Agenda

- CISS Search – Production Help Desk Support
- CISS Status
- Questions and Answers
- Detailed Reviews:
  - Search Release 1
  - Wave 1
- Jeanine Allin – The Case for CISS
Help Desk Support Call Types Concerning:
- Login problems
- Password resets
- General access questions

<table>
<thead>
<tr>
<th></th>
<th>Severity 1</th>
<th>Severity 2</th>
<th>Severity 3</th>
<th>Totals</th>
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<tr>
<td>Open Calls</td>
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<td>0</td>
<td>2</td>
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<td>Resolved Calls</td>
<td>4</td>
<td>15</td>
<td>12</td>
<td>31</td>
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<td>Total Calls</td>
<td>4</td>
<td>15</td>
<td>14</td>
<td>33</td>
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</table>

Figures are YTD as of 5/1/2013
Status

Wave 0, Version 1.5 (W0V1.5)

RMS Network Update

Search Release 1 (SR1)

Wave 1

RMS Certification

May 1, 2013
The Development environment build-out is finished. Development is the first of four Software Development Lifecycle (SDLC) environment stages and includes technology framework to host virtual networks, storage containers, and servers.

Began build-out of the second SDLC environment called System Test. Work includes:
- Replication of systems created in the development environment
- SDLC environments installation includes access gateway software and firewalls will provide security for CJIS information systems.

Team expects to complete the development environment, firewall and networking design, and build-out of many servers for the systems testing environment in May.
Site surveys are currently underway:

- As of April 26, CJIS staff conducted 70 site visits
- 90 sites targeted for connectivity
- Equipment for site visits conducted during March is beginning to arrive
- CJIS anticipates equipment installation within 30 days of receipt*
- Next steps are to coordinate the software portion of the installation with BEST networking group
- All site roll-outs are targeted for completion by September*

*Schedules to be confirmed by participants
Search Release 1 (SR1) Status

Current

- Development of detailed requirements for SR1 scope – Search Functionality, Pre-defined Reports, System Administration, and Alerts.
- CISS User Interface (UI) screen change reviews were conducted by CJIS and Xerox teams.
- Sessions will be scheduled with stakeholders to finalize the UI screen changes during the design phase.
- Data Mapping Workshops with Judicial and DESPP to validate PRAWN, POR, MNI/CCH fields to be shared in CISS
- Initiated prototype work on CISS SharePoint team sites for agencies
  - Agency team sites will provide agency-centric (customized) portals to the CISS application
  - Currently developing team site for DPDS and Newington PD (to serve as a prototype for other LEAs)

Next Steps

- Complete requirements
- Schedule DESPP team site requirements gathering
- Initiate detailed design activities
- Xerox to begin application development
Wave 1 – UAR Workflow Status

**Current status**
- Wave 1 Project Charter is being finalized
- Detailed project schedule is being developed
- Business analysts continue working on detailed requirements for workflow IEs
- Met with Judicial to discuss terminology and data standards

**Next steps**
- Walk-throughs with stakeholders for Project Scope and Workflow diagrams (later in this meeting)
- Complete detailed project schedule and walk-through with stakeholders
- Continue detailed requirements
- Schedule meetings with DOC and LEAs to discuss terminology and data standards
RMS CERTIFICATION

BASE REQUIREMENTS

1. Receive/Consume Information Exchanges
2. Send/Publish Information Notifications
3. Compliance with standards (NCIC, FBI, NIEM, others)

CISS
Information Exchange

- Single unambiguous message
  - agreed upon
  - specific
  - tailored
  - uniformly developed
  - uses a standard format, common terminology & definitions
  - centrally maintained
  - quickly identifiable & discoverable
  - efficiently reused

To share exchanged data elements between criminal justice agencies

Provides quality data that enables timely decision-making as a result of more accurate and complete information.

Not replicated data
RMS Certification

SPECIFICATIONS
INFORMATION
EXCHANGES

CRIMINAL ARREST
Subject Positive Identification
Notice of Arrest
Uniform Arrest Report (UAR)

ADJUDICATION
Disposition & Sentencing

POST DISPOSITION
Probation & Parole
Subject Release
Correctional Status Change

STANDARDS
NIEM
LEXS
N-DEx
RMS Certification: Current Status

<table>
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<tr>
<th>Incident Arrest Package</th>
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<td>Disposition And Sentencing</td>
<td>Complete</td>
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<td>Pardon, Parole And Release</td>
<td>May</td>
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<td>Notifications</td>
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<td>Schedules Notification</td>
<td>May</td>
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<td>Correctional Events</td>
<td>May</td>
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<td>Infractions</td>
<td>July</td>
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<tr>
<td>RMS Certification Package</td>
<td>July</td>
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Detailed Review

- SR 1 Project Charter & Schedule
- Wave 1 Scope
- Walk-through of Wave 1 UAR
Wave 1 Goals & Objectives

• Implement Workflow 1 — Uniform Arrest Report (UAR) which contains the information exchanges associated with the UAR.

• Implement the components of Workflows 6 — Common Exchanges and 8 — Post Judgment that pertain to UAR.

• Lay the foundation for all subsequent workflows within the CISS project.
Wave 1 Scope

Certification of 2 RMS vendors:
• 3 vendors* for local law enforcement
• NexGen for DESPP

Roll-out to:
• 3 police departments* for each of the 3 selected RMS systems (total of 9 police departments)
  • Police departments will be distributed geographically across the state and will vary in size
• DESPP, starting with 1 troop as a pilot, then rolled out to remaining DESPP troops.

* Specific vendors and police departments still to be finalized
Wave 1 Scope, continued

• Ability to restrict, seal, expunge or erase records or data elements. For Wave 1, this will be limited to records transmitted by the Information Exchanges included in this wave

• Ability to redact and restrict documents exchanged in Wave 1:
  • Ability for authorized users to manually initiate redactions
  • Ability to automatically redact based on business rules in an exchange
  • Including, but not limited to, providing levels of information to be redacted, ability to cancel redacted elements, and log all redactions
  • Ability to comply with court-ordered restrictions, such as redaction or limited disclosure of documents

• Ability to merge documents, replace documents with more current versions, and remove duplicate records

• Ability to identify potential duplicate records at the point of entry and generate a report for intervention by authorized personnel
Wave 1 Scope, continued

Electronic Content Management (ECM) solution: FileNet

- **Store all attachments** and related metadata sent in information exchanges
- **List and retrieve attachments** that are associated with an arrest packet
- **Retrieve a document** from FileNet associated with an information exchange
  - Will be provided to DCJ, DPDS, and Court Operations via team sites
- Ability for CISS System Administrator to **create and maintain metadata rules**
- Ability to **edit metadata values**
- **Content management** features: version control, check-in/checkout, audit trails, view of history, indexing, and profiling
Wave 1 Scope, continued

Team sites for the following agencies:

- **DCJ**
  - Statute-based redactions
  - Review case information
  - Assign cases to prosecutors

- **Court Operations**
  - Redactions and restrictions

- **DPDS**
  - Review case information
  - Assign cases to defenders

- **DMV**
  - Access ‘of interest’ drivers information
Wave 1 Scope, continued

Notifications/alerts

- Allow individuals to request a notification if there is any system update including, but not limited to, arrest involving a specific individual.
  - For Wave 1, this is limited to triggering events that are part of the Wave 1 workflows.

- Ability to send automatic alerts of errors to designated system administrators.
Wave 1 Scope, continued

Audit logging and reporting
• Log all movement of information through information exchanges
• Ability to generate reports showing movement of information

Monitoring
• Tracking and confirmation services that verify, document, and ensure a completed and synchronous information exchange
• Ability to measure performance of any specific information exchange
Wave 1 — Out of Scope

- CISS will not be the system of record for any data
- New Search functionality
- Roll-out to LEAs that do not have an RMS system
- CISS system access to FBI data
- Ability to capture and remove annotations to documents
- Electronic tracking of artifacts/evidence
- Ability to accumulate documents and data into logical groups, such as case files
- User agency configurable document retention rules
- Ability to convert content from active to archive status and vice versa
- User interface to create/maintain metadata rules
- Export of data to standard desktop analysis tools such as Microsoft Excel
Wave 1 Workflow Diagrams
THE CASE FOR THE CONNECTICUT INFORMATION SHARING SYSTEM
HUSBAND AND FATHER
PUBLIC SERVANT FOR 22 YEARS
MAY 13, 1957 — DEC. 30, 2004
WE CAN PREVENT SENSELESS TRAGEDY.
We appreciate your feedback.

Please send us your comments, questions & suggestions.

Sean Thakkar — Sean.Thakkar@ct.gov
Mark Tezaris — Mark.Tezaris@ct.gov
Rick Ladendecker — Rick.Ladendecker@ct.gov
Nance McCauley — Nance.Mccauley@ct.gov

Upcoming meetings: June 5, 2013
July 11, 2013
## Appendix: Acronyms

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
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<tbody>
<tr>
<td>AFIS</td>
<td>Automated Fingerprint Identification System</td>
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<td>AST</td>
<td>Application Support System</td>
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<tr>
<td>BEST</td>
<td>Bureau of Enterprise Systems and Technology</td>
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<td>BICE</td>
<td>Bureau of Immigration and Customs Enforcement</td>
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<td>BOPP</td>
<td>Board of Pardons and Paroles</td>
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<td>CAD</td>
<td>Computer Aided Dispatch</td>
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<tr>
<td>CCH</td>
<td>Computerized Criminal History (DESPP)</td>
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<td>CIB</td>
<td>Centralized Infraction Bureau (Judicial)</td>
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<td>CIDRIS</td>
<td>Conn. Impaired Driver Records Information System</td>
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<tr>
<td>CISS</td>
<td>Conn. Information Sharing System</td>
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<td>CIVLS</td>
<td>CT Integrated Vehicle &amp; Licensing System</td>
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<td>CJIS</td>
<td>Criminal Justice Information System</td>
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<td>CJPPD</td>
<td>Criminal Justice Policy Development and Planning Division</td>
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<td>CMIS</td>
<td>Case Management Information System (CSSD)</td>
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<td>COLLECT</td>
<td>CT On-Line Law Enforcement Comm. Teleprocessing Network</td>
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<td>CPCSA</td>
<td>Conn. Police Chiefs Association</td>
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<td>CRMVS</td>
<td>Criminal and Motor Vehicle System (Judicial)</td>
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<td>CSSD</td>
<td>Court Support Services Division (Judicial)</td>
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<td>DCJ</td>
<td>Division of Criminal Justice</td>
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<tr>
<td>DAS</td>
<td>Dept. of Administrative Services</td>
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<tr>
<td>DESPP</td>
<td>Dept. of Emergency Services &amp; Public Protection</td>
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<td>DEMHS</td>
<td>Dept. of Emergency Management &amp; Homeland Security</td>
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<td>DMV</td>
<td>Dept. of Motor Vehicles</td>
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<td>DOC</td>
<td>Department of Correction</td>
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<td>DOIT</td>
<td>Dept. of Information Technology</td>
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<td>DPDS</td>
<td>Div. of Public Defender Services</td>
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<td>GIS</td>
<td>Geographic Information System</td>
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<td>IST</td>
<td>Infrastructure Support Team</td>
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<td>JMI</td>
<td>Jail Management System</td>
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<td>JUD</td>
<td>Judicial Branch</td>
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<td>LEA</td>
<td>Law Enforcement Agency</td>
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<td>LAW</td>
<td>Local Law Enforcement (e.g., DPS, CPCA)</td>
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<td>LIMS</td>
<td>State Crime Laboratory Database</td>
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<td>MNI</td>
<td>Master Name Index (DESPP)</td>
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<td>OBIS</td>
<td>Offender Based Information System (Corrections)</td>
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<td>OBTS</td>
<td>Offender Based Tracking System</td>
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<td>OCPD</td>
<td>Office of Chief Public Defender</td>
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<td>OSET</td>
<td>Office of Statewide Emergency Telecommunications</td>
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<td>OVA</td>
<td>Office of the Victim Advocate</td>
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<td>OVS</td>
<td>Office of Victim Services</td>
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<td>PERU</td>
<td>Passenger Endorsement Review Unit</td>
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<tr>
<td>POR</td>
<td>Protection Order Registry (Judicial)</td>
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<td>PRAWN</td>
<td>Paperless Re-Arrest Warrant Network (Judicial)</td>
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<td>PSDN</td>
<td>Public Safety Data Network</td>
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<tr>
<td>RMS</td>
<td>Records Management System</td>
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<td>SCO</td>
<td>Superior Court Operations Div. (Judicial)</td>
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<td>SOR</td>
<td>Sex Offender Registry (DESPP)</td>
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<td>SPBI</td>
<td>State Police Bureau of Identification (DESPP)</td>
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<td>SLWRS</td>
<td>Special Licensing and Weapons Registration System (DESPP)</td>
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<td>SLPFU</td>
<td>Special Licensing of Firearms Unit (DESPP)</td>
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<td>Technology Related</td>
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<tr>
<td>ADFS</td>
<td>Active Directory Federated Services</td>
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<tr>
<td>COTS</td>
<td>Computer Off The Shelf (e.g., software)</td>
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<tr>
<td>ETL</td>
<td>Extraction, Transformation, and Load</td>
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<tr>
<td>FEA</td>
<td>Federal Enterprise Architecture</td>
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<tr>
<td>FIM</td>
<td>Forefront Identity Manager (Microsoft)</td>
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<td>FIPS</td>
<td>Federated Information Processing Standard</td>
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<tr>
<td>GFIPM</td>
<td>Global Federated Identity &amp; Privilege Management</td>
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<tr>
<td>GUI</td>
<td>Graphical User Interface</td>
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<tr>
<td>IAFIS</td>
<td>Interactive Automated Fingerprint Information System*</td>
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<tr>
<td>IEPD</td>
<td>Information Exchange Package Document</td>
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<td>III</td>
<td>Interstate Identification Index</td>
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<tr>
<td>LAN</td>
<td>Local Area Network</td>
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<td>Logical Entity eXchange Specifications</td>
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<tr>
<td>LEXS</td>
<td>Logical Entity eXchange Specifications</td>
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<td>N-DEx</td>
<td>Law Enforcement National Data Exchange</td>
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<td>NIEM</td>
<td>National Information Exchange Model</td>
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<td>PCDN</td>
<td>Private Content Delivery Network</td>
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<tr>
<td>POC</td>
<td>Proof of Concept</td>
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<tr>
<td>RDB</td>
<td>Relational Database</td>
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<tr>
<td>SAN</td>
<td>Storage Area Network</td>
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<td>SDLC</td>
<td>Software Development Life Cycle</td>
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<td>SOA</td>
<td>Service Oriented Architecture</td>
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<td>SQL</td>
<td>Structured Query Language</td>
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Appendix: Sources & Resources

