours per year with an additional per hour charge for flight hours in excess of 200 hours. LHP recorded combined expense related to the aircraft rental and the hangar space of \$742,996 and \$789,829 for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008, respectively. LHP also recorded \$133,994 in maintenance expense for the year ended December 31, 2008 and for the period from October 5, 2007 (inception) through December 31, 2008. At December 31, 2008, the Company has recorded a payable to JJS Aviation, LLC totaling \$54,930 for aviation hours in excess of our lease and routine maintenance.

NOTE 7-INCOME TAXES

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of events that have been included in the financial statements. Deferred tax assets and liabilities are determined by the differences between the financial statements and the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax asset will not be realized.

At December 31, 2008, the sources of the deferred tax asset are as follows:

Accrued incentive plan	\$ 322,382
Deferred compensation	65,130
Federal NOL	2,891,483
Depreciation	13,292
Total deferred tax asset	<u>3,292,287</u>
Valuation allowance	<u>(3,292,287)</u>
Net deferred tax asset	<u>\$ -</u>

At December 31, 2008, LHP has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax asset will not be realized.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

NOTE 7-INCOME TAXES (continued)

The differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the loss before income taxes are as follows:

	Year Ended December 31, 2008	Period from October 5, 2007 (Inception) Through December 31, 2008
Benefit computed at federal statutory rate	\$ (2,860,747)	\$ (3,300,695)
Permanent differences	8,150	8,398
Increase in valuation reserve	3,292,287	3,292,297
Other	(439,690)	--
Income tax benefit	<u>\$ --</u>	<u>\$ --</u>

At December 31, 2008, LHP has approximately \$8.1 million of federal net operating loss carryforwards which will begin to expire in 2028. LHP, at December 31, 2008, does not have any state net operating loss.

NOTE 8-OFFICE LEASE

LHP has a 38-month operating lease for the use of office space. The lease contains a rent escalation clause and a rent holiday. Payments are recognized as rent expense on a straight-line basis over the term of the lease. The difference between the rent expense recognized for financial reporting purposes and the actual payments made in accordance with the lease is recognized as deferred rent. Lease expense was \$176,668 for the year ended December 31, 2008 and for the period October 5, 2007 (inception) through December 31, 2008. Future minimum lease commitments under this operating lease for the fiscal years ending 2009, 2010 and 2011 are \$189,104, \$193,369 and \$32,347, respectively.

Legacy Hospital Partners, Inc.
(A Development Stage Company)

Notes to Consolidated Financial Statements (continued)

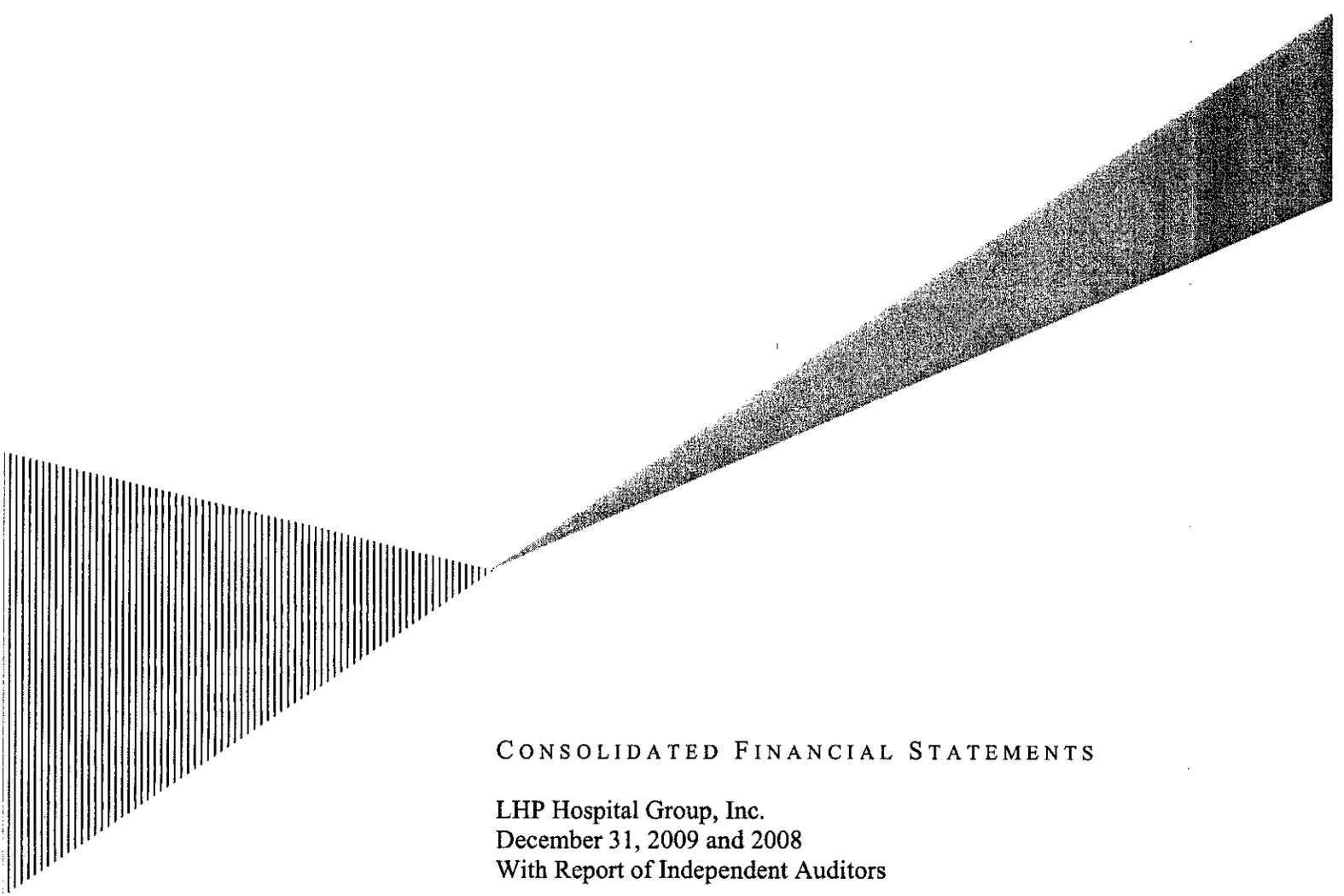
NOTE 9-SUBSEQUENT EVENTS

Effective February 1, 2009, LHP closed under a definitive agreement to form a joint venture to acquire, own and operate a hospital in Pocatello, Idaho and to develop a replacement hospital. LHP, through a wholly-owned subsidiary, contributed approximately \$45 million and a promissory note for approximately \$141 million to the joint venture in exchange for a 77% interest in the joint venture. Bannock County, Idaho (the "County"), the owner of the hospital, contributed the hospital's current operations, including real estate and equipment, to the joint venture in exchange for a 23% interest in the joint venture. The County then transferred its interest in the joint venture to a local foundation. As part of the transaction, Holdings also made payments of approximately \$15.0 million to the County to cover certain costs and fund certain community health programs.

In March 2009, LHP entered into an agreement with McKesson Corporation to purchase clinical and hospital financial software and hardware with an initial cost of \$4.6 million. The conversion of the current information systems is anticipated to be complete during the first quarter of 2010.

In May 2009, LHP entered into an agreement with a third party to outsource its current information technology services for a ten-year period and to deploy system-wide clinical, electronic medical record and financial applications. The expected total contract value is approximately \$31.3 million.

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CONSOLIDATED FINANCIAL STATEMENTS

LHP Hospital Group, Inc.
December 31, 2009 and 2008
With Report of Independent Auditors

Ernst & Young LLP

LHP Hospital Group, Inc.

Consolidated Financial Statements

Years Ended December 31, 2009 and 2008

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Report of Independent Auditors

The Board of Directors
LHP Hospital Group, Inc.

We have audited the accompanying consolidated balance sheets of LHP Hospital Group, Inc. (formerly Legacy Hospital Partners, Inc.) as of December 31, 2009 and 2008, and the related consolidated statements of operations, stockholder's equity, and cash flows for the years then ended. These consolidated financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of LHP Hospital Group, Inc. at December 31, 2009 and 2008, and the consolidated results of its operations, stockholder's equity, and cash flows for the years then ended, in conformity with U.S. generally accepted accounting principles.

Ernst & Young LLP

April 30, 2010

LHP Hospital Group, Inc.
Consolidated Balance Sheets

	December 31	
	2009	2008
Assets		
Current assets:		
Cash	\$ 17,526,287	\$ 1,172,125
Restricted cash	435,000	-
Accounts receivable, less allowance for doubtful accounts of \$11,440,066 and \$0	25,597,713	-
Inventories	5,300,520	-
Other receivables	2,284,158	-
Other	2,040,729	374,907
Total current assets	53,184,407	1,547,032
Property and equipment, at cost:		
Land	13,085,000	-
Building and improvements	43,061,403	117,075
Furniture and equipment	24,468,929	290,311
Construction in progress	38,463,723	-
	119,079,055	407,386
Accumulated depreciation and amortization	(11,193,801)	(87,989)
Property and equipment, net	107,885,254	319,397
Other assets:		
Investments in and advances to affiliate	194,611	-
Goodwill	14,331,719	-
Intangibles, net	474,667	-
Notes receivable	2,574,146	-
Total assets	\$ 178,644,804	\$ 1,866,429

	December 31	
	2009	2008
Liabilities and Stockholder's Equity		
Current liabilities:		
Accounts payable	\$ 16,729,199	\$ 953,688
Accrued salaries, wages and benefits	7,880,975	1,438,379
Other accrued expenses	4,842,149	118,309
Current portion of long-term debt and capital lease obligations	892,325	-
Income tax payable	376,437	-
Due to related party	16,370	54,930
Total current liabilities	<u>30,737,455</u>	<u>2,565,306</u>
Long-term liabilities:		
Note payable	1,875,661	-
Capital leases obligations	1,981,315	-
Due to parent	592,716	-
Other	1,154,437	31,680
Total long-term liabilities	<u>5,604,129</u>	<u>31,680</u>
Commitments and contingencies		
Equity:		
Stockholder's equity:		
Preferred stock, \$0.01 par value, 2,000 shares authorized, 221.3 and 17.4 shares issued and outstanding in 2009 and 2008, respectively	2	-
Common stock \$0.01 par value, 1,000 shares authorized and no shares issued and outstanding	-	-
Additional paid-in capital	110,645,498	8,700,000
Retained deficit	(29,332,949)	(9,430,557)
Total stockholder's equity (deficit)	<u>81,312,551</u>	<u>(730,557)</u>
Noncontrolling interest	60,990,669	-
Total equity (deficit)	<u>142,303,220</u>	<u>(730,557)</u>
Total liabilities and equity	<u>\$ 178,644,804</u>	<u>\$ 1,866,429</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Operations

	Year Ended December 31,	
	2009	2008
Net revenue	\$ 161,888,932	\$ —
Operating expenses:		
Salaries and benefits	74,011,022	3,948,082
Supplies	29,650,675	107,383
Other operating expenses	40,947,786	3,373,572
Provision for doubtful accounts	10,281,314	—
Equity in earnings of affiliates	(165,227)	—
Contract services	13,909,319	707,628
Depreciation and amortization	11,201,177	87,989
Interest expense (income), net	306,009	(50,091)
Gain on sale of assets	(439,134)	—
Other	(14,723)	(1,000)
Loss before taxes	(17,799,286)	(8,173,563)
Income tax provision	376,437	
Net loss	(18,175,723)	(8,173,563)
Income attributable to noncontrolling interests	1,726,669	—
Net loss attributable to LHP Hospital Group, Inc.	<u>\$ (19,902,392)</u>	<u>\$ (8,173,563)</u>

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Stockholders' Equity

	Shares	Amounts	Additional Paid- In Capital	Retained Deficit	Equity Attributable to Noncontrolling Interests	Total
January 1, 2008	0.2	\$ -	\$ -	\$ (1,256,994)	\$ -	\$ (1,256,994)
Preferred stock issued	17.2	-	8,700,000	-	-	8,700,000
Net loss	-	-	-	(8,173,563)	-	(8,173,563)
December 31, 2008	17.4	-	8,700,000	(9,430,557)	-	(730,557)
Preferred stock issued	203.9	2	101,945,498	-	-	101,945,500
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	-	-	-	-	55,671,500	55,671,500
Physicians Partners Equity based compensation plans	-	-	-	-	3,592,500	3,592,500
Net loss	-	-	-	(19,902,392)	1,726,669	(18,175,723)
December 31, 2009	221.3	\$ 2	\$ 110,645,498	\$ (29,332,949)	\$ 60,990,669	\$ 142,303,220

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Cash Flows

	Year Ended December 31,	
	2009	2008
Cash flows from operating activities		
Net loss	\$ (19,902,392)	\$ (8,173,563)
Adjustments to reconcile net loss to net cash provided by (used in) operating activities:		
Provision for doubtful accounts	10,281,314	-
Depreciation and amortization	11,201,177	87,989
Income attributable to noncontrolling interests	1,726,669	-
Equity in earnings of unconsolidated affiliates	(165,227)	-
Gain on sale of assets	(439,134)	-
Increase (decrease) in cash from operating assets and liabilities, net of acquisitions:		
Accounts receivable	(7,618,898)	-
Inventories and other assets	(1,101,733)	(272,025)
Accounts payable and accrued expenses	11,335,290	1,495,185
Other	(342,021)	31,680
Net cash provided by (used in) operating activities	<u>4,975,045</u>	<u>(6,830,734)</u>
Cash flows from investing activities		
Purchases of property and equipment	(44,965,693)	(322,076)
Acquisitions, net of cash acquired	(48,292,352)	-
Due to parent	592,716	-
Other	24,776	-
Net cash used in investing activities	<u>(92,640,553)</u>	<u>(322,076)</u>
Cash flows from financing activities		
Payments for capital leases	(788,414)	-
Payments for long-term debt	(47,416)	-
Proceeds from issuance of preferred stock	101,945,500	8,700,000
Payments of start-up funding loan from Holdings	-	(551,128)
Contributions from noncontrolling interest	2,910,000	-
Net cash provided by financing activities	<u>104,019,670</u>	<u>8,148,872</u>
Change in cash and cash equivalents	16,354,162	996,062
Cash and cash equivalents at beginning of year	1,172,125	176,063
Cash and cash equivalents at end of year	<u>\$ 17,526,287</u>	<u>\$ 1,172,125</u>
Supplemental noncash disclosure		
Noncontrolling interests issued to Physician Partners	<u>\$ 682,500</u>	<u>\$ -</u>

See accompanying notes.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements

December 31, 2009

1. Business Overview

Reporting Entity

LHP Hospital Group, Inc. (LHP) (formerly Legacy Hospital Partners, Inc.) is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. The terms “we”, “our”, “the Company”, “us” and “LHP” refer to the business of LHP Hospital Group, Inc., and our wholly owned subsidiaries, LHP Management Services, LLC and LHP Pocatello, LLC and subsidiaries.

