

2010 Program Year

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Shelter Grant Program

Submitted to the
U.S. Department of Housing & Urban Development

By
The State of Connecticut
Department of Economic and Community Development
and Department of Social Services



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**State of Connecticut
2010 Consolidated Annual Performance and Evaluation Report**

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Executive Summary

The 2010 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2010-11 Program Year (PY) which began 7/1/2010 thru 6/30/2011.

Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs. The SC/CDBG Program is administered by the State of Connecticut Department of Economic and Community Development (DECD).

HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state. The HOME Program is administered by the State of Connecticut Department of Economic and Community Development (DECD).

Emergency Shelter Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential social services both to assist the homeless and to prevent homelessness. The ESG Program is administered by the State of Connecticut Department of Social Services (DSS).

Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families. The HOPWA Program is administered by the State of Connecticut Department of Social Services (DSS).

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for the 2010-11 Program Year are listed below.

Table 1: Program Resource Allocation for PY 2010-2011	
HOME Investment Partnerships (HOME)	\$ 13,342,837
Small Cities Community Development Block Grant (CDBG)	\$ 14,692,943
Emergency Shelter Grants (ESG)	\$ 1,165,370
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 286,319
Total	\$ 29,487,469

Source: DECD, OSP

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. Descriptions for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year.

The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2010-15 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2010-15 ConPlan, subsequent annual Action Plans and CAPERS are available on the DECD web site at www.DECD.org.

The 2010 CAPER is the first reporting year of the 2010-2015 ConPlan. The Performance Measurement System used in this document is as outlined in the 2010-15 ConPlan. The 2009 CAPER, which was the last reporting year for the 2005-2010 ConPlan, formatted the Performance Measures differently.

NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

Summary of impediments identified in analysis:

Impediments identified in the state's Analysis of Impediments are summarized in the Executive Summary of the Analysis of Impediments to Affordable Housing which is located on the publications page of the DECD website under housing plans.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

Description of the Continuum of Care:

Not applicable.

The following actions were taken in the program year to prevent homelessness:

The Department of Social Services (DSS) continued to prevent homelessness through its various efforts associated with Eviction Foreclosure Prevention Program; homeless outreach, security deposit guarantee program, CT Beyond Shelter Program, Homelessness Prevention and Rapid Rehousing, etc. Each of these programs is designed to assist the target population with staying stably housed and thus not breaching the emergency shelter/transitional living system.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DSS, in addition to funding emergency shelters and transitional living programs, also provides services through homeless drop-in day programs, homeless housing support services, Housing First for Families Program, CT Beyond Shelter Program, food pantries, and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs.

DSS has required all emergency shelter and transitional living programs to enter information into the Homeless Management Information System. Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DSS, this year, partnered with CT's Head Start Collaboration Office to pilot a program entitled "**Head Start and Family Emergency Shelter Program**". The purpose of the pilot was for Head Start programs, through a relationship with Family Emergency Shelters to offer enhancing supports to young children residing in shelter. Due the limited resources, this Pilot was limited to no more than 11 family shelters; however, the

feedback received thus far, has been wonderful. Homeless children are a priority for Head Start programs and based upon this relationship; Head Start Programs are sharing their best practices with other Head Start and Early Head Start providers.

During the middle of this program year, DSS was invited to be a member of the CTHMIS Steering Committee.

Significant homeless subpopulations assisted were:

Elderly and Frail Elderly and Persons with HIV/AIDS and their Families account for the significant homeless subpopulations assisted during the program year. Through the DSS's Division on Aging, services are targeted to elderly and frail elderly populations. Also the department utilizes funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

This year, DSS was able to identify resources within its available funding to offer a pilot program for Persons with HIV/AIDS. DSS contracted with CT AIDS Resource Coalition, (CARC) to provide program services. The program is entitled, "AIDS Residential Housing Fund", which provides a rental assistance program for residents of Connecticut living with HIV/AIDS that are transitioning to permanent housing. ARHF targets the following populations:

- PWA's leaving AIDS Congregate Housing (for greater independence in community);
- PWA's leaving emergency shelters for more permanent housing;
- PWA's living independently in the community at risk of becoming homeless due to unpaid rent

The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

The following efforts were made to address special needs of persons that are not homeless but require supportive housing:

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

Participation in a Continuum(s) of Care application in FFY 2010 competition:

The DECD provided 75 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

The following Continuums of Care applications were submitted in the FFY 2010 competition:

Not applicable

The following Continuums of Care projects were funded in the FFY 2010 homeless assistance competition:

Not applicable

The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2010 competition:

Not applicable

Other Actions

- **Actions to address obstacles to meeting underserved needs**

The following actions were taken in the program year to address obstacles to meeting underserved needs:

DECD included a priority for funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included substantial bonus points awarded for Supportive Housing proposals.

- **Actions to foster and maintain affordable housing**

The following actions were taken in the program year to foster and maintain affordable housing:

DECD included a priority for funding in its rating and ranking criteria for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 611 units of affordable housing were preserved (34 Rehab/Home Ownership and Public Housing Rental Units under the HOME program and 577 Rehab/Home Ownership and Public Housing Rental Units under the SC/CDBG program).

- **Actions to eliminate barriers to affordable housing**

The following actions were taken in the program year to eliminate barriers to affordable housing:

DECD continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DECD continues to require that all rehabilitated or developed affordable housing activities be strenuously marketed to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:

During the program year DECD continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Supportive Housing Preservation Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners Meeting; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

The following actions were taken in the program year to improve public housing and resident initiatives:

During the program year the State made housing related activities a priority and DECD worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State of Connecticut also enacted a Resident Participation and Rights Act, in 201, that further strengthens tenants rights in public housing projects.

- **Actions to evaluate and reduce lead-based paint hazards**

The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:

During the program year DECD continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):

During the Program year, DECD provided 75 "Certifications of Consistency with the Consolidated Plan" to applicants applying for funding under HUD's NOFA for the Continuum of Care-Homeless Assistance Programs.

During the Program year, DECD held one SC/CDBG workshop on the agency's competitive application process, one workshop on State Housing Policy Direction , one workshop with regard to changes made to the Fair Housing Section of the SC/CDBG program's application, one workshop on Affirmative Fair Housing Marketing Plans/Tenant Selection Plans/State Fair Housing Regulations, one workshop on Section 3 Strategies.

During the Program year, DECD conducted 32 close out monitoring visits for the SC/CDBG program, 71 physical inspections and/or income test monitoring visits for the HOME program and 23 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

During the Program year, DECD issued two SC/CDBG Bulletins clarifying program issues and providing notice of the application workshop. Bulletins were sent to all SC/CDBG eligible applicants.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

The following actions were taken in the program year to reduce the number of persons living below the poverty level:

The four programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG and HOPWA – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level

Anti-Poverty Strategy

In addition to the four programs covered by the Consolidated Plan, the State of Connecticut, through several agencies and organizations employs numerous policies and programs to reduce the number of poverty level families within the state. These programs and the organizations that administer them are described within the Institutional Structure section of state's Consolidated Plan for Housing and Community Development.

Additionally, the State of Connecticut has several statutory and federally mandated interconnected/interrelated plans that further articulate and constitute the State's Anti-Poverty Strategy. These plans include but are not limited to those enumerated below.

The plans that follow are available online via the links included in their brief descriptions.

- **Economic Strategic Plan for Connecticut**

http://www.ct.gov/ecd/lib/ecd/connecticut_esp-final.pdf

This plan is mandated per Section 32-1o of the Connecticut General Statutes (C.G.S.) It is a comprehensive five-year strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends more than 60 specific strategies and initiatives for the future, grouped in three general areas: Talent and Technology, Cultivating Competitiveness and Responsible Growth.

- **State Long-Range Housing Plan**

http://www.ct.gov/ecd/lib/ecd/2010-15_slrhp_-_final_.pdf

This plan is mandated per Section 8-37t of the C.G.S. It is a comprehensive five-year strategic plan that articulates and outlines the state's strategies, goals and objectives with regard to the preservation and creation of quality affordable housing and opportunities. This plan is developed by the Connecticut Department of Economic and Community Development and the Connecticut Housing Finance Authority. It is developed concurrent with the development of the State's Consolidated Plan.

- **State Plan of Conservation and Development**

<http://www.ct.gov/opm/lib/opm/igp/cdplan/adopted2005-2010cdplan.pdf>

This plan is mandated per Section 16a-24 of the C.G.S. It is a comprehensive plan that serves as a statement of the development, resource management and public investment policies for the state. Recent amendments to state statutes have delayed the revision process for the Conservation and Development Policies Plan for Connecticut (State C&D Plan). The current State C&D Plan, which was adopted in 2005, will remain in effect until the 2013 legislative session when the General Assembly is scheduled to vote on adopting the next plan revision.

- **State Child Care Assistance Plan**

http://www.ct.gov/dss/lib/dss/pdfs/stimulus/ct_ccdf_plan_2010_2011_final.pdf

The state has prepared a comprehensive child care plan to access federal funds, including the new child care stimulus funds for programs and services over the next two years. The Connecticut Department of Social Services (DSS) Family Services & Child Care Team*, in collaboration with the State Child Day Care Council has held three public hearings and received written comments from Connecticut residents and advocates.

- **State Temporary Assistance For Needy Families (TANF) StatePlan**

<http://www.ct.gov/dss/lib/dss/pdfs/tanfplan2009to2011.pdf>

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **State Of Connecticut Department Of Social Services Administrative Plan For The Rental Assistance Program**

<http://www.ct.gov/dss/lib/dss/pdfs/rapadminplan.pdf>

This plan outlines how the State of Connecticut administers the Rental Assistance Program. The State of Connecticut Department of Social Services (DSS) Rental Assistance Program (RAP), created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **Child Care and Development Fund Plan for Connecticut**

http://www.ct.gov/dss/lib/dss/pdfs/ccdf_plan_2010-2011_063009new_.pdf

This plan describes the child care and development fund program to be conducted by the State of Connecticut.

- **State of Connecticut Comprehensive Mental Health Plan**

http://www.ct.gov/dss/lib/dss/pdfs/stimulus/ct_ccdf_plan_2010_2011_final.pdf

This plan is submitted to the Federal the Substance Abuse and Mental Health Services Administration. It outlines the state's plan to address mental health and addition challenges facing the state.

- **State of Connecticut Department of Developmental Services Five-year Plan**

http://www.ct.gov/dds/lib/dds/commissioner/final_plan_2007.pdf

This plan is mandated per Section 17a-211 of the C.G.S. It is a comprehensive 5 year plan that serves as a strategic statement of the department's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

I. Assessment of Progress

Connecticut's 2010-15 Consolidated Plan and subsequent Action Plans outline Over arching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state intends to make available HOME, SC/CDBG, ESG, and HOPWA funds to eligible recipients based on the priorities set forth in the state's 2010-15 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2010-15 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2010-11 Action Plan.

The state's long-term vision is that Connecticut's communities will be vibrant, safe, clean, and diverse places for people to live, work, and raise a family, that housing opportunities in Connecticut will be affordable, environmentally friendly and available to meet the needs of all its citizens. Housing is a key component of attaining and sustaining economic growth and in anchoring a community. Ensuring affordable housing options, to own and rent is an important contributing factor to future economic health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing.

In order to address all the citizens needs in an era of constrained resources it is important to add new housing as well as preserve affordable housing presently serving households in need. Additionally, it is important that all efforts, state and local, be undertaken consistent with responsible growth principles that will make the most efficient uses of energy, land, public infrastructure and other societal resources over the long-term. The state will marshal its resources to address Connecticut's housing development, housing support, and community development needs through the application of Responsible Development and Sustainable Communities' Livability principles to promote responsible development by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

Responsible development as an overarching principle includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible development policy, DECD will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

Sustainable Communities as an overarching principle emphasizes Connecticut's commitment to building and sustaining safe, livable, healthy communities and will encourage investment and development that strengthens and revitalizes communities by providing more choices for affordable housing near employment opportunities; more transportation options that lower transportation costs and shorten travel times; and improve and protect the environment. This activity is consistent with the recommended State Plan of Conservation and Development.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DECD, CHFA and the Department of Social Services (DSS) will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut’s citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state will work to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state will work to revitalize communities by providing communities in need with:

- Assistance to undertake housing, community and economic development initiatives.
- Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state will encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state’s responsible growth strategies and the growth management policies specified in the current State Plan of Conservation and Development (C&D Plan).

A. OVERARCHING PRINCIPLES

Responsible Development

Responsible Development includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible growth policy, DECD will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

DECD will give preference to community and housing development/redevelopment projects that satisfy the following responsible growth criteria:

- Conform with the C&D Plan for Connecticut.
- Are sited within existing developed areas and promote infill development.
- Are sited within existing public utilities service areas (water, sewer, etc.).
- For projects outside of public utility services areas, scaling down to use on-site systems, where practicable, to manage unplanned development of adjacent land.

- Integrate transit-oriented development.
- Integrate energy/water conservation, energy efficiency and "green" building design.
- Avoid adverse impacts to natural and cultural resources and open space.
- Promote mixed-use development and mixed income development and compatible land uses (pedestrian-friendly with access to multiple destinations within close proximity of each other).

The state's responsible growth strategies directly related to affordable housing include supporting state programs such as the Housing for Economic Growth program (a.k.a. HOME Connecticut) and the Incentive Housing Zones for higher-density, mixed-income housing in downtowns and re-developed brownfields and former mills close to transit options and job centers. DECD also supports federal efforts by the U.S. Departments of Housing and Urban Development (HUD), Transportation (USDOT) and the Environmental Protection Agency (EPA) to promote mixed income housing near transit, known as the Partnership for Sustainable Communities.

Sustainable Communities

"The average working American family spends nearly 60% of its budget on housing and transportation costs, making these two areas the largest expenses for American families."

As referenced above, HUD, the USDOT and the EPA have entered into a "partnership to help American families gain better access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities". This initiative, known as the Sustainable Communities Initiative, is based on the following "Livability Principles":

- Provide more transportation choices. Develop safe, reliable, and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
- Promote equitable, affordable housing. Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- Enhance economic competitiveness. Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers, as well as expanded business access to markets.
- Support existing communities. Target federal funding toward existing communities - through strategies like transit oriented, mixed-use development, and land recycling - to increase community revitalization and the efficiency of public works investments and safeguard rural landscapes.
- Coordinate and leverage federal policies and investment. Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- Value communities and neighborhoods. Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods - rural, urban, or suburban.

This initiative is consistent with the state's responsible growth principles and policies. The state of Connecticut will work to align its funding and development policies and initiatives, as outlined in Executive Order 15 and Sections 4-124 (s) and (t) of the Connecticut General

Statutes, with those of the Sustainable Communities Initiative. To these ends the Connecticut State Departments of Economic and Community Development (DECD), Transportation (CTDOT) and Environmental Protection (DEP) have begun executive level meetings on integrating responsible growth and sustainable communities' livability principles and policies into their planning and funding processes and to align state programs, development initiatives and funding with those of the federal sustainable communities initiative. Therefore, the state is including the "livability principles" along with its responsible growth principles in its overarching policies for housing and community development.

B. OVERARCHING GOALS

The overall goal of the community planning and development programs covered by this section of the plan is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.
2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.
A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.
3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.
Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development

activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms “low-income”, “low and moderate income”, “low-moderate income” and “low-mod income” include the “very low” and “extremely low “ income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut’s housing and community development needs and the state’s current and historical housing market. Based on the state’s review of all relevant and available data, specific issues were selected and run through an internal screening at the Department of Economic and Community Development/Social Services. Issues chosen to be assigned high priority funding status within this plan were selected based on three overarching factors: (1) the issue’s relative demonstrated need (as identified in the needs assessment), (2) the availability of other funds to address the need and (3) the eligibility criteria of each of the four federal programs governed by this plan.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the four state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the

proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME and HOPWA programs.

Geographic Targeting

The state will target its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SCCDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources will be focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Voucher/Certificate, Section 8 Moderate Rehabilitation, Community Services Block Grant (CSBG), Federal Historic Tax Credits, and Federal Historic Preservation Grants are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Shelter Grant (ESG) - Emergency Shelter Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction. Five jurisdictions (Bridgeport, Hartford, New Britain, New Haven and Waterbury) receive their own allocations of ESG funds directly from the federal government, thus are not eligible for the state allocation. Because of the nature of homelessness, the ESG program is exempt from Priority Funding Area requirements.
- Rural Development (aka Farmers Home) Programs (All) - The U.S. Department of Agriculture's Rural Development Housing Programs were established to provide quality affordable housing to the nation's rural and farm communities. All Rural Development programs (502, 515, 523, etc.) are restricted for use in "rural areas" which include open country and places with populations of 50,000 or less.
- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford,

West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.

- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Areas (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DSS receives a small amount of “Balance of State Dollars” and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

C. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

AFFORDABLE HOUSING STRATEGIES

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”¹. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DECD, CHFA and the Department of Social Services (DSS) will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies. Using education and outreach, regional solutions and cooperation and neighborhood stabilization and revitalization.

The Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments. Most urban areas are natural mixed-use developments whereas suburban areas need to move away from the traditional single-use developments.

¹ *People, Place and Opportunity: Mapping Communities of Opportunity In Connecticut*, Kirwan Institute, Ohio State University & the Connecticut Fair Housing Center, November 2009.

Increasing the supply of quality affordable housing can be accomplished in multiple ways including new construction and rehabilitation of existing units. Adaptive re-use of historic structures provides multiple benefits to communities. Redevelopment lowers the ratio of poor quality or unused structures. Additionally, re-use lessens sprawl in rapidly developing areas by preserving open space/undeveloped land. Adaptive re-use is very likely to engender community support by preserving structures that have long been part of the community.

Summary of five year Affordable Housing Objectives

Fair Housing and Housing Choice

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- Within budget appropriations, the DECD will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's Analysis of Impediments (AI) for state level action. Utilization of the Fair Housing Center has enabled the state to better address the objectives of the AI by increasing the access of people in the protected classes to the existing supply of affordable housing, expanding fair housing outreach and education activities, providing increased training of state employees, service providers, housing developers or other funding recipients in the area of fair housing/civil rights and increasing monitoring and enforcement of fair housing laws and policies within the State of Connecticut.
- DECD will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update the Analysis of Impediments to Fair Housing Choice including the Action Steps for state and local governments.

Quality Affordable Housing

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- With Regard to New Affordable Rental Housing:
 - DECD will work to create 750 new quality affordable rental housing units.
- With Regard to New Homeownership Opportunities:
 - DECD will work to create 300 new affordable homeownership opportunities.
 - CHFA will work to assist 13,500 to 15,000² first time homebuyers.
- With Regard to Preserving Existing Affordable Rental Units:
 - DECD will work to preserve 1,000 existing affordable rental housing units.
- With Regard to Maintaining Homeownership:
 - DECD will work to maintain homeownership for 400 households.
- With Regard to CHFA multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing:
 - CHFA will work to fund the development and/or preservation of 3,200³ units.

² Conditioned upon the availability of funds and market conditions.

³ Conditioned upon the availability of funds and market conditions.

The above referenced figures are based on historic program performance, current unit production costs and anticipated financial resources.

OBJECTIVES, OUTPUTS, OUTCOMES and INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned five year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- DECD will complete the update of the Analysis of Impediments (AI) by end of year two of this plan.
- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice and economic opportunities.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 8,500 DSS Section 8 and State Rental Assistance and Transitional Rental Assistance Program participants with moves to areas of de-concentrated poverty annually.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice and economic opportunities.
- Support up to four infrastructure projects per year to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas annually.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of nine existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.
- Support fair housing education/outreach activities/actions to address illegal discrimination, to include DSS continuing to fund mobility counseling/tenant education programs to encourage/assist/educate DSS Section 8 and State Rental Assistance Program participants with 100 moves to areas of de-concentrated poverty annually.

Outcome:

- Improved availability/accessibility by supporting fair housing education, outreach activities, programs and actions to address illegal discrimination and expand housing opportunities.

Indicator(s):

- Complete update of the AI by end of year two of this plan.
- Number of fair housing educational and outreach opportunities achieved.
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Support at least one inter-municipal or regional housing project.
- Create incentives for municipalities to collaborate on projects.
- Number of infrastructure projects conducted per year.
- Number of DSS Section 8 and State Rental Assistance Program participants educated through this program that move to areas of de-concentrated poverty.
- Number of DSS Section 8 and State Rental Assistance Program participant moves that represent a census tract improvement of at least ten points; from a higher concentrated area to an area of lower concentrated poverty.

Objective 2:

Enhance suitable living environments and create decent affordable housing.

Output:

- Produce up to 750 newly constructed rental units that serve low- and moderate-income households using federal HOME and/or state housing programs.
- Rehabilitate up to 1,000 rental units that serve low- and moderate-income households using federal SC/CDBG/HOME and/or state housing programs.
- Produce up to two newly constructed homeowner units that serve low-and-moderate income households using federal HOME and/or state housing programs.
- Rehabilitate up to 400 homeowner units that serve low-and-moderate income households using federal SC/CDBG/HOME and/or state housing programs.
- Improve affordability by promoting and supporting mixed-income development projects in areas that currently under-serve low- and moderate-income households.
- Support the moderate rehabilitation of existing single-family homes (a single family home is defined as a one to four unit owner occupied residential structure).
 - SC/CDBG Program – Support up to four single-family moderate rehabilitation projects each year in SC/CDBG program eligible communities.
 - State Housing programs - Support up to four single-family moderate rehabilitation projects each year in suburban communities.
- Creation of multifamily housing
 - HOME Program- Produce up to 75 to 125 units of new multifamily housing in areas of need each year.
 - State Housing programs - Produce up to 75 to 100 units of new multifamily housing in areas of need each year.
- The CHFA multifamily housing development mortgage program will work to fund the development and/or preservation of units of multifamily housing.
- Through the adaptive re-use of historic structures, create and/or preserve residential units using federal SC/CDBG/HOME and/or state housing programs.
- Identify properties most at risk of being lost to the affordable market.
- Support energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons by funding housing projects each year that improve energy efficiency using federal and/or state housing and/or weatherization programs.

Outcome:

- Expansion of rental and homeowner housing and Sustainable Community activities completed that serves low-and-moderate income households.

Indicators:

- Number of newly constructed units.
- Number of rehabilitated units.
- Number of rental units.
- Number of homeowner units.
- Number of single-family moderate rehabilitation projects completed each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of need.
- Number of residential units created by re-use of historic structures.
- State, Federal and private resources leveraged.
- Number of energy efficiency projects completed each year.
- Number of at risk properties identified.
- Number of mixed income developments.

Objective 3:

To enhance suitable living environments through financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies to enhance suitable living environments.
- Provide economic opportunities in the form of mortgage assistance to enhance suitable living environments.
- Improve affordability by continuing to use CHFA's multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing units consistent with the needs and priorities established in the Consolidated Plan annually.
- Maintain mortgage lending and equity funding programs to fund up to approximately 800⁴ units annually based on recent program experience, with an estimated 400-500⁵ units funded through the Low Income Housing Tax Credit Program and the balance through the issuance of tax-exempt bonds or other bonds for development and expiring use preservation, based on the availability of these resources, financial market conditions, demand for financing and the availability of other necessary capital and operating subsidy required to attain feasibility. Use these debt and equity funding programs to leverage state, federal and private resources to the extent possible.
- Implement a Location Efficient Mortgage (LEM) Program to be administered by CHFA. The LEM Program provides state-backed relief in mortgage premiums based on proximity to urban areas. The LEM Program combines a low down payment, competitive interest rates and flexible criteria to encourage families to attain homeownership in proximity to transit annually.
- Continue CHFA's homeownership mortgage programs to expand homeownership generally, with an emphasis on targeted areas with lower rates of homeownership; and continue statewide special programs and initiatives to maintain homeownership.

⁴ Conditioned upon the availability of funds and market conditions.

⁵ Conditioned upon the availability of funds and market conditions.

- Maintain CHFA efforts to expand homeownership through assisting approximately 2,700 to 3,000⁶ first time homebuyers each year during the five-year period based on recent program history and the availability of mortgage capital for this purpose.
- Maintain lending in the state's federally targeted urban areas to a minimum of 30% of all mortgages purchased by CHFA each year.
- Build program and investment partnerships with local stakeholders that maximize the use of CHFA's current program and leverage local, state and federal resources.
- Continue efforts to help distressed homeowners maintain ownership.
- Create a homestead exemption whereby purchasers of homes within designated urban areas may receive state income tax reductions. The exemption will apply to first-time homebuyers and be considered for home purchases in targeted urban areas with the goal of increasing homeownership and neighborhood stability. To support this effort CHFA mortgage programs will be used, when possible, to encourage moderate and higher income households to move into urban neighborhoods in need of revitalization annually.
- Grant priority consideration to creating flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state. Produce affordable homeownership units through increased funding flexibility and reduce regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME programs, treating each pool of funding as a source of flexible capital. This allows developers to seek 'subsidized' capital from a pool of funds and put all parts of the capital structure of a housing project together while mitigating uncertainty and delays.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and homeowner housing that serves low-and-moderate income households.

Outcome:

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Strategies for mitigating the potential loss of units.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Number of new families that attained homeownership in proximity to transit by implementing the LEM Program.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.

⁶ Conditioned upon the availability of funds and market conditions.

- Number of housing units funded using CHFA’s Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME, State, Section 8	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

GOAL

Provide decent housing and enhance suitable living environments for residents of public housing.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Address the housing needs of residents of public housing through preservation of existing housing units and additional rent subsidies.

Output:

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a

new extended use period using financing from the Affordable Housing Program/Housing Trust Fund/Housing Sustainability Fund.

- Invest in the maintenance/rehabilitation/modernization of 200 existing publicly-assisted rental housing units annually to preserve them as a long-term resource using federal funding such as the SC/CDBG or HOME Program.
- Continue to offer loans, within available CHFA resources, to local housing authorities to fund capital needs. Continue to offer capital funding for the redevelopment of portfolio properties annually through the CHFA mortgage and tax credit programs. Seek and develop opportunities to leverage additional funding from federal and private sources for these purposes.
- Preserve 2,850 state financed elderly rental units through the Weatherization Assistance Program (WAP) over three years (programs duration).
- Encourage local public housing authorities and DSS to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Vouchers by 50 new vouchers annually.

Outcome:

- New/improved availability/accessibility and affordability in public housing.

Indicators:

- Number of at risk properties identified each year.
- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of existing state-assisted rental units preserved through weatherization. each year.
- Number of new Section 8 vouchers each year.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State CDBG HOME CHFA Section 8 WAP	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

STRATEGIES

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut.

The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

OBJECTIVES,OUTPUTS,OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:

- Utilize the Beyond Shelter Program and Counselors in Shelters Program, administered by the DSS, to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Establish and convene the Statewide Homelessness Prevention and Rapid Re-housing Operations Advisory Committee. The Committee is comprised of DSS, six regional and five municipal HPRP programs and municipal representatives.
- Participate in Connecticut Coalition to End Homelessness' Homelessness Prevention Taskforce and use the information gained to create a sustainable housing based system that will prevent/quickly end homelessness among families and individuals in crisis in the future.
- Review operational aspects of implementing the HPRP Program to identify "what's working" and "what's not working" to increase efficiency and eliminate duplication.
- Increase number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services (including but not limited to outreach and transitional services such as supported living, case management, and substance abuse treatment).

Outcome:

- New and improved availability and accessibility through HPRP.

Indicator(s):

- Number of homelessness reoccurrences among DSS assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Alternative means to addressing homelessness achieved.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased and increase number of client cases closed, settled or resolved by 50 per year, over five years in order to expand services.

Objective 2:

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:

- Maintain the state-funded Eviction Prevention P program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 150 new supportive housing units over the next five years.

Outcome:

- New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):

- Funding level and dollars committed to the Eviction Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing as a result of the program.
- Number of supportive housing units created.
- Number of rental subsidies.

Objective 3:

Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

Output:

- Coordinate funding opportunities to assist in achieving the strategies outlined in the Ten Year Plans to End Homelessness.

Outcome:

- New and improved availability and accessibility of housing to prevent and reduce homelessness through long range planning.

Indicator(s):

- Number of funding opportunities that addressed specific strategies outlined in the Ten Year Plans to End Homelessness.

Objective 4:

Maintain the state's network of "Homeless Shelters."

Output:

- Continue to fund "Homeless Shelters" across the state.

Indicator(s):

- Funding leveraged (beyond ESG).
- Number of shelters DSS funds (ESG).
- Number of beds & type (men, women, children)-ESG.
- Number of clients (ESG).
- Number of services/type(ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HPRP, State, HOME	Low-Mod Income	State-Wide
Objective 2	HPRP, State, HOME, TANF	Low-Mod Income	State-Wide
Objective 3	HPRP, State, HOME,	Low-Mod Income	State-Wide
Objective 4	ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL

Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs - General

Objective 1:

Coordinate the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to increase the availability of supportive housing by using state and federal resources effectively.

Output:

- Interagency Council and/or Interagency Committee meets regularly to insure coordination of efforts and identifies resources and prioritizes production and preservation of permanent supportive housing.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Identification of resources and plan for production and preservation.

Objective 2:

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:

- Utilize the state's current Long Term Care Plan as a blueprint for coordination of services.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Was the state's Long Term Care Plan used as a blueprint for coordination of services.

Objective 3:

Link permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances. These actions will ensure long-term housing stability and independence.

Output:

- The number of clients who are provided appropriate services increases over five years.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that people with disabilities can live independently within their community of choice.

Output:

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:

- New/Improved Affordability.

- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing.

Indicator(s):

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.

Objective 2:

Increase the number of elderly and frail elderly clients served by DSS.

Output:

- Increase client caseload by ten per year.

Outcome:

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):

- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOME	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue the successful keeping of families and those individuals with disabilities together, through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Output:

- Number of linkages among federal agencies, state agencies and consumers is maintain and/or increased.

Outcome:

- Families and those individuals with disabilities kept together and receive appropriate counseling and other supportive services which ultimately increases their quality of life.

Indicator(s):

- Number of families and those individuals with disabilities kept together through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Objective 2:

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individual with disabilities.

Output:

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:

Continue to provide for accessibility modifications.

Output:

- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live with in the community of their choice.

Indicator(s):

- Number of accessibility modifications funded.

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:

- \$250,000 in bond funds are provided to do accessibility modifications for persons leaving nursing facilities.
- Section 8 Housing Choice Voucher preference for up to 50 eligible persons in support of the Nursing Home Transition Initiative is established.

Outcome:

- Independent living is restored to all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of projects completed.
- Number of persons enabled to return to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided Section 8 Housing Choice Vouchers.

Objective 5:

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that the elderly can live independently within their community of choice.

Output:

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:

- New/Improved Affordability.
- Independent living will be maintained for all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.
- Number of new supportive housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/Sec. 8		State-Wide
Objective 5	State/HOME		State-Wide

Persons with HIV/AIDS and their families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:

- Existing HIV/AIDS programs are maintained and expanded.

Outcome:

- New/Improved Availability/Accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DSS funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:

- Number of people accessing supportive housing services is increased over five years by 50.

Outcome:

- New/Improved Availability/Accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:

- New/Improved Availability/Accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):

- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ Communities	HOPWA	Eligible
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ Communities	HOPWA	Eligible

Persons with Alcohol or Other Drug Addiction

Objective 1:

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:

- The number of clients who are provided appropriate services increases over five years.

Outcome:

- More persons with substance abuse issues receive appropriate care leading to a better quality of life for assistance recipients and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:

Increase the availability of permanent supportive housing, as a housing option for, to assist individuals leaving the correction system to facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Outcome:

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Provide economic opportunities including job creation and retention through the establishment, stabilization and expansion of small businesses (including micro-enterprises) and the provision of public services concerned with employment.

Output:

- Support at least one Economic Development Project per year under the CDBG Program with the creation of up to 15 jobs per year (8 of which will be for low-and-moderate-income persons).

Short-Term Outcome:

- New/Improved availability/accessibility.
- Support and funding of economic development projects and micro-enterprise, activities/projects will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of economic development projects funded under the SC/CDBG Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

Objective 2:

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for economic and/or community development project.

Output:

- Support at least one inter-municipal or regional project per year under the SC/.DBG Program including Comprehensive Economic Development Strategy (CEDS) project.
- Create incentives for municipalities to collaborate on projects.

Short-Term Outcome:

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.
- Economies of scale will be reached leading to lower governmental cost.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of inter-municipal/regional projects funded under the SC/CDBG Program annually.
- Number of jobs created in the region benefiting low-and-moderate-income persons annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.
- Number of housing units annually.

Objective 3:

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:

- Support up to four infrastructure projects per year under the SC/CDBG Program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG Program per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to nine public facilities projects per year under the CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 5:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 6:

Allow municipalities that have state-approved responsible growth/Transit Oriented Development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development and support the use of tax incremental financing. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.

Output:

- Five Special Services Districts established over five years.

Short-Term Outcome:

- Local governments will have greater resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):

- Number of municipalities with state-approved responsible growth/Transit Oriented Development (TOD) projects allowed to develop Special Services Districts and levy additional taxes and/or fees to fund development over five years.
- Number of TOD projects with new Special Services Districts over five years.

Objective 7:

Allocate \$100 million of Urban Reinvestment Tax Credits for TOD/Responsible Growth projects and implement the Recovery Zone Economic Development Bonding Program as a financing vehicle for approximately two Responsible Growth projects over a five-year period.

Output:

- Allocated \$100 million of Urban Reinvestment Tax Credits as an incentive for private investment and to stimulate the development and implementation of two Responsible Growth/TOD projects over a five-year period.

Short-Term Outcome:

- Private investment will be leveraged to increase the resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):

- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits over a five-year period.
- Amount of private funding for TOD/Responsible Growth projects leveraged by the allocated tax credits.
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the implementation of the federal Recovery Economic Development Zone Bond Program over a five-year period.

Objective 8:

Provide \$100 million⁷ of federal/state/local community development resources for ten brownfield redevelopment projects as recommended by the Brownfields Task Force over a five-year period.

Output:

- Up to ten brownfield sites/projects are remediated returning unproductive properties to productive use and improving the health and safety of Connecticut's citizens over a five-year period.

⁷ Note: This amount would be utilized for all types of brownfield and mill redevelopment, not just housing projects.

Short-Term Outcome:

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate- income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

- Dollar amount provided for brownfield redevelopment projects as recommended by the Brownfields Task Force over five years.
- Number of brownfield projects undertaken as a result of the \$100 million provided for brownfield redevelopment over five years.
- Number of brownfields/acres and/or buildings brought back to productive use over five years.

Objective 9:

Section 108 Program: DECD will be applying for a \$20M line of credit for SC/CDBG Section 108 loans to fund economic development projects. DECD estimates that it will support five economic development projects in five years.

Output:

- DECD estimates that it will support five economic development projects in five years by applying for a \$20,000,000 line of credit for SC/DBG Section 108 loans (using the provisions of the Section 222 interim rule) on behalf of the non-entitlement communities of the state.

Short-Term Outcome:

- New/Improved availability/accessibility to funds.
- Support and funding of economic development projects and micro-enterprise, activities/projects that will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.

- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of economic development projects funded under the Section 108 Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 2	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 3	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 5	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 6	State	Low-Mod Income	State -Wide
Objective 7	State	Low-Mod Income	State -Wide
Objective 8	State	Low-Mod Income	State -Wide
Objective 9	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will

endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Allow municipalities that have state approved responsible development/transit-oriented development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.
- Allocate up to \$100 million of Urban Reinvestment Tax Credits for state approved responsible development/TOD projects, particularly for brownfield and former mill redevelopment as recommended by the Brownfields Task Force.
- Implement the federal Recovery Zone Economic Development Bond Program as a financing vehicle for responsible development projects.
- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of approximately three Community Revitalization Strategies or Neighborhood Revitalization Zones under the SC/CDBG Program over a five-year period.

Outcomes:

- New and improved sustainable communities.

Indicator(s):

- Number of responsible development/TOD projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits.
- Amount of private funding for responsible development/TOD projects leveraged by the allocated tax credits.
- Number of brownfields project undertaken as a result of the new state funding authorized for brownfield redevelopment.
- Number of acres and/or buildings brought back to productive use.
- Statewide database of brownfield sites is updated.
- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Decreased project development time.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies over a five-year period.
- Number of low-and-moderate-income persons served.

Objective 2:

Enhance suitable living environments and create decent housing in areas of need.

Output:

- Support at least two municipalities in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas each year using the HOME/State Housing programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households. Give preference to one mixed-income infill project creating at least 10-25 units of housing each year in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need. Fund at least two mixed-use and/or transit-oriented development projects with availability of 20 low-and-moderate-income residential units in an urban or suburban area over a five-year period. Support at least two municipalities with rezoning efforts to enable mixed-use developments, and/or transit-oriented developments over a five-year period.
- Foreclosed properties are kept from deteriorating, rehabilitated and sold to low-and-moderate-income households. Utilize Neighborhood Stabilization Program (NSP) and Community Development Block Grant - Recovery (CDBG-R) Program funds to stabilize neighborhoods in areas impacted by foreclosures to serve 325 to 400 households annually.
- Implement a “Learn Here, Live Here” Program to be administered by CHFA. The program would allow Connecticut resident students attending any post-secondary institution to contribute the larger of their state income tax liability or \$3,000 into a First-Time Homebuyer Trust Fund each year for ten years. The money could be withdrawn anytime over those ten years to purchase homes in Connecticut. Any interest income would be deposited annually into the state’s general fund to partially offset the cost of the program.
- Ensure there is a mechanism to fund both HOME Connecticut incentive housing payments and the Housing Trust Fund to increase workforce housing in the state.

Outcomes:

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.
- Number of foreclosed units acquired.
- Number of acquired units rehabbed and sold.
- Number of participants in “Learn Here, Live Here” Program.
- Number of homes purchased utilizing the “Learn Here, Live Here” Program.
- Number of HOME Connecticut incentive housing payments and the Housing Trust Fund funded.

- Number of workforce housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

STRATEGIES

Connecticut has Statutes, Regulations, Technical Standards, Guidance Documents and Policies that relate to health and housing. Through increased coordination of agencies and partners it is possible to implement a healthy homes assessment and intervention program through DECD. The DECD would continue to comprehensively address lead-based paint issues as required under HUD's Lead-Safe Housing Regulation as well as implementing Lead-Safe Work Practices under the new EPA rule: EPA Renovation, Repair and Painting Program (RRP) which became effective April 22, 2010. The Healthy Homes Initiative encompasses several known home-based health hazards and programs, and seeks to coordinate the delivery of services through collaboration with the DECD and other state and community stakeholders. Initial strategies for statewide implementation include training and technical capacity building of housing and health partners, development and implementation of healthy homes materials, assessments (inspectional forms) and evidence-based interventions in DECD-selected pilot communities.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach, and to pilot the developed "healthy homes approach" in at least three communities.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies

and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health.

- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative). A healthy home assessment and intervention will address and seek to control environmental and other health and safety hazards such as, but not limited to, lead-based paint and lead-based paint hazards, damaged asbestos, elevated indoor radon levels; pests, sources of mold and mildew, smoke and carbon monoxide detectors, fire and electrical safety, and other structural components. This will be supported and accomplished in collaboration with state public health and housing programs such as the HOME, and SC/CDBG the DPH Healthy Homes Initiative, or through other state or federal funding sources.
- Build the technical capacity of DECD and local housing agencies by sponsoring ten (10) National Center for Healthy Homes (NCHH) training courses (2 courses held annually, or as needed). The NCHH two-day course is considered a pre-requisite to the National Environmental Health Association’s ‘Healthy Homes Specialist’ credential.
- Support the training and certification of at least 25 designated DECD and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with PDH per year.
- Number of DECD and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DECD and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME CDBG Other/ State	Low-Mod Income	State-Wide CDBG Small Cities

D. Program Awards/Performance Measures

The following tables (Table 2 and 3) provide a summary of program awards and correlating performance measures.

**Table 2: HOME Program Projects Contracted During PY 2010-11
Summary of Performance Measures**

Recipient Name	Project Name and Number	Project Location	Project Description	Proposed HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Metro Realty	Peachtree Village HM0900401	Avon	To construct 103 units of elderly rental housing	\$1,865,000	Loan	2010	NC	R	11	Affordable Housing	2	Decent Housing	Availability/Accessibility
Access Senior Housing of Franklin, Inc.	Elisha Brook HM1005301	Franklin	New construction of 27 units of HUD Section 202 units.	\$700,000	Grant	2010	NC	R	10	Affordable Housing	2	Decent Housing	Availability/Accessibility
The Community Builders, Inc.	Dutch Point - Phase 3 HM1006402	Hartford	New construction of 58 homebuyer units, 44 affordable units.	\$2,066,986	Grant	2009	NC	HO	20	Affordable Housing	2	Decent Housing	Availability/Accessibility
TORRAAL Development, LLC	Northeast Brackett School Ownership HM0506405	Hartford	New Construction of 12 two-family homes and 8 duplexes	\$3,250,041	Grant	2007	NC	HO	20	Affordable Housing	2	Decent Housing	Availability/Accessibility
CHR Capital Inc	Center Street HM1007701	Manchester	New construction of 20, 2-bedroom, affordable, service enhanced housing units	\$2,285,178	Grant	2010	NC	R	14	Other Special Needs- Persons with Disabilities	5	Decent Housing	Availability/Accessibility
North Walke Housing Corporation	Norwalk Homebuyer Assistance Program HM0510302	Norwalk	Downpayment Assistance to 10 homebuyers	\$500,000	Grant	2010	DPA	HO	10	Affordable Housing	3	Economic Opportunity	Affordability
West Hartford HA	Alfred E. Plant Elderly Apartments HM0915501	West Hartford	Rehab 95 units and 42 new construction units of elderly housing.	\$2,155,080	Loan	2010	NC	R	14	Affordable Housing	2	Decent Housing	Availability/Accessibility
Common Ground	Cedarwoods HM0916301	Windham	New construction of 56 one bedroom rental units, LIHTC. DECD requested to fund \$3.430 of \$13.310 million and restrict 22 units.	\$3,430,000	Loan	2010	NC	R	22	Affordable Housing	2	Decent Housing	Availability/Accessibility
New London Comm partnership	Briarcliff HM0909502	New London	The rehabilitation of 106 units (21 buildings) of family rental housing owned by the New London HA	\$4,633,049	Loan	2010	Rehab	R	24	Public Housing	1	Suitable Living Environment	Sustainability

Total:

\$20,885,334

145

Source: DECD, OHCD, OSP
Key: Refer to "Key" Appendix

Table 3: SC/CDBG Program Projects Contracted During PY 2010-11

Summary of Performance Measures

Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Housing	# People	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Ansonia	SC1000201	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Ashford	SC1000301	Ashford Food Program	\$50,000	2010	PS					55	Homeless Prevention and Supportive Housing	2	Economic Opportunity	Sustainability
Bethlehem	SC1001001	Rehab - North Purchase Ph. 2	\$461,000	2010	PH/MOD	24	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Canterbury	SC1002201	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Clinton	SC1002701	Residential Rehab - Town-wide	\$300,000	2010	HR	9	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Coventry	SC1003201	HA Rehab - Orchard Hill Estates	\$500,000	2010	PH/MOD	80	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
East Haven	SC1004401	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
East Windsor	SC1004701	Infrastructure - Drainage -	\$500,000	2010	PF					351	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Ellington	SC1004801	Residential Rehab - Regional	\$500,000	2010	HR	16	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Essex	SC1005001	Hous Auth Rehab - Essex Court	\$500,000	2010	PH/MOD	36	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Farmington	SC1005201	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Franklin	SC1005301	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Guilford	SC1006001	HA- Boston Terr. CB Expan.	\$375,000	2010	PH/MOD		R	RHB	PH	30	Public Housing	1	Suitable Living Environment	Sustainability
Hampton	SC1006301	Residential Rehab - Regional	\$400,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Jewett City	SC1005801	HA Rehab - Ashland Manor Ph. 2	\$575,000	2010	PH/MOD	10	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability

Killingly	SC1006901	HA Rehab/Expnsion - Maple Court	\$610,000	2010	PH/MOD	15	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Lebanon	SC1007101	Residential Rehab - Town-wide	\$300,000	2010	HR	16	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Morris	SC1008701	HA Rehab - Eldridge Senior	\$555,000	2010	PH/MOD	20	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Naugatuck	SC1008801	Infra - Drainage - Nettleton Ave	\$500,000	2010	PF					1919	Non Housing Community Development	3	Suitable Living Environment	Sustainability
New Fairfield	SC1009101	Residential Rehab - 10 units	\$300,000	2010	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Newtown	SC1009701	Rehab - Nunnawauk Meadows	\$546,000	2010	PH/MOD	120	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Plainville	SC1011001	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Plymouth	SC1011101	Residential Rehab - Town-wide	\$300,000	2010	HR	16	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Sprague	SC1013301	Street Improv - Upper High St.	\$500,000	2010	PF					1,498	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Stafford	SC1013401	Street Improve. - High St.	\$500,000	2010	PF					1550	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Tolland	SC1014201	ADA Improve - Elevator	\$480,000	2010	PF/ADA					1,043	Non Housing Community Development	4	Suitable Living Environment	Availability/Accessibility
Torrington	SC1014301	Residential Rehab - Town-wide	\$300,000	2010	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Vernon	SC1014601	Street Improve. - Prospect St	\$250,000	2010	PF HR	3	HO			6987	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Watertown	SC1015301	Residential Rehab - Town-wide	\$300,000	2010				RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Wethersfield	SC1015901	Hous Auth Rehab - Harvey Fuller	\$600,000	2010	PH/MOD	32	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability

Windham	SC1016301	Residential Rehab - Town-wide	\$300,000	2010	HR	13	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Windsor	SC1016401	HA Rehab - Fitch Court	\$350,000	2010	PH/MOD	40	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Windsor Locks	SC1006501	Str/Improve/ Pleasant & Olive St	\$500,000	2010	PF					1443	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Wolcott	SC1016601	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Woodstock	SC1016901	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Total			\$13,752,000			577				13,433				

Source:

DECD, OHCD/SP

Key: Refer to the "Key" Appendix

E. Summary of Program Awards by Geographic Distribution

The following table (Table 4) provides a summary of program awards by geographic distribution.

Table 4: PY 2010-11 HOME & CDBG Program Funding Committed			
County	HOME \$	CDBG \$	Total \$
Fairfield	\$ 500,000	\$ 846,000	\$ 1,346,000
Hartford	\$ 11,622,285	\$ 2,550,000	\$ 14,172,285
Litchfield		\$ 1,916,000	\$ 1,916,000
Middlesex		\$ 800,000	\$ 800,000
New Haven		\$ 1,775,000	\$ 1,775,000
New London	\$ 5,333,049	\$ 1,675,000	\$ 7,008,049
Tolland		\$ 2,230,000	\$ 2,230,000
Windham	\$ 3,430,000	\$ 1,960,000	\$ 5,390,000
Statewide			
Total	\$ 20,885,334	\$ 13,752,000	\$ 34,637,334

Source: DECD, OSP

F. Summary of Program Awards / Performance Measures.

The following table (Table 5) provides a summary of program awards and correlating performance measures.

Table 5: PY 2010–11 HOME & CDBG Funding Committed by DECD Category, DECD Objective, HUD Objective, HUD Outcome and Activity						
Program	DECD Category	DECD Objective	HUD Objective	HUD Outcome	Activity	Funding
HOME	Affordable Housing	3	Economic Opportunity	Affordability	DPA/ HO	\$500,000
	Affordable Housing	2	Decent Housing	Availability/ Accessibility	NC/HO	
HOME						\$5,317,027
HOME	Affordable Housing	2	Decent Housing	Availability/ Accessibility	NC/R	\$8,150,080
HOME	Other Special Needs- Persons with Disabilities	5	Decent Housing	Availability/ Accessibility	NC/R	\$2,285,178
HOME	Public Housing	1	Suitable Living Environment	Sustainability	Rehab/R	\$4,633,049
	Non Housing Community Development	3	Suitable Living Environment	Sustainability	PF	\$2,750,000
CDBG						
CDBG	Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility	PF/ADA	\$480,000
CDBG	Public Housing	1	Suitable Living Environment	Sustainability	PH/MOD	\$5,072,000
CDBG	Homeless Prevention and Supportive Housing	2	Economic Opportunity	Sustainability	PS	\$50,000
CDBG	Affordable Housing	2	Suitable Living Environment	Sustainability	Rehab/HO	\$5,400,000
						\$34,637,334

Source: DECD, OSP
Key: Refer to the "Key" Appendix

G. Beneficiary Data

The following tables (Table 9, Table 10, Table 11, Table 12, Table 13, Table 14 and Table 15) provide beneficiary data by program.

Table 9: HOME Program Projects Closed Out during Program Year: 7/1/2010 to 6/30/2011 Summary of Performance Measures															
Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DECD HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS	DECD Category	DECD Objective	HUD Objective	HUD Outcome
AHEPA - 1888	AHEPA 58-II Apartments HM0515901	Wethersfield	Construction of 42 units of elderly housing	\$7,519,907	\$2,142,507	Grant	2004	NC	R	42	9/10/10	AH	2	Decent Housing	Availability/Accessibility
Metro Realty LLC- 2163	Hillcrest HM0813201	South Windsor	New construction of 82 unit elderly complex	\$ 15,417,202	\$ 1,735,000	Loan	2007	NC	R	11	3/14/11	AH	2	Decent Housing	Availability/Accessibility
Hamden HA - 2244	Centerville Village HM0706201	Hamden	Assist HHA in multiphase renovation of 62	\$877,600	\$ 395,000	Grant	2008	Rehab	R	62	3/15/11	AH	1	Suitable Living Environment	Sustainability

Source: DECD, OHCD, OSP
Key: Refer to the "Key" Appendix

Table 10: HOME Projects Closed out during 2010-11 Program Year Summary of Race/Ethnicity of Households Assisted		
Race	Households	
	Total	Hispanic
White	27	5
Asian		
Asian and White		
Black/African American		
Black/African American and White		
American Indian/Alaskan Native		
American Indian/Alaskan Native/White		
American Indian/Alaskan Native and Black/African American		
American Native Hawaiian/Other Pacific Islander		
Other Multi-Racial		
Total	41	5

Source: DECD, OHCD
Key: Refer to "Key" Appendix

Table 11.: HOME Program Projects Closed Out During 2010-11 Program Year Summary of Income Level of Beneficiaries				
	Very Low-Income 0-30% AMI	Low-Income 31-50% AMI	Moderate-Income 51-80% AMI	Total
Renter	3	0	8	11
Homeowner/Homebuyer	0	3	27	30
Total	3	3	35	41

Source: DECD, OHCD

Key: Refer to "Key" Appendix

Table 12: HOME Program Projects Closed Out During 2010-11 Program Year Summary of Energy Star Units					
Project Name	Project Number	Activity	Unit Type	HOME Units	Total E.S. Units
AHEPA	HM0515901	NC	Rental	42	42
Hillcrest	HM0803201	NC	Rental	11	11

Source: DECD, OHCD

Key: Refer to "Key" Appendix

Table 13: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2010 to 6/30/2011 Summary of Performance Measures

Recipient/Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/HO	NC/Rehab	# People	JOBS	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Bethel	SC0600901	Firehouse ADA	\$500,000	2005					1,775		Non Housing Community Development	4	Suitable Living Environment	Availability/Accessibility
Canterbury	SC0802201	Townwide housing rehab program	\$300,000	2008	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Deep River	SC0703601	Town hall ADA									Non Housing Community Development	4	Suitable Living Environment	Availability/Accessibility
Derby	SC0603701A	Senior Center Rehab	\$400,000	2006	PF				2,059		Non Housing Community Development	4	Suitable Living Environment	Sustainability
East Granby	SC0604001A	Sewer line - Metacomet Elderly project	\$645,000	2006	PH/MOD	72	R	RHB			Non Housing Community Development	3	Suitable Living Environment	Sustainability
East Haven	SC0804401	Townwide housing rehab program	\$300,000	2008	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
East Haven	SC0704401	Townwide housing rehab program	\$300,000	2007	HR	18	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
East Windsor	SC0904701	Prospect Hill Drainage & Sidewalks	\$500,000	2009	PF				351		Non Housing Community Development	3	Suitable Living Environment	Sustainability
East Windsor	SC0904701	Prospect Hill Street Improvements	\$500,000	2009	PF				351		Non Housing Community Development	3	Suitable Living Environment	Sustainability
Easton	SC0604601	Senior Center	\$750,000	2005					970		Non Housing Community Development	4	Suitable Living Environment	Sustainability
Ellington	SC0704801	Regional Housing rehab program	\$300,000	2007	HR	11	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Ellington	SC0804801	Regional Housing rehab program	\$300,000	2008	HR	11	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Guilford	SC0706001	Boston Terrace - Housing Auth Rehab	\$700,000	2006	PH/MOD	40	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
Jewett City	SC0905801	Senior Housing Rehab	\$700,000	2009	PH/MOD	20	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
Lebanon	SC0807101	Townwide housing rehab program	\$300,000	2008	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability

New Hartford	SC0709201	Townwide housing rehab program	\$300,000	2007	HR	9	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Newtown	SC0609701A	Senior Housing - Nummawauk Meadows	\$600,000	2006	PH/MOD	134	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
North Haven	SC0810101	Senior Center Rehab	\$750,000	2008	PF					4,967	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Old Saybrook	SC0510601	ADA in community center	\$310,000	2004	PF					1,144	Non Housing Community Development	4	Suitable Living Environment	Availability/Accessibility
Simsbury	SC0612801A	Elderly Housing Rehab	\$775,000	2006	PH/MOD	110	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
Somers	SC0612901A	Waterline, street impr- Woodcrest Elderly	\$700,000	2006	PF					96	Non Housing Community Development		Suitable Living Environment	Sustainability
Stafford	SC0813401	Townwide housing rehab program	\$300,000	2008	HR	8	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Stafford	SC0713401	Prospect St Reconstruction	\$500,000	2007	PF					1,550	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Suffield	SC0813901	Senior center Acquisition & Rehab	\$750,000	2008	PF					2,207	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Torrington	SC0714301	Senior Housing Rehab- Willow Gardens	\$700,000	2007	PH/MOD	39	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
Torrington	SC0814301	ADA to town hall	\$454,000	2008	PF					6,637	Non Housing Community Development	4	Suitable Living Environment	Availability/Accessibility
Vernon	SC0814601	Prospect St phase 1 reconstruction	\$500,000	2008	PF					6,987	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Vernon	SC0714601	High Street reconstruction	\$500,000	2007	PF					1,461	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Wallingford	SC0714801	East Side Terrace Elderly Housing	\$700,000	2007	PH/MOD	30	R	RHB			Public Housing	1	Suitable Living Environment	Sustainability
Watertown	SC0815301	Townwide housing rehab program	\$300,000	2008	HR	11	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Winchester	SC0816201	Sidewalks	\$500,000	2008	PF					2,334	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Windsor	SC0716401	Townwide housing rehab program	\$300,000	2007	HR	21	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability

Windsor Locks	SC0716501	Town hall ADA - elevator	\$600,000	2007	PF				3,895		Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility
Wolcott	SC0716601	Townwide housing rehab program	\$300,000	2007	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Woodbridge	SC0716701	Townwide housing rehab program	\$300,000	2007	HR	11	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability

* "Closed Out" is defined as the date the project was closed out in IDIS.

Source: DECD, OHCD, OSP

Key: Refer to the "Key" Appendix

Table 14: SC/CDBG Projects Closed Out During 10-11 Program Year Summary of Race/Ethnicity of Beneficiaries		
Race	Households	
	Total	Hispanic
White	10,088	37
Asian	139	
Asian and White	18	
Black/African American	102	
Black/African American and White	30	
American Indian/Alaskan Native	19	
American Indian/Alaskan Native/White	30	
American Indian/Alaskan Native and Black/African American	8	
Native Hawaiian/Other Pacific Islander	10	
Other Multi-Racial	285	
Total	10,729	37

Note: Not inclusive of direct benefit

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

Table 15: SC/CDBG Projects Closed Out During 10-11 Program Year Summary of Income Level of Beneficiaries				
	Very Low-Income 0-30% AMI	Low-Income 31-50% AMI	Moderate-Income 51-80% AMI	Total
Renter	72	81	93	246
Homeowner/Homebuyer	11	32	64	107
Total	83	113	157	353

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake the activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan.
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan and certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans;
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DECD recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DECD has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned Business Enterprises (MBE) was \$ 7,342,016 of which \$ 4,677,560 was provided to firms owned by persons who are Asian/Pacific Islanders, \$1,790,971 was provided to firms owned by persons who are Black Non-Hispanics and \$873,485 was provided to firms owned by persons who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was \$5,019,015. In addition a total of \$10,419,335 was provided to Section 3 firms.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$2,776,197 of which \$1,396,545 was awarded to firms owned by persons who are Black Americans, \$1,358,922 was awarded to firms owned by persons who are Hispanic Americans, \$10,950 was awarded to firms owned by persons who are Asian/Pacific, \$9,050 was awarded to firms owned by persons who are Native Americans, and \$730 was awarded to firms owned by persons who are Portuguese. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$1,051,819. In addition a total of \$523,657 was awarded in contracts for Section 3 firms.

C. Nondiscrimination/Fair Housing

DECD administers the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements.

Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e)
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e)

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS; and
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms.
- 24 CFR 92.351a – Affirmative Marketing

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b).

Each recipient is given a Fair Housing Handbook developed by DECD. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, are required to submit a Fair Housing Action Plan to DECD for review and approval. The plan must be consistent with the DECD's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DECD's affirmative marketing procedures and requirements to affirmatively market units. DECD mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DECD Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the

federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application. HOME funds are not awarded until the applicant's AFHMP and required attachments are approved by DECD.

Assessing the Effectiveness of Affirmative Marketing

In order to better assess the effectiveness of affirmative marketing, DECD has implemented a "Performance Report on Affirmative Fair Housing Marketing Results" which must be submitted to DECD on an annual basis. Recipients must provide the percentage of "least likely to apply" (LLA) residing in the project and currently on the project's waiting list. The target is a minimum of 20% of the total tenants and applicants respectively. The report asks whether the owner's marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The results for the reporting period for the last fiscal year are as follows:

64 HOME projects were canvassed. However, the data is not yet available for the period ending 6/30/2011. Going forward, detailed information as noted above will be compiled and analyzed.

In addition to annual reporting, DECD staff responsible for fair housing/civil rights compliance will attend all HOME program Pre-occupancy Meetings to review with the recipients their obligations to affirmatively market and report the results.

G. Continuing Efforts to Affirmatively Further Fair Housing

The DECD continues to provide the most recent statewide Analysis of Impediments (AI) to Fair Housing Choice on our website at www.decd.org. What follows is a review of progress made on the previous year's goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- This continues to be accomplished by DECD through the HOME ADDI program. Through the State Housing Trust Fund, DECD encourages local non-profit lenders to provide funding to non-profits who develop housing for this purpose.
- DECD provided the Corporation for Independent Living with an additional \$500,000 for a total of \$1,000,000 to date from the Housing Trust Fund program to continue funding accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice - "Money Follows the Person" Medicaid Rebalancing Program.
- DECD remains on the Board of Directors for the "Money Follows the Person" Medicaid Rebalancing Program and is active on the Housing Committee among others.
- Through funding from DECD, the CT Fair Housing Center has entered into an agreement with a translator who has begun translating its "Representing Yourself in Foreclosure" manual in Spanish.

- DECD continues to hold positions on the Boards of Directors for Money Follows the Person Medicaid Rebalancing program, Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing; CCEH Homeless Prevention and Rapid Re-housing Task Force.

Objective 2 – Increasing supply of affordable housing.

- For period of FY 2010-2011 DECD awarded \$10,000,000 to various housing projects under the State Housing Trust Fund program.
- 115 units were completed
- 145 units were under contract /construction

Objective 3 – Begin systematic data collection on fair housing issues.

- DECD continues to conduct outreach to landlords and organizations in order to continue to build up the CTHousingSearch.org database.
- DECD has begun the process of updating its Analysis of Impediments to Fair Housing which is slated to be completed in December 2012. Data collection has begun in the following areas:
 - Collect data from state agencies regarding housing for people with disabilities;
 - Collect data from DSS regarding location rental assistance voucher holders by race;
 - Collect data from DSS regarding location of security deposit guarantee usage;
 - Collect data from DSS regarding use of security deposit guarantees by race;
 - Collect data from DECD and/or CHFA on location of affordable rental housing by income ranges;
 - Collect data from DECD regarding location of CDBG projects, by type (housing— family or elderly v. infrastructure v. other);
 - Collect data from DECD and/or CHFA on location of affordable rental housing for families with children by income ranges;
 - Collect data from CHFA regarding low-income housing tax credit (LIHTC) developments by family and elderly classification;
 - Collect data from CHFA regarding LIHTC developments by type, e.g. rehab or new construction;
 - Collect data from CHFA regarding race of LIHTC developments by location;
 - Collect data from DECD and/or CHFA regarding location of public and subsidized housing projects;
 - Collect data from DECD and/or CHFA regarding public and subsidized housing projects by type (family v. elderly);
 - Collect data from DECD and/or CHFA regarding race of occupants of public and subsidized housing by location;
 - Collect data from DECD and/or the Department of Insurance regarding homeowners insurance coverage by type, rate, and location;
 - Collect data from DECD and/or Department of Environmental Protection regarding the existence of lead paint;
 - Collect data from DECD and/or the Department of Transportation regarding transportation routes and job growth areas.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$650,000 for the biennium (09-11) to the CT Fair Housing Center (FHC) to continue its work.
 - In consultation with the FHC, DECD staff revised the Fair Housing/Civil Rights section of the SC/CDBG application with a particular emphasis on Affirmatively Furthering Fair Housing. The fair housing action steps part was changed to obtain actual documentation to validate which local action steps were completed in the last three years. This part was given a greater amount of points in the ratings than all of the other parts of the section.
 - In consultation with the FHC, DECD revised its Affirmative Fair Housing Marketing Plan and Instructions to incorporate HUD's newest changes in their Affirmative Fair Housing Marketing Plan –HUD-935.2A (5/2010)
- FHC provided training to DECD staff
- 1 training for housing development project managers on the Affirmative Fair Housing Marketing Plan, Tenant Selection Plan, and lease requirements;
- 1 training on Section 3 compliance to DECD fair housing/civil rights staff

Objective 5 – Fair Housing outreach and education activities.

- The FHC, with financial assistance from DECD, carried out the following:
 - Performed intake and gave fair housing advice to 165 Connecticut households;
 - Investigated 165 complaints of discrimination;
 - Requested reasonable accommodations and reasonable modifications for 9 Connecticut residents with disabilities;
 - Obtained reasonable accommodations and reasonable modifications for 9 Connecticut households without litigation or court action;
 - Performed 57 tests designed to investigate any claims of housing discrimination;
 - Provided 919 hours of legal assistance to the victims of housing discrimination;
 - Provided 785 hours of assistance to households who are delinquent on their mortgages or in foreclosure; and
 - Opened up 250 units of housing to Connecticut residents in the protected classes.
 - Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 281 Connecticut residents;
 - Taught 31 classes to provide information on the legal foreclosure process to 641 people facing foreclosure;
 - Educated 6 service providers and advocates assisting the elderly and disabled to ensure that housing discrimination does not prevent people with disabilities from obtaining the housing they need;
 - Conducted 7 outreach sessions to service providers and other organizations assisting people in the protected classes looking for housing to educate them and their clients on the fair housing laws

- DECD conducted FY2011 Small Cities Application Workshop - Fair Housing/Civil Rights Section covering changes/updates made to the application for FY 10.
- The Connecticut Commission of Human Rights and Opportunities (CHRO), in celebration of Fair Housing Month, sponsored a fair housing seminar for all state residents entitled “Can I Live Here?” Discussion revolved around fair housing laws, the investigation process, legal support for housing issues and housing complaints at public hearings or in court

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

- The FHC, with financial assistance from DECD, carried out the following:
 - Monitored the REO practices of 5 loan servicers to determine if REO properties in predominantly African-American or Latino neighborhoods are not maintained as well as REO properties in predominantly Caucasian neighborhoods;
 - Performed 15 rental tests to determine if there is discrimination against Muslims looking for housing;
 - Performed 10 fair housing tests to determine if people of color with bad credit are denied housing more frequently than whites with bad credit;
- DECD monitored 32 Small Cities CDBG projects between July, 2010 and June, 2011. Considering the nature and number of findings per grantee, the overall performance was assessed to be good.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that “the state highlight the achievements of the Small Cities it funds in future PERs”.

DECD has placed increased emphasis on the actions and achievements of the Small Cities beneficiaries. Small Cities' applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state’s Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a Small Cities grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 50 Small Cities, 16 of which were considered as “new grantees”. The results are as follows:

Accomplishments - General

- All Towns had created Fair Housing Action Plans;
- All Towns annually adopt the Fair Housing Policy Statement, Resolution and Title VI as an indication of commitment to Fair Housing Month during the month of April;
- All Towns had designated a fair housing officer and outlined a complaint procedure (Action Step 8)
- All Towns created an Affirmative Action Policy Statement;

- All Towns had Section 3 Plans and 50% had developed specific community outreach agencies for a Section 3 referral list;
- Approximately 75% have published the Town Notice for the Americans with Disabilities Act and/or the HUD Fair Housing Poster, and /or the Fair Housing Resolution in the newspaper of general circulation in the area.
- Approximately 90% of towns had updated their Section 504 ADA Transition Plans;
- Approximately 95% of the towns had personnel who attended one or more fair housing trainings in the past year. Most attended multiple trainings (Action Step 3)
- Eighteen towns have joined the Fair Housing Association of Connecticut (FHACTION)
- Approximately 70% published the Town Notice of the ADA and/or the HUD fair housing poster, and/or their Fair Housing resolution in a newspaper of general circulation in the area.

Accomplishments by Action Step

- **Action Step 1** - Contract for direct training of regional town staff assigned to fair housing enforcement and complaint processing -1 town - Tolland.
- **Action Step 2** - Contract for direct training of housing authority staff on fair housing laws – 2 towns.
- **Action Step 4** - Gather information from organizations and agencies involved with fair housing such as DECD, CHRO, CHFA, DSS, DMHAS, HUD and private not-for-profits and distribute to all town staff which have direct contact with the public regarding housing, community development, social services or public safety matters -14 towns
- **Action Step 5** - Conduct regular (at least once a year) fair housing seminars for community residents, landlords, real estate professionals and lenders – 1 town.
- **Action Step 6** - Prepare and distribute materials which outline fair housing rights and responsibilities and the town’s complaint and/or referral process - 21 towns.
- **Action Step 7** - Identify and distribute fair housing materials prepared by others to community residents, landlords, real estate professionals and lenders – 16 towns
- **Action Step 9** - Develop a formal process for referring fair housing complaints to CHRO, HUD or others for investigation and follow-up – 4 towns.
- **Action Steps 14 and 17** – 28 towns
- Review local building and zone codes, removal of overly restrictive occupancy standards, family definitions, and density requirements.
- Promote inclusionary zoning through the expansion of multi-family zones
- **Action Step 15** - Develop a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the Americans with Disabilities Act and related laws – 2 towns.
- **Action Step 16** - Expand access to mass transportation by developing van pools and ride sharing programs – 1 town.
- **Action Step 18** - Encourage the development of alternative ownership through models such as limited equity cooperatives, mutual housing, land trusts and/or turn-key projects – 1 town
- **Action Step 19** - Donate town land for development of lower cost multi-family housing – 1 town
- **Action Step 21** - Support local not-for-profits and housing partnerships in efforts to develop additional affordable housing – 2 towns.
- **Action Step 22** - Use the local housing authority as a vehicle for creation of affordable family rental housing - 1 town.

- **Action Step 27** - Affirmatively market Section 8, RAP, and other rental subsidy programs through dissemination of information to local landlords – 1 town.
- **Action Step 31** - Develop a consistent tenant selection methodology that clearly defines the criteria by which each applicant will be judged and does not exclude any protected class - 10 towns.
- **Action Step 32** - Encourage local lenders to adopt “second look” policies before rejecting mortgage applications – 7 towns.
- **Action Step 34** -Work with local landlords, real estate agents and lenders to develop affirmative marketing strategies which encourage applications from people least likely to apply based on current town demographics - 2 towns.

Notable Accomplishments

- **One (1) town** has taken specific action to designate and empower a committee to initiate discussions and undertake projects to increase diversity throughout the city. The committee will include representatives from city council, police, educational administrators, and representatives from multi-cultural groups including the NAACP to tackle city and school issues and to build bridges between different generations and diverse groups.
- **One (1) town** established a formal procedure to access interpreters and translators to assist hearing impaired and those not literate in English.
- **Seven (7)** towns put a special section on their websites for fair housing materials.
- **Thirteen (13)** towns applied for and four (4) received a HOME CT grant to establish incentive housing zone to carry out and encourage commitment to expand the housing supply
- **One (1) town** created a transit oriented development zone
- **Two (2)** towns are planning transit oriented development zones
- **One (1) town** strengthened infrastructure through improvements in community services, schools in particular, and revitalization of blighted areas through economic and development.
- **One (1) town** has a mobility counseling program for Section 8, RAP recipients and other low-income inner city families to facilitate and ensure their access to affordable housing.

Table A: Fair Housing/Civil Rights Training Provided during PY 2010-2011

Date	Subject	Location	Attendants
02/24/2011	2010 Small Cities Application Workshop - Fair Housing Section covering changes made to the application	Rensselaer, Hartford	Small Cities Recipients/ Applicants and staff
03/08/2011	Affirmative Fair Housing Marketing Plans, Tennant Selection Plans, State Fair Housing Regulations	DECD	Development Program staff- state and HOME Programs
5/18/2011	Section 3 Strategies – HUD training	Marriot Hotel, Waterbury	Fair Housing Staff

Source: DECD, OSP

Table B: Fair Housing/Civil Rights Monitoring Conducted during PY 2010-2011

Town	Date of Monitoring	Contract No.	Award	Activity
Ashford	8/4/10	SC0600301A	\$500,000	Pompey Hollow Senior Housing Rehab
Ashford	6/28/11	SC0800301	\$50,000	Food Bank
Berlin	8/12/10	SC0600701	\$518,000	Marjorie Moore Senior Housing Rehab
Bethel	3/9/11	SC0600901	\$500,000	South St Fire Station ADA Renovations
Canton	12/23/10	SC0602301	\$550,000	Twenty-One Housing Rehabilitation
Coventry	5/18/11	SC0803201	\$500,000	King's Road Reconstruction
Deep River	11/18/10	SC0703601	\$150,000	ADA Town Hall Improvements
East Granby	3/17/11	SC0604001A	\$645,000	Metacomet Homes Sewer Line Connection
Easton	7/30/10	SC0604601	\$750,000	Senior Center
Ellington	4/19/11	SC0704801	\$300,000	Regional Housing Rehabilitation Loan Program
Ellington	4/19/11	SC0804801	\$300,000	Regional Housing Rehabilitation Loan Program
Franklin	11/17/10	SC0705301	\$300,000	Town Hall ADA Renovations
Hebron	7/22/10	SC0606701A	\$400,000	Town Hall ADA Renovations
Jewett City	3/8/11	SC0805801	\$500,000	Street and Sidewalk Improvements
Jewett City	3/8/11	SC0905801	\$700,000	Ashland Manor Senior Rental Housing Rehab
Middlebury	12/8/10	SC0708101	\$695,000	Town Hall ADA Improvements
Middlefield	8/12/10	SC0708201	\$300,000	Housing Rehabilitation Loan Program
New Fairfield	8/5/10	SC0609101A	\$650,000	Senior Center Rehabilitation/Addition
New Hartford	8/19/10	SC0709201	\$300,000	Housing Rehabilitation Loan Program
Newtown	11/24/10	SC0609701A	\$600,000	Nunnawauk Meadows Senior Housing Rehab – Ph. I
Old Saybrook	1/10/11	SC0510601	\$310,000	Community Center ADA Renovations
Plainville	4/7/11	SC0611001A	\$1,000,000	Senior Center Renovations
Putnam	4/26/11	SC0811601	\$300,000	Housing Rehab Loan Program
Simsbury	4/14/11	SC0612801A	\$775,000	Senior Rental Housing Rehabilitation

Table B cont.: Fair Housing/Civil Rights Monitoring Conducted during PY 2010-2011

Town	Date of Monitoring	Contract No.	Award	Activity
Sprague	8/26/10	SC0713301	\$500,000	Sidewalk Construction/Upgrades
Stafford	3/2/11	SC0713401	\$500,000	Prospect St. Reconstruction – Ph. 1
Stafford	3/2/11	SC0813401	\$300,000	Housing Rehab Loan Program
Torrington	10/19/10	SC0714301	\$700,000	Willow Gardens Senior Housing Rehabilitation
Vernon	9/1/10	SC0714601	\$500,000	High Street Construction
Watertown	12/9/10	SC0815301	\$300,000	Housing Rehabilitation Loan Program
Woodbridge	2/23/11	SC0716701	\$300,000	Housing Rehabilitation Loan Program
Wolcott	12/9/10	SC0716601	\$300,000	Housing Rehabilitation Loan Program

Source: DECD, OHCD

III. HOME Program Requirements

A. Resource Allocation PY 2010-11

The following table (Table 16) provides a summary of the resource allocation for program year 2010-11.

Table 16: HOME Program Resource Allocation for PY 2010-2011	
State Administration (10%)	\$ 1,334,283
CHDO Set-aside (15%)	\$ 2,001,425
Subtotal	\$ 3,335,708
Estimated Program Income	\$188,210
Allocation available for other eligible activities	\$ 10,195,339
FY 2010-11 Allocation	\$ 13,342,837

Source: DECD, OSP

Key: Refer to "Key" Appendix

B. Disbursements PY 2010-11

The following table (Table 17) provides a summary of disbursements for program year 2010-11.

Table 17: Summary of HOME Program Disbursements For Projects During PY 10-11	
FFY	Disbursement Amount
2004	\$ 664,162
2005	\$ 1,114,789
2006	\$ 986,030
2007	\$ 9,103,484
2008	\$ 13,619,489
2009	\$ 3,527,092
2010	\$ 7,550,701
Total	\$ 36,565,747

Source: DECD, OSP

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures							
FFY 10-11 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 10-11	Total Amount of HOME Funds Expended to Date	FFY 10-11 Alloc. Admin Funds	Total Admin Alloc. To Date	Amount of Admin Funds Expended During PY 10-11	Total Amount of Admin Funds Expended to Date
\$ 13,342,837	\$ 201,623,390	\$ 36,565,747	\$ 193,221,535	\$ 1,334,283	\$ 19,281,937	\$ 2,525,722	\$ 19,568,081

Source: DECD, OSP

D. Contracted PY 2010-11

The following table (Table 19) provides a summary of contracts during the program year.

Table 19: HOME Program Projects Contracted During Program Year 7/1/2010 to 6/30/2011											
Recipient Name	Project Name and Number	Project Location	Project Description	Total Estimated Project Cost	Proposed HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	Date AG Signed Contract
Metro Realty	Peachtree Village HM0900401	Avon	To construct 103 units of elderly rental housing	\$19,458,876	\$1,865,000	Loan	2010	NC	R	11	8/25/2010
Access Senior Housing of Franklin, Ir	Elisha Brook HM1005301	Franklin	New construction of 27 units of HUD Section 202 units.	\$5,500,000	\$700,000	Grant	2010	NC	R	10	11/19/2010
The Community Builders, Inc.	Dutch Point - Phase 3 HM1006402	Hartford	New construction of 58 homebuyer units, 44 affordable units.	\$17,062,864	\$2,066,986	Grant	2009	NC	HO	20	7/12/2010
TORRAAL Development, LLC	Northeast Brackett School Ownership HM0506405	Hartford	New Construction of 12 two-family homes and 8 duplexes	\$7,923,856	\$3,250,041	Grant	2007	NC	HO	20	7/23/2010
CHR Capital Inc	Center Street HM1007701	Manchester	New construction of 20, 2-bedroom, affordable, service enhanced housing units	\$6,570,662	\$2,285,178	Grant	2010	NC	R	14	3/21/2011
North Walke Housing Corporation	Norwalk Homebuyer Assistance Program HM0510302	Norwalk	Downpayment Assistance to 10 homebuyers	\$1,650,000	\$500,000	Grant	2010	DPA	HO	10	10/7/2010
West Hartford HA	Alfred E. Plant Elderly Apartments HM0915501	West Hartford	Rehab 95 units and 42 new construction units of elderly housing.	\$21,204,627	\$2,155,080	Loan	2010	NC	R	14	1/10/2011
Common Ground	Cedarwoods HM0916301	Windham	New construction of 56 one bedroom rental units, LIHTC. DECD requested to fund \$3.430 of \$13.310 million and restrict 22 units.	\$14,365,624	\$3,430,000	Loan	2010	NC	R	22	1/5/2011
New London Comm partnership	Briarcliff HM0909502	New London	The rehabilitation of 106 units (21 buildings) of family rental housing owned by the New London HA	\$18,824,100	\$4,633,049	Loan	2010	Rehab	R	24	11/17/2010
Total				\$112,560,609	\$20,885,334					145	

Source: DECD, OHCD
Key: Refer to "Key" Appendix

E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

Table 20: HOME Projects Contracted During PY 10-11 Summary of Geographic Distribution	
County	HOME \$
Hartford	\$ 11,622,285
Fairfield	\$ 500,000
New London	\$ 5,333,049
Windham	\$ 3,430,000
Total	\$ 20,885,334

Source: DECD, OSP

F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

Table 21: HOME Program Project Contracted During PY 2010-11		
Activity	HOME Funding	Number of Projects
Rehab/Rental	\$ 4,633,049	1
NC/Homeownership	\$ 5,317,027	2
NC/Rental	\$ 10,435,258	5
Homebuyer Assistance	\$ 500,000	1
Total	\$ 20,885,334	9

Source: DECD, OSP

Key: Refer to "Key" Appendix

G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

**Table 22: HOME Projects Contracted During PY10-11
Identifying Funding Leveraged**

Project Name	Project Number	Project Location	Proposed HOME Investment	Public Sector Financing	Private Financing	Total Financing Leveraged	Total Estimated Project Cost (\$)
Peachtree Village	HM0900401	Avon	\$1,865,000	\$0	\$15,541,876	\$15,541,876	\$17,406,876
Elisha Brook	HM1005301	Franklin	\$700,000	\$ 4,800,000	\$ -	\$4,800,000	\$5,500,000
Dutch Point Phase 3	HM1006402	Hartford	\$2,066,986	\$ 3,326,986	\$ 12,435,878	\$15,762,864	\$17,829,850
Northeast Brackett School Homeownership	HM0506405	Hartford	\$3,250,041	\$ -	\$ 4,673,815	\$4,673,815	\$7,923,856
Center Street	HM1007701	Manchester	\$2,285,178	\$ 1,145,000	\$ 4,035,094	\$5,180,094	\$7,465,272
Norwalk Homebuyer DPA	HM0510302	Norwalk	\$500,000	\$ -	\$ 2,000,000	\$2,000,000	\$2,500,000
Plant Elderly Apartments	HM0915501	West Hartford	\$2,155,080	\$ -	\$ 19,049,547	\$19,049,547	\$21,204,627
Cedarwoods	HM0916301	Windham	\$3,430,000	\$ -	\$ 9,880,873	\$9,880,873	\$13,310,873
Briarcliff	HM0909502	New London	\$4,633,049	\$ 2,000,000	\$ 14,191,051	\$16,191,051	\$20,824,100
Total			\$20,885,334	\$11,271,986	\$81,808,134	\$93,080,120	\$113,965,454

Source: DECD, OHCD

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

**Table 23a: Summary of HOME Match Activity
for PY 2010-11**

Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
	\$20,104,595.01	\$20,104,595.01	State Funds	\$15,075,076.74	12.50%	\$1,884,384.59	\$18,220,210.42

Source: DECD, OFA/OHCD/OSP

Table 23b: HOME Match Liability History

Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42

Source: DECD, OFA/OHCD/OSP

I. Program Income Activity

The following tables (Table 24a and 24b) provide a summary of program income activity.

**Table 24a: HOME Projects Funded During PY 2010-11
Using Program Income**

Project Name	Project Number	Project Location	Activity	Unit Type	HOME Units	Source of Program Income	Program Income Awarded	Type of Project
ADDI-Home Power	HM0609301	New Haven	DPA	HO	8	1993 DPA	\$22.00	multiple unit home ownership assistance projects
ADDI-NHS of CT, Inc.	HM0517001	New Haven Waterbury	DPA	HO	9	1993 DPA	\$ 15,000	multiple unit home ownership assistance projects
Dye House Apartments	HM0807701	Manchester	NC	R	32	1993 DPA	\$ 240,778	Adaptive Re-use of Historic Mill into Rental Housing Units
Hempstead Neighborhood Revitalization	HM0509501	New London	NC/Rehab	HO	8	1993 DPA	\$ 72,998	New Construction & Rehab.of Homeownership Housing Units
Hamden Homeowner Rehab. Program	HM0806201	Hamden	Rehab	HO	25	1993 DPA	\$ 33,172	Town: Homeowner Rehab. Program
Total							\$ 361,970	

Source: DECD,
OFA/OSP

Key: Refer to "Key"
Appendix

Table 24b: HOME Program Income Activity for PY 2010-11

Balance Carried Forward from Previous PY	Amount Received During PY 10-11	Amount Expended During PY 10-11	Balance to be Carried Forward to Next PY
\$ 17,737	\$ 340,408	\$ 361,970	\$ (3,825)

Source: DECD,
OFA/OSP

J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

Table 25: HOME Program -Summary of Dollar Value of MBE & WBE Contracts* for PY 2010-11						
Project Name	Project Number	Project Sponsor	Project Location	Dollar Amount MBE	Dollar Amount WBE	Total
Norwalk Homebuyer Assistance Program	HM0510302	North Walke Housing Corp.	Norwalk	\$ 250,000		\$ 250,000
West Side Commons II (Spruce St. II)	HM0808901	M.H.A. of South Western, CT. Inc.	Stamford	\$ 472,103	\$ 57,000	\$ 529,103
Hillcrest	HMO813201	Kelly Road Associates, LP	S. Windsor	\$ 3,195		\$ 3,195
North End Redevelopment	HM0708301	Nehemiah Housing Corp.	Middletown	\$ 125,026	\$ 445,551	\$ 570,577
Jesica Tandy	HM0701502	Alpha Home, Inc.	Westport		\$ 8,500	\$ 8,500
Northeast Bracket School Ownership Housing Initiative	HM0506405	TORRAL Development, LLC	Hartford	\$ 229,482		\$ 229,482
Milford Homeowner Rehab. Program	HM0808401	City of Milford	Milford	\$ 56,154	\$ 23,300	\$ 79,454
360 State Street	HM0809303	Becker Development, LLC	New Haven	\$ 813,924	\$ 3,868,802	\$ 4,682,726
Mill Apartments	HM0909001	NCHA Mill Apartments, LP	New Canaan		\$ 3,000	\$ 3,000
Elisha Brook	HM1005301	Access Senior Housing of Franklin, Inc.	Franklin	\$ 4,632,238	\$ 271,654	\$ 4,903,892
Peachtree Village	HM0900401	Metro Realty	Avon	\$ 759,894	\$ 305,798	\$ 1,065,692
Center Street Apartments	HM1007701	CHR Capital, Inc.	Manchester		\$ 35,410	\$ 35,410
Total				\$ 7,342,016	\$ 5,019,015	\$ 12,361,031

Source: DECD, OHCD/OSP

* the dollar value of MBE & WBE contracts are based on HOME Projects completed during the PY and may include financing other than from the HOME Program.