For more information about CJIS-related topics, such as technology security, please click the FAQs tab on the CJIS Web Site. All of the links below are active hyperlinks in the PDF version of this deck. Useful Web Sites

State of Connecticut
www.ct.gov/cjis
CGA Legislative Library
OPM: CJIS Governing Board Agendas/Minutes
Connecticut Judicial Branch
Connecticut General Assembly - Staff Offices
Conn. General Assembly
CRCOG: Capitol Region Council of Governments, Connecticut
Bureau of Enterprise Systems and Technology
Board of Pardons and Paroles
Connecticut Police Chiefs Association
Dept of Motor Vehicles
Division of Public Defender Services
Office of the Victim Advocate

Criminal Justice Statutes
Public Records Laws (PDF)
CHAPTER 961a* CRIMINAL RECORDS
CHAPTER 188 STATE LIBRARY
Public Act 08-1 - establishing CJIS

Technology Related
Global Standards Council
GIFIPM.net
Federal Enterprise Architecture (FEA) | The White House
Claims-Based Identity Model

Criminal Justice & Public Records
Connecticut State Library Home Page
Records Retention Schedules for State Agencies
Office of Public Records Administrator Forms, Guidelines and Publications
www.cslib.org/publicrecords/stateretsched/agncyunique/DOCPardons120901.pdf
www.cslib.org/publicrecords/stateretsched/agncyunique/DCJChiefStateAtt111101.pdf
www.cslib.org/publicrecords/stateretsched/agncyunique/DOCGen120301.pdf

Other State and National Criminal Justice Information Organizations
Center for Digital Government
http://www.search.org/
Alabama Criminal Justice Information Center
California Dept of Justice
Colorado Bureau of Investigation
Massachusetts Criminal Justice Information System
Maryland Dept of Public Safety and Correctional Services (DPSCS)
New York Criminal Justice Services
Oregon Criminal Justice Data Services
Oregon Criminal Justice
Hawaii Criminal Justice Data Center (HCJDC)
Idaho State Police
Vermont Criminal Justice Services
Wyoming Criminal Justice
Source: Bureau of Justice Statistics. http://bjs.gov/content/justsys.cfm