



**Connecticut Department of  
Energy & Environmental Protection**  
Bureau of Water Protection & Land Reuse  
Remediation Division

## UST Petroleum Clean-Up Program Applicant Category Determination

Pursuant to section 261 of Public Act 12-1 of the June 12<sup>th</sup> Special Session, the Commissioner of Energy and Environmental Protection ("Commissioner") is required to determine whether an applicant to the Underground Storage Tank Petroleum Clean-Up Program ("the Program") is a municipal applicant, small, mid-size or large station applicant or an 'other' applicant. The information on this form is necessary for the Commissioner to make this determination. This form must be completed and returned to the Department of Energy and Environmental Protection ("the Department") at the address noted at the end of this form. Failure to complete and return this form will prevent the Department from being able to order or to make any payment or reimbursement to an applicant. The Commissioner may require additional information to make the determination required under section 261.

DEEP USE ONLY

Date Received

### Part I: Applicant Information

Verify that the pre-filled information in item #1 is correct. If any information provided in item #1 is incorrect, please complete item #2 with the correct information. **Please designate in item #3, a contact person for the Applicant who can provide information regarding this form.** The person designated should have the authority to address matters regarding this form on behalf of the Applicant and may and usually will be different from the person the Department typically contacts regarding an Applicant's applications.

**1. Applicant**  
Name:  
Mailing Address:  
  
City/Town: State: Zip Code:

**2. Applicant Information, if different than in item 1.**  
Name:  
Mailing Address:  
City/Town: State: Zip Code:

**3. Designate a Contact Person for the Applicant Who Can Provide Information Regarding this Form:**  
Contact Person:  
Business Phone: ext.: Cell Phone:  
Contact E-Mail address:

## Part II: Category Determination Information

The determination of an Applicant's category will be based on the number of separate parcels that an applicant and its affiliates owned, operated, leased, used or had an interest in, on which an underground storage tank system was or had been previously located **at the time an Applicant's first application was received by the Program**. All parcels should be included, regardless of whether they are located within or outside of Connecticut. Part II of this form has two components. Section A concerns determining when an Applicant's first application was received by the Program. Sections B and C concern the number of separate parcels that an Applicant and its affiliates owned, operated, leased, used or had an interest in, on which an underground storage tank system was or had been previously located, at the time an Applicant's first application was received by the Program. Section B requires this information for the Applicant while Section C requires this information for an Applicant's affiliates.

### Section A: Date an Applicant's First Application was received by the Program

Based upon its records, the Department has pre-filled this form with the date an Applicant's first application was received by the Program, the location of the site of this first application and a Department Site ID number. Each Applicant must verify that this information is correct. An Applicant who believes that any information in Section A of Part II of this form is incorrect should **not** complete the rest of this form and should call the Program at 860.424.3370 before proceeding. This form cannot be completed until the date an Applicant's first application was received by the Program has been determined.

<p>1. <b>Date an Applicant's First Application was Received by the Program (DOFA):</b> FOR DEEP USE ONLY</p> <p>2. <b>Site Address:</b> ONLY</p> <p>City/Town: State: Zip Code:</p> <p>Site ID #:</p>
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### Section B: Applicant's Parcels

Determine the number of separate parcels of real property that an **Applicant** owned, operated, leased, used or had interest in, on which an underground storage tank system was or had been previously located, on **DOFA**. Any underground storage tank system owned, operated, leased or used by an Applicant on the property of another constitutes an interest in such a parcel. All parcels, regardless of whether such parcels are located within or outside of Connecticut, must be included.

<p>1. Is the number of separate parcels as described in section B greater than 100? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>a. If Yes, skip to Part III.</p> <p>b. If No, provide the number of parcels in item #2 below and complete Section C.</p> <p>2. Number of parcels described in section B on <b>DOFA</b>:</p>
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### Section C: Affiliates' Parcels

- "Affiliate" means a person that directly or indirectly through one or more intermediaries owns or controls, is owned or controlled by, or is under common control with an Applicant. Examples of affiliates include, but are not limited to, a parent corporation, a sister corporation or any corporation that controls the Applicant.
- "Control", "controlling", "controlled by" or "under common control with" means the possession, directly or indirectly, of the power to direct or cause the direction of the management or the policies of a person, whether through the ownership of voting securities, by contract or otherwise. For the purposes of this definition, the beneficial ownership of ten per cent or more of the voting stock of a corporation creates a presumption of control.

Determine the number of separate parcels of real property that an **Applicant's affiliates** owned, operated, leased, used or had interest in, on which an underground storage tank system was or had been previously located, on **DOFA**. Any underground storage tank system owned, operated, leased or used by an affiliate on the property of another constitutes an interest in such a parcel. All parcels, regardless of whether such parcels are located within or outside of Connecticut, must be included.

**Section C: Affiliates' Parcels (continued)**

1. Is the number of parcels as described in Section C for all of an Applicant's affiliates greater than 100?  
 Yes     No  
 a. If Yes, skip to Part III.  
 b. If No, complete the table in item #2 below.

2. Indicate name and address of each affiliate and their corresponding number of parcels described in Section C on DOFA.

Affiliate Name	Affiliate Address	No. of Parcels
EXAMPLE		

Check here if additional sheets are necessary, and label and attach them to this sheet.

**Part III: Applicant Certification**

The Applicant must complete this Part. This Part cannot be completed by a consultant for an Applicant.

"I hereby certify that I am authorized to submit this form on behalf of the Applicant noted below. I have personally examined and am familiar with the information pre-filled on and submitted with this form and certify that based on reasonable investigation, including my inquiry of the individuals responsible for obtaining the information, the information pre-filled on and submitted with this form is true, accurate and complete to the best of my knowledge and belief. I understand that the information pre-filled on and submitted with this form will be used to implement the provisions of the Underground Storage Tank Petroleum Clean-Up Program, including, but not limited to, payment of applications submitted to the Program. I also understand that any false statement made in this form, its attachments, or any other information submitted in connection herewith may be punishable as a criminal offense, in accordance with CGS § 22a-6, pursuant to CGS § 53a-157b, and in accordance with any other applicable statutes.

I certify that this form is accurate as prescribed by the Commissioner without alteration of or any addition to the text."

Signature of Applicant \_\_\_\_\_ Date \_\_\_\_\_

Printed Name of Applicant \_\_\_\_\_ Title (if applicable) \_\_\_\_\_

Note: Submit this completed Form to:

UST PETROLEUM CLEAN-UP PROGRAM  
 DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION  
 79 ELM STREET  
 HARTFORD, CT 06106-5127

If you have any questions regarding this form, contact the UST Petroleum Clean-Up Program at 860.424.3370 or visit the website at [www.ct.gov/deep/ustcleanup](http://www.ct.gov/deep/ustcleanup).