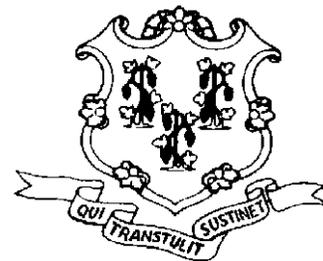




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
March 2010

Issued

April 28, 2010

by DDS Waiver Policy and Planning

DDS Management Information Report

Table of Contents

<u>Section</u>	<u>Page</u>
SECTION I: Services and Supports.....	1
A. Where People Live and How They Are Supported.....	1
B. Work and Day Services.....	3
C. Number of Individuals Who Self-Direct.....	4
D. Birth to Three Services.....	4
E. Case Management Breakdown – Active Consumers.....	4
F. Family Support – Direct Support Services.....	5
G. Respite Utilization.....	6
Section II: Service Needs.....	7
A. Residential Waiting List.....	7
B. Residential Planning List.....	8
C. Day Services Waiting List.....	9
D. Future Planning Ageouts and High School Graduates.....	10
E. Portability.....	11
Section III: New Development Goals and Support Activity.....	12
A. Residential Waiting List Activities and Residential Ageouts.....	12
B. Day AgeOuts and High School Grads.....	13
Section IV: Eligibility.....	14
Section V: Case Load by Age.....	15
A. Residential.....	15
B. Day/Work Services.....	16
Section VI: Home and Community Based Waiver.....	17
A. Enrollment.....	17
B. Federal Revenue.....	18
Section VII: Human Resource.....	19
A. DDS funded Position Count.....	19
B. DDS Abuse and Neglect Registry.....	20

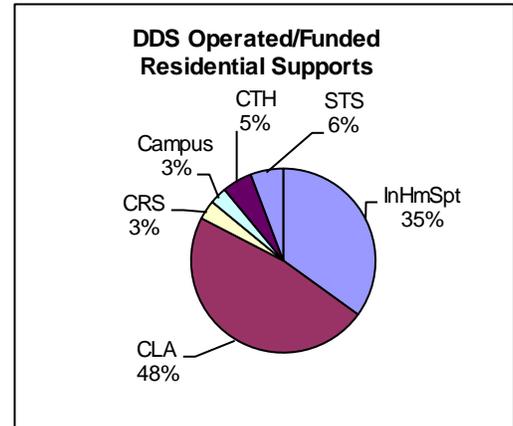
SECTION I: Services and Supports

A. Where People Live and How They Are Supported STATEWIDE

Total Clients: 15,462 **ΔYTD: +72**
(includes all active DDS clients)

Total Served: 20,713 **ΔYTD: +316**
(Includes active clients plus 5,251 non-DDS clients in Birth to Three programs)

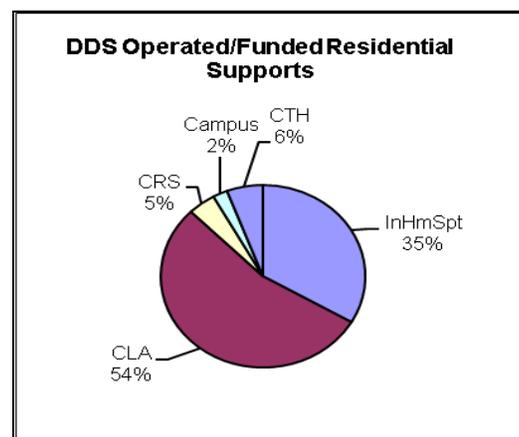
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	6,495		506	810	7,811	50.5%
Own Home (IL)**	264	312	958	180	1714	11.1%
Sub-Total	6,759	312	1,464	990	9,525	61.6%
% Total	71.0%	3.3%	15.4%	10.4%	100%	
DDS Operated/Funded						
STS		455			455	2.9%
DDS Centers		238			238	1.5%
CLA		495	3,274		3,769	24.4%
CRS			268		268	1.7%
CTH			415		415	2.7%
Sub-Total		1,188	3,957		5,145	33.3%
% Total		23.1%	76.9%		100%	
Other State Agencies						
DMHAS			3		3	0.0%
DOC			7		7	0.0%
DCFCFO			86		86	0.6%
Sub-Total			96		96	0.6%
Other						
LTC/SNF/RCH (HA)			431		431	2.8%
Res. Schools			139		139	0.9%
Other			84		84	0.5%
Sub-Total			654		654	4.2%
Blank			42		42	0.3%
Grand Total	6,759	1,500	6,213	990	15,462	100.0%



NORTH REGION

Total Clients: 5,249 **ΔYTD: +49**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,310		201	218	2,729	52.0%
Own Home (IL)**	113	109	298	31	551	10.5%
Sub-Total	2,423	109	499	249	3,280	62.5%
% Total	73.9%	3.3%	15.2%	7.6%	100.0%	
DDS Operated/Funded						
DDS Centers		60			60	1.1%
CLA		239	1,151		1,390	26.5%
CRS			113		113	2.2%
CTH			147		147	2.8%
Sub-Total		299	1,411	0	1,710	32.6%
% Total		17.5%	82.5%	0.0%	100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			6		6	0.1%
DCFCFO			29		29	0.6%
Sub-Total			36	0	36	0.7%
Other						
LTC/SNF/RCH (HA)			120		120	2.3%
Res. Schools			39		39	0.7%
Other			44		44	0.8%
Subtotal			203		203	3.9%
Blank			20		20	0.4%
Grand Total	2,423	408	2,169	249	5,249	100.0%



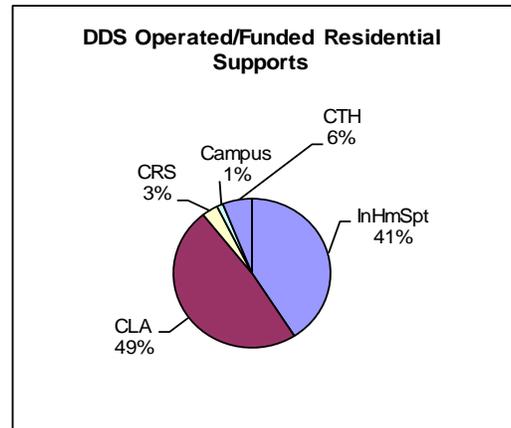
*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,818 **ΔYTD: +28**
 (includes all active DDS clients)

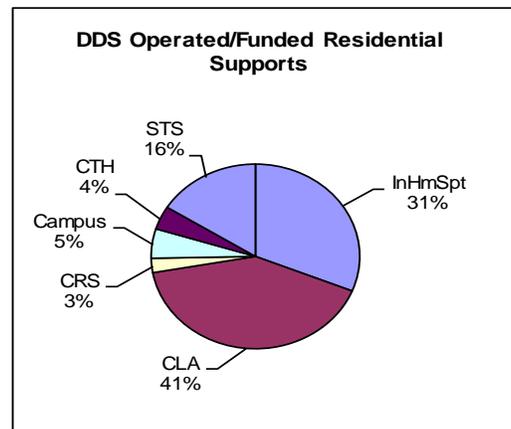
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	1,981		140	281	2,402	49.9%
Own Home (IL)**	75	115	404	88	682	14.2%
Sub-Total	2,056	115	544	369	3,084	64.0%
% Total	66.7%	3.7%	17.6%	12.0%	100.0%	
DDS Operated/Funded						
DDS Centers		32			32	0.7%
CLA		183	1,033		1,216	25.2%
CRS			84		84	1.7%
CTH			152		152	3.2%
Sub-Total		215	1,269		1,484	30.8%
% Total		14.5%	85.5%		100.0%	
Other State Agencies						
DMHAS			0		0	0.0%
DOC			1		1	0.0%
DCFCTO			35		35	0.7%
Sub-Total			36		36	0.7%
Other						
LTC/SNF/RCH (HA)			157		157	3.3%
Res. Schools			35		35	0.7%
Other			15		15	0.3%
Sub-total			207		207	4.3%
Blank			7		7	0.1%
Grand Total	2,056	330	2,063	369	4,818	100.0%



WEST REGION

Total Clients: 5,395 **ΔYTD: -5**
 (includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	2,204		165	311	2,680	49.7%
Own Home (IL)**	76	88	256	61	481	8.9%
Sub-Total	2,280	88	421	372	3,161	58.6%
% Total	72.1%	2.8%	13.3%	11.8%	100%	
DDS Operated/Funded						
STS		455			455	8.4%
DDS Centers		146			146	2.7%
CLA		73	1,090		1,163	21.6%
CRS			71		71	1.3%
CTH			116		116	2.2%
Sub-Total		674	1,277		1,951	36.2%
% Total		34.5%	65.5%		100%	
Other State Agencies						
DMHAS			2		2	0.0%
DOC			0		0	0.0%
DCFCTO			22		22	0.4%
Sub-Total			24		24	0.4%
Other						
LTC/SNF/RCH (HA)			154		154	2.9%
Res. Schools			65		65	1.2%
Other			25		25	0.5%
Sub-total			244		244	4.5%
Blank			15		15	0.3%
Grand Total	2,280	762	1,981	372	5,395	100.0%



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports
B. Work and Day Services
STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		979	7	140	1,126	7.3%
Group Supp. Emp.		3,266	130		3,396	22.0%
Sheltered Emp.		415	29		444	2.9%
Day Supp. Opt.		3,710	394	94	4,198	27.2%
Individ. Day Supp.		193	0	1	194	1.3%
Comp. Employment	386				386	2.5%
Other		59	3		62	0.4%
Sub-Total	386	8,622	563	235	9,806	63.4%
% Total	3.9%	87.9%	5.7%	2.4%	100.0%	
Educational and Developmental Services						
LEA		3,858			3,858	25.0%
Res School		30			30	0.2%
Birth to Three		24	4		28	0.2%
Other		81	53		134	0.9%
Sub-Total		3,993	57		4,050	26.2%
Other						
No Day Program	1,165				1,165	7.5%
Blanks	441				441	2.9%
Sub-Total	1,606				1,606	10.4%
Grand Total	1,992	12,615	620	235	15,462	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		292	7	21	320	6.1%
Group Supp. Emp.		1,190	26		1,216	23.2%
Sheltered Emp.		127	7		134	2.6%
Day Supp. Opt.		1,192	91	41	1,324	25.2%
Individ. Day Supp.		65	0	0	65	1.2%
Comp. Employment	132				132	2.5%
Other		33	0		33	0.6%
Sub-Total	132	2,899	131	62	3,224	61.4%
% Total	4.1%	89.9%	4.1%	1.9%	100.0%	
Educational and Developmental Services						
LEA		1,418			1,418	27.0%
Res School		6			6	0.1%
Birth to Three		17	0		17	0.3%
Other		63	18		81	1.5%
Sub-Total		1,504	18		1,522	29.0%
Other						
No Day Program	368				368	7.0%
Blanks	135				135	2.6%
Sub-Total	503				503	9.6%
Grand Total	635	4,403	149	62	5,249	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		381		62	443	9.2%
Group Supp. Emp.		1,092	19		1,111	23.1%
Sheltered Emp.		96			96	2.0%
Day Supp. Opt.		1,206	22	27	1,255	26.0%
Individ. Day Supp.		113	0	0	113	2.3%
Comp. Employment	117				117	2.4%
Other		7	1		8	0.2%
Sub-Total	117	2,895	42	89	3,143	65.2%
% Total	3.7%	92.1%	1.3%	2.8%	100.0%	
Educational and Developmental Services						
LEA		1,193			1,193	24.8%
Res School		12			12	0.2%
Birth to Three			4		4	0.1%
Other		3	22		25	0.5%
Sub-Total		1,208	26		1,234	25.6%
Other						
No Day Program	338				338	7.0%
Blanks	103				103	2.1%
Sub-Total	441				441	9.2%
Grand Total	558	4,103	68	89	4,818	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		306		57	363	6.7%
Group Supp. Emp.		984	85		1,069	19.8%
Sheltered Emp.		192	22		214	4.0%
Day Supp. Opt.		1,312	281	26	1,619	30.0%
Individ. Day Supp.		15	0	1	16	0.3%
Comp. Employment	137				137	2.5%
Other		19	2		21	0.4%
Sub-Total	137	2,828	390	84	3,439	63.7%
% Total	4.0%	82.2%	11.3%	2.4%	100.0%	
Educational and Developmental Services						
LEA		1,247			1,247	23.1%
Res School		12			12	0.2%
Birth to Three		7	0		7	0.1%
Other		15	13		28	0.5%
Sub-Total		1,281	13		1,294	24.0%
Other						
No Day Program	459				459	8.5%
Blanks	203				203	3.8%
Sub-Total	662				662	12.3%
Grand Total	799	4,109	403	84	5,395	100.0%

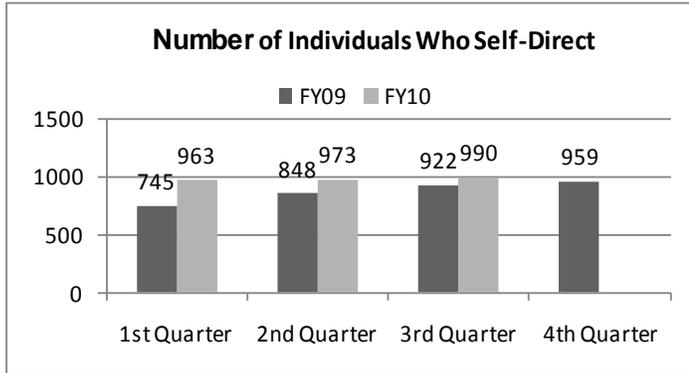
SECTION I: Services and Supports

C. Number of Individuals Who Self-Direct

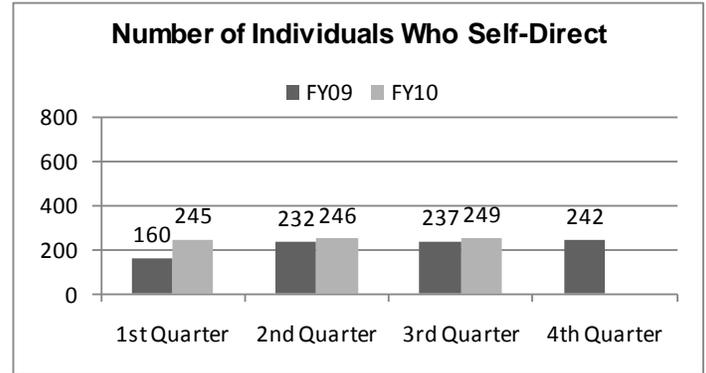
D. Birth to Three Services

E. Case Management Breakdown – Active Consumers

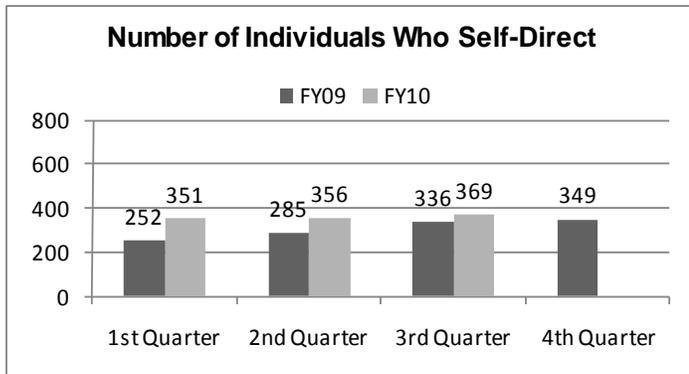
STATEWIDE



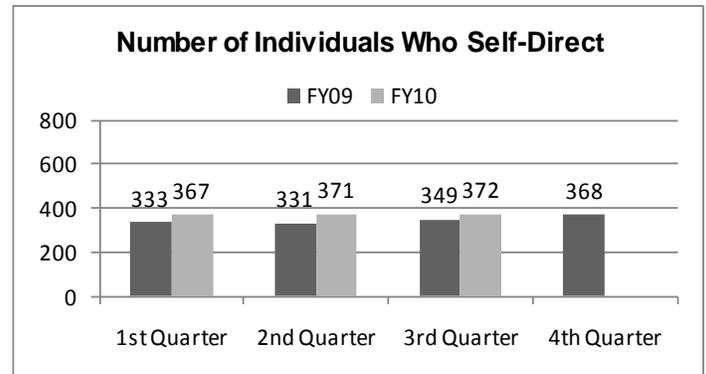
NORTH REGION



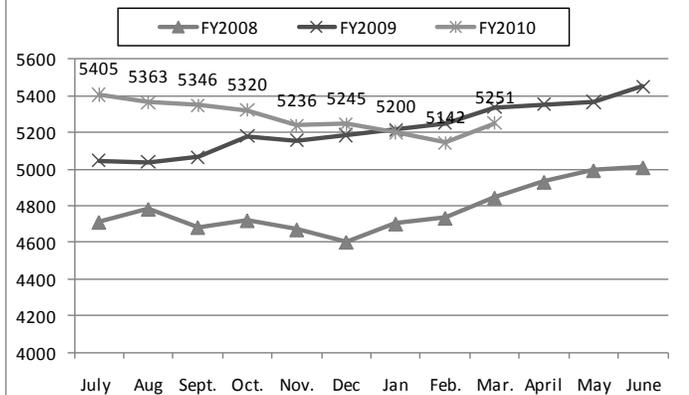
SOUTH REGION



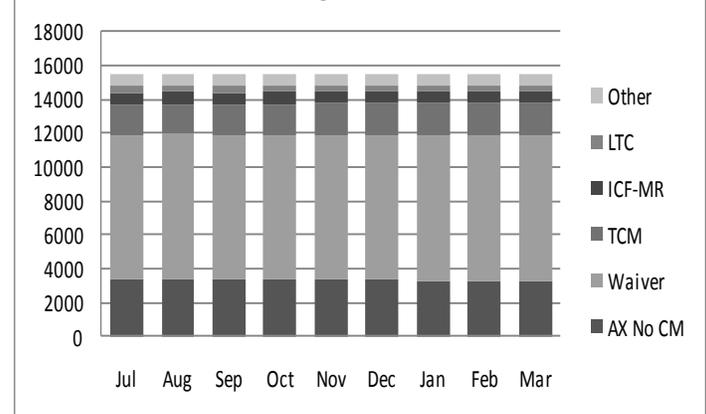
WEST REGION



Birth to Three System Eligible Enrolled Children



Case Management Breakdown



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	-135	39	166	-20	12	-44	18

SECTION I: Services and Supports

F. Family Support – Direct Support Services

IFS Family Support - Statewide

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		Total Unduplicated YTD
	New Case	Continued	New Case	Continued	New Case	Continued	New Case	Continued	
Not Enrolled - Adult	160	0	28	130	30	127			196
Enrolled - Adult	164	0	26	133	21	133			187
Not Enrolled - Child	114	0	20	88	16	83			137
Enrolled - Child	49	0	5	40	4	35			51
Total	487	0	79	391	71	378	0	0	571

IFS Family Support - NR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		Total Unduplicated YTD
	New Case	Continued	New Case	Continued	New Case	Continued	New Case	Continued	
Not Enrolled - Adult	15	0	11	15	10	20			26
Enrolled - Adult	13	0	2	5	2	7			15
Not Enrolled - Child	7	0	2	4	1	2			9
Enrolled - Child	22	0	3	21	2	23			25
Total	57	0	18	45	15	52	0	0	75

IFS Family Support - SR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		Total Unduplicated YTD
	New Case	Continued	New Case	Continued	New Case	Continued	New Case	Continued	
Not Enrolled - Adult	88	0	11	62	10	53			99
Enrolled - Adult	76	0	14	54	12	55			90
Not Enrolled - Child	46	0	15	27	8	23			61
Enrolled - Child	18	0	2	12	2	6			20
Total	228	0	42	155	32	137	0	0	270

IFS Family Support - WR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		Total Unduplicated YTD
	New Case	Continued	New Case	Continued	New Case	Continued	New Case	Continued	
Not Enrolled - Adult	57	0	6	53	10	54			71
Enrolled - Adult	75	0	10	74	7	71			82
Not Enrolled - Child	61	0	3	57	7	58			67
Enrolled - Child	9	0	0	7	0	6			6
Total	202	0	19	191	24	189	0	0	226

SECTION I: Services and Supports

G. Respite Utilization

NORTH REGION								
Respite Utilization Data - FY 2010								
Baseline Data - June 2009			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	122	Under 18	75	6	2		83
	Over 18	219	Over 18	164	14	6		184
Unduplicated Number Of People Using Respite Beds	Under 18	82	Under 18	47	12	2		61
	Over 18	255	Over 18	133	29	4		166

SOUTH REGION								
Respite Utilization Data - FY 2010								
Baseline Data - June 2009			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	157	Under 18	73	40	12		125
	Over 18	331	Over 18	185	56	20		261
Unduplicated Number Of People Using Respite Beds	Under 18	140	Under 18	63	17	9		89
	Over 18	309	Over 18	172	39	25		236

WEST REGION								
Respite Utilization Data - FY 2010								
Baseline Data - June 2009			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	82	Under 18	73	49	10		132
	Over 18	144	Over 18	230	91	0		321
Unduplicated Number Of People Using Respite Beds	Under 18	82	Under 18	73	44	15		132
	Over 18	144	Over 18	230	57	28		315

Section II: Service Needs
A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	17	499	516
DYTD	-4	38	34
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	78	79
CRS	0	15	15
CTH	1	6	7
Indiv Home Supp*	5	124	129
Sub-Total	7	223	230
DYTD	-5	-58	-63
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	52	52
Other	0	19	19
Sub-Total	0	71	71
Total w/Sup. & Service	7	295	302
Grand Total	24	794	818
Change YTD	-11	-17	-28

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	10	150	160
DYTD	1	13	14
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	29	29
CRS	0	8	8
CTH	1	2	3
Indiv Home Supp*	2	52	54
Sub-Total	3	91	94
DYTD	-1	-19	-20
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	16	16
Other	0	9	9
Sub-Total	0	25	25
Total w/Sup. & Service	3	116	119
Grand Total	13	266	279
Change YTD	-2	-6	-8

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	3	161	164
DYTD	0	1	1
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	1	2	3
CRS	0	1	1
CTH	0	0	0
Indiv Home Supp*	0	10	10
Sub-Total	1	13	14
DYTD	0	-87	-87
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	21	21
Other	0	3	3
Sub-Total	0	24	24
Total w/Sup. & Service	1	38	39
Grand Total	4	199	203
Change YTD	0	-80	-80

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	4	188	192
DYTD	-5	24	19
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	47	47
CRS	0	6	6
CTH	0	4	4
Indiv Home Supp*	3	62	65
Sub-Total	3	119	122
DYTD	-4	48	44
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	15	15
Other	0	7	7
Sub-Total	0	22	22
Total w/Sup. & Service	3	141	144
Grand Total	7	329	336
Change YTD	-9	69	60

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	1059	402	1461
DYTD	4	-27	-23
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	7	0	7
CTH	2	0	2
Indiv Home Supp*	42	2	44
Sub-Total	51	2	53
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	6	4	10
Other	7	2	9
Sub-Total	13	6	19
Total Supports & Service	66	8	74
Grand Total	1125	410	1535
Change YTD	4	-31	-27

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	410	165	575
DYTD	10	-8	2
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	3	0	3
CTH	0	0	0
Indiv Home Supp*	20	1	21
Sub-Total	23	1	24
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	5	1	6
Sub-Total	8	2	10
Total Supports & Service	31	3	34
Grand Total	441	168	609
Change YTD	5	-8	-3

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	427	137	564
DYTD	9	-1	8
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	10	0	10
Sub-Total	13	0	13
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	1	0	1
Sub-Total	4	1	5
Total Supports & Service	18	1	19
Grand Total	445	138	583
Change YTD	3	-5	-2

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	222	100	322
DYTD	-15	-18	-33
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	12	1	13
Sub-Total	15	1	16
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	0	2	2
Other	1	1	2
Sub-Total	1	3	4
Total Supports & Service	17	4	21
Grand Total	239	104	343
Change YTD	-4	-18	-22

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
C. Day Services Waiting List

STATEWIDE			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	108	109
Out of Home	0	27	27
Total no supports	1	135	136
DYTD	0	-1	-1
Transition (Planned Placements)			
June 2009 Grads (Home)	0	34	34
June 2009 Ageouts (DCF, LEA, ISA funded)	0	12	12
Total	0	46	46
DDS Funded/Operated Supports*			
DDS Programs	0	8	8
Private Programs	0	93	93
Total	0	101	101
DYTD	0	28	28

NORTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	53	53
Out of Home	0	19	19
Total no supports	0	72	72
DYTD	-1	9	8
Transition (Planned Placements)			
June 2009 Grads (Home)	0	17	17
June 2009 Ageouts (DCF, LEA, ISA funded)	0	7	7
Total	0	24	24
DDS Funded/Operated Supports*			
DDS Programs	0	6	6
Private Programs	0	48	48
Total	0	54	54
DYTD	0	26	26

SOUTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	24	24
Out of Home	0	4	4
Total no supports	0	28	28
DYTD	0	-6	-6
Transition (Planned Placements)			
June 2009 Grads (Home)	0	7	7
June 2009 Ageouts (DCF, LEA, ISA funded)	0	2	2
Total	0	9	9
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	2	2
Total	0	2	2
DYTD	0	-8	-8

WEST REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	31	32
Out of Home	0	4	4
Total no supports	1	35	36
DYTD	1	-4	-3
Transition (Planned Placements)			
June 2009 Grads (Home)	0	10	10
June 2009 Ageouts (DCF, LEA, ISA funded)	0	3	3
Total	0	13	13
DDS Funded/Operated Supports*			
DDS Programs	0	2	2
Private Programs	0	43	43
Total	0	45	45
DYTD	0	10	10

*NOTE: DDS funded/Operated Supports – individuals in this category do not have sufficient funding to exercise portability (purchase a different service/support with their current resources)
 Two additional individuals are listed as June 2008 Grads and another two additional individuals are listed as June 2008 Ageouts statewide.

Section II: Service Needs

D. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2011	76
FY 2012	76
FY 2013	64

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2011	24
FY 2012	26
FY 2013	26

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2011	23
FY 2012	27
FY 2013	21

WEST REGION	
DCF, LEA or ISA Funded	
FY 2010	29
FY 2011	23
FY 2012	17

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2011	278	92
FY 2012	129	69
FY 2013	52	72

NORTH REGION		
	School Graduates	Ageouts
FY 2011	105	43
FY 2012	48	19
FY 2013	28	20

SOUTH REGION		
	School Graduates	Ageouts
FY 2011	89	19
FY 2012	15	21
FY 2013	10	28

WEST REGION		
	School Graduates	Ageouts
FY 2011	84	30
FY 2012	66	29
FY 2013	14	24

NOTE: Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2013).

Section II: Service Needs

E. Portability

Number Of People Who Initiate The Portability Process										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	2	17	2	7	8	12			12	36
SR	7	35	4	16	11	14			22	65
WR	6	11	0	13	0	8			6	32
TOT	15	63	6	36	19	34	0	0	40	133

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who RESOLVE Their Issues And Stay -- DO NOT USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR		0	0	0	0	3			0	3
SR	0	1	0	0	0	1			0	2
WR	1	2	0	1	0	0			1	3
TOT	1	3	0	1	0	4	0	0	1	8

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who DO NOT RESOLVE Their Issues And Move -- USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	2	4	2	2	3	5			7	11
SR	7	28	4	8	11	8			22	44
WR	4	9	0	12	0	0			4	21
TOT	13	41	6	22	14	13	0	0	33	76

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People For Whom The Issue Is Still PENDING										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	13	0	5	5	4	0	0	5	22
SR	0	6	0	8	0	5	0	0	0	19
WR	1	0	0	0	0	8	0	0	1	8
TOT	1	19	0	13	5	17	0	0	6	49

Section III: New Development Goals and Support Activity
A. Residential Waiting List Activities and Residential Ageouts

FY 10 - Third Quarter Report	
Waiting List Activities	
Service Activity July 1, 2009 - March 31, 2010	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
New FY 09 Funds	7
Opportune	7
Total	14
South Region	Actual YTD
New FY 09 Funds	1
Opportune	22
Total	23
West Region	Actual YTD
New FY 09 Funds	3
Opportune	31
Total	34
Statewide	Actual YTD
New FY 09 Funds	11
Opportune	60
Grand Total	71

Residential AgeOuts			
	Goal	Actual YTD	Difference
North Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	23	6	-17
Served with Opportune Resources	NA	0	0
Total	23	3	-17
South Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	22	4	-18
Served with Opportune Resources	NA	0	0
Total	22	4	-18
West Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	27	5	-22
Served with Opportune Resources	NA	0	0
Total	27	5	5
Statewide	Goal	Actual YTD	Difference
Served with FY10 AO Funds	72	15	-57
Served with Opportune Resources	NA	0	0
Grand Total	72	15	-57

Four Additional individuals were served with FY 09 funds during this period

Section III: New Development Goals and Support Activity
B. Day AgeOuts and High School Grads

High School Graduates
 Service Activity July 1, 2009 - June 30, 2010

High School Graduates Funding			
North Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	119	99	-20
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	119	99	-20
South Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	77	65	-12
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	77	65	-12
West Region	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	59	53	-6
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Total	59	53	-6
Statewide	Goal	Actual YTD	Difference
June 2009 Grads Served w/FY10 Grad Funds	255	217	-38
Day Waiting List Ind. Served w/FY10 Grad Funds	0	0	0
Grand Total	255	217	-38

Six additional individuals were served with FY 09 and FY 08 funds during this period

Individuals "Aging Out" of DCF and LEA Services
 Service Activity July 1, 2009 - June 30, 2010

Day AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	29	26	-3
Served with Opportune Resources	NA	0	0
Total	29	26	-3
South Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	17	20	3
Served with Opportune Resources	NA	0	0
Total	17	20	3
West Region	Goal	Actual YTD	Difference
Served with FY10 AO Funds	27	21	-6
Served with Opportune Resources	NA	0	0
Total	27	21	-6
Statewide	Goal	Actual YTD	Difference
Served with FY10 AO Funds	73	67	-6
Served with Opportune Resources	NA	0	0
Grand Total	73	67	-6

One additional individual was funded with FY 09 funds.

Section IV: Eligibility

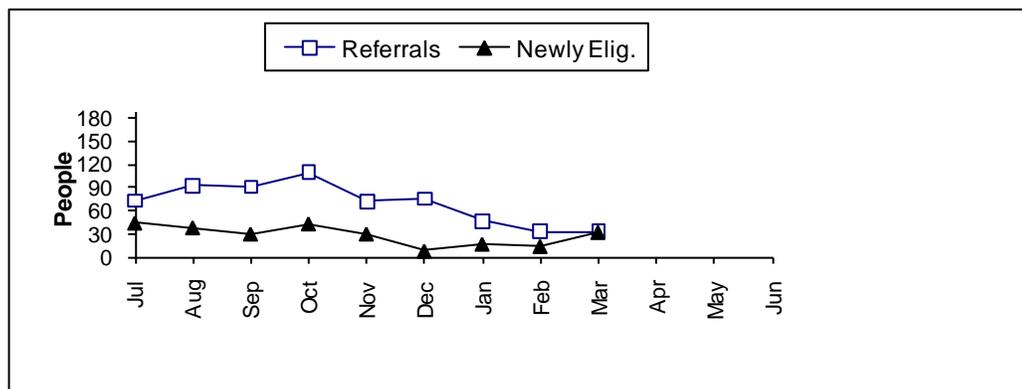
**Eligibility Inquiries And Determination
For Third Quarter FY '10 - January 1, 2010 - March 31, 2010**

There Were 112 Inquiries To Eligibility Unit

There Were 90 Eligibility Determinations
Note: Only 9 of the 90 determination were from inquiries made during the quarter.

Age Range	Total	Eligible	% Eligible	Ineligible	% Ineligible
0-2.9	0	0	0.00%	0	0.00%
3-4.9	6	6	9.52%	0	0.00%
5-7	6	5	7.94%	1	3.70%
8-17.9	44	28	44.44%	16	59.26%
18-20.9	15	12	19.05%	3	11.11%
21-29	9	5	7.94%	4	14.81%
30-39	2	2	3.17%	0	0.00%
40-49	3	1	1.59%	2	7.41%
50-59	4	3	4.76%	1	3.70%
60-69	1	1	1.59%	0	0.00%
Totals	90	63	70.00%	27	30.00%

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE

March 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	28	0	0	0	0	28	0%
3-5	0	0	0	0	0	1	0	0	0	0	0	0	0	178	0	1	0	0	180	1%
6-13	0	0	17	0	0	25	0	0	0	13	0	0	0	1,379	0	1	4	2	1,441	9%
14-17	0	0	37	4	4	27	0	0	0	38	1	0	0	947	0	1	11	2	1,072	7%
18-21	2	0	138	14	13	27	2	0	0	58	7	0	9	1,218	0	4	13	2	1,507	10%
22-34	33	41	584	82	76	6	9	0	3	23	300	18	85	2,297	0	6	15	16	3,594	23%
35-44	88	111	561	73	86	0	15	2	1	3	172	58	137	823	1	6	7	6	2,150	14%
45-54	188	191	945	59	110	0	40	4	12	3	173	99	184	600	2	2	8	7	2,627	17%
55-64	227	107	637	26	74	0	90	17	11	1	97	89	125	266	0	1	6	4	1,778	11%
65-74	101	34	251	7	41	0	80	8	16	0	48	35	35	58	0	0	3	3	720	5%
75+	54	11	104	3	11	0	92	11	18	0	14	13	15	17	0	0	2	0	365	2%
TOTAL	693	495	3,274	268	415	86	328	42	61	139	812	312	590	7,811	3	22	69	42	15,462	100%
PERCENT	4%	3%	21%	2%	3%	1%	2%	0%	0%	1%	5%	2%	4%	51%	0%	0%	0%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	54	4	4	53	0	0	0	51	1	0	0	2,532	0	3	15	4	2,721	18%
Young Adults (Age 18-21)	2	0	138	14	13	27	2	0	0	58	7	0	9	1,218	0	4	13	2	1,507	10%
Adults (Age 22 and Over)	691	495	3,082	250	398	6	326	42	61	30	804	312	581	4,061	3	15	41	36	11,234	73%
Total Percent	693	495	3,274	268	415	86	328	42	61	139	812	312	590	7,811	3	22	69	42	15,462	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 * Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE
March 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	13	7	0	0	0	0	0	8	28	0%
3-5	0	0	0	0	0	0	44	110	0	0	0	1	3	22	180	1%
6-13	0	0	0	0	0	0	48	1,325	2	0	0	11	13	42	1,441	9%
14-17	0	0	0	0	0	0	3	1,014	10	0	0	7	3	35	1,072	7%
18-21	27	22	55	1	2	0	2	1,310	18	1	1	3	9	56	1,507	10%
22-34	1,085	540	1,239	71	34	14	0	106	16	91	6	16	264	112	3,594	23%
35-44	700	235	725	93	13	17	0	4	3	102	10	4	206	38	2,150	14%
45-54	990	208	851	133	16	25	0	2	2	108	11	6	223	52	2,627	17%
55-64	837	92	404	111	4	25	0	2	1	67	6	3	183	43	1,778	11%
65-74	367	29	105	26	0	26	0	0	0	13	1	1	126	26	720	5%
75+	192	3	17	9	0	23	0	0	0	4	1	2	99	15	365	2%
TOTAL	4,198	1,129	3,396	444	69	130	110	3,880	52	386	36	54	1,129	449	15,462	100%
PERCENT	27%	7%	22%	3%	0%	1%	1%	25%	0%	2%	0%	0%	7%	3%	100%	
Sub-Totals by Age Groups																
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	0	0	0	0	108	2,456	12	0	0	19	19	107	2,721	18%
Young Adults (Age 18-21)	27	22	55	1	2	0	2	1,310	18	1	1	3	9	56	1,507	10%
Adults (Age 22 and Over)	4,171	1,107	3,341	443	67	130	0	114	22	385	35	32	1,101	286	11,234	73%
Total	4,198	1,129	3,396	444	69	130	110	3,880	52	386	36	54	1,129	449	15,462	100%
Percent	27%	7%	22%	3%	0%	1%	1%	25%	0%	2%	0%	0%	7%	3%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical																

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CTH	Family Home	Home w/ Supports	RCH	Other	
North	238	967	98	138	1,035	436	8	19	2,939
South	182	922	77	144	1,103	590	3	16	3,037
West	72	864	63	112	1,116	383	2	16	2,628
Mar 10 Total	492	2,753	238	394	3,254	1,409	13	51	8,604
June 2009	533	2,723	0	389	3,198	1,623	12	41	8,519
ΔYTD	-41	30	238	5	56	-214	1	10	85

Comprehensive Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CTH	Family Home	Home w/ Supports	RCH	Other	
North	238	955	85	125	76	225	4	7	1,715
South	182	918	54	133	55	257	1	10	1,610
West	72	849	55	101	68	159	2	5	1,311
Mar 10 Total	492	2,722	194	359	199	641	7	22	4,636
June 2009	533	2,681	0	362	202	814	8	20	4,620
ΔYTD	-41	41	194	-3	-3	-173	-1	2	16

Individual and Family Support Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CTH	Family Home	Home w/ Supports	RCH	Other	
North	0	12	13	13	959	211	4	12	1,224
South	0	4	23	11	1,048	333	2	6	1,427
West	0	15	8	11	1,048	224	0	11	1,317
Mar 10 Total	0	31	44	35	3,055	768	6	29	3,968
June 2009	0	42	0	27	2,996	809	4	21	3,899
ΔYTD	0	-11	44	8	59	-41	2	8	69

Data Source: CAMRIS 3/10

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2010 Third Quarter					
(Millions of Dollars)					
	SFY 09	SFY 10	SFY 10	SFY 10	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	vs Actual	Revenue
					Received
Waiver	\$270.03	\$381.45	\$227.47	\$153.98	59.63%
Public ICF/MR	\$107.07	\$111.62	\$84.06	\$27.55	75.31%
Targeted Case Mgmt.	\$10.79	-\$11.39	\$9.27	-\$20.67	-81.39%
Birth to Three	\$4.48	\$19.46	\$3.55	\$15.91	18.25%
TOTAL Billing	\$392.36	\$501.13	\$324.36	\$176.77	64.73%

MU - Revenues Cross Over Multiple Regions*					
Waiver	\$25.09	\$35.66	\$18.38	\$17.28	51.54%
Birth to Three	\$4.48	\$19.46	\$3.55	\$15.91	18.25%
TOTAL Billing	\$29.57	\$55.12	\$21.93	\$33.19	39.79%

*Excludes Public ICF/MR and Targeted Case Mgmt.

North*					
Waiver	\$94.75	\$133.52	\$80.15	\$53.37	60.03%
Public ICF/MR	\$8.14	\$7.78	\$5.53	\$2.26	71.03%
Targeted Case Mgmt.	\$3.28	-\$3.90	\$3.24	-\$7.14	-83.09%
TOTAL Billing	\$106.17	\$137.41	\$88.92	\$48.49	64.71%

*Excludes Birth to Three

South*					
Waiver	\$85.69	\$121.09	\$73.44	\$47.65	60.65%
Public ICF/MR	\$7.05	\$5.47	\$4.73	\$0.75	86.38%
Targeted Case Mgmt.	\$3.76	-\$3.65	\$3.29	-\$6.94	-90.13%
TOTAL Billing	\$96.50	\$122.91	\$81.46	\$41.45	66.28%

*Excludes Birth to Three

West*					
Waiver	\$64.50	\$91.18	\$55.50	\$35.68	60.87%
Public ICF/MR	\$91.88	\$98.36	\$73.81	\$24.55	75.04%
Targeted Case Mgmt.	\$3.75	-\$3.84	\$2.74	-\$6.58	-71.32%
TOTAL Billing	\$160.13	\$185.70	\$132.05	\$53.65	71.11%

*Excludes Birth to Three

Section VII: Human Resource

A. DDS funded Position Count

<u>Permanent Full Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	813	745	3	21	0	44
South	733	666	2	33	3	32
West	645	556	4	14	3	71
STS	1267	1131	9	63	2	64
Central Office	134	121	0	1	0	12
Totals	3592	3219	18	132	8	223

<u>Permanent Part Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	359	341	2	5	1	11
South	328	292	2	13	3	21
West	252	241	1	9	1	1
STS	220	140	0	7	1	73
Central Office	2	2	0	0	0	0
Totals	1161	1016	5	34	6	106

<u>Other General Fund Positions - Filled</u>						
Type	North	South	West	STS	CO	Total
Temporary	0	1	1	0	0	2
General Workers	32	36	3	113	0	184
Substitutes	0	0	1	0	0	1
Retirees	12	11	5	16	2	46
Inst. Fire	0	0	0	9	0	9
Per Diems	21	18	6	4	5	54

<u>Federal Funded Positions - Filled</u>						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	14	14
Part Time	7	8	2	0	0	17

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

DDS ABUSE AND NEGLECT REGISTRY QUARTERLY REPORT

January through March - 2010

REGISTRY REFERRALS		
New Referrals this Quarter	8	(7 – Private Sector) (1 – Public Sector)
Referrals as of 12/31/09	516	(509 actual names – 7 individuals have dual referrals)
TOTAL REFERRALS	524	(517 actual names – 7 individuals have dual referrals)

REFERRALS BY SECTOR		
Private sector	394	77%
Public sector	122	23%

	Total to date	Private Sector	Public Sector
Names on Registry	86 names	60	26
Closed - Arbitration & Legal Proceeding	72	14	58
Closed - Do not meet Statutory Criteria	281	249	32
Total Completed Cases	439	323	116

HEARING ACTIVITY for the Quarter	
Hearings Held	3
Hearing Waivers Received	0
Pending Hearings Scheduled	3

Abuse/Neglect Registry Inquiries

