2013 **Program Year**

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Solutions Grant Program

Submitted to the
U.S. Department of Housing & Urban Development

CONNECTICUT
Department of Housing

By
The State of Connecticut
Department of Housing

September 15, 2014

State of Connecticut
2013 Consolidated Annual Performance and Evaluation Report

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Executive Summary 2013-14

The 2013 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2013-14 Program Year (PY13) which began 7/1/2013 thru 6/30/2014. During PY13, these four programs were administered by the Department of Housing (DOH).

Small Cities Community Development Block Grant Program (SC/CDBG)
The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs.

HOME Investment Partnerships Program (HOME)
The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state.

Emergency Solutions Grant Program (ESG)
The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential Housing both to assist the homeless and to prevent homelessness.

Housing Opportunities for Persons with AIDS Program (HOPWA)
The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families.

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for PY13 are listed below.

Table 1: Program Resource Allocation for PY 2013-2014	
HOME Investment Partnerships (HOME)	$ 6,756,442
Small Cities Community Development Block Grant (CDBG)	$ 11,141,302
Emergency Shelter Grants (ESG)	$ 2,096,102
Housing Opportunities for Persons with AIDS (HOPWA)	$ 282,574
Total	$ 20,276,420

Source: DOH

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. A description for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year. The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2010-15 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan**.**

The 2010-15 ConPlan, subsequent annual Action Plans and CAPERS are available on the DOH web site at www.ct.gov/doh. The 2013 CAPER is the fourth reporting year of the 2010-2015 ConPlan. The Performance Measurement System used in this document is as outlined in the 2010-15 ConPlan.

NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

  Summary of impediments identified in analysis:

  Impediments identified in the analysis are summarized in the Executive Summary of the Analysis of Impediments to Fair Housing Choice which is located on the publications page of the DOH website under **Housing Plans**.

  The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

  Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**
  Description of the Continuum of Care:

  Not applicable.

  The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

  DOH, in addition to funding emergency shelters and transitional living programs, also provides services through homeless drop-in day programs, homeless housing support services, Housing First for Families Program, CT Beyond Shelter Program, CT Rapid Rehousing Program, food pantries, and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs.

  DOH has required all emergency shelter and transitional living programs to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DOH, last year, introduced the CT Rapid Rehousing program. This is a regional program which assists literally homeless households with housing location services and placement, rental assistance as needed, and 12 months of in-home housing stabilization case management services.

DOH is an active member of the Balance of State Continuum of Care, as well the CTHMIS Steering Committee. Connecticut also issued its response to the Federal Opening Doors Plan entitled "Opening Doors Connecticut". A link to the Opening Doors Connecticut document is included the Anti-Poverty Strategy below. The Commissioner of DOH is a standing member of the Open Doors CT Steering Committee.

Significant homeless subpopulations assisted were:

Elderly and Frail Elderly and Persons with HIV/AIDS and their Families account for the significant homeless subpopulations assisted during the program year. Through the Office of Individual and Family Services at DOH, services are targeted to elderly and frail elderly populations. Also the department utilizes funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

The following efforts were made to address special needs of persons that are not homeless but require supportive housing:

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

Participation in a Continuum(s) of Care application in FFY 2012 competition:

The DOH provided 36 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

The following Continuums of Care applications were submitted in the FFY 2012 competition:

Not applicable

The following Continuums of Care projects were funded in the FFY 2012 homeless assistance competition:

Not applicable

The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2013 competition:

Not applicable

Other Actions

- **Actions to address obstacles to meeting underserved needs**

  The following actions were taken in the program year to address obstacles to meeting underserved needs:

  DOH continues to include a priority for capital funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included a priority for municipalities whose current percentage of affordable housing is below ten (10) percent.

  DOH continues to include a priority for the inclusion of supportive housing in its rating and ranking criteria for projects/activities.

- **Actions to foster and maintain affordable housing**

  The following actions were taken in the program year to foster and maintain affordable housing:

  DOH continues to include a priority in its rating and ranking criteria for awarding both state and federal funding for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 427 units of affordable housing were preserved under the SC/CDBG program (287 Rehab/Home Ownership and 140 Public Housing Rental Units).

- **Actions to eliminate barriers to affordable housing**

  The following actions were taken in the program year to eliminate barriers to affordable housing:

  DOH continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DOH continues to require that all rehabilitated or developed affordable housing activities be aggressively marketed to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

  The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:

  During PY13 DOH continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Supportive Housing Preservation Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing and Homelessness; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners Meeting; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

  The following actions were taken in the program year to improve public housing and resident initiatives:

  During the program year the State made housing related activities a priority and DOH worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State of Connecticut continued to support the Resident Participation and Rights Act, codified under section 8-64c of the Connecticut General Statutes, that further strengthen tenant's rights in public housing projects.

- **Actions to evaluate and reduce lead-based paint hazards**

  The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:

  During PY13 DOH continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

  The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):

  DOH provided 36 "Certifications of Consistency with the Consolidated Plan" to applicants applying for funding under HUD's NOFA for the Continuum of Care-Homeless Assistance Programs.

  DOH held one SC/CDBG workshop on the agency's competitive application process, two workshops on the DOH Consolidated Application (HOME), one workshops on Affirmative Fair Housing Marketing Plans and one workshop Section 3 Compliance.

  DOH conducted 30 close-out monitoring visits for the SC/CDBG program, 22 physical inspections and/or income test monitoring visits for the HOME program and 30 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

  During the Program year, DOH issued three SC/CDBG Bulletins clarifying program issues and providing notice of the application workshop. Bulletins were sent to all SC/CDBG eligible applicants.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**
  The following actions were taken in the program year to reduce the number of persons living below the poverty level:

The four programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG and HOPWA – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level.

Anti-Poverty Strategy:

In addition to the four programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state's ConPlan.

The State of Connecticut has established the Child Poverty and Prevention Council, in accordance with C.G.S. Section 4-67x, to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty by fifty percent. In addition, it established prevention goals and recommendations, and measures prevention service outcomes in order to promote the health and well-being of children and families.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **Child Poverty and Prevention Council Progress Report – Jan. 2012**

  The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **Economic Strategic Plan for Connecticut**

  This plan is mandated per CGS Section 32-1o. It is a comprehensive five-year strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends more than 60 specific strategies and initiatives for the future, grouped in three general areas: Talent and Technology, Cultivating Competitiveness and Responsible Growth.

- **State Long-Range Housing Plan**

  This plan was mandated per CGS Section 8-37t. It is a comprehensive five-year strategic plan that articulates and outlines the state's strategies, goals and objectives with regard to the preservation and creation of quality affordable housing and opportunities. Pursuant to Public Act No. 11-124, "An Act concerning the State's Consolidated Plan for Housing and Community Development", which became effective on October 1, 2011, the requirement for a Long Range State Housing Plan and annual Action Plan was amended and its requirements were incorporated into the State's Consolidated Plan for Housing and Community Development to be developed in accordance with 24 CFR Part 91 as prepared by the Commissioner of Housing, in consultation with the Connecticut Housing Finance Authority.

- **Conservation and Development Policies: The Plan for Connecticut**

  This plan is mandated per CGS Section 16a-24. It is a comprehensive plan that serves as a statement of the development, resource management and public investment policies for the state.

- **State Of Connecticut Temporary Assistance For Needy Families (TANF) State Plan**

  This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **State Of Connecticut Department Of Housing Administrative Plan For The Rental Assistance Program**

  This plan outlines how the State administers, through DOH, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **Child Care and Development Fund Plan for Connecticut**

  This plan describes the child care and development fund program to be conducted by the State.

- **State of Connecticut Comprehensive Mental Health Plan**

  This plan is submitted to the Federal Substance Abuse and Mental Health Services Administration. It outlines the state's plan to address mental health and addition challenges.

- **State of Connecticut Department of Developmental Services Five-year Plan**

  This plan is mandated per CGS Section 17a-211. It is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Opening Doors - Connecticut Strategic Plan to Prevent and End Homelessness**

  This Plan creates the framework for accomplishing the ambitious goals of preventing and ending homelessness. The objectives identify high level actions or system change needed to facilitate increased access to housing, economic security, health, and stability for specific populations. The strategies articulate steps that could be taken collaboratively by federal, state, and local leaders to address the differentiated needs of the populations identified.

I. Assessment of Progress

Connecticut's 2010-15 Consolidated Plan and subsequent Action Plans outline Over arching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state intends to make available HOME, SC/CDBG, ESG, and HOPWA funds to eligible recipients based on the priorities set forth in the state's 2010-15 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2010-15 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2013-14 Action Plan.

The state's long-term vision is that Connecticut's communities will be vibrant, safe, clean, and diverse places for people to live, work, and raise a family, that housing opportunities in Connecticut will be affordable, environmentally friendly and available to meet the needs of all its citizens. Housing is a key component of attaining and sustaining economic growth and in anchoring a community. Ensuring affordable housing options, to own and rent is an important contributing factor to future economic health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing.

In order to address all the citizens needs in an era of constrained resources it is important to add new housing as well as preserve affordable housing presently serving households in need. Additionally, it is important that all efforts, state and local, be undertaken consistent with responsible growth principles that will make the most efficient uses of energy, land, public infrastructure and other societal resources over the long-term. The state will marshal its resources to address Connecticut's housing development, housing support, and community development needs through the application of Responsible Development and Sustainable Communities' Livability principles to promote responsible development by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

Responsible development as an <u>overarching principle</u> includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible development policy, DOH will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

Sustainable Communities as an <u>overarching principle</u> emphasizes Connecticut's commitment to building and sustaining safe, livable, healthy communities and will encourage investment and development that strengthens and revitalizes communities by providing more choices for affordable housing near employment opportunities; more transportation options that lower transportation costs and shorten travel times; and improve and protect the environment. This activity is consistent with the recommended State Plan of Conservation and Development.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH and CHFA will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state will work to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state will work to revitalize communities by providing communities in need with:

- Assistance to undertake housing, community and economic development initiatives.
- Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state will encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state’s responsible growth strategies and the growth management policies specified in the new Conservation and Development Policies: The Plan for Connecticut (C&D Plan).

A. OVERARCHING PRINCIPLES

Responsible Development

Responsible Development includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible growth policy, DOH will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

DOH will give preference to community and housing development/redevelopment projects that satisfy the following responsible growth criteria:

- Conform with the C&D Plan for Connecticut.
- Are sited within existing developed areas and promote infill development.
- Are sited within existing public utilities service areas (water, sewer, etc.).
- For projects outside of public utility services areas, scaling down to use on-site systems, where practicable, to manage unplanned development of adjacent land.
- Integrate transit-oriented development.

- Integrate energy/water conservation, energy efficiency and "green" building design.
- Avoid adverse impacts to natural and cultural resources and open space.
- Promote mixed-use development and mixed income development and compatible land uses (pedestrian-friendly with access to multiple destinations within close proximity of each other).

The state's responsible growth strategies directly related to affordable housing include supporting state programs such as the Housing for Economic Growth program (a.k.a. HOME Connecticut) and the Incentive Housing Zones for higher-density, mixed-income housing in downtowns and re-developed brownfields and former mills close to transit options and job centers. DOH also supports federal efforts by the U.S. Departments of Housing and Urban Development (HUD), Transportation (USDOT) and the Environmental Protection Agency (EPA) to promote mixed income housing near transit, known as the Partnership for Sustainable Communities.

Sustainable Communities

"The average working American family spends nearly 60% of its budget on housing and transportation costs, making these two areas the largest expenses for American families."

As referenced above, HUD, the USDOT and the EPA have entered into a "partnership to help American families gain better access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities". This initiative, known as the Sustainable Communities Initiative, is based on the following "Livability Principles":

- Provide more transportation choices. Develop safe, reliable, and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
- Promote equitable, affordable housing. Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- Enhance economic competitiveness. Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers, as well as expanded business access to markets.
- Support existing communities. Target federal funding toward existing communities - through strategies like transit oriented, mixed-use development, and land recycling - to increase community revitalization and the efficiency of public works investments and safeguard rural landscapes.
- Coordinate and leverage federal policies and investment. Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- Value communities and neighborhoods. Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods - rural, urban, or suburban.

This initiative is consistent with the state's responsible growth principles and policies. The state of Connecticut will work to align its funding and development policies and initiatives, as outlined in Executive Order 15 and Sections 4-124 (s) and (t) of the Connecticut General Statutes, with those of the Sustainable Communities Initiative. To these ends the

Connecticut State Departments of Housing (DOH), Transportation (CTDOT) and Energy and Environmental Protection (DEEP) have begun executive level meetings on integrating responsible growth and sustainable communities' livability principles and policies into their planning and funding processes and to align state programs, development initiatives and funding with those of the federal sustainable communities initiative. Therefore, the state is including the "livability principles" along with its responsible growth principles in its overarching policies for housing and community development.

B. OVERARCHING GOALS

The overall goal of the community planning and development programs covered by this section of the plan is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.

   Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.

2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.

   A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.

3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.

   Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community;

and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms "low-income", "low and moderate income", "low-moderate income" and "low-mod income" include the "very low" and "extremely low " income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. Based on the state's review of all relevant and available data, specific issues were selected and run through an internal screening at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on three overarching factors: (1) the issue's relative demonstrated need (as identified in the needs assessment), (2) the availability of other funds to address the need and (3) the eligibility criteria of each of the four federal programs governed by this plan.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the four state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all

things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, “Other Funds” include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME and HOPWA programs.

Geographic Targeting

The state will target its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources will be focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Voucher/Certificate, Section 8 Moderate Rehabilitation, Community Services Block Grant (CSBG), Federal Historic Tax Credits, and Federal Historic Preservation Grants are exempt from the state’s geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Shelter Grant (ESG) - Emergency Shelter Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction. Five jurisdictions (Bridgeport, Hartford, New Britain, New Haven and Waterbury) receive their own allocations of ESG funds directly from the federal government, thus are not eligible for the state allocation. Because of the nature of homelessness, the ESG program is exempt from Priority Funding Area requirements.
- Rural Development (aka Farmers Home) Programs (All) - The U.S. Department of Agriculture's Rural Development Housing Programs were established to provide quality affordable housing to the nation's rural and farm communities. All Rural Development programs (502, 515, 523, etc.) are restricted for use in "rural areas" which include open country and places with populations of 50,000 or less.
- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut’s tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA’s allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly

from the federal government and are not eligible for use of the state allocation designated for small cities.

- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Areas (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH will receive a small amount of "Balance of State Dollars" and will use a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

C. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

AFFORDABLE HOUSING STRATEGIES

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

The state will endeavor to "bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions"[1]. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DOH, CHFA and the Department of Social Services (DSS) will continue to carry out the state's fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies. Using education and outreach, regional solutions and cooperation and neighborhood stabilization and revitalization.

The Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments. Most urban areas are natural mixed-use developments whereas suburban areas need to move away from the traditional single-use developments.

[1] *People, Place and Opportunity: Mapping Communities of Opportunity In Connecticut*, Kirwan Institute, Ohio State University & the Connecticut Fair Housing Center, November 2009.

Increasing the supply of quality affordable housing can be accomplished in multiple ways including new construction and rehabilitation of existing units. Adaptive re-use of historic structures provides multiple benefits to communities. Redevelopment lowers the ratio of poor quality or unused structures. Additionally, re-use lessens sprawl in rapidly developing areas by preserving open space/undeveloped land. Adaptive re-use is very likely to engender community support by preserving structures that have long been part of the community.

Summary of five year Affordable Housing Objectives

Fair Housing and Housing Choice
Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- Within budget appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's Analysis of Impediments (AI) for state level action. Utilization of the Fair Housing Center has enabled the state to better address the objectives of the AI by increasing the access of people in the protected classes to the existing supply of affordable housing, expanding fair housing outreach and education activities, providing increased training of state employees, service providers, housing developers or other funding recipients in the area of fair housing/civil rights and increasing monitoring and enforcement of fair housing laws and policies within the State of Connecticut.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update the Analysis of Impediments to Fair Housing Choice including the Action Steps for state and local governments.

Quality Affordable Housing
Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- With Regard to New Affordable Rental Housing:
  - DOH will work to create 750 new quality affordable rental housing units.
- With Regard to New Homeownership Opportunities:
  - DOH will work to create 300 new affordable homeownership opportunities.
  - CHFA will work to assist 13,500 to 15,000[2] first time homebuyers.
- With Regard to Preserving Existing Affordable Rental Units:
  - DOH will work to preserve 1,000 existing affordable rental housing units.
- With Regard to Maintaining Homeownership:
  - DOH will work to maintain homeownership for 400 households.
- With Regard to CHFA multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing:
  - CHFA will work to fund the development and/or preservation of 3,200[3] units.

The above referenced figures are based on historic program performance, current unit production costs and anticipated financial resources.

[2] Conditioned upon the availability of funds and market conditions.
[3] Conditioned upon the availability of funds and market conditions.

OBJECTIVES, OUTPUTS, OUTCOMES and INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned five year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- DOH will complete the update of the Analysis of Impediments (AI) by end of year three of this plan.
- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice and economic opportunities.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 8,500 DSS Section 8 and State Rental Assistance and Transitionary Rental Assistance Program participants with moves to areas of de-concentrated poverty annually.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice and economic opportunities.
- Support up to four infrastructure projects per year to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas annually.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of nine existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.
- Support fair housing education/outreach activities/actions to address illegal discrimination, to include DSS continuing to fund mobility counseling/tenant education programs to encourage/assist/educate DSS Section 8 and State Rental Assistance Program participants with 100 moves to areas of de-concentrated poverty annually.

Outcome:

- Improved availability/accessibility by supporting fair housing education, outreach activities, programs and actions to address illegal discrimination and expand housing opportunities.

Indicator(s):

- Complete update of the AI by end of year two of this plan.

- Number of fair housing educational and outreach opportunities achieved.
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Support at least one inter-municipal or regional housing project.
- Create incentives for municipalities to collaborate on projects.
- Number of infrastructure projects conducted per year.
- Number of DSS Section 8 and State Rental Assistance Program participants educated through this program that move to areas of de-concentrated poverty.
- Number of DSS Section 8 and State Rental Assistance Program participant moves that represent a census tract improvement of at least ten points; from a higher concentrated area to an area of lower concentrated poverty.

Objective 2:
Enhance suitable living environments and create decent affordable housing.

Output:
- Produce up to 750 newly constructed rental units that serve low- and moderate-income households using federal HOME and/or state housing programs.
- Rehabilitate up to 1,000 rental units that serve low- and moderate-income households using federal SC/CDBG/HOME and/or state housing programs.
- Produce up to two newly constructed homeowner units that serve low-and-moderate income households using federal HOME and/or state housing programs.
- Rehabilitate up to 400 homeowner units that serve low-and-moderate income households using federal SC/CDBG/HOME and/or state housing programs.
- Improve affordability by promoting and supporting mixed-income development projects in areas that currently under-serve low- and moderate-income households.
- Support the moderate rehabilitation of existing single-family homes (a single family home is defined as a one to four unit owner occupied residential structure).
    - SC/CDBG Program – Support up to four single-family moderate rehabilitation projects each year in SC/CDBG program eligible communities.
    - State Housing programs - Support up to four single-family moderate rehabilitation projects each year in suburban communities.
- Creation of multifamily housing
    - HOME Program- Produce up to 75 to 125 units of new multifamily housing in areas of need each year.
    - State Housing programs - Produce up to 75 to 100 units of new multifamily housing in areas of need each year.
- The CHFA multifamily housing development mortgage program will work to fund the development and/or preservation of units of multifamily housing.
- Through the adaptive re-use of historic structures, create and/or preserve residential units using federal SC/CDBG/HOME and/or state housing programs.
- Identify properties most at risk of being lost to the affordable market.
- Support energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons by funding housing projects each year that improve energy efficiency using federal and/or state housing and/or weatherization programs.

Outcome:
- Expansion of rental and homeowner housing and Sustainable Community activities completed that serves low-and-moderate income households.

Indicators:

- Number of newly constructed units.
- Number of rehabilitated units.
- Number of rental units.
- Number of homeowner units.
- Number of single-family moderate rehabilitation projects completed each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of need.
- Number of residential units created by re-use of historic structures.
- State, Federal and private resources leveraged.
- Number of energy efficiency projects completed each year.
- Number of at risk properties identified.
- Number of mixed income developments.

Objective 3:

To enhance suitable living environments though financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies to enhance suitable living environments.
- Provide economic opportunities in the form of mortgage assistance to enhance suitable living environments.
- Improve affordability by continuing to use CHFA's multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing units consistent with the needs and priorities established in the Consolidated Plan annually.
- Maintain mortgage lending and equity funding programs to fund up to approximately 800[4] units annually based on recent program experience, with an estimated 400-500[5] units funded through the Low Income Housing Tax Credit Program and the balance through the issuance of tax-exempt bonds or other bonds for development and expiring use preservation, based on the availability of these resources, financial market conditions, demand for financing and the availability of other necessary capital and operating subsidy required to attain feasibility. Use these debt and equity funding programs to leverage state, federal and private resources to the extent possible.
- Implement a Location Efficient Mortgage (LEM) Program to be administered by CHFA. The LEM Program provides state-backed relief in mortgage premiums based on proximity to urban areas. The LEM Program combines a low down payment, competitive interest rates and flexible criteria to encourage families to attain homeownership in proximity to transit annually.
- Continue CHFA's homeownership mortgage programs to expand homeownership generally, with an emphasis on targeted areas with lower rates of homeownership; and continue statewide special programs and initiatives to maintain homeownership.
    - Maintain CHFA efforts to expand homeownership through assisting approximately 2,700 to 3,000[6] first time homebuyers each year during the five-year period based on recent program history and the availability of mortgage capital for this purpose.

[4] Conditioned upon the availability of funds and market conditions.
[5] Conditioned upon the availability of funds and market conditions.
[6] Conditioned upon the availability of funds and market conditions.

  - Maintain lending in the state's federally targeted urban areas to a minimum of 30% of all mortgages purchased by CHFA each year.
  - Build program and investment partnerships with local stakeholders that maximize the use of CHFA's current program and leverage local, state and federal resources.
  - Continue efforts to help distressed homeowners maintain ownership.
- Create a homestead exemption whereby purchasers of homes within designated urban areas may receive state income tax reductions. The exemption will apply to first-time homebuyers and be considered for home purchases in targeted urban areas with the goal of increasing homeownership and neighborhood stability. To support this effort CHFA mortgage programs will be used, when possible, to encourage moderate and higher income households to move into urban neighborhoods in need of revitalization annually.
- Grant priority consideration to creating flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state. Produce affordable homeownership units through increased funding flexibility and reduce regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME programs, treating each pool of funding as a source of flexible capital. This allows developers to seek 'subsidized' capital from a pool of funds and put all parts of the capital structure of a housing project together while mitigating uncertainty and delays.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and homeowner housing that serves low-and-moderate income households.

Outcome:
Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:
- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Strategies for mitigating the potential loss of units.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Number of new families that attained homeownership in proximity to transit by implementing the LEM Program.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA's Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	HOME, State, Section 8	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, SC/CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, SC/CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

GOAL
Provide decent housing and enhance suitable living environments for residents of public housing.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS
It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:
Address the housing needs of residents of public housing through preservation of existing housing units and additional rent subsidies.

Output:
- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period using financing from the Affordable Housing Program/Housing Trust Fund/Housing Sustainability Fund.
- Invest in the maintenance/rehabilitation/modernization of 200 existing publicly-assisted rental housing units annually to preserve them as a long-term resource using federal funding such as the SC/CDBG or HOME Program.

- Continue to offer loans, within available CHFA resources, to local housing authorities to fund capital needs. Continue to offer capital funding for the redevelopment of portfolio properties annually through the CHFA mortgage and tax credit programs. Seek and develop opportunities to leverage additional funding from federal and private sources for these purposes.
- Preserve 2,850 state financed elderly rental units through the Weatherization Assistance Program (WAP) over three years (programs duration).
- Encourage local public housing authorities and DSS to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Vouchers by 50 new vouchers annually.

Outcome:
- New/improved availability/accessibility and affordability in public housing.

Indicators:
- Number of at risk properties identified each year.
- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of existing state-assisted rental units preserved through weatherization. each year.
- Number of new Section 8 vouchers each year.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State SC/CDBG HOME CHFA Section 8 WAP	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL
Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

STRATEGIES
The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS
It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund

projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources

Objective 1:
Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:
- Utilize the Beyond Shelter Program and Counselors in Shelters Program, administered by the DSS, to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Establish and convene the Statewide Homelessness Prevention and Rapid Re-housing Operations Advisory Committee. The Committee is comprised of DSS, six regional and five municipal HPRP programs and municipal representatives.
- Participate in Connecticut Coalition to End Homelessness' Homelessness Prevention Taskforce and use the information gained to create a sustainable housing based system that will prevent/quickly end homelessness among families and individuals in crisis in the future.
- Review operational aspects of implementing the HPRP Program to identify "what's working" and "what's not working" to increase efficiency and eliminate duplication.
- Increase number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services (including but not limited to outreach and transitional services such as supported living, case management, and substance abuse treatment).

Outcome:
- New and improved availability and accessibility through HPRP.

Indicator(s):
- Number of homelessness reoccurrences among DSS assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Alternative means to addressing homelessness achieved.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased and increase number of client cases closed, settled or resolved by 50 per year, over five years in order to expand services.

Objective 2:
Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:
- Maintain the state-funded Eviction Prevention P program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 150 new supportive housing units over the next five years.

Outcome:
- New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):
- Funding level and dollars committed to the Eviction Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing as a result of the program.
- Number of supportive housing units created.
- Number of rental subsidies.

Objective 3:
Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

Output:
- Coordinate funding opportunities to assist in achieving the strategies outlined in the Ten Year Plans to End Homelessness.

Outcome:
- New and improved availability and accessibility of housing to prevent and reduce homelessness through long range planning.

Indicator(s):
- Number of funding opportunities that addressed specific strategies outlined in the Ten Year Plans to End Homelessness.

Objective 4:
Maintain the state's network of "Homeless Shelters."

Output:
- Continue to fund "Homeless Shelters" across the state.

Indicator(s):
- Funding leveraged (beyond ESG).
- Number of shelters DSS funds (ESG).
- Number of beds & type (men, women, children)-ESG.
- Number of clients (ESG).
- Number of services/type(ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	HPRP, State, HOME	Low-Mod Income	State-Wide
Objective 2	HPRP, State, HOME, TANF	Low-Mod Income	State-Wide
Objective 3	HPRP, State, HOME,	Low-Mod Income	State-Wide
Objective 4	ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL
Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS
It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs - General

Objective 1:
Coordinate the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to increase the availability of supportive housing by using state and federal resources effectively.

Output:
- Interagency Council and/or Interagency Committee meets regularly to insure coordination of efforts and identifies resources and prioritizes production and preservation of permanent supportive housing.

Outcome:
- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):
- Identification of resources and plan for production and preservation.

Objective 2:
Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:
- Utilize the state's current Long Term Care Plan as a blueprint for coordination of services.

Outcome:
- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):
- Was the state's Long Term Care Plan used as a blueprint for coordination of services.

Objective 3:

Link permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances. These actions will ensure long-term housing stability and independence.

Output:
- The number of clients who are provided appropriate services increases over five years.

Outcome:
- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):
- Number of clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:
Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that people with disabilities can live independently within their community of choice.

Output:
- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:
- New/Improved Affordability.
- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing.

Indicator(s):
- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.

Objective 2:

Increase the number of elderly and frail elderly clients served by DSS.

Output:
- Increase client caseload by ten per year.

Outcome:
- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):
- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State/HOME	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:
Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue the successful keeping of families and those individuals with disabilities together, through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Output:
- Number of linkages among federal agencies, state agencies and consumers is maintain and/or increased.

Outcome:
- Families and those individuals with disabilities kept together and receive appropriate counseling and other supportive services which ultimately increases their quality of life.

Indicator(s):
- Number of families and those individuals with disabilities kept together through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Objective 2:
Increase the accessibility of DMHAS provided client support services connected to affordable housing for individual with disabilities.

Output:
- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:
- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):
- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:
Continue to provide for accessibility modifications.

Output:
- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:
- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live with in the community of their choice.

Indicator(s):
- Number of accessibility modifications funded.

Objective 4:
Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:
- $250,000 in bond funds are provided to do accessibility modifications for persons leaving nursing facilities.
- Section 8 Housing Choice Voucher preference for up to 50 eligible persons in support of the Nursing Home Transition Initiative is established.

Outcome:
- Independent living is restored to all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):
- Number of projects completed.
- Number of persons enabled to return to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided Section 8 Housing Choice Vouchers.

Objective 5:
Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that the elderly can live independently within their community of choice.

Output:
- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:
- New/Improved Affordability.
- Independent living will be maintained for all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):
- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.
- Number of new supportive housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/ CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/Sec. 8		State-Wide
Objective 5	State/HOME		State-Wide

Persons with HIV/AIDS and their families

Objective 1:
Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:
- Existing HIV/AIDS programs are maintained and expanded.

Outcome:
- New/Improved Availability/Accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DSS funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):
- Dollars leveraged/amount of additional funding received.

- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:
Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:
- Number of people accessing supportive housing services is increased over five years by 50.

Outcome:
- New/Improved Availability/Accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):
- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:
Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:
- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:
- New/Improved Availability/Accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):
- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Persons with Alcohol or Other Drug Addiction

Objective 1:
Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of

each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:
- The number of clients who are provided appropriate services increases over five years.

Outcome:
- More persons with substance abuse issues receive appropriate care leading to a better quality of life for assistance recipients and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):
- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:
Increase the availability of permanent supportive housing, as a housing option for, to assist individuals leaving the correction system to facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:
- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Outcome:
- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):
- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**

Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Provide economic opportunities including job creation and retention through the establishment, stabilization and expansion of small businesses (including micro-enterprises) and the provision of public services concerned with employment.

Output:

- Support at least one Economic Development Project per year under the CDBG Program with the creation of up to 15 jobs per year (8 of which will be for low-and-moderate-income persons).

Short-Term Outcome:

- New/Improved availability/accessibility.
- Support and funding of economic development projects and micro-enterprise, activities/projects will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of economic development projects funded under the SC/CDBG Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

Objective 2:
Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for economic and/or community development project.

Output:
- Support at least one inter-municipal or regional project per year under the SC/.DBG Program including Comprehensive Economic Development Strategy (CEDS) project.
- Create incentives for municipalities to collaborate on projects.

Short-Term Outcome:
- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.
- Economies of scale will be reached leading to lower governmental cost.

Long-Term Outcome:
- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):
- Number of inter-municipal/regional projects funded under the SC/CDBG Program annually.
- Number of jobs created in the region benefiting low-and-moderate-income persons annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.
- Number of housing units annually.

Objective 3:
Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:
- Support up to four infrastructure projects per year under the SC/CDBG Program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:
- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG Program per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to nine public facilities projects per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 5:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 6:

Allow municipalities that have state-approved responsible growth/Transit Oriented Development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development and support the use of tax incremental financing. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.

Output:

- Five Special Services Districts established over five years.

Short-Term Outcome:

- Local governments will have greater resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):

- Number of municipalities with state-approved responsible growth/Transit Oriented Development (TOD) projects allowed to develop Special Services Districts and levy additional taxes and/or fees to fund development over five years.
- Number of TOD projects with new Special Services Districts over five years.

Objective 7:

Allocate $100 million of Urban Reinvestment Tax Credits for TOD/Responsible Growth projects and implement the Recovery Zone Economic Development Bonding Program as a financing vehicle for approximately two Responsible Growth projects over a five-year period.

Output:

- Allocated $100 million of Urban Reinvestment Tax Credits as an incentive for private investment and to stimulate the development and implementation of two Responsible Growth/TOD projects over a five-year period.

Short-Term Outcome:
- Private investment will be leveraged to increase the resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:
- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the allocation of $100 million of Urban Reinvestment Tax Credits over a five-year period.
- Amount of private funding for TOD/Responsible Growth projects leveraged by the allocated tax credits.
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the implementation of the federal Recovery Economic Development Zone Bond Program over a five-year period.

Objective 8:
Provide $100 million[7] of federal/state/local community development resources for ten brownfield redevelopment projects as recommended by the Brownfields Task Force over a five-year period.

Output:
- Up to ten brownfield sites/projects are remediated returning unproductive properties to productive use and improving the health and safety of Connecticut's citizens over a five-year period.

Short-Term Outcome:
- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:
- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate- income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

[7] Note: This amount would be utilized for all types of brownfield and mill redevelopment, not just housing projects.

- Dollar amount provided for brownfield redevelopment projects as recommended by the Brownfields Task Force over five years.
- Number of brownfield projects undertaken as a result of the $100 million provided for brownfield redevelopment over five years.
- Number of brownfields/acres and/or buildings brought back to productive use over five years.

Objective 9:
Section 108 Program: DOH will be applying for a $20M line of credit for SC/CDBG Section 108 loans to fund economic development projects. DOH estimates that it will support five economic development projects in five years.

Output:
- DOH estimates that it will support five economic development projects in five years by applying for a $20,000,000 line of credit for SC/CDBG Section 108 loans (using the provisions of the Section 222 interim rule) on behalf of the non-entitlement communities of the state.

Short-Term Outcome:
- New/Improved availability/accessibility to funds.
- Support and funding of economic development projects and micro-enterprise, activities/projects that will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:
- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):
- Number of economic development projects funded under the Section 108 Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities

Objective 2	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 3	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 5	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 6	State	Low-Mod Income	State -Wide
Objective 7	State	Low-Mod Income	State -Wide
Objective 8	State	Low-Mod Income	State -Wide
Objective 9	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Allow municipalities that have state approved responsible development/transit-oriented development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.
- Allocate up to $100 million of Urban Reinvestment Tax Credits for state approved responsible development/TOD projects, particularly for brownfield and former mill redevelopment as recommended by the Brownfields Task Force.

- Implement the federal Recovery Zone Economic Development Bond Program as a financing vehicle for responsible development projects.
- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of approximately three Community Revitalization Strategies or Neighborhood Revitalization Zones under the SC/CDBG Program over a five-year period.

Outcomes:
- New and improved sustainable communities**.**

Indicator(s):
- Number of responsible development/TOD projects developed and/or implemented as a result of the allocation of $100 million of Urban Reinvestment Tax Credits.
- Amount of private funding for responsible development/TOD projects leveraged by the allocated tax credits.
- Number of brownfields project undertaken as a result of the new state funding authorized for brownfield redevelopment.
- Number of acres and/or buildings brought back to productive use.
- Statewide database of brownfield sites is updated.
- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Decreased project development time.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies over a five-year period.
- Number of low-and-moderate-income persons served.

Objective 2:
Enhance suitable living environments and create decent housing in areas of need.

Output:
- Support at least two municipalities in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas each year using the HOME/State Housing programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households. Give preference to one mixed-income infill project creating at least 10-25 units of housing each year in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need. Fund at least two mixed-use and/or transit-oriented development projects with availability of 20 low-and-moderate-income residential units in an urban or suburban area over a five-year period. Support at least two municipalities with rezoning efforts to enable mixed-use developments, and/or transit-oriented developments over a five-year period.

- Foreclosed properties are kept from deteriorating, rehabilitated and sold to low-and-moderate-income households. Utilize Neighborhood Stabilization Program (NSP) and Community Development Block Grant - Recovery (CDBG-R) Program funds to stabilize neighborhoods in areas impacted by foreclosures to serve 325 to 400 households annually.
- Implement a "Learn Here, Live Here" Program to be administered by CHFA. The program would allow Connecticut resident students attending any post-secondary institution to contribute the larger of their state income tax liability or $3,000 into a First-Time Homebuyer Trust Fund each year for ten years. The money could be withdrawn anytime over those ten years to purchase homes in Connecticut. Any interest income would be deposited annually into the state's general fund to partially offset the cost of the program.
- Ensure there is a mechanism to fund both HOME Connecticut incentive housing payments and the Housing Trust Fund to increase workforce housing in the state.

Outcomes:
- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):
- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.
- Number of foreclosed units acquired.
- Number of acquired units rehabbed and sold.
- Number of participants in "Learn Here, Live Here" Program.
- Number of homes purchased utilizing the "Learn Here, Live Here" Program.
- Number of HOME Connecticut incentive housing payments and the Housing Trust Fund funded.
- Number of workforce housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the

implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

STRATEGIES

Connecticut has Statutes, Regulations, Technical Standards, Guidance Documents and Policies that relate to health and housing. Through increased coordination of agencies and partners it is possible to implement a healthy homes assessment and intervention program through DOH. The DOH would continue to comprehensively address lead-based paint issues as required under HUD's Lead-Safe Housing Regulation as well as implementing Lead-Safe Work Practices under the new EPA rule: EPA Renovation, Repair and Painting Program (RRP) which became effective April 22, 2010. The Healthy Homes Initiative encompasses several known home-based health hazards and programs, and seeks to coordinate the delivery of services through collaboration with the DOH and other state and community stakeholders. Initial strategies for statewide implementation include training and technical capacity building of housing and health partners, development and implementation of healthy homes materials, assessments (inspectional forms) and evidence-based interventions in DOH-selected pilot communities.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach, and to pilot the developed "healthy homes approach" in at least three communities.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health.
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative). A healthy home assessment and intervention will address and seek to control environmental and other health and safety hazards such as, but not limited to, lead-based paint and lead-based paint hazards, damaged asbestos, elevated indoor radon levels; pests, sources of mold and mildew, smoke and carbon monoxide detectors, fire and electrical safety, and other structural components. This will be supported and accomplished in collaboration with

state public health and housing programs such as the HOME, and SC/CDBG the DPH Healthy Homes Initiative, or through other state or federal funding sources.

- Build the technical capacity of DOH and local housing agencies by sponsoring ten (10) National Center for Healthy Homes (NCHH) training courses (2 courses held annually, or as needed). The NCHH two-day course is considered a pre-requisite to the National Environmental Health Association's 'Healthy Homes Specialist' credential.
- Support the training and certification of at least 25 designated DOH and/or local housing staff who are interested in seeking national certification as "healthy homes specialists".

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with PDH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as "Healthy Homes Specialists" by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	**Funding Source**	**Targeted Population**	**Geographic Target**
Objective 1	HOME CDBG Other/ State	Low-Mod Income	State-Wide CDBG Small Cities

II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

All information on activities or requirements provided in this section were carried out by DOH during PY 14, and will continue to be carried out by DOH in the coming program year.

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cites who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan and certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal

opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned or Women-Owned Business Enterprises (MBE/WBE) was $9,123,325 of which $1,942,320 was provided to firms owned by persons who are Black Non-Hispanics or who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was $2,105,161. In addition a total of $1,800,469 was provided to Section 3 firms.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was $13,901,606 of which $159,031 was awarded to firms owned by persons who are Black Americans, and $715,099 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was $254,815. In addition a total of $1,554,045 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide a workshop for grantees and their contractors addressing their Section 3 requirements, and methods for achieving their Section 3 goals. This workshop was held on May 15, 2014 at the Department of Housing.

C. Nondiscrimination/Fair Housing

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort,24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH's affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant's AFHMP and required attachments are approved by DOH.

Assessing the Effectiveness of Affirmative Marketing for HOME projects

To assess the effectiveness of affirmative marketing, DOH has implemented a "Performance Report on Affirmative Fair Housing Marketing Results" which must be submitted to DOH on an annual basis. Recipients provide the percentage of "least likely to apply" (LLA) residing in the project and currently on the project's waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner's marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

G. Continuing Efforts to Affirmatively Further Fair Housing

The DOH continues to provide the most recent statewide Analysis of Impediments (AI) to Fair Housing Choice on our website at www.ct.gov/doh. In addition, the new Analysis of Impediments to Affordable Housing should be available in the month of October 2014, pending completion and submission to HUD for review and approval. What follows is a review of progress made on the previous year's goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DOH provided the Corporation for Independent Living with a total of $1,000,000 during FY 12-13 from the Affordable Housing (Flex) Fund to finance the "Money Follows the Person Transition Program" for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. A total of 11 dwelling units were modified using approximately one-half of these funds. There are an additional 12 properties being actively considered for modifications at this time. The Connecticut State Legislature has again authorized an additional $1,000,000 to continue this program in SFY 2013-14 and DOH has continued this initiative.
- DOH awarded $20,000,000 in state bond funds to rehabilitate a total of ten (10) state public housing projects, preserving 487 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-

to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.

- DOH has replaced DOH on the Board of Directors for the "Money Follows the Person" Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH has replaced DOH on the Boards of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.
- DOH has modified all of its contracts for financial assistance and fair housing documents to include gender expression and identity as a protected class as approved by the state legislature.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded $1,000,000 under the Affordable Housing Flex Program and $22,426,548 under the State Housing Trust Fund to ten housing projects during the SFY of 2012-13.
- 1,162 units were under completed during SFY 2012-13.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH has implemented a "Performance Report on Affirmative Fair Housing Marketing Results" which must be submitted to DOH on an annual basis detailing the percentage of "least likely to apply" (LLA) residing in the project and currently on the project's waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing $461,952 for the SFY 2013-14 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

The FHC, with financial assistance from DOH, carried out the following:

- Performed intakes and gave fair housing advice to 153 Connecticut households;
- Investigated 153 complaints of discrimination;

- Requested reasonable accommodations and reasonable modifications for 16 Connecticut residents with disabilities;
- Obtain reasonable accommodations and reasonable modifications for 13 Connecticut households without litigation or court action;
- Performed 25 tests designed to investigate any claims of housing discrimination;
- Provided 1,000 hours of legal assistance to the victims of housing discrimination;
- Opened up more than 300 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 250 Connecticut residents;
- Assisted members of the private bar in representing homeowners in foreclosure about the changes to the mortgage modification process and the new resources available to homeowners by providing legal updates to 100 attorneys each month;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 80 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Ensures that the foreclosure legal process responds to the needs of homeowners in foreclosure, especially those homeowners who are not represented by attending meetings of the Foreclosure Bench/Bar Committee and subcommittees;
- Provided more than 1,000 hours of legal advice to homeowners in foreclosure;
- Represented 24 homeowners in foreclosure in an effort to save their home and/or obtain a mortgage modification.
- Accepted calls and offered assistance on how to obtain mortgage modifications to members of the private bar representing homeowners in foreclosure for 6 hours each month;
- Met with housing counselors and their clients to offer legal advice and information about the mortgage modification process and the resources available to assist with mortgage modification for 7 hours each month;
- Taught 25 classes to provide information on the legal foreclosure process to 260 households facing foreclosure;
- Provided legal updates and training on changes in the foreclosure process and in mortgage modification programs to housing counselors by attending 10 meetings with housing counselors reaching more than 100 people;
- Distributed, and reprinted, the Center's "Representing Yourself in Foreclosure: A Guide for Connecticut Homeowners" to 1,000 Connecticut residents.
- Expanded the number of attorneys providing legal assistance to homeowners whose homes are underwater or in foreclosure by offering training on the mortgage modifications and the assistance available to homeowners to 50 attorneys;
- Attended events sponsored by the Governor, Attorney General, and Department of Banking and provide legal advice to 25 homeowners at each event;
- Recruited and trained attorneys for the Judicial Branch's Volunteer Attorney Program for homeowners facing foreclosure, and staff the tables with Center attorneys 24 times.

DOH provided the following trainings and guidance to housing providers in Connecticut:

- Provided training to cities and towns on how to affirmatively market the programs funded by the SC/CDBG program to increase the participation of those least likely to apply; and

- Provided training for subsidized housing providers on how to create and implement an affirmative fair housing marketing plan.

The state of Connecticut sponsored the following education and training:

- Provided Section 3 training to staff, cities and towns funded by the SC/CDBG program to increase the participation; and
- DOH conducted the SC/CDBG Application Workshop - Fair Housing/Civil Rights Section, covering changes/updates made to the application for the FFY 2013 allocation funds.

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- Performed 10 tests to determine if deaf and hard of hearing individuals are being discriminated against in housing
- Performed 5 home sales tests to determine if households of color with children are steered in a discriminatory way;
- Met with LGBT community members to design a testing protocol for determining if people are being denied housing because of their sexual orientation or gender identity.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that "the state highlight the achievements of the SC-CDBG it funds in future PERs".

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state's Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 34 grantees. The results are as follows:

<u>Accomplishments by Action Step</u>

- **Action Step 1** - Contract for direct training of regional town staff assigned to fair housing enforcement and complaint processing -4 town;
- **Action Step 2** - Contract for direct training of staff on fair housing laws – 1 town;
- **Action Step 3** – Identify appropriate training seminars for town fair housing and social service to attend – 5 towns;
- **Action Step 4** - Gather information from organizations and agencies involved with fair housing such as DOH, CHRO, CHFA, DSS, DMHAS, HUD and private not-for-profits and distribute to all town staff which have direct contact with the public regarding housing, community development, social services or public safety matters -3 towns;
- **Action Step 5** - Conduct regular (at least once a year) fair housing seminars for community residents, landlords, real estate professionals and lenders – 1 town

- **Action Step 6** - Prepare and distribute materials which outline fair housing rights and responsibilities and the town's complaint and/or referral process - 7 towns;
- **Action Step 7** - Identify and distribute fair housing materials prepared by others to community residents, landlords, real estate professionals and lenders – 11 towns;
- **Action Step 8** – Assign a specific staff person to coordinate fair housing activities – 4 towns;
- **Action Step 9** - Develop a formal process for referring fair housing complaints to CHRO, HUD or others for investigation and follow-up – 7 towns;
- **Action Steps 14 and 17** – 6 towns;
    - Review local building and zone codes, removal of overly restrictive occupancy standards, family definitions, and density requirements.
    - Promote inclusionary zoning through the expansion of multi-family zones
- **Action Step 15** - Develop a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the Americans with Disabilities Act and related laws – 4 towns;
- **Action Step 16** - Expand access to mass transportation by developing van pools and ride sharing programs – 4 towns;
- **Action Step 17** - Promote inclusionary zoning through the expansion of multi-family zones – 3 towns;
- **Action Step 18** - Encourage the development of alternative ownership through models such as cooperatives, mutual housing, land trusts and/or turn-key projects – 1 towns;
- **Action Step 21** - Support local not-for-profits and housing partnerships in efforts to develop additional affordable housing – 2 towns;
- **Action Step 24** - Waive impact and permit fees for affordable housing developments – 2 towns;
- **Action Step 25 –** Seek state and federal funding for multi-family housing development – 1 town;
- **Action Step 26 –** Create or expand Section 8 and other mobility counseling programs – 1 town;
- **Action Step 28** - Conduct a local rent survey to determine if Section 8 exception rents are necessary in town – 1 town;
- **Action Step 31** - Develop a consistent tenant selection methodology that clearly defines the criteria by which each applicant will be judged and does not exclude any protected class - 1 towns;
- **Action Step 32** - Encourage local lenders to adopt "second look" policies before rejecting mortgage applications – 2 towns; and
- **Action Step 34** -Work with local landlords, real estate agents and lenders to develop affirmative marketing strategies which encourage applications from people least likely to apply based on current town demographics - 3 towns.

III. HOME Program Requirements

A. Resource Allocation PY 2013-14

The following table (Table 16) provides a summary of the resource allocation for program year 2013-14.

Table 16: HOME Program Resource Allocation for PY 2013-2014	
State Administration (10%)	$ 675,644
CHDO Set-aside (15%)	$ 1,013,466
Subtotal	$ 1,689,110
Program Income	$ 0
Allocation available for other eligible activities	$ 5,067,332
FY 2013-14 Allocation	$ 6,756,442

Source: DOH

B. Disbursements PY 2013-14

The following table (Table 17) provides a summary of disbursements for program year 2013-14.

Table 17: Summary of HOME Program Disbursements For Projects During PY 13-14	
FFY	**Disbursement Amount**
2010	$ 517,362
2011	$ 2,962,099
2012	$ 2,215,768
2013	$ 1,000,000
Total	$ 6,695,229

Source: DOH

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures							
FFY 13-14 HOME Allocation	**Total HOME Allocations to Date**	**Amount of HOME Funds Expended During PY 13-14**	**Total Amount of HOME Funds Expended to Date**	**FFY 13-14 Allocated Admin Funds**	**Total Admin Allocated To Date**	**Amount of Admin Funds Expended During PY 13-14**	**Total Amount of Admin Funds Expended to Date**
$ 6,756,442	$ 228,134,388	$6,695,229	$ 219,494,200	$ 675,644	$ 23,251,507	$ 517,362	$ 22,102,603

Source: DOH

D. Contracted PY 2013-14

The following table (Table 19) provides a summary of contracts during the program year.

Table 19: HOME Program Projects Contracted During Program Year 7/1/2013 to 6/30/2014											
Recipient Name	**Project Name and Number**	**Project Location**	**Project Description**	**Total Estimated Project Cost**	**Proposed HOME Investment**	**Proposed Funding Type**	**Year Funded From**	**Activity**	**Unit Type**	**Home Units**	**Date AG signed contract**
Mutual Housing of South Central Connecticut	Hill Development/ HM1209301	New Haven	Acquisition and rehabilitation of 65 units of rental housing.	$4,170,858	$3,162,858	Grant/ Loan	2012	Rehab	R	65	9/25/2013
Geer Development Corporation	Beckley House Expansion/ HM 1202101	Canaan	New construction of 10 units of elderly housing.	$2,327,550	$530,000	Grant	2012	NC	R	10	10/8/2013
Neighborhood Housing Services of New Haven	NHS Affordable Rental Project/ HM1309301	New Haven	Rehab of 2 adjacent 3-family houses for rental use.	$1,105,550	$515,000	Grant	2012	Rehab	R	3	1/8/2014
Total				$7,603,958	$4,207,858					78	

Source: DOH
Key: Refer to "Key" Appendix

E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

Table 20: HOME Projects Contracted During PY 13-14 Summary of Geographic Distribution	
County	**HOME $**
New Haven	$ 3,677,858
Canaan	$ 530,000
Total	$ 4,207,858

Source: DOH

F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

Table 21: HOME Program Project Contracted During PY 2013-14 Summary by Activity		
Activity	**HOME Funding**	**Number of Projects**
Rehab/Rental	$3,677,858	2
NC/Rental	$530,000	1
Total	$4,207,858	3

Source: DOH
Key: Refer to "Key" Appendix

G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

Table 22: HOME Projects Contracted During PY13-14 Identifying Funding Leveraged							
Project Name	**Project Number**	**Project Location**	**Proposed HOME Investment**	**Public Sector Financing**	**Private Financing/ Equity**	**Total Financing Leveraged**	**Total Estimated Project Cost ($)**
Hill Development	HM1209301	New Haven	$ 3,162,858	$ 422,000	$ 286,000	$ 708,000	$ 4,170,858
Beckley House Expansion	HM1202101	Canaan	$ 530,000	$1,797,550	$ -	$ 1,797,550	$ 2,327,550
NHS Affordable Rental Project	HM1309301	New Haven	$ 515,000	$ -	$ 590,550	$ -	$ 1,105,550
Total							$ 7,603,958

Source: DOH

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

Table 23a: Summary of HOME Match Activity for PY 2013-14							
Match Contributed During PY	**Excess Match Banked from Prior PY s**	**Total Match Funds Available**	**Source of Match Funds**	**Disbursement Requiring Match**	**Required Match %**	**Match Liability Amount**	**Excess Match to Carry Over to Next PY**
$1,676,000	$17,716,303.36	$19,392,303.56	State Funds	$7,024,181.82	25.00%	$1,756,045.45	$17,636,258.11

Table 23b: HOME Match Liability History							
Fiscal Year	**Match Percentage**	**Total Disbursement**	**Disbursements Requiring Match**	**Match Liability Amount**	**HOME matching funds provided**	**Total HOME Matching Funds Provided**	**Balance per Fiscal Year**
1992	25%	$8,836,483.10	$0.00	$0.00	$15,000,000.00	$15,000,000.00	$15,000,000.00
1993	25%	$7,687,259.00	$7,082,859.00	$1,770,714.75	$17,924,131.53	$32,924,131.53	$31,153,416.78
1994	25%	$3,850,801.08	$3,172,001.08	$793,000.27	$4,736,422.67	$37,660,554.20	$35,096,839.18
1995	25%	$6,672,989.73	$5,883,389.72	$1,470,847.43		$37,660,554.20	$33,625,991.75
1996	25%	$8,084,326.89	$7,226,826.88	$1,806,706.72		$37,660,554.20	$31,819,285.03
1997	25%	$7,006,306.16	$6,590,406.16	$1,647,601.54		$37,660,554.20	$30,171,683.49
1998	25%	$3,398,893.88	$2,219,988.60	$554,997.15		$37,660,554.20	$29,616,686.34
1999	25%	$2,684,788.60	$2,398,193.88	$599,548.47		$37,660,554.20	$29,017,137.87
2000	25%	$4,691,397.14	$3,264,527.80	$816,131.95	$300,000.00	$37,960,554.20	$28,501,005.92
2001	25%	$9,624,703.09	$8,190,947.60	$2,047,736.90		$37,960,554.20	$26,453,269.02
2002	25%	$25,565,862.69	$22,688,077.84	$5,672,019.46	$13,393,233.00	$51,353,787.20	$34,174,482.56
2003	12.5%	$10,746,242.32	$9,688,684.38	$1,211,085.55		$51,353,787.20	$32,963,397.01
2004	12.5%	$13,164,467.80	$11,870,651.02	$1,483,831.38		$51,353,787.20	$31,479,565.63
2005	25%	$11,569,009.26	$9,872,451.00	$2,468,112.75		$51,353,787.20	$29,011,452.88
2006	25%	$6,811,972.53	$5,744,907.25	$1,436,226.81	$500,000.00	$51,853,787.20	$28,075,226.07
2007	25%	$15,321,802.41	$13,883,604.34	$3,470,901.08		$51,853,787.20	$24,604,324.99
2008	25%	$9,727,683.65	$8,465,697.99	$2,116,424.49	$200,000.00	$52,053,787.20	$22,687,900.50
2009	25%	$12,124,023.23	$11,152,650.02	$2,788,162.50		$52,053,787.20	$19,899,738.00
2010	12.5%	$19,797,828.40	$18,451,431.99	$2,306,428.99	$2,511,286.00	$54,565,073.20	$20,104,595.01
2011	12.5%	$15,756,442.03	$15,075,076.74	$1,884,384.59		$54,565,073.20	$18,220,210.42
2012	25.0%	$13,720,902.71	$13,083,571.47	$3,270,892.86	$2,766,986.00	$57,332,059.20	$17,716,303.56
2013	25.0%	$8,338,854.05	$7,024,181.82	$1,756,045.45	$1,676,000	$59,008,059.20	$17,636,258.11

Source: DOH

I. Program Income Activity

Table 24a has been omitted due to no activity relative to committing Program Income during the Program Year.

The following table (Table 24b) provides a summary of program income expenditure activity.

Table 24b: HOME Program Income Activity for PY 2013-14			
Balance Carried Forward from Previous PY	**Amount Received During PY 13-14**	**Amount Expended During PY 13-14**	**Balance to be Carried Forward to Next PY**
$ 0	$ 1,045,588	$ 1,045,587	$ 1

Source: DOH

J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

Table 25: HOME Program -Summary of Dollar Value of MBE & WBE Contracts Closed during PY 2013-14					
Project Name	**Project Sponsor**	**Project Location**	**Dollar Amount MBE**	**Dollar Amount WBE**	**Total**
Hearth Homes (2685)	Hearth Homes of Waterbury, LLC	Waterbury	$ 280,765	$ 87,196	$ 367,961
NE Brackett School (2265)	Toraal Development, LLC	Hartford	$ 100,555		$ 100,555
Huntington Woods (2684)	Huntington Woods Apt Limited Partnership	Bristol	$ 1,671,000	$ 2,017,965	$ 3,688,965
Total			$ 2,052,320	$ 2,105,161	$ 4,157,481

Source: DOH

* the dollar value of MBE & WBE contracts are based on HOME Projects closed during the PY and may include financing from other than the HOME Program.

K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of HOME projects contracted during PY 13-14 that represent awards to State-designated CHDOs.

Table 27: HOME projects contracted during PY 13-14 that represent awards to State-designated CHDOs						
Project Name	**Project Number**	**Project Location**	**Activity**	**Unit Type**	**Award Amount**	**Name of CHDO**
Hill Development	HM1209301	New Haven	Rehab	Rental	$3,162,858	Mutual Housing of South Central Connecticut

Source: DOH

M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

Table 28: HOME Program Summary of Rental Projects Monitored During PY 2013-14

Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitorings	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Avon	Peachtree Village	HM0900401	NC	R	11	Occ	PostOcc	3/25/2014	1	HQS Compliant	PassIncTest
Berlin	Orchard Ridge	20000070020579153A	NC	R	11	Occ	PostOcc	6/25/2014	1	HQS Compliant	PassIncTest
Branford	Rosenthal Gardens	HM0601401	NC	R	11	Occ	PostOcc	12/5/2013	1	HQS Compliant	PassIncTest
Canton	BoulderRidge	20020570010571A	NC	R	11	Occ	PostOcc	6/25/2014	1	HQS Compliant	PassIncTest
Colchester	Breed's Tavern	199402805700021.00	NC	R	22	Occ	PostOcc	1/9/2014	1	PhysFindings/CorrMade	PassIncTest
Farmington	Yorkshire Village	20011320010579153A	NC	R	4	Occ	PostOcc	6/25/2014	1	HQS Compliant	PassIncTest
Hartford	Brick Hollow	HM04064	Rehab	R	30	Occ	PostOcc	12/20/2013	1	PhysFindings/CorrMade	PassIncTest
Hartford	Park Terr II	20000640410579923A	Rehab	R	68	Occ	PostOcc	12/20/2013	1	PhysFindings/CorrMade	PassIncTest
Hartford	Washington Court	20000640450579163A	Rehab	R	66	Occ	PostOcc	1/8/2014	1	PhysFindings/CorrMade	PassIncTest
Hartford	SANA	20010640130579163A	Rehab	R	249	Occ	PostOcc	11/20/2013	1	PhysFindings/CorrMade	PassIncTest
Hartford	NAH	2001064005	Rehab	R	11	Occ	PostOcc	11/20/2013	1	PhysFindingsCorrMade	PassIncTest
Hartford	MLK	9706413571	Rehab	R	64	Occ	PostOcc	6/19/2014	1	PhysFindings	PassIncTest
New Britain	RoseHill	HM0508901	Rehab	R	19	Occ	PostOcc	11/14/2013	1	PhysFindings/CorrMade	PassIncTest
New Haven	Fair Haven	HM0909302	Rehab	R	65	Occ	PostOcc	11/19/2013	1	HQS Compliant	Pass Inc Test
New Haven	Richard Street	96935791637	Rehab	R	20	Occ	PostOcc	12/5/2013	1	PhysFindings/CorrMade	PassIncTest
Norwich	Artspace	9810405744	Rehab	R	9	Occ	PostOcc	11/27/2013	1	PhysFindings/CorrMade	PassIncTest
So Windsor	Watson Farm	2001132001579153A	NC	R	11	Occ	PostOcc	6/25/2014	1	HQS Compliant	PassIncTest
Tolland	Winding River	20021420010571A	NC	R	5	Occ	PostOcc	11/13/2013	1	HQS Compliant	PassIncTest
Torrington	Y House	9814305790	Rehab	R	42	Occ	PostOcc	12/2/2013	1	HQS Compliant	PassIncTest
Waterbury	Grace House	9715105777	NC	R	40	Occ	PostOcc	12/18/2013	1	HQS Compliant	PassIncTest
Waterbury	Huntington Woods	HM1001702	Rehab	R	18	Occ	PostOcc	11/14/2013	1	HQS Compliant	Pass Inc Test
Winsted	Laurel Commons	HM0416201	NC	R	19	Occ	PostOcc	1/31/2014	1	HQS Compliant	PassIncTest

Activity Key:
NC = New Construction
Rehab = Rehabilitiation
HO = Homeownership
R = Rental

Stages Key:
Dev =Construction
Compl =Initial Occupancy
Occ =Ongoing occupancy

Type of Monitoring Key:
Unit Inspection
Sponsor Files/Labor Files
Site Visit
Inspec. Files

N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME Program Workshops & Technical Assistance Provided During 2013-14 Program Year					
Event Name	**Event Description**	**Date of Event**	**Location of Event**	**Type of Attendees**	**# of Attendees**
Understanding Section 3	Understanding what projects it applies to, how to comply and meet reporting requirements. Training conducted by Erin Kemple, ED of CT Fair Housing Center.	5/20/2014	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities, PJ staff	15
CHAMP IV NOFA and Application Workshop	Presentation of NOFA (HOME), updates on changes to CONAPP and changes to process	10/2/2013	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	60
CHAMP V NOFA and Application Workshop	Presentation of NOFA, updates on changes to CONAPP and changes to process	4/14/2014	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	80

Source: DOH

O. Closed Out PY 2013-14

The following table (Table 30) provides a summary of closed out projects for program year 2013-14.

Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2013 to 6/30/2014											
Recipient Name	**Project Name and Number**	**Project Location**	**Project Description**	**Total Project Cost**	**DECD HOME Investment**	**Proposed Funding Type**	**Year Funded From**	**Activity**	**Unit Type**	**HOME Units**	**Date Closed in IDIS**
West Village Limited Partnership	West Village Apartments/ HM100930101	New Haven	Renovation of multi unit single bedroom very low income units in historic building.	$12,736,023	$2,424,000	Loan	2009	Rehab	R	26	7/30/2013
Dutch Point Homeownership LLC	Dutch Point Phase 3- Homeownership/ HM070640201	Hartford	New construction of 44 homeownership units, 18 HOME assisted.	$18,446,815	$1,300,000	Grant/ Loan	2009	NC	HO	20	8/9/2013
Huntington Woods Apartments Limited Partnership	Huntington Woods Apartments/ HM100170201	Bristol	The rehabilitation of 280 units of rental housing.	$31,153,569	$2,800,000	Loan	2010	Rehab	R	18	8/28/2013
Mutual Housing of South Central Connecticut	Fair Haven Mutual Housing Scattered Site/ HM090930201	New Haven	Scattered site acquisition/ new construction	$13,884,616	$4,000,000	Loan	2010	Acq/ NC	R	34	3/10/2014
Woodcrest Elderly Housing Phase II Limited Partnership	Woodcrest Elderly Housing Phase II/ HM0912901	Somers	Elderly Housing	$15,044,709	$3,038,731	Loan	2011	NC	R	60	3/21/2014

Source: DOH

IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2013-14

The following table (Table 31) provides a summary of the resource allocation for program year 2013-14.

Table 31: SC/CDBG Program Resource Allocation for PY 2013-2014		
State Administration (2%)	$	222,826
Additional $100,000 State Administration	$	100,000
Technical Assistance (1%)	$	111,413
Subtotal	$	434,239
Urgent Need (up to $500,000)	$	0
Allocation available for all other eligible activities	$	10,707,063
FY 2013-14 Allocation	$	11,141,302

Source: DOH

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2013-14			
FFY 12-13 SC/CDBG Program Allocation Funding Allowed for Admin.		**Amount of SC/CDBG Program Funding Expended on Admin.**	**Amount of Matching Funds Provided by State Toward Admin.**
$ 100,000	State Admin.		
$ 222,826	2% of Allocation	$ 322,826	$ 222,826

Source: DOH

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2013-14

The following table (Table 33) provides a summary of contracts during the PY 2013-14.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2013 to 6/30/2014													
Recipint/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsng	# People	#Jobs Created/ Retained	Multi-family/ Elderly	Date AG Signed Contract
Ansonia	SC1300201	Ansonia Housing RehabilitationProgram	400,000	2013	HR	12	HO	Rehab					9/17/2013
Ashford	SC1300301	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/9/2013
Beacon Falls	SC1300601	Beacon Falls Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Brookfield	SC1301801	Brookfield Housing Rehabiitation Program	400,000	2013	HR	12	HO	Rehab					10/9/2013
Canterbury	SC1302201	Housing Rehabilitation Program	400,000	2013	HR	10	HO	Rehab					10/7/2013
East Hampton	SC1304201	Chatham Acres Senior Housing Rehabilitation	800,000	2013	PHMOD	40	R	Rehab	Yes			E	9/17/2013
Ellington	SC1304801	Multi-jurisdictional Housing Rehabilitation Program	450,000	2013	HR	15	HO	Rehab					10/22/2013
Groton	SC1305901	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					11/1/2013
Hampton	SC1306301	Multi-jurisdictional Housing Rehabilitation Program	450,000	2013	HR	12	HO	Rehab					9/20/2013
Killingly	SC1306901	Maple Court Expansion Phase 2	750,000	2013	PHMOD	30	R	Rehab	Yes			E	9/17/2013
Lebanon	SC1307101	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Ledyard	SC1307201	Housing Rehabilitation Program	300,000	2013	HR	20	HO	Rehab					10/7/2013
Naugatuck Borough	SC1308801	Naugatuck Houisng Rehabilitation Program	400,000	2013	HR	22	HO	Rehab					9/13/2013
New Fairfield	SC1309101	New Fairfield Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					11/22/2013
Plainfield	SC1310901	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					9/20/2013
Putnam	SC1311601	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Roxbury	SC1312001	Roxbury/Bridgewater Housing Rehabilitation Progra	400,000	2013	HR	12	HO	Rehab					10/7/2013
Salisbury	SC1312201	Northwest Regional Housing Rehabilitation Program	600,000	2013	HR	12	HO	Rehab					10/7/2013
Southbury	SC1313001	Southbury Housing Rehabiitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Sprague	SC1313301	Maple and Elm street Reconstruction	500,000	2013	SIBALTIC					1,498			9/17/2013
Thompson	SC1314101	Gladys Green Senior Housing Rehab Phase 2	800,000	2013	PHMOD	70	R	Rehab	Yes			E	9/17/2013
Torrington	SC1314301	Housing Rehabilitation Program	400,000	2013	HR	10	HO	Rehab					10/7/2013
Vernon	SC1314601	Housing Rehabilitation Program	400,000	2013	HR	20	HO	Rehab					10/7/2013
Waterford	SC1315201	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Windham	SC1316301	Housing Rehabilitation Program	400,000	2013	HR	10	HO	Rehab					10/22/2013
Woodstock	SC1316901	Housing Rehabilitation Program	400,000	2013	HR	12	HO	Rehab					10/7/2013
Total			$11,850,000.00										

Source: DOH

Key: Refer to the "Key" Appendix

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2013-14 Summary of Geographic Distribution	
County	**CDBG $**
Fairfield	800,000
Hartford	
Litchfield	1,400,000
Middlesex	800,000
New Haven	1,600,000
New London	2,000,000
Tolland	850,000
Windham	4,400,000
Total	$ 11,850,000

Source: DOH

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2013-14 Summary by Activity		
Activity	**Total Funding**	**Number of Projects**
Homeowner Rehabilitation	$ 9,000,000	22
Public Housing Rehabilitation	$ 2,350,000	3
Total Housing	$ 11,350,000	25
Public Service	$ 0	0
Water/Sewer/Street Improvements	$ 500,000	1
Total Public Facilities	$ 500,000	1
Total	$ 11,850,000	26

Source: DOH

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 13-14 Identifying Funding Leveraged					
Project Description	***Project Number***	**Project Location**	**Proposed CDBG Investment**	**Total Financing Leveraged**	**Total Estimated Project Cost ($)**
Housing Rehabilitation Program	SC1302201	Canterbury	$ 400,000	$ -	$ 400,000
Housing Rehabilitation Program	SC1300301	Ashford	$ 400,000	$ -	$ 400,000
Housing Rehabilitation Program	SC1314301	Torrington	$ 400,000	$ 43,000	$ 443,000
Housing Rehabilitation Program	SC1316301	Windham	$ 400,000	$ -	$ 400,000
Housing Rehabilitation Program	SC1314601	Vernon	$ 400,000	$ 30,000	$ 430,000
Housing Rehabilitation Program	SC1310901	Plainfield	$ 400,000	$ 86,840	$ 486,840
Multi-jurisdictional Housing Rehab Program	SC1306301	Hampton	$ 450,000	$ 90,000	$ 540,000
Housing Rehabilitation Program	SC1307101	Lebanon	$ 400,000	$ -	$ 400,000
Southbury Housing Rehab Program	SC1313001	Southbury	$ 400,000	$ 10,000	$ 410,000
Chatham Acres Senior Hsng Rehab	SC1304201	East Hampton	$ 800,000	$ 50,000	$ 850,000
Roxbury/Bridgewater Hsng Rehab Program	SC1312001	Roxbury	$ 400,000	$ 37,000	$ 437,000
Housing Rehabilitation Program	SC1307201	Ledyard	$ 300,000	$ 40,000	$ 340,000
Beacon Falls Housing Rehabilitation Program	SC1300601	Beacon Falls	$ 400,000	$ 50,000	$ 450,000
Housing Rehabilitation Program	SC1316901	Woodstock	$ 400,000	$ -	$ 400,000
Naugatuck Housing Rehabilitation Program	SC1308801	Naugatuck Borough	$ 400,000	$ 43,000	$ 443,000
Multi-jurisdictional Housing Rehabilitation Program	SC1304801	Ellington	$ 450,000	$ 50,000	$ 500,000
Ansonia Housing Rehab Program	SC1300201	Ansonia	$ 400,000	$ 50,000	$ 450,000
Brookfield Housing Rehab Program	SC1301801	Brookfield	$ 400,000	$ 10,000	$ 410,000
New Fairfield Housing Rehabilitation Program	SC1309101	New Fairfield	$ 400,000	$ -	$ 400,000
Gladys Green Senior Housing Rehab Phase 2	SC1314101	Thompson	$ 800,000	$ 86,895	$ 886,895
Housing Rehabilitation Program	SC1305901	Groton	$ 400,000	$ -	$ 400,000
Maple Court Expansion Phase 2	SC1306901	Killingly	$ 750,000	$ 22,500	$ 772,500
Housing Rehabilitation Program	SC1315201	Waterford	$ 400,000	$ -	$ 400,000
Housing Rehabilitation Program	SC1311601	Putnam	$ 400,000	$ -	$ 400,000
Maple and Elm street Reconstruction	SC1313301	Sprague	$ 500,000	$ 50,000	$ 550,000
Northwest Regional Housing Rehabilitation Program	SC1312201	Salisbury	$ 600,000	$ 55,000	$ 655,000
Total			**$ 11,850,000**	**$ 804,235**	**$ 12,654,235**

Source: DOH

Key: Refer to the Key Appendix

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2013-2014	
Activity	**Amount**
Payroll	$ 42,582.00
Total	$ 42,582.00

Source: DOH

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

Table 38: SC/CDBG Program De-Obligated: 2013-2014				
Town	**Project #**	**Fund Year**	**Old Activity**	**Funds De-Obligated**
Farmington	SC0905201	2009	Public Housing Modernization	$ 139,267
Windsor Locks	SC1016501	2010	Sidewalks	$ 12,084
Plainfield	SC1310901	2013	Housing Rehab	$ 355,000
Plainfield	SC1310901	2013	Administration	$ 45,000
Plainville	SC1110001	2011	Housing Rehab	$ 275,000
Plainville	SC1110001	2011	Administration	$ 15,500
Total				$ 841,852

