



STATE OF CONNECTICUT

V.

THE UNITED TOOL AND DIE COMPANY

CONSENT ORDER #WSWDH12008

Date Issued: 9/11/2012

A. With the agreement of The United Tool and Die Company (“Respondent”), the Commissioner of Energy and Environmental Protection (“the Commissioner”) finds:

1. Respondent is a corporation which is or has been engaged in the manufacturing of aircraft parts at One Carney Road in West Hartford, Connecticut (“the site”).
2. Respondent is or has been a generator of hazardous waste at the site.
3. Based on the findings of an inspection of the site performed on March 23rd, 28th, and April 13th, 2012:
 - a. Respondent failed to determine whether each waste generated at the facility is a hazardous waste as required by Section 22a-449(c)-102(a)(2)(A) of the Regulations of Connecticut State Agencies (“RCSA”) incorporating by reference Title 40 of the Code of Federal Regulations (“CFR”) 262.11 with specified changes. Specifically, the Department of Energy and Environmental Protection (“DEEP”) found Respondent did not maintain written waste profiles or sample analyses for their waste streams (except for waste boiler treatment chemicals) and had misclassified its wastewater treatment sludge as non-hazardous.
 - b. Respondent failed to determine whether the total halogen content of each used oil generated is less than, greater than, or equal to 1,000 parts per million as required by Section 22a-449(c)-119(b)(1) of the RCSA incorporating by reference 40 CFR 279. Specifically, DEEP found Respondent had not determined the total halogen content of either of the two used oil waste streams generated at the site.
 - c. Respondent failed to send a copy of the manifest to the Commissioner within seven days of the date on which the transporter accepts and signs the manifest as required by Section 22a-449(c)-102(b)(3)(B) of the RCSA. Specifically, DEEP did not have a record of having received any hazardous waste manifests from UTD since August 22, 2008.

- d. Respondent failed to manage universal waste lamps and batteries in accordance with Section 22a-449(c)-113 of the RCSA incorporating by reference 40 CFR 273. Specifically, DEEP found nine spent lead/acid batteries and approximately 20 spent universal waste lamps in the hazardous waste storage area unpackaged and not labeled with the date on which accumulation began.
 - e. Respondent failed to accumulate hazardous waste in containers that are clearly marked with the date upon which each period of accumulation began as required by Section 22a-449(c)-102(a)(1) of the RCSA incorporating by reference 40 CFR 262.34(a)(2). Specifically, DEEP found none of the hazardous waste containers staged in the hazardous waste storage area displayed the dates of accumulation.
 - f. Respondent failed to record inspections in an inspection log or summary as required by Section 22a-449(c)-102(b)(2) of the RCSA incorporating by reference 40 CFR 265.15(d). Specifically, DEEP found inspections were not recorded for the periods of time between December 12, 2011 and January 6, 2012 and between March 8, 2012 and March 28, 2012.
 - g. Respondent failed to submit a copy of the contingency plan to all local police departments, fire departments, hospitals, and State and local emergency response teams that may be called upon to provide emergency services as required by Section 22a-449(c)-102(a)(2)(K) of the RCSA incorporating by reference 40 CFR 262.34(a)(4) and 265.53(b). Specifically, DEEP found no documentation indicating Respondent's contingency plan was submitted to the local fire and police departments, hospital, or local emergency planning commission.
 - h. Respondent failed to include in the contingency plan a list of all emergency equipment at the facility with the location and physical description of each item on the list, and a brief outline of its capabilities as required by Section 22a-449(c)-102(a)(2)(K) of the RCSA incorporating by reference 40 CFR 262.34(a)(4) and 265.52(e). Specifically, DEEP found Respondent's contingency plan does not identify or describe the equipment contained in its spill kits.
4. By virtue of the above, Respondent has violated Sections 22a-449(c)-102, 22a-449(c)-113, and 22a-449(c)-119 of the RCSA.
 5. On April 30, 2012 DEEP issued Notice of Violation No. WSWDH 12051 to Respondent to correct the violations corresponding to those listed in paragraph A.3 of this consent order.
 6. In correspondence received May 31, 2012, June 25, 2012, June 29, 2012, July 7, 2012, and July 20, 2012 Respondent represented that violations corresponding to those listed in subparagraph A.3.a through A.3.h of this consent order have been corrected.
 7. On August 17, 2012 Respondent submitted a business recycling profile to DEEP documenting compliance with Connecticut's recycling laws set forth in Section 22a-241b(d) of the Connecticut General Statutes ("CGS").

- B. With the agreement of Respondent, the Commissioner, acting under Sections 22a-6, 22a-131, and 22a-449 of the CGS, orders Respondent as follows:
1. Respondent shall maintain all hazardous waste handling procedures and facilities in compliance with all the applicable provisions of the RCSA Section 22a-449(c)-100, et. seq., in accordance with the following schedule:
 - a. On or before **thirty (30) days** after the date of issuance of this consent order, Respondent shall retain one or more qualified consultants or in-house environmental compliance experts acceptable to the Commissioner to prepare the documents and implement or oversee the actions required by this consent order. Respondent shall retain such consultants or in-house environmental compliance experts or retain other qualified environmental consultants or in-house environmental compliance experts acceptable to the Commissioner until this consent order is fully complied with, and within **ten (10) days** after retaining any consultants or in-house environmental compliance experts other than those originally identified under this paragraph, Respondent shall notify the Commissioner in writing of the identity of such other consultant or in-house environmental compliance expert. Respondent shall submit to the Commissioner a description of a consultant's or in-house environmental compliance expert's education, experience, and training which is relevant to the work required by this consent order within **ten (10) days** after a request for such description. Nothing in this paragraph shall preclude the Commissioner from finding a previously acceptable consultant or in-house environmental compliance expert unacceptable.
 - b. On or before **ninety (90) days** after the date of issuance of this consent order, Respondent shall submit for the Commissioner's review and written approval a plan detailing additional actions and/or operational changes to ensure future compliance with the requirements specified in paragraph A.3 of this consent order. Within **five (5) days** after the Commissioner approves such plan, Respondent shall carry out the plan and maintain it in full effect thereafter.
 2. Full compliance. Respondent shall not be considered in full compliance with this consent order until all actions required by this consent order have been completed as approved and to the Commissioner's satisfaction.
 3. Status of Notice of Violation No. WSWDH 12051: This consent order supersedes Notice of Violation No. WSWDH 12051.
 4. Civil penalty. On or before **fourteen (14) days** after issuance of this consent order, Respondent shall pay a penalty of **six thousand three hundred fifty dollars (\$6,350.00)** as the total civil penalty to be sought by the Commissioner for those, and only those, violations described in paragraph A.3 of this consent order.

5. Supplemental Environmental Project. In addition to the penalty referenced in paragraph B.4 above, Respondent has agreed to fund a supplemental environmental project (“SEP”) or projects acceptable to the Department according to its February 15, 1996 “Policy on Supplemental Environmental Projects”. Therefore, on or before one hundred eighty (180) days after the date of issuance of this consent order, Respondent shall pay **six thousand three hundred fifty dollars (\$6,350.00)** to the Statewide SEP Account, provided Respondent has not received approval from the Commissioner to perform an alternate SEP. Within ninety (90) days of issuance of the order, Respondent may submit an alternate SEP proposal for the Commissioner’s review and written approval. If such approval is received, then the payment to the Statewide SEP Account noted above is limited to the difference between the credited value of the SEP and six thousand three hundred fifty dollars (\$6,350.00). The proposed alternative SEP shall be implemented within sixty (60) days of the Commissioner’s approval of the proposed SEP. Any payments under this paragraph shall be mailed or personally delivered to the Department of Energy and Environmental Protection, Bureau of Financial and Support Services, Accounts Receivable Office, 79 Elm Street, Hartford, Connecticut 06106-5127, and shall be by certified or bank check payable to the “Connecticut Department of Energy and Environmental Protection”, with notation thereon “Statewide SEP Account” and the consent order number identified on the first page of this Consent Order. A copy of the check and any transmittal letter shall also be sent to Julie Dutton, Bureau of Materials Management and Compliance Assurance, Waste Engineering and Enforcement Division at the same address.
 - a. Respondent shall not claim or represent that any SEP payment made pursuant to this consent order constitutes an ordinary business expense or charitable contribution or any other type of tax deductible expense, and Respondent shall not seek or obtain any other tax benefit such as a tax credit as a result of the payment under this paragraph.
 - b. If and when Respondent disseminates any publicity, including but not limited to any press releases regarding funding a SEP, Respondent shall include a statement that such funding is in partial settlement of an enforcement action brought by the Commissioner.
6. Payment of penalties. Payment of penalties under this consent order shall be mailed or personally delivered to the Department of Energy and Environmental Protection, Bureau of Financial and Support Services, Accounts Receivable Office, 79 Elm Street, Hartford, CT 06106-5127, and shall be by certified or bank check payable to “Connecticut Department of Energy and Environmental Protection.” The check shall state on its face, “Bureau of Materials Management and Compliance Assurance, Waste Engineering and Enforcement Division, civil penalty” and the consent order number. A copy of the check as well as any transmittal letter shall be mailed or delivered to Julie Dutton, Bureau of Materials Management and Compliance Assurance, Waste Engineering and Enforcement Division at the same address.
7. Sampling and sample analyses. All sampling and sample analyses which are required by this consent order and all reporting of such sample analyses shall be conducted by a laboratory certified by the Connecticut Department of Public Health to conduct such sampling and analyses. All sampling and sample analyses performed under this order shall be performed in accordance with procedures specified or approved in writing by the Commissioner, or, if no such procedures have been specified or approved, in accordance

with EPA document SW-846. Unless otherwise specified by the Commissioner in writing, the value of each parameter shall be reported to the maximum level of precision and accuracy specified in the applicable protocol, and if no such level is specified, to the maximum level of precision and accuracy possible.

8. Approvals. Respondent shall use best efforts to submit to the Commissioner all documents required by this consent order in a complete and approvable form. If the Commissioner notifies Respondent that any document or other action is deficient, and does not approve it with conditions or modifications, it is deemed disapproved, and Respondent shall correct the deficiencies and resubmit it within the time specified by the Commissioner or, if no time is specified by the Commissioner, within thirty (30) days of the Commissioner's notice of deficiencies. In approving any document or other action under this consent order, the Commissioner may approve the document or other action as submitted or performed or with such conditions or modifications as the Commissioner deems necessary to carry out the purposes of this consent order. Nothing in this paragraph shall excuse noncompliance or delay.
9. Definitions. As used in this consent order, "Commissioner" means the Commissioner or a representative of the Commissioner.
10. Dates. The date of "issuance" of this consent order is the date the consent order is deposited in the U.S. mail or personally delivered, whichever is earlier. The date of submission to the Commissioner of any document required by this consent order shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this consent order, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is deposited in the U.S. mail or is personally delivered, whichever is earlier. Except as otherwise specified in this consent order, the word "day" as used in this consent order means calendar day. Any document or action which is required by this consent order to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal holiday shall be submitted or performed by the next day which is not a Saturday, Sunday or Connecticut or federal holiday.
11. Certification of documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under this consent order shall be signed by Respondent or, if Respondent is not an individual, by Respondent's chief executive officer or a duly authorized representative of such officer, as those terms are defined in Section 22a-430-3(b)(2) of the RCSA, and by the individual(s) responsible for actually preparing such document, and each such individual shall certify in writing as follows:

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, that the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that any false statement made in the submitted information may be punishable as a criminal offense under §53a-157b of the Connecticut General Statutes and any other applicable law."

12. Noncompliance. This consent order is a final order of the Commissioner with respect to the matters addressed herein, and is non-appealable and immediately enforceable. Failure to comply with this consent order may subject Respondent to an injunction and penalties.
13. False statements. Any false statement in any information submitted pursuant to this consent order may be punishable as a criminal offense under Section 53a-157b of the CGS and any other applicable law.
14. Notice of transfer; liability of Respondent. Until Respondent has fully complied with this consent order, Respondent shall notify the Commissioner in writing no later than fifteen (15) days after transferring all or any portion of the facility, the operations, the site or the business which is the subject of this consent order or after obtaining a new mailing or location address. Respondent's obligations under this consent order shall not be affected by the passage of title to any property to any other person or municipality.
15. Commissioner's powers. Except as provided hereinabove with respect to payment of civil penalties, nothing in this consent order shall affect the Commissioner's authority to institute any proceeding or take any other action to prevent or abate violations of law, prevent or abate pollution, recover costs and natural resource damages, and to impose penalties for past, present, or future violations of law. If at any time the Commissioner determines that the actions taken by Respondent pursuant to this consent order have not successfully corrected all violations, fully characterized the extent or degree of any pollution, or successfully abated or prevented pollution, the Commissioner may institute any proceeding to require Respondent to undertake further investigation or further action to prevent or abate violations or pollution.
16. Respondent's obligations under law. Nothing in this consent order shall relieve Respondent of other obligations under applicable federal, state and local law.
17. No assurance by Commissioner. No provision of this consent order and no action or inaction by the Commissioner shall be construed to constitute an assurance by the Commissioner that the actions taken by Respondent pursuant to this consent order will result in compliance or prevent or abate pollution.
18. Access to site. Any representative of the Department of Energy and Environmental Protection may enter the site without prior notice for the purposes of monitoring and enforcing the actions required or allowed by this consent order.
19. No effect on rights of other persons. This consent order neither creates nor affects any rights of persons or municipalities that are not parties to this consent order.
20. Notice to Commissioner of changes. Within fifteen (15) days of the date Respondent becomes aware of a change in any information submitted to the Commissioner under this consent order, or that any such information was inaccurate or misleading or that any relevant information was omitted, Respondent shall submit the correct or omitted information to the Commissioner.

21. Notification of noncompliance. In the event that Respondent becomes aware that it did not or may not comply, or did not or may not comply on time, with any requirement of this consent order or of any document required hereunder, Respondent shall immediately notify by telephone the individual identified in the next paragraph and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. Within five (5) days of the initial notice, Respondent shall submit in writing the date, time, and duration of the noncompliance and the reasons for the noncompliance or delay and propose, for the review and written approval of the Commissioner, dates by which compliance will be achieved, and Respondent shall comply with any dates which may be approved in writing by the Commissioner. Notification by Respondent shall not excuse noncompliance or delay, and the Commissioner's approval of any compliance dates proposed shall not excuse noncompliance or delay unless specifically so stated by the Commissioner in writing.

22. Submission of documents. Any document required to be submitted to the Commissioner under this consent order shall, unless otherwise specified in this consent order or in writing by the Commissioner, be directed to:

Michelle L. Gore, Sanitary Engineer 3
Department of Energy and Environmental Protection
Bureau of Materials Management and Compliance Assurance
Waste Engineering and Enforcement Division
79 Elm Street, 4th Floor
Hartford, Connecticut 06106-5127

Respondent consents to the issuance of this consent order without further notice. The undersigned certifies that he/she is fully authorized to enter into this consent order and to legally bind the Respondent to the terms and conditions of the consent order.

THE UNITED TOOL AND DIE COMPANY

BY: Karl Zien
Karl Zien, President

8-27-2012
Date

Issued as a final order of the Commissioner of Energy and Environmental Protection.

Macky McCleary
Macky McCleary
Deputy Commissioner

9/11/12
Date

Consent Order No. 71 9001 8900 1000 1043 75