

Grantee: Connecticut Department of Housing

Grant: B-13-DS-09-0001

January 1, 2014 thru March 31, 2014 Performance Report



Grant Number:

B-13-DS-09-0001

Obligation Date:**Award Date:****Grantee Name:**

Connecticut Department of Housing

Contract End Date:**Review by HUD:**

Reviewed and Approved

LOCCS Authorized Amount:

\$15,000,000.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

Estimated P/RL Funds:**Total Budget:**

\$15,000,000.00

Disasters:**Declaration Number**

FEMA-4087-CT

Narratives**Disaster Damage:**

Hurricane Sandy resulted in extraordinary destruction all along the Connecticut coastline, both as a result of high winds, storm surge in excess of 11 feet in some locations due to the astronomical high tide, as well as 6 to 10 foot waves on top of the surge. According to the United States Army Corp of Engineers, water levels at the Stamford Hurricane Barrier exceeded all recorded storms, which date back to 1893. Preliminary data indicates Hurricane Sandy resulted in flooding close to, or at, the one hundred year storm level from East Haven to Greenwich. A review of state records indicates that in a significant portion of the State's coastal area, Hurricane Sandy exceeded the 1938 Hurricane, becoming the most severe storm in Connecticut history.

Connecticut sustained severe coastal flooding and damaging winds. Along the coast, tropical storm force winds combined with high tides and a full moon, resulting in tidal stacking and significant wave heights. Entire neighborhoods became inundated with storm waters. Residents who did not heed evacuation orders were trapped in their homes and had to be evacuated. Local fire departments performed a total of 144 rescues, while the Connecticut National Guard supported 73 missions, including 6 life-saving rescue efforts.

There were six fatalities in Connecticut as a result of the storm, including an Easton Fire Lieutenant, killed by a falling tree at the height of the storm while performing response operations. Telecommunications across the State were crippled by the storm. Cellular transmission sites were disabled or damaged and communications and cable companies brought in hundreds of generators in order to address critical issues such as the loss of 911 dispatch networks. Flooding and power outages caused raw sewage discharges at treatment plants and pumping stations in seven cities, contaminating flood waters. Bridgeport officials said 15 to 20 million gallons of partially treated sewage from two plants were discharged into the Long Island Sound.

Many essential services were affected by this storm. Airports were either closed entirely or were reduced to limited service. The Metro North New Haven Line, Amtrak Intercity and Shore Line East commuter rails were all shut down. On October 31, when New York Harbor was closed to all shipping traffic, fuel barges could not supply fuel terminals in New Haven and Bridgeport. Fuel supply was also impeded as hundreds of gas stations were closed due to the power outages. The State's Department of Consumer Protection reported that at the peak of Hurricane Sandy's impact on the fuel distribution system, 866 out of 1,493 gas stations (i.e. over 50%) were without power and residents were unable to obtain gas, or waited in long lines at the few open stations. Residents not only from Connecticut but from heavily affected communities in bordering New York State, such as Port Chester, Rye and White Plains, came to Connecticut in search of fuel, placing a heavy demand on an already low fuel supply.

Recovery Needs:

Owner Occupied Housing (Rehabilitation and Mitigation) - Data from FEMA, SBA, DOI, and the surveys submitted to the State by municipalities and public housing authorities indicate that approximately 38,200 homes were damaged by Hurricane Sandy in the Fairfield, New Haven, Middlesex and New London Counties. The total value of losses exceeded \$288 million. After calculating insured losses, FEMA and SBA financial assistance and other benefits, the remaining unmet need is estimated to be between \$47 and \$57 million representing approximately 7,400 units. This range of estimated unmet housing needs anticipates that as the recovery process proceeds, additional unmet needs may present themselves, including, for example, damages not previously known or estimated, increased mitigation needs, and the consideration of code compliance.