Source: DOH
Key: Refer to the "Key" Appendix

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

Table 39: SC/CDBG Program - Summary of Dollar Value of MBE & WBE Contracts for PY 2013-2014				
Grantee	**Project Number**	**Dollar Amount MBE**	**Dollar Amount WBE**	**Total**
Ansonia	SC1200201	$ 64,642	$ 42,073	$ 106,715
Bethel	SC1100901	$ 82,405	$ -	$ 82,405
Bethlehem	SC1201001	$ -	$ 490	$ 490
Brookfield	SC1201001	$ -	$ 5,300	$ 5,300
Burlington	SC1202001	$ 124,778		$ 124,778
Colchester	Program Income	$ 18,450		$ 18,450
Derby	SC1103701	$ 18,500	$ -	$ 18,500
East Haven	SC1004401	$ 27,000		$ 27,000
Griswold	SC1205801	$ 120,002		$ 120,002
Mansfield	SC1207801	$ -	$ 45,310	$ 45,310
Naugatuck	SC1208801	$ 8,040	$ 54,600	$ 62,640
Newington	SC0909401	$ 6,918		$ 6,918
North Haven	SC1110101	$ 4,000		$ 4,000
Old Saybrook	SC1210601	$ 11,650		$ 11,650
Plainville	SC1011001	$ 12,300	$ -	$ 12,300
Sprague	SC1313301	$ 46,100		$ 46,100
Southbury	SC1313001	$ 67,000	$ 34,667	$ 101,667
Thomaston	Program Income	$ 45,306		$ 45,306
Thomaston	SC1114001	$ 30,977	$ -	$ 30,977
Thompson	SC1214101	$ 52,000		$ 52,000
Torrington	SC1314301	$ 37,560	$ -	$ 37,560
Vernon	SC1214601	$ 37,789		$ 37,789
Wallingford	SC1214801		$ 5,000	$ 5,000
Watertown	SC1115301	$ 110,525		$ 110,525
Wolcott	SC0916601	$ 56,700	$ -	$ 56,700
Woodbridge	SC1216701	$ 25,000	$ 27,170	$ 52,170
Total		$ 1,007,642	$ 214,610	$ 1,222,252

Source: DOH

J. Program Objectives

Statutory Requirements of Section 104(e)
Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. Beginning with FFY '92, DOH now requires that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity

FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	$ 14,124,080	$ 911,453	$ 5,007,102	35%
1997	$ 13,952,390	$ 1,048,560	$ 5,189,373	37%
1998	$ 13,523,650	$ 983,877	$ 3,848,354	28%
1999	$ 13,660,420	$ 1,114,059	$ 2,929,505	21%
2000	$ 13,695,880	$ 954,302	$ 5,737,318	42%
2001	$ 14,266,670	$ 1,148,546	$ 5,083,525	36%
2002	$ 14,269,580	$ 1,017,857	$ 5,142,332	36%
2003	$ 14,970,890	$ 1,442,345	$ 6,879,462	46%
2004	$ 15,289,457	$ 1,260,500	$ 4,789,500	31%
2005	$ 14,554,078	$ 1,222,123	$ 5,753,600	40%
2006	$ 13,135,742	$ 300,000	$ 2,636,000	20%
2007	$ 13,219,057	$ 1,220,750	$ 6,752,000	51%
2008	$ 12,860,432	$ 1,435,000	$ 6,475,000	50%
2009	$ 12,800,000	$ 1,350,000	$ 8,425,000	66%
2010	$ 12,471,500	$ 1,280,500	$ 9,207,000	74%
2011	$ 12,272,000	$ 1,233,500	$ 9,030,500	74%
2012	$ 11,352,263.76	$ 1,121,442	$ 9,832,840	87%
2013	$ 11,850,000.00	$ 1,162,000	$ 11,350,000	96%

Source: DOH

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity

FFY	Amount Obligated to Recipients	Funds for Local Administration	Economic Development	% of Funding for Economic Development
1996	$ 14,124,080	$ 911,453	$ 2,414,423	17%
1997	$ 13,952,390	$ 1,048,560	$ 1,657,630	12%
1998	$ 13,523,650	$ 983,877	$ 1,338,654	10%
1999	$ 13,660,420	$ 1,114,059	$ 986,667	7%
2000	$ 13,695,880	$ 954,302	$ 750,145	5%
2001	$ 14,266,670	$ 1,148,546	$ 1,527,376	11%
2002	$ 14,269,580	$ 1,017,857		0%
2003	$ 14,970,890	$ 1,442,345	$ 450,653	3%
2004	$ 15,289,457	$ 1,260,500		0%
2005	$ 14,554,078	$ 1,222,123	$ 985,000	7%
2006	$ 13,135,742	$ 300,000		0%
2007	$ 13,219,057	$ 1,220,750		0%
2008	$ 12,830,432	$ 1,435,000		0%
2009	$ 12,800,000	$ 1,350,000		0%
2010	$ 12,471,500	$ 1,280,500		0%
2011	$ 12,272,000	$ 1,233,500		0%
2012	$ 11,352,263.76	$ 1,121,442		0%
2013	$ 11,850,000.00	$ 1,162,000		0%

Source: DOH

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts
The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds
The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing
SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes
DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action
The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use
The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities
The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities
DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

Table 42: SC/CDBG Program
Summary of Low Moderate Income Benefit Activity

FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National Objective	Amount of Funding to which Benefit Test Applies	% of Low/ Moderate Benefit
1996	$ 14,124,080	$ 911,453	$ 12,789,282	$ 12,289,282	87%
1997	$ 13,952,390	$ 1,048,560	$ 12,853,855	$ 12,840,241	92%
1998	$ 13,523,650	$ 983,877	$ 12,464,934	$ 12,060,125	89%
1999	$ 13,660,420	$ 1,114,059	$ 11,964,878	$ 11,964,878	88%
2000	$ 13,695,880	$ 954,302	$ 12,203,362	$ 11,968,415	87%
2001	$ 14,266,670	$ 1,148,546	$ 12,311,495	$ 11,267,747	79%
2002	$ 14,269,580	$ 1,017,857	$ 12,155,316	$ 11,490,613	81%
2003	$ 14,970,890	$ 1,442,345	$ 14,644,230	$ 14,204,230	95%
2004	$ 15,289,457	$ 1,260,500	$ 14,462,969	$ 14,112,969	92%
2005	$ 14,554,078	$ 1,222,123	$ 13,585,877	$ 12,713,100	87%
2006	$ 13,135,742	$ 300,000	$ 2,896,000	$ 2,636,000	20%
2007	$ 13,219,057	$ 1,220,750	$ 12,024,250	$ 12,024,250	91%
2008	$ 12,830,432	$ 1,435,000	$ 11,869,000	$ 11,869,000	93%
2009	$ 12,800,000	$ 1,350,000	$ 12,300,000	$ 12,300,000	96%
2010	$ 12,471,500	$ 1,280,500	$ 11,930,713	$ 11,930,713	96%
2011	$ 12,272,000	$ 1,233,500	$ 11,149,000	$ 11,149,000	91%
2012	$ 11,352,264	$ 1,121,442	$ 10,230,822	$ 10,230,822	90%
2013	$ 11,850,000	$ 1,162,000	$ 10,688,000	$ 10,688,000	90%

Source: DOH

K. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

Table 43: CDBG Program Summary of Projects Monitored During PY 2013–14						
Project Location	**Project Name**	**Project Number**	**Activity**	**Dollar Amount**	**Type of Monitorings**	**Date of Monitoring**
Ashford	Food Bank	SC1000301	PS	$50,000	Close-out	10/1/13
Ashford		SC1100301	HR	$300,000	Close-out	05/06/14
Branford	Orchard House ADA Renovations	SC1101401	PF	$500,000	Close-out	05/20/14
Clinton	Housing Rehab	SC1002701	HR	$300,000	Close-out	04/09/14
Clinton	Glenhaven Elderly Housing Rehab	SC1102701	PH/MOD	$680,000	Close-out	04/09/14
Cromwell	Housing Rehab	SC1103301	HR	$300,000	Close-out	04/15/14
East Haven	Housing Rehab	SC1004401	HR	$300,000	Close-out	04/01/14
Ellington	Regional Housing Rehab	SC1004801	HR	$500,000	Close-out	11/19/13
Essex	Essex Court Senior Housing Rehab	SC1005001	PH/MOD	$500,000	Close-out	10/8/13
Farmington	Housing Rehab	SC1005201	HR	$300,000	Close-out	03/25/14
Franklin	Housing Rehab	SC1005301	HR	$300,000	Close-out	7/2/13
Guilford	Boston Terrace Community Room Rehab	SC1006001	PH/MOD	$375,000	Close-out	10/22/13
Hampton	Regional Housing Rehab	SC1006301	HR	$300,000	Close-out	10/15/13
Killingly	Maple Court Housing Rehab	SC1006901	PH/MOD	$610,000	Close-out	7/23/13
Lebanon	Housing Rehab	SC1007101	HR	$300,000	Close-out	7/9/13
Morris	Eldridge Senior Housing Rehab	SC1008701	HR	$555,000	Close-out	11/26/13
Naugatuck	Nettleton Avenue Drainage	SC1008801	PF	$500,000	Close-out	11/12/13
New Fairfield	Housing Rehab	SC1009101	HR	$300,000	Close-out	8/27/13
Newtown	Nunnawauk Meadows Senior Housing ADA Renovations	SC1009701	PH/MOD	$546,000	Close-out	7/30/13
Plainville	Housing Rehab	SC1011001	HR	$300,000	Close-out	05/13/14
Plymouth	Housing Rehab	SC1011101	HR	$300,000	Close-out	03/04/14
Sprague	Shetucket Village Senior Housing Rehab	SC1113301	PH/MOD	$700,000	Close-out	03/18/14
Stafford	High Street Reconstruction	SC1013401	PF	$500,000	Close-out	12/03/13

Tolland	Town Hall ADA	SC1014201	PF	$480,000	Close-out	10/29/13
Torrington	Housing Rehab	SC1014301	HR	$300,000	Close-out	03/27/14
Watertown	Housing Rehab	SC1015301	HR	$300,000	Close-out	05/01/14
Wethersfield	Harvey Fuller Senior Housing Rehab	SC1015901	PH/MOD	$600,000	Close-out	9/17/13
Willington	Housing Rehab	SC0916001	HR	$300,000	Close-out	9/10/13
Windsor Locks	Pearl & Fern Streets Infrastructure Improvements	SC1116501	PF	$500,000	Close-out	06/03/14
Wolcott	Housing Rehab	SC0916601	HR	$300,000	Close-out	03/11/14

Source: DOH

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2013-2014

Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2014 allocation year	1/29/14	Hartford, CT	Town Officials and Consultants	65

Source: DOH

N. Closed Out PY 2013-14

The following table (Table 45) provides a summary of closed out projects for program year 13-14.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2013 to 6/30/2014										
Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab	# People	JOBS
Ashford	SC100030	Food Bank	$50,000	2010	PS				54	
Ashford	SC090030	Town-wide Housing Rehabilitation	$300,000	2009	HR	11	HO	Rehab		
Berlin	SC090070	Percival Heights Senior Housing Rehab	$700,000	2009	PH/MOD	30	R	Rehab		
Canterbury	SC100220	Town-wide Housing Rehabilitation	$300,000	2010	HR	12	HO	Rehab		
Clinton	SC090270	Town-wide Housing Rehabilitation	$300,000	2009	HR	9	HO	Rehab		
East Haven	SC090440	Town-wide Housing Rehabilitation	$300,000	2009	HR	13	HO	Rehab		
East Windso	SC100470	Street Improvements	$500,000	2010	PF				351	
Ellington	SC090480	Regional Housing Rehabilitation	$300,000	2009	HR	10	HO	Rehab		
Ellington	SC100480	Regional Housing Rehabilitation	$500,000	2010	HR	11	HO	Rehab		
Franklin	SC100530	Town-wide Housing Rehabilitation	$300,000	2010	HR	10	HO	Rehab		
Guilford	SC100600	Boston Terrace Community Room Rehab	$375,000	2010	PH/MOD	40	R	Rehab		
Hampton	SC090630	Regional Housing Rehabilitation	$300,000	2009	HR	10	HO	Rehab		
Hampton	SC100630	Regional Housing Rehabilitation	$400,000	2010	HR	15	HO	Rehab		
Jewett City	SC100580	Ashland Manor Senior Housing Rehab	$575,000	2010	PH/MOD	10	R	Rehab		
Killingly	SC080690	Facade Improvement Program	$500,000	2008	PF				601	
Killingly	SC090690	Town-wide Housing Rehabilitation	$500,000	2009	HR	30	HO	Rehab		
Killingly	SC100690	Maple Court Senior Housing	$610,000	2010	PH/MOD	13	R	Rehab		
Lebanon	SC100710	Town-wide Housing Rehabilitation	$300,000	2010	HR	11	HO	Rehab		
Naugatuck	SC100880	Nettleton Avenue Drainage Improvements	$500,000	2010	PF				1,919	
New Fairfield	SC100910	Town-wide Housing Rehabilitation	$300,000	2010	HR	8	HO	Rehab		
New Hartford	SC090920	Town-wide Housing Rehabilitation	$300,000	2009	HR	9	HO	Rehab		
Newington	SC090940	Street Improvements	$500,000	2009	PF				63	
Plainville	SC111100	Town-wide Housing Rehabilitation	$300,000	2011	HR	1	HO	Rehab		
Putnam	SC081160	Town-wide Housing Rehabilitation	$300,000	2008	HR	18	HO	Rehab		
Putnam	SC091160	Facade Improvement Program	$500,000	2009	PF				622	
Sprague	SC091330	Library-Grist Mill ADA Improvements	$675,000	2009	PF				2,971	
Sprague	SC101330	Upper High Street Reconstruction	$500,000	2010	PF				1,498	
Sprague	SC111330	Shetucket Village Senior Housing Rehab	$700,000	2011	PH/MOD	6	R	Rehab		
Stafford	SC101340	High Street Reconstruction	$500,000	2010	PF				1,550	
Stafford	SC091340	Prospect Street Improvements	$500,000	2009	PF				1,550	
Tolland	SC101420	Town Hall Elevator Installation	$480,000	2010	PF				2,353	
Torrington	SCR09143	Sidewalk Reconstruction - Stimulus	$367,500	2009-R	PF				12,089	
Wethersfield	SC101590	Harvey Fuller Senior Housing Rehab	$600,000	2010	PH/MOD	32	R	Rehab		
Willington	SC091600	Town-wide Housing Rehabilitation	$300,000	2009	HR	8	HO	Rehab		
Windham	SC101630	Town-wide Housing Rehabilitation	$300,000	2010	HR	10	HO	Rehab		
Windham	SC111630	Town-wide Housing Rehabilitation	$300,000	2011	HR	9	HO	Rehab		
Windsor	SC081640	Wilson Branch Library ADA Impr.	$465,000	2008	PF				1,151	
Windsor	SC091640	Town-wide Housing Rehabilitation	$500,000	2009	HR	34	HO	Rehab		
Windsor	SCR09164	Hsng Rehab, Dem, & Sidewalk Improv.	$640,500	2009-R	HR/PF	9	HO	Rehab	5,593	
Windsor	SC101640	Fitch Court Apartment Improvements	$350,000	2010	PH/MOD	36	R	Rehab		
Windsor Lock	SC100650	Pleasant St & Olive St Infra Improv.	$500,000	2010	PF				1,443	
Windsor Lock	SC111650	Pearl & Fern Streets Infra Improv.	$500,000	2011	PF				1,443	
Wolcott	SC081660	Town-wide Housing Rehabilitation	$300,000	2008	HR	16	HO	Rehab		
Woodstock	SC101690	Town-wide Housing Rehabilitation	$300,000	2010	HR	10	HO	Rehab		
						441			35,197	
			$18,588,000							

* "Closed Out" is defined as the date the project was closed out in IDIS.

Source: DOH

Key: Refer to the "Key" Appendix

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2013-14.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2013-2014		
Activity	**Issued To:**	**Date**
Annual PHA Plan	Ansonia Housing Authority	1/30/2014
Annual PHA Plan	Wethersfield Housing Authority	8/6/2013
Annual PHA Plan	Canton Housing Authority	8/6/2013
Annual PHA Plan	Newington Housing Authority	8/6/2013
Annual PHA Plan	South Windsor Housing Authority	8/6/2013
ALCP Application	Beckley House ALCP	11/16/2013
Homeless Assistance COC	Danbury Housing Authority	1/8/2014
Homeless Assistance COC	Torrington CHC - Hope House 1 & 2	1/8/2014
Homeless Assistance COC	DMHAS - Meriden/Wallingford	1/8/2014
Homeless Assistance COC	Torrington HA - PILOTS I & II	1/8/2014
Homeless Assistance COC	DMHAS - Middletown PRA/SRA/TRA	1/8/2014
Homeless Assistance COC	DMHAS - US TRA/Brick Row	1/8/2014
Homeless Assistance COC	DMHAS New London Combo	1/8/2014
Homeless Assistance COC	DMHAS - Western Options, 1, 2 & 3	1/8/2014
Homeless Assistance COC	DMHAS - Danbury Combo	1/8/2014
Homeless Assistance COC	Thames Valley CCA - Homeless Collaborat. Network	1/8/2014
Homeless Assistance COC	New Haven HA - S + C BOS	1/8/2014
Homeless Assistance COC	CCEH - HMIS	1/8/2014
Homeless Assistance COC	Bethsaida Community Inc. - Katie Blair/Flora O'Neil	1/8/2014
Homeless Assistance COC	DMHAS - Scattered Sites	1/14/2014
Homeless Assistance COC	BOS - COC - Scattered Sites	1/14/2014
Homeless Assistance COC	DMHAS - PILOTS - Manchester Supportive + S&C	1/14/2014
Homeless Assistance COC	DMHAS - HEARRT - Homeward Bound - Vets VSS	1/14/2014
Homeless Assistance COC	DMHAS - Bhcare Inc. - SHP 12	1/14/2014
Homeless Assistance COC	Windham Regional Community - Haven	1/14/2014
Homeless Assistance COC	CRT - Bloomfield Scattered Sites	1/14/2014
Homeless Assistance COC	Chrysalis Center - Family Matters Scattered Site	1/14/2014
Homeless Assistance COC	DMHAS - BOS Planning Grant	1/24/2014
Homeless Assistance COC	DMHAS - Vouchers - Scattered Danbury	1/24/2014
Homeless Assistance COC	DMHAS - Helping Hands - Torrington	1/24/2014
Homeless Assistance COC	Putnam Housing Authority - Wrap Around Housing	1/24/2014
Homeless Assistance COC	Holy Family, Inc. - Homes Plus	1/24/2014
Homeless Assistance COC	Alliance for Living - HUD 1,2,3	1/24/2014
Annual PHA Plan	East Haven HA - Imagineers LLC	3/17/2014
Annual PHA Plan	Glastonbury HA - Imagineers LLC	3/17/2014
PHA Tax Exempt Bond Sale	Bristol HA - EHDOC Anthony Delorenzo Towers LP	6/3/2014

Source : DOH

V. ESG Program Requirements

A. State Summary Report SFY 2013

1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/13– 6/30/2014.

As a lead agency for the provision of emergency shelter services, rapid rehousing programs and multi-family or single room residency **program to individuals and/or families who are literally homeless, DOH administers Connecticut's Emergency** Solutions Grant (ESG) Program.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs, transitional living programs, rapid rehousing programs, etc. DOH is able to meet its goal of providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (*Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2014).*

DOH allocated Federal and State funds for a combined total of $15,654,174.00 for the provision of housing assistance and supportive services to homeless people.

During the past twelve (12) months, twenty four (24) of the Department's forty (40) non-profit organizations received funding from the FFY'13 ESG Program for shelter operations, administration and rapid rehousing. Through competitive procurement rapid rehousing funds were allocated to AIDS, CT (ACT) as a fiduciary agency. Non-profit organizations accessed these funds to rapidly rehouse clients out of literal homelessness. The ESG total allocated for DOH equals $1,560,085.00. DOH did not utilize any ESG funding for agency administration.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

Homeless Prevention & Supportive Housing
GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:
Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Introduced a new statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Organized community coordinated access networks to begin implemented coordinated intake and assessment for the homeless crisis system statewide.

Objective 2:
- Enhance suitable living environments that assist families and individuals to remain in permanent housing.

- Continue existing resources for state's Eviction Foreclosure and Prevention Program.

Objective 3:
- Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness, Opening Doors Campaign and Coordinated access networks that are presently in place.

Objective 4:
Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.
- Total number of beds (statewide) = 1,358; Number of shelters that service individuals only = 12; number of shelters that service families only = 11; Number of shelters that serve both families and individuals = 21
- Total number of clients served with ESG funding in shelter programs = 8,225
- Total number of clients served with ESG rapid rehousing funds = 1220

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2014

This is the State of Connecticut's 2014 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/28/2014

2. Grantee Name: Department of Housing

3. Grant Type: Formula

4. Grant Selection: Continuing

5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which includes the following Counties: Litchfield, Middlesex, and New London.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of $5,025,811 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In PYR 2014, the Department of Housing received $282.574 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2013 to June 30, 2014. It is a "balance of state" program that served 40 unduplicated persons with HIV/AIDS and their families through agreements between DSS and 3 non-profit organizations located in the Middlesex and Litchfield and New London Counties Connecticut.

6. Formula Program Accomplishments

DOH and ACT carried out the following activities during the PFY 2014:

- DOH awarded contracts starting July 1, 2013 through a competitive procurement process for PY 2013 through 2015. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Litchfield and Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 40 households. Forty (40) unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 15 households obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

7a. Center for Independent Living Northwest CT, Inc.
- Eileen Healy, Executive Director
- eileen.healy@independencenorthwest.org
- 1183 New Haven Road, Naugatuck, CT 06770
- (203) 729-3299 and Fax # (203) 729-2839
- www.independencenorthwest.org
- Total HOPWA subcontract amount: $106,089.00

Columbus House, Inc.
Allison Cunningham, Executive Director
586 Ella Grasso Blvd.
New Haven, CT 06516
Acunningham@columbushouse.org
203-401-4400
TOTAL HOPWA $136,731.00

New Opportunities Waterbury, Inc.
Etta Royster, Director Community Services
232 North Elm Street
Waterbury, CT 06702
eroyster@newoppinc.org
203-575-4217
TOTAL HOPWA $34,909.00

- Is the contractor: ALL OF THE ABOVE
  - a non-profit organization? – Yes
  - a faith-based organization? - No

John Merz, Executive Director
ACT

- 110 Bartholomew Avenue, Suite 4000
- (860) 761-6699
- john@aidsct.org
- www.aidsct.org

- DSS allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

7d. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs
GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:
Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 36 individuals and/or families in Middlesex and Litchfield counties. The goal was exceeded and 40 individuals and families benefited from TBRA dollars.
- Through existing resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

Objective 2:
Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into "balance of state" – Litchfield, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act is up for reauthorization in 2013. Funding for AIDS residential line in the Homeless/Housing Account (DSS budget) remained whole in SFY 2012 and SFY 2013.
- Utilizing state monies, an "AIDS/HIV Residential Housing Fund" to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:
Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DSS is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

  ***Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as*

appropriate.

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut that was implemented during FY 2007-08. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted the Center for Urban Community Services (CUCS) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DSS staff coordinates rent subsidy contract monitoring with the CUCS services site visits. The standards are entitled: "SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING". Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

B. Consolidated Annual Performance and Evaluation Report

See Appendix J.

VII. Citizen Participation

A. Summary

The State of Connecticut 2013 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DOH solicited public input on the draft 2013 CAPER during a 15 day Public Comment Period from August 28, 2014 through September 13, 2014. Notification for the public commentary period was published in two newspapers on August 28, 2014 including one in Spanish. The legal notice as well as a copy of the draft 2013 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's Regional Planning Agencies. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

As indicated above, the State of Connecticut sought written public comment during the period August 28th, 2014 through September 13th, 2014. No written comments were received during this period.

VIII. Appendix

A. Legal Notice
B. Newspaper Publication - To be added after public comment
C. HOME Program Annual Performance Report, HUD Form 40107
D. HOME Program: Section 3 Summary Report, HUD Form 60002
E. HOME Program Match Report, HUD Form 40107-A
F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
I. ESG Program: Consolidated Annual Performance and Evaluation Report
J. HOPWA Program: Consolidated Annual Performance and Evaluation Report
K. KEY

Appendix A

Notice of Public Comment Period
State of Connecticut
Consolidated Annual Performance and Evaluation Report
for the 2013-2014 Program Year

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Consolidated Annual Performance and Evaluation Report (CAPER) for the 2013-2014 Program Year. This report contains detailed information on the four federal formula grant programs governed by the State's 2010-2015 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding for the 2013-2014 Program Year for the four programs represents $20,276,420. All of these programs were administered by the newly created Department of Housing.

The CAPER is available for review and public comment from August 28, 2014 through September 12, 2014. A copy of the CAPER and related documents are available on the Department of Housing's web site, http://www.ct.gov/doh/site/default.asp or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the CAPER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7106 or CT.Housing.Plans@ct.gov thru September 12, 2014. All comments received will be included in the final version of the CAPER.

Department of Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, at (860) 270-8000.

Publication Date: August 28, 2014

Appendix B
Newspaper Publication

Hartford Courant
285 Broad Street
Hartford, CT 06115
Distribution: All Counties in Connecticut

La Voz Hispana Connecticut
51 Elm Street, Suite 307
New Haven, CT 06510
Distribution: Hartford, Bridgeport, New Haven, Waterbury, Stamford
Norwalk, Meriden, New Britain, Danbury

APPENDIX C

Annual Performance Report
HOME Program

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: **HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410**	This report is for period (mm/dd/yyyy) Starting	Ending	Date Submitted (mm/dd/yyyy)
	07/02/2013	06/30/2014	9/15/2014

Part I Participant Identification

1. Participant Number	2. Participant Name
M-92-SG-09-0100	State of Connecticut, Department of Housing

3. Name of Person completing this report	4. Phone Number (Include Area Code)
Michael C. Santoro	860-270-8171

5. Address	6. City	7. State	8. Zip Code
505 Hudson Street	Hartford	CT	06106-7106

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
$ 0	$1,045,588.56	$1,045,587.56	0	$1.00

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE) b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
A. Contracts 1. Number	1					1
2. Dollar Amount	9,123,326					9,123,326
B. Sub-Contracts 1. Number	15		1	6	1	7
2. Dollar Amount	2,517,590		110,000	1,926,620	15,700	465,270

	a. Total	b. Women Business Enterprises (WBE)	c. Male
C. Contracts 1. Number	1		
2. Dollar Amount	9,123,326		
D. Sub-Contracts 1. Number	15	4	11
2. Dollar Amounts	2,517,590	2,105,161	412,429

form **HUD-40107** (11/92)

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	N/A					
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	N/A	
2. Businesses Displaced		
3. Nonprofit Organizations Displaced		
4. Households Temporarily Relocated, not Displaced		

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

Appendix D

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 8/31/2007)

Hud Field Office:

See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) State of Connecticut Department of Housing 505 Hudson Street Hartford, CT 06106-7106	2. Federal Identification: (contract/award no.) HOME Program	3. Dollar Amount of Award:
	4. Contact Person Michael Santoro	5. Phone: (Include area code) 860-270-8171
	6. Reporting Period: July 1, 2013 to June 30, 2014	7. Date Report Submitted:
8. Program Code * 6 (Use a separate sheet for each program code)	9. Program Name: Home Program	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0	0	0	0	0
Technicians	0	0	0	0	0
Office/Clerical	0	0	0	0	0
Construction by Trade (List) Trade	0	0	0	0	0
Trade	0	0	0	100 (electric)	12
Trade	0	0	0	24 (plumbing)	3
Trade	1	1	100	100 (steel)	1
Trade	1	0	0	0 (masonry)	0
Other (List)	4	0	0	0 (carpentry)	0
	5	3	60	60 (labor)	3
	1	0	0	0 (plumber)	0
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

form HUD 60002 (6/2001)
Ref 24 CFR 135

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	$ 31,023,692,49
B. Total dollar amount of contracts awarded to Section 3 businesses	$ 1,800,469
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	17 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	$ 563,882
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_☒__ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

_☒__ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

_☒__ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_☐__ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_☐__ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any P***ublic and Indian Housing programs*** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of $200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to ***contracts and subcontracts in excess of $100,000*** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to ***employment and training***, The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to ***contracting***, and Part III summarizes recipients' ***efforts*** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. ***Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.***

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.

4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.

6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.
8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities
Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.
Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.
Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.
Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.
Part II: Contract Opportunities
Block 1: Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Block 2: Non-Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. ***Low-income persons*** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area , as determined by the Secretary, with adjustments for smaller and larger families, except that The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. ***Very low-income persons*** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Appendix E

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Match Contributions for **Federal Fiscal Year (yyyy)** 2013

Part I **Participant Identification**

1. Participant No. (assigned by HUD)	2. Name of the Participating Jurisdiction	3. Name of Contact (person completing this report)
M-92-SG-09-0100	State of Connecticut Department of Housing	Michael C. Santoro

5. Street Address of the Participating Jurisdiction	4. Contact's Phone Number (include area code)
505 Hudson Street	860-270-8171

6. City	7. State	8. Zip Code
Hartford	CT	06106-7106

Part II **Fiscal Year Summary**

1. Excess match from prior Federal fiscal year	$ 17636258.11	
2. Match contributed during current Federal fiscal year (see Part III.9.)	$ 1676000	
3. Total match available for current Federal fiscal year (line 1 + line 2)		$ 19392303.56
4. Match liability for current Federal fiscal year		$ 1756045.45
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		$ 17636258.11

Part III **Match Contribution for the Federal Fiscal Year**

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
PR 33 attached								

form **HUD-40107-A** (12/94)

Name of the Participating Jurisdiction	Federal Fiscal Year (yyyy)

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.
3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II. line 1) and the total match contribution for the current Federal fiscal year (Part II. line 2). This sum is the total match available for the Federal fiscal year.
4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.
5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II. line 3) minus the match liability for the current Federal fiscal year (Part II. line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

   Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

   Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
Home Matching Liability Report

CONNECTICUT

Fiscal Year	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
2000	25.0%	$4,691,397.14	$3,264,527.80	$816,131.95
2001	25.0%	$9,624,703.09	$8,190,947.60	$2,047,736.90
2002	25.0%	$24,771,275.69	$22,688,077.84	$5,672,019.46
2003	12.5%	$10,619,127.62	$9,688,684.38	$1,211,085.54
2004	12.5%	$12,924,023.84	$11,870,651.02	$1,483,831.37
2005	25.0%	$11,355,818.82	$9,732,451.00	$2,433,112.75
2006	25.0%	$6,804,889.39	$5,744,907.25	$1,436,226.81
2007	25.0%	$15,232,221.41	$13,883,604.34	$3,470,901.08
2008	25.0%	$9,517,342.65	$8,465,697.99	$2,116,424.49
2009	25.0%	$12,124,023.23	$11,152,650.02	$2,788,162.50
2010	12.5%	$19,797,828.40	$18,451,431.99	$2,306,428.99
2011	12.5%	$15,821,598.50	$15,075,076.74	$1,884,384.59
2012	25.0%	$13,720,902.71	$13,083,571.47	$3,270,892.86
2013	25.0%	$8,338,854.05	$7,024,181.82	$1,756,045.45

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
CDBG Summary of Accomplishments
Program Year: 2013

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CONNECTICUT

Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Acquisition	Relocation (08)	0	$0.00	1	$0.00	1	$0.00
	Total Acquisition	**0**	**$0.00**	**1**	**$0.00**	**1**	**$0.00**
Economic Development	Rehab; Publicly or Privately-Owned Commercial/Industrial (14E)	0	$0.00	2	$0.00	2	$0.00
	Total Economic Development	**0**	**$0.00**	**2**	**$0.00**	**2**	**$0.00**
Housing	Rehab; Single-Unit Residential (14A)	65	$5,360,252.01	21	$0.00	86	$5,360,252.01
	Rehab; Multi-Unit Residential (14B)	1	$229,880.00	1	$0.00	2	$229,880.00
	Public Housing Modernization (14C)	19	$4,391,675.17	9	$76,120.00	28	$4,467,795.17
	Total Housing	**85**	**$9,981,807.18**	**31**	**$76,120.00**	**116**	**$10,057,927.18**
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	4	$381,105.00	5	$0.00	9	$381,105.00
	Parks, Recreational Facilities (03F)	0	$0.00	1	$0.00	1	$0.00
	Flood Drainage Improvements (03I)	1	$5,100.00	2	$0.00	3	$5,100.00
	Street Improvements (03K)	1	$2,941.00	6	$0.00	7	$2,941.00
	Removal of Architectural Barriers (10)	0	$0.00	1	$0.00	1	$0.00
	Total Public Facilities and Improvements	**6**	**$389,146.00**	**15**	**$0.00**	**21**	**$389,146.00**
Public Services	Public Services (General) (05)	1	$36,500.00	2	$0.00	3	$36,500.00
	Total Public Services	**1**	**$36,500.00**	**2**	**$0.00**	**3**	**$36,500.00**
General Administration and Planning	General Program Administration (21A)	110	$1,356,480.87	66	$15,550.00	176	$1,372,030.87
	Total General Administration and Planning	**110**	**$1,356,480.87**	**66**	**$15,550.00**	**176**	**$1,372,030.87**
Other	State CDBG Technical Assistance to Grantees (19H)	0	$0.00	1	$0.00	1	$0.00
	Total Other	**0**	**$0.00**	**1**	**$0.00**	**1**	**$0.00**
Grand Total		**202**	**$11,763,934.05**	**118**	**$91,670.00**	**320**	**$11,855,604.05**

CONNECTICUT

CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Acquisition	Relocation (08)	Persons	0	6	6
	Total Acquisition		**0**	**6**	**6**
Economic Development	Rehab; Publicly or Privately-Owned Commercial/Industrial (14E)	Persons	0	8,798	8,798
	Total Economic Development		**0**	**8,798**	**8,798**
Housing	Rehab; Single-Unit Residential (14A)	Households	10	0	10
		Housing Units	187	251	438
	Rehab; Multi-Unit Residential (14B)	Housing Units	0	116	116
	Public Housing Modernization (14C)	Households	10	0	10
		Housing Units	196	327	523
	Total Housing		**403**	**694**	**1,097**
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Persons	0	21,263	21,263
	Parks, Recreational Facilities (03F)	Persons	0	21,270	21,270
	Flood Drainage Improvements (03I)	Persons	411	8,729	9,140
	Street Improvements (03K)	Persons	0	42,063	42,063
		Households	0	0	0
	Removal of Architectural Barriers (10)	Persons	0	2,971	2,971
	Total Public Facilities and Improvements		**411**	**96,296**	**96,707**
Public Services	Public Services (General) (05)	Persons	0	90	90
	Total Public Services		**0**	**90**	**90**
Grand Total			**814**	**105,884**	**106,698**

CONNECTICUT

CDBG Beneficiaries by Racial / Ethnic Category

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Households	Total Hispanic Households
Housing	White	0	0	1,015	36
	Black/African American	0	0	72	1
	Asian	0	0	2	0
	American Indian/Alaskan Native	0	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	1	0
	Asian & White	0	0	5	0
	Black/African American & White	0	0	1	0
	Amer. Indian/Alaskan Native & Black/African Amer.	0	0	0	0
	Other multi-racial	0	0	1	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	0	0
	Total Housing	**0**	**0**	**1,097**	**37**
Non Housing	White	5,855	47	6	0
	Black/African American	85	0	0	0
	Asian	51	0	0	0
	American Indian/Alaskan Native	68	0	0	0
	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	0	0
	Asian & White	0	0	0	0
	Black/African American & White	0	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	0	0	0	0
	Other multi-racial	39	0	0	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	0	0
	Total Non Housing	**6,098**	**47**	**6**	**0**
Grand Total	White	5,855	47	1,021	36
	Black/African American	85	0	72	1
	Asian	51	0	2	0
	American Indian/Alaskan Native	68	0	0	0