K. Property Acquisition/ Relocation

The following table (Table 26) provides a summary of property acquisition/relocation.

Table 26: HOME Program Projects Involving Property Acquisition and/or Relocation Occurring During PY 10-11							
Project Name	Project Number	Project Sponsor	Project Location	# of Parcels Acquired	Cost	# of Households Temporarily Displaced/Relocated	Cost
Loans and Grants for Accessibility Program	HM0506402	Corporation for Independent Living	Statewide	1	65,150		
Cedarwoods Apartments	HM0916301	Common Ground	Windham	1	200,500		
Hales Court Redevelopment	HM0815801	Westport Housing Authority	Westport			20	192,896
Alfred E. Plant Elderly Apartments	HM0915501	West Hartford Housing Authority	West Hartford			35	98,438
Total				2	\$265,650	55	\$ 291,334

Source: DECD, OHCD/OSP

L. Community Housing Development Organization Awards

There was no contract activity relative to Community Housing Development Organization Awards during the program year.

M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

**Table 28: HOME Program Summary
of Rental Projects Monitored During PY 2010-2011**

Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitorings	Date of Monitoring	# of Visits	Physical Inspection	Affordability
South Windsor	Hillcrest	HM0813201	NC	R	11	Dev	Construction Monitoring Initial Occupancy	11/18/2010 9/2/10	2	Physical Inspection	N/A
Manchester	Dye House Apts	HM0807701	NC	R	32	Dev	Construction Monitoring	7/14/10, 7/24/10, 8/12/10, 9/2/10, 9/6/10, 10/21/10, 11/18/10, 1/6/11, 1/20/11, 2/3/11, 3/10/11, 3/31/11, 4/28/11	13	Physical Inspection	N/A
Bridgeport	Jessica Tandy	HM0701502	NC	R	6	Dev	Construction Monitoring	7/8/10, 7/22/10, 8/3/10, 8/16/10, 9/8/10, 11/17/10, 3/22/11, 5/25/11, 6/28/11	9	Physical Inspection	N/A
New Haven	360 State Street	HM0809303	NC	R	20	Dev	Construction Monitoring	7/21/10, 8/18/10, 9/22/10, 10/6/10	4	Physical Inspection	NA
New Britain	Marian Heights	HM0808901	Rehab	R	42	Dev	Construction monitoring	2/9/2011	1	Physical Inspection	N/A
Westport	Hales Court	HM0815801	NC	R		Dev	Construction Monitoring	8/2/10, 8/16/10, 10/21/10, 3/10/11, 4/17/11	5	Physical Inspection	N/A
Windham	Cedarwoods	HM0916301	NC	R	56	Dev	Construction Monitoring	2/1/11, 3/1/11, 3/22/11	3	Physical Inspection	N/A
Hartford	Victory Cathedral	HM0706402	NC	R	12	Dev	Construction Monitoring	7/14/10, 7/29/10, 8/12/10, 9/2/10	4	Physical Inspection	N/A
Hamden	Highwood Square	HM0806202	NC	R	24	Dev	HOME Compliance	10/26/2010	1	N/A	N/A
Hamden	Highwood Square	HM0806202	NC	R	24	Dev	Completion Walkthrough	6/3/2011	1	Yes, walkthrough	N/A
Hartford	Victory Cathedral	HM0706402	NC	R	12	Dev	Completion Walkthrough	11/10/2010	1	Yes, walkthrough	N/A
Hartford	Victory Cathedral	HM0706402	NC	R	12	Dev	HOME Compliance	11/10/2010	1	N/A	N/A
New Canaan	Mill Apartments	HM0909001	NC	R	11	Occ	Construction Monitoring	8/3/10, 8/16/10, 9/8/10, 11/17/10, 1/5/11	6	Physical Inspection	N/A
Ansonia	Valley Mutual	20000020010579163A	Rehab	R	9	Occ	Post Occupancy	4/11/2011	1	PhysFindings/CorrMade	Pass Income Test
Berlin	Orchard Ridge	20000070020579153A	NC	R	11	Occ	Post Occupancy	11/20/2010	1	HQS Compliant	Pass Income Test
Bridgeport	Bishop Curtis	HM0401502	Rehab	R	48	Occ	Post Occupancy	4/26/2011	1	HQS Compliant	Pass Income Test
Canaan	Breckley House	19990210010579153A	NC	R	24	Occ	Post Occupancy	4/15/2011	1	HQS Compliant	Pass Income Test
Colchester	Breed's Tavern	9402805721	NC	R	22	Occ	Post Occupancy	12/2/2010	1	PhysFindings/CorrPending	Pass Income Test
Cromwell	The Rook	960330579153A	NC	R	20	Occ	Post Occupancy	4/14/2011	1	PhysFindings/CorrMade	Pass Income Test
Farmington	Yorkshire	HM0705201	NC	R	4	Occ	Post Occupancy	11/10/2010	1	HQS Compliant	Income Test
Greenwich	Pathways	HM0405701	Rehab	R	10	Occ	Post Occupancy	5/3/2011	1	PhysFindings/CorrMade	Pass Income Test
Hartford	WashingtonCourt	20000640450579163A	Rehab	R	66	Occ	Post Occupancy	10/27/2010	1	PhysFindings/CorrPending	Pass Income Test
Hartford	Ida Wells Apartments	HM200269	Rehab	R	40	Occ	Post Occupancy	10/1/2010	1	HQS Compliant	Pass Income Test
Kent	SouthCommons	20010680010573A	NC	R	24	Occ	Post Occupancy	9/30/2010	1	PhysFindings/CorrMade	Pass Income Test
NewBritain	SkrentneyInFill	HM0608901	NC	R	4	Occ	Post Occupancy	22-Mar	1	HQS Compliant	Income Test
NewBritain	SkretnyBlock	20010890571A	Rehab	R	5	Occ	Post Occupancy	3/22/2011	1	PhysFindings/CorrMade	Pass Income Test
NewHaven	GilbertAvenue	19960930230571A	Rehab	R	10	Occ	Post Occupancy	4/11/2011	1	PhysFindings/CorrMade	Pass Income Test
NewHaven	130 Howe Street	20030930020579151A	NC	R	12	Occ	Post Occupancy	4/29/2011	1	PhysFindings/CorrPending	Pass Income Test
Norwich	Artspace	1.9981E+14	Rehab	R	9	Occ	Post Occupancy	10/5/2010	1		
Shelton	The Ripton	19981260070579171A	Rehab	R	36	Occ	Post Occupancy	6/21/2011	1	PhysFindings/CorrMade	Pass Income Test
SoWindsor	Watson Farm	20011320010579153A	NC	R	10	Occ	Post Occupancy	11/10/2010	1	HQS Compliant	Pass Income Test
Stamford	Metcalf House	9713513575	Rehab	R	10	Occ	Post Occupancy	4/20/2011	1	PhysFindings/CorrMade	Pass Income Test
Torrington	Y House	97143579166	Rehab	R	42	Occ	Post Occupancy	6/14/2011	1	PhysFindings/CorrPending	Pass Income Test
Winsted	Laurel Commons	HM416201	NC	R	19	Occ	Post Occupancy	6/3/2011	1	HQS Compliant	Pass Income Test

Source: DECD, OHCD
 NC = New Construction
 Rehab = Rehabilitation
 HO = Homeownership
 R = Rental

Stages Key:
 Dev =Construction
 Compl =Initial Occupancy
 Occ =Ongoing occupancy

Type of Monitoring Key:
 Unit Inspection
 Sponsor Files/Labor Files
 Site Visit
 Inspec. Files

N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME Program Workshops & Technical Assistance Provided During 2010-11 Program Year					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
State Housing Policy Direction Conference	Presentation of state housing guidelines and priority areas.	10/29/2010	Hartford, CT.	non-profit housing development organizations	40
CHDO Information Session	Requirements to qualifying as a Community Housing Development Housing Organization	11/8/2010	Hartford, CT.	non-profit housing development organizations	3
Affirmative Housing Workshop	Presentation of guidelines for adhering to affirmative housing regulations	3/8/2011	Hartford, CT.	non-profit housing development organizations	12

Source: DECD, OHCD

O. Closed Out PY 2010-11

The following table (Table 30) provides a summary of closed out projects for program year 2010-11.

Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2010 to 6/30/2011											
Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DECD HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS
AHEPA - 1888	AHEPA 58-II Apartments HM0515901	Wethersfield	Construction of 42 units of elderly housing	\$7,519,907	\$2,142,507	Grant	2004	NC	R	42	9/10/10
Metro Realty LLC- 2163	Hillcrest HM0813201	South Windsor	New construction of 82 unit elderly complex	\$ 15,417,202	\$ 1,735,000	Loan	2007	NC	R	11	3/14/11
Hamden HA - 2244	Centerville Village HM0706201	Hamden	Assist HHA in multiphase renovation of 62	\$877,600	\$ 395,000	Grant	2008	Rehab	R	62	3/15/11

Source: DECD, OHCD

Key: Refer to "Key" Appendix

IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2010-11

The following table (Table 31) provides a summary of the resource allocation for program year 2010-11.

Table 31: SC/CDBG Program Resource Allocation for PY 2010-2011	
State Administration (2%)	\$ 293,858
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 146,929
Subtotal	\$ 540,787
Urgent Need (up to \$500,000)	\$ 500,000
Allocation available for all other eligible activities	\$ 14,152,156
FY 2010-11 Allocation	\$ 14,692,943

Source: DECD, OSP

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2010-11			
FFY 09-10 SC/CDBG Program Allocation Funding Allowed for Admin.		Amount of SC/CDBG Program Funding Expended on Admin.	Amount of Matching Funds Provided by State Toward Admin.
\$ 100,000	State Admin.		
\$ 293,858	2% of Allocation	\$ 393,858	\$ 293,858

Source: DECD, OSP

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2010-11

The following table (Table 33) provides a summary of contracts during the PY 2010-11.

Table 33: SC/CDBG Program Projects Contracted During Program Year 8/1/2010 to 6/30/2011

Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Housing	# People	#Jobs Created/ Retained	Multi-family/ Elderly	Date AG Signed Contract
Ansonia	SC1000201	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB					11/16/2010
Ashford	SC1000301	Ashford Food Program	\$50,000	2010	PS					55			12/1/2010
Bethlehem	SC1001001	Rehab - North Purchase Ph. 2	\$461,000	2010	PH/MOD	24	R	RHB	PH			E	11/16/2010
Canterbury	SC1002201	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB					12/2/2010
Clinton	SC1002701	Residential Rehab - Town-wide	\$300,000	2010	HR	9	HO	RHB					11/16/2010
Coventry	SC1003201	HA Rehab - Orchard Hill Estates	\$500,000	2010	PH/MOD	80	R	RHB	PH			E	11/16/2010
East Haven	SC1004401	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB					12/2/2010
East Windsor	SC1004701	Infrastructure - Drainage -	\$500,000	2010	PF					351			12/2/2010
Ellington	SC1004801	Residential Rehab - Regional	\$500,000	2010	HR	16	HO	RHB					12/14/2010
Essex	SC1005001	Hous Auth Rehab - Essex Court	\$500,000	2010	PH/MOD	36	R	RHB	PH			E	11/19/2010
Farmington	SC1005201	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB					12/2/2010
Franklin	SC1005301	Residential Rehab - Town-wide	\$300,000	2010	HR	10	HO	RHB					12/2/2010
Guilford	SC1006001	HA- Boston Terr. CB Expan.	\$375,000	2010	PH/MOD		R	RHB	PH	30		E	12/8/2010
Hampton	SC1006301	Residential Rehab - Regional	\$400,000	2010	HR	12	HO	RHB					12/2/2010
Jewett City	SC1005801	HA Rehab - Ashland Manor Ph. 2	\$575,000	2010	PH/MOD	10	R	RHB	PH			E	12/2/2010
Killingly	SC1006901	HA Rehab/Expnsion - Maple Court	\$610,000	2010	PH/MOD	15	R	RHB	PH			E	11/16/2010
Lebanon	SC1007101	Residential Rehab - Town-wide	\$300,000	2010	HR	16	HO	RHB					11/16/2010
Morris	SC1008701	HA Rehab - Eldridge Senior	\$555,000	2010	PH/MOD	20	R	RHB	PH			E	11/16/2010
Naugatuck	SC1008801	Infra - Drainage - Nettleton Ave	\$500,000	2010	PF					1919			11/19/2010
New Fairfield	SC1009101	Residential Rehab - 10 units	\$300,000	2010	HR	10	HO	RHB					12/2/2010
Newtown	SC1009701	Rehab - Nunnawauk Meadows	\$546,000	2010	PH/MOD	120	R	RHB	PH			E	11/19/2010
Plainville	SC1011001	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB					11/16/2010
Plymouth	SC1011101	Residential Rehab - Town-wide	\$300,000	2010	HR	16	HO	RHB					12/2/2010
Sprague	SC1013301	Street Improv - Upper High St.	\$500,000	2010	PF					1,498			11/10/2010
Stafford	SC1013401	Street Improve. - High St.	\$500,000	2010	PF					1550			11/19/2010
Tolland	SC1014201	ADA Improve - Elevator	\$480,000	2010	PF/ADA					1,043			12/2/2010
Torrington	SC1014301	Residential Rehab - Town-wide	\$300,000	2010	HR	15	HO	RHB					11/10/2010
Vernon	SC1014601	Street Improve. - Prospect St	\$250,000	2010	PF					6987			12/2/2010
Watertown	SC1015301	Residential Rehab - Town-wide	\$300,000	2010	HR	3	HO	RHB					12/2/2010
Wethersfield	SC1015901	Hous Auth Rehab - Harvey Fuller	\$600,000	2010	PH/MOD	32	R	RHB	PH			E	11/10/2010
Windham	SC1016301	Residential Rehab - Town-wide	\$300,000	2010	HR	13	HO	RHB					12/2/2010
Windsor	SC1016401	HA Rehab - Fitch Court	\$350,000	2010	PH/MOD	40	R	RHB	PH			MF	12/8/2010
Windsor Locks	SC1006501	Str/Improve/ Pleasant & Olive St	\$500,000	2010	PF					1443			11/10/2010
Wolcott	SC1016601	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB					12/2/2010
Woodstock	SC1016901	Residential Rehab - Town-wide	\$300,000	2010	HR	12	HO	RHB					12/8/2010
Total			\$13,752,000			577				13,433			

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 10-11	
Summary of Geographic Distribution	
County	CDBG \$
Fairfield	\$ 846,000
Hartford	\$ 2,550,000
Litchfield	\$ 1,916,000
Middlesex	\$ 800,000
New Haven	\$ 1,775,000
New London	\$ 1,675,000
Tolland	\$ 2,230,000
Windham	\$ 1,960,000
Total	\$ 13,752,000

Source: DECD, OSP

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2010-11 Summary by Activity		
Activity	Total Funding	Number of Projects
Homeowner Rehabilitation	\$ 5,400,000	17
Public Housing Rehabilitation	\$ 5,072,000	10
Total Housing	\$ 10,472,000	27
ADA Improvements	\$ 480,000	1
Water/Sewer/Street Improvements	\$ 2,750,000	6
Food Program	\$ 50,000	1
Total Public Facilities	\$ 3,280,000	8
Total	\$ 13,752,000	35

Source: DECD, OSP

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 10-11 Identifying Funding Leveraged					
Project Description	Project Number	Project Location	Proposed CDBG Investment	Total Financing Leveraged	Total Estimated Project Cost (\$)
Residential Rehab - Town-wide	SC1000201	Ansonia	\$300,000	\$ 50,000	\$ 350,000
Ashford Food Program	SC1000301	Ashford	\$50,000		\$50,000
Rehab - North Purchase Ph. 2	SC1001001	Bethlehem	\$461,000		\$ 461,000
Residential Rehab - Town-wide	SC1002201	Canterbury	\$300,000		\$300,000
Residential Rehab - Town-wide	SC1002701	Clinton	\$300,000		\$300,000
HA Rehab - Orchard Hill Estates	SC1003201	Coventry	\$500,000		\$ 500,000
Residential Rehab - Town-wide	SC1004401	East Haven	\$300,000	\$ 45,300	\$ 345,300
Infrastructure - Drainage -	SC1004701	East Windsor	\$500,000	\$ 460,000	\$ 960,000
Residential Rehab - Regional	SC1004801	Ellington	\$500,000	\$ 60,000	\$ 560,000
Hous Auth Rehab - Essex Court	SC1005001	Essex	\$500,000		\$ 500,000
Residential Rehab - Town-wide	SC1005201	Farmington	\$300,000		\$ 300,000
Residential Rehab - Town-wide	SC1005301	Franklin	\$300,000		\$ 300,000
HA- Boston Terr. CB Expan.	SC1006001	Guilford	\$375,000	\$ 70,000	\$445,000
Residential Rehab - Regional	SC1006301	Hampton	\$400,000	\$ 48,000	\$448,000
HA Rehab - Ashland Manor Ph. 2	SC1005801	Jewett City	\$575,000	\$ 55,000	\$630,000
HA Rehab/Expnsion - Maple Court	SC1006901	Killingly	\$610,000		\$ 610,000
Residential Rehab - Town-wide	SC1007101	Lebanon	\$300,000		\$ 300,000
HA Rehab - Eldridge Senior	SC1008701	Morris	\$555,000		\$555,000
Infra - Drainage - Nettleton Ave	SC1008801	Naugatuck	\$500,000		\$ 500,000
Residential Rehab - 10 units	SC1009101	New Fairfield	\$300,000		\$ 300,000
Rehab - Nunnawauk Meadows	SC1009701	Newtown	\$546,000		\$546,000
Residential Rehab - Town-wide	SC1011001	Plainville	\$300,000		\$ 300,000
Residential Rehab - Town-wide	SC1011101	Plymouth	\$300,000		\$300,000
Street Improv - Upper High St.	SC1013301	Sprague	\$500,000		\$ 500,000
Street Improve. - High St.	SC1013401	Stafford	\$500,000		\$500,000
ADA Improve - Elevator	SC1014201	Tolland	\$480,000		\$ 480,000
Residential Rehab - Town-wide	SC1014301	Torrington	\$300,000		\$300,000
Street Improve. - Prospect St	SC1014601	Vernon	\$250,000		\$250,000
Residential Rehab - Town-wide	SC1015301	Watertown	\$300,000	\$ 55,780	\$355,780
Hous Auth Rehab - Harvey Fuller	SC1015901	Wethersfield	\$600,000		\$ 600,000
Residential Rehab - Town-wide	SC1016301	Windham	\$300,000		\$ 300,000
HA Rehab - Fitch Court	SC1016401	Windsor	\$350,000	\$ 78,000	\$ 428,000
Str/Improve/ Pleasant & Olive St	SC1006501	Windsor Locks	\$500,000		\$ 500,000
Residential Rehab - Town-wide	SC1016601	Wolcott	\$300,000	\$ 45,000	\$ 345,000
Residential Rehab - Town-wide	SC1016901	Woodstock	\$300,000		\$ 300,000
Total			\$13,752,000	\$ 967,080	\$ 14,719,080

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2010-2011	
Activity	Amount
Conference	\$ 1,372.50
Fees and Permits	\$ 565.00
Payroll	\$ 60,084.94
Total	\$ 62,022.44

Source: DECD, OFA

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

Table 38: SC/CDBG Program De-Obligated Activity During PY 2010-11					
Town	Project #	Fund Year	Old Activity	Funds De-Obligated	Date Returned
Derby	sc0603701	2006	Senior Center	\$ 1,103	06/06/11
Ashford	sc0600301a	2006	Public Housing Modernization	\$ 3,428	10/12/10
Mansfield	sc0707801	2007	Public Housing Modernization	\$ 38,792	10/12/10
			Admin	\$ 11,000	10/12/10
Windsor Locks	sc0716501	2007	ADA Improvements	\$ 82,705	09/17/10
			Admin	\$ 3,100	09/17/10
Wallingford	sc0714801	2007	14C Public Hsg. Modern	\$ 82,305.03	02/23/11
			Admin	\$ 3,500	02/23/11
Coventry	sc0803201	2008	Street Improvements	\$ 1,378	12/20/10
			Total	\$ 227,311	

Source: DECD, OFA

Key: Refer to the "Key" Appendix

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

Table 39: SC/CDBG Program - Summary of Dollar Value of MBE & WBE Contracts for PY 2010-2011				
Grantee	Project Number	Dollar Amount MBE	Dollar Amount WBE	Total
Ansonia	SC1000201	\$ 31,800	\$ 48,000	\$ 79,800
Berlin	SC0900701		\$ 4,250	\$ 4,250
Bethlehem	SC1001001		\$ 46,100	\$ 46,100
Brookfield	SC0701801		\$ 4,305	\$ 4,305
Clinton	SC0902701	\$ 7,130		\$ 7,130
East Hampton	SC0904201	\$ 680,000		\$ 680,000
East Haven	SC0904401		\$ 65,769	\$ 65,769
Ellington	SC0804801	\$ 4,228		\$ 4,228
Ellington	SC0904801	\$ 3,569		\$ 3,569
Ellington	SC1004801	\$ 2,200		\$ 2,200
Groton	Program Income	\$ 167,934	\$ 58,225	\$ 226,159
Haddam	Program Income	\$ 13,600		\$ 13,600
Jewett City	SC1005801	\$ 471,800		\$ 471,800
Middlefield	Program Income	\$ 500	\$ 150	\$ 650
Middlefield	SC0908201	\$ 15,347	\$ 150	\$ 15,497
New Fairfield	SC1009101		\$ 30,000	\$ 30,000
New Hartford	SC0909201		\$ 1,235	\$ 1,235
North Branford	SC0909901		\$ 85,905	\$ 85,905
Plainville	SC09110001	\$ 8,400		\$ 8,400
Plymouth	SC0911101	\$ 52,250		\$ 52,250
Prospect	SC0811501		\$ 800	\$ 800
Sprague	SC0913301	\$ 10,950		\$ 10,950
Sprague	SC1013301		\$ 2,565	\$ 2,565
Stafford	SC0813401	\$7,580		\$ 7,580
Stafford	SC1013401	\$ 672,028	\$ 672,028	\$ 1,344,056
Sterling	Program Income		\$ 150	\$ 150
Torrington	SCR0914301	\$172,534		\$ 172,534
Torrington	SC1014301	\$ 6,800		\$ 6,800
Torrington	Program Income		\$ 800	\$ 800
Wallingford	SC0914801	\$ 46,150	\$ 31,387	\$ 77,537
Windsor Locks	SC1016501	\$ 391,099		\$ 391,099
Wolcott	Program Income	\$ 10,300		\$ 10,300
Total		\$ 2,776,199	\$ 1,051,819	\$ 3,828,018

Source: DECD, OEDFRD/OSP

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DECD has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DECD's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. Beginning with FFY '92, DECD now requires that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%

Source: DECD, OHCD

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though DECD's rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, DECD created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of DECD's effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for DECD staff as well as applicants it included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administratio	Economic Development	% of Funding for Economic Development
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%

Source: DECD, OHCD

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DECD under neighborhood revitalization strategies in DECD's 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of “project feasibility”. The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DECD’s SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DECD continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit To L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:
 The figures below are given to document the extent to which DECD's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity					
FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National	Amount of Funding to which Benefit	% of Low/Moderate Benefit
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%

Source: DECD, OHCD

L. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

**Table 43: CDBG Program Summary
of Projects Monitored During PY 2010–11**

Project Location	Project Name	Project Number	Activity	Dollar Amount	Type of Monitorings	Date of Monitoring
North Haven	Senior Center	SC0810101	PF	\$750,000	Close-out	8/24/10
Windsor	Hsg rehab	SC0716401	HR	\$300,000	Close-out	9/7/10
Plainfield	sidewalks	SC0810901	PF	\$500,000	Close-out	9/14/10
Suffield	Senior center	SC0813901	PF	\$750,000	Close-out	9/21/10
Lebanon	Hsg rehab	SC0807101	HR	\$300,000	Close-out	9/28/10
Old Saybrook	ADA	SC0510601	PF/ADA	\$310,000	Close-out	10/19/10
Torrington	Housing Authority rehab	SC0714301	PH/MOD	\$700,000	Close-out	10/19/10
Torrington	Town Hall ADA	SC0814301	PF/ADA	\$454,000	Close-out	10/19/10
Deep River	ADA	SC0703601	ADA	\$150,000	Close-out	10/26/10
Brookfield	Senior Center	SC0701801	PF	\$750,000	Close-out	11/2/10
Canton	Hsg Authority/Elderly Housing	SC0602301A	PH/MOD	\$550,000	Close-out	11/3/10
Salisbury	Senior Center	SC0712201	PF	\$750,000	Close-out	11/16/10
Wallingford	Housing Authority Rehab	SC0714801	PH/MOD	\$700,000	Close-out	12/7/10
Woodbridge	Hsg rehab	SC0716701	HR	\$300,000	Close-out	12/14/10
Jewett City	sidewalks	SC0805801	PF	\$500,000	Close-out	01/25/11
Canterbury	housing rehab	SC0802201	HR	\$300,000	Close-out	02/07/11
Winchester	sidewalks	SC0816201	PF	\$500,000	Close-out	02/15/11
Stafford	housing rehab	SC0813401	HR	\$300,000	Close-out	03/01/11
Stafford	drainage	SC0713401	PF	\$500,000	Close-out	03/02/11
Jewett City	HA rehab	SC0905801	HR	\$600,000	Close-out	03/08/11
Ellington	housing rehab	SC0704801	HR	\$300,000	Close-out	03/15/11
Ellington	housing rehab	SC0804801	HR	\$300,000	Close-out	03/15/11
Plainfield	sidewalks	SCR0910901	PF	\$500,000	Close-out	03/22/11
East Windsor	sidewalks	SC0904701	PF	\$500,000	Close-out	03/29/11
New Milford	sidewalks	SC0809601	PF	\$500,000	Close-out	04/12/11
Killingly	façade	SC0806901	PF	\$500,000	Close-out	04/14/11
Pomfret	public hsg rehab	SC0711201	PH/MOD	\$450,000	Close-out	04/21/11
Putnam	housing rehab	SC0811601	HR	\$300,000	Close-out	04/26/11
Coventry	road reconstruction	SC0803201	PF	\$500,000	Close-out	05/17/11
Plainville	sidewalks	SC0911001	PF	\$500,000	Close-out	05/24/11
Enfield	housing rehab	SC0704901	HR	\$300,000	Close-out	05/31/11
Ashford	food bank	SC0800301	PS	\$50,000	Close-out	06/28/11

Source: DECD, OHCD

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2010-2011					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2011 allocation year	2/16/11	Hartford, CT	Town Officials and Consultants	100

Source: DECD, OHCD

N. Closed Out PY 2010-11

The following table (Table 45) provides a summary of closed out projects for program year 2010-11.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2010 to 6/30/2011										
Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab	# People	JOBS
Bethel	SC0600901	Firehouse ADA	\$500,000	2005					1,775	
Canterbury	SC0802201	Townwide housing rehab program	\$300,000	2008	HR	10	HO	RHB		
Deep River	SC0703601	Town hall ADA								
Derby	SC0603701A	Senior Center Rehab	\$400,000	2006	PF				2,059	
East Granby	SC0604001A	Sewer line - Metacomet Elderly project	\$645,000	2006	PH/MOD	72	R	RHB		
East Haven	SC0804401	Townwide housing rehab program	\$300,000	2008	HR	15	HO	RHB		
East Haven	SC0704401	Townwide housing rehab program	\$300,000	2007	HR	18	HO	RHB		
East Windsor	SC0904701	Prospect Hill Drainage & Sidewalks	\$500,000	2009	PF				351	
East Windsor	SC0904701	Prospect Hill Street Improvements	\$500,000	2009	PF				351	
Easton	SC0604601	Senior Center	\$750,000	2005					970	
Ellington	SC0704801	Regional Housing rehab program	\$300,000	2007	HR	11	HO	RHB		
Ellington	SC0804801	Regional Housing rehab program	\$300,000	2008	HR	11	HO	RHB		
Guilford	SC0706001	Boston Terrace - Housing Auth Rehab	\$700,000	2006	PH/MOD	40	R	RHB		
Jewett City	SC0905801	Senior Housing Rehab	\$700,000	2009	PH/MOD	20	R	RHB		
Lebanon	SC0807101	Townwide housing rehab program	\$300,000	2008	HR	10	HO	RHB		
New Hartford	SC0709201	Townwide housing rehab program	\$300,000	2007	HR	9	HO	RHB		
Newtown	SC0609701A	Senior Housing - Nummawauk Meadows	\$600,000	2006	PH/MOD	134	R	RHB		
North Haven	SC0810101	Senior Center Rehab	\$750,000	2008	PF				4,967	
Old Saybrook	SC0510601	ADA in community center	\$310,000	2004	PF				1,144	
Simsbury	SC0612801A	Elderly Housing Rehab	\$775,000	2006	PH/MOD	110	R	RHB		
Somers	SC0612901A	Waterline, street impr- Woodcrest Elderly	\$700,000	2006	PF				96	
Stafford	SC0813401	Townwide housing rehab program	\$300,000	2008	HR	8	HO	RHB		
Stafford	SC0713401	Prospect St Reconstruction	\$500,000	2007	PF				1,550	
Suffield	SC0813901	Senior center Acquisition & Rehab	\$750,000	2008	PF				2,207	
Torrington	SC0714301	Senior Housing Rehab- Willow Gardens	\$700,000	2007	PH/MOD	39	R	RHB		
Torrington	SC0814301	ADA to town hall	\$454,000	2008	PF				6,637	
Vernon	SC0814601	Prospect St phase 1 reconstruction	\$500,000	2008	PF				6,987	
Vernon	SC0714601	High Street reconstruction	\$500,000	2007	PF				1,461	
Wallingford	SC0714801	East Side Terrace Elderly Housing	\$700,000	2007	PH/MOD	30	R	RHB		
Watertown	SC0815301	Townwide housing rehab program	\$300,000	2008	HR	11	HO	RHB		
Winchester	SC0816201	Sidewalks	\$500,000	2008	PF				2,334	
Windsor	SC0716401	Townwide housing rehab program	\$300,000	2007	HR	21	HO	RHB		
Windsor Locks	SC0716501	Town hall ADA - elevator	\$600,000	2007	PF				3,895	
Wolcott	SC0716601	Townwide housing rehab program	\$300,000	2007	HR	10	HO	RHB		
Woodbridge	SC0716701	Townwide housing rehab program	\$300,000	2007	HR	11	HO	RHB		
			\$16,634,000							

* "Closed Out" is defined as the date the project was closed out in IDIS.

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2010-11.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2010-2011		
Issued to:	Activity	Date
Enfield Housing Authority	annual PHA Plan	8/24/2010
Canton Housing Authority	annual PHA Plan	8/24/2010
Wethersfield Housing Authority	annual PHA Plan	8/25/2010
Newington Housing Authority	annual PHA Plan	8/25/2010
South Windsor Housing Authority	annual PHA Plan	8/25/2010
Northeast District Depart.of Health	Lead Based Paint Hazard Control Program	10/15/2010
Chrysalis Center, Inc.	Supportive Housing Program- W. Hartford, Bloomfield, Wethersfield	10/22/2010
CT. DMHAS	Shelter Plus Care Program -Stamford, Atlantic Building	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Stamford, Colony Building	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Stamford, scattered sites	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Norwalk, scattered sites	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Bridgeport, Fairfield Apartments	10/22/2010
CT. DMHAS	Shelter Plus Care Program - Bridgeport, Crescent Building	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Bridgeport, scattered sites	10/22/2109
CT. DMHAS	Shelter Plus Care Program- Meriden/Wallingford, scattered sites	10/22/2010
CT. DMHAS	Shelter Plus care Program- Hartford, My Sister's Place	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Hartford, Hudson View Commons 1993	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Hartford, Soromundi Commons	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Hartford, HEARRT	10/22/2010
CT. DMHAS	Shelter Plus Care Program -Suburban Hartford, scattered site	10/22/2010
CT. DMHAS	Shelter Plus care Program- Suburban Hartford, Ridge Gardens/scattered sites	10/22/2010
CT. DMHAS	Shelter Plus Care Program-Torrington, scattered site	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Hartford, Hudson View Commons 1994	10/22/210
CT. DMHAS	Shelter Plus Care Program- Hartford, scattered sites 1993 TRA	10/22/2010
Windham Region Community Council (WRRC)	Shelter Plus Care Program-Tolland/Windham County-Haven	10/22/2010
Windham Region Community Council (WRRC)	Shelter Plus Care Program- Tolland/Windham, scattered site, Project Home	10/22/2010
CT. DMHAS	Shelter Plus Care Program- New Britain, scattered site	10/22/2010
CT. DMHAS	Shelter Plus Care Program- Hamden, scattered site	10/25/2010
CT. DMHAS	Shelter Plus Care Program- New Haven, 1993 SRA 2010	10/25/2010
CT. DMHAS	Shelter Plus Care Program- New Haven, 1994 PRA 2010	10/25/2010
CT. DMHAS	Shelter Plus Care Program- New Haven, 202 SRA Safe Haven	10/25/2010
CT. DMHAS	Shelter Plus Care Program- New Haven, scattered site 2007 Consolidated TRA	10/25/2010
CT. DMHAS	Supportive Housing Program- New Haven SHP CMHC	10/25/2010
CT. DMHAS	Supportive Housing Program- New Haven SHP Fellowship Inn	10/25/2010
CT. DMHAS	Supportive Housing Program- New Haven, Frank St.	10/25/2010
CT. DMHAS	Supportive Housing Program- New Haven, Pendleton House	10/25/2010
CT. DMHAS	Supportive Housing Program- New Haven, Sojourner's Place	10/25/2010

CT. DMHAS	Supportive Housing Program- Eastern Hartford County, scattered sites VSS	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Danbury, scattered site	10/25/2010
Danbury Housing Authority	Shelter Plus Care Program- Danbury, scattered site	10/25/2010
Association of Religious Communities (ARC)	Supportive Housing Program- Danbury	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Norwich/New London, scattered site	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Middletown, scattered site	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Middletown, S+C PRA	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Willimantic , Brick Row	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Willimantic, scattered sites	10/25/2010
CT. DMHAS-continuum wide	Shelter Plus Care Program- Stamford, Atlantic/Ludlow St.	10/25/2010
CT. DMHAS-continuum wide	Shelter Plus Care Program- Bridgeport, Fairfield, Washington Ave., scattered site	10/25/2010
CT. DMHAS-continuum wide	Shelter Plus Care Program- Hartford, Main/Broad/Hudson St., scattered site	10/25/2010
CT. DMHAS	Shelter Plus Care Program- Suburban Hartford, New Haven County, New London County, Torrington, Middletown, Willimantic, Manchester, Meriden, Wallingford	10/25/2010
CT. DMHAS- continuum wide	Shelter Plus Care Program- New Haven 1465 & 210 State St., scattered site	10/25/2010
CT DMHAS-continuum wide	Shelter Plus Care Program- Tolland /Widham County, scattered site	10/25/2010
CT. Balance of State Continuum of Care	SHP & S+C Program-suburban Hartford, Middlesex, suburban New Haven, New London, Litchfield, Tolland, Windham counties, scattered sites	11/3/2010
Birmingham Group Health Services	Supportive Housing Program- Ansonia, Derby, Shelton, Seymour Oxford scattered sites	11/3/2010
Harbor Health Services Inc.	Supportive Housing Program - Branford ,46 Briarwood Lane	11/3/2010
Harbor Health Services, Inc.	Supportive Housing Program- Branford, East Haven, scattered sites	11/3/2010
Holy Family Home and Shelter, Inc.	Supportive Housing Program- Willimantic, scattered site	11/3/2021
Saint Philip House, Inc.	Supportive Housing Program- Plainville, 80 Broad St.	11/3/2010
Community Health Resources	Supportive Housing Program- Manchester, scattered sites	11/3/2010
Community Renewal Team	Supportive Housing Program- Bloomfield, scattered site	11/3/2010
Community Renewal Team	Supportive Housing Program- East Hartford, scattered sites	11/3/2010
Torrington Community Housing Corporation	Supportive Housing Program- Torrington, 412& 420 Main St., 81 James St.	11/3/2010
Connecticut Coalition to End Homelessness	Supportive Housing Program-Hartford, 77 Buckingham St.	11/3/2010
Thames Valley Council for Community Action, Inc.	Supportive Housing Program-Norwich, scattered sites	11/3/2010
Alliance for Living, Inc.	Supportive Housing Program- New London-HUD 1, scattered sites	11/3/2010
Alliance for Living, Inc	Supportive Housing Program- New London-HUD 2, scattered sites	11/3/2010
Alliance for Living, Inc	Supportive Housing Program- New London-HUD 3, scattered sites	11/3/2010
American Red Cross Middlesex Central CT. Chapter	Supportive Housing Program- Middletown/Middlesex County, scattered site	11/3/2010
Mercy Housing and Shelter Corporation	Supportive Housing Program- Middletown, 112 Bow Lane	11/3/2010
St. Vincent DePaul Place of Middletown, Inc.	Supportive Housing Program- 2007 Renewal, Middletown, scattered site	11/3/2010
St. Vincent DePaul Place of Middletown, Inc	Supportive Housing Program- 2008 Renewal, Middletown, scattered site	11/3/2010
Killingly Housing Authority	Shelter Plus Care Program-Killingly, scattered site	11/3/2010
Torrington Housing Authority	Shelter Plus Care Program- PILOTS-1 Torrington, scattered site	11/3/2010

Torrington Housing Authority	Shelter Plus Care Program -PILOTS-2 Torrington, scattered sites	11/3/2010
New Opportunities, Inc.	Supportive Housing Program- Meriden, 74 Cambridge St.	11/3/2010
Interfaith Housing Assoc. of Westport/Weston Inc.	Supportive Housing Program- Westport, 86 Saugatuck Ave.	11/3/2010
Association of Religious Communities (ARC)	Supportive Housing Program- Danbury, 325 Main St.	11/10/2010
CT. DMHAS	Supportive Housing Program -Torrington, scattered site	11/10/2010
Columbus House, Inc.	Supportive Housing Program -New Haven, scattered site	11/10/2010
Reliance House, Inc.	Supportive Housing Program- Norwich, scattered site	11/10/2010
CT. DSS	Section 8 Family Unification Program -statewide	11/22/2010
Willimantic Housing Authority	HUD Family Unification Program- Willimantic	11/30/2010
CT. DSS H.A.	Section 8 Housing Choice Voucher Program	3/11/2011
East Haven Housing Authority	5yr. & annual PHA Plan	3/18/2011
Glastonbury Housing Authority	5yr. & annual PHA Plan	3/18/2011
New Haven H.A.	Capital Revenue Bonds- New Haven, 122 Wilmot Road	4/19/2011
Ansonia Housing Authority	annual PHA Plan	4/21/2011
Windsor Housing Authority	annual PHA Plan	4/21/2011
Willimantic Housing Authority	annual PHA Plan	5/12/2011
New Samaritan Corporation	HUD Section 202 Application-Saybrook	5/12/2011
New Samaritan Corporation	HUD Section 202 Application-Southington	5/12/2011
AHEPA 250, Inc.	HUD Section 202 Application- Waterford	5/25/2011
Access Agency	HUD Healthy Homes Production CDFA 14.913- Windham and Tolland Counties, East Windsor, Enfield, Suffield, Windsor and Windsor Locks	6/8/2011
Northeast District Dept. of Health	HUD Lead Paint Hazard Control CDFA 14.900- Windham and Tolland Counties, East Windsor, Enfield, Suffield, Windsor and Windsor Locks	6/8/2011
Greer Corporation	HUD Section 202 Application- Canaan	6/8/2011
Sheldon Oak Central, Inc. & Roman Catholic Church of Jewett City, CT.	HUD Section 202 Application- Jewett City	6/15/2011

Source: DECD, OSP

V. ESG Program Requirements

A. State Summary Report SFY 2011

1. Grantee and Community Profile

The Department of Social Services (DSS) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/10– 6/30/2011.

As a lead agency for the provision of emergency shelter services and multi-family or single room residency program to individuals and/or families who are homeless, DSS administers Connecticut's Emergency Shelter Grant (ESG) Program.

DSS works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DSS contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs, transitional living programs, beyond shelter providers, etc. DSS is able to meet its goal of providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DSS contractually requires its emergency shelter providers to utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DSS provided a portion of funding for the Point in Time Count (conducted during last week in January 2011)).

In administering its \$5.4 billion dollar agency budget, DSS allocated Federal and State funds for a combined total of \$18,492,934.92 for the provision of housing assistance and supportive services to homeless people. Noteworthy: The composition of the combined funding includes federal carry forward allotments as well as, ESG-Homelessness Prevention and Rapid Rehousing Program.

During the past twelve (12) months, twenty four (24) of the Department's forty (40) non-profit organizations received funding from the FFY'11 ESG Program for program operations, essential services, staff, and homeless prevention. The ESG total allocated for DSS equals \$1,165,370.00. DSS did not utilize any ESG funding for agency administration.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Advocacy;
- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Homeless prevention;
- Information & Referrals;
- Shelter and housing assistance;
- Substance abuse counseling;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

The U.S. Department of Housing and Urban Development (HUD) monitored the Emergency Shelter Grant program on May 10, May 10 and May 12, 2011. HUD conducted 1 emergency shelter site visit and spent remaining time reviewing DSS' management of the Emergency Shelter Grant program. HUD identified 1 finding: "The lack of a competitive process for selecting subrecipients may limit the availability of the program to all applicants." DSS submitted a corrective action plan to HUD to address finding.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to enable all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized Beyond Shelter Program and Housing First for Families Programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Convened 1st year HPRP Advisory Committee Anniversary Meeting to review HPRP from a statewide perspective.
- Continue review of HPRP operations and have tweaked process, when duplication and replication is appropriate.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.

