



CONNECTICUT DEPARTMENT OF TRANSPORTATION

POLICY STATEMENT

POLICY NO. EX.O.-30

April 10, 2013

SUBJECT: Disadvantaged Business Enterprise Program

The Connecticut Department of Transportation (CTDOT) is committed to the effective implementation of the Disadvantaged Business Enterprise (DBE) Program as defined in Title 49, Code of Federal Regulations (CFR) Part 26. This program will be executed in accordance with the regulations of the United States Department of Transportation (USDOT) as a condition of receiving USDOT funding.

It is the policy of CTDOT to:

- a) Ensure nondiscrimination in the award and administration of USDOT-assisted contracts in CTDOT's highway, transit, and airport financial assistance programs
- b) Create a level playing field on which DBEs can compete fairly for USDOT-assisted contracts
- c) Ensure that the CTDOT DBE Program is narrowly tailored in accordance with applicable law
- d) Ensure that only firms which fully meet this part's eligibility standards are permitted to participate as DBEs
- e) Help remove barriers to the participation of DBEs in USDOT-assisted contracts
- f) Assist in the development of firms that can compete successfully in the marketplace outside the DBE Program

CTDOT will not exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract. CTDOT shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any USDOT-assisted contract or in the administration of its DBE Program or the requirements of 49 CFR Part 26. CTDOT shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of USDOT-assisted contracts.

In administering the DBE Program, CTDOT will not directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing the accomplishment of the objectives of the DBE Program with respect to individuals of a particular race, color, sex, or national origin.

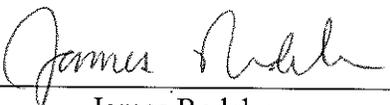
No contractor, subrecipient, or subcontractor shall discriminate on the basis of race, color, national origin, or sex in the performance on any USDOT-assisted contract. Contractors shall carry out the applicable requirements of 49 CFR Part 26 in the award and administration of USDOT-assisted contracts. Failure by the contractor to carry out these requirements will result in a material breach of the contract, which may result in the termination of this contract or such other remedy, as the recipient deems appropriate.

Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of the agreement. The USDOT may take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, against any participant in the DBE Program whose conduct is subject to such action. The USDOT may refer to the United States Department of Justice, for prosecution under 18 United States Code (USC) 1001 or other applicable provisions of law, any person who makes a false or fraudulent statement in connection with participation of a DBE in any USDOT-assisted program or otherwise violates applicable Federal statutes.

The Manager of the Office of Contract Compliance has been designated as the DBE Liaison Officer and, in this capacity, is responsible for implementing all aspects of the DBE Program.

This DBE Program Policy Statement is distributed to all CTDOT managers and to the DBE and non-DBE business community. The Policy Statement is also available on the CTDOT web site.

(This Policy Statement supersedes Policy Statement No. EX.O.-30 dated August 16, 2011)



James Redeker
Commissioner