



STATE OF CONNECTICUT File# LIS-2011-3339-V Date of Issuance: December 23, 2013

v.

SARGENT'S COVE OF CT, LLC

CONSENT ORDER

A. With the agreement of the Sargent's Cove of CT, LLC ("the Respondent"), the Commissioner of Energy & Environmental Protection ("the Commissioner") finds:

1. The aquaculture docks located off Great Island Road, Darien, CT are owned by Sargent's Cove of CT, LLC. The property is located along the southwestern shoreline of the site identified as "Site 2" on Attachment "A." The property is also located adjacent to Long Island Sound, a tidal, coastal and navigable water of the State.
2. On or before December 4, 2012, the Respondent placed an approximately 30' wide x 74' long (approximately 1,953 square feet) area of aquaculture docks off the northern side of the main floating dock located at the terminus of concrete pier at the site.
3. The property and the location of the work described in paragraph A.1., above, shall hereinafter be referred to as "the site."
4. The Respondent has not received a certificate or permit from the Commissioner under section 22a-361 of the Connecticut General Statutes for the work described in paragraph A.2. above.
5. By virtue of the above, the Respondent has violated section 22a-361 of the Connecticut General Statutes.
6. By executing this Consent Order, Respondent makes no admission of law or fact.

B. With the agreement of the Respondent, the Commissioner, acting under sections 22a-6 and 22a-361 of the Connecticut General Statutes, orders the Respondent as follows:

1. Retention of Docking Structures: Respondent may retain the aquaculture docks described in paragraph A.2, above, pending a final determination on permit application submitted pursuant to paragraph B.2.a., below, provided the Respondent can adequately justify the need for such structures.
2. Permit Application.
 - a. No later than ninety (90) days after issuance of this Consent Order, the Respondent shall submit plans showing the location of the aquaculture docks with a description of their use and the reason for their location, along with the appropriate Connecticut Department of Energy and Environmental Protection Permit Consultation Form to the Harbor Management Commission and the Shellfish Commission of the Town of Darien, the Connecticut Department of Agriculture, Bureau of Aquaculture, and the U.S. Army Corps of Engineers. Upon receipt of any comments and the executed Permit Consultation Forms from each agency, the Respondent shall submit an application for a permit under Section 22a-361 of the Connecticut General Statutes to authorize the aquaculture docks described in paragraph A.2., above. Such application shall include all information required on forms prescribed by the Commissioner, including a justification for the purpose and need for aquaculture docks and their consistency with all applicable state statutes and policies. The Commissioner waives the requirement of a Pre-Application Meeting, unless the Respondent requests one, and waives the submission of the Pre-Application Questionnaire as part of the permit application.
 - b. In the event that the Commissioner's final determination on the permit application submitted pursuant to paragraph B.2.a., above, requires modification or removal of the existing docking structures waterward of the high tide line, the Respondent shall, not later than 30 days following issuance of a final determination, submit a timetable to the Commissioner for his review and written approval for conforming the existing structure to the terms and conditions of said decision. Upon written approval, the Respondent shall conform such existing structures to the terms and conditions of said decision.
3. No Additional Work. The Respondent shall not conduct any work waterward of the coastal jurisdiction line or in tidal wetlands at the site without prior written authorization of the Commissioner in accordance with sections 22a-361 and 22a-32 of the Connecticut General Statutes.

4. Full compliance. The Respondent shall not be considered in full compliance with this Consent Order until all actions required by this Consent Order have been completed as approved and to the satisfaction of the Commissioner.
5. Penalty for past violations. On or before 30 days after issuance of this Consent Order, the Respondent shall pay a civil penalty of \$1,500.00 for the violation of section 22a-361 of the Connecticut General Statutes described in paragraph A.2 above.
6. Payment of penalties. Payment of penalties under this Consent Order shall be mailed or personally delivered to the "Connecticut Department of Energy & Environmental Protection," Financial Management Office, 79 Elm Street, Hartford, CT 06106-5127, and shall be by certified or bank check payable to the Connecticut Department of Energy & Environmental Protection. The check shall state on its face, "Office of Long Island Sound Programs, Consent Order No. LIS-2012-3339-V."
7. Approvals. If the Commissioner notifies the Respondent that any document or other action is deficient, and does not approve it with conditions or modifications, it is deemed disapproved, and the Respondent shall correct the deficiencies and resubmit it within the time specified by the Commissioner or, if no time is specified by the Commissioner, within thirty days of the Commissioner's notice of deficiencies. In approving any document or other action under this Consent Order, the Commissioner may approve the document or other action as submitted or performed or with such conditions or modifications as the Commissioner deems necessary to carry out the purposes of this Consent Order. Nothing in this paragraph shall excuse noncompliance or delay.
8. Definitions. As used in this Consent Order, "Commissioner" means the Commissioner of Energy & Environmental Protection or an agent of the Commissioner. The date of "issuance" of this Consent Order is the date the Order is deposited in the mail or personally delivered, whichever is earlier.
9. Dates. The date of submission to the Commissioner of any document required by this Consent Order shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this Consent Order, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is personally delivered or the date three days after it is mailed by the Commissioner, whichever is earlier. Except as otherwise specified in this Consent Order, the word "day" as used in this Order means calendar day. Any document or action which is required by this Order to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal legal holiday shall be submitted or performed on or before the next day which is not a Saturday, Sunday, or Connecticut or federal holiday.

10. Notification of noncompliance. In the event that the Respondent becomes aware that it did not or may not comply, or did not or may not comply on time, with any requirement of this Consent Order or of any document required hereunder, the Respondent shall immediately notify the Commissioner and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. In so notifying the Commissioner, the Respondent shall state in writing the reasons for the noncompliance or delay and propose, for the review and written approval of the Commissioner, dates by which compliance will be achieved, and Respondent shall comply with any dates which may be approved in writing by the Commissioner. Notification by the Respondent shall not excuse noncompliance or delay. The Commissioner's approval of any revised compliance dates shall not excuse noncompliance or delay unless specifically so stated by the Commissioner in writing.
11. Certification of documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under this Consent Order shall be signed by a duly authorized representative of the Respondent and by the individual or individuals responsible for actually preparing such document, each of whom shall certify in writing as follows:

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that any false statement made in the submitted information may be punishable as a criminal offense in accordance with Section 22a-6 of the Connecticut General Statutes, under Section 53a-157b of the Connecticut General Statutes, and in accordance with any other applicable statute."
12. Noncompliance. This Consent Order is a final order of the Commissioner with respect to the matters addressed herein, and is nonappealable and immediately enforceable. Failure to comply with this Consent Order may subject the Respondent to an injunction and penalties under Chapters 439, and 446i of the Connecticut General Statutes.
13. False statements. Any false statement in any information submitted pursuant to this Consent Order may be punishable as a criminal offense in accordance with section 22a-6, under section 53a-157b of the Connecticut General Statutes.
14. Notice of transfer; liability of the Respondent and others. Until the Respondent have fully complied with this Consent Order, the Respondent shall notify the Commissioner in writing no later than fifteen days after transferring all or any portion of the site, structures, obstructions, encroachments, fill, operations or facilities which are the subject of this Consent Order, or obtaining a new mailing or location address. The Respondent'

obligations under this Consent Order shall not be affected by the passage of title to the site to any other person or municipality. A future owner of the site may be subject to the issuance of an Order from the Commissioner.

15. Commissioner's powers. Nothing in this Consent Order shall affect the Commissioner's authority to institute any proceeding or take any action to prevent or abate violations of law, prevent or abate pollution, recover costs and damages for adverse impacts to natural resources and to impose penalties for violations of law, including but not limited to violations of any permit issued by the Commissioner. If at any time the Commissioner determines that the actions taken by the Respondent pursuant to this Consent Order have not successfully corrected all violations, the Commissioner may institute any proceeding to require the Respondent to undertake further investigation or further action to correct violations.
16. Respondent's obligations under law. Nothing in this Consent Order shall relieve the Respondent of other obligations under applicable federal, state and local law.
17. No assurance by Commissioner. No provision of this Consent Order or inaction by the Commissioner shall be construed to constitute an assurance by the Commissioner that the corrective actions taken by the Respondent pursuant to this Order will result in compliance with regard to any statute, regulation, permit, order or other authorization not identified hereunder.
18. Access to site. Any representative of the Department of Energy & Environmental Protection may enter the site without prior notice for the purposes of monitoring and enforcing the actions required or allowed by this Consent Order.
19. No effect on rights of other persons. This Consent Order shall neither create nor affect any rights of persons who or municipalities which are not parties to this Consent Order.
20. Notice to Commissioner of changes. Within fifteen days of the date the Respondent becomes aware of a change in any information submitted to the Commissioner under this Consent Order, or that any such information was inaccurate or misleading or that any relevant information was omitted, the Respondent shall submit the correct or omitted information to the Commissioner.
21. Submission of documents. Any document required to be submitted to the Commissioner under this Consent Order or any contact required to be made with the Commissioner shall, unless otherwise specified in writing by the Commissioner, be directed to:

Kevin Zawoy
Department of Energy & Environmental Protection
Office of Long Island Sound Programs
79 Elm Street
Hartford, CT 06106-5127
(860) 424-3626
Fax # (860) 424-4054

The undersigned certifies that she is fully authorized to enter into this Consent Order and to legally bind the Respondent to the terms and conditions thereof.

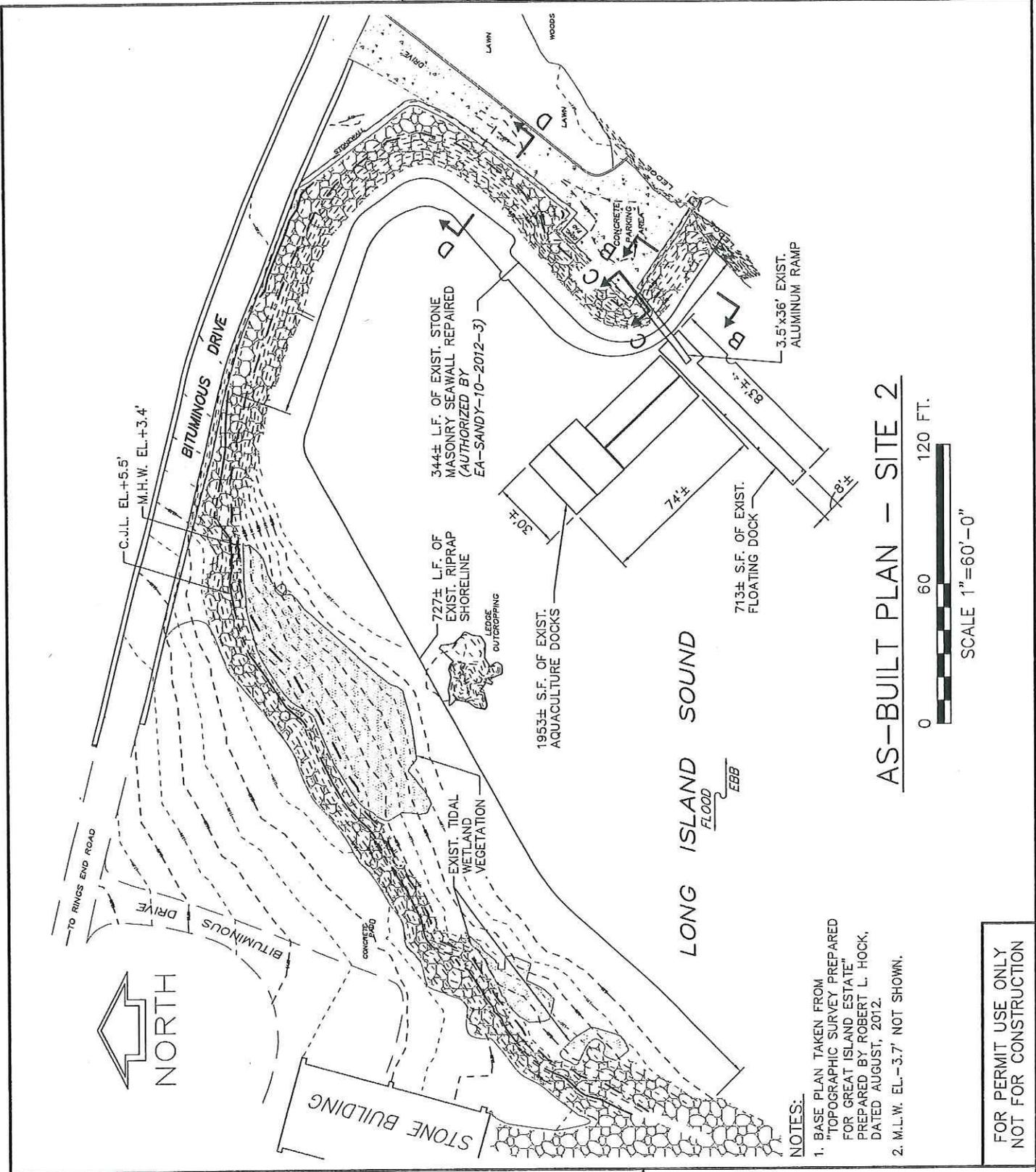
The Respondent consent to the issuance of this Consent Order without further notice.

BY: GA BT
Sargent's Cove of CT, LLC
Cynthia Brighton, Manager
12/3/13
Date

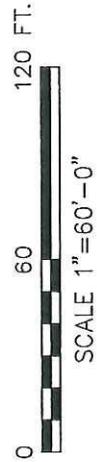
Issued as a final order of the Commissioner of Energy & Environmental Protection on

12/20, 2013.


Macky McCleary
Deputy Commissioner



AS-BUILT PLAN - SITE 2



NOTES:

1. BASE PLAN TAKEN FROM "TOPOGRAPHIC SURVEY PREPARED FOR GREAT ISLAND ESTATE" PREPARED BY ROBERT L. HOCK, DATED AUGUST, 2012.
2. M.L.W. EL.-3.7' NOT SHOWN.

FOR PERMIT USE ONLY
NOT FOR CONSTRUCTION



DATUM: N.A.V.D. 88
APPLICATION BY: GREAT ISLAND PARTNERS
APPLICATION NO.:
AGENT: OCEAN & COASTAL CONSULTANTS, INC.

EMERGENCY SEAWALL REPAIRS

LONG ISLAND SOUND
TOWN OF DARIEN
COUNTY OF FAIRFIELD
STATE OF CONNECTICUT

DATE: 5/24/13

SHEET 5 OF 10