



State of Connecticut  
 Department of Banking  
**Consumer Credit Division**  
 260 Constitution Plaza, Hartford, CT 06103



**REQUEST FOR CHANGE OF OFFICER FORM**  
**Sales Finance Company**

Form may be used to add or delete officers/directors, members or partners.

**Instructions:**

1. Please be advised that per Section 36a-540 of the Connecticut General Statutes licenses **shall not be transferable or assignable.**
2. Please provide full given name and residence (P.O. Box is not acceptable) of any control person (member, partner, trustee, officer, director, or individual with a similar status or function).
3. Please complete a **Personal and Business History Statement** form for every new control person.
4. Any questions, please contact Nancy Wawruck at 860-240-8221 or via e-mail at nancy.wawruck@ct.gov.

**Company Name:** \_\_\_\_\_ **License Number(s)** \_\_\_\_\_

**DBA Name (if applicable)** \_\_\_\_\_

**Telephone #** \_\_\_\_\_ **E-mail Address** \_\_\_\_\_

| PRESENT OFFICER SET-UP |       |                     |               |
|------------------------|-------|---------------------|---------------|
| Full Given Name        | Title | Residential Address | Date of Birth |
|                        |       |                     |               |
|                        |       |                     |               |
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| PROPOSED OFFICER SET-UP |       |                     |               |
|-------------------------|-------|---------------------|---------------|
| Full Given Name         | Title | Residential Address | Date of Birth |
|                         |       |                     |               |
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**NOTE** - If the Applicant is a corporation or association, this instrument must be signed by the President, Vice President or Secretary of the Applicant. If the Applicant is a partnership or LLC, this instrument must be signed by a general partner or member who is duly authorized to execute on behalf of the partnership or LLC, contracts, deeds and other instruments under seal. **Form must be executed by a present officer.**

**By:** \_\_\_\_\_  
**Signature** **Print Name & Title**

**STATE OF** \_\_\_\_\_

**COUNTY OF** \_\_\_\_\_

**On this** \_\_\_\_\_ **day of** \_\_\_\_\_, **20**\_\_\_\_,

**personally appeared** \_\_\_\_\_

(Name and Title)

to me known, and known by me to be the signer of the foregoing instrument, who being first duly sworn upon oath, deposes and says he/she has read, and knows the contents thereof, and that the alleged facts herein contained are true to his/her knowledge.

\_\_\_\_\_  
 (Notary Public) or (Commissioner of Superior Court)

\_\_\_\_\_  
 (Commission Expiration Date)