Multifamily Housing (Rehabilitation, New Construction & Mitigation) - Approximately 1,298 multifamily LMI units were directly affected by Hurricane Sandy. Of these 1,298 units, 483 units are not in a flood plain and only require rehabilitation at an estimated aggregate cost of \$1.63 million (all of which is an unmet need). The remaining 815 units are in the flood plain and require either rehabilitation and mitigation or demolition and new construction at one or more other sites, at an estimated aggregate cost of \$240 million, and an unmet need of \$148 million. The unmet need estimate in this housing sector does not include construction of over 100 units of new affordable multifamily units that are needed in the CDBG-DR Program eligible areas. New affordable units, including net new units affordable to households at low and very



low-income levels is critical to minimize the impact of Hurricane Sandy on individuals and families that are homeless or at risk of becoming homeless and a key component to the long term recovery of the State.

Fair Housing - Affirmatively furthering fair housing and Equal Opportunity compliance will be achieved by a Fair Housing Action Plan that complies with DOH's guidelines/policies, including but not limited to:

>1. Affirmatively furthering fair housing through established affirmative marketing policies.

>a. Affirmative marketing efforts for the CDBG-DR funding include the following: An Affirmative Marketing Plan, based on the U.S. Department of Housing and Urban Development (HUD) regulations that outline the policies and procedures for housing activities to affirmatively market units financed through the Multifamily and Owner Occupied (Rehabilitation/Reconstruction) Programs including dissemination of information, technical assistance to applicants, project management, reporting requirements, and project review.

>2. Ensuring that eligible persons from all racial, ethnic, national origin, religious, familial status, the disabled, and "special needs," gender groups, and/or other populations least likely to apply, are:

>a. Fully informed of vacant units available for rent.

>b. Encouraged to apply for rehabilitation and/or rent.

>c. Given the opportunity to rent the unit of their choice.

>d. Given the opportunity to rehabilitate their primary residence, which sustained damages due to disasters and/or its after-effects.

>3. Inform

Recovery Needs:

ram participants about available opportunities and supporting requirements via counselors, printed and electronic materials, publications, direct contact, workshops/seminars, and through the placement of flyers/posters in public facilities.

>4. The prioritization of housing and economic development activities and other planning activities that affect racial, ethnic and low-income concentrations to promote the availability of affordable housing in low-poverty, non-minority areas.

Infrastructure and Public Facilities - In response to the State's request for information regarding unmet infrastructure needs, local governments identified at least thirty-four infrastructure projects with unmet needs totaling approximately \$21.4 million. There are a number of infrastructure projects that have the potential to affect the health, safety and welfare of the local community and are therefore urgent priorities.

The allocation of \$4 million of the funding for infrastructure projects will focus on unmet needs that are urgent in nature and can be immediately addressed, including water and sewer repairs (\$1 million); municipal-owned utility repairs (\$1.1 million); and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds. For public facilities, the State requested unmet needs data; local governments identified at least eighty-eight projects with unmet needs totaling approximately \$34.5 million. Based on a preliminary review of the public building repair projects identified by local governments, many are ready to be undertaken immediately at a total cost of approximately \$1.5 million. Additional funds (\$700,000) will be used to initiate architectural, engineering, environmental and other preconstruction activities for the public facility projects to be undertaken with future allocations of funds.

Economic Revitalization - In response to the State's request for unmet needs data, local governments identified at least twelve structural repair projects for businesses totaling \$5.3 million and an additional \$5 million of repair or replacement of equipment. In total, the unmet need for economic revitalization identified by local governments is currently estimated at \$10.3 million. A wide range of businesses were impacted with the greatest concentration of monetary damages in Other Amusement and Recreational Facilities (\$1.3 million); Hotels of various types (\$1 million) and Restaurants of various types (\$1 million). Many of the impacted businesses were either on or close to the shoreline, including a high percentage in the 100-year flood plain. A range of businesses were impacted, with the greatest concentration of costs in Plumbing, Heating, and Air-Conditioning Contractors (\$400,000); and Restaurants of various types (\$310,000).