Prior to February 1, 2009, the Company was considered a development stage company as defined by Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 915, *Accounting and Reporting by Development Stage Enterprises*, as amended. As a result during 2008 the Company did not have any revenues or expenses related to the revenue cycle. Effective February 1, 2009, LHP formed a joint venture to acquire, own and operate an acute care hospital in Pocatello, Idaho (Pocatello Joint Venture) and to develop a replacement hospital. The Company, through a wholly owned subsidiary, contributed approximately \$45 million and a promissory note for approximately \$141 million to the joint venture in exchange for a 77% interest in the joint venture. Bannock County, Idaho (the County), the owner of the Pocatello hospital, contributed the hospital’s current operations, including real estate and equipment, to the joint venture in exchange for a 23% interest in the joint venture. The County then transferred its interest in the joint venture to a local foundation. As part of the transaction, the Company also made payments of approximately \$15.0 million to the County to cover certain costs and fund certain community health programs.

2. Summary of Significant Accounting Policies

Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumption that affect amounts reported in the consolidated financial statements and the accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for presentation have been included, actual results could differ from those estimates.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Principles of Consolidation

The consolidated financial statements include the accounts of the Company and all subsidiaries and entities controlled by the Company through the Company's direct or indirect ownership of a majority interest and exclusive rights granted to the Company as the sole general partner or controlling member of such entities. All significant intercompany accounts and transactions have been eliminated upon consolidation.

Net Patient Services Revenues

Revenues consist primarily of net patient service revenues that are recorded based upon established billing rates less allowances for contractual adjustments. Revenues are recorded during the period the health care services are provided, based upon the estimated amounts due from the patients and third-party payers. Third-party payers include federal and state agencies (under the Medicare and Medicaid programs) and other third-party payers such as health maintenance organizations, preferred provider organizations and other private insurers. The amounts received from these third-party payers are generally less than the Company's established billing rates. Accordingly, the revenues reported in the Company's consolidated financial statements are recorded at the net amount that is expected to be received. The Company derives a significant portion of its revenues from Medicare, Medicaid and other payers that receive discounts from its established billing rates. The Company must estimate the total amount of these discounts to prepare its consolidated financial statements. The Medicare and Medicaid regulations and the various managed care contracts under which these discounts must be calculated are complex and are subject to interpretation and adjustments. The Company estimates the allowance for contractual discounts on a payer specific basis given its interpretation of the applicable regulation or contractual terms. As a result, there is a possibility that the recorded estimates could change by a material amount.

Patients treated at hospitals for nonelective care, who have income below certain amounts that are based on federal poverty level guidelines, are eligible for charity care. Charity care, based on our cost of service, totaled \$0.9 million for the year ended December 31, 2009. The federal poverty level is established by the federal government and is based on income and family size. We provide discounts to uninsured patients who do not qualify for Medicaid or charity care. These discounts are similar to discounts provided to many local managed care plans and totaled \$0.1 million for the year ended December 31, 2009. In implementing our discount policy, we

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

first attempt to qualify uninsured patients for Medicaid, other federal or state assistance or charity care. If an uninsured patient does not qualify for these programs, the uninsured discount is applied.

We are required to meet certain financial reporting requirements to participate in the Medicare and Medicaid programs. Federal regulations require the submission of annual cost reports covering medical cost and expenses associated with the services provided by the hospital to program beneficiaries. Cost report settlements under reimbursement agreements with Medicare and Medicaid are estimated and recorded in the period the related services are rendered and are adjusted in future periods as final settlements are determined. There is a possibility that the recorded estimates could change by a material amount. There were no adjustments recorded to net revenues in 2009 as prior year cost report settlements were the responsibility of the previous owners. The net estimated cost report settlement due from the Company as of December 31, 2009 is included in accounts receivable in the accompanying consolidated balance sheets and was approximately \$0.3 million.

Laws and regulations governing Medicare and Medicaid programs are complex and subject to interpretation. The Company believes that it is in compliance with all applicable laws and regulations and is not aware of any pending or threatened investigation involving allegations of potential wrongdoing that would have a material effect on the Company's consolidated financial statements. Compliance with such laws and regulations can be subject to future government review and interpretation as well as significant regulatory action including but not limited to fines, penalties and exclusion from the Medicare and Medicaid programs.

Cash and Cash Equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents. Cash and cash equivalents consist of cash on hand, money market funds and a certificate of deposit in the amount of \$0.4 million at December 31, 2009 that served as collateral for the Company's worker's compensation program, which has been classified as restricted cash on the consolidated balance sheets. The Company places its cash in financial institutions that are federally insured.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Accounts Receivable and Allowance for Doubtful Accounts

We receive payments for services rendered from federal and state agencies (under the Medicare and Medicaid programs), third-party payers and patients. The Company's ability to collect outstanding receivables is critical to its results of operations and cash flows. To provide for accounts receivable that could become uncollectible in the future, the Company establishes an allowance for doubtful accounts to reduce the carrying value of such receivables to their estimated net realizable value.

The Company has a process to determine the adequacy of the allowance for doubtful accounts that relies on a number of analytical tools and benchmarks to arrive at a reasonable allowance. No single statistic or measurement determines the adequacy of the allowance for doubtful accounts. Some of the tools that the Company utilizes include, but are not limited to, historical cash collections experience and revenue trends by payer classification. Accounts are written off when all reasonable internal and external collection efforts have been exhausted.

Inventories

Inventories are stated at average cost or market. Market is determined on the basis of estimated realizable values.

Property and Equipment

Property and equipment are recorded at cost. Property and equipment acquired in connection with a business combination are recorded at their estimated fair value in accordance with the acquisition method of accounting as prescribed in ASC Topic 805-10, *Business Combinations*. Property and equipment under capital leases are stated at the present value of minimum lease payments. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend useful lives of assets are capitalized.

Depreciation expense is computed by applying the straight-line method over the estimated useful lives of the assets. Assets held under capital leases are amortized on a straight-line basis over the shorter of the lease term or estimated useful life of the asset. The estimated useful life of buildings and improvements generally range from 10 to 40 years, while the estimated useful lives of furniture and equipment range from 3 to 10 years. Total depreciation (including amortization of capital leases) for the years ended December 31, 2009 and 2008 was \$11.2 million and \$0.1 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company is obligated under capital leases covering certain buildings and equipment. At December 31, 2009 and 2008, the gross amount of buildings and equipment and related accumulated amortization recorded under capital leases were as follows (in millions):

	2009	2008
Buildings and improvements	\$ 1.7	\$ —
Equipment	3.4	—
	5.1	—
Accumulated amortization	(1.2)	—
	\$ 3.9	\$ —

Long-lived Assets

When events, circumstances or operating results indicate the carrying values of certain long-lived assets and related identifiable intangible assets (excluding goodwill and indefinite-lived intangibles) expected to be held and used, might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the years ended December 31, 2009 and 2008.

Amortizable Intangibles

Amortizable intangible assets include two covenants not to compete. The agreements not to compete are amortized over the five-year terms of the agreements using the straight-line method.

Goodwill and Indefinite-lived Intangible Assets

Goodwill is the excess of the purchase price over the fair value of identifiable assets acquired. Under FASB ASC Topic 350, *Intangibles – Goodwill and Other*, goodwill and intangibles with indefinite lives are not amortized, but tested for impairment annually or more frequently if certain indications of impairment arise.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Goodwill impairment is determined using a two-step test. The first step is to identify if a potential impairment exists by comparing the fair value of the reporting unit with its carrying amount, including goodwill. If the fair value of the reporting unit exceeds its carrying amount, goodwill is not considered to have a potential impairment and the second step of the impairment test is not required. However, if the carrying amount of the reporting unit exceeds its fair value, the second step is performed to determine if goodwill is impaired and to measure the amount of impairment loss to be recognized, if any. The second step compares the implied fair value of goodwill with the carrying amount of goodwill. If the implied fair value of goodwill exceeds its carrying amount, then goodwill is not considered impaired. However, if the carrying amount of goodwill exceeds its implied fair value, an impairment loss is recognized for any excess of the carrying amount of the reporting unit's goodwill over the implied fair value of that goodwill. The implied fair value of goodwill is determined by allocating the fair value of the reporting unit in a manner similar to a purchase price allocation and the residual fair value after this allocation is the implied fair value of the reporting unit goodwill. Fair value of the reporting unit is estimated based upon internal evaluations of the related long-lived assets for each reporting unit that include quantitative analyses of revenues and cash flows. The Company determined that there have been no goodwill impairment indicators since the Pocatello acquisition.

Professional and General Liability

Our professional and general liability insurance is provided by a commercial carrier. The policy is a claims made policy and has limits of \$1.0 million per claim and \$3.0 million annual aggregate for medical professional liability claims and \$1.0 million per occurrence and \$3.0 million annual aggregate for general liability claims. The deductible is \$25,000 per occurrence. In addition to the primary coverage umbrella and excess coverage is maintained with shared limits of \$15.0 million.

Self-Insured Liabilities

The Company is self-insured for substantially all of the medical benefits of its employees. The reserve for medical benefits reflects known claims and an estimate of incurred but not reported losses based upon an actuarial analysis as of the balance sheet date. The reserve for self-insured medical benefits was \$0.5 million as of December 31, 2009. In 2008, the Company was not self-insured; therefore, no reserves for self-insured medical benefit were recorded.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company is self-insured for workers' compensation claims with a stop loss limit of \$250,000 per occurrence. Estimated liabilities for worker's compensation claims were \$0.5 million at December 31, 2009. During 2008, the Company purchased workers' compensation coverage from a commercial carrier.

Noncontrolling Interest

Noncontrolling interest represent the portion of equity in the Pocatello Joint Venture not attributable, directly or indirectly, to us. The Company's accompanying consolidated financial statements include all assets, liabilities, revenues and expenses of the Pocatello Joint Venture, which include amounts attributable to the Company and the noncontrolling interest. The Company recognizes as a separate component of equity and earnings the portion of the income or loss attributable to noncontrolling interest based on the portion of the entity not owned by the Company.

Equity-Based Compensation

We account for equity-based compensation in accordance with ASC Topic 718, *Compensation – Stock Compensation*. ASC Topic 718 requires the Company to recognize compensation expense, for all equity-based awards granted to employees, using a fair value measurement method. Under the fair value method, the estimated fair value of awards that are expected to vest is recognized over the requisite service period, which is generally the vesting period. The Company estimates grant date fair value using the Black-Scholes-Merton option pricing model.

Fair Value

The Company's financial instruments include cash and cash equivalents, accounts receivable, notes and other receivables and accounts payable and accrued expenses. Management believes the carrying value of cash and cash equivalents, accounts receivable, other receivables and accounts payable and accrued expenses approximates fair value due to their short-term maturity. Management believes the fair value of notes receivable approximates fair value based on current interest rate assumptions and remaining term to maturity.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Recently Issued Accounting Pronouncements

Effective January 1, 2009, the Company adopted Statement of ASC Topic 805, *Business Combinations*. ASC Topic 805 established principles and requirements for recognizing and measuring identifiable assets and goodwill acquired, liabilities assumed and any noncontrolling interest in an acquisition at their fair values as of the acquisition date, as well as requiring the expensing of acquisition-related costs as incurred. Furthermore, ASC Topic 805 determines what information to disclose to enable users of the financial statements to evaluate the nature and financial effects of the business combination.

Effective January 1, 2009, the Company adopted ASC Topic 810, *Consolidation*. ASC Topic 810 amends *Accounting Research Bulletin 51* to establish accounting and reporting standards for the noncontrolling interest in a subsidiary and for the deconsolidation of a subsidiary. ASC Topic 810 clarifies that a noncontrolling interest in the consolidated entity should be reported as equity in the consolidated financial statements. ASC Topic 810 also requires consolidated net income to be reported at amounts that include the amounts attributable to both the parent and the noncontrolling interest. ASC Topic 810 establishes that a change in a parent's ownership interest in a subsidiary that does not result in deconsolidation is an equity transaction. A gain or loss in net income is recognized for changes that result in deconsolidation.

In June 2009, the Company adopted ASC Topic 855 *Subsequent Events*. ASC Topic 855 establishes general standards of accounting for and disclosures of events that occur after the balance sheet date but before financial statements are issued or are available to be issued. ASC Topic 855 requires the Company to disclose the date through which the Company has evaluated subsequent event and the basis for the date.

Effective for the Company's annual financial statements issued for December 31, 2009, the Company adopted FASB ASC Topic 105, which establishes the FASB ASC as the single source of authoritative accounting principles recognized by the FASB to be applied to nongovernmental entities in the preparation of financial statements in conformity with Generally Accepted Accounting Principles (GAAP). Accordingly, all references to GAAP provided in the Company's notes to its consolidated financial statements are in accordance with ASC Topic 105.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The FASB has issued Accounting Standards Update (ASU) 2009-17, *Improvements to Financial Reporting by Enterprises involved with Variable Interest Entities* (ASU 2009-17). ASU 2009-17 changes how a reporting entity determines when an entity that is insufficiently capitalized or is not controlled through voting (or similar rights) should be consolidated. The determination of whether a reporting entity is required to consolidate another entity is based on, among other factors, the other entity's purpose and design and the reporting entity's ability to direct the activities of the other entity that most significantly impact the other entity's economic performance.

ASU 2009-17 also requires a reporting entity to provide additional disclosures about its involvement with variable interest entities and any significant changes in risk exposure due to that involvement. A reporting entity will be required to disclose how its involvement with a variable interest entity affects the reporting entity's financial statements. ASU 2009-17 is effective beginning January 1, 2010. The adoption of ASU 2009-17 could have a material impact on the Company's future results of operations, cash flows and financial position due to the Company involvement with a variable interest entity in connection with the formation of a joint venture to acquire a hospital in Sherman, Texas.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions

On February 1, 2009, the Company acquired a 77% interest in a joint venture formed to acquire, own and operate a hospital in Pocatello, Idaho and to develop a replacement hospital. The hospital is licensed for 250 beds. The assets and liabilities for the acquisition were recorded at their fair market values as of the effective acquisition date. The Company, through a wholly owned subsidiary, contributed cash of \$45.0 million and a promissory note for approximately \$141.0 million to the joint venture in exchange for a 77% interest in the joint venture. Under the acquisition method of accounting, in accordance with ASC Topic 805-10, the purchase was allocated to the identifiable assets acquired and liabilities assumed based upon their estimated fair value as of February 1, 2009. The excess of the purchase price over the estimated fair value of the identifiable assets acquired and liabilities assumed was recorded as goodwill. The results of operations have been included in the Company's results of operations beginning February 1, 2009.

The acquisition date fair value of the consideration transferred totaled \$186.0 million, which consisted of the following (in millions):

Cash	\$ 45.0
Note payable to Pocatello Joint Venture	141.0
Total	<u>\$ 186.0</u>

The Company recognized \$15.0 million in acquisition related costs that were expensed in the current period. The acquisition costs are included in the consolidated statements of operations as other operating expenses.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The fair values of the assets acquired and liabilities assumed at the acquisition date are as follows (in millions):

Assets:	
Cash	\$ 3.8
Accounts receivables	27.0
Inventories	4.9
Other current assets	5.7
Property and equipment	70.3
Investments in affiliates	1.5
Intangible assets	0.6
Total identifiable assets acquired	113.8
Liabilities:	
Accounts payable	5.9
Accrued liabilities	8.5
Note payable	1.9
Capital lease obligations	3.6
Total liabilities assumed	19.9
Net identifiable assets acquired	93.9
Goodwill	6.8
Net assets acquired	\$ 100.7

As part of the initial acquisition of the hospital in Pocatello, Idaho, the Company acquired a 20.1% equity investment in Rocky Mountain Surgery Center, LLC. Effective August 1, 2009, the Company acquired all of the assets in Rocky Mountain Surgery Center as part of a business combination achieved in stages in exchange for \$6.9 million in cash and a noncontrolling interest in a subsidiary of Pocatello Health System, LLC, with a value of \$0.7 million. The acquisition date fair value of the previous equity interest was \$1.5 million, and was included in the measurement of the consideration transferred. The Company recognized a gain of \$0.4 million as a result of re-measuring its equity interest in Rocky Mountain Surgery Center prior to the business combination. The gain is included in gain on sale of assets in the accompanying consolidated statement of operations. The Company accounted for this transaction under the business combination achieved in stages, in accordance with ASC Topic 805-10. The amount of goodwill assigned to the acquisition of Rocky Mountain Surgery Center was \$7.5 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The amounts of revenue and earnings of the Pocatello Joint Venture included in the Company's consolidated statement of operations from the acquisition date to December 31, 2009 are as follows (in millions):

	<u>2009</u>
Net revenues	\$ 161.9
Earnings	\$ 5.8

The following represents the unaudited pro forma consolidated statements of operations as if Pocatello Joint Venture had been included in the consolidated results of the Company for the entire years ended December 31, 2009 and 2008 (in millions):

	<u>Year Ended</u>	
	<u>2009</u>	<u>2008</u>
Net revenues	\$ 183.4	\$ 167.2
Net loss	\$ (16.5)	\$ (13.5)

The fair value of the 23% noncontrolling interest in the Pocatello Joint Venture is estimated to be \$55.7 million. The fair value of the noncontrolling interest was estimated using a combination of the cost and market approaches. The fair value of estimate was based on a independent third-party valuation.

In October 2009, the Company issued additional noncontrolling interests in a subsidiary of Pocatello Hospital, LLC to members of the hospital's medical staff in exchange for cash of \$2.9 million.

4. Goodwill and Other Intangible Assets

The acquired intangible assets subject to amortization related to covenants not to compete, which have a useful life of five years. Amortization expense was \$0.1 million and \$0 for the years ended December 31, 2009 and 2008, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Amortization of intangible assets over the next five years is as follows (in millions):

2010	\$	0.1
2011		0.1
2012		0.1
2013		0.1
2014		—

The gross carrying amount and accumulated amortization at December 31, 2009 and 2008 are as follows (in millions):

	2009	2008
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 0.6	\$ —
Less accumulated amortization	0.1	—
Net amortizable intangible assets	<u>\$ 0.5</u>	<u>\$ —</u>
Indefinite-lived intangible assets:		
Trade name	<u>\$ 0.1</u>	<u>\$ —</u>

Changes in the value of goodwill were as follows:

	December 31	
	2009	2008
Balance at January 1	\$ —	\$ —
Goodwill acquired	14.3	—
Balance at December 31	<u>\$ 14.3</u>	<u>\$ —</u>

5. Stockholder's Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2009, LHP has 2,000 shares of preferred stock authorized with 221.3 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

5. Stockholder's Equity (continued)

in preference to holders of the common stock. Holders of the preferred stock are first entitled to receive an annual special dividend equal to 30% of 1% of the aggregate amount of capital contributions made by CCMP Capital Advisors, LLC (CCMP) and the CPP Investment Board (CPPIB) in Legacy Hospital Partners (Holdings), LLC; the annual special dividend is not payable, however, until LHP attains positive earnings (as defined in LHP's Restated Certificate of Incorporation) for the fiscal year. Next, the holders of the preferred stock are entitled to receive a dividend accruing daily at the rate of 8% per annum of the original cost of each share of preferred stock. Finally, holders of the preferred stock are entitled to receive a return of an amount equal to the original cost of such preferred stock prior to the making of any payments to holders of the common stock.

As of December 31, 2009 the total cumulative annual dividends were \$0.3 million or \$1,489 per share and the 8% cumulative dividends were \$7.3 million or \$32,810 per share.

Common Stock

As of December 31, 2009, LHP has 1,000 shares of common stock authorized with no shares issued or outstanding.

6. Equity-Based Compensation

In 2009, LHP adopted an equity incentive plan (the Plan) pursuant to which the Company's board of directors may grant stock options, restricted stock or stock units (Awards) to employees, consultants and directors of the Company and its subsidiaries. The Plan authorizes grants of up to 1,000,000 shares of authorized but unissued common stock. Awards can be granted with an exercise price not less than the greater of the par value of the common stock or below 100% of the fair market value of the common stock on the date of grant. In the case of any person who at the time of grant possesses more than 10% of the total combined voting power of all classes of stock of the Company, Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 110% of the fair market value of the common stock. All Awards generally vest over a four-year period. As of December 31, 2009, no Awards have been issued under this plan. Subsequent to December 31, 2009, LHP granted 17,375 shares of restricted stock to certain employees with a fair value on the date of grant of \$1.00 per share.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Notes Payable

In connection with the Company's acquisition of an interest in the Pocatello Joint Venture, the Company acquired a note payable for land in the amount of \$1.9 million. As of December 31, 2009, approximately \$1.9 million is recorded in long-term debt. The note bears an interest rate of 8% with quarterly principal and interest payments and matures September, 2027.

8. Retirement Plan

The Company has a contributory benefit plan that is available to employees who meet certain minimum requirements. With respect to the year 2009, the plan required the Company to match 100% of a participant's contributions up to the first 3% of the participant's compensation and an additional 50% of the participant's contributions up to the next 6% of the participant's compensation. The Company recorded contribution expense of \$2.2 million and \$0.1 million for the years ended December 31, 2009 and 2008, respectively.

9. Transactions with Related Parties

The Company entered into certain contracts with JJS Aviation, LLC and JJS Hangar, LLC, each of which is owned indirectly by the Chairman of the Board of Directors of LHP. The contracts represent leases for aircraft hours (excluding aviation fuel charges), normal scheduled maintenance, and the aircraft's hangar space. The base rental rate is for a minimum of 200 hours per year with an additional per hour charge for flight hours in excess of 200 hours. The Company recorded combined expense related to the aircraft rental and the hangar space of \$0.7 million for each of the years ended December 31, 2009 and 2008. The Company also recorded \$0.2 million and \$0.1 million in maintenance expense for the years ended December 31, 2009 and 2008. At December 31, 2009, the Company has recorded a payable to JJS Aviation, LLC, in the amount of \$16,370 for routine maintenance.

10. Income Taxes

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of events that have been included in the consolidated financial statements. Deferred tax assets and liabilities are determined by the differences between the consolidated financial statements and the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax assets will not be realized.

At December 31, 2009 and 2008, the sources of the deferred tax assets are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Accrued incentive plan	\$ 0.1	\$ 0.3
Deferred compensation	0.1	0.1
Accrued medical and dental	0.3	-
Federal NOL	1.2	2.9
Workers compensation	0.2	-
Partnership basis-investment in Pocatello Joint Venture	9.6	-
Total deferred tax asset	<u>11.5</u>	<u>3.3</u>
Valuation allowance	<u>(11.5)</u>	<u>(3.3)</u>
Net deferred tax asset	<u>\$ -</u>	<u>\$ -</u>

At December 31, 2009, the Company has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax assets will not be realized.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

For the years ended December 31, 2009 and 2008, the differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the loss before income taxes are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Benefit computed at federal statutory rate	\$ (7.2)	\$ (2.9)
Minority interest on state tax	0.4	
State income tax benefit, net of federal tax effect at state statutory rates	(1.0)	-
Increase in valuation allowance	8.2	3.3
Other	-	(0.4)
Income tax benefit	<u>\$ (0.4)</u>	<u>\$ -</u>

At December 31, 2009, LHP has approximately \$3.6 million of federal net operating losses carryforwards which will begin to expire in 2028. LHP, at December 31, 2009, does not have any state net operating losses.

11. Leases

The Company leases real estate, buildings, vehicles and equipment under cancelable and non-cancelable leases. The leases expire at various times and have various renewal options. Certain leases that meet the lease capitalization criteria in accordance with ASC Topic 840-10, *Leases*, assets have been recorded at their fair value at the date of acquisition and liabilities at the net present value of the minimum lease payments at the inception of the lease. Rental expense of operating leases for the years ended December 31, 2009 and 2008 was \$4.7 million and \$0.9 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

11. Leases (continued)

Future minimum lease payments at December 31, 2009, are as follows (in millions):

	Operating Leases	Capital Lease Obligations	Total
2010	\$ 3.7	\$ 1.1	\$ 4.8
2011	2.9	0.4	3.3
2012	2.5	0.3	2.8
2013	2.3	0.2	2.5
2014	2.2	0.2	2.4
Thereafter	13.8	2.6	16.4
	\$ 27.4	4.8	\$ 32.2
Less: imputed interest portion		(2.0)	
Long-term obligations under capital leases		\$ 2.8	

12. Guarantees

The Company has entered into agreements whereby it has guaranteed certain loans entered into by patients for whom services were performed at our facility. These loans are provided by various financial institutions who determine whether the loans are made. The Company would be obligated to repay the financial institutions if a patient fails to repay his or her loans. The Company could then pursue collections from the patient. We record a reserve for estimated defaults on these loans at the historical default rates, which at December 31, 2009 was approximately 21%. At December 31, 2009, loans subject to guarantees totaled \$2.2 million.

13. Regulatory Matters

All healthcare providers are required to comply with a significant number of laws and regulations at the federal and state government levels. These laws are extremely complex, and in many instances, providers do not have the benefit of significant regulatory or judicial interpretation as to how to interpret and/or apply these laws and regulations. The U.S. Department of Justice and other federal and state agencies are increasing resources dedicated to regulatory investigations and compliance audits of healthcare providers. As a healthcare provider, the Company is subject to these regulatory efforts. Healthcare providers that do not comply with these laws and regulations may be subject to civil or criminal penalties, the loss of

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

13. Regulatory Matters (continued)

their licenses, or restrictions on their ability to participate in various federal and state healthcare programs. We endeavor to conduct our business in compliance with applicable laws and regulations, including healthcare fraud and abuse laws. As a result of our hospital's state licensures and certifications under the Medicare and various Medicaid programs, we are subject to regular reviews, surveys, audits and investigations conducted by, or on behalf of, federal and state agencies, including Centers for Medicare & Medicaid Services (CMS), which are responsible for the oversight of these programs. These agency's reviews may include reviews or surveys of our compliance with required conditions of participation regulations. The purpose of these surveys is to ensure that healthcare providers are in compliance with governmental requirements, including requirements such as adequacy of medical care, equipment, personnel, operating policies and procedures, maintenance of adequate records, compliance with building codes and environmental protection and healthcare fraud and abuse. These surveys may identify deficiencies with conditions of participation which require corrective actions to be made by the hospital within a given time frame. If a hospital is not successful in addressing the deficiencies and conditions in a timely manner, CMS reserves the right to deem the hospital to be out of compliance with Medicare conditions of participation and may terminate the hospital from participation in the Medicare program. Termination of a hospital from the Medicare program would have a material adverse effect on our results of operations and cash flows.

Additionally, these agencies may review our compliance with various payment regulations and conduct audits under CMS's Recovery Audit Contractor (RAC) program. The RAC program has been made permanent and was required to be expanded broadly to healthcare providers pursuant to the Tax Relief and Health Care Act of 2006. The results of the enhanced medical necessity reviews and the RAC program audits could have an adverse effect on our business, financial position, result of operations and liquidity. To the extent these reviews result in an adverse finding, we may contest the adverse finding vigorously; however, these matters can result in significant legal expense and consume our resources.

14. Commitments and Contingencies

Legal

The Company is, from time to time, subject to claims and suits arising in the ordinary course of business including, claims for damage for personal injuries, medical malpractice, breach of contract, wrongful restriction of or interference with physicians' staff privileges and employment related claims. In certain of these actions, plaintiffs request payment for damages, including

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Commitments and Contingencies (continued)

punitive damages that may not be covered by insurance. The Company is currently not a party to any pending or threatened proceedings, which, in management's opinion, would have a material adverse effect on the Company's business, financial condition or results of operations.

Physician Commitments

The Company has committed to provide certain financial assistance pursuant to recruiting agreements, or "physician minimum revenue guarantees," with various physicians practicing in the communities it serves. In consideration for a physician relocating to our communities and agreeing to engage in private practice for the benefit of the respective community, the Company may advance funding to a physician to assist in establishing his or her practice.

The Company accounts for its physician income guarantees in accordance with the provisions of ASC Topic 460-10, *Guarantees* (ASC 460-10). Under the provision of ASC Topic 460-10, the Company records a contract-based asset and a related guarantee liability for new physician minimum revenue guarantees. The contract-based asset is amortized as a component of other operating expense, in the accompanying consolidated statements of operations, over the period of the physician contract, which typically ranges from three to five years. At December 31, 2009, the maximum potential amount of future payments under our income guarantees was \$0.4 million. We have assets, net of accumulated amortization of \$0.8 million, recorded in other assets and liabilities of \$0.4 million recorded for the fair value of these guarantees, included in other current liabilities at December 31, 2009.

Information Systems

In March 2009, the Company entered into an agreement with McKesson Corporation to purchase clinical and hospital financial software and hardware with an initial cost of \$7.7 million. The Company has incurred approximately \$3.5 million of cost related to this project as of December 31, 2009. The conversion of the current information systems is anticipated to be complete during the second quarter of 2010.

In May 2009, the Company entered into an agreement with a third party to outsource its current information technology services for a ten-year period and to deploy system-wide clinical, electronic medical record and financial applications. The expected total contract value approximates \$31.3 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Commitments and Contingencies (continued)

Capital Expenditure Commitments

The Company is currently building a replacement hospital and related ancillary projects in Pocatello, Idaho. The Company has incurred approximately \$35.0 million of costs related to this project as of December 31, 2009, which is included as construction in progress in the Company's consolidated balance sheets. At December 31, 2009, the estimated amount to complete this project was \$119.0 million.

