



Connecticut Department of

**ENERGY &
ENVIRONMENTAL
PROTECTION**

STATE OF CONNECTICUT)
)
VS.)
)
WARD LEONARD ELECTRIC COMPANY INC)

Order No. 2283

CONSENT ORDER

- A. With the agreement of Ward Leonard Electric Company, Inc. ("Respondent"), the Commissioner of Energy and Environmental Protection ("Commissioner") finds the following:
1. The Respondent owns and operates an engineering and manufacturing company that specializes in motor and motor control solutions for harsh environments including drilling, mining, marine, military, and hybrid automobiles in Thomaston, Connecticut ("facility").
 2. At the facility, the Respondent operates two diesel-fired engines ("engines").
 3. The uncontrolled potential to emit Nitrogen Oxides ("NOx") from the engines exceeds 15 tons per year.
 4. Pursuant to Section 22a-174-3a(a)(1)(D) of the Regulations of Connecticut State Agencies ("RCSA"), the owner or operator of any stationary source for any new emission unit with potential emissions of fifteen (15) tons or more per year of any individual air pollutant shall apply for and obtain a permit to construct and operate prior to beginning actual construction.
 5. The Respondent was issued new source review ("NSR") Permit Nos. 180-0029 and 180-0030 ("permits") for the engines on July 13, 2009 and July 14, 2009, respectively.
 6. The Respondent was required to conduct NOx emission tests on the engines no later than 180 days after NSR permit issuance. Accordingly, the Respondent was required to conduct NOx emission tests on the turbines by January 9, 2010 and January 10, 2010, respectively.

Date Issued: February 16, 2012

7. On July 29, 2010, staff from the Bureau of Air Management conducted an inspection of the facility and discovered that the engines had not been tested within 180 days after permit issuance. As such, Notice of Violation No. 16581 was issued to the Respondent on August 3, 2010, for failing to conduct timely NOx emissions tests on the engines within 180 days of permit issuance.
 8. The Respondent conducted NOx emissions tests on the engines on August 23, 2010.
 9. By virtue of the above, the Respondent violated the requirements of Permit Nos. 180-0029 and 180-0030.
- B. With the agreement of the Respondent, the Commissioner, pursuant to Sections 22a-6, 22a-171, 22a-174, 22a-176, 22a-177, and 22a-178 of the Connecticut General Statutes, orders the Respondent as follows:
1. Full compliance. The Respondent shall not be considered in full compliance with this consent order until all actions required by this consent order have been completed as approved and to the Commissioner's satisfaction.
 2. Civil Penalty. The Respondent shall pay a penalty of \$10,000 as the total civil penalty to be sought by the Commissioner for the violation described in Paragraph A.7. of this Consent Order. The Respondent shall submit the penalty amount of \$10,000.00 in accordance with the following payment schedule:
 - a. On or before 30 days after issuance of this Consent Order, the Respondent shall pay \$2,500.00 of the civil penalty owed.
 - b. On or before 120 days after issuance of this Consent Order, the Respondent shall pay an additional \$2,500.00 of the civil penalty owed.
 - c. On or before 210 days after issuance of this Consent Order, the Respondent shall pay an additional \$2,500.00 of the civil penalty owed.
 - d. On or before 300 days after issuance of this Consent Order, the Respondent shall pay the final \$2,500.00 of the civil penalty owed.
 3. Payment of penalties. Payment of penalties under this consent order shall be mailed or personally delivered to Bureau of Financial & Support Services--Accounts Receivable Office["F&SS"], Department of Energy and Environmental Protection, 79 Elm Street, Hartford, CT 06106, and shall be by certified or bank check payable to the Connecticut Department of Energy and Environmental Protection. The check shall state on its face, "Air Management Civil Penalty, Engineering and Enforcement Division, Consent Order No. 2283 "
 4. Approvals. The Respondent shall use best efforts to submit to the Commissioner all documents required by this order in a complete and approvable form. If the

Commissioner notifies the Respondent that any document or other action is deficient, and does not approve it with conditions or modifications, it is deemed disapproved, and the Respondent shall correct the deficiencies and resubmit it within the time specified by the Commissioner or, if no time is specified by the Commissioner, within 30 days of the Commissioner's notice of deficiencies. In approving any document or other action under this consent order, the Commissioner may approve the document or other action as submitted or performed or with such conditions or modifications as the Commissioner deems necessary to carry out the purposes of this consent order. Nothing in this paragraph shall excuse noncompliance or delay.

5. Definitions. As used in this consent order, "Commissioner" means the Commissioner or a representative of the Commissioner. The date of "issuance" of this consent order is the date the consent order is deposited in the U.S. Mail or personally delivered, whichever is earlier.
6. Dates. The date of "issuance" of this consent order is the date the consent order is deposited in the U.S. mail or personally delivered, whichever is earlier. The date of submission to the Commissioner of any document required by this consent order shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this consent order, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is deposited in the U.S. mail or is personally delivered, whichever is earlier. Except as otherwise specified in this consent order, the word "day" as used in this consent order means calendar day. Any document or action which is required by this consent order to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal holiday shall be submitted or performed by the next day which is not a Saturday, Sunday or Connecticut or federal holiday.
7. Certification of documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under this consent order shall be signed by the Respondent or, if the Respondent is not an individual, by the Respondent's chief executive officer or a duly authorized representative of such officer, as those terms are defined in §22a-430-3(b)(2) of the Regulations of Connecticut State Agencies, and by the individual(s) responsible for actually preparing such document, and the Respondent or the Respondent's chief executive officer and each such individual shall certify in writing as follows: "I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, that the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that any false statement made in the submitted information is punishable as a criminal offense under Section §53a-157b of the Connecticut General Statutes and any other applicable law."
8. Noncompliance. This consent order is a final order of the Commissioner with respect to the matters addressed herein, and is non-appealable and immediately enforceable. Failure

to comply with this consent order may subject the Respondent to an injunction and penalties.

9. False statements. Any false statement in any information submitted pursuant to this consent order may be punishable as a criminal offense under §53a-157b of the Connecticut General Statutes and any other applicable law.
10. Notice of transfer; liability of Respondent. Until the Respondent has fully complied with this consent order, the Respondent shall notify the Commissioner in writing no later than 15 days after transferring all or any portion of the facility, the operations, the site or the business which is the subject of this consent order or after obtaining a new mailing or location address. The Respondent's obligations under this consent order shall not be affected by the passage of title to any property to any other person or municipality.
11. Commissioner's powers. Except as provided hereinabove with respect to payment of civil penalties, nothing in this consent order shall affect the Commissioner's authority to institute any proceeding or take any other action to prevent or abate violations of law, prevent or abate pollution, recover costs and natural resource damages, and to impose penalties for past, present, or future violations of law. If at any time the Commissioner determines that the actions taken by Respondents pursuant to this consent order have not successfully corrected all violations, fully characterized the extent or degree of any pollution, or successfully abated or prevented pollution, the Commissioner may institute any proceeding to require the Respondents to undertake further investigation or further action to prevent or abate violations or pollution.
12. Respondent's obligations under law. Nothing in this consent order shall relieve the Respondent of other obligations under applicable federal, state and local law.
13. No assurance by Commissioner. No provision of this consent order and no action or inaction by the Commissioner shall be construed to constitute an assurance by the Commissioner that the actions taken by the Respondent pursuant to this consent order will result in compliance or prevent or abate pollution.
14. Access to site. Any representative of the Department of Energy and Environmental Protection may enter the facility without prior notice for the purposes of monitoring and enforcing the action required or allowed by this consent order.
15. No effect on rights of other persons. This consent order neither creates nor affects any rights of persons or municipalities that are not parties to this consent order.
16. Notice to Commissioner of changes. Within 15 days of the date the Respondent becomes aware of a change in any information submitted to the Commissioner under this consent order, or that any such information was inaccurate or misleading or that any relevant

information was omitted, the Respondent shall submit the correct or omitted information to the Commissioner.

17. Notification of noncompliance. In the event that the Respondent becomes aware that it did not or may not comply, or did not or may not comply on time, with any requirement of this consent order or of any document required hereunder, the Respondent shall immediately notify by telephone the individual identified in the next paragraph and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. Within five (5) days of the initial notice, the Respondent shall submit in writing the date, time and duration of the noncompliance and the reasons for the noncompliance or delay and propose, for the review and written approval of the Commissioner, dates by which compliance will be achieved, and the Respondent shall comply with any dates which may be approved in writing by the Commissioner. Notification by the Respondent shall not excuse noncompliance or delay, and the Commissioner's approval of any compliance dates proposed shall not excuse noncompliance or delay unless specifically so stated by the Commissioner in writing.
18. Joint and several liability. Respondents shall be jointly and severally liable for compliance with this consent order.
19. Submission of documents. Any document required to be submitted to the Commissioner under this consent order, unless otherwise specified in this consent order or in writing by the Commissioner, shall be directed to:

Rickey Bouffard
Department of Energy and Environmental Protection
Bureau of Air Management
Engineering and Enforcement Division
79 Elm Street
Hartford, Connecticut 06106-5127

Respondent consents to the issuance of this consent order without further notice. The undersigned certifies that he/she is fully authorized to enter into this consent order and to legally bind Respondent to the terms and conditions of the consent order.

WARD LEONARD

Signature: Susan A. Castle

Type Name: SUSAN A. CASTLE

Type Title: VP, HR: BUSINESS SYSTEMS

Date: JANUARY 31, 2012

Issued as a final order of the Commissioner of Energy and Environmental Protection.



Macky McCleary
Deputy Commissioner
*Department of Energy and Environmental Protection

2/16/12
Date

*Public Act 11-80, effective July 1, 2011, established the Department of Energy and Environmental Protection as the successor agency to the Department of Environmental Protection.

MAILED CERTIFIED MAIL
RETURN RECEIPT REQUESTED