Planning - The State recognizes that future storms may severely damage homes, businesses, infrastructure and public facilities in municipalities throughout Connecticut. It is essential that our infrastructure and public facilities be hardened in anticipation of these events. In addition, a significant and necessary investment has been made in preparation for the receipt and distribution of the Funding. Accordingly, the State will utilize a portion of these PI

Recovery Needs:

anning funds to offset the costs incurred to develop the proposed programs and activities through which the Funding will be administered.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$15,000,000.00
Total Budget	\$13,500,000.00	\$15,000,000.00
Total Obligated	\$565,189.18	\$791,227.18
Total Funds Drawdown	\$565,190.00	\$791,227.18
Program Funds Drawdown	\$565,190.00	\$791,227.18
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$784,418.24	\$1,349,610.04
Match Contributed	\$0.00	\$0.00



Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		59.26%
Overall Benefit Percentage (Actual)		25.00%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$2,250,000.00	\$0.00
Limit on Admin/Planning	\$3,000,000.00	\$341,207.18
Limit on State Admin	\$750,000.00	\$30,833.00

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$7,500,000.00	\$8,000,000.00

Overall Progress Narrative:

The State of Connecticut Department of Housing (DOH) is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to implement a program that is in accordance with all federal regulations. Written program guidelines were developed, prepared and posted on the DOH web portal dedicated to Storm Sandy Recovery.

Considerable outreach was conducted in order to advertise the program and provide potential applicants within formation regarding all program aspects including but not limited to program objectives, eligibility requirements, program priorities, forms of assistance, allowable costs, policies and procedures, and application information. A multi-media campaign targeted residents of the areas most impacted by Superstorm Sandy (i.e. Fairfield, New Haven, New London and Middlesex Counties and the Mashantucket Pequot Indian Reservation). DOH marketed the availability of OORR assistance through newspapers and broadcast media. Community meetings were held in the Towns of Milford, Branford and Bridgeport. Question and answer sessions followed Power Point program presentations.

Through a Request for Proposals (RFP) solicitation process, DOH contracted with ICF Incorporated LLC and Stewart Staffing to act as our Intake Contractor. We opened five (5) Intake Centers in the most impacted areas (Fairfield, Norwalk, Milford, East Haven and Groton). Homeowners with properties damaged as a result of Superstorm Sandy were solicited directly through information from FEMA, SBA and the affected municipalities. With the assistance of our Intake Contractor more than 7,600 direct marketing calls were made to potential applicants. Those potential applicants were notified of their potential eligibility for assistance under CDBG-DR, and were provided information on application availability and technical assistance at the Intake Centers. In addition, approximately 12,000 individual letters and more than 9,500 emails were sent to potentially eligible homeowners and businesses in those areas, also detailing the availability of these funds.

The application intake consultant worked with the State to convert its application to an online version with a supporting database. A toll-free telephone number was established and is maintained for information on how, where, and when people may apply for financial assistance. Intake counselors provide assistance to affected homeowners by helping them with completing applications and submitting supporting documentation. In addition, through the toll free phone number, we have also been able to make available a "mobile intake center", where trained staff familiar with the program and the application would set up in-home appointments with the elderly or disabled who needed assistance, but were unable to visit one of the Intake Centers. The Intake Centers and mobile units have proven a great resource for homeowners impacted by Superstorm Sandy. Since opening these centers there



have been over 1,500 appointments. As of March 31, 2014, one thousand and forty-three (1,029 property owners/ 14 small businesses) applications for assistance have been submitted by homeowners and businesses in the affected areas.

As of March 31, 2014 there were 960 active applications for the Owner Occupied program in our database, of which 7 were in progress (the applicant has not hit the submit button), and 953 had been submitted. Of the 953 submitted applications, 236 are under quality assurance review by our Intake Contractor, and 717 have been submitted to DOH for program eligibility determination. DOH staff have reviewed 565 of these applications and there are presently 152 under review.

The State of Connecticut has designed the CDBG-DR program to protect the homeowners, taxpayers and the State from fraud, waste and abuse. For the First Tranche, we are not simply cutting checks. We are working to ensure that the work that is done to restore the property of our citizens is done properly, consistent with good quality work and materials, while ensuring that those homeowners who are displaced from their homes receive the priority assistance they need. As a result, we have seven (7) highly qualified Architectural, Engineering, and Construction Management firms assigned to one hundred and eighty-nine (189) of the highest priority applicants. These firms are responsible to visit the damaged properties to perform a property evaluation, determine the unmet need as a result of Superstorm Sandy, prepare the environmental statutory checklist, scope of work, construction and bid documents, so that we can get prequalified general contractors on site and beginning work in the next quarter. These activities will include rehabilitation, reconstruction, energy efficiency improvements, home elevation and other forms of resiliency.