15. Business Concentrations

The Company grants credit without collateral to their patients, most of whom are local residents and are insured under third-party payer agreements. The mix of gross receivables from patients and third-party payers at December 31, 2009 is as follows:

	<u>2009</u>
Medicare	23%
Medicaid	10
Managed care organizations	31
Other	9
Self-pay	<u>27</u>
	<u>100%</u>

16. Subsequent Events

In February 2010, LHP, through a subsidiary of the Pocatello Joint Venture, acquired certain assets of Idaho Medical Imaging, LLC, and Radiology Physicians of Idaho, P.C., in consideration for a purchase price of \$3.5 million. Also in February 2010, LHP, through a subsidiary of the Pocatello Joint Venture, acquired all of the assets of Pocatello Cardiology Associates in consideration for a cash payment of \$0.3 million and entered into employment agreements with certain shareholder employees and physician employees of Pocatello Cardiology Associates.

On April 15, 2010, LHP, through a joint venture with Texas Health Resources, purchased a hospital in Sherman, Texas for a purchase price of \$72.5 million, subject to certain adjustments. The joint venture has committed to invest \$25.0 million in capital improvements in the hospital over the next four years.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

16. Subsequent Events (continued)

On April 15, 2010, LHP Operations Co., LLC, (Op Co), a wholly owned subsidiary of LHP, entered into a Credit Agreement with a group of four lending banks. Under the terms of the Credit Agreement, Op Co is the borrower and LHP is a guarantor. The Credit Agreement includes a \$45.0 million term loan for a 3.5 year term, a \$15.0 million revolving line of credit and \$100.0 million accordion feature that can, subject to certain conditions, be accessed to fund future expansion.

Quarterly principal payments of \$0.1 million are due on the term loan beginning on June 30, 2010 and until October 15, 2013, at which time the remaining balance of \$40.5 million will become due. Interest on the term loan is computed on variable rates and is paid, in arrears, either quarterly, or if the interest rate for a period is based on a period that is shorter than a quarter, at the end of the period on which the interest rate is based.

Op Co pays quarterly an unused commitment fee equal to 100 basis points per year on the unused portion of the revolving line of credit.

The Company has evaluated subsequent events from the balance sheet date through April 30, 2010, the date at which the financial statements were available to be issued, and determined there are no other items to disclose.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Amortization of intangible assets over the next five years is as follows (in millions):

2010	\$	0.1
2011		0.1
2012		0.1
2013		0.1
2014		—

The gross carrying amount and accumulated amortization at December 31, 2009 and 2008 are as follows (in millions):

	<u>2009</u>	<u>2008</u>
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 0.6	\$ —
Less accumulated amortization	0.1	—
Net amortizable intangible assets	<u>\$ 0.5</u>	<u>\$ —</u>
Indefinite-lived intangible assets:		
Trade name	<u>\$ 0.1</u>	<u>\$ —</u>

Changes in the value of goodwill were as follows:

	<u>December 31</u>	
	<u>2009</u>	<u>2008</u>
Balance at January 1	\$ —	\$ —
Goodwill acquired	14.3	—
Balance at December 31	<u>\$ 14.3</u>	<u>\$ —</u>

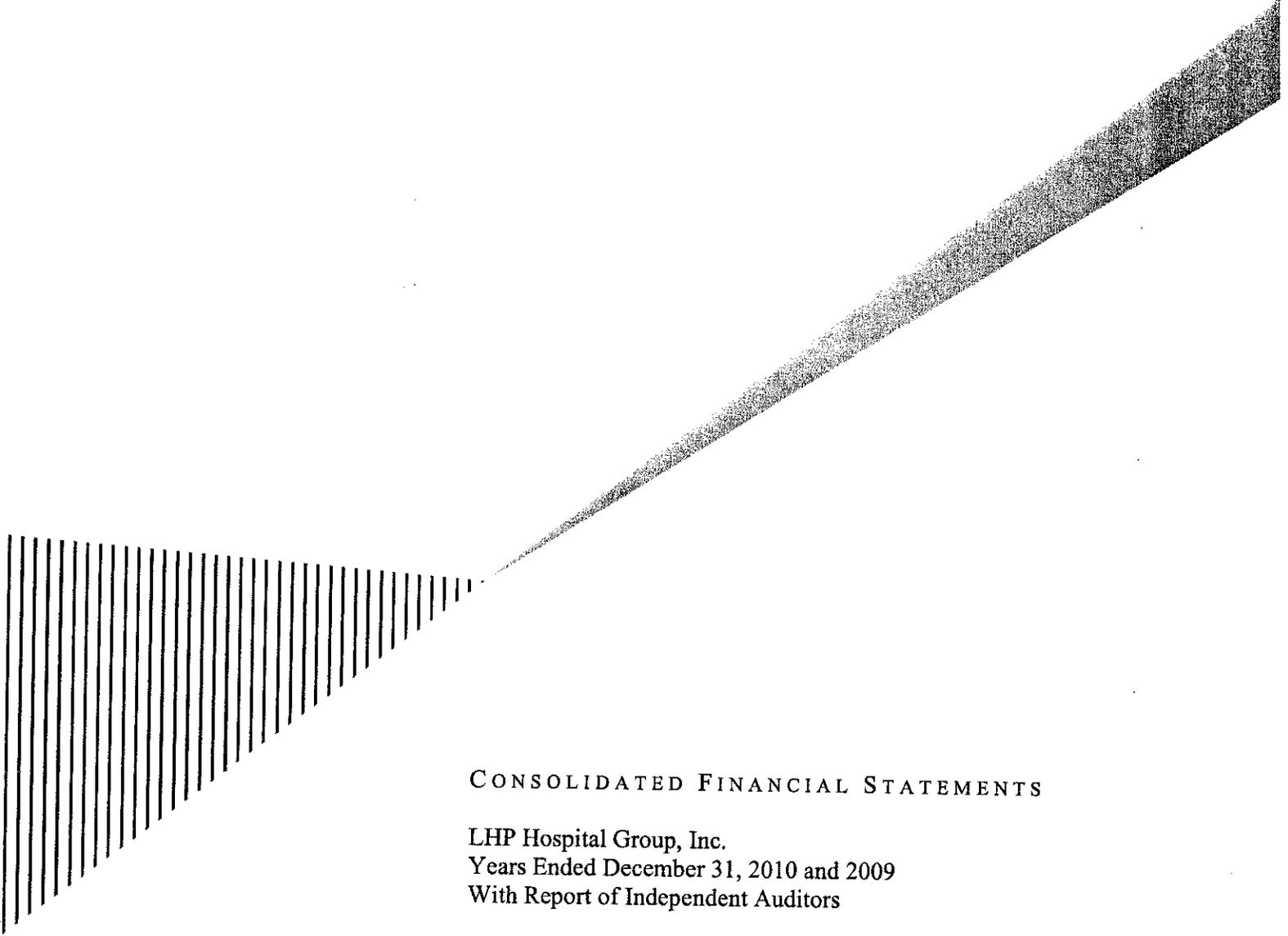
5. Stockholder's Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2009, LHP has 2,000 shares of preferred stock authorized with 221.3 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions

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CONSOLIDATED FINANCIAL STATEMENTS

LHP Hospital Group, Inc.
Years Ended December 31, 2010 and 2009
With Report of Independent Auditors

Ernst & Young LLP

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LHP Hospital Group, Inc.

Consolidated Financial Statements

Years Ended December 31, 2010 and 2009

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Report of Independent Auditors

The Board of Directors
LHP Hospital Group, Inc.

We have audited the accompanying consolidated balance sheets of LHP Hospital Group, Inc. (formerly Legacy Hospital Partners, Inc.) as of December 31, 2010 and 2009, and the related consolidated statements of operations, equity, and cash flows for the years then ended. These consolidated financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. We were not engaged to perform an audit of the Company's internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of LHP Hospital Group, Inc. at December 31, 2010 and 2009, and the consolidated results of its operations and its cash flows for the years then ended, in conformity with U.S. generally accepted accounting principles.

Ernst & Young LLP

April 27, 2011

LHP Hospital Group, Inc.
Consolidated Balance Sheets

	December 31	
	2010	2009
Assets		
Current assets:		
Cash and cash equivalents	\$ 22,514,058	\$ 17,526,287
Restricted cash	965,000	435,000
Accounts receivable, less allowance for doubtful accounts of \$24,511,187 and \$11,440,066	42,886,926	25,597,713
Inventories	7,781,503	5,300,520
Other receivables, net	5,100,967	2,360,389
Other	2,161,675	2,040,729
Total current assets	81,410,129	53,260,638
Property and equipment, at cost:		
Land	17,766,322	13,085,000
Building and improvements	75,935,489	43,061,403
Furniture and equipment	54,044,296	24,468,929
Construction in progress	109,619,744	38,463,723
	257,365,851	119,079,055
Accumulated depreciation and amortization	(21,672,189)	(11,193,801)
Property and equipment, net	235,693,662	107,885,254
Other assets:		
Investments in and advances to affiliates	335,804	194,611
Goodwill	24,516,835	14,331,719
Intangibles, net	797,579	474,667
Notes receivable	3,149,437	2,497,915
Other	1,806,376	-
Total assets	\$ 347,709,822	\$ 178,644,804

	December 31	
	2010	2009
Liabilities and equity		
Current liabilities:		
Accounts payable	\$ 26,212,646	\$ 16,582,383
Accrued salaries, wages, and benefits	11,930,250	6,953,951
Other accrued expenses	8,674,105	5,932,091
Interest payable	301,390	268
Current portion of long-term debt	10,535,374	—
Current portion of capital lease obligations	216,829	892,325
Income tax payable	372,448	376,437
Total current liabilities	<u>58,243,042</u>	<u>30,737,455</u>
Long-term liabilities:		
Long-term debt	46,208,265	1,875,661
Capital lease obligations	1,769,733	1,981,315
Due to Legacy Hospital Partners (Holdings), LLC	587,864	592,716
Other	1,351,266	1,154,437
Total long-term liabilities	<u>49,917,128</u>	<u>5,604,129</u>
Commitments and contingencies		
Equity:		
Stockholders' equity:		
Preferred stock, \$0.01 par value, 2,000 shares authorized, 387.1 and 222.5 shares issued and outstanding in 2010 and 2009, respectively	4	2
Common stock \$0.01 par value, 1,000 shares authorized, no shares issued and outstanding	—	—
Additional paid-in capital	192,968,960	110,645,498
Retained deficit	(25,649,110)	(29,332,949)
Total stockholders' equity	<u>167,319,854</u>	<u>81,312,551</u>
Noncontrolling interest	72,229,798	60,990,669
Total equity	<u>239,549,652</u>	<u>142,303,220</u>
Total liabilities and equity	<u>\$ 347,709,822</u>	<u>\$ 178,644,804</u>

See accompanying notes.

LHP Hospital Group, Inc.
Consolidated Statements of Operations

	Year Ended December 31	
	2010	2009
Net revenue	\$ 290,324,999	\$ 158,895,305
Operating expenses:		
Salaries and benefits	122,878,315	74,219,681
Supplies	53,673,434	29,486,405
Other operating expenses	41,414,046	41,068,067
Provision for doubtful accounts	32,729,226	10,281,314
Equity in earnings of affiliates	(44,610)	(165,227)
Contract services	19,885,139	13,744,649
Depreciation and amortization	14,100,401	11,201,177
Income (loss) from operations	5,689,048	(20,940,761)
Interest expense, net	2,360,433	306,009
(Gain) loss on sale of assets	9,612	(439,134)
Other income, net	(3,680,381)	(3,008,350)
Income (loss) before taxes	6,999,384	(17,799,286)
Income tax provision	1,572,834	376,437
Net income (loss)	5,426,550	(18,175,723)
Income attributable to noncontrolling interests	1,742,711	1,726,669
Net income (loss) attributable to LHP Hospital Group, Inc.	\$ 3,683,839	\$ (19,902,392)

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Equity

	Shares	Amounts	Additional Paid-In Capital	Retained Deficit	Equity Attributable to Noncontrolling Interests	Total
January 1, 2009	17.4	\$ 0.2	\$ 8,700,000	\$ (9,430,557)	\$ -	\$ (730,557)
Preferred stock issued	205.1	2.1	101,945,498	-	-	101,945,500
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	-	-	-	-	55,671,500	55,671,500
Physician partners	-	-	-	-	3,592,500	3,592,500
Net loss	-	-	-	(19,902,392)	1,726,669	(18,175,723)
December 31, 2009	222.5	2.3	110,645,498	(29,332,949)	60,990,669	142,303,220
Preferred stock issued	164.6	1.6	82,318,180	-	-	82,318,182
Contributions received from noncontrolling interest:						
Portneuf Health Care Foundation	-	-	-	-	-	-
Texas Health Resources	-	-	-	-	8,181,818	8,181,818
Seton Healthcare	-	-	-	-	2,575,000	2,575,000
Physician partners	-	-	-	-	308,080	308,080
Distributions made to noncontrolling interest:						
Portneuf Health Care Foundation	-	-	-	-	(1,250,318)	(1,250,318)
Physician partners	-	-	-	-	(318,162)	(318,162)
Equity-based compensation plans	-	-	5,282	-	-	5,282
Net income	-	-	-	3,683,839	1,742,711	5,426,550
December 31, 2010	387.1	\$ 3.9	\$ 192,968,960	\$ (25,649,110)	\$ 72,229,798	\$ 239,549,652

See accompanying notes.

LHP Hospital Group, Inc.

Consolidated Statements of Cash Flows

	Year Ended December 31	
	2010	2009
Operating activities		
Net income (loss)		
Adjustments to reconcile net income (loss) to net cash provided by operating activities:	\$ 3,683,839	\$ (19,902,392)
Provision for doubtful accounts	32,729,226	10,281,314
Depreciation and amortization	14,100,401	11,201,177
Income attributable to noncontrolling interests	1,742,711	1,726,669
Equity in earnings of unconsolidated affiliates	(44,610)	(165,227)
(Gain) loss on sale of assets	9,612	(439,134)
Amortization of debt issuance costs	432,717	-
Share-based compensation expense	5,282	-
Increase (decrease) in cash from operating assets and liabilities, net of acquisitions:		
Accounts receivable	(39,632,852)	(7,618,898)
Inventories and other assets	(1,306,505)	(1,101,733)
Accounts payable and accrued expenses	8,408,956	11,335,290
Other	(53,535)	(342,021)
Net cash provided by operating activities	20,075,242	4,975,045
Investing activities		
Purchases of property and equipment	(81,070,913)	(44,965,693)
Advances to noncontrolling interests, net	(1,568,480)	-
Proceeds received on sale of assets	42,225	24,776
Acquisitions, net of cash acquired	(69,173,352)	(48,292,352)
Due (from) to Legacy Hospital Partners (Holdings), LLC	(4,852)	592,716
Other	84,339	-
Net cash used in investing activities	(151,691,033)	(92,640,553)
Financing activities		
Payments for borrowings	(1,298,774)	(835,830)
Proceeds from borrowings	55,000,000	-
Payment of debt issue costs	(1,990,847)	-
Proceeds from issuance of preferred stock	82,318,183	101,945,500
Contributions from noncontrolling interest	2,575,000	2,910,000
Net cash provided by financing activities	136,603,562	104,019,670
Change in cash and cash equivalents	4,987,771	16,354,162
Cash and cash equivalents at beginning of year	17,526,287	1,172,125
Cash and cash equivalents at end of year	\$ 22,514,058	\$ 17,526,287
Supplemental disclosures		
Cash received (paid) for:		
Noncontrolling interests issued to Physician partners	\$ -	\$ 682,500
Interest	\$ 1,655,190	\$ 327,858
Income taxes	\$ 1,576,823	\$ -

See accompanying notes.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements

December 31, 2010

1. Business Overview

Reporting Entity

LHP Hospital Group, Inc. (LHP) (formerly Legacy Hospital Partners, Inc.) is a privately held company established to form joint ventures, in cooperation with local hospitals, to acquire, own, operate, and manage acute care facilities in small cities and select urban markets throughout the United States. LHP is a wholly owned subsidiary of Legacy Hospital Partners (Holdings), LLC. LHP was incorporated on October 5, 2007 under the laws of Delaware and commenced operations on December 10, 2007. The terms “we”, “our”, “the Company”, “us”, and “LHP” refer to the business of LHP Hospital Group, Inc., and all of our wholly owned subsidiaries.

