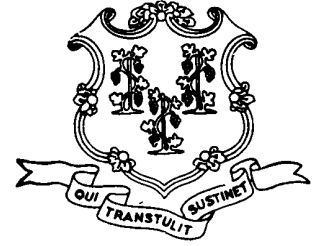


STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
Liquor Control Division
Telephone: (860) 713-6210
Web Site: www.ct.gov/dcp



INSTRUCTIONS AND INFORMATION: **Grocery Beer Liquor Permit Application**

PLEASE READ ALL INSTRUCTIONS AND INFORMATION BEFORE COMPLETING APPLICATION. APPLICATION WILL NOT BE ACCEPTED IF INCOMPLETE OR IF ANY REQUIRED DOCUMENT IS MISSING.

Fees and Form of Payment:

The total filing fee of \$270.00 for annual sales of food and grocery items totaling less than \$2 Million or \$1600.00 for annual sales of food and grocery items totaling more than \$2 Million is required for successful submission of this application. Checks and/or money orders should be made payable to *“Treasurer, State of Connecticut”* and must accompany this application. **The application filing fee of \$100.00 is included in the total filing fee and is not refundable.** If you are filing the application in person with the department, cash may be accepted. You may not submit cash via mail.

The Application Process

****Once we are in receipt of your complete and correctly executed application and filing fee, a Liquor Placard will be mailed to you with additional instructions for public notification. The placarding process is critical to application approval. A placarding error can delay a permit approval at least two additional months. A Liquor Control Agent will then be assigned to your file and will contact you to begin the remainder of the new application process. If you have requested a Provisional Permit, please refer to the page entitled “Provisional Permit” in this application packet. If for any reason a Final Liquor Permit is not issued within a period of one year, you will be required to file another application.****

Definitions

Permittee – The permittee is the applicant for the liquor permit. The permittee is a person designated as the representative of the backer for the permit premises. The permittee must be able to read and understand English. The permittee can be the owner/backer of the business that holds the permit.

Backer – An individual or legal business entity that owns the business to which the liquor permit is issued.

Authorized Backer Representative – An individual who is legally authorized by the nature of the position held (i.e. corporate officer) in the business, or through a power of attorney to sign documents and make decisions related to the liquor permit.

****Section 30-45** of the Connecticut General Statutes prohibits the following individuals and officer holders from obtaining a liquor permit. Section 30-45 appears below and the prohibited individuals are noted. If you are a prohibited individual, you may not apply for a liquor permit.

CT General Statutes, Section 30-45: The department of consumer protection shall refuse permits for the sale of alcoholic liquor to the following persons (1) Any sheriff, deputy sheriff, judge of any court, prosecuting officer or member of any police force, (2) a minor, and (3) any constable who performs criminal law enforcement duties and is considered a peace officer by town ordinance pursuant to the provisions of subsection (a) of section 54-1f, any constable who is certified under the provisions of sections 7-294a to 7-294e, inclusive, who performs criminal law enforcement duties pursuant to the provisions of subsection (c) of section 54-1f, or any special constable appointed pursuant to section 7-92. This section shall not apply to out-of-state shippers', boat and airline permits. As used in this section, "minor" means a minor as defined in section 1-1d or as defined in section 30-1, whichever age is older.

APPLICATION INSTRUCTIONS AND DOCUMENTS REQUIRED FOR A GROCERY BEER LIQUOR PERMIT APPLICATION TO BE ACCEPTED

1. APPLICATION FOR GROCERY BEER LIQUOR PERMIT

Complete all three pages of application. Every question must be answered, and all necessary approvals obtained (zoning and town clerk) If left blank, the application will not be accepted. If the question does not apply, enter the word “none”.

Completing the Application

Section A: Business Information

Item #1 through #7 – Complete this section with type of permit selected, trade name and business information. If you are applying for a provisional permit, please complete the Provisional Permit and Credit Waiver Request form (DCPLC-Provisional Permit Request) included in this application package.

Section B: Approval of Local Officials

Items #8 and #9 need to be signed and completed by your local public officials. No applications will be accepted without local zoning approval and town clerk certification.

Section C: Permittee Applicant Information

Item #10 through #14 please enter name, address and contact information for permittee.

