



Transfer of Establishment - Form IV (Business ONLY)

Complete all sections. Submit two copies of Form IV. Use this form when transferring any business operation, as defined in CGS Section 22a-134(21), that meets the definition of an Establishment, as defined in CGS Section 22a-134(3). This form is appropriate when there has been an investigation of the parcel in accordance with prevailing standards and guidelines and all actions to remediate any pollution caused by any release at the Establishment have been taken in accordance with the remediation standards except post-remediation monitoring, natural attenuation monitoring or the recording of an Environmental Land Use Restriction. **AN ENVIRONMENTAL CONDITION ASSESSMENT FORM (ECA) A SCHEDULE FOR GROUNDWATER MONITORING AND RECORDING OF AN ENVIRONMENTAL LAND USE RESTRICTION, AS APPROPRIATE, MUST BE SUBMITTED SIMULTANEOUSLY WITH FORM IV.**

Section A: General Establishment Information

1. **EPA (RCRA) ID No.:** CT

2. **Type of Transfer** (be specific):

3. **Identification of Establishment** (give name of business which exists/existed on-site)

Establishment Name:

Location:

City/Town:

State: CT

Zip Code: -

Phone: - -

ext.

Fax: - -

Contact Person:

Title:

Date of Transfer: / /20

From Transferor:

To Transferee:

4. **Transferor**

Name:

Legal Mailing Address:

City/Town:

State:

Zip Code: -

Phone: - -

ext.

Fax: - -

Contact Person:

Title:

5. **Property Owner** (as it appears in land records):

Name:

Legal Mailing Address:

City/Town:

State:

Zip Code: -

Phone: - -

ext.

Fax: - -

Contact Person:

Title:

6. **A map of the property location must be submitted with this form.**

Section B: Documentation of Remediation

Documentation: A Commissioner's approval or a Licensed Environmental Professional verification must be submitted with this form. In addition, documentation that demonstrates that there has been no release of hazardous waste or a hazardous substance from the business operation since the date of the approval or verification must be attached.

CHECK ONE THAT APPLIES.

- DEP written approval that the investigation of the parcel had been performed in accordance with prevailing standards and guidelines and (A) there has been a discharge, spillage, uncontrolled loss, seepage or filtration of hazardous waste or a hazardous substance from the business operation, and (B) all actions to remediate any pollution caused by any release from the business operation have been taken in accordance with the Remediation Standard Regulations except post-remediation monitoring, natural attenuation monitoring or the recording of an Environmental Land Use Restriction pursuant to the remediation standards.

(DEP Project Lead Name)

(Division)

(Phone Number)

- An LEP has verified that an investigation has been performed at the parcel in accordance with prevailing standards and guidelines and that all actions to remediate any pollution caused by any release from the business operation have been taken in accordance with the Remediation Standard Regulations, *except* post-remediation monitoring, natural attenuation monitoring, or the recording of an Environmental Land Use Restriction pursuant to the remediation standards.

Section C: Post-remediation Monitoring or Natural Attenuation Monitoring Certification

Description in Property Deed:

Recorded on page _____ of volume _____, of the Town of _____
 land records, as lot _____, block _____ on map _____ in the Tax Assessor's Office.

"I hereby certify that an investigation of the parcel has been performed in accordance with prevailing standards and guidelines, and any discharge, spillage, uncontrolled loss, seepage, or filtration of hazardous waste or hazardous substance from the business operation has been remediated in accordance with procedures approved by the Commissioner of Environmental Protection or verified by a Licensed Environmental Professional to have been performed in accordance with the Remediation Standard Regulations. I further certify that all actions to remediate any pollution caused by any release from the business operation have been taken in accordance with the remediation standards except post-remediation monitoring, natural attenuation monitoring or the recording of an Environmental Land Use Restriction. I hereby agree, in accordance with the representations made therein, to conduct post-remediation monitoring or natural attenuation monitoring in accordance with the Remediation Standard Regulations."

"I have personally examined and am familiar with the information submitted in this document, and all attachments thereto, including inquiry of those individuals immediately responsible for obtaining such information, and certify that the submitted information is true, accurate and complete, to the best of my knowledge and belief. I am aware that if I knowingly submit false information or fail to comply with the provisions of CGS Sections 22a-134 to 22a-134e, I may be subject to damages and penalties pursuant to CGS Sections 22a-134(b and d) and an enforcement action pursuant to CGS Section 22a-134a(j)."

"This Form IV is complete and accurate as prescribed by the Commissioner without alteration of the text."

