

Gary H. Collins

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PROFESSIONAL EXPERIENCE

GE Capital: Core Compliance Leader (Exec.) *Norwalk, CT (Mar. 07-Present)*
Previously served as Chief Compliance Officer at GE Capital's Energy Financial Services business; served on EFS' investment committee and led all compliance and litigation initiatives for business. Currently drives GE Capital's core compliance processes and programs, including its annual risk assessment, annual compliance plan, policy creation and governance, and training plan. Leads key enterprise-wide special initiatives including controlled entity compliance and Volcker compliance. Co-author of ABA best-seller, *Warning the Witness: A Guide to Internal Investigations and the Attorney-Client Privilege*. Previously, co-led GE's Legal Diversity initiative for its more than 1,300 attorneys.

Univ. of Conn. School of Law: Adjunct Prof.

Investigations 7886 *W. Hartford, CT (Dec. 04-Present)*
Course concentrates on investigations in four contexts: Corporate Internal Investigations; Grand Jury Investigations; Independent Counsel Investigations and Congressional Investigations. Corporate Internal Investigations component of course includes: How to Conduct a Corporate Internal Investigation; Duties and Responsibilities of Management & Standing Committees of the Board; Defending the Corporation, Its Officers, Directors and Employees in Grand Jury and SEC Investigations; Communicating with the SEC and Other Regulatory Agencies.

Day, Berry & Howard LLC: Partner/Litigation *Hartford, CT (Mar. 01-Mar. 07)*
Practice focused on financial services industry clients and balanced between government investigations and complex civil litigation. Government investigations practice included representation of corporations, directors and officers in criminal, civil and SEC enforcement matters. Trial counsel in one of the longest federal criminal trials in the history of the District of Connecticut.

Bingham, Dana LLP: Of Counsel *Hartford, CT (Sept. 00-Mar. 01)*
Practice was divided between government investigations and civil litigation.

U.S. Attorney's Office: Asst. U.S. Attorney *Wash. DC (May 95-July 99)*
Prosecuted more than two dozen jury trials, fifty bench trials as well as several appeals and special proceedings in federal and local courts. Recipient of the 1996 Justice for Victims of Crime Award, the 1997 U.S. Attorney's Special Achievement Award, and the 1998 Justice for Victims of Crime Award.

George Washington Univ. Nat'l Law Center: Adjunct Prof. *Wash. D.C.(Aug. 95-June 00)*
Instructor of Legal Research & Writing and Appellate Advocacy.

Court TV: Reporter/Associate Producer *New York, NY (Aug 94-April 95)*
Covered criminal and civil actions in various jurisdictions across the country.

Winston & Strawn: Labor/Litigation Associate *Chicago, IL (May 91-Aug. 94)*
Practice balanced between government investigations and labor litigation.

SELECTED PUBLICATIONS & PRESENTATIONS

- Managing the Internal Investigation (Panel Discussion), CBA, March 2012.
- Operating in Foreign Markets (Panel Discussion), PLI Institute, October 2011.
- Third Annual National Institute on Internal Corporate Investigations and Forum for In-House Counsel, ABA, May 2011.
- Warning the Witness: A Guide to Internal Investigations and the Attorney-Client Privilege, ABA Publishing (Book), July 2010.
- Ethical Issues When Conducting Corporate Internal Investigations, CLE, December 2009.
- Federal Law Enforcement in a Changed World (Presentation), ABA Annual Meeting, August 2009.
- Upjohn Warnings: Recommended Best Practices When Corporate Counsel Interacts with Corporate Employees (Book/co-author, David Seide), ABA Publishing, August 2009.
- Corporate Charging Decisions (Panel Discussion), ABA 23rd Annual National Institute on White Collar Crime, March 2009.
- Clinton's Decisions Don't Taint Holder's Integrity, Hartford Courant, January 2009.
- Internal Investigations: Hot Topics and Trends (Panel Discussion), ABA National Institute on White Collar Crime, March 2008.
- White Collar Crime Weather Alert for Financial Services Experts, AICPA National Conference on Fraud and Litigation Services, September 2007.
- ABA Report and Proposed Standards Governing Corporate Counsel Contacts with Unrepresented Employees, ABA Criminal Justice Section/WCCC, November 2006.
- Government Requests for Privilege Waivers and Other Forms of Cooperation: The Latest in the Challenge to the Thompson Memo, *Health Lawyers News*, August 2006.
- Criminal Law Concerns for Civil Lawyers (Presentation), Fundamentals of Health Law Conference, Chicago, IL, November 11, 2005.
- Money Laundering & Sarbanes Oxley (Presentation), Hartford County Bar Association, Commercial Law Section Meeting, Hartford, CT, January 18, 2005.
- What Civil Litigators Need to Know About Money Laundering (Presentation), Connecticut Bar Association (Business Torts Committee), Berlin, CT, Feb. 24, 2005.
- False Claims and Overpayments, Fundamentals of Health Law Conference (Presentation), Chicago, IL, November 12, 2004.
- Compliance Programs to Detect And Prevent Crime: Amended Federal Sentencing Guidelines, *DB&H Alert*, June 7, 2004.
- The Government's Pursuit of Corporate Wrongdoing (co-authors), *DB&H Alert*, April 4, 2003.
- Attorney-Client Privilege: Surviving the Corporate Fraud Scandal (co-author), *Business Crimes Bulletin* Vol. 9, No. 9, October 2002.
- When the Investigation Begins So Does the Lawsuit (co-author), *The Connecticut Financial Tribune*, October 2002.
- Attorney-Client Privilege: First Legal Victim of Corporate Fraud? (co-author), *New York Law Journal*, Vol. 27, No. 44, September 4, 2002.
- 'You Contributed to Whom?!?!' Avoid regulatory problems: What you and your employees should know about recent developments in state and federal campaign finance laws (Presentation), Hartford, CT June 12, 2002.

PROFESSIONAL AFFILIATIONS

American Bar Association, Criminal Justice Section *Washington, DC (02-Present)*
White-Collar Crime Committee, Fmr. Exec. Dir.
Criminal Justice Section, Book Board, Director
American Constitution Society (Conn. Chapter), Co-Founder *Hartford, CT (06-10)*

BAR & COURT ADMISSIONS

State

Connecticut District of Columbia Illinois (Retired Status)

Federal

U.S. Supreme Court U.S. Court of Appeals for the D.C. Circuit
U.S. District Court, District of Conn. U.S. District Court, District of Columbia

INTERESTS & COMMUNITY SERVICE

The Collins Foundation, Inc. Scholarship Fund, CEO *Portland, CT (08-Present)*
The Council for a Livable World, President *Washington, DC (06-Present)*
Urban League of Greater Hartford, Inc., Director *Hartford, CT (07-09)*
YMCA of Middlesex County, Director *Middletown, CT (03-08)*
Wadsworth Athenaeum, Director *Hartford, CT (06-08)*
NAACP Middlesex County, Legal Redress Chair *Middletown, CT (01-06)*
Gary H. Collins for Congress *Middletown, CT (01-02)*
Cabrini Green Little League Association *Chicago, IL (92-94)*

EDUCATION

Vanderbilt University School of Law *Nashville, TN*
Juris Doctorate, May 1991
Moot Court Board, Legal Clinic (Criminal), Black Law Students Association.
State University of New York, Buffalo *Buffalo, NY*
Bachelor of Arts: Political Science, May 1988
Football: Quarterback.