Locksmith 4/13

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
License Services Division
165 Capitol Avenue
Hartford, CT 06106
Web Site: www.ct.gov/dcp

FOR OFFICIAL USE ONLY

APPLICATION FOR LOCKSMITH REGISTRATION

"The Federal Privacy Act of 1974 requires that you be notified that disclosure of your Social Security Number is required pursuant to C.G.S. §17b-137a. If you choose not to disclose your Social Security Number your application cannot be processed."

Sec. I Applicant Information. Please type or print

Name:				
Business Name	Employer (If Applicable)			
Street Address				PO Box
City		State		Zip Code
Telephone Number (w/ area code)	Date of Birth	Social Security #	Have you filed a Trade Name Certificate at the Town Clerk's Office where your business is located? ☐ Yes ☐ No ☐ Not Necessary	

If you are using an answering service or mail drop identify the name and address of this service:

Name	Address (Street, City, State, Zip Code)

Sec. II

1. Has the applicant ever been convicted of a felony crime? Yes ☐ No ☐

   If yes, please attach a detailed statement providing the date(s) and nature of conviction(s), where the case(s) were decided and a description of the circumstances relating to each conviction.

Sec. III

1. Is the state and federal record attached? Yes ☐ No ☐

Application shall not be submitted or Approved without: See Below

You must submit to this Department and attach to this application the following:

a. Your State of Connecticut criminal history record from the:
   State Police Bureau of Identification
   1111 Country Club Road
   Middletown, CT 06457
   http://www.ct.gov/dps/lib/dps/reports_and_records/DPS-0846-C.doc

b. Your F.B.I. Identification Record Information regarding this background check. It can be obtained via. the internet at http://www.fbi.gov/hq/cjisd/fprequest.htm

Certification

Connecticut General Statutes, Sec. 53a-157 provides "A person is guilty of false statement when he intentionally makes a false written statement under oath or pursuant to a form bearing notice, authorized by law, to the effect that false statements made therein are punishable, which he does not believe to be true and which statement is intended to mislead a public servant in the performance of his official function."

Sec. IV. Notarized Statement

I CERTIFY, UNDER PENALTY OF LAW (SEC. 53a-157, CLASS A MISDEMEANOR), THAT THE ABOVE PROVIDED INFORMATION IN THIS APPLICATION IS THE TRUTH TO THE BEST OF MY KNOWLEDGE.

_____ _____
Signature of Applicant Date

Subscribed and sworn before me this_____day of_____ 20_____

_____ _____
Signed: (Commissioner of Superior Court/Notary Public/Justice of the Peace) My Commission Expires Seal

LOCKSMITH APPLICATION FEE

Checks or money orders should be made payable to: "***TREASURER, STATE OF CONNECTICUT"***

Locksmith Registration Fee is $200.00
This covers a two year non-prorated Registration Period
As Established by The Department of Consumer Protection.

PLEASE NOTE:

1. Return completed application and payment to:
   Department of Consumer Protection, License Services Division, 165 Capitol Avenue, Hartford, CT 06106
2. Application must be accompanied by check or money order made payable to, "***Treasurer, State of Connecticut***."
3. Your application must be signed in front of a **Notary Public**, **an Attorney, or Justice of the Peace**.
4. You must notify the Department in writing of any **change of address or change of business name**.
5. You must include your **registration number in any advertisement** you place.
6. Registration certificates are **non-transferable**.
7. Once registered, the failure to receive a notice of expiration or a renewal application does not exempt you from the obligation to renew.

➢ **FOR ADDITIONAL INFORMATION CONTACT:**

Department of Consumer Protection:
165 Capitol Avenue
Hartford, CT 06106

Website: ***www.ct.gov/dcp***
Trade Practices Division: (860) 713-6110
Email: dcp.tradepractices@ct.gov

Substitute Senate Bill No. 272

Public Act No. 08-81

AN ACT CONCERNING SHORTHAND REPORTERS AND THE REGISTRATION OF LOCKSMITHS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 20-656 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2008*):

(a) The board, after a hearing conducted in accordance with chapter 54 and regulations adopted pursuant to subsection (a) of section 21a-9 of the 2008 supplement to the general statutes, may suspend or revoke the license of a shorthand reporter if the holder of such license: (1) Has been convicted of a felony, subject to the provisions of section 46a-80, or (2) has been found by the board to have: (A) Knowingly made a false, misleading or deceptive representation relating to his or her work as a shorthand reporter, or (B) violated any regulation adopted pursuant to this chapter.

(b) Any person who has had a license suspended or revoked pursuant to subsection (a) of this section may reapply to the board for reinstatement of such person's license immediately after the license suspension period has elapsed or not earlier than ninety days after the license has been revoked.