- **Objective 2:**
- Enhance suitable living environments that assist families and individuals to remain in permanent housing.

- Continue existing resources for state's Eviction Foreclosure and Prevention Program.
- Utilize HPRP homeless prevention funds to assist families and individuals with needs, so that they do not breach the emergency shelter system.

- **Objective 3:**
- Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

- Network with CT Coalition to End Homelessness' (CCEH) efforts to support community Ten Year Plans to End Homelessness. 13 Plans are currently available for view on CCEH's website, <http://www.cceh.org/publications.htm>

Objective 4:

Maintain the state's network of "Homeless Shelters."

- Utilized Beyond Shelter Program and Housing First for Families Programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Support state's network of Homeless shelters and in SFY 2011 budget, an allocation was identified for HMIS data entry staff and systems.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available. To view the Point In Time Brief 2011, click this link, http://www.cceh.org/pdf/count/ct_pit_2011_Brief.pdf

- Minimum of leveraged monies for homeless shelters = \$10,603,004.00
- Number of DSS funded shelters – 44
- Total number of beds (statewide) = 1,358; Number of shelters that service individuals only = 12; number of shelters that service families only = 11; Number of shelters that serve both families and individuals = 21
- Total number of clients served with ESG funding = 7,737

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Elderly and Frail Elderly

Objective 2:

Increase the number of elderly and frail elderly clients served by DSS.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.
- Through the Division of Aging services, linkages among federal and state agencies, as well as community providers and consumers is strengthened. This coordination of services assists the state in identification of persons in need, as well as ensure that service are in place at the appropriate time.

Persons with Disabilities

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.

B. Annual Performance Report

ESG ANNUAL PERFORMANCE REPORT

CONTRACTOR: Area Congregations Together, Inc.-Spooner House

CONTRACT NUMBER: 037ACT-ESS-19 / 10DSS3701BE

ESG SHARE OF CONTRACT: \$35,482.00

BUDGET PERIOD: 07/01/10 – 06/30/11

DESCRIPTION OF FUNDED SERVICES:

Area Congregations Together (ACT) was established in 1979 by a group of religious leaders and lay people to help address existing gaps in the social service network in the Naugatuck Valley. Its mission is to help people help themselves. ACT is a non-profit organization that provides food, shelter, and support services to those in need. Among ACT's programs is The Spooner House, located in Shelton, a 36-bed shelter for homeless men, women, and children. The shelter is open 24 hours a day, every day of the year.

The program at Spooner House is one of the most comprehensive in the State of Connecticut. It not only provides a bed to sleep in, but also is more of a transitional facility, providing each resident with case management services that address individual needs and issues, other than just a place to sleep. ACT's mission is all-inclusive, with its main purpose to help people to restore strength; health, and ultimately the tools to help themselves.

ACT provides case management services to all adults housed at the Spooner House, an action plan is developed with the resident and weekly progress meetings help to dictate next steps and assist with prioritizing goals and steps to encourage positive results. Monthly resident meetings, facilitated by our case manager allow for healthy group discussion, and support building. Program activities included financial literacy workshops and training, through the "My Money Program", an educational program for new parents called "Mommy & Me" which encourages healthy and educational play, preventive health care, discipline and nutrition and other services.

ACT continues to work very closely with the social work staff of the VNA of SCC, to assist with supports to our chronically ill and/or homeless to facilitate best case outcomes for our residents.

NUMBER OF CLIENTS SERVED (unduplicated count): 216 persons

ADDITIONAL COMMENTS: ACT continues to utilize volunteer support on a daily basis. With the increasing budget constraints, and the rising costs associated with day to operations: utilities, employee benefits (to name a few) we regularly develop creative responses to meet needs of our residents, improve services and delivery, and to sustain any available resources.

This is truly one of the most challenging aspects of providing emergency shelter services.

Report Completed by: Liz Holcomb, August 1, 2011

ESG ANNUAL PERFORMANCE REPORT

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CONTRACTOR NAME:	Beth-El Center, Inc.
CONTRACT NUMBER (CORE /POS):	084CPC-ESS-13/10 DSS3701 EN
ESG SHARE OF CONTRACT:	\$ 49,976 24,988 ⁰⁰
BUDGET PERIOD:	July 1, 2010 - June 30, 2011
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Emergency shelter including case management, individual assessment, action plans, transportation assistance, house meetings, provision of basic toiletries, meals.	
NUMBER OF CLIENTS SERVED (unduplicated count):	91
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Toni Doian July 27, 2011	

ESG ANNUAL PERFORMANCE REPORT

CONTRACTOR: Central Connecticut Coast YMCA

CONTRACT NUMBER: 015YMC-ESS-18

ESG SHARE OF CONTRACT: ~~538,354~~ 62,515⁰⁰

BUDGET PERIOD: 07/01/010 – 06/30/11

DESCRIPTION OF FUNDED SERVICES:

Families in Transition is the only emergency shelter program for families in the City of Bridgeport. Families in Transition has the capacity to serve 110 individuals on a given night. Families have 24-hour access to the shelter program, 7 days per week, and 52 weeks per year. During the course of a year the program provides services to approximately 400-500 (men, women and children). Case management services are available within 48 hours of entry to the program. Case management services include, as necessary, but not be limited to: counseling, crisis intervention, assessment, goal planning, monitoring and encouraging client progress, assistance with obtaining housing, daily living skills training, referrals to additional community support services including treatment or other services.

NUMBER OF CLIENTS SERVED (unduplicated count): 430

ADDITIONAL COMMENTS:

In 2010, Alpha Community Services assisted 178 families through HPRP funding from becoming displaced.

ESG ANNUAL PERFORMANCE REPORT

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CONTRACTOR NAME:	Christian Community Action, Inc.	
CONTRACT NUMBER (CORE /POS):	093CCA-ESS-31/10DSS381-EC	
ESG SHARE OF CONTRACT:	\$68,325	
BUDGET PERIOD:	July 1, 2010 - June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Christian Community Action, through its Hillside Family Shelter, offers seventeen apartments (of various sizes and at two locations) for families that are homeless. For 60-90 days, families can receive case management services, workshops, vocational assistance, housing location assistance and programming for children. After they leave the Shelter, families can receive follow up services for up to 90 days.		
NUMBER OF CLIENTS SERVED (unduplicated count):	224	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Rev. Bonita Grubbs - August 3, 2011		

ESG ANNUAL PERFORMANCE REPORT

FY 20~~10~~¹⁰⁻²⁰¹¹

CONTRACTOR NAME:	Middlesex Family Shelter, a Program of Columbus House, Inc.	
CONTRACT NUMBER (CORE /POS):	093CHI-ESS-33/ 10DSS 3701EM	
ESG SHARE OF CONTRACT:	\$34,157	
BUDGET PERIOD:	July 1, 2010 – June 30, 2011	
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Middlesex Family Shelter, formerly known as the American Red Cross Family Shelter, consists of four 2-family houses in a residential area. Each duplex has two units consisting of 2 bedrooms, 2 baths, a living room and a kitchen. One of the units has been converted into case management office space for program administration and staff. We usually place one family in each of the seven units except when we might house two small families (two single moms with one small child each). The maximum number of families at any one time is usually eight.</p> <p>Our unique shelter structure gives client families privacy and allows them to maintain a sense of family during a very fragile time. Families do their own cooking and sit down to meals as a family. The area is well landscaped, has community laundry facilities in one of the basements, and all units have local phone service. Overall, Columbus House's Middlesex Family Shelter units offer a comfortable and peaceful atmosphere that is conducive to the families' need to regroup as they recover from the crisis of becoming homeless.</p> <p>The target population for our Middlesex Family Shelter program is homeless families with dependent children. Many of our client referrals come from DSS offices, DCF, local towns and school systems, 2-1-1, Middlesex Hospital and the Community Health Center. Our case management staff help clients secure daycare services, state assistance, substance abuse & mental health services, employment resources, and access to public housing.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	48	
<p>ADDITIONAL COMMENTS:</p> <p>While Columbus House's Middlesex Family Shelter continues to operate at full capacity, we see longer and longer lengths of stay. This has resulted in a reduction in the number of families and total number of people served in the year, a problem we are planning to address in our response to new Hearth Act guidelines.</p>		
Report Completed by (name and date): David Shumway 07-29-2010		

ESG ANNUAL PERFORMANCE REPORT

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CONTRACTOR NAME:	Columbus House, Inc.,
CONTRACT NUMBER (CORE /POS):	08DSS3711EM/09CHI-ESS-31
ESG SHARE OF CONTRACT:	\$ 41,092
BUDGET PERIOD:	July 1, 2011 – June 30, 2011
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Columbus House will provide emergency shelter and services for over 80 clients in the shelter located at 586 Ella T. Grasso Boulevard in New Haven. This year-round shelter offers not only shelter and transitional beds, but provides intensive case management services for all residents.</p> <p>Columbus House clients are extremely low income. In an intake conducted by a case manager, clients will reveal and discuss their financial situation in order for staff to help them with their goals around income stability that will support their move out of the shelter.</p> <p>The shelter is a temporary solution for people who are homeless, but it does give clients time to work with a case manager to define goals that will help them move toward independence.</p> <p>The primary goal for each client is permanent housing, whether that is independent housing or housing with support services attached. While this is the goal of every client, it is also the most difficult goal to achieve. People of very low income are challenged by the lack of affordable housing in the Greater New Haven area. Subsidized housing lists are closed, and if someone is lucky enough to be on the list, the wait can be months if not years.</p> <p>Columbus House will continue to provide shelter for clients who are working toward their goals, patiently waiting to find housing. The shelter is two-tiered, with the first point of entry in to emergency beds which are available through a lottery system. Because of the high demand on services, we do not have enough beds for everyone in this shelter, but will transport men to the Overflow Shelter in the winter and to Immanuel Baptist men's shelter if they did not make the lottery. When the women's beds are full, we will accommodate additional women on cots as needed, as there is no other emergency shelter for single women.</p> <p>From emergency beds, clients can then move to the "Length of Stay" program, where they will commit to working with a case manager toward identified goals to move out of homelessness. In this program, clients will have their same bed saved each day, will be able to come in to the shelter at 3pm and will be allowed to leave their belongings in the shelter during the day. Clients will be asked to pay \$3.00 per day for this program. The Columbus House mission is to provide shelter and services to people who are homeless and to foster their growth and independence. It is through the shelter and its services that this mission begins.</p> <p>Columbus House provides overnight staff for the Shelter, with two shifts: 4pm to midnight; midnight to 8am. During these hours, Residential Counselors supervise the</p>	

ESG ANNUAL PERFORMANCE REPORT

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activities of the clients, conduct intakes on all new clients, provide crisis management/intervention, and support volunteers. On the busiest shift from 4pm to midnight, there are 4 staff, plus the Shelter Coordinator who is on site to provide supervision and direction. The midnight to 8am shift has 3 staff who stay awake throughout the night to monitor clients and ensure the security and safety of the building... Case management services are offered during the day, and clients will have scheduled appointments to meet with their case managers at least once a week. Each evening, a volunteer group provides dinner for all clients in the shelter. These groups include members of the faith community, families, schools and civic organizations. Some of our meal serving groups have been cooking and serving dinner to the clients since we opened the shelter in 1982. This stalwart group of volunteers provides over 100,000 meals each year, and saves Columbus House well up to \$70,000 annually in food costs and staff time.

The Columbus House shelter is funded in part by the CT Dept of Mental Health and Addiction Services, the CT Dept of Social Services, the Veterans Administration, and through private grants and contributions. This past year, we have been the target of several budget cuts, and anticipate further cuts in state contracts in FY 11.

Columbus House is part of the larger service system in New Haven, and is involved in a number of formal and informal networks of care. Through this network, the case managers are able to provide referrals to agencies across this system to assist their clients with myriad needs. Referrals are made to the following:

Housing: Public Housing through local Housing Authorities; Shelter –Plus-Care program (DMHAS); Liberty Community Services; Leeway; Casa Otonal; sober housing; private landlords

Substance Abuse Treatment: Cornell Scott Hill Health Center (multiple programs); APT Foundation; Stonington; CVH; CAASA; SATU/CMHC.

Mental Health Treatment: CMHC; YPI; Cornell Scott Hill Health Center; Catholic Family

Employment: APT Vocational Services; Easter Seals Goodwill Industries; Project WAGE; STRIVE; One-Stop Centers

NUMBER OF CLIENTS SERVED (unduplicated count):	793
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ESG ANNUAL PERFORMANCE REPORT

FY 20XX

ADDITIONAL COMMENTS:

Report Completed by (name and date): Radhika Potluri 8/3/2011

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CONTRACTOR NAME:	Community Renewal Team, Inc.
CONTRACT NUMBER (CORE /POS):	064C-ESS-17 / 10DSS3711EW
ESG SHARE OF CONTRACT:	\$17,175 34,350
BUDGET PERIOD:	July 1, 2011 – June 30, 2011
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>CRT’s East Hartford Community Shelter provides 40 beds (16 beds for men and 24 beds for families and single women) of decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years old or emancipated minors, and families. The Emergency Shelter is a facility that meets the basic needs for food and shelter on an emergency and short-term basis. Eligible consumers are homeless individuals, at least 18 years old or emancipated minors and/or families. Services provided by CRT are designed to increase consumers’ stability, skill levels and self-determination with an ultimate goal of helping people with long-term appropriate housing.</p> <p>CRT provides case management services to all clients of the shelter. The case management services include, as necessary, but not be limited to: counseling, crisis intervention, assessment, goal planning, monitoring and encouraging client progress, assistance with obtaining housing, referrals to additional community support services including treatment or other services.</p> <p>Activities – CRT performs the following activities to support the above services:</p> <p>Individual assessments: CRT collects basic information regarding each client through the initial intake process. Information includes, but not be limited to, age, marital status, family size, race, ethnicity, major source of income, reason for loss of housing, length of homelessness, rental/home ownership history, employment status, education history, history of substance abuse, and mental and physical health. This information is the basis for an assessment of the clients’ needs and the development of an Action Plan with the client, as described in the next paragraph.</p> <p>Action Plans: CRT develops a mutually agreed upon action plan with the client, as a result of the individual assessment. The Action Plan is used to identify the actions necessary to meet the clients’ needs and establish such goals as permanent housing, access to health care, mental health care and addiction services, and other social and treatment services.</p> <p>Workgroups: CRT provides at least one workgroup (weekly, biweekly, etc.) for clients covering topics that may include but not be limited to: budgeting, parenting skills and nutrition, as needed based upon the Action Plan.</p> <p>Provision of basic toiletries: CRT provides clients with clothing, shower, laundry facilities and basic toiletries including but not limited to shampoo, soap, deodorant, toothbrush and toothpaste.</p> <p>Food: CRT provides 3 meals per day (breakfast, lunch and dinner) to shelter residents.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	418

ESG ANNUAL PERFORMANCE REPORT

FY2011

ADDITIONAL COMMENTS:

Report Completed by (name and date): Mercedes M. Soto, Housing Services Director 8/8/2011

ESG ANNUAL PERFORMANCE REPORT

2010 - 2011

CONTRACTOR NAME:	Family & Children's Aid, Inc.
CONTRACT NUMBER (CORE /POS):	034-FCA-ESS-02
ESG SHARE OF CONTRACT:	\$ 38,436
BUDGET PERIOD:	July 1, 2010 – June 30, 2011
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>FCA is a community based not-for-profit organization that focuses on the mental health and community welfare of children and families. Harmony House is much more than a shelter for homeless mothers and their children. The wide array of services FCA provides to the mothers and children in our community through our over 23 programs makes Harmony House a stop along the way in making the lives of these children and families successful and healthy.</p> <p>Harmony House is staffed with a Program Director/ Case Manager, direct care staff and an FCA agency Program Supervisor who supervises the Director and provides oversight to the program. The Director is on-call 24 hours a day and is responsible for the daily management of the home and residents. Staff work very closely with the families to address and respond to the most common barriers of housing and employment. Staff are responsible to monitor the operation of the home during their shift, provide supportive help to the residents, and maintain client files and daily documentation. The workers provide a critical role in assisting the mothers in achieving the goal of keeping their family together and prospering. While the residents are living with us they also receive a great amount of supportive and case management services. Harmony House residents progress well in our program. Individualized treatment plans have been attained by 95% of the residents and 75% of the residents attained permanent housing. Our goal is to work closely with other area social service agencies in order to eliminate duplication of services. Harmony House is unique in that it is a shorter term program than the other mothers and children's home, Amos House, to whom we at times refer clients who need a longer period of stabilization. Harmony is also different form the other mothers with children shelter, Elizabeth House run by the Women's center, in that we are not a domestic violence safe house.</p> <p>Harmony House continues to work successfully with the mothers on individualized service plans and goals. Harmony House families attended weekly parenting classes, weekly house meetings, and were the recipients of many volunteer and donated services. Harmony House also has an aftercare program which was active with 30 aftercare families who received food and hygiene packages and are supported by staff. Residents had formal meetings with their case manager weekly and are reviewed monthly by the Program Director, as well as daily individual contact with all of the Harmony House staff.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	30

ESG ANNUAL PERFORMANCE REPORT

~~2011~~

ADDITIONAL COMMENTS: Although United Way funding will not decrease this year, the women's shelter continues to struggle financially due to previous reductions. This has required us to look at making some fiscal changes to alleviate this situation. We will most likely have to increase the rent for moms.

Report Completed by (name and date): Valerie Ventura-Saadi, LCSW 7/25/11

ESG ANNUAL PERFORMANCE REPORT

FY ~~20XX~~ 2010 - 2011

CONTRACTOR NAME:	Friendship Service Center of New Britain, Inc.	
CONTRACT NUMBER (CORE /POS):	089-FSC-ESS-35 10DSS3701KQ	
ESG SHARE OF CONTRACT:	\$33,666	
BUDGET PERIOD:	July 1, 2010 – June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Provides 22 emergency shelter beds for homeless single adults 18 years of age and over and 15 emergency shelter beds for homeless families with heads of households 18 years of age and over. The program provides services 24 hours a day, 7 days a week, 365 days a year.</p> <p>Services provided include: three meals a day, laundry, basic toiletries, clothing, transportation assistance, housing services, case management including intake assessments of needs and development of case action plans, referrals to substance abuse treatment, mental health counseling, health services, parenting classes, money management, educational, vocational and employment services as well as other services.</p> <p>House meetings and workshops are conducted to address concerns and meet the needs of the client. Annual program evaluation by the clients is facilitated by members of the Board of Directors.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	364	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Ellen Simpson, 8/10/11		

ESG ANNUAL PERFORMANCE REPORT

FY 2010-11

CONTRACTOR NAME:	Immaculate Conception Shelter and Housing Corporation
CONTRACT NUMBER (CORE /POS):	064IC-ESS-31/08DSS3711NV
ESG SHARE OF CONTRACT:	\$ 26,288
BUDGET PERIOD:	July 1, 2010– June 30, 2011
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Immaculate Conception Shelter and Housing Corporation provides 60 beds of emergency shelter purposes at 560 Park Street, Hartford CT. In addition to two meals, full baths, and a bed, case management services are offered to all men 18 and over. The shelter operates from 4pm to 8am daily, from October to mid May. At least 80 individuals per night have been provided services during this period. From Mid May through September, a summer respite program has continued the shelter season year round for up to 60 elderly and medically fragile homeless individuals.</p> <p>During the reporting period the shelter also collocated an additional 45 No Freeze beds at this location on a separate floor. Some highlights for the year - during one stretch in January the shelter was open 24/7 for ten straight days due to the cold and snow. In the October, 2010, we housed over 25 men from the Hartford Continuum into our new Permanent Supportive Housing property at 168 Hungerford Street. In addition, other men were housed through our other programs and other providers such as the FUSE project.</p> <p>This report covers the 60 DSS beds only. Please see comments below concerning ICSHC efforts with CCEH to simplify our shelter programs into either DSS or No Freeze. An explanation of this effort follows under ADDITIONAL COMMENTS.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	228
<p>ADDITIONAL COMMENTS: This report only covers homeless men served under our DSS contract. In the near future we will be simplifying the classification of our shelter program. For example, traditionally we receive funding from HFPG to expand the number of shelter beds during the season to 60 to 80. We used to track separately, now we will combined, using any additional resources to expand service provision under the DSS contract. This will allow for a more accurate reporting of the leveraging effect that DSS/ESG funds afford.</p> <p>We will also start to report summer programming as DSS slots next year. No Freeze operations will continue to be segregated, as this program's operation is much more atypical of the DSS supported shelter operations.</p>	
Report Completed by (name and date): Fred Lynn, 8/3/11	

ESG ANNUAL PERFORMANCE REPORT

FY 2010 - 2011

CONTRACTOR NAME:	Life Haven, Inc.	
CONTRACT NUMBER (CORE /POS):	093LHI-ESS-19 / 10DSS3701PX	
ESG SHARE OF CONTRACT:	\$0.00 49,883 ⁶⁰	
BUDGET PERIOD:	July 1, 2010- June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<ul style="list-style-type: none"> (i) Day-to-day emergency shelter management and administrative services; (ii) Accounting and related financial support services; (iii) The provision of documentation, including, but not limited to, financial records and reports on a quarterly basis in a format agreed upon by the parties; (iv) All services related to the maintenance and retrieval of emergency shelter client records; (v) All scheduling services for the assistance of emergency shelter clients; (vi) The collection, maintenance and reporting, on a monthly basis and in a format agreed upon by the parties, of all data necessary to evaluate the Program as required and in accordance with the underlying Contract Agreement; (vii) Coordination and cooperation with other subcontractors for the implementation of the Program; (viii) The provision of space to deliver vocational counseling and support group services to emergency shelter clients and (ix) The development of new services to be integrated into the Program. 		
NUMBER OF CLIENTS SERVED (unduplicated count):	199	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Elizabeth Hilton August 12, 2011		

ESG ANNUAL PERFORMANCE REPORT

2010 - 2011

CONTRACTOR NAME:	Manchester Area Conference of Churches		
CONTRACT NUMBER (CORE /POS):	077MAC-ESS-26/10DSS3711QP		
ESG SHARE OF CONTRACT:	\$32,897		
BUDGET PERIOD:	July 1, 2010 – June 30, 2011		
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)			
<p>We are a forty bed shelter with ten women’s beds and thirty men’s beds. We provide a safe place to sleep and three meals a day, seven days a week. The goal of our shelter is to provide basic necessities while a person gets back on their feet. We are a clean and sober shelter. We supply free clothing for guests in need. Our guests have accessed the services of the local DSS office for medical insurance, and Supplemental Nutrition Assistance Program (SNAP) qualification.</p> <p>Case management is offered to each guest at the shelter. This includes, but is not restricted to, needs assessment, crisis intervention, goal planning, monitoring, and encouraging guest’s progress. Individual assistance plans are created with the guests who stay more than 2 nights and they are monitored carefully. Case management work is shared by the shelter floor managers and the case workers. Shelter floor managers provide a unique view of the guests since they are the people who spend the most time with them. Some of the best input from the guests is given at the entry and exit time of the shelter each day. We want our guests to have open access for case management as needed. For shelter guests seeking employment, treatment or housing there is telephone access and tokens or day passes are given for travel needs when available.</p> <p>Our shelter continues to have access to a mental health clinician at least 18 hours a week through CHR. This provides timely and effective assessment and treatment of our guests’ mental health issues. The benefits of on site assessment cannot be over stated. It helps the guests and the staff to have access to mental health expertise right on site. Guests who come into the shelter that already are being treated for mental health issues we try to keep with their present provider rather than start from scratch. Duplication of efforts is not our goal.</p>			
NUMBER OF CLIENTS SERVED (unduplicated count):		551	
ADDITIONAL COMMENTS: Our shelter continues to work hard at providing basic needs without supporting a sense of entitlement. We communicate constantly and continue to hold bi-weekly house meetings to make sure we are all on the same page. There are more people coming to the shelter yet the stays are not as long as in the past. Part of this is due to a concerted effort to help people help themselves.			
Report Completed by Sarah T. Melquist July 12 th 2011			

ESG ANNUAL PERFORMANCE REPORT

FY 2010 - 2011

CONTRACTOR NAME:	New Opportunities Inc., Shelter NOW, 43 St. Casimir Dr., Meriden	
CONTRACT NUMBER (CORE /POS):	151C-ESS-11	
ESG SHARE OF CONTRACT:	\$ 71,204	
BUDGET PERIOD:	July 1, 2010 – June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Shelter NOW (New Opportunities, Inc.) will provide case management and counseling services to all homeless individuals living at the shelter. All shelter residents will receive services including, but not limited to, residential and outpatient mental health treatment; medical referrals; housing; employment assistance; vocational training; coordination of community service, substance abuse treatment, domestic violence abuse counseling; family counseling; educational; financial counseling; child care; security deposit; and rental assistance programs. Shelter NOW is an emergency shelter that houses men, women, and women with children. The shelter is in operation 365 days per year, 24 hours per day.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	228	
ADDITIONAL COMMENTS:		
<p>Report Completed by (name and date): Maria Wagner 8/11/11</p>		

ESG ANNUAL PERFORMANCE REPORT

2010-2011

CONTRACTOR NAME:	Norwalk Emergency Shelter, Inc.		
CONTRACT NUMBER (CORE /POS):	08DSS3701UC		
ESG SHARE OF CONTRACT:	\$54,695		
BUDGET PERIOD:1/1/11-12/31/11	July 1, 2010 - June 30, 2011		
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)			
<p>During the budget period July 1, 2010 to June 30, 2011, we provided 44,939 bed nights for the homeless, served 200,727 meals to the impoverished from our soup kitchen, supplied 470,725 meals from our food pantry, and granted 7,154 needy individuals clothing from our Clothing Room. Additionally, through our Case Management Services Program, daily living skills training, crisis intervention, goal planning, housing assistance, referrals to additional community support services, educational guidance, employment training, transportation, and shower and laundry amenities were provided to 2,348 homeless and working poor individuals and families. Other Shelter accomplishments included assisting 20 homeless families to obtain permanent housing through our Beyond Shelter Program. Furthermore, through our Permanent Supportive Housing Program; which is funded by U.S. Department of Housing and Urban Development 7 chronically homeless individuals with special needs were provided housing as well as support services to ensure housing retention. In addition, via our 13 apartment complex (4 units are for HUD eligible individuals), and apartment buildings, affordable housing opportunities were readily available for 20 low-income earning individuals.</p>			
NUMBER OF CLIENTS SERVED (unduplicated count):	584		
ADDITIONAL COMMENTS:			
Report Completed by (name and date): Chukwuemeka Okwuosa July 18, 2011			

ESG ANNUAL PERFORMANCE REPORT

FY 2010 - 2011

CONTRACTOR NAME:	The Open Hearth Association, Inc.
CONTRACT NUMBER (CORE /POS):	10DSS3701UI-21
ESG SHARE OF CONTRACT:	\$37,767
BUDGET PERIOD:	July 1, 2010 – June 30, 2011
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>The Open Hearth Association operates a 25 bed Emergency Shelter 7 days a week, 52 weeks per year. The hours of operation are 3:30pm until 7:00am each day. Each shelter guest is provided with shower facilities, laundry facilities, dinner and breakfast each day. Basic toiletries are provided for each guest as well. Shelter guests are also given tokens to get to their appointments and work. Those shelter residents who are employed can be given a bagged lunch as well.</p> <p>The Open Hearth Emergency Shelter provided services to 558 unduplicated men during this reporting period. The population served was men who were 18 years of age or older. There were 153 white men at (27%), 259 black men (46%), 123 Hispanic men (22%), 22 Asian Pacific men (.04%) and 1 American Indian/Alaskan Native man (.01%).</p> <p>The Shelter Manager and the Clinical Case Manager provide resources and referrals with respect and consistency, keeping in mind our guests' integrity. In the past year there has been an increased focus on offering recovery to our guests. Within the context of the caring and stable relationship the homeless man may often increase his trust levels, and motivation to seek employment, housing or mental health services.</p> <p>The Clinical Case Manager meets with the shelter guests soon after they are admitted into the shelter. His primary responsibility is to help them access resources in the community so that they can obtain appropriate housing, clothing, medical care and mental health services. The Clinical Case Manager teaches once or twice a month life skills classes directly to the homeless men in the shelter. The classes address such issues as recovery from substance abuse and options for low cost housing, transitional living programs, and supportive housing programs in the Hartford area. The topics of the presentations include also issues relevant to mental illness and treatment resources for persons with mental illness in the community. This is a part time (20 hours per week) position that is funded through a grant written by My Sister's Place in Hartford.</p> <p>The Shelter Manager and Shelter Monitors maintain and supervise all the operations within the Emergency Shelter. The Shelter Manager and Shelter Monitors accomplish the following tasks:</p> <ol style="list-style-type: none"> 1. Assign beds to new persons admitted to the shelter. 2. Complete intake data forms for new residents and enter data into the HMIS data base. 3. Keep connected to greater community to be aware of new opportunities for our guests. 4. Maintain client files to agency guidelines. 	

ESG ANNUAL PERFORMANCE REPORT

FY 2011

5. Monitor the intake of medications.
6. Supervise the cleanliness of the shelter.
7. Monitor that all residents bathe daily.
8. Refer residents to the nurse or the Clinical Case Manager as needed.
9. Maintain records for the shelter.
10. Resolve any difficulties for residents in the shelter.
11. Maintain security and safety for the shelter and.
12. Supervise the laundry including the shelter guests personal laundry as well as the shelter linens.

NUMBER OF CLIENTS SERVED (unduplicated count):

558

ADDITIONAL COMMENTS:

The Emergency Shelter remained open during all state and federal holidays as well as Christmas Eve and New years Eve. The emergency shelter was open full days for much of the winter during this past extremely harsh winter. The hours of operation were extended in compliance with the Governor's No-Freeze unfunded mandate.

The Open Hearth Emergency Shelter limits the length of stay in the shelter to two weeks. As a result, there is insufficient time for the Clinical Case Manager to help the homeless residents obtain permanent housing or supportive housing. We are evolving our extension policy prudently to best meet guests' needs around housing, and healthcare. The process of locating and securing permanent, affordable housing can take several months.

Report Completed by (name and date): Gina Vanaria, 8/3/2011

ESG ANNUAL PERFORMANCE REPORT

FY 2010 - 2011

CONTRACTOR NAME:	Operation Hope of Fairfield, Inc.	
CONTRACT NUMBER (CORE /POS):	0510HF-ESS-24/10DSS3711UJ	
ESG SHARE OF CONTRACT:	\$24,440	
BUDGET PERIOD:	July 1, 2010 - June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Operation Hope operates sheltering and feeding programs through our Shelter for Men, Shelter for Women, Shelter for Families and Community Kitchen. Clinical case management services provided to each shelter guest are geared toward increasing each person's stability, self-reliance, and readiness for housing. Work is focused on personalized goals with the hope of obtaining more permanent housing. Typical goals include compliance with mental health/addiction treatment, applying for benefits when eligible and seeking employment. Families are offered additional supports for their children, including behavioral/mental health assessments, medical treatment, and working with schools. Shelter guests receive career counseling and basic job training, including access to computer labs for job searching, resume writing, and skill building. Clients also receive crisis intervention help and lease signing services. Life Skills Coaches assist guests in personal hygiene, self-advocacy, transportation access, medication, budgeting and money management, and coping and interpersonal skills. We have successfully developed and implemented a Work Readiness Program in connection to the Town of Fairfield Department of Public Works where clients gain work experience, employable job skills, increased self-esteem, references, and a small stipend as income for their participation in the Program.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	147 people	
ADDITIONAL COMMENTS:		
<ul style="list-style-type: none"> • Again this year we partnered with Public Allies to staff the Shelter Intake Specialist position who handles the receptionist duties, as well as the intake and screening of those calling for shelter services and managing the shelter waitlist. This financially responsible staffing solution has proven to be a great working relationship and we feel it continues to be a great fit for both organizations. • We have created and implemented an "Opportunity Contract" document that solidifies the agreement between clients and staff that individual goals will be actively worked on during their stay in our shelter. The understanding is that staff will provide the client with opportunities to success and the client will utilize each opportunity to make positive change in their lives. This has provided many of our guests with the motivation to consistently work on and meet their goals and is seen as an effective tool in our program. 		
Report Completed by (name and date): Liz Bennett, Grants Manager 7/29/11		

ESG ANNUAL PERFORMANCE REPORT

FY 2010-2011

CONTRACTOR NAME:	Recovery Network of Programs, Inc.	
CONTRACT NUMBER (CORE /POS):	015 RNP AID 11 / 07DSS360 10DSS3961V4	
ESG SHARE OF CONTRACT:	\$ 54,698 ⁰⁰	
BUDGET PERIOD:	July 1, 2010 - June 30, 2011	
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Prospect House is a 32 bed shelter for homeless men and women 18 years of age and older; we are operational 24 hours a day, seven days a week. Prospect House provides three nutritional meals a day, and is the only non-faith based shelter for homeless individuals in the Bridgeport area. It is our mission to address the needs of the homeless such as food, clothing, medical, unemployment, vocational, legal, mental health, substance abuse, and housing. Prospect House collaborates with community organizations and makes referrals as needed.</p> <p>Prospect House provides intensive client centered case management services. Our goal is to enhance the level of skills our consumers need to successfully obtain and maintain housing. Prospect House offers in-house early recovery groups, self help meetings, evidence based seeking safety trauma recovery groups, relapse prevention meetings, life skills groups, including budgeting, resume writing, job search, interviewing techniques and stress management. Prospect House also provides on-site medical and psychiatric screenings.</p> <p>Prospect House offers on-site HIV education and case management services, as well as operates a six unit scattered site apartment program for clients living with HIV.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	180	
<p>ADDITIONAL COMMENTS:</p> <p>Prospect House is always at capacity.</p>		
Report Completed by (name and date): Maria Lutz, SCCD 7/27/11		

ESG ANNUAL PERFORMANCE REPORT

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CONTRACTOR NAME:	Shelter for the Homeless, Inc.
CONTRACT NUMBER (CORE /POS):	98 DSS3701XE/135SHI-ESS-36
ESG SHARE OF CONTRACT:	\$ 97,019
BUDGET PERIOD:	July 1, 2010 – June 30, 2011
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>We provide emergency shelter and two meals daily (breakfast, dinner) for 67 men, 18 years and older.</p> <p>In addition to emergency shelter (ES) we provide a year round drop-in program which serves as a respite from the street and is opened to men and woman, 18 years or older. The drop-in operates from 8 am to 9pm daily; at 5pm we ask all non-residents to leave the shelter. The drop-in provides case management services to clients who participate in either the Emergency Shelter or Drop-In Day Program.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	488
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Jerome H. Roberts, Assoc. Director July 7, 2011	

ESG ANNUAL PERFORMANCE REPORT

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CONTRACTOR NAME:	South Park Inn, Inc.
CONTRACT NUMBER (CORE /POS):	10DSS3701XM
ESG SHARE OF CONTRACT:	\$ 38,167 76,332 ⁰⁰
BUDGET PERIOD:	July 1, 2010 - June 30, 2011
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>Emergency Shelter – During the period, South Park Inn served 1320 people in our Emergency Shelter, including 112 children. The total number of bed nights provided was 31,025. South Park Inn has seen an increased trend that women with children are staying longer in the shelter because it is increasingly difficult to find affordable housing and many housing subsidy programs are closed to new entrants. On the average, individuals that are substance abusers account for 22% of the shelters population. Around 29% of the shelter population is severely mentally ill. All clients are provided the assistance of a case manager and required to actively work to the best of their ability on beginning to deal with the circumstances that led them to become homeless.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	1320
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Mary Lusso 08/09/2011	

ESG ANNUAL PERFORMANCE REPORT

FY 2010 - 2011

CONTRACTOR NAME:	St. Luke's Community Services, d.b.a. St. Luke's LifeWorks
CONTRACT NUMBER (CORE /POS):	10DSS3901YF
ESG SHARE OF CONTRACT:	\$ 52,856 ⁰⁰
BUDGET PERIOD:	July 1, 2010 - June 30, 2011
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>St. Luke's Community Services d.b.a, St. Luke's LifeWorks provides services for single individuals (Women's Housing Program) and families with children (Family Housing Program). Our services are provided twenty four hours per day, seven days per week. These services include case management for each individual. An assessment is completed as part of the initial intake process and a case plan is developed and agreed upon between the case manager and the client. Educational programs are offered for the children through our Director of Youth Services who is a direct liaison between the children, parent and public or private school system. We provide after-school programs i.e., homework helpers, one-on-one tutoring and educational field trips that include the parents. Job placement assistance is provided to adults through our Employment Program, and include an array of workshops, i.e. resume writing, interview preparation, job searching, budgeting, career development, and housing. Each workshop is geared toward preparing our clients to gain and maintain employment and live independently. The Women's Housing Program provides two meals per day Monday-Friday and three meals per day on the weekends. Basic toiletries are available for all participants. The Family Housing Program has a food pantry to help supplement meals, toiletries and laundry detergent. Both programs have monthly House Meetings to keep clients abreast of what's going on in the programs and to ensure they have an opportunity to voice any concerns they might have. This program year, we served 45 families, 64 adults, 54 children and 123 single females.</p> <p>We have many new initiatives taking off this year, including the opening of our Youth Center for the children of our Family Housing Program that will provide homework help, structured activities including physical fitness and a healthy snack.</p> <p>Another major initiative taking off this year is our Jumpstart Education & Employment Program. The <i>Jumpstart</i> Education & Employment Program serves participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness.</p> <p><i>Jumpstart</i> is the cornerstone of St. Luke's LifeWorks' fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, <i>Jumpstart</i> is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates "splitting" (when clients play off caseworkers against each other). Additionally, St. Luke's LifeWorks provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, Retention Specialist, and Youth Services Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	239

ESG ANNUAL PERFORMANCE REPORT

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ADDITIONAL COMMENTS:

New Program Manuals and Case Note templates will be utilized in the next fiscal year. The new manuals will serve to more clearly outline the functions of the program and the various expectations of the program participants. The new case note templates used going forward will better identify the various services being provided and better outline what is accomplished in each meeting to work towards the goals of the participant case plan.

We have a new Program Manager for the Family Housing Program. Lynda Bernacki joins with a wealth of experience and Master's Degrees in both Psychology and Art Therapy. She's managed multiple programs in the past and has worked with mental health consumers, HIV/AIDS population, and, of course, families.

We have also hired on a new Program Manager of Housing Coordination, Employment Retention, & Housing Retention. Sheila Schimmel joins us with great experience and a Master's Degree in Counseling/Consulting Psychology. Coming primarily from the HR field, she brings significant experience in the realm of employment retention to the position.

Report Completed by (name and date): Noel Kammermann, Chief Program Officer, August 10, 2011

ESG ANNUAL PERFORMANCE REPORT
FY 20XX

CONTRACTOR NAME:	ST. VINCENT DEPAUL MISISON OF BRISTOL, INC.	
CONTRACT NUMBER (CORE /POS):	10DSS3901YK / 017SVD-SDU-01	
ESG SHARE OF CONTRACT:	\$ 274,870.00 26,987	
BUDGET PERIOD:	July 1, 2010 – June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Emergency Shelter – The Contractor shall provide decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years or older or emancipated minors and families (“residents”).</p> <p>Case Management – The Contractor shall provide case management services to all residents of the shelter. The case management services shall include, as necessary, but not be limited to: counseling, crisis intervention, assessment, goal planning, monitoring and encouraging resident progress, assistance with obtaining housing, referrals to additional community support services including treatment or other services. Also, the Contractor may provide use of a guest telephone, job readiness training, education/employment assistance, income management, and daily living skills training.</p>		
		197
ADDITIONAL COMMENTS:		
Report Completed by (name and date): <i>Linda Kerr</i>		

ESG ANNUAL PERFORMANCE REPORT

FY 20~~10~~¹⁰⁻²⁰¹¹

CONTRACTOR NAME:	St. Vincent DePaul Mission of Waterbury, inc.	
CONTRACT NUMBER (CORE /POS):	151 VDP ESS 47/ 10DSS3701YJ	
ESG SHARE OF CONTRACT:	\$93,504.00 82,740	
BUDGET PERIOD:	July 1, 2010 – June 30, 2011	
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>The Shelter Program provides decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years old or emancipated minors, and families (“clients”). The Emergency Shelter is a facility that meets the basic needs for food and shelter on an emergency and short-term basis. Eligible consumers are homeless individuals, at least 18 years old or emancipated minors and/or families. Services provided by the shelters are designed to increase consumers’ stability, skill levels and self-determination with an ultimate goal of helping people with long-term appropriate housing. Clients are not charged a fee nor are they denied access to shelter for anything less than good cause.</p> <p>The Shelter is open to Families with children 24 hrs/day 7 days a week 52 weeks per year. Physically Disabled persons may remain in The Shelter 24/7 if they choose to do so. Single Adults must leave the Shelter by 10:00AM (unless of inclement weather conditions) and return by 7:30 PM , 5:00PM in the Winter.</p> <p>The Shelter Provides 24 hour supervision with 3 Night Staff on duty from 8:00PM to 8:00AM, Day Time supervision is provided by case managers and other staff on duty.</p> <p>Each client receives pajamas, socks, towel and personal items upon original entry to The Shelter and as needed.</p> <p>A cold Breakfast and hot supper is provided daily.</p> <p>The Shelter provides case management services to all clients of the shelter.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	891	
<p>ADDITIONAL COMMENTS: Please Take notice.</p> <p>The number of clients serviced during this period reduced from last year (924). However the number of Families 69 with 141 children increased compared to 61 families with 124 children. Families require more of our services and resources than single adults.</p>		
Report Completed by (name and date): Paul Iadarola 07/05/2010		

ESG ANNUAL PERFORMANCE REPORT

2010 - 2011

CONTRACTOR NAME:	Thames Valley Council for Community Action, Inc.		
CONTRACT NUMBER (CORE /POS):	104C-ESS-23		
ESG SHARE OF CONTRACT:	\$72,681		
BUDGET PERIOD:	July 1, 2010 – June 30, 2011		
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)			
<p>The TVCCA Shelter for Homeless Families is a 45-bed facility serving families with a least one minor child. The shelter provides a home and hope to families in crisis. There are three meals per day plus snacks served to residents. There is a Shelter Services Coordinator on staff to assist residents with any concerns, from the search for permanent housing to registering their children in school. Residents are assisted with housing searches, obtaining furniture, enrolling children in Head Start and signing up for other benefits such as the WIC program. There is a group of Foster Grandparents who visit the shelter each day to provide role models for young parents and to lend a sympathetic ear to anyone in need. There is also a Birth to Three parenting class at the shelter. Clients attend weekly residents' meeting to discuss any issues concerning shelter life. In collaboration with the Connecticut Department of Mental Health and Addiction Services, a mental health case manager visits the shelter weekly.</p> <p>The primary objective of the shelter is to provide emergency housing and food on a 24 hour-per-day basis. Shelter users primarily come from towns in New London and Windham Counties. Personal health items are provided as well as necessary clothing to enable clients to maintain basic personal hygiene. There are laundry facilities at the shelter. The shelter is 100% accessible.</p> <p>Recently the shelter has been the recipient of a few small grants to assist residents to quickly move out of the shelter and back into the larger community.</p>			
NUMBER OF CLIENTS SERVED (unduplicated count):	248		
ADDITIONAL COMMENTS:			
<p>We have served more people this year than last. Thanks to HPRP and an additional grant from the City of Norwich, we have been able to assist residents to return to their community of origin much faster than in the past. The resources provided by these grants have been and continue to be an invaluable tool in re-housing families.</p>			
Report Completed by (name and date): Lisa L. Shippee and Ida Parker July 19, 2010			

ESG ANNUAL PERFORMANCE REPORT

FY 20~~XX~~10-2011

CONTRACTOR NAME:	Tri-Town Shelter Services, Inc.	
CONTRACT NUMBER (CORE /POS):	10DSS3702AO	
ESG SHARE OF CONTRACT:	\$13,134.00 26,267	
BUDGET PERIOD:	July 1, 2010 - June 30, 2011	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>We are a fifteen bed emergency shelter serving homeless families and individuals. In addition to shelter services, we also provide comprehensive case management and support services that address the contributing factors resulting in one's homelessness. Every client meets weekly with our Service Coordinator to define an Individualized Service Plan. To ensure follow-through, advocacy and referral services are available to each client.</p> <p>In an effort to promote a clients self-resiliency, self-regulation, and self-determination, we utilize numerous local service providers, as well as offering a wide range of adult daily life skills. Internally, we offer a closed, 12-Step recovery meeting and also have numerous community-based facilities that offer 12-step meetings as well. We also have a multi-purpose room that houses our computer lab, resource library, and serves as both a conference room for case management reviews and confidential counseling. Finally, there are numerous assessment tools in the multi-purpose room that assist clients in their recovery path, mental health challenges, workforce readiness and educational competencies.</p> <p>We are a member of the Vernon Community Network, a collaborative of over 30 community-based organizations representing the employment, educational, and service sectors.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	112	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Pieter Nijssen July 1, 2011		

2010 - 2011 Emergency Shelter Grant (ESG) Funds - Interim/Final Report

<u>State Recipient (Subgrantees)</u>	<u>Renovation, Rehabilitation or conversion</u>	<u>Homeless Prevention</u>	<u>Essential Services</u>	<u>Operations</u>	<u>Administrative</u>	<u>Total</u>
Area Congregations Together - Spooner House		\$3,000.00	\$10,644.00	\$20,064.00	\$1,774.00	\$35,482.00
Beth El Center				\$24,988.00		\$24,988.00
Central CT Coast YMCA			\$18,012.00	\$44,503.00		\$62,515.00
Christian Community Action				\$65,227.00	\$3,098.00	\$68,325.00
Columbus House				\$41,092.00		\$41,092.00
Columbus House - formerly Red Cross				\$34,157.00		\$34,157.00
Community Renewal Team, Inc.			\$7,356.00	\$26,994.00		\$34,350.00
Family & Children's AID				\$38,436.00		\$38,436.00
Friendship Service Center				\$33,666.00		\$33,666.00
Immaculate Conception				\$26,288.00		\$26,288.00
Life Haven			\$4,988.00	\$44,895.00		\$49,883.00
Manchester Area Conference of Churches				\$32,897.00		\$32,897.00
New Opportunities - Shelter NOW			\$21,361.00	\$49,843.00		\$71,204.00
Norwalk Emergency Shelter				\$54,695.00		\$54,695.00
Open Hearth			\$7,767.00	\$30,000.00		\$37,767.00
Operation Hope			\$2,220.00	\$21,000.00	\$1,220.00	\$24,440.00
Regional Network of Programs				\$54,698.00		\$54,698.00
Shelter for the Homeless			\$29,106.00	\$67,913.00		\$97,019.00
South Park Inn			\$22,899.00	\$49,617.00	\$3,816.00	\$76,332.00
St. Luke's Community Services				\$52,856.00		\$52,856.00
St. Vincent DePaul, Bristol				\$26,987.00		\$26,987.00
St. Vincent DePaul, Waterbury			\$24,822.00	\$53,781.00	\$4,137.00	\$82,740.00
Thames Valley Council Community Action, Inc.				\$72,681.00		\$72,681.00
Tri-Town Shelter Services			\$7,880.00	\$18,387.00		\$26,267.00
STATE ADMINISTRATION FUNDS (HMIS)					\$5,605.00	\$5,605.00
		\$ 3,000.00	\$ 157,055.00	\$ 985,665.00	\$ 19,650.00	\$ 1,165,370.00

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2011

This is the State of Connecticut's 2011 Executive Summary for Housing Opportunities for Persons with AIDS.

1. Date of Executive Summary update: 8/17/2011
2. Grantee Name: Department of Social Services
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Social Services (DSS) administers Connecticut's HOPWA formula grant for the balance of state.

DSS works collaboratively with the CT AIDS Resource Coalition (CARC) that receives a DSS contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DSS staff representatives and the staff of CARC. With the partnership of CARC and the local providers, DSS is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut. Also during Program Fiscal Year 2010 - 2011, DSS conducted monitoring visits, utilizing the required HUD Exhibits for the 2 HOPWA recipients funded during this period.

In administering its \$5.5 billion dollar agency budget, DSS allocated a total of \$5,025,811.00 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In Program Fiscal Year (PYR) 2011 Department of Social Services received \$286,319.00 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2010 to June 30, 2011. It is a "balance of state" program that served 24 unduplicated persons with HIV/AIDS and their families through agreements between DSS and two non-profit organizations located in the Middlesex and Litchfield Counties, Connecticut.

Starting this program year, DSS added contractual language to require Homeless Management Information System (HMIS) utilization. It is projected that next year, the CAPER will be submitted from information generated from CTHMIS.

6. Formula Program Accomplishments

DSS and CARC carried out the following activities during the Program Fiscal Year 2011:

- DSS awarded contracts starting July 1, 2010 through a competitive procurement process. Successful candidates provided HOPWA funded – housing assistance & support services in Litchfield and Middlesex Counties, to the target population. The Project Sponsors, provided scatter-site apartments with a range of support services during this period.
- DSS, through other resources, provided transitional funding to previous long standing HOPWA providers located in Eastern, CT to ensure that former HOPWA clients would not be made homeless, as a result of the competitive procurement process. Effective, July 1, 2010, all HOPWA contracts, will

have language to require a “funding contingency plan” to ensure clients are not made homeless due to a loss of HOPWA funding.

- During the reporting period, DSS and its Project Sponsors provided tenant-based rental assistance to 24 households. Also, 17 unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 22 will continue with housing, while 2 households exited services for the following housing status: 1 household for other subsidy and 1 household for institution.
- Of the households serviced during this reporting period, 11 households obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA funding).
- The Department monitored its project sponsors for compliance with HOPWA requirements. The Department monitored on the following dates: June 7, 2011 and June 13, 2011. The Department issued monitoring letters within 45 days of the visits. The Department will be providing technical assistance and training based upon the monitoring visits.
- The Department and project sponsors participated in the “eLearning HOPWA Best Practice trainings”; provided one-on-one training to Project Sponsors at the start of the award period; and attend quarterly HOPWA grantee meetings convened by HUD-local.

The Department is working closely with Center for Independent Living Northwest CT, Inc. and Mercy Housing & Shelter Corporation, Inc. to complete their CAPER for program year 7/1/10 – 6/30/2011 by the required submission date, as during the program year, DSS received a great deal of training and technical assistance on completing the CAPER.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

7a. Center for Independent Living Northwest CT, Inc.

- Eileen Healy, Executive Director
- eileen.healy@independencenorthwest.org
- 1183 New Haven Road, Naugatuck, CT 06770
- (203) 729-3299 and Fax # (203) 729-2839
- www.independencenorthwest.org
- Total HOPWA subcontract amount: \$143,600.00
- Is the contractor:
 - a non-profit organization? – Yes
 - a faith-based organization? - No

7b. Mercy Housing and Shelter Corporation, Inc.

- Sister Patricia McKeon, Executive Director
- 211 Wethersfield Avenue, Hartford, CT 06614
- (860) 808-2050 and Fax # (860) 548-0692
- www.mercyhousingct.org
- Total HOPWA subcontract amount: \$105,513.00
- Is the contractor:
 - a non-profit organization? – Yes
 - a faith-based organization? - Yes

DSS allocated HOPWA funds to the project sponsors for tenant based rental assistance, case management, life management, operation costs, administration cost and daily support services.

7c. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the project sponsors' staff review the individual's application and determine program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 17 individuals and/or families in Middlesex and Litchfield counties. The goal was exceeded and 24 individuals and families benefited from TBRA dollars.
- Through existing resources, the Department of Social Services funds, 22 HIV/AIDS programs.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DSS is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DSS encourages programs that fall into "balance of state" – Windham, Litchfield, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act is up for reauthorization in 2013. DSS and CARC participated in AIDS LIFE Campaign which was successful in securing \$5.7 million dollars in the two year state budget (2010 – 2011) to help offset the federal cuts in Ryan White funding to Connecticut. Based upon Connecticut's current fiscal conditions, the amount available to offset Ryan White cuts, is not yet

finalized. Faced with devastating federal cuts in the recent round of Ryan White allocations, Connecticut's AIDS community rallied to the force with amazing results! Here is the latest in the state budget process:

- Funding for AIDS residential line in the Homeless/Housing Account (DSS budget) remained whole in SFY 2012 and SFY 2013.
- Utilizing state monies, an "AIDS/HIV Residential Housing Fund" to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, who provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DSS is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by CARC, in conjunction with the Department of Social Services. The audit process is entitled: Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

***Please note that the QARP, also know as audit, does not replace or supercede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to subgrantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DSS has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut that was implemented during FY 2007-08. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted the Center for Urban Community Services (CUCS) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Social Services (DSS). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DSS staff coordinate rent subsidy contract monitoring with the CUCS services site visits. The standards are entitled: "SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING". Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- New this year, the department required providers to utilization HMIS for data entry purposes. It is projected that by the end of the fiscal year, an unduplicated state wide report will be available.
- The department is reviewing existing measures to evaluate how they line up with the Opening Door Campaign measures for the target population.

B. Consolidated Annual Performance and Evaluation Report

CAPER – PDF Attachment



Housing Opportunities for Persons With AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 08/31/2011)

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving \$25,000+ in federal funds.

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Central Contractor Registration (CCR): *This is a new reporting requirement effective October 1, 2009.* The primary registrant database for the U.S. Federal Government; CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA. Per ARRA (American Recovery and Reinvestment Act) and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number and be registered with the CCR (Central Contractor Registration).

Continued Use Periods. Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

Definitions: Facility-Based Housing Assistance: All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

Housing Assistance Total: The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Output: The number of units of housing or households that receive HOPWA housing assistance during the operating year.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA

clients will maintain housing stability, avoid homelessness, and access care by 2011.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Short-Term Rent, Mortgage and Utility Payments (STRMU): Subsidy or payments subject to the 21-week limited time period to prevent the homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

Stewardship Units: Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

Tenant-Based Rental Assistance: (TBRA): An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

Total by Type of Housing Assistance/Services: The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year

Housing Opportunities for Persons with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report - Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 08/31/2011)

Part 1: Grantee Executive Summary

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

1. Grantee Information

HUD Grant Number S010-DC-90001		Operating Year for this report <i>From 7-01-10 To 6-30-11</i>		
Grantee Name State of Connecticut Department of Social Services				
Business Address		25 Sigourney Street		
City, County, State, Zip		Hartford	CT	06106
Employer Identification Number (EIN) or Tax Identification Number (TIN)		06-600798		
DUN & Bradstreet Number (DUNS):		807854435	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <small>(See pg 2 of instructions)</small> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
*Congressional District of Business Address		CT 1 st District		
*Congressional District of Primary Service Area(s)		Hartford		
*Zip Code(s) of Primary Service Area(s)		06106		
City(ies) and County(ies) of Primary Service Area(s)		Hartford		
Organization's Website Address N/A		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Have you prepared any evaluation report? <i>If so, please indicate its location on an Internet site (url) or attach copy.</i> N/A				

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name Center for Independent Living Northwest CT, Inc.		Parent Company Name, <i>if applicable</i> N/A		
Name and Title of Contact at Project Sponsor Agency		Eileen Healy, Executive Director		
Email Address		Eileen.healy@independencenorthwest.org		
Business Address		1183 New Haven Road		
City, County, State, Zip,		Naugatuck	New Haven County	CT 06770
Phone Number (with area code)		203-729-3299	Fax Number (with area code) 203-729-2839	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		06-1246618		
DUN & Bradstreet Number (DUNs):		624515516	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Congressional District of Business Location of Sponsor		3 rd Congressional District		
Congressional District(s) of Primary Service Area(s)		5 th Congressional District		
Zip Code(s) of Primary Service Area(s)		06750, 06790, 06098, 06801, 06057, 06759		
City(ies) and County(ies) of Primary Service Area(s)		Litchfield County		
Total HOPWA contract amount for this Organization		\$143,600.00 Two year Contract total is \$292,272.00		
Organization's Website Address www.independencenorthwest.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input checked="" type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name Mercy Housing and Shelter Corporation, Inc.		Parent Company Name, <i>if applicable</i> N/A		
Name and Title of Contact at Project Sponsor Agency		Sister Patricia McKeon, Executive Director		
Email Address		pmckeon@mercyhousingct.org		
Business Address		211 Wethersfield Avenue		
City, County, State, Zip,		Hartford	Hartford	CT 06114
Phone Number (with area code)		860-8082050	Fax Number (with area code) 860-5480692	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		061090211		
DUN & Bradstreet Number (DUNs):		198768772	Central Contractor Registration (CCR): Is the sponsor's CCR status currently active? (See pg 2 of instructions)	

		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Congressional District of Business Location of Sponsor	1 st Congressional District	
Congressional District(s) of Primary Service Area(s)	1 st Congressional District	
Zip Code(s) of Primary Service Area(s)	06457, 06416	
City(ies) and County(ies) of Primary Service Area(s)	Middlesex County	
Total HOPWA contract amount for this Organization	\$211,026.00 (2 year contract) \$105,513.00 annually	
Organization's Website Address www.Mercyhousingct.org	Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization. <input checked="" type="checkbox"/> x</i> <i>Please check if yes and a grassroots organization.</i>	If yes, explain in the narrative section how this list is administered.	

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

3. Subrecipient Information

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)

Employer Identification Number (EIN) or Tax Identification Number (TIN)		
DUN & Bradstreet Number (DUNs):		Central Contractor Registration (CCR): if applicable. Is the subrecipient's CCR status currently active? (See pg 2 of instructions) <input type="checkbox"/> Yes <input type="checkbox"/> No
North American Industry Classification System (NAICS) Code		
Congressional District of Location		
Congressional District of Primary Service Area		
Zip Code(s) of Primary Service Area(s)		
City (ies) and County (ies) of Primary Service Area(s)		
Total HOPWA Contract Amount		

A. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD’s website. *Note: Text fields are expandable.*

See Attachment A

B. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

See Attachment B

C. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Other, please explain further		

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

See Attachment C

D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

1. Assessment of Unmet Need for HOPWA-eligible Households

1. Total number of households that have unmet housing needs	= 92
From Item 1, identify the number of households with unmet housing needs by type of housing assistance	
a. Tenant-Based Rental Assistance (TBRA)	= 67
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 2
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= 23

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
x	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

[1] Sources of Leveraging		Total Amount of Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program Income	= 11,106	=
2.	Federal government (please specify):	= 166,347	= 28,447
		=	=
		=	=
		=	=
3.	State government (please specify)	= 210,122	= 467,401
		=	=
		=	=
		=	=
4.	Local government (please specify)	=	=
		=	=
		=	=
		=	=
5.	Foundations and other private cash resources (please specify)	=	=
		= 590	= 1,285
		=	=
		=	=
6.	In-kind Resources	=	=
7.	Resident rent payments in Rental, Facilities, and Leased Units	=	=
8.	Grantee/project sponsor (Agency) cash	= 34,896	=
9.	TOTAL (Sum of 1-7)	= 423,044	= 920,177

End of PART 2

PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	Output Households				Funding	
		HOPWA Assistance		Non-HOPWA		e.	f.
		a.	b.	c.	d.		
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	Housing Subsidy Assistance	Output Households					
1.	Tenant-Based Rental Assistance	17	24	21	25	174312	102239
2a.	Households in permanent housing facilities that receive operating subsidies/leased units						
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units						
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year						
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year						
4.	Short-Term Rent, Mortgage and Utility Assistance						
5.	Adjustments for duplication (subtract)						
6.	Total Housing Subsidy Assistance	17	24	21	25	174312	102239
	Housing Development (Construction and Stewardship of facility based housing)	Output Units					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)						
8.	Stewardship Units subject to 3 or 10 year use agreements						
9.	Total Housing Developed						
	Supportive Services	Output Households					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	17	24			64833	61450
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements						
11.	Adjustment for duplication (subtract)						
12.	Total Supportive Services						
	Housing Placement Assistance Activities						
13.	Housing Information Services						
14.	Permanent Housing Placement Services						
15.	Adjustment for duplication						
16.	Total Housing Placement Assistance						
	Grant Administration and Other Activities						
17.	Resource Identification to establish, coordinate and develop housing assistance resources						
18.	Technical Assistance (if approved in grant agreement)						
19.	Grantee Administration (maximum 3% of total HOPWA grant)						
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					9968	10369
	Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)					249113	174058

2. Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management/client advocacy/ access to benefits & services	23	61,450
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:	1	
15.	Adjustment for Duplication (subtract)	6	
16.	TOTAL Households receiving Supportive Services (unduplicated)	17	61,450

End of PART 3

Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 24	= 22		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	= 1
				6 Institution	= 1
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
Permanent Supportive Housing Facilities/Units	=	=		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	=	Total number of households that will continue in residences:	=	1 Emergency Shelter/Streets	=
		2 Temporary Housing	=		
		3 Private Housing	=		
		4 Other HOPWA	=		
		Total number of households whose tenure exceeded 24 months:	=	5 Other Subsidy	=
		6 Institution	=		
		7 Jail/Prison	=		
		8 Disconnected/unknown	=		
		9 Death	=		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

Assessment of Households receiving STRMU Assistance

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
=	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	=	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy	=	
	Other HOPWA support (PH)	=	
	Other housing subsidy (PH)	=	
	Institution (e.g. residential and long-term care)	=	
	Likely to maintain current housing arrangements, with additional STRMU assistance	=	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	=	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	=	
	Emergency Shelter/street	=	<i>Unstable Arrangements</i>
	Jail/Prison	=	
Disconnected	=		
Death	=	<i>Life Event</i>	
1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.			=
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			=

Section 3. HOPWA Outcomes on Access to Care and Support

1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	24	Support for Stable Housing
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan..	24	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	23	Access to Health Care
4. Has accessed and can maintain medical insurance/assistance.	24	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income.	13	Sources of Income

1B. Number of Households Obtaining Employment

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	11	Sources of Income

Chart 1C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
--	--

Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
---	---

2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.*

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	n/a	<i>Support for Stable Housing</i>
2. Successfully accessed or maintained qualification for sources of income.	n/a	<i>Sources of Income</i>
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	n/a	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	n/a	<i>Access to Health Care</i>
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client's individual service plan.	n/a	<i>Access to Support</i>

2B. Number of Households Obtaining Employment

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	n/a	<i>Sources of Income</i>

Chart 2C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
--	--

Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes

1. This chart is designed to assess program results based on the information reported in Part 4.

Permanent Housing Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	24			
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Assistance	24			
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Assistance	24			

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the APR, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Leveraging

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods		

3. Details of Project Site

Name of HOPWA-funded project site	
Project Zip Code(s) and Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

VII. Citizen Participation

A. Summary

The State of Connecticut 2010 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DECD solicited public input on the draft 2010 CAPER during a 15 day Public Comment Period from September 9, 2011 through September 23, 2011. Notification for the public commentary period was published in two newspapers on September 8, 2011, including one in Spanish. The legal notice as well as a copy of the draft 2010 CAPER was made available on the DECD web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's Regional Planning Agencies. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

DECD received no comments on the draft 2010 CAPER during the public commentary period of September 9, 2011 through September 23, 2010.

VIII. Appendix

- A. Legal Notice
- B. Newspaper Publication
- C. HOME Program Annual Performance Report, HUD Form 40107
- D. HOME Program: Section 3 Summary Report, HUD Form 60002
- E. HOME Program Match Report, HUD Form 40107-A
- F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
- G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
- H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
- I. ESG Program: Section 3 Summary Report, HUD Form 60002
- J. HOPWA Program: Section 3 Summary Report, HUD Form 60002
- K. KEY

Appendix A: Legal Notice

Notice of Public Comment Period for the States' Consolidated Annual Performance and Evaluation Report for the 2010-2011 Program Year

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Economic and Community Development (DECD), has prepared the Consolidated Annual Performance and Evaluation Report (CAPER) for the 2010-2011 Program Year. This report contains detailed information on the four federal formula grant programs governed by the State's 2010-2015 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding for the 2010-2011 Program Year for the four programs represents \$29,487,469. The HOME and SC/CDBG programs are administered by the Department of Economic and Community Development and the ESG and HOPWA programs are administered by the Department of Social Services.

The CAPER is available for review and public comment from September 9, 2011 through September 23, 2011. A copy of the CAPER and related documents are available on the Department of Economic and Community Development's web site, www.DECD.org or at the Department of Economic and Community Development, 505 Hudson Street, Hartford, CT 06106 by appointment. You may contact Debra Landry for further information at 860-270-8169.

Comments on the CAPER may be sent to Debra Landry, Office of Strategy and Policy, Department of Economic and Community Development, 505 Hudson Street, Hartford, CT 06106-7106 or Debra.Landry@ct.gov thru September 23, 2011. All comments received will be included in the final version of the CAPER.

Department of Social Services and Economic and Community Development programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, Antoinette Alphonse at (860) 270-8022.

Publication Date: September 8, 2011

Appendix B. Newspaper Publication

Publication of Legal Notice on 9/9/2010 for the 2010 Performance Report	
Hartford Courant 285 Broad Street Hartford, CT 06115 Hartford area	La Voz Hispana Connecticut 51 Elm Street, Suite 307 New Haven, CT,06510 Hartford,Bridgeport,New Haven,Waterbury, Stamford, Norwalk, Meridan, New Britian, Danbury

Source: DECD, OSP

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 7/1/2010	Ending 6/30/2011	9/28/2011

Part I Participant Identification

1. Participant Number M-92-SG-09-0100	2. Participant Name State of Connecticut, Department of Economic and Community Development		
3. Name of Person completing this report Debra Landry		4. Phone Number (Include Area Code) 860-270-8169	
5. Address 505 Hudson Street	6. City Hartford	7. State CT.	8. Zip Code 06106-7106

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$17,737	2. Amount received during Reporting Period \$340,408	3. Total amount expended during Reporting Period \$361,970	4. Amount expended for Tenant-Based Rental Assistance -0-	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 (\$3,825)
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
A. Contracts						
1. Number	36		3	5	1	27
2. Dollar Amount	\$17,365,166		\$4,600,942	\$156,154	\$50,000	\$12,558,070
B. Sub-Contracts						
1. Number	107		3	15	11	78
2. Dollar Amount	\$4,000,030		\$76,618	\$1,634,817	\$823,485	\$4,265,110
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	16	1	22			
2. Dollar Amount	\$11,127,526	\$23,300	\$4,881,012			
D. Sub-Contracts						
1. Number	111	25	20			
2. Dollar Amounts	\$11,616,726	\$4,995,715	\$6,292,321			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	-0-					
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	2	\$265,650
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced	55	\$291,334

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	-0-					
6. Households Displaced - Cost						

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ \$52,942,760.07
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 10,419,335
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	26 %
D. Total number of Section 3 businesses receiving contracts	5

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 4,075,387.80
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

**U.S. Department of Housing and Urban
Office of Community Planning and
Integrated Disbursement and Information
Home Matching Liability Report
CONNECTICUT**

Fiscal Year	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
2000	25.0 %	\$4,691,397.14	\$3,264,527.80	\$816,131.95
2001	25.0 %	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90
2002	25.0 %	\$24,771,275.69	\$22,688,077.84	\$5,672,019.46
2003	12.5 %	\$10,619,127.62	\$9,688,684.38	\$1,211,085.54
2004	12.5 %	\$12,924,023.84	\$11,870,651.02	\$1,483,831.37
2005	25.0 %	\$11,355,818.82	\$9,732,451.00	\$2,433,112.75
2006	25.0 %	\$6,804,889.39	\$5,744,907.25	\$1,436,226.81
2007	25.0 %	\$15,232,221.41	\$13,883,604.34	\$3,470,901.08
2008	25.0 %	\$9,517,342.65	\$8,465,697.99	\$2,116,424.49
2009	25.0 %	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50
2010	12.5 %	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99
2011	12.5 %	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1995

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '95 allocation.

- Killingly - 09*
- Putnam - 09*
- Coventry - 08*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

U.S. Department of Housing
and Urban Development

State Grant Performance/Evaluation Report

Part 1

State Connecticut				Reporting Period FY 1995								
Grant Number B-95-DC-09-001				Data As of June 30, 2011								
1 Financial Status A. Total Funds (1) Allocation \$ 15,041,000.00 (2) Program Income \$ 16,492.78 B. Amount Obligated to Recipients \$ 14,506,262.78 C. Amount Drawn Down \$ 14,506,262.78 D. Amount for State Administration \$ 400,820.00 E. Technical Assistance \$ 150,410.00 F. Section 108 Loans Guarantees \$ - G. Fund rollover to GY 2009 \$ 13.00				2 National Objectives A. Period Specified for Benefit FY 1995 to FY 10 B. Amount Used To: (1) Benefit Low/Moderate Income Persons \$ 13,188,079.14 (2) Prevent/Eliminate Slums/Blight \$ - (3) Meet Urgent Community Development Needs \$ 154,824.71 (4) Acquisition/Rehabilitation Noncountabler \$ - (5) Local Administration \$ 1,163,358.93 Total \$ 14,506,262.78								
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
ANSONIA - 95 READY RESOURCE	AC	05. PUB SERV.		\$ 2,577.13	PS	L/M	1	77	39	1	77	39
		10. REM ARCH BARR		\$ 67,261.00	PF	L/M	1	1,472	750	1	1472	750
				\$ 69,838.13								
ANSONIA-95 ECONOMIC DEVELOPMENT	AC	18A. INCUBATOR	M	\$ -	ED	L/M	1	51	41	N/A	N/A	N/A
	AC	21A. Admin	M	\$ -								
				\$ -								
ASHFORD-95	AC	05. PUB SERV (ACCESS)		\$ 40,000.00	PS	L/M	1	393	393	1	393	393
		05. PUB. SERV (WAYS)		\$ 10,400.00	PS	L/M	1	104	104	1	104	104
		05A. PUB SERV (SENIOR)		\$ 9,200.00	PS	L/M	1	393	333	1	393	333
		14A Residential Rehab		\$ 171,300.00	H	L/M	10	26	26	10	26	26
		10. REM ARCH BARR		\$ 38,864.00	PF	L/M	1	383	195	1	475	242
		13. HOMEOWNERSHIP		\$ 185,000.00	H	L/M	2	5	5			
		21A. Admin		\$ 45,236.00		L/M						
				\$ 500,000.00								
BLOOMFIELD-95 F Refund (\$13.00)	AC	10. REM ARCH BARR		\$ 73,285.00	PF	L/M	1	2,260	1,367	1	2,260	1,367
				\$ 73,285.00								
CANTON	AC	18A. ED INFRASTRUCTURE		\$ 378,500.00	PF	L/M	1	20	11	1	20	11
		21A. Admin		\$ 21,500.00								
				\$ 400,000.00								

CHAPLIN-95 F	AC	20. PLANNING ONLY	\$ 10,000.00	PO	L/M							
COLEBROOK-95	AC	3A. CENTER (SENIOR) 21A. Admin	\$ 465,000.00 \$ 35,000.00 \$ 500,000.00	PF	L/M	1	550	415	1	550	415	
COLUMBIA-95 ALSO FUNDED WITH FY94	AC	3A. Center (Senior) 21A. Admin	\$ 167,661.22 \$ 20,000.00 \$ 187,661.22	PF	L/M	1	521	417	1	521	417	
COVENTRY-95 F	AC	03L Sidewalks 3A. Center (Senior) 21A. Admin	\$ 238,645.96 \$ 143,525.10 \$ 17,828.94 \$ 400,000.00	PF PF	L/M L/M	1 1	125 487	84 248	1 1	125 487	84 248	
DANIELSON-95 ECONOMIC DEVELOPMENT DISCRETIONARY	AC	17C. COMM REHAB 21A. Admin	\$ 145,000.00 \$ 10,000.00 \$ 155,000.00	ED	L/M	1	2,451	1,401	6	2,500	1,400	
DANIELSON-95 DISCRETIONARY \	AC	04. CLEARANCE 21A. Admin	\$ 100,064.12 \$ 6,399.77 \$ 106,463.89	ED	L/M	1	2,500	1,400	1	2,500	1,400	
DANIELSON-95	AC	18a. ED LOANS 21A. Admin	\$ 125,000.00 \$ 15,000.00 \$ 140,000.00	ED	L/M	5	30	24	1	12	12	
DEEP RIVER-95	AC	18A. IND INCURATOR 18a. REV. LOAN FUND 21A. Admin	\$ 353,386.19 \$ 39,385.63 \$ 4,794.52 \$ 397,566.34	ED ED	L/M L/M	1	45 3	35 7	3	38 3	26 7	
DERBY-95	AC	14A Residential Rehab 10. REM ARCH BARR 13. HOMEOWNERSHIP 21A. Admin	\$ 220,000.00 \$ 132,500.00 \$ 85,000.00 \$ 62,500.00 \$ 500,000.00	H PF H	L/M L/M L/M	15 1 8	36 43 19	36 43 19	6 1 8	15 43 19	15 43 19	
ELLINGTON-95	AC	3A. Center (Senior) 14A Residential Rehab 21A. Admin	\$ 187,000.00 \$ 269,000.00 \$ 44,000.00 \$ 500,000.00	PH H	L/M L/M	1 15	1,360 39	804 39	1 9	1,360 14	804 14	

ENFIELD-95	AC	03L Sidewalks	\$ 52,100.00	PF	L/M	1	4,378	2,256	1	4378	2256
		03. OTHER FAC (SHELTER)	\$ 10,450.00	PF	L/M	1	210	141	1	700	700
		05. PUB SERV.	\$ 60,000.00	PS	L/M	1	4,378	2,256	2	4,359	22,752
		14A Residential Rehab	\$ 182,200.00	H	L/M	9	24	24	7	9	9
		14A Residential Rehab	\$ 46,500.00	H	L/M		4,378	2,256	1	4378	2256
		21A. Admin	\$ 50,515.00								
			\$ 401,765.00								
ENFIELD-95 ECONOMIC DEVELOPMENT F	AC	18b. JOB TRAINING	\$ 37,600.00	ED	L/M	1	14	14	1	20	20
		21A. Admin	\$ 2,400.00								
			\$ 40,000.00								
GRANBY-95	AC	03. OTHER FAC (FOOD)	\$ 67,500.00	PF	L/M	1	1,000	800	1	1,000	1,000
		03L Sidewalks	\$ 88,000.00	PF	L/M	1	328	283	1	81	81
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	55	55	6	7	7
		21A. Admin	\$ 44,500.00								
			\$ 475,000.00								
GRISWOLD-95	AC	03j. SEWERS	\$ 140,000.00	PF	L/M	1	2,719	1,819	1	3,713	2,408
			\$ 140,000.00								
GROTON-98	AC	See FY 98 Groton 98 for details	\$ 19,837.88	PF	L/M						
GUILFORD-95	AC	03. OTHER FAC (SITE)	\$ 480,000.00	PF	L/M	1	52	52	1	52	52
		21A. Admin	\$ 20,000.00								
			\$ 500,000.00								
HAMPTON/CHAP-95 F	AC	14A Residential Rehab	\$ 269,000.00	H	L/M	14	38	38	19	45	45
		21A. Admin	\$ 31,000.00								
			\$ 300,000.00								
JEWETT CITY-95	AC	03j. SEWERS	\$ 430,000.00	PF	L/M	1	2,719	1,819	1	3,713	2,408
		21A. Admin	\$ 50,000.00								
			\$ 480,000.00								
LISBON-95 DISCRETIONARY F	AC	03j. WATER	\$ 350,300.00	PF	L/M	1	86	60	48	86	60
		21A. Admin	\$ 21,000.00								
			\$ 371,300.00								
LITCHFIELD-95	AC	14A Residential Rehab	\$ 440,946.92	H	L/M	30	75	75	21	45	45

		14C Res. Rehab Public	\$ -	H	L/M	3	8	8			
		21A. Admin	\$ 24,731.56								
			\$ 465,678.48								
LITCHFIELD-95	AC	20. PLANNING ONLY	\$ 7,158.12	PO	L/M	1	1,350	689	1	1350	689
PLANNING ONLY			\$ 7,158.12								
MANSFIELD-95	AC	03. CENTER (WOMEN)	\$ 145,000.00	PF	L/M	1	8	8	1	8	8
		05A. PUB SERV (ELDERLY)	\$ 70,000.00	PS	L/M	1	117	82	4	2	2
		14C Res. Rehab Public	\$ 38,000.00	H	L/M	40	45	45	45	45	45
		21A. Admin	\$ 12,000.00								
			\$ 265,000.00								
NAUGATUCK-95	AC	03L Sidewalks	\$ 67,500.00	PF	L/M	1	129	83	1	129	83
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	60	60	13	38	38
		13. HOMEOWNERSHIP	\$ 112,500.00	H	L/M	10	30	30	1	3	3
		21A. Admin	\$ 45,000.00								
			\$ 500,000.00								
NEW FAIRFIELD-95	AC	14A Residential Rehab	\$ 275,000.00	H	L/M	20	60	60	17	58	58
		21A. Admin	\$ 25,000.00								
			\$ 300,000.00								
NEW MILFORD-95	AC	14C Res. Rehab Public	\$ 90,146.20	H	L/M	102	116	116	102	116	116
		21A. Admin	\$ 5,000.00								
			\$ 95,146.20								
PORTLAND-95	AC	18a. LOAN POOL	\$ 16,000.00	ED	L/M	5	10	6	5	10	5
			\$ 16,000.00								
PUTNAM-95	AC	05. PUB SERV	\$ 5,000.00	PS	L/M	1	235	188	1	235	188
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	50	50	19	35	35
		21A. Admin	\$ 25,000.00								
			\$ 305,000.00								
ROCKY HILL-95	AC	14A Residential Rehab	\$ 206,418.46	H	L/M	15	37	37	13	45	45
MULTIJURISDICTIONAL		14C Res. Rehab Public	\$ 100,033.64	H	L/M	39	45	45	78	227	227
		10. REM ARCH BARR	\$ 97,361.23	PF	L/M	2	241	141	41	139	139
		21A. Admin	\$ 76,387.67								
			\$ 480,201.00								
ROCKY HILL-95	AC	14A Residential Rehab	\$ 208,645.00	H	L/M	8	20	20	13	45	45

		105R. Counseling	\$ 46,901.63						78	227	227
		13. Homeownership	\$ 167,207.21						41	139	139
		21A. Admin	\$ 77,246.16								
			\$ 500,000.00								
SEYMOUR-95 F	AC	3A. Center (Senior)	\$ 185,000.00	PF	L/M	1	75	75	1	101	75
		21A. Admin	\$ 17,500.00								
			\$ 202,500.00								
SEYMOUR-95 ECONOMIC DEV. F 6/30/97	AC	18c. JOB TRAINING	\$ 38,000.00	ED	L/M	1	3	3	1	3	3
		21A. Admin	\$ 2,000.00								
			\$ 40,000.00								
SHARON-95 ALSO FUNDED WITH FY88, FY89 AND FY84	AC	10. REM ARCH BARR	\$ 373,312.02	PF	L/M	1	772	394	1	772	394
		21A. Admin	\$ 27,150.00								
			\$ 400,462.02								
SIMSBURY-96	AC	14C Res. Rehab Public	\$ 72,816.14	H	L/M	70	91	91	70	74	74
			\$ 72,816.14								
SPRAGUE-95 DISCRETIONARY	AC	03I. FLOOD & DRAINAGE	\$ 154,824.71	PF	UN	1	N/A	N/A	1	N/A	N/A
		21A. Admin	\$ 15,315.47								
			\$ 170,140.18								
STAFFORD-95	AC	3A. Center (Senior)	\$ 353,842.15	PF	L/M	1	1,950	1,472	1	1,950	1,472
		14A Residential Rehab	\$ 107,907.85	H	L/M	5	14	14	6	21	21
		21A. Admin	\$ 38,250.00								
			\$ 500,000.00								
STERLING-95 ECONOMIC DEV.	AC	18b. JOB TRAINING	\$ 37,500.00	ED	L/M	1	3	2	1	3	3
		21A. Admin	\$ 7,500.00								
			\$ 45,000.00								
THOMASTON-95 F	AC	10. REM ARCH BARR	\$ 132,000.00	PF	L/M	1	698	356	1	698	356
		21A. Admin	\$ 18,000.00								
			\$ 150,000.00								

THOMPSON-95	AC	05. PUB SERV	\$ 75,000.00	PS	L/M	1	74	74	3	440	413	
		14A Residential Rehab	\$ 252,000.00	H	L/M	20	20	20	37	101	101	
		21A. Admin	\$ 48,000.00									
			\$ 375,000.00									
TORRINGTON-95	AC	03C. CENTER (HOMELESS)	\$ 147,662.64	PF	L/M	1	300	300	1	300	300	
		05. PUB SERV.	\$ 68,022.39	PS	L/M	1	3,840	3,840	1	3840	3840	
		14A Residential Rehab	\$ 162,339.13	H	L/M	6	6	6	3	3	3	
		21A. Admin	\$ 44,423.84									
		\$ 450,000.00										
TORRINGTON-96 DISCRETIONARY	AC	03j. SEWERS	\$ 43,000.00	PF	L/M	1	6,504	3,318	1	6504	3318	
			\$ 43,000.00									
VERNON-95	AC	3A. Center (Senior)	\$ 95,000.00	PF	L/M	1	3,885	1,982	1	3,885	1,982	
		14C Res. Rehab Public	\$ 200,000.00	H	L/M	54	57	57	54	57	57	
		10. REM ARCH BARR	\$ 95,000.00	PF	L/M	1	2,698	1,376	1	2,698	1,376	
		21A. Admin	\$ 20,000.00									
		\$ 410,000.00										
VERNON-95 ECONOMIC DEVELOPMENT	AC	18b. JOB TRAINING	\$ 53,820.00	ED	L/M	1	50	50	1	50	50	
		21A. Admin	\$ 6,180.00									
		\$ 60,000.00										
VERNON-95 ECONOMIC DEVELOPMENT	AC	18b. JOB TRAINING	\$ 40,000.00	ED	L/M	1	50	50	1	50	50	
		18a. ED LOAN PROG	\$ 400,000.00	ED	L/M	1	20	20	1	20	20	
		\$ 440,000.00										
WINCHESTER-95 F	AC	03I. DRAINAGE	\$ 205,000.00	PF	L/M	1	82	73	82	82	73	
		03K Street Improvements	\$ 100,000.00	PF	L/M	1	136	123	1	136	123	
		05. PUB SERV.	\$ 66,000.00	PS	L/M	1	500	500	1	500	500	
		14A Residential Rehab	\$ 90,000.00	H	L/M	1	1,982	1,124	1	1,982	1,124	
		21A. Admin	\$ 39,000.00									
		\$ 500,000.00										
WINDSOR-95	AC	03I. DRAINAGE	\$ 139,259.00	PF	L/M	1	312	197	1	312	197	
		03E. OTHER FAC (LIB.)	\$ 95,000.00	PF	L/M	1	1,954	1,233	1	1,954	1,233	
		03F. OTHER FAC (PARK)	\$ 122,741.00	PF	L/M	1	1,954	1,233	1	1954	1233	
		21A. Admin	\$ 68,000.00									
		\$ 425,000.00										
NEWINGTON - 01	AC	See FY 00 for Line Items	\$ 39,832.28	PF	L/M							

		& Accomplishments								
CROMWELL - 01	AC	03E Neigh. Facilities See FY 00 for Accomplishments	\$ 79,715.36	PF	L/M					
COLEBROOK - 01	AC	03A. CENTER (ELDERLY) See FY 00 for Accomplishments	\$ 70,368.24	PF	L/M					
GRANBY 01	AC	See FY 00 for Line Items & Accomplishments	\$ 24,321.52	H	L/M					
PROGRAM INCOME										
COLEBROOK - 01	AC	03A. CENTER (ELDERLY) See FY 00 for Accomplishments	\$ 11,013.62	PF	L/M					
SIMSBURY - 96	AC	See FY 95 for Line Items & Accomplishments	\$ 5,479.16	H	L/M					
PUTNAM - 09		14E Commercial Façade 21A. Admin	\$ 56,615.00 \$ 20,000.00 \$ 76,615.00	H	L/M					
KILLINGLY - 09	C	14A Residential Rehab	\$ 171,650.00	H	L/M					
COVENTRY - 08	C	03K Street Improvements	\$ 141,435.00	PF	L/M					
UNALLOCATED TO BE REFUNDED ADDITIONAL INFORMATION			\$ - \$0.00							
AFFORDABLE HOUS. \$\$ % OF FUNDING - HOUSING	\$	5,078,448.70 35%								
ECON. DEV. \$\$ % OF FUNDING ECON. DEV	\$	1,384,755.94 10%								
% L/M BENEFIT		99%								

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1997

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '97 allocation.