CONNECTICUT

Housing-Non Housing	Race	Total Persons	Total Hispanic Persons	Total Households	Total Hispanic Households
Grand Total	Native Hawaiian/Other Pacific Islander	0	0	0	0
	American Indian/Alaskan Native & White	0	0	1	0
	Asian & White	0	0	5	0
	Black/African American & White	0	0	1	0
	Amer. Indian/Alaskan Native & Black/African Amer.	0	0	0	0
	Other multi-racial	39	0	1	0
	Asian/Pacific Islander (valid until 03-31-04)	0	0	0	0
	Hispanic (valid until 03-31-04)	0	0	0	0
	Total Grand Total	**6,098**	**47**	**1,103**	**37**

CONNECTICUT

CDBG Beneficiaries by Income Category

	Income Levels	Owner Occupied	Renter Occupied	Persons
Housing	Extremely Low (<=30%)	5	20	0
	Low (>30% and <=50%)	16	39	0
	Mod (>50% and <=80%)	22	47	0
	Total Low-Mod	43	106	0
	Non Low-Mod (>80%)	0	1	0
	Total Beneficiaries	43	107	0
Non Housing	Extremely Low (<=30%)	0	0	0
	Low (>30% and <=50%)	0	0	2,371
	Mod (>50% and <=80%)	0	0	22
	Total Low-Mod	0	0	2,393
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	0	0	2,393

Appendix G

Public reporting burden for this collection of information is estimated to average .50 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the D

Privacy Act Notice - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information in this form by virtue of Title 12, United States Code, Section 1701 et seq. and regulations promulgated thereunder at Title 12,

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
Department of Economic and Community Development

Check if PHA IHA

2. Location (City, State, Zip Code)
505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
Donald LaPointe

3b. Phone Number
860-270-8184

4. Reporting Period
Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separ 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Contractor/Subcontractor Name and Address 7j. 7i	Name	Street	City	State	Zip Code
Ansonia SC1100201	$20,200.00	1	1	No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	$5,500.00	1	1	No	06-4367119	No		No	JP Dunn Heating & Cooling LLC	701 Northfield Road	Northfield	CT	06778
	$950.00	1	1	No	06-4367119	No		No	JP Dunn Heating & Cooling LLC	701 Northfield Road	Northfield	CT	06778
Ansonia SC1200201	$42,073.00	1	1	Yes	0555807	Yes		No	AA MSA	P.O. Box 185843	Hamden	CT	06518
	$18,740.00	1	1	No		No	74-3059444	No	Ron Proto	P.O. Box 1200058	East Haven	CT	06512
	$5,150.00	1	4	No		No	20-5900024	No	Sergio Delgado	1889 State Street	Hamden	CT	06517
	$46,042.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$13,450.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Ashford SC1100301	$26,750.00	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$2,085.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,500.00	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	$18,818.00	1	1	No	03-0431185	No		No	Civil Solutions	115 Gottier Road	Tolland	CT	06084
	$17,305.00	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$1,375.00	1	1	No		No	65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	$600.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,863.13	1	1	No		No	06-0872589	No	Linden Tree Service	38 Providence Pike	East Hartford	CT	06242
	$540.00	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$30,625.00	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$900.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,000.00	1	1	No		No	046-46-9757	No	Robert A. Davis	PO Box 936	Eastford	CT	06242
Ashford SC1200301	$8,011.59	3	1	No	06-0606469	No		No	Bozzuto's	275 Schoolhouse Road	Cheshire	CT	06410
	$6,308.38	3	1	No	06-0606469	No		No	Bozzuto's	275 Schoolhouse Road	Cheshire	CT	06410
	$19,778.54	3	1	No	06-0606469	No		No	Bozzuto's	275 Schoolhouse Road	Cheshire	CT	06410
Ashford SC1300301	$92,000.00	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	$18,818.00	1	1	No	03-0431185	No		No	Civil Solutions	115 Gottier Road	Tolland	CT	06084
	$20,105.00	1	1	No	10-0003510	No		No	Legace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$1,375.00	1	1	No		No	65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	$600.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,861.13	1	1	No		No	06-0872589	No	Linden Tree Service	38 Providence Pike	East Hartford	CT	06242
	$19,918.00	1	1	No	03-0431185	No		No	Civil Solutions	115 Gottier Road	Tolland	CT	06084
	$9,471.00	1	1	No	048-94-1114	No		No	DCD Construction	811 Pheonixville Road	Chaplin	CT	06235

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	$10,195.42	1	1	No	06-1429316	No		No	Homestead Fuel, Inc.	100 West Road	Ellington	CT	06029
	$490.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$11,100.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$6,925.00	1	1	No	06-1524912	No		No	Stafford Mechanical Services, Inc.	4 Industrial Park Drive	Stafford Springs	CT	06076
	$20,975.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$2,775.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,450.00	1	1	No		No	045-78-5176	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$1,740.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$390.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
Beacon Falls SC1200601	$35,620.00	1	1	No	0574072	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$975.00	1	1	No		No	041-64-1212	No	Bob Johnson	8 Hilda Street	East Haven	CT	06512
	$1,785.00	1	1	No		No	049-50-9101	No	Tom Doyle	124 Whitfield Street	Guilford	CT	06437
	$1,250.00	1	1	No		No	20-5601241	No	Air Connections	1 Town Line Road	Plainville	CT	06708
	$45,416.00	1	1	No	0574072	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$43,868.00	1	1	No	0574072	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
Berlin SC1200701	$307,440.00	1	1	No	06-1571986	No		No	BRD Builders, LLC	2099 Main Street	Hartford	CT	06120
Bethel SC1100901	$3,800.00	3	1	No	06-1180153	No		No	Robert A. Green Associates	6 Old Waterbury Road	Terryville	CT	06801
	$39,800.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$19,900.00	1	1	No	06-1520650	No		No	Abe's Excavating	305 Watertown Road	Morris	CT	06763
	$4,640.00	1	1	No	20-0446471	No		No	Plumtrees Plumbling & Heating	33 Valerie Lane	Wolcott	CT	06811
	$13,920.00	1	1	No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Wolcott	CT	06470
	$3,950.00	1	1	No	06-1292491	No		No	Roger Walsh LLC	27 Ridgedale Road	Wolcott	CT	06801
	$42,605.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$1,200.00	1	1	No		No	043-80-2690	No	Richard Fortier	11 Bradley Lane	Bethel	CT	06482
	$4,000.00	1	1	No		No	46-1533371	No	Cutting Edge Painting	8 Radio Tower Road	Wolcott	CT	06712
	$4,640.00	1	1	No	20-0446471	No		No	Plumtrees Plumbling & Heating	33 Valerie Lane	Wolcott	CT	06811
	$3,950.00	1	1	No	06-1292491	No		No	Roger Walsh LLC	27 Ridgedale Road	Bethel	CT	06801
Bethlehem SC1201001	$62,840.00	1	1	No		Yes		No	Cystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$900.00	1	1	No		No	04-8561496	No	Mario Rubano	298 Todd Road	Norwalk	CT	06856
	$1,155.00	1	1	No		No	20-5900824	No	SDC Electrical Service	1889 State Street	New Haven	CT	06512
	$28,000.00	1	1	No		No		No	Bethlehem Building & Remodeling	276 Hard Hill Road South	Bethlehem	CT	06751
	$46,705.00	1	1	No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	$62,840.00	1	1	No	0574072	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$900.00	1	1	No		No	048-56-1496	No	Mario Rubano	298 Todd Road	Bethlehem	CT	06856
	$1,155.00	1	1	No		No	20-5900824	No	SDC Electrical Service	1889 State Street	New Haven	CT	06512
	$28,000.00	1	1	No		No		No	Bethlehem Building & Remodeling	276 Hard Hill Road South	Bethlehem	CT	06751
	$19,500.00	1	1	No		No	00388506	No	JP Dunn Heating & Cooling LLC	701 Northfield Road	Northfield	CT	06778
	$40,695.00	1	1	Yes	0555807	Yes		No	AA MSA	P.O. Box 185843	Hamden	CT	06518
	$1,650.00	1	1	No		No	74-3059444	No	Ron Proto	P.O. Box 1200058	East Haven	CT	06512

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	$490.00	1	4	No	0624861	No	20-5900024	No Sergio Delgado	1889 State Street	Hamden	CT	06517
	$28,899.00	1	1	No		No		No House Call Paint & Carpentry LLC	P.O. Box 225	Washington Depot	CT	06798
	$1,500.00	1	1	No		No	04-5920398	No Enes Jahic	297 Front Avenue	New Milford	CT	06776
Bloomfield Program Income	$99,739.75	1	1	No	80-0401106	No		No Plourde Enterprises	Box 8207	Berlin	CT	06037
	$142,130.54	1	1	No	0624421	No		No Victory Energy Solutions	1 Hartford Square, Suite 16-20	New Britain	CT	06052
	$54,610.00	1	1	No	20-0400762	Yes		No Crest Mechanical Services, Inc.	41 Walnut Street	Hartford	CT	06120
Branford Program Income	$5,925.00	1	1	No	044-44-1025	No		No Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$14,069.40	1	1	No	06-1400261	No		No AA MSA	P.O. Box 185843	Hamden	CT	06518
Brookfield SC1201001	$35,000.00	1	1	No	06-1023690	No		No Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	$5,300.00	1	1	Yes		No		No D. Rose Mechanical	121 Railtree Hill Road	Woodbury	CT	06798
	$12,597.00	1	1	No	500560	No		No Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	$2,500.00	1	1	No		No	06-0989022	No Jim Renz	10 Topstone Drive	Bethel	CT	06801
	$2,400.00	1	1	No		No	188625	No Bohicah Electric	303 Reynolds Bridge Road	Thomaston	CT	06787
	$8,957.00	1	1	No		No		No Sav-Mor Heating	231 Captain Lewis Drive	Southington	CT	06489
	$69,435.00	1	1	No	03-0494813	No		No Eastwood Fine Finishes	30 Echo lake Road	Watertown	CT	06795
	$65,993.00	1	1	No	631960	No		No Apache Industries	1405 Guernseytown Road	Watertown	CT	06795
Burlington SC1202001	$56,700.00	1	4	No	26-3441330	No		No Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$11,831.00	1	1	No	06-1128842	No		No Grela Well Drilling	143 Main Street	Terryville	CT	06786
	$11,600.00	1	1	No	74-3116661	No		No Highlander Services LLC	112 White Deer Rocks Road	Woodbury	CT	06798
	$6,450.00	1	1	No	043-82-3265	No		No Litchfield County Mechanical	8 Fitzpatrick Road	Harwinton	CT	06791
	$11,500.00	1	1	No	043-82-2621	No		No Litchfield County Mechanical	8 Fitzpatrick Road	Harwinton	CT	06791
	$68,078.00	1	4	No	26-3441330	No		No Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$2,400.00	1	1	No		No	043-80-2690	No Richard Fortier	11 Bradley Lane	Sandy Hook	CT	06482
	$1,600.00	1	1	No		No	46-1533371	No Cutting Edge Painting	8 Radio Tower Road	Prospect	CT	06712
	$2,400.00	1	1	No		No	45-439-8288	No Personal Touch Plumbing & Heating, LLC	15 Yates Avenue	Waterbury	CT	06710
Canterbury SC1002201	$92,000.00	3	1	No	048-46-9754	No		No Community Consulting	16 Washburn Street	Willimantic	CT	06226
	$718.93	1	1	No	06-1024209	No		No Arthur Parent Contractor, Inc.	PO Box 81	Dayville	CT	06241
	$1,800.00	1	1	No	10-0000060	No		No LaPorte & Sons	32 Lovers Lane	Plainfield	CT	06374
	$303.00	1	1	No	46-2109398	No		No HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$30,640.00	1	1	No	06-1549227	No		No RER Associates, LLC	75 Lustig Road	Willington	CT	03279
	$2,615.00	1	1	No		No	27-0463757	No Briggs Electric	16 Savage Road	Preston	CT	06365
	$3,987.10	1	1	No		No	049-54-6328	No M. Morin Carpentry	76 Discovery Road	Tolland	CT	06066
	$12,000.00	1	1	No		No	06-1411759	No Fox Heating Service	PO Box 381	Griswold	CT	06351
	$343.00	1	1	No	46-2109398	No		No HLB Environmental, LLC	24 Mohegan Drive	Lisbon	CT	06351
	$16,385.00	1	1	No	27-2113688	No		No Bazinet, LLC	41 Sullivan Road	Griswold	CT	06351
	$313.00	1	1	No	46-2109398	No		No HLB Environmental, LLC	24 Mohegan Drive	Hartford	CT	06120
Colchester Program income	$7,000.00	1	4	No	20-8980226	No		No TAG Constructors LLC	650 Farmington Avenue	Hartford	CT	06105
	$11,450.00	1	4	No	20-8980226	No		No TAG Constructors LLC	650 Farmington Avenue	Hartford	CT	06105
Clinton SC1002701	$5,791.00	1	1	No	02-0629348	No		No RLD Heating & Coolong	53 Route 80	Killingworth	CT	06419

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505 Hudson Street, Hartford, CT 06106

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Donald LaPointe

3b. Phone Number
860-270-8184

4. Reporting Period
Oct. 1 - Sept. 30 (Annual-FY)

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	$9,720.00	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$8,860.00	1	1	No	06-1362079	No		No	Corporate Realty Services Ltd	955 Connecticut Avenue, Unit 4004	Bridgeport	CT	06607
	$18,601.00	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$16,290.02	1	1	No	26-2704776	No		No	America 1 Abatement	405 Foxon Boulevard	New Haven	CT	06513
Clinton SC1102701	$4,000.00	1	1	No		No	06-1259255	No	Central Sealing Co.	69 Thomas Street	East Hartford	CT	06108
	$5,776.60	1	1	No		No	27-2474809	No	Natale Concrete Construction	109 D&E W. Dudley Town Road	Bloomfield	CT	06007
	$1,100.00	1	1	No		No	04-4881763	No	Five Star Striping	12 5th Street	Danbury	CT	06811
Clinton Program Income	$217.00	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$1,290.02	1	1	No	26-2704776	No		No	America 1 Abatement	405 Foxon Boulevard	New Haven	CT	06513
Coventry SC1203201	$1,000.00	1	1	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	$1,650.00	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	$6,390.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	$600.00	1	1	No		No	049-32-2062	No	Summit Insulation	94 Woodycrest Drive	East Hartford	CT	06118
	$24,000.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$22,000.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$22,325.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$5,850.00	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279
	$25,000.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$2,585.00	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	$26,075.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$2,500.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$1,275.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$2,250.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$530.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$530.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$32,075.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$3,100.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$1,650.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$1,875.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$22,325.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$530.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$1,519.00	1	1	No	23-2787917	No		No	Amerigas Propane	400 Hartford Turnpike	Hampton	CT	06247
	$3,560.00	1	1	No	06-1174879	No		No	Ireland Oil Company	1234 Hartford Turnpike	Vernon	CT	06066
	$8,025.00	1	1	No	34-2056844	No		No	Wastewater Services, Inc.	21 Courtney Drive	Ellington	CT	06029
	$5,850.00	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279
	$23,900.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$2,525.00	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	$1,900.00	1	1	No		No	06-1028454	No	Quality Insulation of E. CT	505 Norwich Avenue	Taftville	CT	06380
	$17,700.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076

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Donald LaPointe

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860-270-8184

4. Reporting Period
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	$3,250.00	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	$2,300.00	1	1	No		No	06-1230030	No	Heritage Oil	17 River Road	Willington	CT	06279
	$450.00	1	1	No	06-3459494	No		No	Saviour Septic	4 N 5th Avenue	Taftville	CT	06380
	$13,813.00	1	1	No	03-0431185	No		No	Civil Solutions	174 Merrow Road	Tolland	CT	06084
	$19,800.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$14,763.00	1	1	No	03-0431185	No		No	Civil Solutions	174 Merrow Road	Tolland	CT	06084
	$24,075.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$570.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$22,259.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$545.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$21,100.00	1	1	No	10-0003510	No		No	Legace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$530.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Derby SC 1103701	$6,285.00	1	1	No	84-1716603	No		No	Rocky's Home Improvement	706 Redding Road	West Redding	CT	06896
	$12,890.00	1	1	No	13-4219057	No		No	All Trades Industries	94 Edwin Avenue	Waterbury	CT	06708
	$22,055.54	1	1	No	06-0893519	No		No	Barry Associates	17 Halls Mill Road	Preston	CT	06365
	$18,500.00	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	$13,400.00	1	1	No	03-01574514	No		No	JSK Mechanical LLC	93 Hawthorne Avenue	Ansonia	CT	06401
East Haven SC1004401	$6,150.00	1	1	No	06-0893519	No		No	Barry Associates	17 Halls Mill Road	Preston	CT	06365
	$8,275.00	1	1	No	26-3400340	No		No	Aspen Heating & Cooling	123 Michael Street	East Haven	CT	06513
	$27,000.00	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
East Haven Program Income	$8,295.00	1	1	No	06-1358241	No		No	Quality Mechanical Corporation	72 Queach Road	Branford	CT	06405
	$4,690.00	1	1	No	06-1201640	No		No	Total Plumbing & Heating Inc.	117 Old Foxon Road	East Haven	CT	06513
	$6,125.00	1	1	No	06-0814034	No		No	Westville Crest Plumbing & Heating Inc.	60 Burwell Road	West Haven	CT	06516
	$9,125.00	1	1	No	06-1201640	No		No	Total Plumbing & Heating Inc.	117 Old Foxon Road	East Haven	CT	06513
	$3,950.00	1	1	No	06-0814034	No		No	Westville Crest Plumbing & Heating Inc.	60 Burwell Road	West Haven	CT	06516
East Hampton SC1304201	$77,500.00	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	$166,000.00	1	1	No	25-1913093	No		No	Beaulieu Company, LLC	PO Box 5051	Manchester	CT	06045
Ellington SC1204801	$4,801.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$1,400.00	1	1	No		No	26-3414351	No	Mac Electric, LLC	115 Stonecroft Road	Coventry	CT	06238
	$4,250.00	1	1	No		No	251-17-2911	No	Carl Gaynor Painting	324 Mulbery Road	Mansfield	CT	06040
	$875.00	1	1	No		No	06-1308404	No	Hany Mechanical	68 Lower Butcher Road	Ellington	CT	06111
	$5,450.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$4,800.00	1	1	No		No	06-1411759	No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	$5,478.00	1	1	No		No	06-1561505	No	Bantry Bay LLC	72 Silver Brook Lane	North Granby	CT	06060
	$500.00	1	1	No		No	64-427737	No	Ron's Plumbing	PO Box 248	Stafford Springs	CT	06076
	$1,875.00	1	1	No	06-0835133	No		No	B.A. Muzio Co., Inc.	18 West Stafford Road	Stafford Springs	CT	06076
	$500.00	1	1	No		No	06-1308404	No	Hany Mechanical	68 Lower Butcher Road	Ellington	CT	06721
	$3,250.00	1	1	No		No	26-314351	No	Mac Electric, LLC	115 Stonecroft Lane	Coventry	CT	06238
	$2,000.00	1	1	No		No	45-4349706	No	Jerry Barth	137 Village Hill Road	Willington	CT	06279

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4. Reporting Period
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	$8,000.00	1	1	No		No	06-1308404	No Hany Mechanical	44 Lower Butcher Road	Ellington	CT	06271
	$2,200.00	1	1	No		No	26-3414351	No Mac Electric, LLC	11 Stonecroft Lane	Coventry	CT	06238
	$12,137.00	1	1	No	06-1449384	No		No Chase & Son Building	3111 Jobs Hill Road	Ellington	CT	06029
	$11,000.00	1	1	No		No	06-1411759	No Fox Heating Service Inc.	37 Westford Avenue	Stafford Springs	CT	06076
	$11,665.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$8,850.00	1	1	No		No	06-1411759	No Fox Heating Service Inc.	37 Westford Avenue	Stafford Springs	CT	06076
	$25,540.00	1	1	No	06-1508715	No		No Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$2,470.00	1	1	No		No	06-1411759	No Fox Heating Service Inc.	37 Westford Avenue	Stafford Springs	CT	06076
	$3,500.00	1	1	No		No	06-1577738	No Supreme Plumbing	128 Satari Drive	Coventry	CT	06238
	$1,950.00	1	1	No		No	27-3758290	No Systems Electric LLC	401 Talcottville Road	Vernon	CT	06066
	$51,260.85	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
Ellington SC1304801	$103,300.00	3	1	No	04-2674871	No		No Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	$16,000.00	2	1	No	27-0290765	No		No Enviroplan Inc.	27 Rotwood Drive	West Hartford	CT	06117
	$89,500.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$70,180.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$37,971.00	1	1	No	06-1508715	No		No Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$17,165.00	1	1	No	06-1508715	No		No Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
	$81,590.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
Ellington - Program Income	$15,750.00	1	1	No	06-1508715	No		No Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
Enfield Program Income	$1,200.00	1	1	No		No		No Mike's Plumbing	44 Willstar Circle	Enfield	CT	06082
	$4,553.90	1	1	No		No	103821	No Bartholomew Electric	363 Elm Street	Enfield	CT	06082
	$125.00	1	1	No		No	125366	No Joe Corso	23 Lindsay Lane	Broad Brook	CT	06016
	$868.00	1	1	No		No		No Hudson Seating and Mobility	151 Rockwell Road	Newington	CT	06111
	$400.00	1	1	No		No	002240	No Safehomes	PO Box 1125	Waterbury	CT	06721
	$1,350.00	1	1	No		No	002240	No Safehomes	PO Box 1125	Waterbury	CT	06721
	$400.00	1	1	No		No	002240	No Safehomes	PO Box 1125	Waterbury	CT	06721
	$14,990.00	1	1	No	HIC 0538628	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$12,450.00	1	1	No	HIC 0626358	No		No J Signor Exteriors LLP	125 Foxcroft Road	West Hartford	CT	06119
Groton SC1305901	$84,900.00	1	1	No	06-0971347	No		No Pawtucket Roofing	20 S. Anguilla Road	Pawtucket	CT	06379
Haddam Program Income	$11,940.00	1	1	No	03-0585331	No		No Ultimate Construction LLC	19C Andover Drive	West Hartford	CT	06110
Hampton SC1206301	$37,368.00	1	1	No	06-1331594	No		No Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
	$36,125.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$103,300.00	3	1	No	04-2674871	No		No Community Opportunities Group, Inc.	129 Kingston Street	Boston	CT	02111
	$2,459.00	1	1	No	06-1131594	No		No Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
	$1,440.00	1	1	No	06-1131594	No		No Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
	$12,550.00	1	1	No	06-1131594	No		No Dynamic Engineering	703 Brooklyn Turnpike	Hampton	CT	06247
Hampton SC1306301	$15,000.00	3	1	No	27-0290765	No		No Enviroplan Inc.	27 Trotwood Drive	West Hartford	CT	06117
	$7,095.00	1	1	No	06-1205583	No		No Richard Garrison General Contractor, Inc.	577 Boston Post Road	North Windham	CT	06256
	$31,815.00	1	1	No	06-1508715	No		No Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238

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	$11,555.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238
Jewett City SC1205801	$1,250.00	1	1	No		No	05-0401011	No	Northeastern Tree Service	1000 Pantiac Avenue	Cranston	RI	02920
	$26,000.00	1	1	No		No	05-0489156	No	Kay-Cor Contractors	PO Box 7369	Cumberland	RI	02864
	$110,502.00	1	3	No	20-3420615	No		No	Vine Enterprises, LLC	26V Bushnell Hollow Road	Baltic	CT	06330
	$2,990.00	1	1	No		No	04-5564274	No	R.R. Laitres Drywall	45 Main Street	Plainfield	CT	06374
	$9,500.00	1	3	No		No	46-3879791	No	Troy Quinn Painting	PO Box 802	Colchester	CT	06415
	$4,500.00	1	1	No		No	75-2988693	No	D/E/F Services Group, Ltd.	1171 Voluntown Road	Griswold	CT	06351
	$52,000.00	1	1	No		No	06-1213528	No	Bestech, Inc. of CT	25 Pinney Street	Ellington	CT	06029
Killingly SC1206901	$16,489.00	1	1	No	06-1604512	No		No	Gagnon Construction LLC	PO Box 49	Woodstock	CT	06249
	$5,400.00	1	1	No		No		No	Thurlow Trucking and Excavation, LLC	PO Box 263	Ballouville	CT	06233
	$57,268.00	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	$26,025.00	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	$5,800.00	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	$63,300.00	1	1	No	01-0893153	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	$2,675.00	1	1	No		No		No	Brodeur's Oil Service, Inc.	28 Sterling Road	Moosup	CT	06354
	$17,060.00	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Killingly SC1306901	$24,000.00	3	1	No		No		No	J Associates Architects	84 Market Square	Newington	CT	06111
	$654,440.00	1	1	No	06-1606948	Yes		No	AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451
Lebanon SC1307101	$92,000.00	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	$13,787.00	1	1	No	06-1566592	No		No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
	$345.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$25,700.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$338.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$24,875.00	1	1	No	27-1049662	No		No	American General Building Services	PO Box 155	Old Mystic	CT	06372
	$345.50	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$2,800.00	1	1	No		No	20-2449683	No	Main Electric	141 Rixtown Road	Griswold	CT	06351
	$1,620.00	1	1	No		No	46-3266791	No	Tennemasa Construction	154 Kendall Road	Lisbon	CT	06351
	$1,700.00	1	1	No		No	06-1566592	No	Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
	$1,595.25	1	1	No		No	06-1326601	No	Allied Snow Removal	42 Washington Street	Mystic	CT	06355
	$3,000.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,500.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Street	Chaplin	CT	06235
	$2,700.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$24,500.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$338.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$24,750.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$3,000.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,500.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Street	Chaplin	CT	06235
	$1,500.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$26,800.00	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279

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Donald LaPointe

3b. Phone Number
860-270-8184

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Ledyard SC1107201	$11,685.00	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$20,414.00	1	1	No	20-5992813	No		No	Earth Technologies II, LLC	P.O. Box 338	North Haven	CT	06473
	$12,500.00	1	1	No	044-54-0873	No		No	McCarthy Home Service	75 Preston Road	Griswold	CT	06351
	$11,920.00	1	1	No	06-1613366	No		No	Lussier & Son Septic Services, LLC	25 Elmwood Way	Clinton	CT	06413
	$8,865.00	1	1	No	27-5246598	No		No	Botts Construction Co., LLC	PO Box 1190	Old Saybrook	CT	06475
	$4,175.00	1	1	No	06-1336445	No		No	Lamphere Plumbing & Heating, LLC	344 Colonel Ledyard Highway	Ledyard	CT	06339
	$14,839.00	1	1	No	75-2988693	No		No	D/E/F Services Group, Ltd.	1171 Voluntown Road	Griswold	CT	06351
	$5,277.18	1	1	No	06-1336445	No		No	Lamphere Plumbing & Heating, LLC	344 Colonel Ledyard Highway	Ledyard	CT	06339
	$5,800.00	1	1	No	06-1336445	No		No	Lamphere Plumbing & Heating, LLC	344 Colonel Ledyard Highway	Ledyard	CT	06339
	$2,000.00	1	1	No	06-1889108	No		No	Square V Electric Company	277 Chestnut Hill Road	Colchester	CT	06415
	$3,550.00	1	1	No	06-1889108	No		No	Square V Electric Company	277 Chestnut Hill Road	Colchester	CT	06415
	$1,010.33	1	1	No	45-2834541	No		No	Buttermore Plumbing, LLC	186 Maple Avenue	Uncasville	CT	06382
Ledyard SC1307201	$3,675.00	1	1	No	06-0971347	No		No	Pawtucket Roofing	20 S. Anguilla Road	Pawtucket	CT	06379
	$4,500.00	1	1	No	45-4350599	No		No	Pro Plumbing, LLC	29 Ferry View Drive	Gales Ferry	CT	06335
	$6,400.00	1	1	No	06-0773164	No		No	McCarthy Home Service	50 Route 32 Box 332	Quacker Hill	CT	06375
	$4,200.00	1	1	No	047-78-0485	No		No	C&C Home Improvements	58 East Main Street	Mystic	CT	06355
Litchfield SC1207401	$65,296.00	1	1	No	06-1606947	No		No	AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451
	$154,444.00	1	1	No	06-1606947	No		No	AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451
Mansfield SC1207801	$11,000.00	1	1	Yes	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$9,309.89	1	1	Yes	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$5,100.00	1	1	No	SI-303579	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	$24,199.00	1	1	No	012-84-1366	Yes		No	Right Construction	2 Corey Street	Agawam	MA	01001
	$8,487.50	1	1	No	04-3466788	No		No	Regis & Sons	162 Thompson Road	Webster	MA	01570
	$4,200.00	1	1	No	SI-303579	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	$9,450.00	1	1	No	06-1606512	No		No	Gagnon Construction LLC	P.O. Box 165	Putnam	CT	06260
	$23,625.00	1	1	No	06-1606512	No		No	Gagnon Construction LLC	P.O. box 165	Putnam	CT	06260
	$17,453.00	1	1	No	04-3466788	No		No	Regis & Sons	162 Thompson Road	Webster	MA	01570
	$11,235.00	1	1	No	04-3466788	No		No	Regis & Sons	162 Thompson Road	Webster	MA	01570
	$23,980.32	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$7,600.00	1	1	No	SI-303579	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	$25,000.00	1	1	Yes	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$3,800.00	1	1	No	SI-303579	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
Marlborough SC1207901	$28,171.00	1	1	No	06-1606641	Yes		No	V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
	$21,960.00	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$14,070.00	1	1	No	045-64-1436	No		No	Action Jackson	61 Lang Road	Windsor	CT	06095
	$14,070.00	1	1	No	045-64-1436	No		No	Action Jackson	61 Lang Road	Windsor	CT	06095
	$23,365.00	1	1	No	045-64-1436	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$28,171.00	1	1	No	045-64-1436	Yes		No	V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
Middlefield Program Income	$13,300.00	1	1	No	56-2465699	No		No	Salerno Remodeling	143 Main Street	Terryville	CT	06786

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	$4,800.00	1	1	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$6,000.00	1	1	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Naugatuck SC1208801	$54,600.00	11	1	Yes		No	01-0869253	No	Roth Supply, LLC	2113 Main Street	Hartford	CT	06120
	$1,600.00	1	1	No		No	06-1331015	No	Straightline Masonry	20 Donovan Court	Bristol	CT	06010
	$41,600.00	1	1	No		No	36-4495932	No	Nathan's Plumbing Services	2101 Main Street	Glastonbury	CT	06033
	$59,300.00	1	1	No		No	20-1502886	No	Elite Power Systems	PO Box 457	Riverton	CT	06065
	$33,000.00	1	1	No		No	04-3822631	No	RJC Electrical Contractors	PO Box 821	South Windsor	CT	06071
	$9,145.00	1	1	No		No	20-1573657	No	Leach Services LLC	164 Petticoat Lane	East Haddam	CT	06423
	$3,000.00	1	2	No		No	25-6644028	No	JT Insulation	61 Broad Street	Meriden	CT	06450
	$3,000.00	1	1	No		No	04-5681576	No	James Boyle	198 S. Main Street	East Windsor	CT	06088
	$9,600.00	1	1	No		No	54-4173053	No	AT Interior Finishes	5 Patriot Circle	Ellington	CT	06029
	$36,000.00	1	1	No		No	04-1464827	No	Adamec Construction	93 Commerce Way	South Windsor	CT	06074
	$5,040.00	1	4	No		No	40-9758377	No	F&R Partitions	2 Ruth Drive	Old Saybrook	CT	06475
Naugatuck SC1308801	$4,725.00	1	1	No	06-0665104	No		No	Standard Oil/dba Thurston Fuel Oil	410 Rubber Avenue	Naugatuck	CT	06760
	$4,300.00	1	1	No	04-3664704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$10,500.00	1	1	No	06-1201640	No		No	Total Plumbing & Heating Inc.	117 Old Foxon Road	East Haven	CT	06513
	$9,444.00	1	1	No	06-1606641	No		No	V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
	$10,283.00	1	1	No	046-58-9896	No		No	Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	$850.00	1	1	No		No	56-2587589	No	J.T. Electric	59 Academy Hill Road	Derby	CT	06418
New Fairfield SC1109101	$27,175.00	1	1	No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06440
New Fairfield SC1309101	$38,225.00	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	$12,815.00	1	1	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	$23,240.00	1	1	No		No		No	T. Palmer Septic	PO Box 8196	New Fairfield	CT	07812
Newington Program Income	$6,918.00	1	2	No	06-0962559	No		No	Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	06489
	$29,900.00	1	1	No	27-2790682	No		No	The Stevens Group, LLC	314 Main Street #4182	Wallingford	CT	06492
	$15,542.00	1	1	No	46-1186165	No		No	Retirion Inc. dba Storm Guard	124 White Oak Drive	Berlin	CT	06037
North Canaan Program Income	$20,520.23	1	1	No	06-1388975	No		No	Ghi Sign Services	532 Ashley Falls Road	North Canaan	CT	06018
North Haven SC1110101	$5,150.00	1	1	No		No	06-1531298	No	KD Hall Associates, Inc.	374 Park Road	Waterbury	CT	06708
	$4,950.00	1	1	No		No	06-1131471	No	Ceiling Systems, Inc.	7 Diana Court	Cheshire	CT	06410
	$1,800.00	1	1	No		No	14-1918130	No	Plourde Drywall	163 Diane Road	Plantsville	CT	06479
	$3,550.00	1	1	No		No	34-1998570	No	Concrete Coring Co.	355 Sackett Point Road	North Haven	CT	06473
	$4,000.00	1	4	No		No	46-0887090	No	Ecua Stucco Systems	556 Broad Street	Meriden	CT	06450
Old Saybrook SC1210601	$381,041.00	1	1	No	06-1308299	No		No	Martindale & Salisbury	1234 Hartford Turnpike	Vernon	CT	06066
	$32,000.00	1	1	No		No	06-1046484	No	J.J. Dribble Construction Co.	1565 Boston Post Road	Old Saybrook	CT	06475
	$21,000.00	1	1	No		No	06-1357145	No	Collier Electrical Corporation	50 Harvard Street	New Britain	CT	06050
	$55,000.00	1	1	No		No	01-3320634	No	Compliance Piping & Testing	PO Box 3085	Broad Brook	CT	06016
	$7,000.00	1	1	No		No	75-3211635	No	W.L. Heating & Cooling, LLC	59 King Spring Road	Windsor Locks	CT	06096
	$11,650.00	1	4	No		No	57-1161933	No	Reliable Flooring Contractors	102 Cherry Street	East Hartford	CT	06108
Plainville SC1011001	$50,612.00	1	1	No	90-0642323	No		No	LGD Construction, LLC	38 Atkins Avenue	Bristol	CT	06010