(c) In addition to any action that may be taken by the board pursuant to subsection (a) of this section or subsection (c) of section 21a-9 of the 2008 supplement to the general statutes, the board may suspend or revoke the license of a shorthand reporter under subdivision (7) of section 21a-7 for:

(1) Failing to deliver a transcript to a client or a court in a timely manner;

(2) Producing an incomplete transcript, except upon the order of a court, agreement of the parties or request of a party;

(3) Failing to charge all parties or their attorneys the same rate for like services performed in a proceeding, including any charge for a copy of the transcript;

(4) Failing to notify all parties or their attorneys of a request for all or part of a transcript in sufficient time for copies to be prepared and delivered to such parties or attorneys simultaneously with the delivery of the original request;

(5) (A) Giving, directly or indirectly, any gift, incentive, reward or other thing of value to an attorney, the attorney's clients, or the representatives or agents of such attorney or clients, or (B) directly or indirectly benefiting from or being employed as a result of any gift, incentive, reward or other thing of value given by any person to an attorney, the attorney's clients, or the representatives or agents of such attorney or clients;

(6) The reporting of any proceeding where the licensed shorthand reporter is a relative of a party to the proceeding or an attorney representing a party to the proceeding within the second degree by affinity or consanguinity; [or]

(7) The reporting of any proceeding where the licensed shorthand reporter has a financial interest in the proceeding or is associated with a firm which has a financial interest in the proceeding; or

(8) Producing a materially inaccurate transcript.

(d) A shorthand reporter licensed pursuant to this chapter shall display his or her shorthand reporter license number on any business card, stationery, transcript, advertisement or other document used by such reporter and pertaining to his or her practice of shorthand reporting.

(e) The board may, after a hearing conducted in accordance with chapter 54 and regulations adopted pursuant to subsection (a) of section 21a-9 of the 2008 supplement to the general statutes, impose a civil penalty of not more than one thousand dollars on any person who violates any provision of this chapter or any regulation adopted pursuant to this chapter or impose such civil penalty on any person who wilfully employs or supplies for employment or as an independent contractor a person who engages in the practice of shorthand reporting in this state in violation of section 20-652.

Sec. 2. (NEW) (*Effective October 1, 2008*) (a) As used in this section:

(1) "Branch" means any business location of a locksmith, other than the principal place of business of such locksmith.

(2) "Commissioner" means the Commissioner of Consumer Protection.

(3) "Department" means the Department of Consumer Protection.

(4) "Locksmith" means a person engaged in locksmithing.

(5) "Locksmithing" means the inspection, installation, recombination, rekeying, service or repair of locks or locking devices, but does not include: (A) The recombination or rekeying of locks or cylinders by an employee of a retail or wholesale establishment on an employer's property; (B) the installation or repair of locks by a person registered pursuant to chapter 393c of the general statutes or registered pursuant to chapter 400 of the general statutes incidental to the construction of a building; (C) the installation, maintenance, repair or service of a vending machine; (D) the duplication or selling of keys or selling of equipment used to duplicate keys at a retail establishment; or (E) work performed by a person at such person's own residence.

(6) "Registration" means a document or card issued by the Department of Consumer Protection to a locksmith which certifies that such locksmith has completed an application form, paid the required registration fee, has successfully passed the required criminal history records check, is not otherwise barred from becoming a locksmith and has been added to the registry of locksmiths, as established in subsection (b) of this section.

(b) (1) A person seeking registration as a locksmith shall apply to the commissioner on a form provided by the commissioner. The application shall include the applicant's name, residence address, business address, business telephone number, a question as to whether the applicant has been convicted of a felony in any state or jurisdiction, and such other information as the commissioner may require. The applicant shall submit to a request by the commissioner for a recent criminal history records check. No registration shall be issued unless the commissioner has received the results of a such records check. In accordance with the provisions of section 46a-80 of the general statutes and after a hearing held pursuant to chapter 54 of the general statutes, the commissioner may revoke, refuse to issue or refuse to renew a registration when an applicant's criminal history records check reveals the applicant has been convicted of a crime of dishonesty, fraud, theft, assault, other violent offense or a crime related to the performance of locksmithing.

(2) The application fee for registration as a locksmith and the biennial renewal fee for such registration shall be two hundred dollars.

(3) The department shall establish and maintain a registry of locksmiths. The registry shall contain the names and addresses of registered locksmiths and such other information as the commissioner may require. Such registry shall be updated at least annually by the department, be made available to the public upon request and be published on the department's Internet web site.

(4) No person shall engage in locksmithing, use the title locksmith or display or use any words, letters, figures, title, advertisement or other method to indicate said person is a locksmith unless such person has obtained a registration as provided in this section.

(5) The following persons shall be exempt from registration as a locksmith, but only if the person performing the service does not hold himself or herself out to the public as a locksmith: (A) Persons employed by a state, municipality or other political subdivision, or by any agency or department of the government of the United States, acting in their official capacity; (B) automobile service dealers who service, install, repair or rebuild automobile locks; (C) retail merchants selling locks or similar security accessories or installing, programming, repairing, maintaining, reprogramming, rebuilding or servicing electronic garage door devices; (D) members of the building trades who install or remove complete locks or locking devices in the course of residential or commercial new construction or remodeling; (E) employees of towing services, repossessors, or an automobile club representative or employee opening automotive locks in the normal course of his or her business. The provisions of this section shall not prohibit an employee of a towing service from opening motor vehicles to enable a vehicle to be moved without towing, provided the towing service does not hold itself out to the public, by directory advertisement, through a sign at the facilities of the towing service or by any other form of advertisement, as a locksmith; (F) students in a course of study in locksmith programs approved by the department; (G) warranty services by a lock manufacturer or its employees on the manufacturer's own products; (H)

maintenance employees of a property owner or property management companies at multifamily residential buildings, who service, install, repair or open locks for tenants; and (I) persons employed as security personnel at schools or institutions of higher education who open locks while acting in the course of their employment.

(c) (1) Each person engaging in locksmithing shall: (A) Exhibit such person's registration or a copy thereof at the person's place of business and any branch, in a location visible to the general public, (B) exhibit such person's registration upon request by any interested party, and (C) include such person's registration number in any advertisement.

(2) No person shall: (A) Present or attempt to present, as such person's own, the registration of another, (B) knowingly give false evidence of a material nature to the commissioner for the purpose of procuring a registration, (C) represent himself or herself falsely as, or impersonate, a registered locksmith, (D) knowingly use or attempt to use a registration which has expired or which has been suspended or revoked, (E) offer to undertake any locksmith service without having a current registration required under this chapter, (F) represent in any manner that such person's registration constitutes an endorsement of the quality of such person's workmanship or of such person's competency by the commissioner, (G) employ or allow any person to act as a salesman on such person's behalf unless such salesman is in the direct employ of such person, or (H) represent or advertise a location or branch as a place of business without obtaining the right to occupy such location.

(d) Registrations issued to locksmiths pursuant to this section shall not be transferable or assignable.

(e) All registrations issued under the provisions of this section shall expire biennially.

(f) Failure to receive a notice of registration expiration or a renewal application shall not exempt a locksmith from the obligation to renew his or her registration.

(g) The commissioner may adopt regulations, in accordance with chapter 54 of the general statutes, to carry out the provisions of this section.

(h) The commissioner may conduct investigations and hold hearings on any matter subject to the provisions of this section. The commissioner may issue subpoenas, administer oaths, compel testimony and order the production of books, records and documents in connection with such investigations. If any person refuses to appear, to testify or to produce any book, record, paper or document when so ordered, upon application of the commissioner or the Attorney General, a judge of the Superior Court may make such order as may be appropriate to aid in the enforcement of this section. The Attorney General, at the request of the commissioner, is authorized to apply in the name of the state of Connecticut to the Superior Court for an order temporarily or permanently restraining and enjoining any person from violating any provision of this section.

(i) The commissioner may revoke, suspend, place conditions on, or refuse to renew a registration issued pursuant to this section for: (1) Conduct of a character likely to mislead, deceive or defraud the public or the commissioner; (2) engaging in any untruthful or misleading advertising; (3) unfair or deceptive business practices; (4) gross incompetence; or (5) violations of any of the provisions of this section or any regulation adopted pursuant to any of such provisions.

(j) The commissioner may, after notice and hearing in accordance with the provisions of chapter 54 of the general statutes, impose a civil penalty on any person who engages in or practices the work or occupation for which a registration is required by this section without having first obtained such a registration, or who wilfully employs or supplies for employment a person who does not have such a registration, or who wilfully and falsely pretends to qualify to engage in or practice such work or occupation, or who engages in or practices any of the work or occupations for which a registration is required by this section after the expiration of such person's registration, or who violates any of the provisions of this section or the regulations adopted pursuant thereto. Such penalty shall be in an amount not more than five hundred dollars for a first violation of this subsection, not more than seven hundred fifty dollars for a second violation of this subsection occurring not more than three years after a prior violation, and not more than one thousand five hundred dollars for a third or subsequent violation of this subsection occurring not more than three years after a prior violation. Any penalty collected pursuant to this subsection shall be deposited in the consumer protection enforcement account established in section 21a-8a of the general statutes.

(k) A violation of any of the provisions of this section shall be deemed an unfair or deceptive trade practice under subsection (a) of section 42-110b of the general statutes.

Approved May 27, 2008