

# Securities Forum 2005 Registration Information

## Registration Fee:

The **Securities Forum 2005** registration fee includes course materials and a luncheon.

- \$65 per person
- \$60 per person for multiple attendees from the same firm

Please make your check payable to "Murtha Cullina LLP". Credit cards are not accepted.

## DEADLINE:

Registration deadline is **October 3, 2005**. Late registration and payment by check at the door, permitted only in extenuating circumstances. Please complete the registration form in this brochure and mail it with your payment to:

Murtha Cullina LLP, Attention: Debra A. Sciarra  
CityPlace I, 185 Asylum Street, Hartford, CT 06103-3469

## Special Needs:

For registration information, disability accommodations or special luncheon dietary needs please telephone or e-mail:

Debra Sciarra, Murtha Cullina LLP, (860) 240-6084, [dsciarra@murthalaw.com](mailto:dsciarra@murthalaw.com)  
Heidi Lawrence, Department of Banking, (860) 240-8260, [Heidi.Lawrence@ct.gov](mailto:Heidi.Lawrence@ct.gov)

## Hotel Directions

100 Berlin Road (Route 372), Cromwell, CT  
Telephone: (860) 635-2000 or 888-303-1746

*Ample free parking is available.*

*Contact the hotel directly for overnight room rates.*

### From Hartford:

Take I-91 south to exit 21, Cromwell.  
Turn left from exit, hotel is on left.

### From New Haven (and New York):

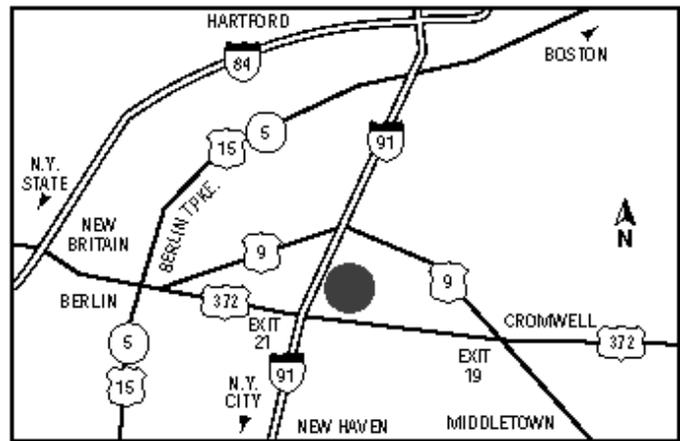
Take I-91 north to exit 21, Cromwell.  
Turn left from exit, hotel is on left.

### From Waterbury (and New York):

Take I-84 east to exit 27, Route 691 east.  
Continue on Route 691 to I-91 north.  
Take exit 21. Turn left, hotel is on left.

### From northeastern Connecticut (and Boston):

Take I-84 to Hartford interchange.  
Take I-91 south to exit 21, Cromwell.  
Turn left from exit, hotel is on left.



# **Securities Forum 2005 Registration Form**

**Crowne Plaza, Cromwell, CT (Formerly Radisson Hotel and Conference Center)  
Registration deadline is October 3, 2005.**

*(see Registration Instructions for Mailing Information)*

**Registration Fee:** \$65 per person and \$60 per person for two or more attendees from same firm.  
Please make checks payable to Murtha Cullina LLP.

NAME(s): \_\_\_\_\_

FIRM: \_\_\_\_\_

STREET ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_

TELEPHONE: \_\_\_\_\_ # ATTENDING \_\_\_\_\_ FEE ENCLOSED: \$ \_\_\_\_\_

Please check off the panel(s) you (and others from your firm) will be attending:

***First Session Morning Panels*** (9:00 to 10:15 a.m.)

- |  |                                |
|--|--------------------------------|
| <input type="checkbox"/> Meet the State Securities Division            | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Spotlight on Variable Annuities               | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Developments in the Regulation of Hedge Funds | <i>Number Attending:</i> _____ |

***Second Session Morning Panels*** (10:30 to 11:45 a.m.)

- |  |                                |
|--|--------------------------------|
| <input type="checkbox"/> Issues with Rule 506 Private Offerings    | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Meet the NASD Boston Region               | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Compliance Issues for Investment Advisers | <i>Number Attending:</i> _____ |

***Luncheon*** (12:00 noon)

*Number Attending:* \_\_\_\_\_

Keynote Address – Walter G. Ricciardi

***Afternoon Panels*** (1:30 to 2:45 p.m.)

- |   |                                |
|---|--------------------------------|
| <input type="checkbox"/> Meet the SEC Boston District Office                    | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Use of Mediation to Settle Broker/Customer Arbitration | <i>Number Attending:</i> _____ |
| <input type="checkbox"/> Anti-Money Laundering                                  | <i>Number Attending:</i> _____ |

***General Afternoon Session*** (3:00 to 4:30 p.m.)

- |  |                                |
|--|--------------------------------|
| <input type="checkbox"/> Federal Preemption Issues | <i>Number Attending:</i> _____ |
|--|--------------------------------|