- Colebrook - 01*
- Newington - 01*
- Granby - 01*
- East Haddam - 02*
- Coventry - 02
- Coventry - 08
- East Windsor - 09
- Killingly - 09

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

U.S. Department of Housing
and Urban Development

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 1997
Grant Number B-97-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives			
A. Total Funds		A. Period Specified for Benefit	FY 1997	to	FY 2010
(1) Allocation	\$ 14,487,000.00	B. Amount Used To:			
(2) Program Income	\$ -	(1) Benefit Low/Moderate Income Persons	\$		12,840,240.93
B. Amount Obligated to Recipients	\$ 13,952,390.00	(2) Prevent/Eliminate Slums/Blight	\$		-
C. Amount Drawn Down	\$ 13,952,390.00	(3) Meet Urgent Community Development Needs	\$		13,614.00
D. Amount for State Administration	\$ 389,740.00	(4) Acquisition/Rehabilitation Noncountabler	\$		-
E. Technical Assistance	\$ 144,870.00	(5) Local Administration	\$		1,048,560.14
F. Section 108 Loans Guarantees	\$ -	Total	\$		13,902,415.07
G. Fund rollover to GY 2003	\$ 49,974.93				

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
ANSONIA-97	AC	18c. Small Bus. Center 21A. Admin		- - -	ED	L/M	3	8	8			
ASHFORD-98	AC	20. Planning		12,500.00	PO	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BARKHAMSTED-97 F	AC	3A. Center (Senior) 21A. Admin		204,239.36 20,760.64 225,000.00	PF	L/M	1	402	205	1	402	205
BROOKLYN - 97	AC	03L Sidewalks 21A. Admin		182,995.44 17,004.56 200,000.00	PF	L/M	1	493	475	1	493	475
COVENTRY -97	AC	14A Residential Rehab 21A. Admin		280,855.39 19,144.61 300,000.00	H	L/M	1	65	65	22	59	59
COVENTRY-98	AC	03J. Sewers 21A. Admin		292,500.00 32,500.00 325,000.00	PF	L/M	18	47	47	18	47	47
COVENTRY-98	AC	20. Planning		15,000.00	PO	N/A	N/A	N/A	N/A	N/a	N/A	N/A
DERBY -97	AC AC AC	14A Residential Rehab 20 Planning(Dutch Pt. Study) 21A. Admin		269,000.00 96,907.83 29,000.00	H PO 181	L/M N/A	1 N/A	43 N/A	43 N/A	1	43	43

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
ELLINGTON-97	AC	14A Residential Rehab		269,000.00	H	L/M	1	35	35	14	52	52
	AC	21A. Admin		29,000.00								
				298,000.00								
ENFIELD-97	AC	4. Demolition		13,614.00		UN	N/A	N/A	N/A	N/A	N/A	N/A
	AC	14C. Public Faci. Shel. Impr.		19,313.00	PF	L/M		117	117		106	106
	AC	18a. Job Training		54,610.00	ED	L/M		20	20		17	17
	AC	05L. Public Serv.(Day Care)		38,000.00	PS	L/M		30	30		36	36
	AC	21A. Admin		10,059.00								
				135,596.00								
ENFIELD-98	AC	20. Planning		40,000.00	PO	N/A	N/A	N/A				
	AC	03E. Family Resource Ctr		149,101.74	PF	L/M	1	4,378	2,256	1	4,378	2,256
	AC	21A. Admin		30,256.26								
				219,358.00								
GRANBY-97	AC	14A Residential Rehab		272,993.84	H	L/M	1	55	55	1	25	25
		10. Rem Arch. Barriers		184,997.05	PF	L/M	1	9,369	4,779	1	9369	4779
		21A. Admin		42,009.11								
				500,000.00								
GRISWOLD-97	AC	03J. Sewers		453,650.14	PF		1	3,713	2,408	1,109	3,713	2,408
	AC	21A. Admin		0								
				453,650.14								
GROTON -97	AC	14A Residential Rehab		252,000.00	H	L/M	1	25	25	1	25	25
	AC	21A. Admin		46,000.00								
				298,000.00								
GUILFORD-97	AC	14C Res. Rehab Public		490,000.00	H	L/M	1	28	28	1	28	28
		21A. Admin		10,000.00								
				500,000.00								
HAMPTON-97 (multi)	AC	14A Residential Rehab		269,000.00	H	L/M	14	39	39	18	31	31
	AC	21A. Admin		31,000.00								
				300,000.00								
HAMPTON-98	AC	20. Planning		20,000.00	PO	N/A	N/A	N/A				

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
HEBRON -97	AC	10. ADA Library 21A. Admin		220,570.16	PF	L/M	1	243	131	1	243	131
				20,569.19								
HEBRON-98	AC	20. Planning		10,000.00	PO	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JEWETT CITY-97	AC	03J. Sewers		498,000.00	PF	L/M	1	3,713	2,408	1109	3712	2408
	AC	21A. Admin		0								
MANSFIELD - 97	AC	14A Residential Rehab 21A. Admin		275,000.00	H	L/M	1	42	42	11	37	37
				25,000.00								
NAUGATUCK-97	AC	14A Residential Rehab		244,992.23	H	L/M	1	36	36	4	9	9
	AC	03g. Neighborhood Facility		119,483.57	PF							
	AC	21A. Admin		40,524.20								
NEW HARTFORD-97 F	AC	03D. Youth Center 21A. Admin		51,596.99	PF	L/M	1	4	4	1	3	3
				9,403.01								
NEW MILFORD-97	AC	14C Res. Rehab Public 03L. Sidewalk 21A. Admin		112,272.18	H	L/M	1	118	118	1	83	83
				144,943.56	PF		1	702	451	1	702	451
				42,784.26	300,000.00							
NEWINGTON - 97	AC	14A Residential Rehab		282,347.04	H	L/M				5	15	15
	AC	03L . Streets/Sidewalks		174,661.14	PF	L/M	1	354	240	1	354	240
	AC	21A. Admin		42,991.82								
NORTH CANAAN-98	AC	20. Planning		30,000.00	PO	N/A	N/A	N/A				
PLAINFIELD - 97	AC	03J. Sewer/Water 21A. Admin		499,280.00	PF	L/M	1	1,741	1,020	1	1,741	1,020
				720.00								
PLAINVILLE -97	AC	14A Residential Rehab		235,627.44	H	L/M	12	30	30	12	30	30
	AC	3a. Senior Center		239,079.86	PF	L/M	1	2706	1300	1	2706	1300
	AC	21A. Admin		25,292.70								
					183							

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
PROSPECT -97	AC	3A. Center (Senior) 21A. Admin		465,000.00	PF	L/M		982	501		982	501
				35,000.00								
PUTNAM -97	AC	03K Street Improvements 05. River Trail 21A. Admin		135,000.00	ED	L/M	1	297	223	1	6,559	3,458
				130,000.00	PF	L/M	1	3,559	3,559			
				35,000.00	300,000.00							
PUTNAM-97 (Multi-jurisdictional)	AC	18c. Business Loans		309,000.00	ED	L/M	6	10	8	0	10	9
	AC	21A. Admin		46,750.00								
				355,750.00								
SHELTON - 97	AC	03D. Other Fac. (Girls Club) 21A. Admin		275,000.00	PF	L/M	1	762	498	1	762	498
				24,375.00								
SOMERS -97	AC	10. ADA Town Hall 21A. Admin		111,000.00	PF	L/M	1	9,108	4,645	1	9,108	4,645
				19,000.00								
SO. WINDSOR-98	AC	20. Planning		15,000.00	PO	N/A	N/A	N/A				
SPRAGUE-98	AC	03J. Infrastructure 310,000 See Sprague 98 for Line-items and accomplishments		98,050.00								
STAFFORD - 97	AC	03L Sidewalks 14A Residential Rehab 21A. Admin		234,108.85	PF	L/M	1	86	65	1	86	65
				128,495.00	H	L/M	1	20	20	8	24	24
				25,360.48	387,964.33							
THOMASTON -97	AC	14A Residential Rehab 21A. Admin		272,215.00	H	L/M	1	52	52	1	52	52
				25,000.00								
THOMPSON -97	AC	14A Residential Rehab 05. Public Service 21A. Admin		185,000.00	H	L/M	25	67	67	12	34	34
				73,000.00	PS	L/M	1	558	553	1	951	800
				17,000.00	275,000.00							
TOLLAND -97	AC	18a. Bus. Incubator 14A Residential Rehab		490,874.00	ED	L/M	1	45	45	1	24	24
				140,000.00	H	L/M		24	24			

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
TORRINGTON -97	AC	21A. Admin		19,126.00								
				650,000.00								
		14A Residential Rehab		275,053.17	H	L/M	1	62	62	1	62	62
		10. ADA		179,973.99	PF	L/M	1	5,527	2,937	1	5527	2937
TORRINGTON-97 (Multi-jurisdictional)	AC	21A. Admin		44,972.84								
				500,000.00								
		18c. Micro Loan Program		181,146.00	ED	L/M	32	411	411	7	7	7
		21A. Admin		24,650.45								
WILTON -97	AC			205,796.45								
		14C Res. Rehab Public		233,968.00	H	L/M	1	90	90	1	90	90
		5. Public Service		7,795.43	PS	L/M	1	50	50	1	20	20
		21A. Admin		24,540.50								
WINCHESTER -97	AC			266,303.93								
		14A Residential Rehab		275,928.47	H	L/M	1	47	47	1	47	47
		03J. Sewers		189,306.00	PF	L/M						
		21A. Admin		34,765.53								
WINDHAM -97	AC			500,000.00								
		18A. Business Expansion		113,000.00	ED	L/M	1	4	4	1	4	4
		21A. Admin		12,000.00								
				125,000.00								
WINDSOR -97	AC	17C. Façade Improv.		300,000.00	ED	L/M	5	135	0	5	135	0
		13. Home Counseling/DPA		124,000.00	H	L/M	1	204	204	1	204	204
		21A. Admin		74,000.00								
				498,000.00								
WOLCOTT -97	AC	3A. Center (Senior)		180,000.00	PF	L/M	1	1,649	841	1	1649	841
		21A. Admin		20,000.00								
				200,000.00								
				147,807.27								
NEWINGTON - 01	AC	3A. Center (Senior) See FY 00 for Line Items & Accomplishments			PF	L/M						
COLEBROOK - 01	AC	10 Removal of arch. Bar.		76,968.05	PF	L/M						
		21A. Admin		30,000.00								
				106,968.05								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
GRANBY 01	AC	14A Residential Rehab See FY 00 for Line Items & Accomplishments		27,703.55	H	L/M						
COVENTRY 02	AC	14A Residential Rehab See FY 01 for Line Items & Accomplishments		272,830.00	H	L/M						
COVENTRY 02	AC	03K Street Improvements See FY 02 for Line Items & Accomplishments		1,092.17	H	L/M						
EAST HADDAM 02	AC	3a Senior Center See FY 02 for Line Items & Accomplishments		113,408.00	PF	L/M						
East Windsor '09	C	03I. Street Impr./Storm Drains 21A. Admin		64,550.00 -								
Killingly '09	C	14A Residential Rehab 21A. Admin		18,350.00 -								
Coventry '08	C	03K Street Improvements 21A. Admin		4,100.00 -								
AFF. HSG. \$\$ % of FUNDING - HOUSING		\$ 5,189,373.48 37%										
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.		\$ 1,657,630.00 12%										
% L/M BENEFIT		92%										

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1998

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '98 allocation.

- Coventry - 02*
- Cromwell - 01*
- Newington - 01*
- Prospect - 01*
- Guilford - 00*
- East Haddam - 02*
- Ellington - 03*
- Lebanon - 04*
- Coventry - 08*
- East Windsor - 09*
- Killingly - 09*
- Putnam - 09*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

U.S. Department of Housing
and Urban Development

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 1998
Grant Number B-98-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 1998 to FY 2010
(1) Allocation	\$ 14,045,000.00	B. Amount Used To:	
(2) Program Income	\$ -	(1) Benefit Low/Moderate Income Persons	\$ 12,060,124.65
B. Amount Obligated to Recipients	\$ 13,523,650.00	(2) Prevent/Eliminate Slums/Blight	\$ 120,834.79
C. Amount Drawn Down	\$ 13,523,650.00	(3) Meet Urgent Community Development Needs	\$ 283,974.35
D. Amount for State Administration	\$ 380,900.00	(4) Acquisition/Rehabilitation Noncountabler	\$ -
E. Technical Assistance	\$ 140,450.00	(5) Local Administration	\$ 983,877.21
F. Section 108 Loans Guarantees	\$ -	Total	\$ 13,448,811.00
G. Fund Rollover to GY 2003	\$ 54,889.00		
H. Fund Rollover to GY 2005	\$ 19,950.00		

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
ANSONIA-98	AC	10. ADA		374,999.88	ED	L/M	3	8	8			
	AC	21A. Admin		5,006.00	PF	L/M	20	20	20	20	20	20
				380,005.88			1	40	40			
BRANFORD-98	AC	14A Residential Rehab		174,981.12	PF	L/M	1	6,551	6,551	1	6,551	6,551
		21A. Admin		25,018.88								
				200,000.00								
BURLINGTON-98	AC	3A. Center (Senior)		488,000.00	H	L/M	1	628	628			
		21A. Admin		12,000.00								
				500,000.00								
CANAAN-98	AC	3A. Center (Senior)		366,757.10	PF	L/M	1	332	169	1	332	169
		21A. Admin		33,242.90								
				400,000.00								
COLCHESTER-98	AC	14A Residential Rehab		137,000.00	H	L/M	15	38	38	14	17	17
	AC	03L Sidewalks		85,500.00	PF	L/M	1	495	305	1	1366	838
	AC	18c.MicroEnterpr. Loan Prg.		225,000.00	ED	L/M	1	495	305	1	1366	838
	AC	21A. Admin		52,500.00								
				500,000.00								
DANIELSON-98	AC	14A Residential Rehab		90,000.00	H	L/M	5-6	14	14	4	5	5
		21A. Admin		10,000.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
DERBY-98	AC	04 Demolition 21A. Admin		100,000.00 - -	H	L/M	17	45	45	N/A	N/A	N/A
EAST LYME-98	AC	14C Res. Rehab Public 21A. Admin		92,300.00 2,700.00 95,000.00	ED	L/M	1	4	4	0	4	4
East Windsor - 98	AC	20. Planning		11,000.00 11,000.00	PO	L/M	N/A	N/A	N/A			
ELLINGTON-98	AC AC AC	05A. Eld. Outreach Worker 20. Planning 21A. Admin		37,045.00 30,000.00 5,055.00 72,100.00	PS PO	L/M	1	1,390	821	1	150	115
GROTON-98	AC AC AC	03K. Infrastructure 3A. Center (Senior) 21A. Admin		38,519.00 206,833.00 50,448.00 295,800.00	PF PF	L/M L/M	1 1	1820 1820	949 765	1 1	1820 1820	949 765
HAMPTON-98	AC	18c. Microenterprise Loan 14A Residential Rehab 21A. Admin		90,000.00 269,000.00 41,000.00 400,000.00	ED H	L/M L/M	1	39	39	1	39	39
KILLINGLY-98	AC AC AC AC AC	03F. Neighborhood Playground 10. ADA 17C. Hotel Rehab. 20. Planning(Rd.Study) 21A. Admin		122,525.97 82,850.00 71,503.75 21,750.00 18,924.03 317,553.75	PF PF PF PO	L/M L/M UN	1 1 N/A 1	965 965 N/A 543	710 710 N/A 543	1 1 1	965 965 543	710 710 543
MARLBOROUGH-98	AC	3A. Center (Senior) 21A. Admin		464,180.04 35,819.96 500,000.00	PF	L/M	1	401	205	1	401	205
MIDDLEBURY-98	AC	05E. Minibus 21A. Admin		58,074.42 8,925.58 67,000.00	PS	L/M	1	1,004	1,004	1	1,004	1,004

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
NAUGATUCK-98	AC	03. Emergency Food Bank 21A. Admin		129,310.40	PF	L/M	1	870	870	1	6396	6396
				10,689.60								
				140,000.00								
NEW HARTFORD-98	AC	10. ADA/Town Hall 21A. Admin		272,504.50	PF	L/M	1	5,769	2,942	1	5769	2942
				27,495.50								
				300,000.00								
NEWINGTON-98	AC	03L Sidewalks 14A Residential Rehab 21A. Admin		187,004.03	PF	L/M	1	354	240	1	354	240
				88,519.70								
				44,476.27								
				320,000.00								
PLYMOUTH-98	AC	20. Planning (Downtown)		-	PO							
				-								
PORTLAND-98	AC	10. ADA Town Hall 21A. Admin		500,000.00	PF	L/M	1	5,950	3,035	1	5950	3035
				-								
				500,000.00								
PRESTON-98	AC	14A Residential Rehab 21A. Admin		272,974.05	H	L/M	20	55	55	13	19	19
				27,025.95								
				300,000.00								
PUTNAM-98	AC	14A Residential Rehab 03L Sidewalks 21A. Admin		168,000.00	H	L/M	20	50	50	15	32	32
				180,000.00								
				52,000.00								
				400,000.00								
ROCKY HILL-98	AC	14A Residential Rehab 21A. Admin		182,000.00	H	L/M	14	52	52	16	37	37
				18,000.00								
				200,000.00								
SHELTON-98	AC	03J. Infrastructure 14A Residential Rehab 21A. Admin		176,761.00	PF	L/M	1	582	413	1	582	413
				184,628.43								
				30,412.01								
				391,801.44								
SOMERS-98	AC	3A. Center (Senior) 21A. Admin		255,810.00	PF	L/M	1	764	390	1	764	390
				24,190.00								
				280,000.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
SOUTHBURY-98	AC	14A Residential Rehab		242,889.62	H	L/M	20	47	47	12	25	25
		03L Sidewalks		127,110.38	PF	L/M	48	70	70	48	70	70
		21A. Admin		30,000.00								
				400,000.00								
SPRAGUE-98 Also funded w/FY 97 FY-97 98,050 FY-98 231,950 (\$20,000 Admin.)	AC	18c. Bus. Loans		52,611.28	ED	S/B	1	600	444	1	600	444
	AC	05E. Mini Van		62,000.00	PS	L/M	1	443	159	1	440	159
	AC	14A Residential Rehab		176,906.08	H	L/M	15	38	38	16	16	16
	AC	03L Sidewalks		68,223.51	H	S/B	1	600	444	1	600	444
	AC	03J. Infrastructure 310,000		212,470.60	PF	UN	N/A	N/A	N/A			
	AC	21A. Admin		58,738.53								
				630,950.00								
STAFFORD-98	AC	03K Street Improvements		312,218.46	PF	L/M	1	271	216	1	271	216
	AC	05E. Elderly Van		51,309.00	PS	L/M	1	130	130	1	130	130
	AC	21A. Admin		46,941.24								
				410,468.70								
STONINGTON-98	AC	03. Ped. Bridge		100,000.00	ED	L/M	1	1,545	1081	1	1157	774
				100,000.00								
SUFFIELD-98	AC	3A. Center (Senior)		254,518.15	PF	L/M						
		21A. Admin		18,481.85								
				273,000.00								
THOMPSON-98	AC	03L Sidewalks		126,503.24	PF	L/M	N/A	1118	630	N/A	1118	630
	AC	05. Public Serv.		61,000.00	PS	L/M	N/A			N/A	291	291
	AC	14A Residential Rehab		276,965.05	H	L/M	12	121	111	12	121	111
	AC	18c. Bus. Loan		3,742.57	ED	L/M	N/A	505	354	N/A	725	725
	AC	21A. Admin		33,282.20								
				501,493.06								
TOLLAND-98	AC	10. ADA		238,500.00	PF	L/M	N/A	N/A	N/A	N/A	N/A	N/A
		21A. Admin		11,500.00								
				250,000.00								
TORRINGTON-98	AC	14A Residential Rehab		163,097.00	H	L/M	42	42	42	42	42	42
		21A. Admin		26,903.00								
				190,000.00								
VERNON-98	AC	14A Residential Rehab		172,001.99	H	L/M	20	36	36	20	36	36
		18c. Job Training		40,000.00	ED	L/M	1	29	29	1	29	29
		03K Street Improvements		94,000.01	PF	L/M	1	10	10	1	10	10

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
WATERTOWN-98	AC	10. ADA/ Elevator		151,998.00	PF	L/M	1	2,698	1,376	1	2698	1376
		05E. Trans. For Eld./Disabled		80,000.00	PS	L/M	1	1,000	900	1	1000	900
		21A. Admin		0.00								
				538,000.00								
WATERTOWN-98	AC	10. ADA/Senior Center		453,555.50	PF	L/M	1	2,723	1,389	1	2723	1389
		21A. Admin		46,444.50								
				500,000.00								
WETHERSFIELD-98	AC	03L Sidewalks		249,907.80	PF	L/M	1	350	350	1	349	349
		21A. Admin		-								
				249,907.80								
WINCHESTER-98	AC	03I. Street Impr./Storm Drains		180,640.56	PF	L/M	1	487	487	1	487	487
		21A. Admin		19,359.44								
				200,000.00								
WINDHAM-98	AC	03K Street Improvements		180,222.34	PF	L/M	1	1,938/4	1,036/4			
	AC	05.Publ. Serv. Literacy		25,000.00	ED	L/M	1	125	122			
	AC	18c.Job Readiness		500,000.00	ED	L/M	1	60	60			
	AC	05M.Publ.Serv.(Sr. Dental Care)		30,000.00	PS	L/M	1	4,160	4,160	1337	830	830
	AC	14A Residential Rehab		154,980.89	H	L/M	25	62	62	17	37	37
	AC	05M.Preventive Health Care		30,000.00	PS	L/M	1	1,150	1,150	12013	1624	1624
	AC	21A. Admin		44,796.77								
				965,000.00								
WINDSOR-98	AC	18c. Business Loans		115,000.00	ED	L/M	5	5/10	5/10			
		14A Residential Rehab		200,000.00	H	L/M	4	11	11	21	78	78
		21A. Admin		50,000.00								
				365,000.00								
WINDSOR LOCKS	AC	10. ADA Improv.		465,000.00	H	L/M	1	46	46	1	100	100
		21A. Admin		35,000.00								
				500,000.00								
GUILFORD - 00	AC	14A Residential Rehab See FY 99 for Line Items & Accomplishments		1,000.00	H	L/M						
NEWINGTON - 01	AC	3A. Center (Senior) See FY 00 for Line Items & Accomplishments		44,000.34	PF	L/M						

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
PROSPECT - 01	AC	14a Residential See FY 00 for Line Items & Accomplishments		25,000.00	H	L/M						
CROMWELL - 01	AC	03 Neigh. Facilities 21A Admin See FY 00 for Line Items & Accomplishments		122,784.64 22,500.00 <u>145,284.64</u>	PF	L/M						
COVENTRY - 02	AC	03K Street Improvements See FY 00 for Line Items & Accomplishments		3,260.14	H	L/M						
WINDSOR LOCKS	AC	3A. Center (Senior) See FY 00 for Line Items & Accomplishments		6,349.51	PF	L/M						
ELLINGTON - 03	AC	14C Res. Rehab Public See FY 00 for Line Items & Accomplishments		206,092.23	PF	L/M						
LEBANON - 04	AC	14A Residential Rehab See FY 03 for Line Items & Accomplishments		18,907.77	H	L/M						
EAST HADDAM - 0	AC	3a Senior Center See FY 00 for Line Items & Accomplishments		2,000.00	PF	L/M						
PROSPECT - 05	AC	3a Senior Center See FY 04 for Line Items & Accomplishments		94,959.56	PF	L/M						
KILLINGLY - 05	AC	3k Street Improvements See FY 04 for Line Items & Accomplishments		57,876.18	PF	L/M						
Coventry '08	C	03K Street Improvements 21A. Admin		8,465.00 13,700.00 <u>22,165.00</u>								
East Windsor '09	C	03I. Street Impr./Storm Drains 21A. Admin		44,450.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments									
							Proposed			Actual						
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs				
Killingly '09	C	14A Residential Rehab 21A. Admin		5,000.00												
Putnam '09		14E Rehab-Commercial 21A. Admin		28,385.00												
AFF. HSG. \$\$ % of FUNDING - HOUSING				\$ 3,848,354.23 28%												
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.				1,338,653.85 10%												
% L/M BENEFIT				89%												

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 1999

All projects in this program year were closed out prior to this report, and there has been no activity in these projects for over a year. Therefore, the program year has been closed out and will not be reported on.

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '99 allocation.

- Newington - 01*
- Plymouth - 00*
- Coventry - 02*
- Windsor Locks - 02*
- East Haddam - 02*
- Colchester - 04*
- Guilford - 00*
- Lebanon - 04
- New Milford - 04

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 2000

All projects in this program year were closed out prior to this report, and there has been no activity in these projects for over a year. Therefore, the program year has been closed out and will not be reported on.

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '00 allocation.

- Ansonia - 02*
- Franklin - 02*
- East Haddam - 02*
- Killingly - 04*
- North Canaan - 01*
- Colchester - 01*
- Lebanon - 04*
- Willington - 02*
- Tolland - 03*
- Brooklyn - 04*
- Newington - 01*
- Granby - 01*
- Hampton - 01*
- Cromwell - 01*
- Colebrook - 01*
- Monroe - 01*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2001

All projects in this program year were closed out prior to this report, and there has been no activity in these projects for over a year. Therefore, the program year has been closed out and will not be reported on.

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '01 allocation.

- Lebanon - 04*
- Newtown - 02*
- Willington - 02*
- Plymouth - 01*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 2002

All projects in this program year were closed out prior to this report, and there has been no activity in these projects for over a year. Therefore, the program year has been closed out and will not be reported on.

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '02 allocation.

- Franklin - 02*
- Stafford - 04*
- New Milford - 05*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2003

All projects in this program year were closed out prior to this report, and there has been no activity in these projects for over a year. Therefore, the program year has been closed out and will not be reported on.

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2004

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '04 allocation.

- Sharon - 05

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 2004
Grant Number B-2004-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 2004 to FY 2010
(1) Allocation	\$ 15,865,419.00	B. Amount Used To:	
(2) Program Income	\$ -	(1) Benefit Low/Moderate Income Persons	\$ 13,972,766.89
B. Amount Obligated to Recipients	\$ 15,289,457.00	(2) Prevent/Eliminate Slums/Blight	\$ -
C. Amount Drawn Down	\$ 15,289,417.00	(3) Meet Urgent Community Development Needs	\$ 350,000.00
D. Amount for State Administration	\$ 417,308.00	(4) Acquisition/Rehabilitation Noncountabler	\$ -
E. Technical Assistance	\$ 158,654.00	(5) Local Administration	\$ 1,198,327.50
F. Section 108 Loans Guarantees	\$ -	Total	\$ 15,521,094.39
G. Rollovers from Pr. Years(see below)	\$ 894,720.02		
H. Moved to 2005	\$ 344.67		
I. Moved to 2006	\$ 459,871.08		
j.. Moved to 2009	\$ 202,866.88		

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Andover - 05	AC	3A Senior Center 21A Admin		\$ 465,000.00 35,000.00 \$ 500,000.00	PF	L/M	N/A	271	271	N/A	271	271
Andover - 05	AC	14A Residential Rehab 21A Admin		\$ 232,500.00 17,500.00 \$ 250,000.00	H	L/M	24	24	24	24	24	24
Ashford	AC	14A Residential Rehab 21A Admin		\$ 370,000.00 30,000.00 \$ 400,000.00	H	L/M	22	71	71	22	71	71
Branford	AC	14C Public Hsg. Modern 21A Admin		\$ 540,000.00 60,000.00 \$ 600,000.00	H	L/M	90	90	90	90	90	90
Bridgewater - 05	AC	3A Senior Center 21A Admin		\$ 450,000.00 50,000.00 \$ 500,000.00	PF	L/M	N/A	360	360	N/A	360	360
Brooklyn	AC	3A Senior Center 21A Admin		\$ 684,655.33 65,000.00 \$ 749,655.33	PF	L/M	N/A	16198	16198	N/A	3663	3663
Colchester - 05	AC	5A Water Facilities 21A Admin		\$ 350,000.00 40,000.00 \$ 390,000.00	PF	UN	N/A	N/A	N/A			
Coventry	AC	14A Residential Rehab 21a Admin		\$ 370,000.00 30,000.00 \$ 400,000.00	H	L/M	20	65	65	8	10	10

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Cromwell - 05	AC	03 ADA 21a Admin		\$ 204,737.50	PF	L/M	N/A	1565	790			
				\$ 42,827.50								
Durham - 05	AC	03 ADA 21a Admin		\$ 470,000.00	PF	L/M	N/A	6627	3351	N/A	6627	6627
				\$ 50,000.00								
East Hampton	AC	14C Public Hsg. Modern 21A Admin		\$ 450,000.00	H	L/M	70	70	70	70	70	70
				\$ 50,000.00								
Ellington - 05	AC	14A Residential Rehab 21a Admin		\$ 452,000.00	H	L/M	14	32	32			
				\$ 48,000.00								
Enfield - 05	AC	14A Residential Rehab 21a Admin		\$ 292,824.72	H	L/M	12	12	12	3	3	3
				\$ 7,175.28								
Franklin	AC	3A Senior Center 21A Admin		\$ 475,000.00	PF	L/M	N/A	240	240	N/A	294	294
				\$ 25,000.00								
Griswold - 05	AC	03K Street Improvements 21A Admin		\$ 500,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
				\$ 50,000.00								
Jewett City - 05	AC	03K Street Improvements 21A Admin		\$ 460,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
				\$ 50,000.00								
Killingly - 05	AC	03K Street Improvements 21A Admin		\$ 417,123.82	PF	L/M	N/A	1032	592	N/A	1032	592
				\$ 25,000.00								
Ledyard - 05	AC	5A Water Facilities 21A Admin		\$ 505,060.58	PF	L/M	N/A	46	25	N/A	46	25
				\$ 20,000.00								
Litchfield - 05	AC	01 Acquisition 21A Admin		\$ 103,000.00	H	L/M	1	N/A	N/A	1	N/A	N/A
				\$ 18,000.00								
New Hartford - 05	AC	14A Residential Rehab 21a Admin		\$ 275,000.00	H	L/M	12	12	12	12	12	12
				\$ 25,000.00								
New Milford - 05	AC	03K Street Improvements 21A Admin		\$ 125,649.22	PF	L/M	N/A	1140	696	N/A	1140	696
				\$ 50,000.00								
North Branford	AC	14C Public Hsg. Modern		\$ 650,000.00	H	L/M	60	60	60	60	60	60

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Old Saybrook - 05		21A Admin		50,000.00								
				\$ 700,000.00								
		03 ADA		\$ 204,651.00	PF	L/M	N/A	1144	1144			
		21a Admin		45,000.00								
				\$ 249,651.00								
Oxford - 05	AC	3A Senior Center		\$ 750,000.00	PF	L/M	N/A	1018	519	N/A	1018	519
				\$ 750,000.00								
Plainfield - 05	AC	05 Public Service		\$ 296,000.00	PS	L/M	N/A	14447	7400	N/A	14447	7400
		21A Admin		4,000.00								
				\$ 300,000.00								
Plymouth - 05	AC	14A Residential Rehab		\$ 285,000.00	H	L/M	12	12	12	15	15	15
		21a Admin		15,000.00								
				\$ 300,000.00								
Pomfret - 05	AC	05 Planning		\$ 50,000.00		L/M	N/A	N/A	N/A	N/A	N/A	N/A
Prospect - 05	AC	3A Senior Center		\$ 540,040.44	PF	L/M	N/A	1153	1153	N/A	1153	1153
		21A Admin		65,000.00								
				\$ 605,040.44								
Southbury - 05	AC	3A Senior Center		\$ 550,000.00	PF	L/M	N/A	4812	4812	N/A	4812	4812
		21A Admin		50,000.00								
				\$ 600,000.00								
Sprague - 05	AC	5A Water Facilities		\$ 550,000.00	PF	L/M	N/A	1498	862	N/A	1498	862
		21A Admin		50,000.00								
				\$ 600,000.00								
Suffield	AC	03k Street Improvements		\$ 650,000.00	PF	L/M						
				\$ 650,000.00								
Tolland - 05	AC	14A Residential Rehab		\$ 351,270.00	H	L/M	N/A	44	44	N/A	30	30
		14C Public Hsg. Modern		\$ 83,730.00	H	L/M						
		21a Admin		40,000.00								
				\$ 475,000.00								
Wethersfield - 05	AC	14A Residential Rehab		\$ 375,000.00	H	L/M	10	30	30	10	30	30
		21a Admin		25,000.00								
				\$ 400,000.00								
Windsor - 05	AC	03K Street Improvements		\$ 775,000.00	PF	L/M	N/A	753	439	N/A	753	439
		21A Admin		25,000.00								
				\$ 800,000.00								
Sharon - 06	AC	14C Public Hsg. Modern See FY 05 for Line Items & Accomplishments		60,349.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
AFF. HSG. \$\$ % of FUNDING - HOUSING	\$4,789,500 31%					Year	Funds Rolled Over					
						00	\$ 40,350.00					
						01	565,496.39					
						02	242,592.23					
						01	35,789.51					
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.	\$0 0%					01	10,000.00					
							491.89					
% L/M BENEFIT	91%					Total	894,720.02					

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2005

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Old Saybrook	- 04*
Ashford	- 06*
Deep River	- 06*
Wethersfield	- 06*
Stonington	- 06*
Franklin	- 07*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 2005
Grant Number B-2005-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 2005 to FY 2009
(1) Allocation	\$ 15,107,297.00	B. Amount Used To:	
(2) Program Income	\$ 79,366.12	(1) Benefit Low/Moderate Income Persons	\$ 12,853,031.83
B. Amount Obligated to Recipients	\$ 14,554,078.00	(2) Prevent/Eliminate Slums/Blight	\$ 550,000.00
C. Amount Drawn Down	\$ 14,554,078.00	(3) Meet Urgent Community Development Needs	\$ -
D. Amount for State Administration	\$ 402,146.00	(4) Acquisition/Rehabilitation Noncountabler	\$ -
E. Technical Assistance	\$ 151,073.00	(5) Local Administration	\$ 1,190,056.54
F. Section 108 Loans Guarantees	\$ -	Total	\$ 14,593,088.37
G. Rollovers from Pr. Years(see below)	\$ 358,457.83		
H. Rollovers to FY 07	\$ 104,535.83		
i. Rollovers to FY 04	\$ 491.89		
i. Rollovers to FY 09	\$ 214,419.74		

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford - 06	AC	5 Public Service 21A Admin		\$ 45,000.00 5,000.00 \$ 50,000.00	PS	L/M	N/A	61	61	N/A	61	61
Berlin - 06	C	14C Public Hsg. Modern 21A Admin		\$ 468,000.00 50,000.00 \$ 518,000.00	H	L/M	40	40	40			
Bethany - 06	AC	14A Residential Rehab 21A Admin	M	\$ 205,318.00 25,000.00 \$ 230,318.00	H	L/M	10	10	10	10	10	10
Bethel - 06	AC	03 ADA 21A Admin		\$ 445,000.00 55,000.00 \$ 500,000.00	PF	L/M	N/A	1775	1775	N/A	1775	1775
Brooklyn - 06	AC	9 Relocation 21A Admin		\$ 124,821.18 15,872.82 \$ 140,694.00	H	L/M	12	12	12	12	12	12
Columbia - 06	AC	03 ADA 21A Admin		\$ 350,000.00 30,000.00 \$ 380,000.00	PF	L/M	N/A	710	605	N/A	605	605
Coventry - 06	AC	3A Senior Center 21A Admin		\$ 710,000.00 40,000.00 \$ 750,000.00	PF	L/M	N/A	1191	1191	N/A	1191	1191
Danielson - 06	AC	03L Sidewalks 21A Admin		\$ 415,513.93 50,000.00 \$ 465,513.93	PF	L/M	N/A	1700	1146	N/A	1700	1146
Easton - 06	AC	3A Senior Center	M	\$ 727,340.00	PF	L/M	N/A	970	970			

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Essex - 06	AC	21A Admin	M	\$ 22,660.00	H	L/M	36	36	36	36	36	36
		14C Public Hsg. Modern 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Franklin - 06	AC	14A Residential Rehab 21A Admin		\$ 460,000.00 \$ 40,000.00 \$ 500,000.00	PF	L/M	18	18	18	18	6	6
		04 Demolition 21A Admin		\$ - \$ - \$ -								
Griswold - 06	AC	03j Sewer Lines 21A Admin		\$ 510,000.00 \$ 55,000.00 \$ 565,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
		14C Public Hsg. Modern 21A Admin		\$ 516,109.40 \$ 42,623.72 \$ 558,733.12								
Killingly - 06	AC	3A Senior Center 21A Admin	M M	\$ 710,000.00 \$ 40,000.00 \$ 750,000.00	PF	L/M	N/A	803	803	N/A	803	803
		14C Public Hsg. Modern 21A Admin		\$ 275,000.00 \$ 25,000.00 \$ 300,000.00								
Lebanon - 06	AC	03 ADA 21A Admin		\$ 545,000.00 \$ 55,000.00 \$ 600,000.00	PF	L/M	N/A	4700	4700	N/A	5033	5033
		14C Public Hsg. Modern 21A Admin		\$ 725,000.00 \$ 75,000.00 \$ 800,000.00								
Middlefield - 06	AC	14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00	ED	L/M	13	6757	3619	13	6757	3619
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Naugatuck - 06	AC	14A Residential Rehab 21a Admin		\$ 275,000.00 25,000.00 \$ 300,000.00	H	L/M	12	36	36	12	36	36
		14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00								
Preston - 06	AC	14C Public Hsg. Modern 21A Admin		\$ 725,000.00 \$ 75,000.00 \$ 800,000.00	H	L/M	40	40	40	40	40	40
		14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00								
Putnam - 06	AC	14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00	ED	L/M	13	6757	3619	13	6757	3619
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Redding - 06	AC	14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00	ED	L/M	13	6757	3619	13	6757	3619
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Roxbury - 06	AC	14A Residential Rehab 21a Admin		\$ 275,000.00 25,000.00 \$ 300,000.00	H	L/M	12	36	36	12	36	36
		14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00								
Salisbury - 06	AC	14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00	H	L/M	16	29	29	16	29	29

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments										
							Proposed			Actual							
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/					
Franklin - 07	AC	03 ADA 21A Admin		\$65,000.00													
AFF. HSG. \$\$ % of FUNDING - HOUSING	\$5,656,544 39%					Year	Funds Rolled Over										
						04	344.67										
						01	3,000.80										
						02	124,333.79										
						98	19,950.00										
						00	210,828.57										
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.	\$985,000 7%					Total	358,457.83										
%% L/M BENEFIT	88%																

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2006

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Derby returned	\$1,103
Ashford returned	\$3,428

Projects came in under budget and these funds returned or not drawdown

U.S. Department of Housing
and Urban Development

State Connecticut				Reporting Period FY 2006								
Grant Number B-2006-DC-09-001				Data As of June 30, 2011								
1 Financial Status		2 National Objectives										
A. Total Funds		A. Period Specified for Benefit				FY 2006	to	FY 2010				
(1) Allocation \$ 13,645,095.00		B. Amount Used To:										
(2) Program Income \$ 0.00		(1) Benefit Low/Moderate Income Persons						\$12,693,930.49				
B. Amount Obligated to Recipients \$ 13,135,742.00		(2) Prevent/Eliminate Slums/Blight						\$0.00				
C. Amount Drawn Down \$ 13,121,645.35		(3) Meet Urgent Community Development Needs						\$260,000.00				
D. Amount for State Administration \$ 372,902.00		(4) Acquisition/Rehabilitation Noncountabler						\$0.00				
E. Technical Assistance \$ 136,451.00		(5) Local Administration						\$1,215,049.65				
F. Section 108 Loans Guarantees \$ 0.00						Total		\$14,168,980.14				
G. Rollovers from Pr. Years(see below) \$ 1,480,258.00												
H. Rollover to 07 \$ 50,000.00												
H. Rollover to 09 \$ 503,576.45												
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford - 06	AC	14C Public Hsg. Modern 21A Admin		\$437,556.60	H	L/M	32	32	32	32	32	32
				\$30,000.00								
Bethlehem - 06	AC	14C Public Hsg. Modern 21A Admin		\$361,877.75	H	L/M	24	24	24	24	24	24
				\$55,000.00								
Branford - 06	AC	14C Public Hsg. Modern 21A Admin		\$540,000.00	H	L/M	90	90	90	90	90	90
				\$60,000.00								
Canton - 06	C	14C Public Hsg. Modern 21A Admin		\$500,500.00	H	L/M	40	40	40			
				\$49,500.00								
Cheshire - 06	AC	14C Public Hsg. Modern 21A Admin		\$240,000.00	H	L/M	15	15	15	7	7	7
				\$30,000.00								
Coventry - 06	AC	14A Housing Rehab 21A Admin		\$275,000.00	H	L/M	14	14	14	14	14	14
				\$25,000.00								
Deep River - 06	AC	14C Public Hsg. Modern 21A Admin		\$218,931.15	H	L/M	26	26	26	26	26	26
				\$12,283.85								
Derby - 06	AC	3A Senior Center 21A Admin		\$394,696.91	PF	L/M	N/A	2284	1553			
				\$4,200.00								
East Granby - 06	AC	03J. Sewer/Water 21A. Admin	M M	\$590,282.92	PF	L/M	72	84	84	72	84	84
				\$54,717.08								
East Hampton - 06	C	04 Demolition 21A Admin		\$142,340.32	N/A	UN	N/A	466	466			
				\$40,000.00								
Franklin - 06	AC	05 Planning		\$11,000.00	N/A	L/M	N/A	N/A	N/A	N/A	N/A	N/A
				\$11,000.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford -06	AC	14C Public Hsg. Modern		\$437,556.60	H	L/M	32	32	32	32	32	32
Guilford - 06	AC	14C Public Hsg. Modern 21A Admin		\$660,000.00	H	L/M	50	50	50	50	50	50
				\$40,000.00								
				\$700,000.00								
Hampton - 06	AC	14A Housing Rehab 21A Admin		\$452,000.00	H	L/M	18	18	18	12	12	12
				\$48,000.00								
				\$500,000.00								
Hebron - 06	AC	03 ADA 21A Admin		\$368,000.00	PF	L/M	N/A	687	350	N/A	687	350
				\$32,000.00								
				\$400,000.00								
Killingly - 06	AC	14C Public Hsg. Modern 21A Admin		\$280,000.00	H	L/M	43	43	43	43	43	43
				\$20,000.00								
				\$300,000.00								
Killingly - 06	AC	14A Housing Rehab 21A Admin		\$450,000.00	H	L/M	15	15	15	15	15	15
				\$50,000.00								
				\$500,000.00								
Lebanon - 06	AC	14A Housing Rehab 21A Admin		\$275,000.00	H	L/M	15	15	15	15	15	15
				\$25,000.00								
				\$300,000.00								
Newtown - 06	AC	14C Public Hsg. Modern 21A Admin		\$535,000.00	H	L/M	134	134	134	134	134	134
				\$65,000.00								
				\$600,000.00								
New Fairfield - 06	AC	3A Senior center 21A Admin		\$600,000.00								
				\$50,000.00								
				\$650,000.00								
Plainfield - 06	AC	03J. Sewer/Water 21A. Admin		\$545,000.00	PF	L/M	46	132	77	46	132	77
				\$55,000.00								
				\$600,000.00								
Plainville - 06	AC	3A Senior Center 21A Admin		\$920,000.00	PF	L/M	N/A	2462	2462	N/A	2462	2462
				\$80,000.00								
				\$1,000,000.00								
Simsbury - 06	AC	14C Public Hsg. Modern 21A Admin	M M	\$709,282.99	H	L/M	110	110	110	110	110	110
				\$65,717.01								
				\$775,000.00								
Somers 06	AC	03 Public Facilities 21A Admin		\$665,303.52	PF	L/M						
				\$34,696.48								
				\$700,000.00								
Southington - 06	C	14C Public Hsg. Modern 21A Admin		\$605,000.00	H	L/M	N/A	40	40			
				\$70,000.00								
				\$675,000.00								
Stonington - 06	AC	3A Senior Center 21A Admin		\$908,510.75	PF	L/M	N/A	3659	3659	N/A	3659	3659
				\$67,123.13								
				\$975,633.88								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford -06	AC	14C Public Hsg. Modern		\$437,556.60	H	L/M	32	32	32	32	32	32
Wethersfield - 06	AC	14A Housing Rehab		\$122,800.00	H	L/M	10	10	10	10	10	10
		21A Admin		\$25,000.00								
				\$147,800.00								
Windham - 06	AC	03E Neighbor Facility		\$165,788.00	PF	L/M	N/A	12050	8626	N/A	12050	8626
		03L Sidewalks		\$189,212.00	PF							
		17c Rehab of Comm. Bui		\$11,560.50	PF							
		14A Public Rehab		\$171,627.40	H							
		05 Public Service		\$100,000.00	PS							
		05 Planning		\$20,000.00	P							
		21A Admin		\$96,812.10								
				\$755,000.00								
Woodstock - 06	AC	14A Housing Rehab		\$370,000.00	H	L/M	16	16	16	13	13	13
		21A Admin		\$30,000.00								
				\$400,000.00								
To Be Reallocated				\$ 11,103.09			Funds Rolled Over from previous years					
AFF. HSG. \$\$												
% of FUNDING - HOUSING	\$7,538,500											
	57%											
ECON. DEV. \$\$					02		80,560.00					
% of FUNDING - ECON. DEV.	\$0				03		939,826.92					
	0%				04		459,871.08					
% L/M BENEFIT	97%						1,480,258.00					

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2007

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Mansfield	\$38,792
Windsor Locks	\$82,705
Wallingford	\$82,305.03

Projects came in under budget and returned funds or did not drawdown

U.S. Department of Housing
and Urban Development

State Connecticut				Reporting Period FY 2007					
Grant Number B-2007-DC-09-001				Data As of June 30, 2011					
1 Financial Status		2 National Objectives							
A. Total Funds		A. Period Specified for Benefit		FY 2007		to		FY 2010	
(1) Allocation		B. Amount Used To:							
(2) Program Income		(1) Benefit Low/Moderate Income Persons						\$11,963,250.00	
B. Amount Obligated to Recipients		(2) Prevent/Eliminate Slums/Blight						\$0.00	
C. Amount Drawn Down		(3) Meet Urgent Community Development Needs						\$0.00	
D. Amount for State Administration		(4) Acquisition/Rehabilitation Noncountabler						\$0.00	
E. Technical Assistance		(5) Local Administration						\$1,216,750.00	
F. Section 108 Loans Guarantees								Total	
G. Rollovers from Pr. Years(see below)								\$13,180,000.00	
H. Rollovers to '08									
i. Rollovers to '09									

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Brookfield - 07	C	3A Senior Center		\$678,750.00	PF	L/M	N/A	3750	3750			
		21A Admin		\$71,250.00								
				\$750,000.00								
Deep River - 07	AC	03 ADA	M	\$141,401.13	PF	L/M	N/A	1249	1249			
		21A Admin		\$8,598.87								
				\$150,000.00								
East Haven - 07	AC	14A Residential Rehab		\$285,000.00	H	L/M	10	10	10	10	10	10
		21A Admin		\$15,000.00								
				\$300,000.00								
Ellington - 07	AC	14A Residential Rehab		\$270,000.00	H	L/M						
		21A Admin		\$30,000.00								
				\$300,000.00								
Enfield - 07	C	14A Residential Rehab		\$280,000.00	H	L/M	14	14	14			
		21A Admin		\$20,000.00								
				\$300,000.00								
Franklin - 07	AC	03 ADA		\$119,000.00	PF	L/M	N/A	243	243	N/A	243	243
		21A Admin		\$16,000.00								
				\$135,000.00								
Guilford - 07	AC	14C Public Hsg. Modern		\$687,009.23	H	L/M	43	43	43	43	43	43
		21A Admin		\$12,990.77								
				\$700,000.00								
Killingly - 07	AC	14A Residential Rehab		\$283,000.00	H	L/M	15	15	15	6	6	6
		21A Admin		\$17,000.00								
				\$300,000.00								
Mansfield - 07	AC	14C Public Hsg. Modern		\$396,208.08	H	L/M	36	36	36	36	36	36
		21A Admin		\$54,000.00								

			\$450,208.08															
Middlebury - 07	C	03 ADA 21A Admin	\$620,000.00 \$75,000.00 \$695,000.00	PF	L/M	N/A	6575	6575										
Middlefield - 07	C	14A Residential Rehab 21A Admin	\$275,000.00 \$25,000.00 \$300,000.00	H	L/M	8	8	8										
New Hartford - 07	C	14A Residential Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	10	10	10										
Pomfret - 07	C	14C Public Hsg. Modern 21A Admin	\$425,500.00 \$24,500.00 \$450,000.00	H	L/M													
Old Saybrook - 07		14A Residential Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	25	25	25										
Salisbury - 07	C	3A Senior Center 21A Admin	\$675,000.00 \$75,000.00 \$750,000.00	PF	L/M	N/A	975	975										
Southbury - 07	C	14C Public Hsg. Modern 21A Admin	\$625,000.00 \$75,000.00 \$700,000.00	H	L/M	48	48	48										
Sprague - 07	AC	03L Sidewalks 21A Admin	\$450,000.00 \$50,000.00 \$500,000.00	PF	L/M	N/A	1498	862	N/A	1498	862							
Stafford - 07	C	03K Street Improvements 21A Admin	\$436,000.00 \$64,000.00 \$500,000.00	PF	L/M	N/A	812	706										
Thompson - 07	C	03 ADA 21A Admin	\$415,000.00 \$45,000.00 \$460,000.00	PF	L/M	N/A	1781	1781										
Tolland - 07	AC	3A Senior Center 21A Admin	\$710,000.00 \$40,000.00 \$750,000.00	PF	L/M	N/A	1310	1310	N/A	1310	1310							
Torrington - 07	AC	14C Public Hsg. Modern 21A Admin	\$630,000.00 \$70,000.00 \$700,000.00	H	L/M	39	39	39	39	39	39							
Vernon - 07	C	03K Street Improvements 21A Admin	\$435,000.00 \$65,000.00 \$500,000.00	PF	L/M	N/A	989	923										
Wallingford - 07	AC	14C Public Hsg. Modern 21A Admin	\$612,897.54 \$46,500.00 \$659,397.54	H	L/M	30	30	30										

Winchester - 07	AC	14C Public Hsg. Modern 21A Admin	\$566,572.84 \$66,000.00 \$632,572.84	H	L/M	119	119	119	119	119	119
Windsor - 07		14A Residential Rehab 21A Admin	\$250,000.00 \$50,000.00 \$300,000.00	H	L/M	17	17	17			
Windsor Locks - 07	AC	03 ADA 21A Admin	\$450,639.19 \$63,555.78 \$514,194.97	PF	L/M	N/A	12043	6200	N/A	12043	6200
Wolcott - 07	C	14A Residential Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	10	10	10			
Woodbridge - 07	C	14A Residential Rehab 21A Admin	\$275,000.00 \$25,000.00 \$300,000.00	H	L/M						
East Haven '09		14AHousing Rehab. Prog. 21AAdmin	\$45,427.16 \$0.00 \$45,427.16	H	L/M						
To be reallocated			\$ 138,199.41								
AFF. HSG. \$\$				02		2,028.75					
% of FUNDING - HOUSING	\$6,756,500 51%			03		241,474.92					
				05		104,535.83					
ECON. DEV. \$\$				06		50,000.00					
% of FUNDING - ECON. DEV.	\$0 0%					398,039.50					
% L/M E% L/M BENEFIT	91%										

Funds Rolled Over from previous years

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2008

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

2007 rollover to 2008	\$372,096.50
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U.S. Department of Housing
and Urban Development

State Connecticut				Reporting Period FY 2008								
Grant Number B-2008-DC-09-001				Data As of June 30, 2011								
1 Financial Status				2 National Objectives								
A. Total Funds (1) Allocation \$ 13,330,342.00 (2) Program Income \$ 0.00 B. Amount Obligated to Recipients \$ 12,798,729.94 C. Amount Drawn Down \$ 12,448,229.02 D. Amount for State Administration \$ 366,607.00 E. Technical Assistance \$ 133,303.00 F. From FY 09 \$ 5,700.00 G. Rollovers from Pr. Years(see below) \$ 372,096.50 H. Rollover to GY 2009 409,498.56				A. Period Specified for Benefit B. Amount Used To: (1) Benefit Low/Moderate Income Persons \$10,930,229.94 (2) Prevent/Eliminate Slums/Blight \$0.00 (3) Meet Urgent Community Development Needs \$0.00 (4) Acquisition/Rehabilitation Noncountabler \$675,000.00 (5) Local Administration \$1,193,500.00 Total \$12,798,729.94								
				FY 2008 to FY 2010								
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford '08	C	05 Food Bank		\$45,000.00	PS	L/M	N/A	30	30			
		21A Admin		\$5,000.00								
				\$50,000.00								
Branford '08		14D Housing Rehab		\$620,000.00	H	L/M	22	N/A	N/A			
		21A Admin		\$80,000.00								
				\$700,000.00								
Brooklyn '08	C	03K Street Improvements		\$440,000.00	PF	L/M	N/A	68	68			
		21A Admin		\$60,000.00								
				\$500,000.00								
Canterbury '08	C	14A Housing Rehab		\$270,000.00	H	L/M	10	N/A	N/A			
		21A Admin		\$30,000.00								
				\$300,000.00								
Coventry '08	C	03K Street Improvements		\$316,000.00	PF	L/M						
		21A Admin		\$16,300.00								
				\$332,300.00								
East Haven '08	C	14A Housing Rehab		\$285,000.00	H	L/M	15	N/A	N/A			
		21A Admin		\$15,000.00								
				\$300,000.00								
Ellington '08	AC	14a Housing Rehab		\$270,000.00	H	L/M	7	N/A	N/A			
		21a Admin		\$30,000.00								
				\$300,000.00								
Jewett City '08	C	03L Sidewalk Improvements		\$434,300.00	PF	L/M	N/A	1733	1733			
		21A Admin		\$60,000.00								
				\$494,300.00								
Killingly '08	C	14E Rehab, Publicly or privately owned		\$460,000.00	ED	L/M	N/A	608	608			
		21A Admin		\$40,000.00								
				\$500,000.00								
Litchfield '08	C	14A Housing Rehab		\$425,000.00	H	L/M	2	N/A	N/A			
		21A Admin		\$75,000.00								
				\$500,000.00								

Lebanon '08	AC	14A	Housing Rehab		\$270,000.00	H	L/M	16	16	16	11	11	11	
		21A	Admin		\$30,000.00									
					\$300,000.00									
Monroe '08		14C	Public hsg Modernization		\$630,000.00	H	L/M	30	N/A	N/A				
		21A	Admin		\$70,000.00									
					\$700,000.00									
New Milford '08	C	03	Street Improvements		\$440,000.00	PF	L/M	N/A	2247	2247				
		21A	Admin		\$60,000.00									
					\$500,000.00									
Norfolk '08		14D	Senior Hsg. Renovations		\$605,000.00	H	L/M	28	N/A	N/A				
		21A	Admin		\$70,000.00									
					\$675,000.00									
North Haven '08	C	03A	Senior Center Rehab		\$675,000.00	PF	L/M	N/A	4967	4967				
		21A	Admin		\$75,000.00									
					\$750,000.00									
Oxford '08	C	14C	Senior Housing Rehab		\$700,000.00	H	L/M	34	N/A	N/A				
		21A	Admin		\$700,000.00									
					\$700,000.00									
Plainfield '08	C	03L	Sidewalks		\$465,000.00	PF	L/M	N/A	2471	2471				
		21A	Admin		\$35,000.00									
					\$500,000.00									
Prospect '08	C	14A	Housing Rehab		\$280,000.00	H	L/M	4	N/A	N/A				
		21A	Admin		\$20,000.00									
					\$300,000.00									
Putnam '08	C	14A	Housing Rehab		\$255,000.00	H	L/M	16	N/A	N/A				
		21A	Admin		\$45,000.00									
					\$300,000.00									
Sprague '08	AC	03	Public Facility	M	\$445,100.00	PF	L/M	N/A	1498	862	N/A	1498	862	
		21A	Admin		\$54,900.00									
					\$500,000.00									
Stafford '08	AC	14A	Housing Rehab		\$270,000.00	H	L/M	N/A	1498	1498				
		21A	Admin		\$30,000.00									
					\$300,000.00									
Suffield '08	AC	03A	Senior Center		\$75,000.00	PF	L/M	N/A	2207	2207	N/A	2207	2207	
		01	Acquisiton		\$675,000.00									
					\$750,000.00									
Torrington '08	AC	03	Public Facility		\$384,700.00	PF	L/M	N/A	6637	6637				
		21A	Admin		\$69,300.00									
					\$454,000.00									
Vernon '08	AC	03K	Street Improvements		\$440,000.00	PF	L/M	N/A	6987	6987				
		21A	Admin		\$60,000.00									
					\$500,000.00									
Watertown '08	AC	14A	Housing Rehab		\$285,000.00	H	L/M	11	N/A	N/A				
		21A	Admin		\$15,000.00									
					\$300,000.00									
Winchester '08	AC	03L	Sidewalks		\$435,000.00	PF	L/M	N/A	1429	1429				

		21A	Admin	\$65,000.00									
				\$500,000.00									
Windsor '08		03	Public Facility	\$402,000.00	PF	L/M	N/A	1746	1746				
		21A	Admin	\$63,000.00									
				\$465,000.00									
Wolcott '08		14A	Housing Rehab	\$280,000.00	H	L/M	7	N/A	N/A				
		21A	Admin	\$20,000.00									
				\$300,000.00									
East Haven '09		14A	Housing Rehab. Prog.	\$28,129.94	H	L/M							
		21A	Admin	\$0.00									
				\$28,129.94									
								Funds Rolled Over from previous years					
								07	372,096.50				
AFF. HSG. \$\$													
% of FUNDING - HOUSING	\$5,445,700												
	43%												
ECON. DEV. \$\$													
% of FUNDING - ECON. DEV.	\$460,000												
	4%												
% L / % L/M BENEFIT	85%												

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2009

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

2008 rollover to 2009	\$409,498.56
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U.S. Department of Housing
and Urban Development

State Connecticut				Reporting Period FY 2009									
Grant Number B-2009-DC-09-001				Data As of June 30, 2011									
1 Financial Status				2 National Objectives									
A. Total Funds				A. Period Specified for Benefit				FY 2009		to		FY 2010	
(1) Allocation		\$ 13,532,318.00		B. Amount Used To:									
(2) Program Income		\$ 0.00		(1) Benefit Low/Moderate Income Persons								\$12,324,942.90	
B. Amount Obligated to Recipients		\$ 13,667,442.90		(2) Prevent/Eliminate Slums/Blight								\$0.00	
C. Amount Drawn Down		\$ 8,516,308.19		(3) Meet Urgent Community Development Needs								\$0.00	
D. Amount for State Administration		\$ 370,647.00		(4) Acquisition/Rehabilitation Noncountable								\$0.00	
E. Technical Assistance		\$ 136,523.00		(5) Local Administration								\$1,342,500.00	
F. Section 108 Loans Guarantees		\$		Total								\$13,667,442.90	
G. Rollovers from Pr. Years(see below)		\$ 409,498.56											
H. Rollovers to GY 2008		\$											
I. Rollover to GY 2010		\$ 232,796.34											
				8. Accomplishments									
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	Proposed			Actual			
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/	
Ashford '09		14A Ashford Housing Rehab		\$270,000.00	H	L/M	10	10	10				
		21A Ashford Admin		\$30,000.00									
				\$300,000.00									
Berlin '09		14C Senior Hsng. Reconstruction		\$650,000.00	H	L/M	30	30	30				
		21A Admin		\$50,000.00									
				\$700,000.00									
Bethel '09		14A Housing Rehab.		\$280,000.00	H	L/M	15	15	15				
		21A Admin		\$20,000.00									
				\$300,000.00									
Brooklyn '09		03 Tiffany Street Reconst		\$440,000.00	PF	L/M	N/A	1323	1323				
		21A Admin		\$60,000.00									
				\$500,000.00									
Clinton '09		14A Housing Rehab		\$285,000.00	H	L/M	9	9	9				
		21A Admin		\$15,000.00									
				\$300,000.00									
Coventry '09		14A Housing Rehab Prog.		\$270,000.00	H	L/M							
		21A Admin		\$30,000.00									
				\$300,000.00									
East Haven '09		14A Housing Rehab. Prog.		\$211,442.90	H	L/M	4	4	4				
		21A Admin		\$15,000.00									
				\$226,442.90									
East Hampton '09		03A Senior Center Renovations		\$680,000.00	PF	L/M	N/A	1302	1302				
		21A Admin		\$70,000.00									
				\$750,000.00									
East Windsor '09	C	03I Neighborhood Drainage & Str.		\$337,500.00	PF	L/M	N/A	351	351				
		21A Admin		\$53,500.00									
				\$391,000.00									
Ellington '09		14A Regional Housing Rehab		\$270,000.00	H	L/M							
		21A Admin		\$30,000.00									
				\$300,000.00									

Farmington '09	14C 21A	Rehab. Maple Village Admin	\$640,000.00	H	L/M	40	40	40				
			\$60,000.00									
			\$700,000.00									
Hampton '09	14A 21A	Housing Rehab Admin	\$270,000.00	H	L/M	10	12	12				
			\$30,000.00									
			\$300,000.00									
Jewett City '09	C 14C 21A	Elderly Housing Rehab Admin	\$631,000.00	H	L/M	20	20	20				
			\$69,000.00									
			\$700,000.00									
Killingly '09	C 14A 21A	Housing Rehab Admin	\$270,000.00	H	L/M	12	12	12				
			\$35,000.00									
			\$305,000.00									
Litchfield '09	C 14C 21A	Housing Authority Wells Run Admin	\$635,000.00	H	L/M	30	30	30				
			\$65,000.00									
			\$700,000.00									
Middlefield '09	14A 21A	Housing Rehab. Admin	\$275,000.00	H	L/M							
			\$25,000.00									
			\$300,000.00									
New Hartford '09	14A 21A	Housing Rehab Admin	\$280,000.00	H	L/M							
			\$20,000.00									
			\$300,000.00									
Newington '09	03K 21A	Mill Street Extension Admin	\$440,000.00	PF	L/M	N/A	63	63				
			\$60,000.00									
			\$500,000.00									
North Branford '09	14C 21A	Hillside Terrace Senior Hsng. Improvements Admin	\$635,000.00	H	L/M	60	60	60				
			\$65,000.00									
			\$700,000.00									
Plainville '09	C 03L 21A	Sidewalks and Curb cuts Admin	\$440,000.00	PF	L/M	N/A	3238	3238				
			\$60,000.00									
			\$500,000.00									
Plymouth '09	14A 21A	Town-side Hsng. Rehab. Prog. Admin	\$285,000.00	H	L/M	5	5	5				
			\$15,000.00									
			\$300,000.00									
Putnam '09	14E 21A	Commercial Façade Program Admin	\$350,000.00	ED	L/M							
			\$45,000.00									
			\$395,000.00									
Sprague '09	10 21A	Grist Mill ADA Admin	\$600,000.00	PF	L/M	N/A	2971	2971				
			\$75,000.00									
			\$675,000.00									
Stafford '09	03 21A	Prospect Street Phase 2 Admin Admin	\$440,000.00	PF	L/M	N/A	1550	1550				
			\$60,000.00									
			\$500,000.00									
Suffield '09	03	Housing Authority Proj.	\$125,000.00	PF	L/M	N/A	75	75				
			\$125,000.00									

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2010

PART 1

Program Income

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2009 rollover to 2010	\$232,796.34
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				\$500,000.00								
Farmington '10	14a	Housing Rehab		\$280,000.00	H	L/M	12	12	12			
	21a	Admin		\$20,000.00								
				\$300,000.00								
Franklin '10	14a	Housing Rehab		\$270,000.00	H	L/M	10	10	10			
	21a	Admin		\$30,000.00								
				\$300,000.00								
Guilford '10	14c	Senior Housing Rehab		\$355,000.00	H	L/M	N/A	30	30			
	21a	Admin		\$20,000.00								
				\$375,000.00								
Hampton '10	14a	Housing Rehab		\$360,000.00	H	L/M	12	12	12			
	21a	Admin		\$40,000.00								
				\$400,000.00								
Jewett City '10	14c	Senior Housing Rehab		\$510,000.00	H	L/M	10	10	10			
	21a	Admin		\$65,000.00								
				\$575,000.00								
Killingly '10	14c	Senior Housing Rehab		\$590,000.00	H	L/M	15	15	15			
	21a	Admin		\$20,000.00								
				\$610,000.00								
Lebanon '10	14a	Housing Rehab		\$270,000.00	H	L/M	16	16	16			
	21a	Admin		\$30,000.00								
				\$300,000.00								
Morris '10	14c	Senior Housing Rehab		\$500,000.00	H	L/M	10	10	10			
	21a	Admin		\$55,000.00								
				\$555,000.00								
Naugatuck '10	03l	Flood and Drainage		\$450,000.00	PF	L/M	N/A	1919	1919			
	21a	Admin		\$50,000.00								
				\$500,000.00								
New Fairfield '10	14a	Housing Rehab		\$270,000.00	H	L/M	10	10	10			
	21a	Admin		\$30,000.00								
				\$300,000.00								
Newtown '10	14b	Senior Housing ADA		\$486,000.00	H	L/M	120	120	120			
	21a	Admin		\$60,000.00								
				\$546,000.00								
Plainville '10	14a	Residential Rehab		\$285,000.00	H	L/M						
	21a	Admin		\$15,000.00								
				\$300,000.00								
Plymouth '10	14a	Housing Rehab		\$280,000.00	H	L/M	16	16	16			
	21a	Admin		\$20,000.00								
				\$300,000.00								
Sprague '10	03k	Street Reconstruction		\$440,000.00	PF	L/M	N/A	1550	1550			
	21a	Admin		\$60,000.00								
				\$500,000.00								
Stafford '10	03k	Street Improvements		\$440,000.00	PF	L/M	N/A	1550	1550			

		21a Admin	\$60,000.00							
			\$500,000.00							
Tolland '10		3 ADA Town Hall Improvements	\$442,000.00	PF	L/M	N/A	1043	1043		
		21a Admin	\$38,000.00							
			\$480,000.00							
Torrington '10		14a Housing Rehab	\$280,000.00	H	L/M	15	15	15		
		21a Admin	\$20,000.00							
			\$300,000.00							
Vernon '10		03k Street reconstruction	\$217,500.00	PF	L/M	N/A	6987	6987		
		21a Admin	\$32,500.00							
			\$250,000.00							
Watertown '10		14a Housing Rehab	\$280,000.00	H	L/M	3	3	3		
		21a Admin	\$20,000.00							
			\$300,000.00							
Wethersfield '10		14c Senior Housing Rehab	\$545,000.00	H	L/M	32	32	32		
		21a Admin.	\$55,000.00							
			\$600,000.00							
Windham '10		14a Housing Rehab	\$270,000.00	H	L/M	13	13	13		
		21a Admin	\$30,000.00							
			\$300,000.00							
Windsor '10		14c Senior Housing Rehab	\$294,000.00	H	L/M	40	40	40		
		21a Admin	\$56,000.00							
			\$350,000.00							
Windsor Locks '10		03k Street Reconstruction	\$435,000.00	PF	L/M	N/A	1443	1443		
		21a Admin	\$65,000.00							
			\$500,000.00							
Wolcott '10		14a Housing Rehab. Prog.	\$280,000.00	H	L/M	12	12	12		
		21a Admin	\$20,000.00							
			\$300,000.00							
Woodstock '10		14a Residential Rehab	\$270,000.00	H	L/M	12	12	12		
		21a Admin	\$30,000.00							
			\$300,000.00							
Unallocated			\$ 400,155.00							
AFF. HSG. \$\$										
% of FUNDING - HOUSING	\$9,561,900									
	70%									
ECON. DEV. \$\$										
% of FUNDING - ECON. DEV.	\$0									
	0%									
% L/M BENEFIT	91%									

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2011

PART 1

Program Income

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2010 rollover to 2011	\$632,951.34
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Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency DECD				Check if <input type="checkbox"/> PHA <input type="checkbox"/> IHA	2. Location (City, State, Zip Code) 505 Hudson Street Hartford, CT 06106								
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184	4. Reporting Period <input checked="" type="checkbox"/> July 1 - June 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.					6. Date Submitted to Field Office			
Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Ansonia SC1000201	\$48,000	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$28,375	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
		1	1	No		No	20-0393356	No	Jeff Lowy	303 Bridge Street	Thomaston	CT	06877
		1	1	No		No	56-2677992	No	Robert Iapoluccio	18 Birchwood Road	New Milford	CT	06776
	\$31,800	1	4	No	11-3715293	No		No	E. Soto Renovations, LLC	151 New Park Avenue	Hartford	CT	06106
		1	1	No		No	27-3748284	No	Andrew Wolton Plumbing & Heating, LLC	26 Kimberly Road	West Hartford	CT	06107
		1	1	No		No	35-2186105	No	Valle Electric	10 Pomeroy Road	Enfield	CT	06082
	\$32,480	1	1	No	74-3077900	No		No	Villa Gesell Construction, LLC	40 Alexander Drive	Bridgeport	CT	06606
		1	1	No		No	20-8357196	No	DJP Electric	723 Long Hill Avenue	Shelton	CT	06484
		1	1	No		No	06-1554887	No	East Coast Plumbing	13 Cherry Street	Milford	CT	06460
	\$24,500	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
		1	1	No		No	20-0393356	No	Jeff Lowy	303 Bridge Street	Thomaston	CT	06877
		1	1	No		No	56-2677992	No	Robert Iapoluccio	18 Birchwood Road	New Milford	CT	06776
	\$15,900	1	1	No	74-3077900	No		No	Villa Gesell Construction, LLC	40 Alexander Drive	Bridgeport	CT	06606
		1	1	No		No	20-8357196	No	DJP Electric	723 Long Hill Avenue	Shelton	CT	06484
		1	1	No		No	06-1554887	No	East Coast Plumbing	13 Cherry Street	Milford	CT	06460
Ashford SC0900301	\$9,105	1	1	No	06-1342236	No		No	Chace Building Supply	90 Route 171	Woodstock	CT	06281

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$11,895	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	\$18,250	1	1	No	049-46-4076	No		No	R.M. Contracting, Inc.	97 Sherry Circle	Tolland	CT	06084
	\$465	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$435	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$13,795	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	\$18,850	1	1	No	049-46-4076	No		No	R.M. Contracting, Inc.	97 Sherry Circle	Tolland	CT	06084
	\$2,050	1	1	No		No	06-1386828	No	Ackert Electric	PO Box 406	Coventry	CT	06238
	\$10,000	1	1	No		No	77-0687975	No	Londi Plumbing	PO Box 1	Enfield	CT	06082
	\$24,900	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$6,075	1	1	No		No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$1,450	1	1	No		No		No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$24,900	1	1	No		No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,500	1	1	No		No		No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$2,875	1	1	No		No		No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$11,250	1	1	No		No		No	Hany Mechanical Services	44 Lower Butcher Road	Ellington	CT	06029
	\$10,345	1	1	No		No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	\$25,800	1	1	No		No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$1,400	1	1	No		No		No	Muzio Plumbing & Heating	18 West Stafford Road	Stafford Springs	CT	06076
	\$2,000	1	1	No		No		No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$1,200	1	1	No		No		No	Quality Insulation, Inc.	505 Norwich Avenue	Taftville	CT	06380
	\$24,765	1	1	No		No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Id. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$3,975	1	1	No		No		No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$10,800	1	1	No		No		No	Muzio Plumbing & Heating	18 West Stafford Road	Stafford Springs	CT	06076
	\$22,495	1	1	No		No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,000	1	1	No		No		No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,625	1	1	No		No		No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$1,500	1	1	No		No		No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$14,350	1	1	No		No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$495	1	1	No		No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$25,000	1	1	No		No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
Ashford SC1000301	\$7,273	3	1	No	06-0606469	No		No	Bozzuto's, Inc.	275 Schoolhouse Road	Cheshire	CT	06410
Berlin SC0900701	\$71,000	1	1	No		No	20-0318639	No	Electrical Connection, Inc.	65 Louis Street	Newington	CT	06111
	\$4,250	1	1	Yes		No	20-3691219	No	Innovative Air Solutions, LLC	11 Ritoli Ridge	West Hartford	CT	06117
	\$70,546	1	1	No		No	06-1576970	No	CS Magnano, LLC	10 A Stack Street	Middletown	CT	06457
	\$16,880	1	1	No		No	20-8145871	No	Central Paint, LLC	180 Johnson Street	Middletown	CT	06457
	\$68,707	1	1	No		No	06-1376652	No	Garofalo & Saraceno Contractors, LLC	38 Stack Street	Middletown	CT	06457
	\$25,000	1	1	No		No	06-1469903	No	American Contractors, LLC	50 Walnut Street	Middletown	CT	06457
	\$9,120	1	1	No		No	06-0853946	No	R.A. Pardy & Sons, Inc.	5 Matthews Drive	East Haddam	CT	06423
	\$13,530	1	1	No		No	20-8492816	No	Apex Tile, LLC	100 Prestige Park Road	East Hartford	CT	06108
	\$4,500	1	1	No		No	27-2717301	No	Lopez Flooring Covering, LLC	65 Roxbury Street	Hartford	CT	06114
Bethel SC0900901	\$8,850	1	1	No	84-1716603	No		No	Rocky's Home Improvement	706 Redding Road	Bethel	CT	06801
	\$6,960	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

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									Name	Street	City	State	Zip Code
	\$450	3	1	No	20-1699409	No		No	Eastland Title Services, LLC	P.O. Box 440	South Windsor	CT	06074
	\$2,925	3	1	No	20-8205093	No		No	Fuss & O'Neill EnviroScience	146 Hartford Road	Manchester	CT	06040
	\$49,250	1	1	No	84-1716603	No		No	Rocky's Home Improvement	706 Redding Road	Bethel	CT	06801
	\$16,350	1	1	No	84-1716606	No		No	Rocky's Home Improvement	706 Redding Road	Bethel	CT	06801
	\$14,705	1	1	No	84-1716606	No		No	Rocky's Home Improvement	706 Redding Road	Bethel	CT	06801
	\$6,960	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$450	3	1	No	20-1699409	No		No	Eastland Title Services, LLC	P.O. Box 440	South Windsor	CT	06074
	\$2,925	3	1	No	20-8205093	No		No	Fuss & O'Neill EnviroScience	146 Hartford Road	Manchester	CT	06040
Bethlehem SC1001001	\$46,100	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$138,059	1	1	No	06-0998485	No		No	RP Dalton Asphalt & Paving	508 Bucks Hill Road	Waterbury	CT	06704
	\$137,800	1	1	No	06-1571986	No		No	BRD Builders	Main Street	Hartford	CT	06106
Branford SC0801401	\$72,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$6,200	1	1	No		No	65-1220462	No	Fazzino Plumbing & Haeting	PO Box 4367	Wallingford	CT	06492
Brookfield SC0701801	\$24,420	1	1	No		No	06-0845958	No	Central Conn. Acoustics, Inc.	105 North Cherry Street	Wallingford	CT	06492
	\$4,305	1	1	Yes		No		No	Burrell Associates, LLC	124 Albion Street	Naugatuck	CT	06770
	\$3,302	1	1	No		No		No	Mitchell Fuel	7 Federal Road	Danbury	CT	06810
Brooklyn SC0901901	\$420,000	1	1	No	20-1040916	No		No	G.E.G. Construction, Inc.	145 Michon Street	Indian Orchard	MA	01151
	\$124,636	1	1	No		No	06-1270665	No	Charles Pasteryak, Jr., Inc.	20 North Burnham Highway	Lisbon	CT	06351
Canterbury SC0802201	\$13,527	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$2,650	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,675	1	1	No		No	043-78-5705	No	Jordan Herrick	12 Woodland Drive	Willimantic	CT	06226

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
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 505 Hudson Street
 Hartford, CT 06106

DECD

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 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
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									Name	Street	City	State	Zip Code
	\$2,300	1	1	No		No	20-3995299	No	Villa Plumbing	415 Jersulam Road	Windham	CT	06280
	\$7,720	1	1	No		No	06-1358241	No	Quality Mechanical	231 Silver Sands Road	East Haven	CT	06512
Canterbury SC1002201	\$6,165	1	1	No	06-1244363	No		No	Dalmik Well Drilling	137 Providence Street	Putnam	CT	06260
	\$11,230	1	1	No	86-1105234	No		No	Shielding Media, LLC	PO Box 270871	West Hartford	CT	06127
	\$13,000	1	1	No	043-64-1655	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$3,500	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,200	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$11,030	1	1	No	86-1105234	No		No	Shielding Media, LLC	PO Box 270871	West Hartford	CT	06127
	\$1,320	1	1	No		No	13-4352556	No	Ross Mechanicals	24 Homecrest Street	Newington	CT	06111
	\$1,000	1	1	No		No	06-1617716	No	J.G. Larochelle Co.	PO Box 358	Broad Brook	CT	06016
	\$22,500	1	1	No	043-64-1655	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$2,200	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
Clinton SC0902701	\$27,200	1	1	No	098-56-6342	No		No	JCS Home Improvements	253 Clay Street	Thomaston	CT	06787
	\$10,000	1	1	No	098-56-6342	No		No	JCS Home Improvements	253 Clay Street	Thomaston	CT	06787
	\$8,979	1	1	No	06-1216088	No		No	Savinelli Well Drilling	118 Kelsey Town Road	Clinton	CT	06413
	\$20,909	1	1	No	56-2552513	No		No	All in One Home Care & Improvements	29 Scotland Avenue	Madison	CT	06443
	\$8,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$296	3	1	No	06-1450885	No		No	Centurion Title Services, LLC	2840 Whitney Avenue	Hamden	CT	06518
	\$20,909	1	1	No	56-2552513	No		No	All in One Home Care & Improvements	29 Scotland Avenue	Madison	CT	06443
	\$5,100	1	1	No	03-0465028	No		No	All Star Roofing	83 School Ground Road #8	Branford	CT	06405
	\$8,500	1	1	No	03-0465028	No		No	All Star Roofing	83 School Ground Road #8	Branford	CT	06405

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$7,130	1	4	No	20-5442309	No		No	D&D Contractor	124 Vance Street	New Britain	CT	06052
Clinton SC1002701	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$2,837	1	1	No	04-1435116	No		No	Madison Oil Company	30 Scotland Avenue	Madison	CT	06440
	\$2,837	1	1	No	04-1435116	No		No	Madison Oil Company	30 Scotland Avenue	Madison	CT	06440
	\$3,187	1	1	No	04-1435116	No		No	Madison Oil Company	30 Scotland Avenue	Madison	CT	06440
Coventry SC0803201	\$47,310	3	1	No	06-1182567	No		No	BL Companies Connecticut, Inc.	355 Research Parkway	Meriden	CT	06450
Coventry SC0903201	\$3,725	1	1	No	03-0431185	No		No	Civil Solutions	115 Gottier Road	Tolland	CT	06084
	\$6,005	1	1	No	06-1244363	No		No	Dalmik Well Drilling	137 Providence Street	Putnam	CT	06260
	\$14,925	1	1	No	65-1272364	No		No	Christian Family Construction Services, LLC	120 Putnam Road	Dayville	CT	06241
	\$435	1	1	No	048-38-8101	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$435	1	1	No	048-38-8101	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$9,710	1	1	No	06-1244363	No		No	Dalmik Well Drilling	137 Providence Street	Putnam	CT	06260
	\$24,725	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$2,500	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$3,500	1	1	No		No	043-78-5705	No	Jordan Herrick	12 Woodland Drive	Willimantic	CT	06226
	\$1,500	1	1	No		No	20-3995299	No	Villa Plumbing	415 Jersulam Road	Willimantic	CT	06226
	\$25,450	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,500	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$800	1	1	No		No	65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$2,800	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$485	1	1	No		No	01-0567871	No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033

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									Name	Street	City	State	Zip Code
	\$12,350	1	1	No		No	06-1549227	No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$560	1	1	No		No	01-0567871	No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$269	1	1	No		No	06-0957117	No	Willimantic Waste Paper Co., Inc.	PO Box 239	Willimantic	CT	06226
	\$9,860	1	1	No		No	65-1272364	No	Christian Family Construction Services, LLC	120 Putnam Road	Dayville	CT	06241
	\$22,938	1	1	No		No		No	RM Quality Construction	97 Sherry Circle	Tolland	CT	06084
	\$22,567	1	1	No		No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$4,000	1	1	No		No		No	North Country Tree Service	95 Hall Hill Road	Somers	CT	06071
	\$1,906	1	1	No		No		No	Mark Morin	76 Discovery Road	Vernon	CT	06066
	\$675	1	1	No		No		No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	\$575	1	1	No		No		No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$29,075	1	1	No		No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$4,000	1	1	No		No		No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$2,275	1	1	No		No		No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$21,250	1	1	No		No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,800	1	1	No		No		No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,800	1	1	No		No		No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$490	1	1	No		No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Coventry SC1003201	\$491,881	1	1	No	06-1237469	No		No	Proulx & LaRoche Builders	41 Converse Street	Stafford Springs	CT	06076
		1	1	No		No	046-48-1273	No	Elite Exteriors	349 Nevers Road	South Windsor	CT	06074
	\$87,255	1	1	No		No	06-1081188	No	The Hartford Mechanical Co.	36-E Kreiger Lane	Glastonbury	CT	06033
	\$23,850	1	1	No		No	22-3913414	No	Miles Electric, LLC	3 Pioneer Heights Road	Somers	CT	06071

