Introduction to the Guidelines
Purpose and Function of The Guidelines

The 2002 revision of the Connecticut Guidelines for Soil Erosion and Sediment Control (hereafter referred to as “the Guidelines”) are intended to provide information to government agencies and the public on soil erosion and sediment control. The Guidelines are a useful reference for projects that require erosion and sediment control planning, design and implementation.

The Guidelines fulfill the requirements of Connecticut’s Soil Erosion and Sediment Control Act (Public Act 83-388, codified in sections 22a-325 through 22a-329 of the Connecticut General Statutes) by providing guidance to municipal planning and zoning commissions. Contained within the Guidelines are methods and techniques for minimizing erosion and sedimentation based on the best currently available technology.

As a useful reference, the Guidelines may be designated as a primary guiding document, or as the foundation and minimum requirements for development of best management practices for construction activities for a number of programs beyond the original intent of the legislation that required the creation of this document. Such programs include water pollution control; coastal resource management; tidal wetlands; structures / dredging / fill in tidal, coastal and navigable waters; inland wetlands and watercourses; diversion of water; encroachments within stream channel encroachment lines; dam safety; and solid waste management (See Regulatory Index, Appendix F).

While erosion can be caused by wind, ice, gravitational creep and other geological processes, water accelerated erosion is unquestionably the most severe type of erosion in Connecticut. While the Guidelines make minor reference to controlling wind-generated erosion, the primary focus of the Guidelines is to prevent and control water-erosion and sedimentation.
Statement of The Problem

While all lands erode, not all land can be considered a source of sediment pollution. There has always been naturally occurring erosion. However, major problems can occur when large amounts of sediment enter our wetlands, watercourses and storm drain systems.

Erosion on agricultural land occurs mainly as sheet and rill erosion over a period usually measurable in years. Conversely, on developing land, erosion is frequently in the form of gully erosion on land disturbed for a year or less. Both conditions result in lower quality of soil and water resources. (see Chapter 2, Sediment Pollution and Damage). However, gully erosion, which is the result of concentrated flows of surface runoff, generates high sediment volumes requiring costly clean-up and the continual need for site stabilization during development. A construction site typically erodes at a rate of 50 tons/acre/year. This erosion rate is five times greater than cropland erosion and 250 times greater than woodland erosion. Each year more than one million acres of land in the United States are converted to urban use. These land use changes are the source of much of the sediment that pollutes our streams, rivers, lakes, ponds and reservoirs.

The Guidelines are intended to assist landowners, developers, commission members, engineers and architects to control sediment pollution caused by land disturbing activities.

History

Soil & Water Conservation Districts Established. In the late 1920s and early 1930s, large areas of the United States were devastated by erosion caused by unfavorable climatic conditions coupled with abuse and mismanagement of the country’s crop lands. This resulted in the passage by Congress of the Soil Conservation Act in 1935. It was the first national recognition of the need for erosion and sediment control. The Act created the Soil Erosion Service, (also known as the Soil Conservation Service or SCS, now known as the Natural Resources Conservation Service or NRCS), an agency within the U.S. Department of Agriculture. However, it was not until two years later that President Roosevelt invited each state governor to enact legislation authorizing the establishment of soil conservation districts within the states. Once established, these districts were given technical assistance from the Secretary of Agriculture through the SCS.

Connecticut did not recognize the need for soil conservation districts until 1945. During the next several years, the soil conservation districts were established in Connecticut. In 1962, the word “water” was added to the title of the districts in recognition that erosion and sedimentation were adversely affecting water quality. In 1974, district boards were mandated by Public Act 74-325 to reorganize under the direction of the Commissioner of Environmental Protection. This Act further directed that a council be established to coordinate the activities of districts with the Department of Environmental Protection (DEP). Section 22a-315 of the Connecticut General Statutes states that the role of the districts is to “assist the Commissioner of Environmental Protection in identifying and remedying the problems of soil and water erosion.”

Federal Water Pollution Control Act and the 208 Program Causes Erosion and Sediment Control Regulation. In 1972, the Federal Water Pollution Control Act, PL 92-500 and Amendments were passed. Section 208 of PL 92-500 required that area-wide plans be prepared to control pollution from all sources including urban-industrial areas. The goal of PL 92-500 was to “restore and maintain the chemical, physical, and biological integrity of the nation’s waterways” by 1985. The Governor of Connecticut designated the entire state as the planning area and established the 208 Areawide Waste Treatment Management Planning Board to develop the State’s 208 program. The Connecticut Council on Soil and Water Conservation, with the assistance of the eight soil and water conservation districts, was contracted by the 208 Board to conduct an “Erosion and Sediment Source Inventory.” This inventory provided the information to assess and develop management plans to control non-point source pollution caused by sediment and associated pollutants.

The completion of this inventory was followed with efforts by the 208 Planning Board, with the cooperation of the districts and regional planning agencies, to encourage local planning and zoning commissions to require erosion and sediment control in their regulations. In 1977, the Connecticut General Statutes were amended to allow planning and zoning boards to include provisions on soil erosion and sediment control in their regulations.

Although more than 70 communities had adopted some form of erosion and sediment control requirements in their regulations, only half of these were considered to be effective in solving the erosion problems in developing areas.

In 1983 the Soil Erosion and Sediment Control Act was enacted by the Connecticut General Assembly and is now found in sections 22a-325 through 22a-329 of the Connecticut General Statutes. The Act established a public policy to “strengthen and extend its erosion and sediment control activities and programs and to establish and implement, through the Council on Soil and Water Conservation, Soil and Water Conservation Districts, the municipalities, and the Commissioner of Environmental Protection a statewide coordinated erosion and sediment control program which shall reduce the danger from stormwater runoff, minimize non-point sediment pollution from land being developed and conserve and protect the land, water, air and other environmental
resources of the state.” A copy of the Act is found in Appendix B of the Guidelines.

The Act required:

❑ **Municipal planning and zoning commissions to amend their regulations to make proper provisions for soil erosion and sediment control, mandating the submission and certification for adequacy of erosion and sediment control plans in applications before them where the disturbance of land is greater than one half acre,**

❑ **The Council on Soil & Water Conservation to develop guidelines to outline methods and techniques for minimizing erosion and sedimentation based on the best currently available technology,** and

❑ **Guidelines to contain model municipal regulations that may be used by the municipalities to comply with the Act.**

**Guidelines Established and Revised.** The first guidelines were published in January of 1985 and were the result of a task force that included many state and federal agencies, private corporations and individuals. They included excerpts from many sources, but relied heavily on documents from the SCS in Storrs, Connecticut and the Virginia Soil Erosion and Sediment Control Handbook published in 1980. In 1988 the guidelines were republished with several corrections.

**Guidelines Cited in General Permit for Construction Activities.** In 1992 the Federal Environmental Protection Agency (EPA) mandated that states, like Connecticut, who had been given the authority to administer provisions of the Federal Water Pollution Control Act (33 U.S.C. Section 466 et seq.) and issue National Pollution Discharge Elimination System (NPDES) permits, make provisions for the regulation of discharges of stormwater and dewatering waste waters from construction activities. As a result, the Connecticut Department of Environmental Protection issued a general permit for these activities on sites whose construction activities resulted in the disturbance of 5 acres or more of land. Among other things, the general permit requires the development of stormwater pollution control plans that include provisions for erosion and sediment controls during construction. Those plans are required to ensure and demonstrate compliance with the guidelines.

Unlike the Soil Erosion and Sediment Control Act, the general permit also affects state agencies. Agencies like the Connecticut Department of Transportation have, over time, established independent specifications for erosion and sediment control measures, which need consolidation with the guidelines or modification to demonstrate the compliance required by the general permit.

**Major Changes**

This 2002 revision of the Guidelines is intended to provide an easier to use format and to incorporate new technological advances. An attempt has been made to incorporate sediment control specifications developed by other state agencies. Finally, additions have been made to place greater emphasis on protecting nutrient sensitive Long Island Sound from sediment borne pollutants.

**Format Changes:** Erosion and sediment control measures have been regrouped according to their function and some selection charts have been revised or added to help the site planner develop an erosion and sediment control plan. Symbols for representing erosion and sediment control measures on plans have been offered in an attempt to be consistent with other states having similar guidelines. The format and style of individual measures in the Guidelines has also been standardized. A folded wall chart has been added to provide users with a single sheet reference to all of the erosion and sediment control measures found within the Guidelines.

**Technical Changes:** A number of new measures have been added, including:

❑ **Surface Roughening**
❑ **Temporary Erosion Control Blankets**
❑ **Permanent Turf Reinforcement Mats**
❑ **Stone Check Dam**
❑ **Temporary Sediment Trap**
❑ **Pumping Settling Basin**
❑ **Pump Intake and Outlet Controls**
❑ **Portable Sediment Tank**
❑ **Dewatering of Earth Materials**

Several measures have been reorganized to create new measures. For example, temporary mulch and permanent mulch were reorganized to create the new measures called:

❑ **Mulch for Seed**
❑ **Landscape Mulch**
❑ **Temporary Soil Protection**
❑ **Stone Slope Protection**

Similarly, the old diversion measure has been broken into four measures relating to diversion; grade stabilization structures have been broken into four related measures; and sediment barriers have been broken into four measures.

Measures that require design by an engineer contain sections entitled “Design Criteria” and “Installation Requirements”. These are distinguished from non-engineered measures which have a “Specifications” section. Some measures need an engineer only when certain criteria are exceeded. These measures contain “Design Criteria” and “Specifications” sections but no “Installation Requirements.” Erosion and sediment control (E&S) plans using measures that require engineered designs are
required to be signed and sealed by a professional engineer licensed to practice in Connecticut.

The former Chapter 9, Estimating Runoff, and Chapter 10, Estimating Rainfall-Erosion Soil Losses, have been eliminated. Engineers are directed to use standard engineering practices.

Appendixes have been added to provide miscellaneous reference materials, including the former model regulations required by the Erosion and Sediment Control Act.

A new chapter, Chapter 4, has been added, containing special guidance on the development of large construction sites, utilities, and road construction, which can involve massive earthmoving operations as well as special treatments where multiple E&S measures are used together to create an E&S system.

How To Use The Guidelines

The Guidelines are intended to serve as a technical guide for meeting the requirements of the Soil Erosion and Sediment Control Act and to assist in implementing the requirements of laws and statutes relating to water pollution control. The use of words such as “shall,” “will,” and “must” within design or implementation standards is meant to emphasize the direction which will ensure that the control measure or design procedure will serve its intended purpose.

The Guidelines provide technical details that are not site specific. Examples are provided which may not be applicable or sufficient to meet all engineering standards and building codes. Measures requiring an engineered design must be evaluated on a case by case basis by a professional engineer licensed to practice in Connecticut.

Innovative modifications to the control measures or design procedures contained in this guide are acceptable, and encouraged, especially if they improve upon sediment-loss mitigation. However, designers and plan reviewers must be sure that the modified procedure will be successful. Designers must present to plan reviewers sufficient technical data that show the proposed modification is at least as effective as the guideline measure meant to be replaced.

These Guidelines are not intended to provide guidance on the development of an integrated post-construction stormwater management plan. While some measures are identified as being permanent in nature, the long-term maintenance of these permanent measures should be addressed in a post-construction stormwater management plan.

Any recommendations for improving the Guidelines should be addressed to the Connecticut Council on Soil & Water Conservation or the Inland Water Resources Division of the Department of Environmental Protection, 79 Elm Street, Hartford, CT 06106. Any or all of the material contained in this manual may be reproduced, copied, or reprinted with the appropriate credit given.

This document contains, to the extent possible, measures that will prevent or correct sediment and erosion control problems. However, the use of the Guidelines does not relieve the user of the responsibility of complying with laws and regulations that cite the Guidelines.
2

The Erosion and Sedimentation Process
Definition

Soil erosion and sedimentation is a three-stage process: detachment \(\rightarrow\) transport \(\rightarrow\) deposition. Soil erosion involves the wearing away of the surface of the land by the action of wind, water, ice, and gravity. Once worn away, the detached soil particles are transported and ultimately deposited, resulting in sedimentation. Natural, or geologic erosion and sedimentation occur over long periods of geologic time resulting in the wearing away of mountains and the building up of floodplains, some coastal plains, deltas, etc., to create the topography we know today. Except for some cases of shoreline and stream channel erosion and sedimentation, natural erosion and sedimentation occur at a very slow rate.

Erosion and sedimentation become a problem when they are accelerated beyond natural rates. Accelerated erosion is primarily the result of the influence of human activities on the environment. Once exposed, unprotected soil is then subject to rapid erosion by the action of wind, water, ice or gravity.

As stated in Chapter 1, erosion can be caused by water, wind, ice and gravitational creep. The focus of these guidelines is directed at erosion caused by water.
Types of Erosion

Raindrop erosion or raindrop splash initiates the erosion process. Individual soil particles and small soil aggregates are detached and transported with splashing water droplets as the raindrop impacts the soil (see Figure 2-1). Although raindrop splash is incapable of moving sands or coarser materials very far, very fine particles can be suspended in water. They are then susceptible to and contribute to sheet erosion.

Sheet erosion is the removal of a thin, fairly uniform layer of soil from the land surface caused by shallow sheets of water running off the land. These very shallow moving sheets of water are seldom the detaching agents, but the flow transports soil particles which are detached by raindrop impact and splash. The shallow surface flow rarely moves as a uniform sheet for more than a few feet on land surfaces before concentrating in surface irregularities (see Figure 2-3).

Rill erosion develops as shallow surface flows begin to concentrate in the low spots and irregularities of the land surface. As the flow changes from the shallow sheets to deeper flows in these low areas, the velocity and turbulence increase. The energy of this concentrated flow is able to both detach and transport soil materials. This action begins to cause the creation of tiny channels called rills (see Figure 2-3). Rills are small but well-defined channels that are, at most, only a few inches (about 5 centimeters) deep.

Gully erosion occurs as the flows in rills come together to form larger channels (see Figure 2-2 and Figure 2-3). Size is the major difference between gully and rill erosion. Gullies are too large to be repaired with conventional tillage equipment and usually require heavy earthmoving equipment and special techniques for stabilization. Typically they reach depths in excess of 1 foot (.3 m) but on rare occasions reach depths as much as 75 to 100 feet (23-30.5 m) deep.

Channel erosion occurs as the volume and velocity of runoff concentrate in drainage channels and cause movement of the stream bed and bank materials.

Shoreline erosion occurs on tidal and inland waters as daily high tides, wave action and storm surges erode coastal and estuarine shorelines. Existing shoreline structures can then be heavily damaged by severe wave action, significant patterns of tidal exchange or flushing rates, fresh water input or existing basin characteristics and channel contours. Wave action caused by boat wakes can also cause shoreline erosion. Sediment displaced by land erosion can render shipping channels and harbors impassable and adversely impact coastal and estuarine habitats. Significant alteration of shoreline configurations, particularly within high velocity flood zones, can occur when the natural erosion patterns are altered. Tidal wetlands, beaches and dunes, rocky shore fronts, bluffs and escarpments can be greatly affected through changes in their natural characteristics or functions.
Figure 2-3  Types of Erosion

- Raindrop and Sheet erosion
- Gully erosion
- Rill erosion
- Channel erosion
Factors Influencing Erosion

The erosion potential of any area is determined by four principal factors: soil characteristics, vegetative cover, topography, and climate. It is a reflection of all erosion factors combined. Although each of the erosion factors is discussed separately here, they are interrelated in determining erosion potential and no one factor determines erosion potential alone.

Erosion potential during dewatering activities is a function of the turbidity of the water being pumped and the discharge velocities of the pump.

Figure 2-4 below summarizes how erosion potential is influenced by these various factors. Understanding these four factors of soil erosion will aid the designer and planner in selecting the appropriate soil erosion control measure. Planning for soil conservation and water management requires knowledge of the relationship among these four factors that cause loss of soil and how these factors can be influenced to reduce such losses. In order to better understand the relationship of planned activities to soil erosion, a predictive soil erosion loss model, the Revised Universal Soil Loss Equation (RUSLE), was developed. See Appendix I for a discussion of RUSLE.

<table>
<thead>
<tr>
<th>Factor</th>
<th>Erosion Potential</th>
<th>Higher</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Soil Characteristics</strong></td>
<td>gravel, coarse sands</td>
<td>fine sands &amp; silt</td>
</tr>
<tr>
<td>soil texture</td>
<td>highly organic</td>
<td>no organic</td>
</tr>
<tr>
<td>organic content</td>
<td>blocky</td>
<td>granular</td>
</tr>
<tr>
<td>soil structure</td>
<td>sand/gravel</td>
<td>silt/clay</td>
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<tr>
<td>soil permeability</td>
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<td></td>
</tr>
<tr>
<td><strong>Vegetative Cover</strong></td>
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<td>0%</td>
</tr>
<tr>
<td>% cover</td>
<td>treed with ground cover/mulch</td>
<td>no cover</td>
</tr>
<tr>
<td>type of cover</td>
<td></td>
<td></td>
</tr>
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<td><strong>Topography</strong></td>
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<td>long</td>
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<td>flat</td>
<td>steep</td>
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<tr>
<td>slope gradient</td>
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<td></td>
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<tr>
<td><strong>Climate</strong></td>
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<td>high intensity</td>
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<td>frequent</td>
</tr>
<tr>
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<td>long duration</td>
</tr>
<tr>
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<td>wind</td>
<td>frozen</td>
<td>thawy</td>
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<tr>
<td>temperature</td>
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<td></td>
</tr>
<tr>
<td><strong>Special Case - Dewatering</strong></td>
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<td>high velocity</td>
</tr>
<tr>
<td>dewatering discharge</td>
<td></td>
<td></td>
</tr>
<tr>
<td>velocities</td>
<td></td>
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</tbody>
</table>
Soil Characteristics
Soil characteristics which influence erosion by rainfall and runoff are those properties which affect the infiltration capacity of a soil and those which affect the soil's resistance to detachment and transport by falling or flowing water. The following four characteristics are important in determining soil erodibility:

- texture (particle size and gradation)
- organic matter content
- structure
- permeability

Soils containing high percentages of fine sands and silt are normally the most erodible. Terrace escarpment soils in the Connecticut River valley are examples of soils that contain higher percentages of fine sands and silts and are very prone to erosion when disturbed. As the clay and organic matter content of soil increases, the erodibility decreases. Clays act as a binder to soil particles, thus reducing erodibility. However, while clays have a tendency to resist erosion, once eroded they are easily transported by water and the soil particles remain in suspension longer. In Connecticut, the existence of clay soils is very limited.

Gravelly soils are usually the least erodible. Soils high in organic matter have a more stable structure that improves their permeability. Such soils resist raindrop detachment and infiltrate more rainwater. Soils with high infiltration rates and permeabilities either prevent or delay and reduce the amount of runoff.

Vegetative Cover
Vegetative cover plays an important role in controlling erosion in the following five ways:

- Protects the soil surface from the impact of falling rain
- Holds soil particles in place
- Enhances the soil's capacity to absorb water
- Slows the velocity of runoff
- Removes subsurface water between rain falls through the process of evapo-transpiration
- Improves infiltration rates

By limiting and/or staging the removal of existing vegetation, and by decreasing the area and duration of exposure, soil erosion and sedimentation can be significantly reduced. Give special consideration to the maintenance of existing vegetative cover on areas of high erosion potential such as erodible soils, steep slopes, ditches, and the banks of streams.

Topography
Topography describes the configuration of the land surface. The size, shape and slope characteristics of a watershed influence the amount and rate of runoff. As both slope length and gradient increase, the rate of runoff increases and the potential for erosion is magnified.

Climate
Climate is the sum total of all atmospheric influences, principally moisture (including rainfall), temperature, wind, pressure, and evaporation. It determines the frequency, intensity, and duration of rainfall which in turn determines the amounts of runoff produced in a given area. As both the volume and velocity of runoff increase, the capacity of runoff to detach and transport soil particles also increases. Where storms are frequent, intense, or of long duration, erosion risks are high. Seasonal and regional changes in temperature, as well as variations in rainfall, help to define the high erosion risk period of the year.

Although wind can potentially remove more sediment than rainfall, in most cases in Connecticut it plays a relatively minor role in soil erosion. Its impact on the land is generally limited to large areas that are unprotected for long periods of time. However, there are areas of special concern. This is particularly true of the sandy soils found in the Connecticut River Valley that can be very susceptible to wind erosion if left unprotected during hot, dry weather. Wind can also agitate water bodies sufficiently to induce erosive wave action and/or cause the resuspension of deposited sediments.

One period of higher erosion potential exists during the spring thaw. It is a time when the coastal storm track increases rainfall potential. Additionally, because the ground is still partially frozen, the absorptive capacity is reduced. While frozen soils are relatively erosion resistant, they melt from the top down, creating a soft erodible surface over a hard impervious sub-surface. In Connecticut, thawing of the soils often occurs in conjunction with the early spring rains combined with snow melt. Additionally, soils with high moisture content are subject to frost heaving and can be very easily eroded upon thawing.
Types of Sediment and Sedimentation

From the time the soil particle is detached (either by rain drop splash or moving water), the velocity, turbulence and the size and types of material available are the primary factors determining the nature of the sediment load. The sediment being carried is either in the form of a suspended load or a bed load or both.

**Suspended load** is generally comprised of very fine material (clays and silts) and stays in suspension for long periods of time resulting in a condition called turbidity. The amount of these materials in suspension is dependent on the type of soil and the resistance to detachment by the erosive agent. Turbidity is measured with a nephelometer and recorded as nephelometric turbidity units. In Connecticut, any increase in turbidity from the norm is considered pollution.

**Bed load** is the sediment that moves on or near the stream bed. Typically this material moves at velocities less than the surrounding flow and can be measured in tons per unit of time. Bed loads are normally comprised of sands, pebbles and cobbles.

The amount of the total sediment load being carried as suspended load and/or bed load is related directly to the flow of the water. The movement of the sediment load tends to be in balance with flow conditions. This has an important bearing on channel stability. If the flow becomes loaded beyond its transporting capacity, deposition will occur. However, if the load is less than the transporting capacity, the flowing water attacks the channel in an effort to achieve a balance between load and capacity. Any change in sediment load or flow characteristics will have an effect on channel stability.

Deposition is the inverse of detachment. It occurs when the carrying capacity of the flow is reduced to a point below that needed to carry the sediment load. Deposition is a selective process. As flows slow down, the coarser fragments fall out of the water column first, followed by finer and finer particles, resulting in a noticeable gradation of particle sizes in the sediments.

Sediment deposits may occur in water bodies or on land. Deposits occur in water as a faster flowing stream flows into a slower one or into an area of slack water such as a pond or lake or ocean. A stream flowing from a steeper gradient to a lesser one will also lose velocity and carrying capacity and will form deposits. Additionally, deposition can take place on the inside bends of rivers and stream where flow velocities tend to be slower than on the outside of the bends. Deposits can also occur on land when the runoff loses velocity and hence the capacity to carry the same sediment load.

Sediment Pollution and Damage

Sediment pollution is soil out of place. It is the direct and indirect result of human activities that lead to severe soil loss. From the more than four billion tons of sediment delivered to our nation’s water bodies, about one billion tons reach the ocean. Although about 10% of the sediment is estimated to be generated by highway construction and land development, these activities could represent over 50% of the sediment load carried by many streams draining small sub-watersheds that are undergoing development.

Sediment pollution causes physical, chemical and biological damage. The type of damage is related to the size of the sediment particle. Figure 2-5 shows the relationship of particle size and character to damage or impact.
Erosion and Sediment Action Associated with Land Use Changes and Development

Land use changes and land development activities affect the natural or geologic erosion process by:

- Removing the existing protective vegetative cover.
- Prolonging the exposure of unprotected disturbed areas.
- Exposing underlying soil or geologic formations less pervious and/or more erodible than original soil surface.
- Compacting soils with heavy equipment and increasing impervious surfaces, thereby reducing rainfall absorption and increasing runoff.
- Modifying drainage areas.
- Altering the topography in a manner that results in shortened times of concentration of surface runoff (e.g., altering steepness, distance and surface roughness, and installation of “improved” storm drainage facilities).
- Altering the groundwater regime (e.g., placing a detention basin at the top of a slope).

Reshaping of land during construction or development alters the soil cover and the soil in many ways, often detrimentally affecting on-site drainage and stormwater runoff patterns. Many people may be adversely affected regardless of the size of the area being developed. Erosion and sediment from these areas often cause considerable economic damage to individuals and to society in general. Sediment deposition in waterways and reservoirs creates or aggravates flooding and surface water pollution problems. The result is damage to public and private property. Additionally, erosion and sedimentation may have adverse impacts on recreation, natural resources and wildlife due to the alteration and/or loss of aquatic habitat.

It is because of these adverse effects that steps must be taken to control the erosion and sedimentation that is associated with land use changes and development.
Definition

Types of Erosion

Factors Influencing Erosion
   Soil Characteristics
   Vegetative Cover
   Topography
   Climate

Types of Sediment and Sedimentation

Sediment Pollution and Damage

Erosion and Sediment Action Associated with Land

Use Changes and Development
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Erosion and Sediment Control Plans
This chapter is intended to be a guide for preparing a typical soil erosion and sediment control plan (hereafter referred to as an “E&S plan”) for a construction activity where land disturbance exceeds one half acre. This chapter is divided into three parts:

Part I: General Guidelines
Presents the basic information with which all site planners and plan reviewers should be familiar. It describes criteria for E&S plan content and format, and ideas for improving planning effectiveness.

Part II: Planning Process
Describes a procedure for developing an E&S plan from the collection of data, through the analysis of the data to the final selection and design of each erosion and sediment control measure (“E&S measure”).

Part III: Plan Requirements and Preparation
Presents details on the consolidation of planning information into a written document, the minimum information required and format. This procedure is written in general terms in order to be applicable to all types of construction projects.
Reviewing agencies and their staff, site planners, contractors, field inspectors and other individuals who are involved in or associated with construction activities are urged to become familiar with the contents of this chapter so that E&S plans will become more standardized, and thus more effective statewide.
Part I: General Guidelines

Definition of an E&S Plan
Connecticut General Statutes §22a-327(5) (“CGS”) defines a soil E&S plan as:

*a scheme that minimizes soil erosion and sedimentation and includes, but is not limited to, a map and a narrative. The map shall show topography, cleared and graded areas, proposed area alterations and the location of and detailed information concerning erosion and sediment measures and facilities. The narrative shall describe the project, the schedule of major activities on the land, the application of conservation practices, design criteria, construction details and the maintenance program for any erosion and sediment control facilities that are installed.*

The E&S plan consists of two components: a narrative which describes the project in general terms and a map which illustrates in detail what is contained in the plan and how it will be implemented. The information required by the statute for the map is contained collectively in the site drawing(s) and the erosion and sediment control drawing(s) referenced later in this chapter. The narrative may be contained on the site plan sheets, but typically it exists as a separate document due to its length, particularly in larger projects that have more than one construction phase. For sites where the E&S measures require engineering analysis and design, the hydrologic and hydraulic calculations and other support documentation are considered to be part of the E&S plan and are either attached to the narrative or placed on the drawings.

The E&S plan is an integral part of an overall site plan. It should be a separate plan when needed for clarity, but can also be on the site plan if the proposed E&S measures can be clearly shown and noted. The E&S plan itself shall contain notes to ensure that the controls are installed, inspected and maintained properly. This could be done in a separate specifications package supplemented by notes on the drawings.

Plan Adequacy
CGS §22a-327(5) sets minimum requirements for E&S plans mandated under the Soil Erosion and Sediment Control Act (CGS §§22a-325 through 22a-329). This law specifically requires local planning and zoning commissions to consider erosion and sediment controls and provide for certification that an adequate E&S plan has been submitted. Many municipal planning and zoning commissions have cited these guidelines in their regulations and frequently require them as the standard to follow. Other requirements may be mandated by individual municipal planning and zoning commission regulations.

Additional regulatory agencies, such as a municipal inland wetland agency and the Connecticut DEP, may request the submission of an E&S plan for review and approval. These other areas of regulatory control can include inland wetlands and watercourses, water pollution control, diversion of water, encroachments riverward of stream channel encroachment lines, tidal wetlands and tidal, coastal and navigable waters.

Regardless of the regulating authority, E&S plans shall contain sufficient information to show that the potential problems of soil erosion and sedimentation have been addressed for a proposed project.

The length and complexity of the plan is directly related to the size of the project, the severity of site conditions, and the potential for off-site damage (see Figure 3-1).

E&S plans using measures that contain “DESIGN CRITERIA” shall be signed and sealed by a professional engineer licensed to practice in Connecticut.

Site planners and plan reviewers may use the checklists contained in Part III of this chapter as a guide to E&S plan content. Most projects are subject to a local regulatory review. The procedure outlined in this chapter is recommended for the development of all plans.
Minimum Standards and Specifications
Chapter 5 of these Guidelines contains the minimum standards and specifications for E&S measures. Whenever any of these measures are to be employed on a site, the specific measure should be clearly marked on the plan. By referencing the Guidelines properly, the planner can reduce the need for lengthy descriptions of the measures in the plan. The plan shall contain diagrams and notes related to the installation and maintenance of the measures.

Modifications to the standard measures given in these Guidelines or new and innovative E&S measures may also be employed. Such modifications or measures shall be thoroughly described in detail to the satisfaction of the reviewing agency. The modified or new measure shall be at least as effective as the Guideline measure being replaced.

Standard Measure Coding System
Site planners and engineers are encouraged to use the standard coding system for E&S measures contained in these Guidelines. Appendix L contains a large fold-out chart which lists each measure with its definition and code. It is expected that the use of this identification system will result in increased uniformity of plans as well as clarity and simplicity for plan reviewers’ job.

Part II - Selection & Planning Process

Site Selection
Historically sites for development have been selected based upon the availability of property where zoning and wetland restrictions can be compatible with development needs. Existing infrastructure is also a major determining factor in the selection of potential sites for development. Irrespective of the ultimate use or location of the proposed development, erosion and sediment control needs to be an integral part of the site selection process.

Review of Available Information
In Connecticut, there are many sources of information that already exist and are available to the site planner or engineer as aides in developing site plans. They include:

1. USGS topographical maps available from the DEP store;
2. local governmental topographical maps (e.g. MDC topographic maps, town contour maps);
3. site drawings from previous development proposals;
4. USDA County Soil Surveys available from most county soil and water conservation districts;
5. aerial photography usually available from a variety of sources including the DEP store, ConnDOT, and USDA Farm Services Agency;
6. municipal inland wetlands map(s) available from town halls;
7. water quality classification maps available from the DEP store;
12. Federal Emergency Management Agency floodplain mapping and studies available for viewing at local planning and zoning offices and at DEP Inland Water Resources Division;
13. State established Stream Channel Encroachment Line maps available at DEP Inland Water Resources Division or for viewing in the map section of the local land records in the respective town clerk’s office;
14. Natural Resource Inventory (NRI) data available from the local office of the USDA Natural Resources Conservation Service;
15. coastal resource maps on file in town planning and/or zoning offices (also available from the DEP store);
16. tidal wetland maps on file in individual town planning and/or zoning offices;
17. natural diversity database maps entitled Federally and State Listed Endangered, Threatened and Special Concern Species and Significant Habitats (available from the DEP store);
18. GIS Data Catalog, July 1996 available from the DEP store (some of the information listed is available from the Internet web site http://magic.lib.uconn.edu/);

1 See Appendix E for agency contact information.
2 See DEP Information Directory & List of Publications for information on ordering copies of aerial photography.
Site Investigations and Limitations

A review of existing information can assist the planner in determining initially if the site is compatible with the development needs, or if limitations exist that will impact site development. Features such as existing topography, wetlands, watercourses, expected surface and groundwater quality, habitats of endangered species, steep slopes and flood prone areas can be generally identified by reviewing existing information. Projects that propose filling flood prone areas or inland wetlands require permits or approvals from local agencies and may require state and/or federal permits. These permits are sometimes difficult to obtain, if at all, and may result in the need for costly mitigation procedures.

Potential structural measures, such as detention basins and sedimentation basins can be identified. For example, the development of a site located near the top of a watershed may result in decreased infiltration and increased runoff (e.g. wooded land to grass or vegetation to pavement). In this case detention or recharge facilities may be needed to reduce or eliminate the expected increases in runoff.

The presence of wetlands or flood prone areas can severely limit the siting of detention and sedimentation basins on the site, not to mention other structural features such as buildings and roads.

Soil limitations that interfere with the siting of structures and construction can be identified from the published soil surveys. Complex sites may require a more detailed soil survey by a soil scientist or geologist in order to identify problems not indicated by a general soil survey. Highly erodible soils coupled with the close proximity of a sensitive resource (e.g. public water supply reservoir, cold water fisheries, endangered species habitat) may require greater efforts and costs to control soil erosion and sedimentation.

The data collected during the preliminary site selection process will need to be supplemented and clarified with data collected in the field. This supplemental data collection includes mapping of wetlands by a soil scientist or geologist in order to identify problems not indicated by a general soil survey. Highly erodible soils coupled with the close proximity of a sensitive resource (e.g. public water supply reservoir, cold water fisheries, endangered species habitat) may require greater efforts and costs to control soil erosion and sedimentation.

The combined data is used to develop the following maps:

Site Locus Map
A map that shows the site’s relationship to roads and other environmental features such as major watercourses. The USGS Quadrangle Map or local street map may be used as the base map for identifying the location of the site.

Detailed Existing Conditions Map
A map or maps of the site where 1 inch represents no more than 100 feet containing detailed information on topography, drainage patterns, soils, existing vegetation, adjacent areas and coastal resources, where appropriate. A map scale of 1" = 40' is generally suitable but may vary depending on site complexity, size of site or requirements of the reviewing agency.

By analyzing the data collected during the review of the existing information and the detailed site investigations, site limitations are identified. The site planner should also be able to determine those areas that will need special consideration during the development of the site plan.

Topography: Show
The existing contour elevations at intervals of from 1 to 5 feet depending upon the site. Existing topographic maps (e.g. USGS, local government, or topographic maps from previous proposals) can be a good starting point, however, the information should be verified by a field investigation. On larger tracts of land (generally greater than 25 acres in size) a photogrammetric contour map with 2-foot contour intervals is suggested, especially in areas proposed for intensive development where existing topography may cause serious site limitations.

The topography of any given site is the composite of the physical features that make up the site including slopes and drainage ways and their relationship to each other. Most slopes in their natural vegetated state have achieved a state of stability and are not subject to excessive erosion. When sites are developed and the natural vegetation is removed, the potential for erosion increases dramatically. The longer and steeper the slope, the greater the erosion potential. On sites where soil survey information is available, slope designations appear in the form of a letter within the symbol denoting the soil type. Slopes can also be described as a ratio of rise to run. (See Land Grading measure for description of slope ratios and potential limitations created by slope gradients).

Drainage Patterns: Show
The location of all existing depressions, drainage swales, permanent and intermittent watercourses, FEMA floodways and 100-year flood boundaries, Stream Channel Encroachment Lines (if any), and buffers established by regulation. Since regulatory buffers vary from town to town, it is important that the site planner consult with the individual town wetland staff or commission early in the development of the site plan.

The site development should be compatible with natural drainage patterns to the fullest extent possible. These drainageways should be used to convey runoff through and off the site to avoid the expense and
problems of constructing an artificial drainage system. Man-made ditches and watercourses are prone to erosion if they are not properly designed and constructed.

Increased runoff from the site should not erode or exceed the capacity of existing natural drainage systems both on and off site.

A preliminary analysis of the downstream channel should be used to indicate what effect changes in flow from the completed development site will have within those channels and on other downstream properties. The analysis should consider potential sites for stormwater detention at this time in recognition of applicable regulatory requirements.

However, on-site detention may not be desirable when the site is located in a subwatershed that is positioned in the lower portions of a substantially larger watershed. If detention is located in the lower reaches of a watershed, then there is a risk, depending on the size and release rate of the detention basin, that the peak flow released from the site will be detained long enough to combine with the peak flows from the upper reaches, thus increasing peak downstream flows.

A detailed engineering analysis is required in order to avoid improperly designed and located detention basins using the following steps:

1. Locate the area downstream that is to be targeted for protection from additional runoff. A target area might be a flood prone road crossing, eroding stream bank or reach of stream where homes are currently endangered.

2. Delineate the watershed to the targeted area.

3. Determine where the proposed detention basin will be located within the watershed.

4. Conduct a hydrograph analysis to determine the timing of peak discharges. Use the USDA-Natural Resource Conservation Service’s Technical Releases 20 (TR 20) or 55 (TR 55) or other appropriate methods which produce hydrographs that can be used to evaluate existing and post-development conditions.

If the hydrograph analysis indicates that detention is detrimental to the target area, and increases in peak runoff from the development site are expected to adversely affect area between the site and the target area, then other methods to decrease peak flows from the site may be utilized. These include, but are not limited to, infiltration devices such as subsurface galleries, storm water leaching systems, dry catch basins, or retention basins. These are post-construction storm water management practices and are not within the intent of these guidelines. Standard engineering practices for the design criteria for these in-ground measures must be used.

In-ground infiltration devices are useful only as long as the seasonal high water table is at a sufficient depth to allow infiltration. Other methods include decreasing the area of impervious surfaces, using grassed or riprapped waterways instead of storm sewers, leaving wooded buffers, requiring larger lot sizes, or combining these techniques.

Soils: Determine the major soil type(s) on the site and show them on the detailed site drawing. General soils information can be obtained from the USDA county soil survey available at most county soil and water conservation district offices. The USDA county soil surveys contain tables that identify soil capabilities and suitability for certain management and conservation practices. These tables will help to assist in identifying soil limitations.

For large or complex sites, such as subdivisions with road construction, shopping centers, schools, apartment complexes, and projects with multiple phases, detailed soils investigations are recommended as they provide more comprehensive soils information than that provided in the county soils surveys. When the county soil survey information is determined to be insufficient for design, a detailed soil investigation is required. Detailed soil boundaries are marked in the field by a soil scientist, field located by a land surveyor, and plotted directly onto the map or an overlay at the same scale for ease of interpretation. Inland wetlands can be delineated from this detailed soils information.

Vegetation: Identify and show the existing vegetation types and patterns on the site. Features such as tree clusters, grassy areas, tidal and/or inland wetlands vegetation, and unique vegetation should be shown on the detailed map. In addition, existing exposed soil areas, such as borrow pits, should be indicated.

Coastal Resources and Other Sensitive Areas: If the project is within the coastal area management zone, identify and show all coastal resources on-site including tidal wetlands, beach soils, dunes, bluffs, escarpments, coastal flood hazard areas, coastal waters, estuarine embayments, intertidal flats, submerged aquatic vegetation and shellfish concentration areas. If applicable, identify and show the location of the high tide line and mean high water.

Other sensitive areas that are not necessarily identified as a coastal watercourse but which need to be identified and mapped include inland wetlands, watercourses in general and watercourses containing cold water fisheries, endangered species habitat identified by the natural diversity database (maintained by the DEP Natural Resources Center) and terrace escarpments located in the Connecticut River valley.

Additionally, determine if the site is located within a public water supply watershed area by referring to DEP’s Connecticut Natural Resource Atlas Series: Community Water Systems in Connecticut, a 1984 Inventory or reviewing available GIS data. Show the watershed boundary on the site locus map. CGS §§ 8-3i and 22a-42f require the applicant to notify the affected water utility of any projects located within the public water supply watershed area.
Minimize direct impact to coastal resources and other sensitive areas.

When the project is located in a public drinking water supply watershed area review the DEP’s publications Protecting Connecticut’s Water-Supply Watersheds, A Guide For Local Officials, January 1993, and Protecting Connecticut’s Groundwater, A Guide For Local Officials, January 1997, DEP Publication # 26. Identify measures needed to reduce potential impacts to the public water supply caused by the development activities. It is suggested that a copy of the plan be submitted to the water utility for their review and comments.

Adjacent Areas: Investigate areas adjacent to the site which will either impact or be impacted by the project. Features such as perennial and intermittent streams, roads, houses or other buildings, or wooded areas should be shown. Wetlands, watercourses and downstream culverts which will receive runoff from the site should be located and surveyed to determine their ability to retain or discharge projected runoff. Identify sensitive downstream areas, such as existing stream bank erosion, hydraulic constraints, public water supply reservoirs, Aquifer Protection Areas, and in-stream recreation areas. Identify approved and future development site(s) in the upper watershed area.

In addition to the hydraulic concerns raised in the Drainage Patterns subsection, evaluate the environmental conditions in areas down slope and up slope from the construction project. The potential for sediment deposition on down slope properties should be analyzed so that appropriate erosion and sediment controls can be planned. Down slope wetlands and watercourses (especially those containing drinking water reservoirs or cold water fisheries habitat) which will receive runoff from the site are concerns.

Drainage conditions up slope or off site from a proposed embankment cut need to be checked to insure that the cut does not eliminate a hydrologic and hydrogeologic feature. These features could be providing for flood storage and/or water quality renovation on or adjacent to the site. Additionally, drainage swales and depressions that traverse the cut area will require an engineered design to ensure channel stability both on and off site.

**Principles of Site Planning for Erosion and Sediment Control**

The primary function of erosion and sedimentation controls is to absorb erosional energies and reduce runoff velocities that force the detachment and transport of soil and/or encourage the deposition of eroded soil particles before they reach any sensitive area. Erosion and sedimentation control principles are all formulated on the premise that it is easier, cheaper and less environmentally damaging to reduce soil detachment in the first place than it is to control its transport and deposition or to remediate damage after it occurs. Specific control measures are discussed in detail in Chapter 5 of these Guidelines.

After reviewing the data and determining the site limitations, the planner can then develop a site plan. This plan is based upon basic erosion and sediment control principles. These principles are as follows:

**Plan Development to Fit Environmental Conditions**

Start by selecting a site that is suitable for a specific proposed activity. Sites with resource limitations should be developed in conformance with the capacity of the site to support such development, rather than by attempting to modify a site to conform to a proposed activity.

- Utilize the existing topography.
- Align roads on the contour wherever possible and use them to divert surface water, thereby reducing slope lengths.
- Concentrate development on flattest area of the site to avoid excessive slope cuts or fills where possible.
- Avoid steep slopes and soils with severe limitations for the intended uses. If there are no feasible alternatives to avoiding steep slopes and/or erodible soils, sound engineering practices should be employed to overcome the site limitations. For example, long steep slopes need to be broken up by benching, terracing or diversions to avoid erosion problems. Steeps emanating from cut slopes will need provisions for internal drainage to prevent slope failure.
- Avoid flood prone areas, wetlands, beaches, dunes and other sensitive areas and when possible keep floodplains free of fill or obstructions.
- Keep stockpiles, borrow areas, access roads and other land-disturbing activities away from critical areas (such as steep slopes and highly erodible soils) that drain directly into wetlands and water bodies.
- Avoid siting buildings in drainage ways, over watercourses and over storm drainage systems.
- Utilize the natural drainage system whenever possible. If the natural drainage system of a site can be preserved instead of being replaced with piped storm sewers or concrete channels, the potential for downstream damages from increased runoff can be minimized, making compliance with storm water management criteria easier.

**Keep Land Disturbance to a Minimum**

The more land that is kept in vegetative cover, the more surface water will infiltrate into the soil, thus minimizing stormwater runoff and potential erosion. Keeping land disturbance to a minimum not only involves minimizing the extent of exposure at any one time, but also the duration of exposure. Phasing, sequencing and construction scheduling are interrelated. Phasing divides a large project into distinct sections where construction work over a specific area occurs over distinct periods of time and each phase is not dependent upon a subsequent phase in order to be functional. A sequence is the order in which construction activities are to occur during any particular phase. A sequence should be developed on the
premise of “first things first” and “last things last” with proper attention given to the inclusion of adequate erosion and sediment control measures. A construction schedule is a sequence with time lines applied to it and should address the potential overlap of actions in a sequence which may be in conflict with each other.

- Cluster buildings to minimize the amount of disturbed area, concentrate utility lines and connections in one area, and provide for more open space. The cluster concept not only lessens the area subject to erosion, but reduces potential increases in runoff, and generally reduces development costs.

- Limit areas of clearing and grading by concentrating construction activities on the least critical or sensitive areas. Protect natural vegetation from construction equipment with fencing, tree armoring, and retaining walls or tree wells.

- Route traffic patterns within the site to avoid existing or newly planted vegetation.

- Phase developments so that areas which are actively being developed at any one time are minimized and only that area under construction is exposed. Clear only those areas essential for construction. Consider restricting the start of a later phase contingent upon the completion of a prior phase. At any given point of time, when the disturbed area exceeds 5 acres and drains to a common point of discharge the construction of a sedimentation basin is indicated. Restrictive phasing can sometimes keep the disturbed area below this 5 acre threshold.

- Sequence the construction of storm drainage and sewer systems so that they are operational as soon as possible during construction. Ensure all outlets are stable before outletting storm drainage flow into them. See Chapter 4 for examples of sequences for large construction sites.

- Schedule construction so that final grading and stabilization is completed as soon as possible. Include early stabilization or covering of stockpiled topsoil or other erosive materials when they will not be used within 30 consecutive days. Grading and stabilization of steep slopes and erodible soils with severe limitations should be sequenced early in the construction so that grading work proceeds from the highest to lowest elevation.

- Schedule construction where possible to avoid disturbing large or critical areas during frozen ground conditions (December to February) and spring thaw (February to early March).

- Use planning tools such as flow charts, Critical Path Method (CPM) or Gantt Charts (see Appendix G) to develop feasible sequences and schedules in the most environmentally sound and cost effective way. Additionally, they can be used by financial lenders to develop funding schedules.

- Schedule the implementation of erosion and sediment controls so that they are timed to match the erosion and sediment needs created by the sequencing in each phase.

**Slow the Flow**

Detachment and transport of eroded soil must be kept to a minimum by absorbing and reducing the erosive energy of water. The erosive energy of water increases as the volume and velocity of runoff increases. The volume and velocity of runoff increases during development as a result of reduced infiltration rates caused by the removal of existing vegetation, removal of topsoil, compaction of soil and the construction of impervious surfaces.

- Minimize impervious areas. Encourage infiltration where appropriate. Keep paved areas such as parking lots and roads to a minimum. This complements cluster developments in eliminating the need for duplicating parking areas, access roads, and other impervious areas.

- Keep in mind that increases in runoff may require control measures or channel improvements.

- Use diversions, stone dikes, silt fences and similar measures to break flow lines and dissipate storm water energy.

- Consider collecting and detaining runoff when there is an increased potential for flooding and resultant damage to downstream facilities.

- Avoid diverting one drainage system into another without calculating the potential for downstream flooding or erosion.

- Perform runoff calculations to determine the effect of the development on the existing hydraulic system. Make changes where necessary to avoid downstream damage and to comply with runoff requirements of the municipal reviewing agency.

- Determine the potential need for detention basins. Attempt to locate detention basins outside of floodplains, wetlands and water courses, and adjacent to steep escarpments.

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3 Slope stability and soil permeability must be considered when considering infiltration options.
Keep Clean Runoff Separated
Clean runoff should be kept separated from sediment laden water and should not be directed over disturbed areas without additional controls. Additionally, prevent the mixing of clean off-site generated runoff with sediment laden runoff generated on-site until after adequate filtration of on-site waters has occurred.

- Segregate construction waters from clean water.
- Divert site runoff to keep it isolated from wetlands, watercourses and drainage ways that flow through or near the development until the sediment in that runoff is trapped or detained.

Reduce on Site Potential Internally and Install Perimeter Controls
While it may seem less complicated to collect all waters to one point of discharge for treatment and just install a perimeter control, it can be more effective to apply internal controls to many small sub-drainage basins within the site. By reducing sediment loading from within the site, the chance of perimeter control failure and the potential off-site damage that it can cause is reduced. It is generally more expensive to correct off-site damage than it is to install proper internal controls.

- Control erosion and sedimentation in the smallest drainage area possible. It is easier to control erosion than to contend with sediment after it has been carried downstream and deposited in unwanted areas.
- Direct runoff from small disturbed areas to adjoining undisturbed vegetated areas to reduce the potential for concentrated flows and increase settlement and filtering of sediments.
- Concentrated runoff from development should be safely conveyed to stable outlets using riprapped channels, waterways, diversions, storm drains or similar measures.
- Design conveyance systems to withstand the velocities of projected peak discharges.
- Determine the need for sediment basins. Sediment basins are required on larger developments where major grading is planned and where it is impossible or impractical to control erosion at the source. Sediment basins are needed on large and small sites when sensitive areas such as wetlands, watercourses, and streets would be impacted by off-site sediment deposition. Do not locate sediment basins in wetlands or permanent or intermittent watercourses. Sediment basins should be located to intercept runoff prior to its entry into the wetland or watercourse.
- Grade and landscape around buildings and septic systems to divert water away from them.

Implement a Thorough Maintenance and Follow up Program
Having a failing E&S measure that is not promptly repaired is like having no control at all. A site cannot be effectively controlled without thorough periodic checks of the erosion and sediment control measures and repairs of failures. These measures must be maintained just as construction equipment must be maintained and materials checked and inventoried. Monitoring and maintenance of erosion and sediment controls is essential to the success of an E&S plan.

Select Erosion and Sediment Control Measures
Erosion and sedimentation controls are used to dissipate erosive energies, requiring that their performance and structural limitations be considered. Increases in runoff occurring during development are caused by reduced infiltration resulting from the removal of vegetation, the removal of topsoil, compaction and the construction of impervious surfaces. These increases must be taken into account when providing for erosion control.

The selection of erosion and sediment control measures consists of the following four steps:

Step 1. Identify problem areas.
Step 2. Identify the control problems.
Step 3. Identify a strategy or strategies for each problem.
Step 4. Select appropriate measures from the control groups.

On Page 3-11 is a matrix to guide the selection of soil erosion and sediment control measures. Following the measure selection matrix in steps from left to right, the user can identify the potential problems and solutions for control of these problems. To use the measure selection matrix follow the four basic steps:

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4 For requirements, see the current General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities issued by the DEP.
**Step 1:**
*Identify Problem Areas* - Areas where erosion will need to be controlled will usually fall into categories of disturbed areas, drainage ways and dewatering operations.

**Step 2:**
*Identify Control Problems* - Problems fall into the three broad categories of soil detachment, water and sediment transport and sediment deposition. Identify the type of erosion the problem area is expected to experience.

- For areas having rain drop erosion, sheet erosion or rill erosion focus on controlling sediment detachment.
- For areas expected to experience gully erosion or concentrated flows focus on controlling water movement.
- For areas where soil detachment can not be prevented due to construction limitations focus on controlling sediment deposition.

**Step 3:**
*Identify Strategy* - Select a strategy or strategies to control the problem, using the measure selection matrix as a guide.

There may be several strategies used individually or in combination to provide the solution. For example, if a cut slope is to be protected, the strategies may be to conserve existing site resources, protect the exposed surface, direct water from the slope, detain sediments at the toe of the slope, or any combination thereof. If no water except that which falls directly on the slope has the potential to cause erosion and if the slope is relatively short, protecting the soil surface may be all that is required to solve the problem.

Structures are generally more costly than vegetative controls. However, they are often necessary since not all disturbed areas can be protected with vegetation. Structural measures are often used as a second or third line of defense to capture suspended sediment before it leaves the site.

**Step 4:**
*Select the Appropriate Measures from the Control Groups* - Once strategies to solve the erosion and sediment problem are identified, the measure selection matrix leads to the group or groups of control measures that will accomplish each strategy. Control measures within each group have a similar function. Therefore, any measure within a group could address the problem in question.

The final step in erosion and sediment control planning is selecting the measure(s) within a group(s) that address the specific erosion and sediment problem. Select the measures which are most effective and feasible for the site.

Once the specific measures have been selected, the plan key symbols given in the measure selection matrix can be placed on the erosion and sediment control drawings to show where the measures will be applied or installed. Standardized design, plan, and construction specification sheets can then be completed for each control measure.

**Part III E&S Plan Requirements**

**Plan Format**

The E&S plan should be an integral part of the overall site plan. However, for the purposes of review, certification, bonding and enforcement, the E&S plan narrative and drawings should be developed so that they can be separated from the overall site plan (which include construction drawings), as needed, to facilitate their use. On non-complex projects, the E&S plan need not be separate if clarity of information is maintained.

To facilitate plan review, certification, implementation, and the construction inspection process:

1. Place the information needed for construction on the construction drawings or in the specifications package.
2. Make all construction drawings for a specific project the same size sheets.
3. Make the soil erosion and sediment control drawings a part of the overall construction drawings for the project.
4. For larger projects, show the installation requirements and specifications for measures to be used on a separate sheet from the plan view sheets. For small projects, if room allows for details to be clearly shown, placing details on the plan view sheet can be advantageous.
5. Place the phases of development, associated sequences of major operations, and maintenance program during construction either in the narrative portion of the plan or on the construction drawings. (See Appendix G on the use of Gantt diagram and critical path flow charts)
6. Attach all supporting information, such as design calculations, boring logs, test pit logs, percolation or permeability results to the narrative. Show the location of all borings, test pits, percolation tests and permeability holes on a separate drawing. For simple projects show them on the erosion and sediment control drawings.
## Figure 3-2 Measure Selection Matrix

<table>
<thead>
<tr>
<th>Identify Problem Areas</th>
<th>Identify Control Problems</th>
<th>Identify Strategy</th>
<th>Select Specific Measure</th>
<th>Plan Key</th>
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<tbody>
<tr>
<td>Disturbed Areas</td>
<td>Control Soil Detachment</td>
<td>Preserve and Conserve Existing Site Resources</td>
<td>Protect Vegetation</td>
<td>Tree Protection</td>
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<td></td>
<td>Raindrop Splash</td>
<td>Protect Surface</td>
<td>Vegetative Soil Cover</td>
<td>Temporary Seeding</td>
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<td>Sheet &amp; Rill Erosion</td>
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Monitoring and Maintenance

The E&S plan and any revisions, shall identify an agent or agents who have the responsibility and authority for the implementation, operation, monitoring and maintenance of E&S measures. Such agent(s) shall be familiar with each control measure used including its limitations, installation, inspection and maintenance. When control measures fail, or are found to be otherwise ineffective, such agent(s) shall coordinate plan revisions with a professional experienced in erosion and sediment control and any approving agency when that agency’s approval is required. Such agent(s) shall have the additional responsibility for ensuring all erosion and sediment controls are properly installed and maintained the construction site before predicted major storms. A major storm is defined as a storm predicted by the National Office of Atmospheric Administration (NOAA) Weather Service with warnings of flooding, severe thunderstorms or similarly severe weather conditions or effects.

Each measure has inspection requirements included in the measure's section entitled “Maintenance”. Many of the measures require inspections at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater; some others require daily inspection. Only the permanent measures have less frequent inspections. More frequent inspections than those identified in the measure may be necessary for sites that are heavily traveled and before major storms.

E&S Plan Checklists

These checklists are intended to be of assistance in preparing and approving E&S plans, and serve as a reminder of major items that typically need to be considered when developing the plan.

1. Narrative
   1.1 Purpose and description of project.
   1.2 Estimates of the total area of the project site and the total area of the site that is expected to be disturbed by construction activities.
   1.3 Identification of site-specific erosion or sediment control concerns and issues.
   1.4 The phases of development if more than one phase is planned
   1.5 The planned start and completion dates for each phase of the project. 5
   1.6 Either provide or identify where in the E&S plan the following information is found:
      1.6.1 the design criteria, construction details and maintenance program for the erosion and sediment control measures to be used,
      1.6.2 the sequence of major operations within each phase, such as installation of erosion control measures, clearing, grubbing, excavation, grading, drainage and utility installation, temporary stabilization, road base, paving for roadways and parking areas, building construction, permanent stabilization, removal of temporary erosion control measures
      1.6.3 The time (in days) required for the major operations identified in the sequence

5 These are often subject to change depending on markets, financing, permit approvals and weather conditions. A change in a start date can cause a restriction or prohibition in the use of proposed measures, and thereby require revisions to the E&S plan.

2. Support Documents (as may be needed to support Engineering Designs)

2.1 Hydraulic Calculations
   2.1.1 Size and locations of existing and planned channels or waterways with design calculations and construction details.
   2.1.2 Existing peak flows with calculations
   2.1.3 Planned peak flows with calculations
   2.1.4 Changes in peak flows
   2.1.5 Off-site effects of increased peak flows or volumes
   2.1.6 Design calculations and construction details for engineered measures used to control off-site erosion caused by the project
   2.1.7 Design calculations and construction details for engineered measures used to control erosion below culverts and storm sewer outlets
   2.1.8 Design calculations and construction details for engineered measures used to control groundwater, i.e. seeps, high water table, etc.

2.2 Boring logs, test pit logs, soils reports, etc.

3. Site Drawing(s) Checklist

3.1 Jurisdictional Features Required on All Maps or Drawings
   3.1.1 North arrow
   3.1.2 Scale (including graphical scale)
   3.1.3 A title block containing the name of the project, the author of the map or drawing, the owner of record for the project, date of drawing creation and any revision dates
   3.1.4 Property lines
   3.1.5 Legend identifying the symbols used
   3.1.6 For plans containing E&S measures which require an engineered design, the signature and seal of a professional engineer licensed to practice in Connecticut

3.2 Site Locus Map
   3.2.1 Scale (1:24,000 recommended)
   3.2.2 Project location (show property boundaries and at least the area that is within 1000 feet of the property boundaries)
   3.2.3 Roads, streets/buildings
   3.2.4 Major drainage ways (at least named watercourses)
   3.2.5 Identification of any public drinking water supply watershed area

3.3 Topography, Natural Features and Regulatory Boundaries
   3.3.1 Existing contours (2 foot intervals)
   3.3.2 Planned grades and elevations
3.3.3 Limits of cuts and/or fills
3.3.4 Soils, bedrock
3.3.5 Seeps, springs
3.3.6 Inland wetlands boundaries
3.3.7 FEMA identified floodplains, floodways and State established stream channel encroachment lines
3.3.8 Streams, lakes, ponds, drainage ways, dams
3.3.9 Existing vegetation
3.3.10 Tidal wetland boundaries and coastal resource limits (e.g. mean high water, shellfish beds, submerged aquatic vegetation, CAM boundary)
3.3.11 Public water supply watershed, well heads or aquifer boundaries (when available)

3.4 Drainage Patterns
3.4.1 Existing and planned drainage patterns (including offsite areas)
3.4.2 Size of drainage areas
3.4.3 Size and location of culverts and storm sewers (existing and planned)
3.4.4 Size and location of existing and planned channels or waterways
3.4.5 Major land uses of surrounding areas

3.5 Road and Utility Systems
3.5.1 Planned and existing roads and buildings with their location and elevations
3.5.2 Access roads: temporary and permanent
3.5.3 Location of existing and planned septic systems
3.5.4 Location and size of existing and planned sanitary sewers
3.5.5 Location of other existing and planned utilities, telephone, electric, gas, drinking water wells, etc.

3.6 Clearing, Grading, Vegetation Stabilization
3.6.1 Areas to be cleared, and sequence of clearing
3.6.2 Disposal of cleared material (off-site and on-site)
3.6.3 Areas to be excavated or graded, and sequence of grading or excavation
3.6.4 Areas and acreage to be vegetatively stabilized (temporary and/or permanent)
3.6.5 Planned vegetation with details of plants, seed, mulch, fertilizer, planting dates, etc.

4. Erosion & Sediment Control Drawings
4.1 Location of E&S measure on site plan drawing with appropriate symbol
4.2 Construction drawings and specifications for measures
4.3 Maintenance requirements of measures during construction of project
4.4 Person responsible for maintenance during construction of project
4.5 Maintenance requirements of permanent measures after project completion
4.6 Organization or person responsible for maintenance of permanent measures having the authority to maintain and upgrade control measures as designed or as needed to control erosion and sedimentation
4.7 Handling of emergency situations (e.g. severe flooding, rains or other environmental problems).
4.8 If not provided in the narrative, the information listed in check list paragraph 1.6 (see Narrative heading)
4 Large Construction Site Sequences and Special Treatments
Large Construction Site Sequences and Special Treatments Introduction

This chapter provides samples of sequences of activities for large construction sites and discussions on difficult soil erosion and sediment control problems which can require a combination of measures. The actual sequence used may be different than those listed below to fit a specific project or field conditions. This information supplements the guidance provided in Chapter 3 regarding the development of an erosion and sediment control plan.
Part I: Large Construction
Site Sequences

Part I addresses sites where major construction activities (earthmoving, extensive drainage work or land grading) will involve heavy earthmoving equipment and when construction may last more than one construction season (typically March through December). Projects with a total land disturbance of 5 acres or greater are considered to be large construction sites. Smaller sites may also require sequencing, particularly if they involve large cuts or fills, sensitive sites, or complex construction activities. Typical construction sequences are provided for the following types of large construction projects:

New Roadway Construction
Large Building Sites and Parking Areas
Underground Utility Lines

Phasing is encouraged for large construction sites, with each phase containing its own construction sequence. To phase a project is to break the proposed work into distinct sets of activities wherein the work completed in an earlier phase is not dependent upon the completion of a later phase to make the earlier phase functional. In other words, if a later phase was not completed for any reason, the initial development phase could be considered complete by itself. A phased project does not mean that a given location cannot be involved in several phases of construction. A location on a site included in a phase may also be involved with a later phase of construction.

For example, a 100 unit condominium complex may be broken into several phases: the first phase involving the construction of a portion of the primary access road, a detention basin, two buildings containing 20 units and borrowing fill materials from the final phase building site; a second phase involving the extension of the primary access road, recreational facilities and six buildings containing 60 condominium units; and a final phase to be completed for any reason, the initial development phase could be considered complete by itself. A phased project does not mean that a given location cannot be involved in several phases of construction. A location on a site included in a phase may also be involved with a later phase of construction.

Each phase of construction, regardless of the number of sequences it contains, requires a preconstruction meeting that should include the owner of record or authorized agent, the contractor, town engineer, wetlands agent, utility representatives, project engineer, and the agent or agents who have the responsibility and authority for the implementation, operation, monitoring and maintenance of the erosion and sediment controls. The purpose of the preconstruction meeting is to make all responsible parties of a project aware of the project’s needs so that resources can be properly distributed and to identify limitations and restrictions. The preconstruction meeting may identify modifications needed to the construction sequence and the application of special treatments. Include in the preconstruction meeting agenda, at a minimum, a review of plans, permit conditions, the contractors’ sequences and schedules for construction, site restrictions and other special needs.

The sequences provided in these Guidelines shall be adjusted to specific project needs, site conditions and regulatory requirements. These sequences assume work to be continuous and without delay. When work is suspended within the sequence, additional erosion and sediment controls may be required to secure the site. Erosion and sediment controls are installed and maintained as dictated by the construction schedule and as identified by the E&S plan. Inspection frequencies are site specific and are not included in the sequences given here. Additional erosion and sediment control may be required depending on site conditions.

New Roadway Construction
This sequence applies to a single phase of new roadway construction.

1. Flag the limits of construction, roadway base-line, right-of-way and tree protection zones.
2. Hold preconstruction meeting. (Remember to call before you dig 1-800-922-4455).
3. Hold tree cutting meeting.
4. Install the construction entrance.
5. Install perimeter erosion and sediment controls and tree protection devices in accordance with the E&S plan.
6. Cut trees within the defined clearing limits and remove cut wood. Chip brush and slash, stockpile chips for future use or remove off site.
7. Construct sediment basins.
8. Excavate all stumps located in the structural area and remove to a disposal site or stockpile area to be chipped. Stumps in non-structural areas may be ground in place or cut flush with the ground level and left in place in accordance with the plans. (Note: for DOT projects stumps in non-structural areas left in place are required to be in fill areas at least 5 feet deep.)
9. Strip all topsoil within the right-of-way and slope limits. Stockpile all topsoil in an approved area and secure with erosion and sediment controls. (See Chapter 4, Part II on Stockpile Management).
10. Cut or fill the proposed roadway to establish the sub-grade.
11. Install all sanitary sewers and drainage facilities starting at the outfall and proceeding upgrade. Install remaining utilities (water, gas, electric, cable, fiber optic, telephone). Ensure that the drainage outlet protection is in place prior to any flow being allowed to discharge.
12. Place, grade and compact the processed aggregate in the roadway base.

13. Topsoil and grade in all slope areas to within 2 feet of the proposed curbing.


15. Install curbing if required.

16. Apply stabilization measures to remaining disturbed areas in accordance with the erosion and sediment control plan (topsoil, seeding, sodding, mulching, etc.)

17. Inspect and clean drainage system, as needed.

18. Install the final course of bituminous concrete pavement.

19. After roadway shoulders are stabilized in accordance with the applicable E&S measure, remove temporary erosion and sediment controls (e.g. geotextile silt fences).

**Limitations of Sequence:**

This sequence is for new roadway construction on undisturbed land. Roadway reconstruction requires a more complex sequence that includes such items as maintenance and protection of traffic.

**Large Building Sites and Parking Areas**

This sequence applies to any building site or a single phase of a multi-phase project.

1. Flag the limits of construction necessary to facilitate the preconstruction meeting.

2. Hold preconstruction meeting. (Remember to call before you dig 1-800-922-4455).

3. Flag remainder of the limits of construction and tree protection zones.

4. Install the construction entrance.

5. Install perimeter erosion and sediment controls and tree protection devices in accordance with the E&S plan.

6. Cut trees within the defined clearing limits and remove cut wood. Chip brush and slash, stockpile chips for future use or remove off site.

7. Construct sediment basins.

8. Strip and stockpile all topsoil that is within the footprint of the construction site and reference stockpile management for erosion and sediment controls. (See Chapter 4, Part II on stockpile management). Either remove tree stumps to an approved disposal site or chip in place as indicated on the plans.

9. Make all cuts and fills required. Establish the subgrade for the topsoil areas, parking and roadway as required and bench the building to a subgrade. Allow a reasonable amount of area around the footprint of the building for the construction activities.


11. Prior to installing surface water controls such as temporary diversions and stone dikes, inspect existing conditions to ensure discharge locations are stable. If not stable, review discharge conditions with the design engineer and implement additional stabilization measures prior to installing water surface controls.

12. Install all sanitary sewers, drainage systems and utilities to within 5 feet of the building or as otherwise modified by the design engineer to adjust for unforeseen site conditions.

13. Prepare sub-base, slopes, parking areas, shoulder areas, access roads and any other area of disturbance for final grading.

14. Install process aggregate in parking areas.

15. Place topsoil where required. Complete the perimeter landscape plantings.

16. Fine grade, rake, seed and mulch to within 2 feet of the curbing.

17. Upon substantial completion of the building, complete the balance of site work and stabilization of all other disturbed areas. Install first course of paving.

18. When all other work has been completed, repair and sweep all paved areas for the final course of paving. Inspect the drainage system and clean as needed.

19. Install final course of pavement.

20. After site is stabilized remove temporary erosion and sediment controls (e.g. geotextile silt fences).

**Limitations of this sequence:**

This sequence does not include the construction of an access road. (See New Roadway Construction Sequence). It is not for previously disturbed sites. For redevelopment of existing building site(s) expect the sequence to be more complex. Separate sequences are required when the construction of multiple buildings are planned to occur in phases.
Utility Projects

This sequence applies to the initial construction or reconstruction of utility projects in developed or undeveloped areas. These utilities may include, but are not limited to, sewer, water, subsurface drainage, gas, electric, telephone and cable.

