



THIS AWARD IS ISSUED UNDER THE AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 AND IS SUBJECT TO SPECIAL HHS TERMS AND CONDITIONS AS REFERENCED IN SECTION III

Grant Number: 3U58DP002006-01S3

Principal Investigator(s):
MARIO H GARCIA, MD

Project Title: ARRA COMPONENT III - HEALTHY COMMUNITIES, TOBACCO CONTROL, DIABETES PREV

JAMES O'CONNELL
CONNECTICUT DEPT./PUBLIC HEALTH
410 CAPITOL AVENUE
HARTFORD, CT 06134

Award e-mailed to: Mary.Fuller@ct.gov

Budget Period: 02/04/2010 – 02/03/2012

Project Period: 02/04/2010 – 02/03/2012

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$635,768 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CT ST DEPT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BC247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 3U58DP002006-01S3

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

SECTION IV – DP Special Terms and Conditions – 3U58DP002006-01S3

NOTE 1:

INCORPORATION:

Funding Opportunity Announcement Number CDC-RFA-DP09-90101ARRA09 titled, U.S Department of Health and Human Services, Centers for Disease Control and Prevention (CDC), American Recovery and Reinvestment Act of 2009, Communities Putting Prevention to Work State Supplemental Funding for Healthy Communities, Tobacco Control, Diabetes Prevention and Control, and Behavioral Risk Factor Surveillance System; the application dated Nov 24, 2009; and the budget discussions held Jan 21, 2010 are made a part of this award by reference.

NOTE 2:

AWARDED FUNDS

This Notice provides funding for Component III, Tobacco Cessation through Quitlines and Media: \$635,768

NOTE 3:

TECHNICAL REVIEW

Please review the attached Technical Review Form, in particular the Strengths, Weaknesses, Recommendations and Other Comments. A response to the weaknesses and recommendations must be submitted to the Procurement and Grants Office, Grants Management Specialist, identified at Note 5 within 45 days from the date of this award.

NOTE 4:

BUDGET REVIEW

Our office completed a review of the budgeted activities within the application to determine that requested expenditures are:

1. Within the scope of the Recovery Act, the existing legislation for Healthy Communities, Tobacco Control, Diabetes Prevention and Control, and Behavioral Risk Factor Surveillance System
2. Within scope of the goals and objectives of the supplemental funding
3. Reasonable, allowable and allocable to this effort

The costs listed on Attachment A under ?Items for Discussion? did not meet the above criteria and are disapproved at this time. Funds may not be expended for these activities as requested. We have retained the funding for these activities in the total budget, and grantee must submit a revised budget within 45 days of this award.

NOTE 5:
ADDITIONAL REQUIREMENTS:

1. For both Component III there are CDC sponsored meetings to which staff supported by this award must attend.
2. Component III: No more than 5% of funds may be used for Nicotine Replacement Therapy

NOTE 6:
SEPARATE ACCOUNTING AND FINANCIAL REPORTS:

This component is funded in accordance with an approved budget. You will access funds through a drawdown from the Payment Management System using a subaccount to this award. The sub account is identified by a unique document number.

Additionally, the applicant must provide CDC with an original, plus two hard copies of the following reports:

1. Financial Status Report (FSR) no more than 90 days after the end of the budget period.
2. Final performance and Financial Status reports no more than 90 days after the end of the project period. Due to separate accounting requirements please submit both summary and individual FSR addressing Recovery Act Activities.

These reports must be submitted to the attention of the following:

Donald E. Sharman, MPH, Grants Management Specialist
ATTN: DP09-901ARRA
Procurement and Grants Office
Centers for Disease Control and Prevention 2920 Brandywine Road,
MS E-09
Atlanta, GA 30341
Telephone Number: 770-488-2463
Fax: 770/488-2777
E- mail: dsharman@cdc.gov

NOTE 7:

This award is subject to the following Standard Terms and Conditions of the American Recovery and Reinvestment Act of 2009 (ARRA):

1. Other Standard Terms and Conditions

All other grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements apply unless they conflict or are superseded by the following terms and conditions implementing the American Recovery and Reinvestment Act of 2009 (ARRA) requirements below. Recipients are responsible for contacting their HHS grant/program managers for any needed clarifications.

2. Recipient Reporting

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below.

