



State of Connecticut
 Department of Banking
Consumer Credit Division
 260 Constitution Plaza, Hartford, CT 06103



REQUEST FOR CHANGE OF STOCKHOLDER FORM
Small Loan Company

Form may be used to add or delete stockholders.

Instructions:

1. Please be advised that per Section 36a-559 of the Connecticut General Statutes licenses **shall not be transferable or assignable.**
2. If the Applicant is a corporation, full given name and residential address (P.O. Box is not acceptable) of any stockholder owning 10% or more of the outstanding stock in the corporation. If the Applicant is a partnership or an LLC, full given name, residential address and list the percentage of ownership of each partner or member. If any such stockholder is a corporation, on a separate sheet, please provide a list of the principal officers and all directors of such corporation together with the title, residential address and date of birth of each principal officer and director.
3. If applicable, please complete **Request for Change of Officer Form.**
4. Any questions, please contact Nancy Wawruck at 860-240-8221 or via e-mail at nancy.wawruck@ct.gov.

Company Name: _____ **License Number(s)** _____

DBA Name (if applicable) _____

Telephone # _____ **E-mail Address** _____

| PRESENT STOCKHOLDER SET-UP | | | |
|-----------------------------------|----------------------------|----------------------|-----------------------------|
| Full Given Name | Residential Address | Date of Birth | Percent of Ownership |
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| PROPOSED STOCKHOLDER SET-UP | | | |
|------------------------------------|----------------------------|----------------------|-----------------------------|
| Full Given Name | Residential Address | Date of Birth | Percent of Ownership |
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NOTE - If the Applicant is a corporation or association, this instrument must be signed by the President, Vice President or Secretary of the Applicant. If the Applicant is a partnership or LLC, this instrument must be signed by a general partner or member who is duly authorized to execute on behalf of the partnership or LLC, contracts, deeds and other instruments under seal. **Form must be executed by a present officer.**

By: _____
Signature
Print Name & Title

STATE OF _____

COUNTY OF _____

On this _____ **day of** _____, **20**____,

personally appeared _____
 (Name and Title)

to me known, and known by me to be the signer of the foregoing instrument, who being first duly sworn upon oath, deposes and says he/she has read, and knows the contents thereof, and that the alleged facts herein contained are true to his/her knowledge.

 (Notary Public) or (Commissioner of Superior Court) (Commission Expiration Date)