2. Summary of Significant Accounting Policies

Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect amounts reported in the consolidated financial statements and the accompanying notes. We regularly evaluate the accounting policies and estimates we use. In general, we base our estimates on assumptions that we believe to be reasonable given the particular circumstances in which we operate. Although we believe all adjustments considered necessary for presentation have been included, actual results could differ from those estimates.

Principles of Consolidation

The consolidated financial statements include the accounts of LHP and its subsidiaries, all of which are either controlled by us through majority voting control or are variable interest entities (VIEs) for which the Company is the primary beneficiary. VIEs represent situations in which consolidation is required even though the usual condition of consolidation (owning a majority voting interest) does not apply. A VIE occurs when an entity holds an interest in another business enterprise that was achieved through arrangements that do not involve voting interests and result in a disproportionate relationship between the entity’s voting interest in, and its exposure to the economic risks and potential rewards of, the other business enterprise.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company must consolidate a VIE if we determine that we are the primary beneficiary of the VIE. The primary beneficiary has both: (a) the power to direct the activities of the VIE that most significantly impact the entity's economic performance, and (b) the obligation to absorb losses or the right to receive benefits from that VIE that could potentially be significant to the VIE.

The Company holds a 49.9% equity interest in a joint venture with Texas Health Resources (THR) which acquired a hospital in Sherman, Texas (see Note 3). Through a wholly owned subsidiary, the Company loaned the joint venture \$49.6 million. The Company has determined that the joint venture is a VIE and the Company is the primary beneficiary of the VIE because it has: (a) the power to direct certain activities that significantly impact the economic performance of the VIE, and (b) the obligation to absorb losses of and the right to receive benefits from the VIE through its equity interest and its loan to the joint venture. Accordingly, the Company consolidates this entity. At December 31, 2010, \$82.5 million in assets and \$62.9 million in liabilities of the VIE are included in the Company's consolidated balance sheet.

We have completed various acquisitions and a joint venture transaction. The accounts of these entities have been included in our consolidated financial statements for periods subsequent to our acquisition of controlling interests.

All significant intercompany accounts and transactions have been eliminated upon consolidation.

Reclassification

Certain amounts within current liabilities have been reclassified to conform to the 2010 presentation.

Net Patient Service Revenues

Revenues consist primarily of net patient service revenues that are recorded based upon established billing rates less allowances for contractual adjustments. Revenues are recorded during the period the healthcare services are provided, based upon the estimated amounts due from the patients and third-party payers. Third-party payers include federal and state agencies (under the Medicare and Medicaid programs) and other third-party payers such as health maintenance organizations, preferred provider organizations, and other private insurers. The amounts received from these third-party payers are generally less than the Company's

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

established billing rates. Accordingly, the revenues reported in the Company's consolidated financial statements are recorded at the net amount that is expected to be received. The Company derives a significant portion of its revenues from Medicare, Medicaid, and other payers that receive discounts from its established billing rates. The Company must estimate the total amount of these discounts to prepare its consolidated financial statements. The Medicare and Medicaid regulations and the various managed care contracts under which these discounts must be calculated are complex and are subject to interpretation and adjustments. The Company estimates the allowance for contractual discounts on a payer-specific basis given its interpretation of the applicable regulation or contractual terms. As a result, there is a possibility that the recorded estimates could change by a material amount.

Patients treated at hospitals for nonelective care, who have income below certain amounts that are based on federal poverty level guidelines, are eligible for charity care. Charity care, based on our cost of service, totaled \$13.2 million and \$0.9 million for the years ended December 31, 2010 and 2009, respectively. The federal poverty level is established by the federal government and is based on income and family size. We provide discounts to uninsured patients who do not qualify for Medicare or Medicaid or charity care. These discounts are similar to discounts provided to many local managed care plans and totaled \$3.5 million and \$0.1 million for the years ended December 31, 2010 and 2009, respectively. In implementing our discount policy, we first attempt to qualify uninsured patients for Medicare or Medicaid, other federal or state assistance, or charity care. If an uninsured patient does not qualify for these programs, the uninsured discount is applied.

We are required to meet certain financial reporting requirements to participate in the Medicare and Medicaid programs. Federal regulations require the submission of annual cost reports covering medical cost and expenses associated with the services provided by the hospital to program beneficiaries. Cost report settlements under reimbursement agreements with Medicare and Medicaid are estimated and recorded in the period the related services are rendered and are adjusted in future periods as final settlements are determined. There is a possibility that the recorded estimates could change by a material amount. The adjustments to estimated reimbursement amounts in 2010 increased revenues \$0.6 million; these adjustments were related to settlements and changes in estimates for reserves related to previously filed cost reports. There were no adjustments recorded to net revenues in 2009 as prior year cost report settlements were the responsibility of the previous owners. The net estimated cost report settlement due from the Company as of December 31, 2010 and 2009 is included in accounts receivable in the accompanying consolidated balance sheets and was approximately \$2.3 million and \$0.3 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Laws and regulations governing Medicare and Medicaid programs are complex and subject to interpretation. The Company believes that it is in compliance with all applicable laws and regulations and is not aware of any pending or threatened investigation involving allegations of potential wrongdoing that would have a material effect on the Company's consolidated financial statements. Compliance with such laws and regulations can be subject to future government review and interpretation as well as significant regulatory action including but not limited to fines, penalties, and exclusion from the Medicare and Medicaid programs.

During the years ended December 31, 2010 and 2009, approximately 51.3% and 45.4%, respectively, of the Company's revenues related to patients participating in the Medicare and Medicaid programs, collectively. The Company's management recognizes that revenues and receivables from government agencies are significant to the Company's operations, but it does not believe that there are significant credit risks associated with these government agencies. The Company's management does not believe that there are any other significant concentrations of revenues from any particular payor that would subject the Company to any significant credit risks in the collection of its accounts receivable.

Cash and Cash Equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents. Cash and cash equivalents consist of cash on hand, money market funds, and a certificate of deposit in the amount of \$1.0 million and \$0.4 million at December 31, 2010 and 2009, respectively, that served as collateral for the Company's workers' compensation program, which has been classified as restricted cash on the consolidated balance sheets. The Company places its cash in financial institutions that are federally insured.

Accounts Receivable and Allowance for Doubtful Accounts

The Company receives payments for services rendered from federal and state agencies (under the Medicare and Medicaid programs), third-party payers, and patients. The Company's ability to collect outstanding receivables is critical to its results of operations and cash flows. To provide for accounts receivable that could become uncollectible in the future, the Company establishes an allowance for doubtful accounts to reduce the carrying value of such receivables to their estimated net realizable value.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The Company has a process to determine the adequacy of the allowance for doubtful accounts that relies on a number of analytical tools and benchmarks to arrive at a reasonable allowance. No single statistic or measurement determines the adequacy of the allowance for doubtful accounts. Some of the tools that the Company utilizes include, but are not limited to, historical cash collections experience and revenue trends by payer classification. Accounts are written off when all reasonable internal and external collection efforts have been exhausted.

At December 31, the mix of gross receivables from patients and third-party payers is as follows:

	<u>2010</u>	<u>2009</u>
Medicare	29%	23%
Medicaid	11	10
Managed care organizations	28	31
Other	10	9
Self-pay	22	27
	<u>100%</u>	<u>100%</u>

Inventories

Inventory is carried at the lower of cost or market and consists mainly of drugs and medical supplies. Cost is determined based on the first-in, first-out method.

Property and Equipment

Property and equipment are recorded at cost. Property and equipment acquired in connection with a business combination are recorded at their estimated fair value in accordance with the acquisition method of accounting as prescribed in Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 805-10, *Business Combinations*. Property and equipment under capital leases are stated at the present value of minimum lease payments. Routine maintenance and repairs are charged to expense when incurred. Expenditures that increase capacities or extend useful lives of assets are capitalized.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Depreciation expense is computed by applying the straight-line method over the estimated useful lives of the assets. Assets held under capital leases are amortized on a straight-line basis over the shorter of the lease term or estimated useful life of the asset. The estimated useful life of buildings and improvements generally range from 10 to 40 years, while the estimated useful lives of furniture and equipment range from 3 to 10 years. Total depreciation (including amortization of capital leases) for the years ended December 31, 2010 and 2009 was \$13.9 million and \$11.2 million, respectively.

The Company is obligated under capital leases covering certain buildings and equipment. At December 31, the gross amount of buildings and equipment and related accumulated amortization recorded under capital leases were as follows (in millions):

	2010	2009
Buildings and improvements	\$ 1.7	\$ 1.7
Equipment	3.4	3.4
	5.1	5.1
Accumulated amortization	(2.2)	(1.2)
	\$ 2.9	\$ 3.9

Long-lived Assets

When events, circumstances, or operating results indicate the carrying values of certain long-lived assets and related identifiable intangible assets (excluding goodwill and indefinite-lived intangibles) expected to be held and used might be impaired, we prepare projections of the undiscounted cash flows expected to result from the use and eventual disposition of the assets. If the projections indicate the recorded amounts are not expected to be recoverable, such amounts are reduced to estimated fair value. No indicators of impairment were identified for the years ended December 31, 2010 and 2009.

Income Taxes

LHP recognizes deferred tax assets and liabilities for the expected future tax consequences of items that have been included in the consolidated financial statements. Deferred tax assets and liabilities are determined by the differences between the consolidated financial statements and

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

the tax basis of assets and liabilities using current tax rates. A deferred tax liability is recognized for temporary differences that will result in taxable amounts in future years. A deferred tax asset is recognized for temporary differences that will result in deductible amounts in future years. A valuation allowance against a deferred tax asset is recognized if, based on the weight of available evidence, it is more likely than not that some portion or all of the deferred tax assets will not be realized.

The Company recognizes a tax benefit for uncertain tax positions if the Company believes it is more likely than not that the position will be upheld on audit based solely on the technical merits of the tax position. The Company evaluates uncertain tax positions after consideration of all available information. As of December 31, 2010 and 2009, the Company had no tax benefit for uncertain tax positions. Interest and penalties associated with uncertain tax positions, if any, are recorded within interest expense and income tax expense, respectively.

Amortizable Intangibles

Amortizable intangible assets include covenants not to compete. The agreements not to compete are amortized over the terms of the agreements using the straight-line method.

Goodwill and Indefinite-lived Intangible Assets

Goodwill is the excess of the purchase price over the fair value of identifiable assets acquired. Under FASB ASC Topic 350, *Intangibles – Goodwill and Other*, goodwill and intangibles with indefinite lives are not amortized, but tested for impairment annually or more frequently if certain indications of impairment arise.

Goodwill impairment is determined using a two-step test. The first step is to identify if a potential impairment exists by comparing the fair value of the reporting unit with its carrying amount, including goodwill. If the fair value of the reporting unit exceeds its carrying amount, goodwill is not considered to have a potential impairment and the second step of the impairment test is not required. However, if the carrying amount of the reporting unit exceeds its fair value, the second step is performed to determine if goodwill is impaired and to measure the amount of impairment loss to be recognized, if any. The second step compares the implied fair value of goodwill with the carrying amount of goodwill. If the implied fair value of goodwill exceeds its carrying amount, then goodwill is not considered impaired. However, if the carrying amount of goodwill exceeds its implied fair value, an impairment loss is recognized for any excess of the

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

carrying amount of the reporting unit's goodwill over the implied fair value of that goodwill. The implied fair value of goodwill is determined by allocating the fair value of the reporting unit in a manner similar to a purchase price allocation and the residual fair value after this allocation is the implied fair value of the reporting unit goodwill. Fair value of the reporting unit is estimated based upon internal evaluations of the related long-lived assets for each reporting unit that include quantitative analyses of revenues and cash flows. The Company has identified the reporting unit at the joint venture level. The Company determined that there are no goodwill impairment indicators during the years ended 2010 and 2009.

The Company's total cumulative amortizable goodwill for tax purposes was \$16.4 million and \$7.3 million as of December 31, 2010 and 2009, respectively. The goodwill expected to be deductible for tax purposes is limited to the Company's ownership interest in the respective joint venture to which the remaining amortizable goodwill is assigned.

Other Accrued Expenses

Other accrued expenses consist of the following (in millions):

	<u>2010</u>	<u>2009</u>
Health benefits	\$ 1.9	\$ 0.5
Workers' compensation	0.6	0.5
Taxes other than income	3.0	2.4
Physician recruitment	1.7	0.4
Other	1.5	2.1
	<u>\$ 8.7</u>	<u>\$ 5.9</u>

Professional and General Liability

Our professional and general liability insurance is provided by a commercial carrier. The policy is a claims made policy and has limits of \$1.0 million per claim and \$3.0 million annual aggregate for medical professional liability claims and \$1.0 million per occurrence and \$3.0 million annual aggregate for general liability claims. The deductible is \$0.1 million per occurrence. In addition to the primary coverage, umbrella and excess coverage is maintained with shared limits of \$15.0 million. Reserves are established for estimates of loss that will

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

ultimately be incurred on claims that have been reported but not paid and claims that have been incurred but not reported. These reserves are established based on consultation with independent actuaries. Management believes the use of actuarial methods to account for these reserves provides a consistent and effective way to measure these accruals. However, recorded reserves could differ from ultimate costs related to these claims. The reserve for professional and general liability was \$1.0 million at December 31, 2010. No reserve was considered necessary at December 31, 2009.

Self-Insured Liabilities

The Company is self-insured for substantially all of the medical benefits of its employees. The reserve for medical benefits reflects known claims and an estimate of incurred but not reported claims based upon an actuarial analysis as of the balance sheet date. The reserve for self-insured medical benefits was \$1.9 million and \$0.5 million at December 31, 2010 and 2009, respectively.

The Company is self-insured for workers' compensation claims with a stop loss limit of \$250,000 per occurrence. Estimated liabilities for workers' compensation claims were \$0.6 million and \$0.5 million at December 31, 2010 and 2009, respectively.

Noncontrolling Interest

Noncontrolling interests represent the portion of equity in a subsidiary not attributable, directly or indirectly, to us. The Company's accompanying consolidated financial statements include all assets, liabilities, revenues, and expenses at their consolidated amounts, which include the amounts attributable to the Company and the noncontrolling interest. The Company recognizes as a separate component of equity and earnings the portion of income or loss attributable to noncontrolling interests based on the portion of the entity not owned by the Company.

Equity-Based Compensation

We account for equity-based compensation in accordance with FASB ASC Topic 718, *Compensation – Stock Compensation*. ASC Topic 718 requires the Company to recognize compensation expense for all equity-based awards granted to employees, using a fair value measurement method. Under the fair value method, the estimated fair value of awards that are expected to vest is recognized over the requisite service period, which is generally the vesting period. The Company estimates grant date fair value using the Black-Scholes-Merton option pricing model.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Recently Issued Accounting Pronouncements

In August 2010, the FASB issued Accounting Standards Update (ASU) 2010-24, *Health Care Entities (Topic 954), Presentation of Insurance Claims and Related Insurance Recoveries*. ASU 2010-24 provides clarification to health care companies on the accounting for professional liability insurance. ASU 2010-24 states that receivables related to insurance recoveries should not be netted against the related claim liability and the claim liability should be determined without considering insurance recoveries. ASU 2010-24 is effective January 1, 2011 for the Company. The adoption of ASU 2010-24 is not expected to impact the Company's consolidated results of operations and consolidated financial position.

In August 2010, the FASB issued ASU 2010-23, *Health Care Entities (Topic 954), Measuring Charity Care for Disclosure*. ASU 2010-23 standardized the basis of disclosure of charity care and requires health care companies to use their direct and indirect costs of providing charity care as the measurement basis of charity care disclosures. ASU 2010-23 is effective January 1, 2011 for the Company. The adoption of ASU 2010-23 is not expected to impact the Company's consolidated results of operations and consolidated financial position.

In January 2010, the FASB issued ASU 2010-06, *Improving Disclosures about Fair Value Measurements*, amending ASC Topic 820, *Fair Value Measurements and Disclosures*, to increase disclosure requirements regarding recurring and nonrecurring fair value measurements. The Company adopted ASU 2010-06 for the period ended December 31, 2010, except for the disclosures about activity in Level 3 fair value measurements, which will be effective for the Company's fiscal year beginning January 1, 2011. The adoption of ASU 2010-06 did not have a material impact on the Company's financial statements and is not expected to have a material impact on the Company's financial statements once fully implemented.

On January 1, 2010, the Company adopted ASU 2010-17, *Improvements to Financial Reporting by Enterprises involved with Variable Interest Entities*, which changes how a reporting entity determines when an entity that is insufficiently capitalized or is not controlled through voting (or similar rights) should be consolidated. The determination of whether a reporting entity is required to consolidate another entity is based on, among other factors, the other entity's purpose and design and the reporting entity's ability to direct the activities of the other entity that most significantly impact the other entity's economic performance.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions

On April 15, 2010, the Company, through a joint venture with THR (Sherman Joint Venture), purchased a hospital in Sherman, Texas (The Wilson N. Jones Memorial Hospital) for approximately \$75.0 million, including acquisition-related costs of \$0.1 million, which were expensed in the period. The hospital is licensed for 241 beds. The Company, through a wholly owned subsidiary, contributed cash of \$17.2 million for a 49.9% interest in the Sherman Joint Venture. The Sherman Joint Venture borrowed \$49.6 million of the purchase price from LHP Operations Co., LLC (a wholly owned subsidiary of LHP) consisting of a \$30.0 million senior secured note and a \$19.6 million junior subordinate convertible note. The Sherman Joint Venture committed to invest \$25.0 million in capital improvements in the hospital over the next four years. Purchase price amounts have been allocated to the related assets acquired and liabilities assumed based upon their respective fair values, as determined by an independent third-party valuation using a combination of the cost and market approaches. As of December 31, 2010, the amount of the purchase price paid in excess of the fair value of identifiable net assets acquired that is recorded as goodwill is approximately \$7.9 million. The Sherman Joint Venture is consolidated in accordance with FASB ASC Topic 805-10. The results of operations have been included in the Company's consolidated statements of operations beginning April 15, 2010.

The fair value of the 50.1% noncontrolling interest in the Sherman Joint Venture is estimated to be \$8.2 million and was based on an independent third-party valuation using a combination of the cost and market approaches.

The Company recognized \$0.1 million in acquisition-related costs that were expensed in 2010 and are included in the consolidated statements of operations as other operating expenses.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The table below summarizes the fair values of the assets acquired and liabilities assumed from the above acquisition transactions (in millions):

Assets:	
Cash	\$ 1.3
Accounts receivable	10.4
Inventories	3.0
Other current assets	1.3
Property and equipment	57.1
Investments in affiliates	0.1
Notes receivable	0.8
Total identifiable assets acquired	74.0
Liabilities:	
Accounts payable	3.8
Accrued liabilities	2.6
Notes payable	0.3
Noncontrolling interest	0.3
Total liabilities assumed	7.0
Net identifiable assets acquired	67.0
Goodwill	7.9
Net assets acquired	\$ 74.9

On February 1, 2009, the Company acquired a 77% interest in a joint venture (Pocatello Joint Venture) formed to acquire, own, and operate a hospital (Portneuf Medical Center) in Pocatello, Idaho, and to develop a replacement hospital. The hospital is licensed for 250 beds. The Company, through a wholly owned subsidiary, contributed cash of \$45.0 million and a promissory note for approximately \$141.0 million to the joint venture in exchange for a 77% interest in the joint venture. Purchase price amounts have been allocated to the related assets acquired and liabilities assumed based upon their respective fair values. As of December 31, 2010, the amount of the purchase price paid in excess of the fair value of identifiable net assets acquired that is recorded as goodwill is approximately \$6.8 million. The results of operations have been included in the Company's consolidated statements of operations beginning February 1, 2009.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

The fair value of the 23% noncontrolling interest in the Pocatello Joint Venture is estimated to be \$55.7 million and was based on an independent third-party valuation using a combination of the cost and market approaches.

The Company recognized \$15.0 million in acquisition-related costs that were expensed in 2009 and are included in the consolidated statements of operations as other operating expenses.

As part of the initial acquisition of the hospital in Pocatello, Idaho, the Company acquired a 20.1% equity investment in Rocky Mountain Surgery Center, LLC. Effective August 1, 2009, the Company acquired all of the assets in Rocky Mountain Surgery Center in exchange for \$6.9 million in cash and a noncontrolling interest in a subsidiary of the Pocatello Joint Venture, with a value of \$0.7 million. The acquisition date fair value of the previous equity interest was \$1.5 million, and was included in the measurement of the consideration transferred. The Company recognized a gain of \$0.4 million as a result of remeasuring its equity interest in Rocky Mountain Surgery Center prior to the business combination. The gain is included in gain on sale of assets in the accompanying consolidated statement of operations. The Company accounted for this transaction under the business combination achieved in stages, in accordance with FASB ASC Topic 805-10. The amount of goodwill assigned to the acquisition of Rocky Mountain Surgery Center was \$7.5 million.

The operating results of the foregoing acquisitions have been included in the consolidated statements of operations from their respective dates of acquisition. The table below summarizes the operating results for the acquisition that closed during their respective years (in millions):

	<u>2010</u>		<u>2009</u>
Net revenues	\$ 97.5	\$	161.9
Net income	0.1		5.8

The following represents the unaudited pro forma consolidated statements of operations as if the acquisitions above had been included in the consolidated results of the Company for the entire years ended December 31, 2010 and 2009 (in millions):

	<u>2010</u>		<u>2009</u>
Net revenues	\$ 330.5	\$	314.2
Net income (loss)	3.7		(19.3)

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

3. Acquisitions (continued)

Additionally, during 2010, the Company paid approximately \$3.8 million to acquire the operating assets and related businesses of certain physician practices and other ancillary businesses that operate within the communities served by its hospitals. In connection with these acquisitions the Company allocated approximately \$1.5 million to identifiable assets acquired and the remaining \$2.3 million was allocated to goodwill.

In October 2009, the Company issued additional noncontrolling interests in a subsidiary of the Pocatello Joint Venture to members of the hospital's medical staff in exchange for cash of \$2.9 million.

4. Goodwill and Other Intangible Assets

The acquired intangible assets subject to amortization relate to covenants not to compete, which have useful lives ranging from two to five years. At December 31, the gross carrying amount and accumulated amortization are as follows (in millions):

	2010	2009
Amortizable intangible assets:		
Covenants not to compete agreements	\$ 1.0	\$ 0.6
Less accumulated amortization	0.3	0.1
Net amortizable intangible assets	\$ 0.7	\$ 0.5
Indefinite-lived intangible assets:		
Trade name	\$ 0.1	\$ 0.1

Amortization expense was \$0.2 million and \$0.1 million for the years ended December 31, 2010 and 2009, respectively. Future amortization of intangible assets is as follows (in millions):

2011	\$ 0.5
2012	0.1
2013	0.1

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Other Intangible Assets (continued)

Changes in the value of goodwill were as follows:

Balance at January 1, 2009	\$	—
Goodwill acquired		14.3
Balance at December 31, 2009		<u>14.3</u>
Goodwill acquired		10.2
Balance at December 31, 2010	\$	<u>24.5</u>

5. Stockholders' Equity

LHP has authorized two classes of stock, which are described below:

Preferred Stock

As of December 31, 2010, LHP has 2,000 shares of preferred stock authorized with 387.1 shares issued and outstanding. Holders of the preferred stock are entitled to receive certain distributions in preference to holders of the common stock. Holders of the preferred stock are first entitled to receive an annual special dividend equal to 30% of 1% of the aggregate amount of capital contributions made by CCMP Capital Advisors, LLC (CCMP) and the CPP Investment Board (CPPIB) in Legacy Hospital Partners (Holdings), LLC; the annual special dividend is not payable, however, until LHP attains positive earnings (as defined in LHP's Restated Certificate of Incorporation) for the fiscal year. Next, the holders of the preferred stock are entitled to receive a dividend accruing daily at the rate of 8% per annum of the original cost of each share of preferred stock. Finally, holders of the preferred stock are entitled to receive a return of an amount equal to the original cost of such preferred stock prior to the making of any payments to holders of the common stock.

As of December 31, 2010, the total cumulative annual dividends were \$0.9 million or \$2,265 per share and the 8% cumulative dividends were \$13.3 million or \$34,456 per share. As of December 31, 2009, the total cumulative dividends were \$0.3 million or \$1,489 per share and the 8% cumulative dividends were \$7.3 million or \$32,810 per share.

Common Stock

As of December 31, 2010, LHP has 1,000 shares of common stock authorized with no shares issued and outstanding.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

6. Equity-Based Compensation

In 2009, LHP adopted an equity incentive plan (the Plan) pursuant to which the Company's Board of Directors may grant stock options, restricted stock, or stock units (Awards) to employees, consultants, and directors of the Company and its subsidiaries. The Plan authorizes grants of up to 1,000,000 shares of authorized but unissued common stock. Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 100% of the fair market value of the common stock on the date of grant. In the case of any person who at the time of grant possesses more than 10% of the total combined voting power of all classes of stock of the Company, Awards can be granted with an exercise price not less than the greater of the par value of the common stock or 110% of the fair market value of the common stock. All Awards generally vest over a four-year period. As of December 31, 2010, LHP had granted 17,375 shares of restricted stock to certain employees with a fair value on the date of grant of \$1.00 per share.

A summary of the status of the Company's restricted stock as of December 31, 2010, and changes during the year ended December 31, 2010, is presented below:

	<u>Units</u>	<u>Weighted-Average Grant-Date Fair Value</u>
Nonvested at January 1, 2010	—	\$ —
Granted	17,375	1
Vested	<u>8,846</u>	1
Nonvested at December 31, 2010	<u>8,529</u>	1

The total fair value of restricted stock units that vested during the year ended December 31, 2010 was \$8,846.

As of December 31, 2010, there was \$8,529 (pretax) of unrecognized equity-based compensation expense related to the Company's equity incentive plan. The unrecognized expense amount is expected to be recognized over a weighted-average period of 2.5 years.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt

At December 31, the Company's long-term debt consists of the following (in millions):

	<u>2010</u>	<u>2009</u>
Term loan	\$ 44.7	\$ —
Revolving line of credit	10.0	—
Note payable	1.8	1.9
Other	0.2	—
	<u>56.7</u>	<u>1.9</u>
Less current portion	10.5	—
	<u>\$ 46.2</u>	<u>\$ 1.9</u>

On April 15, 2010, LHP Operations Co., LLC (the Borrower) entered into a Credit Agreement with a group of four financial institutions (the Lenders). The Credit Agreement consists of three components: (a) \$45,000,000 Term Loan, (b) \$15,000,000 Revolving Line of Credit, and (c) \$100,000,000 of Additional Facilities.

Term Loan

The Term Loan matures on October 15, 2013. Beginning June 30, 2010, the Term Loan requires quarterly payments of principal in the amount of \$112,500 on the last day of each quarter. The balance must be repaid in full on October 15, 2013. Interest on the Term Loan consists of a Base Rate, which fluctuates based upon market interest rates, plus an Applicable Margin. At the Borrower's option, the Base Rate can be tied to either the Prime Rate or the London Inter-Bank Offer Rate (LIBOR). If the Base Rate is tied to the Prime Rate, the Applicable Margin is 3.5% per year. If the Base Rate is tied to LIBOR, the Applicable Margin is 4.5% per year. Interest on the Term Loan is due monthly if the Base Rate is tied to the Prime Rate and at the end of the LIBOR contract term if the Base Rate is tied to LIBOR. To date, the Company has elected either 30-day or 90-day LIBOR as the Base Rate. Base rates have ranged from 0.24860% to 0.35219% (0.28438% at December 31, 2010).

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt (continued)

The Term Loan is secured by all of the property owned on the date of the loan or at any time in the future of the Borrower, all wholly owned subsidiaries of the Borrower (including units of interest in joint venture companies owned, or to be owned by the Borrower and its subsidiaries), and Sherman/Grayson Health System, LLC and its subsidiaries. The Term Loan is not secured by the assets of the joint venture companies other than Sherman/Grayson Health System, LLC and its subsidiaries.

The Term Loan contains various customary operating and financial covenants. The financial maintenance covenants establish a consolidated leverage ratio, a senior leverage ratio, and a fixed charge ratio. Management believes it is in compliance with all debt covenants as of December 31, 2010.

Revolving Line of Credit

The Revolving Line of Credit can be accessed and repaid by the Borrower at any time during the term of the Term Loan. The unused portion of the Revolving Line of Credit is subject to an unused commitment fee equal to 1% per year. At December 31, 2010, \$10,000,000 had been drawn on the Revolving Line of Credit.

Interest on loans under the Revolving Line of Credit is calculated separately from interest on the Term Loan; however, the terms under which interest is calculated on the Term Loan apply equally to loans under the Revolving Line of Credit. Similarly, loans under the Revolving Line of Credit are secured by the same property that secures the Term Loan and are subject to the same operating and financial covenants that apply to the Term Loan.

Additional Facilities

Subject to the terms and conditions of the Credit Agreement, the Borrower may, from time to time, request the Lenders to provide Additional Facilities up to \$100,000,000. In order to access the Additional Facilities, the Borrower must be in compliance with the operating and financial covenants and not be in default under the Credit Agreement. Additionally, until the Borrower's trailing twelve months earnings before income tax, depreciation and amortization exceed \$50,000,000, and the Borrower's consolidated debt must be less than 45% of total invested capital.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

7. Long-Term Debt (continued)

Interest on the Additional Facilities consists of a Base Rate, which fluctuates based upon market interest rates, plus an Applicable Margin. At the Borrower's option, the Base Rate can be tied to either the Prime Rate or LIBOR. The Applicable Margin will be determined at the time the Additional Facilities are accessed by the Borrower; however, the Applicable Margin on the Additional Facilities cannot exceed the Applicable Margin on Term and Revolving Credit Loans by more than 25 basis points. Interest on the loans under the Additional Facilities will be due monthly if the Base Rate is tied to the Prime Rate and at the end of the LIBOR contract term if the Base Rate is tied to LIBOR. At December 31, 2010, no amounts had been borrowed under the Additional Facilities provision.

Note Payable

In connection with the Company's acquisition of an interest in the Pocatello Joint Venture, the Company acquired a note payable for land in the amount of \$1.9 million. As of December 31, 2010 and 2009, approximately \$1.8 million and \$1.9 million, respectively, is recorded in long-term debt. The note bears an interest rate of 8% with quarterly principal and interest payments and matures September 2027.

The Company had net debt issue costs of \$1.6 million as of December 31, 2010, which is recorded in other assets in the accompanying consolidated balance sheet. These costs are being amortized using the effective interest method over the life of the related debt. Amortization of debt issue cost was \$0.4 million for the year ended December 31, 2010.

Future maturities of long-term debt for each of the following five years and thereafter are as follows (in millions):

2011	\$	10.7
2012		0.5
2013		43.8
2014		0.1
2015		0.1
Thereafter		1.5
	\$	<u>56.7</u>

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

8. Retirement Plan

The Company has a contributory benefit plan that is available to employees who meet certain minimum requirements. In 2010, the plan required the Company to match 100% of a participant's contributions up to the first 3% of the participant's compensation. In 2009, the plan required an additional contribution of 50% of the participant's contributions up to the next 6% of the participant's compensation. The Company recorded contribution expense of \$2.2 million for each of the years ended December 31, 2010 and 2009.

9. Transactions With Related Parties

In connection with the Sherman Joint Venture, the hospital pays monthly administrative and royalty fees to THR in an amount equal to 1% of net revenue each month. As of December 31, 2010, \$1.0 million in fees have been recorded as management fees in the Company's consolidated statement of operations. At December 31, 2010, the Company has recorded a payable to THR in the amount of \$0.1 million.

10. Income Taxes

For the years ended December 31, 2010 and 2009, the differences between the actual income tax benefit and the amount computed by applying the statutory federal rate to the income (loss) before income taxes are as follows:

	2010	2009
Income tax provision (benefit):		
Provision (benefit) at federal statutory rate	35.0%	(35.0%)
State income tax provision (benefit), net of federal tax effect at statutory rates	12.9	(5.1)
Permanent differences	(3.9)	-
Increase (decrease) in valuation allowance	(14.3)	42.0
Other	0.2	-
Effective income tax rate	29.9%	1.9%

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

10. Income Taxes (continued)

At December 31, the sources of the deferred tax assets are as follows (in millions):

	<u>2010</u>	<u>2009</u>
Accrued incentive plan	\$ 0.3	\$ 0.1
Deferred compensation	0.1	0.1
Accrued medical and dental	0.7	0.3
Federal net operating loss carryforward	-	1.2
Workers' compensation	0.3	0.2
Partnership basis-investment in the Pocatello Joint Venture	9.3	9.6
Total deferred tax asset	<u>10.7</u>	<u>11.5</u>
Valuation allowance	<u>(10.7)</u>	<u>(11.5)</u>
Net deferred tax asset	<u>\$ -</u>	<u>\$ -</u>

At December 31, 2010, LHP has recorded a valuation allowance against the deferred tax asset, because management believes that, after considering all the available evidence, it is more likely than not that the deferred tax assets will not be realized.

Tax expense recognized in 2010 pertains to current tax expense. LHP has no federal or state net operating loss carryforwards nor tax credits at December 31, 2010.

The only periods subject to examination for the Company's federal tax returns are the 2008 and 2009 tax years.

11. Leases

The Company leases real estate, buildings, vehicles, and equipment under cancelable and noncancelable leases. The leases expire at various times and have various renewal options. For certain leases that meet the lease capitalization criteria in accordance with FASB ASC Topic 840-10, *Leases*, assets have been recorded at their fair value at the date of acquisition and liabilities at the net present value of the minimum lease payments at the inception of the lease. Rental expense on operating leases for the years ended December 31, 2010 and 2009 was \$9.3 million and \$4.7 million, respectively.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

11. Leases (continued)

Future minimum lease payments at December 31, 2010, are as follows (in millions):

	<u>Operating Leases</u>	<u>Capital Lease Obligations</u>	<u>Total</u>
2011	\$ 5.2	\$ 0.4	\$ 5.6
2012	4.1	0.3	4.4
2013	3.3	0.3	3.6
2014	2.6	0.2	2.8
2015	2.1	0.2	2.3
Thereafter	9.5	2.4	11.9
	<u>\$ 26.8</u>	<u>3.8</u>	<u>\$ 30.6</u>
Less imputed interest portion Obligations under capital leases		<u>(1.8)</u>	
		<u>\$ 2.0</u>	

12. Fair Value of Financial Instruments

Fair value accounting includes a framework for measuring fair value, which is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (exit price). It also includes disclosures about fair value measurements which prioritize the inputs to valuation techniques used to measure fair value into a fair value hierarchy.

The classification of a financial instrument within the valuation hierarchy is based upon the transparency of inputs to the valuation of an asset or liability on the measurement date. The three levels of the hierarchy in order of priority of inputs to the valuation technique are defined as follows:

Level 1: Observable quoted market prices in active markets for identical assets or liabilities.

Level 2: Observable inputs other than Level 1, such as quoted prices in active markets for similar assets or liabilities, quoted prices for identical or similar assets or liabilities in markets that are not active, or inputs other than quoted prices that are observable for the asset or liability.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

12. Fair Value of Financial Instruments (continued)

Level 3: Unobservable inputs for the asset or liability that are significant to the fair value of the assets or liabilities.

The Company utilizes the best available information in measuring fair value. The following table summarizes the valuation of the Company's assets and liabilities measured at fair value on a recurring basis for the years ended December 31, 2010 and 2009 (in millions):

	Fair Value Measurements			Assets/ Liabilities at Fair Value
	Level 1	Level 2	Level 3	
December 31, 2010:				
Assets:				
Cash and cash equivalents, including restricted cash	\$ 23.5	\$ -	\$ -	\$ 23.5
Liabilities	\$ -	\$ -	\$ -	\$ -

	Fair Value Measurements			Assets/ Liabilities at Fair Value
	Level 1	Level 2	Level 3	
December 31, 2009:				
Assets:				
Cash and cash equivalents, including restricted cash	\$ 17.9	\$ -	\$ -	\$ 17.9
Liabilities	\$ -	\$ -	\$ -	\$ -

Cash and cash equivalents, including restricted cash

Cash and cash equivalents include all cash balances and highly liquid investments with initial maturities of three months or less. The carrying value approximates fair value because of the short-term maturity of these investments.

Nonrecurring Measurements

In 2010, the Company purchased a hospital in Sherman, Texas. The assets acquired and liabilities assumed were measured at fair value using Level 3 inputs. See further discussion in Note 3.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

12. Fair Value of Financial Instruments (continued)

Fair Value Disclosures

The Company currently has no other financial instruments subject to fair value measurement on a recurring basis. Disclosures about fair value of financial instruments require disclosure of fair value information about those financial instruments, whether or not recognized in the balance sheet, but would be practicable to estimate that value. Management believes the carrying value of accounts receivable, other receivables, accounts payable, and accrued expenses approximates fair value due to their short-term maturity. Management believes the fair value of notes receivable approximates fair value based on current interest rate assumptions and remaining term to maturity. Management believes the carrying amounts of the Company's long-term debt (including current portion) approximates fair value due to the floating interest rates associated with the debt agreements.

13. Guarantees

The Company has entered into agreements whereby it has guaranteed certain loans entered into by patients for whom services were performed at our facilities in Idaho. These loans are provided by various financial institutions who determine whether the loans are made. The Company would be obligated to repay the financial institutions if a patient fails to repay his or her loans. The Company could then pursue collections from the patient. We record a reserve for estimated defaults on these loans at the historical default rates, which at December 31, 2010, were approximately 22%. Loans subject to guarantees totaled \$1.4 million and \$2.2 million for the years ended December 31, 2010 and 2009, respectively.

14. Regulatory Matters

All healthcare providers are required to comply with a significant number of laws and regulations at the federal and state government levels. These laws are extremely complex, and in many instances, providers do not have the benefit of significant regulatory or judicial interpretation as to how to interpret and/or apply these laws and regulations. The U.S. Department of Justice and other federal and state agencies are increasing resources dedicated to regulatory investigations and compliance audits of healthcare providers. As a healthcare provider, the Company is subject to these regulatory efforts. Healthcare providers that do not comply with these laws and regulations may be subject to civil or criminal penalties, the loss of their licenses, or restrictions on their ability to participate in various federal and state healthcare programs. We endeavor to conduct our business in compliance with applicable laws and

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

14. Regulatory Matters (continued)

regulations, including healthcare fraud and abuse laws. As a result of our hospitals' state licensures and certifications under the Medicare and various Medicaid programs, we are subject to regular reviews, surveys, audits, and investigations conducted by, or on behalf of, federal and state agencies, including the Centers for Medicare & Medicaid Services (CMS), which are responsible for the oversight of these programs. These agencies' reviews may include reviews or surveys of our compliance with required conditions of participation regulations. The purpose of these surveys is to ensure that healthcare providers are in compliance with governmental requirements, including requirements such as adequacy of medical care, equipment, personnel, operating policies and procedures, maintenance of adequate records, compliance with building codes and environmental protection, and healthcare fraud and abuse. These surveys may identify deficiencies with conditions of participation which require corrective actions to be made by the hospital within a given time frame. If a hospital is not successful in addressing the deficiencies and conditions in a timely manner, CMS reserves the right to deem the hospital to be out of compliance with Medicare conditions of participation and may terminate the hospital from participation in the Medicare program. Termination of a hospital from the Medicare program would have a material adverse effect on our results of operations and cash flows.

Additionally, these agencies may review our compliance with various payment regulations and conduct audits under CMS's Recovery Audit Contractor (RAC) program. The RAC program has been made permanent and was required to be expanded broadly to healthcare providers pursuant to the Tax Relief and Health Care Act of 2006. The results of the enhanced medical necessity reviews and the RAC program audits could have an adverse effect on our business, financial position, results of operations, and liquidity. To the extent these reviews result in an adverse finding, we may contest the adverse finding vigorously; however, these matters can result in significant legal expense and consume our resources.

15. Commitments and Contingencies

Legal

The Company is, from time to time, subject to claims and suits arising in the ordinary course of business including claims for damage for personal injuries, medical malpractice, breach of contract, wrongful restriction of or interference with physicians' staff privileges, and employment related claims. In certain of these actions, plaintiffs request payment for damages, including punitive damages that may not be covered by insurance. The Company is currently not a party to any pending or threatened proceedings, which, in management's opinion, would have a material adverse effect on the Company's business, financial condition, or results of operations.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

15. Commitments and Contingencies (continued)

Physician Commitments

The Company has committed to provide certain financial assistance pursuant to recruiting agreements, or “physician minimum revenue guarantees,” with various physicians practicing in the communities it serves. In consideration for a physician relocating to our communities and agreeing to engage in private practice for the benefit of the respective community, the Company may advance funding to a physician to assist in establishing his or her practice.

The Company accounts for its physician income guarantees in accordance with the provisions of FASB ASC Topic 460-10, *Guarantees*. Under the provision of ASC Topic 460-10, the Company records a contract-based asset and a related guarantee liability for new physician minimum revenue guarantees. The contract-based asset is amortized as a component of other operating expense, in the accompanying consolidated statements of operations, over the period of the physician contract, which typically ranges from three to five years. At December 31, 2010, the maximum potential amount of future payments under our income guarantees was \$2.3 million. We have assets, net of accumulated amortization, of \$3.0 million recorded in Notes receivable and liabilities of \$1.7 million recorded for the fair value of these guarantees included in Other accrued expenses at December 31, 2010.

Capital Expenditure Commitments

On December 20, 2010, the Company entered into the formation of a joint venture with Seton Healthcare (the Harker Heights Joint Venture) to construct a new 83 licensed bed hospital in Harker Heights, Texas. The estimated cost of the project is approximately \$97.7 million and is expected to be completed during the third quarter of 2012. At December 31, 2010, the Harker Heights Joint Venture has incurred approximately \$0.7 million of costs which is included in construction in progress.

The Pocatello Joint Venture is currently building a replacement hospital and related ancillary projects in Pocatello, Idaho. The Pocatello Joint Venture has incurred approximately \$123.0 million of costs related to this project as of December 31, 2010, which is included in property and equipment in the Company’s consolidated balance sheets. At December 31, 2010, the estimated amount to complete this project was \$38.7 million.

LHP Hospital Group, Inc.

Notes to Consolidated Financial Statements (continued)

15. Commitments and Contingencies (continued)

The Sherman Joint Venture has committed to spend \$25.0 million over the next four years in capital improvements. As of December 31, 2010, the Sherman Joint Venture has incurred approximately \$1.0 million, which is included in property and equipment in the Company's consolidated balance sheets.

16. Subsequent Events

On April 1, 2011, the Harker Heights Joint Venture acquired for \$0.6 million a 67.74% interest in The Surgery Center of Temple, LLC (the ASC LLC), which owns and operates a surgery center in Temple, Texas, near the location of the new hospital to be constructed by the Harker Heights Joint Venture. Simultaneously with such acquisition, 32.26% of the interests in the ASC LLC were sold to various physicians on the active medical staff of the surgery center for \$30 thousand.

On March 22, 2011, the Company entered into a non-binding letter of intent to form a joint venture to acquire a 347 licensed bed hospital in Waterbury, Connecticut. This transaction is subject to review and approval from the appropriate regulatory bodies.

Management has evaluated events and transactions subsequent to December 31, 2010 through April 27, 2011, the date the financial statements were issued or available to be issued.

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GEORGE C. JEPSEN
ATTORNEY GENERAL



55 Elm Street
P.O. Box 120
Hartford, CT 06141-0120

Office of The Attorney General
State of Connecticut

October 31, 2011

VIA U.S. MAIL AND FACSIMILE (860-509-6501)

Robert J. Anthony, Esq.
Brown Rudnick
City Place I
185 Asylum Street
Hartford, Connecticut 06103

Re: In re Saint Mary's Health System, Inc. – Application Deficiency

Dear Attorney Anthony:

Thank you for your submission of October 11, 2011, regarding the Application for Joint Venture (the "Application") initially submitted on July 21, 2011, by Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. (together with its affiliates and subsidiaries, "LHP").

After our review of Saint Mary's responses to our additional questions, the Attorney General's Office and the Department of Public Health, division of the Office of Health Care Access ("OHCA"), have determined that the Application is incomplete in its current form in light of the public announcement of the intent for the proposed St. Mary's/LHP joint venture to enter into a joint venture with Waterbury Hospital.

In particular, the Office of the Attorney General requests that you provide the following further information.

- 1) Please provide a copy of the updated Fairness Evaluation requested in our previous deficiency letter dated September 20, 2011 ("the deficiency letter"). If an updated Fairness Evaluation is not available or not yet available, please explain why and state when it is expected to become available.

In addition, the Office of Health Care Access requests that you provide the following information. If any of the requested information is not available or not yet available, please explain why and state when it is expected to become available.

- 1) Please provide us with LHP's overall plan and timeframe for the consolidation of the St. Mary's and Waterbury Hospital facilities and services into a new single facility.

DEPARTMENT OF PUBLIC HEALTH
HEALTH CARE ACCESS
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October 31, 2011

Page 2

- 2) In response to the deficiency letter, you stated that there are several issues that must be renegotiated between LHP and St. Mary's in order to accommodate the entry of Waterbury Hospital into a three-party joint venture. Please identify those issues and an expected timeframe for their resolution.
- 3) In response to the deficiency letter, Saint Mary's has explained that clinical growth opportunities will result from the anticipated three-party joint venture. Please provide examples of these clinical growth opportunities.
- 4) If the three-party joint venture moves forward, will the development of a new electronic medical records (EMR) system for Saint Mary's be coordinated with Waterbury Hospital?
- 5) According to LHP's Consolidated Statements of Equity ending December 31, 2010, there is a retained deficit of \$25,649,110. How long does LHP anticipate it will take to eliminate this deficit?
- 6) Your response states that "[t]he Parties have not yet prepared financial projections for the three-party JV that correspond to the financial projections for the LLC that were submitted with the Application on pages 272-274; however, the parties expect that the addition of Waterbury Hospital to the LLC will have a materially positive impact upon such projections." Please explain how the addition of Waterbury Hospital will have positive impact on the financial projections previously submitted by the Applicant and provide supporting documentation and evidence to substantiate this claim.

We request that you provide your response as soon as possible, and preferably no later than November 14, 2011. Once we receive your response, we and OCHA will expeditiously review it to determine if your application is complete. We appreciate your cooperation and encourage you to contact us to discuss any questions or concerns.

Very truly yours,



Perry Zinn Rowthorn
Associate Attorney General

October 31, 2011

Page 3

cc: Commissioner Dr. Jewel Mullen, DPH
Kimberly Martone, Director of Operations, OHCA
Steven Lazarus, Associate Healthcare Analyst, OHCA
Gary W. Hawes, Assistant Attorney General, OAG

Greer, Leslie

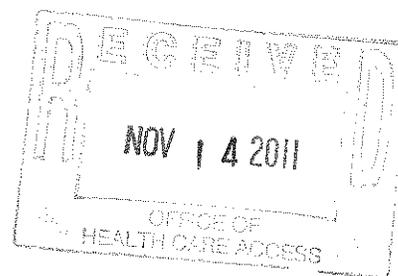
From: Lazarus, Steven
Sent: Tuesday, November 15, 2011 7:09 AM
To: Greer, Leslie
Cc: Armah, Olga; Carney, Brian; Dillon, Melanie; Martone, Kim
Subject: FW: Saint Mary's & LHP's filing
Attachments: DOCS2H-#40287965-v1-Saint_Mary's_&_LHP's_-_Set_Three_Responses.PDF

Leslie,

Please put a copy of this email and the attachment in the St. Mary's/LHP 486 original file.

Thank you,
Steve

Steven W. Lazarus
Associate Health Care Analyst
Connecticut Department of Public Health
Division of Office of Health Care Access
410 Capitol Avenue, MS 13HCA
Hartford, Connecticut 06134
Phone: (860) 418-7012 (Direct)
Fax: (860) 418-7053 (Main)



From: Mercier, Carlene M. [mailto:CMercier@brownrudnick.com]
Sent: Monday, November 14, 2011 4:14 PM
To: Lazarus, Steven
Cc: Attorney Bob Anthony
Subject: Saint Mary's & LHP's filing

Hi Steve,

Attached is an electronic copy of Saint Mary's and LHP's Set Three Responses. A hard copy and cd are on their way to OHCA (Attorney Dillon) and to the AG's office and hard copies are in the regular mail to all of the ccs (you included). Please let me know if you have any questions or need anything further.

Thanks



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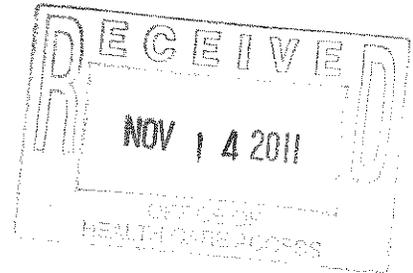
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November 14, 2011

VIA HAND DELIVERY

Office of the Attorney General
 Attn.: Associate Attorney General Perry Zinn Rowthorn
 55 Elm Street
 P.O. Box 120
 Hartford, CT 06141-0120

Commissioner of Public Health
 Attn.: Melanie A. Dillon, Esq.
 410 Capitol Avenue
 Hartford, CT 06134



**RE: Saint Mary's Health System, Inc. / LHP Hospital Group, Inc.'s
 Completeness Responses Set Three**

Dear Attorneys Rowthorn and Dillon:

As requested, Saint Mary's Health System, Inc. and LHP Hospital Group, Inc. hereby submit the attached responses regarding their Application for a proposed joint venture. One hard copy and one electronic copy have been hand delivered to each office.

Please date/time stamp a copy of the Responses and return it with our messenger.

If you have any questions or need anything further, please contact me at 860.509.6517. Thank you for your assistance in this matter.

Very truly yours,

BROWN RUDNICK LLP

Robert J. Anthony
 Robert J. Anthony

cc: Commissioner Dr. Jewel Mullen, DPH
 Kimberly Martone, Director of Operations, OHCA
 Steven Lazarus, Associate Healthcare Analyst, OHCA
 Gary W. Hawes, Assistant Attorney General, OAG
 Rebecca Hurley, Esq., LHP

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STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH

IN RE: SAINT MARY'S HEALTH SYSTEM, INC. :
AND LHP HOSPITAL GROUP, INC.'S :
APPLICATION FOR APPROVAL OF A JOINT :
VENTURE. : November 14, 2011

RESPONSES TO COMPLETENESS QUESTIONS SET THREE

Saint Mary's Health System, Inc. ("Saint Mary's") and LHP Hospital Group, Inc. ("LHP") (collectively, the "Applicants") hereby respectfully submit to the Attorney General and the Commissioner of the Department of Public Health the following responses and additional exhibits regarding their application for a proposed joint venture (the "Application" or "CON").

- 1. Please provide a copy of the updated Fairness Evaluation requested in our previous deficiency letter dated September 20, 2011 ("the deficiency letter"). If an updated Fairness Evaluation is not available or not yet available, please explain why and state when it is expected to become available.**

Saint Mary's, Waterbury Hospital and LHP continue to negotiate the terms of the joint venture documents. These types of multiple party transactions are very complex and challenging, thus it takes considerable time to work through every aspect towards a resolution that satisfies all parties involved. Once the business and financial terms are agreed upon and sufficient due diligence has been completed, the Applicants will be in a position to provide a Fairness Evaluation. This is expected to occur at such time that the Saint Mary's Board of Directors is prepared to review and approve the transaction. As noted in response to Question 2 below, a specific timeframe has not yet been determined.

- 1. Please provide us with LHP's overall plan and timeframe for the consolidation of the St. Mary's and Waterbury Hospital facilities and services into a new single facility.**

The consolidation plan impacting local hospital operations will not be an "LHP plan". Any consolidation plan implemented by the joint venture will have to be approved by the joint venture Board of Directors to which LHP will appoint 6 of 12 members. Thus, any such plan will require the approval of the not-for-profit voting block controlled by Saint Mary's and Waterbury Hospital. That board does not yet exist.

Having said that, the parties have been thinking through, in general terms, how the consolidation could be most efficiently carried out. This process will evolve over the coming months and more work will need to be done before such plans are finalized. What is clear at this point is that the duplication of services between Saint Mary's and Waterbury Hospital will be addressed in three distinct phases as outlined in the Applicants' response to Question No. 9 submitted to the Attorney General and the Commissioner of the Department of Public Health on October 11, 2011.

- 2. In response to the deficiency letter, you stated that there are several issues that must be renegotiated between LHP and St. Mary's in order to accommodate the entry of Waterbury Hospital into a three-party joint venture. Please identify those issues and an expected timeframe for their resolution.**

In general, the issues that must be renegotiated in order to accommodate the entry of Waterbury Hospital into a three-party joint venture relate to governance rights, various matters regarding the proposed replacement hospital (including resolution of the issues relating to compliance with the Ethical and Religious Directives for Catholic Healthcare Services), and sales and transfers of interests between and among the members of the joint venture under various circumstances. In addition, the task force negotiating the transaction on behalf of Saint Mary's has raised a number of legal and technical issues relating to the draft definitive agreements associated with the Waterbury Hospital transaction that must be considered and resolved. Negotiating a complex transaction of this nature among three parties requires time and effort by a large number of individuals. The parties are in the process of discussing these issues and intend to work expeditiously to resolve them as soon as reasonably possible. An expected timeframe for resolution of these issues, however, cannot be determined at this time.

- 3. In response to the deficiency letter, Saint Mary's has explained that clinical growth opportunities will result from the anticipated three-party joint venture. Please provide examples of these clinical growth opportunities.**

This is a process that will evolve over the coming months and more work will need to be done before plans are finalized. However, intuitively, the development of the replacement hospital will drive the purchase of a significant amount of new equipment. The consolidation of volumes at a single location will make it possible to upgrade technologies that will in turn drive new volume. As volumes are consolidated at the department level and new volumes are layered on top of existing volumes, the higher throughput at a single location will fuel the use of faster and more effective technologies.

- 4. If the three-party joint venture moves forward, will the development of a new electronic medical records (EMR) system for Saint Mary's be coordinated with Waterbury Hospital.**

Yes, if the joint venture moves forward the development of a new EMR system for Saint Mary's will be coordinated with Waterbury Hospital.

5. According to LHP's Consolidated Statements of Equity ending December 31, 2010, there is a retained deficit of \$25,649,110. How long does LHP anticipate it will take to eliminate this deficit.

LHP began operations in December 2007 and acquired its first hospital in February 2009. In considering how long it will take LHP to achieve positive retained earnings, it is useful to understand the composition of the retained deficit at December 31, 2010. Included in the current retained deficit are two extraordinary, non-recurring items:

- First, the current deficit includes \$9,430,600 of start-up losses that were incurred from December 2007 through January 2009 prior to LHP acquiring its first hospital, Portneuf Medical Center in Pocatello, Idaho.
- Second, the current deficit includes a one-time \$15,000,000 payment made in connection with the acquisition of the Portneuf Medical Center. The payment was made to Bannock County, Idaho, the former owner of the hospital in question, to establish a community fund to be used for, among other things, indigent care and care for prison inmates. Although the payment was clearly integral to the related asset acquisition, LHP's accounting group concluded that the more conservative accounting treatment was to expense the payment rather than capitalize it as part of the asset purchase price.

If LHP's retained earnings are normalized to eliminate these two non recurring items, its retained deficit at December 31, 2010 would approximate \$1,218,500.

LHP's current forecast, which is an estimate that is subject to change based upon changes in economic and business conditions, calls for its existing hospitals to produce the following amounts of net income in the years indicated:

Year ended December 31, 2011	\$2,843,000
Year ended December 31, 2012	\$16,336,000
Year ended December 31, 2013	<u>\$30,230,000</u>
Total estimated net income 1/1/11 – 12/31/13	\$49,409,000

Therefore, without considering additional hospital acquisitions and without normalizing LHP's December 31, 2010 retained deficit to remove the two extraordinary items, LHP anticipates that it will report positive retained earnings approximating \$23,760,000 in its Consolidated Statement of Equity for the year ending December 31, 2013.

In addition to the earnings from its existing hospitals, LHP's current forecast includes earnings from the hospital joint ventures that LHP anticipates acquiring during 2012. If the net income from these acquired hospital joint ventures is included in forecasted earnings, LHP's forecasted net income in 2012, which forecasted income is an estimate that is subject to change based upon changes in economic and business conditions, approximates \$110,000,000, resulting in approximately \$84,350,000 in positive retained earnings at December 31, 2012.

6. Your response states that "[t]he Parties have not yet prepared financial projections for the three-party JV that correspond to the financial projections for the LLC that were submitted with the Application on pages 272-274; however, the parties expect that the addition of Waterbury Hospital to the LLC will have a materially positive impact upon such projections." Please explain how the addition of Waterbury Hospital will have positive impact on the financial projections previously submitted by the Applicant and provide supporting documentation and evidence to substantiate this claim.

There are several factors that support the notion that the addition of Waterbury Hospital will have a positive impact on the financial projections previously submitted. The first is the opportunity to eliminate a large portion of duplicative overhead expenses from the combined entity. The second, alluded to in an earlier response, is the decrease in the incremental operating costs at the department level afforded by the consolidation of existing volume into a single location. Thirdly, the additional volume driven by newer, more efficient and effective technologies will improve both outcomes and financial performance. Fourthly, the ability to move into a state-of-the-art medical facility designed around modern standards of care will greatly enhance employee productivity and efficiency when compared to the current situation where staff are having to make do with outdated and inefficient facilities that have been added to and modified over nearly a century. And finally, the ongoing elimination of the need for duplicative technology purchases and the corresponding underutilization of such technology will further drive financial performance improvement. Even absent the creation of only one physical location, the addition of Waterbury Hospital to the LLC will realize significant cost savings from joint purchasing, shared corporate, administrative and IT services, avoidance of duplicative clinical services, data sharing, streamlined and coordinated clinical processes. All of these factors are expected to have a positive impact on the financial projections.



ROBERT J. ANTHONY
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November 14, 2011

VIA HAND DELIVERY

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STATE OF CONNECTICUT
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF PUBLIC HEALTH

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