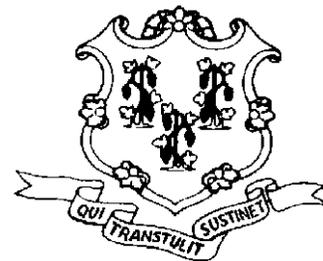




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
December 2009

Issued

April 5, 2010

by DDS Waiver Policy and Planning

DDS Management Information Report

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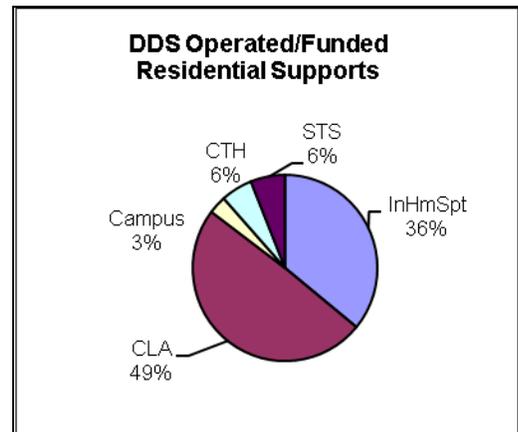
SECTION I: Services and Supports

**A. Where People Live and How They Are Supported
STATEWIDE**

Total Clients: 15,474 **ΔYTD: +84**
(includes all active DDS clients)

Total Served: 20,719 **ΔYTD: +322**
(Includes active clients plus 5,245 non-DDS clients in Birth to Three programs)

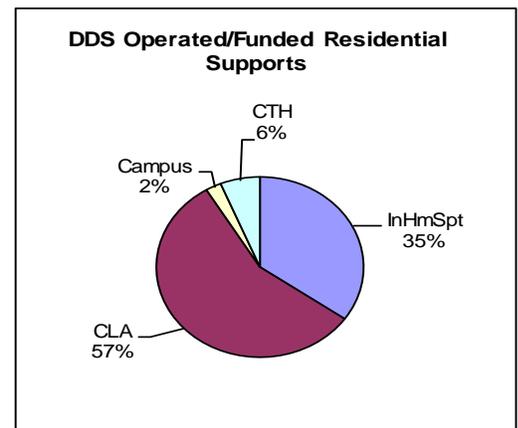
| RESIDENTIAL STATUS | | | | | | |
|-----------------------------|--------------------------|--------------|-------------------|-----------------|---------------|---------------|
| Where People Live | How They Receive Support | | | | Total | % Total |
| At Home | N/A | DDS | Private/ Other | Self* Direct | | |
| Family Home | 6,496 | | 519 | 797 | 7,812 | 50.5% |
| Own Home (IL)** | 274 | 322 | 947 | 176 | 1,719 | 11.1% |
| Sub-Total | 6,770 | 322 | 1,466 | 973 | 9,531 | 61.6% |
| % Total | 71.0% | 3.4% | 15.4% | 10.2% | 100% | |
| DDS Operated/Funded | | | | | | |
| STS | | 460 | | | 460 | 3.0% |
| DDS Centers | | 241 | | | 241 | 1.6% |
| CLA | | 524 | 3,261 | | 3,785 | 24.5% |
| CRS | | | 254 | | 254 | 1.6% |
| CTH | | | 422 | | 422 | 2.7% |
| Sub-Total | | 1,225 | 3,937 | | 5,162 | 33.4% |
| % Total | | 23.7% | 76.3% | | 100% | |
| Other State Agencies | | | | | | |
| DMHAS | | | 5 | | 5 | 0.0% |
| DOC | | | 9 | | 9 | 0.1% |
| DCFCTO | | | 90 | | 90 | 0.6% |
| Sub-Total | | | 104 | | 104 | 0.7% |
| Other | | | | | | |
| LTC/SNF/RCH (HA) | | | 420 | | 420 | 2.7% |
| Res. Schools | | | 133 | | 133 | 0.9% |
| Other | | | 88 | | 88 | 0.6% |
| Sub-Total | | | 641 | | 641 | 4.1% |
| Blank | | | 36 | | 36 | 0.2% |
| Grand Total | 6,770 | 1,547 | 6,184 | 973 | 15,474 | 100.0% |



NORTH REGION

Total Clients: 5,245 **ΔYTD: +45**
(includes all active DDS clients)

| RESIDENTIAL STATUS | | | | | | |
|-----------------------------|--------------------------|------------|-------------------|-----------------|--------------|---------------|
| Where People Live | How They Receive Support | | | | Total | % Total |
| At Home | N/A | DDS | Private/ Other | Self* Direct | | |
| Family Home | 2,290 | | 207 | 215 | 2,712 | 51.7% |
| Own Home (IL)** | 115 | 110 | 298 | 31 | 554 | 10.6% |
| Sub-Total | 2,405 | 110 | 505 | 246 | 3,266 | 62.3% |
| % Total | 73.6% | 3.4% | 15.5% | 7.5% | 100.0% | |
| DDS Operated/Funded | | | | | | |
| DDS Centers | | 60 | | | 60 | 1.1% |
| CLA | | 253 | 1,147 | | 1,400 | 26.7% |
| CRS | | | 107 | | 107 | 2.0% |
| CTH | | | 152 | | 152 | 2.9% |
| Sub-Total | | 313 | 1,406 | 0 | 1,719 | 32.8% |
| % Total | | 18.2% | 81.8% | 0.0% | 100.0% | |
| Other State Agencies | | | | | | |
| DMHAS | | | 1 | | 1 | 0.0% |
| DOC | | | 6 | | 6 | 0.1% |
| DCFCTO | | | 30 | | 30 | 0.6% |
| Sub-Total | | | 37 | 0 | 37 | 0.7% |
| Other | | | | | | |
| LTC/SNF/RCH (HA) | | | 119 | | 119 | 2.3% |
| Res. Schools | | | 39 | | 39 | 0.7% |
| Other | | | 45 | | 45 | 0.9% |
| Subtotal | | | 203 | | 203 | 3.9% |
| Blank | | | 20 | | 20 | 0.4% |
| Grand Total | 2,405 | 423 | 2,171 | 246 | 5,245 | 100.0% |



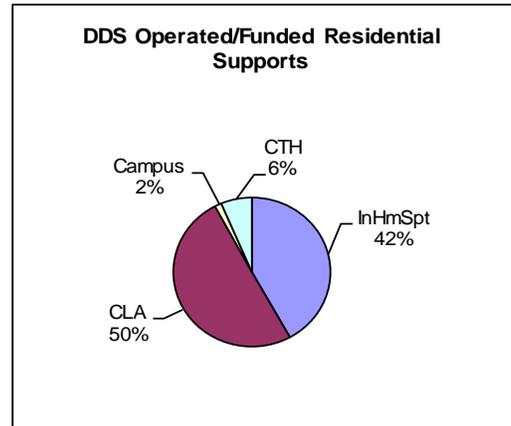
*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,814 **ΔYTD: +24**
 (includes all active DDS clients)

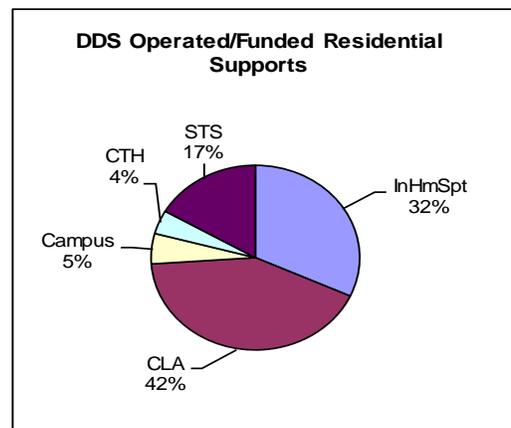
| RESIDENTIAL STATUS | | | | | | |
|-----------------------------|--------------------------|------------|--------------|-------------------|--------------|---------------|
| Where People Live | How They Receive Support | | | | Total | % Total |
| | At Home | N/A | DDS | Private/ Other | | |
| Family Home | 1,993 | | | 148 | 270 | 2,411 50.1% |
| Own Home (IL)** | 73 | 123 | 392 | 86 | 674 | 14.0% |
| Sub-Total | 2,066 | 123 | 540 | 356 | 3,085 | 64.1% |
| % Total | 67.0% | 4.0% | 17.5% | 11.5% | 100.0% | |
| DDS Operated/Funded | | | | | | |
| DDS Centers | | 34 | | | 34 | 0.7% |
| CLA | | 197 | 1,024 | | 1,221 | 25.4% |
| CRS | | | 77 | | 77 | 1.6% |
| CTH | | | 155 | | 155 | 3.2% |
| Sub-Total | | 231 | 1,256 | | 1,487 | 30.9% |
| % Total | | 15.5% | 84.5% | | 100.0% | |
| Other State Agencies | | | | | | |
| DMHAS | | | 1 | | 1 | 0.0% |
| DOC | | | 3 | | 3 | 0.1% |
| DCFCTO | | | 36 | | 36 | 0.7% |
| Sub-Total | | | 40 | | 40 | 0.8% |
| Other | | | | | | |
| LTC/SNF/RCH (HA) | | | 144 | | 144 | 3.0% |
| Res. Schools | | | 38 | | 38 | 0.8% |
| Other | | | 16 | | 16 | 0.3% |
| Sub-total | | | 198 | | 198 | 4.1% |
| Blank | | | 4 | | 4 | 0.1% |
| Grand Total | 2,066 | 354 | 2,038 | 356 | 4,814 | 100.0% |



WEST REGION

Total Clients: 5,415 **ΔYTD: +15**
 (includes all active DDS clients)

| RESIDENTIAL STATUS | | | | | | |
|-----------------------------|--------------------------|------------|--------------|-------------------|--------------|---------------|
| Where People Live | How They Receive Support | | | | Total | % Total |
| | At Home | N/A | DDS | Private/ Other | | |
| Family Home | 2,213 | | | 164 | 312 | 2,689 49.7% |
| Own Home (IL)** | 86 | 89 | 257 | 59 | 491 | 9.1% |
| Sub-Total | 2,299 | 89 | 421 | 371 | 3,180 | 58.7% |
| % Total | 72.3% | 2.8% | 13.2% | 11.7% | 100% | |
| DDS Operated/Funded | | | | | | |
| STS | | 460 | | | 460 | 8.5% |
| DDS Centers | | 147 | | | 147 | 2.7% |
| CLA | | 74 | 1,090 | | 1,164 | 21.5% |
| CRS | | | 70 | | 70 | 1.3% |
| CTH | | | 115 | | 115 | 2.1% |
| Sub-Total | | 681 | 1,275 | | 1,956 | 36.1% |
| % Total | | 34.8% | 65.2% | | 100% | |
| Other State Agencies | | | | | | |
| DMHAS | | | 3 | | 3 | 0.1% |
| DOC | | | 0 | | 0 | 0.0% |
| DCFCTO | | | 24 | | 24 | 0.4% |
| Sub-Total | | | 27 | | 27 | 0.5% |
| Other | | | | | | |
| LTC/SNF/RCH (HA) | | | 157 | | 157 | 2.9% |
| Res. Schools | | | 56 | | 56 | 1.0% |
| Other | | | 27 | | 27 | 0.5% |
| Sub-total | | | 240 | | 240 | 4.4% |
| Blank | | | 12 | | 12 | 0.2% |
| Grand Total | 2,299 | 770 | 1,975 | 371 | 5,415 | 100.0% |



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports
B. Work and Day Services
STATEWIDE

| DAY/WORK STATUS | | | | | | |
|---|--------------------------|---------------|------------|-------------|---------------|---------------|
| Kind of Support | How They Receive Support | | | | Total | % Total |
| | NA | Private | DDS | Self Direct | | |
| Emp. And Day Supp. | | | | | | |
| Ind. Supp. Emp. | | 1,063 | 7 | 135 | 1,205 | 7.8% |
| Group Supp. Emp. | | 3,297 | 130 | | 3,427 | 22.1% |
| Sheltered Emp. | | 429 | 32 | | 461 | 3.0% |
| Day Supp. Opt. | | 3,780 | 395 | 93 | 4,268 | 27.6% |
| Individ. Day Supp. | | 5 | 0 | 0 | 5 | 0.0% |
| Comp. Employment | 399 | | | | 399 | 2.6% |
| Other | | 63 | 4 | | 67 | 0.4% |
| Sub-Total | 399 | 8,637 | 568 | 228 | 9,832 | 63.5% |
| % Total | 4.1% | 87.8% | 5.8% | 2.3% | 100.0% | |
| Educational and Developmental Services | | | | | | |
| LEA | | 3,865 | | | 3,865 | 25.0% |
| Res School | | 27 | | | 27 | 0.2% |
| Birth to Three | | 26 | 4 | | 30 | 0.2% |
| Other | | 78 | 57 | | 135 | 0.9% |
| Sub-Total | | 3,996 | 61 | | 4,057 | 26.2% |
| Other | | | | | | |
| No Day Program | 1,162 | | | | 1,162 | 7.5% |
| Blanks | 423 | | | | 423 | 2.7% |
| Sub-Total | 1,585 | | | | 1,585 | 10.2% |
| Grand Total | 1,984 | 12,633 | 629 | 228 | 15,474 | 100.0% |

NORTH REGION

| DAY/WORK STATUS | | | | | | |
|---|--------------------------|--------------|------------|-------------|--------------|---------------|
| Day Support | How They Receive Support | | | | Total | % Total |
| | NA | Private | DDS | Self Direct | | |
| Emp. And Day Supp. | | | | | | |
| Ind. Supp. Emp. | | 325 | 7 | 18 | 350 | 6.7% |
| Group Supp. Emp. | | 1,204 | 24 | | 1,228 | 23.4% |
| Sheltered Emp. | | 137 | 11 | | 148 | 2.8% |
| Day Supp. Opt. | | 1,219 | 86 | 41 | 1,346 | 25.7% |
| Individ. Day Supp. | | 2 | 0 | 0 | 2 | 0.0% |
| Comp. Employment | 140 | | | | 140 | 2.7% |
| Other | | 33 | 1 | | 34 | 0.6% |
| Sub-Total | 140 | 2,920 | 129 | 59 | 3,248 | 61.9% |
| % Total | 4.3% | 89.9% | 4.0% | 1.8% | 100.0% | |
| Educational and Developmental Services | | | | | | |
| LEA | | 1,411 | | | 1,411 | 26.9% |
| Res School | | 6 | | | 6 | 0.1% |
| Birth to Three | | 18 | 0 | | 18 | 0.3% |
| Other | | 61 | 18 | | 79 | 1.5% |
| Sub-Total | | 1,496 | 18 | | 1,514 | 28.9% |
| Other | | | | | | |
| No Day Program | 360 | | | | 360 | 6.9% |
| Blanks | 123 | | | | 123 | 2.3% |
| Sub-Total | 483 | | | | 483 | 9.2% |
| Grand Total | 623 | 4,416 | 147 | 59 | 5,245 | 100.0% |

SOUTH REGION

| DAY/WORK STATUS | | | | | | |
|---|--------------------------|--------------|-----------|-------------|--------------|---------------|
| Day Support | How They Receive Support | | | | Total | % Total |
| | NA | Private | DDS | Self Direct | | |
| Emp. And Day Supp. | | | | | | |
| Ind. Supp. Emp. | | 416 | | 59 | 475 | 9.9% |
| Group Supp. Emp. | | 1,107 | 22 | | 1,129 | 23.5% |
| Sheltered Emp. | | 96 | | | 96 | 2.0% |
| Day Supp. Opt. | | 1,268 | 21 | 27 | 1,316 | 27.3% |
| Individ. Day Supp. | | 1 | 0 | 0 | 1 | 0.0% |
| Comp. Employment | 118 | | | | 118 | 2.5% |
| Other | | 9 | 1 | | 10 | 0.2% |
| Sub-Total | 118 | 2,897 | 44 | 86 | 3,145 | 65.3% |
| % Total | 3.8% | 92.1% | 1.4% | 2.7% | 100.0% | |
| Educational and Developmental Services | | | | | | |
| LEA | | 1,211 | | | 1,211 | 25.2% |
| Res School | | 10 | | | 10 | 0.2% |
| Birth to Three | | | 4 | | 4 | 0.1% |
| Other | | 3 | 24 | | 27 | 0.6% |
| Sub-Total | | 1,224 | 28 | | 1,252 | 26.0% |
| Other | | | | | | |
| No Day Program | 333 | | | | 333 | 6.9% |
| Blanks | 84 | | | | 84 | 1.7% |
| Sub-Total | 417 | | | | 417 | 8.7% |
| Grand Total | 535 | 4,121 | 72 | 86 | 4,814 | 100.0% |

WEST REGION

| DAY/WORK STATUS | | | | | | |
|---|--------------------------|--------------|------------|-------------|--------------|---------------|
| Day Support | How They Receive Support | | | | Total | % Total |
| | NA | Private | DDS | Self Direct | | |
| Emp. And Day Supp. | | | | | | |
| Ind. Supp. Emp. | | 322 | | 58 | 380 | 7.0% |
| Group Supp. Emp. | | 986 | 84 | | 1,070 | 19.8% |
| Sheltered Emp. | | 196 | 21 | | 217 | 4.0% |
| Day Supp. Opt. | | 1,293 | 288 | 25 | 1,606 | 29.7% |
| Individ. Day Supp. | | 2 | 0 | 0 | 2 | 0.0% |
| Comp. Employment | 141 | | | | 141 | 2.6% |
| Other | | 21 | 2 | | 23 | 0.4% |
| Sub-Total | 141 | 2,820 | 395 | 83 | 3,439 | 63.5% |
| % Total | 4.1% | 82.0% | 11.5% | 2.4% | 100.0% | |
| Educational and Developmental Services | | | | | | |
| LEA | | 1,243 | | | 1,243 | 23.0% |
| Res School | | 11 | | | 11 | 0.2% |
| Birth to Three | | 8 | 0 | | 8 | 0.1% |
| Other | | 14 | 15 | | 29 | 0.5% |
| Sub-Total | | 1,276 | 15 | | 1,291 | 23.8% |
| Other | | | | | | |
| No Day Program | 469 | | | | 469 | 8.7% |
| Blanks | 216 | | | | 216 | 4.0% |
| Sub-Total | 685 | | | | 685 | 12.7% |
| Grand Total | 826 | 4,096 | 410 | 83 | 5,415 | 100.0% |

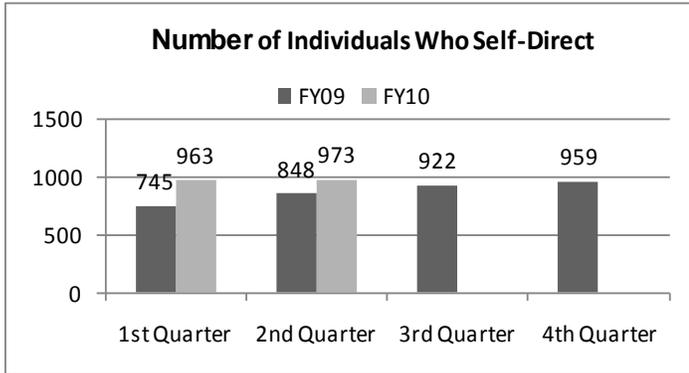
SECTION I: Services and Supports

C. Number of Individuals Who Self-Direct

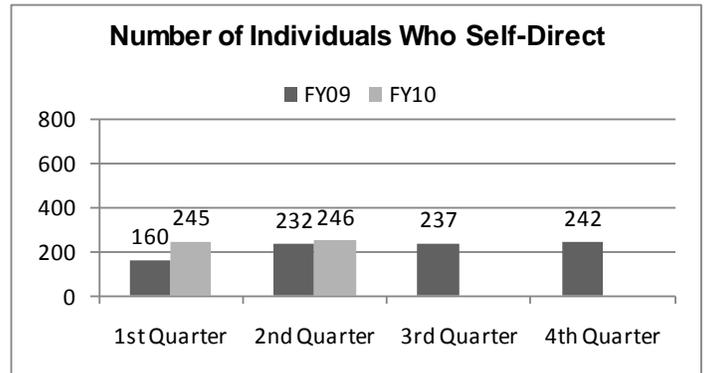
D. Birth to Three Services

E. Case Management Breakdown – Active Consumers

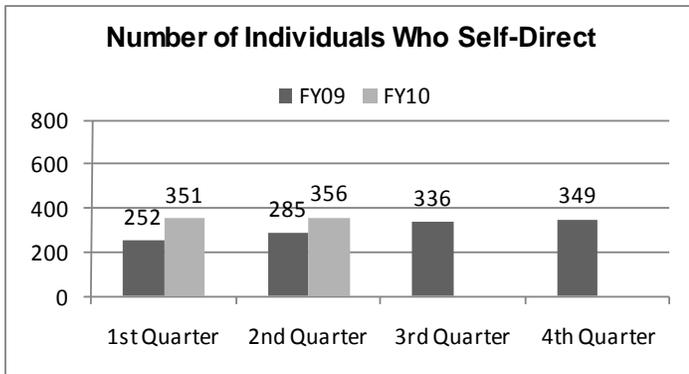
STATEWIDE



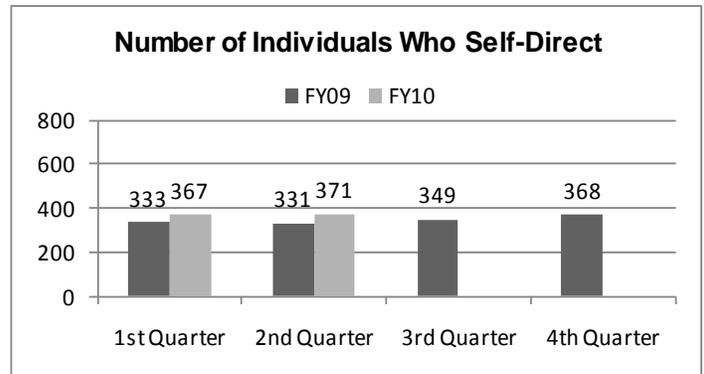
NORTH REGION



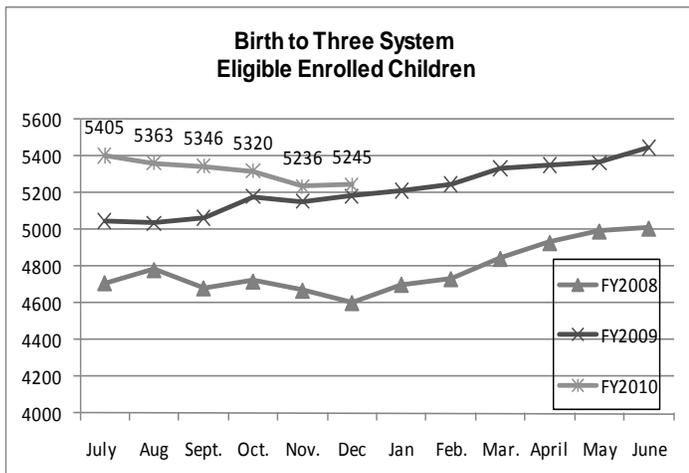
SOUTH REGION



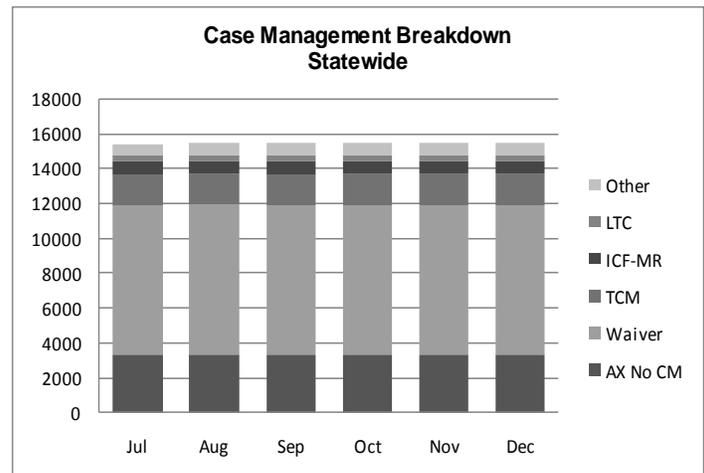
WEST REGION



Birth to Three System Eligible Enrolled Children



Case Management Breakdown Statewide



| Statewide | AX No CM | Waiver | TCM | ICF-MR | LTC | Other | Total |
|-----------|----------|--------|-----|--------|-----|-------|-------|
| YTD Diff | -21 | 21 | 65 | -10 | 3 | -28 | 30 |

SECTION I: Services and Supports

F. Family Support – Direct Support Services

IFS Family Support - Statewide

| Waiver Status | Qtr 1 | | Qtr 2 | | Qtr 3 | | Qtr 4 | | Total Unduplicated YTD |
|----------------------|----------|-----------|----------|-----------|----------|-----------|----------|-----------|------------------------|
| | New Case | Continued | |
| Not Enrolled - Adult | 160 | 0 | 28 | 130 | | | | | 196 |
| Enrolled - Adult | 164 | 0 | 26 | 133 | | | | | 187 |
| Not Enrolled - Child | 114 | 0 | 20 | 88 | | | | | 137 |
| Enrolled - Child | 49 | 0 | 5 | 40 | | | | | 51 |

IFS Family Support - NR

| Waiver Status | Qtr 1 | | Qtr 2 | | Qtr 3 | | Qtr 4 | | Total Unduplicated YTD |
|----------------------|----------|-----------|----------|-----------|----------|-----------|----------|-----------|------------------------|
| | New Case | Continued | |
| Not Enrolled - Adult | 15 | 0 | 11 | 15 | | | | | 26 |
| Enrolled - Adult | 13 | 0 | 2 | 5 | | | | | 15 |
| Not Enrolled - Child | 7 | 0 | 2 | 4 | | | | | 9 |
| Enrolled - Child | 22 | 0 | 3 | 21 | | | | | 25 |

IFS Family Support - SR

| Waiver Status | Qtr 1 | | Qtr 2 | | Qtr 3 | | Qtr 4 | | Total Unduplicated YTD |
|----------------------|----------|-----------|----------|-----------|----------|-----------|----------|-----------|------------------------|
| | New Case | Continued | |
| Not Enrolled - Adult | 88 | 0 | 11 | 62 | | | | | 99 |
| Enrolled - Adult | 76 | 0 | 14 | 54 | | | | | 90 |
| Not Enrolled - Child | 46 | 0 | 15 | 27 | | | | | 61 |
| Enrolled - Child | 18 | 0 | 2 | 12 | | | | | 20 |

IFS Family Support - WR

| Waiver Status | Qtr 1 | | Qtr 2 | | Qtr 3 | | Qtr 4 | | Total Unduplicated YTD |
|----------------------|----------|-----------|----------|-----------|----------|-----------|----------|-----------|------------------------|
| | New Case | Continued | |
| Not Enrolled - Adult | 57 | 0 | 6 | 53 | | | | | 71 |
| Enrolled - Adult | 75 | 0 | 10 | 74 | | | | | 82 |
| Not Enrolled - Child | 61 | 0 | 3 | 57 | | | | | 67 |
| Enrolled - Child | 9 | 0 | 0 | 7 | | | | | 6 |

SECTION I: Services and Supports

G. Respite Utilization

| STATEWIDE | | | | | | | | |
|--|----------|-----|------------|-----|-----|-----|-----|-------|
| Respite Utilization Data - FY 2010 | | | | | | | | |
| Baseline Data - June 2009 | | | BY QUARTER | Q 1 | Q 2 | Q 3 | Q 4 | Total |
| Unduplicated Number Of Referrals | Under 18 | 361 | Under 18 | 221 | 95 | | | 316 |
| | Over 18 | 694 | Over 18 | 579 | 161 | | | 740 |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 304 | Under 18 | 183 | 73 | | | 256 |
| | Over 18 | 708 | Over 18 | 535 | 125 | | | 660 |

| NORTH REGION | | | | | | | | |
|--|----------|-----|------------|-----|-----|-----|-----|-------|
| Respite Utilization Data - FY 2010 | | | | | | | | |
| Baseline Data - June 2009 | | | BY QUARTER | Q 1 | Q 2 | Q 3 | Q 4 | Total |
| Unduplicated Number Of Referrals | Under 18 | 122 | Under 18 | 75 | 6 | | | 81 |
| | Over 18 | 219 | Over 18 | 164 | 14 | | | 178 |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 82 | Under 18 | 47 | 12 | | | 59 |
| | Over 18 | 255 | Over 18 | 133 | 29 | | | 162 |

| SOUTH REGION | | | | | | | | |
|--|----------|-----|------------|-----|-----|-----|-----|-------|
| Respite Utilization Data - FY 2010 | | | | | | | | |
| Baseline Data - June 2009 | | | BY QUARTER | Q 1 | Q 2 | Q 3 | Q 4 | Total |
| Unduplicated Number Of Referrals | Under 18 | 157 | Under 18 | 73 | 40 | | | 113 |
| | Over 18 | 331 | Over 18 | 185 | 56 | | | 241 |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 140 | Under 18 | 63 | 17 | | | 80 |
| | Over 18 | 309 | Over 18 | 172 | 39 | | | 211 |

| WEST REGION | | | | | | | | |
|--|----------|-----|------------|-----|-----|-----|-----|-------|
| Respite Utilization Data - FY 2010 | | | | | | | | |
| Baseline Data - June 2009 | | | BY QUARTER | Q 1 | Q 2 | Q 3 | Q 4 | Total |
| Unduplicated Number Of Referrals | Under 18 | 82 | Under 18 | 73 | 49 | | | 122 |
| | Over 18 | 144 | Over 18 | 230 | 91 | | | 321 |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 82 | Under 18 | 73 | 44 | | | 117 |
| | Over 18 | 144 | Over 18 | 230 | 57 | | | 287 |

Section II: Service Needs

A. Residential Waiting List

| STATEWIDE | Emerg. | Pri. 1 | Total |
|-----------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 18 | 506 | 524 |
| DYTD | -3 | 45 | 42 |
| DDS Operated/Funded: | Emerg. | Pri. 1 | Total |
| CLAs | 0 | 84 | 84 |
| CRS | 0 | 19 | 19 |
| CTH | 1 | 9 | 10 |
| Indiv Home Supp* | 5 | 114 | 119 |
| Sub-Total | 6 | 226 | 232 |
| DYTD | -6 | -55 | -61 |
| Other State Agencies: | Emerg. | Pri. 1 | Total |
| DMHAS | 0 | 2 | 2 |
| DOC | 1 | 0 | 1 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 1 | 2 | 3 |
| Other: | Emerg. | Pri. 1 | Total |
| LTC/ICF/SNF/RCH | 0 | 53 | 53 |
| Other | 0 | 20 | 20 |
| Sub-Total | 0 | 73 | 73 |
| Total w/Sup. & Service | 7 | 301 | 308 |
| Grand Total | 25 | 807 | 832 |
| Change YTD | -10 | -4 | -14 |

| NORTH REGION | Emerg. | Pri. 1 | Total |
|-----------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 9 | 146 | 155 |
| DYTD | 0 | 9 | 9 |
| DDS Operated/Funded: | Emerg. | Pri. 1 | Total |
| CLAs | 0 | 48 | 48 |
| CRS | 0 | 13 | 13 |
| CTH | 0 | 5 | 5 |
| Indiv Home Supp* | 2 | 47 | 49 |
| Sub-Total | 2 | 113 | 115 |
| DYTD | -2 | 3 | 1 |
| Other State Agencies: | Emerg. | Pri. 1 | Total |
| DMHAS | 0 | 0 | 0 |
| DOC | 1 | 0 | 1 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 1 | 0 | 1 |
| Other: | Emerg. | Pri. 1 | Total |
| LTC/ICF/SNF/RCH | 0 | 15 | 15 |
| Other | 0 | 7 | 7 |
| Sub-Total | 0 | 22 | 22 |
| Total w/Sup. & Service | 3 | 135 | 138 |
| Grand Total | 12 | 281 | 293 |
| Change YTD | -3 | 9 | 6 |

| SOUTH REGION | Emerg. | Pri. 1 | Total |
|-----------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 5 | 168 | 173 |
| DYTD | 2 | 8 | 10 |
| DMR Operated/Funded: | Emerg. | Pri. 1 | Total |
| CLAs | 0 | 6 | 6 |
| CRS | 0 | 1 | 1 |
| CTH | 0 | 0 | 0 |
| Indiv Home Supp* | 0 | 15 | 15 |
| Sub-Total | 0 | 22 | 22 |
| DYTD | -1 | -78 | -79 |
| Other State Agencies: | Emerg. | Pri. 1 | Total |
| DMHAS | 0 | 1 | 1 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 0 | 1 | 1 |
| Other: | Emerg. | Pri. 1 | Total |
| LTC/ICF/SNF/RCH | 0 | 20 | 20 |
| Other | 0 | 5 | 5 |
| Sub-Total | 0 | 25 | 25 |
| Total w/Sup. & Service | 0 | 48 | 48 |
| Grand Total | 5 | 216 | 221 |
| Change YTD | 1 | -63 | -62 |

| WEST REGION | Emerg. | Pri. 1 | Total |
|-----------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 4 | 192 | 196 |
| DYTD | -5 | 28 | 23 |
| DDS Operated/Funded: | Emerg. | Pri. 1 | Total |
| CLAs | 0 | 30 | 30 |
| CRS | 0 | 5 | 5 |
| CTH | 1 | 4 | 5 |
| Indiv Home Supp* | 3 | 52 | 55 |
| Sub-Total | 4 | 91 | 95 |
| DYTD | -3 | 20 | 17 |
| Other State Agencies: | Emerg. | Pri. 1 | Total |
| DMHAS | 0 | 1 | 1 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 0 | 1 | 1 |
| Other: | Emerg. | Pri. 1 | Total |
| LTC/ICF/SNF/RCH | 0 | 18 | 18 |
| Other | 0 | 8 | 8 |
| Sub-Total | 0 | 26 | 26 |
| Total w/Sup. & Service | 4 | 118 | 122 |
| Grand Total | 8 | 310 | 318 |
| Change YTD | -8 | 50 | 42 |

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
B. Residential Planning List

| STATEWIDE | Pri. 2 | Pri. 3 | Total |
|-------------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 1072 | 421 | 1493 |
| DYTD | 17 | -8 | 9 |
| DDS Operated/Funded: | Pri. 2 | Pri. 3 | Total |
| CLAs | 9 | 1 | 10 |
| CTH | 1 | 1 | 2 |
| Indiv Home Supp* | 44 | 3 | 47 |
| Sub-Total | 54 | 5 | 59 |
| Other State Agencies: | Pri. 2 | Pri. 3 | Total |
| DMHAS | 1 | 0 | 1 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 1 | 0 | 1 |
| Sub-Total | 2 | 0 | 2 |
| Other: | Pri. 2 | Pri. 3 | Total |
| LTC/ICF/SNF/RCH | 6 | 4 | 10 |
| Other | 7 | 2 | 9 |
| Sub-Total | 13 | 6 | 19 |
| Total Supports & Service | 69 | 11 | 80 |
| Grand Total | 1141 | 432 | 1573 |
| Change YTD | 20 | -9 | 11 |

| NORTH REGION | Pri. 2 | Pri. 3 | Total |
|-------------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 402 | 169 | 571 |
| DYTD | 2 | -4 | -2 |
| DDS Operated/Funded: | Pri. 2 | Pri. 3 | Total |
| CLAs | 3 | 0 | 3 |
| CTH | 0 | 0 | 0 |
| Indiv Home Supp* | 20 | 1 | 21 |
| Sub-Total | 23 | 1 | 24 |
| Other State Agencies: | Pri. 2 | Pri. 3 | Total |
| DMHAS | 0 | 0 | 0 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 0 | 0 | 0 |
| Other: | Pri. 2 | Pri. 3 | Total |
| LTC/ICF/SNF/RCH | 3 | 1 | 4 |
| Other | 5 | 1 | 6 |
| Sub-Total | 8 | 2 | 10 |
| Total Supports & Service | 31 | 3 | 34 |
| Grand Total | 433 | 172 | 605 |
| Change YTD | -3 | -4 | -7 |

| SOUTH REGION | Pri. 2 | Pri. 3 | Total |
|-------------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 420 | 139 | 559 |
| DYTD | 2 | 1 | 3 |
| DDS Operated/Funded: | Pri. 2 | Pri. 3 | Total |
| CLAs | 4 | 1 | 5 |
| CTH | 1 | 1 | 2 |
| Indiv Home Supp* | 10 | 1 | 11 |
| Sub-Total | 15 | 3 | 18 |
| Other State Agencies: | Pri. 2 | Pri. 3 | Total |
| DMHAS | 0 | 0 | 0 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 1 | 0 | 1 |
| Sub-Total | 1 | 0 | 1 |
| Other: | Pri. 2 | Pri. 3 | Total |
| LTC/ICF/SNF/RCH | 3 | 1 | 4 |
| Other | 1 | 0 | 1 |
| Sub-Total | 4 | 1 | 5 |
| Total Supports & Service | 20 | 4 | 24 |
| Grand Total | 440 | 143 | 583 |
| Change YTD | -2 | 0 | -2 |

| WEST REGION | Pri. 2 | Pri. 3 | Total |
|-------------------------------------|---------------|---------------|--------------|
| Home/IL (No Supports) | 250 | 113 | 363 |
| DYTD | 13 | -5 | 8 |
| DDS Operated/Funded: | Pri. 2 | Pri. 3 | Total |
| CLAs | 2 | 0 | 2 |
| CTH | 0 | 0 | 0 |
| Indiv Home Supp* | 14 | 1 | 15 |
| Sub-Total | 16 | 1 | 17 |
| Other State Agencies: | Pri. 2 | Pri. 3 | Total |
| DMHAS | 1 | 0 | 1 |
| DOC | 0 | 0 | 0 |
| DCF/CTO | 0 | 0 | 0 |
| Sub-Total | 1 | 0 | 1 |
| Other: | Pri. 2 | Pri. 3 | Total |
| LTC/ICF/SNF/RCH | 0 | 2 | 2 |
| Other | 1 | 1 | 2 |
| Sub-Total | 1 | 3 | 4 |
| Total Supports & Service | 18 | 4 | 22 |
| Grand Total | 268 | 117 | 385 |
| Change YTD | 25 | -5 | 20 |

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs
C. Day Services Waiting List

| STATEWIDE | | | |
|--|----------|------------|------------|
| No Day Supports | Emerg. | Pri. 1 | Total |
| Family or Own Home | 0 | 116 | 116 |
| Out of Home | 0 | 29 | 29 |
| Total no supports | 0 | 145 | 145 |
| DYTD | -1 | 9 | 8 |
| Transition (Planned Placements) | | | |
| June 2009 Grads (Home) | 0 | 72 | 72 |
| June 2009 Ageouts (DCF, LEA, ISA funded) | 0 | 26 | 26 |
| Total | 0 | 98 | 98 |
| DDS Funded/Operated Supports* | | | |
| DDS Programs | 0 | 8 | 8 |
| Private Programs | 0 | 105 | 105 |
| Total | 0 | 113 | 113 |
| DYTD | 0 | 40 | 40 |

| NORTH REGION | | | |
|--|----------|-----------|-----------|
| No Day Supports | Emerg. | Pri. 1 | Total |
| Family or Own Home | 0 | 53 | 53 |
| Out of Home | 0 | 17 | 17 |
| Total no supports | 0 | 70 | 70 |
| DYTD | -1 | 7 | 6 |
| Transition (Planned Placements) | | | |
| June 2009 Grads (Home) | 0 | 28 | 28 |
| June 2009 Ageouts (DCF, LEA, ISA funded) | 0 | 11 | 11 |
| Total | 0 | 39 | 39 |
| DDS Funded/Operated Supports* | | | |
| DDS Programs | 0 | 7 | 7 |
| Private Programs | 0 | 53 | 53 |
| Total | 0 | 60 | 60 |
| DYTD | 0 | 32 | 32 |

| SOUTH REGION | | | |
|--|----------|-----------|-----------|
| No Day Supports | Emerg. | Pri. 1 | Total |
| Family or Own Home | 0 | 27 | 27 |
| Out of Home | 0 | 5 | 5 |
| Total no supports | 0 | 32 | 32 |
| DYTD | 0 | -2 | -2 |
| Transition (Planned Placements) | | | |
| June 2009 Grads (Home) | 0 | 22 | 22 |
| June 2009 Ageouts (DCF, LEA, ISA funded) | 0 | 3 | 3 |
| Total | 0 | 25 | 25 |
| DDS Funded/Operated Supports* | | | |
| DDS Programs | 0 | 0 | 0 |
| Private Programs | 0 | 3 | 3 |
| Total | 0 | 3 | 3 |
| DYTD | 0 | -7 | -7 |

| WEST REGION | | | |
|--|----------|-----------|-----------|
| No Day Supports | Emerg. | Pri. 1 | Total |
| Family or Own Home | 0 | 36 | 36 |
| Out of Home | 0 | 7 | 7 |
| Total no supports | 0 | 43 | 43 |
| DYTD | 0 | 4 | 4 |
| Transition (Planned Placements) | | | |
| June 2009 Grads (Home) | 0 | 22 | 22 |
| June 2009 Ageouts (DCF, LEA, ISA funded) | 0 | 12 | 12 |
| Total | 0 | 34 | 34 |
| DDS Funded/Operated Supports* | | | |
| DDS Programs | 0 | 1 | 1 |
| Private Programs | 0 | 49 | 49 |
| Total | 0 | 50 | 50 |
| DYTD | 0 | 15 | 15 |

*NOTE: DDS funded/Operated Supports – individuals in this category do not have sufficient funding to exercise portability (purchase a different service/support with their current resources)
 Two additional individuals are listed as June 2008 Grads and another two additional individuals are listed as June 2008 Ageouts statewide.

Section II: Service Needs

D. Future Planning Ageouts and High School Graduates

Residential Ageouts

| STATEWIDE | |
|-------------------------------|----|
| DCF, LEA or ISA Funded | |
| FY 2011 | 74 |
| FY 2012 | 75 |
| FY 2013 | 63 |

| NORTH REGION | |
|-------------------------------|----|
| DCF, LEA or ISA Funded | |
| FY 2011 | 24 |
| FY 2012 | 25 |
| FY 2013 | 25 |

| SOUTH REGION | |
|-------------------------------|----|
| DCF, LEA or ISA Funded | |
| FY 2011 | 21 |
| FY 2012 | 28 |
| FY 2013 | 21 |

| WEST REGION | |
|-------------------------------|----|
| DCF, LEA or ISA Funded | |
| FY 2010 | 29 |
| FY 2011 | 22 |
| FY 2012 | 17 |

Grads and Day Ageouts

| STATEWIDE | | |
|------------------|-------------------------|----------------|
| | School Graduates | Ageouts |
| FY 2011 | 278 | 92 |
| FY 2012 | 128 | 65 |
| FY 2013 | 51 | 74 |

| NORTH REGION | | |
|---------------------|-------------------------|----------------|
| | School Graduates | Ageouts |
| FY 2011 | 104 | 43 |
| FY 2012 | 45 | 18 |
| FY 2013 | 27 | 20 |

| SOUTH REGION | | |
|---------------------|-------------------------|----------------|
| | School Graduates | Ageouts |
| FY 2011 | 90 | 19 |
| FY 2012 | 16 | 19 |
| FY 2013 | 10 | 29 |

| WEST REGION | | |
|--------------------|-------------------------|----------------|
| | School Graduates | Ageouts |
| FY 2011 | 84 | 30 |
| FY 2012 | 67 | 28 |
| FY 2013 | 14 | 25 |

NOTE: Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2013).

Section II: Service Needs

E. Portability

| Number Of People Who Initiate The Portability Process | | | | | | | | | | |
|---|-----|-----|-----|-----|-----|-----|-----|-----|-------|-----|
| | Q1 | | Q2 | | Q3 | | Q4 | | TOTAL | |
| REG | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri |
| NR | 2 | 17 | 2 | 7 | | | | | 4 | 24 |
| SR | 7 | 35 | 4 | 16 | | | | | 11 | 51 |
| WR | 6 | 11 | 0 | 13 | | | | | 6 | 24 |
| TOT | 15 | 63 | 6 | 36 | 0 | 0 | 0 | 0 | 21 | 99 |

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

| Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who RESOLVE Their Issues And Stay -- DO NOT USE PORTABILITY | | | | | | | | | | |
|--|-----|-----|-----|-----|-----|-----|-----|-----|-------|-----|
| | Q1 | | Q2 | | Q3 | | Q4 | | TOTAL | |
| REG | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri |
| NR | | 0 | 0 | 0 | | | | | 0 | 0 |
| SR | 0 | 1 | 0 | 0 | | | | | 0 | 1 |
| WR | 1 | 2 | 0 | 1 | | | | | 1 | 3 |
| TOT | 1 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 4 |

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

| Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who DO NOT RESOLVE Their Issues And Move -- USE PORTABILITY | | | | | | | | | | |
|--|-----|-----|-----|-----|-----|-----|-----|-----|-------|-----|
| | Q1 | | Q2 | | Q3 | | Q4 | | TOTAL | |
| REG | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri |
| NR | 2 | 4 | 2 | 2 | | | | | 4 | 6 |
| SR | 7 | 28 | 4 | 8 | | | | | 11 | 36 |
| WR | 4 | 9 | 0 | 12 | | | | | 4 | 21 |
| TOT | 13 | 41 | 6 | 22 | 0 | 0 | 0 | 0 | 19 | 63 |

Represents New People In Each Quarter - NOT CUMULATIVE TOTAL

| THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People For Whom The Issue Is Still PENDING | | | | | | | | | | |
|--|-----|-----|-----|-----|-----|-----|-----|-----|-------|-----|
| | Q1 | | Q2 | | Q3 | | Q4 | | TOTAL | |
| REG | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri | Pub | Pri |
| NR | 0 | 13 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 18 |
| SR | 0 | 6 | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 14 |
| WR | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| TOT | 1 | 19 | 0 | 13 | 0 | 0 | 0 | 0 | 1 | 32 |

Section III: New Development Goals and Support Activity
A. Residential Waiting List Activities and Residential Ageouts

| FY 10 - Second Quarter Report | |
|--|------------|
| Waiting List Activities | |
| Service Activity July 1, 2009 - December 31, 2009 | |
| Residential Waiting List Funding and Service Activity | |
| North Region | Actual YTD |
| New FY 09 Funds | 7 |
| New FY 10 Funds | 0 |
| Opportune | 6 |
| Total | 13 |
| South Region | Actual YTD |
| New FY 09 Funds | 1 |
| New FY 10 Funds | 0 |
| Opportune | 10 |
| Total | 11 |
| West Region | Actual YTD |
| New FY 09 Funds | 1 |
| New FY 10 Funds | 11 |
| Opportune | 13 |
| Total | 25 |
| Statewide | Actual YTD |
| New FY 09 Funds | 9 |
| New FY 10 Funds | 11 |
| Opportune | 29 |
| Grand Total | 49 |

| Residential AgeOuts | | | |
|---------------------------------|-----------|------------|------------|
| | Goal | Actual YTD | Difference |
| North Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 23 | 6 | -17 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 23 | 3 | -17 |
| South Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 22 | 4 | -18 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 22 | 4 | -18 |
| West Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 27 | 1 | -26 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 27 | 1 | 5 |
| Statewide | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 72 | 11 | -61 |
| Served with Opportune Resources | NA | 0 | 0 |
| Grand Total | 72 | 11 | -61 |

Four Additional individuals were served with FY 09 funds during this period

Section III: New Development Goals and Support Activity

B. Day AgeOuts and High School Grads

High School Graduates

Service Activity July 1, 2009 - June 30, 2010

| High School Graduates Funding | | | |
|--|-------------|-------------------|-------------------|
| North Region | Goal | Actual YTD | Difference |
| June 2009 Grads Served w/FY10 Grad Funds | 119 | 90 | -29 |
| Day Waiting List Ind. Served w/FY10 Grad Funds | 0 | 0 | 0 |
| Total | 119 | 90 | -29 |
| South Region | Goal | Actual YTD | Difference |
| June 2009 Grads Served w/FY10 Grad Funds | 77 | 50 | -27 |
| Day Waiting List Ind. Served w/FY10 Grad Funds | 0 | 0 | 0 |
| Total | 77 | 50 | -27 |
| West Region | Goal | Actual YTD | Difference |
| June 2009 Grads Served w/FY10 Grad Funds | 59 | 41 | -18 |
| Day Waiting List Ind. Served w/FY10 Grad Funds | 0 | 0 | 0 |
| Total | 59 | 41 | -18 |
| Statewide | Goal | Actual YTD | Difference |
| June 2009 Grads Served w/FY10 Grad Funds | 255 | 181 | -74 |
| Day Waiting List Ind. Served w/FY10 Grad Funds | 0 | 0 | 0 |
| Grand Total | 255 | 181 | -74 |

Six additional individuals were served with FY 09 and FY 08 funds during this period

Individuals "Aging Out" of DCF and LEA Services

Service Activity July 1, 2009 - June 30, 2010

| Day AgeOuts | | | |
|---------------------------------|-------------|-------------------|-------------------|
| North Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 29 | 23 | -6 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 29 | 23 | -6 |
| South Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 17 | 19 | 2 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 17 | 19 | 2 |
| West Region | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 27 | 14 | -13 |
| Served with Opportune Resources | NA | 0 | 0 |
| Total | 27 | 14 | -13 |
| Statewide | Goal | Actual YTD | Difference |
| Served with FY10 AO Funds | 73 | 56 | -17 |
| Served with Opportune Resources | NA | 0 | 0 |
| Grand Total | 73 | 56 | -17 |

One additional individual was funded with FY 09 funds.

Section IV: Eligibility

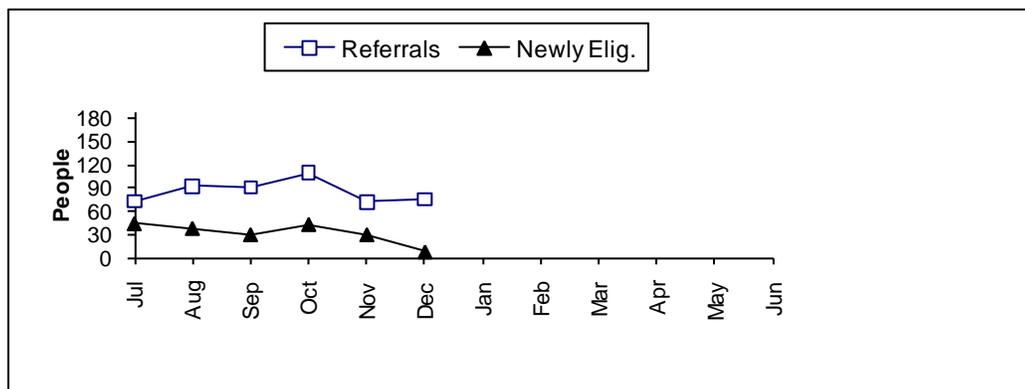
Eligibility Inquiries And Determination
 For Second Quarter FY '10 - October 1, 2009 to December 31, 2009

There Were 256 Inquiries To Eligibility Unit

There Were 136 Eligibility Determinations
Note: Only 11 of the 136 determination were from inquiries made during the quarter.

| Age Range | Total | % Of Determinations For Each Age Range | Eligible Per Age Range | % Eligible Per Age Range | Ineligible Per Age Range | % Ineligible Per Age Range |
|---------------|------------|--|------------------------|----------------------------------|--------------------------|------------------------------------|
| 0-4_9 | 9 | 6.6% | 9 | 11.1% | 0 | 0.0% |
| 5-7_9 | 8 | 5.9% | 5 | 6.2% | 3 | 5.5% |
| 8-17_9 | 68 | 50.0% | 42 | 51.9% | 26 | 47.3% |
| 18-20_9 | 26 | 19.1% | 14 | 17.3% | 12 | 21.8% |
| 21-29 | 12 | 8.8% | 6 | 7.4% | 6 | 10.9% |
| 30-39 | 4 | 2.9% | 2 | 2.5% | 2 | 3.6% |
| 40-49 | 5 | 3.7% | 1 | 1.2% | 4 | 7.3% |
| 50-59 | 3 | 2.2% | 2 | 2.5% | 1 | 1.8% |
| 60-69 | 1 | 0.7% | 0 | 0.0% | 1 | 1.8% |
| Totals | 136 | | 81 | 60% Of Total Are Eligible | 55 | 40% Of Total Are Ineligible |

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE
December 2009 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

| Age Group | CAMPUS | DDS CLA | PRIV. CLA | CRS | CTH DDS LIC. | CTH-NOT DDS-LIC | SNF | ICF | RCH (HA) | PRIV. RES. SCH. | IND. LIV. | DDS HOME IS | PVT HOME IS | FAM HOME | MH FAC. | HOS. | OTHER | NO DATA | TOTAL | PCT |
|----------------|------------|------------|--------------|------------|--------------|-----------------|------------|-----------|-----------|-----------------|------------|-------------|-------------|--------------|-----------|-----------|-----------|-----------|---------------|-------------|
| 0-2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | 0 | 0 | 0 | 0 | 30 | 0% |
| 3-5 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 195 | 0 | 1 | 0 | 0 | 197 | 1% |
| 6-13 | 0 | 0 | 19 | 0 | 0 | 28 | 0 | 0 | 0 | 14 | 0 | 0 | 0 | 1,395 | 0 | 1 | 4 | 3 | 1,464 | 9% |
| 14-17 | 0 | 0 | 42 | 2 | 5 | 29 | 0 | 0 | 0 | 39 | 1 | 0 | 0 | 969 | 1 | 1 | 10 | 1 | 1,100 | 7% |
| 18-21 | 2 | 0 | 141 | 11 | 13 | 25 | 2 | 0 | 0 | 53 | 9 | 0 | 9 | 1,203 | 0 | 4 | 18 | 6 | 1,496 | 10% |
| 22-34 | 35 | 46 | 578 | 82 | 77 | 7 | 7 | 0 | 3 | 20 | 300 | 19 | 92 | 2,262 | 0 | 6 | 15 | 10 | 3,559 | 23% |
| 35-44 | 92 | 119 | 583 | 72 | 90 | 0 | 14 | 3 | 1 | 3 | 165 | 63 | 136 | 830 | 2 | 4 | 8 | 3 | 2,188 | 14% |
| 45-54 | 191 | 196 | 913 | 52 | 111 | 0 | 40 | 2 | 10 | 3 | 175 | 103 | 184 | 592 | 2 | 2 | 9 | 5 | 2,590 | 17% |
| 55-64 | 224 | 117 | 638 | 24 | 74 | 0 | 85 | 18 | 12 | 1 | 95 | 91 | 123 | 263 | 0 | 1 | 7 | 4 | 1,777 | 11% |
| 65-74 | 102 | 34 | 248 | 9 | 41 | 0 | 78 | 7 | 17 | 0 | 44 | 34 | 35 | 57 | 0 | 0 | 3 | 4 | 713 | 5% |
| 75+ | 55 | 12 | 99 | 2 | 11 | 0 | 92 | 11 | 18 | 0 | 14 | 12 | 15 | 16 | 0 | 0 | 3 | 0 | 360 | 2% |
| TOTAL | 701 | 524 | 3,261 | 254 | 422 | 90 | 318 | 41 | 61 | 133 | 803 | 322 | 594 | 7,812 | 5 | 20 | 77 | 36 | 15,474 | 100% |
| PERCENT | 5% | 3% | 21% | 2% | 3% | 1% | 2% | 0% | 0% | 1% | 5% | 2% | 4% | 50% | 0% | 0% | 0% | 0% | 100% | |

Sub-Totals by Age Groups

| Age Group | CAMPUS | DDS CLA | PRIV. CLA | CRS | CTH DDS LIC. | CTH-NOT DDS-LIC | SNF | ICF | RCH (HA) | PRIV. RES. SCH. | IND. LIV. | DDS HOME IS | PVT HOME IS | FAMILY HOME | MH FAC. | HOS. | OTHER | NO DATA | TOTAL | PCT |
|---------------------------------|------------|------------|--------------|------------|--------------|-----------------|------------|-----------|-----------|-----------------|------------|-------------|-------------|--------------|----------|-----------|-----------|-----------|---------------|-------------|
| Children (Age 0-17) | 0 | 0 | 61 | 2 | 5 | 58 | 0 | 0 | 0 | 53 | 1 | 0 | 0 | 2,589 | 1 | 3 | 14 | 4 | 2,791 | 18% |
| Young Adults (Age 18-21) | 2 | 0 | 141 | 11 | 13 | 25 | 2 | 0 | 0 | 53 | 9 | 0 | 9 | 1,203 | 0 | 4 | 18 | 6 | 1,496 | 10% |
| Adults (Age 22 and Over) | 699 | 524 | 3,059 | 241 | 404 | 7 | 316 | 41 | 61 | 27 | 793 | 322 | 585 | 4,020 | 4 | 13 | 45 | 26 | 11,187 | 72% |
| Total Percent | 701 | 524 | 3,261 | 254 | 422 | 90 | 318 | 41 | 61 | 133 | 803 | 322 | 594 | 7,812 | 5 | 20 | 77 | 36 | 15,474 | 100% |

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*
 * Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE
December 2009 Client Data
(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

| Age Group | DSO | SEI | GSE | SHE | IDV | IDN | B23 | LEA | SCD | EMP | EMX | OTH | NP* | NO | | |
|---|--------------|--------------|--------------|------------|-----------|-----------|------------|--------------|-----------|------------|-----------|-----------|--------------|------------|---------------|-------------|
| | | | | | | | | | | | | | | DATA | TOTAL | PCT |
| 0-2 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 10 | 0 | 0 | 0 | 0 | 0 | 6 | 30 | 0% |
| 3-5 | 0 | 0 | 0 | 0 | 0 | 0 | 44 | 125 | 0 | 0 | 0 | 1 | 3 | 24 | 197 | 1% |
| 6-13 | 0 | 0 | 0 | 0 | 0 | 0 | 48 | 1,344 | 3 | 0 | 0 | 10 | 13 | 46 | 1,464 | 9% |
| 14-17 | 1 | 0 | 0 | 0 | 0 | 0 | 3 | 1,038 | 10 | 0 | 0 | 6 | 3 | 39 | 1,100 | 7% |
| 18-21 | 53 | 26 | 66 | 2 | 0 | 0 | 2 | 1,260 | 15 | 1 | 2 | 4 | 10 | 55 | 1,496 | 10% |
| 22-34 | 1,062 | 556 | 1,249 | 72 | 4 | 2 | 0 | 110 | 16 | 95 | 7 | 15 | 263 | 108 | 3,559 | 23% |
| 35-44 | 715 | 269 | 736 | 99 | 2 | 1 | 0 | 3 | 3 | 107 | 10 | 5 | 204 | 34 | 2,188 | 14% |
| 45-54 | 987 | 221 | 847 | 141 | 0 | 0 | 0 | 1 | 2 | 108 | 10 | 7 | 221 | 45 | 2,590 | 17% |
| 55-64 | 845 | 102 | 409 | 110 | 1 | 0 | 0 | 2 | 1 | 71 | 6 | 3 | 190 | 37 | 1,777 | 11% |
| 65-74 | 398 | 30 | 102 | 27 | 0 | 0 | 0 | 0 | 0 | 13 | 1 | 3 | 122 | 17 | 713 | 5% |
| 75+ | 207 | 5 | 18 | 10 | 0 | 0 | 0 | 0 | 0 | 4 | 1 | 1 | 96 | 18 | 360 | 2% |
| TOTAL | 4,268 | 1,209 | 3,427 | 461 | 7 | 3 | 111 | 3,893 | 50 | 399 | 37 | 55 | 1,125 | 429 | 15,474 | 100% |
| PERCENT | 28% | 8% | 22% | 3% | 0% | 0% | 1% | 25% | 0% | 3% | 0% | 0% | 7% | 3% | 100% | |
| Sub-Totals by Age Groups | | | | | | | | | | | | | | | | |
| Age Group | DSO | SEI | GSE | SHE | IDV | IDN | B23 | LEA | SCD | EMP | EMX | OTH | NP* | NO | | |
| | | | | | | | | | | | | | | DATA | TOTAL | PCT |
| Children (Age 0-17) | 1 | 0 | 0 | 0 | 0 | 0 | 109 | 2,517 | 13 | 0 | 0 | 17 | 19 | 115 | 2,791 | 18% |
| Young Adults (Age 18-21) | 53 | 26 | 66 | 2 | 0 | 0 | 2 | 1,260 | 15 | 1 | 2 | 4 | 10 | 55 | 1,496 | 10% |
| Adults (Age 22 and Over) | 4,214 | 1,183 | 3,361 | 459 | 7 | 3 | 0 | 116 | 22 | 398 | 35 | 34 | 1,096 | 259 | 11,187 | 72% |
| Total | 4,268 | 1,209 | 3,427 | 461 | 7 | 3 | 111 | 3,893 | 50 | 399 | 37 | 55 | 1,125 | 429 | 15,474 | 100% |
| Percent | 28% | 8% | 22% | 3% | 0% | 0% | 1% | 25% | 0% | 3% | 0% | 0% | 7% | 3% | | |
| DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical | | | | | | | | | | | | | | | | |

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

| Region | DDS | Private | CRS* | CTH | Family Home | Own | RCH | Other | Total |
|---------------------|------------|--------------|------------|------------|--------------|------------------|-----------|-----------|--------------|
| | CLA | CLA | | | | Home w/ Supports | | | |
| North | 251 | 959 | 95 | 143 | 1,031 | 434 | 8 | 21 | 2,942 |
| South | 197 | 911 | 70 | 147 | 1,103 | 584 | 2 | 16 | 3,030 |
| West | 73 | 861 | 62 | 111 | 1,109 | 382 | 3 | 10 | 2,611 |
| Dec 09 Total | 521 | 2,731 | 227 | 401 | 3,243 | 1,400 | 13 | 47 | 8,583 |
| June 2009 | 533 | 2,723 | 0 | 389 | 3,198 | 1,623 | 12 | 41 | 8,519 |
| ΔYTD | -12 | 8 | 227 | 12 | 45 | -223 | 1 | 6 | 64 |

Comprehensive Waiver Enrollees

| Region | DDS | Private | CRS* | CTH | Family Home | Own | RCH | Other | Total |
|---------------------|------------|--------------|------------|------------|-------------|------------------|----------|-----------|--------------|
| | CLA | CLA | | | | Home w/ Supports | | | |
| North | 251 | 950 | 81 | 129 | 77 | 222 | 4 | 8 | 1,722 |
| South | 197 | 902 | 50 | 136 | 55 | 249 | 0 | 11 | 1,600 |
| West | 73 | 842 | 54 | 100 | 69 | 159 | 2 | 3 | 1,302 |
| Dec 09 Total | 521 | 2,694 | 185 | 365 | 201 | 630 | 6 | 22 | 4,624 |
| June 2009 | 533 | 2,681 | 0 | 362 | 202 | 814 | 8 | 20 | 4,620 |
| ΔYTD | -12 | 13 | 185 | 3 | -1 | -184 | -2 | 2 | 4 |

Individual and Family Support Waiver Enrollees

| Region | DDS | Private | CRS* | CTH | Family Home | Own | RCH | Other | Total |
|---------------------|----------|-----------|-----------|-----------|--------------|------------------|----------|-----------|--------------|
| | CLA | CLA | | | | Home w/ Supports | | | |
| North | 0 | 9 | 14 | 14 | 954 | 212 | 4 | 13 | 1,220 |
| South | 0 | 9 | 20 | 11 | 1,048 | 335 | 2 | 5 | 1,430 |
| West | 0 | 19 | 8 | 11 | 1,040 | 223 | 1 | 7 | 1,309 |
| Dec 09 Total | 0 | 37 | 42 | 36 | 3,042 | 770 | 7 | 25 | 3,959 |
| June 2009 | 0 | 42 | 0 | 27 | 2,996 | 809 | 4 | 21 | 3,899 |
| ΔYTD | 0 | -5 | 42 | 9 | 46 | -39 | 3 | 4 | 60 |

Data Source: CAMRIS 12/09

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

| Actual v. Projected Revenue - FY 2010 Second Quarter (Millions of Dollars) | | | | | |
|---|----------|-----------|------------|------------|----------|
| | SFY 09 | SFY 10 | SFY 10 | SFY 10 | |
| | Actual | EOY | YTD Actual | Difference | % of |
| | Revenue | Projected | Revenue | Projected | Revenue |
| | | | | vs Actual | Received |
| Waiver | \$270.03 | \$266.69 | \$146.93 | \$119.77 | 55.09% |
| Public ICF/MR | \$107.07 | \$113.59 | \$56.33 | \$57.26 | 49.59% |
| Targeted Case Mgmt. | \$10.79 | \$9.30 | \$6.83 | \$2.48 | 73.37% |
| Birth to Three | \$4.48 | \$7.92 | \$2.38 | \$5.54 | 30.02% |
| TOTAL Billing | \$392.36 | \$397.51 | \$212.46 | \$185.05 | 53.45% |

| MU - Revenues Cross Over Multiple Regions* | | | | | |
|--|---------|---------|---------|---------|--------|
| Waiver | \$25.09 | \$24.79 | \$13.09 | \$11.69 | 52.82% |
| Birth to Three | \$4.48 | \$7.92 | \$2.38 | \$5.54 | 30.02% |
| TOTAL Billing | \$29.57 | \$32.71 | \$15.47 | \$17.24 | 47.30% |

*Excludes Public ICF/MR and Targeted Case Mgmt.

| North* | | | | | |
|---------------------|----------|----------|---------|---------|--------|
| Waiver | \$94.75 | \$93.55 | \$52.36 | \$41.20 | 55.96% |
| Public ICF/MR | \$8.14 | \$7.78 | \$3.68 | \$4.10 | 47.31% |
| Targeted Case Mgmt. | \$3.28 | \$3.19 | \$2.36 | \$0.82 | 74.21% |
| TOTAL Billing | \$106.17 | \$104.52 | \$58.40 | \$46.12 | 55.88% |

*Excludes Birth to Three

| South* | | | | | |
|---------------------|---------|---------|---------|---------|--------|
| Waiver | \$85.69 | \$84.62 | \$46.79 | \$37.83 | 55.29% |
| Public ICF/MR | \$7.05 | \$7.45 | \$3.15 | \$4.30 | 42.30% |
| Targeted Case Mgmt. | \$3.76 | \$2.98 | \$2.44 | \$0.54 | 81.87% |
| TOTAL Billing | \$96.50 | \$95.05 | \$52.38 | \$42.67 | 55.11% |

*Excludes Birth to Three

| West* | | | | | |
|---------------------|----------|----------|---------|---------|--------|
| Waiver | \$64.50 | \$63.73 | \$34.68 | \$29.05 | 54.42% |
| Public ICF/MR | \$91.88 | \$98.36 | \$49.50 | \$48.86 | 50.32% |
| Targeted Case Mgmt. | \$3.75 | \$3.14 | \$2.02 | \$1.12 | 64.43% |
| TOTAL Billing | \$160.13 | \$165.22 | \$86.20 | \$79.02 | 52.17% |

*Excludes Birth to Three

Section VII: Human Resource

A. DDS funded Position Count

| <u>Permanent Full Time Position Count (General Funds)</u> | | | | | | |
|---|----------------|--------|-------------------|--------------------------|------------|--------|
| Region | FY10 Funded | Active | Leave with Pay | Leave w/o Pay & WC | Durational | Vacant |
| North | 812 | 743 | 2 | 23 | 0 | 44 |
| South | 735 | 683 | 4 | 36 | 4 | 12 |
| West | 645 | 541 | 5 | 19 | 4 | 80 |
| STS | 1268 | 1148 | 8 | 65 | 2 | 47 |
| Central Office | 132 | 116 | 0 | 0 | 0 | 16 |
| Totals | 3592 | 3231 | 19 | 143 | 10 | 199 |

| <u>Permanent Part Time Position Count (General Funds)</u> | | | | | | |
|---|----------------|--------|-------------------|--------------------------|------------|--------|
| Region | FY10 Funded | Active | Leave with Pay | Leave w/o Pay & WC | Durational | Vacant |
| North | 359 | 341 | 2 | 4 | 1 | 12 |
| South | 328 | 300 | 0 | 13 | 2 | 15 |
| West | 252 | 236 | 4 | 12 | 1 | 0 |
| STS | 220 | 133 | 0 | 15 | 1 | 72 |
| Central Office | 2 | 2 | 0 | 0 | 0 | 0 |
| Totals | 1161 | 1012 | 6 | 44 | 5 | 99 |

| <u>Other General Fund Positions - Filled</u> | | | | | | |
|--|-------|-------|------|-----|----|-------|
| Type | North | South | West | STS | CO | Total |
| Temporary | 0 | 1 | 1 | 0 | 0 | 2 |
| General Workers | 32 | 38 | 3 | 116 | 0 | 189 |
| Substitutes | 0 | 0 | 1 | 0 | 0 | 1 |
| Retirees | 32 | 17 | 9 | 21 | 7 | 86 |
| Inst. Fire | 0 | 0 | 0 | 9 | 0 | 9 |
| Per Diems | 21 | 18 | 9 | 4 | 5 | 57 |

| <u>Federal Funded Positions - Filled</u> | | | | | | |
|--|-------|-------|------|-----|----|-------|
| | North | South | West | STS | CO | Total |
| Full Time | 0 | 0 | 0 | 0 | 14 | 14 |
| Part Time | 7 | 8 | 2 | 0 | 0 | 17 |

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

DDS ABUSE AND NEGLECT REGISTRY QUARTERLY REPORT

October through December - 2009

| REGISTRY REFERRALS | | |
|----------------------------|------------|--|
| New Referrals this Quarter | 9 | (9 – Private Sector) (0 – Public Sector) |
| Referrals as of 9/30/09 | 507 | (479 actual names – 7 individuals have dual referrals) |
| TOTAL REFERRALS | 516 | (509 actual names – 7 individuals have dual referrals) |

| REFERRALS BY SECTOR | | |
|---------------------|-----|-----|
| Private sector | 394 | 76% |
| Public sector | 122 | 24% |

| | Total to date | Private Sector | Public Sector |
|---|-----------------|----------------|---------------|
| Names on Registry | 80 names | 55 | 25 |
| Closed - Arbitration & Legal Proceeding | 72 | 14 | 58 |
| Closed - Do not meet Statutory Criteria | 268 | 236 | 32 |
| Total Completed Cases | 420 | 305 | 115 |

| HEARING ACTIVITY for the Quarter | |
|----------------------------------|---|
| Hearings Held | 3 |
| Hearing Waivers Received | 0 |
| Pending Hearings Scheduled | 3 |

Abuse/Neglect Registry Inquiries