Section D: Preferred Mailing Address

Items #15 and #16 .Indicate the preferred mailing address for all correspondence. If you would like correspondence mailed to an address other than the business or permittee home address, specify in #15 and #16.

Section E: Backer Information

Item #17 through #23 – Provide correct backer name in #18. Backer name is the name of the Limited Liability Company or Corporation that is registered with the Secretary of the State or the individual name of the Sole Owner that has not formed a LLC or Corporation or the individual names of a Partnership that has not formed a LLC or Corporation, etc.

Section F: Current or Previous Liquor Permits Held By Permittee or Backer

Item #24 through #26 – List current or previously held liquor permits. Include any permits held by permittee, backer, sole proprietor, partner or a member of a partnership organization, corporation, limited liability company etc. If there are none, check “NO” in #24a and #24b.

Section G: Certification of Permittee Applicant and Backer or Authorized Representative of Backer

Items #27 and #28 - The permittee listed in Section C #10 of the application must sign #27. The backer/owner listed in Section E or authorized backer representative must sign #28.

2. FEE AND FORM OF PAYMENT:

The total filing fee of \$270.00 for annual sales of food and grocery items totaling less than \$2 Million or \$1600.00 for annual sales of food and grocery items totaling more than \$2 Million is required for successful submission of this application.. Checks and/or money orders should be made payable to “*Treasurer, State of Connecticut*” and must accompany this application. The application filing fee of \$100.00 is included in the total filing fee and is not refundable.

If you are filing the application in person with the department, cash may be accepted. You may not submit cash via mail.

3. **SALES TAX NUMBER**

Submit copy of Connecticut Sales and Use Tax Permit, or copy of receipt as proof of filing from the Connecticut Department of Revenue Services.

4. **LEASE / DEED / EVIDENCE OF RIGHT TO OCCUPY**

Provide a copy of the lease. The tenant listed on the lease needs to be the backer entity indicated on the application. Any assignment needs to be the backer entity indicated on the application with written consent of the landlord. If the backer entity is the owner of the property, provide a copy of the deed or a town property record card for the property. If you cannot provide a lease or deed at the time of application, the Department would accept other documentation showing evidence of the intention of the right to occupy the property.

5. **AUTHORIZATION FOR RELEASE OF FINANCIAL INFORMATION AND STATEMENT OF PERSONAL HISTORY**

The applicant/permittee and backers (individuals, partners, general partner and limited partners in a limited partnership, officers, directors and limited liability company (LLC) manager/members in a LLC, corporate officers and stockholders) must complete an authorization for release of financial information and statement of personal history for each person.

6. **AUTHORIZATION OF THE BACKER LEGAL ENTITY FOR RELEASE OF FINANCIAL INFORMATION**

Only authorized individuals of the backer may sign on behalf of the entity.

7. **BACKER'S FINANCIAL STATEMENT**

Complete this form which is attached to the application.

8. **CORPORATIONS & L.L.C.**

Provide proof of filing of organization papers with the Connecticut Secretary of State. A printout verification from the C.O.N.C.O.R.D. system would be acceptable.

9. **PARTNERSHIP**

Provide partnership agreement if backer/owner is a formal partnership or limited partnership. If no agreement exists, provide a letter to that effect that there is no such agreement.

10. **FRANCHISE OR MANAGEMENT AGREEMENT**

Provide any franchise or management agreement if applicable.

11. **GROCERY STORE BEER PERMIT – BREAKDOWN OF SALES**

In order to determine your eligibility to obtain a Grocery Beer Permit, you must provide the sales data on the attached form for the most recent month of business operation. This information must reflect monetary sales for that month in each of the categories noted on the form. Please use whole dollar values with exact figures. (Estimate figures are not acceptable)

12. **PHOTOGRAPHS** 8”x 10” in size (any photos smaller than this required size will not be acceptable).

A. One 8” x 10” photo taken from a position directly across the street or highway.

B. Every application for a grocery store beer permit also shall be accompanied by photographs 8” x 10” in size showing the entire interior of the sales area of the grocery store. (Provide all necessary grocery inventory photos)

****Please Note****

- **Photos must be 8”x 10”.** The **applicant’s name**, **business address** and **date photo taken** shall be on the back of all photographs.

- **For premises which has not been constructed, the submission of photographs are not required for the acceptance of a new application. (see #14)**

13. CRIMINAL CONVICTION WORKSHEET

If the permittee or any member of the backer has a felony conviction, complete the Criminal Conviction Worksheet, pursuant to Section 46a-80(b) of Connecticut General Statutes. (*DCPLC-CHRO*)

14. SIGNED STIPULATION FOR NEW CONSTRUCTION

If you are applying for a permit for a building that has not been constructed, you shall provide to the Department a signed stipulation setting forth a time limit for the construction and occupancy for the proposed permit premises. Please note that the date of filing an application, as defined in section 30-39(a) CGS, to the date of the issuance of a final permit shall not exceed one year, regardless of whether a provisional permit has been applied for, approved, or issued. After one year, a new liquor application will be required.

ONCE THE APPLICATION IS RECEIVED AND ACCEPTED BY THE DEPARTMENT OF CONSUMER PROTECTION, ADDITIONAL DOCUMENTS AND/OR INFORMATION MAY BE REQUIRED OF YOU BY A LIQUOR CONTROL AGENT AS PART OF THE REVIEW AND INVESTIGATION PROCESS:

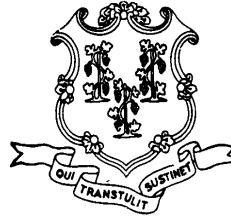
AFFIDAVIT OF SELLER'S UNPAID OBLIGATIONS

If a business or equipment was purchased from the previous permit holder, this form must be signed at the closing. This form can be found on our website <http://www.ct.gov/dcp>

ABANDONMENT AFFIDAVIT

If the premises were abandoned by the previous permit holder/backer and did not receive any consideration from you, whether direct or indirect, this form will need to be completed. This form can be found on our website <http://www.ct.gov/dcp>

STATE OF CONNECTICUT
 DEPARTMENT OF CONSUMER PROTECTION
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APPLICATION FOR GROCERY BEER LIQUOR PERMIT

<input type="checkbox"/> Annual Sales of Food and Grocery Items Totaling <u>Less Than</u> \$2 Million \$270.00	<input type="checkbox"/> Annual Sales of Food and Grocery Items Totaling <u>More Than</u> \$2 Million \$1600.00
---	--

Please print clearly or type the information entered on this application. **An application and permit fee is required. Please submit the required fee of \$270.00 if your annual sales of food and grocery items total less than \$2 Million or \$1600.00 if your annual sales of food and grocery items total more than \$2 Million.** Checks and/or money orders should be made to “*Treasurer, State of Connecticut*” and must accompany this application. The application fee is non-refundable. Return your completed application, documentation and appropriate fee to:

Department of Consumer Protection, License Services Division, 165 Capitol Avenue, Hartford, CT 06106

Section A: BUSINESS INFORMATION

1. Trade Name (DBA Name)			
2. Business Address	City	State	Zip Code
3. Is there currently a liquor permit at the proposed premises? <input type="checkbox"/> YES <input type="checkbox"/> NO	<i>If yes, current permit number</i>	4. Are you requesting a Provisional Permit? <input type="checkbox"/> YES <input type="checkbox"/> NO	
5. Business Telephone Number	6. Business Fax Number	7. Business Email Address	

Section B: APPROVAL/CERTIFICATION OF LOCAL OFFICIALS

8. Zoning Authority Approval: I certify that I am familiar with the zoning ordinances and bylaws of the city/town identified in item #2 of this application and they do not prohibit the sale of alcoholic beverages under the type of liquor permit/establishment identified in this application and/or entertainment listed in #10.

Signature of Zoning Official **X** _____ Print Name _____
 Title of Official _____ Date ____ / ____ / ____

9. Certification of Town Clerk: The town in which the business identified in item # 4 of this application is to be operated, has no ordinance restricting the hours of sale of alcoholic liquors beyond those set forth in State law except as indicated in the box below. (If none, please enter “NONE”)

Additional Restrictions:

Signature of Town Clerk **X** _____ Date ____ / ____ / ____

Section C: PERMITTEE APPLICANT INFORMATION

10. Permittee Name (First, Middle, Last)				
11. Permittee Residence Street Address		City	State	Zip Code
12. Permittee Telephone Number	13. Permittee Fax Number	14. Permittee Email Address		

