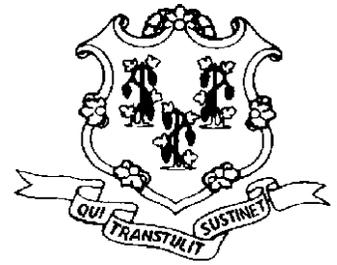




STATE OF CONNECTICUT  
Department of Developmental Services



**M.I.R.**  
**Management Information Report**  
**December 2014**

Issued

January, 2015

by DDS Deputy Commissioner's Office

# DDS Management Information Report

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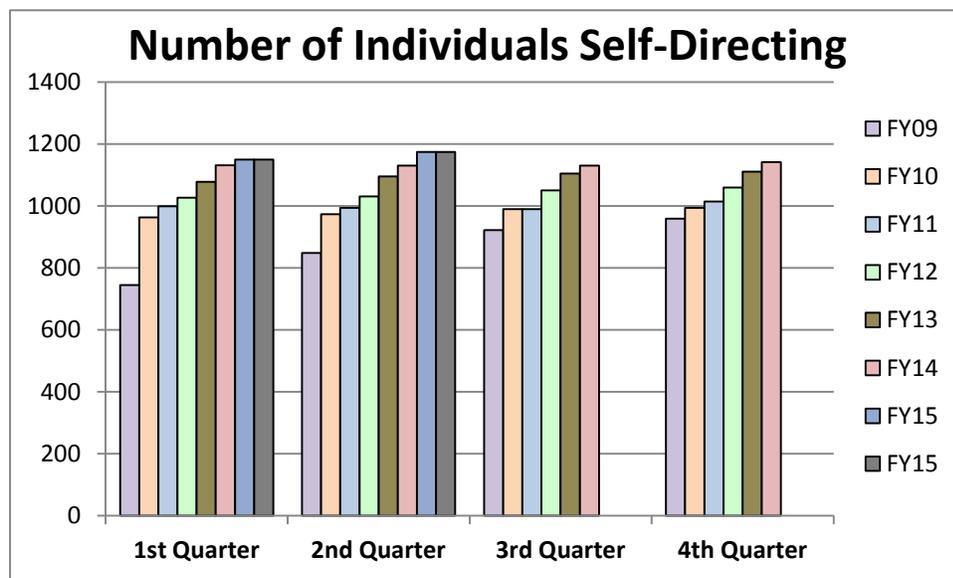
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## Executive Summary

The Management Information Report (MIR) provides DDS staff and stakeholders with information about eligibility, consumer services and supports, support needs and new development activities, waiver enrollment and revenue, and personnel management. Key findings from the fourth quarter report include:

### Section I: Services and Supports

- Residential settings with the largest number of individuals served by DDS include Family Homes, Own Homes (IL) and CLAs (52.8%, 10.4%, 23.0% respectively)
- Out of all employment and day programs, Day Support Options and Group Supported Employment have the highest percentage of individuals participating. Neither of these programs is considered “Employment.” Individuals participating in Day Support Options don’t receive wages and those in Group Supported Employment earn wages that do not meet minimum wage standards.
- The gap between individuals in employment programs vs. non-employment programs continues to widen.
- Statewide, the number of individuals self-directing their services continues to increase. In the previous fiscal years, the number of individuals self-directing their services increased throughout each quarter.



- The number of eligible enrolled children in the Birth to Three Systems has decreased from last quarter.
- The number of active individuals is up from last quarter. Waiver remains the most frequent caseload type. All quarters within the last fiscal year demonstrated the same trend.
- Statewide, the number of family direct support services has increased since last quarter. Adults not enrolled have the highest number of new cases out of all new cases this quarter.
- Respite center usage has decreased compared to last quarter.
- Respite grant utilization has decreased since last quarter. Throughout the previous fiscal year, there has been a fluctuation in the number of individuals using respite services. 235 people used respite services in Q1, 154 people used respite services in Q2, 276 individuals used respite services in Q3 and 659 in Q4.

- Life Skills Coaches, Behavior Management, Community Mentors, Individual Goods & Services and Social Skills Groups are the most frequent/prevalent services used by individuals participating in the Autism Spectrum Disorder Program.

#### Section II: Service Needs

- There are 667 individuals on the DDS Waiting List, defined as those who live in their own or family home, have no supports have active residential needs and are either an emergency or Priority 1 (27 individuals with emergency priority and 640 who are categorized as priority 1). This number has increased since the beginning of the last fiscal year (50 individuals with emergency priority and 587 who are categorized as priority 1).
- 231 additional individuals are receiving DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting. 17 have emergency needs and 214 are categorized as priority 1.
- There are 1,242 people on the residential planning list. Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status (946 are priority 2 and 296 are priority 3). Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

#### Section III: New Development Goals and Support Activity

- The FY 2015 budget included four million dollars in new funding to reflect a half-year of funding for at least 100 individuals designated as Priority One on the DDS Residential Waiting List and with a caregiver age 70 or older. Regional Planning and Resource Allocation Teams (PRATs) approved new FY15 funding for 77 individuals and 41 individuals had services initiated during this fiscal year.
- 42 individuals were provided with supports through the re-use of opportune resources. 113 individuals were able to be moved utilizing portable funding. At the end of Q2 in FY14, 49 individuals were provided with supports through the re-use of opportune resources and 36 individuals were able to be moved utilizing portable funding. 40 individuals were served using FY15 age out funds.
- 13% (27 out of 215) individuals who are underserved received annualized residential supports. At the end of Q2 in FY14, 6% (18 out of 307) individuals who are underserved received annualized residential supports.
- 229 individuals who graduated in June 2014 were served with FY15 grad funding. 89 individuals who aged out of DCF were served with FY15 grad funds.

#### Section IV: Eligibility

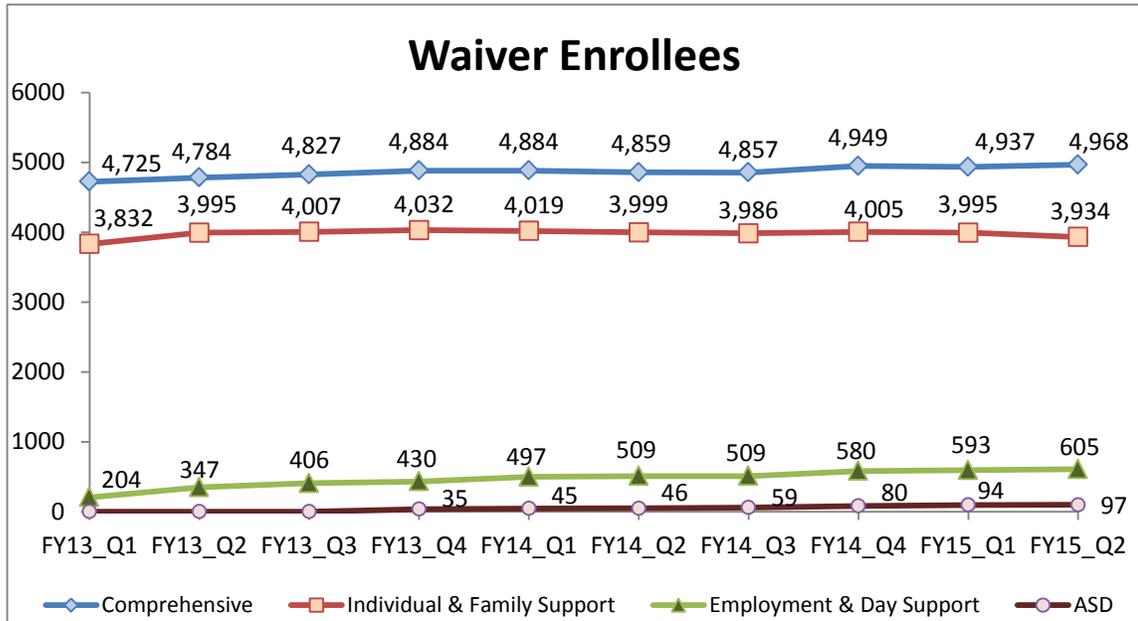
- 376 individuals had eligibility determinations in the second quarter. 261 (69%) individuals were eligible.

#### Section V: Case Load by Age

- The age group with the highest percentage of individuals being provided supports/services is between 22-34 years old (27% of individuals).
- Children (0-17 years old) and Young Adults (18-21 years old) most frequently attend public school as their day program over all other options. Adults (22 and over) participate in day supported options most frequently followed by group supported employment (38% and 28% of adults, respectively)

Section VI: Home and Community Based Waiver

- The Comprehensive Waiver is the most commonly used waiver during the 2<sup>nd</sup> quarter. Out of all HCBS Waiver enrollees with intellectual disabilities, private CLAs and Family Homes are the most frequent residential setting.
- Family Homes are the most frequent residential setting for individuals on the Autism waiver.



- Enrollees of the Individual and Family Support waiver are more likely to live in their family’s home or own home with supports than any other residential settings.
- ICF/MR has received 57.81% of projected revenue. Waiver, TCM and B-3 have received close to 50% of projected revenue (49.77%, 43.62% and 47.94% respectively).

Section VII: Human Resource

- 628 permanent full time positions and 286 permanent part time positions are vacant throughout the state. Many of the vacant positions were located at Southbury Training School. At the end of Q2 in FY14, 610 permanent full time positions and 251 permanent part time positions were vacant throughout the state.
- There were 3 new notifications this quarter in the public sector on the Abuse/Neglect Registry. At the end of the Q4 in FY14, there was 1 new notification in the public sector on the Abuse/Neglect Registry.

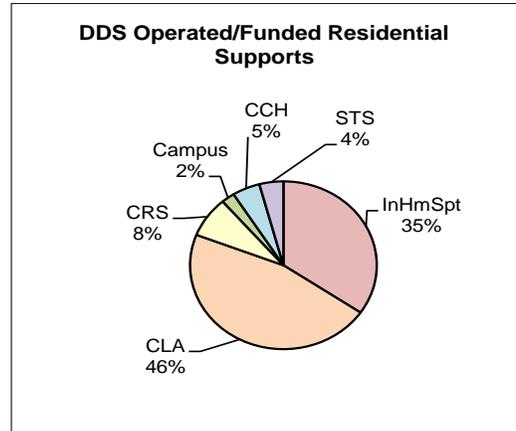
SECTION I: Services and Supports

**A. Where People Live and How They Are Supported  
STATEWIDE**

**Total Individuals with Intellectual Disability: 16,293**      **ΔYTD: +19**  
(includes all active DDS individuals with Intellectual Disability)

**Total Served: 21,498**      **ΔYTD: -24**  
(Includes active clients plus 5,205 non-DDS clients in Birth to Three programs)

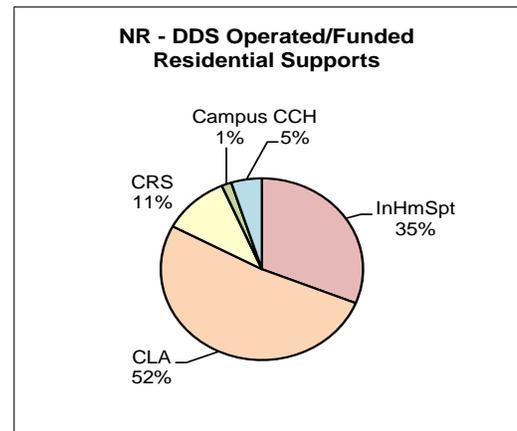
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	7,114		555	927	8,596	52.8%
Own Home (IL)**	376	169	909	247	1,701	10.4%
Sub-Total	<b>7,490</b>	<b>169</b>	<b>1,464</b>	<b>1,174</b>	<b>10,297</b>	<b>63.2%</b>
% Total	72.7%	1.6%	14.2%	11.4%	100%	
<b>DDS Operated/Funded</b>						
STS		317			317	1.9%
DDS Centers		183			183	1.1%
CLA		332	3,414		3,746	23.0%
CRS			701		701	4.3%
CCH			385		385	2.4%
Sub-Total		<b>832</b>	<b>4,500</b>		<b>5,332</b>	<b>32.7%</b>
% Total		15.6%	84.4%		100%	
<b>Other State Agencies</b>						
DMHAS			4		4	0.0%
DOC			6		6	0.0%
DCFCTO			68		68	0.4%
Sub-Total			<b>78</b>		<b>78</b>	<b>0.5%</b>
<b>Other</b>						
LTC/SNF/RCH (HA)			392		392	2.4%
Res. Schools			88		88	0.5%
Other			73		73	0.4%
Sub-Total			<b>553</b>		<b>553</b>	<b>3.4%</b>
Blank			33		33	0.2%
<b>Grand Total</b>	<b>7,490</b>	<b>1,001</b>	<b>6,628</b>	<b>1,174</b>	<b>16,293</b>	<b>100.0%</b>



**NORTH REGION**

**Total Individuals with Intellectual Disability: 5,468**      **ΔYTD: -22**  
(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,478		166	258	2,902	53.1%
Own Home (IL)**	144	53	279	55	531	9.7%
Sub-Total	<b>2,622</b>	<b>53</b>	<b>445</b>	<b>313</b>	<b>3,433</b>	<b>62.8%</b>
% Total	76.4%	1.5%	13.0%	9.1%	100.0%	
<b>DDS Operated/Funded</b>						
DDS Centers		41			41	0.7%
CLA		172	1,177		1,349	24.7%
CRS			279		279	5.1%
CCH			128		128	2.3%
Sub-Total		<b>213</b>	<b>1,584</b>		<b>1,797</b>	<b>32.9%</b>
% Total		11.9%	88.1%		100.0%	
<b>Other State Agencies</b>						
DMHAS			1		1	0.0%
DOC			4		4	0.1%
DCFCTO			23		23	0.4%
Sub-Total			<b>28</b>	<b>0</b>	<b>28</b>	<b>0.5%</b>
<b>Other</b>						
LTC/SNF/RCH (HA)			132		132	2.4%
Res. Schools			31		31	0.6%
Other			26		26	0.5%
Subtotal			<b>189</b>		<b>189</b>	<b>3.5%</b>
Blank			21		21	0.4%
<b>Grand Total</b>	<b>2,622</b>	<b>266</b>	<b>2,267</b>	<b>313</b>	<b>5,468</b>	<b>100.0%</b>



\*People who direct their own services

\*\*Own Home includes individuals with services previously known as supported living

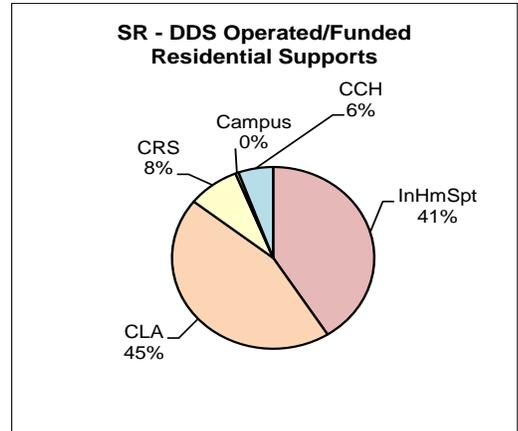
**SOUTH REGION**

**Total Individuals with Intellectual Disability: 5,225**

**ΔYTD: +49**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	2,206		195	317	2,718	52.0%
Own Home (IL)**	121	73	407	106	707	13.5%
Sub-Total	<b>2,327</b>	<b>73</b>	<b>602</b>	<b>423</b>	<b>3,425</b>	<b>65.6%</b>
% Total	67.9%	2.1%	17.6%	12.4%	100.0%	
<b>DDS Operated/Funded</b>						
DDS Centers		14			14	0.3%
CLA		141	1,057		1,198	22.9%
CRS			222		222	4.2%
CCH			149		149	2.9%
Sub-Total		<b>155</b>	<b>1,428</b>		<b>1,583</b>	<b>30.3%</b>
% Total		9.8%	90.2%		100.0%	
<b>Other State Agencies</b>						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			36		36	0.7%
Sub-Total			<b>37</b>		<b>37</b>	<b>0.7%</b>
<b>Other</b>						
LTC/SNF/RCH (HA)			133		133	2.5%
Res. Schools			24		24	0.5%
Other			18		18	0.3%
Sub-total			<b>175</b>		<b>175</b>	<b>3.3%</b>
Blank			5		5	0.1%
<b>Grand Total</b>	<b>2,327</b>	<b>228</b>	<b>2,247</b>	<b>423</b>	<b>5,225</b>	<b>100.0%</b>



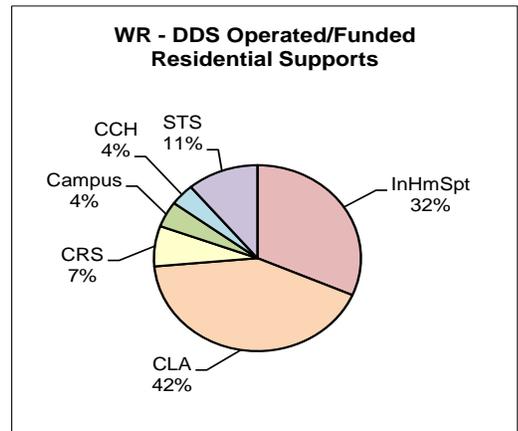
**WEST REGION**

**Total Individuals with Intellectual Disability: 5,600**

**ΔYTD: -8**

(includes all active DDS individuals with Intellectual Disability)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/Other	Self* Direct		
Family Home	2,430		194	352	2,976	53.1%
Own Home (IL)**	111	43	223	86	463	8.3%
Sub-Total	<b>2,541</b>	<b>43</b>	<b>417</b>	<b>438</b>	<b>3,439</b>	<b>61.4%</b>
% Total	73.9%	1.3%	12.1%	12.7%	100%	
<b>DDS Operated/Funded</b>						
STS		317			317	5.7%
DDS Centers		128			128	2.3%
CLA		19	1,180		1,199	21.4%
CRS			200		200	3.6%
CCH			108		108	1.9%
Sub-Total		<b>464</b>	<b>1,488</b>		<b>1,952</b>	<b>34.9%</b>
% Total		23.8%	76.2%		100%	
<b>Other State Agencies</b>						
DMHAS			2		2	0.0%
DOC			2		2	0.0%
DCFCTO			9		9	0.2%
Sub-Total			<b>13</b>		<b>13</b>	<b>0.2%</b>
<b>Other</b>						
LTC/SNF/RCH (HA)			127		127	2.3%
Res. Schools			33		33	0.6%
Other			29		29	0.5%
Sub-total			<b>189</b>		<b>189</b>	<b>3.4%</b>
Blank			7		7	0.1%
<b>Grand Total</b>	<b>2,541</b>	<b>507</b>	<b>2,114</b>	<b>438</b>	<b>5,600</b>	<b>100.0%</b>



\*People who direct their own services

\*\*Own Home includes individuals with services previously known as supported living

**SECTION I: Services and Supports****B. Work and Day Services****STATEWIDE**

<b>DAY/WORK STATUS</b>						
<b>Kind of Support</b>	<b>How They Receive Support</b>				<b>Total</b>	<b>% Total</b>
<b>Emp. And Day Supp.</b>	<b>N/A</b>	<b>Private</b>	<b>DDS</b>	<b>Self Direct</b>		
Ind. Supp. Emp.		495	0	123	618	3.8%
Group Supp. Emp.		3,361	92		3,453	21.2%
Sheltered Emp.		304	17		321	2.0%
Day Supp. Opt.		4,438	181	96	4,715	28.9%
Adult Day Health		34	0	0	34	0.2%
Enclave		0	0	0	0	0.0%
Pre-Vocational		0	0	0	0	0.0%
Small Enterprise		0	0	0	0	0.0%
Individ. Day Supp.		994	0	179	1,173	7.2%
Comp. Employment	313				313	1.9%
Other		41	1		42	0.3%
<b>Sub-Total</b>	<b>313</b>	<b>9,667</b>	<b>291</b>	<b>398</b>	<b>10,669</b>	<b>65.5%</b>
% Total	2.9%	90.6%	2.7%	3.7%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		3,660			3,660	22.5%
Res School		15			15	0.1%
Birth to Three		14	11		25	0.2%
Other		89	44		133	0.8%
<b>Sub-Total</b>		<b>3,778</b>	<b>55</b>		<b>3,833</b>	<b>23.5%</b>
<b>Other</b>						
No Day Program	920				920	5.6%
Blanks	871				871	5.3%
<b>Sub-Total</b>	<b>1,791</b>				<b>1,791</b>	<b>11.0%</b>
<b>Grand Total</b>	<b>2,104</b>	<b>13,445</b>	<b>346</b>	<b>398</b>	<b>16,293</b>	<b>100.0%</b>

**NORTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		152	0	22	174	3.2%
Group Supp. Emp.		1,266	17		1,283	23.5%
Sheltered Emp.		141	0		141	2.6%
Day Supp. Opt.		1,420	17	45	1,482	27.1%
Adult Day Health		9	0		9	0.2%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		266	0	47	313	5.7%
Comp. Employment	114				114	2.1%
Other		16	0		16	0.3%
<b>Sub-Total</b>	<b>114</b>	<b>3,270</b>	<b>34</b>	<b>114</b>	<b>3,532</b>	<b>64.6%</b>
% Total	3.2%	92.6%	1.0%	3.2%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		1,189			1,189	21.7%
Res School		1			1	0.0%
Birth to Three		6	0		6	0.1%
Other		80	9		89	1.6%
<b>Sub-Total</b>		<b>1,276</b>	<b>9</b>		<b>1,285</b>	<b>23.5%</b>
<b>Other</b>						
No Day Program	331				331	6.1%
Blanks	320				320	5.9%
<b>Sub-Total</b>	<b>651</b>				<b>651</b>	<b>11.9%</b>
<b>Grand Total</b>	<b>765</b>	<b>4,546</b>	<b>43</b>	<b>114</b>	<b>5,468</b>	<b>100.0%</b>

**SOUTH REGION**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		190		58	248	4.7%
Group Supp. Emp.		1,062	9		1,071	20.5%
Sheltered Emp.		63			63	1.2%
Day Supp. Opt.		1,454	0	17	1,471	28.2%
Adult Day Health		16	0		16	0.3%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		428	0	68	496	9.5%
Comp. Employment	98				98	1.9%
Other		7	1		8	0.2%
<b>Sub-Total</b>	<b>98</b>	<b>3,220</b>	<b>10</b>	<b>143</b>	<b>3,471</b>	<b>66.4%</b>
% Total	2.8%	92.8%	0.3%	4.1%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		1,218			1,218	23.3%
Res School		3			3	0.1%
Birth to Three		1	10		11	0.2%
Other		1	24		25	0.5%
<b>Sub-Total</b>		<b>1,223</b>	<b>34</b>		<b>1,257</b>	<b>24.1%</b>
<b>Other</b>						
No Day Program	282				282	5.4%
Blanks	215				215	4.1%
<b>Sub-Total</b>	<b>497</b>				<b>497</b>	<b>9.5%</b>
<b>Grand Total</b>	<b>595</b>	<b>4,443</b>	<b>44</b>	<b>143</b>	<b>5,225</b>	<b>100.0%</b>

**WEST REGION**

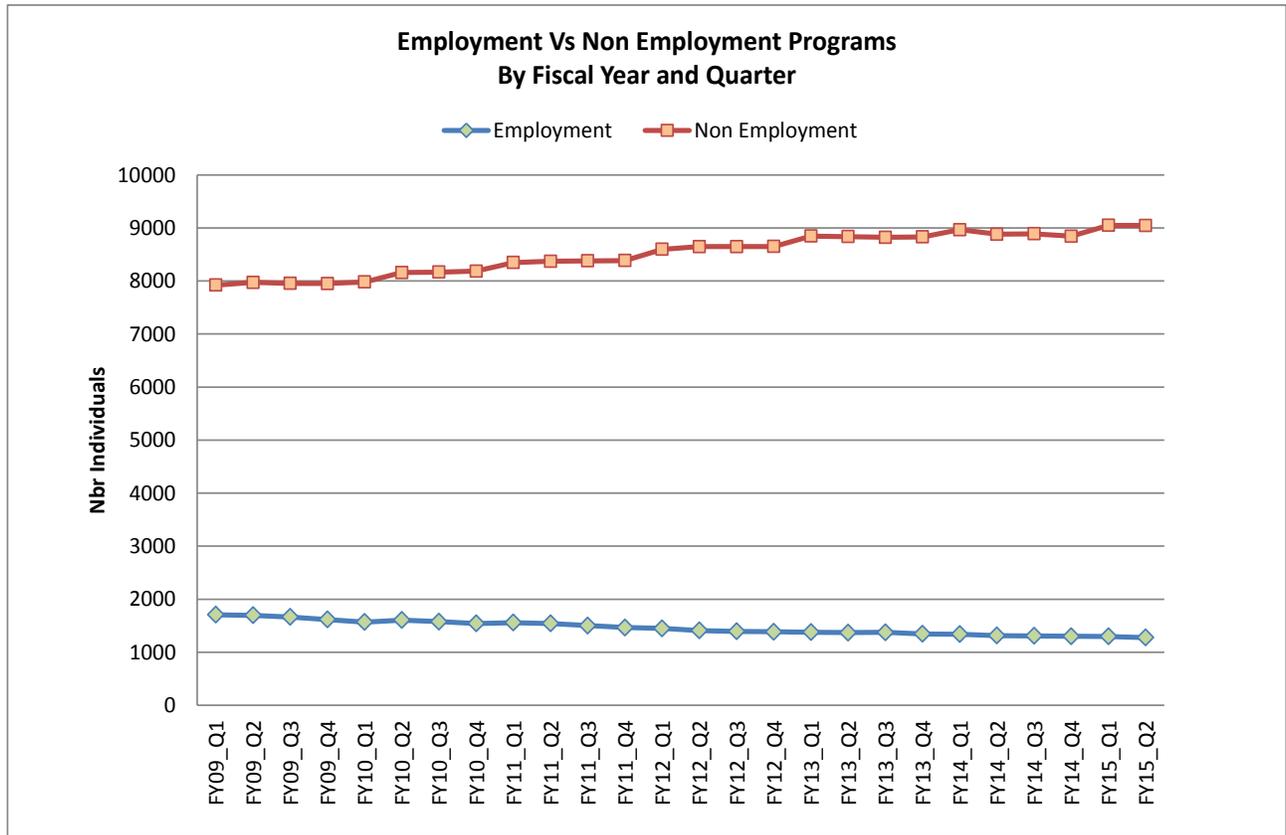
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		153		43	196	3.7%
Group Supp. Emp.		1,016	10		1,026	19.4%
Sheltered Emp.		98	0		98	1.9%
Day Supp. Opt.		1,500	16	34	1,550	29.3%
Adult Day Health		9	0		9	0.2%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		300	0	63	363	6.9%
Comp. Employment	101				101	1.9%
Other		18	0		18	0.3%
<b>Sub-Total</b>	<b>101</b>	<b>3,094</b>	<b>26</b>	<b>140</b>	<b>3,361</b>	<b>63.6%</b>
% Total	3.0%	92.1%	0.8%	4.2%	100.0%	
<b>Educational and Developmental Services</b>						
LEA		1,253			1,253	23.7%
Res School		11			11	0.2%
Birth to Three		7	1		8	0.2%
Other		8	11		19	0.4%
<b>Sub-Total</b>		<b>1,279</b>	<b>12</b>		<b>1,291</b>	<b>24.4%</b>
<b>Other</b>						
No Day Program	298				298	5.6%
Blanks	333				333	6.3%
<b>Sub-Total</b>	<b>631</b>				<b>631</b>	<b>11.9%</b>
<b>Grand Total</b>	<b>732</b>	<b>4,373</b>	<b>38</b>	<b>140</b>	<b>5,283</b>	<b>100.0%</b>

**SOUTHBURY TRAINING SCHOOL**

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
Emp. And Day Supp.	N/A	Private	DDS	Self Direct		
Ind. Supp. Emp.		0		0	0	0.0%
Group Supp. Emp.		17	56		73	23.0%
Sheltered Emp.		2	17		19	6.0%
Day Supp. Opt.		64	148	0	212	66.9%
Adult Day Health		0	0		0	0.0%
Enclave		0	0		0	0.0%
Pre-Vocational		0	0		0	0.0%
Small Enterprise		0	0		0	0.0%
Individ. Day Supp.		0	0	1	1	0.3%
Comp. Employment	0				0	0.0%
Other		0	0		0	0.0%
<b>Sub-Total</b>	<b>0</b>	<b>83</b>	<b>221</b>	<b>1</b>	<b>305</b>	<b>96.2%</b>
% Total	0.0%	2.5%	6.6%	0.0%	9.1%	
<b>Educational and Developmental Services</b>						
LEA		0			0	0.0%
Res School		0			0	0.0%
Birth to Three		0	0		0	0.0%
Other		0	0		0	0.0%
<b>Sub-Total</b>		<b>0</b>	<b>0</b>		<b>0</b>	<b>0.0%</b>
<b>Other</b>						
No Day Program	9				9	2.8%
Blanks	3				3	0.9%
<b>Sub-Total</b>	<b>12</b>				<b>12</b>	<b>3.8%</b>
<b>Grand Total</b>	<b>12</b>	<b>83</b>	<b>221</b>	<b>1</b>	<b>317</b>	<b>100.0%</b>

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



NOTE:

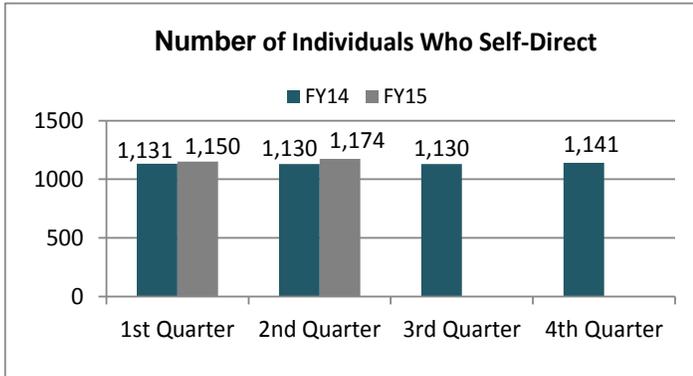
Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshons. Day Service Options. Individualized Day Retired or Non Vocational

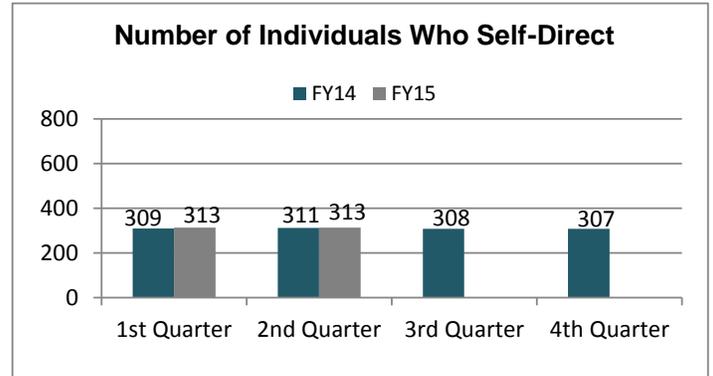
SECTION I: Services and Supports

- D. Number of Individuals Who Self-Direct
- E. Birth to Three Services
- F. Active Individuals By Caseload Type

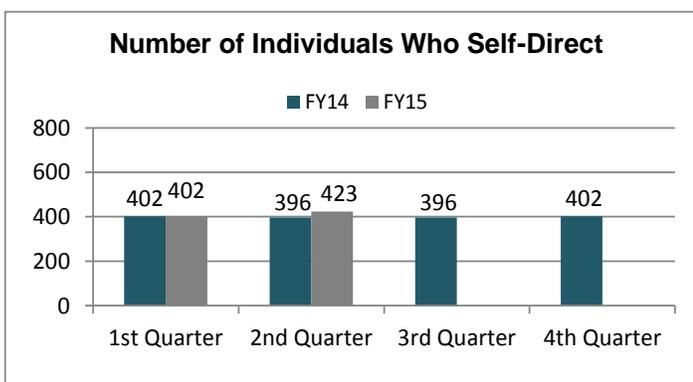
STATEWIDE



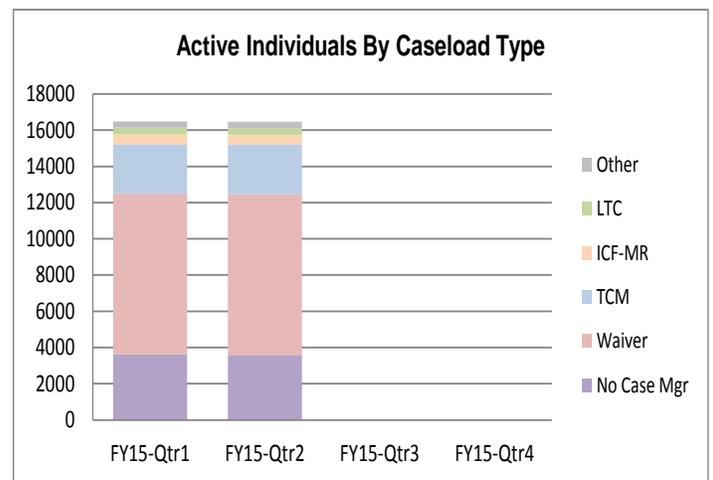
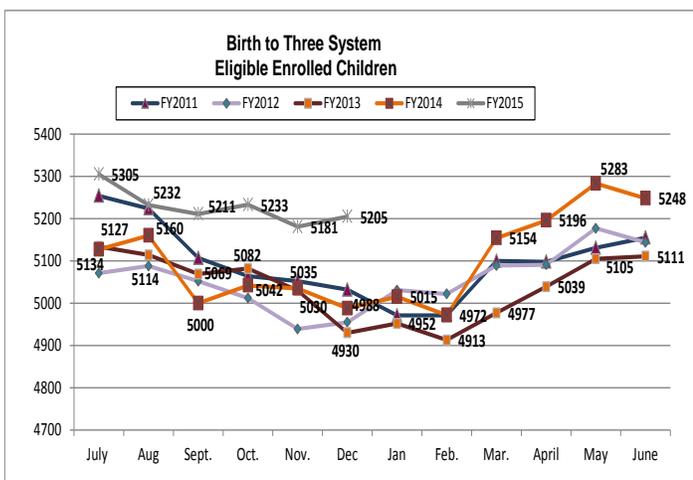
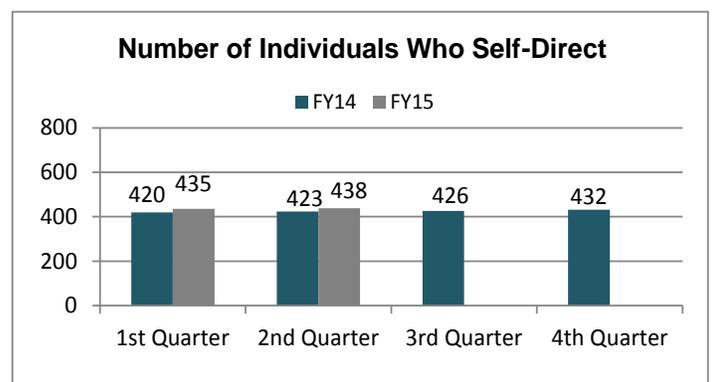
NORTH REGION



SOUTH REGION



WEST REGION



**SECTION I: Services and Supports**

**G. Family Support – Direct Support Services**

**IFS Family Support - Statewide**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	61	292	51	316	0	0	0	0	112
Enrolled - Adult	37	259	57	311	0	0	0	0	94
Not Enrolled - Child	15	87	16	69	0	0	0	0	31
Enrolled - Child	1	7	1	8	0	0	0	0	2
<b>Total</b>	<b>114</b>	<b>645</b>	<b>125</b>	<b>704</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>239</b>

**IFS Family Support - NR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	16	75	19	74					35
Enrolled - Adult	16	48	32	93					48
Not Enrolled - Child	9	39	5	19					14
Enrolled - Child	0	0	0	1					0
<b>Total</b>	<b>41</b>	<b>162</b>	<b>56</b>	<b>187</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>97</b>

**IFS Family Support - SR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	25	108	27	127					52
Enrolled - Adult	11	84	20	87					31
Not Enrolled - Child	4	19	7	19					11
Enrolled - Child	1	4	1	4					2
<b>Total</b>	<b>41</b>	<b>215</b>	<b>55</b>	<b>237</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>96</b>

**IFS Family Support - WR**

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	20	109	5	115					25
Enrolled - Adult	10	127	5	131					15
Not Enrolled - Child	2	29	4	31					6
Enrolled - Child	0	3	0	3					0
<b>Total</b>	<b>32</b>	<b>268</b>	<b>14</b>	<b>280</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>46</b>

**SECTION I: Services and Supports**

**H. Respite Utilization**

<b>STATEWIDE</b>								
<b>Respite Center Utilization Data - FY 2015</b>								
<b>Baseline Data - June 2014</b>			<b>BY QUARTER</b>	<b>Q 1</b>	<b>Q 2</b>	<b>Q 3</b>	<b>Q 4</b>	<b>Total</b>
<b>Unduplicated Number Of Referrals</b>	<b>Under 18</b>	220	<b>Under 18</b>	146	42	0	0	188
	<b>Over 18</b>	1019	<b>Over 18</b>	639	222	0	0	861
<b>Unduplicated Number Of People Using Respite Beds</b>	<b>Under 18</b>	167	<b>Under 18</b>	80	27	0	0	107
	<b>Over 18</b>	718	<b>Over 18</b>	387	141	0	0	528

<b>NORTH REGION</b>								
<b>Respite Center Utilization Data - FY 2015</b>								
<b>Baseline Data - June 2014</b>			<b>BY QUARTER</b>	<b>Q 1</b>	<b>Q 2</b>	<b>Q 3</b>	<b>Q 4</b>	<b>Total</b>
<b>Unduplicated Number Of Referrals</b>	<b>Under 18</b>	96	<b>Under 18</b>	78	11			89
	<b>Over 18</b>	259	<b>Over 18</b>	247	28			275
<b>Unduplicated Number Of People Using Respite Beds</b>	<b>Under 18</b>	65	<b>Under 18</b>	42	15			57
	<b>Over 18</b>	207	<b>Over 18</b>	154	46			200

<b>SOUTH REGION</b>								
<b>Respite Center Utilization Data - FY 2015</b>								
<b>Baseline Data - June 2014</b>			<b>BY QUARTER</b>	<b>Q 1</b>	<b>Q 2</b>	<b>Q 3</b>	<b>Q 4</b>	<b>Total</b>
<b>Unduplicated Number Of Referrals</b>	<b>Under 18</b>	36	<b>Under 18</b>	30	13			43
	<b>Over 18</b>	282	<b>Over 18</b>	212	82			294
<b>Unduplicated Number Of People Using Respite Beds</b>	<b>Under 18</b>	31	<b>Under 18</b>	30	2			32
	<b>Over 18</b>	215	<b>Over 18</b>	212	4			216

<b>WEST REGION</b>								
<b>Respite Center Utilization Data - FY 2015</b>								
<b>Baseline Data - June 2014</b>			<b>BY QUARTER</b>	<b>Q 1</b>	<b>Q 2</b>	<b>Q 3</b>	<b>Q 4</b>	<b>Total</b>
<b>Unduplicated Number Of Referrals</b>	<b>Under 18</b>	88	<b>Under 18</b>	38	18			56
	<b>Over 18</b>	478	<b>Over 18</b>	180	112			292
<b>Unduplicated Number Of People Using Respite Beds</b>	<b>Under 18</b>	71	<b>Under 18</b>	8	10			18
	<b>Over 18</b>	296	<b>Over 18</b>	21	91			112

<b>STATEWIDE</b>									
<b>Respite Grant Utilization Data - FY 2015</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	455		Under 18	142	78	0	0	220
	Over 18	869		Over 18	321	187	0	0	508
Total Respite Funds		\$2,487,513			\$798,526	\$555,741	\$0	\$0	\$1,354,267

<b>NORTH REGION</b>									
<b>Respite Grant Utilization Data - FY 2015</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Using Respite Svcs	Under 18	114		Under 18	38	12			50
	Over 18	272		Over 18	97	28			125
Total Respite Funds		\$882,060			\$286,102	\$147,834			\$433,936

<b>SOUTH REGION</b>									
<b>Respite Grant Utilization Data - FY 2015</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	129		Under 18	70	23			93
	Over 18	409		Over 18	154	92			246
Total Respite Funds		\$964,046			\$311,187	\$221,064			\$532,251

<b>WEST REGION</b>									
<b>Respite Grant Utilization Data - FY 2015</b>									
Baseline Data - June 2014			BY QUARTER		Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of People Receiving Respite	Under 18	212		Under 18	34	43			77
	Over 18	188		Over 18	70	67			137
Total Respite Funds		\$641,406		Total Respite Funds	\$201,237	\$186,843			\$388,080

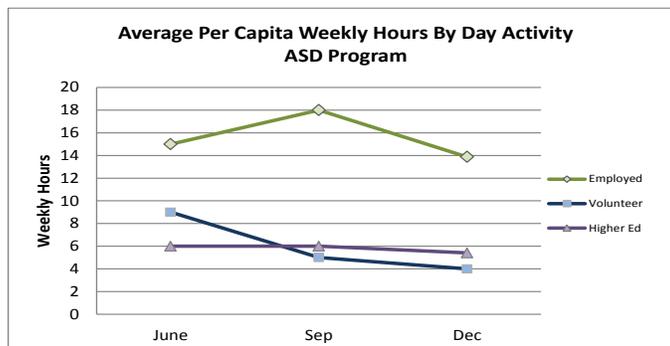
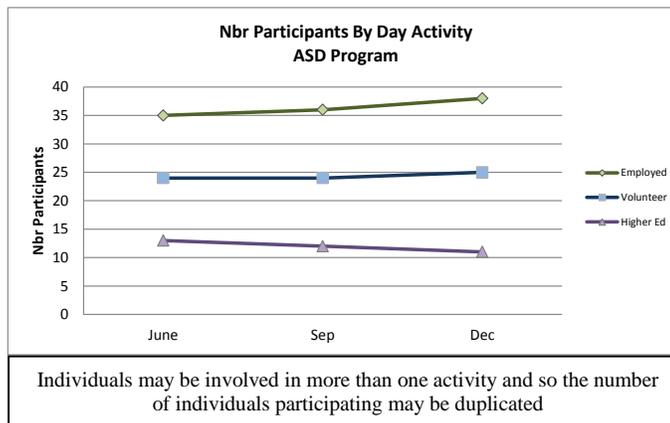
**Note:** Although the numbers of people served are unduplicated, the Respite funds represent total funds expended and possibly used for multiple respites per person.

## SECTION I: Services and Supports

### I. Autism Spectrum Disorder Program

As of December 31, 2014 there were 543 individuals served by the Autism Division.

ServiceDesc	Budgeted Amt	Utilization @ Average Utilization	% of Budget	Consumers Participating (duplicated count as individual consumers may receive multiple services)	% of Consumers (based on unduplicated consumer count)	Agency Provided	Self Direction
Activity Fee	\$450	\$297	0.02%	2	1.98%	N/A	N/A
Background Check	\$30	\$20	0.00%	1	0.99%		X
Behavior Mgmt	\$522,222	\$344,667	17.88%	68	67.33%	X	
CDS Training	\$205	\$135	0.01%	1	0.99%		X
Community Mentor	\$683,577	\$451,161	23.41%	65	64.36%	X	
Individual Goods & Services	\$12,040	\$7,946	0.41%	49	48.51%		X
Job Coach BA	\$114,095	\$75,303	3.91%	24	23.76%	X	
Life Skills Coach	\$7,014	\$4,629	0.24%	1	0.99%	X	
Life Skills Coach BA	\$1,084,118	\$715,518	37.13%	81	80.20%	X	
Respite In Home Per Day	\$6,279	\$4,144	0.22%	4	3.96%	X	
Respite In Home Per Hour	\$102,919	\$67,927	3.52%	9	8.91%	X	
Respite Out Home Per Day	\$8,154	\$5,382	0.28%	4	3.96%	X	
Respite Out Home Per Hr	\$26,258	\$17,330	0.90%	5	4.95%	X	
SH Community Mentor	\$108,695	\$71,739	3.72%	14	13.86%		X
SH Job Coach	\$13,534	\$8,932	0.46%	2	1.98%		X
SH Life Skills Coach	\$148,154	\$97,782	5.07%	12	11.88%		X
SH Respite in Home per hour	\$9,545	\$6,300	0.33%	1	0.99%		X
SH Respite out of Home Day	\$3,909	\$2,580	0.13%	1	0.99%		X
Social Skills Groups	\$58,841	\$38,835	2.02%	38	37.62%	X	
Spec Driver Assessment	\$0	\$0	0.00%	0	0.00%	X	
Transportation, per mile	\$3,774	\$2,491	0.13%	4	3.96%	X	
Transportation, per trip agency	\$4,324	\$2,854	0.15%	1	0.99%	X	
Workers Comp	\$1,825	\$1,205	0.06%	2	1.98%		X



**Section II: Service Needs**

**A. Residential Needs – Emergency and Priority One Waiting List**

The tables within this section represent people with active residential needs in the following categories:

**Waiting List**

This is a group of individuals living either in their own or family home who currently receive no funded residential supports from DDS

Statewide Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	27	640	667
DYTD	-4	-13	-17

**Other Residential Needs**

This group of individuals either receives DDS funded residential supports and services and need additional supports or live in institutional settings (DDS Campus facilities or Long Term Care settings) and wish to move to a community residential setting.

Statewide Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	4	14	18
CRS	3	5	8
CCH	2	1	3
Indiv Home Supp*	3	138	141
<b>Sub-Total</b>	<b>12</b>	<b>158</b>	<b>170</b>
DYTD	-1	-81	-82
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	45	46
Other	3	11	14
<b>Sub-Total</b>	<b>4</b>	<b>56</b>	<b>60</b>
<b>Total w/Sup. &amp; Service</b>	<b>17</b>	<b>214</b>	<b>231</b>
<b>Change YTD</b>	<b>-2</b>	<b>-93</b>	<b>-95</b>

**Priority Status**

**Emergency**

The individual has an immediate need for residential placement, support or services.

**Priority One**

The individual/family is requesting placement within 1 year and has been determined to have the most pressing need for services

In addition these individuals belong to one of these target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and does not receive individual supports.
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move
Olmstead	Individual who resides in a Regional Center, SNF, ICF, Nursery or a Hospital for Special Care who wants to move to a more integrated Residential Setting (as defined by the IDT).

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another

North Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	7	183	190
DYTD	-3	1	-2

South Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	13	219	232
DYTD	3	-5	-2

West Region Data			
Waiting List			
	Emerg.	Pri. 1	Total
Home/IL (No Supports)	7	238	245
DYTD	-4	-9	-13

North Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	12	12
CRS	0	4	4
CCH	1	0	1
Indiv Home Supp*	0	29	29
Sub-Total	1	45	46
DYTD	0	-25	-25
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	1	6	7
Sub-Total	1	23	24
<b>Total w/Sup. &amp; Service</b>	<b>3</b>	<b>68</b>	<b>71</b>
<b>Change YTD</b>	<b>1</b>	<b>-29</b>	<b>-28</b>

South Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	2	2
CRS	0	0	0
CCH	1	0	1
Indiv Home Supp*	2	24	26
Sub-Total	3	26	29
DYTD	-2	-2	-4
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	18	18
Other	1	3	4
Sub-Total	1	21	22
<b>Total w/Sup. &amp; Service</b>	<b>4</b>	<b>47</b>	<b>51</b>
<b>Change YTD</b>	<b>-1</b>	<b>0</b>	<b>-1</b>

West Region Data			
Other Residential Needs			
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	4	0	4
CRS	3	1	4
CCH	0	1	1
Indiv Home Supp*	1	85	86
Sub-Total	8	87	95
DYTD	1	-54	-53
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	10	11
Other	1	2	3
Sub-Total	2	12	14
<b>Total w/Sup. &amp; Service</b>	<b>10</b>	<b>99</b>	<b>109</b>
<b>Change YTD</b>	<b>-2</b>	<b>-64</b>	<b>-66</b>

\*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

**Section II: Service Needs**

**B. Residential Planning List**

Individuals on the Planning List have residential needs and are have been assigned either a Priority 2 or 3 status. Those with a Priority of 2 or 3 want or will need services in two or more years. Their need is not considered urgent, critical or immediate and they are classified as Planning List.

<b>STATEWIDE</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	801	280	<b>1081</b>
DYTD	-36	-21	<b>-57</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	2	0	<b>2</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	130	15	<b>145</b>
<b>Sub-Total</b>	<b>133</b>	<b>15</b>	<b>148</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	1	0	<b>1</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>2</b>	<b>0</b>	<b>2</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	8	1	<b>9</b>
Other	2	0	<b>2</b>
<b>Sub-Total</b>	<b>10</b>	<b>1</b>	<b>11</b>
<b>Total Supports &amp; Service</b>	<b>145</b>	<b>16</b>	<b>161</b>
<b>Grand Total</b>	<b>946</b>	<b>296</b>	<b>1242</b>
<b>Change YTD</b>	<b>-58</b>	<b>-21</b>	<b>-79</b>

<b>NORTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	267	101	<b>368</b>
DYTD	-17	-5	<b>-22</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	59	7	<b>66</b>
<b>Sub-Total</b>	<b>59</b>	<b>7</b>	<b>66</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	1	0	<b>1</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	4	0	<b>4</b>
Other	2	0	<b>2</b>
<b>Sub-Total</b>	<b>6</b>	<b>0</b>	<b>6</b>
<b>Total Supports &amp; Service</b>	<b>66</b>	<b>7</b>	<b>73</b>
<b>Grand Total</b>	<b>333</b>	<b>108</b>	<b>441</b>
<b>Change YTD</b>	<b>-24</b>	<b>-5</b>	<b>-29</b>

<b>SOUTH REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	323	102	<b>425</b>
DYTD	-14	-5	<b>-19</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	0	0	<b>0</b>
CCH	0	0	<b>0</b>
Indiv Home Supp*	48	1	<b>49</b>
<b>Sub-Total</b>	<b>48</b>	<b>1</b>	<b>49</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	1	0	<b>1</b>
<b>Sub-Total</b>	<b>1</b>	<b>0</b>	<b>1</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	3	0	<b>3</b>
Other	0	0	<b>0</b>
<b>Sub-Total</b>	<b>3</b>	<b>0</b>	<b>3</b>
<b>Total Supports &amp; Service</b>	<b>52</b>	<b>1</b>	<b>53</b>
<b>Grand Total</b>	<b>375</b>	<b>103</b>	<b>478</b>
<b>Change YTD</b>	<b>-24</b>	<b>-5</b>	<b>-29</b>

<b>WEST REGION</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
<b>Home/IL (No Supports)</b>	211	77	<b>288</b>
DYTD	-5	-11	<b>-16</b>
<b>DDS Operated/Funded:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
CLAs	2	0	<b>2</b>
CCH	1	0	<b>1</b>
Indiv Home Supp*	23	7	<b>30</b>
<b>Sub-Total</b>	<b>26</b>	<b>7</b>	<b>33</b>
<b>Other State Agencies:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
DMHAS	0	0	<b>0</b>
DOC	0	0	<b>0</b>
DCF/CTO	0	0	<b>0</b>
<b>Sub-Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other:</b>	<b>Pri. 2</b>	<b>Pri. 3</b>	<b>Total</b>
LTC/ICF/SNF/RCH	1	1	<b>2</b>
Other	0	0	<b>0</b>
<b>Sub-Total</b>	<b>1</b>	<b>1</b>	<b>2</b>
<b>Total Supports &amp; Service</b>	<b>27</b>	<b>8</b>	<b>35</b>
<b>Grand Total</b>	<b>238</b>	<b>85</b>	<b>323</b>
<b>Change YTD</b>	<b>-10</b>	<b>-11</b>	<b>-21</b>

\*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

**Section II: Service Needs**

**C. Future Planning Ageouts and High School Graduates**

**Residential Ageouts**

<b>STATEWIDE</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	57
FY 2016	84
FY 2017	86

<b>NORTH REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	16
FY 2016	29
FY 2017	35

<b>SOUTH REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	25
FY 2016	30
FY 2017	26

<b>WEST REGION</b>	
<b>DCF, LEA or ISA Funded</b>	
FY 2015	16
FY 2016	25
FY 2017	25

**Grads and Day Ageouts**

<b>STATEWIDE</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	38	6
<b>FY 2016</b>	308	93
<b>FY 2017</b>	298	95

<b>NORTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	21	1
<b>FY 2016</b>	92	29
<b>FY 2017</b>	103	35

<b>SOUTH REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	5	5
<b>FY 2016</b>	115	37
<b>FY 2017</b>	87	32

<b>WEST REGION</b>		
	<b>School Graduates</b>	<b>Ageouts</b>
<b>FY 2015</b>	12	0
<b>FY 2016</b>	101	27
<b>FY 2017</b>	108	28

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2017).

**Section III: New Development Goals and Support Activity**

**A. +Residential Waiting List Activities and Residential Ageouts**

FY 15 - Second Quarter Report	
Waiting List Activities	
Service Activity July 1, 2014 - September 30, 2015	
<b>Residential Waiting List Funding and Service Activity</b>	
<b>North Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	7
Move Utilizing Portable Funding	55
<b>South Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	17
Move Utilizing Portable Funding	44
<b>West Region</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	18
Move Utilizing Portable Funding	14
<b>Statewide</b>	<b>Actual YTD</b>
Re-Use of Opportune Resources	42
Move Utilizing Portable Funding	113

<b>Residential AgeOuts</b>			
<b>North Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY15 AO Funds	33	13	-20
<b>Total</b>	33	13	-20
<b>South Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY15 AO Funds	39	12	-27
<b>Total</b>	39	12	-27
<b>West Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY15 AO Funds	29	15	-14
<b>Total</b>	29	15	-14
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
Served with FY15 AO Funds	101	40	-61
<b>Grand Total</b>	101	40	-61

### Section III: New Development Goals and Support Activity

#### B. Underserved Consumers Receiving Annualized Residential Supports

#### C. Day AgeOuts and High School Grads

<b>Annualized Resources For Underserved Consumers</b>			
	<b>Number</b>	<b>Total*</b>	<b>Pct</b>
<b>North Region</b>	<b>25</b>	<b>86</b>	29%
<b>South Region</b>	<b>0</b>	<b>31</b>	0%
<b>West Region</b>	<b>2</b>	<b>98</b>	2%
<b>Statewide</b>	<b>27</b>	<b>215</b>	13%

Includes only consumers who are Priority E or 1 on PRAT Database

\*Total represents all Pri E/1 underserved consumers active on the waiting list during the reporting quarter

<b>High School Graduates and Age Out* Funding</b>			
<b>North Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2014 Grads Served w/FY15 Grad Funds	100	85	-15
June 2014 Age Outs Served w/FY15 Grad Funds	35	37	2
<b>Total</b>	<b>135</b>	<b>122</b>	<b>-13</b>
<b>South Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2014 Grads Served w/FY15 Grad Funds	74	85	11
June 2014 Age Outs Served w/FY15 Grad Funds	22	24	2
<b>Total</b>	<b>96</b>	<b>109</b>	<b>13</b>
<b>West Region</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2014 Grads Served w/FY15 Grad Funds	80	59	-21
June 2014 Age Outs Served w/FY15 Grad Funds	34	28	-6
<b>Total</b>	<b>114</b>	<b>87</b>	<b>-27</b>
<b>Statewide</b>	<b>Goal</b>	<b>Actual YTD</b>	<b>Difference</b>
June 2014 Grads Served w/FY15 Grad Funds	254	229	-25
June 2014 Age Outs Served w/FY15 Grad Funds	91	89	-2
<b>Grand Total</b>	<b>345</b>	<b>318</b>	<b>-27</b>

\*Individuals Aging out of DCF and LEA Services

**Section IV: Eligibility**

**Eligibility Determinations  
Cumulative For All Four Quarters -- FY 15  
July 1, 2014- June 30, 2015**

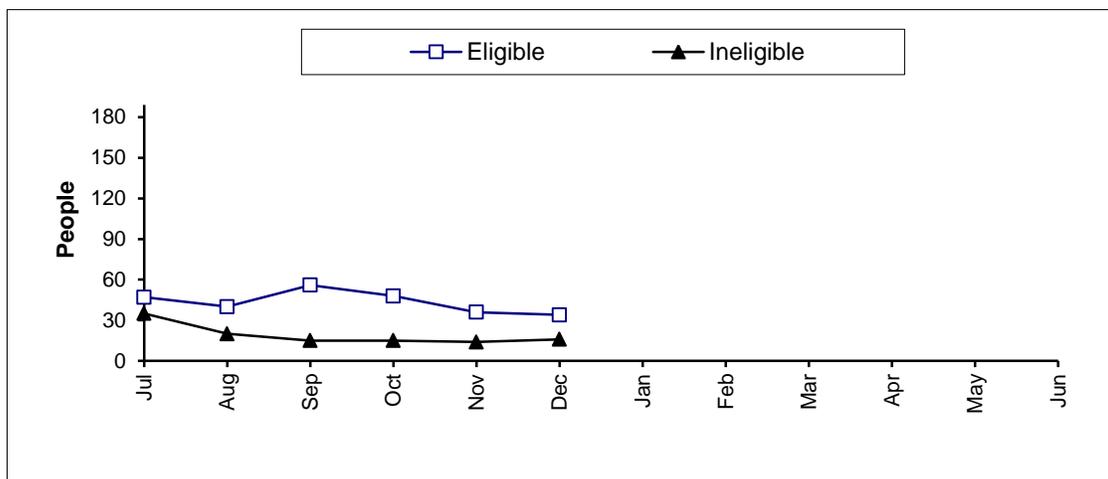
**There Were 376 Eligibility Determinations  
Cumulative Through The 2nd Quarter -- FY 15  
July 1, 2014- June 30, 2015**

Age Range	Total	Eligible	% Eligible of Total Eligible	Ineligible	% Ineligible of Total Ineligible
0-2.9	13	13	4.98%	0	0.00%
3-4.9	23	23	8.81%	0	0.00%
5-7.9	32	28	10.73%	4	3.48%
8-17.9	150	112	42.91%	38	33.04%
18-20.9	57	37	14.18%	20	17.39%
21-29	52	24	9.20%	28	24.35%
30-39	15	7	2.68%	8	6.96%
40-49	12	5	1.92%	7	6.09%
50-59	13	6	2.30%	7	6.09%
60-69	6	3	1.15%	3	2.61%
70-79	2	2	0.77%	0	0.00%
80+	1	1	0.38%	0	0.00%
<b>Totals*</b>	<b>376</b>	<b>261</b>	<b>69.41%</b>	<b>115</b>	<b>30.59%</b>

\* Percent of Eligible and Ineligible represents percent of Total Eligibility Determinations of 376

Note: In previous reports, the number of eligibility referrals and determinations were reported. A change in reporting has occurred. The data in this section represents information from ecamris on December, 31, 2014. Individuals categorized as eligible had an active EligibilityStatus code and an EligibilityStatusDate in FY15. Ineligible individuals had an inactive EligibilityStatus code and an EligibilityStatusDate in FY15.

**Monthly Eligibility Activity**



**Section V: Case Load by Age**  
**A. Residential**

**DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE**  
**December 2014 Client Data**

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	22	0	0	0	0	0	0	3	0	0	25	0%
3-5	0	0	0	0	0	0	0	0	0	0	146	0	0	0	0	0	0	0	1	0	147	1%
6-13	0	0	5	2	2	13	0	0	1	0	1,010	75	67	0	0	8	0	2	2	3	1,190	7%
14-17	0	0	25	6	0	20	0	0	0	0	824	84	123	0	0	18	0	4	4	4	1,112	7%
18-21	0	1	120	45	6	22	3	0	4	1	1,172	70	119	0	0	30	0	2	10	5	1,610	10%
22-34	19	16	587	288	69	11	131	5	213	78	2,384	161	318	10	0	22	1	7	11	10	4,341	27%
35-44	36	44	509	99	59	2	64	13	166	64	699	69	157	10	3	3	1	5	7	5	2,015	12%
45-54	126	121	876	132	101	0	67	45	241	52	500	51	76	54	6	2	1	5	2	2	2,460	15%
55-64	139	104	766	88	79	0	70	61	191	36	273	35	49	90	5	5	1	2	7	2	2,003	12%
65-74	131	39	403	31	53	0	30	31	71	13	68	8	18	90	9	0	0	0	2	2	999	6%
75+	49	7	123	10	16	0	11	14	22	3	16	2	0	105	10	0	0	0	3	0	391	2%
<b>TOTAL</b>	<b>500</b>	<b>332</b>	<b>3,414</b>	<b>701</b>	<b>385</b>	<b>68</b>	<b>376</b>	<b>169</b>	<b>909</b>	<b>247</b>	<b>7,114</b>	<b>555</b>	<b>927</b>	<b>359</b>	<b>33</b>	<b>88</b>	<b>4</b>	<b>30</b>	<b>49</b>	<b>33</b>	<b>16,293</b>	<b>100%</b>
<b>PERCENT</b>	<b>3%</b>	<b>2%</b>	<b>21%</b>	<b>4%</b>	<b>2%</b>	<b>0%</b>	<b>2%</b>	<b>1%</b>	<b>6%</b>	<b>2%</b>	<b>44%</b>	<b>3%</b>	<b>6%</b>	<b>2%</b>	<b>0%</b>	<b>1%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>100%</b>	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	IND. LIV.	DDS HOME IHS	PVT HOME IHS	SLF DIR HOME IHS	FAM HOME	PVT FAM IHS	SLF DIR FAM IHS	SNF	RCH (HA)	PRIV. RES. SCH.	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
<b>Children (Age 0-17)</b>	0	0	30	8	2	33	0	0	1	0	2,002	159	190	0	0	26	0	9	7	7	2,474	15%
<b>Young Adults (Age 18-21)</b>	0	1	120	45	6	22	3	0	4	1	1,172	70	119	0	0	30	0	2	10	5	1,610	10%
<b>Adults (Age 22 and Over)</b>	500	331	3,264	648	377	13	373	169	904	246	3,940	326	618	359	33	32	4	19	32	21	12,209	75%
<b>Total</b>	500	332	3,414	701	385	68	376	169	909	247	7,114	555	927	359	33	88	4	30	49	33	16,293	0%
<b>Percent</b>	3%	2%	21%	4%	2%	0%	2%	1%	6%	2%	44%	3%	6%	2%	0%	1%	0%	0%	0%	0%	100%	

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit  
 DDS CLA (CLA) = DDS Operated Community Living Arrangement  
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement  
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp L  
 DDS Lic. CCH (CCH) = DDS licensed community companion home  
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH ( DCF home, etc.)  
 SNF (SNF) = Skilled Nursing Facility\*  
 ICF (ICF) = Intermediate care facility/general\*  
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)\*

Priv. Res. Sch. (SCR) = Private Residential School  
 Ind. Liv. (IL) = Independent Living  
 DDS Home IHS = DDS Individual Support in Own Home  
 Priv. Home IHS = Private Individual Support in Own Home  
 Slf Dir Home IHS = Self Directed Individual Support in Own Home  
 Family Home (FAM) = Family Home  
 Pvt Fam IHS = Private Individual Support in Family Home  
 Slf Dir Fam IHS = Self Directed Individual Support in Family Home  
 MH Fac. (MH) = Mental Health Facility  
 Hos. (HOS) = Hospital Facility  
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)  
 No Data = No valid Residential Program in CAMRIS for Client

**Section V: Case Load by Age**  
**B. Day/Work Services**

DDS CLIENTS BY DAY PROGRAM AND AGE																					
December 2014 Client Data																					
(Does Not Include Birth to Three Children Who Are Not Clients of the Department)																					
Age Group	DSO	SEI	GSE	SHE	ADH	ENC	PV	SE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	7	10	0	0	0	0	0	8	25	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	32	99	0	0	0	0	0	16	147	1%
6-13	0	1	0	0	0	0	0	0	0	0	0	52	1,045	3	0	0	2	5	82	1,190	7%
14-17	0	0	0	0	0	0	0	0	0	0	0	14	1,029	6	0	0	5	3	55	1,112	7%
18-21	85	9	55	0	0	0	0	0	10	16	0	3	1,334	8	0	0	7	8	75	1,610	10%
22-34	1,382	236	1,439	55	1	0	0	0	202	258	0	0	165	7	49	3	11	214	319	4,341	27%
35-44	646	157	646	58	1	0	0	0	48	96	0	0	4	1	81	8	3	167	99	2,015	12%
45-54	967	128	739	87	2	0	0	0	59	128	0	0	1	0	85	9	4	179	72	2,460	15%
55-64	932	76	426	79	11	0	0	0	24	155	0	0	1	2	69	4	4	144	76	2,003	12%
65-74	524	12	130	29	10	0	0	0	5	119	1	0	0	0	24	1	1	97	46	999	6%
75+	179	0	18	13	9	0	0	0	3	53	0	0	0	0	5	1	2	77	31	391	2%
<b>TOTAL</b>	<b>4,715</b>	<b>619</b>	<b>3,453</b>	<b>321</b>	<b>34</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>351</b>	<b>825</b>	<b>1</b>	<b>108</b>	<b>3,688</b>	<b>27</b>	<b>313</b>	<b>26</b>	<b>39</b>	<b>894</b>	<b>879</b>	<b>16,293</b>	<b>100%</b>
<b>PERCENT</b>	<b>29%</b>	<b>4%</b>	<b>21%</b>	<b>2%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>2%</b>	<b>5%</b>	<b>0%</b>	<b>1%</b>	<b>23%</b>	<b>0%</b>	<b>2%</b>	<b>0%</b>	<b>0%</b>	<b>5%</b>	<b>5%</b>	<b>100%</b>	
<b>Sub-Totals by Age Groups</b>																					
Age Group	DSO	SEI	GSE	SHE	ADH	ENC	PV	SE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	1	0	0	0	0	0	0	0	0	0	105	2,183	9	0	0	7	8	161	2,474	15%
Young Adults (Age 18-21)	85	9	55	0	0	0	0	0	10	16	0	3	1,334	8	0	0	7	8	75	1,610	10%
Adults (Age 22 and Over)	4,630	609	3,398	321	34	0	0	0	341	809	1	0	171	10	313	26	25	878	643	12,209	75%
<b>Total</b>	<b>4,715</b>	<b>619</b>	<b>3,453</b>	<b>321</b>	<b>34</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>351</b>	<b>825</b>	<b>1</b>	<b>108</b>	<b>3,688</b>	<b>27</b>	<b>313</b>	<b>26</b>	<b>39</b>	<b>894</b>	<b>879</b>	<b>16,293</b>	<b>100%</b>
<b>Percent</b>	<b>29%</b>	<b>4%</b>	<b>21%</b>	<b>2%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>0%</b>	<b>2%</b>	<b>5%</b>	<b>0%</b>	<b>1%</b>	<b>23%</b>	<b>0%</b>	<b>2%</b>	<b>0%</b>	<b>0%</b>	<b>5%</b>	<b>5%</b>		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational												LEA Public School SCD Res School EMP Employed NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical EMX Unemployed OTH Other Day Program									

## Section VI: Home and Community Based Waiver

## A. Enrollment

## HCBS Waiver Enrollees - Intellectual Disability

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	Total
North	170	1,021	249	125	1,216	408	8	26	3,223
South	138	930	209	146	1,296	603	3	18	3,343
West	18	929	177	103	1,303	376	2	33	2,941
Sept 14 Total	326	2,880	635	374	3,815	1,387	13	77	9,507
June 2014	337	2,897	587	380	3,868	1,379	15	71	9,534
ΔYTD	-11	-17	48	-6	-53	8	-2	6	-27

## Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	170	1,009	240	85	97	197	3	16	1,817
South	138	926	193	121	104	243	2	9	1,736
West	18	923	165	75	89	133	1	11	1,415
Sept 14 Total	326	2,858	598	281	290	573	6	36	4,968
June 2014	337	2,876	542	283	291	575	7	38	4,949
ΔYTD	-11	-18	56	-2	-1	-2	-1	-2	19

## Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	12	9	40	937	204	4	10	1,216
South	0	3	16	25	1,002	338	1	9	1,394
West	0	6	11	28	1,028	232	1	18	1,324
Sept 14 Total	0	21	36	93	2,967	774	6	37	3,934
June 2014	0	20	45	97	3,033	773	7	30	4,005
ΔYTD	0	1	-9	-4	-66	1	-1	7	-71

## Employment and Day Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CCH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	0	0	0	182	7	1	0	190
South	0	1	0	0	190	22	0	0	213
West	0	0	1	0	186	11	0	4	202
Sept 14 Total	0	1	1	0	558	40	1	4	605
June 2014	0	1	0	0	544	31	1	3	580
ΔYTD	0	0	1	0	14	9	0	1	25

Data Source: CAMRIS 12/14

**NOTE 1:** IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

**NOTE 2:** "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

**NOTE 3:** "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

HCBS Waiver Enrollees - Autism

	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
Dec 14 Statewide Total	0	0	0	0	92	5	0	0	97

Autism Spectrum Disorder Waiver

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
Dec 14 Statewide Total	0	0	0	0	92	5	0	0	97

Early Childhood Autism Waiver

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Own Home	RCH	Other	Total
Dec 14 Statewide Total	0	0	0	0	0	0	0	0	0

Data Source: CAMRIS 12/14

**NOTE 1:** Individuals with Autism Spectrum Disorder are served across the state. A breakout by Residential Type by Region is unavailable due to database restrictions.

## Section VI: Home and Community Based Waiver

## B. Federal Revenue

<b>Actual v. Projected Revenue - FY 2015 Second Quarter</b>					
<b>(Millions of Dollars)</b>					
	<b>SFY 14</b>	<b>SFY 15</b>	<b>SFY 15</b>	<b>SFY 15</b>	
	<b>Actual Revenue</b>	<b>EOY Projected</b>	<b>YTD Actual Revenue *</b>	<b>Difference Projected vs Actual</b>	<b>% of Revenue Received</b>
Waiver	\$364.05	\$339.80	\$169.12	\$170.68	49.77%
ICF/MR	\$95.73	\$85.43	\$49.39	\$36.04	57.81%
TCM	\$24.23	\$14.74	\$6.43	\$8.31	43.62%
B-3	\$6.67	\$7.76	\$3.72	\$4.04	47.94%
<b>TOTAL Billing</b>	<b>\$490.68</b>	<b>\$447.73</b>	<b>\$228.66</b>	<b>\$219.07</b>	<b>51.07%</b>
<b>Actual v. Projected Revenue - FY 2015 Second Quarter</b>					
<b>(Millions of Dollars)</b>					
	<b>SFY 14</b>	<b>SFY 15</b>	<b>SFY 15</b>	<b>SFY 15</b>	
	<b>Actual Revenue</b>	<b>EOY Projected</b>	<b>YTD Actual Revenue *</b>	<b>Difference Projected vs Actual</b>	<b>Revenue Received</b>
Autism Waiver	\$0.41	\$0.25	\$0.10	\$0.15	40.00%
Comp Waiver	\$299.26	\$295.60	\$143.11	\$152.49	48.41%
IFS Waiver	\$59.41	\$39.00	\$23.48	\$15.52	60.21%
Supports Waiver	\$4.96	\$5.12	\$2.47	\$2.65	48.24%
<b>Total Waiver</b>	<b>\$364.04</b>	<b>\$339.97</b>	<b>\$169.16</b>	<b>\$170.81</b>	<b>49.76%</b>
Public ICF/MR	\$95.73	\$85.43	\$49.39	\$36.04	57.81%
Targeted Case Mgmt.	\$24.23	\$14.74	\$6.43	\$8.31	43.62%
Birth to Three	\$6.67	\$7.76	\$3.72	\$4.04	47.94%
<b>TOTAL Billing</b>	<b>\$490.67</b>	<b>\$447.90</b>	<b>\$228.70</b>	<b>\$219.20</b>	<b>51.06%</b>
* FY 2014 claiming for ICF/IID has been changed per CMS request to remove admin load. Admin claim will be separate from service claim.					

## Section VII: Human Resource

## A. DDS funded Position Count

<b>Permanent Full Time Position Count (General Funds)</b>							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	746	597	14	11	0	124	
South	682	585	11	25	1	61	
West	595	499	2	16	0	78	
STS	1160	746	2	44	0	368	
Central Office	144	147	0	0	0	-3	
<b>Totals</b>	<b>3327</b>	<b>2574</b>	<b>29</b>	<b>96</b>	<b>1</b>	<b>628</b>	

<b>Permanent Part Time Position Count (General Funds)</b>							
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant	
North	340	234	9	6	0	91	
South	305	227	2	6	0	70	
West	224	171	2	9	0	42	
STS	201	111	0	7	0	83	
Central Office	0	0	0	0	0	0	
<b>Totals</b>	<b>1070</b>	<b>743</b>	<b>13</b>	<b>28</b>	<b>0</b>	<b>286</b>	

<b>Other General Fund Positions - Filled</b>							
Type	North	South	West	STS	CO	Total	
Temporary	3	1	0	0	0	4	
General Workers	29	17	4	78	0	128	
Substitutes	0	0	0	0	0	0	
Retirees	0	0	0	0	3	3	
Inst. Fire	0	0	0	1	0	1	
Per Diems	17	9	5	5	6	42	

<b>Federal Funded Positions - Filled</b>							
	North	South	West	STS	CO	Total	
Full Time	0	0	0	0	11	11	
Part Time	0	0	0	0	0	0	

**Section VII: Human Resources**

**B. DDS Abuse and Neglect Registry**

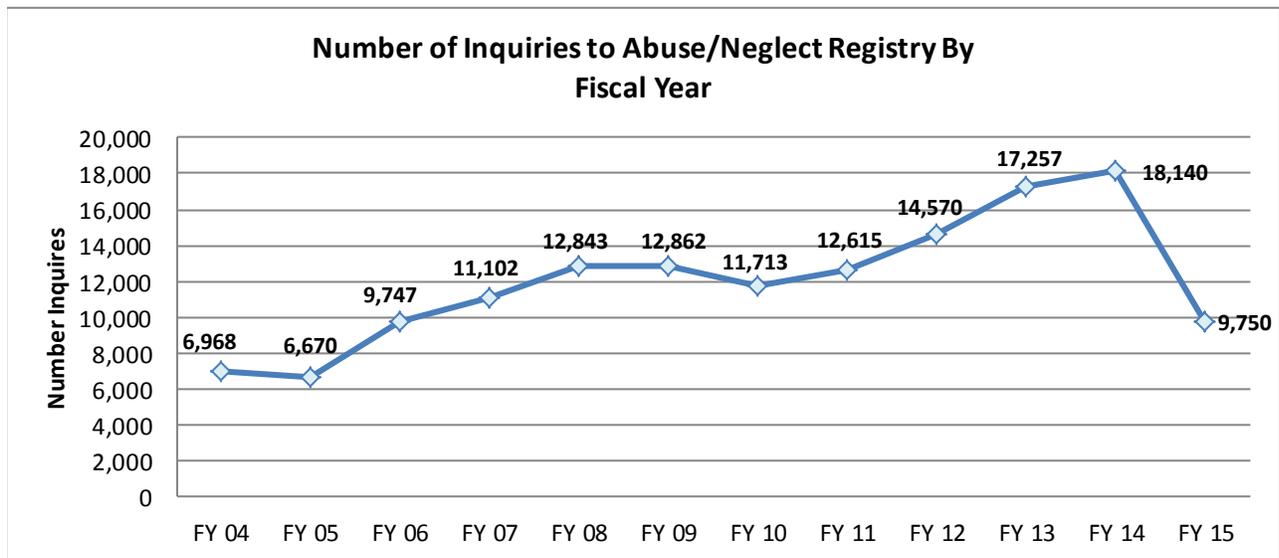
**DDS Abuse and Neglect Registry Quarterly Report**

**October 1, 2014-December 31, 2014**

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	59	55	93%	3	5%	1	2%
Notifications as of 10/1/14	851	708	83%	143	17%	0	0%
Total Cumulative Notifications *	910	763	84%	146	16%	1	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	93	90	97%	3	3%	0	0%
Names on Registry as of 10/1/14	195	163	84%	32	16%	0	0%
Closed - Arbitration & Legal Proceedings	91	27	30%	68	75%	0	0%
Closed - Do not meet Statutory Criteria	420	376	90%	44	10%	0	0%
Total Completed Cases*	799	656	82%	147	18%	0	0%

\*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
9,750	9,750