1. Flag the limits of construction necessary to facilitate the preconstruction meeting.

2. Hold preconstruction meeting. (Remember to call before you dig 1-800-922-4455).

3. Flag the remainder of the limits of construction and tree protection zones in the field.

4. Secure the areas from vehicular traffic and pedestrian access. Provide for traffic and pedestrian control.

5. Install all erosion and sediment controls and tree protection devices in accordance with the E&S plan.

6. Saw cut pavement or concrete as shown on the plans.

7. Excavate trench, stockpile suitable materials. Use dewatering measures as needed. Remove unsuitable materials to a secured stockpile area. Whenever practicable, excavate no more than the linear feet of the construction project that can be completed and backfilled in the course of a normal workday.

8. Install utilities per the design plan.

9. Backfill the trench with materials that meet the specification standards and required compaction.

10. Restore paved areas and apply stabilization measures in accordance with the E&S plan (topsoil, seeding, sodding, mulching, etc.).

11. On a daily basis repeat Items 6 through 10.

12. After site is stabilized, remove temporary erosion and sediment controls (e.g. geotextile silt fences).

Limitations of Sequence:

Utility installation as it stands today is very complex, due to existing conditions. It is essential that the above outlined sequence be used to meet the site conditions as they exist. Dewatering and handling of surplus materials as well as blasting require sensitive sequencing and methods.

Part II: Special Treatments

Infrequent, but not uncommon conditions, that occur or can be expected to develop on a construction site generally require the integrated use of several measures. The special conditions reviewed here include:

Water Management Within Slopes
(Slope Stability & Infinite Slope Failure)

Stockpile Management

Stream Deflectors

Construction Access Road

Utility Stream Crossing

Soil Bioengineering for Stabilization

The limitation and restrictions for each E&S measure used in the following special treatments shall be taken into consideration to determine the overall effectiveness.

Water Management Within Slopes

This section is not intended to be a design text, but this section will prove useful to the designer and the regulator in defining the parameters to be looked at and investigated during the design phase of a slope. If the slope already exists then this section may be used to gain some insight into the long term stability of the slope.

There are three different types of slopes discussed in this section. They are the cut slope, the fill slope, and the existing slope. Most situations should be covered within the text on one of these slope types.

Cut Slopes or Cuts into Existing Slopes

Before the extent and depth of the cut are determined, the following information should be gathered. In some instances portions of the following information may not be required. In those cases, detailed descriptions of the reasons for not gathering the information should be given.

Look at the undisturbed slope, if one exists. Look for signs of sloughing, sliding, and seepage. This information may prove important in determining the reaction of the slope to the proposed changes. With an undisturbed slope, determine the degree of slope. It may also prove helpful to determine the type of vegetation growing on the existing slope.

In the design, minimize the amount of water that will be allowed to flow towards and onto the proposed slope. Several measures exist within these guidelines to help limit the amount of water received on the embankment, (e.g., Temporary Pipe Slope Drain, Temporary Lined Chute).
Slope of Placed Fill
As in the preceding section, the following information should be gathered before final design is achieved.

Determine the purpose of the fill. Will it need to support a building foundation? Will additional fill be added at some future time? It is important to recognize the limitations of the specific fill which is to be used. It is best to compact the fill in layers (known as lifts). The extent and precision of the compaction will depend on the future use of the fill. It is often critical to strip existing topsoil and organic material off the existing slope and foundation area before filling takes place.

The designed slope angle must be suitable for the material that will be utilized. In some designs the toe of the fill slopes can be protected with riprap to allow an increase in the slope of the fill. The riprap can also be used to protect the slope from the erosive effects of water flow.

In a best case scenario, the fill should be from one source and be of homogeneous material. If several types of material are to be used, then it is important to make logical decisions on the placement sequence. For instance, it is unwise to place several layers of sand against a natural slope, only to backfill down slope of the sand with a fine silt or clay without providing adequate drainage.

It will be important to notice field conditions in the area of the proposed fill. One of the things to look for is the proximity of the fill to any existing slopes. It is important to notice existing drainage patterns above your site. Filled slopes should not be subjected to concentrated overland flow.

Failure of an Existing Slope:
In many cases natural and manmade slopes show signs of failure. Among these signs are cracks in the downgradient slope, cracks in the ground surface at the top of the slope running parallel to the break in slope, bulges or piles on the slope and hollow areas on the slope. Sometimes slopes fail after or during a heavy rain, or fail during spring months when the groundwater table is high. It is important to look at the timing of the failure to see if that information offers any clues to the mode of failure. If the location is critical, these slopes will need to be repaired or stabilized.

Seepage and water are big factors in many slope failures. If seepage or overland flow is causing or worsening the slope condition, use engineered measures whose strategy is to convey runoff, direct runoff, and intercept groundwater (see Measure Selection Matrix, Chapter 3).

Precautions should be taken to prevent accumulated water from flowing on or down the slope.

Possible Repair Designs
The actual construction techniques used for the repair of some slope failures can be simple. These designs are all made up of a combination of systems found within these Guidelines. Some of the design components can be changed and modified to fit the situation or the preferences of the designer.

Figure 4-1, Figure 4-2 and Figure 4-3 illustrate three different designs for the repair of slope failures that integrate the use of several E&S measures to create a stable slope. Figure 4-1 shows a drainage blanket covered with 4" to 6" of topsoil. Figure 4-2 shows the use of gabions. When slope stabilization is associated with a waterway, this method can be modified to include the addition of topsoil above the expected water surface within the waterway as illustrated in Figure 4-1. Drains shown in Figure 4-3 intercept subsurface water in a series of subsurface drains to create a stable slope.

There are many parameters that need to be considered in the design of stable slopes. These Guidelines are not meant to be an all inclusive design manual, but are meant to assist engineers and regulators in asking appropriate questions of themselves and the designers during design review and construction of projects resulting in new slopes.

Depending on site conditions, the designer may want to use the “Infinite Slope Analysis”, “Bishop’s Slip Circle”, or “Sliding Wedge Analysis”.

Measures used to address water management within slopes for initial slope design and for the repair of slope failures include Land Grading, Subsurface Drain, Permanent Diversion with Subsurface Drain and any of the slope stabilization measures in the Stabilization Structures Functional Group.
Figure 4-1 Slope Repair Example 1: Using Drainage Blanket Covered with Topsoil

Not to Scale

Top of slope or existing berm

2’ min. above top of slough

2’ min. total depth varies

Permanent seeding
Finished grade
(match original slope)
4”- 6” topsoil

Finished grade
(match original slope)
4”- 6” topsoil

Existing grade at slough

Toe of slope or existing berm

Undisturbed exist. soil

Excavate to 1’ below failure surface

Properly designed geotextile (if required)

CT D.O.T. 3/8” size crushed stone or gravel

4” min. perf. pipe use 1/4” size perforations

5’ +/- depth varies

Width varies 2’ min.

E&S Measures Include:

PS Permanent Seeding
TO Topsoiling
SP Stone Slope Protection
SD Subsurface Drain

Source: USDA-NRCS
Combination Systems for Slope Stabilization:
E&S Measures Include:

- **PS** Permanent Seeding
- **TO** Topsoiling
- **SD** Subsurface Drain
- **G** Gabions
- **RR** Riprap
- **LW** Permanent Lined Waterway
- **GW** Grassed Waterway

Source: USDA-NRCS
Figure 4-3 Slope Repair Example 3: Using Multiple Subsurface Drains

Combination Systems for Slope Stabilization:
E&S Measures Include:

- PS  Permanent Seeding
- TO  Topsoiling
- SD  Subsurface Drain

Depth varies

Top of slope or berm

All trenches
CT. D.O.T 3/8” size crushed stone or gravel. Extend to surface or cover with topsoil (designer’s option)

Permanent seeding on finished slope

Seepage paths

Properly designed geotextile (if required)

Note: spacing of subsurface drains to be determined by site conditions

4” - 6” topsoil

4” min. perf. pipe
use 1/4” size perforations

5’ + depth varies *

6” min.

(Toe of slope)

Rate of slope

2 or flatter

2’ min.

Width varies

* Note: All trench depths vary dependent upon seepage layers encountered

* Note: All trench depths vary dependent upon seepage layers encountered

Source: USDA-NRCS
Stockpile Management
Stockpile management of topsoil and other types of erodible soils is necessary to prevent unnecessary damage resulting from erosion of stockpile material. Locate stockpiles so that natural drainage is not obstructed. Attempt to maximize the distance of stockpiles from wetlands, watercourses, drainage ways, and steep slopes. When the stockpile is downgradient from a long slope, divert runoff water away from or around the stockpile (see Temporary Diversion measure). Install a geotextile silt fence or hay bale barrier around the stockpile area approximately 10 feet from the proposed toe of the slope (see Geotextile Silt Fence and Hay Bale Barrier measures).

The side slopes of stockpiled material that is erodible should be no steeper than 2:1. Stockpiles that are not to be used within 30 days need to be seeded and mulched immediately after formation of the stockpile (see Temporary Seeding, Permanent Seeding and Mulch for Seed measures). The seed mix used depends upon the stockpiled material and the length of time it is to remain stockpiled. Information gathered from soil borings and soil delineation can be used to plan the type of seed and any soil amendments that are appropriate for the stockpile. After the stockpile has been removed, the site should be graded and permanently stabilized.

If a stockpile is located off-site, local zoning approval may be required. In addition to the above criteria, stockpiles that are located off-site require a construction entrance pad installed at that site (see Construction Entrance measure). Depending on the volume of traffic, the installation of “truck crossing” signs and sweeping of the roadway (see Dust Control measure) may also be necessary.

Stream Deflectors
Stream deflectors are structures placed within a stream channel that are used to divert flows away from a road, structure, utility or unstable streambank. They may also be designated for the establishment of meanders, the concentration of flows, or aquatic habitat improvement. Deflectors can be constructed of a variety of materials, including rock, riprap, timber, or other materials.

Because of the nature of these structures and the effect that they can have on stream flow, they should be designed by a licensed professional engineer, fluvial geomorphologist or other professional experienced in hydraulics and flow dynamics. An aquatic biologist should be consulted if the purpose is to improve aquatic habitat.

Important considerations in planning the use of stream deflectors are diversion direction, velocity, and effects on downstream conditions and structures. Extreme care must be taken to ensure that the redirected flow will not create a problem at another point in the stream. Questions to be answered in planning and design of stream deflectors include:

- Will the deflected water negatively impact the opposite bank?
- Will the increased velocities and tractive stresses cause unacceptable bank and erosion?
- Are the construction materials suitable for the planned longevity?
- Are the deflectors located and spaced for optimum results?
- Are the deflectors properly sized as to height and lateral extent?
- Are the deflectors designed to withstand loading from snags, ice and debris? Deflectors designed with sufficiently low profiles should be overtopped during high flows and should not be susceptible to loading from ice, snags, debris, etc.

Depending on the design and site conditions, any number of erosion and sediment control measures (that are related to slope stabilization structures, drainage ways, watercourses and sediment filters) may be used. See USDA publication Wildlife and Fisheries Habitat Improvement Handbook. December 1988, for additional advice on stream deflector design and construction.

Construction Access Road
Construction access roads are unpaved roadways consisting of a travel surface and associated side slopes. During wet weather such roadways can generate significant quantities of sediment if not constructed with adequate erosion and sediment control measures.

To control erosion and flow conditions utilize a number of E&S measures: Construction Entrance is used where the construction access road meets a paved access point; Temporary Diversion and Temporary Lined Channel are used to control concentrated flows where they enter and cross the construction access road; Temporary Stream Crossing is used to carry concentrated flows across the construction access road; Outlet Protection, Level Spreader or Stone Check Dam may be used to de-energize concentrated flows from diversions and in temporary channels; Water Bar is used to maintain natural drainage patterns and break flow lines within the construction access road; Geotextile Silt Fencing and Hay Bale Barriers are used to provide protection at the toe of fill slopes and the discharges from water bars; Temporary Soil Protection or Temporary Seeding/Permanenent Seeding with Mulch for Seed are used to protect disturbed side slopes; and Dust Control is used when construction access road conditions create airborne dust.

Temporary construction access roads should be carefully planned, choosing materials and erosion control measures to maintain the usefulness of the construction access road during wet weather while minimizing the potential for erosion. Consider the volume and type of construction traffic as well as the extent of natural ground that must be altered to accommodate the traffic. If no grading is required and the construction traffic is
Prevent obstructions to surface and subsurface flow. Avoid putting the construction access road in a wetland whenever possible. Explore all feasible and prudent alternatives before determining that a wetland crossing is absolutely necessary. When avoidance is not possible, consider crossings that will cause the least impact. This may involve locating the construction access road so that it crosses the wetland at its narrowest width or uses areas previously disturbed for access or other purposes. Also, consider the road’s impact to adjacent uplands.

Minimize the width of the construction access road through the wetlands (generally no wider than 14 feet). It is preferable to have a passing point created before and after the wetland crossing, but internal passing points may be needed if the crossing is long and sight line restrictions exist.

Consider the soil conditions. Expect deep organic wetland soils to require geotextiles, wooden mats or other materials during use to keep construction access road materials separated from wetland soils. In shallow organic wetland soils brush matting, wood slabs and crushed stone may be sufficient to support a stable travel surface.

Avoid obstructions to surface and subsurface flow across and through the construction access road. Provide adequate drainage. This may require the use of crushed stone or multiple cross culverts, particularly if the wetland does not contain a well-defined watercourse channel or the crossing is long. If the wetland soils are susceptible to seasonal high water tables or flooding, then give additional consideration for maintaining flows across or over the construction access road without causing erosion or siltation during such times.

Plan in advance how the construction access road will be removed and the wetland restored. A road stabilization geotextile can assist in keeping imported soils segregated from the wetland soils and make wetland restoration easier.

Inspection of the construction access road and the associated erosion and sediment control should occur at the end of each day the road is used and repairs to controls made immediately. If the road is not used for more than a week, then inspect the erosion and sediment controls at a frequency as required by the E&S measure used. Repairs may include regrading or top dressing the traveled surface with additional aggregate to eliminate ruts, as well as those repairs required by each E&S measure used.

Utility Watercourse Crossing

Utility line construction, by virtue of its contiguous, linear nature, necessarily crosses and impacts wetlands, streams and intermittent watercourses. Although the time of in-stream construction for this special treatment is intended for less than one month, there is a potential during that time for excessive sediment loss into a watercourse by both the disturbance of the approach areas and by the work within the stream bed and banks. Therefore, methods that allow for “working-in-the-dry” are recommended and described below.

Three “working-in-the-dry” methods employed for watercourse crossings are (1) pipe flume crossing, (2) diversion channel crossing, and (3) sequential cofferdam crossing. The utility watercourse crossing method used depends upon the stream flow characteristics and the anticipated length of time for the construction to occur. Regardless of the method used, a professional engineer licensed to practice in Connecticut is required to design any diversion channels and linings, temporary culverts, cofferdams or other structures used.

Pipe Flume Crossing - Used when in-stream construction will last less than 72 hours and the contributing drainage area is less than 100 acres. It consists of a pipe and cofferdams of sandbags or gravel at each end of the pipe placed within the channel. The pipe is sized to handle the anticipated flow during the construction period. Check weather forecasts to avoid construction during anticipated storms. Upon completion of the utility installation, the watercourse channel between the cofferdams is re-established with stream bedding material that is equivalent to the pre-construction bedding material. The cofferdams and pipe are then removed and channel banks stabilized. (See Figure 4-4.)

1 See Appendix F, Regulatory Matrix, for possible regulatory requirements
**Diversion Channel Crossing** - Used when in-stream construction will last longer than 72 hours. It consists of the construction of a temporary diversion channel (stabilized as needed with a channel lining to prevent erosion) with associated cofferdams and riprap to divert water flows around the stream bed to be crossed and a temporary stream crossing for construction access (see **Temporary Channel Lining**, **Permanent Channel Lining**, and **Temporary Stream Crossing** measures). The diversion channel is designed to safely pass flows in accordance with the DOT Drainage Manual section on “Temporary Hydraulic Facilities.”

As with the pipe flume crossing, water that is trapped between the cofferdams is removed using the measures given in the Dewatering Functional Group. Upon completion of the utility installation, the watercourse channel between the cofferdams is re-established with stream bedding material that is equivalent to the pre-construction bedding material. Channel banks stabilized, the cofferdams are removed, new cofferdams are constructed at the inlet and outlet to the diversion channel, and the diversion channel backfilled and stabilized. Once started, stream relocation occurs without interruption until natural channel flows are re-established and the bank stabilization measure applied. See **Figure 4-5** for the recommended construction sequence and **Figure 4-6** for an illustration of a diversion channel crossing in progress.

**Sequential Cofferdams** - Used only during low flows (typically between the months of June and October) when a diversion channel crossing is not practical and the stream is wide enough (at least 10 feet) to make cofferdam installation practical. It consists of isolating more than half the watercourse channel from flow using sandbags or similar non-erosive material capable of obstructing water flow, constructing half the utility in the isolation area, removing the cofferdam and reconstructing so that the remaining half of the utility can be constructed in the dry. To reduce the potential for flood damage to neighboring properties the height of the cofferdam should not exceed that which is needed to keep low flows out of the construction area and should allow for overtopping during storm events. (See **Figure 4-7**.)

Regardless of the method used, water that is trapped between or within cofferdams should be removed using the measures given in the Dewatering Functional Group.

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**Figure 4-4 Pipe Flume Crossing Illustration**

Figure 4-5A  Diversion Channel Crossing Sequence

1. Excavate diversion channel with ends blocked. Install temporary channel lining.

2. Install temporary stream crossing and perimeter E&S controls for diversion channel.

3. Open downstream end of diversion, allowing water pressure to equalize in diversion.

4. Open upstream end of diversion. Some sedimentation will occur.

5. Construct non-erodable dam in the upstream end of the existing stream channel. Construct pumping settling basin.

Source: Adapted from “Damming by the Numbers” Erosion Control. July/August, 1995.
6. Construct non-erodable dam in the downstream end of the existing stream channel. Dewater blocked stream channel.

7. Construct utility across blocked streambed, dewatering as necessary.

8. After re-establishing stream channel, remove dam from downstream end of existing channel.

9. Remove dam from upstream end of existing channel. Fill in downstream and upstream end of diversion with non-erodable material.

10. Remove temporary stream crossing from diversion channel. Fill diversion channel, continue utility construction and stabilize disturbed soils.

Source: Adapted from “Damming by the Numbers” Erosion Control, July/August, 1995.
Figure 4-6 Diversion Channel Crossing Illustration

- Temporary stream crossing
- Geotextile silt fence
- Temporary or permanent channel lining
- Riprap placed at transition
- Dewatering settling basin
- Flow barrier (Sandbags or similar non-erodible material capable of obstructing flow)

Figure 4-7 Sequential Cofferdam Crossing Detail

Sand bags or similar non-erodible material capable of obstructing water flow

Min. of 25% of stream flow width maintained

Phase 1

Dewatering settling basin

Geotextile silt fence

Phase 2

Min. of 25% of stream channel width maintained

Flow

Sand bags or similar non-erodible material capable of obstructing water flow

Soil Bioengineering for Stabilization

Soil bioengineering is a system of using living plant materials, soil and other components in combination for soil protection and reinforcement. The main construction materials are live cuttings from suitable plant species, installed in specific configurations that provide immediate soil protection and reinforcement.

Under certain conditions, soil bioengineering installations work well in conjunction with structural components to create a more permanent erosion control system with enhanced aesthetics and other environmental benefits. These benefits include the establishment of diverse and productive riparian habitats, shade and the addition of organic matter to the watercourse, cover for fish, and water quality improvement.

Soil bioengineering techniques are applicable to upland slopes, stream banks, and some shorelines, but are of a more limited value in application on oceanfronts, or on the shorelines of large lakes or large rivers. Soil bioengineering techniques may not effectively mitigate severe scour, severe roadway erosion, or deep seated slope instabilities. In these situations, soil bioengineering can be used in combination with other engineering techniques.

A multi-disciplinary approach is necessary for the design of functional soil bioengineering systems, combining the expertise of hydraulics engineers, geotechnical engineers, engineering geologists, landscape architects, horticulturists, biologists, water quality specialists, environmental planners, and others.

Each situation where soil bioengineering techniques may be useful requires an engineering and a multi-disciplinary approach to be most effective. As the methodology of soil bioengineering is rapidly evolving and improving, it will not be discussed in these Guidelines.

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The Functional Groups and Measures

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Protect Vegetation
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The measure included in this group is **Tree Protection**.

The **Tree Protection** measure is used on sites where woody vegetation is intended to be kept as a site amenity. Established trees and shrubs are already adapted to their growing environment and have a root system that assists in keeping soils stable. By controlling construction equipment access and protecting as much of the root zone as possible existing vegetation can sometimes be retained. It can be less expensive to protect and maintain existing vegetation than to import new vegetation which must recover from the transplanting process.
**Tree Protection (TP)**

**Definition**
The protection of desirable trees from mechanical and other injury during construction.

**Purpose**
To ensure the survival of desirable existing trees for their effectiveness in soil erosion and sediment control during construction and after the adjacent land is developed, and because they provide significant other environmental benefits.

**Applicability**
Where individual trees and forested areas are subject to land disturbing activities and where the protection and preservation of trees will aid in erosion and sediment control or provide other environmental benefits.

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**Planning Considerations**

It is best to consult an arborist licensed to practice in Connecticut when considering tree protection options. The following information is given to provide an understanding of the factors that go into planning for tree protection.

**Importance of Tree Protection**
Trees can:

- stabilize the soil and prevent erosion,
- decrease storm water runoff through canopy interception and root zone absorption,
- moderate temperature changes and provide shade,
- moderate the effects of sun and wind,
- provide visual buffers,
- filter pollutants from the air and produce oxygen,
- provide habitat for wildlife, and
- preserve and increase property values by increasing aesthetic values.

**Considerations for Tree Protection and Preservation**
The protection and preservation of existing trees is important. It takes 20 to 30 years for newly planted trees to provide the benefits of mature trees. Several factors weigh on the decision to retain specific trees or groups of trees.

**Location:** Compatibility with the Developed Site - Trees must be appropriate for the intended use of the developed site. Review the location of proposed overhead and underground utilities, paved surfaces (like driveways, walkways and patios), walls, water lines, septic tanks, underground drainage and buildings. Determine if the trees to remain might interfere with these features when they grow to mature height, crown and root spread. Select trees whose natural growth habit will remain contained within the design space.

**Condition:** Trees should be healthy, preferably of good visual form, and reasonably free of large rotted or broken limbs or trunk sections that could threaten the structural integrity of the tree.

Check for evidence of diseases and pests that may seriously affect the health or survivability of the tree. Trees that leaf out abnormally late in the spring or that drop their leaves abnormally early in the fall are showing signs of stress and may have root problems which can have a significant impact on the tree’s ability to withstand high winds, ice, and snow, especially if left in an exposed location. Consult a licensed arborist if there is any doubt as to the structural integrity of the tree.

**Longevity:** Long-lived, slow growing tree species, such as oak, hickory, beech, and some maples, should be given greater consideration for protection, particularly
the larger specimens of these species. Beech trees do poorly in construction sites and may be difficult to save if their root systems are disturbed. Fast growing, brittle trees, such as birch, cherry, and poplar, are of limited long term value. Naturally seeded young trees of appropriate species should be given preference especially when older trees on the site are of declining health. These vigorous young trees will typically grow faster than the equivalent nursery grown tree planted after development. Retaining groups of these trees provides the additional benefit of avoided land disturbance, and making it less subject to erosion.

When an individual tree is to be retained it is described by its size (normally the trunk diameter in inches at 4.5 feet above ground level, known as diameter at breast height or DBH), and by its species. Groups of trees or forested areas to be retained are described by their average tree size, including average height, species distribution and density.

**Aesthetic values:** Trees that are well positioned in the landscape, well formed, unusually large, rare, uncommon, or unusually shaped can enhance the aesthetics of any site, and are good candidates for protection and preservation.

**Sanitation:** Elm, black locust and some of the willows are noted for being “dirty.” They drop twigs, bark, seeds, fruit, and plant exudates. Trees which seed prolifically or sucker profusely are generally less desirable for retention.

**Comfort:** Trees relieve the heat of summer and buffer strong winds throughout the year. Deciduous trees drop their leaves in winter, allowing the sun to warm buildings and soil. Evergreens are more effective wind buffers.

**Wildlife:** Where appropriate, consideration should be given to retaining trees that provide food, cover, and nesting sites for wildlife.

**Stresses of Construction**

Construction activities expose trees to a variety of stresses or conditions which may injure or cause a tree to decline in health and die within two to five years:

**Above Ground Impacts:** Construction related conditions exerted on the tree above the ground can cause significant damage:

- Excessive thinning or the removal of most trees from a group may leave remaining trees subject to wind throw. It is best to retain groups of trees rather than individuals.

- Improper pruning of trees can create future hazards by promoting disease and decay, and by altering the structure of the tree. Improper pruning can easily destroy the tree’s aesthetic value, or kill it.

**Below Ground Impacts:** In natural growing conditions, tree roots extend out from the trunk a distance of from one to two times the height of the tree. Commonly the root zone extends well beyond the average extent of the branches of the tree. About 90% of the working roots, those that take in essential water, air and nutrients, are usually located within the top 12 inches of soil. Construction related activities within a tree’s root zone can cause significant damage.

- Raising the grade as little as six inches in the root zone area can retard the normal exchange of gases in the soil and small roots may suffocate. Raising the grade may also elevate the water table and drown the roots.

- Lowering the grade by 6 to 8 inches can remove most of the topsoil, destroy feeder roots and expose the upper root system to drying and freezing. Lowering the grade may also lower the water table, inducing drought. At a minimum, grading should not take place within the Critical Root Zone (see below) of any tree to be retained.

- Excavations may cut a large portion of the root system, depriving the tree of water and nutrients and increasing the chance of disease and wind throw.

- Compaction of the soil by even limited operation of construction vehicles or equipment within the root zone of a tree will compact the soil severely, crushing the soil structure. This in turn inhibits the flow of air and water within and through the soil, altering the soil environment to the detriment of the tree.

- Breakage of roots can be caused by the operation of heavy equipment within the root zone of a tree.

- Construction chemicals or refuse disposed of in the soil can change soil chemistry or be toxic to trees.
Tree Protection Zone and Critical Root Zone

The Tree Protection Zone (TPZ) is defined as a circular area surrounding a tree or group of trees with a diameter twenty times the DBH (diameter of the trunk of the tree measured at 4.5 feet above the ground). (See Figure TP-1 for example calculating TPZ). Where groups of trees or forested areas require delineation of the TPZ, trees within 20 feet of the edge of the group or forest that have a larger DBH than the outermost trees should be noted to properly establish the TPZ. The TPZ encompasses and creates a buffer to the critical root zone. (See Figure TP-2).

The Critical Root Zone (CRZ) is defined as a cylindrical area, with a diameter ten times the DBH, including the soil within this area to a depth of two to three feet. (See Figure TP-1 for example calculating CRZ). Where tree roots are severely crowded by sidewalks, paved surfaces, or buildings, and restricted by linear strips between sidewalks and roads, the CRZ should be extended to encompass the Tree Protection Zone (see below) where there are roots present. All TPZs should be delineated on the grading drawings.

When a significant portion of the TPZ or any portion of the CRZ must be impacted, obtain guidance from an arborist licensed to practice in Connecticut. Disturbance within the CRZ can seriously threaten tree survival. The arborist should provide specific guidance on whether to keep or remove the tree, including measures to maintain tree health and safety. These measures may include clean cutting of roots exposed by excavation, maintaining grades and mulch (See Landscape Mulch measure), ensuring proper aeration and drainage, construction of tree wells and tree walls (see Figure TP-3 and Figure TP-4), pruning (see Figure TP-5), mechanical protection of the tree trunk (see Figure TP-7) and the possibility of tunneling under the CRZ1 (see Figure TP-6).

When grades must be changed or trenching is to occur either within the tree protection zone or the critical root zone, the undisturbed portion of the critical root zone must be protected by a fence.

Figure TP-1 Example of Calculating the Extent of the TPZ and CRZ

Given: trunk DBH = 15 inches or 1.25 feet

TPZ diameter(ft) = trunk DBH(ft) x 20 = 1.25 x 20 = 25 feet

CRZ diameter(ft) = trunk DBH(ft) x 10 = 1.25 x 10 = 12.5 feet

Tree Protection Plans

When the decision has been made to require tree protection, then the location and size of the individual trees or groups of trees to be retained should be identified on the E&S plan. Each individual tree to be retained should be described by its size (DBH) and by its species. Groups of trees or forested areas to be retained should be described by their average tree size, height and species.

Specifications

Pre-Construction Meeting

At a preconstruction meeting, review with the E&S agent, contractor and on-site construction supervisor or foreman the clearing limits, the trees to be protected, the location of tree protection zone(s), marking requirements for the protected trees and zones, allowed pre-construction trimming, equipment and chemical storage and disposal, and special construction methods to be used when grading is to occur within any tree protection zone.

1 Tunneling is more expensive initially, but usually causes less soil disturbance and physiological impact on the tree’s root system. The extra cost may offset the potential cost of tree hazard abatement pruning, tree removal, and replacement.
**Pre-Clearing Tree Marking**

Prior to the start of clearing activities, visibly mark or tag trees to differentiate the trees to be retained from those to be cut. Trees to be retained within the limits of clearing are typically marked with surveyor’s ribbon applied in a band circling the tree at a height visible to equipment operators. Trees to be removed are typically spray painted with an “X” at a height visible to the equipment operator.

**Pre-Clearing Tree Protection Zone Marking**

Mark the tree protection zones and restrict access by equipment. Use devices which will effectively protect the roots, trunk and tops of trees retained within the protection zone.

**Fencing:** Fencing is required to identify the protection zones of trees to be retained within 40 feet of a proposed building or excavation. Fencing shall be highly visible, of sturdy construction and at least 3 feet high. Fences may be snow fence, chain link, board fence, geotextile silt fence, plastic fence or similar materials (see Figure TP-7). Consider fencing as permanent during the construction period and do not move or remove without the permission of the E&S agent. Where there is work within the tree protection zone, fencing is required to protect the CRZ.

**Trunk Armoring:** Trunk armoring is a method of last resort to prevent damage to trees in situations where there are sidewalks, paved surfaces, or buildings that prevent adequate fencing of the TPZ. This would include cases like urban “tree lawns,” where trees grow between road curbs and sidewalks where even the CRZ cannot practically be fenced in. (see Figure TP-7)

**Additional Trees:** Additional trees may be left standing as protection between the trunks of the trees to be retained and the limits of clearing. However, in order for this alternative to be used, the trunks of the trees in the buffer must be no more than six feet apart to prevent passage of equipment and material through the buffer. Reexamine these additional trees prior to the completion of construction and either give sufficient treatment as may be necessary to ensure their survival, or remove them.

**Preconstruction Pruning**

Before beginning construction, examine the trees selected for retention with an arborist to determine if there is a need for pruning or temporarily tying back selected branches. This is to prevent unnecessary damage and breakage if equipment inadvertently strikes the branches. Typically, branches lower than 16 feet over travel ways will require tree pruning to facilitate the passage of vehicles.

**Equipment Operation and Storage**

Prohibit and prevent heavy equipment travel, storage or stockpiles of any construction materials, including topsoil, within the tree protection zone of any tree to be retained.

**Storage and Disposal of Hazardous or Toxic Materials**

Properly store and contain all materials toxic to plants no closer than 25 feet from the tree protection zone of any trees to be retained. Do not dispose of hazardous or toxic materials such as paint, acid, nails, gypsum board, wire, chemicals, fuels, and lubricants in such a way as to contaminate soils or injure vegetation.

**Maintenance**

Inspect tree protection zones weekly during site construction for damage to the tree crown, trunk, root system and soil compaction. When trees have been damaged or when tree protection zones are violated during construction, immediately consult an arborist licensed to practice in Connecticut to determine how damage should be addressed.

At the end of construction, once construction equipment is no longer expected to be used in the area, remove fences and barriers used for tree protection. The cleanup after a construction project can be a critical time for tree damage. Trees protected throughout the development operation are often destroyed by carelessness during the final cleanup and landscaping.

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2 CGS §23-61b. of the Connecticut General Statutes requires licensing for arboriculture: “No person shall advertise, solicit or contract to do arboriculture within this state at any time without a license issued in accordance with the provisions of this section”. “Arboriculture” means any work done for hire to improve the condition of fruit, shade or ornamental trees by feeding or fertilizing, or by pruning, trimming, bracing, treating cavities or other methods of improving tree conditions, or protecting trees from damage from insects or diseases or curing these conditions by spraying or any other method; “Arborist” means one who is qualified to perform arboriculture and is licensed by the State Tree Protection Examining Board as provided in CGS §23-61b.

In addition, any activity involving the cutting, removal, harvesting or trimming of trees within the limit of public roads or grounds in the State of Connecticut is subject to CGS §23-65(d). Many towns also have local ordinances which place certain restrictions on tree cutting or pruning.
Tree Protection (TP)

2 ft. depth (min. CRZ)

Measure trunk diameter 4.5 ft. above the ground (DBH)

Critical root zone (CRZ) Diameter of the CRZ equals ten times the DBH

Tree Protection Zone (TPZ) Diameter of TPZ equals twenty times the DBH

Root Zone

10 DBH (CRZ)

20 DBH (TPZ)
Tree Protection (TP)

Figure TP-3 Example of Tree Well

Figure TP-4 Example of Tree Well

Line of minimum excavation for wall construction (no closer than CRZ limit)

5 X diameter (min.)

CRZ limit

Topsoil backfill
Gravel fill if wall is more than 2' high

Taper wall 1”/ft.

Weep hole

30” minimum

Note: Tree walls may require an engineered design.

First, cut part way through the branch at A; then cut it off at B. Make the final cut at C.

Tree Protection (TP)

Figure TP-6 Trenching vs. Tunneling

Destruction of feeder roots within the CRZ will probably kill the tree. Tunneling under the CRZ will preserve important feeder roots.

Figure TP-7 Mechanical Tree Protection

Correct methods of fencing tree protection zones

- Snow fence
- Board fence
- Cord fence

4 layers of burlap wrapping
- Steel banding

Correct trunk armoring

Note: Use for protecting street trees adjacent to construction areas where paved surfaces make it impractical to establish tree protection zones.

Protect Vegetation
Tree Protection (TP)
Planning Considerations

The measures in this group include: Topsoiling, Land Grading, Surface Roughening, and Dust Control. All four measures involve manipulating or amending the soil surface in a fashion that will preserve and conserve the soils on site. These measures can be accomplished on an individual basis, in conjunction with each other, or in conjunction with other types of structural or nonstructural measures.

**Topsoiling** includes the stripping and (re)application of topsoil to improve soil fertility and enhance vegetative growth.

**Land Grading** places restrictions on slope lengths and grades for cuts and fills to reduce erosion for the establishment of a stable slope.

**Surface Roughening** is the creation of a rough surface on a slope and is applied after land grading has occurred. It is usually done in preparation for seeding and/or mulching.

**Dust Control** is applicable at all stages of site development and involves anchoring fine particles of soil by applying various materials.
2-Preserve and Conserve Soils

Topsoiling (TO)

Definition
The application of topsoil to promote the growth of vegetation following the establishment of final grades.

Purpose
To provide a suitable growth medium for final site stabilization with vegetation.

Applicability
- Where the texture, pH, or nutrient balance of the available soil (sands, gravels or other unconsolidated materials) cannot be modified by reasonable means to provide an adequate growth medium.
- Where the existing soil material is too shallow to provide an adequate root zone and to supply necessary moisture and nutrients for plant growth.
- Where high quality turf is desirable to prevent erosion and withstand intensive use and/or meet aesthetic requirements.
- Where landscape plantings are planned.
- Where extensive filling and cutting of slopes has occurred.
- Only on slopes no steeper than 2:1.

Planning Considerations
Topsoil is the surface layer of a soil profile (known as the A horizon of a soil), generally characterized as being darker than the subsoil due to the presence of organic matter. This layer is the major zone of root development, containing most of the nutrients available to plants, and supplying a large amount of the water used by plants, and is the zone where the respiration of plant roots occurs.

Consider the following:

- **Need** – Vegetative growth is more rapid on sites with at least 4 inches of topsoil. Also, the health and quality of vegetation is better when topsoil is present. Topsoiling is strongly recommended where landscape plantings or high-maintenance turf will be grown. Topsoiling is required when establishing vegetation on shallow soils, and soils with a pH of 4 or below (acidic).

- **Availability** - Determine if sufficient volume of topsoil exists on the site. If not, it will be necessary to identify additional sources of topsoil.

- **Costs** - Compare the cost of topsoiling to the cost of preparing a seedbed in subsoil (See Permanent Seeding, Sodding and Landscape Planting measures). Limed and fertilized subsoils with proper seedbed preparation may provide an adequate growth medium if moisture is not limiting. Stripping, stockpiling, and reapplying topsoil, or importing topsoil can be expensive. Additionally, imported topsoils may contain weed seeds or invasive plants that are objectionable to the establishment of the permanent vegetation and may require additional treatments.

- **Scheduling** - The application of topsoil must be scheduled so as not to delay seeding or sodding operations. This delay increases the exposure time of critical areas, thereby increasing maintenance cost of existing controls.

- **Stockpiles Management** - Topsoil stockpiles need to be located away from construction activities. If topsoil is to be
stockpiled longer than 30 days, it must be protected with a temporary seeding, matting or other acceptable means of preventing erosion. (See Stockpile Management, Chapter 4, Special Treatments).

- Application Limitations - Care must be taken when applying topsoil to subsoil if the two soils have contrasting textures or strongly contrasting density (i.e. hardpan). Topsoil applied to a compacted subsoil can result in water flows between the two soil layers, causing the topsoil to slough. Where hardpan exists, it must be loosened with appropriate equipment such as a disk or harrow prior to spreading topsoil to ensure adequate bonding. Additionally, for slopes 2:1 through 5:1 slope tracking is required prior to the placement of topsoil to improve bonding (see Surface Roughening measure).

### Specifications

#### Materials
Topsoil shall inclusively mean a soil:

- meeting one of the following soil textural classes established by the United States Department of Agriculture Classification System based upon the proportion of sand, silt, and clay size particles after passing a 2 millimeter (mm) sieve and subjected to a particle size analysis:
  - loamy sand, including coarse, loamy fine, and loamy very fine sand,
  - sandy loam, including coarse, fine and very fine sandy loam,
  - loam, or
  - silt loam with not more than 60% silt;

- containing not less than 6% and not more than 20% organic matter as determined by loss-on-ignition of oven dried samples dried at 105 degrees centigrade;

- possessing a pH range of 6.0-7.5, except if the vegetative practice being used specifically requires a lower pH, then pH may be adjusted accordingly;

- having soluble salts not exceeding 500 ppm; and

- that is loose and friable and free from refuse, stumps, roots, brush, weeds, frozen particles, rocks, and stones over 1.25 inches in diameter, and any material that will prevent the formation of a suitable seedbed or prevent seed germination and plant growth.

Topsoil may be of natural origin or manufactured by blending composted organic materials with organic deficient soils, mineral soils, sand and lime such that the resulting soil meets the material specifications listed above.

- All topsoil shall be analyzed by a recognized soil testing laboratory for organic content, pH and soluble salts requirements given above.

#### Calculating Topsoil Needs

Topsoiling needs can be calculated by using the values given in Figure TO-1. Calculate topsoil needs in advance of stripping to determine if there is sufficient topsoil of good quality to justify stripping.

#### Stripping

Stripping shall be confined to the immediate construction area. A 4- to 6-inch stripping depth is common, but depth may vary depending on the particular soil. Place all perimeter dikes, basins, and other sediment controls prior to stripping.

### Figure TO-1 Topsoil Required for Application of Various Depths

<table>
<thead>
<tr>
<th>Depth in Inches</th>
<th>Cu. Yds / 1,000 ft²</th>
<th>Cu. Yds / Acre</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>12.4</td>
<td>537</td>
</tr>
<tr>
<td>5</td>
<td>15.5</td>
<td>672</td>
</tr>
<tr>
<td>6</td>
<td>18.6</td>
<td>806</td>
</tr>
</tbody>
</table>

#### Stockpiling

Stockpile topsoil that is stripped from the site in such a manner that natural site drainage is not obstructed and no off-site sediment damage results. In all cases, locate stockpiles to maximize distance from wetlands and/or watercourses.

The side slopes of all stockpiles shall not exceed 2:1.

Install a sediment barrier down slope to trap sediments eroding from the stockpile. Stabilize the stockpiled material if it is to remain for a period of 30 day or longer (see Temporary Soil Protection, Temporary Seeding, Permanent Seeding, and Mulch for Seed measures for application timing requirements).

#### Application of Topsoil

**Site Preparation:** Install and/or repair erosion and sediment control measures such as diversions, grade stabilization structures, waterways, silt fence and sediment basins before topsoiling. Maintain these measures during topsoiling.

Bonding: After bringing the subsoil to grade (and immediately prior to spreading the topsoil), the subgrade shall be loosened by discing, scarifying or tracking to a depth of a least 4 inches to ensure bonding of the topsoil and subsoil. For a tracking description, see Surface Roughening measure.
**Applying Topsoil:** Distribute the topsoil uniformly to a minimum depth of 4 inches. Maintain approved grades when spreading topsoil. Correct any irregularities in the surface resulting from topsoiling or other operations in order to prevent the formation of depressions or water pockets.

**Note:** Do not place topsoil if the subgrade or the topsoil is frozen or excessively wet.

Ensure good contact with the underlying soil and obtain a uniform firm seedbed for the establishment of vegetation. Avoid excessive compaction as it increases runoff velocity and volume, and inhibits seed germination.

**Liming:** Where the pH of the subsoil is 6.0 or less, ground agricultural limestone shall be spread in accordance with the soil test to attain a pH of 6.0 to 6.5 or to attain a pH as required by the vegetative establishment practice being used.

**Stabilizing Applied Topsoil:** Immediately following topsoil applications, protect the topsoil from erosion by either sodding, seeding and/or mulching (see measures in the Short Term Non-Living Soil Protection Group and the Vegetative Soil Cover Group).

**Maintenance**
Inspect and maintain in accordance with the surface protection measure(s) used.
2-Preserve and Conserve Soils

**Land Grading (LG)**

**Definition**
Reshaping of the ground surface by excavation or filling or both, to obtain planned grades.

**Purpose**
- To control surface runoff and reduce erosion potential.
- To prepare for the establishment of a vegetative cover on those areas where the existing land surface is to be reshaped by grading.

**Applicability**
- Where grading to planned elevations is practical for the purposes set forth above.
- On slopes no steeper than 2:1. For slopes steeper than 2:1, see the slope stabilization measures in the Stabilization Structures Functional Group.
- Does not apply to bedrock cuts or faces.

**Planning Considerations**
Utilize the existing topography and natural features as much as possible when developing a grading plan. This minimizes the degree of land disturbance and avoids extreme grade modifications within a site development.

The two primary factors that determine the potential for excessive erosion on any site are length of slope and steepness. Long slopes without provisions for surface water diversions are much more susceptible to erosion than shorter slopes. As slopes become steeper, the potential for erosion also increases.

Obtain sufficient topographic, soils, hydrologic and geologic information to determine what limitations, if any, are to be considered in a development plan and grading operation. Final slope stability, the impact of the grading operations on adjacent properties and drainage patterns, and the effect of land disturbance on existing vegetation, ground and surface water resources are examples of concerns that must be addressed during planning for land grading.

In situations where geologic and hydrologic conditions clearly indicate a potential stability problem, structural measures shall be considered. Consider the presence of bedrock. Seepage combined with steep slopes and the close proximity of bedrock very often result in an unstable condition. Surface and subsurface drains may be needed to remove excess water.

For fill slopes that will take more than 1 day to construct, consider requiring the use of a **Temporary Fill Berm** and associated **Temporary Pipe Slope Drain**, as may be needed. At the end of the work day divert erosive stormwater runoff away from the unstable slope to a stable discharge point.

**Design Criteria**

**Slope Defined**
Slope is the relationship of horizontal distance to vertical distance and is referenced as either horizontal to vertical, a ratio of horizontal:vertical or a percentage of the vertical divided by the horizontal. **Figure LG-1** identifies the methods by which slope is determined.

**Slope Gradient Limitations**

**Vegetated Mowed Slopes:** Where a slope is to be vegetated and mowed, the slope shall not be steeper than 3:1; flatter slopes are preferred because of safety factors related to the operation of equipment.

**Vegetated Unmowed Slopes:** Where a slope is to be vegetated but not mowed, the slope shall not be steeper than 2:1.

**Structurally Stabilized Slopes:** For slopes steeper than 2:1, or when slopes are steeper than 3:1 and the change in elevation exceeds 15 feet without a cross slope bench, engineered structural design features shall be incorporated. Applicable engineered measures may include those found in the Stabilization Structures Functional Group (see **Figure 3-2, Selection Matrix**) or other structural measures designed by the engineer.

**Exceptions:** Slope limitations may be increased providing detailed soil mechanics analysis calculations are performed which confirm an acceptable safety factor for the finished slope.
**Example:** Determine the maximum allowable overland flow distance, A, for a 3:1 side slope with a vertical interval of 7 feet.

**Given:**
- \(X = 3\)
- \(Y = 7\)

**Solution:**
- \(A = X(15-Y)\)
- \(A = 3(15-7)\)
- \(A = 24'\)

**Summary:**
- \(A = 24'\)
- \(B = 15(X)\) Since \(X=3\) then \(15(3') = 45'\)

**Therefore:** If the overload flow distance is <24, then \(A+XY<B\) and no diversion or cross slope bench is required.
Slope Length Limitations and Reverse Slope Benches

Reverse slope benches are required whenever the vertical height of any slope steeper than 3:1 exceeds 15 feet (see Figure LG-3), except when engineered slope stabilization structures measures are included in the slope and/or a detailed soil mechanics analysis calculation has confirmed an acceptable factor of safety exists for the finished slope. Using the following design criteria provide:

- spacing between benches into nearly equal segments and convey the surface and subsurface water to a stable outlet while still considering soils, seeps, rock outcrops, and other site conditions;
- bench width(s) of at least 6 feet (or sufficient to accommodate construction and long term maintenance equipment);
- reverse slope(s) of 5:1 or flatter between the outer edge of the bench and the toe of the upper slope;
- a minimum bench depth of 1 foot;
- bench gradient(s) to a stable outlet of at least 1% but not greater than 2%; and
- no total flow length(s) within the bench exceeding 800 feet unless accompanied by appropriate design and computations to demonstrate adequate capacity and stability.

Controlling Water Movement

Make provisions to safely conduct surface runoff to storm drains, protected outlets or to stable watercourses to ensure that runoff will not damage slopes or other graded areas. See measures in the Stabilization Structures Group, Vegetated Waterway, Permanent Diversion, Outlet Protection and related measures. For slope designs that include engineered slope stabilization measures and where the change in elevation exceeds 15 feet without the inclusion of a reverse slope bench, perform an engineering analysis to determine the measures required to insure runoff will not damage the slope or other graded areas. For all other slopes perform the following analysis.

Surface Water: Maximum allowable overland flow distance in feet to the top of the designed slope with no diversion of surface water is determined by use of the formula:

\[ A = X \left( \frac{15-Y}{Y} \right) \]

- \( A \) = Maximum overland flow distance in feet above the crest of the designed slope
- \( B \) = Maximum horizontal distance in feet shall not exceed 15X
- \( X \) = Side slope; horizontal distance in feet to one-foot vertical (e.g., = 2 for designed slope 2:1)
- \( Y \) = Height of designed slope in feet measured vertically from toe elevation of the designed slope to top of cut or fill for the designed slope.

Either divert surface water from the face of all cut and fill slopes by the use of diversions, ditches and drainage ways or otherwise convey it down the slope by the use of other appropriate measures. Surface water may be allowed to flow down cut and fill slopes when all of the following conditions exist:

- the length of overland flow (in feet) to the crest of the designed slope does not exceed the distance “A”;
- the face of the slope is already stable or the face of the slope is protected from surface runoff until it is stabilized (stability can be predicted by applying the Revised Universal Soil Loss Equation. See Appendix I);
- the face of the slope is not subjected to any concentrated flows of surface water from natural drainage ways and structures such as graded drainageways and downspouts; and
- the maximum total horizontal overland flow (A) plus slope distance (B) does not exceed 15 times the side slope (X) of the cut or fill slopes.

Figure LG-2 contains an example that uses the formula referenced above.

Subsurface Water: Subsurface drainage shall be provided where necessary to intercept groundwater seepage that would otherwise adversely affect slope stability or create excessively wet site conditions that would hinder or prohibit desired vegetative growth. (See Subsurface Drain measure).
Other Design Limitations
Slopes shall not be created close to property lines so as to endanger adjoining properties without adequately protecting such properties against erosion, sedimentation, slippage, settlement, subsidence or other related damage.

Soil material used for earth fill shall be obtained from an approved borrow pit or other designated area. The fill material shall be free of brush, rubbish, large rocks, logs, stumps, building debris, and other objectionable material that would interfere with, or prevent construction of, satisfactory fills. It should be free of stones over 2 inches in diameter where compacted by hand or mechanical tampers or over 6 inches in diameter where compacted by rollers or other equipment. Frozen material shall not be placed in the fill nor shall the fill material be placed on a frozen foundation.

Stockpiles, borrow areas and spoil areas shall be located away from steep slopes and surface waters and shall be shown on the plans. Soil stockpiles shall be subject to the provisions of this measure.

All disturbed areas shall be stabilized in accordance with the E&S measures contained in these Guidelines.

Installation Requirements

1. Protect all graded or disturbed areas including slopes during clearing and construction in accordance with the approved erosion and sediment control plan until they are permanently stabilized.

2. Construct and maintain all erosion and sediment controls in accordance with the approved erosion and sediment control plan.

3. Clear and grub area to be graded. In filled areas where fill exceeds 5 feet in depth, grubbing may not be required.

4. Strip and stockpile topsoil required for the establishment of vegetation in amounts necessary to complete finished grading of all exposed areas. (See Chapter 4, Special Treatments, Stockpile Management)

5. Use only fill materials that are free of brush, rubbish, rocks, logs, stumps, building debris and other objectionable materials that would interfere with or prevent construction of satisfactory fills. Frozen material or soft, saturated or highly compressible materials shall not be incorporated into fills. Rock fill and other clean fill may be used providing it does not interfere with the construction of structures.

6. Place and compact all fill in layers not exceeding 1 foot in thickness. No embankment layer shall be deposited on surfaces of snow or ice nor shall it be placed on frozen or unstable surfaces. Where embankments are to be constructed on slopes steeper than 3:1, deeply scarify the existing slope or cut into steps before filling is begun. If fill placement is not completed within 1 day, then install temporary erosion and sediment controls, such as Temporary Fill Berm, as necessary to redirect runoff water away from the unstable slope until fill placement resumes.

7. Compact all fills as required to reduce erosion, slippage, settlement, subsidence or other related problems. Fill that is intended to support buildings, structures, conduits and other facilities shall be compacted in accordance with the design specifications.

8. Prior to final seeding, roughen slopes 2:1 through 5:1 to reduce runoff velocities unless the engineer directs otherwise. (See Surface Roughening measure)

9. If areas are to be topsoiled, refer to the Topsoiling measure.

10. During all phases of construction keep reverse slope benches free of sediment.

11. The treatment of seeps or springs encountered during construction shall be reviewed and addressed by the engineer in accordance with generally accepted engineering standards.

12. Apply permanent soil stabilization measures to all graded areas within 7 days of establishing final grade. (See measures in the Vegetative Soil Cover Functional Group.) If final grading is to be delayed for more than 30 days after land disturbance activities cease, temporary soil stabilization measures shall be applied in accordance with the Temporary Seeding measure and associated measures in the Short Term Non-Living Soil Protection Functional Group.

Maintenance
Inspect and maintain all erosion and sediment measures implemented during land grading operations according to their respective requirements.

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1 Clean fill is defined by CGS § 22a-209-1.
Cross slope bench with reverse slope of 5:1 or flatter, at least 1 foot deep.
2-Preserve and Conserve Soils

**Surface Roughening (SR)**

**Definition**
A rough soil surface with horizontal depressions created by operating a tillage or other suitable implement on the contour, or by leaving slopes in a roughened condition by not fine-grading them.

**Purpose**
- To promote the establishment of vegetative cover with seed.
- To reduce storm water runoff velocity and increase infiltration.
- To reduce sheet erosion and provide for sediment trapping.

**Applicability**
- On disturbed slopes whose gradients are between 2:1 and 4:1, inclusive.
- Not for slopes that are to be finished with a stable rock face, stone slope protection, or sod.

**Planning Considerations**
It is difficult to establish vegetation on smooth, hard surfaces. Roughened slope surfaces with uneven soil and rocks left in place may appear unattractive or unfinished at first, however this encourages water infiltration, speeds the establishment of vegetation, and decreases runoff velocity.

Roughened loose soil surfaces give lime, fertilizer and seed protection from the erosive effects of rainfall and wind. Depressions in the surface provide microclimates which generally provide a cooler and more favorable moisture level than hard flat surfaces; this microclimate aids seed germination.

Different methods can be used for achieving a roughened soil surface on a slope. The selection of an appropriate method depends upon the type of slope. Roughening methods include grooving, and tracking. Factors to be considered in choosing a method are slope steepness, mowing requirements, and whether it is a cut or fill slope.

It is important to avoid excessive compaction of the soil surface when roughening the surface. Tracking with bulldozer treads is preferable to not roughening at all, but is not as effective as other forms of roughening, as the soil surface is severely compacted and runoff may be increased.

**Specifications**

**For Areas Which Will Not Be Mowed**

**Cut Slope Applications:** Cut slopes between 2:1 and 4:1, inclusive, to be tracked or grooved (see Figure SR-1). Grooving or tracking consists of using machinery to create a series of ridges and depressions which run perpendicular to the direction of the slope (on the contour). Grooves may be made with any appropriate implement which can be safely operated on the slope and which will not cause undue compaction. Suggested implements include discs, tillers, springtooth harrows, dozer track cleats or the teeth on a front-end loader bucket. Such grooves shall not be less than 3 inches deep nor further than 15 inches apart.

**Fill Slope Applications:** Fill slopes between 2:1 to 4:1, inclusive, shall be grooved or allowed to remain rough as they are constructed. As lifts of the fill are constructed, soil and rock materials may be allowed to fall naturally onto the slope surface after filling is completed if the surface is not sufficiently roughened. After filling is completed, if the surface is not sufficiently roughened groove or track surface the same as for cut slopes. Slopes shall not be bladed or scraped to produce a smooth, hard surface, except where slopes are meant to be used as a travelway for vehicles and additional erosion and sediment controls are installed.
Areas Which Will Be Mowed
Mowed slopes should not be steeper than 3:1. Excessive roughness is undesirable where mowing is planned. Surface roughening is not recommended for areas to be sodded (See Sodding measure). Areas to be seeded and mowed may be roughened with shallow depressions such as those that remain after harrowing, raking, or using a cultipacker-seeder. Depressions formed by such equipment should be at least 1 inch deep and not further than 12 inches apart. The final pass of any equipment shall be on the contour (perpendicular to the direction of the slope).

Roughening With Tracked Machinery
(see Figure SR-1)
Roughening with tracked machinery on soils with a high clay content is not recommended unless no alternatives are available. Undue compaction of surface soil results from this practice. Sandy soils do not compact severely, and may be tracked. In sandy soils tracking may not be as effective as the other roughening methods described.

When tracking is the chosen surface roughening technique, it shall be done by operating tracked machinery up and down the slope to leave horizontal depressions in the soil. As few passes as possible of the machinery should be made to minimize compaction.

Stabilizing with Seed and/or Mulch
Immediately following surface roughening, protect the soil from erosion by seeding and/or mulching (See measures in the Short Term Non-Living Soil Protection Functional Group and the Vegetative Soil Cover Functional Group).

Maintenance
Inspect and maintain in accordance with the surface protection measure(s) used.
Planning Considerations
When construction activities expose soils, fugitive dust is emitted both during these activities (i.e., excavation, demolition, vehicle traffic, rock drilling and other human activities) and as a result of wind erosion of the exposed earth surfaces. Large quantities of dust can be generated during “heavy” construction activities, such as road and street construction, subdivision, commercial or industrial development.

In planning for dust controls:

- Limit the amount of exposed soil by phasing construction to reduce the area of land disturbed at any one time and by using, as soon as possible, stabilization measures such as anchored Temporary Soil Protection, Temporary Seeding or Permanent Seeding with anchored Mulch for Seed, Landscape Planting with Landscape Mulch, Sodding or Stone Slope Protection.

- Maintain as much natural vegetation as is practicable. Undisturbed vegetative buffers (minimum of 50-foot width) left between graded areas and area to be protected can be very effective.

- Identify and address sources of dust generated by construction activities. Limit construction traffic to predetermined routes. Paved surfaces require mechanical sweepers to remove soil that has been deposited or tracked onto the pavement. On unpaved travelways and temporary haul roads, use road construction stabilization measures and/or water as needed to keep surface damp. Stationary sources of dust, such as rock crushers, use fine water sprays to control dust. If water is expected to be needed for dust control, identify the source of water in advance. Pumping from streams, pond and similar waterbodies may require approval from the municipal inland wetland agency.

- Identify and address sources of wind generated dust. Provide special consideration to hilltops and long reaches of open ground where slopes may be exposed to high winds. Consider breaking up long reaches with temporary windbreaks constructed from brush piles, geotextile silt fences or hay bales. Plan on stabilizing slopes early. Mulch for seed will require anchoring when used.

- Consider water quality when selecting the method and/or materials used for dust control. When considering the use of calcium chloride, be aware of the following: the receiving soil’s permeability so as to prevent groundwater contamination; the timing of the application to rainfall to prevent washing of salts into sensitive areas such as wetlands and watercourses; and proximity to sensitive areas such as watercourses, ponds, established or soon to be established area of plantings, where salts could impair or destroy plant and animal life. Additionally, some materials used for dust control may be rendered ineffective by degraded water quality if it is used for mixing.
Consider using dust control measures only after it is determined that other measures for soil stabilization cannot be practically applied.

**Specifications**

**Mechanical Sweeping**
Use mechanical sweeping on paved areas where dust and fine materials accumulate as a result of truck traffic, pavement saw cutting spillage, and wind or water deposition from adjacent disturbed areas. Sweep daily in heavily trafficked areas.

**Water**
Periodically moisten exposed soil surfaces on unpaved travelways to keep the travelway damp.

**Non-Asphaltic Soil Tackifier**
Non-asphaltic soil tackifier consists of an emulsified liquid soil stabilizer of organic, inorganic or mineral origin, including, but not limited to the following: modified resins, calcium chloride, complex surfactant, copolymers or high grade latex acrylics. The solutions shall be non-asphaltic, nontoxic to human, animal and plant life, non-corrosive and nonflammable. Materials used shall meet local, state and federal guidelines for intended use. All materials are to be applied according to the manufacturer's recommendations and all safety guidelines shall be followed in storing, handling and applying materials.

**Maintenance**
Repeat application of dust control measures when fugitive dust becomes evident.
Planning Considerations

The measures included in the vegetative soil cover group include Temporary Seeding, Permanent Seeding, Sodding and Landscape Planting. These measures serve the common function of stabilizing the soil through the establishment of a vegetative cover.

The Temporary Seeding measure is applicable to those areas where the phasing and sequencing of a project require an initial disturbance followed by an extended period of inactivity that is greater than 30 days but less than 1 year. It is important to note that temporary seedings will not provide the same level of protection that permanent vegetation will provide. Temporary seeding mixtures do not develop a “turf” or “sod.” Temporary seedings do not generally receive the same level of maintenance as permanent seedings. This measure is used with the Mulch for Seed measure.

The Permanent Seeding measure is applicable to those areas that have been disturbed and will remain so for 1 year or more. It is also applicable to those areas that have been brought to a final grade and ready for final vegetation establishment. This measure is used with the Mulch for Seed, Topsoiling, Temporary Erosion Control Blanket and Permanent Turf Reinforcement Mat measures.

The Sodding measure is recommended for lands needing rapid establishment and highly effective grass cover. It provides almost instantaneous soil protection with high aesthetic value and is very useful in critical watersheds, particularly at times outside of the recommended seeding dates. This measure may be used following the Topsoiling and Permanent Turf Reinforcement Mat measures.

The Landscape Planting measure is most commonly used where aesthetics, wildlife habitat and noise control are needed. It is frequently used in conjunction with the Landscape Mulch measure.

The early establishment of either temporary or permanent vegetative cover can reduce and even prevent costly maintenance operations for other erosion control systems. For example, the frequency of cleaning out sediment basins will be reduced if the drainage area of the basin is seeded where grading and construction are not taking place. The establishment of grass cover is essential to preserve the integrity of earthen structures used to control sediment, such as dikes, diversions, and the banks and dams of sediment basins.
3-Vegetative Soil Cover

Temporary Seeding (TS)

Definition
Establishment of temporary stand of grass and/or legumes by seeding and mulching soils that will be exposed for a period greater than 1 month but less than 12 months.

Purpose
To temporarily stabilize the soil and reduce damage from wind and/or water erosion and sedimentation until permanent stabilization is accomplished.

Applicability
• Within the first 7 days of suspending work on a grading operation that exposes erodible soils where such suspension is expected to last for 1 to 12 months. Such areas include soil stockpiles, borrow pits, road banks and other disturbed or unstable areas.
• Not for use on areas that are to be left dormant for more than 1 year. Use permanent vegetative measures in those situations.

Specifications

Seed Selection
Select grass species appropriate for the season and site conditions from Figure TS-2.

Timing Considerations
Seed with a temporary seed mixture within 7 days after the suspension of grading work in disturbed areas where the suspension of work is expected to be more than 30 days but less than 1 year. Seeding outside the optimum seeding dates given in Figure TS-2 may result in either inadequate germination or low plant survival rates, reducing erosion control effectiveness.

Site Preparation
Install needed erosion control measures such as diversions, grade stabilization structures, sediment basins and grassed waterways in accordance with the approved plan.

Grade according to plans and allow for the use of appropriate equipment for seedbed preparation, seeding, mulch application, and mulch anchoring. All grading should be done in accordance with the Land Grading measure.

Seedbed Preparation
Loosen the soil to a depth of 3-4 inches with a slightly roughened surface. If the area has been recently loosened or disturbed, no further roughening is required. Soil preparation can be accomplished by tracking with a bulldozer, discing, harrowing, raking or dragging with a section of chain link fence. Avoid excessive compaction of the surface by equipment traveling back and forth over the surface. If the slope is tracked, the cleat marks shall be perpendicular to the anticipated direction of the flow of surface water (see Surface Roughening measure).

Apply ground limestone and fertilizer according to soil test recommendations (such as those offered by the University of Connecticut Soil Testing Laboratory or other reliable source). Soil sample mailers are available from the local Cooperative Extension System office. Appendix E contains a listing of the Cooperative Extension System offices.

If soil testing is not feasible on small or variable sites, or where timing is critical, fertilizer may be applied at the rate of 300 pounds per acre or 7.5 pounds per 1,000 square feet of 10-10-10 or equivalent. Additionally, lime may be applied using rates given in Figure TS-1.

Seedbed Preparation

Apply seed uniformly by hand, cyclone seeder, drill, cultipacker type seeder or hydrosseeder at a minimum rate for the selected seed identified in Figure TS-2. Increase
seeding rates by 10% when hydroteeing.

**Mulching**
Temporary seedings made during optimum seeding dates shall be mulched according to the Mulch for Seed measure. Note when seeding outside of the optimum seeding dates, increase the application of mulch to provide 95%-100% coverage.

**Maintenance**
Inspect seeded area at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for seed and mulch movement and rill erosion.

Where seed has moved or where soil erosion has occurred, determine the cause of the failure. Bird feeding may be a problem if mulch was applied too thinly to protect seed. Re-seed and re-mulch. If movement was the result of wind, then repair erosion damage (if any), reapply seed and mulch and apply mulch anchoring. If failure was caused by concentrated runoff, install additional measures to control water and sediment movement, repair erosion damage, re-seed and re-apply mulch with anchoring or use Temporary Erosion Control Blanket measure.

Continue inspections until the grasses are firmly established. Grasses shall not be considered established until a ground cover is achieved which is mature enough to control soil erosion and to survive severe weather conditions (approximately 80% vegetative surface cover).
# Figure TS-2  Temporary Seeding Rates and Dates

<table>
<thead>
<tr>
<th>Species*</th>
<th>Seeding Rates (pounds)</th>
<th>Optimum Seed Depth2 (inches)</th>
<th>Optimum Seeding Dates1</th>
<th>Plant Characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual ryegrass Lolium multiflorum</td>
<td>40</td>
<td>1.0</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>Perennial ryegrass Lolium perenne</td>
<td>40</td>
<td>1.0</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>Winter Rye Secale cereale</td>
<td>120</td>
<td>3.0</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Oats Avena sativa</td>
<td>86</td>
<td>2.0</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Winter Wheat Triticum aestivum</td>
<td>120</td>
<td>3.0</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Millet Echinochloa crusgalli</td>
<td>20</td>
<td>0.5</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Sudangrass Sorghum sudanense</td>
<td>30</td>
<td>0.7</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Buckwheat Fagopyrum esculentum</td>
<td>15</td>
<td>0.4</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>Weeping lovegrass Eragrostis curcula</td>
<td>5</td>
<td>0.2</td>
<td>0.25</td>
<td></td>
</tr>
<tr>
<td>DOT All Purpose Mix3</td>
<td>150</td>
<td>3.4</td>
<td>0.5</td>
<td></td>
</tr>
</tbody>
</table>

| Source: USDA–NRCS |

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1. May be planted throughout summer if soil moisture is adequate or can be irrigated. Fall seeding may be extended 15 days in the coastal towns.
2. Seed at twice the indicated depth for sandy soils.
3. See Permanent Seeding Figure PS-3 for seeding mixture requirements.
4. Listed species may be used in combinations to obtain a broader time spectrum. If used in combinations, reduce each species planting rate by 20% of that listed.
Planning Considerations
There are several factors that should be considered when evaluating a site for the establishment of permanent vegetation.

**Time Of Year**
Seeding dates in Connecticut are normally April 1 through June 15 and August 15 through October 1. Spring seedings give the best results and spring seedings of all mixes with legumes is recommended. There are two exceptions to the above dates. The first exception is when seedings will be made in the areas of Connecticut known as the Coastal Slope and the Connecticut River Valley. The Coastal Slope includes the coastal towns of New London, Middlesex, New Haven, and Fairfield counties. In these areas, with the exception of crown vetch\(^1\), the final fall seeding dates can be extended an additional 15 days. The second exception is frost crack or dormant seeding. In this type of seeding, the seed is applied during the time of year when no germination can be expected, normally November through February. Germination will take place when weather conditions improve. In this type of seeding, mulching is extremely important to protect the seed from wind and surface erosion and to provide erosion protection until the seeding becomes established.

**Topsoiling Needs**
The need to topsoil is determined by a combination of existing soil fertility and intended use. The poorer the site is in terms of natural fertility and soil texture, the greater the need for topsoil. This is especially true on sites where a high quality vegetative cover is needed either for erosion control or aesthetics.

\(^1\) When crown vetch is seeded in late summer, at least 35% of the seed should be hard seed (unscarified).

**Soil Texture**
Soil texture (ratio of gravel, sand, silt, clay and organic matter) can affect the choice of a seed mixture for vegetating disturbed areas. For example, sites which have soils with a large percentage of sands and gravels will tend to be drouthy and therefore require a drought tolerant mixture. Conversely, sites that exhibit somewhat poorly or poorly drained characteristics will require a mixture that will tolerate wet conditions. Soil texture of the site may warrant consideration for the use of topsoil (see **Topsoiling** measure) or sodding (see **Sodding** measure).

**Intended Use**
Referring to **Figure PS-2**, consider the ultimate use and maintenance requirements of the area when choosing a seed mixture to be used. There are two levels of maintenance: areas that will be mowed and areas that will not.

- Areas that will be mowed can have different levels of maintenance and mowing. Golf courses and recreation areas will require more intensive management than roadside banks and medians.
- Areas such as spoil banks, gravel pits and steep roadbanks once seeded and established will require no further mowing and little, if any, maintenance.

**Topography or Finished Grade**
Do not use permanent seeding on slopes steeper than 2:1. Under saturated conditions slopes could develop deep or shallow surface failures. In cases such as this, maintenance can be a constant problem and there can be danger to structures. A thorough site investigation is needed to determine if alternatives such as benching or
other structural methods are needed to ensure soil stability before seeding is done.

Cool Season versus Warm Season Grasses

Cool season grasses are those species that normally begin growth very early in the spring (late March to early April) and will continue to grow until warm weather sets in mid-June. At the onset of hot weather, cool season grasses will enter a stage of dormancy and exhibit little growth. They will maintain that dormant state until the cooler weather of the fall (end of August) and will then begin to grow again until late fall (end of October). Warm season grasses on the other hand, do not begin vigorous growth until warm weather (late May) and will continue growth until cool weather in the late fall (mid-September). Cool season grasses generally are the sod formers, such as bluegrass, while the warm season grasses, such as the perennial ryes, do not form sod.

Presence of Mulch

Sometimes seeding will occur after a previous application of mulch. If wood chips, bark or similar materials were used on the seeding area, plan on either removing the mulch or incorporating it into the soil and applying more nitrogen (see Seed Bed Preparation). Previously applied hay and straw mulch can be incorporated into the soil without adding supplemental nitrogen.

Specifications

Seed Selection and Quantity

Select a seed mixture appropriate to the intended use and soil conditions from Figure PS-2 and Figure PS-3 or use mixture recommended by the NRCS. For seed mixtures containing legumes, select the type and amount of inoculant that is specific for the legume to be used.

When buying seed make sure the quality of the seed is given for pure live seed and germination rate. Ask the supplier for an affidavit of purity and germination rate if there is any question. Expect a purity between of 95% and 98% and a germination rate between 70% and 90%. Some seeding mixtures call for pure live seed. An example of calculating pure live seed is given in Figure PS-3.

Increase seeding rates 10% when using frost crack seeding2 or hydroseeding.

Timing

Seed with a permanent seed mixture within 7 days after establishing final grades or when grading work within a disturbed area is to be suspended for a period of more than 1 year. Seeding is recommended from April 1 through June 15 and August 15 through October 1, with the following exceptions:

- for the coastal towns and in the Connecticut River
- Valley final fall seeding dates can be extended an additional 15 days, and
- dormant or frost crack seeding is done after the ground is frozen.

Site Preparation

Grade in accordance with the Land Grading measure.

Install all necessary surface water controls.

For areas to be mowed remove all surface stones 2 inches or larger. Remove all other debris such as wire, cable, tree roots, pieces of concrete, clods, lumps or other unsuitable material.

Note: On areas where wood chips and/or bark mulch was previously applied, either remove the mulch or incorporate it into the soil with a nitrogen fertilizer added. Nitrogen application rate is determined by soil test at time of seeding, anticipate 12 lbs nitrogen per ton of wood chips and/or bark mulch.

Seedbed Preparation

Apply topsoil, if necessary, in accordance with the Topsoiling measure.

Apply fertilizer and ground limestone according to soil tests conducted by the University of Connecticut Soil Testing Laboratory or other reliable source. A pH range of 6.2 to 7.0 is optimal for plant growth of most grass species.

Where soil testing is not feasible on small or variable sites, or where timing is critical, fertilizer may be applied at the rate of 300 pounds per acre or 7.5 pounds per 1,000 square feet using 10-10-10 or equivalent and limestone at 4 tons per acre or 200 pounds per 1,000 square feet. Additionally, lime may be applied using rates given in Figure PS-1. A pH of 6.2 to 7.0 is optimal.

For areas that were previously mulched with wood chips or bark and the wood chips or bark are to be incorporated into the soil, apply additional nitrogen at a rate that is determined by soil tests at time of seeding.

Table: Figure PS-1 Soil Texture vs. Liming Rates

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>Tons / Acre of Lime</th>
<th>Lbs / 1000 ft² of Lime</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clay, clay loam and high organic soil</td>
<td>3</td>
<td>135</td>
</tr>
<tr>
<td>Sandy loam, loam, silt loam</td>
<td>2</td>
<td>90</td>
</tr>
<tr>
<td>Loamy sand, sand</td>
<td>1</td>
<td>45</td>
</tr>
</tbody>
</table>

Footnotes:

2 Frost crack or dormant seeding is a method used to establish a seeding during the off season and should be used only in extreme cases as there is a smaller chance of success. It can be an effective way to plant grass seed during late winter or early spring. This method is most effective on frozen ground where a seedbed has been prepared, or on areas that have been disturbed and where topsoil exists but vegetation has not been established. Frost crack or dormant seeding can also be used to re-seed or over-seed an area previously seeded, but where the survival was poor. The existing plants will remain undamaged, while the frost works the seed into the soil in bare areas. In all cases, seedings of this type need to be mulched to protect the seed from wind and water until satisfactory growing conditions occur (See Mulch for Seed measure). This method works particularly well with legumes, such as crown vetch and flat pea, which have a hard seed coat and the freezing action breaks down the seed coat to allow for germination.
Work lime and fertilizer into the soil to a depth of 3 to 4 inches with a disc or other suitable equipment.

Continue tillage until a reasonably uniform, fine seedbed is prepared. For areas to be mowed the final soil loosening and surface roughening operation is by hand, harrow or disk. If done by harrow or disc, it is generally done on the contour. Areas not to be mowed can be tracked with cleated earthmoving equipment perpendicular to the slope (see Surface Roughening measure). However, for areas where Temporary Erosion Control Blankets are to be used instead of Mulch for Seed prepare the seed bed in accordance with blanket manufacturer’s recommendations.

Inspect seedbed just before seeding. If the soil is compacted, crusted or hardened, scarify the area prior to seeding.

**Seed Application**
Apply selected seed at rates provided in Figure PS-3 uniformly by hand, cyclone seeder, drill, cultipacker type seeder or hydroseeder (slurry including seed, fertilizer). Normal seeding depth is from 0.25 to 0.5 inch. Increase seeding rates by 10% when hydroseeding or frost crack seeding.

Seed warm season grasses during the spring period only.

Apply mulch according to the Mulch for Seed measure.

**Irrigation for Summer Seeding**
When seeding outside of the recommended seeding dates in the summer months, watering may be essential to establish a new seeding. Irrigation is a specialized practice and care needs to be taken not to exceed the infiltration rate of the soil. Each application must be uniformly applied with 1 to 2 inches of water applied per application, soaking the ground to a depth of 4 inches.

**Maintenance**

**Initial Establishment**
Inspect seeded area at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater during the first growing season.

Where seed has been moved or where soil erosion has occurred determine the cause of the failure. Bird damage may be a problem if mulch was applied too thinly to protect seed. Re-seed and re-mulch. If movement was the result of wind, repair erosion damage (if any), re-apply seed and mulch, and apply mulch anchoring. If failure was caused by concentrated water, (1) install additional measures to control water and sediment movement, (2) repair erosion damage, (3) re-seed and (4) re-apply mulch with anchoring or use Temporary Erosion Control Blanket measure and/or Permanent Turf Reinforcement Mat measure.

If there is no erosion, but seed survival is less than 100 plants per square foot after 4 weeks of growth, re-seed as planting season allows.

Continue inspections until at least 100 plants per square foot have grown at least 6 inches tall or until the first mowing.

**First Mowing**
Allow the majority of plants to achieve a height of least 6 inches before mowing it the first time. Do not mow while the surface is wet. Mowing while the surface is still wet may pull many seedlings from the soil and often leaves a series of unnecessary ruts. The first mowing should remove approximately one third of the growth, depending upon the type of grass and where it is being used. Do not mow grass below 3 inches.

If the seeding was mulched, do not attempt to rake out the mulching material. Normal mowing will gradually remove all unwanted debris.

**Long Term Maintenance**
Mow and fertilize at a rate that sustains the area in a condition that supports the intended use. If appropriate the height of cut may be adjusted downward, by degrees, as new plants become established. Carry out any fertilization program in accordance with approved soil tests that determine the proper amount of lime and fertilizer needed to maintain a vigorous sod yet prevent excessive leaching of nutrients to the groundwater or runoff to surface waters.

Although weeds may appear to be a problem, they shade the new seedlings and help conserve surface moisture. Do not apply weed control until the new seeding has been mowed at least four times.
<table>
<thead>
<tr>
<th>Area To Be Seeded</th>
<th>Mixture Number&lt;sup&gt;1&lt;/sup&gt;</th>
<th>Mowing Desired</th>
<th>Mowing Not Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>BORROW AREAS, ROADSIDES, DIKES, LEVEES, POND BANKS AND OTHER SLOPES AND BANKS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A) Well or excessively drained soil&lt;sup&gt;2&lt;/sup&gt;</td>
<td>1, 2, 3, 4, 5 or 8</td>
<td>5, 6, 7, 8, 9, 10, 11, 12, 16, 22</td>
<td></td>
</tr>
<tr>
<td>B) Somewhat poorly drained soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td>5, 6</td>
<td></td>
</tr>
<tr>
<td>C) Variable drainage soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td>5, 6, 11</td>
<td></td>
</tr>
<tr>
<td>DRAINAGE DITCH AND CHANNEL BANKS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A) Well or excessively drained soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>1, 2, 3, or 4</td>
<td>9, 10, 11, 12</td>
<td></td>
</tr>
<tr>
<td>B) Somewhat poorly drained soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C) Variable drainage soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIVERSIONS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A) Well or excessively drained soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2, 3 or 4</td>
<td>9, 10, 11</td>
<td></td>
</tr>
<tr>
<td>B) Somewhat poorly drained soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>C) Variable drainage soils&lt;sup&gt;2&lt;/sup&gt;</td>
<td>2</td>
<td></td>
<td></td>
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<tr>
<td>EFFLUENT DISPOSAL</td>
<td></td>
<td></td>
<td>5 or 6</td>
</tr>
<tr>
<td>GRAVEL PITS&lt;sup&gt;3&lt;/sup&gt;</td>
<td>26, 27, 28</td>
<td></td>
<td></td>
</tr>
<tr>
<td>GULLIED AND ERODED AREAS</td>
<td>3, 4, 5, 8, 10, 11, 12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MINESPOIL &amp; WASTE, AND OTHER SPOIL BANKS (If toxic substances &amp; physical</td>
<td>15, 16, 17, 18, 26, 27, 28</td>
<td></td>
<td></td>
</tr>
<tr>
<td>properties not limiting)&lt;sup&gt;3&lt;/sup&gt;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SHORELINES (Fluctuating water levels)</td>
<td>5 or 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SKI SLOPES</td>
<td>4, 10</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SOD WATERWAYS AND SPILLWAYS</td>
<td>1, 2, 3, 4, 6, 7, or 8</td>
<td>1, 2, 3, 4, 6, 7, or 8</td>
<td>9 or 6</td>
</tr>
<tr>
<td>SUNNY RECREATION AREAS (Picnic areas and playgrounds or driving and archery</td>
<td>1, 2 or 23</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ranges, nature trails)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CAMPING AND PARKING, NATURE TRAILS (Shaded)</td>
<td>19, 21 or 23</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SAND DUNES (Blowing sand)</td>
<td>25</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WOODLAND ACCESS ROADS, SKID TRAILS AND LOG YARDING AREAS</td>
<td>9, 10, 16, 22, 26</td>
<td></td>
<td></td>
</tr>
<tr>
<td>LAWNS AND HIGH MAINTENANCE AREAS</td>
<td>1, 19, 21 or 29</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<sup>1</sup>The numbers following in these columns refer to seed mixtures in Figure PS-3. Mixes for shady areas are in bold-italics print (including mixes 20 through 24).

<sup>2</sup>See county soil survey for drainage class. Soil surveys are available from the County Soil and Water Conservation District Office.

<sup>3</sup>Use mix 26 when soil passing a 200 mesh sieve is less than 15% of total weight. Use mix 26 & 27 when soil passing a 200 mesh sieve is between 15 and 20% of total weight. Use mix 26, 27 & 28 when soil passing a 200 mesh sieve is above 20% of total weight.

Source: USDA-NRCS
### Figure PS-3  Seed Mixtures for Permanent Seeding

<table>
<thead>
<tr>
<th>No.</th>
<th>Seed Mixture (Variety)</th>
<th>Lbs/Acre</th>
<th>Lbs/1,000 Sq. Ft.</th>
</tr>
</thead>
<tbody>
<tr>
<td>15</td>
<td>Kentucky Bluegrass</td>
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<tr>
<td></td>
<td>Perennial Ryegrass (Norlea, Manhatten)</td>
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<td><strong>Total</strong></td>
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<td>Redtop (Streeker, Common)</td>
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<td></td>
<td>Tall Fescue (Kentucky 31) or Smooth Bromegrass (Saratoga, Lincoln)</td>
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<td><strong>Total</strong></td>
<td>42</td>
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<td>Creeping Red Fescue (Pennlawn, Wintergreen)</td>
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<tr>
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<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant$^1$</td>
<td>8</td>
<td>.20</td>
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<td>Tall Fescue (Kentucky 31) or Smooth Bromegrass (Saratoga, Lincoln)</td>
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<td><strong>Total</strong></td>
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<td>Creeping Red Fescue (Pennlawn, Wintergreen) or Tall Fescue (Kentucky 31)</td>
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<td>Redtop (Streeker, Common)</td>
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<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant$^1$</td>
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<tr>
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<td><strong>Total</strong></td>
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<tr>
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<td>Redtop (Streeker, Common)</td>
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<td>.50</td>
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<td><strong>Total</strong></td>
<td>42</td>
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<td>Smooth Bromegrass (Saratoga, Lincoln)</td>
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<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant$^1$</td>
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<td><strong>Total</strong></td>
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<td></td>
<td>Weeping lovegrass</td>
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<tr>
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<td>Little Bluestem (Blaze, Aldous, Camper)</td>
<td>10$^1$</td>
<td>.25</td>
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<tr>
<td></td>
<td><strong>Total</strong></td>
<td>23</td>
<td><strong>.57</strong></td>
</tr>
<tr>
<td>95</td>
<td>Creeping Red Fescue (Pennlawn, Wintergreen)</td>
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<td>.25</td>
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<tr>
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<td>Crown Vetch (Chemung, Penngift) with inoculant$^1$</td>
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<td>.35</td>
</tr>
<tr>
<td></td>
<td>(or Flatpea (Lathco) with inoculant$^1$)</td>
<td>(30) (75)</td>
<td>(.75)</td>
</tr>
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<td>Tall Fescue (Kentucky 31) or Smooth Bromegrass (Saratoga, Lincoln)</td>
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<tr>
<td></td>
<td>Redtop (Streeker, Common)</td>
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<tr>
<td>105</td>
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<tr>
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<td>Crown Vetch (Chemung, Penngift) with inoculant$^1$</td>
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<td>.35</td>
</tr>
<tr>
<td></td>
<td>(or Flatpea (Lathco) with inoculant$^1$)</td>
<td>(30) (75)</td>
<td>(.75)</td>
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<td>Crown Vetch (Chemung, Penngift) with inoculant$^1$</td>
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<td>.35</td>
</tr>
<tr>
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<td>Creeping Red Fescue (Pennlawn, Wintergreen) or Tall Fescue (Kentucky 31) or Smooth Bromegrass (Saratoga, Lincoln)</td>
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*continued*
### Figure PS-3  Seed Mixtures for Permanent Seeding (con't)

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<thead>
<tr>
<th>No.</th>
<th>Seed Mixture (Variety)</th>
<th>Lbs/1,000 Lbs/Acre</th>
<th>Sq. Ft.</th>
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<tr>
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<td>Perennial Ryegrass (Norlea, Manhattan)</td>
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<td>.10</td>
</tr>
<tr>
<td></td>
<td>Crown Vetch (Chemung, Penngift) with inoculant (^1)</td>
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<td>.35</td>
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<tr>
<td></td>
<td>Total 45</td>
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</tr>
<tr>
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<td>Crown Vetch (Chemung, Penngift) with inoculant (^1)</td>
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<td>.25</td>
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<tr>
<td></td>
<td>(or Flatpea (Lathco) with inoculant (^1))</td>
<td>30</td>
<td>.75</td>
</tr>
<tr>
<td></td>
<td>Switchgrass (Blackwell, Shelter, Cave-in-rock)</td>
<td>5</td>
<td>.10</td>
</tr>
<tr>
<td></td>
<td>Perennial Ryegrass (Norlea, Manhattan)</td>
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<td>.10</td>
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<tr>
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<td>Total 20 (or 40)</td>
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<td>Crown Vetch (Chemung, Penngift) with inoculant (^1)</td>
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<td>.35</td>
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<tr>
<td></td>
<td>(or Flatpea (Lathco) with inoculant (^1))</td>
<td>30</td>
<td>.75</td>
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<tr>
<td></td>
<td>Perennial Ryegrass (Norlea, Manhattan)</td>
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<td>.25</td>
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<td>Total 25 (or 40)</td>
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<tr>
<td></td>
<td>Big Bluestem (Niagra, Kaw) or Little Bluestem (Blaze, Aldous, Camper)</td>
<td>5</td>
<td>.10</td>
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<td></td>
<td>Perennial Ryegrass (Norlea, Manhattan)</td>
<td>5</td>
<td>.10</td>
</tr>
<tr>
<td></td>
<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant (^1)</td>
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<td>.10</td>
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<tr>
<td></td>
<td>Total 20</td>
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</tr>
<tr>
<td>165</td>
<td>Tall Fescue (Kentucky 31)</td>
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<td></td>
<td>Flatpea (Lathco) with inoculant (^1)</td>
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<td>.75</td>
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<td></td>
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<tr>
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<td>Deer Tongue (Tioga) with inoculant (^1)</td>
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<td>.25</td>
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<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant (^1)</td>
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<td>.20</td>
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<tr>
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<td>Perennial Ryegrass (Norlea, Manhattan)</td>
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<td>.07</td>
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<td>Total 21</td>
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<td></td>
<td>Crown Vetch (Chemung, Penngift) with inoculant (^1)</td>
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<td>.35</td>
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<tr>
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<td>Perennial Ryegrass (Norlea, Manhattan)</td>
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<td>Hard Fescue</td>
<td>30</td>
<td>.70</td>
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<tr>
<td></td>
<td>Colonial Bentgrass</td>
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<td>Bird’s-foot Trefoil (Empire, Viking) with inoculant (^1)</td>
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<tr>
<td></td>
<td>Perennial Ryegrass</td>
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<td>.50</td>
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<td>205</td>
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<td>Creeping Red Fescue (Pennlawn, Wintergreen)</td>
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<td>Total 60</td>
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<td>Creeping Red Fescue (Pennlawn, Wintergreen)</td>
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<td>Tall Fescue (Kentucky 31)</td>
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<td></td>
<td>Total 60</td>
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<tr>
<td>235</td>
<td>Creeping Red Fescue (Pennlawn, Wintergreen)</td>
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<td>.35</td>
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<tr>
<td></td>
<td>Flatpea (Lathco) with inoculant (^1)</td>
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<td>.75</td>
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<td></td>
<td>Total 45</td>
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<tr>
<td>245</td>
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<td>Total 150</td>
<td>3.60</td>
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### Figure PS-3  Seed Mixtures for Permanent Seeding (con't)

<table>
<thead>
<tr>
<th>No.</th>
<th>Seed Mixture (Variety)</th>
<th>Lbs/Acre</th>
<th>Lbs/1,000 Sq. Ft.</th>
</tr>
</thead>
<tbody>
<tr>
<td>25⁵</td>
<td>American Beachgrass (Cape)</td>
<td>58,500 culms/acre</td>
<td>1,345 culms/100 sq. ft.</td>
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<tr>
<td>26⁶</td>
<td>Switchgrass (Blackwell, Shelter, Cave-in-rock)</td>
<td>4.0</td>
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<tr>
<td></td>
<td>Big Bluestem (Niagra, Kaw)</td>
<td>4.0</td>
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<td></td>
<td>Little Bluestem (Blaze, Aldous, Camper)</td>
<td>2.0</td>
<td>.05</td>
</tr>
<tr>
<td></td>
<td>Sand Lovegrass (NE-27, Bend)</td>
<td>1.5</td>
<td>.05</td>
</tr>
<tr>
<td></td>
<td>Bird’s-foot Trefoil (Empire Viking)</td>
<td>2.0</td>
<td>.05</td>
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<td>Total 13.5</td>
<td>.33</td>
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<tr>
<td>27⁵</td>
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<td>.20</td>
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<tr>
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<td>Perennial Pea (Lancer)</td>
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<td>.05</td>
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<td>Crown Vetch (Chemung, Penngift)</td>
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<tr>
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<td>Tall Fescue (Kentucky 31)</td>
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<td>.20</td>
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<tr>
<td></td>
<td>Total 24</td>
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<tr>
<td>28⁵</td>
<td>Orchardgrass (Pennlate, Kay, Potomac)</td>
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<td>.10</td>
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<td></td>
<td>Tall Fescue (Kentucky 31)</td>
<td>10</td>
<td>.20</td>
</tr>
<tr>
<td></td>
<td>Redtop (Streeker, Common)</td>
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<td>.05</td>
</tr>
<tr>
<td></td>
<td>Birds-foot Trefoil (Empire Viking)</td>
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<td>.10</td>
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<tr>
<td></td>
<td>Total 22</td>
<td>.45</td>
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<tr>
<td>29</td>
<td>Turf Type Tall Fescue (Bonanza, Mustang, Rebel II, Spartan, Jaguar) or Perennial Rye (“Future 2000” mix; Fiesta II, Blazer II, and Dasher II)</td>
<td>175 to 250</td>
<td>6 to 8</td>
</tr>
</tbody>
</table>

1. Use proper inoculant for legume seeds, use four times recommended rate when hydroseeding.
2. Use Pure Live Seed (PLS) = \( \frac{\% \text{ Germination} \times \% \text{ Purity}}{100} \)
   
   EXAMPLE: Common Bermuda seed with 70% germination and 80% purity =
   
   \[ \frac{70 \times 80}{100} = \frac{56}{100} = 56\% \]
   
   \[ \frac{10 \text{ lbs PLS/acre}}{56\%} = 17.9 \text{ lbs/acre of bagged seed} \]

3. DOT All purpose mix
4. Wild flower mix containing New England Aster, Baby’s Breath, Black Eye Susan, Catchfly, Dwarf Columbine, Purple Coneflower, Lance-leaved Coreopsis, Cornflower, Ox-eye Daisy, Scarlet Flax, Foxglove, Gayfeather, Rocky Larkspur, Spanish Larkspur, Corn Poppy, Spurred Snapdragon, Wallflower and/or Yarrow may be added to any seed mix given. Most seed suppliers carry a wild flower mixture that is suitable for the Northeast and contains a variety of both annual and perennial flowers. Seeding rates for the specific mixtures should be followed.
5. Considered to be a cool season mix.
6. Considered to be a warm season mix.
3-Vegetative Soil Cover
Sodding (SO)

Definition
Stabilizing fine-graded disturbed areas with the use of cut pieces of turf.

Purpose
• To permanently stabilize the soil.
• To immediately reduce erosion and the production of dust.
• To filter runoff water, reduce pollution.
• To improve site aesthetics.

Applicability
• On slopes 2:1 or flatter, except on very short slopes where the slope length is no longer than the width of the cut sod.
• In channels where the design velocity does not exceed 5 feet per second (fps) with a duration of 1 hour or less when the velocity is at or near 5 fps. For design velocities that exceed 5 fps, refer to the Riprap and Permanent Turf Reinforcement Mat measures.
• On sediment producing areas such as drainageways carrying intermittent flows, around drop inlets, in grassed drainageways, cut and fill slopes and other areas where conventional methods of turf establishment may be difficult or risky.
• In watersheds where maintenance of high water quality is particularly important.
• Where establishing turf grass and lawn is needed in the shortest time possible.

Planning Considerations

While the initial cost of sod is much higher than seed and mulch/erosion control blankets, sodding has some distinct advantages. Properly installed, sodding provides the following benefits which may justify the initial added expense:

❖ Provides initial higher level of erosion control than seeding and mulching, capable of withstanding heavier rainfalls and velocities without failure and subsequent need for repair;

❖ Is an immediate soil cover and erosion protection where concentrated surface runoff would prevent the establishment of sod by normal seeding procedures;

❖ Establishes a grass cover outside of the non-seeding dates.

❖ Offers immediate filtration of storm water runoff;

❖ Allows use of site in a much shorter length of time;

❖ Provides a quality controlled product, free from weeds, with predictable results; and

❖ Is aesthetically impressive.

These reasons are particularly true where quick establishment and protection is important, such as sites in public water supply watersheds or near watercourses, where maintenance of high water quality may be particularly important to fisheries or human consumption.

Additionally, in drainageways and intermittent waterways where concentrated flow will occur, properly installed sod is preferable to seed because there is no time lapse between installation and the time when the channel is protected. Sodding can reduce maintenance to other sediment controls by keeping them free from the silts, sediments and other debris that can result from conventional methods of turf establishment. However, sod is limited in its ability to withstand high velocity and/or long duration flows.

Note: The application of sod within a drainage way should be based on a determination that vegetation will satisfactorily resist channel velocities. Channel velocities for the design storm should not exceed 5 fps with a duration of less than 1 hour at or near 5 fps.

As with any other seeding or planting of vegetation, a decision on top soiling must be made. Generally speaking, the poorer the site in terms of natural fertility and soil texture, and where a high quality vegetative cover is needed either for erosion control or aesthetics, the
greater the need for topsoil.

**Specifications**

**Materials**

Sod consists of:

- Stoloniferous or rhizomatous grasses that form a dense mat of plants, being cut at a uniform soil thickness of 0.75 inch ± 0.25 inch at the time of cutting, excluding the shoot growth and thatch, and

- Standard size sections of sod strong enough to support their own weight and retain their size and shape when suspended from a firm grasp on one end of the section.

For sodded waterways, the sod type shall consist of plant materials able to withstand the design velocity (see [Vegetated Waterway](#) measure).

**Timing Limitations**

Sod may be placed anytime during the year for slope stabilization but shall not be installed on frozen ground, nor for waterway applications during the months of December, January or February.

Sod shall be harvested, delivered, and installed within 36 hours. Plan site preparation (see below) and delivery of sod accordingly. Have sod delivered to the site as soon as practical after harvesting. During hot weather, delivery should be made within 6 hours and may be extended to 48 hours during cool seasons. It is generally unwise to move sod during July and August. If moved during this period, sod may need to be cut thicker and will require frequent irrigation.

**Selection of Sod**

Select sod grown from seed of adapted varieties or types and under cultural practices conducive to quality sod that will be free of any serious thatch, weed, insect, disease, and other pest problems.

Select species and varieties best suited for the sites to be stabilized. Use mixtures tested and approved by state experiment stations.

Select sod at least 15 months old but no older than three years. Cultivated turf grass is usually considered ready for harvest when a cut portion of sod 3 feet long by 1 to 1.5 feet wide will support its own weight when suspended vertically from the upper 10% of the section. The most common age of sod when cut is 15 to 24 months.

Select sod cuts of width and length suited to the equipment and job. Generally, sod cuts are from 12 to 24 inches wide with 18 inches being the most common width in New England. Lengths of cuts vary from 4 to 8 feet. Sod may also be available in rolls 16-48 inches wide with lengths as much as 100 feet in length. In New England, this “big roll” system commonly cuts sod in 200 sq. ft. or 250 sq. ft. rolls made up of 3 units, each 16 inches wide (4 ft. total) and 50 ft. or 62.3 ft long. Mechanical equipment is required for installation. Sod may be cut and rolled or folded in the middle and stacked on pallets.

Folded sod is cut shorter than rolled sod, about 3 to 4 feet in length. About 80% of all rhizomes are in the top 1 inch of soil. The thinner the sod is cut the more quickly it will knit to the soil. However, the thinner the sod, the greater the need for irrigation as the thin sod will be more susceptible to drying out.

**Site Preparation**

Prior to soil preparation, bring to grade areas to be sodded in accordance with the approved plan.

Install and/or repair other sediment control measures needed to control water movement into the area to be sodded.

Clean soil surface of trash, debris, large roots, branches, stones and clods in excess of 1 inch in length or diameter. Do not apply sod to gravel or non-soil surfaces.

Place topsoil as needed, meeting the requirements of Topsoiling measure.

Perform soil tests to determine the exact requirements for lime and fertilizer. The soil tests may be conducted by the agronomy laboratory at the University of Connecticut Soil Testing Laboratory or a reputable commercial laboratory. Information on soil tests and procedures are available from county Cooperative Extension System, commercial nurserymen, lawn care professionals or other reliable source.

When required, spread these amendments evenly over the area to be sodded, and incorporate into the top 3 to 6 inches of the soil (if possible) by discing, harrowing or other acceptable means.

Fill or level any irregularities in the soil surface resulting from topsoiling or other operations in order to prevent the formation of depressions or water pockets.

**Note:** If the soil is hot or dry, lightly irrigate the soil immediately prior to laying the sod to cool the soil and reduce root burning and die back.

**Sod Installation**

Install the first row of sod in a straight line with subsequent rows placed parallel to and butting tightly against each other. Stagger lateral joints to promote more uniform growth and strength. Take care to ensure that sod is not stretched or overlapped and that all joints are butted tight in order to prevent voids which would cause drying of the roots.

On slopes 3:1 or steeper or wherever erosion may be a problem, lay sod with staggered joints perpendicular to the direction of flow (i.e. on the contour) and secure by pegging or other approved methods. If the site of sodding is to be mowed, the use of wood pegs or biodegradable staples is recommend over metal staples for anchoring to reduce problems caused by mowing equipment hitting metal staples should they get lifted over time from the sod surface. Also, for these areas, sod cut into long strips and rolled for transport is desired because it minimizes the number of sections.

As sodding is completed, roll and tamp the sod to ensure contact with the soil.

After rolling, irrigate the sod to a depth sufficient to
thoroughly wet the underside of the sod pad and the 4 inches of soil below the sod.

**Sodded Waterway Installations**

Follow site preparation requirements listed above.

Use a sod capable of withstanding the design velocity. Lay sod strips perpendicular to the direction of channel flow, taking care to butt the ends of strips tightly.

As sodding of clearly defined areas is completed, roll or tamp the sod to ensure contact with the soil.

Peg or staple to resist washout during the establishment period. Fasten every 3 inches on the leading edge and 1 to 2 ft. laterally. If the site of sodding is to be mowed, the use of wood pegs or biodegradable staples is recommended over metal staples for anchoring to reduce problems caused by mowing equipment hitting metal staples should they get lifted over time from the sod surface.

After rolling, sod shall be irrigated to a depth sufficient to thoroughly wet the underside of the sod pad and the 4 inches below the sod.

**Maintenance**

**During the first week**, inspect daily and if rainfall is inadequate, then water the sod as often as necessary to maintain moist soil to a depth of at least 4 inches below the sod. Subsequent waterings may be necessary to ensure establishment and maintain adequate growth. After the first week, inspect sodded area at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater during the first growing season.

Where sod has died or has been moved or where soil erosion has occurred, determine if the failure was caused by inadequate irrigation, poorly prepared surface, improper anchoring, excessive sedimentation or excessive flows. If the failure was caused by concentrated flow, check water velocities and duration to ensure it does not exceed 5 fps or a duration greater than 1 hour at or near 5 fps. Install additional measures to control water and sediment, repair erosion damage, and re-install sodding with anchoring.

Do not mow until the sod is firmly rooted, usually 2 to 3 weeks. Do not remove more than 1/3 of the grass leaf at any one cutting.

**Long term maintenance** of the sod should be commensurate with the planned use of the area. For liming and fertilization, follow soil test recommendations when possible.
Planning Considerations

The initial function of any vegetation to be established on disturbed soils is to prevent soil detachment and subsequent erosion. However, other factors are considered when choosing whether to plant grass and/or other herbaceous vegetation, or whether woody landscape plantings should be utilized.

Some disadvantages to using grass are:
- **Permanent grass cover requires periodic mowing to prevent the area from being occupied with shrubs and tree seedlings through the process of natural succession.**
- **Grass cover does little to control access by pedestrians or vehicles. In areas of heavy pedestrian use, soil compaction may result in death of the plants, increasing erosion potential.**
- **Grass provides limited value for wildlife. However, extensive turf may also provide an attractive feeding habitat for wildlife which may become a nuisance. (e.g. Canada Geese).**

Landscape plantings of trees, shrubs, and ground covers have particular attributes which provide benefits that grass or herbaceous cover cannot. These benefits include:
- **Improving air quality;**
- **Modifying air circulation patterns;**
- **Reducing heating and cooling costs;**
- **Providing shade;**
- **Preventing blinding reflections;**
- **Softening architectural features;**
- **Screening undesirable views;**
- **Controlling or screening undesirable noises;**
- **Calming and controlling traffic;**
- **Providing wildlife food and shelter; and**
- **Restoring natural conditions to a disturbed site.**

Landscape planting plan

If landscape plantings are intended, then a landscape planting plan should be developed. The landscape planting plan should identify the species, location, number of each planting specified to be planted, the type of planting stock (i.e. bare-root, balled and burlapped, etc.), and the timing for planting.

Newly transplanted trees and shrubs which are carefully selected to match the site conditions will need the least aftercare, and will become established quickly. Conversely, plants put under stress by being transplanted into an environment they are not well adapted to will need extraordinary and long term maintenance. The following characteristics should be taken into account when developing a landscape planting plan and selecting plant material:

**Adaptability to Site Conditions:** Proper selection of landscape plants requires a careful study of the characteristics of the site, a thorough knowledge of the species available and hardy to the area, and a thorough knowledge of all the potential insect, disease, and cultural problems which may weigh against the plant selected for the required function.

Site characteristics such as soil type, surface and subsurface drainage, and light availability are primary limiting factors that determine if a given plant will survive. Other site specific factors such as exposure to salt at shoreline or roadsides, high winds, polluted air, or heat from reflected sun may limit plant survivability. The specific conditions at each site must be taken into account when selecting the appropriate plant for the site.
Hardiness Zones: Woody landscape plants must be hardy to the area in which they are planted in order to survive. Hardiness zones are geographical areas mapped according to the approximate range of average annual minimum temperatures. Plants adaptable to conditions in specific zones are said to be hardy in those zones (see Figure LP-1). Connecticut has three hardiness zones, reflecting the milder conditions along the southwestern shoreline, cooler weather in the eastern and western highlands, and a transitional area dominating the bulk of the central and eastern portions of the state.

Mature Height and Spread: To minimize future maintenance and replacement costs and to enhance long-term plant health, select plants to match the species to the site, and place plants to provide adequate space for the plant to grow to its natural mature size.

Consideration must be given to the height and location of overhead utilities, the location and depth of underground facilities, lines of sight around intersections of roadways, road and sidewalk clearance needed for snow removal operations, clearance from buildings, and all other potential situations where the maturing plant will become an obstruction, nuisance, or hazard.

If the space allotted to the plant selected is inadequate, suitable periodic maintenance pruning must be planned in accordance with the needs of the species, limitations of the site, or the intended effect. Normally, plants installed for erosion control purposes are not intended to be pruned, and should be selected and placed with knowledge and consideration of mature sizes.

Growth and Establishment Rate: Some trees and shrubs attain their mature sizes very rapidly, whereas others are slow to grow to mature size. Some shrubs and vines will become established quite rapidly, with growth characteristics like rooting from the growing tips of the stem and sprouting from root systems and underground stems. Knowing how fast a tree, shrub, or vine will become established and how quickly it will grow to mature size is important in order to select the right plant, and the number of plants (spacing) for the particular situation. Growth and establishment rates are also linked to how well the plant has been selected to match the site conditions. Plants that are well adapted to the site will become established quicker, live longer, and will require less aftercare.

Ornamental Characteristics, Sanitation: Since these Guidelines are intended to be concerned primarily with landscape planting as it relates to soil erosion and sediment control, no attempt has been made to provide guidance on plant selection for ornamental or aesthetic purposes. However, plants in a landscape design can and should be selected for specific functional attributes which contribute to the goal of soil erosion and sediment control.

Functional characteristics of specific plants should be considered carefully so that the plant chosen will fulfill its intended role. For instance, to control soil erosion, plants with rapidly growing aggressive root systems may be selected. To absorb sound or screen views in winter conditions, deep, dense planting of evergreens may be selected. To filter dust from summer winds, a deciduous tree with coarse, hairy leaves could be chosen for its enhanced ability to trap airborne dust. Plants that provide a variety of nuts and berries as sources of food for wildlife may contribute significantly to the habitat value of a particular location.

Undesirable attributes of plants must also be considered. Aggressive root systems beneficial in one application may create problems in other applications where the roots may enter and obstruct underground pipelines. Root penetration from trees and shrubs may create internal pathways for water in earthen dams and dikes, and roots may also damage sidewalks, structures, pavement, and underground utility installations. Trees with large leaves or that drop excessive quantities of seed pods, nuts, fruits, or other debris may be undesirable for aesthetic, safety, or convenience reasons. Potential clogging of drainage systems with debris from trees must be considered in selecting street trees. Tree species that don’t drop troublesome parts, and trees with smaller, thinner leaves, or with leaves that drop gradually are preferred in situations where clogging of drainage systems is a primary concern.

Timing of Transplanting: When plants may be transplanted depends on how they are grown and supplied. Balled and burlapped and container grown plants can be planted any time of the year, provided that the soil at the planting site is not waterlogged or frozen.

Deciduous trees are normally dug and balled for transplanting in the early spring, before flowers or leaves develop. Some species transplant best in either the spring or fall of the year, and balled in burlap stock may not be available other than during the optimal season for transplanting. Normally, spring flowering trees are not dug while flowering, so summer availability of field grown balled and burlaped trees is usually somewhat restricted to those dug early in the season.

Balled and burlapped plants may lose 90% or more of their root system from the digging operation. If dug during the active summer growth period, significant stress may be placed on the plant. For this reason, digging and balling operations normally cease during the summer months. Summer digging of deciduous trees may be done, but requires special preparation and aftercare to minimize potentially fatal stresses on the plant. Evergreens may also be dug and balled in burlap in the early spring, but are also successfully dug and transplanted in summer after new growth has hardened off.

Trees and shrubs to be planted as bare-root plants should be handled only when dormant in spring, or after leaf fall in autumn.

Landscape Plant Forms, Standards, and Sources: Landscape plants may be bought as balled in burlap or similar material, containerized, or as bare rooted stock. All plants shall comply with the American Standard for Nursery Stock (ANSI Z60.1), produced by the American Association of Nurseriesmen, which provides a comprehensive and consistent set of measurement and specification standards for all types of plant material.

The plants identified in Figure LP-4, Figure LP-5 and Figure LP-6 are usually available at commercial nurseries balled in burlap, or in containers. Trees and shrubs may also be purchased from the state nursery or from county Soil and Water Conservation Districts.
Approximate Range of Average Annual Minimum Temperatures for Each Zone

- Zone 5: -20˚ to -10˚
- Zone 6: -10˚ to 0˚
- Zone 7: 0˚ to 10˚

Source: Adapted from USDA-NRCS FOTG Section I iii Maps, July 1994.
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Figure LP-2 Transplanting Balled and Burlapped Plants

- Prune
- Prune
- Prune
- Prune
- 4" depth wood chip mulch
- Form earthen saucer
- (Remove plastic type burlap)
A broad range of plant material is available to fulfill the desired functions of a landscape planting. Although some plants known to be hardy to Connecticut are included in the list below, information on additional appropriate plants may be obtained from Connecticut licensed Arborists, a landscape architects licensed to practice in Connecticut, the USDA Natural Resources Conservation Service, the Connecticut Agricultural Experiment Station, and the Connecticut Cooperative Extension System.

**Invasive Species:** Certain introduced shrubs, like Autumn Olive (Elaeagnus umbellata), Honeysuckles (Lonicera spp), Multiflora Rose (Rosa multiflora), Winged Euonymus (Euonymus alatus), and Asiatic Bittersweet (Celastrus orbiculatus) have been identified as undesirable because they are not native, and are invasive into otherwise naturally vegetated areas. Native plants are preferred in most soil erosion and sediment control applications. The Center for Conservation and Biodiversity at The University of Connecticut maintains a list entitled: “Invasive, Non-Native Plant Species Occurring in Connecticut”, listed as Publication #1, which should be consulted to avoid selecting undesirable, non-native invasive plants.

### Specifications

#### Delivery and Storage of Materials

Upon receipt of plant stock, check to see that adequate protection during transit has been provided. If shipped by open truck, the plants should have been covered with a tarpaulin or canvas to minimize desiccation from exposure to the sun and wind. When delivery is made by an enclosed vehicle, the plants should have been carefully packed and adequately ventilated to prevent “sweating” of the plants. Physical injuries should have been prevented by careful packing.

In all cases, plants must be kept cool and moist until planting.

Insofar as practical, all plant material should be planted on the day of delivery. Plants which must be temporarily stored on site should be kept in the shade and protected from drying winds. For balled stock, root balls must be protected by covering the root ball with soil or other acceptable material and must be kept moist. Container stock held on site may also require watering if planting is delayed. Bare root plant may be stored in a cool, shaded area for as long as 10 days. If bare root plants must be kept for longer than 10 days, they should be “heeled in” (temporarily planted in a trench) until they can be permanently planted. All stock should be handled carefully and as few times as possible.

#### Transplanting Procedures

**Transplanting Balled in Burlap Plant Material:** 
*Figure LP-2* shows the proper planting of balled and burlapped plant material, using a deciduous tree as an illustration.

**Stock Examination: Determining Proper Planting Depth** - Proper planting depth of a plant balled in burlap may vary depending upon how the plant was dug and balled. Each plant should be examined to determine if the plant was dug and balled properly. To do this, locate the crown of the plant - the point where the root mass or first major root originates from the stem. This point should be at or slightly below the top of the soil. Also use this point as a reference to determine if excess soil cover has been placed over the root ball by improper digging and bulling.

Ball sizes should always be of a diameter and depth to encompass enough of the fibrous and feeding root system as necessary for the full recovery of the plant. Recommended ball depth to diameter ratios are shown in *Figure LP-3.* Under certain soil and regional conditions, plants have roots systems of proportionally less depth and greater diameter. Those require a more shallow but wider ball to properly encompass the roots. Conversely, in other soils and in certain regions roots develop greater depth and less spread, requiring an exceptionally deep ball which may be smaller in diameter and greater in depth than the size recommended.

Compare the ball size in relation to the size of the plant, using the current American Standard for Nursery Stock (ANSI Z60.1) and note the size of the roots cut when dug to be balled in burlap. Undersize root balls or large cut roots are a clue that digging may have been improper, and that actual root mass may be inadequate to support the plant during its establishment period.

#### Site Preparation

(see *Figure LP-2*) Thoroughly examine the root ball to determine the proper planting depth for each plant (see Stock Examination above). Excavate a planting site whose top width is 3 times the width of the root ball to a depth that is no deeper than the proper planting depth with sloped sides tapering to the surface. The soil under the root ball should remain undisturbed, or if disturbed, should be tamped prior to planting, to prevent settling of the root ball. Since most new roots will grow horizontally from the root ball, compacted soil under the ball will not inhibit rooting.

Planting site preparation should focus on providing the highest quality environment possible for root development during the first year or two after transplanting. Long term survival depends on selecting the proper species for the site. More intensive site preparation will be necessary in urban soil conditions and on disturbed sites than when planting in high quality undisturbed soil.

**Handling and Setting the Plant:** Set the plant in the planting site so that it is plumb, level, and centered. Do not use the trunks of trees as levers to adjust the position of the root ball, as this may fracture the root ball and damage roots. Instead, move the root ball itself, being careful not to pull on ropes which may lay against bark (especially in spring, when bark slips easily).

When the plant is properly positioned in the planting site, cut all twines and other tying material encircling the trunk. For natural burlap wrapping pull it back and cut off the excess and discard, do not tuck it into the hole where it can cause problems with air pockets and moisture retention, both of which may lead to rotted roots. Remove synthetic burlap completely. To test fabric to see if it is synthetic, burn an edge with a match. If it melts, it is synthetic and must be completely removed.
Figure LP-3  Ball Depth to Diameter Ratio

Diameter less than 20”. Depth not less than 75% of diameter or 3/4 of width.

Diameter 20 to 30”. Depth not less than 66.6% or 2/3 of width.

Diameter 31 to 48”. Depth not less than 60% or 3/5 of width. Balls with a diameter of 30” or more should be drum laced.
Wire baskets are commonly used to contain and transport some balled and burlapped plant material. Cut and remove as much of the wire basket as possible to avoid future interference with root growth.

**Backfilling, Watering and Mulching:** After all tying materials and wire baskets are removed as appropriate, backfill the site to original grade with original soil. Soil amendments are unnecessary in most planting situations. Water the backfill soil thoroughly, allowing the water to settle the soil, removing air pockets. Do not pack with feet or tools. Use enough water to ensure thorough saturation of the soil. Add soil to bring the soil level back up to grade when the water has infiltrated. As a temporary measure to aid in establishment, a low (3” to 6”) rim of tamped soil can be built to help hold water for subsequent watering. Locate the inside edge of the rim at or outside the edge of the root ball. Mulch the disturbed area with Landscape Mulch (see Figure LP-2).

**Fertilization:** Under normal circumstances, it is not recommended to fertilize woody plants upon initial planting.

**Staking:** Staking or guying trees using wire covered with rubber hose sections is not recommended in most circumstances. Failure to remove stakes and wires has caused severe damage to trees by girdling the trees at the point of wire attachment. By allowing the tree to flex somewhat in the wind, the tree will be able to develop a proper taper and anchoring roots to naturally resist movement in the wind. Staking and guying may become necessary due to loose root balls, unusually high or persistent prevailing winds, or other specific conditions. In these cases, use of a flexible and biodegradable type of tree tying material is preferred.

**Transplanting Bare Root Plant Material**

**Figure LP-7** shows how to properly plant bare rooted plants and shows the proper minimum root spread for bare root deciduous shrubs. Dig the hole deep and wide enough to accommodate all the roots, and allow them to spread out without bunching or curling. (No “J”-shaped roots.) If the roots are excessively long, they may be pruned back to a length of 10 to 12 inches. Place the plant at the same depth in the soil at which it was planted when rooted in the nursery. Add soil as necessary to fill planting hole to existing grade. Water thoroughly after planting. Make sure that there are no turned up roots or air pockets in the soil.

Either use Landscape Mulch or prepare the site by very low cutting of grass and weeds to reduce initial competition. It is very important to prevent grasses, vines, and other vegetation from competing with the newly transplanted plants for sunlight, water, and soil nutrients.

While this section is meant to refer primarily to the planting of relatively small, bare root shrubs, larger plants including trees may be obtained as bare root stock. When larger shrubs or trees are planted bare root, staking and guying will likely be necessary. As above, use of a flexible and biodegradable type of tree tying material is preferred to the traditional hose and wire system.

**Transplanting Container Grown Plants**

**Stock Examination:** For plants grown in containers, carefully remove the plant from the container, and inspect the root mass to determine if the plant has well developed roots, and to be sure it has not been recently repotted to a larger pot size. Containerized stock should have well developed roots, but should not be pot bound, which causes roots to encircle the container, resulting in difficulties in establishment.

**Site Preparation:** Site preparation for container grown plants is the same as for balled and burlapped plants.

**Handling and Setting the plant:** When container grown plants have well developed root systems that encircle the pot, either loosen the roots or slice the root ball with a sharp knife vertically three or four times, cutting about an inch deep. This will promote new roots to develop and spread out, rather than continuing to follow the circular rooting pattern. If excess soil in the pot had buried the original soil level just above the crown of the plant, be sure to adjust the planting depth to place the plant back at or slightly above the original soil level.

**Backfilling, Watering and Mulching:** Backfill the site to original grade with original soil. Soil amendments are unnecessary in most planting situations. Water the backfill soil thoroughly, allowing the water to settle the soil, removing air pockets. Do not pack the soil tightly with feet or tools. Use enough water to ensure thorough saturation of the soil. Add soil to bring the soil level back up to grade when the water has infiltrated. Mulch the disturbed area with Landscape Mulch.

**Fertilization:** Under normal circumstances, it is not recommended to fertilize woody plants upon initial planting.

**Maintenance**

Maintenance of trees, shrubs, and ground covers is an exhaustive topic which is not addressed by these Guidelines. Instead, the most critical maintenance needs for the first year of a newly transplanted plant are described below.

**Inspection Requirements**

Inspect plants until they are established or at least monthly for 1 year following planting, and more frequently during hot dry periods for mulch adequacy, soil moisture and general plant condition. When a plant has regrown a sufficient root system such that it can withstand normal variations in climate and soil conditions, and has resumed normal growth, it is considered to be established. An established plant will exhibit normal growth patterns of bud break and leaf fall, and will have resumed a growth rate considered normal for the species.

Larger plants, especially balled in burlap trees which have lost a significant amount of their roots systems upon transplanting will need the most attention during
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the initial establishment period.

**Mulch and Water**

Apply additional landscape mulch around landscape plants as needed to keep soil covered and to inhibit weed growth. Keeping all newly transplanted plants adequately mulched is important to moderate fluctuations in soil moisture and temperature. Trees that are mulched will recover from transplanting, become established, and resume normal growth more quickly than trees planted without the benefit of mulch.

Water plants during hot dry periods when soil around the plants begins to dry out. If leaves of recent landscape plantings are wilted, severe water deficiency is indicated, and permanent damage to the plantings may result if supplemental water is not provided promptly. For successful establishment of summer plantings, adequate watering during the balance of the summer and into the fall is especially important.

**Note:** A useful rule of thumb in Connecticut is that new plantings should receive at least 1 inch of rain per week.

**Pruning**

Prune to remove only dead or damaged limbs on newly planted trees unless an arborist has recommended otherwise. Pruning the top of the tree will severely weaken the tree’s ability to grow a healthy new root system in the new site. This is especially important for trees balled in burlap, which lose a large portion of their original root system when the are dug from the field. For new roots to form from plants grown in containers, top pruning should be delayed for at least a year. Ideally, newly planted trees should not be pruned until after their third year, and then only to remove dead and weak branches, and to train the tree’s future growth by removing or pruning any wayward branches which will lead to future problems, or detract from the natural shape of the plant.

**Insect and Disease Control**

All plants in the natural environment are host to a wide variety of insect and disease organisms. When insects or disease problems on a plant become threatening to the life or practical value of the plant, corrective or preventative actions may become necessary. When a problem occurs, positive identification of the host, and then of the insect or disease problem is vital to successfully resolving the problem. Plants should be selected to avoid common insect or disease problems by choosing those species resistant to common plant diseases, or unpalatable to common insect problems.

The Cooperative Extension System or a state licensed arborist can help identify insect and disease problems and suggest solutions.
## Figure LP-4 Trees for Landscape Planting

<table>
<thead>
<tr>
<th>Common Name (Botanical Name)</th>
<th>Leaf type</th>
<th>Height</th>
<th>Soil Moisture Preferred</th>
<th>pH Range</th>
<th>Users</th>
<th>Shade Tolerance</th>
<th>Street Tolerance</th>
<th>Salt Tolerance</th>
<th>Pollution Tolerance</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>BEECH (Fagus grandifolia)</td>
<td>D</td>
<td>70-120</td>
<td>X</td>
<td>6.5-7.5</td>
<td>X</td>
<td>Fair</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>Long-lived. Has edible nuts. Needs lots of space.</td>
</tr>
<tr>
<td>BIRCH, BLACK, WHITE and GRAY (Betula spp.)</td>
<td>D</td>
<td>50-80</td>
<td>X X</td>
<td>4.0-5.0</td>
<td>X</td>
<td>Good</td>
<td>-</td>
<td>S S</td>
<td>-</td>
<td>Prefers deep, moist soils such as stream banks. Graceful form.</td>
</tr>
<tr>
<td>CEDAR, EASTERN RED (Juniperus virginiana)</td>
<td>E</td>
<td>20-50</td>
<td>X X X</td>
<td>6.0-6.5</td>
<td>X</td>
<td>Good</td>
<td>-</td>
<td>T T</td>
<td>T</td>
<td>Long-lived.</td>
</tr>
<tr>
<td>CHERRY, JAPANESE (Prunus serrulata)</td>
<td>D</td>
<td>15-20</td>
<td>X</td>
<td>6.5-7.5</td>
<td>X X</td>
<td>Good</td>
<td>-</td>
<td>-</td>
<td>T</td>
<td>Very showy pink or white flowers. Usually grafted on 6-7 ft. stem.</td>
</tr>
<tr>
<td>CRABAPPLE (Malus spp.)</td>
<td>D</td>
<td>15-20</td>
<td>X</td>
<td>6.5-7.5</td>
<td>X X</td>
<td>Good</td>
<td>I S S</td>
<td>-</td>
<td>-</td>
<td>White or pink flowers. Many varieties, some with edible fruit.</td>
</tr>
<tr>
<td>DOGWOOD, FLOWERING (Cornus kousa)</td>
<td>D</td>
<td>30-40</td>
<td>X</td>
<td>5.0-6.5</td>
<td>X X</td>
<td>Good</td>
<td>-</td>
<td>T T</td>
<td>T</td>
<td>Ideal street tree. White or pink flowers. Has poor drought resistance.</td>
</tr>
<tr>
<td>HAWTHORN (Crataegus spp.)</td>
<td>D</td>
<td>15-25</td>
<td>X</td>
<td>6.0-7.5</td>
<td>X X</td>
<td>Fair</td>
<td>I</td>
<td>- S</td>
<td>-</td>
<td>Thorny; Washington and Lavalle types are good ornamentals. Tolerates parking lot conditions. Has some insect and disease problems.</td>
</tr>
<tr>
<td>LOCUST, HONEY (Gleditsia triacanthos inermis)</td>
<td>D</td>
<td>50-75</td>
<td>X X X</td>
<td>6.5-7.5</td>
<td>X X</td>
<td>Good</td>
<td>T</td>
<td>S S</td>
<td>-</td>
<td>Sturdy, wind-firm tree. Overused in urban areas.</td>
</tr>
<tr>
<td>MAPLE, HEDGE (Acer campestre)</td>
<td>D</td>
<td>20-30</td>
<td>X</td>
<td>6.5-7.5</td>
<td>X X</td>
<td>Good</td>
<td>-</td>
<td>- T</td>
<td>T I</td>
<td>Prefers well-drained, deep fertile soil. May be used in clipped hedges.</td>
</tr>
<tr>
<td>MAPLE, RED (Acer rubrum)</td>
<td>D</td>
<td>50-80</td>
<td>X X X</td>
<td>4.5-5.5</td>
<td>X X</td>
<td>Good</td>
<td>S</td>
<td>T T</td>
<td>-</td>
<td>Grows rapidly when young. Good tree for suburbs but not city.</td>
</tr>
<tr>
<td>MAPLE, SUGAR (Acer saccharum)</td>
<td>D</td>
<td>50-70</td>
<td>X</td>
<td>6.5-7.5</td>
<td>X</td>
<td>Fair</td>
<td>T</td>
<td>I T</td>
<td>T</td>
<td>Outstanding fall foliage. Suburban, but not city tree. Slow-growing and shapely. Intolerant of salt.</td>
</tr>
<tr>
<td>OAK, PIN (Quercus palustris)</td>
<td>D</td>
<td>60-80</td>
<td>X X X</td>
<td>5.5-6.5</td>
<td>X X</td>
<td>Good</td>
<td>T S S I</td>
<td>-</td>
<td>-</td>
<td>Most easily transplanted of the oaks.</td>
</tr>
<tr>
<td>OAK, RED NORTHERN (Quercus rubra borealis)</td>
<td>D</td>
<td>70-90</td>
<td>X X</td>
<td>4.5-6.0</td>
<td>X X</td>
<td>Good</td>
<td>T T T I</td>
<td>-</td>
<td>-</td>
<td>Most rapid-growing oak. Needs room.</td>
</tr>
<tr>
<td>OAK, SCARLET (Quercus coccinea)</td>
<td>D</td>
<td>60-80</td>
<td>X</td>
<td>6.0-6.5</td>
<td>X X</td>
<td>Good</td>
<td>T S T I</td>
<td>-</td>
<td>-</td>
<td>Prefers sandy or gravelly soils.</td>
</tr>
<tr>
<td>OAK, WHITE (Quercus alba)</td>
<td>D</td>
<td>60-80</td>
<td>X X X</td>
<td>6.5-7.5</td>
<td>X X</td>
<td>Fair</td>
<td>T S S I</td>
<td>-</td>
<td>-</td>
<td>Long-lived, stately tree. Grows slowly.</td>
</tr>
<tr>
<td>PINE, AUSTRIAN (Pinus nigra)</td>
<td>E</td>
<td>30-50</td>
<td>X</td>
<td>4.0-6.5</td>
<td>X</td>
<td>Good</td>
<td>T</td>
<td>-</td>
<td>-</td>
<td>Very hardy and rapid-growing. Will tolerate shallow soil and drought.</td>
</tr>
<tr>
<td>PINE, JAPANESE BLACK (Pinus thunbergii)</td>
<td>E</td>
<td>30-50</td>
<td>X</td>
<td>4.0-6.5</td>
<td>X X</td>
<td>Fair</td>
<td>T</td>
<td>-</td>
<td>-</td>
<td>Disease problems.</td>
</tr>
<tr>
<td>PINE, SCOTCH (Pinus sylvestris)</td>
<td>E</td>
<td>60-90</td>
<td>X</td>
<td>4.0-6.5</td>
<td>X</td>
<td>Good</td>
<td>I S S S</td>
<td>-</td>
<td>-</td>
<td>Disease problems.</td>
</tr>
<tr>
<td>PINE, WHITE (Pinus strobus)</td>
<td>E</td>
<td>80-100</td>
<td>X</td>
<td>4.0-6.5</td>
<td>X</td>
<td>Fair</td>
<td>S S S S</td>
<td>-</td>
<td>-</td>
<td>Very attractive, rapid-growing tree. Prefers deep sandy loam. Subject to white pine blister rust.</td>
</tr>
<tr>
<td>YEW, JAPANESE (Taxus cuspidata)</td>
<td>E</td>
<td>15-20</td>
<td>X</td>
<td>6.0-6.5</td>
<td>X</td>
<td>Good</td>
<td>-</td>
<td>T I</td>
<td>-</td>
<td>Hedges and borders. Preferred food of white-tailed deer.</td>
</tr>
</tbody>
</table>

1. D is deciduous plants  
   E is evergreen or coniferous plant  
2. Pollution tolerance and salt tolerance:  
   “S” Sensitive. Will show physical damage.  
   “T” Tolerant.  
   “I” Intermediate. Damage depends on growing conditions and exposure to pollutant.  
   “-” No information at this time.

Source: USDA-NRCS
### Figure LP-5  Shrubs for Landscape Planting

<table>
<thead>
<tr>
<th>Common Name (Botanical Name)</th>
<th>Leaf Type</th>
<th>Drainage Tolerance</th>
<th>Shade Tolerance</th>
<th>pH Range</th>
<th>Mature Height</th>
<th>Uses</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMERICAN CRANBERRY BUSH (Viburnum trilobum)</td>
<td>D</td>
<td>Moderately Well-Drained to Poorly Drained</td>
<td>Fair</td>
<td>6.5-7.5</td>
<td>6-7</td>
<td>Hedges and borders. Flowers inconspicuous, red berries, winter food for birds. Fruits in 4-5 years.</td>
</tr>
<tr>
<td>ARROWWOOD (Viburnum recognitum or dentatum)</td>
<td>D</td>
<td>Well-Drained to Poorly Drained</td>
<td>Good</td>
<td>5.5-7.0</td>
<td>5-10</td>
<td>Hedges and borders. White flowers, blue to blue-black berries. Screens or naturalized mass. Edible by both birds and humans.</td>
</tr>
<tr>
<td>BAYBERRY (Myrica pensylvanica)</td>
<td>E</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Poor</td>
<td>5.0-6.0</td>
<td>6-8</td>
<td>Revegetating sand dunes; ornamental for dry areas. Flowers inconspicuous, waxy grey berries. Fixes nitrogen in soil.</td>
</tr>
<tr>
<td>BEACH PLUM (Prunus maritima)</td>
<td>D</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Fair</td>
<td>6.0-8.0</td>
<td>7</td>
<td>Revegetating sand dunes and dry areas. Flowers white, fruit purple, plum-like and edible. Fruit used for jelly and baking, also favored by wildlife.</td>
</tr>
<tr>
<td>BLUEBERRY HIGHBUSH (Vaccinium corymbosum)</td>
<td>D</td>
<td>Droughty to Somewhat Poorly Drained</td>
<td>Good</td>
<td>4.5-5.5</td>
<td>8-12</td>
<td>Borders and hedges or for individual. Flowers white to pinkish, berries blue-black.</td>
</tr>
<tr>
<td>BLUEBERRY LOWBUSH (Vaccinium angustifolium or vaccinum)</td>
<td>D</td>
<td>Droughty to Somewhat Poorly Drained</td>
<td>Good</td>
<td>4.5-5.5</td>
<td>1-3</td>
<td>An excellent ground cover. Flowers white, berries blue.</td>
</tr>
<tr>
<td>BRISTLY LOCUST “ARNOT” (Robinia fertilis)</td>
<td>D</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Fair</td>
<td>5.0-7.5</td>
<td>6</td>
<td>Steep slopes, gravelly infertile areas. Fixes nitrogen. Spread by sprouting from roots. Flowers pink, seeds in pods.</td>
</tr>
<tr>
<td>GRAY DOGWOOD (Cornus racemosa)</td>
<td>D</td>
<td>Droughty to Poorly Drained</td>
<td>Fair</td>
<td>4.5-6.0</td>
<td>7-10</td>
<td>Good for stream banks.</td>
</tr>
<tr>
<td>CORALBERRY (Symporicarpos orbiculatus)</td>
<td>D</td>
<td>Droughty to Well-Drained</td>
<td>Fair</td>
<td>4.5-6.0</td>
<td>4-6</td>
<td>Flowers yellow.</td>
</tr>
<tr>
<td>ELDERBERRY (Sambucus canadensis)</td>
<td>D</td>
<td>Well-Drained to Poorly Drained</td>
<td>Fair</td>
<td>6.0-7.5</td>
<td>12</td>
<td>Provides food for birds and deer. Flowers white, fruit edible purple berries. Fruit in 4-5 years.</td>
</tr>
<tr>
<td>FIRETHORN (Pyracantha coccinea)</td>
<td>E</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Fair</td>
<td>6.0-8.0</td>
<td>10-15</td>
<td>Southern CT only. Screens, barriers. Flowers white, fruit orange or red berries. Food for songbirds. Low-growing and upright types available.</td>
</tr>
<tr>
<td>HORIZONTAL JUNIPER (Juniperus spp.)</td>
<td>E</td>
<td>Droughty to Well-Drained</td>
<td>Poor</td>
<td>5.0-6.0</td>
<td>1-2</td>
<td>Used as ground cover or ornamental. Set plants 2 feet apart for cover in 2-3 years. Flowers and fruit inconspicuous.</td>
</tr>
<tr>
<td>JAPANESE YEW (Taxus cuspidata)</td>
<td>E</td>
<td>Moderately Well-Drained to Somewhat Poorly Drained</td>
<td>Good</td>
<td>6.0-6.5</td>
<td>15-20</td>
<td>Used for hedges and screens. Flowers and fruit inconspicuous.</td>
</tr>
<tr>
<td>MOUNTAIN LAUREL (Kalina latifolia)</td>
<td>E</td>
<td>Droughty to Somewhat Poorly Drained</td>
<td>Fair</td>
<td>4.5-5.5</td>
<td>7-15</td>
<td>Erect shrub, naturalized mass. Flowers white, pink to deep rose, fruit inconspicuous and poisonous to both humans and animals in quantity.</td>
</tr>
<tr>
<td>RED OSIER DOGWOOD (Cornus stolonifera)</td>
<td>D</td>
<td>Moderately Well-Drained to Poorly Drained</td>
<td>Fair</td>
<td>4.5-6.0</td>
<td>4-6</td>
<td>Good for stream banks, damp soils.</td>
</tr>
<tr>
<td>RUGOSA ROSE (Rosa rugosa)</td>
<td>D</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Fair</td>
<td>6.0-7.0</td>
<td>3-5</td>
<td>Stabilizing sand dunes and landscaping. Flowers white to pink, fruits red hips in 1-2 years. Food and cover for songbirds and rabbits. Sprawling growth habit, but not aggressive.</td>
</tr>
<tr>
<td>SHADBUSH (Amelanchier canadensis)</td>
<td>D</td>
<td>Well-Drained to Somewhat Poorly Drained</td>
<td>Fair</td>
<td>5.5-6.5</td>
<td>3-6</td>
<td>Natural mass, specimen. Flowers white, fruit red to black.</td>
</tr>
<tr>
<td>Common Name (Botanical Name)</td>
<td>Leaf type</td>
<td>Drainage Tolerance</td>
<td>Shade Tolerance</td>
<td>pH range</td>
<td>Mature Height in Ft.</td>
<td>Uses</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>-----------</td>
<td>---------------------</td>
<td>-----------------</td>
<td>----------</td>
<td>---------------------</td>
<td>------</td>
</tr>
<tr>
<td>SHORE JUNIPER “EMERALD SEA” (Juniperus conferta)</td>
<td>E</td>
<td>Droughty to Well-Drained</td>
<td>Fair</td>
<td>5.0-6.0</td>
<td>1</td>
<td>Stabilizing sand dunes and sandy road banks. Flowers and fruits inconspicuous.</td>
</tr>
<tr>
<td>SIEBOLD FORSYTHIA (Forsythia suspensa seibold)</td>
<td>D</td>
<td>Droughty to Well-Drained</td>
<td>Poor</td>
<td>4.5-6.0</td>
<td>4-6</td>
<td>Over used. Flowers yellow, fruit inconspicuous.</td>
</tr>
<tr>
<td>SWEETFERN (Comptonia peregrina)</td>
<td>D</td>
<td>Droughty to Moderately Well-Drained</td>
<td>Fair</td>
<td>5.0-6.0</td>
<td>3-4</td>
<td>Natural masses. Flowers inconspicuous.</td>
</tr>
<tr>
<td>SWEET PEPPERBUSH (Clethra alnifolia)</td>
<td>D</td>
<td>Moderately Well-Drained to Poorly Drained</td>
<td>Good</td>
<td>5.5-6.5</td>
<td>3-8</td>
<td>Borders and hedges. Flowers white, fruit inconspicuous.</td>
</tr>
<tr>
<td>WINTERBERRY (Ilex verticillata)</td>
<td>D</td>
<td>Well-Drained to Poorly Drained</td>
<td>Fair</td>
<td>5.0-6.0</td>
<td>10</td>
<td>Ornamental screens. Flowers inconspicuous, fruits red berries in 3-4 years. Winter food for songbirds.</td>
</tr>
<tr>
<td>WYTHEOD VIBURNUM (Viburnum cassinoides)</td>
<td>D</td>
<td>Well-Drained to Somewhat Poorly Drained</td>
<td>Good</td>
<td>5.5-6.5</td>
<td>4-6</td>
<td>Natural mass. Flowers white, fruit green to black. Very showy in fall.</td>
</tr>
</tbody>
</table>

1 E-Evergreen  D-Deciduous

Source: USDA-NRCS
## Figure LP-6 Vines and Ground Covers for Landscape Planting

<table>
<thead>
<tr>
<th>Common Name (Botanical Name)</th>
<th>Leaf Type&lt;sup&gt;1&lt;/sup&gt;</th>
<th>Drainage Tolerance</th>
<th>Shade Tolerance</th>
<th>pH Range</th>
<th>Characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>BEARBERRY (Arctostaphylos uva-ursi)</td>
<td>E</td>
<td>Droughty to Well Drained</td>
<td>Good</td>
<td>4.5-6.0</td>
<td>Trailing groundcover. Low-fertility sandy areas, dunes, flowers inconspicuous. Set plants 18 in. apart for cover in 2-4 years.</td>
</tr>
<tr>
<td>BUGLEWEED (Ajuga reptans)</td>
<td>E</td>
<td>Well Drained to Moderately Well Drained</td>
<td>Excl.</td>
<td>6.0-7.5</td>
<td>Small, low-growing broad-leaved herbaceous plants, in bronze or green, flowers blue, white or red spiles. Set plants 1 ft. apart for cover in 1 year.</td>
</tr>
<tr>
<td>CROWN VETCH (Coronilla varia)</td>
<td>D</td>
<td>Droughty to Moderately Well Drained</td>
<td>Fair</td>
<td>4.0-7.5</td>
<td>Slow growing, 1-2 ft. high. Prefers sun, 2-3 years to form a cover. Flowers pink.</td>
</tr>
<tr>
<td>DAYLILY (Hemerocallis fulva)</td>
<td>D</td>
<td>Droughty to Poorly Drained</td>
<td>Fair</td>
<td>6.0-8.0</td>
<td>Grass-like foliage, flowers orange, showy. Unusually adaptable and free of pests and disease.</td>
</tr>
<tr>
<td>DUSTY MILLER (Beach Wormwood) (Artemisia stelleriana)</td>
<td>E</td>
<td>Droughty to Well Drained</td>
<td>Poor</td>
<td>6.0-7.5</td>
<td>Silvery foliage, 1-2 ft. tall, flowers inconspicuous. Stabilizing groundcover on coastal dunes. Set plants 2 ft. apart for cover in 2 years.</td>
</tr>
<tr>
<td>ENGLISH IVY (Hedera helix)</td>
<td>E</td>
<td>Droughty to Moderately Well Drained</td>
<td>Good</td>
<td>6.0-8.0</td>
<td>Low maintenance vine for large areas, flowers inconspicuous. Will climb on trees, walls, etc. Set plants or rooted cuttings 1 ft. apart for cover in 2 years.</td>
</tr>
<tr>
<td>LILY-OF-THE-VALLEY (Convallaria majalis)</td>
<td>E</td>
<td>Droughty to Somewhat Poorly Drained</td>
<td>Excl.</td>
<td>4.5-6.0</td>
<td>Low maintenance cover for partial or full shade, flowers fragrant white bells on short stalks. Set plants 1 ft. apart for cover in 2-3 years.</td>
</tr>
<tr>
<td>LILY-TURF (Liriope spicata)</td>
<td>E</td>
<td>Droughty to Poorly Drained</td>
<td>Good</td>
<td>4.5-6.0</td>
<td>Grass-like low maintenance cover for droughty, infertile soils. Spreads by underground stems. Available in variegated form. Set plants 6-12 in. apart for cover in 2 years.</td>
</tr>
<tr>
<td>PACHYSANDRA (Pachysandra terminalis)</td>
<td>E</td>
<td>Well Drained to Moderately Well Drained</td>
<td>Excl.</td>
<td>4.5-5.5</td>
<td>Low-growing, attractive cover for borders and as lawn substitute under trees and other shady areas. Flowers small white spikes. Set plants 1 ft. apart for cover in 1-2 years.</td>
</tr>
<tr>
<td>PERIWINKLE (Vinca) (Vinca minor)</td>
<td>E</td>
<td>Well Drained to Moderately Well Drained</td>
<td>Excl.</td>
<td>6.0-7.5</td>
<td>Lawn substitute for shady areas. Small blue flowers. Spreads by stolons; not aggressive. Grows in full sun as well as shade. Set plants 1 ft. apart for cover in 1-2 years.</td>
</tr>
<tr>
<td>SMALL-LEAVED COTONEASTER (Cotoneaster microphylla)</td>
<td>E</td>
<td>Well Drained to Moderately Well Drained</td>
<td>Fair</td>
<td>6.0-7.0</td>
<td>Prostrate shrub, tiny white flowers. Informal cover for large areas. Set plants 2 ft. apart for cover in 2 years.</td>
</tr>
<tr>
<td>VIRGINIA CREEPER (Parthenocissus quinquefolia)</td>
<td>D</td>
<td>Droughty to Well Drained</td>
<td>Fair</td>
<td>5.0-7.5</td>
<td>Ground cover for dunes and other dry areas; will climb trees. Flowers inconspicuous. Attractive crimson foliage in fall. Berries eaten by songbirds. Set plants 18° apart for cover in 1-2 years.</td>
</tr>
</tbody>
</table>

<sup>1</sup> E- Evergreen  D- Deciduous

Source: USDA-NRCS
1. Insert bar at angle shown and push forward to upright position.
2. Remove bar and place seedling at correct depth.
3. Insert bar two inches toward planter from seedling.
4. Pull bar toward planter firming soil at bottom of roots.
5. Push bar forward from planter firming soil at top of roots.
6. Fill in last hole by stamping with heel.
7. Firm soil around seedling with feet.
8. Test planting by pulling lightly on seedling.

- Don’t expose roots to air during freeze or plant in frozen ground.
- Don’t bend roots so that they grow upwards out of the ground.
- Plant seedlings upright – not at an angle.
- Always plant in soil – never loose leaves or debris. Pack soil tightly.

Planning Considerations

The short term non-living soil protection measures are **Temporary Soil Protection**, **Mulch for Seed**, **Landscape Mulch**, **Temporary Erosion Control Blanket (ECB)**, **Permanent Turf Reinforcement Mat (TRM)**, and **Stone Slope Protection**. These measures serve the common function of preventing erosion by providing a non-living cover to erodible surfaces. With the exception of some TRM, these measures are intended to dissipate the erosive energy of raindrops. With the exception of the **Temporary Soil Protection** and **Stone Slope Protection** measures, they are intended to promote the establishment and/or maintenance of a vegetative cover.

**Temporary Soil Protection** is a biodegradable mulch that is applied to a disturbed surface for the sole purpose of protecting the soil for less than 5 months when the establishment of a vegetative cover is not possible (usually during winter and mid-summer).

**Mulch for Seed** and **Landscape Mulch** measures also use biodegradable mulches but are intended for use when seeding and with landscape planting, respectively.

**TECBs** are biodegradable mulches that are manufactured with a netting for anchoring to create a blanket that is used as a substitute for **Mulch for Seed** where mulch anchoring is needed, and may also be used as a substitute for **Temporary Soil Protection**.

**PTRMs** are geotextiles that are laid on or within the soils surface to permanently assist in holding the roots of herbaceous plants when exposed to water velocities that would normally erode the soil around the roots. They are used in grass-lined swales and are applied with **Permanent Seeding** and anchored mulches or during **Sodding** just beneath the sod. Their primary function is to increase the swale’s performance limits.

**ECB** and **TRM** are geotextiles and some products are hybrids of both measures. Careful attention to the manufacturer’s recommendations for use is required.

The **Stone Slope Protection** measure calls for applying stone or stone aggregates on unstable soils where unfavorable soil conditions exist for the establishment and growth of plants. It is not used where concentrated flows are expected. It may be used as a substitute for **Landscape Mulch**.

**Figure 1** is provided to assist in the selection of mulch material by comparing and contrasting the types of biodegradable mulches commonly used in the **Temporary Soil Protection**, **Mulch for Seed** and **Landscape Mulch** measures.
<table>
<thead>
<tr>
<th>Mulch Type</th>
<th>Exposure Period</th>
<th>How Applied</th>
<th>Limitations / Considerations</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Temporary Soil Protection - temporary soil cover when seeding dates cannot be met</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| straw/hay                  | 0-6 months      | by hand or blown by machine  | • preferred over other mulches  
• requires anchoring in windy areas  
• hay will typically supply weed seeds, straw will not  
• must be removed or tilled into ground before seeding or planting  
• may reduce soil fertility during decay process requiring subsequent fertilization for plant growth  
• lasts longer than straw/hay  
• no anchoring required  

| cellulose fiber*           | not recommended | not recommended              | • used only as a tackifier for other mulch material  
|---------------------------|-----------------|------------------------------|--------------------------------------------------------------------------------------------------|
| wood chips                 | > 1 year         | by hand or graded by machine | • restricted to slopes 3 on 1 or flatter  
• may provide better shading against hot summer sun for seeding done at the beginning of summer  
• no volunteer weed seeds, lawn seeding  
• wood fiber per unit cost generally more expensive than paper fiber, but requires less product for equivalent coverage  
• may be used in summer with seed only if adequate irrigation is planned  

| bark chips / shredded bark | 0-1 year         | by hand                      | • same as wood chips  
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mulch for Seed - temporary soil cover until seeds germinate and grow sufficiently to stabilize soil</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| straw/hay                  | 0-6 months      | by hand or blown by machine  | • requires anchoring in windy areas  
• hay will supply weed seeds, straw will not  
• may provide better shading against hot summer sun for seeding done at the beginning of summer  

| cellulose fiber*           | 0-6 months      | sprayed in slurry with water | • no volunteer weed seeds, lawn seeding  
• wood fiber per unit cost generally more expensive than paper fiber, but requires less product for equivalent coverage  
• may be used in summer with seed only if adequate irrigation is planned  

| wood chips                 | not recommended | not recommended              | not recommended  
|----------------------------|-----------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| bark chips / shredded bark | not recommended | not recommended              | not recommended  

| **Landscape Mulch - soil cover inhibiting weed growth around planted trees, shrubs & vines** |                 |                              |                                                                                                                                                                                                                             |
| straw/hay                  | not recommended | not recommended              | not recommended  

| cellulose fiber*           | not recommended | not recommended              | not recommended  
|---------------------------|-----------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| wood chips                 | > 1 year         | by hand or graded by machine | • may reduce soil fertility during decay process, requiring application of nitrogen  
• slippage may occur on steeper slopes if wood chips are applied over a large area  

| bark chips / shredded bark | 0-1 year         | by hand                      | • same as wood chips  
|----------------------------|-----------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

* see Specifications text on special concerns of various cellulose mulches
4-Short Term Non-living Soil Protection

Temporary Soil Protection (TSP)

Definition
Application of a degradable material that will protect the soil surface on a temporary basis without the intention of promoting plant growth.

Purpose
To prevent erosion by dissipating the erosive energy of raindrops and encouraging sheet flow over the soil surface.

Applicability
- When grading of the disturbed area will be suspended for a period of 30 or more consecutive days, but less than 5 months, stabilize the site within 7 days of the suspension of grading through the use of mulch or other materials appropriate for use as a temporary soil protector.
- For surfaces that are not to be reworked within 5 months but will be reworked within 1 year, use Temporary Seeding, Mulch for Seed or when slopes are less than 3:1, wood chips, bark chips or shredded bark.
- For surfaces that are to be reworked after 1 year, use Permanent Seeding and Mulch for Seed.

Planning Considerations
See Mulching Selection Chart found in Group Planning Considerations.

Specifications

Materials
Temporary soil protection materials include but are not limited to mulches, tackifiers, and nettings and shall be:

- biodegradable or photo-degradable within 2 years but without substantial degradation for 5 months;
- free of contaminants that pollute the air or waters of the State when properly applied;
- free of foreign material, coarse stems and any substance toxic to plant growth or which interferes with seed germination; and
- capable of being applied evenly such that it provides 100% initial soil coverage and still adheres to the soil surface, does not slip on slopes when it rains or is watered, does not blow off site, and dissipates raindrop splash.

Mulches within this specification include, but are not limited to:

- Hay: The dried stems and leafy parts of plants cut and harvested, such as alfalfa, clovers, other forage legumes and the finer stemmed, leafy grasses. The average stem length should not be less than 4 inches. Hay that can be windblown should be anchored to hold it in place.

- Straw: Cut and dried stems of herbaceous plants, such as wheat, barley, cereal rye, or brome. The average stem length should not be less than 4 inches. Straw that can be windblown should be anchored to hold it in place.

- Wood Chips: Chipped wood material from logs, stumps, brush or trimmings including bark, stems and leaves having a general maximum size of 0.5 inch by 2 inches and free of excessively fine or long stringy particles as well as stones, soil and other debris. No anchoring is required. If seeding is performed where wood chips have been previously applied, prior to the seeding the wood chips should be removed or tilled into the ground and additional nitrogen applied. Nitrogen application rate is determined by soil test at time of seeding (anticipate 12 lbs. nitrogen per ton of wood chips).
**Bark Chips, Shredded Bark:** Tree bark shredded as a by-product of timber processing having a general maximum size of 4 inches and free of excessively fine or long stringy particles as well as stone and other debris. Material use is the same as wood chips.

May also include corn stalks, leaves and other similar materials provided they meet the requirements of the materials section within this specification.

**Note:** Wood and bark by-products may generate contaminated runoff if improperly stored for extended periods. These materials should only be stored on free draining, gently sloping soils, and only for short periods of time.

If subsequent seeding is performed where cellulose dense mulches (e.g., leaves, excelsior, woodchips, barkchips) have been applied, then prior to seeding either remove the mulch or till it into the ground with the application of nitrogen.

Cellulose fiber is not recommended for use, except as a tackifier for other mulch materials.

**Tackifiers** within this specification include, but are not limited to:

Water soluble materials that cause mulch particles to adhere to one another, generally consisting of either a natural vegetable gum blended with gelling and hardening agents or a blend of hydrophilic polymers, resins, viscosifiers, sticking aids and gums. **Emulsified asphalts are specifically prohibited for use as tackifiers due to their potential for causing water pollution following its application.**

**Nettings** within this specification include but are not limited to:

Prefabricated openwork fabrics made of cellulose cords, ropes, threads, or biodegradable synthetic material that is woven, knotted or molded in such a manner that it holds mulch in place until temporary soil protection is no longer needed. Examples of netting are tobacco netting (used where flows are not concentrated) and jute netting (typically used in drainageways).

**Substitute Measures**

Where tackifiers or nettings are needed to anchor mulch, a **Temporary Erosion Control Blanket** or **Stone Slope Protection** may be substituted, providing 100% of the disturbed soil is covered.

**Site Preparation**

Prior to mulching, complete the required grading and install and/or repair other sediment control measures needed to control water movement within the area to be mulched.

**Application**

**Spreading:** Spread mulch material uniformly by hand or machine resulting in 100% coverage of the disturbed soil.

When spreading hay mulch by hand, divide the area to be mulched into approximately 1,000 square feet and place 2 to 3 bales of hay in each section to facilitate uniform distribution.

When spreading woodchips on slopes, it is particularly important not to spread the chips too thick. Excessive applications tend to slip or slump when saturated.

See **Figure TSP-1** for suggested application rates of specific mulches when used as temporary soil protection.

**Figure TSP-1 Suggested Temporary Soil Protection Application Rates for 100% Cover**

<table>
<thead>
<tr>
<th>Mulch</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hay/Straw</td>
<td>2 – 3 Tons/acre</td>
</tr>
<tr>
<td>Wood Chips/ Shredded Bark</td>
<td>6 cu. yds./1000 sq. ft.</td>
</tr>
</tbody>
</table>

**Anchoring:** Apply tackifiers and/or netting either with the mulch or immediately following mulch application. Expect the need for tackifiers or netting along the shoulders of actively traveled roads, hill tops and long open slopes not protected by wind breaks.

When using netting the most critical aspect is to ensure that the netting maintains substantial contact with the mulch and the mulch, in turn, maintains continuous contact with the soil surface. Without such contact, the material is useless and erosion can be expected to occur. Install in accordance with manufacturer’s recommendations.

**Maintenance**

Inspect temporary soil protection area at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for mulch movement and rill erosion.

Where soil protection falls below 100%, reapply soil protection within 48 hours. Determine the cause of the failure. If mulch failure was the result of wind, consider applying a tackifier or netting. If mulch failure was caused by concentrating water, install additional measures to control water and sediment movement, repair erosion damage, re-apply mulch with anchoring or use Temporary Erosion Control Blankets.

Inspections should take place until work resumes.
4-Short Term Non-living Soil Protection

Mulch For Seed (MS)

Definition
Application of a mulch that will protect the soil surface on a temporary basis and promote the establishment of temporary or permanent seedings.

Purpose
• To prevent erosion by dissipating the erosive energy of raindrops and encourage a sheet flow over the soil surface.
• To aid in the growth of herbaceous vegetation by reducing evaporation of water, enhancing absorption of water, helping to anchor seed in place, providing protection against extreme heat and cold and improving soil texture as it decomposes.

Applicability
Used with Temporary Seeding and Permanent Seeding measures.

Planning Considerations
See Mulching Selection Chart found in the Group Planning Considerations.

Specifications

Materials
Mulch for seed, including tackifiers and nettings used to anchor much, shall be:

❖ biodegradable or photo-degradable within 2 years but without substantial degradation over a period of 6 weeks,
❖ free of contaminants that pollute the air or waters of the State when properly applied,
❖ free of foreign material, coarse stems and any substance toxic to plant growth or which interferes with seed germination, and
❖ capable of being applied evenly such that it provides 80%-95% soil coverage and still adheres to the soil surface, does not slip on slopes when it rains or is watered, does not blow off site, dissipates raindrop splash, holds soil moisture, moderates soil temperatures and does not interfere with seed growth.

Types of mulches within this specification include, but are not limited to:

Hay: The dried stems and leafy parts of plants cut and harvested, such as alfalfa, clovers, other forage legumes and the finer stemmed, leafy grasses. Stem length should not average less than 4 inches. Hay that can be windblown must be anchored. Preferred mulch when seeding occurs outside of the recommended seeding dates.

Straw: Cut and dried stems of herbaceous plants, such as wheat barley, cereal rye, or broom. The average stem length should not be less than 4 inches. Straw that can be windblown should be anchored to hold it in place.

Cellulose Fiber: Fiber origin is either virgin wood, post-industrial/pre-consumer wood or post consumer wood complying with materials specification (collectively referred to as “wood fiber”), newspaper, kraft paper, cardboard (collectively referred to as “paper fiber”) or a combination of wood and paper fiber. Paper fiber, in particular, shall not contain boron, which inhibits seed germination. The cellulose fiber must be manufactured in such a manner that after the addition to and agitation in slurry tanks with water, the fibers in the slurry become uniformly
suspended to form a homogeneous product. Subsequent to hydraulic spraying on the ground, the mulch shall allow for the absorption and percolation of moisture and shall not form a tough crust such that it interferes with seed germination or growth. Generally applied with tackifier and fertilizer. Refer to manufacturer’s specifications for application rates needed to attain 80%-95% coverage without interfering with seed germination or plant growth. Not recommended as a mulch for use when seeding occurs outside of the recommended seeding dates.

Other mulches also include corn stalks and other similar organic materials provided they meet the requirements listed in the first paragraph of this section. Does not include materials such as wood chips, bark chips or cocoa hulls.

**Tackifiers** within this specification include, but are not limited to:

- Water soluble materials that cause mulch particles to adhere to one another, generally consisting of either a natural vegetable gum blended with gelling and hardening agents or a blend of hydrophilic polymers, resins, viscosifiers, sticking aids and gums. Good for areas intended to be mowed. Cellulose fiber mulch may be applied as a tackifier to other mulches, provided the application is sufficient to cause the other mulches to adhere to one another. **Emulsified asphalt is specifically prohibited for use as tackifier due to its potential for causing water pollution following its application.**

**Nettings** within this specification include, but are not limited to:

- Prefabricated openwork fabrics made of cellulose cords, ropes, threads, or biodegradable synthetic material that is woven, knotted or molded in such a manner that it holds mulch in place until vegetation growth is sufficient to stabilize the soil. Generally used in areas where no mowing is planned. Examples of netting are tobacco netting (used where flows are not concentrated) and jute netting (typically used in drainageways).

**Substitute Measures**

Where mulch anchoring is required a **Temporary Erosion Control Blanket** may be used.

**Site Preparation**

Follow requirements of **Permanent Seeding** or **Temporary Seeding**.

**Application**

**Timing:** Applied immediately following seeding. Some cellulose fiber may be applied with seed to assist in marking where seed has been sprayed, but expect to apply a second application of cellulose fiber to meet the requirements of **Mulch for Seed**.

**Spreading:** Mulch material shall be spread uniformly by hand or machine resulting in 80%-95% coverage of the disturbed soil when seeding within the recommended seeding dates. Applications that are uneven can result in excessive mulch smothering the germinating seeds. For hay or straw anticipate an application rate of 2 tons per acre. For cellulose fiber follow manufacturer’s recommended application rates

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**Figure MS-1 Estimating Mulch Cover**

The following procedure was adapted from the pamphlet entitled “Farming with Residues” by the U.S. Department of Agriculture Soil Conservation Service dated September 1991.

1. Use any line that is equally divided into 100 parts. Fifty foot cable transect lines are available for this purpose. Another tool is a 50-foot tape measure using the 6-inch and foot marks also works well.

2. Stretch the line across the area to be sampled. Count the number of marks (tabs or knots) that have mulch showing under them when sighting directly above one end of the mark. It is important to use the same point on each mark for accuracy.

3. Walk the entire length of the rope or wire. The total number of marks with mulch under them is the percent cover.

4. Repeat the procedure at least 3 times in different areas and average the findings.
Mulch for Seed (MS)

to provide 80%-95% coverage.

When seeding outside the recommended seeding dates, increase mulch application rate to provide between 95%-100% coverage of the disturbed soil. For hay or straw anticipate application rates of 2.5 to 3 tons per acre.

See **Figure MS-1** for a procedure to estimate the adequacy of mulch coverage.

When spreading hay mulch by hand, divide the area to be mulched into approximately 1,000 square feet and place 1.5-2 bales of hay in each section to facilitate uniform distribution.

For cellulose fiber mulch, expect several spray passes to attain adequate coverage, to eliminate shadowing, and to avoid slippage (similar to spraying with paint).

Machine clogging can occur if product is improperly loaded or if leftover product is left in machine without cleaning. Comply with the manufacturer’s recommendations for application requirements and mulch material specifications.

**Anchoring:** When needed, mulch anchoring is applied either with the mulch as with cellulose fiber or applied immediately following mulch application. Expect the need for mulch anchoring along the shoulders of actively traveled roads, hill tops and long open slopes not protected by wind breaks.

When using netting, the most critical aspect is to ensure that the netting maintains substantial contact with the underlying mulch and the mulch, in turn, maintains continuous contact with the soil surface. Without such contact, the material is useless and erosion occurs. Install in accordance with manufacturer’s recommendations.

**Maintenance**

Inspect mulched areas at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater until the grass has germinated to determine maintenance needs.

Where mulch has been moved or where soil erosion has occurred, determine the cause of the failure. If it was the result of wind, then repair erosion damage (if any), re-apply mulch (and seed as needed) and consider applying a netting or tackifier. If mulch failure was caused by concentrating water, install additional measures to control water and sediment movement, repair erosion damage, re-apply mulch and consider applying a netting or tackifier or use the **Temporary Erosion Control Blanket** measure.

Once grass has germinated, inspections should continue as required by **Temporary Seeding** and **Permanent Seeding**.
Landscape Mulch (LM)

Definition
Application of a mulch that protects the soil surface on a long term basis and promotes the growth of landscape plantings.

Purpose
- To prevent erosion by dissipating the erosive energy of raindrops and encouraging infiltration.
- To promote growth of plantings and woody vegetation by reducing evaporation of water, enhancing absorption of water, controlling weeds, providing protection against extreme heat and cold and improving soil texture.
- To provide a temporary cover for disturbed soil.

Applicability
Used only with landscape plantings (see Landscape Planting measure) and existing woody vegetation.

Planning Considerations
Providing adequate organic mulch will enable a newly transplanted tree to become established and grow faster than an equivalent tree which is not mulched during the establishment period.

Plan mulch to be of sufficient depth to block the light that triggers weed seed germination and to prevent those that germinate deep under the mulch from surviving. Since weeds become established within the mulch matrix a barrier under the mulch is of limited value. The use of black plastic under landscape mulch is not advised because the plastic impedes water and gas exchange in the soil, often creating a soil environment that is more conducive to disease organisms. However, woven geotextiles that are manufactured specifically for use as a weed barrier may be used, particularly when the Stone Slope Protection measure is used as a substitute for landscape mulch.

See Mulching Selection Chart found in the Group Planning Considerations.

Specifications

Materials
Mulch materials must be:
- biodegradable over a period of several years but without substantial degradation within 1 year;
- free of contaminants that pollute the air or waters of the State when applied;
- free of foreign material, and any substance toxic to plant growth; and
- capable of being applied evenly such that it provides 100% soil coverage and still adheres to the soil surface without a mulch anchor, does not slip on slopes when it rains or is watered, does not blow off site, dissipates raindrop splash, retains soil moisture, moderates soil temperatures and inhibits the growth of herbaceous plants.

Types of mulches within this specification include, but are not limited to:

Wood Chips: Chipped wood material from logs, stumps, brush or trimmings including bark, stems and leaves having a general maximum size of 0.5 inch by 2 inches and free of excessively fine or long stringy particles as well as stones, soil and other debris.

Bark Chips, Shredded Bark: Tree bark shredded as a by product of timber processing having a general maximum size of 4 inches and free of excessively fine or long stringy particles as well as stone and other debris.
Note: Wood and bark by-products may generate contaminated runoff if improperly stored for extended periods. These materials should only be stored on free draining, gently sloping soils, and only for short periods of time.

May also include cocoa hulls and other similar materials provided they meet the requirements listed in the first paragraph of this section.

Does not include materials such as hay or cellulose fiber that is used in Mulch for Seed measure.

Substitute Measures
Stone Slope Protection measure may be used as a substitute for Landscape Mulch. Use with caution due to concerns about heat absorption and light reflection.

Site Preparation
Follow requirements of Landscape Planting measure and/or Tree Protection measure.

Application
Timing: For trees and shrubs apply after the installation of any weed barrier and within 7 days after planting. For vines and ground covers apply after the installation of any weed barrier either before planting or within 7 days after planting. Periodic reapplication is necessary when the mulch has decayed sufficiently to expose underlying soil or when it no longer inhibits herbaceous growth.

Spreading: Spread the mulch materials uniformly to a depth of at least 4 inches over the area disturbed by the hole excavated for planting the tree/shrub or over the entire area that has been or will be planted with vines or ground covers. See Figure LMu-1 for suggested application rates for wood chips and shredded bark. Do not pile mulch against any tree or shrub trunk. Avoid excessive depths on slopes where mulch could slip when saturated.

Maintenance
Inspect 2 to 3 months after the first application and then once a year for mulch movement, rill erosion and decay.

Where mulch has been moved by concentrated waters, install additional measures to control water and sediment movement, repair erosion damage, remove any unwanted vegetation and re-apply mulch.

If mulch has decayed exposing underlying soil, repair any erosion damage, remove any unwanted vegetation and reapply mulch.

<table>
<thead>
<tr>
<th>Mulch</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wood Chips/</td>
<td>10 cu yds./1000 sq. ft.</td>
</tr>
<tr>
<td>Shredded Bark</td>
<td></td>
</tr>
</tbody>
</table>
Planning Considerations
When considering the use of ECB keep in mind the blanket’s capability to conform to ground surface irregularities. If the blanket is not capable of developing a continuous contact with the soil then it must be applied to a fine graded surface. Some blankets will soften and when wetted reconform to the ground. Also, when the ground is frozen, proper anchoring can be difficult, if not impossible.

Care must be taken to choose the type of blanket which is most appropriate for the specific need of the project. With the abundance of erosion control blankets available, it is impossible to cover all of the advantages, disadvantages and specifications of all manufactured blankets. There is no substitute for a thorough understanding of the manufacturer’s instructions and recommendations in conjunction with a site visit by the erosion and sedimentation plan designer prior to and during installation to verify a product’s appropriateness.

The success of temporary erosion control blankets is dependent upon strict adherence to the manufacturer’s installation recommendations. As such, a final inspection should be planned to ensure that the lap joints are secure, all edges are properly anchored and all staking/stapling patterns follow the manufacturer’s recommendations.

Specifications

Materials
Temporary erosion control blankets shall be composed of fibers and/or filaments that:

- are biodegradable or photodegradable within two years but without substantial degradation over the period of intended usage (five months maximum);
- are mechanically, structurally or chemically bound together to form a continuous matrix of even thickness and distribution that resist raindrop splash and when used with seedings allows vegetation to penetrate the blanket;
- are of sufficient structural strength to withstand stretching or movement by wind or water when installed in accordance with the manufacturer’s recommendations;
- are free of any substance toxic to plant growth and unprotected human skin or which interferes with seed germination;
- contain no contaminants that pollute the air or waters of the State when properly applied; and
provide either 80%-95% soil coverage when used as a substitute for Mulch for Seed or 100% initial soil coverage when used as a substitute for Temporary Soil Protection measure.

Materials shall be selected as appropriate for the specific site conditions in accordance with manufacturer’s recommendations. Use of any particular temporary erosion control blanket should be supported by manufacturer’s test data that confirms the blanket meets these material specifications and will provide the short term erosion control capabilities necessary for the specific project.

**Site Preparation and Installation**

(see Figure ECB-1)

Prepare the surface, remove protruding objects and install temporary erosion control blankets in accordance with the manufacturer's recommendations. Ensure that the orientation and anchoring of the blanket is appropriate for the site.

The blanket can be laid over areas where sprigged grass seedlings have been inserted into the soil. Where landscape plantings are planned, lay the blanket first and then plant through the blanket in accordance with Landscape Planting measure.

Inspect the installation to insure that all lap joints are secure, all edges are properly anchored and all staking or stapling patterns follow manufacturer’s recommendations.

**Maintenance**

Inspect temporary erosion control blankets at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for failures. Blanket failure has occurred when (1) soils and/or seed have washed away from beneath the blanket and the soil surface can be expected to continue to erode at an accelerated rate, and/or (2) the blanket has become dislodged from the soil surface or is torn.

If washouts or breakouts occur, re-install the blanket after regrading and re-seeding, ensuring that blanket installation still meets design specifications. When repetitive failures occur at the same location, review conditions and limitations for use and determine if diversions, stone check dams or other measures are needed to reduce failure rate.

Repair any dislodged or failed blankets immediately.

When used as a substitute for Mulch for Seed, continue to inspect as required by the seeding measure. When used as a substitute for Temporary Soil Protection, continue to inspect until it is replaced by other erosion control measures or until work resumes.
Planning Considerations

As a rule of thumb, when flows over exposed soils exceed 2 feet per second and flows over proposed turf areas exceed 5-6 feet per second, then soil erosion can be expected. Permanent turf reinforcement mats can be one way to reduce the erosion potential, and can be used in conjunction with other erosion control measures such as Vegetated Waterway and Permanent Diversion.

Permanent turf reinforcement mats are manufactured in several styles. They can be flat or three dimensional matrixes, laid either on top of or within the soil surface layer. Where permanent turf reinforcement mats are primarily used in areas of concentrated flows, an engineered design is required. Permanent turf reinforcement mats require the application of vegetative soil cover measures.

The requirement for permanent turf reinforcement mats should be identified during the development of the erosion and sediment control plan. Also, permanent turf reinforcement mats may be used as a corrective measure in areas of concentrated flows where repeated failures of vegetative cover have occurred.

Some permanent turf reinforcement mats are manufactured with a temporary erosion control blanket attached to them and do not require a separate mulch application. Permanent turf reinforcement mats should be expected to last the life expectancy specified in the manufacturer’s recommendations. Care must be taken to choose the type of mats which are most appropriate for the specific need of the project. A thorough understanding of the manufacturer’s instructions and recommendations is needed to verify a product’s appropriateness.

Design Criteria

Where turf reinforcement mats are used in areas of concentrated flows an engineered design is required. For other applications refer to the manufacturer’s recommendations.

Materials

Permanent turf reinforcement mats shall:
- consist of ultraviolet light resistant polymer or synthetic fibers mechanically, structurally, and/or chemically bound together for a continuous matrix of consistent thickness;
- contain no contaminants that pollute the air or waters of the state when properly installed; and

2002 Connecticut Guidelines for Soil Erosion and Sediment Control
Materials shall be selected as appropriate for the specific site conditions in accordance with manufacturer's recommendations. Use of any particular permanent turf reinforcement mat should be supported by manufacturer's test data that confirms the mat will provide the long term erosion control capabilities necessary for the specific project.

**Installation Requirements**

Prepare site and install in accordance with manufacturer's requirements. *Figure TRM-1* shows a typical installation for a grass-lined channel.

Establish vegetative cover in accordance with the applicable measure found in the Vegetative Soil Cover Control Measure Group of these Guidelines. Modify the sequence of application to meet the manufacturer's requirements for the specific installation.

Inspect the installation to ensure that the mat is in direct contact with the prepared soil surface, all lap joints are secure, all edges and interior mats are properly anchored and/or treated, backfilling follows the manufacturer's requirements, and the vegetative soil measures used have been correctly applied.

**Maintenance**

Inspect permanent turf reinforcement mats at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for failures until the turf has become established. Mat failure has occurred when soils and/or seed have washed away from beneath or within the mat resulting in a soil surface that can be expected to continue to erode or when the mat has become dislodged from the soil surface. When repetitive failures occur at the same location, review conditions and limitations of turf reinforcement mats and determine if additional controls, (e.g. diversions, stone barriers) are needed to ensure success. Repair mat failures within one work day.

After the turf has become established, inspect annually or after major storm events.
4-Short Term Non-living Soil Protection

Stone Slope Protection (SSP)

Definition
Applying stone aggregates for permanent protection on slopes where vegetative soil cover measures are either impractical or difficult to establish.

Purpose
To permanently reduce runoff and erosion, prevent soil compaction and prevent shallow surface slope failures by providing a non-vegetative stone cover over the soil surface.

Applicability
- Where highly erodible soils provide for unfavorable conditions for plant establishment and growth.
- Where herbaceous plant growth is to be discouraged or controlled.
- Not for use in concentrated flow areas or as a replacement for riprap or other measures designed to control slope stability. Use slope stabilization measures in the Stabilization Structures Functional Group and Subsurface Drain measure. May be used in combination with other slope stabilization measures and subsurface drains.
- For use on slopes 2:1 or flatter. For slopes steeper than 2:1 and for slopes with excessive seepage, an engineer’s review of slope stability is required (see Riprap measure).

Planning Considerations
Typically, stone slope protection is used when there has been difficulty in establishing vegetation caused by adverse soil conditions or where competing vegetation is to be discouraged, as with landscape plants. It may take the place of Landscape Mulch, thus requiring less maintenance.

An engineering review is required when the slope to be protected is steeper than 2:1 or when excessive seepage is expected. If the engineering review results in a concern about slope stability, then other slope stabilization measures shall be utilized, possibly in combination with this measure.

Specifications

Materials
Stone used in stone slope protection shall consist of crushed stone or gravel that meets the gradations for DOT #3 coarse aggregate (see Figure SP-1) conforming to the DOT Standard Specifications Section M.01.01.

Site Preparation
Bring areas to be stabilized to final grade in accordance with the approved plan. Install and/or repair other sediment control measures as needed to control water movement into the area to be covered with stone.

Application
Slope the area on which the stone is to be placed to a reasonably true surface prior to placing any stone. Spread the stone by any suitable means which will not crush the stone. Shape the stone to a smooth uniform finished grade. Provide 100% coverage of the disturbed soil with the stone.

Maintenance
Coarse aggregate conforming to DOT Stand. Specifications Section M.01.01 will not deteriorate, but may fail by slippage or displacement. If slippage or displacement occur, conduct an engineering analysis to determine the cause. Overland water flow, excessive seepage, deep slope failure or surficial structural failure should be investigated by an engineer. Repair failed areas and/or implement alternate measures to obtain stability.

<table>
<thead>
<tr>
<th>Square Mesh Sieves</th>
<th>% Passing by Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.5”</td>
<td>100</td>
</tr>
<tr>
<td>2.0”</td>
<td>90–100</td>
</tr>
<tr>
<td>1.5”</td>
<td>35–70</td>
</tr>
<tr>
<td>1.0”</td>
<td>0–15</td>
</tr>
<tr>
<td>0.5”</td>
<td>0–5</td>
</tr>
</tbody>
</table>
Planning Considerations

The stabilization structures measures are Retaining Wall, Riprap, Gabions, Permanent Slope Drain, Channel Grade Stabilization Structure, Temporary Lined Chute and Temporary Pipe Slope Drain. Stabilization structures have the primary function of preventing soil erosion when slope gradients are considered to be too steep or water velocities on the slope are too high for the slope to remain stable with a vegetative cover. The measures in this group can be generally divided into two subgroups: slope stabilization structures and grade stabilization structures. Slope stabilization structures are applied to stabilize slopes and grade stabilization structures are applied to stabilize channels and areas where concentrated flows will occur.

Retaining Wall, Riprap and Gabions measures are capable of being applied to both slopes and channels.

Permanent Slope Drain, Channel Grade Stabilization Structure, and Temporary Lined Chute are used only for channelized flow.

The Retaining Wall measure involves the construction of a structurally designed wall of various materials usually at the bottom of a slope to prevent bank failure due to shallow bedrock, steepness, seepage or other soil conditions and to lessen the slope gradient above the wall.

The Riprap measure is the use of rock to stabilize slopes with seepage problems and to protect a soil surface from the erosive forces of concentrated runoff or high velocity stream flows.

continued on next page
The **Gabions** measure takes riprap or other hard durable rock and places it in a flexible wire mesh basket composed of rectangular cells. It can be used in place of the Riprap measure and can be a material used in the **Retaining Wall** measure.

The **Permanent Slope Drain** measure involves an open or closed structure or series of structures, such as pipes, culverts and manholes, that convey water flows through excessive grade changes.

The **Channel Grade Stabilization Structure** measure is an open structure used to control the grade and head cutting in natural or artificial channels. It is not used, however, to regulate the flow in a channel or to regulate the water level in a channel.

The **Temporary Lined Chute** measure consists of an open channel lined with a non-erosive material on slopes steeper than 5:1 where the drainage area is less than 36 acres and its use is for less than one year. When these limitations are exceeded, the use of other measures from the stabilization function group and waterways functional group are required.

The **Temporary Pipe Slope Drain** utilizes a flexible or ridge pipe to convey water down a slope from a contributing drainage area of 5 acres or less for a period of time no greater than 6 months. It is commonly used in association with temporary diversions.

Not contained within these Guidelines are any measures relating to the use of soil reinforcement materials for slope stabilization. Due to the variety of these materials, standards and specifications have not yet been identified. If used, they require a carefully engineered design that complies with the manufacturer’s specifications.

All these measures require an engineered design. All requirements of state law and permit requirements of local, state and federal agencies must be met. These structures should be planned and installed along with, or as part of, other conservation practices in an overall surface water disposal system. Where possible, attention should be given to maintaining or improving habitat for fish and wildlife.

For measures in this group that are to receive concentrated flows, plan to avoid constructing the measure when the local weather forecast predicts rainfall to occur during the time of construction. Local forecasts may be obtained by listening of local radio and television stations, the National Weather Service broadcasts (162.400 MHZ for CT generally, 162.475 MHZ for northeastern CT., 162-550 MHZ for Southeastern and Southwestern CT) or from the Internet at http://www.nws.noaa.gov.
Planning Considerations
Retaining walls are used where site constraints, such as wetland or property boundaries, prevent slope flattening and seeding. Sequence the construction so that the retaining walls are installed with minimum delay. Disturbance of areas where retaining walls are to be placed should be undertaken only when final preparation and placement of the retaining walls can follow immediately behind the initial disturbance.

Selection of materials and type of wall should be based on hazard potential, load conditions, soil parameters, groundwater conditions, site constraints, material availability, cost and aesthetics.

Design Criteria
Consider foundation bearing capacity, sliding, overturning, drainage and loading systems. For prefabricated units, shop drawings should be submitted by the fabricator to the engineer for consideration in the design analysis.

Safety
Safety railings may be required by local building codes.

Bearing Capacity
Maintain a minimum factor of safety of 1.5 for the ratio of the allowable bearing capacity to the designed loading. Spread footings and other methods may be used to meet bearing factor requirements.

Sliding
Use a minimum safety factor of 2.0 against sliding. This factor may be reduced to 1.5 when passive pressures on the front of the wall are ignored.

Overturning
Use a minimum safety factor of 1.5 as the ratio of the resisting moment (that which tends to keep the wall in place) to the overturning moment.

Drainage
Unless adequate provisions are designed to control both surface and groundwater behind the retaining wall, a substantial increase in active pressures tending to slide or overturn the wall will result. Provide surface drainage when backfill or natural ground is higher than the top of the wall. Provide subsurface drainage systems with adequate outlets behind the retaining walls as needed to reduce hydrostatic loadings. Design subsurface drains to prevent piping of backfill or existing soils.

Load systems
Consider several different loads or combination of loads when designing a retaining wall. In addition to soil and hydrostatic loadings, consider live loads, surcharge loads and sloped fill loads.

Additional Design Considerations for Retaining Walls Along Stream Banks.
Bottom scour: Control bottom scour in a channel before any retaining wall type of bank protection can be considered feasible. This is not necessary if the retaining wall can be safely and economically constructed to a depth below the anticipated lowest depth of bottom scour.

Starting and ending points: Start and end the retaining wall at a stabilized or controlled point on the stream.
Channel alignment: Consider changes in channel alignment only after an evaluation is made of the effect such changes will have upon land use, storm sewer systems, hydraulic characteristics and other existing structures.

Installation Requirements

Concrete Walls (see Figure RW-1)

1. Prepare foundations by excavating to the lines and grades shown on the drawings and removing all objectionable material.

2. Compact subgrade and keep it moist at least 2 hours prior to placement of concrete.

3. Use steel reinforcing in accordance with the schedule on the drawings and keep it free of rust, scale or dirt.

4. Chamfer exposed edges 0.75 inches.

5. Install underdrain filter to meet the gradations shown on the drawings.

6. Provide weep holes for drain outlets as shown on the drawings.

7. Pour and cure concrete in accordance with American Concrete Institute specifications.
1. Reinforced concrete retaining walls shall be designed in accordance with latest ACI codes.
2. Earth, hydrostatic, and live loads shall be considered in the design analysis.
3. Foundation bearing capacity shall be confirmed in the design process.
4. Properly designed pervious backfill and geotextile may be required to relieve hydrostatic pressures and prevent piping of fine soil particles.
5. Provide safety barriers if required by building code.

Source: USDA-NRCS
Figure RW-2  Precast Retaining Walls

Source: USDA-NRCS
NOTES:
1. SIZES OF INDIVIDUAL GABION BASKETS TO BE DETERMINED ON A CASE BY CASE BASIS.
2. ‘H’ AND ‘B’ DIMENSIONS INDIVIDUALLY DESIGNED BASED UPON EARTH AND HYDROSTATIC LOADINGS.
3. FOUNDATION BEARING CAPACITY TO BE CONFIRMED IN THE DESIGN PROCESS.
4. WIRE BASKETS SHALL CONFORM WITH CT DOT SPECIFICATIONS SECTION 7.04 OR
   USDA NRCS SPECIFICATION 64.
5. PROPERLY DESIGNED PERVERIOUS BACKFILL AND GEOTEXTILE MAY BE REQUIRED TO RELIEVE
   HYDROSTATIC PRESSURES AND PREVENT PIPING OF FINE SOIL PARTICLES.
6. PROVIDE SAFETY BARRIERS IF REQUIRED BY BUILDING CODE.

Source: USDA-NRCS
5-Stabilization Structures

Riprap (RR)

Definition
A permanent, erosion-resistant ground cover of large, loose, angular stone.

Purpose
- To protect the soil surface from the erosive forces of concentrated runoff, high velocity stream flows and wave action.
- To slow the velocities, enhance the potential for infiltration, and provide habitat diversity.
- To stabilize slopes with seepage problems.

Applicability
- On soil-water interfaces where soil conditions, expected flow conditions (including water turbulence, velocity and waves), and expected vegetative cover, etc., are such or will be such that the soil will erode under the design flow conditions.
- At storm drain outlets, on channel banks and/or bottoms, roadside ditches, permanent slope drains, at the toe of slopes, or to stabilize streams.

Planning Considerations
Since riprap is used where erosion potential is high, sequence construction so that the riprap is placed with a minimum of delay. Plan to disturb areas where riprap is to be placed only when final preparation and placement of the riprap will follow immediately behind the initial disturbance. Where riprap is used for outlet protection, plan to place the riprap before or in conjunction with the construction of the pipe or channel so that it is in place when the pipe or channel begins to function.

All legal and permit requirements of local, state and federal agencies must be met.

Design Criteria

Sizes - Equivalent Spheres
Riprap sizes can be designated by either the diameter or the weight of the stones. They can also be designated by established published standards, such as that found in the DOT Standards and Specifications Section M.02.06 (see Figure RR-1). It is often misleading to think of riprap in terms of diameter, since the stones should be angular instead of spherical. It is simpler to specify the diameter of an equivalent size of spherical stone. Figure RR-3 lists some typical stones by weight, spherical diameter and the corresponding rectangular dimensions. These stone sizes are based upon an assumed bulk weight of 2.65 grams per cubic centimeter (165 lbs./ft.3).

A diameter of stone in the mixture is specified for which some percentage, by weight, will be smaller. For example, d55 refers to a mixture of stones in which 85% of the stone by weight would be smaller than the diameter specified. Most designs are based on d50 (see Figure RR-2). In other words, the design is based on the average size of stone in the mixture.

Gradation
Riprap gradations shall be specified by either the DOT Standard Specifications, or other established published standards. Regardless of the standard used, riprap shall be composed of a well-graded mixture down to the one-inch size particle such that 50% of the mixture by weight shall be larger than the d50 size as determined from the design procedure. The diameter of the largest stone size in such a mixture shall be 1.5 times the d50 size. A well-graded mixture as used herein is defined as a mixture composed primarily of the larger stone sizes but with a sufficient mixture of other sizes to fill the progressively smaller voids between the stones. The DOT riprap standards are examples of well graded mixtures (see Figure RR-1).

After determining the riprap size that will be stable under the flow conditions, consider that size to be a minimum and then, based on riprap gradations actually available in the area, select the size or gradations that equal or exceed the minimum size.

Thickness
The minimum thickness of the riprap layer shall be 1.5 times the maximum stone diameter but not less than 12 inches.

Quality of Stone
Individual rock fragments shall be dense, sound and free from cracks, seams and other defects conducive to accel-
erated weathering. The rock fragments shall be angular in shape. The least dimension of an individual rock fragment shall be not less than one-third the greatest dimension of the fragment. The stone shall be of such quality that it will not disintegrate on exposure to water or weathering, be chemically stable, and shall be suitable in all other respects for the purpose intended. The bulk specific gravity (saturated surface-dry basis) of the individual stones shall be at least 2.65.

Note: DOT Standard Specifications do not accept rounded stone or broken concrete for riprap.

**Riprap at Outlets**

Design criteria for sizing the stone and determining the dimensions of riprap pads used at the outlet of drainage structures are contained in the **Outlet Protection** measure. A properly designed bedding, filter, and/or geotextile underlining is required for riprap used as outlet protection. Where the native material meets the requirements for granular free draining bedding material, no additional filter or geotextile is required.

**Riprap for Channel Stabilization**

Riprap for channel stabilization shall be designed to be stable for the condition of bank-full flow in the reach of channel being stabilized (see **Permanent Lined Waterway** measure). The design procedure, which is extracted from the Federal Highway Administration’s Design of Roadside Channels with Flexible Linings, is one accepted method. Other generally accepted published methods may be used.

Riprap shall extend up the banks of the channel to a height equal to the design depth of flow or to a point where vegetation can be established to adequately protect the channel.

The riprap size to be used in a channel bend shall extend upstream from the point of curvature a minimum of 0.4 times the water surface width, and downstream from the point of tangency a distance of at least 5 times the channel bottom width. The riprap may extend across the bottom and up both sides of the channel or only protect the outside bank, depending upon specific design requirements.

Where riprap is used only for bank protection and does not extend across the bottom of the channel, riprap shall be keyed into the bottom of the channel to a minimum additional depth equal to 1.5 times the maximum size stone (see **Figure RR-4**).

For riprapped and other lined channels, the height of channel lining above the design water surface shall be based on the size of the channel, the flow velocity, the cur-
nature, inflows, wind action, flow regulation, etc. (see Figure RR-5).

The height of the bank above the design water surface varies in a similar manner, depending on the above factors plus the type of soil.

**Riprap for Slope Stabilization**

Riprap for slope stabilization shall be designed so that the natural angle of repose of the stone mixture is steeper than the gradient of the slope being stabilized (see Figure RR-6 for angles of repose for various shaped riprap).

**Riprap for Lakes and Ponds Subject to Wave Action**

Riprap may be used for shoreline protection on lakes and ponds subject to wave action. The waves affecting the shoreline may be wind-driven or created by boat wakes.

For more in-depth design criteria concerning these installations, see the U.S. Army Corps of Engineers Shore Protection Manual (59) or U.S.D.A. Natural Resources Conservation Service Technical Release # 69 Riprap for Slope Protection Against Wave Action.

**Filter Blankets or Bedding**

A filter blanket or bedding is a layer of material placed between the riprap and the underlying soil surface to prevent soil movement through the riprap.

Filter blankets or bedding should always be provided where seepage from underground sources threatens the stability of the riprap.

A filter blanket or bedding can be either granular stone layer(s), a geotextile or both. A determination of the need for a filter blanket is made by comparing particle sizes of the overlying material and the underlying material in accordance with the criteria below.

1. Granular filter layer: A granular (stone) bedding is a viable option when the following relationship exists:

\[
\frac{d_{15\text{ filter}}}{d_{85\text{ base}}} < 5 < \frac{d_{15\text{ filter}}}{d_{15\text{ base}}} < 40
\]

and,

\[
\frac{d_{50\text{ filter}}}{d_{50\text{ base}}} < 40
\]

In some cases, more than one layer of filter material may be needed. In these cases, filter refers to the overlying material and base refers to the underlying material. The relationships must hold between the filter material and the base material and between the riprap and the filter material. Each layer of filter material shall be a minimum of 6 inches thick.

2. **Geotextile (Specifically Intended to Prevent Piping)**: May be used in conjunction with a layer of coarse aggregate. The geotextile shall not be used on slopes steeper than 1-1/2 : 1 as slippage may occur. The following particle size relationships must exist:

   a) For geotextile adjacent to base materials containing 50% or less (by weight) of fine particles (less than 0.075mm):
      i) \(\frac{d_{85\text{ base (mm)}}}{EOS\text{ geotextile(mm)}} > 1\)
      where EOS = Equivalent Opening Size to a U.S. Standard Sieve Size
      ii) Total open area of geotextile is less than 36%.

   b) For geotextile adjacent to all other soils:
      a) EOS less than U.S. Standard Sieve No. 70.
      b) Total open area of geotextile is less than 10%.

No geotextile should be used with an EOS smaller than U.S. Standard Sieve No. 100.

3. Additional design criteria for geotextiles are contained in the latest edition of *Designing with Geosynthetics* by Robert M. Koerner.
Riprap (RR)

NOTES:
1. FOR A CHANNEL OR RIVER APPLICATION THE TOTAL HEIGHT OF RIPRAPS REVETMENT IS DEPENDENT UPON THE DESIGN DEPTH OF FLOW PLUS FREEBOARD.
2. FOR A SHORELINE APPLICATION THE TOTAL HEIGHT OF RIPRAPS REVETMENT IS DEPENDENT UPON THE DESIGNED WAVE HEIGHT PLUS FREEBOARD.
3. SIDE SLOPES STEEPER THAN 2:1 WILL REQUIRE ADDITIONAL ANALYSIS.

TYPICAL CROSS-SECTION OF RIPRAPS REVETMENT (NOT TO SCALE)

Source: USDA-NRCS

Figure RR-4 Riprap for Channel and Shoreline Stabilization

Top of Bank/Original Ground Line
Filter Blanket or Bedding Layer 6" Minimum Thickness
T = Riprap Layer Thickness
T = 1.5 Times the Maximum Stone Size But Not Less Than 12 Inches.
Properly Designed Geotextile (If Required)
Stream Bottom or Submerged Ground at Shore Line
1.5T or Lowest Anticipated Depth of Scour

NOTES:
1. FOR A CHANNEL OR RIVER APPLICATION THE TOTAL HEIGHT OF RIPRAPS LINING IS DEPENDENT UPON THE DESIGN DEPTH OF FLOW PLUS RUNUP DUE TO CHANNEL CURVATURE PLUS FREEBOARD.
2. IN CHANNELS WITH SIGNIFICANT BOTTOM WIDTHS, LOW FLOW CHANNELS MAY BE INCORPORATED IN THE TEMPLATE.
3. SIDE SLOPES STEEPER THAN 2:1 WILL REQUIRE ADDITIONAL ANALYSIS.

TYPICAL CROSS-SECTION OF RIPRAPS LINED CHANNEL (NOT TO SCALE)

Source: USDA-NRCS

Figure RR-5 Riprap for Armored Channel Stabilization

Bottom Width

Source: USDA-NRCS

2002 Connecticut Guidelines for Soil Erosion and Sediment Control
Installation Requirements

Subgrade Preparation
Prepare the subgrade for the riprap, bedding, filter or geotextile to the required lines and grades. Compact any fill required in the subgrade to a density approximating that of the surrounding undisturbed material. Remove brush, trees, stumps and other objectionable material.

Geotextile
For geotextile filters, use only geotextiles that were stored in a clean dry place, out of direct sunlight, with the manufacture’s protective cover in place to insure the geotextile was not damaged by ultraviolet light. Place the geotextile in accordance with the manufacturer’s recommendations.

Filter Blanket or Bedding
Immediately after slope preparation, install the filter or bedding materials. Spread the filter or bedding materials in a uniform layer to the specified depth. Where more than one distinct layer of filter or bedding material is required, spread the layers so that there is minimal mixing between materials.

Stone Placement
Immediately after placement of the filter blanket, bedding and/or geotextile, place the riprap to its full course thickness in one operation so that it produces a dense well-graded mass of stone with a minimum of voids. The desired distribution of stones throughout the mass may be obtained by selective loading at the quarry, controlled dumping of successive loads during final placing, or by a combination of these methods. Do not place the riprap in layers or use chutes or similar methods to dump the riprap which are likely to cause segregation of the various stone sizes.

Take care not to dislodge the underlying material when placing the stones. When placing riprap on a geotextile take care not to damage the fabric. If damage occurs, remove and replace the damaged sheet. For large stone, 12 inches or greater, use a 6-inch layer of filter or bedding material to prevent damage to the material from puncture.

Ensure the finished slope is free of pockets of small stones or clusters of large stones. Hand placing may be necessary to achieve the required grades and a good distribution of stone sizes. Ensure the final thickness of the riprap blanket is within plus or minus 0.25 of the specified thickness.

Maintenance
Inspected periodically to determine if high flows have caused scour beneath the riprap or filter blanket or dislodged any of the riprap or filter blanket materials. Once a riprap installation has been completed, it should require very little maintenance. Periodic removal of large trees may be required to insure the integrity of the riprap.
5-Stabilization Structures

Gabions (G)

Definition
Flexible wire mesh baskets composed of rectangular cells filled with riprap or other selected (hard, durable) rock.

Purpose
- To protect soils from the erosive forces of concentrated runoff or wave action.
- To slow the velocity of concentrated runoff.
- To stabilize slopes.

Applicability
For use in channels, stream deflectors, grade control structures, revetments, retaining walls, abutments, stonecheck dams, and similar installations.

Planning Considerations
Gabions are used where erosion potential is high. Therefore, construction must be sequenced so that the gabions are constructed with the minimum possible delay. A pH below 5 for the soil and water may determine whether an additional protective coating is required for the wire.

Design Criteria

General
The design shall be in accordance with accepted engineering practices. Geotextiles and filter blankets used with the gabions shall be designed for specific soil conditions and rockfill sizes. See Riprap measure for geotextile, bedding and filter blanket requirements. Figure G-1 and Figure G-2 show the use of gabions for retaining walls and revetments, respectively.

Materials
Minimum material specifications shall meet the requirements of DOT Standard Specifications Section 7.04, entitled “Gabions” and of the manufacturer. Materials may alternately conform to the most recent version of the USDA Natural Resources Conservation Service Construction Specification 64 entitled “Wire Mesh Gabions and Mattresses.” For aesthetic purposes facing stone may be rounded or otherwise shaped, providing it is larger than the largest gabion mesh opening.

Installation Requirements
Installation shall be in accordance with either DOT Standard Specifications Section 7.04, or the USDA Natural Resources Conservation Service Construction Specification 64.

Maintenance
Periodic inspection for signs of corrosion of wire, undercutting or excessive erosion at transition areas is essential and repair must be carried out promptly.
Figure G-1  Gabion Use for Streambank Protection and Retaining Walls

NOTES:
1. SIZES OF INDIVIDUAL GABION BASKETS TO BE DETERMINED ON A CASE BY CASE BASIS.
2. ‘H’ AND ‘B’ DIMENSIONS INDIVIDUALLY DESIGNED BASED UPON EARTH AND HYDROSTATIC LOADINGS.
3. FOUNDATION BEARING CAPACITY TO BE CONFIRMED IN THE DESIGN PROCESS.
4. WIRE BASKETS SHALL CONFORM WITH CT DOT SPECIFICATIONS SECTION 7.04 OR USDA NRCS SPECIFICATION 64.
5. PROPERLY DESIGNED PERVEROUS BACKFILL AND GEOTEXTILE MAY BE REQUIRED TO RELIEVE HYDROSTATIC PRESSURES AND PREVENT PIPING OF FINE SOIL PARTICLES.
6. THE BOTTOM OF THE BASE BASKET SHALL BE AT LEAST THE DEPTH OF ANTICIPATED SCOUR.
   THE FACE OF BOTTOM BASKETS MAY REQUIRE GROUT OR GEOTEXTILE PROTECTION TO PREVENT ABRASION AND INCREASE GABION WIRE LONGEVITY.
7. STREAM BOTTOM MAY REQUIRE RIPRAP OR GABION MATTRESS FOR STABILITY.
8. PROVIDE SAFETY BARRIERS IF REQUIRED BY CODE.

Source: USDA-NRCS
Figure G-2  Gabion Revetment

TYPICAL CROSS SECTION

NOTE:
1. SIZE OF ANCHOR OR TOE BASKET TO BE DETERMINED ON A CASE-BY-CASE BASIS. THE BOTTOM OF THE BASKET SHALL BE AT LEAST THE DEPTH OF ANTICIPATED SCOUR.
2. THE TOTAL HEIGHT OF GABION REVETMENT IS DEPENDENT UPON THE DESIGN FLOW DEPTH PLUS TREEBOARD.
3. FACE OF ANCHOR BASKET AND LOWER REVETMENT BASKETS MAY REQUIRE GROUT OR QUITE PROTECTION TO PREVENT ABRASION AND INCREASE GABION WIRE LONGEVITY.
4. STREAM BOTTOM MAY REQUIRE RUPRIAP OR GABION MATTRESS FOR STABILITY.
5. WIRE BASKETS SHALL CONFORM WITH CT DOT SPECIFICATIONS SECTION 7.04 OR USDA SPECIFICATION 64.

Source: USDA-NRCS
Planning Considerations
Consider potential problems caused by frost heaving and ice affecting the function of the permanent slope drain. Also consider existing downstream erosion and hydraulic conditions to ensure that problems are neither created nor made worse.

When coordinating with other construction activities remember the construction sequence for a permanent slope drain must begin from the outlet and proceed to the inlet, installing outlet protection first.

Design Criteria
Design the permanent slope drain according to generally accepted engineering standards (e.g. the SCS National Engineering Handbook – Part 650, DOT Drainage Manual).

Unstable conditions downstream shall not be created by the permanent slope drain. Outlet energy dissipation structures shall be provided to prevent downstream erosion (see Outlet Protection measure).

Assess downstream tailwater conditions to ensure adequate capacity at the outlet of the permanent slope drain.

When designing a permanent slope drain to correct an existing slope failure, a water handling plan is necessary. It may include the installation of a temporary pipe slope drain (see Temporary Pipe Slope Drain measure) or temporary lined chute (see Temporary Lined Chute measure), dewatering measures and the installation of other temporary soil erosion and sediment controls.

Installation Requirements
1. Install and maintain temporary soil erosion and sediment controls. Implement a water handling plan as site conditions may dictate.
2. Begin construction at the outlet installing outlet protection and placing the structure on or within undisturbed soil or on well compacted fill, according to generally accepted engineering standards. Continue construction to the inlet.
3. Stabilize all disturbed areas upon completion of construction of the permanent slope drain.

Maintenance
During construction of the permanent slope drain inspect associated temporary erosion and sediment controls at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for failure. Make repairs and adjustment to erosion controls as needed.

Inspect the completed permanent slope drain annually and after each major storm for damage and deterioration. Repair damages immediately. Ongoing maintenance should include removal of debris around the inlet and outlet to the structure, and periodic removal of road sands, sediment and debris.
**Permanent Slope Drain (PSD)**

**Figure PSD-1 Example of Permanent Slope Drain**

- **PLAN VIEW**
  - N.T.S.
  - Expansion Joint with Dowels (As Needed)
  - Welded Wire Fabric 6x6-10x10
  - Slope Varies—not steeper than 1.5:1
  - Concrete
  - Anchor Lug (Space @ 10' O.C.)
  - Downstream Cutoff Wall
  - Width Varies
  - 6" Thick Free Draining Filter Blanket
  - Geotextile (If Required)

**SECTION A-A**
- N.T.S.
- Note: Energy dissipator needed at outlet (see outlet protection measures)

"10' - 0' C-C Maximum Typical Spacing Between Anchor Lug. Where cutoff wall is not required, anchor lug shall be a maximum of 2' from end of channel.

**MARK** | **SIZE** | **LENGTH** | **SHAPE** | **SPACING**
--- | --- | --- | --- | ---
#4 | 1'-8" | Straight | 6" |
#4 | 1'-8" | Straight | 6" |
Dowel | 1'-6" | Straight | 6" |

Source: USDA-NRCS
Planning Considerations
The nature of existing and future fisheries resources should be considered and may dictate design criteria. For example, to provide fish passage, a series of smaller structures that do not prevent fish passage may be needed in place of one larger structure. Alternately, the design of the structure may include a fish ladder.

In urban and developing areas, safety concerns should be addressed. These may involve the installation of fencing, trash racks or other appropriate barriers to protect the public.

In highly visible public areas and those associated with recreation, careful consideration should be given to landscape resources. Land forms, structural materials, water elements, and plant materials should visually and functionally complement their surroundings. Excavated material and cut slopes should be shaped to blend with the natural topography. Exposed concrete surfaces may be formed to add texture or finished to reduce reflection and to alter color contrast. Site selection can be used to reduce adverse impacts or create desirable focal points. See Figure CSS-1 for an example of a grade stabilization structure.

Design Criteria
Drop spillways shall be designed according to accepted engineering practice. Spillway stability shall consider bearing capacity and resistance to flotation, sliding and overturning.

The minimum design storm shall be a 10-year frequency storm of 24-hour duration. Local and state highway facilities may require a higher design storm. If pre-development flooding problems exist, or if the consequences of flooding are severe, or if drainage systems which convey larger storms converge with the channel drained by the drop spillway, consideration should be given to increasing the capacity beyond the 10-year frequency.

Structures shall not create unstable conditions upstream or downstream.

Toe wall drop structures can be used if the vertical drop is 4 feet or less, flows are intermittent, downstream grades are stable, and tail water depth at design flow is less than one-third the vertical drop.

The capacity of the structure in relation to existing road culverts falls within the jurisdiction of responsible road authority.

Installation Requirements
1. Prior to construction, develop a water handling plan and, as may be necessary, seek approval by the regulating agency.
2. Implement the water handling during construction.
3. Construct the structure in accordance with the approved design.
4. Stabilize all areas disturbed during construction as necessary to prevent erosion.

Maintenance
Inspect the structure daily during construction for adequacy of the water handling plan and erosion and sediment controls. Inspect the completed structure annually and after each major rainfall for damage and deterioration. Repair damage immediately. Ongoing maintenance should include removal of debris around the structure.
Figure CSS-1  Example of Grade Stabilization Structure
5-Stabilization Structures

Temporary Lined Chute (TC)

Definition
A temporary channel constructed with a non-erosive material, such as concrete, bituminous concrete, riprap, sacked concrete, gabions, half round pipes, revetment erosion control mats with cement grout or similar materials used to carry concentrated runoff down a slope.

Purpose
To temporarily convey concentrated storm water runoff down a slope without causing erosion problems on or below the slope.

Applicability
- For drainage areas less than or equal to 36 acres.
- Where the intended use is less than one year.
- For protection of disturbed cut or fill slopes where planned vegetative cover is not established and/or permanent drainage controls have not been completed.
- On slopes no steeper than 1.5:1 and no flatter than 5:1. For slopes flatter than 5:1 use Temporary Lined Channel, Vegetated Waterway or Permanent Lined Waterway where appropriate.

Planning Considerations
Temporary lined chutes should be planned and installed along with, or as part of, other erosion control practices in an overall surface water control plan. If the chute is anticipated to be needed for more than 1 year use Permanent Lined Waterway measure, Permanent Slope Drain measure, or consider revising the sequence of construction to eliminate the need for a temporary lined chute. For drainage areas less than 5 acres the Temporary Pipe Slope Drain measure may be used as an alternative to a temporary lined chute. If the drainage area exceeds 36 acres then either split the drainage area or use alternate measures such as Permanent Lined Waterway measure.

Channel Linings
The lining shall consist of riprap, bituminous concrete or other comparable non-erodible material as described below. Design temporary chute linings with inlet and outlet protection to prevent erosion, to withstand the loading imposed by site conditions, and to meet durability requirements for the proposed maintenance program. Provide for adequate filter blankets, geotextile, or both, for these types of channel linings.

(a) Riprap shall be designed in accordance with the Riprap measure.

(b) Bituminous concrete linings shall be designed with a minimum thickness of 2 inches and in accordance with accepted engineering practices for structural adequacy.

(c) Portland Cement Concrete shall be 2500 PSI minimum with 2.5 inches minimum thickness.

(d) Sacked concrete shall be designed for both structural and hydraulic stability.

(e) Gabions shall be designed in accordance with the Gabions measure.

Design Criteria

Slope Limitations
Temporary lined chutes shall be designed for placement on undisturbed or well compacted slopes that are not steeper than 1:1.5 and not less than 5:1.

Sizing Limitations
Design criteria are divided into two groups depending on the size of the drainage area. Group A is limited to a maximum area of 18 acres, and Group B may be used for drainage areas between 14 and 36 acres. Within each group the height of the lining at the entrance, depth of the chute down the slope, and length of the inlet and outlet sections are constant (See Figure TC-1). These are determined by the selection of a bottom width. The bottom width of the chute is dependent upon the size of the drainage area involved.

Use Figure TC-1 to determine the sizing requirements for chute and associated group based on drainage area and proposed bottom width.

The selected size shall be identified in the E&S plan. For dimensions, grades, and construction details of concrete chutes see Figure TC-2.
Erosion control blankets and turf reinforcement mats, when used, shall be designed in accordance with manufacture’s recommendations.

Inlet Design

(a) The top of the earth lining at the entrance to the chute shall not be lower at any point than the top of the lining at the entrance of the chute (“H” as shown in Figure TC-2).

(b) The lining of the side slopes at the chute entrance shall extend the distance H above the lining invert as shown in Figure TC-2.

(c) The entrance floor at the upper end of the chute shall have a minimum slope toward the outlet of 0.25 inch per foot.

(d) Design the cutoff wall at the entrance so that it is continuous with the lining.

Outlet Design

The minimum requirements for outlet protection are shown in Figure TC-2. Verify adequacy of outlet stabilization using Outlet Protection measure. Design the cutoff wall at end of the discharge aprons so that it is continuous with the lining.

Installation Requirements

1. Install the chute on undisturbed soil, if possible, or if not possible, on well compacted fill.

2. Begin construction of the chute at its lower end. Compact or place the lining so that it is free of voids and reasonably smooth.

3. Construct the cutoff walls at the entrance and at the end of the discharge aprons so that they are continuous with the lining.

4. Stabilize all areas disturbed by construction immediately after work is completed.

Maintenance

Inspect the temporary lined chute at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater for erosion damage. Repair as needed. If repeated failure occurs, check design limitations and installation requirements. Correct deficiencies as needed.

Prevent construction traffic across the chute and avoid the placement of any material on the chute.
Temporary Lined Chute (TC)

SLOPE VARIES, NOT STeeper THAN 1.5:1 AND NOT FLATTER THAN 5:1

CHUTE SIZE IS DESIGNATED WITH A LETTER AND A NUMBER, SUCH AS A-6, WHICH MEANS SIZE GROUP A WITH A 6 FT. BOTTOM WIDTH (b). FOR STRUCTURE DIMENSIONS, SEE TABLE.

<table>
<thead>
<tr>
<th>DIMENSION</th>
<th>SIZE GROUP</th>
</tr>
</thead>
<tbody>
<tr>
<td>H_min</td>
<td>1.5'</td>
</tr>
<tr>
<td>d_min</td>
<td>8&quot;</td>
</tr>
<tr>
<td>L_min</td>
<td>6.0'</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
Planning Considerations
Temporary pipe slope drains should be planned and installed along with, or as part of, other conservation practices in an overall surface water disposal system. This measure should be used only for the temporary conveyance of water and consideration should be given to the final stabilization of the area during the initial planning stages. Temporary pipe slope drains are commonly used in conjunction with temporary diversions (see Diversion Functional Group) which direct water to the drain.

Design Criteria
The maximum allowable drainage area per drain is 5 acres.

Material used in the temporary pipe slope drain shall be heavy duty flexible (see Figure TSD-2) or rigid conduit (see Figure TSD-3) designed for the purpose with hold down grommets or rigid pipe supplied with anchors. Additionally, use only one size pipe for any single installation.

The bottom of the pipe slope drain shall be flush with the toe of the diversion berm (see Figure TSD-3).

The pipe slope drains shall be sized according to Figure TSD-1 and shall be provided with watertight fittings.

Water directed into the temporary slope drain shall be in accordance with temporary diversion measures found in the Diversion Functional Group, where applicable. However, at a minimum, the height of the berm at the centerline of the inlet shall be equal to the diameter of the pipe (D) plus 12 inches. Where the berm height is greater than 18 inches at the inlet, it shall be sloped 3:1 or flatter.

The area immediately below the outlet of the pipe slope drain shall be protected from erosive discharges with appropriate energy dissipators. For drainage areas greater than 1 acre, hay bale check dams and geotextile silt fences are not appropriate.

Installation Requirements
1. Install a temporary pipe slope drain on a cut or a stable fill slope during or immediately after construction of diversion berms.
2. Stabilize the area from the top of the berm, around and under the entrance section of the drain to prevent erosion and piping failure at the inlet.
3. Anchor the pipe slope drain securely. Space anchors a maximum of 10 feet on center.
4. Securely fasten the sections of pipe together with watertight fittings.

Maintenance
Inspect the temporary pipe slope drain at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs. Repair damage as necessary. Avoid the placement of any material on top of the pipe and prevent vehicular traffic from crossing the slope drain.
Figure TSD-2 Example of Temporary Pipe Slope Drain
CONSTRUCTION SPECIFICATIONS

1. The pipe slope drain shall have a slope of 3% or steeper.
2. Top of the earth dike over the inlet pipe and all dikes carrying water to the pipe shall be at least 1 foot higher than the top of the pipe.
3. Add 0.3 foot to dike height for settlement.
4. Soil around and under the slope pipe shall be hand tempered in 4-inch lifts.
5. The pipe shall be plastic or corrugated metal pipe with watertight 12-inch wide connecting bands or flange connections.
6. Pipe anchors to be placed at 10-foot maximum spacing.
7. Riprap to be 6 inches in a layer at least 12 inches thickness and pressed into the soil.
8. Periodic inspection and required maintenance must be provided after each rain event.
Planning Considerations

The measures included in this group are Vegetated Waterway, Temporary Lined Channel, Permanent Lined Waterway and Temporary Stream Crossing.

Vegetated Waterways are limited to drainage areas of 50 acres or less and are used where they can carry the peak flow from a 10-yr frequency, 24-hr duration storm without erosion. They are not intended for Waterways with perennial flow. They may be used with Permanent Turf Reinforcement Matting for added protection.

Temporary Lined Channels are limited to drainage areas no greater than 100 acres when the flow line of the channel is 2% or greater. If the flow line is less than 2%, then the contributing area can be increased to 1 square mile. The measure requires performing a risk assessment that is based upon one developed by the Connecticut Department of Transportation in its Drainage Manual to determine the design standards for the channel. It requires a minimum design standard of a 2-year frequency, 24-hour duration storm. It is limited to a maximum of 2 years intended use.

The Permanent Lined Waterway is used when the limitations of the Vegetated Waterway and Temporary Lined Channel are exceeded. However, its application is also limited to a maximum design discharge of 200 cfs. It requires a minimum design standard of a 10-year frequency, 24-hour duration storm, although higher design standards may be required by regulating agencies.

The Temporary Stream Crossing is similar to the temporary lined channel in that it also requires performing a risk assessment to establish the design standard and has a drainage limitation of 1 square mile. It also requires a minimum design standard of a 2-year frequency, 24-hour duration storm. However, its intended use is up to 3 years rather than 2 years.

Plan to avoid the construction of any measure within this group when the local weather forecast predicts rainfall to occur during the time of construction. Local forecasts may be obtained by listening to local radio and television stations, the National Weather Service broadcasts (162.400 MHz for CT generally, 162.475 MHz for northeastern CT, 162.550 MHz for southeastern and southwestern CT and 162.500 MHz for northwestern CT) or from the Internet at http://www.nws.noaa.gov.
Planning Considerations
Sequence and schedule construction to ensure the vegetation within the waterway is established before it is used to convey flow. Also, the drainage area contributing to the waterway must be stabilized with proper erosion and sediment controls installed to prevent sedimentation of the waterway. Repeated erosional failures of the waterway can be expected if these two conditions are not addressed. Consider using other measures such as Sodding, Temporary Diversion, Permanent Turf Reinforcement Mat (including a three dimensional geosynthetic turf reinforcement), Subsurface Drain (to permit the growth of suitable vegetation and to eliminate wet spots), grade stabilization structures and other management practices (e.g. irrigation) to hasten the establishment of the grass cover.

Give consideration to channel width, side slopes, and depth as they affect the use of maintenance equipment. For areas to be mowed, the steepest recommended slope is 3:1.

Design Criteria
Peak Runoff
Design the vegetated waterway according to generally accepted engineering standards (e.g. the NRCS National Engineering Handbook - Part 650, DOT Drainage Manual).
Design the minimum runoff to safely carry the peak flow expected from a 10-year frequency, 24-hour duration storm or lesser duration storm where the storm duration exceeds the time of concentration. If a contributing drainage system is designed to a design standard greater than the 10-year frequency storm, then design the vegetated waterway to that higher standard. If pre-development flooding problems exist or if the consequences of flooding are severe, then consider increasing the capacity beyond the 10-year frequency storm. If drainage systems which convey larger frequency storms converge with the waterway, design the waterway to the same design frequency as the contributing drainage system.

Compute the velocity and capacity using Manning’s formula and the Continuity Equation.

Velocity
Design the waterway so that the peak velocity from the design frequency storm shall not exceed the maximum permissible velocity for a vegetative lining given in Figure VW-1. Determine the maximum permissible velocity for design flow by the most erodible soil texture exposed and the type of vegetation expected and maintained in the channel.

Determine the minimum capacity and maximum velocity by using the appropriate vegetative retardant factors listed in Figure VW-2.

Dimensions
To select channel dimensions use Figure VW-5 through Figure VW-18.

Base the dimensions of the waterway on: the minimum capacity, the channel slope, the maximum permissible velocity, the vegetation, the soil; ease of crossing and maintenance; and site conditions such as water table, depth to rock or possible sinkholes.
The minimum top width shall be 5 feet. The maximum bottom width of a vegetated waterway is 15 feet unless multiple or divided waterways, stone center, or other means are provided to control the meandering of low flows.

**Cross Section Design**

**Trapezoidal and “v-shaped” waterways** are often used where space is limited.

**Parabolic waterways** are often used where space is available for a wide, shallow channel with low velocity flow. Stone center waterways should be used where higher velocities or persistent flows are expected.

**Vegetated waterways** with stone centers (see Figure VW-3) are useful where there is a persistent but not permanent low flow in the channel. For a channel designed to a 10-year frequency storm, the stone center shall be wide enough to safely pass a 2-year frequency storm. For a channel designed to a 25-year frequency storm, the stone center shall be wide enough to safely pass a 10-year frequency storm. The stone center shall have 6 inches of gravel bedding or a properly designed geotextile under the stone. If the $d_{75}$ of the stone is 8 inches or greater then a bedding over the geotextile shall be considered in the design to protect the geotextile from puncture during stone placement. The $d_{75}$ of the stone shall be determined from HEC-15. The minimum $d_{75}$ size shall be 3 inches. The $d_{100}$ size shall be 1.5 times the $d_{75}$ size. The $d_{15}$ size shall be 3 inches or one third the $d_{75}$ size, whichever is larger. The stone center shall have a minimum thickness of 12 inches or the $d_{100}$ size, whichever is larger. The stone shall be hard and durable.

**Grading**

Require the grading of all areas adjacent to the waterways to drain toward the waterway.

**Outlet**

The outlet shall be stable for the design storm discharge without erosion or flood damage.

**Permanent Cover**

Establish a permanent vegetative cover on all vegetated waterways in accordance with the measure for

---

**Figure VW-1 Maximum Permissible Velocity (ft./sec.)**

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>Poor</th>
<th>Fair</th>
<th>Good</th>
<th>Stone Center</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sand, silt loam, sandy loam, loamy sand, loam and muck</td>
<td>2.0</td>
<td>2.5</td>
<td>3.5</td>
<td>8.0</td>
</tr>
<tr>
<td>Silty clay loam, sandy clay loam, clay, clay loam, sandy clay, silty clay</td>
<td>3.0</td>
<td>4.0</td>
<td>5.0</td>
<td>8.0</td>
</tr>
</tbody>
</table>

1For channels with geosynthetic turf reinforcement, permissible velocities shall be designed on a product-specific basis and for long duration flows (>24 hours).

Source: USDA-NRCS
Permanent Seeding or Sodding Where the permanent vegetative cover is established by seeding, extend the seeding to at least the design top width and include any other areas disturbed by construction activities. For seeded channels with no stone centers use Temporary Erosion Control Blanket measure to hold seed in place and protect root bases from scour during the establishment period.

Installation Requirements
Check weather forecasts to ensure a storm is not predicted during the time of construction. Delay construction until after the threat of rainfall has passed.

Site Preparation
Remove all trees, brush, stumps and other unsuitable materials and dispose of properly so as not to interfere with construction or proper functioning of the waterway.

Begin construction at the outlet installing outlet protection and continue construction to the inlet.

Excavate or shape the channel to the design grade and cross-section.

Compact any fills and rills to prevent unequal settlement.

Remove any excess soil.

For a waterway stabilized with permanent seedings, prepare the seedbed in accordance with the requirement of the Permanent Seeding measure. For a channel sta-

---

### Figure VW-2 Vegetative Retardant Factors and Manning’s “n” Value

<table>
<thead>
<tr>
<th>Range of Vegetation Height During Different Periods of the Year</th>
<th>Vegetative Retardant Factors For Determining Minimum Capacity</th>
<th>Vegetative Retardant Factors For Determining Maximum Allowable Velocity</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Good Stand</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>between 6” and 1”</td>
<td>D</td>
<td>E</td>
</tr>
<tr>
<td>between 10” and 2”</td>
<td>C</td>
<td>D</td>
</tr>
<tr>
<td>between 24” and 2”</td>
<td>B</td>
<td>D</td>
</tr>
<tr>
<td><strong>Fair or Poor Stand</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>between 10” and 1”</td>
<td>D</td>
<td>E</td>
</tr>
<tr>
<td>between 24” and 2”</td>
<td>C</td>
<td>D</td>
</tr>
<tr>
<td>between 30” and 2”</td>
<td>B</td>
<td>D</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS

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### Figure VW-3 Diagram of Vegetated Waterway with Stone Center

Source: USDA-NRCS
Figure VW-4  Determination of Rock Size for Stone Center Waterway

Design Depth “D” in Feet  \( d_{75} \) of the rock in inches  Slope “S” of drain in %

EXAMPLE - "D" = 1.0 Feet  "S" = 5%  
Place straight edge at "D" value in Design Depth Column and at "S" value in Slope Column. Read \( d_{75} \) Rock Size in middle column as 7.9 inches; say 8 inches.

Source: USDA-NRCS
Figure VW-5  Solution of the Manning Formula for Retardant B (High Vegetative Retardant)

Source: USDA-NRCS
Figure VW-6  Solution of the Manning Formula for Retardant C (Moderate Vegetative Retardant)

Source: USDA-NRCS
Figure VW-7  Solution of the Manning Formula for Retardant D (Low Vegetative Retardant)

Source: USDA-NRCS
Figure VW-8  Solution of the Manning Formula for Retardant E (Very Low Vegetative Retardant)

Source: USDA-NRCS
Figure VW-11 Dimensions of Trapezoidal Channels with 5 to 1 Side Slopes

Source: USDA-NRCS

Figure VW-12 Dimensions of Trapezoidal Channels with 3 to 1 Side Slopes

Source: USDA-NRCS
Figure VW-13  Dimensions of Trapezoidal Channels with 2-1/2 to 1 Side Slopes

Source: USDA-NRCS

Figure VW-14  Dimensions of Trapezoidal Channels with 2 to 1 Side Slopes

Source: USDA-NRCS
Figure VW-15  Dimensions of Trapezoidal Channels with 1-1/2 to 1 Side Slopes

Source: USDA-NRCS

Figure VW-16  Dimensions of Triangular Channels

Source: USDA-NRCS
Figure VW-17 Dimensions of Parabolic Channels

Source: USDA-NRCS
Figure VW-18  Solution for Dimensions of Parabolic Channels

EXAMPLE:

\[ d = 2.4' \quad t = 35' \]  \hspace{1cm} \text{(freeboard)}
\[ d = 1.95' \quad t = 32' \]  \hspace{1cm} \text{(max. W.S.)}
\[ d = 1.5' \quad t = 29' \]

NOTE: THIS CHART TO BE USED TO OBTAIN OTHER DIMENSIONS AFTER \( t \) AND \( d \) FOR MAXIMUM FLOW HAVE BEEN DETERMINED (FROM FIG. VW-17)

Source: USDA-NRCS
Planning Considerations
Temporary lined channels differ from temporary diversions in that temporary diversions are intended only to convey stormwater collected from areas no greater than 5 acres and temporary lined channels are intended to convey watercourses from substantially larger areas. Like temporary diversions they assist in isolating off-site flows from construction activities.

Choosing a flexible impermeable geomembrane, such as a plastic sheeting, over other linings is generally dependent upon watershed size, length of use and flow characteristics. When geomembrane applicability limitations are exceeded, use permanent channel linings. While the same channel linings used in the Permanent Lined Waterway measure may be used in this measure, these linings are sufficiently expensive to consider alternate construction methodologies or construction sequences that avoid the need for a temporary lined channel.

No matter the channel lining used, a risk assessment is required to determine proper channel size. The risk assessment used in the Design Criteria provides for a smaller sized channel over that normally required because the time of exposure is limited when using a temporary lined channel. If the time of use for this measure is at all questionable, opt for a more conservative approach. The best approach is to plan construction schedules and sequences so that the need for temporary lined channels is as short as possible to reduce the exposure to storms that exceed the design storm provided by the risk assessment. In any event, if the intended use exceeds 2 years, the channel is no longer considered temporary, and a permanent measure must be utilized.

Design Criteria
Determining the Design Storm
Using a Risk Assessment
The design storm is determined by using the procedures outlined in Appendix J, “Risk Assessment Adapted from CT DOT Drainage Manual.” Using the form “Design Frequency Risk Analysis” assign a value of 1 to each of the factors in the Impact Rating Table except “Property Damage.” Property damage is assessed by predicting the areas that can be damaged should the channel capacity be exceeded or the channel lining fail. This includes an evaluation of potential flood damage upstream or adjacent to the channel and damage downstream to properties and water resources that might receive sediment should the channel fail. The property damage value shall be chosen as follows:

5 points  cropland, parking lots, recreational areas, undeveloped land, forest land
10 points  private or public structures, appurtenances such as sewage treatment systems and water supply areas (public and private well heads and reservoirs), utility structures either above or below ground, trout management
areas, streams stocked by DEP, ponds located immediately downstream before the confluence with other watercourses, wetlands greater than 5 acres in size.

When the assigned risk falls between two design frequency delineations, choose the higher of the two design frequencies. For example a design risk of 30% for 18 months falls between the 3-year and 5-year. Therefore, choose the 5-year design frequency.

Once the design storm is chosen, design the temporary lined channel according to generally accepted engineering standards (e.g., NRCS Field Office Technical Guide - Section IV, the SCS National Engineering Handbook - Part 650, DOT Drainage Manual).

Design the minimum runoff to carry the peak flow expected from a 2-year frequency, 24-hour duration storm without erosion.

**Lining Selection**
Lining is required to protect the channel from erosion and shall be in conformance with the manufacture’s recommendations for use in flow conditions.

**Impermeable geomembranes:** made of plastic sheeting or similar material at least 6 mils thick.

**Permanent Channel Linings:** an erosion resistant lining of concrete, stone, or other permanent material. Required when use exceeds 60 days or the watershed exceeds 100 acres. See **Permanent Lined Waterway** measure for design requirements.

**Installation Requirements**
Check weather forecasts to insure a storm is not predicted during the time of construction. Delay construction until after the threat of rainfall has passed.

**Impermeable Channel Linings**
Shape and prepare the channel to receive the lining.

Remove all rocks, stones, debris, sticks, or any other material exposed that could puncture the lining.

Bury the upper end of the lining in a trench at least 6 inches deep. At least every 40 feet of channel length a fold of the plastic lining shall be buried in a trench at least 6 inches deep. The edges of the lining shall be buried in a 6-inch trench or at least 6 inches of soil mounded over the edges of the plastic.

**Permanent Channel Linings**
See **Permanent Lined Waterway** measure for installation requirements.

**Maintenance**
For temporary channels containing impermeable geomembranes, inspect daily for undercutting of and damage to the lining. Repair and patch as needed. For temporary channels containing permanent channel linings, inspect at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater. Repair as needed.
Planning Considerations

The installation of this measure should come only after the capacity and velocity have been determined by a detailed design. The measure should be installed and stabilized prior to the introduction of flows.

The design of the waterway is based upon the peak volume and velocity of flow expected in the channel. If conditions are appropriate, vegetation, riprap, concrete or combinations thereof may be used. While concrete channels are efficient and easy to maintain, they remove runoff so quickly that channel erosion and flooding may result downstream. Vegetated or riprap channels reduce this problem by more closely duplicating a natural system. See the Vegetated Waterway measure for further discussion of vegetated waterways.

In addition to the primary design considerations of capacity and velocity, a number of other important factors should be taken into account when selecting a cross section and lining. These factors include land availability, compatibility with land use and surrounding environment, safety, maintenance requirements, outlet conditions, soil erodibility factor and tailwater conditions. If a riprap design is chosen, a geotextile or graded filter may be used to act as a separator and stabilizer between the riprap and the subbase. If a concrete lining is chosen, the concrete must be placed on a firm, well drained foundation to prevent cracking or failure. A 6-inch gravel blanket is recommended under a concrete lining.

Plan on installing non-reinforced concrete or mortared flagstone linings only on low shrink-swell soils that are well drained or where subgrade drainage facilities are installed.

On stream bank erosion sites, there are many different structural stabilization techniques which can be used successfully. Good site planning normally requires staying away from streams. Properly designed and installed bank toe protection can provide excellent stabilization for stream banks if bank failure is a problem and site constraints prevent vegetative treatments.

A primary cause of stream channel erosion is the increased frequency of bank-full flows. When designing for stream bank stabilization consider preserving or developing viable aquatic habitats.

When this measure is intended to function as a paved chute or flume then it should be planned and installed along with, or as part of, other conservation practices in an overall surface water conveyance system.

Consideration must be given to protecting structures against buoyancy failures. The potential for buoyancy failure due to hydrostatic uplift forces exists in channels constructed in periodically saturated areas (basically all channels will experience saturation of the subgrade by virtue of the function of the channel) and especially if a submerged outfall condition exists.

Lined chutes or flumes should be utilized and constructed carefully. Field experience has shown a significant number of post construction problems with...
Design Criteria

Discharge
The minimum waterway capacity shall be adequate to carry the peak rate of runoff from a 10-year frequency 24-hour duration storm. Local and state highway facilities may require a higher design standard. If pre-development flooding problems exist, or if the consequences of flooding are severe, or if drainage systems which convey larger storms converge with the channel in question, consideration should be given to increasing the capacity beyond the 10-year frequency.

Waterway capacity shall be computed using Manning’s formula and the Continuity Equation. Note: For help in using these formulas and in choosing Manning’s “n” values see Design Example.

Velocity
Channels should be designed so that the velocity of flow from a 10-year frequency storm shall not exceed the permissible velocity for the type of lining used.

Riprap-lined channels can be designed to withstand most flow velocities by choosing a stable stone size. The procedures for selecting a stable stone size for channels and installation is contained in the Riprap measure. All riprap shall be placed on a geotextile or gravel blanket (see Riprap measure for details). Transition from a riprap lining to vegetative lining must be carefully designed to meet the allowable velocities of each type of lining.

Concrete-lined channels are usually smaller than grass-lined channels and are sometimes referred to as permanent lined chutes or flumes. However, concrete lined channels have flow velocities higher than grassed lined channels and can produce more downstream erosion and flooding. While concrete-lined channels can be designed to carry high flow velocities, be aware that the flow velocity at the outlet of the paved section must not exceed the permissible flow velocity of the receiving channel. Concrete channels shall be at least 4 inches thick and meet all applicable DOT criteria found in DOT Standard Specifications Section 8.05. FHWA HEC 15 also contains information on engineering calculations for channel design.

Design flow depth and maximum velocities should be consistent with Figure PW-1. See Figure PW-10 for an example of a permanent lined chute or flume.

Critical Slope
A critical slope is the slope of the channel bottom required to produce a water surface equal to critical depth. Except for short transition sections, slopes in the range of 0.7 to 1.3 of the critical slope shall be avoided. Lined waterways with velocities exceeding those found at critical depth shall discharge into an energy dissipator to safely reduce velocity to less than the velocity found at critical depth.

Cross Section Design (see Figure PW-5 and Figure PW-6)
“V” shaped channels are generally used where the quantity of water to be handled is relatively small, such as drainageways and roadside ditches. A vegetative lining may suffice where velocities in the ditch are low. For steeper slopes where high velocities are encountered, a riprap, concrete or bituminous concrete lining may be appropriate.

Parabolic channels are often used where the quantity of water to be handled is larger and where space is available for a wide, shallow channel with low velocity flow. Riprap should be used where higher velocities are expected and where some dissipation of energy (velocity) is desired. Combinations of vegetation and riprap (a.k.a. vegetated channel with stone center) are also useful where there is a continuous low flow in the channel (see Vegetated Waterway measure).

Trapezoidal and rectangular channels are often used where the quantity of water to be carried is large and conditions require that it be carried at a relatively high velocity. (Trapezoidal and rectangular channels are generally lined with concrete or riprap.)

Freeboard
Use a minimum freeboard of 0.25 ft. if no out-of-bank damage would be expected. Increase freeboard in areas where high damage can be expected from out of bank flow.

Side Slopes
For unlined and riprap lined channels, the steepest recommended side slopes are 2:1, as shown in Figure PW-2.
**Lining Thickness**

- **Riprap** - maximum stone size + thickness of filter or bedding (see Figure PW-3)
- **Concrete** - 4 inches + 6 inches bedding.
- **Flagstone** - 4 inches including mortar bed + 6 inches bedding

**Contracts Joints**

Contraction joints in concrete linings, if required, shall be formed transversely to a depth of about one-third the thickness of the lining at a uniform spacing in the range of 10 to 15 feet. Provide for uniform support to the joint to prevent unequal settlement.

**Filters or Bedding**

If soil conditions dictate, filters, bedding, and/or geotextiles shall be used to prevent piping. Subsurface drains may be used, as required, to reduce uplift pressure and to collect water. Filters, bedding, geotextiles, and drains shall be designed according to riprap and subsurface drain criteria. Subsurface weep holes shall be used with impervious linings.

**Materials**

- **Riprap and flagstone** shall be of a stone that is dense and hard and durable enough to withstand exposure to air, water, freezing and thawing and be chemically stable (see Riprap measure for further riprap materials requirements). Flagstone shall be flat for ease of placement, and have the strength to resist exposure and breaking.

---

### Figure PW-2 Channel Lining Recommended Side Slopes

<table>
<thead>
<tr>
<th>Lining</th>
<th>Steepest Recommended Side Slope</th>
</tr>
</thead>
<tbody>
<tr>
<td>Riprap</td>
<td>2 to 1</td>
</tr>
<tr>
<td>Non-Reinforced Concrete - Hand placed, formed concrete Height of lining 1.5 feet or less</td>
<td>vertical</td>
</tr>
<tr>
<td>Hand-placed, screened concrete or mortared in-place flagstone Height of lining less than 2 feet</td>
<td>1 to 1</td>
</tr>
<tr>
<td>Height of lining more than 2 feet</td>
<td>2 to 1</td>
</tr>
<tr>
<td>Reinforced slip form concrete - Height of lining less than 3 feet</td>
<td>1 to 1</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS

### Figure PW-3 Riprap Lining Specifications

<table>
<thead>
<tr>
<th>Riprap Specification</th>
<th>Maximum Stone Size</th>
<th>Minimum Thickness</th>
<th>Minimum Bedding Thickness</th>
</tr>
</thead>
<tbody>
<tr>
<td>standard</td>
<td>30 inches</td>
<td>36 inches</td>
<td>12 inches</td>
</tr>
<tr>
<td>intermediate</td>
<td>18 inches</td>
<td>18 inches</td>
<td>6 inches</td>
</tr>
<tr>
<td>modified</td>
<td>10 inches</td>
<td>12 inches</td>
<td>6 inches</td>
</tr>
</tbody>
</table>

Source: DOT Standard Specifications Section M12.02 and DOT Drainage Manual
Concrete used for lining shall be proportioned so that it is plastic enough for thorough consolidation and stiff enough to stay in place on side slopes. A dense durable product shall be required. Specify a mix that can be certified as suitable to produce a minimum strength of at least 3,000 lb./in³ the concrete mix shall contain air entainment. Cement used shall be Portland Types I, II, or if required Types IV or V. See Figure PW-10 for example of a permanent concrete lined chute or flume.

Mortar used for mortared in place flagstone shall consist of a workable mix of cement, sand and water with a water-cement ratio of not more than six gallons of water per sack of cement.

Outlet
The outlet must handle the design flow without flooding or erosion. The outlet shall be stable for the 10-year, 24-hour storm discharge. Outlets of all channels shall be protected from erosion. Transition from a man-made lining, such as concrete and riprap, to a vegetated or non-vegetated lining shall be taken into consideration. Appropriate measures shall be taken to dissipate the energy of the flow to prevent scour of the receiving channels. See Outlet Protection measure.

Related Structures
Side inlets, permanent slope drains, and energy dissipators shall meet the hydraulic and structural requirements for the site.

Installation Requirements

1. Remove and properly dispose of all trees, brush, stumps, roots, obstructions and other unsuitable materials so as not to interfere with construction or proper functioning of the permanent lined waterway.

2. Install temporary erosion and sediment controls to protect the site of the permanent lined waterway from sediment deposition while the contributing drainage area is unstable.

3. Excavate or shape the channel to the proper grade and cross-section. Consider phasing of channel construction in order to minimize time of exposure in lengthy projects.

4. Compact any fills to prevent excessive settlement.

5. Remove and dispose of any excess soil properly.

Riprap-lined Channels
Install riprap in accordance with the Riprap measure.

Concrete-lined Channels
Construct concrete-lined channels in accordance with all applicable DOT specifications. The following items highlight those specifications:

1. Place concrete only when the subgrade is moist.

2. Provide transverse joints for crack control at approximately 10-15 foot intervals and when more than 45 minutes elapse between times of consecutive concrete placements. Make all sections at least 6 feet long. Crack control joints may be formed by using a 0.125 inch thick removable template, by sawing to a depth of at least 0.75 inch, or by an approved “leave in” type insert.

3. Install expansion joints every 100 feet.

Maintenance
Until the contributing drainage area is stabilized, inspect within 24 hours of the end of a storm with a rainfall
Design Procedure
Open Channel Flow
(from FHWA HEC-15)

To calculate the flow in an open channel, assume that the quantity of flow in the channel does not change with time and that the cross-sectional area and slope of the channel remain constant.

1. Determine design discharge \( (Q_d) \) based on hydraulic computations. Use a 10-year frequency discharge.

2. Determine Maximum Permissible Velocity \( (V_{max}) \). See Figure PW-4.

3. Select channel shape and slope. \( (S_o) \).

4. Determine \( d_{max} \) for the selected lining and slope (use HEC-15 Maximum Permissible Depth (MPD) charts). Note: \( d_{max} \) does not apply to concrete lined channels.

5. Calculate area \( (A) \) and hydraulic radius \( (R) \) for the selected channel geometry and \( d_{max} \).

6. Determine Manning’s “n”. Manning’s roughness coefficient, “n”, is determined by the type of channel lining selected. Ranges of “n” factors for various structural linings are listed in Appendix A of “Design Charts for Open-Channel Flow, Hydraulic Design Series No. 3” published by the U.S. Department of Transportation. Generally, for a given lining the lower values should be used to calculate velocity and the higher values should be used to calculate capacity of the channel. See Figure PW-7 for determining Manning’s “n”.

7. Calculate design velocity \( (V_d) \) from \( R \) and \( S_o \) using Manning’s equation or nomographs widely available.

   \[
   V = \frac{1.49}{n} \left( \frac{2}{3} \right) R^{1/2} \quad (\text{Manning’s Equation})
   \]

   Where
   - \( V \) = the average velocity in the channel (ft./sec.)
   - \( n \) = Manning’s roughness coefficient, based upon the lining of the channel.
   - \( R \) = the hydraulic radius (feet) = \( A ÷ wp \)
   - \( A \) = cross sectional area
   - \( wp \) = wetted perimeter.
   - \( S \) = the slope of the channel (ft/ft)

8. Calculate allowable discharge \( (Q_a) \) using the Continuity Equation:

   \[
   Q_a = VA
   \]

   Where
   - \( Q_a \) = the allowable discharge
   - \( V \) = the average velocity in the channel (ft./sec.)
   - \( A \) = cross sectional area of flow

9. Check \( V_d \) against \( V_{max} \). If \( V_d > V_{max} \), select larger channel or use more stable lining.

10. Compare allowable discharge \( (Q_a) \) with design discharge \( (Q_d) \). If \( Q_d >> Q_a \) the channel is over designed. If \( Q_a < Q_d \) the channel is inadequate.
Design Example

Given:  
- \( Q_d = 100 \text{ cfs} \)
- \( S_d \) (slope) = 1%
- Channel shape = Trapezoidal w/ 2:1 side slopes
- \( Z = 2 \) (see Figure VW-14)
- Type of Lining = Concrete w/ float finish
- \( 'n' = 0.013 - 0.017 \) (see Figure PW-7)

Find:  
Channel Size and Depth of Flow

Solution:  
Solve by trial and error. Using the Continuity Equation \( Q = AV \), Manning’s equation \( V = (1.49/n) x R^{2/3} x S^{1/2} \) and the formulas in Figure PW-9.

Try:  
- Bottom Width = 6 ft.
- Depth of Flow = 1.4 ft.

Area \( A = bd + zd^2 = 6 \times 1.4 + 2 \times 1.42 = 12.32 \text{ sq. ft.} \)

Hydraulic Radius \( R = A/\text{wp} \) where \( \text{wp} \) = wetted perimeter

\[ R = (bd + zd^2) / (b + 2d(z^2+1)^{1/2}) = 12.32 / 12.26 = 1.004 \text{ use 1.0} \]

\[ V_d = (1.49 / 0.017) \times 1.0 \times 0.1 = 8.8 \text{ ft./sec.} \]

\[ Q_d = V_d \times 108.4 = 8.8 \times 12.32 = 108.4 \text{ cfs} > Q_d \text{ of 100 cfs, OK.} \]

Use a minimum freeboard of 0.25 ft. if no out of bank damage would be expected. Increase freeboard in areas where high damage can be expected from out of bank flow.

Construction Dimensions:
- Side slopes = 2:1; Bottom Width = 6 ft.; Depth = 1.65 feet;
- Lining thickness = 4 inches;
- Concrete = 3000 psi (air entrained); Cement = Portland Type 1; Aggregate maximum size = 1-1/2 inches; Use contraction joints every 15 feet; use 6 inches gravel bedding under all concrete.

Note: For a riprap lined waterway, see Riprap measure.
Figure PW-5  Waterways with Stone Centers

NOTES:
1. MINIMUM STONE LAYER THICKNESS = SEE FIGURE PW-3.
2. THE TOTAL WATERWAY DEPTH IS DEPENDENT UPON THE DESIGN DEPTH OF FLOW PLUS RUNUP DUE TO CHANNEL CURVATURE PLUS FREEBOARD.

Source: USDA-NRCS

Figure PW-6  Typical Waterway Cross Sections

NOTES:
1. FOR MINIMUM RIPRAP AND BEDDING LAYER THICKNESS SEE FIGURE PW-3.
2. VEGETATIVE TREATMENT WITH OR WITHOUT EROSION CONTROL BLANKETS OR TURF.

Source: USDA-NRCS
For riprap-lined channels, “n” can be determined from the following equation:

$$ n = \frac{1}{(0.0395d_{50})^6} $$

Where,

- $n$ = Manning’s roughness coefficient
- $d_{50}$ = the median size stone in the gradation of riprap (feet)

The following table identifies Manning “n” coefficients for specific linings derived from the USDA-NRCS and the DOT Standard Specifications M.12.02 (riprap).

<table>
<thead>
<tr>
<th>Lining type</th>
<th>“n”</th>
</tr>
</thead>
<tbody>
<tr>
<td>Concrete</td>
<td></td>
</tr>
<tr>
<td>Trowel Finish</td>
<td>0.012 - 0.014</td>
</tr>
<tr>
<td>Float Finish</td>
<td>0.013 - 0.017</td>
</tr>
<tr>
<td>Gunite</td>
<td>0.016 - 0.022</td>
</tr>
<tr>
<td>Flagstone</td>
<td>0.020 - 0.025</td>
</tr>
<tr>
<td>Riprap</td>
<td></td>
</tr>
<tr>
<td>Modified (d$_{50}$=0.42)</td>
<td>0.034</td>
</tr>
<tr>
<td>Intermediate (d$_{50}$=0.67)</td>
<td>0.037</td>
</tr>
<tr>
<td>Standard (d$_{50}$=1.25)</td>
<td>0.041</td>
</tr>
</tbody>
</table>
Figure PW-8  Nomograph for Solution of Manning Equation

Figure PW-9  Channel Geometry Equations

**V - SHAPE**

- CROSS-SECTIONAL AREA \( (A) = Zd \)
- TOP WIDTH \( (T) = 2dZ \)
- HYDRAULIC RADIUS \( (R) = \frac{Zd}{2 \sqrt{Z^2 + 1}} \)

**PARABOLIC SHAPE**

- CROSS-SECTIONAL AREA \( (A) = 2.33Td \)
- TOP WIDTH \( (T) = 1.5 \frac{A}{d} \)
- HYDRAULIC RADIUS \( (R) = \frac{7d}{1.5T + 4d} \)

**TRAPEZOIDAL SHAPE**

- CROSS-SECTIONAL AREA \( (A) = bd + Zd \)
- TOP WIDTH \( (T) = b + 2dZ \)
- HYDRAULIC RADIUS \( (R) = \frac{bd + Zd}{b + 2d \sqrt{Z + 1}} \)

Source: USDA-NRCS
Permanent Lined Waterway (PW)

**Figure PW-10  Example of Permanent Lined Chute or Flume**

**PLAN VIEW**

**SECTION A-A**

**SECTION B-B**

**SECTION C-C**

**MARK** | **SIZE** | **LENGTH** | **SHAPE** | **SPACING**
---|---|---|---|---
(F) | #4 | 1'-8" | STRAIGHT | 6" |
(G) | #4 | 1'-8" | STRAIGHT | 6" |
Dowel | #4 | 1'-6" | STRAIGHT | 6" |

"10'-0" C-C MAXIMUM TYPICAL SPACING BETWEEN ANCHOR LUGS. WHERE CUTOFF WALL IS NOT REQUIRED, ANCHOR LUG SHALL BE A MAXIMUM OF 2' FROM END OF CHANNEL.

N.T.S. 1500 PSI CONCRETE

3000 PSI CONCRETE

CONCRETE FLUME

ANCHOR LUG (SPACE @ 10' O.C.)

ANCHOR LUG (SPACE @ 10' O.C.)

EXPANSION JOINT WITH DOWELS (AS NEEDED)

GEOTEXTILE (IF REQUIRED)

UPSTREAM CUTOFF WALL

UPSTREAM CUTOFF WALL

6" THICK FREE DRAINING FILTER BLANKET

6" THICK FREE DRAINING FILTER BLANKET

6" CLEAR (THROUGH ANCHOR LUG)

6" CLEAR (THROUGH CUTOFF WALL)

NOTE: ENERGY DISSIPATOR NEEDED AT OUTLET (SEE OUTLET PROTECTION MEASURES)

N.T.S.

Width Varies

Width Varies

Width Varies

Source: USDA-NRCS

2002 Connecticut Guidelines for Soil Erosion and Sediment Control
Planning Considerations
Temporary stream crossings are necessary to prevent construction vehicles from damaging stream banks and continually tracking sediment and other pollutants into the stream. However, these structures are also undesirable in that they represent a channel constriction which can cause flow backups or washouts during periods of high flow. For this reason, the temporary nature of stream crossings is stressed. They should be in place for the shortest practical period of time and be removed as soon as their function is completed.

The specifications contained in this measure pertain primarily to flow capacity and resistance to washout of the structure. From a safety and utility standpoint, the designer must also be sure that the crossing is capable of withstanding the expected loads from heavy construction equipment. Additionally, the design plans and installation shall comply with applicable federal, state and local laws and regulations.

A temporary bridge crossing is a structure made of wood, metal, or other materials which provides access across a stream or waterway. A temporary culvert crossing is a structure consisting of stone and a section(s) of circular pipe, pipe arches, or oval pipes of reinforced concrete, corrugated metal, or structural plate, which is used to convey flowing water through the crossings.

Bridges are preferred over culvert installations. Normally, bridge construction causes the least amount of disturbance to the stream bed and banks when compared to the other types of crossings. They can also be quickly removed and reused. In addition, temporary bridges pose the least chance for interference with fish migration when compared to the other temporary access waterway crossings.

There is some disturbance within the stream during construction and removal of the temporary culvert crossing. The stone, along with the temporary culverts, can be salvaged and reused.

Multiple smaller culverts may be used in place of a single larger culvert if the hydraulic capacity is equivalent or greater. However, remember that smaller multiple culverts are more susceptible to being obstructed with debris during flooding events. They can increase the risk of blockage resulting in overtopping and erosion of the roadway creating damages that exceed the difference in costs between multiple small culvert and one large culvert.

Water bars are required for the crossing and other erosion and sediment controls may be needed.

Design Criteria
Temporary stream crossings may be either bridges or culvert(s) and associated rock fill.

For temporary culvert crossings that will remain in place for 90 days or less, in lieu of a formal hydraulic design the structure shall have the ability to convey without erosion the flow from a 2-year frequency storm or to replicate the cross sectional area of the natural channel. The minimum culvert size is 18 inches.

Minimum Design Flows
If the structure will remain in place 90 days to 3 years, the design storm is determined by using the procedures outlined in Appendix J, “Risk Assessment Adapted
From CT DOT Drainage Manual. Using the form “Design Frequency Risk Analysis” determine all factors in the Impact Rating Table as described except “Property Damage.” Property damage is assessed by predicting the areas that can be damaged should the crossing capacity be exceeded. This includes an evaluation of potential flood damage upstream or adjacent to the channel and damage downstream to properties and water resources that might receive sediment should the stream crossing fail. The property damage value shall be chosen as follows:

<table>
<thead>
<tr>
<th>Points</th>
<th>Area Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>Cropland, parking lots, recreational areas, undeveloped land, forest land</td>
</tr>
<tr>
<td>10</td>
<td>Private or public structures, appurtenances such as sewage treatment systems and water supply areas (public and private well heads and reservoirs), utility structures either above or below ground, trout management areas, streams stocked by DEP, ponds located immediately downstream before the confluence with other watercourses, wetlands greater than 5 acres in size.</td>
</tr>
</tbody>
</table>

When the assigned risk falls between two design frequency delineations choose the higher of the two design frequencies. For example, a design risk of 30% for 18 months falls between the 3-year and 5-year. Therefore, choose the 5-year design frequency.

The structure shall be designed to pass the design storm without erosion. If the structure must remain in place over 3 years, it must be designed as a permanent measure in accordance with accepted engineering standards and practices. The installation of the temporary stream crossing shall not impact structures in close proximity to the crossing by causing a rise in the water surface elevation for the chosen design storm.

**Crossing Load Limitations**
The materials used to construct the crossing must be able to withstand the anticipated loading of the construction traffic.

**Crossing Width**
The crossing shall be designed for single lane traffic only, with a minimum width of 12 feet and a maximum of 20 feet. For culvert crossings the length of the culvert(s) shall include the width needed for single lane traffic plus the side slopes.

**Crossing Alignment**
The temporary stream crossing shall be at right angles to the stream. Where approach conditions dictate, the centerline of the stream crossing may be aligned so that it is no greater than 15% from a line drawn perpendicular to the stream flow.

**Crossing Approaches**
The centerline of both roadway approaches shall coincide with the centerline of the crossing with sufficient length to accommodate the equipment to be used on the crossing. All fill materials associated with the roadway approach shall be limited to a maximum height of 2 feet above the existing grade.

The approaches to the structure shall consist of a minimum thickness of 6 inches of well graded, free draining gravel or crushed stone equal to the width of the travelway.

**Temporary Bridge Crossing Criteria**
Design the elevation of the temporary bridge structure at or above top of bank elevation to prevent the entrapment of floating materials and debris. Additionally, the abutment shall be parallel to and tied into stable banks.

Design the bridge to span the entire channel. If the channel width exceeds 8 feet (as measured from top of bank to top of bank), then a footing, pier or bridge support within the waterway may be included in the design. One additional footing, pier or bridge support is permitted for each additional 8-foot width of channel. No footing, pier or bridge support is allowed within the channel for waterways which are less than 8 feet wide.

Provide specifications for decking materials, bridge stringers and a bridge anchor of sufficient strength to support the anticipated load. Identify if run planking and curbs or fenders along the outer sides of the deck are required. Materials may include logs, sawn timber, pre-stressed concrete beams, metal beams, or other approved materials.

**Temporary Culvert Crossing Criteria**

**Culvert Size:** Multiple culverts may be used in place of one large culvert if they have the equivalent capacity of the larger one. The minimum-sized culvert diameter that may be used is 18 inches.

**Culvert Length:** In no case shall the culvert exceed 40 feet in length. If the crossing approach grades require extensive fills then consider using a bridge rather than a culvert for the crossing structure.

**Culvert Slope:** The slope of the culvert shall match the existing channel bottom slope.

**Culvert Backfill:** Culvert backfill requires the use of well graded, free draining gravel or crushed stone to form the crossing and a geotextile, if necessary, specifically intended for road stabilization between the fill and the native soil. Provide specifications for the geotextile such that it can adequately distribute loads, retain fines and provide separation between the backfill and the native soil. See Construction Entrance measure for required physical qualities of the geotextile. The depth of cover over the culvert shall be a minimum of 24 inches and may be increased if anticipated loads require designed fill depths to be greater. For culvert(s) on a temporary stream crossing expected to be used in excess of 14 days, the backfill shall be protected from erosion with riprap designed in accordance with the Riprap measure.
Installation Requirements

Check weather forecasts to insure a storm is not predicted during the time of construction. Delay construction until after the threat of rainfall has passed.

Temporary Bridge Crossing (see Figure TSC-1)

1. Keep clearing and excavation of the stream bed and banks to a minimum.

2. Place abutments parallel to and tied into stable banks.

3. Place all decking members perpendicular to the stringers, butted tightly, and securely fastened to the stringers. Butt decking materials tightly to prevent any soil material tracked onto the bridge from falling into the waterway below.

4. If required, secure run planking by fastening to the length of the span. Provide one run plank for each track of the equipment wheels. Run planks are sometimes needed to properly distribute loads.

5. If required, install curbs or fenders along the outer sides of the deck. Curbs or fenders provide additional safety.

6. Anchor bridges securely at only one end using steel cable or chain. Anchoring at only one end will prevent channel obstruction in the event that flood waters float the bridge. Acceptable anchors are large trees, large boulders, or driven steel anchors. Anchoring shall be sufficient to prevent the bridge from floating downstream and possibly causing an obstruction to the flow.

7. Install stone for bridge approaches, construct water bars at the beginning of each approach and associated controls (see Water Bar Measure).

8. For bridges that are to remain in place more than 30 days apply measures that protect disturbed soils from erosion. The choice of measure used is in part dependent upon the length of time the crossing will be used.

9. For manufactured bridges follow manufacturer’s recommendations.

Temporary Culvert Crossing (see Figure TSC-2)

1. Keep clearing and excavation of the stream bed and banks to a minimum.

2. When a geotextile is to be used, place it on the stream bed and stream banks prior to placement of the pipe culvert(s) and fill. Cover the geotextile in the stream bed and extend a minimum of six inches and a maximum of one foot beyond the end of the culvert and bedding material.

3. Install the culvert on the natural stream bed.

4. Extend the culvert(s) a minimum of one foot beyond the upstream and downstream toe of the backfill placed around the culvert.

5. Cover the culvert(s) with a minimum of 24 inches of backfill. If multiple culverts are used, separate them by at least 12 inches of compacted fill.

Maintenance

Inspect and perform any repair work at the end of each day that the temporary stream crossing and approaches are exposed to vehicular traffic. When the crossing is not used for a week or more, inspect at least once a week and within 24 hours after any rainfall greater than 0.5 inch. Check for washouts at culverts, crossing approaches and failing associated controls. Immediately repair all damage. Where structural damage or repeated washouts of the temporary stream crossing occur, an engineering review is required to determine the cause of the failures and adjustments made to the structure or erosion and sediment controls as needed to prevent future failures.

When the temporary stream crossing is no longer needed, immediately remove all structures, associated fill materials and geotextiles keeping in-stream work to a minimum. Upon removal of the structure, immediately shape the stream to its original cross-section, protect the banks from erosion, and remove of all construction materials and apply soil protection measures to unstable soils.
Figure TSC-1  Temporary Bridge Crossing

Temporary Stream Crossing (TSC)

**Figure TSC-2 Temporary Culvert Crossing**

- **Approach Grade**: Well graded free draining gravel or crushed stone backfill.
- **Approach Length**: Varies.
- **Top of Bank**: Existing sub grade.
- **Cross Section A - A'**: 12" minimum between adjacent culverts. 24" minimum cover. Well graded free draining gravel or crushed stone.
- **Flow**: Place rock riprap at upstream and downstream ends of culverts (as needed).
- **Approach Grade**: Geotextile if necessary. Minimum 18" diameter. Cross-section A - A' (not to scale).
- **Notes**:
  1. Culvert length shall not exceed 40 feet.
  2. The center of the stream crossing shall be aligned so that it is no greater than 15˚ from a line perpendicular to the stream flow.

Source: USDA-NRCS
Planning Considerations

The diversion measures are Temporary Fill Berm, Water Bar, Temporary Diversion and Permanent Diversion. These measures serve the common function of redirecting and controlling the direction of water flow.

Diversions are used to direct runoff away from or around sensitive construction areas and to fragment drainage areas to reduce the need for a Temporary Sediment Basin.

Diversions are preferable to other types of man-made storm water conveyance systems because they more closely simulate natural flow patterns and characteristics. Flow velocities are generally kept to a minimum.

The Temporary Fill Berm is a non-engineered measure that is a very temporary berm used at the top of active fill slopes whose drainage area at the point of discharge is less than 3 acres. Its intended use is less than 5 days for any specific fill berm. The use of a berm starts when it is constructed and ends when new fill is placed. When filling is complete and it is determined that a diversion is needed at the top of fill to protect the fill until it is stabilized then a Temporary Diversion is needed.

continued on next page
continued from previous page

The **Water Bar** is a non-engineered measure consisting of a channel with a supporting earthen ridge used on access and construction roads to intercept flows in the roadway that have a drainage area less than 1 acre and to force these flows off the roadway. When the drainage area is greater than one acre or the diversion is needed for more than one year, plan on using a **Temporary Stream Crossing, Permanent Diversion** and/or **Permanent Lined Waterway**.

The **Temporary Diversion** measure is a channel and associated berm used anywhere in and around the construction site where control of water flow is needed. It is limited to 1 year or less for use and a contributing drainage area of 5 acres or less. When the drainage area exceeds 1 acre, an engineered design is required. When the use exceeds 1 year or the drainage area exceeds 5 acres a **Permanent Diversion** is used.

The **Permanent Diversion** is an engineered measure that is used when a permanent installation is needed or when the implementation criteria are exceeded for a temporary diversion.

Diversion needs should be identified during the development of the erosion and sediment control plan. When used for keeping clean waters segregated from construction waters, grading and stabilization of diversions should be placed early in the construction sequence before other grading work occurs. For example, a **Temporary Fill Berm** may need to be replaced with a **Temporary Diversion** and **Temporary Pipe Slope Drains** after final grades are reached, to be maintained until the slope is stabilized and then removed.

As with any earthen structure, it is very important to establish adequate vegetation as soon as possible after installation. It is equally important to stabilize the drainage area above the diversion so that sediment will not enter and accumulate in the diversion channel.

All diversions require a stable discharge point. Temporary diversions installed internally to the construction area generally require a sediment barrier or trap at their point of discharge. Diversions installed around the perimeter may also require such controls if the diversion channel or berm is not stabilized before use. Temporary diversions used to protect unstable slopes may need **Temporary Pipe Slope Drains** to insure drainage areas to the diversion remain below the design requirements.
Planning Considerations

Good timing is essential to fill construction. The filling operation should be completed as quickly as possible and the permanent slope stabilization measures installed. With prompt and proper construction, the landowner or contractor will save both time and money in building, repairing and stabilizing the fill area. The longer the time period for construction and stabilization, the more prone the fill will be to being damaged by erosion. Repairing the damage adds additional time and expense to the project. At times the erosion on these slopes can be difficult to reach with standard equipment.

The temporary fill berm is intended to provide some slope protection on a daily basis until final elevations are reached and a more permanent measure can be constructed. By directing runoff water to a predetermined point of discharge problems can be reduced at the discharge point and steps taken to minimize potential damage. This measure can also reduce time and money spent repairing slopes by reducing their exposure to rilling during fill slope construction. A stable outlet is critical to the proper function of the temporary fill berm. If the runoff is diverted over the fill itself, the practice will cause erosion by concentrating water at a single point.

Points of discharge must be directed to a sediment impoundment or barrier. Clean off-site water should be diverted around or culverted through the construction site. If a Temporary Pipe Slope Drain is used, the drainage area at the point of discharge can sometimes be kept below 1 acre, which allows for a geotextile silt fence or hay bale barrier as the sediment control.

However, if the drainage area exceeds 1 acre, sediment traps and/or sediment basins must be used.

Once the slope is brought to a final grade the Temporary Fill Berm may need to be replaced with a Temporary Diversion, and associated water control measures such as Temporary Pipe Slope Drain or Temporary Lined Chute may be needed until the slope is stabilized.

Specifications

Limitations

Use the following criteria for installing the measure:

- The drainage area at the point of discharge is 3 acres or less. (Drainage area at the point of discharge can be controlled by using the Temporary Pipe Slope Drain measure.)
- The berm is at least 9 inches high with a base width of at least 3.0 feet.
- The up slope side of the berm slope is no steeper than 3:1, the down slope side of the berm slope is no steeper than 1:1, and the down slope toe of the berm is not closer than 2 feet from the top of the fill slope.
- The flow line controlled by the berm has a positive grade no steeper than 2%.
**Construction**

Construct and shape the temporary fill berm following the completion of fill placement on any given day. A grader or dozer with its blade tilted may be run near the top of the fill slope, creating a berm along the top of the fill slope as depicted in Figure TFB-1.

Install erosion controls at the point of discharge as conditions dictate. Associated erosion control measures may include a **Temporary Pipe Slope Drain** to carry runoff down an unstable slope, and a **Geotextile Silt Fence**, **Hay Bale Barrier** or **Temporary Sediment Trap** to filter runoff and detain sediments.

**Maintenance**

Inspect the temporary fill berm and associated controls at the end of each work day to ensure the criteria for installing the measure have been met. Determine if repair or modification of the berm and associated measures are needed. Make modification and/or repair as needed.

This measure is temporary and under most situations will be covered the next work day. The maintenance required should be minimal. The contractor should avoid the placement of any material over the structure while it is in use. Construction traffic should not be permitted to cross the temporary fill berm.
Planning Considerations

The construction of utility lines, construction roads, access ways or roadways often require the clearing of long strips of ground over sloping terrain. The volume and velocity of storm water runoff tend to increase on these cleared strips of ground increasing the potential for erosion. To compensate for this loss of vegetation, it is usually a good practice to break up the flow length within the cleared strips so that runoff does not have a chance to concentrate and therefore cause erosion.

At proper intervals, water bars can significantly reduce the amount of erosion which can occur before the area is permanently stabilized. If the slope is composed of highly erodible soils, it may be appropriate to space the water bars closer than stated in the following measure.

Plan to construct the water bar to ensure there is sufficient clearance for the vehicles intend to use the access road. Consider the height of the vehicle’s clearance in relation to the distance between the vehicle axles.

Unless the water bar discharges into a heavily vegetated area of sufficient length to adequately filter runoff, plan to settle or filter runoff water through a geotextile silt fence, hay bale barrier or temporary sediment trap. Since many access ways or roadways are constructed through heavily vegetated areas, runoff can often be diverted into a vegetated filter or buffer strip (see Vegetated Filter measure).

Specifications

Materials
For access ways or roadways where little or no construction traffic is expected, the water bars may be constructed of earth fill, gravel or graded stone.

Height
From the bottom of the channel to the crest of the berm the minimum vertical distance is 9 inches and the maximum is 18 inches (see Figure WB-2).

Side Slopes
Side slopes are 2:1 or flatter. Adjust the side slopes to accommodate vehicle clearance and wheel base requirements.

Base
Minimum base width of the berm is 6 feet (see Figure WB-2).

Length
Span the water bar completely across the access way or roadway.

Spacing
Figure WB-1 is used to determine the maximum spacing of the water bar.

<table>
<thead>
<tr>
<th>% Slope of access way or roadway</th>
<th>Spacity (feet)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1%</td>
<td>400</td>
</tr>
<tr>
<td>2%</td>
<td>245</td>
</tr>
<tr>
<td>5%</td>
<td>125</td>
</tr>
<tr>
<td>10%</td>
<td>78</td>
</tr>
<tr>
<td>15%</td>
<td>58</td>
</tr>
</tbody>
</table>

Grade
Provide positive drainage with 2% or less slope along the up slope side of the water bar (see Figure WB-2).
Outlet
Discharge the water bar to a stabilized outlet, sediment-trapping facility, or a vegetated filter of adequate size.

Construction
1. Install the water bar as soon as the access way or roadway has been cleared and/or graded.
2. Tamp or compact all earthen berm portions of the water bar.
3. When slopes vary between water bars, space the water bars using the maximum spacing given for the steepest gradient found between the water bars.
4. Adjust the field location of the outlet as needed to utilize a stabilized outlet area, without violating the spacing restrictions.

Maintenance
For water bars receiving drainage from disturbed areas, inspect and perform any repair work at the end of each day that the water bar is exposed to vehicular traffic and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater. For water bars receiving drainage from stable areas inspect and perform any repair work at the end of each day that the water bar is exposed to vehicular traffic or annually, whichever comes first.

Immediately reshape and repair any observed damage to the water bar.

If sediment deposits reach approximately one-half the height of the water bar, remove the accumulated sediments.

When the water bars have served their usefulness they may be removed.
Figure WB-2 Water Bar Details

Water Bar (WB)

Section A–A
- Roadway surface
- 3.5° or 2% max. slope
- Bottom of water bar channel

Section B–B
- 2:1 or flatter side slopes adjusted for vehicle clearance and wheel base
- 9” max.
- 18” max.
- 6’ min.

(not to scale)
Planning Considerations

A temporary diversion is used to divert sheet flow to a stabilized outlet or a sediment-trapping facility. It is also used during the establishment of permanent vegetative cover on sloping disturbed areas. When used at the top of a slope, the structure protects exposed slopes by diverting runoff away from the disturbed areas. When used at the base of a disturbed slope, the structure protects adjacent and downstream areas by diverting sediment-laden runoff to a sediment trapping facility.

Temporary diversions must be installed as a first step in the land-disturbing activity and must be functional prior to disturbing the land they are intended to protect.

Where channel grades within the temporary diversion exceed 2%, stabilization of the channel is necessary to prevent erosion of the temporary diversion itself (e.g., temporary seeding, temporary erosion control blankets, riprap, etc.). The channel and berm must have a positive grade to assure drainage, but if the gradient is too great, precautions must be taken to prevent channel erosion due to high-velocity flows behind the berm. The cross-section of the channel should be of a parabolic or trapezoidal shape to prevent a high velocity flows which could arise in the bottom of a “V” shaped ditch.

This practice is economical because it uses materials available on the site and can usually be constructed with equipment needed for site grading. The useful life of the practice can be extended by stabilizing the berm with vegetation. Temporary diversions are durable, inexpensive, and require little maintenance when constructed properly. When used in conjunction with a Temporary Sediment Trap, temporary diversions become a logical choice for a control measure when the control limits for silt fences or hay bale barriers have been exceeded.

Temporary diversions are often used as a perimeter control in association with a sediment trap or a sediment basin, or a series of sediment-trapping facilities, on moderate to large construction sites. If installed properly and in the first phase of grading, maintenance costs are very low. Often, cleaning of sediment-trapping facilities is the only associated maintenance requirement.

Design Criteria

No engineered design is required for a temporary diversion if the contributing drainage area is 1 acre or less.

If the contributing drainage area exceeds 1 acre and is 5 acres or less, design the temporary diversion to the Permanent Diversion measure standards using the 2-year frequency storm as the design storm.

Specifications

For engineered temporary diversions, construct the temporary diversion in accordance with the design standards and specifications. For all non-engineered temporary diversions, comply with the following specifications.

Height

The minimum height from the bottom of the channel to the top of the berm shall be at least 18 inches and the berm constructed of compacted material.

Side Slopes and Top Width

Side slopes shall be 3:1 or flatter inside and 1:1 or flatter outside. The top width of the berm shall be 1 foot.

Grade and Stabilization

The flow line behind the berm shall have a positive...
grade. Channel grades flatter than 2% require no stabilization. Channels with grades steeper than 2% require stabilization in accordance with stabilization specifications found in the Permanent Diversion measure. Temporary diversions shall be stabilized according to the duration of their intended use (see Short Term Non-Living Soil Protection Functional Group).

**Outlets**
Regardless of design, release the diverted runoff to a stable outlet or channel. Where diverted runoff is expected to be carrying a sediment load, the runoff shall be released to a sediment impoundments (see Sediment Impoundments and Barriers Functional Group).

**Construction**
Install erosion controls at the outlet where sediment laden runoff is expected.

Construct the temporary diversion (see Figure TD-1). After grading the berm, tamp or compact it to prevent failure.

Apply stabilization measures (may include temporary or permanent seed and mulch) immediately following construction.

**Maintenance**
When the temporary diversion is located within close proximity to ongoing construction activities, inspect the temporary diversion at the end of each work day and immediately repair damages caused by construction equipment. Otherwise inspect the temporary diversion and any associated measures weekly or immediately after 0.5 inch of rain falls within a 24-hour period to determine maintenance needs.

Repair the temporary diversion and any associated measures within 24 hours of observed failure. Failure of the temporary diversion has occurred when the diversion had been damaged by either construction equipment, erosion or siltation such that it no longer meets the criteria established under the Specifications section or provided in the engineered design (if any).

When repetitive failures occur at the same location, review conditions and limitation for use and determine if additional measures are needed to reduce failure rates or if alternate measures are indicated to replace the temporary diversion.
Figure TD-1  Requirements for Non-Engineered Temporary Diversions
# Planning Considerations

Diversions are useful tools for managing surface water flows and preventing soil erosion. On moderately sloping areas, they may be placed at intervals to trap and divert sheet flow before it has a chance to concentrate and cause rill and gully erosion. They may be placed at the top of cut or fill slopes to keep runoff from upland drainage areas off the slope. They can also be used to protect structures, parking lots, adjacent properties, and other special areas from flooding. When properly coordinated into the landscape design of a site, permanent diversions can be visually pleasing as well as functional. (see Figure PD-2)

The supporting ridge of the permanent diversion may be constructed from soil excavated from the channel if the soil excavated meets the installation requirements for ridge construction. If it is known at the planning stage that the soil will not meet the installation requirements, then plan on importing soil which is adequate to meet the installation requirements.

Should permanent seeding (as opposed to stabilizing with stone) of the top and outside of the ridge be planned for ridge stabilization, then plan on requiring the use of topsoil and seed bed preparation in accordance with the Topsoiling and Permanent Seeding measure.

Maintenance requirements should be planned in accordance with the intended use.

## Location

Determine the permanent diversion location by considering outlet conditions, topography, land use, soil type, length of slope, seepage planes (i.e., seepage breakout locations where seepage is expected to be a problem) and the development layout.

## Capacity

Design the minimum capacity to safely carry the peak flow expected from a 10-year frequency, 24-hour duration storm with a freeboard of at least 0.3 feet. (see Figure PD-1).

Diversions designed to protect homes, schools, industrial buildings, roads, parking lots, and comparable high-risk areas, and those designed to function in connection with other storm water management systems, shall be designed at a minimum to safely carry the peak flow from a 25-year frequency, 24-hour duration storm with a freeboard of at least 0.3 feet.

If a contributing or receiving drainage system is designed to a standard greater than the 10-year frequency storm, then design the permanent diversion to that higher standard. If pre-development flooding problems exist or if the consequences of flooding are severe, then consider increasing the capacity beyond the 10-year frequency storm. If drainage systems which convey larger storms converge with the diversion in question, design the diversion to the same design storm as the contributing drainage system.

## Design Criteria

Design the permanent diversion according to generally accepted engineering standards (e.g., NRCS National Engineering Handbook – Part 650, the NRCS Field Office Technical Guide – Section IV, DOT Drainage Manual).
Channel Design
The diversion channel may be parabolic, trapezoidal or “V” shaped and shall be designed in accordance with the Vegetated Waterway measure or Permanent Lined Waterway measure.

Ridge Design
The supporting ridge cross-section shall meet the following criteria (see Figure PD-1):

1. The side slopes shall be no steeper than 2:1.
2. The width at the design water elevation shall be a minimum of 4 feet.
3. The minimum freeboard shall be 0.3 feet.
4. The design shall include a 10% settlement factor.

Provide for soil stabilization of the top and outside portions of the ridge in accordance with the intended use.

Outlet
Provide the permanent diversion with a stable outlet which will reduce the energy of concentrated discharge so as not to cause downstream erosion.

Installation Requirements

Site Preparation
Remove and dispose of all trees, stumps, obstructions, and other objectionable material so as to not interfere with the proper functioning of the diversion.

Excavate or shape the diversion to line, grade, and cross-section as required to meet the criteria specified herein. Ensure the diversion profile is free of irregularities which will impede flow, cause scouring and/or sediment deposition.

Place, grade and compact fill to prevent unequal settlement. Fill shall be composed of soil which is free from excessive organics, debris, large rocks (over 3-inch diameter) or other objectionable materials.

Spread or dispose of all earth removed and not needed in construction.

Stabilize Diversion
Stabilize the diversion in accordance with the design plans.

Install Sediment Controls for Contributing Areas
Install sediment controls to trap sediment before it enters the diversion. Field experience has demonstrated that many newly constructed vegetated channels become damaged from sediment deposition and require costly repairs as a result of improper up slope protection and control measures.

Maintenance
Inspect the permanent diversion at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater during construction of the site and until the diversion is permanently stabilized. For seeded and mulched channels, see Permanent Seeding measure Maintenance Section for initial establishment and first mowing requirements. Check for seed and mulch movement and/or rill erosion. For sodded channels, see Sodding measure Maintenance Section.

Repair damage to vegetated channels immediately. Remove sediment from the channel and make repairs as necessary.

After construction is complete and the diversion is stable, inspect the permanent diversion annually and after each major rainfall for damage and deterioration. Repair damages immediately. Ongoing maintenance should include the removal of accumulated sediment

Source: USDA-NRCS
Figure PD-2  Illustration of Permanent Diversion Intercepting Overland Flow
Planning Considerations

The diversion measures are **Temporary Fill Berm, Water Bar, Temporary Diversion** and **Permanent Diversion**. These measures serve the common function of redirecting and controlling the direction of water flow.

Diversions are used to direct runoff away from or around sensitive construction areas and to fragment drainage areas to reduce the need for a **Temporary Sediment Basin**.

Diversions are preferable to other types of man-made storm water conveyance systems because they more closely simulate natural flow patterns and characteristics. Flow velocities are generally kept to a minimum.

