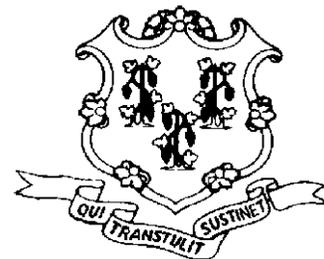




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
September 2011

Issued

January 6, 2012

by DDS Waiver Policy and Planning

DDS Management Information Report

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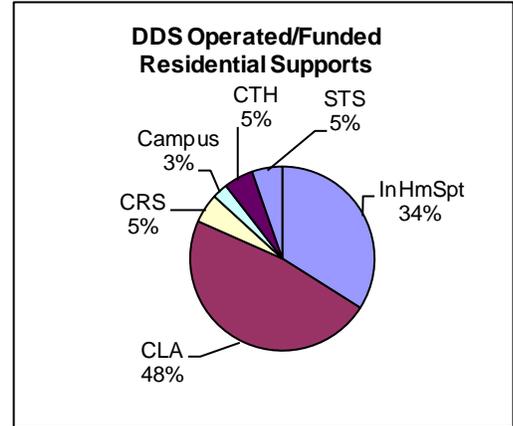
SECTION I: Services and Supports

**A. Where People Live and How They Are Supported
STATEWIDE**

Total Clients: 15,671 **ΔYTD: +31**
(includes all active DDS clients)

Total Served: 20,723 **ΔYTD: -72**
(Includes active clients plus 5,052 non-DDS clients in Birth to Three programs)

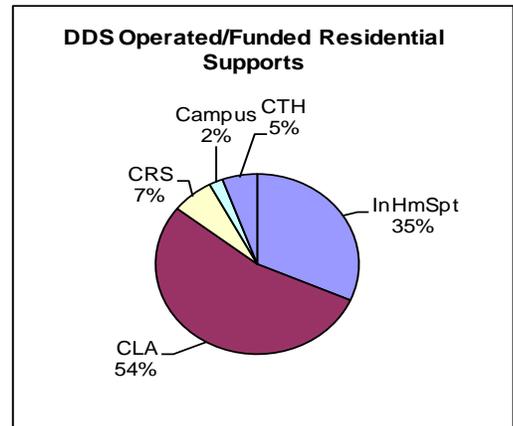
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	6,589		506	828	7,923	50.6%
Own Home (IL)**	305	261	909	199	1,674	10.7%
Sub-Total	6,894	261	1,415	1,027	9,597	61.2%
% Total	71.8%	2.7%	14.7%	10.7%	100%	
DDS Operated/Funded						
STS		424			424	2.7%
DDS Centers		216			216	1.4%
CLA		406	3,387		3,793	24.2%
CRS			410		410	2.6%
CTH			410		410	2.6%
Sub-Total		1,046	4,207		5,253	33.5%
% Total		19.9%	80.1%		100%	
Other State Agencies						
DMHAS			4		4	0.0%
DOC			5		5	0.0%
DCFCFO			65		65	0.4%
Sub-Total			74		74	0.5%
Other						
LTC/SNF/RCH (HA)			428		428	2.7%
Res. Schools			148		148	0.9%
Other			111		111	0.7%
Sub-Total			687		687	4.4%
Blank			60		60	0.4%
Grand Total	6,894	1,307	6,443	1,027	15,671	100.0%



NORTH REGION

Total Clients: 5,307 **ΔYTD: -12**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,328		180	239	2,747	51.8%
Own Home (IL)**	124	99	261	39	523	9.9%
Sub-Total	2,452	99	441	278	3,270	61.6%
% Total	75.0%	3.0%	13.5%	8.5%	100.0%	
DDS Operated/Funded						
DDS Centers		57			57	1.1%
CLA		213	1,186		1,399	26.4%
CRS			173		173	3.3%
CTH			144		144	2.7%
Sub-Total		270	1,503	0	1,773	33.4%
% Total		15.2%	84.8%	0.0%	100.0%	
Other State Agencies						
DMHAS			2		2	0.0%
DOC			5		5	0.1%
DCFCFO			20		20	0.4%
Sub-Total			27	0	27	0.5%
Other						
LTC/SNF/RCH (HA)			120		120	2.3%
Res. Schools			47		47	0.9%
Other			50		50	0.9%
Subtotal			217		217	4.1%
Blank			20		20	0.4%
Grand Total	2,452	369	2,208	278	5,307	100.0%



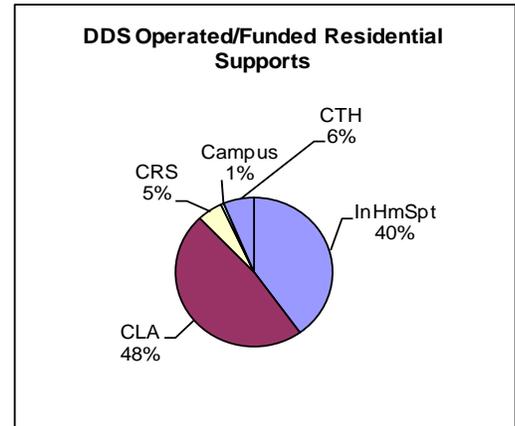
*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,913 ΔYTD: +24
(includes all active DDS clients)

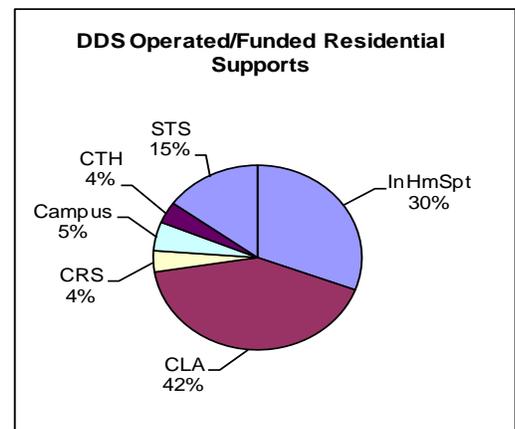
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,035		153	272	2,460	50.1%
Own Home (IL)**	89	89	416	87	681	13.9%
Sub-Total	2,124	89	569	359	3,141	63.9%
% Total	67.6%	2.8%	18.1%	11.4%	100.0%	
DDS Operated/Funded						
DDS Centers		16			16	0.3%
CLA		171	1,042		1,213	24.7%
CRS			132		132	2.7%
CTH			160		160	3.3%
Sub-Total		187	1,334		1,521	31.0%
% Total		12.3%	87.7%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			29		29	0.6%
Sub-Total			30		30	0.6%
Other						
LTC/SNF/RCH (HA)			150		150	3.1%
Res. Schools			34		34	0.7%
Other			32		32	0.7%
Sub-total			216		216	4.4%
Blank			5		5	0.1%
Grand Total	2,124	276	2,154	359	4,913	100.0%



WEST REGION

Total Clients: 5,451 ΔYTD: +19
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,226		173	317	2,716	49.8%
Own Home (IL)**	92	73	232	73	470	8.6%
Sub-Total	2,318	73	405	390	3,186	58.4%
% Total	72.8%	2.3%	12.7%	12.2%	100%	
DDS Operated/Funded						
STS		424			424	7.8%
DDS Centers		143			143	2.6%
CLA		22	1,159		1,181	21.7%
CRS			105		105	1.9%
CTH			106		106	1.9%
Sub-Total		589	1,370		1,959	35.9%
% Total		30.1%	69.9%		100%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			16		16	0.3%
Sub-Total			17		17	0.3%
Other						
LTC/SNF/RCH (HA)			158		158	2.9%
Res. Schools			67		67	1.2%
Other			29		29	0.5%
Sub-total			254		254	4.7%
Blank			35		35	0.6%
Grand Total	2,318	662	2,081	390	5,451	100.0%



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports
B. Work and Day Services
STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		620	1	144	765	4.9%
Group Supp. Emp.		3,281	101		3,382	21.6%
Sheltered Emp.		517	24		541	3.5%
Day Supp. Opt.		3,895	310	91	4,296	27.4%
Individ. Day Supp.		683	0	20	703	4.5%
Comp. Employment	361				361	2.3%
Other		48	1		49	0.3%
Sub-Total	361	9,044	437	255	10,097	64.4%
% Total	3.6%	89.6%	4.3%	2.5%	100.0%	
Educational and Developmental Services						
LEA		3,650			3,650	23.3%
Res School		24			24	0.2%
Birth to Three		14	7		21	0.1%
Other		94	42		136	0.9%
Sub-Total		3,782	49		3,831	24.4%
Other						
No Day Program	1,097				1,097	7.0%
Blanks	646				646	4.1%
Sub-Total	1,743				1,743	11.1%
Grand Total	2,104	12,826	486	255	15,671	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		178	1	18	197	3.7%
Group Supp. Emp.		1,168	20		1,188	22.4%
Sheltered Emp.		237	2		239	4.5%
Day Supp. Opt.		1,229	58	43	1,330	25.1%
Individ. Day Supp.		210	0	7	217	4.1%
Comp. Employment	126				126	2.4%
Other		23	0		23	0.4%
Sub-Total	126	3,045	81	68	3,320	62.6%
% Total	3.8%	91.7%	2.4%	2.0%	100.0%	
Educational and Developmental Services						
LEA		1,310			1,310	24.7%
Res School		7			7	0.1%
Birth to Three		6	0		6	0.1%
Other		80	15		95	1.8%
Sub-Total		1,403	15		1,418	26.7%
Other						
No Day Program	378				378	7.1%
Blanks	191				191	3.6%
Sub-Total	569				569	10.7%
Grand Total	695	4,448	96	68	5,307	100.0%

SOUTH REGION

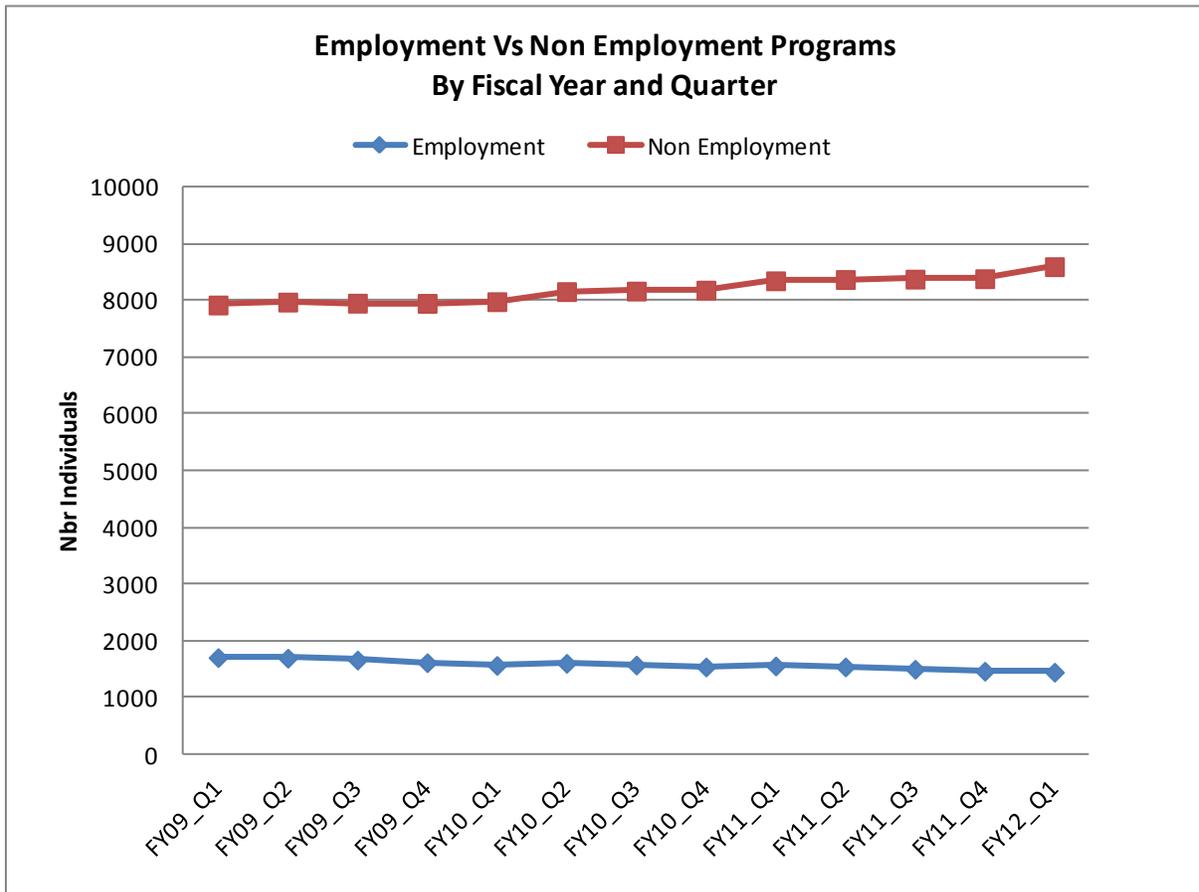
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		255		74	329	6.7%
Group Supp. Emp.		1,109	13		1,122	22.8%
Sheltered Emp.		99			99	2.0%
Day Supp. Opt.		1,262	0	23	1,285	26.2%
Individ. Day Supp.		307	0	3	310	6.3%
Comp. Employment	109				109	2.2%
Other		6	1		7	0.1%
Sub-Total	109	3,038	14	100	3,261	66.4%
% Total	3.3%	93.2%	0.4%	3.1%	100.0%	
Educational and Developmental Services						
LEA		1,137			1,137	23.1%
Res School		8			8	0.2%
Birth to Three		2	6		8	0.2%
Other		5	15		20	0.4%
Sub-Total		1,152	21		1,173	23.9%
Other						
No Day Program	313				313	6.4%
Blanks	166				166	3.4%
Sub-Total	479				479	9.7%
Grand Total	588	4,190	35	100	4,913	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		187		52	239	4.4%
Group Supp. Emp.		1,004	68		1,072	19.7%
Sheltered Emp.		181	22		203	3.7%
Day Supp. Opt.		1,404	252	25	1,681	30.8%
Individ. Day Supp.		166	0	10	176	3.2%
Comp. Employment	126				126	2.3%
Other		19	0		19	0.3%
Sub-Total	126	2,961	342	87	3,516	64.5%
% Total	3.6%	84.2%	9.7%	2.5%	100.0%	
Educational and Developmental Services						
LEA		1,203			1,203	22.1%
Res School		9			9	0.2%
Birth to Three		6	1		7	0.1%
Other		9	12		21	0.4%
Sub-Total		1,227	13		1,240	22.7%
Other						
No Day Program	406				406	7.4%
Blanks	289				289	5.3%
Sub-Total	695				695	12.7%
Grand Total	821	4,188	355	87	5,451	100.0%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs

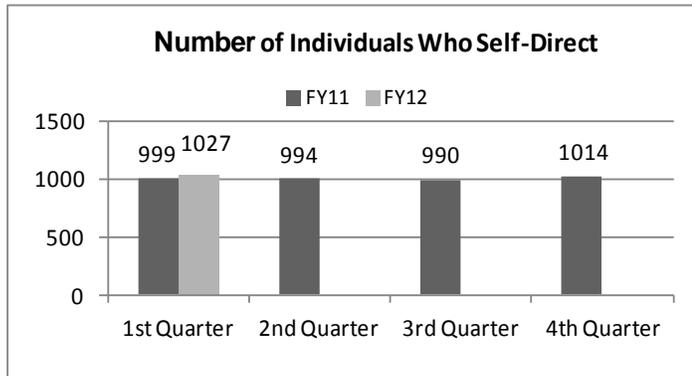


NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.
 Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshops, Day Service Options, Individualized Day Retired or Non Vocational

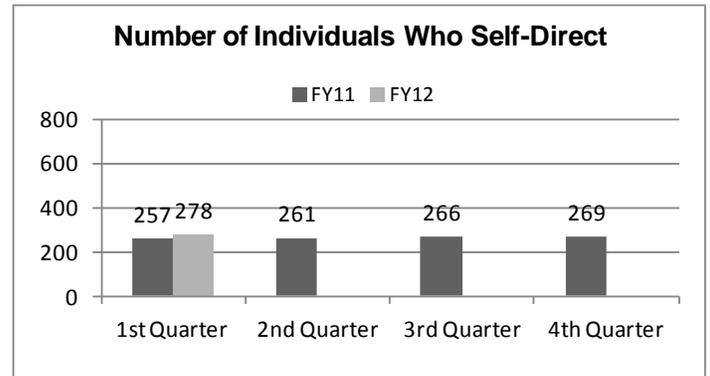
SECTION I: Services and Supports

- D. Number of Individuals Who Self-Direct
- E. Birth to Three Services
- F. Active Individuals By Caseload Type

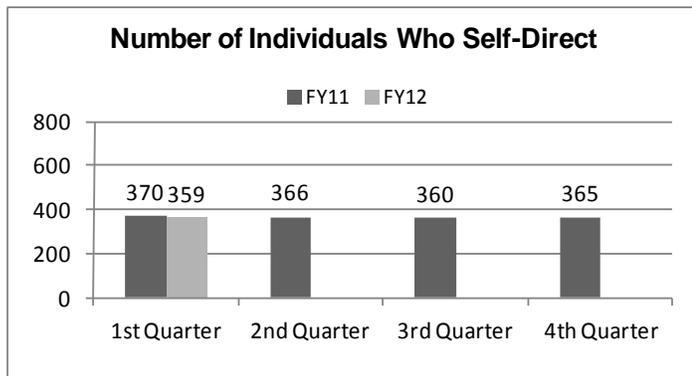
STATEWIDE



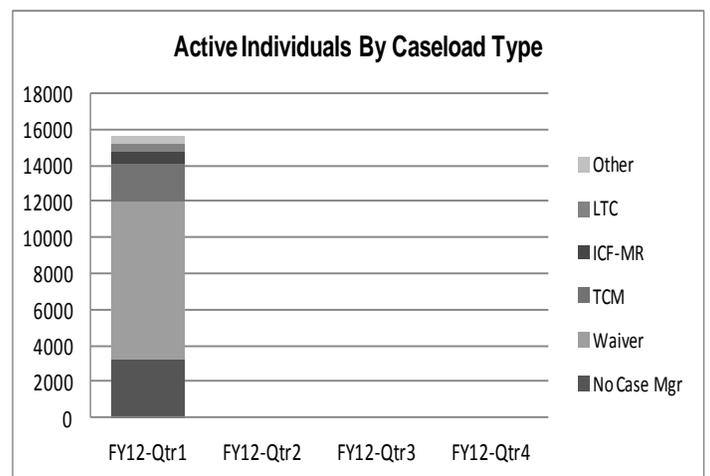
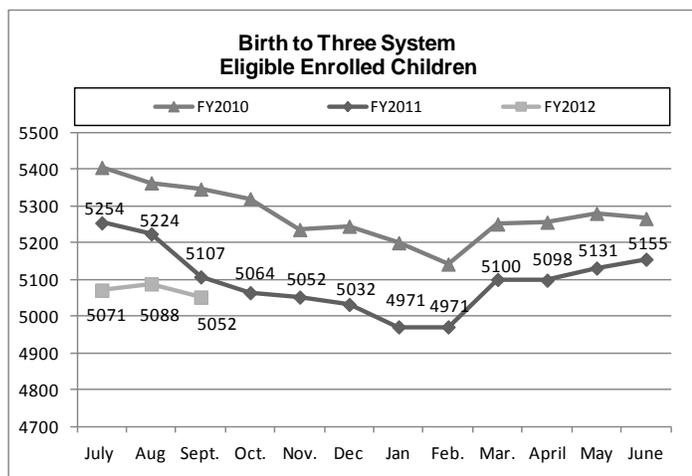
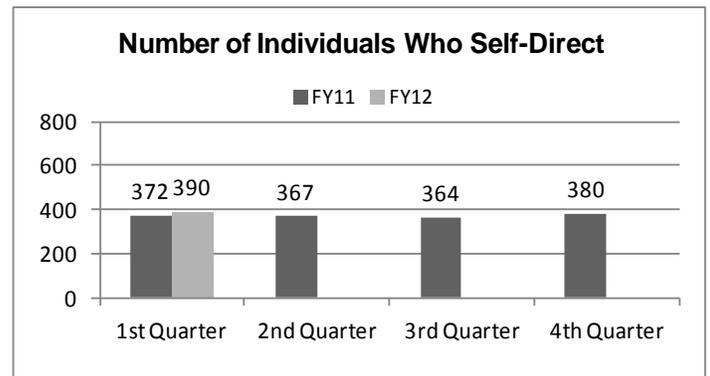
NORTH REGION



SOUTH REGION



WEST REGION



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	13	19	17	-15	-3	0	31

SECTION I: Services and Supports

G. Family Support – Direct Support Services

IFS Family Support - Statewide

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	15	177	0	0	0	0	0	0	15
Enrolled - Adult	19	207	0	0	0	0	0	0	19
Not Enrolled - Child	45	105	0	0	0	0	0	0	45
Enrolled - Child	16	20	0	0	0	0	0	0	16
Total	95	509	0	0	0	0	0	0	95

IFS Family Support - NR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	38							5
Enrolled - Adult	3	13							3
Not Enrolled - Child	16	53							16
Enrolled - Child	0	15							0
Total	24	119	0	0	0	0	0	0	24

IFS Family Support - SR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	57							5
Enrolled - Adult	12	115							12
Not Enrolled - Child	19	2							19
Enrolled - Child	15	1							15
Total	51	175	0	0	0	0	0	0	51

IFS Family Support - WR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	82							5
Enrolled - Adult	4	79							4
Not Enrolled - Child	10	50							10
Enrolled - Child	1	4							1
Total	20	215	0	0	0	0	0	0	20

SECTION I: Services and Supports

H. Respite Utilization

STATEWIDE								
Respite Utilization Data - FY 2012								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	351	Under 18	182	0	0	0	182
	Over 18	1047	Over 18	562	0	0	0	562
Unduplicated Number Of People Using Respite Beds	Under 18	346	Under 18	146	0	0	0	146
	Over 18	982	Over 18	486	0	0	0	486

NORTH REGION								
Respite Utilization Data - FY 2012								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	69	Under 18	77				77
	Over 18	231	Over 18	218				218
Unduplicated Number Of People Using Respite Beds	Under 18	71	Under 18	44				44
	Over 18	187	Over 18	147				147

SOUTH REGION								
Respite Utilization Data - FY 2012								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	83	Under 18	37				37
	Over 18	274	Over 18	178				178
Unduplicated Number Of People Using Respite Beds	Under 18	76	Under 18	37				37
	Over 18	253	Over 18	178				178

WEST REGION								
Respite Utilization Data - FY 2012								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	199	Under 18	68				68
	Over 18	542	Over 18	166				166
Unduplicated Number Of People Using Respite Beds	Under 18	199	Under 18	65				65
	Over 18	542	Over 18	161				161

Section II: Service Needs

A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	26	546	572
DYTD	1	22	23
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	87	88
CRS	0	13	13
CTH	1	24	25
Indiv Home Supp*	8	185	193
Sub-Total	10	309	319
DYTD	-4	-23	-27
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	2	2
DOC	0	1	1
DCF/CTO	0	0	0
Sub-Total	0	3	3
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	50	50
Other	1	15	16
Sub-Total	1	65	66
Total w/Sup. & Service	11	377	388
Grand Total	37	923	960
Change YTD	-3	5	2

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	4	179	183
DYTD	-2	14	12
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	18	19
CRS	0	4	4
CTH	0	7	7
Indiv Home Supp*	2	40	42
Sub-Total	3	69	72
DYTD	-4	-18	-22
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	1	1
DCF/CTO	0	0	0
Sub-Total	0	2	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	16	16
Other	0	6	6
Sub-Total	0	22	22
Total w/Sup. & Service	3	93	96
Grand Total	7	272	279
Change YTD	-6	-2	-8

SOUTH REGION	163	Pri. 1	Total
Home/IL (No Supports)	11	169	180
DYTD	3	6	9
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	3	3
CRS	0	0	0
CTH	1	1	2
Indiv Home Supp*	0	12	12
Sub-Total	1	16	17
DYTD	-1	-2	-3
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	0	3	3
Sub-Total	0	20	20
Total w/Sup. & Service	1	37	38
Grand Total	12	206	218
Change YTD	2	5	7

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	11	198	209
DYTD	0	2	2
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	66	66
CRS	0	9	9
CTH	0	16	16
Indiv Home Supp*	6	133	139
Sub-Total	6	224	230
DYTD	1	-3	-2
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	1	6	7
Sub-Total	1	23	24
Total w/Sup. & Service	7	247	254
Grand Total	18	445	463
Change YTD	1	2	3

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
 B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	873	349	1222
DYTD	2	-9	-7
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	4	0	4
CTH	3	0	3
Indiv Home Supp*	199	19	218
Sub-Total	206	19	225
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	8	5	13
Other	6	1	7
Sub-Total	14	6	20
Total Supports & Service	222	25	247
Grand Total	1095	374	1469
Change YTD	4	-10	-6

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	304	138	442
DYTD	1	-5	-4
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CTH	0	0	0
Indiv Home Supp*	86	11	97
Sub-Total	86	11	97
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	1	5
Other	3	1	4
Sub-Total	7	2	9
Total Supports & Service	93	13	106
Grand Total	397	151	548
Change YTD	0	-6	-6

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	349	124	473
DYTD	-2	-2	-4
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	78	2	80
Sub-Total	81	2	83
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	3	6
Other	2	0	2
Sub-Total	5	3	8
Total Supports & Service	87	5	92
Grand Total	436	129	565
Change YTD	-1	-3	-4

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	220	87	307
DYTD	3	-2	1
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	2	0	2
Indiv Home Supp*	35	6	41
Sub-Total	39	6	45
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	1	1	2
Other	1	0	1
Sub-Total	2	1	3
Total Supports & Service	42	7	49
Grand Total	262	94	356
Change YTD	5	-1	4

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

C. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2013	99
FY 2014	76
FY 2015	76

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2013	47
FY 2014	23
FY 2015	20

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2013	21
FY 2014	23
FY 2015	32

WEST REGION	
DCF, LEA or ISA Funded	
FY 2013	31
FY 2014	30
FY 2015	24

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2013	327	98
FY 2014	92	88
FY 2015	85	65

NORTH REGION		
	School Graduates	Ageouts
FY 2013	129	41
FY 2014	16	33
FY 2015	5	14

SOUTH REGION		
	School Graduates	Ageouts
FY 2013	99	24
FY 2014	7	26
FY 2015	6	20

WEST REGION		
	School Graduates	Ageouts
FY 2013	99	33
FY 2014	69	29
FY 2015	74	31

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2015).

Section III: New Development Goals and Support Activity

A. Residential Waiting List Activities and Residential Ageouts

FY 12 - First Quarter Report	
Waiting List Activities	
Service Activity July 1, 2010 - September 30, 2011	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
Re-Use of Opportune Resources	3
Move Utilizing Portable Funding	9
South Region	Actual YTD
Re-Use of Opportune Resources	9
Move Utilizing Portable Funding	5
West Region	Actual YTD
Re-Use of Opportune Resources	4
Move Utilizing Portable Funding	3
Statewide	Actual YTD
Re-Use of Opportune Resources	16
Move Utilizing Portable Funding	17

Residential AgeOuts			
	Goal	Actual YTD	Difference
North Region			
Served with FY12 AO Funds	24	5	-19
Total	24	5	-19
South Region			
Served with FY12 AO Funds	18	0	-18
Total	18	0	-18
West Region			
Served with FY12 AO Funds	25	6	-19
Total	25	6	-19
Statewide			
Served with FY12 AO Funds	67	11	-56
Grand Total	67	11	-56

Five additional individuals were served with FY 11 funds during this period

Section III: New Development Goals and Support Activity**B. Underserved Consumers Receiving Annualized Residential Supports****C. Day AgeOuts and High School Grads**

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	44	117	38%
South Region	0	12	0%
West Region	18	261	7%
Statewide	62	390	16%

Includes only consumers who are Priority E or 1 on PRAT Database

*Total represents all Pri E/1 underserved consumers active on the waiting list during the reporting quarter

High School Graduates and Age Out* Funding			
North Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	98	19	-79
June 2011 Age Outs Served w/FY12 Grad Funds	24	21	-3
Total	122	40	-82
South Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	85	69	-16
June 2011 Age Outs Served w/FY12 Grad Funds	21	18	-3
Total	106	87	-19
West Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	71	52	-19
June 2011 Age Outs Served w/FY12 Grad Funds	25	24	-1
Total	96	76	-20
Statewide	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	254	140	-114
June 2011 Age Outs Served w/FY12 Grad Funds	70	63	-7
Grand Total	324	203	-121

*Individuals Aging out of DCF and LEA Services

Section IV: Eligibility

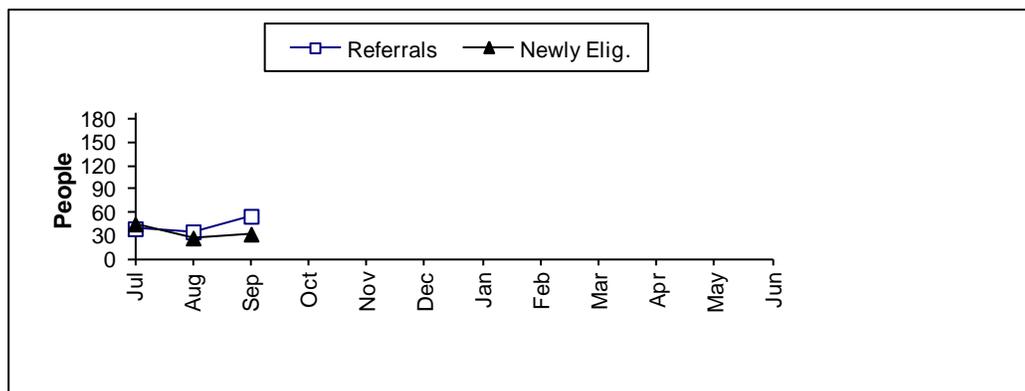
**Eligibility Inquiries And Determination
For First Quarter FY '12- July 1, 2011- September 30, 2011**

There Were 129 Inquiries To Eligibility Unit

There Were 148 Eligibility Determinations
Note: Only 16 of the 148 determinations were from inquiries made during the quarter.

Age Range	Total	Eligible	% Eligible	Ineligible	% Ineligible
3-4.9	13	12	11.54%	1	2.44%
5-7	9	8	7.69%	1	2.44%
8-17.9	72	53	50.96%	19	46.34%
18-20.9	23	14	13.46%	9	21.95%
21-29	17	11	10.58%	6	14.63%
30-39	4	3	2.88%	1	2.44%
40-49	4	1	0.96%	3	7.32%
50-59	1	1	0.96%	0	0.00%
60-69	2	1	0.96%	1	2.44%
Totals	145	104	71.72%	41	28.28%

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE
September 2011 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	21	0	0	0	0	21	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	0	0	165	0	1	0	0	166	1%
6-13	0	0	5	0	1	20	0	0	0	17	0	0	0	1,204	0	3	8	2	1,260	8%
14-17	0	0	44	1	4	15	0	0	0	36	1	0	0	987	0	2	17	3	1,110	7%
18-21	1	0	121	26	15	25	1	0	0	58	10	0	2	1,253	0	2	23	6	1,543	10%
22-34	24	28	615	148	77	5	10	0	3	31	317	10	66	2,442	0	5	17	25	3,823	24%
35-44	64	83	558	77	75	0	13	0	4	3	186	34	113	832	2	5	10	5	2,064	13%
45-54	176	146	940	92	113	0	40	3	10	2	199	85	168	636	2	3	6	9	2,630	17%
55-64	214	106	705	43	78	0	96	13	10	1	106	82	117	293	0	1	6	4	1,875	12%
65-74	107	35	281	18	33	0	84	9	13	0	54	34	46	72	0	1	4	4	795	5%
75+	54	8	118	5	14	0	103	5	11	0	17	16	11	18	0	0	2	2	384	2%
TOTAL	640	406	3,387	410	410	65	347	30	51	148	890	261	523	7,923	4	23	93	60	15,671	100%
PERCENT	4%	3%	22%	3%	3%	0%	2%	0%	0%	1%	6%	2%	3%	51%	0%	0%	1%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	49	1	5	35	0	0	0	53	1	0	0	2,377	0	6	25	5	2,557	16%
Young Adults (Age 18-21)	1	0	121	26	15	25	1	0	0	58	10	0	2	1,253	0	2	23	6	1,543	10%
Adults (Age 22 and Over)	639	406	3,217	383	390	5	346	30	51	37	879	261	521	4,293	4	15	45	49	11,571	74%
Total Percent	640	406	3,387	410	410	65	347	30	51	148	890	261	523	7,923	4	23	93	60	15,671	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 * Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE
September 2011 Client Data
(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	7	4	0	0	0	0	0	10	21	0%
3-5	0	0	0	0	0	0	0	52	95	0	0	0	0	2	17	166	1%
6-13	0	0	0	0	0	0	0	45	1,146	2	0	0	7	7	53	1,260	8%
14-17	0	0	0	0	0	0	0	5	1,044	6	0	0	6	2	47	1,110	7%
18-21	78	13	79	2	17	4	0	4	1,231	18	0	0	8	14	75	1,543	10%
22-34	1,134	326	1,284	94	190	106	0	0	137	11	70	5	13	263	190	3,823	24%
35-44	663	158	676	107	46	51	0	0	3	3	97	10	5	176	69	2,064	13%
45-54	977	177	807	162	48	60	0	0	1	1	107	11	3	212	64	2,630	17%
55-64	855	69	420	129	23	73	0	0	2	1	66	4	2	166	65	1,875	12%
65-74	403	21	100	33	5	47	0	0	0	0	17	2	0	125	42	795	5%
75+	186	2	16	14	1	37	1	0	0	0	4	2	1	96	24	384	2%
TOTAL	4,296	766	3,382	541	330	378	1	113	3,663	42	361	34	45	1,063	656	15,671	100%
PERCENT	27%	5%	22%	3%	2%	2%	0%	1%	23%	0%	2%	0%	0%	7%	4%	100%	
Sub-Totals by Age Groups																	
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	0	0	0	0	0	109	2,289	8	0	0	13	11	127	2,557	16%
Young Adults (Age 18-21)	78	13	79	2	17	4	0	4	1,231	18	0	0	8	14	75	1,543	10%
Adults (Age 22 and Over)	4,218	753	3,303	539	313	374	1	0	143	16	361	34	24	1,038	454	11,571	74%
Total	4,296	766	3,382	541	330	378	1	113	3,663	42	361	34	45	1,063	656	15,671	100%
Percent	27%	5%	22%	3%	2%	2%	0%	1%	23%	0%	2%	0%	0%	7%	4%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational									LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical								

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own			Total
	CLA	CLA				Home w/ Supports	RCH	Other	
North	212	1,011	143	142	1,095	407	7	18	3,009
South	162	923	121	152	1,175	593	2	5	3,098
West	21	927	91	104	1,157	382	5	22	2,655
Sep 11 Total	395	2,861	355	398	3,427	1,382	14	45	8,762
June 2011	403	2,856	329	398	3,384	1,365	12	41	8,788
ΔYTD	-8	5	26	0	43	17	2	4	-26

Comprehensive Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own			Total
	CLA	CLA				Home w/ Supports	RCH	Other	
North	212	1,004	127	110	90	204	0	8	1,755
South	162	920	98	133	69	262	0	1	1,645
West	21	919	81	86	90	157	3	8	1,365
Sep 11 Total	395	2,843	306	329	249	623	3	17	4,765
June 2011	403	2,837	277	340	231	622	4	17	4,731
ΔYTD	-8	6	29	-11	18	1	-1	0	34

Individual and Family Support Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own			Total
	CLA	CLA				Home w/ Supports	RCH	Other	
North	0	7	16	31	981	202	7	10	1,254
South	0	3	23	19	1,072	330	2	4	1,453
West	0	8	10	18	1,016	222	2	14	1,290
Sep 11 Total	0	18	49	68	3,069	754	11	28	3,997
June 2011	0	19	52	58	3,107	742	8	24	4,010
ΔYTD	0	-1	-3	10	-38	12	3	4	-13

Employment and Day Support Waiver Enrollees

Region	DDS	Private	CRS*	CTH	Family Home	Own			Total
	CLA	CLA				Home w/ Supports	RCH	Other	
North	0	0	0	1	24	1	0	0	26
South	0	0	0	0	34	1	0	0	35
West	0	0	0	0	51	3	0	0	54
Sep 11 Total	0	0	0	1	109	5	0	0	115
June 2011	0	0	0	0	46	1			47
ΔYTD	0	0	0	1	63	4	0	0	68

Data Source: CAMRIS 9/11

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2012 First Quarter
(Millions of Dollars)

	SFY 11	SFY 12	SFY 12	SFY 12		
	Actual	EOY	YTD Actual	Difference	% of	
	Revenue	Projected	Revenue	Projected	vs Actual	Revenue
						Received
Waiver	\$386.36	\$342.89	\$84.71	\$258.18		24.71%
ICF/MR	\$109.95	\$109.88	\$26.60	\$83.28		24.21%
TCM	\$10.24	\$4.89	\$1.63	\$3.26		33.36%
B-3	\$8.60	\$8.35	\$2.25	\$6.10		26.93%
TOTAL Billing	\$515.15	\$466.00	\$115.19	\$350.81		24.72%

Actual v. Projected Revenue - FY 2012 First Quarter						
(Millions of Dollars)						
	SFY 11	SFY 12	SFY 12	SFY 12		
	Actual	EOY	YTD Actual	Difference	% of	
	Revenue	Projected	Revenue	Projected	vs Actual	Revenue
						Received
Autism Waiver	\$0.00	\$0.84	\$0.00	\$0.84		0.00%
Comp Waiver	\$315.82	\$293.75	\$72.02	\$221.72		24.52%
IFS Waiver	\$70.54	\$46.28	\$12.69	\$33.59		27.43%
Emp/Day Supports Waiver	\$0.00	\$2.02	\$0.00	\$2.02		0.00%
Total Waiver	\$386.36	\$342.89	\$84.71	\$258.18		24.71%
Public ICF/MR	\$109.95	\$109.88	\$26.60	\$83.28		24.21%
Targeted Case Mgmt.	\$10.24	\$4.89	\$1.63	\$3.26		33.36%
Birth to Three	\$8.60	\$8.35	\$2.25	\$6.10		26.93%
TOTAL Billing	\$515.15	\$466.00	\$115.19	\$350.81		24.72%

Section VII: Human Resource**A. DDS funded Position Count**

Permanent Full Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	819	680	14	27	0	98
South	731	606	16	24	0	85
West	646	509	2	30	0	105
STS	1261	1014	1	59	0	187
Central Office	136	104	0	2	0	30
Totals	3593	2913	33	142	0	505

Permanent Part Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	364	302	5	14	0	43
South	334	275	3	10	0	46
West	240	206	1	12	0	21
STS	220	124	1	13	0	82
Central Office	2	0	0	0	0	2
Totals	1160	907	10	49	0	194

Other General Fund Positions - Filled						
Type	North	South	West	STS	CO	Total
Temporary	3	23	0	0	0	26
General Workers	33	24	2	112	0	171
Substitutes	0	0	0	0	0	0
Retirees	1	1	0	0	3	5
Inst. Fire	0	0	0	8	0	8
Per Diems	20	15	4	4	6	49

Section VII: Human Resources

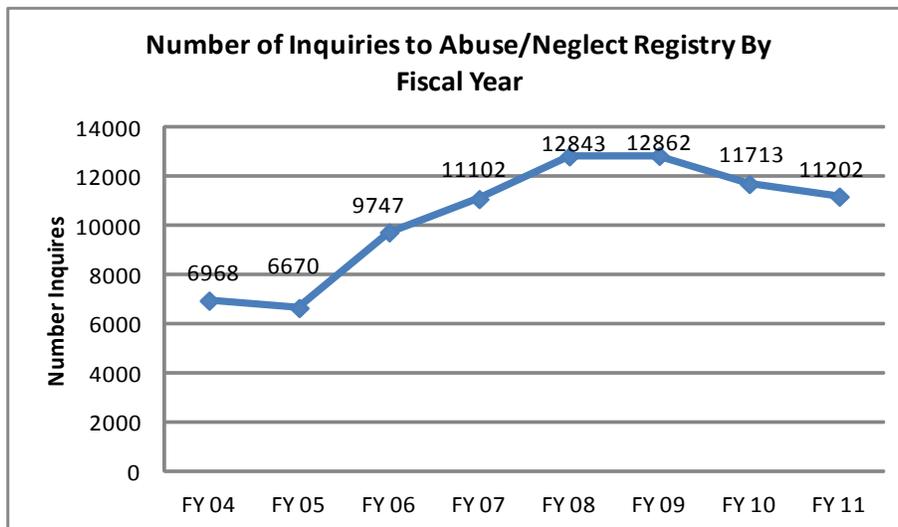
B. DDS Abuse and Neglect Registry

DDS Abuse and Neglect Registry Quarterly Report

July - September 2011

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	19	19	100%	0	0%	0	0%
Notifications as of 6/30/2011	622	495	80%	127	20%	0	0%
Total Cumulative Notifications *	641	514	80%	127	20%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	5	5	100%	0	0%	0	
Names on Registry as of 6/30/2011	106	77	73%	29	27%	0	0%
Closed - Arbitration & Legal Proceedings	76	17	22%	59	78%	0	0%
Closed - Do not meet Statutory Criteria	328	285	87%	43	13%	0	0%
Total Completed Cases*	515	384	75%	131	25%	0	0%



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
3510	3510