DOH staff has conducted environmental review activities specific to the Owner Occupied Rehabilitation and Rebuilding (OORR), Scattered Site Rehabilitation and Rebuilding (SSRR) and Economic Revitalization programs. The 8-Step Decision Making Process for compliance with determinations on floodplain management and wetlands protection [24 CFR 55.20], Tier One environmental review in accordance with 24 CFR 58.15 and environmental review procedures have been developed and written for the entire State of Connecticut Disaster Recovery Program. These procedures contain specific instructions for A/E/CM firms regarding the completion of site-specific Statutory Checklists and corresponding laws and regulations. An Environmental Review Statement/Determination for OORR, SSRR and Economic Revitalization program activities has been completed and the Release of Funds was granted on February 11, 2014.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
R1-A1-DS-13-ADMN, R1-A1-ADMINISTRATION	\$30,833.00	\$500,000.00	\$30,833.00
R1-A1-DS-13-OORR, R1-A1-OWNER-OCCUPIED/SINGLE	\$58,502.00	\$1,500,000.00	\$58,502.00
R1-A1-DS-13-PLAN, R1-A1-PLANNING	\$84,337.00	\$1,000,000.00	\$310,374.18
R1-A1-MOST IMPACT-DS-13-ECON, R1-A1-MOST IMPACT-	\$0.00	\$2,000,000.00	\$0.00
R1-A1-MOST IMPACT-DS-13-MF, R1-A1-MOST IMPACT-MULTI-	\$0.00	\$5,000,000.00	\$0.00
R1-A1-MOST IMPACT-DS-13-OORR, R1-A1-MOST IMPACT-	\$391,518.00	\$5,000,000.00	\$391,518.00



Activities

Grantee Activity Number:	R1-A1-DS-13-ADMN
Activity Title:	R1-A1-ADMINISTRATION

Activity Category:

Administration

Project Number:

R1-A1-DS-13-ADMN

Projected Start Date:

08/22/2013

Benefit Type:

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National Objective:

N/A

Activity Status:

Under Way

Project Title:

R1-A1-ADMINISTRATION

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$500,000.00
Total Budget	\$0.00	\$500,000.00
Total Obligated	\$30,833.00	\$30,833.00
Total Funds Drawdown	\$30,833.00	\$30,833.00
Program Funds Drawdown	\$30,833.00	\$30,833.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$275,989.27	\$306,822.27
STATE OF CONNECTICUT	\$275,989.27	\$306,822.27
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

Location Description:

Grant Administration activities to implement the CGBG-DR program in areas impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

This activity represents the allocation of funds for administrative salaries and program development.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R1-A1-DS-13-HSG-LM
Activity Title:	R1-A1- HSG-REHAB/RECONSTRUCT

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R1-A1-DS-13-OORR

Project Title:

R1-A1-OWNER-OCCUPIED/SINGLE FAMILY

Projected Start Date:

08/22/2013

Projected End Date:

08/22/2015

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$500,000.00
Total Budget	\$500,000.00	\$500,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$22,098.99	\$35,599.65
STATE OF CONNECTICUT	\$22,098.99	\$35,599.65
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to Moderate income owner occupied properties impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

As of March 31, 2014, there have been 64 applications submitted from homeowners of Middlesex and New London Counties who were impacted by Superstorm Sandy. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 21 were LMI income applicants, 20 applicants fall under the Urgent Need National Objective, 5 were mitigation only request, which is an activity that CT is not funding under this tranche of funds, and 18 were denied and found ineligible for OORR program assistance.