The **Temporary Fill Berm** is a non-engineered measure that is a very temporary berm used at the top of active fill slopes whose drainage area at the point of discharge is less than 3 acres. Its intended use is less than 5 days for any specific fill berm. The use of a berm starts when it is constructed and ends when new fill is placed. When filling is complete and it is determined that a diversion is needed at the top of fill to protect the fill until it is stabilized then a **Temporary Diversion** is needed.

*continued on next page*
The Water Bar is a non-engineered measure consisting of a channel with a supporting earthen ridge used on access and construction roads to intercept flows in the roadway that have a drainage area less than 1 acre and to force these flows off the roadway. When the drainage area is greater than one acre or the diversion is needed for more than one year, plan on using a Temporary Stream Crossing, Permanent Diversion and/or Permanent Lined Waterway.

The Temporary Diversion measure is a channel and associated berm used anywhere in and around the construction site where control of water flow is needed. It is limited to 1 year or less for use and a contributing drainage area of 5 acres or less. When the drainage area exceeds 1 acre, an engineered design is required. When the use exceeds 1 year or the drainage area exceeds 5 acres a Permanent Diversion is used.

The Permanent Diversion is an engineered measure that is used when a permanent installation is needed or when the implementation criteria are exceeded for a temporary diversion.

Diversion needs should be identified during the development of the erosion and sediment control plan. When used for keeping clean waters segregated from construction waters, grading and stabilization of diversions should be placed early in the construction sequence before other grading work occurs. For example, a Temporary Fill Berm may need to be replaced with a Temporary Diversion and Temporary Pipe Slope Drains after final grades are reached, to be maintained until the slope is stabilized and then removed.

As with any earthen structure, it is very important to establish adequate vegetation as soon as possible after installation. It is equally important to stabilize the drainage area above the diversion so that sediment will not enter and accumulate in the diversion channel.

All diversions require a stable discharge point. Temporary diversions installed internally to the construction area generally require a sediment barrier or trap at their point of discharge. Diversions installed around the perimeter may also require such controls if the diversion channel or berm is not stabilized before use. Temporary diversions used to protect unstable slopes may need Temporary Pipe Slope Drains to insure drainage areas to the diversion remain below the design requirements.
Planning Considerations

Good timing is essential to fill construction. The filling operation should be completed as quickly as possible and the permanent slope stabilization measures installed. With prompt and proper construction, the landowner or contractor will save both time and money in building, repairing and stabilizing the fill area. The longer the time period for construction and stabilization, the more prone the fill will be to being damaged by erosion. Repairing the damage adds additional time and expense to the project. At times the erosion on these slopes can be difficult to reach with standard equipment.

The temporary fill berm is intended to provide some slope protection on a daily basis until final elevations are reached and a more permanent measure can be constructed. By directing runoff water to a predetermined point of discharge problems can be reduced at the discharge point and steps taken to minimize potential damage. This measure can also reduce time and money spent repairing slopes by reducing their exposure to rilling during fill slope construction. A stable outlet is critical to the proper function of the temporary fill berm. If the runoff is diverted over the fill itself, the practice will cause erosion by concentrating water at a single point.

Points of discharge must be directed to a sediment impoundment or barrier. Clean off-site water should be diverted around or culverted through the construction site. If a Temporary Pipe Slope Drain is used, the drainage area at the point of discharge can sometimes be kept below 1 acre, which allows for a geotextile silt fence or hay bale barrier as the sediment control. However, if the drainage area exceeds 1 acre, sediment traps and/or sediment basins must be used.

Once the slope is brought to a final grade the Temporary Fill Berm may need to be replaced with a Temporary Diversion, and associated water control measures such as Temporary Pipe Slope Drain or Temporary Lined Chute may be needed until the slope is stabilized.

Specifications

Limitations

Use the following criteria for installing the measure:

- The drainage area at the point of discharge is 3 acres or less. (Drainage area at the point of discharge can be controlled by using the Temporary Pipe Slope Drain measure.)
- The berm is at least 9 inches high with a base width of at least 3.0 feet.
- The up slope side of the berm slope is no steeper than 3:1, the down slope side of the berm slope is no steeper than 1:1, and the down slope toe of the berm is not closer than 2 feet from the top of the fill slope.
- The flow line controlled by the berm has a positive grade no steeper than 2%. 

2002 Connecticut Guidelines for Soil Erosion and Sediment Control
**Construction**

Construct and shape the temporary fill berm following the completion of fill placement on any given day. A grader or dozer with its blade tilted may be run near the top of the fill slope, creating a berm along the top of the fill slope as depicted in Figure TFB-1.

Install erosion controls at the point of discharge as conditions dictate. Associated erosion control measures may include a **Temporary Pipe Slope Drain** to carry runoff down an unstable slope, and a **Geotextile Silt Fence, Hay Bale Barrier** or **Temporary Sediment Trap** to filter runoff and detain sediments.

**Maintenance**

Inspect the temporary fill berm and associated controls at the end of each work day to ensure the criteria for installing the measure have been met. Determine if repair or modification of the berm and associated measures are needed. Make modification and/or repair as needed.

This measure is temporary and under most situations will be covered the next work day. The maintenance required should be minimal. The contractor should avoid the placement of any material over the structure while it is in use. Construction traffic should not be permitted to cross the temporary fill berm.
7-Diversions

Water Bar (WB)

Definition
A channel with a supporting berm on the down slope side constructed across a construction access road, driveway, log road or other access way.

Purpose
• To minimize the concentration of sheet flow across and down sloping roadways and access ways, or similar sloping and unstable areas.
• To shorten the continuous flow length within a sloping right-of-way.

Applicability
• On construction access road, driveway, log road or other access way.
• Where the drainage area to each separate water bar is less than 1 acre.
For drainage areas greater than 1 acre, use Permanent Diversion measure or Permanent Lined Waterway measure modified to remain stable during vehicular traffic or Temporary Stream Crossing measure.

Planning Considerations
The construction of utility lines, construction roads, access ways or roadways often require the clearing of long strips of ground over sloping terrain. The volume and velocity of storm water runoff tend to increase on these cleared strips of ground increasing the potential for erosion. To compensate for this loss of vegetation, it is usually a good practice to break up the flow length within the cleared strips so that runoff does not have a chance to concentrate and therefore cause erosion.

At proper intervals, water bars can significantly reduce the amount of erosion which can occur before the area is permanently stabilized. If the slope is composed of highly erodible soils, it may be appropriate to space the water bars closer than stated in the following measure.

Plan to construct the water bar to ensure there is sufficient clearance for the vehicles intend to use the access road. Consider the height of the vehicle's clearance in relation to the distance between the vehicle axles.

Unless the water bar discharges into a heavily vegetated area of sufficient length to adequately filter runoff, plan to settle or filter runoff water through a geotextile silt fence, hay bale barrier or temporary sediment trap. Since many access ways or roadways are constructed through heavily vegetated areas, runoff can often be diverted into a vegetated filter or buffer strip (see Vegetated Filter measure).

Specifications

Materials
For access ways or roadways where little or no construction traffic is expected, the water bars may be constructed of earth fill, gravel or graded stone.

Height
From the bottom of the channel to the crest of the berm the minimum vertical distance is 9 inches and the maximum is 18 inches (see Figure WB-2).

Side Slopes
Side slopes are 2:1 or flatter. Adjust the side slopes to accommodate vehicle clearance and wheel base requirements.

Base
Minimum base width of the berm is 6 feet (see Figure WB-2).

Length
Span the water bar completely across the access way or roadway.

Spacing
Figure WB-1 is used to determine the maximum spacing of the water bar.

<table>
<thead>
<tr>
<th>% Slope of access way or roadway</th>
<th>Spacity (feet)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1%</td>
<td>400</td>
</tr>
<tr>
<td>2%</td>
<td>245</td>
</tr>
<tr>
<td>5%</td>
<td>125</td>
</tr>
<tr>
<td>10%</td>
<td>78</td>
</tr>
<tr>
<td>15%</td>
<td>58</td>
</tr>
</tbody>
</table>

Grade
Provide positive drainage with 2% or less slope along the up slope side of the water bar (see Figure WB-2).
Outlet
Discharge the water bar to a stabilized outlet, sediment-trapping facility, or a vegetated filter of adequate size.

Construction
1. Install the water bar as soon as the access way or roadway has been cleared and/or graded.
2. Tamp or compact all earthen berm portions of the water bar.
3. When slopes vary between water bars, space the water bars using the maximum spacing given for the steepest gradient found between the water bars.
4. Adjust the field location of the outlet as needed to utilize a stabilized outlet area, without violating the spacing restrictions.

Maintenance
For water bars receiving drainage from disturbed areas, inspect and perform any repair work at the end of each day that the water bar is exposed to vehicular traffic and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater. For water bars receiving drainage from stable areas inspect and perform any repair work at the end of each day that the water bar is exposed to vehicular traffic or annually, whichever comes first.

Immediately reshape and repair any observed damage to the water bar.

If sediment deposits reach approximately one-half the height of the water bar, remove the accumulated sediments.

When the water bars have served their usefulness they may be removed.
Figure WB-2  Water Bar Details

Water Bar (WB)

A

B (not to scale)

Section A–A

roadway surface

3.5° or 2% max. slope

bottom of water bar channel

Section B–B

2:1 or flatter side slopes adjusted for vehicle clearance and wheel base

9” max.

18” max.

6’ min.
7-Diversion (TD)

Definition
A temporary channel with a berm of tamped or compacted soil placed in such a manner so as to divert flows.

Purposes
• To divert sediment-laden runoff from a disturbed area to a sediment-trapping facility such as a temporary sediment trap, sediment basin or vegetative filter.
• To direct water originating from undisturbed areas away from areas where construction activities are taking place.
• To fragment disturbed areas thereby reducing the velocity and concentration of runoff.

Applicability
• Where the drainage area at the point of discharge is 5 acres or less. For drainage areas greater than 5 acres use Permanent Diversion measure.
• Where the intended use is 1 year or less. For uses greater than 1 year use Permanent Diversion measure.

Planning Considerations
A temporary diversion is used to divert sheet flow to a stabilized outlet or a sediment-trapping facility. It is also used during the establishment of permanent vegetative cover on sloping disturbed areas. When used at the top of a slope, the structure protects exposed slopes by directing runoff away from the disturbed areas. When used at the base of a disturbed slope, the structure protects adjacent and downstream areas by diverting sediment-laden runoff to a sediment trapping facility.

Temporary diversions must be installed as a first step in the land-disturbing activity and must be functional prior to disturbing the land they are intended to protect.

Where channel grades within the temporary diversion exceed 2%, stabilization of the channel is necessary to prevent erosion of the temporary diversion itself (e.g., temporary seeding, temporary erosion control blankets, riprap, etc.). The channel and berm must have a positive grade to assure drainage, but if the gradient is too great, precautions must be taken to prevent channel erosion due to high-velocity flows behind the berm. The cross-section of the channel should be of a parabolic or trapezoidal shape to prevent a high velocity flows which could arise in the bottom of a “V” shaped ditch.

This practice is economical because it uses materials available on the site and can usually be constructed with equipment needed for site grading. The useful life of the practice can be extended by stabilizing the berm with vegetation. Temporary diversions are durable, inexpensive, and require little maintenance when constructed properly. When used in conjunction with a Temporary Sediment Trap, temporary diversions become a logical choice for a control measure when the control limits for silt fences or hay bale barriers have been exceeded.

Temporary diversions are often used as a perimeter control in association with a sediment trap or a sediment basin, or a series of sediment-trapping facilities, on moderate to large construction sites. If installed properly and in the first phase of grading, maintenance costs are very low. Often, cleaning of sediment-trapping facilities is the only associated maintenance requirement.

Design Criteria
No engineered design is required for a temporary diversion if the contributing drainage area is 1 acre or less.

If the contributing drainage area exceeds 1 acre and is 5 acres or less, design the temporary diversion to the Permanent Diversion measure standards using the 2-year frequency storm as the design storm.

Specifications
For engineered temporary diversions, construct the temporary diversion in accordance with the design standards and specifications. For all non-engineered temporary diversions, comply with the following specifications.

Height
The minimum height from the bottom of the channel to the top of the berm shall be at least 18 inches and the berm constructed of compacted material.

Side Slopes and Top Width
Side slopes shall be 3:1 or flatter inside and 1:1 or flatter outside. The top width of the berm shall be 1 foot.

Grade and Stabilization
The flow line behind the berm shall have a positive
grade. Channel grades flatter than 2% require no stabilization. Channels with grades steeper than 2% require stabilization in accordance with stabilization specifications found in the Permanent Diversion measure. Temporary diversions shall be stabilized according to the duration of their intended use (see Short Term Non-Living Soil Protection Functional Group).

**Outlets**

Regardless of design, release the diverted runoff to a stable outlet or channel. Where diverted runoff is expected to be carrying a sediment load, the runoff shall be released to a sediment impoundments (see Sediment Impoundments and Barriers Functional Group).

**Construction**

Install erosion controls at the outlet where sediment laden runoff is expected.

Construct the temporary diversion (see Figure TD-1). After grading the berm, tamp or compact it to prevent failure.

Apply stabilization measures (may include temporary or permanent seed and mulch) immediately following construction.

**Maintenance**

When the temporary diversion is located within close proximity to ongoing construction activities, inspect the temporary diversion at the end of each work day and immediately repair damages caused by construction equipment. Otherwise inspect the temporary diversion and any associated measures weekly or immediately after 0.5 inch of rain falls within a 24-hour period to determine maintenance needs.

Repair the temporary diversion and any associated measures within 24 hours of observed failure. Failure of the temporary diversion has occurred when the diversion had been damaged by either construction equipment, erosion or siltation such that it no longer meets the criteria established under the Specifications section or provided in the engineered design (if any).

When repetitive failures occur at the same location, review conditions and limitation for use and determine if additional measures are needed to reduce failure rates or if alternate measures are indicated to replace the temporary diversion.
Figure TD-1  Requirements for Non-Engineered Temporary Diversions
Planning Considerations

Diversions are useful tools for managing surface water flows and preventing soil erosion. On moderately sloping areas, they may be placed at intervals to trap and divert sheet flow before it has a chance to concentrate and cause rill and gully erosion. They may be placed at the top of cut or fill slopes to keep runoff from upland drainage areas off the slope. They can also be used to protect structures, parking lots, adjacent properties, and other special areas from flooding. When properly coordinated into the landscape design of a site, permanent diversions can be visually pleasing as well as functional. (see Figure PD-2)

The supporting ridge of the permanent diversion may be constructed from soil excavated from the channel if the soil excavated meets the installation requirements for ridge construction. If it is known at the planning stage that the soil will not meet the installation requirements, then plan on importing soil which is adequate to meet the installation requirements.

Should permanent seeding (as opposed to stabilizing with stone) of the top and outside of the ridge be planned for ridge stabilization, then plan on requiring the use of topsoil and seed bed preparation in accordance with the Topsoiling and Permanent Seeding measure.

Maintenance requirements should be planned in accordance with the intended use.

Location

Determine the permanent diversion location by considering outlet conditions, topography, land use, soil type, length of slope, seepage planes (i.e., seepage breakout locations where seepage is expected to be a problem) and the development layout.

Capacity

Design the minimum capacity to safely carry the peak flow expected from a 10-year frequency, 24-hour duration storm with a freeboard of at least 0.3 feet. (see Figure PD-1).

Divisions designed to protect homes, schools, industrial buildings, roads, parking lots, and comparable high-risk areas, and those designed to function in connection with other storm water management systems, shall be designed at a minimum to safely carry the peak flow from a 25-year frequency, 24-hour duration storm with a freeboard of at least 0.3 feet.

If a contributing or receiving drainage system is designed to a standard greater than the 10-year frequency storm, then design the permanent diversion to that higher standard. If pre-development flooding problems exist or if the consequences of flooding are severe, then consider increasing the capacity beyond the 10-year frequency storm. If drainage systems which convey larger storms converge with the diversion in question, design the diversion to the same design storm as the contributing drainage system.

Design Criteria

Design the permanent diversion according to generally accepted engineering standards (e.g., NRCS National Engineering Handbook – Part 650, the NRCS Field Office Technical Guide – Section IV, DOT Drainage Manual).
**Channel Design**
The diversion channel may be parabolic, trapezoidal or “V” shaped and shall be designed in accordance with the **Vegetated Waterway** measure or **Permanent Lined Waterway** measure.

**Ridge Design**
The supporting ridge cross-section shall meet the following criteria (see **Figure PD-1**):

1. The side slopes shall be no steeper than 2:1.
2. The width at the design water elevation shall be a minimum of 4 feet.
3. The minimum freeboard shall be 0.3 feet.
4. The design shall include a 10% settlement factor.

Provide for soil stabilization of the top and outside portions of the ridge in accordance with the intended use.

**Outlet**
Provide the permanent diversion with a stable outlet which will reduce the energy of concentrated discharge so as not to cause downstream erosion.

**Installation Requirements**

**Site Preparation**
Remove and dispose of all trees, stumps, obstructions, and other objectionable material so as not to interfere with the proper functioning of the diversion.

Excavate or shape the diversion to line, grade, and cross-section as required to meet the criteria specified herein. Ensure the diversion profile is free of irregularities which will impede flow, cause scouring and/or sediment deposition.

Place, grade and compact fill to prevent unequal settlement. Fill shall be composed of soil which is free from excessive organics, debris, large rocks (over 3-inch diameter) or other objectionable materials.

Spread or dispose of all earth removed and not needed in construction.

**Stabilize Diversion**
Stabilize the diversion in accordance with the design plans.

**Install Sediment Controls for Contributing Areas**
Install sediment controls to trap sediment before it enters the diversion. Field experience has demonstrated that many newly constructed vegetated channels become damaged from sediment deposition and require costly repairs as a result of improper up slope protection and control measures.

**Maintenance**
Inspect the permanent diversion at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater during construction of the site and until the diversion is permanently stabilized. For seeded and mulched channels, see **Permanent Seeding** measure Maintenance Section for initial establishment and first mowing requirements. Check for seed and mulch movement and/or rill erosion. For sodded channels, see **Sodding** measure Maintenance Section.

Repair damage to vegetated channels immediately. Remove sediment from the channel and make repairs as necessary.

After construction is complete and the diversion is stable, inspect the permanent diversion annually and after each major rainfall for damage and deterioration. Repair damages immediately. Ongoing maintenance should include the removal of accumulated sediment

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**Figure PD-1  Permanent Diversion**

![Diagram of Permanent Diversion](image)

**NOTES:**
1. MINIMUM DESIGN CAPACITY SHALL CONVEY A 10 YR. - 24 HOUR PEAK FLOW WITHOUT EROSION.
2. INSTALL EROSION CONTROL BLANKETS OR TURF REINFORCEMENT MATS AS NEEDED FOR EROSION RESISTANCE.

Source: USDA-NRCS
Figure PD-2 Illustration of Permanent Diversion Intercepting Overland Flow
Planning Considerations

The only measure included in this group is Subsurface Drain. See the measure for planning considerations.
Subsurface Drain (SD)

Definition
An underground water conveyance system consisting of a perforated conduit, such as pipe, tubing, tile or a stone filled trench installed beneath the ground to intercept and convey ground water (see Figure SD-1).

Purpose
- To prevent sloping soils from becoming excessively wet causing sloughing.
- To improve the bearing capacity of soils.
- To reduce frost heaving of fine grained soils.
- To prevent hydrostatic pressures from developing behind retaining walls, foundations or floor slabs and to reduce cracking and heaving of pavement.
- To relieve artesian pressures.
- To lower water tables in vegetated waterways and diversions in order to maintain stable vegetative conditions.
- To drain storm water detention areas or structures.

Applicability
- Used in areas having a high water table where benefits of lowering or controlling groundwater or surface runoff are desired.
- Where soil permeability is sufficient to permit installation of an effective and economically feasible system.
- Not intended for use within septic system setbacks, in areas of ground water pollution, or to drain inland wetlands or tidal wetlands without prior authorization.

Planning Considerations
Subsurface drains are generally installed within a slope to lower the water table (see Figure SD-2).

Subsurface drainage systems are either relief drains or interceptor drains (sometimes called curtain drains) or a combination of both. Relief drains are used either to lower the water table in order to keep structures (e.g. basements) dry or to improve the growth of vegetation. They are generally installed along a slope, draining in the direction of the slope and are provided with a stable outlet. They can be installed in a parallel pattern, a herringbone pattern, or a random pattern (see Figure SD-3).

Interceptors are used to remove water as it seeps down a slope, to prevent the soil from becoming saturated and subject to slippage. They are installed across a slope and are provided with a stable outlet.

A lowering of the groundwater table through the installation of a subsurface drain may have legal implications in that it may dewater adjacent wetlands as well as affect the property rights of adjacent owners. Damage may also occur at or near the point of discharge. Also, consolidation of soils and settlement of the soils and the structures they support can occur in some cases.

The design drawings and installation shall comply with applicable federal, state and local laws and regulations. The landowner or developer is responsible for obtaining required permits. Drains shall comply with septic system setback and setbacks established for known ground water pollution.

Design Criteria
The design and installation of subsurface drains shall be based on detailed surveys and investigations. Where failure could cause damage to structures such as roadways, buildings or utilities a more detailed engineering design may be required than that provided below.

Capacity
The required capacity for interceptor drains shall be determined by Figure SD-4.

Size of Drain
If a pipe is used in the drain installation, the minimum size shall be 4” diameter. If a stone filled trench is used without a conduit, the minimum size of the voids in the stone of the drain shall be equivalent to a 4” diameter conduit. The designer should check with the reviewing and approving authorities for differing minimum and maximum sizes of conduit. Manning’s formula may be used to size the drain when the hydraulic grade line is parallel to the bottom grade of the drain (see Equation SD-1).
Figure SD-1  Subsurface Drains With and Without a Conduit

Subsurface Drain  
With Conduit

Subsurface Drain  
Without Conduit

Top Soil
Subsoil
Stone
Extended 18" Above Hard Pan

Perched Water

0.5" Stone

2' Min.

4" Min. Collection Pipe

12" - 18"

2" Stone With Filter Fabric Envelope

12" - 18"

Hard Pan

6" to 12"

12" - 18"
**Figure SD-2  Effect of Subsurface Drainage on the Water Table**

- Water Table Before Drainage
- Water Table After Drainage
- Interceptor Drain
- Seepage Area
- Ground Water Flow
- Impermeable Layer

Source: USDA-NRCS

**Figure SD-3  Subsurface Drain Layouts**

- Random Pattern
  - Stable Outlet
  - Wet Areas
- Herringbone Pattern
  - Stable Outlet
- Parallel Pattern
  - Lateral
  - Main
  - Stable Outlet

Source: USDA-NRCS
Equation (SD-1) Manning’s Formula

\[ Q = V A = A \left( \frac{1.49}{n} \right)^{2.0} S^{1.0} \]

Where
- \( Q \) = volume of flow
- \( V \) = the average velocity in the drain (ft./sec.)
- \( A \) = Cross-sectional area of the flow within the pipe
- \( n \) = Manning’s roughness coefficient, based upon the lining of the drain (\( n = 0.011 \) for PVC pipe, \( n = 0.015 \) for corrugated plastic pipe, \( n = 0.025 \) for corrugated metal pipe)
- \( R \) = the hydraulic radius (feet)
- \( S \) = the slope of the drain (feet/foot)

Simple interceptor drains or random drains may be designed without calculating a discharge “Q”, if the total length of drains does not exceed the maximum lengths in Figure SD-5, provided surface flow or unusually heavy spring flows are not added to the drain. Note: When using design grades flatter than 0.5%, a sand-aggregate envelope and/or a geotextile wrap is recommended around the pipe to prevent migration of fines into the pipe.

Use Figure SD-7, Figure SD-8 and Figure SD-9 for determining drain pipe diameters for various tile materials.

<table>
<thead>
<tr>
<th>Figure SD-5 Design of Random or Interceptor Drains</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Minimum Grade of Drain (%)</strong></td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>0.1</td>
</tr>
<tr>
<td>0.2</td>
</tr>
<tr>
<td>0.3</td>
</tr>
<tr>
<td>0.4</td>
</tr>
<tr>
<td>0.5</td>
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<tr>
<td>1.0</td>
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<td>1.5</td>
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<td>2.0</td>
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<tr>
<td>2.5</td>
</tr>
<tr>
<td>3.0</td>
</tr>
<tr>
<td>4.0</td>
</tr>
<tr>
<td>5.0</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
Location
Subsurface drains shall not be installed in areas where there is danger of pollutants entering the line. Refer to state health code for minimum distance between subsurface drains and septic drain fields.

Note: Do not install drains where contaminated and/or hazardous soils may exist.

Relief drains: Relief drains should be located through the center of wet areas. They should drain in the same direction as the slope.

Interceptor drains: Interceptor drains should be located on the uphill side of wet areas. They should be installed across the slope and drain to the side of the slope.

Depth
The minimum depth of cover over conduits shall be 24 inches. This minimum depth shall apply to normal ground levels and may exclude sections of line near the outlet, or sections laid through minor depressions. The minimum depth of cover may be reduced if stronger load resistant pipe is used or if a sleeve is installed around the drain. This may also be used where heavy vehicle crossings are expected. A relief drain will need to be installed deep enough to lower the groundwater surface to the levels desired. An interceptor drain will need to be installed deep enough to intercept and remove the water as intended.

Minimum Velocity and Grade
For systems whose drainage velocity is less than 1.4 feet per second the design should incorporate filters or sediment clean outs. Relief wells or breather pipes should be installed at abrupt changes in grade to equalize pressures and facilitate clearing of the pipe.

On sites where topographic conditions require that drain lines or the discharge conduits from the drain lines need to be placed on steep grades and the design velocities will be greater than indicated in Figure SD-6, special measures shall be used to protect against soils surrounding the pipe from entering the pipe, thus creating a “piping” failure in the soils around the pipe. The protective measures may include one or more of the following:

1. sealing joints and using a watertight pipe or non-perforated continuous pipe, or
2. enclosing continuous perforated pipe or pipe with geotextile material and/or properly graded sand and gravel.

Envelopes and Filters
Envelopes shall be used around subsurface drains where required for proper bedding of the conduit, piping protection, or where necessary to improve the flow characteristics of ground water into the conduit. Filters for drains are used to facilitate passage of water to the drain and to prevent movement of fine particles of silt and sand into the drain. The engineer determines if conditions warrant the designing and installation of a filter. Materials used for envelopes do not always need to meet the gradation requirements of filters, but they shall not contain materials which will cause an accumulation of sediment in the conduit or render the envelope unsuitable for bedding of the conduit.

Envelopes shall at a minimum consist of sand-gravel materials, all of which shall pass a 1.5 inch sieve, 90% shall pass a No. 60 sieve, and not more than 10% shall pass a No. 60 sieve. The thickness of the envelope shall be at least 4 inches.

A good filter should be well graded from coarse to fine and within a limited size range of particles. Concrete sand, as specified by the American Association of State Highway Officials (AASHO), has been found to meet the requirements of an adequate filter for most soil conditions encountered. This material is essentially a mixture of medium and coarse sand with fine and medium gravel, in which the particles are well graded from a minimum size of about 1/6 mm (grains held on a No. 100 sieve) to a maximum of about 9.5 mm. If proposed, locally available gravel should be checked for the gradations as specified in the design. A sand-gravel filter, in addition to its filtering action, provides excellent bedding material for cushioning tile and pipe when laid in the stony soils frequently encountered.

Geotextiles may be used provided opening sizes, strength, durability, and permeability are adequate to provide filtering and capacity requirements throughout the expected life of the system.

Figure SD-6 Maximum Recommended Velocities by Soil Texture Without Piping Protection

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>Velocity (ft./sec.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sand and sandy loam</td>
<td>3.5</td>
</tr>
<tr>
<td>Silt and silt loam</td>
<td>5.0</td>
</tr>
<tr>
<td>Silty clay loam</td>
<td>6.0</td>
</tr>
<tr>
<td>Clay and clay loam</td>
<td>7.0</td>
</tr>
<tr>
<td>Coarse sand or gravel</td>
<td>9.0</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
Filter material, other than the manufactured types, shall completely encase the pipe with a thickness of at least 4 inches. The trench in which the drain is to be placed shall be a minimum of 12 inches wide and shall be excavated below grade a depth equal to the thickness of filter. The trench shall then be filled to the grade of the pipe with the filter material before laying the pipe. After the pipe is laid, the trench shall be filled with filter material to the required depth. Place filter material over the drain to within 1 foot of the ground surface when interception through heavy soil is desired and found practical. If surface water interception is desired, the filter material shall extend to the finished ground line.

For typical sections of subsurface drains refer to Figure SD-10, Figure SD-11 and Figure SD-12.

Outlet
An outlet for the drainage system shall be made available, either by gravity flow or by pumping.

The outlet shall be protected against erosion and undermining of the conduit, against damage during adverse weather conditions such as sunlight, submergence, or ice, and against entry of rodents or other animals into the subsurface drain system.

A section of pipe without open joints or perforations shall be used at the outlet end of the drain. The minimum length of outlet pipe shall be 8 feet. Non-perforated corrugated polyethylene drainage piping is not structurally strong enough nor weather resistant for use as the outlet pipe. Only rigid pipe or flexible pipe that can withstand anticipated loading and is resistant to damage from ultra violet light, fire, weathering and vandals shall be used.

Exposed PVC outlets shall be protected against damage by the use of headwalls, shading from the sun’s rays or painting with a dark colored latex paint. PVC outlet pipe shall have a minimum wall thickness of 0.24 inch for 4 inch, 0.28 inch for 6 inch, and 0.32 inch for 8 inch.

The outlet pipe shall be cantilevered over the outlet ditch at least one foot above the normal elevation of low flow in the outlet channel. At least two-thirds of the pipe length shall be encased in backfill. No envelope material shall be used around the outlet pipe.

If ice or floating debris is a threat, the outlet shall be recessed to protect the cantilevered portion from the current in the ditch.

Headwalls used for drain outlets shall be designed to minimize washouts and undermining.

Animal guards shall have openings that are at a minimum 1.5 inches in both directions, with a maximum opening of 2 inches in one direction. Horizontal bars are preferred. Free swinging animal guards are also an acceptable alternative.

Prefabricated Curtain Drains
Several types of prefabricated curtain drains have been recently developed. Materials shall be selected as appropriate for the specific site conditions in accordance with manufacturer’s recommendations.

Installation Requirements
1. Install perimeter erosion and sediment control measures as required.
2. Construct the trench starting at the outlet end working up the grade continuous with no reverse grades or low spots.
3. Install animal guards on the outlet pipe to prevent animals from entering the drain.
4. Stabilize soft or yielding soils under the drain with gravel or other bedding material.
5. Lay pipe to design grade and install relief wells and breather pipes as designed.
6. Do not use deformed, warped, or otherwise unsuitable pipe.
7. Place envelopes and filter material as specified with at least 4 inches of material on all sides of the pipe.
8. Immediately backfill after placement of the pipe. Hand labor is usually required to prevent crushing the pipe during backfilling operations. No sections of pipe shall remain uncovered overnight or during a rainstorm. Place backfill material in the trench in such a manner that the drain pipe is not displaced or damaged. Do not use backfill containing any stones larger than 2-inch diameter within 2 feet of the pipe.
9. Stabilize all areas disturbed by construction.

Maintenance
Inspect the outlets to subsurface drains annually to ensure that they are free-flowing, not clogged with sediment and the animal guards are in place. Keep the outlet clean and free of debris. Keep any surface inlets open and free of sediment and other debris. Trees located too close to a subsurface drain often clog the system with their roots. If a drain becomes clogged, relocate the drain or remove the trees.
**Subsurface Drain: Design Examples**

a. **Example 1**

A permanent diversion is to be constructed up slope of a house to divert runoff away from the house and to protect the house from surface water flooding. The diversion will outlet into a stone center waterway. The area up slope of the diversion location is in woods and is to remain in woods and will not be disturbed. The diversion will be constructed on Paxton fine sandy loam. The diversion will be seeded with a lawn grass mixture. The diversion will be a part of the backyard of the house and is expected to be mowed with the yard. The diversion will have a grade of 1%.

The Paxton soil has a fragipan (hard, relatively impervious layer) at a depth of about 2 feet and it is anticipated that a seep line will develop where the back yard is excavated into original ground. To intercept this seepage, perforated corrugated plastic pipe is planned. The pipe will extend the width of the lot (200 feet) plus an additional 200 feet to a suitable outlet.

Example solution: Determine the size of the piping from Figure SD-5. Using slope = 1%, length 400 feet, is less than 900 feet, select 4" size pipe.

b. **Example 2**

This problem is the same situation as above except that a large spring is present near the property line and the beginning of the drain. The flow from this spring is measured to be about 10 gallons per minute. The slope of the land uphill from the drain line is about 4%.

Example solution: Use Figure SD-4.

Soil = fine sandy loam, therefore use an average inflow rate of 0.16 cfs/1,000 feet. Increase by 10% because of 4% land slope uphill from drain.

Calculate inflow rate:

**Equation SD-2**

\[
\text{Inflow Rate} = \frac{\text{Average Inflow Rate}}{1,000 \text{ ft}} \times \text{Pipe Length (ft)} \times \text{Increase} \\
= \frac{0.16 \text{ cfs}}{1,000 \text{ ft}} \times 400 \text{ ft} \times 1.10 \\
= 0.07 \text{ cfs}
\]

Convert inflow rate from spring 10 gal/min. to cfs.

\[
10 \frac{\text{gal}}{\text{min}} \times \frac{1.0 \text{ ft}^3}{7.44 \text{ gal}} \times \frac{1.0 \text{ min}}{60 \text{ sec}} = 0.022 \text{ cfs}
\]

Select pipe size from Figure SD-8 (n= 0.015 for corrugated plastic pipe).

Slope = 1%, discharge = 0.07 cfs. + 0.022 cfs. = 0.092 cfs

Find 4" corrugated plastic pipe is adequate. Note that velocity in the pipe will be about 1.7 feet per second.

Source: USDA-NRCS
Figure SD-7 Drainage Capacity Chart for Smooth Plastic, Clay, or Concrete Tile (n=0.011)

Source: USDA-NRCS
Figure SD-8  Drainage Capacity Chart for Corrugated Plastic Pipe (n=0.015)

Source: USDA-NRCS
Figure SD-9 Drainage Capacity Chart for Corrugated Metal Pipe (n=0.025)

Source: USDA-NRCS
Figure SD-10 Typical Section of One-Zone Subsurface Drain
(Soils with Low Piping Potential)

NOTES:
1. SEE NARRATIVE FOR GRADATION REQUIREMENTS OF ENVELOPE AND FILTER MATERIALS.
2. IF SURFACE WATER IS TO BE INTERCEPTED, EXTEND CRUSHED STONE OR GRAVEL TO THE GROUND SURFACE.
3. CONDUIT MAY BE HEAVY DUTY PERFORATED HIGH DENSITY POLYETHYLENE OR SPECIALLY DRILLED P.V.C.

Source: USDA-NRCS
Figure SD-11  Typical Section of Two-Zone Subsurface Drain
(Soils with Intermediate to High Piping Potential)

NOTES:
1. FINE CONCRETE AGGREGATE IS USED TO PREVENT PIPING OF
   ADJACENT SOILS INTO THE COARSE AGGREGATE.
2. IF SURFACE WATER IS TO BE INTERCEPTED, EXTEND FINE
   AGGREGATE TO THE GROUND SURFACE.
3. CONDUIT MAY BE HEAVY DUTY PERFORATED HIGH DENSITY
   POLYETHYLENE OR SPECIALY DRILLED PVC.

Source: USDA-NRCS
Figure SD-12 Subsurface Drain with Geotextile
(Soils with Intermediate to High Piping Potential)

NOTES:
1. THE SELECTED GEOTEXTILE SHALL BE DESIGNED SPECIFICALLY TO PREVENT PIPING OF ADJACENT SOILS INTO THE COARSE AGGREGATE.
2. IF SURFACE WATER IS TO BE INTERCEPTED, EXTEND COARSE AGGREGATE TO THE GROUND SURFACE.
3. CONDUIT MAY BE HEAVY DUTY PERFORATED HIGH DENSITY POLYETHYLENE OR SPECIALLY DRILLED P.V.C.

Source: USDA-NRCS
Planning Considerations

The only measure included in this group is Detention Basin. See the measure for planning considerations.
9-Detention Structures

Detention Basin (DB)

Definition
An impoundment made by constructing a dam or an embankment (embankment detention basin), or by excavating a pit or dugout (excavated detention basin). Basins resulting from both excavation and embankment construction are classified as embankment detention basins where the depth of water impounded against the embankment at emergency spillway elevation is three feet or more.

Purpose
• To regulate the rate and amount of runoff from development sites during and after construction operations.
• To minimize the effects of downstream erosion and flooding.

Applicability
Where there is a need to control or prevent downstream erosion and flooding due to site development or from other land use changes.

Planning Considerations
Increased downstream erosion, sedimentation and flooding may be caused by increased runoff volume, increased peak discharge, reduced time of concentration, or reduced natural storage.

To minimize design and regulatory approval costs, design detention basins to avoid inland wetlands and watercourses and so that they are not subject to water diversion or dam safety regulation. A local or state inland wetlands permit will be required if a detention basin is proposed in a wetland area.

A state water diversion permit from the DEP’s Inland Water Resources Division will be required if the contributing drainage area to the detention basin is greater than 100 acres. If the contributing drainage is less than 100 acres and no inland wetlands or watercourses are involved, then a diversion permit will not be required. However, if wetlands or watercourses are involved, a diversion permit may be required and a permit need determination should be sought from the DEP.

The DEP also regulates all dam construction within the state. Contact DEP Inland Water Resources Division early in the planning process to determine the need for a dam construction permit. Try to keep the effective height of the dam less than 15 feet and the product of the storage volume in acre-feet times the effective height of the dam less than 3,000 (see design criteria below). If these limitations are exceeded the design criteria for the embankments of the detention basin are raised to a higher standard.

Carefully consider the visual design of detention basins in areas of high public visibility and those associated with recreation. The underlying criterion for all visual design is appropriateness. The shape and form of basins, excavated material and plantings are to relate visually to their surroundings and to their function. See Figure DB-1 for a schematic of a detention basin.

In planning the detention basin consider safety features to protect the public. Design and locate any safety features so as not to interfere with the hydraulic operation of the structure.

For projects which include a temporary sediment basin, it is sometimes advantageous to locate the temporary sediment basin at the site of the detention basin. Sharing the same location may minimize site disturbance and cost. When this approach is used, the size requirements of both the detention and sediment basins must be determined and the larger of the two must be in place during the construction period. After construction, the minimum size shall be that of the detention basin. The construction should be phased so increases in runoff are controlled during the development of the project. One approach would be to construct the detention basin along with its berm and outlet works first, and expand the storage volume, if need be, to that required for the sediment basin.

The permanent outlet works may have to be temporarily modified during the construction period to provide the necessary wet and dry storage requirements for the temporary sediment basin and enhance the basin’s ability to remove sediment. Upon stabilization of the contributing watershed, accumulated sediment is removed from the basin and any work, such as modifying the outlet works or installing permanent plantings, is done to complete the permanent detention basin.
On-site detention may be undesirable when the site is located in the lower portions of a watershed before the confluence with a perennial watercourse. If detention is located in the lower reaches of a watershed, there is a risk, depending on the size and release rate of the basin, that the peak flows from the outlet control structure could combine with the peak flows from the upper reaches, thus increasing peak flows or sustaining peak flows over longer periods. These increases could result in prolonged flooding and channel erosion along and within the perennial stream course downstream of the site.

To avoid this problem a hydrologic analysis is required by an engineer. Locate the area downstream that is to be targeted for protection from additional runoff. A target area might be a flood prone road crossing, eroding stream bank or reach of stream where homes are currently endangered. Delineate the watershed to the targeted area. Determine where the proposed detention basin is located within that watershed. Conduct a hydrograph analysis to determine the timing of peak discharges. Use the Soil Conservation Service (NRCS) Technical Releases 20 or 55, U.S. Army Corps of Engineers HEC-1 or other appropriate methods which produce hydrographs to evaluate existing and post-development conditions.

If the hydrograph analysis shows that detention is detrimental to the target area, but nearby downstream concerns are present, other methods to decrease peak flows from the site will need to be utilized.

Sequence and schedule the construction of a detention basin so that it is made functional before other site construction activities cause changes in infiltration rates that can result in increases in stormwater runoff.

Finally, the ownership and responsibility for operation and maintenance of the detention basin needs to be
Design Criteria

Overall
Design the detention basin to be compatible with the floodplain management and stormwater management programs of the local jurisdiction and with local regulations for controlling sediment, erosion and runoff. The basin shall properly regulate storm discharges from the site to a safe, adequate outlet. Consider the duration of flow as well as the peak discharge. Provide adequate erosion control measures and other water-quality practices. Plan and design the basin to ensure minimal impact on visual quality and human enjoyment of the landscape. Blend structures and materials aesthetically with their surroundings.

Attempt to locate detention basins where:

- Failure of the detention basin would not, within reasonable expectations, result in loss of life, damage all-weather roads, railroads, homes, commercial and industrial properties or interrupt the use or service of utilities. (Dams which might fail and endanger life or property are regulated by the Commissioner of the Department of Environmental Protection under the CGS §§22a-401 through 22a-411.

- The effective height of the dam for an embankment detention basin should be 15 feet or less. The effective height of the dam is defined as the difference in elevation in feet between the emergency spillway crest and the lowest point in the cross section taken along the centerline of the dam.

- The product of the storage times the effective height of the dam should be less than 3,000. Storage is the volume in acre-feet in the reservoir below the elevation of the crest of the emergency spillway. The effective height of the dam is as defined above.

Detention basins that exceed any one of the above conditions shall be designed to meet the criteria in Earth Dams and Reservoirs, Technical Release 60 (TR-60) by the USDA Soil Conservation Service (NRCS).

Design Storms
If the primary purpose of the detention basin is to minimize downstream erosion and subsequent sedimentation, the peak discharge from the 2-year, 10-year and 25-year storm frequency, 24-hour duration, Type III distribution storms shall be analyzed.

If the primary purpose of the detention basin is to minimize flooding, the peak discharge from the 2-year, 10-year, and 100-year frequency, 24-hour duration, Type III distribution storms shall be analyzed.

No increase in peak flow from the 2-year, 10-year and 25-year storms shall be allowed unless downstream increases are compatible with an overall floodplain management system. Check local requirements for additional criteria that may include larger storms. Some of the items to consider in determining if increased peak flows are compatible with an overall floodplain management system are:

- The timing of peak flows from sub-watersheds;
- The increased duration of high flow rates;
- The stability of the downstream channels; and
- The distance downstream that the peak discharges are increased.

See Figure DB-6 and Figure DB-7 for structure routing graphs.

Spillway Design
The outlets for the basin shall consist of a combination of an outlet control structure (sometimes referred to as a principal spillway) and an emergency spillway. These outlets shall pass the peak runoff from the contributing drainage area for the design flood. If, due to site conditions and basin geometry, a separate emergency spillway is not feasible, the outlet control structure shall pass the entire routed peak runoff expected from the design storm. However, an attempt to provide a separate emergency spillway shall always be made. An emergency spillway shall be provided on all detention basins with a contributing drainage area equal to or exceeding 20 acres. (Refer to Emergency Spillway subsection found on Page 5-9-11). Runoff computations shall be based upon the soil cover conditions which are expected to prevail during the life of the basin. Refer to standard engineering manuals and procedures for calculations of the peak rate of runoff. Notably, the flow through any dewatering orifice or pipe shall not be utilized when calculating the design elevations because of its potential to become clogged; therefore, available spillway storage shall begin at the first stage of the outlet control structure.

Outlet Control Structure
A structural spillway may be installed which combines the outflow requirements of a principal (primary) spillway and emergency (secondary) spillway. Another type of outlet control structure may consist of a riser pipe and barrel that controls the elevation of the water and the rate of discharge for the detention basin. The barrel and riser shall be hydraulically sized such that full pipe (barrel) flow is achieved when the water level is at or below the crest of the emergency spillway. For many applications outlet control structures consist of a vertical pipe or box of corrugated metal, plastic or reinforced concrete, with a minimum diameter of 15 inches, joined by a water...
tight connection to a horizontal pipe (barrel) extending through the embankment and outletting beyond the downstream toe of the fill. The outlet control structure and amount of storage shall be sized to prevent the emergency spillway from overtopping for a 25-year design storm. If no emergency spillway is used, the outlet control structure shall be designed to pass the entire routed peak flow expected from the design storm.

**Design Elevations:** The crest of the outlet control structure shall be set at the elevation corresponding to the storage volume required. If severe sedimentation is expected, the design volume should include provisions for sediment volume.

Detention basins are individual in scope and design. The following freeboard requirements shall be used when no other design requirements exist. A minimum freeboard of 1.0 foot shall be provided between the routed water surface elevation for the design storm and the top of the embankment.

**Anti-Vortex Device:** An anti-vortex device and trash rack shall be attached to the top of a drop inlet control structure to improve the flow characteristics into the principal spillway and prevent blockage from floating debris. An example of an anti-vortex device is shown in Figure DB-8.

**Trash Racks:** To determine the dimensions of the trash rack, first determine the required cross-sectional area of the trash rack which will maintain a required maximum design velocity of 2 fps and then increase that area sufficiently to account for the obstruction caused by the bars.

The required cross-sectional area of the trash rack is estimated using the following formula:

\[
A_{\text{required}} = \frac{Q}{V}
\]

Where
- \(A_{\text{required}}\) is the required cross-sectional opening area of the trash rack,
- \(Q\) is the design flow for the outlet control structure, and
- \(V\) is the design velocity which is required to be equal to or less than 2 fps.

Once \(A_{\text{required}}\) is calculated, then it is proportionally increased to account for the obstruction of flow by the trash rack bars as follows:

\[
A_{\text{adjusted}} = A_{\text{required}} \left( \frac{I}{I - \% \text{ of area obstructed by trash rack bars}} \right)
\]

Next a design for the trash rack is suggested. The area for the suggested trash rack design is then compared to \(A_{\text{adjusted}}\).

The suggested trash rack design is acceptable only when the suggested design area is greater than \(A_{\text{adjusted}}\).

**Design Examples 1 & 2** illustrate how to determine the minimum requirements for trash racks in a detention basin with a low and high stage outlets.

---

**Detention Basin Trash Rack: Design Example 1**

Figure DB-2 shows a sloped trash rack placed on the low stage outlet for the detention basin with suggested design dimensions. Given for this example is a design flow (Q) of 25 cfs for this outlet, the required maximum velocity (V) is 2 fps, and the trash rack bars obstruct 25% of the trash rack opening area.

\[
A_{\text{required}} = \frac{Q}{V} = \frac{25 \text{ cfs}}{2 \text{ fps}} = 12.5 \text{ ft}^2
\]

Given that the bars obstruct 25% of cross-sectional opening area, increase the opening area as follows:

\[
A_{\text{adjusted}} = A_{\text{required}} \left( \frac{I}{I - 0.25} \right) = \frac{12.5}{0.75} = 16.7 \text{ ft}^2
\]

Adjusted required opening area is 16.7 ft².

A surface area of the trash rack is suggested by giving it dimensions of 3.5 ft. wide, 3.5 ft. high with its bars placed on 1:1 slope. Because the bars are sloped at a 1:1 slope, the actual length of the bars is (3.5 ft. x 1.41) or 4.94 ft. Therefore,

\[
Suggested \ Surface \ Area \ of \ the \ Rack = (\text{width}) \ (\text{length}) = (3.5 \text{ ft.}) \ (4.94 \text{ ft.}) = 17.3 \text{ ft}^2
\]

Since the suggested surface area of the sloping trash rack (17.3 ft²) is greater than the adjusted required opening area (16.7 ft²), then the suggested dimensions of 3.5 ft. wide by 3.5 ft high are acceptable.
Detention Basin Trash Rack: Design Example 2

Example #2 below calculates the minimum area on a box-shaped trash rack on the high stage outlet (see Figure DB-3) where the design flow (Q) for this outlet is 75.0 cfs, the acceptable velocity is set at 2 fps, and the trash rack bars obstruct 25% of the trash rack opening area. Given that the bars obstruct 25% of cross-sectional opening area, increase the opening area as follows:

\[
A_{\text{required}} = \frac{Q}{V} = \frac{75 \text{ cfs}}{2 \text{ fps}} = 37.5 \text{ ft}^2
\]

and

\[
A_{\text{adjusted}} = A_{\text{required}} \left( \frac{1}{1 - 0.25} \right) = \frac{37.5}{0.75} = 50.0 \text{ ft}^2
\]

Adjusted required opening area is 50.0 ft.².

Use the following formula to check the total available area of the trash rack using the suggested design dimension given in Figure DB-3.

\[
\text{Area} = [\text{side length} \times 2\text{sides}] + \text{(front)} - \text{(height)} - \text{(face of anti-vortex device)}
\]

\[
\text{Area} = [9.5 \text{ ft.}] (2) = (4.0 \text{ ft.}) (2.5 \text{ ft.}) - (0.5 \text{ ft.}) (2.5 \text{ ft.}) = 9.5 \text{ ft.}^2
\]

\[
\text{Area} = 57.5 \text{ ft.}^2 - 6.0 \text{ ft.}^2 = 51.50 \text{ ft.}^2
\]

Since the designed surface area of the high stage trash rack (51.50 ft.²) is greater than the adjusted required opening area (50.0 ft.²), then the trash rack design is acceptable.
Figure DB-2  Low Stage Trash Rack for Design Example 1

NOTES:
1. TRASH RACK SHALL BE FABRICATED OF STRUCTURAL STEEL IN COMFORMANCE WITH ASTM A-36.

2. ALL STRUCTURAL STEEL SHALL BE GALVANIZED IN ACCORDANCE WITH ASTM A-123.

Source: USDA-NRCS
Figure DB-3  High Stage Trash Rack for Design Example 2

NOTES:
1. TRASH RACK SHALL BE FABRICATED OF STRUCTURAL STEEL IN COMFORMANCE WITH ASTM A-36.

2. ALL STRUCTURAL STEEL SHALL BE GALVANIZED IN ACCORDANCE WITH ASTM A-123.

Source: USDA-NRCS
Drains: If the detention basin is intended to be dry during non-storm events, subsurface drains within the bottom of the basin which outlet into the spillway system may be incorporated as a means of dewatering the basin bottom.

Base: The base of the outlet control structure shall be designed to prevent its floatation. A minimum factor of safety of 1.25 shall be used (downward forces = 1.25 x upward forces).

Barrel: If used, the barrel of the outlet control structure, which extends through the embankment, shall be designed to carry the flow provided by the riser of the outlet control structure with the water level at the crest of the emergency spillway. The connection between the riser and the barrel shall be watertight. The area surrounding the outlet of the barrel shall be protected to prevent downstream erosion or scour. See Outlet section for more specifics.

Pipe Materials: The pipe shall be capable of withstanding the external loading without excessive yielding, buckling, or cracking. The following pipe materials are acceptable:

**Corrugated Steel Pipe**: Pipe gauge shall not be less than that indicated in Figure DB-4. The maximum outlet control structure barrel size shall be 48 inches. If larger sizes are used then a detailed analysis is required to demonstrate the structural integrity of the pipe and suitability for placement within a dam embankment. The pipe shall be helical (spiral) fabrication. The pipe shall be asphalt coated or aluminized. **Connections between pipe joints shall be watertight.** Flanges with gaskets or caulking may be used. Rod and lug coupling bands with gaskets or caulking may be used.

**Corrugated Aluminum Pipe**: Pipe gauge shall not be less than that shown in Figure DB-4. The maximum outlet control structure barrel size shall be 36 inches. If larger sizes are used then a detailed analysis is required to demonstrate the structural integrity of the pipe and suitability for placement within a dam embankment. The pipe shall be helical (spiral) fabrication. Riveted pipe shall be close riveted. The embankment materials and water shall range between pH4 and pH9. Inlets, coupling bands and anti-seep collars shall be made of aluminum or other non-corrosive materials.

Fittings for aluminum pipe of metals other than aluminum or aluminized steel shall be separated from the aluminum pipe at all points by at least two layers of plastic tape having a total thickness of at least 24 mils, or by other permanent insulating material that effectively prevents galvanic corrosion. Bolts used to join aluminum and steel shall be galvanized, plastic coated, or otherwise protected to prevent galvanic corrosion. Bolts used to join aluminum to aluminum, other than aluminum alloy bolts, shall be galvanized, plastic coated, or otherwise protected to prevent galvanic corrosion.

**Connections between pipe joints shall be watertight.** Flanges with gasket or caulking may be used. Rod and lug coupling bands with gaskets or caulking may be used. Slip seam coupling bands with gaskets or caulking may be used.

---

**Figure DB-4 Corrugated Steel & Aluminum Pipe Requirements**

| Corrugated Steel Pipe |  |  |  |  |  |  |
|-----------------------|---|---|---|---|---|
| Pipe Diameter (inches)| 8 – 21 | 24 | 30 | 36 | 42 |
| Min. Gauge (inches)   | 16 | 16 | 14 | 14 | 12 |
|                       | 10 | 10 | 10 | 10 |

| Corrugated Aluminum Pipe |  |  |  |  |  |  |
|--------------------------|---|---|---|---|---|
| Pipe Diameter (inches)   | 8 – 21 | 24 | 30 | 36 |
| Gauge (inches)           | 16 (.06) | 16 (.06) | 14 (.075) | 14 (.075) |
|                          | 12 (.105) | 10 (.135) | 10 (.135) |
Pipe: The PVC shall meet the requirements of Figure DB-5. Connections between pipe joints and anti-seep collar connections to pipe shall be watertight. Pipe joints shall be solvent welded, O-ring, or threaded. All fittings and couplings shall meet or exceed the same strength requirements as that of the pipe and be made of material that is recommended for use with the pipe. Connections of PVC pipe to less flexible pipe or structures shall be designed to avoid stress concentrations that could rupture the PVC. When using PVC pipe the maximum outlet control structure barrel size shall be 12 inch, except a larger PVC pipe may be used if the engineering design calculations justify the use of a larger pipe.

Connections of PVC pipe to less flexible pipe or structures shall be designed to avoid stress concentrations that could rupture the PVC. When using PVC pipe the maximum outlet control structure barrel size shall be 12 inch, except a larger PVC pipe may be used if the engineering design calculations justify the use of a larger pipe.

<table>
<thead>
<tr>
<th>Nominal Pipe Size (inches)</th>
<th>Maximum Depth of Fill Over Pipe (feet)</th>
</tr>
</thead>
<tbody>
<tr>
<td>6, 8, 10, 12 Sched. 40</td>
<td>10</td>
</tr>
<tr>
<td>8, 10, 12 Sched. 80</td>
<td>15</td>
</tr>
<tr>
<td>12 SDR 26</td>
<td>10</td>
</tr>
</tbody>
</table>

*Polyvinyl chloride pipe. PVC 1120 or PVC 1220, conforming to ASTM D 1785 or ASTM D 2241

Smooth Steel: The minimum wall thickness shall be 3/16 inch. Previously used pipe may be used providing it is in good condition and does not have deep rust pits. The maximum outlet control structure barrel diameter shall be 48 inches. Pipe joints shall be threaded or welded by a competent welder. There are also several acceptable pre-engineered couplings available from pipe distributors.

Concrete Pipe With Rubber Gasket Joints: The pipe shall be designed with a concrete bedding. Connections between pipe joints and anti-seep collar connections to pipe shall be watertight and remain watertight after movement caused by foundation consolidation and embankment settlement.

Inlet: The inlet of the outlet control structure shall be structurally sound and made from materials compatible with the pipe. The inlet shall be designed to prevent floatation. The inlet shall be designed to function satisfactorily for the full range of flow and hydraulic head anticipated. The inlet materials are subject to the same limitations and requirements as the pipe materials.

Hood Inlet: If the pipe is designed for pressure flow, the minimum available head between the top of the pipe and the crest of the emergency spillway shall be adequate to prime the pipe. This head shall be at least 1.4 times the pipe diameter. See Figure DB-9 for hood inlet detail.

Drop Inlet: If the pipe is designed for pressure flow the weir length of the drop inlet shall be adequate to prime the pipe when the water surface is at or below the emergency spillway crest. For pipe barrels placed on critical slope or flatter, the drop inlet shall be at least 2D deep, where D is the barrel diameter. For pipe placed on steeper than critical slope, the drop inlet shall be at least 5D deep, where D is the barrel diameter.

Outlets: The outlet for the outlet control structure area shall be protected to prevent erosion. In addition, the outlet shall be designed to function satisfactorily for the full range of flow and hydraulic head anticipated. Protection against scour at the discharge end of the spillway shall be provided. Measures may include an impact basin, outlet protection, riprap, excavated plunge pools or use of other generally accepted methods.

Pipes larger than 18 inches shall have an energy dissipator such as one of the following:

- Saint Anthony Falls type outlet;
- impact basin;
- stilling basin or plunge pool; or
- outlet protection in accordance with the outlet protection measure.

Anti-Seep Collars: Since very little time is spent in determining potential phreatic water surfaces within detention basins, it is accepted practice to design anti-seep controls as if the basin were always full of water. Anti-seep collars shall be designed for installation on the barrel of the outlet control structure within the normal saturation zone of the embankment to increase the seepage length by at least 10%, if either of the following two conditions exist:

1. The settled height of the embankment exceeds 10 feet.
2. The embankment soils have less than 15% passing the #200 sieve and the barrel is greater than 10 inches in diameter.

The anti-seep collars shall be installed within the saturated zone. The maximum spacing between collars shall be 14 times the projection of the collars above the barrel and in no case shall the spacing be greater than 25 feet. Collars shall not be closer than 2 feet from a pipe joint. Collars shall be placed sufficiently far apart to allow space for hauling and compacting equipment. Precautions should be taken to ensure 90%-95% standard proctor compaction is achieved around the collars. Connections between the collars and the barrel shall be watertight. See Figure DB-10 for details.

Alternatives to Anti-Seep Collars: Anti-seep collars are designed to control seepage and piping along the barrel by increasing the flow length and thus making any flow along the barrel travel a longer distance. However, due to the constraints that collars impose on embankment fill placement and compaction, collars may sometimes be ineffective if proper placement procedures are not followed precisely.

Alternative measures have been developed and are being incorporated into embankment designs. These measures include a structure known as a “filter diaphragm.” A filter diaphragm consists of a layer of sand and fine gravel which runs through the dam embankment perpendicular to the barrel. The measure controls the
transport of embankment fines, which is the major concern with piping and seepage. The diaphragm is designed to trap any embankment material being transported by seepage along the barrel. The flow is then conveyed out of the embankment via a pipe to the toe of the fill.

The critical design element for the filter diaphragm is the grain-size distribution of the filter material which is determined by the grain-size distribution of the embankment fill material. The use and design of filter diaphragms shall be based on site-specific geotechnical information and its construction should be supervised by a qualified professional.

**Dewatering Pipe**
A pipe with a suitable valve shall be provided to dewater the basin if the basin has a permanent pool. The outlet control structure may be used in conjunction with a dewatering pipe when so located to accomplish this function.

**Emergency Spillway**
An attempt to provide a separate emergency spillway shall always be made. However, there shall be an emergency spillway on all detention basins with a contributing drainage area equal to or exceeding 20 acres. The emergency spillway acts as a safety release for a detention basin, or any impoundment-type structure, by conveying the larger, less frequent storms through or around the basin without damage to the embankment.

The emergency spillway shall consist of an open channel (earthen and vegetated) constructed adjacent to the embankment over undisturbed material not within the embankment (not fill). The spillway shall have a control section at least 20 feet in length. The control section is a level portion of the spillway channel at the highest elevation in the spillway profile. Where conditions require the construction of an emergency spillway on the embankment, a spillway shall be constructed of a non-erodible material such as riprap. As an alternative, a structural spillway may be installed which combines the outflow requirements of a principal (primary) spillway and emergency (auxiliary) spillway.

The minimum capacity of a natural or constructed emergency spillway shall be that required to pass the peak flow expected from a design storm of 100-year frequency, 24-hour duration, Type III distribution less any reduction creditable to conduit discharge and detention storage. The capacity shall be calculated using the appropriate retardance and permissible velocities, as given in the **Vegetated Waterway** measure.

The emergency spillway shall safely pass either the peak inflow of the design storm runoff or the peak flow after routing.

**Excavated Detention Basins:** Excavated detention basins shall be designed with an outlet control structure constructed as described on **Page 5-9-4**. They can use the natural ground for the emergency spillway if the outlet slope is 5:1 or flatter and has existing vegetation or is immediately protected by sodding, riprap, asphalt lining, concrete lining, or other equally effective protection. The spillway shall meet the capacity requirement for embankment detention basins.
Embankment Detention Basins: Embankment detention basin spillways shall meet the following requirements:

Component Parts: Emergency spillways are open channels and consist of an inlet channel, control section and an exit channel. The emergency spillway should be as long as possible to provide protection from breaching. These emergency spillways should be constructed in natural ground whenever possible and have the discharge areas located at least 20 feet downstream of the embankment.

- **Inlet Channel:** The inlet channel shall be level and straight for at least 20 feet upstream from the control section. Where site conditions do not allow a 20-foot long level section, armoring or sills may be utilized to prevent head cutting or breaching of the emergency spillway. Upstream from the level area the inlet channel may be graded back towards the basin to provide drainage. The alignment of the inlet channel may be curved upstream and flared out towards upstream from the straight portion.

- **Exit Channel:** The grade of the exit channel of an emergency spillway shall fall within the range established by discharge requirements and permissible velocities. For embankment dams the exit channel shall convey the design flow downstream to a point where the flow will not discharge to the toe of the embankment dam. Generally, the design flow should be contained in the exit channel without the use of dikes. If a dike is necessary, it shall have 2:1 or flatter side slopes, a top width minimum of 8 feet, and be high enough to contain the design flow plus one foot of freeboard.

  **Velocity:** The maximum permissible velocity in the exit channel shall be 4 feet per second for vegetated channels in soils with a plasticity index of 10 or less and 6 feet per second for vegetated channels in soils with a plasticity index greater than 10. For exit channels with erosion protection other than vegetation, the velocities shall be in the acceptable range for the type of protection used.

  **Cross Sections:** Emergency spillways shall be trapezoidal and be located in undisturbed earth whenever practical. The side slopes shall be 2:1 or flatter. The minimum bottom width shall be 10 feet. The hydraulic requirements determine the elevation differences between the crest of the emergency spillway and the top of dam.

Structural Spillways Other Than Pipe

Structural spillways other than pipe shall be designed based on sound engineering data with acceptable soil and hydrostatic loadings as determined on an individual site basis.

When used as an outlet control structure, these structural spillways shall otherwise meet the flow requirements for outlet control structures and shall not be damaged by the emergency spillway design storm. When used as an emergency spillway, these structural spillways shall meet the capacity requirements for emergency spillways.
Earth Embankment

Freeboard: A minimum freeboard of 1.0 foot shall be provided between the routed water surface elevation for the design storm and the top of the embankment of the 100 year storm.

Top Width: The minimum top width of the embankment shall be 8 feet.

Side Slopes: For embankment stability the combined upstream and downstream side slopes of the settled embankment when added together shall not be less than 5:1 with neither slope steeper than 2:1. Slopes shall be designed to be stable in all cases.

Materials: The fill material for the embankment shall be taken from approved borrow areas. It shall be clean mineral soil, free of roots, woody vegetation, stumps, sod, oversized stones, rocks, or other organic or unsuitable material. The material selected shall have enough strength for the embankment to remain stable and be tight enough, when properly compacted, to prevent excessive seepage of water through the dam. Fill containing particles ranging from small gravel or coarse sand to fine sand and clay in desired proportions is appropriate. Embankment material should contain at least 15% passing the #200 sieve and not more than 50% passing the #200 sieve.

No stones larger than 6 inches shall be allowed within the compacted embankment. Within two feet of any structure, the maximum size shall be 3 inches. Construction shall not take place during cold periods where temperatures are consistently lower than 40 degrees Fahrenheit. The soil intended for the embankment shall be laboratory tested with a written report by a professional engineer licensed to practice in Connecticut, experienced in the field of soil mechanics. The report shall carry the engineer’s findings and suggested design parameters if at variance with those proposed in the design.

Compaction: Areas on which fill is to be placed shall be scarified prior to placement of fill. The fill material shall contain the proper amount of moisture to ensure that 90%-95% standard proctor compaction will be achieved. Fill material will be placed in 9-inch continuous layers over the entire length of the fill. Compaction shall be obtained by routing the hauling equipment over the fill so that the entire surface of the fill is transversely at least one wheel or tread track of the equipment, or by using a compactor. Special care shall be taken in compacting around the anti-seep collars, conduits, and structures to avoid damage and achieve desired compaction.

Foundation Cutoff for Embankment Detention Basin: A foundation cutoff constructed with relatively impermeable materials shall be provided for all embankments. The minimum depth of the cutoff shall be 2 feet. The cutoff trench, as a minimum, shall extend up both abutments to the emergency spillway crest elevation. The minimum bottom width shall be 4 feet and wide enough to permit operation of compaction equipment. The side slopes shall be no steeper than 1:1. Compaction requirements shall be the same as those for the embankment. The trench shall be kept free from standing water during the backfilling operations.

Seepage Control: Seepage control is to be included if seepage may create swamping downstream, if needed to ensure a stable embankment, or if special problems require drainage for a stable embankment. Seepage control may be accomplished by foundation, abutment or embankment drains, reservoir blanketing or a combination of these and other measures.

Foundation: The area on which an embankment is to be placed shall consist of material that has sufficient bearing strength to support the earthfill and structures without excessive consolidation. Any unsuitable materials shall be removed from the foundation area before construction.

Installation Requirements

Construct the detention basin in accordance with the engineered design.

Site Preparation

Clear, grub, and strip topsoil to remove trees, vegetation, roots, or other unsuitable material from areas under the embankment or any structural works related to the basin. Clear and grub the area of most frequent inundation (measured from the top of the outlet control structure) of all brush and trees to facilitate clean out and restoration.

Install Sediment Controls for Contributing Areas

Install sediment controls to trap sediment before it enters and leaves the detention basin construction site. Stabilize the dam and emergency spillway in accordance with the engineered design, stabilize the spoil and borrow areas, and other disturbed areas in accordance with the Temporary Seeding or Permanent Seeding measures, whichever is applicable.

Safety

Install safety features and devices to protect humans and animals from such accidents as falling or drowning. Temporary fencing can be used until barrier plantings are established. Use protective measures such as guardrails and fences on spillways and impoundments as needed.

Operation and Maintenance

Ownership

The ownership and responsibility for operation and maintenance of the detention basins should have been determined by the completion of the local regulatory processes. The owner may be a homeowner, a homeowners association or a municipality.