Section D: PREFERRED MAILING ADDRESS

Check (✓) one box below and enter address if different than Business or Permittee Address

BUSINESS ADDRESS

 PERMITTEE ADDRESS

 ADDRESS BELOW

15. Name				
16. Address		City	State	Zip Code

Section E: BACKER INFORMATION

*** Each backer must also complete the “Authorization for Release of Financial Information & Statement of Personal History” form that accompanies this application**

17. Backer: Please select the type of Backer (individual or legal entity that owns the business) below Please check (✓) only one					
<input type="checkbox"/> Sole Proprietorship/ Owner	<input type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association
18. Name of Corporation, LLC, Partnership, Sole Proprietorship, etc.					
19. Street Address		City	State	Zip Code	
20. Backer Telephone Number	21. Backer Fax Number	22. Backer Email Address			
23. Backers: List individuals below (for example; sole owner, corporate officers, members, etc.) Attach additional sheet if needed.					
a. Name (First, Middle, Last)		Title	% of ownership or # of shares		
b. Name (First, Middle, Last)		Title	% of ownership or # of shares		
c. Name (First, Middle, Last)		Title	% of ownership or # of shares		
d. Name (First, Middle, Last)		Title	% of ownership or # of shares		

Section F: CURRENT OR PREVIOUS LIQUOR PERMITS HELD BY PERMITTEE OR BACKER

This section applies to the permittee applicant, and to each backer who is a sole proprietor, partner or a member of a partnership organization, corporation, and members of a limited liability organization or unincorporated associations. Attach a separate sheet if needed.

24a. Does any Permittee or Backer currently hold a liquor permit? <input type="checkbox"/> YES <input type="checkbox"/> NO			
24b. Has any Permittee or Backer held a liquor permit in the past? <input type="checkbox"/> YES <input type="checkbox"/> NO			
<i>If yes, please complete the permit information for each past or present permit below</i>			
25a. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
25b. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
25c. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
26. Have any of the permits listed above been revoked, suspended or denied in CT or any other state? <input type="checkbox"/> YES <input type="checkbox"/> NO		If yes, attach a statement detailing the enforcement action(s) taken including violation(s), date(s), and the circumstance(s) involved.	

Section G: CERTIFICATION OF PERMITTEE APPLICANT AND BACKER OR AUTHORIZED REPRESENTATIVE OF BACKER

<p>27. Permittee Certification (To be signed by permittee applicant, identified in “Section A” of this application)</p> <p>I certify that the information provided in this application is true to the best of my knowledge.</p>	<p>Signed by Permittee Applicant</p> <p>X _____</p>	<p>Date</p>
<p>28. Backer Certification (To be signed by backer or the authorized representative of the backer)</p> <p>I certify that the information provided in this application is true to the best of my knowledge and that the permittee applicant identified in “Section A” of this application is designated as my principal representative on the premises for which this application is being submitted.</p>	<p>Signed by Backer or Authorized Representative of Backer</p> <p>X _____</p>	<p>Date</p>
<p>Print name of Backer or Representative</p>		<p>Title of Backer or Representative</p>



STATE OF CONNECTICUT

DEPARTMENT OF CONSUMER PROTECTION

From The Office of John J. Suchy
Division Director of Liquor Control
November 18, 2011

Subject: Grocery Store Beer Permits At Locations Which Also Sell Gasoline

Question: CAN A GROCERY STORE WHICH SELLS GASOLINE QUALIFY FOR A GROCERY STORE BEER PERMIT?

A grocery store which sells gasoline may qualify for a grocery store beer permit when that store complies with the definition of "grocery store" as defined in section 30-20 (c) of the Connecticut General Statutes (see below):

Section 30-20 (c)-"Grocery store" means any store commonly known as a supermarket, food store, grocery store or delicatessen, primarily engaged in the retail sale of all sorts of canned goods and dry goods such as tea, coffee, spices, sugar and flour, either packaged or in bulk, with or without fresh fruits and vegetables, and with or without fresh, smoked and prepared meats, fish and poultry, except that no store primarily engaged in the retail sale of seafood, fruits and vegetables, candy, nuts and confectioneries, dairy products, bakery products or eggs and poultry shall be included in the definition of "grocery store".

Generally, it may be difficult for a store selling gasoline to qualify for a grocery store beer permit due to the legal requirements for a premises to qualify for a grocery store beer permit.

By law, it is possible for a completely separate business within a grocery store to lease a portion or section of the permit premises for lawful purposes.

Section 30-51a CGS allows for this (see below):

Sec. 30-51a. Leasing of part of premises operating under grocery store beer permit. *Notwithstanding the provisions of subdivision (6) of section 30-47 and section 30-51, a permittee of premises operating under a grocery store beer permit may lease up to fifty per cent of the total square footage of the premises to any person for lawful purposes. The Department of Consumer Protection shall not issue a permit allowing the sale or consumption of alcoholic liquor on any such leased premises and the sale or consumption of alcoholic liquor, as defined in subdivision (3) of section 30-1, shall be unlawful on any such leased premises.*

Therefore, it is possible for a separate business to sell gasoline and gasoline-related products, and lease space from a grocery store beer permittee. In such a situation, in order to qualify, the gasoline retailer on the premises must be an independent and separate business entity. Evidence to be provided to the department shall include documentation, including a valid, executed lease or other written documentation evidencing proof of right to occupy for the proposed premises, separate Connecticut sales and use tax permit, separate cash register, and be limited to gasoline and gasoline-related items (oil, windshield wipers, fluids, etc.). Cigarettes and candies should not be part of this business and would not be acceptable to the Commission, since most, if not all, grocery stores typically sell such candies and cigarettes.

PROVISIONAL PERMIT

If you are applying for a liquor permit, please realize that the Department needs to investigate the submitted application and documents to ensure that the location and the applicant are suitable. In most instances, a final liquor permit may be issued by the Department between 60 to 90 days from the time it is filed with the Department.

If you are applying for a liquor permit, and you anticipate opening your business in less than the projected timeframe for review, you may wish to consider requesting a provisional liquor permit at the time that you file your application with the Department. You may file for a provisional permit at any point during the application investigation/review process by notifying your assigned agent, in writing, of the request for a provisional permit.

A provisional permit may be requested during the investigation and public notification of your application for liquor permit. The Liquor Commission has the discretion to grant or deny your provisional permit request. The fee shall be five hundred dollars (\$500.00) after granting approval and upon issuance of this permit.

If approved, you will be required to make cash payment for alcoholic liquor on any delivery from a wholesaler or manufacturer. However, the Department has the discretion to waive this requirement and allow wholesalers to extend credit to you while operating under a provisional permit. If you decide to request a provisional permit and wish to request a C.O.D. payment, please attach a letter of such request when you submit your application for your liquor permit.

Your provisional permit is effective for 90 days, but may be extended by the Liquor Control Commission, as long as you did not cause the delay. As your expiration date of the provisional permit approaches, fax us a written request to extend the provisional permit, along with providing a copy of the provisional permit. Faxes may be sent to the Department at (860) 713-7235.

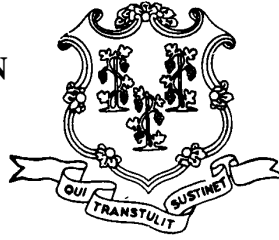
Below is the relevant statute and regulation.

Sec. 30-35b. Ninety-day provisional permit. A ninety-day provisional permit shall allow the retail sale of alcoholic liquor by any applicant and his backer, if any, who has made application for a liquor permit pursuant to section 30-39 and may be issued at the discretion of the Liquor Control Commission. If said applicant or his backer, if any, causes any delay in the investigation conducted by the Department of Consumer Protection pursuant to said section, the ninety-day provisional permit shall cease immediately. Only one such permit shall be issued to any applicant and his backer, if any, for each location of the club or place of business which is to be operated under such permit and such permit shall be nonrenewable but may be extended due to delays not caused by the applicant. The fee for such ninety-day permit shall be five hundred dollars.

Sec. 30-6-A36 (b). Period of credit No wholesaler shall provide credit to a permittee while under a provisional permit, unless otherwise approved by the department.