Of the 21 low to moderate income applications, 16 have been reviewed and issued program eligibility letters and 5 are presently under review. In an effort to prevent fraud, waste and abuse, we have assigned 11 low to moderate income applicants from Middlesex and New London Counties to one of our seven (7) pre-qualified Architectural and Engineering firms. These firms are responsible to visit the homes of these residents to determine the unmet need as a result of Superstorm Sandy, prepare the environmental statory checklists, scope of works, construction and bid documents.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R1-A1-DS-13-HSG-UN
Activity Title:	R1-A1-HSG- REHAB/RECONSTRUCT

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R1-A1-DS-13-OORR

Project Title:

R1-A1-OWNER-OCCUPIED/SINGLE FAMILY

Projected Start Date:

08/22/2013

Projected End Date:

08/22/2015

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

Overall

	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,000,000.00
Total Budget	\$1,000,000.00	\$1,000,000.00
Total Obligated	\$58,502.00	\$58,502.00
Total Funds Drawdown	\$58,502.00	\$58,502.00
Program Funds Drawdown	\$58,502.00	\$58,502.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$21,086.11	\$66,088.11
STATE OF CONNECTICUT	\$21,086.11	\$66,088.11
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

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Activity Progress Narrative:

As of March 31, 2014, there have been 64 applications submitted from homeowners of Middlesex and New London Counties who were impacted by Superstorm Sandy. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 21 were LMI income applicants, 20 applicants fall under the Urgent Need National Objective, 5 were mitigation only request, which is an activity that CT is not funding under this tranche of funds, and 18 were denied and found ineligible for OORR program assistance.

Of the 20 Urgent Need applications, 16 have been reviewed and issued program eligibility letters and 4 are presently under review. According to our Action Plan, priority has been given to homeowners who are low to moderate income and therefore urgent need homeowners will be granted assistance pending funding availability.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R1-A1-DS-13-PLAN

Activity Title: R1-A1-PLANNING

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R1-A1-DS-13-PLAN

Project Title:

R1-A1-PLANNING

Projected Start Date:

08/22/2013

Projected End Date:

08/22/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,000,000.00
Total Budget	\$0.00	\$1,000,000.00
Total Obligated	\$84,336.18	\$310,374.18
Total Funds Drawdown	\$84,337.00	\$310,374.18
Program Funds Drawdown	\$84,337.00	\$310,374.18
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$8,684.50	\$93,021.50
STATE OF CONNECTICUT	\$8,684.50	\$93,021.50
Match Contributed	\$0.00	\$0.00

Activity Description:

Program Planning activities associated with improving the resiliency of infrastructure, public buildings and providing mitigation activities. These activities might include: Developing CDBG-DR program and activities; The formulation of local long-term recovery plans; Plans to address foreseeable mitigation needs at the state, regional or local level; Research to develop strategies to address the health and safety of homeless individuals and families and other vulnerable populations; Plans to avoid fuel shortages during future disasters; and Scoping of mitigation and resiliency projects, particularly as they relate to critical infrastructure.

Location Description:

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

Activity Progress Narrative:

Significant strides have been made in developing program policies and procedures for the planning activity this quarter. On February 21, 2014 the Department of Housing released the draft program guide for Planning, Infrastructure and Public facilities for the federally mandated seven day public comment period.

After review of all public comments received and necessary changes to the program Guide completed, we released the Notice of Funding Availability (NOFA) with program goals. The goal of this NOFA is to provide funding for necessary expenses related to the rehabilitation and replacement of infrastructure and public facilities to restore a suitable living environment in disaster impacted areas. The applications submitted will be evaluated on the basis of the following criteria: Low/Moderate Income (LMI) Benefit; 80% of the Projects in Fairfield and New Haven Counties where most of the damage occurred; Addressing conditions threatening health and safety of occupants or the public; Projects significantly contributing to long-term recovery and economic revitalization of the affected areas; Projects that include financial contributions to the repair, construction, mitigation thru NFIP, FEMA, SBA, and other sources from the sponsor or beneficiary; Projects that benefit those affected by Hurricane Sandy; and



Projects reflecting CT Disaster Recovery Action Plan.

The Application form was created and based on the CDBG application and incorporating Disaster Recovery aspects: Included were: FEMA Regulations; Resiliency &ndash including requesting Sea Level Rise Maps showing the effects of a 1&rsquo, a 3&rsquo and a 5&rsquo rise; Community Impact on housing patterns, transportation, relevant services, community facilities, public and private investment; Consistency with the CT Consolidated Plan, the CT Plan of Conservation and Development, the CT Hazard and Mitigation Plan and the CDBG-DR Action Plan; Statutory Checklist; Sustainable Design; Ecosystem Protection; Green Technologies and Storm Water Technologies; Project Impacts &ndash on Services, Market Segments, Existing Resources, Community Services, Critical Facilities, Housing; Unmet Need in Impacted Areas; Readiness to Proceed and to Complete the proposed project within the CDBG-DR timetable.

The deadline for submission of the applications for the planing, Infrastructure and Public facilities activities is April 28, 2014.

Applications will be reviewed next quarter and letters of commitment will be issued to projects eligible for assistance.

Planning funds this quarter was used for the development of the Substantial Ammendment for the second tranche of CDBG-DR funds.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R1-A1-MOST IMPACT-DS-13-ECDEV-MI
Activity Title:	R1-A1-MOST IMPACT-ECON REVITALIZATION MOST IMPACT

Activity Category:

Econ. development or recovery activity that creates/retains jobs

Project Number:

R1-A1-MOST IMPACT-DS-13-ECON

Projected Start Date:

08/22/2013

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R1-A1-MOST IMPACT-ECONOMIC REVITALIZATION

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$2,000,000.00
Total Budget	\$2,000,000.00	\$2,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$50,000.00	\$50,000.00
STATE OF CONNECTICUT	\$50,000.00	\$50,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The Economic Revitalization Program was established to provide assistance to eligible small businesses that suffered direct physical damage as a result of Superstorm Sandy with the financial resources needed to continue the rebuilding process. Grants may be provided to small businesses, including non-profit organizations for capital expenditures to repair or replace needed equipment, lost inventory, rehabilitation, reconstruction of facilities that were damaged/destroyed or to provide working capital needed as a direct result of the storm while promoting job retention and job creation.

Location Description:

The Economic Revitalization Program is designed to meet the needs of small businesses in the communities most impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation. Priority has been given to the small business located in the Fairfield and New Haven Counties.

Activity Progress Narrative:

The Small Business Express Program has received 14 applications since the program began accepting applications on September 27, 2013. one (1) of which has been approved, two (2) have been approved and forwarded to the attorney for closing, one (1) is under financial review, one (1) has been withdrawn by the applicant, one (1) has been denied and nine (9) are presently under application review. DECD staff is presently working with the small business owners with applications under review to submit all missing documentation in order to complete the review these applications. As of March 31, 2014 one (1) small business grant has been executed under this activity in the amount of \$50,000 for a total of 3 jobs created and retained. The total grant award has been disbursed to the business to recover lost inventory as a result of Superstorm sandy. Charles Island Oyster Farm is located just off of the coast of The Silver Sands Beach in Milford, Connecticut. The co-owned 29-acer lot, known as Milford 12-M, has been a part of the natural oyster bed since Connecticut



became a state. Charles Island Oyster Farm planted over 2 million seed oysters in 2011, which grew from 2 millimeter seed stock purchased from a hathery on Fishers Island, CT. In 2012, they were raising 1.4 millimeter seed oysters and unfortunately Superstorm Sandy struck and over 1 million oyster seeds and beds were lost from the oyster farm.

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Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			Low/Mod%
	Low	Mod	Total	Low	Mod	Total	
# of Permanent Jobs Retained	0	0	3	0/0	0/0	3/3	0.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number: R1-A1-MOST IMPACT-DS-13-HSG-LM

Activity Title: R1-A1-MOST IMPACT-LOW-MOD
REHAB/RECONSTRUCT

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-A1-MOST IMPACT-DS-13-OORR

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-A1-MOST IMPACT-OWNER OCCUPIED/SINGLE

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2014

N/A

To Date

\$2,500,000.00

Total Budget

\$2,500,000.00

\$2,500,000.00

Total Obligated

\$112,505.00

\$112,505.00

Total Funds Drawdown

\$112,505.00

\$112,505.00

Program Funds Drawdown

\$112,505.00

\$112,505.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$212,452.15

\$324,957.65

STATE OF CONNECTICUT

\$212,452.15

\$324,957.65

Match Contributed

\$0.00

\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to home owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing web portal dedicated to Storm Sandy Recovery. Outreach efforts remain ongoing.

