

# Connecticut Department of Transportation

## Contractor/Vendor Profile Sheet

Please submit completed form to:

Sydney Kremidas  
Connecticut Department of Transportation  
Office of Contract Compliance  
P.O. Box 317546  
Newington, Connecticut 06131-7546  
Telephone: (860) 594-2168  
Fax: (860) 594-3016

### Confidential Information Notice

To the extent permitted by law, the information that you provide will be held in confidence and will not be shared with other firms without your prior written consent.

A. Firm Name: \_\_\_\_\_

Federal Tax ID Number/Employer ID Number (EIN): \_\_\_\_\_

Primary/Principal Business Address

Street \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

Mailing Address if different than above

Address (Line 1) \_\_\_\_\_

Address (Line 2) \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

Please provide the following information for the contact person for this survey

Name \_\_\_\_\_

Phone Number \_\_\_\_\_

Fax Number \_\_\_\_\_

E-Mail \_\_\_\_\_

Does your firm have a Web Site? YES \_\_\_\_ or NO \_\_\_\_

If "Yes," what is the URL address? \_\_\_\_\_

In what year did your business start under the current name? \_\_\_\_\_ (year)

B. Is your firm registered with the Connecticut Secretary of the State? YES \_\_\_ or NO \_\_\_

Is your firm certified as a Disadvantaged Business Enterprise (“DBE”)? YES \_\_\_ or NO \_\_\_

If “Yes,” by what agency is your firm certified? \_\_\_\_\_

If “Yes” please list each State that you are certified in: \_\_\_\_\_

\_\_\_\_\_

Is your firm certified as a small socially and economically disadvantaged business by any other agency or organization?

YES \_\_\_ or NO \_\_\_

If “Yes,” are you a Small Business \_\_; Minority Owned Business \_\_; or a Woman Owned Business \_\_?

Also provide name of certifying agency: \_\_\_\_\_

C. Gross Annual Receipts

To maintain eligibility to receive certain Federal funds, the Connecticut Department of Transportation (CTDOT) is required by the USDOT to keep on file information regarding the annual gross receipts of firms bidding on prime contracts and/or bidding or quoting subcontracts at any tier.

Under the State’s Freedom of Information Act, Connecticut General Statutes Chapter 14, Sections 1-200 through 1-241, this information may be subject to disclosure unless it qualifies as a trade secret under Section 1-210(b)(5), which exempts from a disclosure “commercial or financial information given in confidence, not required by statute.” Therefore, please answer the following questions concerning your firm’s annual gross receipts:

1. Do you consider this information to be sensitive commercial or financial information? YES \_\_\_ or No \_\_\_

2. Are you submitting this information in confidence? YES \_\_\_ or No \_\_\_

3. In what category are your firm’s annual gross receipts?

- \_\_\_\_\_ Less than \$1 Million
- \_\_\_\_\_ More than \$1 Million and Less than \$3 Million
- \_\_\_\_\_ More than \$3 Million and Less than \$7 Million
- \_\_\_\_\_ More than \$7 Million and Less than \$10 Million
- \_\_\_\_\_ More than \$10 Million and Less than \$15 Million
- \_\_\_\_\_ More than \$15 Million and Less than \$20 Million
- \_\_\_\_\_ More than \$20 Million and Less than \$25 Million
- \_\_\_\_\_ More than \$25 Million

**Please answer the following questions about your firm's business activities:**

1. Please enter the North American Industry Classification System (NAICS) code reported on your firm's most current Federal Tax return or select the NAICS codes that best describe your business activities.  
[www.census.gov/epcd/www/naics.html](http://www.census.gov/epcd/www/naics.html)  
**(required information)**
- 

2. Within the last year has your firm quoted work or given notice of interest for a local agency (city, town or other political subdivision of the State of Connecticut) construction project, whether successful or not? (This can include contracting, subcontracting, trucking, bonding services, material quotes and/or professional services regardless of tier). YES \_\_\_ or NO \_\_\_

3. Within the last two years has your firm quoted work or given notice of interest to CTDOT or a Contractor or Consultant for a CTDOT construction project or professional services agreement whether successful or not? This can include subcontracting, trucking, bonding services, material quotes and/or professional services regardless of tier?

If your answer is "No," skip to question #5. YES \_\_\_ or NO \_\_\_

4. If your answer to question #3 is "Yes," please answer the following:

- a. Approximately how many times in the past year has your firm quoted work, materials, products or services for a CTDOT project or professional services agreement at any level whether successful or not?  
 \_\_\_\_\_

- b. You have quoted work to CTDOT as? Check all that apply:

***Prime - You submit bids, proposals and/or RFQs directly to CTDOT***  
***1<sup>st</sup> Tier – You are a subcontractor, consultant; and/or supplier to a Prime***  
***Lower Tier – You are a subcontractor; consultant; and or supplier to a subcontractor***

A Contractor:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
A Consultant:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
A Supplier:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
A Manufacturer:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
Trucking Firm:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
A Broker:	Prime ___	1 <sup>st</sup> Tier ___	Lower Tier ___
Other (Describe):	_____		

5. In which area(s) does your firm actively bid work or provide materials, products or services on CTDOT projects?

Construction \_\_\_\_\_  
 Maintenance \_\_\_\_\_  
 Procurement \_\_\_\_\_  
 Engineering/Architectural Consulting Services \_\_\_\_\_  
 Planning/Studies/Outreach Consulting Services \_\_\_\_\_  
 Other (Describe): \_\_\_\_\_

6. Please identify the types of transportation projects on which your firm performs work. Please check all that apply:

Highway/Bridge Related \_\_\_\_\_

Transit Related \_\_\_\_\_

Rail Related \_\_\_\_\_

Airport related \_\_\_\_\_

7. Please identify the geographic area(s) in Connecticut where your firm can perform work. Check all that apply:

Hartford County \_\_\_\_\_

Fairfield County \_\_\_\_\_

Litchfield County \_\_\_\_\_

Middlesex County \_\_\_\_\_

New Haven County \_\_\_\_\_

New London County \_\_\_\_\_

Tolland County \_\_\_\_\_

Windham County \_\_\_\_\_

Please identify any firm that provides quotes to your company when your firm bids on CTDOT construction projects or professional services agreements.

Firm Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

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Firm Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

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(Please provide additional pages if necessary)