

PERFORMANCE BOND
Know all men by these presents

THAT _____ of the
Town of _____, County _____ and
State of _____, as Principal (hereinafter called the Principal), and _____

(a surety company authorized to transact business in the State Of Connecticut) as Surety (hereinafter called the Surety) are held and firmly bound unto the State of Connecticut (hereinafter called the Obligee) in the full penal sum of _____ (\$ _____) Dollars, lawful money of the United States, to be paid to said State of Connecticut, to the which payment well and truly to be made and done, the said Principal binds himself, his heirs, executors, administrators and assigns (or itself, its successors and assigns), and the said Surety binds itself, its successors and assigns jointly and severally firmly by these presents.

Signed, sealed and delivered this _____ day of _____ A. D. 20 _____.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT

WHEREAS said Principal will enter into a certain written contract with said Obligee, to be dated the _____ day of _____ A. D. 20 _____, which written contract shall provide for the following:

which contract, including any hereafter made extension, modification or alteration thereof, is hereby referred to, incorporated in and made a part of this bond as though herein fully set forth.

NOW, THEREFORE, if the said Principal shall well and truly keep, perform and execute all the terms, conditions and stipulations of said contract, as it may be extended, modified or altered, according to its provisions on his or its part to be kept and performed or shall indemnify and reimburse the Obligee for any loss that it may suffer through the failure of the Principal to faithfully observe and perform each and every obligation and duty imposed upon the Principal by the said contract, as it may be extended, modified or altered, at the time and in the manner therein specified, then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.

Any such extension, modification or alteration or any forbearance on the part of either the Obligee or the Principal, one to the other, shall not in any way release the Principal and/or the Surety, their heirs, executors, administrators, successors or assigns from liability hereunder, notice to the Surety of any such extension, modification, alteration or forbearance being hereby specifically and absolutely waived.

IN TESTIMONY WHEREOF, the said Principal has hereunto set his / its hand and seal, and the said Surety has caused this instrument to be signed by its attorney in fact and its corporate seal to be hereunto affixed, the day and year first written.

SEAL

Witnesses as to Principal

_____, Its _____, Its Duly Authorized

(Print Name)

(Print Name)

SEAL

Witnesses as to Surety

_____ by _____

Its attorney in fact

(Print Name)

(Print Name)

END
PERFORMANCE BOND

LABOR AND MATERIAL BOND

Know all men by these presents

THAT _____ of the
Town of _____, County _____ and
State of _____, as Principal (hereinafter called the Principal), and _____

(a surety company authorized to transact business in the State Of Connecticut) as Surety (hereinafter called the Surety) are held and firmly bound unto the State of Connecticut (hereinafter called the Obligee) in the full penal sum of _____ (\$ _____) Dollars, lawful money of the United States, to be paid to said State of Connecticut, to the which payment well and truly to be made and done, the said Principal binds himself, his heirs, executors, administrators and assigns (or itself, its successors and assigns), and the said Surety binds itself, its successors and assigns jointly and severally firmly by these presents.

Signed, sealed and delivered this _____ day of _____ A. D. 20 _____.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT

WHEREAS said Principal will enter into a certain written contract with said Obligee, to be dated the _____ day of _____ A. D. 20 _____, which written contract shall provide for the following:

which contract, including any hereafter made extension, modification or alteration thereof, is hereby referred to, incorporated in and made a part of this bond as though herein fully set forth.

NOW, THEREFORE, if the said Principal shall well and truly keep, perform and execute all the terms, conditions and stipulations of said contract, as it may be extended, modified or altered, according to its provisions on his or its part to be kept and performed or shall indemnify and reimburse the Obligee for any loss that it may suffer through the failure of the Principal to faithfully observe and perform each and every obligation and duty imposed upon the Principal by the said contract, as it may be extended, modified or altered, at the time and in the manner therein specified, then this obligation shall be null and void, otherwise it shall remain and be in full force and effect.

Any such extension, modification or alteration or any forbearance on the part of either the Obligee or the Principal, one to the other, shall not in any way release the Principal and/or the Surety, their heirs, executors, administrators, successors or assigns from liability hereunder, notice to the Surety of any such extension, modification, alteration or forbearance being hereby specifically and absolutely waived.

IN TESTIMONY WHEREOF, the said Principal has hereunto set his / its hand and seal, and the said Surety has caused this instrument to be signed by its attorney in fact and its corporate seal to be hereunto affixed, the day and year first written.

SEAL

Witnesses as to Principal

_____, Its _____, Its Duly Authorized

(Print Name)

(Print Name)

SEAL

Witnesses as to Surety

_____ by _____

Its attorney in fact

(Print Name)

(Print Name)

**END
LABOR AND MATERIAL BOND**

State Of Connecticut
Department Of Administrative Services
on Behalf of
Department Of Construction Services
Procurement – Room G-35
State Office Building
165 Capitol Avenue
Hartford, Ct 06106

Surety Sheet

1. SURETY COMPANY

Name of Surety Co.: _____

Address of Home Office: _____

Telephone Number: _____

2. AGENT

Name of Surety Co.: _____

Address of Agency: _____

Telephone Number: _____

Attorney-In-Fact: _____

Telephone Number: _____

Project Number: _____

Contractor's Name: _____

End
Surety Sheet

**Bidder's Certification:
Financial Position and Corporate Structure**

I, _____ of _____
(Your Name) (Name Of Company)

the bidder for this contract (hereinafter "bidder"), certify under penalty of false statement that the information in the bid is true, that there has been no substantial change in the bidder's financial position or corporate structure since its most recent prequalification certificate was issued or renewed pursuant to CGS § 4b-91, as amended, other than those changes noted in the update statement, and that the bid was made without fraud or collusion with any person.

(Signature)

(Print Name)

(Date)

(DPW Project Number)

END
Bidder's Certification:
Financial Position and Corporate Structure

SAMPLE

Download Form AU-766 from the CT Department of Revenue Services Website: www.ct.gov/drs

Department of Revenue Services
 Discovery Unit
 25 Sigourney Street
 Hartford CT 06106-5032
 (Rev. 10/05)

Form AU-766 Guarantee Bond

Purpose: A nonresident contractor working in Connecticut and a surety company licensed to do business in Connecticut use Form AU-766 to post a guarantee bond with the Department of Revenue Services (DRS) for a specific project in the state. The guarantee bond ensures all taxes due to the State of Connecticut from the contractor are paid to DRS. Read the instructions on the reverse side before you complete this form. If you need help, call 860-541-7538, Monday through Friday, during business hours.

Part I: Nonresident Contractor Information		
Name	Connecticut Tax Registration No.	
Address (Street or PO Box, City, State, and ZIP Code)		
Part II: Person Doing Business With a Nonresident Contractor Information		
Name	Connecticut Tax Registration No., Federal ID No., or SSN	
Address (Street or PO Box, City, State, and ZIP Code)		
Part III: Surety Company Information		
Name	Bond No.	Amount of Bond
Address (Street or PO Box, City, State, and ZIP Code)		
Part IV: Project Information <input type="checkbox"/> Check the box if this bond is for a change order.		
Physical Location of Project (Street, City or Town)		Name of Project
Commencement Date	Completion Date for Nonresident Contractor	Total Contract Price or Amount of Change Order
Conditions of the obligation for the project detailed above: <ul style="list-style-type: none"> The nonresident contractor has entered into a contract related to real property at a Connecticut location. The nonresident contractor and the surety company are posting a bond of 5% of the total contract price, including any change orders and add-ons, with DRS to ensure that all taxes that become due and owing during the period of the contract will be paid. A bond must be posted within 120 days of the commencement of the contract or 30 days after the completion of the contract, whichever is earlier. If the nonresident contractor pays all taxes, interest, and penalties within three years from the last day of the month succeeding the reporting period in which the contractor posted the bond, the bond expires; otherwise the obligation remains in full force. This bond jointly and severally binds the nonresident contractor and the surety company, their heirs, executors, administrators, successors, and assigns for payment of this obligation. 		
Nonresident Contractor Declaration: I, the nonresident contractor named above or its authorized agent, declare under the penalty of law that I have examined Form AU-766 and, to the best of my knowledge and belief it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.		
Print Name		Title
Authorized Signature		Date
Surety Company Declaration: I, an authorized agent of the surety company named above, declare under the penalty of law that I have examined this Form AU-766 and, to the best of my knowledge and belief it is true, complete, and correct. I understand the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.		
Print Name		Title
Authorized Signature		Date

General Instructions

A nonresident contractor and a surety company licensed to do business in Connecticut must execute **Form AU-766, Guarantee Bond**, to post a guarantee bond with the Department of Revenue Services (DRS) for a specific project in Connecticut. A power of attorney for the person signing the bond on behalf of the surety company **must** be attached to the bond, carry the corporate seal of the surety company, and bear the same date as the execution date of the bond.

A nonresident contractor has the option of filing a guarantee bond or a cash bond instead of the customer making a deposit with DRS under Conn. Gen. Stat. §12-430(7)(B). Under this option, the nonresident contractor has 120 days from the commencement of the contract or 30 days after the completion of the contract, whichever is earlier, to file a guarantee bond or a cash bond (Form AU-72) with DRS.

Return Form AU-766 to: Department of Revenue Services
Discovery Unit
25 Sigourney Street
Hartford CT 06106-5032

See **Special Notice 2005(12), Nonresident Contractor Bonds and Deposits**, for more information.

Nonresident contractor means a contractor who does not maintain a regular place of business in Connecticut.

Regular place of business means:

- Any bona fide office, factory, warehouse, or other space in Connecticut at which a contractor is doing business in its own name in a regular and systematic manner; **and**
- Which place is continuously maintained, occupied, and used by the contractor in carrying on its business through its employees regularly in attendance to carry on the contractor's business in the contractor's own name.

A regular place of business **does not include**:

- A place of business for a statutory agent for service of process or a temporary office whether or not it is located at the site of construction;
- Locations used by the contractor only for the duration of the contract, such as short-term leased offices, warehouses, storage facilities, or facilities that do not have full time staff with regular business hours; **or**
- An office maintained, occupied, and used by a person affiliated with a contractor.

Contract price means the total contract price, including deposits, amounts held as retainage, costs for any change orders, or charges for add-ons.

Person doing business with a nonresident contractor means any person who makes payments of the contract price to a nonresident contractor, and includes, but is not limited to property owners, governmental, charitable or religious entities, and resident or nonresident general contractors or subcontractors. An owner or tenant of residential real property is not a person doing business with a nonresident contractor and is not required to comply with the provisions of Conn. Gen. Stat. §12-430(7). However, the nonresident contractor doing business with such an owner or tenant must comply with the bond requirements under Conn. Gen. Stat. §12-430(7)(F).

Commencement of the contract means the time when the nonresident contractor signs the contract, but, in any event, occurs no later than when the work under the contract actually starts. If a change order is made after the commencement of the original contract, the change order commences when it is signed by the nonresident contractor, but, in any event, occurs no later than when the work under the change order actually starts.

Form AU-766(Back) (Rev. 10/05)

Completion of the contract means the time when the nonresident contractor makes the final periodic billing for the contract. The final periodic billing may be due before payment of any retainage becomes due. If a change order is made after the final periodic billing for the original contract, the change order is complete when the nonresident contractor bills for the change order.

Residential real property means real property used exclusively for residential purposes and consisting of three or fewer dwelling units in one of which the owner or tenant resides.

Any bond that bears an erasure or alteration, regardless of its nature, must have the change authenticated by a notation in the margin. The notation should describe the correction and be signed in the name of the surety company by the officer who executed the bond and must bear the corporate seal of the surety company.

Specific Instructions

Part I: Enter the name and complete address of the nonresident contractor furnishing the bond. Include the nonresident contractor's Connecticut tax registration number. The name and address of the nonresident contractor appearing on the bond must agree with the name and address on **Form REG-1, Business Taxes Registration Application**, filed with DRS. (If the information originally provided on Form REG-1 is now incorrect, you must notify the DRS Registration Unit in writing of the correct information.) If the nonresident contractor is a corporation, the corporate name appearing on the bond must be the same shown in the records of the Office of the Secretary of State, or similar agency of another state if the nonresident contractor is not a Connecticut corporation.

Part II: Enter the name and complete address of the person doing business with the nonresident contractor. If the nonresident contractor is the general contractor, enter the name and address of the owner or tenant of the property who has entered the contract. If the nonresident contractor is a subcontractor, enter the name and address of the general contractor.

Enter the Connecticut tax registration number of the person doing business with the nonresident contractor. If the person doing business with the nonresident contractor does not have a Connecticut tax registration number, enter that person's Federal Employer Identification Number or Social Security Number.

Part III: Enter the name and complete address of the surety company that guarantees this bond. Include the bond number.

Part IV: Check the box if the deposit is for a change order occurring after the bond for the initial contract was furnished to DRS.

Enter the name of the project and the complete address including the street address and the city or town where the project is physically located.

Enter the commencement date of this project or change order.

Enter the date by which the nonresident contractor is expected to complete work on this project or change order.

Enter, in words and figures, the total amount to be paid to the nonresident contractor under the contract. Indicate if this amount is an estimate.

Declarations: An authorized representative for the nonresident contractor and the surety company must sign and date the declaration on Form AU-766. The name of the nonresident contractor and the surety company must be exactly as it appears on the bond. The corporate seal of the surety company must be affixed by its signature on Form AU-766.

END
FORM AU-766