

CONNECTICUT INSURANCE DEPARTMENT

BOILER & MACHINERY FORM/RATES/RULES FILING COMPLIANCE QUESTIONNAIRE

COMPANY	Co. File No.	
TYPE OF INSURANCE	PAGE 1 OF 2	
<p>Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with applicable Connecticut rules and regulations including Connecticut General Statutes ("CGS") §38a-307. Form, page and paragraph references that bring the submission into compliance must be included (enter NA in this column if the item is not applicable to the filing). Failure to complete all items, or response is in the shaded column, then the filing will be rejected and returned without further review.</p>		
		Form/Page/Para Reference
I. COVERAGES		
a. Policy provisions and endorsements provide for the use of market value estimations.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
b. The Policy term is clearly defined with an effective date and expiration date. A continuous policy term conflicts with the statutes. A policy expiration is necessary to afford the required cancellation and non-renewal provisions	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
c. Policy includes 'a conform to State statute' provision.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
d. IF Definition of Insured includes civil unions. The policy must cover civil unions as recognized under Connecticut law.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
II. Other Provisions		
a. Terrorism exclusions comply with most current Department Bulletin. Provide Bulletin ref#: _____ (Co. fill in PC #)	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
1. Terrorism forms provide coverage for non-certified acts of terrorism.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
2. War exclusion does not include acts of terrorism as an "Act of War" or "acts of foreign enemies".	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
3. For types of insurance subject to TRIPRA, forms provide coverage for acts of terrorism that fail to be certified because they do not meet the \$5 million aggregate limit. (Refer to Bulletin PC-64)	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
4. For types of insurance not subject to TRIPRA, there is not a total terrorism exclusion. Acts of terrorism are excluded only if they result in losses above \$25,000,000 or death or injury to over 50 persons. (Refer to Bulletin PC-64)	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
b. Vacancy clause complies with 38a-307. The filing provides a vacancy provision of greater than 70%.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
c. The program does not use a master policy (acceptable for Risk Purchasing Groups only).	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
d. Choice of Law Condition: The policy shall be interpreted to conform to Connecticut law.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
III. CANCELLATION AND NONRENEWAL PROVISIONS		
a. Notice of cancellation or nonrenewal will be mailed to the named insured not the first named insured.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
b. The company has a Connecticut Cancellation and Nonrenewal Amendatory Endorsement with this filing.	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /
c. Cancellation reasons are exactly as stated in 38a-324	YES <input type="checkbox"/>	NO <input type="checkbox"/> / /

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PAGE 2 OF 2

COMPANY

TYPE OF INSURANCE

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IV. RATE AND RULE PROVISIONS

			Form/Page/Para Reference
a. Scheduled rating credits (IRPM) do not exceed +/- 25%.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
b. Company has provided 3 years of line of business experience in item 10 of Appendix 2 or Appendix 3.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
c. If the different rating tiers are used to rate business, the company has submitted underwriting guidelines that clearly delineate which risks are acceptable for each tier.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
d. If the filing has an annual inflation increase it does not exceed 8% which is the maximum annual inflation permitted by the state.	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
e. The Company has Submitted Appendix 1, 2 and/or 3 per Bulletin PC-35 when either adopting loss costs or filing independent rates. The policy provides an effective date provision for rate revisions	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
f. On Connecticut Appendix 2 line 9 consideration is given to investment earned or realized by insurers both from their unearned premium and loss reserve funds	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
g. Rules provide an effective date provision for rate revisions	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
h. Filing has a provision for commission contribution (negotiated commissions are in violation of C.G.S 38a-825, premium rebate or other special favor).		YES <input type="checkbox"/>	/ /
i. Rate change included in cover letter or explanatory memorandum	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
j. Rates do not contain a loading for the residual market	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /

V. MISC FILING REQUIREMENTS:

(All filings must be submitted with the following):			
a. Filing includes either a 'detailed' description or 'detailed' cover letter explaining purpose and/or changes	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
b. Filing includes side-by-side comparison for revisions	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
c. Actuarial memorandum is included	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /
d. If part of the policy, an application has been included in the filing	YES <input type="checkbox"/>	NO <input type="checkbox"/>	/ /