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
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									Name	Street	City	State	Zip Code
	\$61,000	1	1	No		No	040-66-0108	No	Clean Cut Services	45 Hamlin Street	Manchester	CT	06040
	\$19,780	1	1	No		No	59-3214406	No	Quality Insulation, Inc.	505 Norwich Avenue	Taftville	CT	06380
	\$12,000	1	1	No		No	20-8170032	No	Brownstone Home Improvement	125 Watchaug Road	Somers	CT	06071
Danielson - Program Income	\$28,500	1	1	No	06-0842883	No		No	CT Mason Contractors, LLC	191 Franklin Street	Hartford	CT	06114
	\$10,525	1	1	No		No		No	RTA Builders	357 Kennedy Drive	Putnam	CT	06260
	\$12,800	1	1	No		No		No	First Capital Construction	270 Green Hollow Road	Moosup	CT	06354
East Haven SC0904401	\$17,545	1	1	No	20-5442309	No		No	D&D Contractor	124 Vance Street	New Britain	CT	06052
	\$65,324	1	1	Yes	05-0612209	No		No	Mount Carmel Const.	P.O. Box 2098	North Haven	CT	06437
	\$11,288	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$75	3	1	No	20-1699409	No		No	Eastland Title Services, LLC	P.O. Box 440	South Windsor	CT	06074
	\$445	3	1	Yes	06-1433868	No		No	Safe Homes	P.O. Box 1125	Waterbury	CT	06721
	\$8,700	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$16,380	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
East Haven SC1004401	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
East Haven - Program Income	\$2,843	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$8,600	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$10,675	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
East Hampton SC0904201	\$680,000	1	2	No	11-3769550	No		No	TM Builders, LLC	1100 Route 32, Unit 3	Uncasville	CT	06382
	\$68,900	1	1	No		No	61-1516208	No	Ruotolo Mechanical, Inc.	29 Printers Lane	New Haven	CT	06519
East Windsor SC0904701	\$86,285	1	1	No		No	04-3516665	No	JSL Asphalt, Inc.	P.O. Box 125	Westfield	MA	01086
	\$18,450	1	1	No		No	06-1481547	No	R&J Paving, LLC	89 Old Stage Road	Glastonbury	CT	06033

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									Name	Street	City	State	Zip Code
East Windsor SC1004701	\$52,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$455,418	1	1	No	06-6437136	No		No	Hinckley Construction, Inc.	178 Highland View Drive	Somers	CT	06071
Ellington SC0804801	\$11,915	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$650	1	4	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	\$875	1	2	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06360
	\$1,103	1	4	No		No	41-2168774	No	Hasting-Silver Construction	349 West Avon Road	Avon	CT	06001
	\$32,246	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06040
	\$16,375	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06040
	\$5,800	1	1	No		No	01-0566378	No	SRM Roofing	97 Webster Road	Ellington	CT	06029
	\$1,600	1	4	No		No	41-2150204	No	Majestic Masonry	5 Bangor Road	Amston	CT	06231
	\$2,000	1	1	No		No	06-1631337	No	Russell Electric	40 Conklin Drive	Enfield	CT	06082
Ellington SC0904801	\$16,575	1	1	No	06-0793009	No		No	Marquis Construction, Inc.	104 Peterson Road	Granby	CT	06035
	\$1,600	1	1	No		No	048-56-0880	No	Matt Valente	11 Cornwall Drive	Windsor Locks	CT	06096
	\$22,500	1	1	No	06-149227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$1,256	1	2	No		No	27-1759664	No	Central CT Condo Repair, LLC	17 Karosl Road	Ashford	CT	06278
	\$2,313	1	2	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	\$3,250	1	1	No	06-1580715	No		No	Trim Out LLC	358 Main Street	Manchester	CT	06040
Ellington SC1004801	\$400	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$40,141	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Road	Coventry	CT	06238
	\$700	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$69,575	1	1	No	06-1239867	No		No	Haz Pros, Inc.	125 A Brook Street	West Hartford	CT	06110

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA
 DECD

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$700	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$45,250	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$16,750	1	1	No	06-1367303	No		No	D&L Excavation	23 Industrial Park Road West	Tolland	CT	06084
	\$34,874	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Road	Coventry	CT	06238
	\$400	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$117,900	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
Ellington - Program Income	\$10,905	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06040
	\$10,150	1	1	No		No	01-0566378	No	SRM Roofing	97 Webster Road	Ellington	CT	06029
	\$3,000	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06040
	\$395	1	1	No	06-1561505	No		No	Bantry Bay, LLC	PO Box 8	Marlborough	CT	06447
Essex SC1005001	\$20,000	3	1	No	01-085746886	No		No	Community Resource Management	161 Thornton Street	Hamden	CT	06517
	\$45,000	3	1	No	20-1442425	No		No	Chris Widmer Architects	94 State Street	Guilford	CT	06437
Farmington SC0905201	\$3,500	1	1	No		No	040-58-7875	No	Boyd Kilton, Jr.	9 Riverview Court	New Milford	CT	06776
	\$5,000	1	1	No		No	040-58-6822	No	Randy Kebler	5 Hamilton Drive	Danbury	CT	06811
Farmington SC1005201	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$1,200	1	1	No	61-6112567	No		No	CT Complete Chimney Service	96 Dunham Place	Southington	CT	06489
Franklin SC1005301	\$69,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	\$24,625	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,381	1	1	No		No	049-58-9289	No	Greg Lanz Plumbing & Heating	27 Lakeview Terrace	Stafford Springs	CT	06076
	\$1,550	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$2,800	1	1	No		No	06-0953471	No	Dumont Brothers	17 Jude Lane	Mansfield	CT	06250

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
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2. Location (City, State, Zip Code)
 505 Hudson Street
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 Donald LaPointe

3b. Phone Number
 860-270-8184

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 July 1 - June 30 (Annual-FY)

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									Name	Street	City	State	Zip Code
	\$951	1	1	No		No	27-1759664	No	Central CT Condo Repair, LLC	17 Karosl Road	Ashford	CT	06278
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,550	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$605	1	1	No	04-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,550	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
Granby Program Income	\$6,000	1	1	No	040-40-4426	No		No	Charlies Lawn Services	PO Box 137	North Granby	CT	06060
	\$4,655	1	1	No	06-0710046	No		No	State Line Oil	514 Salmon Brook Street	Granby	CT	06035
	\$6,900	1	1	No	040-40-4426	No		No	Charlies Lawn Services	PO Box 137	North Granby	CT	06060
Guilford SC1006001	\$20,000	3	1	No	01-085746886	No		No	Community Resource Management	161 Thornton Street	Hamden	CT	06517
	\$35,000	3	1	No	20-1442425	No		No	Chris Widmer Architects	94 State Street	Guilford	CT	06437
	\$206,329	1	1	No		No		No	Holzner Electric Construction	596 John Street	Bridgeport	CT	06604
Groton Program Income	\$28,400	1	2	No	01-0924260	No		No	CMSA	4 Field Street, Suite 4-A	Waterbury	CT	06704
	\$40,950	1	2	No	01-0924260	No		No	CMSA	4 Field Street, Suite 4-A	Waterbury	CT	06704
	\$60,234	1	2	No	01-0924260	No		No	CMSA	4 Field Street, Suite 4-A	Waterbury	CT	06704
	\$47,550	1	1	Yes	26-1848056	No		No	Nutmeg Building & Remodeling	4 Blacksmith Drive	Ledyard	CT	06339
	\$38,350	1	4	No	20-5442309	No		No	D&D Contractor	124 Vance Street	New Britain	CT	06052
	\$22,110	1	1	No	27-1049662	No		No	American General	PO Box 155	Old Mystic	CT	06372
	\$10,675	1	1	Yes	26-1848056	No		No	Nutmeg Building & Remodeling	4 Blacksmith Drive	Ledyard	CT	06339
Haddam - Program Income	\$1,650	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$13,600	3	4	No	36-4559169	No		No	HV Contracting Corp	240 Wardwell Street	Stamford	CT	06902
Hampton SC0906301	\$19,705	1	1	No	06-1331594	No		No	Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247

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3b. Phone Number
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4. Reporting Period
 July 1 - June 30 (Annual-FY)

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									Name	Street	City	State	Zip Code
	\$9,860	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06440
	\$18,550	1	1	No	06-1249227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$14,035	1	1	No	06-1331594	No		No	Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
	\$3,272	1	1	No	06-1331594	No		No	Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
	\$2,350	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$36,386	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Road	Coventry	CT	06238
	\$29,893	1	1	No	06-1561505	No		No	Bantry Bay, LLC	PO Box 8	Marlborough	CT	06447
Hampton SC1006301	\$94,000	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	\$20,000	3	1	No	27-0290765	No		No	EnviroPlan, LLC	33 Wildwood Road	Colchester	CT	06415
	\$6,949	1	1	No	06-1331594	No		No	Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
Jewett City SC0905801	\$5,795	1	1	No		No	59-3214406	No	Quality Insulation, Inc.	505 Norwich Avenue	Taftville	CT	06380
	\$25,000	1	1	No		No	26-2421887	No	Naugatuck Contractors	61 Olive Street	Naugatuck	CT	06770
	\$7,200	1	1	No		No	04-2732833	No	Dec-Tam Corporation	50 Concord Street	North Redding	MA	01864
Jewett City SC1005801	\$62,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$471,800	1	2	No	11-3769550	No		No	TM Builders, LLC	1100 Route 32, Unit 3	Uncasville	CT	06382
	\$15,000	1	1	No		No	52-2188342	No	A&B Excavation, LLC	33 Core Road	Uncasville	CT	06382
Killingly SC0806901	\$16,000	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
Killingly SC0906901	\$24,000	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	\$45,050	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	\$49,350	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	\$7,259	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
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2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

3a. Name of Contact Person: Donald LaPointe
 3b. Phone Number: 860-270-8184
 4. Reporting Period: July 1 - June 30 (Annual-FY)
 5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.
 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$2,895	1	1	No		No		No	Freedom Lifts	854 Providence Pike	Danielson	CT	06239
	\$5,465	1	1	No		No		No	LaBossiere Builders, LLC	PO Box 6	Danielson	CT	06239
	\$41,380	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$146,330	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Killingly SC1006901	\$808,880	1	1	No	06-1571986	No		No	BRD Builders	2099 Main Street	Hartford	CT	06120
Lebanon SC1007101	\$69,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	\$485	1	1	No	06-1566592	No		No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
	\$1,565	1	1	No	06-1566592	No		No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
	\$510	1	1	No	03-0431185	No		No	Civil Solutions	115 Gottier Road	Tolland	CT	06084
	\$15,994	1	1	No	26-4414510	No		No	H-Con, Inc.	381 Merrow Road	Tolland	CT	06084
	\$13,285	1	1	No	06-1566592	No		No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
	\$21,525	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Lebanon Program Income	\$20,750	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$8,583	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$485	1	1	No	06-1566592	No		No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06049
Litchfield SC0807401	\$64,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$14,000	1	1	No		No	06-1128842	No	Grela Well Drilling	143 Main Street	Terryville	CT	06786
	\$10,917	1	1	No		No	59-3214406	No	Collins & Company	48 Hicknum Boulevard	Vernon	CT	06066
	\$10,000	1	1	No		No	06-1449384	No	Chase & Sons Building & Remodeling	311 Jobs Hill Road	Ellington	CT	06029
	\$8,500	1	1	No		No	19-1681100	No	Rick's Refinishing	29 Steele Street	Wolcott	CT	06716

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 505 Hudson Street
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 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

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									Name	Street	City	State	Zip Code
	\$4,000	1	1	No		No	06-1364268	No	M.L. Drywall Company	2 Black Birch Drive	Cromwell	CT	06416
	\$1,300	1	1	No		No	06-1331015	No	Straightline Masonry	20 Donovan Court	Bristol	CT	06010
Litchfield SC0907401	\$179,436	1	1	No	06-0867174	No		No	Cocchiola Paving	18 Falls Avenue	Oakville	CT	06779
	\$296,000	1	1	No	06-1506709	No		No	Stanley Construction	6 White Deer Lane	Seymour	CT	06483
Marlborough Program income	\$1,875	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Middlebury Program Income	\$1,988	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$31,344	1	1	No	042-64-2556	No		No	Doug Bousquet, LLC	20 Cedar Circle	Beacon Falls	CT	06403
	\$5,800	1	1	No	06-1168123	No		No	Diamond Roofing, Inc.	99 Brookside Road	Waterbury	CT	06708
	\$16,810	1	1	No	04-6589895	No		No	Leake Builders, LLC	25 O'Neil Drive	Oxford	CT	06478
Middlefield Program Income	\$150	3	1	Yes	047-38-1717	No		No	Leslie P. Hare	PO Box 52	Rockville	CT	06481
	\$6,098	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$500	3	4	No	06-1501353	Yes		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
Middlefield SC0908201	\$150	3	1	Yes	047-38-1717	No		No	Leslie P. Hare	P.O. Box 52	Rockville	CT	06481
	\$6,098	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$500	3	4	No	06-1501353	Yes		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$4,900	1	1	No	04-25969372	No		No	Modern Tech Systems	764 Highland Avenue	Waterbury	CT	06708
	\$2,370	1	1	No	06-1514978	No		No	Civil One Engineers	101 Sherman Hill Road	Woodbury	CT	06798
	\$2,370	1	1	No	06-1514978	No		No	Civil One Engineers	101 Sherman Hill Road	Woodbury	CT	06798
	\$21,320	1	1	No	06-1519824	No		No	DW Electric	16 Pleasant Street	Wolcott	CT	06716
	\$2,260	1	1	No	61-1599966	No		No	Nayaug Chimney	PO Box 1044	Glastonbury	CT	06033
	\$14,847	1	2	No	06-0962559	No		No	Sultana Estates	42 Shweky Lane	Southington	CT	06489

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									Name	Street	City	State	Zip Code
	\$14,800	1	1	No	03-0488398	No		No	KMS Home Improvements, LLC	593 Main Street	Middlefield	CT	06455
Naugatuck - Program Income	\$3,210	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Naugatuck SCR0908801	\$86,285	1	1	No		No	04-3516665	No	JSL Asphalt, Inc.	P.O. Box 125	Westfield	MA	01086
	\$20,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Naugatuck SC1008801	\$43,200	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
New Hartford SC0909201	\$216	3	1	No	06-1365618	No		No	Northwestern CT Title Services	2023 Torrington West Street	Waterbury	CT	06708
	\$5,438	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06721
	\$1,235	3	1	Yes	06-1433868	No		No	Safe Homes	P.O. Box 1125	Waterbury	CT	06708
New Fairfield SC1009101	\$30,000	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$22,220	1	1	No	27-5282423	No		No	C.A. Marvin Septics	10 Middletown Drive	New Fairfield	CT	06812
	\$8,760	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$11,400	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$26,500	1	1	No	27-5282423	No		No	C.A. Marvin Septics	10 Middletown Drive	New Fairfield	CT	06812
	\$27,000	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$27,900	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$24,850	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
Newington Program Income	\$2,288	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06074
	\$75	3	1	No	20-1699409	No		No	Eastland Title Services, LLC	P.O. Box 440	South Windsor	CT	06074
	\$6,800	1	1	No	06-1636390	No		No	ZakJak Improvements, LLC	76 Chapman Street	Newington	CT	06111
Newtown Program Income	\$5,000	1	1	No	047-42-7882	No		No	Culver Bolmer	38 Berkshire Road	Sandy Hook	CT	06482
Newtown SC1009701	\$62,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
North Branford SC0909901	\$60,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$605,257	1	1	No	06-0868298	No		No	Empire Paving, Inc.	30 Bernard Road	North Haven	CT	06473
	\$85,905	1	1	Yes		No	06-1285524	No	The NY Conn Corp.	5 Shelter Rock Road	Danbury	CT	06810
	\$6,525	1	1	No		No	06-1035087	No	Tilcon Connecticut, Inc.	P.O. Box 1357	New Britain	CT	06050
	\$800	1	1	No		No	06-1267005	No	Safety Marking, Inc.	460 Bostwick Avenue	Bridgeport	CT	06605
	\$35,406	1	1	No		No	06-1345487	No	Don's Landscaping & Tree Service	433 Hilltop Road	Orange	CT	06477
	\$25,000	1	1	No		No	06-1168533	No	Four Season's Landscaping	836 Palisado Avenue	Windsor	CT	06095
	\$6,500	1	1	No		No	71-0930322	No	JJG Contracting, Inc.	P.O. Box 191	Harwinton	CT	06791
Norfolk SC0809801	\$88,876	1	1	No	04-3458589	No		No	Millennium Builders	50 Inwood Road	Rocky Hill	CT	06067
	\$55,205	1	1	No	06-1070676	No		No	West State Mechanical	3000 South Main Street	Torrington	CT	06790
Old Saybrook SC0710601	\$8,645	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$6,600	1	1	No	06-1107644	No		No	Botts Construction	P.O. Box 1190	Old Saybrook	CT	06475
	\$7,200	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$10,470	1	1	No	06-1107644	No		No	Botts Construction	P.O. Box 1190	Old Saybrook	CT	06084
	\$6,450	1	1	No	20-4599388	No		No	Bill Walter & Sons Septic Installation, LLC	5 Buell Court	Clinton	CT	06413
	\$6,500	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$6,500	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$11,200	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$6,700	1	1	No	20-4599388	No		No	Bill Walter & Sons Septic Installation, LLC	5 Buell Court	Clinton	CT	06413
	\$8,047	1	1	No	03-0431185	No		No	Civil Solutions	115 Grotton Road	Tolland	CT	06084
	\$8,175	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA
 DECD

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$6,500	1	1	No	34-1994390	No		No	Bailey Building & Excavation, LLC	P.O. Box 473	Guilford	CT	06437
	\$5,869	1	1	No	06-1613366	No		No	Lussier & Sons Excavation	25 Elmwood Way	Clinton	CT	06413
Oxford SC0810801	\$700,000	1	1	No	20-8794646	No		No	R&S Site and Septic	56 Mountain Road	Seymour	CT	06483
	\$65,800	1	1	No	06-1137860	No		No	Euro Enterprises	81 Silvermine Road	Seymour	CT	06483
Plainfield SCR0910901	\$377,998	1	1	No	05-0187970	Yes		No	Narragansett Improvement Company	223 Allens Avenue	Providence	RI	02903
Plainville SC09110001	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$4,200	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$5,459	1	1	No	54-2084697	No		No	M. Wilcox Heating, LLC	P.O. Box 306	Naugatuck	CT	06770
	\$4,200	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
Plainville Program Income	\$2,100	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$17,275	1	1	No	26-3441350	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
Plymouth Program Income	\$1,238	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Plymouth SC0911101	\$150	3	1	No	06-1160153	No		No	Robert Green Associates, LLC	6 Old Waterbury Road	Terryville	CT	06786
	\$8,288	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$1,500	3	4	No	06-1501353	Yes		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$3,150	1	1	No	383421135-001	No		No	Suburban Home Medical	141 South Street	West Hartford	CT	06110
	\$5,000	1	1	No	042-780-9991	No		No	Modern Tech Systems	764 Highland Avenue	Waterbury	CT	06708
	\$150	3	1	No	06-1160153	No		No	Robert Green Associates, LLC	6 Old Waterbury Road	Terryville	CT	06786
	\$8,288	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$1,500	3	4	No	06-1501353	Yes		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$21,450	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA
 DECD

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

3a. Name of Contact Person: Donald LaPointe
 3b. Phone Number: 860-270-8184
 4. Reporting Period: July 1 - June 30 (Annual-FY)
 5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.
 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$21,320	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$27,800	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$2,545	1	1	No	06-1514978	No		No	Civil Engineers	101 Sherman Hill Road	Woodbury	CT	06798
Preston Program Income	\$2,213	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$48,495	1	1	No	042-50-9139	No		No	Custom Carpentry	74 Middle Road	Preston	CT	06365
	\$2,213	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Prospect SC0811501	\$103	3	1	No	06-1322648	No		No	Law Office of Michael K. Conway	100 Grand Street	Waterbury	CT	06724
	\$800	3	1	Yes	06-1433868	No		No	Safe Homes	P.O. Box 1125	Waterbury	CT	06721
	\$8,477	1	1	No	26-2729069	No		No	Woodbridge Roofing & Siding Corp.	PO Box 719	Woodbury	CT	06798
	\$18,648	1	1	No	03-0556880	No		No	JTF Holdings, LLC	23 Gail Drive	Northford	CT	06472
	\$36,700	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
Putnam SC0811601	\$15,949	1	1	No	CT564137	No		No	Peak Perfection Roofing	60 Frank Street	Putnam	CT	06260
	\$1,600	1	1	No	E1-183035	No		No	Ken's Electric, LLC	303 Modock Road	Putnam	CT	06260
	\$13,220	1	1	No	CT564137	No		No	Peak Perfection Roofing	60 Frank Street	Putnam	CT	06260
	\$1,650	1	1	No	E1-183035	No		No	Ken's Electric, LLC	303 Modock Road	Putnam	CT	06260
Putnam SC0911601	\$100,000	1	1	No	CT564137	No		No	Peak Perfection Roofing	60 Frank Street	Putnam	CT	06260
Southbury SC0713001	\$105,000	1	1	No	80-0366781	No		No	Kenneth L. Thomas II, LLC	2207 Mulberry Lane	Orange	CT	06477
Southbury Program Income	\$860	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$23,072	1	1	No	043-66-3939	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
Sprague - Program Income	\$9,922	1	1	No	960745	No		No	EGA Builders, LLC	40 Church Street	Moosup	CT	06354
	\$1,688	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$17,979	1	1	No	06-1485692	No		No	Blais Builders, Inc.	219 Preston Allen Road	Lisbon	CT	06351
	\$22,638	1	1	No	06-1485692	No		No	Blais Builders, Inc.	219 Preston Allen Road	Lisbon	CT	06351
Sprague SC0913301	\$607,593	1	1	No	06-1097761	No		No	Barr Incorporated	508 Pomfret Street	Putnam	CT	06260
	\$22,000	1	1	No		No	06-0946732	No	GDS Contracting	1623 Berlin Turnpike	Berlin	CT	06037
	\$3,800	1	1	No		No	06-1514638	No	T.A.T Mason Enterprise, Inc.	29 Copper Beech Drive	Middletown	CT	06457
	\$37,000	1	1	No		No	26-0810588	No	Nuttall Electric, LLC	251 Modock Road	Putnam	CT	06260
	\$10,950	1	5	No		No	20-8492816	No	Apex Tile, LLC	100 Prestige Park Road	East Hartford	CT	06108
	\$7,800	1	1	No		No	75-3253086	No	Feman Steel, LLC	107 Old Windsor Road	Bloomfield	CT	06002
	\$77,000	1	1	No		No	06-0810807	No	G&R Valley, Inc.	350 Chapel Road	South Windsor	CT	06074
	\$50,000	1	1	No		No	36-2357423	No	Kone, Inc.	16 Old Forge Road	Rocky Hill	CT	06067
	\$23,727	1	1	No		No	65-1296056	No	Petrunti Design & Woodwork	23-C Andover Drive	West Hartford	CT	06110
	\$2,700	1	1	No		No	06-1595045	No	Veilleux Concrete Cutting	P.O. Box 439	South Windsor	CT	06074
	\$8,000	1	1	No		No	54-2102831	No	Zero Draft of CT	1068 Hartford Turnpike	Waterford	CT	06385
	\$3,422	1	1	No		No	06-1243128	No	Plumb & Level Construction Corp.	144 Nedobity Road	Higganum	CT	06441
	\$1,322	1	1	No		No	06-1354170	No	James Carboni Plumbing & Heating	574 Route 32	North Franklin	CT	06254
	\$2,000	1	1	No		No	26-2788181	No	Village Electric, LLC	255 Ledge Road	Dayville	CT	06241
	\$8,500	1	1	No		No	06-1605051	No	J,M, Sheetmetal, LLC	161-11 Woodford Avenue	Plainville	CT	06062
	\$13,000	1	1	No		No	04-4848994	No	Jason Gerum Home Improvements	26 Peterson Road	Pomfret Center	CT	06259
Sprague SC1013301	\$52,200	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$505,466	1	1	No	06-0887378	No		No	Milton C. Beebe & Sons	12 Beebe Lane	Storrs	CT	06268
	\$2,565	1	1	Yes		No	06-1433405	No	OnSite Services, Inc.	23 Meadow Road	Clinton	CT	06413

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Id. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$19,350	1	1	No		No	04-3737968	No	V. Menzone Landscaping	31 Tomahawk Drive	Dudley	MA	01571
	\$64,000	1	1	No		No	06-1508077	No	Costa & Son, LLC	P.O. Box 658	South Windsor	CT	06074
Stafford SC0813401	\$12,695	1	1	No	06-1561505	No		No	Bantry Bay, LLC	PO Box 8	Marlborough	CT	06447
	\$46,135	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$3,200	1	1	No		No	20-1436475	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$2,803	1	1	No		No	06-9053471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$1,300	1	1	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	\$3,300	1	1	No		No	01-566378	No	SRM Custom Roofing & Construction	97 Webster Road	Ellington	CT	06029
	\$730	1	6	No		No	05-0390251	No	Anchor Insulation	405 Narragansett Park Drive	Pawtucket	CT	02111
	\$31,467	1	1	No	06-1508715	No		No	Trim Out LLC	388 Main Street	Manchester	CT	06040
	\$6,850	1	3	No		No	01-0566378	No	SRM Custom Roofing & Construction	97 Webster Road	Ellington	CT	06029
	\$4,300	1	1	No		No	06-1631337	No	Russell Electric	40 Conlin Drive	Enfield	CT	06082
	\$1,200	1	1	No		No	06-1308404	No	Hany Mechanical Services	44 Cove Brook Road	Ellington	CT	06029
Stafford - Program Income	\$13,838	3	1	No	04-2674871	No		No	Weston & Sampson	5 Centennial Drive	Peabody	MA	01960
	\$3,750	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Stafford SC1013401	\$72,500	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	\$55,000	3	1	No	401-096-0616	No		No	Weston & Sampson	273 Dividend Road	Rocky Hill	CT	06067
	\$672,028	1	4	Yes	04-3509799	No		No	Morais Concrete Services, Inc.	108 Rocus Street	Springfield	MA	01104
Sterling Program Income	\$150	1	1	Yes	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$585	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$5,381	1	1	No	06-1179672	No		No	The Siding Store, Inc.	408 Norwich Road	Plainfield	CT	06374

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$15,066	1	1	No	06-1485692	No		No	Blais Builders, Inc.	219 Preston Allen Road	Lisbon	CT	06351
Thomaston - Program Income	\$7,905	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$14,900	1	1	No	098-56-6342	No		No	JCS Home Improvements	253 Clay Street	Thomaston	CT	06787
Tolland SC0914201	\$13,900	1	1	No	26-4414510	No		No	H-Con, Inc.	381 Merrow Road	Tolland	CT	06084
	\$435	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06331
	\$24,565	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$6,900	1	1	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	\$550	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$930	1	1	No		No	03-130-7820	No	Dynamark Security Center	157 Wildwood Road	Tolland	CT	06084
	\$1,828	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$1,850	1	1	No		No	80-0589226	No	New England Building Design	13 Whitney Road	Columbia	CT	06237
	\$14,100	1	1	No	26-4414510	No		No	H-Con, Inc.	381 Merrow Road	Tolland	CT	06084
	\$5,610	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$700	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,450	1	1	No		No	65-118-2141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$485	1	1	No	01-05678171	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$23,835	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$1,040	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblerae	South Windsor	CT	06074
	\$1,100	1	1	No		No	06-1028454	No	Quality Insulation, Inc.	505 Norwich Avenue	Taftville	CT	06081
	\$485	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$21,375	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$22,485	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$505	1	1	No	01-567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$19,900	1	1	No	049-46-4076	No		No	R.M. Contracting, Inc.	97 Sherry Circle	Tolland	CT	06084
	\$29,640	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,600	1	1	No		No	06-1411759	No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	\$1,700	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$1,235	1	1	No		No	54-2102831	No	Zero Draft of CT	1068 Hartford Turnpike	Waterford	CT	06385
	\$2,998	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$1,000	1	1	No		No	20-1436475	No	Coles Overhead Door	105 Windermere Avenue	Ellington	CT	06029
	\$1,800	1	1	No		No	20-3480805	No	North Country Tree Service	95 Hall Hill Road	Somers	CT	06071
	\$490	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$31,650	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$435	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Tolland SC1014201	\$38,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Torrington	CT	06790
Torrington SCR0914301	\$102,513	1	4	No		No	06-1066256	No	Susaya Construction, Inc.	79 West Dudley Town Road	Bloomfield	CT	06002
	\$70,021	1	2	No		No	06-1598691	No	CT Paving, LLC	145 Dividend Road	Rocky Hill	CT	06067
	\$53,000	1	1	No		No	59-3841362	No	Hadden Electrical Services	83-6 Slate Street	Manchester	CT	06040
	\$8,600	1	1	No		No	06-1488248	No	R.N.L. Enterprises	10 Mill Pond Drive	Granby	CT	06035
	\$12,600	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$63,832	1	1	No	06-1487115	No		No	Martin Laviero Contractor, Inc.	611 North Main Street	Bristol	CT	06010
	\$6,625	1	1	No		No	06-0867174	No	Cocchiola Paving	18 Falls Avenue	Oakville	CT	06779

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$17,750	1	1	No		No	06-1267005	No	Safety Marking, Inc.	460 Bostwick Avenue	Bridgeport	CT	06605
	\$40,350	1	1	No		No	06-1433146	No	Garrity Asphalt Reclaiming	22 Peters Road	Bloomfield	CT	06002
	\$40,000	1	1	No		No	06-0989507	No	AFS Construction, Inc.	P.O. Box 5	East Granby	CT	06026
	\$4,175	1	1	No		No	06-0973924	No	Airway Electric Co.	33 Bradley Park Road	East Granby	CT	06026
	\$21,000	1	1	No		No	71-1029980	No	Alternative Trucking	P.O. Box 426	East Granby	CT	06026
Torrington SC1014301	\$5,600	1	1	No	06-1556852	No		No	JP Dunn Heating & Cooling	169 R Elm Street	Thomaston	CT	06787
	\$4,500	1	1	No	044-53-7477	No		No	Lanza Water Proofing	PO Box 283	New Britain	CT	06050
	\$6,800	1	2	No	01-0924260	No		No	CMSA	4 Fleet Street	Waterbury	CT	06704
	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Torrington - Program Income	\$150	3	1	No	06-6001898	No		No	City of Torrington	140 Main Street	Torrington	CT	06790
	\$3,995	1	1	No	06-1525169	No		No	Thomaston Comfort Heat	323 South Main Street	Thomaston	CT	06787
	\$5,400	1	1	No	044-52-7477	No		No	Lanza Water Proofing	PO Box 283	New Britain	CT	06050
	\$4,222	1	1	No	54-2084696	No		No	Mark Wilcox Heating	PO Box 306	Naugatuck	CT	06770
	\$14,220	1	1	No	54-2084696	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$400	3	1	Yes	06-1433868	No		No	Safe Homes	P.O. Box 1125	Waterbury	CT	06721
	\$150	3	1	No	06-6001898	No		No	City of Torrington	140 Main Street	Torrington	CT	06790
	\$19,720	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$400	3	1	Yes	06-1433868	No		No	Safe Homes	P.O. Box 1125	Waterbury	CT	06721
Vernon SC1014601	\$28,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Wallingford SC0914801	\$21,038	1	1	No		No	20-5714158	No	Bravo Contractor, LLC	306 Wolcott Road	Waterbury	CT	06705
	\$6,050	1	2	No		No	06-1575943	No	SSE Environmental	818-C Windsor Street	Hartford	CT	06120

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									Name	Street	City	State	Zip Code
	\$14,600	1	4	No		No	06-1598439	No	Gee-Roc Masonry, Inc.	131 Summit Street	Manchester	CT	06040
	\$5,900	1	4	No		No	74-3092016	No	Sotil Tree Service, LLC	PO Box 428	East Granby	CT	06026
	\$9,000	1	1	No		No	06-1323268	No	Bertrand Drywall, Inc.	211 Newfield Avenue	West Hartford	CT	06106
	\$6,600	1	4	No		No	045-48-1526	No	M&L General Services, LLC	40 Porter Street	East Hartford	CT	06118
	\$7,000	1	1	No		No	045-68-1526	No	Boyle Home Improvement	198 South Main Street	East Windsor	CT	06088
	\$15,000	1	1	No		No	008-54-8956	No	Leach Construction	38 Miller Street	New Britain	CT	06053
	\$13,000	1	2	No		No	87-0755712	No	PJK Electrical, LLC	32 Godar Terrace	East Hartford	CT	06118
	\$31,387	1	1	Yes		No	06-1483142	No	Izicki Contracting, LLC	8 School House Road	Lisbon	CT	06351
	\$49,200	3	1	No		No	06-1330300	No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Wallingford SCR0914801	\$216,115	1	1	No	06-0681918	No		No	T&S Caminito Concrete, LLC	1700 Saybrook Road	Middletown	CT	06457
	\$151,582	1	1	No	06-1466584	No		No	B&W Paving and Landscaping, LLC	215 Flanders Road	Mystic	CT	06355
	\$75,000	1	1	No		No	06-1450810	No	J.R. Concrete Work, LLC	576 Andrew Mountain Road	Naugatuck	CT	06770
	\$68,600	1	1	No		No	06-0894619	No	New England Blacktop	541 Griswold Street	Glastonbury	CT	06033
Watertown SC1015301	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$21,830	1	1	No	046-58-2577	No		No	Leake Builders, LLC	25 O'Neil Drive	Oxford	CT	06478
	\$4,200	1	1	No	06-1556852	No		No	JP Dunn Heating & Cooling	169 R Elm Street	Thomaston	CT	06787
	\$5,400	1	1	No	06-1556852	No		No	JP Dunn Heating & Cooling	169 R Elm Street	Thomaston	CT	06787
Watertown - Program Income	\$4,238	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$83	3	1	No	20-1699409	No		No	Eastland Title Services, LLC	P.O. Box 440	South Windsor	CT	06074
	\$7,000	1	1	No	047-90-5335	No		No	Furnace Man	184 North Airline Road	Wallingford	CT	06492
Wethersfield SC1015901	\$62,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
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2. Location (City, State, Zip Code)
 505 Hudson Street
 Hartford, CT 06106

3a. Name of Contact Person: Donald LaPointe
 3b. Phone Number: 860-270-8184
 4. Reporting Period: July 1 - June 30 (Annual-FY)
 5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.
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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Winchester Program Income	\$2,468	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Willington Program Income	\$9,653	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Willington SC0916001	\$25,772	1	1	No	04-0687548	No		No	Danielle's Construction, LLC	387 Wells Road	West Hartford	CT	06109
	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$1,686	1	1	No	06-1500265	No		No	Northeast Oil & Propane	235 Westcott Road	Danielson	CT	06239
	\$20,484	1	1	No	71-1023633	No		No	JRJ Gas Connections, LLC	33 Furnace Hollow Road	Stafford Springs	CT	06076
	\$25,320	1	1	No	27-2901836	No		No	Barnett & Tyler, LLC	11 Hayward Avenue	Colchester	CT	06415
	\$5,350	1	1	No	06-1524912	No		No	Stafford Mechanical Services, LLC	71-C West Stafford Road	Stafford Springs	CT	06076
	\$2,900	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$6,135	1	1	No	06-0808712	No		No	Stavens Brothers	191 River Road	Willington	CT	06279
	\$6,825	1	1	No	06-1447664	No		No	Day-Sti, LLC	41 Sherry Circle	Tolland	CT	06084
	\$13,169	1	1	No	06-1064921	No		No	MaGee Construction	7 Cody Street	West Hartford	CT	06110
	\$8,690	1	1	No	20-2662740	No		No	Doyle Enterprise, LLC	7 View Point Drive	Tolland	CT	06278
Windham SC1016301	\$69,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	\$24,800	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,300	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,696	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$755	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$19,250	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$2,675	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$2,150	1	1	No		No	049-68-5176	No	Steve Adams	383 Tuckie Road	Willimantic	CT	06226

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									Name	Street	City	State	Zip Code
	\$1,096	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$8,998	1	1	No	30-0185184	No		No	Art Ogden Excavating	6 Cherry Valley Road	Columbia	CT	06237
	\$455	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,455	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$4,200	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$2,200	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$920	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Windsor SC0916401	\$8,485	1	1	No	06-1524912	No		No	Stafford Mechanical Services, LLC	71-C West Stafford Road	Stafford Springs	CT	06076
	\$7,105	1	1	No	06-1498863	No		No	Reliable Mechanical	11 Palisado Avenue	Windsor	CT	06095
	\$4,575	1	1	No	06-0738513	No		No	Woodcock Refrigeration	P.O. Box 1133	South Windsor	CT	06074
	\$3,350	1	1	No	048-48-7174	No		No	Dolinsky Home Improvements	16 Hartland Drive	Old Saybrook	CT	06475
	\$4,760	1	1	No	06-1549229	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,475	1	1	No	06-1137015	No		No	Eastern Waterproofing	PO Box 504	South Windsor	CT	06074
	\$6,400	1	1	No	049-56-0130	No		No	Conrad Leblanc	115 North Quacker Lane	West Hartford	CT	06119
	\$24,337	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,380	1	1	No	06-1332435	No		No	LaFleur's Oil	93 Three Rod Road	Windsor	CT	06095
	\$3,499	1	1	No	06-1537654	No		No	H&E Electrical	35 East Morningside	Hartford	CT	06112
Windsor Locks SC1016501	\$58,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$391,099	1	4	No	04-3397976	No		No	Freitas Construction, Inc.	36 Carmelina's Circle	Ludlow	MA	01056
	\$77,227	1	1	No		No	04-3516665	No	JSL Asphalt, Inc.	P.O. Box 125	Westfield	MA	01086

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									Name	Street	City	State	Zip Code
	\$4,600	1	1	No		No	06-0613324	No	Costello Industries, Inc.	123 Costello Road	Newington	CT	06111
Wolcott SC0816601	\$5,663	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$4,537	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$16,231	1	1	No	06-1128842	No		No	Grela Well Drilling	143 Main Street	Terryville	CT	06786
	\$42,187	1	1	No	04-3665737	No		No	Team Sewer & Water	621 Main Street	Watertown	CT	06795
Wolcott SC0916601	\$10,200	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Wolcott SC1016601	\$14,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Wolcott - Program Income	\$23,840	1	1	No	098-56-6342	No		No	JCS Home Improvements	253 Clay Street	Thomaston	CT	06787
	\$5,150	1	1	No	54-2084697	No		No	M. Wilcox Heating, LLC	P.O. Box 306	Naugatuck	CT	06770
	\$47,149	1	1	No	05-0612209	No		No	Mount Carmel Const.	P.O. Box 2098	North Haven	CT	06413
	\$18,200	1	1	No	04-3665737	No		No	Team Sewer & Water	621 Main Street	Watertown	CT	06795
	\$6,784	1	1	No	54-2084697	No		No	M. Wilcox Heating, LLC	P.O. Box 306	Naugatuck	CT	06770
	\$1,450	1	1	No	50-60812651	No		No	J.H. Barlow Pump and Supply	398 Wolcott Road	Wolcott	CT	06716
	\$2,100	1	1	No	75-3081088	No		No	Ronald Wolff, PE	39 Sherman Hill Road	Woodbury	CT	06798
	\$10,300	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
Woodbridge SC0716701	\$17,600	1	1	No	098-56-6342	No		No	JCS Home Improvements	253 Clay Street	Thomaston	CT	06787
	\$1,600	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$5,800	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
Woodstock SC0616901	\$69,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	\$6,165	1	1	No	06-0870369	No		No	Saveway Petroleum, Inc.	PO Box 900	Danielson	CT	06239
	\$585	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033

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									Name	Street	City	State	Zip Code
	\$21,531	1	1	No	047-54-1244	No		No	B. Musumeci Construction	48 Grey Fox Landing	Woodstock	CT	06281
	\$21,600	1	1	No	046-525330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
Insert New Rows Here													

Totals:	All Projects	\$17,387,015	Racial/Ethnic Totals										
	Women Owned	\$ 1,051,819	White	\$ 14,610,818	Hispanic	\$ 1,358,922							
	Prime Contractor Sec 3	\$ 523,657	Black	\$ 1,396,545	Asian/Pacific	\$ 10,950							
	Sub Contractor Sec 3	\$ -	Native	\$ 9,050	Portuguese	\$ 730							

- | | | | | |
|---|---|---|--|--------------------------------|
| <p>CPD</p> <p>1=New Construction</p> <p>2=Education Training</p> <p>3=Other</p> | <p>To: Type of Trade Codes:</p> <p>Housing/Public Housing:</p> <p>1=New Construction</p> <p>2=Substantial Rehab</p> <p>3=Repair</p> <p>4=Service</p> <p>5=Project Mangt</p> | <p>6=Professional</p> <p>7=Tenant Services</p> <p>8=Education/Training</p> <p>9=Arch/Engng Appraisal</p> <p>0=Other</p> | <p>7d: Racial/Ethnic Codes:</p> <p>1=White Americans</p> <p>2=Black Americans</p> <p>3=Native Americans</p> <p>4=Hispanic Americans</p> <p>5=Asian/Pacific Americans</p> <p>6=Portuguese</p> | <p>7=Public/Indian Housing</p> |
|---|---|---|--|--------------------------------|

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 17,387,015
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 523,657
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	.03 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self-explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Part II: Contracts Awarded

1. Construction Contracts: n/a

A. Total dollar amount of all contracts awarded on the project	\$ 0.0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0.0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0.0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 1,165,370.00
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	24

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

DSS awarded its ESG funding during this reporting period to 24 non-profit entities that provide ESG eligible services to target population: homeless prevention, essential services, operations, shelter & housing assistance, etc.

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Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any public and Indian housing programs that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to recipients of housing and community development assistance in excess of \$200,000 expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to contracts and subcontracts in excess of \$100,000 awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to employment and training. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to contracting, and Part III summarizes recipients' efforts to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

- 8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in Column A in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in Column A in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. Low-income persons mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. Very low-income persons mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Part II: Contracts Awarded

1. Construction Contracts: **n/a**

A. Total dollar amount of all contracts awarded on the project	\$ 0.00
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0.00

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 259,716
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	3

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

The Department of Social Services awarded its HOPWA funding during this period through a competitive procurement process. 2 successful applicants provided tenant based rental assistance and support services to target population. 1 contractor conducted procurement proc

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8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self-explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

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The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Appendix K.

Key	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
Acronyms	
CHFA	Connecticut Housing Finance Authority
DECD	Department of Economic and Community Development, State of Connecticut
OEDFRD	Office of Economic Development Financial Review Division
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development
OSP	Office of Strategy and Policy