Contractor: Department of Children and Families
Contract #: 11-MHA-1210
Contract Period: 10/01/10 - 9/30/12
Contract Amount: $1,269,964.00

MEMORANDUM OF AGREEMENT

BETWEEN

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

AND

THE DEPARMENT OF CHILDREN AND FAMILIES

Agreement made between the Connecticut Department of Mental Health and Addiction Services (hereinafter referred to as "DMHAS"), acting by its Commissioner and the Department of Children and Families (hereinafter referred to as "DCF"), acting by its Acting Commissioner.

WHEREAS, DMHAS has been designated as the lead agency for receipt and disbursement of the federal Community Mental Health Services (CMHS) Block Grant, from the Center for Mental Health Services, of the Substance Abuse and Mental Health Services Administration (SAMHSA), of the Department of Health and Human Services (DHHS); and

WHEREAS, DMHAS is authorized to enter into this Agreement under Section 17a-450 & 17a-451, of the General Statutes of Connecticut, as amended to date; and

WHEREAS, DCF is authorized to enter into this Agreement under Section 17a-6, of the General Statutes of Connecticut, as amended to date; and

WHEREAS, the parties seek to effectively manage funds to be used to provide services to children with serious emotional disturbance; and

NOW, THEREFORE, the parties agree as follows:

A. DMHAS agrees to:

Transfer funding to DCF during the period from October 1, 2010 through September 30, 2012 in an amount not to exceed $1,269,964.00 in federal CMHS Block Grant monies for the purpose of providing services and programs for seriously emotionally disturbed children and adolescents. This amount will be subject to re-negotiation due to any decrease or increase in the federal CMHS Block Grant award from the DHHS.

1. Pay DCF quarterly after receipt of the Notice of Block Grant Award from the DHHS, upon submission to and approval by DMHAS' Budget Director of a service transfer invoice from DCF.

2. Prepare and submit an annual implementation report pertaining to the use of the CMHS Block Grant funds for mental health services to the SAMHSA.

3. Prepare and submit a CMHS Block Grant State Plan and Application for submission to SAMHSA.

4. Prepare and submit a preliminary Allocation Plan of CMHS Block Grant funds for mental health services to the Connecticut Office of Policy and Management (OPM).

5. Prepare and submit other reports and formal requests for information pertaining to the use of CMHS Block Grant funds for mental health services, as may be required from time to time by federal, state and other agencies.

B. DCF agrees to:

1. Obligate and expend all funds awarded to it under this agreement by DMHAS prior to September 30, 2012.

2. Comply with Alcohol and Drug Abuse Mental Health Administration (ADAMHA) Reorganization Act, 42 U.S.C. Section 1913, to expend not less than an amount equal to the amount ($17,534,517.00) expended by the State for fiscal year 1994 for services for seriously emotionally disturbed children and adolescents.

3. Include the following as special conditions in any awards to sub-recipients of these funds: a) The awardee agrees and certifies that to the best of its knowledge and belief that no federally appropriated funds have been paid or will be paid, by or on behalf of the awardee, to any person for influencing or attempting to influence a federal officer or federal employee of any agency in connection with the awarding of any federal award (Section 1352, Title 31, 4.5 U.S.C.), and b) The awardee agrees that the funds provided under this grant may not be used to pay the salary of any individual at a rate in excess of $125,000 per year.

4. When issuing statements, press releases, requests for proposals (RFPs), bid solicitations and other documents describing projects or programs funded in whole or in part with Block Grant funds under this agreement, DCF shall clearly state (1) the percentage of the total costs of the program or project which will be financed with the federal money, (2) the dollar amount of federal funds for the project or program, and (3) the percentage and dollar amount of the total costs of the project or program that will be financed by non-government sources.

5. Notify DMHAS no later than the twentieth of each quarter of the amount that will be needed to make payments for the succeeding quarter, pursuant to clause A.1. on Page 2 of this agreement.

6. Provide DMHAS with an end of agreement period written report within thirty (30) days after the end of the federal fiscal year (FFY) which shall include: a) the total funds transferred from DMHAS, and b) the payments made to sub-recipients by agency name, program name, and amount.

7. Keep full and accurate books and records with respect to these funds. These records shall be subject to monitoring, inspection, review or audit by authorized employees of DMHAS or the state, or interested federal agencies. The State Auditors of Public Accounts shall have access to all records and accounts for the fiscal year(s) in which the award was made. DCF will comply with federal and state single audit standards as applicable.

8. Comply with the terms and conditions contained in Title XIX, Part B of the Public Health Services Act 42 U.S.C. Section 300 x et. seq. (Supp. 1993), and in Section 4-28b of the Connecticut General Statutes. DCF agrees and warrants that in the performance of this agreement s/he will not discriminate, or permit discrimination, against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, sex, sexual orientation, mental retardation, or physical disability, including but not limited to blindness, unless it is shown by DCF that such disability prevents performance of the work involved in any manner prohibited by the laws of the United States or of the State of Connecticut, and further agrees to provide the Commission on Human Rights and Opportunities (CHRO) with such information requested by the CHRO concerning the employment practices and procedures of the contractor, as related to the provisions of this section.

9. Provide to DMHAS no later than August 15, 2011, the required annual update to the sections of FFY 2012 CMHS Block Grant State Plan and Application required for children and adolescents due to Center for Mental Health Services by September 1, 2011. Additionally, provide to DMHAS no later than November 15, 2011, the required annual update to FFY 2011 CMHS Block Grant Annual Implementation Report due to Center for Mental Health Services by December 1, 2011.

10. Collect from sub-recipients, program fiscal data and program performance measures on an agency-by-agency basis; the performance measures will include unduplicated clients with clients defined in accordance with the CMHS operational definitions of these measures.

11. Provide to DMHAS the program performance measures and program fiscal data by agency for the DMHAS program budget submission to OPM.

12. Provide to DMHAS as stated in #9 above the information for the preparation of the CMHS Block Grant Allocation Plan to be submitted to OPM.

13. Provide to DMHAS the necessary information for the preparation of the CMHS Block Grant federal application; the necessary information will include, but is not limited to, a description of services provided, a goal statement concerning children's mental health services, specific annual objectives, a description of the level of achievement toward stated objectives (to be completed when applicable), a description of the categories and characteristics of individuals to be served, a description of the towns and cities to be served by individual agencies, a description of the criteria and methods for the distribution of funds, and an overview of the application development process. Such information shall be provided in accordance with requirements to be determined by DHHS.

14. Provide to DMHAS such other information pertaining to the use of CMHS Block Grant funds for children's mental health services, as may be required from time to time, for the preparation of reports and information requests.

15. Provide to DMHAS the necessary information for the preparation of the CMHS Block Grant Annual Implementation Report; the necessary information will include, but not be limited to, a description of children's mental health services provided, a goal statement, specific annual objectives, a description of requirements/identification of need, a description of activities/purposes/recipients, a description of the towns and cities to be served by the agency, a description of progress toward goals and objectives, and such program and system measures as required by Center for Mental Health Services.

C. DMHAS and DCF agree to:

1. Implement this Memorandum of Agreement (MOA) effective October 1, 2010.
2. Establish that the term of this agreement shall be October 1, 2010 through September 30, 2012 unless terminated as provided herein.
3. Renegotiate this MOA, if necessary, to comply with conditions imposed in the Notice of Block Grant Award by DHHS.
4. Either party may amend or dissolve this agreement after giving thirty (30) days written notice to the other party.

Contractor: Department of Children and Families
Contract #: 11-MHA-1210
Contract Period: 10/01/10 - 9/30/12
Contract Amount: $1,269,964.00

ACCEPTANCES AND APPROVALS:

By the Contractor:

Department of Children and Families
Contractor (Corporate/Legal Name of Contractor)

Signature (Authorized Official) Date 1/10/11

Joette Katz, Acting Commissioner
(Typed Name of Authorized Official) Title

By the Department:

Department of Mental Health and Addiction Services
Department Name

Signature (Authorized Official) Date 1/21/11

Patricia A. Rehmer, MSN Commissioner
(Typed Name of Authorized Official) Title