Not later than 10 days after the end of each calendar quarter, starting with the quarter ending March 31, 2010 and reporting by April 10, 2010, the recipient must submit quarterly reports to HHS that will posted to Recovery.gov, containing the following information:

- a. The total amount of ARRA funds under this award;
- b. The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- c. The amount of unobligated award balances;
- d. A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including

- The name of the project or activity;
- A description of the project or activity;
- An evaluation of the completion status of the project or activity;
- An estimate of the number of jobs created and the number of jobs retained by the project or activity; and

- For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.

e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282).

For any sub-award equal to or larger than \$25,000, the following information:

- The name of the entity receiving the sub-award;
- The amount of the sub-award;
- The transaction type;
- The North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number;
- Program source;
- An award title descriptive of the purpose of each funding action;
- The location of the entity receiving the award;
- The primary location of performance under the award, including the city, State, congressional district, and country; and
- A unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.

f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.

h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

The definition of terms and data elements, as well as any specific instructions for reporting, including required formats, will be provided in subsequent guidance issued by HHS.

3. Buy American - Use of American Iron, Steel, and Manufactured Goods

Recipients may not use any funds obligated under this award for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States unless HHS waives the application of this provision. (ARRA Sec. 1605)

4. Wage Rate Requirements

[This term and condition shall not apply to tribal contracts entered into by the Indian Health Service funded with this appropriation. (ARRA Title VII - Interior, Environment, and Related Agencies, Department of Health and Human Services, Indian Health Facilities)]

Subject to further clarification issued by the Office of Management and Budget, and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this award shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat. 1267; 5 U.S.C. App.) and section 3145 of title 40, United States Code. (ARRA Sec. 1606)

5. Preference for Quick Start Activities (ARRA)

In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of ARRA. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit. (ARRA Sec. 1602)

6. Limit on Funds (ARRA)

None of the funds appropriated or otherwise made available in ARRA may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604)

7. Disclosure of Fraud or Misconduct

Each recipient or sub-recipient awarded funds made available under the ARRA shall promptly refer to the HHS Office of Inspector General any credible evidence that a principal, employee, agent, contractor, sub-recipient, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds. The HHS Office of Inspector General can be reached at <http://www.oig.hhs.gov/fraud/hotline/>

8. ARRA: One-Time Funding

Unless otherwise specified, ARRA funding to existent or new awardees should be considered one-time funding.

9. Schedule of Expenditures of Federal Awards

Recipients agree to separately identify the expenditures for each grant award funded under ARRA on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. This identification on the SEFA and SF-SAC shall include the Federal award number, the Catalog of Federal Domestic Assistance (CFDA) number, and amount such that separate accountability and disclosure is provided for ARRA funds by Federal award number consistent with the recipient reports required by ARRA Section 1512(c). (2 CFR 215.26, 45 CFR 74.26, and 45 CFR 92.26)

10. Responsibilities for Informing Sub-recipients

Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, any special CFDA number assigned for ARRA purposes, and amount of ARRA funds. (2 CFR 215.26, 45 CFR 74.26, and 45 CFR 92.26)

11. Responsibilities for Informing Sub-recipients Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, any special CFDA number assigned for Recovery Act purposes, and amount of Recovery Act funds. (2 CFR 215.26, 45 CFR 74.26, and 45 CFR 92.26)

12. Reporting Jobs Creation

HHS recipients of Recovery Act funding who are subject to Section 1512 reporting should report job-created data as prescribed in Section 5 of the Office of Management and Budget (OMB) guidance M-09-21. HHS will not accept statistical sampling methods to estimate the number of jobs created and retained. All recipients must report a direct and comprehensive count of jobs, as specified by OMB guidance M-09-21. See Section 5.3 of the OMB guidance for more information on calculating jobs, including job estimation examples.

Definitions of jobs considered to be created or retained:

a. A job created is a new position created and filled, or an existing unfilled position that is filled, that is funded by the Recovery Act;

b. A job retained is an existing position that is now funded by the Recovery Act.

Using the definitions above, recipients must estimate the total number of jobs that were funded in the quarter by the Recovery Act. A funded job is defined as one in which the wages or salaries are either paid for or will be reimbursed with Recovery Act funding.

3. A job must be counted as either a job created or a job retained; it cannot be counted as both. Additionally, only compensated employment in the United States or outlying areas should be counted. See 74 FR 14824 for definitions.

For the full OMB guidance on reporting jobs creation, please visit: http://www.whitehouse.gov/omb/assets/memoranda_2010/m10-08.pdf

Prior Terms and Conditions stipulated on the original award remain unchanged and in full force.

STAFF CONTACTS

Grants Management Specialist: Anella Higgins

Centers for Disease Control and Prevention

PGO

Koger Center, Colgate Building
 2920 Brandywine Road, Mailstop K75
 Atlanta, GA 30341
Email: ahiggins@cdc.gov **Phone:** 770-488-2710 **Fax:** 770-488-2688

Grants Management Officer: Tracey M Sims
 Centers for Disease Control and Prevention
 Procurement and Grants Office
 Koger Center, Colgate Building
 2920 Brandywine Road, Mail Stop E-09
 Atlanta, GA 30341
Email: tsims3@cdc.gov **Phone:** 770-488-2739 **Fax:** 770-488-2777

SPREADSHEET SUMMARY
GRANT NUMBER: 3U58DP002006-01S3

INSTITUTION: CONNECTICUT STATE DEPT OF PUBLIC HEALTH

<i>Budget</i>	<i>Year 1</i>	<i>Year 2</i>	<i>Year 3</i>	<i>Year 4</i>	<i>Year 5</i>
Salaries and Wages	\$33,086				
Fringe Benefits	\$21,006				
Personnel Costs (Subtotal)	\$54,092				
Travel Costs	\$3,600				
Consortium/Contractual Cost	\$566,100				
TOTAL FEDERAL DC	\$623,792				
TOTAL FEDERAL F&A	\$11,976				
TOTAL COST	\$635,768	\$0	\$0	\$0	\$0

**Funding Opportunity Announcement CDC-RFA-DP09-90101ARRA09
American Recovery and Reinvestment Act
Communities Putting Prevention to Work
State Supplemental Funding for Healthy Communities, Tobacco Control, Diabetes
Prevention and Control, and Behavioral Risk Factor Surveillance Systems**

**Technical Review Form: Component III
Tobacco Cessation Through Quitlines and Media**

Applicant: _____ Connecticut _____

Application Number: _____ U58-DP002006 _____

Amount Requested: _____ \$635,768 _____

Amount Recommended: _____ \$635,768 _____

Reviewer Name: _____ Michele Williams _____

Reviewer's Signature: _____ *Michele Williams* _____

Date: _____ 12/03/09 _____

Summary of the Project (Abstract):

The applicant proposes to utilize the funding to expand quitline services in the following areas 1) hours of operation, 2) ease of registration, 3) specialized counseling programs, and 4) outreach and promotion of the quitline to high risk populations. The applicant has identified youth and young adults, pregnant women, people with low SES and those of Hispanic descent as high risk populations in Connecticut who are experiencing health disparities related to tobacco use. Therefore, the applicant proposes to hire a grassroots outreach advocate (GROA) to promote the quitline and its services to populations identified as high risk as well as their health care service providers.

Strengths:

- On page 8, the applicant has identified high risk populations in the state via the use of data. Therefore, the applicant proposes to hire a Grassroots Outreach Advocate (GROA) to promote the quitline and its services to populations identified as high risk.
- On page 11, the applicant's contract with Professional Data Analysis, Inc. (PDA) will allow for PDA to report on the NRT program of the quitline and review program protocols, quality assurance protocols and measurements, and staffing levels.

- On page 3, the applicant's Tobacco Consortium (partnership) is very diverse and it plans to invite other individuals and groups to become a part of the expanded consortium to expand its impact.
- On page 8, the applicant proposes to collaborate with the DPH Family Health Section to expand the reach of the quitline's pregnancy program to additional programs serving pregnant women.
- On page 1, the contractor (Free and Clear, Inc.) will hire and train new staff to handle the increase in call volume generated by the newly funded activities.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other Comments:

- On pages 6 & 7, The applicant will be utilizing quit coaches for the "Youth Support Program" and the "Pregnancy Program". The applicant states these coaches trained to work with these population groups. Therefore, the applicant should consider evaluating whether quit coaches have made an impact with these population groups via the quitline.

1. Proactive Quitline Services

- a) Does the applicant describe how it will provide proactive quitline services to increase the number of tobacco quitters in the state or eligible jurisdiction?

Strengths:

- On pages 5 & 6, the applicant proposes to expand the hours of operation for the quitline, and offer online registration for the quitline to improve access to services.
- On page 4, the applicant proposes to offer two types of counseling programs which are both proactive.

ARRA State FOA CDC-PA-DP09-901
Technical Review Form: Component 3

- On page 6, the applicant proposes to offer specialized counseling programs for youth and pregnant woman.
- On page 8, the applicant proposes to promote the quitline to disparate populations.
- On page 1, the applicant proposes the quitline contractor will hire and train new staff to handle the increase in call volume generated by newly funded activities.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

2. Program Infrastructure

- a) Does the applicant provide evidence that the program is appropriately staffed to administer, manage, and evaluate the program, and includes training plans for less experienced staff to competently support statewide proactive quitline services?
- b) Does the applicant include the resume or curriculum vitae for the Program Manager?

Strengths:

- On page 1, the applicant states it currently has experienced staff in tobacco prevention and control activities.
- On page 1, the applicant states it will retain the two current contractors who are in the process of conducting their media campaign and evaluation of program activities.

Weaknesses:

- The applicant did not include the resume or curriculum vitae for the Program Manager.

Recommendations:

- The applicant should include the resume or curriculum vitae for the Program Manager.

Other comments:

- None noted.

3. Fiscal Management

- a) Does the applicant describe efforts to provide fiscal management as required for Recovery Act funds in the Fiscal Management section of this program announcement?

Strengths:

- On pages 1, the applicant will hire an additional accountant to manage the fiscal accounting and reporting of the funding to assure all reporting requirements are met.
- On pages 1 & 2, ARRA applications, approvals, contracts, and financial activity are recorded with AARA specific codes onto statewide fiscal management systems.
- On page 2, the applicant has assigned one Chief Accountability Officer to report monthly and quarterly ARRA related activities in compliance with Federal and State requirements.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

4. Media, Communications, and Partnerships

- a) Does the applicant describe plans to deliver advertising and media messages targeted to adults and young adults who smoke that use emotional and graphic messaging?
- b) Does the applicant include participation of partners in the design, implementation, and evaluation of media, communication, and cessation quitline services?

Strengths:

- On page 2, the applicant proposes to deliver a media campaign targeting youth, young adults and adults. The media campaign will be conducted via a mixture of television, radio, out of home ads and social marketing.
- On page 2, the applicant proposes to use focus tested message which will be emotional and graphic.
- On page 3, the applicant proposes to incorporate messaging regarding the health effects of second and third hand smoke.
- On page 3, the applicant has a Tobacco Consortium whose members actively participate in the promotion, expansion and evaluation of tobacco program components.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

5. Monitoring and Evaluation

- a) Does the applicant provide a plan to collect demographic, in-take and follow-up data and report that data to CDC on a timely basis?
- b) Does the applicant describe plans to evaluate program reach, report quit rates and number of persons who quit and progress toward all objectives in the Recipient Activities section?

ARRA State FOA CDC-PA-DP09-901
Technical Review Form: Component 3

Strengths:

- On page 9, the applicant states the current quitline collects the Minimum Data Set (MDS) compliant data for each caller.
- On page 9, the applicant states it receives aggregate and individual level data from the quitline each moth. These reports also include the number of callers, demographics of callers, nicotine dispensed, etc.
- On page 9 & 10, the applicant states the reports from the quitline will give it access to track callers' progress and services thought the program and provides information regarding reach of quitline services.
- On page 10, the applicant states the supplemental funding will be used to increase the sample size for the 7-month follow up surveys
- On page 10, the applicant is currently contracting with a company which will conduct a comprehensive evaluation of the quitline including outreach and media. The applicant also states the supplemental funds will be used to have the contractor expand their evaluation to the new activities initied by the funding.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

6. Participate in CDC Training Activities

- a) Is there a plan for staff to attend CDC trainings and peer-to-peer opportunities?

Strengths:

- On page 11, the applicant states it's staff will attend CDC trainings and peer-to-peer opportunities.

Weaknesses:



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Principal Investigator(s):
MARIO H GARCIA, MD

Project Title: ARRA COMPONENT III - HEALTHY COMMUNITIES, TOBACCO CONTROL, DIABETES PREV

JAMES O'CONNELL
CONNECTICUT DEPT./PUBLIC HEALTH
410 CAPITOL AVENUE
HARTFORD, CT 06134

Award e-mailed to: Mary.Fuller@ct.gov

Budget Period: 02/04/2010 – 02/03/2012

Project Period: 02/04/2010 – 02/03/2012

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Sincerely yours,

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

Koger Center, Colgate Building
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 Atlanta, GA 30341
Email: ahiggins@cdc.gov **Phone:** 770-488-2710 **Fax:** 770-488-2688

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INSTITUTION: CONNECTICUT STATE DEPT OF PUBLIC HEALTH

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Salaries and Wages	\$33,086				
Fringe Benefits	\$21,006				
Personnel Costs (Subtotal)	\$54,092				
Travel Costs	\$3,600				
Consortium/Contractual Cost	\$566,100				
TOTAL FEDERAL DC	\$623,792				
TOTAL FEDERAL F&A	\$11,976				
TOTAL COST	\$635,768	\$0	\$0	\$0	\$0



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Grant Number: 3U58DP002006-01S3

Principal Investigator(s):
MARIO H GARCIA, MD

Project Title: ARRA COMPONENT III - HEALTHY COMMUNITIES, TOBACCO CONTROL, DIABETES PREV

JAMES O'CONNELL
CONNECTICUT DEPT./PUBLIC HEALTH
410 CAPITOL AVENUE
HARTFORD, CT 06134

Award e-mailed to: Mary.Fuller@ct.gov

Budget Period: 02/04/2010 – 02/03/2012

Project Period: 02/04/2010 – 02/03/2012

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$635,768 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to CT ST DEPT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BC247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

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Sincerely yours,

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3U58DP002006-01S3

Award Calculation (U.S. Dollars)

Salaries and Wages	\$33,086
Fringe Benefits	\$21,006
Personnel Costs (Subtotal)	\$54,092
Travel Costs	\$3,600
Consortium/Contractual Cost	\$566,100

Federal Direct Costs	\$623,792
Federal F&A Costs	\$11,976
Approved Budget	\$635,768
Federal Share	\$635,768
TOTAL FEDERAL AWARD AMOUNT	\$635,768

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$635,768

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$0
03	\$0
04	\$0
05	\$0

Fiscal Information:

CFDA Number: 93.723
 EIN: 1066000798A9
 Document Number: 002006OS10

IC	CAN	2010
DP	9390929	\$635,768

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (1)	
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT
3U58DP002006-01S3	\$635,768
1U58DP002006-01	\$1,617,222
3U58DP002006-01S1	\$105,404
3U58DP002006-01S2	\$650,125
TOTAL	\$3,008,519

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$635,768	\$3,008,519
2	\$0	\$1,400,317
3	\$0	\$1,400,317
4	\$0	\$1,400,317
5	\$0	\$1,400,317

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: SIMST0 02/06/2010

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U58DP002006-01S3

For payment information see Payment Information section in Additional Terms and Conditions.

- The name of the project or activity;
- A description of the project or activity;
- An evaluation of the completion status of the project or activity;
- An estimate of the number of jobs created and the number of jobs retained by the project or activity; and

- For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.

e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282).

For any sub-award equal to or larger than \$25,000, the following information:

- The name of the entity receiving the sub-award;
- The amount of the sub-award;
- The transaction type;
- The North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number;
- Program source;
- An award title descriptive of the purpose of each funding action;
- The location of the entity receiving the award;
- The primary location of performance under the award, including the city, State, congressional district, and country; and
- A unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.

f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.

h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

The definition of terms and data elements, as well as any specific instructions for reporting, including required formats, will be provided in subsequent guidance issued by HHS.

3. Buy American - Use of American Iron, Steel, and Manufactured Goods

Recipients may not use any funds obligated under this award for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States unless HHS waives the application of this provision. (ARRA Sec. 1605)

4. Wage Rate Requirements

[This term and condition shall not apply to tribal contracts entered into by the Indian Health Service funded with this appropriation. (ARRA Title VII - Interior, Environment, and Related Agencies, Department of Health and Human Services, Indian Health Facilities)]

Subject to further clarification issued by the Office of Management and Budget, and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this award shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat. 1267; 5 U.S.C. App.) and section 3145 of title 40, United States Code. (ARRA Sec. 1606)

5. Preference for Quick Start Activities (ARRA)

In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of ARRA. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit. (ARRA Sec. 1602)

6. Limit on Funds (ARRA)

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

7. Budget

- a) Is the budget is clear, concise, and accurate?
- b) Does the budget indicate 5% or less for nicotine replacement therapy?
- c) Is a percentage of the budget allocated for media campaign? If so, indicate the percentage.

Strengths:

- The applicant's budget is clear and accurate.
- On page 5, the applicant is currently offering nicotine replacement therapy to all callers, free of charge, who enter into the multi-call program. (this is not via supplemental funds)
- The applicant proposes to allocate approximately 27.5% of the budget for the media campaign. (info from budget, pg.8)

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

	Component III	Items for Budget Discussions	Total Award Amounts
SALARIES/WAGES	\$ 33,086	\$ -	\$ 33,086
FRINGE BENEFITS	\$ 21,006	\$ -	\$ 21,006
CONSULTANT COSTS	\$ -	\$ -	\$ -
EQUIPMENT	\$ -	\$ -	\$ -
SUPPLIES	\$ -	\$ -	\$ -
TRAVEL	\$ -	\$ -	\$ -
OTHER	\$ 3,600	\$ -	\$ 3,600
CONTRACTUAL COSTS	\$ -	\$ -	\$ -
TOTAL DIRECT	\$ 566,100	\$ -	\$ 566,100
INDIRECT	\$ 623,792	\$ -	\$ 623,792
	\$ 11,976	\$ -	\$ 11,976
TOTAL AWARD	\$ 635,768	\$ -	\$ 635,768

Funding Opportunity Announcement CDC-RFA-DP09-90101ARRA09
American Recovery and Reinvestment Act
Communities Putting Prevention to Work
State Supplemental Funding for Healthy Communities, Tobacco Control, Diabetes
Prevention and Control, and Behavioral Risk Factor Surveillance Systems

Technical Review Form: Component III
Tobacco Cessation Through Quitlines and Media

Applicant: _____ Connecticut _____

Application Number: _____ U58-DP002006 _____

Amount Requested: _____ \$635,768 _____

Amount Recommended: _____ \$635,768 _____

Reviewer Name: _____ Michele Williams _____

Reviewer's Signature: _____ *Michele Williams* _____

Date: _____ 12/03/09 _____

Summary of the Project (Abstract):

The applicant proposes to utilize the funding to expand quitline services in the following areas 1) hours of operation, 2) ease of registration, 3) specialized counseling programs, and 4) outreach and promotion of the quitline to high risk populations. The applicant has identified youth and young adults, pregnant women, people with low SES and those of Hispanic descent as high risk populations in Connecticut who are experiencing health disparities related to tobacco use. Therefore, the applicant proposes to hire a grassroots outreach advocate (GROA) to promote the quitline and its services to populations identified as high risk as well as their health care service providers.

Strengths:

- On page 8, the applicant has identified high risk populations in the state via the use of data. Therefore, the applicant proposes to hire a Grassroots Outreach Advocate (GROA) to promote the quitline and its services to populations identified as high risk.
- On page 11, the applicant's contract with Professional Data Analysis, Inc. (PDA) will allow for PDA to report on the NRT program of the quitline and review program protocols, quality assurance protocols and measurements, and staffing levels.

ARRA State FOA CDC-PA-DP09-901
Technical Review Form: Component 3

- On page 3, the applicant's Tobacco Consortium (partnership) is very diverse and it plans to invite other individuals and groups to become a part of the expanded consortium to expand its impact.
- On page 8, the applicant proposes to collaborate with the DPH Family Health Section to expand the reach of the quitline's pregnancy program to additional programs serving pregnant women.
- On page 1, the contractor (Free and Clear, Inc.) will hire and train new staff to handle the increase in call volume generated by the newly funded activities.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other Comments:

- On pages 6 & 7, The applicant will be utilizing quit coaches for the "Youth Support Program" and the "Pregnancy Program". The applicant states these coaches trained to work with these population groups. Therefore, the applicant should consider evaluating whether quit coaches have made an impact with these population groups via the quitline.

1. Proactive Quitline Services

- a) Does the applicant describe how it will provide proactive quitline services to increase the number of tobacco quitters in the state or eligible jurisdiction?

Strengths:

- On pages 5 & 6, the applicant proposes to expand the hours of operation for the quitline, and offer online registration for the quitline to improve access to services.
- On page 4, the applicant proposes to offer two types of counseling programs which are both proactive.

ARRA State FOA CDC-PA-DP09-901
Technical Review Form: Component 3

- On page 6, the applicant proposes to offer specialized counseling programs for youth and pregnant woman.
- On page 8, the applicant proposes to promote the quitline to disparate populations.
- On page 1, the applicant proposes the quitline contractor will hire and train new staff to handle the increase in call volume generated by newly funded activities.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

2. Program Infrastructure

- a) Does the applicant provide evidence that the program is appropriately staffed to administer, manage, and evaluate the program, and includes training plans for less experienced staff to competently support statewide proactive quitline services?
- b) Does the applicant include the resume or curriculum vitae for the Program Manager?

Strengths:

- On page 1, the applicant states it currently has experienced staff in tobacco prevention and control activities.
- On page 1, the applicant states it will retain the two current contractors who are in the process of conducting their media campaign and evaluation of program activities.

Weaknesses:

- The applicant did not include the resume or curriculum vitae for the Program Manager.

Recommendations:

- The applicant should include the resume or curriculum vitae for the Program Manager.

Other comments:

- None noted.

3. Fiscal Management

- a) Does the applicant describe efforts to provide fiscal management as required for Recovery Act funds in the Fiscal Management section of this program announcement?

Strengths:

- On pages 1, the applicant will hire an additional accountant to manage the fiscal accounting and reporting of the funding to assure all reporting requirements are met.
- On pages 1 & 2, ARRA applications, approvals, contracts, and financial activity are recorded with AARA specific codes onto statewide fiscal management systems.
- On page 2, the applicant has assigned one Chief Accountability Officer to report monthly and quarterly ARRA related activities in compliance with Federal and State requirements.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

4. Media, Communications, and Partnerships

- a) Does the applicant describe plans to deliver advertising and media messages targeted to adults and young adults who smoke that use emotional and graphic messaging?
- b) Does the applicant include participation of partners in the design, implementation, and evaluation of media, communication, and cessation quitline services?

Strengths:

- On page 2, the applicant proposes to deliver a media campaign targeting youth, young adults and adults. The media campaign will be conducted via a mixture of television, radio, out of home ads and social marketing.
- On page 2, the applicant proposes to use focus tested message which will be emotional and graphic.
- On page 3, the applicant proposes to incorporate messaging regarding the health effects of second and third hand smoke.
- On page 3, the applicant has a Tobacco Consortium whose members actively participate in the promotion, expansion and evaluation of tobacco program components.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

5. Monitoring and Evaluation

- a) Does the applicant provide a plan to collect demographic, in-take and follow-up data and report that data to CDC on a timely basis?
- b) Does the applicant describe plans to evaluate program reach, report quit rates and number of persons who quit and progress toward all objectives in the Recipient Activities section?

Strengths:

- On page 9, the applicant states the current quitline collects the Minimum Data Set (MDS) compliant data for each caller.
- On page 9, the applicant states it receives aggregate and individual level data from the quitline each month. These reports also include the number of callers, demographics of callers, nicotine dispensed, etc.
- On page 9 & 10, the applicant states the reports from the quitline will give it access to track callers' progress and services through the program and provides information regarding reach of quitline services.
- On page 10, the applicant states the supplemental funding will be used to increase the sample size for the 7-month follow up surveys
- On page 10, the applicant is currently contracting with a company which will conduct a comprehensive evaluation of the quitline including outreach and media. The applicant also states the supplemental funds will be used to have the contractor expand their evaluation to the new activities initiated by the funding.

Weaknesses:

- No weaknesses noted.

Recommendations:

- None noted.

Other comments:

- None noted.

6. Participate in CDC Training Activities

- a) Is there a plan for staff to attend CDC trainings and peer-to-peer opportunities?

Strengths:

- On page 11, the applicant states its staff will attend CDC trainings and peer-to-peer opportunities.

Weaknesses: