

WORKERS' COMPENSATION COVERAGE QUESTIONNAIRE

TO: State of Connecticut, Department of Banking
Securities and Business Investments Division
260 Constitution Plaza
Hartford, CT 06103-1800

FROM: _____ (Applicant for
Registration)

_____ (City/Town, State, Zip Code)

TYPE OF REGISTRATION (Check): (_____) Broker-Dealer (_____) Investment
Adviser

Section 31-286a(b) of the Connecticut General Statutes provides that "[o]n and after October 1, 1986, no state department, board or agency may renew a license or permit to operate a business in this state unless the applicant first presents sufficient evidence of current compliance with the workers' compensation requirements of section 31-284."

Subsection (d) of Section 31-286a states that "[f]or purposes of this section, 'sufficient evidence' means (1) a certificate of self-insurance issued by a workers' compensation commissioner pursuant to Section 31-284, or (2) a certificate of compliance issued by the insurance commissioner pursuant to Section 31-286, or (3) a certificate of insurance issued by any stock or mutual insurance company or mutual association authorized to write workers' compensation insurance in this state or its agent."

*** If you have questions about how Section 31-286a or Section 31-284 of the Connecticut General Statutes applies to you, please direct them to your attorney or to the Workers' Compensation Commission at (860) 493-1500 rather than to the Department of Banking. ***

CHECK ONLY ONE OF THE FOLLOWING BOXES:

- () The applicant will not be operating a business in Connecticut within the meaning of Section 31-286a(b) of the Connecticut General Statutes and is not subject to Section 31-284 of the Connecticut General Statutes.
- () The applicant will be operating a business in Connecticut within the meaning of Section 31-286a(b) of the Connecticut General Statutes and has attached a photocopy of the certificate required by that section.

Print Name of Person Signing Here:
Title:
Date: