

The 4th Symposium on Captive Insurance in Connecticut

The Sheraton Hotel

700 East Main Street, Stamford, CT 06901

Understanding the Possibilities: Captive Solutions for Optimizing Capital

October 14, 2015

5-6:30 p.m. **The Idea Factory: A Roundtable Discussion on Capital and Innovation in Connecticut's Captive Marketplace**

- Using Captives to Access Federal Home Loan Banking System Funding
- Securitization of Captive Insurance Risks: Insurance-linked Securities and Catastrophe Bond
- "Hybrid" Vehicle: Adding Capital and Capacity to the Market in an Innovative Way

Coordinator: Thomas Hodson, Managing Partner (CT), JLT Towner Management Group (USA) LLC, President, CCIA

Speakers: Michael Serricchio, Senior Vice President, Marsh Captive Solutions

Cory Anger, Managing Director at Guy Carpenter / GC Securities

Peter J. Bandarenko, Head of New Market Development, Spring Consulting

Arvind Parthasarathi, Co-Founder & CEO, Cyence

Matthew Stewart, Vice President, Federal Home Loan Bank of Boston

Moderator: John Thomson, Deputy Insurance Commissioner, Connecticut Insurance Department

6:30-7:30 p.m. **Cocktail Reception**

October 15, 2015

7:30-9 a.m. **Registration, Continental Breakfast and Networking with Exhibitors**

7:30-8:30 a.m. **Networking Breakfast: Meet the Connecticut Insurance Department Regulators**

- Meet and Greet with Connecticut's Insurance Commissioner Katharine Wade and Deputy Insurance Commissioner John Thomson

8:30- 9:10 a.m. **The Connecticut Difference: Welcome and Introduction to Connecticut as a World-Class Domicile**

- Welcome: Why Choose Connecticut as a Captive Domicile State, Glenn D. Saslow, Chairman of the Connecticut Captive Insurance Association ("CCIA")
- CT Captive & RRG Overview, Principle-Based Regulations & CID Dedication to Captives, John C. Thomson, Deputy Insurance Commissioner, Connecticut Insurance Department
- CCIA Overview & Introduction of Board of Directors, Thomas F. X. Hodson, Managing Partner (CT), JLT Towner Management Group (USA) LLC, President of the CCIA

9:10 - 10:30 a.m. **A National Perspective: The NAIC and Feds View of Captives**

- NAIC focus on Captives
- Latest IRS and Major Captive Tax Case Updates

Speakers: Katharine L. Wade, Commissioner, Connecticut Insurance Department

P. Bruce Wright, Partner, Sutherland Asbill & Brennan LLP

Moderator: James Mannello, Tax Partner, Deloitte Tax LLP

10:30-11 a.m. **Keynote Speaker: Ms. Karen S. Lynch, President at Aetna Inc**

11:00-11:45 a.m. **Concurrent Sessions:**

A. It's an Insurance Company: The Importance of How You Run Your Captive

- The Importance of Corporate Governance to the Success of Your Captive
- Forecasting and Analytics - Critical Tools for Successful Captives

Speakers: Yvette Connor, Managing Director, Alvarez & Marsal Insurance and Risk Advisory Services
Stephen Christiansen, Managing Director, Conning

Moderator: Mark Kleinlein, Attorney, Honigman, Miller, Schwartz & Cohn LLP

B. Creative Captive Solutions for Middle/Small Markets

Speakers: Daniel J. Kusaila, Partner, Crowe Horwath LLP
Robert Kaemmerer, Vice President Finance, United Refining Company

Moderator: Michael Serricchio, Senior Vice President, Marsh Captive Solutions

11:45 a.m.-12:30 p.m. **Buffet Lunch and Networking**

12:30-2 p.m. **Works of ART - Captive Success Stories: Rationalizing Your Captive, from Beginning to End**

Speakers: Robert Zorn, Executive Vice President, Re Apple Group
Mark Allitt, Director, Advisory, KPMG Bermuda
Stephanie C. Mocatta, President, SOBC Corp.

Moderator: Mike Kane, Director, Insurance & Risk Management, Aetna

2-3 p.m. **Concurrent Sessions:**

A. The Frontier of Insurance-Linked Securities - Bridging Captives and the Capital Markets

Speakers: Aaron Koch, Director and Consulting Actuary, Milliman
Rudy Dimmling, Senior Vice President, Alvarez & Marsal

Moderator: Stephen DiCenso, Principal and Consulting Actuary, Milliman, Inc.

B. Captive as Effective Cost Management Solutions for Healthcare

Speakers: Tracey Le May, Chief Administrative Officer, Masonicare
J.C. Lubin-Szafranski, JD, Vice President/General Counsel, Department of Legal Services, St. Francis Care
Rocco Mancini, Captive Advisory Consultant, Marsh Captive Solutions

Moderator: Jerry Theodorou, Vice President, Conning

3-3:30 p.m. **Coffee and Networking**

3:30-5 p.m. **When the Stakes are High - Solutions for Cyber and Terrorism Risks**

Speakers: James McDermott, Managing Director, Alvarez & Marsal
Arvind Parthasarathi, Co-Founder & CEO, Cyence
Jay Curtis, Vice President, Willis

Moderator: Ted Augustinos, Partner, Locke Lord LLP

5-6:30 p.m. **The "Avoid Being Captive by Traffic" Reception**