

SUMMONS - CIVIL

JD-CV-1 Rev. 6-11
 C.G.S. §§ 51-346, 51-347, 51-349, 51-350, 52-45a,
 52-48, 52-259, P.B. Secs. 3-1 through 3-21, 8-1

STATE OF CONNECTICUT
SUPERIOR COURT
 www.jud.ct.gov

See page 2 for instructions

- "X" if amount, legal interest or property in demand, not including interest and costs is less than \$2,500.
- "X" if amount, legal interest or property in demand, not including interest and costs is \$2,500 or more.
- "X" if claiming other relief in addition to or in lieu of money or damages.

TO: Any proper officer; BY AUTHORITY OF THE STATE OF CONNECTICUT, you are hereby commanded to make due and legal service of this Summons and attached Complaint.

Address of court clerk where writ and other papers shall be filed (Number, street, town and zip code) (C.G.S. §§ 51-346, 51-350)		Telephone number of clerk (with area code)	Return Date (Must be a Tuesday)
95 Washington Street, Hartford, CT 06106		(860) 548-2700	_____, 2 Month Day Year
<input checked="" type="checkbox"/> Judicial District <input type="checkbox"/> Housing Session	<input type="checkbox"/> G.A. Number:	At (Town in which writ is returnable) (C.G.S. §§ 51-346, 51-349) Hartford	Case type code (See list on page 2) Major: M Minor: 90

For the Plaintiff(s) please enter the appearance of:

Name and address of attorney, law firm or plaintiff if self-represented (Number, street, town and zip code) Thomas J. Saadi, Office of the Attorney General, 110 Sherman Street, Hartford, CT 06105	Juris number (to be entered by attorney only) 418062
Telephone number (with area code) (860) 808-5400	Signature of Plaintiff (if self-represented)

Number of Plaintiffs: **1** Number of Defendants: **1** Form JD-CV-2 attached for additional parties

Parties	Name (Last, First, Middle Initial) and Address of Each party (Number; Street; P.O. Box; Town; State; Zip; Country, if not USA)	
First Plaintiff	Name: State of Connecticut Address: 110 Sherman Street, Hartford, CT 06105	P-01
Additional Plaintiff	Name: Address:	P-02
First Defendant	Name: GLAXOSMITHKLINE LLC Address: 1 Franklin Plaza, Philadelphia, Pennsylvania 19102	D-50
Additional Defendant	Name: Address:	D-51
Additional Defendant	Name: Address:	D-52
Additional Defendant	Name: Address:	D-53

Notice to Each Defendant

1. YOU ARE BEING SUED. This paper is a Summons in a lawsuit. The complaint attached to these papers states the claims that each plaintiff is making against you in this lawsuit.
2. To be notified of further proceedings, you or your attorney must file a form called an "Appearance" with the clerk of the above-named Court at the above Court address on or before the second day after the above Return Date. The Return Date is not a hearing date. You do not have to come to court on the Return Date unless you receive a separate notice telling you to come to court.
3. If you or your attorney do not file a written "Appearance" form on time, a judgment may be entered against you by default. The "Appearance" form may be obtained at the Court address above or at www.jud.ct.gov under "Court Forms."
4. If you believe that you have insurance that may cover the claim that is being made against you in this lawsuit, you should immediately contact your insurance representative. Other action you may have to take is described in the Connecticut Practice Book which may be found in a superior court law library or on-line at www.jud.ct.gov under "Court Rules."
5. If you have questions about the Summons and Complaint, you should talk to an attorney quickly. **The Clerk of Court is not allowed to give advice on legal questions.**

Signed (Sign and "X" proper box) 	<input checked="" type="checkbox"/> Commissioner of the Superior Court <input type="checkbox"/> Assistant Clerk	Name of Person Signing at Left Thomas J. Saadi	Date signed 11/14/2012
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If this Summons is signed by a Clerk: a. The signing has been done so that the Plaintiff(s) will not be denied access to the courts. b. It is the responsibility of the Plaintiff(s) to see that service is made in the manner provided by law. c. The Clerk is not permitted to give any legal advice in connection with any lawsuit. d. The Clerk signing this Summons at the request of the Plaintiff(s) is not responsible in any way for any errors or omissions in the Summons, any allegations contained in the Complaint, or the service of the Summons or Complaint.	For Court Use Only
	File Date

I certify I have read and understand the above:	Signed (Self-Represented Plaintiff)	Date
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Name and address of person recognized to prosecute in the amount of \$250

Signed (Official taking recognizance; "X" proper box)	<input type="checkbox"/> Commissioner of the Superior Court <input type="checkbox"/> Assistant Clerk	Date	Docket Number
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THE PARTIES

2. Plaintiff, the State of Connecticut, represented by George C. Jepsen, Attorney General of the State of Connecticut, acting at the request of William M. Rubenstein, Commissioner of Consumer Protection, pursuant to Conn. Gen. Stat. § 42-110m(a).

3. Defendant GLAXOSMITHKLINE LLC is a Delaware corporation with a principal place of business at 1 Franklin Plaza, Philadelphia, Pennsylvania 19102. Defendant transacts business in Connecticut by promoting, selling, and distributing prescription drugs.

4. The violations of law alleged herein have been and are being carried out within Connecticut.

DEFENDANTS' COURSE OF CONDUCT

5. Approximately 18.8 million people in the United States are diagnosed with diabetes and approximately 90-95% of adults diagnosed with diabetes have type 2 diabetes. Type 2 diabetes results from the body's failure to produce enough insulin, hormone needed to convert sugar and other food into energy, and/or the inability to use insulin properly.

6. Without insulin, sugar builds up in the bloodstream that cannot be used by the cells; consequently, the cells are starved for energy causing numerous health problems including heart disease and stroke.
7. Since diabetics already have high cardiovascular risks, it is important that any treatment for Diabetes not increase these risks.
8. The first line drug treatment for type 2 diabetes consists of established and inexpensive oral medications, including metformin and sulfonylureas. The former drug is recognized as the “gold standard” in type 2 diabetes treatment as it reduces the amount of sugar released by the liver between meals, promotes weight loss, and reduces cholesterol and triglycerides levels. Metformin’s side effects, which include nausea and upset stomach, are minimal.
9. Sulfonylureas are another popular first line treatment which stimulate the pancreas to produce more insulin. Sulfonylureas combine well with other diabetes drugs for maximum effect on blood sugar. Their side effects include hypoglycemia (low blood sugar) and weight gain.
10. Rosiglitazone, which is sold under the brand name Avandia, is one of a newer generation of diabetes drugs called thiazolidinediones (“TZDs”) and was approved on May 25, 1999, by the FDA approved Avandia for sale in the United States.
11. Avandia and other TZDs lower blood sugar levels by sensitizing the cells to use insulin more efficiently and effectively.

12. Avandia is available in three forms: Avandia tablets, Avandamet tablets, and Avandaryl tablets, which will all collectively be referred to as Avandia throughout this Complaint.

13. Avandamet tablets combine Avandia with metformin and Avandaryl tablets combine Avandia with a sulfonylurea.

14. Defendant promoted Avandia to physicians and other health care providers with false and misleading representations about Avandia's safety profile.

15. Although Defendant did not possess competent and reliable scientific evidence to support the claim, Defendant misrepresented that Avandia had a positive cholesterol profile.

16. Defendant misrepresented that Avandia had a positive cholesterol profile when in fact GSK did not possess competent and reliable scientific evidence to substantiate the claim. GSK misrepresented that Avandia had cardiovascular benefits when in fact, it does not, and may increase cardiovascular risks.

DEFENDANTS' VIOLATION OF CUTPA

17. Defendant's course of conduct, as alleged herein, has been undertaken in the conduct of trade or commerce, as defined in Conn. Gen. Stat. § 42-110a(4).

18. Defendant engaged in unfair or deceptive acts or practices in the course of marketing, promoting, selling, and distributing the prescription drug Avandia for uses for

which Avandia was not effective and failed to disclose potential safety risks of Avandia by failing to adequately disclose Depakote's characteristics, uses, benefits, and qualities thereof as follows:

- a. Defendant represented that Avandia had the benefit of reducing cardiovascular risks when, in fact, Avandia does not and may instead actually increase cardiovascular risks.
- b. Defendant promoted Avandia to physicians and other health care providers with false and misleading representations about Avandia's safety profile.
- c. Defendant misrepresented that Avandia had a positive cholesterol profile when the Defendant did not possess competent and reliable scientific evidence to support the claim.

19. By doing the aforesaid acts or practices, Defendant engaged in unfair or deceptive acts or practices in violation of Conn. Gen. Stat. § 42-110b(a).

SECOND COUNT

1-21. The allegations of paragraphs 1 through 21 of the FIRST COUNT are incorporated herein as paragraphs 1 – 21 of the SECOND COUNT.

20. Defendant engaged in the aforementioned acts or practices alleged herein when it knew or should have known that its conduct was unfair or deceptive in violation of Conn. Gen. Stat. § 42-110b(a).

PRAYER FOR RELIEF

WHEREFORE, the PLAINTIFF claims the following relief:

1. An order pursuant to Conn. Gen. Stat. §42-110m(a) enjoining Defendants from making any false, misleading or deceptive representations regarding any of its products in violation of all applicable laws and regulations.
2. An order pursuant to Conn. Gen. Stat. §42-110m(a) directing Defendants to comply with all applicable laws and regulations relating to the marketing, sale, and promotion of its products.
3. An order pursuant to Conn. Gen. Stat. § 42-110o(b) directing Defendants to pay civil penalties for each willful violation of Conn. Gen. Stat. § 42-11b(a).
4. An order pursuant to Conn. Gen. Stat. §42-110m(a) directing Defendants to disgorge all gains achieved in whole or in part through the unfair acts or practices alleged herein.
5. An award of Attorneys fees, pursuant to Conn. Gen. Stat. § 42-110m(a).
6. Cost of suit.

7. Such other relief as this Court deems appropriate.

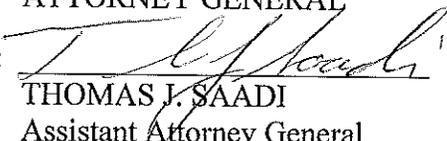
HEREOF FAIL NOT, BUT OF THIS WRIT, MAKE DUE SERVICE AND
RETURN ACCORDING TO LAW.

Dated at Hartford, Connecticut this 14th day of November, 2012

PLAINTIF
STATE OF CONNECTICUT

GEORGE C. JEPSEN
ATTORNEY GENERAL

BY:


THOMAS J. SAADI
Assistant Attorney General
Juris No. 418062
110 Sherman Street
Hartford, CT 06105
Tel: 860-808-5400
Fax: 860-808-5593

1055 Washington Boulevard
Stamford, CT 06901-2249
Main (203) 462-7500
Fax (203) 462-7599
wkelleher@rc.com
Direct (203) 462-7514

Also admitted in New York

Via Electronic Mail and U.S. Mail

November 9, 2012

Thomas J. Saadi, Esq.
Assistant Attorney General
Office of the Attorney General
State of Connecticut
110 Sherman Street
Hartford, CT 06105

Re: **State of Connecticut v. GlaxoSmithKline LLC**

Dear Mr. Saadi:

As discussed in our emails of this day, as you requested, on behalf of GlaxoSmithKline, we waive service of process of the Summons and Complaint and will accept service of process in connection with the Attorney General's initiation of this action.

Very truly yours,



William J. Kelleher, III



Law Offices

BOSTON

PROVIDENCE

HARTFORD

NEW LONDON

STAMFORD

WHITE PLAINS

NEW YORK CITY

ALBANY

SARASOTA

www.rc.com

WJK/trg

Copy to: Andrew E. Schinzel, Esq.

Date: 11/12/2012

FOR DEFENDANT
GLAXOSMITHKLINE LLC

By: 
William J. Mosher
Company Secretary
GlaxoSmithKline LLC

FOR DEFENDANT
GLAXOSMITHKLINE LLC

Date: 11/12/12

By: 
Nina M. Gussack
Barry H. Boise
Pepper Hamilton LLP
3000 Two Logan Square
Eighteenth and Arch Streets
Philadelphia, PA 19103

Approved as to form:

Date: 11/9/12

By: William J. Kelleher III

William J. Kelleher III
Robinson & Cole LLP
1055 Washington Boulevard
Stamford, CT 06901-2249

Attorney for GlaxoSmithKline LLC

Docket No.:

STATE OF CONNECTICUT,
Plaintiff

v.
GLAXOSMITHKLINE LLC,
Defendant.

: SUPERIOR COURT
:
: JUDICIAL DISTRICT OF
: HARTFORD
:
:
: NOVEMBER 15, 2012
:
:

I. STIPULATED JUDGMENT AS TO THE DEFENDANT

Plaintiff, the State of Connecticut by and through its Attorney General, George Jepsen (“Plaintiff”), has filed a Complaint for a permanent injunction and other relief in this matter pursuant to § 42-110a *et seq.* of the Connecticut General Statutes alleging that Defendant GlaxoSmithKline LLC (hereinafter “GlaxoSmithKline”) committed violations of the aforementioned Act. Plaintiff, by its counsel, and GlaxoSmithKline, by its counsel, have agreed to the entry of this Stipulated Judgment (“Judgment”) by the Court without trial or adjudication of any issue of fact or law, and without finding or admission of wrongdoing or liability of any kind.

II. JURISDICTION AND VENUE

The Court has jurisdiction over the subject matter of this action and of the parties hereto, pursuant to § 42-110a *et seq.* of the Connecticut General Statutes. Jurisdiction is retained by this Court for the purpose of enabling the Attorney General or the Defendant to apply to this Court for such further orders and directions as may be necessary or appropriate for the construction and modification of the injunctive provisions herein, or execution of this Judgment, including punishment for any violation of this Judgment. Pursuant to § 42-110a *et seq.* of the Connecticut General Statutes, venue as to all matters between the parties relating hereto or arising out of this Judgment is solely in the State of Connecticut.

III. TRADE AND COMMERCE

GlaxoSmithKline, at all times relevant hereto, engaged in trade and commerce affecting consumers, within the meaning of the Connecticut Unfair Trade Practices Act, in the State of Connecticut. GlaxoSmithKline consents to the jurisdiction of the Court solely for the purposes of this Judgment.

IV. FINDINGS

- A. This Court has jurisdiction over the subject matter of this lawsuit and over all Parties.
- B. The terms of this Judgment shall be governed by the laws of the State of Connecticut.
- C. Entry of this Judgment is in the public interest and reflects a negotiated agreement

among the Parties.

D. GlaxoSmithKline, at all times relevant hereto, engaged in trade and commerce affecting consumers, within the meaning of the Connecticut Unfair Trade Practices Act, in the State of Connecticut, including, but not limited to, Hartford County.

E. The Attorneys General conducted an investigation regarding the Covered Conduct. The Parties have agreed to resolve all issues raised by and concerns related to the Covered Conduct under § 42-110a *et seq.* of the Connecticut General Statutes by entering into this Judgment.

F. This Judgment reflects a negotiated agreement entered into by the Parties as their own free and voluntary act, and with full knowledge and understanding of the nature of the proceedings and the obligations and duties imposed by this Judgment. Defendant is entering into this Judgment solely for the purpose of settlement, and nothing contained herein may be taken as or construed to be an admission or concession of any violation of law or regulation, or of any other matter of fact or law, or of any liability or wrongdoing, all of which Defendant expressly denies. Through this Judgment, Defendant does not admit any violation of law, and does not admit any wrongdoing that was or could have been alleged by any of the signatory Attorneys General before the date of the Judgment. No part of this Judgment, including its statements and commitments, shall constitute evidence of any liability, fault, or wrongdoing by Defendant. This Judgment does not constitute an admission by Defendant that the Covered Conduct violated or could violate the State Consumer Protection Laws. It is the intent of the Parties that this Judgment shall not be admissible or binding in any other matter, including, but not limited to, any investigation or litigation, other than in connection with the enforcement of this Judgment.

No part of this Judgment shall create a private cause of action or convert any right to any third party for violation of any federal or state statute or law, except that an Attorney General may file an action to enforce the terms of this Judgment. Nothing contained herein prevents or prohibits the use of this Judgment for purposes of enforcement by the Connecticut Attorney General.

G. This Judgment does not create a waiver or limit Defendant's legal rights, remedies, or defenses in any other action by the Connecticut Attorney General, and does not waive or limit Defendant's right to defend itself from, or make arguments in, any other matter, claim, or suit, including, but not limited to, any investigation or litigation relating to the existence, subject matter, or terms of this Judgment. Nothing in this Judgment shall waive, release, or otherwise affect any claims, defenses, or other positions Defendant may assert in connection with any investigations, claims, or other matters the Attorneys General are not releasing hereunder. Notwithstanding the foregoing, the Connecticut Attorney General may file an action to enforce the terms of this Judgment.

H. This Judgment does not constitute an approval by the Attorneys General of Defendant's business practices, and Defendant shall make no representation or claim to the contrary.

I. This Judgment sets forth the entire agreement between the Parties hereto and supersedes all prior agreements or understandings, whether written or oral, between the Parties and/or their respective counsel, with respect to the Covered Conduct.

J. This Court retains jurisdiction over this Judgment and the Parties hereto for the purpose of enforcing and modifying this Judgment and for the purpose of granting such additional relief as may be necessary and appropriate.

K. This Judgment may be executed in counterparts, each of which shall be deemed to constitute an original counterpart hereof, and all of which shall together constitute one and the same Judgment. One or more counterparts of this Judgment may be delivered by facsimile or electronic transmission with the intent that it, or they, shall constitute an original counterpart hereof.

L. This Judgment relates solely to the Covered Conduct.

M. This Judgment (or any portion thereof) shall in no way be construed to prohibit Defendant from making representations with respect to any GSK Diabetes Product that are permitted under Federal law or labeling for the drug under the most current draft or final standard promulgated by the FDA or the most current draft or final FDA Guidance for Industry, or permitted or required under any Investigational New Drug Application, New Drug Application, Supplemental New Drug Application, or Abbreviated New Drug Application approved by FDA, so long as the representation, taken in its entirety, is not false, misleading or deceptive.

N. Nothing in this Judgment shall require Defendant to:

(a) take any action that is prohibited by the Food, Drug and Cosmetic Act, 21

U.S.C. § 301 *et seq.* ("FDCA") or any regulation promulgated thereunder, or by FDA; or

(b) fail to take any action that is required by the FDCA or any regulation promulgated thereunder, or by the FDA;

or shall preclude Defendant from providing Health Care Economic Information to a formulary committee or similar entity or its members in the course of the committee or entity carrying out its responsibilities for the selection of drugs for managed care or other similar organization

pursuant to the standards of FDAMA Section 114, if the information directly relates to an approved indication of a GSK Diabetes Product, and if based on competent and reliable scientific evidence.

V. DEFINITIONS

The following definitions shall be used in construing this Judgment:

A. **“Applicable Clinical Trials”** shall mean those clinical trials required by the FDA Amendments Act of 2007 (Public Law No. 110-85).

B. **“Attorneys General”** shall mean the Attorneys General of the Multistate Working Group.

C. **“Avandia”** shall mean and include all formulations of rosiglitazone, a diabetes drug in the class of thiazolidinediones (“TZDs”), that GSK sells or sold under the brand name Avandia, Avandamet, and Avandaryl.

D. **“Covered Conduct”** shall mean Promotional practices and dissemination of information by GSK regarding Avandia in the United States.

E. **“Defendant”** shall mean GlaxoSmithKline LLC.

F. **“Effective Date”** shall mean the date on which a copy of this Judgment, duly executed by Defendant and by the signatory Attorney General, is approved by and becomes a Judgment of the Court.

G. **“GlaxoSmithKline LLC”** or **“GSK”** shall mean GlaxoSmithKline LLC, all of its officers, directors, employees, subsidiaries, divisions, predecessors, successors, assignees, and transferees.

H. **“GSK Diabetes Product”** shall mean any pharmaceutical product approved by the Food and Drug Administration for the improvement of glycemic control for patients with Type 2 diabetes and that GSK Promotes, or for which it directs the Promotion.

I. **“Health Care Economic Information”** shall mean data and other information relating to the inputs and outcomes of health care therapies and services, including, but not limited to, the price, cost-effectiveness, and quality of life implications of any GSK Diabetes Product.

J. **“Multistate Working Group”** shall mean the Attorneys General and their staff representing Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, the District of Columbia, Florida, Hawaii,¹ Idaho, Illinois, Iowa, Kansas, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Dakota, Tennessee, Texas, Vermont, Washington, and Wisconsin.

K. **“Multistate Executive Committee”** shall mean the Attorneys General and their staff representing Arizona, Florida, Illinois, Maryland, Oregon, Pennsylvania, Tennessee, and Texas.

L. **“Parties”** shall mean the Connecticut Attorney General and Defendant.

¹ Hawaii is being represented on this matter by its Office of Consumer Protection, an agency which is not part of the state Attorney General’s Office, but which is statutorily authorized to undertake consumer protection functions, including legal representation of the State of Hawaii. For simplicity, the entire group will be referred to as the “Attorneys General,” and such designation, as it includes Hawaii, refers to the Executive Director of the State of Hawaii Office of Consumer Protection.

M. **“Promotional,” “Promoting” or “Promote”** shall mean representations about a GSK Diabetes Product intended to influence sales of that product, including attempts to influence prescribing practices and utilization of a GSK Diabetes Product.

N. **“Promotional Materials”** shall mean any item used to Promote any GSK Diabetes Product.

VI. INJUNCTIVE PROVISIONS

Promotional Activities

A. Defendant shall not make, or cause to be made, any written or oral claim that is false, misleading, or deceptive about any GSK Diabetes Product.

B. Defendant shall not represent that any GSK Diabetes Product has any sponsorship, approval, characteristics, ingredients, uses, benefits, quantities, or qualities that it does not have.

The following subsections shall be effective for a period of the greater of either: eight years from the Effective Date of this Judgment, or five years from approval by the FDA of a GSK Diabetes Product other than Avandia.

C. Defendant shall only Promote GSK Diabetes Products for uses permitted under the FDA-approved labeling or the FDCA.

D. Defendant shall not represent in a promotional context that an investigational new GSK Diabetes Product is safe or effective for the purposes for which it is under investigation or otherwise promote the drug. This provision is not intended to restrict the full exchange of scientific information in non-promotional settings concerning the drug, including dissemination

of scientific findings in scientific or lay media. Rather, its intent is to restrict promotional claims of safety or effectiveness of the drug for a use for which it is under investigation and to preclude commercialization of the drug before it is approved for commercial distribution.

E. Defendant shall not make in a promotional context a representation or suggestion, not approved or permitted for use in the labeling or under the FDCA, that a GSK Diabetes Product is better, more effective, useful in a broader range of conditions or patients, safer, has fewer, or less incidence of, or less serious side effects or contraindications than has been demonstrated by substantial evidence, or substantial clinical experience (as described in paragraphs (e)(4)(ii)(b) and (c) of 21 C.F.R. § 202.1), whether or not such representations are made by comparison with other drugs or treatments, and whether or not such a representation or suggestion is made directly or through use of published or unpublished literature, quotations, or other references.

F. Defendant shall not Promote any GSK Diabetes Product by use of Promotional Materials that:

1. contain a drug comparison that represents or suggests that a drug is safer or more effective than another drug in some particular when it has not been demonstrated to be safer or more effective in such particular by substantial evidence or substantial clinical experience;
2. contain favorable information or opinions about a drug previously regarded as valid but which have been rendered invalid by contrary and more credible recent information, or contain literature references or quotations that are significantly more favorable to the drug than has been demonstrated by substantial evidence or

- substantial clinical experience;
3. contain a representation or suggestion that a drug is safer than it has been demonstrated to be by substantial evidence or substantial clinical experience, by selective presentation of information from published articles or other references that report no side effects or minimal side effects with the drug or otherwise selects information from any source in a way that makes a drug appear to be safer than has been demonstrated;
 4. contain favorable data or conclusions from nonclinical studies of a drug, such as in laboratory animals or in vitro, in a way that suggests they have clinical significance when in fact no such clinical significance has been demonstrated;
 5. use erroneously a statistical finding of “no significant difference” to claim clinical equivalence or to deny or conceal the potential existence of a real clinical difference;
 6. present required information relating to side effects or contraindications by means of a general term for a group in place of disclosing each specific side effect and contraindication unless the use of such general term conforms to the provisions of paragraph (e)(3)(iii) of 21 C.F.R. § 202.1;
 7. present information from a study in a way that implies that the study represents larger or more general experience with the drug than it actually does; or
 8. use statistics on numbers of patients or counts of favorable results or side effects, derived from pooling data from various insignificant or dissimilar studies in a way that suggests either that such statistics are valid if they are not or that they are

derived from large or significant studies supporting favorable conclusions when such is not the case.

G. When presenting information about a clinical study regarding GSK Diabetes Products in any Promotional Materials, Defendant shall not do any of the following for information that may be material to a health care provider prescribing decision:

1. present favorable information or conclusions from a study that is inadequate in design, scope, or conduct to furnish significant support for such information or conclusions;
2. use the concept of statistical significance to support a claim that has not been demonstrated to have clinical significance or validity, or fails to reveal the range of variations around the quoted average results; or
3. use statistical analyses and techniques on a retrospective basis to discover and cite findings not soundly supported by the study, or to suggest scientific validity and rigor for data from studies the design or protocol of which are not amenable to formal statistical evaluations.

Clinical Research

The following subsections shall be effective for eight years from the Effective Date of this Judgment.

H. Defendant shall report research in an accurate, objective and balanced manner as follows and as required by applicable law:

1. To the extent permitted by the National Library of Medicine and as required by the FDA Amendments Act of 2007 (Public Law No. 110-85), Defendant shall

register GSK-sponsored Applicable Clinical Trials beginning after the Effective Date with the applicable registry and submit results of GSK-sponsored Applicable Clinical Trials completed after the Effective Date to the registry and results data bank as required by the FDA Amendments Act and any accompanying regulations that may be promulgated pursuant to that Act.

I. When submitting a manuscript on the results of a clinical study regarding any GSK Diabetes Product for publication, Defendant shall:

1. Adhere to the ICMJE Uniform Requirements for Manuscripts Submitted to Biomedical Journals: Writing and Editing for Biomedical Publications, including authorship criteria, unless the applicable journal or congress to which the publication is submitted has more stringent requirements, in which case the journal or congress criteria for authorship will be followed; and
2. Acknowledge Defendant's role as a funding source of the study which is the subject of the manuscript.

J. For any GSK Diabetes Product, Defendant shall also post on GSK's clinical study registry any observational studies or meta-analyses conducted by GSK that are designed to inform the effective, safe, and/or appropriate use of any GSK Diabetes Product.

K. Summaries of the results of GSK-sponsored interventional clinical trials of medicinal products that are approved for the improvement of glycemic control in Type 2 diabetics will be posted on a publicly available registry within 8 months of the study primary completion date. Such summaries will be posted on either NIH's register at www.clinicaltrials.gov or on GSK's clinical study register with information fields consistent

with the NIH register.

VII. DISBURSEMENT OF PAYMENTS: PAYMENT TO THE STATES

A. Within 30 days of the Effective Date of this Judgment, Defendant shall pay \$90 million to be divided and paid by Defendant directly to each Attorney General of the Multistate Working Group in an amount to be designated by and in the sole discretion of the Multistate Executive Committee. Said payment shall be used by the Attorneys General for attorneys' fees and other costs of investigation and litigation, or to be placed in, or applied to, the consumer protection enforcement fund, consumer education or litigation or local consumer aid or revolving fund, used to defray the costs of the inquiry leading hereto, or for other uses permitted by state law, at the sole discretion of each Attorney General. The Parties acknowledge that the payment described herein is not a fine or penalty, or payment in lieu thereof. Connecticut's payment shall be \$1,668,482.89, of which \$100,000 will be allocated to the Department of Consumer Protection, Prescription Drug Monitoring Program and Consumer fund in equal amounts, and \$100,000 allocated to the Office of the Attorney General Consumer Fund.

VIII. REPRESENTATIONS AND WARRANTIES

A. GlaxoSmithKline acknowledges that it is a proper party to this Judgment. GlaxoSmithKline further warrants and represents that the individual signing this Judgment on behalf of GlaxoSmithKline is doing so in his or her official capacity and is fully authorized by GlaxoSmithKline to enter into this Judgment and to legally bind GlaxoSmithKline to all of the terms and conditions of the Judgment.

B. The Attorney General warrants and represents that he is signing this Judgment in his official capacity, and that he is fully authorized by his State to enter into this Judgment, including, but not limited to, the authority to grant the release contained in Section IX of this Judgment, and to legally bind his State to all of the terms and conditions of this Judgment.

IX. RELEASE

A. By execution of this Judgment, the State of Connecticut releases and forever discharges Defendant and all of its past and present officers, directors, shareholders, employees, parents, subsidiaries, divisions, predecessors, successors, assignees, and transferees (collectively, the “Released Parties”), from the following: all civil claims, causes of action, parens patriae claims, damages, restitution, fines, costs, attorneys’ fees, remedies and/or penalties that were or could have been asserted against the Released Parties by the Attorney General under the Connecticut Unfair Trade Practices Act or any amendments thereto, or by common law claims other than claims asserted or that could be asserted under IX.B concerning unfair, deceptive, or fraudulent trade practices resulting from the Covered Conduct, up to and including the Effective Date of this Judgment (collectively, the “Released Claims”).

B. Notwithstanding any term of this Judgment, specifically reserved and excluded from the Released Claims as to any entity or person, including Released Parties, are any and all of the following:

1. Any criminal liability that any person or entity, including Released Parties, has or may have to the State of Connecticut.

2. Any civil or administrative liability that any person or entity, including Released Parties, has or may have to the State of Connecticut, under any statute, regulation, or rule not expressly covered by the release in Section IX.A including, but not limited to, any and all of the following claims:
 - a. State or federal antitrust violations;
 - b. Reporting practices, including “best price,” “average wholesale price” or “wholesale acquisition cost”;
 - c. Medicaid violations, including, but not limited to, federal Medicaid drug rebate statute violations, Medicaid fraud or abuse, and/or kickback violations related to Connecticut’s Medicaid program;
 - d. State false claims violations; and
 - e. Claims to enforce the terms and conditions of this Judgment.
3. Actions of state program payors of the State of Connecticut arising from the Covered Conduct, except for the release of civil penalties under the State of Connecticut’s above-cited state consumer protection law.
4. Any claims individual consumers have or may have under the State of Connecticut’s consumer protection laws against any person or entity, including Released Parties.

X. CONFLICTS

- A. If, subsequent to the Effective Date of this Judgment, the federal government or any state, or any federal or state agency, enacts or promulgates legislation or regulations with

respect to matters governed by this Judgment that creates a conflict with any provision of the Judgment and Defendant intends to comply with the newly enacted legislation or regulation, Defendant shall notify the Attorneys General (or the Attorney General of the affected State) of the same. If the Attorney General agrees, he shall consent to a modification of such provision of the Judgment to the extent necessary to eliminate such conflict. If the Attorney General disagrees and the Parties are not able to resolve the disagreement, Defendant shall seek a modification from an appropriate court of any provision of this Judgment that presents a conflict with any such federal or state law or regulation. Changes in federal or state laws or regulations, with respect to the matters governed by this Judgment, shall not be deemed to create a conflict with a provision of this Judgment unless Defendant cannot reasonably comply with both such law or regulation and the applicable provision of this Judgment.

XI. DISPUTE RESOLUTION

A. For the purposes of resolving disputes with respect to compliance with this Judgment, should any of the signatory Attorneys General have a reason to believe that Defendant has violated a provision of this Judgment subsequent to the Effective Date, then such Attorney General shall notify Defendant in writing of the specific objection, identify with particularity the provisions of this Judgment that the practice appears to violate, and give Defendant 30 days to respond to the notification.

B. Upon receipt of written notice from any of the Attorneys General, Defendant shall provide a good-faith written response to the Attorney General notification, containing either a statement explaining why Defendant believes it is in compliance with the Judgment or a detailed

explanation of how the alleged violation occurred and statement explaining how and when Defendant intends to remedy the alleged violation.

C. Except as set forth in Sections XI.E and F below, the Attorney General may not take any action during the 30-day response period. Nothing shall prevent the Attorney General from agreeing in writing to provide Defendant with additional time beyond the 30 days to respond to the notice.

D. The Attorney General may not take any action during which a modification request is pending before a court pursuant to Section X.A, except as provided for in Sections XI.E and F below.

E. Nothing in this Judgment shall be interpreted to limit the State's Civil Investigative Demand or investigative subpoena authority.

F. The Attorney General may assert any claim that Defendant has violated this Judgment in a separate civil action to enforce compliance with this Judgment, or may seek any other relief afforded by law, but only after providing Defendant an opportunity to respond to the notification and to remedy the alleged violation within the 30-day response period as described above, or within any other period as agreed to by GSK and the Attorney General; provided, however, that the Attorney General may take any action if the Attorney General believes that, because of the specific practice, a threat to the health or safety of the public requires immediate action.

XII. COMPLIANCE WITH ALL LAWS

A. Except as expressly provided in this Judgment, nothing in this Judgment shall be construed as:

1. Relieving Defendant of its obligation to comply with all applicable state laws, regulations, or rules, or granting permission to engage in any acts or practices prohibited by any law, regulation, or rule; or
2. Limiting or expanding in any way any right any state represented by the Multistate Working Group may otherwise have to enforce applicable state law or obtain information, documents, or testimony from Defendant pursuant to any applicable state law, regulation, or rule, or any right Defendant may otherwise have to oppose any subpoena, civil investigative demand, motion, or other procedure issued, served, filed, or otherwise employed by the State pursuant to any such state law, regulation, or rule.

XIII. GENERAL PROVISIONS

A. Nothing in this Judgment is intended to modify the Judgment, effective August 16, 2011, between the State of Connecticut and GlaxoSmithKline LLC and SB Pharmco Puerto Rico, Inc.

B. Nothing in this Judgment is intended to modify the Settlement Agreement, effective June 28, 2012, between the State of Connecticut and GlaxoSmithKline LLC.

C. Nothing will prevent the Attorney General from agreeing in writing to provide Defendant with additional time to perform any act required by the Judgment. The Attorney General shall not unreasonably withhold his consent to the request for additional time.

D. All notices under this Judgment shall be sent by overnight United States mail.

The documents shall be sent to the following addresses:

For GlaxoSmithKline LLC:
Barry H. Boise
Pepper Hamilton LLP
3000 Two Logan Square
Eighteenth and Arch Streets
Philadelphia, PA 19103

For State of Connecticut:
Phillip Rosario
Assistant Attorney General
Office of The Attorney General
110 Sherman Street
Hartford, CT 06105

IT IS SO ORDERED, ADJUDGED AND DECREED.

Dated this _____ day of _____, 2012.

JUDGE