There should be a legally binding and easily
Figure DB-6  Structure Routing Graph

Source: USDA-NRCS
Figure DB-7  Structure Routing Graph for Type III Distributions

Source: USDA-NRCS
Figure DB-8  Example of Anti-Vortex with Trash and Safety Guard Diagram

Source: USDA-NRCS
Detention Basin (DB)

### Table of Quantities Material List & Weights for Anti-Vortex Plate

<table>
<thead>
<tr>
<th>PIPE DIA. INCHES</th>
<th>PLATEGAGE INCHES</th>
<th>PLATE SIZE INCHES</th>
<th>2” x 3” x 1/4” Steel Angle Braces (One Right and One Left)</th>
<th>2” x 3” x 1/4” Steel Angle Support Feet and Inches</th>
<th>2” x 3” x 1/4” Steel Machine Bolt with Nut and Washer No. Required</th>
<th>TOTAL WEIGHT LBS</th>
</tr>
</thead>
<tbody>
<tr>
<td>15</td>
<td>16</td>
<td>22 1/2 x 23 1/2</td>
<td>8</td>
<td>7.78</td>
<td>8.59</td>
<td>11</td>
</tr>
<tr>
<td>16</td>
<td>17</td>
<td>27 x 27</td>
<td>10</td>
<td>9</td>
<td>7.78</td>
<td>2</td>
</tr>
<tr>
<td>21</td>
<td>16</td>
<td>31 1/2 x 31 1/2</td>
<td>10</td>
<td>9</td>
<td>9</td>
<td>5.13</td>
</tr>
<tr>
<td>24</td>
<td>16</td>
<td>36 x 36</td>
<td>13</td>
<td>11.54</td>
<td>12</td>
<td>11.54</td>
</tr>
<tr>
<td>30</td>
<td>14</td>
<td>45 x 45</td>
<td>13</td>
<td>17.70</td>
<td>12</td>
<td>17.70</td>
</tr>
<tr>
<td>36</td>
<td>12</td>
<td>54 x 54</td>
<td>13</td>
<td>21 1/8</td>
<td>12</td>
<td>21 1/8</td>
</tr>
</tbody>
</table>

### Notes:
1. All bolts shall be 3/8” x 1 1/2” with nut and split washers.
2. All holes for bolts shall be drilled 7/16” diameter.
3. All nuts, bolts and washers shall be galvanized, cadmium plated or stainless steel.
4. All cuts shall be saw or shear cuts.
5. Holes in the angle brace shall be spaced and located to match corrugations in pipe and baffle.
6. Steel angles shall be galvanized.
7. All galvanizing damaged by cutting, drilling or welding shall be repaired by painting with two (2) coats of zinc dust – zinc oxide primer.

---

Source: USDA-NRCS
NOTES:
1. ANTI-SEEP COLLARS TO BE FULLY BITUMINOUS COATED.
2. THE MAXIMUM SPACING OF ANTI-SEEP COLLARS ALONG THE CONDUIT SHALL BE NO GREATER THAN 14V, AND IN NO CASE GREATER THAN 25 FEET.
3. ANTI-SEEP COLLARS SHALL BE PLACED AT LEAST 2 FEET FROM PIPE JOINTS.
4. SEE FIGURE DB-4 FOR GAUGE.
Planning Considerations

The energy dissipator measures are **Level Spreader**, **Outlet Protection** and **Stone Check Dam**. These measures serve the common function of dissipating the energy of runoff waters for the specific purpose of reducing erosion potential. They may be installed for either permanent or temporary use. While level spreaders and outlet protection are typically installed for permanent use, stone check dams are for temporary installations.

The **Level Spreader** measure includes a depression at the outfall for a channel or culvert with a broad stable discharge area used for the purpose of de-energizing and dispersing the runoff water to stable ground. It is commonly used with measures found in the Diversions Functional Group. When used at the outfall of a conduit, care should be given to insure that the exit velocities from the measure do not exceed the receiving area’s capability to remain stable.

The **Outlet Protection** measure is also used at the outfall of a channel or culvert but uses structures or riprap to serve the multiple purpose of preventing scour and reducing runoff velocities to the receiving channels or watercourses.

The **Stone Check Dam** measure uses a dam constructed of stone across a drainageway to reduce the potential for channel erosion. Stone check dams are useful during the establishment of vegetative linings in channels. They can sometimes trap sediment particles by virtue of their ability to pond runoff, but are not a substitute for a **Temporary Sediment Trap** or **Temporary Sediment Basin**.
10-Energy Dissipators

Level Spreader (LS)

Definition
An outlet for diversions and other water conveyances consisting of an excavated depression with a broad stable point of discharge constructed at zero grade across a slope.

Purpose
To reduce the depth and velocity of concentrated runoff and release it uniformly as sheet flow onto a stable area.

Applicability
- Where there is a need to carry storm water away from disturbed areas and to avoid stressing erosion control measures.
- Where sediment reduced runoff can be released in sheet flow over a stabilized slope without causing erosion.
- Where the spreader can be constructed on undisturbed soil.
- Where the area below the level spreader lip has a slope of 5% or flatter and is stabilized by vegetation.

Planning Considerations
The Temporary Diversion measure and the Water Bar measure each calls for a stable outlet for concentrated storm water flows. The level spreader is a relatively low-cost structure to release small volumes of concentrated flow where site conditions are suitable.

Check the proposed location of the level spreader to ensure it can be constructed on level, stable, and undisturbed ground. Any depressions in the outlet lip of the spreader could concentrate flow, and result in erosion. Check conditions downslope from the spreader to ensure the runoff water will not reconcentrate after release unless it occurs during interception by another measure (such as a permanent pond or detention basin) located below the level spreader.

For higher design flow conditions, a rigid outlet lip design is required to ensure the desired sheet flow conditions.

Special care should be taken when designing level spreaders on terrace escarpments located in the Connecticut River valley. These areas are very susceptible to erosion by the concentration flows. Consider using alternative methods to discharge runoff through the escarpment area.

Design Criteria
Slopes shall be sufficiently smooth to preserve sheet flow and prevent flow from concentrating.

Criteria provided below are for flows from a 10-year frequency storm that is equal to or less than 20 cfs ($Q_{10}$ ≤ 20 cfs). For higher flows use other standard engineering practices that will result in a diffuse non-erosive discharge.

Spreaders Dimensions
Determine the size of the level spreader by estimating the peak flow expected from a 10-year storm ($Q_{10}$).

Select the appropriate length, width and depth of the spreader from Figure LS-1.

Provide a 20-foot transition section in the diversion channel so that the width of the diversion will smoothly transition with the width of the spreader to ensure more uniform outflow.

Make the depth of the level spreader, as measured from the lip, at least 6 inches. The depth may be made greater to increase temporary storage capacity, improve trapping of debris and to enhance settling of any suspended solids.

Grade
The grade of the channel for the last 20 feet of the dike or diversion entering the level spreader shall be no steeper than 1%.

<table>
<thead>
<tr>
<th>Design Flow, $Q_{10}$ (cfs)</th>
<th>Depth (ft.)</th>
<th>Width of Lower Side Slope of Spreader (ft.)</th>
<th>Length (ft.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 – 10</td>
<td>0.5</td>
<td>6</td>
<td>10</td>
</tr>
<tr>
<td>10 – 20</td>
<td>0.6</td>
<td>6</td>
<td>20</td>
</tr>
</tbody>
</table>
The grade of the level spreader channel shall be 0.0%. 

**Spreader Lip**
The level lip of the spreader shall be of uniform height and zero grade over the length of the spreader with its discharge to an undisturbed well-vegetated area having a maximum slope of 5%. Slopes shall be sufficiently smooth to preserve sheet flow and prevent flow from concentrating.

The level spreader lip may be stabilized by vegetation or may be of a rigid non-erodible material depending on the expected design flow.

A vegetated level lip shall be constructed with an erosion-resistant material, such as permanent turf reinforcement matting or temporary erosion control blankets, to inhibit erosion and allow vegetation to become established.

For higher design flows and permanent installations, a rigid lip of non-erodible material, such as pressure-treated timbers or concrete curbing, shall be used.

If a riprap apron is selected for a level spreader, Figure LS-4 may be used for design of the measure.

### Installation Requirements (see Figure LS-3)
1. Construct the level spreader on undisturbed soil (not fill material).
2. Shape the entrance to the spreader in such a manner as to ensure that runoff enters directly onto the 0.0% channel.
3. Construct a 20-ft. long transition section from the diversion channel to blend smoothly to the width and depth of the spreader.
4. Construct the level lip at 0.0% grade to ensure uniform spreading of storm water runoff flow.
5. The protective covering for a vegetated lip shall be a minimum of 4 feet wide extending 6 inches over the lip and buried 6 inches deep in a vertical trench on the lower edge. Butt the upper edge smoothly cut sod, and securely hold in place with closely spaced heavy duty wire staples.
6. Entrench the rigid level lip at least 2 inches below existing ground and securely anchor to prevent displacement. Place an apron of DOT #3 stone or modified riprap at the top of the level lip and extended down slope at least 3 feet. Place the geotextile under stone and use galvanized wire mesh to hold stone securely in place.
7. Stabilize the disturbed area around the spreader immediately after its construction (see Permanent Seed, Mulch for Seed and/or Stone Slope Protection measures).

### Maintenance
For temporary installations, inspect the level spreader at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs.

For permanent installations, inspect after major rainstorms or once a year.

Maintain the level spreader lip at 0.0% slope to allow for proper functioning of the measure. Avoid the placement of any material on and prevent construction traffic across the structure. If the measure is damaged by construction traffic, repair it immediately.
Figure LS-3  Illustration of a Level Spreader with a Rigid Lip
**Design Example**

Given: \(D_o = 1.5\ \text{ft},\ Q = 14.5\ \text{cfs},\ TW = 0.7\ \text{ft}.\)

Find: \(L_a, W, d_{50}\)

\[
L_a = \frac{1.7Q}{D_o^{3/2}} + 8D_o = \frac{1.7(14.5\ \text{cfs})}{1.5^{3/2}} + 8(1.5) = 25.4
\]

\[
W = 3D_o + L_a = 3(1.5\ \text{ft.}) + 25.4\ \text{ft.} = 29.9\ \text{ft.} \text{ (Say 30 ft.)}
\]

Median Stone Diameter:

\[
d_{50} = \left(\frac{0.02}{TW}\right)^{4/3} \left(\frac{Q}{D_o}\right)^{4/3} = \left(\frac{0.02}{0.7}\right) \left(\frac{14.5}{1.5}\right)^{4/3} = 0.58 = 7\ \text{inches}
\]

Source: USDA-NRCS
Planning Considerations
Analysis and appropriate treatment shall be done along the entire length of the flow path from the end of the conduit, channel or structure to the point of entry into an existing stream or publicly maintained drainage system. Where flow is excessive for the economical use of an apron, excavated stilling basins may be used. Acceptable designs for stilling basins may be found in the following sources:


(All of the above are available from the U.S. Government Printing Office.)


Design Criteria

**Determination of Needs**
The need for conduit outlet protection shall be determined by comparing the allowable velocity which the soil will withstand to the exit velocity of the flow from the conduit. The allowable velocity for water over the soil shall be that given in *Figure OP-1*. The exit velocity of the water in the conduit shall be calculated using the greater of the conduit design storm or the 25-year frequency storm. When the exit velocity of the water in the conduit exceeds the allowable velocity for the soil, outlet protection is required. Outlet protection is also required if the conduit outfall is set above the receiving channel (i.e., cantilevered) causing the water to drop at the outlet end of the culvert.

**Riprap Aprons**

**Design Limitations**: No bends or curves at the intersection of the conduit and the apron protection will be permitted.

There shall be no vertical drop from the end of the apron to the receiving channel.

### Figure OP-1 Allowable Velocities for Various Soils

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>Allowable Velocity (ft./sec.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sand and sandy loam</td>
<td>2.5</td>
</tr>
<tr>
<td>Silt Loam</td>
<td>3.0</td>
</tr>
<tr>
<td>Sandy clay loam</td>
<td>3.5</td>
</tr>
<tr>
<td>Clay loam</td>
<td>4.0</td>
</tr>
<tr>
<td>Clay, fine gravel, graded loam to gravel</td>
<td>5.0</td>
</tr>
<tr>
<td>Cobble</td>
<td>5.5</td>
</tr>
<tr>
<td>Shale</td>
<td>6.0</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
**Apron Dimensions:** If an apron is used for energy dissipation, the following criteria apply:

1. The length of the apron, \( L_a \), shall be determined from the formula:

\[
L_a = \frac{1.7Q}{D_o^{3/2}} + 8D_o
\]

where:
- \( D_o \) is the maximum inside culvert width in feet, and
- \( Q \) is the pipe discharge in cubic feet per second (cfs) for the conduit design storm or the 25-year storm, whichever is greater.

2. The width of the apron, \( W \), shall be determined as follows:
   - **Where there is a well-defined channel downstream of the apron,** the bottom width of the apron shall be at least equal to the bottom width of the channel. The structural lining shall extend at least one foot above the tailwater elevation but no lower than two-thirds of the vertical conduit dimension above the conduit invert.
   - **Where there is no well defined channel immediately downstream of the apron,** the width, \( W \), of the outlet end of the apron shall be as follows:
     - For tailwater elevation greater than or equal to the elevation of the center of the pipe, \( W = 3D_o + 0.4L_a \)
     - For tailwater elevation less than the elevation of the center of the pipe, \( W = 3D_o + L_a \)

where:
- \( L_a \) is the length of the apron determined from the length formula and
- \( D_o \) is the culvert width.

The width of the apron at the culvert outlet shall be at least three times the culvert width.

3. The side slopes shall be 2:1 or flatter.

4. The bottom grade shall be 0.0% (level).

5. There shall be no vertical drop at the end of the apron or at the end of the culvert.

**Riprap Requirements**

1. The median stone diameter, \( d_{50} \), in feet is determined using the formula for \( d_{50} \) found in the Outlet Protection Design Example Problem on page 5-10-8 where:
   - \( Q \) and \( D_o \) are as defined under apron dimensions and
   - \( TW \) is tailwater depth above the invert of the culvert in feet.

2. At least 50% by weight of the riprap mixture shall be larger than the median size stone designated as \( d_{50} \).
   The largest stone size in the mixture shall be 1.5 times the \( d_{50} \) size. The riprap shall be reasonably well graded.

3. The thickness of riprap lining, filter and quality shall meet the requirements in the riprap standard.

4. Concrete paving may be substituted for riprap. However, this method may not provide for energy dissipation.

5. Gabions or precast cellular blocks may be substituted for riprap if the \( d_{50} \) size calculated above is less than or equal to the thickness of the gabions or concrete revetment blocks.

See Example Design Problem on next page.

**Other Outlet Protections**

Standard engineering practices allow for many different types of outlet protection which provide energy dissipation. Common outlet protections include the use of a riprap apron (see Figure OP-2) and a riprap stilling basin (see Figure OP-3). Also see Figure LS-4 in Level Spreader measure which combines a level spreader with outlet protection.

**Installation Requirements**

Install in accordance with the requirements of the engineered design.

**Maintenance**

Inspect the completed structure annually and after each major rainfall for damage and deterioration. Repair damages immediately.
Outlet Protection Design Example Problem

Given: $D_o = 1.5$ ft, $Q = 14.5$ cfs, $TW = 0.7$ ft.
Find: $L_a$, $W$, $d_{50}$

Solution:

For $L_a$ (length of apron):

$$L_a = \frac{1.7Q}{D_o^{3/2}} + 8D_o = \frac{1.7(14.5 \text{ cfs})}{1.5^{3/2}} + 8(1.5) = 25.4$$

Answer: $L_a = 25.4$ ft.

For $W$ (apron width):

$$W = 3D_o + L_a = 3(1.5) + 29.9 = 30 \text{ ft.}$$

Answer: $W = 30$ ft.

For $d_{50}$ (median stone diameter):

$$d_{50} = \left(\frac{0.02}{TW}\right)^{4/3} \left(\frac{Q}{D_o}\right)^{4/3} = \left(\frac{0.02}{0.7}\right)^{4/3} \left(\frac{14.5}{1.5}\right)^{4/3} = 0.58 \text{ ft.}$$

Answer: $d_{50} = 0.58$ ft or 7 inches
Figure OP-2 Outlet Protection Utilizing Riprap Apron
Figure OP-3  Configuration of Outlet Protection using a Riprap Stilling Basin

NOTES:
1. RIPRAP SIZE AND THICKNESS SHALL BE ADJUSTED UPWARD AS THE STORM DRAIN SIZE AND OUTLET VELOCITY INCREASE. SEE PLANNING CONSIDERATIONS SECTION FOR DESIGN REFERENCES.
2. CONSIDER THE APPLICATION OF CEMENTIOUS GROUT IN THE RIPRAP TO PREVENT VANDALISM AND FACILITATE SEDIMENT AND DEBRIS CLEANOUT.

Source: USDA-NRCS
### Planning Considerations

A stone check dam is considered to be temporary if it is used less than 1 year. It is considered to be permanent if it is used more than 1 year. Its length of use and the size of the watershed determine if an engineered design is required (see Figure SCD-1).

When planning the location of the stone check dam(s) consider the tailwater effects, duration of ponding, stone size, the contributing watershed and, if placed in a watercourse, the effects on fish habitat and fish passage. Also assess if the final use of the area will require the stone check dam(s) to be removed. Give consideration to mowing requirements and aesthetics. For stone check dams to be located in a vernal, intermittent or permanent watercourse, check with regulatory authorities regarding permits.

### Design Criteria

No engineered design is required for a stone check dam if the contributing drainage area is 2 acres or less and its intended use is shorter than 6 months.

If the contributing drainage area is greater than 2 acres or its intended use is longer than 6 months, design the stone check dam according to generally accepted engineering standards (e.g. National Engineering Handbook – Part 650, DOT Drainage Manual). Additionally, the design shall contain construction standards and specifications required for implementation and maintenance of the measure.

For use of a stone check dam less than 1 year, design the stone check dam to safely pass the peak flow expected from a 2-year frequency storm without structural failure and adverse tailwater effects.

For use of a stone check dam exceeding 1 year, design the stone check dam to safely pass the peak flow expected from a 25-year frequency storm without structural failure of the check dam and adverse tailwater effects.

### Specifications

For engineered stone check dams, construct the stone check dam in accordance with the design standards and specifications. For all non-engineered stone check dams, comply with the following specifications.

### Materials

**Stone:** Shall meet the requirements of DOT Standard Specifications Section M.01.01, #3 aggregate. The stone shall be sound, tough, durable, angular, not subject to disintegration on exposure to water or weathering, be chemically stable, and shall be suitable in all other respects for the purpose intended.
Application

Place the stone by hand or machine, making side slopes no steeper than 1:1 (i.e., the angle of repose) with a maximum height of 3 feet at the center of the check dam. A geotextile may be used under the stone to provide a stable foundation and to facilitate removal of the stone.

In Drainageways: The minimum height of the check dam shall be the flow depth of the drainageway but it shall not exceed 3 feet in height at the center. Extend the stone check dam to the full width of the drainageway, plus 18 inches on each side leaving the height of the center of the stone check dam approximately 6 inches lower than the height of the outer edges (see Figure SCD-2).

The maximum spacing between check dams shall be such that the toe of the upstream check dam is at the same elevation as the top of the center of the downstream check dam (see Figure SCD-2).

Catch Basins in Drainageways on Slopes and at Culvert Inlets: Where catch basins in drainageways are located on slopes or at culvert inlets, locate the check dam across the drainageway no farther than 20 feet above the catch basin or culvert. For culvert inlets, locate the check dam at least 6 feet from the inlet (see Figure SCD-3).

Catch Basins in depressions or low spots (yard drains): Encircle the entire catch basin with a stone check dam not to exceed 18 inches in height and 3 feet out from the outside edge of the top of the frame (see Figure SCD-4).

Culvert Inlets: Locate the stone check dam approximately 6 feet from the culvert in the direction of the incoming flow (see Figure SCD-5).

Special Case Combinations for Added Filtration & Frozen Ground Conditions: These are non-engineered stone check dams modified for use in critical watersheds (e.g. public water supply, cold water fisheries) when the drainage area is 2 acres or less or when a sediment barrier (e.g. public water supply, cold water fisheries) when the stone check dams modified for use in critical watersheds (e.g. public water supply, cold water fisheries) when the stone check dam provides stability. The geotextile must meet the minimum standards set forth in Geotextile Silt Fence measure. Partially construct the stone check dam to at least half its height. Place the geotextile over the partially built dam with sufficient material on the upstream side to allow for it to make complete contact with the ground. Complete the placement of stone by burying the geotextile within the check dam. Useful life of the measure is limited by the life of the geotextile used and maintenance.

Stone Check Dam/Geotextile (Figure SCD-6): Stone check dams that are installed with an internal core of geotextile. The geotextile encourages ponding while the stone check dam provides stability. The geotextile must meet the minimum standards set forth in Geotextile Silt Fence measure. Partially construct the stone check dam to at least half its height. Place the geotextile over the partially built dam with sufficient material on the upstream side to allow for it to make complete contact with the ground. Complete the placement of stone by burying the geotextile within the check dam. Useful life of the measure is limited by the life of the geotextile used and maintenance.

Stone Check Dam/Hay Bales (Figure SCD-6): Stone check dams that are installed with a core of hay bales. The hay bales provide filtering capacity while the stone check dam provides stability. The hay bales must meet the minimum standards set forth in Hay Bale Barrier measure. At the location of the stone check dam first lay a loose bed of hay several inches thick along the entire length of the check dam alignment. Place hay bales with the ends of adjacent bales tightly abutting one another. Wedge any gaps with loose hay. Bury hay bales with stone and complete the construction of the stone check dam as indicated in the Application paragraphs above. Useful life of the measure is limited by the life of the hay bales and maintenance.

Maintenance

For permanent stone check dams, inspect and maintain the stone check dam in accordance with the standards and specifications provided in the design.

For temporary stone check dams, inspect stone check dams at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs.

Remove the sediment deposits when deposits reach approximately half the height of the check dam.

Replace or repair the check dam within 24 hours of observed failure. Failure of the check dam has occurred when sediment fails to be retained because:

- stone has moved,
- soil has eroded around or under the check dam reducing its functional capacity, or
- trapped sediments are overtopping the check dam.

When repetitive failures occur at the same location, review conditions and limitations for use and determine if additional controls (e.g. temporary stabilization of contributing area, diversions, stone check dams) are needed to reduce failure rate.

Maintain the stone check dam until the contributing area is stabilized.

After the contributing area is stabilized, remove accumulated sediment. Stone check dams may be removed or graded into the flow line of the channel over the area left disturbed by sediment removal. Grade so there are no obstructions to water flow. If stone check dams are used in grass-lined channels which will be mowed, remove all the stone or carefully grade out the stone to ensure it does not interfere with mowing.

Stabilize any disturbed soil that remains from check dam removal operations.
Figure SCD-2  Stone Check Dam Installation in Drainageways

1. KEY STONE INTO THE DITCH BANKS AND EXTEND INTO THE ABUTMENTS A MINIMUM OF 18" TO PREVENT FLOW FROM FLANKING THE CHECK DAM.

2. THE MINIMUM DESIGN CAPACITY SHALL CONVEY A 2 YEAR - 24 HOUR PEAK FLOW.

Source: USDA-NRCS
Figure SCD-3  Stone Check Dam Above Catch Basin in Drainageway on Slope
Figure SCD-4  Stone Check Dam at Catch Basin in Depression

Figure SCD-5  Stone Check Dam at Culvert Inlet on Slope
Figure SCD-6  Special Case Combination Stone Check Dams

18" extension beyond channel

3' max. height

Flow

Geotextile filter fabric

DOT #3 aggregate stone

18" extension beyond channel

3' max. height

Flow

DOT #3 aggregate stone

Hay bales placed on 2" – 3" layer of straw/hay bedding. Avoid placement of baling twine against ground.

Wedge straw between bales
Planning Considerations

The measures included in the sediment impoundments and barriers functional group include Temporary Sediment Basin, Temporary Sediment Trap, Hay Bale Barrier, Geotextile Silt Fence, Turbidity Curtain and Vegetated Filter. The primary function of these measures is to slow the velocity of sediment laden waters enough to allow suspended sediments to drop out of solution. Secondary functions can include the filtering of sediment laden waters and the creation of a physical barrier that prevents the sediment laden water from mixing with clean waters.

Sediment impoundments (Temporary Sediment Basin and Temporary Sediment Trap) are excavated and/or diked areas which impound water long enough to allow sediment to settle out of the water column. They are intended to provide 75%-90% trap efficiency for a 10 year 2 hour return frequency storm.

1 Temporary sediment basins and traps are not intended for use as post-construction storm water management controls. Post-construction storm water management controls may require a design that is based upon a target settling efficiency rather than a trap efficiency.

continued on next page
These measures are different from each other by their design requirements. Temporary sediment basins:
- require an engineered design,
- are limited to a maximum of 100 acres contributing drainage area,
- can be used for more than 2 years, but not for post construction stormwater management,
- have an outlet control structure that includes an emergency spillway and
- have an initial minimum storage of 134 cubic yards per acre of contributing drainage area (which may be adjusted based on the engineering analysis).

Temporary sediment traps:
- do not require an engineered design,
- are limited to a maximum of 5 acres contributing drainage area,
- used only where the intended use is less than 2 years,
- have an above ground outlet and
- have a minimum storage of 134 cubic yards per acre of contributing drainage area without adjustment.

Sediment barriers (Hay Bale Barrier, Geotextile Silt Fence, and Turbidity Curtain) are temporary barriers consisting of either natural or man made materials installed and maintained within or at the edge of disturbed areas to control the movement of sediments. Additionally, hay bale barriers may be used to redirect small volumes of water away from sensitive areas or highly erodible slopes.

The design criteria for sediment barriers are not based upon any specific storm. As a rule, their installation is limited to 1 acre or less of contributing drainage area. Both hay bale barriers and geotextile silt fences are useful in toe-of-slope and catch basin protection as well as in some pumping settling basins. In channels, only geotextile silt fences may be used to a limited extent. However, a geotextile silt fence and hay bales may be used in a channel within a non-engineered stone check dam (see next page).
On land, hay bale barriers and geotextile silt fences may be used separately or in combination with each other, such as for catch basin protection, culvert inlet protection and dewatering facilities. Hay Bales or geotextiles can be used in combination with stone when added protection is needed for work in critical watersheds (e.g. public water supply, nearby cold water fisheries) or when the ground is frozen. This special application is described in the Stone Check Dam measure found in the Energy Dissipators Functional Group.

More than one sediment barrier measure may be used for the same situation. However, each measure has performance characteristics that tend to make its application more appropriate. In choosing which type to use, it is important to consider both the length of time that the barrier must remain effective and the sediment retention capacity needed.

Historically, stone check dams were included as a sediment barrier. The stone check dam measure has been revised and placed in the Energy Dissipators Functional Group.

In tidal waters or water deeper than 2 feet, a Turbidity Curtain is used as a sediment barrier. Its design and installation is determined by water fluctuation, depth, velocity, wave conditions and manufacturer’s recommendations.

In non-tidal waters less than 2 feet deep geotextile silt fences, hay bale barriers, or other similar barriers, such as sandbags, may be used to isolate construction waters from non-construction waters.

When locating a sediment impoundment or barrier, anticipate the possible need to have equipment access the area for the removal of accumulated sediments.

Only one measure is identified as a filter (Vegetated Filter) and is more limited in its application than any other measure in this group. A vegetated filter can only be used where slopes of both the contributing area and filtering area are less than 10%, where the contributing area is less than one acre and there is a sufficient length of flow through the filter to meet filtering needs. Frequently, a vegetated filter can not be used either due to the steepness of slope or the failure to have a wide enough filtering area to meet the minimum flow lengths through the filter.

Figure SB&F-1 identifies the limitations for each sediment barrier and filter measure. Stone check dams (non-engineered) are included only for reference when they are combined with hay bales or geotextile silt fencing.
### Figure SB&F-1  Sediment Barrier and Filter Limitations

<table>
<thead>
<tr>
<th>Limitation</th>
<th>Hay Bale</th>
<th>Geotextile Silt Fence</th>
<th>Turbidity Curtain</th>
<th>Vegetated Filter</th>
<th>Stone Check (non-engineered)</th>
</tr>
</thead>
<tbody>
<tr>
<td>site conditions</td>
<td>on land</td>
<td>on land or standing water &lt; 2 ft. deep</td>
<td>in water &gt; 2 ft. deep</td>
<td>on land</td>
<td>on land</td>
</tr>
<tr>
<td>max. slope gradient</td>
<td>50% (2:1)</td>
<td>50% (2:1)</td>
<td>NA</td>
<td>10% (10:1)</td>
<td>50% (2:1)</td>
</tr>
<tr>
<td>max. slope length</td>
<td>100 ft.</td>
<td>100 ft.</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>swale application</td>
<td>NA</td>
<td>depends on contributing slope gradient</td>
<td>NA</td>
<td>NA</td>
<td>depends on contributing slope gradient</td>
</tr>
<tr>
<td>max. drainage area</td>
<td>1 acre</td>
<td>1 acre</td>
<td>NA</td>
<td>1 acre</td>
<td>2 acres</td>
</tr>
<tr>
<td>expected life of control</td>
<td>≤ 3 months</td>
<td>1-3 years</td>
<td>per manufacturers specifications</td>
<td>until silted in</td>
<td>1 year</td>
</tr>
<tr>
<td>time of year to install</td>
<td>before ground freezes</td>
<td>non-frozen water conditions</td>
<td>must be established before initial use</td>
<td>year round</td>
<td></td>
</tr>
<tr>
<td>location on landscape</td>
<td>not on pavement, bedrock or other hard surface that prevents proper entrenching or anchoring</td>
<td>in water &gt; 2 ft. depth</td>
<td>slope in filter area not steeper than 10%</td>
<td>on land</td>
<td></td>
</tr>
<tr>
<td>in still water &lt; 2 ft. deep</td>
<td>no</td>
<td>by special design only</td>
<td>no</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>in water &gt; 2 ft. deep</td>
<td>no</td>
<td>no</td>
<td>yes</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>sheet flows - toe of slope</td>
<td>no</td>
<td>no</td>
<td>NA</td>
<td>not advised</td>
<td></td>
</tr>
<tr>
<td>catch basins in hollows</td>
<td>ring basin</td>
<td>“U” shaped across drainageway</td>
<td>NA</td>
<td>NA</td>
<td>across drainageway</td>
</tr>
<tr>
<td>on slopes</td>
<td>twin “U” shaped up- and down-slope of basin</td>
<td>NA</td>
<td>NA</td>
<td>twin barriers, one up- and down-slope of basin</td>
<td></td>
</tr>
<tr>
<td>culver inlets</td>
<td>no</td>
<td>“U” shaped at least 6 ft. from inlet</td>
<td>yes</td>
<td>no</td>
<td>“U” shaped at least 6 ft. from inlet</td>
</tr>
<tr>
<td>culvert outlets</td>
<td>no</td>
<td>“U” shaped at least 6 ft. from outlet</td>
<td>yes</td>
<td>no</td>
<td>“U” shaped at least 6 ft. from outlet</td>
</tr>
</tbody>
</table>

1 special case – see stone barrier measure when stone barrier and hay bale barrier are combined during frozen ground conditions
A temporary dam, excavated pit or dugout pond constructed across a waterway or at other suitable locations with a controlled outlet(s) such that a combination of wet and dry storage areas are created. A basin that is created by the construction of a dam is classified as an embankment sediment basin and a basin that is constructed by excavation is an excavated sediment basin. A basin that is created by a combination of dam construction and excavation is classified as an embankment sediment basin when the depth of water impounded against the embankment at emergency spillway elevation is three feet or more.

**Purpose**
- To intercept and retain sediment during construction.
- To reduce or abate water pollution.
- To prevent undesirable deposition of sediment in wetlands, on bottom lands and developed areas.
- To preserve the capacity of reservoirs, ditches, canals, diversions, storm sewers, waterways and streams.

**Applicability**
- Below disturbed areas with a contributing drainage areas less than 100 acres. For drainage areas less than five acres, a Temporary Sediment Trap may be used.
- Only for locations where failure of the temporary sediment basin will not, within reasonable expectations, result in loss of life or damage to buildings, roads, railroads or utilities.
- Not for use as a post-construction stormwater renovation system.

**Planning Considerations**
The preferred method of sediment control is to prevent erosion and control it near the source, rather than constructing sediment basins which only trap a portion of the sediments. However, where physical conditions, land ownership or construction operations preclude the treatment of the sediment source by the installation of erosion control measures to keep soil and other material in place, a temporary sediment basin may offer the most practical solution to the problem.

Consider sequencing construction so that the basin is located in an area that won’t be developed until after the contributing watershed is stabilized. Also, sequence construction activities and locate the basin to minimize interference with other construction activities and construction of utilities.

Locate the basin outside of wetlands and try to locate it in such a way that maximum storage benefit is obtained from the existing surrounding terrain to minimize disturbance from the construction of the dam.

Regardless of the construction sequence and location, plan to provide and maintain construction equipment access for the removal of accumulated sediment.

To minimize the size of the temporary sediment basin, plan to divert clean waters around the basin and intercept only runoff from disturbed areas.

For projects which include a permanent detention basin, it is sometimes advantageous to locate the temporary sediment basin at the site of the detention basin. Sharing the same location may minimize site disturbance and cost. When this approach is used, the size requirements of both the detention and sediment basins must be determined and the larger of the two must be in place during the construction period. After construction, the minimum size shall be that of the detention basin. One approach would be to construct the detention basin along with its berm and outlet works first, and expand the storage volume, if need be, to that required for the sediment basin. The permanent outlet works are modified during the construction period to provide the necessary wet and dry storage requirements and enhance the basin’s ability to remove sediment. Upon stabilization of the contributing watershed, accumulated sediment is removed from the basin and any work, such as modifying the outlet works or installing permanent plantings, is done to complete the permanent detention basin.

Finally, the E&S plan should identify the sediment removal threshold(s), the method(s) of disposing of the sediment removed from the basin, the method of basin removal and final stabilization of the sediment basin after the contributing drainage area is stabilized.

Contact DEP Inland Water Resources Division early in the planning process to determine the potential need for a dam construction permit and/or water diversion permit. A local or state inland wetlands permit will be required if the temporary sediment basin is proposed in a wetland and/or watercourse area. Additional local permits may be required for work within floodplain and wetlands buffer areas. Check local ordinances and regulations regarding health and safety, as sediment basins may attract children and can be dangerous.
Design Criteria

Overall
Design the sediment basin to be compatible with the floodplain management and storm water management programs of the local jurisdiction and with local regulations for controlling sediment, erosion and runoff.

Attempt to locate sediment basins where:

1. Failure of the sediment basin would not, within reasonable expectations, result in loss of life, damage roads, railroads, homes, commercial and industrial properties or interrupt the use or service of utilities. (Dams which might fail and endanger life or property are regulated by the Commissioner of the Department of Environmental Protection under the CGS §§22a-401 through 22a-411.);

2. The effective height of the dam for an embankment sediment basin should be 15 feet or less. The effective height of the dam is defined as the difference in elevation in feet between the emergency spillway crest and the lowest point in the cross section taken along the centerline of the dam. If there is no emergency spillway, the top of the dam becomes the upper limit;

3. The product of the storage times the effective height of the dam should be less than 3,000. Storage is the volume in acre-feet in the reservoir below the elevation of the crest of the emergency spillway. The effective height of the dam is as defined above.

Sediment basins that exceed any one of the above conditions shall be designed to meet the criteria in Earth Dams and Reservoirs, Technical Release 60 (TR-60).

Drainage Area
The maximum allowable drainage area into a sediment basin shall be 100 acres. An emergency spillway shall be provided on all embankment sediment basins with a contributing drainage area equal to or greater than 20 acres.

Basin Capacity
The volume in the sediment basin below the crest elevation of the emergency spillway shall be at least that required for wet storage (which includes sediment storage) plus that required for residence storage.

Residence Storage Time and Volume: Residence time is defined as the volume weighted average time that an amount of flow will reside in a reservoir.

The sediment basin shall provide, in addition to sediment storage volume and wet storage volume, adequate volume to provide a minimum 10 hours residence time for a 10-year frequency, 24-hour duration, Type III distribution storm.

Flood routing is required to determine residence storage time. Figure SB-13, along with flood routing using the approximate methods in the Detention Basin measure, TR-55, or other generally accepted flood routing methods, will provide the minimum required residence storage volume and the maximum allowable principal spillway discharge.

Sediment Storage Volume: At least 1 year of predicted sediment load must be provided regardless of the planned frequency of sediment removal. Where it is determined that periodic removal of sediment is practical, the sediment storage volume may be proportionately reduced.

For the purpose of determining the sediment storage volume, use 80% trap efficiency. Sediment Storage Requirements for Reservoirs Technical Release No. 12 by the USDA, NRCS may be used to provide a more refined estimate of the actual trap efficiency of specific sediment basin.

Sediment volume is calculated from the following formula:

\[ V = \left( \frac{DA(A)(DR)(TE)}{2.000 \text{ lbs./ton}} \right) \left( \frac{\gamma \times 43,560 \text{ sq. ft./ac}}{2,000 \text{ lbs./ton}} \right) \]

where:

\[ V = \text{the volume of sediment trapped in ac. ft./yr.} \]
\[ DA = \text{the total drainage area in acres} \]
\[ A = \text{the average annual erosion in tons per acre per year using either values from the Universal Soil Loss Equation, the Revised Universal Soil Loss Equation or the values in Figure SB-1 for the listed land use.} \]
\[ DR = \text{the delivery ratio determined from Figure SB-12.} \]
\[ TE = \text{the trap efficiency as given above. (Use 0.8)} \]
\[ \gamma = \text{the estimated sediment density in the sediment basin in lbs/cu. ft. (from Figure SB-2).} \]

Wet Storage Volume: The volume of the wet storage shall be at least twice the volume of the sediment storage volume (see above) and shall be designed to a minimum depth of 2 feet.

Wet storage volume is the volume in the basin that is located below the invert of the lowest outlet structure for the basin. The wet storage may not provide permanent ponding of water depending on site conditions but will create a permanent pool for settling suspended sediment during a runoff event. The wet storage is intended to minimize the re-suspension of existing trapped sediments during a runoff event. To reduce sediment removal frequency, increase the volume of wet storage which will increase the sediment storage volume.

Basin Shape and Depth
The length, width, and depth of the basin are measured from the emergency spillway crest elevation.

1 Trap efficiency is the amount (expressed as a percent) or the total sediment delivered to the basin that will remain in the sediment basin. It is a function of residence time, characteristics of the sediment, nature and properties of inflow, and other factors.
**Depth:** The average depth shall be 4 feet or greater.

**Width:** The minimum width shall be:

\[ W = 10 \sqrt[5]{Q} \]

where:  
\( W \) = width in feet  
\( Q \) = peak discharge from a 5-year frequency storm in cfs.

When the downstream area is highly sensitive to sediment impacts, the minimum width shall be:

\[ W = 10 \sqrt[25]{Q} \]

where:  
\( W \) = width in feet  
\( Q \) = peak discharge from a 25-year frequency storm in cfs.

**Spillway Design**

The outlets for the basin shall consist of a combination of principal and emergency spillways. These outlets must pass the peak runoff from the contributing drainage area for the design storm (see **Figure SB-5**). If, due to site conditions and basin geometry, a separate emergency spillway is not feasible, the principal spillway must pass the entire peak runoff expected from the design storm. However, an attempt to provide a separate emergency spillway should always be made (refer to “Emergency Spillway”, **Figure SB-10**). Runoff computations shall be based upon the soil cover conditions which are expected to prevail during the life of the basin. Refer to standard engineering practices for calculations of the peak rate of runoff. Notably, the flow through the dewatering orifice cannot be utilized when calculating the design storm elevation because of its potential to become clogged; therefore, available spillway storage must begin at the principal spillway crest.

The spillways designed by the procedures contained in this manual will not necessarily result in any reduction in the peak rate of runoff. If a reduction in peak runoff is desired, the appropriate hydrographs and storm routings shall be generated to choose the basin and spillway sizes.

**Principal Spillway**

For maximum effectiveness, the principal spillway should consist of a vertical pipe or box of corrugated metal or reinforced concrete, with a minimum diameter of 15 inches, joined by a water tight connection to a horizontal pipe (barrel) extending through the embankment and outletting beyond the downstream toe of the fill. If the principal spillway is used in conjunction with a separate emergency spillway, then the principal spillway shall be designed to pass at least the peak flow expected from a 2-year storm. If no emergency spillway is used, the principal spillway shall be designed to pass the entire peak flow expected from the design storm.

**Design Elevations:** The crest of the principal spillway shall be set at the elevation corresponding to the storage volume required. If a principal spillway is used in conjunction with an emergency spillway, the principal spillway crest shall be a minimum of 1.0 foot below the crest of the emergency spillway. In addition, a minimum freeboard of 1.0 foot shall be provided between the design high water elevation and the top of the embankment. If no emergency spillway is used, the principal spillway shall be designed to pass the entire peak flow expected from the design storm.

**Anti-Vortex Device and Trash Rack:** If a riser-type principal spillway is used, an anti-vortex device and trash rack shall be attached to the top of the riser to improve the flow characteristics and prevent blockage due to

---

**Figure SB-1** Determining Erosion Rates

<table>
<thead>
<tr>
<th>Land Use</th>
<th>Ave. Annual Erosion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wooded area</td>
<td>0.2 ton/ac/yr</td>
</tr>
<tr>
<td>Developed urban areas,</td>
<td>1.0 ton/ac/yr</td>
</tr>
<tr>
<td>grassed areas, pastures,</td>
<td></td>
</tr>
<tr>
<td>hay fields, abandoned</td>
<td></td>
</tr>
<tr>
<td>fields with good cover</td>
<td></td>
</tr>
<tr>
<td>Clean tilled cropland (corn,</td>
<td>10.0 ton/ac/yr</td>
</tr>
<tr>
<td>vegetables, etc.)</td>
<td></td>
</tr>
<tr>
<td>Construction Areas</td>
<td>50.0 ton/ac/yr</td>
</tr>
</tbody>
</table>

Source: USDA-SCS

**Figure SB-2** Estimated Sediment Density

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>( \gamma_s ) Submerged (lbs/cu. ft.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clay</td>
<td>40-60</td>
</tr>
<tr>
<td>Silt</td>
<td>55-75</td>
</tr>
<tr>
<td>Clay-silt mixtures (equal parts)</td>
<td>40-65</td>
</tr>
<tr>
<td>Sand-silt mixtures (equal parts)</td>
<td>75-95</td>
</tr>
<tr>
<td>Clay-silt-sand mixtures (equal parts)</td>
<td>50-80</td>
</tr>
<tr>
<td>Sand</td>
<td>85-100</td>
</tr>
<tr>
<td>Gravel</td>
<td>85-125</td>
</tr>
<tr>
<td>Poorly sorted sand and gravel</td>
<td>95-130</td>
</tr>
</tbody>
</table>

* Use USDA soil data from county soil surveys or sieve analysis to determine soil texture.

Source: USDA-NRCS.
flooding debris. (See Figure SB-8 and Figure SB-9 for examples of the anti-vortex device and trash rack.)

**Base:** The base of the principal spillway shall be firmly anchored to prevent floatation. If the riser height of the spillway is greater than 10 feet, computations shall be made to determine the anchoring requirements. A minimum factor of safety of 1.25 shall be used (downward forces = 1.25 x upward forces).

For risers 10 feet or less in height, the anchoring may be done in one of the two following ways:

1. A concrete base 18 inches thick and twice the width of the riser diameter shall be used and the riser shall be embedded 6 inches into the concrete.

2. A square steel plate securely attached or welded to the base of the riser, a minimum of 0.25 inch thick and having a width equal to twice the diameter of the riser shall be used; it shall be covered with stone, gravel, or compacted soil to prevent flotation.

**Note:** If a steel base is used, special attention should be given to compaction so that 95% standard proctor compaction is achieved over the plate. Also, added precautions should be taken to ensure that material over the plate is not removed accidently during removal of sediment from the basin.

**Barrel:** The barrel of the principal spillway, which extends through the embankment, shall be designed to carry the flow provided by the riser of the principal spillway with the water level at the crest of the emergency spillway. The connection between the riser and the barrel shall be watertight. The outlet of the barrel shall be protected to prevent erosion or scour of downstream areas.

**Seepage Control Along Principal Spillway Barrels:**

**Anti-Seep Collars:** Anti-seep collars are designed to control seepage and piping along the barrel by increasing the flow length and thus making any flow along the barrel travel a longer distance.

Anti-seep collars shall be installed within the saturated zone. The maximum spacing between collars shall be 14 times the projection of the collars above the barrel and in no case shall exceed 25 feet. Collars shall not be closer than 2 feet from a pipe joint. Collars should be placed sufficiently far apart to allow space for hauling and compaction equipment. Precautions should be taken to ensure 95% standard proctor compaction is achieved around the collars. Connections between the collars and the barrel shall be watertight.

**Filter Diaphragms:** Due to the constraints that collars impose on embankment fill placement and compaction, collars may sometimes be ineffective or actually result in a increase in seepage and piping.

Alternative measures to anti-seep collars have been developed and are being incorporated into embankment designs. These measures include a structure known as a “filter or drainage diaphragm.” A filter diaphragm consists of a layer of sand and fine gravel which runs through the dam embankment perpendicular to the barrel. Typically, the structure is 4 to 5 inches in width, approximately 1 foot in height, and is located at the barrel elevation at its intersection with the upper bounds of the seepage zone. The measure controls the transport of embankment fines, which is the major concern with piping and seepage. The diaphragm channels any undesirable flow through the fine-graded material, which traps any embankment material being transported. The flow is then conveyed out of the embankment through a drain.

The critical design element of the filter diaphragm is the grain-size distribution (gradation) of the filter material which is determined by the gradation of the adjacent embankment fill material. The use and design of these measures shall be based on site-specific geotechnical information and be supervised by a qualified professional.

**Principal Spillway - Construction Specifications:**

The riser of the principal spillway shall be securely attached to the barrel by a watertight connection. The barrel and riser shall be placed on a firmly compacted soil foundation. The base of the riser shall be firmly anchored according to design criteria to prevent its floating. With the exception of filter diaphragms, pervious materials such as sand, gravel, or crushed stone shall not be used as backfill around the barrel or anti-seep collars (compact by hand if necessary). Fill material shall be placed around the pipe in 6-inch layers and compacted until 95% standard proctor compaction is achieved. A minimum of two feet of fill shall be hand-compacted over the barrel before crossing it with construction equipment.

Pipe conduits for embankment sediment basins shall meet the following requirements:

**Pipe Materials:** The pipe shall be capable of withstanding external loading without yielding, buckling, or cracking. The following pipe materials are acceptable:

- ...
1. **Corrugated Steel Pipe:** Pipe gauge is not to be less than that indicated in Figure SB-3. The maximum principal spillway barrel size shall be 48 inches. The pipe shall be helical fabrication. Flanges with gaskets or caulking may be used. Rod and lug coupling bands with gaskets or caulking may be used.

2. **Corrugated Aluminum Pipe:** Minimum pipe gauge is shown in Figure SB-3. The maximum principal spillway barrel size shall be 36 inches. The pipe shall be riveted fabrication. The embankment and water shall range between pH 4 and pH 9. Inlets, coupling bands and anti-seep collars must be made of aluminum.

   Fittings for aluminum pipe fabricated of metals other than aluminum or aluminized steel must be separated from the aluminum pipe at all points by at least two layers of plastic tape having a total thickness of at least 24 mils, or by other permanent insulating material that effectively prevents galvanic corrosion.
   
   Bolts used to join aluminum and steel must be galvanized, plastic coated, or otherwise protected to prevent galvanic corrosion. Bolts used to join aluminum to aluminum, other than aluminum alloy bolts, must be galvanized, plastic coated, or otherwise protected to prevent galvanic corrosion.
   
   **Connections between pipe joints must be watertight.** Flanges with gaskets or caulking may be used. Rod and lug coupling bands with gaskets or caulking may be used. Slip seam coupling bands with gaskets or caulking may be used.

3. **Plastic Pipe:** PVC pipe shall meet the requirements of Figure SB-4. Connections between pipe joints and anti-seep collar connections to the pipe must be watertight. Pipe joints shall be solvent welded, O-ring, or threaded. All fittings and couplings shall meet or exceed the same strength requirements as that of the pipe and be made of material that is recommended for use with the pipe. Connections of plastic pipe to less flexible pipe or structures shall be designed to avoid stress concentrations that could rupture the plastic. The maximum principal spillway barrel size shall be 12 inches.

4. **Smooth Steel:** The minimum wall thickness shall be 3/16 inch. Used pipe shall be in good condition and not have deep rust pits. The maximum principal spillway barrel shall be 48 inch. Pipe joints shall be threaded or welded by a competent welder.

5. **Concrete, With Rubber Gasket Joints:** The pipe shall be laid in concrete bedding. Connections between pipe joints and anti-seep collar connections to pipe shall be watertight and remain watertight after movement caused by foundation consolidation and embankment settlement.

   **Inlets for Pipe Conduits:** The inlet shall be structurally sound and made from materials compatible with the pipe. The inlet shall be designed to prevent flotation.
The inlets shall be designed to function satisfactorily for the full range of flow and hydraulic head anticipated. The inlet materials shall be subject to the same limitations and requirements as pipe conduits.

1. **Watertight Riser**: Risers shall be completely watertight except for the inlet.

2. **Pipe Drop Inlet**: Pipe drop inlets, where designed for pressure flow, shall meet the following conditions:
   (a) The weir length shall be adequate to prime the pipe below the emergency spillway elevation.
   (b) For pipe on less than critical slope, the height of the drop inlet shall be at least 2 times the conduit diameter.
   (c) For pipe on a critical slope or steeper, the height of the drop inlet shall be at least 5 times the conduit diameter.

**Anti-vortex Devices**: Sediment basins with the principal spillway designed for pressure flow shall have adequate anti-vortex devices. See Figure SB-8 and Figure SB-9.

**Trash and Safety Guards**: An appropriate guard shall be installed at the inlet. The guard shall prevent clogging of the pipe by trash and reduce the safety hazard to people. The guard shall be a type that will not plug with leaves, grass or other debris. See Figure SB-9 and Figure SB-9.

**Outlets for Pipe Conduits**: The outlets shall be structurally sound and made from materials compatible with the pipe. The outlets shall be designed to function satisfactorily for the full range of flow and hydraulic head anticipated. Protection against scour at the discharge end of the spillway shall be provided. Measures may include impact basins, Saint Anthony Falls outlets, riprap, excavated plunge pools or other generally accepted energy dissipators.

**Anti-seep Collars**: Pipe conduits for embankment sediment basins shall be provided with anti-seep collars or filter diaphragms. The minimum number of anti-seep collars shall be determined by the size of the collars and the length of that part of the conduit lying in the saturated zone of earth embankment. Anti-seep collars are not required for excavated sediment basins.

The size and number of anti-seep collars is determined such that the ratio of the length of the line of seepage \((L + 2nV)\) to \(L\) is to be not less than 1.15 where

\[
V = \text{projection of the anti-seep collar in feet}
\]

\[
L = \text{length in feet of the conduit within the zone of saturation, measured from the downstream side of the riser to the toe drain or point where the phreatic line intercepts the conduit, whichever is shorter}
\]

\[
n = \text{number of anti-seep collars}
\]

Anti-seep collars should be equally spaced along that part of the barrel within the saturated zone at distances of not more than 25 feet. See page 5-11-8 for other criteria on seepage control which may conflict.

The anti-seep collars and their connections to the pipe shall be watertight. The collar material shall be compatible with pipe materials.

**Emergency Spillway**

An attempt to provide a separate emergency spillway shall always be made. However, there shall be an emergency spillway on all temporary sediment basins with a contributing drainage equal to or exceeding 20 acres. The emergency spillway acts as a safety release for a sediment basin, or any impoundment-type structure, by conveying the larger, less frequent storms through or around the basin without damage to the embankment. The emergency spillway shall consist of an open channel (earthen and vegetated) constructed adjacent to the embankment over undisturbed material (not fill).

Where conditions will not allow the construction of an emergency spillway on undisturbed material, a spillway may be constructed of a non-erodible material such as riprap. The spillway shall have a control section at least 20 feet in length. The control section is a level portion of the spillway channel at the highest elevation in the channel profile.

Where conditions require the construction of an emergency spillway on the embankment, a spillway shall be constructed of a non-erodible material such as riprap. As an alternative, a structural spillway may be installed which combines the outflow requirements of a principal (primary) spillway and emergency (auxiliary) spillway.

An evaluation of site and downstream conditions must be made to determine the feasibility and justification for the incorporation of an emergency spillway. In some cases, the site topography does not allow a spillway to be constructed in undisturbed material, and the temporary nature of the facility may not warrant the cost of disturbing more acreage to construct and armor a spillway. The principal spillway shall then be sized to convey all the design storms.

**Emergency Spillways for Excavated Sediment Basins**: If the downstream slope is 5:1 or flatter and has existing vegetation or is immediately protected by sodding, riprap, asphalt lining, concrete lining, or other equally effective protection, then excavated sediment basins may utilize the natural ground for the emergency spillway. Otherwise, the spillway shall meet the capacity requirement for embankment sediment basins given below.

**Emergency Spillway for Embankment Sediment Basins**: Emergency spillways for embankment sediment basins shall meet the following requirements:

**Capacity**: The minimum capacity of the emergency spillway shall be that required to pass the peak flow expected from a design storm of the frequency and duration shown in Figure SB-5 less any reduction creditable to principal spillway discharge and detention storage.

If routed, the flood routing shall be done using the approximate methods outlined in the Detention Basin measure, TR-55, or other generally accepted methods of emergency spillway flood routing. When discharge of conduit-type principal spillway system is considered in calculating outflow through the emergency spillway, the crest elevation of the inlet shall be such that full pipe flow will be generated in the conduit before there is discharge through the emergency spillway.
Emergency Spillway - Construction Specifications:
Do not construct vegetative emergency spillways over fill material. Design elevations, widths, entrance and exit channel slopes are critical to the successful operation of the spillway and should be adhered to closely during construction.

Structural Spillways Other Than Pipe
Structural spillways other than pipe systems will have structural designs based on sound engineering data with acceptable soil and hydrostatic loadings as determined on an individual site basis.

When used as a principal spillway, structural spillways shall meet the flow requirements for principal spillways and shall not be damaged by the emergency spillway design storm. When used as a combination principal emergency spillway, it shall pass the storm runoff from the appropriate storm in Figure SB-5.

Embarkment Design
Height: The effective height of the dam for an embarkment detention basin is 15 feet or less. The effective height of the dam is defined as the difference in elevation in feet between the emergency spillway crest and the lowest point in the cross section taken along the centerline of the dam. If there is no emergency spillway, the top of the dam becomes the upper limit. Additional design guidance can be found in the NRCS Practice Standard 378, August 1982. Sediment basins that exceed the above conditions shall be designed to meet the criteria in Earth Dams and Reservoirs Technical Release 60 (TR-60).

Embarkment Cross-Section: For embankments of less than 10 feet, the embankment must have a minimum top width of 6 feet, and the side slopes shall be 2:1 or flatter. For embankments 10 to 14 feet in height, the minimum top width shall be 8 feet and the side slopes shall be 2-1/2:1 or flatter. For 15 foot high embankments (maximum allowed under this practice), the minimum top width shall be 10 feet with 2-1/2:1 side slopes or flatter.

Site Preparation: Areas under the embankment and any structural works related to the basin shall be cleared, grubbed, and stripped of topsoil to remove trees, vegetation, roots, or other unsuitable material. In order to facilitate cleanout and restoration, the area of most frequent inundation (measured from the top of the principal spillway) will be cleared of all brush and trees.

Foundation Cutoff: A foundation cutoff, constructed with relatively impermeable materials, shall be provided for all embankments. The cutoff trench shall be excavated along the centerline of the dam. The trench must extend at least 2 feet into undisturbed foundation soils. The cutoff trench shall extend up both abutments to the emergency spillway and should be adhered to closely during construction.

The emergency spillway channel shall return the flow to the receiving channel at a non-eroding velocity.

Cross Sections: Emergency spillways shall be trapezoidal and be located in undisturbed earth. The side slopes shall be 2:1 or flatter. The bottom width shall be a minimum of 8 feet. The embankment requirements shall determine elevation differences between the crest of the emergency spillway and the settled top of dam.

Component Parts: Emergency spillways are open channels and consist of an inlet channel, control section and an exit channel. The emergency spillway shall be sufficiently long to provide protection from breaching.

Inlet Channel: The inlet channel shall be level and straight for at least 20 feet upstream of the control section. Upstream from this level area it may be graded back towards the basin to provide drainage. The alignment of the inlet channel may be curved upstream from the straight portion.

Exit Channel: The grade of the exit channel of a constructed spillway shall fall within the range established by discharge requirements and permissible velocities. The exit channel shall carry the design flow downstream to a point where the flow will not discharge onto the toe of the embankment. The design flow should be contained in the exit channel without the use of dikes. However, if a dike is necessary, it shall have 2:1 or flatter side slopes, a minimum top width of 8 feet, and be high enough to contain the design flow plus 1 foot of freeboard.

### Table: Drainage Area, Frequency, Minimum Duration

<table>
<thead>
<tr>
<th>Drainage Area (acres)</th>
<th>Frequency (years)</th>
<th>Minimum Duration (hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than 50</td>
<td>25</td>
<td>24</td>
</tr>
<tr>
<td>50-100</td>
<td>100</td>
<td>24</td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
**Seepage Control**: Seepage control is to be included if seepage may create swamping downstream, if needed to ensure a stable embankment, or if special problems require drainage for a stable embankment. Seepage control may be accomplished by foundation, abutment or embankment drains, reservoir blanketing or a combination of these and other measures.

**Foundation**: The area on which an embankment is to be placed shall consist of material that has sufficient bearing strength to support the embankment without excessive consolidation.

**Earth Embankment Design**

**Freeboard**: The minimum elevation of the top of the settled embankment shall be 1.0 foot above the water surface in the reservoir with the emergency spillway flowing at design depth.

**Materials**: The fill material for the embankment shall be from approved borrow areas. It shall be clean mineral soil, free of roots, woody vegetation, stumps, sod, oversized stones, rocks, man made materials, or other perishable or unsuitable material. The material selected must have enough strength for the dam to remain stable and be impervious enough, when properly compacted, to prevent excessive seepage through the dam. Impervious portions of the embankment shall consist of at least 15% clay or silt. Using the Unified Soil Classification System (See Appendix H), SC (clayey sand), GC (clayey gravel) and CL (“low liquid limit” clay) are among the preferred types of embankment soils. SM, ML and GM type soils may also be used. Fill material should be selected based on laboratory analysis.

**Allowance for Settlement**: The design height of the embankment shall be increased by the amount needed to ensure that, after all settlement and consolidation has taken place, the height of the dam will equal or exceed the design height. This increase shall not be less than 10% when compaction is by hauling equipment or 5% if controlled compaction is used, except where detailed soil testing and laboratory analysis shows that a lesser amount is adequate.

**Compaction**: Areas on which fill is to be placed shall be scarified prior to placement of fill. The fill material shall contain the proper amount of moisture to ensure that at least 90% – 95% standard proctor compaction will be achieved. Fill material will be placed in 9-inch continuous layers over the entire length of the fill. Compaction shall be obtained by routing the hauling equipment over the fill so that the entire surface of the fill is traversed by at least one wheel or tread track of the equipment, or by using a compactor. Special care shall be taken in compacting around the anti-seep collars and principal spillway system to avoid damage and achieve desired compaction (compact by hand, if necessary).

**Provisions for Maintenance Sediment Removal**

Sediment basin designs shall include provisions for the periodic removal of accumulated sediments, including adequate access for excavating and hauling equipment, dewatering and the threshold of sediment deposition that triggers the sediment removal operation. Additionally, disposal sites for the removed sediments shall be planned. See measures found in the Dewatering Functional Group and the discussion in Chapter 4, Special Treatments (stockpiling).

**Sediment Storage Markers**

Detail the location and installation requirements for sediment storage stakes or other means of showing the threshold elevation for sediment cleanout.

**Stabilization of Disturbed Areas**

The embankment, emergency spillway, spoil and borrow areas, and other disturbed areas above normal water level shall be vegetatively stabilized in accordance with the Permanent Seeding or Sodding measures or otherwise provided with a non-erodible surface.

**Construction Specifications**

Construction specifications shall to be included either on the plans or contained in a supplemental document referenced by and accompanying the plans. The construction specifications identify all material and operational specifications that are required by the design. The construction specifications must include but are not limited to design requirements for site preparation, foundation cutoff, seepage control, foundation construction, materials for principal and emergency spillways, vegetation establishment and sediment storage markers.

**Installation Requirements**

Construct in accordance with the design plans and construction specifications.

**Site Preparation**

Clear, grub and strip topsoil to remove trees, vegetation, roots, or other unsuitable material from areas under the embankment or any structural works related to the basin. Clear and grub the area of most frequent inundation (measured from the top of the outlet control structure) of all brush and trees to facilitate clean out and restoration.

**Install Sediment Controls for Contributing Areas**

Install sediment controls to trap sediment before it enters and leaves the detention basin construction site.

Stabilize the dam and emergency spillway in accordance with the engineered design, stabilize the spoil and borrow areas, and other disturbed areas in accordance with the Temporary Seeding or Permanent Seeding.
measures, whichever is applicable.

**Safety**
Install safety features and devices to protect humans and animals from such accidents as falling or drowning. Temporary fencing can be used until barrier plantings are established. Use protective measures such as guardrails and fences on spillways and impoundments as needed.

**Maintenance**
Inspect the temporary sediment basin at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine conditions in the basin. Clean the sediment basin of sediments when sediment accumulation exceeds one half of the wet storage capacity of the basin or when the depth of available pool is reduced to 18 inches, whichever is achieved first. Sediment levels shall be marked within the sediment storage area by stakes or other means showing the threshold elevation for sediment cleanout.

Prior to the removal of sediments, dewater the basin through pumping or other means to the expose previously submerged sediments. Use measures found in the Dewatering Functional Group and Chapter 4, Special Treatments (Stockpiling). Do not allow accumulated sediment to flush into the stream or drainageway. Stockpile the sediment in such a manner that it will not erode from the site or into a wetland, watercourse or other sensitive area.

Sediment removal, transportation and disposal shall occur as shown on the plans as limited by the design criteria.
Figure SB-6  Sediment Basin Schematic Elevations

- **Wet Storage**
- **Access Road:** For sediment removal
- **Baffle:** To increase flow length
- **Outlet Pipe**
- **RipRap Splash Pad**
- **Riser Pipe**
- **Filter**
- **Emergency Spillway**
- **Dam Crest** (typically 8’ wide)
- **Embankment Slope** (typically 2.5:1)
Figure SB-7 Sediment Basin Baffle Details

Examples: Plan Views – not to scale

A

Inflow

Normal Pool

Riser (outlet)

Le = Total distance from the point of inflow around the baffle to the riser.

B

Normal Pool

Riser crest elev.

Inflow

Le = L₁ + L₂

C

Normal Pool

Riser

Baffle Detail

Sheets of 4’ x 8’ x 1/2” exterior plywood or equivalent.

Riser crest elev.

6”

Posts – min. size 4” square or 5” round. Set at least 3’ into the ground.

Le = Total distance from the point of inflow around the baffle to the riser.

Source: USDA-NRCS
Figure SB-8 Anti-Vortex - Trash and Safety Guard Diagram

- DRILL HOLES AND SPACE ANGLES OPPOSITE EACH OTHER
- ROUND OR SQUARE PLATE MAY BE USED
- PLAN (NOT TO SCALE)
- SECTION AT CENTER (NOT TO SCALE)
- 4-1/2"x2" BOLT NUT & WASHER WELD NUT TO BOTTOM SIDE OF ANGLE IRON
- 1/4" STEEL PLATE
- 8-1/2"x2 CADMIUM PLATED BOLTS & NUTS AND WASHERS
- RISER PIPE
- FILLET WELD

Table:

<table>
<thead>
<tr>
<th>Inlet Dia. Inches</th>
<th>Barrel Dia. Inches</th>
<th>H Inches</th>
</tr>
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<tr>
<td>12</td>
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<td>6</td>
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<td>13 1/2</td>
</tr>
<tr>
<td>*36</td>
<td>24</td>
<td>12</td>
</tr>
</tbody>
</table>

* USE ANGLE IRON STIFFENERS ON PLATE AS SPECIFIED.

Source: USDA-NRCS
Figure SB-9 Concentric Trash Rack and Anti-Vortex Device

NOTES:

1. TOP STIFFENER (IF REQUIRED) IS 2” X 2” X 1/4” ANGLE WELDED TO TOP AND ORIENTED PERPENDICULAR TO CORRUGATIONS.
2. TOP IS 12 GAGE CORRUGATED METAL OR 1/8” STEEL PLATE. PRESSURE RELIEF HOLES MAY BE OMITTED IF ENDS OF CORRUGATIONS ARE LEFT FULLY OPEN WHEN CORRUGATED TOP IS WELDED TO CYLINDER.
3. CYLINDER IS 12 GAGE CORRUGATED METAL PIPE OR FABRICATED FROM STEEL PIPE WITH A MINIMUM 1/8” WALL THICKNESS.
4. SUPPORT BARS ARE 1/2” DIAM. (MIN).
5. TRASH RACK DIAMETER SHALL BE Sized SO THE VELOCITY THROUGH THE BOTTOM OF THE RACK IS LESS THAN 2.5 FEET/SECOND.

Source: USDA-NRCS
Figure SB-10 Design Data for Earth Spillways

LEGEND
n = MANNING’S COEFFICIENT OF ROUGHNESS.
Hp = DIFFERENCE IN ELEVATION BETWEEN CREST OF EARTH SPILLWAY AT THE CONTROL SECTION | AND WATER SURFACE IN RESERVOIR, IN FEET.
b = BOTTOM WIDTH OF EARTH SPILLWAY AT THE CONTROL SECTION, IN FEET.
Q = TOTAL DISCHARGE, IN C.F.S.
V = VELOCITY, IN FEET PER SECOND, THAT WILL EXIST IN CHANNEL BELOW CONTROL SECTION, AT DESIGN Q, IF CONSTRUCTED TO SLOPE (S) THAT IS SHOWN.
S = FLATTEST SLOPE (S), IN % ALLOWABLE FOR CHANNEL BELOW CONTROL SECTION.
X = MINIMUM LENGTH OF CHANNEL BELOW CONTROL SECTION, IN FEET.
z = SIDE SLOPE RATIO (2:1).

NOTES:
1. FOR A GIVEN Hp A DECREASE IN THE EXIT SLOPE FROM S AS GIVEN IN THE TABLE DECREASES SPILLWAY DISCHARGE, BUT INCREASING THE EXIT SLOPE FROM S DOES NOT INCREASE DISCHARGE. IF AN EXIT SLOPE (Se) STEEPER THAN S IS USED, THEN THE VELOCITY (Ve) IN THE EXIT CHANNEL WILL INCREASE ACCORDING TO THE FOLLOWING RELATIONSHIP:

   \[ Ve = V \left( \frac{Se}{S} \right)^{0.3} \]

2. DATA TO RIGHT OF HEAVY VERTICAL ON FIGURE SB-11 SHOULD BE USED WITH CAUTION, AS THE RESULTING SECTIONS WILL BE EITHER POORLY PROPORTIONED OR HAVE VELOCITIES IN EXCESS OF 6 FT/SEC.

Source: USDA-NRCS
Table Containing Design Data for Earth Spillways When Used as Emergency Spillway

<table>
<thead>
<tr>
<th>STAGE (FT)</th>
<th>SPILLWAY VARIABLES</th>
<th>BOTTOM WIDTH (FT)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
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<tr>
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<tr>
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</tr>
<tr>
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</tr>
<tr>
<td>2.1</td>
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<td></td>
</tr>
<tr>
<td>2.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.4</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Source: USDA-NRCS
Figure SB-12  Sediment Delivery Ratio Vs. Drainage Area Graph

Source: USDA-NRCS
Figure SB-13 Maximum Peak Outflow for Detention Time Graph

Source: USDA-NRCS
**Temporary Sediment Basin: Design Example 1**

**Determining Volume in a Sediment Basin to Meet Sediment Storage Volume and Detention Storage Volume Requirements**

One-hundred acres drain into a planned sediment basin. Failure of the sediment basin at the planned site will not result in loss of life or damage to buildings, roads, railroads or utilities. Ten acres are to be cleared and developed into houses. Ninety acres are in woods and will not be disturbed during the life of the sediment basin. It is estimated it will take 18 months to develop the site. The sediment basin will be installed as the first item of construction and removed as the last item of construction. The owner estimates that the ten acres to be developed will be bare for 12 months and under roofs, pavement, and sod for the last six months of construction. The soils are Agawam fine sandy loam on a flat slope. The sediment pool will be normally dry. The 10-year, 24-hour rainfall is 5.0 inches.

Determine sediment storage volume using the following method:

Determine, $DA - \text{Drainage Area}$ and $A - \text{Average Annual Erosion}$

1st year
- **Woods**
  \[(DA) (A) = 90 \text{ ac} \times 0.2 \text{ tons/ac/yr} = 18 \text{ tons/yr}\]
- **Construction Area**
  \[(DA) (A) = 10 \text{ ac} \times 50 \text{ tons} = 500 \text{ tons/yr}\]
  \[(DA) (A) = 518 \text{ tons for the 1st year.}\]

2nd year
- **Woods**
  \[(DA) (A) = 90 \text{ ac} \times 0.2 \text{ tons/ac/yr} = 18 \text{ tons/yr}\]
- **Urban Area**
  \[(DA) (A) = 10 \text{ ac} \times 1.0 \text{ tons/ac/yr} = 10 \text{ tons/yr}\]
  \[(DA) (A) = (18 + 10) = 14 \text{ tons for 2nd year for six month life}\]
  \[(DA) (A) = 518 + 14 = 532 \text{ tons for the life of the basin.}\]

Determine $DR - \text{delivery ratio}$
\[
\frac{100}{640} = 0.16 \text{ sq mi from Figure SB-12 for a sandy soil}, \; DR = 24\%
\]

Determine $\gamma - \text{density of the sediment}$. From **Figure SB-1** the density of submerged sand is 85-100 lbs/cu. ft., Use $\gamma = 90 \text{ lbs/cu. ft}$.

Determine $TE - \text{trap efficiency}$. The $TE$ is 80% from the sediment storage volume section of the Sediment Basin measure.

Determine $V - \text{minimum volume for sediment storage for the planned life of the structure}$ using the formula:
\[
V = \frac{(DA)(A)(DR)(TE)(2,000\text{lbs./ton})}{(\gamma \times 43,560\text{sq.ft./ac})}
\]

\[
V = (532) (0.24) (0.80) (1/90) (2,000) (1/43,560) = 0.052 \text{ Ac. ft. for sediment storage.}
\]

Determine detention storage volume.

Given that:
\[
\begin{align*}
Q_{10} &= 30 \text{ cfs and} \\
V_r &= 1.30 \text{ inches}
\end{align*}
\]

Then:
\[
\begin{align*}
Q_i &= 30 \text{ cfs} \\
V_r &= 1.3 \\
\frac{Q_{10}}{DA} &= \frac{30}{100} = 0.30
\end{align*}
\]

From **Figure SB-13**
\[
\frac{Q_o}{Q_i} = 0.27
\]

(continued on next page)
Design Example 1 (continued)

\[ Q_o = 8.1 \text{ cfs} = \text{maximum allowable principal spillway discharge.} \]

\[ Q_o = (0.27) (Q_i) = (0.27) (30) = 8.1 \text{ cfs} \]

Release rate \( R = (8.1 \text{ cfs}) \left( \frac{640 \text{ Ac./sq. mi.}}{100 \text{ Ac.}} \right) = 51.8 \text{ csm} \)

\[ V_r = 1.3 \text{ inches} \]

From the figures in the Detention Basin measure for single stage structures with release rates less than 300 csm, the minimum storage required, \( V_s \), is 0.67 inches.

\[ V_s = 0.67 \text{ inches} \left( \frac{0.67 \text{ in.}}{12 \text{ in./ft.}} \right) = 5.58 \text{ Ac. ft.} \]

\[ V_s = 5.58 \text{ Ac. ft. for detention storage volume.} \]

The minimum volume required below the crest of the emergency spillway is 0.052 Ac. ft. plus 5.58 Ac. ft. or 5.63 Ac. ft.
Temporary Sediment Basin: Design Example 2

Same as Design Example 1 except the soil is Hollis fine sandy loam on a steep slope.

Determine sediment storage volume using method given in Example No. 1.

\[(DA) (A)\] (A) same as in Design Example 1
\[(DA) (A) = 518\text{ tons for the 1st year}\]
\[(DA) (A) = 14\text{ tons for the 2nd year}\]

Determine, DR - delivery ratio.

The Hollis soil is a fine sandy loam. Using the Soil Survey Report, this soil would be approximately 60% sand and 40% silt. Using Figure SB-12 with 0.16 sq. mi. drainage area and a value between the sandy and silty curves, the delivery ratio is 45%.

Determine, \(\gamma\) - density of sediment. \(\gamma = 95\text{ lbs/cu.ft.}\), using Figure SB-1 with sand-silt mixture.

The trap efficiency is the same as Design Example No. 1 and is 80%.

Determine minimum volume for sediment storage for the planned life structure using the formula:

\[
V = \frac{(DA)(A)(DR)(TE)(2000\text{ lbs./ton})}{(\gamma)(43,560\text{ sq.ft./ac})}
\]

\[
V = \frac{(518 + 14)(0.45)(0.80)(1/95)(2,000)(1/43,560)}{}
\]

\[V = 0.093\text{ Ac. ft. for sediment storage}\]

Determine detention storage volume.

Given that:
\[Q_i = Q_{10} = 285\text{ cfs}\]
\[V_r = 2.89\text{ inches and} \]
\[DA = 100\text{ acres}\]

Then:
\[
\frac{Q_i}{DA} = \frac{285}{100} = 2.89\text{ inches}
\]

From Figure SB-13
\[Q_o = 0.066\]
\[Q_o = (0.066)(Q_i) = (0.066)(285) = 18.8\text{ cfs (the maximum allowable principal spillway discharge rate)}\]
\[Q_o = (\text{relative to drainage area}) = \frac{18.8\text{ cfs}}{100\text{ acres}} = \frac{18.8\text{ cfs}}{1/40\text{ acres}} = 120.3\text{ csm}\]

From Detention Basin measure Figure DB-6, when \(V_r = 2.89\text{ inches} V_s = 1.65\text{ inches}\)

\[
V_s = 1.65\text{ inches} = \frac{1.65\text{ in.}}{12\text{ in./ft.}}(100\text{ Ac.}) = 13.75\text{ Ac. ft.}
\]

\[V_s = 13.75\text{ Ac. ft. for detention storage volume}\]

The minimum volume required below the crest of the emergency spillway is 0.093 Ac. ft. for sediment storage volume plus 13.75 Ac. ft. for detention storage volume or 13.84 Ac. ft.

Conclusions From Design Examples

To have a reasonable size sediment basin that is effective, two components are critical. The total drainage area must be small. The volume of runoff must be low. To accomplish this requires good vegetative cover and soils with high infiltration rates.
Planning Considerations
Sequence the construction of temporary sediment traps, along with other perimeter erosion and sediment controls so that they are constructed and made functional before land disturbance in the contributing drainage area takes place.

The temporary sediment trap has two storage requirements: one for wet storage and one for dry storage. Commonly, the wet storage is created by excavation within a drainage way and the dry storage created by the construction of a pervious stone dike across the drainage way. Sometimes the trap is formed, at least in part, by the construction of an embankment. Such an embankment constitutes a dam and is therefore limited to a height of no greater than 5 feet and requires care in its construction.

E&S plans should identify the size of the contributing drainage area, wet and dry storage requirements as well as the volume of sediment accumulation that will trigger trap cleaning. Sediment is required to be removed from the trap when the sediment accumulation exceeds half of the wet storage volume of the trap. The plans should also guarantee that access is provided for sediment removal and detail how excavated sediment will be disposed (such as by use in fill areas on-site or removal to an approved off-site location).

Variations in temporary sediment trap design may be considered, but plan reviewers should ensure the minimum storage requirements and structural requirements noted below are maintained.

Specifications

Location
Locate temporary sediment traps so that they can be installed prior to conducting any grading activities in the contributing watershed. Do not locate traps in close proximity to existing or proposed building foundations if there is any concern regarding seepage of water from the temporary sediment trap into the foundations or foundation excavation area. Locate traps to obtain maximum storage benefit from the terrain, for ease of clean out and disposal of the trapped sediment.

Trap Capacity
The temporary sediment trap shall have an initial storage volume of 134 cubic yards per acre of drainage area, half of which shall be in the form of wet storage to provide a stable settling medium. The remaining storage volume shall be in the form of a drawdown (dry storage) which will provide extended settling time during less frequent, larger storm events. Figure TST-1 contains the formulas for calculating the wet storage volume and the dry storage volume. The volume of wet storage shall be measured from the low point of the excavated area to the base of the stone outlet structure (see Figure TST-2). The volume of the dry storage shall be measured from the base of the stone outlet to the top of the stone outlet (overflow mechanism).

Try to provide a storage area which has a minimum 2:1 length to width ratio (measured from point of maximum runoff introduction to outlet)
## Figure TST-1  Formula for Figuring Temporary Sediment Trap Storage Requirements

Wet storage volume may be approximated as follows:

\[ V_w = 0.85 \times A_w \times D_w \]

where,
- \( V_w \) = the wet storage volume in cubic feet
- \( A_w \) = the surface area of the flooded area at the base of the stone outlet in square feet
- \( D_w \) = the maximum depth in feet, measured from the low point in the trap to the base of the stone outlet.

Dry storage volume may be approximated as follows:

\[ V_d = \frac{(A_w + A_d)}{2} \times D_d \]

where,
- \( V_d \) = the dry storage volume
- \( A_w \) = the surface area of the flooded area at the base of the stone outlet in square feet.
- \( A_d \) = the surface area of the flooded area at the top of the stone outlet (overflow mechanism), in square feet
- \( D_d \) = the depth in feet, measured from the base of the stone outlet to the top of the stone outlet.

Note: Conversion between cubic feet and cubic yards is: cubic feet x 0.037 = cubic yards.

---

### Slope Limitations

All cut and fill slopes shall be 2:1 or flatter except for the excavated wet storage area where slopes shall not exceed 1:5:1. The maximum depth of excavation within the wet storage area should not exceed 3 feet to facilitate clean-out and for site safety considerations.

### Inlet / Outlet Configuration

The outlet shall be located at the most distant hydraulic point from the inlet. In cases where a long narrow site runs perpendicular to the direction of flow, baffles consisting of stone dikes or other structurally sufficient barriers should be added along the long axis of the trap to increase travel distance through the trap (see Figure TST-3).

### Outlet

Plan the outlet in such a manner that the minimum wet storage and dry storage volumes are created (see Trap Capacity section above) and 1 foot of free board between the top of the outlet and the crest of the embankment is established. The outlet consists of a pervious stone dike with a core of modified riprap and faced on the upstream side with DOT #3 stone. Temporary sediment traps must outlet onto stabilized (preferably undisturbed) ground, into a watercourse, stabilized channel, or into a storm drain system. Figure TST-4 shows an example of an outlet for a temporary sediment trap.

### Embankment

The maximum height of a temporary sediment trap embankment is limited to 5 feet as measured vertically from the crest of the embankment to the down slope base of the embankment or toe of the stone dike, whichever is lower. Minimum top widths (W) and outlet heights (Ho) for various embankment heights (H) are shown in Figure TST-2. Side slopes of the embankment shall be 2:1 or flatter.

### Materials

**Modified Riprap:** shall meet the requirements of DOT Standard Specifications Section M.12.02.

**DOT #3 Stone:** shall meet the requirements of DOT Standard Specifications Section M.01.01 for #3 Aggregate.

### Construction

Clear, grub and strip any vegetation and root mat from any proposed embankment and outlet area. Remove stones and rocks whose diameter is greater than 3 inches and other debris.

Excavate wet storage and construct the embankment and/or outlet as needed to attain the necessary storage requirements. Use only fill material for the embankment that is free from excessive organics, debris, large rocks (over 6 inches) or other unsuitable materials. Compact the embankment in 9-inch layers by traversing with equipment while it is being constructed.

Stabilize the earthen embankment using any of the following measures: **Temporary Seeding, Permanent Seeding, or Stone Slope Protection** immediately after installation.

Carry out construction operations in such a manner that erosion and water pollution are minimized.
**Maintenance**

Inspect the temporary sediment trap at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater. Check the outlet to ensure that it is structurally sound and has not been damaged by erosion or construction equipment. The height of the stone outlet should be maintained at least 1 foot below the crest of the embankment. Also check for sediment accumulation and filtration performance.

When sediments have accumulated to one half the minimum required volume of the wet storage, dewater the trap as needed, remove sediments and restore the trap to its original dimensions. Dispose of the sediment removed from the basin in a suitable area and in such a manner that it will not erode and cause sedimentation problems.

The temporary sediment trap may be removed after the contributing drainage area is stabilized. If it is to be removed, then the plans should show how the site of the temporary sediment trap is to be graded and stabilized after removal.
Figure TST-3  Example plan Views of Baffles in Temporary Sediment Traps

- DOT #3 stone
- 2:1 or flatter slopes
- Apron (5.0’ min. length)
- Modified rock riprap
- Compacted earth embankment (5.0’ max. height)
- Flow
Figure TST-4 Views of a Temporary Sediment Trap Outlet

X – Section A-A
(not to scale)

X – Section B-B
(not to scale)


**Hay Bale Barrier (HB)**

**Definition**
A temporary sediment barrier consisting of a row of entrenched and anchored bales of hay or straw.

**Purpose**
- To intercept and detain small amounts of sediment from small disturbed areas.
- To decrease the velocity of sheet flows.
- To redirect small volumes of water away from erodible soils.
- To settle and assist in filtering waters discharged from pumping operations (see *Pumping Settling Basin* measure, Type I and Type II).

**Applicability**
- Below small disturbed areas where the drainage area (disturbed and undisturbed) is less than 1 acre in size.
- Above disturbed slopes to direct surface water away from erodible areas where the drainage area (disturbed and undisturbed) is less than 1 acre in size.
- Where protection and effectiveness is required for less than 3 months.
- Where sedimentation will reduce the capacity of storm drainage systems or adversely affect adjacent areas, watercourses and other sensitive areas.
- Not for use in drainageways, except in special cases where it is applied with other measures (see *Geotextile Silt Fence* and *Stone Check Dams* Special Cases).
- Not intended for use in streams.

**Planning Considerations**
See Planning Considerations for Sediment Impoundments, Barriers and Filters Function Group.

**Specifications**

**Materials**

**Hay Bales:** shall be made of hay or straw with 40 pounds minimum weight and 120 pounds maximum weight held together by twine or wire.

**Stakes for Anchoring Hay Bales:** shall be a minimum of 36 inches long and made of either hardwood with dimensions of at least 1.5 inches square or steel posts with a minimum weight of 0.5 pound per linear foot.

**Placement on the Landscape**
Contributing drainage area is no greater than 1 acre. Maximum slope length is as shown in *Figure HB-1*.

**Toe of Slope:** Locate 5-10 feet down gradient from the toe of slope (see *Figure HB-2*), generally on the contour. When the contour can not be followed, stagger the bale installation and install perpendicular wings spaced as shown in *Figure HB-1* to break the velocity of water flowing behind the bales. The barrier should be located with sufficient distance from the toe of the slope to allow access by equipment for removal of accumulated sediments.

**Swales:** Not recommended. See *Geotextile Silt Fence* or *Stone Check Dam* measures.

**Catch Basins in Swales on Slopes:** Not recommended. See *Geotextile Silt Fence* or *Stone Check Dam* measures.

**Catch Basins in Depressions or Low Spots (yard drains):** Encircle catchbasin (see *Figure HB-3*).

**Culvert Inlets:** Not recommended. See *Geotextile Silt Fence* measure.

**Culvert Outlets:** Not recommended. Use *Temporary Sediment Trap* and/or *Stone Check Dam* measures.

**Pumping Settling Basin:** See *Pumping Settling Basin* measure.

**Installation** (see *Figure HB-2*)

**Trench excavation:** Excavate a trench as wide as the bales and at least 4 inches deep. Each end of the trench should be winged upslope so that the bottom of the last bale is higher than the top of the lowest hay bale in the barrier.
Hay Bale Placement: Place bales in a single row in the trench, lengthwise, with ends of adjacent bales tightly abutting one another and the bindings oriented around the sides rather than along the tops and bottoms of the bales (to avoid premature rotting of the bindings).

Staking Hay Bales: Anchor each bale with at least 2 stakes, driving the first stake in each bale toward the previously laid bale to force the bales together. Stakes must be driven a minimum of 18 inches into the ground. Fill any gaps between the bales with hay or straw to prevent water from escaping between the bales.

Backfill & Tamped: Backfill the bales with the excavated trench material to a minimum depth of 4 inches on the uphill side of the bales. Tamp by hand or machine and compact the soil. Loose hay or straw scattered over the disturbed area immediately uphill from the hay bale barrier tends to increase barrier efficiency.

Substitute Measures

Geotextile Silt Fence may be used as a substitute. When frozen or other similar ground conditions prevent the proper trenching or anchoring of hay bales, a sediment barrier consisting of a stone check dam with a hay bale core may be substituted for the hay bale barrier. See Stone Check Dam measure, “Special Case Combinations for Added Filtration & Frozen Ground Conditions” for details.

Maintenance

Inspect the hay bale barrier at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs. For dewatering operations, inspect frequently before, during, and after pumping operations.

Remove the sediment deposits or install a secondary barrier upslope from the existing barrier when sediment deposits reach approximately one half the height of the barrier (see Figure HB-4).

Replace or repair the barrier within 24 hours of observed failure. Failure of the barrier has occurred when sediment fails to be retained by the barrier because:

(a) the barrier has been overtopped, undercut or bypassed by runoff water,
(b) the barrier has been moved out of position, or
(c) the hay bales have deteriorated or been damaged.

When repetitive failures occur at the same location, review conditions and limitations for use and determine if additional controls (e.g. temporary stabilization of contributing area, diversions, stone barriers) are needed to reduce failure rate or replace hay bale barrier. See Figure HB-5 for trouble shooting failures.

Maintain the hay bale barrier until the contributing area is stabilized.

After the upslope areas have been permanently stabilized, pull the stakes out of the hay bales. Unless otherwise required, no removal or regrading of accumulated sediment is necessary. The hay bales may then be left in place or broken up for ground cover.

---

Figure HB-1  Hay Bale Design Slope/Length Limitations

<table>
<thead>
<tr>
<th>Slope Steepness</th>
<th>Slope Length and Wing Spacing</th>
</tr>
</thead>
<tbody>
<tr>
<td>5:1 or shallower</td>
<td>100 feet</td>
</tr>
<tr>
<td>3:1 to 5:1</td>
<td>75 feet</td>
</tr>
<tr>
<td>2:1 to 3:1</td>
<td>50 feet</td>
</tr>
</tbody>
</table>

1 Where the gradient changes through the drainage area the steepest slope section shall be used.
Hay Bale Barrier (HB)

Figure HB-2 Placement and Construction of a Hay Bale Barrier

1. Excavate trench 4” and place fill up-slope of trench.
2. Place hay bale and stake first stake at angle towards first bale. Stakes are 18” min. into ground.
3. Wedge loose hay between bales.
4. Backfill & compact excavated fill along hay bale.

Place hay bale twine on horizontal

Flow

18”

5 – 10’ min. to toe of slope

Bale width
Hay Bale Barrier (HB)

**Figure HB-3  Hay Bale Barrier at Catchbasin in Hollow**

**Plan View**
(not to scale)

- Angle First Stake into Adjacent Bale and Secure with Second Stake
- Drain Grate
- Less than 5% Slope
- Wood Stakes or Metal Rebar
- Hay Bales Tightly Stacked or Offset Corners as Shown

**X Section A’ – A**
(not to scale)

- Ponding Height
- Hay bale
- Gravel Backfill
- Embed Hay bale 4” Min. into Soil
- Drop Inlet
**Figure HB-4 Adding Backup Hay Bale Barrier**

Wrong placement of supplemental hay bales

Correct placement of supplemental hay bales where second row of hay bales is placed behind first row when sediment accumulation is about 1/2 the height of the first row.

**Figure HB-5 Hay Bale Barrier Trouble Shooting Guide**

<table>
<thead>
<tr>
<th>Problem</th>
<th>Cause</th>
<th>Fix</th>
</tr>
</thead>
<tbody>
<tr>
<td>undercutting</td>
<td>inadequate trenching</td>
<td>reset bales properly or for small failure backfill downslope rills, fill &amp; compact under failing bale, fill joints with hay, backfill up slope side of bale with 4” wedge of wood chips or compacted soil</td>
</tr>
<tr>
<td></td>
<td>spaces between bales</td>
<td>same as above, and install perpendicular wings to break flow line such that bottom end of wing is higher than top of barrier</td>
</tr>
<tr>
<td></td>
<td>barrier not on the contour, runoff flowing along upslope side of barrier</td>
<td>extend hay bale barrier far enough upslope so that bottom of last bale is higher than top of lowest bale</td>
</tr>
<tr>
<td>rilling around end</td>
<td>not extending end of hay bale barrier far enough upslope</td>
<td>extend hay bale barrier far enough upslope so that bottom of last bale is higher than top of lowest bale</td>
</tr>
<tr>
<td>hay bales moved</td>
<td>watershed too large</td>
<td>change to stone barrier</td>
</tr>
<tr>
<td></td>
<td>flows too concentrated</td>
<td>change to geotextile silt fence or stone barrier</td>
</tr>
<tr>
<td></td>
<td>inadequately staked</td>
<td>fill and compact any rills at hay bale barrier, reinstall bale, fill joints, backfill and compact, increase staking depths</td>
</tr>
</tbody>
</table>
Planning Considerations
See Planning Considerations for Sediment Impoundments, Barriers and Filters Functional Group. When used at a culvert outlet, plan to install the geotextile silt fence before the start of construction and complete the installation of the required outlet protection before the culvert is made functional. It is preferable to control sediment at the inlets rather than at the outlet. Use at outlets should be limited to situations where inlet controls are not possible or to act as a backup to inlet controls.

Specifications

Materials
Geotextile fabric: shall be a pervious sheet of polypropylene, nylon, polyester, ethylene or similar filaments and shall be certified by the manufacturer or supplier as conforming to the requirements shown in Figure GSF-1. The geotextile shall be non-rotting, acid and alkali resistant and have sufficient strength and permeability for the purpose intended, including handling and backfilling operations. Filaments in the geotextile shall be resistant to absorption. The filament network must be dimensionally stable and resistant to de-lamination. The geotextile shall be free of any chemical treatment or coating that will reduce its permeability. The geotextile shall also be free of any flaws or defects which will alter its physical properties. Torn or punctured geotextiles shall not be used.

Supporting posts: shall be at least 42 inches long made of either 1.5 inch square hardwood stakes or steel posts with projections for fastening the geotextile possessing a minimum strength of 0.5 pound per linear foot.

Placement on the Landscape
Contributing drainage area 1 acre or less. Maximum slope length is as shown in Figure GSF-2.

For toe of slope (Figure GSF-3): Locate 5-10 feet down gradient from the toe of slope, generally on the contour with maintenance and sediment removal requirements in mind. When the contour can not be followed install the fence such that perpendicular wings are created to break the velocity of water flowing along the fence. See Figure GSF-2 for spacing requirements.

Swales (see Figure GSF-4): Locate “U” shape across swale such that the bottom of both ends of the fence are higher than the top of the lowest section of the fence.

Catch Basins in Swale on Slopes: Locate 2 “U” shapes across swale as above: one immediately up slope from the catch basin and the other immediately down slope from the catch basin.

Catch Basins in Depressions: Encircle catch basin.

Culvert Inlets: Locate in a “U” shape approximately 6 feet from the culvert in the direction of the incoming flow.
Culvert Outlets: Locate across the swale at least 6 feet from the culvert outlet.

**Figure GSF-2  Geotextile Silt Fence Slope/Length Limitations**

<table>
<thead>
<tr>
<th>Slope Steepness</th>
<th>Slope Length and Wing Spacing</th>
</tr>
</thead>
<tbody>
<tr>
<td>5:1 or flatter</td>
<td>100 feet</td>
</tr>
<tr>
<td>3:1 to 5:1</td>
<td>75 feet</td>
</tr>
<tr>
<td>2:1 to 3:1</td>
<td>50 feet</td>
</tr>
</tbody>
</table>

1 Where the gradient changes through the drainage area the steepest slope section shall be used.

**Installation** (see Figure GSF-3)

**Trench excavation:** Excavate a trench a minimum of 6 inches deep and 6 inches wide on the up slope side of the fence location. For slope and swale installations, extend the ends of the trench sufficiently up slope such that bottom end of the fence will be higher than the top of the lowest portion of the fence.

When the fence is not to be installed on the contour, excavate wing trenches spaced at the intervals given in Figure GSF-2.

When trench excavation is obstructed by an occasional stone or tree root, provide a smooth transition between the trench bottom and the obstruction.

**Support Posts:** Drive support posts on the down slope side of the trench to a depth of at least 12 inches into original ground.

Never install support posts more than 10 feet apart. Install support posts closer than 10 feet apart when concentrated flows are anticipated or when steep contributing slopes and soil conditions are expected to generate larger volumes of sediment. For catch basins in hollows, drive posts at each corner of the catch basin. Whenever the geotextile filter fabric that is used exceeds the minimum material specifications contained in this measure, the spacing of the stakes shall be per manufacturer’s recommendations.

**Geotextile Filter Fabric:** Staple or secure the geotextile to the support posts per manufacturer’s instruction such that at least 6 inches of geotextile lies within the trench, the height of the fence does not exceed 30 inches \(^2\) and the geotextile is taut between the posts. When the trench is obstructed by stones, tree roots, etc. allow the geotextile to lay over the obstruction such that the bottom of the geotextile points up slope.

In the absence of manufacturer’s instructions, space wire staples on wooden stakes at a maximum of 4 inches apart and alternate their position from parallel to the axis of the stake to perpendicular.

Do not staple the geotextile to living trees.

Provide reinforcement for the fence when it can be exposed to high winds.

When joints in the geotextile fabric are necessary, splice together only at a support posts, and securely seal (see manufacturer’s recommendations).

**Backfill & Compaction:** Backfill the trench with tamped soil or aggregate over the geotextile (see Figure GSF-3). When the trench is obstructed by a stone, tree root, etc. make sure the bottom of the geotextile lies horizontal on the ground with the resulting flap on the up slope side of the geotextile and bury the flap 6 inches of tamped soil, or aggregate.

**Maintenance**

Inspect the silt fence at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs. When used for dewatering operations, inspect frequently before, during and after pumping operations.

\(^2\) higher barriers may impound volumes of water sufficient to push over the support posts.
Remove the sediment deposits or, if room allows, install a secondary silt fence up slope of the existing fence when sediment deposits reach approximately one half the height of the existing fence.

Replace or repair the fence within 24 hours of observed failure. Failure of the fence has occurred when sediment fails to be retained by the fence because:

(a) the fence has been overtopped, undercut or bypassed by runoff water,
(b) the fence has been moved out of position (knocked over), or
(c) the geotextile has decomposed or been damaged.

When repetitive failures occur at the same location, review conditions and limitations for use and determine if additional controls (e.g. temporary stabilization of contributing area, diversions, stone barriers) are needed to reduce failure rate or replace fence. See Figure GSF-5 for trouble shooting failures.

Maintain the fence until the contributing area is stabilized.

After the contributing area is stabilized determine if sediment contained by the fence requires removal or regrading and stabilization. If the depth is greater than or equal to 6 inches, regrading or removal of the accumulated sediment is required. No removal or regrading is required if sediment depth is less than 6 inches.

Remove the fence by pulling up the support posts and cutting the geotextile at ground level. Regrade or remove sediment as needed, and stabilize disturbed soils.
Figure GSF-3  Toe of Slope Installations with Wings

Position posts to overlap as shown above, making certain that fabric folds around each post one full turn.

Drive posts tightly together and secure tops of posts by tying off with cord or wire to prevent flow-through of built-up sediment at joint.

Supporting post at least 42" long, 1.5" square hardwood stake or steel post.

Max. fence height 30"

Direction of flow from adjacent slope.

Direction of flow collecting behind fence.

6" by 6" backfilled trench.

Existing subgrade.

Detail of Fence Joint (Top View)

Section A

Section B

Wing Detail

Wing oriented to intercept flow.

6' – 10' from toe of slope

6" by 6" backfilled trench
Ensure elevation A is lower than elevation B.
<table>
<thead>
<tr>
<th>Problem</th>
<th>Cause</th>
<th>Fix</th>
</tr>
</thead>
<tbody>
<tr>
<td>fence fallen over or stakes broken from pressure of water</td>
<td>too large drainage area</td>
<td>Change to stone check dams or add additional controls up slope to reduce velocities and sediment loading (see measure matrix, Chapter 4 for other measures available).</td>
</tr>
<tr>
<td>flows too concentrated</td>
<td></td>
<td>Repair or replace fence, increase staking frequency, angle stake up slope, consider installing hay bale barrier on the down slope side of fence in area of concentration or adding guy wire for support.</td>
</tr>
<tr>
<td>stake not driven deep enough geotextile not properly attached to stakes</td>
<td></td>
<td>Repair or replace fence, increase stake depth. Recheck manufacturer’s instructions on attachment and re-attach.</td>
</tr>
<tr>
<td>undercutting, toe failure</td>
<td>poor trenching or inadequate compaction, not enough geotextile buried</td>
<td>Install new fence properly or retrench, fill &amp; compact rills at fence failure, drive stakes deeper as necessary to bury enough geotextile, fill &amp; compact trench and down slope rills to provide support. For repeated failures consider installing hay bale barrier on the down slope side at the failure site after repair work is done.</td>
</tr>
<tr>
<td>fence not on the contour, runoff eroding up slope side of barrier</td>
<td></td>
<td>Retrench, fill &amp; compact rills at fence failure, and install perpendicular wings to break flow line such that bottom end of wing is higher than top of fence at wing joint OR install stone barriers on up slope side of fence to reduce runoff velocities. For repeated failures consider installing hay bale barrier on the down slope side at the failure site after repair work is done.</td>
</tr>
<tr>
<td>poor transition from trench to obstruction at grade</td>
<td></td>
<td>Fill failed area to make smooth transition from trench to obstruction and re-bury flap of geotextile with 6 inches of tamped soil or aggregate. For repeated failures consider installing hay bale barrier on the down slope side at the failure site after repair work is done.</td>
</tr>
<tr>
<td>water running around ends</td>
<td>not extending end of fencing far enough up slope</td>
<td>Extend fence far enough up slope so that bottom of fence end is higher than top of lowest portion of fence, overlap joints at least 6 inches.</td>
</tr>
</tbody>
</table>
Planning Considerations
Turbidity curtains are designed to deflect and contain sediment laden water within a limited area and/or provide enough residence time so that soil particles will fall out of suspension and not travel to other areas. Turbidity curtains should not be used as an alternative to land based erosion and sediment control measures. However, when proximity to a watercourse or waterbody precludes the use of other types of erosion control measures, the use of a turbidity curtain during land disturbance is essential. An engineer should be consulted in determining which type of turbidity curtain should be used and when consultation with the manufacturer is needed.

If waters are in the U.S. Coast Guard jurisdiction be sure to check with their local marine safety officer to be sure the curtain is not in the boat channel and if boom lights are required.

One of the most important considerations, when contemplating the use of turbidity curtains, is how the removal of the curtain and any contained sediments will impact the water quality and aquatic habitat. Determine if sediment removal will be needed and provide for the necessary disposal. In some cases, more environmental damage could be caused by sediment removal than if the curtain were not installed in the first place.

Channel Flow Applications
Considerations must be given to the direction of water movement in channel flow situations. Turbidity curtains are not designed to act as water impoundment dams and cannot be expected to stop the flow of significant volumes of water. They are designed and installed to isolate construction waters from clean water and trap sediments within a confinement area, without halting the movement of non construction waters. Turbidity curtains shall not be extended across channel flows.

Tidal and/or High Wind and Wave Applications
In tidal or wind and wave action situations, the curtain should never touch bottom. A minimum 1-foot gap should be maintained between the curtain and the bottom. It is also seldom practical to extend a turbidity curtain deeper than 10 to 12 feet. Curtains installed deeper will be subject to very large loads which will strain the material and mooring systems.

Specifications
Turbidity Curtain Types
There are four turbidity curtain types. The type used must be based on the flow conditions within the water body (i.e., a flowing channel, lake, pond or a tidal watercourse) at the proposed site of installation:

(a) **Type I (flatwater):** An area that is calm and protected with no current, such as small lakes, ponds, canals and protected non-tidal shoreline areas. In these areas the curtain may extend to the bottom of the installation area. If the curtain is deeper than the installation area and lies freely on the bottom, it may load with silt, causing problems in curtain removal.

(b) **Type II (lightweight):** An area that is semi-protected with current up to 2 feet/second such as
moderate sized lakes, canals and shoreline areas. Two feet/second is the velocity at which fine silts and clays can be expected to erode. In a Type II situation the curtain may extend to within one foot or less off the bottom. This allows minimal currents in the area to pass under the curtain at a very low velocity. Keeping the velocity of current to a minimum will serve to minimize the spread of silt.

(c) Type III (middleweight): An area that is exposed with currents up to 5 feet/second, such as rivers, streams, large lakes and exposed shorelines with current in one direction. In these areas the curtain should extend to 1 foot or less off the bottom. Moderate currents will cause the curtain to lift away from the bottom allowing the current to pass. It may be necessary to reduce the depth of the curtain and/or install the curtain at an angle to the current to keep the velocity as low as possible.

(d) Type IV (heavyweight): An area that is exposed and subjected to current, wind and tides such as harbors, and shorelines exposed to large expanses of water. In this situation the curtain should extend to the bottom of the installation area of high tide. The fluctuation of the tides will keep the buildup of silt on the curtain to a minimum.

**Materials**

Turbidity curtains shall be fabricated from tightly woven geosynthetic or impervious reinforced thermoplastic material, brightly colored, which is stable when subjected to ultraviolet light. Woven geosynthetic material shall be of sufficient tightness such that turbidity levels are maintained at levels less than 5 NTUs over ambient conditions in waters being protected immediately adjacent to the turbidity curtain. An upper hem shall be installed to enclose a flexible flotation material. A lower hem shall be installed to contain a flexible ballast so that the curtain will hang vertically in the water. Each end or section of curtain shall be furnished with anchoring devises.

When Type I is used, external anchors of wooden or metal stakes (2 x4 inch or 2.5 inch minimum diameter wood or 1.33 lbs/linear foot steel) may be used.

**Anchoring Equipment**

All types of turbidity curtains require anchors. The use of proper anchoring systems is important to holding booms in their desired location.

Usually an anchoring system consists of the following components:
- Anchor
- Anchor Chain
- Anchor Line
- Anchor Buoy
- Hardware to connect components

Anchors selection is based on anticipated loads (type of boom, current and winds) and bottom conditions. For example, a Danforth type anchor is good for sandy bottoms. The associated components, i.e., anchor chain, etc., would generally be determined by anchor size and depth of water.

The anchor line or rode should generally be 3 to 4 times the water depth to ensure the anchor will set and hold properly.

The anchor buoy placed between the anchor line and boom should be sufficiently buoyant to prevent the boom from being pulled under water.

Usually 6 to 8 feet of anchor chain attached to the anchor stock is enough to ensure the anchor “sets” properly.

Winds, currents and boom size will determine the number, and sizes of anchors required for a particular application. In tidal areas, the booms must be anchored from both sides to keep the boom in its desired location. Smaller anchors may be substituted for larger anchors by increasing the number of anchors used and at what interval they are attached to the boom.

**Sizing**

When sizing the length of the curtain, avoid excessive joints. A minimum of 50 feet and a maximum of 100 feet between joints is recommended.

**Installation Requirements**

Each turbidity curtain installation is unique because of individual site conditions and individual settings. In general, the following information is appropriate for most applications. See Figure TC-1 for an illustration of a turbidity curtain in tidal waters.

- Set appropriate anchors around the perimeter of the installation. For best performance, use Danforth type anchors for sandy bottoms, or kedge type or mushroom anchors for mud bottoms. An anchor buoy should be used between the curtain and the anchor rope when working in currents. Alternative methods of anchoring might include fabricated heavy concrete weights or driven pilings. Shore anchor points are usually posts or pilings tied back to an anchor.

**Note:** Always attach anchor lines to the flotation device, not to the bottom of the curtain.

Remove the outside bundling ropes for each section. Lay the curtain out in an orderly fashion near the point of entry into the water. Inspect load line to ensure that it is not twisted around the float. If multiple lengths of curtains are involved, lay out remaining curtains and untie the furling ties nearest the section ends. Connect sections and re-tie the furling ties.

Tie one end of the curtain to the shore anchor point. Tow the curtain into position slowly either by hand or with the aid of a boat. A worker should be on shore to assist the curtain into the water. Once the curtain is in position, return and tie off the curtain at intermediate anchor points.

After the installation is complete and the curtain is properly anchored, return along the curtain and cut all the furling ties to lower the curtain skirt.
Figure TC-1 Illustration of a Turbidity Curtain in Tidal Waters
**Maintenance & Removal**

Inspect daily for damage. Depending on the duration and nature of the project, sediment shall be removed when its accumulation interferes with the function of the turbidity curtain. Material may be removed with construction equipment such as backhoes, draglines, mud sucking pumps or hydraulic dredges. If mud sucking pumps or hydraulic dredges are being used, then see **Dewatering of Earth Materials** measure. When required, removal and disposal of sediments shall be in an environmentally sound manner.

When there has been a significant change from the original depth of the watercourse due to sediment accumulation or when otherwise required, remove sediment prior to the removal of the turbidity curtain. Also remove accumulated sediment when the function of the watercourse is impaired or when the accumulated sediments can be expected to be reintroduced into the water column by water flow once the turbidity curtain is removed. When sediment accumulation does not meet the conditions above, sediment may still be removed. However, its removal should be restricted to those situations where the removal will not create worse environmental conditions than if the sediment remained in place. Removal may have to be accomplished in stages since disturbance of the trapped material during removal will cause re-suspension.

Maintain the curtain for the duration of the project or for as long as the contributing area is capable of loading sediments to the body of water. Prior to the removal of the turbidity curtain, allow soil particles to settle out of the water column for a minimum of 6 to 12 hours depending on the clarity of the water and engineer’s recommendation.
## Vegetated Filter (VF)

### Definition
A maintained area of well established herbaceous or woody vegetation through which small volumes of sediment-laden water pass and are filtered.

### Purpose
- To intercept and detain small amounts of sediment from small disturbed areas by filtering runoff waters.
- To decrease the velocity of sheet flows and allow for sediment deposition to occur before reaching sensitive areas.

### Applicability
- For contributing drainage areas of 1 acre or less in size.
- For contributing slopes are no steeper than 10%.
- Where slopes in the vegetated filter area are no steeper than 10%.
- For use only when existing vegetation is in an adequate condition to provide filtering of runoff water. If vegetated filters are to be established from permanent seedings, use is prohibited until after the grass has reached 6 inches in height, has been mowed twice and survived one full growing season.
- Not for use where flows concentrate or at the outlet of diversions, drainageways, and waterways except in special cases where other measures are applied in conjunction with a vegetated filter, such as a Level Spreader, Geotextile Silt Fence or Hay Bale Barrier.

### Planning Considerations
Vegetated filters are located in non-wetland areas and outside of riparian buffer areas. The vegetation in the filter must be sediment tolerant. The minimum flow length through the vegetated filter is determined by the steepness of the contributing slope, the soil texture of the contributing slope, the condition of vegetation within the filter and level of human activity above the filter. A 90% total suspended solids (TSS) removal efficiency rate can be expected in areas where low levels of human disturbance above the filter area occur, variations in the vegetation are minimal and flow velocity conditions are uniform throughout the filter. A 50% TSS removal rate can be expected over a period of time if design conditions of the filter deteriorate.

When planning to use existing vegetation it must be healthy, have a vigorous growth habit and be protected from damage by construction equipment.

When planning to establish a herbaceous vegetated filter use either Sodding or Permanent Seeding measures. Sodding is a convenient method for establishing a vegetated filter that may be used immediately after installation. Sodding around a catch basin and accompanied by geotextile silt fencing or haybale barriers during contributing slope stabilization creates a vegetated filter that is very effective in reducing sediment loading to the storm drain system. Seeding may be done to establish a vegetated filter, however the area cannot be used until after the first growing season and grass growth has become well established.

Due to problems with sediment accumulation, this measure is not recommended for use greater than 1 year.

### Specifications

#### Size and Slope Requirements
Determine the minimum flow length through a vegetated filter based upon the slope and soil texture of the contributing drainage area, vegetative condition of the filter, and level of human activity above the filter. Use **Figure VF-1** to determine filter length.

Slopes to and within the vegetated filter shall not exceed 10%.

#### Condition of Vegetation in Filter Area
Herbaceous vegetation shall be a dense formed sod of fine stemmed, healthy plants. Woody vegetation shall be well established and healthy with an undisturbed layer of leaf litter or duff. Protect vegetation by prohibiting the use of construction equipment in the area.
**Maintenance**

Inspect the vegetated filter at least once a week and within 24 hours of the end of a storm with a rainfall amount of 0.5 inch or greater to determine maintenance needs. Maintenance is required when sediment fails to be retained by the filter due to rilling, excessive deposition of sediment, vegetation failure or other causes.

If the vegetated filter area failed due to rilling, install additional measures immediately below the filter to prevent sediment from leaving the filter area, such as a Geotextile Silt Fence or Stone Check Dam. Rills shall then be regraded, re-seeded or sodded.

Additionally, if the vegetated filter failed due to excessive sediment loading causing the vegetation to become buried, inspect the contributing area and install additional measures to control sediment before runoff enters the filter area. If further use of the vegetated filter is planned, consider manually removing the accumulated sediment that has buried the vegetation. Otherwise, seed or otherwise stabilize the accumulated sediments in the filter area.

---

**Figure VF-1 Determining Vegetative Filter Lengths**

<table>
<thead>
<tr>
<th>Slope of contributing area</th>
<th>Soil Texture Class I $^1$</th>
<th>Soil Texture Class II $^1$</th>
<th>Soil Texture Class III $^1$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>50</td>
<td>110</td>
<td>150</td>
</tr>
<tr>
<td>1</td>
<td>54</td>
<td>119</td>
<td>162</td>
</tr>
<tr>
<td>2</td>
<td>58</td>
<td>128</td>
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</tr>
<tr>
<td>3</td>
<td>62</td>
<td>136</td>
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<td>4</td>
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</tr>
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<td>8</td>
<td>82</td>
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<td>410</td>
</tr>
<tr>
<td>9</td>
<td>86</td>
<td>189</td>
<td>430</td>
</tr>
<tr>
<td>10</td>
<td>90</td>
<td>198</td>
<td>450</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Slope of contributing area</th>
<th>Soil Texture Class I $^1$</th>
<th>Soil Texture Class II $^1$</th>
<th>Soil Texture Class III $^1$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**High Density and Low Disturbance Potential (in feet) $^2$**

| 0                          | 150                        | 330                         | 735                        |
| 1                          | 162                        | 356                         | 794                        |
| 2                          | 174                        | 383                         | 853                        |
| 3                          | 186                        | 409                         | 911                        |
| 4                          | 198                        | 436                         | 970                        |
| 5                          | 210                        | 462                         | 1029                       |
| 6                          | 370                        | 814                         | 1813                       |
| 7                          | 390                        | 858                         | 1911                       |
| 8                          | 410                        | 902                         | 2097                       |
| 9                          | 430                        | 946                         | 2107                       |
| 10                         | 450                        | 990                         | 2205                       |

**Medium Density or High Disturbance Potential (in feet) $^3$**

1. Texture Class I - sands and loamy sands; Soil Texture Class II - sandy clay loams and sandy loams; Soil Texture Class III - all other soil textural classes (see Appendix H, Soil Classification Systems).

2. Vegetation must be grass, transition forest or forest and thick enough that 80% or more of the filter area no bare ground can be seen through the grass or surface matter. These areas experience low levels of human disturbance by foot traffic.

3. Vegetation density and/or surface litter is less than 80% of the ground or filter area will experience a high level of disturbance by foot traffic.

Planning Considerations

The only measure in this group is Construction Entrance.

The Construction Entrance measure consists primarily of a stone pad and is used where construction traffic gains access to paved surfaces. While it is an integral part of a construction access road, it is also used for projects too small to require a construction access road. Commonly it is required to prevent unsafe roadway conditions caused by soil deposited onto paved surfaces. It reduces the potential of sediment transport from these paved surfaces during storm events as well as preventing dust clouds and slippery pavement.
Planning Considerations

The construction entrance is intended to cause sediment to drop off of vehicle tires and prevent it from being tracked onto adjoining paved areas. Its design and maintenance requirements are dependent upon how intensely the entrance is used and the nature of the sediments that can be tracked. Consider the texture of the sediments to be retained by the construction entrance. The minimum construction entrance is 50 feet, but where the soils subject to tracking contain less than 80% sand, then the minimum length of the construction entrance is 100 feet (see textural triangle in Appendix H). For sites containing clay or silty soils consider developing a construction access road with a gravel base. (See Chapter 4, Special Treatments, Construction Access Roads). The length of the construction entrance may be reduced by the establishment of an access road with a stable surface that is not subject to soil tracking.

If the construction entrance drains to a paved surface and its grade exceeds 2%, then plan on installing a water bar within the construction entrance to divert water away from the paved surface. For access roads that slope down to the construction entrance, consider installing a water bar and associated sediment barrier to protect the construction entrance from unnecessary siltation during storm events.

Placing a geotextile beneath the stone pad of the construction entrance can reduce the pumping of subsoil into the stone by construction traffic and reduce maintenance costs.

Select the site of the construction entrance to avoid poorly drained soils where possible. Where lateral flows of water must be maintained through the construction entrance, consider having an engineer design subsurface drainage or other drainage facilities to eliminate the obstruction to flow.

Consider requiring the installation of construction access fencing to restrict construction traffic to the construction entrance.

When the construction entrance is installed to the minimum standards and is properly maintained, but is still unable to prevent the majority of sediments from being tracked off site, the entrance must either be extended or a washing rack installed. If a washing rack or similar device is to be used to wash sediment from tires, make provisions to intercept the wash water and trap the sediment before it is carried off-site. Determine the sizing requirements for the sediment trapping facility so that it will hold the maximum volume of water that would be used over a 2-hour period. (See Pumping Settling Basin measure for formula on pumping rate and storage requirements).

The use of a construction entrance may not eliminate the need for periodic street sweeping, but if properly maintain it should significantly reduce the need.

Specifications

Materials

Stone: Use angular stone sized according to the standards set by ASTM C-33, size No. 2 or 3, or DOT Standard Specifications section M.01.01, size #3. See Figure SP-1 on page 5-4-14 for stone sizing requirements.

Geotextile: Fibers used in the geotextile shall consist of synthetic polymers composed of at least 85% by weight polypropylene, polyesters, polyamides, polyethylene, polyolefins or polyvinylidene-chlorides. The fibers shall be formed into a stable network of filaments or yarns retaining dimensional stability relative to each other. The geotextile used shall be specifically intended for “road stabilization” applications and shall be consistent with the manufacturer's recommendations for the intended use.
**Location**
Locate the entrance to provide maximum utilization by construction vehicles. Avoid poorly drained soils, where possible.

**Construction Entrance Dimensions** (see Figure CE-2)

**Stone Thickness:** not less than 6 inches.

**Width:** A 12-foot minimum with points of ingress or egress flared sufficiently to accommodate the turning radius of the construction vehicles used.

**Length:** A 50-foot minimum except where the tracked sediments contain less than 80% sand, a 100-foot minimum is required. If the traveled length is less than the minimum, then the construction entrance shall be the traveled length. On a site specific basis increase lengths as needed to prevent the tracking of sediment onto paved surfaces.

**Construction**
Clear the area of the entrance of all vegetation, roots, and other objectionable material. At poorly drained locations install subsurface drainage insuring the outlet to the drains are free flowing.

If using a geotextile in place of free draining material, unroll the geotextile in a direction parallel to the roadway centerline in a loose manner permitting it to conform to the surface irregularities when the stone is placed. Unless otherwise specified by the manufacturer, the minimum overlap of geotextile panels joined without sewing according to the manufacturer’s recommendations. The geotextile may be temporarily secured with pins recommended or provided by the manufacturer but they shall be removed prior to placement of the stone.

Place the stone to the specified dimensions. Keep additional stone available or stockpile for future use. If the grade of the construction entrance drains to the paved surface and it exceeds 2%, construct a water bar within the construction entrance at least 15 feet from its entrance on the paved surface diverting runoff water to a settling or filtering area.

Construct any drainage and settling facilities needed for washing operations. If wash racks are used, install according to the manufacturer’s specifications.

**Washing**
If most of the sediment is not removed by travel over the stone, wash tires before vehicles enter a public road. Divert wash water away from the entrance to a settling area to remove sediment. Size settling area to hold the volume of water used during any 2-hour period. Using a wash rack may make washing more convenient and effective.

**Maintenance**
Maintain the entrance in a condition which will prevent tracking and washing of sediment onto paved surfaces. Provide periodic top dressing with additional stone or additional length as conditions demand. Repair any measures used to trap sediment as needed. Immediately remove all sediment spilled, dropped, washed or tracked onto paved surfaces. Roads adjacent to a construction site shall be left clean at the end of each day.

If the construction entrance is being properly maintained and the action of a vehicle traveling over the stone pad is not sufficient to remove the majority of the sediment, then either (1) increase the length of the construction entrance, (2) modify the construction access road surface, or (3) install washing racks and associated settling area or similar devices before the vehicle enters a paved surface.
Figure CE-2  Diagram of Typical Construction Entrance

- Stripped ground line (remove topsoil and organics prior to crushed stone placement)
- Access road to work area
- Paved road
- Grading shall be DOT No.3 or ASTM C-33 No.3
- 50'-100' length
- 6" min.
- 10' min. radius
- Install sub-base of free draining backfill or road stabilization geotextile as necessary on unstable soils.

Source: USDA-NRCS
Planning Considerations

The dewatering measures are Pump Intake and Outlet Protection, Pumping Settling Basin, Portable Sediment Tank and Dewatering of Earth Materials. The function of these measures is to handle and treat water that is generated during dewatering operations. Dewatering may involve either the use of pumps or the draining of excavated and dredged soils that are too wet to transport without leakage.

The Pump Intake and Outlet Protection measure uses structures or other protective devises, such as barrels, boards, stones, strainers and floats, which are attached to intake and discharge hoses to prevent the excessive pumping of sediments at the intake and the erosion at the point of discharge.

The Pumping Settling Basin measure utilizes an enclosed sediment barrier or excavated pit constructed with stable sides, an inlet and an outlet for the purpose of settling and/or filtering turbid water that is being pumped at a construction site. This measure is not needed if the pumped water is clear and sufficiently de-energized at the point of discharge. Similarly, a basin may not be needed if the volume of water is small and sufficient stable vegetative cover exists at the point of discharge to adequately treat the discharged water before draining into a wetland, watercourse, storm drain system or public road. In this case, the discharge area must be sufficiently stable so that it resists scouring and can filter the wastewater.

The Portable Sediment Tank measure uses a tank or other similar container to temporarily store and retain sediment before the water is discharged or transported to an approved location for further treatment when a pumping settling basin is impractical.

The Dewatering of Earth Materials measure uses an excavation and dike with a spillway to hold excavated or dredged soils that are too wet to be regraded or transported. The purpose of this measure is to provide a containment area large enough to allow for sufficient water to drain from the soil so that it may be regraded or transported.

The use of these measures is dependent upon specific site conditions, the contractor's method of operations, and contractor's dewatering equipment. Innovative techniques for dewatering structures other than those shown are encouraged, and should be evaluated on a case-by-case basis by the approving authority.

Dewatering needs should be identified in the erosion and sediment control plan and at least a general description of dewatering operations given. However, it should be recognized that any dewatering plan typically needs to be modified due to unforeseen onsite conditions or alternate methods available to the contractor.

continued on next page
For complex projects the E & S plan shall, at a minimum, identify dewatering needs and possible dewatering discharge locations. If a detailed dewatering plan is not contained within the overall E & S plan, it shall be forwarded to the approving agency for review and approval prior to the start of dewatering activities. It is not uncommon that once a construction contractor is selected and prior to actual dewatering, the contractor’s detailed dewatering plan is submitted to the reviewing agency for review and approval.

Regardless of when a detailed dewatering plan is submitted, the plan shall identify the specific methods, devices and schedules to be used including, but not limited to:

- details on protection at the inlet and outlet of pumps, method for floating the pump intake, or other methods to minimize and retain the sediment;
- details on any containment berm construction when dewatering earth materials; and
- identification of a contingency plan for emergency operations should the dewatering operation prove inadequate to meet the dewatering need or is found to be causing unacceptable turbidity problems (e.g., alternative discharge locations or use of a portable sediment tank).

If turbidity or siltation problems are not adequately controlled by the contingency plan, then the operation shall be ceased and a revised dewatering plan submitted for approval prior to further implementation.

To minimize the amount of sediment transport from dewatering operations:

- Divert surface waters away from areas needing dewatering; use Diversions and Land Grading measures;
- Consider if well points and sumps can be used to lower the groundwater table reducing the need for settling facilities;
- For sites that don’t require continuous pumping, pump work areas before construction activities begin each work day;
- Provide filtration near the suction intake;
- Locate pumps, intake sumps, and other intake structures in areas which will not require constant moving, when possible;
- Locate pump discharge facilities (portable, permanent, or bio-filtering structures) such that a minimum disturbance of existing wetlands and watercourses is incurred; and
- Provide protection at outlets from pumping operations to dissipate pumping surges and prevent erosion at the point of discharge.

1 Diverting surface waters or pumping ground water waters may require a DEP water diversion permit or a local inland wetlands permit (see Appendix F, Regulatory Matrix).
Planning Considerations
There is no specific design for this measure. The pump intake protection shown in Figure PuP-1 and Figure PuP-2 illustrate basic design concepts which when implemented during dewatering operations reduce sediment uptake.

Typically, pump intakes are installed in sumps that have been excavated below the grade such that water drains away from the active construction area. The location and size of sumps are dependent upon the field conditions found at the time of construction and dewatering operations. The expected conditions and potential sump needs should be noted on the plans. The sumps may require relocation as work progresses.

The pump outlet protection shown in Figure PuP-3 illustrate basic design concepts which when implemented during dewatering operations reduces soil erosion and resuspension of sediments.

Specifications

Sizing Pumping Sumps
Determine the size of the pumping sump based upon the volume of water required to be pumped and the size of the pump. When using portable sediment storage tanks, the sump shall be capable of storing the amount of water that enters the dewatering site during time that it takes to switch portable sediment storage tanks.

For dewatering trenches, cofferdams and foundation excavations the sump is typically excavated 2 feet or more below the grade of the proposed work.

For pond rehabilitation the sump shall be a minimum of 2 feet below the pond bottom, depending upon the dewatering needs of material to be removed from the pond. Size of the sump is dependent upon conditions in the pond.

Installation
1. Determine if a sump is needed and the appropriate method of pump intake and outlet protection.

2. Where standing water is encountered in the area of a proposed sump, begin dewatering the site by floating the pump intake at the water’s surface. Carefully monitor water levels to prevent the uptake of bottom sediments.

3. Excavate the sump within or adjacent to the area to be dewatered. Install pump intake and outlet protection before pumping begins.

4. Installation of the pump intake protection should conform to pumping rates and the general design concepts. Figure PuP-1 shows a typical sump and intake constructed of stone imbedded with a perforated stand pipe. It is generally used where there is no need to frequently move the pumping sump or where the stone can be used on site for bedding material. In some instances the prefiltration of discharge waters may be enough to reduce or eliminate the need for a dewatering basin or portable sediment tank. Figure PuP-2 shows a typical sump and intake that calls for lining (rather than filling) the pumping
sump with stone and attaching a strainer to the suction hose so that the hose is suspended off the bottom of the pumping sump and is protected against pumping bottom sediments. This design is useful when frequent relocation of the pumping sump is anticipated. However, it does not reduce the need for a dewatering basin.

5. The pump outlet protection shall adequately dissipate the energy of the discharge so as to prevent erosion and the resuspension of sediments at the point of discharge. Figure PuP-3 illustrates an example of pump outlet protection. Pump outlet protection is required even if the discharge is to a pumping settling basin.

**Operation**
Monitor pumping operations and adjust pumping rates as needed to keep the construction area dewatered, and minimize pumping sediment.

**Maintenance**
Inspect the pumping sump, pump intake protection and pump discharge conditions frequently during dewatering operations for proper functioning of equipment.

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**Figure PuP-1  Pump Intake Protection Using Stone Filled Sump with Standpipe**

1. OVERALL SUMP PIT DIMENSIONS SHALL BE COMPATIBLE WITH ANTICIPATED SEEPAGE RATES AND PUMP SIZE TO BE USED.

2. THE STANDPIPE DIAMETER AND NUMBER OF PERFORATIONS SHALL BE COMPATIBLE WITH THE PUMP SIZE BEING USED.

3. PERFORATIONS IN THE STANDPIPE SHALL BE EITHER CIRCULAR OR SLOTS. PERFORATION SIZE SHALL NOT EXCEED 1/2" IN DIAMETER.

4. CRUSHED STONE OR GRAVEL SHALL BE NO SMALLER THAN CT DOT #67 SIZE NOR LARGER THAN CT DOT #3 SIZE. CRUSHED STONE SHALL EXTEND A MINIMUM OF 12" BELOW THE BOTTOM OF THE STANDPIPE.

5. IF EXCESSIVE MOVEMENT OF FINE SOIL PARTICLES FROM THE SURROUNDING EXISTING SOILS IS ANTICIPATED, A PROPERLY DESIGNED GEOTEXTILE SHALL BE PLACED BETWEEN THE EXISTING SOILS AND THE CRUSHED STONE OR GRAVEL BACKFILL.

6. THE STANDPIPE SHALL EXTEND A MINIMUM OF 12" ABOVE THE SURROUNDING GROUND.

Source: USDA-NRCS
Figure PuP-2  Pump Intake Protection Using Sump with Strainer
Figure PuP-3  Examples of Pump Outlet Protection
Definition
An enclosed sediment barrier or excavated pit constructed with a stable inlet and outlet such that sediment laden water from pumping operations is de-energized and temporarily stored, allowing sediments to be settled and/or filtered out before being released from the construction site.

Purpose
To allow for the settlement of sediment from pumping operations prior to the water being discharged.

Applicability
• When a pump discharge from a construction area is sediment laden.
• Not for use with hydraulic dredging operations in open waters. (See Dewatering of Earth Materials measure).

Specifications

Materials
Whenever used in this measure:
❍ hay bale barriers shall meet those required in the Hay Bale Barrier measure.
❍ geotextile shall meet that required in the Geotextile Silt Fence measure, and
❍ pump surge energy dissipators shall be provided and capable of sufficiently de-energizing pump discharges to prevent scour and remain in place (See Pump Intake and Outlet Protection measure).

Sizing
Pumping settling basins are sized to have a minimum retention time of 2 hours. Use the following formula to determine the storage volume required:

\[
\text{Cubic feet of storage required} = \frac{\text{Pump Discharge Rate}}{16} \times (g.p.m.)
\]

For sites where available storage is insufficient at the planned pumping rate, the maximum pumping rate is determined from the following formula:

\[
\text{Pump Discharge Rate} = \frac{\text{Cubic feet of storage available}}{16}
\]

In calculating the capacity, include the volume available from the floor of the basin to the crest of the outlet control.
Location
Locate the pumping settling basin on the site such that surface water is directed away from the pumping settling basin (See Temporary Water Diversion measure).

Installation
All dewatering basins, regardless of type, contain a water/sediment storage area, an energy dissipator for pump discharges entering the basin (See Pump Intake and Outlet Protection measure and an emergence overflow that provides for a stable filtration surface through which water may leave the basin. Pump discharge is located at a point in the dewatering basin that is farthest from the basin outlet.

Depending upon existing soil conditions and side slopes of excavated pumping settling basin, soil stabilization may be required. The excavation may be lined with geotextile or stone to help reduce scour and to prevent the erosion of soil from within the structure.

Type I - Small Volumes: Consist of an above ground enclosure created by a Hay Bale Barrier. See Hay Bale Barrier measure for material specifications and general installation requirements. This type of basin is located only on flat grades and is limited for use by its storage volume where the anticipated sediment delivery would not require cleaning and the expected use is for a very short duration. For calculating storage use the top of the lowest hay bale on the perimeter to the crest of the outlet control. An example of use for this type of basin would be a dewatering operation for a trench where no adequate vegetated filter exists (See Vegetated Filter measure) before the discharge enter into critical area such as a wetland, watercourse, street

Source: USDA-NRCS
Figure PSB-2 Example of Type II Dewatering Settling Basin

Source: USDA-NRCS
Figure PSB-3  Example of Type III Pumping Settling Basin

Source: USDA-NRCS
Planning Considerations
Typically used with cofferdam dewatering associated with bridge repair work, utility work or in the redevelopment of urban areas.

When pumping requirements are expected to exceed the two hour storage capacity of the sediment tank and pumping cannot be discontinued for the length of time needed to drain the tank properly at the pumping site, consider using two or more portable sediment tanks that may be alternately filled, moved and drained at an acceptable location. Former milk trucks or water trucks have been used for this purpose where off-site disposal has allowed for off-site dewatering basins or adequate filtration by vegetative buffers. Do not use a tank that was formerly used for contaminated or hazardous materials.

When a portable dewatering tank is to be used next to a cofferdam, the weight of the tank and maximum volume of water and associated structures must be considered when constructing the cofferdam to ensure the structural stability of the cofferdam. Alternately, if the cofferdam has already been built, before placing any tank adjacent to the cofferdam, consider the cofferdam’s ability to remain structurally stable when the tank is full.

Specifications
Materials
The tank is a structure constructed of steel, sturdy wood or other material suitable for handling the pressure exerted by the volume of water to be stored. The pump discharge into the tank shall be located at a point in the portable sediment tank that is farthest from the tank outlet. The outlet of the tank shall be equipped with an energy dissipator.

Location
Locate non-portable sediment tanks for ease of clean-out and disposal of the trapped sediment, and to minimize the interference with construction activities and pedestrian traffic.

Tank Sizing for On-Site Discharges
For discharging the portable sediment tank directly, size the tank to have a minimum retention time of at least 2 hours. Use the following formula to determine the storage volume required:

\[
\text{Cubic feet of storage required} = \frac{\text{Pump Discharge Rate}}{(g.p.m.) \times 16}
\]
When the tank size available is insufficient at the planned pumping rate, maximum pumping rate is determined from the following formula:

\[
\text{Pump Discharge Rate (g.p.m.)} = \frac{\text{Cubic feet of storage available}}{16}
\]

An example of a typical sediment tank is shown on Figure PST-1. Other container designs may be used if the storage volume is adequate and is approved by the regulating agency.

When the tank size available cannot meet the 2-hour storage requirement and reduced pumping rates are not practical, then several tanks may be alternately filled and drained with pumping rates restricted to meet tank transport, draining and return times.

**Operation**

Once the water level nears the top of the tank, either shut off the pump while the tank drains and additional capacity is made available, or transport the tank to an appropriate disposal site.

**Maintenance**

Inspect the sediment tank continuously during use. For a tank that is discharging water while the pumping operation is ongoing and when the wet storage area has lost one half of its volume to sediment build up, discontinue pumping and remove accumulated sediments or replace the tank. For a tank that is used to transport the pumped water to a location distant from the pumping operation, discontinue pumping long enough to change the tank.

Any transported discharge of water and cleaning of the tank shall be done in such a manner as to prevent sediment laden water from reaching a wetland, watercourse or paved travelway.
**13-Dewatering**

**Dewatering of Earth Materials (DWM)**

**Definition**
A procedure that uses a perimeter earthen berm and excavation to create a containment area where excessively wet soil is placed to allow for the draining of water or evaporation of excessive moisture.

**Purpose**
To dry soil sufficiently so that it may be regraded or transported.

**Applicability**
- When excavating saturated soils that are too wet to transport or to be contained with geotextile silt fence or hay bales.
- Not for dewatering contaminated soils. Handling of contaminated soils shall comply with the directives of the regulating agency (e.g. DEP and EPA).

**Planning Considerations**
Select a containment site that will be large enough to contain the anticipated volume of material to be dewatered and any perimeter berm. Locate the containment area so that the material being dewatered does not interfere with other construction activities and can be left for the time necessary for dewatering. Avoid wetlands, watercourses, drainageways and wooded areas. Sandy and gravelly material will generally dewater quicker than fine silts and clays, particularly if the containment area is gently sloping. The containment area can be divided into cells to allow for alternating use of the cells.

**Design Criteria**
An engineered design is required if (1) the berm for the containment area exceeds 3 feet in height above stripped, natural or original ground, or (2) the volume of materials needing dewatering exceeds 200 cubic yards at any one time. Use standard engineering practices.

**Sizing**
Size the containment area by the volume and type of material to be dewatered and the length of time that the material will remain stockpiled. Design the containment berm to withstand any anticipated loads.

**Site Selection**
Select a site where the slope is 8% or flatter. Do not locate on previously filled ground. Give preference to sites with well drained soils. An underdrain may be needed to improve the dewatering function of a containment area located on poorly drained soils.

**Specifications**
1. Strip and stockpile the topsoil from the containment area.
2. Divert surface water away from containment area as necessary (See Temporary Diversion Measure).
3. Construct the berm around the containment area with suitable soils. Certain types of soils are subject to instability upon saturation or loading and must be avoided. Examples of these soils include fine sands, silt loams, clay, peat and mucks. Sites containing such soils may require the borrowing of more suitable material from off site for berm construction.
4. Install a geotextile silt fence to filter the discharge from the disturbed area if an inadequate vegetated filter exists between the disturbed containment area and any wetland, watercourse or storm drain inlet. (See Vegetated Filter measure).
5. Place the saturated soil within the containment area such that drainage can occur.

**Maintenance**
Inspect containment area and associated sediment controls on a daily basis while dewatering operations are active. When dewatering operations are not active, inspect weekly or immediately after 0.5 inch of rain within a 24 hour period.
If the containment berm fails, determine the cause of the failure. If the berm failed due to overtopping, repair the berm and any damage caused by the berm failure and reduce usage of the containment area such that overtopping is prevented. If the berm experienced an internal structural failure, cease using the containment area, add additional controls to contain eroded sediments, repair the damage caused by the berm failure, and before repairing the berm have the dewatering operation reviewed by an engineer for repair requirements. Repair and clean out perimeter erosion and sediment controls as needed.

After dewatering operations are completed, regrade the containment area to a finished grade and stabilize in accordance with the planned use of the area.
Figure DW-1A  Cross Section – Example of Non–Engineered Dewatering Containment Area for Dewatering Earth Materials

cross section through emergency spillway

max. water elevation

silt fence