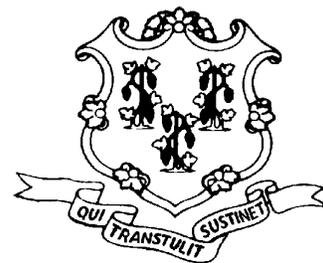




STATE OF CONNECTICUT
DEPARTMENT OF DEVELOPMENTAL SERVICES



M.I.R.

Management Information Report

September 2009

Issued

December 11, 2009

by DDS Waiver Policy and Planning

DDS Management Information Report

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SECTION I: Services and Supports

September 2009

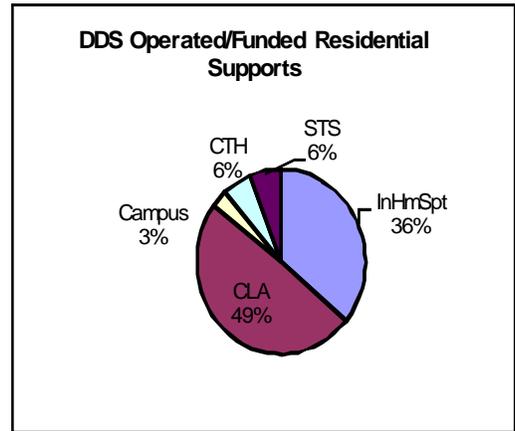
A. Where People Live and How They Are Supported

STATEWIDE

Total Clients: 15,455 **ΔYTD: +65**
 (includes all active DDS clients)

Total Served: 20,736 **ΔYTD: +339**
 (Includes active clients plus 5,346 non-DDS clients in Birth to Three programs)

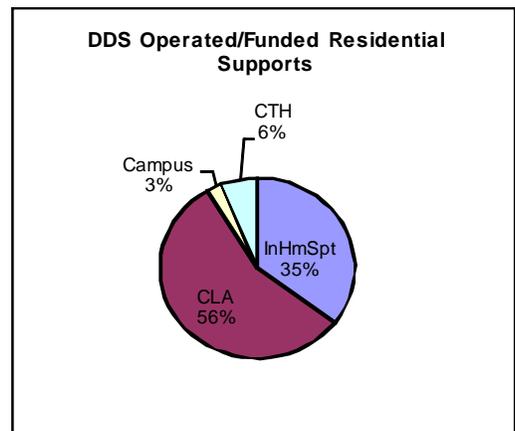
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	6,487		529	788	7,804	50.5%
Own Home (IL)**	273	329	956	175	1,733	11.2%
Sub-Total	6,760	329	1,485	963	9,537	61.7%
% Total	70.9%	3.4%	15.6%	10.1%	100%	
DDS Operated/Funded						
STS		472			472	3.1%
DDS Centers		240			240	1.6%
CLA		531	3,256		3,787	24.5%
CRS			227			
CTH			417		417	2.7%
Sub-Total		1,243	3,900		5,143	31.8%
% Total		24.2%	75.8%		100%	
Other State Agencies						
DMHAS			5		5	0.0%
DOC			9		9	0.1%
DCFCTO			88		88	0.6%
Sub-Total			102		102	0.7%
Other						
LTC/SNF/RCH (HA)			416		416	2.7%
Res. Schools			124		124	0.8%
Other			95		95	0.6%
Sub-Total			635		635	4.1%
Blank			38		38	0.2%
Grand Total	6,760	1,572	6,160	963	15,455	98.5%



NORTH REGION

Total Clients: 5,224 **ΔYTD: +24**
 (includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	2,275		217	213	2,705	51.8%
Own Home (IL)**	112	111	305	32	560	10.7%
Sub-Total	2,387	111	522	245	3,265	62.5%
% Total	73.1%	3.4%	16.0%	7.5%	100.0%	
DDS Operated/Funded						
DDS Centers		60			60	1.1%
CLA		255	1,141		1,396	26.7%
CRS			93			
CTH			151		151	2.9%
Sub-Total		315	1,385	0	1,700	30.8%
% Total		18.5%	81.5%	0.0%	100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			5		5	0.1%
DCFCTO			28		28	0.5%
Sub-Total			34	0	34	0.7%
Other						
LTC/SNF/RCH (HA)			122		122	2.3%
Res. Schools			39		39	0.7%
Other			45		45	0.9%
Subtotal			206		206	3.9%
Blank			19		19	0.4%
Grand Total	2,387	426	2,166	245	5,224	100.0%



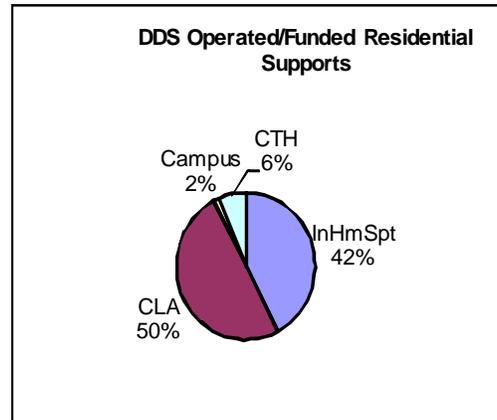
*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,825 **ΔYTD: +35**
 (includes all active DDS clients)

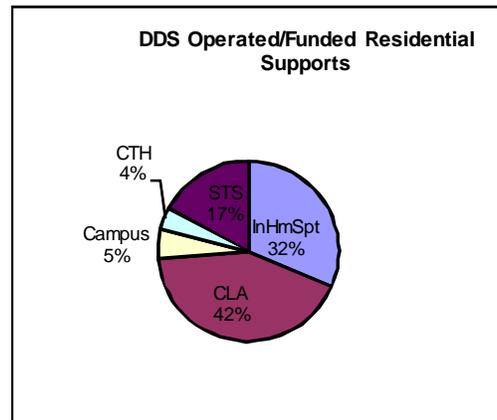
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	1,998		154	264	2,416	50.1%
Own Home (IL)**	71	124	401	87	683	14.2%
Sub-Total	2,069	124	555	351	3,099	64.2%
% Total	66.8%	4.0%	17.9%	11.3%	100.0%	
DDS Operated/Funded						
DDS Centers		33			33	0.7%
CLA		201	1,021		1,222	25.3%
CRS			69			
CTH			153		153	3.2%
Sub-Total		234	1,243		1,477	29.2%
% Total		15.8%	84.2%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			3		3	0.1%
DCFC TO			37		37	0.8%
Sub-Total			41		41	0.8%
Other						
LTC/SNF/RCH (HA)			147		147	3.0%
Res. Schools			37		37	0.8%
Other			17		17	0.4%
Sub-total			201		201	4.2%
Blank			7		7	0.1%
Grand Total	2,069	358	2,047	351	4,825	100.0%



WEST REGION

Total Clients: 5,406 **ΔYTD: +6**
 (includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	2,214		158	311	2,683	49.6%
Own Home (IL)**	90	94	250	56	490	9.1%
Sub-Total	2,304	94	408	367	3,173	58.7%
% Total	72.6%	3.0%	12.9%	11.6%	100%	
DDS Operated/Funded						
STS		472			472	8.7%
DDS Centers		147			147	2.7%
CLA		75	1,094		1,169	21.6%
CRS			65			
CTH			113		113	2.1%
Sub-Total		694	1,272		1,966	35.2%
% Total		35.3%	64.7%		100%	
Other State Agencies						
DMHAS			3		3	0.1%
DOC			1		1	0.0%
DCFC TO			23		23	0.4%
Sub-Total			27		27	0.5%
Other						
LTC/SNF/RCH (HA)			147		147	2.7%
Res. Schools			48		48	0.9%
Other			33		33	0.6%
Sub-total			228		228	4.2%
Blank			12		12	0.2%
Grand Total	2,304	788	1,947	367	5,406	100.0%



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports
B. Work and Day Services
STATEWIDE

September 2009

NORTH REGION

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	%Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		1,037	8	125	1,170	7.6%
Group Supp. Emp.		3,203	134		3,337	21.6%
Sheltered Emp.		426	33		459	3.0%
Day Supp. Opt.		3,692	403	90	4,185	27.1%
Comp. Employment	399				399	2.6%
Other		75	4		79	0.5%
Sub-Total	399	8,433	582	215	9,629	62.3%
% Total	4.1%	87.6%	6.0%	2.2%	100.0%	
Educational and Developmental Services						
LEA		3,990			3,990	25.8%
Res School		24			24	0.2%
Birth to Three		30	6		36	0.2%
Other		74	59		133	0.9%
Sub-Total		4,118	65		4,183	27.1%
Other						
No Day Program Needed	1,134				1,134	7.3%
Blanks	509				509	3.3%
Sub-Total	1,643				1,643	10.6%
Grand Total	2,042	12,551	647	215	15,455	100.0%

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	%Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		315	8	18	341	6.5%
Group Supp. Emp.		1,160	24		1,184	22.7%
Sheltered Emp.		137	12		149	2.9%
Day Supp. Opt.		1,180	88	39	1,307	25.0%
Comp. Employment	141				141	2.7%
Other		34	1		35	0.7%
Sub-Total	141	2,826	133	57	3,157	60.4%
% Total	4.5%	89.5%	4.2%	1.8%	100.0%	
Educational and Developmental Services						
LEA		1,480			1,480	28.3%
Res School		7			7	0.1%
Birth to Three		23	0		23	0.4%
Other		52	18		70	1.3%
Sub-Total		1,562	18		1,580	30.2%
Other						
No Day Program Needed	358				358	6.9%
Blanks	129				129	2.5%
Sub-Total	487				487	9.3%
Grand Total	628	4,388	151	57	5,224	100.0%

SOUTH REGION

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	%Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		399		52	451	9.3%
Group Supp. Emp.		1,084	24		1,108	23.0%
Sheltered Emp.		97			97	2.0%
Day Supp. Opt.		1,244	22	26	1,292	26.8%
Comp. Employment	119				119	2.5%
Other		11	1		12	0.2%
Sub-Total	119	2,835	47	78	3,079	63.8%
% Total	3.9%	92.1%	1.5%	2.5%	100.0%	
Educational and Developmental Services						
LEA		1,254			1,254	26.0%
Res School		9			9	0.2%
Birth to Three			6		6	0.1%
Other		3	23		26	0.5%
Sub-Total		1,266	29		1,295	26.8%
Other						
No Day Program Needed	325				325	6.7%
Blanks	126				126	2.6%
Sub-Total	451				451	9.3%
Grand Total	570	4,101	76	78	4,825	100.0%

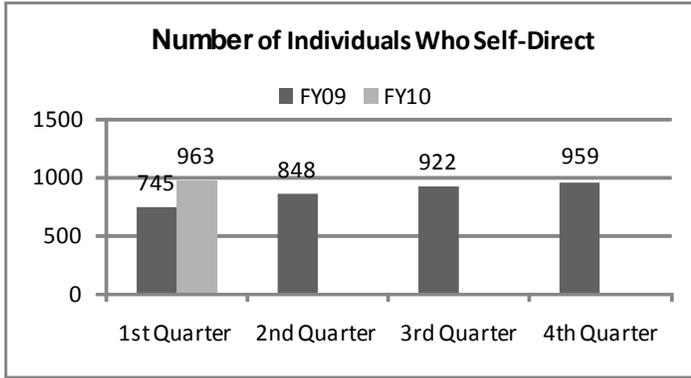
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	%Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		323		55	378	7.0%
Group Supp. Emp.		959	86		1,045	19.3%
Sheltered Emp.		192	21		213	3.9%
Day Supp. Opt.		1,268	293	25	1,586	29.3%
Comp. Employment	139				139	2.6%
Other		30	2		32	0.6%
Sub-Total	139	2,772	402	80	3,393	62.8%
% Total	4.1%	81.7%	11.8%	2.4%	100.0%	
Educational and Developmental Services						
LEA		1,256			1,256	23.2%
Res School		8			8	0.1%
Birth to Three		7	0		7	0.1%
Other		19	18		37	0.7%
Sub-Total		1,290	18		1,308	24.2%
Other						
No Day Program Needed	451				451	8.3%
Blanks	254				254	4.7%
Sub-Total	705				705	13.0%
Grand Total	844	4,062	420	80	5,406	100.0%

SECTION I: Support Services

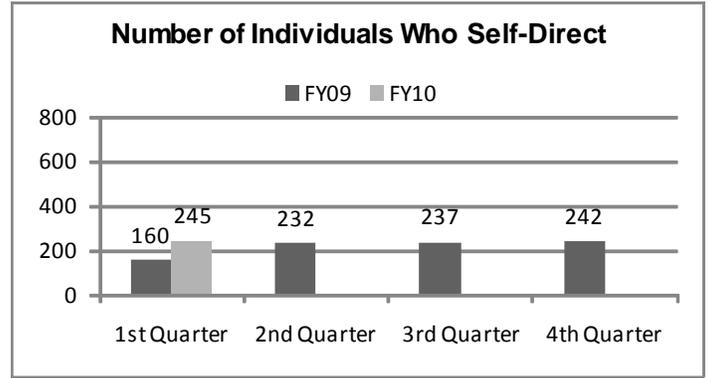
September 2009

- C: Number of Individuals Who Self-Direct**
- D: Birth to Three Services**
- E: Case Management Breakdown – Active Consumers**