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	$12,300.00	1	4	No	26-3441330	No		No Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$3,200.00	1	1	No	06-1266937	No		No Superior Fuel Inc.	240 Railroad Hill Street	Waterbury	CT	06708
	$10,222.00	1	1	No	06-0893619	No		No Barry Associates	17 Halls Mill Road	Preston	CT	06365
	$7,634.00	1	1	No	06-0893619	No		No Barry Associates	17 Halls Mill Road	Preston	CT	06365
	$7,222.00	1	1	No	06-0893619	No		No Barry Associates	17 Halls Mill Road	Preston	CT	06365
	$4,850.00	1	1	No	06-0893619	No		No Roofs-R-Us	85 Bradley Avenue	Meriden	CT	06451
Plymouth SC1011101	$50,455.00	1	1	No	56-465699	No		No Salerno Remodeling	40 Depot Street	Watertown	CT	06795
Plymouth Program Income	$8,500.00	1	4	No	26-3441330	No		No Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$12,417.00	1	1	No	56-465699	No		No Salerno Remodeling	40 Depot Street	Watertown	CT	06795
Preston SC1211401	$23,420.00	1	1	No	06-1513893	No		No East Coast Construction	412 Maple Avenue	Uncasville	CT	06382
	$2,100.00	1	1	No		No		No American Heating	365 Beach Pond Road	Voluntown	CT	06384
	$1,100.00	1	1	No		No	043-76-8712	No Warren MacDonald	255 Barstow Road	Canterbury	CT	06331
	$13,880.00	1	1	No	06-1513893	No		No East Coast Construction	412 Maple Avenue	Uncasville	CT	06382
	$24,685.00	1	1	No	61513893	No		No AA-MSA	PO Box 185843	Hamden	CT	06518
Prospect Program Income	$3,000.00	1	1	No	06-1008685	No		No Brown Roofing	PO Box 1171	Naugatuck	CT	06770
	$13,200.00	1	1	No	06-1168123	No		No Diamond Inc.	99 Brookside Road	Waterbury	CT	06708
Putnam SC1211601	$49,669.00	1	1	No	06-1604512	No		No Gagnon Construction	PO Box 165	Putnam	CT	06260
	$5,900.00	1	1	No		No		No Tom Morin	Cottage Street	Danielson	CT	06239
	$2,850.00	1	1	No		No		No Ken Haggerty	Moddock Road	Putnam	CT	06260
	$28,669.00	1	1	No	06-1604512	No		No Gagnon Construction, LLC	P.O. Box 165	Putnam	CT	06260
	$29,864.00	1	1	No		No		No AGBS	P.O. Box 155	Old Mystic	CT	06372
	$45,175.00	1	1	No	06-1604512	No		No Gagnon Construction, LLC	P.O. Box 165	Putnam	CT	06260
	$9,875.00	1	1	No		No	04938543	No Tom Morin	Cottage Street	Danielson	CT	06239
	$2,275.00	1	1	No		No	035-44-7279	No Ken Haggerty	Moddock Road	Putnam	CT	06260
	$63,700.00	1	1	No	0516827	Yes		No Square V Electric Company	P.O. Box 165	Putnam	CT	06260
	$400.00	1	1	No		No	278084	No John Okony	150 Cornell Road	Plainfield	CT	06374
	$1,000.00	1	1	No		No	06-1189108	No Vahic Vartanian	277 Chestnue Hill Road	Colchester	CT	06415
	$22,869.00	1	1	No	06-1604512	No		No Gagnon Construction, LLC	P.O. Box 165	Putnam	CT	06260
Rocky Hill SC1111901	$370,363.00	1	1	No	06-0893519	No		No Barry Associates	17 Halls Mill Road	Preston	CT	06365
Roxbury SC1312001	$10,535.00	1	1	No	044-44-1025	No		No Sterling Construction	60 Johnson Lane	Madison	CT	06443
	$21,046.00	1	1	No	27-4757261	No		No House Call Paint & Carpentry LLC	P.O. Box 225	Washington Depot	CT	06794
Salisbury SC1212201	$26,990.00	1	1	No	044-66-9152	No		No RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$15,680.00	1	1	No	044-66-9152	No		No RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$3,600.00	1	1	No	06-1180153	No		No Robert Green Associates, LLC	6 Old Waterbury Road	Terryville	CT	06787
	$9,499.00	1	1	No	61-1548971	No		No FCE Associates	83 Spoonville Road	Granby	CT	06026
	$20,250.00	1	1	No	044-66-9152	No		No RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$5,200.00	1	1	No	044-66-9152	No		No RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$6,475.00	1	1	No	27-4449146	No		No Thomaston Comfort Control	323 South Main Street	Thomaston	CT	06787
	$5,300.00	1	1	No	27-4757261	No		No House Call Paint & Carpentry LLC	PO Box 225	Washington Depot	CT	06794

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	$9,550.00	1	1	No	044-66-9152	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$4,300.00	1	1	No	25-1908740	No		No	Performance Plumbing & Heating Inc.	609 Migeon Avenue	Torrington	CT	06790
	$1,295.00	1	1	No	20-2471065	No		No	Clean Water Man Inc.	27 Housman Street	Danbury	CT	06801
	$18,625.00	1	1	No	044-66-9152	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$7,811.00	1	1	No	044-66-9152	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
Salisbury SC1312201	$6,150.00	1	1	No	27-4757261	No		No	House Call Paint & Carpentry LLC	P.O. Box 225	Washington Depot	CT	06794
Shelton SC1112601	$29,690.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$70.00	1	1	No		No	27-518940	No	A&T Mechanical	28 Del Rio Drive	New Haven	CT	06518
	$540.00	1	1	No		No	20-5900824	No	SDC Electrical Service	1889 State Street	North Haven	CT	06473
	$13,345.00	1	1	No		No	20-5601241	No	Air Connections	1 Town Line Road	Plainville	CT	06479
	$23,739.00	1	1	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$125.00	1	1	No		No	20-247184	No	TJ Mechanical Plumbing & Heating	PO Box 12	Litchfield	CT	06759
	$240.00	1	1	No		No	06-1519824	No	DW Electrical	16 Pleasant Street	Wolcott	CT	06716
	$7,900.00	1	1	No		No	20-1300733	No	DNA Heating & Air Conditioning LLC	829 Spindle Hill Road	Wolcott	CT	06716
	$27,364.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$14,835.00	1	1	No	61513893	No		No	AA-MSA	PO Box 185843	Hamden	CT	06518
	$22,042.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$35,599.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
Southbury SC1313001	$40,870.00	1	1	No	06-1525254	Yes		No	Crystal Property Managers	30 Hazel Terrace	Woodbridge	CT	06525
	$1,655.00	1	1	No		No		No	JEM Plumbing	164 Bender Road	Hamden	CT	06518
	$1,480.00	1	1	No		No		No	Tom Doyle	124 Whitfield Street	Guilford	CT	06437
	$560.00	1	1	No		No		No	Air Connections	1 Town Line Road	Plainville	CT	06479
Sprague SC1313301	$54,420.00	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	$509,612.13	1	1	No	06-0887378	No		No	Milton C. Beebe & Sons, Inc.	12 Beebe Lane	Storrs	CT	06268
	$46,100.00	1	2	No		No	46-5322951	No	Pontes Construction, LLC	11 Stony Ridge Road	North Windham	CT	06256
	$9,500.00	1	1	No		No	06-1327139	No	Eagle Fence & Guardrail	56 South Canal Street	Plainville	CT	06062
	$149,000.00	1	1	No		No	45-5205674	No	Alpine Landscape Management & Const.	11 Canal Street	Malden	MA	02148
Southbury SC1313001	$23,390.00	1	1	No	631960	No		No	Apache Industries	1405 Guernseytown Road	Watertown	CT	06495
	$9,150.00	1	1	Yes	555807	Yes		No	AA-MSA	PO Box 185843	Hamden	CT	06518
	$23,161.00	1	1	No	631960	No		No	Apache Industries	1405 Guernseytown Road	Watertown	CT	06495
	$25,517.00	1	1	Yes	555807	Yes		No	AA-MSA	PO Box 185843	Hamden	CT	06518
	$27,155.00	1	1	No	631960	No		No	Apache Industries	1405 Guernseytown Road	Watertown	CT	06495
	$24,550.00	1	4	No	26-3441330	Yes		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$24,520.00	1	1	No	631960	No		No	Apache Industries	1405 Guernseytown Road	Watertown	CT	06495
	$42,450.00	1	4	No	26-3441330	Yes		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Stafford SC1213401	$11,090.00	1	1	No	06-1449384	No		No	Chase & Son Building	311 Jobs Hill Road	Ellington	CT	06029
	$490.00	1	1	No		No		No	New England Building Products	45 Golden Street	Meriden	CT	06450
	$14,490.57	1	1	No		No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$1,200.00	1	1	No		No		No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238

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505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
Donald LaPointe

3b. Phone Number
860-270-8184

4. Reporting Period
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	$14,285.00	1	1	No		No		No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	$2,900.00	1	1	No		No		No	Rosa Electric	PO Box 723	Vernon	CT	06066
	$25,090.00	1	1	No		No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$13,700.00	1	1	No		No		No	Fox Heating Services LLC	PO Box 381	Tolland	CT	06084
	$550.00	1	1	No		No		No	Briggs Electric	16 Savage Road	Preston	CT	06365
	$1,100.00	1	1	No		No		No	Structure Masonry	1162 Grant Hill Road	Coventry	CT	06238
	$9,670.00	1	1	No		No		No	Trim Out LLC	39 Bread & Milk Street	Coventry	CT	06238
	$3,500.00	1	1	No		No		No	D&E Construction	3 Jennifer Lane	Stafford Springs	CT	06076
	$250.00	1	1	No		No		No	Miles Electric	3 Pioneer Heights Road	Somers	CT	06071
	$1,200.00	1	1	No		No		No	MacVarish Plumbing Services	264 Crystal Lake Road	Ellington	CT	06029
	$530.00	1	1	No		No		No	New England Building Products	45 Golden Street	Meriden	CT	06450
	$43,282.00	1	1	No		No		No	Chase & Son Building	311 Jobs Hill Road	Ellington	CT	06029
	$2,800.00	1	1	No		No		No	Miles Electric	3 Pioneer Heights Road	Somers	CT	06071
	$5,700.00	1	1	No		No		No	Fox Heating Services LLC	PO Box 381	Tolland	CT	06084
	$906.66	1	1	No		No		No	Enfield Carpet	302 Enfield Street	Enfield	CT	06082
	$34,300.00	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$29,891.00	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread & Milk Street	Coventry	CT	06236
Sterling Program Income	$2,021.48	1	1	No		No	04-2767797	No	Daniel Tilley	16 Burnham Lane	North Windham	CT	06256
	$11,250.00	1	1	No		No	06-1236176	No	Mastercraft Construction	122 Hunter Avenue	Taftville	CT	06380
	$16,911.16	1	1	No		No	27-4156876	No	Premier Mechanical, LLC	800 Flanders Road	Mystic	CT	06355
	$6,375.00	1	1	No	61-1701147	No		No	Mike's Log Home Restoration	10 Linda Lane	Plainfield	CT	06374
Stonington SC1113701	$44,579.00	1	1	No	06-1302300	No		No	Mattern Construction Inc.	26M Bushnell Hollow Road	Baltic	CT	06330
Thomaston Program income	$33,350.00	1	1	No	044-66-9152	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	$20,282.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$8,286.00	1	1	No	06-1128842	No		No	Greta Well Drilling Inc.	143 Main Street	Terryville	CT	06786
	$25,024.00	1	2	No	06-0962559	No		No	Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	06489
Thomaston SC1114001	$13,160.00	1	1	No	046-58-9895	No		No	Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	$30,977.00	1	4	No	26-2563780	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Thompson SC1214101	$44,000.00	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	$201,154.00	1	1	No	75-2988693	No		No	DEF Service Group Ltd	1171 Voluntown Road	Griswold	CT	06351
	$46,950.00	1	1	No		No	20-2960167	No	GAB Services, LLC	4 Sunset View	Griswold	CT	06351
	$46,950.00	1	1	No		No	27-2759352	No	JXA Renovations, LLC	2286 Glasgo Road	Griswold	CT	06351
	$52,000.00	1	4	No		No	36-4671448	No	Cruz Environmental Services	22 Jackson Street	Lawrence	MA	01840
	$52,000.00	1	1	No		No	04-2732833	No	Dec-Tam Corporation	50 Concord Street	North Reading	MA	01864
Thompson SC1314101	$69,600.00	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	$734,521.00	1	1	No	75-2988693	No		No	DEF Service Group Ltd	1171 Voluntown Road	Griswold	CT	06351
Tolland SC1214201	$9,550.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06067
	$950.00	1	1	No		No	06-1028454	No	Quality Insulation of E. CT	505 Norwich Avenue	Norwich	CT	06360
	$24,800.00	1	1	No	040-42-7741	No		No	Scott Borowski Excavating	183 Old Stafford Road	Tolland	CT	06084

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505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
Donald LaPointe

3b. Phone Number
860-270-8184

4. Reporting Period
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	$4,120.00	1	1	No	06-1549227	No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$1,590.00	1	1	No	38-3868950	No		No CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$24,100.00	1	1	No	043-64-7939	No		No Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$3,350.00	1	1	No		No		No Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	$24,460.00	1	1	No		No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$800.00	1	1	No		No		No Briggs Electric	16 Savage Road	Preston	CT	06365
	$6,700.00	1	1	No		No		No Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	$1,615.00	1	1	No		No		No M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	$2,032.20	1	1	No		No		No Dumont Brothers	235 Oak Street	Manchester	CT	06040
	$24,750.00	1	1	No		No		No Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$25,975.00	1	1	No		No		No Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$3,500.00	1	1	No		No		No Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,000.00	1	1	No		No		No Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$2,500.00	1	1	No		No		No Brandon Stone	100 Cross Street	Chaplin	CT	06235
	$30,495.00	1	1	No		No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$610.00	1	1	No		No		No CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$24,750.00	1	1	No		No		No Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$4,400.00	1	1	No		No		No 1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,500.00	1	1	No		No		No Chamberlin Plumbing & Heating	12 Russmar Trail	Columbia	CT	06237
	$2,600.00	1	1	No		No		No Eagle Construction	187 North Farms Road	Coventry	CT	06238
	$31,300.00	1	1	No		No		No RER Associates	75 Lustig Road	Willington	CT	06279
	$7,400.00	1	1	No		No		No M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	$800.00	1	1	No		No		No Briggs Electric	16 Savage Road	Preston	CT	06365
	$2,300.00	1	1	No		No		No Summit Insulation	94 Woodycrest Drive	East Hartford	CT	06118
	$1,470.00	1	1	No		No		No Dumont Brothers	235 Oak Street	Manchester	CT	06040
Torrington SC1314301	$6,750.00	1	1	No	06-1159986	No		No Landucci Heating & Cooling, Inc.	58 Winsted Road	Torrington	CT	06790
	$37,560.00	1	4	No	27-2948189	No		No Lobos Home Improvement	23 Flatbush Avenue	Hartford	CT	06106
	$10,650.00	1	1	No	20-075-4059	No		No Harmony Home Improvement	2389 Main Street	Glastonbury	CT	06033
	$13,400.00	1	1	No	06-1556852	No		No JP Dunn Heating & Cooling LLC	701-703 Northfield Road	Northfield	CT	06778
Vernon SC1214601	$28,464.64	1	2	No	06-0962559	No		No Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	06489
	$9,325.00	1	2	No	90-0899326	Yes		No London Way LLC	181 Blue Hills Avenue	Hartford	CT	06112
	$38,000.00	1	1	No	043-54-7059	No		No ARL Building Contractors, LLC	24 Alta Vista Avenue	Tolland	CT	06084
	$6,500.00	1	1	No	03-0585331	No		No Ultimate Construction LLC	19C Andover Drive	West Hartford	CT	06053
	$25,690.00	1	1	No	06-1400261	No		No AA-MSA	PO Box 185843	Hamden	CT	06518
	$6,500.00	1	1	No	03-0585331	No		No Ultimate Construction LLC	19C Andover Drive	West Hartford	CT	06053
	$29,910.00	1	1	No	06-1549227	No		No RER Associates, LLC	75 Lustig Road	Willington	CT	06279
Vernon Program Income	$5,721.00	1	1	No	06-1501129	No		No Warren Brothers Construction, LLC	342 Moson Road	Stafford Springs	CT	06076
	$9,938.00	1	1	No	03-0585331	No		No Ultimate Construction LLC	19C Andover Drive	West Hartford	CT	06110
Wallingford SC1214801	$600,000.00	1	1	No	03-0385596	No		No True Blue Enviromental	5 Northfield Road	Wallingford	CT	06492

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860-270-8184

4. Reporting Period
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	$23,600.00	1	1	No		No	06-1395636	No	Wallingford Tree Service, Inc.	61 N. Plains Industrial Road	Wallingford	CT	06492
	$5,000.00	1	1	Yes		No	52-2380207	No	All State Traffic Control, LLC	11 South Main Street	Terryville	CT	06786
Waterford SC1215201	$13,300.00	1	1	No	06-1513893	No		No	East Coast Construction	412 Maple Avenue	Uncasville	CT	06382
	$29,750.00	1	1	No	61513893	Yes		No	AA-MSA	PO Box 185843	Hamden	CT	06518
	$18,300.00	1	1	No		No		No	AGBS	P.O. Box 155	Old Mystic	CT	06372
	$14,800.00	1	1	No	27-1049662	No		No	AGBS	P.O. Box 155	Old Mystic	CT	06372
	$200.00	1	1	No		No	45-4392207	No	Billy Richmond	363 Rockville Road	Voluntown	CT	06374
	$2,000.00	1	1	No		No	20-2449683	No	Scott Main	141 Rixtown Road	Griswold	CT	06351
	$34,770.00	1	1	No	05-106827	Yes		No	Square V Electric Company	277 Chestnue Hill Road	Colchester	CT	06415
	$3,300.00	1	1	No		No	06-1583119	Yes	John Okony	140 Cornel Road	Plainfield	CT	06372
	$1,200.00	1	1	No		No	06-1039103	Yes	Vahic Vartanian	277 Chestnue Hill Road	Colchester	CT	06415
	$17,860.00	1	1	No	27-1049662	No		No	AGBS	P.O. Box 155	Old Mystic	CT	06372
	$200.00	1	1	No		No	45-4392207	No	Billy Richmond	363 Rockville Road	Voluntown	CT	06374
	$2,000.00	1	1	No		No	20-2449683	No	Scott Main	141 Rixtown Road	Griswold	CT	06351
Watertown SC1115301	$22,305.00	1	1	No		No	045-64-1436	No	Action Jackson	61 Lang Road	Windsor	CT	06095
	$9,225.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$22,600.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$38,100.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$8,410.00	1	1	No	13-4219057	No		No	All Trades Industries	94 Edwin Avenue	Waterbury	CT	06708
	$24,975.00	1	1	No	06-1062440	No		No	Kenneth Warner & Sons Inc.	65-3 North Main Street	Branford	CT	06405
	$21,720.00	1	1	No	90-0642323	No		No	LGD Construction, LLC	38 Atkins Avenue	Bristol	CT	06010
	$40,600.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Watertown SC1015301	$18,725.00	1	1	No	13-4323502	No		No	Gio's Remodeling LLC	34 Sheffield Street	Waterbury	CT	06704
	$18,150.00	1	1	No	13-4323502	No		No	Gio's Remodeling LLC	34 Sheffield Street	Waterbury	CT	06704
	$19,050.00	1	1	No	56-2465699	No		No	Salerno Remodeling	40 Depot Street	Watertown	CT	06795
	$21,720.00	1	1	No	90-0642323	No		No	LGD Construction, LLC	38 Atkins Avenue	Bristol	CT	06010
Wethersfield SC1215901	$557,500.00	1	1	No	80-0366781	No		No	Kenneth Thomas II, LLC	207 Mulberry Lane	Orange	CT	06477
	$73,000.00	1	1	No		No	20-2722485	No	Infinity Electric	161 Tryon Street	Middletown	CT	06457
	$19,000.00	1	1	No		No	045-68-1512	No	St. Pierre Plumbing LLC	39 Isabelle Drive	Meriden	CT	06450
Windham SC1316301	$92,000.00	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	$570.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$24,675.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$25,000.00	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$24,975.00	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	$596.00	1	1	No		No	06-1564353	No	MacVarish Plumbing Services	264 Crystal Lake Road	Ellington	CT	06029
	$1,550.00	1	1	No		No	048-82-1282	No	Gianni Calvo	1162 Grant Hill Road	Coventry	CT	06238
	$108.90	1	1	No		No	06-1411759	No	Fox Heating Services LLC	PO Box 381	Tolland	CT	06084
	$1,238.00	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06354
	$3,865.00	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066

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	$2,145.60	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	$650.00	1	1	No		No	21-1564518	No	Shaw Drywall	22 Beverly Heights	Middletown	CT	06457
	$3,750.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$3,250.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$2,675.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$1,200.00	1	1	No		No	040-76-8435	No	Eric Neborski	66 Spring Hill Road	Storrs	CT	06268
	$3,175.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,900.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$2,975.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$820.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$26,261.55	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	$4,150.00	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	$2,550.00	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	$4,500.00	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	$3,500.00	1	1	No		No	040-76-8435	No	Eric Neborski	66 Spring Hill Road	Storrs	CT	06268
	$4,200.00	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279
	$24,500.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$2,900.00	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	$450.00	1	1	No		No	06-1028454	No	Quality Insulation of E. CT	505 Norwich Avenue	Taftville	CT	06380
	$520.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$24,700.00	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$520.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$20,800.00	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$585.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Wolcott SC1016601	$16,500.00	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	$5,400.00	1	1	No	06-1468613	No		No	Wesson Energy, inc.	165 Railroad Hill Street	Waterbury	CT	06708
	$40,200.00	1	2	No	90-0899326	No		No	London Way LLC	181 Blue Hills Avenue	Hartford	CT	06112
	$11,875.00	1	1	No	61-1548971	No		No	FCE Associates	83 Spoonville Road	East Granby	CT	06026
Woodbridge SC1216701	$14,000.00	1	1	No	06-1362079	No		No	Corporate Realty Services Ltd	955 Connecticut Avenue, Unit 4004	Bridgeport	CT	06607
	$2,500.00	1	4	No	26-2844672	No		No	Soft Touch Painting	210 Thorne Street	Bridgeport	CT	06606
	$27,170.00	1	1	Yes	90-0642323	No		No	LED Construction, LLC	28 Atkins Avenue	Bristol	CT	06010
Woodstock SC1316901	$92,000.00	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	$12,779.00	1	1	No	26-4414510	No		No	H-Con, Inc.	PO Box 743	Tolland	CT	06084
	$26,000.00	1	1	No	043-420980	No		No	RM Trucking of Eastford, Inc.	21 Abington Road	Pomfret Center	CT	06259
	$21,985.00	1	1	No	046-52--5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$430.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$23,910.00	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	$455.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$1,250.00	1	1	No		No	048-34-5029	No	Chamberlin Plumbing & Heating	12 Russmar Trail	Columbia	CT	06238

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
Department of Economic and Community Development

Check if PHA IHA

2. Location (City, State, Zip Code)
505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
Donald LaPointe

3b. Phone Number
860-270-8184

4. Reporting Period
Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separ 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/ Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Contractor/Subcontractor Name and Address 7j. 7i	Name	Street	City	State	Zip Code
	$1,500.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$772.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$1,200.00	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	$1,500.00	1	1	No		No	048-34-5029	No	Chamberlin Plumbing & Heating	12 Russmar Trail	Columbia	CT	06238
	$21,900.00	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	$415.00	1	1	No	46-2109398	No		No	HLB Environmental, LLC	24 Mohegan Drive	Griswold	CT	06351
	$8,440.00	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	$585.00	1	1	No	38-3868950	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	$500.00	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241

Insert New Rows Here

Totals:			Racial/Ethnic Totals			
	All Projects	$13,901,605.93	White	$12,907,473.29	Hispanic	$715,099.00
	Women Ownec	$254,814.89	Black	$159,031.64	Asian/Paci	$0.00
	Prime Contract	$1,549,545.00	Native	$120,002.00	Portuguese	$0.00
	Sub Contractor	$4,500.00				

To: Type of Trade Codes:

CPD
1=New Construction
2=Education Training
3=Other

Housing/Public Housing:
1=New Construction
2=Substantial Rehab
3=Repair
4=Service
5=Project Mangt
6=Professional
7=Tenant Services
8=Education/Training
9=Arch/Engng Appraisal
0=Other

7d: Racial/Ethnic Codes:
1=White Americans
2=Black Americans
3=Native Americans
4=Hispanic Americans
5=Asian/Pacific Americans
6=Portuguese
7=Public/Indian Housing

Previous editions are obsolete
G:drive/contract subcontract activity-Hud

Form HUD-2516(8/98)

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 8/31/2007)

Hud Field Office:

See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (contract/award no.) CDBG Program	3. Dollar Amount of Award: $12,017,705
	4. Contact Person Donald LaPointe	5. Phone: (Include area code) 860-270-8184
	6. Reporting Period: July 1, 2014 to June 30,2014	7. Date Report Submitted: August 28, 2014
8. Program Code * 8 (Use a separate sheet for each program code)	9. Program Name: Small Cities	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregrate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0	0	0	0	0
Technicians	0	0	0	0	0
Office/Clerical	0	0	0	0	0
Construction by Trade (List) Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Other (List)	0	0	0	0	0
	0	0	0	0	0
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811
3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization
4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement
8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

form HUD 60002 (6/2001)
Ref 24 CFR 135

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	$ 13,901,605.93
B. Total dollar amount of contracts awarded to Section 3 businesses	$ 1,554,045
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	.11 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

_☒__ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

_☒__ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

_☒__ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

_☐__ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.

_☐__ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any P***ublic and Indian Housing programs*** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of $200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to ***contracts and subcontracts in excess of $100,000*** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to ***employment and training***, The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to ***contracting***, and Part III summarizes recipients' ***efforts*** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. ***Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.***

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.

4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.

6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.
8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities
Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.
Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.
Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.
Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.
Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.
Part II: Contract Opportunities
Block 1: Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Block 2: Non-Construction Contracts
Item A: Enter the total dollar amount of all contracts awarded on the project/program.
Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.
Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.
Item D: Enter the number of Section 3 businesses receiving awards.
Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. ***Low-income persons*** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area , as determined by the Secretary, with adjustments for smaller and larger families, except that The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. ***Very low-income persons*** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Appendix I

DEPARTMENT Of Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT 2013

CONTRACTOR NAME:	ACT-Spooner House 30 Todd Rd Shelton, CT 06484
CONTRACT NUMBER (CORE /POS):	126ACT-ESS-1/13DOH0101AG
ESG SHARE OF CONTRACT:	**35,482**
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

ACT-Spooner House provides:

a) shelter & meals for up to 36+ people at a given time.
b) self-sufficiency training and support
via-plans of action, financial planning & budgeting, self-help sessions.
c) mental health screenings & referrals as appropriate
d) clothing vouchers
e) daily living skills, i.e. hygiene, health/medication support

Activities offered by ACT are centered on a 'plan of action' mutually established with a case manager, the purpose of which is to assist the resident to become self-sufficient.

The action plan includes specific objectives to be achieved by the individual in order to reach the goal of finding a permanent place to live. Building plans of action to sustain our client's safety, basic nutritional necessities, and increase their abilities to ultimately regain a more self-sufficient living situation.

Each action plan is as individualized as the person who is in need of shelter. Our principal purpose is to meet the immediate basic need while consecutively establishing dialogue, building trust, providing guidance, connecting clients to necessary & appropriate service providers.

NUMBER OF CLIENTS SERVED (unduplicated count):	218

ADDITIONAL COMMENTS:

Report Completed by (name and date): Elizabeth Holcomb, Director of Operations 8/4/2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	ACT-Spooner House
Project Title & Address:	ACT-Spooner House 30 Todd Road Shelton, CT 06484
Contract Number (CORE/POS)	126ACT-ESS-1/13DOH0101AG
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

115 White, Non-Hispanic

91 Black, Non-Hispanic

12 Hispanic

0 Asian/Pacific

0 American Indian / Alaskan Native

218 TOTAL

10 Number of Female headed households

2. Indicate type of project(s) and service(s):

*	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center	*	soup kitchen/**meal distribution**
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention

other (*please list*): Warming Center, No Freeze Program 6 cots/overnight refuge

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	21	average number serviced daily	23
Average number of children daily	6		
Average number served yearly	200		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	89%	Female	11%
Unaccompanied under 18	Male	0%	Female	0%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male	29%	Female	71%
Youth 18 and under		0%		
Two parents 18 and over		1%		
Two parents under 18		0%		
Families with no children		6%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

	battered spouses	21%	drug dependent individuals
	runaway/throwaway youth	1%	elderly
47%	chronically mentally ill	7%	veterans
	developmentally disabled		physically disabled
2%	HIV/AIDS	43%	Other-Criminal Justice
18%	alcohol dependent individuals	95%	Other-Expenses Exceed Income

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

36	Barracks		Group/large house
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)	Additionally 4-6 Cribs Available 6 COTS-No Freeze Progam	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$35,482

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
VA GPD	$19,315
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Municipalities	$21,500
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	$334,374
Loans	$
Building Value or Lease	$4,900,000
Donated Goods	$83,061
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$50960
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Beth-El Center, Inc.
CONTRACT NUMBER (CORE /POS):	084BCI-ESS-1/13 DOH 0101AH
ESG SHARE OF CONTRACT:	$24, 988
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES:

The Beth-El Center works actively to help homeless clients obtain self sufficiency and independence. The Center offers intensive case management in a supportive and structured 90 day program, where residents can gain the needed supports to be independent and successful in managing their lives in the community.

Services are for single men and women, veteran and for parents with children. The total available beds are 34, with dorms for men, women and six individual rooms for parents with children.

There is a high priority by Case Managers to use the resources provided in the community, as well as by the state and federal programs to end homelessness, such as Rapid Re-housing, to move the residents into permanent housing. The goals that form the foundation of the written, Individualized Service Plan are developed through the Motivational Interviewing technique.

While at the center, all basic needs such as toiletries, food, clothing and some transportation needs are provided to the residents.

NUMBER OF CLIENTS SERVED (unduplicated count):	142

ADDITIONAL COMMENTS

Report Completed by (name and date): Toni Dolan 7/18/14

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Beth-El Center, Inc.
Project Title & Address:	Emergency Shelter Program, 90 New Haven Ave., Milford, CT 06460
Contract Number (CORE/POS)	084 BCI-ESS-1/13DOH0101AH
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

74 White, Non-Hispanic
56 Black, Non-Hispanic
11 Hispanic
0 Asian/Pacific
1 American Indian / Alaskan Native
142 TOTAL
37 Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities
___ vouchers for shelters
___ drop-in-center
___ mental health
___ alcohol/drug program
___ child care
___ other (*please list*):
___ transitional housing
___ outreach
X soup kitchen/meal distribution
___ HIV/AIDS services
___ employment
___ homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 20 average number serviced daily 26
Average number of children daily 6
Average number served yearly 142

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 64 % Female 36 %
Unaccompanied under 18 ..... Male ___ % Female ___ %

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male ____ %	Female 100%
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

10%	battered spouses	50%	drug dependent individuals
0%	runaway/throwaway youth	10%	elderly
45%	chronically mentally ill	60%	veterans
5%	developmentally disabled	5%	physically disabled
15%	HIV/AIDS		other
45%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

18	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
6	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$24,988.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City of Milford	$ 80,000
CDBG/City of Milford	$19,861
Veterans Administration	$38,325
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash $75,000	Amount
Loans Line of Credit	$ 75,000
Building Value or Lease	$1,037,639
Donated Goods	$112,780
Donated Computers	$0
New Staff Salaries	$0
Volunteers ($5/hr)	$48,000
Volunteer Medical/Legal	0

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Alpha Community Service YMCA
CONTRACT NUMBER (CORE /POS):	
ESG SHARE OF CONTRACT:	$62515.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

The Families in Transition Program, a program previously operated by the City of Bridgeport, is the only emergency shelter for families in the City of Bridgeport. Emergency shelter services are available over a twenty-four hour a day, seven day a week time frame from two locations: 309 Brooks Street and 11-21 Clinton Avenue.

Families in Transition utilizes the Alpha Community Services YMCA' continuum of care to extend shelter stays through the transitional living program for up to two years at the Jean Wallace Residence located at 1054 Boston Avenue.

In 2013, the program served 173 families that consisted of 238 adults and 338 children. Over 64% of families served are residents of Bridgeport, many of which have become homeless due to lack of affordable housing and insufficient income. The Families in Transition Program is part of the city's, and the nation's, effort to end chronic homelessness in ten years.

The Emergency Shelter Grant Program Funds were used for utility cost (electricity, water and gas) at the shelter sites and Transitional Living Program.

NUMBER OF CLIENTS SERVED (unduplicated count):	576

ADDITIONAL COMMENTS:

The program goals are to provide a safe, healthy environment for homeless families, where their basic needs can be met and to create housing stability for families who are at risk of become homeless. All families meet with a case manager within forty-eight hours of entry to the program and at that time the family's needs are assessed. The case manager makes proper referrals to assist families toward the path of self-sufficiency.

Report Completed by (name and date): Hernán Bohórquez. 07/22/2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Alpha Community Service YMCA – 387 Clinton Ave. Bridgeport CT 0065
Project Title & Address:	Families in Transition – 11-21 Clinton Ave. and 309 Brooks St. Bridgeport CT
Contract Number (CORE/POS)	
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

157 White, Non-Hispanic
292 Black, Non-Hispanic
119 Hispanic
8 Asian/Pacific
____ American Indian / Alaskan Native
576 TOTAL
____ Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities
____ vouchers for shelters
____ drop-in-center
____ mental health
____ alcohol/drug program
____ child care
____ other (*please list*):
____ transitional housing
____ outreach
____ soup kitchen/meal distribution
____ HIV/AIDS services
____ employment
X homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 42 average number serviced daily 104
Average number of children daily 62
Average number served yearly ____

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 0% Female 0%
Unaccompanied under 18 ..... Male 0% Female 0%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male 45%	Female 55%
Youth 18 and under	%	
Two parents 18 and over	45%	
Two parents under 18	%	
Families with no children	%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

0%	battered spouses	5%	drug dependent individuals
0%	runaway/throwaway youth	1%	elderly
5%	chronically mentally ill	1%	veterans
1%	developmentally disabled	2%	physically disabled
0%	HIV/AIDS		other
5%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

	Barracks		Group/large house
	Scattered site apartment		Single family detached house
30	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)	52 (2 Buildings with 6 apartments each)	
	21 (1 Building with 6 apartments)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$62515

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$ 14,513.00
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
HUD	$ 446,373
HUD - ESS	$62,515
HUD - TLP	$49,303
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$0.00
Building Value or Lease	$663,915
Donated Goods	$800.00
Donated Computers	$0.00
New Staff Salaries	$0.00
Volunteers ($5/hr)	$33,175
Volunteer Medical/Legal	0.00

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Christian Community Action, Inc.
CONTRACT NUMBER (CORE /POS):	093CCA-ESS-01 / 13DOH0101AM
ESG SHARE OF CONTRACT:	**$68,325**
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)
Christian Community Action, through its Hillside Family Shelter, offers seventeen apartments (of various sizes and at two locations) for families that are homeless. For 60-90 days, families can receive case management services, workshops, vocational assistance, housing location assistance and programming for children. After they leave the Shelter, families can receive follow up services for up to 90 days.

NUMBER OF CLIENTS SERVED (unduplicated count):	225

ADDITIONAL COMMENTS:

Report Completed by (name and date): Rev. Bonita Grubbs 7/16/14

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Christian Community Action, Inc. / Hillside Family Shelter
Project Title & Address:	168 Davenport Avenue New Haven, CT 06519
Contract Number (CORE/POS)	093CCA-ESS-01 / 13DOH0101AM
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

22 White, Non-Hispanic
179 Black, Non-Hispanic
23 Hispanic
____ Asian/Pacific
1 American Indian / Alaskan Native
225 TOTAL
____ Number of Female headed households

2. Indicate type of project(s) and service(s):

√ emergency shelter facilities
____ vouchers for shelters
____ drop-in-center
____ mental health
____ alcohol/drug program
____ child care
____ other (*please list*): ____
____ transitional housing
____ outreach
____ soup kitchen/meal distribution
____ HIV/AIDS services
____ employment
____ homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 92 average number serviced daily ____
Average number of children daily 133
Average number served yearly 225

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male ____ % Female ____ %
Unaccompanied under 18 ..... Male ____ % Female ____ %

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male 5%	Female 95%
Youth 18 and under	%	
Two parents 18 and over	100%	
Two parents under 18	0%	
Families with no children	0%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

_____	battered spouses	_____	drug dependent individuals
_____	runaway/throwaway youth	_____	elderly
_____	chronically mentally ill	_____	veterans
_____	developmentally disabled	_____	physically disabled
_____	HIV/AIDS	_____	other
_____	alcohol dependent individuals	_____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

_____	Barracks	_____	Group/large house___
_____	Scattered site apartment	_____	Single family detached house
_____	Single room occupancy	_____	Mobile home/trailer
_____	Hotel/motel	_____	
	Other (describe)	_____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$68,325.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
HUD	$10,346
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH (State)	$28,979
Grants	$24,000
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$ 5,000
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Columbus House
CONTRACT NUMBER (CORE /POS):	093CHI-ESS-32/ 10DSS3711EM
ESG SHARE OF CONTRACT:	$41,092
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

The Columbus House Inc. (CHI) mission is to serve people who are homeless or at risk of becoming homeless, by providing shelter and housing and by fostering their personal growth and independence.

The CHI Shelter serves single adult men and women who are 18 years of age and older. CHI does not discriminate on the basis of race, religion, national origin, age, sex, sexual orientation, disability or veteran status. CHI will make reasonable accommodation for those in the LGBT community when necessary based on each individual situation. CHI will always maintain confidentiality and attempt to provide a safe environment for all served. CHI will attempt to accommodate all language barriers to provide the appropriate level of service. CHI has a certified Spanish interpreter of staff and can arrange for an appointment if necessary. For other languages CHI will offer interpreter services through Language Line.

Approximately 100 clients reside each night under shelter. Clients are supported with 4 to 10 staff and volunteers that come and go throughout the evening hours. CHI shelter staff has been trained to provide services to homeless populations with multiple barriers and disabilities. Current staff include: the (1 part time) Shelter Manager, (1 full time) Shelter Coordinator, (2 full time) Shelter Case Managers and (15part time) Residential Supervisors. Staff is available 24-hours every day of the week. Residential staff members are onsite during the daytime hours and at night. The Case Management staff works Monday through Friday from 7:30 AM – 5:00 PM and on the weekends from 8:00 Am- 12:00 PM. CHI Shelter case managers assist in making connections to housing, education, employment, mental health, substance abuse services, treatment centers, entitlements (SOAR), medical or dental referrals, and medical insurance. A self-directed service plan will be developed within two weeks of a residents 90 day stay. Shelter case management is available to meet weekly with residents to discuss progress, successes, and/or and barriers experienced while implementing the service plan.

CHI Shelter is a referral based shelter and a referral needs to be completed for all seeking shelter. This can be a self-referral or from a case manager/clinician. All referrals are facilitated by our Shelter Coordinator. There will be a 48 hour turnaround response to referrals, whether walk in or faxed, as to bed availability, there are no guarantees of bed availability on any specific date. Columbus House will complete an assessment for eligibility and determine admission. Beds are assigned based on our admission criteria and waitlist. Columbus House does not discriminate or deny entry on the basis of gender, creed, religion, sexual orientation or to persons who have disabilities. Once a bed is assigned it will be expected that the participant utilize the bed on a nightly basis as there will be no passes granted. If a participant fails to check in to the shelter for 2 unexcused days the bed will be forfeited and the participant will have to wait 90 calendar days before another referral can be submitted. All forfeited beds will be reassigned to next person on waitlist at 8pm on the 2nd night of no call, no show.

CHI Shelter has a 90 day length of stay policy. Participants are welcome to stay and take advantage of the services offered for 90 calendar days. In an effort to maximize our services for all in need it is required that all clients having an income be referred to out Length of Stay (LOS) Program.

NUMBER OF CLIENTS SERVED (unduplicated count):	329

ESG ANNUAL PERFORMANCE REPORT
2013

ADDITIONAL COMMENTS:

The CHI in its effort to meet HEARTH indicators is preparing to move toward Coordinated Access and will be collaborating with local and statewide shelter providers in providing a seamless service delivery system for single homeless adults. The implementation of the new HMIS system will allow CHI Shelter to have real time data to track participant's service and shelter history throughout the state to effectively decrease length of stays, increase income and secure permanent supportive and community based housing.

Report Completed by (name and date): Letticia Brown-Gambino 7/31/2014

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	586 Ella T. Grasso Boulevard, P.O. Box 7093, New Haven, CT-06519
Project Title & Address:	Columbus House Emergency Shelter, 586 Ella T. Grasso Blvd., New Haven, CT-06519
Contract Number (CORE/POS)	093CHI-ESS-32/10DSS3711EM
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

128	White, Non-Hispanic
137	Black, Non-Hispanic
57	Hispanic
1	Asian/Pacific
3	American Indian / Alaskan Native
1	More than one race
1	Native Hawaiian or other Pacific Islander
1	Unreported
329	TOTAL
219	Number of Female headed households

2. Indicate type of project(s) and service(s):

√	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention
	other	(*please list*):	

3. Number of people served for each activity:
Residential Services: Non-residential services:

Average number of adults daily	31	average number serviced daily	31
Average number of children daily			
Average number served yearly	329		

The following information is for residential services only:
On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	33%	Female	67%
Unaccompanied under 18	Male	%	Female	%

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male ____ %	Female ____ %
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

____	battered spouses	24%	drug dependent individuals
____	runaway/throwaway youth	3%	elderly
11%	chronically mentally ill	4%	veterans
4%	developmentally disabled	27%	physically disabled
1%	HIV/AIDS	32%	Domestic violence
8%	alcohol dependent individuals	20%	Other(Dually Diagnosed)

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

____	Barracks	____	Group/large house___
____	Scattered site apartment	____	Single family detached house
____	Single room occupancy	____	Mobile home/trailer
____	Hotel/motel		
	Other (describe)	31 bed shelter	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$41,092.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
CDBG	$13,000.00
FEMA	$35,000.00
	$
	$
	$

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH-ESS	$316,911.00
DMHAS	$536,323.00
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Columbus House – Middlesex Family Shelter
CONTRACT NUMBER (CORE /POS):	12DSS3901EM / 093-CHI-ADU-01
ESG SHARE OF CONTRACT:	$34,157.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES:

The Middlesex Family Services (MFS) Emergency Family Shelter of Columbus House, Inc., is located in Middletown, CT. The Emergency Family Shelter is comprised of four duplexes located within the Middletown Housing Authority. Seven (7) of these units are used for residents of the program. The final unit is used for MFS staff office space. Each unit has two bedrooms, one and a half baths, living room and eat-in kitchen. There is a laundry facility located at the program. Families consisting of at least one parent/guardian over the age of eighteen (18) and one child under the age of eighteen (18) at the time of admission may access the shelter program for up to ninety (90) days. Extensions to the length of stay are granted on a case by case basis.

Case management services are on-site and heads of household meet weekly with the case manager to create and execute a plan of action (Service Plan). The MFS case manager will discuss housing options; assist in the completion of housing referrals, entitlement referrals, employment services referrals and refer and monitor other services such as early childhood services, daycare, mental and physical health care and substance abuse treatment care. The MFS Emergency Family Shelter Case Management is designated as the educational liaison for the programs and ensures that a child is able to access his or her school of origin or the local school system; whichever the parent(s) feels best meets his or her child's needs.

The MFS Emergency Family Shelter does not have a waitlist, and referrals for shelter come from agencies such as United Way 211, the Department of Children and Families (DCF), Department of Social Services (DSS) and other local community providers. Many of the referrals are also self-made. The MFS Emergency Family Shelter of the Columbus House is an active member of the Middlesex/Meriden/Wallingford Coordinated Access Network (CAN) and anticipates that future referrals in FY 2015 will all be funneled through 211 and the local CAN.

NUMBER OF CLIENTS SERVED (unduplicated count):	100

ESG ANNUAL PERFORMANCE REPORT
2013

ADDITIONAL COMMENTS:
While the MFS Emergency Shelter Program continues to access resources such as Rapid Re-Housing, Universal Housing Lists, Housing Authorities and CCEH for supports (childcare, camp funding) there has been a rise in the number of large families with few resources and complex trauma histories entering the family shelter over the past year. This can present a challenge in that suitable housing for the family size can far exceed financial resources available. In addition, the family's housing instability history, history of trauma and DMHAS related concerns indicate that some of these families may be best targeted for permanent supportive housing yet this is resource that is not readily available for families needing to exit shelter in ninety (90) days or less.

Report Completed by (name and date):Andrea Hachey 7/31/2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Columbus House, Inc., 586 Ella T. Grasso Blvd., New Haven, CT 06519
Project Title & Address:	Middlesex family Shelter, 117 Daddario Rd., Middletown, CT 06457
Contract Number (CORE/POS)	12DSS3901EM / 093-CHI-ADU-01
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

30 White, Non-Hispanic
48 Black, Non-Hispanic
14 Hispanic
3 Asian/Pacific
5 American Indian / Alaskan Native
100 TOTAL
29 Number of Female headed households

2. Indicate type of project(s) and service(s):

√ emergency shelter facilities
____ vouchers for shelters
____ drop-in-center
____ mental health
____ alcohol/drug program
____ child care
____ other (*please list*):
____ transitional housing
____ outreach
____ soup kitchen/meal distribution
____ HIV/AIDS services
____ employment
____ homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 8 average number serviced daily 15
Average number of children daily 7
Average number served yearly 100

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male ____ % Female ____ %
Unaccompanied under 18 ..... Male ____ % Female ____ %

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male 6%	Female 44%
Youth 18 and under	%	
Two parents 18 and over	50%	
Two parents under 18	%	
Families with no children	%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

	battered spouses	28%	drug dependent individuals
	runaway/throwaway youth		elderly
44%	chronically mentally ill		veterans
	developmentally disabled	28%	physically disabled
	HIV/AIDS		other
	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$34,157.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	$
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Community Renewal Team
CONTRACT NUMBER (CORE /POS):	064C-Ess-17 / 10DSS3711EW
ESG SHARE OF CONTRACT:	$ 34,350
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)
CRT's East Hartford Community Shelter provides 40 beds (16 beds for men and 24 beds for families and single women) of decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years old and substance free, and families including new-bourns. Services provided by CRT are designed to increase consumers' stability, skill levels and self-determination with an ultimate goal of helping people with long-term appropriate housing.

CRT provides case management services to all clients of the shelter. The individual case management services include but are not limited to: counseling, crisis intervention, assessment, goal planning, employment assistance, application assistance, monitoring and encouraging client progress, assistance with obtaining housing, referrals to additional community support services including treatment or other services.

CRT performs the following activities to support the above services:

Individual assessments: CRT collects basic information regarding each client through the initial intake process. Information includes, but not be limited to: age, marital status, family size, race, ethnicity, major source of income, reason for loss of housing, length of homelessness, rental/home ownership history, employment status, education history, history of substance abuse, and mental and physical health. This information is the basis for an assessment of the clients' needs and the development of a Service Plan with the client, as described in the next paragraph.

Individual Service Plans (ISP): CRT develops a mutually agreed upon service plan with the client, as a result of the individual assessment. The Service Plan is used to identify the actions necessary to meet the clients' needs and establish such goals as employment permanent housing, access to health care, mental health care and addiction services, and other social and treatment services.

Provision of basic toiletries: CRT provides clients with clothing, shower, laundry facilities and basic toiletries including but not limited to shampoo, soap, deodorant, toothbrush and toothpaste.

Clothing: CRT accepts donations of gently used clothing to be given to clients in need.

Food: CRT provides 3 meals per day (breakfast, lunch and dinner) to shelter residents.

NUMBER OF CLIENTS SERVED (unduplicated count):	295

ESG ANNUAL PERFORMANCE REPORT
2013

ADDITIONAL COMMENTS:
The East Hartford Shelter (EHS) continued to run at or above capacity to meet the needs of the homeless in East Hartford and the Greater Hartford area. This year the shelter serviced 295 residents (233 adults and 62 children). The shelter continues to run at or over 96% capacity for the year. The shelter has an average monthly turn away rate of 65 homeless adults and children. The EHS provided services to 42 families during the course of the year. The shelter was also able to provide services to several single fathers and their children which does cause the shelter to lose bed availability during their stay (as they cannot share a room with the women) but does allow the shelter to provide services to this tough population. Some of the programs that the Case Managers have worked with to assist the residents to successfully transition from the shelter were CRT's Supportive Housing (Project Teach, Permanent and Supportive Housing Programs, Bloomfield Scattered Site Housing), CRT's Supportive Services for Veteran Families (Veteran Housing), Salvation Army's Beyond Shelter, Community Health Resources' Pilot Program, My Sister's Place (for housing and security deposit assistance), and CHR for rapid rehousing service. These partnerships have paid excellent dividends as the Case Managers have been able to successfully place 63% of our families and 53% of our individual residents into housing. CRT and the EHS have also been very involved the creation of HUDs Coordinated Access Network (CAN) and the Universal Housing Application (UHA) both of which will assist our clients in identifying their most immediate needs and helping them to access services as soon as possible.

The EHS has collaborated with the East Hartford School System to provide services for the children in the shelter as well as their parents. Hockanum Elementary School has provided the Shelter with Birth to Three testing and services as well as parenting groups and advice for new mothers. EHS also works with the Willowbrook Head Start program to help parents get their children enrolled in the Head Start program so that the children are ready and have the skills that they will need to start school.

In order to make sure that the shelter is aware of all of the services available for our residents in the community, the Shelter attends the monthly community provider meeting at Goodwin College. The EHS continues to collaborate with community agencies to meet our residents physical and mental health needs. Charter Oak Heath and Integrated Health Services have provided physical and dental assistance to the residents. Hartford Hospital has also teamed with the EHS to provide free cancer screenings to the residents. The residents mental health/chemical dependence needs are being met by CRT's Behavioral Health, East Hartford's Inter Community, and AHEC all of whom provide individual and group counseling as needed for the residents.

The East Hartford Shelter continues to be assisted by the generous donations from the businesses, schools, churches, and families of East Hartford and the Greater Hartford area. Throughout the year, Whole Foods, Stop & Shop, Shoprite, and Dunkin Donuts have provided supplemental food for the shelter. Pratt & Whitney has been invaluable to the shelter as they have provided food, clothing, Christmas gifts, furniture, household goods, and hygiene products for the residents. East Hartford's Interfaith Ministries has also been a big help in providing our exiting residents with furniture and household goods to assist them in getting their apartments started. St. Ann's Church in Avon held their

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annual community service day at the EHS. St. Ann's provided over 50 volunteers to paint the men's unit, all common areas in the family unit, and the porches and stairs outside. They also built some shelving storage units and some other minor repairs around the facility.

CRT received a grant from the Ensworth Foundation. The grant allowed the shelter to purchase 40 new mattresses for the entire facility as well as purchase an industrial dryer and refrigerator.

Report Completed by (name and date): Stephen Bigler 7/30/2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	CRT 385 Main St. East Hartford, CT 06118
Project Title & Address:	East Hartford Community Shelter
Contract Number (CORE/POS)	064C-ESS-17 / 10DSS3711EW
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	EMERGENCY SHELTER

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

86	White, Non-Hispanic
113	Black, Non-Hispanic
88	Hispanic
7	Asian/Pacific
1	American Indian / Alaskan Native
295	TOTAL
39 female	Number of Female headed households
3 male (single dads)	

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention
	other (*please list*):		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	30	average number serviced daily	40
Average number of children daily	10		
Average number served yearly	340		

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EMERGENCY SHELTER PROGRAM (ESG)
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The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	40%	Female	35%
Unaccompanied under 18	Male	0%	Female	0%

Families with children headed by:

Single 18 and over	Male	7%	Female	93%
Youth 18 and under		0%		
Two parents 18 and over		0%		
Two parents under 18		0%		
Families with no children		0%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

5%	battered spouses	42%	drug dependent individuals
0%	runaway/throwaway youth	10%	elderly
32.5%	chronically mentally ill	5%	veterans
0%	developmentally disabled	25%	physically disabled
2.5%	HIV/AIDS		other
29%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

	Barracks	40	Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$ 34,350

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EMERGENCY SHELTER PROGRAM (ESG)
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SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
EFSP – United Way	$ 13,093
CDBG – Town of East Hartford	$ 40,833
DSS - HMIS	$ 22,796
DSS – HHS	$ 267,037
	$

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Cash	$ 12,145
Foundations	$ 10,000
Recipient Rent	$ 4,079
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Family & Children's Aid, Inc. 75 West St., Danbury, CT 06810
CONTRACT NUMBER (CORE /POS):	034FCA-ESS-1
ESG SHARE OF CONTRACT:	$38436.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

FCA is a community based not-for-profit organization that focuses on the mental health and community welfare of children and families. Harmony House is much more than a shelter for homeless mothers and their children. The wide array of services FCA provides to the mothers and children in our community through our over 23 programs makes Harmony House a stop along the way in making the lives of these children and families successful and healthy.

Harmony House is staffed with a Program Director/ Case Manager, direct care staff and an FCA agency Program Supervisor who supervises the Director and provides oversight to the program. The Director is on-call 24 hours a day and is responsible for the daily management of the home and residents. Staff work very closely with the families to address and respond to the most common barriers of housing and employment. Staff are responsible to monitor the operation of the home during their shift, provide supportive help to the residents, and maintain client files and daily documentation. The workers provide a critical role in assisting the mothers in achieving the goal of keeping their family together and prospering. While the residents are living with us they also receive a great amount of supportive and case management services. Harmony House residents progress well in our program. Individualized treatment plans have been attained by 95% of the residents and 75% of the residents attained permanent housing. Our goal is to work closely with other area social service agencies in order to eliminate duplication of services. Harmony House is unique in that it is a shorter term program than the other mothers and children's home, Amos House, to whom we at times refer clients who need a longer period of stabilization. Harmony is also different form the other mothers with children shelter, Elizabeth House run by the Women's center, in that we are not a domestic violence safe house.

Harmony House continues to work successfully with the mothers on individualized service plans and goals. Harmony House families attended weekly parenting classes, weekly house meetings, and were the recipients of many volunteer and donated services. Harmony House also has an aftercare program which was active with 30 aftercare families who received food and hygiene packages and are supported by staff. Residents had formal meetings with their case manager weekly and are reviewed monthly by the Program Director, as well as daily individual contact with all of the Harmony House staff.

NUMBER OF CLIENTS SERVED (unduplicated count):	37

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ADDITIONAL COMMENTS:

United Way funding continues to decrease each year, so the women's shelter continues to struggle financially. This has required us to continually look at ways we can save fiscally.

Report Completed by (name and date): Kristin Borsch 7-15-14

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Family & Children's Aid, Inc. 75 West St., Danbury, CT 06810
Project Title & Address:	**Harmony House**, 5 Harmony St., Danbury, CT 06810
Contract Number (CORE/POS)	034FCA-ESS-1
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Homeless shelter for mothers with children. Open 365 days per year 24 hours per day. Also provides case management and aftercare services to families.

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

5 White, Non-Hispanic
15 Black, Non-Hispanic
14 Hispanic
____ Asian/Pacific
____ American Indian / Alaskan Native
37 TOTAL
15 Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities
____ vouchers for shelters
____ drop-in-center
X mental health
____ alcohol/drug program
____ child care
____ other (*please list*): ____
____ transitional housing
____ outreach
____ soup kitchen/meal distribution
____ HIV/AIDS services
____ employment
____ homeless prevention

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 6 average number serviced daily 6
Average number of children daily 10
Average number served yearly 40

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male ____ % Female ____ %
Unaccompanied under 18 ..... Male ____ % Female ____ %

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EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male ____ %	Female 100%
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

40%	battered spouses	____	drug dependent individuals
____	runaway/throwaway youth	____	elderly
____	chronically mentally ill	____	veterans
____	developmentally disabled	____	physically disabled
____	HIV/AIDS	60%	other
____	alcohol dependent individuals	____	

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

____	Barracks	16	Group/large house___
____	Scattered site apartment	____	Single family detached house
____	Single room occupancy	____	Mobile home/trailer
____	Hotel/motel	____	
	Other (describe)	____	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$38436.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	$
	$
	$
	$
	$

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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City Of Danbury	$4,540
United Way	$13,714
Emergency Food & Shelter	$2,100
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	$34,754
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
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CONTRACTOR NAME:	Friendship Service Center of New Britain, Inc. 85 Arch Street, New Britain, CT 06050-1896
CONTRACT NUMBER (CORE /POS):	089FSC-ESS-1 / 13DOH0101BC
ESG SHARE OF CONTRACT:	$33,666
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Provides a 22 emergency shelter beds for homeless single adults 18 years of age and over and 15 emergency shelter beds for homeless families with heads of households 18 years of age and over. The program provides services 24 hours a day, 7 days a week, 365 days a year.

Services provided include: three meals a day, laundry, basic toiletries, clothing, transportation assistance, housing services, case management including intake assessments of needs and development of case action plans, referrals to substance abuse treatment, mental health counseling, health services, parenting classes, money management, educational, vocational and employment services as well as other services.

House meetings and workshops are conducted to address concerns and meet the needs of the clients. Annual program evaluation by the clients is facilitated by members of the Board of Directors.

NUMBER OF CLIENTS SERVED (unduplicated count):	266

ADDITIONAL COMMENTS:

The number of persons served in the shelter is lower than the number served last year. For 6 months the Friendship Service Center was under renovation while the building was occupied. It was an incredibly difficult endeavor. However, the building has major improvements and is, in many respects, new.

Report Completed by (name and date): Donna Bergin; 7/25/14

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Friendship Service Center of New Britain, Inc. 85 Arch Street, New Britain, CT 06050-1896
Project Title & Address:	Emergency Shelter, 241-249 Arch Street, New Britain, CT 06050-1896
Contract Number (CORE/POS)	089FSC-ESS-1 / 13DOH0101BC
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency shelter that provides 37 beds for men, women and children

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

138	White, Non-Hispanic
54	Black, Non-Hispanic
74	Hispanic
0	Asian/Pacific
0	American Indian / Alaskan Native
266	TOTAL
17	Number of Female headed households

2. Indicate type of project(s) and service(s):

x	emergency shelter facilities		transitional housing
	vouchers for shelters	x	outreach
	drop-in-center	x	soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care	x	homeless prevention
	other (*please list*):		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	**28**	average number serviced daily	**200**
Average number of children daily	**9**		
Average number served yearly	**365**		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	**65%**	Female	**35%**
Unaccompanied under 18	Male	**%**	Female	**%**

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EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	**Male**	**11%**	Female	**78%**
Youth 18 and under		%		
Two parents 18 and over		**11%**		
Two parents under 18		%		
Families with no children		%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

10%	battered spouses	**50%**	drug dependent individuals
0%	runaway/throwaway youth	**8%**	elderly
65%	chronically mentally ill	**10%**	veterans
15%	developmentally disabled	**15%**	physically disabled
5%	HIV/AIDS	**5%**	other
65%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

37	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$33,666

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
EFSP	$ 25,203.
DMHAS Federal	$ 26,480.
DMHAS Federal PATH	$ 46,531.
	$
	$

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EMERGENCY SHELTER PROGRAM (ESG)
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DMHAS State	$ 80,985.
	$
	$
	$
	$

Private (including recipient) Funding:

	Amount
Fund Raising/Cash	$ 178,772
Loans	$ 0.
Building Value or Lease	$ 2,786,000.
Donated Goods	$ 150,000.
Donated Computers	$ 0.
New Staff Salaries	$ 0.
Volunteers ($5/hr)	$ 7,800.
Volunteer Medical/Legal	$ 20,000.

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CONTRACTOR NAME:	Immaculate Conception Shelter & Housing Corporation
CONTRACT NUMBER (CORE /POS):	064ICS-ESS1/13DOH010BP
ESG SHARE OF CONTRACT:	$26288.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

All funding is in shelter operations.

Immaculate Conception Shelter and Housing Corporation provides an average of 75 beds of emergency shelter annually at 560 Park Street, Hartford CT. In addition to breakfast and dinner, full baths, and a bed, case management services are offered to all men 18 and over. The shelter operates from 4pm to 8am daily, year-round. At least 80 individuals per night have been provided services during this period. During the program year, the agency changed the number of people served from 60 during some months of the year and 80 in others to 75 year round. This allows the agency to provide more bed nights as well as remain open from mid-May through September, when historically the shelter closed until October. We operate at more than 100% capacity.

NUMBER OF CLIENTS SERVED (unduplicated count):	249

ADDITIONAL COMMENTS:

Report Completed by (name and date): Teresa A. Wierbicki, July 22, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Immaculate Conception Shelter & Housing Corporation
Project Title & Address:	560 Park Street, Hartford CT 06106
Contract Number (CORE/POS)	064ICS-ESS1/13DOH010BP
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency Shelter Services

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

57 White, Non-Hispanic

105 Black, Non-Hispanic

83 Hispanic

4 Asian/Pacific

0 American Indian / Alaskan Native

249 TOTAL

0 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters	X	outreach
	drop-in-center	X	soup kitchen/meal distribution
	mental health	X	HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention

X other (*please list*): case management

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	60	average number serviced daily	60
Average number of children daily	0		
Average number served yearly			

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male 100%	Female %
Unaccompanied under 18	Male %	Female %

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Families with children headed by:

Single 18 and over	Male 100%	Female %
Youth 18 and under	%	
Two parents 18 and over	%	
Two parents under 18	%	
Families with no children	%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

.40%	battered spouses	10.16%	drug dependent individuals
0	runaway/throwaway youth	8.84%	elderly
31.73%	chronically mentally ill	5.65%	veterans
0	developmentally disabled	2.81%	physically disabled
2.41%	HIV/AIDS		other
10.16%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

60	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$26288.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City CDBG	$16,000
City ESG	$11,537
FEMA	$55,328
	$
	$

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EMERGENCY SHELTER PROGRAM (ESG)
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DMHAS Homeless Outreach	$ 65,985
City No Freeze	$ 92,000
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Hartford Foundation - Grants	$258,128
Other Grants/Private Donations	$ 17,184
Donated Goods & Services	$139,629

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CONTRACTOR NAME:	New Reach (formerly New Haven Home Recovery)
CONTRACT NUMBER (CORE /POS):	093NHH-ESS-1/ 13DOH0101CC
ESG SHARE OF CONTRACT:	$ 49,883.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Shelter services are provided to female headed households and pregnant mothers at Life Haven. During their stay at the shelter, residents are provided with case management and referral services that assist them with securing employment and stable housing. Further, all residents have access to food, toiletries, hygiene essentials, and other goods from our on-site pantry. Residents also benefit from community donations including blankets, sheets, clothing, household items, cleaning supplies, toiletries, and food. All residents have bi-weekly access to medical services from an APRN from Hill Health Center.

NUMBER OF CLIENTS SERVED (unduplicated count): 270

ADDITIONAL COMMENTS:

On the long form, number 4, the 36% indicated under battered spouses represents those who had experienced some form of domestic violence in their lives. At this point, we do not have a tracking system that documents those who are currently battered spouses. Similarly, the chronically ill number represents those with mental health issues. We do not have the capability of assessing the chronicity at the shelter.

Report Completed by (name and date): Meredith Damboise, 7/30/14

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EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	New Reach 153 East St. New Haven, CT 06511
Project Title & Address:	Life Haven 447 Ferry St. New Haven, CT 06519
Contract Number (CORE/POS)	093NHH-ESS-1 / 13DOH0101CC
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency shelter services for female headed households and pregnant women

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

20	White, Non-Hispanic
161	Black, Non-Hispanic
85	Hispanic
1	Asian/Pacific
3	American Indian / Alaskan Native
270	TOTAL
116	Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention
	other (*please list*):		

3. Number of people served for each activity:

Residential Services:		Non-residential services:	
Average number of adults daily	14	average number serviced daily	
Average number of children daily	23		
Average number served yearly	36		

<u>The following information is for residential services only:</u>

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	0%	Female	41%
Unaccompanied under 18	Male	0%	Female	0%

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Families with children headed by:

Single 18 and over	Male %	Female 100%
Youth 18 and under	0%	
Two parents 18 and over	0%	
Two parents under 18	0%	
Families with no children	3%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

36% (42)	battered spouses	1% (1)	drug dependent individuals
0%	runaway/throwaway youth	0	elderly
20% (23)	chronically mentally ill	0	veterans
4% (5)	developmentally disabled	3% (3)	physically disabled
0%	HIV/AIDS		other
0%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

	Barracks	36	Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$ 49,883.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
HUD	$ 10,346
City CDBG	$10,000
	$
	$
	$

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EMERGENCY SHELTER PROGRAM (ESG)
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City of New Haven	$93,420
CT Department of Housing	$353,523
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$ 364,077
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	
Other (United Way)	$ 30,000

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2013

CONTRACTOR NAME:	Manchester Area Conference of Churches, Inc.
CONTRACT NUMBER (CORE /POS):	077MAC-ESS-1 /13DOH0101BW
ESG SHARE OF CONTRACT:	$32, 897
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

All funding is in shelter operations

We are a forty bed shelter with ten women's beds and thirty men's beds. We provide a safe place to sleep and three meals a day, seven days a week. The goal of our shelter is to provide basic necessities while a person gets back on their feet. We are a clean and sober shelter. We supply free clothing for guests in need. Our guests have accessed the services of the local DSS office for medical insurance, and Supplemental Nutrition Assistance Program (SNAP) qualification.

Case management is offered to each guest at the shelter. This includes, but is not restricted to, needs assessment, crisis intervention, goal planning, monitoring, and encouraging guest's progress. Individual assistance plans are created with the guests who stay more than 2 nights and they are monitored carefully. Case management work is shared by the shelter floor managers and the case worker. Shelter floor managers provide a unique view of the guests since they are the people who spend the most time with them. Some of the best input from the guests is given at the entry and exit time of the shelter each day. We want our guests to have open access for case management as needed. For shelter guests seeking employment, treatment or housing there is telephone access and tokens or day passes are given for travel needs when available.

Our shelter continues to have access to a mental health clinician at least 18 hours a week through CHR. This provides timely and effective assessment and treatment of our guests' mental health issues. The benefits of on site assessment cannot be over stated. It helps the guests and the staff to have access to mental health expertise right on site. Guests who come into the shelter that already are being treated for mental health issues we try to keep with their present provider rather than start from scratch. Duplication of efforts is not our goal.

NUMBER OF CLIENTS SERVED (unduplicated count):	584

ADDITIONAL COMMENTS:

Our Emergency shelter focuses on the next thing needed by our guests to help them out of the situation they are in. Often times that is, help getting a copy of a birth certificate or a state picture id. These things can keep people from employment and self sufficiency. In the past year we have developed a simple questionnaire that people fill in when they first come to the shelter. It is a tool to help identify next steps needed. After getting the input from the guest we determine which area of concern will best serve the guests. We have groups that meet about employment, substance abuse treatment, housing, and mental health treatment.

The guests that are suffering from mental health issues are another challenge. We are still dealing with people who are not med. compliant but have a disability income and choose to spend it on other things than housing. There is a population of people who travel throughout the country who depend on homeless shelters to accommodate them. These are some of the people in the greatest need. We continue to collaborate with other local agencies to give the most complete service to our guests. We are using a universal housing application. We are working regionally on a coordinated access plan to help people get the correct services and not have to repeat their story too many times. Coordinated access is being implemented in our area of the state in October of this year.

Report Completed by (name and date): Sarah T. Melquist July 8, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Manchester Area Conference of Churches, Inc 466 Main St. Manchester, CT 06045
Project Title & Address:	MACC Charities Emergency Shelter
Contract Number (CORE/POS)	077MAC-ESS-1 /13DOH0101BW
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter Operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

343 White, Non-Hispanic

147 Black, Non-Hispanic

89 Hispanic

4 Asian/Pacific

1 American Indian / Alaskan Native

584 TOTAL

161 Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities

____ vouchers for shelters

____ drop-in-center

____ mental health

____ alcohol/drug program

____ child care

____ other ____

____ transitional housing

____ outreach

____ soup kitchen/meal distribution

____ HIV/AIDS services

____ employment

____ homeless prevention

3. Number of people served for each activity: N/A

Residential Services: Non-residential services:

Average number of adults daily ____ average number serviced daily ____

Average number of children daily ____

Average number served yearly ____

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 72.06% Female 27.94%

Unaccompanied under 18 ..... Male 0% Female 0%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by: Not applicable Adult Shelter Only

Single 18 and over	Male ____ %	Female ____ %
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

9.5%	battered spouses	*N/A	drug dependent individuals
0	runaway/throwaway youth	3.08%	elderly
39.89%	chronically mentally ill	7.67%	veterans
	developmentally disabled	9.07%	physically disabled
	HIV/AIDS		other
47.94%	*Alcohol/Drug dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

40	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$32,897

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$19,690
DHMAS	$48,889
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
DOH Grant (minus ESG funrds)	$141,309
Town of Manchester	$197,693
DSS SAGA	$ 43,309
Town of Bolton	$ 8,000
	$

NOTE Above funding is for all of MACC's Services

Private (including recipient)Funding:	Amount
Fund Raising/Cash	$ 676,761
Building Value or Lease	$1,059,731
Donated Goods	$ 35,059
Loans	$
New Staff Salaries	$
Volunteers ($5/hr)	$ 25,000
Volunteer Medical/Legal	

NOTE Above funding is for all of MACC's Services

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	New Opportunities, Inc. Shelter NOW 43 St. Casimir Dr., Meriden
CONTRACT NUMBER (CORE /POS):	13DOH0401CE
ESG SHARE OF CONTRACT:	$71,204.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Shelter NOW (New Opportunities, Inc.) will provide case management and counseling services to all homeless individuals living at the shelter. All shelter residents will receive services including, but not limited to, residential and outpatient mental health treatment; medical referrals; housing; employment assistance; vocational training; coordination of community service, substance abuse treatment, domestic violence abuse counseling; family counseling; educational; financial counseling; child care; security deposit; and rental assistance programs. Shelter NOW is an emergency shelter that houses men, women, and women with children. The shelter is in operation 365 days per year, 24 hours per day.

NUMBER OF CLIENTS SERVED (unduplicated count):	344

ADDITIONAL COMMENTS:

Report Completed by (name and date): Maria Wagner July 18, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	New Opportunities, Inc. , Shelter NOW, 43 St. Casimir Drive, Meriden, CT
Project Title & Address:	
Contract Number (CORE/POS)	13DOH0401CE
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

160 White, Non-Hispanic
84 Black, Non-Hispanic
96 Hispanic
2 Asian/Pacific
2 American Indian / Alaskan Native
344 TOTAL
18 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities	X	transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention

other (*please list*):

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	52	average number serviced daily	66
Average number of children daily	14		
Average number served yearly	344		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	59%	Female	41%
Unaccompanied under 18	Male	0 %	Female	0 %

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male	0%	Female	100%
Youth 18 and under		0%		
Two parents 18 and over		0%		
Two parents under 18		0%		
Families with no children		0%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

3%	battered spouses	33%	drug dependent individuals
0%	runaway/throwaway youth	2%	elderly
39%	chronically mentally ill	1%	veterans
4%	developmentally disabled	5%	physically disabled
1%	HIV/AIDS	0%	other
42%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

66	Barracks	0	Group/large house___
0	Scattered site apartment	0	Single family detached house
8	Single room occupancy	0	Mobile home/trailer
0	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$71204.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
City (Meriden)CDBG	$5,000
FEMA	$8,000
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	The Open Door Shelter, Inc. (Formerly Norwalk Emergency Shelter, Inc.)
CONTRACT NUMBER (CORE /POS):	103NES-ESS-11/10DSS3701UC
ESG SHARE OF CONTRACT:	$54695.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

During the fiscal year July 1, 2013 through June 30, 2014, Open Door Shelter (ODS) successfully provided 30,493 bed nights for homeless men, women, and families; served 133,078 meals to the impoverished from our soup kitchen, supplied 266,520 meals through our food pantry and granted various articles of clothing to needy community and Shelter residents. Case Management Services provided 1,483 homeless individuals, families and those at risk for homelessness with assistance including counseling, crisis intervention, goal planning, referrals to additional community support services, use of guest telephones, and access to shower and laundry facilities. Sixty nine percent (69%) of the shelter population represented diverse individuals with 100% falling below poverty levels.

The shelter's goal is to identify the causes of homelessness and develop a plan with the individual or family that is experiencing a crisis so that they are able to sustain permanent housing. This involves comprehensive case management to identify health/mental health needs, addiction issues, financial management skills, income and job possibilities and housing identification and transition support.

The shelter has completed the following activities to achieve effective results in homeless shelter and housing services:

- 80% of the staff completed Mental Health First Aide training to more effectively work with individuals who suffer from mental health issues.
- All case managers completed training on updates in the Homeless Management Information System and on HEARTH housing updates to effectively manage information related to each client and to understand and apply new housing standards in placing clients.
- Open Door Shelter staff provided leadership in documenting the most vulnerable homeless population and has subsequently applied the standards using an evidenced based vulnerability index to identifying the needs of individuals in order to support stabilized housing.
- Staff participated in weekly community care team meetings with a broad spectrum of community service providers to discuss homeless shelter client needs and the most effective collaboration for delivery of services. The weekly meetings are new collaboration to support care of chronically homeless individuals.
- Staff has provided leadership in developing additional housing opportunities through a Norwalk Housing First collaborative which is developing additional housing resources for Norwalk.
- Case management and homeless outreach practices have been evaluated and a need for additional case management staff identified in order to continue to provide comprehensive support for those in the shelter and those that need support following their placement in housing.
- Staff is collaborating with the Opening Doors project to develop a crisis response system for the Norwalk region that will use the 211 system for fast referral, diversion and response to someone facing homelessness or who is currently homeless. This diversion

ESG ANNUAL PERFORMANCE REPORT
2013

and collaborative response strategy will be completed by August 2014 and is designed to reduce the number of individuals that become homeless with diversion strategies and collaborate to house those who do become homeless	
NUMBER OF CLIENTS SERVED (unduplicated count):	381 in Shelter 1483 Homeless or living in poverty.
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Jeannette Archer-Simons, Interim Executive Director 07-29-2014	

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	The Open Door Shelter, Inc. (formerly Norwalk Emergency Shelter, Inc.)
Project Title & Address:	Emergency Shelter Services, 4 Merritt St. Norwalk, CT 06854
Contract Number (CORE/POS)	103NES-ESS-11/10DSS3701UC
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency Shelter Services. Provide safe and sanitary temporary emergency shelter and case management services for homeless individuals and families.

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

99 White, Non-Hispanic
179 Black, Non-Hispanic
96 Hispanic
2 Asian/Pacific
5 American Indian / Alaskan Native
381 TOTAL
23 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters	X	outreach
X	drop-in-center	X	soup kitchen/meal distribution
	mental health		HIV/AIDS services
X	alcohol/drug program	X	employment
	child care	X	homeless prevention

_____ other (*please list*): _____

3. Number of people served for each activity:

Residential Services:		Non-residential services:	
Average number of adults daily	61	average number serviced daily	35
Average number of children daily	13		
Average number served yearly	381		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	71%	Female	29%
Unaccompanied under 18	Male	71%	Female	29%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male	0%	Female	100%
Youth 18 and under		0%		
Two parents 18 and over		0%		
Two parents under 18		0%		
Families with no children		0%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

15%	battered spouses		drug dependent individuals
	runaway/throwaway youth	7%	elderly
25%	chronically mentally ill	7%	veterans
5%	developmentally disabled	5%	physically disabled
1%	HIV/AIDS		other
	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

95	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$54695

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$25,243
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City of Norwalk	$52,530
CHEFA	$75,000
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$450,000
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$292,000
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2014

CONTRACTOR NAME:	The Open Hearth Association, Inc.
CONTRACT NUMBER (CORE /POS):	064OHA-ESS-1/13DOH0101C1
ESG SHARE OF CONTRACT:	$ 37,767
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

The Open Hearth Association, Inc. operates a 25 bed Emergency Shelter 365 days per year. The hours of Operation are 3:30pm to 7:00am daily. Each Shelter guest is required to utilize the shower facilities daily and are provided with laundry services, dinner and breakfast as well as basic toiletries, tokens for job search and other transportation needs. For those Shelter residents who are employed the Open Hearth also offers the availability to sign up for a bagged lunch.

During this reporting period The Open Hearth Shelter provided services to 795 unduplicated individuals during this reporting period. The population served was men who were 18 years of age or older. There were 380 white men, 335 black men, 272 Hispanic men 16 Asian men and 64 American Indian/ Alaskan Native.

During the past year the Open Hearth continues to focus on addiction recovery. The Shelter staff conducts weekly presentations for our Shelter guests to inform and educate them in regards to admission to our Transitional Living Program as well as community resources. The Open Hearth provides our Shelter Guests the option of attending up to 6 (in house) 12 step recovery meetings weekly, transportation to 4 community recovery meetings and opportunities for spiritual practice. If a Shelter guest demonstrates he is committed to recovery, has a desire to work, and is interested in our Transitional Living Program, the Open Hearth also offers the "stay back option". Our Stay back option gives the men the opportunity to not have to return to the streets during the day. We believe this addition to our program has set us apart from other local entities. No longer does a man, who is ready to work on his recovery, have to be put back on the streets. Each Shelter guest has the option of working a recovery program and working with the Open Hearth daily in our work therapy program in efforts to move to our Transitional Living Program.

Open Hearth Shelter Monitors work with our Shelter guests to educate them on how to access community resources such as insurance, mental health, dental, medical, educational and housing services. In addition our shelter staff assists clients with social security cards, birth certificates, CT State ID's and clothing. During this reporting period we hired a new certified Clinical Case Manager who works daily with Shelter guests to provide counseling and connect both our Shelter residents and Transitional Living residents with outside community resources in the area of mental health.

The Shelter Manager and Shelter Monitors maintain and supervise the operations within the Emergency Shelter. The Shelter Monitors are on the front line and assign beds to new shelter guests admitted to shelter, complete intake assessments on all new shelter guests, enter all data into the HMIS data base, and report to the Shelter Manager all new

ESG ANNUAL PERFORMANCE REPORT
2014

admissions. The length of stay remains 15 days to accommodate the new definition of chronically homeless. Whenever a 15 day bed becomes available it is announced to the Shelter guests and the Shelter Manager is notified via e-mail of that opening and any turn way's due to the shelter being full from the night before. In addition, the Shelter Monitors watch the intake of all medication, ensure the cleanliness of the shelter, enforce all rules and regulations, maintain all necessary records, resolve any issues and seek guidance when appropriate from supervisor. Shelter monitors also refer clients to the Clinical Case Manager as needed. It is our main goal to maintain safety and security of all residents and staff alike.

NUMBER OF CLIENTS SERVED (unduplicated count):	795

ADDITIONAL COMMENTS:

1- Please note that the total number of race/ethnicity of unduplicated individuals served during the period is over 795 because the report through HMIS considers Hispanic an ethnicity. Of the 795 unduplicated individuals served a number of those clients (White, Black, Asian/Pacific and American Indian) 272 also reported themselves as Hispanic.

2- We reported 80 as "other" as the report in HMIS states we served 80 disabled individuals but did not identify whether they were developmentally disabled or physically disabled.

3- 245 Turn away's from July 1st 2013, through June 30th, 2014.

Report Completed by (name and date): Katherine Hannah, July 25th, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2014

Contractor Name & Address:	The Open Hearth Association, Inc.
Project Title & Address:	Emergency Shelter -150 Charter Oak, Hartford, Ct, 06106-5102
Contract Number (CORE/POS)	0640HA-ESS-1/13DOH0101C1
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

380 White, Non-Hispanic
335 Black, Non-Hispanic
272 Hispanic (counted as ethnicity)
16 Asian/Pacific
64 American Indian / Alaskan Native
795 TOTAL
____ Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities	X	transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
X	mental health		HIV/AIDS services
X	alcohol/drug program	X	employment
	child care		homeless prevention

____ other (*please list*): ____

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	25	average number serviced daily	25
Average number of children daily	0		
Average number served yearly	9,125		

<u>The following information is for residential services only:</u>

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	100%	Female	%
Unaccompanied under 18	Male	%	Female	%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over	Male 100%	Female %
Youth 18 and under	%	
Two parents 18 and over	%	
Two parents under 18	%	
Families with no children	%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

	battered spouses	224	drug dependent individuals
	runaway/throwaway youth	37	elderly
307	chronically mentally ill	56	veterans
	developmentally disabled		physically disabled
9	HIV/AIDS	80	other
224	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

x	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$37767.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$ 9,165
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
ST of CT-DOH	$170,465
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Private Funds	$36,780
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Operation Hope of Fairfield, Inc.
CONTRACT NUMBER (CORE /POS):	051OHF-ESS-1/13DOH0101CJ
ESG SHARE OF CONTRACT:	$24440.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Operation Hope operates sheltering and feeding programs through our Shelter for Men, Shelter for Women, Shelter for Families and Community Kitchen. Clinical case management services provided to each shelter guest are geared toward increasing each person's stability, self-reliance, and readiness for housing. Work is focused on personalized goals with the hope of obtaining more permanent housing. Typical goals include compliance with mental health/addiction treatment, applying for benefits when eligible and seeking employment. Families are offered additional supports for their children, including behavioral/mental health assessments, medical treatment, and working with schools. Shelter guests receive career counseling and basic job training, including access to computer labs for job searching, resume writing, and skill building. Clients also receive crisis intervention help and lease signing services. Life Skills Coaches assist guests in personal hygiene, self-advocacy, transportation access, medication, budgeting and money management, and coping and interpersonal skills.

NUMBER OF CLIENTS SERVED (unduplicated count):	175

ADDITIONAL COMMENTS: Each year the Operation Hope provides temporary shelter and tries to support our clients in connecting to housing. We also encourage people to connect to other services while trying to find housing, as these resources will help them remain stable once in their apartments. Below are some of the connections we find are working for our clients and where our clients have significant challenges:

Positives

- Southwest Community Health Services have increased their efficiency as well as their accessibility
- There have been several section 8 waitlists that have opened up
- Goodwill's and Fairfield Public Library's job search services have helped guests attain jobs through their various programs especially their resume assistance
- Having so many 12-step meetings in the area where guests can take control of their addiction issues and be supported by their peers
- Many more of the guests are seeking mental health support and sticking with it

Challenges

- Disability
  - Doctors' offices not submitting their paperwork when requested by patient or DSS
  - Methadone clinics, therapists, and other doctors who refuse to fill out disability paperwork or charge clients fees that they do not have to complete the forms
- DSS
  - Redeterminations are sent in but they get lost and people have their benefits cut off
  - Other paperwork sent in or faxed in that they say they never received
  - SAGA not getting back to people and just denying them
- Logisticare
  - Showing up late or not at all so that guests miss their appointments
  - Not giving people the appropriate transportation so they miss crucial appointments
- Problem finding providers that will take Medicaid for both specialty doctors as well as primary care doctors that are not in a clinic setting
- Not enough long term facilities/programs for people with substance abuse who continually struggle with using and relapse
- Employment options are hard to find for people with criminal records
- The housing process is still very slow

Report Completed by (name and date): Jackie Janosko July 29, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Operation Hope of Fairfield, Inc. 636 Old Post Road, Fairfield, CT 06824
Project Title & Address:	Emergency Shelter Program 50 Nichols St., Fairfield, CT 06824
Contract Number (CORE/POS)	051OHF-ESS-1/13DOH0101CJ
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency Shelter Essential Services

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

81 White, Non-Hispanic
70 Black, Non-Hispanic
31 Hispanic
0 Asian/Pacific
1 American Indian / Alaskan Native
175 TOTAL
59 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters	X	outreach
X	drop-in-center	X	soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program	X	employment
	child care	X	homeless prevention

_____ other (*please list*): _____

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	26	average number serviced daily	30
Average number of children daily	4		
Average number served yearly	175		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	66%	Female	34%
Unaccompanied under 18	Male	%	Female	%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male ____ %	Female 100%
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

2%	battered spouses	10%	drug dependent individuals
	runaway/throwaway youth	3%	elderly
42%	chronically mentally ill	3%	veterans
2%	developmentally disabled	5%	physically disabled
2%	HIV/AIDS		other
10%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

32	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$24,440

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
Town of Fairfield, CDBG	$10,000
FEMA	$9,118
	$
	$
	$

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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$180,000
Donated Goods	$180,675
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$16,100
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Recovery Network of Programs, Inc.: Prospect House Shelter
CONTRACT NUMBER (CORE /POS):	126RNP-MIS-1/13DOH0401CL
ESG SHARE OF CONTRACT:	$54,698.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

All funds go towards shelter operations:

Prospect House Shelter (PHS) provides emergency shelter and support services to 37 adult individuals on a daily basis. Residents receive 3 meals daily and we have a dayroom open for residents during business hours.

We are committed to providing quality services to meet individuals' basic needs. Residents are provided with comprehensive case management services to help them achieve their goals and become self-sufficient, including:

- Initial assessment, participant-focused recovery plans, and weekly individual sessions.
- Groups are offered on a range of topics, including: life skills, daily planning, co-occurring education/support, healing trauma, smoking cessation, spirituality, AA/NA meetings, etc.
- On-site psychiatric services are available for evaluations and medication management until community mental health services can be established.
- PHS is able to provide on-site medical services through a collaborative relationship with Southwest Community Health Center or participants are encouraged to engage in medical services if they had their own doctors prior to admission.
- Referrals for substance abuse services can be made within agency or to other community providers. PHS has an on-site intensive outpatient program offered in the AM and PM.
- We promote employment by helping people obtain work or education/training opportunities that will increase access to work. We assist residents in referring to employment agencies, finding work, and job readiness by helping them with resume writing, interviewing, attire for interviews, and completing applications.
- Assist residents in attaining entitlements (e.g.: health insurance, food stamps, and disability) and obtain identifications (e.g.: DMV identifications, birth certificates, and social security cards).
- Assist in the process of finding and securing housing. We help residents with applications, determining what housing options they have, and promote money management.
- We offer free HIV testing and HIV/AIDS counseling and referrals to community resources as well as our agency's Special Populations (Ryan White) program.
- Transportation assistance and referrals to other community resources, including recreational activities or community events.

NUMBER OF PARTICIPANTS SERVED (unduplicated count):	256

ESG ANNUAL PERFORMANCE REPORT
2013

ADDITIONAL COMMENTS:

Prospect House Shelter is a division of Recovery Network of Programs, Inc., which provides addiction and residential services to the Greater Bridgeport community. The agency provides services in the following areas, which our participants have access to:

- Medication-Assisted Treatment programs for opiate-addicted persons with outreach services for people with HIV/AIDS
- Residential Detoxification Unit
- Outpatient Treatment Programs
- Residential Inpatient Treatment Programs
- Psychiatric residential rehabilitation facilities, recovery housing, and permanent/transitional housing for the chronically homeless

Report Completed by: Cheryl Bell and Lindsey Day 07/2014

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EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	Recovery Network of Programs, Inc. 2 Trap Falls Road Suite 405, Shelton, CT 06484
Project Title & Address:	Prospect House Shelter 392 Prospect Street, Bridgeport, CT 06604
Contract Number (CORE/POS):	126RNP-MIS-1/13DOH0401CL
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	All funds are used solely for shelter operations.

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

119	White, Non-Hispanic
109	Black, Non-Hispanic
69	Hispanic
0	Asian/Pacific
3	American Indian / Alaskan Native
25	*(Identified "other" or "more than one race")*
256	**TOTAL (unduplicated)**
67	Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities	X	transitional housing
	vouchers for shelters		outreach
	drop-in-center	X	soup kitchen/meal distribution
X	mental health	X	HIV/AIDS services
X	alcohol/drug program		employment
	child care		homeless prevention

other (*please list*):

3. Number of people served for each activity:

Residential Services:		Non-residential services:	
Average number of adults daily	37	Average number serviced daily	n/a
Average number of children daily	n/a		
~~Average~~ Number served yearly	256		

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EMERGENCY SHELTER PROGRAM (ESG)
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The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	74 %	Female	26 %
Unaccompanied under 18	Male	0 %	Female	0 %

Families with children headed by: *Not Applicable to Program*

Single 18 and over	Male	n/a %	Female	n/a %
Youth 18 and under		n/a %		
Two parents 18 and over		n/a %		
Two parents under 18		n/a %		
Families with no children		n/a %		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

4 %	battered spouses	73 %	drug dependent individuals
n/a	runaway/throwaway youth	3 %	Elderly
52 %	chronically mentally ill	2 %	veterans
0.4 %	developmentally disabled	17 %	physically disabled
11 %	HIV/AIDS		other
(see next)	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

37	Barracks	n/a	Group/large house
n/a	Scattered site apartment	n/a	Single family detached house
n/a	Single room occupancy	n/a	Mobile home/trailer
n/a	Hotel/motel		

Other (describe) _____

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

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EMERGENCY SHELTER PROGRAM (ESG)
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ESG Funds	$54,698.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	$
HOPWA (BPT)	$94,310.00
	$
	$
	$

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
DMHAS	$62,013.00
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2014

CONTRACTOR NAME:	Shelter For The Homeless – Pacific house
CONTRACT NUMBER (CORE /POS):	Emergency Shelter 597 Pacific St. Stamford, CT. 06902
ESG SHARE OF CONTRACT:	135SHI-ESS-01/13DOH0101CN $ 97,019.00
BUDGET PERIOD:	July 1, 2014 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Funds go towards essential services and shelter operations

Shelter for the Homeless (SFH) offers a range of housing and case management program interventions that provide outreach, case management, vocational "job readiness" and supportive housing. Each program is designed to address the special needs of homeless individuals and SFH fulfills its mission through three basic program activities:

- Providing emergency food and shelter for approximately 90 homeless individuals each day and serving nearly 500 homeless individuals annually. The Shelter operates 24 hours a day year round and provides 82 beds with an overflow capacity of 110. The Shelter has 67 regular beds and can accommodate up to 110 clients during extreme weather conditions and in emergencies. Breakfast and dinner are provided daily at the Shelter Pacific Street facility and the availability of meals and social services insures frequent face-to-face contact with clients and continuity of relationships critical to providing direct services and linkage. For the last reporting year ending 2014, the Emergency Shelter Program served 513 individual clients and provided over 52,576 meals.
- Recovery Advocate Program (RAP), serves 296 individuals each year and provides HIV prevention, linkage and outreach to individuals with mental illness, co-occurring, substance abuse, HIV infection and HIV "at –risk" population. The RAP carries a cellular phone with direct access to SFH, Inc. Client Service Advocate (front line staff) for emergency situations involving individuals with HIV and substance abuse issues. RAP utilizes the SFH van on a daily basis and collaborates with SHD's Prevention Services Program outreach workers, prevention case managers, and HIV prevention counselors to provide services to the target population at 12 different sites in the greater Stamford area. These services include: AIDS outreach, Needle Exchange Program, AIDS Risk Reduction and Education, HIV testing, counseling and condom distribution. Street outreach, education, and engagement strategies are coordinated with SHD, the local Ryan White Program (Stamford Cares) and 15 other treatment programs that offer testing, education, support services, health care, transportation, and referral services.
- The SFH Drop-in Day Program offers a variety of support services, including HIV prevention, intensive case management, educational, job training and placements, substance abuse counseling referrals, housing placements, mental health screening, and transportation. In FY14, SFH provided emergency shelter to 513 individuals. The Drop-in Day Program provided initial HIV screening to nearly all of the 513 unduplicated clients served by SFH. The intensive case management offered through this program provides immediate assistance to clients to find suitable housing and direct services to address health, vocational and social needs of SFH clients. For example, large percentages (85%) of clients have serious health issues, including mental health and/or substance abuse and HIV infection that become either exacerbated or the cause of homelessness. The daily "drop-in" program integrates health, vocational, and social services designed to increase independence and self sufficiency. Key funding partners include the CT Dept. of Mental Health and Addiction Services (DMHAS), the CT Dept. of Public Health, HUD, Stamford Department of Health, CT Dept of Social Services, United Ways of Western CT and Optimus Healthcare who provides medical triage and "fast-track" referrals that allow greater access to medical services including HIV treatment.
- Workforce Development services that assist homeless individuals achieve greater self sufficiency. Career Development Specialist prepares clients for employment by developing basic employment skills and then assists clients to find stable, permanent positions with follow-along support once the individual is employed. On-site training in English as a Second Language (ESL) and computer skills is

also provided.

- Permanent supportive housing to create housing stability. This strategy directly addresses the problem of homelessness through housing and community development and drives the SFH mission beyond remedial services and creates a new priority for developing permanent housing with residential and social supports homeless individuals typically need to maintain their housing. SFH has collaborated with community partners to complete two successful HUD supported housing projects and has a third project underway that will provide 7 new units of permanent housing with unique mental health and residential support services targeted to the "chronically homeless" with serious mental illness, i.e. homeless individuals with more than one year of homelessness and assigned a diagnoses of a major mental illness such as schizophrenia or bi-polar disorder. This housing specifically addresses one of the most serious housing shortages identified in the Stamford's Ten Year Plan to End Homelessness.

NUMBER OF CLIENTS SERVED (unduplicated count):	513

ADDITIONAL COMMENTS:

N/A

Report Completed by (name and date): Jerome Roberts, Associate Director, July 25, 2014

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	Shelter For The Homeless – Pacific house
Project Title & Address:	Emergency Shelter 597 Pacific St. Stamford, CT. 06902
Contract Number (CORE/POS)	135SHI-ESS-01/13DOH0101CN
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter operations

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

164 White, Non-Hispanic

195 Black, Non-Hispanic

150 Hispanic

2 Asian/Pacific

2 American Indian / Alaskan Native

513 TOTAL

0 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities	X	transitional housing
	vouchers for shelters	X	outreach
X	drop-in-center	X	soup kitchen/meal distribution
X	mental health	X	HIV/AIDS services
X	alcohol/drug program	X	employment
	child care	X	homeless prevention

____ other (*please list*): ____

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	67	average number serviced daily	67
Average number of children daily	0		
Average number served yearly	513		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	100%	Female	0%
Unaccompanied under 18	Male	0%	Female	0%

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EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male	0%	Female	0%
Youth 18 and under		0%		
Two parents 18 and over		0%		
Two parents under 18		0%		
Families with no children		0%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

0	battered spouses	2.36	drug dependent individuals
0	runaway/throwaway youth	5	elderly
12.87	chronically mentally ill	5.72	veterans
0	developmentally disabled	1.17	physically disabled
0.2	HIV/AIDS		other
2.36	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

513	Barracks	0	Group/large house___
0	Scattered site apartment	0	Single family detached house
0	Single room occupancy	0	Mobile home/trailer
0	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$97,019.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$16,300.00
PATH	$ 49,002.00
STAMFORD CDBG	$36,000.00
GREENWICH CDBG	$17,500.00
	$

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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$
Building Value or Lease	$
Donated Goods	$ 148,000
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	South Park Inn, Inc.
CONTRACT NUMBER (CORE /POS):	#: 064SPI-ESS-1 #: 13DOH0101C0
ESG SHARE OF CONTRACT:	$76,332
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Funds go towards, essential services provided to individuals and their families, such as case management, employment assistance, and life skills training. ESG funds are also used to pay for shelter operations and supplies.

NUMBER OF CLIENTS SERVED (unduplicated count):	1064

ADDITIONAL COMMENTS:

I ran the DOH and ESG reports on the EMC program to get the attached stats.

Report Completed by (name and date): Mary A. Vazquez, August 1, 2014

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EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	South Park Inn, Inc. 75 Main Street, Hartford, CT 06106
Project Title & Address:	Emergency Shelter – 75 Main Street, Hartford, CT 06106
Contract Number (CORE/POS)	064SPI-ESS-1 13DOH0101C0
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Emergency Shelter

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

545 White, Non-Hispanic

460 Black, Non-Hispanic

____ Hispanic **(337) no break dwn on rpt**

15 Asian/Pacific

13 American Indian / Alaskan Native

19 Multi Race **(I would never report)**

12 Don't Know/Refuse **(I would never report – this was extracted from other shelters – Not SPI)**

1064 TOTAL (does not include 53 kids/has no break dwn for kids)

39 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities	X	transitional housing
	vouchers for shelters	X	outreach
	drop-in-center		soup kitchen/meal distribution
X	mental health		HIV/AIDS services
X	alcohol/drug program	X	employment
	child care	X	homeless prevention

X other (*please list*): Budgeting class, Hartford Father Initiative program and VA drop-in-center.

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily ____ average number serviced daily ____

Average number of children daily ____

Average number served yearly ____

<u>The following information is for residential services only:</u>

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On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	73%	Female	23%
Unaccompanied under 18	Male	%	Female	%

Families with children headed by:

Single 18 and over	Male	0%	Female	4%
Youth 18 and under		%		
Two parents 18 and over		%		
Two parents under 18		%		
Families with no children		%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

	battered spouses		drug dependent individuals
	runaway/throwaway youth	52	elderly
444	chronically mentally ill	127	veterans
8	developmentally disabled	66	physically disabled
8	HIV/AIDS		other
61	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

85	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$76,332

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	$
	$
	$

$

$

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State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Donations	$34,898
Building Value or Lease	$
Donated Goods	$41,434
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	
Loans	$

ESG ANNUAL PERFORMANCE REPORT
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CONTRACTOR NAME:	Inspirica, Inc. (Formerly St. Luke's Community Services)
CONTRACT NUMBER (CORE /POS):	13DOH0401BR/135II-MIS-01
ESG SHARE OF CONTRACT:	$52,856
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Inspirica provides services for single adults (Woman's Housing Program). The Woman's Housing Program is in operation 24 hours per day, seven days a week. Case management services provided to each client include an initial assessment at intake and a case plan is developed and agreed upon between the case manager and the client. Case managers meet with clients in their assigned case load each week to discuss the client progress in the program. The goals of the program are geared toward employment and housing and case managers assist clients in utilizing additional support services including mental health treatment, substance abuse services and healthcare.

Educational programs are offered for the children of our Family Housing Program through our Children's Services Program, which acts as a direct liaison between the children/parents and public or private school system. We provide after-school programming through our Youth Center, which just completed its third year. The Youth Center provides homework help, structured activities focusing on self-empowerment, physical activities, a healthy snack, and educational field trips that include the parents. To further meet the needs of the children we serve, we have continued our strategic partnerships with select community providers of services for children. Our strategic partnership with Childcare Learning Centers (which runs the Head Start and Early Head Start programs in our area) ensures that 100 percent of our children who are eligible for Head Start are enrolled in Head Start (or its equivalent). Our strategic partnership with the Child Guidance Center of Connecticut (CGC) helps children suffering from the stress associated with losing their homes, needing to change schools, being part of fractured families (with one or more parents suffering from drug/alcohol or other abuse, or incarceration) by providing professional, community-based mental health diagnostic, treatment and preventive services for children and their families. Coordination meetings between Inspirica staff and the strategic partners occur regularly, ensuring information sharing and coordination of services.

The Woman's Housing Program provides breakfast and dinner to participants seven days a week. All participants are provided with bedding and basic toiletries. Clients participate in monthly house meetings that provide updates and information about the program and provide clients with the opportunity to voice their thoughts and opinions. Clients are also invited to participate in evening programs hosted by community programs and monthly bingo gatherings. This program year we served 152 individuals.

Another major initiative of Inspirica is our Jumpstart Education Program. Jumpstart is an Education Program serving participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness. We finished our inaugural year, serving 98 individuals with 74% of those completing obtaining employment.

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2014

Participants also take advantage of job placement assistance through our Jumpstart Employment Program. This last year the Jumpstart Employment Program made 191 job placements across the agency, and an average starting salary of $11.18/hour, both new records!

Jumpstart is the cornerstone of Inspirica's fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, *Jumpstart* is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates "splitting" (when clients play off caseworkers against each other). Additionally, Inspirica provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, and Retention Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.

NUMBER OF CLIENTS SERVED (unduplicated count):	152 - Women's Housing 117 – Family Housing

ADDITIONAL COMMENTS:

We continue to strengthen the Family Housing and Children's Services programs, with staff upgrades and new activities. The Family Housing Program has undergone significant upgrades to the kitchens and program layout, with dedicated dining areas, increased cabinet space in the kitchens, and updated closet areas. The case manager office has also been relocated to within the residential suite for better staff availability to the clients.

Marvette Clemons is our new Program Manager for the Woman's Housing Program. Marvette has a Master's Degree in Social Work and experience working with clients dealing with mental health issues, having been promoted from her role as case manager.

Olga Beaudoin has also joined the Woman's Housing Program team as the case manager. Olga is bilingual and comes to the position with significant experience, having worked in shelters and with individuals who have experienced homelessness and domestic violence, in particular.

Report Completed by (name and date): Noel Kammermann, CPO, 07/30/2014

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EMERGENCY SHELTER PROGRAM (ESG)
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Contractor Name & Address:	Inspirica (Formerly St. Luke's Community Services, d.b.a. St. Luke's Lifeworks)
Project Title & Address:	Family Housing Program, 141 Franklin Street, Stamford, CT 06901 & Women's Housing Program, 8 Woodland Place, Stamford, CT 06902
Contract Number (CORE/POS)	13DOH0401BR/135II-MIS-01
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	See Attached

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

79 White, Non-Hispanic

126 Black, Non-Hispanic

55 Hispanic

6 Asian/Pacific

3 American Indian / Alaskan Native

269 TOTAL

37 Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program	X	employment
X	child care		homeless prevention

X other (*please list*): Transportation and Meal Provision (Women's Housing Program)

3. Number of people served for each activity:

Residential Services:		Non-residential services:	
Average number of adults daily	32	average number serviced daily	45
Average number of children daily	13		
Average number served yearly	269		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 0% Female 100%*

*Women's Shelter

Unaccompanied under 18 ..... Male 0% Female 0%

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EMERGENCY SHELTER PROGRAM (ESG)
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Families with children headed by:

Single 18 and over	Male	2%	Female	86%
Youth 18 and under		0%		
Two parents 18 and over		12%		
Two parents under 18		0%		
Families with no children		0%		

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

26%	battered spouses	31%	drug dependent individuals
0%	runaway/throwaway youth	2%	elderly
47%	chronically mentally ill	2%	veterans
2%	developmentally disabled	22%	physically disabled
1%	HIV/AIDS		other
31%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

X	Barracks (Women's Shelter)		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
X	Other (describe)	Dormitory (Family Housing – Emergency Shelter)	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	~~$1,783,578~~ 52896.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	$ 13,617
HUD	$392,895
DSS TLP	$209,735
DSS ESS	$421,823
Stamford CDBG	$ 18,000
Stamford Yr39	$ 36,940

Greenwich CDBG	$ 15,000

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Client/Tenant Fees	$ 39,261
Fundraising/Cash/Matching	$511,924
Contributions/Events	$124,383
Loans	$
Building Value or Lease	$
Donated Goods	$
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	**St. Vincent DePaul Mission of Bristol, Inc.**
CONTRACT NUMBER (CORE /POS):	**017SVD-MIS-1 / 13DOH0401CI**
ESG SHARE OF CONTRACT:	**$ 26,987.00**
BUDGET PERIOD:	**July 1, 2013 – June 30, 2014**

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

All Funds go towards Shelter Operations

Emergency Shelter – The St. Vincent DePaul Mission of Bristol shall provide decent, save and sanitary

Temporary emergency shelter and case management service to homeless individuals, at least 18 years or older or emancipated minors and families.

ESG Funds were used for the following Operational Line Item Costs:

A. Facility Cook Wages	**710.00**
B. Utilities	**$ 21,135.00**
C. Insurance	**$ 5,047.00**
Total	**$ 26,987.00**

NUMBER OF CLIENTS SERVED (unduplicated count):	**230**

ADDITIONAL COMMENTS:

Report Completed by (name and date): **Phillip J. Lysiak** **July 31, 2014**

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	**St. Vincent DePaul Mission of Bristol, Inc.**
Project Title & Address:	**Emergency Shelter – 19 Jacobs St. Bristol, CT 06010**
Contract Number (CORE/POS)	**017SVD-MIS-1 / 13DOH0401CI**
Report Covers the period:	**July 1, 2013 – June 30, 2014**
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	**Emergency Shelter**

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

180	White, Non-Hispanic
23	Black, Non-Hispanic
25	Hispanic
2	Asian/Pacific
	American Indian / Alaskan Native
230	TOTAL
10	Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention

other (*please list*):

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	**23**	average number serviced daily	**25**
Average number of children daily	**2**		
Average number served yearly	**363**		

<u>The following information is for residential services only:</u>

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male **66 %**	Female **34 %**
Unaccompanied under 18	Male **%**	Female **%**

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male ___%	Female 90 %
Youth 18 and under	___%	
Two parents 18 and over	___%	
Two parents under 18	___%	
Families with no children	10 %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

1	battered spouses	6	drug dependent individuals
	runaway/throwaway youth	1	elderly
9	chronically mentally ill	1	veterans
1	developmentally disabled	3	physically disabled
	HIV/AIDS		other
3	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

25	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$ 26,987.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA	**$ 9,442.00**
	$
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
City of Bristol	**$ 17,050.00**
Department of Housing	**$ 248,760.00**
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Reserve, United Way, AAA	**$ 91,164.00**
Building Value or Lease	$
Donated Goods	**$ 20,425.00**
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013 2014

CONTRACTOR NAME:	St. Vincent DePaul Waterbury
CONTRACT NUMBER (CORE /POS):	10DSS3701YJ/151-VDP-ESS-47
ESG SHARE OF CONTRACT:	~~$77,569~~ $2740.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

Funds are used for program a percentage of staff salaries, related payroll taxes, health insurance, and Auto Lease.

NUMBER OF CLIENTS SERVED (unduplicated count):	675

ADDITIONAL COMMENTS:

Report Completed by (name and date): Paul Iadarola Executive Director 07/01/2014

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	St. Vincent DePaul Mission of Waterbury, inc.
Project Title & Address:	The Shelter
Contract Number (CORE/POS)	10DSS3701YJ/151-VDP-ESS-47
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Funds go towards essential services, shelter operations and administration

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

233 White, Non-Hispanic

192 Black, Non-Hispanic

221 Hispanic

7 Asian/Pacific

4 American Indian / Alaskan Native

675 TOTAL

52 Number of Female headed households

2. Indicate type of project(s) and service(s):

XX	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health		HIV/AIDS services
	alcohol/drug program		employment
	child care		homeless prevention

_____ other (*please list*): _____

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	70	average number serviced daily	79
Average number of children daily	9		
Average number served yearly	786		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	64%	Female	36%
Unaccompanied under 18	Male	%	Female	%

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male ____ %	Female 100%
Youth 18 and under	____ %	
Two parents 18 and over	____ %	
Two parents under 18	____ %	
Families with no children	____ %	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

1%	battered spouses	23%	drug dependent individuals
	runaway/throwaway youth	3%	elderly
38%	chronically mentally ill	1%	veterans
1%	developmentally disabled	1%	physically disabled
2%	HIV/AIDS		other
27%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

786	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	~~$77,569.~~ 82740

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
FEMA ESFSG	$22,569
	$
	$
	$
	$

DEPARTMENT OF SOCIAL SERVICES
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Sate of CT DOH	$449,886
Waterbury Development Corp	$ 72,000
	$
	$
	$

Private (including recipient) Funding:	Amount
Fund Raising/Cash	$ 330,100
Loans	$
Building Value or Lease	$325,000
Donated Goods	$34,600
Donated Computers	$
New Staff Salaries	$
Volunteers ($5/hr)	$4,000
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2014

CONTRACTOR NAME:	Thames Valley Council for Community Action, Inc.
CONTRACT NUMBER (CORE /POS):	104C-ESS-24
ESG SHARE OF CONTRACT:	$72,681
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

The TVCCA Home Again Project is a 25-bed facility serving families with a least one minor child. The shelter provides temporary housing with a rapid re-housing program. The goal is to have families and in particular, children, spend as little time in emergency housing as possible. There are three meals per day plus snacks served to residents. There is a case manager on staff to assist residents with any concerns, from the search for permanent housing to registering their children in school. Residents are assisted with housing searches, obtaining furniture, enrolling children in Head Start and signing up for other benefits such as the WIC program. There is a Birth to Three parenting class at the shelter. Clients attend weekly residents' meeting to discuss any issues concerning shelter life. In collaboration with the Connecticut Department of Mental Health and Addiction Services, a mental health case manager visits the shelter weekly.

