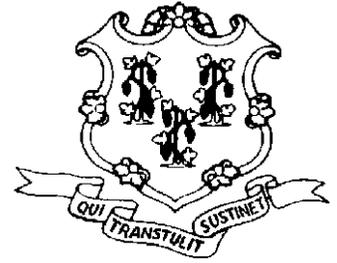


September 2015



STATE OF CONNECTICUT  
Department of Developmental Services



**M.I.R.**  
**Management Information Report**  
**September 2015**

Issued

January, 2016

by DDS Commissioner's Office

**DDS Management Information Report**

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## Executive Summary

The Management Information Report (MIR) provides DDS staff and stakeholders with information about eligibility, consumer services and supports, support needs and new development activities, waiver enrollment and revenue, and personnel management. Key findings from the fourth quarter report include:

### Section I: Services and Supports

- Residential settings with the largest number of individuals served by DDS include Family Homes, Own Homes (IL) and CLAs (52.6%, 13.3%, 22.7% respectively)
- Out of all employment and day programs, Day Support Options and Group Supported Employment have the highest percentage of individuals participating. Neither of these programs is considered “Employment.” Individuals participating in Day Support Options don’t receive wages and those in Group Supported Employment earn wages that do not meet minimum wage standards.
- The gap between individuals in employment programs vs. non-employment programs continues to widen.
- Statewide, the number of individuals self-directing their services continues to increase. In the previous fiscal years, the number of individuals self-directing their services increased throughout each quarter.
- The number of active individuals is up from last quarter. Waiver remains the most frequent caseload type. All quarters within the last fiscal year demonstrated the same trend.
- Statewide, the number of family direct support services has decreased since last quarter. Adults not enrolled have the highest number of new cases out of all new cases this quarter.
- Respite center usage has increased compared to last quarter.
- Respite grant utilization has increased since last quarter. Throughout the previous fiscal year (FY15), there was a fluctuation in the number of individuals using respite services. In FY15, 463 people used respite services in Q1, 265 people used respite services in Q2, 433 individuals used respite services in Q3 and 346 individuals in Q4.
- Life Skills Coaches, Behavior Management, Community Mentors, Individual Goods & Services and Social Skills Groups are the most frequent/prevalent services used by individuals in ASD division served by private providers.

### Section II: Service Needs

- There are 615 individuals on the DDS Waiting List, defined as those who live in their own or family home, have no supports have active residential needs and are either an emergency or Priority 1 (19 individuals with emergency priority and 596 who are categorized as priority 1). This number has decreased since the beginning of FY15 (33 individuals with emergency priority and 653 who are categorized as priority 1 for a total of 686 individuals on the DDS Waiting List).
- 272 additional individuals are receiving DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting. 16 have emergency needs and 256 are categorized as priority 1.
- There are 1,198 people on the residential planning list. Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status (930 are priority 2 and 268 are priority 3). Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

Section III: New Development Goals and Support Activity

- 23 individuals were provided with supports through the re-use of opportune resources. 45 individuals were able to be moved utilizing portable funding. During the same time period in the last fiscal year (Q1 of FY15), 21 individuals were provided with supports through the re-use of opportune resources and 44 individuals were able to be moved utilizing portable funding.
- 43 individuals were served using FY16 age out funds.
- 11% (26 out of 233) individuals who are underserved received annualized residential supports. At the end of Q1 in FY15, 14% (40 out of 282) individuals who are underserved received annualized residential supports.
- 187 individuals who graduated in June 2015 were served with FY16 grad funding. 91 individuals who aged out of DCF were served with FY16 grad funds.

Section IV: Eligibility

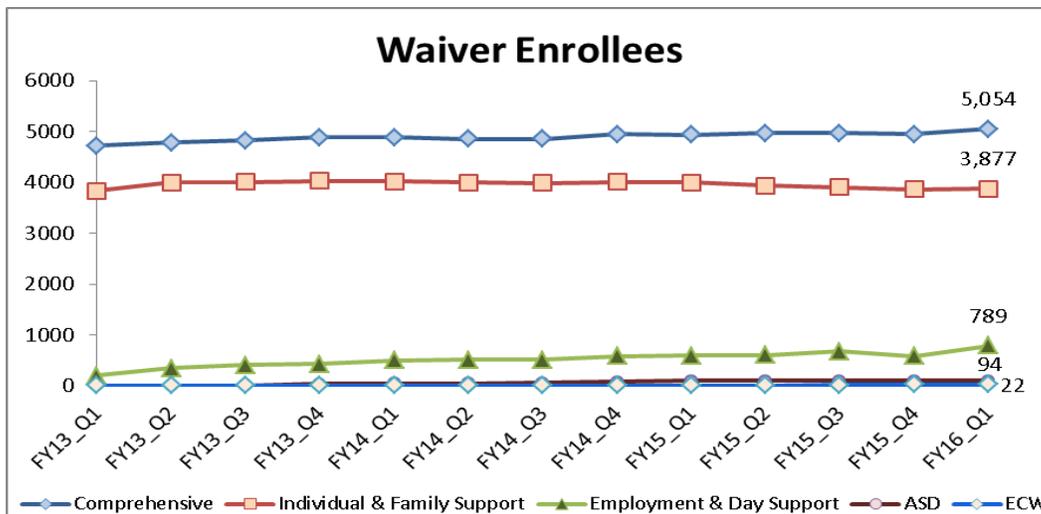
- 135 individuals had eligibility determinations this fiscal year. 109 (81%) individuals were eligible.

Section V: Case Load by Age

- The age group with the highest percentage of individuals being provided supports/services is between 22-34 years old (27% of individuals).
- Children (0-17 years old) and Young Adults (18-21 years old) most frequently attend public school as their day program over all other options. Adults (22 and over) participate in day supported options most frequently followed by group supported employment.

Section VI: Home and Community Based Waiver

- The Comprehensive Waiver is the most commonly used waiver during the 1<sup>st</sup> quarter. Out of all HCBS Waiver enrollees with intellectual disabilities, private CLAs and Family Homes are the most frequent residential setting.
- Family Homes are the most frequent residential setting for individuals on the Autism waiver.



- Enrollees of the Individual and Family Support waiver are more likely to live in their family’s home or own home than any other residential settings.
- Autism, Comp, IFS, EDS & Targeted Case Management have all have received over 25% of projected revenue (25%, 29.51%, 29.02%, 30.05%, 33.61% respectively).

**Section VII: Human Resource**

- 2,505 permanent full time positions and 719 permanent part time positions were filled throughout the state. At the end of Q1 in FY15, 2591 permanent full time positions and 742 permanent part time positions were filled throughout the state.
- There were 0 new notifications this quarter in the public sector on the Abuse/Neglect Registry. At the end of the Q1 in FY15, there were 2 new notifications in the public sector on the Abuse/Neglect Registry.

SECTION I: Services and Supports

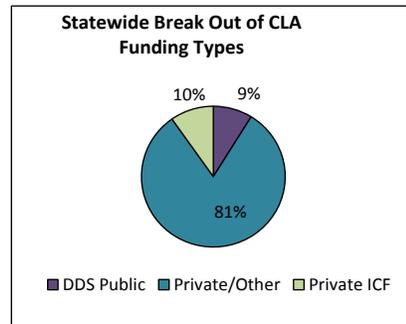
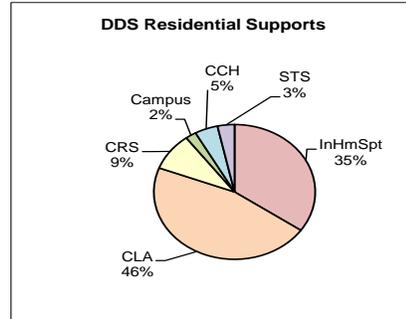
**A. Where People Live and How They Are Supported  
STATEWIDE**

**Total Individuals with Intellectual Disability: 16,352**

**ΔYTD: +24**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS								
Where People Live	How They Receive Support						Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self* Direct*			
Family Home	7,222		549		958	8,729	53.4%	
Own Home (IL)**	409	161	879		253	1,702	10.4%	
Sub-Total	7,631	161	1,428		1,211	10,431	63.8%	
% Total	73.2%	1.5%	13.7%		11.6%	100%		
<b>DDS Residential Supports</b>								
STS		275				275	1.7%	
DDS Centers		175				175	1.1%	
CLA		329	3,035	364		3,728	22.8%	
CRS			728			728	4.5%	
CCH			374			374	2.3%	
Sub-Total		779	4,137	364		5,280	32.3%	
% Total		14.8%	78.4%	6.9%		100%		
<b>Other State Agencies</b>								
DMHAS			6			6	0.0%	
DOC			7			7	0.0%	
DCFCTO			60			60	0.4%	
Sub-Total			73			73	0.4%	
<b>Other</b>								
LTC/SNF/RCH (HA)			384			384	2.3%	
Res. Schools			82			82	0.5%	
Other			72			72	0.4%	
Sub-Total			538			538	3.3%	
Blank			30			30	0.2%	
<b>Grand Total</b>	<b>7,631</b>	<b>940</b>	<b>6,206</b>	<b>364</b>	<b>1,211</b>	<b>16,352</b>	<b>100.0%</b>	



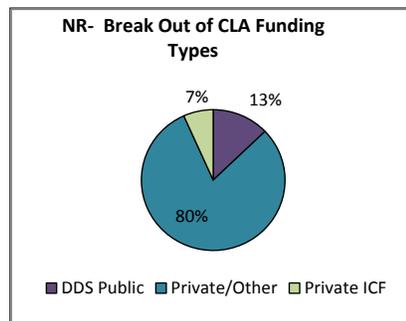
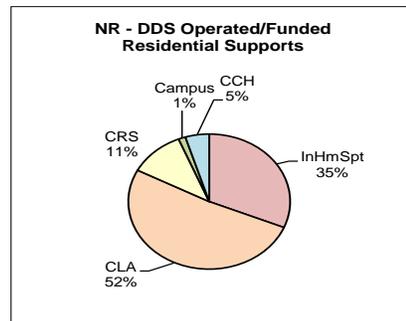
**NORTH REGION**

**Total Individuals with Intellectual Disability: 5,509**

**ΔYTD: +19**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS								
Where People Live	How They Receive Support						Total	% Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*			
Family Home	2,524		172		270	2,966	53.8%	
Own Home (IL)**	147	47	275		55	524	9.5%	
Sub-Total	2,671	47	447		325	3,490	63.4%	
% Total	76.5%	1.3%	12.8%		9.3%	100.0%		
<b>DDS Residential Supports</b>								
DDS Centers		35				35	0.6%	
CLA		172	1,078	90		1,340	24.3%	
CRS			294			294	5.3%	
CCH			125			125	2.3%	
Sub-Total		207	1,497	90		1,794	32.6%	
% Total		11.5%	83.4%	5.0%		100.0%		
<b>Other State Agencies</b>								
DMHAS			1			1	0.0%	
DOC			4			4	0.1%	
DCFCTO			20			20	0.4%	
Sub-Total			25		0	25	0.5%	
<b>Other</b>								
LTC/SNF/RCH (HA)			129			129	2.3%	
Res. Schools			30			30	0.5%	
Other			30			30	0.5%	
Subtotal			189			189	3.4%	
Blank			11			11	0.2%	
<b>Grand Total</b>	<b>2,671</b>	<b>254</b>	<b>2,169</b>	<b>90</b>	<b>325</b>	<b>5,509</b>	<b>100.0%</b>	



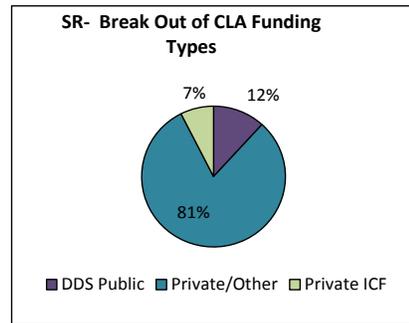
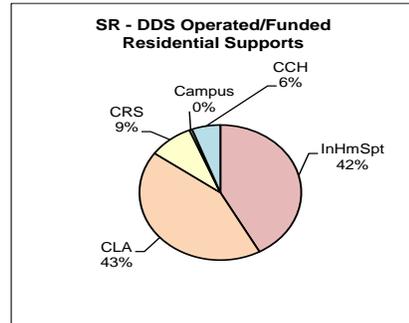
**SOUTH REGION**

**Total Individuals with Intellectual Disability: 5,245**

**ΔYTD: +2**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	%Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Directed*		
Family Home	2,245		197		318	2,760	52.6%
Own Home (IL)**	137	71	383		108	699	13.3%
Sub-Total	2,382	71	580		426	3,459	65.9%
% Total	68.9%	2.1%	16.8%		12.3%	100.0%	
<b>DDS Residential Supports</b>							
DDS Centers		14				14	0.3%
CLA		140	961	89		1,190	22.7%
CRS			228			228	4.3%
CCH			146			146	2.8%
Sub-Total		154	1,335	89		1,578	30.1%
% Total		9.8%	84.6%	5.6%		100.0%	
<b>Other State Agencies</b>							
DMHAS			4			4	0.1%
DOC			0			0	0.0%
DCFCTO			34			34	0.6%
Sub-Total			38			38	0.7%
<b>Other</b>							
LTC/SNF/RCH (HA)			127			127	2.4%
Res. Schools			21			21	0.4%
Other			17			17	0.3%
Sub-total			165			165	3.1%
Blank			5			5	0.1%
<b>Grand Total</b>	<b>2,382</b>	<b>225</b>	<b>2,123</b>		<b>426</b>	<b>5,245</b>	<b>100.0%</b>



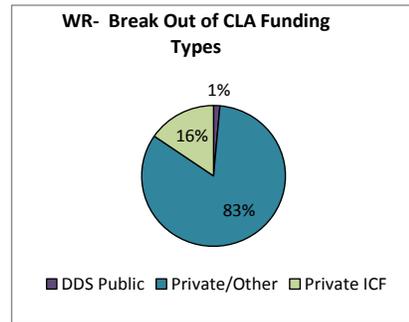
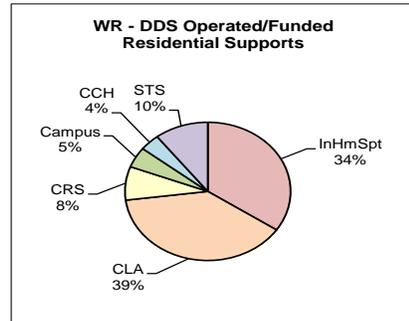
**WEST REGION**

**Total Individuals with Intellectual Disability: 5,598**

**ΔYTD: +3**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS							
Where People Live	How They Receive Support					Total	%Total
At Home	N/A (No Ongoing In-Home Supports)	DDS	Private/Other	Private ICF	Self Direct*		
Family Home	2,453		180		370	3,003	53.6%
Own Home (IL)**	125	43	221		90	479	8.6%
Sub-Total	2,578	43	401		460	3,482	62.2%
% Total	74.0%	1.2%	11.5%		13.2%	100%	
<b>DDS Residential Supports</b>							
STS		275				275	4.9%
DDS Centers		126				126	2.3%
CLA		17	996	185		1,198	21.4%
CRS			206			206	3.7%
CCH			103			103	1.8%
Sub-Total		418	1,305	185		1,908	34.1%
% Total		21.9%	68.4%	9.7%		100%	
<b>Other State Agencies</b>							
DMHAS			1			1	0.0%
DOC			3			3	0.1%
DCFCTO			6			6	0.1%
Sub-Total			10			10	0.2%
<b>Other</b>							
LTC/SNF/RCH (HA)			128			128	2.3%
Res. Schools			31			31	0.6%
Other			25			25	0.4%
Sub-total			184			184	3.3%
Blank			14			14	0.3%
<b>Grand Total</b>	<b>2,578</b>	<b>461</b>	<b>1,914</b>	<b>185</b>	<b>460</b>	<b>5,598</b>	<b>100.0%</b>



**SECTION I: Services and Supports****B. Work and Day Services****STATEWIDE**

<b>DAY/WORK STATUS</b>						
<b>Kind of Support</b>	<b>How They Receive Support</b>				<b>Total</b>	<b>% Total</b>
<b>Emp. And Day Supp.</b>	<b>NA</b>	<b>Private</b>	<b>DDS</b>	<b>Self Direct</b>		
Ind. Supp. Emp.		492	1	121	614	3.8%
Group Supp. Emp.		3,353	89		3,442	21.0%
Pre-Vocational		276	18		294	1.8%
Day Supp. Opt.		4,541	163	95	4,799	29.3%
Adult Day Health		34	0	0	34	0.2%
Enclave		0	0	0	0	0.0%
Small Enterprise		0	0	0	0	0.0%
Individ. Day Supp.		991	0	201	1,192	7.3%
Comp. Employment	310				310	1.9%
Other		212	1		213	1.3%
<b>Sub-Total</b>	<b>310</b>	<b>9,899</b>	<b>272</b>	<b>417</b>	<b>10,898</b>	<b>66.6%</b>
% Total	2.8%	90.8%	2.5%	3.8%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		3,426			3,426	21.0%
Res School		14			14	0.1%
Other		80	58		138	0.8%
<b>Sub-Total</b>		<b>3,520</b>	<b>58</b>		<b>3,578</b>	<b>21.9%</b>
<b>Other</b>						
No Day Program	920				920	5.6%
Blanks	956				956	5.8%
<b>Sub-Total</b>	<b>1,876</b>				<b>1,876</b>	<b>11.5%</b>
<b>Grand Total</b>	<b>2,186</b>	<b>13,419</b>	<b>330</b>	<b>417</b>	<b>16,352</b>	<b>100.0%</b>

**Note:** The above numbers represent primary day program.

**NORTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		154	1	25	180	3.3%
Group Supp. Emp.		1,285	16		1,301	23.6%
Pre-Vocational		122	0		122	2.2%
Day Supp. Opt.		1,439	16	43	1,498	27.2%
Adult Day Health		11	0		11	0.2%
Enclave		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		274	0	46	320	5.8%
Comp. Employment	113				113	2.1%
Other		83	0		83	1.5%
<b>Sub-Total</b>	<b>113</b>	<b>3,368</b>	<b>33</b>	<b>114</b>	<b>3,628</b>	<b>65.9%</b>
% Total	3.1%	92.8%	0.9%	3.1%	100.0%	
Educational and Developmental Services						
LEA		1,120			1,120	20.3%
Res School		0			0	0.0%
Other		74	11		85	1.5%
<b>Sub-Total</b>		<b>1,194</b>	<b>11</b>		<b>1,205</b>	<b>21.9%</b>
Other						
No Day Program	320				320	5.8%
Blanks	356				356	6.5%
<b>Sub-Total</b>	<b>676</b>				<b>676</b>	<b>12.3%</b>
<b>Grand Total</b>	<b>789</b>	<b>4,562</b>	<b>44</b>	<b>114</b>	<b>5,509</b>	<b>100.0%</b>

**SOUTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		183		57	240	4.6%
Group Supp. Emp.		1,058	9		1,067	20.3%
Pre-Vocational		62			62	1.2%
Day Supp. Opt.		1,500	0	18	1,518	28.9%
Adult Day Health		15	0		15	0.3%
Enclave		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		413	0	78	491	9.4%
Comp. Employment	100				100	1.9%
Other		48	1		49	0.9%
<b>Sub-Total</b>	<b>100</b>	<b>3,279</b>	<b>10</b>	<b>153</b>	<b>3,542</b>	<b>67.5%</b>
% Total	2.8%	92.6%	0.3%	4.3%	100.0%	
Educational and Developmental Services						
LEA		1,116			1,116	21.3%
Res School		3			3	0.1%
Other		0	36		36	0.7%
<b>Sub-Total</b>		<b>1,119</b>	<b>36</b>		<b>1,155</b>	<b>22.0%</b>
Other						
No Day Program	287				287	5.5%
Blanks	261				261	5.0%
<b>Sub-Total</b>	<b>548</b>				<b>548</b>	<b>10.4%</b>
<b>Grand Total</b>	<b>648</b>	<b>4,398</b>	<b>46</b>	<b>153</b>	<b>5,245</b>	<b>100.0%</b>

**WEST REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		155		39	194	3.6%
Group Supp. Emp.		998	14		1,012	19.0%
Pre-Vocational		91	0		91	1.7%
Day Supp. Opt.		1,552	13	34	1,599	30.0%
Adult Day Health		8	0		8	0.2%
Enclave		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		304	0	76	380	7.1%
Comp. Employment	97				97	1.8%
Other		81	0		81	1.5%
<b>Sub-Total</b>	<b>97</b>	<b>3,189</b>	<b>27</b>	<b>149</b>	<b>3,462</b>	<b>65.0%</b>
% Total	2.8%	92.1%	0.8%	4.3%	100.0%	
Educational and Developmental Services						
LEA		1,190			1,190	22.4%
Res School		11			11	0.2%
Other		6	11		17	0.3%
<b>Sub-Total</b>		<b>1,207</b>	<b>11</b>		<b>1,218</b>	<b>22.9%</b>
Other						
No Day Program	305				305	5.7%
Blanks	338				338	6.3%
<b>Sub-Total</b>	<b>643</b>				<b>643</b>	<b>12.1%</b>
<b>Grand Total</b>	<b>740</b>	<b>4,396</b>	<b>38</b>	<b>149</b>	<b>5,323</b>	<b>100.0%</b>

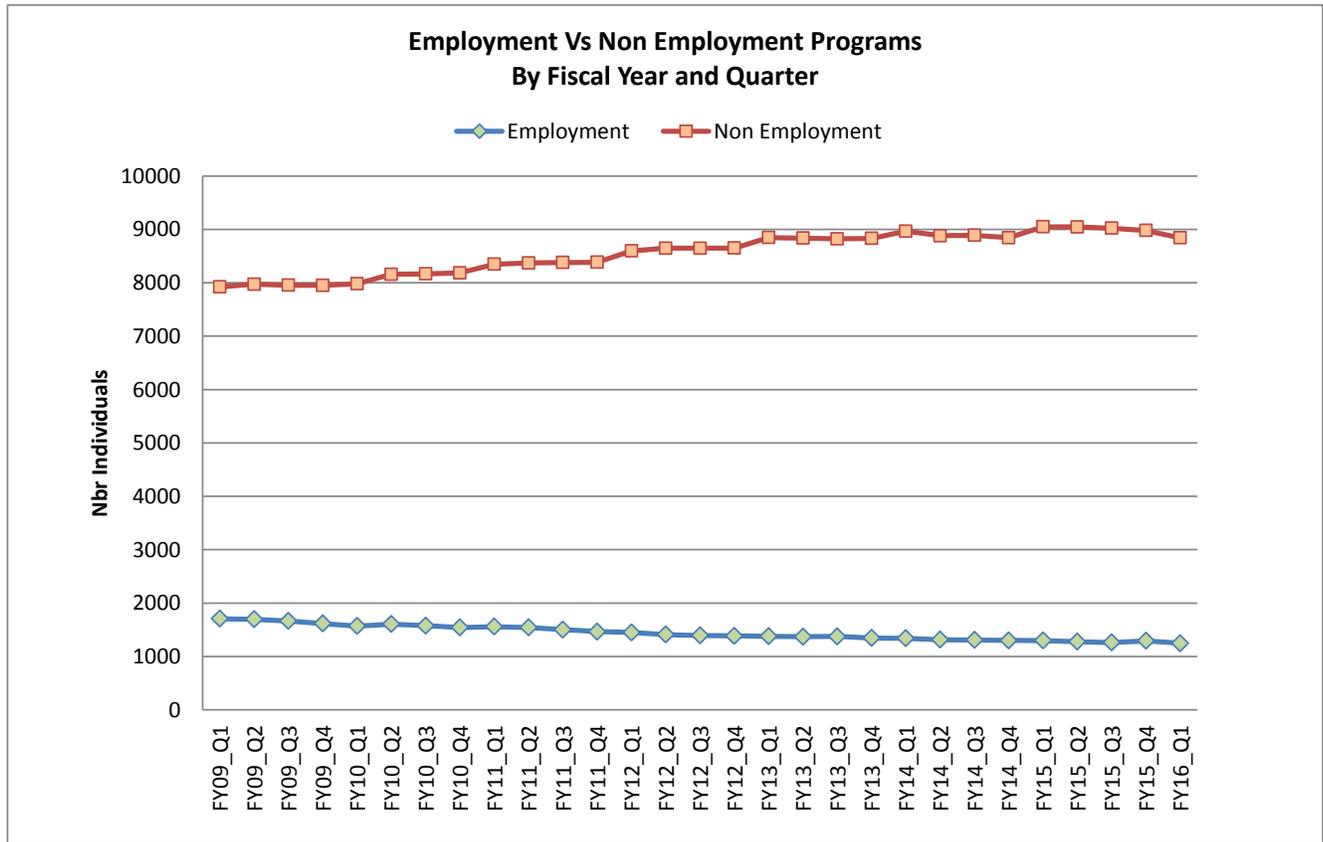
**SOUTHBURY TRAINING SCHOOL**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		0		0	0	0.0%
Group Supp. Emp.		12	50		62	22.5%
Pre-Vocational		1	18		19	6.9%
Day Supp. Opt.		50	134	0	184	66.9%
Adult Day Health		0	0		0	0.0%
Enclave		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		0	0	1	1	0.4%
Comp. Employment	0				0	0.0%
Other		0	0		0	0.0%
<b>Sub-Total</b>	<b>0</b>	<b>63</b>	<b>202</b>	<b>1</b>	<b>266</b>	<b>96.7%</b>
% Total	0.0%	1.8%	5.8%	0.0%	7.7%	
Educational and Developmental Services						
LEA		0			0	0.0%
Res School		0			0	0.0%
Other					0	0.0%
<b>Sub-Total</b>		<b>0</b>	<b>0</b>		<b>0</b>	<b>0.0%</b>
Other						
No Day Program	8				8	2.9%
Blanks	1				1	0.4%
<b>Sub-Total</b>	<b>9</b>				<b>9</b>	<b>3.3%</b>
<b>Grand Total</b>	<b>9</b>	<b>63</b>	<b>202</b>	<b>1</b>	<b>275</b>	<b>100.0%</b>

Note: "Sheltered Workshops" category was included in previous MIRs. "Pre-Vocational" has replaced the "Sheltered Workshop" category.

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



NOTE:

Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

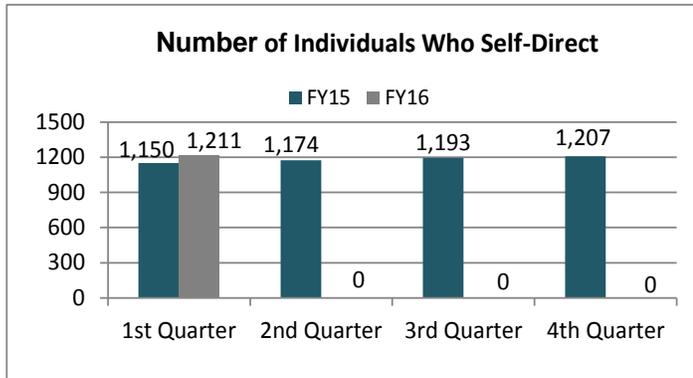
Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshops, Day Service Options, Individualized Day Retired or Non Vocational

SECTION I: Services and Supports

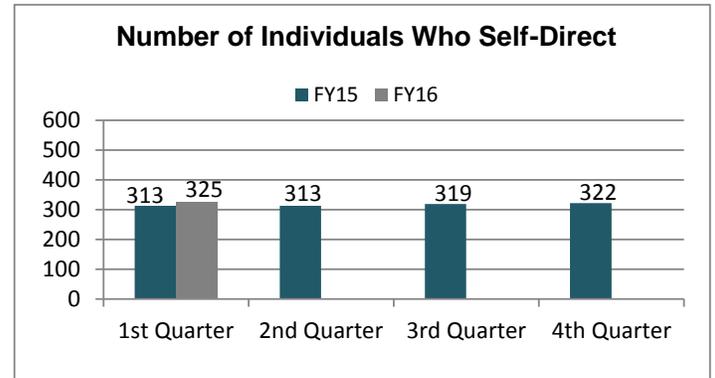
D. Number of Individuals Who Self-Direct

E. Active Individuals By Caseload Type

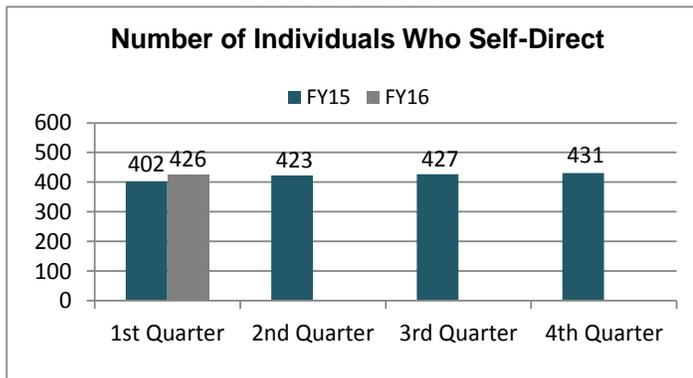
STATEWIDE



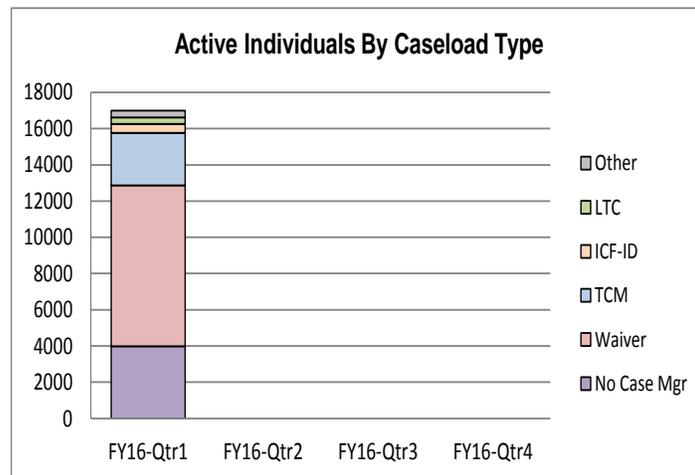
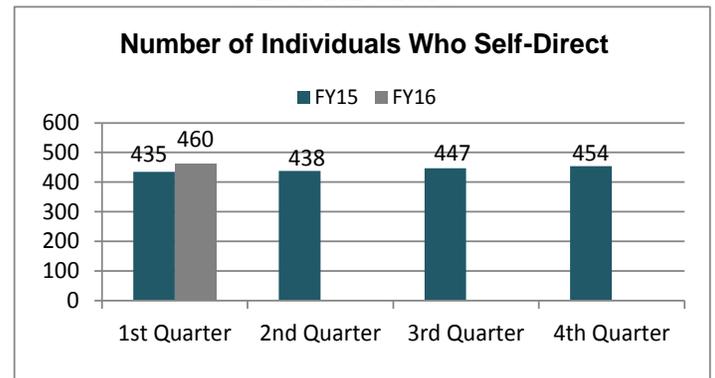
NORTH REGION



SOUTH REGION



WEST REGION



**SECTION I: Services and Supports**

**F. Family Support – Direct Support Services**

**IFS Family Support - Statewide**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	45	387	0	0	0	0	0	0	45
Enrolled - Adult	44	415	0	0	0	0	0	0	44
Not Enrolled - Child	11	68	0	0	0	0	0	0	11
Enrolled - Child	0	7	0	0	0	0	0	0	0
<b>Total</b>	<b>100</b>	<b>877</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100</b>

**IFS Family Support - NR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	21	126							21
Enrolled - Adult	18	162							18
Not Enrolled - Child	5	31							5
Enrolled - Child	0	2							0
<b>Total</b>	<b>44</b>	<b>321</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>44</b>

**IFS Family Support - SR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	13	111							13
Enrolled - Adult	16	107							16
Not Enrolled - Child	3	13							3
Enrolled - Child	0	3							0
<b>Total</b>	<b>32</b>	<b>234</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>32</b>

**IFS Family Support - WR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	11	150							11
Enrolled - Adult	10	146							10
Not Enrolled - Child	3	24							3
Enrolled - Child	0	2							0
<b>Total</b>	<b>24</b>	<b>322</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>24</b>

**SECTION I: Services and Supports**

**G. Respite Utilization**

<b>STATEWIDE</b>								
Respite Center Utilization Data - FY 2016								
Baseline Data - June 2015			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	173	Under 18	104	0	0	0	104
	Over 18	654	Over 18	518	0	0	0	518
Unduplicated Number Of People Using Respite Beds	Under 18	160	Under 18	123	0	0	0	123
	Over 18	781	Over 18	736	0	0	0	736

<b>NORTH REGION</b>								
Respite Center Utilization Data - FY 2016								
Baseline Data - June 2015			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	101	Under 18	74				74
	Over 18	293	Over 18	294				294
Unduplicated Number Of People Using Respite Beds	Under 18	65	Under 18	44				44
	Over 18	236	Over 18	162				162

<b>SOUTH REGION</b>								
Respite Center Utilization Data - FY 2016								
Baseline Data - June 2015			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	51	Under 18	21				21
	Over 18	315	Over 18	209				209
Unduplicated Number Of People Using Respite Beds	Under 18	36	Under 18	21				21
	Over 18	225	Over 18	209				209

<b>WEST REGION</b>								
Respite Center Utilization Data - FY 2016								
Baseline Data - June 2015			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	21	Under 18	9				9
	Over 18	46	Over 18	15				15
Unduplicated Number Of People Using Respite Beds	Under 18	59	Under 18	58				58
	Over 18	320	Over 18	365				365

<b>STATEWIDE</b>									
<b>Respite Grant Utilization Data - FY 2016</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	488		Under 18	111	0	0	0	111
	Over 18	1019		Over 18	259	0	0	0	259
Total Respite Funds		\$2,569,914			\$725,725	\$0	\$0	\$0	\$725,725

<b>NORTH REGION</b>									
<b>Respite Grant Utilization Data - FY 2016</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	113		Under 18	26				26
	Over 18	227		Over 18	89				89
Total Respite Funds		\$816,272			\$333,929				\$333,929

<b>SOUTH REGION</b>									
<b>Respite Grant Utilization Data - FY 2016</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	185		Under 18	49				49
	Over 18	491		Over 18	129				129
Total Respite Funds		\$999,759			\$280,792				\$280,792

<b>WEST REGION</b>									
<b>Respite Grant Utilization Data - FY 2016</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	190		Under 18	36				36
	Over 18	301		Over 18	41				41
Total Respite Funds		\$753,883		Total Respite Funds	\$111,004				\$111,004

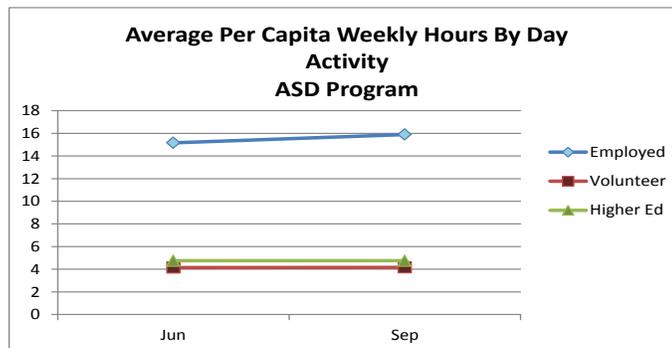
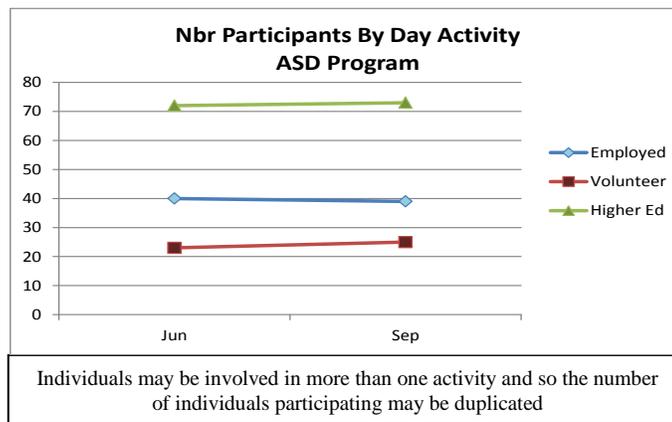
**Note:** Although the numbers of people served are unduplicated, the Respite funds represent total funds expended and possibly used for multiple respites per person.

## SECTION I: Services and Supports

### H. Autism Spectrum Disorder Program

As of September 30, 2015, 157 were served by Autism division. 94 individuals were on the Autism Spectrum Disorder (ASD) waiver. 22 individuals were on the Early Childhood Autism Waiver (ECW). There were 639 individuals on the Autism Waiting List on 9/30/15.

ServiceDesc	Budgeted Amt	Utilization @ Average Utilization	% of Budget	Consumers Participating (duplicated count as individual consumers may receive multiple services)	% of Consumers (based on unduplicated consumer count)	Agency Provided	Self Direction
Activity Fee	\$0	\$0	0.00%	0	0.00%	N/A	N/A
Background Check	\$378	\$249	0.01%	11	10.78%		X
Behavior Mgmt	\$526,069	\$347,206	17.65%	75	73.53%	X	
CDS Training	\$2,669	\$1,762	0.09%	10	9.80%		X
Community Mentor	\$695,388	\$458,956	23.33%	66	64.71%	X	
Individual Goods & Services	\$20,037	\$13,224	0.67%	62	60.78%		X
Job Coach BA	\$124,654	\$82,272	4.18%	25	24.51%	X	
Life Skills Coach	\$0	\$0	0.00%	0	0.00%	X	
Life Skills Coach BA	\$1,067,950	\$704,847	35.83%	82	80.39%	X	
Respite In Home Per Day	\$2,691	\$1,776	0.09%	4	3.92%	X	
Respite In Home Per Hour	\$40,110	\$26,473	1.35%	7	6.86%	X	
Respite Out Home Per Day	\$17,287	\$11,409	0.58%	7	6.86%	X	
Respite Out Home Per Hr	\$12,531	\$8,270	0.42%	4	3.92%	X	
SH Community Mentor	\$210,228	\$138,750	7.05%	16	15.69%		X
SH Job Coach	\$13,325	\$8,795	0.45%	2	1.96%		X
SH Life Skills Coach	\$153,004	\$100,983	5.13%	13	12.75%		X
SH Respite In Home per day	\$1,426	\$941	0.05%	1			X
SH Respite in Home per hour	\$11,136	\$7,350	0.37%	1	0.98%		X
SH Respite out of Home Day	\$3,909	\$2,580	0.13%	1	0.98%		X
Social Skills Groups	\$61,888	\$40,846	2.08%	41	40.20%	X	
Spec Driver Assessment	\$0	\$0	0.00%	0	0.00%	X	
Transporation, per mile	\$3,782	\$2,496	0.13%	3	2.94%	X	
Transportation, per trip agency	\$4,324	\$2,854	0.15%	1	0.98%	X	
Workers Comp	\$8,101	\$5,347	0.27%	7	6.86%		X



**Section II: Service Needs**

**A. Residential Needs – Emergency and Priority One Waiting List**

The tables within this section represent people with active residential needs in the following categories:

**Waiting List**

This is a group of individuals living either in their own or family home who have No Services (\$0 to \$19,999 in supports). These individuals have an active need and are designated one of two priority status levels: Emergency or Priority One.

Statewide Data			
Waiting List			
	Emerg.	Pri. 1	Total
<b>Home/IL (No Supports)</b>	19	596	<b>615</b>
DYTD	-7	-16	-23

**Other Residential Needs**

This group of individuals either receives DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting.

Statewide Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	19	<b>19</b>
CRS	3	4	<b>7</b>
CCH	2	0	<b>2</b>
Indiv Home Supp*	8	176	<b>184</b>
<b>Sub-Total</b>	<b>13</b>	<b>199</b>	<b>212</b>
DYTD	-1	22	21
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	0	<b>1</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	1	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>1</b>	<b>2</b>
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	44	<b>45</b>
Other	1	12	<b>13</b>
<b>Sub-Total</b>	<b>2</b>	<b>56</b>	<b>58</b>
<b>Total w/Sup. &amp; Service</b>	<b>16</b>	<b>256</b>	<b>272</b>
<b>Change YTD</b>	<b>-1</b>	<b>23</b>	<b>22</b>

Note: DDS is currently forming a project team to review current Waiting List/Planning List definitions to ensure that the data is clear to all stakeholders.

**Priority Status**

**Emergency**

The individual has an immediate need for residential placement, support or services.

**Priority One**

The individual/family is requesting placement within 1 year and has been determined to have the most pressing need for services

In addition these individuals belong to one of these target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and either does not receive individual supports or has a small amount (\$0-\$19,999).
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move
Olmstead	Individual who resides in a Regional Center, SNF, ICF, Nursery or a Hospital for Special Care who wants to move to a more integrated Residential Setting (as defined by the IDT).

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another

North Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	5	176	181
DYTD	2	0	2

South Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	8	206	214
DYTD	-6	-1	-7

West Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	6	214	220
DYTD	-3	-15	-18

North Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	13	13
CRS	0	4	4
CCH	1	0	1
Indiv Home Supp*	2	37	39
Sub-Total	3	54	57
DYTD	0	11	11
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	1	1
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	12	13
Other	0	3	3
Sub-Total	1	15	16
<b>Total w/Sup. &amp; Service</b>	<b>4</b>	<b>70</b>	<b>74</b>
<b>Change YTD</b>	<b>1</b>	<b>6</b>	<b>7</b>

South Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	3	3
CRS	0	0	0
CCH	1	0	1
Indiv Home Supp*	6	41	47
Sub-Total	7	44	51
DYTD	-1	5	4
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	22	22
Other	1	4	5
Sub-Total	1	26	27
<b>Total w/Sup. &amp; Service</b>	<b>9</b>	<b>70</b>	<b>79</b>
<b>Change YTD</b>	<b>0</b>	<b>9</b>	<b>9</b>

West Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	3	3
CRS	3	0	3
CCH	0	0	0
Indiv Home Supp*	0	98	98
Sub-Total	3	101	104
DYTD	0	6	6
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	10	10
Other	0	5	5
Sub-Total	0	15	15
<b>Total w/Sup. &amp; Service</b>	<b>3</b>	<b>116</b>	<b>119</b>
<b>Change YTD</b>	<b>-2</b>	<b>8</b>	<b>6</b>

**Section II: Service Needs**

**B. Residential Planning List**

Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status. Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

<b>STATEWIDE</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	808	259	<b>1067</b>
DYTD	0	-9	<b>-9</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	1	0	<b>1</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	108	8	<b>116</b>
<b>Sub-Total</b>	<b>110</b>	<b>8</b>	<b>118</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	1	0	<b>1</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>2</b>	<b>0</b>	<b>2</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	7	1	<b>8</b>
Other	3	0	<b>3</b>
<b>Sub-Total</b>	<b>10</b>	<b>1</b>	<b>11</b>
<b>Total Supports &amp; Service</b>	<b>122</b>	<b>9</b>	<b>131</b>
<b>Grand Total</b>	<b>930</b>	<b>268</b>	<b>1198</b>
<b>Change YTD</b>	<b>-7</b>	<b>-9</b>	<b>-16</b>

<b>NORTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	270	92	<b>362</b>
DYTD	0	-3	<b>-3</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	47	0	<b>47</b>
<b>Sub-Total</b>	<b>47</b>	<b>0</b>	<b>47</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	1	0	<b>1</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	4	0	<b>4</b>
Other	2	0	<b>2</b>
<b>Sub-Total</b>	<b>6</b>	<b>0</b>	<b>6</b>
<b>Total Supports &amp; Service</b>	<b>54</b>	<b>0</b>	<b>54</b>
<b>Grand Total</b>	<b>324</b>	<b>92</b>	<b>416</b>
<b>Change YTD</b>	<b>-4</b>	<b>-3</b>	<b>-7</b>

<b>SOUTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	321	92	<b>413</b>
DYTD	-3	-3	<b>-6</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	42	1	<b>43</b>
<b>Sub-Total</b>	<b>42</b>	<b>1</b>	<b>43</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	3	0	<b>3</b>
Other	1	0	<b>1</b>
<b>Sub-Total</b>	<b>4</b>	<b>0</b>	<b>4</b>
<b>Total Supports &amp; Service</b>	<b>47</b>	<b>1</b>	<b>48</b>
<b>Grand Total</b>	<b>368</b>	<b>93</b>	<b>461</b>
<b>Change YTD</b>	<b>-4</b>	<b>-3</b>	<b>-7</b>

<b>WEST REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	217	75	<b>292</b>
DYTD	3	-3	<b>0</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	1	0	<b>1</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	19	7	<b>26</b>
<b>Sub-Total</b>	<b>21</b>	<b>7</b>	<b>28</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	0	1	<b>1</b>
Other	0	0	<b>0</b>
<b>Sub-Total</b>	<b>0</b>	<b>1</b>	<b>1</b>
<b>Total Supports &amp; Service</b>	<b>21</b>	<b>8</b>	<b>29</b>
<b>Grand Total</b>	<b>238</b>	<b>83</b>	<b>321</b>
<b>Change YTD</b>	<b>1</b>	<b>-3</b>	<b>-2</b>

**Section II: Service Needs**

**C. Future Planning Ageouts and High School Graduates**

**Residential Ageouts**

<b>STATEWIDE</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	1
FY 2016	44
FY 2017	88

<b>NORTH REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	1
FY 2016	17
FY 2017	34

<b>SOUTH REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	0
FY 2016	14
FY 2017	29

<b>WEST REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	0
FY 2016	13
FY 2017	25

**Grads and Day Ageouts**

<b>STATEWIDE</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	8	0
<b>FY 2016</b>	107	12
<b>FY 2017</b>	284	105

<b>NORTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	8	0
<b>FY 2016</b>	36	5
<b>FY 2017</b>	98	38

<b>SOUTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	0	0
<b>FY 2016</b>	26	4
<b>FY 2017</b>	83	31

<b>WEST REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	0	0
<b>FY 2016</b>	45	3
<b>FY 2017</b>	103	36

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2017).

**Section III: New Development Goals and Support Activity**

**A. +Residential Waiting List Activities and Residential Ageouts**

<b>FY 16 - First Quarter Report</b> <b>Waiting List Activities</b> Service Activity July 1, 2015 - June 30, 2016	
<b>Residential Waiting List</b> <b>Funding and Service Activity</b>	
<b>North Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	6
Move Utilizing Portable Funding	18
<b>South Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	9
Move Utilizing Portable Funding	14
<b>West Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	8
Move Utilizing Portable Funding	13
<b>Statewide</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	<b>23</b>
Move Utilizing Portable Funding	<b>45</b>

<b>Residential AgeOuts</b>			
<b>North Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY16 AO Funds	35	12	-23
<b>Total</b>		12	
<b>South Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY16 AO Funds	44	14	-30
<b>Total</b>		14	
<b>West Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY16 AO Funds	31	17	-14
<b>Total</b>		17	
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY16 AO Funds	110	43	-67
<b>Grand Total</b>	110	43	-67

**Section III: New Development Goals and Support Activity**

**B. Underserved Consumers Receiving Annualized Residential Supports**

**C. Day AgeOuts and High School Grads**

**Annualized Resources For Underserved Consumers**

Annualized Resources Provided To Underserved Consumers During Quarter

<b>Annualized Resources For Underserved Consumers</b>			
	<b>Number</b>	<b>Total*</b>	<b>Pct</b>
<b>North Region</b>	<b>19</b>	<b>73</b>	26%
<b>South Region</b>	<b>5</b>	<b>53</b>	9%
<b>West Region</b>	<b>2</b>	<b>107</b>	2%
<b>Statewide</b>	<b>26</b>	<b>233</b>	11%

Includes only consumers who are Priority E or 1 on PRAT Database

\*Total represents all Pri E/1 underserved consumers active during the reporting quarter

<b>High School Graduates and Age Out* Funding</b>			
<b>North Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2015 Grads Served w/FY16 Grad Funds	94	52	-42
June 2015 Age Outs Served w/FY16 Grad Funds	29	26	-3
<b>Total</b>	123	78	-45
<b>South Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2015 Grads Served w/FY16 Grad Funds	120	76	-44
June 2015 Age Outs Served w/FY16 Grad Funds	42	42	0
<b>Total</b>	162	118	-44
<b>West Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2015 Grads Served w/FY16 Grad Funds	104	59	-45
June 2015 Age Outs Served w/FY16 Grad Funds	28	23	-5
<b>Total</b>	132	82	-50
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2015 Grads Served w/FY16 Grad Funds	318	187	-131
June 2015 Age Outs Served w/FY16 Grad Funds	99	91	-8
<b>Grand Total</b>	417	278	-139

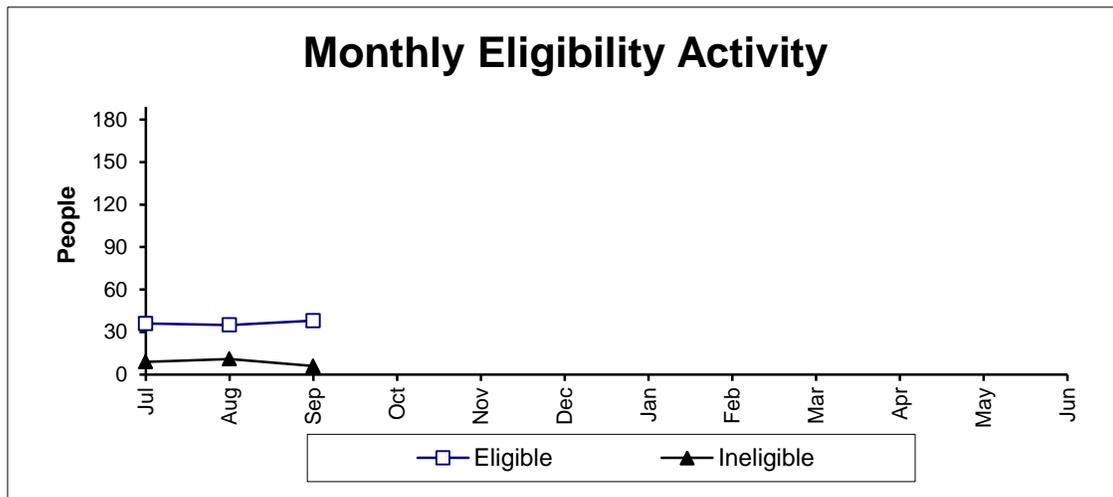
\*Individuals Aging out of DCF and LEA Services

**Section IV: Eligibility**

Eligibility Determinations Cumulative For All Four Quarters -- FY 16 July 1, 2015- June 30, 2016					
There Were 135 Eligibility Determinations Cumulative Through The 1st Quarter -- FY 16 July 1, 2015- June 30, 2016					
Age Range	Total	Eligible	% Eligible of Total Eligible	Ineligible	% Ineligible of Total Ineligible
0-2.9	3	3	2.75%	0	0.00%
3-4.9	9	7	6.42%	2	7.69%
5-7.9	9	8	7.34%	1	3.85%
8-17.9	66	54	49.54%	12	46.15%
18-20.9	20	18	16.51%	2	7.69%
21-29	14	10	9.17%	4	15.38%
30-39	4	3	2.75%	1	3.85%
40-49	4	3	2.75%	1	3.85%
50-59	4	3	2.75%	1	3.85%
60-69	2	0	0.00%	2	7.69%
70-79	0	0	0.00%	0	0.00%
80+	0	0	0.00%	0	0.00%
<b>Totals*</b>	<b>135</b>	<b>109</b>	<b>80.74%</b>	<b>26</b>	<b>19.26%</b>

\* Percent of Eligible and Ineligible represents percent of Total Eligibility Determinations of 135

Note: In previous reports, the number of eligibility referrals and determinations were reported. A change in reporting has occurred. The data in this section represents information from ecamris on September 30th, 2015. Individuals categorized as eligible had an active EligibilityStatus code and an EligibilityStatusDate in FY16. Ineligible individuals had an inactive EligibilityStatus code and an EligibilityStatusDate in FY16.



**Section V: Case Load by Age**  
**A. Residential**

**DDS CONSUMERS BY RESIDENTIAL PROGRAM AND AGE**  
**September 2015 Consumer Data**

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	21	0	0	0	0	0	0	2	0	0	23	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	135	0	1	0	0	0	0	2	1	0	139	1%
6-13	0	0	3	0	2	0	11	0	0	0	0	1,010	46	61	0	0	5	0	3	1	1	1,143	7%
14-17	0	0	18	0	3	1	15	0	0	1	0	871	75	118	0	0	19	0	3	5	4	1,133	7%
18-21	1	0	104	1	42	6	21	2	0	3	0	1,174	78	129	0	0	25	0	4	13	2	1,605	10%
22-34	17	17	545	47	291	66	11	136	5	201	80	2,470	152	320	5	0	23	3	7	9	14	4,419	27%
35-44	28	36	445	58	118	58	2	81	12	158	65	708	76	175	11	2	3	2	6	4	3	2,051	13%
45-54	112	119	735	109	133	101	0	69	40	228	55	487	68	82	45	4	0	0	5	3	2	2,397	15%
55-64	128	114	703	84	94	73	0	71	58	194	36	264	47	54	95	8	6	1	2	5	1	2,038	12%
65-74	121	35	366	51	34	54	0	38	34	71	14	68	5	18	92	9	1	0	1	2	3	1,017	6%
75+	43	8	116	14	11	15	0	12	12	23	3	14	2	0	103	10	0	0	0	1	0	387	2%
<b>TOTAL</b>	<b>450</b>	<b>329</b>	<b>3,035</b>	<b>364</b>	<b>728</b>	<b>374</b>	<b>60</b>	<b>409</b>	<b>161</b>	<b>879</b>	<b>253</b>	<b>7,222</b>	<b>549</b>	<b>958</b>	<b>351</b>	<b>33</b>	<b>82</b>	<b>6</b>	<b>35</b>	<b>44</b>	<b>30</b>	<b>16,352</b>	<b>100%</b>
<b>PERCENT</b>	<b>3%</b>	<b>2%</b>	<b>19%</b>	<b>2%</b>	<b>4%</b>	<b>2%</b>	<b>0%</b>	<b>3%</b>	<b>1%</b>	<b>5%</b>	<b>2%</b>	<b>44%</b>	<b>3%</b>	<b>6%</b>	<b>2%</b>	<b>0%</b>	<b>1%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>100%</b>	

**Sub-Totals by Age Groups**

Age Group	CAMPUS	DDS CLA	PRIV. CLA	PRIV. CLA ICF	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
<b>Children (Age 0-17)</b>	0	0	21	0	5	1	26	0	0	1	0	2,037	121	180	0	0	24	0	10	7	5	2,438	15%
<b>Young Adults (Age 18-21)</b>	1	0	104	1	42	6	21	2	0	3	0	1,174	78	129	0	0	25	0	4	13	2	1,605	10%
<b>Adults (Age 22 and Over)</b>	449	329	2,910	363	681	367	13	407	161	875	253	4,011	350	649	351	33	33	6	21	24	23	12,309	75%
<b>Total Percent</b>	450	329	3,035	364	728	374	60	409	161	879	253	7,222	549	958	351	33	82	6	35	44	30	16,352	0%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit  
 DDS CLA (CLA) = DDS Operated Community Living Arrangement  
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement  
 Priv. CLA ICF = Non-DDS Operated Com. Living Arrangement licensed ICF/ID  
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)  
 DDS Lic. CCH (CCH) = DDS licensed community companion home  
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH ( DCF foster home,  
 Ind. Liv. (IL) = Independent Living  
 DDS Home IHS = DDS Individual Support in Own Home  
 Priv. Home IHS = Private Individual Support in Own Home  
 Slf Dir Home IHS = Self Directed Individual Support in Own Home  
 Family Home (FAM) = Family Home

Pvt Fam IHS = Private Individual Support in Family Home  
 Slf Dir Fam IHS = Self Directed Individual Support in Family Home  
 SNF (SNF) = Skilled Nursing Facility\*  
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)\*  
 Priv. Res. Sch. (SCR) = Private Residential School  
 MH Fac. (MH) = Mental Health Facility  
 Hos. (HOS) = Hospital Facility  
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)

\* Long Term Care Facility (Licensed by the Dept. of Health Services)

Section V: Case Load by Age

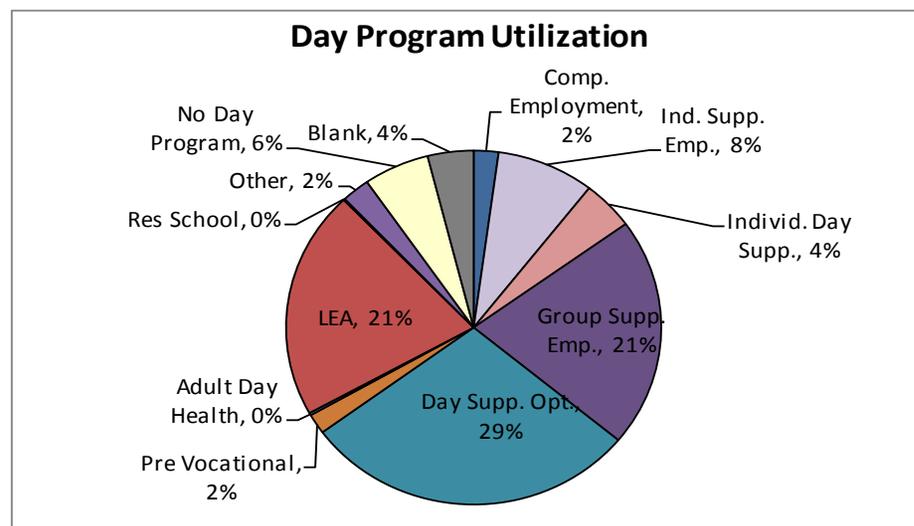
**B. Day/Work Services**

**DDS CONSUMERS BY DAY PROGRAM AND AGE**

Day Type	Age											TOTAL
	0-2	3-5	6-13	14-17	18-21	22-34	35-44	45-54	55-64	65-74	75+	
Competitive Employment	0	0	0	0		74	96	92	84	5	33	384
Indiv Supp Emp	0	0	0	0	24	639	204	220	216	69	141	1513
Individ Day Supp	0	0	0	0	12	362	182	141	95	1	14	807
Group Supp Emp	0	0	0	0	81	1576	705	764	475	22	135	3758
Day Supp Option	0	0	0	0	133	1614	697	998	988	188	580	5198
Pre-Vocational	0	0	0	0	0	60	56	85	90	11	27	329
Adult Day Health	0	0	0	0	0	1	1	3	15	10	10	40
LEA	0	176	1108	1112	1348	0	0	0	0	0	0	3744
Res School	0	0	5	7	11	0	0	0	0	0	0	23
Other	19	28	58	22	16	253	20	9	7	2	2	436
No Program	0	0	3	4	8	284	189	195	160	75	101	1019
Blank	6	18	70	39	71	250	86	51	58	39	22	710
<b>TOTAL</b>	<b>25</b>	<b>222</b>	<b>1244</b>	<b>1184</b>	<b>1704</b>	<b>5113</b>	<b>2236</b>	<b>2558</b>	<b>2188</b>	<b>422</b>	<b>1065</b>	<b>17961</b>

**DDS Consumers by Day Program (Percentage)**

Day Type	Pct
Comp. Employment	2%
Ind. Supp. Emp.	8%
Individ. Day Supp.	4%
Group Supp. Emp.	21%
Day Supp. Opt.	29%
Pre Vocational	2%
Adult Day Health	0%
LEA	21%
Res School	0%
Other	2%
No Day Program	6%
Blank	4%
<b>TOTAL</b>	<b>100%</b>



Note: The above numbers represent all of the day programs an individual attends.

## Section VI: Home and Community Based Waiver

## A. Enrollment

## HCBS Waiver Enrollees - Intellectual Disability

Region	DDS	Private	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports			Total
	CLA	CLA						RCH	Other		
North	169	1,023	278	123	854	424	40	363	6	24	3,304
South	137	923	220	145	872	487	51	546	5	20	3,406
West	16	942	187	96	818	523	52	338	3	35	3,010
<b>Sept 15 Total</b>	<b>322</b>	<b>2,888</b>	<b>685</b>	<b>364</b>	<b>2,544</b>	<b>1,434</b>	<b>143</b>	<b>1,247</b>	<b>14</b>	<b>79</b>	<b>9,720</b>
June 2015	324	2,885	675	367	2,488	1,414	136	1,234	13	66	9,602
<b>ΔYTD</b>	<b>-2</b>	<b>3</b>	<b>10</b>	<b>-3</b>	<b>56</b>	<b>20</b>	<b>7</b>	<b>13</b>	<b>1</b>	<b>13</b>	<b>118</b>

## Comprehensive Waiver Enrollees

Region	DDS	Private	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports			Total
	CLA	CLA						RCH	Other		
North	169	1,018	268	81	16	92	4	186	2	14	1,850
South	136	919	204	118	12	108	3	242	3	11	1,756
West	16	939	175	67	18	80	5	126	2	20	1,448
<b>Sept 15 Total</b>	<b>321</b>	<b>2,876</b>	<b>647</b>	<b>266</b>	<b>46</b>	<b>280</b>	<b>12</b>	<b>554</b>	<b>7</b>	<b>45</b>	<b>5,054</b>
June 2015	324	2,878	632	271	43	275	18	542	5	35	5,023
<b>ΔYTD</b>	<b>-3</b>	<b>-2</b>	<b>15</b>	<b>-5</b>	<b>3</b>	<b>5</b>	<b>-6</b>	<b>12</b>	<b>2</b>	<b>10</b>	<b>31</b>

## Individual and Family Support Waiver Enrollees

Region	DDS	Private	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports			Total
	CLA	CLA						RCH	Other		
North	0	5	10	42	602	329	25	177	3	10	1,203
South	0	4	16	27	629	367	20	303	1	8	1,375
West	0	3	12	29	564	439	28	210	1	13	1,299
<b>Sept 15 Total</b>	<b>0</b>	<b>12</b>	<b>38</b>	<b>98</b>	<b>1,795</b>	<b>1,135</b>	<b>73</b>	<b>690</b>	<b>5</b>	<b>31</b>	<b>3,877</b>
June 2015	0	7	43	94	1,803	1,124	72	688	6	27	3,864
<b>ΔYTD</b>	<b>0</b>	<b>5</b>	<b>-5</b>	<b>4</b>	<b>-8</b>	<b>11</b>	<b>1</b>	<b>2</b>	<b>-1</b>	<b>4</b>	<b>13</b>

## Employment and Day Support Waiver Enrollees

Region	DDS	Private	CRS*	CCH	Family Home	Family Home w/ Supports	Own Home	Own Home w/ Supports			Total
	CLA	CLA						RCH	Other		
North	0	0	0	0	236	3	11	0	1	0	251
South	1	0	0	0	231	12	28	1	1	1	275
West	0	0	0	0	236	4	19	2	0	2	263
<b>Sept 15 Total</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>703</b>	<b>19</b>	<b>58</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>789</b>
June 2015	0	0	0	2	642	15	46	4	2	4	715
<b>ΔYTD</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>-2</b>	<b>61</b>	<b>4</b>	<b>12</b>	<b>-1</b>	<b>0</b>	<b>-1</b>	<b>74</b>

Data Source: CAMRIS 9/15

**NOTE 1:** IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.**NOTE 2:** EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

HCBS Waiver Enrollees - Autism

	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
June 15 Statewide Total	0	0	0	0	104	11	0	1	116

Autism Spectrum Disorder Waiver

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
June 15 Statewide Total	0	0	0	0	82	11	0	1	94

Early Childhood Autism Waiver

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
June 15 Statewide Total	0	0	0	0	22	0	0	0	22

Data Source: CAMRIS 9/15

**NOTE 1:** Individuals with Autism Spectrum Disorder are served across the state. A breakout by Residential Type by Region is unavailable due to database restrictions.

## Section VI: Home and Community Based Waiver

## B. Federal Revenue

Actual v. Projected Revenue - FY 2016 First Quarter					
(Millions of Dollars)					
	SFY 15	SFY 16	SFY 16	SFY 16	
	Actual Revenue	EOY Projected	YTD Actual Revenue *	Difference Projected vs Actual	% of Revenue Received
Waiver	\$374.62	\$450.77	\$132.69	\$318.08	29.44%
ICF/ID	\$94.41	\$64.95	\$16.20	\$48.75	24.94%
TCM	\$7.41	\$10.95	\$3.68	\$7.27	33.61%
TOTAL Billing	\$476.44	\$526.67	\$152.57	\$374.10	28.97%
Actual v. Projected Revenue - FY 2016 First Quarter					
(Millions of Dollars)					
	SFY 15	SFY 16	SFY 16	SFY 16	
	Actual Revenue	EOY Projected	YTD Actual Revenue *	Difference Projected vs Actual	% of Revenue Received
Autism Waiver	\$0.38	\$0.32	\$0.08	\$0.24	25.00%
Early Childhood Autism Waiver					
Comp Waiver	\$316.99	\$379.07	\$111.85	\$267.22	29.51%
IFS Waiver	\$52.06	\$62.62	\$18.17	\$44.45	29.02%
EDS Waiver	\$5.18	\$7.92	\$2.38	\$5.54	30.05%
Money Follows the Person COMP	\$0.01	\$0.85	\$0.21	\$0.64	24.71%
Total Waiver	\$374.62	\$450.78	\$132.69	\$318.09	29.44%
Public ICF/ID	\$94.41	\$64.95	\$16.20	\$48.75	24.94%
Targeted Case Mgmt.	\$7.41	\$10.95	\$3.68	\$7.27	33.61%
TOTAL Billing	\$476.44	\$526.68	\$152.57	\$374.11	28.97%
* FY 2014 claiming for ICF/IID has been changed per CMS request to remove admin load. Admin claim will be separate from service claim.					

Section VII: Human Resource

A. DDS funded Position Count

<b>Permanent Full Time Position Count (General Funds)</b>							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	746	578	16	11	0	141	
South	682	598	13	26	2	45	
West	595	496	5	16	0	78	
STS	1155	699	6	48	0	402	
Central Office	142	134	1	0	0	7	
<b>Totals</b>	<b>3320</b>	<b>2505</b>	<b>41</b>	<b>101</b>	<b>2</b>	<b>673</b>	

<b>Permanent Part Time Position Count (General Funds)</b>							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	340	219	4	3	0	114	
South	305	216	7	7	0	75	
West	224	158	1	7	0	58	
STS	201	126	1	5	0	69	
Central Office	0	0	0	0	0	0	
<b>Totals</b>	<b>1070</b>	<b>719</b>	<b>13</b>	<b>22</b>	<b>0</b>	<b>316</b>	

<b>Other General Fund Positions - Filled</b>							
Type	North	South	West	STS	CO	Total	
Temporary	10	23	2	0	0	35	
General Workers	29	17	5	74	0	125	
Substitutes	0	0	0	0	0	0	
Retirees	1	0	0	0	1	2	
Inst. Fire	0	0	0	1	0	1	
Per Diems	17	8	5	3	6	39	

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

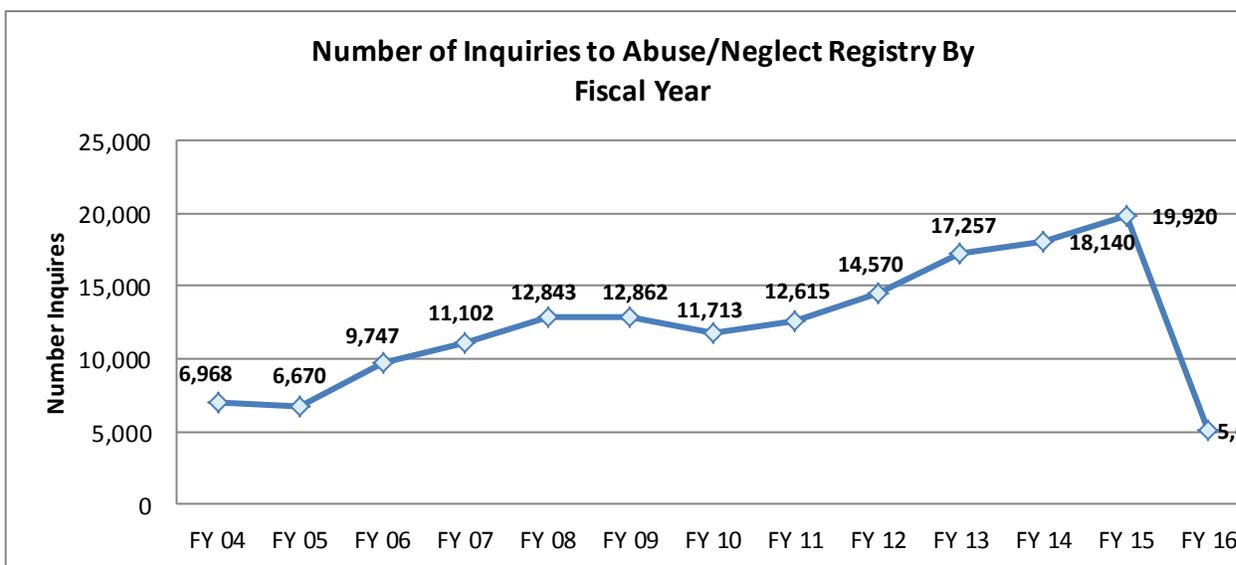
**DDS Abuse and Neglect Registry Quarterly Report**

**July 1, 2015-September 30, 2015**

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	22	22	100%	0	0%	0	0%
Notifications as of 6/30/15	975	828	85%	145	15%	2	0%
Total Cumulative Notifications *	997	850	85%	145	15%	2	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	55	54	98%	1	2%	0	0%
Names on Registry as of 6/30/15	345	309	90%	35	10%	1	0%
Closed - Arbitration & Legal Proceedings	93	28	30%	69	74%	0	0%
Closed - Do not meet Statutory Criteria	435	391	90%	56	13%	0	0%
Total Completed Cases*	928	782	84%	161	17%	1	0%

\*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
5,169	5,084