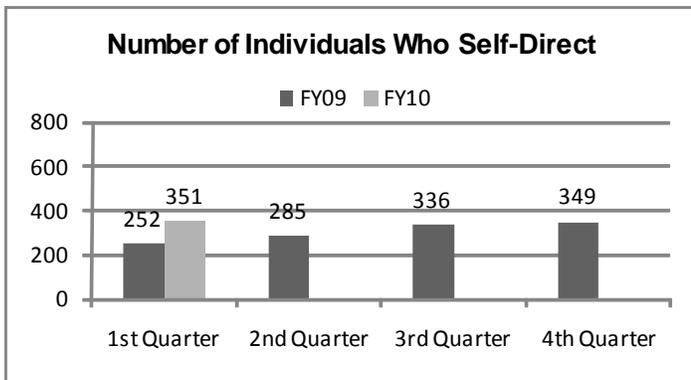
STATEWIDE



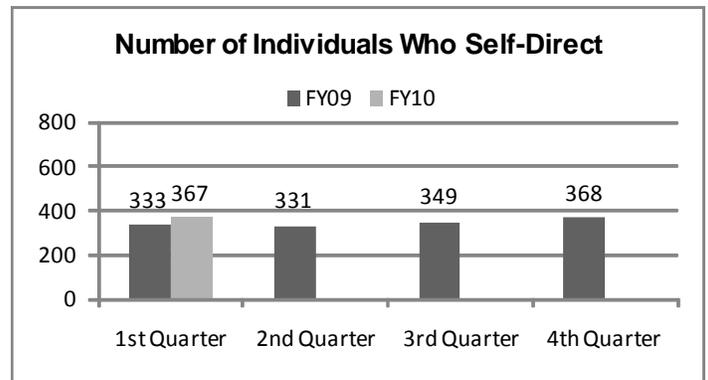
NORTH REGION



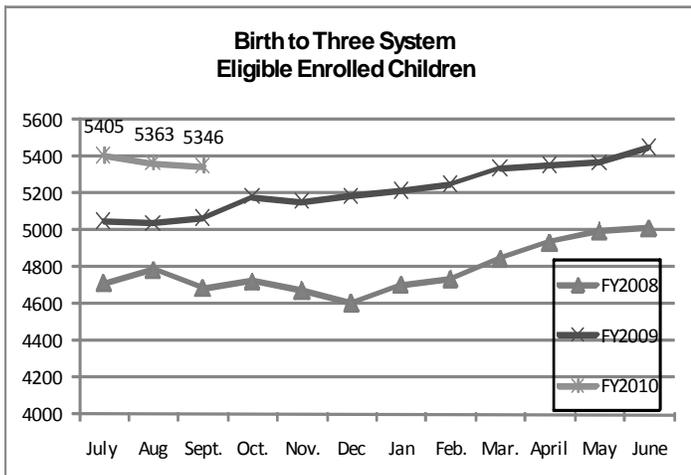
SOUTH REGION



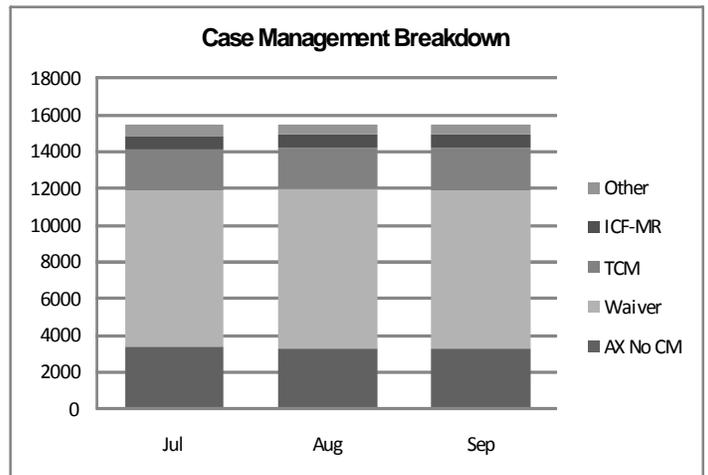
WEST REGION



Birth to Three System Eligible Enrolled Children



Case Management Breakdown



Section II: Service Needs

September 2009

A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	18	482	500
□YTD	-3	21	18
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	121	122
CRS	1	9	
CTH	4	4	8
Indiv Home Supp*	6	112	118
Sub-Total	12	246	248
□YTD	0	-35	-35
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	1	0	1
DCF/CTO	0	0	0
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	51	51
Other	1	17	18
Sub-Total	1	68	69
Total w/Sup. & Service	14	315	329
Grand Total	32	797	829
Change YTD	-3	-14	-17

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	9	141	150
YTD	0	4	4
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	29	29
CRS	0	9	
CTH	2	2	4
Indiv Home Supp*	3	43	46
Sub-Total	5	83	79
YTD	1	-27	-26
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	1	0	1
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	16	16
Other	0	8	8
Sub-Total	0	24	24
Total w/Sup. & Service	6	107	113
Grand Total	15	248	263
Change YTD	0	-24	-24

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	3	166	169
□YTD	0	6	6
DMR Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	48	48
CRS	0	0	0
CTH	1	0	1
Indiv Home Supp*	0	39	39
Sub-Total	1	87	88
□YTD	0	-13	-13
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	18	18
Other	0	3	3
Sub-Total	0	21	21
Total w/Sup. & Service	1	109	110
Grand Total	4	275	279
Change YTD	0	-4	-4

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	6	175	181
YTD	-3	11	8
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	44	45
CRS	1	0	1
CTH	1	2	3
Indiv Home Supp*	3	30	33
Sub-Total	6	76	82
YTD	-1	5	4
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	1	6	7
Sub-Total	1	23	24
Total w/Sup. & Service	7	99	106
Grand Total	13	274	287
Change YTD	-3	14	11

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
B. Residential Planning List

September 2009

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	1057	430	1487
YTD	2	1	3
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	13	1	14
CTH	4	2	6
Indiv Home Supp*	30	2	32
Sub-Total	47	5	52
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	3	7
Other	8	3	11
Sub-Total	12	6	18
Total Supports & Service	61	11	72
Grand Total	1118	441	1559
Change YTD	-3	0	-3

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	397	174	571
YTD	-3	1	-2
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	7	0	7
CTH	3	0	3
Indiv Home Supp*	17	1	18
Sub-Total	27	1	28
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	5	1	6
Sub-Total	8	2	10
Total Supports & Service	35	3	38
Grand Total	432	177	609
Change YTD	-4	1	-3

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	420	140	560
YTD	2	2	4
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	4	1	5
CTH	1	2	3
Indiv Home Supp*	9	0	9
Sub-Total	14	3	17
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	1	0	1
Other	2	0	2
Sub-Total	3	0	3
Total Supports & Service	18	3	21
Grand Total	438	143	581
Change YTD	-4	0	-4

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	240	116	356
YTD	3	-2	1
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	0	0	0
Indiv Home Supp*	4	1	5
Sub-Total	6	1	7
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	0	2	2
Other	1	2	3
Sub-Total	1	4	5
Total Supports & Service	8	5	13
Grand Total	248	121	369
Change YTD	5	-1	4

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
C. Day Services Waiting List

September 2009

STATEWIDE			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	111	111
Out of Home	1	26	27
Total no supports	1	137	138
□YTD	0	1	1
Transition (Planned Placements)			
June 2009 Grads (Home)	0	176	176
June 2009 Ageouts (DCF, LEA, ISA funded)	0	53	53
Total	0	229	229
DDS Funded/Operated Supports*			
DDS Programs	0	2	2
Private Programs	0	85	85
Total	0	87	87
□YTD	0	14	14

NORTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	51	51
Out of Home	1	12	13
Total no supports	1	63	64
□YTD	0	0	0
Transition (Planned Placements)			
June 2009 Grads (Home)	0	85	85
June 2009 Ageouts (DCF, LEA, ISA funded)	0	24	24
Total	0	109	109
DDS Funded/Operated Supports*			
DDS Programs	0	1	1
Private Programs	0	36	36
Total	0	37	37
□YTD	0	9	9

SOUTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	28	28
Out of Home	0	6	6
Total no supports	0	34	34
□YTD	0	0	0
Transition (Planned Placements)			
June 2009 Grads (Home)	0	37	37
June 2009 Ageouts (DCF, LEA, ISA funded)	0	4	4
Total	0	41	41
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	8	8
Total	0	8	8
□YTD	0	-2	-2

WEST REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	32	32
Out of Home	0	8	8
Total no supports	0	40	40
□YTD	0	1	1
Transition (Planned Placements)			
June 2009 Grads (Home)	0	54	54
June 2009 Ageouts (DCF, LEA, ISA funded)	0	25	25
Total	0	79	79
DDS Funded/Operated Supports*			
DDS Programs	0	1	1
Private Programs	0	41	41
Total	0	42	42
□YTD	0	7	7

*NOTE: DDS funded/Operated Supports – individuals in this category do not have sufficient funding to exercise portability (purchase a different service/support with their current resources)

**D. Future Planning
Ageouts and High School Graduates**

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2011	70
FY 2012	75
FY 2013	63

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2011	23
FY 2012	25
FY 2013	24

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2011	20
FY 2012	28
FY 2013	22

WEST REGION	
DCF, LEA or ISA Funded	
FY 2010	27
FY 2011	22
FY 2012	17

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2011	250	83
FY 2012	125	67
FY 2013	49	74

NORTH REGION		
	School Graduates	Ageouts
FY 2011	98	37
FY 2012	44	18
FY 2013	25	20

SOUTH REGION		
	School Graduates	Ageouts
FY 2011	80	19
FY 2012	14	19
FY 2013	10	29

WEST REGION		
	School Graduates	Ageouts
FY 2011	72	27
FY 2012	67	30
FY 2013	14	25

NOTE: Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2013).

Section III: New Development Goals and Support Activity

September 2009

A. Residential Waiting List Activities and Residential Ageouts

FY 10 - First Quarter Report Waiting List Activities Service Activity July 1, 2009 - September 30, 2009	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
New FY 09 Funds	7
Opportune	2
Total	9
South Region	Actual YTD
New FY 09 Funds	1
Opportune	5
Total	6
West Region	Actual YTD
New FY 09 Funds	1
Opportune	4
Total	5
Statewide	Actual YTD
New FY 09 Funds	9
Opportune	11
Grand Total	20

Residential AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	23	0	-23
Served with Opportune Resources	NA	0	0
South Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	22	4	-18
Served with Opportune Resources	NA	0	0
West Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	27	0	-27
Served with Opportune Resources	NA	0	0
Statewide	Goal	Actual YTD	Difference
Served with FY10 AO Funds	72	4	-68
Served with Opportune Resources	NA	0	0
Grand Total	72	4	-68

Two Additional individuals were served with FY 09 funds during this period

Section III: New Development Goals and Support Activity
B. Day AgeOuts and High School Grads

September 2009

High School Graduates
 Service Activity July 1, 2009 - June 30, 2010

High School Graduates Funding			
North Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	119	2	-117
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	119	2	-117
South Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	77	0	-77
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	77	0	-77
West Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	59	2	-57
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	59	2	-57
Statewide	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	255	4	-251
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Grand Total	255	4	-251

Four additional individuals were served with FY 09 and FY 08 funds during this period

Individuals "Aging Out" of DCF and LEA Services
 Service Activity July 1, 2009 - June 30, 2010

Day AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	29	8	-21
Served with Opportune Resources	NA	0	0
Total	29	8	-21
South Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	17	17	0
Served with Opportune Resources	NA	0	0
Total	17	17	0
West Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	27	3	-24
Served with Opportune Resources	NA	0	0
Total	27	3	-24
Statewide	Goal	Actual YTD	Difference
Served with FY10 AO Funds	73	28	-45
Served with Opportune Resources	NA	0	0
Grand Total	73	28	-45

One additional individual was funded with FY 09 funds.

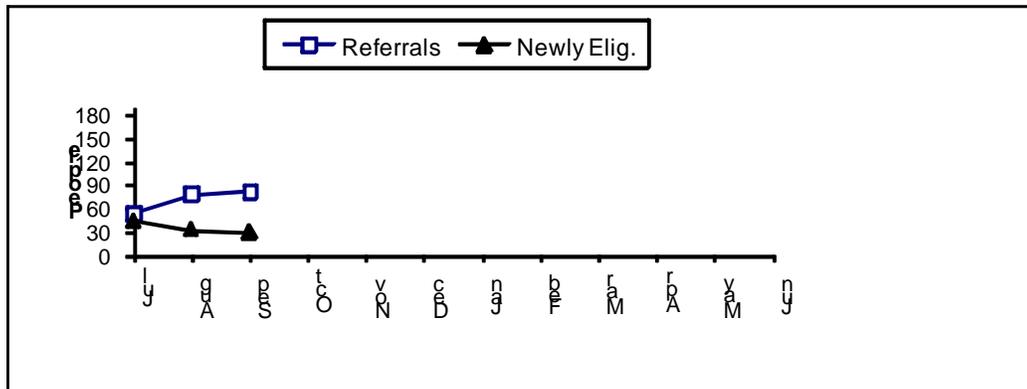
Eligibility Inquires And Determination
For First Quarter FY '10 - July 1, 2009 to September 30, 2009

There Were 171 Inquires To Eligibility Unit

There Were 105 Eligibility Determinations
Note: Only 6 of the 105 determination were from inquires made during the quarter.

Age Range	Total	% Of Determinations For Each Age Range	Eligible Per Age Range	% Eligible Per Age Range	Ineligible Per Age Range	% Ineligible Per Age Range
0-2.9	8	7.6%	8	100.0%	0	0.0%
3-4.9	8	7.6%	8	100.0%	0	0.0%
5-7	14	13.3%	14	100.0%	0	0.0%
8-17.9	47	44.8%	33	70.2%	14	29.8%
18-20.9	11	10.5%	7	63.6%	4	36.4%
21-29	6	5.7%	4	66.7%	2	33.3%
30-39	4	3.8%	3	75.0%	1	25.0%
40-49	3	2.9%	3	100.0%	0	0.0%
50-59	2	1.9%	2	100.0%	0	0.0%
60-69	2	1.9%	2	100.0%	0	0.0%
Totals	105		84	80% Of Total Are Eligible	21	20% Of Total Are Ineligible

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

September 2009

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE
September 2009 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	36	0	0	0	0	36	0%
3-5	0	0	1	0	0	2	0	0	0	0	0	0	0	201	0	1	1	0	206	1%
6-13	0	0	18	0	1	26	0	0	0	14	0	0	0	1,410	0	2	3	2	1,476	10%
14-17	0	0	47	2	4	30	0	0	1	41	1	0	0	968	1	2	9	1	1,107	7%
18-21	3	0	139	11	14	24	2	0	0	41	12	0	9	1,205	0	3	21	6	1,490	10%
22-34	35	47	575	74	76	6	7	0	3	20	293	21	87	2,233	1	7	15	11	3,511	23%
35-44	92	128	599	65	91	0	15	3	1	3	172	62	140	840	1	4	8	4	2,228	14%
45-54	195	193	897	44	105	0	45	1	10	4	171	110	194	587	2	2	10	6	2,576	17%
55-64	231	116	644	21	73	0	79	19	12	1	90	89	122	251	0	1	9	5	1,763	11%
65-74	102	34	238	9	42	0	76	8	17	0	45	36	38	58	0	0	4	3	710	5%
75+	54	13	98	1	11	0	88	11	18	0	15	11	15	15	0	0	2	0	352	2%
TOTAL	712	531	3,256	227	417	88	312	42	62	124	799	329	605	7,804	5	22	82	38	15,455	100%
PERCENT	5%	3%	21%	1%	3%	1%	2%	0%	0%	1%	5%	2%	4%	50%	0%	0%	1%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	66	2	5	58	0	0	1	55	1	0	0	2,615	1	5	13	3	2,825	18%
Young Adults (Age 18-21)	3	0	139	11	14	24	2	0	0	41	12	0	9	1,205	0	3	21	6	1,490	10%
Adults (Age 22 and Over)	709	531	3,051	214	398	6	310	42	61	28	786	329	596	3,984	4	14	48	29	11,140	72%
Total Percent	712	531	3,256	227	417	88	312	42	62	124	799	329	605	7,804	5	22	82	38	15,455	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 * Long Term Care Facility (Licensed by the Dept. of

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

September 2009

Section VI: Home and Community Based Waiver
A. Enrollment

September 2009

All HCBS Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own	RCH	Other	Total
	CLA	CLA				Home w/ Supports			
North	254	959	81	141	1,025	446	8	22	2,936
South	201	909	63	145	1,077	589	1	11	2,996
West	74	868	58	109	1,116	381	4	16	2,626
Sep 09 Total	529	2,736	202	395	3,218	1,416	13	49	8,558
June 2009	533	2,723	0	389	3,198	1,623	12	41	8,519
ΔYTD	-4	13	202	6	20	-207	1	8	39

Comprehensive Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own	RCH	Other	Total
	CLA	CLA				Home w/ Supports			
North	254	949	68	129	81	231	5	13	1,730
South	201	894	47	136	53	248	0	5	1,584
West	74	849	52	99	70	160	3	7	1,314
Sep 09 Total	529	2,692	167	364	204	639	8	25	4,628
June 2009	533	2,681	0	362	202	814	8	20	4,620
ΔYTD	-4	11	167	2	2	-175	0	5	8

Individual and Family Support Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own	RCH	Other	Total
	CLA	CLA				Home w/ Supports			
North	0	10	13	12	944	215	3	9	1,206
South	0	15	16	9	1,024	341	1	6	1,412
West	0	19	6	10	1,046	221	1	9	1,312
Sep 09 Total	0	44	35	31	3,014	777	5	24	3,930
June 2009	0	42	0	27	2,996	809	4	21	3,899
ΔYTD	0	2	35	4	18	-32	1	3	31

Data Source: CAMRIS 9/09

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

September 2009

B. Federal Revenue

Actual v. Projected Revenue - FY 2010 First Quarter					
(Millions of Dollars)					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected	Revenue
				vs Actual	Received
Waiver	\$270.03	\$256.83	\$73.62	\$183.21	28.67%
Public ICF/MR	\$107.07	\$113.96	\$27.02	\$86.94	23.71%
Targeted Case Mgmt.	\$10.79	\$8.38	\$3.02	\$5.36	36.03%
Birth to Three	\$4.48	\$7.92	\$1.23	\$6.70	15.50%
TOTAL Billing	\$392.36	\$387.09	\$104.89	\$282.21	27.10%

MU - Revenues Cross Over Multiple Regions*					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected	Revenue
				vs Actual	Received
Waiver	\$25.09	\$23.76	\$7.37	\$16.40	31.00%
Birth to Three	\$4.48	\$7.92	\$1.23	\$6.70	15.50%
TOTAL Billing	\$29.57	\$31.69	\$8.59	\$23.09	27.12%

*Excludes Public ICF/MR and Targeted Case Mgmt.

North*					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected	Revenue
				vs Actual	Received
Waiver	\$94.75	\$90.24	\$26.22	\$64.02	29.06%
Public ICF/MR	\$8.14	\$7.87	\$1.83	\$6.04	23.24%
Targeted Case Mgmt.	\$3.28	\$2.87	\$1.06	\$1.81	37.02%
TOTAL Billing	\$106.17	\$100.98	\$29.12	\$71.86	28.83%

*Excludes Birth to Three

South*					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected	Revenue
				vs Actual	Received
Waiver	\$85.69	\$81.47	\$21.93	\$59.54	26.92%
Public ICF/MR	\$7.05	\$7.53	\$1.63	\$5.90	21.66%
Targeted Case Mgmt.	\$3.76	\$2.69	\$1.04	\$1.64	38.87%
TOTAL Billing	\$96.50	\$91.68	\$24.61	\$67.08	26.84%

*Excludes Birth to Three

West*					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected	Revenue
				vs Actual	Received
Waiver	\$64.50	\$61.36	\$18.10	\$43.26	29.50%
Public ICF/MR	\$91.88	\$98.56	\$23.56	\$75.00	23.90%
Targeted Case Mgmt.	\$3.75	\$2.82	\$0.91	\$1.91	32.33%
TOTAL Billing	\$160.13	\$162.74	\$42.57	\$120.17	26.16%

*Excludes Birth to Three

Section VII: Human Resource
A: DDS funded Position Count

September 2009

<u>Permanent Full Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	815	736	4	21	0	54
South	726	649	7	38	4	32
West	653	548	1	23	5	81
STS	1268	1150	5	66	18	47
Central Office	130	115	0	0	0	15
Totals	3592	3198	17	148	27	229

<u>Permanent Part Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	359	345	2	5	1	7
South	328	301	3	12	2	12
West	252	243	1	12	2	-4
STS	220	144	1	12	0	63
Central Office	2	2	0	0	0	0
Totals	1161	1035	7	41	5	78

<u>Other General Fund Positions - Filled</u>						
Type	North	South	West	STS	CO	Total
Temporary	0	22	0	0	0	22
General Workers	32	42	3	119	0	196
Substitutes	0	0	1	0	0	1
Retirees	25	20	10	24	6	85
Inst. Fire	0	0	0	10	0	10
Per Diems	22	19	9	4	5	59

<u>Federal Funded Positions - Filled</u>						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	14	14
Part Time	7	8	2	0	0	17

Section VII: Human Resources
B: DDS Abuse and Neglect Registry

September 2009

DDS ABUSE AND NEGLECT REGISTRY QUARTERLY REPORT

July through September - 2009

REGISTRY REFERRALS		
New Referrals this Quarter	21	(17 – Private Sector) (4 – Public Sector)
Referrals as of 6/30/09	486	(479 actual names – 7 individuals have dual referrals)
TOTAL REFERRALS	507	(500 actual names – 7 individuals have dual referrals)

REFERRALS BY SECTOR		
Private sector	385	76%
Public sector	122	24%

	Total to date	Private Sector	Public Sector
Names on Registry	78 names	53	25
Closed - Arbitration & Legal Proceeding	72	14	58
Closed - Do not meet Statutory Criteria	267	235	32
Total Completed Cases	417	302	115

HEARING ACTIVITY for the Quarter	
Hearings Held	8
Hearing Waivers Received	0
Pending Hearings Scheduled	2

Abuse/Neglect Registry Inquiries