The primary objective of the shelter is to provide rapid re-housing services to families in crisis and to have them return to the larger community within a 30 day time period.

Shelter users primarily come from towns in New London and Windham Counties. Personal health items are provided as well as necessary clothing to enable clients to maintain basic personal hygiene. There are laundry facilities at the shelter. The shelter is fully accessible.

Recently the shelter has been the recipient of a few small grants to assist residents to quickly move out of the shelter and back into the larger community.

NUMBER OF CLIENTS SERVED (unduplicated count):	103

ADDITIONAL COMMENTS:

None at this time other than to express our appreciation to the Department Of Housing and staff as we continue to evolve our programming to reach the goal of ending homelessness.

Report Completed by (name and date): Lisa L. Shippee and Ida Parker July 30, 2014

DEPARTMENT OF HOUSING
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2014

Contractor Name & Address:	Thames Valley Council for Community Action, Inc.
Project Title & Address:	TVCCA Home Again Project, 401 W. Thames St., Norwich, CT 06360
Contract Number (CORE/POS)	104C-ESS-24
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	Shelter and rapid re-housing for homeless families with at least one minor.

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

47 White, Non-Hispanic

31 Black, Non-Hispanic

25 Hispanic

0 Asian/Pacific

0 American Indian / Alaskan Native

103 TOTAL

27 Number of Female headed households

2. Indicate type of project(s) and service(s):

X emergency shelter facilities

____ transitional housing

____ vouchers for shelters

____ outreach

____ drop-in-center

____ soup kitchen/meal distribution

____ mental health

____ HIV/AIDS services

____ alcohol/drug program

____ employment

____ child care

____ homeless prevention

X other (*please list*): Rapid Re-housing Program

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily 5 average number serviced daily 5

Average number of children daily 11

Average number served yearly 103

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over ..... Male 0% Female 0%

Unaccompanied under 18 ..... Male 0% Female 0%

DEPARTMENT OF HOUSING
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2014

Families with children headed by:

Single 18 and over	Male 1%	Female 82%
Youth 18 and under	0%	
Two parents 18 and over	17%	
Two parents under 18	0%	
Families with no children	0%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

18%	battered spouses	3%	drug dependent individuals
0%	runaway/throwaway youth		elderly
15%	chronically mentally ill		veterans
0%	developmentally disabled	2%	physically disabled
0%	HIV/AIDS		other
8%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

	Barracks		Group/large house___
	Scattered site apartment		Single family detached house
	Single room occupancy		Mobile home/trailer
	Hotel/motel		
____	Other (describe)	25 in emergency shelter with individual family rooms.	

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$72,681

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
	$0
	$
	$
	$
	$

DEPARTMENT OF HOUSING
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2014

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
	$0
	$
	$
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	Amount
Loans	$0
Building Value or Lease	$0
Donated Goods	$0
Donated Computers	$0
New Staff Salaries	$0
Volunteers ($5/hr)	$0
Volunteer Medical/Legal	

ESG ANNUAL PERFORMANCE REPORT
2013

CONTRACTOR NAME:	Tri-Town Shelter Services, Inc.
CONTRACT NUMBER (CORE /POS):	146TTS-ESS-16 / 10DSS3702AO
ESG SHARE OF CONTRACT:	$26,267.00
BUDGET PERIOD:	July 1, 2013 – June 30, 2014

DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)

We are a fifteen bed emergency shelter serving homeless families and individuals. In addition to shelter services, we also provide comprehensive case management and support services that address the contributing factors resulting in one's homelessness. Every client meets weekly with our Service Coordinator to define an Individualized Service Plan. To ensure follow-through, advocacy and referral services are available to each client.

In an effort to promote a clients self-resiliency, self-regulation, and self-determination, we utilize numerous local service providers, as well as offering a wide range of adult daily life skills. Internally, we offer a closed, 12-Step recovery meeting and also have numerous community-based facilities that offer 12-step meetings as well. Bi-weekly Perceptions Program from Willimantic sends a team to conduct in-services on AIDS/HIV/STD's, along with offering free HIV testing and referral services. We also utilize other community-based organizations for in-services on a wide range of pertinent topics.

We also have a multi-purpose room that houses our computer lab, resource library, and serves as both a conference room for case management reviews and confidential counseling. Finally, there are numerous assessment tools in the multi-purpose room that assist clients in their recovery path, mental health challenges, workforce readiness and educational competencies.

NUMBER OF CLIENTS SERVED (unduplicated count):	**129**

ADDITIONAL COMMENTS:

Report Completed by (name and date): Pieter Nijssen, Executive Director July 08, 2014

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Contractor Name & Address:	Tri-Town Shelter Services, Inc. 93 East Main Street Vernon, CT 06066
Project Title & Address:	Emergency Shelter Services
Contract Number (CORE/POS)	146TTS-ESS-1/13DOH0101CZ
Report Covers the period:	July 1, 2013 – June 30, 2014
Activity Name & Description (*See the attached ESG Annual Performance Report aka ESG short form for description*)	

Please complete the following items and submit with the initial reimbursement request in each annual reporting period.

1. Race/Ethnicity of persons served by the project. (Report actual numbers)

81	White, Non-Hispanic
31	Black, Non-Hispanic
17	Hispanic
0	Asian/Pacific
0	American Indian / Alaskan Native
129	TOTAL
19	Number of Female headed households

2. Indicate type of project(s) and service(s):

X	emergency shelter facilities		transitional housing
	vouchers for shelters		outreach
	drop-in-center		soup kitchen/meal distribution
	mental health	X	HIV/AIDS services
	alcohol/drug program		employment
	child care	X	homeless prevention
	other (*please list*):		

3. Number of people served for each activity:

Residential Services: Non-residential services:

Average number of adults daily	12	average number serviced daily	12
Average number of children daily	3		
Average number served yearly	129		

The following information is for residential services only:

On an average day in the immediately past reporting period, please provide approximate percentages of the following project participants:

Unaccompanied 18 and over	Male	49%	Female	51%
Unaccompanied under 18	Male	0%	Female	0%

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

Families with children headed by:

Single 18 and over	Male 4%	Female 96%
Youth 18 and under	0%	
Two parents 18 and over	0%	
Two parents under 18	0%	
Families with no children	0%	

On an average day in the immediately past reporting period, provide the percentage of the population served who are:

0%	battered spouses	46%	drug dependent individuals
0%	runaway/throwaway youth	0%	elderly
66%	chronically mentally ill	2%	veterans
0%	developmentally disabled	4%	physically disabled
3%	HIV/AIDS	N / A	other
49%	alcohol dependent individuals		

4. Please indicate the number of persons housed at any given time in each shelter type funded through the ESG program.

Shelter type Number of persons housed:

0	Barracks	0	Group/large house___
0	Scattered site apartment	0	Single family detached house
15	Single room occupancy	0	Mobile home/trailer
0	Hotel/motel		
	Other (describe)		

5. Detailed ESG Project Match: For reporting to HUD at the end of the year, indicate the specific sources and amounts of matching funds for your ESG projects:

ESG Funds	$26,267.00

SOURCES OF LOCAL MATCH:
Other Federal (including pass-through funds, e.g., CITY CDBG, County FEMA, etc.)

Local Match (federal)	Amount
F.E.M.A.	$10,224.00
State of CT Dept. of Housing	$131,726.00
	$
	$
	$

DEPARTMENT OF Housing
EMERGENCY SHELTER PROGRAM (ESG)
ANNUAL REPORT - 2013

State/ Local Government Funding (e.g., State Housing Trust Funds, Local Assessment, etc.)

Local Match (state/local)	Amount
Town of Ellington	**$500.00**
Town of Vernon	**$4,500.00**
The United Way	**$7,500.00**
	$
	$

Private (including recipient) Funding:

Fund Raising/Cash	**$115,000.00**
Loans	**$ 0**
Building Value or Lease	**$450,000.00**
Donated Goods	**$ 0**
Donated Computers	**$ 0**
New Staff Salaries	**$ 0**
Volunteers ($5/hr)	**$ 0**
Volunteer Medical/Legal	**$ 0**

ESG CAPER

Run By userAIDSCT - AIDS CT (ACT) on 8/13/2014 10:52:06 AM

Report Period.....	7/1/2013 - 6/30/2014
Program.....	Thames River Community Service,
Organization(s).....	New Reach (NHHR), New Opportunit

CT HMIS
Measuring Success

Sub Report Drilldown	A	B	C	D	E
Program Type	Homeless Prevention	Rapid Re-Housing	Shelter	Outreach	Total
Distinct Client Count	0	1220	0	0	1220

Table 4	A	B	C	D	E
	Homeless Prevention	Rapid Re-Housing	Shelter	Outreach	Total
Adults	0	664	0	0	664
Children	0	556	0	0	556
Don't Know/Refused	0	0	0	0	0
Missing Information	0	0	0	0	0
Total	0	1220	0	0	1220

Table 5	A	B	C	D	E
Gender	Homeless Prevention	Rapid Re-Housing	Shelter	Outreach	Total
Male	0	496	0	0	496
Female	0	719	0	0	719
Transgendered	0	0	0	0	0
Don't Know/Refused	0	1	0	0	1
Missing Information	0	4	0	0	4
Total	0	1220	0	0	1220

Table 6	A	B	C	D	E
Age	Homeless Prevention	Rapid Re-Housing	Shelter	Outreach	Total
Under 18	0	556	0	0	556
18-24	0	132	0	0	132
25 and Over	0	532	0	0	532
Don't Know/Refused	0	0	0	0	0
Missing Information	0	0	0	0	0
Total	0	1220	0	0	1220

Table 7	A	B	C	D	E
Special Populations	Homeless Prevention	Rapid Re-Housing	Shelter	Outreach	Total
Veterans	0	24	0	0	24
Domestic Violence Victims	0	5	0	0	5
Elderly	0	12	0	0	12
HIV / AIDS	0	5	0	0	5
Chronic Homelessness	0	0	0	0	0
Persons with Disabilities					
Severely Mentally Ill	0	124	0	0	124
Chronic Substance Abuse	0	28	0	0	28
Other Disability	0	91	0	0	91

Report Options	
ESG CAPER Report Period	07/01/2013 to 06/30/2014

2013 ESG allocation chart

State Recipient	Rapid Rehousing	Homeless Prevention	outreach	data collection	Shelter Operations	Administrative	Total
Central CT Coast YMCA					$62,515.00		$62,515.00
Norwalk Emergency Shelter-Open Door					$54,695.00		$54,695.00
Operation Hope					$23,220.00	$1,220.00	$24,440.00
Regional Network of Programs-Prospect House					$54,698.00		$54,698.00
Shelter for the Homeless					$97,019.00		$97,019.00
Inspirica					$52,856.00		$52,856.00
Immaculate Conception					$26,288.00		$26,288.00
Open Hearth					$37,767.00		$37,767.00
South Park Inn					$72,516.00	$3,816.00	$76,332.00
Christian Community Action					$65,227.00	$3,098.00	$68,325.00
Columbus House					$41,092.00		$41,092.00
New Reach (formerly NHHR)					$49,883.00		$49,883.00
Area Congregations Together - Spooner House					$33,708.00	$1,774.00	$35,482.00
Beth El Center					$24,988.00		$24,988.00
Columbus House - Middletown Family Shelter					$34,157.00		$34,157.00
Community Renewal Team (EH Shelter)					$34,350.00		$34,350.00
Family & Children's AID - Harmony House					$38,436.00		$38,436.00
Friendship Service Center					$33,666.00		$33,666.00
Manchester Area Conference of Churches					$32,897.00		$32,897.00
New Opportunities - Shelter NOW					$71,204.00		$71,204.00
St. Vincent DePaul Bristol					$26,987.00		$26,987.00
St. Vincent DePaul Waterbury					$78,603.00	$4,137.00	$82,740.00
Thames Valley Council Community Action, Inc	$12,150.00				$60,531.00		$72,681.00
Tri-Town Shelter Services					$18,387.00		$18,387.00
AIDS Connecticut	$408,200.00						$408,200.00
TOTAL	$420,350.00				$1,125,690.00	$14,045.00	$1,560,085.00

Appendix J

Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, , Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at Hopwa@hud.gov.**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	
2a.	**Permanent Housing Facilities:** Received Operating Subsidies/Leased units	
2b.	**Transitional/Short-term Facilities:** Received Operating Subsidies	
3a.	**Permanent Housing Facilities:** Capital Development Projects placed in service during the operating year	
3b.	**Transitional/Short-term Facilities:** Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	
5.	**Adjustment for duplication (subtract)**	
6.	**TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)**	

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees)** are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has had at least four episodes of homelessness in the past three years." For this purpose, the term "homeless" means "a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter." This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of $300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Placement Assistance Activity Total: The unduplicated number of households receiving assistance with either housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing

stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. *See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable**.**

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d.. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of $25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

***Note**: Please see the definitions for distinctions between project sponsor and subrecipient.*

***Note**: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

1. Grantee Information

HUD Grant Number **CTH011-F9999**		**Operating Year for this report** ***From 7-01-13 To 6-30-14***		
Grantee Name State of Connecticut Department of Housing				
Business Address	505 Hudson Street			
City, County, State, Zip	Hartford	CT	06106	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	**FEIN: 32-0410548**			
DUN & Bradstreet Number (DUNs):	**DUNS: 078847898**	**Central Contractor Registration (CCR): Is the grantee's CCR status currently active?** **xx Yes ☐ No** **If yes, provide CCR Number: 807854435**		
***Congressional District of Grantee's Business Address**	CT 1st District			
***Congressional District of Primary Service Area(s)**	Hartford			
***City(ies) and County(ies) of Primary Service Area(s)**	Cities:	Counties:		

Organization's Website Address N/A	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? ☐ Yes xx No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.

*** Service delivery area information only needed for program activities being directly carried out by the grantee**

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Note: *Please see Definition section for distinctions between project sponsor and subrecipient*

Project Sponsor Agency Name Center for Independent Living Northwest CT, Inc. Columbus House, Inc. New Opportunities, Inc.		**Parent Company Name*, if applicable*** N/A		
Name and Title of Contact at Project Sponsor Agency	**Sister Patricia McKeon, Executive Director, Mercy** **Eileen Healy, Executive Director, Independence Northwest** **Alison Cunningham, Executive Director, Columbus House** **James Gatling, Executive Director, NOW, Inc.**			
Email Address	**Eileen.healy@independencenorthwest.org** **Acunningham@columbushouse.org** **eroyster@newoppinc.org**			
Business Address	**Independence NW= 1183 New Haven Road, Naugatuck, CT** **Columbus House= 586 Columbus Boulevard New Haven, CT** **NOW= 232 North Elm Street, Waterbury, CT**			
City, County, State, Zip,	**Middlesex County** **Litchfield County** **New London County**		**CT**	
Phone Number *(with area code)*	INW 203-729-3299 CH 203-401-4400 NOW 203-575-4217	860-548-0692 203-729-2839 203-773-1430		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	INW-06-1246618 CH- 22-2511873 NOW-06-6071847			
DUN & Bradstreet Number (DUNs):	**INW-624515516** **CH-131764912** **NOW-010154367**			
Congressional District of Project Sponsor's Business	**3rd INW** **3rd and 2nd CH**			

Address	**3rd and 5th**	
Congressional District(s) of Primary Service Area(s)	**1st** **3rd** **2nd**	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities Middlesex County Litchfield County New London County	Counties
Total HOPWA contract amount for this Organization for the operating year	**INW=97,812.00** **CH=126,060.00** **NOW=32,139.00**	

Organization's Website Address Independencenorthwest.org Columbushouse.org Newoppinc.org	**Does your organization maintain a waiting list?** xx Yes ☐ No **If yes, explain in the narrative section how this list is administered.**
Is the sponsor a nonprofit organization? xx Yes ☐ No *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization. x*	

3. Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of $25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Note: *Please see Definition section for distinctions between project sponsor and subrecipient*

Subrecipient Name	**N/A**			**Parent Company Name, *if applicable*** N/A
Name and Title of Contact at Subrecipient	N/A			
Email Address	N/A			
Business Address	N/A			
City, State, Zip, County	N/A			
Phone Number (with area code)	N/A			**Fax Number (include area code)**
Employer Identification Number (EIN) or Tax Identification Number (TIN)	N/A			
DUN & Bradstreet Number (DUNs):	N/A			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Subrecipient's Business Address	N/A			
Congressional District of Primary Service Area	N/A			
City (ies) and County (ies) of Primary Service Area(s)	Cities			Counties
Total HOPWA Subcontract Amount of this Organization for the operating year	N/A			

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

See Attachment A

b.. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. **Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

No technical assistance needed at this time

See Attachment B

c. Barriers and Trends Overview See Attachment C

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

d.. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. ***Note:*** *Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

OTHER- Long wait list for Section 8 housing.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.
Note: *In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

1. Planning Estimate of Area's Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	*27*

2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	16
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	7
• Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs.	4
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
x = Data from client information provided in Homeless Management Information Systems (HMIS)
= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.
NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			☐Housing Subsidy Assistance ☐Other Support
Ryan White-Other	356,252		☐Housing Subsidy Assistance xx☐Other Support
Housing Choice Voucher Program			☐Housing Subsidy Assistance ☐Other Support
Low Income Housing Tax Credit			☐Housing Subsidy Assistance ☐Other Support
HOME			☐Housing Subsidy Assistance ☐Other Support
Shelter Plus Care			☐Housing Subsidy Assistance ☐Other Support
Emergency Solutions Grant			☐Housing Subsidy Assistance ☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Other Public: Dept.of Social Services HSI	5532	Case Management	☐Housing Subsidy Assistance xx☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Other Public:			☐Housing Subsidy Assistance ☐Other Support
Private Funding			
Grants			☐Housing Subsidy Assistance ☐Other Support
In-kind Resources			☐Housing Subsidy Assistance ☐Other Support
Other Private:			☐Housing Subsidy Assistance ☐Other Support
Other Private:			☐Housing Subsidy Assistance ☐Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			☐Housing Subsidy Assistance ☐Other Support

Resident Rent Payments by Client to Private Landlord	37,131		
TOTAL (Sum of all Rows)	**398,915**		

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: *Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type

	Program Income and Resident Rent Payments Collected	**Total Amount of Program Income (for this operating year)**
1.	Program income (e.g. repayments)	N/A
2.	Resident Rent Payments made directly to HOPWA Program	N/A
3.	**Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)**	N/A

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

	Program Income and Resident Rent Payment Expended on HOPWA programs	**Total Amount of Program Income Expended (for this operating year)**
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	N/A
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	N/A
3.	**Total Program Income Expended (sum of Rows 1 and 2)**	N/A

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: *The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	HOPWA Housing Subsidy Assistance	**[1] Output Households**				**[2] Output: Funding**	
1.	Tenant-Based Rental Assistance	24	30			131175	136776
2a.	**Permanent Housing Facilities:** Received Operating Subsidies/Leased units (Households Served)						
2b.	**Transitional/Short-term Facilities:** Received Operating Subsidies (Households Served)						
3a.	**Permanent Housing Facilities**: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	**Transitional/Short-term Facilities:** Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	12	10			26632	10836
5.	Adjustments for duplication (subtract)						
6.	**Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-4 minus Line 5; Columns e. and f. equal the sum of Rows 1-4))**	36	40			167807	147612
	Housing Development (Construction and Stewardship of facility based housing)	**[1] Output: Housing Units**				**[2] Output: Funding**	
7.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
8.	Stewardship Units subject to 3 or 10 year use agreements						
9	**Total Housing Developed (Sum of Rows 7 & 8)**						
	Supportive Services	**[1] Output**				**[2] Output:**	
10a.	Supportive Services provided by project sponsors that	12	24			53173	43823

	also delivered HOPWA housing subsidy assistance						
10b.	Supportive Services provided by project sponsors that only provided supportive services.	12	6			7234	4137
11.	Adjustment for duplication (subtract)	12	6				
12.	**Total Supportive Services (Columns a. – d. equal the sum of Rows 10 a & b minus Row 11; Columns e. and f. equal the sum of Rows 10a. and 10b.)**	36	36			60407	47960
	Housing Placement Assistance Activities	**[1] Output**				**[2] Output:**	
13.	Housing Information Services						
14.	Permanent Housing Placement Services						
15.	Adjustment for duplication						
16.	**Total Housing Placement Assistance (Columns a. – e. equal the sum of Rows 13-14 minus Row 15; Columns e. and f. equal the sum of Rows 13-14))**						
	Grant Administration and Other Activities	**[1] Output Households**				**[2] Output: Funding**	
17.	Resource Identification to establish, coordinate and						
18.	Technical Assistance (if approved in grant agreement)						
19.	Grantee Administration (maximum 3% of total HOPWA grant)						
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)						
21	**Total Grant Administration and Other Activities (Sum of Rows 17 - 20**					9570	9570
	Total Expended					**[2] Outputs: HOPWA Funds**	
						Buget	**Actual**
22.	**Total Expenditures for program year (Sum of Rows 6,**					237784	205142

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Note: *Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.*

Supportive Services	[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended

1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	36	61,664
4.	Child care and other child services		
5.	Education	4	1,367
6.	Employment assistance and training		1,367
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	7	2393
8.	Legal services		
9.	Life skills management (outside of case management)	6	1241
10.	Meals/nutritional services		
11.	Mental health services	1	342
12.	Outreach	6	3103
13.	Transportation	4	1367
14.	Other Activity (if approved in grant agreement). **Specify**:	71	24,269
15.	**Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)**	139	
16.	**Adjustment for Duplication (subtract)**	36	
17.	**TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)**	103	97,113

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the

total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		**[1] Output: Number of Households**	**[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year**
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	10	10,836
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	1	1200
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.		
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY	6	7546
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	1	67
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	2	2023

End of PART 3

Part 4: Summary of Performance Outcomes

In Column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

***Data Check**: The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1.*

***Note**: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	30	26	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing	2	*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison	1	*Unstable Arrangements*
			8 Disconnected/Unknown		
			9 Death	1	*Life Event*
Permanent Supportive Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*

			8 Disconnected/Unknown		
			9 Death		*Life Event*

B. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Facilities/ Units	N/A	Total number of households that will continue in residences: N/A	N/A	1 Emergency Shelter/Streets		*Unstable Arrangements*
				2 Temporary Housing		*Temporarily Stable with Reduced Risk of Homelessness*
				3 Private Housing		*Stable/Permanent Housing (PH)*
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		
				7 Jail/Prison		*Unstable Arrangements*
				8 Disconnected/unknown		
				9 Death		*Life Event*
B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months				0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness
(Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.
In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor's best assessment for stability at the end of the operating year.
In Column 3 provides a description of housing outcomes; therefore, data is not required.
At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

***Data Check**: The sum of Column 2 should equal the number of households reported in Column 1.*

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
14	**Maintain Private Housing <u>without</u> subsidy** (*e.g. Assistance provided/completed and client is stable, not likely to seek additional support*)	9	*Stable/Permanent Housing (PH)*
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)	N/A	
	Other HOPWA Housing Subsidy Assistance	N/A	
	Other Housing Subsidy (PH)	N/A	
	Institution (*e.g. residential and long-term care*)	N/A	
	Likely that additional STRMU is needed to maintain current housing arrangements	1	*Temporarily Stable, with Reduced Risk of Homelessness*
	Transitional Facilities/Short-term (e.g. *temporary or transitional arrangement*)	N/A	
	Temporary/Non-Permanent Housing arrangement (*e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days*)	N/A	
	Emergency Shelter/street	N/A	*Unstable Arrangements*
	Jail/Prison	N/A	
	Disconnected	N/A	
	Death	N/A	*Life Event*

1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year	*0*
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years)	*N/A*

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Section [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, and Master Leasing) from the project sponsors, permanent housing placement assistance and case management services. Use Row d. to adjust for duplication among the service categories and Row e. to provide an unduplicated household total.

Section [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received permanent housing placement assistance and case management services. Use Row c. to adjust for duplication and Row d. to provide an unduplicated household count.

Note: *These numbers will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

Total Number of Households	
1. **For Project Sponsors that provided HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following services:	
a. HOPWA Housing Subsidy Assistance (duplicated)-TBRA, STRMU, Facility-Based Housing, and Master Leasing	40
b. Permanent Housing Placement	
c. Case Management	14
d. Adjustment for duplication	14
e. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a.-c. minus Row d.)	40
2. **For Project Sponsors did NOT provide HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following services:	
a. Permanent Housing Placement	
b. Case Management	2
c. Adjustment for duplication	
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a. and b. minus Row c.)	**2**

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: *For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.*

Categories of Services Accessed	**[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**Outcome Indicator**
1. Has a housing plan for maintaining or establishing stable on-going housing	34	0	*Support for Stable Housing*
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	36	0	*Access to Support*
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	36	0	*Access to Health Care*
4. Accessed and maintained medical insurance/assistance.	36	0	*Access to Health Care*
5. Successfully accessed or maintained qualification for sources of income.	34	0	*Sources of Income*

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- MEDICAID Health Insurance Program, or use local program name
- MEDICARE Health Insurance Program, or use local program name
- Veterans Affairs Medical Services
- AIDS Drug Assistance Program (ADAP)
- State Children's Health Insurance Program (SCHIP), or use local program name
- Ryan White-funded Medical or Dental Assistance

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- Earned Income
- Veteran's Pension
- Unemployment Insurance
- Pension from Former Job
- Supplemental Security Income (SSI)
- Child Support
- Social Security Disability Income (SSDI)
- Alimony or other Spousal Support
- Veteran's Disability Payment
- Retirement Income from Social Security
- Worker's Compensation
- General Assistance (GA), or use local program name
- Private Disability Insurance
- Temporary Assistance for Needy Families (TANF)
- Other Income Sources

1c.. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWAJob training, employment assistance, education or related case management/counseling services.

Note: *This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	**[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:**
Total number of households that obtained an income-producing job	15	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes *(optional)*

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	**Stable Housing** (# of households remaining in program plus 3+4+5+6=#)	**Temporary Housing** (2)	**Unstable Arrangements** (1+7+8=#)	**Life Event** (9)
Tenant-Based Rental Assistance (TBRA)	12	N/A	1	1
Permanent Facility-based Housing Assistance/Units	0			
Transitional/Short-Term Facility-based Housing Assistance/Units	0			
Total Permanent HOPWA Housing Subsidy Assistance	12		1	1
Reduced Risk of Homelessness: Short-Term Assistance	**Stable/Permanent Housing**	**Temporarily Stable, with Reduced Risk of Homelessness**	**Unstable Arrangements**	**Life Events**
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	9	1		
Total HOPWA Housing Subsidy Assistance	21		1	1

ackground on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
7 = Jail /prison.
8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.
Note: *See definition of "Stewardship Units"*

1. General information

HUD Grant Number(s) **N/A**	Operating Year for this report *From (mm/dd/yy) To (mm/dd/yy)* ☐ Final Yr ☐ Yr 1; ☐ Yr 2; ☐ Yr 3; ☐ Yr 4; ☐ Yr 5; ☐ Yr 6; ☐ Yr 7; ☐ Yr 8; ☐ Yr 9; ☐ Yr 10;
Grantee Name	Date Facility Began Operations *(mm/dd/yy)*

2. Number of Units and Non-HOPWA Expenditures

	Number of Stewardship Units Developed with HOPWA funds	**Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year**
Total Stewardship Units (subject to 3- or 10- year use periods)	N/A	N/A

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	☐ *Yes, protect information; do not list.* ☐ *Not confidential; information can be made available to the public.*
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.	
Name & Title of Authorized Official of the organization that continues to operate the facility: **N/A**	**Signature & Date (mm/dd/yy)** N/A
Name & Title of Contact at Grantee Agency *(person who can answer questions about the report and program)* **N/A**	**Contact Phone (with area code)** **N/A**

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: *Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.*

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	**Total**
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	40

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.*

Category		**Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance**
1.	Continuing to receive HOPWA support from the prior operating year	23
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		

2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	**Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)**	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	1
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	1
12.	Rented room, apartment, or house	12
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	2
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	**TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)**	40

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	1	1

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). ***Note:*** *See definition of "HOPWA Eligible Person".*
Note: *See definition of Transgender* ***Note:*** *See definition of Benfiaries.*
Note: *The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.*

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A,Section 1,Chart a.)	40
2. Number of ALL other persons **diagnosed as** HIV positive who reside with the HOPWA eligible individuals	1

identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	15
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	54

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
		A.	**B.**	**C.**	**D.**	**E.**
		Male	**Female**	**Transgender M to F**	**Transgender F to M**	**TOTAL* (Sum of Columns A-D)**
1.	Under 18					
2.	18 to 30 years	1				1
3.	31 to 50 years	12	11	1		24
4.	51 years and Older	11	4			15
5.	**Subtotal (Sum of Rows 1-4)**	24	15	1		40
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	**B.**	**C.**	**D.**	**E.**
		Male	**Female**	**Transgender M to F**	**Transgender F to M**	**TOTAL* (Sum of Columns A-D)**
6.	Under 18	3	1			4
7.	18 to 30 years	2		1		3
8.	31 to 50 years	1	1			2
9.	51 years and Older	1				1
10.	**Subtotal (Sum of Rows 6-9)**	7	2	1		10
Total Beneficiaries						
11.	**TOTAL* (Sum of Row 5 & 10)**	31	17	2		50

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	8	1	5	3
4.	Native Hawaiian/Other Pacific Islander				
5.	White	31	4	5	3
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White	1			
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial				
11.	Column Totals (Sum of Rows 1-10)	40	5	10	6
Data Check: *Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.*					

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income.

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check*: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).*

Note: *Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.*

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	27
2.	31-50% of area median income (very low)	5
3.	51-80% of area median income (low)	8
4.	**Total (Sum of Rows 1-3)**	**40**

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a., Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY) .

1. Project Sponsor Agency Name (Required)

N/A

2. Capital Development

2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)

Type of Development this operating year	HOPWA Funds Expendedthis operating year *(if applicable)*	Non-HOPWA funds Expended *(if applicable)*	Name of Facility: N/A
☐ New construction	$	$	**Type of Facility [Check only one box.]** ☐ Permanent housing ☐ Short-term Shelter or Transitional housing ☐ Supportive services only facility N/A
☐ Rehabilitation	$	$	
☐ Acquisition	$	$	
☐ Operating	$	$	

a.	Purchase/lease of property:	Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:	Date started: Date Completed:
c.	Operation dates:	Date residents began to occupy: ☐ Not yet occupied
d.	Date supportive services began:	Date started: ☐ Not yet providing services
e.	Number of units in the facility:	HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?	☐ Yes ☐ No *If yes, number of participants on the list at the end of operating year*
g.	What is the address of the facility (if different from business address)?	

h.	Is the address of the project site confidential?	☐ *Yes, protect information; do not publish list.* ☐ *No, can be made available to the public.*

2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)

Not Applicable

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired with or without rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served.* **Please complete separate charts for each housing facility assisted**.

3a. Check one only

☐ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor		Total Number of Units Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility. **Specify:**						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement). **Specify:**		
e.	**Adjustment to eliminate duplication (subtract)**		

f.	**TOTAL Facility-Based Housing Assistance (a. through d. minus e.)**		

ATTACHMENT A 2014

Grantee and Community Overview.

During the 2013/2014 federal fiscal year, the Department of Housing provided funding to communities in the Balance of State - Litchfield, Middlesex, and New London counties.

The department allocated HOPWA funding to the following non-profit community service sponsor agencies:

Center for Independent Living Northwest CT, Inc. The Center for Independent Living Northwest CT, Inc. is a consumer controlled, community based and cross-disability independent living center. The mission of the program is to promote independent living so that people with disabilities have the same opportunities that all citizens have without discrimination. Independence NW provides 12 scattered site TBRA units to individuals and/or families living with HIV/AIDS. Supportive services are provided to all program participants and their household members. Supportive services include referrals to other community resources, job training, substance abuse counseling, medical/mental health referrals, and legal services

New Opportunities, Inc. is a non-profit community action agency that serves the HOPWA clients in Litchfield County. NOW offers a variety of social service programs designed to eliminate poverty and assist people in need. The agency aims to increase self sufficiency of its consumers and support their move out of poverty toward a more middle class lifestyle. The program provided short term mortgage rent utilities assistance to 6 individuals during the past year.

Columbus House, Inc. is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 8 tenant based rental assistance units in Middlesex county and 3 tenant based rental assistance units in New London County. 11 families in Middlesex and New London counties received short term rent, mortgage and utility assistance in order to remain in stabilized housing.

Attachment B

Annual Performance under the Action Plan

1. Outputs Reported:

The original goal was to serve 36 individuals and/or families in Middlesex and Litchfield counties. The actual number of individuals and families which benefited from TBRA dollars was 40. The original goal was to serve 12 individuals and families with STRMU subsidies and 10 consumers benefit from this assistance in New London and Middlesex County.

2. Outcomes Assessed:

All 3 programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

There were some obstacles in achieving full capacity due to the lack of transportation in Litchfield County (a rural area) and other barriers such as eligibility requirements. Outreach efforts are ongoing and much more aggressive now that this barrier has been identified.

3. Coordination:

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield and New London County Housing Authorities, Community Health Centers and various other local community resources.

4. Technical Assistance:

No technical assistance is required at this time.

Attachment C

C. Barriers and Trends Overview

1. Barriers:

Credit History, Criminal Justice History, Housing Affordability and Housing Availability and history of evictions tend to be common barriers to successfully housing an individual or family. Other barriers in the more rural areas were lack of transportation and affordability of a taxi cab/medical transportation to transport the client(s).

Many landlords will run credit checks along with a criminal background check before they even consider the tenant. This requirement, through careful negotiation with the landlord, can often be bypassed if the case manager is involved with the client and advocates favorably on their behalf. However, some programs work very closely with numerous landlords and housing authorities who have a very good relationship with the program and the clients that are referred for housing.

Housing affordability and availability is an obstacle as well. The cost of living in Litchfield County is very high. Affordable rents are hard to find for clients that chose to live in this area of the state. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant. As a result, there is a lack of affordable housing in Litchfield County thus making housing availability an obstacle.

2. Trends:

Among the trends this program year was that many individuals needed to share apartments with roommates in order to afford their rents. There was also an increased effort to coordinate services with medical case managers and community clinics where the program participant resides.

Another trend is the reduction of state programs and the availability of funding. Due to the current economy, many support services that clients need have been cut or drastically reduced. Many of the consumers who are physically able to work full time are having difficulty finding employment due to lack of job opportunities. Program staff encourage these individuals to return to school, or further their education and training so they can increase their chances of finding full time employment.

3. Identify HOPWA evaluations/studies

There are currently no evaluations or studies available.

Appendix K.

Key	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
Acronyms	
CHFA	Connecticut Housing Finance Authority
DOH	Department of Housing, State of Connecticut
DECD	Department of Economic and Community Development, State of Connecticut
DSS	Department of Social Services, State of Connecticut
OFR	Office of Financial Review
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development