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
LIQUOR CONTROL DIVISION

Telephone: (860) 713-6210
Email: liquor.control@ct.gov
Website: www.ct.gov/dcp



For Official Use Only

Provisional Permit Request

I, _____, have submitted an application for a liquor permit to the Department of Consumer Protection and hereby request a Provisional Permit pursuant to Sec. 30-35b, Connecticut General Statutes. In the event my request is granted, I understand there will be an additional fee of \$500 associated with the issuance of such provisional permit.

Date Applicant/Backer

Sec. 30-35b. Ninety-day provisional permit.

A ninety-day provisional permit shall allow the retail sale of alcoholic liquor by any applicant and his backer, if any, who has made application for a liquor permit pursuant to section 30-39 and may be issued at the discretion of the Liquor Control Commission. If said applicant or his backer, if any, causes any delay in the investigation conducted by the Department of Consumer Protection pursuant to said section, the ninety-day provisional permit shall cease immediately. Only one such permit shall be issued to any applicant and his backer, if any, for each location of the club or place of business which is to be operated under such permit and such permit shall be nonrenewable but may be extended due to delays not caused by the applicant. The fee for such ninety-day permit shall be five hundred dollars.

Credit Waiver

I, _____, hereby request approval by the Department of Consumer Protection allowing wholesalers to extend credit while I am operating under a provisional liquor permit, pursuant to Section 30-6-A36(b) of the Regulations of Connecticut State Agencies.

In order to obtain a credit waiver, please provide proof that the backer is fiscally responsible. This can be demonstrated by submitting a complete financial affidavit and any supporting documentation.

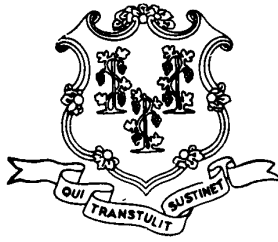
Date Applicant/Backer

Sec. 30-6-A36(b). Period of credit.

No wholesaler shall provide credit to a permittee while under a provisional permit, unless otherwise approved by the department.

**STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
LIQUOR CONTROL DIVISION**

Telephone: (860) 713-6210
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Web Site: www.ct.gov/dcp



Authorization of the Backer Legal Entity for Release of Financial Information

This form must be completed by a duly authorized representative of the backer business identified in item #1 below:

A. BUSINESS INFORMATION

1. Name of Backer Business Entity:		2. Federal Employer ID Number (FEIN):	
3. Address of Backer Business Entity: (street & number)	City:	State:	Zip code:
4. Name of Authorized Representative: (last, first, middle)		5. Business Title of Representative:	
6. Address of Authorized Representative: (street & number)	City:	State:	Zip code:
7. Telephone Number of Authorized Representative: () -	Fax Number: () -	Email Address	

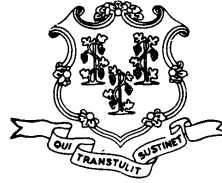
B. AUTHORIZATION:

- I authorize any agent from the State of Connecticut, Department of Consumer Protection to obtain any information related to the business entity identified in item #1 above from financial or lending institutions, credit bureaus, consumer reporting agencies, licensing agencies and retail business establishments or individuals.
- I agree that no individual or entity shall be held liable for use of this authorization to determine my suitability for a liquor permit.

C. PERSONAL CERTIFICATION:

I certify under penalty of law that the information provided in this authorization is true to the best of my knowledge:	
Signature of duly authorized representative of the backer:	
_____	Title: _____
	Date: _____

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
Liquor Control Division
 Telephone: (860) 713-6210
 Web Site: www.ct.gov/dcp/liquorcontrol



BACKER'S FINANCIAL STATEMENT

Name of Backer or Authorized Representative of the Backer:			
Street Address:	City:	State:	Zip Code:

*****Please Note: The following sections should document the expenses involved in establishing your business and the sources of the funds to pay for these expenses. The total dollar amount in Section A should equal the total dollar amount in Section B. Additional documents may be required by the Department.*****

Section A – Cost/Expenses:

1. PURCHASE/SALE PRICE OF YOUR BUSINESS:	\$	
2. COST OF BUILDING: <small>(If real estate is being transferred)</small>	\$	
3. LEASEHOLD/SECURITY DEPOSIT:	\$	
4. RENOVATIONS/ALTERATIONS:	\$	
5. EXISTING BEER, WINE, AND/OR LIQUOR INVENTORY:	\$	
6. FURNITURE, FIXTURES, EQUIPMENT, ETC:	\$	
7. OTHER EXPENSES: (Please Specify)	\$	
TOTAL FUNDS FOR ALL COSTS/EXPENSES: <small>(add 1-7 above)</small>	\$	

Section B - Sources of Funds:

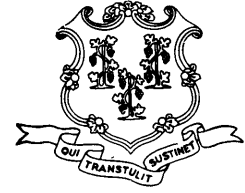
8. PERSONAL ACCOUNTS: <small>(Savings, Checking, Certificate of Deposit-CD's)</small>	\$	
9. CASH ON HAND:	\$	
10. PROMISSORY NOTES & LOANS: (Specify Other Source Types)	\$	
TOTAL FUNDS FOR ALL SOURCES: <small>(add 8-10 above)</small>	\$	

I certify under penalty of law that the information provided in this financial statement is true to the best of my knowledge:

Signature of Backer or Authorized Representative of Backer:

X _____ Date: _____

Printed Name of Backer or Authorized Representative:	Title:
--	--------



**STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
Liquor Control Division**

Telephone: (860) 713-6210

Fax: (860) 713-7235

Website: www.ct.gov/dcp

**Grocery Store Beer Permit
Breakdown of Sales**

➔ **This form must be completed if applying for a grocery beer permit in accordance with CGS Section 30-20(c)**

Name of Permittee (First Name, Middle Initial, Last Name)			
Name of Business			
Business Street Address	City	State	Zip

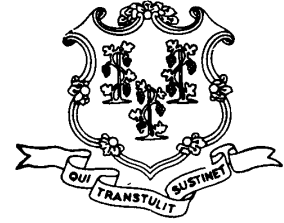
In order to determine your eligibility to obtain a grocery beer permit you must provide the following sales data for the most recent month of business operation. This information should reflect monetary sales for that month in each of the categories noted below. Please use whole dollar values. (Estimate Figures are NOT Acceptable)

Date of Sales - Beginning Date: _____		Ending Date: _____	
1. Dairy products: (i.e. butter, cheese, milk, cream, ice cream and other milk products)	Month's sales in dollars:	For Liquor Control Use	
2. Eggs & Poultry:	Month's sales in dollars:		
3. Fruits & Vegetables:	Month's sales in dollars:		
4. Seafood:	Month's sales in dollars:		
5. Bakery products:	Month's sales in dollars:		
6. Grocery items: (all edible items other than those noted above including, but not limited to, canned goods, dry goods, meats, tea, coffee, spices, sugar, flour, cereal, juices & drinks, frozen food)	Month's sales in dollars:		
7. Candies, Nuts and Confectioneries (Sweets):	Month's sales in dollars:		
8. Food items consumed on premises:	Month's sales in dollars:		
9. Take-out foods: (i.e. sandwiches, salads, coffee & rolls)	Month's sales in dollars:		
10. Non-edible items: (i.e. tobacco, health/beauty aids, paper products, magazines, newspapers)	Month's sales in dollars:		
11. Gasoline:	Month's sales in dollars:		
12. Beer: (If grocery beer permit is active on premises)	Month's sales in dollars:		

TOTAL	
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I certify under penalty of law that the information provided in this statement is true to the best of my knowledge:	
Signature of Permittee, Backer or Authorized Representative of the Backer:	
X _____	Date: _____

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
LIQUOR CONTROL DIVISION
Telephone: (860) 713-6210
Email: liquor.control@ct.gov
Web Site: www.ct.gov/dcp/liquorcontrol



AFFIDAVIT OF SELLER UNPAID OBLIGATIONS
THIS FORM IS TO BE EXECUTED BY THE SELLER

The undersigned permittee, backer or authorized representative of the backer:

Name: (Last, First, Middle)		
Address: (Street Address & Number)	State:	Zip code:

Representing:
Name of Backer:

BEING DULY SWORN DEPOSES AND SAYS:

I AM OVER EIGHTEEN YEARS OF AGE AND BELIEVE IN THE OBLIGATION OF AN OATH.

I AM THE BACKER, OR DULY AUTHORIZED REPRESENTATIVE OF THE BACKER, FOR THE PERMITTED LIQUOR PREMISES OPERATING UNDER THE BUSINESS NAME:

Name of Permitted Liquor Business:		
Address: (Street Address & Number)	State:	Zip code:

Operating with CT liquor permit number:
Liquor Permit Number:

Pursuant to 30-48(c) of the Connecticut General Statutes, I attest that:

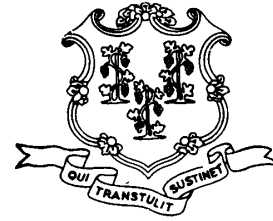
ALL OBLIGATIONS OF THE PREDECESSOR PERMITTEE FOR THE PURCHASE OF ALCOHOLIC LIQUOR AT SUCH PERMIT PREMISES HAVE BEEN PAID OR THAT SUCH APPLICANT DID NOT RECEIVE DIRECT OR INDIRECT CONSIDERATION FROM THE PREDECESSOR PERMITTEE.

I do hereby affirm that the information contained in this affidavit is true to the best of my knowledge.

Signature of permittee, backer or authorized representative of the backer:
X _____ Date: _____

Subscribed and affirmed before me:
 Signed **X** _____ Date _____
 (Commissioner of Superior Court, Notary Public, Justice of Peace)

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
Liquor Control Division
Telephone: (860) 713-6210
Web Site: www.ct.gov/dcp



ABANDONMENT AFFIDAVIT

Date: _____

Permittee: _____

Trade Name: _____

Address: _____

Pursuant to section 30-48 (c) of Connecticut General Statutes, I attest that:

Neither I, _____, nor the backer
_____, purchased anything from the previous
permit holder/backer.

Neither I, _____, nor the backer
_____, received any benefit from the predecessor
for the abandonment of permittee/backer.

I do hereby affirm that the information contained in this affidavit is true to the best of my knowledge.

Signature of permittee, backer or authorized representative of the backer:

X _____ **Date:** _____

Subscribed and affirmed before me:

Signed **X** _____ **Date** _____
(Commissioner of Superior Court, Notary Public, Justice of Peace)

**STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION**



Liquor Control Division
Telephone: (860) 713-6210
Email: liquor.control@ct.gov
Web Site: www.ct.gov/dcp

REVIEW OF CRIMINAL CONVICTION

DEAR APPLICANT:

Pursuant to Section 46a-80(b) of the Connecticut General Statutes, if your application indicates that you have had a prior felony conviction, the specifics of your felony background must be documented for review in order to determine your eligibility for a license.

IF APPLICABLE:

- 1. Complete the Criminal Conviction Application Worksheet below.
- 2. Attach copies of your conviction, sentencing, parole and probation documents.
- 3. Attach a letter from your Probation Officer attesting to compliance with your Probation Order or details regarding non-compliance with your Probation Order.
- 4. If Probation has been satisfied, attach a letter from your Probation Officer stating when you completed your probationary period.
- 5. Attach a letter from your Parole Officer attesting to compliance with your Parole Order or details regarding non-compliance with your Parole Order.
- 6. If Parole has been satisfied, attach a letter from your Parole Officer stating when you completed your parole. If Parole has not been completed, provide the date on which it will be completed.

CRIMINAL CONVICTION APPLICATION WORKSHEET

Pursuant to CHRO Criteria --SECTION 46a-80

Please Print Clearly

APPLICANT: _____

DATE OF BIRTH: _____ **SOCIAL SECURITY #:** _____

CHECK ONE: NEW APPLICANT RENEWAL REINSTATEMENT **DATE OF APPLICATION** _____

LICENSE TYPE: _____ **LICENSE #:** _____

DATE OF CRIME _____ **DATE OF CONVICTION** _____

SIGNATURE OF APPLICANT: _____ **DATE** _____

Official Use Only

Nature of Crime: _____

What is relationship of crime to the license for which the person has applied? _____

What is the degree of rehabilitation? _____

What is the time lapsed since conviction or release? _____

DIVISION DIRECTOR: Approval Denial Refer to Legal Division Refer to Board or Commission

Signature _____ **Date** _____

Instructions for Processing _____

Additional Information Required _____

THIS FORM IS TO REMAIN WITH LICENSEE'S FILE AS PART OF THE RECORD