This must be signed by an individual(s), if in such capacity; a responsible corporate officer; partner in a partnership; member of an LLC, as applicable.

Authorized Signature(s) for Certifying Party

Name of Person Signing (print or type) _____ Title (if applicable) _____

Certifying Party:

(Company name, LLC, as applicable)

Legal Mailing Address:

City/Town: _____ State: _____ Zip Code: _____ -

Phone: _____ - - ext. _____ Fax: _____ - -

STATE OF _____ }
 } SS.
 COUNTY OF _____ } (Town)

The foregoing was subscribed to and sworn to before me this _____ day of _____, 20____,

by _____
(Name of Signatory, Title and Company, if applicable)

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as certifying party, executed the foregoing instrument for the purposes therein contained.

Signature of Notary/Commissioner of Superior Court

Name of Notary/Commissioner of Superior Court
 (print or type)

My commission expires / / .

Section D: Remediation Certification

"I hereby certify, if further investigation and remediation are necessary, to take further action to investigate the parcel in accordance with prevailing standards and guidelines, and to remediate any pollution caused by the business operation in accordance with the Remediation Standard Regulations."

"I have personally examined and am familiar with the information submitted in this document, and all attachments thereto, including inquiry of those individuals immediately responsible for obtaining such information, and certify that the submitted information is true, accurate and complete, to the best of my knowledge and belief. I am aware that if I knowingly submit false information or fail to comply with the provisions of CGS Sections 22a-134 to 22a-134e, I may be subject to damages and penalties pursuant to CGS Sections 22a-134(b and d) and an enforcement action pursuant to CGS Section 22a-134a(j)."

"This Form IV is complete and accurate as prescribed by the Commissioner without alteration of the text."

This must be signed by an individual(s), if in such capacity; a responsible corporate officer; partner in a partnership; member of an LLC, as applicable

Authorized Signature(s) for Certifying Party

Name of Person Signing (print or type)

Title (if applicable)

Certifying Party:

(Company name, LLC, as applicable)

Legal Mailing Address:

City/Town:

State:

Zip Code: -

Phone: - -

ext.

Fax: - -

STATE OF

}

SS.

COUNTY OF

}

(Town)

The foregoing was subscribed to and sworn to before me this _____ day of _____, 20____,

by _____

(Name of Signatory, Title and Company, if applicable)

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as certifying party, executed the foregoing instrument for the purposes therein contained.

Signature of Notary/Commissioner of Superior Court

Name of Notary/Commissioner of Superior Court
 (print or type)

My commission expires / / .

Section F: Transferor Information (This pertains to transferor, must be completed, signed and notarized)

This must be signed by an individual(s), if in such capacity; a responsible corporate officer; partner in a partnership; member of an LLC, as applicable, and must be completed regardless of whether the Transferor is also the certifying party.

 Authorized Signature(s) for Transferor

Name of Person Signing (print or type) Title (if applicable)

Transferor:
 (Company name, LLC, as applicable)

Mailing Address:

City/Town: State: Zip Code: -

Phone: - - ext. Fax: - -

Forwarding Address After the Transfer, if different from above:

Address:

City/Town: State: Zip Code: -

Phone: - - ext. Fax: - -

STATE OF }
 } SS.
 COUNTY OF } (Town)

The foregoing was subscribed to and sworn to before me this _____ day of _____, 20____,

by _____
 (Name of Authorized Signatory for Transferor, Title and Company, if applicable)

who personally appeared, and that person, as such, satisfactorily proven to be authorized to do so, as Transferor, executed the foregoing instrument for the purposes therein contained.

 Signature of Notary/Commissioner of Superior Court Name of Notary/Commissioner of Superior Court
 (print or type)

My commission expires / / .

This form is prescribed and provided by the DEP. The DEP does not certify that the information submitted in this form is correct.

All Forms I (with ECAF), II, III (with ECAF), or IV (with ECAF), any supporting documents as applicable, and fee payment should be mailed or hand delivered to: (this is for fee processing)

CENTRAL PERMIT PROCESSING UNIT, 1st FLOOR
 DEPARTMENT OF ENVIRONMENTAL PROTECTION
 79 ELM STREET
 HARTFORD, CT 06106-5127

All subsequent correspondence or subsequent reports should be mailed to:

REMEDATION DIVISION, 2nd FLOOR
 BUREAU OF WATER PROTECTION AND LAND REUSE
 DEPARTMENT OF ENVIRONMENTAL PROTECTION
 79 ELM STREET
 HARTFORD, CT 06106-5127