DEBT NEGOTIATOR LICENSEE SURETY BOND CALCULATION INSTRUCTIONS AND WORKSHEET FOR SEPTEMBER 1, 2014 BONDING REQUIREMENTS

No later than September 1, 2014, an entity licensed with the State of Connecticut as a Debt Negotiator who is (1) sponsoring and bonding at least one mortgage loan originator; (2) exempt from licensing as a mortgage lender, correspondent lender, or broker; and (3) registered as exempt registrants for sponsoring purposes, must be submit the State of Connecticut, Department of Banking the aggregate dollar amount of all Connecticut residential loans originated by the entity for the twelve month period from August 1, 2013 to July 31, 2014. The entity may also be required to submit a rider to its surety bond reflecting a change in coverage (as determined by the chart found on page 2 of this document). This information is required pursuant to Sections 36a-671d of the Connecticut General Statutes.

Please complete this form, and mail the completed form (with original signature) to the State of Connecticut, Department of Banking by September 1, 2014. Please include a rider reflecting a change in bond coverage (if required, as determined by the chart found on page 2 of this document).

Please provide the aggregate dollar amount of all Connecticut residential mortgage loans originated by the entity at all licensed locations from August 1, 2013 to July 31, 2014 (as secured by Connecticut property):

$ _____

(To be filled in by licensee)

Using the Surety Bond Coverage Chart found on page 2 of this document, please enter the corresponding surety bond coverage amount, as determined by the dollar amount of origination volume entered above:

$ _____

(To be filled in by licensee)

_____ **Entity Name**	**BY**: (Signature)	**Date Signed:**
_____ **License #**	_____ **Printed Name of Person Signing and Title:**	

Please mail the completed document to 260 Constitution Plaza in Hartford, CT 06103-1800. In the event that the required surety bond coverage amount has changed for the licensee, please provide a copy of the company's surety bond rider recognizing such change in coverage.

The bond rider should recognize an effective date of no later than September 1, 2014.

Surety Bond Coverage Chart

DEBT NEGOTIATOR WHO SPONSOR AND BOND AT LEAST ONE MORTGAGE LOAN ORIGINATOR AS AN EXEMPT REGISTRANT

Dollar Amount Of All Residential Mortgage Loans Amt Negotiated Or Offered To Be Negotiated By Mortgage Loan Originators	Required Surety Bond Coverage
Less than $30 million	$50,000
$30 million and less than $50 million	$100,000
$50 million or more	$150,000