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PERMIT TO OPERATE

PERMITTEE: Albreada Refuse & Sweeping, LLC
FACILITY ADDRESS: 14 Iffland Pond Road, Litchfield, Connecticut, 06759
PERMIT No. Permit to Operate No. 0740992-MPO

Pursuant to Section 22a-208a of the Connecticut General Statutes (“CGS”) and Section 22a-209-4 of the Regulations of Connecticut State Agencies (“RCSA”), a minor amendment to a PERMIT TO OPERATE (“Permit”) IS HEREBY AMENDED by the Commissioner of Energy and Environmental Protection (“Commissioner”) to Albreada Refuse & Sweeping, LLC (“Permittee”) to operate the solid waste volume reduction plant (“Facility”) located at 14 Iffland Pond Road, Litchfield, Connecticut. Subsequently, the Permit to Operate No. 0740992-PO issued on January 16, 2013 is no longer in effect and is replaced by this Permit.

A. GENERAL TERMS AND CONDITIONS

1.
 - a. This Permit is based on and incorporates by reference pertinent and appropriate sections of documents and specifications submitted as part of Application No. 201504906 to amend the Permit, including:
 - i. Application form received on July 22, 2015, last revised December 2, 2015;
 - ii. Operation and Management Plan (O&MP) dated July 7, 2015, last revised December 2, 2015;
 - iii. A Site Plan prepared by Anchor Engineering Services, Inc. Mark Zessin, P.E., dated July 16, 2009 and last revised December 2, 2015 (“Site Plan”); and
 - iv. An email dated December 17, 2015, from D. Scott Atkins, LEP, Anchor Engineering Services, LLC.
 - b. The Permittee shall maintain at the Facility and have available for reference by Facility staff and inspection by the Commissioner:
 - i. All documents or copies of such documents submitted as Application No. 201504906 and any document submitted in support of said application for the life of this Permit; and
 - ii. A copy of this Permit and the Facility’s Facility Plan which consists of the Operation and Management Plan and the engineered drawings which describe the Facility and its operations; and
 - c. The Permittee shall for the life of this Permit, provide to the Department notification within thirty (30) days of any changes in the information provided as part or in support of the application on which this Permit was based. Any inaccuracies found in the information submitted by the Permittee may result in revocation, reissuance, or modification of this Permit and civil or criminal enforcement actions.

2. As used in this Permit, the following definitions apply:

“Certified Operator” means the solid waste facility operator or an employee of such operator who is present at the facility and oversees or carries out the daily operations authorized through this Permit, and whose qualifications are currently certified in accordance with Section 22a-209-6 of the RCSA.

“CFR” means the Code of Federal Regulations in effect the date this Permit is issued.

“Clean Wood” as defined in Section 22a-208a-1 of the RCSA means any wood which is derived from such products as pallets, skids, spools, packaging materials, bulky wood waste, or scraps from newly built wood products, provided such wood is not treated wood as defined in Section 22a-209a(a)(2) or demolition wood waste. For the purposes of this Permit, Clean Wood may also include Land clearing debris.

“Commingled Recyclables” means a combination of metal, glass, and plastic containers, or mixed paper.

“Commissioner” means the Commissioner of Energy and Environmental Protection.

“Construction and Demolition Waste” or “C&D Waste” means waste from construction and demolition activities as defined in Section 22a-208x of the CGS.

“Day” means calendar day.

“Demolition Wood” for the purposes of this Permit means the wood portion of solid waste generated as a result of demolition activities.

“Department” means the Department of Energy and Environmental Protection.

“Designated Recyclable Item” means an item designated for recycling by the Commissioner in regulations adopted pursuant to subsection (a) of Section 22a-241b or designated for recycling pursuant to Section 22a-256 or 22a-208v of the CGS.

“Final Products” means processed solid wastes, which are ultimately delivered to a market or other solid waste facility.

“Land clearing debris” as defined in Section 22a-208a-1(19) means trees, stumps, branches or other wood generated from clearing land for commercial or residential development, road construction, routine landscaping, agricultural land clearing, storms, or natural disasters.

“Mixed Paper” means recyclable solid waste which is a combination of differing grades of source-separated recyclable paper including corrugated cardboard.

“Municipal Solid Waste” or “MSW” means municipal solid waste as defined in Section 22a-207 of the CGS.