There were 653 applications submitted from residents of Fairfield and New Haven Counties. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 307 were LMI income applicants, 253 applicants fall under the Urgent Need National Objective, 44 are mitigation only request, which is an activity that CT is not funding under this tranche of funds, and 49 have been found ineligible for OORR program assistance.



Of the 307 low to moderate income applications, 251 have been reviewed and issued program eligibility letters and 56 are presently under review. In an effort to prevent fraud, waste and abuse, we have assigned 178 low to moderate income applicants from Fairfield and New Haven Counties to one of our seven (7) pre-qualified Architectural and Engineering firms. These firms are responsible to visit the homes of these residents to determine the unmet need as a result of Superstorm Sandy, prepare the environmental statutory checklists, scope of works, construction and bid documents.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R1-A1-MOST IMPACT-DS-13-HSG-MI
Activity Title:	R1-A1-MOST IMPACT REHAB/RECONSTRUCT

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R1-A1-MOST IMPACT-DS-13-OORR

Project Title:

R1-A1-MOST IMPACT-OWNER OCCUPIED/SINGLE

Projected Start Date:

08/22/2013

Projected End Date:

08/22/2015

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$2,500,000.00
Total Budget	\$2,500,000.00	\$2,500,000.00
Total Obligated	\$279,013.00	\$279,013.00
Total Funds Drawdown	\$279,013.00	\$279,013.00
Program Funds Drawdown	\$279,013.00	\$279,013.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$184,689.32	\$463,702.96
STATE OF CONNECTICUT	\$184,689.32	\$463,702.96
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Several efforts have been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to home owners in the areas most impacted by Superstorm Sandy and community meetings with presentations from program staff. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concerns and answer questions that the property owners may have regarding the program. Information is also available on the Department of Housing web portal dedicated to Storm Sandy Recovery. Outreach efforts remain ongoing.

As of March 31, 2014 there were 960 active applications in our database, of which 7 were in progress (the applicant has not hit the submit button), and 953 have been submitted. Of the 953 submitted applications, 236 are under quality assurance review, and 717 are undergoing CDBG-DR eligibility and national objective review.

There were 653 applications submitted from residents of Fairfield and New Haven Counties. Applications were reviewed and prioritized in accordance with program guidelines and were classified as follows: 307 were LMI income applicants, 253



applicants fall under the Urgent Need National Objective, 44 are mitigation only request, which is an activity that CT is not funding under this tranche of funds, and 49 have been found ineligible for OORR program assistance. Of the 253 urgent need applications, 180 have been reviewed and issued program eligibility letters and 73 are presently under review.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R1-A1-MOST IMPACT-DS-13-MFRO-LM
Activity Title:	R1-A1-MOST IMPACT-MULTI-FAMILY OUTSIDE FLOOD PLAIN

Activity Category:

Affordable Rental Housing

Project Number:

R1-A1-MOST IMPACT-DS-13-MF

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$500,000.00
Total Budget	\$500,000.00	\$500,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Multifamily Rehabilitation, Rebuilding and Mitigation outside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Every effort has been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to towns and cities in the areas most impacted by Superstorm Sandy and presentations made to town and city governments. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concern and to answer questions regarding the program. Information is also available on the Department of Housing web portal dedicated to Storm Sandy Recovery. There is a special section on the Storm Sandy Recovery website for Multi-Family Housing. DOH has emailed information about the CDBG-DR Multi-Family Program to its extensive email contact list which includes towns, cities, housing authorities and non-profits. Outreach efforts remain ongoing.

