

**Connecticut State Board of Accountancy  
Meeting Agenda  
November 3, 2016**

A scheduled meeting of the Connecticut State Board of Accountancy will be held on Thursday, November 3, 2016 at 10:00 A.M. in Room 119 of the State Office Building, 165 Capitol Ave, Hartford, CT 06106.

Board Members:

John H. Schuyler, Chairman	Certified Public Accountant
Marcia L. Marien	Certified Public Accountant
Peter J. Niedermeyer	Certified Public Accountant
Timothy F. Egan	Certified Public Accountant
Dannell R. Lyne	Certified Public Accountant
Martha S. Triplett, Esq.	Public Member
Karla H. Fox, Esq.	Public Member
Mark Aronowitz	Public Member

**Note:** The administrative functions of the Boards, Commissions and Councils are carried out by the Department of Consumer Protection, Occupational and Professional Licensing Division, 165 Capitol Avenue, Hartford, CT 06106  
Richard M. Hurlburt, Director: [dcp.occupationalprofessional@ct.gov](mailto:dcp.occupationalprofessional@ct.gov) for minutes and agenda items  
Agency Web site: [www.ct.gov/dcp](http://www.ct.gov/dcp)  
Licensing/Certification: [dcp.licenseservices@ct.gov](mailto:dcp.licenseservices@ct.gov)  
Enforcement issues: [dcp.accounting@ct.gov](mailto:dcp.accounting@ct.gov)

## **MINUTES OF PREVIOUS MEETINGS**

Approve minutes of the October 6, 2016 Board Meeting

## **COMMENTS OR CONCERNS OF ANY PERSON PRESENT TODAY**

### **OLD BUSINESS**

NASBA Annual Meeting

Status of CPE Audit Group

### **NEW BUSINESS**

#### 1. Initial CPA Certification and License Applications with Industry Work Experience

- Allyson Elise Brown – 2 years' work experience with United Technologies Corporation – 2/16/12 through 2/13/15
- Richard Gabriel – 2 years' work experience with the Mohegan Tribe – 10/7/14 through 10/7/16
- Omar Alejandro Palanco – 2 years' work experience with XL Catlin – 7/7/14 through 7/30/16

#### 2. 2017 Board of Accountancy Meeting Dates

450 Columbus Blvd, Hartford

January 2017– *No meeting due to DCP move*

Thursday, February 2, 2017

Tuesday, March 7, 2017

Thursday, April 6, 2017

Tuesday, May 2, 2017

Thursday, June 1, 2017

July – No Meeting

Thursday, August 3, 2017

Tuesday, September 5, 2017

Thursday, October 5, 2017

Tuesday, November 7, 2017

December – No Meeting

## **ENFORCEMENT AND COMPLAINTS**

### 1. Enforcement Update

### 2. Enforcement Cases:

Case No. 2016-1. Allegations: Connecticut General Statutes §20-281b, failure to renew CPA license in a timely way; C.G.S. §20-281c, engaging in the unauthorized practice of public accountancy; C.G.S. §20-281d, failure to submit continuing education courses in a timely manner; and C.G.S. §20-281g, engaging in the unauthorized use of the CPA title.

*Recommendation: Settle this matter by having the Respondent pay a certificate registration fee of \$90 and a late fee of \$150, for a total of \$190.00.*

Case No. 2016-31. Allegations: Connecticut General Statutes §20-280(e), unauthorized use of the CPA title in 2015; and 20-281g(j), engaging in the unauthorized practice of public accountancy in the year 2015 before the Internal Revenue Service.

*Recommendation: Dismiss this case for lack of probable cause.*

Case No. 2016-45. Allegations: Connecticut General Statutes §20-280(e), unauthorized use of the CPA title in 2015; and 20-281g(j), engaging in the unauthorized practice of public accountancy in the year 2015 before the Internal Revenue Service.

*Recommendation: Dismiss this case for lack of probable cause.*

## **COMMENTS OR CONCERNS OF ANY PERSON PRESENT TODAY**

## **ADJOURN**

*Please be advised that all Board, Commission and Council meetings are canceled for the months of **December 2016** and **January 2017** due to the Department of Consumer Protection move to 450 Columbus Boulevard in Hartford. Thank you for your understanding.*