“Oversized Municipal Solid Waste” or “OMSW” means large solid waste items from residential, commercial and industrial sources, which may include but is not limited to such items as furniture, carpets, mattresses, and rugs.

“P.E.” means Professional Engineer licensed in the state of Connecticut.

“Processed Wood” means recycled wood or treated wood or any combination thereof, which has been processed at a facility, authorized to process or generate such materials.

“Processing” means the practice by which either the physical characteristics or the volume of solid waste accepted at the Facility is being altered through separating, sorting, baling, shredding, crushing, grinding, chipping, compacting, consolidation, transfer or reworking as part of recycling and/or volume reduction operations.

“Recovered Materials” means processed solid wastes that are ultimately delivered to a market or other Permitted recycling or reclamation Facility.

“Recyclable items” are materials which are designated for recycling pursuant to Section 22a-241b of the CGS or Sections 22a-241b-1 to 22a-241b-4 of the RCSA or which may be recovered from the solid waste stream and for which there is a demonstrated market for reuse or that may be beneficially used in the production of other products.

“Recycled Wood” means any wood or wood fuel which is derived from such products or processes as pallets, skids, spools, packaging materials, bulky wood waste or scraps from newly built wood products, provided such wood is not treated wood.

“Residue” means all solid waste that remains after the recovered materials have been extracted from the solid waste authorized for processing at the Facility

“Treated Wood” as defined in Section 22a-209a(a)(2) of the CGS means wood which contains an adhesive, paint, stain, fire retardant, pesticide or preservative.

3. The Permittee shall comply with all terms and conditions of this Permit. This Permit consists of the conditions contained herein and the specifications contained in the application documents, except where such specifications are superseded by the more stringent conditions contained herein. Violation of any provision of this Permit may be subject to enforcement action pursuant, but not limited, to Sections 22a-6, 22a-208, 22a-225 and 22a-226 of the CGS.
4. The Permittee shall make no changes to the specifications and requirements of this Permit, except in accordance with law.
5. To the extent that any term or condition of this Permit is deemed to be inconsistent or in conflict, with any term or condition of any Permit previously issued for this Facility, including any modifications thereto, or with any data or information contained in the application, or any other documents incorporated by reference in this Permit, the term or condition of this Permit shall control and remain enforceable against the Permittee.
6. The Permittee shall submit for the Commissioner’s review and written approval all necessary documentation supporting any proposed physical and/or operational upgrades,

improvements and/or minor changes in the Facility design, practices or equipment. The Commissioner may issue a written approval only if, in the Commissioner's judgment, the proposed physical and/or operational upgrades, improvements and/or minor changes: (a) are deemed necessary for a better and more efficient operation of the Facility; (b) do not significantly change the nature of the Facility, or its impact on the environment; and (c) do not warrant the issuance of a permit or authorization pursuant to Section 22a-208 et seq. of the CGS.

B. FACILITY DESCRIPTION AND AUTHORIZATION TO MAINTAIN

1. Permit to Construct No. 0740668-PC issued on May 24, 2004 authorized the construction of a solid waste facility for the receipt and processing of oversized MSW; C&D waste; clean wood; scrap tires; commingled glass, plastic and metal containers; mixed paper; cardboard; and scrap metal including propane tanks without tanks and appliances containing CFC liquid. Permit No. 0740668-PC authorized the construction of a loading area including a vehicle maneuvering pad, back-up ramp, bituminous tipping area, concrete block retaining wall; access gates; and miscellaneous containers; a separate operational area provided with a sufficiently impervious surface and designed to store vertically no more than forty (40) scrap metal appliances containing chlorofluorocarbon (CFC) liquid; and a separate operational area for wood chipping activities and storage.
2. An approval letter dated April 21, 2005 authorized the installation of a truck scale in accordance with Condition No. 5 of Permit to Operate No. 0740669-PO issued November 10, 2004.
3. Permit to Operate No. 0740992-PO issued on January 16, 2013 authorized the installation of a baler manufactured by IPS, model no. V-727D.
4. The existing Facility consists of: four (4) connected buildings dedicated only to equipment storage and maintenance incorporating offices, a baler, and a storage area for bales of recyclable items; a garage; two (2) sheds; access gates; a truck scale; various block wall structures; staging areas for empty and/or loaded containers; a wood processing and storage area; concrete ramp and pad dedicated for unloading, processing and consolidation activities; and a mobile sorting line manufactured by Ptarmigan.

C. AUTHORIZATION TO OPERATE

1. The Permittee shall not exceed the processing and storage limits established by this Permit. Solid waste, other than those listed herein, shall not be accepted, processed, treated, stored, transported or disposed on-site, or otherwise managed at the Facility without prior written approval of the Commissioner.
2. The Permittee is authorized to operate the Facility in accordance with all applicable law, including this Permit. Unless otherwise approved in writing by the Commissioner or limited by local authorities, the Permittee is authorized to operate as follows:
Monday–Friday 6:00 a.m.-6:00 p.m. and Saturday 6:00 a.m.-1:00 p.m.
3. The Permittee is authorized to receive and process at the Facility no more than a total of seventy five (**75**) tons/day (TPD) of the following types of solid waste: (a) construction and demolition waste; (b) oversized MSW; (c) clean wood (unprocessed;processed); (d)

scrap tires; (e) scrap metal (including appliances containing CFC liquid and propane tanks without valves); (f) cardboard and paper; (g) commingled recyclables; (h) asphalt roofing shingles waste (ARSW); (i) gypsum; and (j) other recyclable items.

4. The Permittee shall store and manage solid waste at the Facility only in the designated areas as identified in the drawings referenced in Condition No. A.1. of this Permit and in accordance with the table below. Fully loaded containers of solid waste shall be transferred from the Facility within two (2) business days.

Storage Table

Material	Maximum Storage Cubic yards (tons)	Location and/or comments
C&D waste and/or oversized MSW (unprocessed and processed)	480 (160)	Covered containers
Clean wood (unprocessed)	200 (50)	Piles
Clean wood (processed)	200 (50)	Piles
Scrap tires	50 (5)	Covered containers
Scrap metal (includes up to 40 appliances with CFCs and propane tanks without valves)	200 (80)	On impervious surface; containers
Cardboard and paper	150 (68)	Covered containers
Commingled containers	110 (47)	Covered containers
Asphalt roofing shingles waste (ARSW)	50 (27)	Outdoor paved tipping and processing area and covered containers
Gypsum	100 (18)	Covered containers
Other recyclable items	60 (30)	Covered containers
Total cubic yards	1,600 (535)	

- a. **Storage of C&D waste & oversized MSW** shall not exceed a total of four hundred eighty (480) cubic yards. Handling activities shall be confined only to the dedicated outdoor paved tipping and processing area within the volume reduction plant (“VRP”), and processed on a first in/first out basis. Out of doors storage of processed and unprocessed C&D waste shall be in containers, which shall be watertight and covered at all times except when material is being actively placed in or removed from the container and shall not be stored on-site for greater than thirty (30) days from when the waste first entered the Facility.
- b. **Storage of clean wood (brush; land clearing debris, pallets)** shall take place in in piles located on the ground.

Piles of unprocessed clean wood shall: have a minimum of a twenty-five (25) foot emergency access maintained around them; not contain treated wood; be processed and transferred on a first-in/first-out basis; not exceed two hundred (200) cubic yards; and have a maximum height of twenty-five (25) feet.

Piles of processed clean wood chips shall: not exceed two hundred (200) cubic yards; have a maximum height of fifteen (15) feet; be stored on base pads

constructed of compacted and well drained material that can support heavy equipment during all seasons; minimize dust and prevent ponding of water; be shaped to allow adequate stormwater run-off; be oriented (for elongated piles) perpendicular to the contours of the ground surface; be located in a clearly marked area equipped with stormwater run-on/run-off controls which comply with all existing permits and/or any applicable stormwater management requirements of Sections 22a-430 and 430b of the CGS.

- c. **Wood chipping** activities shall comply with the requirements of Sections 22a-174-18, 22a-174-23 and 22a-174-29 of the RCSA; and shall not generate noise, dust, fumes, smoke, vibrations or odors that exceed background levels thereof at any boundary of the property on which the Facility is located.
- d. **Storage of scrap tires** shall be: limited to fifty **(50) cubic yards** and placed in container(s) or trailer at the end of each operational day. Container(s) of scrap tires shall be kept dry by being covered at all times except when the container is being filled or emptied.
- e. **Storage of scrap metal** (including appliances which have had chlorofluorocarbon (“CFC”) liquid removed and propane tanks without valves) shall: not exceed two hundred **(200) cubic yards**; be placed in containers at the end of each operational day. Any scrap metal that contains used oil shall be managed in accordance with the applicable used oil regulations as specified in Section 22a-449(c)-119 of the RCSA, until the used oil is drained or otherwise removed from the scrap metal. At a minimum, such removed used oil shall be managed in accordance with the above regulation.
- f. **Storage of scrap metal containing chlorofluorocarbon (CFC) liquid.** Storage of appliances containing CFCs shall be limited to no more than forty **(40) units** stored upright, on a surface sufficiently impervious to prevent or minimize infiltration. Only a contractor certified in accordance with 40 CFR 82.150 through 166 shall remove the CFC liquid. Appliances in which the CFCs have been removed shall be consolidated with the scrap metal.
- g. **Storage of other solid waste** shall be confined to storage containers. The total storage volumes shall not exceed the following: one hundred ten **(110) cubic yards** for commingled glass, plastic and metal containers; and one hundred fifty **(150) cubic yards** for mixed paper and cardboard. The containers for cardboard and mixed paper shall be kept covered at all times except when the containers are being filled.
- h. **Storage of ARSW** shall not exceed fifty **(50) cubic yards**. Handling activities shall be confined only to the dedicated outdoor paved tipping and processing area. Such solid waste shall be processed on a first in/first out basis. By the end of each operational day storage of processed and unprocessed wastes shall be in watertight containers located in dedicated areas within the Facility and kept covered at all times except when material is being actively placed in, or removed from the container.

significantly damaged equipment or structures; (ii) interrupts the operation of the Facility for greater than twenty-four (24) hours; (iii) results in an unscheduled Facility shutdown or forced diversion of solid waste to other solid waste facilities; (iv) could reasonably create a source of pollution to the waters of the state; or (v) otherwise threatens public health.

Such notification shall be: (i) be immediately conveyed to the Commissioner using the 24-hour emergency response number (860) 424-3338 or the alternate number (860) 424-3333 and in no event later than twenty-four (24) hours after the emergency incident; (ii) verified to the Solid Waste Program in the Waste Engineering and Enforcement Division of the Bureau of Materials Management and Compliance Assurance by phone at (860) 424-3366, or at another current publicly published number for the Solid Waste Program, or by facsimile at (860) 424-4059; (iii) followed by a written report no later than the fifth business day after the emergency incident detailing the cause and effect of the incident, remedial steps taken and emergency backup used or proposed to be implemented; and (iv) be recorded in a log of emergency incidents. In addition to the notification requirements above, the Permittee shall comply with all other applicable reporting or notification requirements regarding the emergency incident including but not limited to, reporting required by Section 22a-450 of the CGS;

- f. Prevent the spillage of solid waste from transfer containers during on-site management, storage and off-site transfer. Each loaded container shall be covered before transfer off-site and the haulers shall be instructed to keep the containers covered during off-site transportation;
- g. Operate the Facility in a safe manner so as to control fire, odor, noise, spills, vectors, litter and dust emission levels in continuous compliance with all applicable requirements, including OSHA. The Facility's premises shall be maintained and any litter shall be removed on a daily basis;
- h. Ensure that the manufacturer's operation and maintenance manuals for each major piece of fixed or mobile processing equipment, (which may include, but not be limited to, balers; conveyors; compactors; and storage tanks) installed or used at the Facility are available for review by the Commissioner;
- i.
 - i. Determine through observation that incoming loads of solid waste, other than loads of source separated recyclable items, do not contain greater than ten percent (10%) by volume ("threshold contaminant percentages") of designated recyclable items. For any loads identified that exceed the threshold criteria for load contamination specified in this condition the Permittee shall document each load in the daily log and report those to the Department in the quarterly reports required by this Permit. The Permittee shall also provide notice to the hauler in accordance with Condition No. C.6.k.v.; and
 - ii. Determine through observation that incoming loads of source separated recyclable items do not contain greater than two percent (2%) by volume ("threshold contaminant percentages") of non-recyclable wastes. For any

loads identified that exceed the threshold criteria for load contamination specified in this condition the Permittee shall document each load in the daily log and report those to the Department in the quarterly reports required by this Permit. The Permittee shall also provide notice to the hauler in accordance with Condition No. C.6.k.v.

- j. Manage solid wastes in such a manner that all recyclable items are segregated so that no other solid waste may cause contamination or degradation of the recyclable product, or result in any negative impact on the recyclability of such material;
- k. Conduct periodic unannounced inspections of truck loads delivered to the Facility, pursuant to Section 22a-220c(b) of the CGS. The inspections shall be performed for a minimum of five percent (5%) of the monthly truck loads received that are representative of the waste types authorized for receipt at the Facility. Records of such inspections shall be maintained at the Facility for the life of the Permit or such other timeframe specified in writing by the Commissioner. The inspections and supporting documentation shall consist of at a minimum:
 - i. Photographs of each load other than loads of source separated recyclable items, inspected that exceeds the threshold contaminant percentages as specified in Condition No.C.6.i. and each load of source separated recyclable items that exceeds five percent (5%) by volume of non-recyclable wastes;
 - ii. Origin of each load (municipality; regional facility and whether commercial or residential);
 - iii. Waste transporter company name;
 - iv. Estimated percentage of contaminant(s) present in each load and identification of each type; and
 - v. Immediate written notifications to the hauler, municipality in which the solid waste was generated and/or regional facility for each load that exceeds the threshold contaminant percentages specified in C.6.i.
- m. Process loads of C&D waste and non-putrescible MSW that are not source separated loads of recyclable items. The processing authorized through this Permit at the Facility shall consist of sorting and segregating for transfer from the Facility to recycling markets, recyclable items received (including inadvertently received designated recyclable items) in loads of C&D and non-putrescible MSW. The Permittee shall achieve at least a ten percent (10%) rate of recovery of recyclable items not designated pursuant to Section 22a-241 of CGS (“non-designated recyclable items”), during the first year. For each year the specific recovery rates shall be as follows:

Recovery rate for Non-designated Recyclable Items (by weight)

<u>Year of the Permit</u>	<u>Percent of total waste received</u>
First year	10%
Second year	20%
Third year	30%
Fourth year	35%
Fifth year	40%

As part of the quarterly reports required to be submitted by Condition No. C.15. of this Permit the Permittee shall document the percent recovery rate by weight of non-designated recyclable items and of designated recyclable items achieved during the reporting period. Each year on or before sixty (60) days after the anniversary date of this Permit the Permittee shall submit to the Commissioner a report providing the percent recovery rate, by weight achieved during the previous year (year-end report).

In the event the percent recovery rate of non-designated recyclable items achieved is below that which is required, the Permittee shall document in the quarterly report and the year-end report the circumstances which resulted in the Permittee's inability to achieve the specific recovery rates listed in this condition. The year-end report shall also identify the measures the Permittee shall take and the actions the Permittee shall institute to achieve the specified recovery rates.

7. The Permittee shall have an operator, certified pursuant to Section 22a-209-6 of the RCSA, present at all times during Facility operation. All individuals under the supervision of such certified operator shall have sufficient training to identify solid waste received at the Facility which is not permitted to be received, or is unsuitable for processing, and shall take proper action in managing such solid waste.
8. The Permittee shall prominently post and maintain a sign at the Facility entrance pursuant to 22a-209-10(3) of the RCSA that includes the Facility's name and the Department Permit number (Permit to Operate No. 07401199-MPO) issuance date and expiration date. Such sign shall also include a phone number that provides the general public the ability to register questions or complaints twenty-four (24) hours per day. The Permittee shall maintain a log of all calls received and how such calls were addressed or resolved. The Permittee shall also post a sign in accordance with Section 22a-636 of the CGS.
9. The Permittee shall: (a) control all traffic related to the operation of the Facility in such a way as to mitigate queuing of vehicles off-site and any excessive or unsafe traffic impact in the area where the Facility is located; (b) unless otherwise exempted, ensure that vehicles are not left idling for more than three (3) consecutive minutes pursuant to Section 22a-174-18(b)(3) of the RCSA; (c) prominently post and maintain signs limiting such vehicle idling time within the Facility.
10. The Permittee shall maintain daily records as required by Section 22a-209-10(13) of the RCSA and Sections 22a-208e and 22a-220 of CGS. Based on such records, the Permittee shall prepare monthly summaries including, but not limited to, the following information as it pertains to solid waste:
 - a. Type and quantity of solid waste received, including all Recyclable items, unauthorized solid waste and/or universal waste;
 - b. Origin of waste load (municipality name; regional facility name) and waste hauler name;
 - c. Destination to which solid wastes, including all Recyclable items, unauthorized solid waste and/or universal waste from the Facility were delivered for disposal or recycling, including quantities delivered to each destination; and
 - d. All daily logs (including documentation related to the unannounced inspections of truck loads) shall be maintained for the life of this Permit or such other

timeframe specified in writing by the Commissioner.

The monthly summaries required pursuant this condition shall be submitted quarterly no later than January 31, April 30, July 31, October 31, of each year on up-to-date forms prescribed by the Commissioner directly to the Solid Waste Program, Waste Engineering and Enforcement Division, Bureau of Materials Management and Compliance Assurance, Department of Energy and Environmental Protection, 79 Elm Street, Hartford, CT 06106-5127.

11. The Permittee shall ensure that all clean wood received at the Facility is inspected for signs of the presence of the Asian Longhorn Beetle and the Emerald Ash Borer. Signs indicating possible Asian Longhorn Beetle infestation can be found at the CT DEEP webpage: <http://www.ct.gov/deep/alb>
Signs indicating possible Emerald Ash Borer infestation can be found at the CT DEEP webpage: <http://www.ct.gov/deep/eab>
 - a. The Permittee shall ensure each load of clean wood is visually assessed for possible pest infestation as part of the on-site routine inspections.
 - b. Any clean wood suspected of being infested by either the Asian Longhorn Beetle or the Emerald Ash Borer should be identified at the source of generation and managed in accordance with existing quarantine agreements.
 - c. If signs of infestation are observed:
Digital photos and careful identification notes must be provided to the Connecticut Agricultural Experiment Station (Deputy State Entomologist direct phone line: 203-974-8474; and e-mail CAES.StateEntomologist@ct.gov).
 - i. The infested clean wood shall be: segregated from other clean wood; marked as segregated; securely stored and kept reasonably intact.
 - ii. Any handling activities (e.g. chipping and moving) shall be postponed until an investigator from, or designated by, the Connecticut Agricultural Experiment Station, has examined the potentially infested clean wood.
 - iii. Any truck load tickets and other documentation of deliveries shall note whether a pest infestation assessment has been conducted.
12. Nothing herein authorizes any person, municipality or authority to hinder municipal or regional solid waste recycling efforts. All activities conducted by the Permittee at the Facility shall be in accordance with this Permit and consistent with the state-wide Solid Waste Management Plan, pursuant to Sections 22a-228 and 229 of the CGS.
13. The Permittee shall, no later than sixty (60) days after the effective date of this Permit establish for the Commissioner's benefit an acceptable initial financial assurance instrument and post the financial assurance with the Department in the amount of forty nine thousand four hundred dollars **\$49,400** as required by Section 22a-6(a)(7) of the CGS in conjunction with the general requirements of Section 22a-209-4(i) of the RCSA.
14. The Permittee shall acknowledge and accept the following:
 - a. The purpose of the financial assurance is to cover the third party costs for handling, removing, transporting and disposing the maximum Permitted amount of unprocessed and processed solid waste at the Facility, and any additional cost(s) to ensure the proper closure of storage areas including, but not limited to,

equipment rental, site clean-up, the decontamination and disposal of all equipment and processing and storage areas, and a fifteen percent (15%) contingency to cover unforeseen events or activities that may increase the overall cost to close the Facility.

- b. The financial assurance instruments shall follow the requirements of Section 22a-209-4(i) of the RCSA, and 40 CFR 264.141 to 264.143 inclusive and 40 CFR 264.151, as referenced therein. The Permittee shall ensure that the financial assurance instrument is established in a format specified by the Commissioner for closure or post-closure maintenance and care, as appropriate.
 - c. The Department accepts five (5) types of financial assurance instruments, they are: (a) Trust Fund; (b) Irrevocable Standby Letter of Credit; (c) Financial Guarantee "Payment" Bond; (d) Performance Bond; and (e) Certificate of Insurance. The following documents are also required to be submitted:
 - i. A cover letter signed by the Permittee shall be submitted along with the Irrevocable Standby Letter of Credit, in accordance with Section 40 CFR 264.143(d)(4).
 - ii. A "Standby Trust Agreement" shall be submitted along with either a Irrevocable Standby Letter of Credit; Financial Guarantee "Payment" Bond; or Performance Bond; and
 - iii. A "Certification of Acknowledgement" shall be submitted along with the Trust Fund instrument.
 - d. The financial assurance shall:
 - i. Be valid for and appropriately maintained during the term of this Permit;
 - ii. Specify the Permittee's name, the Facility's address, the number and issuance date of this Permit; and
 - iii. Be established in one or more of, the instrument formats found on the Department's website [www.ct.gov/DEEP/financialassurance].
 - e. The financial assurance instrument shall be adjusted annually for inflation within the sixty (60) days prior to the anniversary date of the financial assurance instrument, and whenever there is a change in operations that affects the cost of closing the Facility in accordance with the requirements of 40 CFR 264.142(b) as incorporated in the Section 22a-449(c)-104 of the RCSA.
15. The Permittee shall, no later than sixty (60) days from the issuance date of this Permit perform **quarterly** compliance audits for the life of this Permit.
- a. The compliance audits required by this condition shall consist of a thorough and complete assessment of the Permittee's compliance with Sections 22a-209-1 through 22a-209-17 of the RCSA and with the terms and conditions of this Permit.
 - b. Compliance Auditor
The compliance audits required by this condition shall be performed by an engineer licensed to practice in Connecticut ("P.E") or consultant. Such P.E. or consultant shall be approved in writing by the Commissioner and will be required to prepare and submit to the Commissioner quarterly compliance audit reports.

The Permittee shall, prior to the Commissioner's approval of the P.E. or consultant: (a) submit for the Commissioner's evaluation a detailed description of the P.E. or consultant's credentials (education; experience; training) which are relevant to the work required under this condition; and (b) certify to the Commissioner that such P.E. or consultant:

- i. Is not a subsidiary of or affiliated corporation to the Permittee or Permitted Facility;
- ii. Does not own stock in the Permittee or any parent, subsidiary, or affiliated corporation;
- iii. Has no other direct financial stake in the outcome of the compliance audit(s) outlined in this Permit;
- iv. Has expertise and competence in environmental auditing and the regulatory programs being addressed through this Permit, including evaluation of compliance with requirements specified in Sections 22a-209-1 through 22a-209-17 of the RCSA and with the terms and conditions of this Permit; and
- v. Within ten (10) days after retaining any P.E. or consultant other than the one originally identified pursuant to this condition, notify the Commissioner in writing of the identity of such other P.E. or consultant by submitting the information and documentation specified in this condition. Nothing in this condition shall preclude the Commissioner from finding a previously acceptable P.E. or consultant unacceptable.

c. Scope of Compliance Audits

Compliance audits shall:

- i. Detail the Permittee's compliance with the requirements of this Permit and all applicable provisions of Sections 22a-209-1 through 22a-209-17 of the RCSA.
- ii. Describe the Compliance Auditor's participation in and the results of compliance audits conducted at the Facility on the loads of solid waste received at the Facility during the compliance audit. The purpose of such inspections is to determine whether such loads are being received that contain greater than ten percent (10%) by volume designated recyclable items; whether loads of source separated recyclable items contain greater than two percent (2%) by volume of non-recyclable wastes; and to detect patterns associated with such loads. Unless otherwise approved by the Commissioner, the compliance auditor shall inspect solid wastes unloaded from a minimum of ten (10) trucks received during the day of the compliance audit. The Compliance Auditor shall document the actual number of truck loads inspected and the findings of such inspections.

d. Compliance Audit Report

The results of each compliance audit shall be summarized in a Compliance Audit report. At a minimum such report shall include:

- i. The names of those individuals who conducted the compliance audit;
- ii. The areas of the Facility inspected;
- iii. The records reviewed to determine compliance;
- iv. A detailed description of the Permittee's compliance with this Permit and applicable regulations;

- v. The identification of all violations of this Permit and applicable regulations;
 - vi. A description of the actions taken by the Permittee to correct patterns of loads received that exceed the threshold contaminant percentages specified in Condition No. C.6.i. for loads that are representative of the waste types authorized for receipt at the Facility;
 - vii. The findings regarding the inspections conducted in accordance with this condition during the day of the compliance audit.
 - viii. A description of the actions taken by the Permittee to correct the violation(s) identified in each compliance audit; and
 - ix. The Permittee's certification of compliance with the regulations and documentation demonstrating such compliance pursuant to this Permit. In cases where multiple counts of the same violation are discovered, the report shall include a listing of each count.
- e. **Permittee's Responses to Compliance Audit**
The Permittee and P.E. or consultant shall comply with the following:
- i. The inspection frequency shall be quarterly for the remaining life of the Permit;
 - ii. All violations shall immediately be brought to the attention of the Permittee by the P.E. or consultant. The P.E. or consultant shall also notify the Department within five (5) days of the inspection of all violations noted during the inspection;
 - iii. The Permittee shall correct all violations immediately. Should the Permittee be unable to immediately correct the violation, the Permittee within seven (7) days of the notification date, shall submit for the review and written approval of the Commissioner, a detailed plan to correct all violations noted. Such plan shall also include a schedule for implementation of the corrective actions required or recommended; and
 - iv. Within fifteen (15) days from the inspection date the P.E. or consultant shall submit, to the Department and the Permittee, the compliance audit report. A copy of the compliance audit report, shall be maintained at the Facility for the life of the Permit or for such other timeframe specified by the Commissioner.
- f. The Permittee shall cease accepting solid waste at the Facility in the event that the Permittee fails to submit in a timely manner the plan and schedule required by Condition No. C.15.e. of this Permit or fails to correct the violations noted by the inspection(s) in accordance with the approved plan and schedule.
- g. **Documentation Submittal Deadlines**
The documents required to be submitted pursuant to this condition shall be submitted quarterly no later than January 31, April 30, July 31, October 31, directly to the Solid Waste Enforcement Program, Waste Engineering and Enforcement Division, Bureau of Materials Management and Compliance Assurance, Department of Energy and Environmental Protection, 79 Elm Street, Hartford, CT 06106-5127.

16. Unless otherwise specified in writing by the Commissioner, any documents required to be submitted under this Permit shall be directed to:

Solid Waste Program
Waste Engineering and Enforcement Division
Bureau of Materials Management and Compliance Assurance
Department of Energy and Environmental Protection
79 Elm Street, Hartford, CT 06106-5127

17. Any document, including, but not limited to any notice, which is required to be submitted to the Commissioner under this Permit shall be signed by a duly authorized representative of the Permittee, as defined in Section 22a-430-3(b)(2) of the RCSA, and by the individual or individuals responsible for actually preparing such documents, each of whom shall certify in writing as follows:

“I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and certify that based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief, and I understand that any false statement in the submitted information may be punishable as a criminal offense.”

Any false statement in any document submitted pursuant to this Permit may be punishable as a criminal offense in accordance with Section 22a-6 of the CGS, pursuant to Section 53a-157 of the CGS, and in accordance with any other applicable statute.

18. The date of submission to the Commissioner of any document required by this Permit shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this Permit, including but not limited to, notice of approval or disapproval of any document or other action shall be the date such notice is personally delivered or the date three (3) days after it is mailed by the Commissioner, whichever is earlier. Any document or action which is due or required on a weekend or a legal state or federal holiday shall be submitted or performed by the next business day thereafter.
19. This Permit is subject to and in no way derogates from any present or future property rights or other rights or powers of the State of Connecticut and conveys no property rights in real estate or material nor any exclusive privileges, and is further subject to, any and all public and private rights and to any federal, state or local laws or regulations pertinent to the Facility or activity affected thereby.
20. Nothing in this Permit shall affect the Commissioner's authority to institute any proceeding or to take any actions to prevent violations of law, prevent or abate pollution, recover costs and natural resource damages, and to impose penalties for violations of law.
21. Nothing in this Permit shall relieve the Permittee of other obligations under applicable federal, state and local laws.
22. Permit to Operate No. 0740992-PO, issued on January 16, 2013, is no longer in effect and is replaced by this Permit.

23. This Permit shall expire on January 16, 2023.

Issued on this _____ day of _____ and 2016.

By _____
Michael J. Sullivan
Deputy Commissioner

Application No. 201504906
Permit to Operate No. 0740992-MPO
Permittee - Certified # or e-Certified

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