From program inception, DOH did not received any applications for multifamily projects located outside of the flood plain. On March 20, 2014, DOH/CDBG-DR issued a Notice of Funding Availability (NOFA) with program goals for a combined \$8,000,000 for projects inside and outside the flood plain in Fairfield, New Haven, Middlesex and New London Counties. The extended deadline for applications is April 28, 2014.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R1-A1-MOST IMPACT-DS-13-RRMI-LM
Activity Title:	R1-A1-MOST IMPACT-MULTI-FAMILY INSIDE FLOOD PLAIN

Activity Category:

Affordable Rental Housing

Project Number:

R1-A1-MOST IMPACT-DS-13-MF

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$3,500,000.00
Total Budget	\$3,500,000.00	\$3,500,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Multifamily Low to Moderate Rehabilitation, Rebuilding and Mitigation inside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

The State is making progress on the disaster recovery programs outlined in its approved Action Plan. Every effort has been made to promote the program and to provide technical assistance to potential applicants. Efforts include press releases issued by the Governor, multi-media news campaign targeted to towns and cities in the areas most impacted by Superstorm Sandy and presentations made to town and city governments. These sessions were designed to review the program guidelines, the application process, as well as address any issues of concern and to answer questions regarding the program. Information is also available on the Department of Housing web portal dedicated to Storm Sandy Recovery. There is a special section on the Storm Sandy Recovery website for Multi-Family Housing. DOH has emailed information about the CDBG-DR Multi-Family Program to its extensive email contact list which includes towns, cities, housing authorities and non-profits. Outreach efforts remain ongoing.

DOH made available CDBG-DR funds to be combined with the 2014 Round of 9% Tax Credit Funds for Washington Village, South Norwalk.

>Washington Village - Washington Village Phase One, one will reclaim two vacant and city owned brownfield sites for the construction 80 residential units in the South Norwalk Neighborhood of Norwalk, CT. The project is the initial phase of a larger multiphase redevelopment effort that will replace the oldest public housing in the State of Connecticut, the 136unit Washington



Village property, with a new 273unit, mixed income community. The original Washington Village was built in 1941; is one of the oldest public housing developments in the State of Connecticut; is outdated and has a negative impact on the surrounding neighborhood according to a Common Council Member. The new plan, they believe, will transform the area and provide an opportunity for former residents of the old public housing development to move back into Washington Village in the new development and to live in a diverse neighborhood which will include a broader range of income levels. The total cost of Phase I is \$32,600,000. On December 19 2013, DOH issued a soft letter of support to the developer, Trinity Financial Village Phase One Limited Partnership, stating an interest in the project to fill a financial gap of \$9,855,590 with CDBG-DR funds.

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>Although all residential units are located above the base flood elevation, the proposed site is located within the 100 Year Flood Plain. A request for Floodplain Management Exemption was submitted to the Department of Energy and Environmental Protection (DEEP) on February 10th, 2014. DEEP has a 90 day review process and we anticipate hearing the results in the next quarter.

On March 20, 2014, DOH/CDBG-DR issued a Notice of Funding Availability (NOFA) with program goals for a combined \$8,000,000 for projects inside and outside the flood plain in Fairfield, New Haven, Middlesex and New London Counties. The extended deadline for applications is April 28, 2014 and we anticipate making decisions of eligible projects in the next quarter.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R1-A1-MOST IMPACT-DS-13-SSRR-LM
Activity Title:	R1-A1-MOST IMPACT-SCAT SITE MF LOW/MOD REHAB/RECON

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-A1-MOST IMPACT-DS-13-MF

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-A1-MOST IMPACT-MULTI-FAMILY/RENTAL

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

	Jan 1 thru Mar 31, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,000,000.00
Total Budget	\$1,000,000.00	\$1,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$9,417.90	\$9,417.90
STATE OF CONNECTICUT	\$9,417.90	\$9,417.90
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

One-four unit low to moderate income rental occupied properties which were damaged by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

As of March 31, 2014, there were 40 applications in our database from rental property owners. All 40 applications have been reviewed according to Program Guidelines and CDBG-DR National Objectives. There are 16 eligible applicants; 22 applicants were denied; 2 were withdrawn. All eligible SSRR applications meet the Low/Moderate National Objective. Of the eligible applicants, 24 are from New Haven County; 15 are from Fairfield County; 1 is from New London County. As of March 31, 2014, one applicant has been assigned to a pre-qualified Architectural and Engineering firm and this project is presently in the environmental review phase.



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources
